



# HEALTH QUARTERLY STATEMENT

AS OF MARCH 31, 2020  
OF THE CONDITION AND AFFAIRS OF THE  
**Aetna Health of Ohio Inc.**

NAIC Group Code 0001 NAIC Company Code 15805 Employer's ID Number 47-3850677  
 Organized under the Laws of Ohio, State of Domicile or Port of Entry OH  
 Country of Domicile United States of America  
 Licensed as business type: Health Maintenance Organization  
 Is HMO Federally Qualified? Yes  No   
 Incorporated/Organized 04/24/2015 Commenced Business 01/01/2020  
 Statutory Home Office 7400 W. Campus Road, New Albany, OH, US 43054  
 (Street and Number) (City or Town, State, Country and Zip Code)  
 Main Administrative Office 7400 W. Campus Road, 800-872-3862  
 (Street and Number) (Area Code) (Telephone Number)  
 New Albany, OH, US 43054  
 (City or Town, State, Country and Zip Code)  
 Mail Address P.O. Box 67103, Harrisburg, PA, US 17106-7103  
 (Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)  
 Primary Location of Books and Records 151 Farmington Avenue, 800-872-3862  
 (Street and Number) (Area Code) (Telephone Number)  
 Hartford, CT, US 06156  
 (City or Town, State, Country and Zip Code)  
 Internet Website Address www.aetna.com  
 Statutory Statement Contact Kim E. Roth, 215-775-6508  
 (Name) (Area Code) (Telephone Number)  
StatutoryReporting@aetna.com, 860-262-7767  
 (E-mail Address) (FAX Number)

## OFFICERS

President Debra Jean Bacon #  
 Secretary Robert Mark Kessler

Chief Financial Officer David Patrick Delaney

## OTHER

Kevin James Casey, Senior Investment Officer Peter Keller, Assistant Controller Bryan James Lane, Assistant Controller  
 Whitney Dorothy Nazarko, Assistant Controller # Scott David Miller, Assistant Controller Robert Joseph Parslow, Principal Financial Officer  
Tracy Louise Smith, Vice President and Treasurer and Controller

## DIRECTORS OR TRUSTEES

Debra Jean Bacon # David Patrick Delaney Scott Richard Markovich

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Debra Jean Bacon  
Chief Executive Officer and President

Robert Mark Kessler  
Vice President and Secretary

David Patrick Delaney  
Chief Financial Officer

State of..... Arizona  
County of.... Maricopa

State of..... Arizona  
County of.... Maricopa

State of..... Arizona  
County of.... Maricopa

Subscribed and sworn to before me this

Subscribed and sworn to before me this

Subscribed and sworn to before me this

\_\_\_\_ day of \_\_\_\_\_, 2020

\_\_\_\_ day of \_\_\_\_\_, 2020

\_\_\_\_ day of \_\_\_\_\_, 2020

NOTARY PUBLIC (Seal)

NOTARY PUBLIC (Seal)

NOTARY PUBLIC (Seal)

- a. Is this an original filing? ..... Yes [ X ] No [ ]  
 b. If no,  
 1. State the amendment number .....  
 2. Date filed .....  
 3. Number of pages attached .....

## ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	425,224	0	425,224	425,315
2. Stocks:				
2.1 Preferred stocks .....	0	0	0	0
2.2 Common stocks .....	0	0	0	0
3. Mortgage loans on real estate:				
3.1 First liens .....	0	0	0	0
3.2 Other than first liens .....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....	0	0	0	0
4.2 Properties held for the production of income (less \$ .....0 encumbrances) .....	0	0	0	0
4.3 Properties held for sale (less \$ encumbrances) .....	0	0	0	0
5. Cash (\$ .....1,042,918 ), cash equivalents (\$ .....12,871,367 ) and short-term investments (\$ .....0 ) .....	13,914,285	0	13,914,285	3,320,088
6. Contract loans (including \$ .....0 premium notes) .....	0	0	0	0
7. Derivatives .....	0	0	0	0
8. Other invested assets .....	0	0	0	0
9. Receivables for securities .....	0	0	0	0
10. Securities lending reinvested collateral assets .....	0	0	0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	14,339,509	0	14,339,509	3,745,403
13. Title plants less \$ .....0 charged off (for Title insurers only) .....	0	0	0	0
14. Investment income due and accrued .....	4,879	0	4,879	1,836
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	178,906	0	178,906	0
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ .....0 earned but unbilled premiums) .....	0	0	0	0
15.3 Accrued retrospective premiums (\$ .....37,408 ) and contracts subject to redetermination (\$ .....992,760 ) .....	1,030,167	0	1,030,167	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	0	0	0	0
16.2 Funds held by or deposited with reinsured companies .....	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts .....	0	0	0	0
17. Amounts receivable relating to uninsured plans .....	5,078	0	5,078	0
18.1 Current federal and foreign income tax recoverable and interest thereon .....	0	0	0	416
18.2 Net deferred tax asset .....	31	0	31	31
19. Guaranty funds receivable or on deposit .....	0	0	0	0
20. Electronic data processing equipment and software .....	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$ .....0 ) .....	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates .....	0	0	0	192,443
24. Health care (\$ .....0 ) and other amounts receivable .....	407,292	0	407,292	0
25. Aggregate write-ins for other than invested assets .....	0	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	15,965,862	0	15,965,862	3,940,129
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0	0
28. Total (Lines 26 and 27) .....	15,965,862	0	15,965,862	3,940,129
<b>DETAILS OF WRITE-INS</b>				
1101. .....	0	0	0	0
1102. .....	0	0	0	0
1103. .....	0	0	0	0
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above) .....	0	0	0	0
2501. .....	0	0	0	0
2502. .....	0	0	0	0
2503. .....	0	0	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	0	0	0	0

## LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ 0 reinsurance ceded)	3,858,239	255,453	4,113,692	0
2. Accrued medical incentive pool and bonus amounts	0	0	0	0
3. Unpaid claims adjustment expenses	52,962	0	52,962	0
4. Aggregate health policy reserves, including the liability of \$ 0 for medical loss ratio rebate per the Public Health Service Act	0	0	0	0
5. Aggregate life policy reserves	0	0	0	0
6. Property/casualty unearned premium reserve	0	0	0	0
7. Aggregate health claim reserves	0	0	0	0
8. Premiums received in advance	.9,332	0	.9,332	734
9. General expenses due or accrued	90,925	0	90,925	226
10.1 Current federal and foreign income tax payable and interest thereon (including \$ 0 on realized gains (losses))	641,920	0	641,920	0
10.2 Net deferred tax liability	0	0	0	0
11. Ceded reinsurance premiums payable	0	0	0	0
12. Amounts withheld or retained for the account of others	0	0	0	0
13. Remittances and items not allocated	0	0	0	0
14. Borrowed money (including \$ 0 current) and interest thereon \$ 0 (including \$ 0 current)	0	0	0	0
15. Amounts due to parent, subsidiaries and affiliates	3,944,926	0	3,944,926	0
16. Derivatives	0	0	0	0
17. Payable for securities	0	0	0	0
18. Payable for securities lending	0	0	0	0
19. Funds held under reinsurance treaties (with \$ 0 authorized reinsurers, \$ 0 unauthorized reinsurers and \$ 0 certified reinsurers)	0	0	0	0
20. Reinsurance in unauthorized and certified (\$ 0 ) companies	0	0	0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates	0	0	0	0
22. Liability for amounts held under uninsured plans	635,439	0	635,439	0
23. Aggregate write-ins for other liabilities (including \$ 0 current)	0	0	0	0
24. Total liabilities (Lines 1 to 23)	9,233,743	255,453	9,489,196	960
25. Aggregate write-ins for special surplus funds	XXX	XXX	0	0
26. Common capital stock	XXX	XXX	10	10
27. Preferred capital stock	XXX	XXX	0	0
28. Gross paid in and contributed surplus	XXX	XXX	3,899,990	3,899,990
29. Surplus notes	XXX	XXX	0	0
30. Aggregate write-ins for other than special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	2,576,666	39,169
32. Less treasury stock, at cost:				
32.1 \$ 0 shares common (value included in Line 26 )	XXX	XXX	0	0
32.2 \$ 0 shares preferred (value included in Line 27 )	XXX	XXX	0	0
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	6,476,666	3,939,169
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	15,965,862	3,940,129
<b>DETAILS OF WRITE-INS</b>				
2301.	0	0	0	0
2302.	0	0	0	0
2303.	0	0	0	0
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	0	0	0	0
2501.	XXX	XXX	0	0
2502.	XXX	XXX	0	0
2503.	XXX	XXX	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	0	0
3001.	XXX	XXX	0	0
3002.	XXX	XXX	0	0
3003.	XXX	XXX	0	0
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	0	0

**STATEMENT OF REVENUE AND EXPENSES**

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months .....	XXX	16,276	0	0
2. Net premium income ( including \$ 0 non-health premium income).....	XXX	14,409,200	0	0
3. Change in unearned premium reserves and reserve for rate credits.....	XXX	0	0	0
4. Fee-for-service (net of \$ 0 medical expenses).....	XXX	0	0	0
5. Risk revenue .....	XXX	0	0	0
6. Aggregate write-ins for other health care related revenues .....	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues .....	XXX	0	0	0
8. Total revenues (Lines 2 to 7) .....	XXX	14,409,200	0	0
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits .....	263,268	7,325,386	0	0
10. Other professional services .....	8,702	116,961	0	0
11. Outside referrals .....	303,383	303,383	0	0
12. Emergency room and out-of-area .....	37,321	501,629	0	0
13. Prescription drugs .....	48,042	1,598,084	0	0
14. Aggregate write-ins for other hospital and medical .....	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts .....	0	0	0	0
16. Subtotal (Lines 9 to 15) .....	660,716	9,845,443	0	0
<b>Less:</b>				
17. Net reinsurance recoveries .....	0	0	0	0
18. Total hospital and medical (Lines 16 minus 17) .....	660,716	9,845,443	0	0
19. Non-health claims (net) .....	0	0	0	0
20. Claims adjustment expenses, including \$ 168,417 cost containment expenses .....	0	241,227	0	0
21. General administrative expenses .....	0	1,162,263	1,537	4,868
22. Increase in reserves for life and accident and health contracts (including \$ 0 increase in reserves for life only) .....	0	0	0	0
23. Total underwriting deductions (Lines 18 through 22).....	660,716	11,248,933	1,537	4,868
24. Net underwriting gain or (loss) (Lines 8 minus 23).....	XXX	3,160,267	(1,537)	(4,868)
25. Net investment income earned .....	0	17,983	7,521	37,519
26. Net realized capital gains (losses) less capital gains tax of \$ 0 .....	0	0	0	6
27. Net investment gains (losses) (Lines 25 plus 26) .....	0	17,983	7,521	37,525
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ 0 )] (amount charged off \$ 0 )].....	0	0	0	0
29. Aggregate write-ins for other income or expenses .....	0	0	0	0
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29) .....	XXX	3,178,250	5,984	32,657
31. Federal and foreign income taxes incurred .....	XXX	640,753	1,256	6,887
32. Net income (loss) (Lines 30 minus 31) .....	XXX	2,537,497	4,728	25,770
<b>DETAILS OF WRITE-INS</b>				
0601. ....	XXX	0	0	0
0602. ....	XXX	0	0	0
0603. ....	XXX	0	0	0
0698. Summary of remaining write-ins for Line 6 from overflow page .....	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above) .....	XXX	0	0	0
0701. ....	XXX	0	0	0
0702. ....	XXX	0	0	0
0703. ....	XXX	0	0	0
0798. Summary of remaining write-ins for Line 7 from overflow page .....	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above) .....	XXX	0	0	0
1401. ....	0	0	0	0
1402. ....	0	0	0	0
1403. ....	0	0	0	0
1498. Summary of remaining write-ins for Line 14 from overflow page .....	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above) .....	0	0	0	0
2901. ....	0	0	0	0
2902. ....	0	0	0	0
2903. ....	0	0	0	0
2998. Summary of remaining write-ins for Line 29 from overflow page .....	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above) .....	0	0	0	0

**STATEMENT OF REVENUE AND EXPENSES (Continued)**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
33. Capital and surplus prior reporting year.....	3,939,169	1,913,368	1,913,368
34. Net income or (loss) from Line 32 .....	2,537,497	4,728	25,770
35. Change in valuation basis of aggregate policy and claim reserves .....	0	0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....	0	0	0
37. Change in net unrealized foreign exchange capital gain or (loss).....	0	0	0
38. Change in net deferred income tax .....	0	0	31
39. Change in nonadmitted assets .....	0	0	0
40. Change in unauthorized and certified reinsurance .....	0	0	0
41. Change in treasury stock .....	0	0	0
42. Change in surplus notes .....	0	0	0
43. Cumulative effect of changes in accounting principles.....	0	0	0
44. Capital Changes:			
44.1 Paid in .....	0	0	0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....	0	0	0
45. Surplus adjustments:			
45.1 Paid in .....	0	0	2,000,000
45.2 Transferred to capital (Stock Dividend) .....	0	0	0
45.3 Transferred from capital .....	0	0	0
46. Dividends to stockholders .....	0	0	0
47. Aggregate write-ins for gains or (losses) in surplus .....	0	0	0
48. Net change in capital & surplus (Lines 34 to 47) .....	2,537,497	4,728	2,025,801
49. Capital and surplus end of reporting period (Line 33 plus 48)	6,476,666	1,918,096	3,939,169
<b>DETAILS OF WRITE-INS</b>			
4701. ....	0	0	0
4702. ....	0	0	0
4703. ....	0	0	0
4798. Summary of remaining write-ins for Line 47 from overflow page .....	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	0

## STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	13,208,725	0	734
2. Net investment income .....	15,031	4,354	37,642
3. Miscellaneous income .....	0	0	0
4. Total (Lines 1 to 3) .....	13,223,756	4,354	38,376
5. Benefit and loss related payments .....	6,139,043	0	0
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	629,468	1,351	4,642
8. Dividends paid to policyholders .....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses) .....	(1,583)	0	8,159
10. Total (Lines 5 through 9) .....	6,766,928	1,351	12,801
11. Net cash from operations (Line 4 minus Line 10) .....	6,456,828	3,003	25,575
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	0	0	0
12.2 Stocks .....	0	0	0
12.3 Mortgage loans .....	0	0	0
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	0	0	7
12.7 Miscellaneous proceeds .....	0	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	0	0	7
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	0	100,239	100,238
13.2 Stocks .....	0	0	0
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	0	0
13.5 Other invested assets .....	0	0	0
13.6 Miscellaneous applications .....	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	0	100,239	100,238
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	0	(100,239)	(100,231)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	2,000,000
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied) .....	4,137,369	(1,458)	(193,901)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	4,137,369	(1,458)	1,806,099
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	10,594,197	(98,694)	1,731,443
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	3,320,088	1,588,645	1,588,645
19.2 End of period (Line 18 plus Line 19.1) .....	13,914,285	1,489,951	3,320,088

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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## EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
<b>Total Members at end of:</b>										
1. Prior Year	0	0	0	0	0	0	0	0	0	0
2. First Quarter	5,723	0	0	0	0	0	0	5,723	0	0
3. Second Quarter	0	0	0	0	0	0	0	0	0	0
4. Third Quarter	0	0	0	0	0	0	0	0	0	0
5. Current Year	0	0	0	0	0	0	0	0	0	0
6. Current Year Member Months	16,276	0	0	0	0	0	0	16,276	0	0
<b>Total Member Ambulatory Encounters for Period:</b>										
7. Physician	23,727	0	0	0	0	0	0	23,727	0	0
8. Non-Physician	17,023	0	0	0	0	0	0	17,023	0	0
9. Total	40,750	0	0	0	0	0	0	40,750	0	0
10. Hospital Patient Days Incurred	615	0	0	0	0	0	0	615	0	0
11. Number of Inpatient Admissions	120	0	0	0	0	0	0	120	0	0
12. Health Premiums Written (a)	14,409,200	0	0	0	0	0	0	14,409,200	0	0
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0
15. Health Premiums Earned	14,409,200	0	0	0	0	0	0	14,409,200	0	0
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0
17. Amount Paid for Provision of Health Care Services	6,139,043	0	0	0	0	0	0	6,139,043	0	0
18. Amount Incurred for Provision of Health Care Services	9,845,443	0	0	0	0	0	0	9,845,443	0	0

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ 14,409,200

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

## CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

### Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
<b>Claims Unpaid (Reported)</b>						
0299999 Aggregate accounts not individually listed-uncovered	16,316	3,034	153	0	0	19,503
0399999 Aggregate accounts not individually listed-covered	798,502	37,731	1,906	0	0	838,139
<b>0499999 Subtotals</b>	<b>814,818</b>	<b>40,765</b>	<b>2,059</b>	<b>0</b>	<b>0</b>	<b>857,642</b>
0599999 Unreported claims and other claim reserves						3,256,050
0699999 Total amounts withheld						0
<b>0799999 Total claims unpaid</b>						<b>4,113,692</b>
0899999 Accrued medical incentive pool and bonus amounts						0

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

## UNDERWRITING AND INVESTMENT EXHIBIT

### ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical) .....	0	0	0	0	0	0
2. Medicare Supplement .....	0	0	0	0	0	0
3. Dental Only .....	0	0	0	0	0	0
4. Vision Only .....	0	0	0	0	0	0
5. Federal Employees Health Benefits Plan .....	0	0	0	0	0	0
6. Title XVIII - Medicare .....	0	6,139,043	0	4,113,692	0	0
7. Title XIX - Medicaid .....	0	0	0	0	0	0
8. Other health .....	0	0	0	0	0	0
9. Health subtotal (Lines 1 to 8) .....	0	6,139,043	0	4,113,692	0	0
10. Healthcare receivables (a) .....	0	407,292	0	0	0	0
11. Other non-health .....	0	0	0	0	0	0
12. Medical incentive pools and bonus amounts .....	0	0	0	0	0	0
13. Totals (Lines 9-10+11+12)	0	5,731,751	0	4,113,692	0	0

(a) Excludes \$ .....0 loans or advances to providers not yet expensed.

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

## NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The accompanying statutory financial statements of Aetna Health of Ohio Inc. ("the Company"), indirectly a wholly-owned subsidiary of CVS Health Corporation ("CVS Health"), have been prepared in conformity with accounting practices prescribed or permitted by the Ohio Insurance Division ("Ohio Department") ("Ohio Accounting Practices"). The Ohio Department recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, which include accounting practices and procedures adopted by the National Association of Insurance Commissioners' ("NAIC") *Accounting Practices and Procedures Manual* ("NAIC SAP"). On February 22, 2019, the Company re-domesticated to the State of Ohio and received its Certificate of Authority to transact business in Ohio on March 7, 2019. The Company remains licensed in Iowa as a foreign company. On July 24, 2019, the Company changed its name from Aetna Better Health of Iowa, Inc. to Aetna Health of Ohio, Inc.

A reconciliation of the Company's net income and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio for the periods ended March 31, 2020 and December 31, 2019 is as follows:

	SSAP #	F/S Page	F/S Line #	2020	2019
<b>NET INCOME</b>					
(1) State basis (Page 4, Line 32, Columns 2 & 4)	XXX	XXX	XXX	\$ 2,537,497	\$ 25,770
(2) State Prescribed Practices that increase/(decrease) NAIC SAP:					
(3) State Permitted Practices that increase/(decrease) NAIC SAP:					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ 2,537,497	\$ 25,770
<b>SURPLUS</b>					
(5) State basis (Page 3, Line 33, Columns 3 & 4)	XXX	XXX	XXX	\$ 6,476,666	\$ 3,939,169
(6) State Prescribed Practices that increase/(decrease) NAIC SAP:					
(7) State Permitted Practices that increase/(decrease) NAIC SAP:					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$ 6,476,666	\$ 3,939,169

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of these financial statements in conformity with Ohio Accounting Practices requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and revenues and expenses. Actual results could differ from those estimates.

C. Accounting Policies

The Company applies the following significant accounting policies:

(1) No significant change.

(2) Bonds:

Bonds, which include special deposits, are carried at amortized cost except for those bonds with an NAIC designation of 3 through 6, which are carried at the lower of amortized cost or fair value. The amount carried at fair value is not material to the financial statements. Bond premiums and discounts are amortized using the scientific interest method. When quoted prices in active markets for identical assets are available, the Company uses these quoted market prices to determine the fair value of bonds. This is used primarily for U.S. government securities. In other cases where a quoted market price for identical assets in an active market is either not available or not observable, the Company estimates fair values using valuation

## STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

methodologies based on available and observable market information or by using a matrix pricing model. If quoted market prices are not available, the Company determines fair value using broker quotes or an internal analysis of each investment's financial performance and cash flow projections. The Company had no investments where fair value was determined using broker quotes or an internal analysis of financial performance and cash flow projections. Bonds include all investments whose maturity is greater than one year when purchased. Loan-backed and structured securities are carried at amortized cost adjusted for unamortized premiums and discounts and are accounted for using the retrospective adjustment method. Premiums and discounts on loan-backed and structured securities are amortized using the scientific method over the estimated remaining term of the securities, adjusted for anticipated prepayments. All adjustments between amortized cost and carrying value are reflected in unrealized capital gains and losses and are reported as direct adjustments to surplus. Bonds are recorded as purchases or sales on the trade date.

The Company periodically reviews its bonds to determine whether a decline in fair value below the carrying value is other-than-temporary. For bonds, other than loan-backed and structured securities ("LB&SS"), an other-than-temporary impairment ("OTTI") shall be recorded if it is probable that the Company will be unable to collect all amounts due according to the contractual terms in effect at the date of acquisition. Declines deemed to be OTTI in the cost basis are recognized as realized capital losses. Yield-related impairments are deemed other-than-temporary when the Company intends to sell an investment at the reporting date before recovery of the cost of the investment.

For LB&SS, the Company records OTTI when the fair value of the loan-backed or structured security is less than the amortized cost basis at the balance sheet date and (1) the Company intends to sell the investment, or (2) the Company does not have the intent and ability to retain the investment for the time sufficient to recover the amortized cost basis, or (3) the Company does not expect to recover the entire amortized cost basis of the security, even if it does not intend to sell the security and has the intent and ability to hold. If it is determined an OTTI has occurred because of (1) or (2), the amount of the OTTI is equal to the difference between the amortized cost and the fair value of the security at the balance sheet date and this difference is recorded as a realized capital loss. If it is determined an OTTI has occurred because of (3), the amount of the OTTI is equal to the difference between the amortized cost and the present value of cash flows expected to be collected, discounted at the loan-backed or structured security's effective interest rate and this difference is also accounted for as a realized capital loss.

The Company analyzes all relevant facts and circumstances for each investment when performing its analysis to determine whether an OTTI exists. Among the factors considered in evaluating whether a decline is other-than-temporary, management considers whether the decline in fair value results from a change in the quality of the investment security itself, whether the decline results from a downward movement in the market as a whole, the prospects for realizing the carrying value of the bond based on the investee's current and short-term prospects for recovery and other factors. The risks inherent in assessing the impairment of an investment include the risk that market factors may differ from the Company's expectations and the risk that facts and circumstances factored into its assessment may change with the passage of time. Unexpected changes to market factors and circumstances that were not present in past reporting periods may result in a current period decision to sell securities that were not other-than-temporarily-impaired in prior reporting periods.

The Company had no Securities Valuation Office-identified investments that are being reported at a different measurement method from the prior year annual statement.

(3) through (11): No significant change.

(12) Pharmaceutical Rebate Receivables

The Company estimates pharmaceutical rebate receivables based upon historical payment trends, actual utilization and other variables. Pharmaceutical rebates for a quarter are billed to the vendor within one month of the completion of the quarter with any adjustment to previously recorded amounts reflected at the time of billing. The Company reports pharmaceutical rebate receivables as health care receivables. Pharmacy rebate receivables not in accordance with SSAP No. 84 – Health Care and Government Insured Plan Receivables or are over 90 days past due are nonadmitted. All rebates are processed and settled monthly with an affiliated entity, including adjustments to previously billed periods. The pharmaceutical rebate receivables are more fully discussed in Note 28.

(13) through (20): No significant change.

### D. Going Concern

As of May 14, 2020, management evaluated whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Company's ability to continue as a going concern and management has determined that it is not probable that the Company will be unable to meet its obligations as they become due within one year after the financial statements are available to be issued. Management will continuously evaluate the Company's ability to continue as a going concern and will take appropriate action and will make appropriate disclosures if there is any change in any condition or events that would raise substantial doubt about the Company's ability to continue as a going concern.

### 2. Accounting Changes and Corrections of Errors

The Company did not have any accounting changes or corrections of errors in the period ended March 31, 2020.

# STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

## 3. Business Combinations and Goodwill

No significant change.

## 4. Discontinued Operations

No significant change.

## 5. Investments

A. through C: No significant change.

### D. Loan-Backed Securities

- (1) Prepayment assumptions for single class and multi-class mortgage-backed/loan-backed securities were obtained from industry market sources.
- (2) The Company did not recognize any other-than-temporary impairment ("OTTI") during the first quarter of 2020 on loan-backed and structured securities in which the Company had the (1) intent to sell, (2) did not have the intent and ability to retain for a period of time sufficient to recover the amortized cost basis or (3) present value of cash flows expected to be collected is less than the amortized cost basis of the securities in accordance with Statements of Statutory Accounting Principles ("SSAP") No. 43R, *Loan-Backed and Structured Securities* ("SSAP No. 43R").
- (3) The Company had no recognized OTTI on loan-backed and structured securities currently held, in which the present value of cash flows expected to be collected is less than the amortized cost basis at the reporting date March 31, 2020.
- (4) The Company had no unrealized loss position on loan-backed and structured securities held by the Company at March 31, 2020.

### E. Dollar Repurchase Agreements and/or Securities Lending Transactions

(1) and (2): No significant change.

(3) Neither the Company nor its agent has accepted collateral that is permitted by contract or custom to sell or repledge as of March 31, 2020.

(4) through (7): No significant change.

F. The Company did not have any repurchase agreements transactions accounted for as secured borrowing at March 31, 2020.

G. The Company did not have any reverse repurchase agreements transactions accounted for as secured borrowing at March 31, 2020.

H. The Company did not have any repurchase agreements transactions accounted for as a sale at March 31, 2020.

I. The Company did not have any reverse repurchase agreements transactions accounted for as a sale at March 31, 2020

J. through L: No significant change.

M. The Company did not have any working capital finance investments at March 31, 2020.

N. The Company did not have any offsetting and netting of derivative, repurchase and reverse repurchase, and securities borrowing and securities lending assets or liabilities at March 31, 2020.

O. through Q: No significant change.

## 6. Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

## 7. Investment Income

No significant change.

## 8. Derivative Instruments

The Company did not have any derivative instruments at March 31, 2020.

## 9. Income Taxes

# STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

No significant change.

## 10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

A., B. and C. No significant change.

D. At March 31, 2020 and December 31, 2019, the Company had the following amounts due to and due from affiliates, which exclude amounts related to pharmacy rebate transactions as discussed more fully in Note 28 and the Company's reinsurance agreements if applicable.

	March 31, 2020	December 31, 2019
Amounts due to affiliates:		
Aetna Health Management, LLC	\$ 3,944,926	\$ —
	<hr/>	<hr/>
	\$ 3,944,926	\$ —
	<hr/>	<hr/>
	March 31, 2020	December 31, 2019
Amounts due from affiliates:		
Aetna Health Management, LLC	\$ —	\$ 192,443
	<hr/>	<hr/>
	\$ —	\$ 192,443
	<hr/>	<hr/>

The terms of settlement require that these amounts be settled within 45 days after the end of the calendar quarter.

E. No significant change.

F. As of and for the period ended March 31, 2020, the Company had the following new significant transactions with affiliates:

The Company and Aetna Health Management, LLC ("AHM") are parties to an administrative services agreement, under which AHM provides certain administrative services, including accounting and processing of premiums and claims. Under this agreement, the Company remits a percentage of its earned commercial, Medicaid and Medicare premium revenue, as applicable, to AHM as a fee, subject to an annual true up mechanism as defined in the agreement. Under the agreement, this true-up is due to be settled with the affiliate by April 15th of the following contract year (which is January 1 to December 31 annually). The terms of settlement require that these amounts be settled within 45 days after the end of the calendar quarter. For these services, the Company was charged \$1,271,584.

The Company is a party to an agreement which enables the Company to receive manufacturers' pharmacy rebates from AHM under which the Company remits a percentage of its earned pharmaceutical rebates to AHM as a fee. The Company earned pharmaceutical rebates of \$954,848, which were recorded as a reduction of medical costs, in March 31, 2020.

G. to O. No significant change.

## 11. Debt

A. The Company did not have any items related to debt, including capital notes at March 31, 2020.

B. The Company did not have any Federal Home Loan Bank agreements at March 31, 2020.

## 12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

The Company did not have a retirement plan, deferred compensation plan or other postretirement benefit plan at March 31, 2020.

## 13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change.

## 14. Liabilities, Contingencies and Assessments

# STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

No significant change.

## 15. Leases

No significant change.

## 16. Information About Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No significant change.

## 17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

### A. Transfers of Receivables Reported as Sales

No significant change.

### B. Transfer and Servicing of Financial Assets

(1) No significant change.

(2) and (3) The Company did not have any servicing assets or liabilities at March 31, 2020.

(4) The Company did not have any securitized financial assets at March 31, 2020.

(5) through (7) No significant change.

### C. Wash Sales

(1) In the course of the Company's asset management, securities are sold and reacquired within 30 days of the sale date to enhance the Company's yield on its investment portfolio.

(2) The Company had no securities sold during the quarter ended March 31, 2020 and reacquired within 30 days of the sale date.

## 18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

A. through B: No significant change.

### C. Medicare or Similarly Structured Cost Based Reimbursement Contract:

(1) Revenue from the Company's Medicare (or similarly structured cost based reimbursement contract) contract as of March 31, 2020, consisted of \$14,409,200.

(2) No Significant Change.

(3) In connection with the Company's Medicare (or similarly structured cost based reimbursement contract) contract, the Company has recorded allowance and reserves for adjustment of recorded revenues as and if applicable.

(4) Centers for Medicare & Medicaid Services ("CMS") periodically perform audits of Medicare revenue and may seek return of premium payments made to the Company if risk adjustment factors are not properly supported by medical record data. The Company estimates and records reserves for CMS audits based on information available at the time the estimates are made. Although the Company believes it maintains appropriate reserves for its exposure to the CMS audits, actual results could differ materially from those estimates.

## 19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

## 20. Fair Value Measurements

A. and B.

The Company had no material assets or liabilities measured and reported at fair value at March 31, 2020.

Certain of the Company's financial instruments are measured at fair value in the financial statements. The fair values of these instruments are based on valuations that include inputs that can be classified within one of three levels of a hierarchy. The following are the levels of the hierarchy and a brief description of the type of valuation information ("inputs") that qualifies a financial asset or liability for each level:

**Level 1** - Unadjusted quoted prices for identical assets or liabilities in active markets.

## STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

**Level 2** - Inputs other than Level 1 that are based on observable market data. These include: quoted prices for similar assets in active markets, quoted prices for identical assets in inactive markets, inputs that are observable that are not prices (such as interest rates and credit risks) and inputs that are derived from or corroborated by observable markets.

**Level 3** - Developed from unobservable data, reflecting the Company's own assumptions.

Financial assets and liabilities are classified based upon the lowest level of input that is significant to the valuation. When quoted prices in active markets for identical assets and liabilities are available, the Company uses these quoted market prices to determine the fair value of financial assets and liabilities and classifies these assets and liabilities as Level 1. In other cases where a quoted market price for identical assets and liabilities in an active market is either not available or not observable, the Company estimates fair value using valuation methodologies based on available and observable market information or by using a matrix pricing model. These financial assets and liabilities would then be classified as Level 2. If quoted market prices are not available, the Company determines fair value using broker quotes or an internal analysis of each investment's financial performance and cash flow projections. Thus, financial assets and liabilities may be classified in Level 3 even though there may be some significant inputs that may be observable.

There were no material realized and unrealized capital gains, purchases, sales, settlements, or transfers into or out of the Company's Level 3 financial assets during the period ending March 31, 2020.

Transfers in and out of all levels are recognized at the end of the reporting period of which the transfer occurred.

C. The carrying values and estimated fair values of the Company's financial instruments at March 31, 2020 were as follows:

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)	Net Asset Value (NAV) Included in Level 2
Bonds, Short Term, and Cash Equivalents	\$ 13,303,059	\$ 13,296,591	\$ 13,298,720	\$ 4,339	\$ —	\$ —	\$ —

In evaluating the Company's management of interest rate and liquidity risk and currency exposures, the fair values of all assets and liabilities should be taken into consideration, not only those presented above.

D. The Company did not have any financial instruments where it was not practicable to estimate the fair value.

E. The Company has not elected to use the net asset value practical expedient to fair value to measure its investments.

### 21. Other Items

No significant change.

A. through B: No significant change.

### C. Other Disclosures

The Coronavirus Disease 2019 ("COVID-19") pandemic is evolving rapidly. The Company believes COVID-19's adverse impact on the Company's businesses, operating results, cash flows and/or financial condition primarily will be driven by the severity and duration of the pandemic; the pandemic's impact on the U.S. and global economies and consumer behavior and health care utilization patterns; and the timing, scope and impact of stimulus legislation as well as other federal, state and local governmental responses to the pandemic. Those primary drivers are beyond the Company's knowledge and control. As a result, the adverse impact COVID-19 will have on the Company's businesses, operating results, cash flows and/or financial condition is uncertain, but the adverse impact could be material.

### Medicare

The Company was granted approval by CMS to offer Medicare products in the State of Ohio, effective January 1, 2020.

The Company's Medicare Advantage and Standalone Prescription Drug Plan ("PDP") products are heavily regulated by CMS. The regulations and contractual requirements applicable to the Company and other private participants in Medicare programs are complex, expensive to comply with and subject to change. For example, in the second quarter of 2014, CMS issued a final rule implementing the ACA requirements that Medicare Advantage and PDP plans report and refund to CMS overpayments that those plans receive from CMS. The precise interpretation, impact and legality of this rule are not clear and are subject to pending litigation. Payments the Company receives from CMS for its Medicare Advantage and PDP businesses also are subject to risk adjustment based on the health status of the individuals enrolled. Elements of that risk adjustment mechanism continue to be challenged by the U.S. Department of Justice, the OIG and CMS itself. Substantial changes in the risk adjustment mechanism, including changes that result from enforcement or audit actions, could materially affect the amount of the Company's Medicare reimbursement, require the Company to raise prices or reduce the

## STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

benefits offered to Medicare beneficiaries, and potentially limit the Company's (and the industry's) participation in the Medicare program.

The Company has invested significant resources to comply with Medicare standards, and its Medicare compliance efforts will continue to require significant resources. CMS may seek premium and other refunds, prohibit the Company from continuing to market and/or enroll members in or refuse to passively enroll members in one or more of the Company's Medicare or Medicare-Medicaid demonstration (historically known as "dual eligible") plans, exclude the Company from participating in one or more Medicare, dual eligible or dual eligible special needs plan programs and/or institute other sanctions and/or civil monetary penalties against the Company if it fails to comply with CMS regulations or its Medicare contractual requirements.

D. through I: No significant change.

### 22. Events Subsequent

#### A. Type I - Recognized Subsequent Events

Subsequent events have been considered through May 14, 2020 for the statutory statement issued on May 14, 2020.

The Company had no known reportable recognized subsequent events.

#### B. Type II - Non-Recognized Subsequent Events

Subsequent events have been considered through May 14, 2020 for the statutory statement issued on May 14, 2020.

The Company had no known reportable non-recognized subsequent events.

### 23. Reinsurance

No significant change.

### 24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

A. Through annual contracts with CMS, the Company offers insurance plans for Medicare-eligible individuals through the Medicare Advantage program. Members typically receive enhanced benefits over standard Medicare fee-for-service coverage, including reduced cost-sharing for preventative care, vision and other non-Medicare services. Members also typically receive coverage for certain prescription drugs, usually subject to a deductible, co-insurance and/or co-payment. The revenues ultimately received by the Company for each member are based on that member's health status and demographic characteristics, as determined via the CMS risk adjustment process, under which the Company regularly submits risk adjustment data to CMS.

B. Accrued retrospective are recorded through premiums and are estimated based on calculations that compare the Company's expected financial results for the contract against the appropriate medical benefit ratio target.

#### C. Contracts Subject to Retrospective Rating Features

The Company had net premiums written of \$14,409,200 related to its agreements with CMS for the quarter ending March 31, 2020, representing 100.0% of total premium revenue. The Company had net premiums receivable of \$1,209,074 related to its agreements with CMS at March 31, 2020, representing 100.0% of total premiums receivable.

D. No significant change.

#### E. Risk Sharing Provisions of the Affordable Care Act

(1) Did the reporting entity write accident and health insurance premium which is subject to the ACA risk sharing provisions (YES/NO)? Yes [ ] No [ X ].

(2) through (5): Not applicable.

### 25. Change in Incurred Claims and Claim Adjustment Expenses

A. There was no material change in incurred claims and claims adjustment expenses at March 31, 2020.

B. There has been no significant change in the Company's methodologies and assumptions used in calculating the liability for unpaid losses and loss adjustment expenses.

### 26. Intercompany Pooling Arrangements

No significant change.

### 27. Structured Settlements

No significant change.

### 28. Health Care Receivables

## STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

### A. Pharmaceutical Rebate Receivables

The Company receives pharmaceutical rebates through an agreement with AHM. AHM has contractual agreements with pharmaceutical companies for rebates, which cover the Company's membership as well as the membership of other Aetna affiliates. The Company receives those rebates from AHM that relate to the Company's membership. The Company estimates pharmaceutical rebate receivables based upon the historical payment trends, actual utilization and other variables. Actual rebates collected are applied to the collection periods below, using a first in first out methodology. At March 31, 2020, the Company had pharmaceutical rebate receivables of \$354,590.

The following table discloses the quarterly revenue and subsequent cash collections relating to the pharmaceutical rebates discussed in Note 10.

Quarter	Estimated Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Billed or Otherwise Confirmed	Actual Rebates Received Within 90 Days of Billing	Actual Rebates Received Within 91 to 180 Days of Billing	Actual Rebates Received More Than 180 Days After Billing
3/31/2020	\$ 954,848	\$ —	\$ 600,258 <sup>1</sup>	\$ —	\$ —

<sup>1</sup> Represents a portion of the estimated rebates for the quarter ending March 31, 2020, which were paid by AHM to the Company prior to March 31, 2020 and invoicing in 2020.

### B. Risk sharing receivables

The Company did not have any admitted risk sharing receivables at March 31, 2020.

#### Other receivables

##### Pharmacy Direct and Indirect Remuneration (“DIR”) Generic

The Company receives retrospective generic performance network rebates (“PNR”) on its Medicare business through an agreement with AHM. AHM has contractual agreements with network pharmacies for PNR. The PNR is performance based upon whether the participating pharmacies have met certain pre-established rates specified in the contract. The PNR is calculated by multiplying the applicable claims with a variable network rate based on the actual performance. The PNR receivables fit the category of other health care receivables per SSAP No. 84, Health Care and Government Insured Plan Receivables.

##### Pharmacy DIR Brand

The Company receives retrospective brand PNR on its Medicare business through an agreement with AHM. As mentioned above, AHM has contractual agreements with network pharmacies for PNR. The program collects varying percentages of brand ingredient cost from pharmacies, depending how well they perform on adherence measures, including stars-related measures. The PNR agreement for 2020 has three performance measurement periods ending April 30, August 31 and December 31, respectively. The PNR receivables fit the category of other health care receivables per SSAP No. 84, Health Care and Government Insured Plan Receivables.

### 29. Participating Policies

No significant change.

### 30. Premium Deficiency Reserves

No significant change.

### 31. Anticipated Salvage and Subrogation

No significant change.

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.  
**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]
- 1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]
- 2.2 If yes, date of change: \_\_\_\_\_
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [ X ] No [ ]  
 If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ X ] No [ ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.  
 On January 6, 2020, PT Aetna Management Consulting changed its ownership of 23% from PT Asuransi Central Asia to Aetna Global Benefits (Asia Pacific) Limited. On January 23, 2020, Aetna Health Holdings, LLC acquired IlliniCare Health Plan, Inc.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ X ] No [ ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. ..... 0000064803
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]  
 If yes, complete and file the merger history data file with the NAIC.
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ X ] No [ ] N/A [ ]  
 If yes, attach an explanation.  
 Existing Administrative Services Agreement was amended to include provisions that additional services will be provided by other affiliates.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ..... 12/31/2015
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ..... 12/31/2015
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ..... 07/06/2017
- 6.4 By what department or departments?  
 Iowa Insurance Division
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ ] No [ ] N/A [ X ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [ X ]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

**STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.**  
**GENERAL INTERROGATORIES**

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [  ] No [  ]  
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? ..... Yes [  ] No [  ]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [  ] No [  ]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**FINANCIAL**

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [  ] No [  ]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ ..... 0

**INVESTMENT**

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [  ] No [  ]
- 11.2 If yes, give full and complete information relating thereto:
- |   | <b>1</b><br>Prior Year-End<br>Book/Adjusted<br>Carrying Value | <b>2</b><br>Current Quarter<br>Book/Adjusted<br>Carrying Value |
|---|---|--|
| 12. Amount of real estate and mortgages held in other invested assets in Schedule BA: .....   | \$ ..... 0  | \$ ..... 0   |
| 13. Amount of real estate and mortgages held in short-term investments: .....   | \$ ..... 0  | \$ ..... 0   |
| 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [ <input type="checkbox"/> ] No [ <input checked="" type="checkbox"/> ]   |   |  |
| 14.2 If yes, please complete the following:   |   |  |
| 14.21 Bonds .....   | \$ ..... 0  | \$ ..... 0   |
| 14.22 Preferred Stock .....   | \$ ..... 0  | \$ ..... 0   |
| 14.23 Common Stock .....  | \$ ..... 0  | \$ ..... 0   |
| 14.24 Short-Term Investments .....  | \$ ..... 0  | \$ ..... 0   |
| 14.25 Mortgage Loans on Real Estate .....   | \$ ..... 0  | \$ ..... 0   |
| 14.26 All Other .....   | \$ ..... 0  | \$ ..... 0   |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) .....   | \$ ..... 0  | \$ ..... 0   |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....   | \$ ..... 0  | \$ ..... 0   |
| 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [ <input type="checkbox"/> ] No [ <input checked="" type="checkbox"/> ]  |   |  |
| 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [ <input type="checkbox"/> ] No [ <input type="checkbox"/> ] N/A [ <input type="checkbox"/> ] |   |  |
| 15.2 If no, attach a description with this statement.   |   |  |
| 16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:  |   |  |
| 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. ....  | \$ ..... 0  |  |
| 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. ....  | \$ ..... 0  |  |
| 16.3 Total payable for securities lending reported on the liability page. ....  | \$ ..... 0  |  |

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

**GENERAL INTERROGATORIES**

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ X ] No [ ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
State Street Bank and Trust Company .....	State Street Financial Center; One Lincoln Street; Boston, MA 02111-2900 .....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....	.....	.....

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [ X ]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....	.....	.....	.....

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Kevin J. Casey as Senior Investment Officer .....	A.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? ..... Yes [ ] No [ X ]

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? ..... Yes [ ] No [ X ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
.....	Kevin J. Casey .....	.....	Not registered .....	.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - Issuer or obligor is current on all contracted interest and principal payments.
  - The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? ..... Yes [ ] No [ X ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- The security was purchased prior to January 1, 2018.
  - The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? ..... Yes [ ] No [ X ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- The shares were purchased prior to January 1, 2019.
  - The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
  - The fund only or predominantly holds bonds in its portfolio.
  - The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
  - The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [ ] No [ X ]

**GENERAL INTERROGATORIES****PART 2 - HEALTH**

1. Operating Percentages:
- |   |        |
|---|--------|
| 1.1 A&H loss percent .....  | 69.5 % |
| 1.2 A&H cost containment percent .....                            | 1.2 %  |
| 1.3 A&H expense percent excluding cost containment expenses ..... | 8.6 %  |
- 2.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]
- 2.2 If yes, please provide the amount of custodial funds held as of the reporting date ..... \$ ..... 0
- 2.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]
- 2.4 If yes, please provide the balance of the funds administered as of the reporting date ..... \$ ..... 0
3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [ X ] No [ ]
- 3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ ]

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

## **SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

# NON E

**SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS**

Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Business Only							
		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums & Other Considerations	7 Property/ Casualty Premiums	8 Total Columns 2 Through 7	9 Deposit-Type Contracts
1. Alabama	AL	N	0	0	0	0	0	0	0
2. Alaska	AK	N	0	0	0	0	0	0	0
3. Arizona	AZ	N	0	0	0	0	0	0	0
4. Arkansas	AR	N	0	0	0	0	0	0	0
5. California	CA	N	0	0	0	0	0	0	0
6. Colorado	CO	N	0	0	0	0	0	0	0
7. Connecticut	CT	N	0	0	0	0	0	0	0
8. Delaware	DE	N	0	0	0	0	0	0	0
9. District of Columbia	DC	N	0	0	0	0	0	0	0
10. Florida	FL	N	0	0	0	0	0	0	0
11. Georgia	GA	N	0	0	0	0	0	0	0
12. Hawaii	HI	N	0	0	0	0	0	0	0
13. Idaho	ID	N	0	0	0	0	0	0	0
14. Illinois	IL	N	0	0	0	0	0	0	0
15. Indiana	IN	N	0	0	0	0	0	0	0
16. Iowa	IA	L	0	0	0	0	0	0	0
17. Kansas	KS	N	0	0	0	0	0	0	0
18. Kentucky	KY	L	0	0	0	0	0	0	0
19. Louisiana	LA	N	0	0	0	0	0	0	0
20. Maine	ME	N	0	0	0	0	0	0	0
21. Maryland	MD	N	0	0	0	0	0	0	0
22. Massachusetts	MA	N	0	0	0	0	0	0	0
23. Michigan	MI	N	0	0	0	0	0	0	0
24. Minnesota	MN	N	0	0	0	0	0	0	0
25. Mississippi	MS	N	0	0	0	0	0	0	0
26. Missouri	MO	N	0	0	0	0	0	0	0
27. Montana	MT	N	0	0	0	0	0	0	0
28. Nebraska	NE	N	0	0	0	0	0	0	0
29. Nevada	NV	N	0	0	0	0	0	0	0
30. New Hampshire	NH	N	0	0	0	0	0	0	0
31. New Jersey	NJ	N	0	0	0	0	0	0	0
32. New Mexico	NM	N	0	0	0	0	0	0	0
33. New York	NY	N	0	0	0	0	0	0	0
34. North Carolina	NC	N	0	0	0	0	0	0	0
35. North Dakota	ND	N	0	0	0	0	0	0	0
36. Ohio	OH	L	0	14,409,200	0	0	0	14,409,200	0
37. Oklahoma	OK	N	0	0	0	0	0	0	0
38. Oregon	OR	N	0	0	0	0	0	0	0
39. Pennsylvania	PA	N	0	0	0	0	0	0	0
40. Rhode Island	RI	N	0	0	0	0	0	0	0
41. South Carolina	SC	N	0	0	0	0	0	0	0
42. South Dakota	SD	N	0	0	0	0	0	0	0
43. Tennessee	TN	N	0	0	0	0	0	0	0
44. Texas	TX	N	0	0	0	0	0	0	0
45. Utah	UT	N	0	0	0	0	0	0	0
46. Vermont	VT	N	0	0	0	0	0	0	0
47. Virginia	VA	N	0	0	0	0	0	0	0
48. Washington	WA	N	0	0	0	0	0	0	0
49. West Virginia	WV	N	0	0	0	0	0	0	0
50. Wisconsin	WI	N	0	0	0	0	0	0	0
51. Wyoming	WY	N	0	0	0	0	0	0	0
52. American Samoa	AS	N	0	0	0	0	0	0	0
53. Guam	GU	N	0	0	0	0	0	0	0
54. Puerto Rico	PR	N	0	0	0	0	0	0	0
55. U.S. Virgin Islands	VI	N	0	0	0	0	0	0	0
56. Northern Mariana Islands	MP	N	0	0	0	0	0	0	0
57. Canada	CAN	N	0	0	0	0	0	0	0
58. Aggregate Other Aliens	OT	XXX	0	0	0	0	0	0	0
59. Subtotal		XXX	0	14,409,200	0	0	0	14,409,200	0
60. Reporting Entity Contributions for Employee Benefit Plans		XXX	0	0	0	0	0	0	0
61. Totals (Direct Business)		XXX	0	14,409,200	0	0	0	14,409,200	0
DETAILS OF WRITE-INS									
58001.		XXX							
58002.		XXX							
58003.		XXX							
58998. Summary of remaining write-ins for Line 58 from overflow page		XXX	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)		XXX	0	0	0	0	0	0	0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG..... 3 R - Registered - Non-domiciled RRGs..... 0

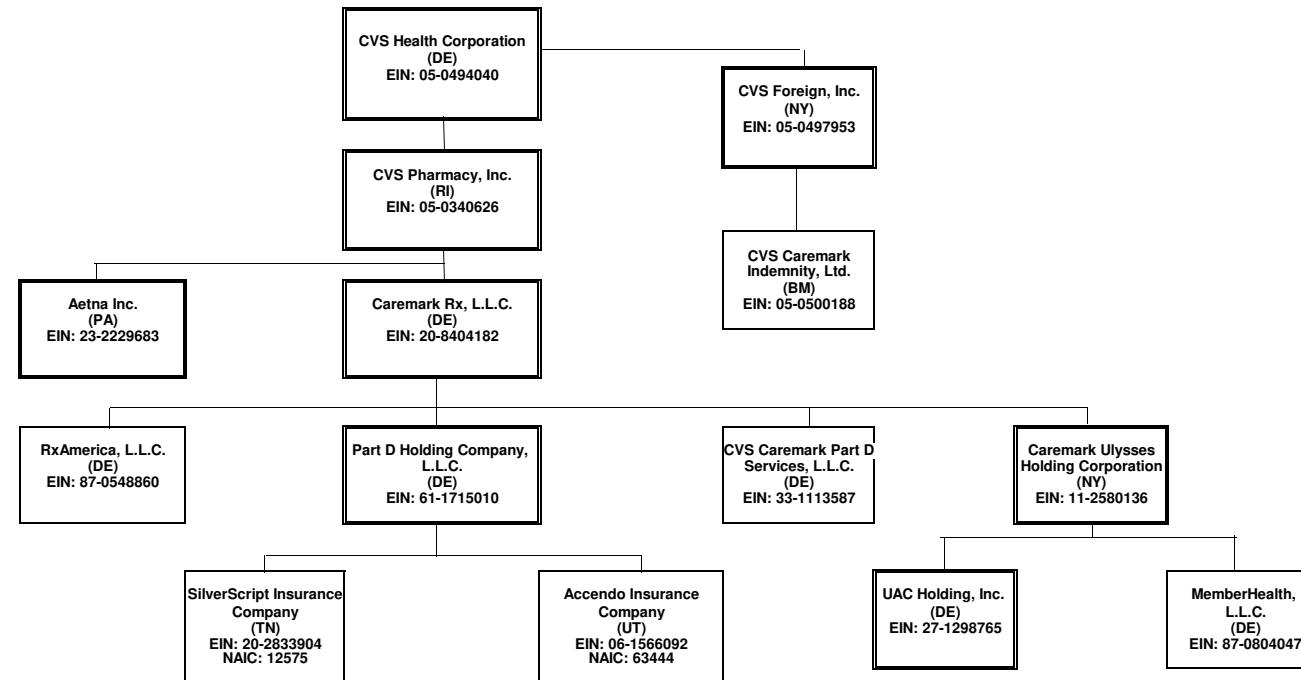
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state..... 0 Q - Qualified - Qualified or accredited reinsurer..... 0

N - None of the above - Not allowed to write business in the state..... 54

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



This organizational chart reflects the insurance entity reporting system and identifies the relationship between the ultimate parent and all member insurers. The ultimate controlling company is a Fortune 7 company with numerous subsidiaries, the majority of which do not interact with the insurance entities.

(1) Insurers/HMO's

Percentages are rounded to the nearest whole percent and based on ownership of voting rights.

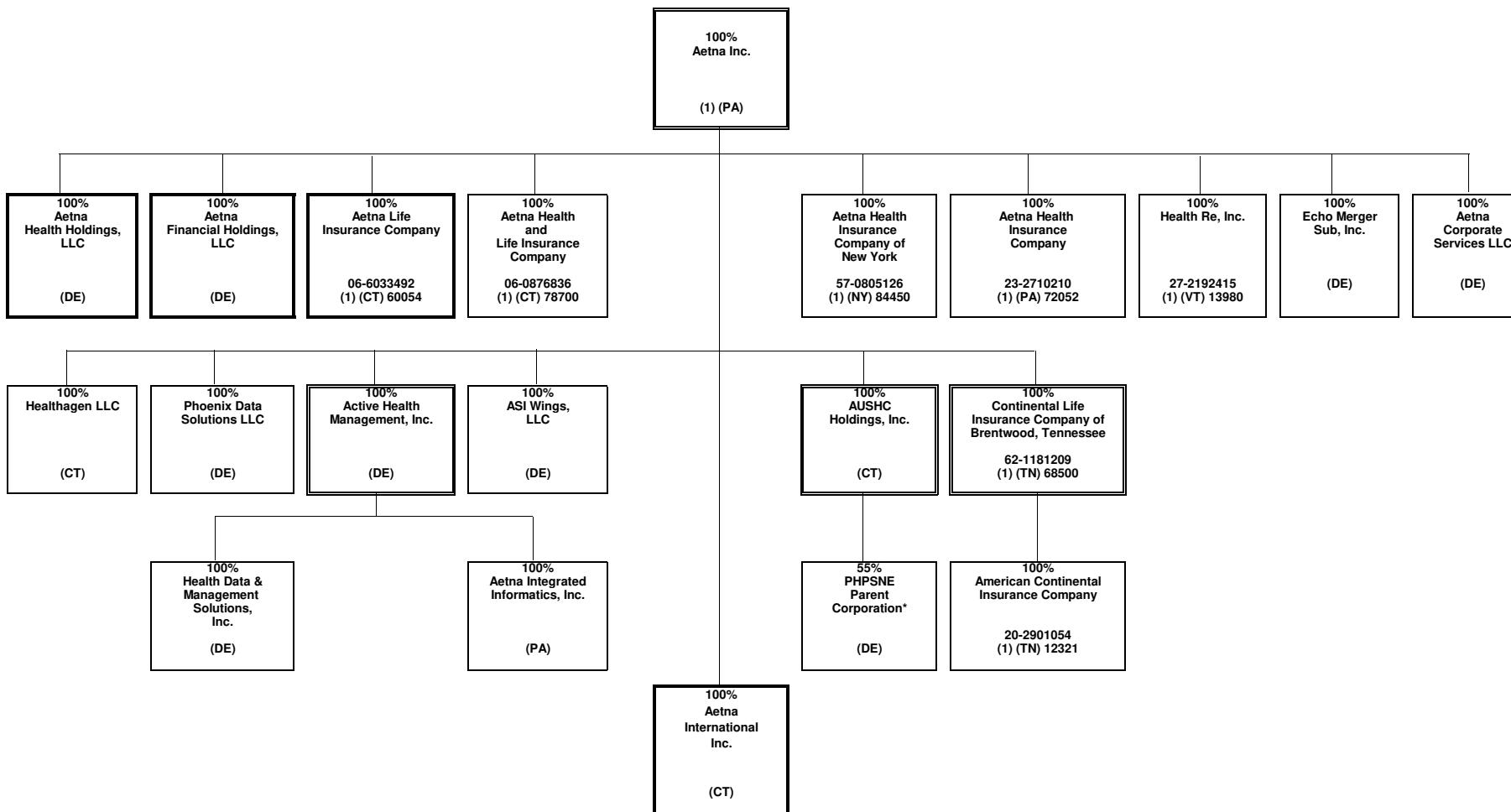
Double borders indicate entity has subsidiaries shown on the same page.

Bold borders indicate entity has subsidiaries shown on a separate page.

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP**

**PART 1 - ORGANIZATIONAL CHART**

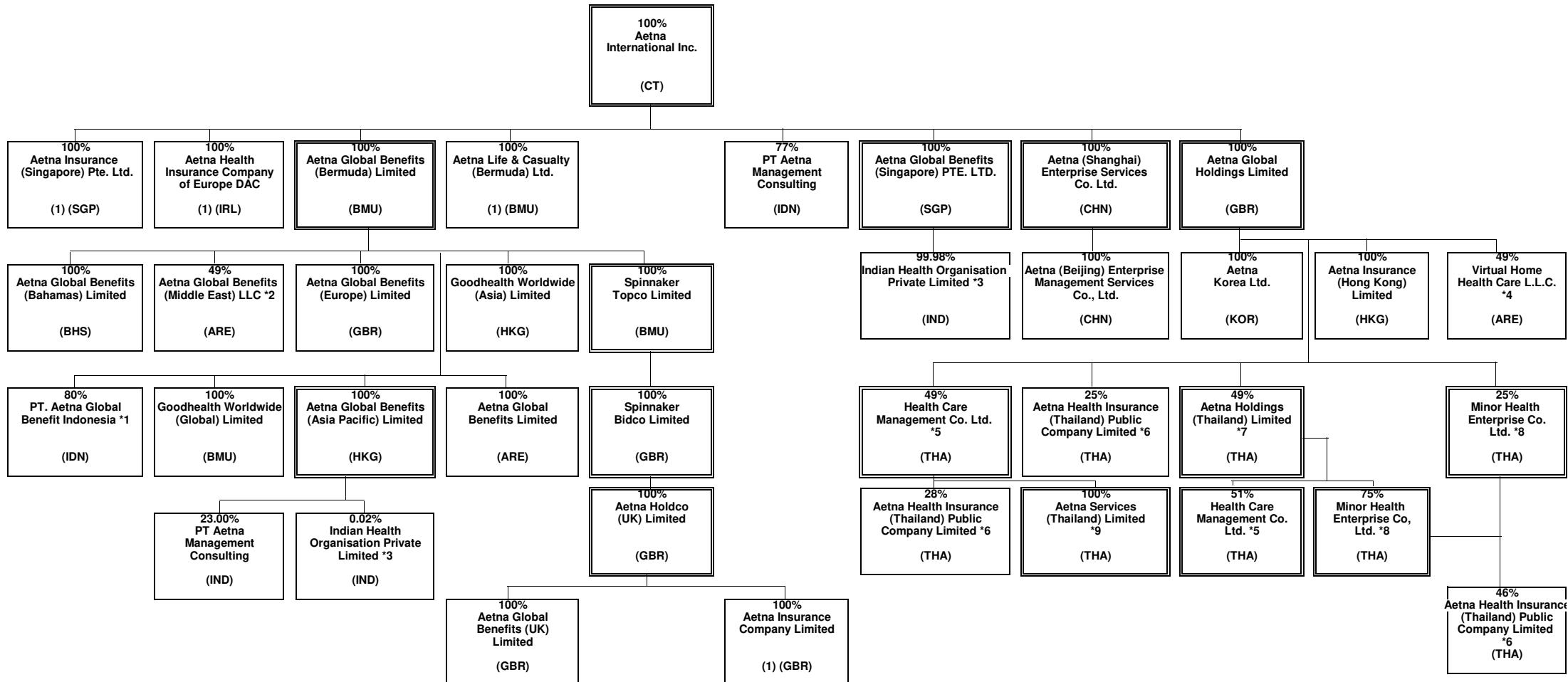


\* PHPNE Parent Corporation is also 45% owned by third parties.

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP

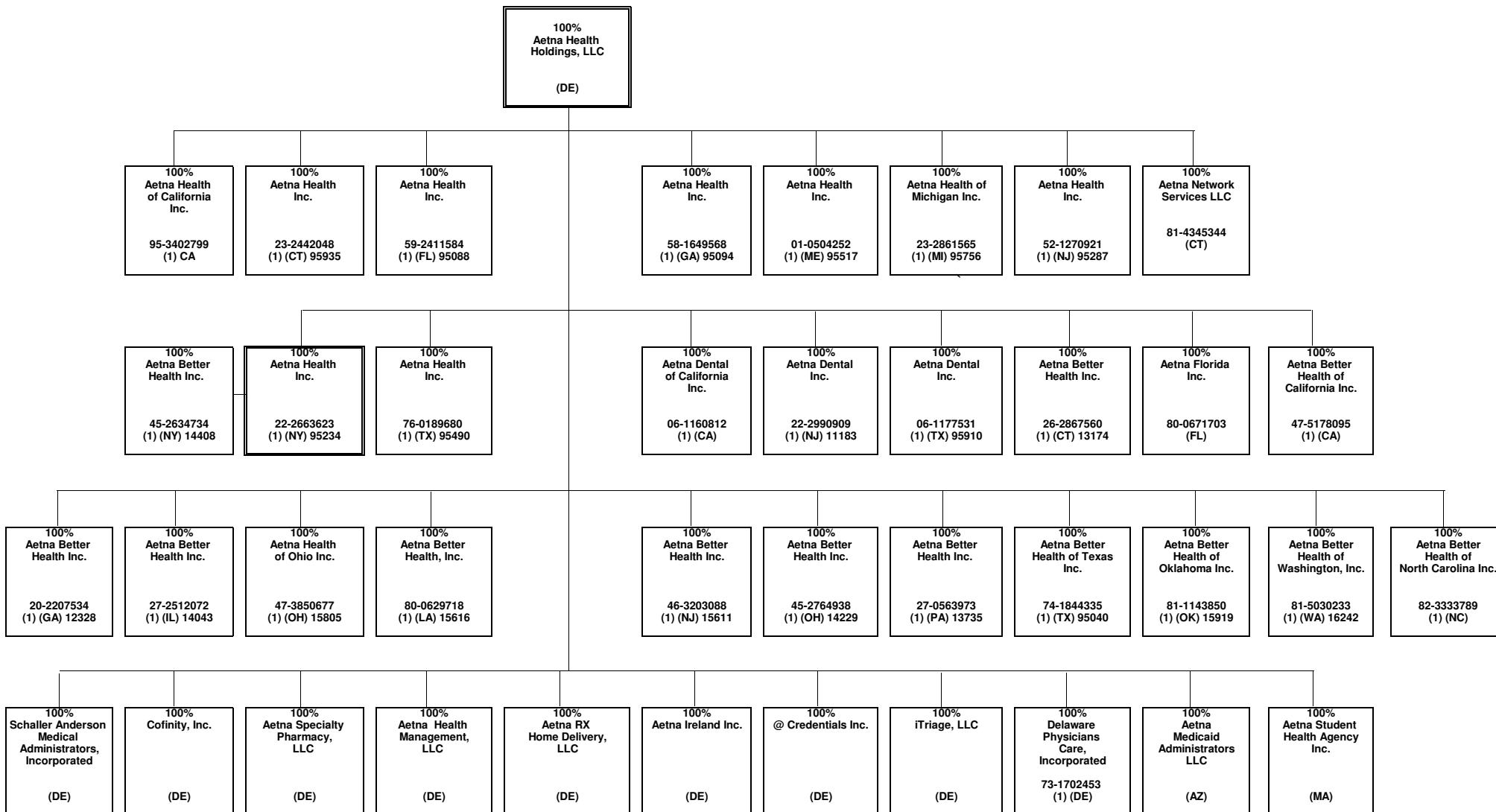
PART 1 - ORGANIZATIONAL CHART



STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP

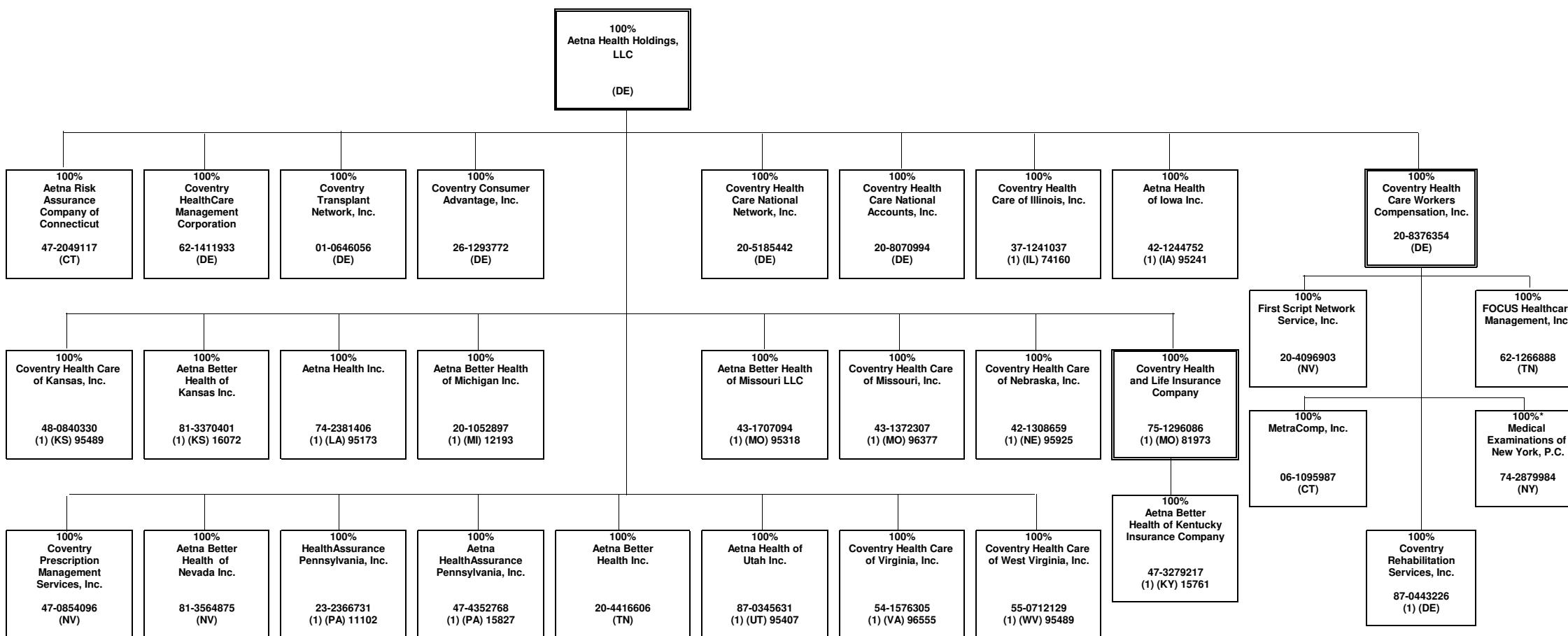
PART 1 - ORGANIZATIONAL CHART



STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP**

**PART 1 - ORGANIZATIONAL CHART**

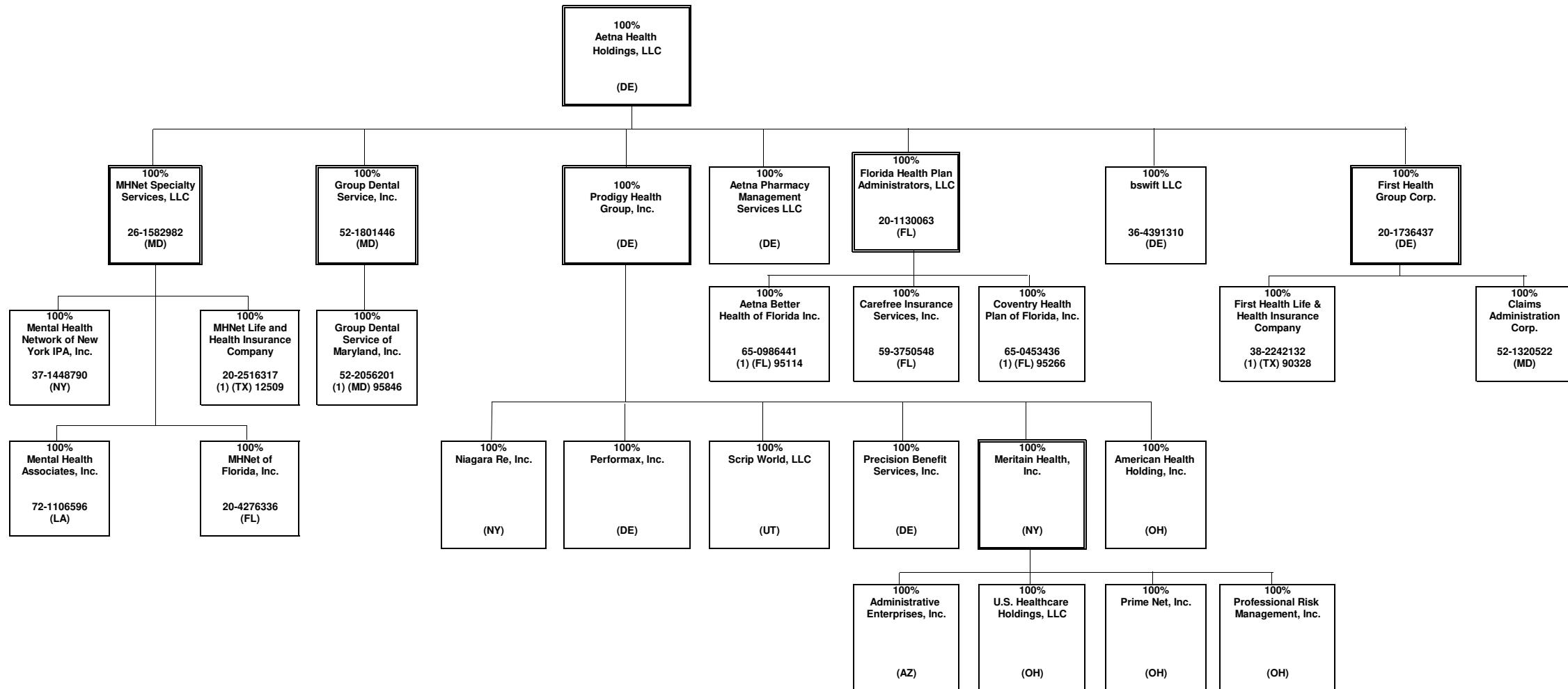


\*100% owned through Aetna's nominees

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP

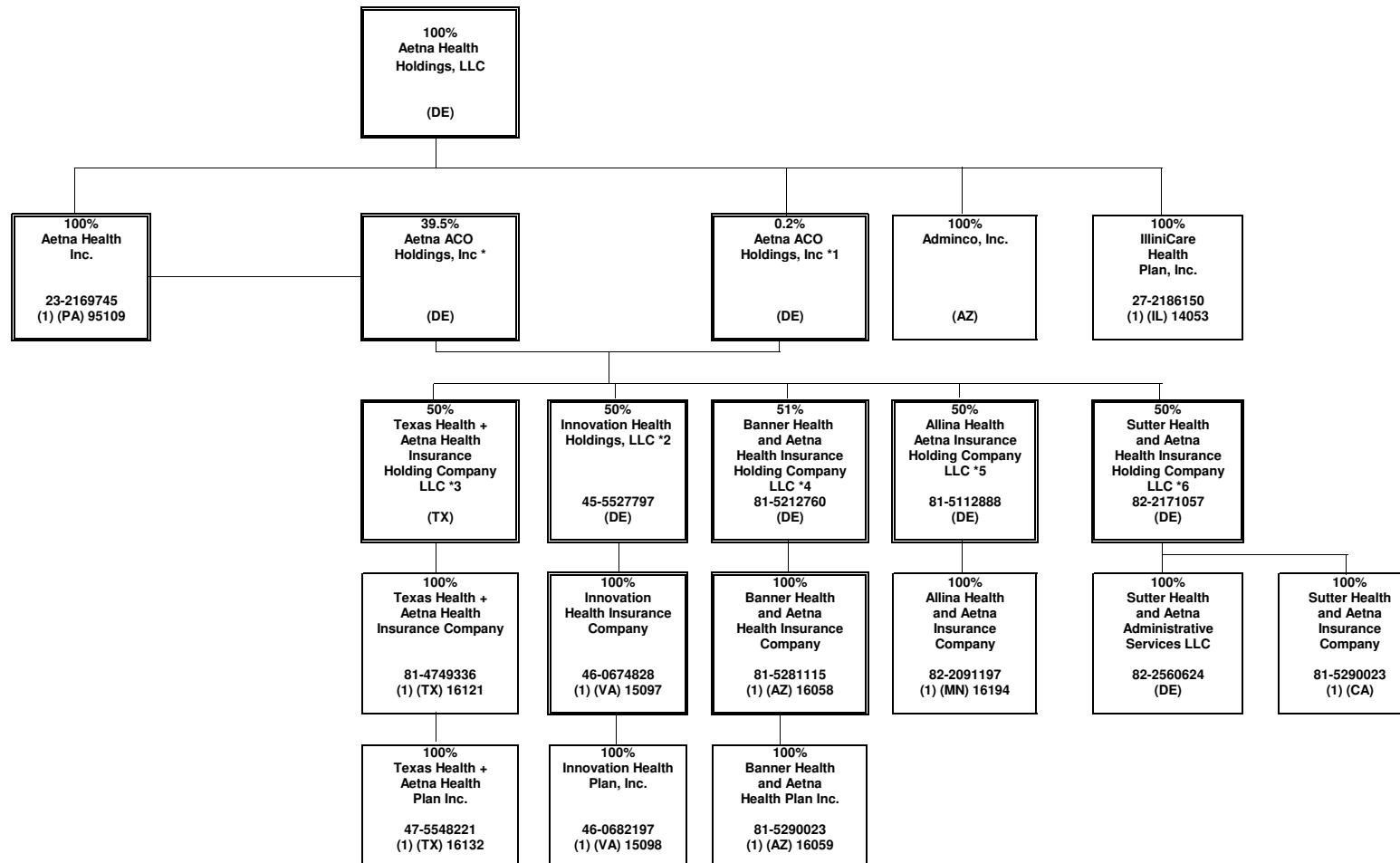
PART 1 - ORGANIZATIONAL CHART



STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



\*1 Aetna ACO Holdings Inc. is owned by Aetna Life Insurance Company (302 shares); Aetna Health Inc. (PA) (198 shares); and Aetna Health Holdings, LLC (1 share).

\*2 Innovation Health Holdings, LLC is also 50% owned by Inova Health System Foundation.

\*3 Texas Health + Aetna Health Insurance Holding Company LLC is also 50% owned by Texas Health Resources.

\*4 Banner Health and Aetna Health Insurance Holding Company LLC is also 49% owned by Banner Health.

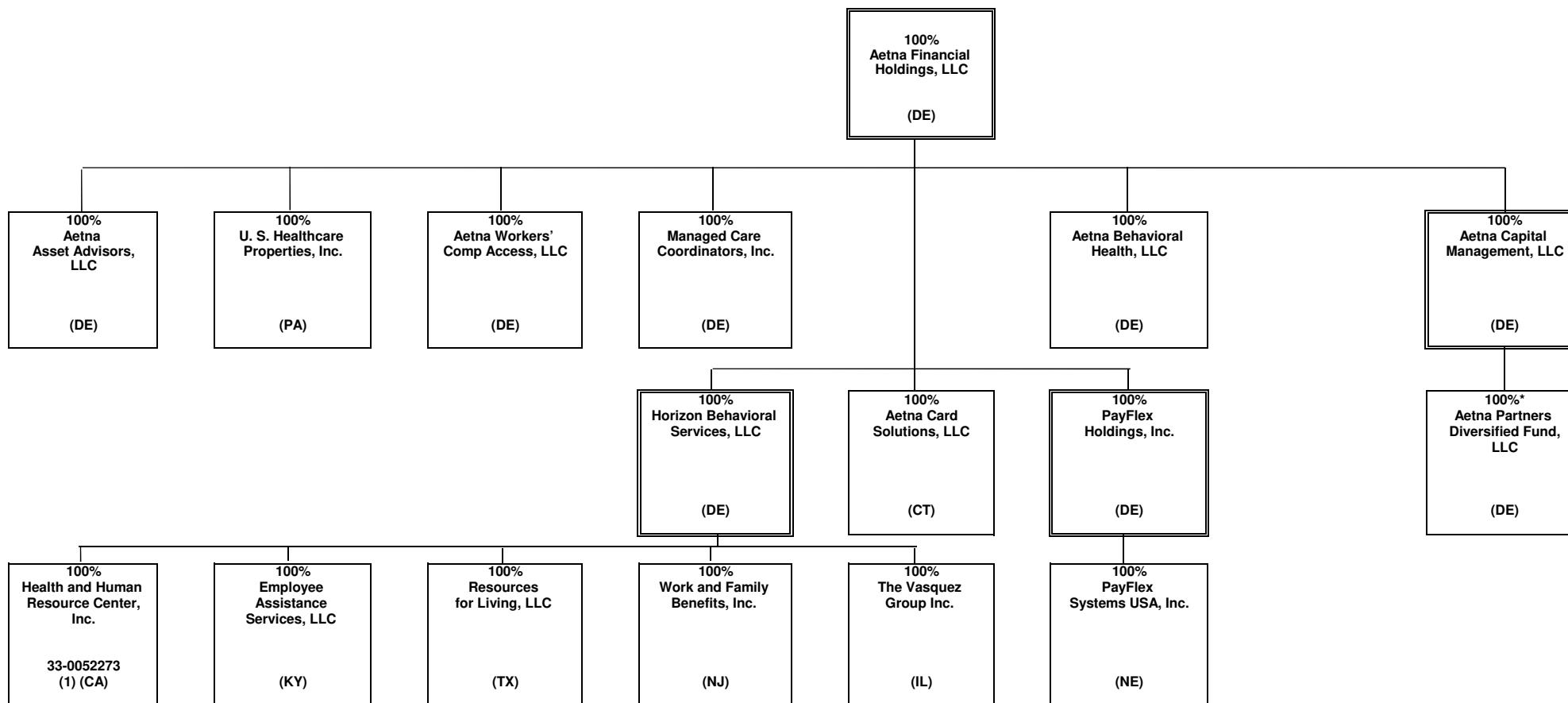
\*5 Allina Health and Aetna Insurance Holding Company LLC is also 50% owned by Allina Health.

\*6 Sutter Health and Aetna Insurance Holding Company LLC is also 50% owned by Sutter Health Plan Products Organization, LLC.

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP**

**PART 1 - ORGANIZATIONAL CHART**

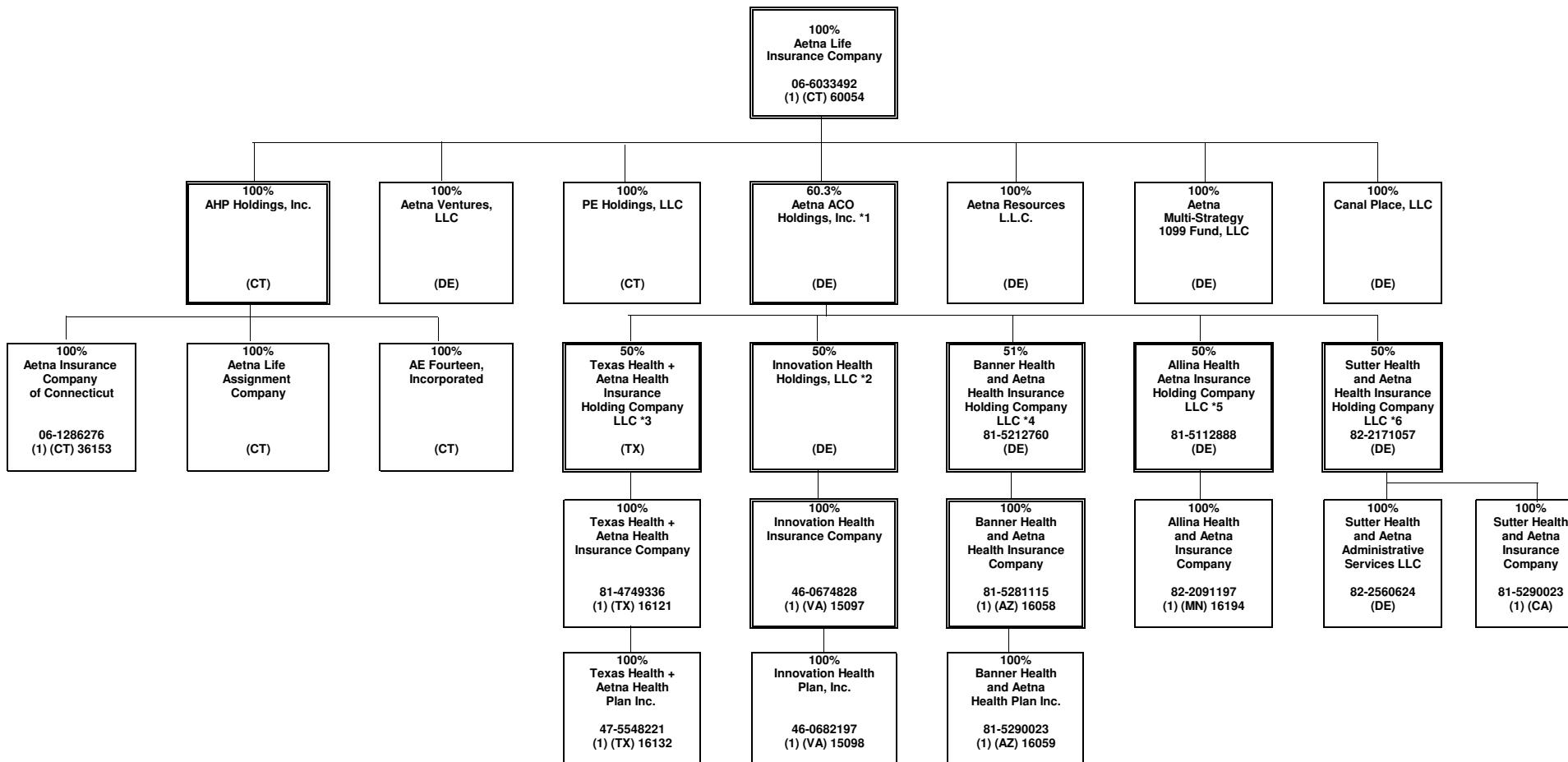


\* Aetna Life Insurance Company owns substantially all of the non-managing member interests of Aetna Partners Diversified Fund, LLC.

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



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STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Rela-tion-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(es)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
..0001	CVS HEALTH GROUP	..63444	05-0494040	06-1566092	0000064803	NYSE	CVS Health Corporation	DE	UIP	Board of Directors	Board of Directors	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..12575	20-2833904			Accendo Insurance Company	UT	IA		Part D Holding Company, L.L.C.	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	05-0340626			SilverScript Insurance Company	TN	IA		Part D Holding Company, L.L.C.	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	20-8404182			CVS Pharmacy, Inc	RI	UIP		CVS Health Corporation	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	61-1715010			Caremark, Rx., L.L.C.	DE	NIA		CVS Pharmacy, Inc	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	33-1113587			Part D Holding Company, L.L.C.	DE	NIA		Caremark, Rx., L.L.C.	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	87-0548860			CVS Caremark Part D Services, L.L.C.	DE	NIA		Caremark, Rx., L.L.C.	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	11-2580136			RxAmerica, L.L.C.	DE	NIA		Caremark, Rx., L.L.C.	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	87-0804047			Caremark Ulysses Holding Corporation	NY	NIA		Caremark, Rx., L.L.C.	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	05-0500188			MemberHealth L.L.C.	DE	NIA		Caremark Ulysses Holding Corporation	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	27-1298765			CVS Caremark Indemnity, Ltd.	BMU	IA		CVS Foreign, Inc.	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	05-0497953			UAC Holding, Inc.	DE	NIA		CVS Ulysses Holding Corporation	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	23-2229683	3060706	0001122304	CVS Foreign, Inc.	NY	NIA		CVS Health Corporation	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	30-0123754			Aetna Inc.	PA	UIP		CVS Pharmacy, Inc	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	95-3402799			Aetna Health Holdings, LLC	DE	UDP		Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..95935	23-2442048			Aetna Health of California Inc.	CA	IA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..95088	59-2411584			Aetna Health Inc.	CT	IA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..95094	58-1649568			Aetna Health Inc.	FL	IA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..95157	01-0504252			Aetna Health Inc.	GA	IA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..95756	23-2861565			Aetna Health Inc.	ME	IA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..95287	52-1270921			Aetna Health Inc.	MI	IA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..95234	22-2663623			Aetna Health Inc.	NJ	IA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..14408	45-2634734			Aetna Better Health Inc.	NY	IA		Aetna Health Inc. (NY)	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..95490	76-0189680			Aetna Health Inc.	TX	IA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..95040	74-1844335			Aetna Better Health of Texas Inc.	TX	IA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..12328	20-2207534			Aetna Better Health Inc.	GA	IA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	06-1160812			Aetna Dental of California Inc.	CA	IA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..11183	22-2990909			Aetna Dental Inc.	NJ	IA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..95910	06-1177531			Aetna Dental Inc.	TX	IA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	30-0123760			Aetna Rx Home Delivery, LLC	DE	NIA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	13-3670795			Aetna Health Management, LLC	DE	NIA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	22-3187443			Aetna Ireland Inc.	DE	NIA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	57-1209768			Aetna Specialty Pharmacy, LLC	DE	NIA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	20-1274723			Cofinity, Inc.	DE	NIA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	23-2671370			@Credentials Inc.	DE	NIA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	13735	27-0563973		Aetna Services (Thailand) Limited	THA	NIA		Health Care Management Co. Ltd.	Ownership	100.00	CVS Health Corporation	N	18
..0001	CVS HEALTH GROUP	..13174	26-2867560			Aetna Better Health Inc.	PA	IA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	47-5178095			Aetna Better Health Inc.	CT	IA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..14043	27-2512072			Aetna Better Health of California Inc.	CA	IA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..15805	47-3850677			Aetna Better Health Inc.	IL	IA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..15616	80-0629718			Aetna Health of Ohio Inc.	OH	RE		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	80-0671703			Aetna Better Health, Inc.	LA	IA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..14229	25-2764938			Aetna Florida Inc.	FL	NIA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..15611	46-3203088			Aetna Better Health Inc.	OH	IA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..15919	81-1143850			Aetna Better Health Inc.	NJ	IA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	04-2708160			Aetna Better Health of Oklahoma Inc.	OK	IA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	73-1702453			Aetna Student Health Agency Inc.	MA	NIA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	01-0826783			Delaware Physicians Care, Incorporated	DE	IA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	86-0842559			Schaller Anderson Medical Administrators, Incorporated	DE	NIA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	45-2944270			Aetna Medicaid Administrators LLC	AZ	NIA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	16-1471176			iTriage, LLC	DE	NIA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
..0001	CVS HEALTH GROUP	..00000	Prodigy Health Group, Inc.			Aetna Health Holdings, LLC	DE	NIA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Rela-tion-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(es)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
0001	CVS HEALTH GROUP	00000	45-4901541				Aetna ACO Holdings, Inc.	DE	N/A	Aetna Health Holdings, LLC	Ownership	0.200	CVS Health Corporation	Y	3
0001	CVS HEALTH GROUP	00000	45-5527797				Innovation Health Holdings, LLC	DE	N/A	Aetna ACO Holdings, Inc.	Ownership	50.000	CVS Health Corporation	N	6
0001	CVS HEALTH GROUP	15097	46-0674828				Innovation Health Insurance Company	VA	IA	Innovation Health Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	15098	46-0682197				Innovation Health Plan, Inc.	VA	IA	Innovation Health Insurance Company	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP						Texas Health + Aetna Health Insurance Holding Company LLC	TX	N/A	Aetna ACO Holdings, Inc.	Ownership	50.000	CVS Health Corporation	N	9
0001	CVS HEALTH GROUP	00000	81-3789357				Texas Health + Aetna Health Insurance Company	TX	IA	Texas Health + Aetna Health Insurance Holding Company LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	16121	81-4749336							Texas Health + Aetna Health Insurance					
0001	CVS HEALTH GROUP	16132	47-5548221				Texas Health + Aetna Health Plan Inc.	TX	IA	Company	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95109	23-2169745				Aetna Health Inc.	PA	IA	Aetna Health Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	45-4901541				Aetna ACO Holdings, Inc.	DE	N/A	Aetna Health Inc. (PA)	Ownership	39.500	CVS Health Corporation	Y	3
0001	CVS HEALTH GROUP	00000	20-0438576				Niagara Re, Inc.	NY	IA	Prodigy Health Group, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	52-2200070				Performax, Inc.	DE	N/A	Prodigy Health Group, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	87-0632355				Scrip World, LLC	UT	IA	Prodigy Health Group, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	27-1760756				Precision Benefit Services, Inc.	DE	IA	Prodigy Health Group, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	31-1368946				American Health Holding, Inc.	OH	IA	Prodigy Health Group, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	16-1264154				Meritain Health, Inc.	NY	IA	Prodigy Health Group, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	86-0537707				Adminco, Inc.	AZ	IA	Aetna Health Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	86-0527428				Administrative Enterprises, Inc.	AZ	IA	Meritain Health, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	16-1684061				U.S. Healthcare Holdings, LLC	OH	IA	Meritain Health, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	34-1670299				Prime Net, Inc.	OH	IA	Meritain Health, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	34-1348032				Professional Risk Management, Inc.	OH	IA	Meritain Health, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	68500	62-1181209				Continental Life Insurance Company of Brentwood, Tennessee	TN	IA	Aetna Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	12321	20-2901054				American Continental Insurance Company	TN	IA	Continental Life Insurance Company of Brentwood, Tennessee	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	60054	06-6033492				Aetna Life Insurance Company	CT	IA	Aetna Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	45-4901541				Aetna ACO Holdings, Inc.	DE	N/A	Aetna Life Insurance Company	Ownership	60.300	CVS Health Corporation	Y	3
0001	CVS HEALTH GROUP	00000	06-1270755				AHP Holdings, Inc.	CT	IA	Aetna Life Insurance Company	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	36153	06-1286276				Aetna Insurance Company of Connecticut	CT	IA	AHP Holdings, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	06-1028469				AE Fourteen, Incorporated	CT	IA	AHP Holdings, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	06-1373153				Aetna Life Assignment Company	CT	IA	AHP Holdings, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-3678339				PE Holdings, LLC	CT	IA	Aetna Life Insurance Company	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	06-1423207				Aetna Resources L.L.C.	DE	IA	Aetna Life Insurance Company	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	06-1423207				Canal Place, LLC	DE	IA	Aetna Life Insurance Company	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-3180700				Aetna Ventures, LLC	DE	IA	Aetna Life Insurance Company	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	06-1423207				Aetna Multi-Strategy 1099 Fund, LLC	DE	IA	Aetna Life Insurance Company	Ownership	79.250	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	41-2035961				Aetna Financial Holdings, LLC	DE	IA	Aetna Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	26-2030792				Aetna Asset Advisors, LLC	DE	IA	Aetna Financial Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	23-2354500				U.S. Healthcare Properties, Inc.	PA	IA	Aetna Financial Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	38-3704481				Aetna Capital Management, LLC	DE	IA	Aetna Financial Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	11-3667142				Aetna Partners Diversified Fund, LLC	DE	IA	Aetna Capital Management, LLC	Ownership	100.000	CVS Health Corporation	N	1
0001	CVS HEALTH GROUP	00000	20-0446676				Aetna Workers' Comp Access, LLC	DE	IA	Aetna Financial Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-0446713				Aetna Behavioral Health, LLC	DE	IA	Aetna Financial Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	23-2670015				Managed Care Coordinators, Inc.	DE	IA	Aetna Financial Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	59-3269144				Horizon Behavioral Services, LLC	DE	IA	Aetna Financial Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	61-1193498				Employee Assistance Services, LLC	KY	IA	Horizon Behavioral Services, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	33-0052273				Health and Human Resource Center, Inc.	CA	IA	Horizon Behavioral Services, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	75-2420973				Resources for Living, LLC	TX	IA	Horizon Behavioral Services, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	36-3681261				The Vasquez Group Inc.	IL	IA	Horizon Behavioral Services, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	22-3178125				Work and Family Benefits, Inc.	NJ	IA	Horizon Behavioral Services, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	27-1773021				Aetna Card Solutions, LLC	CT	IA	Aetna Financial Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-5216478				PayFlex Holdings, Inc.	DE	IA	Aetna Financial Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Rela-tionship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(es)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
0001	CVS HEALTH GROUP	00000	91-1774434			PayFlex Systems USA, Inc.	NE	NIA		PayFlex Holdings, Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	78700	06-0876836			Aetna Health and Life Insurance Company	CT	IA		Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	72052	23-2710210			Aetna Health Insurance Company	PA	IA		Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	84450	57-0805126			Aetna Health Insurance Company of New York	NY	IA		Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	06-1571642			Aetna International Inc.	CT	NIA		Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	98-0211470			Aetna Life & Casualty (Bermuda) Ltd.	BMU	IA		Aetna International Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Insurance (Singapore) PTE. LTD.	SGP	IA		Aetna International Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Global Benefits (Bermuda) Limited	BMU	NIA		Aetna International Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Goodhealth Worldwide (Global) Limited	BMU	NIA		Aetna Global Benefits (Bermuda) Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Global Benefits (Europe) Limited	GBR	IA		Aetna Global Benefits (Bermuda) Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Goodhealth Worldwide (Asia) Limited	HKG	IA		Aetna Global Benefits (Bermuda) Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Global Benefits Limited	ARE	NIA		Aetna Global Benefits (Bermuda) Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				PT. Aetna Global Benefits Indonesia	IDN	IA		Aetna Global Benefits (Bermuda) Limited	Ownership	80.00	CVS Health Corporation	N	4
0001	CVS HEALTH GROUP	00000				Aetna Global Benefits (Middle East) LLC	ARE	NIA		Aetna Global Benefits (Bermuda) Limited	Ownership	49.00	CVS Health Corporation	N	5
0001	CVS HEALTH GROUP	00000				Aetna Global Benefits (Asia Pacific) Limited	HKG	NIA		Aetna Global Benefits (Bermuda) Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				PT Aetna Management Consulting	IDN	NIA		Aetna International Inc.	Ownership	77.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Spinnaker Topco Limited	BMU	NIA		Aetna Global Benefits (Bermuda) Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Spinnaker Bidco Limited	GBR	NIA		Spinnaker Topco Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Holdco (UK) Limited	GBR	NIA		Spinnaker Bidco Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				PT Aetna Management Consulting	IDN	NIA		Aetna Global Benefits (Asia Pacific) Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Global Benefits (UK) Limited	GBR	NIA		Aetna Holdco (UK) Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Insurance Company Limited	GBR	IA		Aetna Holdco (UK) Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Indian Health Organisation Private Limited	IND	NIA		Aetna Global Benefits (Asia Pacific) Limited	Ownership	0.020	CVS Health Corporation	N	2
0001	CVS HEALTH GROUP	00000				Aetna Health Insurance Company of Europe DAC	IND	NIA		Aetna International Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna (Shanghai) Enterprise Services Co. Ltd.	IRL	IA		Aetna International Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna (Beijing) Enterprise Management Services Co., Ltd.	CHN	NIA		Aetna International Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Global Benefits (Singapore) PTE. LTD.	CHN	NIA		Aetna (Shanghai) Enterprise Services Co. Ltd.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Global Benefits (Singapore) PTE. LTD.	SGP	NIA		Aetna International Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Indian Health Organisation Private Limited	IND	NIA		Aetna Global Benefits (Singapore) PTE. LTD.	Ownership	99.980	CVS Health Corporation	N	2
0001	CVS HEALTH GROUP	00000	22-2578985			AUSHC Holdings, Inc.	CT	NIA		Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Global Holdings Limited	GBR	NIA		Aetna International Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Korea Ltd.	KOR	NIA		Aetna Global Holdings Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Insurance (Hong Kong) Limited	HKG	NIA		Aetna Global Holdings Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	06-1182176			PHPSNE Parent Corporation	DE	NIA		AUSHC Holdings, Inc.	Ownership	55.00	CVS Health Corporation	N	7
0001	CVS HEALTH GROUP	00000	52-2182411			Active Health Management, Inc.	DE	NIA		Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	47-0970432			Health Data & Management Solutions, Inc.	DE	NIA		Active Health Management, Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	23-2604867			Aetna Integrated Informatics, Inc.	PA	NIA		Active Health Management, Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	13980	27-2192415		Health Re, Inc.	VT	IA		Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	81-0579372			Phoenix Data Solutions LLC	DE	NIA		Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	46-2469464			Healthgaren LLC	CT	NIA		Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	51-0029326			ASI Wings, LLC	DE	NIA		Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Echo Merger Sub, Inc.	DE	NIA		Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Corporate Services, LLC	DE	NIA		Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	81973	75-1296086			Coventry Health and Life Insurance Company	MO	IA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	15761	47-3279217			Aetna Better Health of Kentucky Insurance Company	KY	IA		Coventry Health and Life Insurance Company	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	52-1801446			Group Dental Service, Inc.	MD	NIA		Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95846	52-2056201			Group Dental Service of Maryland, Inc.	MD	IA		Group Dental Service, Inc.	Ownership	100.00	CVS Health Corporation	N	0

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Rela-tion-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(es)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
0001	CVS HEALTH GROUP		81-4345344				Aetna Network Services LLC	CT	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95241	42-1244752				Aetna Health of Iowa Inc.	IA	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95925	42-1308659				Coventry Health Care of Nebraska, Inc.	NE	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	47-2049117				Aetna Risk Assurance Company of Connecticut Inc.	CT	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95173	74-2381406				Aetna Health Inc.	LA	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	11102	23-2366731				HealthAssurance Pennsylvania, Inc.	PA	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	47-0854096				Coventry Prescription Management Services, Inc.	NV	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	16148	81-3564875				Aetna Better Health of Nevada Inc.	NV	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	96555	54-1576305				Coventry Health Care of Virginia, Inc.	VA	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	01-0646056				Coventry Transplant Network, Inc.	DE	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	96377	43-1372307				Coventry Health Care of Missouri, Inc.	MO	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95318	43-1702094				Aetna Better Health of Missouri LLC	MO	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95408	55-0712129				Coventry Health Care of West Virginia, Inc.	WV	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	62-1411933				Coventry HealthCare Management Corporation	DE	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	15827	47-4352768				Aetna HealthAssurance Pennsylvania, Inc.	PA	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95489	48-0840330				Coventry Health Care of Kansas, Inc.	KS	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	16072	81-3370401				Aetna Better Health of Kansas Inc.	KS	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	12193	20-1052897				Aetna Better Health of Michigan Inc.	MI	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95407	87-0345631				Aetna Health of Utah Inc.	UT	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-4416606				Aetna Better Health Inc.	TN	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	74160	37-1241037				Coventry Health Care of Illinois, Inc.	IL	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-8070994				Coventry Health Care National Accounts, Inc.	DE	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-5185442				Coventry Health Care National Network, Inc.	DE	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	26-1293772				Coventry Consumer Advantage, Inc.	DE	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-8376354				Coventry Health Care Workers Compensation, Inc.	DE	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-4096903				First Script Network Service, Inc.	NV	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	06-1095987				MetraComp, Inc.	CT	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	74-2879984				Medical Examinations of New York, P.C.	NY	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	.8
0001	CVS HEALTH GROUP	00000	62-1266888				FOCUS Healthcare Management, Inc.	TN	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	87-0443226				Coventry Rehabilitation Services, Inc.	DE	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-1736437				First Health Group Corp.	DE	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	90328	38-2242132				First Health Life & Health Insurance Company	TX	IA	First Health Group Corp.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	52-1320522				Claims Administration Corp.	MD	N/A	First Health Group Corp.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-1130063				Florida Health Plan Administrators, LLC	FL	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95114	65-0986441				Aetna Better Health of Florida Inc.	FL	IA	Florida Health Plan Administrators, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95266	65-0453436				Coventry Health Plan of Florida, Inc.	FL	IA	Florida Health Plan Administrators, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	59-3750548				Carefree Insurance Services, Inc.	FL	N/A	Florida Health Plan Administrators, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	36-4391310				bswift LLC	DE	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	26-1582982				Virtual Home Healthcare L.L.C.	ARE	N/A	Aetna Global Holdings Limited	Ownership	49.00	CVS Health Corporation	N	16
0001	CVS HEALTH GROUP	00000	37-1448790				MHNet Specialty Services, LLC	MD	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	12509	20-2516317				Mental Health Network of New York IPA, Inc.	NY	N/A	MHNet Specialty Services, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	72-1106596				MHNet Life and Health Insurance Company	TX	IA	MHNet Specialty Services, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-4276336				MHNet of Florida, Inc.	FL	IA	MHNet Specialty Services, LLC	Ownership	100.00	CVS Health Corporation	N	0

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(es)/Person(s)	Is an SCA Filing Required? (Y/N)	*
0001	CVS HEALTH GROUP	16242	81-5030233				Aetna Better Health of Washington, Inc.	WA	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	81-5212760				Banner Health and Aetna Health Insurance Holding Company LLC	DE	NIA	Aetna ACO Holdings, Inc.	Ownership	51.00	CVS Health Corporation	N	10
0001	CVS HEALTH GROUP	16058	81-5281115				Banner Health and Aetna Health Insurance Company	AZ	IA	Banner Health and Aetna Health Insurance Holding Company LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	16059	81-5290023				Banner Health and Aetna Health Plan Inc.	AZ	IA	Banner Health and Aetna Health Insurance Company	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	81-5112888				Allina Health and Aetna Health Insurance Holding Company LLC	DE	NIA	Aetna ACO Holdings, Inc.	Ownership	50.00	CVS Health Corporation	N	11
0001	CVS HEALTH GROUP	16194	82-2091197				Allina Health and Aetna Insurance Company	MN	IA	Allina Health and Aetna Health Insurance Holding Company LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	82-2171057				Sutter Health and Aetna Insurance Holding Company LLC	DE	NIA	Aetna ACO Holdings, Inc.	Ownership	50.00	CVS Health Corporation	N	12
0001	CVS HEALTH GROUP	00000	82-2560624				Sutter Health and Aetna Administrative Services LLC	DE	NIA	Sutter Health and Aetna Insurance Holding Company LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	81-5290023				Sutter Health and Aetna Insurance Company	CA	NIA	Sutter Health and Aetna Insurance Holding Company LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000					Aetna Holdings (Thailand) Limited	THA	NIA	Aetna Global Holdings Limited	Ownership	49.00	CVS Health Corporation	N	13
0001	CVS HEALTH GROUP	00000					Aetna Health Insurance (Thailand) Public Company Limited	THA	NIA	Aetna Global Holdings Limited	Ownership	25.00	CVS Health Corporation	N	14
0001	CVS HEALTH GROUP	00000					Aetna Health Insurance (Thailand) Public Company Limited	THA	NIA	Minor Health Enterprise Co, Ltd.	Ownership	46.00	CVS Health Corporation	N	14
0001	CVS HEALTH GROUP	00000					Aetna Health Insurance (Thailand) Public Company Limited	THA	NIA	Health Care Management Co. Ltd.	Ownership	28.00	CVS Health Corporation	N	14
0001	CVS HEALTH GROUP	00000					Health Care Management Co. Ltd.	THA	NIA	Aetna Global Holdings Limited	Ownership	49.00	CVS Health Corporation	N	17
0001	CVS HEALTH GROUP	00000					Minor Health Enterprise Co, Ltd.	THA	NIA	Aetna Global Holdings Limited	Ownership	25.00	CVS Health Corporation	N	15
0001	CVS HEALTH GROUP	00000					Minor Health Enterprise Co, Ltd.	THA	NIA	Aetna Holdings (Thailand) Limited	Ownership	75.00	CVS Health Corporation	N	15
0001	CVS HEALTH GROUP	00000					Health Care Management Co. Ltd.	THA	NIA	Aetna Holdings (Thailand) Limited	Ownership	51.00	CVS Health Corporation	N	17
0001	CVS HEALTH GROUP	00000					Aetna Global Benefits (Bahamas) Limited	BHS	NIA	Aetna Global Benefits (Bermuda) Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000					Aetna Pharmacy Management Services LLC	DE	NIA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	16558	82-3333789				Aetna Better Health of North Carolina Inc.	NC	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	14053	27-2186150				IlliniCare Health Plan, Inc.	IL	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0

16.4

Asterisk	Explanation
1	Aetna Life Insurance Company own substantially all of the non-managing membership interests of Aetna Partners Diversified Fund LLC.
2	Indian Health Organisation Private Limited is 0.019857% owned by Aetna Global Benefits (Asia Pacific) and 99.980143% owned by Aetna Global Benefits (Singapore) PTE. LTD.
3	Aetna ACO Holdings Inc. is owned by Aetna Life Insurance Company (302 shares); Aetna Health Inc. (PA) (198 shares); and Aetna Health Holdings, LLC (1 share).
4	PT. Aetna Global Benefits Indonesia is also 20% owned by Suhatsyah Rivai, Aetna's Nominee.
5	Aetna Global Benefits (Middle East) LLC is also 51% owned by Euro Gulf LLC, Aetna's Nominee.
6	Innovation Health Holdings LLC. Is also 50% owned by Inova Health System Foundation.
7	PHSNE Parent Corporation is 55% owned by AUSHC Holdings, Inc. The remaining 45% is owned by thirteen different hospitals (non-affiliates) which are shareholders with varying degrees of ownership.
8	Medical Examinations of New York, P.C. is 100% owned through Aetna's nominees.
9	Texas Health + Aetna Health Insurance Holding Company LLC is also 50% owned by Texas Health Resources.
10	Banner Health and Aetna Health Insurance Holding Company LLC is also 49% owned by Banner Health.
11	Allina Health and Aetna Insurance Holding Company LLC is also 50% owned by Allina Health.
12	Sutter Health and Aetna Insurance Holding Company LLC is also 50% owned by Sutter Health Plan Products Organization, LLC.
13	Aetna Holdings (Thailand) Limited is also 51% owned by Mr. Paiboon Santivorakoon plus Aetna Global Benefits (Bermuda) Limited owns 1 share.
14	Aetna Health Insurance (Thailand) Public Company Limited is also owned by Aetna Global Benefits (Bermuda) Limited (1 share), Mr. Jitphasong Itsaraphakded (1 share); Mrs. Suphee Wattana (1 share); and Mr. Buncha Tamphragom (1 share).
15	Minor Health Enterprise Co, Ltd is also (1 share) owned by Aetna Global Benefits (Bermuda) Limited.

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

Asterisk	Explanation
16 .....	Virtual Home Health Care L.L.C. is also 51% owned by CBD Commercial Brokers LLC, Aetna's Nominee.
17 .....	Health Care Management Co. Ltd. is also (1 share) owned by Aetna Global Benefits (Bermuda) Limited.
18 .....	Aetna Services (Thailand) Limited is also (1 share) owned by Aetna Global Benefits (Bermuda) Limited and (1 share) owned by Aetna Global Holdings Limited.

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....

NO

Explanation:

1. Business not written

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



**OVERFLOW PAGE FOR WRITE-INS**

**NONE**

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other than temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10) .....		

**NONE****SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage interest paid and commitment fees .....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....		

**NONE****SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....		

**NONE****SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	425,315	325,433
2. Cost of bonds and stocks acquired .....	0	100,238
3. Accrual of discount .....	0	0
4. Unrealized valuation increase (decrease) .....	0	0
5. Total gain (loss) on disposals .....	0	0
6. Deduct consideration for bonds and stocks disposed of .....	0	0
7. Deduct amortization of premium .....	.91	.356
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7+8-9+10) .....	425,224	425,315
12. Deduct total nonadmitted amounts .....	0	0
13. Statement value at end of current period (Line 11 minus Line 12) .....	425,224	425,315

## STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	1,777,977	15,568,344	4,069,000	14,931	13,292,252	0	0	1,777,977
2. NAIC 2 (a) .....	0	0	0	0	0	0	0	0
3. NAIC 3 (a) .....	0	0	0	0	0	0	0	0
4. NAIC 4 (a) .....	0	0	0	0	0	0	0	0
5. NAIC 5 (a) .....	0	0	0	0	0	0	0	0
6. NAIC 6 (a) .....	0	0	0	0	0	0	0	0
7. Total Bonds .....	1,777,977	15,568,344	4,069,000	14,931	13,292,252	0	0	1,777,977
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	0	0	0	0	0	0	0	0
9. NAIC 2 .....	0	0	0	0	0	0	0	0
10. NAIC 3 .....	0	0	0	0	0	0	0	0
11. NAIC 4 .....	0	0	0	0	0	0	0	0
12. NAIC 5 .....	0	0	0	0	0	0	0	0
13. NAIC 6 .....	0	0	0	0	0	0	0	0
14. Total Preferred Stock .....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock .....	1,777,977	15,568,344	4,069,000	14,931	13,292,252	0	0	1,777,977

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ ..... 12,867,028 ; NAIC 2 \$ ..... 0 ; NAIC 3 \$ ..... 0 NAIC 4 \$ ..... 0 ; NAIC 5 \$ ..... 0 ; NAIC 6 \$ ..... 0

SI02

Schedule DA - Part 1 - Short-Term Investments  
**N O N E**

Schedule DA - Verification - Short-Term Investments  
**N O N E**

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards  
**N O N E**

Schedule DB - Part B - Verification - Futures Contracts  
**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open  
**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open  
**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives  
**N O N E**

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	1,356,337	779,250
2. Cost of cash equivalents acquired	18,571,371	19,046,250
3. Accrual of discount	15,022	25,893
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	0	7
6. Deduct consideration received on disposals	7,071,363	18,495,063
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	12,871,367	1,356,337
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	12,871,367	1,356,337

Schedule A - Part 2 - Real Estate Acquired and Additions Made  
**N O N E**

Schedule A - Part 3 - Real Estate Disposed  
**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made  
**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid  
**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made  
**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid  
**N O N E**

Schedule D - Part 3 - Long-Term Bonds and Stocks Acquired  
**N O N E**

Schedule D - Part 4 - Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed Of  
**N O N E**

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open  
**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open  
**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  
**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To  
**N O N E**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees  
**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned  
**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned  
**N O N E**

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

**SCHEDULE E - PART 1 - CASH**

## Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Bank of America .....	Phoenix, AZ .....	0.000	.0	.0	1,964,484	1,856,344	1,042,918	XXX
0199998. Deposits in ...	0	depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX	0	0	0	XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	1,964,484	1,856,344	1,042,918	XXX
0299998. Deposits in ...	0	depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX	0	0	0	XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	1,964,484	1,856,344	1,042,918	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	0	0	0	XXX
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0599999. Total - Cash	XXX	XXX	0	0	1,964,484	1,856,344	1,042,918	XXX

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Health of Ohio Inc.

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

### Show Investments Owned End of Current Quarter