



## HEALTH QUARTERLY STATEMENT

AS OF MARCH 31, 2020  
OF THE CONDITION AND AFFAIRS OF THE

### Aetna Better Health Inc. (an Ohio corporation)

NAIC Group Code 0001 NAIC Company Code 14229 Employer's ID Number 45-2764938  
 Organized under the Laws of Ohio, State of Domicile or Port of Entry OH  
 Country of Domicile United States of America  
 Licensed as business type: Health Maintenance Organization  
 Is HMO Federally Qualified? Yes  No   
 Incorporated/Organized 07/15/2011 Commenced Business 03/16/2012  
 Statutory Home Office 7400 W. Campus Road, New Albany, OH, US 43054  
 (Street and Number) (City or Town, State, Country and Zip Code)  
 Main Administrative Office 7400 W. Campus Road, 800-872-3862  
 (Street and Number) (Area Code) (Telephone Number)  
 New Albany, OH, US 46054  
 (City or Town, State, Country and Zip Code)  
 Mail Address 1425 Union Meeting Road, U23S, Blue Bell, PA, US 19422  
 (Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)  
 Primary Location of Books and Records 1425 Union Meeting Road, 800-872-3862  
 (Street and Number) (Area Code) (Telephone Number)  
 Blue Bell, PA, US 19422  
 (City or Town, State, Country and Zip Code)  
 Internet Website Address www.aetnabetterhealth.com  
 Statutory Statement Contact Kim E. Roth, 215-775-6508  
 (Name) (Area Code) (Telephone Number)  
StatutoryReporting@aetna.com, 860-262-7767  
 (E-mail Address) (FAX Number)

#### OFFICERS

Chief Executive Officer and President Debra Jean Bacon # Chief Financial Officer David Patrick Delaney  
 Vice President and Secretary Robert Mark Kessler

#### OTHER

Kevin James Casey, Senior Investment Officer Steven Matthew Conte, Assistant Controller Peter Keller, Assistant Controller  
 Bryan James Lane, Assistant Controller Whitney Dorothy Nazarko, Assistant Controller # Robert Joseph Parslow, Principal Financial Officer  
Tracy Louise Smith, Vice President and Treasurer and Controller

#### DIRECTORS OR TRUSTEES

Debra Jean Bacon # David Patrick Delaney Scott Richard Markovich

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Debra Jean Bacon  
Chief Executive Officer and President

Robert Mark Kessler  
Vice President and Secretary

David Patrick Delaney  
Chief Financial Officer

State of..... Arizona  
County of.... Maricopa

State of..... Arizona  
County of.... Maricopa

State of..... Arizona  
County of.... Maricopa

Subscribed and sworn to before me this

Subscribed and sworn to before me this

Subscribed and sworn to before me this

\_\_\_\_ day of \_\_\_\_\_, 2020

\_\_\_\_ day of \_\_\_\_\_, 2020

\_\_\_\_ day of \_\_\_\_\_, 2020

NOTARY PUBLIC (Seal)

NOTARY PUBLIC (Seal)

NOTARY PUBLIC (Seal)

a. Is this an original filing? ..... Yes  No   
 b. If no,  
 1. State the amendment number .....  
 2. Date filed .....  
 3. Number of pages attached .....

## ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	185,018,933	0	185,018,933	176,258,279
2. Stocks:				
2.1 Preferred stocks .....	0	0	0	0
2.2 Common stocks .....	0	0	0	0
3. Mortgage loans on real estate:				
3.1 First liens .....	8,335,693	0	8,335,693	6,191,511
3.2 Other than first liens .....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....	0	0	0	0
4.2 Properties held for the production of income (less \$ encumbrances) .....	0	0	0	0
4.3 Properties held for sale (less \$ encumbrances) .....	0	0	0	0
5. Cash (\$ 2,732,263 ), cash equivalents (\$ 1,439,197 ) and short-term investments (\$ 0 ) .....	4,171,460	0	4,171,460	62,757,634
6. Contract loans (including \$ 0 premium notes) .....	0	0	0	0
7. Derivatives .....	0	0	0	0
8. Other invested assets .....	16,098,526	0	16,098,526	15,482,855
9. Receivables for securities .....	0	0	0	0
10. Securities lending reinvested collateral assets .....	0	0	0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	213,624,612	0	213,624,612	260,690,279
13. Title plants less \$ 0 charged off (for Title insurers only) .....	0	0	0	0
14. Investment income due and accrued .....	1,478,483	0	1,478,483	1,450,990
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	55,660,615	0	55,660,615	58,034,863
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums) .....	0	0	0	0
15.3 Accrued retrospective premiums (\$ 12,199,898 ) and contracts subject to redetermination (\$ 17,094,761 ) .....	29,294,659	0	29,294,659	3,485,655
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	0	0	0	0
16.2 Funds held by or deposited with reinsured companies .....	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts .....	0	0	0	0
17. Amounts receivable relating to uninsured plans .....	32,577,981	0	32,577,981	27,309,824
18.1 Current federal and foreign income tax recoverable and interest thereon .....	0	0	0	0
18.2 Net deferred tax asset .....	3,265,340	0	3,265,340	3,265,340
19. Guaranty funds receivable or on deposit .....	0	0	0	0
20. Electronic data processing equipment and software .....	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$ 0 ) .....	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates .....	2,619,345	0	2,619,345	0
24. Health care (\$ 2,498,303 ) and other amounts receivable .....	13,775,428	11,277,125	2,498,303	616,233
25. Aggregate write-ins for other than invested assets .....	0	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	352,296,463	11,277,125	341,019,338	354,853,184
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0	0
28. Total (Lines 26 and 27) .....	352,296,463	11,277,125	341,019,338	354,853,184
<b>DETAILS OF WRITE-INS</b>				
1101. .....				
1102. .....				
1103. .....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above) .....	0	0	0	0
2501. .....				
2502. .....				
2503. .....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	0	0	0	0

## LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ 0 reinsurance ceded)	104,617,503	23,037,746	127,655,249	108,435,076
2. Accrued medical incentive pool and bonus amounts	1,644,620	0	1,644,620	1,007,804
3. Unpaid claims adjustment expenses	3,374,638	0	3,374,638	3,584,292
4. Aggregate health policy reserves, including the liability of \$ 0 for medical loss ratio rebate per the Public Health Service Act	5,271,753	0	5,271,753	5,074,353
5. Aggregate life policy reserves	0	0	0	0
6. Property/casualty unearned premium reserve	0	0	0	0
7. Aggregate health claim reserves	0	0	0	0
8. Premiums received in advance	813	0	813	0
9. General expenses due or accrued	.17,573,365	0	.17,573,365	7,276,815
10.1 Current federal and foreign income tax payable and interest thereon (including \$ 0 on realized gains (losses))	5,639,687	0	5,639,687	2,890,761
10.2 Net deferred tax liability	0	0	0	0
11. Ceded reinsurance premiums payable	0	0	0	0
12. Amounts withheld or retained for the account of others	119	0	119	0
13. Remittances and items not allocated	.534,400	0	.534,400	.573,061
14. Borrowed money (including \$ 0 current) and interest thereon \$ 0 (including \$ 0 current)	0	0	0	0
15. Amounts due to parent, subsidiaries and affiliates	0	0	0	.54,718,645
16. Derivatives	0	0	0	0
17. Payable for securities	0	0	0	0
18. Payable for securities lending	0	0	0	0
19. Funds held under reinsurance treaties (with \$ 0 authorized reinsurers, \$ 0 unauthorized reinsurers and \$ 0 certified reinsurers)	0	0	0	0
20. Reinsurance in unauthorized and certified (\$ 0 ) companies	0	0	0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates	0	0	0	0
22. Liability for amounts held under uninsured plans	4,243,097	0	4,243,097	.131,601
23. Aggregate write-ins for other liabilities (including \$ 0 current)	.740,308	0	.740,308	.718,629
24. Total liabilities (Lines 1 to 23)	143,640,303	23,037,746	.166,678,049	184,411,037
25. Aggregate write-ins for special surplus funds	XXX	XXX	0	9,379,700
26. Common capital stock	XXX	XXX	.1	1
27. Preferred capital stock	XXX	XXX	0	0
28. Gross paid in and contributed surplus	XXX	XXX	.115,700,952	.115,700,952
29. Surplus notes	XXX	XXX	0	0
30. Aggregate write-ins for other than special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	.58,640,336	.45,361,494
32. Less treasury stock, at cost:				
32.1 \$ 0 shares common (value included in Line 26)	XXX	XXX	0	0
32.2 \$ 0 shares preferred (value included in Line 27)	XXX	XXX	0	0
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	.174,341,289	.170,442,147
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	341,019,338	354,853,184
<b>DETAILS OF WRITE-INS</b>				
2301. Escheat payable	.737,785	0	.737,785	.718,629
2302. Unearned investment income	.2,523	0	.2,523	0
2303.				
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	.740,308	0	.740,308	.718,629
2501. Estimated health insurer fee	XXX	XXX	0	9,379,700
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	0	9,379,700
3001.	XXX	XXX		
3002.	XXX	XXX		
3003.	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	0	0

**STATEMENT OF REVENUE AND EXPENSES**

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months .....	XXX	79,528	77,297	314,982
2. Net premium income ( including \$ 0 non-health premium income).....	XXX	256,607,458	239,371,474	993,654,212
3. Change in unearned premium reserves and reserve for rate credits.....	XXX	0	0	0
4. Fee-for-service (net of \$ 0 medical expenses).....	XXX	0	0	0
5. Risk revenue .....	XXX	0	0	0
6. Aggregate write-ins for other health care related revenues .....	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues .....	XXX	0	0	0
8. Total revenues (Lines 2 to 7) .....	XXX	256,607,458	239,371,474	993,654,212
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits .....	20,171,147	174,875,428	161,858,348	683,244,346
10. Other professional services .....	0	4,005,796	5,497,961	22,413,874
11. Outside referrals .....	16,070,345	16,070,345	10,371,501	51,264,812
12. Emergency room and out-of-area .....	823,157	4,332,403	5,137,592	18,057,610
13. Prescription drugs .....	2,660,263	14,367,450	15,258,286	36,485,345
14. Aggregate write-ins for other hospital and medical .....	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts .....	0	884,450	67,918	2,214,700
16. Subtotal (Lines 9 to 15) .....	39,724,911	214,535,872	198,191,606	813,680,687
<b>Less:</b>				
17. Net reinsurance recoveries .....	0	0	0	0
18. Total hospital and medical (Lines 16 minus 17) .....	39,724,911	214,535,872	198,191,606	813,680,687
19. Non-health claims (net) .....	0	0	0	0
20. Claims adjustment expenses, including \$ 7,223,212 cost containment expenses .....	0	7,681,476	6,517,537	26,438,613
21. General administrative expenses .....	0	27,595,820	15,455,231	68,349,824
22. Increase in reserves for life and accident and health contracts (including \$ 0 increase in reserves for life only) .....	0	0	0	0
23. Total underwriting deductions (Lines 18 through 22).....	39,724,911	249,813,168	220,164,374	908,469,124
24. Net underwriting gain or (loss) (Lines 8 minus 23).....	XXX	6,794,290	19,207,100	85,185,088
25. Net investment income earned .....	0	2,221,356	2,039,477	7,854,480
26. Net realized capital gains (losses) less capital gains tax of \$ (57,674) .....	0	(235,014)	250,479	878,765
27. Net investment gains (losses) (Lines 25 plus 26) .....	0	1,986,342	2,289,956	8,733,245
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ 0 ) (amount charged off \$ 0 )].....	0	0	0	0
29. Aggregate write-ins for other income or expenses .....	0	(376,642)	59,078	(312,933)
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29) .....	XXX	8,403,990	21,556,134	93,605,400
31. Federal and foreign income taxes incurred .....	XXX	2,921,872	3,926,927	16,357,720
32. Net income (loss) (Lines 30 minus 31) .....	XXX	5,482,118	17,629,207	77,247,680
<b>DETAILS OF WRITE-INS</b>				
0601.....	XXX			
0602.....	XXX			
0603.....	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page .....	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above).....	XXX	0	0	0
0701.....	XXX			
0702.....	XXX			
0703.....	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page .....	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above).....	XXX	0	0	0
1401.....				
1402.....				
1403.....				
1498. Summary of remaining write-ins for Line 14 from overflow page .....	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above).....	0	0	0	0
2901. Regulatory fine .....	0	(376,642)	59,078	(312,933)
2902.....				
2903.....				
2998. Summary of remaining write-ins for Line 29 from overflow page .....	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above).....	0	(376,642)	59,078	(312,933)

**STATEMENT OF REVENUE AND EXPENSES (Continued)**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
33. Capital and surplus prior reporting year.....	170,442,147	189,489,939	189,489,939
34. Net income or (loss) from Line 32 .....	5,482,118	17,629,207	77,247,680
35. Change in valuation basis of aggregate policy and claim reserves .....	0	0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....	0	0	0
37. Change in net unrealized foreign exchange capital gain or (loss).....	0	0	0
38. Change in net deferred income tax .....	0	0	(676,078)
39. Change in nonadmitted assets .....	(1,582,976)	(554,595)	380,606
40. Change in unauthorized and certified reinsurance .....	0	0	0
41. Change in treasury stock .....	0	0	0
42. Change in surplus notes .....	0	0	0
43. Cumulative effect of changes in accounting principles.....	0	0	0
44. Capital Changes:			
44.1 Paid in .....	0	0	0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....	0	0	0
45. Surplus adjustments:			
45.1 Paid in .....	0	0	0
45.2 Transferred to capital (Stock Dividend) .....	0	0	0
45.3 Transferred from capital .....	0	0	0
46. Dividends to stockholders .....	0	0	(96,000,000)
47. Aggregate write-ins for gains or (losses) in surplus .....	0	0	0
48. Net change in capital & surplus (Lines 34 to 47) .....	3,899,142	17,074,612	(19,047,792)
49. Capital and surplus end of reporting period (Line 33 plus 48)	174,341,289	206,564,551	170,442,147
<b>DETAILS OF WRITE-INS</b>			
4701. ....			
4702. ....			
4703. ....			
4798. Summary of remaining write-ins for Line 47 from overflow page .....	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	0

## STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	233,370,915	229,610,911	997,184,880
2. Net investment income .....	2,448,851	1,987,731	9,167,348
3. Miscellaneous income .....	0	0	0
4. Total (Lines 1 to 3) .....	235,819,766	231,598,642	1,006,352,228
5. Benefit and loss related payments .....	198,143,929	188,189,120	801,422,689
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	26,723,703	21,249,458	106,511,430
8. Dividends paid to policyholders .....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses) .....	115,272	0	22,768,368
10. Total (Lines 5 through 9) .....	224,982,904	209,438,578	930,702,487
11. Net cash from operations (Line 4 minus Line 10) .....	10,836,862	22,160,064	75,649,741
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	5,685,936	17,132,140	54,429,683
12.2 Stocks .....	0	0	0
12.3 Mortgage loans .....	209,593	199,089	811,945
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	3	(67)	229
12.7 Miscellaneous proceeds .....	0	2,993,248	0
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	5,895,532	20,324,410	55,241,857
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	14,988,544	19,428,822	36,745,242
13.2 Stocks .....	0	0	0
13.3 Mortgage loans .....	2,359,500	0	0
13.4 Real estate .....	0	0	0
13.5 Other invested assets .....	615,671	14,000,000	15,482,855
13.6 Miscellaneous applications .....	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	17,963,715	33,428,822	52,228,097
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(12,068,183)	(13,104,412)	3,013,760
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	96,000,000
16.6 Other cash provided (applied) .....	(57,354,853)	7,564,556	42,604,270
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	(57,354,853)	7,564,556	(53,395,730)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(58,586,174)	16,620,208	25,267,771
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	62,757,634	37,489,863	37,489,863
19.2 End of period (Line 18 plus Line 19.1) .....	4,171,460	54,110,071	62,757,634

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Non-cash bond exchanges .....	0	0	3,558,458
20.0002. .....	0	0	0

## STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

## EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
<b>Total Members at end of:</b>										
1. Prior Year	25,566	0	0	0	0	0	0	16,158	9,408	0
2. First Quarter	26,799	0	0	0	0	0	0	17,079	9,720	0
3. Second Quarter	0	0	0	0	0	0	0	0	0	0
4. Third Quarter	0	0	0	0	0	0	0	0	0	0
5. Current Year	0	0	0	0	0	0	0	0	0	0
6. Current Year Member Months	79,528	0	0	0	0	0	0	50,114	29,414	0
<b>Total Member Ambulatory Encounters for Period:</b>										
7. Physician	197,506	0	0	0	0	0	0	90,970	106,536	0
8. Non-Physician	672,280	0	0	0	0	0	0	393,429	278,851	0
9. Total	869,786	0	0	0	0	0	0	484,399	385,387	0
10. Hospital Patient Days Incurred	12,114	0	0	0	0	0	0	6,766	5,348	0
11. Number of Inpatient Admissions	2,336	0	0	0	0	0	0	1,171	1,165	0
12. Health Premiums Written (a)	256,680,334	0	0	0	0	0	0	98,997,181	157,683,153	0
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0
15. Health Premiums Earned	256,680,334	0	0	0	0	0	0	98,997,181	157,683,153	0
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0
17. Amount Paid for Provision of Health Care Services	198,143,929	0	0	0	0	0	0	78,745,284	119,398,645	0
18. Amount Incurred for Provision of Health Care Services	214,535,872	0	0	0	0	0	0	78,467,412	136,068,460	0

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ 98,997,181

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

## CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

### Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
<b>Claims Unpaid (Reported)</b>						
Aging based on claims in process of adjudication as of 03/31/2020	0	0	0	0	0	0
0199999 Individually listed claims unpaid	0	0	0	0	0	0
0299999 Aggregate accounts not individually listed-uncovered	3,586,401	238,511	4,243	767	51	3,829,973
0399999 Aggregate accounts not individually listed-covered	19,840,350	206,669	35,093	3,012	8,330	20,093,454
0499999 Subtotals	23,426,751	445,180	39,336	3,779	8,381	23,923,427
0599999 Unreported claims and other claim reserves						103,731,822
0699999 Total amounts withheld						0
0799999 Total claims unpaid						127,655,249
0899999 Accrued medical incentive pool and bonus amounts						1,644,620

## STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

## UNDERWRITING AND INVESTMENT EXHIBIT

## ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5	6
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical) .....	0	0	0	0	0	0
2. Medicare Supplement .....	0	0	0	0	0	0
3. Dental Only .....	0	0	0	0	0	0
4. Vision Only .....	0	0	0	0	0	0
5. Federal Employees Health Benefits Plan .....	0	0	0	0	0	0
6. Title XVIII - Medicare .....	37,652,553	40,845,097	12,236,077	40,312,497	49,888,630	51,581,193
7. Title XIX - Medicaid .....	54,825,427	64,573,218	8,203,597	66,903,078	63,029,024	56,853,883
8. Other health .....	0	0	0	0	0	0
9. Health subtotal (Lines 1 to 8) .....	92,477,980	105,418,315	20,439,674	107,215,575	112,917,654	108,435,076
10. Healthcare receivables (a) .....	0	13,775,428	0	0	0	10,310,382
11. Other non-health .....	0	0	0	0	0	0
12. Medical incentive pools and bonus amounts .....	23,640	223,994	908,302	736,318	931,942	1,007,804
13. Totals (Lines 9-10+11+12)	92,501,620	91,866,881	21,347,976	107,951,893	113,849,596	99,132,498

(a) Excludes \$ .....0 loans or advances to providers not yet expensed.

## NOTES TO FINANCIAL STATEMENTS

### 1. Summary of Significant Accounting Policies and Going Concern

#### A. Accounting Practices

The accompanying statutory financial statements of Aetna Better Health Inc. (an Ohio corporation) ("the Company"), indirectly a wholly-owned subsidiary of CVS Health Corporation ("CVS Health"), have been prepared in conformity with accounting practices prescribed or permitted by the Ohio Department of Insurance ("Ohio Department") ("Ohio Accounting Practices") The Ohio Department recognizes only statutory accounting practices prescribed or permitted by the state of Ohio for determining and reporting the financial condition and results of operations of an insurance company, which include accounting practices and procedures adopted by the National Association of Insurance Commissioners' ("NAIC") *Accounting Practices and Procedures Manual* ("NAIC SAP").

A reconciliation of the Company's net income and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio for the periods ended March 31, 2020 and December 31, 2019 is as follows:

	SSAP #	F/S Page	F/S Line #	2020	2019
<b>NET INCOME</b>					
(1) State basis (Page 4, Line 32, Columns 2 & 4)	XXX	XXX	XXX	\$5,482,118	\$77,247,680
(2) State Prescribed Practices that increase/(decrease) NAIC SAP:					
(3) State Permitted Practices that increase/(decrease) NAIC SAP:					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$5,482,118	\$77,247,680
<b>SURPLUS</b>					
(5) State basis (Page 3, Line 33, Columns 3 & 4)	XXX	XXX	XXX	\$174,341,289	\$170,442,147
(6) State Prescribed Practices that increase/(decrease) NAIC SAP:					
(7) State Permitted Practices that increase/(decrease) NAIC SAP:					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$174,341,289	\$170,442,147

#### B. Use of Estimates in the Preparation of the Financial Statements

The preparation of these financial statements in conformity with Ohio Accounting Practices requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and revenues and expenses. Actual results could differ from those estimates.

#### C. Accounting Policies

The Company applies the following significant accounting policies:

(1) No significant change.

(2) Bonds:

Bonds, which include special deposits, are carried at amortized cost except for those bonds with an NAIC designation of 3 through 6, which are carried at the lower of amortized cost or fair value. The amount carried at fair value is not material to the financial statements. Bond premiums and discounts are amortized using the scientific interest method. When quoted prices in active markets for identical assets are available, the Company uses these quoted market prices to determine the fair value of bonds. This is used primarily for U.S. government securities. In other cases where a quoted market price for identical assets in an active market is either not available or not observable, the Company estimates fair values using valuation methodologies based on available and observable market information or by using a matrix pricing model. If quoted market prices are not available, the Company determines fair value using broker quotes or an internal analysis of each investment's financial performance and cash flow projections. The Company had no investments where fair value was determined using broker quotes or an internal analysis of financial performance and cash flow projections. Bonds include all investments whose maturity is greater than one year when purchased. Loan-backed and structured securities are carried at amortized cost adjusted for unamortized premiums and discounts and are accounted for using the

retrospective adjustment method. Premiums and discounts on loan-backed and structured securities are amortized using the scientific method over the estimated remaining term of the securities, adjusted for anticipated prepayments. All adjustments between amortized cost and carrying value are reflected in unrealized capital gains and losses and are reported as direct adjustments to surplus. Bonds are recorded as purchases or sales on the trade date.

The Company periodically reviews its bonds to determine whether a decline in fair value below the carrying value is other-than-temporary. For bonds, other than loan-backed and structured securities ("LB&SS"), an other-than-temporary impairment ("OTTI") shall be recorded if it is probable that the Company will be unable to collect all amounts due according to the contractual terms in effect at the date of acquisition. Declines deemed to be OTTI in the cost basis are recognized as realized capital losses. Yield-related impairments are deemed other-than-temporary when the Company intends to sell an investment at the reporting date before recovery of the cost of the investment.

For LB&SS, the Company records OTTI when the fair value of the loan-backed or structured security is less than the amortized cost basis at the balance sheet date and (1) the Company intends to sell the investment, or (2) the Company does not have the intent and ability to retain the investment for the time sufficient to recover the amortized cost basis, or (3) the Company does not expect to recover the entire amortized cost basis of the security, even if it does not intend to sell the security and has the intent and ability to hold. If it is determined an OTTI has occurred because of (1) or (2), the amount of the OTTI is equal to the difference between the amortized cost and the fair value of the security at the balance sheet date and this difference is recorded as a realized capital loss. If it is determined an OTTI has occurred because of (3), the amount of the OTTI is equal to the difference between the amortized cost and the present value of cash flows expected to be collected, discounted at the loan-backed or structured security's effective interest rate and this difference is also accounted for as a realized capital loss.

The Company analyzes all relevant facts and circumstances for each investment when performing its analysis to determine whether an OTTI exists. Among the factors considered in evaluating whether a decline is other-than-temporary, management considers whether the decline in fair value results from a change in the quality of the investment security itself, whether the decline results from a downward movement in the market as a whole, the prospects for realizing the carrying value of the bond based on the investee's current and short-term prospects for recovery and other factors. The risks inherent in assessing the impairment of an investment include the risk that market factors may differ from the Company's expectations and the risk that facts and circumstances factored into its assessment may change with the passage of time. Unexpected changes to market factors and circumstances that were not present in past reporting periods may result in a current period decision to sell securities that were not other-than-temporarily-impaired in prior reporting periods.

The Company had no Securities Valuation Office-identified investments that are being reported at a different measurement method from the prior year annual statement.

(3) through (19): No significant change.

D. Going Concern

As of May 14, 2020, management evaluated whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Company's ability to continue as a going concern and management has determined that it is not probable that the Company will be unable to meet its obligations as they become due within one year after the financial statements are available to be issued. Management will continuously evaluate the Company's ability to continue as a going concern and will take appropriate action and will make appropriate disclosures if there is any change in any condition or events that would raise substantial doubt about the Company's ability to continue as a going concern.

2. Accounting Changes and Corrections of Errors

Effective for the period ended March 31, 2020, the Company prospectively began reporting its risk adjustment considerations from the Centers for Medicare & Medicaid Services ("CMS") related to its Medicare products as "Accrued retrospective premiums and contracts subject to redetermination". Prior to the period ended March 31, 2020, these balances were reported as part of "Uncollected premiums and agents' balances in the course of collection." These balances were \$17,094,761 and \$12,521,052 at March 31, 2020 and December 31, 2019, respectively. The reclassification is a conforming change in reporting to ensure proper classification of these considerations amongst the Company and its affiliates. There was no impact to the Company's capital and surplus as a result of this reclassification, and accordingly, there was no adjustment to unassigned funds (surplus) in the period of the reclassification.

3. Business Combinations and Goodwill

No significant change.

4. Discontinued Operations

No significant change.

5. Investments

A. through C: No significant change.

D. Loan-Backed Securities

- (1) Prepayment assumptions for single class and multi-class mortgage-backed/loan-backed securities were obtained from industry market sources.
- (2) The Company did not recognize any other-than-temporary impairment ("OTTI") during the first quarter of 2020 on loan-backed and structured securities in which the Company had the (1) intent to sell, (2) did not have the intent and ability to retain for a period of time sufficient to recover the amortized cost basis or (3) present value of cash flows expected to be collected is less than the amortized cost basis of the securities in accordance with Statements of Statutory Accounting Principles ("SSAP") No. 43R, *Loan-Backed and Structured Securities* ("SSAP No. 43R").
- (3) The Company had no recognized OTTI on loan-backed and structured securities currently held, in which the present value of cash flows expected to be collected is less than the amortized cost basis at the reporting date March 31, 2020.
- (4) The Company's unrealized loss position on loan-backed and structured securities held by the Company at March 31, 2020 is as follows:
  - a. The aggregate amount of unrealized losses:

1. Less than 12 Months	(\$1,278,243)
2. 12 Months or Longer	(\$39,830)
  - b. The aggregate related fair value of securities with unrealized losses:

1. Less than 12 Months	\$15,710,338
2. 12 Months or Longer	\$460,171

- (5) The Company has reviewed the loan-backed and structured securities in accordance with SSAP No. 43R in the table above and has concluded that these are performing assets generating investment income to support the needs of the business. Furthermore, the Company has no intention to sell the securities at March 31, 2020 before their cost can be recovered and does have the intent and ability to retain the securities for the time sufficient to recover the amortized cost basis; therefore, no OTTI write-down to fair value was determined to have occurred on these securities.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

- (1) and (2): No significant change.
- (3) Neither the Company nor its agent has accepted collateral that is permitted by contract or custom to sell or repledge as of March 31, 2020.

- (4) through (7): No significant change.

- F. The Company did not have any repurchase agreements transactions accounted for as secured borrowing at March 31, 2020.
- G. The Company did not have any reverse repurchase agreements transactions accounted for as secured borrowing at March 31, 2020.
- H. The Company did not have any repurchase agreements transactions accounted for as a sale at March 31, 2020.
- I. The Company did not have any reverse repurchase agreements transactions accounted for as a sale at March 31, 2020.

J. through L: No significant change.

- M. The Company did not have any working capital finance investments at March 31, 2020.
- N. The Company did not have any offsetting and netting of derivative, repurchase and reverse repurchase, and securities borrowing and securities lending assets or liabilities at March 31, 2020.

O. through Q: No significant change.

6. Joint Ventures, Partnerships and Limited Liability Companies

- A. The Company did not have any joint ventures, partnerships, or limited liability companies that exceeded 10% of its admitted assets at March 31, 2020.

B. The Company does not have any impaired investments in joint ventures, partnerships, or limited liability companies at March 31, 2020.

7. Investment Income

No significant change.

8. Derivative Instruments

The Company did not have any derivative instruments at March 31, 2020.

9. Income Taxes

No significant change.

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No significant change.

11. Debt

A. The Company did not have any items related to debt, including capital notes at March 31, 2020.

B. The Company did not have any Federal Home Loan Bank agreements at March 31, 2020.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

The Company did not have a retirement plan, deferred compensation plan or other postretirement benefit plan at March 31, 2020.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change.

14. Liabilities, Contingencies and Assessments

No significant change.

15. Leases

No significant change.

16. Information About Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No significant change.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales

No significant change.

B. Transfer and Servicing of Financial Assets

(1) No significant change.

(2) and (3) The Company did not have any servicing assets or liabilities at March 31, 2020.

(4) The Company did not have any securitized financial assets at March 31, 2020.

(5) through (7) No significant change.

C. Wash Sales

(1) In the course of the Company's asset management, securities are sold and reacquired within 30 days of the sale date to enhance the Company's yield on its investment portfolio.

(2) The Company had no securities sold during the quarter ended March 31, 2020 and reacquired within 30 days of the sale date.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

20. Fair Value Measurements

A. and B.

The Company had no material assets or liabilities measured and reported at fair value at March 31, 2020

Certain of the Company's financial instruments are measured at fair value in the financial statements. The fair values of these instruments are based on valuations that include inputs that can be classified within one of three levels of a hierarchy. The following are the levels of the hierarchy and a brief description of the type of valuation information ("inputs") that qualifies a financial asset or liability for each level:

**Level 1** - Unadjusted quoted prices for identical assets or liabilities in active markets.

**Level 2** - Inputs other than Level 1 that are based on observable market data. These include: quoted prices for similar assets in active markets, quoted prices for identical assets in inactive markets, inputs that are observable that are not prices (such as interest rates and credit risks) and inputs that are derived from or corroborated by observable markets.

**Level 3** - Developed from unobservable data, reflecting the Company's own assumptions.

Financial assets and liabilities are classified based upon the lowest level of input that is significant to the valuation. When quoted prices in active markets for identical assets and liabilities are available, the Company uses these quoted market prices to determine the fair value of financial assets and liabilities and classifies these assets and liabilities as Level 1. In other cases where a quoted market price for identical assets and liabilities in an active market is either not available or not observable, the Company estimates fair value using valuation methodologies based on available and observable market information or by using a matrix pricing model. These financial assets and liabilities would then be classified as Level 2. If quoted market prices are not available, the Company determines fair value using broker quotes or an internal analysis of each investment's financial performance and cash flow projections. Thus, financial assets and liabilities may be classified in Level 3 even though there may be some significant inputs that may be observable.

There were no material realized and unrealized capital gains, purchases, sales, settlements, or transfers into or out of the Company's Level 3 financial assets during the period ending March 31, 2020.

Transfers in and out of all levels are recognized at the end of the reporting period of which the transfer occurred.

C. The carrying values and estimated fair values of the Company's financial instruments at March 31, 2020 were as follows:

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds, Short Term, and Cash Equivalents	\$189,264,081	\$186,458,130	\$15,194,856	\$174,069,225	—		
Mortgage loans	\$8,568,681	\$8,335,693	—	—	\$8,568,681		

In evaluating the Company's management of interest rate and liquidity risk and currency exposures, the fair values of all assets and liabilities should be taken into consideration, not only those presented above.

D. The Company did not have any financial instruments where it was not practicable to estimate the fair value.

E. The Company has not elected to use the net asset value practical expedient to fair value to measure its investments.

21. Other Items

A. through B: No significant change.

C. Other Disclosures

The Coronavirus Disease 2019 ("COVID-19") pandemic is evolving rapidly. The Company believes COVID-19's adverse impact on the Company's businesses, operating results, cash flows and/or financial condition primarily will be driven by the severity and duration of the pandemic; the pandemic's impact on the U.S. and global economies and consumer behavior and health care utilization patterns; and the timing, scope and impact of stimulus legislation as well as other federal, state and local governmental responses to the pandemic. Those primary drivers are beyond the Company's knowledge and control. As a result, the adverse impact COVID-19 will have on the Company's businesses, operating results, cash flows and/or financial condition is uncertain, but the adverse impact could be material.

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

D. through I: No significant change.

22. Events Subsequent

A. Type I - Recognized Subsequent Events

Subsequent events have been considered through May 14, 2020 for the statutory statement issued on May 14, 2020.

The Company had no known reportable recognized subsequent events.

B. Type II - Non-Recognized Subsequent Events

Subsequent events have been considered through May 14, 2020 for the statutory statement issued on May 14, 2020.

The Company had no known reportable non-recognized subsequent events.

23. Reinsurance

No significant change.

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

A. through D: No significant change.

E. Risk Sharing Provisions of the Affordable Care Act

(1) Did the reporting entity write accident and health insurance premium which is subject to the ACA risk sharing provisions (YES/NO)? Yes [ ] No [ X ].

(2) through (5): Not applicable.

25. Change in Incurred Claims and Claim Adjustment Expenses

A. Reserves as of December 31, 2019 were \$113,027,172 . As of March 31, 2020, \$96,085,912 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$21,347,976 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a \$4,406,716 unfavorable prior-year development since December 31, 2019 to March 31, 2020. The increase is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. Included in this increase, the Company experienced \$1,768,425 of favorable prior year claim development on retrospectively rated policies. However, the business to which it relates is subject to premium adjustments.

B. There has been no significant change in the Company's methodologies and assumptions used in calculating the liability for unpaid losses and loss adjustment expenses.

26. Intercompany Pooling Arrangements

No significant change.

27. Structured Settlements

No significant change.

28. Health Care Receivables

No significant change.

29. Participating Policies

No significant change.

30. Premium Deficiency Reserves

No significant change.

31. Anticipated Salvage and Subrogation

No significant change.

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]

1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]

2.2 If yes, date of change: \_\_\_\_\_

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [ X ] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ X ] No [ ]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.  
On January 6, 2020, PT Aetna Management Consulting changed its ownership of 23% from PT Asuransi Central Asia to Aetna Global Benefits (Asia Pacific) Limited. On January 23, 2020, Aetna Health Holdings, LLC acquired IlliniCare Health Plan, Inc.

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ X ] No [ ]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. ..... 0000064803

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]  
If yes, complete and file the merger history data file with the NAIC.

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ X ] No [ ] N/A [ ]  
If yes, attach an explanation.  
Existing Administrative Services Agreement was amended to include provisions that additional services will be provided by other affiliates.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ..... 12/31/2015

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ..... 12/31/2015

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ..... 06/16/2017

6.4 By what department or departments?  
Ohio Department of Insurance

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]

6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ ] No [ ] N/A [ X ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [ X ]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

## GENERAL INTERROGATORIES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [  ] No [  ]  
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? ..... Yes [  ] No [  ]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [  ] No [  ]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

### FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [  ] No [  ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ ..... 0

### INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [  ] No [  ]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ ..... 0

13. Amount of real estate and mortgages held in short-term investments: ..... \$ ..... 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [  ] No [  ]

14.2 If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds .....	\$ ..... 0	\$ ..... 0
14.22 Preferred Stock .....	\$ ..... 0	\$ ..... 0
14.23 Common Stock .....	\$ ..... 0	\$ ..... 0
14.24 Short-Term Investments .....	\$ ..... 0	\$ ..... 0
14.25 Mortgage Loans on Real Estate .....	\$ ..... 0	\$ ..... 0
14.26 All Other .....	\$ ..... 0	\$ ..... 16,098,526
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) .....	\$ ..... 0	\$ ..... 16,098,526
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....	\$ ..... 0	\$ ..... 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [  ] No [  ]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [  ] No [  ] N/A [  ]  
 If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. ..... \$ ..... 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. ..... \$ ..... 0

16.3 Total payable for securities lending reported on the liability page. ..... \$ ..... 0

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

## GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ X ] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
State Street Bank and Trust Company .....	State Street Financial Center; One Lincoln Street; Boston, MA 02111-2900 .....

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....	.....	.....

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [ X ]

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....	.....	.....	.....

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Kevin J. Casey as Senior Investment Officer .....	A.....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? ..... Yes [ ] No [ X ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? ..... Yes [ ] No [ X ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
.....	Kevin J. Casey .....	.....	Not registered .....	.....

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- Issuer or obligor is current on all contracted interest and principal payments.
- The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? ..... Yes [ ] No [ X ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

- The security was purchased prior to January 1, 2018.
- The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? ..... Yes [ ] No [ X ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

- The shares were purchased prior to January 1, 2019.
- The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
- The fund only or predominantly holds bonds in its portfolio.
- The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
- The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [ ] No [ X ]

**GENERAL INTERROGATORIES****PART 2 - HEALTH**

## 1. Operating Percentages:

1.1 A&H loss percent .....	86.4 %
1.2 A&H cost containment percent .....	2.8 %
1.3 A&H expense percent excluding cost containment expenses .....	10.9 %
2.1 Do you act as a custodian for health savings accounts? .....	Yes [ ] No [ X ]
2.2 If yes, please provide the amount of custodial funds held as of the reporting date .....	\$.....0
2.3 Do you act as an administrator for health savings accounts? .....	Yes [ ] No [ X ]
2.4 If yes, please provide the balance of the funds administered as of the reporting date .....	\$.....0
3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? .....	Yes [ ] No [ X ]
3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? .....	Yes [ ] No [ X ]

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

## **SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

# NON-E

**SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS**

Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Business Only							
		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums & Other Considerations	7 Property/ Casualty Premiums	8 Total Columns 2 Through 7	9 Deposit-Type Contracts
1. Alabama	AL	N	0	0	0	0	0	0	0
2. Alaska	AK	N	0	0	0	0	0	0	0
3. Arizona	AZ	N	0	0	0	0	0	0	0
4. Arkansas	AR	N	0	0	0	0	0	0	0
5. California	CA	N	0	0	0	0	0	0	0
6. Colorado	CO	N	0	0	0	0	0	0	0
7. Connecticut	CT	N	0	0	0	0	0	0	0
8. Delaware	DE	N	0	0	0	0	0	0	0
9. District of Columbia	DC	N	0	0	0	0	0	0	0
10. Florida	FL	N	0	0	0	0	0	0	0
11. Georgia	GA	N	0	0	0	0	0	0	0
12. Hawaii	HI	N	0	0	0	0	0	0	0
13. Idaho	ID	N	0	0	0	0	0	0	0
14. Illinois	IL	N	0	0	0	0	0	0	0
15. Indiana	IN	N	0	0	0	0	0	0	0
16. Iowa	IA	N	0	0	0	0	0	0	0
17. Kansas	KS	N	0	0	0	0	0	0	0
18. Kentucky	KY	N	0	0	0	0	0	0	0
19. Louisiana	LA	N	0	0	0	0	0	0	0
20. Maine	ME	N	0	0	0	0	0	0	0
21. Maryland	MD	N	0	0	0	0	0	0	0
22. Massachusetts	MA	N	0	0	0	0	0	0	0
23. Michigan	MI	N	0	0	0	0	0	0	0
24. Minnesota	MN	N	0	0	0	0	0	0	0
25. Mississippi	MS	N	0	0	0	0	0	0	0
26. Missouri	MO	N	0	0	0	0	0	0	0
27. Montana	MT	N	0	0	0	0	0	0	0
28. Nebraska	NE	N	0	0	0	0	0	0	0
29. Nevada	NV	N	0	0	0	0	0	0	0
30. New Hampshire	NH	N	0	0	0	0	0	0	0
31. New Jersey	NJ	N	0	0	0	0	0	0	0
32. New Mexico	NM	N	0	0	0	0	0	0	0
33. New York	NY	N	0	0	0	0	0	0	0
34. North Carolina	NC	N	0	0	0	0	0	0	0
35. North Dakota	ND	N	0	0	0	0	0	0	0
36. Ohio	OH	L	98,997,181	157,683,153	0	0	0	256,680,334	0
37. Oklahoma	OK	N	0	0	0	0	0	0	0
38. Oregon	OR	N	0	0	0	0	0	0	0
39. Pennsylvania	PA	N	0	0	0	0	0	0	0
40. Rhode Island	RI	N	0	0	0	0	0	0	0
41. South Carolina	SC	N	0	0	0	0	0	0	0
42. South Dakota	SD	N	0	0	0	0	0	0	0
43. Tennessee	TN	N	0	0	0	0	0	0	0
44. Texas	TX	N	0	0	0	0	0	0	0
45. Utah	UT	N	0	0	0	0	0	0	0
46. Vermont	VT	N	0	0	0	0	0	0	0
47. Virginia	VA	N	0	0	0	0	0	0	0
48. Washington	WA	N	0	0	0	0	0	0	0
49. West Virginia	WV	N	0	0	0	0	0	0	0
50. Wisconsin	WI	N	0	0	0	0	0	0	0
51. Wyoming	WY	N	0	0	0	0	0	0	0
52. American Samoa	AS	N	0	0	0	0	0	0	0
53. Guam	GU	N	0	0	0	0	0	0	0
54. Puerto Rico	PR	N	0	0	0	0	0	0	0
55. U.S. Virgin Islands	VI	N	0	0	0	0	0	0	0
56. Northern Mariana Islands	MP	N	0	0	0	0	0	0	0
57. Canada	CAN	N	0	0	0	0	0	0	0
58. Aggregate Other Aliens	OT	XXX	0	0	0	0	0	0	0
59. Subtotal		XXX	0	98,997,181	157,683,153	0	0	256,680,334	0
60. Reporting Entity Contributions for Employee Benefit Plans		XXX	0	0	0	0	0	0	0
61. Totals (Direct Business)		XXX	0	98,997,181	157,683,153	0	0	256,680,334	0
DETAILS OF WRITE-INS									
58001.		XXX							
58002.		XXX							
58003.		XXX							
58998. Summary of remaining write-ins for Line 58 from overflow page		XXX	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)		XXX	0	0	0	0	0	0	0

(a) Active Status Counts:

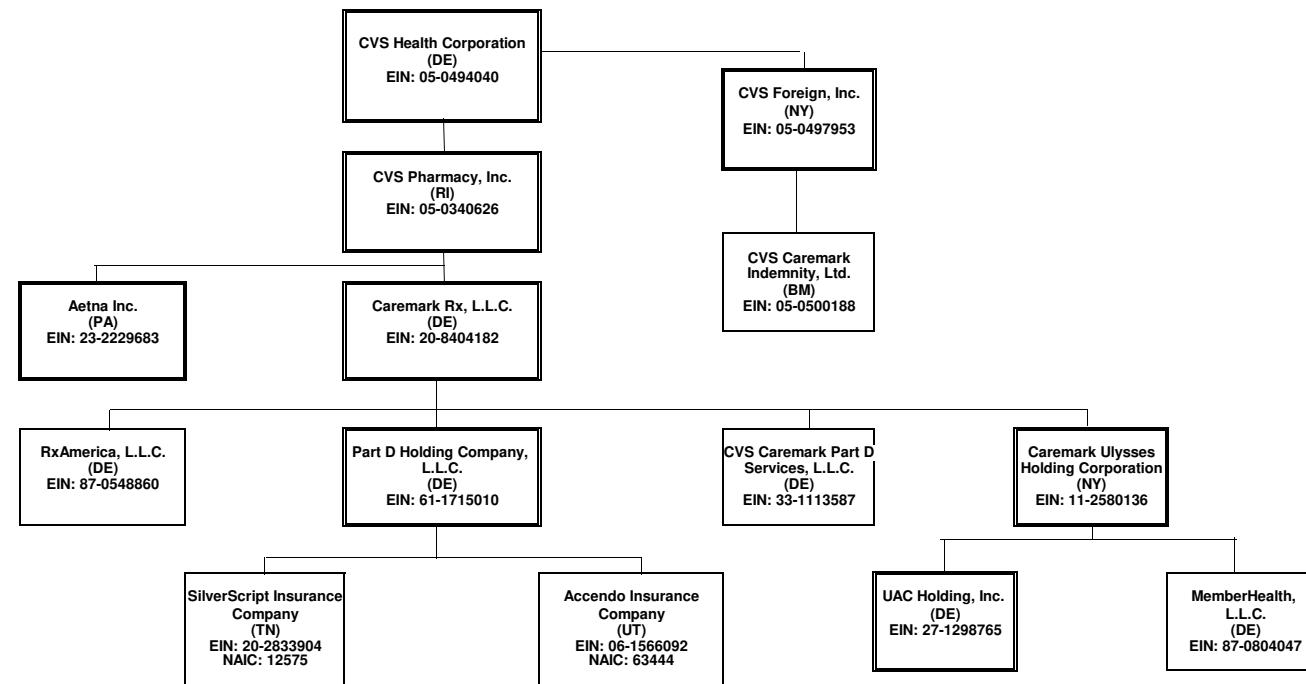
L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG..... 1 R - Registered - Non-domiciled RRGs..... 0

E - Eligible - Reporting entities eligible or approved to write surplus lines in the state..... 0 Q - Qualified - Qualified or accredited reinsurer..... 0

N - None of the above - Not allowed to write business in the state..... 56

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)  
SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



This organizational chart reflects the insurance entity reporting system and identifies the relationship between the ultimate parent and all member insurers. The ultimate controlling company is a Fortune 7 company with numerous subsidiaries, the majority of which do not interact with the insurance entities.

(1) Insurers/HMO's

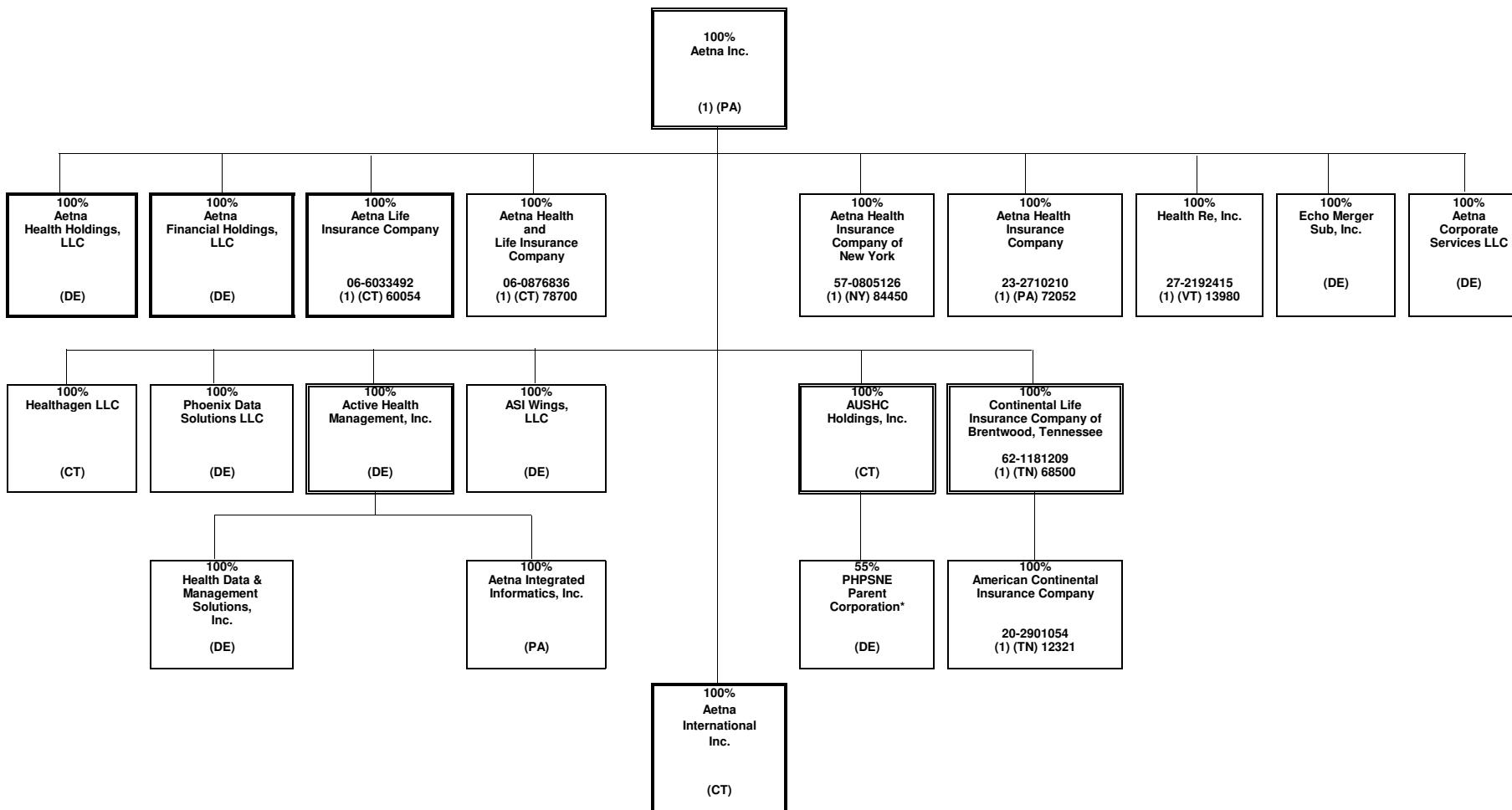
Percentages are rounded to the nearest whole percent and based on ownership of voting rights.

Double borders indicate entity has subsidiaries shown on the same page.

Bold borders indicate entity has subsidiaries shown on a separate page.

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)  
**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP**

**PART 1 - ORGANIZATIONAL CHART**

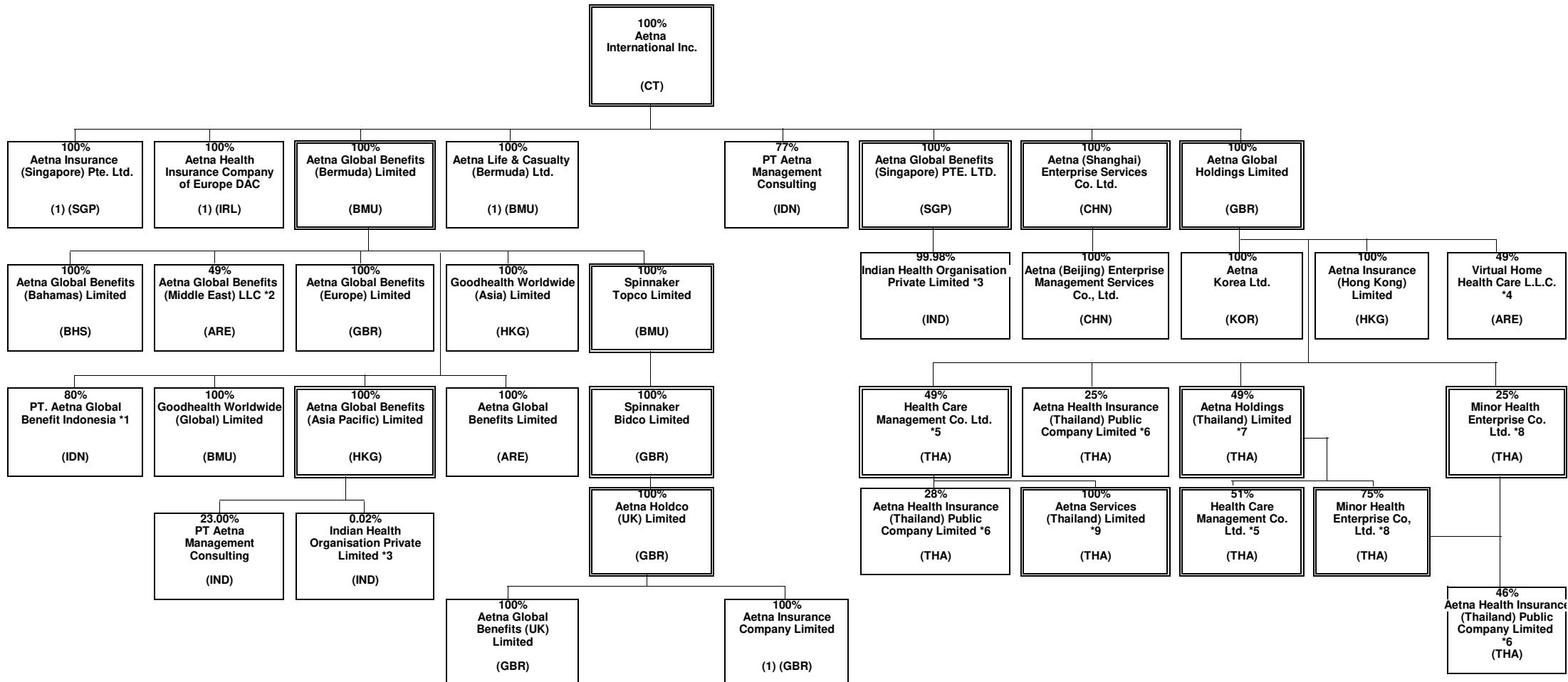


\* PHPNE Parent Corporation is also 45% owned by third parties.

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



\*1 PT. Aetna Global Benefits Indonesia is also 20% owned by Suhatsyah Rivai, Aetna's Nominee.

\*2 Aetna Global Benefits (Middle East) LLC is also 51% owned by Euro Gulf LLC, Aetna's Nominee.

\*3 Indian Health Organisation Private Limited is 0.019857% owned by Aetna Global Benefits (Asia Pacific) and 99.980143% owned by Aetna Global Benefits (Singapore) PTE. LTD.

\*4 Virtual Home Health Care L.L.C. is also 51% owned by CBD Commercial Brokers LLC, Aetna's Nominee

\*5 Health Care Management Co. Ltd. Is also owned by Aetna Global Benefits (Bermuda) Limited (1 share).

\*6 Aetna Health Insurance (Thailand) Public Company Limited is also owned by Aetna Global Benefits (Bermuda) Limited (1 share), Mr. Sansanapongpherchar (1 Share), Mr. Jitphasong Itsaraphakded (1 Share); Mrs. Supheeh Wattana (1 Share); and Mr. Buncha Tamphragom (1 Share)

\*7 Aetna Holdings (Thailand) Limited is also 51% owned by Mr. Paiboon Sutantivorakoon plus Aetna Benefits (Bermuda) Limited owns 1 share.

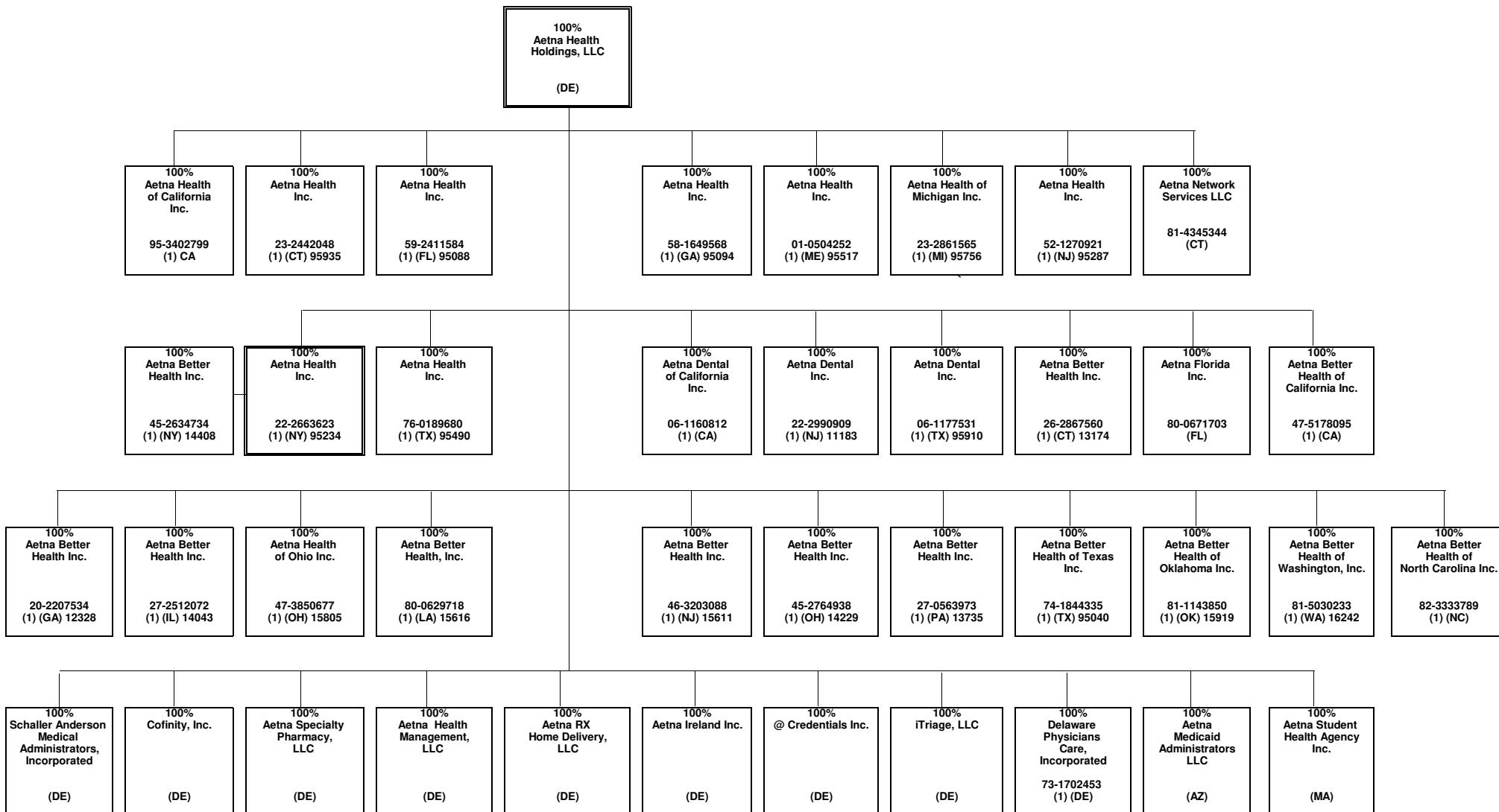
\*8 Minor Health Enterprise Co, Ltd is also (1 share) owned by Aetna Global Benefits (Bermuda) Limited

\*9 Aetna Services (Thailand) Limited is also (1 share) owned by Aetna Global Benefits (Bermuda) Limited and (1 share) owned by Aetna Global Holdings Limited

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP

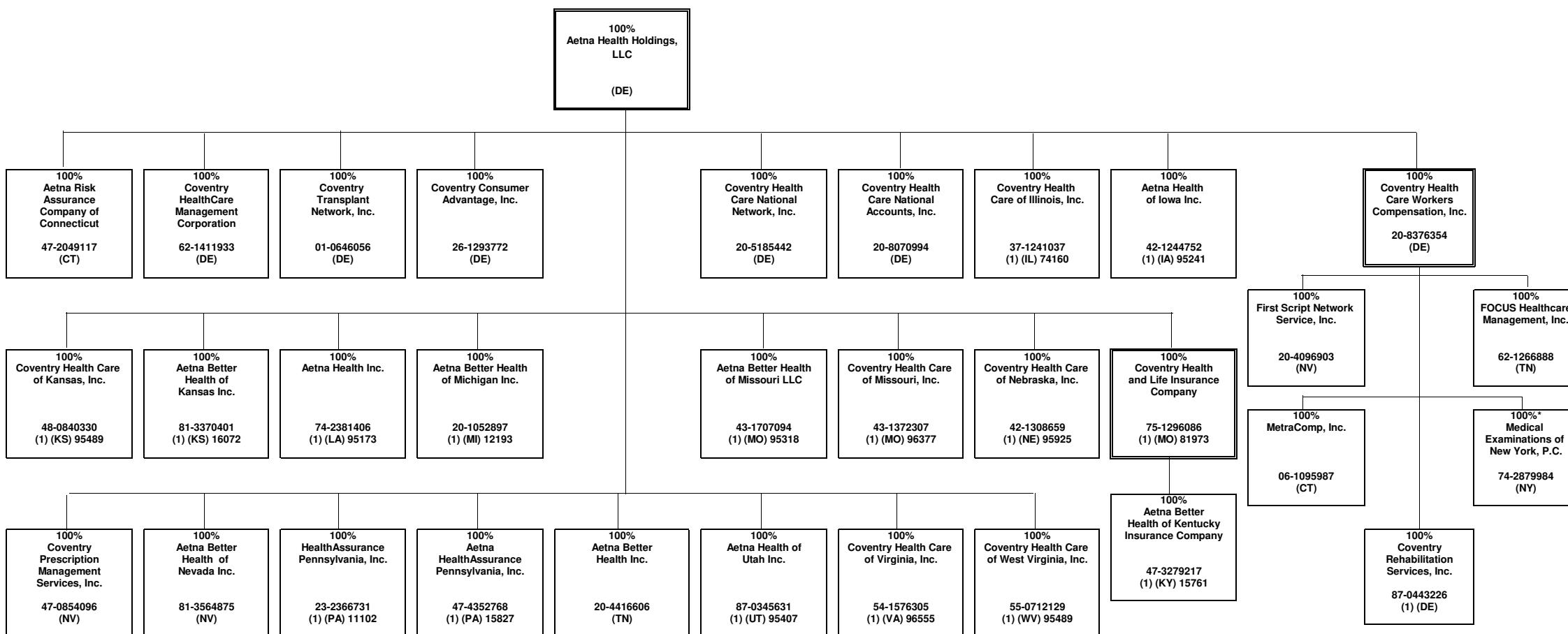
PART 1 - ORGANIZATIONAL CHART



STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

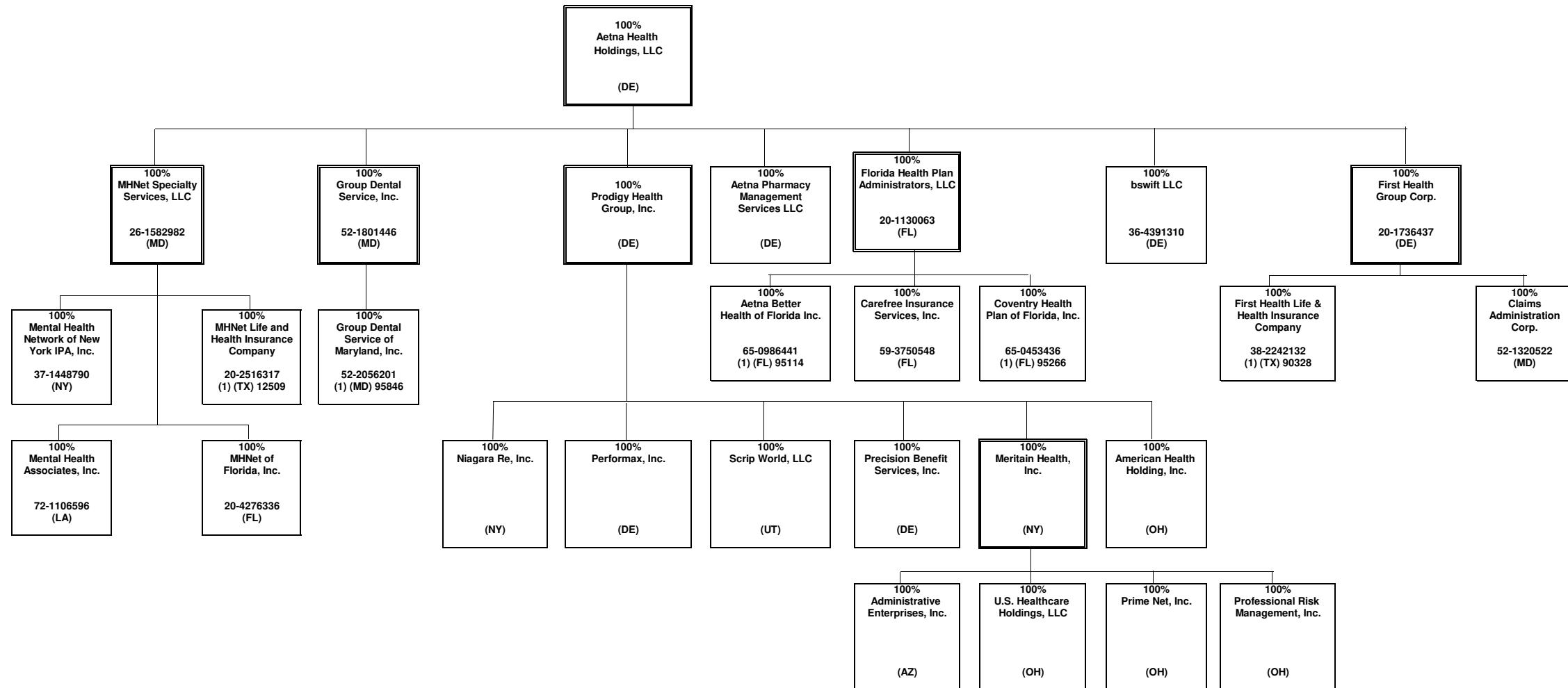
**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP**

**PART 1 - ORGANIZATIONAL CHART**



\*100% owned through Aetna's nominees

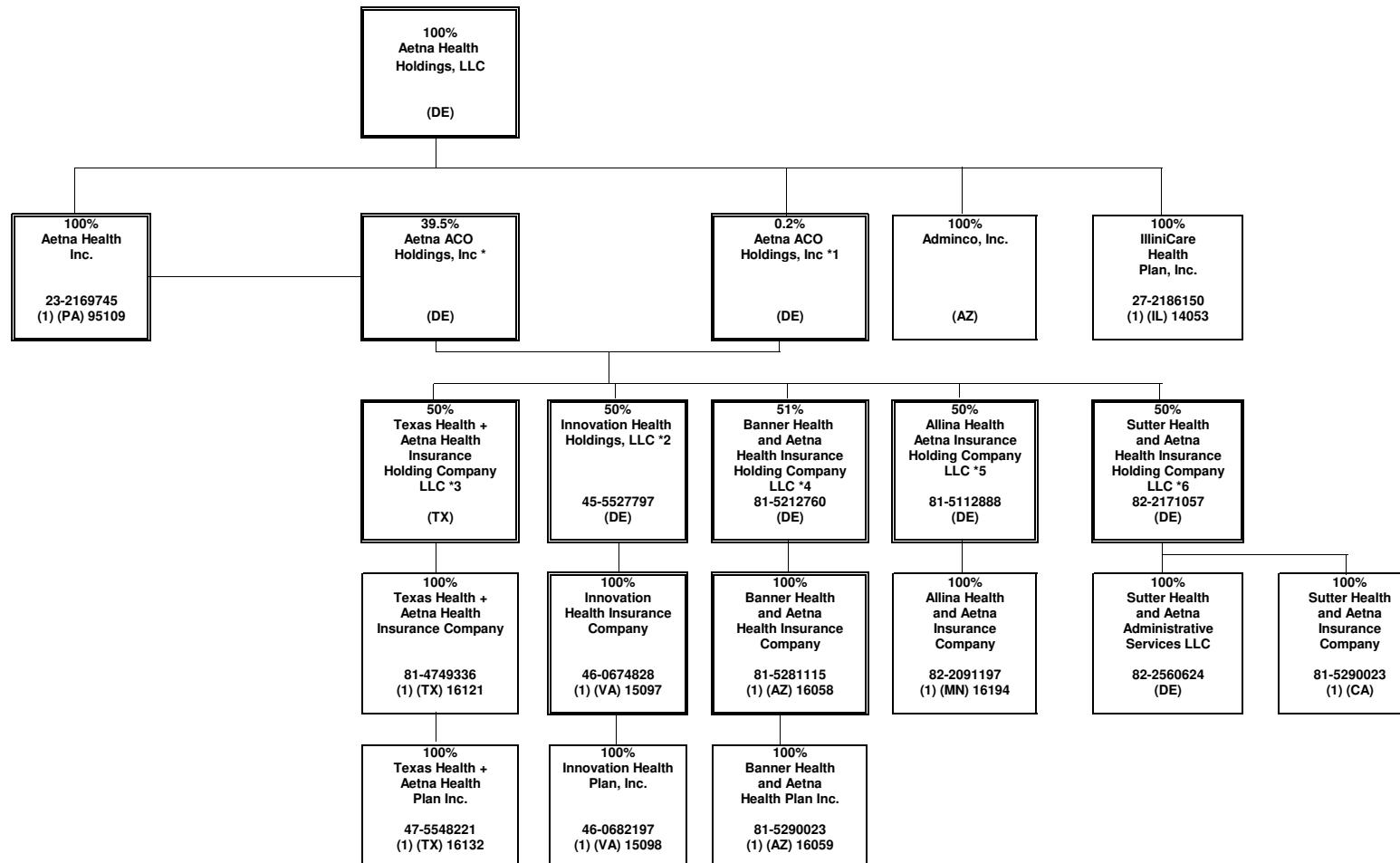
STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)  
**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 - ORGANIZATIONAL CHART**



STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



\*1 Aetna ACO Holdings Inc. is owned by Aetna Life Insurance Company (302 shares); Aetna Health Inc. (PA) (198 shares); and Aetna Health Holdings, LLC (1 share).

\*2 Innovation Health Holdings, LLC is also 50% owned by Inova Health System Foundation.

\*3 Texas Health + Aetna Health Insurance Holding Company LLC is also 50% owned by Texas Health Resources.

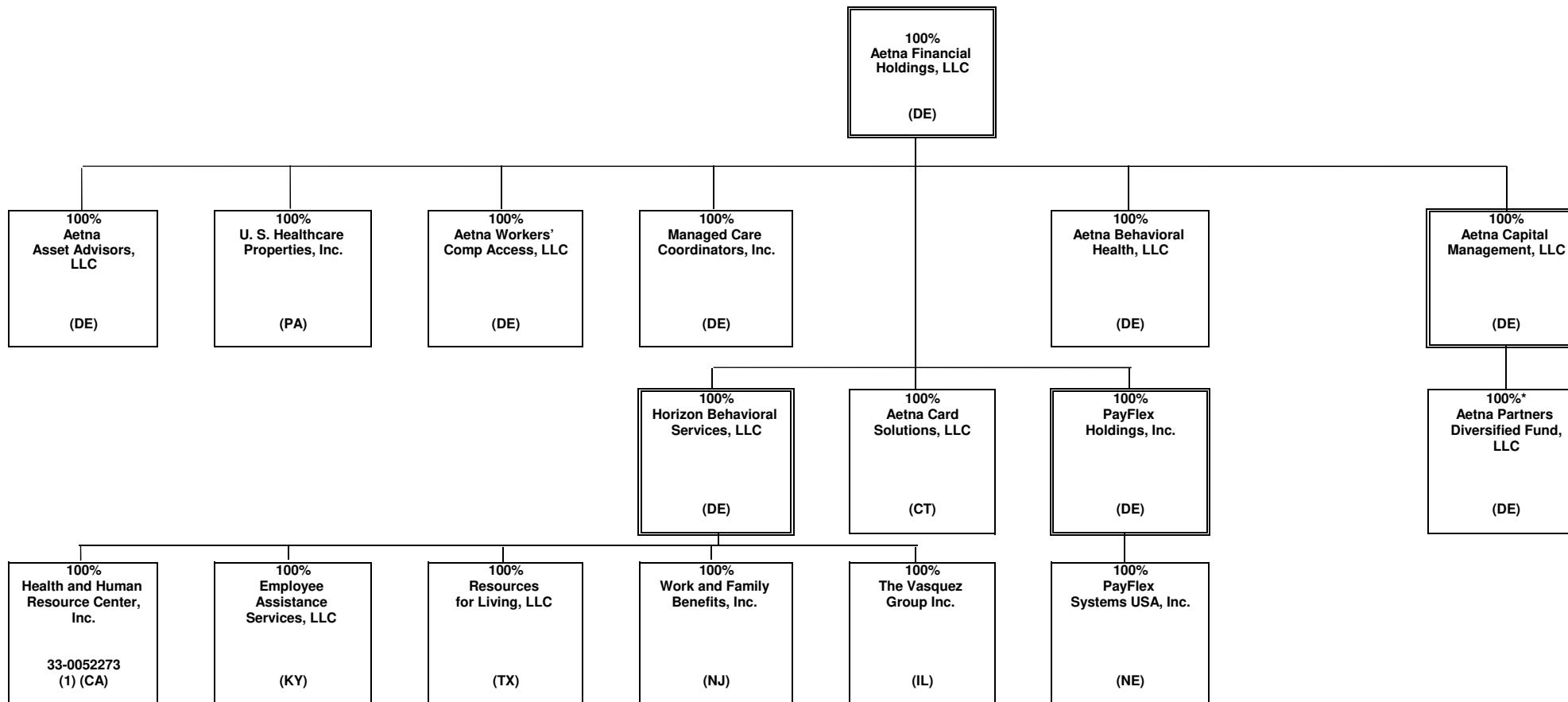
\*4 Banner Health and Aetna Health Insurance Holding Company LLC is also 49% owned by Banner Health.

\*5 Allina Health and Aetna Insurance Holding Company LLC is also 50% owned by Allina Health.

\*6 Sutter Health and Aetna Insurance Holding Company LLC is also 50% owned by Sutter Health Plan Products Organization, LLC.

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP**

**PART 1 - ORGANIZATIONAL CHART**

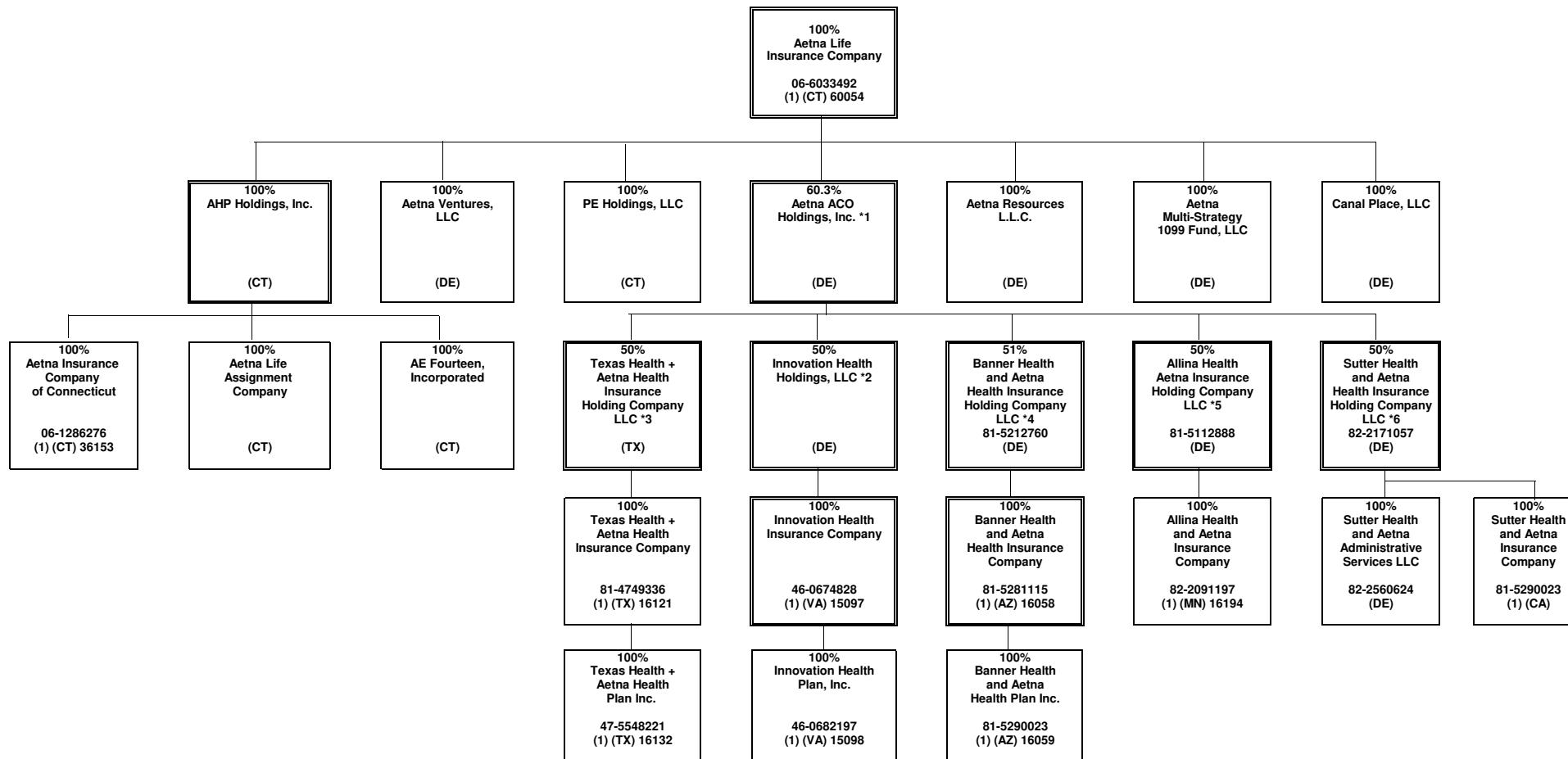


\* Aetna Life Insurance Company owns substantially all of the non-managing member interests of Aetna Partners Diversified Fund, LLC.

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURANCE MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



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\*4 Banner Health and Aetna Health Insurance Holding Company LLC is also 49% owned by Banner Health.

\*5 Allina Health and Aetna Insurance Holding Company LLC is also 50% owned by Allina Health.

\*6 Sutter Health and Aetna Insurance Holding Company LLC is also 50% owned by Sutter Health Plan Products Organization, LLC.

## STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Rela-tion-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(es)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
0001	CVS HEALTH GROUP	05-0494040	06-1566092	0000064803	NYSE	CVS Health Corporation	DE	UIP	Board of Directors	Board of Directors	Board of Directors	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	63444	20-2833904			Accendo Insurance Company	UT	IA	Part D Holding Company, L.L.C.	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	12575	05-0340626			SilverScript Insurance Company	TN	IA	Part D Holding Company, L.L.C.	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-8404182			CVS Pharmacy, Inc	RI	UIP	CVS Health Corporation	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	61-1715010			Caremark, Rx., L.L.C.	DE	NIA	CVS Pharmacy, Inc	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	33-1113587			Part D Holding Company, L.L.C.	DE	NIA	Caremark, Rx., L.L.C.	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	87-0548860			CVS Caremark Part D Services, L.L.C.	DE	NIA	Caremark, Rx., L.L.C.	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	11-2580136			RxAmerica, L.L.C.	DE	NIA	Caremark, Rx., L.L.C.	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	87-0804047			Caremark Ulysses Holding Corporation	NY	NIA	Caremark, Rx., L.L.C.	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	05-0500188			MemberHealth L.L.C.	DE	NIA	Caremark Ulysses Holding Corporation	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	27-1298765			CVS Caremark Indemnity, Ltd.	BMU	IA	CVS Foreign, Inc.	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	05-0497953			UAC Holding, Inc.	DE	NIA	CVS Caremark Ulysses Holding Corporation	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	23-2229683	3060706	0001122304	CVS Foreign, Inc.	NY	NIA	CVS Health Corporation	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	30-0123754			Aetna Inc.	PA	UIP	CVS Pharmacy, Inc	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	95-3402799			Aetna Health Holdings, LLC	DE	UDP	Aetna Inc.	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95935	23-2442048			Aetna Health of California Inc.	CA	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95088	59-2411584			Aetna Health Inc.	CT	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95094	58-1649568			Aetna Health Inc.	FL	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95157	01-0504252			Aetna Health Inc.	GA	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95756	23-2861565			Aetna Health Inc.	ME	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95287	52-1270921			Aetna Health of Michigan Inc.	MI	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95234	22-2663623			Aetna Health Inc.	NJ	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	14408	45-2634734			Aetna Better Health Inc.	NY	IA	Aetna Health Inc. (NY)	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95490	76-0189680			Aetna Health Inc.	TX	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95040	74-1844335			Aetna Better Health of Texas Inc.	TX	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	12328	20-2207534			Aetna Better Health Inc.	GA	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	06-1160812			Aetna Dental of California Inc.	CA	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	11183	22-2990909			Aetna Dental Inc.	NJ	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95910	06-1177531			Aetna Dental Inc.	TX	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	30-0123760			Aetna Rx Home Delivery, LLC	DE	NIA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	13-3670795			Aetna Health Management, LLC	DE	NIA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	22-3187443			Aetna Ireland Inc.	DE	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	57-1209768			Aetna Specialty Pharmacy, LLC	DE	NIA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-1274723			Cofinity, Inc.	DE	NIA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	23-2671370			@Credentials Inc.	DE	NIA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	13735	27-0563973		Aetna Services (Thailand) Limited	THA	NIA	Health Care Management Co. Ltd.	Ownership	Ownership	100.00	CVS Health Corporation	N	18
0001	CVS HEALTH GROUP	13174	26-2867560			Aetna Better Health Inc.	PA	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	47-5178095			Aetna Better Health Inc.	CT	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	14043	27-2512072			Aetna Better Health Inc.	CA	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	15805	47-3850677			Aetna Health of Ohio Inc.	IL	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	15616	80-0629718			Aetna Better Health, Inc.	OH	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	80-0671703			Aetna Florida Inc.	LA	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	14229	25-2764938			Aetna Better Health Inc.	FL	RE	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	15611	46-3203088			Aetna Better Health Inc.	OH	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	15919	81-1143850			Aetna Better Health of Oklahoma Inc.	NJ	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	04-2708160			Aetna Student Health Agency Inc.	OK	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	73-1702453			Delaware Physicians Care, Incorporated	DE	IA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	01-0826783			Schaller Anderson Medical Administrators, Incorporated	DE	NIA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	86-0842559			Aetna Medicaid Administrators LLC	AZ	NIA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	45-2944270			iTriage, LLC	DE	NIA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	16-1471176			Prodigy Health Group, Inc.	DE	NIA	Aetna Health Holdings, LLC	Ownership	Ownership	100.00	CVS Health Corporation	N	0

## STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Rela-tion-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(es)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
0001	CVS HEALTH GROUP	00000	45-4901541				Aetna ACO Holdings, Inc.	DE	N/A	Aetna Health Holdings, LLC	Ownership	0.200	CVS Health Corporation	Y	3
0001	CVS HEALTH GROUP	00000	45-5527797				Innovation Health Holdings, LLC	DE	N/A	Aetna ACO Holdings, Inc.	Ownership	50.000	CVS Health Corporation	N	6
0001	CVS HEALTH GROUP	15097	46-0674828				Innovation Health Insurance Company	VA	IA	Innovation Health Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	15098	46-0682197				Innovation Health Plan, Inc.	VA	IA	Innovation Health Insurance Company	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP						Texas Health + Aetna Health Insurance Holding Company LLC	TX	N/A	Aetna ACO Holdings, Inc.	Ownership	50.000	CVS Health Corporation	N	9
0001	CVS HEALTH GROUP	00000	81-3789357				Texas Health + Aetna Health Insurance Company	TX	IA	Texas Health + Aetna Health Insurance Holding Company LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	16121	81-4749336							Texas Health + Aetna Health Insurance					
0001	CVS HEALTH GROUP	16132	47-5548221				Texas Health + Aetna Health Plan Inc.	TX	IA	Company	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95109	23-2169745				Aetna Health Inc.	PA	IA	Aetna Health Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	45-4901541				Aetna ACO Holdings, Inc.	DE	N/A	Aetna Health Inc. (PA)	Ownership	39.500	CVS Health Corporation	Y	3
0001	CVS HEALTH GROUP	00000	20-0438576				Niagara Re, Inc.	NY	IA	Prodigy Health Group, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	52-2200070				Performax, Inc.	DE	N/A	Prodigy Health Group, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	87-0632355				Scrip World, LLC	UT	IA	Prodigy Health Group, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	27-1760756				Precision Benefit Services, Inc.	DE	IA	Prodigy Health Group, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	31-1368946				American Health Holding, Inc.	OH	IA	Prodigy Health Group, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	16-1264154				Meritain Health, Inc.	NY	IA	Prodigy Health Group, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	86-0537707				Adminco, Inc.	AZ	IA	Aetna Health Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	86-0527428				Administrative Enterprises, Inc.	AZ	IA	Meritain Health, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	16-1684061				U.S. Healthcare Holdings, LLC	OH	IA	Meritain Health, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	34-1670299				Prime Net, Inc.	OH	IA	Meritain Health, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	34-1348032				Professional Risk Management, Inc.	OH	IA	Meritain Health, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	68500	62-1181209				Continental Life Insurance Company of Brentwood, Tennessee	TN	IA	Aetna Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	12321	20-2901054				American Continental Insurance Company	TN	IA	Continental Life Insurance Company of Brentwood, Tennessee	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	60054	06-6033492				Aetna Life Insurance Company	CT	IA	Aetna Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	45-4901541				Aetna ACO Holdings, Inc.	DE	N/A	Aetna Life Insurance Company	Ownership	60.300	CVS Health Corporation	Y	3
0001	CVS HEALTH GROUP	00000	06-1270755				AHP Holdings, Inc.	CT	IA	Aetna Life Insurance Company	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	36153	06-1286276				Aetna Insurance Company of Connecticut	CT	IA	AHP Holdings, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	06-1028469				AE Fourteen, Incorporated	CT	IA	AHP Holdings, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	06-1373153				Aetna Life Assignment Company	CT	IA	AHP Holdings, Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-3678339				PE Holdings, LLC	CT	IA	Aetna Life Insurance Company	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	06-1423207				Aetna Resources L.L.C.	DE	IA	Aetna Life Insurance Company	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	06-1423207				Canal Place, LLC	DE	IA	Aetna Life Insurance Company	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-3180700				Aetna Ventures, LLC	DE	IA	Aetna Life Insurance Company	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	06-1423207				Aetna Multi-Strategy 1099 Fund, LLC	DE	IA	Aetna Life Insurance Company	Ownership	79.250	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	41-2035961				Aetna Financial Holdings, LLC	DE	IA	Aetna Inc.	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	26-2030792				Aetna Asset Advisors, LLC	DE	IA	Aetna Financial Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	23-2354500				U.S. Healthcare Properties, Inc.	PA	IA	Aetna Financial Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	38-3704481				Aetna Capital Management, LLC	DE	IA	Aetna Financial Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	11-3667142				Aetna Partners Diversified Fund, LLC	DE	IA	Aetna Capital Management, LLC	Ownership	100.000	CVS Health Corporation	N	1
0001	CVS HEALTH GROUP	00000	20-0446676				Aetna Workers' Comp Access, LLC	DE	IA	Aetna Financial Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-0446713				Aetna Behavioral Health, LLC	DE	IA	Aetna Financial Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	23-2670015				Managed Care Coordinators, Inc.	DE	IA	Aetna Financial Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	59-3269144				Horizon Behavioral Services, LLC	DE	IA	Aetna Financial Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	61-1193498				Employee Assistance Services, LLC	KY	IA	Horizon Behavioral Services, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	33-0052273				Health and Human Resource Center, Inc.	CA	IA	Horizon Behavioral Services, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	75-2420973				Resources for Living, LLC	TX	IA	Horizon Behavioral Services, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	36-3681261				The Vasquez Group Inc.	IL	IA	Horizon Behavioral Services, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	22-3178125				Work and Family Benefits, Inc.	NJ	IA	Horizon Behavioral Services, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	27-1773021				Aetna Card Solutions, LLC	CT	IA	Aetna Financial Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-5216478				PayFlex Holdings, Inc.	DE	IA	Aetna Financial Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0

## STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi- ciliary Loca- tion	Rela- tionship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner- ship Provide Per- centage	Ultimate Controlling Entity(es)/Person(s)	Is an SCA Filing Re- quired? (Y/N)	*
0001	CVS HEALTH GROUP	00000	91-1774434			PayFlex Systems USA, Inc.		NE	N/A	PayFlex Holdings, Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	78700	06-0876836			Aetna Health and Life Insurance Company		CT	IA	Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	72052	23-2710210			Aetna Health Insurance Company		PA	IA	Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	84450	57-0805126			Aetna Health Insurance Company of New York		NY	IA	Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	06-1571642			Aetna International Inc.		CT	N/A	Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	98-0211470			Aetna Life & Casualty (Bermuda) Ltd.		BMU	IA	Aetna International Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Insurance (Singapore) PTE. LTD.		SGP	IA	Aetna International Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Global Benefits (Bermuda) Limited		BMU	N/A	Aetna International Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Goodhealth Worldwide (Global) Limited		BMU	N/A	Aetna Global Benefits (Bermuda) Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Global Benefits (Europe) Limited		GBR	IA	Aetna Global Benefits (Bermuda) Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Goodhealth Worldwide (Asia) Limited		HKG	IA	Aetna Global Benefits (Bermuda) Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Global Benefits Limited		ARE	N/A	Aetna Global Benefits (Bermuda) Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				PT. Aetna Global Benefits Indonesia		IDN	IA	Aetna Global Benefits (Bermuda) Limited	Ownership	80.00	CVS Health Corporation	N	4
0001	CVS HEALTH GROUP	00000				Aetna Global Benefits (Middle East) LLC		ARE	N/A	Aetna Global Benefits (Bermuda) Limited	Ownership	49.00	CVS Health Corporation	N	5
0001	CVS HEALTH GROUP	00000				Aetna Global Benefits (Asia Pacific) Limited		HKG	N/A	Aetna Global Benefits (Bermuda) Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				PT Aetna Management Consulting		IDN	N/A	Aetna International Inc.	Ownership	77.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Spinnaker Topco Limited		BMU	N/A	Aetna Global Benefits (Bermuda) Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Spinnaker Bidco Limited		GBR	N/A	Spinnaker Topco Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Holdco (UK) Limited		GBR	N/A	Spinnaker Bidco Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				PT Aetna Management Consulting		IDN	N/A	Aetna Global Benefits (Asia Pacific) Limited	Ownership	23.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Global Benefits (UK) Limited		GBR	N/A	Aetna Holdco (UK) Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Insurance Company Limited		GBR	IA	Aetna Holdco (UK) Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Indian Health Organisation Private Limited		IND	N/A	Aetna Global Benefits (Asia Pacific) Limited	Ownership	0.020	CVS Health Corporation	N	2
0001	CVS HEALTH GROUP	00000				Aetna Health Insurance Company of Europe DAC		IND	N/A	Aetna International Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna (Shanghai) Enterprise Services Co. Ltd.		IRL	IA	Aetna International Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna (Beijing) Enterprise Management Services Co., Ltd.		CHN	N/A	Aetna International Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Global Benefits (Singapore) PTE. LTD.		CHN	N/A	Aetna (Shanghai) Enterprise Services Co. Ltd.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	22-2578985			Indian Health Organisation Private Limited		IND	N/A	Aetna International Inc.	Ownership	99.980	CVS Health Corporation	N	2
0001	CVS HEALTH GROUP	00000				AUSHC Holdings, Inc.		CT	N/A	Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Global Holdings Limited		GBR	N/A	Aetna International Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Korea Ltd.		KOR	N/A	Aetna Global Holdings Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Insurance (Hong Kong) Limited		HKG	N/A	Aetna Global Holdings Limited	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	06-1182176			PHPSNE Parent Corporation		DE	N/A	AUSHC Holdings, Inc.	Ownership	55.00	CVS Health Corporation	N	7
0001	CVS HEALTH GROUP	00000	52-2182411			Active Health Management, Inc.		DE	N/A	Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	47-0970432			Health Data & Management Solutions, Inc.		DE	N/A	Active Health Management, Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	23-2604867			Aetna Integrated Informatics, Inc.		PA	N/A	Active Health Management, Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	13980	27-2192415		Health Re, Inc.		VT	IA	Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	81-0579372			Phoenix Data Solutions LLC		DE	N/A	Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	46-2469464			Healthgator LLC		CT	N/A	Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	51-0029326			ASI Wings, LLC		DE	N/A	Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Echo Merger Sub, Inc.		DE	N/A	Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000				Aetna Corporate Services, LLC		DE	N/A	Aetna Inc.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	81973	75-1296086			Coventry Health and Life Insurance Company		MO	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	15761	47-3279217			Aetna Better Health of Kentucky Insurance Company		KY	IA	Coventry Health and Life Insurance Company	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	52-1801446			Group Dental Service, Inc.		MD	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95846	52-2056201			Group Dental Service of Maryland, Inc.		MD	IA	Group Dental Service, Inc.	Ownership	100.00	CVS Health Corporation	N	0

## STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Rela-tion-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(es)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
0001	CVS HEALTH GROUP		81-4345344				Aetna Network Services LLC	CT	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95241	42-1244752				Aetna Health of Iowa Inc.	IA	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95925	42-1308659				Coventry Health Care of Nebraska, Inc.	NE	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	47-2049117				Aetna Risk Assurance Company of Connecticut Inc.	CT	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95173	74-2381406				Aetna Health Inc.	LA	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	11102	23-2366731				HealthAssurance Pennsylvania, Inc.	PA	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	47-0854096				Coventry Prescription Management Services, Inc.	NV	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	16148	81-3564875				Aetna Better Health of Nevada Inc.	NV	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	96555	54-1576305				Coventry Health Care of Virginia, Inc.	VA	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	01-0646056				Coventry Transplant Network, Inc.	DE	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	96377	43-1372307				Coventry Health Care of Missouri, Inc.	MO	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95318	43-1702094				Aetna Better Health of Missouri LLC	MO	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95408	55-0712129				Coventry Health Care of West Virginia, Inc.	WV	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	62-1411933				Coventry HealthCare Management Corporation	DE	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	15827	47-4352768				Aetna HealthAssurance Pennsylvania, Inc.	PA	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95489	48-0840330				Coventry Health Care of Kansas, Inc.	KS	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	16072	81-3370401				Aetna Better Health of Kansas Inc.	KS	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	12193	20-1052897				Aetna Better Health of Michigan Inc.	MI	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95407	87-0345631				Aetna Health of Utah Inc.	UT	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-4416606				Aetna Better Health Inc.	TN	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	74160	37-1241037				Coventry Health Care of Illinois, Inc.	IL	IA	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-8070994				Coventry Health Care National Accounts, Inc.	DE	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-5185442				Coventry Health Care National Network, Inc.	DE	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	26-1293772				Coventry Consumer Advantage, Inc.	DE	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-8376354				Coventry Health Care Workers Compensation, Inc.	DE	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-4096903				First Script Network Service, Inc.	NV	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	06-1095987				MetraComp, Inc.	CT	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	74-2879984				Medical Examinations of New York, P.C.	NY	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	.8
0001	CVS HEALTH GROUP	00000	62-1266888				FOCUS Healthcare Management, Inc.	TN	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	87-0443226				Coventry Rehabilitation Services, Inc.	DE	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-1736437				First Health Group Corp.	DE	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	90328	38-2242132				First Health Life & Health Insurance Company	TX	IA	First Health Group Corp.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	52-1320522				Claims Administration Corp.	MD	N/A	First Health Group Corp.	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-1130063				Florida Health Plan Administrators, LLC	FL	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95114	65-0986441				Aetna Better Health of Florida Inc.	FL	IA	Florida Health Plan Administrators, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	95266	65-0453436				Coventry Health Plan of Florida, Inc.	FL	IA	Florida Health Plan Administrators, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	59-3750548				Carefree Insurance Services, Inc.	FL	N/A	Florida Health Plan Administrators, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	36-4391310				bswift LLC	DE	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	26-1582982				Virtual Home Healthcare L.L.C.	ARE	N/A	Aetna Global Holdings Limited	Ownership	49.00	CVS Health Corporation	N	16
0001	CVS HEALTH GROUP	00000	37-1448790				MHNet Specialty Services, LLC	MD	N/A	Aetna Health Holdings, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	12509	20-2516317				Mental Health Network of New York IPA, Inc.	NY	N/A	MHNet Specialty Services, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	72-1106596				MHNet Life and Health Insurance Company	TX	IA	MHNet Specialty Services, LLC	Ownership	100.00	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	20-4276336				MHNet of Florida, Inc.	FL	IA	MHNet Specialty Services, LLC	Ownership	100.00	CVS Health Corporation	N	0

## STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Rela-tion-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Per-centage	Ultimate Controlling Entity(es)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
0001	CVS HEALTH GROUP	16242	81-5030233				Aetna Better Health of Washington, Inc.	WA	IA	Aetna Health Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	81-5212760				Banner Health and Aetna Health Insurance Holding Company LLC	DE	NIA	Aetna ACO Holdings, Inc.	Ownership	51.000	CVS Health Corporation	N	10
0001	CVS HEALTH GROUP	16058	81-5281115				Banner Health and Aetna Health Insurance Company	AZ	IA	Banner Health and Aetna Health Insurance Holding Company LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	16059	81-5290023				Banner Health and Aetna Health Plan Inc.	AZ	IA	Banner Health and Aetna Health Insurance Company	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	81-5112888				Allina Health and Aetna Health Insurance Holding Company LLC	DE	NIA	Aetna ACO Holdings, Inc.	Ownership	50.000	CVS Health Corporation	N	11
0001	CVS HEALTH GROUP	16194	82-2091197				Allina Health and Aetna Insurance Company	MN	IA	Allina Health and Aetna Health Insurance Holding Company LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	82-2171057				Sutter Health and Aetna Insurance Holding Company LLC	DE	NIA	Aetna ACO Holdings, Inc.	Ownership	50.000	CVS Health Corporation	N	12
0001	CVS HEALTH GROUP	00000	82-2560624				Sutter Health and Aetna Administrative Services LLC	DE	NIA	Sutter Health and Aetna Insurance Holding Company LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000	81-5290023				Sutter Health and Aetna Insurance Company	CA	NIA	Sutter Health and Aetna Insurance Holding Company LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000					Aetna Holdings (Thailand) Limited	THA	NIA	Aetna Global Holdings Limited	Ownership	49.000	CVS Health Corporation	N	13
0001	CVS HEALTH GROUP	00000					Aetna Health Insurance (Thailand) Public Company Limited	THA	NIA	Aetna Global Holdings Limited	Ownership	25.000	CVS Health Corporation	N	14
0001	CVS HEALTH GROUP	00000					Aetna Health Insurance (Thailand) Public Company Limited	THA	NIA	Minor Health Enterprise Co, Ltd.	Ownership	46.000	CVS Health Corporation	N	14
0001	CVS HEALTH GROUP	00000					Aetna Health Insurance (Thailand) Public Company Limited	THA	NIA	Health Care Management Co. Ltd.	Ownership	28.000	CVS Health Corporation	N	14
0001	CVS HEALTH GROUP	00000					Health Care Management Co. Ltd.	THA	NIA	Aetna Global Holdings Limited	Ownership	49.000	CVS Health Corporation	N	17
0001	CVS HEALTH GROUP	00000					Minor Health Enterprise Co, Ltd.	THA	NIA	Aetna Global Holdings Limited	Ownership	25.000	CVS Health Corporation	N	15
0001	CVS HEALTH GROUP	00000					Minor Health Enterprise Co, Ltd.	THA	NIA	Aetna Holdings (Thailand) Limited	Ownership	75.000	CVS Health Corporation	N	15
0001	CVS HEALTH GROUP	00000					Health Care Management Co. Ltd.	THA	NIA	Aetna Holdings (Thailand) Limited	Ownership	51.000	CVS Health Corporation	N	17
0001	CVS HEALTH GROUP	00000					Aetna Global Benefits (Bahamas) Limited	BHS	NIA	Aetna Global Benefits (Bermuda) Limited	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	00000					Aetna Pharmacy Management Services LLC	DE	NIA	Aetna Health Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	16558	82-3333789				Aetna Better Health of North Carolina Inc.	NC	IA	Aetna Health Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0
0001	CVS HEALTH GROUP	14053	27-2186150				IlliniCare Health Plan, Inc.	IL	IA	Aetna Health Holdings, LLC	Ownership	100.000	CVS Health Corporation	N	0

16.4

Asterisk	Explanation
1	Aetna Life Insurance Company own substantially all of the non-managing membership interests of Aetna Partners Diversified Fund LLC.
2	Indian Health Organisation Private Limited is 0.019857% owned by Aetna Global Benefits (Asia Pacific) and 99.980143% owned by Aetna Global Benefits (Singapore) PTE. LTD.
3	Aetna ACO Holdings Inc. is owned by Aetna Life Insurance Company (302 shares); Aetna Health Inc. (PA) (198 shares); and Aetna Health Holdings, LLC (1 share).
4	PT. Aetna Global Benefits Indonesia is also 20% owned by Suhatsyah Rivai, Aetna's Nominee.
5	Aetna Global Benefits (Middle East) LLC is also 51% owned by Euro Gulf LLC, Aetna's Nominee.
6	Innovation Health Holdings LLC. Is also 50% owned by Inova Health System Foundation.
7	PHSNE Parent Corporation is 55% owned by AUSHC Holdings, Inc. The remaining 45% is owned by thirteen different hospitals (non-affiliates) which are shareholders with varying degrees of ownership.
8	Medical Examinations of New York, P.C. is 100% owned through Aetna's nominees.
9	Texas Health + Aetna Health Insurance Holding Company LLC is also 50% owned by Texas Health Resources.
10	Banner Health and Aetna Health Insurance Holding Company LLC is also 49% owned by Banner Health.
11	Allina Health and Aetna Insurance Holding Company LLC is also 50% owned by Allina Health.
12	Sutter Health and Aetna Insurance Holding Company LLC is also 50% owned by Sutter Health Plan Products Organization, LLC.
13	Aetna Holdings (Thailand) Limited is also 51% owned by Mr. Paiboon Sutantivorakoon plus Aetna Global Benefits (Bermuda) Limited owns 1 share.
14	Aetna Health Insurance (Thailand) Public Company Limited is also owned by Aetna Global Benefits (Bermuda) Limited (1 share), Mr. Sansanapongherchar (1 share), Mr. Jitphasong Itsaraphakded (1 share); Mrs. Suphee Wattana (1 share); and Mr. Buncha Tamphragom (1 share).
15	Minor Health Enterprise Co, Ltd is also (1 share) owned by Aetna Global Benefits (Bermuda) Limited.

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

Asterisk	Explanation
16 .....	Virtual Home Health Care L.L.C. is also 51% owned by CBD Commercial Brokers LLC, Aetna's Nominee.
17 .....	Health Care Management Co. Ltd. is also (1 share) owned by Aetna Global Benefits (Bermuda) Limited.
18 .....	Aetna Services (Thailand) Limited is also (1 share) owned by Aetna Global Benefits (Bermuda) Limited and (1 share) owned by Aetna Global Holdings Limited.

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....

NO

Explanation:

1. The data for this supplement is not required to be filed.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



**OVERFLOW PAGE FOR WRITE-INS**

**NONE**

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

**NONE****SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	6,191,511	7,026,440
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	2,359,500	0
2.2 Additional investment made after acquisition	0	0
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	0	0
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	209,593	811,945
8. Deduct amortization of premium and mortgage interest points and commitment fees	5,725	22,984
9. Total foreign exchange change in book value/recorded investment excluding accrued interest	0	0
10. Deduct current year's other than temporary impairment recognized	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	8,335,693	6,191,511
12. Total valuation allowance	0	0
13. Subtotal (Line 11 plus Line 12)	8,335,693	6,191,511
14. Deduct total nonadmitted amounts	0	0
15. Statement value at end of current period (Line 13 minus Line 14)	8,335,693	6,191,511

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	15,482,855	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	14,000,000
2.2 Additional investment made after acquisition	0	0
3. Capitalized deferred interest and other	615,671	1,482,855
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	0	0
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	0	0
8. Deduct amortization of premium and depreciation	0	0
9. Total foreign exchange change in book/adjusted carrying value	0	0
10. Deduct current year's other than temporary impairment recognized	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	16,098,526	15,482,855
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	16,098,526	15,482,855

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	176,258,279	193,896,618
2. Cost of bonds and stocks acquired	14,988,544	40,303,700
3. Accrual of discount	57,303	380,939
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	(292,691)	1,101,323
6. Deduct consideration for bonds and stocks disposed of	5,685,936	58,017,499
7. Deduct amortization of premium	306,566	1,436,160
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	0	29,358
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7+8-9+10)	185,018,933	176,258,279
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	185,018,933	176,258,279

## STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	205,000,905	415,877,997	471,834,684	(36,211)	149,008,007	0	0	205,000,905
2. NAIC 2 (a) .....	35,912,036	14,976,998	13,500,444	(17,496)	37,371,094	0	0	35,912,036
3. NAIC 3 (a) .....	0	0	0	0	0	0	0	0
4. NAIC 4 (a) .....	0	0	0	0	0	0	0	0
5. NAIC 5 (a) .....	0	0	0	0	0	0	0	0
6. NAIC 6 (a) .....	0	0	0	0	0	0	0	0
7. Total Bonds .....	240,912,941	430,854,995	485,335,128	(53,707)	186,379,101	0	0	240,912,941
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	0	0	0	0	0	0	0	0
9. NAIC 2 .....	0	0	0	0	0	0	0	0
10. NAIC 3 .....	0	0	0	0	0	0	0	0
11. NAIC 4 .....	0	0	0	0	0	0	0	0
12. NAIC 5 .....	0	0	0	0	0	0	0	0
13. NAIC 6 .....	0	0	0	0	0	0	0	0
14. Total Preferred Stock .....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock .....	240,912,941	430,854,995	485,335,128	(53,707)	186,379,101	0	0	240,912,941

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ .....1,360,168 ; NAIC 2 \$ .....0 ; NAIC 3 \$ .....0 NAIC 4 \$ .....0 ; NAIC 5 \$ .....0 ; NAIC 6 \$ .....0

SI02

Schedule DA - Part 1 - Short-Term Investments

**N O N E**

Schedule DA - Verification - Short-Term Investments

**N O N E**

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	64,655,887	20,453,726
2. Cost of cash equivalents acquired	416,268,778	2,063,577,989
3. Accrual of discount	195,554	711,589
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	3	229
6. Deduct consideration received on disposals	479,681,025	2,020,087,646
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	1,439,197	64,655,887
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	1,439,197	64,655,887

Schedule A - Part 2 - Real Estate Acquired and Additions Made  
**N O N E**

Schedule A - Part 3 - Real Estate Disposed  
**N O N E**

## STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

**SCHEDULE B - PART 2**

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Rate of Interest	7 Actual Cost at Time of Acquisition	8 Additional Investment Made After Acquisition	9 Value of Land and Buildings
	2 City	3 State						
1004545	ELK GROVE VILLAGE	IL		03/26/2020	.3,850	2,359,500	0	3,640,000
0499999. Mortgages in good standing - Commercial mortgages-insured or guaranteed						2,359,500	0	3,640,000
0899999. Total Mortgages in good standing						2,359,500	0	3,640,000
1699999. Total - Restructured Mortgages						0	0	0
2499999. Total - Mortgages with overdue interest over 90 days						0	0	0
3299999. Total - Mortgages in the process of foreclosure						0	0	0
3399999 - Totals						2,359,500	0	3,640,000

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Change in Book Value/Recorded Investment					14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9+10+11)	13 Total Foreign Exchange Change in Book Value					
2004284	FORT WORTH	TX		12/18/2017		2,880,501	.0	(5,725)	.0	.0	(5,725)	.0	0	127,990	0	.0	.0
2004354	SAN ANTONIO	TX		12/18/2017		3,311,010	.0	.0	.0	.0	.0	.0	0	81,603	0	.0	.0
0299999. Mortgages with partial repayments						6,191,511	0	(5,725)	0	0	(5,725)	0	0	209,593	0	0	0
0599999 - Totals						6,191,511	0	(5,725)	0	0	(5,725)	0	0	209,593	0	0	0

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made  
**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid  
**N O N E**

## STATEMENT AS OF MARCH 31, 2020 OF THE ABH-OH

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Adminis- trative Symbol
298785-JC-1	EUROPEAN INV BANK SR UNSECURED 1.375% 05/15/23	D	.02/04/2020	DAIN RAUSCHER INC-69211	1,993,740	2,000,000	0	0	1FE
1099999. Subtotal - Bonds - All Other Governments					1,993,740	2,000,000	0	0	XXX
31320V-4G-7	FHLMC POOL SD 8023 2.500% 11/01/49		.03/18/2020	JPM-CHASE	1,991,404	1,965,453	2,457	1	
3199999. Subtotal - Bonds - U.S. Special Revenues					1,991,404	1,965,453	2,457	0	XXX
00914A-AF-9	AIR LEASE CORP SR UNSECURED 2.300% 02/01/25		.01/07/2020	BANC AMERICA	1,484,445	1,500,000	0	0	2FE
05492T-BP-4	BARCLAYS COMMERCIAL MTGE SER 20-C0 CLASS A4 2.638% 02/15/53		.01/30/2020	BARCLAY INVEST - MTN	1,029,995	1,000,000	1,320	1FE	
150323-AU-7	CEDAR FUNDING LTD SERIES 14-4A CLASS 5.456% 07/23/30		.03/04/2020	CHASE SECURITIES	1,995,000	2,000,000	13,034	2FE	
24422E-VC-0	DEERE JOHN CAP CORP SR UNSECURED 2.050% 01/09/25		.01/06/2020	GOLDMAN SACHS & CO	1,499,580	1,500,000	0	0	1FE
369550-BK-3	GENERAL DYNAMICS CORP SR UNSECURED 3.250% 04/01/25		.03/23/2020	WACHOVIA	497,775	500,000	0	0	1FE
713448-EQ-7	PEPSICO INC SR UNSECURED 2.250% 03/19/25		.03/17/2020	BOFA SECURITIES INC	999,290	1,000,000	0	0	1FE
74340X-BN-0	PROLOGIS INC SR UNSECURED 2.125% 04/15/27		.02/03/2020	CHASE SECURITIES	1,497,495	1,500,000	0	0	1FE
13607G-LZ-5	CANADIAN IMPERIAL BANK SR UNSECURED 2.250% 01/28/25	A	.01/21/2020	WACHOVIA	1,999,820	2,000,000	0	0	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					11,003,400	11,000,000	0	14,354	XXX
8399997. Total - Bonds - Part 3					14,988,544	14,965,453	0	16,811	XXX
8399998. Total - Bonds - Part 5					XXX	XXX	0	XXX	XXX
8399999. Total - Bonds					14,988,544	14,965,453	0	16,811	XXX
8999997. Total - Preferred Stocks - Part 3					0	XXX	0	XXX	XXX
8999998. Total - Preferred Stocks - Part 5					XXX	XXX	0	XXX	XXX
8999999. Total - Preferred Stocks					0	XXX	0	XXX	XXX
9799997. Total - Common Stocks - Part 3					0	XXX	0	XXX	XXX
9799998. Total - Common Stocks - Part 5					XXX	XXX	0	XXX	XXX
9799999. Total - Common Stocks					0	XXX	0	XXX	XXX
9899999. Total - Preferred and Common Stocks					0	XXX	0	XXX	XXX
9999999 - Totals					14,988,544	XXX	0	16,811	XXX

## STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain Value at Disposal Date	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation and Adminis- trative Symbol	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value								
36179T-XT-7	GOVT NATL MTGE ASSN II SF POOL NO G2 MA5137 3.000% 05/20/48		03/01/2020	Paydown .....		78,668	78,668	75,411	75,458	0	3,211	0	3,211	0	78,668	0	0	0	377	05/20/2048	1	
05999999. Subtotal - Bonds - U.S. Governments						78,668	78,668	75,411	75,458	0	3,211	0	3,211	0	78,668	0	0	0	377	XXX	XXX	
..3128MJ-YG-9	FED HOME LOAN MTGE CORP GOLD POOL NO 3.000% 06/01/46		03/01/2020	Paydown .....		54,137	54,137	52,310	52,354	0	1,783	0	1,783	0	54,137	0	0	0	277	06/01/2046	1	
..3138E0-GL-0	FED NATL MTGE ASSN POOL NO AL7402 3.000% 09/01/30		03/01/2020	Paydown .....		116,619	116,619	120,865	120,002	0	(3,383)	0	(3,383)	0	116,619	0	0	0	578	09/01/2030	1	
..3140J5-3X-5	FED NATL MTGE ASSN POOL NO BM1713 3.500% 09/01/47		03/01/2020	Paydown .....		153,496	153,496	152,488	152,506	0	990	0	990	0	153,496	0	0	0	975	09/01/2047	1	
..3141B8-6G-6	FED NATL MTGE ASSN POOL NO MA2670 3.000% 07/01/46		03/01/2020	Paydown .....		80,233	80,233	77,187	77,258	0	2,975	0	2,975	0	80,233	0	0	0	405	07/01/2046	1	
..454898-TC-1	INDIANA MUN PIR AGY PIR REF SER A 5.000% 01/01/20		01/01/2020	Maturity .....		2,270,000	2,270,000	2,671,132	2,270,000	0	0	0	0	0	2,270,000	0	0	0	56,750	01/01/2020	1FE	
..57563R-KW-8	MASSACHUSETTS ST EDUCNL SER K AMT 4.500% 07/01/24		01/01/2020	Call 100,0000 .....		40,000	40,000	41,750	40,727	0	0	0	0	0	40,727	0	(727)	(727)	900	07/01/2024	1FE	
3199999. Subtotal - Bonds - U.S. Special Revenues						2,714,485	2,714,485	3,115,732	2,712,847	0	2,365	0	2,365	0	2,715,212	0	(727)	(727)	59,885	XXX	XXX	
..247361-ZM-3	DELTA AIRLINES INC SR UNSECURED 3.400% 04/19/21		03/24/2020	Seaport Group .....		1,707,500	2,000,000	2,001,300	2,000,511	0	(67)	0	(67)	0	2,000,444	0	(292,944)	(292,944)	29,467	04/19/2021	2FE	
..28374A-AB-0	ENTERPRISE FLEET FINANCING LLC SERIES 19-1 CLASS A2 2.980% 10/20/24		03/20/2020	Paydown .....		184,392	184,392	184,391	184,391	0	1	0	1	0	184,392	0	0	0	1,045	10/20/2024	1FE	
..13607G-LZ-5	CANADIAN IMPERIAL BANK SR UNSECURED 2.250% 01/28/25	A	01/22/2020	WACHOVIA .....		1,000,891	1,000,000	999,910	0	0	0	0	0	999,910	0	980	980	0	01/28/2025	1FE		
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						2,892,783	3,184,392	3,185,601	2,184,902	0	(66)	0	(66)	0	3,184,746	0	(291,964)	(291,964)	30,512	XXX	XXX	
83999997. Total - Bonds - Part 4						5,685,936	5,977,545	6,376,744	4,973,207	0	5,510	0	5,510	0	5,978,626	0	(292,691)	(292,691)	90,774	XXX	XXX	
83999998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
83999999. Total - Bonds						5,685,936	5,977,545	6,376,744	4,973,207	0	5,510	0	5,510	0	5,978,626	0	(292,691)	(292,691)	90,774	XXX	XXX	
89999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
89999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
89999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
97999997. Total - Common Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
97999998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
97999999. Total - Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
98999999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
99999999 - Totals						5,685,936	XXX	6,376,744	4,973,207	0	5,510	0	5,510	0	5,978,626	0	(292,691)	(292,691)	90,774	XXX	XXX	

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open  
**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open  
**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  
**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To  
**N O N E**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees  
**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned  
**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned  
**N O N E**

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

## **SCHEDULE E - PART 1 - CASH**

## Month End Depository Balances

STATEMENT AS OF MARCH 31, 2020 OF THE Aetna Better Health Inc. (an Ohio corporation)

## **SCHEDULE E - PART 2 - CASH EQUIVALENTS**

### Show Investments Owned End of Current Quarter