



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

## QUARTERLY STATEMENT

AS OF MARCH 31, 2020  
OF THE CONDITION AND AFFAIRS OF THE

# United Ohio Insurance Company

NAIC Group Code 0963 (Current) 0963 (Prior) NAIC Company Code 13072 Employer's ID Number 34-1008736

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Incorporated/Organized 12/01/1966 Commenced Business 03/01/1967

Statutory Home Office 1725 Hopley Avenue, Bucyrus, OH, US 44820-0111  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 1725 Hopley Avenue  
(Street and Number)  
Bucyrus, OH, US 44820-0111, 419-562-3011  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 1725 Hopley Avenue, Bucyrus, OH, US 44820-0111  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records \_\_\_\_\_ 1725 Hopley Avenue  
(Street and Number)  
Bucyrus, OH, US 44820-0111 \_\_\_\_\_, 419-562-3011  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address \_\_\_\_\_ [www.omig.com](http://www.omig.com)

Statutory Statement Contact      Charles Elmer Easum Mr.      419-563-0810  
(Name)      (Area Code) (Telephone Number)  
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(E-mail Address)      (FAX Number)

## OFFICERS

President Mark Clarence Russell, Mr. Secretary Randy Lee Walker, Mr. #  
Treasurer David Gary Hendrix, Mr.

**OTHER**

Howard Lowell Barber, Mr., Vice President Sales	Chad Philip Combs, Mr., Vice President Personal Lines Underwriting	John Richard DeLucia, Mr., Vice President Claims
David Alan Grove, Mr., Vice President Product Management	Gary Thomas Johnson, Mr., Vice President Commercial Lines Underwriting	Susan Elizabeth Kent, Mrs., Vice President Business Analytics
James Bradley McCormack, Mr., Vice President Information Systems	Marcella Sloane Smith, Mrs., Vice President Human Resources	

**DIRECTORS OR TRUSTEES**

State of Ohio SS: \_\_\_\_\_  
County of Crawford \_\_\_\_\_

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Mark Clarence Russell  
President and CEO

David Gary Hendrix  
Treasurer and CFO

Marcella Sloane Smith  
Assistant Secretary

Subscribed and sworn to before me this  
\_\_\_\_ day of \_\_\_\_\_

a. Is this an original filing? .....

b. If no,

1. State the amendment number.....
2. Date filed .....
3. Number of pages attached.....

## STATEMENT AS OF MARCH 31, 2020 OF THE United Ohio Insurance Company

## ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	272,607,855		272,607,855	271,370,516
2. Stocks:				
2.1 Preferred stocks .....	5,937,883		5,937,883	3,884,037
2.2 Common stocks .....	14,065,855		14,065,855	17,455,413
3. Mortgage loans on real estate:				
3.1 First liens .....				
3.2 Other than first liens .....				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....				
4.2 Properties held for the production of income (less \$ encumbrances) .....				
4.3 Properties held for sale (less \$ encumbrances) .....				
5. Cash (\$ 13,722,327 ), cash equivalents (\$ 4,894,784 ) and short-term investments (\$ ) .....	18,617,111		18,617,111	18,990,094
6. Contract loans (including \$ premium notes) .....				
7. Derivatives .....				
8. Other invested assets .....				
9. Receivables for securities .....	140,357		140,357	
10. Securities lending reinvested collateral assets .....				
11. Aggregate write-ins for invested assets .....				
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	311,369,061		311,369,061	311,700,060
13. Title plants less \$ charged off (for Title insurers only) .....				
14. Investment income due and accrued .....	2,199,762		2,199,762	2,169,551
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	5,397,867	9,072	5,388,795	5,513,673
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums) .....	38,920,404		38,920,404	38,476,423
15.3 Accrued retrospective premiums (\$ ) and contracts subject to redetermination (\$ ) .....				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	2,487,169		2,487,169	3,611,241
16.2 Funds held by or deposited with reinsured companies .....				
16.3 Other amounts receivable under reinsurance contracts .....				
17. Amounts receivable relating to uninsured plans .....				
18.1 Current federal and foreign income tax recoverable and interest thereon .....				1,659,753
18.2 Net deferred tax asset .....	5,496,987		5,496,987	4,756,460
19. Guaranty funds receivable or on deposit .....				
20. Electronic data processing equipment and software .....				
21. Furniture and equipment, including health care delivery assets (\$ ) .....				
22. Net adjustment in assets and liabilities due to foreign exchange rates .....				
23. Receivables from parent, subsidiaries and affiliates .....	1,196,211		1,196,211	1,371,722
24. Health care (\$ ) and other amounts receivable .....				
25. Aggregate write-ins for other than invested assets .....	19,552,183	367,447	19,184,736	20,988,503
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	386,619,644	376,519	386,243,125	390,247,386
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....				
28. Total (Lines 26 and 27) .....	386,619,644	376,519	386,243,125	390,247,386
<b>DETAILS OF WRITE-INS</b>				
1101. .....				
1102. .....				
1103. .....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above) .....				
2501. Prepaid Insurance Premiums .....	367,447	367,447		
2502. Company Owned Life Insurance .....	17,169,434		17,169,434	18,782,102
2503. Non-Qualified Retirement Plan .....	2,015,302		2,015,302	2,206,401
2598. Summary of remaining write-ins for Line 25 from overflow page .....				
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	19,552,183	367,447	19,184,736	20,988,503

**STATEMENT AS OF MARCH 31, 2020 OF THE United Ohio Insurance Company**  
**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ 20,236,398 )	57,940,630	61,678,555
2. Reinsurance payable on paid losses and loss adjustment expenses		
3. Loss adjustment expenses	14,315,034	14,200,632
4. Commissions payable, contingent commissions and other similar charges	3,303,301	4,887,013
5. Other expenses (excluding taxes, licenses and fees)	5,339,101	8,361,159
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	641,685	195,445
7.1 Current federal and foreign income taxes (including \$ 15,246 on realized capital gains (losses))	300,625	
7.2 Net deferred tax liability		
8. Borrowed money \$ 2,000,000 and interest thereon \$ 3,676	2,003,676	2,003,686
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ 80,380,009 and including warranty reserves of \$ and accrued accident and health experience rating refunds including \$ for medical loss ratio rebate per the Public Health Service Act)	80,400,936	80,844,125
10. Advance premium	1,850,665	1,272,014
11. Dividends declared and unpaid:		
11.1 Stockholders		
11.2 Policyholders		
12. Ceded reinsurance premiums payable (net of ceding commissions)		543,740
13. Funds held by company under reinsurance treaties	1,146,408	773,102
14. Amounts withheld or retained by company for account of others	505,428	517,031
15. Remittances and items not allocated	68,789	127,991
16. Provision for reinsurance (including \$ certified)		
17. Net adjustments in assets and liabilities due to foreign exchange rates		
18. Drafts outstanding		
19. Payable to parent, subsidiaries and affiliates		
20. Derivatives		
21. Payable for securities	114,600	
22. Payable for securities lending		
23. Liability for amounts held under uninsured plans		
24. Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	9,087,379	9,169,124
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	177,018,257	184,573,617
27. Protected cell liabilities		
28. Total liabilities (Lines 26 and 27)	177,018,257	184,573,617
29. Aggregate write-ins for special surplus funds		
30. Common capital stock	2,500,000	2,500,000
31. Preferred capital stock		
32. Aggregate write-ins for other than special surplus funds		
33. Surplus notes		
34. Gross paid in and contributed surplus	3,715,912	3,715,912
35. Unassigned funds (surplus)	203,008,956	199,457,857
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 30 \$ )		
36.2 shares preferred (value included in Line 31 \$ )		
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	209,224,868	205,673,769
38. Totals (Page 2, Line 28, Col. 3)	386,243,125	390,247,386
<b>DETAILS OF WRITE-INS</b>		
2501. Pension Obligations	7,072,077	6,962,723
2502. Non-Qualified Retirement Plan	2,015,302	2,206,401
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page		
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	9,087,379	9,169,124
2901.		
2902.		
2903.		
2998. Summary of remaining write-ins for Line 29 from overflow page		
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)		
3201.		
3202.		
3203.		
3298. Summary of remaining write-ins for Line 32 from overflow page		
3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)		

STATEMENT AS OF MARCH 31, 2020 OF THE United Ohio Insurance Company  
**STATEMENT OF INCOME**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct (written \$ 42,495,286 )	42,934,795	41,847,330	171,913,910
1.2 Assumed (written \$ 42,312,678 )	42,755,867	40,457,847	167,735,505
1.3 Ceded (written \$ 42,520,651 )	42,960,160	41,877,834	172,044,620
1.4 Net (written \$ 42,287,313 )	42,730,502	40,427,343	167,604,795
<b>DEDUCTIONS:</b>			
2. Losses incurred (current accident year \$ 29,676,992 ):			
2.1 Direct	15,793,665	22,282,042	88,191,963
2.2 Assumed	17,970,582	21,797,125	92,887,322
2.3 Ceded	15,793,665	22,317,372	88,227,294
2.4 Net	17,970,582	21,761,795	92,851,991
3. Loss adjustment expenses incurred	3,243,349	3,113,037	13,631,131
4. Other underwriting expenses incurred	13,761,104	12,583,549	53,278,419
5. Aggregate write-ins for underwriting deductions			
6. Total underwriting deductions (Lines 2 through 5)	34,975,035	37,458,381	159,761,541
7. Net income of protected cells			
8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	7,755,467	2,968,962	7,843,254
<b>INVESTMENT INCOME</b>			
9. Net investment income earned	2,057,471	1,961,126	8,276,589
10. Net realized capital gains (losses) less capital gains tax of \$ 15,246	57,382	87,873	8,407
11. Net investment gain (loss) (Lines 9 + 10)	2,114,853	2,048,999	8,284,996
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ 40,697 amount charged off \$ 266,806 )	(226,109)	(223,394)	(985,294)
13. Finance and service charges not included in premiums	708,477	790,136	3,104,665
14. Aggregate write-ins for miscellaneous income	(1,602,447)	1,269,959	3,251,501
15. Total other income (Lines 12 through 14)	(1,120,079)	1,836,701	5,370,872
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	8,750,241	6,854,662	21,499,122
17. Dividends to policyholders			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	8,750,241	6,854,662	21,499,122
19. Federal and foreign income taxes incurred	1,945,132	1,039,333	3,766,240
20. Net income (Line 18 minus Line 19)(to Line 22)	6,805,109	5,815,329	17,732,882
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year	205,673,769	185,491,283	185,491,282
22. Net income (from Line 20)	6,805,109	5,815,329	17,732,882
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains (losses) less capital gains tax of \$ (834,888)	(3,140,769)	1,608,071	3,359,780
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	(94,361)	13,562	419,488
27. Change in nonadmitted assets	(18,880)	(36,306)	12,977
28. Change in provision for reinsurance			
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in			
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in			
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			
36. Change in treasury stock			
37. Aggregate write-ins for gains and losses in surplus			(1,342,640)
38. Change in surplus as regards policyholders (Lines 22 through 37)	3,551,099	7,400,656	20,182,487
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	209,224,868	192,891,939	205,673,769
<b>DETAILS OF WRITE-INS</b>			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page			
0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)			
1401. Company Owned Life Insurance	(1,612,668)	1,259,262	2,826,669
1402. Other Income	10,221	10,697	42,514
1403. Non-Qualified Retirement Plan			382,318
1498. Summary of remaining write-ins for Line 14 from overflow page			
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	(1,602,447)	1,269,959	3,251,501
3701. Change in net liability for retirement plans			(631,733)
3702. Non-Qualified Retirement Plan			(382,318)
3703. Correction of prior period error			(328,589)
3798. Summary of remaining write-ins for Line 37 from overflow page			
3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)			(1,342,640)

**STATEMENT AS OF MARCH 31, 2020 OF THE United Ohio Insurance Company**  
**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	42,012,086	41,055,811	169,052,141
2. Net investment income .....	2,459,515	2,320,449	10,053,946
3. Miscellaneous income .....	492,589	577,438	2,161,884
4. Total (Lines 1 to 3) .....	44,964,190	43,953,698	181,267,971
5. Benefit and loss related payments .....	20,584,435	21,221,453	89,545,049
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			
7. Commissions, expenses paid and aggregate write-ins for deductions .....	21,048,762	21,611,762	66,782,571
8. Dividends paid to policyholders .....			
9. Federal and foreign income taxes paid (recovered) net of \$ .....15,246 tax on capital gains (losses) .....			3,211,681
10. Total (Lines 5 through 9) .....	41,633,197	42,833,215	159,539,301
11. Net cash from operations (Line 4 minus Line 10) .....	3,330,993	1,120,483	21,728,670
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	11,550,746	15,779,937	43,354,382
12.2 Stocks .....	921,755		3,343,665
12.3 Mortgage loans .....			
12.4 Real estate .....			
12.5 Other invested assets .....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....			
12.7 Miscellaneous proceeds .....	114,600	5,361,099	
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	12,587,101	21,141,036	46,698,047
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	13,588,504	19,366,013	59,413,106
13.2 Stocks .....	3,121,726	500,000	584,300
13.3 Mortgage loans .....			
13.4 Real estate .....			
13.5 Other invested assets .....			
13.6 Miscellaneous applications .....	140,357	510,389	
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	16,850,587	20,376,402	59,997,406
14. Net increase (or decrease) in contract loans and premium notes .....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(4,263,486)	764,634	(13,299,359)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....			
16.2 Capital and paid in surplus, less treasury stock .....			
16.3 Borrowed funds .....	(10)		(2,004,977)
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....			
16.5 Dividends to stockholders .....			
16.6 Other cash provided (applied) .....	559,520	1,943,884	1,127,553
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	559,510	1,943,884	(877,424)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(372,983)	3,829,001	7,551,887
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	18,990,094	11,438,207	11,438,207
19.2 End of period (Line 18 plus Line 19.1) .....	18,617,111	15,267,208	18,990,094

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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## NOTES TO FINANCIAL STATEMENTS

### 1. Summary of Significant Accounting Policies and Going Concern

#### A. Accounting Practices

The financial statements of United Ohio Insurance Company (the Company) are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance (ODI).

The ODI recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company. The National Association of Insurance Commissioners' (NAIC) *Accounting Practices and Procedures Manual* has been adopted as a component of prescribed or permitted accounting practices by the State of Ohio.

A reconciliation of the Company's net income and capital and surplus between NAIC Statutory Accounting Practices and practices prescribed and permitted by the State of Ohio is shown below:

	F/S SSAP #	F/S Page	F/S Line #	03/31/2020	12/31/2019
<b><u>NET INCOME</u></b>					
(1) United Ohio Insurance Company state basis (Page 4, Line 20, Columns 1 & 3)	XXX	XXX	XXX	\$ 6,805,109	\$ 17,732,882
(2) State Prescribed Practices that increase/(decrease) NAIC SAP:	_____	_____	_____	_____	_____
(3) State Permitted Practices that increase/(decrease) NAIC SAP:	_____	_____	_____	_____	_____
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ 6,805,109	\$ 17,732,882
<b><u>SURPLUS</u></b>					
(5) United Ohio Insurance Company state basis (Page 3, Line 37, Columns 1 & 2)	XXX	XXX	XXX	\$ 209,224,868	\$ 205,673,769
(6) State Prescribed Practices that increase/(decrease) NAIC SAP:	_____	_____	_____	_____	_____
(7) State Permitted Practices that increase/(decrease) NAIC SAP:	_____	_____	_____	_____	_____
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$ 209,224,868	\$ 205,673,769

#### B. Use of Estimates in the Preparation of the Financial Statements – No Change

#### C. Accounting Policy – No Change

#### D. Going Concern

Management has evaluated the financial statements and determined that there are no going concern issues to report.

### 2. Accounting Changes and Corrections of Errors – No Change

### 3. Business Combinations and Goodwill – No Change

### 4. Discontinued Operations – No Change

### 5. Investments

- A. The Company has no mortgage loans.
- B. The Company has no debt restructuring.
- C. The Company has no reverse mortgages.
- D. Loan-Backed Securities

- (1) Prepayment assumptions for Mortgage-backed securities, Collateralized Mortgage Obligations and Other Structured Securities were generated using a purchased prepayment model. The prepayment model uses a number of factors to estimate prepayment activity including the time of year (seasonality), current levels of interest rates (refinancing incentive), economic activity (including housing turnover) and term and age of the underlying collateral (burnout, seasoning). On an ongoing basis, we monitor the rate of prepayment and calibrate the model to reflect actual experience, market factors, and viewpoint.
- (2)
  - a. The Company had no securities it intended to sell for which it recognized other-than-temporary impairment losses.
  - b. The Company had no securities for which it lacked the ability or intent to retain an investment in for a period of time sufficient to recover the amortized cost basis.
- (3) The Company had no other-than-temporary impairments within the loan backed securities portfolio for the quarter ended March 31, 2020.
- (4) All temporarily impaired securities for which an other-than-temporary impairment has not been recognized in earnings as a realized loss in 2020 are as follows:

a. The aggregate amount of unrealized losses:	1. Less than 12 Months	\$ (2,390,280)
	2. 12 Months or Longer	\$ (523,168)
<b>b. The aggregate related fair value of securities with unrealized losses:</b>		
	1. Less than 12 Months	\$ 31,889,070
	2. 12 Months or Longer	\$ 8,220,567

## NOTES TO FINANCIAL STATEMENTS

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(5) Management regularly reviews the value of the Company's investments. If the value of any investment falls below its cost basis, the decline is analyzed to determine whether it is an other-than-temporary decline in value. To make this determination for each security, the following is considered:

- The length of time and the extent to which the fair value has been below cost;
- The financial condition and near-term prospects of the issuer of the security, including any specific events that may affect its operations or earnings potential;
- Management's intent and ability to hold the security long enough for it to recover its value;

Management concluded that the remaining investments within the loaned backed securities portfolio held with unrealized losses were not other-than-temporarily impaired on the basis that the Company had the ability and intent to hold the investments for a period of time sufficient for a forecasted market price recovery up to or beyond the cost of the investment. Also, in management's opinion, evidence indicating the cost of the investment was recoverable within a reasonable period of time outweighed evidence to the contrary in considering the severity and duration of the impairment in relation to the forecasted market price recovery.

- E. The Company has no dollar repurchase agreements and/or securities lending transactions.
- F. The Company has no repurchase agreements transactions accounted for as secured borrowing.
- G. The Company has no reverse repurchase agreements transactions accounted for as secured borrowing
- H. The Company has no repurchase agreements transactions accounted for as a sale.
- I. The Company has no reverse repurchase agreements transactions accounted for as a sale.
- M. The Company has no Working Capital Finance Investments.
- N. The Company has no Offsetting and Netting of Assets and Liabilities.

### **6. Joint Ventures, Partnerships and Limited Liability Companies – No Change**

### **7. Investment Income – No Change**

### **8. Derivative Instruments – No Change**

### **9. Income Taxes – No Change**

### **10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

- A. The Company is a wholly owned subsidiary of Ohio Mutual Insurance Company (Ohio Mutual). Ohio Mutual is the sole shareholder and owner of the Company, Casco Indemnity Company (Casco), United Premium Budget Service Inc., Centurion Financial Inc. (CEF), and Ohio United Agency, Inc.
- B. The Company, Ohio Mutual (parent) and Casco have entered into a reinsurance pooling agreement through which underwriting activities and operating expenses are proportionately allocated. See footnote #26 for additional information on the pooling agreement.
- C. In 2020 the Company received from its parent, Ohio Mutual, \$3,794,733 under the terms of the Reinsurance Pooling Agreement between the entities.
- D. As of March 31, 2020, the Company's parent, Ohio Mutual, owes the Company \$1,434,939 under the terms of the Reinsurance Pooling Agreement. As of March 31, 2020, the Company owes Ohio Mutual \$204,046 and owes its affiliate, Casco, \$34,682 under the terms of the Cost Sharing Agreement.
- E. The Company has no guarantees or undertakings at March 31, 2020.
- F. The Company, its parent, Ohio Mutual, and affiliate, Casco, entered into a Cost Sharing Agreement effective, January 1, 2011, through which certain common costs are shared proportionally between the entities.
- G. All outstanding shares of the Company are owned by its parent, Ohio Mutual, an insurance company domiciled in the State of Ohio.
- H. The Company owns no shares of the stock of its ultimate parent, Ohio Mutual.
- I. The Company does not own a share or interest in an upstream intermediate entity or its parent, either directly or indirectly.
- J. The Company has no subsidiary investments, controlled or affiliated companies during the statement period.
- K. Not Applicable
- L. Not Applicable
- M. The Company has no SCA investments.
- N. The Company has no investments in Insurance SCAs.

### **11. Debt**

#### **B. FHLB (Federal Home Loan Bank) Agreements**

- (1) The Company is a member of the Federal Home Loan Bank (FHLB) of Cincinnati. Through its membership, the Company had issued debt to the FHLB of Cincinnati in exchange for cash advances in the amount of \$2,000,000 for a period of one year at a fixed rate of 2.17%. This is an interest-only loan with principal due at the maturity date of June 19, 2020. This loan is collateralized by treasury bonds, cash and mortgage-backed securities on deposit with the FHLB. It is part of the Company's strategy to utilize these funds for operations, and any funds obtained from the FHLB of Cincinnati for use in general operations would be accounted for consistent with SSAP No. 15, *Debt and Holding Company Obligations* as borrowed money. The carrying value of the debt at March 31, 2020 is \$2,000,000. The interest paid to the FHLB through the first quarter of 2020 was \$10,790.72.

## NOTES TO FINANCIAL STATEMENTS

(2) The Company, as a member of the FHLB of Cincinnati has purchased 7,389 shares of \$100 Par Value Class B capital stock for a total purchase price of \$738,900. The Class B common stock is broken out into the following categories:

a. Aggregate Totals

1) Current Year

	1 Total 2 + 3	2 General Account	3 Protected Cell Accounts
Membership Stock - Class A	-	-	-
Membership Stock - Class B	578,865	578,865	-
Activity Stock	80,000	80,000	-
Excess Stock	80,035	80,035	-
Aggregate Total	<u>738,900</u>	<u>738,900</u>	-
 Borrowing Capacity as determined by the Insurer	 <u>103,380,363</u>	 XXX	 XXX

2) Prior Year-end

	1 Total 2 + 3	2 General Account	3 Protected Cell Accounts
Membership Stock - Class A	-	-	-
Membership Stock - Class B	578,865	578,865	-
Activity Stock	80,000	80,000	-
Excess Stock	80,035	80,035	-
Aggregate Total	<u>738,900</u>	<u>738,900</u>	-
 Borrowing Capacity as determined by the Insurer	 <u>99,226,111</u>	 XXX	 XXX

b. Membership Stock (Class A and B) Eligible for Redemption

Membership Stock	Current Year Total	Not Eligible for Redemption	Less than 6 Months	6 Months to Less Than 1 year	1 Year to Less Than 3 years	3 to 5 Years
1. Class A						
2. Class B	578,865	578,865				

(3) The Company, as a member of the FHLB of Cincinnati has issued debt to the FHLB of Cincinnati in exchange for cash advances in the amount of \$2,000,000 for a period of one year. This loan is collateralized by treasury bonds, cash and mortgage-backed securities on deposit with the FHLB.

a. Amount Pledged as of Reporting Date

1) Current Year Total General and Protected Cell Accounts

	Fair Value	Carrying Value	Aggregate Total Borrowing
Total Collateral Pledged	3,923,788	3,818,745	2,000,000

2) Current Year General Accounts

	Fair Value	Carrying Value	Aggregate Total Borrowing
Total Collateral Pledged	3,923,788	3,818,745	2,000,000

3) Current Year Protected Cell Accounts

	Fair Value	Carrying Value	Aggregate Total Borrowing
Total Collateral Pledged	-	-	-

4) Prior Year-end Total General and Protected Cell Accounts

	Fair Value	Carrying Value	Aggregate Total Borrowing
Total Collateral Pledged	4,109,117	4,103,219	2,000,000

b. Maximum Amount Pledged during Reporting Period

1) Current Year Total General and Protected Cell Accounts

	Fair Value	Carrying Value	Aggregate Total Borrowing
Maximum Collateral Pledged	4,043,942	4,016,215	2,000,000

## NOTES TO FINANCIAL STATEMENTS

## 2) Current Year General Accounts

	Fair Value	Carrying Value	Aggregate Total	
			Borrowing	
Maximum Collateral Pledged	4,043,942	4,016,215	2,000,000	

## 3) Current Year Separate Accounts

	Fair Value	Carrying Value	Aggregate Total	
			Borrowing	
Maximum Collateral Pledged	-	-	-	-

## 4) Prior Year-end Total General and Protected Cell Accounts

	Fair Value	Carrying Value	Aggregate Total	
			Borrowing	
Maximum Collateral Pledged	4,864,518	5,004,113	4,000,000	

(4) The Company, as a member of the FHLB of Cincinnati has issued debt to the FHLB of Cincinnati in exchange for cash advances in the amount of \$2,000,000 for a period of one year at a fixed rate of 2.17%. This is an interest only loan with principal due at the maturity date of June 19, 2020.

## a. Amount as of Reporting Date

## 1) Current Year

	1	2	3	4
	Total	General	Protected Cell	Funding Arrangements
	2 + 3	Account	Account	Reserves Established
(a) Debt	2,000,000	2,000,000	-	XXX

## 2) Prior Year-end

	Total	General	Protected Cell	Funding Arrangements
	2 + 3	Account	Account	Reserves Established
(a) Debt	2,000,000	2,000,000	-	XXX

## b. Maximum Amount during Reporting Period (Current Year)

	Total	General	Protected Cell	Funding Arrangements
	2 + 3	Account	Account	Reserves Established
(a) Debt	2,000,000	2,000,000	-	XXX

## c. FHLB Prepayment Obligations

Does the company have prepayment obligations under the following arrangements? (YES/NO)?

1) Debt	YES
2) Funding Agreements	NO
3) Other	NO

### 12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

## A. Defined Benefit Plan

## (4) Components of net periodic benefit cost

	Pension Benefits		Postretirement Benefits	
	03/20/2020	12/31/2019	03/20/2020	12/31/2019
a. Service cost	\$ 119,306	\$ 479,059	\$ 375	\$ 1,989
b. Interest cost	237,973	1,073,593	13,984	70,904
c. Expected return on plan assets	(388,224)	(1,401,576)	-	-
d. Transition asset or obligation	-	-	-	-
e. Gains and losses	146,625	563,846	(22,178)	(136,622)
f. Prior service cost or credit	7,697	46,589	-	-
g. Gain or loss recognized due to a settlement or curtailment	-	-	-	-
h. Total net periodic benefit cost	\$ 123,377	\$ 761,511	\$ (7,819)	\$ (63,729)

### 13. Capital and Surplus, Dividend Restrictions and Quasi-reorganizations – No Change

### 14. Liabilities, Contingencies and Assessments

D. The Company paid the following amounts in the reporting period to settle claims related extra contractual obligations or bad faith claims stemming from lawsuits.

Claims related ECO and bad faith losses paid during the reporting period Direct..... \$0

Number of claims where amounts were paid to settle claims related extra contractual obligations or bad faith claims resulting from lawsuits during the reporting period.

(a)	(b)	(c)	(d)	(e)
0-25 Claims	26-50 Claims	51-100 Claims	101-500 Claims	More than 500 Claims
X				

## NOTES TO FINANCIAL STATEMENTS

Indicate whether claim count information is disclosed per claim or per claimant.

(f) Per Claim [ ]

(g) Per Claimant [ X ]

### 15. Leases – No Change

### 16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk – No Change

### 17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

The Company has no sale, transfer and servicing of financial assets and extinguishments of liabilities.

### 18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans – No Change

### 19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators – No Change

### 20. Fair Value Measurements

A. Fixed maturity securities that are carried at amortized cost are not included in the table below:

(1) Fair Value Measurements at Reporting Date

Description	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value					
Bonds					
Industrial & Misc	\$ -	\$ 405,000	\$ -	\$ -	\$ 405,000
Hybrid Securities	\$ -	\$ 2,012,313	\$ -	\$ -	\$ 2,012,313
Total Bonds	\$ -	\$ 2,417,313	\$ -	\$ -	\$ 2,417,313
Preferred Stock					
Industrial and Misc	-	4,166,830	-	-	4,166,830
Total Preferred Stocks	\$ -	\$ 4,166,830	\$ -	\$ -	\$ 4,166,830
Common Stock					
Industrial and Misc	-	738,900	-	-	738,900
Mutual Funds	13,326,955	-	-	-	13,326,955
Total Common Stocks	\$ 13,326,955	\$ 738,900	\$ -	\$ -	\$ 14,065,855
Derivative assets	-	-	-	-	-
Total assets at fair value	\$ 13,326,955	\$ 7,323,043	\$ -	\$ -	\$ 20,649,998

(2) The Company has no Level 3 Fair Value Measurements

(3) Transfers between levels are recognized on the actual date of the event or change in circumstances that caused the transfer.

(4) As of March 31, 2020, the reporting entity's investments in Level 2, NAIC rated A, common stocks are reported at fair value.

C. The Aggregate Fair Value for all Financial Instruments and the Level within the Fair Value Hierarchy are illustrated as follows:

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level (1)	Level (2)	Level (3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 277,454,241	\$ 272,607,855	\$ -	\$ 277,454,241	\$ -	\$ -	\$ -
Preferred Stocks	6,015,530	5,937,883	-	6,015,530	-	-	-
Common Stocks	14,065,855	14,065,855	13,326,955	738,900	-	-	-
Total Financial Instruments	\$ 297,535,626	\$ 292,611,593	\$ 13,326,955	\$ 284,208,671	\$ -	\$ -	\$ -

D. Not Practicable to Estimate Fair Value

The Company's Financial Instruments are valued at Fair Value unless otherwise specified.

E. Investments Measured using the NAV Practical Expedient Pursuant to SSAP No. 100R – Fair Value

The Company occasionally holds assets in money market accounts that are valued at NAV. The probability of these assets being sold at value different from NAV is remote.

### 21. Other Items

A. Unusual or Infrequent Items

Effective March 16, 2020, the Company initiated a self-imposed moratorium suspending all policy cancellations for non-payment due to the novel coronavirus pandemic. The moratorium will continue through July 5, 2020 with a phased return to normal operations beginning on Monday, July 6, 2020. The Company will continue to work with policyholders that may require additional payment assistance once the moratorium is lifted. In addition, the Company will be offering personal auto premium credits equal to 25% of earned premium for the period from March 16, 2020 through May 31, 2020. This action is in response to the abrupt reduction in driving and corresponding auto claims attributed to the coronavirus pandemic.

### 22. Events Subsequent – None

### 23. Reinsurance – No Change

### 24. Retrospectively Rated Contracts and Contracts Subject to Redetermination – No Change

## NOTES TO FINANCIAL STATEMENTS

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### 25. Change in Incurred Losses and Loss Adjustment Expenses

A. The Company's portion of pooled loss reserves as of December 31, 2019, was \$75.9 million. On a pooled basis as of March 31, 2020, \$14.5 million has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Pooled reserves remaining for prior years are now \$46.7 million. There has been a \$14.7 million favorable prior-year development, on a pooled basis, from December 31, 2019 to March 31, 2020 a result of re-estimation of unpaid claims and claim adjustment expenses principally on homeowners, farmowners, and personal auto liability lines of insurance. The decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. The Company does not have any retrospectively rated policies which would be included in the favorable development.

B. No Change

### 26. Intercompany Pooling Arrangements

Effective January 1, 2011, the Company requested and received permission from the ODI to pool the underwriting results of the Company with those of its insurance parent, Ohio Mutual and affiliate Casco. Through the Pooling Agreement, Ohio Mutual, NAIC #10202, retains 27% of the group's pooled underwriting results and cedes 8% to Casco, NAIC #25950 and 65% to the Company, NAIC #13072. The following underwriting results were assumed/ceded between the companies:

	03/31/2020	12/31/2019
Premium earned ceded to Ohio Mutual from United Ohio	\$ (40,697,343)	\$ (161,461,324)
Premium earned assumed by United Ohio	42,730,502	167,604,795
Change in premium earned due to pooling	<u>\$ 2,033,159</u>	<u>\$ 6,143,471</u>
Losses incurred ceded to Ohio Mutual from United Ohio	\$ (16,059,316)	\$ (87,657,890)
Losses incurred assumed by United Ohio	17,970,582	92,851,992
Change in losses incurred due to pooling	<u>\$ 1,911,266</u>	<u>\$ 5,194,102</u>
Net loss adjustment expenses ceded to Ohio Mutual	\$ (1,697,679)	\$ (5,931,016)
Net other underwriting expenses ceded to Ohio Mutual	(2,671,264)	(9,532,393)
Change in expenses incurred due to pooling	<u>\$ (4,368,943)</u>	<u>\$ (15,463,409)</u>
Change in income before taxes due to pooling	<u>\$ 4,490,836</u>	<u>\$ 16,412,778</u>

### 27. Structured Settlements – No Change

### 28. Health Care Receivables – No Change

### 29. Participating Policies – No Change

### 30. Premium Deficiency Reserves – No Change

### 31. High Deductibles – No Change

### 32. Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses – No Change

### 33. Asbestos/Environmental Reserves – No Change

### 34. Subscriber Savings Accounts – No Change

### 35. Multiple Peril Crop Insurance – No Change

### 36. Financial Guaranty Insurance – No Change

STATEMENT AS OF MARCH 31, 2020 OF THE United Ohio Insurance Company  
**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]

1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]

2.2 If yes, date of change: \_\_\_\_\_

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... If yes, complete Schedule Y, Parts 1 and 1A. Yes [ X ] No [ ]

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ ] No [ X ]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. \_\_\_\_\_

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... If yes, complete and file the merger history data file with the NAIC. Yes [ ] No [ X ]

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ X ] N/A [ ] If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ..... 12/31/2015

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ..... 12/31/2015

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ..... 05/19/2017

6.4 By what department or departments?  
Ohio Department of Insurance

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]

6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ ] No [ X ] N/A [ ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [ X ]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

**STATEMENT AS OF MARCH 31, 2020 OF THE United Ohio Insurance Company**  
**GENERAL INTERROGATORIES**

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [  ] No [  ]  
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? ..... Yes [  ] No [  ]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [  ] No [  ]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**FINANCIAL**

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [  ] No [  ]  
 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ 1,230,893

**INVESTMENT**

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [  ] No [  ]  
 11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ .....  
 13. Amount of real estate and mortgages held in short-term investments: ..... \$ .....  
 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [  ] No [  ]  
 14.2 If yes, please complete the following:

	<b>1</b> Prior Year-End Book/Adjusted Carrying Value	<b>2</b> Current Quarter Book/Adjusted Carrying Value
14.21 Bonds .....	\$ .....	\$ .....
14.22 Preferred Stock .....	\$ .....	\$ .....
14.23 Common Stock .....	\$ .....	\$ .....
14.24 Short-Term Investments .....	\$ .....	\$ .....
14.25 Mortgage Loans on Real Estate .....	\$ .....	\$ .....
14.26 All Other .....	\$ .....	\$ .....
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) .....	\$ .....	\$ .....
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....	\$ .....	\$ .....

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [  ] No [  ]  
 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [  ] No [  ] N/A [  ]  
 If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.	\$ .....
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$ .....
16.3 Total payable for securities lending reported on the liability page.	\$ .....

**STATEMENT AS OF MARCH 31, 2020 OF THE United Ohio Insurance Company**  
**GENERAL INTERROGATORIES**

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [  ] No [  ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Fifth Third Bank .....	38 Fountain Square Plaza, Cincinnati, Ohio 45263 .....

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....	.....	.....

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [  ] No [  ]

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....	.....	.....	.....

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
New England Asset Management .....	U.....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? ..... Yes [  ] No [  ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? ..... Yes [  ] No [  ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
105900 .....	New England Asset Management .....	KUR85E5PS4GQFZTFC130 .....	New England Asset Management is a SEC registered Investment Advisor .....	NO.....

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [  ] No [  ]

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- Issuer or obligor is current on all contracted interest and principal payments.
- The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? ..... Yes [  ] No [  ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

- The security was purchased prior to January 1, 2018.
- The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? ..... Yes [  ] No [  ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

- The shares were purchased prior to January 1, 2019.
- The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
- The fund only or predominantly holds bonds in its portfolio.
- The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
- The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [  ] No [  ]

STATEMENT AS OF MARCH 31, 2020 OF THE United Ohio Insurance Company  
**GENERAL INTERROGATORIES**

**PART 2 - PROPERTY & CASUALTY INTERROGATORIES**

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? ..... Yes [ ] No [ X ] N/A [ ]  
If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? ..... Yes [ ] No [ X ]  
If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? ..... Yes [ ] No [ X ]

3.2 If yes, give full and complete information thereto.

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves") discounted at a rate of interest greater than zero? ..... Yes [ ] No [ X ]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Discount Rate	TOTAL DISCOUNT			DISCOUNT TAKEN DURING PERIOD				
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 TOTAL
			TOTAL							

5. Operating Percentages:

5.1 A&H loss percent ..... %

5.2 A&H cost containment percent ..... %

5.3 A&H expense percent excluding cost containment expenses ..... 36.115 %

6.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date ..... \$

6.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]

6.4 If yes, please provide the balance of the funds administered as of the reporting date ..... \$

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [ X ] No [ ]

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ ]

STATEMENT AS OF MARCH 31, 2020 OF THE United Ohio Insurance Company

## **SCHEDULE F - CEDED REINSURANCE**

Showing All New Reinsurers - Current Year to Date

STATEMENT AS OF MARCH 31, 2020 OF THE United Ohio Insurance Company  
**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama .....	AL	N					
2. Alaska .....	AK	N					
3. Arizona .....	AZ	N					
4. Arkansas .....	AR	N					
5. California .....	CA	N					
6. Colorado .....	CO	N					
7. Connecticut .....	CT	L	4,607,328	5,039,541	3,660,378	3,066,942	14,234,533
8. Delaware .....	DE	N					
9. District of Columbia .....	DC	N					
10. Florida .....	FL	N					
11. Georgia .....	GA	N					
12. Hawaii .....	HI	N					
13. Idaho .....	ID	N					
14. Illinois .....	IL	N					
15. Indiana .....	IN	L	53,172	28,837			124,302
16. Iowa .....	IA	N					50,424
17. Kansas .....	KS	N					
18. Kentucky .....	KY	N					
19. Louisiana .....	LA	N					
20. Maine .....	ME	L	2,024,474	1,825,393	1,482,107	1,018,998	5,008,708
21. Maryland .....	MD	N					
22. Massachusetts .....	MA	N					
23. Michigan .....	MI	N					
24. Minnesota .....	MN	N					
25. Mississippi .....	MS	N					
26. Missouri .....	MO	N					
27. Montana .....	MT	N					
28. Nebraska .....	NE	N					
29. Nevada .....	NV	N					
30. New Hampshire .....	NH	L	1,399,309	1,483,285	900,831	793,988	2,525,584
31. New Jersey .....	NJ	N					
32. New Mexico .....	NM	N					
33. New York .....	NY	N					
34. North Carolina .....	NC	N					
35. North Dakota .....	ND	N					
36. Ohio .....	OH	L	27,679,709	27,992,239	9,602,196	11,838,483	34,271,439
37. Oklahoma .....	OK	N					38,469,048
38. Oregon .....	OR	N					
39. Pennsylvania .....	PA	N					
40. Rhode Island .....	RI	L	4,562,463	4,359,759	2,092,825	3,298,134	11,307,722
41. South Carolina .....	SC	N					12,343,589
42. South Dakota .....	SD	N					
43. Tennessee .....	TN	L					
44. Texas .....	TX	N					
45. Utah .....	UT	N					
46. Vermont .....	VT	L	2,168,831	2,109,108	1,573,305	1,159,225	4,021,564
47. Virginia .....	VA	L					3,259,884
48. Washington .....	WA	N					
49. West Virginia .....	WV	N					
50. Wisconsin .....	WI	L					
51. Wyoming .....	WY	N					
52. American Samoa .....	AS	N					
53. Guam .....	GU	N					
54. Puerto Rico .....	PR	N					
55. U.S. Virgin Islands .....	VI	N					
56. Northern Mariana Islands .....	MP	N					
57. Canada .....	CAN	N					
58. Aggregate Other Alien OT	XXX						
59. Totals	XXX	42,495,286	42,838,162	19,311,642	21,175,770	71,493,852	73,513,294
DETAILS OF WRITE-INS							
58001.	XXX						
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX						
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX						

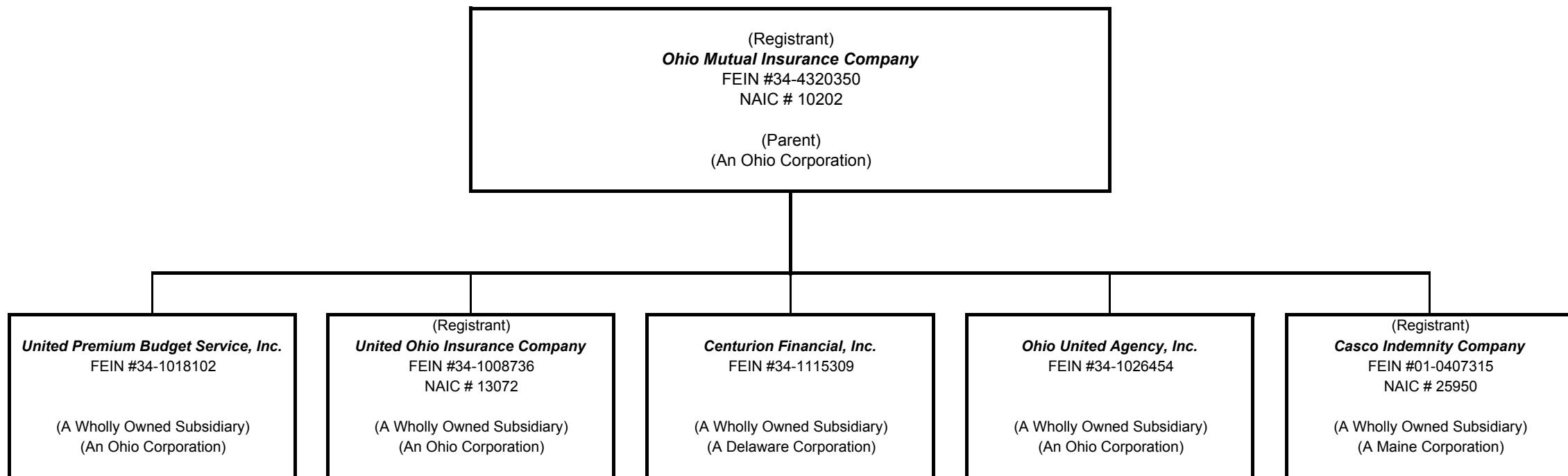
(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....10  
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - see DSLI).....  
D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile.....

R - Registered - Non-domiciled RRGs.....  
Q - Qualified - Qualified or accredited reinsurer.....  
N - None of the above - Not allowed to write business in the state .....47

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 - ORGANIZATIONAL CHART**

**Ohio Mutual Insurance Group**



STATEMENT AS OF MARCH 31, 2020 OF THE United Ohio Insurance Company

## SCHEDULE Y

## **PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

# NON

# NOTE

STATEMENT AS OF MARCH 31, 2020 OF THE United Ohio Insurance Company  
**PART 1 - LOSS EXPERIENCE**

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire .....	3,703,160	974,231	26.3	38.3
2. Allied Lines .....	36,737	(1,760)	(4.8)	6.5
3. Farmowners multiple peril .....	4,283,115	794,827	18.6	23.9
4. Homeowners multiple peril .....	3,486,175	1,309,265	37.6	53.9
5. Commercial multiple peril .....	7,817,609	2,145,980	27.5	42.2
6. Mortgage guaranty .....				
8. Ocean marine .....				
9. Inland marine .....	205,206	26,228	12.8	182.5
10. Financial guaranty .....				
11.1 Medical professional liability - occurrence .....				
11.2 Medical professional liability - claims-made .....				
12. Earthquake .....				
13. Group accident and health .....				
14. Credit accident and health .....				
15. Other accident and health .....	521			
16. Workers' compensation .....				
17.1 Other liability - occurrence .....	1,590,462	151,703	9.5	99.7
17.2 Other liability - claims-made .....				
17.3 Excess workers' compensation .....				
18.1 Products liability - occurrence .....	45,447	(21,767)	(47.9)	177.1
18.2 Products liability - claims-made .....				
19.1,19.2 Private passenger auto liability .....	8,308,279	3,778,648	45.5	68.1
19.3,19.4 Commercial auto liability .....	4,924,383	1,687,962	34.3	40.0
21. Auto physical damage .....	8,423,983	4,944,810	58.7	67.0
22. Aircraft (all perils) .....				
23. Fidelity .....				
24. Surety .....				
26. Burglary and theft .....	109,718	3,538	3.2	8.4
27. Boiler and machinery .....				
28. Credit .....				
29. International .....				
30. Warranty .....				
31. Reinsurance - Nonproportional Assumed Property .....	XXX	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability .....	XXX	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business .....				
35. Totals .....	42,934,795	15,793,665	36.8	53.2
<b>DETAILS OF WRITE-INS</b>				
3401. ....				
3402. ....				
3403. ....				
3498. Summary of remaining write-ins for Line 34 from overflow page .....				
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....				

**PART 2 - DIRECT PREMIUMS WRITTEN**

Line of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date	
1. Fire .....	3,577,404	3,577,404	3,517,126	
2. Allied Lines .....	33,023	33,023	36,342	
3. Farmowners multiple peril .....	4,445,746	4,445,746	4,676,727	
4. Homeowners multiple peril .....	2,765,053	2,765,053	3,032,397	
5. Commercial multiple peril .....	8,096,376	8,096,376	7,697,791	
6. Mortgage guaranty .....				
8. Ocean marine .....				
9. Inland marine .....	222,617	222,617	188,007	
10. Financial guaranty .....				
11.1 Medical professional liability - occurrence .....				
11.2 Medical professional liability - claims-made .....				
12. Earthquake .....				
13. Group accident and health .....				
14. Credit accident and health .....				
15. Other accident and health .....	.907	.907	1,332	
16. Workers' compensation .....				
17.1 Other liability - occurrence .....	1,795,563	1,795,563	1,627,657	
17.2 Other liability - claims-made .....				
17.3 Excess workers' compensation .....				
18.1 Products liability - occurrence .....	46,693	46,693	45,688	
18.2 Products liability - claims-made .....				
19.1,19.2 Private passenger auto liability .....	7,798,940	7,798,940	8,425,812	
19.3,19.4 Commercial auto liability .....	5,395,450	5,395,450	5,147,233	
21. Auto physical damage .....	8,213,090	8,213,090	8,335,748	
22. Aircraft (all perils) .....				
23. Fidelity .....				
24. Surety .....				
26. Burglary and theft .....	104,424	104,424	106,302	
27. Boiler and machinery .....				
28. Credit .....				
29. International .....				
30. Warranty .....				
31. Reinsurance - Nonproportional Assumed Property .....	XXX	XXX	XXX	
32. Reinsurance - Nonproportional Assumed Liability .....	XXX	XXX	XXX	
33. Reinsurance - Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX	
34. Aggregate write-ins for other lines of business .....				
35. Totals .....	42,495,286	42,495,286	42,838,162	
<b>DETAILS OF WRITE-INS</b>				
3401. ....				
3402. ....				
3403. ....				
3498. Summary of remaining write-ins for Line 34 from overflow page .....				
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....				

STATEMENT AS OF MARCH 31, 2020 OF THE United Ohio Insurance Company

**PART 3 (000 omitted)**

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

Years in Which Losses Occurred	1 Prior Year-End Known Case Loss and LAE Reserves	2 Prior Year-End IBNR Loss and LAE Reserves	3 Total Prior Year-End Loss and LAE Reserves (Cols. 1+2)	4 2020 Loss and LAE Payments on Claims Reported as of Prior Year-End	5 2020 Loss and LAE Payments on Claims Unreported as of Prior Year-End	6 Total 2020 Loss and LAE Payments (Cols. 4+5)	7 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	8 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	9 Q.S. Date IBNR Loss and LAE Reserves	10 Total Q.S. Loss and LAE Reserves (Cols. 7+8+9)	11 Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4+7 minus Col. 1)	12 Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2)	13 Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12)
1. 2017 + Prior .....	8,570	9,402	17,972	2,870		2,870	6,464	1	5,159	11,624	.764	(4,242)	(3,478)
2. 2018 .....	6,709	9,584	16,293	1,879	6	1,885	5,794	10	5,112	10,916	.964	(4,456)	(3,492)
3. Subtotals 2018 + Prior .....	15,279	18,986	34,265	4,749	6	4,755	12,258	11	10,271	22,540	1,728	(8,698)	(6,970)
4. 2019 .....	19,540	22,074	41,614	9,550	223	9,773	13,886	128	10,124	24,138	3,896	(11,599)	(7,703)
5. Subtotals 2019 + Prior .....	34,819	41,060	75,879	14,299	229	14,528	26,144	139	20,395	46,678	5,624	(20,297)	(14,673)
6. 2020 .....	XXX	XXX	XXX	XXX	10,309	10,309	XXX	4,773	20,804	25,577	XXX	XXX	XXX
7. Totals .....	34,819	41,060	75,879	14,299	10,538	24,837	26,144	4,912	41,199	72,255	5,624	(20,297)	(14,673)
8. Prior Year-End Surplus As Regards Policyholders											Col. 11, Line 7 As % of Col. 1 Line 7	Col. 12, Line 7 As % of Col. 2 Line 7	Col. 13, Line 7 As % of Col. 3 Line 7
											1. 16.2	2. (49.4)	3. (19.3)
													4. (7.1)

STATEMENT AS OF MARCH 31, 2020 OF THE United Ohio Insurance Company

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement? .....	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	YES

Explanations:

- 1.
- 2.
- 3.

Bar Codes:

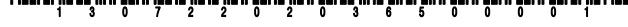
1. Trusteed Surplus Statement [Document Identifier 490]



2. Supplement A to Schedule T [Document Identifier 455]



3. Medicare Part D Coverage Supplement [Document Identifier 365]



STATEMENT AS OF MARCH 31, 2020 OF THE United Ohio Insurance Company  
**OVERFLOW PAGE FOR WRITE-INS**

**NONE**

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other than temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4+5+6-7-8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10) .....		

**NONE****SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage interest in investment and commitment fees .....		
9. Total foreign exchange change in book value/recorded investment including accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....		

**NONE****SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....		

**NONE****SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	292,709,966	277,005,725
2. Cost of bonds and stocks acquired .....	16,710,230	59,997,406
3. Accrual of discount .....	26,889	95,554
4. Unrealized valuation increase (decrease) .....	(3,975,657)	4,252,887
5. Total gain (loss) on disposals .....	72,628	4,685
6. Deduct consideration for bonds and stocks disposed of .....	12,508,177	46,700,036
7. Deduct amortization of premium .....	459,963	1,948,244
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....	35,676	1,989
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7+8-9+10) .....	292,611,592	292,709,966
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....	292,611,592	292,709,966

## STATEMENT AS OF MARCH 31, 2020 OF THE United Ohio Insurance Company

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	259,135,519	12,021,152	13,578,954	(3,383,986)	254,193,731			259,135,519
2. NAIC 2 (a) .....	13,053,847	1,156,045	700,000	2,454,173	15,964,065			13,053,847
3. NAIC 3 (a) .....	1,958,992	411,308		47,013	2,417,313			1,958,992
4. NAIC 4 (a) .....								
5. NAIC 5 (a) .....	34,766		2,166	148	32,748			34,766
6. NAIC 6 (a) .....								
7. Total Bonds .....	274,183,124	13,588,505	14,281,120	(882,652)	272,607,857			274,183,124
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	439,117				439,117			439,117
9. NAIC 2 .....	2,357,120	2,051,466	534,000	(49,760)	3,824,826			2,357,120
10. NAIC 3 .....	1,087,800	1,070,260	399,753	(84,367)	1,673,940			1,087,800
11. NAIC 4 .....								
12. NAIC 5 .....								
13. NAIC 6 .....								
14. Total Preferred Stock .....	3,884,037	3,121,726	933,753	(134,127)	5,937,883			3,884,037
15. Total Bonds and Preferred Stock .....	278,067,161	16,710,231	15,214,873	(1,016,779)	278,545,740			278,067,161

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ ..... ; NAIC 2 \$ ..... ; NAIC 3 \$ ..... NAIC 4 \$ ..... ; NAIC 5 \$ ..... ; NAIC 6 \$ .....

SI02

Schedule DA - Part 1 - Short-Term Investments  
**N O N E**

Schedule DA - Verification - Short-Term Investments  
**N O N E**

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards  
**N O N E**

Schedule DB - Part B - Verification - Futures Contracts  
**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open  
**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open  
**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives  
**N O N E**

STATEMENT AS OF MARCH 31, 2020 OF THE United Ohio Insurance Company

**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	3,762,052	1,328,949
2. Cost of cash equivalents acquired .....	19,460,028	41,751,505
3. Accrual of discount .....	2,393	1,289
4. Unrealized valuation increase (decrease) .....	.....	.....
5. Total gain (loss) on disposals .....	.....	.....
6. Deduct consideration received on disposals .....	18,329,689	39,319,691
7. Deduct amortization of premium .....	.....	.....
8. Total foreign exchange change in book/adjusted carrying value .....	.....	.....
9. Deduct current year's other than temporary impairment recognized .....	.....	.....
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	4,894,784	3,762,052
11. Deduct total nonadmitted amounts .....	.....	.....
<b>12. Statement value at end of current period (Line 10 minus Line 11)</b>	<b>4,894,784</b>	<b>3,762,052</b>

Schedule A - Part 2 - Real Estate Acquired and Additions Made  
**N O N E**

Schedule A - Part 3 - Real Estate Disposed  
**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made  
**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid  
**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made  
**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid  
**N O N E**

## STATEMENT AS OF MARCH 31, 2020 OF THE United Ohio Insurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Adminis- trative Symbol
97705M-QK-4	WISCONSIN ST		.01/23/2020	JEFFERIES & COMPANY INC.	500,000	.500,000	.500,000		1FE
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions					500,000	.500,000	.500,000		XXX
178882-PP-5	CLACKAMAS & WASHINGTON CNTYS 0		.02/13/2020	PIPER Sandler COMPANIES	500,000	.500,000	.500,000		1FE
758449-RX-2	REEDY CREEK FL IMPT DIST		.02/05/2020	JP MORGAN SECURITIES INC.	500,000	.500,000	.500,000		1FE
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions					1,000,000	1,000,000	1,000,000		XXX
3140K3-J2-9	UMB'S - POOL BOT7480		.02/10/2020	WELLS FARGO FINANCIAL	3,944,016	3,825,659	3,507	1FE	
592481-LJ-2	MET SAINT LOUIS MO SWR DIST WS		.02/20/2020	SUNTRUST CAPITAL MARKETS	511,380	.500,000	2,434	1FE	
64579R-BQ-9	NEW JERSEY INSTITUTE OF TECHNO		.01/30/2020	RAYMOND JAMES	545,120	.500,000	1,728	1FE	
71781L-BU-2	PHILADELPHIA PA AUTH FOR INDL		.01/15/2020	JANNEY MONTGOMERY SCOTT	425,540	.500,000	1FE		
837227-7X-9	SOUTH CENTRAL CT REGL WTR AUTH		.02/06/2020	PIPER Sandler COMPANIES	500,000	.500,000	1FE		
3199999. Subtotal - Bonds - U.S. Special Revenues					5,926,056	5,825,659	7,669		XXX
37310P-AE-1	GEORGETOWN UNIVERSITY		.02/19/2020	BARCLAYS CAPITAL	500,000	.500,000		1FE	
68902V-AD-9	OTIS WORLDWIDE CORP		.02/19/2020	GOLDMAN SACHS	499,995	.500,000		2FE	
89178W-BB-3	TOWD POINT MORTGAGE TRUST 20-1 A2A		.01/24/2020	JP MORGAN SECURITIES INC.	3,095,190	3,000,000	9,042	1FE	
98162H-AC-4	WORLD OMNI AUTOMOBILE LEASE SE 20-A A3		.02/04/2020	BANK OF AMERICA	999,907	1,000,000		1FE	
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					5,095,092	5,000,000	9,042		XXX
172967-MG-3	CIT GROUP INC		.02/27/2020	CREDIT SUISSE FIRST BOSTON	154,815	.150,000	3,542	3FE	
25746U-DD-8	DOMINION ENERGY INC		.03/09/2020	MORGAN STANLEY & CO	246,250	.250,000	2,842	2FE	
38144G-AB-7	GOLDMAN SACHS GROUP INC		.02/27/2020	PARIBAS CORPORATION	256,493	.250,000	3,678	3FE	
48128B-AF-8	JPMORGAN CHASE & CO		.02/27/2020	CREDIT SUISSE FIRST BOSTON	257,550	.250,000	1,076	2FE	
89832Q-AD-1	TRUST FINANCIAL CORP		.02/28/2020	CREDIT SUISSE FIRST BOSTON	152,250	.150,000	40	2FE	
4899999. Subtotal - Bonds - Hybrid Securities					1,067,358	1,050,000	11,178		XXX
8399997. Total - Bonds - Part 3					13,588,506	13,375,659	27,889		XXX
8399998. Total - Bonds - Part 5					XXX	XXX	XXX		XXX
8399999. Total - Bonds					13,588,506	13,375,659	27,889		XXX
060505-22-9	BANK OF AMERICA CORP 6.00%		.03/16/2020	BANK OF AMERICA	25,000,000	642,850	0.00		2FE
14040H-78-2	CAPITAL ONE FINANCIAL CO 4.800%		.03/30/2020	WELLS FARGO FINANCIAL	16,000,000	297,950	0.00		3FE
14040H-88-1	CAPITAL ONE FINANCIAL CO 6.2%		.03/06/2020	VARIOUS	30,000,000	772,310	0.00		3FE
59156R-85-0	METLIFE INC 4.750%		.03/16/2020	WELLS FARGO FINANCIAL	19,000,000	402,230	0.00		2FE
59156R-87-6	METLIFE INC 5.625%		.03/12/2020	WELLS FARGO FINANCIAL	11,000,000	261,300	0.00		2FE
8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred					2,376,640	XXX			XXX
125896-84-5	CMS ENERGY CORP 5.875% 03/01/79		.03/12/2020	JANNEY MONTGOMERY SCOTT	30,000,000	745,086	0.00		2FE
8599999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Redeemable Preferred					745,086	XXX			XXX
8999997. Total - Preferred Stocks - Part 3					3,121,726	XXX			XXX
8999998. Total - Preferred Stocks - Part 5					XXX	XXX	XXX		XXX
8999999. Total - Preferred Stocks					3,121,726	XXX			XXX
9799997. Total - Common Stocks - Part 3					XXX	XXX			XXX
9799998. Total - Common Stocks - Part 5					XXX	XXX	XXX		XXX
9799999. Total - Common Stocks					XXX	XXX			XXX
9899999. Total - Preferred and Common Stocks					3,121,726	XXX			XXX
9999999 - Totals					16,710,232	XXX			27,889

## STATEMENT AS OF MARCH 31, 2020 OF THE United Ohio Insurance Company

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain /Adjusted Carrying Value	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation and Adminis- trative Symbol	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value								
36179T-4P-7	GOVERNMENT NATL MTG ASSOC II #MA5330		03/01/2020	PAYOUT		572,640	572,640	586,302	573,176		(536)		(536)			572,640				3,674	07/20/2048	1FE
36179T-7L-3	GOVERNMENT NATL MTG ASSOC II #MA5399		03/01/2020	PAYOUT		147,213	147,213	151,986	147,383		(170)		(170)			147,213				1,045	08/20/2048	1FE
36179T-25-7	GOVERNMENT NATL MTG ASSOC II #MA5264		03/01/2020	PAYOUT		232,772	232,772	238,027	232,935		(164)		(164)			232,772				1,500	06/20/2048	1FE
3620AU-TE-4	GOVERNMENT NATL MTG ASSOC #740449		03/01/2020	PAYOUT		1,819	1,819	1,892	1,819											12	11/15/2040	1FE
3620AW-TA-8	GOVERNMENT NATL MTG ASSOC #742245		03/01/2020	PAYOUT		1,373	1,373	1,373	1,373											9	11/15/2041	1FE
3620CG-YU-3	GOVERNMENT NATL MTG ASSOC #750523		03/01/2020	PAYOUT		1,289	1,289	1,341	1,289											9	11/15/2040	1FE
3623OP-M6-4	GOVERNMENT NATL MTG ASSOC #754881		03/01/2020	PAYOUT		504	504	518	504											3	12/15/2040	1FE
3623OP-NJ-5	GOVERNMENT NATL MTG ASSOC #754893		03/01/2020	PAYOUT		781	781	803	781											5	12/15/2040	1FE
38374T-LA-0	GOVERNMENT NATIONAL MORTGAGE A 09 15 NK		03/01/2020	PAYOUT		2,748	2,748	2,824	2,749											21	12/20/2038	1FE
38376T-BF-8	GOVERNMENT NATIONAL MORTGAGE A 10 12 DA		03/01/2020	PAYOUT		1,373	1,373	1,420	1,373		(1)		(1)							10	01/16/2040	1FE
0599999. Subtotal - Bonds - U.S. Governments						962,512	962,512	986,486	963,382		(871)		(871)			962,512				6,288	XXX	XXX
130333-CA-3	CALIFORNIA ST HSG FIN AGY RSDL		03/01/2020	SINK FUND PAYMENT		5,477	5,477	5,477	5,477							5,477				27	02/01/2042	1FE
130333-CB-1	CALIFORNIA ST HSG FIN AGY RSDL		02/01/2020	VARIOUS		407,662	407,662	406,134	406,271		10		10			406,281				1,965	02/01/2042	1FE
198680-DD-4	COLORADO ST BLDG EXCELLENT SCH		03/15/2020	PREREFUND		1,000,000	1,000,000	1,39,510	1,007,392		(7,392)		(7,392)			1,000,000				31,210	03/15/2030	1FE
3128MM-LD-3	FEDERAL HOME LN MTG CORP #G18323		03/01/2020	PAYOUT		3,934	3,934	4,049	3,937		(3)		(3)			3,934				32	09/01/2024	1FE
3128PP-SE-9	FEDERAL HOME LN MTG CORP #J10845		03/01/2020	PAYOUT		2,396	2,396	2,463	2,397		(1)		(1)			2,396				18	10/01/2024	1FE
3128PO-PY-1	FEDERAL HOME LN MTG CORP #J11339		03/01/2020	PAYOUT		28,454	28,454	29,708	28,482		(28)		(28)			28,454				212	12/01/2024	1FE
31306X-2A-0	FEDERAL HOME LN MTG CORP #J20769		03/01/2020	PAYOUT		26,729	26,729	28,040	26,752		(23)		(23)			26,729				111	10/01/2027	1FE
3131XJ-F9-8	UMBS - POOL ZL2892		03/01/2020	PAYOUT		12,615	12,615	12,895	12,617		(3)		(3)			12,615				104	04/01/2042	1FE
3131XJ-G2-2	UMBS - POOL ZL2917		03/01/2020	PAYOUT		16,337	16,337	16,700	16,345		(8)		(8)			16,337				94	04/01/2042	1FE
3131XJ-M6-5	UMBS - POOL ZL3113		03/01/2020	PAYOUT		17,984	17,984	18,392	17,993		(8)		(8)			17,984				80	05/01/2042	1FE
3131XJ-VC-3	UMBS - POOL ZL3311		03/01/2020	PAYOUT		3,347	3,347	3,423	3,347						3,347				17	07/01/2042	1FE	
3131XK-KC-2	UMBS - POOL ZL3891		03/01/2020	PAYOUT		3,040	3,040	3,229	3,040		(1)		(1)			3,040				18	10/01/2042	1FE
3131XQ-SZ-5	UMBS - POOL ZL8964		03/01/2020	PAYOUT		8,104	8,104	8,513	8,106		(2)		(2)			8,104				36	01/01/2045	1FE
3131XQ-VR-4	UMBS - POOL ZL8724		03/01/2020	PAYOUT		52,023	52,023	54,038	52,053		(30)		(30)			52,023				305	11/01/2044	1FE
3131XK-VP-2	UMBS - POOL ZM0622		03/01/2020	PAYOUT		24,611	24,611	26,214	24,625		(15)		(15)			24,611				126	12/01/2045	1FE
31329U-P2-7	UMBS - POOL ZA1341		03/01/2020	PAYOUT		23,638	23,638	24,694	23,650		(12)		(12)			23,638				117	09/01/2042	1FE
31329U-P3-5	UMBS - POOL ZA1342		03/01/2020	PAYOUT		22,279	22,279	23,274	22,290		(12)		(12)			22,279				109	10/01/2042	1FE
31329U-P4-3	UMBS - POOL ZA1343		03/01/2020	PAYOUT		22,361	22,361	23,752	22,379		(17)		(17)			22,361				129	10/01/2042	1FE
31329K-X9-0	UMBS - POOL ZA2504		03/01/2020	PAYOUT		71,041	71,041	69,520	71,019		22		22			71,041				343	04/01/2038	1FE
31324A-6S-2	UMBS - POOL ZS4481		03/01/2020	PAYOUT		8,280	8,280	8,464	8,283		(3)		(3)			8,280				48	04/01/2042	1FE
31324A-7A-0	UMBS - POOL ZS4489		03/01/2020	PAYOUT		6,011	6,011	6,148	6,013		(2)		(2)			6,011				31	06/01/2042	1FE
31324A-B8-9	UMBS - POOL ZS4940		03/01/2020	PAYOUT		7,592	7,592	7,764	7,595		(3)		(3)			7,592				40	07/01/2042	1FE
31324A-B4-9	UMBS - POOL ZS3659		03/01/2020	PAYOUT		4,264	4,264	4,264	4,265		(1)		(1)			4,264				21	12/01/2042	1FE
31324A-PW-2	UMBS - POOL ZS4037		03/01/2020	PAYOUT		19,107	19,107	19,877	19,118		(12)		(12)			19,107				111	05/01/2044	1FE
3136AC-V5-7	FANNIE MAE 13 15 GP		03/01/2020	PAYOUT		13,174	13,174	14,059	13,195		(21)		(21)			13,174				72	03/15/2042	1FE
3136AD-EF-2	FANNIE MAE 13 36 AG		03/01/2020	PAYOUT		22,921	22,921	23,394	22,931		(10)		(10)			22,921				111	12/25/2036	1FE
3136AK-DG-5	FANNIE MAE 14 36 QB		03/01/2020	PAYOUT		48,401	48,401	49,528	48,419		(18)		(18)			48,401				225	09/25/2033	1FE
31376K-LZ-7	UMBS - POOL 357744		03/01/2020	PAYOUT		232	232	229	231		1		1			232				2	04/01/2040	1FE
313783-6H-6	FHLMC MULTIFAMILY STRUCTURED P K029 A1		03/01/2020	PAYOUT		225,224	225,224	229,726	225,298		(74)		(74)			225,224				2,065	10/25/2022	1FE
313789-BZ-7	FHLMC MULTIFAMILY STRUCTURED P KF03 A		03/25/2020	PAYOUT		249	249	249	249							249				1	01/25/2021	1FE
3137BA-FZ-2	FREDDIE MAC 4323 VA		03/01/2020	PAYOUT		14,030	14,030	15,130	14,046		(16)		(16)			14,030				94	03/15/2027	1FE
3137BB-N9-7	FREDDIE MAC 4358 DA		03/01/2020	PAYOUT		4,542	4,542	4,643	4,543		(2)		(2)			4,542				21	06/15/2040	1FE
3138EM-EQ-0	UMBS - POOL AL4642		03/01/2020	PAYOUT		5,948	5,948	6,212	5,953		(6)		(6)			5,948				28	11/01/2027	1FE
3138EN-2N-8	UMBS - POOL AL6180		03/01/2020	PAYOUT		138,445	138,445	148,612	138,634		(189											

## STATEMENT AS OF MARCH 31, 2020 OF THE United Ohio Insurance Company

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain /Loss on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation and Adminis- trative Symbol			
										11 Unrealized Valuation Increase/ Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value										
31406S-PD-8	UMBS - POOL BH4019		03/01/2020	PAYOUT		.75,496	.75,496	.79,271	.75,542		(46)		(46)		.75,496					.535	09/01/2047	1FE		
31406J-GJ-0	UMBS - POOL BM2000		03/01/2020	PAYOUT		.139,566	.139,566	.143,791	.139,643		(77)		(77)		.139,566					.799	06/01/2025	1FE		
3140K3-J2-9	UMBS - POOL B07480		03/01/2020	PAYOUT		.16,688	.16,688	.17,204							.16,688					.42	12/01/2049	1FE		
31416R-AJ-2	UMBS - POOL A7208		03/01/2020	PAYOUT		.9,898	.9,898	.9,998	.9,900		(2)		(2)		.9,898					.67	06/01/2024	1FE		
31417S-CT-5	UMBS - POOL AC5481		03/01/2020	PAYOUT		.10,590	.10,590	.11,068	.10,605		(15)		(15)		.10,590					.75	11/01/2024	1FE		
31417U-V5-1	UMBS - POOL AC7835		03/01/2020	PAYOUT		.8,766	.8,766	.9,164	.8,773		(7)		(7)		.8,766					.66	12/01/2024	1FE		
31417U-WF-8	UMBS - POOL AC7845		03/01/2020	PAYOUT		.5,709	.5,709	.5,969	.5,714		(5)		(5)		.5,709					.43	01/01/2025	1FE		
31418C-YN-8	UMBS - POOL MA3416		03/01/2020	PAYOUT		.175,185	.175,185	.182,131	.175,416		(231)		(231)		.175,185					.1,258	07/01/2048	1FE		
60416Q-FW-9	MINNESOTA ST HSG FIN AGY HOME		03/01/2020	SINK FUND PAYMENT		.19,207	.19,207	.19,207							.19,207					.78	09/01/2041	1FE		
60416Q-GB-4	MINNESOTA ST HSG FIN AGY HOME		03/01/2020	SINK FUND PAYMENT		.49,354	.49,354	.49,354							.49,354					.256	11/01/2044	1FE		
613349-2F-5	MONTGOMERY CNTY MD HSG OPPORTU		01/01/2020	SINK FUND PAYMENT		.75,000	.75,000	.80,594	.75,000						.75,000					.1,500	01/01/2031	1FE		
626207-XT-6	MINI ELEC AUTH OF GEORGIA		01/01/2020	MATURITY		.700,000	.700,000	.764,372	.700,000						.700,000					.17,500	01/01/2020	2FE		
756872-GJ-0	RED RIVER TX EDU FING COPR EDU		03/15/2020	PREREFUNDED		.250,000	.250,000	.275,955	.250,737		(737)		(737)		.250,000					.6,250	03/15/2026	1FE		
83712T-DA-6	SOUTH CAROLINA ST HSG FIN & DE		01/02/2020	ISSUER at 100,000		.190,000	.190,000	.190,000							.190,000					.467	01/01/2041	1FE		
83756C-FR-1	SOUTH DAKOTA HSG DEV AUTH		02/12/2020	SINK FUND PAYMENT		.10,000	.10,000	.10,794	.10,006		(6)		(6)		.10,000					.11	11/01/2044	1FE		
922781-TH-7	VIRGINIA ST CLG BLDG AUTH EDUC		02/01/2020	PREREFUNDED		.500,000	.500,000	.559,420	.500,683		(683)		(683)		.500,000					.1,875	02/01/2021	1FE		
930876-BU-0	WAKE CNTY NC LIMITED OBLIG		01/01/2020	PREREFUNDED		.729,995	.729,995	.831,536	.730,000						.730,000					.18,250	01/01/2021	1FE		
3199999. Subtotal - Bonds - U.S. Special Revenues						5,601,758	5,601,758	5,593,495			(9,804)		(9,804)		5,600,382					1,381	1,381	100,215	XXX	XXX
02004V-AC-7	ALLY AUTO RECEIVABLES TRUST 18-2 A3		03/15/2020	PAYOUT		.183,675	.183,675	.183,641	.183,481		(193)		(193)		.183,675					.913	11/15/2022	1FE		
02007L-AD-4	ALLY AUTO RECEIVABLES TRUST 16-3 A4		01/15/2020	PAYOUT		.82,701	.82,701	.82,686	.82,701						.82,701					.119	04/15/2021	1FE		
03464K-AA-6	ANGEL OAK MORTGAGE TRUST 19-5 A1		03/01/2020	PAYOUT		.53,710	.53,710	.53,709	.53,710						.53,710					.276	10/25/2049	1FE		
042858-AA-8	ABROO MORTGAGE TRUST 19-2 A1		03/01/2020	PAYOUT		.90,169	.90,169	.90,168	.90,169						.90,169					.493	04/25/2049	1FM		
13976M-AC-8	CAPITAL AUTO RECEIVABLES ASSET 18-1 A3		03/20/2020	PAYOUT		.106,449	.106,449	.106,431	.106,314		135		135		.106,449					.487	01/20/2022	1FE		
21872N-AC-6	COLONY AMERICAN FINANCE LTD 19-3 A		03/01/2020	PAYOUT		.3,616	.3,616	.3,616	.3,616						.3,616					.17	10/15/2052	1FE		
24381N-AA-6	DEEPHAVEN RESIDENTIAL MORTGAGE 19-3A A1		03/01/2020	PAYOUT		.209,865	.209,865	.209,864	.209,865						.209,865					.966	07/25/2059	1FM		
26250J-AS-9	DRYDEN SENIOR LOAN FUND 12-25A ARR		01/15/2020	PAYOUT		.35,902	.35,902	.35,902							.35,902					.265	10/15/2027	1FE		
43731Q-AC-2	HOME PARTNERS OF AMERICA TRUST 19-1 B		03/01/2020	PAYOUT		.5,843	.5,843	.5,843	.5,843						.5,843					.36	09/17/2039	1FE		
43800K-AB-3	HOMEGARD OPPORTUNITIES FUND I 19-3 A2		03/01/2020	PAYOUT		.41,993	.41,993	.41,993							.41,993					.216	11/25/2059	1FE		
55446M-AA-5	MACH I 19-1 A		03/15/2020	PAYOUT		.9,766	.9,766	.9,753			13		13		.9,766					.57	10/15/2039	1FE		
59166E-AB-1	METLIFE SECURITIZATION TRUST 19-1A A1A		03/01/2020	PAYOUT		.20,991	.20,991	.21,372	.20,998		(7)		(7)		.20,991					.118	04/25/2058	1FM		
64830D-AB-9	NEW RESIDENTIAL MORTGAGE LOAN 19-2A A1		03/01/2020	PAYOUT		.39,372	.39,372	.40,336	.39,392		(20)		(20)		.39,372					.275	12/25/2057	1FM		
70213H-AB-4	PARTNERS HEALTHCARE SYST		01/29/2020	CALLED BY ISSUER at		.1,535,676	.1,500,000	.1,500,000	.1,500,000						.1,500,000					.65,515	07/01/2021	1FE		
744560-BR-6	PUBLIC SERVICE ELECTRIC		03/03/2020	US BANCORP		.259,413	.250,000	.249,178	.249,429		(14)		(14)		.249,443					.2,656	09/15/2026	1FE		
79548K-UV-8	SALOMON BROTHERS MORTGAGE 97 HDU1 B3		02/01/2020	PAYOUT			.27																	
81747C-AU-5	SEQUOIA MORTGAGE TRUST 19-CH2 A19		03/01/2020	PAYOUT		.96,103	.96,103	.98,585	.96,252		(149)		(149)		.96,103					.750	08/25/2049	1FM		
827304-AA-4	PROJECT SILVER 19-1 A		03/15/2020	PAYOUT		.19,231	.19,231	.19,231	.19,202		29		29		.19,231					.127	07/15/2044	1FE		
85211X-BH-1	SPRUCE HILL MORTGAGE LOAN TRUST 19-SH1 A1		03/01/2020	PAYOUT		.146,582	.146,582	.146,580	.146,582		(1)		(1)		.146,582					.820	04/29/2049	1FM		
86212X-AB-6	STORE MASTER FUNDING LLC 19-1 A2		03/20/2020	PAYOUT		.2,573	.2,573	.2,573	.2,568		5		5		.2,573					.16	11/20/2049	1FE		
86358R-XZ-5	STRUCTURED ASSET SECURITIES 02 AL1 A3		03/01/2020	PAYOUT		.2,166	.2,166	.2,018	.2,163		3		3		.2,166					.12	02/25/2032	5FE		
904764-BH-9	UNILEVER CAPITAL CORP		03/03/2020	MORGAN STANLEY & CO		.2,056,060	.2,000,000	.1,981,980	.1,982,497		288		288		.1,982,785					.21,132	09/06/2029	1FE		
92212K-AA-4	VANTAGE DATA CENTERS LLC 19-1A A2		03/15/2020	PAYOUT		.2,500	.2,500	.2,500	.2,500						.2,500					.13	07/15/2044	1FE		
94354K-AA-8	WAVE USA 19-1 A		03/15/2020	PAYOUT		.17,796	.17,796	.17,799	.17,776		24		24		.17,800					.107	09/15/2044	1FE		
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						5,022,152	4,921,030	4,905,770	4,902,706		527		527		4,903,235					.83,245	83,245	95,386	XXX	XXX
8399997. Total - Bonds - Part 4						11,586,422	11,485,300	11,951,345	11,459,583															

## STATEMENT AS OF MARCH 31, 2020 OF THE United Ohio Insurance Company

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain Value at Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation and Admini- strative Symbol
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recog- nized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value							
8999997. Total - Preferred Stocks - Part 4					921,755	XXX	933,753	534,000							933,753		(11,998)	(11,998)	7,500	XXX	XXX
8999998. Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks					921,755	XXX	933,753	534,000							933,753		(11,998)	(11,998)	7,500	XXX	XXX
9799997. Total - Common Stocks - Part 4					XXX															XXX	XXX
9799998. Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks					XXX															XXX	XXX
9899999. Total - Preferred and Common Stocks					921,755	XXX	933,753	534,000							933,753		(11,998)	(11,998)	7,500	XXX	XXX
9999999 - Totals					12,508,177	XXX	12,885,098	11,993,583	(10,148)		(10,148)				12,399,882		72,628	72,628	209,389	XXX	XXX

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open  
**NONE**

Schedule DB - Part B - Section 1 - Futures Contracts Open  
**NONE**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  
**NONE**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open  
**NONE**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By  
**NONE**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To  
**NONE**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees  
**NONE**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned  
**NONE**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned  
**NONE**

## STATEMENT AS OF MARCH 31, 2020 OF THE United Ohio Insurance Company

**SCHEDULE E - PART 1 - CASH**

## Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Citizens Bank ..... Providence, Rhode Island	SD.....	0.000	.1		.1	.1	.1	XXX
Federal Home Loan Bank of Cincinnati ..... Cincinnati, Ohio					779,189	872,858	992,318	XXX
Fifth Third Bank ..... Cincinnati, Ohio	SD.....						.1	XXX
Fifth Third Bank ..... Cincinnati, Ohio			3,582					(3) XXX
Fifth Third Bank ..... Columbus, Ohio			24,646		20,146,139	16,469,640	15,158,938	XXX
United Bank, N.A. ..... Bucyrus, Ohio			7,051		(4,616,646)	(3,789,971)	(2,428,928)	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						XXX
0199999. Totals - Open Depositories	XXX	XXX	35,280		16,308,684	13,552,528	13,722,327	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX						XXX
0399999. Total Cash on Deposit	XXX	XXX	35,280		16,308,684	13,552,528	13,722,327	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
.....								
.....								
.....								
.....								
.....								
0599999. Total - Cash	XXX	XXX	35,280		16,308,684	13,552,528	13,722,327	XXX

STATEMENT AS OF MARCH 31, 2020 OF THE United Ohio Insurance Company

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
0599999. Total - U.S. Government Bonds								
1099999. Total - All Other Government Bonds								
1799999. Total - U.S. States, Territories and Possessions Bonds								
2499999. Total - U.S. Political Subdivisions Bonds								
3199999. Total - U.S. Special Revenues Bonds								
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds								
4899999. Total - Hybrid Securities								
5599999. Total - Parent, Subsidiaries and Affiliates Bonds								
6099999. Subtotal - SVO Identified Funds								
6599999. Subtotal - Unaffiliated Bank Loans								
7699999. Total - Issuer Obligations								
7799999. Total - Residential Mortgage-Backed Securities								
7899999. Total - Commercial Mortgage-Backed Securities								
7999999. Total - Other Loan-Backed and Structured Securities								
8099999. Total - SVO Identified Funds								
8199999. Total - Affiliated Bank Loans								
8299999. Total - Unaffiliated Bank Loans								
8399999. Total Bonds								
60934N-87-2 ..... FEDERATED TREASURY OBLIGA-SS			03/11/2020	.0 .000		.4,894,784		1,661
8599999. Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO						4,894,784		1,661
8899999 - Total Cash Equivalents						4,894,784		1,661



SUPPLEMENT FOR THE QUARTER ENDING MARCH 31, 2020 OF THE United Ohio Insurance Company

## DIRECTOR AND OFFICER INSURANCE COVERAGE SUPPLEMENT

Year To Date For The Period Ended MARCH 31, 2020

NAIC Group Code 0963

NAIC Company Code 13072

Company Name United Ohio Insurance Company .....

If the reporting entity writes any director and officer (D&O) business, please provide the following:

1. Monoline Policies

1 Direct Written Premium	2 Direct Earned Premium	3 Direct Losses Incurred
\$ .....	\$ .....	\$ .....

2. Commercial Multiple Peril (CMP) Packaged Policies

2.1 Does the reporting entity provide D&O liability coverage as part of a CMP packaged policy? ..... Yes [  ] No [  ]

2.2 Can the direct premium earned for D&O liability coverage provided as part of a CMP packaged policy be quantified or estimated? ..... Yes [  ] No [  ]

2.3 If the answer to question 2.2 is yes, provide the quantified or estimated direct premium earned amount for D&O liability coverage in CMP packaged policies

2.31 Amount quantified: ..... \$ .....

2.32 Amount estimated using reasonable assumptions: ..... \$ .....

2.4 If the answer to question 2.1 is yes, provide direct losses incurred (losses paid plus change in case reserves) for the D&O liability coverage provided in CMP packaged policies. ..... \$ .....