

As a result of a comment letter from the NAIC, the March filing is being amended to state that the Supplemental Health Care Exhibit (April filing) will not be filed (since no risk business has been written since 2006). This correction is noted in the Supplemental Interrogatories.



**ANNUAL STATEMENT**  
**FOR THE YEAR ENDING DECEMBER 31, 2019**  
**OF THE CONDITION AND AFFAIRS OF THE**

**The Health Plan of Ohio, Inc.**

(Name)

NAIC Group Code 1297 (Current Period) , 1297 (Prior Period) NAIC Company Code 95195 Employer's ID Number 34-1523541

Organized under the Laws of Ohio, State of Domicile or Port of Entry Ohio

Country of Domicile United States

Licensed as business type: Life, Accident & Health  Property/Casualty  Hospital, Medical & Dental Service or Indemnity   
Dental Service Corporation  Vision Service Corporation  Health Maintenance Organization   
Other  Is HMO, Federally Qualified? Yes  No

Incorporated/Organized 08/14/1986 Commenced Business 01/01/1987

Statutory Home Office 1110 Main Street (Street and Number) Wheeling, WV, US 26003 (City or Town, State, Country and Zip Code)

Main Administrative Office 1110 Main Street (Street and Number) 740-695-3585 (Area Code) (Telephone Number)  
Wheeling, WV, US 26003 (City or Town, State, Country and Zip Code)

Mail Address 1110 Main Street (Street and Number or P.O. Box) Wheeling, WV, US 26003 (City or Town, State, Country and Zip Code)  
Wheeling, WV, US 26003 (City or Town, State, Country and Zip Code) 740-695-3585 (Area Code) (Telephone Number) (Extension)

Internet Web Site Address www.healthplan.org  
Statutory Statement Contact Ryan James Ralston (Name) 740-699-6236 (Area Code) (Telephone Number) (Extension)  
rralston@healthplan.org (E-Mail Address) 740-695-6161 (Fax Number)

**OFFICERS**

|  |                                 |   |                                |
|--|---------------------------------|---|--------------------------------|
| Name<br><u>James M. Pennington</u> ,<br><u>Ryan J. Ralston #</u> , | Title<br>President<br>Treasurer | Name<br><u>Jeff M. Knight</u> ,<br>_____,<br>_____, | Title<br>Interim President/COO |
|--|---------------------------------|---|--------------------------------|

**OTHER OFFICERS**

**DIRECTORS OR TRUSTEES**

|                                 |                               |                                |
|---------------------------------|-------------------------------|--------------------------------|
| <u>Jill Hall</u>                | <u>John Holloway M.D.</u>     | <u>John Gianola</u>            |
| <u>John Wright</u>              | <u>James M Pennington PhD</u> | <u>Edward Polack M.D.</u>      |
| <u>John T McDonald, CPA Jr.</u> | <u>Susan L Buchanan, CPA</u>  | <u>Mark D Lancellotti, CLU</u> |

State of West Virginia ss  
County of Ohio

The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

James M. Pennington  
President

Jeff M. Knight  
Interim President/COO

Ryan J. Ralston  
Treasurer

Subscribed and sworn to before me this  
4th day of May, 2020

a. Is this an original filing? Yes  No

b. If no:

1. State the amendment number 1
2. Date filed 05/04/2020
3. Number of pages attached 1

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of **WAIVED** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

### MARCH FILING

|   | Responses        |
|---|------------------|
| 1. Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1?                   | .....YES.....    |
| 2. Will an actuarial opinion be filed by March 1?   | .....WAIVED..... |
| 3. Will the confidential Risk-based Capital Report be filed with the NAIC by March 1?                           | .....YES.....    |
| 4. Will the confidential Risk-based Capital Report be filed with the state of domicile, if required by March 1? | .....YES.....    |

### APRIL FILING

|  |               |
|--|---------------|
| 5. Will Management's Discussion and Analysis be filed by April 1?              | .....YES..... |
| 6. Will the Supplemental Investment Risks Interrogatories be filed by April 1? | .....YES..... |
| 7. Will the Accident and Health Policy Experience Exhibit be filed by April 1? | .....YES..... |

### JUNE FILING

|  |                  |
|--|------------------|
| 8. Will an audited financial report be filed by June 1?  | .....WAIVED..... |
| 9. Will Accountants Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1? | .....WAIVED..... |

### AUGUST FILING

|   |                  |
|---|------------------|
| 10. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? | .....WAIVED..... |
|---|------------------|

The following supplemental reports are required to be filed as part of your statement filing **if your company is engaged in the type of business covered by the supplement. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below.** If the supplement is required of your company but is not being filed for whatever reason, enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

### MARCH FILING

|  |              |
|--|--------------|
| 11. Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1?   | .....NO..... |
| 12. Will the Supplemental Life data due March 1 be filed with the state of domicile and the NAIC?  | .....NO..... |
| 13. Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1?   | .....NO..... |
| 14. Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 on Exhibit 5 to Life Supplement be filed with the state of domicile and electronically with the NAIC by March 1? | .....NO..... |
| 15. Will the actuarial opinion on non-guaranteed elements as required in Interrogatory 3 to Exhibit 5 to Life Supplement be filed with the state of domicile and electronically with the NAIC by March 1?                              | .....NO..... |
| 16. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1?  | .....NO..... |
| 17. Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1?                                   | .....NO..... |
| 18. Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1?   | .....NO..... |
| 19. Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed with electronically with the NAIC by March 1?  | .....NO..... |

### APRIL FILING

|   |               |
|---|---------------|
| 20. Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1?   | .....NO.....  |
| 21. Will the Supplemental Life data due April 1 be filed with the state of domicile and the NAIC?   | .....NO.....  |
| 22. Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1?   | .....NO.....  |
| 23. Will the regulator only (non-public) Supplemental Health Care Exhibit's Allocation Report be filed with the state of domicile and the NAIC by April 1?  | .....YES..... |
| 24. Will the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit be filed with the state of domicile and the NAIC by April 1?                                  | .....NO.....  |
| 25. Will the Adjustments to the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit (if required) be filed with the state of domicile and the NAIC by April 1? | .....NO.....  |

### AUGUST FILING

|  |              |
|--|--------------|
| 26. Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1? | .....NO..... |
|--|--------------|

**Explanation:**

**Bar code:**



**SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES**

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