

**We filed an amended copy on June 10 that did not include updates to Schedule S Part 7 as well as Note 1. Note 30 was not included in the electronic file of the original. All of this should be captured with this filing.**



LIFE, AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

## ANNUAL STATEMENT

FOR THE YEAR ENDED DECEMBER 31, 2019  
OF THE CONDITION AND AFFAIRS OF THE

### United Transportation Union Insurance Association

NAIC Group Code 0000 NAIC Company Code 56413 Employer's ID Number 23-7131460  
(Current) (Prior)

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Licensed as business type: Life, Accident and Health [ ] Fraternal Benefit Societies [ X ]

Incorporated/Organized 11/16/1970 Commenced Business 03/10/1971

Statutory Home Office 24950 Country Club Blvd Ste 340, North Olmsted, OH, US 44070-5333  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 24950 Country Club Blvd Ste 340  
(Street and Number) North Olmsted, OH, US 44070-5333, 216-228-9400  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 24950 Country Club Blvd Ste 340, North Olmsted, OH, US 44070-5333  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 24950 Country Club Blvd Ste 340  
(Street and Number) North Olmsted, OH, US 44070-5333, 216-228-9400  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address utuia.org

Statutory Statement Contact Jeffery A Becker, 216-228-9400  
(Name) jbecker@utuia.org, 216-228-0411  
(E-mail Address) (FAX Number)

#### OFFICERS

President Kenneth L Laugel Treasurer Jeffery A Becker  
Secretary Jeffery A Becker

#### OTHER

#### DIRECTORS OR TRUSTEES

Jeremy R Ferguson William Harrington Gregory Hynes  
Troy Johnson Nicholas J Dicicco Jr Richard A Kusnic Sr  
Patrick Sullivan Doyle Turner

State of Ohio SS: \_\_\_\_\_  
County of USA

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Kenneth L Laugel  
President

Jeffery A Becker  
Secretary

Jeffery A Becker  
Treasurer

Subscribed and sworn to before me this  
day of \_\_\_\_\_

a. Is this an original filing? ..... Yes [ ] No [ X ]  
b. If no,  
1. State the amendment number..... 2  
2. Date filed ..... 06/30/2020  
3. Number of pages attached..... 2

Erin McKeever  
Underwriter

**SCHEDULE S - PART 7**

Restatement of Balance Sheet to Identify Net Credit for Ceded Reinsurance

	1 As Reported (net of ceded)	2 Restatement Adjustments	3 Restated (gross of ceded)
<b>ASSETS (Page 2, Col. 3)</b>			
1. Cash and invested assets (Line 12) .....	227,684,321		227,684,321
2. Reinsurance (Line 16) .....	0	0	0
3. Premiums and considerations (Line 15) .....	139,490	0	139,490
4. Net credit for ceded reinsurance .....	XXX	220,643	220,643
5. All other admitted assets (balance) .....	2,206,535		2,206,535
6. Total assets excluding Separate Accounts (Line 26) .....	230,030,346	220,643	230,250,989
7. Separate Account assets (Line 27) .....	0		0
8. Total assets (Line 28) .....	230,030,346	220,643	230,250,989
<b>LIABILITIES, CAPITAL AND SURPLUS (Page 3)</b>			
9. Contract reserves (Lines 1 and 2) .....	158,809,681	220,643	159,030,324
10. Liability for deposit-type contracts (Line 3) .....	3,569,283		3,569,283
11. Claim reserves (Line 4) .....	1,146,851	0	1,146,851
12. Policyholder dividends/member refunds/reserves (Lines 5 through 7) .....	0		0
13. Premium & annuity considerations received in advance (Line 8) .....	373,577		373,577
14. Other contract liabilities (Line 9) .....	3,048,905		3,048,905
15. Reinsurance in unauthorized companies (Line 24.02 minus inset amount) .....	0	0	0
16. Funds held under reinsurance treaties with unauthorized reinsurers (Line 24.03 minus inset amount) .....	0		0
17. Reinsurance with Certified Reinsurers (Line 24.02 inset amount) .....	0		0
18. Funds held under reinsurance treaties with Certified Reinsurers (Line 24.03 inset amount) .....	0		0
19. All other liabilities (balance) .....	4,929,429		4,929,429
20. Total liabilities excluding Separate Accounts (Line 26) .....	171,877,726	220,643	172,098,369
21. Separate Account liabilities (Line 27) .....			0
22. Total liabilities (Line 28) .....	171,877,726	220,643	172,098,369
23. Capital & surplus (Line 38) .....	58,152,621	XXX	58,152,621
24. Total liabilities, capital & surplus (Line 39) .....	230,030,347	220,643	230,250,990
<b>NET CREDIT FOR CEDED REINSURANCE</b>			
25. Contract reserves .....	220,643		
26. Claim reserves .....	0		
27. Policyholder dividends/reserves .....	0		
28. Premium & annuity considerations received in advance .....	0		
29. Liability for deposit-type contracts .....	0		
30. Other contract liabilities .....	0		
31. Reinsurance ceded assets .....	0		
32. Other ceded reinsurance recoverables .....	0		
33. Total ceded reinsurance recoverables .....	220,643		
34. Premiums and considerations .....	0		
35. Reinsurance in unauthorized companies .....	0		
36. Funds held under reinsurance treaties with unauthorized reinsurers .....	0		
37. Reinsurance with Certified Reinsurers .....	0		
38. Funds held under reinsurance treaties with Certified Reinsurers .....	0		
39. Other ceded reinsurance payables/offsets .....	0		
40. Total ceded reinsurance payable/offsets .....	0		
41. Total net credit for ceded reinsurance .....	220,643		