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ANNUAL STATEMENT
FOR THE YEAR ENDING DECEMBER 31, 2019
OF THE CONDITION AND AFFAIRS OF THE

UDC OHIO, INC.

(Name)

NAIC Group Code 00549 (Current Period) , 00549 (Prior Period) NAIC Company Code 52022 Employer's ID Number 74-2609036

Organized under the Laws of Ohio , State of Domicile or Port of Entry Ohio

Country of Domicile United States

Licensed as business type: Life, Accident & Health [] Property/Casualty [] Hospital, Medical & Dental Service or Indemnity []
Dental Service Corporation [] Vision Service Corporation [] Health Maintenance Organization []
Other [X] Is HMO, Federally Qualified? Yes [] No []

Incorporated/Organized 04/20/1990 Commenced Business 05/17/1990

Statutory Home Office 3151 Pfeiffer Road, Suite 200 (Street and Number) , Blue Ash, OH, US 45242 (City or Town, State, Country and Zip Code)

Main Administrative Office One Sun Life Executive Park (Street and Number)

Wellesley Hills, MA, US 02481 (City or Town, State, Country and Zip Code) 781-416-3184 (Area Code) (Telephone Number)

Mail Address One Sun Life Executive Park (Street and Number or P.O. Box) , Wellesley Hills, MA, US 02481 (City or Town, State, Country and Zip Code)

Primary Location of Books and Records One Sun Life Executive Park (Street and Number)

Wellesley Hills, MA, US 02481 (City or Town, State, Country and Zip Code) 781-416-3184 (Area Code) (Telephone Number) (Extension)

Internet Web Site Address N/A

Statutory Statement Contact Elizabeth Burkhardt (Name) , 781-416-3184 (Area Code) (Telephone Number) (Extension)
state.filings@sunlife.com (E-Mail Address) 781-446-1779 (Fax Number)

OFFICERS

| Name | Title | Name | Title |
|------------------------|-----------|------------------------|-----------|
| STACIA NALANI ALMQUIST | PRESIDENT | COLLEEN LOUISE KALLAS | SECRETARY |
| AMY JO GOERKE | TREASURER | ERIC STEVEN VANDENBERG | ACTUARY |

OTHER OFFICERS

DIRECTORS OR TRUSTEES

STACIA NALANI ALMQUIST

State of MISSOURI

ss

County of JACKSON

The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions* and *Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

STACIA NALANI ALMQUIST
PRESIDENT

COLLEEN LOUISE KALLAS
SECRETARY

AMY JO GOERKE
TREASURER

Subscribed and sworn to before me this
day of FEBRUARY, 2020

a. Is this an original filing? Yes [X] No []
b. If no:
1. State the amendment number
2. Date filed
3. Number of pages attached

ROBIN L. PIERCE, NOTARY PUBLIC
SEPTEMBER 23, 2020

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EXHIBIT 3 - HEALTH CARE RECEIVABLES

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EXHIBIT 3A – ANALYSIS OF HEALTH CARE RECEIVABLES COLLECTED AND ACCRUED

| Type of Health Care Receivables | Health Care Receivables Collected During the Year | | Health Care Receivables Accrued as of December 31 of Current Year | | 5 | 6 |
|---|--|---|--|--|---|---|
| | 1 On Amounts Accrued Prior to January 1 of Current Year | 2 On Claims Accrued During the Year | 3 On Amounts Accrued December 31 of Prior Year | 4 On Amounts Accrued During the Year | Health Care Receivables in Prior Years (Columns 1 + 3) | Estimated Health Care Receivables Accrued as of December 31 of Prior Year |
| 1. Pharmaceutical rebate receivables | | | | | .0 | |
| 2. Claim overpayment receivables | | | | | .0 | |
| 3. Loans and advances to providers | | | | | .0 | |
| 4. Capitation arrangement receivables | | | | 11,710 | .0 | 15,087 |
| 5. Risk sharing receivables | | | | | .0 | |
| 6. Other health care receivables | | | | | .0 | |
| 7. Totals (Lines 1 through 6) | 0 | 0 | 0 | 11,710 | 0 | 15,087 |

Note that the accrued amounts in Columns 3, 4 and 6 are the total health care receivables, not just the admitted portion.

EXHIBIT 4 – CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

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ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UDC OHIO, INC.

EXHIBIT 5 - AMOUNTS DUE FROM PARENT, SUBSIDIARIES AND AFFILIATES

[illegible]

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UDC OHIO, INC.

EXHIBIT 6 - AMOUNTS DUE TO PARENT, SUBSIDIARIES AND AFFILIATES

[illegible]

EXHIBIT 7 - PART 1- SUMMARY OF TRANSACTIONS WITH PROVIDERS

EXHIBIT 7 - PART 2 - SUMMARY OF TRANSACTIONS WITH INTERMEDIARIES

NONE

EXHIBIT 8 – FURNITURE, EQUIPMENT AND SUPPLIES OWNED

| Description | 1 | 2 | 3 | 4 | 5 | 6 |
|--|------|--------------|--------------------------|------------------------------|---------------------|---------------------|
| | Cost | Improvements | Accumulated Depreciation | Book Value Less Encumbrances | Assets Not Admitted | Net Admitted Assets |
| 1. Administrative furniture and equipment | NONE | | | | | |
| 2. Medical furniture, equipment and fixtures | | | | | | |
| 3. Pharmaceuticals and surgical supplies | | | | | | |
| 4. Durable medical equipment | | | | | | |
| 5. Other property and equipment | | | | | | |
| 6. Total | 0 | 0 | 0 | 0 | 0 | 0 |



ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UDC OHIO, INC.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

| | | | | | | | | | | |
|---|-------|---------------------------------------|-------|-----------------------------------|----------------|-------------------|--|-------------------------|-----------------------|-------------------|
| REPORT FOR: 1. CORPORATION | | UDC OHIO, INC. | | | | 2. Blue Ash, Ohio | | | | |
| NAIC Group Code | | 00549 | | BUSINESS IN THE STATE OF Kentucky | | | DURING THE YEAR 2019 | | | (LOCATION) |
| | | | | | | | | | | NAIC Company Code |
| | | | | | | | | | | 52022 |
| | 1 | Comprehensive (Hospital & Medical) | | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| | | 2 | 3 | | | | | | | |
| | Total | Individual | Group | Medicare Supplement | Vision Only | Dental Only | Federal Employees Health Benefit Plan | Title XVIII Medicare | Title XIX Medicaid | Other |
| Total Members at end of: | | | | | | | | | | |
| 1. Prior Year | 0 | | | | | | | | | |
| 2. First Quarter | 0 | | | | | | | | | |
| 3. Second Quarter | 0 | | | | | | | | | |
| 4. Third Quarter | 0 | | | | | | | | | |
| 5. Current Year | 0 | | | | | | | | | |
| 6. Current Year Member Months | 0 | | | | | | | | | |
| Total Member Ambulatory Encounters for Year: | | | | | | | | | | |
| 7. Physician | 0 | | | | | | | | | |
| 8. Non-Physician | 0 | | | | | | | | | |
| 9. Total | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10. Hospital Patient Days Incurred | 0 | | | | | | | | | |
| 11. Number of Inpatient Admissions | 0 | | | | | | | | | |
| 12. Health Premiums Written (b)..... | 0 | | | | | | | | | |
| 13. Life Premiums Direct..... | 0 | | | | | | | | | |
| 14. Property/Casualty Premiums Written..... | 0 | | | | | | | | | |
| 15. Health Premiums Earned..... | 0 | | | | | | | | | |
| 16. Property/Casualty Premiums Earned | 0 | | | | | | | | | |
| 17. Amount Paid for Provision of Health Care Services | 0 | | | | | | | | | |
| 18. Amount Incurred for Provision of Health Care Services | 0 | | | | | | | | | |

(a) For health business: number of persons insured under PPO managed care products and number of persons insured under indemnity only products

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$



ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UDC OHIO, INC.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

| | | | | | | | | | | |
|---|-------|---------------------------------------|-------|------------------------|----------------|-------------------------|--|-------------------------|-----------------------|-------|
| REPORT FOR: 1. CORPORATION | | UDC OHIO, INC. | | 2. Blue Ash, Ohio | | (LOCATION) | | | | |
| NAIC Group Code | 00549 | BUSINESS IN THE STATE OF Ohio | | DURING THE YEAR 2019 | | NAIC Company Code 52022 | | | | |
| | 1 | Comprehensive (Hospital & Medical) | | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| | | 2 | 3 | | | | | | | |
| | Total | Individual | Group | Medicare Supplement | Vision Only | Dental Only | Federal Employees Health Benefit Plan | Title XVIII Medicare | Title XIX Medicaid | Other |
| Total Members at end of: | | | | | | | | | | |
| 1. Prior Year | | 4,374 | | | | 4,374 | | | | |
| 2. First Quarter | | 3,671 | | | | 3,671 | | | | |
| 3. Second Quarter | | 3,642 | | | | 3,642 | | | | |
| 4. Third Quarter | | 3,326 | | | | 3,326 | | | | |
| 5. Current Year | | 3,166 | | | | 3,166 | | | | |
| 6. Current Year Member Months | | 41,748 | | | | 41,748 | | | | |
| Total Member Ambulatory Encounters for Year: | | | | | | | | | | |
| 7. Physician | | 0 | | | | | | | | |
| 8. Non-Physician | | 720 | | | | 720 | | | | |
| 9. Total | | 720 | 0 | 0 | 0 | 720 | 0 | 0 | 0 | 0 |
| 10. Hospital Patient Days Incurred | | 0 | | | | | | | | |
| 11. Number of Inpatient Admissions | | 0 | | | | | | | | |
| 12. Health Premiums Written (b)..... | | 380,449 | | | | 380,449 | | | | |
| 13. Life Premiums Direct..... | | 0 | | | | | | | | |
| 14. Property/Casualty Premiums Written..... | | 0 | | | | | | | | |
| 15. Health Premiums Earned..... | | 381,862 | | | | 381,862 | | | | |
| 16. Property/Casualty Premiums Earned | | 0 | | | | | | | | |
| 17. Amount Paid for Provision of Health Care Services | | 168,239 | | | | 168,239 | | | | |
| 18. Amount Incurred for Provision of Health Care Services | | 165,477 | | | | 165,477 | | | | |

(a) For health business: number of persons insured under PPO managed care products3,166 and number of persons insured under indemnity only products0

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$0

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ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UDC OHIO, INC.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION

UDC OHIO, INC.

2. Blue Ash, Ohio

| NAIC Group Code | | 00549 | | BUSINESS IN THE STATE OF Consolidated | | DURING THE YEAR 2019 | | | | | (LOCATION) | | | NAIC Company Code | | 52022 | | | |
|---|--|---------|--|---------------------------------------|-------|------------------------|--|----------------|--|----------------|------------|--|--|-------------------------|--|-----------------------|--|-------|--|
| | | 1 | | Comprehensive (Hospital & Medical) | | 4 | | 5 | | 6 | | 7 | | 8 | | 9 | | 10 | |
| | | | | 2 | 3 | | | | | | | | | | | | | | |
| | | Total | | Individual | Group | Medicare Supplement | | Vision Only | | Dental Only | | Federal Employees Health Benefit Plan | | Title XVIII Medicare | | Title XIX Medicaid | | Other | |
| Total Members at end of: | | | | | | | | | | | | | | | | | | | |
| 1. Prior Year | | 4,374 | | 0 | 0 | 0 | | 0 | | 4,374 | | 0 | | 0 | | 0 | | 0 | |
| 2. First Quarter | | 3,671 | | 0 | 0 | 0 | | 0 | | 3,671 | | 0 | | 0 | | 0 | | 0 | |
| 3. Second Quarter | | 3,642 | | 0 | 0 | 0 | | 0 | | 3,642 | | 0 | | 0 | | 0 | | 0 | |
| 4. Third Quarter | | 3,326 | | 0 | 0 | 0 | | 0 | | 3,326 | | 0 | | 0 | | 0 | | 0 | |
| 5. Current Year | | 3,166 | | 0 | 0 | 0 | | 0 | | 3,166 | | 0 | | 0 | | 0 | | 0 | |
| 6. Current Year Member Months | | 41,748 | | 0 | 0 | 0 | | 0 | | 41,748 | | 0 | | 0 | | 0 | | 0 | |
| Total Member Ambulatory Encounters for Year: | | | | | | | | | | | | | | | | | | | |
| 7. Physician | | 0 | | 0 | 0 | 0 | | 0 | | 0 | | 0 | | 0 | | 0 | | 0 | |
| 8. Non-Physician | | 720 | | 0 | 0 | 0 | | 0 | | 720 | | 0 | | 0 | | 0 | | 0 | |
| 9. Total | | 720 | | 0 | 0 | 0 | | 0 | | 720 | | 0 | | 0 | | 0 | | 0 | |
| 10. Hospital Patient Days Incurred | | 0 | | 0 | 0 | 0 | | 0 | | 0 | | 0 | | 0 | | 0 | | 0 | |
| 11. Number of Inpatient Admissions | | 0 | | 0 | 0 | 0 | | 0 | | 0 | | 0 | | 0 | | 0 | | 0 | |
| 12. Health Premiums Written (b)..... | | 380,449 | | 0 | 0 | 0 | | 0 | | 380,449 | | 0 | | 0 | | 0 | | 0 | |
| 13. Life Premiums Direct..... | | 0 | | 0 | 0 | 0 | | 0 | | 0 | | 0 | | 0 | | 0 | | 0 | |
| 14. Property/Casualty Premiums Written..... | | 0 | | 0 | 0 | 0 | | 0 | | 0 | | 0 | | 0 | | 0 | | 0 | |
| 15. Health Premiums Earned..... | | 381,862 | | 0 | 0 | 0 | | 0 | | 381,862 | | 0 | | 0 | | 0 | | 0 | |
| 16. Property/Casualty Premiums Earned | | 0 | | 0 | 0 | 0 | | 0 | | 0 | | 0 | | 0 | | 0 | | 0 | |
| 17. Amount Paid for Provision of Health Care Services | | 168,239 | | 0 | 0 | 0 | | 0 | | 168,239 | | 0 | | 0 | | 0 | | 0 | |
| 18. Amount Incurred for Provision of Health Care Services | | 165,477 | | 0 | 0 | 0 | | 0 | | 165,477 | | 0 | | 0 | | 0 | | 0 | |

(a) For health business: number of persons insured under PPO managed care products3,166 and number of persons insured under indemnity only products0

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$0

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Schedule S - Part 1 - Section 2

NONE

Schedule S - Part 2

NONE

Schedule S - Part 3 - Section 2

NONE

Schedule S - Part 4

NONE

Schedule S - Part 5

NONE

Schedule S - Part 6

NONE

SCHEDULE S - PART 7

Restatement of Balance Sheet to Identify Net Credit For Ceded Reinsurance

| | 1 | 2 | 3 |
|---|-------------------------------|----------------------------|------------------------------|
| | As Reported (net of ceded) | Restatement Adjustments | Restated (gross of ceded) |
| ASSETS (Page 2, Col. 3) | | | |
| 1. Cash and invested assets (Line 12) | 860,438 | | 860,438 |
| 2. Accident and health premiums due and unpaid (Line 15)..... | 2,232 | | 2,232 |
| 3. Amounts recoverable from reinsurers (Line 16.1)..... | 0 | | 0 |
| 4. Net credit for ceded reinsurance..... | XXX | 0 | 0 |
| 5. All other admitted assets (Balance)..... | 1,426 | | 1,426 |
| 6. Total assets (Line 28) | 864,096 | 0 | 864,096 |
| LIABILITIES, CAPITAL AND SURPLUS (Page 3) | | | |
| 7. Claims unpaid (Line 1)..... | 8,712 | 0 | 8,712 |
| 8. Accrued medical incentive pool and bonus payments (Line 2)..... | 0 | | 0 |
| 9. Premiums received in advance (Line 8)..... | 8,618 | | 8,618 |
| 10. Funds held under reinsurance treaties with authorized and unauthorized reinsurers (Line 19, first inset amount plus second inset amount) | 0 | | 0 |
| 11. Reinsurance in unauthorized companies (Line 20 minus inset amount)..... | 0 | | 0 |
| 12. Reinsurance with Certified Reinsurers (Line 20 inset amount)..... | 0 | | 0 |
| 13. Funds held under reinsurance treaties with Certified Reinsurers (Line 19 third inset amount)..... | 0 | | 0 |
| 14. All other liabilities (Balance)..... | 59,946 | | 59,946 |
| 15. Total liabilities (Line 24)..... | 77,276 | 0 | 77,276 |
| 16. Total capital and surplus (Line 33)..... | 786,820 | XXX | 786,820 |
| 17. Total liabilities, capital and surplus (Line 34) | 864,096 | 0 | 864,096 |
| NET CREDIT FOR CEDED REINSURANCE | | | |
| 18. Claims unpaid..... | 0 | | |
| 19. Accrued medical incentive pool..... | 0 | | |
| 20. Premiums received in advance | 0 | | |
| 21. Reinsurance recoverable on paid losses | 0 | | |
| 22. Other ceded reinsurance recoverables | 0 | | |
| 23. Total ceded reinsurance recoverables | 0 | | |
| 24. Premiums receivable | 0 | | |
| 25. Funds held under reinsurance treaties with authorized and unauthorized reinsurers | 0 | | |
| 26. Unauthorized reinsurance | 0 | | |
| 27. Reinsurance with Certified Reinsurers..... | 0 | | |
| 28. Funds held under reinsurance treaties with Certified Reinsurers..... | 0 | | |
| 29. Other ceded reinsurance payables/offsets | 0 | | |
| 30. Total ceded reinsurance payables/offsets | 0 | | |
| 31. Total net credit for ceded reinsurance | 0 | | |

SCHEDULE T – PART 2
INTERSTATE COMPACT – EXHIBIT OF PREMIUMS WRITTEN

Allocated By States and Territories

| States, Etc. | | Direct Business Only | | | | | |
|------------------------------|-----|-------------------------------------|---------------------------------------|--|---|-----------------------------|-------------|
| | | 1 Life (Group and Individual) | 2 Annuities (Group and Individual) | 3 Disability Income (Group and Individual) | 4 Long-Term Care (Group and Individual) | 5 Deposit-Type Contracts | 6 Totals |
| 1. Alabama | AL | | | | | | 0 |
| 2. Alaska | AK | | | | | | 0 |
| 3. Arizona | AZ | | | | | | 0 |
| 4. Arkansas | AR | | | | | | 0 |
| 5. California | CA | | | | | | 0 |
| 6. Colorado | CO | | | | | | 0 |
| 7. Connecticut | CT | | | | | | 0 |
| 8. Delaware | DE | | | | | | 0 |
| 9. District of Columbia | DC | | | | | | 0 |
| 10. Florida | FL | | | | | | 0 |
| 11. Georgia | GA | | | | | | 0 |
| 12. Hawaii | HI | | | | | | 0 |
| 13. Idaho | ID | | | | | | 0 |
| 14. Illinois | IL | | | | | | 0 |
| 15. Indiana | IN | | | | | | 0 |
| 16. Iowa | IA | | | | | | 0 |
| 17. Kansas | KS | | | | | | 0 |
| 18. Kentucky | KY | | | | | | 0 |
| 19. Louisiana | LA | | | | | | 0 |
| 20. Maine | ME | | | | | | 0 |
| 21. Maryland | MD | | | | | | 0 |
| 22. Massachusetts | MA | | | | | | 0 |
| 23. Michigan | MI | | | | | | 0 |
| 24. Minnesota | MN | | | | | | 0 |
| 25. Mississippi | MS | | | | | | 0 |
| 26. Missouri | MO | | | | | | 0 |
| 27. Montana | MT | | | | | | 0 |
| 28. Nebraska | NE | | | | | | 0 |
| 29. Nevada | NV | | | | | | 0 |
| 30. New Hampshire | NH | | | | | | 0 |
| 31. New Jersey | NJ | | | | | | 0 |
| 32. New Mexico | NM | | | | | | 0 |
| 33. New York | NY | | | | | | 0 |
| 34. North Carolina | NC | | | | | | 0 |
| 35. North Dakota | ND | | | | | | 0 |
| 36. Ohio | OH | | | | | | 0 |
| 37. Oklahoma | OK | | | | | | 0 |
| 38. Oregon | OR | | | | | | 0 |
| 39. Pennsylvania | PA | | | | | | 0 |
| 40. Rhode Island | RI | | | | | | 0 |
| 41. South Carolina | SC | | | | | | 0 |
| 42. South Dakota | SD | | | | | | 0 |
| 43. Tennessee | TN | | | | | | 0 |
| 44. Texas | TX | | | | | | 0 |
| 45. Utah | UT | | | | | | 0 |
| 46. Vermont | VT | | | | | | 0 |
| 47. Virginia | VA | | | | | | 0 |
| 48. Washington | WA | | | | | | 0 |
| 49. West Virginia | WV | | | | | | 0 |
| 50. Wisconsin | WI | | | | | | 0 |
| 51. Wyoming | WY | | | | | | 0 |
| 52. American Samoa | AS | | | | | | 0 |
| 53. Guam | GU | | | | | | 0 |
| 54. Puerto Rico | PR | | | | | | 0 |
| 55. US Virgin Islands | VI | | | | | | 0 |
| 56. Northern Mariana Islands | MP | | | | | | 0 |
| 57. Canada | CAN | | | | | | 0 |
| 58. Aggregate Other Alien | OT | | | | | | 0 |
| 59. Totals | | 0 | 0 | 0 | 0 | 0 | 0 |

NONE

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|--------------------------|-------------------|------------|--------------|------------|--|---|----------------------|----------------------------------|--|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 00549 | Sun Life Financial Group | 00000 | 98-0226074 | | 0001097362 | TSX, NYSE, PSE | Sun Life Financial Inc | CAN | UIP | Common Shareholders | Ownership | 100.0 | Common Shareholders | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 0936543 BC Ltd | CAN | NIA | BentalGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 10 Dundas Street Ltd | CAN | NIA | BentalGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 10 Ronrose Drive GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 10851744 Canada Inc | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 10851779 Canada Inc | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 1090 Pender Properties Ltd | CAN | NIA | BentalGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 11096800 Canada Inc | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 121 King Street West I GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 121 King Street West II GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 121 King Street West III GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 121 King Street West Ltd | CAN | NIA | BentalGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 1250 RLB GP Inc | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 1250 RLB Limited Partnership | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 1250 RLB Limited Partnership | CAN | NIA | 1250 RLB GP Inc | Ownership | 0.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 13th Avenue SE GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 13th Avenue SW GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 1467 Bathurst Holdings Inc | CAN | NIA | BK Prime Ontario I GP Inc | Ownership | 50.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 1600 Rene-Levesque GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 1600 Rene-Levesque Ltd | CAN | NIA | BentalGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 1642 Merivale Road GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 1687 Cliveden Avenue Holdings Ltd | CAN | NIA | BentalGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 18 Parkshore Drive GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 1855 and 1875 Buckhorn Gate GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|-------------------------------|-------------------|-----------|--------------|------------|--|--|----------------------|----------------------------------|---|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | 188 St. Lands Ltd..... 2025 Willingdon Avenue Holdings Ltd..... | CAN..... | NIA..... | Sun Life Assurance Company of Canada..... BentalGreenOak (Canada) Limited Partnership..... | Ownership..... | 100.0 | Sun Life Financial Inc..... Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | | CAN..... | NIA..... | | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | 2-8 St. Thomas Holdings Inc..... | CAN..... | NIA..... | 2-8 St. Thomas Street GP Inc..... | Ownership..... | 50.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | 2-8 St. Thomas Street GP Inc..... 290-300 King George Road GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | | CAN..... | NIA..... | BK Prime GP Holdco Inc..... MFS Institutional Advisors, Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | 3060097 Nova Scotia Company..... | CAN..... | NIA..... | | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | 5100 Spectrum Way GP Inc..... 52nd Street Business Centre GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | 550 Burrard I GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | 550 Burrard II GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | 550 Burrard Street Ltd..... | CAN..... | NIA..... | 0936543 BC Ltd..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | 5825 Explorer Drive GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | 5875 Explorer Drive GP Inc..... 600 de Maisonneuve Ltée/600 de Maisonneuve Ltd..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | | CAN..... | NIA..... | BK Prime Quebec I GP Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | 6324983 Canada Inc..... | CAN..... | NIA..... | Sun Life Financial Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | 6425411 Canada Inc..... | CAN..... | NIA..... | SLI Investments LP..... Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | 6965083 Canada Inc..... | CAN..... | NIA..... | | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | 7037457 Canada Inc..... | CAN..... | NIA..... | 6965083 Canada Inc..... BentalGreenOak (Canada) Limited Partnership..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | 715-725 Eaton Way Holdings Ltd..... | CAN..... | NIA..... | | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | 7277733 Canada Inc..... | CAN..... | NIA..... | BK Prime Quebec I GP Inc..... Sun Life Assurance Company of Canada..... | Ownership..... | 50.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | 7647913 Canada Inc..... | CAN..... | NIA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | 7647930 Canada Inc..... | CAN..... | NIA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | 77 Metcalfe Street II GP Inc..... 787 Cliveden Place Holdings Ltd..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... BentalGreenOak (Canada) Limited Partnership..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | | CAN..... | NIA..... | | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |

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SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|--------------------------|-------------------|------------|--------------|------------|--|--|----------------------|----------------------------------|---|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 8400 River Road Holdings Ltd. | CAN | NIA | BentallGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 8750840 Canada Inc. | CAN | NIA | Sun Life Canadian Real Estate Fund | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 90 Harbour Limited Partnership | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 30.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | 901 King West Nominee Inc. | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 50.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Aditya Birla Sun Life AMC (Mauritius) Limited | MUS | NIA | Aditya Birla Sun Life AMC Limited | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Aditya Birla Sun Life AMC Limited | IND | NIA | Sun Life (India) AMC Investments Inc. | Ownership | 49.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Aditya Birla Sun Life Asset Management Company Ltd. | ARE | NIA | Aditya Birla Sun Life AMC Limited | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Aditya Birla Sun Life Asset Management Company Pte. Ltd. | SGP | NIA | Aditya Birla Sun Life AMC Limited | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Aditya Birla Sun Life Insurance Company Limited | IND | IA | Sun Life Financial (India) Insurance Investments Inc. | Ownership | 49.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Aditya Birla Sun Life Pension Management Limited | IND | NIA | Aditya Birla Sun Life Insurance Company Limited | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Aditya Birla Sun Life Trustee Private Limited | IND | NIA | Sun Life (India) AMC Investments Inc. | Ownership | 49.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Airport 407 Business Campus GP Inc. | CAN | NIA | BK Prime GP Holdco Inc. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Annemasse Boisbriand Holdings L.P. | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 99.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Annemasse Boisbriand Holdings L.P. | CAN | NIA | 7647930 Canada Inc. | Ownership | 1.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Barnwood Properties Limited | GBR | NIA | Sun Life Assurance Company of Canada (U.K.) Limited | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Bentall Kennedy (Luxembourg) G.P. Sarl | LUX | NIA | BGO Luxembourg Holdings Ltd. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Bentall Kennedy Holdings (Canada) Ltd. | CAN | NIA | BentallGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Bentall Kennedy Prime Canadian Property Fund GP Inc. | CAN | NIA | BentallGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Bentall Kennedy Prime Canadian Property Pool GP Inc. | CAN | NIA | BentallGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 81-3502546 | | 0001097362 | | Bentall Kennedy U.S. Strategic Value Fund GP, L.L.C. | DE | NIA | BentallGreenOak (U.S.) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 81-3468348 | | 0001097362 | | Bentall Kennedy U.S. Strategic Value Fund, L.P. | DE | NIA | Sun Life Financial (U.S.) Investments LLC | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 81-3468348 | | 0001097362 | | Bentall Kennedy U.S. Strategic Value Fund, L.P. | DE | NIA | Bentall Kennedy U.S. Strategic Value Fund GP, L.L.C. | Ownership | 0.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Bentall Property Fund VI General Partner Inc. | CAN | NIA | BentallGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc. | N | |

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SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|--------------------------|-------------------|------------|--------------|------------|--|---|----------------------|----------------------------------|--|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Bentall Property Fund VI Holdings Inc. | CAN | NIA | BentallGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Bentall Property Services (Ontario) Ltd. | CAN | NIA | BentallGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | BentallGreenOak (Canada) GP Ltd. | CAN | NIA | BK Canada Holdings Inc. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | BentallGreenOak (Canada) Limited Partnership | CAN | NIA | BK Canada Holdings Inc. | Ownership | 56.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 20-5270592 | | 0001097362 | | BentallGreenOak (U.S.) GP LLC | DE | NIA | Sun Life Investment Management U.S., Inc. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 20-5300937 | | 0001097362 | | BentallGreenOak (U.S.) Limited Partnership | DE | NIA | Sun Life Investment Management U.S., Inc. | Ownership | 56.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 98-1367181 | | 0001097362 | | BentallGreenOak Advisors (Korea) Limited | KOR | NIA | BGO Holdings (Cayman), LP | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 98-0688174 | | 0001097362 | | BentallGreenOak Advisors (UK) LLP | GBR | NIA | GreenOak Real Estate Services Ltd. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 98-1070246 | | 0001097362 | | BentallGreenOak Asset Management (Germany) GmbH | DEU | NIA | BentallGreenOak Advisors (UK) LLP | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | BentallGreenOak High Yield Canadian Property Fund I Limited Partnership | CAN | NIA | BKHY Lower Holdco Inc. | Ownership | 15.7 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | BentallGreenOak High Yield Canadian Property Fund I Limited Partnership | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 12.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | BentallGreenOak High Yield Canadian Property Fund I Limited Partnership | CAN | NIA | HYPF Fund I GP Limited Partnership | Ownership | 0.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 45-1599282 | | 0001097362 | | BentallGreenOak Real Estate US LLC | DE | NIA | BGO US Real Estate LP | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | BentallGreenOak UK Secured Lending III GP Ltd. | GBR | NIA | BGO Holdings (Cayman), LP | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | BentallGreenOak UK Secured Lending III LP | GBR | NIA | BentallGreenOak UK Secured Lending III GP Ltd. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | BentallGreenOak UK Tactical Lending GP Limited | GBR | NIA | BGO Holdings (Cayman), LP | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | BentallGreenOak UK Tactical Lending LP | GBR | NIA | BentallGreenOak UK Tactical Lending GP Limited | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 84-3793856 | | 0001097362 | | BentallGreenOak US Core Plus Fund LP | DE | NIA | BentallGreenOak US Core Plus GP LLC | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | BentallGreenOak US Core Plus GP LLC | DE | NIA | BentallGreenOak (U.S.) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 84-3805305 | | 0001097362 | | BentallGreenOak US Core Plus LF-A LP | DE | NIA | BentallGreenOak US Core Plus Fund LP | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | BestServe Financial Limited | HKG | NIA | Sun Life Assurance Company of Canada | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | BGO Capital (Canada) Inc. | CAN | NIA | BentallGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc. | N | |

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PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|-------------------------------|-------------------|-----------------|--------------|-----------------|--|---|----------------------|----------------------------------|---|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 00549..... | Sun Life Financial Group..... | 00000..... | 32-0466479..... | | 0001097362..... | | BGO Corporate Holdings (US) LLC..... | DE..... | NIA..... | BentalIGreenOak (U.S.) Limited Partnership..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 81-4733550..... | | 0001097362..... | | BGO Daily Value Fund GP LLC..... | DE..... | NIA..... | NewTower Trust Company..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | BGO Grand Adams Member LLC..... | DE..... | NIA..... | BGO US Core Plus REIT I-A LLC..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | BGO Holdings (Cayman) , LP..... | CYM..... | NIA..... | BentalIGreenOak (Canada) Limited Partnership..... | Ownership..... | 71.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | BGO Luxembourg Holdings Ltd..... | CAN..... | NIA..... | BentalIGreenOak (Canada) Limited Partnership..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 84-3749558..... | | 0001097362..... | | BGO Plaza 600 JV LLC..... | DE..... | NIA..... | BGO Plaza 600 Member LLC..... | Ownership..... | 90.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | BGO Plaza 600 Member LLC..... | DE..... | NIA..... | BGO US Core Plus REIT I-A LLC..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | BGO Real Property Services (Canada) Inc..... | CAN..... | NIA..... | BentalIGreenOak (Canada) Limited Partnership..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | BGO US Core Plus REIT I-A LLC..... | DE..... | NIA..... | BentalIGreenOak US Core Plus LF-A LP..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | BGO US Mortgages Inc..... | CA..... | NIA..... | BGO Corporate Holdings (US) LLC..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 42-1772921..... | | 0001097362..... | | BGO US Real Estate LP..... | DE..... | NIA..... | BentalIGreenOak (U.S.) Limited Partnership..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 84-4106508..... | | 0001097362..... | | BGONV Grand Adams JV LLC..... | DE..... | NIA..... | BGO Grand Adams Member LLC..... | Ownership..... | 90.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | BK Canada Holdings Inc..... | CAN..... | NIA..... | Sun Life Global Investments Inc..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | BK Prime Alberta I GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | BK Prime British Columbia I GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | BK Prime False Creek Residences GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | BK Prime False Creek Residences Holdings Ltd..... | CAN..... | NIA..... | BentalIGreenOak (Canada) Limited Partnership..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | BK Prime Foothills North GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | BK Prime GP Holdco Inc..... | CAN..... | NIA..... | BentalIGreenOak (Canada) Limited Partnership..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | BK Prime Kelowna Property Holdings GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | BK Prime Ontario I GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | BK Prime Quebec I GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | BKCG Services Ltd..... | CAN..... | NIA..... | BentalIGreenOak (Canada) Limited Partnership..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | BKCP Residential G.P. LTD..... | CAN..... | NIA..... | BentalIGreenOak (Canada) Limited Partnership..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |

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|------------|--------------------------|-------------------|------------|--------------|------------|--|---|----------------------|----------------------------------|--|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | BKCP Residential Limited Partnership | CAN | NIA | BentalIGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | BKCP Residential Limited Partnership | CAN | NIA | BKCP Residential G.P. LTD | Ownership | 0.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | BKHY Lower Holdco Inc | CAN | NIA | BKHY Upper Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | BKHY Upper Holdco Inc | CAN | NIA | BentalIGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | 90-0925083 | | 0001097362 | | BKUS Institutional Logistics Coinvestment LLC | CA | NIA | BentalIGreenOak (U.S.) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Bowtie Life Insurance Company Limited | HKG | IA | Sun Life Hong Kong Limited | Ownership | 42.6 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Canadian Environmental Energy Corporation | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 32.2 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Capilano Business Park Holdings Ltd | CAN | NIA | BentalIGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Capilano Business Park II GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | CareLife Fleetwood GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | CareLife Maple Ridge GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Champlain Square GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Churchill 403 Business Campus GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Country Lane Enterprises Ltd | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Crescent Asia Limited | YGB | NIA | Sun Life Assurance Company of Canada | Ownership | 25.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | 26-4312923 | | 0001097362 | | DailyFeats, Inc | DE | NIA | Sun Life of Canada (U.S.) Holdings, Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Dalhousie Station GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | 13-3830846 | | 0001097362 | | Dental Health Alliance, L.L.C | DE | NIA | Sun Life Assurance Company of Canada | Ownership | 100.0 | Sun Life Financial Inc | Y | |
| 00549 | Sun Life Financial Group | 00000 | 59-3063687 | | 0001097362 | | Denticare of Alabama, Inc | AL | IA | Sun Life Assurance Company of Canada | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | 01-0483086 | | 0001097362 | | Disability Reinsurance Management Services, Inc | DE | NIA | Sun Life of Canada (U.S.) Holdings, Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Doyle Avenue Holdings Ltd | CAN | NIA | BentalIGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Eastgate Square GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Excel Funds Management Inc | CAN | NIA | Sun Life Global Investments (Canada) Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Excel Investment Counsel Inc | CAN | NIA | Sun Life Global Investments (Canada) Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |

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|------------|-------------------------------|-------------------|-----------------|--------------|------------|--|---|----------------------|----------------------------------|--|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Faubourg Boisbriand Shopping Centre G.P. Inc..... | CAN..... | NIA..... | Annemasse Boisbriand Holdings L.P..... | Ownership..... | 50.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Faubourg Boisbriand Shopping Centre Holdings Inc..... | CAN..... | NIA..... | Faubourg Boisbriand Shopping Centre L.P..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Faubourg Boisbriand Shopping Centre L.P..... | CAN..... | NIA..... | Annemasse Boisbriand Holdings L.P..... | Ownership..... | 50.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Gateway Business Campus GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Global Online Financial Solutions Limited..... | VGB..... | NIA..... | Crescent Asia Limited..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 46-2565118..... | | 0001097362 | | GO 425 Park GP, LLC..... | DE..... | NIA..... | GO Equity GP LLC..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | GO Core Plus GP, LLC..... | DE..... | NIA..... | BGO US Real Estate LP..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | GO Cross GP Ltd..... | CYM..... | NIA..... | GO Equity GP LLC..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | GO Dixon GP Ltd..... | CYM..... | NIA..... | BGO Holdings (Cayman), LP..... | Ownership..... | 20.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | GO Epsilon AcquiCo A-B Sarl..... | LUX..... | NIA..... | GO Epsilon HoldCo I Sarl..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | GO Epsilon AcquiCo A-L Sarl..... | LUX..... | NIA..... | GO Epsilon HoldCo I Sarl..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 98-1521714..... | | 0001097362 | | GO Epsilon AcquiCo A-O Sarl..... | LUX..... | NIA..... | GO Epsilon HoldCo I Sarl..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | GO Epsilon GP, LLC..... | CYM..... | NIA..... | BK Canada Holdings Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 98-1521151..... | | 0001097362 | | GO Epsilon HoldCo I Sarl..... | LUX..... | NIA..... | GO Epsilon, LP..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | GO Epsilon, LP..... | CYM..... | NIA..... | GO Epsilon GP, LLC..... | Ownership..... | 50.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | GO Equity GP LLC..... | DE..... | NIA..... | Sun Life Investment Management U.S., Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 98-1061767..... | | 0001097362 | | GO Europe Advisor LP..... | CYM..... | NIA..... | GO Equity GP LLC..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 98-1322411..... | | 0001097362 | | GO Europe Carry II, LP..... | CYM..... | NIA..... | GO Equity GP LLC..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 98-1322664..... | | 0001097362 | | GO Europe Carry, LP..... | CYM..... | NIA..... | GO Equity GP LLC..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | GO Europe Investment Carry GP, Ltd..... | CYM..... | NIA..... | GO Equity GP LLC..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 98-1222294..... | | 0001097362 | | GO Europe Investment Carry, LP..... | CYM..... | NIA..... | GO Europe Investment Carry GP, Ltd..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 98-1272837..... | | 0001097362 | | GO Europe Secured Lending GP Holdings, LP..... | CYM..... | NIA..... | GO Equity GP LLC..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 98-1331621..... | | 0001097362 | | GO Grafton Finance Ltd..... | GBR..... | NIA..... | BGO Holdings (Cayman), LP..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 98-1331651..... | | 0001097362 | | GO Grafton Holdings Ltd..... | GBR..... | NIA..... | GO Grafton Finance Ltd..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |

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|------------|-------------------------------|-------------------|-----------------|--------------|-----------------|--|---|----------------------|----------------------------------|---|--|--|--|----------------------------------|-------|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 00549..... | Sun Life Financial Group..... | 00000..... | 45-2987552..... | | 0001097362..... | | GO Houston ROHV GP, LLC..... | DE..... | NIA..... | BGO US Real Estate LP..... | Ownership..... | 20.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 98-1405828..... | | 0001097362..... | | GO India Holdings Ltd..... | CYM..... | NIA..... | BGO Holdings (Cayman), LP..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | GO Japan Holdings Ltd..... | CYM..... | NIA..... | BGO Holdings (Cayman), LP..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 98-1161816..... | | 0001097362..... | | GO Spain JV I, LP..... | CYM..... | NIA..... | GO Equity GP LLC..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | GO Treehouse Investment GP, LLC..... | CYM..... | NIA..... | GO Equity GP LLC..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | GOGC AHL Advisor Ltd..... | CYM..... | NIA..... | GO Europe Advisor LP..... | Ownership..... | 50.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | GOGC Allen House GP Ltd..... | CYM..... | NIA..... | BGO Holdings (Cayman), LP..... | Ownership..... | 50.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 98-1155466..... | | 0001097362..... | | GOGC Funding I, LP..... | CYM..... | NIA..... | GO Spain JV I, LP..... | Ownership..... | 88.3..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 98-1155147..... | | 0001097362..... | | GOGC GP Ltd..... | CYM..... | NIA..... | GO Spain JV I, LP..... | Ownership..... | 75.6..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 98-1162250..... | | 0001097362..... | | GOGC Manager Ltd..... | CYM..... | NIA..... | GO Europe Advisor LP..... | Ownership..... | 50.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 32-0531897..... | | 0001097362..... | | Grafton Advisors (2006) LLP..... | GBR..... | NIA..... | GO Grafton Holdings Ltd..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 32-0531897..... | | 0001097362..... | | Grafton Advisors (2006) LLP..... | GBR..... | NIA..... | GreenOak Real Estate Services Ltd..... | Ownership..... | 0.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | Grand Adams Owner LLC..... | DE..... | NIA..... | BGONV Grand Adams JV LLC..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 98-1035286..... | | 0001097362..... | | GreenOak Asia Advisor LP..... | CYM..... | NIA..... | GreenOak Japan GP Ltd..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 98-1201073..... | | 0001097362..... | | GreenOak Asia GP II, LP..... | CYM..... | NIA..... | GreenOak Asia Advisor LP..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 98-1502736..... | | 0001097362..... | | GreenOak Asia GP III, LP..... | CYM..... | NIA..... | GreenOak Asia Advisor LP..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 98-1035902..... | | 0001097362..... | | GreenOak Euro RE Asset Management LLP..... | GBR..... | NIA..... | GreenOak Real Estate Services Ltd..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 98-1317898..... | | 0001097362..... | | GreenOak Europe (Lux) GP II, S.à r.l..... | LUX..... | NIA..... | GreenOak Europe II Carry, LP..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 98-1481275..... | | 0001097362..... | | GreenOak Europe (Lux) III GP S.à r.l..... | LUX..... | NIA..... | GreenOak Europe III GP, LP..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | GreenOak Europe Core Plus Logistics GP Holdings LP..... | CYM..... | NIA..... | GO Equity GP LLC..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 98-1507422..... | | 0001097362..... | | GreenOak Europe Core Plus Logistics GP Sarl..... | LUX..... | NIA..... | GreenOak Europe Core Plus Logistics GP Holdings LP..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 98-1501925..... | | 0001097362..... | | GreenOak Europe Core Plus Logistics SCSp..... | LUX..... | NIA..... | Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc..... | Ownership..... | 16.6..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 81-1928087..... | | 0001097362..... | | GreenOak Europe II Carry, LP..... | DE..... | NIA..... | GO Equity GP LLC..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |

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|------------|--------------------------|-------------------|------------|--------------|------------|--|--|----------------------|----------------------------------|--|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 00549 | Sun Life Financial Group | 00000 | 98-1295732 | | 0001097362 | | GreenOak Europe II GP Ltd. | CYM | NIA | G0 Equity GP LLC | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 98-1295754 | | 0001097362 | | GreenOak Europe II GP, LP | CYM | NIA | GreenOak Europe II GP Ltd. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 98-1512107 | | 0001097362 | | GreenOak Europe III GP Ltd. | CYM | NIA | G0 Equity GP LLC | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 98-1512140 | | 0001097362 | | GreenOak Europe III GP, LP | CYM | NIA | GreenOak Europe III GP Ltd. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 98-1272874 | | 0001097362 | | GreenOak Europe Secured Lending GP Sarl | LUX | NIA | G0 Europe Secured Lending GP Holdings, LP | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | GreenOak Europe Secured Lending II GP Holdings, LP | CYM | NIA | G0 Equity GP LLC | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | GreenOak Europe Secured Lending II GP Sarl | LUX | NIA | GreenOak Europe Secured Lending II GP Holdings, LP | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 98-1405886 | | 0001097362 | | GreenOak India Investment Advisors Private Limited | IND | NIA | BG0 Holdings (Cayman), LP | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 98-1405886 | | 0001097362 | | GreenOak India Investment Advisors Private Limited | IND | NIA | GreenOak Asia Advisor LP | Ownership | 0.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | GreenOak Investment Management K.K. | JPN | NIA | BG0 Holdings (Cayman), LP | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 98-1283316 | | 0001097362 | | GreenOak Japan GP Ltd. | CYM | NIA | G0 Equity GP LLC | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 98-0697103 | | 0001097362 | | GreenOak Japan GP, LP | CYM | NIA | GreenOak Asia Advisor LP | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | GreenOak Management Services Sarl | LUX | NIA | BentalGreenOak Advisors (UK) LLP | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 81-1097117 | | 0001097362 | | GreenOak MC Core Plus GP, LLC | DE | NIA | G0 Core Plus GP, LLC | Ownership | 50.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 81-1293379 | | 0001097362 | | GreenOak MC Real Estate Management LLC | DE | NIA | GreenOak Real Estate Advisors LP | Ownership | 50.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 98-1324508 | | 0001097362 | | GreenOak Real Estate Advisors (Jersey) Limited | GBR | NIA | BG0 Holdings (Cayman), LP | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 98-1367180 | | 0001097362 | | GreenOak Real Estate Advisors (Spain) S.L | ESP | NIA | BentalGreenOak Advisors (UK) LLP | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | GreenOak Real Estate Advisors K.K. | JPN | NIA | BG0 Holdings (Cayman), LP | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 42-1772920 | | 0001097362 | | GreenOak Real Estate Advisors LP | DE | NIA | BG0 US Real Estate LP | Ownership | 99.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 42-1772920 | | 0001097362 | | GreenOak Real Estate Advisors LP | DE | NIA | GreenOak Real Estate GP LLC | Ownership | 1.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 42-1772919 | | 0001097362 | | GreenOak Real Estate GP LLC | DE | NIA | BG0 US Real Estate LP | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 98-0688175 | | 0001097362 | | GreenOak Real Estate Holdings Limited | GBR | NIA | BentalGreenOak Advisors (UK) LLP | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 98-0688176 | | 0001097362 | | GreenOak Real Estate Services Ltd | GBR | NIA | BG0 Holdings (Cayman), LP | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 98-1322998 | | 0001097362 | | GreenOak Spain GP, LP | CYM | NIA | G0 Equity GP LLC | Ownership | 100.0 | Sun Life Financial Inc. | N | |

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| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 00549 | Sun Life Financial Group | 00000 | 98-1201236 | | 0001097362 | | GreenOak Spain GP, Ltd | CYM | NIA | GreenOak Spain GP, LP | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | GreenOak Tactical Lending GP Holdings, LP | CYM | NIA | GO Equity GP LLC | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | GreenOak Tactical Lending GP Sarl | LUX | NIA | GreenOak Tactical Lending GP Holdings, LP | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | GreenOak UK II GP Holdings, LLC | CYM | NIA | GreenOak UK Secured Lending II GP Ltd | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | 98-1326154 | | 0001097362 | | GreenOak UK Secured Lending GP Ltd | GBR | NIA | GO Equity GP LLC | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | 98-1326162 | | 0001097362 | | GreenOak UK Secured Lending II GP Ltd | GBR | NIA | GO Europe Carry II, LP | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | 81-1072868 | | 0001097362 | | GreenOak US (EU Parallel) GP II, LLC | DE | NIA | #N/A | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | 98-1261607 | | 0001097362 | | GreenOak US (EU Parallel) GP Sarl | LUX | NIA | GO Equity GP LLC | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | 46-2839069 | | 0001097362 | | GreenOak US GP II, LP | DE | NIA | #N/A | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | 81-2921195 | | 0001097362 | | GreenOak US GP III, LP | DE | NIA | GO Equity GP LLC | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | 27-4518011 | | 0001097362 | | GreenOak US GP, LP | DE | NIA | GO Equity GP LLC | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Grepa Realty Holdings Corporation | PHL | NIA | Sun Life Grepa Financial, Inc | Ownership | 51.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Grepalife Asset Management Corporation | PHL | NIA | Sun Life Grepa Financial, Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | H Business Park (A&B) GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | H Business Park (G&H) GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Hazeldean Mall GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | High Plains Boulevard Holdings Ltd | CAN | NIA | BentalIGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Hillside Centre Holdings Inc | CAN | NIA | BentalIGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Hillside Centre I GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Hillside Centre II GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Hulmark Sun Life (100 Broadview) LP | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 50.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Hulmark Sun Life (100 Broadview) Ltd | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 50.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Hulmark Sun Life (340 Dufferin) LP | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 50.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Hulmark Sun Life (360 Dufferin) LP | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 50.0 | Sun Life Financial Inc | N | |

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PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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|------------|--------------------------|-------------------|------------|--------------|------------|--|--|----------------------|----------------------------------|---|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Hullmark Sun Life (376 Dufferin) LP | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 50.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Hullmark Sun Life (545 King) LP | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 50.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Hullmark Sun Life (545 King) Ltd | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 50.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Hullmark Sun Life (619 Queen) LP | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 50.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Hullmark Sun Life (619 Queen) Ltd | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 50.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Hullmark Sun Life (Atlantic) LP | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 50.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Hullmark Sun Life (Atlantic) Ltd | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 50.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | HYPF Fund I GP Inc | CAN | NIA | BentalGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | HYPF Fund I GP Limited Partnership | CAN | NIA | BentalGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | HYPF Fund I GP Limited Partnership | CAN | NIA | HYPF Fund I GP Inc | Ownership | 0.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 64602 | 61-0403075 | | 0001097362 | | Independence Life and Annuity Company | DE | IA | Sun Life of Canada (U.S.) Holdings, Inc. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | India Advantage Fund Limited | MUS | NIA | Aditya Birla Sun Life AMC Limited | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Lakeshore Streetfront Retail Properties GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Lakeshore Streetfront Retail Properties II GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 20-1750874 | | 0001097362 | | Landmark Dental Alliance, Inc | MN | NIA | The Premier Dental Group, Inc. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Laurtrust Limited | GBR | NIA | Sun Life of Canada UK Holdings Limited | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Lifestyle Project Management Pte. Ltd | SGP | NIA | Global Online Financial Solutions Limited | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Lifestyle Project Management Vietnam Company Limited | VNM | NIA | Lifestyle Project Management Pte. Ltd | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 04-2747644 | | 0001097362 | | Massachusetts Financial Services Company | DE | NIA | Sun Life of Canada (U.S.) Financial Services Holdings, Inc. | Ownership | 95.7 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | MFS Development Funds, LLC | DE | NIA | Massachusetts Financial Services Company | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | MFS do Brasil Desenvolvimento de Mercado Ltda | BRA | NIA | MFS International Ltd | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 04-2747644 | | 0001097362 | | MFS Exchange LLC | DE | NIA | Massachusetts Financial Services Company | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | MFS Financial Management Consulting (Shanghai) Co., Ltd. | CHN | NIA | MFS International Holdings Pty Ltd | Ownership | 100.0 | Sun Life Financial Inc. | N | |

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| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 00549 | Sun Life Financial Group | 00000 | 04-3169826 | | 0001097362 | | MFS Fund Distributors, Inc. | DE | NIA | Massachusetts Financial Services Company | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 02-0507414 | | 0001097362 | | MFS Heritage Trust Company | NH | NIA | Massachusetts Financial Services Company | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 04-3247425 | | 0001097362 | | MFS Institutional Advisors, Inc. | DE | NIA | Massachusetts Financial Services Company | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | MFS International (Chile) SpA | CHL | NIA | MFS International Ltd | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | MFS International (Hong Kong) Limited | HKG | NIA | MFS International Ltd | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | MFS International (U.K.) Limited | GBR | NIA | MFS International Holdings Pty Ltd | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | MFS International Australia Pty Ltd | AUS | NIA | MFS International Holdings Pty Ltd | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | MFS International Holdings Pty Ltd | AUS | NIA | MFS International Ltd | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | MFS International Ltd | BMU | NIA | Massachusetts Financial Services Company | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | MFS International Singapore Pte. Ltd | SGP | NIA | MFS International Holdings Pty Ltd | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | MFS International Switzerland GmbH | CHE | NIA | MFS International (U.K.) Limited | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | MFS Investment Management Canada Limited | CAN | NIA | MFS Institutional Advisors, Inc. | Ownership | 85.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | MFS Investment Management Canada Limited | CAN | NIA | 3060097 Nova Scotia Company | Ownership | 15.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | MFS Investment Management Company (LUX) S.à.r.l. | LUX | NIA | MFS International Holdings Pty Ltd | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | MFS Investment Management K.K. | JPN | NIA | MFS International Holdings Pty Ltd | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 04-2864897 | | 0001097362 | | MFS Service Center, Inc. | DE | NIA | Massachusetts Financial Services Company | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Milton Mall GP Inc. | CAN | NIA | BK Prime GP Holdco Inc. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Milton Mall II GP Inc. | CAN | NIA | BK Prime GP Holdco Inc. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Mission Hill GP Inc. | CAN | NIA | BK Prime GP Holdco Inc. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Morehead Parking Facility, LLC | NC | NIA | Sun Life Assurance Company of Canada | Ownership | 50.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | NewTower Management GP LLC | DE | NIA | NewTower Trust Company | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | NewTower Management LLC | DE | NIA | NewTower Trust Company | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 30-0872552 | | 0001097362 | | NewTower Trust Company | MD | NIA | Rushmore Partners LLC | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | One York Street Inc. | CAN | NIA | 90 Harbour Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc. | N | |

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|------------|--------------------------|-------------------|------------|--------------|------------|--|---|----------------------|----------------------------------|--|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | P&T Shopping Centre Holdings Ltd | CAN | NIA | BentalGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Parkgate Village Holdings Ltd | CAN | NIA | BentalGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | PCDL (St-Laurent Portfolio) GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Pearson Corporate Centre GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Penreal Property Fund V General Partner Inc | CAN | NIA | BentalGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Penreal Property Fund V Holdings Inc | CAN | NIA | BentalGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | PenRetail Management Limited Partnership | CAN | NIA | BentalGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | PenRetail Management Limited Partnership | CAN | NIA | PenRetail Management Ltd | Ownership | 0.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | PenRetail Management Ltd | CAN | NIA | BentalGreenOak (Canada) Limited Partnership | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Peterborough Retail Portfolio GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | PG Village Shopping Centre GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Planswell Holdings Inc | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 10.9 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Precidio Court and Corporation Drive GP Inc | CAN | NIA | BK Prime GP Holdco Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | 91-1435190 | | 0001097362 | | Prime Advisors, Inc | WA | NIA | Sun Life Investment Management U.S., Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 68047 | 59-0411385 | | 0001097362 | | Professional Insurance Company | TX | IA | Sun Life of Canada (U.S.) Holdings, Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | PT. Cakrawala Solusi Lintas | IDN | IA | PT. Sun Life Indonesia Services | Ownership | 52.8 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | PT. Cakrawala Solusi Lintas | IDN | IA | Sun Life Assurance Company of Canada | Ownership | 47.2 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | PT. Sun Life Financial Indonesia | IDN | IA | Sun Life Assurance Company of Canada | Ownership | 95.9 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | PT. Sun Life Financial Indonesia | IDN | IA | PT. Sun Life Indonesia Services | Ownership | 4.1 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | PT. Sun Life Indonesia Services | IDN | NIA | Sun Life Assurance Company of Canada | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Rise People Inc | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 28.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | 20-1641876 | | 0001097362 | | Rushmore Partners LLC | DE | NIA | BGO Corporate Holdings (US) LLC | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | 47-3503928 | | 0001097362 | | Ryan Labs Asset Management Inc | DE | NIA | Sun Life Investment Management U.S., Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Ryan Labs Fund Management, LLC | DE | NIA | Ryan Labs Asset Management Inc | Ownership | 100.0 | Sun Life Financial Inc | N | |

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|------------|-------------------------------|-------------------|------------|--------------|------------|--|---|----------------------|----------------------------------|---|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Ryan Labs TIPS Partners, L.P..... | DE | NIA | Ryan Labs Fund Management, LLC..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | SECLON Inc..... | CAN | NIA | Sun Life Assurance Company of Canada..... | Ownership..... | 30.0 | Sun Life Financial Inc..... | N | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | seclonLogic Inc..... | CAN | NIA | Sun Life Assurance Company of Canada..... | Ownership..... | 30.0 | Sun Life Financial Inc..... | N | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 20-8998687 | | 0001097362 | | SL Finance 2007-1, Inc..... | DE | NIA | Sun Life Financial Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | SL Investment 2007-1 ULC..... | CAN | NIA | Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 26-4183712 | | 0001097362 | | SL Investment US-RE Holdings 2009-1, Inc..... | DE | NIA | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 84-3510618 | | 0001097362 | | SLC Management U.S. Private Credit GP, LLC..... | DE | NIA | Sun Life Capital Management (U.S.) LLC..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 37-1948633 | | 0001097362 | | SLCAL, Inc..... | DE | NIA | Sun Life Capital Management (U.S.) LLC..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | SLF of Canada UK Limited..... | GBR | NIA | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | SLFC Services Company (UK) Limited..... | GBR | NIA | Sun Life of Canada UK Holdings Limited..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | SLI General Partner Limited..... | CAN | NIA | Sun Life Insurance (Canada) Limited..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | SLI Investments LP..... | CAN | NIA | Sun Life Insurance (Canada) Limited..... | Ownership..... | 99.9 | Sun Life Financial Inc..... | N | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | SLI Investments LP..... | CAN | NIA | SLI General Partner Limited..... | Ownership..... | 0.1 | Sun Life Financial Inc..... | N | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 45-2424030 | | 0001097362 | | Solidify Software, LLC..... | KS | NIA | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | Y | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Southpointe Common Corp..... | CAN | NIA | Sun Life Assurance Company of Canada..... | Ownership..... | 33.3 | Sun Life Financial Inc..... | N | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Southpointe Plaza Inc..... | CAN | NIA | Sun Life Assurance Company of Canada..... | Ownership..... | 33.3 | Sun Life Financial Inc..... | N | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 04-3292937 | | 0001097362 | | Sun Canada Financial Co..... | DE | NIA | Sun Life of Canada (U.S.) Holdings, Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life (India) AMC Investments Inc..... | CAN | NIA | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life (Luxembourg) Finance No. 1 SARL..... | LUX | NIA | Sun Life 2007-1 Financing Corp..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life (Luxembourg) Finance No. 2 SARL..... | LUX | NIA | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life 2007-1 Financing Corp..... | CAN | NIA | Sun Life Global Investments Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 06-1435452 | | 0001097362 | | Sun Life Administrators (U.S.), Inc..... | DE | NIA | Sun Life of Canada (U.S.) Holdings, Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N | |
| 00549..... | Sun Life Financial Group..... | 80926..... | 06-0893662 | | 0001097362 | | Sun Life and Health Insurance Company (U.S.)..... | MI | IA | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | Y | |

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| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|-------------------------------|-------------------|-----------------|--------------|------------|--|---|----------------------|----------------------------------|---|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Asset Management (HK) Limited..... | HKG..... | NIA..... | Sun Life Hong Kong Limited..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Asset Management Company, Inc..... | PHL..... | NIA..... | Sun Life of Canada (Philippines), Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 80802..... | 38-1082080..... | | 0001097362 | | Sun Life Assurance Company of Canada..... | CAN..... | UDP..... | Sun Life Financial Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 04-3401283..... | | 0001097362 | | Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc..... | DE..... | NIA..... | Sun Life 2007-1 Financing Corp..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Assurance Company of Canada (Barbados) Limited..... | BRB..... | IA..... | Sun Life Financial Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Assurance Company of Canada (U.K.) Limited..... | GBR..... | IA..... | SLF of Canada UK Limited..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Canadian Commercial Mortgage Fund..... | CAN..... | NIA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 61.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Canadian Commercial Mortgage Fund..... | CAN..... | NIA..... | Sun Life Financial Inc..... | Ownership..... | 20.4 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Canadian Commercial Mortgage Fund..... | CAN..... | NIA..... | Sun Life Canadian Commercial Mortgage GP Inc..... | Ownership..... | 0.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Canadian Commercial Mortgage GP Inc..... | CAN..... | NIA..... | Sun Life Capital Management (Canada) Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Canadian RE GP Inc..... | CAN..... | NIA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Canadian Real Estate Fund..... | CAN..... | NIA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Canadian Real Estate Fund..... | CAN..... | NIA..... | Sun Life Canadian RE GP Inc..... | Ownership..... | 0.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Capital Management (Canada) Inc..... | CAN..... | NIA..... | Sun Life Global Investments Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 04-3132283..... | | 0001097362 | | Sun Life Capital Management (U.S.) LLC..... | DE..... | NIA..... | Sun Life Investment Management U.S., Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Capital Trust..... | CAN..... | NIA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Capital Trust II..... | CAN..... | NIA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Core FI GP Inc..... | CAN..... | NIA..... | Sun Life Capital Management (Canada) Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Core Fixed Income Fund..... | CAN..... | NIA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Core Fixed Income Fund..... | CAN..... | NIA..... | Sun Life Core FI GP Inc..... | Ownership..... | 0.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Everbright Asset Management Co., Ltd..... | CHN..... | NIA..... | Sun Life Everbright Life Insurance Company Limited..... | Ownership..... | 99.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Everbright Life Insurance Company Limited..... | CHN..... | IA..... | Canada..... | Ownership..... | 25.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Financial (Bermuda) Reinsurance Ltd..... | BMU..... | IA..... | Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |

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SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|--------------------------|-------------------|------------|--------------|------------|--|--|----------------------|----------------------------------|---|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Financial (India) Insurance Investments Inc. | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 04-3526207 | | 0001097362 | | Sun Life Financial (Japan), Inc. | DE | NIA | Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Financial (U.S.) Delaware Finance, LLC | DE | NIA | Sun Life of Canada (U.S.) Holdings, Inc. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 04-3579262 | | 0001097362 | | Sun Life Financial (U.S.) Holdings, Inc. | DE | NIA | Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Financial (U.S.) Investments LLC | DE | NIA | Sun Life Financial (U.S.) Holdings, Inc. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 13051 | 26-1292779 | | 0001097362 | | Sun Life Financial (U.S.) Reinsurance Company | VT | IA | Sun Life of Canada (U.S.) Holdings, Inc. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 15325 | 46-1499557 | | 0001097362 | | Sun Life Financial (U.S.) Reinsurance Company II | DE | IA | Independence Life and Annuity Company | Ownership | 100.0 | Sun Life Financial Inc. | Y | |
| 00549 | Sun Life Financial Group | 00000 | 26-3730703 | | 0001097362 | | Sun Life Financial (U.S.) Services Company, Inc. | DE | NIA | Sun Life of Canada (U.S.) Holdings, Inc. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Financial Advisory Inc. | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Financial Asia Services Limited | HKG | NIA | Sun Life Assurance Company of Canada | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Financial Distributors (Bermuda) Ltd | BMU | NIA | Sun Life Assurance Company of Canada | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Financial Distributors (Canada) Inc. | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 04-2470476 | | 0001097362 | | Sun Life Financial Distributors, Inc. | DE | NIA | Sun Life Financial (U.S.) Holdings, Inc. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Financial Holdings (HK) Limited | HKG | NIA | Sun Life Hong Kong Limited | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Financial International Holdings (MC), LLC | DE | NIA | Sun Life Assurance Company of Canada | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Financial Investment Services (Canada) Inc. | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Financial Investments (Bermuda) Ltd | BMU | NIA | Sun Life Assurance Company of Canada | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Financial of Canada (U.K.) Overseas Investments Limited | GBR | NIA | Sun Life Assurance Company of Canada | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Financial Philippine Holding Company, Inc. | PHL | NIA | Sun Life of Canada (Netherlands) B.V. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Financial Plans, Inc. | PHL | NIA | Sun Life of Canada (Philippines), Inc. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Financial Trust Inc. | CAN | NIA | Sun Life Assurance Company of Canada | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Global Investments (Canada) Inc. | CAN | NIA | Sun Life Global Investments Inc. | Ownership | 100.0 | Sun Life Financial Inc. | N | |

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SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|-------------------------------|-------------------|------------|--------------|------------|--|---|----------------------|----------------------------------|---|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Global Investments Inc..... | CAN..... | NIA..... | Sun Life Financial Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Grepa Financial, Inc..... | PHL..... | IA..... | Sun Life Financial Philippine Holding Company, Inc..... | Ownership..... | 49.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Hong Kong Limited..... | BMU..... | IA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Hong Kong Services Limited..... | HKG..... | NIA..... | Sun Life Hong Kong Limited..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life India Service Centre Private Limited..... | IND..... | NIA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Information Services Canada, Inc..... | CAN..... | NIA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Information Services Ireland Limited..... | IRL..... | NIA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 61-1723752 | | 0001097362 | | Sun Life Institutional Distributors (U.S.) LLC..... | DE..... | NIA..... | Sun Life Financial (U.S.) Investments LLC..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Insurance (Canada) Limited..... | CAN..... | IA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Investment Holdings (HK) Limited..... | HKG..... | NIA..... | Sun Life Hong Kong Limited..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 68-0635051 | | 0001097362 | | Sun Life Investment Management U.S., Inc..... | DE..... | NIA..... | Sun Life Financial (U.S.) Investments LLC..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Investments LLC..... | DE..... | NIA..... | 6425411 Canada Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Long Duration Overlay Fund..... | CAN..... | NIA..... | Sun Life Financial Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Long Duration Overlay Fund..... | CAN..... | NIA..... | Sun Life Capital Management (Canada) Inc..... | Ownership..... | 0.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Long Term Core FI GP Inc..... | CAN..... | NIA..... | Sun Life Capital Management (Canada) Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Long Term Core Fixed Income Fund..... | CAN..... | NIA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Long Term Core Fixed Income Fund..... | CAN..... | NIA..... | Sun Life Long Term Core FI GP Inc..... | Ownership..... | 0.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Long Term PFIP GP Inc..... | CAN..... | NIA..... | Sun Life Capital Management (Canada) Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Malaysia Assurance Berhad..... | MYS..... | IA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 49.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Malaysia Takaful Berhad..... | MYS..... | IA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 49.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Management Holdings (HK) Limited..... | HKG..... | NIA..... | Sun Life Hong Kong Limited..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life of Canada (Netherlands) B.V..... | NLD..... | NIA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 50.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life of Canada (Netherlands) B.V..... | NLD..... | NIA..... | Sun Life Financial of Canada (U.K.) Overseas Investments Limited..... | Ownership..... | 50.0 | Sun Life Financial Inc..... | N..... | |

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SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|--------------------------|-------------------|------------|--------------|------------|--|---|----------------------|----------------------------------|--|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life of Canada (Philippines), Inc. Sun Life of Canada (U.S.) Financial Services Holdings, Inc. | PHL | IA | Sun Life of Canada (Netherlands) B.V. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 04-3401285 | | 0001097362 | | Sun Life of Canada (U.S.) Investments LLC | DE | NIA | Sun Life Financial (U.S.) Investments LLC | Ownership | 99.9 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | 04-3365782 | | 0001097362 | | Sun Life of Canada (U.S.) Holdings, Inc. | DE | NIA | Sun Life Financial (U.S.) Investments LLC | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life of Canada International Assurance Limited. | BRB | IA | Sun Life Financial Inc. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life of Canada UK Holdings Limited. | GBR | NIA | SLF of Canada UK Limited. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Pension Trust Limited. | HKG | NIA | Sun Life Hong Kong Limited. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life PFIP GP Inc. | CAN | NIA | Sun Life Capital Management (Canada) Inc. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Private Fixed Income Plus Fund. | CAN | NIA | Sun Life Assurance Company of Canada. | Ownership | 11.8 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Private Fixed Income Plus Fund. | CAN | NIA | Sun Life Financial Inc. | Ownership | 3.3 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Private Fixed Income Plus Fund. | CAN | NIA | Sun Life PFIP GP Inc. | Ownership | 0.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Prosperity Achiever Fund 2028, Inc. | PHL | NIA | Sun Life Asset Management Company, Inc. | Ownership | 84.5 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Prosperity Achiever Fund 2038, Inc. | PHL | NIA | Sun Life Asset Management Company, Inc. | Ownership | 97.1 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Prosperity Achiever Fund 2048, Inc. | PHL | NIA | Sun Life Asset Management Company, Inc. | Ownership | 98.2 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Prosperity Dollar Wellspring Fund, Inc. | PHL | NIA | Sun Life Asset Management Company, Inc. | Ownership | 12.6 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Prosperity Dynamic Fund, Inc. | PHL | NIA | Sun Life of Canada (Philippines), Inc. | Ownership | 29.4 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Prosperity Philippine Stock Index Fund, Inc. | PHL | NIA | Sun Life Asset Management Company, Inc. | Ownership | 13.7 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Prosperity World Equity Index Feeder Fund, Inc. | PHL | NIA | Sun Life Asset Management Company, Inc. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Short Term PFIP GP Inc. | CAN | NIA | Sun Life Capital Management (Canada) Inc. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Timber GP Inc. | CAN | NIA | Sun Life Assurance Company of Canada. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Timber Limited Partnership. | CAN | NIA | Sun Life Timber LP Inc. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Timber Limited Partnership. | CAN | NIA | Sun Life Timber GP Inc. | Ownership | 0.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Timber LP Inc. | CAN | NIA | Sun Life Assurance Company of Canada. | Ownership | 100.0 | Sun Life Financial Inc. | N | |
| 00549 | Sun Life Financial Group | 00000 | | | 0001097362 | | Sun Life Trustee Company Limited. | HKG | NIA | Sun Life Hong Kong Limited. | Ownership | 100.0 | Sun Life Financial Inc. | N | |

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SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|-------------------------------|-------------------|-----------------|--------------|------------|--|---|----------------------|----------------------------------|---|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Sun Life Vietnam Insurance Company Limited..... | VNM..... | IA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | SVF Latham Square Owner, LLC..... | DE..... | NIA..... | BGO US Core Plus REIT I-A LLC, Bentall Kennedy U.S. Strategic Value Fund GP, L.L.C..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | SVF Latham Square, LLC..... | DE..... | NIA..... | | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | SVF Operating LLC..... | DE..... | NIA..... | BGO US Core Plus REIT I-A LLC, Bentall Kennedy U.S. Strategic Value Fund GP, L.L.C..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | SVF REIT Manager, LLC..... | DE..... | NIA..... | | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | SVF The View Pacific LLC..... | DE..... | NIA..... | | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | SynchroSERV Inc..... | CAN..... | NIA..... | BentallGreenOak (Canada) Limited Partnership..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | SynchroSERV Limited Partnership..... | CAN..... | NIA..... | BentallGreenOak (Canada) Limited Partnership..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | SynchroSERV Limited Partnership..... | CAN..... | NIA..... | SynchroSERV Inc..... | Ownership..... | 0.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Ten 201 Jasper Avenue Ltd..... | CAN..... | NIA..... | BentallGreenOak (Canada) Limited Partnership..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | Ten 303 Jasper Avenue Ltd..... | CAN..... | NIA..... | BentallGreenOak (Canada) Limited Partnership..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 41-1694906..... | | 0001097362 | | The Premier Dental Group, Inc..... | MN..... | NIA..... | Sun Life of Canada (U.S.) Holdings, Inc..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | The View Pacific LLC..... | DE..... | NIA..... | SVF The View Pacific LLC..... | Ownership..... | 80.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362 | | The View Pacific Owner LLC..... | DE..... | NIA..... | The View Pacific LLC..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 33-0360239..... | | 0001097362 | | UDC Dental California, Inc..... | CA..... | IA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | Y..... | |
| 00549..... | Sun Life Financial Group..... | 52022..... | 74-2609036..... | | 0001097362 | | UDC Ohio, Inc..... | OH..... | | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | Y..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 58-1099945..... | | 0001097362 | | Union Security DentalCare of Georgia, Inc..... | GA..... | IA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 11244..... | 52-1565653..... | | 0001097362 | | Union Security DentalCare of New Jersey, Inc..... | NJ..... | IA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | Y..... | |
| 00549..... | Sun Life Financial Group..... | 47708..... | 86-0517444..... | | 0001097362 | | United Dental Care of Arizona, Inc..... | AZ..... | IA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | Y..... | |
| 00549..... | Sun Life Financial Group..... | 52032..... | 86-0631335..... | | 0001097362 | | United Dental Care of Colorado, Inc..... | CO..... | IA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | Y..... | |
| 00549..... | Sun Life Financial Group..... | 11111..... | 38-2833988..... | | 0001097362 | | United Dental Care of Michigan, Inc..... | MI..... | IA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | Y..... | |
| 00549..... | Sun Life Financial Group..... | 47044..... | 75-2481527..... | | 0001097362 | | United Dental Care of Missouri, Inc..... | MO..... | IA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | Y..... | |
| 00549..... | Sun Life Financial Group..... | 47042..... | 86-0384270..... | | 0001097362 | | United Dental Care of New Mexico, Inc..... | NM..... | IA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0 | Sun Life Financial Inc..... | Y..... | |

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|-------------------------------|-------------------|-----------------|--------------|-----------------|--|---|----------------------|----------------------------------|--|--|--|--|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 00549..... | Sun Life Financial Group..... | 95142..... | 75-2076282..... | | 0001097362..... | | United Dental Care of Texas, Inc..... | TX..... | IA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | Y..... | |
| 00549..... | Sun Life Financial Group..... | 95450..... | 75-2635404..... | | 0001097362..... | | United Dental Care of Utah, Inc..... | UT..... | IA..... | Sun Life Assurance Company of Canada..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | Y..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | Viceroy Road Portfolio GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | 20-5189428..... | | 0001097362..... | | Vuzion, Inc..... | MN..... | NIA..... | The Premier Dental Group, Inc..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | West Pender I GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | West Pender II GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | West Pender III GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | Westpen 10 Dundas GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | Westpen 10201 Jasper Avenue GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | Westpen 10303 Jasper Avenue GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | Westpen North Hill GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | White Oaks Mall Holdings Ltd..... | CAN..... | NIA..... | BentalGreenOak (Canada) Limited Partnership..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | White Oaks Mall I GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | White Oaks Mall II GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | WO Mall GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | Yellowhead Crossing Development Ltd..... | CAN..... | NIA..... | Yellowhead Crossing II GP Inc..... | Ownership..... | 50.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | Yellowhead Crossing Development Ltd..... | CAN..... | NIA..... | Yellowhead Crossing III GP Inc..... | Ownership..... | 50.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | Yellowhead Crossing I GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | Yellowhead Crossing II GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |
| 00549..... | Sun Life Financial Group..... | 00000..... | | | 0001097362..... | | Yellowhead Crossing III GP Inc..... | CAN..... | NIA..... | BK Prime GP Holdco Inc..... | Ownership..... | 100.0..... | Sun Life Financial Inc..... | N..... | |

| | |
|----------|-------------|
| Asterisk | Explanation |
| | |

SCHEDULE Y

PART 2 - SUMMARY OF INSURER’S TRANSACTIONS WITH ANY AFFILIATES

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
|-------------------------|--------------|--|--------------------------|--------------------------|--|---|---|---|----|--|-----------------|--|
| NAIC Company Code | ID Number | Names of Insurers and Parent, Subsidiaries or Affiliates | Shareholder Dividends | Capital Contributions | Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments | Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s) | Management Agreements and Service Contracts | Income/ (Disbursements) Incurred Under Reinsurance Agreements | * | Any Other Material Activity Not in the Ordinary Course of the Insurer's Business | Totals | Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability) |
| 80802 | 38-1082080 | U.S. Branch of Sun Life Assur Co. of CN | (450,000,000) | 26,613,351 | | | (437,535,531) | (177,948,684) | | | (1,038,870,864) | (9,657,110,004) |
| 00000 | | | | | | | | | | | .0 | |
| 13051 | 26-1292779 | Sun Life Financial (U.S.) Reinsur. Co. | | 120,000,000 | | | (43,692,023) | 70,759,807 | | | 147,067,784 | 4,262,699,142 |
| 00000 | | | | | | | | | | | .0 | |
| 80926 | 06-0893662 | Sun Life and Health Insurance Co. (U.S.) | | | | | | | | | .0 | 80,703,714 |
| 00000 | | | | | | | | | | | .0 | |
| 64602 | 61-0403075 | Independence Life and Annuity Co. | | | | | (1,177,519) | | | | (1,177,519) | |
| 00000 | | | | | | | | | | | .0 | |
| 15325 | 46-1499557 | Sun Life Financ. (U.S.) Reinsur. Co. II | | 180,000,000 | | | (5,144,987) | 107,188,877 | | | 282,043,890 | 4,459,303,008 |
| 00000 | | | | | | | | | | | .0 | |
| 68047 | 59-0411385 | Professional Insurance Company | | | | | (873,719) | | | | (873,719) | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 38-1082080 | Sun Life Assurance Company of Canada | 770,000,000 | | | | | | | | 770,000,000 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 68-0635051 | bcIMC (IRE-BTKN US) Investment Corp. | | | | | | | | | .0 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 98-0226074 | Sun Life Financial Inc. | | | | | | | | | .0 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 00-0000000 | Sun Life 2007-1 Financing Corp. | | | | | | | | | .0 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 00-0000000 | SL Finance 2007-1, Inc. | | | | | | | | | .0 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 26-4183712 | SL Investment US-RE Holdings 2009-1 Inc. | | 14,700,000 | | | | | | | 14,700,000 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 00-0000000 | Sun Life Financial (U.S.) Svcs Co., Inc. | | | | | | | | | .0 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 04-3365782 | Sun Life of Canada (U.S.) Holdings, Inc. | 10,000,000 | 10,000,000 | | | | | | | 20,000,000 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 91-1435190 | Prime Advisors, Inc. | | | | | | | | | .0 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 04-3401283 | SL Assurance Co of Canada - US Ops Hold. | 41,600,000 | (52,433,966) | | | 53,685,442 | | | | 42,851,476 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 00-0000000 | Sun 211 Commerce, LLC | | | | | | | | | .0 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 00-0000000 | Sun 521 Morehead, LLC | | (15,613,351) | | | | | | | (15,613,351) | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 00-0000000 | Sun Life (Luxembourg) Finance No. 2 Sarl | | | | | | | | | .0 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 04-3401285 | SL Canada (US) Financial Svcs Hold Inc. | (610,720,000) | | | | | | | | (610,720,000) | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 00-0000000 | SL Financial (U.S.) Investments LLC | 397,720,000 | (760,432,108) | | | | | | | (362,712,108) | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 04-3579262 | Sun Life Financial (U.S.) Holdings, Inc. | 171,400,000 | 406,115,916 | | | | | | | 577,515,916 | |

SCHEDULE Y

PART 2 - SUMMARY OF INSURER’S TRANSACTIONS WITH ANY AFFILIATES

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
|-------------------------|--------------|--|--------------------------|--------------------------|--|---|---|---|----|--|---------------|--|
| NAIC Company Code | ID Number | Names of Insurers and Parent, Subsidiaries or Affiliates | Shareholder Dividends | Capital Contributions | Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments | Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s) | Management Agreements and Service Contracts | Income/ (Disbursements) Incurred Under Reinsurance Agreements | * | Any Other Material Activity Not in the Ordinary Course of the Insurer's Business | Totals | Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability) |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 00-0000000 | Sun Life Global Investments Inc | | | | | | | | | .0 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 61-1723752 | Edgemoor Partners LLC | | | | | | | | | .0 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 47-3503928 | Ryan Labs Asset Management Inc | | | | | | | | | .0 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 00-0000000 | Sun Life Financial Distributors, Inc | | (18,500,000) | | | | | | | (18,500,000) | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 00-0000000 | SPE BroadStreet InLines | | | | | | | | | .0 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 00-0000000 | California Benefits Dental Plan | | | | | | | | | .0 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 00-0000000 | Dental Holdings, Inc | | | | | | | | | .0 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 00-0000000 | SL Capital Management (U.S.) LLC | | (12,500,000) | | | | | | | (12,500,000) | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 20-1750874 | Landmark Dental Alliance, Inc | | | | | | | | | .0 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 04-3292937 | Sun Canada Financial Co | | | | | | | | | .0 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 04-3574531 | Sun Life Financial (U.S.) Finance, Inc | | (615,916) | | | | | | | (615,916) | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 68-0635051 | SL Investment Management U.S., Inc | | 37,866,074 | | | | | | | 37,866,074 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 00-0000000 | SL Financial (Bermuda) Reinsurance Ltd | (320,000,000) | 45,300,000 | | | (2,797,194) | | | | (277,497,194) | .854,404,140 |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 00-0000000 | SL Financial Investments (Bermuda) Ltd | | | | | | | | | .0 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 00-0000000 | SL Financial Distrib (Singapore) Pte Ltd | | | | | | | | | .0 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 04-3574531 | Sun Life Financial (U.S.) Finance Inc | | | | | | | | | .0 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 01-0483086 | Disability Reinsurance Mgmt Srvs, Inc | | (1,000,000) | | | | | | | (1,000,000) | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 20-5189428 | Vuzion, Inc | | | | | | | | | .0 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 26-3730703 | SL Financial (U.S.) Srvs Company, Inc | | | | | 437,535,531 | | | | 437,535,531 | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 00-0000000 | SL Financial (U.S.) Delaware Finance LLC | (10,000,000) | | | | | | | | (10,000,000) | |
| 00000 | | | | | | | | | | | .0 | |
| 00000 | 00-0000000 | SL Institutional Distributors (US) LLC | | 500,000 | | | | | | | 500,000 | |
| 00000 | | | | | | | | | | | .0 | |

SCHEDULE Y

PART 2 - SUMMARY OF INSURER’S TRANSACTIONS WITH ANY AFFILIATES

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
|-------------------------|-----------------|--|--------------------------|--------------------------|--|---|---|---|-----|--|------------|--|
| NAIC Company Code | ID Number | Names of Insurers and Parent, Subsidiaries or Affiliates | Shareholder Dividends | Capital Contributions | Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments | Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s) | Management Agreements and Service Contracts | Income/ (Disbursements) Incurred Under Reinsurance Agreements | * | Any Other Material Activity Not in the Ordinary Course of the Insurer's Business | Totals | Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability) |
| 00000..... | 00-0000000..... | Maxwell Health..... | | 20,000,000 | | | | | | | 20,000,000 | |
| 9999999 Control Totals | | | 0 | 0 | 0 | 0 | 0 | 0 | XXX | 0 | 0 | 0 |

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of **WAIVED** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

MARCH FILING

| | Responses |
|---|------------------|
| 1. Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1? |YES..... |
| 2. Will an actuarial opinion be filed by March 1? |YES..... |
| 3. Will the confidential Risk-based Capital Report be filed with the NAIC by March 1? |YES..... |
| 4. Will the confidential Risk-based Capital Report be filed with the state of domicile, if required by March 1? |WAIVED..... |

APRIL FILING

| | |
|--|---------------|
| 5. Will Management's Discussion and Analysis be filed by April 1? |YES..... |
| 6. Will the Supplemental Investment Risks Interrogatories be filed by April 1? |YES..... |
| 7. Will the Accident and Health Policy Experience Exhibit be filed by April 1? |YES..... |

JUNE FILING

| | |
|--|---------------|
| 8. Will an audited financial report be filed by June 1? |YES..... |
| 9. Will Accountants Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1? |YES..... |

AUGUST FILING

| | |
|---|---------------|
| 10. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? |YES..... |
|---|---------------|

The following supplemental reports are required to be filed as part of your statement filing **if your company is engaged in the type of business covered by the supplement. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below.** If the supplement is required of your company but is not being filed for whatever reason, enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

MARCH FILING

| | |
|--|--------------|
| 11. Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1? |NO..... |
| 12. Will the Supplemental Life data due March 1 be filed with the state of domicile and the NAIC? |NO..... |
| 13. Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1? |NO..... |
| 14. Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 on Exhibit 5 to Life Supplement be filed with the state of domicile and electronically with the NAIC by March 1? |NO..... |
| 15. Will the actuarial opinion on non-guaranteed elements as required in Interrogatory 3 to Exhibit 5 to Life Supplement be filed with the state of domicile and electronically with the NAIC by March 1? |NO..... |
| 16. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1? |NO..... |
| 17. Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1? |NO..... |
| 18. Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1? |NO..... |
| 19. Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed with electronically with the NAIC by March 1? |NO..... |

APRIL FILING

| | |
|---|--------------|
| 20. Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1? |NO..... |
| 21. Will the Supplemental Life data due April 1 be filed with the state of domicile and the NAIC? |NO..... |
| 22. Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1? |NO..... |
| 23. Will the regulator only (non-public) Supplemental Health Care Exhibit's Allocation Report be filed with the state of domicile and the NAIC by April 1? |NO..... |
| 24. Will the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit be filed with the state of domicile and the NAIC by April 1? |NO..... |
| 25. Will the Adjustments to the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit (if required) be filed with the state of domicile and the NAIC by April 1? |NO..... |

AUGUST FILING

| | |
|--|--------------|
| 26. Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1? |NO..... |
|--|--------------|

Explanation:

11. Business not written by Company.
12. Business not written by Company.
13. SIS not required.
14. Business not written by Company.
15. Business not written by Company.
16. Business not written by Company.
17. Approval not required, as no such relief deemed necessary.
18. Approval not required, as no such relief deemed necessary.
19. Approval not required, as no such relief deemed necessary.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

20. Business not written by Company.

21. Business not written by Company.

22. Business not written by Company.

23. Business not written by Company.


24. Business not written by Company.

25. Business not written by Company.

26. Report not required.


Bar code:

4.




520222019390000000

11.




520222019360590000

12.




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13.




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20.




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25.



520222019300000000

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

26. 
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ALPHABETICAL INDEX

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| | |
|--|------|
| Analysis of Operations by Lines of Business | 7 |
| Assets | 2 |
| Cash Flow | 6 |
| Exhibit 1 – Enrollment By Product Type for Health Business Only | 17 |
| Exhibit 2 – Accident and Health Premiums Due and Unpaid | 18 |
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