



# ANNUAL STATEMENT

For the Year Ended December 31, 2019

of the Condition and Affairs of the

## EVERGREEN NATIONAL INDEMNITY COMPANY

NAIC Group Code.....	4869, 4869	NAIC Company Code.....	12750	Employer's ID Number.....	36-2467238
(Current Period) (Prior Period)					
Organized under the Laws of OH		State of Domicile or Port of Entry OH		Country of Domicile US	
Incorporated/Organized.....	December 30, 1939	Commenced Business.....		January 1, 1940	
Statutory Home Office		6140 PARKLAND BLVD, STE 321 .. MAYFIELD HEIGHTS .. OH .. US ..			
		44124	(Street and Number)	(City or Town, State, Country and Zip Code)	
Main Administrative Office		6140 PARKLAND BLVD, STE 321 .. MAYFIELD HEIGHTS .. OH .. US ..		440-229-3420	
		44124	(Street and Number)	(City or Town, State, Country and Zip Code)	(Area Code) (Telephone Number)
Mail Address		6140 PARKLAND BLVD, STE 321 .. MAYFIELD HEIGHTS .. OH .. US ..			
		44124	(Street and Number or P. O. Box)	(City or Town, State, Country and Zip Code)	
Primary Location of Books and Records		6140 PARKLAND BLVD, STE 321 .. MAYFIELD HEIGHTS .. OH .. US ..		440-229-3403	
		44124	(Street and Number)	(City or Town, State, Country and Zip Code)	(Area Code) (Telephone Number)
Internet Web Site Address					
Statutory Statement Contact		DAVID ALAN CANZONE		440-229-3403	
		(Name)		(Area Code) (Telephone Number) (Extension)	
		dcanzone@evergreen-national.com		440-229-3421	
		(E-Mail Address)		(Fax Number)	

### OFFICERS

Name	Title	Name	Title
1. MATTHEW TRACY TUCKER	PRESIDENT	2. DAVID ALAN CANZONE	CFO/TREASURER
3. WAN CHEN COLLIER	SECRETARY	4. ROBERT WILLARD SHEPARD	VICE PRESIDENT OF FINANCE

### OTHER

### DIRECTORS OR TRUSTEES

CHARLES KYLE SLATERY	MATTHEW TRACY TUCKER	ROBERT WILLARD SHEPARD	JAMES DONALD LACKIE
DAVID ALAN CANZONE	EMMEL BERNHARDT GOLDEN III		

State of..... Ohio  
County of.... Cuyahoga

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature) MATTHEW TRACY TUCKER	(Signature) DAVID ALAN CANZONE	(Signature) WAN CHEN COLLIER
1. (Printed Name) PRESIDENT	2. (Printed Name) CFO/TREASURER	3. (Printed Name) SECRETARY
(Title)	(Title)	(Title)

Subscribed and sworn to before me  
This \_\_\_\_\_ day of \_\_\_\_\_ 2020

a. Is this an original filing?  
b. If no     1. State the amendment number  
                  2. Date filed  
                  3. Number of pages attached

Yes [X] No [ ]

\_\_\_\_\_  
\_\_\_\_\_

**EVERGREEN NATIONAL INDEMNITY COMPANY**  
**ASSETS**

	Current Year			Prior Year
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Net Admitted Assets
1. Bonds (Schedule D).....	26,893,474		26,893,474	25,552,489
2. Stocks (Schedule D):				
2.1 Preferred stocks.....	5,248,122		5,248,122	4,998,637
2.2 Common stocks.....	11,506,374		11,506,374	8,702,551
3. Mortgage loans on real estate (Schedule B):				
3.1 First liens.....	664,696		664,696	664,696
3.2 Other than first liens.....			0	
4. Real estate (Schedule A):				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....			0	
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			0	
4.3 Properties held for sale (less \$.....0 encumbrances).....	1		1	365,932
5. Cash (\$....3,286,210, Schedule E-Part 1), cash equivalents (\$....2,276,724, Schedule E-Part 2) and short-term investments (\$.....0, Schedule DA).....	5,562,934		5,562,934	3,551,330
6. Contract loans (including \$.....0 premium notes).....			0	
7. Derivatives (Schedule DB).....			0	
8. Other invested assets (Schedule BA).....	4,322,188		4,322,188	2,544,898
9. Receivables for securities.....			0	
10. Securities lending reinvested collateral assets (Schedule DL).....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	54,197,789	0	54,197,789	46,380,533
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	318,740		318,740	310,061
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	1,969,595		1,969,595	1,793,182
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....			0	
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	224,597		224,597	202,070
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....			0	
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....	162,657		162,657	
18.2 Net deferred tax asset.....	82,979	82,979	0	282,389
19. Guaranty funds receivable or on deposit.....			0	
20. Electronic data processing equipment and software.....			0	1,439
21. Furniture and equipment, including health care delivery assets (\$.....0).....			0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....			0	
24. Health care (\$.....0) and other amounts receivable.....			0	
25. Aggregate write-ins for other-than-invested assets.....	14,577	14,280	.297	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25).....	56,970,934	.97,259	.56,873,675	.48,969,674
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
28. TOTAL (Lines 26 and 27).....	56,970,934	.97,259	.56,873,675	.48,969,674

**DETAILS OF WRITE-INS**

1101.....			0	
1102.....			0	
1103.....			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Miscellaneous Receivable.....	5,545	5,248	.297	
2502. Prepaid Insurance.....	9,032	9,032	0	
2503.....			0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above).....	14,577	14,280	.297	0

**EVERGREEN NATIONAL INDEMNITY COMPANY**  
**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Year	2 Prior Year
1. Losses (Part 2A, Line 35, Column 8).....	4,475,371	3,471,989
2. Reinsurance payable on paid losses and loss adjustment expenses (Schedule F, Part 1, Column 6).....		
3. Loss adjustment expenses (Part 2A, Line 35, Column 9).....	875,281	931,776
4. Commissions payable, contingent commissions and other similar charges.....	6,019	7,627
5. Other expenses (excluding taxes, licenses and fees).....	475,755	368,748
6. Taxes, licenses and fees (excluding federal and foreign income taxes).....	302,254	152,849
7.1 Current federal and foreign income taxes (including \$.....0 on realized capital gains (losses)).....		196,883
7.2 Net deferred tax liability.....	291,250	
8. Borrowed money \$.....0 and interest thereon \$.....0.....		
9. Unearned premiums (Part 1A, Line 38, Column 5) (after deducting unearned premiums for ceded reinsurance of \$.....9,966,825 and including warranty reserves of \$.....168,122 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act).....	8,609,259	6,201,804
10. Advance premium.....		
11. Dividends declared and unpaid:		
11.1 Stockholders.....		
11.2 Policyholders.....		
12. Ceded reinsurance premiums payable (net of ceding commissions).....	2,556,116	2,496,345
13. Funds held by company under reinsurance treaties (Schedule F, Part 3, Column 20).....	194,246	178,753
14. Amounts withheld or retained by company for account of others.....	1,899,927	54,337
15. Remittances and items not allocated.....		
16. Provision for reinsurance (including \$.....0 certified) (Schedule F, Part 3, Column 78).....		
17. Net adjustments in assets and liabilities due to foreign exchange rates.....		
18. Drafts outstanding.....		
19. Payable to parent, subsidiaries and affiliates.....		
20. Derivatives.....		
21. Payable for securities.....		23,864
22. Payable for securities lending.....		
23. Liability for amounts held under uninsured plans.....		
24. Capital notes \$.....0 and interest thereon \$.....0.....		
25. Aggregate write-ins for liabilities.....	246,059	456,532
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25).....	19,931,537	14,541,507
27. Protected cell liabilities.....		
28. Total liabilities (Lines 26 and 27).....	19,931,537	14,541,507
29. Aggregate write-ins for special surplus funds.....	0	0
30. Common capital stock.....	3,018,004	3,018,004
31. Preferred capital stock.....		
32. Aggregate write-ins for other-than-special surplus funds.....	0	0
33. Surplus notes.....		
34. Gross paid in and contributed surplus.....	25,841,820	25,841,820
35. Unassigned funds (surplus).....	8,082,314	5,568,343
36. Less treasury stock, at cost:		
36.1 .....0.000 shares common (value included in Line 30 \$.....0).....		
36.2 .....0.000 shares preferred (value included in Line 31 \$.....0).....		
37. Surplus as regards policyholders (Lines 29 to 35, less 36) (Page 4, Line 39).....	36,942,138	34,428,167
38. TOTAL (Page 2, Line 28, Col. 3).....	56,873,675	48,969,674

**DETAILS OF WRITE-INS**

2501. Unrestricted Collateral.....		122,021
2502. Pledged as Collateral.....	246,059	334,511
2503. .....		
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above).....	246,059	456,532
2901. .....		
2902. .....		
2903. .....		
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above).....	0	0
3201. .....		
3202. .....		
3203. .....		
3298. Summary of remaining write-ins for Line 32 from overflow page.....	0	0
3299. Totals (Lines 3201 through 3203 plus 3298) (Line 32 above).....	0	0

**EVERGREEN NATIONAL INDEMNITY COMPANY**  
**STATEMENT OF INCOME**

	1 Current Year	2 Prior Year
<b>UNDERWRITING INCOME</b>		
1. Premiums earned (Part 1, Line 35, Column 4).....	16,338,726	11,426,014
DEDUCTIONS:		
2. Losses incurred (Part 2, Line 35, Column 7).....	3,805,862	535,988
3. Loss adjustment expenses incurred (Part 3, Line 25, Column 1).....	(57,925)	(131,677)
4. Other underwriting expenses incurred (Part 3, Line 25, Column 2).....	12,185,552	8,389,206
5. Aggregate write-ins for underwriting deductions.....	0	0
6. Total underwriting deductions (Lines 2 through 5).....	15,933,489	8,793,517
7. Net income of protected cells.....		
8. Net underwriting gain (loss) (Line 1 minus Line 6 plus Line 7).....	405,237	2,632,497
<b>INVESTMENT INCOME</b>		
9. Net investment income earned (Exhibit of Net Investment Income, Line 17).....	1,714,872	1,823,405
10. Net realized capital gains (losses) less capital gains tax of \$....9,037 (Exhibit of Capital Gains (Losses)).....	33,997	(320,986)
11. Net investment gain (loss) (Lines 9 + 10).....	1,748,869	1,502,419
<b>OTHER INCOME</b>		
12. Net gain (loss) from agents' or premium balances charged off (amount recovered \$.....0 amount charged off \$.....0).....	0	
13. Finance and service charges not included in premiums.....		
14. Aggregate write-ins for miscellaneous income.....	5,298	74,469
15. Total other income (Lines 12 through 14).....	5,298	74,469
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15).....	2,159,404	4,209,385
17. Dividends to policyholders.....		
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17).....	2,159,404	4,209,385
19. Federal and foreign income taxes incurred.....	366,423	758,343
20. Net income (Line 18 minus Line 19) (to Line 22).....	1,792,981	3,451,042
<b>CAPITAL AND SURPLUS ACCOUNT</b>		
21. Surplus as regards policyholders, December 31 prior year (Page 4, Line 39, Column 2).....	34,428,167	34,452,233
22. Net income (from Line 20).....	1,792,981	3,451,042
23. Net transfers (to) from Protected Cell accounts.....		
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$....786,406.....	2,958,384	(884,862)
25. Change in net unrealized foreign exchange capital gain (loss).....		
26. Change in net deferred income tax.....	6,900	(63,491)
27. Change in nonadmitted assets (Exhibit of Nonadmitted Assets, Line 28, Column 3).....	205,706	(76,755)
28. Change in provision for reinsurance (Page 3, Line 16, Column 2 minus Column 1).....		
29. Change in surplus notes.....		
30. Surplus (contributed to) withdrawn from Protected Cells.....		
31. Cumulative effect of changes in accounting principles.....		
32. Capital changes:		
32.1 Paid in.....		
32.2 Transferred from surplus (Stock Dividend).....		
32.3 Transferred to surplus.....		
33. Surplus adjustments:		
33.1 Paid in.....		
33.2 Transferred to capital (Stock Dividend).....		
33.3. Transferred from capital.....		
34. Net remittances from or (to) Home Office.....		
35. Dividends to stockholders.....	(2,450,000)	(2,450,000)
36. Change in treasury stock (Page 3, Lines 36.1 and 36.2, Column 2 minus Column 1).....		
37. Aggregate write-ins for gains and losses in surplus.....	0	0
38. Change in surplus as regards policyholders for the year (Lines 22 through 37).....	2,513,971	(24,066)
39. Surplus as regards policyholders, December 31 current year (Line 21 plus Line 38) (Page 3, Line 37).....	36,942,138	34,428,167

**DETAILS OF WRITE-INS**

0501.....		
0502.....		
0503.....		
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0
0599. Totals (Lines 0501 through 0503 plus 0598) (Line 5 above).....	0	0
1401. Miscellaneous Income.....	5,298	74,469
1402.....		
1403.....		
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0
1499. Totals (Lines 1401 through 1403 plus 1498) (Line 14 above).....	5,298	74,469
3701. Misc Surplus Change.....		
3702.....		
3703.....		
3798. Summary of remaining write-ins for Line 37 from overflow page.....	0	0
3799. Totals (Lines 3701 through 3703 plus 3798) (Line 37 above).....	0	0

**EVERGREEN NATIONAL INDEMNITY COMPANY**  
**CASH FLOW**

	1 Current Year	2 Prior Year
<b>CASH FROM OPERATIONS</b>		
1. Premiums collected net of reinsurance.....	18,629,539	11,996,194
2. Net investment income.....	1,713,539	1,808,825
3. Miscellaneous income.....	5,298	74,469
4. Total (Lines 1 through 3).....	20,348,376	13,879,488
5. Benefit and loss related payments.....	2,825,007	518,666
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....		
7. Commissions, expenses paid and aggregate write-ins for deductions.....	11,931,008	8,222,355
8. Dividends paid to policyholders.....		
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses).....	735,000	823,000
10. Total (Lines 5 through 9).....	15,491,015	9,564,021
11. Net cash from operations (Line 4 minus Line 10).....	4,857,361	4,315,467
<b>CASH FROM INVESTMENTS</b>		
12. Proceeds from investments sold, matured or repaid:		
12.1 Bonds.....	5,091,785	5,667,568
12.2 Stocks.....	4,683,465	3,832,544
12.3 Mortgage loans.....		
12.4 Real estate.....	365,931	1,303,434
12.5 Other invested assets.....	342,396	548,984
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....		
12.7 Miscellaneous proceeds.....		
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	10,483,577	11,352,529
13. Cost of investments acquired (long-term only):		
13.1 Bonds.....	6,312,431	6,804,028
13.2 Stocks.....	4,607,131	5,214,992
13.3 Mortgage loans.....		
13.4 Real estate.....		
13.5 Other invested assets.....	1,587,500	219,814
13.6 Miscellaneous applications.....	23,864	26,136
13.7 Total investments acquired (Lines 13.1 to 13.6).....	12,530,926	12,264,970
14. Net increase (decrease) in contract loans and premium notes.....		
15. Net cash from investments (Line 12.8 minus Lines 13.7 minus Line 14).....	(2,047,349)	(912,441)
<b>CASH FROM FINANCING AND MISCELLANEOUS SOURCES</b>		
16. Cash provided (applied):		
16.1 Surplus notes, capital notes.....		
16.2 Capital and paid in surplus, less treasury stock.....		
16.3 Borrowed funds.....		
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....		
16.5 Dividends to stockholders.....	2,450,000	2,450,000
16.6 Other cash provided (applied).....	1,651,592	273,499
17. Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6).....	(798,408)	(2,176,501)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>		
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17).....	2,011,604	1,226,525
19. Cash, cash equivalents and short-term investments:		
19.1 Beginning of year.....	3,551,330	2,324,805
19.2 End of year (Line 18 plus Line 19.1).....	5,562,934	3,551,330

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001 .....		
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**EVERGREEN NATIONAL INDEMNITY COMPANY**  
**UNDERWRITING AND INVESTMENT EXHIBIT**

**PART 1 - PREMIUMS EARNED**

Line of Business	1 Net Premiums Written per Column 6, Part 1B	2 Unearned Premiums December 31 Prior Year- per Col. 3, Last Year's Part 1	3 Unearned Premiums December 31 Current Year- per Col. 5, Part 1A	4 Premiums Earned During Year (Cols. 1 + 2 - 3)
1. Fire.....	0			0
2. Allied lines.....	0			0
3. Farmowners multiple peril.....	0			0
4. Homeowners multiple peril.....	0			0
5. Commercial multiple peril.....	0			0
6. Mortgage guaranty.....	0			0
8. Ocean marine.....	0			0
9. Inland marine.....	0			0
10. Financial guaranty.....	0			0
11.1 Medical professional liability - occurrence.....	0			0
11.2 Medical professional liability - claims-made.....	0			0
12. Earthquake.....	0			0
13. Group accident and health.....	0			0
14. Credit accident and health (group and individual).....	0			0
15. Other accident and health.....	0			0
16. Workers' compensation.....	0			0
17.1 Other liability - occurrence.....	0			0
17.2 Other liability - claims-made.....	0			0
17.3 Excess workers' compensation.....	0			0
18.1 Products liability - occurrence.....	0			0
18.2 Products liability - claims-made.....	0			0
19.1, 19.2 Private passenger auto liability.....	0			0
19.3, 19.4 Commercial auto liability.....	0			0
21. Auto physical damage.....	0			0
22. Aircraft (all perils).....	0			0
23. Fidelity.....	0			0
24. Surety.....	18,608,611	5,933,812	8,317,312	16,225,111
26. Burglary and theft.....	0			0
27. Boiler and machinery.....	0			0
28. Credit.....	0			0
29. International.....	0			0
30. Warranty.....	137,570	267,992	291,947	113,615
31. Reinsurance - nonproportional assumed property.....	0			0
32. Reinsurance - nonproportional assumed liability.....	0			0
33. Reinsurance - nonproportional assumed financial lines.....	0			0
34. Aggregate write-ins for other lines of business.....	0	0	0	0
35. TOTALS.....	18,746,181	6,201,804	8,609,259	16,338,726

**DETAILS OF WRITE-INS**

3401. ....	0			0	0
3402. ....	0			0	0
3403. ....	0			0	0
3498. Summary of remaining write-ins for Line 34 from overflow page.....	0	0		0	0
3499. Totals (Lines 3401 through 3403 plus 3498) (Line 34 above).....	0	0		0	0

**EVERGREEN NATIONAL INDEMNITY COMPANY**  
**UNDERWRITING AND INVESTMENT EXHIBIT**

**PART 1A - RECAPITULATION OF ALL PREMIUMS**

Line of Business	1 Amount Unearned (Running One Year or Less from Date of Policy) (a)	2 Amount Unearned (Running More Than One Year from Date of Policy) (a)	3 Earned But Unbilled Premium	4 Reserve for Rate Credits and Retrospective Adjustments Based on Experience	5 Total Reserve for Unearned Premiums Cols. 1 + 2 + 3 + 4
1. Fire.....					0
2. Allied lines.....					0
3. Farmowners multiple peril.....					0
4. Homeowners multiple peril.....					0
5. Commercial multiple peril.....					0
6. Mortgage guaranty.....					0
8. Ocean marine.....					0
9. Inland marine.....					0
10. Financial guaranty.....					0
11.1 Medical professional liability - occurrence.....					0
11.2 Medical professional liability - claims-made.....					0
12. Earthquake.....					0
13. Group accident and health.....					0
14. Credit accident and health (group and individual).....					0
15. Other accident and health.....					0
16. Workers' compensation.....					0
17.1 Other liability - occurrence.....					0
17.2 Other liability - claims-made.....					0
17.3 Excess workers' compensation.....					0
18.1 Products liability - occurrence.....					0
18.2 Products liability - claims-made.....					0
19.1, 19.2 Private passenger auto liability.....					0
19.3, 19.4 Commercial auto liability.....					0
21. Auto physical damage.....					0
22. Aircraft (all perils).....					0
23. Fidelity.....					0
24. Surety.....	8,317,312				8,317,312
26. Burglary and theft.....					0
27. Boiler and machinery.....					0
28. Credit.....					0
29. International.....					0
30. Warranty.....	291,947				291,947
31. Reinsurance - nonproportional assumed property.....					0
32. Reinsurance - nonproportional assumed liability.....					0
33. Reinsurance - nonproportional assumed financial lines.....					0
34. Aggregate write-ins for other lines of business.....	0	0	0	0	0
35. TOTALS.....	8,609,259	0	0	0	8,609,259
36. Accrued retrospective premiums based on experience.....					
37. Earned but unbilled premiums.....					0
38. Balance (sum of Lines 35 through 37).....					8,609,259

**DETAILS OF WRITE-INS**

3401. ....					0
3402. ....					0
3403. ....					0
3498. Summary of remaining write-ins for Line 34 from overflow page	0	0	0	0	0
3499. Totals (Lines 3401 through 3403 plus 3498) (Line 34 above).....	0	0	0	0	0

(a) State here basis of computation used in each case: Monthly pro-rata

**EVERGREEN NATIONAL INDEMNITY COMPANY**  
**UNDERWRITING AND INVESTMENT EXHIBIT**

**PART 1B - PREMIUMS WRITTEN**

Line of Business	1 Direct Business (a)	Reinsurance Assumed		Reinsurance Ceded		6 Net Premiums Written (Cols. 1 + 2 + 3 - 4 - 5)
		2 From Affiliates	3 From Non-Affiliates	4 To Affiliates	5 To Non-Affiliates	
1. Fire.....						0
2. Allied lines.....						0
3. Farmowners multiple peril.....						0
4. Homeowners multiple peril.....						0
5. Commercial multiple peril.....						0
6. Mortgage guaranty.....						0
8. Ocean marine.....						0
9. Inland marine.....						0
10. Financial guaranty.....						0
11.1 Medical professional liability - occurrence.....						0
11.2 Medical professional liability - claims-made.....						0
12. Earthquake.....						0
13. Group accident and health.....						0
14. Credit accident and health (group and individual).....						0
15. Other accident and health.....						0
16. Workers' compensation.....						0
17.1 Other liability - occurrence.....						0
17.2 Other liability - claims-made.....						0
17.3 Excess workers' compensation.....						0
18.1 Products liability - occurrence.....						0
18.2 Products liability - claims-made.....						0
19.1, 19.2 Private passenger auto liability.....						0
19.3, 19.4 Commercial auto liability.....						0
21. Auto physical damage.....						0
22. Aircraft (all perils).....						0
23. Fidelity.....						0
24. Surety.....	37,131,912		2,463,247		20,986,548	18,608,611
26. Burglary and theft.....						0
27. Boiler and machinery.....						0
28. Credit.....						0
29. International.....						0
30. Warranty.....	87,190		50,380			137,570
31. Reinsurance - nonproportional assumed property.....	XXX					0
32. Reinsurance - nonproportional assumed liability.....	XXX					0
33. Reinsurance - nonproportional assumed financial lines.....	XXX					0
34. Aggregate write-ins for other lines of business.....	0	0	0	0	0	0
35. TOTALS.....	37,219,102	0	2,513,627	0	20,986,548	18,746,181

**DETAILS OF WRITE-INS**

3401.....						0
3402.....						0
3403.....						0
3498. Summary of remaining write-ins for Line 34 from overflow page.....	0	0	0	0	0	0
3499. Totals (Lines 3401 through 3403 plus 3498) (Line 34 above).....	0	0	0	0	0	0

(a) Does the company's direct premiums written include premiums recorded on an installment basis? Yes [ ] No [X]

If yes: 1. The amount of such installment premiums \$.....0.

2. Amount at which such installment premiums would have been reported had they been recorded on an annualized basis \$.....0.

## UNDERWRITING AND INVESTMENT EXHIBIT

## PART 2 - LOSSES PAID AND INCURRED

Line of Business	Losses Paid Less Salvage				5 Net Losses Unpaid Current Year (Part 2A, Col. 8)	6 Net Losses Unpaid Prior Year	7 Losses Incurred Current Year (Cols. 4 + 5 - 6)	8 Percentage of Losses Incurred (Col. 7, Part 2) to Premiums Earned (Col. 4, Part 1)
	1 Direct Business	2 Reinsurance Assumed	3 Reinsurance Recovered	4 Net Payments (Cols. 1 + 2 - 3)				
1. Fire.....				0	0		0	0.0
2. Allied lines.....				0	0		0	0.0
3. Farmowners multiple peril.....				0	0		0	0.0
4. Homeowners multiple peril.....				0	0		0	0.0
5. Commercial multiple peril.....				0	0		0	0.0
6. Mortgage guaranty.....				0	0		0	0.0
8. Ocean marine.....				0	0		0	0.0
9. Inland marine.....				0	0		0	0.0
10. Financial guaranty.....				0	0		0	0.0
11.1 Medical professional liability - occurrence.....				0	0		0	0.0
11.2 Medical professional liability - claims-made.....				0	0		0	0.0
12. Earthquake.....				0	0		0	0.0
13. Group accident and health.....				0	0		0	0.0
14. Credit accident and health (group and individual).....				0	0		0	0.0
15. Other accident and health.....				0	0		0	0.0
16. Workers' compensation.....	656,855	601	657,456	0	0		0	0.0
17.1 Other liability - occurrence.....				0	0		0	0.0
17.2 Other liability - claims-made.....				0	0		0	0.0
17.3 Excess workers' compensation.....				0	0		0	0.0
18.1 Products liability - occurrence.....				0	0		0	0.0
18.2 Products liability - claims-made.....				0	0		0	0.0
19.1, 19.2 Private passenger auto liability.....				0	0		0	0.0
19.3, 19.4 Commercial auto liability.....				0	0		0	0.0
21. Auto physical damage.....				0	0		0	0.0
22. Aircraft (all perils).....				0	0		0	0.0
23. Fidelity.....				0	0		0	0.0
24. Surety.....	2,802,480			2,802,480	4,475,371	3,471,989	3,805,862	23.5
26. Burglary and theft.....				0	0		0	0.0
27. Boiler and machinery.....				0	0		0	0.0
28. Credit.....				0	0		0	0.0
29. International.....				0	0		0	0.0
30. Warranty.....				0	0		0	0.0
31. Reinsurance - nonproportional assumed property.....	XXX			0	0		0	0.0
32. Reinsurance - nonproportional assumed liability.....	XXX			0	0		0	0.0
33. Reinsurance - nonproportional assumed financial lines.....	XXX			0	0		0	0.0
34. Aggregate write-ins for other lines of business.....	0	0	0	0	0	0	0	0.0
35. TOTALS.....	3,459,335	601	657,456	2,802,480	4,475,371	3,471,989	3,805,862	23.3
DETAILS OF WRITE-INS								
3401. ....				0	0		0	0.0
3402. ....				0	0		0	0.0
3403. ....				0	0		0	0.0
3498. Summary of remaining write-ins for Line 34 from overflow page.....	0	0	0	0	0	0	0	XXX
3499. Totals (Lines 3401 through 3403 plus 3498) (Line 34 above).....	0	0	0	0	0	0	0	0.0

## UNDERWRITING AND INVESTMENT EXHIBIT

## PART 2A - UNPAID LOSSES AND LOSS ADJUSTMENT EXPENSES

Line of Business	Reported Losses				Incurred But Not Reported			8	9
	1	2	3	4	5	6	7		
	Direct	Reinsurance Assumed	Deduct Reinsurance Recoverable	Net Losses Excluding Incurred but not Reported (Cols. 1 + 2 - 3)	Direct	Reinsurance Assumed	Reinsurance Ceded	Net Losses Unpaid (Cols. 4 + 5 + 6 - 7)	Net Unpaid Loss Adjustment Expenses
1. Fire.....				0				0	0
2. Allied lines.....				0				0	0
3. Farmowners multiple peril.....				0				0	0
4. Homeowners multiple peril.....				0				0	0
5. Commercial multiple peril.....				0				0	0
6. Mortgage guaranty.....				0				0	0
8. Ocean marine.....				0				0	0
9. Inland marine.....				0				0	0
10. Financial guaranty.....				0				0	0
11.1 Medical professional liability - occurrence.....				0				0	0
11.2 Medical professional liability - claims-made.....				0				0	0
12. Earthquake.....				0				0	0
13. Group accident and health.....				0				(a).	0
14. Credit accident and health (group and individual).....				0				0	0
15. Other accident and health.....				0				(a).	0
16. Workers' compensation.....	3,711,399	15,998	3,727,397	0	1,202,972	27,768	1,230,740	0	0
17.1 Other liability - occurrence.....				0				0	0
17.2 Other liability - claims-made.....				0				0	0
17.3 Excess workers' compensation.....				0				0	0
18.1 Products liability - occurrence.....				0				0	0
18.2 Products liability - claims-made.....				0				0	0
19.1, 19.2 Private passenger auto liability.....				0				0	0
19.3, 19.4 Commercial auto liability.....				0				0	0
21. Auto physical damage.....				0				0	0
22. Aircraft (all perils).....				0				0	0
23. Fidelity.....				0				0	0
24. Surety.....	787,905		.532,897	.255,008	10,682,961	1,624,883	8,087,481	4,475,371	875,281
26. Burglary and theft.....				0				0	0
27. Boiler and machinery.....				0				0	0
28. Credit.....				0				0	0
29. International.....				0				0	0
30. Warranty.....				0				0	0
31. Reinsurance - nonproportional assumed property.....	XXX			0	XXX			0	0
32. Reinsurance - nonproportional assumed liability.....	XXX			0	XXX			0	0
33. Reinsurance - nonproportional assumed financial lines.....	XXX		0	0	XXX			0	0
34. Aggregate write-ins for other lines of business.....	0	0	0	0	0	0	0	0	0
35. TOTALS.....	4,499,304	15,998	4,260,294	255,008	11,885,933	1,652,651	9,318,221	4,475,371	875,281

## DETAILS OF WRITE-INS

3401. ....					0				0
3402. ....					0				0
3403. ....					0				0
3498. Summary of remaining write-ins for Line 34 from overflow page.....	0	0	0	0	0	0	0	0	0
3499. Totals (Lines 3401 through 3403 plus 3498) (Line 34 above).....	0	0	0	0	0	0	0	0	0

(a) Including \$.....0 for present value of life indemnity claims.

**EVERGREEN NATIONAL INDEMNITY COMPANY**  
**UNDERWRITING AND INVESTMENT EXHIBIT**

**PART 3 - EXPENSES**

	1 Loss Adjustment Expenses	2 Other Underwriting Expenses	3 Investment Expenses	4 Total
1. Claim adjustment services:				
1.1 Direct.....	(21,149)			(21,149)
1.2 Reinsurance assumed.....	(71,609)			(71,609)
1.3 Reinsurance ceded.....	(34,833)			(34,833)
1.4 Net claim adjustment services (1.1 + 1.2 - 1.3).....	(57,925)	0	0	(57,925)
2. Commission and brokerage:				
2.1 Direct, excluding contingent.....		17,411,268		17,411,268
2.2 Reinsurance assumed, excluding contingent.....		1,192,637		1,192,637
2.3 Reinsurance ceded, excluding contingent.....		10,406,830		10,406,830
2.4 Contingent - direct.....				0
2.5 Contingent - reinsurance assumed.....				0
2.6 Contingent - reinsurance ceded.....				0
2.7 Policy and membership fees.....				0
2.8 Net commission and brokerage (2.1 + 2.2 - 2.3 + 2.4 + 2.5 - 2.6 + 2.7).....	0	8,197,075	0	8,197,075
3. Allowances to manager and agents.....				0
4. Advertising.....		35,465		35,465
5. Boards, bureaus and associations.....		84,808		84,808
6. Surveys and underwriting reports.....				0
7. Audit of assureds' records.....				0
8. Salary and related items:				
8.1 Salaries.....		1,671,733	25,458	1,697,191
8.2 Payroll taxes.....		109,983	1,675	111,658
9. Employee relations and welfare.....		329,205	5,013	334,218
10. Insurance.....		57,318		57,318
11. Directors' fees.....				0
12. Travel and travel items.....		140,814	2,144	142,958
13. Rent and rent items.....		138,506	2,109	140,615
14. Equipment.....		24,195	368	24,563
15. Cost or depreciation of EDP equipment and software.....		1,417	22	1,439
16. Printing and stationery.....		7,131	109	7,240
17. Postage, telephone and telegraph, exchange and express.....		10,346	158	10,504
18. Legal and auditing.....		262,370	3,995	266,365
19. Totals (Lines 3 to 18).....	0	2,873,291	41,051	2,914,342
20. Taxes, licenses and fees:				
20.1 State and local insurance taxes deducting guaranty association credits of \$.....0.....		712,173		712,173
20.2 Insurance department licenses and fees.....		200,441		200,441
20.3 Gross guaranty association assessments.....				0
20.4 All other (excluding federal and foreign income and real estate).....		6,797		6,797
20.5 Total taxes, licenses and fees (20.1 + 20.2 + 20.3 + 20.4).....	0	919,411	0	919,411
21. Real estate expenses.....				0
22. Real estate taxes.....				0
23. Reimbursements by uninsured plans.....				0
24. Aggregate write-ins for miscellaneous expenses.....	0	195,775	355,844	551,619
25. Total expenses incurred.....	(57,925)	12,185,552	396,895	12,524,522
26. Less unpaid expenses - current year.....	875,281	760,211	23,818	1,659,310
27. Add unpaid expenses - prior year.....	931,776	506,736	22,128	1,460,640
28. Amounts receivable relating to uninsured plans, prior year.....				0
29. Amounts receivable relating to uninsured plans, current year.....				0
30. TOTAL EXPENSES PAID (Lines 25 - 26 + 27 - 28 + 29).....	(1,430)	11,932,077	395,205	12,325,852

**DETAILS OF WRITE-INS**

2401. Other Outside Services.....		100,897	355,844	456,741
2402. Data Processing.....		69,295		69,295
2403. Other.....		25,583		25,583
2498. Summary of remaining write-ins for Line 24 from overflow page.....	0	0	0	0
2499. Totals (Lines 2401 through 2403 plus 2498) (Line 24 above).....	0	195,775	355,844	551,619

(a) Includes management fees of \$.....0 to affiliates and \$.....0 to non-affiliates.

**EVERGREEN NATIONAL INDEMNITY COMPANY**  
**EXHIBIT OF NET INVESTMENT INCOME**

	1 Collected During Year	2 Earned During Year
1. U.S. government bonds.....	(a).....87,012	.....87,705
1.1 Bonds exempt from U.S. tax.....	(a).....333,433	.....345,891
1.2 Other bonds (unaffiliated).....	(a).....772,635	.....769,549
1.3 Bonds of affiliates.....	(a).....	.....
2.1 Preferred stocks (unaffiliated).....	(b).....287,185	.....287,192
2.11 Preferred stocks of affiliates.....	(b).....	.....
2.2 Common stocks (unaffiliated).....	.....286,042	.....279,187
2.21 Common stocks of affiliates.....	.....	.....
3. Mortgage loans.....	(c).....	.....
4. Real estate.....	(d).....	.....
5. Contract loans.....	.....	.....
6. Cash, cash equivalents and short-term investments.....	(e).....83,178	.....88,637
7. Derivative instruments.....	(f).....	.....
8. Other invested assets.....	.....253,603	.....253,605
9. Aggregate write-ins for investment income.....	.....0	.....0
10. Total gross investment income.....	.....2,103,088	.....2,111,767
11. Investment expenses.....	.....	(g).....396,895
12. Investment taxes, licenses and fees, excluding federal income taxes.....	.....	(g).....
13. Interest expense.....	.....	(h).....
14. Depreciation on real estate and other invested assets.....	.....	(i).....0
15. Aggregate write-ins for deductions from investment income.....	.....	.....0
16. Total deductions (Lines 11 through 15).....	.....	.....396,895
17. Net investment income (Line 10 minus Line 16).....	.....	.....1,714,872

**DETAILS OF WRITE-INS**

0901.....		
0902.....		
0903.....		
0998. Summary of remaining write-ins for Line 9 from overflow page.....	.....0	.....0
0999. Totals (Lines 0901 through 0903 plus 0998) (Line 9 above).....	.....0	.....0
1501.....		
1502.....		
1503.....		
1598. Summary of remaining write-ins for Line 15 from overflow page.....	.....	.....0
1599. Totals (Lines 1501 through 1503 plus 1598) (Line 15 above).....	.....	.....0

- (a) Includes \$....61,727 accrual of discount less \$....64,680 amortization of premium and less \$....27,293 paid for accrued interest on purchases.
- (b) Includes \$.....0 accrual of discount less \$....2,703 amortization of premium and less \$.....0 paid for accrued dividends on purchases.
- (c) Includes \$.....0 accrual of discount less \$.....0 amortization of premium and less \$.....0 paid for accrued interest on purchases.
- (d) Includes \$.....0 for company's occupancy of its own buildings; and excludes \$.....0 interest on encumbrances.
- (e) Includes \$.....0 accrual of discount less \$.....0 amortization of premium and less \$....172 paid for accrued interest on purchases.
- (f) Includes \$.....0 accrual of discount less \$.....0 amortization of premium.
- (g) Includes \$.....0 investment expenses and \$.....0 investment taxes, licenses and fees, excluding federal income taxes, attributable to segregated and Separate Accounts.
- (h) Includes \$.....0 interest on surplus notes and \$.....0 interest on capital notes.
- (i) Includes \$.....0 depreciation on real estate and \$.....0 depreciation on other invested assets.

**EXHIBIT OF CAPITAL GAINS (LOSSES)**

	1 Realized Gain (Loss) on Sales or Maturity	2 Other Realized Adjustments	3 Total Realized Capital Gain (Loss) (Columns 1 + 2)	4 Change in Unrealized Capital Gain (Loss)	5 Change in Unrealized Foreign Exchange Capital Gain (Loss)
1. U.S. government bonds.....	.21	.....	.21	.....	.....
1.1 Bonds exempt from U.S. tax.....	54,581	.....	54,581	.....24,909	.....
1.2 Other bonds (unaffiliated).....	5,389	.....	5,389	.....38,391	.....
1.3 Bonds of affiliates.....	.....0	.....	.....0	.....	.....
2.1 Preferred stocks (unaffiliated).....	21,068	.....	21,068	.....383,969	.....
2.11 Preferred stocks of affiliates.....	.....0	.....	.....0	.....	.....
2.2 Common stocks (unaffiliated).....	(37,525)	.....	(37,525)	.....2,764,833	.....
2.21 Common stocks of affiliates.....	.....0	.....	.....0	.....	.....
3. Mortgage loans.....	.....	.....	.....0	.....	.....
4. Real estate.....	.....	.....	.....0	.....	.....
5. Contract loans.....	.....	.....	.....0	.....	.....
6. Cash, cash equivalents and short-term investments.....	.....	.....	.....0	.....	.....
7. Derivative instruments.....	.....	.....	.....0	.....	.....
8. Other invested assets.....	(500)	.....	(500)	.....532,687	.....
9. Aggregate write-ins for capital gains (losses).....	0	0	0	0	0
10. Total capital gains (losses).....	43,034	0	43,034	.....3,744,789	.....0

**DETAILS OF WRITE-INS**

0901.....			.....0		
0902.....			.....0		
0903.....			.....0		
0998. Summary of remaining write-ins for Line 9 from overflow page.....	0	0	0	0	0
0999. Totals (Lines 0901 through 0903 plus 0998) (Line 9 above).....	0	0	0	0	0

**EVERGREEN NATIONAL INDEMNITY COMPANY**  
**EXHIBIT OF NONADMITTED ASSETS**

	1 Current Year Total Nonadmitted Assets	2 Prior Year Total Nonadmitted Assets	3 Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
1. Bonds (Schedule D).....			0
2. Stocks (Schedule D):			0
2.1 Preferred stocks.....			0
2.2 Common stocks.....			0
3. Mortgage loans on real estate (Schedule B):			0
3.1 First liens.....			0
3.2 Other than first liens.....			0
4. Real estate (Schedule A):			0
4.1 Properties occupied by the company.....			0
4.2 Properties held for the production of income.....			0
4.3 Properties held for sale.....			0
5. Cash (Schedule E-Part 1), cash equivalents (Schedule E-Part 2) and short-term investments (Schedule DA).....			0
6. Contract loans.....			0
7. Derivatives (Schedule DB).....			0
8. Other invested assets (Schedule BA).....			0
9. Receivables for securities.....			0
10. Securities lending reinvested collateral assets (Schedule DL).....			0
11. Aggregate write-ins for invested assets.....	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	0	0	0
13. Title plants (for Title insurers only).....			0
14. Investment income due and accrued.....			0
15. Premiums and considerations:			0
15.1 Uncollected premiums and agents' balances in the course of collection.....			0
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due.....			0
15.3 Accrued retrospective premiums and contracts subject to redetermination.....			0
16. Reinsurance:			0
16.1 Amounts recoverable from reinsurers.....			0
16.2 Funds held by or deposited with reinsured companies.....			0
16.3 Other amounts receivable under reinsurance contracts.....			0
17. Amounts receivable relating to uninsured plans.....			0
18.1 Current federal and foreign income tax recoverable and interest thereon.....			0
18.2 Net deferred tax asset.....	82,979	288,846	205,867
19. Guaranty funds receivable or on deposit.....			0
20. Electronic data processing equipment and software.....			0
21. Furniture and equipment, including health care delivery assets.....			0
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0
23. Receivables from parent, subsidiaries and affiliates.....			0
24. Health care and other amounts receivable.....			0
25. Aggregate write-ins for other-than-invested assets.....	14,280	14,118	(162)
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	97,259	302,964	205,705
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0
28. TOTALS (Lines 26 and 27).....	97,259	302,964	205,705

**DETAILS OF WRITE-INS**

1101.....			0
1102.....			0
1103.....			0
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above).....	0	0	0
2501. Prepaid Insurance.....	9,032	8,870	(162)
2502. Miscellaneous Receivable.....	5,248	5,248	0
2503.....			0
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above).....	14,280	14,118	(162)

**NOTES TO FINANCIAL STATEMENTS****Note 1 – Summary of Significant Accounting Policies and Going Concern****A. Accounting Practices**

The financial statements of Evergreen National Indemnity Company (Company) are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance (Department).

To the extent possible, the accompanying financial statements have been prepared in substantial conformity with the National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures Manual (NAIC SAP), except where the laws of the State of Ohio differ. There are no material differences between Ohio basis of accounting and NAIC SAP.

A reconciliation of the Company's net income and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below:

	SSAP #	F/S Page	F/S Line #	2019	2018
<b>NET INCOME</b>					
(1) Company state basis (Page 4, Line 20, Columns 1 & 2)	XXX	XXX	XXX	\$ 1,792,981	\$ 3,451,042
(2) State Prescribed Practices that are an increase/(decrease) from NAIC SAP					
N/A				\$	\$
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP					
N/A				\$	\$
(4) NAIC SAP (1 – 2 – 3 = 4)	XXX	XXX	XXX	\$ 1,792,981	\$ 3,451,042
<b>SURPLUS</b>					
(5) Company state basis (Page 3, Line 37, Columns 1 & 2)	XXX	XXX	XXX	\$ 36,942,138	\$ 34,428,167
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP					
N/A				\$	\$
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP					
N/A				\$	\$
(8) NAIC SAP (5 – 6 – 7 = 8)	XXX	XXX	XXX	\$ 36,942,138	\$ 34,428,167

**B. Use of Estimates in the Preparation of the Financial Statement**

The preparation of financial statements in conformity with Statutory Accounting Principles (SAP) requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

**C. Accounting Policy**

Premiums are earned over the terms of the related policies and reinsurance contracts. Unearned premiums are established to cover the unexpired portion of premiums written. Such reserves are computed by pro rata methods for direct business and are based on reports received from ceding companies for reinsurance assumed.

Expenses incurred with acquiring new insurance business, including acquisition costs such as sales commissions, are charged to operations as incurred. Expenses incurred are reduced for ceding allowances received or receivable.

In addition, the Company uses the following accounting policies:

1. Short-term investments are stated at amortized cost.
2. Bonds not backed by other loans are stated at amortized cost using the interest method.
3. Common stocks are stated at fair market value.
4. Preferred stocks are stated in accordance with the guidance provided in SSAP No. 32.
5. The mortgage loan on real estate is stated at purchase price less principal payments received.
6. Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair market value. The retrospective adjustment method is used to value such securities.
7. The Company does not have any investments in subsidiaries, controlled and affiliated entities.
8. Investments in partnerships, joint ventures, and limited liability companies (Schedule BA assets) are stated at the underlying U.S. tax equity value, as the audited GAAP equity is not available at the time of preparation of these financial statements, per SSAP 48. Refer to Schedule BA for detail.
9. The Company does not invest in derivatives.
10. The Company does not have any premium deficiencies.
11. Unpaid losses and loss adjustment expenses include an amount determined from individual case estimates and loss reports and an amount, based on past experience, for losses incurred but not reported. Such liabilities are based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liability is continually reviewed and any adjustments are reflected in the period determined.

**NOTES TO FINANCIAL STATEMENTS**

12. The Company has not modified its capitalization policy from prior period.  
 13. The Company does not have any pharmaceutical rebate receivables.

D. Going Concern  
 No going concern issues.

**Note 2 – Accounting Changes and Correction of Errors**

Not applicable

**Note 3 – Business Combinations and Goodwill**

A. Statutory Purchase Method  
 Not applicable

B. Statutory Merger  
 Not applicable

C. Impairment Loss  
 Not applicable

**Note 4 – Discontinued Operations**

Not applicable

**Note 5 – Investments**

A. Mortgage Loans, including Mezzanine Real Estate Loans

(1) Maximum and Minimum Lending Rates  
 The Company has one mortgage loan. The nominal annual interest rate is 7.5%.

(2) The maximum percentage of any one loan to the value of security at the time of the loan, exclusive of insured or guaranteed or purchase money mortgage was 71.94%.

(3) There were no taxes, assessments or any amounts advanced and not included in the mortgage loan.

(4) Age Analysis of Mortgage Loans and Identification of Mortgage Loans in which the Insurer is a Participant or Co-Lender in a Mortgage Loan Agreement:

	Farm	Residential		Commercial		Mezzanine	Total			
		Insured	All Other	Insured	All Other					
<b>a. Current Year</b>										
1. Recorded Investment (All)										
(a) Current	\$	\$	\$	\$	\$	\$	\$			
(b) 30-59 Days Past Due	\$	\$	\$	\$	\$	\$	\$			
(c) 60-89 Days Past Due	\$	\$	\$	\$	\$	\$	\$			
(d) 90-179 Days Past Due	\$	\$	\$	\$	\$	\$	\$			
(e) 180+ Days Past Due	\$	\$	\$	\$	\$ 664,696	\$	\$ 664,696			
2. Accruing Interest 90-179 Days Past Due										
(a) Recorded Investment	\$	\$	\$	\$	\$	\$	\$			
(b) Interest Accrued	\$	\$	\$	\$	\$	\$	\$			
3. Accruing Interest 180+ Days Past Due										
(a) Recorded Investment	\$	\$	\$	\$	\$	\$	\$			
(b) Interest Accrued	\$	\$	\$	\$	\$	\$	\$			
4. Interest Reduced										
(a) Recorded Investment	\$	\$	\$	\$	\$	\$	\$			
(b) Number of Loans										
(c) Percent Reduced	%	%	%	%	%	%	%			
5. Participant or Co-Lender in a Mortgage Loan Agreement										
(a) Recorded Investment	\$	\$	\$	\$	\$ 664,696	\$	\$ 664,696			
<b>b. Prior Year</b>										
1. Recorded Investment (All)										
(a) Current	\$	\$	\$	\$	\$	\$	\$			
(b) 30-59 Days Past Due	\$	\$	\$	\$	\$	\$	\$			
(c) 60-89 Days Past Due	\$	\$	\$	\$	\$	\$	\$			
(d) 90-179 Days Past Due	\$	\$	\$	\$	\$	\$	\$			
(e) 180+ Days Past Due	\$	\$	\$	\$	\$ 664,696	\$	\$ 664,696			

**NOTES TO FINANCIAL STATEMENTS**

	Farm	Residential		Commercial		Mezzanine	Total
		Insured	All Other	Insured	All Other		
2. Accruing Interest 90-179 Days Past Due							
(a) Recorded Investment	\$	\$	\$	\$	\$	\$	\$
(b) Interest Accrued	\$	\$	\$	\$	\$	\$	\$
3. Accruing Interest 180+ Days Past Due							
(a) Recorded Investment	\$	\$	\$	\$	\$	\$	\$
(b) Interest Accrued	\$	\$	\$	\$	\$	\$	\$
4. Interest Reduced							
(a) Recorded Investment	\$	\$	\$	\$	\$	\$	\$
(b) Number of Loans							
(c) Percent Reduced	%	%	%	%	%	%	%
5. Participant or Co-Lender in a Mortgage Loan Agreement							
(a) Recorded Investment	\$	\$	\$	\$	\$ 664,696	\$	\$ 664,696

(5) Investment in Impaired Loans with or without Allowance for Credit Losses and Impaired Loans Subject to a Participant or Co-Lender Mortgage Loan Agreement for which the Reporting Entity is Restricted from Unilaterally Foreclosing on the Mortgage Loan:

	Farm	Residential		Commercial		Mezzanine	Total
		Insured	All Other	Insured	All Other		
a. Current Year							
1. With Allowance for Credit Losses	\$	\$	\$	\$	\$	\$	\$
2. No Allowance for Credit Losses					\$ 664,696		\$ 664,696
3. Total (1 + 2)	\$	\$	\$	\$	\$ 664,696	\$	\$ 664,696
4. Subject to a Participant or Co-Lender Mortgage Loan Agreement for which the Reporting Entity is Restricted from Unilaterally Foreclosing on the Mortgage Loan							
b. Prior Year							
1. With Allowance for Credit Losses	\$	\$	\$	\$	\$	\$	\$
2. No Allowance for Credit Losses	\$	\$	\$	\$	\$ 664,696	\$	\$ 664,696
3. Total (1 + 2)	\$	\$	\$	\$	\$ 664,696	\$	\$ 664,696
4. Subject to a Participant or Co-Lender Mortgage Loan Agreement for which the Reporting Entity is Restricted from Unilaterally Foreclosing on the Mortgage Loan	\$	\$	\$	\$	\$	\$	\$

(6) Investment in Impaired Loans – Average Recorded Investment, Interest Income Recognized, Recorded Investment on Nonaccrual Status and Amount of Interest Income Recognized Using a Cash-Basis Method of Accounting:

	Farm	Residential		Commercial		Mezzanine	Total
		Insured	All Other	Insured	All Other		
a. Current Year							
1. Average Recorded Investment	\$	\$	\$	\$	\$ 664,696	\$	\$ 664,696
2. Interest Income Recognized	\$	\$	\$	\$	\$	\$	\$
3. Recorded Investments on Nonaccrual Status	\$	\$	\$	\$	\$	\$	\$
4. Amount of Interest Income Recognized Using a Cash-Basis Method of Accounting	\$	\$	\$	\$	\$	\$	\$
b. Prior Year							
1. Average Recorded Investment	\$	\$	\$	\$	\$ 664,696	\$	\$ 664,696
2. Interest Income Recognized	\$	\$	\$	\$	\$	\$	\$
3. Recorded Investments on Nonaccrual Status	\$	\$	\$	\$	\$	\$	\$
4. Amount of Interest Income Recognized Using a Cash-Basis Method of Accounting	\$	\$	\$	\$	\$	\$	\$

**NOTES TO FINANCIAL STATEMENTS**

(7) Allowance for Credit Balances:  
Not applicable

(8) Mortgage Loans Derecognized as a Result of Foreclosure:  
Not applicable

(9) Interest Income on Impaired Loans  
Not applicable

B. Debt Restructuring  
Not applicable

C. Reverse Mortgages  
Not applicable

D. Loan-Backed Securities

(1) Prepayment assumptions were determined from independent security information service providers (e.g., Reuters) or the Company's external investment advisory firm.

(2) Other-Than-Temporary Impairments  
The Company did not recognize any other-than-temporary impairments on loan-backed or structured securities during the current year due to an intent to sell or an inability or lack of intent to retain the investment until recovery.

(3) Recognized OTTI Securities  
The Company did not recognize any other-than-temporary impairments on loan-backed or structured securities during the current year due to the present value of cash flows expected to be less than amortized cost.

(4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains):

a. The aggregate amount of unrealized losses:	1. Less than 12 Months	\$ 18,234
	2. 12 Months or Longer	\$ 156,603
b. The aggregate related fair value of securities with unrealized losses:	1. Less than 12 Months	\$ 1,115,277
	2. 12 Months or Longer	\$ 2,581,796

(5) All loan-backed and structured securities in an unrealized loss position were reviewed to determine whether other-than-temporary impairments should be recognized. The Company asserts that it has the intent and ability to hold these securities long enough to allow the cost basis of these securities to be recovered. These conclusions are supported by a detail analysis of the underlying credit and cash flows of each security. Unrealized losses are primarily attributable to credit spread widening and increased liquidity discounts. It is possible that the Company could recognize other-than-temporary impairments in the future on some of the securities, if future events, information and the passage of time causes it to conclude that declines in value are other-than-temporary.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions  
Not applicable

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing  
Not applicable

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing  
Not applicable

H. Repurchase Agreements Transactions Accounted for as a Sale  
Not applicable

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale  
Not applicable

J. Real Estate  
Not applicable

K. Low-Income Housing Tax Credits (LIHTC)  
Not applicable

L. Restricted Assets

(1) Restricted Assets (Including Pledged)

Restricted Asset Category	Gross (Admitted & Nonadmitted)					Restricted	
	Current Year					6	7
	1 Total General Account (G/A)	2 G/A Supporting Protected Cell Account Activity (a)	3 Total Protected Call Account (S/A) Restricted Assets	4 Protected Cell Account Assets Supporting G/A Activity (b)	5 Total (1 plus 3)		
a. Subject to contractual obligation for which	\$	\$	\$	\$	\$	\$	\$

**NOTES TO FINANCIAL STATEMENTS**

Restricted Asset Category		Gross	(Admitted & Current)	Nonadmitted Year	Restricted		
	1	2	3	4	5	6	7
	Total General Account (G/A)	G/A Supporting Protected Cell Account Activity (a)	Total Protected Call Account (S/A) Restricted Assets	Protected Cell Account Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/ (Decrease) (5 minus 6)
liability is not shown							
b. Collateral held under security lending arrangements							
c. Subject to repurchase agreements							
d. Subject to reverse repurchase agreements							
e. Subject to dollar repurchase agreements							
f. Subject to dollar reverse repurchase agreements							
g. Placed under option contracts							
h. Letter stock or securities restricted as to sale – excluding FHLB capital stock							
i. FHLB capital stock							
j. On deposit with states	4,756,999				4,756,999	4,743,737	13,262
k. On deposit with other regulatory bodies							
l. Pledged as collateral to FHLB (including assets backing funding agreements)							
m. Pledged as collateral not captured in other categories	246,059				246,059	334,511	(88,452)
n. Other restricted assets	1,899,927				1,899,927	54,337	1,845,590
o. Total Restricted Assets	\$ 6,902,985	\$	\$	\$	\$ 6,902,985	\$ 5,132,585	\$ 1,770,400

Restricted Asset Category	Current Year				
	8 Total Nonadmitted Restricted	9 Total Admitted Restricted (5 minus 8)	Percentage		
			10	11	
			Gross (Admitted & Nonadmitted) Restricted to Total Assets (c)	Admitted Restricted to Total Admitted Assets (d)	
a. Subject to contractual obligation for which liability is not shown	\$	\$	%	%	
b. Collateral held under security lending arrangements			%	%	
c. Subject to repurchase agreements			%	%	
d. Subject to reverse repurchase agreements			%	%	
e. Subject to dollar repurchase agreements			%	%	
f. Subject to dollar reverse repurchase agreements			%	%	
g. Placed under option contracts			%	%	
h. Letter stock or securities restricted as to sale – excluding FHLB capital stock			%	%	
i. FHLB capital stock			%	%	
j. On deposit with states		4,756,999	8.3%	8.4%	
k. On deposit with other regulatory bodies			%	%	
l. Pledged as collateral to FHLB (including assets backing funding agreements)			%	%	
m. Pledged as collateral not captured in other categories		246,059	0.4%	0.4%	
n. Other restricted assets		1,899,927	3.3%	3.3%	
o. Total Restricted Assets	\$	\$ 6,902,985	12.1%	12.1%	

- (a) Subset of column 1
- (b) Subset of column 3
- (c) Column 5 divided by Asset Page, Column 1, Line 28
- (d) Column 9 divided by Asset Page, Column 3, Line 28

(2) Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, are Reported in the Aggregate)

Description of Assets	Gross (Admitted & Nonadmitted) Restricted					8 Total Current Year Admitted Restricted	Percentage		
	Current Year						9 Gross (Admitted & Nonadmitted) Restricted to	10 Admitted Restricted to Total Admitted	
	1 Total General Account (G/A)	2 G/A Supporting Protected Cell Activity (a)	3 Total Protected Cell Account Restricted	4 Protected Cell Assets Supporting G/A	5 Total (1 plus 3)				

**NOTES TO FINANCIAL STATEMENTS**

			Assets	Activity (b)					Total Assets	Assets
Warranty Business Pledged	\$ 246,059	\$	\$	\$ 246,059	\$ 334,511	\$ (88,452)	\$ 246,059	\$ 246,059	0.4%	0.4%
Total (c)	\$ 246,059	\$	\$	\$ 246,059	\$ 334,511	\$ (88,452)	\$ 246,059	\$ 246,059	0.4%	0.4%

(a) Subset of column 1  
 (b) Subset of column 3  
 (c) Total Line for Columns 1 through 7 should equal 5L(1)m Columns 1 through 7 respectively and Total Line for Columns 8 through 10 should equal 5L(1)m Columns 9 through 11 respectively.

## (3) Detail of Other Restricted Assets (Contracts that Share Similar Characteristics, such as Reinsurance and Derivatives, are Reported in the Aggregate)

Description of Assets	Gross (Admitted & Nonadmitted) Restricted					6	7	8	Percentage				
	Current Year								9	10			
	1	2	3	4	5				Gross (Admitted & Nonadmitted) Restricted to Total Assets	Admitted Restricted to Total Admitted Assets			
Retained For Others	\$ 1,899,927	\$	\$	\$ 1,899,927	\$ 54,337	\$ 1,845,590	\$ 1,899,927	3.3%	3.3%				
Total (c)	\$ 1,899,927	\$	\$	\$ 1,899,927	\$ 54,337	\$ 1,845,590	\$ 1,899,927	3.3%	3.3%				

(a) Subset of column 1  
 (b) Subset of column 3  
 (c) Total Line for Columns 1 through 7 should equal 5L(1)n Columns 1 through 7 respectively and Total Line for Columns 8 through 10 should equal 5L(1)n Columns 9 through 11 respectively.

## (4) Collateral Received and Reflected as Assets Within the Reporting Entity's Financial Statements

Collateral Assets	1 Book/Adjusted Carrying Value (BACV)	2 Fair Value	3 % of BACV to Total Assets (Admitted and Nonadmitted)*	4 % of BACV to Total Admitted Assets**
General Account:				
a. Cash, Cash Equivalents and Short-Term Investments	\$ 246,059	\$ 246,059	0.4%	0.4%
b. Schedule D, Part 1			%	%
c. Schedule D, Part 2, Sec. 1			%	%
d. Schedule D, Part 2, Sec. 2			%	%
e. Schedule B			%	%
f. Schedule A			%	%
g. Schedule BA, Part 1			%	%
h. Schedule DL, Part 1			%	%
i. Other			%	%
j. Total Collateral Assets (a+b+c+d+e+f+g+h+i)	\$ 246,059	\$ 246,059	0.4%	0.4%
Protected Cell:				
k. Cash, Cash Equivalents and Short-Term Investments	\$	\$	%	%
l. Schedule D, Part 1			%	%
m. Schedule D, Part 2, Sec. 1			%	%
n. Schedule D, Part 2, Sec. 2			%	%
o. Schedule B			%	%
p. Schedule A			%	%
q. Schedule BA, Part 1			%	%
r. Schedule DL, Part 1			%	%
s. Other			%	%
t. Total Collateral Assets (k+l+m+n+o+p+q+r+s)	\$	\$	%	%

\*. j = Column 1 divided by Asset Page, Line 26 (Column 1)

t = Column 1 divided by Asset Page, Line 27 (Column 1)

\*\* j = Column 1 divided by Asset Page, Line 26, (Column 3)

t = Column 1 divided by Asset Page, Line 27 (Column 3)

	1 Amount	2 % of Liability to Total Liabilities
u. Recognized Obligation to Return Collateral Asset (General Account)	\$	%
v. Recognized Obligation to Return Collateral Asset (Protected Cell)	\$	%

\* u = Column 1 divided by Liability Page, Line 26 (Column 1)

v = Column 1 divided by Liability Page, Line 27 (column 1)

M. Working Capital Finance Investments  
Not applicableN. Offsetting and Netting of Assets and Liabilities  
Not applicable

## O. 5GI Securities

Investment	Number of 5GI Securities		Aggregate BACV		Aggregate Fair Value	
	Current Year	Prior Year	Current Year	Prior Year	Current Year	Prior Year
(1) Bonds – AC	5	1	\$ 1,013,750	\$ 400,000	\$ 1,074,045	\$ 402,736
(2) Bonds – FV						
(3) LB & SS – AC						
(4) LB & SS – FV						
(5) Preferred Stock – AC						
(6) Preferred Stock – FV						
(7) Total (1+2+3+4+5+6)	5	1	\$ 1,013,750	\$ 400,000	\$ 1,074,045	\$ 402,736

**NOTES TO FINANCIAL STATEMENTS**

AC – Amortized Cost      FV – Fair Value

P. Short Sales  
Not applicableQ. Prepayment Penalty and Acceleration Fees  
Not applicable**Note 6 – Joint Ventures, Partnerships and Limited Liability Companies**

A. The Company has no investments in Joint Ventures, Partnerships or Limited Liability Companies that exceed 10% of its admitted assets.

B. The Company did not recognize any impairment write down for its investments in Joint Ventures, Partnerships and Limited Liability Companies during the statement periods.

**Note 7 – Investment Income**

A. The bases, by category of investment income, for excluding (nonadmitting) any investment income due and accrued:  
The Company non-admits investment income due and accrued if amounts are over 90 days past due.

B. The total amount excluded:  
At December 31, 2019 and 2018, the Company did not have any investment income amounts due and accrued over 90 days past due.

**Note 8 – Derivative Instruments**

Not applicable

**Note 9 – Income Taxes**

A. Deferred Tax Assets/(Liabilities)

## 1. Components of Net Deferred Tax Asset/(Liability)

	2019			2018			Change		
	1 Ordinary	2 Capital	3 (Col 1+2) Total	4 Ordinary	5 Capital	6 (Col 4+5) Total	7 (Col 1-4) Ordinary	8 (Col 2-5) Capital	9 (Col 7+8) Total
a. Gross deferred tax assets	\$ 421,451	\$ 69,511	\$ 490,962	\$ 304,723	\$ 341,732	\$ 646,455	\$ 116,728	\$ (272,221)	\$ (155,493)
b. Statutory valuation allowance adjustment									
c. Adjusted gross deferred tax assets (1a-1b)	\$ 421,451	\$ 69,511	\$ 490,962	\$ 304,723	\$ 341,732	\$ 646,455	\$ 116,728	\$ (272,221)	\$ (155,493)
d. Deferred tax assets nonadmitted	26,405	56,574	82,979	13,721	275,125	288,846	12,684	(218,551)	(205,867)
e. Subtotal net admitted deferred tax asset (1c-1d)	\$ 395,046	\$ 12,937	\$ 407,983	\$ 291,002	\$ 66,607	\$ 357,609	\$ 104,044	\$ (53,670)	\$ 50,374
f. Deferred tax liabilities		699,233	699,233		75,219	75,219		624,014	624,014
g. Net admitted deferred tax assets/(net deferred tax liability) (1e-1f)	\$ 395,046	\$ (686,296)	\$ (291,250)	\$ 291,002	\$ (8,612)	\$ 282,390	\$ 104,044	\$ (677,684)	\$ (573,640)

## 2. Admission Calculation Components SSAP No. 101

	2019			2018			Change		
	1 Ordinary	2 Capital	3 (Col 1+2) Total	4 Ordinary	5 Capital	6 (Col 4+5) Total	7 (Col 1-4) Ordinary	8 (Col 2-5) Capital	9 (Col 7+8) Total
a. Federal income taxes paid in prior years recoverable through loss carrybacks	\$ 395,046	\$ 12,937	\$ 407,983	\$ 291,001	\$ 66,607	\$ 357,608	\$ 104,045	\$ (53,670)	\$ 50,375
b. Adjusted gross deferred tax assets expected to be realized (excluding the amount of deferred tax assets from 2(a) above) after application of the threshold limitation. (The lesser of 2(b)1 and 2(b)2 below)									
1. Adjusted gross deferred tax assets expected to be realized following the balance sheet date									

**NOTES TO FINANCIAL STATEMENTS**

	2019			2018			Change		
	1 Ordinary	2 Capital	3 (Col 1+2) Total	4 Ordinary	5 Capital	6 (Col 4+5) Total	7 (Col 1-4) Ordinary	8 (Col 2-5) Capital	9 (Col 7+8) Total
2. Adjusted gross deferred tax assets allowed per limitation threshold									
c. Adjusted gross deferred tax assets (excluding the amount of deferred tax assets from 2(a) and 2(b) above) offset by gross deferred tax liabilities									
d. Deferred tax assets admitted as the result of application of SSAP 101. Total (2(a)+2(b)+2(c))	\$ 395,046	\$ 12,937	\$ 407,983	\$ 291,001	\$ 66,607	\$ 357,608	\$ 104,045	\$ (53,670)	\$ 50,375

## 3. Other Admissibility Criteria

	2019	2018
a. Ratio percentage used to determine recovery period and threshold limitation amount	1,586.3%	1,925.6%
b. Amount of adjusted capital and surplus used to determine recovery period and threshold limitation in 2(b)2 above	\$ 36,942,138	\$ 34,145,778

## 4. Impact of Tax Planning Strategies

## (a) Determination of adjusted gross deferred tax assets and net admitted deferred tax assets, by tax character as a percentage.

	2019		2018		Change	
	1 Ordinary	2 Capital	3 Ordinary	4 Capital	5 (Col. 1-3) Ordinary	6 (Col. 2-4) Capital
1. Adjusted gross DTAs amount from Note 9A1(c)	\$ 421,451	\$ 69,511	\$ 304,723	\$ 341,732	\$ 116,728	\$ (272,221)
2. Percentage of adjusted gross DTAs by tax character attributable to the impact of tax planning strategies	%	%	%	%	%	%
3. Net Admitted Adjusted Gross DTAs amount from Note 9A1(e)	\$ 395,046	\$ 12,937	\$ 291,002	\$ 66,607	\$ 104,044	\$ (53,670)
4. Percentage of net admitted adjusted gross DTAs by tax character admitted because of the impact of tax planning strategies	%	%	%	%	%	%

(b) Does the company's tax planning strategies include the use of reinsurance? NO

## B. Deferred Tax Liabilities Not Recognized

Not applicable

## C. Current and Deferred Income Taxes

## 1. Current Income Tax

	1 2019	2 2018	3 (Col 1-2) Change
a. Federal	\$ 366,423	\$ 758,343	\$ (391,920)
b. Foreign	\$	\$	\$
c. Subtotal	\$ 366,423	\$ 758,343	\$ (391,920)
d. Federal income tax on net capital gains	\$ 9,037	\$	\$ 9,037
e. Utilization of capital loss carry-forwards	\$	\$	\$
f. Other	\$	\$	\$
g. Federal and Foreign income taxes incurred	\$ 375,460	\$ 758,343	\$ (382,883)

## 2. Deferred Tax Assets

	1 2019	2 2018	3 (Col 1-2) Change
a. Ordinary:			
1. Discounting of unpaid losses	\$ 22,062	\$ 19,602	\$ 2,460

**NOTES TO FINANCIAL STATEMENTS**

	1 2019	2 2018	3 (Col 1-2) Change
2. Unearned premium reserve	361,589	260,477	101,112
3. Policyholder reserves			
4. Investments			
5. Deferred acquisition costs			
6. Policyholder dividends accrual			
7. Fixed assets		24,644	(24,644)
8. Compensation and benefits accrual			
9. Pension accrual			
10. Receivables - nonadmitted			
11. Net operating loss carry-forward			
12. Tax credit carry-forward			
13. Other (items <=5% and >5% of total ordinary tax assets)	37,800		37,800
Other (items listed individually >5% of total ordinary tax assets)			
99. Subtotal	\$ 421,451	\$ 304,723	\$ 116,728
b. Statutory valuation allowance adjustment			
c. Nonadmitted	26,405	13,721	12,684
d. Admitted ordinary deferred tax assets (2a99-2b-2c)	\$ 395,046	\$ 291,002	\$ 104,044
e. Capital:			
1. Investments	\$ 69,511	\$ 189,788	\$ (120,277)
2. Net capital loss carry-forward		151,944	(151,944)
3. Real estate			
4. Other (items <=5% and >5% of total capital tax assets)			
Other (items listed individually >5% of total capital tax assets)			
99. Subtotal	\$ 69,511	\$ 341,732	\$ (272,221)
f. Statutory valuation allowance adjustment			
g. Nonadmitted	56,574	275,125	(218,551)
h. Admitted capital deferred tax assets (2e99-2f-2g)	12,937	66,607	(53,670)
i. Admitted deferred tax assets (2d+2h)	\$ 407,983	\$ 357,609	\$ 50,374

## 3. Deferred Tax Liabilities

	1 2019	2 2018	3 (Col 1-2) Change
a. Ordinary:			
1. Investments	\$	\$	\$
2. Fixed assets			
3. Deferred and uncollected premium			
4. Policyholder reserves			
5. Other (items <=5% and >5% of total ordinary tax liabilities)			
Other (items listed individually >5% of total ordinary tax liabilities)			
99. Subtotal	\$	\$	\$
b. Capital:			
1. Investments	\$ 699,233	\$ 75,219	\$ 624,014
2. Real estate			
3. Other (Items <=5% and >5% of total capital tax liabilities)			
Other (items listed individually >5% of total capital tax liabilities)			
99. Subtotal	\$ 699,233	\$ 75,219	\$ 624,014
c. Deferred tax liabilities (3a99+3b99)	\$ 699,233	\$ 75,219	\$ 624,014
4. Net Deferred Tax Assets/Liabilities (2i – 3c)	\$ (291,250)	\$ 282,390	\$ (573,640)

D. Reconciliation of Federal Income Tax Rate to Actual Effective Rate Among the more significant book to tax adjustments were the following:

	Amount	Effective Tax Rate (%)
<b>Permanent Differences:</b>		
Provision computed at statutory rate	\$ 455,373	21.0%
Change in nonadmitted assets		%
Proration of tax exempt investment income		%
Tax exempt income deduction	(54,478)	(2.7)%
Dividends received deduction	(27,133)	(1.4)%
Disallowable travel and entertainment	17,510	0.9%
Other permanent differences	1,470	0.1%
<b>Temporary Differences:</b>		
Total ordinary DTAs		%
Total ordinary DTLs		%
Total capital DTAs		%
Total capital DTLs		%
Other:		

**NOTES TO FINANCIAL STATEMENTS**

	Amount	Effective Tax Rate (%)
Statutory valuation allowance adjustment		%
Accrual adjustment – prior year	(26,637)	(1.3)%
Other	2,455	0.2%
<b>Totals</b>	<b>\$ 368,560</b>	<b>16.8%</b>
Federal and foreign income taxes incurred	366,423	16.7%
Realized capital gains (losses) tax	9,037	0.1%
Change in net deferred income taxes	(6,900)	%
<b>Total statutory income taxes</b>	<b>\$ 368,560</b>	<b>16.8%</b>

**E. Operating Loss Carry Forwards and Income Taxes Available for Recoupment**

1. At December 31, 2019, the Company did not have any unused operating loss carryforwards available to offset against future taxable income.

2. The following is income tax expense for current year and proceeding years that is available for recoupment in the event of future net losses:

Year	Amounts
2019	\$366,423
2018	\$758,343

3. The Company did not have any protective tax deposits under Section 6603 of the Internal Revenue Code.

**F. Consolidated Federal Income Tax Return**

Not applicable

**G. Federal or Foreign Federal Income Tax Loss Contingencies:**

The Company does not have any tax loss contingencies for which it is reasonably possible that the total liability will significantly increase within twelve months of the reporting date.

**H. Repatriation Transition Tax (RTT) - RTT owed under the TCJA**

Not applicable

**I. Alternative Minimum Tax Credit**

Not applicable

**Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties****A. Nature of the Relationship Involved**

Approximately 65.06% of the outstanding voting shares are owned by ProAlliance Corporation (ProAlliance).

Effective August 1, 2014, ProAlliance Corporation and its shareholders entered into a stock purchase agreement with Stillwater Insurance Company (SIC). According to the agreement, SIC purchased 90% of the issued and outstanding capital stock of ProAlliance Corporation. The agreement was approved on July 29, 2014 by the Ohio Department of Insurance.

Total issued stock of the Company is comprised of the following: 30.35% by ProAlliance, a privately owned company incorporated in Ohio; 19.9% by Waste Management Holdings, Inc., a Delaware company; 19.9% Allied Waste North America, a Delaware company; 19.9% by Casella Waste Systems, Inc., a Delaware company; and 9.95% by Waste Connections, Inc., a Delaware company.

**B. Transactions**

In 2019 and 2018, the Company declared and paid dividends as follows:

- Declared May 16, 2019 and paid May 28, 2019 – an ordinary cash dividend of \$1,250,000
- Declared November 13, 2019 and paid November 25, 2019 – an ordinary cash dividend of \$1,200,000
- Declared May 14, 2018 and paid May 25, 2018 – an ordinary cash dividend of \$1,250,000
- Declared November 12, 2018 and paid November 23, 2018 – an ordinary cash dividend of \$1,200,000

**C. Dollar Amounts of Transactions**

Included in Section B above

**D. Amounts Due From or To Related Parties**

None as of December 31, 2019

**E. Guarantees or Undertakings**

Not applicable

**F. Material Management or Service Contracts and Cost-Sharing Arrangements**

Not applicable

**G. Nature of the Control Relationship**

The Company is a party to a holding company group that is detailed in Schedule Y Part 1 and Part 1A of this Annual Statement. Transactions of the holding company group is detailed in Schedule Y Part 2 of this Annual Statement.

**H. Amount Deducted from the Value of Upstream Intermediate Entity or Ultimate Parent Owned**

Not applicable

**I. Investments in SCA that Exceed 10% of Admitted Assets**

Not applicable

**J. Investments in Impaired SCAs**

Not applicable

**NOTES TO FINANCIAL STATEMENTS**

- K. Investment in Foreign Insurance Subsidiary  
Not applicable
- L. Investment in Downstream Noninsurance Holding Company  
Not applicable
- M. All SCA Investments  
Not applicable
- N. Investment in Insurance SCAs  
Not applicable
- O. SCA or SSAP 48 Entity Loss Tracking  
Not applicable

**Note 11 – Debt**

Not applicable

**Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

Not applicable

**Note 13 – Capital and Surplus, Shareholder's Dividend Restrictions and Quasi-Reorganizations**

- (1) Number of Shares and Par or State Value of Each Class
 

The Company has the following shares authorized, issued and outstanding at December 31, 2019:

**A shares (voting)**, \$500 par; 10,000 authorized; 6,000 issued and outstanding  
**B shares (non-voting)**, \$1 par; 25,000 authorized; 18,000 issued and outstanding  
**C shares (non-voting)**, \$1 par; 100 authorized; 3.5 issued and outstanding
- (2) Dividend Rate, Liquidation Value and Redemption Schedule of Preferred Stock Issues  
Not applicable
- (3) Dividend Restrictions
 

The maximum dividend which can be paid by Ohio incorporated insurance companies is subject to restrictions relating to the maintenance of minimum assets and capital. For example, no company shall make any distribution of dividends or assets unless the value of assets remaining is at least equal to the aggregate amount of debts and liabilities, including capital. In addition, no company which is part of a holding company system may make a cumulative twelve month distribution which exceeds the greater of ten percent of policyholders surplus as of the prior year or its net income of the previous calendar year. Accordingly, the maximum cumulative dividend payout to shareholders that may be made without prior approval of the Ohio Department of Insurance in 2020 is \$3,694,214, representing 10% of the surplus as regards policyholders as of December 31, 2019.
- (4) Dates and Amounts of Dividends Paid
 

In 2019 and 2018, the Company declared and paid dividends as follows:

  - c. Declared May 16, 2019 and paid May 28, 2019 – an ordinary cash dividend of \$1,250,000
  - d. Declared November 13, 2019 and paid November 25, 2019 – an ordinary cash dividend of \$1,200,000
  - c. Declared May 14, 2018 and paid May 25, 2018 – an ordinary cash dividend of \$1,250,000
  - d. Declared November 12, 2018 and paid November 23, 2018 – an ordinary cash dividend of \$1,200,000
- (5) Profits that may be Paid as Ordinary Dividends to Stockholders
 

Within the limitations of (3) above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to stockholders.
- (6) Restrictions Placed on Unassigned Funds (Surplus)
 

There were no restrictions placed on the Company's surplus, including for whom the surplus is being held.
- (7) Amount of Advances to Surplus not Repaid  
Not applicable
- (8) Amount of Stock Held for Special Purposes  
Not applicable
- (9) Reasons for Changes in Balance of Special Surplus Funds from Prior Period  
Not applicable
- (10) The Portion of Unassigned Funds (Surplus) Represented or Reduced by Unrealized Gains and Losses is: \$3,110,438.
- (11) The Reporting Entity Issued the Following Surplus Debentures or Similar Obligations  
Not applicable
- (12) The impact of any restatement due to prior quasi-reorganizations is as follows  
Not applicable
- (13) Effective Date of Quasi-Reorganization for a Period of Ten Years Following Reorganization  
Not applicable

**Note 14 – Liabilities, Contingencies and Assessments**

- A. Contingent Commitments  
Not applicable

**NOTES TO FINANCIAL STATEMENTS****B. Assessments**

The Company is subject to guaranty fund and other assessments by the states in which it writes business. Guaranty fund assessments should be accrued at the time of insolvencies. Other assessments should be accrued either at the time of assessments or in the case of premium based assessments, at the time the premiums were written, or, in the case of loss based assessments, at the time the losses are incurred.

The Company is not aware of any such insolvencies and has therefore, not accrued any liability for guaranty fund and other assessments as of December 31, 2019. This represents management's best estimate based on information received from the states in which the Company writes business and may change due to many factors including the Company's share of the ultimate cost of current insolvencies.

**C. Gain Contingencies**

Not applicable

**D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits**

Not applicable

**E. Product Warranties**

Not applicable

**F. Joint and Several Liabilities**

Not applicable

**G. All Other Contingencies**

Various lawsuits against the Company have arisen in the course of the Company's normal business operations. Contingent liabilities arising from litigation, income taxes, and other matters are not considered material in relation to the financial position of the Company. The Company has no asset that it considers to be impaired.

**Note 15 – Leases****A. Lessee Operating Lease****(1) Lessee's Leasing Arrangements**

The Company leases office facilities under various non-cancelable operating leases that expire November 30, 2021. The Company incurred rent expense of \$140,615 and \$135,508 in 2019 and 2018, respectively.

**(2) Leases with Initial or Remaining Noncancelable Lease Terms in Excess of One Year****a. At December 31, 2019 the minimum aggregate rental commitments are as follows:**

Year Ending December 31	Operating Leases
1. 2020	\$ 87,192
2. 2021	\$ 81,378
3. 2022	\$
4. 2023	\$
5. 2024	\$
6. Total	\$ 168,570

**b. Total of Minimum Rentals to be Received in the Future under Noncancelable Subleases**

Not applicable

**(3) For Sale-Leaseback Transactions**

Not applicable

**B. Lessor Leases**

Not applicable

**Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk**

The Company has no financial instruments with off-balance sheet risk.

**Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities****A. Transfers of Receivables Reported as Sales**

Not applicable

**B. Transfer and Servicing of Financial Assets**

Not applicable

**C. Wash Sales**

Not applicable

**Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans****A. ASO Plans**

Not applicable

**B. ASC Plans**

Not applicable

**C. Medicare or Similarly Structured Cost Based Reimbursement Contract**

Not applicable

**NOTES TO FINANCIAL STATEMENTS****Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

Not applicable

**Note 20 – Fair Value Measurements**

## A. Fair Value Measurements

## (1) Fair Value Measurements at Reporting Date

Description for Each Type of Asset or Liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
<b>Assets at Fair Value</b>					
Bonds	\$	\$ 1,253,814	\$	\$	\$ 1,253,814
Preferred Stocks	\$	\$ 2,558,011	\$	\$	\$ 2,558,011
Common Stocks	\$ 11,506,374	\$	\$	\$	\$ 11,506,374
Total	\$ 11,506,374	\$ 3,811,825	\$	\$	\$ 15,318,199
<b>Liabilities at Fair Value</b>					
	\$	\$	\$	\$	\$
Total	\$	\$	\$	\$	\$

## (2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

None at December 31, 2019 or December 31, 2018.

## (3) Policies when Transfers Between Levels are Recognized

Not applicable

## (4) Description of Valuation Techniques and Inputs Used in Fair Value Measurement

Level 2 bonds, preferred stocks, and common stocks carried at fair value are determined by independent pricing services using observable inputs.

## (5) Fair Value Disclosures

Not applicable

## B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements

Not applicable

## C. Fair Value Level

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 27,373,988	\$ 26,893,474	\$ 3,470,869	\$ 23,903,119	\$	\$	\$
Preferred Stocks	\$ 5,428,941	\$ 5,248,122	\$	\$ 5,428,941	\$	\$	\$
Common Stocks	\$ 11,506,374	\$ 11,506,374	\$ 11,506,374	\$	\$	\$	\$
Mortgage Loans	\$	\$ 664,696	\$	\$	\$	\$	\$ 664,696
Cash & Short Term Investments	\$ 5,562,934	\$ 5,562,934	\$ 5,562,934	\$	\$	\$	\$

## D. Not Practicable to Estimate Fair Value

Type of Class or Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
Mortgage Loan	\$ 664,696	0.1	01/29/2016	Not available for public sale therefore price not available.

## E. NAV Practical Expedient Investments

Not applicable

**Note 21 – Other Items**

## A. Unusual or Infrequent Items

Not applicable

## B. Troubled Debt Restructuring Debtors

Not applicable

## C. Other Disclosures

Not applicable

## D. Business Interruption Insurance Recoveries

Not applicable

## E. State Transferable and Non-Transferable Tax Credits

Not applicable

## F. Subprime Mortgage-Related Risk Exposure

Not applicable

**NOTES TO FINANCIAL STATEMENTS**

G. Insurance-Linked Securities (ILS) Contracts  
Not applicable

H. The Amount that Could be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or has Otherwise Obtained Rights to Control the Policy  
Not applicable

**Note 22 – Events Subsequent**

A. Type 1 – Recognized Subsequent Events:  
There were no subsequent events meriting disclosure through February 25, 2020 for the statutory statements issued on February 26, 2020.

B. Type 2 – Non-recognized Subsequent Events:  
There were no subsequent events meriting disclosure through February 25, 2020 for the statutory statements issued on February 26, 2020.

**Note 23 – Reinsurance**

A. Unsecured Reinsurance Recoverables

	NAIC Group Code	FEIN	Unsecured Recoverables
Axis Reinsurance	20370	51-0434766	5,563,000
Ace Property & Casualty Ins. Company	20699	13-5481330	5,275,000
General Reinsurance Corp.	22039	13-2673100	5,139,000
Ohio Indemnity Insurance Company	26565	31-0620146	3,457,000
Swiss Reinsurance America Corp.	25364	13-1675535	1,433,000
Endurance Reinsurance Corp.	11551	35-2293075	1,155,000

B. Reinsurance Recoverable in Dispute  
Not applicable

C. Reinsurance Assumed and Ceded

(1) Maximum Amount of Return Commission

	Assumed Reinsurance		Ceded Reinsurance		Net	
	Premium Reserve	Commission Equity	Premium Reserve	Commission Equity	Premium Reserve	Commission Equity
a. Affiliates	\$ 1,093,426	\$ 438,977	\$ 9,966,825	\$ 4,965,369	\$ (8,873,399)	\$ (4,526,392)
b. All Other						
c. Total		\$ 438,977	\$ 9,966,825	\$ 4,965,369	\$ (8,873,399)	\$ (4,526,392)
d. Direct Unearned Premium Reserves					\$ 17,482,658	

(2) Additional or Return Commission  
Not applicable

(3) Types of Risks Attributed to Protected Cell  
Not applicable

D. Uncollectible Reinsurance  
Not applicable

E. Commutation of Ceded Reinsurance  
Not applicable

F. Retroactive Reinsurance  
Not applicable

G. Reinsurance Accounted for as a Deposit  
Not applicable

H. Disclosures for the Transfer of Property and Casualty Run-off Agreements  
Not applicable

I. Certified Reinsurer Rating Downgraded or Status Subject to Revocation  
Not applicable

J. Reinsurance Agreements Qualifying for Reinsurer Aggregation  
Not applicable

**Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination**

Not applicable

**Note 25 – Change in Incurred Losses and Loss Adjustment Expenses**

A. Change in Incurred Losses and Loss Adjustment Expenses  
Reserves as of December 31, 2018 were \$4.40 million. As of December 31, 2019, \$32 million has been paid for incurred losses and loss adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$1.09 million as a result of re-estimation of unpaid claims and claim adjustment expenses principally on the surety lines of insurance. Therefore, there has been a \$2.99 million favorable prior year development since December

**NOTES TO FINANCIAL STATEMENTS**

31, 2018 to December 31, 2019. The change is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.

B. Information about Significant Changes in Methodologies and Assumptions  
Not applicable

**Note 26 – Intercompany Pooling Arrangements**

Not applicable

**Note 27 – Structured Settlements**

Not applicable

**Note 28 – Health Care Receivables**

Not applicable

**Note 29 – Participating Policies**

Not applicable

**Note 30 – Premium Deficiency Reserves**

1. Liability carried for premium deficiency reserve:	<u>\$0</u>
2. Date of most recent evaluation of this liability:	<u>December 31, 2019</u>
3. Was anticipated investment income utilized in the calculation?	Yes [ <input checked="" type="checkbox"/> ]   No [ <input type="checkbox"/> ]

**Note 31 – High Deductibles**

Not applicable

**Note 32 – Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses**

Not applicable

**Note 33 – Asbestos/Environmental Reserves**

Not applicable

**Note 34 – Subscriber Savings Accounts**

Not applicable

**Note 35 – Multiple Peril Crop Insurance**

Not applicable

**Note 36 – Financial Guaranty Insurance**

Not applicable

# EVERGREEN NATIONAL INDEMNITY COMPANY

## GENERAL INTERROGATORIES

### PART 1 - COMMON INTERROGATORIES

#### GENERAL

1.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?  Yes [X]  No [ ]  
If yes, complete Schedule Y, Parts 1, 1A and 2.

1.2 If yes, did the reporting entity register and file with its domiciliary State Insurance Commissioner, Director or Superintendent or with such regulatory official of the state of domicile of the principal insurer in the Holding Company System, a registration statement providing disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining thereto, or is the reporting entity subject to standards and disclosure requirements substantially similar to those required by such Act and regulations?  Yes [X]  No [ ]  N/A [ ]

1.3 State regulating? OHIO

1.4 Is the reporting entity publicly traded or a member of publicly traded group?  Yes [ ]  No [X]

1.5 If the response to 1.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?  Yes [ ]  No [X]

2.2 If yes, date of change:

3.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2019

3.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2014

3.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 05/19/2016

3.4 By what department or departments?  
OHIO DEPARTMENT OF INSURANCE

3.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with departments?  Yes [ ]  No [ ]  N/A [X]

3.6 Have all of the recommendations within the latest financial examination report been complied with?  Yes [X]  No [ ]  N/A [ ]

4.1 During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity) receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:

4.11 sales of new business?  Yes [X]  No [ ]

4.12 renewals?  Yes [X]  No [ ]

4.2 During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:

4.21 sales of new business?  Yes [ ]  No [X]

4.22 renewals?  Yes [ ]  No [X]

5.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?  Yes [ ]  No [X]  
If the answer is YES, complete and file the merger history data file with the NAIC.

5.2 If yes, provide the name of entity, NAIC company code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1  Name of Entity	2 NAIC Company Code	3 State of Domicile

6.1 Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?  Yes [ ]  No [X]

6.2 If yes, give full information:

7.1 Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity?  Yes [ ]  No [X]

7.2 If yes,

7.21 State the percentage of foreign control %

7.22 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact).

1 Nationality	2 Type of Entity

8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board?  Yes [ ]  No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms?  Yes [ ]  No [X]

8.4 If the response to 8.3 is yes, please provide below the names and locations (city and state of the main office) of any affiliates regulated by a federal financial regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

9. What is the name and address of the independent certified public accountant or accounting firm retained to conduct the annual audit?  
PLANTE MORAN, PLLC, 27400 Northwestern Highway, Southfield, MI 48037-0307

10.1 Has the insurer been granted any exemptions to the prohibited non-audit services provided by the certified independent public accountant requirements as allowed in Section 7H of the Annual Financial Reporting Model Regulation (Model Audit Rule), or substantially similar state law or regulation?  Yes [ ]  No [X]

10.2 If the response to 10.1 is yes, provide information related to this exemption:

10.3 Has the insurer been granted any exemptions related to other requirements of the Annual Financial Reporting Model Regulation as allowed for in Section 18A of the Model Regulation, or substantially similar state law or regulation?  Yes [ ]  No [X]

10.4 If the response to 10.3 is yes, provide information related to this exemption:

# EVERGREEN NATIONAL INDEMNITY COMPANY

## GENERAL INTERROGATORIES

### PART 1 - COMMON INTERROGATORIES

10.5	Has the reporting entity established an Audit Committee in compliance with the domiciliary state insurance laws?	Yes [ <input checked="" type="checkbox"/> ]	No [ <input type="checkbox"/> ]	N/A [ <input type="checkbox"/> ]								
10.6	If the response to 10.5 is no or n/a, please explain:											
11.	What is the name, address and affiliation (officer/employee of the reporting entity or actuary/consultant associated with an actuarial consulting firm) of the individual providing the statement of actuarial opinion/certification?	<u>Trevor K. Williams, ACAS, MAAA, Willis Towers Watson, 321 Susan Drive, Suite D, Normal, IL 61761</u>										
12.1	Does the reporting entity own any securities of a real estate holding company or otherwise hold real estate indirectly?	Yes [ <input type="checkbox"/> ]	No [ <input checked="" type="checkbox"/> ]									
	12.11 Name of real estate holding company											
	12.12 Number of parcels involved	0										
	12.13 Total book/adjusted carrying value	\$ 0										
12.2	If yes, provide explanation											
13.	<b>FOR UNITED STATES BRANCHES OF ALIEN REPORTING ENTITIES ONLY:</b>											
13.1	What changes have been made during the year in the United States manager or the United States trustees of the reporting entity?											
13.2	Does this statement contain all business transacted for the reporting entity through its United States Branch on risks wherever located?	Yes [ <input type="checkbox"/> ]	No [ <input type="checkbox"/> ]									
13.3	Have there been any changes made to any of the trust indentures during the year?	Yes [ <input type="checkbox"/> ]	No [ <input type="checkbox"/> ]									
13.4	If answer to (13.3) is yes, has the domiciliary or entry state approved the changes?	Yes [ <input type="checkbox"/> ]	No [ <input type="checkbox"/> ]	N/A [ <input type="checkbox"/> ]								
14.1	Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?	Yes [ <input checked="" type="checkbox"/> ]	No [ <input type="checkbox"/> ]									
	(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;											
	(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;											
	(c) Compliance with applicable governmental laws, rules and regulations;											
	(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and											
	(e) Accountability for adherence to the code.											
14.11	If the response to 14.1 is no, please explain:											
14.2	Has the code of ethics for senior managers been amended?	Yes [ <input type="checkbox"/> ]	No [ <input checked="" type="checkbox"/> ]									
14.21	If the response to 14.2 is yes, provide information related to amendment(s).											
14.3	Have any provisions of the code of ethics been waived for any of the specified officers?	Yes [ <input type="checkbox"/> ]	No [ <input checked="" type="checkbox"/> ]									
14.31	If the response to 14.3 is yes, provide the nature of any waiver(s).											
15.1	Is the reporting entity the beneficiary of a Letter of Credit that is unrelated to reinsurance where the issuing or confirming bank is not on the SVO Bank List?	Yes [ <input type="checkbox"/> ]	No [ <input checked="" type="checkbox"/> ]									
15.2	If the response to 15.1 is yes, indicate the American Bankers Association (ABA) Routing Number and the name of the issuing or confirming bank of the Letter of Credit and describe the circumstances in which the Letter of Credit is triggered.	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 25%;">1 American Bankers Association (ABA) Routing Number</th> <th style="width: 25%;">2 Issuing or Confirming Bank Name</th> <th style="width: 25%;">3 Circumstances That Can Trigger the Letter of Credit</th> <th style="width: 25%;">4 Amount</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> <td style="text-align: right;">\$</td> </tr> </tbody> </table>			1 American Bankers Association (ABA) Routing Number	2 Issuing or Confirming Bank Name	3 Circumstances That Can Trigger the Letter of Credit	4 Amount				\$
1 American Bankers Association (ABA) Routing Number	2 Issuing or Confirming Bank Name	3 Circumstances That Can Trigger the Letter of Credit	4 Amount									
			\$									

### BOARD OF DIRECTORS

16.	Is the purchase or sale of all investments of the reporting entity passed upon either by the Board of Directors or a subordinator committee thereof?	Yes [ <input checked="" type="checkbox"/> ]	No [ <input type="checkbox"/> ]
17.	Does the reporting entity keep a complete permanent record of the proceedings of its Board of Directors and all subordinate committees thereof?	Yes [ <input checked="" type="checkbox"/> ]	No [ <input type="checkbox"/> ]
18.	Has the reporting entity an established procedure for disclosure to its Board of Directors or trustees of any material interest or affiliation on the part of any of its officers, directors, trustees or responsible employees that is in conflict or is likely to conflict with the official duties of such person?	Yes [ <input checked="" type="checkbox"/> ]	No [ <input type="checkbox"/> ]

### FINANCIAL

19.	Has this statement been prepared using a basis of accounting other than Statutory Accounting Principles (e.g., Generally Accepted Accounting Principles)?	Yes [ <input type="checkbox"/> ]	No [ <input checked="" type="checkbox"/> ]
20.1	Total amount loaned during the year (inclusive of Separate Accounts, exclusive of policy loans):		
	20.11 To directors or other officers	\$ 0	
	20.12 To stockholders not officers	\$ 0	
	20.13 Trustees, supreme or grand (Fraternal only)	\$ 0	
20.2	Total amount of loans outstanding at the end of year (inclusive of Separate Accounts, exclusive of policy loans):		
	20.21 To directors or other officers	\$ 0	
	20.22 To stockholders not officers	\$ 0	
	20.23 Trustees, supreme or grand (Fraternal only)	\$ 0	
21.1	Were any assets reported in this statement subject to a contractual obligation to transfer to another party without the liability for such obligation being reported in the statement?	Yes [ <input type="checkbox"/> ]	No [ <input checked="" type="checkbox"/> ]
21.2	If yes, state the amount thereof at December 31 of the current year:		
	21.21 Rented from others	\$ 0	
	21.22 Borrowed from others	\$ 0	
	21.23 Leased from others	\$ 0	
	21.24 Other	\$ 0	
22.1	Does this statement include payments for assessments as described in the <i>Annual Statement Instructions</i> other than guaranty fund or guaranty association assessments?	Yes [ <input type="checkbox"/> ]	No [ <input checked="" type="checkbox"/> ]
22.2	If answer is yes:		
	22.21 Amount paid as losses or risk adjustment	\$ 0	
	22.22 Amount paid as expenses	\$ 0	
	22.23 Other amounts paid	\$ 0	
23.1	Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?	Yes [ <input type="checkbox"/> ]	No [ <input checked="" type="checkbox"/> ]

# EVERGREEN NATIONAL INDEMNITY COMPANY

## GENERAL INTERROGATORIES

### PART 1 - COMMON INTERROGATORIES

23.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$ \_\_\_\_\_ 0

#### INVESTMENT

24.01 Were all the stocks, bonds and other securities owned December 31 of current year, over which the reporting entity has exclusive control, in the actual possession of the reporting entity on said date (other than securities lending programs addressed in 24.03)? Yes [X] No [ ]

24.02 If no, give full and complete information, relating thereto:

24.03 For security lending programs, provide a description of the program including value for collateral and amount of loaned securities, and whether collateral is carried on or off-balance sheet (an alternative is to reference Note 17 where this information is also provided).

24.04 Does the company's security lending program meet the requirements for a conforming program as outlined in the *Risk-Based Capital Instructions*? Yes [ ] No [ ] N/A [X]

24.05 If answer to 24.04 is yes, report amount of collateral for conforming programs. \$ \_\_\_\_\_ 0

24.06 If answer to 24.04 is no, report amount of collateral for other programs \$ \_\_\_\_\_ 0

24.07 Does your securities lending program require 102% (domestic securities) and 105% (foreign securities) from the counterparty at the outset of the contract? Yes [ ] No [ ] N/A [X]

24.08 Does the reporting entity non-admit when the collateral received from the counterparty falls below 100%? Yes [ ] No [ ] N/A [X]

24.09 Does the reporting entity or the reporting entity's securities lending agent utilize the Master Securities Lending Agreement (MSLA) to conduct securities lending? Yes [ ] No [ ] N/A [X]

24.10 For the reporting entity's security lending program, state the amount of the following as of December 31 of the current year:

24.101 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ \_\_\_\_\_ 0

24.102 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ \_\_\_\_\_ 0

24.103 Total payable for securities lending reported on the liability page: \$ \_\_\_\_\_ 0

25.1 Were any of the stocks, bonds or other assets of the reporting entity owned at December 31 of the current year not exclusively under the control of the reporting entity or has the reporting entity sold or transferred any assets subject to a put option contract that is current in force? (Exclude securities subject to Interrogatory 21.1 and 24.03.) Yes [X] No [ ]

25.2 If yes, state the amount thereof at December 31 of the current year:

25.21 Subject to repurchase agreements	\$ _____ 0
25.22 Subject to reverse repurchase agreements	\$ _____ 0
25.23 Subject to dollar repurchase agreements	\$ _____ 0
25.24 Subject to reverse dollar repurchase agreements	\$ _____ 0
25.25 Placed under option agreements	\$ _____ 0
25.26 Letter stock or securities restricted as sale – excluding FHLB Capital Stock	\$ _____ 0
25.27 FHLB Capital Stock	\$ _____ 0
25.28 On deposit with states	\$ 4,756,999
25.29 On deposit with other regulatory bodies	\$ _____ 0
25.30 Pledged as collateral – excluding collateral pledged to an FHLB	\$ 246,059
25.31 Pledged as collateral to FHLB – including assets backing funding agreements	\$ _____ 0
25.32 Other	\$ _____ 0

25.3 For category (25.26) provide the following:

1 Nature of Restriction	2 Description	3 Amount
		\$ _____

26.1 Does the reporting entity have any hedging transactions reported on Schedule DB? Yes [ ] No [X]

26.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ] N/A [X]

If no, attach a description with this statement.

**Lines 26.3 through 26.5: FOR LIFE/FRATERNAL REPORTING ENTITIES ONLY:**

26.3 Does the reporting entity utilize derivatives to hedge variable annuity guarantees subject to fluctuations as a result of interest rate sensitivity? Yes [ ] No [ ]

26.4 If the response to 26.3 is yes, does the reporting entity utilize:

26.41 Special accounting provision of SSAP No. 108	Yes [ ] No [ ]
26.42 Permitted accounting practice	Yes [ ] No [ ]
26.43 Other accounting guidance	Yes [ ] No [ ]

26.5 By responding yes to 26.41 regarding utilizing the special accounting provisions of SSAP No. 108, the reporting entity attests to the following:

- The reporting entity has obtained explicit approval from the domiciliary state.
- Hedging strategy subject to the special accounting provisions is consistent with the requirements of VM-21.
- Actuarial certification has been obtained which indicates that the hedging strategy is incorporated within the establishment of VM-21 reserves and provides the impact of the hedging strategy within the Actuarial Guidance Conditional Tail Expectation Amount.
- Financial Officer Certification has been obtained which indicates that the hedging strategy meets the definition of a Clearly Defined Hedging Strategy within VM-21 and the Clearly Defined Hedging Strategy is the hedging strategy being used by the company in its actual day-to-day risk mitigation efforts.

27.1 Were any preferred stocks or bonds owned as of December 31 of the current year mandatorily convertible into equity, or, at the option of the issuer, convertible into equity? Yes [ ] No [X]

27.2 If yes, state the amount thereof at December 31 of the current year: \$ \_\_\_\_\_ 0

28. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [X] No [ ]

28.01 For agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian's Address
HUNTINGTON NATIONAL BANK	7 EASTON OVAL, COLUMBUS, OH 43219

28.02 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation

**EVERGREEN NATIONAL INDEMNITY COMPANY****GENERAL INTERROGATORIES****PART 1 - COMMON INTERROGATORIES**

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

28.03 Have there been any changes, including name changes, in the custodian(s) identified in 28.01 during the current year? Yes [ ] No [X]

28.04 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

28.05 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts", "... handle securities"].

1 Name of Firm or Individual	2 Affiliation
NFC INVESTMENTS, LLC	U

28.0597 For those firms/individuals listed in the table for Question 28.05, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [X] No [ ]

28.0598 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 28.05, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [X] No [ ]

28.06 For those firms or individuals listed in the table for 28.05 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
132844	NFC INVESTMENTS, LLC		SEC	NO

29.1 Does the reporting entity have any diversified mutual funds reported in Schedule D-Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5 (b) (1)])? Yes [ ] No [X]

29.2 If yes, complete the following schedule:

1 CUSIP	2 Name of Mutual Fund	3 Book/Adjusted Carrying Value
		\$
29.2999 TOTAL		\$

29.3 For each mutual fund listed in the table above, complete the following schedule:

1 Name of Mutual Fund (from above table)	2 Name of Significant Holding of the Mutual Fund	3 Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	4 Date of Valuation
		\$	

30. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value.

		1 Statement (Admitted) Value	2 Fair Value	3 Excess of Statement over Fair Value (-), or Fair Value over Statement (+)
30.1	Bonds	\$ 26,893,474	\$ 0	\$ (26,893,474)
30.2	Preferred Stocks	\$ 5,248,122	\$ 0	\$ (5,248,122)
30.3	Totals	\$ 32,141,596	\$ 0	\$ (32,141,596)

30.4 Describe the sources or methods utilized in determining the fair values:

SECURITIES VALUATION OFFICE, AVS DATABASE, INTERACTIVE DATA CORPORATION, CUSTODIAN BANK AND INVESTMENT ADVISOR

Yes [ ] No [X]

31.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D?

Yes [ ] No [X]

31.2 If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source?

Yes [ ] No [ ]

31.3 If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D:

32.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes [X] No [ ]

32.2 If no, list exceptions:

33. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designation 5GI security:

- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- Issuer or obligor is current on all contracted interest and principal payments.
- The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? Yes [X] No [ ]

34. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

- The security was purchased prior to January 1, 2018.
- The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as an NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? Yes [ ] No [X]

35. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

- The shares were purchased prior to January 1, 2019.

**GENERAL INTERROGATORIES****PART 1 - COMMON INTERROGATORIES**

- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
- d. The fund only or predominantly holds bonds in its portfolio.
- e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
- f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria?

Yes [ ] No [ X ]

**OTHER**

36.1 Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any? \$ 84,808

36.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to trade associations, service organizations and statistical or rating bureaus during the period covered by this statement.

1 Name	2 Amount Paid
SURETY & FIDELITY ASSOC OF AMERICA	\$ 44,056
AM BEST COMPANY INC	\$ 22,000

37.1 Amount of payments for legal expenses, if any? \$ 0

37.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payments for legal expenses during the period covered by this statement.

1 Name	2 Amount Paid
	\$

38.1 Amount of payments for expenditures in connection with matters before legislative bodies, officers or departments of government, if any? \$ 15,907

38.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers or departments of government during the period covered by this statement.

1 Name	2 Amount Paid
AMERICAN PROPERTY CASUALTY INSURANCE ASSOC	\$ 15,907

**GENERAL INTERROGATORIES****PART 2 – PROPERTY & CASUALTY INTERROGATORIES**

1.1	Does the reporting entity have any direct Medicare Supplement Insurance in force?	Yes [ ]	No [X]
1.2	If yes, indicate premium earned on U.S. business only.	\$	0
1.3	What portion of Item (1.2) is not reported on the Medicare Supplement Insurance Experience Exhibit?	\$	0
1.31	Reason for excluding:		
1.4	Indicate amount of earned premium attributable to Canadian and/or Other Alien not included in Item (1.2) above.	\$	0
1.5	Indicate total incurred claims on all Medicare Supplement insurance.	\$	0
1.6	Individual policies:		
	Most current three years:		
1.61	Total premium earned	\$	0
1.62	Total incurred claims	\$	0
1.63	Number of covered lives		0
	All years prior to most current three years:		
1.64	Total premium earned	\$	0
1.65	Total incurred claims	\$	0
1.66	Number of covered lives		0
1.7	Group policies:		
	Most current three years:		
1.71	Total premium earned	\$	0
1.72	Total incurred claims	\$	0
1.73	Number of covered lives		0
	All years prior to most current three years:		
1.74	Total premium earned	\$	0
1.75	Total incurred claims	\$	0
1.76	Number of covered lives		0
2.	Health Test:		
		1 Current Year	2 Prior Year
2.1	Premium Numerator	\$	0
2.2	Premium Denominator	\$	16,338,726
2.3	Premium Ratio (2.1/2.2)		0.0%
2.4	Reserve Numerator	\$	0
2.5	Reserve Denominator	\$	13,959,911
2.6	Reserve Ratio (2.4/2.5)		0.0%
3.1	Does the reporting entity issue both participating and non-participating policies?		Yes [ ]
3.2	If yes, state the amount of calendar year premiums written on:		No [X]
3.21	Participating policies	\$	0
3.22	Non-participating policies	\$	0
4.	FOR MUTUAL REPORTING ENTITIES AND RECIPROCAL EXCHANGES ONLY:		
4.1	Does the reporting entity issue assessable policies?	Yes [ ]	No [ ]
4.2	Does the reporting entity issue non-assessable policies?	Yes [ ]	No [ ]
4.3	If assessable policies are issued, what is the extent of the contingent liability of the policyholders?		%
4.4	Total amount of assessments paid or ordered to be paid during the year on deposit notes or contingent premiums.	\$	0
5.	FOR RECIPROCAL EXCHANGES ONLY:		
5.1	Does the exchange appoint local agents?	Yes [ ]	No [ ]
5.2	If yes, is the commission paid:		
5.21	Out of Attorney's-in-fact compensation	Yes [ ]	No [ ]
5.22	As a direct expense of the exchange	Yes [ ]	N/A [ ]
5.3	What expenses of the exchange are not paid out of the compensation of the Attorney-in-fact?	Yes [ ]	No [ ]
5.4	Has any Attorney-in-fact compensation, contingent on fulfillment of certain conditions, been deferred?	Yes [ ]	No [ ]
5.5	If yes, give full information:		
6.1	What provision has this reporting entity made to protect itself from an excessive loss in the event of a catastrophe under a workers' compensation contract issued without limit of loss?		
	<u>THE COMPANY DOES NOT CURRENTLY WRITE WORKERS COMPENSATION INSURANCE. ALL PREVIOUS WRITTEN WORKERS COMPENSATION INSURANCE IS 100% REINSURED.</u>		
6.2	Describe the method used to estimate this reporting entity's probable maximum insurance loss, and identify the type of insured exposures comprising that probable maximum loss, the locations of concentrations of those exposures and the external resources (such as consulting firms or computer software models), if any, used in the estimation process:		
	<u>THE COMPANY HAS ADOPTED THE SURETY &amp; FIDELITY ASSOCIATION OF AMERICA'S INDUSTRY EXPERIENCE AND LOSS DEVELOPMENT.</u>		
6.3	What provision has this reporting entity made (such as catastrophic reinsurance program) to protect itself from an excessive loss arising from the types and concentrations of insured exposures comprising its probable maximum property insurance loss?		
	<u>THE COMPANY DOES NOT WRITE PROPERTY INSURANCE.</u>		
6.4	Does the reporting entity carry catastrophe reinsurance protection for at least one reinstatement, in an amount sufficient to cover its estimated probable maximum loss attributable to a single loss event or occurrence?		Yes [ ]
6.5	If no, describe any arrangements or mechanisms employed by the reporting entity to supplement its catastrophe reinsurance program or to hedge its exposure to unreinsured catastrophic loss:		No [X]
	<u>THE COMPANY DOES NOT HAVE ANY KNOWN EXPOSURE TO CATASTROPHIC RISK.</u>		

**GENERAL INTERROGATORIES****PART 2 – PROPERTY & CASUALTY INTERROGATORIES**

7.1	Has the reporting entity reinsured any risk with any other entity under a quota share reinsurance contract that includes a provision that would limit the reinsurer's losses below the stated quota share percentage (e.g., a deductible, a loss ratio corridor, a loss cap, an aggregate limit or any similar provisions)?	Yes [ ] No [ X ]
7.2	If yes, indicate the number of reinsurance contracts containing such provisions.	0
7.3	If yes, does the amount of reinsurance credit taken reflect the reduction in quota share coverage caused by any applicable limiting provision(s)?	Yes [ ] No [ ]
8.1	Has this reporting entity reinsured any risk with any other entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on this risk, or portion thereof, reinsured?	Yes [ ] No [ X ]
8.2	If yes, give full information	
9.1	Has the reporting entity ceded any risk under any reinsurance contract (or under multiple contracts with the same reinsurer or its affiliates) for which during the period covered by the statement: (i) it recorded a positive or negative underwriting result greater than 5% of prior year-end surplus as regards policyholders or it reported calendar year written premium ceded or year-end loss and loss expense reserves ceded greater than 5% of prior year-end surplus as regards policyholders; (ii) it accounted for that contract as reinsurance and not as a deposit; and (iii) the contract(s) contain one or more of the following features or other features that would have similar results:	
	(a) A contract term longer than two years and the contract is noncancelable by the reporting entity during the contract term;	
	(b) A limited or conditional cancellation provision under which cancellation triggers an obligation by the reporting entity, or an affiliate of the reporting entity, to enter into a new reinsurance contract with the reinsurer, or an affiliate of the reinsurer;	
	(c) Aggregate stop loss reinsurance coverage;	
	(d) A unilateral right by either party (or both parties) to commute the reinsurance contract, whether conditional or not, except for such provisions which are only triggered by a decline in the credit status of the other party;	
	(e) A provision permitting reporting of losses, or payment of losses, less frequently than on a quarterly basis (unless there is no activity during the period); or	
	(f) Payment schedule, accumulating retentions from multiple years or any features inherently designed to delay timing of the reimbursement to the ceding entity?	
9.2	Has the reporting entity during the period covered by the statement ceded any risk under any reinsurance contract (or under multiple contracts with the same reinsurer or its affiliates), for which, during the period covered by the statement, it recorded a positive or negative underwriting result greater than 5% of prior year-end surplus as regards policyholders or it reported calendar year written premium ceded or year-end loss and loss expense reserves ceded greater than 5% of prior year-end surplus as regards policyholders; excluding cessions to approved pooling arrangements or to captive insurance companies that are directly or indirectly controlling, controlled by, or under common control with (i) one or more unaffiliated policyholders of the reporting entity, or (ii) an association of which one or more unaffiliated policyholders of the reporting entity is a member where:	Yes [ ] No [ X ]
	(a) The written premium ceded to the reinsurer by the reporting entity or its affiliates represents fifty percent (50%) or more of the entire direct and assumed premium written by the reinsurer based on its most recently available financial statement; or	
	(b) Twenty-five percent (25%) or more of the written premium ceded to the reinsurer has been retroceded back to the reporting entity or its affiliates in a separate reinsurance contract.	Yes [ ] No [ X ]
9.3	If yes to 9.1 or 9.2, please provide the following information in the Reinsurance Summary Supplemental Filing for General Interrogatory 9:	
	(a) The aggregate financial statement impact gross of all such ceded reinsurance contracts on the balance sheet and statement of income;	
	(b) A summary of the reinsurance contract terms and indicate whether it applies to the contracts meeting the criteria in 9.1 or 9.2; and	
	(c) A brief discussion of management's principle objectives in entering into the reinsurance contract including the economic purpose to be achieved.	
9.4	Except for transactions meeting the requirements of paragraph 37 of SSAP No. 62R, <i>Property and Casualty Reinsurance</i> , has the reporting entity ceded any risk under any reinsurance contract (or multiple contracts with the same reinsurer or its affiliates) during the period covered by the financial statement, and either:	
	(a) Accounted for that contract as reinsurance (either prospective or retroactive) under statutory accounting principles ("SAP") and as a deposit under generally accepted accounting principles ("GAAP"); or	
	(b) Accounted for that contract as reinsurance under GAAP and as a deposit under SAP?	Yes [ ] No [ X ]
9.5	If yes to 9.4, explain in the Reinsurance Summary Supplemental Filing for General Interrogatory 9 (Section D) why the contract(s) is treated differently for GAAP and SAP.	
9.6	The reporting entity is exempt from the Reinsurance Attestation Supplement under one or more of the following criteria:	
	(a) The entity does not utilize reinsurance; or,	Yes [ ] No [ X ]
	(b) The entity only engages in a 100% quota share contract with an affiliate and the affiliated or lead company has filed an attestation supplement; or	Yes [ ] No [ X ]
	(c) The entity has no external cessions and only participates in an intercompany pool and the affiliated or lead company has filed an attestation supplement.	Yes [ ] No [ X ]
10.	If the reporting entity has assumed risks from another entity, there should be charged on account of such reinsurance a reserve equal to that which the original entity would have been required to charge had it retained the risks. Has this been done?	Yes [ X ] No [ ] N/A [ ]
11.1	Has the reporting entity guaranteed policies issued by any other entity and now in force?	Yes [ ] No [ X ]
11.2	If yes, give full information	
12.1	If the reporting entity recorded accrued retrospective premiums on insurance contracts on Line 15.3 of the assets schedule, Page 2, state the amount of corresponding liabilities recorded for:	
12.11	Unpaid losses	\$ _____ 0
12.12	Unpaid underwriting expenses (including loss adjustment expenses)	\$ _____ 0
12.2	Of the amount on Line 15.3, Page 2, state the amount that is secured by letters of credit, collateral and other funds?	\$ _____ 0
12.3	If the reporting entity underwrites commercial insurance risks, such as workers' compensation, are premium notes or promissory notes accepted from its insureds covering unpaid premiums and/or unpaid losses?	Yes [ ] No [ ] N/A [ X ]
12.4	If yes, provide the range of interest rates charged under such notes during the period covered by this statement:	
12.41	From	% _____
12.42	To	% _____
12.5	Are letters of credit or collateral and other funds received from insureds being utilized by the reporting entity to secure premium notes or promissory notes taken by a reporting entity, or to secure any of the reporting entity's reported direct unpaid loss reserves, including unpaid losses under loss deductible features of commercial policies?	Yes [ ] No [ X ]
12.6	If yes, state the amount thereof at December 31 of current year:	
12.61	Letters of Credit	\$ _____ 0
12.62	Collateral and other funds	\$ _____ 0
13.1	Largest net aggregate amount insured in any one risk (excluding workers' compensation):	\$ _____ 3,443,000
13.2	Does any reinsurance contract considered in the calculation of this amount include an aggregate limit of recovery without also including a reinstatement provision?	Yes [ ] No [ X ]

**GENERAL INTERROGATORIES****PART 2 – PROPERTY & CASUALTY INTERROGATORIES**

13.3 State the number of reinsurance contracts (excluding individual facultative risk certificates, but including facultative programs, automatic facilities or facultative obligatory contracts) considered in the calculation of the amount. 3

14.1 Is the reporting entity a cedant in a multiple cedant reinsurance contract? Yes [X] No [ ]

14.2 If yes, please describe the method of allocating and recording reinsurance among the cedants:  
DIRECT WRITTEN PREMIUM

14.3 If the answer to 14.1 is yes, are the methods described in item 14.2 entirely contained in the respective multiple cedant reinsurance contracts? Yes [X] No [ ]

14.4 If the answer to 14.3 is no, are all the methods described in 14.2 entirely contained in written agreements? Yes [ ] No [ ]

14.5 If the answer to 14.4 is no, please explain:

15.1 Has the reporting entity guaranteed any financed premium accounts? Yes [ ] No [X]

15.2 If yes, give full information

16.1 Does the reporting entity write any warranty business? Yes [X] No [ ]

If yes, disclose the following information for each of the following types of warranty coverage:

	1 Direct Losses Incurred	2 Direct Losses Unpaid	3 Direct Written Premium	4 Direct Premium Unearned	5 Direct Premium Earned
16.11 Home	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
16.12 Products	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
16.13 Automobile	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
16.14 Other*	\$ 0	\$ 0	\$ 87,190	\$ 168,122	\$ 76,624

\* Disclose type of coverage: RECREATIONAL VEHICLES

17.1 Does the reporting entity include amounts recoverable on unauthorized reinsurance in Schedule F-Part 3 that is exempt from the statutory provision for unauthorized reinsurance? Yes [ ] No [X]

Incurred but not reported losses on contracts in force prior to July 1, 1984, and not subsequently renewed are exempt from the statutory provision for unauthorized reinsurance. Provide the following information for this exemption:

17.11 Gross amount of unauthorized reinsurance in Schedule F-Part 3 exempt from the statutory provision for unauthorized reinsurance	\$ 0
17.12 Unfunded portion of Interrogatory 17.11	\$ 0
17.13 Paid losses and loss adjustment expenses portion of Interrogatory 17.11	\$ 0
17.14 Case reserves portion of Interrogatory 17.11	\$ 0
17.15 Incurred but not reported portion of Interrogatory 17.11	\$ 0
17.16 Unearned premium portion of Interrogatory 17.11	\$ 0
17.17 Contingent commission portion of Interrogatory 17.11	\$ 0

18.1 Do you act as a custodian for health savings accounts? Yes [ ] No [X]

18.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ 0

18.3 Do you act as an administrator for health savings accounts? Yes [ ] No [X]

18.4 If yes, please provide the balance of the funds administered as of the reporting date. \$ 0

19. Is the reporting entity licensed or charted, registered, qualified, eligible, or writing business in at least 2 states? Yes [X] No [ ]

19.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [ ] No [ ]

**EVERGREEN NATIONAL INDEMNITY COMPANY**  
**FIVE-YEAR HISTORICAL DATA**

Show amounts in whole dollars only, no cents; show percentages to one decimal place, i.e. 17.6.

	1 2019	2 2018	3 2017	4 2016	5 2015
<b>Gross Premiums Written (Page 8, Part 1B, Cols. 1, 2 &amp; 3)</b>					
1. Liability lines (Lines 11.1, 11.2, 16, 17.1, 17.2, 17.3, 18.1, 18.2, 19.1, 19.2 & 19.3, 19.4)					
2. Property lines (Lines 1, 2, 9, 12, 21 & 26)					
3. Property and liability combined lines (Lines 3, 4, 5, 8, 22 & 27)					
4. All other lines (Lines 6, 10, 13, 14, 15, 23, 24, 28, 29, 30 & 34)	39,732,729	34,151,652	35,773,800	36,503,308	37,761,893
5. Nonproportional reinsurance lines (Lines 31, 32 & 33)					
6. Total (Line 35)	39,732,729	34,151,652	35,773,800	36,503,308	37,761,893
<b>Net Premiums Written (Page 8, Part 1B, Col. 6)</b>					
7. Liability lines (Lines 11.1, 11.2, 16, 17.1, 17.2, 17.3, 18.1, 18.2, 19.1, 19.2 & 19.3, 19.4)					
8. Property lines (Lines 1, 2, 9, 12, 21 & 26)					
9. Property and liability combined lines (Lines 3, 4, 5, 8, 22 & 27)					
10. All other lines (Lines 6, 10, 13, 14, 15, 23, 24, 28, 29, 30 & 34)	18,746,181	12,232,678	11,667,638	12,048,844	11,466,162
11. Nonproportional reinsurance lines (Lines 31, 32 & 33)					
12. Total (Line 35)	18,746,181	12,232,678	11,667,638	12,048,844	11,466,162
<b>Statement of Income (Page 4)</b>					
13. Net underwriting gain (loss) (Line 8)	405,237	2,632,497	2,785,823	2,496,195	2,383,976
14. Net investment gain (loss) (Line 11)	1,748,869	1,502,419	2,397,493	731,419	1,069,781
15. Total other income (Line 15)	5,298	74,469	9,024	1,137	522
16. Dividends to policyholders (Line 17)					
17. Federal and foreign income taxes incurred (Line 19)					
18. Net income (Line 20)	366,423	758,343	1,402,018	1,223,153	1,135,519
	1,792,981	3,451,042	3,790,322	2,005,598	2,318,760
<b>Balance Sheet Lines (Pages 2 and 3)</b>					
19. Total admitted assets excluding protected cell business (Page 2, Line 26, Col. 3)	56,873,675	48,969,674	48,088,025	47,551,507	44,556,376
20. Premiums and considerations (Page 2, Col. 3):					
20.1 In course of collection (Line 15.1)	1,969,595	1,793,182	1,636,605	1,590,276	1,966,454
20.2 Deferred and not yet due (Line 15.2)					
20.3 Accrued retrospective premiums (Line 15.3)					
21. Total liabilities excluding protected cell business (Page 3, Line 26)	19,931,537	14,541,507	13,635,792	14,268,287	14,010,665
22. Losses (Page 3, Line 1)	4,475,371	3,471,989	3,509,010	3,338,356	2,736,418
23. Loss adjustment expenses (Page 3, Line 3)	875,281	931,776	1,062,571	950,697	912,611
24. Unearned premiums (Page 3, Line 9)	8,609,259	6,201,804	5,395,140	5,392,890	4,991,067
25. Capital paid up (Page 3, Lines 30 & 31)	3,018,004	3,018,004	3,018,004	3,018,004	3,018,004
26. Surplus as regards policyholders (Page 3, Line 37)	36,942,138	34,428,167	34,452,233	33,283,220	30,545,711
<b>Cash Flow (Page 5)</b>					
27. Net cash from operations (Line 11)	4,857,361	4,315,467	3,221,900	3,505,437	3,243,053
<b>Risk-Based Capital Analysis</b>					
28. Total adjusted capital	36,942,138	34,428,167	34,452,233	33,283,220	30,545,711
29. Authorized control level risk-based capital	2,328,860	1,787,896	2,312,894	2,319,873	2,401,088
<b>Percentage Distribution of Cash, Cash Equivalents and Invested Assets</b> (Page 2, Col. 3) (Item divided by Page 2, Line 12, Col. 3) x 100.0					
30. Bonds (Line 1)	49.6	55.1	53.6	48.8	44.6
31. Stocks (Lines 2.1 & 2.2)	30.9	29.5	29.9	30.5	31.8
32. Mortgage loans on real estate (Lines 3.1 & 3.2)	1.2	1.4	1.5	1.8	2.0
33. Real estate (Lines 4.1, 4.2 & 4.3)	0.0	0.8	3.7		
34. Cash, cash equivalents and short-term investments (Line 5)	10.3	7.7	5.1	11.6	14.6
35. Contract loans (Line 6)					
36. Derivatives (Line 7)					
37. Other invested assets (Line 8)	8.0	5.5	6.2	7.2	7.0
38. Receivables for securities (Line 9)					
39. Securities lending reinvested collateral assets (Line 10)					
40. Aggregate write-ins for invested assets (Line 11)					
41. Cash, cash equivalents and invested assets (Line 12)	100.0	100.0	100.0	100.0	100.0
<b>Investments in Parent, Subsidiaries and Affiliates</b>					
42. Affiliated bonds (Sch. D, Summary, Line 12, Col. 1)					
43. Affiliated preferred stocks (Sch. D, Summary, Line 18, Col. 1)					
44. Affiliated common stocks (Sch. D, Summary, Line 24, Col. 1)					
45. Affiliated short-term investments (subtotals included in Schedule DA, Verification, Column 5, Line 10)					
46. Affiliated mortgage loans on real estate					
47. All other affiliated			1,146,160	1,497,110	1,022,205
48. Total of above lines 42 to 47	0	0	1,146,160	1,497,110	1,022,205
49. Total investment in parent included in Lines 42 to 47 above					
50. Percentage of investments in parent, subsidiaries and affiliates to surplus as regards policyholders (Line 48 above divided by Page 3, Col. 1, Line 37 x 100.0)	0.0		.3.3	4.5	.3.3

**EVERGREEN NATIONAL INDEMNITY COMPANY**  
**FIVE-YEAR HISTORICAL DATA**  
(Continued)

	1 2019	2 2018	3 2017	4 2016	5 2015
<b>Capital and Surplus Accounts (Page 4)</b>					
51. Net unrealized capital gains (losses) (Line 24).....	2,958,384	.....(884,862)	.....73,525	.....2,687,014	.....(2,965,912)
52. Dividends to stockholders (Line 35).....	.....(2,450,000)	.....(2,450,000)	.....(2,450,000)	.....(2,450,000)	.....(2,450,000)
53. Change in surplus as regards policyholders for the year (Line 38).....	2,513,971	.....(24,066)	.....1,169,013	.....2,737,509	.....(2,663,623)
<b>Gross Losses Paid (Page 9, Part 2, Cols. 1 &amp; 2)</b>					
54. Liability lines (Lines 11.1, 11.2, 16, 17.1, 17.2, 17.3, 18.1, 18.2, 19.1, 19.2 & 19.3, 19.4).....	.....657,456	.....739,548	.....486,821	.....515,448	.....544,944
55. Property lines (Lines 1, 2, 9, 12, 21 & 26).....	.....	.....	.....	.....	.....
56. Property and liability combined lines (Lines 3, 4, 5, 8, 22 & 27).....	.....	.....	.....	.....	.....
57. All other lines (Lines 6, 10, 13, 14, 15, 23, 24, 28, 29, 30 & 34).....	.....2,802,480	.....974,818	.....619,078	.....15,466	.....(6,000)
58. Nonproportional reinsurance lines (Lines 31, 32 & 33).....	.....	.....	.....	.....	.....
59. Total (Line 35).....	.....3,459,936	.....1,714,366	.....1,105,899	.....530,914	.....538,944
<b>Net Losses Paid (Page 9, Part 2, Col. 4)</b>					
60. Liability lines (Lines 11.1, 11.2, 16, 17.1, 17.2, 17.3, 18.1, 18.2, 19.1, 19.2 & 19.3, 19.4).....	.....	.....	.....	.....	.....
61. Property lines (Lines 1, 2, 9, 12, 21 & 26).....	.....	.....	.....	.....	.....
62. Property and liability combined lines (Lines 3, 4, 5, 8, 22 & 27).....	.....	.....	.....	.....	.....
63. All other lines (Lines 6, 10, 13, 14, 15, 23, 24, 28, 29, 30 & 34).....	.....2,802,480	.....573,009	.....619,078	.....15,466	.....215
64. Nonproportional reinsurance lines (Lines 31, 32 & 33).....	.....	.....	.....	.....	.....
65. Total (Line 35).....	.....2,802,480	.....573,009	.....619,078	.....15,466	.....215
<b>Operating Percentages (Page 4)</b> (Item divided by Page 4, Line 1) x 100.0					
66. Premiums earned (Line 1).....	.....100.0	.....100.0	.....100.0	.....100.0	.....100.0
67. Losses incurred (Line 2).....	.....23.3	.....4.7	.....6.8	.....5.3	.....1.7
68. Loss expenses incurred (Line 3).....	.....(0.4)	.....(1.2)	.....0.9	.....0.3	.....(0.4)
69. Other underwriting expenses incurred (Line 4).....	.....74.6	.....73.4	.....68.4	.....72.9	.....77.7
70. Net underwriting gain (loss) (Line 8).....	.....2.5	.....23.0	.....23.9	.....21.4	.....21.0
<b>Other Percentages</b>					
71. Other underwriting expenses to net premiums written (Page 4, Lines 4 + 5 - 15 divided by Page 8, Part 1B, Col. 6, Line 35 x 100.0).....	.....65.0	.....68.0	.....68.3	.....70.5	.....76.9
72. Losses and loss expenses incurred to premiums earned (Page 4, Lines 2 + 3 divided by Page 4, Line 1 x 100.0).....	.....22.9	.....3.5	.....7.7	.....5.6	.....1.3
73. Net premiums written to policyholders' surplus (Page 8, Part 1B, Col. 6, Line 35, divided by Page 3, Line 37, Col. 1 x 100.0).....	.....50.7	.....35.5	.....33.9	.....36.2	.....37.5
<b>One Year Loss Development (\$000 omitted)</b>					
74. Development in estimated losses and loss expenses incurred prior to current year (Schedule P, Part 2-Summary, Line 12, Col. 11).....	.....(2,887)	.....(3,227)	.....(2,603)	.....(2,457)	.....(2,257)
75. Percent of development of losses and loss expenses incurred to policyholders' surplus of prior year-end (Line 74 above divided by Page 4, Line 21, Col. 1 x 100).....	.....(8.4)	.....(9.4)	.....(7.8)	.....(8.0)	.....(6.8)
<b>Two Year Loss Development (\$000 omitted)</b>					
76. Development in estimated losses and loss expenses incurred 2 years before the current year and prior year (Schedule P, Part 2-Summary, Line 12, Col. 12).....	.....(3,531)	.....(3,117)	.....(2,658)	.....(2,535)	.....(2,491)
77. Percent of development of losses and loss expenses incurred to reported policyholders' surplus of second prior-year end (Line 76 above divided by Page 4, Line 21, Col. 2 x 100.0).....	.....(10.2)	.....(9.4)	.....(8.7)	.....(7.6)	.....(7.4)

If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3, *Accounting Changes and Correction of Errors*?

Yes  No

If no, please explain:

## SCHEDULE P - ANALYSIS OF LOSSES AND LOSS EXPENSES

## SCHEDULE P - PART 1 - SUMMARY

(\$000 Omitted)

Years in Which Premiums Were Earned and Losses Were Incurred	Premiums Earned			Loss and Loss Expense Payments							12 Number of Claims Reported-Direct and Assumed	
	1 Direct and Assumed	2 Ceded	3 Net (Cols. 1 - 2)	Loss Payments		Defense and Cost Containment Payments		Adjusting and Other Payments		10 Salvage and Subrogation Received	11 Total Net Paid (Cols. 4 - 5 + 6 - 7 + 8 - 9)	
				4 Direct and Assumed	5 Ceded	6 Direct and Assumed	7 Ceded	8 Direct and Assumed	9 Ceded			
1. Prior.....	....XXX.....	....XXX.....	....XXX.....	.....657	.....657	.....92	.....92			.....1	.....0	....XXX.....
2. 2010.....	....37,197	....25,789	....11,408			.....8	.....(2)	.....2		.....9	.....12	....XXX.....
3. 2011.....	....37,435	....25,964	....11,471	.....17	.....13	.....8	.....3	.....3		.....6	.....12	....XXX.....
4. 2012.....	....36,055	....24,387	....11,668	.....25	.....18	.....27	.....8	.....9	.....3	.....22	....32	....XXX.....
5. 2013.....	....36,569	....24,687	....11,882			.....1					.....1	....XXX.....
6. 2014.....	....37,327	....25,231	....12,096								.....0	....XXX.....
7. 2015.....	....37,368	....26,021	....11,347								.....0	....XXX.....
8. 2016.....	....36,980	....25,333	....11,647	.....263							....263	....XXX.....
9. 2017.....	....35,898	....24,233	....11,665	.....536							....536	....XXX.....
10. 2018.....	....34,413	....22,987	....11,426	.....1,127	.....402	.....51	.....51				....725	....XXX.....
11. 2019.....	....37,186	....20,847	....16,339	.....2,485							....2,485	....XXX.....
12. Totals.....	....XXX.....	....XXX.....	....XXX.....	.....5,110	.....1,090	.....187	.....152	.....14	.....3	.....38	....4,066	....XXX.....

	Losses Unpaid				Defense and Cost Containment Unpaid				Adjusting and Other Unpaid		23 Salvage and Subrogation Anticipated	24 Total Net Losses and Expenses Unpaid	25 Number of Claims Outstanding-Direct and Assumed
	Case Basis		Bulk + IBNR		Case Basis		Bulk + IBNR		21	22			
	13 Direct and Assumed	14 Ceded	15 Direct and Assumed	16 Ceded	17 Direct and Assumed	18 Ceded	19 Direct and Assumed	20 Ceded	Direct and Assumed	Ceded			
1. Prior.....	....3,837	....3,727	....1,231	....1,231								.....110	....XXX.....
2. 2010.....												.....0	....XXX.....
3. 2011.....												.....0	....XXX.....
4. 2012.....												.....0	....XXX.....
5. 2013.....												.....0	....XXX.....
6. 2014.....								.....1				.....1	....XXX.....
7. 2015.....			....148	....111			.....160	....120	.....60	.....40		....97	....XXX.....
8. 2016.....			....325	....244			....350	....263	....133	....88		....213	....XXX.....
9. 2017.....			....300	....225			....324	....243	....123	....81		....198	....XXX.....
10. 2018.....	....533	....533	....775	....597	....49	....49	....836	....645	....315	....215		....469	....XXX.....
11. 2019.....	....145		....10,760	....6,910			....739	....562	....279	....187		....4,264	....XXX.....
12. Totals.....	....4,515	....4,260	....13,539	....9,318	....49	....49	....2,410	....1,833	....910	....611	....0	....5,352	....XXX.....

	Total Losses and Loss Expenses Incurred			Loss and Loss Expense Percentage (Incurred/Premiums Earned)			Nontabular Discount		34 Inter-Company Pooling Participation Percentage	Net Balance Sheet Reserves after Discount	
	26 Direct and Assumed	27 Ceded	28 Net	29 Direct and Assumed	30 Ceded	31 Net	32 Loss	33 Loss Expense		35 Losses Unpaid	36 Loss Expenses Unpaid
1. Prior.....	....XXX.....	....XXX.....	....XXX.....	....XXX.....	....XXX.....	....XXX.....			....XXX.....	.....110	.....0
2. 2010.....	....10	....(2)	....12	....0.0	....(0.0)	....0.1				.....0	.....0
3. 2011.....	....28	....16	....12	....0.1	....0.1	....0.1				.....0	.....0
4. 2012.....	....61	....29	....32	....0.2	....0.1	....0.3				.....0	.....0
5. 2013.....	....1	....0	....1	....0.0	....0.0	....0.0				.....0	.....0
6. 2014.....	....1	....0	....1	....0.0	....0.0	....0.0				.....0	....1
7. 2015.....	....368	....271	....97	....1.0	....1.0	....0.9				....37	....60
8. 2016.....	....1,071	....595	....476	....2.9	....2.3	....4.1				....81	....132
9. 2017.....	....1,283	....549	....734	....3.6	....2.3	....6.3				....75	....123
10. 2018.....	....3,686	....2,492	....1,194	....10.7	....10.8	....10.4				....178	....291
11. 2019.....	....14,408	....7,659	....6,749	....38.7	....36.7	....41.3				....3,995	....269
12. Totals.....	....XXX.....	....XXX.....	....XXX.....	....XXX.....	....XXX.....	....XXX.....	....0	....0	....XXX.....	....4,476	....876

Note: Parts 2 and 4 are gross of all discounting, including tabular discounting. Part 1 is gross of only nontabular discounting, which is reported in Columns 32 and 33 of

Part 1. The tabular discount, if any, is reported in the Notes to Financial Statements, which will reconcile Part 1 with Parts 2 and 4.

**SCHEDULE P - PART 2 - SUMMARY**

Years in Which Losses Were Incurred	Incurred Net Losses and Defense and Cost Containment Expenses Reported at Year End (\$000 omitted)										DEVELOPMENT	
	1 2010	2 2011	3 2012	4 2013	5 2014	6 2015	7 2016	8 2017	9 2018	10 2019	11 One Year	12 Two Year
1. Prior....	1,512	1,066	718	534	298	302	325	315	314	312	(2)	(3)
2. 2010....	2,008	546	415	283	37	30	26	10	10	10	0	0
3. 2011....	XXX....	1,953	402	308	155	53	21	9	9	9	0	0
4. 2012....	XXX....	XXX....	1,749	311	195	126	36	26	26	26	0	0
5. 2013....	XXX....	XXX....	XXX....	1,787	309	221	153	79	1	1	0	(78)
6. 2014....	XXX....	XXX....	XXX....	XXX....	2,369	374	267	188	95	1	(94)	(187)
7. 2015....	XXX....	XXX....	XXX....	XXX....	XXX....	2,477	298	298	149	77	(72)	(221)
8. 2016....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	3,099	697	504	431	(73)	(266)
9. 2017....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	3,468	755	692	(63)	(2,776)
10. 2018....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	3,677	1,094	(2,583)	XXX....
11. 2019....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	6,657	XXX....	XXX....
											12. Totals....	(2,887) (3,531)

**SCHEDULE P - PART 3 - SUMMARY**

Years in Which Losses Were Incurred	Cumulative Paid Net Losses and Defense and Cost Containment Expenses Reported at Year End (\$000 omitted)										11 Number of Claims Closed With Loss Payment	12 Number of Claims Closed Without Loss Payment
	1 2010	2 2011	3 2012	4 2013	5 2014	6 2015	7 2016	8 2017	9 2018	10 2019		
1. Prior....	000....	63	104	145	160	201	202	202	202	202	XXX....	XXX....
2. 2010....	1	4	10	10	10	10	10	10	10	10	XXX....	XXX....
3. 2011....	XXX....	3	5	9	9	9	9	9	9	9	XXX....	XXX....
4. 2012....	XXX....	XXX....	11	19	26	26	26	26	26	26	XXX....	XXX....
5. 2013....	XXX....	XXX....	XXX....						1	1	XXX....	XXX....
6. 2014....	XXX....	XXX....	XXX....	XXX....							XXX....	XXX....
7. 2015....	XXX....	XXX....	XXX....	XXX....	XXX....						XXX....	XXX....
8. 2016....	XXX....	XXX....	XXX....	XXX....	XXX....	15	263	263	263		XXX....	XXX....
9. 2017....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	371	536	536		XXX....	XXX....
10. 2018....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	408	725		XXX....	XXX....
11. 2019....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	2,485		XXX....	XXX....

**SCHEDULE P - PART 4 - SUMMARY**

Years in Which Losses Were Incurred	Bulk and IBNR Reserves on Net Losses and Defense and Cost Containment Expenses Reported at Year End (\$000 omitted)									
	1 2010	2 2011	3 2012	4 2013	5 2014	6 2015	7 2016	8 2017	9 2018	10 2019
1. Prior....	1,469	924	535	312	60	9	11			
2. 2010....	2,007	542	405	273	27	20	16			
3. 2011....	XXX....	1,953	393	297	146	44	12			
4. 2012....	XXX....	XXX....	1,749	299	175	100	10			
5. 2013....	XXX....	XXX....	XXX....	1,787	309	221	153	79		
6. 2014....	XXX....	XXX....	XXX....	XXX....	2,363	374	267	188	95	1
7. 2015....	XXX....	XXX....	XXX....	XXX....	XXX....	2,477	298	298	149	77
8. 2016....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	3,084	434	241	168
9. 2017....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	3,097	219	156
10. 2018....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	3,269	369
11. 2019....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	XXX....	4,027

**EVERGREEN NATIONAL INDEMNITY COMPANY**  
**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

Allocated by States and Territories

States, Etc.	1 Active Status (a)	Gross Premiums, Including Policy and Membership Fees Less Return Premiums and Premiums on Policies Not Taken		4 Dividends Paid or Credited to Policyholders on Direct Business	5 Direct Losses Paid (Deducting Salvage)	6 Direct Losses Incurred	7 Direct Losses Unpaid	8 Finance and Service Charges not Included in Premiums	9 Direct Premiums Written for Federal Purchasing Groups (Incl. in Col. 2)
		2 Direct Premiums Written	3 Direct Premiums Earned						
1. Alabama.....	AL.....	829,557	778,234		.23,544	123,928	.298,877		
2. Alaska.....	AK.....	1,215	.579			(798)	.102		
3. Arizona.....	AZ.....	195,604	.175,232		.16,777	46,612	.70,039		
4. Arkansas.....	AR.....	268,728	.245,231		.23,399	16,247	.70,743		
5. California.....	CA.....	1,824,502	.1,954,180			.56,253	.670,052		
6. Colorado.....	CO.....	.207,784	.195,109			.2,581	.57,666		
7. Connecticut.....	CT.....	.285,520	.273,308		.315	170,613	.373,524		
8. Delaware.....	DE.....	.352,088	.169,481		.121,588	117,832	.9,999		
9. District of Columbia.....	DC.....	.34,191	.127,203			.48,083	.61,402		
10. Florida.....	FL.....	1,414,039	.1,156,445		.182,079	211,672	.308,972		
11. Georgia.....	GA.....	2,525,792	.1,632,795		.633,225	833,670	.340,752		
12. Hawaii.....	HI.....	N.....							
13. Idaho.....	ID.....	.559	.2,559			(921)	.178		
14. Illinois.....	IL.....	1,158,730	.1,114,048		.160,196	326,830	.397,611		
15. Indiana.....	IN.....	.1,591,592	.1,480,154		.91,475	139,915	.459,738		
16. Iowa.....	IA.....	.367,858	.358,831		.74,026	.30,352	.71,630		
17. Kansas.....	KS.....	.352,821	.236,999		.121,054	160,978	.67,300		
18. Kentucky.....	KY.....	.874,605	.707,596		.523,618	(229,336)	.4,149,968		
19. Louisiana.....	LA.....	.1,121,287	.1,167,237			.18,367	.365,486		
20. Maine.....	ME.....	.383,249	.383,764			.11,645	.122,018		
21. Maryland.....	MD.....	.246,849	.214,268		.47,177	.54,026	.62,841		
22. Massachusetts.....	MA.....	.600,279	.572,156			.32,885	.194,008		
23. Michigan.....	MI.....	.1,778,035	.1,640,786		.57,111	145,590	.527,719		
24. Minnesota.....	MN.....	.466,233	.335,953		.150,315	161,060	.52,453		
25. Mississippi.....	MS.....	.315,976	.280,989		.14,961	.25,580	.83,368		
26. Missouri.....	MO.....	.543,159	.478,234		.103,657	.28,948	.685,090		
27. Montana.....	MT.....	.69,099	.80,010			(688)	.20,846		
28. Nebraska.....	NE.....	.193,006	.156,194		.27,455	.31,463	.36,519		
29. Nevada.....	NV.....	.12,132	.11,585			.573	.2,516		
30. New Hampshire.....	NH.....	.384,763	.382,132			.15,594	.122,633		
31. New Jersey.....	NJ.....	.234,733	.252,258		.155,611	118,350	.20,849		
32. New Mexico.....	NM.....	.57,813	.64,415		.2,496	.220	.16,475		
33. New York.....	NY.....	.1,685,387	.1,694,361		.879	(24,609)	.619,653		
34. North Carolina.....	NC.....	.260,942	.204,454		.97,778	78,203	.11,697		
35. North Dakota.....	ND.....	.17,766	.14,675		.10,509	.11,402	.5,312		
36. Ohio.....	OH.....	.3,598,075	.3,675,411		.22,473	.75,417	.1,465,550		
37. Oklahoma.....	OK.....	.454,942	.395,563		.51,363	.63,273	.106,635		
38. Oregon.....	OR.....	.328,540	.322,845			.12,167	.96,766		
39. Pennsylvania.....	PA.....	.5,337,191	.5,254,033		.276,132	348,061	.1,508,178		
40. Rhode Island.....	RI.....	.100	.100			3	.32		
41. South Carolina.....	SC.....	.897,043	.672,900		.198,214	296,233	.751,091		
42. South Dakota.....	SD.....	.13,104	.12,276		.4,274	.5,323	.2,392		
43. Tennessee.....	TN.....	.769,237	.694,354		.112,153	.158,277	.191,615		
44. Texas.....	TX.....	.1,099,592	.1,009,433		.53,786	.43,051	.310,995		
45. Utah.....	UT.....	.43,103	.42,876			.2,920	.14,126		
46. Vermont.....	VT.....	.406,972	.508,385		.3,153	.71,420	.228,889		
47. Virginia.....	VA.....	.1,704,567	.1,589,685		.98,542	.67,897	.741,798		
48. Washington.....	WA.....	.109,804	.151,928			(115,567)	.36,497		
49. West Virginia.....	WV.....								
50. Wisconsin.....	WI.....	.1,768,585	.1,723,003			.44,373	.562,580		
51. Wyoming.....	WY.....	.32,354	.26,778			.8,847	.10,057		
52. American Samoa.....	AS.....	N.....							
53. Guam.....	GU.....	N.....							
54. Puerto Rico.....	PR.....	N.....							
55. US Virgin Islands.....	VI.....	N.....							
56. Northern Mariana Islands.....	MP.....	N.....							
57. Canada.....	CAN.....	N.....							
58. Aggregate Other Alien.....	OT.....	XXX.....	.0	.0	.0	.0	.0	.0	0
59. Totals.....		XXX.....	37,219,102	34,621,025	0	3,459,335	3,844,815	16,385,237	0

## DETAILS OF WRITE-INS

58001.....	XXX.....								
58002.....	XXX.....								
58003.....	XXX.....								
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX.....		.0	.0	.0	.0	.0	.0	0
58999. Totals (Lines 58001 thru 58003+ Line 58998) (Line 58 above)	XXX.....		.0	.0	.0	.0	.0	.0	0

## (a) Active Status Counts:

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG..... 48

E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - See DSLI)..... 2

D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile..... 0

(b) Explanation of Basis of Allocation of Premiums by States, etc.

The Company does not use allocations for premiums reported. Actual premiums are reported based on the physical location of the insured risk.

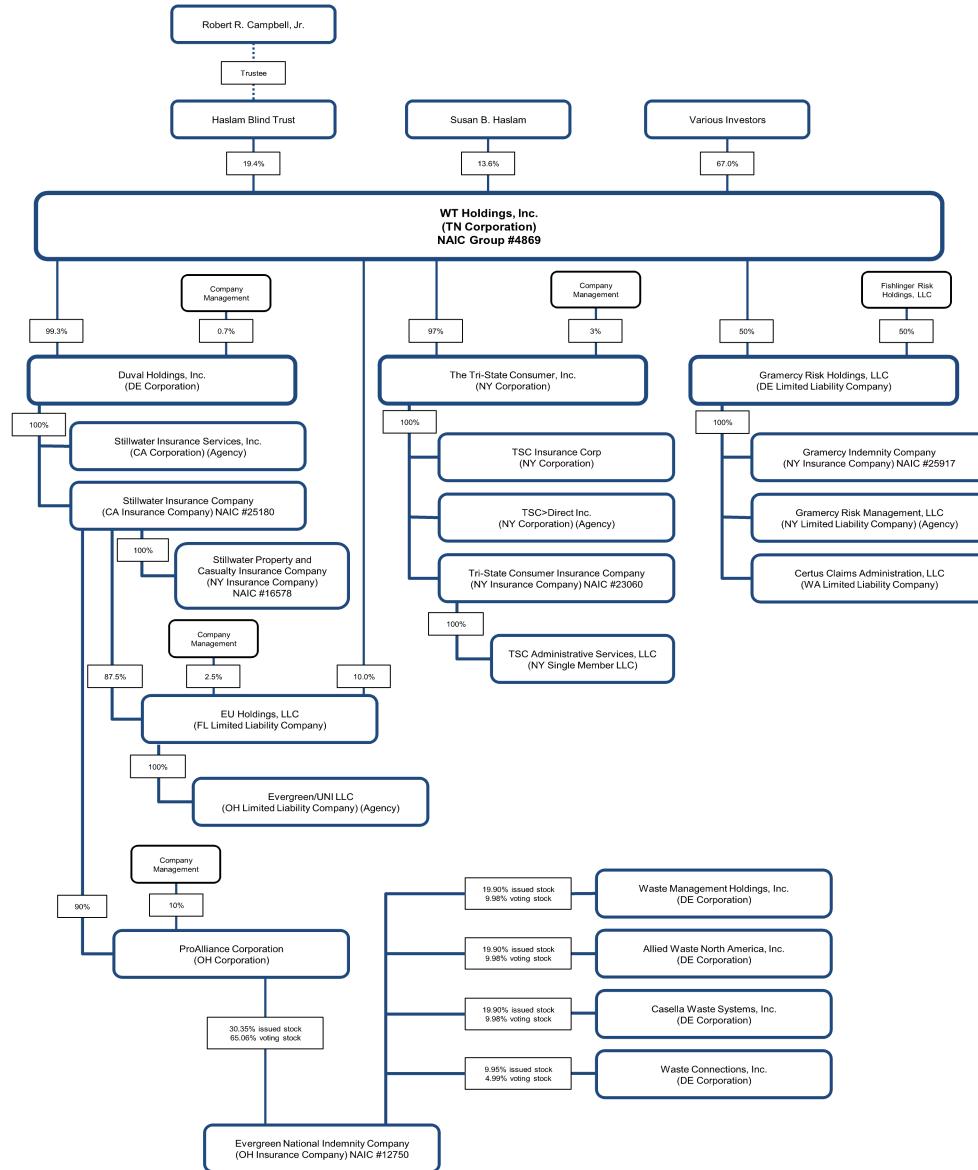
R - Registered - Non-domiciled RRGs..... 0

Q - Qualified - Qualified or accredited reinsurer..... 0

N - None of the above - Not allowed to write business in the state..... 7

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

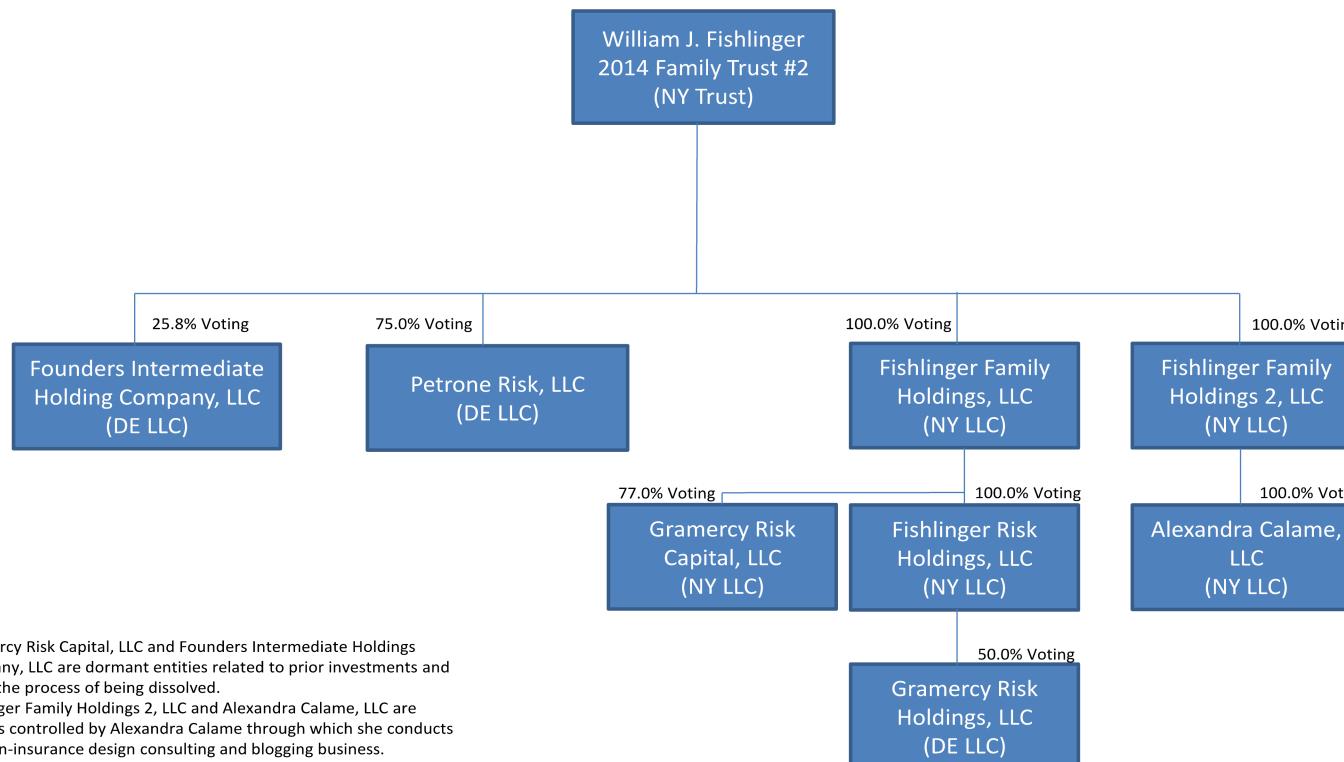
## PART 1 – ORGANIZATIONAL CHART



# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

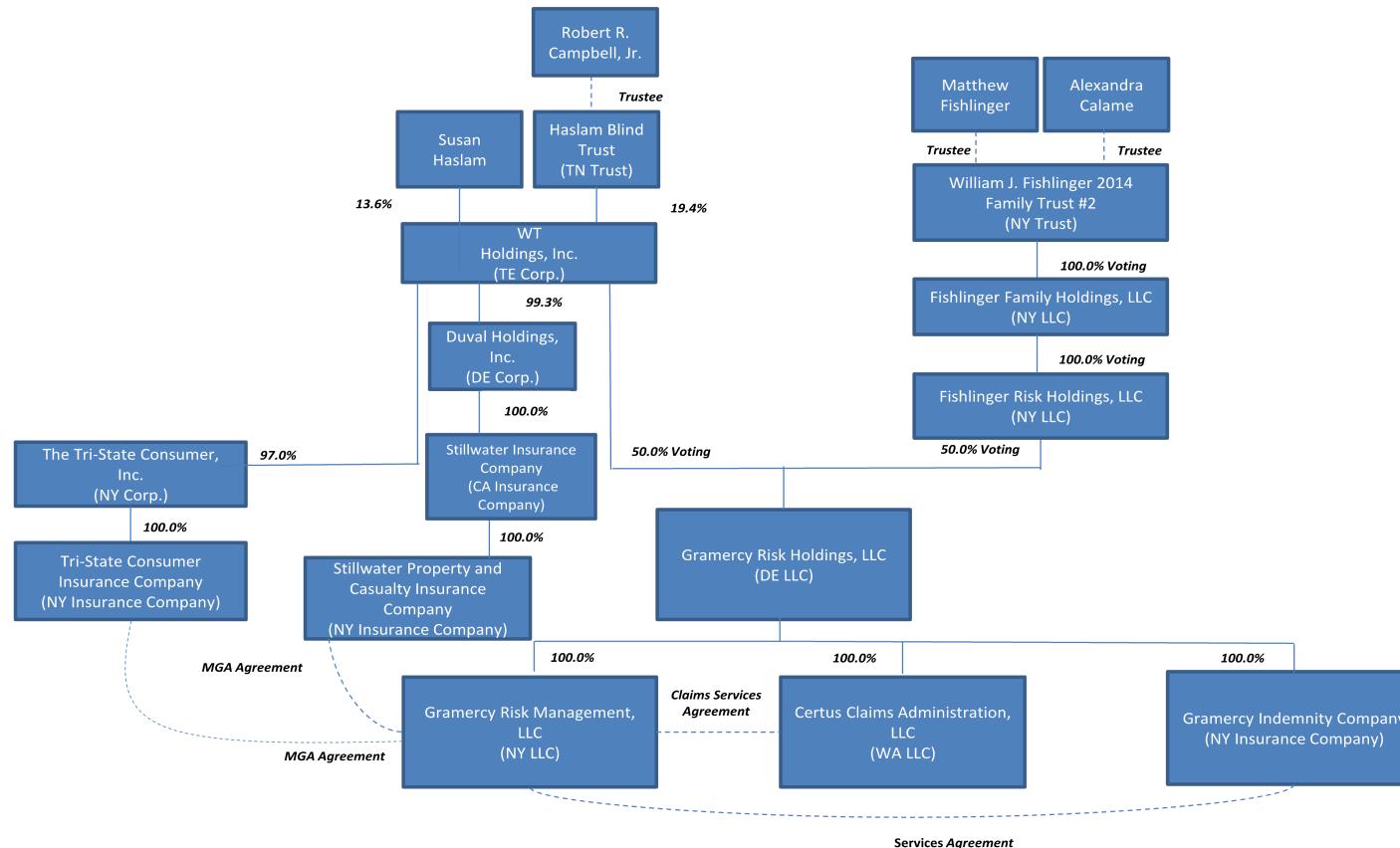
## PART 1 – ORGANIZATIONAL CHART

Organization Chart of Persons Controlled  
by Fishlinger Trust 04/22/2019



# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART



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