



32573201920100100

ANNUAL STATEMENT

For the Year Ended December 31, 2019  
OF THE CONDITION AND AFFAIRS OF THE

Ohio FAIR Plan Underwriting Association

NAIC Group Code	0000	0000	NAIC Company Code	32573	Employer's ID Number	23-7024436
	(Current Period)	(Prior Period)				
Organized under the Laws of	OHIO	State of Domicile or Port of Entry			OH	
Country of Domicile	US					
Incorporated/Organized	October 25, 1968	Commenced Business	June 1, 1974			
Statutory Home Office	2500 CORPORATE EXCHANGE DR., SUITE 250	COLUMBUS, OH, US		43231		
	(Street and Number)	(City or Town, State, Country and Zip Code)				
Main Administrative Office	2500 CORPORATE EXCHANGE DR., SUITE 250					
	(Street and Number)					
	COLUMBUS, OH, US	43231	614-839-6446			
	(City or Town, State, Country and Zip Code)	(Area Code)	(Telephone Number)			
Mail Address	2500 CORPORATE EXCHANGE DR., SUITE 250	COLUMBUS, OH, US		43231		
	(Street and Number or P.O. Box)	(City or Town, State, Country and Zip Code)				
Primary Location of Books and Records	2500 CORPORATE EXCHANGE DR., SUITE 250	COLUMBUS, OH, US	43231	614-839-6446		
	(Street and Number)	(City or Town, State, Country and Zip Code)	(Area Code)	(Telephone Number)		
Internet Web Site Address	WWW.OHIOFAIRPLAN.COM					
Statutory Statement Contact	MICHELLE R. KNODELL	614-839-6446	x.155			
	(Name)	(Area Code)	(Telephone Number)	(Extension)		
	MKNODELL@OHIOFAIRPLAN.COM	614-839-1473				
	(E-Mail Address)	(Fax Number)				

OFFICERS

CHAIRMAN

JENNIFER KEEFER

	Name	Title
1.	W. SHAWN BRACE	PRESIDENT
2.	TRACY A. BRININGER	SECRETARY
3.	W. SHAWN BRACE	TREASURER

VICE-PRESIDENTS

Name	Title	Name	Title
TRACY A. BRININGER	VICE PRESIDENT OF CLAIMS	MICHELLE R. KNODELL	VICE PRESIDENT OF ACCOUNTING

DIRECTORS OR TRUSTEES

GEOFFREY BISHOP #	PHILIP COLE	JAN FOLEY OROSZ	STEPHANIE GREEN
ERICK HILL	JENNIFER KEEFER	MARTIN LANGLOIS	TERRY MCCLASKEY
DIANE MONNIN	JOSEPH MONTES #	DALE TSCHANTZ #	SABRINA WANG

State of OHIO

County of FRANKLIN ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature) JENNIFER KEEFER	(Signature) W. SHAWN BRACE	(Signature) TRACY A. BRININGER
(Printed Name) 1.	(Printed Name) 2.	(Printed Name) 3.
CHAIRMAN	PRESIDENT	SECRETARY
(Title)	(Title)	(Title)

Subscribed and sworn to (or affirmed) before me this on this  
day of FEBRUARY, 2020, by

a. Is this an original filing? [X] Yes [ ] No

b. If no:

1. State the amendment number

2. Date filed

3. Number of pages attached

SUMMARY INVESTMENT SCHEDULE

Investment Categories	Gross Investment Holdings		Admitted Assets as Reported in the Annual Statement			
	1	2	3	4	5	6
	Amount	Percentage of Column 1 Line 13	Amount	Securities Lending Reinvested Collateral Amount	Total (Col. 3 + 4) Amount	Percentage of Column 5 Line 13
1. Long-Term Bonds (Schedule D, Part 1):						
1.01 U.S. Governments	1,449,705	6.00	1,449,705		1,449,705	6.00
1.02 All other governments						
1.03 U.S. states, territories and possessions, etc. guaranteed						
1.04 U.S. political subdivisions of states, territories, and possessions, guaranteed						
1.05 U.S. special revenue and special assessment obligations, etc. non-guaranteed						
1.06 Industrial and miscellaneous	3,174,470	13.14	3,174,470		3,174,470	13.14
1.07 Hybrid securities						
1.08 Parent, subsidiaries and affiliates						
1.09 SVO identified funds						
1.10 Unaffiliated bank loans						
1.11 Total long-term bonds	4,624,175	19.13	4,624,175		4,624,175	19.13
2. Preferred stocks (Schedule D, Part 2, Section 1):						
2.01 Industrial and miscellaneous (Unaffiliated)						
2.02 Parent, subsidiaries and affiliates						
2.03 Total preferred stocks						
3. Common stocks (Schedule D, Part 2, Section 2):						
3.01 Industrial and miscellaneous Publicly traded (Unaffiliated)						
3.02 Industrial and miscellaneous Other (Unaffiliated)						
3.03 Parent, subsidiaries and affiliates Publicly traded						
3.04 Parent, subsidiaries and affiliates Other						
3.05 Mutual funds						
3.06 Unit investment trusts						
3.07 Closed-end funds						
3.08 Total common stocks						
4. Mortgage loans (Schedule B):						
4.01 Farm mortgages						
4.02 Residential mortgages						
4.03 Commercial mortgages						
4.04 Mezzanine real estate loans						
4.05 Total mortgage loans						
5. Real estate (Schedule A):						
5.01 Properties occupied by company						
5.02 Properties held for production of income						
5.03 Properties held for sale						
5.04 Total real estate						
6. Cash, cash equivalents and short-term investments:						
6.01 Cash (Schedule E, Part 1)	4,267,121	17.66	4,267,121		4,267,121	17.66
6.02 Cash equivalents (Schedule E, Part 2)	4,092,225	16.93	4,092,225		4,092,225	16.93
6.03 Short-term investments (Schedule DA)	11,182,668	46.27	11,182,668		11,182,668	46.27
6.04 Total cash, cash equivalents and short-term investments	19,542,014	80.87	19,542,014		19,542,014	80.87
7. Contract loans						
8. Derivatives (Schedule DB)						
9. Other invested assets (Schedule BA)						
10. Receivables for securities						
11. Securities Lending (Schedule DL, Part 1)				X X X	X X X	X X X
12. Other invested assets (Page 2, Line 11)						
13. Total invested assets	24,166,189	100.00	24,166,189		24,166,189	100.00

**NONE      Schedule A and B Verification**

SCHEDULE BA - VERIFICATION BETWEEN YEARS

Other Long-Term Invested Assets

1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition (Part 2, Column 8)		
	2.2 Additional investment made after acquisition (Part 2, Column 9)		
3.	Capitalized deferred interest and other:		
	3.1 Totals, Part 1, Column 16		
	3.2 Totals, Part 3, Column 12		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease):		
	5.1 Totals, Part 1, Column 13		
	5.2 Totals, Part 3, Column 9		
6.	Total gain (loss) on disposals, Part 3, Column 19		
7.	Deduct amounts received on disposals, Part 3, Column 16		
8.	Deduct amortization of premium and depreciation		
9.	Total foreign exchange change in book/adjusted carrying value:		
	9.1 Totals, Part 1, Column 17		
	9.2 Totals, Part 3, Column 14		
10.	Deduct current year's other-than-temporary impairment recognized:		
	10.1 Totals, Part 1, Column 15		
	10.2 Totals, Part 3, Column 11		
11.	Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)		
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)		

NONE

SCHEDULE D - VERIFICATION BETWEEN YEARS

Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year		4,325,940
2.	Cost of bonds and stocks acquired, Part 3, Column 7		1,703,077
3.	Accrual of discount		12,240
4.	Unrealized valuation increase (decrease):		
	4.1 Part 1, Column 12		
	4.2 Part 2, Section 1, Column 15		
	4.3 Part 2, Section 2, Column 13		
	4.4 Part 4,Column 11		
5.	Total gain (loss) on disposals, Part 4, Column 19		
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7		1,400,000
7.	Deduct amortization of premium		17,081
8.	Total foreign exchange change in book/adjusted carrying value:		
	8.1 Part 1, Column 15		
	8.2 Part 2, Section 1, Column 19		
	8.3 Part 2, Section 2, Column 16		
	8.4 Part 4, Column 15		
9.	Deduct current year's other-than-temporary impairment recognized:		
	9.1 Part 1, Column 14		
	9.2 Part 2, Section 1, Column 17		
	9.3 Part 2, Section 2, Column 14		
	9.4 Part 4, Column 13		
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees. Notes 5Q, Line 2		
11.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9 + 10)		4,624,176
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)		4,624,176

SCHEDULE D - SUMMARY BY COUNTRY

Long-Term Bonds and Stocks OWNED December 31 of Current Year

Description		1	2	3	4
		Book/Adjusted Carrying Value	Fair Value	Actual Cost	Par Value of Bonds
<b>BONDS</b>  Governments (Including all obligations guaranteed by governments)	1. United States	1,449,705	1,453,826	1,452,621	1,450,000
	2. Canada				
	3. Other Countries				
	4. Totals	1,449,705	1,453,826	1,452,621	1,450,000
U.S. States, Territories and Possessions (Direct and guaranteed)	5. Totals				
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)	6. Totals				
U.S. Special revenue and special assessment obligations and all non-guaranteed obligations of agencies and authorities of governments and their political subdivisions	7. Totals				
Industrial and Miscellaneous, SVO Identified Funds, Unaffiliated Bank Loans and Hybrid Securities (unaffiliated)	8. United States	3,174,470	3,236,388	3,203,059	3,150,000
	9. Canada				
	10. Other Countries				
	11. Totals	3,174,470	3,236,388	3,203,059	3,150,000
Parent, Subsidiaries and Affiliates	12. Totals				
	13. Total Bonds	4,624,175	4,690,214	4,655,680	4,600,000
<b>PREFERRED STOCKS</b>  Industrial and Miscellaneous (unaffiliated)	14. United States				
	15. Canada				
	16. Other Countries				
	17. Totals				
Parent, Subsidiaries and Affiliates	18. Totals				
	19. Total Preferred Stocks				
<b>COMMON STOCKS</b>  Industrial and Miscellaneous (unaffiliated)	20. United States				
	21. Canada				
	22. Other Countries				
	23. Totals				
Parent, Subsidiaries and Affiliates	24. Totals				
	25. Total Common Stocks				
	26. Total Stocks				
	27. Total Bonds and Stocks	4,624,175	4,690,214	4,655,680	

SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

	NAIC Designation	1	2	3	4	5	6	7	8	9	10	11	12
		1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	No Maturity Date	Total Current Year	Col. 7 as a % of Line 11.7	Total from Col. 7 Prior Year	% From Col. 8 Prior Year	Total Publicly Traded	Total Privately Placed (a)
S05	<b>1. U.S. Governments</b>												
	1.1 NAIC 1	12,279,418	352,956				X X X	12,632,374	79.917	10,237,775	78.070	12,632,374	
	1.2 NAIC 2						X X X						
	1.3 NAIC 3						X X X						
	1.4 NAIC 4						X X X						
	1.5 NAIC 5						X X X						
	1.6 NAIC 6						X X X						
	1.7 Totals	12,279,418	352,956				X X X	12,632,374	79.917	10,237,775	78.070	12,632,374	
	<b>2. All Other Governments</b>												
	2.1 NAIC 1						X X X						
	2.2 NAIC 2						X X X						
	2.3 NAIC 3						X X X						
	2.4 NAIC 4						X X X						
	2.5 NAIC 5						X X X						
	2.6 NAIC 6						X X X						
	2.7 Totals						X X X						
	<b>3. U.S. States, Territories and Possessions, etc., Guaranteed</b>												
	3.1 NAIC 1						X X X						
	3.2 NAIC 2						X X X						
	3.3 NAIC 3						X X X						
	3.4 NAIC 4						X X X						
	3.5 NAIC 5						X X X						
	3.6 NAIC 6						X X X						
	3.7 Totals						X X X						
	<b>4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed</b>												
	4.1 NAIC 1						X X X						
	4.2 NAIC 2						X X X						
	4.3 NAIC 3						X X X						
	4.4 NAIC 4						X X X						
	4.5 NAIC 5						X X X						
	4.6 NAIC 6						X X X						
	4.7 Totals						X X X						
	<b>5. U.S. Special Revenue &amp; Special Assessment Obligations, etc., Non-Guaranteed</b>												
	5.1 NAIC 1						X X X						
	5.2 NAIC 2						X X X						
	5.3 NAIC 3						X X X						
	5.4 NAIC 4						X X X						
	5.5 NAIC 5						X X X						
	5.6 NAIC 6						X X X						
	5.7 Totals						X X X						

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

	NAIC Designation	1	2	3	4	5	6	7	8	9	10	11	12
		1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	No Maturity Date	Total Current Year	Col. 7 as a % of Line 11.7	Total from Col. 7 Prior Year	% From Col. 8 Prior Year	Total Publicly Traded	Total Privately Placed (a)
906	6. Industrial & Miscellaneous (unaffiliated)												
	6.1 NAIC 1	450,782	2,199,342	524,346			X X X	3,174,470	20.083	2,875,859	21.930	3,174,470	
	6.2 NAIC 2						X X X						
	6.3 NAIC 3						X X X						
	6.4 NAIC 4						X X X						
	6.5 NAIC 5						X X X						
	6.6 NAIC 6						X X X						
	6.7 Totals	450,782	2,199,342	524,346			X X X	3,174,470	20.083	2,875,859	21.930	3,174,470	
	7. Hybrid Securities												
	7.1 NAIC 1					NONE	X X X						
	7.2 NAIC 2						X X X						
	7.3 NAIC 3						X X X						
	7.4 NAIC 4						X X X						
	7.5 NAIC 5						X X X						
	7.6 NAIC 6						X X X						
	7.7 Totals						X X X						
	8. Parent, Subsidiaries and Affiliates												
	8.1 NAIC 1					NONE	X X X						
	8.2 NAIC 2						X X X						
	8.3 NAIC 3						X X X						
	8.4 NAIC 4						X X X						
	8.5 NAIC 5						X X X						
	8.6 NAIC 6						X X X						
	8.7 Totals						X X X						
	9. SVO Identified Funds												
	9.1 NAIC 1	X X X	X X X	X X X	X X X	X X X	NONE						
	9.2 NAIC 2	X X X	X X X	X X X	X X X	X X X							
	9.3 NAIC 3	X X X	X X X	X X X	X X X	X X X							
	9.4 NAIC 4	X X X	X X X	X X X	X X X	X X X							
	9.5 NAIC 5	X X X	X X X	X X X	X X X	X X X							
	9.6 NAIC 6	X X X	X X X	X X X	X X X	X X X							
	9.7 Totals	X X X	X X X	X X X	X X X	X X X							
	10. Unaffiliated Bank Loans												
	10.1 NAIC 1					NONE	X X X						
	10.2 NAIC 2						X X X						
	10.3 NAIC 3						X X X						
	10.4 NAIC 4						X X X						
	10.5 NAIC 5						X X X						
	10.6 NAIC 6						X X X						
	10.7 Totals						X X X						

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1		2	3	4	5	6	7	8	9	10	11	12
	1 Year or Less		Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	No Maturity Date	Total Current Year	Col. 7 as a % of Line 11.7	Total from Col. 8 Prior Year	% From Col. 7 Prior Year	Total Publicly Traded	Total Privately Placed (a)
11. Total Bonds Current Year													
11.1 NAIC 1	(d)	12,730,200	2,552,298	524,346				15,806,844	100.000	X X X	X X X	15,806,844	
11.2 NAIC 2	(d)									X X X	X X X		
11.3 NAIC 3	(d)									X X X	X X X		
11.4 NAIC 4	(d)									X X X	X X X		
11.5 NAIC 5	(d)							(c)		X X X	X X X		
11.6 NAIC 6	(d)							(c)		X X X	X X X		
11.7 Totals		12,730,200	2,552,298	524,346				(b) 15,806,844	100.000	X X X	X X X	15,806,844	
11.8 Line 11.7 as a % of Col. 7		80.536	16.147	3.317				100.000	X X X	X X X	X X X	100.000	
12. Total Bonds Prior Year													
12.1 NAIC 1		10,186,854	2,228,352	698,427				X X X	X X X	13,113,633	100.000	13,113,633	
12.2 NAIC 2								X X X	X X X				
12.3 NAIC 3								X X X	X X X				
12.4 NAIC 4								X X X	X X X				
12.5 NAIC 5								X X X	X X X	(c)			
12.6 NAIC 6								X X X	X X X	(c)			
12.7 Totals		10,186,854	2,228,352	698,427				X X X	X X X	(b) 13,113,633	100.000	13,113,633	
12.8 Line 12.7 as a % of Col. 9		77.681	16.993	5.326				X X X	X X X	100.000	X X X	100.000	
13. Total Publicly Traded Bonds													
13.1 NAIC 1		12,730,200	2,552,298	524,346				15,806,844	100.000	13,113,633	100.000	15,806,844	X X X
13.2 NAIC 2													X X X
13.3 NAIC 3													X X X
13.4 NAIC 4													X X X
13.5 NAIC 5													X X X
13.6 NAIC 6													X X X
13.7 Totals		12,730,200	2,552,298	524,346				15,806,844	100.000	13,113,633	100.000	15,806,844	X X X
13.8 Line 13.7 as a % of Col. 7		80.536	16.147	3.317				100.000	X X X	X X X	X X X	100.000	X X X
13.9 Line 13.7 as a % of Line 11.7, Col. 7, Section 11		80.536	16.147	3.317				100.000	X X X	X X X	X X X	100.000	X X X
14. Total Privately Placed Bonds													
14.1 NAIC 1												X X X	
14.2 NAIC 2												X X X	
14.3 NAIC 3												X X X	
14.4 NAIC 4												X X X	
14.5 NAIC 5												X X X	
14.6 NAIC 6												X X X	
14.7 Totals												X X X	
14.8 Line 14.7 as a % of Col. 7									X X X	X X X	X X X	X X X	
14.9 Line 14.7 as a % of Line 11.7, Col. 7, Section 11									X X X	X X X	X X X	X X X	

507

NONE

(a) Includes \$ 0 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.

(b) Includes \$ 0 current year of bonds with Z designations, and \$ 0 prior year of bonds with Z designations and \$ 0 current year. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement.

(c) Includes \$ 0 current year of bonds with 5GI designations, \$ 0 prior year of bonds with 5GI designations and \$ 0 current year, \$ 0 prior year of bonds with 6\* designations. "5\*" means the NAIC designation was assigned by the SVO in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6\*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.

(d) Includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ 11,182,669; NAIC 2 \$ 0; NAIC 3 \$ 0; NAIC 4 \$ 0; NAIC 5 \$ 0; NAIC 6 \$ 0.



SCHEDULE D - PART 1A - SECTION 2

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

	1	2	3	4	5	6	7	8	9	10	11	12
Distribution by Type	1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	No Maturity Date	Total Current Year	Col. 7 as a % of Line 11.7	Total from Col. 7 Prior Year	% From Col. 8 Prior Year	Total Publicly Traded	Total Privately Placed
1. U.S. Governments												
1.01 Issuer Obligations	12,279,418	352,956				X X X	12,632,374	79.917	10,237,775	78.070	12,632,374	
1.02 Residential Mortgage-Backed Securities						X X X						
1.03 Commercial Mortgage-Backed Securities						X X X						
1.04 Other Loan-Backed and Structured Securities						X X X						
1.05 Totals	12,279,418	352,956				X X X	12,632,374	79.917	10,237,775	78.070	12,632,374	
2. All Other Governments												
2.01 Issuer Obligations						X X X						
2.02 Residential Mortgage-Backed Securities						X X X						
2.03 Commercial Mortgage-Backed Securities						X X X						
2.04 Other Loan-Backed and Structured Securities						X X X						
2.05 Totals						X X X						
3. U.S. States, Territories and Possessions, Guaranteed												
3.01 Issuer Obligations						X X X						
3.02 Residential Mortgage-Backed Securities						X X X						
3.03 Commercial Mortgage-Backed Securities						X X X						
3.04 Other Loan-Backed and Structured Securities						X X X						
3.05 Totals						X X X						
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed												
4.01 Issuer Obligations						X X X						
4.02 Residential Mortgage-Backed Securities						X X X						
4.03 Commercial Mortgage-Backed Securities						X X X						
4.04 Other Loan-Backed and Structured Securities						X X X						
4.05 Totals						X X X						
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed												
5.01 Issuer Obligations						X X X						
5.02 Residential Mortgage-Backed Securities						X X X						
5.03 Commercial Mortgage-Backed Securities						X X X						
5.04 Other Loan-Backed and Structured Securities						X X X						
5.05 Totals						X X X						
6. Industrial and Miscellaneous												
6.01 Issuer Obligations	450,782	2,199,342	524,346			X X X	3,174,470	20.083	2,875,859	21.930	3,174,470	
6.02 Residential Mortgage-Backed Securities						X X X						
6.03 Commercial Mortgage-Backed Securities						X X X						
6.04 Other Loan-Backed and Structured Securities						X X X						
6.05 Totals	450,782	2,199,342	524,346			X X X	3,174,470	20.083	2,875,859	21.930	3,174,470	
7. Hybrid Securities												
7.01 Issuer Obligations						X X X						
7.02 Residential Mortgage-Backed Securities						X X X						
7.03 Commercial Mortgage-Backed Securities						X X X						
7.04 Other Loan-Backed and Structured Securities						X X X						
7.05 Totals						X X X						
8. Parent, Subsidiaries and Affiliates												
8.01 Issuer Obligations						X X X						
8.02 Residential Mortgage-Backed Securities						X X X						
8.03 Commercial Mortgage-Backed Securities						X X X						
8.04 Other Loan-Backed and Structured Securities						X X X						
8.05 Affiliated Bank Loans – Issued						X X X						
8.06 Affiliated Bank Loans – Acquired						X X X						
8.07 Totals						X X X						

SCHEDULE D - PART 1A - SECTION 2 (Continued)

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

	1	2	3	4	5	6	7	8	9	10	11	12
Distribution by Type	1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	No Maturity Date	Total Current Year	Col. 7 as a % of Line 11.7	Total from Col. 7 Prior Year	% From Col. 8 Prior Year	Total Publicly Traded	Total Privately Placed
9. SVO Identified Funds												
9.01 Exchange Traded Funds Identified by the SVO	X X X	X X X	X X X	X X X	X X X							
9.02 Bond Mutual Funds Identified by the SVO	X X X	X X X	X X X	X X X	X X X							
9.03 Totals	X X X	X X X	X X X	X X X	X X X							
10. Unaffiliated Bank Loans												
10.01 Unaffiliated Bank Loans - Issued						X X X						
10.02 Unaffiliated Bank Loans - Acquired						X X X						
10.03 Totals						X X X						
11. Total Bonds Current Year												
11.01 Issuer Obligations	12,730,200	2,552,298	524,346			X X X	15,806,844	100.000	X X X	X X X	15,806,844	
11.02 Residential Mortgage-Backed Securities						X X X			X X X	X X X		
11.03 Commercial Mortgage-Backed Securities						X X X			X X X	X X X		
11.04 Other Loan-Backed and Structured Securities						X X X			X X X	X X X		
11.05 SVO - Identified Securities	X X X	X X X	X X X	X X X	X X X				X X X	X X X		
11.06 Affiliated Bank Loans						X X X			X X X	X X X		
11.07 Unaffiliated Bank Loans						X X X			X X X	X X X		
11.08 Totals	12,730,200	2,552,298	524,346				15,806,844	100.000	X X X	X X X	15,806,844	
11.09 Line 11.08 as a % of Col. 7	80.536	16.147	3.317				100.000	X X X	X X X	X X X	100.000	
12. Total Bonds Prior Year												
12.01 Issuer Obligations	10,186,854	2,228,352	698,427			X X X	X X X	X X X	13,113,633	100.000	13,113,633	
12.02 Residential Mortgage-Backed Securities						X X X	X X X	X X X				
12.03 Commercial Mortgage-Backed Securities						X X X	X X X	X X X				
12.04 Other Loan-Backed and Structured Securities						X X X	X X X	X X X				
12.05 SVO - Identified Securities	X X X	X X X	X X X	X X X	X X X		X X X	X X X				
12.06 Affiliated Bank Loans						X X X	X X X	X X X				
12.07 Unaffiliated Bank Loans						X X X	X X X	X X X				
12.08 Totals	10,186,854	2,228,352	698,427				X X X	X X X	13,113,633	100.000	13,113,633	
12.09 Line 12.08 as a % of Col. 9	77.681	16.993	5.326				X X X	X X X	100.000%	X X X	100.000	
13. Total Publicly Traded Bonds												
13.01 Issuer Obligations	12,730,200	2,552,298	524,346			X X X	15,806,844	100.000	13,113,633	100.000	15,806,844	X X X
13.02 Residential Mortgage-Backed Securities						X X X						X X X
13.03 Commercial Mortgage-Backed Securities						X X X						X X X
13.04 Other Loan-Backed and Structured Securities						X X X						X X X
13.05 SVO - Identified Securities	X X X	X X X	X X X	X X X	X X X							X X X
13.06 Affiliated Bank Loans						X X X						X X X
13.07 Unaffiliated Bank Loans						X X X						X X X
13.08 Totals	12,730,200	2,552,298	524,346				15,806,844	100.000	13,113,633	100.000	15,806,844	X X X
13.09 Line 13.08 as a % of Col. 7	80.536	16.147	3.317				100.000	X X X	X X X	X X X	100.000	X X X
13.10 Line 13.08 as a % of Line 11.08, Col. 7, Section 11	80.536	16.147	3.317				100.000	X X X	X X X	X X X	100.000	X X X
14. Total Privately Placed Bonds												
14.01 Issuer Obligations						X X X					X X X	
14.02 Residential Mortgage-Backed Securities						X X X					X X X	
14.03 Commercial Mortgage-Backed Securities						X X X					X X X	
14.04 Other Loan-Backed and Structured Securities						X X X					X X X	
14.05 SVO - Identified Securities	X X X	X X X	X X X	X X X	X X X						X X X	
14.06 Affiliated Bank Loans						X X X					X X X	
14.07 Unaffiliated Bank Loans						X X X					X X X	
14.08 Totals											X X X	
14.09 Line 14.08 as a % of Col. 7								X X X	X X X	X X X	X X X	
14.10 Line 14.08 as a % of Line 11.08, Col. 7, Section 11								X X X	X X X	X X X	X X X	

SCHEDULE DA - VERIFICATION BETWEEN YEARS

Short-Term Investments

	1	2	3	4	5
	Total	Bonds	Mortgage Loans	Other Short-Term Investment Assets (a)	Investments in Parent, Subsidiaries and Affiliates
1. Book/adjusted carrying value, December 31 of prior year	8,787,693	8,787,693			
2. Cost of short-term investments acquired	11,155,690	11,155,690			
3. Accrual of discount	225,263	225,263			
4. Unrealized valuation increase (decrease)					
5. Total gain (loss) on disposals					
6. Deduct consideration received on disposals	8,985,000	8,985,000			
7. Deduct amortization of premium	978	978			
8. Total foreign exchange change in book/adjusted carrying value					
9. Deduct current year's other-than-temporary impairment recognized					
10. Book adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	11,182,668	11,182,668			
11. Deduct total nonadmitted amounts					
12. Statement value at end of current period (Line 10 minus Line 11)	11,182,668	11,182,668			

SI10

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

- NONE Schedule DB - Part A and B Verification
- NONE Schedule DB - Part C - Section 1
- NONE Schedule DB - Part C - Section 2
- NONE Schedule DB - Verification

SCHEDULE E - PART 2 - VERIFICATION BETWEEN YEARS  
(Cash Equivalents)

	1	2	3	4
	Total	Bonds	Money Market Mutual Funds	Other (a)
1. Book/adjusted carrying value, December 31 of prior year	363,482		363,482	
2. Cost of cash equivalents acquired	11,691,324		11,691,324	
3. Accrual of discount				
4. Unrealized valuation increase (decrease)				
5. Total gain (loss) on disposals				
6. Deduct consideration received on disposals	7,962,580		7,962,580	
7. Deduct amortization of premium				
8. Total foreign exchange change in book/adjusted carrying value				
9. Deduct current year's other-than-temporary impairment recognized				
10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	4,092,226		4,092,226	
11. Deduct total nonadmitted amounts				
12. Statement value at end of current period (Line 10 minus Line 11)	4,092,226		4,092,226	

(a) Indicate the category of such investments, for example, joint ventures, transportation equipment:

NONE	Schedule A - Part 1
NONE	Schedule A - Part 2
NONE	Schedule A - Part 3
NONE	Schedule B - Part 1
NONE	Schedule B - Part 2
NONE	Schedule B - Part 3
NONE	Schedule BA - Part 1
NONE	Schedule BA - Part 2
NONE	Schedule BA - Part 3

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1  CUSIP Identification	2  Description	Codes			6  NAIC Designation and Administrative Symbol	7  Actual Cost	Fair Value		10  Par Value	11  Book / Adjusted Carrying Value	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
		Code	F o r e i g n	Bond CHAR			Rate Used To Obtain Fair Value	Fair Value			Unrealized Valuation Increase / (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other -Than- Temporary Impairment Recognized	Total Foreign Exchange Change in B./A.C.V.	Rate of	Effective Rate of	When Paid	Admitted Amount Due & Accrued	Amount Rec. During Year	Acquired	Stated Contractual Maturity Date
912828-VP-2	U S TREASURY NOTE				1	497,930	100.215	501,074	500,000	499,049		1,119			2.000	2.345	JJ	4,158	5,000	04/22/2019	07/31/2020
912828-WC-0	U S TREASURY NOTE				1	495,687	100.082	500,410	500,000	497,628		1,942			1.750	2.344	AO	1,466	8,750	04/22/2019	10/31/2020
912828-2D-1	UNITED STATES TREAS NTS				1	74,150	99.078	74,309	75,000	74,153		3			1.375	1.701	FA	346		12/27/2019	08/31/2023
912828-C5-7	UNITED STATES TREAS NTS				1	104,969	100.762	100,762	100,000	101,272		(1,005)			2.250	1.223	MS	566	2,250	04/07/2016	03/31/2021
912828-J7-6	UNITED STATES TREAS NTS				1	101,973	100.375	100,375	100,000	100,760		(330)			1.750	1.410	MS	440	1,750	04/07/2016	03/31/2022
912828-J8-4	UNITED STATES TREAS NTS				1	101,141	99.934	99,934	100,000	100,072		(290)			1.375	1.084	MS	346	1,375	04/07/2016	03/31/2020
912828-3D-0	US TREASURY NT				1	76,772	102.617	76,963	75,000	76,771		(2)			2.250	1.746	AO	283		12/30/2019	10/31/2024
0199999	U.S. Government - Issuer Obligations					1,452,622	X X X	1,453,827	1,450,000	1,449,705		1,437			X X X	X X X	X X X	7,605	19,125	X X X	X X X
0599999	Subtotals – U.S. Governments					1,452,622	X X X	1,453,827	1,450,000	1,449,705		1,437			X X X	X X X	X X X	7,605	19,125	X X X	X X X
88579Y-BB-6	3M CO FR				1FE	78,441	104.665	78,499	75,000	78,439		(2)			3.250	2.094	FA	928		12/30/2019	02/14/2024
037833-AK-6	APPLE INC				1FE	49,490	101.706	50,853	50,000	49,672		93			2.400	2.623	MN	193	1,200	01/05/2018	05/03/2023
037833-BF-6	APPLE INC SR NT				1	51,954	102.297	51,149	50,000	50,788		(322)			2.700	2.025	MN	180	1,350	04/11/2016	05/13/2022
053015-AE-3	AUTOMATIC DATA PROCESSING IN				1	51,673	106.626	53,313	50,000	51,138		(181)			3.375	2.961	MS	497	1,688	12/12/2016	09/15/2025
06406H-DA-4	BANK NEW YORK INC MEDIUM TER		3		1FE	50,036	104.013	52,007	50,000	50,027		(5)			3.000	3.011	FA	529	1,500	01/05/2018	02/24/2025
084670-BC-1	BERKSHIRE HATHAWAY INC SR NO				1	54,728	103.264	51,632	50,000	51,636		(986)			3.750	1.705	FA	708	1,875	10/21/2016	08/15/2021
09247X-AJ-0	BLACKROCK INC				1	53,555	103.648	51,824	50,000	51,455		(580)			3.375	2.145	JD	141	1,688	04/11/2016	06/01/2022
097023-BM-6	BOEING CO				1	48,843	99.781	49,891	50,000	49,744		303			1.650	2.294	AO	140	825	12/20/2016	10/30/2020
110122-AW-8	BRISTOL MYERS SQUIBB CO				1FE	51,855	104.703	52,352	50,000	51,233		(302)			3.250	2.587	MN	271	1,625	12/01/2017	11/01/2023
166764-AH-3	CHEVRON CORP				1FE	51,465	104.106	52,053	50,000	50,956		(259)			3.191	2.630	JD	31	1,596	01/05/2018	06/24/2023
166764-BN-9	CHEVRON CORP NEW SR GLBL NT				1FE	49,121	101.675	50,838	50,000	49,459		237			2.498	3.039	MS	409	1,249	07/24/2018	03/03/2022
17275R-AX-0	CISCO SYS INC SR NT				1	51,625	100.296	50,148	50,000	50,208		(451)			2.450	1.537	JD	54	1,225	10/21/2016	06/15/2020
191216-AV-2	COCA COLA CO				1	51,862	102.478	51,239	50,000	50,688		(398)			3.300	2.474	MS	547	1,650	12/20/2016	09/01/2021
19416Q-DZ-0	COLGATE-PALMOLIVE CO MTN				1	49,991	101.517	50,759	50,000	49,996		2			2.300	2.317	MN	185	1,150	12/12/2016	05/03/2022
22160K-AJ-4	COSTCO WHSL CORP SR NT				1FE	49,059	100.557	50,279	50,000	49,529		330			2.150	2.871	MN	128	1,075	07/24/2018	05/18/2021
24422E-SL-4	DEERE JOHN CAP CORP MED TER				1	51,187	101.239	50,620	50,000	50,291		(242)			2.800	2.308	MS	455	1,400	03/04/2016	03/04/2021
254687-CZ-7	DISNEY WALT CO				1FE	53,510	107.034	53,517	50,000	53,508		(2)			3.700	2.137	MS	545		12/30/2019	09/15/2024
25468P-DM-5	DISNEY WALT CO NEW MTN				1FE	45,896	98.279	49,140	50,000	46,759		440			1.850	2.962	JJ	385	925	01/05/2018	07/30/2026
30231G-AR-3	EXXON MOBIL CORP SR GLBL NT				1	51,456	102.428	51,214	50,000	50,706		(213)			2.726	2.274	MS	454	1,363	05/13/2016	03/01/2023
30231G-AV-4	EXXON MOBIL CORP SR GLBL NT				1FE	49,295	100.590	50,295	50,000	49,614		320			2.222	2.920	MS	370	1,111	01/02/2019	03/01/2021
369550-AU-2	GENERAL DYNAMICS CORP				1FE	47,901	101.073	50,537	50,000	48,625		449			2.250	3.287	MN	144	1,125	05/15/2018	11/15/2022
369550-AW-8	GENERAL DYNAMICS CORP				1FE	48,041	100.046	50,023	50,000	48,369		329			1.875	2.848	FA	354	469	03/21/2019	08/15/2023
369604-BG-7	GENERAL ELEC CO				1FE	51,612	103.571	51,786	50,000	51,124		(249)			3.375	2.823	MS	516	1,687	01/05/2018	03/11/2024
36962G-7K-4	GENERAL ELECTRIC CAP CORP ME				1	50,978	103.803	51,902	50,000	50,000					3.450	3.480	MN	220	1,725	12/20/2016	05/15/2024
437076-BM-3	HOME DEPOT INC SR GLBL NT				1FE	50,123	104.670	52,335	50,000	50,096		(14)			3.000	2.988	AO	375	1,500	01/05/2018	04/01/2026

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	F o r e i g n  B o n d  C H A R	Bond CHAR	NAIC Designation and Administrative Symbol	Actual Cost	Rate Used To Obtain Fair Value	Fair Value	Par Value	Book / Adjusted Carrying Value	Unrealized Valuation Increase / (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other -Than- Temporary Impairment Recognized	Total Foreign Exchange Change in B./A.C.V.	Rate of	Effective Rate of	When Paid	Admitted Amount Due & Accrued	Amount Rec. During Year	Acquired	Stated Contractual Maturity Date
437076-AZ-5	HOME DEPOT INC SR NT				1	50,113	102.578	51,289	50,000	50,061		(18)			2.700	2.679	AO	338	1,350	12/12/2016	04/01/2023
438516-BA-3	HONEYWELL INTL INC SR NT				1	54,554	103.047	51,524	50,000	51,295		(1,090)			4.250	1.999	MS	704	2,125	12/12/2016	03/01/2021
458140-AR-1	INTEL CORP SR GLBL NT				1	101,836	103.197	103,197	100,000	100,859		(319)			3.100	2.774	JJ	1,309	3,100	01/02/2019	07/29/2022
494368-BQ-5	KIMBERLY CLARK CORP SR GLBL				1	48,197	102.512	51,256	50,000	48,810		207			2.650	3.179	MS	439	1,325	12/20/2016	03/01/2025
589331-AT-4	MERCK & CO INC				1FE	49,875	101.560	49,926	50,000	49,926		26			2.400	2.472	MS	353	1,200	01/05/2018	09/15/2022
58933Y-AF-2	MERCK & CO INC NEW				1	50,541	103.038	51,519	50,000	50,293		(82)			2.800	2.635	MN	167	1,400	11/22/2016	05/18/2023
594918-AT-1	MICROSOFT CORP				1	48,603	101.717	50,859	50,000	49,236		214			2.375	2.879	MN	195	1,188	12/20/2016	05/01/2023
665859-AL-8	NORTHERN TRUST CORP				1FE	50,421	101.263	50,632	50,000	50,196		(225)			3.450	2.998	MN	273	1,725	01/02/2019	11/04/2020
68389X-BA-2	ORACLE CORP				1	51,821	101.529	50,765	50,000	50,538		(345)			2.800	2.088	JJ	673	1,400	03/04/2016	07/08/2021
68389X-BB-0	ORACLE CORP SR NT				1FE	50,087	101.313	50,657	50,000	50,048		(20)			2.500	2.473	MN	160	1,250	01/05/2018	05/15/2022
713448-CS-5	PEPSICO INC				1	49,967	99.989	49,995	50,000	49,997		10			1.850	1.879	AO	154	925	12/12/2016	04/30/2020
713448-CY-2	PEPSICO INC				1FE	52,123	107.407	53,704	50,000	51,606		(264)			3.500	2.890	JJ	797	1,750	01/05/2018	07/17/2025
717081-D5-9	PFIZER INC				1	61,205	113.022	56,511	50,000	55,831		(1,526)			5.800	2.426	FA	1,120	2,900	05/13/2016	08/12/2023
718172-AH-2	PHILIP MORRIS INTL INC				1FE	51,238	100.565	50,283	50,000	50,179		(742)			4.500	2.995	MS	594	2,250	07/24/2018	03/26/2020
718172-BM-0	PHILIP MORRIS INTL INC SR NT				1FE	50,852	105.021	52,511	50,000	50,622		(117)			3.250	2.995	MN	230	1,625	01/05/2018	11/10/2024
740189-AL-9	PRECISION CASTPARTS CORP				1	51,060	100.044	50,022	50,000	50,119		(259)			2.250	1.729	JD	50	1,125	04/11/2016	06/15/2020
743315-AR-4	PROGRESSIVE CORP OHIO SR GLB				1FE	45,027	100.423	50,212	50,000	45,842		506			2.450	3.844	JJ	565	1,225	05/15/2018	01/15/2027
747525-AE-3	QUALCOMM INC				1	50,666	102.527	51,264	50,000	50,269		(108)			3.000	2.784	MN	171	1,500	03/04/2016	05/20/2022
808513-AX-3	SCHWAB CHARLES CORP SR NT				1FE	81,173	108.368	81,276	75,000	81,170		(3)			3.850	2.234	MN	321		12/30/2019	05/21/2025
828807-DD-6	SIMON PPTY GROUP L P				1FE	49,839	102.229	51,115	50,000	49,895		29			2.750	2.835	JD	115	1,375	01/05/2018	06/01/2023
828807-CV-7	SIMON PROPERTY GROUP LP				1FE	51,473	106.405	53,203	50,000	51,110		(177)			3.500	3.094	MS	583	1,750	12/01/2017	09/01/2025
855244-AD-1	STARBUCKS CORP				1	53,826	106.083	53,042	50,000	52,281		(572)			3.850	2.583	AO	481	1,925	03/27/2017	10/01/2023
857477-AN-3	STATE STR CORP SR				1	53,030	105.496	52,748	50,000	51,827		(342)			3.300	2.527	JD	69	1,650	05/13/2016	12/16/2024
857477-AV-5	STATE STREET CORP				1FE	48,646	100.207	50,104	50,000	49,201		555			1.950	3.164	MN	114	975	01/02/2019	05/19/2021
87612E-AZ-9	TARGET CORP				1FE	51,000	102.319	51,160	50,000	50,518		(245)			2.900	2.391	JJ	669	1,450	01/05/2018	01/15/2022
87612E-BD-7	TARGET CORP SR NT				1	52,383	107.041	53,521	50,000	51,480		(304)			3.500	2.816	JJ	877	1,750	12/12/2016	07/01/2024
882508-AZ-7	TEXAS INSTRS INC NT				1FE	49,311	99.941	49,971	50,000	49,825		514			1.750	2.832	MN	146	875	01/02/2019	05/01/2020
91159H-HN-3	U S BANCORP MEDIUM TERM NTS		3		1FE	47,203	100.312	50,156	50,000	47,789		299			2.375	3.150	JJ	524	1,188	01/05/2018	07/22/2026
911312-AM-8	UNITED PARCEL SERVICE				1	51,806	101.194	50,597	50,000	50,508		(479)			3.125	2.142	JJ	720	1,563	03/27/2017	01/15/2021
911312-AQ-9	UNITED PARCEL SERVICE				1FE	48,574	101.603	50,802	50,000	49,042		328			2.450	3.209	AO	306	1,225	07/24/2018	10/01/2022
91159H-HL-7	US BANCORP MTN		2		1	50,967	100.552	50,276	50,000	50,225		(204)			2.350	1.936	JJ	496	1,175	04/11/2016	01/29/2021
92826C-AC-6	VISA INC SR GLBL				1	49,768	102.907	51,454	50,000	49,880		38			2.800	2.906	JD	66	1,400	12/20/2016	12/14/2022
931142-DH-3	WAL-MART STORES INC				1FE	49,717	102.174	51,087	50,000	49,768		52			2.550	2.717	AO	283	1,275	03/21/2019	04/11/2023
931142-CU-5	WAL-MART STORES, INC				1	52,815	100.886	50,443	50,000	50,457		(868)			3.625	1.857	JJ	871	1,813	03/27/2017	07/08/2020
949746-SA-0	WELLS FARGO & CO				1FE	49,226	100.147	50,074	50,000	49,650		216			2.100	2.575	JJ	452	1,050	01/05/2018	07/26/2021
94974B-GM-6	WELLS FARGO & CO				1	50,431	100.382	50,191	50,000	50,057		(101)			2.600	2.406	JJ	574	1,300	03/04/2016	07/22/2020



## SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

[illegible]

**NONE      Schedule D - Part 2 - Section 1**

**NONE      Schedule D - Part 2 - Section 2**

## SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Year

[illegible]

## SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

[illegible]

**NONE      Schedule D - Part 5**

**NONE      Schedule D - Part 6 - Section 1 and 2**

## SCHEDULE DA - PART 1

Showing all SHORT-TERM INVESTMENTS Owned December 31 of Current Year

[illegible]

NONE	Schedule DB - Part A - Section 1
NONE	Schedule DB - Part A - Section 2
NONE	Schedule DB - Part B - Section 1
NONE	Schedule DB - Part B - Section 2
NONE	Schedule DB - Part D - Section 1
NONE	Schedule DB - Part D - Section 2
NONE	Schedule DB - Part E
NONE	Schedule DL - Part 1
NONE	Schedule DL - Part 2

**TOTALS OF DEPOSITORY BALANCES ON THE LAST DAY OF EACH MONTH DURING THE CURRENT YEAR**

E27



## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned December 31 of Current Year

[illegible]

E28

**NONE      Schedule E - Part 3**

OVERFLOW PAGE FOR WRITE-INS

ALPHABETICAL INDEX TO PROPERTY ANNUAL STATEMENT

Assets	2	Schedule E – Part 3 – Special Deposits	E29
Cash Flow	5	Schedule F – Part 1	20
Exhibit of Capital Gains (Losses)	12	Schedule F – Part 2	21
Exhibit of Net Investment Income	12	Schedule F – Part 3	22
Exhibit of Nonadmitted Assets	13	Schedule F – Part 4	27
Exhibit of Premiums and Losses (State Page)	19	Schedule F – Part 5	28
Five-Year Historical Data	17	Schedule F – Part 6	29
General Interrogatories	15	Schedule H – Accident and Health Exhibit – Part 1	30
Jurat Page	1	Schedule H – Part 2, Part 3 and Part 4	31
Liabilities, Surplus and Other Funds	3	Schedule H – Part 5 – Health Claims	32
Notes To Financial Statements	14	Schedule P – Part 1 – Summary	33
Overflow Page For Write-ins	100	Schedule P – Part 1A – Homeowners/Farmowners	35
Schedule A – Part 1	E01	Schedule P – Part 1B – Private Passenger Auto Liability/Medical	36
Schedule A – Part 2	E02	Schedule P – Part 1C – Commercial Auto/Truck Liability/Medical	37
Schedule A – Part 3	E03	Schedule P – Part 1D – Workers’ Comp (Excluding Excess Workers’ Comp)	38
Schedule A – Verification Between Years	SI02	Schedule P – Part 1E – Commercial Multiple Peril	39
Schedule B – Part 1	E04	Schedule P – Part 1F – Section 1 – Medical Professional Liability	
Schedule B – Part 2	E05	– Occurrence	40
Schedule B – Part 3	E06	Schedule P – Part 1F – Section 2 – Medical Professional Liability	
Schedule B – Verification Between Years	SI02	– Claims-Made	41
Schedule BA – Part 1	E07	Schedule P – Part 1G - Special Liability (Ocean, Marine, Aircraft (All	
Schedule BA – Part 2	E08	Perils), Boiler and Machinery)	42
Schedule BA – Part 3	E09	Schedule P – Part 1H – Section 1 – Other Liability – Occurrence	43
Schedule BA – Verification Between Years	SI03	Schedule P – Part 1H – Section 2 – Other Liability – Claims-Made	44
Schedule D – Part 1	E10	Schedule P – Part 1I – Special Property (Fire, Allied Lines, Inland Marine,	
Schedule D – Part 1A – Section 1	SI05	Earthquake, Burglary & Theft)	45
Schedule D – Part 1A – Section 2	SI08	Schedule P – Part 1J – Auto Physical Damage	46
Schedule D – Part 2 – Section 1	E11	Schedule P – Part 1K – Fidelity/Surety	47
Schedule D – Part 2 – Section 2	E12	Schedule P – Part 1L – Other (Including Credit, Accident and Health)	48
Schedule D – Part 3	E13	Schedule P – Part 1M – International	49
Schedule D – Part 4	E14	Schedule P – Part 1N – Reinsurance - Nonproportional Assumed Property	50
Schedule D – Part 5	E15	Schedule P – Part 1O – Reinsurance - Nonproportional Assumed Liability	51
Schedule D – Part 6 – Section 1	E16	Schedule P – Part 1P – Reinsurance - Nonproportional Assumed Financial Lines	52
Schedule D – Part 6 – Section 2	E16	Schedule P – Part 1R – Section 1 – Products Liability – Occurrence	53
Schedule D – Summary By Country	SI04	Schedule P – Part 1R – Section 2 – Products Liability – Claims – Made	54
Schedule D – Verification Between Years	SI03	Schedule P – Part 1S – Financial Guaranty/Mortgage Guaranty	55
Schedule DA – Part 1	E17	Schedule P – Part 1T – Warranty	56
Schedule DA – Verification Between Years	SI10	Schedule P – Part 2, Part 3 and Part 4 - Summary	34
Schedule DB – Part A – Section 1	E18	Schedule P – Part 2A – Homeowners/Farmowners	57
Schedule DB – Part A – Section 2	E19	Schedule P – Part 2B – Private Passenger Auto Liability/Medical	57
Schedule DB – Part A – Verification Between Years	SI11	Schedule P – Part 2C – Commercial Auto/Truck Liability/Medical	57
Schedule DB – Part B – Section 1	E20	Schedule P – Part 2D – Workers’ Comp (Excluding Excess Workers’ Comp)	57
Schedule DB – Part B – Section 2	E21	Schedule P – Part 2E – Commercial Multiple Peril	57
Schedule DB – Part B – Verification Between Years	SI11	Schedule P – Part 2F – Section 1 – Medical Professional Liability	
Schedule DB – Part C – Section 1	SI12	– Occurrence	58
Schedule DB – Part C – Section 2	SI13	Schedule P - Part 2F - Medical Professional Liability - Claims - Made	58
Schedule DB - Part D - Section 1	E22	Schedule P – Part 2G – Special Liability (Ocean Marine, Aircraft (All Perils),	
Schedule DB - Part D - Section 2	E23	Boiler and Machinery)	58
Schedule DB - Verification	SI14	Schedule P – Part 4I – Special Property (Fire, Allied Lines, Inland Marine,	
Schedule DB - Part E	E24	Earthquake, Burglary and Theft)	69
Schedule DL - Part 1	E25	Schedule P – Part 4J – Auto Physical Damage	69
Schedule DL - Part 2	E26	Schedule P – Part 4K – Fidelity/Surety	69
Schedule E – Part 1 – Cash	E27	Schedule P – Part 4L – Other (Including Credit, Accident and Health)	69
Schedule E – Part 2 – Cash Equivalents	E28	Schedule P – Part 4M – International	69

ALPHABETICAL INDEX TO PROPERTY ANNUAL STATEMENT

Schedule P – Part 2H – Section 1 – Other Liability – Occurrence	58	Schedule P – Part 4O – Reinsurance - Nonproportional Assumed Liability	70
Schedule P – Part 2H – Section 2 – Other Liability – Claims – Made	58	Schedule P – Part 4P – Reinsurance - Nonproportional Assumed Financial Lines	70
Schedule P – Part 2I – Special Property (Fire, Allied Lines, Inland Marine, Earthquake, Burglary, and Theft)	59	Schedule P – Part 4R – Section 1 – Products Liability – Occurrence	71
Schedule P – Part 2J – Auto Physical Damage	59	Schedule P – Part 4R – Section 2 – Products Liability – Claims-Made	71
Schedule P – Part 2K – Fidelity, Surety	59	Schedule P – Part 4S – Financial Guaranty/Mortgage Guaranty	71
Schedule P – Part 2L – Other (Including Credit, Accident and Health)	59	Schedule P – Part 4T – Warranty	71
Schedule P – Part 2M – International	59	Schedule P – Part 5A – Homeowners/Farmowners	72
Schedule P – Part 2N – Reinsurance - Nonproportional Assumed Property	60	Schedule P – Part 5B – Private Passenger Auto Liability/Medical	73
Schedule P – Part 2O – Reinsurance - Nonproportional Assumed Liability	60	Schedule P – Part 5C – Commercial Auto/Truck Liability/Medical	74
Schedule P – Part 2P – Reinsurance - Nonproportional Assumed Financial Lines	60	Schedule P – Part 5D – Workers' Comp (Excluding Excess Workers' Comp)	75
Schedule P – Part 2R – Section 1 – Products Liability – Occurrence	61	Schedule P – Part 5E – Commercial Multiple Peril	76
Schedule P – Part 2R – Section 2 – Products Liability – Claims-Made	61	Schedule P – Part 5F – Medical Professional Liability – Claims-Made	78
Schedule P – Part 2S – Financial Guaranty/Mortgage Guaranty	61	Schedule P – Part 5F – Medical Professional Liability – Occurrence	77
Schedule P – Part 2T – Warranty	61	Schedule P – Part 5H – Other Liability – Claims-Made	80
Schedule P – Part 3A – Homeowners/Farmowners	62	Schedule P – Part 5H – Other Liability – Occurrence	79
Schedule P – Part 3B – Private Passenger Auto Liability/Medical	62	Schedule P – Part 5R – Products Liability – Claims-Made	82
Schedule P – Part 3C – Commercial Auto/Truck Liability/Medical	62	Schedule P – Part 5R – Products Liability – Occurrence	81
Schedule P – Part 3D – Workers' Comp (Excluding Excess Workers' Comp)	62	Schedule P – Part 5T – Warranty	83
Schedule P – Part 3E – Commercial Multiple Peril	62	Schedule P – Part 6C – Commercial Auto/Truck Liability/Medical	84
Schedule P – Part 3F – Section 1 – Medical Professional Liability – Occurrence	63	Schedule P – Part 6D – Workers' Comp (Excluding Excess Workers' Comp)	84
Schedule P – Part 3F – Section 2 – Medical Professional Liability – Claims-Made	63	Schedule P – Part 6E – Commercial Multiple Peril	85
Schedule P – Part 3G – Special Liability (Ocean Marine, Aircraft (All Perils), Boiler and Machinery)	63	Schedule P – Part 6H – Other Liability – Claims-Made	86
Schedule P – Part 3H – Section 1 – Other Liability – Occurrence	63	Schedule P – Part 6H – Other Liability – Occurrence	85
Schedule P – Part 3H – Section 2 – Other Liability – Claims-Made	63	Schedule P – Part 6M – International	86
Schedule P – Part 3I – Special Property (Fire, Allied Lines, Inland Marine, Earthquake, Burglary, and Theft)	64	Schedule P – Part 6N – Reinsurance - Nonproportional Assumed Property	87
Schedule P – Part 3J – Auto Physical Damage	64	Schedule P – Part 6O – Reinsurance - Nonproportional Assumed Liability	87
Schedule P – Part 3K – Fidelity/Surety	64	Schedule P – Part 6R – Products Liability – Claims-Made	88
Schedule P – Part 3L – Other (Including Credit, Accident and Health)	64	Schedule P – Part 6R – Products Liability – Occurrence	88
Schedule P – Part 3M – International	64	Schedule P – Part 7A – Primary Loss Sensitive Contracts	89
Schedule P – Part 3N – Reinsurance - Nonproportional Assumed Property	65	Schedule P – Part 7B – Reinsurance Loss Sensitive Contracts	91
Schedule P – Part 3O – Reinsurance - Nonproportional Assumed Liability	65	Schedule P Interrogatories	93
Schedule P – Part 3P – Reinsurance - Nonproportional Assumed Financial Lines	65	Schedule T – Exhibit of Premiums Written	94
Schedule P – Part 3R – Section 1 – Products Liability – Occurrence	66	Schedule T – Part 2 – Interstate Compact	95
Schedule P – Part 3R – Section 2 – Products Liability – Claims-Made	66	Schedule Y – Information Concerning Activities of Insurer Members of a Holding Company Group	96
Schedule P – Part 3S – Financial Guaranty/Mortgage Guaranty	66	Schedule Y - Part 1A - Detail of Insurance Holding Company System	97
Schedule P – Part 3T – Warranty	66	Schedule Y – Part 2 – Summary of Insurer's Transactions With Any Affiliates	98
Schedule P – Part 4A – Homeowners/Farmowners	67	Statement of Income	4
Schedule P – Part 4B – Private Passenger Auto Liability/Medical	67	Summary Investment Schedule	SI01
Schedule P – Part 4C – Commercial Auto/Truck Liability/Medical	67	Supplemental Exhibits and Schedules Interrogatories	99
Schedule P – Part 4D – Workers' Comp (Excluding Excess Workers' Comp)	67	Underwriting and Investment Exhibit Part 1	6
Schedule P – Part 4E – Commercial Multiple Peril	67	Underwriting and Investment Exhibit Part 1A	7
Schedule P – Part 4F – Section 1 – Medical Professional Liability – Occurrence	68	Underwriting and Investment Exhibit Part 1B	8
Schedule P – Part 4F – Section 2 – Medical Professional Liability – Claims-Made	68	Underwriting and Investment Exhibit Part 2	9
Schedule P – Part 4G – Special Liability (Ocean Marine, Aircraft (All Perils), Boiler and Machinery)	68	Underwriting and Investment Exhibit Part 2A	10
Schedule P – Part 4H – Section 1 – Other Liability – Occurrence	68	Underwriting and Investment Exhibit Part 3	11
Schedule P – Part 4H – Section 2 – Other Liability – Claims-Made	68		