



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

## ANNUAL STATEMENT

FOR THE YEAR ENDED DECEMBER 31, 2019  
OF THE CONDITION AND AFFAIRS OF THE

# Utica National Insurance Company of Ohio

NAIC Group Code 2021 2021 NAIC Company Code 13998 Employer's ID Number 27-2764004  
(Current) (Prior)

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH  
Country of Domicile United States of America

Incorporated/Organized 04/06/2010 Commenced Business 12/22/2010

Statutory Home Office 2 Easton Oval, Suite 225, Columbus, OH, US 43219  
(Street and Number) (City or Town, State, Country and Zip Code)

Mail Address Post Office Box 530, Utica, NY, US 135030530  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records \_\_\_\_\_ 180 Genesee Street  
(Street and Number)  
New Hartford, NY, US 13413 \_\_\_\_\_, \_\_\_\_\_ 800-598-8422  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address [www.uticanational.com](http://www.uticanational.com)

Statutory Statement Contact Sandra Jean Giehl, 315-734-2192  
(Name) (Area Code) (Telephone Number)  
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## OFFICERS

**OFFICERS**

Chairman & CEO	Richard Patrick Creedon	CFO & Treasurer	Brian Wade Miller Jr.
President & COO	Kristen Holly Martin	Secretary	Louisa Suzanne Ruffine

**OTHER**

**DIRECTORS OR TRUSTEES**

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John Martin Anderson	Jolene Marie Casatelli	Paul Lewis Cohen
Richard Patrick Creedon	Steven Paul Guzski	Kristen Holly Martin
Louisa Suzanne Ruffine		

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State of New York County of Oneida SS:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Kristen Holly Martin  
President & COO

Brian Wade Miller, Jr.  
CFO & Treasurer

Louisa Suzanne Ruffine  
Secretary

Subscribed and sworn to before me this  
\_\_\_\_ day of \_\_\_\_\_

- a. Is this an original filing? .....
- b. If no,
  - 1. State the amendment number.....
  - 2. Date filed .....
  - 3. Number of pages attached.....

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO  
**SUMMARY INVESTMENT SCHEDULE**

Investment Categories	Gross Investment Holdings		Admitted Assets as Reported in the Annual Statement			
	1 Amount	2 Percentage of Column 1 Line 13	3 Amount	4 Securities Lending Reinvested Collateral Amount	5 Total (Col. 3 + 4) Amount	6 Percentage of Column 5 Line 13
1. Long-Term Bonds (Schedule D, Part 1):						
1.01 U.S. governments .....	3,229,113	15.087	3,229,113		3,229,113	15.087
1.02 All other governments .....	99,922	0.467	99,922		99,922	0.467
1.03 U.S. states, territories and possessions, etc. guaranteed .....	200,580	0.937	200,580		200,580	0.937
1.04 U.S. political subdivisions of states, territories, and possessions, guaranteed .....	101,814	0.476	101,814		101,814	0.476
1.05 U.S. special revenue and special assessment obligations, etc. non-guaranteed .....	5,492,414	25.661	5,492,414		5,492,414	25.661
1.06 Industrial and miscellaneous .....	9,002,412	42.060	9,002,412		9,002,412	42.060
1.07 Hybrid securities .....		0.000				0.000
1.08 Parent, subsidiaries and affiliates .....		0.000				0.000
1.09 SVO identified funds .....		0.000				0.000
1.10 Unaffiliated Bank loans .....		0.000				0.000
1.11 Total long-term bonds .....	18,126,254	84.688	18,126,254		18,126,254	84.688
2. Preferred stocks (Schedule D, Part 2, Section 1):						
2.01 Industrial and miscellaneous (Unaffiliated) .....		0.000				0.000
2.02 Parent, subsidiaries and affiliates .....		0.000				0.000
2.03 Total preferred stocks .....		0.000				0.000
3. Common stocks (Schedule D, Part 2, Section 2):						
3.01 Industrial and miscellaneous Publicly traded (Unaffiliated) .....		0.000				0.000
3.02 Industrial and miscellaneous Other (Unaffiliated) .....		0.000				0.000
3.03 Parent, subsidiaries and affiliates Publicly traded .....		0.000				0.000
3.04 Parent, subsidiaries and affiliates Other .....		0.000				0.000
3.05 Mutual funds .....		0.000				0.000
3.06 Unit investment trusts .....		0.000				0.000
3.07 Closed-end funds .....		0.000				0.000
3.08 Total common stocks .....		0.000				0.000
4. Mortgage loans (Schedule B):						
4.01 Farm mortgages .....		0.000				0.000
4.02 Residential mortgages .....		0.000				0.000
4.03 Commercial mortgages .....		0.000				0.000
4.04 Mezzanine real estate loans .....		0.000				0.000
4.05 Total mortgage loans .....		0.000				0.000
5. Real estate (Schedule A):						
5.01 Properties occupied by company .....		0.000				0.000
5.02 Properties held for production of income .....		0.000				0.000
5.03 Properties held for sale .....		0.000				0.000
5.04 Total real estate .....		0.000				0.000
6. Cash, cash equivalents and short-term investments:						
6.01 Cash (Schedule E, Part 1) .....	219,019	1.023	219,019		219,019	1.023
6.02 Cash equivalents (Schedule E, Part 2) .....	0	0.000	0		0	0.000
6.03 Short-term investments (Schedule DA) .....		0.000				0.000
6.04 Total cash, cash equivalents and short-term investments .....	219,019	1.023	219,019		219,019	1.023
7. Contract loans .....		0.000				0.000
8. Derivatives (Schedule DB) .....		0.000				0.000
9. Other invested assets (Schedule BA) .....		0.000				0.000
10. Receivables for securities .....	3,058,347	14.289	3,058,347		3,058,347	14.289
11. Securities Lending (Schedule DL, Part 1) .....		0.000		XXX	XXX	XXX
12. Other invested assets (Page 2, Line 11) .....		0.000				0.000
13. Total invested assets .....	21,403,619	100.000	21,403,619		21,403,619	100.000

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE A - VERIFICATION BETWEEN YEARS**

## Real Estate

1. Book/adjusted carrying value, December 31 of prior year .....
2. Cost of acquired:
  - 2.1 Actual cost at time of acquisition (Part 2, Column 6) .....
  - 2.2 Additional investment made after acquisition (Part 2, Column 9) .....
3. Current year change in encumbrances:
  - 3.1 Totals, Part 1, Column 13 .....
  - 3.2 Totals, Part 3, Column 11 .....
4. Total gain (loss) on disposals, Part 3, Column 18 .....
5. Deduct amounts received on disposals, Part 3, Column 15 .....
6. Total foreign exchange change in book/adjusted carrying value:
  - 6.1 Totals, Part 1, Column 15 .....
  - 6.2 Totals, Part 3, Column 13 .....
7. Deduct current year's other than temporary impairment recognized:
  - 7.1 Totals, Part 1, Column 12 .....
  - 7.2 Totals, Part 3, Column 10 .....
8. Deduct current year's depreciation:
  - 8.1 Totals, Part 1, Column 11 .....
  - 8.2 Totals, Part 3, Column 9 .....
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....
10. Deduct total nonadmitted amounts .....
11. Statement value at end of current period (Line 9 minus Line 10) .....

**NONE****SCHEDULE B - VERIFICATION BETWEEN YEARS**

## Mortgage Loans

1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....
2. Cost of acquired:
  - 2.1 Actual cost at time of acquisition (Part 2, Column 7) .....
  - 2.2 Additional investment made after acquisition (Part 2, Column 8) .....
3. Capitalized deferred interest and other:
  - 3.1 Totals, Part 1, Column 12 .....
  - 3.2 Totals, Part 3, Column 11 .....
4. Accrual of discount .....
5. Unrealized valuation increase (decrease):
  - 5.1 Totals, Part 1, Column 9 .....
  - 5.2 Totals, Part 3, Column 8 .....
6. Total gain (loss) on disposals, Part 3, Column 18 .....
7. Deduct amounts received on disposals, Part 3, Column 15 .....
8. Deduct amortization of premium and mortgage interest points and commitment fees .....
9. Total foreign exchange change in book value/recorded investment excluding accrued interest:
  - 9.1 Totals, Part 1, Column 13 .....
  - 9.2 Totals, Part 3, Column 13 .....
10. Deduct current year's other than temporary impairment recognized:
  - 10.1 Totals, Part 1, Column 11 .....
  - 10.2 Totals, Part 3, Column 10 .....
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....
12. Total valuation allowance .....
13. Subtotal (Line 11 plus 12) .....
14. Deduct total nonadmitted amounts .....
15. Statement value of mortgages owned at end of current period (Line 13 minus Line 14) .....

**SCHEDULE BA - VERIFICATION BETWEEN YEARS**

## Other Long-Term Invested Assets

1. Book/adjusted carrying value, December 31 of prior year .....	
2. Cost of acquired:	
2.1 Actual cost at time of acquisition (Part 2, Column 8) .....	
2.2 Additional investment made after acquisition (Part 2, Column 9) .....	
3. Capitalized deferred interest and other:	
3.1 Totals, Part 1, Column 16 .....	
3.2 Totals, Part 3, Column 12 .....	
4. Accrual of discount .....	
5. Unrealized valuation increase (decrease):	
5.1 Totals, Part 1, Column 13 .....	
5.2 Totals, Part 3, Column 9 .....	
6. Total gain (loss) on disposals, Part 3, Column 19 .....	
7. Deduct amounts received on disposals, Part 3, Column 16 .....	
8. Deduct amortization of premium and depreciation .....	
9. Total foreign exchange change in book/adjusted carrying value:	
9.1 Totals, Part 1, Column 17 .....	
9.2 Totals, Part 3, Column 14 .....	
10. Deduct current year's other than temporary impairment recognized:	
10.1 Totals, Part 1, Column 15 .....	
10.2 Totals, Part 3, Column 11 .....	
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	
12. Deduct total nonadmitted amounts .....	
13. Statement value at end of current period (Line 11 minus Line 12) .....	

# NONE

**SCHEDULE D - VERIFICATION BETWEEN YEARS**

## Bonds and Stocks

1. Book/adjusted carrying value, December 31 of prior year .....	18,806,329
2. Cost of bonds and stocks acquired, Part 3, Column 7 .....	7,746,927
3. Accrual of discount .....	12,336
4. Unrealized valuation increase (decrease):	
4.1. Part 1, Column 12 .....	
4.2. Part 2, Section 1, Column 15 .....	
4.3. Part 2, Section 2, Column 13 .....	
4.4. Part 4, Column 11 .....	
5. Total gain (loss) on disposals, Part 4, Column 19 .....	.112,495
6. Deduction consideration for bonds and stocks disposed of, Part 4, Column 7 .....	8,503,102
7. Deduct amortization of premium .....	53,953
8. Total foreign exchange change in book/adjusted carrying value:	
8.1. Part 1, Column 15 .....	
8.2. Part 2, Section 1, Column 19 .....	
8.3. Part 2, Section 2, Column 16 .....	
8.4. Part 4, Column 15 .....	
9. Deduct current year's other than temporary impairment recognized:	
9.1. Part 1, Column 14 .....	
9.2. Part 2, Section 1, Column 17 .....	
9.3. Part 2, Section 2, Column 14 .....	
9.4. Part 4, Column 13 .....	
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees, Note 5Q, Line 2 .....	5,224
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	18,126,255
12. Deduct total nonadmitted amounts .....	
13. Statement value at end of current period (Line 11 minus Line 12) .....	18,126,255

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE D - SUMMARY BY COUNTRY**

Long-Term Bonds and Stocks OWNED December 31 of Current Year

Description		1 Book/Adjusted Carrying Value	2 Fair Value	3 Actual Cost	4 Par Value of Bonds
BONDS Governments (Including all obligations guaranteed by governments)	1. United States .....	3,229,113	3,299,773	3,222,695	3,233,744
	2. Canada .....	99,922	105,348	99,900	100,000
	3. Other Countries				
	4. Totals	3,329,035	3,405,121	3,322,595	3,333,744
U.S. States, Territories and Possessions (Direct and guaranteed)	5. Totals	200,580	200,896	236,972	200,000
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)	6. Totals	101,814	111,380	102,008	100,000
U.S. Special Revenue and Special Assessment Obligations and all Non- Guaranteed Obligations of Agencies and Authorities of Governments and their Political Subdivisions	7. Totals	5,492,414	5,596,894	5,522,462	5,327,264
Industrial and Miscellaneous, SVO Identified Funds, Unaffiliated Bank Loans and Hybrid Securities (unaffiliated)	8. United States .....	8,079,862	8,435,921	8,004,358	8,047,662
	9. Canada .....	403,816	417,570	403,198	405,000
	10. Other Countries	518,734	530,611	520,560	495,000
	11. Totals	9,002,412	9,384,101	8,928,117	8,947,662
Parent, Subsidiaries and Affiliates	12. Totals				
	13. Total Bonds	18,126,254	18,698,393	18,112,154	17,908,670
PREFERRED STOCKS Industrial and Miscellaneous (unaffiliated)	14. United States .....				
	15. Canada .....				
	16. Other Countries				
	17. Totals				
Parent, Subsidiaries and Affiliates	18. Totals				
	19. Total Preferred Stocks				
COMMON STOCKS Industrial and Miscellaneous (unaffiliated)	20. United States .....				
	21. Canada .....				
	22. Other Countries				
	23. Totals				
Parent, Subsidiaries and Affiliates	24. Totals				
	25. Total Common Stocks				
	26. Total Stocks				
	27. Total Bonds and Stocks	18,126,254	18,698,393	18,112,154	

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
1. U.S. Governments												
1.1 NAIC 1	240,733	2,293,371	521,219	72,565	101,225	XXX	3,229,113	17.8	3,308,418	17.6	3,229,113	
1.2 NAIC 2						XXX						
1.3 NAIC 3						XXX						
1.4 NAIC 4						XXX						
1.5 NAIC 5						XXX						
1.6 NAIC 6						XXX						
1.7 Totals	240,733	2,293,371	521,219	72,565	101,225	XXX	3,229,113	17.8	3,308,418	17.6	3,229,113	
2. All Other Governments												
2.1 NAIC 1		99,922				XXX	99,922	0.6	99,903	0.5	99,922	
2.2 NAIC 2						XXX						
2.3 NAIC 3						XXX						
2.4 NAIC 4						XXX						
2.5 NAIC 5						XXX						
2.6 NAIC 6						XXX						
2.7 Totals		99,922				XXX	99,922	0.6	99,903	0.5	99,922	
3. U.S. States, Territories and Possessions etc., Guaranteed												
3.1 NAIC 1	200,580					XXX	200,580	1.1	653,807	3.5	200,580	
3.2 NAIC 2						XXX						
3.3 NAIC 3						XXX						
3.4 NAIC 4						XXX						
3.5 NAIC 5						XXX						
3.6 NAIC 6						XXX						
3.7 Totals	200,580					XXX	200,580	1.1	653,807	3.5	200,580	
4. U.S. Political Subdivisions of States, Territories and Possessions , Guaranteed												
4.1 NAIC 1			101,814			XXX	101,814	0.6	101,992	0.5	101,814	
4.2 NAIC 2						XXX						
4.3 NAIC 3						XXX						
4.4 NAIC 4						XXX						
4.5 NAIC 5						XXX						
4.6 NAIC 6						XXX						
4.7 Totals			101,814			XXX	101,814	0.6	101,992	0.5	101,814	
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed												
5.1 NAIC 1	582,380	2,318,947	1,918,532	602,153	70,403	XXX	5,492,414	30.3	5,322,615	28.3	5,492,414	
5.2 NAIC 2						XXX			111,221	0.6		
5.3 NAIC 3						XXX						
5.4 NAIC 4						XXX						
5.5 NAIC 5						XXX						
5.6 NAIC 6						XXX						
5.7 Totals	582,380	2,318,947	1,918,532	602,153	70,403	XXX	5,492,414	30.3	5,433,836	28.9	5,492,414	

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
6. Industrial & Miscellaneous (Unaffiliated)												
6.1 NAIC 1 .....	527,510	3,167,630	2,732,592	100,246	216,006	XXX	6,743,984	37.2	6,066,776	32.3	6,659,106	84,878
6.2 NAIC 2 .....	329,934	948,522	925,019	54,954		XXX	2,258,428	12.5	3,141,595	16.7	2,208,577	49,851
6.3 NAIC 3 .....						XXX						
6.4 NAIC 4 .....						XXX						
6.5 NAIC 5 .....						XXX						
6.6 NAIC 6 .....						XXX						
6.7 Totals .....	857,444	4,116,152	3,657,610	155,200	216,006	XXX	9,002,412	49.7	9,208,372	49.0	8,867,682	134,729
7. Hybrid Securities												
7.1 NAIC 1 .....						XXX						
7.2 NAIC 2 .....						XXX						
7.3 NAIC 3 .....						XXX						
7.4 NAIC 4 .....						XXX						
7.5 NAIC 5 .....						XXX						
7.6 NAIC 6 .....						XXX						
7.7 Totals .....						XXX						
8. Parent, Subsidiaries and Affiliates												
8.1 NAIC 1 .....						XXX						
8.2 NAIC 2 .....						XXX						
8.3 NAIC 3 .....						XXX						
8.4 NAIC 4 .....						XXX						
8.5 NAIC 5 .....						XXX						
8.6 NAIC 6 .....						XXX						
8.7 Totals .....						XXX						
9. SVO Identified Funds												
9.1 NAIC 1 .....	XXX	XXX	XXX	XXX	XXX							
9.2 NAIC 2 .....	XXX	XXX	XXX	XXX	XXX							
9.3 NAIC 3 .....	XXX	XXX	XXX	XXX	XXX							
9.4 NAIC 4 .....	XXX	XXX	XXX	XXX	XXX							
9.5 NAIC 5 .....	XXX	XXX	XXX	XXX	XXX							
9.6 NAIC 6 .....	XXX	XXX	XXX	XXX	XXX							
9.7 Totals .....	XXX	XXX	XXX	XXX	XXX							
10. Unaffiliated Bank Loans												
10.1 NAIC 1 .....						XXX						
10.2 NAIC 2 .....						XXX						
10.3 NAIC 3 .....						XXX						
10.4 NAIC 4 .....						XXX						
10.5 NAIC 5 .....						XXX						
10.6 NAIC 6 .....						XXX						
10.7 Totals .....						XXX						

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
11. Total Bonds Current Year												
11.1 NAIC 1 .....	(d) 1,551,203	7,879,869	5,274,157	774,964	387,634		15,867,826	87.5	XXX	XXX	15,782,948	84,878
11.2 NAIC 2 .....	(d) 329,934	948,522	925,019	54,954			2,258,428	12.5	XXX	XXX	2,208,577	49,851
11.3 NAIC 3 .....	(d)								XXX	XXX		
11.4 NAIC 4 .....	(d)								XXX	XXX		
11.5 NAIC 5 .....	(d)								XXX	XXX		
11.6 NAIC 6 .....	(d)								XXX	XXX		
11.7 Totals .....	1,881,136	8,828,391	6,199,175	829,917	387,634		(b) 18,126,254	100.0	XXX	XXX	17,991,525	134,729
11.8 Line 11.7 as a % of Col. 7	10.4	48.7	34.2	4.6	2.1		(b) 100.0	XXX	XXX	XXX	99.3	0.7
12. Total Bonds Prior Year												
12.1 NAIC 1 .....	920,459	6,387,976	6,537,942	1,404,830	302,304		XXX	XXX	15,553,512	82.7	15,384,083	169,429
12.2 NAIC 2 .....	100,321	1,553,847	1,487,427	111,221			XXX	XXX	3,252,816	17.3	3,202,990	49,826
12.3 NAIC 3 .....							XXX	XXX				
12.4 NAIC 4 .....							XXX	XXX				
12.5 NAIC 5 .....							XXX	XXX	(c)			
12.6 NAIC 6 .....							XXX	XXX	(c)			
12.7 Totals .....	1,020,780	7,941,824	8,025,369	1,516,051	302,304		XXX	XXX	(b) 18,806,328	100.0	18,587,072	219,255
12.8 Line 12.7 as a % of Col. 9	5.4	42.2	42.7	8.1	1.6		XXX	XXX	(b) 100.0	XXX	98.8	1.2
13. Total Publicly Traded Bonds												
13.1 NAIC 1 .....	1,551,203	7,844,897	5,224,251	774,964	387,634		15,782,948	87.1	15,384,083	81.8	15,782,948	XXX
13.2 NAIC 2 .....	329,934	948,522	875,167	54,954			2,208,577	12.2	3,202,990	17.0	2,208,577	XXX
13.3 NAIC 3 .....												XXX
13.4 NAIC 4 .....												XXX
13.5 NAIC 5 .....												XXX
13.6 NAIC 6 .....												XXX
13.7 Totals .....	1,881,136	8,793,419	6,099,418	829,917	387,634		17,991,525	99.3	18,587,072	98.8	17,991,525	XXX
13.8 Line 13.7 as a % of Col. 7	10.5	48.9	33.9	4.6	2.2		100.0	XXX	XXX	XXX	100.0	XXX
13.9 Line 13.7 as a % of Line 11.7, Col. 7, Section 11	10.4	48.5	33.6	4.6	2.1		99.3	XXX	XXX	XXX	99.3	XXX
14. Total Privately Placed Bonds												
14.1 NAIC 1 .....	0	34,972	49,906		0		84,878	0.5	169,429	0.9	XXX	84,878
14.2 NAIC 2 .....			49,851				49,851	0.3	49,826	0.3	XXX	49,851
14.3 NAIC 3 .....												XXX
14.4 NAIC 4 .....												XXX
14.5 NAIC 5 .....												XXX
14.6 NAIC 6 .....												XXX
14.7 Totals .....	0	34,972	99,757		0		134,729	0.7	219,255	1.2	XXX	134,729
14.8 Line 14.7 as a % of Col. 7	0.0	26.0	74.0		0.0		100.0	XXX	XXX	XXX	XXX	100.0
14.9 Line 14.7 as a % of Line 11.7, Col. 7, Section 11	0.0	0.2	0.6		0.0		0.7	XXX	XXX	XXX	XXX	0.7

(a) Includes \$ 134,729 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.

(b) Includes \$ current year of bonds with Z designations and \$ prior year of bonds with Z designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement.

(c) Includes \$ current year, \$ prior year of bonds with 5GI designations and \$ current year, \$ prior year of bonds with 6\* designations. "5GI" means the NAIC designation was assigned by the (SVO) in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6\*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.

(d) Includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ ; NAIC 2 \$ ; NAIC 3 \$ ; NAIC 4 \$ ; NAIC 5 \$ ; NAIC 6 \$

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 1A - SECTION 2

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.08	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
1. U.S. Governments												
1.01 Issuer Obligations .....	160,023	2,075,848	.392,743	.99,972	XXX	2,728,586	15.1	2,600,800	13.8	2,728,586		
1.02 Residential Mortgage-Backed Securities .....	80,710	217,523	128,477	72,565	XXX	500,528	2.8	707,618	3.8	500,528		0
1.03 Commercial Mortgage-Backed Securities .....					XXX							
1.04 Other Loan-Backed and Structured Securities .....					XXX							
1.05 Totals	240,733	2,293,371	521,219	72,565	101,225	XXX	3,229,113	17.8	3,308,418	17.6	3,229,113	0
2. All Other Governments												
2.01 Issuer Obligations .....		.99,922				XXX	.99,922	0.6	99,903	0.5	.99,922	
2.02 Residential Mortgage-Backed Securities .....						XXX						
2.03 Commercial Mortgage-Backed Securities .....						XXX						
2.04 Other Loan-Backed and Structured Securities .....						XXX						
2.05 Totals		99,922				XXX	99,922	0.6	99,903	0.5	99,922	
3. U.S. States, Territories and Possessions, Guaranteed												
3.01 Issuer Obligations .....	200,580					XXX	200,580	.1.1	653,807	.3.5	200,580	
3.02 Residential Mortgage-Backed Securities .....						XXX						
3.03 Commercial Mortgage-Backed Securities .....						XXX						
3.04 Other Loan-Backed and Structured Securities .....						XXX						
3.05 Totals	200,580					XXX	200,580	1.1	653,807	3.5	200,580	
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed												
4.01 Issuer Obligations .....			101,814			XXX	101,814	0.6	101,992	0.5	101,814	
4.02 Residential Mortgage-Backed Securities .....						XXX						
4.03 Commercial Mortgage-Backed Securities .....						XXX						
4.04 Other Loan-Backed and Structured Securities .....						XXX						
4.05 Totals			101,814			XXX	101,814	0.6	101,992	0.5	101,814	
5. U.S. Special Revenue & Special Assessment Obligations etc., Non-Guaranteed												
5.01 Issuer Obligations .....		280,947	.663,140			XXX	944,087	5.2	1,263,453	.6.7	944,087	
5.02 Residential Mortgage-Backed Securities .....	581,819	1,870,382	1,067,053	602,153	.70,403	XXX	4,191,809	23.1	3,812,336	20.3	4,191,809	0
5.03 Commercial Mortgage-Backed Securities .....	561	167,618	188,339			XXX	356,518	2.0	358,047	1.9	356,518	
5.04 Other Loan-Backed and Structured Securities .....						XXX						
5.05 Totals	582,380	2,318,947	1,918,532	602,153	70,403	XXX	5,492,414	30.3	5,433,836	28.9	5,492,414	0
6. Industrial and Miscellaneous												
6.01 Issuer Obligations .....	744,883	4,016,065	3,657,610	.155,200	216,006	XXX	8,789,764	.48.5	8,599,853	45.7	8,655,035	134,729
6.02 Residential Mortgage-Backed Securities .....						XXX						
6.03 Commercial Mortgage-Backed Securities .....	33,822	.87				XXX	.33,910	.0.2	.171,780	.0.9	.33,910	
6.04 Other Loan-Backed and Structured Securities .....	78,738	100,000				XXX	178,738	1.0	436,739	2.3	178,738	
6.05 Totals	857,444	4,116,152	3,657,610	155,200	216,006	XXX	9,002,412	49.7	9,208,372	49.0	8,867,682	134,729
7. Hybrid Securities												
7.01 Issuer Obligations .....						XXX						
7.02 Residential Mortgage-Backed Securities .....						XXX						
7.03 Commercial Mortgage-Backed Securities .....						XXX						
7.04 Other Loan-Backed and Structured Securities .....						XXX						
7.05 Totals						XXX						
8. Parent, Subsidiaries and Affiliates												
8.01 Issuer Obligations .....						XXX						
8.02 Residential Mortgage-Backed Securities .....						XXX						
8.03 Commercial Mortgage-Backed Securities .....						XXX						
8.04 Other Loan-Backed and Structured Securities .....						XXX						
8.05 Affiliated Bank Loans - Issued .....						XXX						
8.06 Affiliated Bank Loans - Acquired .....						XXX						
8.07 Totals						XXX						

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 1A - SECTION 2 (Continued)

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.08	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
9. SVO Identified Funds												
9.01 Exchange Traded Funds Identified by the SVO .....	XXX	XXX	XXX	XXX	XXX							
9.02 Bond Mutual Funds Identified by the SVO .....	XXX	XXX	XXX	XXX	XXX							
9.03 Totals	XXX	XXX	XXX	XXX	XXX							
10. Unaffiliated Bank Loans												
10.01 Unaffiliated Bank Loans - Issued .....												
10.02 Unaffiliated Bank Loans - Acquired .....												
10.03 Totals												
11. Total Bonds Current Year												
11.01 Issuer Obligations .....	1,105,486	6,472,782	4,815,307	.155,200	315,977	XXX	12,864,752	.71.0	XXX	XXX	12,730,022	134,729
11.02 Residential Mortgage-Backed Securities .....	662,528	2,087,905	1,195,529	674,718	71,657	XXX	4,692,336	.25.9	XXX	XXX	4,692,336	0
11.03 Commercial Mortgage-Backed Securities .....	34,384	167,705	188,339			XXX	390,428	.2.2	XXX	XXX	390,428	
11.04 Other Loan-Backed and Structured Securities .....	78,738	100,000				XXX	178,738	.1.0	XXX	XXX	178,738	
11.05 SVO Identified Funds .....	XXX	XXX	XXX	XXX	XXX	XXX			XXX	XXX		
11.06 Affiliated Bank Loans .....									XXX	XXX		
11.07 Unaffiliated Bank Loans .....									XXX	XXX		
11.08 Totals .....	1,881,136	8,828,391	6,199,175	829,917	387,634		18,126,254		100.0	XXX	XXX	17,991,525
11.09 Line 11.08 as a % of Col. 7	10.4	48.7	34.2	4.6	2.1			100.0	XXX	XXX	XXX	99.3 0.7
12. Total Bonds Prior Year												
12.01 Issuer Obligations .....	274,819	6,125,563	6,354,521	.464,935	.99,971	XXX	XXX	XXX	13,319,808	.70.8	13,185,125	134,684
12.02 Residential Mortgage-Backed Securities .....	465,899	1,571,370	1,229,235	1,051,116	202,334	XXX	XXX	XXX	4,519,953	.24.0	4,519,953	
12.03 Commercial Mortgage-Backed Securities .....	38,297	49,918	441,613			XXX	XXX	XXX	529,827	.2.8	.529,827	0
12.04 Other Loan-Backed and Structured Securities .....	241,765	194,974				XXX	XXX	XXX	436,739	.2.3	352,167	84,571
12.05 SVO Identified Funds .....	XXX	XXX	XXX	XXX	XXX	XXX	XXX					
12.06 Affiliated Bank Loans .....												
12.07 Unaffiliated Bank Loans .....												
12.08 Totals .....	1,020,780	7,941,824	8,025,369	1,516,051	302,304		XXX	XXX	18,806,328	.100.0	18,587,072	.219,255
12.09 Line 12.08 as a % of Col. 9	5.4	42.2	42.7	8.1	1.6		XXX	XXX	100	XXX	XXX	98.8 1.2
13. Total Publicly Traded Bonds												
13.01 Issuer Obligations .....	1,105,486	6,437,810	4,715,549	.155,200	315,977	XXX	12,730,022	.70.2	13,185,125	.70.1	12,730,022	XXX
13.02 Residential Mortgage-Backed Securities .....	662,528	2,087,905	1,195,529	674,718	71,657	XXX	4,692,336	.25.9	4,519,953	.24.0	4,692,336	XXX
13.03 Commercial Mortgage-Backed Securities .....	34,384	167,705	188,339			XXX	390,428	.2.2	529,827	.2.8	390,428	XXX
13.04 Other Loan-Backed and Structured Securities .....	78,738	100,000				XXX	178,738	.1.0	352,167	.1.9	178,738	XXX
13.05 SVO Identified Funds .....	XXX	XXX	XXX	XXX	XXX	XXX						
13.06 Affiliated Bank Loans .....												
13.07 Unaffiliated Bank Loans .....												
13.08 Totals .....	1,881,136	8,793,419	6,099,418	829,917	387,634				17,991,525	.99.3	18,587,072	.98.8
13.09 Line 13.08 as a % of Col. 7	10.5	48.9	33.9	4.6	2.2			100.0	XXX	XXX	XXX	100.0
13.10 Line 13.08 as a % of Line 11.08, Col. 7, Section 11	10.4	48.5	33.6	4.6	2.1			99.3	XXX	XXX	XXX	99.3 XXX
14. Total Privately Placed Bonds												
14.01 Issuer Obligations .....	0	34,972	99,757			XXX	134,729	.0.7	134,684	.0.7	XXX	134,729
14.02 Residential Mortgage-Backed Securities .....			0			XXX		0.0	0.0		XXX	0
14.03 Commercial Mortgage-Backed Securities .....						XXX			0		0.0	XXX
14.04 Other Loan-Backed and Structured Securities .....						XXX					84,571	0.4
14.05 SVO Identified Funds .....	XXX	XXX	XXX	XXX	XXX	XXX						
14.06 Affiliated Bank Loans .....												
14.07 Unaffiliated Bank Loans .....												
14.08 Totals .....	0	34,972	99,757						134,729	.0.7	.219,255	.1.2
14.09 Line 14.08 as a % of Col. 7	0.0	26.0	74.0						100.0	XXX	XXX	XXX
14.10 Line 14.08 as a % of Line 11.08, Col. 7, Section 11	0.0	0.2	0.6						0.7	XXX	XXX	XXX

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE DA - VERIFICATION BETWEEN YEARS**

## Short-Term Investments

	1 Total	2 Bonds	3 Mortgage Loans	4 Other Short-term Investment Assets (a)	5 Investments in Parent, Subsidiaries and Affiliates
1. Book/adjusted carrying value, December 31 of prior year .....					
2. Cost of short-term investments acquired .....					
3. Accrual of discount .....					
4. Unrealized valuation increase (decrease) .....					
5. Total gain (loss) on disposals .....					
6. Deduct consideration received on disposals .....					
7. Deduct amortization of premium .....					
8. Total foreign exchange change in book/adjusted carrying value .....					
9. Deduct current year's other than temporary impairment recognized .....					
10. Book adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....					
11. Deduct total nonadmitted amounts .....					
12. Statement value at end of current period (Line 10 minus Line 11) .....					

NONE

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

**SCHEDULE DB - PART A - VERIFICATION BETWEEN YEARS**

Options, Caps, Floors, Collars, Swaps and Forwards

1. Book/adjusted carrying value, December 31, prior year (Line 9, prior year) .....
2. Cost paid/(consideration received) on additions:
  - 2.1 Current year paid/(consideration received) at time of acquisition, still open, Section 1, Column 12 .....
  - 2.2 Current year paid/(consideration received) at time of acquisition, terminated, Section 2, Column 14 .....
3. Unrealized valuation increase/(decrease):
  - 3.1 Section 1, Column 17 .....
  - 3.2 Section 2, Column 19 .....
4. SSAP No. 108 Adjustments .....
5. Total gain (loss) on termination recognized, Section 2, Column 22 .....
6. Considerations received/(paid) on terminations, Section 2, Column 23 .....
7. Amortization:
  - 7.1 Section 1, Column 19 .....
  - 7.2 Section 2, Column 21 .....
8. Adjustment to the book/adjusted carrying value of hedged item:
  - 8.1 Section 1, Column 20 .....
  - 8.2 Section 2, Column 23 .....
9. Total foreign exchange change in book/adjusted carrying value:
  - 9.1 Section 1, Column 18 .....
  - 9.2 Section 2, Column 20 .....
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6+7+8+9) .....
11. Deduct nonadmitted assets .....
12. Statement value at end of current period (Line 10 minus Line 11) .....

**NONE****SCHEDULE DB - PART B - VERIFICATION**

Futures Contracts

1. Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year) .....
2. Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change Column) .....
- 3.1 Add:
  - Change in variation margin on open contracts - Highly effective hedges
    - 3.11 Section 1, Column 15, current year minus .....
    - 3.12 Section 1, Column 15, prior year .....
  - Change in variation margin on open contracts - All other
    - 3.13 Section 1, Column 18, current year minus .....
    - 3.14 Section 1, Column 18, prior year .....
- 3.2 Add:
  - Change in adjustment to basis of hedged item
    - 3.21 Section 1, Column 17, current year to date minus .....
    - 3.22 Section 1, Column 17, prior year .....
  - Change in amount recognized
    - 3.23 Section 1, Column 19, current year to date minus .....
    - 3.24 Section 1, Column 19, prior year plus .....
    - 3.25 SSAP No. 108 Adjustments .....
- 3.3 Subtotal (Line 3.1 minus Line 3.2) .....
- 4.1 Cumulative variation margin on terminated contracts during the year (Section 2, Column 15) .....
- 4.2 Less:
  - 4.21 Amount used to adjust basis of hedged item (Section 2, Column 17) .....
  - 4.22 Amount recognized (Section 2, Column 16) .....
  - 4.23 SSAP No. 108 Adjustments .....
- 4.3 Subtotal (Line 4.1 minus Line 4.2) .....
5. Dispositions gains (losses) on contracts terminated in prior year:
  - 5.1 Total gain (loss) recognized for terminations in prior year .....
  - 5.2 Total gain (loss) adjusted into the hedged item(s) for terminations in prior year .....
6. Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2) .....
7. Deduct total nonadmitted amounts .....
8. Statement value at end of current period (Line 6 minus Line 7) .....

**NONE**

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## **SCHEDULE DB - PART C - SECTION 1**

Replication (Synthetic Asset) Transactions Open as of December 31 of Current Year

# NONE

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## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE DB - PART C - SECTION 2**

## Replication (Synthetic Asset) Transactions Open

	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Year To Date	
	1 Number of Positions	2 Total Replication (Synthetic Asset) Transactions Statement Value	3 Number of Positions	4 Total Replication (Synthetic Asset) Transactions Statement Value	5 Number of Positions	6 Total Replication (Synthetic Asset) Transactions Statement Value	7 Number of Positions	8 Total Replication (Synthetic Asset) Transactions Statement Value	9 Number of Positions	10 Total Replication (Synthetic Asset) Transactions Statement Value
1. Beginning Inventory .....										
2. Add: Opened or Acquired Transactions.....										
3. Add: Increases in Replication (Synthetic Asset) Transactions Statement Value.....	XXX									
4. Less: Closed or Disposed of Transactions.....										
5. Less: Positions Disposed of for Failing Effectiveness Criteria.....										
6. Less: Decreases in Replication (Synthetic Asset) Transactions Statement Value	XXX									
7. Ending Inventory										

**NONE**

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE DB - VERIFICATION**

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

Book/Adjusted Carrying Value Check

1. Part A, Section 1, Column 14 .....
2. Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance .....
3. Total (Line 1 plus Line 2) .....
4. Part D, Section 1, Column 5 .....
5. Part D, Section 1, Column 6 .....
6. Total (Line 3 minus Line 4 minus Line 5) .....

**NONE**

Fair Value Check

7. Part A, Section 1, Column 16 .....
8. Part B, Section 1, Column 13 .....
9. Total (Line 7 plus Line 8) .....
10. Part D, Section 1, Column 8 .....
11. Part D, Section 1, Column 9 .....
12. Total (Line 9 minus Line 10 minus Line 11) .....

Potential Exposure Check

13. Part A, Section 1, Column 21 .....
14. Part B, Section 1, Column 20 .....
15. Part D, Section 1, Column 11 .....
16. Total (Line 13 plus Line 14 minus Line 15) .....

**SCHEDULE E - PART 2 - VERIFICATION BETWEEN YEARS**

(Cash Equivalents)

	1 Total	2 Bonds	3 Money Market Mutual funds	4 Other (a)
1. Book/adjusted carrying value, December 31 of prior year .....	0		0	
2. Cost of cash equivalents acquired .....	1,276		1,275	
3. Accrual of discount .....				
4. Unrealized valuation increase (decrease) .....				
5. Total gain (loss) on disposals .....				
6. Deduct consideration received on disposals .....	1,275		1,275	
7. Deduct amortization of premium .....				
8. Total foreign exchange change in book/adjusted carrying value .....				
9. Deduct current year's other than temporary impairment recognized .....				
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	0		0	
11. Deduct total nonadmitted amounts .....				
12. Statement value at end of current period (Line 10 minus Line 11)	0		0	

(a) Indicate the category of such investments, for example, joint ventures, transportation equipment:

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## **SCHEDULE A - PART 1**

Showing All Real Estate OWNED December 31 of Current Year

# NON E

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ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## **SCHEDULE A - PART 2**

Showing All Real Estate ACQUIRED and Additions Made During the Year

# NONE

0399999 - Totals

E02

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE A - PART 3**

Showing All Real Estate DISPOSED During the Year, Including Payments During the Final Year on "Sales Under Contract"

1 Description of Property	Location		4 Disposal Date	5 Name of Purchaser	6 Actual Cost	7 Expended for Additions, Permanent Improvements and Changes in Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances Prior Year	Change in Book/Adjusted Carrying Value Less Encumbrances					14 Book/Adjusted Carrying Value Less Encumbrances on Disposal	15 Amounts Received During Year	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	19 Gross Income Earned Less Interest Incurred on Encumbrances	20 Taxes, Repairs and Expenses Incurred
	2 City	3 State						9 Current Year's Depreciation	10 Other-Than-Temporary Impairment Recognized	11 Current Year's Change in Encumbrances	12 Total Change in Book/Adjusted Carrying Value (11-9-10)	13 Total Foreign Exchange Change in Book/Adjusted Carrying Value							
0399999 - Totals																			

**NONE**

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE B - PART 1**

Showing All Mortgage Loans OWNED December 31 of Current Year

1 Loan Number	2 Code	Location		5 Loan Type	6 Date Acquired	7 Rate of Interest	8 Book Value/Recorded Investment Excluding Accrued Interest	Change in Book Value/Recorded Investment				14 Total Foreign Exchange Change in Book Value	15 Value of Land and Buildings	Date of Last Appraisal or Valuation
		3 City	4 State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Amortization)/ Accretion	11 Current Year's Other-Than-Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other			
3399999 - Totals														XXX

**NONE**

## General Interrogatory:

1. Mortgages in good standing \$ ..... unpaid taxes \$ ..... interest due and unpaid.
2. Restructured mortgages \$ ..... unpaid taxes \$ ..... interest due and unpaid.
3. Mortgages with overdue interest over 90 days not in process of foreclosure \$ ..... unpaid taxes \$ ..... interest due and unpaid.
4. Mortgages in process of foreclosure \$ ..... unpaid taxes \$ ..... interest due and unpaid.

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## **SCHEDULE B - PART 2**

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Year

# **NONE**

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Year

1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Change in Book Value/Recorded Investment					14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consider- ation	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	
	2 City	State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other- Than- Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9+10+11)						
0599999 - Totals																	

**NONE**

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## **SCHEDULE BA - PART 1**

Showing Other Long-Term Invested Assets OWNED December 31 of Current Year

# NON E

5099999 - Totals

xx

E07

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## **SCHEDULE BA - PART 2**

## Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE December 31 of Current Year

# NON E

5099999 - Totals

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XXX

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## **SCHEDULE BA - PART 3**

#### Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Year

## 5099999 - Totals

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1 CUSIP Identification	2 Description	Codes			6 NAIC Design- ation and Adminis- trative Symbol	7 Rate Used to Obtain Fair Value	Fair Value		10 Book/ Adjusted Carrying Value	11 Unrealized Value (Increase/ Decrease)	Change in Book/Adjusted Carrying Value				16 Total Foreign Exchange Change in Book/ Adjusted Carrying Value	17 Rate of Effect ive	18 When Paid	Interest			Dates				
		3 C o d e	4 F o r e i g n	5 Bond Char			8 Actual Cost	9 Fair Value			12 Current Year's Other- Than- Temporary Impairment Recognized	13 Current Year's Amor- ti- za- tion Accre- tion	14 Current Year's Other- Than- Temporary Impairment Recognized	15 Current Year's Other- Than- Temporary Impairment Recognized	19 Admitted Amount Due and Accrued	20 Amount Received During Year	21 Acquired	22 Stated Contractual Maturity Date							
912810-Q1-8	UNITED STATES TREASURY				1.	99,965	113,640	100,000	.99,972		.1				3.125	3.127	MN	.404	3,125	12/14/2016	11/15/2041				
912828-3Z-0	UNITED STATES TREASURY				1.	129,624	102,609	130,000	.129,731		.52				2.250	2.296	AO	.498	2,925	12/18/2017	10/31/2024				
912828-3Z-1	UNITED STATES TREASURY				1.	74,941	105,156	.78,867	.75,000		.8				2.750	2.762	FA	.697	2,063	04/12/2018	02/28/2025				
912828-F9-6	UNITED STATES TREASURY				1.	99,754	100,734	.100,734	.100,000		.50				2.000	2.053	AO	.341	2,001	12/14/2016	10/31/2022				
912828-L2-4	UNITED STATES TREASURY	SD			1.	292,230	100,734	.302,203	.300,000		.1,656				1.875	2.476	FA	.1,901	5,625	01/29/2018	08/31/2022				
912828-L2-4	UNITED STATES TREASURY				1.	9,741	100,734	.10,073	.10,000		.9846				1.875	2.476	FA	.63	.188	01/29/2018	08/31/2022				
912828-N4-8	UNITED STATES TREASURY				1.	99,320	100,093	.100,094	.100,000		.99,827				1.750	1.926	JD	.5	.1,750	12/15/2020					
912828-P3-8	UNITED STATES TREASURY				1.	127,283	100,406	.130,528	.130,000		.128,329				1.750	2.184	JJ	.952	2,275	12/18/2017	01/31/2023				
912828-U2-4	UNITED STATES TREASURY				1.	118,765	101,140	.126,426	.125,000		.120,516				2.000	2.573	MN	.323	2,500	12/15/2016	11/15/2026				
912828-U5-7	UNITED STATES TREASURY				1.	133,903	101,765	.137,384	.135,000		.134,263				2.125	2.272	MN	.251	.2,869	12/19/2017	11/30/2023				
912828-V8-0	UNITED STATES TREASURY				1.	134,657	102,312	.138,122	.135,000		.134,767				2.250	2.295	JJ	.271	.3,031	12/19/2017	01/31/2024				
912828-V9-8	UNITED STATES TREASURY				1.	196,387	102,812	.205,625	.200,000		.197,270				2.250	2.445	FA	.1,700	2,250	12/13/2019	02/15/2027				
912828-VS-6	UNITED STATES TREASURY				1.	125,913	103,000	.128,750	.125,000		.125,516				2.500	2.380	FA	.1,180	3,125	12/15/2016	08/15/2023				
912828-VV-9	UNITED STATES TREASURY	SD			1.	61,082	100,296	.60,178	.60,000		.60,196				2.125	1.627	FA	.431	.1,275	11/26/2016	08/31/2020				
912828-XG-0	UNITED STATES TREASURY				1.	124,365	101,312	.126,641	.125,000		.124,705				2.125	2.223	JD	.7	2,656	12/15/2016	06/30/2022				
912828-YH-7	UNITED STATES TREASURY				1.	590,328	99,1563	.589,980	.595,000		.590,487				1.500	1.667	MS	.2,268	10/29/2019	09/30/2024					
912828-YK-0	UNITED STATES TREASURY				1.	149,045	99,390	.149,086	.150,000		.149,095				1.375	1.597	AO	.440	.11,04/2019	10/15/2022					
912828-YH-7	UNITED STATES TREASURY	SD			1.	153,783	99,1563	.153,692	.155,000		.153,824				1.500	1.667	MS	.591	10/29/2019	09/30/2024					
0199999. Subtotal - Bonds - U.S. Governments - Issuer Obligations						2,721,088	XXX	2,785,416	2,750,000		2,728,586				3,982		XXX	XXX	13,321	37,663	XXX	XXX			
36202F-M7-8	G2 004882 - RMBS		4		1.	56,069	106,140	.58,167	.54,802		.55,971				(.63)		4,000	3,492	MON	.183	2,154	06/20/2011	12/20/2040		
36202F-PF-7	G2 004922 - RMBS		4		1.	55,356	106,1419	.57,287	.55,254		.53,972				(.73)		4,000	3,439	MON	.180	2,123	06/15/2011	01/20/2041		
36202F-TL-0	G2 005055 - RMBS		4		1.	69,455	109,547	.71,822	.65,562		.69,333				(.185)		4,500	3,135	MON	.246	2,894	06/28/2011	05/20/2041		
36202F-UE-4	G2 005081 - RMBS		4		1.	44,505	106,4261	.46,062	.44,462		.43,281				(.61)		4,000	3,362	MON	.144	1,701	06/23/2011	06/20/2041		
36202F-UF-1	G2 005082 - RMBS		4		1.	42,226	109,5507	.43,796	.42,170		.39,978				(.98)		4,500	3,194	MON	.150	1,764	06/20/2011	06/20/2041		
36202F-V5-9	G2 005232 - RMBS		4		1.	185,180	104,8770	.187,502	.178,783		.184,571				(.295)		3,500	2,800	MON	.521	6,155	11/18/2011	11/20/2041		
36241L-S3-1	GN 783238 - RMBS		4		1.	48,816	104,9724	.49,721	.47,366		.48,766				(.51)		4,000	3,356	MON	.158	1,864	06/24/2011	01/15/2041		
0299999. Subtotal - Bonds - U.S. Governments - Residential Mortgage-Backed Securities						501,607	XXX	514,358	.483,744		.500,528				(.826)		XXX	XXX	XXX	1,582	18,656	XXX	XXX		
0599999. Total - U.S. Government Bonds						3,222,695	XXX	3,299,773	3,233,744		3,229,113				3,157		XXX	XXX	XXX	14,903	56,318	XXX	XXX		
013051-EE-3	ALBERTA, PROVINCE OF	C			1FE	99,900	105,3480	.105,348	.100,000		.99,922				19		3,350	3,372	MN	.558	3,350	10/25/2018	11/01/2023		
0699999. Subtotal - Bonds - All Other Governments - Issuer Obligations						99,900	XXX	105,348	.100,000		.99,922				19		XXX	XXX	XXX	558	3,350	XXX	XXX		
1099999. Total - All Other Government Bonds						99,900	XXX	105,348	.100,000		.99,922				19		XXX	XXX	XXX	558	3,350	XXX	XXX		
649791-06-2	NEW YORK ST	SD	2		1FE	236,972	100,4480	.200,896	.200,000		.200,580				(4,694)				5,000	2,600	FA	.3,778	10,000	06/15/2011	02/15/2020
1199999. Subtotal - Bonds - U.S. States, Territories and Possessions - Issuer Obligations						236,972	XXX	200,896	.200,000		.200,580				(4,694)		XXX	XXX	XXX	3,778	10,000	XXX	XXX		
1799999. Total - U.S. States, Territories and Possessions Bonds						236,972	XXX	200,896	.200,000		.200,580				(4,694)		XXX	XXX	XXX	3,778	10,000	XXX	XXX		
736688-LN-0	PORTLAND ORE CMNTY COLLEGE DIST		2		1FE	102,008	111,3800	.111,380	.100,000		.101,814				(.178)		4,160	3,905	JD	.347	4,206	11/27/2018	06/01/2029		
1899999. Subtotal - Bonds - U.S. Political Subdivisions - Issuer Obligations						102,008	XXX	111,380	.100,000		.101,814				(.178)		XXX	XXX	XXX	347	4,206	XXX	XXX		
2499999. Total - U.S. Political Subdivisions Bonds						102,008	XXX	111,380	.100,000		.101,814				(.178)		XXX	XXX	XXX	347	4,206	XXX	XXX		
386442-VR-5	GRAND RIVER DAM AUTH OKLA REV		2		1FE	79,707	114,0570	.79,840	.70,000		.75,180				(.1,076)				5,000	3,190	JD	.292	3,500	07/17/2015	06/01/2033
39081J-AL-2	GREAT LAKES WTR AUTH MICH WTR SUPPLY SYS		2		1FE	23,411	119,0980	.23,820	.20,000		.22,395				(.330)				5,000	2,961	JD	.500	1,000	10/14/2016	07/01/2031
442435-SB-5	HOUSTON TEX UTIL SYS REV		1		1FE	40,000	108,3710	.43,348	.40,000		.40,000						3,828	3,827	MN	.196	.1,531	03/13/2014	05/15/2028		
47770V-AX-8	JOBSOHIO BEVERAGE SYS OHIO STATEWIDE LIQ		1		1FE	125,000	103,6640	.129,580	.125,000		.125,000					3,235	3,235	JJ	.2,022	4,044	01/29/2013	01/01/2023			
56682H-CA-5	MARICOPA CNTY ARIZ INDL DEV AUTH REV		2		1FE	52,550	110,5780	.55,289	.50,000		.51,853				(.231)		4,000	3,401	JJ	.2,022	4,044	01/29/2013	01/01/2023		
58333P-N5-3</																									

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1 CUSIP Identification	2 Description	Codes			6 NAIC Design- ation and Adminis- trative Symbol	7 Actual Cost	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3 C o d e	4 F o r e i g n	5 Bond Char			8 Rate Used to Obtain Fair Value	9 Fair Value			Book/ Adjusted Carrying Value	Unrealized Value Increase/ (Decrease)	12 Current Year's (Amor- ti- za- tion) Accre- tion	13 Current Year's Other- Than- Tem- por- ary Impair- ment Recognized	14 Temp- or- ary Impair- ment Recognized	15 Total Foreign Ex- change Change in Book/ Adjusted Carrying Value	16 Rate of	17 Effec- tive Rate of	18 When Paid	19 Admit- ted Amount Due and Accrued	20 Amount Received During Year
3128MJ-2M-3	FN G07680 - RMBS	4	1	1		22,098	105,0608	21,983	20,924		(66)				4.000	2,896	MON	70	.824	.08/05/2014	.04/01/2044
3128MJ-V2-3	FN G08632 - RMBS	4	1	1		36,511	104,3868	36,488	34,955		(60)				3.500	2,661	MON	102	1,206	.03/17/2015	.03/01/2045
3128MJ-XX-3	FN G08693 - RMBS	4	1	1		69,981	104,8367	71,175	67,891		(68)				3.500	2,906	MON	198	2,346	.11/08/2017	.03/01/2046
3128MJ-Z9-4	FN G08767 - RMBS	4	1	1		52,760	105,0587	52,469	49,943		(187)				4.000	2,743	MON	166	1,975	.08/16/2017	.06/01/2047
3128MJ-ZF-0	FN G08741 - RMBS	4	1	1		75,617	102,4922	78,732	76,817		51				3.000	3,241	MON	192	2,287	.03/02/2017	.01/01/2047
3128MJ-ZH-6	FN G08743 - RMBS	4	1	1		49,012	104,0336	48,438	46,560		(100)				4.000	2,923	MON	155	1,835	.02/23/2017	.01/01/2047
3128MJ-ZP-8	FN G08749 - RMBS	4	1	1		47,419	105,0635	47,462	45,174		(143)				4.000	2,849	MON	151	1,780	.10/31/2017	.02/01/2047
3128MM-W8-8	FN G18656 - RMBS	4	1	1		53,483	103,8153	54,235	52,242		(106)				3.500	2,854	MON	152	1,800	.02/07/2018	.08/01/2032
3130TN-E3-4	FN J31954 - RMBS	4	1	1		33,439	103,9904	33,117	31,846		(229)				3.500	1,812	MON	93	1,077	.02/24/2017	.12/01/2028
3132AD-PF-9	FN ZT1322 - RMBS	4	1	1		246,927	106,8110	246,311	230,605		131				5.000	2,447	MON	961	1,110	.01/2019	.11/01/2048
3132DV-4H-5	FN SD8024 - RMBS	4	1	1		252,160	101,4428	251,718	248,138		(68)				3.000	2,713	MON	620	1,226	.11/01/2019	.11/01/2049
3132DV-4P-7	FN SD8030 - RMBS	4	1	1		505,531	101,4429	498,390	505,414		(121)				3.000	2,746	MON	1,246	1,246	.11/06/2019	.12/01/2049
3132GD-RW-4	FN 000501 - RMBS	4	1	1		43,161	106,8324	44,477	41,632		(42)				4.500	3,842	MON	156	1,853	.05/11/2011	.05/01/2041
3132GK-5A-0	FN Q04741 - RMBS	4	1	1		9,556	107,6346	9,624	8,942		(29)				4.500	3,033	MON	34	.394	.03/26/2014	.11/01/2041
3132M9-2R-4	FN Q29184 - RMBS	4	1	1		28,064	105,8710	27,815	26,272		(31)				4.000	2,511	MON	88	1,033	.12/11/2014	.10/01/2044
3132XU-SK-2	FN Q52321 - RMBS	4	1	1		76,269	105,9729	77,090	72,745		(257)				4.500	3,319	MON	273	3,244	.03/07/2018	.11/01/2047
31335A-S7-4	FN G60542 - RMBS	4	1	1		24,658	106,3183	24,515	23,058		(20)				4.000	2,538	MON	77	912	.06/23/2016	.09/01/2045
31339S-BB-0	FN Q42734 - RMBS	4	1	1		250,314	101,4556	251,152	247,548		(22)				3.000	2,791	MON	619	1,238	.10/25/2019	.09/01/2049
3138E7-TV-6	FN AK3263 - RMBS	4	1	1		51,929	102,8373	51,753	50,325		(163)				3.000	2,032	MON	126	1,476	.11/28/2016	.02/01/2027
3138EJ-3Y-2	FN AL2614 - RMBS	4	1	1		53,216	105,0880	53,276	50,697		(39)				3.500	2,536	MON	148	1,746	.02/29/2016	.11/01/2042
3138EQ-7L-0	FN AL8098 - RMBS	4	1	1		52,694	107,3803	52,742	49,117		(182)				4.000	2,601	MON	164	1,941	.04/08/2016	.06/01/2043
3138MO-AK-6	FN A08109 - RMBS	4	1	1		51,735	105,1569	52,397	49,827		(15)				3.500	2,791	MON	145	1,720	.11/05/2015	.08/01/2042
3138M5-EA-3	FN AP1928 - RMBS	4	1	1		29,991	106,0712	29,862	28,152		(89)				4.000	2,786	MON	94	1,107	.11/10/2014	.08/01/2042
3138M5-LP-2	FN AP2133 - RMBS	4	1	1		40,203	105,1741	40,755	38,750		(72)				3.500	2,870	MON	113	1,341	.11/16/2014	.08/01/2042
3138MB-XL-5	FN AP7882 - RMBS	4	1	1		50,432	105,1630	51,562	49,030		(41)				3.500	2,999	MON	143	1,694	.09/08/2014	.10/01/2042
3138W16-SU-1	FN AR8630 - RMBS	4	1	1		58,999	101,4180	58,717	57,896		(59)				3.000	2,662	MON	145	1,716	.11/10/2016	.04/01/2043
3138W9-BF-6	FN AS0037 - RMBS	4	1	1		43,043	104,8331	42,975	40,994		(92)				3.500	2,598	MON	120	1,415	.02/12/2016	.07/01/2043
3138WB-AR-6	FN AS1815 - RMBS	4	1	1		36,185	107,5504	37,370	34,747		(90)				4.000	3,206	MON	116	1,370	.03/24/2014	.02/01/2044
3138WB-UK-9	FN AS2385 - RMBS	4	1	1		32,101	106,7056	32,444	30,405		(182)				4.000	2,931	MON	101	1,199	.05/16/2014	.05/01/2044
3138WD-ME-8	FN AS3956 - RMBS	4	1	1		35,205	106,2609	34,936	32,877		(92)				4.000	2,464	MON	110	1,291	.02/06/2015	.12/01/2044
3138WE-6G-9	FN AS5370 - RMBS	4	1	1		43,386	103,2905	43,038	41,667		(61)				3.500	2,756	MON	122	1,444	.10/30/2015	.07/01/2045
3138WE-KB-4	FN AS4789 - RMBS	4	1	1		50,262	102,4946	49,527	48,322		(64)				3.000	2,346	MON	121	1,434	.09/23/2016	.04/01/2045
3138WE-KG-3	FN AS4794 - RMBS	4	1	1		29,467	105,4096	29,773	28,245		(60)				3.500	2,735	MON	82	.975	.09/23/2015	.04/01/2045
3138WF-NN-2	FN AS5796 - RMBS	4	1	1		35,511	102,9440	35,025	34,023		(63)				4.000	1,920	MON	85	1,000	.02/25/2016	.09/01/2030
3138WG-BA-1	FN AS6332 - RMBS	4	1	1		65,641	104,2978	65,057	62,376		(137)				3.500	2,550	MON	182	2,156	.09/21/2016	.12/01/2045
3138WG-BW-3	FN AS6352 - RMBS	4	1	1		65,835	105,6373	66,442	62,896		(112)				4.000	3,003	MON	210	2,476	.03/02/2017	.12/01/2045
3138WG-DN-1	FN AS6408 - RMBS	4	1	1		45,190	104,2929	45,040	43,186		(90)				3.500	2,653	MON	126	1,494	.04/27/2016	.01/01/2046
3138WJ-H3-5	FN AS8349 - RMBS	4	1	1		71,300	103,0504	71,454	69,339		(119)				3.000	2,451	MON	173	2,058	.11/16/2016	.11/01/2036
3138WJ-K5-6	FN AS8415 - RMBS	4	1	1		74,898	101,9462	76,727	75,263		(72)				3.000	3,062	MON	188	2,238	.02/07/2017	.11/01/2046
3138WJ-KX-0	FN AS9837 - RMBS	4	1	1		72,485	103,4153	75,045	72,567		(17)				3.000	2,996	MON	181	2,144	.02/14/2018	.06/01/2032
3138WL-C2-7	FN AS9988 - RMBS	4	1	1		69,888	105,9979	70,694	66,694		(85)				4.500	3,237	MON	250	2,971	.03/16/2018	.07/01/2047
3138WV-X5-5	FN AT7899 - RMBS	4	1	1		57,727	102,8808	56,630	55,044		(76)				3.500	2,604	MON	161	1,894	.02/05/2016	.07/01/2043
3138X4-V9-8	FN AU1539 - RMBS	4	1	1		41,253	104,8331	41,495	39,582	</td											

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1 CUSIP Identification	2 Description	Codes			6 NAIC Design- ation and Adminis- trative Symbol	7 Rate Used to Obtain Fair Value	Fair Value		10 Book/ Adjusted Carrying Value	11 Unrealized Value (Increase/ Decrease)	Change in Book/Adjusted Carrying Value				16 Total Foreign Exchange Change in Book/ Adjusted Carrying Value	17 Rate of Effect- ive Rate of When Paid	Interest			Dates	
		3 C o d e	4 F o r e i g n	5 Bond Char			8 Actual Cost	9 Fair Value			12 Current Year's (Amor- ti- za- tion) Accre- tion	13 Current Year's Other- Than- Tem- por- ary Impair- ment Recog- nized	14 Temp- or- ary Impair- ment Recog- nized	15 Current Year's Other- Than- Tem- por- ary Impair- ment Recog- nized	18 Admitted Amount Due and Accrued	19 Amount Received During Year	20 Acquired	21 Amount Received During Year	22 Stated Contractual Maturity Date		
31418C-QB-3	FN MA3149 - RMBS	4		1		71,480	104.7899	72,145	.68,847	71,346	(127)			4.000	.3,084	MON	.223	.2,721	.01/24/2018	.10/01/2047	
31418D-BF-8	FN MA3637 - RMBS	4		1		127,580	103.0992	128,101	.124,250	127,953	373			3.500	.2,642	MON	.362	.1,450	.08/21/2019	.04/01/2049	
2699999. Subtotal - Bonds - U.S. Special Revenues - Residential Mortgage-Backed Securities		4,198,941			XXX	4,222,879	4,067,606	4,191,809		(4,308)				XXX	XXX	XXX	11,919	101,601	XXX	XXX	
3137BV-ZB-2	FMIS K063 A2 - CMBS	4		1		102,998	107,165	100,000		102,170	(302)			3.430	.3,065	MON	.286	.3,430	.03/07/2017	.01/25/2027	
3137FE-UA-6	FMIS K730 A2 - CMBS	4		1		154,136	105,8510	158,777		150,000	(617)			3.590	.3,116	MON	.449	.5,385	.02/28/2018	.01/25/2025	
3137FJ-JV-2	FMIS K083 A1 - CMBS	4		1		101,648	107,8740	107,505	.99,658	101,323	(289)			3.944	.3,601	MON	.328	.3,930	.10/31/2018	.07/25/2028	
2799999. Subtotal - Bonds - U.S. Special Revenues - Commercial Mortgage-Backed Securities		358,781			XXX	373,447	349,658	356,518		(1,208)				XXX	XXX	XXX	1,062	12,745	XXX	XXX	
3199999. Total - U.S. Special Revenues Bonds		5,522,462			XXX	5,596,894	5,327,264	5,492,414		(11,023)				XXX	XXX	XXX	25,796	150,156	XXX	XXX	
00037B-FF-9	ABB FINANCE (USA) INC		1,2	.1FE		100,000	109,261	100,000		100,000				3,800	.3,800	A0	.929	.3,800	.03/26/2018	.04/03/2028	
002824-BE-9	ABBOTT LABORATORIES		1,2	.1FE		32,845	30,0733	34,674	.33,000	32,909	21			3,400	.3,476	MM	.97	.1,077	.11/17/2017	.11/30/2022	
00287Y-AP-4	ABBVIE INC		1,2	.2FE		100,170	102,8475	102,848	100,000	100,106	(36)			3,200	.3,160	MM	.489	.3,200	.02/06/2018	.11/06/2022	
00724F-AB-7	ADBE SYSTEMS INC		1	.1FE		54,463	100,2041	50,102	.50,000	50,067	(781)			4,750	.3,146	FA	.990	.2,375	.11/25/2013	.02/01/2020	
023135-BF-2	AMAZON.COM INC		1,2	.1FE		100,261	113,759	100,000		100,246	(10)			3,875	.3,855	FA	.389	.3,875	.08/16/2017	.08/22/2037	
02361D-AP-5	AMEREN ILLINOIS CO		1,2	.1FE		99,943	104,6237	104,624	100,000	99,969	5			3,250	.3,257	MS	.1,083	.3,250	.12/03/2014	.03/01/2025	
025816-BY-4	AMERICAN EXPRESS CO		2	.1FE		99,978	102,9988	103,000	100,000	99,986	7			3,700	.3,708	MM	.576	.3,690	.11/01/2018	.11/05/2021	
02665W-AD-4	AMERICAN HONDA FINANCE CORP		1	.1FE		100,650	100,511	100,000		100,129	(173)			2,450	.2,270	MS	.660	.2,450	.11/22/2016	.09/24/2020	
03040W-AN-5	AMERICAN WATER CAPITAL CORP		1,2	.2FE		49,909	101,6428	50,821	.50,000	49,935	8			3,000	.3,021	JD	.125	.1,500	.11/14/2016	.12/01/2026	
037735-CR-6	APPALACHIAN POWER CO		1,2	.1FE		102,6460	49,861	51,323	.50,000	49,980	15			4,600	.4,633	MS	.581	.2,300	.03/22/2011	.03/30/2021	
038222-AL-9	APPLIED MATERIALS INC		1,2	.1FE		49,823	106,1783	53,089	.50,000	49,867	16			3,300	.3,342	A0	.413	.1,650	.03/28/2017	.04/01/2027	
05348E-AS-8	AVALONBAY COMMUNITIES INC		1,2	.1FE		34,905	100,8137	35,285	.35,000	34,989	14			3,625	.3,667	A0	.317	.1,269	.09/16/2013	.10/01/2020	
05348E-AW-9	AVALONBAY COMMUNITIES INC		1,2	.1FE		29,902	106,1208	31,836	.30,000	29,939	9			3,500	.3,539	MM	.134	.1,058	.11/04/2015	.11/15/2025	
05531F-BF-9	BB&T CORP		2	.1FE		124,808	105,7340	132,168	.125,000	124,846	36			3,750	.3,784	JD	.326	.4,688	.11/29/2018	.12/06/2023	
06051G-FF-1	BANK OF AMERICA CORP			.1FE		57,096	107,0829	58,896	.55,000	56,041	(223)			4,000	.3,516	A0	.560	.2,200	.11/25/2014	.04/01/2024	
06051G-HD-4	BANK OF AMERICA CORP	1,2,5	.1FE			46,685	104,8118	49,262	.47,000	46,736	25			3,419	.3,493	JD	.49	.1,607	.12/20/2017	.12/20/2028	
06367T-4W-7	BANK OF MONTREAL	C		.1FE		54,936	101,4455	55,795	.55,000	54,972	21			3,100	.3,141	A0	.369	.1,705	.04/10/2018	.04/13/2021	
06367W-HH-9	BANK OF MONTREAL	C		.1FE		99,794	104,1636	104,164	.100,000	99,829	35			3,300	.3,345	FA	.1,338	.1,650	.01/31/2019	.02/05/2024	
06406H-DA-4	BANK OF NEW YORK MELLON CORP	2		.1FE		49,893	103,9626	51,981	.50,000	49,942	10			3,000	.3,025	FA	.529	.1,500	.09/19/2015	.02/24/2025	
064159-KD-7	BANK OF NOVA SCOTIA	C		.1FE		97,687	101,2744	101,274	.100,000	98,244	557			2,450	.3,130	MS	.694	.2,450	.02/01/2019	.09/19/2022	
097023-BJ-3	BOEING CO		1,2	.1FE		48,795	100,1838	50,092	.50,000	49,339	117			2,500	.2,777	MS	.417	.1,250	.02/18/2015	.03/01/2025	
097023-BW-4	BOEING CO		1,2	.1FE		34,595	101,8893	35,661	.35,000	34,738	78			2,800	.3,050	MS	.327	.980	.02/21/2018	.03/01/2023	
10112R-AZ-7	BOSTON PROPERTIES LP		1,2	.2FE		99,845	103,6402	103,640	.100,000	99,891	20			3,200	.3,224	JJ	.1,476	.3,200	.11/17/2017	.01/15/2025	
10373Q-AB-6	BP CAPITAL MARKETS AMERICA INC		1,2	.1FE		104,403	108,1797	108,180	.100,000	104,020	(383)			3,796	.3,005	MS	.1,054	.1,898	.05/29/2019	.09/21/2025	
10373Q-AL-4	BP CAPITAL MARKETS AMERICA INC		1	.1FE		98,998	102,2607	102,261	.100,000	99,634	104			2,750	.2,865	MM	.390	.2,850	.05/07/2013	.05/10/2023	
115637-AS-9	BROWN-FORMAN CORP		1,2	.1FE		49,873	106,5635	53,282	.50,000	49,902	16			3,500	.3,541	A0	.369	.1,750	.03/22/2018	.04/15/2025	
12189L-AD-3	BURLINGTON NORTHERN SANTA FE LLC		1,2	.1FE		69,697	102,6683	71,868	.70,000	69,950	33			4,100	.4,152	JD	.239	.2,870	.05/16/2011	.06/01/2021	
126650-CW-8	CVS HEALTH CORP		1,2	.2FE		24,755	107,2302	26,808	.25,000	24,812	31			4,100	.4,262	MS	.273	.1,025	.03/06/2018	.03/25/2025	
14040H-BK-0	CAPITAL ONE FINANCIAL CORP	2		.2FE		75,277	104,9005	76,675	.75,000	75,196	(26)			3,750	.3,705	JJ	.1,195	.2,813	.08/16/2016	.07/28/2026	
141781-BG-8	CARGILL INC	1		.1FE		34,957	103,4736	36,216	.35,000	34,972	8			3,250	.3,277	MS	.379	.1,138	.02/26/2018	.03/01/2023	
14912L-6G-1	CATERPILLAR FINANCIAL SERVICES CORP			.1FE		99,890	105,5020	105,502	.100,000	99,943	11			3,250	.3,263	JD	.271	.3,250	.11/21/2014	.12/01/2024	
166754-AP-6	CHEVRON PHILLIPS CHEMICAL COMPANY LLC		1,2	.1FE		49,869	104,6919	52,346	.50,000	49,906	12			3,400	.3,431	JD	.142	.1,700	.11/17/2016	.12/01/2026	
17108J-AA-1	CHRISTUS HEALTH		1,2	.1FE		100,000	110,2000	110,200	.100,000	100,000	100,000			4,341	.4,342	JJ	.2,171	.2,900	.10/23/2018	.07/01/2028	
172967-JP-7	CITI GROUP INC			.1FE		100,240	104,9899	104,990													

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1 CUSIP Identification	2 Description	Codes			6 NAIC Design- ation and Adminis- trative Symbol	7 Rate Used to Obtain Fair Value	8 Fair Value	10 Par Value	11 Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value				16 Total Foreign Exchange Change in Book/ Adjusted Carrying Value	17 Rate of Effect- ive	18 When Paid	Interest			Dates	
		3 C o d e	4 F o r e i g n	5 Bond Char						9 Unrealized Valuation Increase/ (Decrease)	12 Current Year's Other- Than- Tempo- rary Impairment Recognized	13 Current Year's Amor- ti- za- tion Accre- tion	14 Tempo- rary Impairment Recognized	15 Current Year's Other- Than- Tempo- rary Impairment Recognized	19 Admitted Amount Due and Accrued	20 Amount Received During Year	21 Acquired	22 Stated Contractual Maturity Date			
30040W-AC-2	EVERSOURCE ENERGY	1,2	2FE		99,860	101,3838	101,3838	100,000	.99,937		27				.810	2,750	.03/02/2017	.03/15/2022			
343412-AC-6	FLUOR CORP	1,2	2FE		74,189	101,9522	76,464	.75,000	.74,566		79				.3,500	.3,629	JD	.117	.2,625	.11/18/2014	.12/15/2024
361448-BD-4	GATX CORP	1,2	2FE		99,936	106,6593	106,659	100,000	.99,944		10				4,350	4,365	FA	.1,643	3,383	.11/01/2018	.02/15/2024
36962G-4R-2	GENERAL ELECTRIC CO	1,2	2FE		149,277	101,3064	151,960	150,000	.149,938		85				4,375	4,436	MS	.1,914	6,563	.05/13/2011	.09/16/2020
38141G-IU-9	GOLDMAN SACHS GROUP INC	1,2,5	1FE		100,000	101,6056	100,000	100,000				2,908	2,909	JD	.210	2,908	.05/31/2017	.06/05/2023			
40414L-AJ-8	HCP INC	1,2	2FE		49,770	106,7349	53,367	.50,000	.49,901		23				4,250	4,306	MN	.272	2,125	.11/05/2013	.11/15/2023
41805G-AT-4	HASBRO INC	1,2	2FE		19,981	101,0189	20,204	.20,000	.19,996		3				3,150	3,164	INN	.81	630	.05/08/2014	.05/15/2021
437076-BM-3	HOME DEPOT INC	1,2	1FE		74,517	104,4708	78,353	.75,000	.74,688		45				3,000	3,074	AO	.563	2,250	.02/03/2016	.04/01/2026
437076-BW-1	HOME DEPOT INC	1,2	1FE		49,801	111,6606	.55,830	.50,000	.49,819		17				3,900	3,949	JD	.135	1,950	.11/27/2018	.12/06/2028
459200-JG-7	INTERNATIONAL BUSINESS MACHINES CORP	1	1FE		99,665	106,4619	106,462	100,000	.99,783		31				3,450	3,490	FA	.1,265	3,450	.02/16/2016	.02/19/2026
459200-JY-8	INTERNATIONAL BUSINESS MACHINES CORP	1	1FE		101,142	103,5945	100,000	101,019			123				3,000	2,751	MN	.383	1,500	.06/04/2019	.05/15/2024
461070-AL-8	INTERSTATE POWER AND LIGHT CO	1,2	2FE		99,255	104,2707	100,000	.99,605			73				3,250	3,338	JD	.271	3,250	.11/17/2014	.12/01/2024
46132F-AB-6	INVESCO FINANCE PLC	C, 1	1FE		79,427	105,9570	84,766	.80,000	.79,749		56				4,000	4,084	JU	.1,342	3,200	.11/06/2013	.01/30/2024
46625H-JX-9	JPMORGAN CHASE & CO	1,2,5	1FE		99,510	105,7395	105,740	100,000	.99,766		48				3,625	3,683	MN	.893	3,625	.05/06/2014	.05/13/2024
46647P-AR-7	JPMORGAN CHASE & CO	1,2,5	1FE		127,788	109,5399	136,925	.125,000	.127,587		(201)				4,005	3,713	AO	.946	5,000	.03/20/2019	.04/23/2029
48305Q-AC-7	KAISER FOUNDATION HOSPITALS	1,2	1FE		49,818	104,2691	52,135	.50,000	.49,862		16				3,500	3,193	MN	.263	1,575	.04/25/2017	.05/01/2027
49446R-AN-9	KIMCO REALTY CORP	1,2	2FE		49,660	103,2642	51,632	.50,000	.49,854		48				3,400	3,509	MN	.283	1,700	.10/07/2015	.11/01/2022
52107Q-AJ-4	LAZARD GROUP LLC	1,2	1FE		99,079	111,0501	111,050	100,000	.99,175		75				4,500	4,616	MS	.1,275	4,500	.09/12/2018	.09/19/2028
53245T-BV-9	ELI LILLY AND CO	1,2	1FE		100,322	107,6920	107,692	100,000	.100,303		(19)				3,375	3,336	MS	.994	1,903	.03/08/2019	.03/15/2029
548661-DP-9	LOWE'S COMPANIES INC	1,2	2FE		74,885	103,3013	77,476	.75,000	.74,913		10				3,100	3,118	MN	.375	2,325	.04/19/2017	.05/03/2027
571748-BG-6	MARSH & MCLENNAN COMPANIES INC	1,2	1FE		52,143	113,9865	56,993	.50,000	.52,008		(135)				4,375	3,841	MS	.644	1,458	.03/20/2019	.03/15/2029
57636Q-AJ-3	MASTERCARD INC	1,2	1FE		14,965	108,2645	16,240	.15,000	.14,971		3				3,500	3,528	FA	.182	525	.02/21/2018	.02/26/2028
579780-AK-3	MCCORMICK & COMPANY INC	1,2	2FE		24,816	101,9182	25,480	.25,000	.24,885		17				3,250	3,337	MN	.104	813	.11/03/2015	.11/15/2025
58013M-FF-6	MCDONALD'S CORP	1,2	2FE		99,891	108,5347	108,535	100,000	.99,909		9				3,800	3,813	AO	.950	3,800	.03/14/2018	.04/01/2028
58507L-AC-3	MEDTRONIC GLOBAL HOLDINGS SCA	C, 1,2	1FE		100,000	106,0300	100,000	100,000				3,350	3,350	AO	.898	3,350	.03/21/2017	.04/01/2027			
58933Y-AW-5	MERCK & CO INC	1,2	1FE		119,277	116,6434	116,643	100,000	.119,164		(113)				4,000	2,999	MS	.1,267		.09/23/2019	.03/07/2049
594918-BQ-6	MICROSOFT CORP	1,2	1FE		97,102	100,6044	100,604	100,000	.97,625		523				2,000	2,696	FA	.794	1,000	.02/26/2019	.08/08/2023
61746B-EF-9	MORGAN STANLEY	1	1FE		95,386	106,2579	106,258	100,000	.95,857		471				3,625	4,313	JU	.1,621	3,625	.01/16/2019	.01/20/2027
637432-ND-3	NATIONAL RURAL UTILITIES COOPERATIVE FIN	1,2	1FE		44,973	102,9465	46,326	.45,000	.44,986		3				2,850	2,857	JJ	.549	1,283	.01/20/2015	.01/27/2025
637432-NL-5	NATIONAL RURAL UTILITIES COOPERATIVE FIN	1,2	1FE		59,883	103,1863	61,912	.60,000	.59,929		16				2,950	2,981	FA	.708	1,770	.01/31/2017	.02/07/2024
66989H-AJ-7	NOVARTIS CAPITAL CORP	1,2	1FE		100,500	104,8436	104,844	100,000	.100,445		(55)				3,000	2,914	MN	.342	3,000	.03/12/2019	.11/20/2025
67021C-AK-3	NSTAR ELECTRIC CO	1,2	1FE		99,519	104,2643	104,264	100,000	.99,700		46				3,250	3,307	MN	.415	3,250	.11/13/2015	.11/15/2025
67077M-AD-0	NUTRIEN LTD	C, 1,2	2FE		24,880	101,8388	25,460	.25,000	.24,933		12				3,000	3,055	AO	.188	750	.03/23/2017	.04/01/2025
69371R-NJ-3	PACCAR FINANCIAL CORP	1	1FE		64,968	101,0926	65,710	.65,000	.64,987		10				2,800	2,817	MS	.607	1,820	.02/22/2018	.03/01/2021
70109H-AM-7	PARKER HANNIFIN CORP	1,2	2FE		54,941	110,6821	60,875	.55,000	.54,954		2				4,200	4,208	MN	.257	2,311	.11/18/2014	.11/21/2034
709599-AZ-7	PENSKE TRUCK LEASING CO LP	1	1FE		49,806	105,8053	52,903	.50,000	.49,851		25				3,950	4,014	MS	.609	1,975	.03/05/2018	.03/10/2025
713448-BR-8	PEPSICO INC	1	1FE		37,276	101,0626	40,425	.40,000	.39,720		325				3,125	3,390	MN	.208	1,250	.04/19/2011	.11/01/2020
713448-DN-5	PEPSICO INC	1,2	1FE		47,376	101,4409	.50,720	.50,000	.47,625		250				2,375	3,161	AO	.280	1,184	.03/12/2019	.10/06/2026
717081-ES-8	PFIZER INC	1,2	1FE		64,967	103,8331	.67,492	.65,000	.64,972		5				2,950	2,961	MS	.565	980	.03/04/2019	.03/15/2024
718546-AS-3	PHILLIPS 66	2	2FE		100,000	100,0351	.100,035	100,000	.100,000					2,517	2,561	FMAN	.252	3,140	.02/26/2018	.02/26/2021	
755111-BX-8	RAYTHEON CO	1,2	1FE		99,691	102,0244	102,024	100,000	.99,901		32				2,500	2,535	JD	.111	1,250	.11/27/2012	.12/15/2022
756109-AR-5	REALTY INCOME CORP	1,2	1FE		51,164	109,2987	54,649	.50,000	.50,861		(113)				4,1						

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1 CUSIP Identification	2 Description	Codes			6 NAIC Design- ation and Adminis- trative Symbol	7 Rate Used to Obtain Fair Value	Fair Value		10 Book/ Adjusted Carrying Value	11 Unrealized Value (Increase/ Decrease)	Change in Book/Adjusted Carrying Value				16 Total Foreign Exchange Change in Book/ Adjusted Carrying Value	17 Current Year's Other- Than- Temporary Impairment Recognized	18 Current Year's Other- Than- Temporary Impairment Recognized	Interest			Dates		
		3 C o d e	4 F o r e i g n	5 Bond Char			8 Actual Cost	9 Fair Value			12 Book/ Adjusted Carrying Value	13 Unrealized Value (Increase/ Decrease)	14 Current Year's Other- Than- Temporary Impairment Recognized	15 Current Year's Other- Than- Temporary Impairment Recognized				19 Admitted Amount Due and Accrued	20 Amount Received During Year	21 Acquired	22 Stated Contractual Maturity Date		
87236Y-AE-8	TD AMERITRADE HOLDING CORP.		1,2	.1FE		24,941	102,1634	25,541	25,000	24,980					2,950	2,986	A0		184	738	03/04/2015	04/01/2022	
891140-CB-2	TORONTO-DOMINION BANK	C.	1	.1FE		101,093	104,3895	104,390	100,000	100,939		(154)			3,250	3,010	MS	993	1,625	04/02/2019	03/11/2024		
89152U-AD-4	TOTAL CAPITAL SA	C.	1	.1FE		41,276	101,1181	40,447	40,000	40,079		(160)			4,450	4,4030	JD		35	1,780	04/18/2011	06/24/2020	
89236T-DK-8	TOYOTA MOTOR CREDIT CORP.		1	.1FE		99,736	100,8370	100,837	100,000	99,853		37			2,250	2,291	A0	456	2,250	10/13/2016	10/18/2023		
908906-AC-4	MUFG AMERICAS HOLDINGS CORP		1	.1FE		82,157	103,5159	82,813	80,000	80,713		(275)			3,500	3,121	JD	101	2,800	05/02/2014	06/18/2022		
91159H-HS-2	U.S. BANCORP		2	.1FE		106,324	112,2538	112,254	100,000	105,854		(470)			3,900	3,089	A0	704	3,900	03/25/2019	04/26/2028		
913017-CY-3	UNITED TECHNOLOGIES CORP.		1,2	.2FE		112,621	112,5187	112,519	100,000	112,546		(75)			4,125	2,499	INN	516	516	12/09/2019	11/16/2028		
91324P-DJ-8	UNITEDHEALTH GROUP INC	C.	1	.1FE		103,186	104,6867	104,687	100,000	102,749		(437)			3,500	2,661	JD	156	3,500	05/30/2019	06/15/2023		
92826C-AD-4	VISA INC		1,2	.1FE		101,233	105,6447	105,645	100,000	100,836		(132)			3,150	2,990	JD	149	3,150	11/16/2016	12/14/2025		
931142-ED-1	WALMART INC		1,2	.1FE		99,712	107,0546	107,055	100,000	99,768		38			3,550	3,597	JD	49	3,550	06/20/2018	06/26/2025		
931427-AQ-1	WALGREENS BOOTS ALLIANCE INC		1,2	.2FE		75,067	101,5508	76,163	75,000	75,053		(7)			3,450	3,438	JD	216	2,588	06/29/2017	06/01/2026		
95000U-2A-0	WELLS FARGO & CO		2,5	.1FE		96,606	106,1075	106,108	100,000	96,909		303			3,584	4,023	MN	388	3,584	01/07/2019	05/22/2028		
961214-CS-0	WESTPAC BANKING CORP	C.	1	.1FE		99,925	100,5478	100,548	100,000	99,986		15			2,600	2,616	MN	274	2,600	11/17/2015	11/23/2020		
983919-AH-4	XILINX INC		1	.1FE		54,605	101,1431	55,629	55,000	54,927		59			3,000	3,114	MS	486	1,650	03/05/2014	03/15/2021		
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations							8,790,464	XXX	9,170,890	8,735,000	8,789,764		(345)				XXX	XXX	XXX	68,047	268,508	XXX	XXX
36252A-AA-4	GSMS 15GS1 A1 - CMBS		4	.1FM		19,233	99,9170	19,218	19,234	19,227		(1)			1,935	1,912	MON	31	351	11/16/2015	11/13/2048		
94989X-AY-1	WFCM 15NXS4 A1 - CMBS		4	.1FM		14,690	99,9300	14,680	14,690	14,682		(1)			1,889	1,845	MON	23	257	11/19/2015	12/17/2048		
3499999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Commercial Mortgage-Backed Securities							33,923	XXX	33,898	33,924	33,910		(2)				XXX	XXX	XXX	54	608	XXX	XXX
05522R-CW-6	BACCT 171 A - ABS		4	.1FE		100,0038	75,003	75,000	75,000	75,000		9			1,950	1,970	MON	65	1,463	03/23/2017	08/15/2022		
17305E-FX-8	CCCT 16A2 A2 - ABS		4	.1FE		99,991	100,5722	100,572	100,000	100,000		0			2,190	2,189	MN	256	2,190	11/29/2016	11/20/2023		
98161Y-AB-0	WOLS 18A A2 - ABS		4	.1FE		3,738	100,0153	3,739	3,738	3,738		0			2,590	2,608	MON	4	84	03/06/2018	11/16/2020		
3599999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Other Loan-Backed and Structured Securities							103,729	XXX	179,314	178,738	178,738		9				XXX	XXX	XXX	325	3,737	XXX	XXX
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds							8,928,117	XXX	9,384,101	8,947,662	9,002,412		(388)				XXX	XXX	XXX	68,426	272,852	XXX	XXX
4899999. Total - Hybrid Securities								XXX									XXX	XXX	XXX			XXX	XXX
5599999. Total - Parent, Subsidiaries and Affiliates Bonds								XXX									XXX	XXX	XXX			XXX	XXX
6099999. Subtotal - SVO Identified Funds								XXX									XXX	XXX	XXX			XXX	XXX
6599999. Subtotal - Unaffiliated Bank Loans								XXX									XXX	XXX	XXX			XXX	XXX
7699999. Total - Issuer Obligations							12,915,171	XXX	13,374,498	12,795,000	12,864,752		(6,723)				XXX	XXX	XXX	98,866	359,536	XXX	XXX
7799999. Total - Residential Mortgage-Backed Securities							4,700,548	XXX	4,737,236	4,551,350	4,692,336		(5,134)				XXX	XXX	XXX	13,501	120,256	XXX	XXX
7899999. Total - Commercial Mortgage-Backed Securities							392,705	XXX	407,344	383,582	390,428		(1,210)				XXX	XXX	XXX	1,116	13,353	XXX	XXX
7999999. Total - Other Loan-Backed and Structured Securities							103,729	XXX	179,314	178,738	178,738		9				XXX	XXX	XXX	325	3,737	XXX	XXX
8099999. Total - SVO Identified Funds								XXX									XXX	XXX	XXX			XXX	XXX
8199999. Total - Affiliated Bank Loans								XXX									XXX	XXX	XXX			XXX	XXX
8299999. Total - Unaffiliated Bank Loans								XXX									XXX	XXX	XXX			XXX	XXX
8399999 - Total Bonds							18,112,154	XXX	18,698,393	17,908,670	18,126,254		(13,058)				XXX	XXX	XXX	113,808	496,882	XXX	XXX

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE D - PART 2 - SECTION 1**

Showing All PREFERRED STOCKS Owned December 31 of Current Year

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE D - PART 2 - SECTION 2**

Showing All COMMON STOCKS Owned December 31 of Current Year

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## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE D - PART 3**

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends
912828-V9-8	UNITED STATES TREASURY		12/13/2019	GOLDMAN	103,281	.100,000		.752
912828-YH-7	UNITED STATES TREASURY		10/29/2019	NOMURA SECURITIES/FIXED INCOME	744,111	.750,000		.922
912828-YK-0	UNITED STATES TREASURY		11/04/2019	BARCLAYS CAPITAL INC	149,045	.150,000		.118
<b>0599999. Subtotal - Bonds - U.S. Governments</b>					996,438		1,000,000	1,793
3132AD-PF-9	FH ZT1322 - RMBS		11/01/2019	JP MORGAN SECURITIES LLC	258,175	.241,109		.134
3132DV-4H-5	FH SD8024 - RMBS		11/01/2019	MIZUHO SECURITIES USA/FIXED INCOME	253,176	.249,137		.83
3132DV-4P-7	FH SD8030 - RMBS		11/06/2019	BARCLAYS CAPITAL INC	507,168	.500,000		.292
31339S-BB-0	FH QA2734 - RMBS		10/25/2019	BARCLAYS CAPITAL INC	253,965	.251,159		.586
3141BD-BF-8	FN MA3637 - RMBS		08/21/2019	CITIGROUP GLOBAL MARKETS INC	153,026	.149,032		.362
<b>3199999. Subtotal - Bonds - U.S. Special Revenues</b>					1,425,509		1,390,437	1,457
06367W-HH-9	BANK OF MONTREAL	C	01/31/2019	BONY MELLON/BMO CAP MKTS	99,794	.100,000		
064159-KD-7	BANK OF NOVA SCOTIA	C	02/01/2019	DEUTSCHE BANK SECURITIES, INC.	97,687	.100,000		.926
103730-AB-6	BP CAPITAL MARKETS AMERICA INC	C	05/29/2019	PERSHING DIV OF DLJ SEC LNDING	104,403	.100,000		.738
191216-BS-8	COCA-COLA CO	C	03/08/2019	RBC CAPITAL MARKETS	99,550	.100,000		1,078
459200-JV-8	INTERNATIONAL BUSINESS MACHINES CORP		06/04/2019	PERSHING DIV OF DLJ SEC LNDING	101,142	.100,000		.175
46647P-AR-7	JPMORGAN CHASE & CO		03/20/2019	US BANCORP INVESTMENTS INC.	127,788	.125,000		2,072
532457-BV-9	ELI LILLY AND CO		03/08/2019	CREDIT SUISSE SECURITIES (USA)	100,322	.100,000		.188
571748-BG-6	MARSH & MCLENNAN COMPANIES INC		03/20/2019	GOLDMAN	52,143	.50,000		.438
58933Y-AW-5	MERCK & CO INC		09/23/2019	CANTOR FITZGERALD + CO	119,277	.100,000		.200
594918-BQ-6	MICROSOFT CORP		02/26/2019	US BANCORP INVESTMENTS INC	97,102	.100,000		.111
61746B-EF-9	MORGAN STANLEY		01/16/2019	US BANCORP INVESTMENTS INC	95,386	.100,000		1,792
66989H-AJ-7	NOVARTIS CAPITAL CORP	C	03/12/2019	CREDIT SUISSE SECURITIES (USA)	100,500	.100,000		.950
713448-DN-5	PEPSICO INC		03/12/2019	WELLS FARGO SECURITIES LLC	47,376	.50,000		.521
717081-ES-8	PFIZER INC		03/04/2019	BARCLAYS CAPITAL INC	64,967	.65,000		
767201-AD-8	RIO TINTO FINANCE (USA) LTD	C	08/20/2019	MORGAN STANLEY CO	103,099	.75,000		.549
822582-CE-0	SHELL INTERNATIONAL FINANCE BV	C	11/12/2019	SUNTRUST ROBINSON HUMPHREY INC	96,833	.100,000		.61
891140-CB-2	TORONTO-DOMINION BANK	C	04/02/2019	GOLDMAN	101,093	.100,000		.208
91159H-HS-2	U.S. BANCORP		03/25/2019	US BANCORP INVESTMENTS INC	106,324	.100,000		1,636
913017-CY-3	UNITED TECHNOLOGIES CORP		12/09/2019	BANC OF AMERICA/FIXED INCOME	112,621	.100,000		.286
91324P-DJ-8	UNITEDHEALTH GROUP INC		05/30/2019	BNP Paribas	103,186	.100,000		1,633
95000U-2A-0	WELLS FARGO & CO		01/07/2019	PERSHING DIV OF DLJ SEC LNDING	96,606	.100,000		.468
<b>3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>					2,027,198		1,965,000	14,030
<b>8399997. Total - Bonds - Part 3</b>					4,449,144		4,355,437	17,279
<b>8399998. Total - Bonds - Part 5</b>					3,297,783		3,300,000	6,940
<b>8399999. Total - Bonds</b>					7,746,927		7,655,437	24,219
<b>8999997. Total - Preferred Stocks - Part 3</b>						XXX		
<b>8999998. Total - Preferred Stocks - Part 5</b>						XXX		
<b>8999999. Total - Preferred Stocks</b>						XXX		
<b>9799997. Total - Common Stocks - Part 3</b>						XXX		
<b>9799998. Total - Common Stocks - Part 5</b>						XXX		
<b>9799999. Total - Common Stocks</b>						XXX		
<b>9899999. Total - Preferred and Common Stocks</b>						XXX		
<b>9999999 - Totals</b>					7,746,927	XXX		24,219

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1 CUSIP Identifi- cation	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Con- sideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date
										11 Unrealized Value Increase/ Decrease	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other- Than- Temporary Impairment Recognized	14 Total Change in Book/ Adjusted Carrying Value (11+12-13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value						
36180M-2R-7	G2 AD8884 - RMBS		08/01/2019	VARIOUS		115,102	.111,582	.112,148	.112,147		(73)		(73)		.112,074		.3,028	.3,028	.2,507	.03/20/2043
36202F-I7-8	G2 004882 - RMBS		12/01/2019	Paydown		.10,230	.10,467	.10,460			(230)		(230)		.10,230		.0	.0	.276	.12/20/2040
36202F-PF-7	G2 004922 - RMBS		12/01/2019	Paydown		.10,037	.10,037	.10,295	.10,289		(252)		(252)		.10,037		.0	.0	.266	.01/20/2041
36202F-TL-0	G2 005055 - RMBS		12/01/2019	Paydown		.13,072	.13,072	.13,848	.13,860		(789)		(789)		.13,072		.0	.0	.400	.05/20/2041
36202F-UE-4	G2 005081 - RMBS		12/01/2019	Paydown		.8,291	.8,291	.8,525	.8,529		(238)		(238)		.8,291		.0	.0	.228	.06/20/2041
36202F-UF-1	G2 005082 - RMBS		12/01/2019	Paydown		.8,206	.8,206	.8,668	.8,676		(470)		(470)		.8,206		.0	.0	.245	.06/20/2041
36202F-Y5-9	G2 005232 - RMBS		12/01/2019	Paydown		.32,598	.32,598	.33,765	.33,707		(1,109)		(1,109)		.32,598		.0	.0	.747	.11/20/2041
36241L-S3-1	GN 783238 - RMBS		12/01/2019	Paydown		.8,339	.8,339	.8,594	.8,594		(256)		(256)		.8,339		.0	.0	.222	.01/15/2041
912828-5P-1	UNITED STATES TREASURY		07/29/2019	JP MORGAN SECURITIES LLC		.599,977	.575,000	.578,504	.578,464		(381)		(381)		.578,084		.21,893	.21,893	.10,976	.11/30/2023
912828-M5-6	UNITED STATES TREASURY		07/29/2019	JP MORGAN SECURITIES LLC		.204,055	.200,000	.193,785	.194,670		.410		.410		.195,081		.8,974	.8,974	.3,179	.11/15/2025
912828-U3-2	UNITED STATES TREASURY		11/15/2019	Maturity @ 100.00		.100,000	.100,000	.98,371	.99,506		.494		.494		.100,000		.0	.0	.1,000	.11/15/2019
0599999. Subtotal - Bonds - U.S. Governments						1,109,906	1,077,355	1,076,969	1,078,905		(2,994)		(2,894)		1,076,011		33,895	33,895	20,046	XXX
13063C-NA-4	CALIFORNIA ST		07/17/2019	PERSHING DIV OF DLJ SEC		.118,721	.100,000	.121,224	.113,077		(1,161)		(1,161)		.111,917		.6,804	.6,804	.4,000	.10/01/2027
546415-T8-3	LOUISIANA ST		04/08/2019	LNDING RBC CAPITAL MARKETS		.86,656	.75,000	.91,178	.84,796		(447)		(447)		.84,349		.2,307	.2,307	.2,594	.08/01/2025
574193-LF-3	MARYLAND ST		03/11/2019	CITIGROUP GLOBAL MARKETS, INC./CORRESPON		.111,538	.100,000	.116,002	.110,629		(351)		(351)		.110,277		.1,261	.1,261	.2,467	.08/01/2024
93974C-8C-7	WASHINGTON ST		04/08/2019	RAYMOND JAMES & ASSOCIATES		.141,444	.125,000	.152,375	.140,031		(874)		(874)		.139,157		.2,287	.2,287	.4,844	.07/01/2024
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						458,359	400,000	480,779	448,533		(2,834)		(2,834)		445,700		12,659	12,659	13,904	XXX
882723-ZD-4	TEXAS ST		03/11/2019	United Missouri Kansas City		.118,585	.100,000	.123,427	.116,649		(445)		(445)		.116,204		.2,381	.2,381	.2,250	.10/01/2026
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						118,585	100,000	123,427	.116,649		(445)		(445)		.116,204		2,381	2,381	2,250	XXX
3128M9-2M-3	FH G07680 - RMBS		12/01/2019	Paydown		.3,516	.3,516	.3,713	.3,706		(190)		(190)		.3,516		.0	.0	.96	.04/01/2044
3128MJ-V2-3	FH G08632 - RMBS		12/01/2019	Paydown		.6,478	.6,478	.6,766	.6,768		(290)		(290)		.6,478		.0	.0	.152	.03/01/2045
3128MJ-XX-3	FH G08693 - RMBS		12/01/2019	Paydown		.13,711	.13,711	.14,133	.14,136		(425)		(425)		.13,711		.0	.0	.332	.03/01/2046
3128MJ-Z9-4	FH G08767 - RMBS		12/01/2019	Paydown		.12,462	.12,462	.13,165	.13,152		(690)		(690)		.12,462		.0	.0	.358	.06/01/2047
3128MJ-ZF-0	FH G08741 - RMBS		12/01/2019	Paydown		.9,972	.9,972	.9,816	.9,824		.148		.148		.9,972		.0	.0	.199	.01/01/2047
3128MJ-ZH-6	FH G08743 - RMBS		12/01/2019	Paydown		.10,234	.10,234	.10,773	.10,763		(529)		(529)		.10,234		.0	.0	.284	.01/01/2047
3128MJ-ZP-8	FH G08749 - RMBS		12/01/2019	Paydown		.11,574	.11,574	.12,149	.12,154		(580)		(580)		.11,574		.0	.0	.322	.02/01/2047
3128MM-VZ-3	FH G18631 - RMBS		08/01/2019	VARIOUS		.134,325	.133,670	.134,152	.134,061		(61)		(61)		.134,000		.324	.324	.2,147	.02/01/2032
3128MM-WI-8	FH G18656 - RMBS		12/01/2019	Paydown		.10,781	.10,781	.11,037	.11,030		(249)		(249)		.10,781		.0	.0	.239	.08/01/2032
31307N-E3-4	FH J31954 - RMBS		12/01/2019	Paydown		.8,019	.8,019	.8,420	.8,398		(378)		(378)		.8,019		.0	.0	.184	.12/01/2028
3132AD-PF-9	FH ZT1322 - RMBS		12/01/2019	Paydown		.10,504	.10,504	.11,248			(744)		(744)		.10,504		.0	.0	.44	.11/01/2048
3132DV-4H-5	FH SD8024 - RMBS		12/01/2019	Paydown		.1,000	.1,000	.1,016			(16)		(16)		.1,000		.0	.0	.3	.11/01/2049
3132DV-4P-7	FH SD8030 - RMBS		12/01/2019	Paydown		.1,610	.1,610	.1,634			(23)		(23)		.1,610		.0	.0	.4	.12/01/2049
3132GD-RW-4	FH Q00501 - RMBS		12/01/2019	Paydown		.5,873	.5,873	.6,089	.6,048		(175)		(175)		.5,873		.0	.0	.195	.05/01/2041
3132K2-5A-0	FH Q04741 - RMBS		12/01/2019	Paydown		.1,601	.1,601	.1,712	.1,715		(114)		(114)		.1,601		.0	.0	.49	.11/01/2041
3132M9-2R-4	FH Q29184 - RMBS		12/01/2019	Paydown		.7,268	.7,268	.7,764	.7,778		(509)		(509)		.7,268		.0	.0	.207	.10/01/2044
3132XU-SK-2	FH 052321 - RMBS		12/01/2019	Paydown		.16,618	.16,618	.17,423	.17,400		(782)		(782)		.16,618		.0	.0	.509	.11/01/2047
31335A-S7-4	FH G60542 - RMBS		12/01/2019	Paydown		.6,685	.6,685	.7,148	.7,141		(456)		(456)		.6,685		.0	.0	.183	.09/01/2045
31339G-BB-0	FH QA2734 - RMBS		12/01/2019	Paydown		.3,610	.3,610	.3,651			(40)		(40)		.3,610		.0	.0	.12	.09/01/2049
3137FJ-JV-2	FHMS K083 A1 - CMBS		12/01/2019	Paydown		.315	.315	.321	.321		(6)		(6)		.315		.0	.0	.7	.07/25/2028
3138E7-TV-6	FN AK3263 - RMBS		12/01/2019	Paydown		.14,462	.14,462	.14,923	.14,840		(378)		(378)		.14,462		.0	.0	.280	.02/01/2027
3138EJ-3Y-2	FN AL2614 - RMBS		12/01/2019	Paydown		.11,742	.11,742	.12,326	.12,345		(602)		(602)		.11,742		.0	.0	.282	.11/01/2042
3138EQ-7L-0	FN AL8098 - RMBS		12/01/2019	Paydown		.8,433	.8,433	.9,047	.9,045		(612)		(612)		.8,433		.0	.0	.224	.06/01/2043
3138M0-AK-6	FN A08109 - RMBS		12/01/2019	Paydown		.10,276	.10,276	.10,669	.10,665		(389)		(389)		.10,276		.0	.0	.231	.08/01/2042
3138M5-EA-3	FN AP1928 - RMBS		12/01/2019	Paydown		.4,767	.4,767	.5,079	.5,074		(306)		(306)		.4,767		.0	.0	.140	.08/01/2042
3138M5-LP-2	FN AP2133 - RMBS		12/01/2019	Paydown		.4,984	.4,984	.5,171	.5,157		(172)		(172)		.4,984		.0	.0	.115	.08/01/2042
3138MB-XL-5	FN AP7882 - RMBS		12/01/2019	Pay																

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1 CUSIP Identifi- cation	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Con- sideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date
										11 Unrealized Value Increase/ Decrease	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other- Than- Temporary Impairment Recognized	14 Total Change in Book/ Adjusted Carrying Value (11+12-13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value						
3138WID-ME-8	FN AS3956 - RMBS		12/01/2019	Paydown		.7,355	.7,355	.7,876	.7,893		(537)		(537)		.7,355		.0	0	.209	.12/01/2044
3138WE-6G-9	FN AS5370 - RMBS		12/01/2019	Paydown		.8,229	.8,229	.8,568	.8,548		(320)		(320)		.8,229		.194	.07/01/2045		
3138WE-KB-4	FN AS4789 - RMBS		12/01/2019	Paydown		.7,072	.7,072	.7,356	.7,344		(272)		(272)		.7,072		.134	.04/01/2045		
3138WE-KG-3	FN AS4794 - RMBS		12/01/2019	Paydown		.4,212	.4,212	.4,395	.4,382		(170)		(170)		.4,212		.96	.04/01/2045		
3138WF-NN-2	FN AS5796 - RMBS		12/01/2019	Paydown		.8,911	.8,911	.9,301	.9,248		(337)		(337)		.8,911		.173	.09/01/2030		
3138WG-BA-1	FN AS6332 - RMBS		12/01/2019	Paydown		11,407	11,407	12,004	11,989		(582)		(582)		11,407		.273	.12/01/2045		
3138WG-BW-3	FN AS6352 - RMBS		12/01/2019	Paydown		12,045	12,045	12,607	12,609		(565)		(565)		12,045		.353	.12/01/2045		
3138WG-DN-1	FN AS6408 - RMBS		12/01/2019	Paydown		.7,782	.7,782	.8,143	.8,134		(352)		(352)		.7,782		.189	.01/01/2046		
3138WJ-H3-5	FN AS8349 - RMBS		12/01/2019	Paydown		.11,200	.11,200	.11,517	.11,481		(280)		(280)		.11,200		.0	0	.218	.11/01/2036
3138WJ-K5-6	FN AS8415 - RMBS		12/01/2019	Paydown		.10,846	.10,846	.10,794	.10,794		53		53		.10,846		.0	0	.230	.11/01/2046
3138WK-4X-0	FN AS9837 - RMBS		12/01/2019	Paydown		.15,409	.15,409	.15,391	.15,387		22		22		.15,409		.0	0	.293	.06/01/2032
3138WL-C2-7	FN AS9988 - RMBS		12/01/2019	Paydown		.21,183	.21,183	.22,198	.22,174		(991)		(991)		.21,183		.670	.07/01/2047		
3138WV-X5-5	FN AT7899 - RMBS		12/01/2019	Paydown		.9,042	.9,042	.9,482	.9,484		(443)		(443)		.9,042		.0	0	.223	.07/01/2043
3138X4-V9-8	FN AU5139 - RMBS		12/01/2019	Paydown		.6,729	.6,729	.7,014	.7,022		(293)		(293)		.6,729		.168	.08/01/2043		
3138Y6-3S-1	FN AX5308 - RMBS		12/01/2019	Paydown		.6,275	.6,275	.6,582	.6,581		(306)		(306)		.6,275		.0	0	.140	.01/01/2042
3140EV-T7-2	FN BC1473 - RMBS		12/01/2019	Paydown		.13,990	.13,990	.13,972	.13,969		21		21		.13,990		.0	0	.229	.07/01/2031
3140FM-DF-0	FN BE1901 - RMBS		12/01/2019	Paydown		.7,028	.7,028	.7,032	.7,030		(1)		(1)		.7,028		.0	0	.140	.12/01/2046
3140J5-LM-9	FN BM1231 - RMBS		12/01/2019	Paydown		.14,713	.14,713	.15,261	.15,231		(517)		(517)		.14,713		.326	.11/01/2031		
3140J8-HC-0	FN BM3826 - RMBS		12/01/2019	Paydown		.22,448	.22,448	.23,157	.23,154		(706)		(706)		.22,448		.692	.04/01/2048		
3140J9-EB-3	FN BM4629 - RMBS		08/01/2019	VARIOUS		103,833	98,730	99,008	99,002		(50)		(50)		.98,952		.4,881	.4,881	.2,578	.10/01/2048
3140J9-LV-1	FN BM4839 - RMBS		08/01/2019	VARIOUS		104,326	99,297	.99,421	.99,415		(37)		(37)		.99,378		.4,948	.4,948	.2,605	.10/01/2048
3140Q7-LE-5	FN CA0324 - RMBS		12/01/2019	Paydown		.13,877	.13,877	.14,559	.14,582		(705)		(705)		.13,877		.0	0	.421	.09/01/2047
3140QB-M9-3	FN CA1283 - RMBS		12/01/2019	Paydown		.10,393	.10,393	.10,586	.10,572		(180)		(180)		.10,393		.0	0	.255	.02/01/2033
31417B-TA-9	FN AB5388 - RMBS		12/01/2019	Paydown		.5,362	.5,362	.5,737	.5,737		(375)		(375)		.5,362		.114	.06/01/2042		
31417C-S3-0	FN AB5937 - RMBS		12/01/2019	Paydown		.5,712	.5,712	.6,014	.5,999		(287)		(287)		.5,712		.0	0	.135	.08/01/2042
31417D-TR-4	FN AB6859 - RMBS		12/01/2019	Paydown		.8,235	.8,235	.8,559	.8,557		(322)		(322)		.8,235		.0	0	.207	.11/01/2042
31417G-5A-0	FN AB9840 - RMBS		12/01/2019	Paydown		.8,255	.8,255	.8,665	.8,653		(398)		(398)		.8,255		.0	0	.199	.07/01/2043
31417G-TF-3	FN AB9549 - RMBS		08/01/2019	VARIOUS		.126,167	.124,404	.124,676	.124,568		(31)		(31)		.124,537		.1,630	.1,630	.2,371	.06/01/2043
31418C-E6-7	FN MA2856 - RMBS		12/01/2019	Paydown		.8,891	.8,891	.9,479	.9,441		(550)		(550)		.8,891		.0	0	.228	.12/01/2036
31418C-F4-4	FN MA2866 - RMBS		12/01/2019	Paydown		.10,663	.10,663	.10,738	.10,726		(64)		(64)		.10,663		.0	0	.210	.01/01/2037
31418C-ND-2	FN MA3087 - RMBS		12/01/2019	Paydown		.13,451	.13,451	.13,805	.13,788		(337)		(337)		.13,451		.0	0	.338	.08/01/2047
31418C-OB-3	FN MA3149 - RMBS		12/01/2019	Paydown		.18,186	.18,186	.18,881	.18,880		(694)		(694)		.18,186		.0	0	.508	.10/01/2047
31418D-BF-8	FN MA3637 - RMBS		12/01/2019	Paydown		.24,782	.24,782	.25,446	.25,446		(664)		(664)		.24,782		.179	.04/01/2049		
575579-ZG-5	MASSACHUSETTS BAY TRANSMISSION AUTH MASS SALES		07/17/2019	Stifel Nicolaus & Co.		.89,938	.75,000	.90,605	.85,989		(860)		(860)		.85,129		.4,809	.4,809	.3,938	.07/01/2029
65830R-CE-7	NORTH CAROLINA TPK AUTH TRIANGLE EXPYI S		08/07/2019	Unknown		.110,659	.100,000	.111,272	.111,221		(561)		(561)		.110,659		.0	0	.3,264	.01/01/2036
31999999. Subtotal - Bonds - U.S. Special Revenues						1,233,474	1,195,327	1,244,656	1,196,266		(22,378)		(22,378)		1,216,882		16,592	16,592	30,143	XXX
002824-BE-9	ABBOTT LABORATORIES		04/01/2019	Call @ 100.00		53,402	53,402	.53,398	.53,401		1		1		.53,402		.45	.45	.1130/2023	
02007M-AD-2	ALLY 181 A2 - ABS		09/01/2019	Paydown															.411	.09/15/2020
035242-AJ-5	ANHEUSER-BUSCH INBEV FINANCE INC		10/29/2019	VARIOUS		.37,364	.37,000	.36,884	.36,950		19		19		.36,970		.30	.30	.1,584	.02/01/2021
06406H-CU-1	BANK OF NEW YORK MELLON CORP		05/15/2019	Maturity @ 100.00		.55,000	.55,000	.54,893	.54,992		8		8		.55,000		.605	.05/15/2019		
118230-AJ-0	BUCKEYE PARTNERS LP		05/13/2019	BARCLAYS CAPITAL INC		.50,813	.50,000	.51,796	.50,522		(101)		(101)		.50,420		.392	.392	.1,923	.02/01/2021
12594C-BF-6	COMM 16DC2 A5 - CMBS		02/27/2019	LLC		.96,844	.95,000	.97,843	.97,098		(47)		(47)		.97,051		(207)	(207)	.894	.02/12/2049
200340-AP-2	COMERICA INC		05/23/2019	Maturity @ 100.00		20,000	20,000	20,000	20,000		(20,000)		(20,000)		20,000				213	.05/23/2019
209111-FE-8	CONSOLIDATED EDISON CO OF NEW YORK INC		07/29/2019	PERSHING DIV OF DLJ SEC		.93,038	.90,000	.89,688	.89,805		17		17		.89,823		.3,216	.3,216	.1,980	.12/01/2024
251526-BR-9	DEUTSCHE BANK AG (NEW YORK BRANCH)	C.	01/17/2019	PERSHING DIV OF DLJ SEC		.94,564	.100,000	.99,807	.99,837		2		2		.99,839		(5,275)	(5,275)	.1,591	.02/27/2023
263534-CL-1	E I DU PONT DE NEMOURS AND CO		05/17/2019	Call @ 100.00		.100,000	.100,000	.99,000	.99,105		249		249		.99,353		.647	.647	.1,198	.05/01/2020
26442C-AV-6	DUKE ENERGY CAROLINAS LLC		07/29/2019	LNDING		.154,416	.150,000	.1												

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1 CUSIP Identifi- cation	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Con- sideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	
										11 Unrealized Valuation Increase/ Decrease	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other- Than- Temporary Impairment Recognized	14 Total Change in Book/ Adjusted Carrying Value (11+12-13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value							
460146-CL-5	INTERNATIONAL PAPER CO		07/29/2019	WELLS FARGO SECURITIES		LLC	104,778	100,000	101,518	101,363		(104)		(104)		101,259		3,519	3,519	3,969	01/15/2026
478160-CF-9	JOHNSON & JOHNSON		01/10/2019	WELLS FARGO SECURITIES		LLC	96,741	100,000	99,746	99,766		0	0	0		99,766		(3,025)	(3,025)	1,319	03/03/2037
494568-AE-1	KINDER MORGAN INC		12/01/2019	Maturity @ 100.00	50,000	50,000	51,160	50,321			(321)			(321)		50,000				1,525	12/01/2019
512807-AM-0	LAM RESEARCH CORP		10/23/2019	PERSHING DIV OF DLJ SEC	75,139	LNDING	75,000	75,634	75,154		(111)		(111)		75,042		96	.96	2,292	03/15/2020	
59156R-AX-6	METLIFE INC		06/24/2019	VARIOUS	118,860	114,000	117,558	114,905		(199)		(199)		114,706		(706)	(706)	9,613	02/08/2021		
61746B-DQ-6	MORGAN STANLEY		01/16/2019	DEUTSCHE BANK	99,547	SECURITIES, INC.	100,000	100,722	100,327		(3)		(3)		100,324		(777)	(777)	850	04/29/2024	
713448-CX-4	PEPSICO INC		03/12/2019	SECURITIES (USA)	50,667	SECURITIES (USA)	50,000	49,991	49,995		0		0		49,996		.671	.671	1,020	07/17/2022	
718549-AA-6	PHILLIPS 66 PARTNERS LP		09/30/2019	PERSHING DIV OF DLJ SEC	70,070	LNDING	70,000	68,937	69,730		180		180		69,910		.160	.160	2,094	02/15/2020	
842400-GH-0	SOUTHERN CALIFORNIA EDISON CO		02/06/2019	PERSHING DIV OF DLJ SEC	146,589	LNDING	150,000	149,937	149,954		2		2		149,956		(3,367)	(3,367)	1,897	03/01/2021	
87236Y-AH-1	TD AMERITRADE HOLDING CORP		07/29/2019	PERSHING DIV OF DLJ SEC	105,232	LNDING	100,000	99,779	99,784		20		20		99,805		5,427	5,427	2,813	04/01/2024	
92343V-AX-2	VERIZON COMMUNICATIONS INC		07/29/2019	MORGAN STANLEY CO	155,816	150,000	148,718	149,666		83		83		149,748		6,067	6,067	5,750	04/01/2021		
92348M-AA-7	VZOT 162 A - ABS		04/01/2019	VARIOUS	84,359	84,573	84,564	84,571		1		1		84,572		(213)	(213)	330	05/20/2021		
94989X-AY-1	WFCM 15NX54 A1 - CMBS		12/01/2019	Paydown	20,626	20,626	20,617	20,617		9		9		20,626		0	0	.233	12/17/2048		
98161Y-AB-0	WOLS 18A A2 - ABS		12/15/2019	Paydown	55,039	55,039	55,036	55,038		1		1		55,039		835	835	.116	01/16/2020		
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					2,252,387	2,229,800	2,239,309	2,232,820		(257)		(257)		2,232,563		14,600	14,600	54,856	XXX		
8399997. Total - Bonds - Part 4					5,172,711	5,002,482	5,165,140	5,073,173		(28,806)		(28,806)		5,087,360		80,127	80,127	121,199	XXX		
8399998. Total - Bonds - Part 5					3,330,391	3,300,000	3,297,783			241		241		3,298,023		32,368	32,368	25,511	XXX		
8399999. Total - Bonds					8,503,102	8,302,482	8,462,923	5,073,173		(28,566)		(28,566)		8,385,383		112,495	112,495	146,709	XXX		
8999997. Total - Preferred Stocks - Part 4					XXX														XXX		
8999998. Total - Preferred Stocks - Part 5					XXX														XXX		
8999999. Total - Preferred Stocks					XXX														XXX		
9799997. Total - Common Stocks - Part 4					XXX														XXX		
9799998. Total - Common Stocks - Part 5					XXX														XXX		
9799999. Total - Common Stocks					XXX														XXX		
9899999. Total - Preferred and Common Stocks					XXX														XXX		
9999999 - Totals					8,503,102	XXX	8,462,923	5,073,173		(28,566)		(28,566)		8,385,383		112,495	112,495	146,709	XXX		

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 5

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1 CUSIP Identifi- cation	2 Description	3 For- eign	4 Date Acquired	5 Name of Vendor	6 Disposal Date	7 Name of Purchaser	8 Par Value (Bonds) or Number of Shares (Stock)	9 Actual Cost	10 Book/ Adjusted Value at Disposal	11 Consid- eration	Change in Book/Adjusted Carrying Value					17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Interest and Dividends Received During Year	21 Paid for Accrued Interest and Dividends
											12 Unrealized Valuation Increase/ (Decrease)	13 Current Year's (Amort- ization)/ Accretion	14 Current Year's Other- Than- Temporary Impairment Recognized	15 Total Change in Book/ Adjusted Carrying Value (12 + 13 - 14)	16 Total Foreign Exchange Change in Book/ Adjusted Carrying Value					
912828-5Z-9	UNITED STATES TREASURY		02/20/2019	VARIOUS	05/07/2019	Unknown	2,500,000	2,503,770	2,522,656	2,503,615		(155)		(155)			19,042	19,042	.16,575	.2,728
05999999. Subtotal - Bonds - U.S. Governments							2,500,000	2,503,770	2,522,656	2,503,615		(155)		(155)			19,042	19,042	16,575	2,728
037833-CU-2	APPLE INC		03/20/2019	BNP Paribas	07/29/2019	BARCLAYS CAPITAL INC	100,000	100,337	102,640	100,316		(21)		(21)			2,324	2,324	2,058	1,037
05971K-AD-1	BANCO SANTANDER SA	C	07/18/2019	BNP Paribas	07/29/2019	BARCLAYS CAPITAL INC	200,000	199,612	200,034	199,614		.2		.2			.420	.420	.511	.376
166764-BT-6	CHEVRON CORP		02/28/2019	PERSHING DIV OF DLJ SEC LNDING	05/07/2019	Unknown	200,000	199,584	200,906	199,598		14		14			1,309	1,309	1,029	16
17275R-BL-5	CISCO SYSTEMS INC		01/03/2019	BNP Paribas	07/29/2019	GOLDMAN	100,000	93,568	101,312	93,987		.419		.419			.7,325	.7,325	2,160	.743
822582-BD-3	SHELL INTERNATIONAL FINANCE BV	C	02/28/2019	PERSHING DIV OF DLJ SEC LNDING	05/07/2019	Unknown	200,000	200,912	202,842	200,894		(18)		(18)			.1948	.1948	3,178	2,040
38999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)							800,000	794,013	807,735	794,408		395		395			13,326	13,326	8,936	4,212
83999998. Total - Bonds							3,300,000	3,297,783	3,330,391	3,298,023		241		241			32,368	32,368	25,511	6,940
89999998. Total - Preferred Stocks																				
97999998. Total - Common Stocks																				
98999999. Total - Preferred and Common Stocks																				
99999999 - Totals								3,297,783	3,330,391	3,298,023		241		241			32,368	32,368	25,511	6,940

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE D - PART 6 - SECTION 1**

## Valuation of Shares of Subsidiary, Controlled or Affiliated Companies

1999999 - Totals

1. Amount of insurer's capital and surplus from the prior period's statutory statement

**SCHEDULE D - PART 6 - SECTION 2**

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## **SCHEDULE DA - PART 1**

Showing All SHORT-TERM INVESTMENTS Owned December 31 of Current Year

# NON E

E17

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of December 31 of Current Year

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price or Index	Notional Amount Received (Paid)	Initial Margin (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/(Decrease)	Total Foreign Exchange Change in B.A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Year-end (b)
1759999999 - Totals																						

**NON**

(a)	Code	Description of Hedged Risk(s)

(b)	Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE DB - PART A - SECTION 2**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Terminated During Current Year

1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule / Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expira- tion	8 Termina- tion Date	9 Cur- rency Date	10 Cur- rency Date	11 Notion Amount	12 Re- ceive Paid	13 Cumulative Prior Year(s) Accrued Interest and Accrued Premium Received (Paid)	14 Amount of Un- discounted Premium Received (Paid)	15 Conside- ra- tion Received (Paid)	16 Current Year Income	17 Book/ Adjusted Carrying Value	18 Unrealized Valua- tion Increase/ (Decrease)	19 Total Foreign Exchange Change in B./A.C.V.	20 Current Year's (Amortiza- tion)/ Accretion	21 Gain (Loss) on Termination Recognized	22 Adjustment to Carrying Value of Hedged Item	23 Gain (Loss) on Termination Deferred	24 Gain (Loss) at Inception and at Termination (b)	25 Hedge Effectiveness at Inception and at Termination	
1759999999 - Totals																									

**NON**E

(a) Code	Description of Hedged Risk(s)
(b) Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period



ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## **SCHEDULE DB - PART B - SECTION 2**

#### Future Contracts Terminated December 31 of Current Year

**NON**  
**NON**

(a) Code  Description  Helped  Known

(b) **Financial or Economic Impact of the Hedge at the End of the Reporting Period**

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## **SCHEDULE DB - PART D - SECTION 1**

Counterparty Exposure for Derivative Instruments Open December 31 of Current Year

# NON E

0999999999 - Gross Totals

### 1. Offset per SSAP No. 64

## 2. Net after right of offset per SSAP No. 64

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE DB - PART D - SECTION 2**

Collateral for Derivative Instruments Open December 31 of Current Year

## Collateral Pledged by Reporting Entity

1 Exchange, Counterparty or Central Clearinghouse	2 Type of Asset Pled	3 CUSIP Identif	4 on	5 Fair Value	6 Par Value	7 Book/Adjusted Carrying Value	8 Maturity Date	9 Type of Margin (I, V or IV)
			<b>NONE</b>					
0199999999 - Total							XXX	XXX

## Collateral Pledged to Reporting Entity

1 Exchange, Counterparty or Central Clearinghouse	2 Type of Asset Pled	3 CUSIP Identif	4 on	5 Fair Value	6 Par Value	7 Book/Adjusted Carrying Value	8 Maturity Date	9 Type of Margin (I, V or IV)
			<b>NONE</b>					
0299999999 - Total							XXX	XXX

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## **SCHEDULE DB - PART E**

## Derivatives Hedging Variable Annuity Guarantees as of December 31 of Current Year

This schedule is specific for the derivatives and the hedging programs captured in SSAP No. 108

**NONE**

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE DL - PART 1**  
**SECURITIES LENDING COLLATERAL ASSETS**

#### Reinvested Collateral Assets Owned December 31 Current Year

(Securities lending collateral assets reported in aggregate on Line 10 of the Assets page and not included on Schedules A, B, BA, D, DB and E)

### General Interrogatories:

1. Total activity for the year Fair Value \$ ..... Book/Adjusted Carrying Value \$ .....  
 2. Average balance for the year Fair Value \$ ..... Book/Adjusted Carrying Value \$ .....  
 3. Reinvested securities lending collateral assets book/adjusted carrying value included in this schedule by NAIC designation:  
 NAIC 1 \$ ..... NAIC 2 \$ ..... NAIC 3 \$ ..... NAIC 4 \$ ..... NAIC 5 \$ ..... NAIC 6 \$ .....

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE DL - PART 2**  
**SECURITIES LENDING COLLATERAL ASSETS**

#### Reinvested Collateral Assets Owned December 31 Current Year

(Securities lending collateral assets included on Schedules A, B, BA, D, DB and E and not reported in aggregate on Line 10 of the Assets page)

### General Interroga

700

### 1. Total activity for

1. Total activity for the year
2. Average balance for the year

Fair Value \$ ..... Book/Adjusted Carrying Value \$ .....  
Fair Value \$ ..... Book/Adjusted Carrying Value \$ .....

Fair Value \$ ..... Book/Adjusted Carrying Value \$ .....

## ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE E - PART 1 - CASH**

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Year	5 Amount of Interest Accrued December 31 of Current Year	6 Balance	7 *
BANK OF NEW YORK ..... NEW YORK, NY .....		0.010	4,110		219,019	XXX
0199998 Deposits in ... depositories which do not exceed the allowable limit in any one depository (See instructions) - open depositories	XXX	XXX				XXX
0199999. Totals - Open Depositories	XXX	XXX	4,110		219,019	XXX
0299998 Deposits in ... depositories which do not exceed the allowable limit in any one depository (See instructions) - suspended depositories	XXX	XXX				XXX
0299999. Totals - Suspended Depositories	XXX	XXX				XXX
0399999. Total Cash on Deposit	XXX	XXX	4,110		219,019	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX		XXX
.....						
.....						
.....						
.....						
.....						
.....						
.....						
0599999 Total - Cash	XXX	XXX	4,110		219,019	XXX

**TOTALS OF DEPOSITORY BALANCES ON THE LAST DAY OF EACH MONTH DURING THE CURRENT YEAR**

1. January.....	3,295,291	4. April.....	380,490	7. July.....	2,924,767	10. October.....	1,272,862
2. February.....	750,705	5. May.....	269,040	8. August.....	66,797	11. November.....	233,690
3. March.....	136,068	6. June.....	309,984	9. September.....	109,439	12. December.....	219,019

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## **SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned December 31 of Current Year

ANNUAL STATEMENT FOR THE YEAR 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO  
**SCHEDULE E - PART 3 - SPECIAL DEPOSITS**

States, Etc.	1 Type of Deposit	2 Purpose of Deposit	Deposits For the Benefit of All Policyholders		All Other Special Deposits	
			3 Book/Adjusted Carrying Value	4 Fair Value	5 Book/Adjusted Carrying Value	6 Fair Value
1. Alabama .....	AL					
2. Alaska .....	AK					
3. Arizona .....	AZ					
4. Arkansas .....	AR					
5. California .....	CA					
6. Colorado .....	CO					
7. Connecticut .....	CT					
8. Delaware .....	DE					
9. District of Columbia .....	DC					
10. Florida .....	FL					
11. Georgia .....	GA	B GA RSD BY O.C.G.A SEC 34-9-129			60,196	60,178
12. Hawaii .....	HI					
13. Idaho .....	ID					
14. Illinois .....	JL					
15. Indiana .....	IN					
16. Iowa .....	IA					
17. Kansas .....	KS					
18. Kentucky .....	KY					
19. Louisiana .....	LA					
20. Maine .....	ME					
21. Maryland .....	MD					
22. Massachusetts .....	MA	B MA RSD FOR WC BY GEN LAWS CH 152 SEC 61 & 62			153,824	153,692
23. Michigan .....	MI					
24. Minnesota .....	MN					
25. Mississippi .....	MS					
26. Missouri .....	MO					
27. Montana .....	MT					
28. Nebraska .....	NE					
29. Nevada .....	NV					
30. New Hampshire .....	NH					
31. New Jersey .....	NJ					
32. New Mexico .....	NM					
33. New York .....	NY					
34. North Carolina .....	NC					
35. North Dakota .....	ND					
36. Ohio .....	OH	B PLEDGED WITH THE STATE OF OHIO FOR THE BENEFIT OF ALL POLICYHOLDERS		495,960	503,099	
37. Oklahoma .....	OK					
38. Oregon .....	OR					
39. Pennsylvania .....	PA					
40. Rhode Island .....	RI					
41. South Carolina .....	SC					
42. South Dakota .....	SD					
43. Tennessee .....	TN					
44. Texas .....	TX					
45. Utah .....	UT					
46. Vermont .....	VT					
47. Virginia .....	VA					
48. Washington .....	WA					
49. West Virginia .....	WV					
50. Wisconsin .....	WI					
51. Wyoming .....	WY					
52. American Samoa .....	AS					
53. Guam .....	GU					
54. Puerto Rico .....	PR					
55. U.S. Virgin Islands .....	VI					
56. Northern Mariana Islands .....	MP					
57. Canada .....	CAN					
58. Aggregate Alien and Other .....	OT	XXX	XXX			
59. Subtotal .....		XXX	XXX	495,960	503,099	214,020
						213,870
DETAILS OF WRITE-INS						
5801. ....						
5802. ....						
5803. ....						
5898. Summary of remaining write-ins for Line 58 from overflow page .....		XXX	XXX			
5899. Totals (Lines 5801 thru 5803 plus 5898)(Line 58 above)		XXX	XXX			

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