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HEALTH QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2019

OF THE CONDITION AND AFFAIRS OF THE

Mount Carmel Health Plan, Inc.

NAIC Group Code 2838 (Current) 2838 (Prior) NAIC Company Code 95655 Employer's ID Number 31-1471229

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [ ] No [X]

Incorporated/Organized 08/07/1996 Commenced Business 04/01/1997

Statutory Home Office 6150 East Broad Street, EE320 (Street and Number), Columbus, OH, US 43213 (City or Town, State, Country and Zip Code)

Main Administrative Office 6150 East Broad Street, EE320 (Street and Number)

Columbus, OH, US 43213 (City or Town, State, Country and Zip Code), 614-546-3211 (Area Code) (Telephone Number)

Mail Address 6150 East Broad Street, EE320 (Street and Number or P.O. Box), Columbus, OH, US 43213 (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 6150 East Broad Street, EE320 (Street and Number)

Columbus, OH, US 43213 (City or Town, State, Country and Zip Code), 614-546-3211 (Area Code) (Telephone Number)

Internet Website Address www.medigold.com

Statutory Statement Contact Juan Manuel Fraiz (Name), 614-546-3211 (Area Code) (Telephone Number)

Juan.Fraiz@mchs.com (E-mail Address), 614-546-3131 (FAX Number)

OFFICERS

Board Chair	Daniel James Wendroff MD #	Vice President & CFO	Juan Manuel Fraiz
President & CEO	Michael James Demand	Treasurer	Paul Gregory Morris

OTHER

Sister Barbara Ann Hahl, CSC, Secretary

DIRECTORS OR TRUSTEES

Martin John Brill	Cynthia Mauro Dellecker	Michael James Demand
Sister Barbara Ann Hahl, CSC	Stephen Michael Lundregan	Paul Gregory Morris
Joseph Jerome Patrick, Jr	Daniel James Wendorff MD	

State of Ohio
County of Franklin
SS:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Michael James Demand President & CEO	Juan Manuel Fraiz Vice President & CFO	Sister Barbara Hahl, CSC Secretary

Subscribed and sworn to before me this
day of

a. Is this an original filing? Yes [X] No [ ]
b. If no,
1. State the amendment number
2. Date filed 11/15/2019
3. Number of pages attached

ASSETS

	Current Statement Date 1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 December 31 Prior Year Net Admitted Assets
1. Bonds	134,684,081		134,684,081	128,234,744
2. Stocks:				
2.1 Preferred stocks			0	0
2.2 Common stocks	60,096,666		60,096,666	50,978,038
3. Mortgage loans on real estate:				
3.1 First liens			0	0
3.2 Other than first liens			0	0
4. Real estate:				
4.1 Properties occupied by the company (less $ encumbrances)			0	0
4.2 Properties held for the production of income (less $ encumbrances)			0	0
4.3 Properties held for sale (less $ encumbrances)			0	0
5. Cash ($ (1,927,832)), cash equivalents ($ 120,330,814) and short-term investments ($ 37,461,279)	155,864,261		155,864,261	142,750,127
6. Contract loans (including $ premium notes)			0	0
7. Derivatives			0	0
8. Other invested assets			0	0
9. Receivables for securities	364,890		364,890	0
10. Securities lending reinvested collateral assets			0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	351,009,898	0	351,009,898	321,962,908
13. Title plants less $ charged off (for Title insurers only)			0	0
14. Investment income due and accrued	890,994		890,994	872,418
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	855,454	191	855,263	876,132
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including $ earned but unbilled premiums)			0	0
15.3 Accrued retrospective premiums ($) and contracts subject to redetermination ($)			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	101,108		101,108	340,465
16.2 Funds held by or deposited with reinsured companies			0	0
16.3 Other amounts receivable under reinsurance contracts			0	0
17. Amounts receivable relating to uninsured plans			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon			0	0
18.2 Net deferred tax asset			0	0
19. Guaranty funds receivable or on deposit			0	0
20. Electronic data processing equipment and software			0	0
21. Furniture and equipment, including health care delivery assets ($)	2,081,600	2,081,600	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates			0	111,423
24. Health care ($ 12,436,814) and other amounts receivable	13,409,721	972,907	12,436,814	11,334,953
25. Aggregate write-ins for other than invested assets	0	0	0	3,662
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	368,348,775	3,054,698	365,294,077	335,501,961
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28. Total (Lines 26 and 27)	368,348,775	3,054,698	365,294,077	335,501,961
DETAILS OF WRITE-INS				
1101.			0	0
1102.			0	0
1103.			0	0
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Miscellaneous receivable	0	0	0	3,662
2502.			0	0
2503.			0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	0	0	0	3,662

LIABILITIES, CAPITAL AND SURPLUS

		Current Period 1 Covered	2 Uncovered	3 Total	Prior Year 4 Total
1.	Claims unpaid (less $ reinsurance ceded)	38,810,174		38,810,174	36,403,248
2.	Accrued medical incentive pool and bonus amounts	22,250,592		22,250,592	20,895,537
3.	Unpaid claims adjustment expenses	717,768		717,768	715,575
4.	Aggregate health policy reserves, including the liability of $ for medical loss ratio rebate per the Public Health Service Act			0	0
5.	Aggregate life policy reserves			0	0
6.	Property/casualty unearned premium reserve			0	0
7.	Aggregate health claim reserves			0	0
8.	Premiums received in advance	213,467		213,467	161,451
9.	General expenses due or accrued	8,923,354		8,923,354	12,024,482
10.1	Current federal and foreign income tax payable and interest thereon (including $ on realized gains (losses))			0	0
10.2	Net deferred tax liability			0	0
11.	Ceded reinsurance premiums payable			0	0
12.	Amounts withheld or retained for the account of others			0	0
13.	Remittances and items not allocated			0	0
14.	Borrowed money (including $ current) and interest thereon $ (including $ current)			0	0
15.	Amounts due to parent, subsidiaries and affiliates	4,285,647		4,285,647	5,535,230
16.	Derivatives			0	0
17.	Payable for securities	549,971		549,971	0
18.	Payable for securities lending			0	0
19.	Funds held under reinsurance treaties (with $ authorized reinsurers, $ unauthorized reinsurers and $ certified reinsurers)			0	0
20.	Reinsurance in unauthorized and certified ($) companies			0	0
21.	Net adjustments in assets and liabilities due to foreign exchange rates			0	0
22.	Liability for amounts held under uninsured plans			0	0
23.	Aggregate write-ins for other liabilities (including $ current)	20,270,116	0	20,270,116	21,363,560
24.	Total liabilities (Lines 1 to 23)	96,021,089	0	96,021,089	97,099,083
25.	Aggregate write-ins for special surplus funds	XXX	XXX	0	0
26.	Common capital stock	XXX	XXX		
27.	Preferred capital stock	XXX	XXX		
28.	Gross paid in and contributed surplus	XXX	XXX	42,422,534	42,422,534
29.	Surplus notes	XXX	XXX		
30.	Aggregate write-ins for other than special surplus funds	XXX	XXX	0	0
31.	Unassigned funds (surplus)	XXX	XXX	226,850,454	195,980,344
32.	Less treasury stock, at cost:				
	32.1 shares common (value included in Line 26 $)	XXX	XXX		
	32.2 shares preferred (value included in Line 27 $)	XXX	XXX		
33.	Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	269,272,988	238,402,878
34.	Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	365,294,077	335,501,961
	DETAILS OF WRITE-INS				
2301.	Due to CMS RAPS Delete	17,772,026		17,772,026	17,772,026
2302.	Due to CMS Part D	6,823,149		6,823,149	2,939,468
2303.	FYRA 2018 Liability due to CMS overpayments			0	6,754,559
2398.	Summary of remaining write-ins for Line 23 from overflow page	(4,325,059)	0	(4,325,059)	(6,102,493)
2399.	Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	20,270,116	0	20,270,116	21,363,560
2501.		XXX	XXX		0
2502.		XXX	XXX		0
2503.		XXX	XXX		0
2598.	Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	0	0
3001.		XXX	XXX		0
3002.		XXX	XXX		0
3003.		XXX	XXX		0
3098.	Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099.	Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

		Current Year To Date 1 Uncovered	Current Year To Date 2 Total	Prior Year To Date 3 Total	Prior Year Ended December 31 4 Total
1.	Member Months	XXX	414,766	452,334	591,364
2.	Net premium income (including $ non-health premium income)	XXX	422,250,604	431,518,716	582,089,476
3.	Change in unearned premium reserves and reserve for rate credits	XXX			
4.	Fee-for-service (net of $ medical expenses)	XXX			
5.	Risk revenue	XXX			
6.	Aggregate write-ins for other health care related revenues	XXX	0	435,714	435,714
7.	Aggregate write-ins for other non-health revenues	XXX	0	0	0
8.	Total revenues (Lines 2 to 7)	XXX	422,250,604	431,954,430	582,525,190
	Hospital and Medical:				
9.	Hospital/medical benefits		200,194,178	194,038,438	261,858,413
10.	Other professional services		113,254,033	116,496,083	158,902,992
11.	Outside referrals				0
12.	Emergency room and out-of-area				0
13.	Prescription drugs		35,850,030	36,311,522	46,098,695
14.	Aggregate write-ins for other hospital and medical	0	0	0	0
15.	Incentive pool, withhold adjustments and bonus amounts		19,060,797	18,329,808	24,303,302
16.	Subtotal (Lines 9 to 15)	0	368,359,038	365,175,851	491,163,402
	Less:				
17.	Net reinsurance recoveries		360,258	(228,466)	52,522
18.	Total hospital and medical (Lines 16 minus 17)	0	367,998,780	365,404,317	491,110,880
19.	Non-health claims (net)				
20.	Claims adjustment expenses, including $ 7,161,337 cost containment expenses		12,620,970	13,928,012	19,200,560
21.	General administrative expenses		22,831,194	25,993,264	34,834,488
22.	Increase in reserves for life and accident and health contracts (including $ increase in reserves for life only)				0
23.	Total underwriting deductions (Lines 18 through 22)	0	403,450,944	405,325,593	545,145,928
24.	Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	18,799,660	26,628,837	37,379,262
25.	Net investment income earned		4,233,397	3,546,896	4,950,547
26.	Net realized capital gains (losses) less capital gains tax of $		228,407	2,062,867	2,850,797
27.	Net investment gains (losses) (Lines 25 plus 26)	0	4,461,804	5,609,763	7,801,344
28.	Net gain or (loss) from agents' or premium balances charged off [(amount recovered $) (amount charged off $)]				
29.	Aggregate write-ins for other income or expenses	0	0	0	0
30.	Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	23,261,464	32,238,600	45,180,606
31.	Federal and foreign income taxes incurred	XXX			
32.	Net income (loss) (Lines 30 minus 31)	XXX	23,261,464	32,238,600	45,180,606
	DETAILS OF WRITE-INS				
0601.	Intercompany management fees	XXX	0	435,714	435,714
0602.		XXX			0
0603.		XXX			0
0698.	Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699.	Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX	0	435,714	435,714
0701.		XXX			0
0702.		XXX			0
0703.		XXX			0
0798.	Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799.	Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	0
1401.					0
1402.					0
1403					0
1498.	Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499.	Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	0	0	0
2901.	Other revenue				0
2902.	Other income				0
2903					0
2998.	Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999.	Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0	0	0

STATEMENT OF REVENUE AND EXPENSES (Continued)

		1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
	CAPITAL AND SURPLUS ACCOUNT			
33.	Capital and surplus prior reporting year	238,402,878	200,078,139	200,078,139
34.	Net income or (loss) from Line 32	23,261,464	32,238,600	45,180,606
35.	Change in valuation basis of aggregate policy and claim reserves			
36.	Change in net unrealized capital gains (losses) less capital gains tax of $	8,044,358	2,573,122	(7,991,549)
37.	Change in net unrealized foreign exchange capital gain or (loss)			
38.	Change in net deferred income tax			
39.	Change in nonadmitted assets	(435,712)	(1,146,215)	(1,740,011)
40	Change in unauthorized and certified reinsurance	0		0
41.	Change in treasury stock	0		0
42.	Change in surplus notes	0		0
43.	Cumulative effect of changes in accounting principles			
44.	Capital Changes:			
	44.1 Paid in			0
	44.2 Transferred from surplus (Stock Dividend)	0		0
	44.3 Transferred to surplus			
45.	Surplus adjustments:			
	45.1 Paid in	0		0
	45.2 Transferred to capital (Stock Dividend)			
	45.3 Transferred from capital			
46.	Dividends to stockholders			
47.	Aggregate write-ins for gains or (losses) in surplus	0	0	2,875,693
48.	Net change in capital & surplus (Lines 34 to 47)	30,870,110	33,665,507	38,324,739
49.	Capital and surplus end of reporting period (Line 33 plus 48)	269,272,988	233,743,646	238,402,878
	DETAILS OF WRITE-INS			
4701.	SSAP No. 3 - Adjustment for prior years' Centers for Medicare & Medicaid Services overpayments	0	0	2,875,693
4702.				0
4703.				0
4798.	Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799.	Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	2,875,693

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	422,326,376	431,723,793	582,250,711
2. Net investment income	4,371,521	3,913,763	5,399,774
3. Miscellaneous income	0	435,714	435,714
4. Total (Lines 1 to 3)	426,697,897	436,073,270	588,086,199
5. Benefit and loss related payments	365,843,841	366,476,409	488,553,540
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	38,551,099	40,816,269	53,922,522
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of $ .... tax on capital gains (losses)	0	0	0
10. Total (Lines 5 through 9)	404,394,940	407,292,678	542,476,062
11. Net cash from operations (Line 4 minus Line 10)	22,302,957	28,780,592	45,610,137
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	25,414,466	30,152,548	45,343,656
12.2 Stocks	14,804,028	14,142,534	19,972,475
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	730	0	0
12.7 Miscellaneous proceeds	549,971	2,005,120	0
12.8 Total investment proceeds (Lines 12.1 to 12.7)	40,769,195	46,300,202	65,316,131
13. Cost of investments acquired (long-term only):			
13.1 Bonds	32,077,245	27,615,793	35,702,231
13.2 Stocks	15,593,878	14,849,586	22,928,012
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	364,890	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	48,036,013	42,465,379	58,630,243
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(7,266,818)	3,834,823	6,685,888
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0	0
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied)	(1,922,004)	4,229,218	(12,630,224)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(1,922,004)	4,229,218	(12,630,224)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	13,114,135	36,844,633	39,665,802
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	142,750,127	103,084,325	103,084,325
19.2 End of period (Line 18 plus Line 19.1)	155,864,261	139,928,958	142,750,127

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. SSAP No. 3 - Adjustment for prior years' Centers for Medicare & Medicaid Services overpayments	0	0	2,875,693

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
	Total	2 Individual	3 Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other
Total Members at end of:										
1. Prior Year	48,571	0	0	0	0	0	0	48,571	0	0
2. First Quarter	46,446	0	0	0	0	0	0	46,446	0	0
3. Second Quarter	46,005	0	0	0	0	0	0	46,005	0	0
4. Third Quarter	45,374							45,374		
5. Current Year	0									
6. Current Year Member Months	414,766							414,766		
Total Member Ambulatory Encounters for Period:										
7 Physician	336,044							336,044		
8. Non-Physician	53,462							53,462		
9. Total	389,506	0	0	0	0	0	0	389,506	0	0
10. Hospital Patient Days Incurred	63,446							63,446		
11. Number of Inpatient Admissions	6,318							6,318		
12. Health Premiums Written (a)	422,302,621							422,302,621		
13. Life Premiums Direct	0									
14. Property/Casualty Premiums Written	0									
15. Health Premiums Earned	422,250,604							422,250,604		
16. Property/Casualty Premiums Earned	0									
17. Amount Paid for Provision of Health Care Services	364,236,798							364,236,798		
18. Amount Incurred for Provision of Health Care Services	368,359,038							368,359,038		

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees $

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
Claims Unpaid (Reported)						
Caremark	4,948,048					4,948,048
0199999. Individually listed claims unpaid	4,948,048	0	0	0	0	4,948,048
0299999 Aggregate accounts not individually listed-uncovered						0
0399999 Aggregate accounts not individually listed-covered						0
0499999 Subtotals	4,948,048	0	0	0	0	4,948,048
0599999 Unreported claims and other claim reserves						33,862,126
0699999 Total amounts withheld						
0799999 Total claims unpaid						38,810,174
0899999 Accrued medical incentive pool and bonus amounts						22,250,592

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

	Claims Paid Year to Date		Liability End of Current Quarter		5	6
	1	2	3	4		
Line of Business	On Claims Incurred Prior to January 1 of Current Year	On Claims Incurred During the Year	On Claims Unpaid Dec. 31 of Prior Year	On Claims Incurred During the Year	Claims Incurred in Prior Years (Columns 1 + 3)	Estimated Claim Reserve and Claim Liability December 31 of Prior Year
1. Comprehensive (hospital and medical)					0	0
2. Medicare Supplement					0	0
3. Dental Only					0	0
4. Vision Only					0	0
5. Federal Employees Health Benefits Plan					0	0
6. Title XVIII - Medicare	38,629,895	307,901,161	229,162	38,581,012	38,859,057	36,403,247
7 Title XIX - Medicaid					0	0
8. Other health					0	0
9. Health subtotal (Lines 1 to 8)	38,629,895	307,901,161	229,162	38,581,012	38,859,057	36,403,247
10. Healthcare receivables (a)					0	0
11. Other non-health					0	0
12. Medical incentive pools and bonus amounts	12,119,991	5,585,751	7,213,051	15,037,541	19,333,042	20,895,537
13. Totals (Lines 9-10+11+12)	50,749,886	313,486,912	7,442,213	53,618,553	58,192,099	57,298,784

(a) Excludes $ .... loans or advances to providers not yet expensed.

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance ("ODI").

The ODI Regulation recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance law. The National Association of Insurance Commissioners' ("NAIC") *Accounting Practices and Procedures Manual* ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the State of Ohio. The Commissioner of Insurance has the right to permit other specific practices that deviate from prescribed practices. No deviations exist.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below:

	SSAP #	F/S Page	F/S Line #	2019	2018
NET INCOME					
(1) State basis (Page 4, Line 32, Columns 2 & 4)	XXX	XXX	XXX	23,261,464	45,180,606
(2) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	23,261,464	45,180,606
SURPLUS					
(5) State basis (Page 3, Line 33, Columns 3 & 4)	XXX	XXX	XXX	269,272,988	238,402,878
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	269,272,988	238,402,878

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during that period. Actual results could differ from those estimates.

C. Accounting Policy

Premiums are reported as earned in the period in which members are entitled to receive services, and are net of retroactive membership adjustments. Retroactive membership adjustments result from enrollment changes not yet processed, or not yet reported by the government. Premiums received prior to such period are recorded as advanced premiums.

Benefits incurred and loss adjustment expenses include claims payments, capitation payments, pharmacy costs net of rebates, allocations of certain centralized expenses, and various other costs incurred to provide health insurance coverage to members, as well as estimates of future payments to hospitals and others for medical care provided prior to the date of the Statements of Admitted Assets, Liabilities and Surplus. Capitation payments represent monthly contractual fees disbursed to participating primary care physicians, and other providers who are responsible for providing medical care to members. Pharmacy costs represent payments for members' prescription drug benefits, net of rebates from drug manufacturers.

In addition, the Company uses the following accounting policies:

(1) Short-term investments include investments mainly in U.S. Government obligations with a maturity of twelve months or less from the date of purchase. Short-term investments are recorded at amortized cost. The carrying value of short-term investments approximate fair value due to the short-term maturities of the investments.

(2) – (4) Investments are valued and classified in accordance with methods prescribed by the NAIC. Bonds are carried at amortized cost.

The Company regularly evaluates investment securities for impairment. The related investment is written down to its estimated value.

Amortization of bond premium or discount is computed using the effective yield method.

Income from investments is recorded on an accrual basis. For the purpose of determining realized gains and losses, the cost of securities sold is based upon specific identification. Investment income due and accrued over 90 days past due is nonadmitted.

(5) The Company does not have any mortgage loans on real estate investments.

(6) Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair value. The retrospective adjustment method is used to value all securities, except for interest only securities or securities where the yield had become negative, that are valued using the prospective method.

(7) The Company carries its investment in Mount Carmel Health Plan of Idaho, Inc. (MCHP-ID) at its statutory capital plus surplus value.

(8) The Company does not have any joint venture investments.

(9) The Company does not have any derivatives.

(10) - (11) The estimates of future medical benefit payments are developed using actuarial methods and assumptions based upon claim payment patterns, medical cost inflation, historical development such as claim inventory levels and claim receipt patterns, and other factors. Corresponding administrative costs to process outstanding claims are estimated and accrued. Estimates of future payments relating to services incurred in the current period and prior periods are continually reviewed by management and adjusted as necessary.

The Company assesses the profitability of its contracts for providing health insurance coverage to its members when current operating results or forecasts indicate probable future losses. The Company records premium deficiency liability in current operations to the extent that the sum of expected future medical costs, claim adjustment expenses, and maintenance costs exceed related future premiums. Investment income is not contemplated in the calculation of the premium deficiency liability.

Management believes the Company's benefits payable and loss adjustment expense are adequate to cover future claims and loss adjustment expense payments required, however such estimates are based on knowledge of current events and anticipated future events and, therefore, the actual liability could differ from the amounts provided.

(12) The Company has not modified its capitalization policy from the prior period.

(13) The Company estimates anticipated Pharmacy Rebate Receivables using amount of billed rebates provided by its Pharmacy Benefit Manager, adjusted for historical recovery patterns.

D. Going Concern

After evaluating the entity’s ability to continue as a going concern, management was not aware of any conditions or events which raised substantial doubts concerning the entity’s ability to continue as a going concern as of the date of the filing of this statement.

2. Accounting Changes and Correction of Errors

None.

3. Business Combinations and Goodwill

A. Statutory Purchase Method

Not Applicable

B. Statutory merger

Not Applicable

C. Assumption Reinsurance

Not Applicable

D. Impairment Loss

Not Applicable

4. Discontinued Operations

A – D Not Applicable

5. Investments

A. Mortgage Loans, including Mezzanine Real Estate Loans

Not Applicable

B. Debt Restructuring

Not Applicable

C. Reverse Mortgages

Not Applicable

D. Loan-Backed Securities

	1 Amortized Cost Basis Before Other-than-Temporary Impairment	2 Other-than-Temporary Impairment Recognized in Loss	3 Fair Value 1 - 2
(2) OTTI recognized 1st Quarter			
a. Intent to sell			0
b. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			0
c. Total 1st Quarter	0	0	0
OTTI recognized 2nd Quarter			
d. Intent to sell			0
e. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the			0

amortized cost basis			
f. Total 2nd Quarter	0	0	0
OTTI recognized 3rd Quarter			
g. Intent to sell			0
h. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			0
i. Total 3rd Quarter	0	0	0
OTTI recognized 4th Quarter			
j. Intent to sell			0
k. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			0
l. Total 4th Quarter	0	0	0
m. Annual Aggregate Total		0	

(3)

1 CUSIP	2 Book/Adjusted Carrying Value Amortized Cost Before Current Period OTTI	3 Present Value of Projected Cash Flows	4 Recognized Other-Than-Temporary Impairment	5 Amortized Cost After Other-Than-Temporary Impairment	6 Fair Value at time of OTTI	7 Date of Financial Statement Where Reported
Total	XXX	XXX	0	XXX	XXX	XXX

(4)

a. The aggregate amount of unrealized losses:

1. Less than 12 Months 3,080
2. 12 Months or Longer 1,559

b. The aggregate related fair value of securities with unrealized losses:

1. Less than 12 Months 5,390,405
2. 12 Months or Longer 1,273,744

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

Not Applicable

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not Applicable

G. Reverse Repurchase Agreement Transactions Accounted for as Secured Borrowing

Not Applicable

H. Repurchase Agreements Transactions Accounted for as a Sale

Not Applicable

I. Reserve Repurchase Agreements Transactions Accounted for as a Sale

Not Applicable

J. Real Estate

Not Applicable

K. Low-Income Housing Tax Credits (LIHTC)

Not Applicable

L. Restricted Assets

(1) Restricted Assets (Including Pledged)

Restricted Asset Category	1 Total Gross (Admitted & Nonadmitted) Restricted from Current Year	2 Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	3 Increase/ (Decrease) (1 minus 2)	4 Total Current Year Nonadmitted Restricted	5 Total Current Year Admitted Restricted (1 minus 4)	6 Gross (Admitted & Nonadmitted) Restricted to Total Assets (a)	7 Admitted Restricted to Total Admitted Assets (b)
a. Subject to contractual obligation for which liability is not shown			0		0	0.000	0.000
b. Collateral held under security lending agreements			0		0	0.000	0.000
c. Subject to repurchase agreements			0		0	0.000	0.000
d. Subject to reverse repurchase agreements			0		0	0.000	0.000
e. Subject to dollar repurchase agreements			0		0	0.000	0.000
f. Subject to dollar reverse repurchase agreements			0		0	0.000	0.000
g. Placed under option contracts			0		0	0.000	0.000

h. Letter stock or securities restricted as to sale - excluding FHLB capital stock			0		0	0.000	0.000
i. FHLB capital stock			0		0	0.000	0.000
j. On deposit with states	476,561	471,597	4,964		476,561	0.129	0.130
k. On deposit with other regulatory bodies			0		0	0.000	0.000
l. Pledged collateral to FHLB (including assets backing funding agreements)			0		0	0.000	0.000
m. Pledged as collateral not captured in other categories			0		0	0.000	0.000
n. Other restricted assets			0		0	0.000	0.000
o. Total Restricted Assets	476,561	471,597	4,964	0	476,561	0.129	0.130

(a) Column 1 divided by Asset Page, Column 1, Line 28

(b) Column 5 divided by Asset Page, Column 3, Line 28

2. Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Description of Assets	1 Total Gross (Admitted & Nonadmitted) Restricted from Current Year	2 Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	3 Increase/ (Decrease) (1 minus 2)	4 Total Current Year Admitted Restricted	5 Gross (Admitted & Nonadmitted) Restricted to Total Assets	6 Admitted Restricted to Total Admitted Assets
Total (a)	0	0	0	0	0.000	0.000

(a) Total Line for Columns 1 through 3 should equal 5L(1)m Columns 1 through 3 respectively and Total Line for Column 4 should equal 5L(1)m Column 5.

3. Detail of Other Restricted Assets (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Description of Assets	1 Total Gross (Admitted & Nonadmitted) Restricted from Current Year	2 Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	3 Increase/ (Decrease) (1 minus 2)	4 Total Current Year Admitted Restricted	5 Gross (Admitted & Nonadmitted) Restricted to Total Assets	6 Admitted Restricted to Total Admitted Assets
Total (a)	0	0	0	0	0.000	0.000

(a) Total Line for Columns 1 through 3 should equal 5L(1)n Columns 1 through 3 respectively and Total Line for Column 4 should equal 5L(1)n Column 5.

(4) Collateral Received and Reflected as Assets Within the Reporting Entity's Financial Statements

Collateral Assets	1 Book/Adjusted Carrying Value (BACV)	2 Fair Value	3 % of BACV to Total Assets (Admitted and Nonadmitted)*	4 % of BACV to Total Admitted Assets **
a. Cash, Cash Equivalents and Short-Term Investments			0.000 %	0.000 %
b. Schedule D, Part 1			0.000 %	0.000 %
c. Schedule D, Part 2, Section 1			0.000 %	0.000 %
d. Schedule D, Part 2, Section 2			0.000 %	0.000 %
e. Schedule B			0.000 %	0.000 %
f. Schedule A			0.000 %	0.000 %
g. Schedule BA, Part 1			0.000 %	0.000 %
h. Schedule DL, Part 1			0.000 %	0.000 %
i. Other			0.000 %	0.000 %
j. Total Collateral Assets (a+b+c+d+e+f+g+h+i)	0	0	0.000 %	0.000 %

* Column 1 divided by Asset Page, Line 26 (Column 1)

** Column 1 divided by Asset Page, Line 26 (Column 3)

	1 Amount	2 % of Liability to Total Liabilities *
k. Recognized Obligation to Return Collateral Asset		0.000 %

* Column 1 divided by Liability Page, Line 24(Column 3)

M. Working Capital Finance Investments

Not applicable

N. Offsetting and Netting of Assets and Liabilities

	Gross Amount Recognized	Amount Offset*	Net Amount Presented on Financial Statements
(1) Assets Due from Centers for Medicare & Medicaid Services	4,949,271	4,949,271	0

* For derivative assets and derivative liabilities, the amount offset shall agree to Schedule DB, Part D, Section 1

	Gross Amount Recognized	Amount Offset*	Net Amount Presented on Financial Statements
(2) Liabilities Due to Centers for Medicare & Medicaid Services	25,124,581	4,949,271	20,175,310

* For derivative assets and derivative liabilities, the amount offset shall agree to Schedule DB, Part D, Section 1

O. Structured Notes

Not Applicable

P. 5GI Securities

Not Applicable

Q. Short Sales

Not Applicable

R. Prepayment Penalty and Acceleration Fees

	General Account
1. Number of CUSIPs	1
2. Aggregate Amount of Investment Income	688

6. Joint Ventures, Partnerships and Limited Liability Companies

A. The Company has no investments in Joint Ventures, Partnerships or Limited Liability Companies that exceed 10% of its admitted assets.

B. The Company did not recognize any impairment write down for investments in Joint Ventures, Partnerships or Limited Liability Companies during the statement periods.

7. Investment Income

A. Due and accrued income was excluded from surplus on the following bases:

All investment income due and accrued with amounts that are over 90 days past due.

B. The total amount excluded was $-0-.

8. Derivative Investments

A - H Not Applicable

9. Income Taxes

Not Applicable

10. Information Concerning Parent, Subsidiaries & Affiliates

A– C. The Company leases the services of certain employees and its office space from Mount Carmel Health System ("MCHS"). Additionally, MCHS also provides certain management and administrative services to the Company. Expenses related to services provided by MCHS were $4,595,714 as of September 30, 2019.

The Company also provides by agreement certain management, administrative, and marketing services to Mount Carmel Health Insurance Company ("MCHIC"). Amount related to services provided by the Company to MCHIC were $643,629 as of September 30, 2019. In the past, these reimbursements were book in miscellaneous revenues. After review and discussion with the Ohio Department of Insurance during June 2019, such amounts are reported as contra expenses this quarterly filing.

In 2018, the Company made a capital contribution of $2,124,262 to Mount Carmel Health Plan of Idaho, Inc. (MCHP of Idaho), a wholly owned subsidiary. As of September 30, 2019, the investment in MCHP of Idaho is valued at $2,164,175.

D. The Company owed $4,285,647 to Mount Carmel Health System (MCHS) and its affiliates as of September 30, 2019.

E. Not Applicable

F. Mount Carmel Health Plan (MCHP), a sister company to MCHIC, has agreed to provide administrative services to MCHIC and to MCHP subsidiary related to the operation of Medicare Advantage products offered by MCHIC. In addition, MCHP is absorbing startup cost related to getting its subsidiaries MCHP-ID operational by January 1, 2020. Such cost incurred through September 30, 2019 are $406,078.

G. All outstanding shares of the Company are owned by MCHS, a non-profit corporation domiciled in the State of Ohio. In addition, MCHS is sole owner of MCHIC and MCHP of Idaho.

H. Not Applicable

I. Not Applicable

J. Not Applicable

K. Not Applicable

L. Not Applicable

M. All SCA Investments

(1) Balance Sheet Value (Admitted and Nonadmitted) All SCAs (Except 8bi Entities)

SCA Entity	Percentage of SCA Ownership	Gross Amount	Admitted Amount	Nonadmitted Amount
a. SSAP No. 97 8a Entities				
Mount Carmel Health Plan of Idaho, Inc	100.0	2,164,175	2,164,175	0
Total SSAP No. 97 8a Entities	XXX	2,164,175	2,164,175	0
b. SSAP No. 97 8b(ii) Entities				
Total SSAP No. 97 8b(ii) Entities	XXX	0	0	0
c. SSAP No. 97 8b(iii) Entities				
Total SSAP No. 97 8b(iii) Entities	XXX	0	0	0
d. SSAP No. 97 8b(iv) Entities				
Total SSAP No. 97 8b(iv) Entities	XXX	0	0	0
e. Total SSAP No. 97 8b Entities (except 8bi entities) (b+c+d)	XXX	0	0	0
f. Aggregate Total (a+ e)	XXX	2,164,175	2,164,175	0

(2) NAIC Filing Response Information

SCA Entity (Should be same entities as shown in M(1) above.)	Type of NAIC Fiing *	Date of Filing to the NAIC	NAIC Valuation Amount	NAIC Response Received Y/N	NAIC Disallowed Entities Valuation Method, Resub-mission Required Y/N	Code **
a. SSAP No. 97 8a Entities						
Total SSAP No. 97 8a Entities	XXX	XXX	0	XXX	XXX	XXX
b. SSAP No. 97 8b(ii) Entities						
Total SSAP No. 97 8b(ii) Entities	XXX	XXX	0	XXX	XXX	XXX
c. SSAP No. 97 8b(iii) Entities						
Total SSAP No. 97 8b(iii) Entities	XXX	XXX	0	XXX	XXX	XXX
d. SSAP No. 97 8b(iv) Entities						
Total SSAP No. 97 8b(iv) Entities	XXX	XXX	0	XXX	XXX	XXX
e. Total SSAP No. 97 8b Entities (except 8bi entities) (b+c+d)	XXX	XXX	0	XXX	XXX	XXX
f. Aggregate Total (a+e)	XXX	XXX	0	XXX	XXX	XXX

* S1 - Sub-1, S2 - Sub-2 or RDF - Resubmission of Disallowed Filing

** I - Immaterial or M – Material

N. Not Applicable

O. Not applicable

11. Debt – Not Applicable

A. Debt Including Capital Notes

Not Applicable

B. Federal Home Loan Bank (FHLB) Agreements

The Company does not have any FHLB agreements.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits, and Compensated Absences and Other Postretirement Benefit Plans

A. – D. Defined Benefit Plan

Not Applicable

E. Defined Contribution Plans

Not Applicable

F. Multiemployer Plans

Not Applicable

G. Consolidated/Holding Company Plans

Not Applicable

H. Postemployment Benefits and Compensated Absences

Not Applicable

I. Impact of Medicare Modernization Act on Postretirement Benefits

Not Applicable

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

(1) The company has no shares authorized, issued and outstanding.

(2) The Company has no preferred stock outstanding.

(3) Not Applicable

(4) No dividends were paid by the Company.

(5) Within the limitations of (3) above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to stockholders.

(6) There were no restrictions placed on the Company's surplus, including for whom the surplus is being held.

(7) The total amount of advances to surplus not repaid is $-0-.

(8) The Company did not hold stock, including stock of affiliated companies, for special purposes of conversion of preferred stock, employee stock options, or stock purchase warrants.

(9) There were no changes in balances of special surplus funds from the prior year.

(10) The Company did not have unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses.

(11) The Company did not issue surplus debentures or similar obligations during the statement periods.

(12) The Company did not have a restatement due to a prior quasi-reorganization.

(13) The Company did not have any quasi-reorganizations.

14. Liabilities, Contingencies and Assessments

A. Contingent Commitments

The Company is subject to various contingencies, including legal and compliance actions and proceedings that arise in the ordinary course of its business. Due to the complex nature of these actions and proceedings, the timing of the ultimate resolution of these matters is uncertain. One of the hospital providers in the Company's narrow network, with whom the Company contracts to provide services discovered sentinel events relating to a clinical practice of one of the hospital's physicians and the related conduct of certain of such hospital's staff. This hospital has been fully cooperating with authorities on this investigation and has implemented action plans to ensure these events do not happen again. Based on information received to date, management of the Company, after consultation with legal counsel, does not believe that the ultimate resolution of these matters will have a material adverse effect on the Company's future financial position or results of operations.

B. Assessments

Not Applicable

C. Gain Contingencies

Not Applicable

D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits

(1)

	Direct
(1) The company paid the following amounts in the reporting period to settle claims related extra contractual obligations or bad faith claims stemming from lawsuits	0

E. Joint and Several Liabilities

Not Applicable

F. All Other Contingencies

The Company is not aware of any other material contingent liabilities as of June 30, 2019.

15. Leases

A. Lessee Operating Lease

Not Applicable

B. Lessor Leases

Not Applicable

16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

The Company does not hold any financial instruments with off-balance sheet risk or concentrations of credit risk.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishment of Liabilities

A. Transfer of Receivables Reported as Sales

Not Applicable

B. Transfer and Servicing of financial Assets

Not Applicable

C. Wash Sales

Not Applicable

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

A. ASO Plans

Not Applicable

B. ASC Plans

Not Applicable

C. Medicare or Similarly Structured Cost Based Reimbursement Contract.

Not Applicable

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not Applicable

20. Fair Value Measurement

(1) Fair Value Measurements at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value					
Cash Equivalent	88,926,146				88,926,146
Common Stock	52,463,588	5,468,903			57,932,491
Total assets at fair value/NAV	141,389,734	5,468,903	0	0	146,858,637

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
b. Liabilities at fair value					
Total liabilities at fair value	0	0	0	0	0

(2) Fair Value Measurements in (Level 3) of the Fair Value hierarchy

Description	Ending Balance as of Prior Quarter End	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance for Current Quarter End
a. Assets										
Total Assets	0	0	0	0	0	0	0	0	0	0

Description	Ending Balance as of Prior Quarter End	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance for Current Quarter End
b. Liabilities										
Total Liabilities	0	0	0	0	0	0	0	0	0	0

C. Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Cash and cash Equivalents	119,625,825	119,625,393	88,220,827	31,404,999	0	0	
Short Term	36,563,948	36,554,600	3,898,215	32,665,733	0	0	
Common Stock	57,932,491	57,932,491	52,463,588	5,468,903	0	0	
Bonds	138,215,403	134,368,349	49,208,989	89,006,414	0	0	

D. Not Practicable to Estimate Fair Value

Type or Class of Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
Mount Carmel Health Plan of Idaho, Inc.	2,164,175			Carrying this common stock at a net equity value

21. Other Items

A. Extraordinary Item

Not Applicable

B. Troubled Debt Restructuring Debtors

Not Applicable

C. Other Disclosures and Unusual Items

Not Applicable

D. Business Interruption Insurance Recoveries

Not Applicable

E. State Transferable and Non-transferable Tax Credits

Not Applicable

F. Subprime-Mortgage-Related Risk Exposure

Not Applicable

G. Retained Assets

Not Applicable

H. Insurance-Linked Securities (ILS) Contracts

Not Applicable

22. Events Subsequent

Type I – Recognized Subsequent Events:

Subsequent events have been considered through November 10, 2019 for the statutory statement filed on November 15, 2019.

The Company is not aware of any events or transactions that provide additional evidence with respect to conditions that existed at September 30, 2019, which would have a material effect on its financial condition.

Type II – Nonrecognized Subsequent Events:

Subsequent events have been considered through November 10, 2019 for the statutory statement filed on November 15, 2019.

The Company is not aware of any events or transactions that provide evidence with respect to conditions that did not exist at September 30, 2019 but arose after that date, which would have a material effect on its financial condition.

23. Reinsurance – Not Applicable

A. Ceded Reinsurance Report

Not Applicable

B. Uncollectible Reinsurance

The Company has $101,108 in reinsurance balances due during the current year.

C. Communication of Ceded Reinsurance

Not Applicable

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

Not Applicable

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

A. Not Applicable

B. Not applicable

C. Not applicable

25. Change in Incurred Claims and Claim Adjustment Expenses

Reserves as of December 31, 2018 were $36,403,247. As of September 30, 2019, $38,629,895 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now $229,162 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a $2,455,810 unfavorable prior-year development since December 31, 2018.

26. Intercompany Pooling Arrangements

A. – G. Not Applicable

27. Structured Settlements

The Company has no structured statements

28. Health Care Receivables

Not applicable

29. Participating Policies – Not Applicable

The Company has no participating policies

30. Premium Deficiency Reserves

Not Applicable

31. Anticipated Salvage and Subrogation – Not Applicable

The Company took into account estimated anticipated salvage and subrogation in its determination of the liability for unpaid claims / losses and reduced such liability by $-0-.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]

2.2 If yes, date of change:

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [ ] No [X]
If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [X] No [ ]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.
Ed Lamb, chairman of the board resigned on 7/25/2019.

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [ ] No [X]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [X] N/A [ ]
If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2017

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2017

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 05/23/2019

6.4 By what department or departments?
Ohio Department of insurance

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [ ] N/A [ ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [ ] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [X]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ ] No [X]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: $ 0

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: $ 0

13. Amount of real estate and mortgages held in short-term investments: $ 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [X] No [ ]

14.2 If yes, please complete the following:

		1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21	Bonds	$ 0	$
14.22	Preferred Stock	$ 0	$
14.23	Common Stock	$ 2,141,652	$ 2,164,175
14.24	Short-Term Investments	$ 0	$
14.25	Mortgage Loans on Real Estate	$ 0	$
14.26	All Other	$ 0	$
14.27	Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	$ 2,141,652	$ 2,164,175
14.28	Total Investment in Parent included in Lines 14.21 to 14.26 above	$ 2,141,652	$ 2,164,175

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ]
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. $ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 $ 0

16.3 Total payable for securities lending reported on the liability page. $ 0

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
PNC Bank, NA	249 Fifth Avenue, one PNC Plaza, Pittsburgh, PA 15222

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [X]

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["…that have access to the investment accounts"; "…handle securities"]

1 Name of Firm or Individual	2 Affiliation
PNC Capital Advisors, LLC	U

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets? Yes [X] No [ ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes [X] No [ ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
151829	PNC Capital Advisors, LLC			

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No [ ]

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
    a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
    b. Issuer or obligor is current on all contracted interest and principal payments.
    c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

    Has the reporting entity self-designated 5GI securities? Yes [ ] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
    a. The security was purchased prior to January 1, 2018.
    b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
    c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
    d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

    Has the reporting entity self-designated PLGI securities? Yes [ ] No [X]

GENERAL INTERROGATORIES

PART 2 - HEALTH

1. Operating Percentages:

	1.1 A&H loss percent	89.0 %
	1.2 A&H cost containment percent	2.0 %
	1.3 A&H expense percent excluding cost containment expenses	87.0 %
2.1	Do you act as a custodian for health savings accounts?	Yes [ ] No [X]
2.2	If yes, please provide the amount of custodial funds held as of the reporting date	$ 0
2.3	Do you act as an administrator for health savings accounts?	Yes [ ] No [X]
2.4	If yes, please provide the balance of the funds administered as of the reporting date	$ 0
3.	Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?	Yes [ ] No [X]
3.1	If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?	Yes [ ] No [X]

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating

NONE

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

	1	Direct Business Only							
States, etc.	Active Status (a)	2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums & Other Considerations	7 Property/ Casualty Premiums	8 Total Columns 2 Through 7	9 Deposit-Type Contracts
1. Alabama AL	N							0	
2. Alaska AK	N							0	
3. Arizona AZ	N							0	
4. Arkansas AR	N							0	
5. California CA	N							0	
6. Colorado CO	N							0	
7. Connecticut CT	N							0	
8. Delaware DE	N							0	
9. District of Columbia DC	N							0	
10. Florida FL	N							0	
11. Georgia GA	N							0	
12. Hawaii HI	N							0	
13. Idaho ID	N							0	
14. Illinois IL	N							0	
15. Indiana IN	N							0	
16. Iowa IA	N							0	
17. Kansas KS	N							0	
18. Kentucky KY	N							0	
19. Louisiana LA	N							0	
20. Maine ME	N							0	
21. Maryland MD	N							0	
22. Massachusetts MA	N							0	
23. Michigan MI	N							0	
24. Minnesota MN	N							0	
25. Mississippi MS	N							0	
26. Missouri MO	N							0	
27. Montana MT	N							0	
28. Nebraska NE	N							0	
29. Nevada NV	N							0	
30. New Hampshire NH	N							0	
31. New Jersey NJ	N							0	
32. New Mexico NM	N							0	
33. New York NY	N							0	
34. North Carolina NC	N							0	
35. North Dakota ND	N							0	
36. Ohio OH	L		423,427,241					423,427,241	
37. Oklahoma OK	N							0	
38. Oregon OR	N							0	
39. Pennsylvania PA	N							0	
40. Rhode Island RI	N							0	
41. South Carolina SC	N							0	
42. South Dakota SD	N							0	
43. Tennessee TN	N							0	
44. Texas TX	N							0	
45. Utah UT	N							0	
46. Vermont VT	N							0	
47. Virginia VA	N							0	
48. Washington WA	N							0	
49. West Virginia WV	N							0	
50. Wisconsin WI	N							0	
51. Wyoming WY	N							0	
52. American Samoa AS	N							0	
53. Guam GU	N							0	
54. Puerto Rico PR	N							0	
55. U.S. Virgin Islands VI	N							0	
56. Northern Mariana Islands MP	N							0	
57. Canada CAN	N							0	
58. Aggregate Other Aliens OT	XXX	0	0	0	0	0	0	0	0
59. Subtotal	XXX	0	423,427,241	0	0	0	0	423,427,241	0
60. Reporting Entity Contributions for Employee Benefit Plans	XXX							0	
61. Totals (Direct Business)	XXX	0	423,427,241	0	0	0	0	423,427,241	0
DETAILS OF WRITE-INS									
58001.	XXX								
58002.	XXX								
58003.	XXX								
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	0	0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG 1

E - Eligible - Reporting entities eligible or approved to write surplus lines in the state 0

N - None of the above - Not allowed to write business in the state 56

R - Registered - Non-domiciled RRGs 0

Q - Qualified - Qualified or accredited reinsurer 0

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATION CHART

Trinity Health Corporation (an Indiana nonprofit); FEIN: 35-1443425 (PARENT CORPORATION)
Civica, Inc. (DE Nonprofit Nonstock Corporation); FEIN: (___% Controlled by Trinity Health Corporation)
Tri-Hospital Emergency Medical Services Corporation; FEIN: 38-2485700 (33.33% Controlled by Immediate Parent)
THRE Services LLC; FEIN: 45-2603654 (100% Controlled by Immediate Parent)
Trinity Assurance, LTD (Cayman Island) (100% Controlled by Trinity Health Corporation)
Michigan Co-Tenancy Laboratory (Trinity Health Corporation Partnership)
Mount Carmel Heath System [Ohio]; FEIN: 31-1439334 (100% Controlled by Trinity Health Corporation)
Mount Carmel East (dba of Mount Carmel Health System); FEIN: 31-1439334 (100% Controlled by Immediate Parent)
Mount Carmel West (dba of Mount Carmel Health System); FEIN: 31-1439334 (100% Controlled by Immediate Parent)
Mount Carmel St. Ann's (dba of Mount Carmel Health System); FEIN: 31-1439334 (100% Controlled by Immediate Parent)
Mount Carmel New Albany Surgical Hospital (dba of Mount Carmel Health System); FEIN: 31-1439334 (100% Controlled by Immediate Parent)
Mount Carmel Grove City (dba of Mount Carmel Health System); FEIN: 31-1439334 (100% Controlled by Immediate Parent)
Mount Carmel Health System Foundation; FEIN: 31-1113966 (100% Controlled by Immediate Parent)
Mount Carmel Health Plan, Inc. (HMO); FEIN: 31-1471229 (100% Controlled by Immediate Parent)
Mount Carmel Health Plan of Idaho, Inc.; FEIN: 83-1422704 (100% Controlled by Immediate Parent)
Mount Carmel Health Plan of New York, Inc.; FEIN: 83-3278543 (100% Controlled by Immediate Parent)
Mount Carmel Health Insurance Company (PPO); FEIN: 25-1912781 (100% Controlled by Immediate Parent)
Mount Carmel College of Nursing; FEIN: 31-1308555 (100% Controlled by Immediate Parent)
Patient Transport Services of Columbus LLC dba Columbus Connection; FEIN: 26-4601285 (50% Controlled by Immediate Parent)
OSU/Mount Carmel Health Alliance; FEIN: 31-1654603 (50% Controlled by Immediate Parent
Madison County Community Hospital; FEIN: 31-1657206 (40% Controlled by Immediate Parent)
Diley Ridge Medical Center; FEIN: 34-2032340 (70% Controlled by Immediate Parent)
Mount Carmel Health Partners, LLC; FEIN: 47-1139205 (100% Controlled by Immediate Parent)
Central Ohio Medical Textiles; FEIN: 38-3643188 (50% Controlled by Immediate Parent)
Mount Carmel HealthProviders, Inc. dba Mount Carmel Medical Group; FEIN: 31-1382442 (100% Controlled by Immediate Parent)
Mount Carmel HealthProviders Two, LLC; FEIN: 20-1983271 (100% Controlled by Immediate Parent)
Mount Carmel Health Providers III, LLC; FEIN: 20-4145781 (100% Controlled by Immediate Parent)
St. Ann's Medical Office Building III, LLC; FEIN: 20-1218559 (38.14% Controlled by Immediate Parent; 6.27% Controlled by Mt. Carmel Health Providers, Inc.)
Big Run Medical Office Building Limited Partnership; FEIN: 31-1608125 (76.92% Controlled by Immediate Parent)
MCHS Big Run Condominium Association; FEIN: 31-1571567 (50% Controlled by Immediate Parent)
Taylor Station Surgical Center, LTD; FEIN: 31-1459910 (40% Controlled by Immediate Parent)
Columbus Cyberknife, LLC; FEIN: 27-0865251 (35% Controlled by Immediate Parent)
New Albany Surgery Center, LLC; FEIN: 45-1617821 (35% Controlled by Immediate Parent)
MCE MOB IV Limited Partnership; FEIN: 42-1544707 (49.63% Controlled by Immediate Parent)
St Ann's Medical Office Building II Limited Partnership; FEIN: 31-1603660 (46.75% Controlled by Immediate Parent)
Mount Carmel East Professional Office Building III Limited Partnership; FEIN: 31-1369473 (27.5% Controlled by Immediate Parent)
Medilucent MOB I Limited Partnership; FEIN: 20-4913370 (25% Controlled by Immediate Parent)
Eastwind Surgical, LLC; FEIN: 90-0739342 (30.77841% Controlled by Immediate Parent)
Health Collaborative of Central Ohio, LLC; FEIN: 46-5603895 (100% Controlled by Immediate Parent)
Encompass Health Rehabilitation Hospital of Westerville, LLC dba Mount Carmel Rehabilitation Hospital, an Affiliate of Encompass Health; FEIN: 47-4200156 (20.4% Controlling Interest held by Immediate Parent)
Holy Cross Health, Inc. [Maryland]; FEIN: 52-0738041 (100% Controlled by Trinity Health Corporation)
Holy Cross Hospital (dba of Holy Cross Health, Inc.); FEIN: 52-0738041 (100% Controlled by Immediate Parent)
Holy Cross Germantown Hospital (dba of Holy Cross Health, Inc.); FEIN: 52-0738041 (100% Controlled by Immediate Parent)
Holy Cross Health Network (dba of Holy Cross Health, Inc.); FEIN: 52-0738041 (100% Controlled by Immediate Parent)
Maryland Care Group, Inc.; FEIN: 52-1815313 (100% Controlled by Immediate Parent)
Holy Cross Health Foundation, Inc.; FEIN: 20-8428450 (100% Controlled by Immediate Parent)
Chesapeake Potomac Regional Cancer Center, LLC; FEIN: 20-3762277 (20% Controlled by Immediate Parent)
Doctors' Regional Cancer Center, LLC; FEIN: 20-8889327 (20% Controlled by Immediate Parent)
Maryland Care, Inc. d/b/a Maryland Physician Care MCO; FEIN: 22-3476498 (25% Controlled by Immediate Parent)
Maryland Care Management, Inc. dba Maryland Physician Care MCO; FEIN: 20-4771530 (25% Controlled by Immediate Parent)
The Blue Door Pharmacy, LLC; FEIN: 47-3638756 (25% Controlled by Immediate Parent)
Holy Cross Health Centers, LLC; FEIN: 82-2340203 (100% Controlled by Immediate Parent)
Holy Cross Health Partners, LLC; FEIN 82-2391212 (100% Controlled by Immediate Parent)
Mercy Health Network, Inc. d/b/a MercyOne FEIN: 42-1478417 (50% Controlled by Immediate Parent; 50% Controlled by CommonSpirit Health (Catholic Health Initiatives) [Iowa/Nebraska]
Wellmark Value Health Plan, Inc.; FEIN: 42-1264647 (50% Controlled by Mercy Health Network, Inc.)
Mercy Community Hospital Group, LLC; FEIN: 35-2473948 (100% Controlled by Immediate Parent)
Central Community Hospital dba MercyOne Elkader Medical Center; FEIN: 42-0818642 (100% Controlled by Immediate Parent)
Wheaton Franciscan Healthcare - Iowa, Inc. dba MercyOne Northeast Iowa; FEIN: 42-1177001 (100% Controlled by MHN)
N.E. Iowa Real Estate Investments, Ltd.; FEIN: 42-1207432 (100% Controlled by Immediate Parent)
Mercy Hospital of Franciscan Sisters, Inc. dba MercyOne Oelwein Medical Center; FEIN: 42-1178403 (100% Controlled by Immediate Parent)
Covenant Medical Center, Inc. dba MercyOne Waterloo Medical Center; FEIN: 42-1264647 (100% Controlled by Immediate Parent)
Covenant Foundation, Inc. dba MercyOne Waterloo Foundation; FEIN: 42-1295784 (100% Controlled by Immediate Parent)
Sartori Memorial Hospital, Inc. dba MercyOne Cedar Falls Medical Center; FEIN: 42-0758901 (100% Controlled by Immediate Parent)
Sartori Health Care Foundation, Inc. dba MercyOne Cedar Falls Foundation; FEIN: 42-1240996 (100% Controlled by Immediate Parent)
Mercy Health Services - Iowa, Corp. [Iowa/Nebraska]; FEIN: 31-1373080 (100% Controlled by Trinity Health Corporation; Subject to Mercy Health Network, Inc. JOA
Mercy Medical Center - Clinton, Inc. dba MercyOne Clinton Medical Center; FEIN: 42-1336618 (100% Controlled by Immediate Parent)
Mercy-Clinton Anesthesia Group, LLC; FEIN: 46-1906752 (100% Controlled by Immediate Parent)
Clinton Imaging Services, L.L.C.; FEIN: 41-2044739 (65% Controlled by Immediate Parent)
MercyOne Dyersville Medical Center (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080)
MercyOne Dubuque Medical Center (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080)
Dubuque Mercy Health Foundation, Inc. dba MercyOne Dubuque Foundation; FEIN: 26-2227941 (100% Controlled by Immediate Parent)
Dyersville Health Foundation, Inc. dba MercyOne Dyersville Foundation; FEIN: 20-5383271 (100% Controlled by Immediate Parent)
United Clinical Laboratories, Inc.; FEIN: 42-1268486 (33.33% Controlled by Immediate Parent)
Preferred Health Choices, L.L.C.; FEIN: 90-0139311 (50% Controlled by Immediate Parent)
Health Management Services, L.L.C.; FEIN: 46-1861361 (50% Controlled by MercyOne Dubuque Medical Center (dba of Mercy Health Services - Iowa Corp.; FEIN: 31-1373080)
Tri-State Surgery Center, L.L.C.; FEIN: 91-1900559 (100% Controlled by Immediate Parent)
Medical Associates/Mercy Family Care Network, L.L.C.; FEIN: 42-1478444 (100% Controlled by Immediate Parent)
Tri-State Occupational Health, L.L.C.; FEIN: 90-1039315 (100% Controlled by Immediate Parent)
MercyOne New Hampton Medical Center (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080)
MercyOne North Iowa Medical Center (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080)
Hospice of North Iowa dba MercyOne North Iowa Hospice; FEIN: 42-1173708 (100% Controlled by Immediate Parent)

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATION CHART

Mercy Medical Center Foundation - North Iowa dba MercyOne North Iowa Foundation; FEIN: 42-1229151 (100% Controlled by Immediate Parent)
Forest Park Imaging, LLC; FEIN: 13-4365966 (52.89% Controlled by Mercy Medical Center - North Iowa (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080))
Fresenius Kidney Center North Iowa; FEIN: 81-2470407 (20% Controlled by Immediate Parent)
Surgical Center Building Associates, LLC; FEIN: 31-1373080 (35% Controlled by Mercy Medical Center - North Iowa (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080))
YMCA and Rehabilitation Center; FEIN: 42-1491491 (50% Controlled by Mercy Medical Center - North Iowa (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080))
Magnetic Resonance Services, LLC; FEIN: 42-1328388 (49% Controlled by Mercy Medical Center - North Iowa (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080)
Mason City Ambulatory Surgery Center, LLC dba Mason City Surgery Center; FEIN: 20-1960348 (51% Controlled by Mercy Medical Center - North Iowa (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080)) Mercy Heart Center Outpatient Services, LLC; FEIN: 13-4237594 (51% Controlled by Mercy Medical Center - North Iowa (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080))
Iowa Falls Clinic; FEIN: 42-1467712 (50% Controlled by Mercy Medical Center - North Iowa (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080))
MercyOne Siouxland Medical Center (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080)
Hawarden Regional Healthcare Clinics, L.L.C.; FEIN: 42-6005851 (50% Controlled by Mercy Medical Center - Sioux City (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080)
Mercy Medical Services, Inc. dba MercyOne Medical Group - Siouxland; FEIN: 42-1283849 (100% Controlled by Immediate Parent)
Mercy Medical Center - Sioux City Foundation dba MercyOne Siouxland Foundation; FEIN: 14-1880022 (100% Controlled by Immediate Parent)
Health, Incorporated; FEIN: 31-1712115 (50% Controlled by Mercy Medical Center - Sioux City (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080))
Siouxland Paramedics, Inc.; FEIN: 42-1185707 (100% Controlled by Immediate Parent)
Siouxland PACE, Inc.; FEIN: 26-1120134 (100% Controlled by Immediate Parent)
Siouxland Regional Cancer Center dba June E. Nylen Cancer Center; FEIN: 42-1411233 (100% Controlled by Immediate Parent)
Hospice of Siouxland; FEIN: 38-3320710 (100% Controlled by Immediate Parent)
Mercy/USP Health Ventures, L.L.C.; FEIN: 47-1290300 (55.71% Controlled by Mercy Medical Center - Sioux City (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080)
Siouxland Surgery Center Limited Liability Partnership; FEIN: 46-0423353 (55.54% Controlled by Immediate Parent)
Oakland Mercy Hospital dba MercyOne Oakland Medical Center; FEIN: 20-8072234 (100% Controlled by Immediate Parent)
Oakland Mercy Hospital Foundation dba MercyOne Oakland Foundation; FEIN: 31-1678345 (100% Controlled by Immediate Parent)
Baum Harmon Mercy Hospital dba MercyOne Primghar Medical Center; FEIN: 42-1500277 (100% Controlled by Immediate Parent
Baum Harmon Mercy Hospital and Clinics Foundation dba MercyOne Primghar Foundation; FEIN: 26-2973307 (100% Controlled by Immediate Parent) Saint Joseph Regional Medical Center, Inc. [Indiana]; FEIN: 35-1568821 (100% Controlled by Trinity Health
The Foundation of Saint Joseph Regional Medical Center, Inc.; FEIN: 35-1654543 (100% Controlled by Immediate Parent)
Alick's Home Medical Equipment, Inc.; FEIN: 35-1548294 (13.50% Controlled by Immediate Parent)
Saint Joseph Regional Medical Center - Health Insurance Services, LLC; FEIN: 46-2814097 (100% Controlled by Immediate Parent)
Northern Indiana Magnetic Resonance Center, LLP; FEIN: 35-1832912 (25% Controlled by Immediate Parent)
Select Health Network, Inc.; FEIN: 35-1932210 (50% Controlled by Immediate Parent)
Michiana Heath Information Network, LLC; FEIN: 35-2050128 (33.33% Controlled by Immediate Parent)
Edison Lakes, Inc.; FEIN: 35-1783309 (23.84% Controlled by Immediate Parent)
Edison Lakes ROC, LLC; FEIN: 27-1778694 (30% Controlled by Immediate Parent)
Saint Joseph Regional Medical Center - South Bend Campus Inc.; FEIN: 35-0868157 (100% Controlled by Immediate Parent)
Saint Joseph Regional Medical Center - Plymouth Campus, Inc.; FEIN: 35-1142669 (100% Controlled by Immediate Parent)
SJRMC Holdings, Inc.; FEIN: 47-4763735 (100% Controlled by Immediate Parent)
Michiana Urgent Care Management, LLC; FEIN: 47-4279865 (40% Controlled by Immediate Parent)
Saint Alphonsus Health System, Inc. [Idaho/Oregon]; FEIN: 27-1929502 (100% Controlled by Trinity Health)
Saint Alphonsus Medical Center - Nampa, Inc.; FEIN: 82-0200896 (100% Controlled by Immediate Parent)
MedNow, Inc.; FEIN: 82-0389927 (100% Controlled by Immediate Parent)
Saint Alphonsus Medical Center - Nampa Health Foundation, Inc.; FEIN: 26-1737256 (100% Controlled by Immediate Parent)
Saint Alphonsus Medical Center Nampa Medical Staff (an Unincorporated Nonprofit Association); FEIN: 46-1123092
Saint Alphonsus Regional Medical Center, Inc.; FEIN: 82-0200895 (100% Controlled by Immediate Parent)
Saint Alphonsus Regional Medical Center Auxiliary, Inc.; FEIN: 82-6009027 (100% Controlled by Immediate Parent)
Life Flight Network, LLC; FEIN: 20-5016802 (25% Controlled by Immediate Parent)
Saint Alphonsus Diversified Care, Inc.; FEIN: 94-3028978 (100% Controlled by Immediate Parent)
Emergency Medical Plazas of Idaho, LLC; FEIN: 81-4098266 (50% Controlled by Immediate Parent)
Intermountain Medical Imaging, LLC; FEIN: 82-0514422 (50% Controlled by Immediate Parent)
Saint Alphonsus Caldwell Cancer Treatment Center, L.L.C.; FEIN: 82-0526861 (80% Controlled by Immediate Parent)
Eagle ED Real Estate LLC; FEIN: 20-8836798 (100% Controlled by Immediate Parent)
Saint Alphonsus Home Health and Hospice, LLC; FEIN: 20-3942050 (50% Controlled by Immediate Parent)
Saint Alphonsus Professional Medical Services LLC; FEIN: 46-0500210 (100% Controlled by Immediate Parent)
Saint Alphonsus Specialty Services, Inc.; FEIN: 26-0553931 (100% Controlled by Immediate Parent)
Saint Alphonsus Medical Center - Ontario, Inc.; FEIN: 27-1789847 (100% Controlled by Immediate Parent)
Saint Alphonsus Medical Center Ontario Volunteers; FEIN: 94-3059469 (100% Controlled by Immediate Parent)
Saint Alphonsus Foundation - Ontario, Inc.; FEIN: 20-2683560 (100% Controlled by Immediate Parent)
Saint Alphonsus Medical Center - Baker City, Inc.; FEIN: 27-1790052 (100% Controlled by Immediate Parent)
Saint Alphonsus Foundation - Baker City, Inc.; FEIN: 94-3164869 (100% Controlled by Immediate Parent)
Eastern Oregon Coordinated Care Organization, LLC; FEIN: (10% Controlled by Saint Alphonsus Health System, Inc.)
Saint Alphonsus Health Alliance, Inc.; FEIN: 82-0524649 (100% Controlled by Saint Alphonsus Health System, Inc.
Health Alliance Integrated Care, LLC; FEIN: 371755768 (100% Controlled by Saint Alphonsus Health System, Inc.
Trinity Health - Michigan [Michigan]; FEIN: 38-2113393 (100% Controlled by Trinity Health Corporation)
Saint Joseph Mercy Health System (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)
Joseph Mercy Chelsea, Inc. dba St. Joseph Mercy Chelsea Hospital; dba Chelsea Community Hospital, A Member of The Saint Joseph Mercy Health System; dba Chelseacare; dba Chelseacare Pharmacy; dba SRSLY; dba St. Joseph Mercy Chelsea-Cancer Center (New MI Corporation, Incorporated 1.31.18); FEIN: 82-4757260 (51% Controlled by Immediate Parent; 49% Controlled by University of Michigan)
St. Joseph Mercy Hospital, Ann Arbor; (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)
Saint Joseph Mercy Livingston Hospital (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent
St. Mary Mercy Hospital; Saint Mary Mercy Livonia (Division of and dbas for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)
St. Joseph Mercy Oakland (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)
Mercy Health Saint Mary's (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)
Saint Mary's Foundation; FEIN: 38-1779602 (100% Controlled by Immediate Parent)
Mercy Hospital Cadillac Foundation; FEIN: 20-3357131 (100% Controlled by Immediate Parent)
Metropolitan Detroit Area Hospital Services, Inc.; FEIN: 38-1958953 (A Michgan Non-Profit Co-Op); Members include Trinity Health- Michigan d/b/a Saint Joseph Mercy Health System; Henry Ford Health System, Inc.; and University of Michigan

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATION CHART

- Health Park Central, L.L.C.; FEIN: 38-3006501 (10.55% Controlled by Immediate Parent)
- Together Health Network, L.L.C.; FEIN: 47-1573173; (47.5% Controlled by Immediate Parent)
- Sixty-Fourth Street, LLC; FEIN: FEIN: 20-2443646; (53.94% Controlled by Immediate Parent)
- Washtenaw/Livingston Medical Control Corporation; FEIN: 38-2843970 (52.5% Controlled by Immediate Parent Mission Health Corporation; FEIN: 38-3181557 (50% Controlled by Immediate Parent)
- Center for Digestive Care, LLC: FEIN: 03-0447062 (51% Controlled by Immediate Parent)
- Huron Arbor Corporation; FEIN: 38-2475644 (100% Controlled by Immediate Parent)
  - Parkprop, LLC; FEIN: 27-3074736 (100% Controlled by Immediate Parent)
- Probility Therapy Services; FEIN: 20-2020239 (100% Controlled by Immediate Parent)
- Advantage Health/ Saint Mary's Medical Group d/b/a Mercy Health Physician Partners; FEIN: 27-2491974 (100% Controlled by Immediate Parent)
- Advent Rehabilitation LLC; FEIN: 38-3306673 (50% Controlled by Immediate Parent
- Life Circles; FEIN: 26-0170498 (25.5% Controlled by Immediate Parent)
- Woodland Imaging Center, LLC dba Avant Imaging; FEIN: 76-0820959 (51% Controlled by Immediate Parent);
- IHA Health Services Corporation; FEIN: 38-3316559 (100% Controlled by Immediate Parent)
  - Huron Valley CT Center, LLC; FEIN: (100% Controlled by Immediate Parent)
  - IHA Affiliation Corp.; FEIN: 38-3188895 (100% Controlled by Immediate Parent)
  - McAuley Health Partners ACO, LLC; FEIN: 83-0959900 (100% Controlled by Immediate Parent)
- Catherine McAuley Health Services Corporation; FEIN: 38-2507173 (100% Controlled by Immediate Parent)
- The Waterford Surgical Center, LLC; FEIN: 27-1110813 (33.02% Controlled by Immediate Parent)
- Physician Direct Accountable Care Organization; FEIN: 45-5589234 (25% Controlled by Immediate Parent)
  - Oakland Health Alliance, LLC; FEIN: 82-2021072 (50% Controlled by Immediate Parent)
- Southeast Michigan Clinical Network, LLC; FEIN: 47-3856789 (100% Controlled by Immediate Parent)
- Frances Warde Medical Laboratory (Trinity Health - Michigan Partnership); FEIN: 38-2648446 (66.6% Controlled by Immediate Parent)
- <u>Mercy Health Partners; FEIN: 38-2589966 (100% Controlled by Immediate Parent)</u>
  - Mercy Health Mercy Campus (dba of Mercy Health Partners); FEIN: 38-2589966 (100% Controlled by Immediate Parent)
  - Mercy Health Hackley Campus (dba of Mercy Health Partners); FEIN: 38-2589966 (100% Controlled by Immediate Parent)
  - Mercy Health Lakeshore Campus (dba of Mercy Health Partners); FEIN: 38-2589966 (100% Controlled by Immediate Parent)
  - Muskegon Community Health Project; FEIN: 91-1932918 (100% Controlled by Immediate Parent)
  - Muskegon SC, LLC; FEIN: 20-3244346 (34.88% Controlled by Immediate Parent)
  - West Shore Professional Building Condominium Association; FEIN: 38-2700166 (96% Controlled by Immediate Parent)
  - Professional Med Team; FEIN: 38-2638284 (100% Controlled by Immediate Parent)
    - Mobile Health Resources, L.L.C.; FEIN: 38-3285823 (14.3% Controlled by Immediate Parent)
  - Mercy Health Clinically Integrated Network, LLC dba Affinia Health Network; FEIN: 47-2070753 (100% Controlled by Immediate Parent)
    - Affinia Physician Network, LLC; FEIN: 82-2810979 (100% Controlled by Immediate Parent)
  - Western Michigan Associates; FEIN: 38-2960292 (14.06% Controlled by Immediate Parent; 9.34% Controlled by Trinity Health - Michigan)
  - West Michigan Shared Hospital Laundry; FEIN: 38-2026913 (14.06% Controlled by Immediate Parent; 9.34% Interest Held by Trinity Health - Michigan)
  - Hackley Health Ventures, Inc.; FEIN: 38-2589959 (100% Controlled by Immediate Parent)
    - Hackley Professional Pharmacy, Inc. dba Mercy Health Partners-Pharmacy Inc.; dba Mercy Health Pharmacy - Lakes; dba Mercy Health Pharmacy - North Muskegon; FEIN: 38-2447870 (100% Controlled by Immediate Parent)
    - Workplace Health of Grand Haven, Inc.; FEIN: 38-3112035 (80% Controlled by Immediate Parent)
- Northern Michigan Supply Alliance, L.L.C.; FEIN: 38-3453378 (50% Controlled by Immediate Parent)

<u>Loyola University Health System [Illinois]; FEIN: 36-3342448 (100% Controlled by Trinity Health Corporation</u>

- Loyola Physician Partners ACO, LLC; FEIN: 38-3930598 (100% Controlled by Immediate Parent)
- Gottlieb Memorial Hospital; FEIN: 36-2379649 (100% Controlled by Immediate Parent)
  - Gottlieb/West Towns PHO, Inc.; FEIN: 36-4006263 (50% Controlled by Immediate Parent)
- Gottlieb Community Health Services Corporation dba MacNeal Hospital; FEIN: 36-3332852 (100% Controlled by Immediate Parent)
  - L. Medicine Labs, LLC; FEIN: 37-1878743 (100% Controlled by Immediate Parent)
  - Oakland Health Alliance, LLC; FEIN: 82-2021072 (50% Controlled by Immediate Parent)
  - Primary Care Physicians Center, L.L.C.; FEIN: 36-4038505 (94% Controlled by GCHSC)
  - Chicago Health System ACO, LLC; FEIN: 45-3020116 (100% Controlled by GCHSC)
  - MacNeal Health Providers, Inc. dba Chicago Health System, Inc.; FEIN: 36-3361297 (100% Controlled by GCHSC)
  - Gottlieb Management Services, Inc.; FEIN: 36-3330529 (100% Controlled by Immediate Parent)
- Loyola University Medical Center; FEIN: 36-4015560 (100% Controlled by Immediate Parent)
  - Loyola Ambulatory Centers, LLC; FEIN: 36-4321058 (100% Controlled by Immediate Parent)
    - Loyola Ambulatory Surgery Center at Oakbrook, Inc.; FEIN: 36-4119522 (49% Controlled by Immediate Parent)
  - RMLHP Corporation; FEIN: 36-4160869 (50% Controlled by Immediate Parent)
  - Loyola Medicine Transport LLC; FEIN 47-4147171 (51% Controlled by Immediate Parent) Loyola Medical Group, LLC; FEIN: 32-0552496 (100% Controlled by LUMC)
  - South Campus Partners, Inc.;FEIN: 32-0517854 (49% Controlled by LUMC)
  - Palos Health Surgery Center, LLC; FEIN: Not Yet Filed (48.5% Controlled by Loyola University Medical Center)
- Loyola Physician Partners, LLC; FEIN: 37-1756257; (100% Controlled by Immediate Parent)

<u>Mercy Health System of Chicago [Illinois]; FEIN: 36-3163327 (100% Controlled by Trinity Health</u>)

- Mercy Hospital and Medical Center; FEIN: 36-2170152 (100% Controlled by Immediate Parent)
  - Mercy Advanced MRI, LLC; FEIN: 26-2116721 (100% Controlled by Immediate Parent)
- Mercy Foundation, Inc.; FEIN: 36-3227350 (100% Controlled by Immediate Parent)
- Mercy Services Corporation; FEIN: 36-3227348 (100% Controlled by Immediate Parent)
- Mercy Quality Health Partners ACO, LLC; FEIN: 38-3971072 (100% Controlled by Immediate Parent)
- Mercy Quality Health Partners, LLC; FEIN: 36-4798692 (100% Controlled by Immediate Parent)

<u>Saint Agnes Medical Center [California]; FEIN: 94-1437713 (100% Controlled by Trinity Health</u>)

- Saint Agnes Medical Foundation dba Saint Agnes Care; Saint Agnes Care Center-Northwest; and Saint Agnes Urgent Care; FEIN: 94-2839324 (100% Controlled by Immediate Parent)
- Saint Agnes Medical Providers, Inc.; FEIN: 46-1465093 (Sole Shareholder licensed physicians appointed by SAMC - No Controlled by SAMC)
- California Healthcare Management Partners, Inc.; FEIN: 82-0961647 (100%Controlled by Immediate Parent)
- California Healthcare Capital Partners, LLC; FEIN: 81-2937390 (33% Controlled by Immediate Parent
  - Central Valley Health Plan, Inc.; FEIN: 61-1846844 (100% Controlled by Immediate Parent)

<u>Mercy Medical, A Corporation [Alabama]; FEIN: 63-6002215 (100% Controlled by Trinity Health</u>)

<u>Pittsburgh Mercy Health System, Inc. [Pennsylvania]; FEIN: 25-1464211 (100% Controlled by Trinity Health)</u>

- Mercy Life Center Corporation; FEIN: 25-1604115 (100% Controlled by Immediate Parent)
- McAuley Ministries; FEIN: 94-3436142 (100% Controlled by Immediate Parent)
- Bethlehem Haven of Pittsburgh, Inc.; FEIN: 25-1436685 (100% Controlled by Immediate Parent)
  - BH Venture Mgmt LLC; FEIN: 83-2416426 (100% Controlled by Bethlehem Haven of Pittsburgh, Inc.)
  - BH Venture One LP; FEIN: 38-4098074 (99% Controlled by Limited Partner Bethlehem Haven of Pittsburgh, Inc. and 1% Controlled by General Partner BH Venture Mgmt, LLC)
- Living Independence for the Elderly - Pittsburgh, Inc. d/b/a LIFE Pittsburgh; FEIN: 25-1815436 (50% Controlled by Immediate Parent

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATION CHART

- Trinity Continuing Care Services (multistate operation - incorporated in Michigan); FEIN: 38-2559656 (100 % Controlled by Trinity Health Corporation
  - Trinity Senior Services Management, Inc.; FEIN: 37-1572595 (100% Controlled by Trinity Continuing Care Services/Trinity Health
  - Holy Cross CareNet, Inc.; FEIN: 52-1945054 (100% Controlled by Immediate Parent)
  - Mary Free Bed Sub-Acute Rehabilitation; FEIN: 46-3971740 (50% Controlled by Immediate Parent)
  - Mercy Services for Aging Nonprofit Housing Corporation; FEIN: 38-2719605 (100% Controlled by Immediate Parent)
  - Trinity Continuing Care Services - Indiana, Inc.; FEIN: 93-0907047 (100% Controlled by Immediate Parent)
    - Saint Joseph's Tower Inc.; FEIN: 31-1040468 (100% Controlled by Immediate Parent)
  - Saint Joseph of the Pines, Inc.; FEIN: 56-0694200 (100% Controlled by Immediate Parent)
    - LIFE St. Joseph of the Pines, Inc.; FEIN: 27-2159847 (100% Controlled by Immediate Parent)
  - Mercy Community Health, Inc.: FEIN: 06-1492707 (100% Controlled by Immediate Parent)
    - Saint Mary Home, Incorporated; FEIN: 06-0646843 (100% Controlled by Immediate Parent) McAuley Center, Incorporated; FEIN: 06-1058086 (100% Controlled by Immediate Parent)
    - Mount St. Joseph dba Mount Saint Joseph Residence and Rehabilitation; FEIN: 01-0274998 (100% Controlled by Immediate Parent Glacier Hills, Inc.; FEIN: 38-1891500 (100% Controlled by Immediate Parent)
  - Glacier Hills Foundation; FEIN: 20-8072723 (100% Controlled by Immediate Parent)
  - Trinity Continuing Care Services - Massachusetts; FEIN: 82-4005577 (100% Controlled by Immediate Parent)
- Trinity Home Health Services (multistate operation - incorporated in Michigan); FEIN: 38-2621935 (100% Controlled by Trinity Health Corporation)
  - THHS Oakland; FEIN: 38-3320699 (100% Controlled by Immediate Parent)
  - Hospice of Muskegon County, Inc.; FEIN: 38-2415247 (20% Controlled by Trinity Home Health Services)
  - Mercy Amicare Home Healthcare, Oakland; FEIN: 38-3320698 (100% Controlled by Immediate Parent)
  - Mercy General Health Partners, Amicare Homecare dba North Ottawa at Home; FEIN: 38-3.321856 (100% Controlled by Immediate Parent)
  - Saint Mary's Amicare Home Healthcare; FEIN: 38-3320700 (100% Controlled by Immediate Parent)
- Trinity Health PACE; FEIN: 47-3073124 (100% Controlled by Immediate Parent) (multistate operation - incorporated in Michigan
  - Saint Joseph PACE Inc.; FEIN: 47-3129127 (100% Controlled by Immediate Parent)
  - Trinity Health LIFE Pennsylvania Inc. dba Mercy LIFE - West Philadelphia; FEIN: 47-5244984 (100% Controlled)
  - Mercy LIFE of Alabama; FEIN: 27-3163002 (100% Controlled by Immediate Parent)
  - Mercy LIFE, Inc.; FEIN: 45-3086711 (100% Controlled by Immediate Parent)
  - LIFE at Lourdes Inc. dba Trinity Health LIFE New Jersey; FEIN: 26-1854750 (100% Controlled by Immediate Parent)
- Trinity Health Partners, L.L.C.; FEIN: 47-2798085 (100% Controlled by Trinity Health)
  - Trinity Health Partners - Michigan, L.L.C.; FEIN: 35-2534698 (100% Controlled by Immediate Parent)
  - Trinity Health Partners - Idaho, L.L.C.; FEIN: 30-0875741 (100% Controlled by Immediate Parent)
  - Trinity Health Partners - Illinois, L.L.C.; FEIN: 39-1828147 (100% Controlled by Immediate Parent)
  - Trinity Health Partners - New Jersey, L.L.C.; FEIN: 36-4838390 (100% Controlled by Immediate Parent)
- Trinity Health ACO, Inc.; FEIN: 47-3794666 (100% Controlled by Trinity Health)
  - Trinity Integrated Care, LLC; FEIN: 81-2772183 (100% Controlled by Immediate Parent)
  - Trinity Accountable Care, LLC; FEIN: 81-2780900 (100% Controlled by Immediate Parent)
  - Trinity Accountable Care II, LLC; FEIN: 84-2508775 (100% Controlled by Immediate Parent)
- Trinity Health of the Mid-Atlantic Region (Effective 7.1.19; formerly Mercy Health System of Southeastern Pennsylvania) [Pennsylvania]; FEIN: 23-2212638 (100% Controlled by Trinity Health)
  - Mercy Health Foundation of Southeastern Pennsylvania; FEIN: 23-2829864 (100% Controlled by Immediate Parent
  - Mercy Catholic Medical Center of Southeastern Pennsylvania; FEIN: 23-1352191 (100% Controlled by Immediate Parent
    - Mercy Fitzgerald Hospital (dba of Mercy Catholic Medical Center of Southeastern Pennsylvania); FEIN: 23-1352191 (100% Controlled by Mercy Health System of Southeastern Pennsylvania)
    - Mercy Philadelphia Hospital (dba of Mercy Catholic Medical Center of Southeastern Pennsylvania); FEIN: 23-1352191 (100% Controlled by Mercy Health System of Southeastern Pennsylvania
  - Mercy Suburban Hospital (Inactive - Assets Sold 2/1/2016 but entity remains); FEIN: 23-1396763 (100% Controlled by Immediate Parent)
  - Nazareth Hospital; FEIN: 23-2794121 (100% Controlled by Immediate Parent)
    - Nazareth Health Care Foundation; FEIN: 23-2300951 (100% Controlled by Immediate Parent)
    - Nazareth Medical Office Building Associates, L.P.; FEIN: 23-2388040 (63.85% Controlled by Immediate Parent)
  - St. Agnes Continuing Care Center; FEIN: 23-2840137 (100% Controlled by Immediate Parent)
    - Mercy Accountable Care Network, LLC; FEIN: 46-2774097 (100% Controlled by Immediate Parent)
    - Mercy Accountable Care, LLC; FEIN: 46-2774097 (100% Controlled by Immediate Parent)
  - Mercy Health Plan; FEIN: 22-2483605 (100% Controlled by Immediate Parent)
    - Gateway Health Plan, L.P. (50% Controlled by Immediate Parent); FEIN: 25-1691945
      - Gateway Health Plan, Inc.; FEIN: 25-1505506 (100% Controlled by Immediate Parent)
      - Gateway Health Plan of Ohio, Inc.; FEIN: 30-0282076 (100% Controlled by Immediate Parent)
  - Mercy Home Health Services; FEIN: 23-2325058 (100% Controlled by Immediate Parent)
    - Mercy Home Health; FEIN: 23-1352099 (100% Controlled by Immediate Parent)
    - Mercy Family Support; FEIN: 23-2325059 (100% Controlled by Immediate Parent)
  - Mercy Physician Network; FEIN: 46-1187365 (100% Controlled by Immediate Parent)
    - Nazareth Physician Services, Inc.; FEIN: 20-3261266 (100% Controlled by Immediate Parent)
    - N.E. Physician Services, Inc.; FEIN: 23-2497355 (100% Controlled by Immediate Parent)
    - East Norriton Physicians Services, Inc. (Inactive - Assets Sold 2/1/2016 but entity remains); FEIN: 23-2515999 (100% Controlled by Immediate Parent)
    - Mercy Management of Southeastern Pennsylvania; FEIN: 23-2627944 (100% Controlled by Immediate Parent)
      - Mercy/Manor Partnership (50% Controlled by Immediate Parent); FEIN: 52-1931012
  - Mercy Eastwick, Inc.; FEIN: 23-2184261 (100% Controlled by Immediate Parent)
  - Effective 7.1.19: St. Mary Medical Center [Pennsylvania]; FEIN: 23-1913910 (100% Controlled by Trinity Health of the Mid-Atlantic)
    - Langhorne Physician Services; FEIN: 23-2571699 (100% Controlled by Immediate Parent)
    - LIFE St. Mary; FEIN: 26-2976184 (100% Controlled by Immediate Parent)
    - St. Mary Emergency Medical Services; FEIN: 46-5354512 (100% Controlled by Immediate Parent)
    - St. Mary Building and Development; FEIN: 46-1827502 (100% Controlled by Immediate Parent)
    - Langhorne Services, Inc.; FEIN: 23-2625981 (100% Controlled by Immediate Parent)
    - Langhorne Services II, Inc.; FEIN: 23-3795549 (100% Controlled by Immediate Parent)
    - Langhorne MOB Partners, L.P.; FEIN: 23-2622772 (39.08% Controlled by Immediate Parent)
    - The Ambulatory Surgery Center at St. Mary, LLC; FEIN: 23-2871206 (51% Controlled by Immediate Parent)
    - SMMC MOB II, Limited Partnership; FEIN: 36-4559869 (65.75% Controlled by Immediate Parent)
    - Quality Health Alliance LLC; FEIN: 46-5686622 (100% Controlled by Immediate Parent)
    - Quality Health Alliance - ACO LLC; FEIN: 46-5675954 (100% Controlled by Immediate Parent)
    - Endoscopy Center at St. Mary, LP; FEIN: 20-5253361 (16.349% Controlled by Immediate Parent)
    - St. Mary Rehabilitation Hospital, LLP; FEIN: 27-3938747 (59% Controlled by SMMC)
    - Heart Institute of St. Mary, LLC; FEIN: 45-4903701 (10% Controlled by SMMC)
    - Effective 7.1.19: St. Francis Hospital, Inc. [Delaware]; FEIN: 51-0064326 (100% Controlled by Trinity Health of the Mid-Atlantic St. Francis Foundation; FEIN: 51-0374158 (100% Controlled by Immediate Parent)

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATION CHART

- LIFE at St. Francis Healthcare, Inc.; FEIN: 45-2569214 (100% Controlled by Immediate Parent)
- **Per CT Audit, ENTITY IS VOID IN DE AS OF 3/1/2016- WAITING TO HEAR FINAL DISPOSITION FROM MINISTRY AND WHETHER ENTITY WILL BE REINSTATED; Franciscan Eldercare Corporation; FEIN: 22-3008680 (100% Controlled by Immediate Parent)**
- Delaware Care Collaboration ("DCC") LLC; FEIN: 47-4069475 (100% Controlled by Immediate Parent)

St. Mary's Health Care System, Inc. [Georgia] dba St. Mary's Hospital; FEIN: 58-0566223 (100% Controlled by Trinity Health)
- St. Mary's Foundation, Inc.; FEIN: 58-2544232 (100% Controlled by Immediate Parent)
- St. Mary's Sacred Heart Hospital, Inc. dba HealthWorks; FEIN: 47-3752176 (100% Controlled by Immediate Parent)
  - Sacred Heart Enterprises, LLC; FEIN: 35-2534772 (100% Controlled by Immedicate Parent)
    - Cobb Enterprises, LLC: FEIN: 20-8356011 (100% Controlled by Immediate Parent)
- Good Samaritan Hospital, Inc. dba St. Mary's Good Samaritan Hospital; FEIN: 26-1720984 (100% Controlled by Immediate Parent)
- St. Mary's Good Samaritan Foundation, Inc.; FEIN: 81-1660088 (100% Controlled by Immediate Parent)
- St. Mary's Medical Group, Inc.; FEIN: 26-1858563 (100% Controlled by Immediate Parent)
- St. Mary's Highland Hills, Inc. dba St. Mary's Highland Hills Village and dba Highland Hills Village; FEIN: 02-0576648 (100% Controlled by Immediate Parent)
- Athens Residential Properties, LLC; FEIN: Not Issued (100% Controlled by Immediate Parent)

Maxis Health System [Pennsylvania]; FEIN: 91-1940902 (100% Controlled by Trinity Health)
- St. Francis Medical Center, a New Jersey Nonprofit Corporation [New Jersey]; FEIN: 22-3431049 (100% Controlled by Maxis Health System [PA] which is 100% Controlled by Trinity Health
  - St. Francis Medical Center Foundation, Inc.; FEIN: 52-1025476 (100% Controlled by Immediate Parent)
  - LIFE St Francis, a New Jersey Non-Profit Corporation (PACE); FEIN: 22-2797282 (100% Controlled by Immediate Parent)
  - LifeCare Physicians Professional Corporation (Managed and Controlled but not Controlled by St. Francis Medical Center); FEIN: 26-1649038 St. Francis Community Health Services, LLC; FEIN: 46-1801229 (100% Controlled by Immediate Parent)
  - **Central New Jersey Heart Services, LLC; Per CT Audit, ENTITY WAS CANCELLED IN DE 6/1/2015 - WAITING TO HEAR FINAL DISPOSITION FROM MINISTRY AND WHETHER ENTITY WILL BE REINSTATED FEIN: 20-8525458 (59.76% Controlled by St. Francis Medical Center)**

St. Peter's Health Partners [New York]; FEIN: 45-3570715 (100% Controlled by Trinity Health)
- Innovative Health Alliance of New York, LLC (100% Controlled by Immediate Parent); FEIN: 46-5676066
- St. Peter's Hospital Foundation, Inc.; FEIN: 22-2262982 (100% Controlled by Immediate Parent)
- Manning Medical, PLLC (Nominally Controlled by SPHP Physician in accordance with NY law; SPHP exercises control through an Agreement and Reserve Powers); FEIN: 46-4331512
  - Albany Advanced Imaging, P.L.L.C. dba St. Peter's Health Partners Imaging (Manning Medical PLLC controls 44.65%; Albany Radiology Partners, PLLC controls 55.35%); FEIN: 14-1813068
- St. Peter's Health Partners Medical Associates, P.C.; FEIN: 46-1177336 (100% Controlled by Immediate Parent)
- St. Peter's Hospital of the City of Albany dba St. Peter's Hospital; FEIN: 14-1348692 (100% Controlled by Immediate Parent
  - Villa Mary Immaculate d/b/a St Peter's Nursing & Rehabilitation Center; FEIN: 14-1438749 (100% Controlled by Immediate Parent)
  - St. Peter's Ambulatory Surgery Center LLC (St. Peter's Hospital 50%; AGC Associates, Inc. 50%); FEIN: 46-0463892
  - Our Lady of Mercy Life Center; FEIN: 14-1743506 (100% Controlled by Immediate Parent)
- The Community Hospice, Inc.; FEIN: 14-1608921 (100% Controlled by Immediate Parent)
  - The Community Hospice Foundation, Inc.; FEIN: 22-2692940 (100% Controlled by Immediate Parent)
- Samaritan Hospital of Troy, New York dba Samaritan Hospital; FEIN: 14-1338544 (100% Controlled by Immediate Parent
  - Alliance for Better Health Care, LLC (JV Samaritan Hospital 20%; Ellis Hospital 20%; Hometown Health 20%; St. Mary Hospital of Amsterdam 20%; Whitney M. Young Health Center 20%); FEIN: 47-292065
  - Memorial Hospital, Albany, N.Y. dba Albany Memorial Hospital; FEIN: 14-1338457 (100% Controlled by Immediate Parent)
- The Northeast Health Foundation, Inc.; 22-2743478 (100% Controlled by Immediate Parent)
- Samaritan Child Care Center, Inc.; FEIN: 14-1710225 (100% Controlled by Immediate Parent)
- Sunnyview Hospital and Rehabilitation Center; FEIN: 14-1338386 (100% Controlled by Immediate Parent
  - Sunnyview Hospital and Rehabilitation Center Foundation, Inc; FEIN: 22-2505127 (100% Controlled by Immediate Parent)
- LTC (Eddy), Inc. dba The Eddy; FEIN: 22-2564710 (100% Controlled by Immediate Parent)
  - The James A. Eddy Memorial Geriatric Center, Inc. dba Eddy Memorial Geriatric Center; FEIN: 22-2570478 (100% Controlled by Immediate Parent)
  - Capital Region Geriatric Center, Inc. dba Eddy Village Green: FEIN: 14-1701597 (100% Controlled by Immediate Parent
  - Heritage House Nursing Center, Inc. dba Eddy Heritage House Nursing and Rehabilitation Center; FEIN: 14-1725101 (100% Controlled by Immediate Parent
  - Senior Care Connection, Inc. dba Eddy Senior Care; FEIN: 14-1708754 (100% Controlled by Immediate Parent)
  - Home Aide Service of Eastern New York, Inc. dba Eddy Visiting Nurse Association; FEIN: 14-1514867 (100% Controlled by Immediate Parent)
  - Beverwyck, Inc. dba Eddy Village Green at Beverwyck; FEIN: 14-1717028 (100% Controlled by Immediate Parent)
  - Glen Eddy, Inc.; FEIN: 14-1794150 (100% Controlled by Immediate Parent)
  - The Glen at Hiland Meadows, Inc.; FEIN: 16-1529639 (50% Controlled by Immediate Parent)
  - Hawthorne Ridge, Inc. dba Eddy Hawthorne Ridge; FEIN: 80-0102840 (100% Controlled by Immediate Parent)
  - The Marjorie Doyle Rockwell Center, Inc.; FEIN: 14-1793885 (100% Controlled by Immediate Parent)
  - Beechwood, Inc. dba Eddy Property Services; FEIN: 14-1651563 (100% Controlled by Immediate Parent)
  - Samaritan Medical Office Building, Inc.; FEIN: 14-1607244 (100% Controlled by Immediate Parent)
  - Eddy Licensed Home Care Agency, Inc.; FEIN: 14-1818568 (100% Controlled by Immediate Parent)
    - Empire Home Infusion Service, Inc. dba Northeast Home Medical Equipment; FEIN: 14-1795732 (100% Controlled by Immediate Parent)
- Seton Health at Schuyler Ridge Residential Healthcare dba Schuyler Ridge; FEIN: 14-1756230 (100% Controlled by Immediate Parent

St. James Mercy Health System (New York); FEIN: 22-3127184 (100% Controlled by Trinity Health)
- SJM Properties, Inc.; FEIN: 16-1294991 (100% Controlled by Immediate Parent)

Catholic Health System, Inc. (JOA - 50% Controlled by Trinity Health) [New York]; FEIN: 22-2565278
- Sisters of Charity Hospital of Buffalo, New York; FEIN: 16-0743187 (100% Controlled by Immediate Parent)
  - Sisters Hospital Foundation, Inc.; FEIN: 22-2283077 (100% Controlled by Immediate Parent)
- Kenmore Mercy Hospital; FEIN: 16-0762843 (100% Controlled by Immediate Parent)
  - Kenmore Mercy Foundation, Inc.; FEIN: 16-1162971 (100% Controlled by Immediate Parent)
  - KMH Homes, Inc.; FEIN: 16-1387890 (100% Controlled by Immediate Parent; Operationally Inactive)
- Catholic Health System Continuing Care Foundation; FEIN: 20-0947831 (100% Controlled by Immediate Parent)
- Mercy Hospital of Buffalo; FEIN: 16-0756336 (100% Controlled by Immediate Parent)
  - Orchard Park Mercy Corp.; FEIN: 16-1470350 (100% Controlled by Immediate Parent)
  - Alsace Abbott Corporation; FEIN: 16-1355092 (100% Controlled by Immediate Parent)
  - Aurora Mercy Corp.; FEIN: 16-1354302 (100% Controlled by Immediate Parent)
  - Mercy Hospital Foundation, Inc.; FEIN: 22-2209721 (100% Controlled by Immediate Parent)
- Mount St. Mary's Hospital of Niagara Falls; FEIN: 16-1523353 (100% Controlled by Immediate Parent)
  - Mount St. Mary's Hospital Foundation, Inc.; FEIN: 16-1360884 (100% Controlled by Immediate Parent)
  - Mount St. Mary's Child Care Center, Inc.; FEIN: 16-1523352 (100% Controlled by Immediate Parent)
  - The Board of Associates of Mount St. Mary's Hospital of Niagara Falls, Inc.; FEIN: 16-1582926 (100% Controlled by Immediate Parent)
  - The St. Francis Guild of Mount St. Mary's Hospital of Niagara Falls, Inc.; FEIN: 51-0217790 (100% Controlled by Immediate Parent)
- Niagara Medicine, P.C.; FEIN: 45-3669525 (Captive PC - CHS does not legally own but does control this entity through a Management Agreement)
- Nazareth, Inc.; FEIN: 16-0813142 (100% Controlled by Immediate Parent; Operationally Inactive)
- WNY Catholic Long Term Care, Inc. d/b/a Father Baker Manor (100% Controlled by Immediate Parent); FEIN: 16-1434368
- Niagara Homemaker Services, Inc.; FEIN: 16-1317960 (100% Controlled by Immediate Parent)
- St. Vincent's Home for the Aged; FEIN: 16-0743167 (100% Controlled by Immediate Parent; Operationally Inactive
- St. Elizabeth's Home of Lancaster, New York; FEIN: 16-0743154 (100% Controlled by Immediate Parent; Operationally Inactive)

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATION CHART

McAuley-Seton Home Care Corporation; FEIN: 16-1310062 (100% Controlled by Immediate Parent)
St. Francis Geriatric and Healthcare Services, Inc.; FEIN: 16-1523535 (100% Controlled by Immediate Parent)
St. Clare Apartments Housing Development Fund Company, Inc. (50% Controlled by Immediate Parent); FEIN: 16-0782647
Catholic Health System Program of All-Inclusive Care for the Elderly, Inc.; FEIN: 26-1252884 (100% Controlled by Immediate Parent)
Catholic Health System Infusion Pharmacy, Inc.; FEIN: 20-0198518 (100% Controlled by Immediate Parent)
Catholic Health Home Respiratory, LLC (50% Controlled by Immediate Parent; Operationally Inactive); FEIN: 45-4134007
Our Lady of Victory Renaissance Corporation; FEIN: 20-0167745 (100% Controlled by Immediate Parent)
Our Lady of Victory Community Housing Development Organization, Inc.; FEIN: 20-0372194 (100% Controlled by Immediate Parent)
Our Lady of Victory Housing Development Fund Corp (100% Controlled by Immediate Parent); FEIN: 14-1930644
Smithtown GP, LLC (100% Controlled by Immediate Parent); FEIN: 57-3192758
Victory Ridge Apartments, L.P. (80% Controlled by Immediate Parent); FEIN: 57-1219731
Trinity Medical WNY, P.C.; FEIN: 27-2576645 (Captive PC)
Salus Medical Care, P.C.; FEIN: (100% Controlled by Immediate Parent)
Catholic Medical Partners- Accountable Care IPA, Inc.; FEIN: (% Controlled by Immediate Parent
St. Francis Home of Williamsville, New York (Inactive); FEIN: 16-0743153 (100% Controlled by Immediate Parent

Baycare Health System, Inc. (JOA - 50.4% Controlled by Trinity Health, not all facilities Controlled; Other Parties to the JOA include Morton Plant Mease Health Care, Inc. and South Florida Baptist Hospital, Inc.) [Florida]; FEIN: 59-2796965
Morton Plant Mease Health Care, Inc.; FEIN: 59-2374556 (Entity is a Party to the JOA BayCare Health System; Membership of this entity is a Directors Model - the members of the Board of Directors of Morton Plant Mease Health Care, Inc. are the Members of this Corporation's Immediate Parent)
Trustees of Mease Hospital, Inc. d/b/a Mease Countryside Hospital; FEIN: 59-0855412 (100% Controlled by Immediate Parent)
Trustees of Mease Hospital, Inc. d/b/a Mease Dunedin Hospital; FEIN: 59-0855412 (100% Controlled by Immediate Parent)
Morton Plant Hospital Association, Inc. d/b/a Morton Plant North Bay Hospital; FEIN: 59-0624462 (100% Controlled by Immediate Parent)
Morton Plant Hospital Association, Inc. d/b/a Morton Plant North Bay Recovery Center; FEIN: 59-0624462 (100% Controlled by Immediate Parent)
Morton Plant Hospital Association, Inc. d/b/a Morton Plant Rehabilitation Center; FEIN: 59-0624462 (100% Controlled by Immediate Parent)
Are members of Missionary Baptist Churches in FL. (100% Controlled by Immediate Parent)
Baycare Physician Partners, LLC; FEIN: 45-2908908 (100% Controlled by Immediate Parent)
Baycare Physician Partners ACO, LLC; FEIN: 46-5720072 (Members are Baycare Health System and 2individuals)
Community Health Centers Alliance, Inc.; FEIN: 59-3631620 (100% Controlled by Immediate Parent)
BayCare Medical Group, Inc. (f/k/a Morton Plant Mease Primary Care, Inc.); FEIN: 59-3140335 (100% Controlled by Immediate Parent)
St Joseph's Hospital, Inc.; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)
St Joseph's Hospital, Inc. d/b/a St. Joseph's Children's Hospital; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)
St Joseph's Hospital, Inc. d/b/a St. Joseph's Women's Hospital; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)
St Joseph's Hospital, Inc. d/b/a St. Joseph's Hospital - North; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)
St Joseph's Hospital, Inc. d/b/a St. Joseph's Hospital Behavioral Health Center; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)
St. Joseph's Health Care Center, Inc.; FEIN: 59-2593686 (100% Controlled by Trinity Health Corporation)
St. Joseph's Hospital of Tampa Foundation, Inc.; FEIN: 59-1100828 (100% Controlled by Immediate Parent)
John Knox Village of Tampa Bay, Inc.; FEIN: 58-1377711 (100% Controlled by Immediate Parent)
HealthPoint Medical Group, Inc.; FEIN: 59-3244268 (100% Controlled by Immediate Parent)
Franciscan Properties, Inc.; FEIN: 59-2822519 (100% Controlled by Immediate Parent)
St. Joseph's Community Care, Inc.; FEIN: 59-3152608 (100% Controlled by Immediate Parent)
St. Joseph's Enterprises, Inc.; FEIN: 59-2822516 (100% Controlled by Immediate Parent)
St. Anthony's Professional Building and Services, Inc.; FEIN: 59-2018848 (100% Controlled by Immediate Parent)
St. Anthony's Hospital, Inc.; FEIN: 59-2043026 (100% Controlled by Trinity Health Corporation)
St. Anthony's Hospital Auxillary, Inc.; FEIN: 59-0201974 (100% Controlled by Immediate Parent)
St. Anthony's Health Care Foundation, Inc.; FEIN: 59-2128991 (100% Controlled by Immediate Parent)
St. Anthony's Physicians Surgery Center, LLC; FEIN: 01-0861245 (100% Controlled by Immediate Parent)
Allegany Franciscan Ministries, Inc. (Florida); FEIN: 58-1492325 (100% Controlled by Trinity Health)
Global Health Ministry d/b/a Global Health Volunteers (MI); FEIN: 42-1253527 (100% Controlled by Trinity Health)
Saint Joseph's Health System, Inc. [Georgia]; FEIN: 58-1744848 (100% Controlled by Trinity Health)
Saint Joseph's Mercy Care Services, Inc. dba Mercy Care; FEIN: 58-1752700 (100% Controlled by Immediate Parent)
Mercy Senior Care, Inc. dba Mercy Care Rome; FEIN: 58-1366508 (100% Controlled by Immediate Parent)
Mercy Care Foundation, Inc. (f/k/a Saint Joseph's Mercy Foundation, Inc.); FEIN: 58-1448522 (100% Controlled by Immediate Parent)
Mercy Services Downtown, Inc.; FEIN: 27-2046353 (100% Controlled by Immediate Parent)
SJHS/JOC Holdings, Inc.; FEIN: 47-2299757 (100% Controlled by Immediate Parent)
Emory/Saint Joseph's, Inc. (JOC - 49% Controlled by SJHS/JOC Holdings, Inc.); FEIN: 45-2721833
Holy Cross Hospital, Inc. [Florida]; FEIN: 59-0791028 (100% Controlled by Trinity Health)
Holy Cross Primary Care, Inc.; FEIN: 81-2531495 (100% Controlled by Immediate Parent)
Nursing Network, Inc.; FEIN: 59-1145192 (100% Controlled by Immediate Parent)
Holy Cross Outpatient Services, Inc.; FEIN: 46-5421068 (100% Controlled by Immediate Parent)
Holy Cross Physician Partners, LLC; FEIN: 36-4712116 (100% Controlled by Immediate Parent)
Holy Cross Physician Partners ACO, LLC; FEIN: 46-5530455 (100% Controlled by Immediate Parent)
Physicians Outpatient Surgery Center, LLC (JV with Physician Members - 71% Controlled by HCH); FEIN: 35-2325646
Atlantic Coast Health Network, LLC (JV with Atlantic Coast Holdings, Inc. - 50% Controlled by HCH); FEIN: 47-4756582
St. Joseph's Health, Inc. [New York]; FEIN: 47-4754987 (100% Controlled by Trinity Health)
St. Joseph's Hospital Health Center; FEIN: 15-0532254 (100% Controlled by Immediate Parent)
S.J. Management Company of Syracuse, Inc.; FEIN: 27-1763712 (100% Controlled by Immediate Parent)
SJLS, LLC (51% SJMCS, 34% Fresenius, 15% Physicians) FEIN: 20-1796650
St. Joseph's College of Nursing at St. Joseph's Hospital Health Center; FEIN: 20-2497520 (100% Controlled by Immediate Parent)
SJPE Practice Management Services, Inc.; FEIN: 45-4164964 (100% Controlled by Immediate Parent)
Plaza Corporation of Central New York (50% SJHHC, 50% Crouse Hospital); FEIN: 22-2800840
Iroquois Nursing Home, Inc.; FEIN: 16-1364582 (100% Controlled by Immediate Parent)
Plaza Nursing Home Company, Inc.; FEIN: 16-0955793 (100% Controlled by Immediate Parent)
Mandorla Gardens Housing Development Fund Company, Inc. (50% PNH, 50% Loretto Geriatric); FEIN: 27-3993174
Enriched Resources for Independent Elderly, Inc.; FEIN: 16-1163209 (100% Controlled by Immediate Parent)
Plaza Foundation of Central New York; FEIN: 22-2800835 (100% Controlled by Immediate Parent)
Laboratory Alliance of Central New York, LLC (50% SJHHC, 50% Crouse Health Hospital, Inc.); FEIN: 16-1536202
Loretto Independent Living Services, Inc.; FEIN: 16-1470454 (Not Controlled by Immediate Parent, but maintains a right to one less than one half of the Board Seats
CNY AIM IPA, LLC; FEIN: 81-5385690 (100% Controlled by Immediate Parent)
CNY AIM, LLC; FEIN: 81-1461678 (100% Controlled by Immediate Parent)
St. Joseph's Health Accountable Care Organization, LLC; FEIN: 47-4081578 (100% Controlled by Immediate Parent)
St. Joseph's Hospital Health Center Foundation, Inc.; FEIN: 22-2149775 (100% Controlled by Immediate Parent)

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATION CHART

St. Joseph's Health Center Properties, Inc.; FEIN: 23-7219294 (100% Controlled by Immediate Parent)
Radisson SJH Properties, LLC (50% St. Joseph's Health Center Properties, 50% Radisson Partners, LLC); FEIN: 46-1892799
Franciscan Associates, Inc.; FEIN: 20-2991688 (100% Controlled by Immediate Parent)
FHS Services, Inc. d/b/a Oneida Lifeline, Franciscan Lifeline; FEIN: 27-2995699 (100% Controlled by Immediate Parent Franciscan Management Services, Inc; FEIN: 16-1351193 (100% Controlled by Immediate Parent)
St. Elizabeth Health Support Services, Inc. (100% Controlled by FMS); FEIN: 16-1540486
Central New York Infusion Services, LLC (20% FMS, 80% Infusion Services, Inc.); FEIN: 16-1559710
Franciscan Health Support, Inc.; FEIN: 16-1236354 (100% Controlled by Immediate Parent)
Franciscan Health Support Services, LLC (d/b/a Oneida Health Support, Auburn Health Support, Mountain Lakes Health Support); FEIN: 16-1236354 (100% Controlled by Immediate Parent)
Health Care Management Administrators, Inc.; FEIN: 16-1450960 (100% Controlled by Immediate Parent)
Near Northside Holdings, LLC; FEIN: Not Yet Applied For (100% Controlled by Immediate Parent)
Embracing Age, Inc.; FEIN: 46-1051881 (100% Controlled by Immediate Parent)
Oswego Health Home Care, LLC (49% Embracing Age and 60% Oswego Health); FEIN: 47-2463736
St. Joseph's Physician Health, P.C.; FEIN: 16-1516863 (Captive PC)
St. Joseph's Medical, P.C.; FEIN: 27-3899821 (Captive PC)
St. Joseph's Imaging Associates, PLLC (60% Prospect Hill Radiology Group, 40% SJMPC); FEIN: 16-1104293
Concordia Healthcare Network, LLC; FEIN: (50% Controlled by Immediate Parent)
Trinity Health Of New England Corporation, Inc. (formerly Trinity Health - New England, Inc.) (Connecticut); FEIN: 06-1491191 (100% Controlled by Trinity Health
Trinity Health of New England Urgent Care, LLC; FEIN: 84-2665996 (51% Controlled by Trinity Health of New England Corporation, Inc.; 49% Controlled by Premier Health Consultants, LLC
Saint Francis Hospital and Medical Center; FEIN: 06-0646813 (100% Controlled by Immediate Parent)
Lighthouse Surgery Center, LLC; FEIN: 83-2096116 (35% Controlled by Saint Francis Hospital and 65% by Orthopedic Physicians)
Saint Francis Hospital and Medical Center Foundation, Inc.; FEIN: 06-1008255 (100% Controlled by Immediate Parent)
Collaborative Laboratory Services, LLC; FEIN: 06-1520109 (100% Controlled by Immediate Parent)
Mount Sinai Hospital Foundation, Inc.; FEIN: 22-2584082 (100% Controlled by Immediate Parent)
Women's Auxiliary of Saint Francis Hospital and Medical Center, Inc.; FEIN: 06-0660403 (100% Controlled by Immediate Parent)
Saint Francis GI Endoscopy, LLC (49% SFHMC); FEIN: 20-5540278
Medworks, LLC (51% SFHMC); FEIN: 06-1490483
Saint Francis Behavioral Health Group, P.C. (Nominee Shareholder - Director of Behavioral Health); FEIN: 06-1384686 (100% Controlled by Immediate Parent)
Saint Francis Care Medical Group, P.C. (Nominee Shareholder, SVP Medical Affairs); FEIN: 06-1432373 (100% Controlled by Immediate Parent)
Mount Sinai Rehabilitation Hospital, Inc.; FEIN: 06-1422973 (100% Controlled by Immediate Parent)
SFH/FF, LLC (100% Controlled by MSRH); FEIN: 06-1489749
Trinity Health Of New England Provider Network Organization, Inc. (formerly Trinity Health-New England Physician Network Organization; FEIN: 06-1450168 (100% Controlled by Immediate Parent)
Saint Francis Emergency Medical Group, Inc.; FEIN: 45-1994612 (100% Controlled by Immediate Parent)
Total Health Connecticut, LLC; FEIN: 47-4070024 (40% Controlled by THONE)
Asylum Hill Family Medicine Center, Inc.; FEIN: 06-1450170 (100% Controlled by Immediate Parent)
Saint Francis HealthCare Partners, Inc. (50% Trinity Health -New England, Inc.); FEIN: 06-1391257
Saint Francis HealthCare Partners Foundation, Inc.; FEIN: 20-8176133 (100% Controlled by Saint Francis HealthCarePartners, Inc.)
Saint Francis Healthcare Partners ACO, Inc.; FEIN: 46-1315402 (100% Controlled by Immediate Parent)
Southern New England Health Care Organization LLC (formerly The Connecticut Care Alliance, LLC); FEIN: 81-3460138 (100% Controlled by Immediate Parent)
Connecticut Affiliated Physicians Purchasing Group, LLC; FEIN: 81-4362177 (100% Controlled by Immediate Parent)
Trinity Health Of New England ACO LLC; FEIN: 83-3165256 (33 1/3% Controlling Interest held by each of Mercy Care Alliance, LLC; Saint Mary's Physician Partners, LLC d/b/a Valley Health Alliance; and Saint Francis Healthcare Partners, Inc.)
Connecticut Occupational Medicine Partners, LLC; FEIN: 06-1586674 (50% Controlled by THONE; 20% Controlled by JMMC)
Johnson Memorial Hospital, Inc.; FEIN: 47-5676956 (100% Controlled by Immediate Parent)
Assets Transferred to THHS, but entity remains: Home & Community Health Services, Inc.; FEIN: 81-0723591 (100% Controlled by Immediate Parent)
Trinity Health of New England Emergency Medical Services, Inc.; FEIN: (100% Controlled by Immediate Parent)
The Mercy Hospital, Inc. dba Mercy Medical Center, Providence Behavioral Health Hospital, Weldon Rehabilitation Hospital, Family Life Center for Maternity, Sister Caritas Cancer Center, WorkWise, Mercy Healthcare for The Homeless; FEIN: 04-3398280 (100% Controlled by Immediate Parent)
Assets Transferred to THHS, but entity remains: Providence HomeCare, Inc. dba Mercy Home Care, Inc.; FEIN: 04-3317426 (100% Controlled by Immediate Parent)
Mercy Inpatient Medical Associates, Inc. dba Breast Care Center; dba MercyCare - Forest Park; dba Providence Prenatal Center of Holyoke; FEIN: 04-3029929 (100% Controlled by Immediate Parent)
System Coordinated Services, Inc. dba Life Laboratories; FEIN: 04-2938161 (100% Controlled by Immediate Parent)
Catherine Horan Building Corporation; FEIN: 04-2938160 (100% Controlled by Immediate Parent)
Catherine Horan Building Associates Limited Partnership; FEIN: 04-2723429 (100% Controlled by Immediate Parent)
The Lifepath Partners, LLC (JV with NEPA; 50% Controlled by Immediate Parent); FEIN: 26-0021080
Greater Springfield MRI Limited Partnership; FEIN: 04-3178855 (50% Controlled by System Coordinated Services
Mercy Health Accountable Care Organization, LLC; FEIN: 82-1007572 (100% Controlled by Immediate Parent)
Mercy Physicians, P.C.; FEIN: 000857412 (100% Controlled by Immediate Parent)
Brightside, Inc.; FEIN: 04-2182395 (100% Controlled by Immediate Parent)
Mercy Care Alliance, LLC; FEIN: 47-1561725 (100% Controlled by Immediate Parent)
Trinity Health of New England ACO LLC; FEIN: 83-3165256 (33 1/3% Controlling Interest held by each of Mercy Care Alliance, LLC; Saint Mary's Physician Partners, LLC d/b/a Valley Health Alliance; and Saint Francis Healthcare Partners, Inc.)
Pioneer Valley Cardiology Associates, Inc.; FEIN: 45-4208896 (100% Controlled by Immediate Parent)
Mercy Specialist Physicians, Inc.; FEIN: 26-4033168 (100% Controlled by Immediate Parent)
Mercy Medical Group, Inc.; FEIN: 45-4884805 (100% Controlled by Immediate Parent)
Farren Care Center, Inc.; FEIN: 04-2501711 (100% Controlled by Immediate Parent)
Riverbend Medical Group, Inc.; FEIN: 81-1807730 (100% Controlled by Immediate Parent)
Sisters of Providence Care Centers, Inc.; FEIN: 22-2541103 (100% Controlled by Immediate Parent)
Saint Mary's Hospital, Inc.; FEIN: 06-0646844 (100% Controlled by Immediate Parent)
The Harold Leever Regional Cancer Center, Inc.; FEIN: 06-1548409 (50% Controlled by Immediate Parent)
Heart Center of Greater Waterbury, Inc.; FEIN: 83-0416893 (50% Controlled by Immediate Parent)
Franklin Medical Group, P.C.; FEIN: 06-1470493 (Nominee Shareholder of Physician Group)
Diagnostic Imaging of Southbury, LLC; FEIN: 06-1487582 (60% Controlled by Immediate Parent
Naugatuck Valley MRI, LLC; FEIN: 06-1239526 (78.3% Controlled by Immediate Parent)
Saint Mary's Physician Partners, LLC; FEIN: 46-5760769 (100% Controlled by Immediate Parent)
Trinity Health of New England ACO LLC; FEIN: 83-3165256 (33 1/3% Controlling Interest held by each of Mercy Care Alliance, LLC; Saint Mary's Physician Partners, LLC d/b/a Valley Health Alliance; and Saint Francis Healthcare Partners, Inc.)
Saint Mary's Hospital Foundation, Inc.; FEIN: 22-2528400 (100% Controlled by Immediate Parent)

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Required? (Y/N)	16 *
2838	Mount Carmel Health System	13123	25-1912781				Mount Carmel Health Insurance Company	OH	IA	Mount Carmel Health System	Ownership	100.000	Trinity Health Corporation	N	
2838	Mount Carmel Health System	95655	31-1471229				Mount Carmel Health Plan, Inc.	OH	RE	Mount Carmel Health System	Ownership	100.000	Trinity Health Corporation	N	
2838	Mount Carmel Health System	16456	83-1422704				Mount Carmel Health Plan of Idaho, Inc.	ID	DS	Mount Carmel Health Plan, Inc.	Ownership	100.000	Trinity Health Corporation	Y	

Asterisk	Explanation

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanation:

1. N/A

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]

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OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Liabilities Line 23

		Current Period			Prior Year
		1 Covered	2 Uncovered	3 Total	4 Total
2304.	CMS Premium Part C	529,407		529,407	483,717
2305.	Other liabilities	94,805		94,805	93,490
2306.	Receivable for CMS Risk Revenue for final 2018 FYRA estimate and 2019 estimate	(4,949,271)		(4,949,271)	(6,679,700)
2397.	Summary of remaining write-ins for Line 23 from overflow page	(4,325,059)	0	(4,325,059)	(6,102,493)

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

NONE

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	179,212,782	191,335,611
2. Cost of bonds and stocks acquired	47,671,123	58,630,243
3. Accrual of discount	99,016	140,889
4. Unrealized valuation increase (decrease)	8,044,358	(7,991,549)
5. Total gain (loss) on disposals	1,098,649	3,048,577
6. Deduct consideration for bonds and stocks disposed of	40,248,048	65,320,412
7. Deduct amortization of premium	255,716	437,078
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized	870,971	197,779
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	29,554	4,281
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	194,780,747	179,212,782
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	194,780,747	179,212,782

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	264,933,734	205,361,533	202,341,661	335,579	257,993,982	264,933,734	268,289,185	246,220,292
2. NAIC 2 (a)	21,863,171	308,253	848,374	(9,320)	22,607,616	21,863,171	21,313,730	23,337,899
3. NAIC 3 (a)	0				0	0	0	
4. NAIC 4 (a)	0				0	0	0	
5. NAIC 5 (a)	0				0	0	0	
6. NAIC 6 (a)	0				0	0	0	
7. Total Bonds	286,796,905	205,669,786	203,190,035	326,259	280,601,598	286,796,905	289,602,915	269,558,191
PREFERRED STOCK								
8. NAIC 1	0				0	0	0	0
9. NAIC 2	0				0	0	0	0
10. NAIC 3	0				0	0	0	0
11. NAIC 4	0				0	0	0	0
12. NAIC 5	0				0	0	0	0
13. NAIC 6	0				0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	286,796,905	205,669,786	203,190,035	326,259	280,601,598	286,796,905	289,602,915	269,558,191

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 $ 154,918,834 ; NAIC 2 $ 0 ; NAIC 3 $ 0 NAIC 4 $ 0 ; NAIC 5 $ 0 ; NAIC 6 $ 0

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals	37,461,279	XXX	37,259,862	40,218	5,787

SCHEDULE DA - VERIFICATION

Short-Term Investments

		1 Year To Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	43,012,856	23,525,835
2.	Cost of short-term investments acquired	79,199,016	103,253,640
3.	Accrual of discount	699,436	658,741
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals	730	
6.	Deduct consideration received on disposals	85,450,758	84,424,776
7.	Deduct amortization of premium		584
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	37,461,279	43,012,856
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	37,461,279	43,012,856

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

NONE

Schedule DB - Part B - Verification - Futures Contracts

NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

NONE

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

NONE

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

NONE

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

		1 Year To Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	102,115,660	85,377,048
2.	Cost of cash equivalents acquired	730,149,652	826,434,796
3.	Accrual of discount	463,580	653,137
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals		0
6.	Deduct consideration received on disposals	712,398,077	810,349,321
7.	Deduct amortization of premium		
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	120,330,814	102,115,660
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	120,330,814	102,115,660

Schedule A - Part 2 - Real Estate Acquired and Additions Made

NONE

Schedule A - Part 3 - Real Estate Disposed

NONE

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

NONE

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

NONE

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

NONE

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

NONE

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Administrative Symbol/ Market Indicator (a)
912828-2A-7	UNITED STATES TREASURY		07/05/2019	HSBC SECURITIES		242,773	250,000	1,481	1
0599999. Subtotal - Bonds - U.S. Governments						242,773	250,000	1,481	XXX
05588C-AA-0	BMWOT 19A A1 - ABS		09/10/2019	BARCLAYS CAPITAL INC FIXED INC		750,000	750,000	0	1FE
14043T-AA-4	COPAR 192 A1 - ABS		09/10/2019	JP Morgan Securities Inc.		750,000	750,000	0	1FE
14315P-AA-3	CARMX 193 A1 - ABS		07/24/2019	MITSUBISHI UFJ SECS.(USA) INC.		650,000	650,000	0	1FE
209111-FP-3	CONSOLIDATED EDISON COMPANY OF NEW YORK		07/11/2019	Amherst Pierpont Securities		620,477	577,000	3,654	1FE
25389J-AU-0	DIGITAL REALTY TRUST INC		09/13/2019	GOLDMAN, SACHS & CO.		153,864	150,000	1,395	2FE
254687-FL-5	WALT DISNEY CO		09/17/2019	MITSUBISHI UFJ SECS.(USA) INC.		577,578	600,000	433	1FE
34528D-AA-1	FORDL 19B A1 - ABS		07/23/2019	BNP Paribas		900,000	900,000	0	1FE
36257P-AA-6	GMCAR 193 A1 - ABS		07/16/2019	WELLS FARGO SECURITIES LLC		700,000	700,000	0	1FE
43815N-AC-8	HAROT 193 A3 - ABS		08/20/2019	SG AMERICAS SECURITIES, LLC		649,995	650,000	0	1FE
84861T-AD-0	SPIRIT REALTY LP		09/13/2019	SUNTRUST ROBINSON HUMPHREY INC		154,389	150,000	1,333	2FE
88579Y-BJ-9	3M CO		08/19/2019	BANC/AMERICA SECS		766,615	775,000	0	1FE
89238U-AD-2	TAOT 19C A3 - ABS		08/06/2019	JP Morgan Securities Inc.		699,994	700,000	0	1FE
90290E-AA-7	USAOT 191 A1 - ABS		07/23/2019	JP Morgan Securities Inc.		700,000	700,000	0	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						8,072,911	8,052,000	6,816	XXX
8399997. Total - Bonds - Part 3						8,315,685	8,302,000	8,297	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						8,315,685	8,302,000	8,297	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
002824-10-0	ABBOTT LABORATORIES ORD		09/30/2019	Citigroup Global Markets, Inc.	3.000	251		0	L
003654-10-0	ABIOMED ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	178		0	L
00507V-10-9	ACTIVISION BLIZZARD ORD		09/30/2019	Citigroup Global Markets, Inc.	9.000	476		0	L
00724F-10-1	ADOBE ORD		09/30/2019	Citigroup Global Markets, Inc.	48.000	13,260		0	L
007903-10-7	ADVANCED MICRO DEVICES ORD		09/30/2019	Citigroup Global Markets, Inc.	193.000	5,597		0	L
00846U-10-1	AGILENT TECHNOLOGIES ORD		09/30/2019	Citigroup Global Markets, Inc.	3.000	230		0	L
009158-10-6	AIR PRODUCTS AND CHEMICALS ORD		09/30/2019	Citigroup Global Markets, Inc.	5.000	1,109		0	L
015351-10-9	ALEXION PHARMACEUTICALS ORD		09/30/2019	Citigroup Global Markets, Inc.	24.000	2,351		0	L
02079K-10-7	ALPHABET CL C ORD		09/30/2019	Citigroup Global Markets, Inc.	4.000	4,876		0	L
02079K-30-5	ALPHABET CL A ORD		09/30/2019	Citigroup Global Markets, Inc.	9.000	10,990		0	L
023135-10-6	AMAZON COM ORD		09/30/2019	Citigroup Global Markets, Inc.	6.000	10,416		0	L
025816-10-9	AMERICAN EXPRESS ORD		09/30/2019	Citigroup Global Markets, Inc.	3.000	355		0	L
026874-78-4	AMERICAN INTERNATIONAL GROUP ORD		09/30/2019	Citigroup Global Markets, Inc.	4.000	223		0	L
03027X-10-0	AMERICAN TOWER REIT		09/30/2019	Citigroup Global Markets, Inc.	3.000	663		0	L
031100-10-0	AMETEK ORD		09/30/2019	Citigroup Global Markets, Inc.	8.000	735		0	L
031162-10-0	AMGEN ORD		09/30/2019	Citigroup Global Markets, Inc.	23.000	4,451		0	L
032654-10-5	ANALOG DEVICES ORD		09/30/2019	Citigroup Global Markets, Inc.	34.000	3,799		0	L
03662Q-10-5	ANSYS ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	221		0	L
037411-10-5	APACHE ORD		09/30/2019	Citigroup Global Markets, Inc.	20.000	512		0	L
03965L-10-0	ARCONIC ORD		09/30/2019	Citigroup Global Markets, Inc.	12.000	312		0	L
040413-10-6	ARISTA NETWORKS ORD		09/30/2019	Citigroup Global Markets, Inc.	6.000	1,434		0	L
049560-10-5	ATMOS ENERGY ORD		09/30/2019	Citigroup Global Markets, Inc.	4.000	456		0	L
052769-10-6	AUTODESK ORD		09/30/2019	Citigroup Global Markets, Inc.	16.000	2,363		0	L
05722G-10-0	BAKER HUGHES CL A ORD		09/30/2019	Citigroup Global Markets, Inc.	88.000	2,042		0	L
058498-10-6	BALL ORD		09/30/2019	Citigroup Global Markets, Inc.	4.000	291		0	L
071813-10-9	BAXTER INTERNATIONAL ORD		09/30/2019	Citigroup Global Markets, Inc.	61.000	5,336		0	L
075887-10-9	BECTON DICKINSON ORD		09/30/2019	Citigroup Global Markets, Inc.	12.000	3,036		0	L
084670-70-2	BERKSHIRE HATHWAY CL B ORD		09/30/2019	Citigroup Global Markets, Inc.	17.000	3,537		0	L
097023-10-5	BOEING ORD		09/30/2019	Citigroup Global Markets, Inc.	59.000	22,448		0	L
101137-10-7	BOSTON SCIENTIFIC ORD		09/30/2019	Citigroup Global Markets, Inc.	26.000	1,058		0	L
11135F-10-1	BROADCOM ORD		09/30/2019	Citigroup Global Markets, Inc.	17.000	4,693		0	L
115637-20-9	BROWN FORMAN CL B ORD		09/30/2019	Citigroup Global Markets, Inc.	14.000	879		0	L
12503M-10-8	CBOE GLOBAL MARKETS ORD		07/18/2019	JEFFERIES & COMPANY, INC.	2,423.000	277,936		0	L
12504L-10-9	CBRE GROUP CL A ORD		09/30/2019	Citigroup Global Markets, Inc.	37.000	1,962		0	L

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Administrative Symbol/ Market Indicator (a)
12514G-10-8	CDW ORD		09/30/2019	Citigroup Global Markets, Inc.	182.000	22,432		0	L
125269-10-0	CF INDUSTRIES HOLDINGS ORD		09/30/2019	Citigroup Global Markets, Inc.	3.000	148		0	L
126408-10-3	CSX ORD		09/30/2019	Citigroup Global Markets, Inc.	27.000	1,871		0	L
127387-10-8	CADENCE DESIGN SYSTEMS ORD		09/30/2019	Citigroup Global Markets, Inc.	9.000	595		0	L
14149Y-10-8	CARDINAL HEALTH ORD		09/30/2019	Citigroup Global Markets, Inc.	4.000	189		0	L
149123-10-1	CATERPILLAR ORD		09/30/2019	Citigroup Global Markets, Inc.	19.000	2,400		0	L
15135B-10-1	CENTENE ORD		09/30/2019	Citigroup Global Markets, Inc.	9.000	389		0	L
156700-10-6	CENTURYLINK ORD		09/30/2019	Citigroup Global Markets, Inc.	20.000	250		0	L
166764-10-0	CHEVRON ORD		09/30/2019	Citigroup Global Markets, Inc.	31.000	3,677		0	L
171798-10-1	CIMAREX ENERGY ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	48		0	L
172062-10-1	CINCINNATI FINANCIAL ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	117		0	L
17275R-10-2	CISCO SYSTEMS ORD		09/30/2019	Citigroup Global Markets, Inc.	47.000	2,323		0	L
191216-10-0	COCA-COLA ORD		09/30/2019	Citigroup Global Markets, Inc.	10.000	545		0	L
198516-10-6	COLUMBIA SPORTSWEAR ORD		08/09/2019	INSTINET	2,160.000	215,802		0	L
20030N-10-1	COMCAST CL A ORD		09/30/2019	Citigroup Global Markets, Inc.	95.000	4,284		0	L
20605P-10-1	CONCHO RESOURCES ORD		09/30/2019	Citigroup Global Markets, Inc.	10.000	679		0	L
20825C-10-4	CONOCOPHILLIPS ORD		09/30/2019	Citigroup Global Markets, Inc.	13.000	741		0	L
209115-10-4	CONSOLIDATED EDISON ORD		09/30/2019	Citigroup Global Markets, Inc.	10.000	945		0	L
216648-40-2	COOPER ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	297		0	L
219350-10-5	CORNING ORD		09/30/2019	Citigroup Global Markets, Inc.	36.000	1,027		0	L
22052L-10-4	CORTEVA ORD		09/30/2019	Citigroup Global Markets, Inc.	76.000	2,129		0	L
22160K-10-5	COSTCO WHOLESALE ORD		09/30/2019	Citigroup Global Markets, Inc.	4.000	1,152		0	L
22822V-10-1	CROWN CASTLE INTERNATIONAL REIT ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	139		0	L
231021-10-6	CUMMINS ORD		09/30/2019	Citigroup Global Markets, Inc.	20.000	3,254		0	L
23355L-10-6	DXC TECHNOLOGY ORD		09/30/2019	Citigroup Global Markets, Inc.	3.000	89		0	L
235851-10-2	DANAHER ORD		09/30/2019	Citigroup Global Markets, Inc.	8.000	1,156		0	L
244199-10-5	DEERE ORD		09/30/2019	Citigroup Global Markets, Inc.	28.000	4,723		0	L
25179M-10-3	DEVON ENERGY ORD		09/30/2019	Citigroup Global Markets, Inc.	26.000	626		0	L
25278X-10-9	DIAMONDBACK ENERGY ORD		09/30/2019	Citigroup Global Markets, Inc.	26.000	2,338		0	L
253868-10-3	DIGITAL REALTY REIT ORD		09/30/2019	Citigroup Global Markets, Inc.	4.000	519		0	L
25470F-10-4	DISCOVERY SRS A ORD		09/30/2019	Citigroup Global Markets, Inc.	9.000	240		0	L
25470M-10-9	DISH NETWORK CL A ORD		09/30/2019	Citigroup Global Markets, Inc.	19.000	648		0	L
256677-10-5	DOLLAR GENERAL ORD		09/30/2019	Citigroup Global Markets, Inc.	2.000	318		0	L
256746-10-8	DOLLAR TREE ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	114		0	L
25746U-10-9	DOMINION ENERGY ORD		09/30/2019	Citigroup Global Markets, Inc.	51.000	4,134		0	L
260003-10-8	DOVER ORD		09/30/2019	Citigroup Global Markets, Inc.	2.000	199		0	L
26441C-20-4	DUKE ENERGY ORD		09/30/2019	Citigroup Global Markets, Inc.	16.000	1,534		0	L
26875P-10-1	EOG RESOURCES ORD		09/30/2019	Citigroup Global Markets, Inc.	46.000	3,415		0	L
277432-10-0	EASTMAN CHEMICAL ORD		09/30/2019	Citigroup Global Markets, Inc.	6.000	443		0	L
281020-10-7	EDISON INTERNATIONAL ORD		09/30/2019	Citigroup Global Markets, Inc.	79.000	5,959		0	L
28176E-10-8	EDWARDS LIFESCIENCES ORD		09/30/2019	Citigroup Global Markets, Inc.	7.000	1,539		0	L
285512-10-9	ELECTRONIC ARTS ORD		09/30/2019	Citigroup Global Markets, Inc.	9.000	880		0	L
291011-10-4	EMERSON ELECTRIC ORD		09/30/2019	Citigroup Global Markets, Inc.	21.000	1,404		0	L
29364G-10-3	ENTERGY ORD		09/30/2019	Citigroup Global Markets, Inc.	12.000	1,408		0	L
29414B-10-4	EPAM SYSTEMS ORD		08/22/2019	Credit Suisse First Boston	880.000	174,068		0	L
294429-10-5	EQUIFAX ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	141		0	L
30161Q-10-4	EXELIXIS ORD		09/04/2019	BARCLAYS CAPITAL LE	6,734.000	129,504		0	L
30212P-30-3	EXPEDIA GROUP ORD		09/30/2019	VARIOUS	2,028.960	258,356		0	L
30231G-10-2	EXXON MOBIL ORD		09/30/2019	Citigroup Global Markets, Inc.	155.000	10,946		0	L
302445-10-1	FLIR SYSTEMS ORD		09/30/2019	Citigroup Global Markets, Inc.	8.000	421		0	L
302491-30-3	FMC ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	88		0	L
30303M-10-2	FACEBOOK CL A ORD		09/30/2019	Citigroup Global Markets, Inc.	150.000	26,714		0	L
311900-10-4	FASTENAL ORD		09/30/2019	Citigroup Global Markets, Inc.	8.000	261		0	L
315616-10-2	F5 NETWORKS ORD		09/30/2019	Citigroup Global Markets, Inc.	4.000	562		0	L
31620M-10-6	FIDELITY NATIONAL INFORMATN SVCS ORD		09/30/2019	Citigroup Global Markets, Inc.	354.000	47,001		0	L
33616C-10-0	FIRST REPUBLIC BANK ORD		09/30/2019	Citigroup Global Markets, Inc.	2.000	193		0	L
337738-10-8	FISERV ORD		09/30/2019	Citigroup Global Markets, Inc.	226.000	23,414		0	L
337932-10-7	FIRSTENERGY ORD		09/30/2019	Citigroup Global Markets, Inc.	35.000	1,688		0	L

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Administrative Symbol/ Market Indicator (a)
339041-10-5	FLEETCOR TECHNOLOGIES ORD		09/30/2019	Citigroup Global Markets, Inc.	3.000	860		0	L
34354P-10-5	FLOWSERVE ORD		09/30/2019	Citigroup Global Markets, Inc.	6.000	280		0	L
34959J-10-8	FORTIVE ORD		09/30/2019	Citigroup Global Markets, Inc.	13.000	891		0	L
34964C-10-6	FORTUNE BRANDS HOME AND SECURITY ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	55		0	L
35137L-10-5	FOX CL A ORD		09/30/2019	Citigroup Global Markets, Inc.	2.000	63		0	L
35137L-20-4	FOX CORPORATION ORD		09/30/2019	Citigroup Global Markets, Inc.	2.000	63		0	L
35671D-85-7	FREEPORT MCMORAN ORD		09/30/2019	Citigroup Global Markets, Inc.	90.000	862		0	L
366651-10-7	GARTNER ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	143		0	L
369604-10-3	GENERAL ELECTRIC ORD		09/30/2019	Citigroup Global Markets, Inc.	109.000	976		0	L
375558-10-3	GILEAD SCIENCES ORD		09/30/2019	Citigroup Global Markets, Inc.	26.000	1,648		0	L
37940X-10-2	GLOBAL PAYMENTS ORD		09/30/2019	VARIOUS	181.881	10,737		0	L
400501-10-2	GRUPO ARPRTUR DL ADR REP 8 SRS B ORD	C	07/19/2019	VARIOUS	5,095.000	264,016		0	L
40412C-10-1	HCA HEALTHCARE ORD		09/30/2019	Citigroup Global Markets, Inc.	2.000	241		0	L
40414L-10-9	HCP REIT ORD		09/30/2019	Citigroup Global Markets, Inc.	23.000	820		0	L
406216-10-1	HALLIBURTON ORD		09/30/2019	Citigroup Global Markets, Inc.	50.000	943		0	L
410345-10-2	HANESBRANDS ORD		09/30/2019	Citigroup Global Markets, Inc.	2.000	31		0	L
416515-10-4	HARTFORD FINANCIAL SERVICES GRUP ORD		09/30/2019	Citigroup Global Markets, Inc.	4.000	242		0	L
423452-10-1	HELMERICH AND PAYNE ORD		09/30/2019	Citigroup Global Markets, Inc.	6.000	240		0	L
427866-10-8	HERSHEY FOODS ORD		09/30/2019	Citigroup Global Markets, Inc.	8.000	1,240		0	L
42809H-10-7	HESS ORD		09/30/2019	Citigroup Global Markets, Inc.	21.000	1,270		0	L
42824C-10-9	HEWLETT PACKARD ENTERPRISE ORD		09/30/2019	Citigroup Global Markets, Inc.	18.000	273		0	L
436106-10-8	HOLLYFRONTIER ORD		09/30/2019	Citigroup Global Markets, Inc.	5.000	268		0	L
440452-10-0	HORMEL FOODS ORD		09/30/2019	Citigroup Global Markets, Inc.	6.000	262		0	L
444859-10-2	HUMANA ORD		09/30/2019	Citigroup Global Markets, Inc.	9.000	2,301		0	L
446413-10-6	HUNTINGTON INGALLS INDUSTRIES ORD		09/30/2019	Citigroup Global Markets, Inc.	2.000	424		0	L
44980X-10-9	IPG PHOTONICS ORD		09/30/2019	Citigroup Global Markets, Inc.	4.000	542		0	L
45167R-10-4	IDEX ORD		09/30/2019	Citigroup Global Markets, Inc.	96.000	15,576		0	L
45168D-10-4	IDEXX LABORATORIES ORD		09/30/2019	Citigroup Global Markets, Inc.	2.000	544		0	L
452327-10-9	ILLUMINA ORD		09/30/2019	Citigroup Global Markets, Inc.	6.000	1,825		0	L
45337C-10-2	INCYTE ORD		09/30/2019	Citigroup Global Markets, Inc.	19.000	1,411		0	L
458140-10-0	INTEL ORD		09/30/2019	Citigroup Global Markets, Inc.	6.000	309		0	L
45866F-10-4	INTERCONTINENTAL EXCHANGE ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	92		0	L
459200-10-1	INTERNATIONAL BUSINESS MACHINES ORD		09/30/2019	Citigroup Global Markets, Inc.	3.000	436		0	L
459506-10-1	INTERNATIONAL FLAVORS & FRAGRANS ORD		09/30/2019	Citigroup Global Markets, Inc.	2.000	245		0	L
461202-10-3	INTUIT ORD		09/30/2019	Citigroup Global Markets, Inc.	7.000	1,862		0	L
46120E-60-2	INTUITIVE SURGICAL ORD		09/30/2019	Citigroup Global Markets, Inc.	5.000	2,700		0	L
46266C-10-5	IQVIA HOLDINGS ORD		09/30/2019	Citigroup Global Markets, Inc.	49.000	7,320		0	L
469814-10-7	JACOBS ENGINEERING GROUP ORD		09/30/2019	Citigroup Global Markets, Inc.	30.000	2,745		0	L
482480-10-0	KLA ORD		09/30/2019	Citigroup Global Markets, Inc.	2.000	319		0	L
485170-30-2	KANSAS CITY SOUTHERN ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	133		0	L
49338L-10-3	KEYSIGHT TECHNOLOGIES ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	97		0	L
49456B-10-1	KINDER MORGAN CL P ORD		09/30/2019	Citigroup Global Markets, Inc.	60.000	1,237		0	L
500754-10-6	KRAFT HEINZ ORD		09/30/2019	Citigroup Global Markets, Inc.	11.000	307		0	L
501797-10-4	L BRANDS ORD		09/30/2019	Citigroup Global Markets, Inc.	19.000	372		0	L
502431-10-9	L3HARRIS TECHNOLOGIES ORD		09/30/2019	Citigroup Global Markets, Inc.	10.000	2,087		0	L
518439-10-4	ESTEE LAUDER CL A ORD		09/30/2019	Citigroup Global Markets, Inc.	5.000	995		0	L
525327-10-2	LEIDOS HOLDINGS ORD		08/12/2019	VARIOUS	3,471.000	279,475		0	L
531172-10-4	LIBERTY PROPERTY REIT ORD		09/04/2019	BARCLAYS CAPITAL LE	2,476.000	130,667		0	L
532457-10-8	ELI LILLY ORD		09/30/2019	Citigroup Global Markets, Inc.	8.000	895		0	L
539830-10-9	LOCKHEED MARTIN ORD		09/30/2019	Citigroup Global Markets, Inc.	7.000	2,730		0	L
552690-10-9	MDU RESOURCES GROUP ORD		09/04/2019	BARCLAYS CAPITAL LE	4,817.000	130,555		0	L
552953-10-1	MGM RESORTS INTERNATIONAL ORD		09/30/2019	Citigroup Global Markets, Inc.	10.000	277		0	L
554382-10-1	MACERICH REIT ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	32		0	L
565849-10-6	MARATHON OIL ORD		09/30/2019	Citigroup Global Markets, Inc.	41.000	503		0	L
56585A-10-2	MARATHON PETROLEUM ORD		09/30/2019	Citigroup Global Markets, Inc.	64.000	3,889		0	L
57060D-10-8	MARKETAXESS HOLDINGS ORD		07/25/2019	VARIOUS	796.000	293,201		0	L
57772K-10-1	MAXIM INTEGRATED PRODUCTS ORD		09/30/2019	Citigroup Global Markets, Inc.	9.000	521		0	L
58933Y-10-5	MERCK & CO ORD		09/30/2019	Citigroup Global Markets, Inc.	3.000	253		0	L

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Admini-strative Symbol/ Market Indicator (a)
594918-10-4	MICROSOFT ORD		09/30/2019	Citigroup Global Markets, Inc.	196.000	27,252		0	L
595112-10-3	MICRON TECHNOLOGY ORD		09/30/2019	Citigroup Global Markets, Inc.	25.000	1,072		0	L
60871R-20-9	MOLSON COORS BREWING ORD		09/30/2019	Citigroup Global Markets, Inc.	4.000	230		0	L
61174X-10-9	MONSTER BEVERAGE ORD		09/30/2019	Citigroup Global Markets, Inc.	4.000	232		0	L
61945C-10-3	MOSAIC ORD		09/30/2019	Citigroup Global Markets, Inc.	20.000	410		0	L
620076-30-7	MOTOROLA SOLUTIONS ORD		09/30/2019	Citigroup Global Markets, Inc.	5.000	852		0	L
62944T-10-5	NVR ORD		09/30/2019	VARIOUS	39.000	142,851		0	L
636180-10-1	NATL FUEL GAS ORD		08/09/2019	INSTINET	4,519.000	214,251		0	L
637071-10-1	NATIONAL OILWELL VARCO ORD		09/30/2019	Citigroup Global Markets, Inc.	42.000	891		0	L
64110L-10-6	NETFLIX ORD		09/30/2019	Citigroup Global Markets, Inc.	40.000	10,705		0	L
651639-10-6	NEWMONT GOLDCORP ORD		09/30/2019	Citigroup Global Markets, Inc.	76.000	2,883		0	L
65249B-10-9	NEWS CL A ORD		09/30/2019	Citigroup Global Markets, Inc.	50.000	697		0	L
65249B-20-8	NEWS CL B ORD		09/30/2019	Citigroup Global Markets, Inc.	13.000	186		0	L
65339F-10-1	NEXTERA ENERGY ORD		09/30/2019	Citigroup Global Markets, Inc.	5.000	1,165		0	L
65473P-10-5	NISOURCE ORD		09/30/2019	Citigroup Global Markets, Inc.	7.000	210		0	L
655044-10-5	NOBLE ENERGY ORD		09/30/2019	Citigroup Global Markets, Inc.	36.000	809		0	L
670346-10-5	NUCOR ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	51		0	L
67066G-10-4	NVIDIA ORD		09/30/2019	Citigroup Global Markets, Inc.	32.000	5,571		0	L
674599-10-5	OCCIDENTAL PETROLEUM ORD		09/30/2019	VARIOUS	210.776	9,873		0	L
682680-10-3	ONEOK ORD		09/30/2019	Citigroup Global Markets, Inc.	16.000	1,179		0	L
683715-10-6	OPEN TEXT ORD	C	09/04/2019	BARCLAYS CAPITAL LE	3,246.000	130,546		0	L
693656-10-0	PVH ORD		09/30/2019	Citigroup Global Markets, Inc.	3.000	265		0	L
693718-10-8	PACCAR ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	70		0	L
701094-10-4	PARKER HANNIFIN ORD		09/30/2019	Citigroup Global Markets, Inc.	5.000	903		0	L
70432V-10-2	PAYCOM SOFTWARE ORD		07/18/2019	JEFFERIES & COMPANY, INC.	1,103.000	265,200		0	L
712704-10-5	PEOPLES UNITED FINANCIAL ORD		09/30/2019	Citigroup Global Markets, Inc.	5.000	78		0	L
714046-10-9	PERKINELMER ORD		09/30/2019	Citigroup Global Markets, Inc.	3.000	256		0	L
717081-10-3	PFIZER ORD		09/30/2019	Citigroup Global Markets, Inc.	42.000	1,509		0	L
718546-10-4	PHILLIPS 66 ORD		09/30/2019	Citigroup Global Markets, Inc.	61.000	6,247		0	L
723787-10-7	PIONEER NATURAL RESOURCE ORD		09/30/2019	Citigroup Global Markets, Inc.	13.000	1,635		0	L
73278L-10-5	POOL ORD		07/18/2019	JEFFERIES & COMPANY, INC.	1,379.000	263,358		0	L
741503-40-3	BOOKING HOLDINGS INC.		09/30/2019	Citigroup Global Markets, Inc.	2.000	3,925		0	L
74251V-10-2	PRINCIPAL FINANCIAL GROUP ORD		09/30/2019	Citigroup Global Markets, Inc.	9.000	514		0	L
74736K-10-1	QORVO ORD		09/30/2019	Citigroup Global Markets, Inc.	4.000	297		0	L
747525-10-3	QUALCOMM ORD		09/30/2019	Citigroup Global Markets, Inc.	75.000	5,722		0	L
74762E-10-2	QUANTA SERVICES ORD		09/30/2019	Citigroup Global Markets, Inc.	2.000	76		0	L
74766Q-10-1	QUANTERIX ORD		08/09/2019	INSTINET	8,292.000	210,958		0	L
751212-10-1	RALPH LAUREN CL A ORD		09/30/2019	Citigroup Global Markets, Inc.	2.000	191		0	L
755111-50-7	RAYTHEON ORD		09/30/2019	Citigroup Global Markets, Inc.	5.000	981		0	L
75886F-10-7	REGENERON PHARMACEUTICALS ORD		09/30/2019	Citigroup Global Markets, Inc.	3.000	832		0	L
773903-10-9	ROCKWELL AUTOMAT ORD		09/30/2019	Citigroup Global Markets, Inc.	4.000	659		0	L
776696-10-6	ROPER TECHNOLOGIES ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	357		0	L
78409V-10-4	S&P GLOBAL ORD		09/30/2019	Citigroup Global Markets, Inc.	3.000	735		0	L
79466L-30-2	SALESFORCE.COM ORD		09/30/2019	Citigroup Global Markets, Inc.	140.000	20,783		0	L
806857-10-8	SCHLUMBERGER ORD		09/30/2019	Citigroup Global Markets, Inc.	108.000	3,691		0	L
812578-10-2	SEATTLE GENETICS ORD		09/04/2019	BARCLAYS CAPITAL LE	1,851.000	130,226		0	L
83088M-10-2	SKYWORKS SOLUTIONS ORD		09/30/2019	Citigroup Global Markets, Inc.	6.000	476		0	L
842587-10-7	SOUTHERN ORD		09/30/2019	Citigroup Global Markets, Inc.	2.000	124		0	L
854502-10-1	STANLEY BLACK AND DECKER ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	144		0	L
857477-10-3	STATE STREET ORD		09/30/2019	Citigroup Global Markets, Inc.	7.000	414		0	L
863667-10-1	STRYKER ORD		09/30/2019	Citigroup Global Markets, Inc.	22.000	4,759		0	L
871607-10-7	SYNOPSYS ORD		09/30/2019	Citigroup Global Markets, Inc.	3.000	412		0	L
871829-10-7	SYSCO ORD		09/30/2019	Citigroup Global Markets, Inc.	36.000	2,859		0	L
872590-10-4	T MOBILE US ORD	C	09/30/2019	Citigroup Global Markets, Inc.	396.000	31,645		0	L
874054-10-9	TAKE TWO INTERACTIVE SOFTWARE ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	125		0	L
876030-10-7	TAPESTRY ORD		09/30/2019	Citigroup Global Markets, Inc.	15.000	391		0	L
879369-10-6	TELEFLEX ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	340		0	L
882508-10-4	TEXAS INSTRUMENTS ORD		09/30/2019	Citigroup Global Markets, Inc.	26.000	3,361		0	L

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol/ Market Indicator (a)
883203-10-1	TEXTRON ORD		09/30/2019	Citigroup Global Markets, Inc.	8.000	392		0	L
883556-10-2	THERMO FISHER SCIENTIFIC ORD		09/30/2019	Citigroup Global Markets, Inc.	5.000	1,456		0	L
88579Y-10-1	3M ORD		09/30/2019	Citigroup Global Markets, Inc.	23.000	3,781		0	L
886547-10-8	TIFFANY ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	93		0	L
892356-10-6	TRACTOR SUPPLY ORD		09/04/2019	BARCLAYS CAPITAL LE	1,306.000	129,648		0	L
893641-10-0	TRANSDIGM GROUP ORD		09/30/2019	Citigroup Global Markets, Inc.	3.000	1,562		0	L
896945-20-1	TRIPADVISOR ORD		09/30/2019	Citigroup Global Markets, Inc.	5.000	193		0	L
90184L-10-2	TWITTER ORD		09/30/2019	Citigroup Global Markets, Inc.	28.000	1,154		0	L
902653-10-4	UDR REIT ORD		09/30/2019	Citigroup Global Markets, Inc.	5.000	242		0	L
902673-10-2	UFP TECHNOLOGIES ORD		07/18/2019	JEFFERIES & COMPANY, INC.	3,898.000	165,385		0	L
90384S-30-3	ULTA BEAUTY ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	251		0	L
911363-10-9	UNITED RENTAL ORD		09/30/2019	Citigroup Global Markets, Inc.	4.000	499		0	L
913017-10-9	UNITED TECHNOLOGIES ORD		09/30/2019	Citigroup Global Markets, Inc.	28.000	3,823		0	L
91913Y-10-0	VALERO ENERGY ORD		09/30/2019	Citigroup Global Markets, Inc.	11.000	938		0	L
92220P-10-5	VARIAN MEDICAL SYSTEMS ORD		09/30/2019	Citigroup Global Markets, Inc.	4.000	476		0	L
92276F-10-0	VENTAS REIT ORD		09/30/2019	Citigroup Global Markets, Inc.	27.000	1,972		0	L
92532F-10-0	VERTEX PHARMACEUTICALS ORD		09/30/2019	Citigroup Global Markets, Inc.	15.000	2,541		0	L
92553P-20-1	VIACOM CL B ORD		09/30/2019	Citigroup Global Markets, Inc.	8.000	192		0	L
92826C-83-9	VISA CL A ORD		09/30/2019	Citigroup Global Markets, Inc.	3.000	516		0	L
929740-10-8	WABTEC ORD		09/30/2019	Citigroup Global Markets, Inc.	24.000	1,725		0	L
931142-10-3	WALMART ORD		09/30/2019	Citigroup Global Markets, Inc.	33.000	3,917		0	L
94946T-10-6	WELLCARE HEALTH ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	259		0	L
949746-10-1	WELLS FARGO ORD		09/30/2019	Citigroup Global Markets, Inc.	12.000	605		0	L
95040Q-10-4	WELLTOWER ORD		09/30/2019	Citigroup Global Markets, Inc.	6.000	544		0	L
958102-10-5	WESTERN DIGITAL ORD		09/30/2019	Citigroup Global Markets, Inc.	47.000	2,804		0	L
962166-10-4	WEYERHAEUSER REIT		09/30/2019	Citigroup Global Markets, Inc.	1.000	28		0	L
963320-10-6	WHIRLPOOL ORD		09/30/2019	Citigroup Global Markets, Inc.	1.000	158		0	L
969457-10-0	WILLIAMS ORD		09/30/2019	Citigroup Global Markets, Inc.	2.000	48		0	L
983134-10-7	WYNN RESORTS ORD		09/30/2019	Citigroup Global Markets, Inc.	6.000	652		0	L
98389B-10-0	XCEL ENERGY ORD		09/30/2019	Citigroup Global Markets, Inc.	21.000	1,363		0	L
983919-10-1	XILINX ORD		09/30/2019	Citigroup Global Markets, Inc.	16.000	1,535		0	L
98419M-10-0	XYLEM ORD		09/30/2019	Citigroup Global Markets, Inc.	3.000	239		0	L
98978V-10-3	ZOETIS CL A ORD		09/30/2019	Citigroup Global Markets, Inc.	7.000	872		0	L
G0177J-10-8	ALLERGAN ORD	C	09/30/2019	Citigroup Global Markets, Inc.	63.000	10,603		0	L
G1890L-10-7	CAPRI HOLDINGS LTD.	D	09/30/2019	Citigroup Global Markets, Inc.	1.000	33		0	L
G29183-10-3	EATON ORD		09/30/2019	Citigroup Global Markets, Inc.	15.000	1,247		0	L
G47567-10-5	IHS MARKIT ORD	D	09/30/2019	Citigroup Global Markets, Inc.	38.000	2,542		0	L
G47791-10-1	INGERSOLL RAND ORD		09/30/2019	Citigroup Global Markets, Inc.	2.000	246		0	L
G5960L-10-3	MEDTRONIC ORD	C	09/30/2019	Citigroup Global Markets, Inc.	1.000	109		0	L
G6095L-10-9	APTIV ORD	D	09/30/2019	Citigroup Global Markets, Inc.	7.000	612		0	L
G68707-10-1	PAGSEGURO DIGITAL CL A ORD	D	08/22/2019	Credit Suisse First Boston	3,378.000	173,198		0	L
G7S00T-10-4	PENTAIR ORD	C	09/30/2019	Citigroup Global Markets, Inc.	17.000	643		0	L
G87110-10-5	TECHNIPFMC ORD	D	09/30/2019	Citigroup Global Markets, Inc.	40.000	966		0	L
G97822-10-3	PERRIGO ORD	C	09/30/2019	Citigroup Global Markets, Inc.	15.000	839		0	L
H2906T-10-9	GARMIN ORD	C	09/30/2019	Citigroup Global Markets, Inc.	31.000	2,626		0	L
H84989-10-4	TE CONNECTIVITY ORD	C	09/30/2019	Citigroup Global Markets, Inc.	12.000	1,118		0	L
M3760D-10-1	ELBIT SYSTEMS ORD	C	07/18/2019	JEFFERIES & COMPANY, INC.	1,748.000	265,877		0	L
N59465-10-9	MYLAN ORD	C	09/30/2019	Citigroup Global Markets, Inc.	35.000	693		0	L
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)						4,839,898	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						4,839,898	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						4,839,898	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						4,839,898	XXX	0	XXX
9999999 - Totals						13,155,583	XXX	8,297	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues ....

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value 11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol /Market Indicator (a)
3620AA-TY-4	GN 724267 - RMBS		09/01/2019	Paydown		6,836	6,836	7,109	7,094	0	(258)	0	(258)	0	6,836	0	0	0	228	09/15/2039	1
912828-UF-5	UNITED STATES TREASURY		08/01/2019	PNC CAPITAL MKTS		128,311	128,870	128,848	128,873	0	(2)	0	(2)	0	128,871	0	(560)	(560)	784	12/31/2019	1
0599999. Subtotal - Bonds - U.S. Governments						135,147	135,705	135,957	135,967	0	(260)	0	(260)	0	135,707	0	(560)	(560)	1,012	XXX	XXX
3128PL-A2-8	FH J08125 - RMBS		09/01/2019	Paydown		2,166	2,166	2,185	2,173	0	(6)	0	(6)	0	2,166	0	0	0	65	06/01/2023	1
312935-M2-2	FH A88477 - RMBS		09/01/2019	Paydown		8,764	8,764	9,142	9,124	0	(360)	0	(360)	0	8,764	0	0	0	307	09/01/2039	1
31371L-6G-9	FN 255671 - RMBS		09/01/2019	Paydown		2,617	2,617	2,613	2,612	0	6	0	6	0	2,617	0	0	0	94	04/01/2035	1
3138AB-YR-4	FN AH9719 - RMBS		09/01/2019	Paydown		5,614	5,614	5,806	5,803	0	(189)	0	(189)	0	5,614	0	0	0	171	04/01/2041	1
3138AK-QW-2	FN AI5868 - RMBS		09/01/2019	Paydown		8,673	8,673	9,023	9,026	0	(353)	0	(353)	0	8,673	0	0	0	279	07/01/2041	1
3138E2-GH-2	FN AJ9199 - RMBS		09/01/2019	Paydown		27,663	27,663	28,412	28,407	0	(744)	0	(744)	0	27,663	0	0	0	664	01/01/2042	1
3138EG-HX-5	FN AL0245 - RMBS		09/01/2019	Paydown		12,729	12,729	12,853	12,877	0	(148)	0	(148)	0	12,729	0	0	0	337	04/01/2041	1
3138M5-LN-7	FN AP2132 - RMBS		09/01/2019	Paydown		52,157	52,157	52,342	52,332	0	(175)	0	(175)	0	52,157	0	0	0	1,203	08/01/2042	1
3138WE-6X-2	FN AS5385 - RMBS		09/01/2019	Paydown		81,575	81,575	83,262	83,254	0	(1,679)	0	(1,679)	0	81,575	0	0	0	2,186	07/01/2045	1
31402Q-WA-5	FN 735141 - RMBS		09/01/2019	Paydown		4,156	4,156	4,068	4,071	0	85	0	85	0	4,156	0	0	0	154	01/01/2035	1
31403C-6L-0	FN 745275 - RMBS		09/01/2019	Paydown		3,083	3,083	3,193	3,183	0	(100)	0	(100)	0	3,083	0	0	0	104	02/01/2036	1
31403D-WU-9	FN 745959 - RMBS		09/01/2019	Paydown		3,334	3,334	3,533	3,522	0	(188)	0	(188)	0	3,334	0	0	0	122	11/01/2036	1
31408F-6B-0	FN 850566 - RMBS		09/01/2019	Paydown		3,069	3,069	2,911	2,906	0	163	0	163	0	3,069	0	0	0	105	01/01/2036	1
31409W-LB-5	FN 880622 - RMBS		09/01/2019	Paydown		425	425	412	412	0	13	0	13	0	425	0	0	0	18	04/01/2036	1
31411E-2C-0	FN 906271 - RMBS		09/01/2019	Paydown		2,619	2,619	2,586	2,581	0	38	0	38	0	2,619	0	0	0	103	01/01/2037	1
31411E-YD-3	FN 906208 - RMBS		09/01/2019	Paydown		1,959	1,959	1,933	1,933	0	26	0	26	0	1,959	0	0	0	72	01/01/2037	1
31412P-6K-2	FN 931574 - RMBS		09/01/2019	Paydown		2,964	2,964	3,029	3,018	0	(53)	0	(53)	0	2,964	0	0	0	100	02/01/2035	1
31412W-N2-8	FN 937009 - RMBS		09/01/2019	Paydown		1,353	1,353	1,348	1,345	0	8	0	8	0	1,353	0	0	0	54	06/01/2037	1
31413V-UA-3	FN 956977 - RMBS		09/01/2019	Paydown		263	263	263	263	0	0	0	0	0	263	0	0	0	11	12/01/2037	1
31416N-3J-9	FN AA5300 - RMBS		09/01/2019	Paydown		254	254	268	256	0	(2)	0	(2)	0	254	0	0	0	7	09/01/2020	1
31416T-L5-6	FN AA9347 - RMBS		09/01/2019	Paydown		7,380	7,380	7,621	7,606	0	(226)	0	(226)	0	7,380	0	0	0	249	08/01/2039	1
3199999. Subtotal - Bonds - U.S. Special Revenues						232,818	232,818	236,802	236,703	0	(3,885)	0	(3,885)	0	232,818	0	0	0	6,404	XXX	XXX
0258M0-DP-1	AMERICAN EXPRESS CREDIT CORP		08/15/2019	Maturity @ 100.00		400,000	400,000	399,756	399,978	0	22	0	22	0	400,000	0	0	0	9,000	08/15/2019	1FE
084670-BL-1	BERKSHIRE HATHAWAY INC		08/14/2019	Maturity @ 100.00		500,000	500,000	499,955	500,020	0	(20)	0	(20)	0	500,000	0	0	0	10,500	08/14/2019	1FE
12596J-AA-1	CNH 19A A1 - ABS	C	09/16/2019	Paydown		243,922	243,922	243,922	0	0	0	0	0	0	243,922	0	0	0	3,720	02/28/2020	1FE
14042W-AA-8	COPAR 191 A1 - ABS		09/16/2019	Paydown		233,027	233,027	233,027	0	0	0	0	0	0	233,027	0	0	0	1,265	06/15/2020	1FE
14315P-AA-3	CARMX 193 A1 - ABS		09/16/2019	Paydown		196,097	196,097	196,097	0	0	0	0	0	0	196,097	0	0	0	389	08/17/2020	1FE
14316L-AA-1	CARMX 192 A1 - ABS		09/16/2019	Paydown		286,828	286,828	286,828	0	0	0	0	0	0	286,828	0	0	0	2,741	04/15/2020	1FE
161571-HF-4	CHAIT 165 A - ABS		07/15/2019	VARIOUS		1,100,000	1,100,000	1,099,697	1,099,994	0	6	0	6	0	1,100,000	0	0	0	8,149	07/15/2021	1FE
31428X-AZ-9	FEDEX CORP		08/21/2019	VARIOUS		555,688	555,000	553,995	554,800	0	117	0	117	0	554,917	0	83	83	14,162	02/01/2020	2FE
31680Y-AA-5	FITAT 191 A1 - ABS		09/16/2019	Paydown		238,744	238,744	238,744	0	0	0	0	0	0	238,744	0	0	0	1,702	05/15/2020	1FE
34528D-AA-1	FORDL 19B A1 - ABS		09/16/2019	Paydown		213,228	213,228	213,228	0	0	0	0	0	0	213,228	0	0	0	437	08/15/2020	1FE
34531P-AE-1	FORDO 16A A4 - ABS		09/15/2019	Paydown		92,340	92,340	92,005	0	0	335	0	335	0	92,340	0	0	0	493	06/15/2021	1FE
34533F-AA-9	FORDO 19A A1 - ABS		09/16/2019	Paydown		403,429	403,429	403,429	0	0	0	0	0	0	403,429	0	0	0	4,336	04/15/2020	1FE
36257F-AA-8	GMCAR 192 A1 - ABS		08/16/2019	Paydown		173,125	173,125	173,125	0	0	0	0	0	0	173,125	0	0	0	1,222	04/16/2020	1FE
36257P-AA-6	GMCAR 193 A1 - ABS		09/16/2019	Paydown		410,294	410,294	410,294	0	0	0	0	0	0	410,294	0	0	0	853	07/16/2020	1FE
43811B-AC-8	HAROT 172 A3 - ABS	C	09/15/2019	Paydown		63,717	63,717	63,712	63,725	0	(8)	0	(8)	0	63,717	0	0	0	714	08/16/2021	1FE
43814U-AC-3	HAROT 181 A3 - ABS		09/15/2019	Paydown		567	567	567	567	0	0	0	0	0	567	0	0	0	11	02/15/2022	1FE
44932N-AA-8	HART 19A A1 - ABS		09/16/2019	Paydown		133,037	133,037	133,037	0	0	0	0	0	0	133,037	0	0	0	1,227	04/15/2020	1FE
47789J-AA-4	JDOT 2019 A1 - ABS		09/16/2019	Paydown		292,635	292,635	292,635	0	0	0	0	0	0	292,635	0	0	0	3,343	03/16/2020	1FE
58772T-AA-8	MBALT 19A A1 - ABS	C	08/15/2019	Paydown		101,776	101,776	101,776	0	0	0	0	0	0	101,776	0	0	0	1,576	02/18/2020	1FE
65479K-AA-8	NAROT 19A A1 - ABS		09/16/2019	Paydown		203,904	203,904	203,904	0	0	0	0	0	0	203,904	0	0	0	2,817	02/18/2020	1FE
65479P-AA-7	NALT 19A A1 - ABS		09/16/2019	Paydown		256,450	256,450	256,450	0	0	0	0	0	0	256,450	0	0	0	2,277	04/15/2020	1FE
90290E-AA-7	USAOT 191 A1 - ABS		09/16/2019	Paydown		316,920	316,920	316,920	0	0	0	0	0	0	316,920	0	0	0	500	08/17/2020	1FE
92340L-AC-3	VEREIT OPERATING PARTNERSHIP LP		09/13/2019	PNC CAPITAL MKTS		307,564	295,000	293,024	293,341	0	116	0	116	0	293,457	0	14,107	14,107	12,688	08/15/2027	2FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						6,723,292	6,710,040	6,706,126	2,912,424	0	569	0	569	0	6,708,414	0	14,190	14,190	84,123	XXX	XXX
8399997. Total - Bonds - Part 4						7,091,257	7,078,563	7,078,886	3,285,094	0	(3,576)	0	(3,576)	0	7,076,939	0	13,629	13,629	91,538	XXX	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds						7,091,257	7,078,563	7,078,886	3,285,094	0	(3,576)	0	(3,576)	0	7,076,939	0	13,629	13,629	91,538	XXX	XXX
8999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident-ification	2 Description	3 For-eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid-eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value: 11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor-tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recog-nized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value	16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received DuringYear	21 Stated Con-tractual Maturity Date	22 NAIC Desig-nation and Admini-strative Symbol /Market Indicator (a)
8999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
001055-10-2	AFLAC ORD		09/30/2019	PNC CAPITAL MKTS	28.000	1,465		876	1,187	(411)	0	0	(411)	0	876	0	588	588	22		L
00130H-10-5	THE AES CORPORATION		09/30/2019	PNC CAPITAL MKTS	38.000	621		517	511	(39)	0	0	(39)	0	517	0	104	104	15		L
00206R-10-2	AT&T ORD		09/30/2019	PNC CAPITAL MKTS	110.000	4,161		3,618	2,990	465	0	0	465	0	3,618	0	544	544	165		L
00287Y-10-9	ABBVIE ORD		09/30/2019	PNC CAPITAL MKTS	52.000	3,937		3,042	4,314	(1,689)	0	0	(1,689)	0	3,042	0	895	895	159		L
00751Y-10-6	ADVANCE AUTO PARTS ORD		09/30/2019	PNC CAPITAL MKTS	2.000	331		311	305	(4)	0	0	(4)	0	311	0	20	20	0		L
008252-10-8	AFFILIATED MANAGERS GROUP ORD		09/30/2019	PNC CAPITAL MKTS	2.000	167		194	180	176	0	177	(1)	0	194	0	(27)	(27)	2		L
00971T-10-1	AKAMAI TECHNOLOGIES ORD		09/30/2019	PNC CAPITAL MKTS	4.000	365		194	234	(53)	0	0	(53)	0	194	0	171	171	0		L
011659-10-9	ALASKA AIR GROUP ORD		09/30/2019	PNC CAPITAL MKTS	5.000	324		330	279	26	0	0	26	0	330	0	(6)	(6)	5		L
012653-10-1	ALBEMARLE ORD		09/30/2019	PNC CAPITAL MKTS	10.000	695		827	711	63	0	0	63	0	827	0	(132)	(132)	10		L
015271-10-9	ALEXANDRIA REAL ESTATE EQ REIT ORD		09/30/2019	PNC CAPITAL MKTS	7.000	1,078		826	688	(9)	0	0	(9)	0	826	0	253	253	19		L
016255-10-1	ALIGN TECHNOLOGY ORD		09/30/2019	PNC CAPITAL MKTS	9.000	1,628		1,669	1,678	(285)	0	0	(285)	0	1,669	0	(41)	(41)	0		L
018581-10-8	ALLIANCE DATA SYSTEMS ORD		09/30/2019	PNC CAPITAL MKTS	7.000	897		1,571	964	525	0	0	525	0	1,571	0	(674)	(674)	12		L
018802-10-8	ALLIANT ENERGY ORD		09/30/2019	PNC CAPITAL MKTS	2.000	108		81	78	(4)	0	0	(4)	0	81	0	26	26	2		L
020002-10-1	ALLSTATE ORD		09/30/2019	PNC CAPITAL MKTS	10.000	1,087		437	750	(403)	0	0	(403)	0	437	0	650	650	14		L
02209S-10-3	ALTRIA GROUP ORD		09/30/2019	PNC CAPITAL MKTS	173.000	7,074		6,730	7,849	(1,803)	0	0	(1,803)	0	6,730	0	344	344	381		L
023608-10-2	AMEREN ORD		09/30/2019	PNC CAPITAL MKTS	1.000	80		42	60	(24)	0	0	(24)	0	42	0	38	38	1		L
02376R-10-2	AMERICAN AIRLINES GROUP ORD		09/30/2019	PNC CAPITAL MKTS	17.000	458		607	506	328	0	267	61	0	607	0	(149)	(149)	5		L
030420-10-3	AMERICAN WATER WORKS ORD		09/30/2019	PNC CAPITAL MKTS	3.000	373		218	256	(58)	0	0	(58)	0	218	0	155	155	4		L
03073E-10-5	AMERISOURCEBERGEN ORD		09/30/2019	PNC CAPITAL MKTS	16.000	1,317		1,143	1,109	(58)	0	0	(58)	0	1,143	0	174	174	18		L
03076C-10-6	AMERIPRISE FINANCE ORD		09/30/2019	PNC CAPITAL MKTS	12.000	1,765		1,353	1,154	61	0	0	61	0	1,353	0	412	412	32		L
032095-10-1	AMPHENOL CL A ORD		09/30/2019	PNC CAPITAL MKTS	2.000	193		97	156	(66)	0	0	(66)	0	97	0	96	96	1		L
032511-10-7	ANADARKO PETROLEUM ORD		09/01/2019	MERGER	640.000	46,610		30,901	26,938	16,630	0	14,340	2,290	0	30,901	0	15,709	15,709	358		L
032654-10-5	ANALOG DEVICES ORD		07/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	0		L
036752-10-3	ANTHEM ORD		09/30/2019	PNC CAPITAL MKTS	4.000	960		483	1,009	(572)	0	0	(572)	0	483	0	477	477	9		L
03748R-75-4	APARTMENT INVST MGT CL A REIT ORD		09/30/2019	PNC CAPITAL MKTS	3.000	156		114	128	(23)	0	0	(23)	0	114	0	42	42	8		L
037833-10-0	APPLE ORD		09/30/2019	PNC CAPITAL MKTS	133.000	29,786		9,085	20,835	(11,922)	0	0	(11,922)	0	9,085	0	20,701	20,701	301		L
03784Y-20-0	APPLE HOSPITALITY REIT ORD		08/01/2019	PNC CAPITAL MKTS	18,990.000	294,005		328,862	137,395	38,611	0	0	38,611	0	328,862	0	(34,858)	(34,858)	9,988		L
038222-10-5	APPLIED MATERIAL ORD		09/30/2019	PNC CAPITAL MKTS	9.000	449		184	285	(114)	0	0	(114)	0	184	0	265	265	5		L
039483-10-2	ARCHER DANIELS MIDLAND ORD		09/30/2019	PNC CAPITAL MKTS	13.000	534		524	512	(9)	0	0	(9)	0	524	0	10	10	13		L
04621X-10-8	ASSURANT ORD		09/30/2019	PNC CAPITAL MKTS	1.000	126		75	71	(18)	0	0	(18)	0	75	0	51	51	2		L
053015-10-3	AUTOMATIC DATA PROCESSING ORD		09/30/2019	PNC CAPITAL MKTS	2.000	323		151	254	(114)	0	0	(114)	0	151	0	172	172	5		L
053332-10-2	AUTOZONE ORD		09/30/2019	PNC CAPITAL MKTS	1.000	1,085		528	811	(319)	0	0	(319)	0	528	0	556	556	0		L
053484-10-1	AVALONBAY COMMUNITIES REIT ORD		09/30/2019	PNC CAPITAL MKTS	2.000	431		272	321	(81)	0	0	(81)	0	272	0	159	159	9		L
054937-10-7	BB AND T ORD		09/30/2019	PNC CAPITAL MKTS	37.000	1,974		1,383	1,492	(234)	0	0	(234)	0	1,383	0	591	591	45		L
060505-10-4	BANK OF AMERICA ORD		09/30/2019	PNC CAPITAL MKTS	640.000	18,663		10,754	15,115	(5,120)	0	0	(5,120)	0	10,754	0	7,908	7,908	295		L
064058-10-0	BANK OF NEW YORK MELLON ORD		09/30/2019	PNC CAPITAL MKTS	86.000	3,887		2,974	3,742	(1,054)	0	0	(1,054)	0	2,974	0	914	914	71		L
071813-10-9	BAXTER INTERNATIONAL ORD		07/01/2019	PNC CAPITAL MKTS	0.000	0		0	0	0	0	0	0	0	0	0	0	0	2		L
09062X-10-3	BIOGEN ORD		09/30/2019	PNC CAPITAL MKTS	7.000	1,630		1,512	2,064	(590)	0	0	(590)	0	1,512	0	118	118	0		L
093671-10-5	H&R BLOCK ORD		09/30/2019	PNC CAPITAL MKTS	17.000	401		456	407	23	0	0	23	0	456	0	(55)	(55)	11		L
101121-10-1	BOSTON PROPERTIES REIT ORD		09/30/2019	PNC CAPITAL MKTS	15.000	1,945		1,574	1,637	(124)	0	0	(124)	0	1,574	0	371	371	42		L
110122-10-8	BRISTOL MYERS SQUIBB ORD		09/30/2019	PNC CAPITAL MKTS	122.000	6,185		6,136	5,651	(154)	0	0	(154)	0	6,136	0	49	49	142		L
11133T-10-3	BROADRIDGE FINANCIAL SOLUTIONS ORD		09/30/2019	PNC CAPITAL MKTS	6.000	747		692	527	101	0	0	101	0	692	0	55	55	8		L
124857-20-2	CBS CL B ORD		09/30/2019	PNC CAPITAL MKTS	27.000	1,090		1,077	1,120	(109)	0	0	(109)	0	1,077	0	13	13	15		L
12503M-10-8	CBOE GLOBAL MARKETS ORD		09/30/2019	PNC CAPITAL MKTS	1.000	115		86	90	(12)	0	0	(12)	0	86	0	29	29	1		L
12541W-20-9	CH ROBINSON WORLDWIDE ORD		09/30/2019	PNC CAPITAL MKTS	7.000	593		412	542	(178)	0	0	(178)	0	412	0	181	181	10		L
125523-10-0	CIGNA ORD		09/30/2019	PNC CAPITAL MKTS	10.000	1,518		1,261	1,777	(622)	0	0	(622)	0	1,261	0	257	257	0		L
125896-10-0	CMS ENERGY ORD		09/30/2019	PNC CAPITAL MKTS	2.000	128		65	92	(35)	0	0	(35)	0	65	0	63	63	2		L
126650-10-0	CVS HEALTH ORD		09/30/2019	PNC CAPITAL MKTS	77.000	4,856		5,003	4,691	17	0	0	17	0	5,003	0	(148)	(148)	110		L
127097-10-3	CABOT OIL & GAS ORD		09/30/2019	PNC CAPITAL MKTS	22.000	386		618	450	123	0	0	123	0	618	0	(232)	(232)	5		L
134429-10-9	CAMPBELL SOUP ORD		09/30/2019	PNC CAPITAL MKTS	43.000	2,017		1,840	1,297	395	0	0	395	0	1,840	0	177	177	43		L
14040H-10-5	CAPITAL ONE FINANCIAL ORD		09/30/2019	PNC CAPITAL MKTS	12.000	1,092		819	854	(97)	0	0	(97)	0	819	0	273	273	14		L
143130-10-2	CARMAX ORD		09/30/2019	PNC CAPITAL MKTS	3.000	264		141	180	(47)	0	0	(47)	0	141	0	123	123	0		L
143658-30-0	CARNIVAL ORD		09/30/2019	PNC CAPITAL MKTS	91.000	3,977		3,758	3,631	(678)	0	0	(678)	0	3,758	0	219	219	118		L
150870-10-3	CELANESE ORD		09/30/2019	PNC CAPITAL MKTS	3.000	367		275	0	0	0	0	0	0	275	0	92	92	5		L
151020-10-4	CELGENE ORD		09/30/2019	PNC CAPITAL MKTS	35.000	3,475		1,925	2,039	(411)	0	0	(411)	0	1,925	0	1,550	1,550	0		L

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol /Market Indicator (a)
15189T-10-7	CENTERPOINT ENERGY ORD		09/30/2019	PNC CAPITAL MKTS	8.000	241		196	207	(32)	0	0	(32)	0	196	0	46	46	7		L
156782-10-4	CERNER ORD		09/30/2019	PNC CAPITAL MKTS	11.000	750		606	552	21	0	0	21	0	606	0	144	144	2		L
16119P-10-8	CHARTER COMMUNICATIONS CL A ORD		09/30/2019	PNC CAPITAL MKTS	20.000	8,242		5,602	5,291	(242)	0	0	(242)	0	5,602	0	2,640	2,640	0		L
171340-10-2	CHURCH AND DWIGHT ORD		09/30/2019	PNC CAPITAL MKTS	5.000	376		231	305	(101)	0	0	(101)	0	231	0	145	145	3		L
172908-10-5	CINTAS ORD		09/30/2019	PNC CAPITAL MKTS	6.000	1,609		456	944	(575)	0	0	(575)	0	456	0	1,152	1,152	0		L
172967-42-4	CITIGROUP ORD		09/30/2019	PNC CAPITAL MKTS	75.000	5,180		3,639	3,757	(306)	0	0	(306)	0	3,639	0	1,541	1,541	103		L
174610-10-5	CITIZENS FINANCIAL GROUP ORD		09/30/2019	PNC CAPITAL MKTS	26.000	919		607	734	(173)	0	0	(173)	0	607	0	312	312	25		L
177376-10-0	CITRIX SYSTEMS ORD		09/30/2019	PNC CAPITAL MKTS	1.000	97		47	101	(55)	0	0	(55)	0	47	0	49	49	1		L
189054-10-9	CLOROX ORD		09/30/2019	PNC CAPITAL MKTS	5.000	759		492	719	(279)	0	0	(279)	0	492	0	267	267	14		L
191216-10-0	COCA-COLA ORD		07/01/2019	PNC CAPITAL MKTS	0.000	0		0	0	0	0	0	0	0	0	0	0	0	3		L
192446-10-2	COGNIZANT TECHNOLOGY SOLUTN CL A ORD		09/30/2019	PNC CAPITAL MKTS	11.000	663		539	693	(160)	0	0	(160)	0	539	0	123	123	7		L
194162-10-3	COLGATE PALMOLIVE ORD		09/30/2019	PNC CAPITAL MKTS	13.000	955		812	748	33	0	0	33	0	812	0	144	144	16		L
200340-10-7	COMERICA ORD		09/30/2019	PNC CAPITAL MKTS	10.000	660		504	666	(188)	0	0	(188)	0	504	0	156	156	19		L
205887-10-2	CONAGRA BRANDS ORD		09/30/2019	PNC CAPITAL MKTS	43.000	1,319		1,023	832	78	0	0	78	0	1,023	0	296	296	26		L
21036P-10-8	CONSTELLATION BRANDS CL A ORD		09/30/2019	PNC CAPITAL MKTS	8.000	1,658		785	1,193	(513)	0	0	(513)	0	785	0	873	873	17		L
216648-40-2	COOPER ORD		09/01/2019	PNC CAPITAL MKTS	485.000	162,116		83,964	123,433	(39,469)	0	0	(39,469)	0	83,964	0	78,153	78,153	15		L
217204-10-6	COPART ORD		09/30/2019	PNC CAPITAL MKTS	2.000	161		116	91	18	0	0	18	0	116	0	45	45	0		L
219350-10-5	CORNING ORD		07/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	0		L
222070-20-3	COTY CL A ORD		09/30/2019	PNC CAPITAL MKTS	29.000	305		496	189	305	0	0	305	0	496	0	(192)	(192)	11		L
229899-10-9	CULLEN FROST BANKERS ORD		07/18/2019	PNC CAPITAL MKTS	1,841.000	168,625		202,928	161,898	41,030	0	0	41,030	0	202,928	0	(34,302)	(34,302)	2,541		L
23331A-10-9	D R HORTON ORD		09/30/2019	PNC CAPITAL MKTS	21.000	1,107		517	666	(224)	0	0	(224)	0	517	0	590	590	9		L
23355L-10-6	DXC TECHNOLOGY ORD		07/01/2019	PNC CAPITAL MKTS	0.000	0		0	0	0	0	0	0	0	0	0	0	0	1		L
23918K-10-8	DAVITA ORD		09/30/2019	PNC CAPITAL MKTS	27.000	1,541		1,622	1,381	231	0	0	231	0	1,622	0	(81)	(81)	0		L
247361-70-2	Delta Air Lines, Inc.		09/30/2019	PNC CAPITAL MKTS	29.000	1,670		762	1,436	(685)	0	0	(685)	0	762	0	908	908	32		L
24906P-10-9	DENTSPLY SIRONA ORD		09/30/2019	PNC CAPITAL MKTS	11.000	586		566	367	139	0	0	139	0	566	0	20	20	3		L
25179M-10-3	DEVON ENERGY ORD		07/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	0		L
254687-10-6	WALT DISNEY ORD		09/30/2019	PNC CAPITAL MKTS	12.000	1,564		947	967	(381)	0	0	(381)	0	947	0	616	616	18		L
254709-10-8	DISCOVER FINANCIAL SERVICES ORD		09/30/2019	PNC CAPITAL MKTS	4.000	324		141	230	(97)	0	0	(97)	0	141	0	183	183	5		L
25470F-30-2	DISCOVERY SRS C ORD		09/30/2019	PNC CAPITAL MKTS	2.000	49		55	45	9	0	0	9	0	55	0	(6)	(6)	0		L
25746U-10-9	DOMINION ENERGY ORD		07/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	0		L
260557-10-3	DOW ORD		09/30/2019	PNC CAPITAL MKTS	74.000	3,525		3,623	0	0	0	1,037	(1,037)	0	3,623	0	(98)	(98)	101		L
264411-50-5	DUKE REALTY REIT ORD		09/30/2019	PNC CAPITAL MKTS	2.000	68		56	48	3	0	0	3	0	56	0	12	12	1		L
26614N-10-2	DUPONT DE NEMOURS ORD		09/30/2019	PNC CAPITAL MKTS	22.000	1,569		1,654	0	0	0	373	(373)	0	1,654	0	(85)	(85)	7		L
269246-40-1	E TRADE FINANCIAL ORD		09/30/2019	PNC CAPITAL MKTS	14.000	612		322	583	(293)	0	0	(293)	0	322	0	289	289	4		L
277432-10-0	EASTMAN CHEMICAL ORD		07/01/2019	PNC CAPITAL MKTS	0.000	0		0	0	0	0	0	0	0	0	0	0	0	8		L
278642-10-3	EBAY ORD		09/30/2019	PNC CAPITAL MKTS	35.000	1,364		603	975	(382)	0	0	(382)	0	603	0	761	761	15		L
278865-10-0	ECOLAB ORD		09/30/2019	PNC CAPITAL MKTS	23.000	4,555		2,519	3,088	(954)	0	0	(954)	0	2,519	0	2,036	2,036	29		L
29476L-10-7	EQUITY RESIDENTIAL REIT ORD		09/30/2019	PNC CAPITAL MKTS	35.000	3,019		1,997	2,087	(345)	0	0	(345)	0	1,997	0	1,022	1,022	56		L
297178-10-5	ESSEX PROPERTY REIT ORD		09/30/2019	PNC CAPITAL MKTS	1.000	327		197	225	(52)	0	0	(52)	0	197	0	130	130	6		L
30034W-10-6	EVERGY ORD		09/30/2019	PNC CAPITAL MKTS	12.000	799		637	675	(44)	0	0	(44)	0	637	0	161	161	17		L
30040W-10-8	EVERSOURCE ENERGY ORD		09/30/2019	PNC CAPITAL MKTS	2.000	171		94	119	(37)	0	0	(37)	0	94	0	76	76	3		L
30161N-10-1	EXELON ORD		09/30/2019	PNC CAPITAL MKTS	24.000	1,159		762	969	(333)	0	0	(333)	0	762	0	397	397	25		L
30212P-30-3	EXPEDIA GROUP ORD		09/04/2019	PNC CAPITAL MKTS	2,001.960	256,951		254,727	0	0	0	0	0	0	254,727	0	2,224	2,224	430		L
302130-10-9	EXPEDITORS INTERNATIONAL OF WASN ORD		09/30/2019	PNC CAPITAL MKTS	4.000	297		172	265	(101)	0	0	(101)	0	172	0	125	125	2		L
30224P-20-0	EXTENDED STAY AMERICA UNT		08/09/2019	PNC CAPITAL MKTS	12,765.000	187,659		276,463	197,858	78,606	0	0	78,606	0	276,463	0	(88,804)	(88,804)	5,744		L
30225T-10-2	EXTRA SPACE STORAGE REIT ORD		09/30/2019	PNC CAPITAL MKTS	2.000	234		180	164	(3)	0	0	(3)	0	180	0	54	54	5		L
313747-20-6	FEDERAL REIT ORD		09/30/2019	PNC CAPITAL MKTS	8.000	1,089		1,174	885	222	0	0	222	0	1,174	0	(85)	(85)	23		L
31428X-10-6	FEDEX ORD		09/30/2019	PNC CAPITAL MKTS	7.000	1,019		1,042	1,082	(88)	0	0	(88)	0	1,042	0	(23)	(23)	13		L
316773-10-0	FIFTH THIRD BANCORP ORD		09/30/2019	PNC CAPITAL MKTS	7.000	192		133	136	(36)	0	0	(36)	0	133	0	58	58	4		L
343412-10-2	FLUOR ORD		07/02/2019	PNC CAPITAL MKTS	0.000	0		0	0	0	0	0	0	0	0	0	0	0	36		L
34354P-10-5	FLOWSERVE ORD		07/01/2019	PNC CAPITAL MKTS	0.000	0		0	0	0	0	0	0	0	0	0	0	0	1		L
344849-10-4	FOOT LOCKER ORD		08/14/2019	PNC CAPITAL MKTS	145.000	5,688		7,816	6,969	55	0	0	55	0	7,816	0	(2,128)	(2,128)	155		L
345370-86-0	FORD MOTOR ORD		09/30/2019	PNC CAPITAL MKTS	91.000	833		1,228	648	526	0	0	526	0	1,228	0	(395)	(395)	40		L
34959E-10-9	FORTINET ORD		09/30/2019	PNC CAPITAL MKTS	1.000	77		78	68	8	0	0	8	0	78	0	(2)	(2)	0		L
34959J-10-8	FORTIVE ORD		07/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	0		L
354613-10-1	FRANKLIN RESOURCES ORD		09/30/2019	PNC CAPITAL MKTS	12.000	346		539	351	183	0	0	183	0	539	0	(193)	(193)	9		L
363576-10-9	ARTHUR J GALLAGHER ORD		09/30/2019	PNC CAPITAL MKTS	5.000	448		259	333	(114)	0	0	(114)	0	259	0	189	189	6		L

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)
364760-10-8	GAP ORD		09/30/2019	PNC CAPITAL MKTS	6.000	104		216	145	62	0	0	62	0	216	0	(112)	(112)	4		L
369550-10-8	GENERAL DYNAMICS ORD		09/30/2019	PNC CAPITAL MKTS	41.000	7,491		4,164	6,391	(2,287)	0	0	(2,287)	0	4,164	0	3,327	3,327	121		L
370334-10-4	GENERAL MILLS ORD		09/30/2019	PNC CAPITAL MKTS	5.000	276		243	166	41	0	0	41	0	243	0	32	32	7		L
37045V-10-0	GENERAL MOTORS ORD		09/30/2019	PNC CAPITAL MKTS	31.000	1,162		1,014	975	(32)	0	0	(32)	0	1,014	0	147	147	35		L
372460-10-5	GENUINE PARTS ORD		09/30/2019	PNC CAPITAL MKTS	1.000	100		84	91	(12)	0	0	(12)	0	84	0	15	15	2		L
375558-10-3	GILEAD SCIENCES ORD		07/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	0		L
37940X-10-2	GLOBAL PAYMENTS ORD		09/24/2019	PNC CAPITAL MKTS	0.876	140		58	47	(11)	0	0	(11)	0	58	0	82	82	0		L
37959E-10-2	GLOBE LIFE ORD		09/30/2019	PNC CAPITAL MKTS	2.000	191		107	142	(43)	0	0	(43)	0	107	0	85	85	1		L
38141G-10-4	GOLDMAN SACHS GROUP ORD		09/30/2019	PNC CAPITAL MKTS	9.000	1,865		1,380	1,489	(125)	0	0	(125)	0	1,380	0	484	484	26		L
384802-10-4	WW GRAINGER ORD		09/30/2019	PNC CAPITAL MKTS	1.000	297		238	263	(44)	0	0	(44)	0	238	0	59	59	4		L
40434L-10-5	HP ORD		09/30/2019	PNC CAPITAL MKTS	13.000	246		181	263	(85)	0	0	(85)	0	181	0	65	65	6		L
406216-10-1	HALLIBURTON ORD		07/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	0		L
412822-10-8	HARLEY DAVIDSON ORD		07/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	0		L
413875-10-5	L3HARRIS TECHNOLOGIES ORD		08/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	0		L
418056-10-7	HASBRO ORD		09/30/2019	PNC CAPITAL MKTS	2.000	237		118	155	(47)	0	0	(47)	0	118	0	120	120	4		L
426281-10-1	JACK HENRY AND ASSOCIATES ORD		09/30/2019	PNC CAPITAL MKTS	2.000	292		277	238	22	0	0	22	0	277	0	15	15	2		L
42809H-10-7	HESS ORD		07/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	0		L
42824C-10-9	HEWLETT PACKARD ENTERPRISE ORD		07/01/2019	PNC CAPITAL MKTS	0.000	0		0	0	0	0	0	0	0	0	0	0	0	4		L
43300A-20-3	HILTON WORLDWIDE HOLDINGS ORD		09/30/2019	PNC CAPITAL MKTS	6.000	559		437	419	3	0	0	3	0	437	0	122	122	3		L
436440-10-1	HOLOGIC ORD		09/30/2019	PNC CAPITAL MKTS	3.000	151		106	121	(18)	0	0	(18)	0	106	0	46	46	0		L
437076-10-2	HOME DEPOT ORD		09/30/2019	PNC CAPITAL MKTS	33.000	7,656		2,195	5,405	(3,493)	0	0	(3,493)	0	2,195	0	5,462	5,462	133		L
438516-10-6	HONEYWELL INTERNATIONAL ORD		09/30/2019	PNC CAPITAL MKTS	11.000	1,861		993	1,393	(475)	0	0	(475)	0	993	0	868	868	27		L
44107P-10-4	HOST HOTELS & RESORTS REIT ORD		09/30/2019	PNC CAPITAL MKTS	66.000	1,141		1,191	1,018	83	0	0	83	0	1,191	0	(51)	(51)	41		L
445658-10-7	JB HUNT TRANSPORT SERVICES ORD		09/30/2019	PNC CAPITAL MKTS	6.000	664		505	490	(56)	0	0	(56)	0	505	0	159	159	4		L
446150-10-4	HUNTINGTON BANCSHARES ORD		09/30/2019	PNC CAPITAL MKTS	18.000	257		179	204	(37)	0	0	(37)	0	179	0	77	77	7		L
452308-10-9	ILLINOIS TOOL ORD		09/30/2019	PNC CAPITAL MKTS	3.000	469		258	370	(123)	0	0	(123)	0	258	0	211	211	9		L
45778Q-10-7	INSPERITY ORD		09/04/2019	PNC CAPITAL MKTS	1,014.000	100,091		76,906	94,667	(17,761)	0	0	(17,761)	0	76,906	0	23,186	23,186	614		L
460146-10-3	INTERNATIONAL PAPER ORD		09/30/2019	PNC CAPITAL MKTS	20.000	836		926	796	118	0	0	118	0	926	0	(90)	(90)	30		L
460690-10-0	INTERPUBLIC GROUP OF COMPANIES ORD		09/30/2019	PNC CAPITAL MKTS	2.000	43		34	40	(7)	0	0	(7)	0	34	0	9	9	1		L
46284V-10-1	IRON MOUNTAIN ORD		09/30/2019	PNC CAPITAL MKTS	10.000	324		389	307	65	0	0	65	0	389	0	(65)	(65)	18		L
46625H-10-0	JPMORGAN CHASE ORD		09/30/2019	PNC CAPITAL MKTS	124.000	14,592		6,949	11,604	(5,207)	0	0	(5,207)	0	6,949	0	7,643	7,643	290		L
47233W-10-9	JEFFERIES FINANCIAL GROUP ORD		09/30/2019	PNC CAPITAL MKTS	313.000	5,756		6,817	5,385	1,374	0	0	1,374	0	6,817	0	(1,061)	(1,061)	117		L
478160-10-4	JOHNSON & JOHNSON ORD		09/30/2019	PNC CAPITAL MKTS	2.000	259		161	242	(99)	0	0	(99)	0	161	0	98	98	6		L
48203R-10-4	JUNIPER NETWORKS ORD		09/30/2019	PNC CAPITAL MKTS	4.000	99		88	101	(20)	0	0	(20)	0	88	0	11	11	2		L
487836-10-8	KELLOGG ORD		09/30/2019	PNC CAPITAL MKTS	10.000	643		601	531	32	0	0	32	0	601	0	42	42	16		L
493267-10-8	KEYCORP ORD		09/30/2019	PNC CAPITAL MKTS	23.000	410		310	322	(33)	0	0	(33)	0	310	0	100	100	12		L
494368-10-3	KIMBERLY CLARK ORD		09/30/2019	PNC CAPITAL MKTS	7.000	994		730	721	(76)	0	0	(76)	0	730	0	264	264	20		L
49446R-10-9	KIMCO REALTY REIT ORD		09/30/2019	PNC CAPITAL MKTS	6.000	125		121	85	33	0	0	33	0	121	0	4	4	5		L
500255-10-4	KOHL'S ORD		09/30/2019	PNC CAPITAL MKTS	12.000	596		651	711	(143)	0	0	(143)	0	651	0	(55)	(55)	23		L
500643-20-0	KORN FERRY ORD		08/09/2019	PNC CAPITAL MKTS	3,942.000	151,297		160,105	155,867	4,238	0	0	4,238	0	160,105	0	(8,808)	(8,808)	1,183		L
501044-10-1	KROGER ORD		09/30/2019	PNC CAPITAL MKTS	112.000	2,886		2,362	2,669	(648)	0	0	(648)	0	2,362	0	524	524	46		L
501797-10-4	L BRANDS ORD		07/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	0		L
501889-20-8	LKQ ORD		09/30/2019	PNC CAPITAL MKTS	10.000	314		324	223	85	0	0	85	0	324	0	(10)	(10)	0		L
502431-10-9	L3HARRIS TECHNOLOGIES ORD		07/09/2019	PNC CAPITAL MKTS	0.095	18		8	7	(3)	0	0	(3)	0	8	0	10	10	0		L
50540R-40-9	LABORATORY CORPRTN OF AMER HLDGS ORD		09/30/2019	PNC CAPITAL MKTS	1.000	168		103	122	(25)	0	0	(25)	0	103	0	65	65	0		L
512807-10-8	LAM RESEARCH ORD		09/30/2019	PNC CAPITAL MKTS	5.000	1,155		375	648	(317)	0	0	(317)	0	375	0	781	781	10		L
513272-10-4	LAMB WESTON HOLDINGS ORD		09/30/2019	PNC CAPITAL MKTS	5.000	364		375	331	10	0	0	10	0	375	0	(11)	(11)	3		L
521865-20-4	LEAR ORD		07/18/2019	PNC CAPITAL MKTS	1,744.000	222,906		183,099	214,268	(31,169)	0	0	(31,169)	0	183,099	0	39,808	39,808	2,616		L
524660-10-7	LEGGETT & PLATT ORD		07/01/2019	PNC CAPITAL MKTS	0.000	0		0	0	0	0	0	0	0	0	0	0	0	0		L
525327-10-2	LEIDOS HOLDINGS ORD		09/30/2019	PNC CAPITAL MKTS	9.000	773		748	0	0	0	0	0	0	748	0	25	25	3		L
526057-10-4	LENNAR CL A ORD		09/30/2019	PNC CAPITAL MKTS	22.000	1,228		982	781	100	0	0	100	0	982	0	246	246	3		L
53046P-10-9	LIBERTY EXPEDIA HOLD SRS A ORD		07/26/2019	Unknown	5,561.000	254,727		254,727	0	0	0	0	0	0	254,727	0	0	0	0		L
534187-10-9	LINCOLN NATIONAL ORD		09/30/2019	PNC CAPITAL MKTS	5.000	302		200	246	(59)	0	0	(59)	0	200	0	101	101	5		L
539830-10-9	LOCKHEED MARTIN ORD		07/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	0		L
540424-10-8	LOEWS ORD		09/30/2019	PNC CAPITAL MKTS	9.000	463		384	384	(27)	0	0	(27)	0	384	0	79	79	2		L
548661-10-7	LOWE'S COMPANIES ORD		09/30/2019	PNC CAPITAL MKTS	30.000	3,298		1,507	2,655	(1,274)	0	0	(1,274)	0	1,507	0	1,791	1,791	45		L
55261F-10-4	M&T BANK ORD		09/30/2019	PNC CAPITAL MKTS	8.000	1,264		949	1,093	(204)	0	0	(204)	0	949	0	315	315	23		L

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol /Market Indicator (a)
55354G-10-0	MSCI ORD		09/30/2019	PNC CAPITAL MKTS	3.000	653		472	414	15	0	0	15	0	472	0	181	181	5		L
55616P-10-4	MACYS ORD		09/30/2019	PNC CAPITAL MKTS	2.000	31		54	57	37	0	42	(5)	0	54	0	(23)	(23)	2		L
571748-10-2	MARSH & MCLENNAN ORD		09/30/2019	PNC CAPITAL MKTS	14.000	1,401		737	1,033	(397)	0	0	(397)	0	737	0	664	664	17		L
571903-20-2	MARRIOTT INTERNATIONAL CL A ORD		09/30/2019	PNC CAPITAL MKTS	7.000	871		425	730	(338)	0	0	(338)	0	425	0	445	445	11		L
573284-10-6	MARTIN MARIETTA MATERIALS ORD		09/30/2019	PNC CAPITAL MKTS	2.000	548		261	322	(86)	0	0	(86)	0	261	0	287	287	3		L
574599-10-6	MASCO ORD		09/30/2019	PNC CAPITAL MKTS	4.000	167		79	113	(39)	0	0	(39)	0	79	0	87	87	1		L
579780-20-6	MCCORMICK ORD		09/30/2019	PNC CAPITAL MKTS	2.000	313		154	264	(125)	0	0	(125)	0	154	0	158	158	3		L
580135-10-1	MCDONALD'S ORD		09/30/2019	PNC CAPITAL MKTS	27.000	5,797		2,804	4,477	(2,020)	0	0	(2,020)	0	2,804	0	2,993	2,993	91		L
58155Q-10-3	MCKESSON ORD		09/30/2019	PNC CAPITAL MKTS	15.000	2,050		2,209	1,563	534	0	0	534	0	2,209	0	(160)	(160)	16		L
58470H-10-1	MEDIFAST ORD		09/04/2019	PNC CAPITAL MKTS	774.000	78,699		31,874	96,765	(64,891)	0	0	(64,891)	0	31,874	0	46,825	46,825	1,446		L
58933Y-10-5	MERCK & CO ORD		07/01/2019	PNC CAPITAL MKTS	0.000	0		0	0	0	0	0	0	0	0	0	0	0	12		L
59156R-10-8	METLIFE ORD		09/30/2019	PNC CAPITAL MKTS	195.000	9,194		7,328	7,437	(753)	0	0	(753)	0	7,328	0	1,866	1,866	246		L
608190-10-4	MOHAWK INDUSTRIES ORD		09/30/2019	PNC CAPITAL MKTS	7.000	868		976	729	143	0	0	143	0	976	0	(108)	(108)	0		L
609207-10-5	MONDELEZ INTERNATIONAL CL A ORD		09/30/2019	PNC CAPITAL MKTS	15.000	830		518	577	(91)	0	0	(91)	0	518	0	312	312	11		L
615369-10-5	MOODYS ORD		09/30/2019	PNC CAPITAL MKTS	2.000	410		178	272	(105)	0	0	(105)	0	178	0	232	232	3		L
617446-44-8	MORGAN STANLEY ORD		09/30/2019	PNC CAPITAL MKTS	16.000	683		513	613	(123)	0	0	(123)	0	513	0	170	170	15		L
629377-50-8	NRG ENERGY ORD		09/30/2019	PNC CAPITAL MKTS	19.000	752		498	746	(255)	0	0	(255)	0	498	0	254	254	2		L
637071-10-1	NATIONAL OILWELL VARCO ORD		07/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	0		L
640268-10-8	NEKTAR THERAPEUTICS ORD		09/30/2019	PNC CAPITAL MKTS	2.000	36		70	65	121	0	117	4	0	70	0	(33)	(33)	0		L
64110D-10-4	NETAPP ORD		09/30/2019	PNC CAPITAL MKTS	8.000	420		320	452	(159)	0	0	(159)	0	320	0	100	100	10		L
64829B-10-0	NEW RELIC ORD		08/22/2019	PNC CAPITAL MKTS	1,612.000	92,382		149,336	130,524	18,813	0	0	18,813	0	149,336	0	(56,954)	(56,954)	0		L
651229-10-6	NEWELL BRANDS ORD		09/30/2019	PNC CAPITAL MKTS	27.000	505		723	498	467	0	246	221	0	723	0	(218)	(218)	18		L
651639-10-6	NEWMONT GOLDCORP ORD		07/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	1		L
65249B-10-9	NEWS CL A ORD		07/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	0		L
65249B-20-8	NEWS CL B ORD		07/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	0		L
654106-10-3	NIKE CL B ORD		09/30/2019	PNC CAPITAL MKTS	1.000	94		36	68	(39)	0	0	(39)	0	36	0	58	58	1		L
655664-10-0	NORDSTROM ORD		09/30/2019	PNC CAPITAL MKTS	1.000	34		56	45	9	0	0	9	0	56	0	(22)	(22)	1		L
655844-10-8	NORFOLK SOUTHERN ORD		09/30/2019	PNC CAPITAL MKTS	6.000	1,078		565	855	(342)	0	0	(342)	0	565	0	513	513	16		L
665859-10-4	NORTHERN TRUST ORD		09/30/2019	PNC CAPITAL MKTS	10.000	933		644	768	(194)	0	0	(194)	0	644	0	289	289	16		L
666807-10-2	NORTHROP GRUMMAN ORD		09/30/2019	PNC CAPITAL MKTS	13.000	4,872		1,702	3,155	(1,486)	0	0	(1,486)	0	1,702	0	3,170	3,170	50		L
67103H-10-7	O'REILLY AUTOMOTIVE ORD		09/30/2019	PNC CAPITAL MKTS	8.000	3,188		1,279	2,516	(1,497)	0	0	(1,497)	0	1,279	0	1,909	1,909	0		L
674599-10-5	OCCIDENTAL PETROLEUM ORD		09/01/2019	PNC CAPITAL MKTS	0.776	33		55	38	9	0	0	9	0	55	0	(22)	(22)	1		L
681116-10-9	OLLIES BARGAIN OUTLET HLDG ORD		09/04/2019	PNC CAPITAL MKTS	1,706.000	110,828		93,031	113,466	(20,435)	0	0	(20,435)	0	93,031	0	17,798	17,798	0		L
681919-10-6	OMNICOM GROUP ORD		09/30/2019	PNC CAPITAL MKTS	4.000	313		274	286	(19)	0	0	(19)	0	274	0	39	39	9		L
68389X-10-5	ORACLE ORD		09/30/2019	PNC CAPITAL MKTS	163.000	8,968		5,554	7,303	(1,814)	0	0	(1,814)	0	5,554	0	3,415	3,415	109		L
690742-10-1	OWENS CORNIING ORD		07/18/2019	PNC CAPITAL MKTS	3,901.000	216,877		185,620	171,566	14,054	0	0	14,054	0	185,620	0	31,257	31,257	2,575		L
69318G-10-6	PBF ENERGY CL A ORD		07/18/2019	PNC CAPITAL MKTS	5,235.000	145,516		108,232	171,027	(62,796)	0	0	(62,796)	0	108,232	0	37,284	37,284	3,141		L
693506-10-7	PPG INDUSTRIES ORD		09/30/2019	PNC CAPITAL MKTS	3.000	355		279	286	(29)	0	0	(29)	0	279	0	76	76	4		L
69351T-10-6	PPL ORD		09/30/2019	PNC CAPITAL MKTS	9.000	283		262	244	6	0	0	6	0	262	0	21	21	10		L
693656-10-0	PVH ORD		07/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	0		L
695156-10-9	PACKAGING CORP OF AMERICA ORD		09/30/2019	PNC CAPITAL MKTS	5.000	530		534	401	115	0	0	115	0	534	0	(4)	(4)	11		L
704326-10-7	PAYCHEX ORD		09/30/2019	PNC CAPITAL MKTS	12.000	993		569	710	(232)	0	0	(232)	0	569	0	424	424	21		L
70450Y-10-3	PAYPAL HOLDINGS ORD		09/30/2019	PNC CAPITAL MKTS	22.000	2,279		823	1,742	(1,065)	0	0	(1,065)	0	823	0	1,456	1,456	0		L
713448-10-8	PEPSICO ORD		09/30/2019	PNC CAPITAL MKTS	19.000	2,605		1,653	1,960	(454)	0	0	(454)	0	1,653	0	952	952	69		L
718172-10-9	PHILIP MORRIS INTERNATIONAL ORD		09/30/2019	PNC CAPITAL MKTS	4.000	304		316	258	48	0	0	48	0	316	0	(13)	(13)	13		L
723484-10-1	PINNACLE WEST ORD		09/30/2019	PNC CAPITAL MKTS	1.000	97		61	77	(25)	0	0	(25)	0	61	0	36	36	2		L
74144T-10-8	T ROWE PRICE GROUP ORD		09/30/2019	PNC CAPITAL MKTS	2.000	228		161	180	(24)	0	0	(24)	0	161	0	68	68	4		L
742718-10-9	PROCTER & GAMBLE ORD		09/30/2019	PNC CAPITAL MKTS	24.000	2,985		1,687	2,072	(543)	0	0	(543)	0	1,687	0	1,298	1,298	51		L
743315-10-3	PROGRESSIVE ORD		09/30/2019	PNC CAPITAL MKTS	18.000	1,390		553	989	(558)	0	0	(558)	0	553	0	837	837	45		L
74340W-10-3	PROLOGIS REIT		09/30/2019	PNC CAPITAL MKTS	1.000	85		45	57	(14)	0	0	(14)	0	45	0	40	40	2		L
744320-10-2	PRUDENTIAL FINANCIAL ORD		09/30/2019	PNC CAPITAL MKTS	5.000	450		419	388	7	0	0	7	0	419	0	31	31	15		L
744573-10-6	PUBLIC SERVICE ENTERPRISE GROUP ORD		09/30/2019	PNC CAPITAL MKTS	7.000	434		255	343	(112)	0	0	(112)	0	255	0	179	179	9		L
74460D-10-9	PUBLIC STORAGE REIT ORD		09/30/2019	PNC CAPITAL MKTS	4.000	981		691	705	(128)	0	0	(128)	0	691	0	290	290	22		L
745867-10-1	PULTEGROUP ORD		09/30/2019	PNC CAPITAL MKTS	7.000	256		138	163	(45)	0	0	(45)	0	138	0	118	118	2		L
747525-10-3	QUALCOMM ORD		07/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	0		L
74834L-10-0	QUEST DIAGNOSTICS ORD		09/30/2019	PNC CAPITAL MKTS	4.000	428		241	312	(96)	0	0	(96)	0	241	0	187	187	6		L
754730-10-9	RAYMOND JAMES ORD		09/30/2019	PNC CAPITAL MKTS	5.000	412		381	349	7	0	0	7	0	381	0	32	32	5		L

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol /Market Indicator (a)
756109-10-4	REALTY INCOME REIT ORD		09/30/2019	PNC CAPITAL MKTS	4.000	307		213	213	(44)	0	0	(44)	0	213	0	93	93	7		L
756577-10-2	RED HAT ORD		07/10/2019	PNC CAPITAL MKTS	225.000	42,750		16,791	35,479	(22,878)	0	0	(22,878)	0	16,791	0	25,959	25,959	0		L
7591EP-10-0	REGIONS FINANCIAL ORD		09/30/2019	PNC CAPITAL MKTS	29.000	459		275	365	(116)	0	0	(116)	0	275	0	184	184	11		L
760759-10-0	REPUBLIC SERVICES ORD		09/30/2019	PNC CAPITAL MKTS	6.000	519		222	406	(214)	0	0	(214)	0	222	0	297	297	7		L
770323-10-3	ROBERT HALF ORD		09/30/2019	PNC CAPITAL MKTS	6.000	334		250	332	(93)	0	0	(93)	0	250	0	84	84	5		L
775711-10-4	ROLLINS ORD		09/30/2019	PNC CAPITAL MKTS	14.000	477		544	473	36	0	0	36	0	544	0	(67)	(67)	4		L
778296-10-3	ROSS STORES ORD		09/30/2019	PNC CAPITAL MKTS	1.000	110		40	76	(43)	0	0	(43)	0	40	0	69	69	1		L
78440X-10-1	SL GREEN RLTY REIT ORD		09/30/2019	PNC CAPITAL MKTS	8.000	654		998	582	363	0	0	363	0	998	0	(344)	(344)	19		L
78486Q-10-1	SVB FINANCIAL GROUP ORD		09/30/2019	PNC CAPITAL MKTS	3.000	627		791	528	214	0	0	214	0	791	0	(164)	(164)	0		L
806407-10-2	HENRY SCHEIN ORD		09/30/2019	PNC CAPITAL MKTS	9.000	571		515	0	0	0	0	0	0	515	0	56	56	0		L
806857-10-8	SCHLUMBERGER ORD	D	07/01/2019	PNC CAPITAL MKTS	0.000	0		0	0	0	0	0	0	0	0	0	0	0	48		L
808513-10-5	CHARLES SCHWAB ORD		09/30/2019	PNC CAPITAL MKTS	106.000	4,433		3,097	4,013	(1,288)	0	0	(1,288)	0	3,097	0	1,336	1,336	51		L
81211K-10-0	SEALED AIR ORD		09/30/2019	PNC CAPITAL MKTS	20.000	830		692	580	(33)	0	0	(33)	0	692	0	138	138	8		L
816851-10-9	SEMPRA ENERGY ORD		09/30/2019	PNC CAPITAL MKTS	4.000	590		386	414	(49)	0	0	(49)	0	386	0	204	204	11		L
824348-10-6	SHERWIN WILLIAMS ORD		09/30/2019	PNC CAPITAL MKTS	2.000	1,100		454	712	(344)	0	0	(344)	0	454	0	646	646	6		L
828806-10-9	SIMON PROP GRP REIT ORD		09/30/2019	PNC CAPITAL MKTS	4.000	623		597	650	(76)	0	0	(76)	0	597	0	26	26	24		L
831865-20-9	A O SMITH ORD		09/30/2019	PNC CAPITAL MKTS	3.000	143		166	117	35	0	0	35	0	166	0	(23)	(23)	2		L
832696-40-5	JM SMUCKER ORD		09/30/2019	PNC CAPITAL MKTS	4.000	440		416	346	35	0	0	35	0	416	0	24	24	10		L
833034-10-1	SNAP ON ORD		09/30/2019	PNC CAPITAL MKTS	1.000	157		111	137	(35)	0	0	(35)	0	111	0	46	46	3		L
844741-10-8	SOUTHWEST AIRLINES ORD		09/30/2019	PNC CAPITAL MKTS	18.000	972		412	799	(429)	0	0	(429)	0	412	0	560	560	12		L
855244-10-9	STARBUCKS ORD		09/30/2019	PNC CAPITAL MKTS	31.000	2,741		1,225	1,920	(781)	0	0	(781)	0	1,225	0	1,515	1,515	33		L
867914-10-3	SUNTRUST BANKS ORD		09/30/2019	PNC CAPITAL MKTS	24.000	1,651		948	1,124	(283)	0	0	(283)	0	948	0	703	703	36		L
871503-10-8	SYMANTEC ORD		09/30/2019	PNC CAPITAL MKTS	6.000	142		126	113	13	0	0	13	0	126	0	15	15	1		L
87165B-10-3	SYNCHRONY FINANCIAL ORD		09/30/2019	PNC CAPITAL MKTS	40.000	1,363		1,226	915	278	0	0	278	0	1,226	0	137	137	25		L
872540-10-9	TJX ORD		09/30/2019	PNC CAPITAL MKTS	83.000	4,626		2,427	3,511	(1,319)	0	0	(1,319)	0	2,427	0	2,199	2,199	53		L
874224-20-7	TALEND ADR REP ORD		07/18/2019	PNC CAPITAL MKTS	4,550.000	157,401		206,902	0	0	0	0	0	0	206,902	0	(49,500)	(49,500)	0		L
876030-10-7	TAPESTRY ORD		07/01/2019	PNC CAPITAL MKTS	0.000	0		0	0	0	0	0	0	0	0	0	0	0	10		L
87612E-10-6	TARGET ORD		09/30/2019	PNC CAPITAL MKTS	23.000	2,459		1,369	1,477	(162)	0	0	(162)	0	1,369	0	1,090	1,090	44		L
883203-10-1	TEXTRON ORD		07/01/2019	PNC CAPITAL MKTS	0.000	0		0	0	0	0	0	0	0	0	0	0	0	0		L
891906-10-9	TOTAL SYSTEM SERVICES ORD		09/27/2019	Unknown	206.000	8,352		8,352	16,263	(8,603)	0	0	(8,603)	0	8,352	0	0	0	105		L
892356-10-6	TRACTOR SUPPLY ORD		09/30/2019	PNC CAPITAL MKTS	4.000	362		251	329	(84)	0	0	(84)	0	251	0	111	111	4		L
89417E-10-9	TRAVELERS COMPANIES ORD		09/30/2019	PNC CAPITAL MKTS	1.000	149		87	113	(33)	0	0	(33)	0	87	0	61	61	2		L
902494-10-3	TYSON FOODS CL A ORD		09/30/2019	PNC CAPITAL MKTS	9.000	775		392	448	(104)	0	0	(104)	0	392	0	384	384	10		L
902973-30-4	US BANCORP ORD		09/30/2019	PNC CAPITAL MKTS	112.000	6,197		4,589	4,888	(561)	0	0	(561)	0	4,589	0	1,608	1,608	121		L
904311-10-7	UNDER ARMOUR CL A ORD		09/30/2019	PNC CAPITAL MKTS	20.000	399		493	317	124	0	0	124	0	493	0	(95)	(95)	0		L
904311-20-6	UNDER ARMOUR CL C ORD		09/30/2019	PNC CAPITAL MKTS	20.000	362		566	292	230	0	0	230	0	566	0	(203)	(203)	0		L
907818-10-8	UNION PACIFIC ORD		09/30/2019	PNC CAPITAL MKTS	14.000	2,268		1,048	1,920	(890)	0	0	(890)	0	1,048	0	1,219	1,219	38		L
910047-10-9	UNITED AIRLINES HOLDINGS ORD		09/30/2019	PNC CAPITAL MKTS	7.000	619		438	559	(149)	0	0	(149)	0	438	0	180	180	0		L
911312-10-6	UNITED PARCEL SERVICE CL B ORD		09/30/2019	PNC CAPITAL MKTS	20.000	2,396		1,967	1,801	5	0	0	5	0	1,967	0	430	430	55		L
91359V-10-7	UNIVERSAL INSURANCE HOLDINGS ORD		07/18/2019	PNC CAPITAL MKTS	7,971.000	212,296		230,383	0	0	0	0	0	0	230,383	0	(18,087)	(18,087)	1,275		L
913903-10-0	UNIVERSAL HEALTH SERVICES CL B ORD		09/30/2019	PNC CAPITAL MKTS	1.000	149		106	114	(10)	0	0	(10)	0	106	0	42	42	0		L
91529Y-10-6	UNUM ORD		09/30/2019	PNC CAPITAL MKTS	12.000	357		405	333	49	0	0	49	0	405	0	(48)	(48)	9		L
918204-10-8	VF ORD		09/30/2019	PNC CAPITAL MKTS	1.000	89		57	0	0	0	0	0	0	57	0	32	32	1		L
922475-10-8	VEEVA SYSTEMS ORD		09/06/2019	PNC CAPITAL MKTS	2,715.000	444,348		223,653	195,254	(31,762)	0	0	(31,762)	0	223,653	0	220,695	220,695	0		L
92343E-10-2	VERISIGN ORD		09/30/2019	PNC CAPITAL MKTS	1.000	189		79	145	(70)	0	0	(70)	0	79	0	109	109	0		L
92343V-10-4	VERIZON COMMUNICATIONS ORD		09/30/2019	PNC CAPITAL MKTS	102.000	6,156		4,889	5,320	(858)	0	0	(858)	0	4,889	0	1,267	1,267	178		L
923451-10-8	VERITEX HOLDINGS ORD		09/04/2019	PNC CAPITAL MKTS	6,731.000	159,235		195,831	143,909	51,922	0	0	51,922	0	195,831	0	(36,596)	(36,596)	2,524		L
92345Y-10-6	VERISK ANALYTICS ORD		09/30/2019	PNC CAPITAL MKTS	6.000	949		527	620	(136)	0	0	(136)	0	527	0	422	422	4		L
92553P-20-1	VIACOM CL B ORD		07/01/2019	PNC CAPITAL MKTS	0.000	0		0	0	0	0	0	0	0	0	0	0	0	5		L
925550-10-5	VIAVI SOLUTIONS ORD		08/22/2019	PNC CAPITAL MKTS	7,381.000	102,705		86,214	30,972	2,410	0	0	2,410	0	86,214	0	16,491	16,491	0		L
92840M-10-2	VISTRA ENERGY ORD		07/18/2019	PNC CAPITAL MKTS	8,137.000	178,428		194,456	186,256	8,200	0	0	8,200	0	194,456	0	(16,028)	(16,028)	2,034		L
929042-10-9	VORNADO REALTY REIT ORD		09/30/2019	PNC CAPITAL MKTS	22.000	1,401		1,450	1,309	81	0	0	81	0	1,450	0	(50)	(50)	43		L
929160-10-9	VULCAN MATERIALS ORD		09/30/2019	PNC CAPITAL MKTS	2.000	302		138	189	(61)	0	0	(61)	0	138	0	164	164	2		L
92939U-10-6	WEC ENERGY GROUP ORD		09/30/2019	PNC CAPITAL MKTS	1.000	95		48	65	(22)	0	0	(22)	0	48	0	47	47	2		L
931427-10-8	WALGREEN BOOTS ALLIANCE ORD		09/30/2019	PNC CAPITAL MKTS	59.000	3,263		3,401	3,922	375	0	988	(613)	0	3,401	0	(138)	(138)	78		L
941848-10-3	WATERS ORD		09/30/2019	PNC CAPITAL MKTS	1.000	223		105	187	(84)	0	0	(84)	0	105	0	118	118	0		L
950810-10-1	WESBANCO ORD		07/18/2019	PNC CAPITAL MKTS	4,322.000	162,856		198,042	158,574	39,468	0	0	39,468	0	198,042	0	(35,187)	(35,187)	3,933		L

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value 11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol /Market Indicator (a)
959802-10-9	WESTERN UNION ORD		09/30/2019	PNC CAPITAL MKTS	16.000	371		250	268	(24)	0	0	(24)	0	250	0	121	121	9		L
96145D-10-5	WESTROCK ORD		09/30/2019	PNC CAPITAL MKTS	4.000	146		206	150	55	0	0	55	0	206	0	(60)	(60)	5		L
963320-10-6	WHIRLPOOL ORD		07/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	1		L
984017-10-3	XENIA HOTELS AND RESORTS REIT ORD		09/04/2019	PNC CAPITAL MKTS	9,972.000	202,627		248,203	171,518	76,685	0	0	76,685	0	248,203	0	(45,576)	(45,576)	8,227		L
98421M-10-6	XEROX ORD		09/30/2019	PNC CAPITAL MKTS	5.000	149		148	98	49	0	0	49	0	148	0	1	1	4		L
988498-10-1	YUM BRANDS ORD		09/30/2019	PNC CAPITAL MKTS	4.000	454		210	348	(160)	0	0	(160)	0	210	0	243	243	5		L
98956P-10-2	ZIMMER BIOMET HOLDINGS ORD		09/30/2019	PNC CAPITAL MKTS	5.000	686		492	491	(31)	0	0	(31)	0	492	0	194	194	3		L
989701-10-7	ZIONS BANCORPORATION ORD		09/30/2019	PNC CAPITAL MKTS	12.000	534		364	474	(127)	0	0	(127)	0	364	0	170	170	11		L
G0176J-10-9	ALLEGION ORD	C	09/30/2019	PNC CAPITAL MKTS	1.000	104		50	79	(30)	0	0	(30)	0	50	0	54	54	1		L
G0177J-10-8	ALLERGAN ORD	C	07/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	0		L
G0250X-10-7	AMCOR ORD	D	09/30/2019	PNC CAPITAL MKTS	27.000	263		301	0	0	0	0	0	0	301	0	(38)	(38)	0		L
G0408V-10-2	AON CL A ORD	C	09/30/2019	PNC CAPITAL MKTS	4.000	774		350	564	(237)	0	0	(237)	0	350	0	424	424	5		L
G1151C-10-1	ACCENTURE CL A ORD	C	09/30/2019	PNC CAPITAL MKTS	10.000	1,923		896	1,357	(529)	0	0	(529)	0	896	0	1,028	1,028	11		L
G491BT-10-8	INVESCO ORD		09/30/2019	PNC CAPITAL MKTS	22.000	372		476	344	343	0	240	103	0	476	0	(104)	(104)	19		L
G51502-10-5	JOHNSON CONTROLS INTERNATIONAL ORD	D	07/01/2019	PNC CAPITAL MKTS	0.000	0		0	0	0	0	0	0	0	0	0	0	0	39		L
G5494J-10-3	LINDE ORD	D	09/30/2019	PNC CAPITAL MKTS	16.000	3,099		2,270	2,302	(260)	0	0	(260)	0	2,270	0	830	830	41		L
G6518L-10-8	NIELSEN HOLDINGS ORD		09/30/2019	PNC CAPITAL MKTS	10.000	212		397	224	164	0	0	164	0	397	0	(185)	(185)	10		L
G66721-10-4	NORWEGIAN CRUISE LINE HOLDINGS ORD		09/30/2019	PNC CAPITAL MKTS	27.000	1,398		1,478	1,041	312	0	0	312	0	1,478	0	(80)	(80)	0		L
G7945M-10-7	SEAGATE TECHNOLOGY ORD	C	09/30/2019	PNC CAPITAL MKTS	6.000	323		297	225	64	0	0	64	0	297	0	26	26	22		L
H1467J-10-4	CHUBB ORD	D	09/30/2019	PNC CAPITAL MKTS	10.000	1,614		1,048	1,184	(254)	0	0	(254)	0	1,048	0	566	566	21		L
H2906T-10-9	GARMIN ORD	C	07/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	0		L
N53745-10-0	LYONDELLBASELL INDUSTRIES CL A ORD	C	09/30/2019	PNC CAPITAL MKTS	62.000	5,546		4,968	4,905	(199)	0	0	(199)	0	4,968	0	578	578	190		L
V7780T-10-3	ROYAL CARIBBEAN CRUISES ORD		09/30/2019	PNC CAPITAL MKTS	20.000	2,166		1,798	1,757	(197)	0	0	(197)	0	1,798	0	368	368	36		L
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)						4,531,055	XXX	4,286,430	3,028,167	28,415	0	17,826	10,589	0	4,286,430	0	244,625	244,625	55,302	XXX	XXX
9799997. Total - Common Stocks - Part 4						4,531,055	XXX	4,286,430	3,028,167	28,415	0	17,826	10,589	0	4,286,430	0	244,625	244,625	55,302	XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						4,531,055	XXX	4,286,430	3,028,167	28,415	0	17,826	10,589	0	4,286,430	0	244,625	244,625	55,302	XXX	XXX
9899999. Total - Preferred and Common Stocks						4,531,055	XXX	4,286,430	3,028,167	28,415	0	17,826	10,589	0	4,286,430	0	244,625	244,625	55,302	XXX	XXX
9999999 - Totals						11,622,311	XXX	11,365,316	6,313,261	28,415	(3,576)	17,826	7,013	0	11,363,369	0	258,254	258,254	146,840	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

NONE

Schedule DB - Part B - Section 1 - Futures Contracts Open

NONE

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

NONE

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

NONE

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

NONE

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

NONE

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

NONE

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1		2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
				Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	6	7	8	
Depository		Code	Rate of Interest			First Month	Second Month	Third Month	*
PNC Bank	Columbus, Ohio	0	0.000	0	0	(4,837,455)	(4,837,455)	(1,927,832)	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories		XXX	XXX						XXX
0199999. Totals - Open Depositories		XXX	XXX	0	0	(4,837,455)	(4,837,455)	(1,927,832)	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories		XXX	XXX						XXX
0299999. Totals - Suspended Depositories		XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit		XXX	XXX	0	0	(4,837,455)	(4,837,455)	(1,927,832)	XXX
0499999. Cash in Company's Office		XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash		XXX	XXX	0	0	(4,837,455)	(4,837,455)	(1,927,832)	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due and Accrued	Amount Received During Year
	PNC REPURCHASE AGREEMENT		09/30/2019		10/01/2019	86,052,886	0	7,746
0199999. Subtotal - Bonds - U.S. Governments - Issuer Obligations						86,052,886	0	7,746
0599999. Total - U.S. Government Bonds						86,052,886	0	7,746
1099999. Total - All Other Government Bonds						0	0	0
1799999. Total - U.S. States, Territories and Possessions Bonds						0	0	0
2499999. Total - U.S. Political Subdivisions Bonds						0	0	0
	FEDERAL HOME LOAN BANKS		07/29/2019	0.000	10/02/2019	1,699,901	0	6,347
	FEDERAL HOME LOAN BANKS		08/19/2019	0.000	10/09/2019	299,865	0	724
	FEDERAL HOME LOAN BANKS		08/27/2019	0.000	10/23/2019	399,511	0	777
	FEDERAL HOME LOAN BANKS		09/18/2019	0.000	11/20/2019	1,096,974	0	785
	FEDERAL HOME LOAN BANKS		08/30/2019	0.000	11/27/2019	2,292,783	0	4,042
	FEDERAL NATIONAL MORTGAGE ASSOCIATION		08/16/2019	0.000	10/29/2019	1,397,885	0	3,468
	FEDERAL NATIONAL MORTGAGE ASSOCIATION		08/21/2019	0.000	11/13/2019	299,322	0	645
2599999. Subtotal - Bonds - U.S. Special Revenues - Issuer Obligations						7,486,240	0	16,787
3199999. Total - U.S. Special Revenues Bonds						7,486,240	0	16,787
	Apple Inc.		08/05/2019	0.000	10/03/2019	499,942	0	1,647
	BMW US Capital, LLC		09/26/2019	0.000	10/29/2019	2,296,547	0	617
	BNP Paribas New York Branch		07/09/2019	0.000	10/03/2019	499,938	0	2,613
	BNP Paribas New York Branch		07/19/2019	0.000	10/16/2019	1,398,711	0	6,360
	CRC Funding, LLC		07/22/2019	0.000	10/03/2019	799,901	0	3,503
	CRC Funding, LLC		07/23/2019	0.000	10/16/2019	1,498,594	0	6,563
	Exxon Mobil Corporation		08/20/2019	0.000	10/11/2019	899,498	0	2,060
	Exxon Mobil Corporation		08/26/2019	0.000	10/22/2019	399,543	0	762
	Exxon Mobil Corporation		08/29/2019	0.000	11/05/2019	998,085	0	1,751
	Intel Corporation		09/06/2019	0.000	10/09/2019	1,549,297	0	2,196
	Intel Corporation		09/04/2019	0.000	10/24/2019	749,042	0	1,125
	Intercontinental Exchange, Inc.		09/19/2019	0.000	12/11/2019	2,290,837	0	1,582
	Jupiter Securitization Company LLC		08/23/2019	0.000	11/15/2019	498,688	0	1,138
	MetLife Short Term Funding LLC		08/19/2019	0.000	11/12/2019	349,163	0	857
	New York Life Capital Corporation		07/23/2019	0.000	10/21/2019	1,498,175	0	6,388
	Nieuw Amsterdam Receivables Corporation		09/19/2019	0.000	10/02/2019	599,966	0	414
	PACCAR Financial Corp.		09/04/2019	0.000	10/02/2019	949,946	0	1,446
	PACCAR Financial Corp.		09/09/2019	0.000	10/09/2019	349,842	0	434
	Sheffield Receivables Company LLC		09/05/2019	0.000	10/01/2019	1,500,000	0	2,307
	Victory Receivables Corporation		09/05/2019	0.000	10/29/2019	2,296,243	0	3,488
	Walmart Inc.		09/16/2019	0.000	10/07/2019	699,764	0	589
	WALMART INC		09/25/2019	0.000	11/18/2019	1,296,707	0	412
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations						23,918,428	0	48,252
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						23,918,428	0	48,252
4899999. Total - Hybrid Securities						0	0	0
5599999. Total - Parent, Subsidiaries and Affiliates Bonds						0	0	0
6099999. Subtotal - SVO Identified Funds						0	0	0
6599999. Subtotal - Bank Loans						0	0	0
7799999. Total - Issuer Obligations						117,457,555	0	72,785
7899999. Total - Residential Mortgage-Backed Securities						0	0	0
7999999. Total - Commercial Mortgage-Backed Securities						0	0	0
8099999. Total - Other Loan-Backed and Structured Securities						0	0	0
8199999. Total - SVO Identified Funds						0	0	0
8299999. Total - Bank Loans						0	0	0
8399999. Total Bonds						117,457,555	0	72,785
316175-50-4	FIDELITY IMM:TRS I		09/30/2019	1.770		2,855,882	5,910	7,549
316175-50-4	FIDELITY IMM:TRS I	SD	09/03/2019	1.770		17,378	28	188
8599999. Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO						2,873,260	5,938	7,736
8899999 - Total Cash Equivalents						120,330,814	5,938	80,521