



QUARTERLY STATEMENT
AS OF SEPTEMBER 30, 2019
OF THE CONDITION AND AFFAIRS OF THE
DELTA DENTAL PLAN OF OHIO, INC.

NAIC Group Code	0477 (Current Period)	0477 (Prior Period)	NAIC Company Code	54402	Employer's ID Number	31-0685339
Organized under the Laws of	Ohio		State of Domicile or Port of Entry	OH		
Country of Domicile	United States of America					
Licensed as business type:	Life, Accident & Health[] Dental Service Corporation[] Other[X]		Property/Casualty[] Vision Service Corporation[] Is HMO Federally Qualified? Yes[] No[] N/A[X]		Hospital, Medical & Dental Service or Indemnity[] Health Maintenance Organization[]	
Incorporated/Organized	03/06/1960		Commenced Business	04/01/1964		
Statutory Home Office	5600 Blazer Pkwy., Suite 150 (Street and Number)		Dublin, OH, 43017 (City or Town, State, Country and Zip Code)			
Main Administrative Office	Okemos, MI, 48864 (City or Town, State, Country and Zip Code)		4100 Okemos Road (Street and Number)			
Mail Address	P.O. Box 30416 (Street and Number or P.O. Box)		Lansing, MI, 48909-7916 (City or Town, State, Country and Zip Code)			
Primary Location of Books and Records	Okemos, MI, 48864 (City or Town, State, Country and Zip Code)		4100 Okemos Road (Street and Number)			
Internet Web Site Address	http://ddpoh.com/		(517)349-6000 (Area Code) (Telephone Number)			
Statutory Statement Contact	Glenn R. Simon, CPA, CGMA (Name) gsimon@deltadentalmi.com (E-Mail Address)		(517)347-5405 (Area Code)(Telephone Number)(Extension) (517)381-5572 (Fax Number)			

OFFICERS

Name	Title	#
Goran Mike Jurkovic CPA, CGMA	President & CEO	#
Frank Buzaki, Jr.	Secretary/Treasurer	#
James Robert Stahl, DDS	Vice Chairperson	#
Bruce Randall Smith	Immediate Past Chairperson	#
Ann Marie Flermoen, DDS	Chairperson	#

OTHERS

Anthony Darrell Robinson, SVP & CMO
Amy Lyn Basel, CPA, CGMA, SVP, CFO & CRO
Sue Ellen Jenkins, SVP, CLO, CAO, & Assistant Secretary
Jeffery Walter Johnston, DDS, MS, SVP & CSO #

DIRECTORS OR TRUSTEES

Christopher Todd Fisher
Frank Buzaki, Jr.
Ann Marie Flermoen, DDS
Timothy Eldon Moffit, DBA
Bruce Randall Smith
James Robert Stahl, DDS
Michael Scott Stull
Carole Simonetti Watkins
Canise Yvette Wright-Bean, DMD
Poe Allison Timmons, CPA

State of Michigan
County of Ingham ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature) Goran Mike Jurkovic, CPA, CGMA (Printed Name) 1. President & CEO (Title)	(Signature) Amy Lyn Basel, CPA, CGMA (Printed Name) 2. SVP, CFO & CRO (Title)	(Signature) Sue Ellen Jenkins (Printed Name) 3. SVP, CLO, CAO, & Assistant Secretary (Title)
Subscribed and sworn to before me this day of , 2019	a. Is this an original filing? b. If no, 1. State the amendment number 2. Date filed 3. Number of pages attached	Yes[X] No[]
(Notary Public Signature)		

ASSETS

		Current Statement Date			4
		1	2	3	
		Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
1.	Bonds	92,779,612		92,779,612	70,960,556
2.	Stocks:				
2.1	Preferred stocks	83,776		83,776	78,400
2.2	Common stocks	138,836,524		138,836,524	115,557,222
3.	Mortgage loans on real estate:				
3.1	First liens				
3.2	Other than first liens				
4.	Real estate:				
4.1	Properties occupied by the company (less \$.....0 encumbrances)				
4.2	Properties held for the production of income (less \$.....0 encumbrances)				
4.3	Properties held for sale (less \$.....0 encumbrances)				
5.	Cash (\$.....(7,528,858)), cash equivalents (\$.....4,453,604) and short-term investments (\$.....0)	(3,075,254)		(3,075,254)	24,133,945
6.	Contract loans (including \$.....0 premium notes)				
7.	Derivatives				
8.	Other invested assets				250,000
9.	Receivables for securities	723,164		723,164	104,173
10.	Securities lending reinvested collateral assets				
11.	Aggregate write-ins for invested assets				
12.	Subtotals, cash and invested assets (Lines 1 to 11)	229,347,822		229,347,822	211,084,296
13.	Title plants less \$.....0 charged off (for Title insurers only)				
14.	Investment income due and accrued	1,011,093		1,011,093	296,234
15.	Premiums and considerations:				
15.1	Uncollected premiums and agents' balances in the course of collection	3,578,800	4,569	3,574,231	2,516,924
15.2	Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums)				
15.3	Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0)				
16.	Reinsurance:				
16.1	Amounts recoverable from reinsurers				
16.2	Funds held by or deposited with reinsured companies				
16.3	Other amounts receivable under reinsurance contracts				
17.	Amounts receivable relating to uninsured plans	13,612,249	317	13,611,932	11,087,178
18.1	Current federal and foreign income tax recoverable and interest thereon				
18.2	Net deferred tax asset				
19.	Guaranty funds receivable or on deposit				
20.	Electronic data processing equipment and software				
21.	Furniture and equipment, including health care delivery assets (\$.....0)				
22.	Net adjustments in assets and liabilities due to foreign exchange rates				
23.	Receivables from parent, subsidiaries and affiliates	8,316		8,316	8,214
24.	Health care (\$.....0) and other amounts receivable				
25.	Aggregate write-ins for other-than-invested assets	461		461	
26.	TOTAL assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	247,558,741	4,886	247,553,855	224,992,846
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28.	TOTAL (Lines 26 and 27)	247,558,741	4,886	247,553,855	224,992,846
DETAILS OF WRITE-INS					
1101.				
1102.				
1103.				
1198.	Summary of remaining write-ins for Line 11 from overflow page				
1199.	TOTALS (Lines 1101 through 1103 plus 1198) (Line 11 above)				
2501.	Miscellaneous receivables	461		461	
2502.				
2503.				
2598.	Summary of remaining write-ins for Line 25 from overflow page				
2599.	TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above)	461		461	

LIABILITIES, CAPITAL AND SURPLUS

		Current Period			Prior Year
		1 Covered	2 Uncovered	3 Total	4 Total
1.	Claims unpaid (less \$.00 reinsurance ceded)	8,127,900		8,127,900	8,451,416
2.	Accrued medical incentive pool and bonus amounts				
3.	Unpaid claims adjustment expenses	361,224		361,224	355,660
4.	Aggregate health policy reserves, including the liability of \$.00 for medical loss ratio rebate per the Public Health Service Act	160,949		160,949	65,068
5.	Aggregate life policy reserves				
6.	Property/casualty unearned premium reserve				
7.	Aggregate health claim reserves				
8.	Premiums received in advance	1,083,242		1,083,242	1,526,406
9.	General expenses due or accrued	2,601,895		2,601,895	3,009,609
10.1	Current federal and foreign income tax payable and interest thereon (including \$.00 on realized gains (losses))				
10.2	Net deferred tax liability				
11.	Ceded reinsurance premiums payable				
12.	Amounts withheld or retained for the account of others	2,228,026		2,228,026	2,360,442
13.	Remittances and items not allocated				
14.	Borrowed money (including \$.00 current) and interest thereon \$.00 (including \$.00 current)				
15.	Amounts due to parent, subsidiaries and affiliates	2,601,418		2,601,418	3,243,605
16.	Derivatives				
17.	Payable for securities	256,890		256,890	
18.	Payable for securities lending				
19.	Funds held under reinsurance treaties with (\$.00 authorized reinsurers, \$.00 unauthorized reinsurers and \$.00 certified reinsurers)				
20.	Reinsurance in unauthorized and certified (\$.00) companies				
21.	Net adjustments in assets and liabilities due to foreign exchange rates				
22.	Liability for amounts held under uninsured plans	5,248,340		5,248,340	5,217,670
23.	Aggregate write-ins for other liabilities (including \$.493,119 current)	493,119		493,119	470,119
24.	Total liabilities (Lines 1 to 23)	23,163,003		23,163,003	24,699,995
25.	Aggregate write-ins for special surplus funds	X X X	X X X	2,024,775	
26.	Common capital stock	X X X	X X X		
27.	Preferred capital stock	X X X	X X X		
28.	Gross paid in and contributed surplus	X X X	X X X	9,712,604	9,712,604
29.	Surplus notes	X X X	X X X		
30.	Aggregate write-ins for other-than-special surplus funds	X X X	X X X		
31.	Unassigned funds (surplus)	X X X	X X X	212,653,473	190,580,247
32.	Less treasury stock, at cost:				
32.1	.00 shares common (value included in Line 26 \$.00)	X X X	X X X		
32.2	.00 shares preferred (value included in Line 27 \$.00)	X X X	X X X		
33.	Total capital and surplus (Lines 25 to 31 minus Line 32)	X X X	X X X	224,390,852	200,292,851
34.	Total Liabilities, capital and surplus (Lines 24 and 33)	X X X	X X X	247,553,855	224,992,846
DETAILS OF WRITE-INS					
2301.	Uninsured claims admin expense reserve	493,077		493,077	470,119
2302.	Miscellaneous liabilities	42		42	
2303.					
2398.	Summary of remaining write-ins for Line 23 from overflow page				
2399.	TOTALS (Lines 2301 through 2303 plus 2398) (Line 23 above)	493,119		493,119	470,119
2501.	2020 ACA Fee	X X X	X X X	2,024,775	
2502.		X X X	X X X		
2503.		X X X	X X X		
2598.	Summary of remaining write-ins for Line 25 from overflow page	X X X	X X X		
2599.	TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above)	X X X	X X X	2,024,775	
3001.		X X X	X X X		
3002.		X X X	X X X		
3003.		X X X	X X X		
3098.	Summary of remaining write-ins for Line 30 from overflow page	X X X	X X X		
3099.	TOTALS (Lines 3001 through 3003 plus 3098) (Line 30 above)	X X X	X X X		

STATEMENT OF REVENUE AND EXPENSES

		Current Year To Date		Prior Year To Date	Prior Year Ended December 31
		1 Uncovered	2 Total	3 Total	4 Total
1.	Member Months	X X X	8,254,111	7,975,861	10,606,656
2.	Net premium income (including \$.....0 non-health premium income)	X X X	200,285,155	192,523,047	256,091,915
3.	Change in unearned premium reserves and reserves for rate credits	X X X			
4.	Fee-for-service (net of \$.....0 medical expenses)	X X X			
5.	Risk revenue	X X X			
6.	Aggregate write-ins for other health care related revenues	X X X			
7.	Aggregate write-ins for other non-health revenues	X X X			
8.	Total revenues (Lines 2 to 7)	X X X	200,285,155	192,523,047	256,091,915
Hospital and Medical:					
9.	Hospital/medical benefits				
10.	Other professional services		171,982,943	162,595,617	214,725,675
11.	Outside referrals				
12.	Emergency room and out-of-area				
13.	Prescription drugs				
14.	Aggregate write-ins for other hospital and medical				
15.	Incentive pool, withhold adjustments and bonus amounts				
16.	Subtotal (Lines 9 to 15)		171,982,943	162,595,617	214,725,675
Less:					
17.	Net reinsurance recoveries				
18.	Total hospital and medical (Lines 16 minus 17)		171,982,943	162,595,617	214,725,675
19.	Non-health claims (net)				
20.	Claims adjustment expenses, including \$.....563,832 cost containment expenses		860,306	1,173,982	1,111,054
21.	General administrative expenses		19,817,765	18,269,834	25,567,252
22.	Increase in reserves for life and accident and health contracts (including \$.....0 increase in reserves for life only)				
23.	Total underwriting deductions (Lines 18 through 22)		192,661,014	182,039,433	241,403,981
24.	Net underwriting gain or (loss) (Lines 8 minus 23)	X X X	7,624,141	10,483,614	14,687,934
25.	Net investment income earned		4,137,202	3,272,274	5,627,457
26.	Net realized capital gains (losses) less capital gains tax of \$.....0		851,556	1,727,233	1,658,702
27.	Net investment gains or (losses) (Lines 25 plus 26)		4,988,758	4,999,507	7,286,159
28.	Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$.....0) (amount charged off \$.....0)]		(5,039)	589	7,671
29.	Aggregate write-ins for other income or expenses		(1,933,478)	674	(1,499,326)
30.	Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	X X X	10,674,382	15,484,384	20,482,438
31.	Federal and foreign income taxes incurred	X X X			
32.	Net income (loss) (Lines 30 minus 31)	X X X	10,674,382	15,484,384	20,482,438
DETAILS OF WRITE-INS					
0601.	Discount card revenue	X X X			
0602.	X X X			
0603.	X X X			
0698.	Summary of remaining write-ins for Line 6 from overflow page	X X X			
0699.	TOTALS (Lines 0601 through 0603 plus 0698) (Line 6 above)	X X X			
0701.	X X X			
0702.	X X X			
0703.	X X X			
0798.	Summary of remaining write-ins for Line 7 from overflow page	X X X			
0799.	TOTALS (Lines 0701 through 0703 plus 0798) (Line 7 above)	X X X			
1401.				
1402.				
1403.				
1498.	Summary of remaining write-ins for Line 14 from overflow page				
1499.	TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above)				
2901.	Contribution to Delta Dental Fund		(2,000,000)		(1,500,000)
2902.	Miscellaneous Income (Expense)		66,522	674	674
2903.				
2998.	Summary of remaining write-ins for Line 29 from overflow page				
2999.	TOTALS (Lines 2901 through 2903 plus 2998) (Line 29 above)		(1,933,478)	674	(1,499,326)

STATEMENT OF REVENUE AND EXPENSES (Continued)

		1	2	3
		Current Year To Date	Prior Year To Date	Prior Year Ended December 31
CAPITAL & SURPLUS ACCOUNT				
33.	Capital and surplus prior reporting year	200,292,851	189,007,645	189,007,645
34.	Net income or (loss) from Line 32	10,674,382	15,484,384	20,482,438
35.	Change in valuation basis of aggregate policy and claim reserves			
36.	Change in net unrealized capital gains (losses) less capital gains tax of \$.....0	13,359,646	1,540,607	(9,261,359)
37.	Change in net unrealized foreign exchange capital gain or (loss)			
38.	Change in net deferred income tax			
39.	Change in nonadmitted assets	63,973	91,501	64,127
40.	Change in unauthorized and certified reinsurance			
41.	Change in treasury stock			
42.	Change in surplus notes			
43.	Cumulative effect of changes in accounting principles			
44.	Capital Changes:			
44.1	Paid in			
44.2	Transferred from surplus (Stock Dividend)			
44.3	Transferred to surplus			
45.	Surplus adjustments:			
45.1	Paid in			
45.2	Transferred to capital (Stock Dividend)			
45.3	Transferred from capital			
46.	Dividends to stockholders			
47.	Aggregate write-ins for gains or (losses) in surplus			
48.	Net change in capital and surplus (Lines 34 to 47)	24,098,001	17,116,492	11,285,206
49.	Capital and surplus end of reporting period (Line 33 plus 48)	224,390,852	206,124,137	200,292,851
DETAILS OF WRITE-INS				
4701.
4702.
4703.
4798.	Summary of remaining write-ins for Line 47 from overflow page
4799.	TOTALS (Lines 4701 through 4703 plus 4798) (Line 47 above)

CASH FLOW

		1	2	3
		Current	Prior	Prior
		Year	Year	Year Ended
		To Date	To Date	December 31
Cash from Operations				
1.	Premiums collected net of reinsurance	198,901,220	192,502,897	257,134,040
2.	Net investment income	3,642,963	3,388,332	5,855,334
3.	Miscellaneous income	(1,933,478)	674	674
4.	TOTAL (Lines 1 to 3)	200,610,705	195,891,903	262,990,048
5.	Benefit and loss related payments	172,306,459	166,695,387	217,705,529
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7.	Commissions, expenses paid and aggregate write-ins for deductions	24,158,859	22,203,459	29,515,263
8.	Dividends paid to policyholders			
9.	Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses)			
10.	TOTAL (Lines 5 through 9)	196,465,318	188,898,846	247,220,792
11.	Net cash from operations (Line 4 minus Line 10)	4,145,387	6,993,057	15,769,256
Cash from Investments				
12.	Proceeds from investments sold, matured or repaid:			
12.1	Bonds	79,222,798	15,272,256	32,752,965
12.2	Stocks	20,920,898	17,583,933	18,093,673
12.3	Mortgage loans			
12.4	Real estate			
12.5	Other invested assets	250,000		500,000
12.6	Net gains or (losses) on cash, cash equivalents and short-term investments			
12.7	Miscellaneous proceeds			
12.8	TOTAL investment proceeds (Lines 12.1 to 12.7)	100,393,696	32,856,189	51,346,638
13.	Cost of investments acquired (long-term only):			
13.1	Bonds	99,549,717	19,605,087	30,380,727
13.2	Stocks	31,707,134	19,273,518	20,479,757
13.3	Mortgage loans			
13.4	Real estate			
13.5	Other invested assets	362,101	166,740	270,913
13.6	Miscellaneous applications			
13.7	TOTAL investments acquired (Lines 13.1 to 13.6)	131,618,952	39,045,345	51,131,397
14.	Net increase (or decrease) in contract loans and premium notes			
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(31,225,256)	(6,189,156)	215,241
Cash from Financing and Miscellaneous Sources				
16.	Cash provided (applied):			
16.1	Surplus notes, capital notes			
16.2	Capital and paid in surplus, less treasury stock			
16.3	Borrowed funds			
16.4	Net deposits on deposit-type contracts and other insurance liabilities			
16.5	Dividends to stockholders			
16.6	Other cash provided (applied)	(129,330)	216,606	(1,356,839)
17.	Net cash from financing and miscellaneous sources (Line 16.1 through 16.4 minus Line 16.5 plus Line 16.6)	(129,330)	216,606	(1,356,839)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS				
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(27,209,199)	1,020,507	14,627,658
19.	Cash, cash equivalents and short-term investments:			
19.1	Beginning of year	24,133,945	9,506,287	9,506,287
19.2	End of period (Line 18 plus Line 19.1)	(3,075,254)	10,526,794	24,133,945

Note: Supplemental Disclosures of Cash Flow Information for Non-Cash Transactions:

20.0001				
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EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year	875,760					875,760				
2. First Quarter	913,131					913,131				
3. Second Quarter	913,563					913,563				
4. Third Quarter	927,628					927,628				
5. Current Year										
6. Current Year Member Months	8,254,111					8,254,111				
Total Member Ambulatory Encounters for Period:										
7. Physician										
8. Non-Physician										
9. Total										
10. Hospital Patient Days Incurred										
11. Number of Inpatient Admissions										
12. Health Premiums Written (a)	200,285,155					200,285,155				
13. Life Premiums Direct										
14. Property/Casualty Premiums Written										
15. Health Premiums Earned	200,285,155					200,285,155				
16. Property/Casualty Premiums Earned										
17. Amount Paid for Provision of Health Care Services ...	172,306,458					172,306,458				
18. Amount Incurred for Provision of Health Care Services	171,982,943					171,982,943				

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$.0.

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claims						
1	2	3	4	5	6	7
Account	1 - 30 Days	31 - 60 Days	61 - 90 Days	91 - 120 days	Over 120 Days	Total
0199999 Individually Listed Claims Unpaid						
0299999 Aggregate Accounts Not Individually Listed - Uncovered						
0399999 Aggregate Accounts Not Individually Listed - Covered	2,666,764	85,668	11,054			2,763,486
0499999 Subtotals	2,666,764	85,668	11,054			2,763,486
0599999 Unreported claims and other claim reserves						5,364,414
0699999 Total Amounts Withheld						
0799999 Total Claims Unpaid						8,127,900
0899999 Accrued Medical Incentive Pool And Bonus Amounts						

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE

Line of Business		Claims Paid Year to Date		Liability End of Current Quarter		5	6
		1	2	3	4	Claims Incurred in Prior Years (Columns 1+3)	Estimated Claim Reserve and Claim Liability Dec 31 of Prior Year
		On Claims Incurred Prior to January 1 of Current Year	On Claims Incurred During the Year	On Claims Unpaid Dec 31 of Prior Year	On Claims Incurred During the Year		
1.	Comprehensive (hospital & medical)						
2.	Medicare Supplement						
3.	Dental only	7,115,999	165,190,459	360,800	7,767,100	7,476,799	8,451,416
4.	Vision only						
5.	Federal Employees Health Benefits Plan						
6.	Title XVIII - Medicare						
7.	Title XIX - Medicaid						
8.	Other health						
9.	Health subtotal (Lines 1 to 8)	7,115,999	165,190,459	360,800	7,767,100	7,476,799	8,451,416
10.	Healthcare receivables (a)						
11.	Other non-health						
12.	Medical incentive pools and bonus amounts						
13.	Totals (Lines 9 - 10 + 11 + 12)	7,115,999	165,190,459	360,800	7,767,100	7,476,799	8,451,416

(a) Excludes \$.00 loans or advances to providers not yet expensed.

Notes to Financial Statement

1. Summary of Significant Accounting Policies

A. Accounting Practices

The financial statements of Delta Dental Plan of Ohio (Company) are presented on the basis of accounting practices prescribed or permitted by the State of Ohio Insurance Department in accordance with the National Association of Insurance Commissioners (NAIC) *Accounting Practices and Procedures Manual*.

The State of Ohio Insurance Department recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance Law. The NAIC *Accounting Practices and Procedures* manual has been adopted as a component of prescribed or permitted practices by the State of Ohio. The state has not adopted any accounting practices that differ from those found in NAIC SAP with regards to completion the Company’s financial statement.

Description	SSAP #	F/S Page	F/S Line #	9/30/2019	12/31/2018
Net Income, OH				\$10,674,382	\$20,482,438
Effect of OH prescribed practices				-	-
Effect of OH permitted practices				-	-
Net income, NAIC SAP				\$10,674,382	\$20,482,438
Description	SSAP #	F/S Page	F/S Line #	9/30/2019	12/31/2018
Statutory Surplus, OH				\$224,390,852	\$200,292,851
Effect of OH prescribed practices					
Effect of OH permitted practices					
Policyholders Surplus, NAIC SAP				\$224,390,852	\$200,292,851

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

C. Accounting Policy

Health premiums are earned ratably over the terms of the related insurance contracts or policies. Expenses incurred in connection with acquiring new insurance business, including acquisition costs such as sales commissions, are charged to operations as incurred.

In addition, the company uses the following accounting policies:

- (1) Short-term investments are stated at amortized cost.
- (2) Bonds not backed by other loans are stated at amortized cost using the interest method.
- (3) Common stocks are recorded at market value except investments in stocks of uncombined subsidiaries and affiliates in which the Company has an interest of 20% or more are carried on the statutory equity basis.
- (4) Preferred stocks are stated in accordance with the guidance provided in SSAP No. 32.
- (5) No mortgage loans on real estate are held as of September 30, 2019 and 2018.
- (6) Loan backed securities are stated at amortized cost. The retrospective adjustment method is used to value MBS’s and the scientific interest method is used to value CMO’s.
- (7) The Company carries its investment in a non-insurance affiliate at the audited GAAP equity basis adjusted to a statutory equity basis.
- (8) The Company has no ownership interests in joint ventures, partnerships or limited liability companies as of September 30, 2019 and 2018.
- (9) No derivatives are held as of September 30, 2019 and 2018.
- (10) Not applicable.
- (11) Unpaid losses and loss adjustment expenses include an amount determined from individual case estimates and loss reports and an amount, based on past experience, for losses incurred but not reported. Such liabilities are necessarily based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liability are continually reviewed and any adjustments are reflected in the period determined.
- (12) The Company has not modified it capitalization policy from the prior period.
- (13) Not applicable

D. Going Concern

None.

Notes to Financial Statement

2. Accounting Changes and Corrections of Errors

- A. 1. None.
- 2. None.
- 3. None.
- 4. None

3. Business Combinations and Goodwill

No change.

4. Discontinued Operations

Bo change.

5. Investments

- A. The Company owned no mortgage loans.
- B. The Company had no investments in restructured debt.
- C. The Company had no investments in reverse mortgages.
- D. The Company had no investments in loan backed securities that are recorded at other-than-temporarily impaired values.
- E. The Company had no repurchase agreements.
- F. The Company does not have investments in real estate.
- G. The Company has no investments in low-income housing tax credits (LIHTC).
- H. None.
- I. None.
- J. None.
- K. None.
- L. Restricted Assets

(1) Restricted Assets (Including Pledged)

		1	2	3	4	5	6	7
	Restricted Asset Category	Total Gross (Admitted & Nonadmitted) Restricted from Current Year	Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	Increase/ (Decrease) (1 minus 2)	Total Current Year Nonadmitted Restricted	Total Current Year Admitted Restricted (1 minus 4)	Gross (Admitted & Nonadmitted) Restricted to Total Asset (a)	Admitted Restricted to Total Admitted Asset (b)
a.	Subject to contractual obligation for which liability is not shown	\$ -	\$ -	\$ -	\$ -	\$ -	0.00%	0.00%
b.	Collateral held under security lending agreements							
c.	Subject to repurchase agreements							
d.	Subject to reserve repurchase agreement							
e.	Subject to dollar repurchase agreements							
f.	Subject to dollar reserve repurchase agreements							
g.	Placed under option contracts							
h.	Letter stock or securities restricted as to sale - excluding FHLB capital stock							
i.	FHLB capital stock							
j.	On deposit with state	170,975	150,190	20,785		170,975	0.07%	0.07%
k.	On deposit with other regulatory bodies							
l.	Pledged as collateral to FHLB (including assets backing funding agreements)							
m.	Pledged as collateral not captured in other categories							
n.	Other restricted assets							
o.	Total Restricted Assets	\$ 170,975	\$ 150,190	\$ 20,785	\$ -	\$ 170,975	0.07%	0.07%

Notes to Financial Statement

(2) - (3) & (4) – Not applicable

M-R. Not applicable.

6. Joint Ventures, Partnerships and Limited Liability Companies

No change.

7. Investment Income

No change.

8. Derivative Instruments

No change.

9. Income Taxes

No change.

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No Change.

11. Debt

No change.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

No change.

13. Capital and Surplus, Shareholders’ Dividend Restrictions and Quasi-Reorganizations.

No change.

14. Liabilities, Contingencies and Assessments

No change.

15. Leases

No change.

16. Information About Financial Instruments With Off-Balance Sheet Risk And Financial Instruments With Concentrations of Credit Risk

No change.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

- A. The Company had no transfers of receivables reported as sales.
- B. The Company had no transfer or servicing of Financial Assets.
- C. The Company was not involved in any wash sales during the periods presented in these statements.

18. Gain or Loss to the Reporting Entity from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans

No material change.

19. Direct Premiums Written/Produced by Managing General Agents/Third Party Administrators

No change.

Notes to Financial Statement

20. Fair Value Measurements

A.

1. Fair Value Measurements at Reporting Date

Description	(Level 1)	(Level 2)	(Level 3)	Total	Net Asset Values (NAV) Included in Level 2
a. Assets at fair value					
Perpetual Preferred stock					
Industrial & Misc	\$83,776	\$0	\$0	\$83,776	
Parent, Subs, and Affiliate	0	0	0	\$0	\$0
Total Perpetual Preferred Stocks	\$83,776	\$0	\$0	\$83,776	\$0
Bonds					
U.S. Governments	\$0	\$0	\$0	\$0	\$0
Industrial & Misc	23,391,696	0	0	23,391,696	0
Hybrid Securities	0	0	0	0	0
Parent, Subs, and Affiliate	0	0	0	0	0
Total Bonds	\$23,391,696	\$0	\$0	\$23,391,696	\$0
Common stock					
Industrial & Misc	\$96,891,987	\$0	\$0	\$96,891,987	\$0
Parent, Subs, and Affiliate	0	0	0	0	0
Total Common Stocks	\$96,891,987	\$0	\$0	\$96,891,987	\$0
Derivative assets					
Interest rate contracts	\$0	\$0	\$0	\$0	\$0
Foreign exchange contracts	0	0	0	0	0
Credit contracts	0	0	0	0	0
Commodity futures contracts	0	0	0	0	0
Commodity forward contracts	0	0	0	0	0
Total Derivatives	\$0	\$0	\$0	\$0	\$0
Separate account assets	\$0	\$0	\$0	\$0	\$0
Total assets at fair value	\$120,367,459	\$0	\$0	\$120,367,459	\$0
b. Liabilities at fair value					
Derivative liabilities	\$0	\$0	\$0	\$0	\$0
Total liabilities at fair value	\$0	\$0	\$0	\$0	\$0

- 2. None
- 3. None
- 4. None
- 5. None

- B. None
- C. None
- D. None

21. Other Items

No change.

22. Events Subsequent

Type II – Nonrecognized Subsequent Events

The Company has been named in several class action complaints (*In Re: Delta Dental Plans Association Antitrust Litigation, United States District Court, Northern District of Illinois*) filed against the Delta Dental Plans Association and all Delta Dental member companies. The complaints will likely be consolidated into one action. The complaints allege violations of the Sherman Antitrust Act. Each of the plaintiffs seek an injunction prohibiting the Delta Dental Plan Association and its member companies from operating under its current model, compensation to providers for allegedly suppressing reimbursement, treble damages, attorney fees and costs. The Company denies all allegations and intends to vigorously defend the litigation. The case was filed in October, 2019 and is in the very early stages.

Notes to Financial Statement

23. Reinsurance

No change.

24. Retrospectively Rated Contracts & Contracts Subject to Re-determination

No material change.

25. Change in Incurred Claims and Claim Adjustment Expenses

Reserves as of December 31, 2018 were \$8,807,076. As of September 30, 2019, \$7,471,659 has been paid for incurred claims and claim adjustment expense attributable to insured evens of prior years. Remaining reserves for prior years are now \$360,800 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a \$974,617 favorable prior-year loss development since December 31, 2018 to September 30, 2019. This decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased as additional information becomes known regarding individual claims. The business to which this relates does not include retrospectively rated policies, therefore there was no return premium accrued as a result of the prior year effects.

26. Intercompany Pooling Arrangements

No change.

27. Structured Settlement

No change.

28. Health Care Receivables

No change.

29. Participating Policies

No change.

30. Premium Deficiency Reserves

1. Liability carried for premium deficiency reserves	\$ 0
2. Date of the most recent evaluation of this liability	9/30/2019
3. Was anticipated investment income utilized in the calculation?	Yes No X

31. Anticipated Salvage and Subrogation

No change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES
GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes[] No[X]
- 1.2 If yes, has the report been filed with the domiciliary state?

Yes[] No[] N/A[X]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes[] No[X]
- 2.2 If yes, date of change:

.....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

Yes[X] No[]
- If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes[] No[X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes:
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group?

Yes[] No[X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes[] No[X]
- If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile
.....

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?

If yes, attach an explanation.

Yes[] No[] N/A[X]
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made.

..... 12/31/2016
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

..... 12/31/2016
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

..... 01/17/2018
- 6.4 By what department or departments?

State of Ohio Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes[] No[] N/A[X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with?

Yes[X] No[] N/A[]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes[] No[X]
- 7.2 If yes, give full information
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes[] No[X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms?

Yes[] No[X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
..... No No No No

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

(c) Compliance with applicable governmental laws, rules and regulations;

(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

(e) Accountability for adherence to the code.

Yes[X] No[]
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended?

Yes[] No[X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers?

Yes[] No[X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes[X] No[]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$ 0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes[] No[X]
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$ 0
13. Amount of real estate and mortgages held in short-term investments:

\$ 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes[X] No[]

GENERAL INTERROGATORIES (Continued)

INVESTMENT

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds		
14.22 Preferred Stock		
14.23 Common Stock	21,598,600	41,944,537
14.24 Short-Term Investments		
14.25 Mortgages Loans on Real Estate		
14.26 All Other	250,000	
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	21,848,600	41,944,537
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above		

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes[] No[X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?

Yes[] No[] N/A[X]

If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$ 0

16.3 Total payable for securities lending reported on the liability page

\$ 0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?

Yes[X] No[]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Bank of America	135. LaSalle St ., Chicago, IL 60603

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?

Yes[] No[X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....

17.5 Investment management - Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. [" that have access to the investment accounts"; " handle securities"]

1 Name of Firm or Individual	2 Affiliation
Amy L. Basel	A
Goran M. Jurkovic	A
Red Cedar Investment Management, LLC	A

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets?

Yes[X] No[]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets?

Yes[] No[X]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
170939	Red Cedar Investment Management, LLC	n/a	SEC	NO

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed?

Yes[X] No[]

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.

b. Issuer or obligor is current on all contracted interest and principal payments.

c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities?

Yes[] No[X]

GENERAL INTERROGATORIES (Continued)

20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018 .

b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.

c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.

d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities?

Yes[] No[X]

GENERAL INTERROGATORIES

PART 2 - HEALTH

1. Operating Percentages:	
1.1 A&H loss percent	86.000%
1.2 A&H cost containment percent	0.000%
1.3 A&H expense percent excluding cost containment expenses	10.000%
2.1 Do you act as a custodian for health savings accounts?	Yes[] No[X]
2.2 If yes, please provide the amount of custodial funds held as of the reporting date.	\$..... 0
2.3 Do you act as an administrator for health savings accounts?	Yes[] No[X]
2.4 If yes, please provide the balance of the funds administered as of the reporting date.	\$..... 0
3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?	Yes[] No[X]
3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?	Yes[] No[X]

SCHEDULE S - CEDED REINSURANCE
Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
			NONE					

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS
Current Year to Date - Allocated by States and Territories

		Direct Business Only								
		1	2	3	4	5	6	7	8	9
State, Etc.		Active Status (a)	Accident and Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Federal Employees Health Benefits Program Premiums	Life and Annuity Premiums and Other Considerations	Property/ Casualty Premiums	Total Columns 2 Through 7	Deposit-Type Contracts
1.	Alabama (AL)	N								
2.	Alaska (AK)	N								
3.	Arizona (AZ)	N								
4.	Arkansas (AR)	N								
5.	California (CA)	N								
6.	Colorado (CO)	N								
7.	Connecticut (CT)	N								
8.	Delaware (DE)	N								
9.	District of Columbia (DC)	N								
10.	Florida (FL)	N								
11.	Georgia (GA)	N								
12.	Hawaii (HI)	N								
13.	Idaho (ID)	N								
14.	Illinois (IL)	N								
15.	Indiana (IN)	N								
16.	Iowa (IA)	N								
17.	Kansas (KS)	N								
18.	Kentucky (KY)	N								
19.	Louisiana (LA)	N								
20.	Maine (ME)	N								
21.	Maryland (MD)	N								
22.	Massachusetts (MA)	N								
23.	Michigan (MI)	N								
24.	Minnesota (MN)	N								
25.	Mississippi (MS)	N								
26.	Missouri (MO)	N								
27.	Montana (MT)	N								
28.	Nebraska (NE)	N								
29.	Nevada (NV)	N								
30.	New Hampshire (NH)	N								
31.	New Jersey (NJ)	N								
32.	New Mexico (NM)	N								
33.	New York (NY)	N								
34.	North Carolina (NC)	N								
35.	North Dakota (ND)	N								
36.	Ohio (OH)	L	200,285,155						200,285,155	
37.	Oklahoma (OK)	N								
38.	Oregon (OR)	N								
39.	Pennsylvania (PA)	N								
40.	Rhode Island (RI)	N								
41.	South Carolina (SC)	N								
42.	South Dakota (SD)	N								
43.	Tennessee (TN)	N								
44.	Texas (TX)	N								
45.	Utah (UT)	N								
46.	Vermont (VT)	N								
47.	Virginia (VA)	N								
48.	Washington (WA)	N								
49.	West Virginia (WV)	N								
50.	Wisconsin (WI)	N								
51.	Wyoming (WY)	N								
52.	American Samoa (AS)	N								
53.	Guam (GU)	N								
54.	Puerto Rico (PR)	N								
55.	U.S. Virgin Islands (VI)	N								
56.	Northern Mariana Islands (MP)	N								
57.	Canada (CAN)	N								
58.	Aggregate other alien (OT)	X X X								
59.	Subtotal	X X X	200,285,155						200,285,155	
60.	Reporting entity contributions for Employee Benefit Plans	X X X								
61.	Total (Direct Business)	X X X	200,285,155						200,285,155	
DETAILS OF WRITE-INS										
58001.	X X X								
58002.	X X X								
58003.	X X X								
58998.	Summary of remaining write-ins for Line 58 from overflow page	X X X								
58999.	TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above)	X X X								

(a) Active Status Counts:

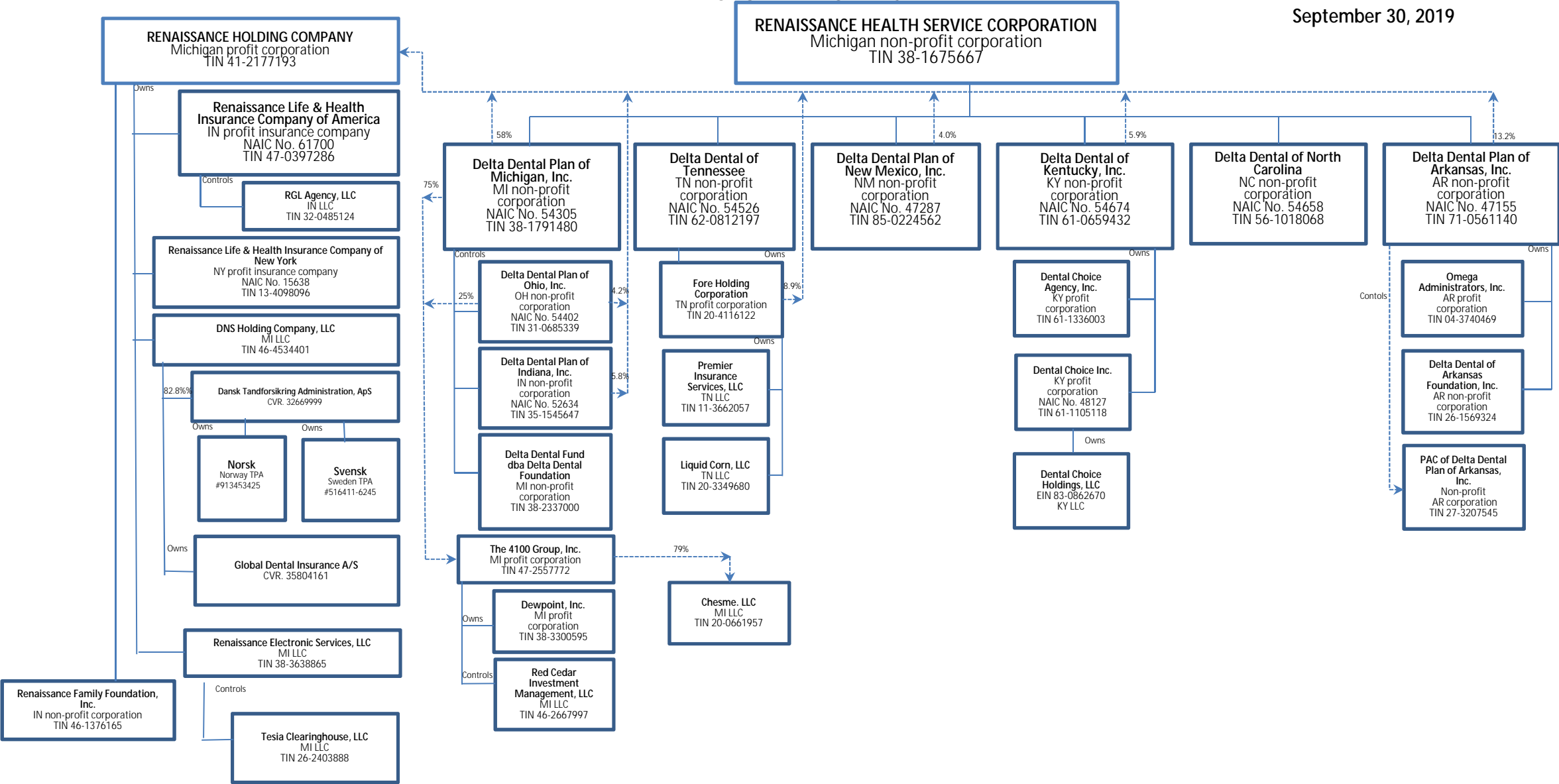
- L Licensed or Chartered - Licensed insurance carrier or domiciled RRG
E Eligible - Reporting entities eligible or approved to write surplus lines in the state
N None of the above Not allowed to write business in the state

1
56

- R Registered - Non-domiciled RRGs
Q Qualified - Qualified or accredited reinsurer

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER
MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

ORGANIZATIONAL CHART
September 30, 2019



SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Comp- any Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domic- iliary Loca- tion	Rela- tion- ship to Report- ing Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	Is an SCA Filing Required? (Y/N)	*
916		0	38-1675667				Renaissance Health Service Corporation	MI	UDP					N	
		0	46-1376165				Renaissance Family Foundation, Inc.	IN	NIA					N	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Michigan, Inc.	Ownership	58.0	Renaissance Health Service Corporation	Y	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Ohio, Inc.	Ownership	4.2	Renaissance Health Service Corporation	Y	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Indiana, Inc.	Ownership	5.8	Renaissance Health Service Corporation	Y	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Fore Holding Corporation	Ownership	8.9	Renaissance Health Service Corporation	N	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental of Kentucky, Inc.	Ownership	5.9	Renaissance Health Service Corporation	Y	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of New Mexico, Inc.	Ownership	4.0	Renaissance Health Service Corporation	Y	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Arkansas, Inc.	Ownership	13.2	Renaissance Health Service Corporation	N	
	477 Renaissance Health Service Corporation	61700	47-0397286				Renaissance Life & Health Insurance Company of America	IN	IA	Renaissance Holding Company	Ownership	100.0	Renaissance Health Service Corporation	N	
		0	32-0485124				RGL Agency, LLC	IN	NIA	Renaissance Life & Health Insurance Company of America	Ownership	100.0	Renaissance Health Service Corporation	N	
	477 Renaissance Health Service Corporation	15638	13-4098096				Renaissance Life & Health Insurance Company of New York	NY	IA	Renaissance Holding Company	Ownership	100.0	Renaissance Health Service Corporation	N	
		0	46-4534401				DNS Holding Company, LLC	MI	NIA	Renaissance Holding Company	Ownership	100.0	Renaissance Health Service Corporation	N	
		0	32669999				Dansk Tandforsikring Administration ApS		NIA	DNS Holding Company, LLC	Ownership	82.8	Renaissance Health Service Corporation	N	
		0	35804161				Global Dental Company A/S		NIA	DNS Holding Company, LLC	Ownership	100.0	Renaissance Health Service Corporation	N	
		0	26-2403888				Tesia Clearinghouse, LLC	MI	NIA	Renaissance Electronic Services, LLC	Ownership	100.0	Renaissance Health Service Corporation	N	
		0	38-3638865				Renaissance Electronic Services, LLC	MI	NIA	Renaissance Holding Company	Ownership	100.0	Renaissance Health Service Corporation	N	
	477 Renaissance Health Service Corporation	54305	38-1791480				Delta Dental Plan of Michigan, Inc.	MI	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	N	
	477 Renaissance Health Service Corporation	54402	31-0685339				Delta Dental Plan of Ohio, Inc.	OH	IA	Delta Dental Plan of Michigan, Inc.	Board of Directors		Renaissance Health Service Corporation	N	
	477 Renaissance Health Service Corporation	52634	35-1545647				Delta Dental Plan of Indiana, Inc.	IN	IA	Delta Dental Plan of Michigan, Inc.	Board of Directors		Renaissance Health Service Corporation	N	
		0	38-2337000				Delta Dental Fund dba Delta Dental Foundation	MI	NIA	Delta Dental Plan of Michigan, Inc.	Board of Directors		Renaissance Health Service Corporation	N	
		0	46-2667997				Red Cedar Investment Management, LLC	MI	NIA	GLM Holding Company	Board of Directors		Renaissance Health Service Corporation	N	
		0	47-2557772				The 4100 Group, Inc	MI	NIA	Delta Dental Plan of Michigan, Inc.	Ownership	75.0	Renaissance Health Service Corporation	Y	
		0	47-2557772				The 4100 Group, Inc	MI	NIA	Delta Dental Plan of Ohio, Inc.	Ownership	25.0	Renaissance Health Service Corporation	Y	
		0	38-3300595				Dewpoint, Inc.	MI	NIA	The 4100 Group, Inc	Ownership	100.0	Renaissance Health Service Corporation	N	
	477 Renaissance Health Service Corporation	54526	62-0812197				Delta Dental of Tennessee	TN	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	N	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Comp- any Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domic- iliary Loca- tion	Relation- ship to Report- ing Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	Is an SCA Filing Required? (Y/N)	*
Q16.1	477	0	20-4116122				Fore Holding Corporation	TN	NIA	Delta Dental of Tennessee	Ownership	100.0	Renaissance Health Service Corporation	Y	
		0	11-3662057				Premier Insurance Services, LLC	TN	NIA	Delta Dental of Tennessee	Ownership	100.0	Renaissance Health Service Corporation	N	
		0	20-3349680				Liquid Corn, LLC	TN	NIA	Delta Dental of Tennessee	Ownership	100.0	Renaissance Health Service Corporation	N	
	477	47287	85-0224562				Delta Dental Plan of New Mexico, Inc.	NM	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	N	
		54674	61-0659432				Delta Dental of Kentucky, Inc.	KY	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	N	
		0	61-1336003				Dental Choice Agency, Inc.	KY	NIA	Delta Dental of Kentucky, Inc.	Ownership	100.0	Renaissance Health Service Corporation	Y	
	477	48127	61-1105118				Dental Choice Inc.	KY	NIA	Delta Dental of Kentucky, Inc.	Ownership	100.0	Renaissance Health Service Corporation	N	
		54658	56-1018068				Delta Dental of North Carolina	NC	RE	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	N	
		47155	71-0561140				Delta Dental Plan of Arkansas, Inc.	AR	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	N	
	477	0	04-3740469				Omega Administrators, Inc.	AR	NIA	Delta Dental Plan of Arkansas, Inc.	Ownership	100.0	Renaissance Health Service Corporation	N	
		0	26-1569324				Delta Dental of Arkansas Foundation, Inc.	AR	NIA	Delta Dental Plan of Arkansas, Inc.	Board of Directors		Renaissance Health Service Corporation	N	
		00000	83-0862670				Dental Choice Holdings, LLC	KY	NIA	Delta Choice Inc.	Ownership	100.0	Renaissance Health Services Corporation	N	
		00000	20-0661957				Chesme, LLC	MI	NIA	The 4100 Group, Inc	Ownership	79.0	Renaissance Health Services Corporation	N	
		00000	27-3207545				PAC of Delta Dental Plan of Arkansas, Inc.	AR	NIA	Delta Dental Plan of Arkansas, Inc.	Board of Directors		Renaissance Health Services Corporation	N	
		00000	91-3453425				Norsk	DNK	NIA	Dansk Tandforsikring Administration ApS	Ownership	100.0	Renaissance Health Services Corporation	N	
		00000	51-64116245				Svensk	SWE	NIA	Dansk Tandforsikring Administration ApS	Ownership	100.0	Renaissance Health Services Corporation	N	

Asterisk

0000001

Explanation

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

RESPONSE
No

Explanations:

Bar Codes:

Medicare Part D Coverage Supplement



54402201936500003

2019

Document Code: 365

OVERFLOW PAGE FOR WRITE-INS

STATEMENT AS OF **September 30, 2019** OF THE **DELTA DENTAL PLAN OF OHIO, INC.**
SCHEDULE A - VERIFICATION

Real Estate		
	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other-than-temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION
Mortgage Loans

	1	2
	Year To Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest paid		
9. Total foreign exchange change in book value/recorded investment		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION
Other Long-Term Invested Assets

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	250,000	750,000
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals	250,000	500,000
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)		250,000
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		250,000

SCHEDULE D - VERIFICATION
Bonds and Stocks

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	186,596,178	194,385,618
2. Cost of bonds and stocks acquired	131,256,851	50,860,484
3. Accrual of discount	109,046	116,778
4. Unrealized valuation increase (decrease)	13,359,647	(9,261,359)
5. Total gain (loss) on disposals	851,557	1,658,702
6. Deduct consideration for bonds and stocks disposed of	100,143,696	50,846,638
7. Deduct amortization of premium	332,852	317,407
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other-than-temporary impairment recognized		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	3,181	
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9 + 10)	231,699,912	186,596,178
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	231,699,912	186,596,178

SCHEDULE D - PART 1B
Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	55,770,198	9,659,163	9,080,126	(179,449)	42,472,271	55,770,198	56,169,786	48,302,539
2. NAIC 2 (a)	36,936,694	522,807	1,076,676	227,001	29,441,293	36,936,694	36,609,826	22,253,663
3. NAIC 3 (a)								
4. NAIC 4 (a)								373,141
5. NAIC 5 (a)								31,212
6. NAIC 6 (a)								
7. Total Bonds	92,706,892	10,181,970	10,156,802	47,552	71,913,564	92,706,892	92,779,612	70,960,555
PREFERRED STOCK								
8. NAIC 1								
9. NAIC 2	83,600			176	82,400	83,600	83,776	78,400
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock	83,600			176	82,400	83,600	83,776	78,400
15. Total Bonds & Preferred Stock	92,790,492	10,181,970	10,156,802	47,728	71,995,964	92,790,492	92,863,388	71,038,955

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0

SI03 Schedule DA Part 1 NONE

SI03 Schedule DA Verification NONE

SI04 Schedule DB - Part A Verification NONE

SI04 Schedule DB - Part B Verification NONE

SI05 Schedule DB Part C Section 1 NONE

SI06 Schedule DB Part C Section 2 NONE

SI07 Schedule DB - Verification NONE

SCHEDULE E - PART 2 - VERIFICATION
(Cash Equivalents)

		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	5,044,842	6,562,834
2.	Cost of cash equivalents acquired	106,928,510	34,989,601
3.	Accrual of discount		3,672
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals		4
6.	Deduct consideration received on disposals	107,519,748	36,511,269
7.	Deduct amortization of premium		
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other-than-temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	4,453,604	5,044,842
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	4,453,604	5,044,842

E01 Schedule A Part 2 NONE

E01 Schedule A Part 3 NONE

E02 Schedule B Part 2 NONE

E02 Schedule B Part 3 NONE

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	2	Location		5	6	7	8	9	10	11	12	13
CUSIP Identification	Name or Description	3	4	Name of Vendor or General Partner	NAIC Designation and Administrative Symbol/ Market Indicator	Date Originally Acquired	Type and Strategy	Actual Cost at Time of Acquisition	Additional Investment Made After Acquisition	Amount of Encumbrances	Commitment for Additional Investment	Percentage of Ownership
<div>NONE</div>												
4699999 TOTALS X X ...

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Change in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Depreciation) or (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in B./A.C.V. (9 + 10 - 11 + 12)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value Less Encumbrances on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Investment Income
Surplus Debentures - Affiliated																			
000000000	Delta Dental of North Carolina Surplus Note ..	Raleigh	NC	Final Redemption	12/10/2010	07/08/2019 250,000 250,000 250,000 81,531
2499999 Subtotal - Surplus Debentures - Affiliated 250,000 250,000 250,000 81,531
4499999 Total - Unaffiliated
4599999 Total - Affiliated 250,000 250,000 250,000 81,531
4699999 TOTALS 250,000 250,000 250,000 81,531

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol/ Market Indicator (a)
Bonds - U.S. Governments									
38379RJH7	GNMA REMIC TRUST 2016-166		07/23/2019	Bank of America	X X X	287,184		2,738	1
9128286T2	U S TREASURY NT 2.375% 05/15/29		09/30/2019	Bank of America	X X X	935,321	890,000	7,100	1
912810SH2	UNITED STATES TREAS BDS		08/27/2019	Bank of America	X X X	2,568,956	2,395,000	12,873	1
9128286Y1	UNITED STATES TREAS NTS		08/02/2019	Bank of America	X X X	1,081,763	1,080,000	2,410	1
9128287B0	UNITED STATES TREAS NTS		07/25/2019	Bank of America	X X X	298,559	300,000	443	1
912828G38	UNITED STATES TREAS NTS		08/27/2019	Bank of America	X X X	2,436,573	2,375,000	11,404	1
0599999	Subtotal - Bonds - U.S. Governments				X X X	7,608,356	7,040,000	36,968	X X X
Bonds - U.S. Special Revenue, Special Assessment									
3137ATRW4	FHLMC REMIC SERIES K-020		07/11/2019	Bank of America	X X X	512,650	510,000	504	1
3136AY7K3	FNMA REMIC TRUST 2018-M1		07/02/2019	Bank of America	X X X	199,581	191,876	115	1
3136B27K2	FNMA REMIC TRUST 2018-M14		07/08/2019	Bank of America	X X X	458,539	425,250	349	1
3199999	Subtotal - Bonds - U.S. Special Revenue, Special Assessment				X X X	1,170,770	1,127,126	968	X X X
Bonds - Industrial and Miscellaneous (Unaffiliated)									
02665UAA3	AMER HOMES 4 RENT TR 2014-SFR2		07/17/2019	Bank of America	X X X	326,355	312,698	592	1FE
446150AK0	HUNTINGTON BANCSHARES INC		07/08/2019	Bank of America	X X X	49,860	50,000	562	2FE
59980CAA1	MILL CITY MTG LN TR-144A		08/01/2019	Bank of America	X X X	402,881	395,984		1FM
81746MAA8	SEQUOIA MTG TR 2015-4 144A		07/24/2019	Bank of America	X X X	150,801	149,210	311	1FM
67077MAU2	NUTRIEN LTD	C	07/08/2019	Bank of America	X X X	472,947	410,000	5,638	2FE
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)				X X X	1,402,844	1,317,892	7,103	X X X
8399997	Subtotal - Bonds - Part 3				X X X	10,181,970	9,485,018	45,039	X X X
8399998	Summary Item from Part 5 for Bonds (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X
8399999	Subtotal - Bonds				X X X	10,181,970	9,485,018	45,039	X X X
8999998	Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X
8999999	Subtotal - Preferred Stocks				X X X		X X X		X X X
Common Stocks - Mutual Funds									
057071409	BAIRD FDS INC		09/25/2019	Bank of America	3,623.360	35,364	X X X		U
233203827	DFA INVT DIMENSIONS GROUP INC		07/23/2019	Bank of America	9,879.450	365,003	X X X		U
233203843	DFA INVT DIMENSIONS GROUP INC		07/02/2019	Bank of America	266.220	8,902	X X X		U
25434D203	DIMENSIONAL INVT GROUP INC		07/02/2019	Bank of America	2,455.460	41,915	X X X		U
31420B300	FEDERATED INSTL TR		09/01/2019	Bank of America	5,509.520	54,011	X X X		U
54400N409	LORD ABBETT HIGH YIELD FD		09/01/2019	Bank of America	7,111.580	53,267	X X X		L
808524409	SCHWAB STRATEGIC TR		07/23/2019	Bank of America	6,163.000	350,158	X X X		L
808524201	SCHWAB US LARGE_CAP		07/23/2019	Bank of America	23,780.000	1,699,319	X X X		L
78464A409	SPDR S&P 500 GROWTH ETF		07/23/2019	Bank of America	27,862.000	1,100,546	X X X		L
922908785	VANGAURD SMALL CAP VALUE INDEX		09/16/2019	Bank of America	218.410	6,878	X X X		U
921921300	VANGUARD FENWAY FDS		09/13/2019	Bank of America	2,888.520	220,875	X X X		U
922908850	VANGUARD INDEX FDS		09/13/2019	Bank of America	7,252.660	318,528	X X X		U
922040100	VANGUARD INSTL INDEX FD		09/23/2019	Bank of America	6,516.260	1,774,293	X X X		U
922908819	VANGUARD SMALL CAP GROWTH INDEX		09/13/2019	SCHWAB CHARLES, CO. INC	43.540	2,294	X X X		U
921908869	VANGUARD SPECIALIZED PORTFOLIO		09/23/2019	Bank of America	2,343.430	47,689	X X X		U
921909784	VANGUARD STAR FD		09/23/2019	Bank of America	209.710	23,401	X X X		U
921946406	VANGUARD WHITEHALL FDS INC		07/23/2019	Bank of America	3,967.000	350,156	X X X		L
97717W307	WISDOMTREE TR		07/23/2019	Bank of America	2,036.000	200,082	X X X		L
9299999	Subtotal - Common Stocks - Mutual Funds				X X X	6,652,681	X X X		X X X
9799997	Subtotal - Common Stocks - Part 3				X X X	6,652,681	X X X		X X X
9799998	Summary Item from Part 5 for Common Stocks (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X
9799999	Subtotal - Common Stocks				X X X	6,652,681	X X X		X X X
9899999	Subtotal - Preferred and Common Stocks				X X X	6,652,681	X X X		X X X

QE04

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol/ Market Indicator (a)
9999999	Total - Bonds, Preferred and Common Stocks X X X 16,834,651 X X X 45,039 X X X

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues12.

SCHEDULE D - PART 4
Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of
During the Current Quarter

1	2	3 F o r e i g n	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP	Description		Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	Designation and Administrative Symbol/ Market Indicator (a)
Bonds - U.S. Governments																					
38378BQQ5	GNMA CMO 2012		09/16/2019	PRINCIPAL RECEIPT	X X X	4,848	4,848	4,896	4,887		(39)		(39)		4,848				65	03/16/2040	1
38378B7E3	GNMA CMO 2013		09/16/2019	PRINCIPAL RECEIPT	X X X	1,214	1,214	1,208	1,209		5		5		1,214				13	05/16/2046	1
36179QRZ6	GNMA PASS-THRU M SINGLE FAMILY		09/20/2019	PRINCIPAL RECEIPT	X X X	2,521	2,521	2,688	2,751		(230)		(230)		2,521				67	10/20/2044	1
38378BSB5	GNMA REMIC TRUST 2012-46 20381		09/16/2019	VARIOUS	X X X	2,869	2,869	2,898	2,875		(5)		(5)		2,869				34	11/16/2038	1
38378NNA7	GNMA REMIC TRUST 2013-194		09/16/2019	PRINCIPAL RECEIPT	X X X	1,772	1,772	1,739	1,741		31		31		1,772				27	05/16/2038	1
38379KFL7	GNMA REMIC TRUST 2015-37 20550		09/16/2019	PRINCIPAL RECEIPT	X X X	1,918	1,918	1,926	1,926		(8)		(8)		1,918				31	02/16/2055	1
9128286T2	U S TREASURY NT 2.375% 05/15/29		09/30/2019	Bank of America	X X X	717,108	675,000	699,594		(395)			(395)		699,199		17,910	17,910	6,055	05/15/2029	1
912810SD1	UNITED STATES TREAS BDS		07/16/2019	Bank of America	X X X	2,573,889	2,385,000	2,487,382		(157)			(157)		2,487,226		86,663	86,663	30,009	08/15/2048	1
9128285K2	UNITED STATES TREAS NTS		07/16/2019	Bank of America	X X X	1,874,637	1,800,000	1,870,664		(1,590)			(1,590)		1,869,074		5,563	5,563	11,824	10/31/2023	1
9128286Y1	UNITED STATES TREAS NTS		07/30/2019	Bank of America	X X X	848,990	850,000	851,893		(48)			(48)		851,845		(2,854)	(2,854)	1,461	06/15/2022	1
0599999 Subtotal - Bonds - U.S. Governments					X X X	6,029,766	5,725,142	5,924,888	15,389		(2,436)		(2,436)		5,922,486		107,282	107,282	49,586	X X X	X X X
Bonds - U.S. Special Revenue, Special Assessment																					
29270CYM1	ENERGY NORTHWEST WASH ELEC																				
	REV		07/01/2019	Sink PMT @ 100.0000000	X X X	65,000	65,000	65,852	65,069		(69)		(69)		65,000				1,428	07/01/2019	1FE
3135G0J20	FEDERAL NATL MTG ASSN		07/11/2019	Bank of America	X X X	396,296	400,000	401,050	400,087		(24)		(24)		400,063		(3,767)	(3,767)	4,874	02/26/2021	1
3135G0ZR7	FEDERAL NATL MTG ASSN		08/02/2019	Bank of America	X X X	518,169	500,000	522,062	516,174		(1,435)		(1,435)		514,739		3,429	3,429	11,204	09/06/2024	1
3128MDG23	FHLMC		09/16/2019	PRINCIPAL RECEIPT	X X X	563	563	569	568		(5)		(5)		563				9	07/01/2027	1
312934M58	FHLMC A8 7580		09/16/2019	PRINCIPAL RECEIPT	X X X	669	669	679	685		(16)		(16)		669				22	07/01/2039	1
312936KB2	FHLMC A8 9290		09/16/2019	PRINCIPAL RECEIPT	X X X	313	313	324	326		(13)		(13)		313				10	10/01/2039	1
3137AA5J3	FHLMC CMO 2011		09/30/2019	VARIOUS	X X X	402	402	415	404		(2)		(2)		402				9	07/15/2025	1
3128MJGB0	FHLMC GO 8193		09/16/2019	PRINCIPAL RECEIPT	X X X	199	199	209	215		(16)		(16)		199				8	04/01/2037	1
31292SBY5	FHLMC PC GOLD CASH 30		09/16/2019	PRINCIPAL RECEIPT	X X X	4,884	4,884	5,044	5,107		(223)		(223)		4,884				132	12/01/2043	1
3128MD3A9	FHLMC PC GOLD COMB 15		09/16/2019	PRINCIPAL RECEIPT	X X X	1,247	1,247	1,291	1,281		(34)		(34)		1,247				25	04/01/2029	1
3128MMRN5	FHLMC PC GOLD COMB 15		09/16/2019	PRINCIPAL RECEIPT	X X X	4,276	4,276	4,375	4,356		(80)		(80)		4,276				85	01/01/2029	1
3128MJ2S8	FHLMC PC GOLD COMB 30		09/16/2019	PRINCIPAL RECEIPT	X X X	6,070	6,070	6,210	6,223		(153)		(153)		6,070				144	10/01/2047	1
3128MJ2V1	FHLMC PC GOLD COMB 30		09/16/2019	PRINCIPAL RECEIPT	X X X	5,790	5,790	5,782	5,782		8		8		5,790				119	11/01/2047	1
3128MJQ78	FHLMC PC GOLD COMB 30		09/16/2019	PRINCIPAL RECEIPT	X X X	4,116	4,116	4,117	4,117		(2)		(2)		4,116				97	02/01/2042	1
3128MJS35	FHLMC PC GOLD COMB 30		09/16/2019	PRINCIPAL RECEIPT	X X X	4,353	4,353	4,152	4,162		191		191		4,353				87	07/01/2043	1
3128MJT67	FHLMC PC GOLD COMB 30		09/16/2019	PRINCIPAL RECEIPT	X X X	3,825	3,825	3,860	3,868		(44)		(44)		3,825				91	02/01/2044	1
3128MJTU4	FHLMC PC GOLD COMB 30		09/16/2019	PRINCIPAL RECEIPT	X X X	1,913	1,913	1,910	1,910		4		4		1,913				45	01/01/2044	1
3128MJX70	FHLMC PC GOLD COMB 30		09/16/2019	PRINCIPAL RECEIPT	X X X	9,043	9,043	8,798	8,798		245		245		9,043				182	04/01/2046	1
3128MJYC8	FHLMC PC GOLD COMB 30		09/16/2019	PRINCIPAL RECEIPT	X X X	7,965	7,965	7,935	7,934		31		31		7,965				187	05/01/2046	1
312932ZG4	FHLMC PC GOLD COMB 30		09/16/2019	PRINCIPAL RECEIPT	X X X	91	91	93	94		(3)		(3)		91				3	05/01/2039	1
3129413U9	FHLMC PC GOLD COMB 30		09/16/2019	PRINCIPAL RECEIPT	X X X	783	783	867	897		(114)		(114)		783				27	08/01/2040	1
3132VFECE9	FHLMC PC GOLD PC 30YR		09/16/2019	PRINCIPAL RECEIPT	X X X	3,395	3,395	3,408	3,408		(13)		(13)		3,395				69	07/01/2046	1
3132XUFC4	FHLMC PC GOLD PC 30YR		09/16/2019	PRINCIPAL RECEIPT	X X X	10,555	10,555	10,859	10,842		(287)		(287)		10,555				253	11/01/2047	1
3132XUJ30	FHLMC PC GOLD PC 30YR		09/16/2019	PRINCIPAL RECEIPT	X X X	5,269	5,269	5,423	5,416		(147)		(147)		5,269				120	11/01/2047	1
3132XUNA9	FHLMC PC GOLD PC 30YR		09/16/2019	PRINCIPAL RECEIPT	X X X	1,478	1,478	1,523	1,520		(43)		(43)		1,478				34	11/01/2047	1
31292SA83	FHLMC PC GOLD CASH 30		09/16/2019	PRINCIPAL RECEIPT	X X X	2,855	2,855	2,827	2,831		24		24		2,855				48	02/01/2043	1
31335AYJ1	FHLMC PC GOLD COMB 30		09/16/2019	PRINCIPAL RECEIPT	X X X	5,696	5,696	5,868	5,877		(181)		(181)		5,696				131	09/01/2046	1
31292SB25	FHLMC PC GOLD CASH 30		09/16/2019	PRINCIPAL RECEIPT	X X X	3,689	3,689	3,839	3,836		(147)		(147)		3,689				99	02/01/2044	1
3128M8NA8	FHLMC PC GOLD COMB 30		09/16/2019	PRINCIPAL RECEIPT	X X X	899	899	1,029	1,029		(130)		(130)		899				32	08/01/2040	1
3128MJT26	FHLMC PC GOLD COMB 30		09/16/2019	PRINCIPAL RECEIPT	X X X	3,267	3,267	3,496	3,590		(323)		(323)		3,267				97	01/01/2044	1
3128MJT75	FHLMC PC GOLD COMB 30		09/16/2019	PRINCIPAL RECEIPT	X X X	3,137	3,137	3,274	3,322		(186)		(186)		3,137				84	02/01/2044	1
3128MJTH3	FHLMC PC GOLD COMB 30		09/16/2019	PRINCIPAL RECEIPT	X X X	1,499	1,499	1,562	1,591		(92)		(92)		1,499				40	10/01/2043	1
3128MJYD6	FHLMC PC GOLD COMB 30 4.000 20		09/16/2019	PRINCIPAL RECEIPT	X X X	5,489	5,489	5,721	5,745		(256)		(256)		5,489				147	05/01/2046	1
3128MJXG0	FHLMC PC GOLD COMB 30 4.500 20		09/16/2019	PRINCIPAL RECEIPT	X X X	1,801	1,801	1,946	2,002		(201)		(201)		1,801				54	11/01/2045	1
312940EU9	FHLMC PC GOLD COMB 30 5.000 20		09/16/2019	PRINCIPAL RECEIPT	X X X	1,100	1,100	1,137	1,155		(55)		(55)		1,100				37	04/01/2040	1
3132JA6N0	FHLMC PC GOLD PC 30YR		09/16/2019	PRINCIPAL RECEIPT	X X X	4,419	4,419	4,446	4,447		(29)		(29)		4,419				103	06/01/2043	1
3132JNZ26	FHLMC PC GOLD PC 30YR		09/16/2019	PRINCIPAL RECEIPT	X X X	2,892	2,892	2,874	2,874		18		18		2,892				68	09/01/2043	1
3132WDS5D2	FHLMC PC GOLD PC 30YR		09/16/2019	PRINCIPAL RECEIPT	X X X	6,908	6,9														

SCHEDULE D - PART 4
Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of
During the Current Quarter

QE05.1

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP	Description	g	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Contractual Maturity Date	Symbol/ Market Indicator (a)	
31417LBR5	FNMA PAC1847		09/25/2019	PRINCIPAL RECEIPT	X X X	252	252	256	255		(3)		(3)		252					8	09/01/2024	1
31417LD36	FNMA PAC1921		09/25/2019	PRINCIPAL RECEIPT	X X X	755	755	761	763		(8)		(8)		755					20	09/01/2039	1
31417NAQ4	FNMA PAC3614		09/25/2019	PRINCIPAL RECEIPT	X X X	89	89	89	89						89					2	08/01/2024	1
31417QRZ9	FNMA PAC5003		09/25/2019	PRINCIPAL RECEIPT	X X X	526	526	532	535		(10)		(10)		526					15	01/01/2040	1
31419A4N4	FNMA PAE 0828		09/25/2019	PRINCIPAL RECEIPT	X X X	1,716	1,716	1,778	1,798		(81)		(81)		1,716					40	02/01/2041	1
31419FD60	FNMA PAE4624 4% 2040		09/25/2019	PRINCIPAL RECEIPT	X X X	464	464	453	448		15		15		464					13	10/01/2040	1
3138AV3Q6	FNMA PASS THRU		09/25/2019	PRINCIPAL RECEIPT	X X X	201	201	205	211		(10)		(10)		201					5	10/01/2041	1
31412PXC0	FNMA PASS THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	136	136	137	138		(2)		(2)		136					4	06/01/2039	1
3138EMT84	FNMA PASS-THRU INT 15 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	684	684	692	690		(6)		(6)		684					11	12/01/2028	1
3138ESC89	FNMA PASS-THRU INT 15 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	5,666	5,666	5,730	5,725		(60)		(60)		5,666					94	04/01/2032	1
3138WFM94	FNMA PASS-THRU INT 15 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	3,251	3,251	3,318	3,308		(57)		(57)		3,251					53	09/01/2030	1
3138YR6T0	FNMA PASS-THRU INT 15 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	6,026	6,026	6,143	6,130		(104)		(104)		6,026					101	07/01/2030	1
31410LRR5	FNMA PASS-THRU INT 15 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	5,412	5,412	5,682	5,657		(245)		(245)		5,412					110	09/01/2030	1
31410LUG5	FNMA PASS-THRU INT 15 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	5,805	5,805	5,821	5,817		(12)		(12)		5,805					97	06/01/2032	1
3138AVP66	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	1,251	1,251	1,329	1,334		(83)		(83)		1,251					33	10/01/2014	1
3138EG2X1	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	354	354	374	378		(24)		(24)		354					10	09/01/2014	1
3138EMFV8	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	3,451	3,451	3,550	3,552		(101)		(101)		3,451					77	12/01/2043	1
3138MFSZ1	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	1,593	1,593	1,573	1,573		20		20		1,593					33	11/01/2042	1
3138WGLA0	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	4,157	4,157	4,293	4,298		(141)		(141)		4,157					102	02/01/2046	1
3138WGRK2	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	6,150	6,150	6,519	6,541		(392)		(392)		6,150					148	03/01/2046	1
3138WH5S7	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	8,386	8,386	8,396	8,396		(10)		(10)		8,386					170	10/01/2046	1
3138WHWE8	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	4,400	4,400	4,435	4,435		(35)		(35)		4,400					87	09/01/2046	1
3138WJ6C7	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	13,252	13,252	13,918	13,947		(694)		(694)		13,252					359	03/01/2047	1
3138WJPS1	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	13,417	13,417	14,192	14,223		(806)		(806)		13,417					361	12/01/2046	1
3138X3XL1	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	1,825	1,825	1,872	1,872		(47)		(47)		1,825					45	09/01/2043	1
3138XGNF6	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	1,782	1,782	1,885	1,900		(118)		(118)		1,782					48	12/01/2043	1
3140EVB00	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	8,977	8,977	9,035	9,037		(59)		(59)		8,977					184	08/01/2046	1
3140HBH50	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	52,219	52,219	52,978		(759)		(759)		52,219						324	06/01/2048	1
3140J9FU0	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	10,803	10,803	11,051		(248)		(248)		10,803						260	11/01/2048	1
3140JM7A4	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	32,422	32,422	33,395		(973)		(973)		32,422						262	04/01/2049	1
31410KXY5	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	243	243	257	282		(39)		(39)		243					9	09/01/2038	1
31410LFB3	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	1,137	1,137	1,235	1,245		(108)		(108)		1,137					34	08/01/2041	1
31410XGC4	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	24	24	25	26		(2)		(2)		24					1	09/01/2036	1
31416NCW0	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	242	242	263	269		(27)		(27)		242					7	04/01/2039	1
31416TL49	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	618	618	675	687		(69)		(69)		618					19	08/01/2039	1
31417DU27	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	232	232	229	229		3		3		232					5	11/01/2042	1
31417QVZ4	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	479	479	531	555		(75)		(75)		479					16	11/01/2039	1
31418ABA5	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	665	665	681	683		(19)		(19)		665					16	12/01/2041	1
31418CMG6	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	15,483	15,483	15,383	15,379		104		104		15,483					421	07/01/2047	1
31418CR97	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	7,769	7,769	7,940	7,940		(171)		(171)		7,769					210	12/01/2047	1
31418CS47	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	7,684	7,684	7,641	7,641		43		43		7,684					182	01/01/2048	1
31418DCY6	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	32,365	37,383	37,984		(5,619)		(5,619)		32,365						220	05/01/2049	1
3140H1XD7	FNMA PASS-THRU INT 15 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	22,736	22,736	23,106		(369)		(369)		22,736						457	03/01/2033	1
31417B5T0	FNMA PASS-THRU INT 15 YEAR 2.5		09/25/2019	PRINCIPAL RECEIPT	X X X	1,581	1,581	1,629	1,613		(32)		(32)		1,581					26	06/01/2027	1
3138EL5L3	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	2,487	2,487	2,653	2,735		(248)		(248)		2,487					75	12/01/2043	1
3138EPZP2	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	699	699	724	730		(32)		(32)		699					21	02/01/2045	1
31418BP67	FNMA PASS-THRU LNG 30 YEAR		09/25/2019	PRINCIPAL RECEIPT	X X X	1,706	1,706	1,829	1,858		(152)		(152)		1,706					49	03/01/2045	1
3138Y6ND2	FNMA PASS-THRU LNG 30 YEAR 4.0		09/25/2019	PRINCIPAL RECEIPT	X X X	557	557	596	608		(51)		(51)		557					15	12/01/2044	1

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of

During the Current Quarter

QE05.2

1	2	3 F o r e i g n	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP	Description		Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol/ Market Indicator (a)
Bonds - Industrial and Miscellaneous (Unaffiliated)																					
020002BB6	ALLSTATE CORP SB-B DB FXD/FL53		08/02/2019	Bank of America	X X X	346,125	325,000	341,007	104,959	(1,005)			(1,005)		336,608		9,517	9,517	11,752	08/15/2053	2FE
023135BC9	AMAZON COM INC		07/17/2019	Bank of America	X X X	120,403	115,000	109,214	109,268	309			309		109,577		10,826	10,826	3,290	08/22/2027	1FE
02665UAA3	AMER HOMES 4 RENT TR 2014-SFR2		09/19/2019	PRINCIPAL RECEIPT	X X X	1,891	1,891	1,939	1,256	(42)			(42)		1,891				35	10/17/2036	1FE
02660TCS0	AMERICAN HOME MTG 2004-4 20450		09/25/2019	PRINCIPAL RECEIPT	X X X	5,856	5,856	5,875	5,859	(3)			(3)		5,856				191	05/25/2045	1FM
07325NAE2	BAYVIEW FINANCIAL TR 2004-D 20		09/28/2019	PRINCIPAL RECEIPT	X X X	4,931	4,931	4,894	4,937	(6)			(6)		4,931				133	08/28/2044	FMR
055657AD2	BMW VEH LEASE TR 2017-1 202006		09/20/2019	PRINCIPAL RECEIPT	X X X	145,000	145,000	145,000	145,072	(72)			(72)		145,000				2,348	06/22/2020	1FE
149806AB7	CAZENOVIA CREEK FDG I 2015-1 2		09/10/2019	PRINCIPAL RECEIPT	X X X	889	889	889	889						889				16	12/10/2023	1FE
161571HF4	CHASE ISSUANCE TRUST 2016-5A		07/15/2019	PRINCIPAL RECEIPT	X X X	150,000	150,000	149,959	149,992	8			8		150,000				1,111	07/15/2021	1FE
17326QAA1	CITIGROUP MTG LN TR 2018-RP1		09/25/2019	PRINCIPAL RECEIPT	X X X	4,205	4,205	4,193	3,176	1,029			1,029		4,205				84	09/25/2064	1FM
174610AK1	CITIZENS FINL GROUP INC		07/08/2019	Bank of America	X X X	52,811	50,000	51,166	50,873	(58)			(58)		50,815		1,996	1,996	1,296	12/03/2025	2FE
12646XAW2	CSMC TRUST 2013-IVR3 144A		09/25/2019	PRINCIPAL RECEIPT	X X X	6,395	6,395	6,346	6,349	46			46		6,395				149	05/25/2043	1FM
29445FAH1	EQUIFIRST MTG LN TR 2003-2		09/26/2019	PRINCIPAL RECEIPT	X X X	694	694	676	681	13			13		694				16	09/25/2033	1FM
419838AA5	HAWAIIAN AIRL TR 2013-1 202707		07/15/2019	Sink PMT @ 100.00000000	X X X	2,273	2,273	2,281	2,280	(7)			(7)		2,273				89	07/15/2027	1FE
437084QV1	HOME EQUITY ASSET TR 2005-9		09/30/2019	VARIOUS	X X X	10,209	10,209	10,220	10,214	(5)			(5)		10,209				194	04/25/2036	FMR
404280AT6	HSBC HLDGS PLC PERP COCO FXD/F		08/27/2019	Bank of America	X X X	671,125	650,000	669,975	199,509	(1,013)			(1,013)		668,971		2,154	2,154	23,526	12/31/2050	2FE
44891EAC3	HYUNDAI AUTO RECV TR 2016-B		09/16/2019	PRINCIPAL RECEIPT	X X X	16,439	16,439	16,437	16,439						16,439				141	04/15/2021	1FE
46641YAA1	JP MORGAN MTG TR 2014-2 144A		09/25/2019	PRINCIPAL RECEIPT	X X X	6,165	6,165	6,204	6,192	(26)			(26)		6,165				121	06/25/2029	1FM
501044CK5	KROGER CO		07/08/2019	Bank of America	X X X	18,970	15,000	19,753	19,211	(71)			(71)		19,140		(170)	(170)	762	04/15/2038	2FE
59166BAA9	METLIFE SEC TR 2017 144A		09/25/2019	PRINCIPAL RECEIPT	X X X	19,446	19,446	19,333	3,842	125			125		19,446				234	04/25/2055	1FM
595481AC6	MID-STATE CAPITAL 2005-1 20400		09/16/2019	PRINCIPAL RECEIPT	X X X	1,142	1,142	1,224	1,195	(53)			(53)		1,142				55	01/15/2040	3FE
59980CAA1	MILL CITY MTG LN TR 144A		09/25/2019	VARIOUS	X X X	205,611	204,921	205,744		(133)			(133)		205,611				10	01/25/2061	1FM
59023WAC2	ML MTG INV TR 2006-FF1 2036082		09/25/2019	PRINCIPAL RECEIPT	X X X	43,105	43,105	40,411	42,759	346			346		43,105				858	08/25/2036	1FM
64829EAA2	NEW RESI MTG LN TR 2015-2 144A		09/25/2019	PRINCIPAL RECEIPT	X X X	19,077	19,077	19,881		(804)			(804)		19,077				117	08/25/2055	1FE
64830BAA5	NEW RESI MTG TR 2017-6		09/25/2019	PRINCIPAL RECEIPT	X X X	25,594	25,594	27,016		(1,422)			(1,422)		25,594				101	08/25/2057	1FM
64830GAB2	NEW RESI MTG TR 144A		09/25/2019	PRINCIPAL RECEIPT	X X X	24,593	24,593	25,573	4,949	(977)			(977)		24,593				300	12/25/2057	1FM
674599CH6	OCCIDENTAL PETE CORP DEL		07/25/2019	Bank of America	X X X	324,362	320,000	326,106	92,475	(278)			(278)		325,118		(757)	(757)	4,673	04/15/2026	1FE
68389FGF5	OPTION ONE MTG LN TR 2005-1 20		08/26/2019	VARIOUS	X X X	16,955	16,955	16,076	16,932	24			24		16,955				346	02/25/2035	FMR
64829HAD9	PVTPL NEW RESIDENTIAL MTG LN 144A		09/25/2019	PRINCIPAL RECEIPT	X X X	25,138	25,138	25,840		(702)			(702)		25,138				133	09/25/2056	1FM
75156UAC1	RAMP SERIES TRUST 2006-RZ2		09/25/2019	PRINCIPAL RECEIPT	X X X	7,753	7,753	7,725	7,738	15			15		7,753				147	05/25/2036	1FM
76112BC65	RESIDENTIAL ASSET 2005-EFC4		09/25/2019	PRINCIPAL RECEIPT	X X X	6,552	6,552	6,540	6,565	(13)			(13)		6,552				137	09/25/2035	1FM
81746MAA8	SEQUOIA MTG TR 2015-4 144A		09/25/2019	PRINCIPAL RECEIPT	X X X	4,519	4,519	4,567		(48)			(48)		4,519				17	11/25/2030	1FM
86359LPF0	STRUCTURED ASSET MTG 2005-AR5		09/19/2019	PRINCIPAL RECEIPT	X X X	5,524	5,524	5,273	5,316	207			207		5,524				103	07/19/2035	1FM
89172HAJ6	TOWD POINT MTG TR 2015-3 144A		09/25/2019	PRINCIPAL RECEIPT	X X X	35,231	35,231	36,823		(1,592)			(1,592)		35,231				271	03/25/2054	1FM
89171VAK3	TOWD POINT MTG TR 2015-5		09/25/2019	PRINCIPAL RECEIPT	X X X	8,259	8,259	8,361		(102)			(102)		8,259				108	05/25/2055	1FM
89172YAA8	TOWD PT MTG TR 2016-3 144A		09/25/2019	PRINCIPAL RECEIPT	X X X	38,644	38,644	38,336	308				308		38,644				179	04/25/2056	1FM
89173CAA5	TOWD PT MTG TR 2016-5 20561025 FLT		09/25/2019	PRINCIPAL RECEIPT	X X X	6,301	6,301	6,199		102			102		6,301				62	10/25/2056	1FM
89175JAA8	TOWD PT MTG TR 2017-6 144A		09/25/2019	PRINCIPAL RECEIPT	X X X	6,414	6,414	6,432	6,421	(7)			(7)		6,414				119	10/25/2057	1FM
976826BG1	WISCONSIN POWER & LIGHT		07/15/2019	MATURITY	X X X	25,000	25,000	24,846	24,989	11			11		25,000				1,250	07/15/2019	1FE
98162KAC7	WORLD OMNI AUTO LEASE 2017-A 2		09/16/2019	PRINCIPAL RECEIPT	X X X	62,977	62,977	62,974	63,004	(27)			(27)		62,977				908	04/15/2020	1FE
3899999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					X X X	2,476,578	2,417,093	2,461,308	1,113,341	(5,923)			(5,923)		2,453,011		23,566	23,566	55,382	X X X	X X X
8399997 Subtotal - Bonds - Part 4					X X X	10,156,802	9,783,244	10,064,657	2,406,813	(29,230)			(29,230)		10,026,292		130,510	130,510	132,771	X X X	X X X
8399998 Summary Item from Part 5 for Bonds (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X	X X X			X X X	X X X	X X X		X X X	X X X	X X X	X X X	X X X
8399999 Subtotal - Bonds					X X X	10,156,802	9,783,244	10,064,657	2,406,813	(29,230)			(29,230)		10,026,292		130,510	130,510	132,771	X X X	X X X
8999998 Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X	X X X			X X X	X X X	X X X		X X X	X X X	X X X	X X X	X X X
8999999 Subtotal - Preferred Stocks					X X X	X X X	X X X	X X X	X X X	X X X			X X X	X X X	X X X		X X X	X X X	X X X	X X X	X X X
Common Stocks - Mutual Funds																					
057071409	BAIRD FDS INC		09/04/2019	Bank of America		5,096.840	50,000	X X X	49,338	48,777	561		561		49,338		662	662	1,161	X X X	U
9299999 Subtotal - Common Stocks - Mutual Funds					X X X	50,000	X X X		49,338	48,777	561		561		49,338		662	662	1,161	X X X	X X X
9799997 Subtotal - Common Stocks - Part 4					X X X	50,000	X X X	49,338	48,777	561			561		49,338		662	662	1,161	X X X	X X X
9799998 Summary Item from Part 5 for Common Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X	X X X			X X X	X X X	X X X		X X X	X X X	X X X	X X X	X X X
9799999 Subtotal - Common Stocks					X X X	50,000	X X X	49,338	48,777	561			561		49,338		662	662	1,161	X X X	X X X
9899999 Subtotal - Preferred and Common Stocks					X X X	50,000	X X X	49,338	48,777	561			561		49,338		662	662	1,161	X X X	X X X
9999999 Total - Bonds, Preferred and Common Stocks					X X X	10,206,802	X X X	10,113,995	2,455,590	561	(29,230)		(28,669)		10,075,630		131,172	131,172	133,932	X X X	X X X

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues1.

E06 Schedule DB Part A Section 1 NONE

E07 Schedule DB Part B Section 1 NONE

E08 Schedule DB Part D Section 1 NONE

E09 Schedule DB Part D Section 2 - Collateral Pledged By Reporting Entity NONE

E09 Schedule DB Part D Section 2 - Collateral Pledged To Reporting Entity NONE

E10 Schedule DL - Part 1 - Securities Lending Collateral Assets NONE

E11 Schedule DL - Part 2 - Securities Lending Collateral Assets NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1			2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
Depository			Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	6	7	8	*
							First Month	Second Month	Third Month	
open depositories										
Fifth Third Admin	Cincinnati, OH						(1,102,517)	(1,186,231)	(1,133,505)	X X X
Fifth Third Claims Checking	Cincinnati, OH						(20,402,211)	(14,784,412)	(16,018,118)	X X X
Fifth Third Control Account	Cincinnati, OH						5,120,540	3,544,327	8,533,925	X X X
Fifth Third Prefunds	Cincinnati, OH									X X X
Fifth Third Receipts Groups	Cincinnati, OH						277	1,506,139	277	X X X
Bank of America Receipts Indiv	Chicago, IL						200,323	799,525	412,733	X X X
Wells Fargo BOD Deferred										
Comp	Chicago, IL						624,131	610,152	619,714	X X X
First Premier Bank	Sioux Falls, SD						56,196	56,156	56,116	X X X
0199998 Deposits in0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - open depositories ..			X X X	... X X X ..						X X X
0199999 Totals - Open Depositories			X X X	... X X X ..			(15,503,261)	(9,454,344)	(7,528,858)	X X X
0299998 Deposits in0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - suspended depositories			X X X	... X X X ..						X X X
0299999 Totals - Suspended Depositories			X X X	... X X X ..						X X X
0399999 Total Cash On Deposit			X X X	... X X X ..			(15,503,261)	(9,454,344)	(7,528,858)	X X X
0499999 Cash in Company's Office			X X X	... X X X ..	X X X	X X X				X X X
0599999 Total Cash			X X X	... X X X ..			(15,503,261)	(9,454,344)	(7,528,858)	X X X

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
Cusip	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
All Other Money Market Mutual Funds								
316175108	FIDELITY INSTL GOVT FUND		09/30/2019	0.250	X X X	4,453,604	779	117,763
8699999 Subtotal - All Other Money Market Mutual Funds						4,453,604	779	117,763
8899999 Total - Cash Equivalents						4,453,604	779	117,763

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