



QUARTERLY STATEMENT
AS OF SEPTEMBER 30, 2019
OF THE CONDITION AND AFFAIRS OF THE
GERMAN MUTUAL INSURANCE COMPANY

NAIC Group Code	4787 (Current Period)	4787 (Prior Period)	NAIC Company Code	17884	Employer's ID Number	34-4469685
Organized under the Laws of	Ohio		State of Domicile or Port of Entry	OH		
Country of Domicile	United States of America					
Incorporated/Organized	12/28/1984		Commenced Business	06/01/1867		
Statutory Home Office	1000 Westmoreland Avenue (Street and Number)		Napoleon, OH, US 43545 (City or Town, State, Country and Zip Code)			
Main Administrative Office			625 West Main Street (Street and Number)			
	New Holland, PA, US 17557-0489 (City or Town, State, Country and Zip Code)		(717)354-4921 (Area Code) (Telephone Number)			
Mail Address	PO Box 489 (Street and Number or P.O. Box)		New Holland, PA, US 17557-0489 (City or Town, State, Country and Zip Code)			
Primary Location of Books and Records			625 West Main Street (Street and Number)			
	New Holland, PA, US 17557-0489 (City or Town, State, Country and Zip Code)		(717)354-4921 (Area Code) (Telephone Number)			
Internet Web Site Address	german.goodville.com					
Statutory Statement Contact	Philip Wesley Shirk (Name)		(717)354-4921-270 (Area Code)(Telephone Number)(Extension)			
	Phil.Shirk@goodville.com (E-Mail Address)		(717)354-5158 (Fax Number)			

OFFICERS

Name	Title
David Charles Gautsche	President
John Landis Frankenfield	Secretary
Allon H Lefever	Treasurer

OTHERS

Philip Wesley Shirk, Vice President
Philip Wesley Shirk, Assistant Treasurer
Jerry Lee Goodpaster, Assistant Secretary

DIRECTORS OR TRUSTEES

Sanford Landis Alderfer	Andrew Dula	Gregory Allen Edwards
John Landis Frankenfield	David Charles Gautsche	James Milton Harder
Allon H Lefever	John Carlton Lehman Miller	John Scott Miller
Lori Beth Miller	Donald Lee Nice	Miriam Emma Shirk
Glennys Heatwole Shouey	Jeremy Charles Shue	Alan Edward Wyse

State of Pennsylvania
County of Lancaster ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)	(Signature)	(Signature)
David Charles Gautsche	Jerry Lee Goodpaster	Philip Wesley Shirk
(Printed Name)	(Printed Name)	(Printed Name)
1.	2.	3.
President	Assistant Secretary	Assistant Treasurer (CFO)
(Title)	(Title)	(Title)

Subscribed and sworn to before me this
day of , 2019

a. Is this an original filing?
b. If no, 1. State the amendment number
2. Date filed
3. Number of pages attached

Yes[X] No[]

(Notary Public Signature)

ASSETS

		Current Statement Date			4
		1	2	3	
		Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
1.	Bonds	31,883,401		31,883,401	29,858,504
2.	Stocks:				
2.1	Preferred stocks	240,078		240,078	298,650
2.2	Common stocks	9,976,689		9,976,689	8,069,607
3.	Mortgage loans on real estate:				
3.1	First liens				
3.2	Other than first liens				
4.	Real estate:				
4.1	Properties occupied by the company (less \$.....0 encumbrances)				1,193,814
4.2	Properties held for the production of income (less \$.....0 encumbrances)	1,336,239		1,336,239	107,916
4.3	Properties held for sale (less \$.....0 encumbrances)				
5.	Cash (\$.....1,244,316), cash equivalents (\$.....464,789) and short-term investments (\$.....0)	1,709,105		1,709,105	352,541
6.	Contract loans (including \$.....0 premium notes)				
7.	Derivatives				
8.	Other invested assets				
9.	Receivables for securities				
10.	Securities lending reinvested collateral assets				
11.	Aggregate write-ins for invested assets				
12.	Subtotals, cash and invested assets (Lines 1 to 11)	45,145,512		45,145,512	39,881,032
13.	Title plants less \$.....0 charged off (for Title insurers only)				
14.	Investment income due and accrued	155,714		155,714	135,158
15.	Premiums and considerations:				
15.1	Uncollected premiums and agents' balances in the course of collection	6,847,981		6,847,981	5,895,960
15.2	Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums)				
15.3	Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0)				
16.	Reinsurance:				
16.1	Amounts recoverable from reinsurers	13,731		13,731	10,892
16.2	Funds held by or deposited with reinsured companies				
16.3	Other amounts receivable under reinsurance contracts				
17.	Amounts receivable relating to uninsured plans				
18.1	Current federal and foreign income tax recoverable and interest thereon	206,096		206,096	54,842
18.2	Net deferred tax asset	463,763	295,069	168,694	304,143
19.	Guaranty funds receivable or on deposit				
20.	Electronic data processing equipment and software				
21.	Furniture and equipment, including health care delivery assets (\$.....0)	6,396	6,396		
22.	Net adjustments in assets and liabilities due to foreign exchange rates				
23.	Receivables from parent, subsidiaries and affiliates	106,227		106,227	102,289
24.	Health care (\$.....0) and other amounts receivable				
25.	Aggregate write-ins for other-than-invested assets				
26.	TOTAL assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	52,945,420	301,465	52,643,955	46,384,316
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28.	TOTAL (Lines 26 and 27)	52,945,420	301,465	52,643,955	46,384,316
DETAILS OF WRITE-INS					
1101.				
1102.				
1103.				
1198.	Summary of remaining write-ins for Line 11 from overflow page				
1199.	TOTALS (Lines 1101 through 1103 plus 1198) (Line 11 above)				
2501.				
2502.				
2503.				
2598.	Summary of remaining write-ins for Line 25 from overflow page				
2599.	TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above)				

LIABILITIES, SURPLUS AND OTHER FUNDS

		1	2
		Current Statement Date	December 31, Prior Year
1.	Losses (current accident year \$.....4,396,100)	8,544,594	6,973,353
2.	Reinsurance payable on paid losses and loss adjustment expenses	5,250,857	3,008,808
3.	Loss adjustment expenses	1,524,982	1,271,092
4.	Commissions payable, contingent commissions and other similar charges	970,368	962,945
5.	Other expenses (excluding taxes, licenses and fees)	2,490,711	2,373,693
6.	Taxes, licenses and fees (excluding federal and foreign income taxes)	313,465	319,228
7.1	Current federal and foreign income taxes (including \$.....0 on realized capital gains (losses))		
7.2	Net deferred tax liability		
8.	Borrowed money \$.....0 and interest thereon \$.....0		
9.	Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$.....0 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act)	11,496,260	9,912,860
10.	Advance premium		
11.	Dividends declared and unpaid:		
11.1	Stockholders		
11.2	Policyholders		
12.	Ceded reinsurance premiums payable (net of ceding commissions)	(199)	(1,089)
13.	Funds held by company under reinsurance treaties		
14.	Amounts withheld or retained by company for account of others	1,458	1,493
15.	Remittances and items not allocated		
16.	Provision for reinsurance (including \$.....0 certified)		
17.	Net adjustments in assets and liabilities due to foreign exchange rates		
18.	Drafts outstanding		
19.	Payable to parent, subsidiaries and affiliates	2,101,033	1,917,218
20.	Derivatives		
21.	Payable for securities		
22.	Payable for securities lending		
23.	Liability for amounts held under uninsured plans		
24.	Capital notes \$.....0 and interest thereon \$.....0		
25.	Aggregate write-ins for liabilities	839	(4,080)
26.	TOTAL liabilities excluding protected cell liabilities (Lines 1 through 25)	32,694,368	26,735,521
27.	Protected cell liabilities		
28.	TOTAL liabilities (Lines 26 and 27)	32,694,368	26,735,521
29.	Aggregate write-ins for special surplus funds		
30.	Common capital stock		
31.	Preferred capital stock		
32.	Aggregate write-ins for other-than-special surplus funds		
33.	Surplus notes		
34.	Gross paid in and contributed surplus		
35.	Unassigned funds (surplus)	19,949,587	19,648,795
36.	Less treasury stock, at cost:		
36.10 shares common (value included in Line 30 \$.....0)		
36.20 shares preferred (value included in Line 31 \$.....0)		
37.	Surplus as regards policyholders (Lines 29 to 35, less 36)	19,949,587	19,648,795
38.	TOTALS (Page 2, Line 28, Col. 3)	52,643,955	46,384,316
DETAILS OF WRITE-INS			
2501.	General accounts payable		(4,080)
2502.	Security deposits payable	839	
2503.		
2598.	Summary of remaining write-ins for Line 25 from overflow page		
2599.	TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above)	839	(4,080)
2901.		
2902.		
2903.		
2998.	Summary of remaining write-ins for Line 29 from overflow page		
2999.	TOTALS (Lines 2901 through 2903 plus 2998) (Line 29 above)		
3201.		
3202.		
3203.		
3298.	Summary of remaining write-ins for Line 32 from overflow page		
3299.	TOTALS (Lines 3201 through 3203 plus 3298) (Line 32 above)		

STATEMENT OF INCOME

		1	2	3
		Current Year to Date	Prior Year to Date	Prior Year Ended December 31
UNDERWRITING INCOME				
1.	Premiums earned			
1.1	Direct (written \$.....0)			
1.2	Assumed (written \$.....20,854,351)	19,271,015	16,858,199	22,755,501
1.3	Ceded (written \$.....180)	244	16,176	20,752
1.4	Net (written \$.....20,854,171)	19,270,771	16,842,023	22,734,749
DEDUCTIONS:				
2.	Losses incurred (current accident year \$.....13,535,371)			
2.1	Direct	(24,731)	(374,889)	(372,956)
2.2	Assumed	13,318,756	10,361,470	12,984,786
2.3	Ceded	(22,007)	(383,755)	(400,834)
2.4	Net	13,316,032	10,370,336	13,012,664
3.	Loss adjustment expenses incurred	1,730,251	1,346,707	1,751,356
4.	Other underwriting expenses incurred	5,705,527	4,893,713	6,569,776
5.	Aggregate write-ins for underwriting deductions			
6.	TOTAL underwriting deductions (Lines 2 through 5)	20,751,810	16,610,756	21,333,796
7.	Net income of protected cells			
8.	Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	(1,481,039)	231,267	1,400,953
INVESTMENT INCOME				
9.	Net investment income earned	670,110	467,801	672,103
10.	Net realized capital gains (losses) less capital gains tax of \$.....37,956	142,789	235,799	414,812
11.	Net investment gain (loss) (Lines 9 + 10)	812,899	703,600	1,086,915
OTHER INCOME				
12.	Net gain or (loss) from agents' or premium balances charged off (amount recovered \$.....1,203 amount charged off \$.....0)	1,203	(14,838)	(14,164)
13.	Finance and service charges not included in premiums			
14.	Aggregate write-ins for miscellaneous income	11,105	1,555	(7,204)
15.	TOTAL other income (Lines 12 through 14)	12,308	(13,283)	(21,368)
16.	Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	(655,832)	921,584	2,466,500
17.	Dividends to policyholders			
18.	Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	(655,832)	921,584	2,466,500
19.	Federal and foreign income taxes incurred	(74,210)	(64,549)	(140,639)
20.	Net income (Line 18 minus Line 19) (to Line 22)	(581,622)	986,133	2,607,139
CAPITAL AND SURPLUS ACCOUNT				
21.	Surplus as regards policyholders, December 31 prior year	19,648,795	18,744,468	18,744,468
22.	Net income (from Line 20)	(581,622)	986,133	2,607,139
23.	Net transfers (to) from Protected Cell accounts			
24.	Change in net unrealized capital gains or (losses) less capital gains tax of \$.....243,657	919,976	200,680	(1,134,124)
25.	Change in net unrealized foreign exchange capital gain (loss)			
26.	Change in net deferred income tax	108,208	(54,646)	(641,145)
27.	Change in nonadmitted assets	2,394	14,780	270,009
28.	Change in provision for reinsurance			
29.	Change in surplus notes			
30.	Surplus (contributed to) withdrawn from Protected cells			
31.	Cumulative effect of changes in accounting principles	(148,164)	(148,164)	(197,552)
32.	Capital changes:			
32.1	Paid in			
32.2	Transferred from surplus (Stock Dividend)			
32.3	Transferred to surplus			
33.	Surplus adjustments:			
33.1	Paid in			
33.2	Transferred to capital (Stock Dividend)			
33.3	Transferred from capital			
34.	Net remittances from or (to) Home Office			
35.	Dividends to stockholders			
36.	Change in treasury stock			
37.	Aggregate write-ins for gains and losses in surplus			
38.	Change in surplus as regards policyholders (Lines 22 through 37)	300,792	998,783	904,327
39.	Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	19,949,587	19,743,251	19,648,795
DETAILS OF WRITE-INS				
0501.				
0502.				
0503.				
0598.	Summary of remaining write-ins for Line 5 from overflow page			
0599.	TOTALS (Lines 0501 through 0503 plus 0598) (Line 5 above)			
1401.	Gain (loss) on the sale of assets	11,105	1,555	(7,204)
1402.				
1403.				
1498.	Summary of remaining write-ins for Line 14 from overflow page			
1499.	TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above)	11,105	1,555	(7,204)
3701.				
3702.				
3703.				
3798.	Summary of remaining write-ins for Line 37 from overflow page			
3799.	TOTALS (Lines 3701 through 3703 plus 3798) (Line 37 above)			

CASH FLOW

		1	2	3
		Current	Prior	Prior
		Year	Year	Year Ended
		To Date	To Date	December 31
Cash from Operations				
1.	Premiums collected net of reinsurance	19,903,040	17,257,642	23,161,381
2.	Net investment income	758,455	562,199	804,531
3.	Miscellaneous income	12,308	(13,283)	(21,367)
4.	TOTAL (Lines 1 to 3)	20,673,803	17,806,558	23,944,545
5.	Benefit and loss related payments	9,505,580	9,207,164	12,188,868
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7.	Commissions, expenses paid and aggregate write-ins for deductions	7,205,853	6,070,242	8,068,248
8.	Dividends paid to policyholders			
9.	Federal and foreign income taxes paid (recovered) net of \$..... 110,813 tax on capital gains (losses)	115,000	37,000	37,000
10.	TOTAL (Lines 5 through 9)	16,826,433	15,314,406	20,294,116
11.	Net cash from operations (Line 4 minus Line 10)	3,847,370	2,492,152	3,650,429
Cash from Investments				
12.	Proceeds from investments sold, matured or repaid:			
12.1	Bonds	7,778,710	7,112,403	9,150,785
12.2	Stocks	3,711,690	3,006,930	4,463,059
12.3	Mortgage loans			
12.4	Real estate			
12.5	Other invested assets			
12.6	Net gains or (losses) on cash, cash equivalents and short-term investments			
12.7	Miscellaneous proceeds			
12.8	TOTAL investment proceeds (Lines 12.1 to 12.7)	11,490,400	10,119,333	13,613,844
13.	Cost of investments acquired (long-term only):			
13.1	Bonds	9,756,153	9,888,100	13,534,718
13.2	Stocks	4,335,616	3,231,862	5,188,300
13.3	Mortgage loans			
13.4	Real estate	75,540	112,105	117,198
13.5	Other invested assets			
13.6	Miscellaneous applications			
13.7	TOTAL investments acquired (Lines 13.1 to 13.6)	14,167,309	13,232,067	18,840,216
14.	Net increase (or decrease) in contract loans and premium notes			
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(2,676,909)	(3,112,734)	(5,226,372)
Cash from Financing and Miscellaneous Sources				
16.	Cash provided (applied):			
16.1	Surplus notes, capital notes			
16.2	Capital and paid in surplus, less treasury stock			
16.3	Borrowed funds			
16.4	Net deposits on deposit-type contracts and other insurance liabilities			
16.5	Dividends to stockholders			
16.6	Other cash provided (applied)	186,103	(194,504)	(170,766)
17.	Net cash from financing and miscellaneous sources (Line 16.1 through 16.4 minus Line 16.5 plus Line 16.6)	186,103	(194,504)	(170,766)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS				
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	1,356,564	(815,086)	(1,746,709)
19.	Cash, cash equivalents and short-term investments:			
19.1	Beginning of year	352,541	2,099,250	2,099,250
19.2	End of period (Line 18 plus Line 19.1)	1,709,105	1,284,164	352,541

Note: Supplemental Disclosures of Cash Flow Information for Non-Cash Transactions:

20.0001				
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Notes to Financial Statement

1 Summary of Significant Accounting Policies

A. Accounting Practices

The financial statements of German Mutual Insurance Company (German Mutual or the Company) are presented on the basis of accounting practices prescribed or permitted by the Ohio Insurance Department.

The Insurance Department of the state of Ohio recognizes only statutory accounting practices prescribed by the state of Ohio for determining and reporting the financial condition and results of operations of an insurance company for determining its solvency under the Ohio Insurance Law. The National Association of Insurance Commissioners’ (NAIC) *Accounting Practices and Procedures* manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the state of Ohio. The Insurance Commissioner has the right to permit other specific practices that deviate from prescribed practices.

A reconciliation of the Company's net income and policyholders' surplus between NAIC SAP and practices prescribed and permitted by the state of Ohio is shown below:

	SSAP #	F/S Page	F/S Line #	2019	2018
Net Income:					
(1) Company state basis (Page 4, Line 20, Columns 1 & 3)	XXX	XXX	XXX	<u>\$ (581,622)</u>	<u>\$ 2,607,139</u>
(2) State Prescribed Practices that increase (decrease) NAIC SAP: e.g., Depreciation of fixed assets				<u>0</u>	<u>0</u>
(3) State Permitted Practices that increase (decrease) NAIC SAP: e.g., Depreciation, home office property				<u>0</u>	<u>0</u>
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	<u>\$ (581,622)</u>	<u>\$ 2,607,139</u>
Surplus:					
(5) Company state basis (Page 3, Line 37, Columns 1 & 2)	XXX	XXX	XXX	<u>\$ 19,949,587</u>	<u>\$ 19,648,795</u>
(6) State Prescribed Practices that increase (decrease) NAIC SAP: e.g., Goodwill, net e.g., Fixed Assets, net				<u>0</u>	<u>0</u>
(7) State Permitted Practices that increase (decrease) NAIC SAP: e.g., Home Office Property				<u>0</u>	<u>0</u>
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	<u>\$ 19,949,587</u>	<u>\$ 19,648,795</u>

There are no accounting practices used by the Company which differ from NAIC SAP.

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with NAIC SAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

C. Accounting Policy

Premiums are earned over the terms of the related insurance policies and reinsurance contracts. Unearned premium reserves are established to cover the unexpired portion of premiums written. Such reserves are computed by pro rata methods for direct and ceded business and are based on reports received from ceding companies for assumed reinsurance.

Expenses incurred in connection with acquiring new business, including such acquisition costs as sales commissions, are charged to operations as incurred. Expenses incurred are reduced for ceding allowances received or receivable.

In addition, the Company uses the following accounting policies:

1. Short-term investments are stated at amortized cost.
2. Bonds not backed by other loans are stated at amortized cost using the interest method.
3. Common stocks are stated at market except that investments in stocks of uncombined subsidiaries and affiliates in which the Company has an interest of 20% or more are carried on the equity basis.
4. Preferred stocks are stated in accordance with guidance provided in SSAP No. 32.
5. The Company has no mortgage loans.
6. Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair market value. The retrospective adjustment method is used to value all securities except for interest only securities or securities where the yield had become negative, that are valued using the prospective method.
7. The Company does not have any investments in subsidiaries.
8. The Company has no ownership interests in joint ventures.
9. The Company does not have any investments in derivatives.
10. The Company anticipates investment income, if applicable, as a factor in the premium deficiency calculation, in accordance with SSAP No. 53, Property-Casualty Contracts-Premiums.

Notes to Financial Statement

- 11. Unpaid losses and loss adjustment expenses include an amount determined from individual case estimates and loss reports and an amount, based on past experience, for losses incurred but not reported. Such liabilities are necessarily based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liabilities are continually reviewed and any adjustments are reflected in the period determined.
- 12. The Company has not modified its capitalization policy from the prior period.
- 13. The Company does not engage in any activities which would require it to provide for estimated pharmaceutical rebates.

D. Going Concern

Management does not have any substantial doubts about the Company's ability to continue as a going concern.

2 Accounting Changes and Corrections of Errors

The Company has no accounting changes or correction of errors from the prior year to report.

3 Business Combinations and Goodwill

The Company was not involved in any business combinations during the current year.

4 Discontinued Operations

The Company did not dispose of any business segments during the current year.

5 Investments

- A. Mortgage Loans, including Mezzanine Real Estate Loans - No change
- B. Debt Restructuring - No change
- C. Reverse Mortgages - No change
- D. Loan Backed Securities

- 1. Prepayment assumptions for mortgage-backed/loan-backed securities were obtained from broker dealer survey values or internal estimates.
- 2. There were no loan-backed securities with recognized other-than-temporary impairment losses in 2019.
- 3. The Company holds no loan-backed securities with a recognized other-than-temporary impairment for which the present value of cash flows expected to be collected is less than the securities amortized cost.
- 4. All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains):

a. The aggregate amount of unrealized losses:	1. Less than 12 Months	\$ 3,678
	2. 12 Months or Longer	\$ 26,180
b. The aggregate related fair value of securities with unrealized losses:	1. Less than 12 Months	\$ 1,379,429
	2. 12 Months or Longer	\$ 3,091,006

- 5. The Company considers expected cash flows and amortized cost as a percentage of market value in determining that impairments are not other-than-temporary.

E. Dollar Repurchase Agreements and Securities Lending Transactions

The Company does not have any investments in dollar repurchase agreements.

The Company does not participate in any securities lending activities.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company does not have investments in repurchase agreements accounted for as secured borrowings.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company does not have investments in reverse repurchase agreements accounted for as secured borrowings.

H. Repurchase Agreements Transactions Accounted for as a Sale

The Company does not have investments in repurchase agreements accounted for as a sale.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

The Company does not have investments in reverse repurchase agreements accounted for as a sale.

Notes to Financial Statement

- J. Real Estate - No change
- K. Low-Income Housing Tax Credits - No change
- L. Restricted Assets - No change
- M. Working Capital Finance Investments

The Company does not have any working capital finance investments.

- N. Offsetting and Netting of Assets and Liabilities

The Company does not have any derivative, repurchase or reverse repurchase, or securities borrowing and securities lending assets and liabilities offset and reported net with a valid right of offset in accordance with SSAP No. 64.

- O. Structured Notes - No change
- P. 5* Securities - No change
- Q. Short Sales - No change
- R. Prepayment Penalty and Acceleration Fees - No change

6 Joint Ventures, Partnerships and Limited Liability Companies

No change

7 Investment Income

No change

8 Derivative Instruments

The Company did not own derivative instruments during the current year.

9 Income Taxes

The Company did not make any changes to tax accounting or tax strategy during the current year.

10 Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

The Company's affiliate Goodville Mutual Casualty Company (Goodville Mutual) entered into an affiliation agreement and loss portfolio transfer and 100% quota share reinsurance agreement with Reamstown Mutual Insurance Company (Reamstown Mutual) effective January 1, 2019. Reamstown Mutual is a Pennsylvania-domiciled property and casualty company writing business only in Pennsylvania. All three companies operate under a common board of directors and management team. Goodville Mutual's reinsurance of Reamstown Mutual is included in the pool to be allocated between Goodville Mutual and German Mutual per the terms of the reinsurance pooling agreement.

11 Debt

- A. The Company does not have any debt outstanding at September 30, 2019.
- B. The Company does not have any FHLB loan agreements at September 30, 2019.

12 Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

- A. The Company sponsors a postretirement health care benefit plan covering substantially all employees of the Company and members of the Board of Directors who reach retirement age while working for the Company, have at least 15 years of service, and were employed as of December 31, 2004. This plan also covers the employee's spouse who has reached retirement age. The Company has terminated this plan for all employees hired and directors elected after December 31, 2004. The Company does not sponsor a defined benefit pension plan or special or contractual termination benefit plan.

4. Components of net periodic benefit cost

	Postretirement Benefits	
	2019	2018
a. Service cost	\$ 62,579	\$ 115,384
b. Interest cost	71,574	72,903
c. Expected return on plan assets	-	-
d. Transition asset or obligation	181,309	241,745
e. Gains and losses	(44,878)	(30,686)
f. Prior service cost or credit	-	-
g. Gain or loss recognized due to a settlement or curtailment	-	-
h. Total net periodic benefit cost	\$ 270,584	\$ 399,346

Notes to Financial Statement

13 Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

No change

14 Liabilities, Contingencies and Assessments

No change

15 Leases

No change

16 Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No change

17 Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

- B. The Company did not enter into any transactions involving the transfer and servicing of financial assets.
- C. The Company did not enter into any wash sale transactions during the current year.

18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No change

19 Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No change

20 Fair Value Measurements

- A. The Company has used the following methods and assumptions to estimate the fair value of each class of financial instruments for which it is practicable to estimate:

Investments – The fair value of stocks are primarily based upon quoted market prices. The fair value of bonds are primarily based upon either quoted market prices or inputs derived principally from or corroborated from observable market information.

Cash and short-term investments - The carrying amounts reported in the statements of admitted assets, liabilities and policyholders’ surplus for these instruments approximate their fair values.

The Company ranks the quality and reliability of inputs, or assumptions, used in the determination of fair value and requires financial assets and liabilities carried or disclosed at fair value to be classified and disclosed in one of the following three categories:

Level 1 - Represents quoted prices in active markets for identical assets and liabilities. The Company considers U.S. treasury securities, covered call options and exchange-traded stocks to be Level 1 assets.

Level 2 - Represents financial assets whose fair value is determined based upon: quoted market prices for similar assets in active markets; quoted market prices for identical assets in inactive markets; inputs other than quoted market prices that are observable for the asset, such as interest rates or yield curves or other inputs derived principally from or corroborated from other observable market information. The Company considers all bonds, except U.S. treasury securities, to be Level 2 assets.

Level 3 - Represents financial assets whose fair value is determined based upon inputs that are unobservable.

Notes to Financial Statement

1. The following table presents the fair value measurements for financial instruments measured and reported at fair value as of September 30, 2019.

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Asset at fair value:					
Bonds:					
Industrial and Misc	\$ -	\$ 188,371	\$ -	\$ -	\$ 188,371
Total Bonds	\$ -	\$ 188,371	\$ -	\$ -	\$ 188,371
Common Stock:					
Industrial and Misc	\$ 9,939,837	\$ 14,637	\$ 22,215	\$ -	\$ 9,976,689
Total Common Stocks	\$ 9,939,837	\$ 14,637	\$ 22,215	\$ -	\$ 9,976,689
Preferred Stock:					
Industrial and Misc	\$ 240,078	\$ -	\$ -	\$ -	\$ 240,078
Total Preferred Stocks	\$ 240,078	\$ -	\$ -	\$ -	\$ 240,078
Total assets at fair value	\$ 10,179,915	\$ 203,008	\$ 22,215	\$ -	\$ 10,405,138
b. Liabilities at fair value:					
Derivative liabilities	\$ -	\$ -	\$ -	\$ -	\$ -
Total liabilities at fair value	\$ -	\$ -	\$ -	\$ -	\$ -

2. Changes in Level 3 assets are as follows:

Description	Beginning Balance at 7/1/2019	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance at 9/30/2019
a. Assets										
Common Stock	22,215									22,215
Total Assets	\$ 22,215	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 22,215
b. Liabilities	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total Liabilities	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

3. Transfers between levels are recognized at the end of the reporting period.
4. Fair value measurements are determined based upon quoted market prices for similar assets in active markets, quoted market prices for identical assets in inactive markets, inputs other than quoted market prices that are observable for the asset, such as interest rates or yield curves, or other inputs derived principally from or corroborated from other observable market information.
5. The Company does not hold any derivative assets or liabilities.

- C. The fair value of all financial instruments and their carrying value are as follows:

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 32,748,785	\$ 31,883,401	\$ -	\$ 32,748,785	\$ -	\$ -	\$ -
Common Stock	9,976,689	9,976,689	9,939,837	14,637	22,215	-	-
Preferred Stock	240,078	240,078	240,078	-	-	-	-
	\$ 42,965,552	\$ 42,100,168	\$ 10,179,915	\$ 32,763,422	\$ 22,215	\$ -	\$ -

- D. The Company does not hold any financial instruments for which it is not practicable to estimate fair value.
- E. The Company does not hold any investments at net asset value.

21 Other Items

No change

22 Events Subsequent

No change

23 Reinsurance

No change

24 Retrospectively Rated Contracts & Contracts Subject to Redetermination

The Company does not write any contracts with retroactive rated contract terms nor has it written any accident and health insurance premium that is subject to the ACA risk-sharing provisions.

Notes to Financial Statement

25 Incurred Losses and Loss Adjustment Expenses

- A. Loss and loss adjusting reserves as of December 31, 2018 were \$8,244,445. As of September 30, 2019, \$3,050,845 has been paid for incurred claims and claims adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$4,855,347 as a result of re-estimation of unpaid claims and claims adjustment expenses and settlement of prior year claims. Therefore, there has been \$338,253 favorable prior year development since December 31, 2018 to September 30, 2019. The favorable development is the result of changes in original estimates as additional information becomes known on individual claims, IBNR reserve changes, and settlement of individual claims at amounts that deviate from reserves. The activity and balances reflect the pooling arrangement.
- B. The Company did not make any significant changes in methodologies and assumptions used in calculating the liability for unpaid losses and loss adjustment expenses in the current year.

26 Intercompany Pooling Arrangements

- A. The Company participates in an intercompany pooling arrangement with Goodville Mutual (NAIC: 14044). The Company receives a 15% share of the total pool while Goodville Mutual retains 85% of the 100% pooling arrangement.
- B. The pooling arrangement provides for the cession of activity from all lines of insurance written, including premium, losses and loss adjustment expenses from the Company to Goodville Mutual. The Company and Goodville Mutual also share expenses related to their joint operations. These expenses are allocated in the same proportion as the reinsurance activity.
- C. All business ceded to non-affiliated reinsurers is recorded prior to the cession of pooled business and is included in the intercompany pooling arrangement.
- G. Amounts Receivable (Payable) from (to) Goodville Mutual under this arrangement at September 30, 2019 are as follows:

Reinsurance recoverable on loss and loss adjustment expenses	\$ 6,194
Premiums in course of collection	6,788,118
Receivable from affiliate for expenses	106,227
Ceded reinsurance premiums payable	199
Reinsurance payable on loss and loss adjustment expenses	(5,241,347)
Payable to affiliate for expenses	<u>(2,101,033)</u>
Net Receivable (Payable)	<u>\$ (441,642)</u>

27 Structured Settlements

No change

28 Health Care Receivables

No change

29 Participating Policies

No change

30 Premium Deficiency Reserves

No change

31 High Deductibles

No change

32 Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses

No change

Notes to Financial Statement

33 Asbestos/Environmental Reserves

No change

34 Subscriber Savings Accounts

No change

35 Multiple Peril Crop Insurance

No change

36 Financial Guaranty Insurance

The Company does not write financial guaranty insurance.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES
GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes[] No[X]
- 1.2 If yes, has the report been filed with the domiciliary state?

Yes[] No[] N/A[X]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes[] No[X]
- 2.2 If yes, date of change:

.....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

Yes[X] No[]
- If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes[] No[X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes:
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group?

Yes[] No[X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes[] No[X]
- If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile
.....

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?

If yes, attach an explanation.

Yes[] No[] N/A[X]
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made.

..... 12/31/2016
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

..... 12/31/2016
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

..... 05/25/2018
- 6.4 By what department or departments?

Ohio Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes[] No[] N/A[X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with?

Yes[X] No[] N/A[]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes[] No[X]
- 7.2 If yes, give full information
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes[] No[X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms?

Yes[] No[X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
..... No No No No

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

(c) Compliance with applicable governmental laws, rules and regulations;

(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

(e) Accountability for adherence to the code.

Yes[X] No[]
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended?

Yes[] No[X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers?

Yes[] No[X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes[X] No[]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$ 0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes[] No[X]
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$ 0
13. Amount of real estate and mortgages held in short-term investments:

\$ 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes[] No[X]

GENERAL INTERROGATORIES (Continued)

INVESTMENT

14.2 If yes, please complete the following:

		1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21	Bonds		
14.22	Preferred Stock		
14.23	Common Stock		
14.24	Short-Term Investments		
14.25	Mortgages Loans on Real Estate		
14.26	All Other		
14.27	Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)		
14.28	Total Investment in Parent included in Lines 14.21 to 14.26 above		

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes[] No[X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?

Yes[] No[] N/A[X]

If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$ 0

16.3 Total payable for securities lending reported on the liability page

\$ 0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?

Yes[X] No[]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Wells Fargo Bank, N.A.	420 Montgomery Street, San Francisco, CA 44104 ...

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?

Yes[] No[X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....

17.5 Investment management - Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. [" that have access to the investment accounts"; " handle securities"]

1 Name of Firm or Individual	2 Affiliation
James M Harder	I
David C Gautsche	I
Allon H Lefever	I
Philip W Shirk	I
Chicago Equity Partners, LLC	U
Asset Allocation and Management Company, LLC	U
The Concord Advisory Group LTD	U
Zazove Associates LLC	U

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets?

Yes[X] No[]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets?

Yes[X] No[]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
109421	Chicago Equity Partners, LLC	SEC	NO
109875	Asset Allocation and Management Company, LLC	SEC	NO
107291	The Concord Advisory Group LTD	SEC	NO

GENERAL INTERROGATORIES (Continued)

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
104751	Zazove Associates LLC	SEC NO

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed?

18.2 If no, list exceptions:

Yes[X] No[]

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.

b. Issuer or obligor is current on all contracted interest and principal payments.

c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities?

Yes[] No[X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:

a. The security was purchased prior to January 1, 2018 .

b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.

c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.

d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities?

Yes[] No[X]

GENERAL INTERROGATORIES

PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change?
If yes, attach an explanation.

Yes[] No[X] N/A[]
2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured?
If yes, attach an explanation.

Yes[] No[X]
- 3.1 Have any of the reporting entity's primary reinsurance contracts been canceled?

Yes[] No[X]
- 3.2 If yes, give full and complete information thereto
- 4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see annual statement instructions pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero?

Yes[] No[X]
- 4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Discount Rate	TOTAL DISCOUNT				DISCOUNT TAKEN DURING PERIOD			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 TOTAL
04.2999 Total

5. Operating Percentages:

5.1 A&H loss percent

5.2 A&H cost containment percent

5.3 A&H expense percent excluding cost containment expenses

.....0.000%

.....0.000%

.....0.000%
- 6.1 Do you act as a custodian for health savings accounts?

Yes[] No[X]
- 6.2 If yes, please provide the amount of custodial funds held as of the reporting date.

\$.....0
- 6.3 Do you act as an administrator for health savings accounts?

Yes[] No[X]
- 6.4 If yes, please provide the balance of the funds administered as of the reporting date.

\$.....0
7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?

Yes[] No[X]
- 7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?

Yes[X] No[]

SCHEDULE F - CEDED REINSURANCE
Showing all new reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
			NONE			

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

		1	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
			2	3	4	5	6	7
States, etc.		Active Status (a)	Current Year To Date	Prior Year To Date	Current Year To Date	Prior Year To Date	Current Year To Date	Prior Year To Date
1.	Alabama (AL)	N						
2.	Alaska (AK)	N						
3.	Arizona (AZ)	N						
4.	Arkansas (AR)	N						
5.	California (CA)	N						
6.	Colorado (CO)	N						
7.	Connecticut (CT)	N						
8.	Delaware (DE)	N						
9.	District of Columbia (DC)	N						
10.	Florida (FL)	N						
11.	Georgia (GA)	N						
12.	Hawaii (HI)	N						
13.	Idaho (ID)	N						
14.	Illinois (IL)	N						
15.	Indiana (IN)	N						
16.	Iowa (IA)	N						
17.	Kansas (KS)	N						
18.	Kentucky (KY)	N						
19.	Louisiana (LA)	N						
20.	Maine (ME)	N						
21.	Maryland (MD)	N						
22.	Massachusetts (MA)	N						
23.	Michigan (MI)	N						
24.	Minnesota (MN)	N						
25.	Mississippi (MS)	N						
26.	Missouri (MO)	N						
27.	Montana (MT)	N						
28.	Nebraska (NE)	N						
29.	Nevada (NV)	N						
30.	New Hampshire (NH)	N						
31.	New Jersey (NJ)	N						
32.	New Mexico (NM)	N						
33.	New York (NY)	N						
34.	North Carolina (NC)	N						
35.	North Dakota (ND)	N						
36.	Ohio (OH)	L			(3,073)	689,580	31,671	49,777
37.	Oklahoma (OK)	N						
38.	Oregon (OR)	N						
39.	Pennsylvania (PA)	N						
40.	Rhode Island (RI)	N						
41.	South Carolina (SC)	N						
42.	South Dakota (SD)	N						
43.	Tennessee (TN)	N						
44.	Texas (TX)	N						
45.	Utah (UT)	N						
46.	Vermont (VT)	N						
47.	Virginia (VA)	N						
48.	Washington (WA)	N						
49.	West Virginia (WV)	N						
50.	Wisconsin (WI)	N						
51.	Wyoming (WY)	N						
52.	American Samoa (AS)	N						
53.	Guam (GU)	N						
54.	Puerto Rico (PR)	N						
55.	U.S. Virgin Islands (VI)	N						
56.	Northern Mariana Islands (MP)	N						
57.	Canada (CAN)	N						
58.	Aggregate other alien (OT)	X X X						
59.	Totals	X X X			(3,073)	689,580	31,671	49,777
DETAILS OF WRITE-INS								
58001.....	X X X							
58002.....	X X X							
58003.....	X X X							
58998Summary of remaining write-ins for Line 58 from overflow page	X X X							
58999TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above)	X X X							

(a) Active Status Counts:

L Licensed or Chartered - Licensed insurance carrier or domiciled RRG

E Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile See DSLI)

D Domestic Surplus Lines Insurer (DSLII) Reporting entities authorized to write surplus lines in the state of domicile.

1

R Registered - Non-domiciled RRGs

Q Qualified - Qualified or accredited reinsurer

N None of the above Not allowed to write business in the state

56

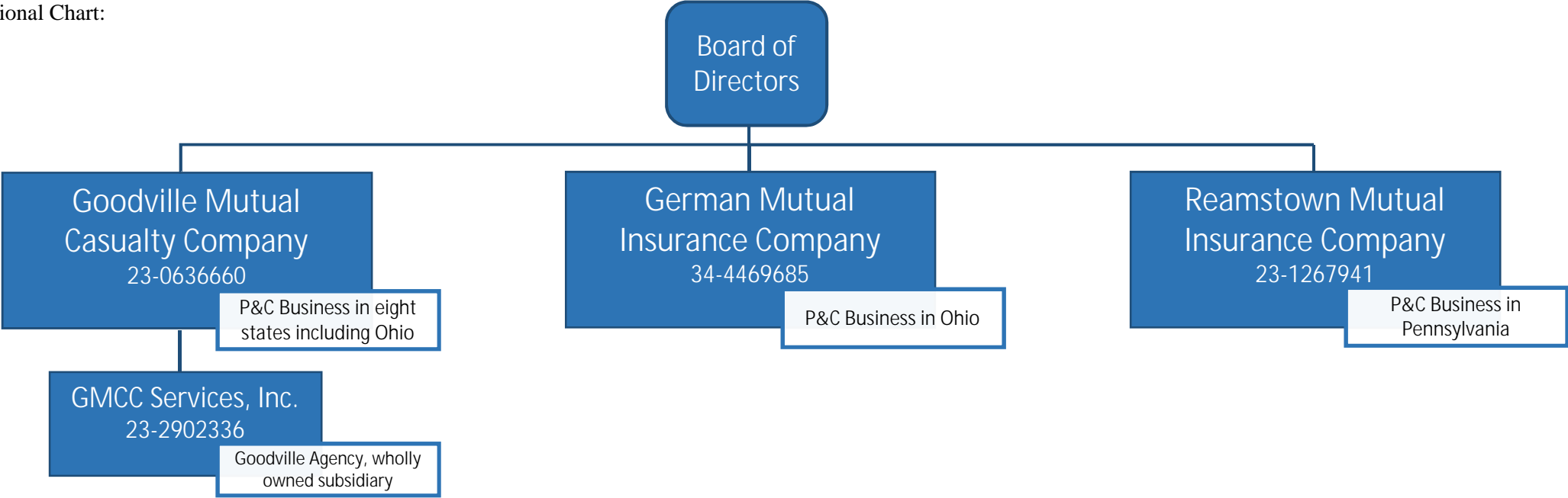
Q10

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER
MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

Goodville Mutual Casualty Company affiliated with German Mutual Insurance Company on July 1, 2013 and with Reamstown Mutual Insurance Company on January 1, 2019. The three companies currently operate under common management and boards of directors. GMCC Services, Inc. is a currently inactive wholly owned subsidiary of Goodville Mutual Casualty Company. The reporting company is a Mutual Company with no single person(s) (that includes natural person) deemed to be an ultimate controlling person.

ORGANIZATIONAL LISTING:

- 1. NAIC Group Code – 4787
- 2. Group Name – Goodville Mutual Insurance Group
- 3. NAIC Company Code – 17884
- 4. State of Domicile – Ohio
- 5. FEI Number – 34-4469685
- 6. Name of Company – German Mutual Insurance Company
- 7. Organizational Chart:



SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Comp-any Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domic-iliary Loca-tion	Relation-ship to Report-ing Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	Is an SCA Filing Required? (Y/N)	*
4787 ..	Goodville Mutual Insurance Group	17884	34-4469685	German Mutual Insurance Company OH RE ...	Goodville Mutual Insurance Group	Board of Directors, Management	Goodville Mutual Insurance Group N	0000001
4787 ..	Goodville Mutual Insurance Group	14044	23-0636660	Goodville Mutual Casualty Company PA IA ...	Goodville Mutual Insurance Group	Board of Directors, Management	Goodville Mutual Insurance Group N	0000001
.....	00000	23-2902336	GMCC Services, Inc. PA OTH ..	Goodville Mutual Casualty Company	Ownership 100.0	Goodville Mutual Casualty Company N	0000002
4787 ..	Goodville Mutual Insurance Group	17728	23-1267941	Reamstown Mutual Insurance Company PA OTH ..	Goodville Mutual Insurance Group	Board of Directors, Management	Goodville Mutual Insurance Group N	0000003

Asterisk	Explanation
0000001	German Mutual and Goodville Mutual are affiliated through a 100% pooling arrangement and operate under common management.
0000002	GMCC Services, Inc. is inactive, but available to do business in an insurance agency capacity. GMCC Services is a wholly-owned subsidiary of Goodville Mutual.
0000003	Goodville Mutual and Reamstown Mutual are affiliated through a 100% reinsurance agreement and service agreement and operate under common management.

STATEMENT AS OF **September 30, 2019** OF THE **GERMAN MUTUAL INSURANCE COMPANY**

PART 1 - LOSS EXPERIENCE

Line of Business		Current Year to Date			4 Prior Year to Date Direct Loss Percentage
		1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1.	Fire				
2.	Allied lines				
3.	Farmowners multiple peril				
4.	Homeowners multiple peril		(26,600)		
5.	Commercial multiple peril				
6.	Mortgage guaranty				
8.	Ocean marine				
9.	Inland marine				
10.	Financial guaranty				
11.1	Medical professional liability - occurrence				
11.2	Medical professional liability - claims made				
12.	Earthquake				
13.	Group accident and health				
14.	Credit accident and health				
15.	Other accident and health				
16.	Workers' compensation				
17.1	Other liability - occurrence				
17.2	Other liability - claims made				
17.3	Excess Workers' Compensation				
18.1	Products liability - occurrence				
18.2	Products liability - claims made				
19.1	19.2 Private passenger auto liability		4,100		
19.3	19.4 Commercial auto liability				
21.	Auto physical damage		(2,231)		
22.	Aircraft (all perils)				
23.	Fidelity				
24.	Surety				
26.	Burglary and theft				
27.	Boiler and machinery				
28.	Credit				
29.	International				
30.	Warranty				
31.	Reinsurance-Nonproportional Assumed Property	X X X	X X X	X X X	X X X
32.	Reinsurance-Nonproportional Assumed Liability	X X X	X X X	X X X	X X X
33.	Reinsurance-Nonproportional Assumed Financial Lines	X X X	X X X	X X X	X X X
34.	Aggregate write-ins for other lines of business				
35.	TOTALS		(24,731)		
DETAILS OF WRITE-INS					
3401.				
3402.				
3403.				
3498.	Summary of remaining write-ins for Line 34 from overflow page				
3499.	TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)				

PART 2 - DIRECT PREMIUMS WRITTEN

Line of Business		1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1.	Fire			
2.	Allied lines			
3.	Farmowners multiple peril			
4.	Homeowners multiple peril			
5.	Commercial multiple peril			
6.	Mortgage guaranty			
8.	Ocean marine			
9.	Inland marine			
10.	Financial guaranty			
11.1	Medical professional liability - occurrence			
11.2	Medical professional liability - claims made			
12.	Earthquake			
13.	Group accident and health			
14.	Credit accident and health			
15.	Other accident and health			
16.	Workers' compensation			
17.1	Other liability - occurrence			
17.2	Other liability - claims made			
17.3	Excess Workers' Compensation			
18.1	Products liability - occurrence			
18.2	Products liability - claims made			
19.1	19.2 Private passenger auto liability			
19.3	19.4 Commercial auto liability			
21.	Auto physical damage			
22.	Aircraft (all perils)			
23.	Fidelity			
24.	Surety			
26.	Burglary and theft			
27.	Boiler and machinery			
28.	Credit			
29.	International			
30.	Warranty			
31.	Reinsurance-Nonproportional Assumed Property	X X X	X X X	X X X
32.	Reinsurance-Nonproportional Assumed Liability	X X X	X X X	X X X
33.	Reinsurance-Nonproportional Assumed Financial Lines	X X X	X X X	X X X
34.	Aggregate write-ins for other lines of business			
35.	TOTALS			
DETAILS OF WRITE-INS				
3401.			
3402.			
3403.			
3498.	Summary of remaining write-ins for Line 34 from overflow page			
3499.	TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)			

PART 3 (000 omitted)
LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

		1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred		Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2019 Loss and LAE Payments on Claims Reported as of Prior Year-End	2019 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2019 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 11 + 12)
1.	2016 + Prior	1,352	601	1,953	571	19	590	958	55	390	1,403	177	(137)	40
2.	2017	1,489	662	2,151	625	88	713	818	154	376	1,348	(46)	(44)	(90)
3.	Subtotals 2017 + Prior	2,841	1,263	4,104	1,196	107	1,303	1,776	209	766	2,751	131	(181)	(50)
4.	2018	2,917	1,223	4,140	1,399	349	1,748	1,234	281	589	2,104	(284)	(4)	(288)
5.	Subtotals 2018 + Prior	5,758	2,486	8,244	2,595	456	3,051	3,010	490	1,355	4,855	(153)	(185)	(338)
6.	2019	X X X	X X X	X X X	X X X	10,170	10,170	X X X	3,641	1,574	5,215	X X X	X X X	X X X
7.	Totals	5,758	2,486	8,244	2,595	10,626	13,221	3,010	4,131	2,929	10,070	(153)	(185)	(338)
8.	Prior Year-End Surplus As Regards Policyholders	19,649										Col. 11, Line 7 As % of Col. 1 Line 7	Col. 12, Line 7 As % of Col. 2 Line 7	Col. 13, Line 7 As % of Col. 3 Line 7
												1..... (2.657)	2..... (7.442)	3..... (4.100)
														Col. 13, Line 7 Line 8
													4..... (1.720)

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	RESPONSES
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	No
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	No
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	No
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	No

Explanations:

Bar Codes:

Trusteed Surplus Statement



Supplement A to Schedule T



Medicare Part D Coverage Supplement



Director and Officer Supplement



STATEMENT AS OF **September 30, 2019** OF THE **GERMAN MUTUAL INSURANCE COMPANY**
SCHEDULE A - VERIFICATION

Real Estate		
	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	1,301,730	1,237,190
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition	75,540	117,198
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other-than-temporary impairment recognized		
8. Deduct current year's depreciation	41,031	52,658
9. Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8)	1,336,239	1,301,730
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)	1,336,239	1,301,730

SCHEDULE B - VERIFICATION

Mortgage Loans		
	1	2
	Year To Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest poin		
9. Total foreign exchange change in book value/recorded inve		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets		
	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION

Bonds and Stocks		
	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	38,226,761	34,139,046
2. Cost of bonds and stocks acquired	14,091,769	18,723,018
3. Accrual of discount	20,653	14,954
4. Unrealized valuation increase (decrease)	1,163,633	(1,435,600)
5. Total gain (loss) on disposals	180,745	532,165
6. Deduct consideration for bonds and stocks disposed of	11,490,400	13,613,845
7. Deduct amortization of premium	92,994	126,438
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other-than-temporary impairment recognized		6,540
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees		
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9 + 10)	42,100,168	38,226,761
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	42,100,168	38,226,761

QSI02

SCHEDULE D - PART 1B
Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	28,929,124	2,568,697	2,870,186	(26,239)	27,420,985	28,929,124	28,601,395	27,898,071
2. NAIC 2 (a)	1,909,693	554,296	87,497	(4,797)	1,798,641	1,909,693	2,371,695	1,089,197
3. NAIC 3 (a)	275,415	122,548	50,538	4,745	275,555	275,415	352,170	376,225
4. NAIC 4 (a)	580,540	183,488	205,765	(122)	515,855	580,540	558,140	436,743
5. NAIC 5 (a)								58,269
6. NAIC 6 (a)								
7. Total Bonds	31,694,773	3,429,029	3,213,987	(26,414)	30,011,036	31,694,773	31,883,401	29,858,504
PREFERRED STOCK								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3	164,490	24,063	54,829	(1,296)	217,445	164,490	132,428	200,200
11. NAIC 4	105,270		2,362	4,742	106,150	105,270	107,650	98,450
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock	269,760	24,063	57,190	3,446	323,595	269,760	240,078	298,650
15. Total Bonds & Preferred Stock	31,964,533	3,453,091	3,271,177	(22,968)	30,334,631	31,964,533	32,123,479	30,157,154

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0

SI03 Schedule DA Part 1 NONE

SI03 Schedule DA Verification NONE

SI04 Schedule DB - Part A Verification NONE

SI04 Schedule DB - Part B Verification NONE

SI05 Schedule DB Part C Section 1 NONE

SI06 Schedule DB Part C Section 2 NONE

SI07 Schedule DB - Verification NONE

SCHEDULE E - PART 2 - VERIFICATION
(Cash Equivalents)

		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	122,480	607,734
2.	Cost of cash equivalents acquired	8,462,392	12,066,910
3.	Accrual of discount		
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals		
6.	Deduct consideration received on disposals	8,120,084	12,552,164
7.	Deduct amortization of premium		
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other-than-temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	464,789	122,480
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	464,789	122,480

SCHEDULE A - PART 2
Showing all Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Description of Property	Location		4 Date Acquired	5 Name of Vendor	6 Actual Cost at Time of Acquisition	7 Amount of Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances	9 Additional Investment Made After Acquisition
	2 City	3 State						
Acquired by Purchase								
Office building held for the production of income	Napoleon	OH ...	09/30/2019 ..	Various				36,436
Land held for the production of income	Napoleon	OH ...	09/30/2019 ..	Napoleon Area Schools				1,500
0199999 Subtotal - Acquired by Purchase								37,936
0399999 Totals								37,936

SCHEDULE A - PART 3
Showing All Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract"

1 Description of Property	Location		4 Disposal Date	5 Name of Purchaser	6 Actual Cost	7 Expended for Additions, Permanent Improvements and Changes in Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances Prior Year	Change in Book/Adjusted Carrying Value Less Encumbrances					14 Book/Adjusted Carrying Value Less Encumbrances on Disposal	15 Amounts Received During Year	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	19 Gross Income Earned Less Interest Incurred on Encumbrances	20 Taxes, Repairs and Expenses Incurred
	2 City	3 State						9 Current Year's Depreciation	10 Current Year's Other-Than- Temporary Impairment Recognized	11 Current Year's Change in Encumbrances	12 Total Change in B/A C.V. (11 - 9 - 10)	13 Total Foreign Exchange Change in B/A C.V.							
								N O N E											
0399999 Totals

E02 Schedule B Part 2 NONE

E02 Schedule B Part 3 NONE

E03 Schedule BA Part 2 NONE

E03 Schedule BA Part 3 NONE

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol/ Market Indicator (a)
Bonds - U.S. States, Territories and Possessions									
2350364P2 ..	DALLAS-FORT WORTH TX 2.43% 11/1/28		08/08/2019 ..	CHASE	X X X	250,000	250,000		1FE
977100GH3 ..	WISCONSIN ST GEN FUN 3.154% 5/1/27		08/06/2019 ..	KEYBANC CAPITAL MARKETS INC	X X X	106,701	100,000	850	1FE
1799999 Subtotal - Bonds - U.S. States, Territories and Possessions					X X X	356,701	350,000	850	X X X
Bonds - U.S. Special Revenue, Special Assessment									
3138LLMZ5 ..	FNMA POOL #AN7575 2.860% 12/1/27		07/10/2019 ..	BREAN CAPITAL LLC	X X X	308,063	300,000	334	1
3199999 Subtotal - Bonds - U.S. Special Revenue, Special Assessment					X X X	308,063	300,000	334	X X X
Bonds - Industrial and Miscellaneous (Unaffiliated)									
021369AA1 ..	ALTAIR ENGINEERING 0.250% 6/1/24		08/23/2019 ..	GOLDMAN SACHS & cO	X X X	4,953	5,000	3	1FE
02156BAE3 ..	ALTERYX INC 1.00% 8/1/26		09/25/2019 ..	VARIOUS	X X X	19,518	20,000	25	1FE
03066HAD9 ..	AMERICREDIT AUTOMOBIL 3.0% 12/19/22		07/17/2019 ..	MIZHUO SECURITIES USA INC	X X X	251,914	250,000	21	1FE
032654AM7 ..	ANALOG DEVICES INC 3.125% 12/5/23		07/17/2019 ..	CITIGROUP GLOBAL MKTS	X X X	153,098	150,000	573	2FE
100743AL7 ..	BOSTON GAS COMPA 3.001% 8/1/29		07/24/2019 ..	Morgan Stanley	X X X	25,000	25,000		1FE
125523AH3 ..	CIGNA CORP 4.375% 10/15/28		08/28/2019 ..	WELLS FARGO SECURITIES	X X X	124,664	125,000		1FE
223622AE1 ..	COWEN GROUP INC CLAS 3.0% 12/15/22		07/15/2019 ..	NOMURA SECURITIES INTERNATIONAL	X X X	5,510	5,000	13	2FE
22549JY95 ..	CREDIT SUISSE AG LONDON 3/25/24		08/07/2019 ..	CREDIT SUISSE SECURITIES	X X X	150,000	150,000		1FE
25155MKU4 ..	DEUTSCHE BANK AG LON 1.00% 5/1/23		09/18/2019 ..	DEUTSCHE BANC ALEX.BROWN	X X X	25,575	25,000	97	2FE
252131AG2 ..	DEXCOM INC 0.750% 12/1/23		09/09/2019 ..	VARIOUS	X X X	68,758	60,000	92	1FE
23291FAC0 ..	DLL SECURITIZATION 2.140% 12/15/21		07/25/2019 ..	BANC/AMERICA SECU.LLC	X X X	99,883	100,000	83	1FE
30034WAA4 ..	EVERGY INC 2.450% 9/15/24		09/05/2019 ..	MITSUBISHI UFJ SECURITIES	X X X	99,826	100,000		2FE
302491AU9 ..	FMC CORP 3.450% 10/1/29		09/18/2019 ..	CITIGROUP GLOBAL MKTS	X X X	65,302	65,000		2FE
44932KAA4 ..	IAC FINANCECO 3 INC 2.00% 1/15/30		07/26/2019 ..	WELLS FARGO SECURITIES	X X X	71,365	70,000		1FE
452327AH2 ..	ILLUMINA INC PFD SRB 0.50% 6/15/21		09/10/2019 ..	VARIOUS	X X X	59,461	45,000	26	2FE
45765UAA1 ..	INSIGHT ENTERPRS 0.750% 2/15/25		08/13/2019 ..	J.P. Morgan Securites Inc	X X X	10,000	10,000		1FE
45867GAA9 ..	INTERDIGITAL INC 2.00% 6/1/24		08/23/2019 ..	BARCLAYS CAPITAL INC	X X X	23,628	25,000	112	1FE
629377CG5 ..	NRG ENERGY INC 2.750% 6/1/48		07/16/2019 ..	BARCLAYS CAPITAL INC	X X X	42,399	40,000	144	1FE
679295AC9 ..	OKTA INC 0.125% 9/1/25		09/25/2019 ..	BARCLAYS CAPITAL INC	X X X	23,028	25,000	2	1FE
682189AP0 ..	ON SEMICONDUCTOR COR 1.6% 10/15/23		08/05/2019 ..	NOMURA SECURITIES INTERNATIONAL	X X X	5,845	5,000	25	2FE
68389XBK0 ..	ORACLE CORP 1.900% 9/15/21		08/20/2019 ..	MITSUBISHI UFJ SECURITIES	X X X	149,927	150,000	1,243	1FE
697435AD7 ..	PALO ALTO NETWORKS 0.750% 7/1/23		08/07/2019 ..	WELLS FARGO SECURITIES	X X X	147,290	145,000		4FE
741503AX4 ..	PRICELINE.COM, INCOR 0.90% 9/15/21		07/11/2019 ..	VARIOUS	X X X	39,932	35,000	104	1FE
81746KAD6 ..	SEQUOIA MORTGAGE 3.500% 3/25/47		07/17/2019 ..	GOLDMAN SACHS & cO	X X X	228,138	224,560	393	1FE
824348BJ4 ..	SHERWIN-WILLIAMS CO 2.950% 8/15/29		08/12/2019 ..	CITIGROUP GLOBAL MKTS	X X X	64,784	65,000		2FE
848637AC8 ..	SPLUNK INC 0.500% 9/15/23		09/23/2019 ..	WELLS FARGO SECURITIES	X X X	15,000	15,000		3FE
848637AB0 ..	SPLUNK INC 1.125% 9/15/25		08/26/2019 ..	GOLDMAN SACHS & cO	X X X	5,409	5,000	25	1FE
848637AD6 ..	SPLUNK INC 1.125% 9/15/25		09/23/2019 ..	WELLS FARGO SECURITIES	X X X	55,661	50,000		3FE
852234AD5 ..	SQUARE INC 0.500% 5/15/23		09/23/2019 ..	BARCLAYS CAPITAL INC	X X X	16,160	15,000	27	4FE
883556BZ4 ..	THERMO FISHER SCIENT 2.6% 10/01/29		09/24/2019 ..	BANC/AMERICA SECU.LLC	X X X	74,896	75,000		2FE
87264JAG1 ..	TMSQ 3.8349% 10/10/36		07/24/2019 ..	PERFORMANCE TRUST EXECUTING	X X X	105,563	100,000	266	1FE
89231XAA9 ..	TOYOTA AUTO LOAN EXT 2.5% 11/25/31		07/25/2019 ..	CITIGROUP GLOBAL MKTS	X X X	202,031	200,000	57	1FE
90932JAA0 ..	UNITED AIR 2019-2 AA 2.70% 5/1/32		09/03/2019 ..	CREDIT RESERCH & TRADING LLC	X X X	125,000	125,000		1FE
F9773GUP7 ..	VINCI SA 0.375% 2/16/22		09/26/2019 ..	EUROCLEAR CONFIDENTIAL	X X X	132,825	110,000	50	1FE
98138HAF8 ..	WORKDAY INC 0.250% 10/1/22		09/03/2019 ..	MERRILL LYNCH	X X X	20,038	15,000	16	4FE
92940PAF1 ..	WRKCO INC 4.200% 6/1/32		07/16/2019 ..	BARCLAYS CAPITAL INC	X X X	51,887	50,000	338	3FE
3899999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					X X X	2,764,265	2,679,560	3,736	X X X
8399997 Subtotal - Bonds - Part 3					X X X	3,429,029	3,329,560	4,919	X X X
8399998 Summary Item from Part 5 for Bonds (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
8399999 Subtotal - Bonds					X X X	3,429,029	3,329,560	4,919	X X X
Preferred Stocks - Industrial and Miscellaneous (Unaffiliated)									
00170F209 ..	AFFILIATED MANAGERS GROUP		07/18/2019 ..	CITIGROUP GLOBAL MKTS	500.000	24,063	50.00		RP3FEU

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol/ Market Indicator (a)
8499999	Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated)				X X X	24,063	X X X		X X X
8999997	Subtotal - Preferred Stocks - Part 3				X X X	24,063	X X X		X X X
8999998	Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X
8999999	Subtotal - Preferred Stocks				X X X	24,063	X X X		X X X
Common Stocks - Industrial and Miscellaneous (Unaffiliated)									
015351109	ALEXION PHARMACEUTICALS INC		08/15/2019	JEFFRIES & COMPANY	130.000	14,260	X X X		L
020002101	ALLSTATE CORP		09/12/2019	SG AMERICAS SECURITIES	175.000	18,644	X X X		L
039651100	ARCONIC INC		08/08/2019	WELLS FARGO SECURITIES	375.000	9,543	X X X		L
00206R102	AT & T INC		09/12/2019	WELLS FARGO SECURITIES	715.000	27,225	X X X		L
05329W102	AUTONATION INC COM		08/08/2019	MORGAN KEEGAN & CO	570.000	27,394	X X X		L
071813109	BAXTER INTL INC		08/08/2019	JEFFRIES & COMPANY	220.000	18,853	X X X		L
09857L108	BOOKING HOLDINGS INC		09/12/2019	RBC CAPITAL MARKETS	15.000	30,685	X X X		L
110122108	BRISTOL MYERS SQUIBB CO		09/12/2019	SG AMERICAS SECURITIES	385.000	19,247	X X X		L
G16962105	BUNGE LIMITED		09/16/2019	JEFFRIES & COMPANY	575.000	33,160	X X X		L
151020104	CELGENE CORP COM		09/12/2019	JEFFRIES & COMPANY	230.000	22,752	X X X		L
16934Q208	CHIMERA INVESTMENT CORP		09/12/2019	INVESTMENT TECHNOLOGY GR	1,065.000	20,899	X X X		L
125523100	CIGNA CORP		08/09/2019	JEFFRIES & COMPANY	90.000	15,165	X X X		L
172967424	CITIGROUP INC		08/16/2019	VARIOUS	1,415.000	96,325	X X X		L
22052L104	CORTEVA INC		09/12/2019	SG AMERICAS SECURITIES	885.000	26,438	X X X		L
22160K105	COSTCO WHOLESALE CORP		08/08/2019	JEFFRIES & COMPANY	30.000	8,245	X X X		L
126650100	CVS HEALTH CORPORATION		08/08/2019	RBC CAPITAL MARKETS	375.000	22,126	X X X		L
23918K108	DAVITA INC		09/12/2019	VIRTU AMERICAS LLC	315.000	18,982	X X X		L
254709108	DISCOVER FINANCIAL SERVICES		09/12/2019	VARIOUS	670.000	54,732	X X X		L
278642103	EBAY INC		08/14/2019	VARIOUS	1,090.000	44,122	X X X		L
30212P303	EXPEDIA INC		08/13/2019	JEFFRIES & COMPANY	155.000	20,089	X X X		L
370334104	GENERAL MILLS INC		08/09/2019	VARIOUS	850.000	45,849	X X X		L
458140100	INTEL CORP		09/12/2019	COWEN & CO	430.000	22,775	X X X		L
G50871105	JAZZ PHARMACEUTICALS PLC		09/12/2019	RBC CAPITAL MARKETS	115.000	15,257	X X X		L
G51502105	JOHNSON CTLS INTL PLC		08/08/2019	JEFFRIES & COMPANY	720.000	30,696	X X X		L
49338L103	KEYSIGHT TECHNOLOGIES INC		09/12/2019	VARIOUS	175.000	16,167	X X X		L
50540R409	LABORATORY CRP OF AMER HLDGS		08/09/2019	JEFFRIES & COMPANY	65.000	10,717	X X X		L
512807108	LAM RESEARCH CORP COM		09/12/2019	RBC CAPITAL MARKETS	50.000	11,758	X X X		L
548661107	LOWES COS INC		09/12/2019	CONVERGEX, LLC	130.000	14,891	X X X		L
58933Y105	MERCK & CO INC NEW		08/08/2019	SG AMERICAS SECURITIES	260.000	22,002	X X X		L
55272X102	MFA MTG INVTs INC		09/20/2019	JEFFRIES & COMPANY	1,505.000	11,200	X X X		L
651229106	NEWELL RUBBERMAID INC		09/13/2019	VARIOUS	1,990.000	33,529	X X X		L
62944T105	NVR INC COM		08/08/2019	JEFFRIES & COMPANY	5.000	17,564	X X X		L
679580100	OLD DOMINION FREIGHT LINES INC		09/12/2019	RBC CAPITAL MARKETS	105.000	18,223	X X X		L
681936100	OMEGA HEALTHCARE INVS INC COM		08/12/2019	VARIOUS	1,360.000	51,979	X X X		L
693718108	PACCAR INC		08/09/2019	RBC CAPITAL MARKETS	870.000	56,836	X X X		L
695263103	PACWEST BANCORP		09/25/2019	JEFFRIES & COMPANY	1,305.000	46,060	X X X		L
74251V102	PRINCIPAL FINANCIAL GROUP		08/15/2019	JEFFRIES & COMPANY	330.000	17,445	X X X		L
76131V202	RETAIL PROPERTIES OF AME-A		09/17/2019	JEFFRIES & COMPANY	1,290.000	15,547	X X X		L
816851109	SEMPRA ENERGY COM		09/12/2019	RBC CAPITAL MARKETS	180.000	25,812	X X X		L
855244109	STARBUCKS CORP COM		08/09/2019	JEFFRIES & COMPANY	75.000	7,235	X X X		L
74144T108	T ROWE PRICE GROUP INC		08/09/2019	COWEN & CO	100.000	10,828	X X X		L
872590104	T-MOBILE US INC		08/08/2019	INSTINET	280.000	21,785	X X X		L
874054109	TAKE-TWO INTERACTIVE SOFTWARE		09/13/2019	JEFFRIES & COMPANY	300.000	38,011	X X X		L
87612E106	TARGET CORP		08/08/2019	SG AMERICAS SECURITIES	215.000	18,122	X X X		L
G87110105	TECHNIPFMC LTD		09/12/2019	SG AMERICAS SECURITIES	695.000	17,571	X X X		L
95058W100	THE WENDY'S COMPANY		08/08/2019	JEFFRIES & COMPANY	385.000	7,728	X X X		L
907818108	UNION PACIFIC CORP		09/12/2019	RBC CAPITAL MARKETS	110.000	18,432	X X X		L
918204108	V F CORP		08/08/2019	JEFFRIES & COMPANY	430.000	35,906	X X X		L
92339V100	VEREIT, INC.		09/12/2019	INSTINET	3,565.000	34,841	X X X		L

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol/ Market Indicator (a)
92343V104 ..	VERIZON COMMUNICATIONS 08/08/2019 ..	COWEN & CO	320.000	17,842	X X X	L
92939U106 ..	WEC ENERGY GROUP INC 09/12/2019 ..	RBC CAPITAL MARKETS	270.000	24,785	X X X	L
955306105 ...	WEST PHARMACEUTICAL SERVICES 09/17/2019 ..	JEFFRIES & COMPANY	230.000	32,957	X X X	L
963320106 ...	WHIRLPOOL CORP 08/09/2019 ..	JEFFRIES & COMPANY	105.000	14,472	X X X	L
98389B100 ...	XCEL ENERGY INC 08/08/2019 ..	JEFFRIES & COMPANY	380.000	23,436	X X X	L
9099999 Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)					X X X	1,357,142	X X X	X X X
Common Stocks - Mutual Funds									
25239Y626 ..	DFA INTL SOCIAL CORE EQUITY #5712 07/01/2019 ..	WELLS FARGO SECURITIES	1,278.124	15,849	X X X	L
9299999 Subtotal - Common Stocks - Mutual Funds					X X X	15,849	X X X	X X X
9799997 Subtotal - Common Stocks - Part 3					X X X	1,372,991	X X X	X X X
9799998 Summary Item from Part 5 for Common Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
9799999 Subtotal - Common Stocks					X X X	1,372,991	X X X	X X X
9899999 Subtotal - Preferred and Common Stocks					X X X	1,397,054	X X X	X X X
9999999 Total - Bonds, Preferred and Common Stocks					X X X	4,826,082	X X X	4,919	X X X

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation and Admini- strative Symbol/ Market Indicator (a)	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11 + 12 - 13)	15 Total Foreign Exchange Change in B./A.C.V.								
CUSIP Identification	Description																					
Bonds - U.S. Governments																						
36230MFL6	GNMA POOL #752871 3.850% 7/15/36	09/15/2019	PRINCIPAL RECEIPT	X X X	1,396	1,396	1,392	1,392		4		4		1,396					34	07/15/2036	1	
3617A0A63	GNMA POOL #A00029 3.870% 10/15/36	09/15/2019	PRINCIPAL RECEIPT	X X X	2,190	2,190	2,212	2,212		(22)		(22)		2,190					54	10/15/2036	1	
36181PGX1	GNMA POOL #AFT7414 3.380% 7/15/35	09/15/2019	PRINCIPAL RECEIPT	X X X	1,612	1,612	1,667	1,620		(8)		(8)		1,612					35	07/15/2035	1	
38379URV0	GOVT NATL MTG AS 3.50% 4/16/24	09/16/2019	PRINCIPAL RECEIPT	X X X	4,643	4,643	4,944	4,870		(227)		(227)		4,643					109	04/16/2024	1	
38376G5E6	GOVT NATL MTG AS 3.680% 3/16/52	09/16/2019	PRINCIPAL RECEIPT	X X X	2,086	2,086	2,136	2,139		(53)		(53)		2,086					51	03/16/2052	1	
38378KR35	GOVT NATL MTG ASSN 1.7% 6/16/36	09/16/2019	PRINCIPAL RECEIPT	X X X	6,312	6,312	6,261	6,286		27		27		6,312					74	06/16/2036	1	
38378B5E5	GOVT NATL MTG ASSN 1.900% 3/16/47	09/16/2019	PRINCIPAL RECEIPT	X X X	613	613	605	607		7		7		613					8	03/16/2047	1	
38379KU63	GOVT NATL MTG ASSN 2.75% 1/16/56	09/16/2019	PRINCIPAL RECEIPT	X X X	5,071	5,071	5,178	5,144		(74)		(74)		5,071					93	01/16/2056	1	
38378U2P5	GOVT NATL MTG ASSN 3.0% 1/20/43	09/20/2019	PRINCIPAL RECEIPT	X X X	2,782	2,782	2,898	2,867		(85)		(85)		2,782					54	01/20/2043	1	
38378TAL4	GOVT NATL MTG ASSN 3.0% 10/20/42	09/20/2019	PRINCIPAL RECEIPT	X X X	4,252	4,252	4,366	4,353		(101)		(101)		4,252					80	10/20/2042	1	
38379MT75	GOVT NATL MTG ASSN 3.50% 1/20/34	09/20/2019	PRINCIPAL RECEIPT	X X X	1,959	1,959	2,043	2,014		(55)		(55)		1,959					44	01/20/2034	1	
36230MEP8	GOVT NATL MTG ASSN POOL #752842																					
	DT	09/15/2019	PRINCIPAL RECEIPT	X X X	4,618	4,618	4,949	4,879		(261)		(261)		4,618					117	07/15/2025	1	
912828B66	US TREASURY NOTE 2.750% 2/15/24	07/10/2019	CHASE	X X X	389,808	375,000	375,075	375,074		(7)		(7)		375,067		14,741	14,741		9,315	02/15/2024	1	
0599999 Subtotal - Bonds - U.S. Governments					X X X	427,342	412,533	413,727	413,456		(856)		(856)		412,600		14,741	14,741		10,068	X X X	X X X
Bonds - U.S. States, Territories and Possessions																						
54627RAC4	LOUISIANA LOC GOVT 3.450% 2/1/22	08/01/2019	WELLS FARGO SECURITIES	X X X	39,848	39,848	40,430	40,281		(79)		(79)		40,202		(355)	(355)		1,375	02/01/2022	1FE	
977100CX2	WISCONSIN ST GEN FUN 1.616% 5/1/21	07/25/2019	BARCLAYS CAPITAL INC	X X X	123,975	125,000	125,000	125,000						125,000		(1,025)	(1,025)		1,487	05/01/2021	1FE	
1799999 Subtotal - Bonds - U.S. States, Territories and Possessions					X X X	163,823	164,848	165,430	165,281		(79)		(79)		165,202		(1,380)	(1,380)		2,862	X X X	X X X
Bonds - U.S. Special Revenue, Special Assessment																						
3136AV6R5	FANNIEMAE GRANTOR TR 2.89% 6/25/27	09/25/2019	PRINCIPAL RECEIPT	X X X	40	40	40	40						40					1	06/25/2027	1	
3137ANP58	FED HOME LN MTG CORP	09/15/2019	PRINCIPAL RECEIPT	X X X	4,040	4,040	4,254	4,158		(118)		(118)		4,040					91	06/15/2030	1	
3137B16X5	FED HOME LN MTG CORP 1.5% 3/15/28	09/15/2019	PRINCIPAL RECEIPT	X X X	2,757	2,757	2,723	2,730		27		27		2,757					26	03/15/2028	1	
3137AUJMN6	FED HOME LN MTG CORP 3.0% 1/15/40	09/15/2019	PRINCIPAL RECEIPT	X X X	4,681	4,681	4,890	4,818		(137)		(137)		4,681					90	01/15/2040	1	
3137B6L33	FED HOME LN MTG CORP 3.0% 12/15/41	09/15/2019	PRINCIPAL RECEIPT	X X X	4,578	4,578	4,709	4,658		(80)		(80)		4,578					88	12/15/2041	1	
3137B0MW1	FED HOME LN MTG CORP 3.0% 2/15/33	09/15/2019	PRINCIPAL RECEIPT	X X X	5,437	5,437	5,662	5,524		(87)		(87)		5,437					108	02/15/2033	1	
3137BCQV3	FED HOME LN MTG CORP 3.0% 7/15/40	09/15/2019	PRINCIPAL RECEIPT	X X X	11,650	11,650	11,919	11,801		(151)		(151)		11,650					225	07/15/2040	1	
3137ALZ21	FED HOME LN MTG CORP 3.5% 5/15/30	09/15/2019	PRINCIPAL RECEIPT	X X X	3,445	3,445	3,649	3,571		(125)		(125)		3,445					77	05/15/2030	1	
3137BDKF2	FED HOME LN MTG CORP 3.5% 9/15/40	09/15/2019	PRINCIPAL RECEIPT	X X X	4,361	4,361	4,618	4,520		(159)		(159)		4,361					98	09/15/2040	1	
3137BTNK3	FED HOME LN MTG CORP 3.50% 1/15/42	09/15/2019	PRINCIPAL RECEIPT	X X X	9,501	9,501	9,842	9,792		(291)		(291)		9,501					214	01/15/2042	1	
3137G1BW6	FED HOME LN MTG CORP 3.50% 5/25/47	09/25/2019	PRINCIPAL RECEIPT	X X X	19,578	19,578	19,650	19,637		(59)		(59)		19,578					457	05/25/2047	1	
3137BBE63	FED HOME LN MTG CORP3% 12/15/41	09/15/2019	PRINCIPAL RECEIPT	X X X	2,610	2,610	2,707	2,679		(68)		(68)		2,610					51	12/15/2041	1	
3136A7FL1	FED NATL MTG ASSN 2.00% 1/25/41	09/25/2019	PRINCIPAL RECEIPT	X X X	4,055	4,055	4,042	4,044		10		10		4,055					52	01/25/2041	1	
3136ALTJ0	FED NATL MTG ASSN 3.0% 1/25/26	09/25/2019	PRINCIPAL RECEIPT	X X X	3,272	3,272	3,397	3,357		(85)		(85)		3,272					63	01/25/2026	1	
3136AKN74	FED NATL MTG ASSN 3.0% 2/25/44	09/25/2019	PRINCIPAL RECEIPT	X X X	8,560	8,560	8,595	8,580		(20)		(20)		8,560					174	02/25/2044	1	
31397SG24	FED NATL MTG ASSN 3.0% 5/25/26	09/25/2019	PRINCIPAL RECEIPT	X X X	6,295	6,295	6,511	6,480		(185)		(185)		6,295					122	05/25/2026	1	
3136AKNC3	FED NATL MTG ASSN 3.0% 9/25/39	09/25/2019	PRINCIPAL RECEIPT	X X X	3,427	3,427	3,538	3,476		(49)		(49)		3,427					67	09/25/2039	1	
3136A5B47	FED NATL MTG ASSN 3.5% 1/25/41	09/25/2019	PRINCIPAL RECEIPT	X X X	2,155	2,155	2,237	2,219		(64)		(64)		2,155					48	01/25/2041	1	
3136A5D60	FED NATL MTG ASSN 3.500% 9/25/40	09/25/2019	PRINCIPAL RECEIPT	X X X	3,821	3,821	3,928	3,907		(86)		(86)		3,821					89	09/25/2040	1	
31397Q4L9	FED NATL MTG ASSN 4.0% 2/25/40	09/25/2019	PRINCIPAL RECEIPT	X X X	8,641	8,641	9,221	9,118		(477)		(477)		8,641					220	02/25/2040	1	
31397QWZ7	FED NATL MTG ASSN 4.0% 9/25/29	09/25/2019	PRINCIPAL RECEIPT	X X X	24,737	24,737	26,391	25,378		(641)		(641)		24,737					649	09/25/2029	1	
3136AGDN9	FED NATL MTG ASSN SER 2013-97 CL E	09/25/2019	PRINCIPAL RECEIPT	X X X	3,505	3,505	3,689	3,620		(115)		(115)		3,505					67	11/25/2028	1	
3137A6B27	FHLMC MULTIFAMILY 4.3% 10/25/20	09/25/2019	PRINCIPAL RECEIPT	X X X	710	710	763	741		(31)		(31)		710					20	10/25/2020	1	
3137BNN26	FHLMC MULTIFAMILY ST 1.78% 7/25/19	07/15/2019	PRINCIPAL RECEIPT	X X X	784	784	792	786		(2)		(2)		784					8	07/25/2019	1	
3137BQZM2	FHLMC MULTIFAMILY ST 2.1% 11/25/22	09/25/2019	PRINCIPAL RECEIPT	X X X	1,803	1,803	1,810	1,807		(4)		(4)		1,803					25	11/25/2022	1	
3132XUJZ9	FHLMC POOL #Q52079 3.500% 11/1/47	09/15/2019	PRINCIPAL RECEIPT	X X X	5,203	5,203	5,360	5,203		(157)		(157)		5,203					26	11/01/2047	1	
3132L6AK7	FHLMC POOL #V80910 4.0% 12/01/43	09/15/2019	PRINCIPAL RECEIPT	X X X	4,118	4,118	4,381	4,348		(229)		(229)		4,118					105	12/01/2043	1	
3132AEC77	FHLMC POOL #ZT1872 3.50% 4/1/34	09/02/2019	PRINCIPAL RECEIPT	X X X	14,307	14,307	14,767	14,307		(461)		(461)		14,307					93	04/01/2034	1	
31418CSE5	FMNA POOL #MA3216 4.00% 11/1/37	09/02/2019	PRINCIPAL RECEIPT	X X X	7,243	7,243	7,602	7,434		(359)		(359)		7,243					35	11/01/2037	1	
3138EJAV0	FMNA POOL #AL1819 3.500% 5/1/42	09/25/2019	PRINCIPAL RECEIPT	X X X	4,716	4,716	4,669	4,669		48		48		4,716					106	05/01/2042	1	
3138WVFG8	FMNA POOL #AS6014 4.00% 10/01/45	09/25/2019	PRINCIPAL RECEIPT	X X X	7,016	7,016	7,525	7,434		(418)		(418)		7,016					184	10/01/2045	1	
3140J9CT6	FMNA POOL #BM4581 4.00% 9/1/33	09/25/2019	PRINCIPAL RECEIPT	X X X	18,091	18,091	18,594	18,091		(503)		(503)		18,091					403	09/01/2033	1	
31418CXN9	FMNA POOL #MA3384 4.00% 6/1/48	09/02/2019	PRINCIPAL RECEIPT	X X X	20,773	20,773	21,568	20,773		(795)		(795)		20,773					151	06/01/2048	1	
574218A60	MARYLAND ST HLTH & 1.488% 7/01/19	07/01/2019	MATURITY	X X X	150,000	150,000	150,000	150,000						150,000					2,232	07/01/2019	1FE	
64828YAR2	NEW RESIDENTIAL MORT 3.75% 5/25/54	09/25/2019	PRINCIPAL RECEIPT	X X X	2,531	2,531	2,575	2,574		(44)		(44)		2,531					59	05/25/2054	1FE	
3199999 Subtotal - Bonds - U.S. Special Revenue, Special Assessment					X X X	378,443	378,443	386,622	316,466		(5,914)		(5,914)		378,443				6,527	X X X	X X X	
Bonds - Industrial and Miscellaneous (Unaffiliated)																						
00440EAT4	ACE INA HOLDINGS 2.3% 11/03/20	09/20/2019	WELLS FARGO SECURITIES	X X X	35,065	35,000	34,980	34,993		3		3		34,995		70	70		716	11/03/2020	1FE	

Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	11	12	13	14	15	Book/ Adjusted Carrying Value at Disposal Date	Foreign Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Contractual Maturity Date	NAIC Designation and Admini- strative Symbol/ Market Indicator (a)
										Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.							
00842AAD1 03065TA04 037680AA3	AGATE BAY MORTGAG 3.50% 6/25/45 AMERICREDIT AUTOMOB 1.530% 6/5/21 APPALACHIAN CONSUME 2.0076% 2/1/24		09/25/2019 09/08/2019	PRINCIPAL RECEIPT PRINCIPAL RECEIPT	X X X X X X	1,446 28,897	1,446 28,897	1,498 28,694	1,491 28,743		(45) 154		(45) 154		1,446 28,897				32 294	06/25/2045 06/08/2021	1FM 1FE
048312AD4 05723KAE0 05547GAA1	ATLANTIC CITY ELEC 5.550% 10/20/23 BAKER HUGHES LLC/CO 2.33% 12/15/27 BARCLAYS COMMERCIAL 3.228% 9/10/28		09/15/2019 09/15/2019 08/08/2019	PRINCIPAL RECEIPT PRINCIPAL RECEIPT BARCLAYS CAPITAL INC	X X X X X X X X X	8,646 8,078 152,688	8,646 8,078 150,000	8,716 8,729 150,000	8,690 8,563 150,000		(44) (485)		(44) (485)		8,646 8,078 150,000				174 243 3,295	02/01/2024 10/20/2023 12/15/2027	1FE 1FE 1FE
084664CK5 09061GAH4 12532AAW9	BERKSHIRE HATHAWAY 1.300% 8/15/19 BIOMARIN PHARMACEUTI 0.599% 8/1/24 CFCRE COMMERCIAL MOR 1.5% 11/10/49		09/15/2019 08/15/2019 09/10/2019	PRINCIPAL RECEIPT MATURITY VARIOUS	X X X X X X X X X	18,946 20,000 60,341	18,946 20,000 60,000	19,076 19,981 58,168	19,043 19,996 58,269		(97) 4 182		(97) 4 386		18,946 20,000 58,655				433 260 399	09/10/2028 08/15/2019 08/01/2024	1FM 1FE 4FE
165183AR5 17322NAA2 17322GAA7 12652CAC3 12648TAA7 23291FAC0 23342AAB2 33850RAE2 33850BAC1	CHESAPEAKE FUNDING 1.910% 8/15/29 CITIGROUP MORTGAG 3.50% 6/25/44 CITIGROUP MORTGAG 4.0% 1/01/35 CREDIT SUISSE MOR 3.5% 10/25/47 CREDIT SUISSE MOR 3.50% 7/25/44 DLL SECURITIZATION 2.140% 12/15/21 DLL SECURITIZATION 2.700% 11/17/20 FLAGSTAR MORTGAGE 3.500% 10/25/47 FLAGSTAR MORTGAGE TR 3.50% 3/25/47		09/15/2019 09/25/2019 09/25/2019 09/25/2019 09/25/2019 09/15/2019 09/17/2019 09/01/2019	PRINCIPAL RECEIPT PRINCIPAL RECEIPT PRINCIPAL RECEIPT PRINCIPAL RECEIPT PRINCIPAL RECEIPT PRINCIPAL RECEIPT PRINCIPAL RECEIPT PRINCIPAL RECEIPT	X X	9,228 13,343 3,728 10,991 6,189 11,837 11,584 47,296 14,616	9,228 13,343 3,728 10,991 6,189 11,837 11,571 47,296 14,616	9,228 13,187 3,677 11,236 6,282 11,828 11,571 47,295 14,437	9,226 13,204 3,679 6,292 6,265 11,828 11,584 47,295 14,438		2 139 49 (226) (76) 9 14 1 177		2 139 49 (226) (76) 9 14 1 177		9,228 13,343 3,728 10,991 6,189 11,837 11,584 47,296 14,616				93 165 83 211 139 267 21 877 348	11/10/2049 08/15/2029 06/25/2044 01/01/2035 10/25/2047 07/25/2044 12/15/2021 11/17/2020 10/25/2047	1FM 1FE 1FM 1FM 1FM 1FE 1FE 1FE 1FM
34528QEU4 35105DAB7 36416UAG0	FORD CREDIT FLOORPLA 1.55% 7/15/21 FOURSIGHT CAPITAL AU 3.12% 8/16/21 GMRF MORTGAGE ACQUIS 3.50% 7/25/56		09/01/2019 07/15/2019 09/15/2019	PRINCIPAL RECEIPT PRINCIPAL RECEIPT PRINCIPAL RECEIPT	X X X X X X X X X	5,454 100,000 21,225	5,454 100,000 21,225	5,566 99,983 21,225	5,559 99,959 21,226		(105) 41 0		(105) 41 0		5,454 100,000 21,225				134 904 398	03/25/2047 07/15/2021 08/16/2021	1FM 1FE 1FE
40573LAS5 44930UAD8 44932FAB3 452327AJ8 452327AH2 46361TAA0 46643DAE7 46647SBY5 46643KAA9 46641YAA1 46640BAC8 46641CAV3 46649HAE2 46647EAA9 46648RACS 46639GAU0	HALFMOON PARENT 4.375% 10/15/28 HYUNDAI AUTO RECEIV 1.56% 9/15/20 IAC FINANCECO 3.200% 1/15/30 ILLUMINA INC 0% 8/15/23 ILLUMINA INC PFD SRB 0.50% 6/15/21 IRVINE CORE OFFICE TRUST SER 2013- JP MORGAN MORTG 3.500% 9/25/44 JP MORGAN MORTGAG 2.50% 8/25/47 JP MORGAN MORTGAG 3.0% 10/1/29 JP MORGAN MORTGAG 3.0% 6/25/29 JP MORGAN MORTGAG 3.50% 05/25/43 JP MORGAN MORTGAG 3.50% 1/25/44 JP MORGAN MORTGAG 3.50% 1/25/48 JP MORGAN MORTGAG 3.50% 10/25/46 JP MORGAN MORTGAG 3.50% 6/25/48 JP MORGAN MORTGAGE 2.500% 3/01/43		08/28/2019 08/15/2019 07/26/2019 07/18/2019 07/01/2019 09/17/2019 09/25/2019 09/25/2019 09/25/2019 09/25/2019 09/25/2019 09/25/2019 09/25/2019 09/25/2019 09/25/2019	PRINCIPAL RECEIPT WELLS FARGO SECURITIES PRINCIPAL RECEIPT WELLS FARGO SECURITIES BARCLAYS CAPITAL INC MERRILL LYNCH PRINCIPAL RECEIPT PRINCIPAL RECEIPT PRINCIPAL RECEIPT PRINCIPAL RECEIPT PRINCIPAL RECEIPT PRINCIPAL RECEIPT PRINCIPAL RECEIPT PRINCIPAL RECEIPT PRINCIPAL RECEIPT	X X	4,952 124,664 4,305 71,365 32,321 15,526 7,765 9,619 3,762 4,664 2,418 4,562 4,237 2,542 1,745 12,067	4,952 125,000 4,305 71,365 30,000 10,000 7,719 9,613 3,762 4,664 2,418 4,562 4,194 2,539 1,811 12,031	5,001 124,664 4,304 71,365 31,683 12,939 7,719 9,604 3,765 4,782 2,434 4,699 4,194 2,539 1,798	4,994 124,672 4,303 71,365 31,640 12,939 7,726 9,604 3,769 4,762 2,432 4,556 4,196 2,539 1,798		(42) 18 2 (15) (203) (659) 38 15 (8) (98) (14) 6 41 3 (53) 36		(42) 18 2 (15) (203) (659) 38 15 (8) (98) (14) 6 41 3 (53) 36		4,952 124,690 4,305 71,350 31,436 12,880 7,765 9,619 3,762 4,664 2,418 4,562 4,237 2,542 1,745 12,067		(26) (26) 15 885 3,246		110 3,160 45 15 885 28 107 214 59 94 44 100 97 58 39 104	07/25/2056 10/15/2028 09/15/2020 01/15/2030 08/15/2023 06/15/2021 05/15/2048 09/25/2044 08/25/2047 10/01/2029 06/25/2029 05/25/2043 01/25/2044 01/25/2048 10/25/2046 06/25/2048	1FE 1FE 1FE 1FE 2FE 2FE 1FM 1FM 1FM 1FM 1FM 1FM 1FM 1FM 1FM
46641YAJ2 50116WAC9	JP MORGAN MORTGAGE 3.50% 6/25/29 KUBOTA CREDIT OWNER 1.500% 7/15/20		09/25/2019 09/25/2019	PRINCIPAL RECEIPT PRINCIPAL RECEIPT	X X X X X X	4,780 2,307	4,780 2,307	4,729 2,323	4,733 2,321		47 (14)		47 (14)		4,780 2,307				78 55	03/01/2043 06/25/2029	1FM 1FM
534187BF5 571183AB8 59166BAA9 61764XB4	LINCOLN NATIONAL COR 3.6% 12/12/26 MARLIN LEASING RECEI 3.0% 10/20/20 METLIFE SECURITIZ 3.00% 4/25/55 MORGAN STANLEY BAML 1.548% 3/15/48		07/11/2019 09/20/2019 09/25/2019	WELLS FARGO SECURITIES PRINCIPAL RECEIPT PRINCIPAL RECEIPT	X X X X X X X X X	17,609 24,316 9,021	17,609 24,316 9,021	17,534 24,315 8,936	17,569 24,325 8,943		39 182 (9) 79		39 182 (9) 79		17,609 24,316 9,021		7,456		177 3,814 482 175	07/15/2020 12/12/2026 10/20/2020 04/25/2055	1FE 1FE 1FE 1FM
64829EAA2 64829GAA7 67741YAB4 687846AD7 694308HW0 697435AC9 741503AS5	NEW RESIDENTIAL 3.75% 12/25/24 NEW RESIDENTIAL 3.750% 11/26/35 OHIO PHASE-IN-RECOVE 2.049% 7/1/20 OSCAR US FUNDING TRU 2.82% 6/10/21 PACIFIC GAS & ELECTR 3.30% 12/1/27 PALO ALTO NETWOR 0.750% 7/1/23 PRICELINE.COM, INCOR 0.35% 6/15/20		09/25/2019 09/25/2019 07/15/2019 09/10/2019 08/09/2019 08/07/2019 07/01/2019	PRINCIPAL RECEIPT PRINCIPAL RECEIPT PRINCIPAL RECEIPT PRINCIPAL RECEIPT GOLDMAN SACHS & CO WELLS FARGO SECURITIES BNP PARIBAS SECURITIES BOND	X X	15,796 2,583 5,130 59,963 19,747 113,275 147,290	15,796 2,583 5,130 59,963 19,747 115,000 145,000	15,795 2,647 5,252 60,261 19,743 114,660 147,290	15,789 2,641 5,240 60,024 19,740 114,679 103,631		6 (58) (110) (61) 7 19 (146)		6 (58) (110) (61) 7 19 1,659		15,796 2,583 5,130 59,963 19,747 114,698 147,110				143 53 124 1,812 379 1,423 181	03/15/2048 12/25/2024 11/26/2035 07/01/2020 06/10/2021 12/01/2027 07/01/2023	1FM 1FE 1FE 1FE 1FE 1FE 4FE
74456QB4 69363PAA8 81746MAA8 81746KAD6 81745JAA6	PUBLIC SERVICE ELECT 2.0% 8/15/19 PUBLIC SERVICE NEW 1.00% 2/1/26 SEQUOIA MORTGAGE 3.0% 11/25/30 SEQUOIA MORTGAGE 3.500% 3/25/47 SEQUOIA MORTGAGE 3.500% 9/25/43		08/15/2019 09/15/2019 09/25/2019 09/25/2019 09/25/2019	MATURITY PRINCIPAL RECEIPT PRINCIPAL RECEIPT PRINCIPAL RECEIPT PRINCIPAL RECEIPT	X X X X X X X X X X X X X X X	100,000 21,065 6,238 11,466 8,587	100,000 21,065 6,238 11,466 8,802	100,702 21,499 6,173 11,649 8,802	100,106 21,499 6,175 11,649 8,776		(106) (434) 63 (183) (189)		(106) (434) 63 (183) (189)		100,000 21,065 6,238 11,466 8,587		1,882		2,000 326 121 30 181	06/15/2020 08/15/2019 02/01/2026 11/25/2030 03/25/2047 09/25/2043	2FE 1FE 1FE 1FM 1FE 1FM

Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3 F o r e i g n	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22 NAIC Designation and Admini- strative Symbol/ Market Indicator (a)	
										11	12	13	14	15								
CUSIP Identification	Description		Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Contractual Maturity Date		
81733YAA7	SEQUOIA MORTGAGE TRU 3.5% 2/5/45		09/25/2019	PRINCIPAL RECEIPT	X X X	5,146	5,146	5,284	5,286		(140)		(140)		5,146				122	02/05/2045	1FM	
81745NAR0	SEQUOIA MORTGAGE TRU 4.0% 4/25/44		09/25/2019	PRINCIPAL RECEIPT	X X X	5,148	5,148	5,322	5,313		(165)		(165)		5,148				131	04/25/2044	1FM	
82281EBR7	SHELLPOINT CO-ORI 3.0% 10/25/31		09/01/2019	PRINCIPAL RECEIPT	X X X	5,395	5,395	5,468	5,464		(69)		(69)		5,395				104	10/25/2031	1FM	
N8T23VAD3	SIEMENS FINANCIERING 1.65% 8/16/19		07/04/2019	EUROCLEAR CONFIDENTIAL	X X X	173,250	165,000	168,795		(3,050)		(3,050)		165,745		7,505	7,505	2,435	08/16/2019	1FE		
828807DB0	SIMON PROPERTY GROUP 2.62% 6/15/22		07/25/2019	TRADING LLC	X X X	75,836	75,000	75,449	75,336		(53)		(53)		75,283		552	552	1,209	06/15/2022	1FE	
842400GF4	SOUTHERN CAL EDISON 1.845% 2/1/22		08/01/2019	WELLS FARGO SECURITIES	X X X	3,571	3,571	3,571	3,571						3,571				66	02/01/2022	1FE	
848637AA2	SPLUNK INC 0.500% 9/15/23		09/23/2019	WELLS FARGO SECURITIES	X X X	15,000	15,000	15,000	15,000						15,000				74	09/15/2023	1FE	
848637AB0	SPLUNK INC 1.125% 9/15/25		09/23/2019	WELLS FARGO SECURITIES	X X X	55,661	50,000	55,661		(466)		(466)		55,195		466	466	526	09/15/2025	1FE		
868459AD0	SUPRANAUS PHARMACEUTI 0.62% 4/1/23		09/10/2019	VARIOUS	X X X	46,778	50,000	50,599		(61)		(61)		50,538		(3,760)	(3,760)	129	04/01/2023	3FE		
88161DAA9	TESLA AUTO LEASE TRU 2.3% 12/20/19		09/20/2019	PRINCIPAL RECEIPT	X X X	42,808	42,808	42,737	42,740		68		68		42,808				706	12/20/2019	1FE	
88161NAA7	TESLA AUTO LEASE TRU 3.71% 8/20/21		09/20/2019	PRINCIPAL RECEIPT	X X X	3,340	3,340	3,362		(23)		(23)		3,340				69	08/20/2021	1FE		
88315LAC2	TEXTAINER MARINE CON 3.96% 4/20/44		09/20/2019	PRINCIPAL RECEIPT	X X X	2,000	2,000	2,035		(35)		(35)		2,000				18	04/20/2044	1FE		
393657AK7	THE GREENBRIER COMPA 2.875% 2/1/24		09/10/2019	VARIOUS	X X X	28,633	30,000	31,496	29,479	1,768	(121)		1,648		31,127		(2,494)	(2,494)	891	02/01/2024	2FE	
92826CAD4	VISA INC 3.150% 12/14/25		07/26/2019	MARKETAXESS CORP	X X X	156,948	150,000	149,235	149,376	46	46		46		149,422		7,526	7,526	2,914	12/14/2025	1FE	
92887KAC4	VOLVO FINANCIAL EQUI 1.92% 3/15/21		09/15/2019	PRINCIPAL RECEIPT	X X X	16,703	16,703	16,724	16,709	(6)	(6)		(6)		16,703				208	03/15/2021	1FE	
94989QAS9	WELLS FARGO COMMERC 1.56% 12/15/47		09/17/2019	PRINCIPAL RECEIPT	X X X	12,248	12,248	12,248	12,243	5	5		5		12,248				128	12/15/2047	1FM	
94989KAS2	WELLS FARGO COMMERC 1.47% 6/15/48		09/15/2019	PRINCIPAL RECEIPT	X X X	20,028	20,028	19,863	19,924	104	104		104		20,028				195	06/15/2048	1FM	
94988HAC5	WELLS FARGO COMMERC 2.9% 10/15/45		09/17/2019	PRINCIPAL RECEIPT	X X X	3,798	3,798	3,942	3,902	(104)	(104)		(104)		3,798				76	10/15/2045	1FM	
97651LAC5	WINWATER MORTGAGE 3.50% 6/20/45		09/01/2019	VARIOUS	X X X	2,618	2,618	2,667	2,665	(37)	(37)		(37)		2,628		(10)	(10)	65	06/20/2045	1FM	
3899999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					X X X	2,284,186	2,245,553	2,265,573	1,792,204	3,778	(6,455)		(2,677)		2,257,742		26,444	26,444	34,693	X X X	X X X	
8399997 Subtotal - Bonds - Part 4					X X X	3,253,793	3,201,376	3,231,351	2,687,408	3,778	(13,304)		(9,526)		3,213,987		39,806	39,806	54,150	X X X	X X X	
8399998 Summary Item from Part 5 for Bonds (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X
8399999 Subtotal - Bonds					X X X	3,253,793	3,201,376	3,231,351	2,687,408	3,778	(13,304)		(9,526)		3,213,987		39,806	39,806	54,150	X X X	X X X	
Preferred Stocks - Industrial and Miscellaneous (Unaffiliated)																						
G16962204	BUNGLE LTD CONV UNTIL 12/31/49		07/01/2019	CREDIT RESERCH & TRADING LLC	530.000	53,330	100.00	54,829	51,410	3,419			3,419		54,829		(1,498)	(1,498)	1,292	X X X	P3FEL	
64944P307	NY COMMUNITY CAP TRUST V CONV UNTI		09/26/2019	VIRTU AMERICAS LLC	47.000	2,366	50.00	2,362	2,103	258	0		258		2,362		5	5	106	X X X	RP4FEL	
8499999 Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated)					X X X	55,697	X X X	57,190	53,513	3,677	0		3,677		57,190		(1,494)	(1,494)	1,398	X X X	X X X	
8999997 Subtotal - Preferred Stocks - Part 4					X X X	55,697	X X X	57,190	53,513	3,677	0		3,677		57,190		(1,494)	(1,494)	1,398	X X X	X X X	
8999998 Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X
8999999 Subtotal - Preferred Stocks					X X X	55,697	X X X	57,190	53,513	3,677	0		3,677		57,190		(1,494)	(1,494)	1,398	X X X	X X X	
Common Stocks - Industrial and Miscellaneous (Unaffiliated)																						
016255101	ALIGN TECHNOLOGY INC		08/13/2019	JEFFRIES & COMPANY	35.000	6,356	X X X	11,454						11,454		(5,098)	(5,098)		X X X	L		
G0177J108	ALLERGAN PLC		09/12/2019	SG AMERICAS SECURITIES	45.000	7,498	X X X	5,887					5,887		5,887		1,611	1,611		X X X	L	
023135106	AMAZON COM INC COM		08/13/2019	JEFFRIES & COMPANY	25.000	45,616	X X X	39,148	37,549	1,599			1,599		39,148		6,468	6,468		X X X	L	
03076C106	AMERIPRISE FINL INC		09/17/2019	JEFFRIES & COMPANY	90.000	13,110	X X X	12,788						12,788		322	322		87	X X X	L	
031162100	AMGEN INC		09/12/2019	COWEN & CO	190.000	36,171	X X X	30,421	36,987	(6,566)		(6,566)		30,421		5,750	5,750		718	X X X	L	
039483102	ARCHER DANIELS MIDLAND CO		08/09/2019	VARIOUS	1,645,009	62,545	X X X	80,659	62,479	13,426				80,659		(18,114)	(18,114)	1,068	X X X	L		
04247X102	ARMSTRONG WORLD INDUSTRIES INC		08/09/2019	JEFFRIES & COMPANY	185.000	17,604	X X X	12,082						12,082		5,522	5,522		65	X X X	L	
05338G106	AVALARA INC		09/13/2019	JEFFRIES & COMPANY	150.000	10,860	X X X	10,959						10,959		(99)	(99)			X X X	L	
149123101	CATERPILLAR INC		08/08/2019	RBC CAPITAL MARKETS	165.000	20,047	X X X	23,915	20,967	2,949			2,949		23,915		(3,868)	(3,868)	593	X X X	L	
169656105	CHIPOTLE MEXICAN GRILL INC		09/12/2019	RBC CAPITAL MARKETS	15.000	12,110	X X X	7,150	6,477	673			673		7,150		4,960	4,960		X X X	L	
200340107	COMERICA INC		08/08/2019	JEFFRIES & COMPANY	400.000	25,321	X X X	32,986	25,072	5,396			5,396		32,986		(7,665)	(7,665)	732	X X X	L	
212015101	CONTINENTAL RESOURCES INC/OK		09/12/2019	SG AMERICAS SECURITIES	220.000	7,039	X X X	10,846	8,842	2,004			2,004		10,846		(3,806)	(3,806)		X X X	L	
126408103	CSX CORP		09/12/2019	RBC CAPITAL MARKETS	405.000	27,802	X X X	28,614	22,988	2,947			2,947		28,614		(811)	(811)	220	X X X	L	
257559203	DOMTAR CORP		08/12/2019	VARIOUS	1,005,000	36,094	X X X	48,723	25,645	10,053			10,053		48,723		(12,628)	(12,628)	1,173	X X X	L	
G29183103	EATON CORP PLC		08/08/2019	JEFFRIES & COMPANY	500.000	39,870	X X X	43,046	31,240	8,299			8,299		43,046		(3,176)	(3,176)	1,001	X X X	L	
278865100	ECOLAB INC		09/12/2019	RBC CAPITAL MARKETS	120.000	23,775	X X X	20,493						20,493		3,282	3,282		44	X X X	L	
354613101	FRANKLIN RESOURCES INC		09/25/2019	JEFFRIES & COMPANY	2,600.000	76,043	X X X	86,381						86,381		(10,338)	(10,338)	984	X X X	L		
384802104	GRAINGER W W INC		08/09/2019	JEFFRIES & COMPANY	70.000	18,874	X X X	20,206	15,530	647			647		20,206		(1,331)	(1,331)	179	X X X	L	
4052217100	HAIN CELESTIAL GROUP INC		09/12/2019	JEFFRIES & COMPANY	360.000	7,832	X X X	7,440						7,440		392	392			X X X	L	
412822108	HARLEY DAVIDSON INC		08/09/2019	JEFFRIES & COMPANY	420.000	14,241	X X X	17,244	14,330	2,914			2,914		17,244		(3,003)	(3,003)	315	X X X	L	
437076102	HOME DEPOT INC		09/12/2019	SG AMERICAS SECURITIES	50.000	11,737	X X X	6,063	8,591	(2,528)			(2,528)		6,063		5,675	5,675	156	X X X	L	

SCHEDULE D - PART 4
Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of
During the Current Quarter

QE05.3

1	2	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation and Admini- strative Symbol/ Market Indicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11 + 12 - 13)	15 Total Foreign Exchange Change in B./A.C.V.							
45168D104	IDEXX CORP		09/12/2019	SG AMERICAS SECURITIES	115.000	31.284	X X X	19,651	19,532	(2,403)			(2,403)		19,651		11,633	11,633		X X X	L
478160104	JOHNSON & JOHNSON		09/12/2019	COWEN & CO	115.000	14.991	X X X	12,515	14,841	(2,326)			(2,326)		12,515		2,477	2,477	322	X X X	L
48203R104	JUNIPER NETWORKS INC		08/08/2019	JEFFRIES & COMPANY	710.000	18.117	X X X	20,021							20,021		(1,903)	(1,903)	270	X X X	L
494368103	KIMBERLY CLARK CORP		09/12/2019	JEFFRIES & COMPANY	185.000	24.707	X X X	20,863	21,079	(216)			(216)		20,863		3,844	3,844	494	X X X	L
500255104	KOHL'S CORP		08/12/2019	VARIOUS	615.000	30.465	X X X	40,634	12,936	(1,010)			(1,010)		40,634		(10,169)	(10,169)	767	X X X	L
501044101	KROGER CO		08/09/2019	VARIOUS	1,460.000	33.940	X X X	40,290	36,300	677			677		40,290		(6,351)	(6,351)	370	X X X	L
550021109	LULULEMON ATHLETICA INC		09/12/2019	SG AMERICAS SECURITIES	40.000	7.959	X X X	5,116	4,864	252			252		5,116		2,843	2,843		X X X	L
55261F104	M & T BANK CORPORATION COM		08/09/2019	VARIOUS	605.000	95.917	X X X	101,824							101,824		(5,907)	(5,907)	1,120	X X X	L
574795100	MASIMO CORP		09/13/2019	JEFFRIES & COMPANY	200.000	30.234	X X X	26,624							26,624		3,609	3,609		X X X	L
57636Q104	MASTERCARD INC CL A		09/12/2019	RBC CAPITAL MARKETS	75.000	20.737	X X X	7,935	14,149	(6,214)			(6,214)		7,935		12,802	12,802	74	X X X	L
57665R106	MATCH GROUP INC		09/12/2019	JEFFRIES & COMPANY	180.000	13.710	X X X	10,415	7,699	2,716			2,716		10,415		3,295	3,295		X X X	L
57772K101	MAXIM INTEGRATED PRODS INC		09/12/2019	JEFFRIES & COMPANY	245.000	14.678	X X X	9,889	12,458	(2,569)			(2,569)		9,889		4,789	4,789	343	X X X	L
58933Y105	MERCK & CO INC NEW		09/12/2019	COWEN & CO	75.000	6.243	X X X	4,084	5,731	(1,647)			(1,647)		4,084		2,159	2,159	130	X X X	L
60855R100	MOLINA HEALTHCARE INC		08/09/2019	RBC CAPITAL MARKETS	115.000	15.368	X X X	14,716	13,365	1,351			1,351		14,716		651	651		X X X	L
64110L106	NETFLIX.COM INC		08/08/2019	SG AMERICAS SECURITIES	70.000	22.024	X X X	13,760	16,060	(5,815)			(5,815)		13,760		8,264	8,264		X X X	L
65339F101	NEXTERA ENERGY INC		08/13/2019	JEFFRIES & COMPANY	70.000	15.129	X X X	7,584	12,167	(4,584)			(4,584)		7,584		7,545	7,545	175	X X X	L
700517105	PARK HOTELS & RESORTS INC-WI		08/08/2019	INSTINET	1,465.000	36.360	X X X	40,809	35,463	2,589			2,589		40,809		(4,449)	(4,449)	2,639	X X X	L
713448108	PEPSICO INC		09/12/2019	COWEN & CO	70.000	9.623	X X X	6,777	7,734	(956)			(956)		6,777		2,845	2,845	197	X X X	L
717081103	PFIZER INC		09/12/2019	VARIOUS	1,610.000	59.869	X X X	56,519	64,166	(13,560)			(13,560)		56,519		3,351	3,351	1,638	X X X	L
69351T106	PPL CORPORATION		09/12/2019	INSTINET	1,150.000	35.434	X X X	37,286							37,286		(1,853)	(1,853)	301	X X X	L
743315103	PROGRESSIVE CORP OHIO		08/08/2019	JEFFRIES & COMPANY	445.000	35.477	X X X	31,980	24,735	4,391			4,391		31,980		3,497	3,497	1,116	X X X	L
744320102	PRUDENTIAL FINL INC		08/09/2019	JEFFRIES & COMPANY	120.000	10.221	X X X	12,775	9,786	2,989			2,989		12,775		(2,554)	(2,554)	240	X X X	L
747525103	QUALCOMM INC		09/13/2019	JEFFRIES & COMPANY	165.000	12.959	X X X	10,739	9,390	1,348			1,348		10,739		2,221	2,221	307	X X X	L
751212101	RALPH LAUREN CORP		08/08/2019	JEFFRIES & COMPANY	365.000	35.391	X X X	35,289	33,625	(2,819)			(2,819)		35,289		102	102	657	X X X	L
84860W300	SPIRIT REALTY CAPITAL INC		09/12/2019	JEFFRIES & COMPANY	355.000	16.781	X X X	13,957	12,514	1,443			1,443		13,957		2,824	2,824	666	X X X	L
H84989104	TE CONNECTIVITY LTD		09/12/2019	RBC CAPITAL MARKETS	160.000	15.582	X X X	15,233							15,233		349	349	74	X X X	L
95058W100	THE WENDY'S COMPANY		09/12/2019	WELLS FARGO SECURITIES	1,260.000	24.790	X X X	21,964							21,964		2,826	2,826	369	X X X	L
892356106	TRACTOR SUPPLY CO COM		08/08/2019	SG AMERICAS SECURITIES	235.000	25.626	X X X	25,093							25,093		533	533		X X X	L
896945201	TRIPADVISOR INC		09/13/2019	JEFFRIES & COMPANY	610.000	25.050	X X X	35,213	18,609	2,442			2,442		35,213		(10,163)	(10,163)		X X X	L
902681105	UGI CORP NEW COM		09/12/2019	RBC CAPITAL MARKETS	335.000	16.543	X X X	18,046							18,046		(1,503)	(1,503)		X X X	L
254687106	WALT DISNEY CO		09/12/2019	SG AMERICAS SECURITIES	180.000	24.926	X X X	19,627	19,737	(110)			(110)		19,627		5,299	5,299	317	X X X	L
948741103	WEINGARTEN RLTY INVS SH BEN INT		09/12/2019	VIRTU AMERICAS LLC	745.000	21.026	X X X	21,149							21,149		(123)	(123)	294	X X X	L
9099999 Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)					X X X	1,321,708	X X X	1,314,882	743,636	17,781			17,781		1,314,882		6,826	6,826	20,213	X X X	X X X
9799997 Subtotal - Common Stocks - Part 4					X X X	1,321,708	X X X	1,314,882	743,636	17,781			17,781		1,314,882		6,826	6,826	20,213	X X X	X X X
9799998 Summary Item from Part 5 for Common Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X
9799999 Subtotal - Common Stocks					X X X	1,321,708	X X X	1,314,882	743,636	17,781			17,781		1,314,882		6,826	6,826	20,213	X X X	X X X
9899999 Subtotal - Preferred and Common Stocks					X X X	1,377,405	X X X	1,372,072	797,150	21,458	0		21,458		1,372,072		5,332	5,332	21,610	X X X	X X X
9999999 Total - Bonds, Preferred and Common Stocks					X X X	4,631,198	X X X	4,603,424	3,484,557	25,235	(13,304)		11,931		4,586,059		45,139	45,139	75,761	X X X	X X X

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0.

E06 Schedule DB Part A Section 1 NONE

E07 Schedule DB Part B Section 1 NONE

E08 Schedule DB Part D Section 1 NONE

E09 Schedule DB Part D Section 2 - Collateral Pledged By Reporting Entity NONE

E09 Schedule DB Part D Section 2 - Collateral Pledged To Reporting Entity NONE

E10 Schedule DL - Part 1 - Securities Lending Collateral Assets NONE

E11 Schedule DL - Part 2 - Securities Lending Collateral Assets NONE

STATEMENT AS OF **September 30, 2019** OF THE **GERMAN MUTUAL INSURANCE COMPANY**

SCHEDULE E - PART 1 - CASH

Month End Depository Balances										
1			2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	6	7	8	
Depository			Code	Rate of Interest			First Month	Second Month	Third Month	*
Open depositories										
Farmers & Merchants State Bank	2255 Scott St., Napoleon, OH 43545				2,534		1,183,737	1,242,300	1,244,016	X X X
0199998 Deposits in0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - open depositories			X X X	X X X ..						X X X
0199999 Totals - Open Depositories			X X X	X X X ..	2,534		1,183,737	1,242,300	1,244,016	X X X
0299998 Deposits in0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - suspended depositories										
			X X X	X X X ..						X X X
0299999 Totals - Suspended Depositories			X X X	X X X ..						X X X
0399999 Total Cash On Deposit			X X X	X X X ..	2,534		1,183,737	1,242,300	1,244,016	X X X
0499999 Cash in Company's Office			X X X	X X X ..	X X X ..	X X X ..	300	300	300	X X X
0599999 Total Cash			X X X	X X X ..	2,534		1,184,037	1,242,600	1,244,316	X X X

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
Cusip	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
All Other Money Market Mutual Funds								
VP4560000	WELLS FARGO ADVANTAGE GOVERNMENT		09/01/2019	1.540	X X X	464,789	946	10,210
8699999 Subtotal - All Other Money Market Mutual Funds						464,789	946	10,210
8899999 Total - Cash Equivalents						464,789	946	10,210

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