

Old Guard Insurance Company

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	309,595,735	0	309,595,735	305,737,066
2. Stocks:				
2.1 Preferred stocks.....	0	0	0	0
2.2 Common stocks.....	113,518,101	0	113,518,101	98,389,793
3. Mortgage loans on real estate:				
3.1 First liens.....	0	0	0	0
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....	0	0	0	0
4.2 Properties held for the production of income (less \$.....0 encumbrances).....	0	0	0	0
4.3 Properties held for sale (less \$.....0 encumbrances).....	0	0	0	0
5. Cash (\$.....0), cash equivalents (\$....2,967,707) and short-term investments (\$.....0).....	2,967,707	0	2,967,707	3,091,423
6. Contract loans (including \$.....0 premium notes).....	0	0	0	0
7. Derivatives.....	0	0	0	0
8. Other invested assets.....	4,655,344	0	4,655,344	4,316,690
9. Receivables for securities.....	0	0	0	0
10. Securities lending reinvested collateral assets.....	0	0	0	0
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	430,736,887	0	430,736,887	411,534,972
13. Title plants less \$.....0 charged off (for Title insurers only).....	0	0	0	0
14. Investment income due and accrued.....	3,410,915	0	3,410,915	4,061,623
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	8,009,698	913,843	7,095,855	8,234,916
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$....150,629 earned but unbilled premiums).....	49,262,367	15,063	49,247,304	47,390,218
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	0	0	0	0
16.2 Funds held by or deposited with reinsured companies.....	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts.....	0	0	0	0
17. Amounts receivable relating to uninsured plans.....	0	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon.....	0	0	0	0
18.2 Net deferred tax asset.....	0	0	0	731,115
19. Guaranty funds receivable or on deposit.....	0	0	0	0
20. Electronic data processing equipment and software.....	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$.....0).....	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates.....	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates.....	364,945	0	364,945	877,312
24. Health care (\$.....0) and other amounts receivable.....	0	0	0	0
25. Aggregate write-ins for other than invested assets.....	0	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	491,784,812	928,906	490,855,906	472,830,156
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0	0
28. Total (Lines 26 and 27).....	491,784,812	928,906	490,855,906	472,830,156

DETAILS OF WRITE-INS

1101.	0	0	0	0
1102.	0	0	0	0
1103.	0	0	0	0
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501.	0	0	0	0
2502.	0	0	0	0
2503.	0	0	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	0	0	0	0

Statement for September 30, 2019 of the **Old Guard Insurance Company**
LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Losses (current accident year \$.....40,502,992).....	125,048,420	122,670,854
2. Reinsurance payable on paid losses and loss adjustment expenses.....	.0	.0
3. Loss adjustment expenses.....	36,829,802	36,294,556
4. Commissions payable, contingent commissions and other similar charges.....	9,352,971	10,014,686
5. Other expenses (excluding taxes, licenses and fees).....	5,948,085	7,852,881
6. Taxes, licenses and fees (excluding federal and foreign income taxes).....	1,946,089	2,255,889
7.1 Current federal and foreign income taxes (including \$.....0 on realized capital gains (losses)).....	137,289	238,469
7.2 Net deferred tax liability.....	2,445,347	.0
8. Borrowed money \$.....0 and interest thereon \$.....0.....	.0	.0
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$....48,263 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act).....	83,793,082	81,371,697
10. Advance premium.....	.0	.0
11. Dividends declared and unpaid:		
11.1 Stockholders.....	.0	.0
11.2 Policyholders.....	.0	.0
12. Ceded reinsurance premiums payable (net of ceding commissions).....	175,655	734,357
13. Funds held by company under reinsurance treaties.....	.0	.0
14. Amounts withheld or retained by company for account of others.....	.0	.0
15. Remittances and items not allocated.....	.0	.0
16. Provision for reinsurance (including \$.....0 certified).....	.0	.0
17. Net adjustments in assets and liabilities due to foreign exchange rates.....	.0	.0
18. Drafts outstanding.....	.0	.0
19. Payable to parent, subsidiaries and affiliates.....	.0	.0
20. Derivatives.....	.0	.0
21. Payable for securities.....	.0	.0
22. Payable for securities lending.....	.0	.0
23. Liability for amounts held under uninsured plans.....	.0	.0
24. Capital notes \$.....0 and interest thereon \$.....0.....	.0	.0
25. Aggregate write-ins for liabilities.....	.0	.0
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25).....	265,676,740	261,433,389
27. Protected cell liabilities.....	.0	.0
28. Total liabilities (Lines 26 and 27).....	265,676,740	261,433,389
29. Aggregate write-ins for special surplus funds.....	46,361,435	30,792,629
30. Common capital stock.....	2,500,000	2,500,000
31. Preferred capital stock.....	.0	.0
32. Aggregate write-ins for other than special surplus funds.....	.0	.0
33. Surplus notes.....	.0	.0
34. Gross paid in and contributed surplus.....	31,870,941	31,870,941
35. Unassigned funds (surplus).....	144,446,790	146,233,197
36. Less treasury stock, at cost:		
36.10.000 shares common (value included in Line 30 \$.....0).....	.0	.0
36.20.000 shares preferred (value included in Line 31 \$.....0).....	.0	.0
37. Surplus as regards policyholders (Lines 29 to 35, less 36).....	225,179,166	211,396,767
38. Totals (Page 2, Line 28, Col. 3).....	490,855,906	472,830,156

DETAILS OF WRITE-INS

2501.0	.0
2502.0	.0
2503.0	.0
2598. Summary of remaining write-ins for Line 25 from overflow page.....	.0	.0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	.0	.0
2901. General voluntary reserve.....	46,361,435	30,792,629
2902.0	.0
2903.0	.0
2998. Summary of remaining write-ins for Line 29 from overflow page.....	.0	.0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above).....	46,361,435	30,792,629
3201.0	.0
3202.0	.0
3203.0	.0
3298. Summary of remaining write-ins for Line 32 from overflow page.....	.0	.0
3299. Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above).....	.0	.0

Old Guard Insurance Company

STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct..... (written \$....52,109).....	3,846	0	0
1.2 Assumed..... (written \$....125,349,095).....	122,927,709	123,901,953	165,527,660
1.3 Ceded..... (written \$....52,109).....	3,846	0	0
1.4 Net..... (written \$....125,349,095).....	122,927,709	123,901,953	165,527,660
DEDUCTIONS:			
2. Losses incurred (current accident year \$....74,879,036):			
2.1 Direct.....	44,561	(143,790)	(150,373)
2.2 Assumed.....	73,518,394	72,572,709	96,247,592
2.3 Ceded.....	36,666	(149,713)	(156,890)
2.4 Net.....	73,526,289	72,578,632	96,254,109
3. Loss adjustment expenses incurred.....	14,197,670	15,658,794	21,496,436
4. Other underwriting expenses incurred.....	44,472,078	46,754,512	60,652,485
5. Aggregate write-ins for underwriting deductions.....	0	0	0
6. Total underwriting deductions (Lines 2 through 5).....	132,196,037	134,991,938	178,403,030
7. Net income of protected cells.....	0	0	0
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7).....	(9,268,328)	(11,089,985)	(12,875,370)
INVESTMENT INCOME			
9. Net investment income earned.....	10,869,623	10,023,181	13,663,524
10. Net realized capital gains (losses) less capital gains tax of \$....(96,023).....	(361,230)	7,281,037	7,845,673
11. Net investment gain (loss) (Lines 9 + 10).....	10,508,393	17,304,218	21,509,197
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$....380,975 amount charged off \$....591,256).....	(210,281)	(240,569)	(288,209)
13. Finance and service charges not included in premiums.....	.85	0	0
14. Aggregate write-ins for miscellaneous income.....	8	.4	.5
15. Total other income (Lines 12 through 14).....	(210,188)	(240,565)	(288,204)
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15).....	1,029,877	5,973,668	8,345,623
17. Dividends to policyholders.....	0	0	0
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17).....	1,029,877	5,973,668	8,345,623
19. Federal and foreign income taxes incurred.....	(176,030)	(588,641)	(801,711)
20. Net income (Line 18 minus Line 19) (to Line 22).....	1,205,907	6,562,309	9,147,334
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year.....	211,396,767	218,552,667	218,552,667
22. Net income (from Line 20).....	1,205,907	6,562,309	9,147,334
23. Net transfers (to) from Protected Cell accounts.....	0	0	0
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$....3,269,449.....	12,299,356	(3,592,588)	(15,800,723)
25. Change in net unrealized foreign exchange capital gain (loss).....	0	0	0
26. Change in net deferred income tax.....	92,988	(105,638)	(497,710)
27. Change in nonadmitted assets.....	184,148	152,432	(4,801)
28. Change in provision for reinsurance.....	0	0	0
29. Change in surplus notes.....	0	0	0
30. Surplus (contributed to) withdrawn from protected cells.....	0	0	0
31. Cumulative effect of changes in accounting principles.....	0	0	0
32. Capital changes:			
32.1 Paid in.....	0	0	0
32.2 Transferred from surplus (Stock Dividend).....	0	0	0
32.3 Transferred to surplus.....	0	0	0
33. Surplus adjustments:			
33.1 Paid in.....	0	0	0
33.2 Transferred to capital (Stock Dividend).....	0	0	0
33.3 Transferred from capital.....	0	0	0
34. Net remittances from or (to) Home Office.....	0	0	0
35. Dividends to stockholders.....	0	0	0
36. Change in treasury stock.....	0	0	0
37. Aggregate write-ins for gains and losses in surplus.....	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37).....	13,782,399	3,016,515	(7,155,900)
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38).....	225,179,166	221,569,182	211,396,767

DETAILS OF WRITE-INS

0501.....	0	0	0
0502.....	0	0	0
0503.....	0	0	0
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above).....	0	0	0
1401. Net other interest income.....	8	.4	.5
1402.....	0	0	0
1403.....	0	0	0
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above).....	8	.4	.5
3701.....	0	0	0
3702.....	0	0	0
3703.....	0	0	0
3798. Summary of remaining write-ins for Line 37 from overflow page.....	0	0	0
3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above).....	0	0	0

Old Guard Insurance Company

CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
CASH FROM OPERATIONS			
1. Premiums collected net of reinsurance.....	124,256,517	125,657,656	167,628,127
2. Net investment income.....	13,796,935	13,056,610	16,945,918
3. Miscellaneous income.....	(210,188)	(240,565)	(288,203)
4. Total (Lines 1 through 3).....	137,843,264	138,473,701	184,285,842
5. Benefit and loss related payments.....	71,148,723	69,736,770	97,253,062
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions.....	60,947,974	63,068,186	78,756,618
8. Dividends paid to policyholders.....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$....(96,023) tax on capital gains (losses).....	(170,873)	1,300,979	1,256,704
10. Total (Lines 5 through 9).....	131,925,824	134,105,935	177,266,384
11. Net cash from operations (Line 4 minus Line 10).....	5,917,440	4,367,766	7,019,458
CASH FROM INVESTMENTS			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	12,669,604	21,136,907	40,641,562
12.2 Stocks.....	4,081,059	29,652,278	32,218,478
12.3 Mortgage loans.....	0	0	0
12.4 Real estate.....	0	0	0
12.5 Other invested assets.....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....	0	0	0
12.7 Miscellaneous proceeds.....	0	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	16,750,663	50,789,185	72,860,040
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	18,867,717	54,198,293	75,438,915
13.2 Stocks.....	4,436,469	3,018,282	5,584,482
13.3 Mortgage loans.....	0	0	0
13.4 Real estate.....	0	0	0
13.5 Other invested assets.....	0	1,968,733	1,968,733
13.6 Miscellaneous applications.....	0	11,062	0
13.7 Total investments acquired (Lines 13.1 to 13.6).....	23,304,186	59,196,370	82,992,130
14. Net increase or (decrease) in contract loans and premium notes.....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(6,553,523)	(8,407,185)	(10,132,090)
CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0	0
16.3 Borrowed funds.....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....	0	0	0
16.5 Dividends to stockholders.....	0	0	0
16.6 Other cash provided (applied).....	512,367	1,702,748	444,802
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	512,367	1,702,748	444,802
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	(123,716)	(2,336,671)	(2,667,830)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	3,091,423	5,759,253	5,759,253
19.2 End of period (Line 18 plus Line 19.1).....	2,967,707	3,422,582	3,091,423

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.001	0	0
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Note 1 – Summary of Significant Accounting Policies and Going Concern**A. Accounting Practices**

The financial statements of Old Guard Insurance Company (the Company) are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance.

The Ohio Department of Insurance recognizes only statutory accounting practices (SAP) prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance law. The National Association of Insurance Commissioners' (NAIC) *Accounting Practices and Procedures Manual* (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Ohio.

The Company has not implemented any prescribed or permitted accounting practices by the State of Ohio that differ from those found in NAIC SAP.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below:

	SSAP #	F/S Page	F/S Line #	9/30/2019	12/31/2018
NET INCOME					
(1) The Company state basis (Page 4, Line 20, Columns 1 & 3)	XXX	XXX	XXX	\$ 1,205,907	\$ 9,147,334
(2) State Prescribed Practice that are an increase/(decrease) from NAIC SAP					
				\$ 0	\$ 0
(3) State Permitted Practice that are an increase/(decrease) from NAIC SAP					
				\$ 0	\$ 0
(4) NAIC SAP (1 – 2 – 3 = 4)	XXX	XXX	XXX	\$ 1,205,907	\$ 9,147,334
SURPLUS					
(5) The Company state basis (Page 3, line 37, Columns 1 & 2)	XXX	XXX	XXX	\$ 225,179,166	\$ 211,396,767
(6) State Prescribed Practice that are an increase/(decrease) from NAIC SAP					
				\$ 0	\$ 0
(7) State Permitted Practice that are an increase/(decrease) from NAIC SAP					
				\$ 0	\$ 0
(8) NAIC SAP (5 – 6 – 7 = 8)	XXX	XXX	XXX	\$ 225,179,166	\$ 211,396,767

B. Use of Estimates in the Preparation of the Financial Statement - No significant changes**C. Accounting Policy**

Premiums are earned over the terms of the related insurance policies and reinsurance contracts. Unearned premium reserves are established to cover the unexpired portion of premiums written. Such reserves are computed by pro rata methods for direct business and are based on reports received from ceding companies for reinsurance.

Expenses incurred in connection with acquiring new insurance business, including such acquisition costs as sales commissions, are charged to operations as incurred. Expenses incurred are reduced for ceding allowances received or receivable.

In addition, the Company uses the following accounting policies:

- (1-5) No significant changes
- (6) Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair market value. The retrospective adjustment method is used to value all securities. If a security has been written down due to an other-than-temporary impairment, the prospective adjustment method is used subsequent to the loss recognition in accordance with SSAP No.43R.
- (7-13) No significant changes

D. Going Concern

Management continuously monitors the Company's financial results and compliance with regulatory requirements and finds no reason to expect the Company to not continue as a going concern.

Note 2 – Accounting Changes and Corrections of Errors

No significant changes

Note 3 – Business Combinations and Goodwill

Not applicable

Note 4 – Discontinued Operations

No significant changes

Note 5 – Investments

- A. Mortgage Loans, including Mezzanine Real Estate Loans - Not applicable
- B. Debt Restructuring - Not applicable
- C. Reverse Mortgages - Not applicable
- D. Loan-Backed Securities

(1) Prepayment assumptions for single class and multi class mortgage-backed/asset-backed securities were obtained from broker dealer survey values or internal estimates. The Company used Interactive Data Corp. in determining the market value of its loan-backed securities.

(2-3) No other-than-temporary impairments have been recognized on loan-backed securities.

(4) Impaired loan-backed securities for which an other-than-temporary impairment has not been recognized as of September 30, 2019 are summarized below:

a. The aggregate amount of unrealized losses:	1. Less than 12 Months	\$ (70,842)
	2. 12 Months or Longer	\$ (52,334)
b. The aggregate related fair value of securities with unrealized losses:	1. Less than 12 Months	\$ 4,454,169
	2. 12 Months or Longer	\$ 6,538,177

(5) In concluding that the impairments are not other-than-temporary, the Company has considered the following general categories of information:

- Length of time and extent to which the fair value has been less than cost
- Issuer credit quality
- Industry sector considerations
- General interest rate environment
- Probability of collecting future cash flows

E. Dollar Repurchase Agreements and/or Securities Lending Transactions - Not Applicable

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing - Not Applicable

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing - Not Applicable

H. Repurchase Agreements Transactions Accounted for as a Sale - Not Applicable

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale - Not Applicable

J. Real Estate - Not applicable

K. Low-Income Housing Tax Credits (LIHTC) - Not applicable

L. Restricted Assets - No significant changes

M. Working Capital Finance Investments - Not applicable

N. Offsetting and Netting of Assets and Liabilities - Not applicable

O. Structured Notes - Not applicable

P. 5GI Securities - Not applicable

Q. Short Sales - Not applicable

R. Prepayment Penalty and Acceleration Fees - Not applicable

Note 6 – Joint Ventures, Partnerships and Limited Liability Companies

Not applicable

Note 7 – Investment Income

Not applicable

Note 8 – Derivative Instruments

Not applicable

Note 9 – Income Taxes

A. Deferred Tax Assets/(Liabilities)

1. Components of Net Deferred Tax Asset/(Liability)

	Current	Year	to Date	2018			Change		
	1 Ordinary	2 Capital	3 (Col 1+2) Total	4 Ordinary	5 Capital	6 (Col 4+5) Total	7 (Col 1-4) Ordinary	8 (Col 2-5) Capital	9 (Col 7+8) Total
a. Gross deferred tax assets	\$ 6,820,500	\$ 2,384,447	\$ 9,204,947	\$ 6,716,993	\$ 2,499,113	\$ 9,216,106	\$ 103,507	\$ (114,666)	\$ (11,159)
b. Statutory valuation allowance adjustment	0	0	0	0	0	0	0	0	0
c. Adjusted gross deferred tax assets (1a-1b)	\$ 6,820,500	\$ 2,384,447	\$ 9,204,947	\$ 6,716,993	\$ 2,499,113	\$ 9,216,106	\$ 103,507	\$ (114,666)	\$ (11,159)
d. Deferred tax assets nonadmitted	0	0	0	0	0	0	0	0	0
e. Subtotal net admitted deferred tax asset (1c-1d)	\$ 6,820,500	\$ 2,384,447	\$ 9,204,947	\$ 6,716,993	\$ 2,499,113	\$ 9,216,106	\$ 103,507	\$ (114,666)	\$ (11,159)
f. Deferred tax liabilities	687,056	10,963,238	11,650,294	892,454	7,592,537	8,484,991	(205,398)	3,370,701	3,165,303
g. Net admitted	\$ 6,133,444	\$ (8,578,791)	\$ (2,445,347)	\$ 5,824,539	\$ (5,093,424)	\$ 731,115	\$ 308,905	\$ (3,485,367)	\$ (3,176,462)

	Current	Year	to Date		2018			Change	
	1	2	3 (Col 1+2) Total	4	5	6 (Col 4+5) Total	7 (Col 1-4) Ordinary	8 (Col 2-5) Capital	9 (Col 7+8) Total
deferred tax assets/(net deferred tax liability) (1e-1f)									

2. Admission Calculation Components SSAP No. 101

	Current	Year	to Date	2018			Change		
	1	2	3 (Col 1+2) Total	4	5	6 (Col 4+5) Total	7 (Col 1-4) Ordinary	8 (Col 2-5) Capital	9 (Col 7+8) Total
a. Federal income taxes paid in prior years recoverable through loss carrybacks	\$ 0	\$ 353,759	\$ 353,759	\$ 0	\$ 380,064	\$ 380,064	\$ 0	\$ (26,305)	\$ (26,305)
b. Adjusted gross deferred tax assets expected to be realized (excluding the amount of deferred tax assets from 2(a) above) after application of the threshold limitation. (The lesser of 2(b)1 and 2(b)2 below)	3,956,434	0	3,956,434	1,402,715	0	1,402,715	2,553,719	0	2,553,719
1. Adjusted gross deferred tax assets expected to be realized following the balance sheet date	3,956,434	0	3,956,434	1,402,715	0	1,402,715	2,553,719	0	2,553,719
2. Adjusted gross deferred tax assets allowed per limitation threshold			33,776,875			31,709,515			2,067,360
c. Adjusted gross deferred tax assets (excluding the amount of deferred tax assets from 2(a) and 2(b) above) offset by gross deferred tax liabilities	2,864,066	2,030,688	4,894,754	5,314,278	2,119,049	7,433,327	(2,450,212)	(88,361)	(2,538,573)
d. Deferred tax assets admitted as the result of application of SSAP 101. Total (2(a)+2(b)+2(c))	\$ 6,820,500	\$ 2,384,447	\$ 9,204,947	\$ 6,716,993	\$ 2,499,113	\$ 9,216,106	\$ 103,507	\$ (114,666)	\$ (11,159)

3. Other Admissibility Criteria

	Current Year to Date	2018
a. Ratio percentage used to determine recovery period and threshold limitation amount	1,004.9%	943.5%
b. Amount of adjusted capital and surplus used to determine recovery period and threshold limitation in 2(b)2 above	\$ 225,179,166	\$ 211,396,767

4. Impact of Tax Planning Strategies

(a) Determination of adjusted gross deferred tax assets and net admitted deferred tax assets, by tax character as a percentage.

	Current Year to Date		2018		Change	
	1	2	3	4	5 (Col. 1-3) Ordinary	6 (Col. 2-4) Capital
1. Adjusted gross DTAs amount from Note 9A1(c)	\$ 6,820,500	\$ 2,384,447	\$ 6,716,993	\$ 2,499,113	\$ 103,507	\$ (114,666)
2. Percentage of adjusted gross DTAs by tax character attributable to the impact of tax planning strategies	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
3. Net Admitted Adjusted Gross DTAs amount from Note 9A1(e)	\$ 6,820,500	\$ 2,384,447	\$ 6,716,993	\$ 2,499,113	\$ 103,507	\$ (114,666)
4. Percentage of net admitted adjusted gross DTAs by tax character admitted because of the impact	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%

	Current 1 Ordinary	Year to Date 2 Capital	2018 3 Ordinary		Change 4	
				5 (Col. 1-3) Ordinary		6 (Col. 2-4) Capital
of tax planning strategies						

(b) Does the company's tax planning strategies include the use of reinsurance? NO

B. Deferred Tax Liabilities Not Recognized

1. The types of temporary differences for which a DTL has not been recognized and the types of events that would cause those temporary differences to become taxable are:
There are no temporary differences for which deferred tax liabilities are not recorded.
2. The cumulative amount of each type of temporary difference is:
Not Applicable
3. The amount of the unrecognized DTL for temporary differences related to investments in foreign subsidiaries and foreign corporate joint ventures that are essentially permanent in duration, if determination of that liability is practicable, or a statement that determination is not practicable are:
Not Applicable
4. The amount of the DTL for temporary differences other than those in item (3) above that is not recognized is:
Not Applicable

C. Current and Deferred Income Taxes

1. Current Income Tax

	1 Current Year to Date	2 2018	3 (Col 1-2) Change
a. Federal	\$ (17,939)	\$ 1,070,881	\$ (1,088,820)
b. Foreign	\$ 17,939	\$ 13,536	\$ 4,403
c. Subtotal	\$ 0	\$ 1,084,417	\$ (1,084,417)
d. Federal income tax on net capital gains	\$ 96,023	\$ (2,083,491)	\$ 2,179,514
e. Utilization of capital loss carry-forwards	\$ 0	\$ 0	\$ 0
f. Other	\$ (272,053)	\$ 197,363	\$ (469,416)
g. Federal and Foreign income taxes incurred	\$ (176,030)	\$ (801,711)	\$ 625,681

2. Deferred Tax Assets

	1 Current Year to Date	2 2018	3 (Col 1-2) Change
a. Ordinary:			
1. Discounting of unpaid losses	\$ 1,418,804	\$ 1,568,451	\$ (149,647)
2. Unearned premium reserve	\$ 3,318,119	\$ 3,241,979	\$ 76,140
3. Policyholder reserves	\$ 0	\$ 0	\$ 0
4. Investments	\$ 3,235	\$ 3,235	\$ 0
5. Deferred acquisition costs	\$ 0	\$ 0	\$ 0
6. Policyholder dividends accrual	\$ 0	\$ 0	\$ 0
7. Fixed assets	\$ 85,186	\$ 85,186	\$ 0
8. Compensation and benefits accrual	\$ 0	\$ 0	\$ 0
9. Pension accrual	\$ 997,417	\$ 1,000,090	\$ (2,673)
10. Receivables - nonadmitted	\$ 0	\$ 0	\$ 0
11. Net operating loss carry-forward	\$ 304,302	\$ 0	\$ 304,302
12. Tax credit carry-forward	\$ 0	\$ 0	\$ 0
13. Other (items <=5% and >5% of total ordinary tax assets)	\$ 693,437	\$ 818,052	\$ (124,615)
Other (items listed individually >5% of total ordinary tax assets)			
Guaranty fund accrual	\$ 60,579	\$ 69,415	\$ (8,836)
Salvage and subrogation	\$ 616,319	\$ 737,069	\$ (120,750)
Other	\$ 16,539	\$ 11,568	\$ 4,971
99. Subtotal	\$ 6,820,500	\$ 6,716,993	\$ 103,507
b. Statutory valuation allowance adjustment	\$ 0	\$ 0	\$ 0
c. Nonadmitted	\$ 0	\$ 0	\$ 0
d. Admitted ordinary deferred tax assets (2a99-2b-2c)	\$ 6,820,500	\$ 6,716,993	\$ 103,507
e. Capital:			
1. Investments	\$ 2,256,321	\$ 2,354,498	\$ (98,177)
2. Net capital loss carry-forward	\$ 0	\$ 0	\$ 0
3. Real estate	\$ 0	\$ 0	\$ 0
4. Other (items <=5% and >5% of total capital tax assets)	\$ 128,126	\$ 144,615	\$ (16,489)
Other (items listed individually >5% of total capital tax assets)			
Other	\$ 128,126	\$ 144,615	\$ (16,489)
99. Subtotal	\$ 2,384,447	\$ 2,499,113	\$ (114,666)
f. Statutory valuation allowance adjustment	\$ 0	\$ 0	\$ 0
g. Nonadmitted	\$ 0	\$ 0	\$ 0
h. Admitted capital deferred tax assets (2e99-2f-2g)	\$ 2,384,447	\$ 2,499,113	\$ (114,666)
i. Admitted deferred tax assets (2d+2h)	\$ 9,204,947	\$ 9,216,106	\$ (11,159)

3. Deferred Tax Liabilities

	1 Current Year to Date	2 2018	3 (Col 1-2) Change
a. Ordinary:			
1. Investments	\$ 19,000	\$ 24,893	\$ (5,893)
2. Fixed assets	0	0	0
3. Deferred and uncollected premium	20,824	15,941	4,883
4. Policyholder reserves	0	0	0
5. Other (items <=5% and >5% of total ordinary tax liabilities)	647,232	851,620	(204,388)
Other (items listed individually >5% of total ordinary tax liabilities)			
Pension accrual	0	0	0
Other	647,232	851,620	(204,388)
99. Subtotal	687,056	892,454	(205,398)
b. Capital:			
1. Investments	1,209,417	1,108,165	101,252
2. Real estate	0	0	0
3. Other (Items <=5% and >5% of total capital tax liabilities)	9,753,821	6,484,372	3,269,449
Other (items listed individually >5% of total capital tax liabilities)			
Unrealized gain/(loss)	9,753,821	6,484,372	3,269,449
99. Subtotal	10,963,238	7,592,537	3,370,701
c. Deferred tax liabilities (3a99+3b99)	\$ 11,650,294	\$ 8,484,991	\$ 3,165,303
4. Net Deferred Tax Assets (2i – 3c)	\$ (2,445,347)	\$ 731,115	\$ (3,176,462)

D. Reconciliation of Federal Income Tax Rate to Actual Effective Rate Among the more significant book to tax adjustments were the following:

	Amount	Effective Tax Rate (%)
Permanent Differences:		
Provision computed at statutory rate	\$ 196,109	21.0%
Proration of tax exempt investment income	104,063	11.1%
Tax exempt income deduction	(210,211)	(22.5)%
Dividends received deduction	(206,041)	(22.1)%
Disallowable travel and entertainment	52,635	5.6%
Other permanent differences	3,932	0.4%
Temporary Differences:		
Total ordinary DTAs	256,505	27.5%
Total ordinary DTLs	78,678	8.4%
Total capital DTAs	(98,052)	(10.5)%
Total capital DTLs	(177,618)	(19.0)%
Other:		
Statutory valuation allowance adjustment	0	0%
Accrual adjustment – prior year	(272,053)	(29.1)%
Other	0	0%
Totals	(272,053)	(29.1)%
Federal and foreign income taxes incurred	(176,030)	(18.8)%
Realized capital gains (losses) tax	(96,023)	(10.3)%
Change in net deferred income taxes	(59,513)	(6.4)%
Total statutory income taxes	\$ (331,566)	(35.5)%

E. Operating Loss Carryforwards and Income Taxes Available for Recoupment

1. The amounts, origination dates and expiration dates of operating loss and tax credit carry forwards available for tax purposes:

Description (Operating Loss or Tax Credit Carry Forward)	Amounts	Origination Dates	Expiration Dates
Net Operating Loss Carryforward	\$304,302	December 31, 2019	December 31, 2039

2. The following is income tax expense for current year and proceeding years that is available for recoupment in the event of future net losses:

Year	Amounts
2019/09/30	\$0
2018/12/31	\$2,210,558
2017/12/31	\$1,464,808

3. The Company's aggregate amount of deposits admitted under Section 6603 of the Internal Revenue Service Code is \$ 0 : The company did not have any protective tax deposits under Section 6603 of the Internal Revenue Service Code.

F. Consolidated Federal Income Tax Return

1. The Company's federal income tax return is consolidated with the following entities:

Ohio Farmers Insurance Company (parent company)
Westfield Insurance Company
Westfield National Insurance Company
American Select Insurance Company
Westfield Champion Insurance Company
Westfield Premier Insurance Company

Westfield Superior Insurance Company
 Westfield Touchstone Insurance Company
 Westfield Management Company
 Westfield Services, Inc.
 Westfield Bancorp, Inc.
 Westfield Bank, FSB
 Westfield Credit Corp.
 COIN Financial, Inc.

2. The manner in which the Board of Directors sets forth for allocating the consolidated federal income tax:
 Each company in the consolidation has agreed to share any tax or recovery of tax based on their individual taxable income or loss. Each company's current taxable income or loss will be adjusted by any prior taxable income or loss which can be carried forward to the current year.

G. Federal or Foreign Federal Income Tax Loss Contingencies:
 None

H. Repatriation Transition Tax (RTT) - RTT owed under the TCJA

1a Has the entity fully remitted the RTT? YES

1b If yes, list the amount of the RTT paid. 0

If no, list the future installments to satisfy the RTT:

1	Installment 1	0
2	Installment 2	0
3	Installment 3	0
4	Installment 4	0
5	Installment 5	0
6	Installment 6	0
7	Installment 7	0
8	Installment 8	0
9	Total	0

I. Alternative Minimum Tax (AMT Credit)

Was the AMT Credit recognized as a current year recoverable or Deferred Tax Asset (DTA)? DTA

Gross AMT Credit Recognized as:

1a	Current year recoverable	0
1b	Deferred tax asset (DTA)	0
2	Beginning Balance of AMT Credit Carryforward	0
3	Amounts Recovered	0
4	Adjustments	0
5	Ending Balance of AMT Credit Carryforward (5=2-3-4)	0
6	Reduction for Sequestration	0
7	Nonadmitted by Reporting Entity	0
8	Reporting Entity Ending Balance (8=5-6-7)	0

Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

A. The Company is owned and operated by its parent company, Ohio Farmers Insurance Company.
 B. Transactions - Not applicable
 C. Dollar Amounts of Transactions - Not applicable
 D. Affiliated balances due to the Company at 9/30/2019 and 12/31/2018 respectively were:

	9/30/2019	12/31/2018
Ohio Farmers Insurance Company	\$ 364,945	\$ 877,312
Affiliated Receivable	<u>\$ 364,945</u>	<u>\$ 877,312</u>

Every ninety (90) days the affiliated balances are reviewed and settled in either cash or the transfer of securities.

E. Guarantees or Undertakings - No significant changes
 F. Material Management or Service Contracts and Cost-Sharing Arrangements - Not applicable
 G. Nature of the Control Relationship - No significant changes
 H. Amount Deducted from the Value of Upstream Intermediate Entity or Ultimate Parent Owned - Not applicable
 I. Investments in SCA that Exceed 10% of Admitted Assets - No significant changes
 J. Investments in Impaired SCAs - Not applicable
 K. Investment in Foreign Insurance Subsidiary - Not applicable
 L. Investment in Downstream Noninsurance Holding Company - Not applicable
 M. All SCA Investments - Not applicable
 N. Investment in Insurance SCAs - Not applicable
 O. SCA Loss Tracking - Not applicable

Note 11 – Debt

- A. Debt, Including Capital Notes - Not applicable
- B. FHLB (Federal Home Loan Bank) Agreements - Not applicable

Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

- A. Defined Benefit Plan

The Company's parent, Ohio Farmers Insurance Company, sponsors a non-contributory defined benefit pension plan covering U. S. employees.

(1-3) No significant changes

(4) Components of Net Periodic Benefit Cost

As of September 30, 2019 and September 30, 2018, there was accrued, in accordance with actuarially determined amounts with an offset to the pension cost accrual for the incremental asset amortization, amounts representing the present value of future benefit obligations.

	Pension Benefits		Postretirement Benefits		Special or Contractual Benefits per SSAP No. 11	
	09/30/2019	09/30/2018	09/30/2019	09/30/2018	09/30/2019	09/30/2018
a. Service cost	\$ 12,317,468	\$ 14,219,134	\$ 554,806	\$ 687,823	\$ 0	\$ 0
b. Interest cost	18,508,025	17,046,418	1,361,358	1,296,609	0	0
c. Expected return on plan assets	(24,462,484)	(25,818,471)	(1,545,721)	(1,871,929)	0	0
d. Transition asset or obligation	0	0	0	0	0	0
e. Gains and losses	6,485,217	7,661,387	360,342	426,040	0	0
f. Prior service cost or credit	805,812	850,443	290,680	292,789	0	0
g. Gain or loss recognized due to a settlement curtailment	0	0	0	0	0	0
h. Total net periodic benefit cost	\$ 13,654,038	\$ 13,958,911	\$ 1,021,465	\$ 831,332	\$ 0	\$ 0

The Postretirement Benefits expense reflects the receipt of the government subsidy during the period that Ohio Farmers Insurance Company's plan benefits are expected to be considered actuarially equivalent to those provided by Medicare Part D.

(5-12) No significant changes

(13) Ohio Farmers Insurance Company contributed \$20.7 million to its pension plan in March 2019. Ohio Farmers Insurance Company does not expect to make any additional contributions during the remainder of fiscal year 2019.

(14-21) No significant changes

- B. Investment Policies and Strategies - No significant changes
- C. Fair Value of Plan Assets - No significant changes
- D. Basis Used to Determine Expected Long-Term Rate-of-Return - No significant changes
- E. Defined Contribution Plans - No significant changes
- F. Multiemployer Plans - Not Applicable
- G. Consolidated/Holding Company Plans - Not Applicable
- H. Postemployment Benefits and Compensated Absences - Not Applicable
- I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17) - No significant changes

Note 13 – Capital and Surplus, Shareholder's Dividend Restrictions and Quasi-Reorganizations

No significant changes

Note 14 – Liabilities, Contingencies and Assessments

- A. Contingent Commitments - Not applicable
- B. Assessments - No significant changes
- C. Gain Contingencies - Not applicable
- D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits - Not applicable
- E. Product Warranties - Not applicable
- F. Joint and Several Liabilities - Not applicable
- G. All Other Contingencies

Various lawsuits against the Company have arisen in the course of the Company's business. Contingent liabilities arising from litigation, income taxes, and other matters are not considered material in relation to the financial position of the Company. There are no contingent liabilities arising from litigation.

There has been no significant change in the collectability of the Company's accounts receivable for Agents Balances or Uncollected Premiums.

Note 15 – Leases

No significant changes

Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

Not applicable

Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales

The Company has not sold or transferred any receivables to any other parties.

B. Transfer and Servicing of Financial Assets - Not applicable

C. Wash Sales - Not applicable

Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Portion of Partially Insured Plans

Not applicable

Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not applicable

Note 20 – Fair Value Measurements

A. Fair Value Measurements

For assets that are measured and reported at fair value or net asset value (NAV) in the statement of financial position after initial recognition, the valuation techniques and the inputs used to develop those measurements are as follows:

Level 1 - Values are unadjusted quoted prices for identical assets and liabilities in active markets accessible at the measurement date.

Level 2 - Inputs include quoted prices for similar assets or liabilities in active markets, quoted prices from those willing to trade in markets that are not active, or other inputs that are observable or can be corroborated by market data for the term of the instrument. Such inputs include market interest rates and volatilities, spreads and yield curves.

Level 3 - Certain inputs are unobservable (supported by little or no market activity) and significant to the fair value measurement. Unobservable inputs reflect the Company's best estimate of what hypothetical market participants would use to determine a transaction price for the asset or liability at the reporting date.

The Company has no liabilities that are measured at fair value in the statement of financial position.

(1) Fair Value Measurements at Reporting Date

Description for Each Type of Asset or Liability	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
Assets at Fair Value					
CS - Industrial and Miscellaneous - Unaffiliated	\$ 113,518,101	\$ 0	\$ 0	\$ 0	\$ 113,518,101
CE - Money Market Mutual Funds	\$ 0	\$ 0	\$ 0	\$ 2,967,707	\$ 2,967,707
OIA - Joint Venture, Ptr or LLC, char. of Com Stks - Unaffiliated	\$ 4,655,344	\$ 0	\$ 0	\$ 0	\$ 4,655,344
Total	\$ 118,173,445	\$ 0	\$ 0	\$ 2,967,707	\$ 121,141,152
Liabilities at Fair Value					
	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Total	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0

(2) At September 30, 2019, the Company held no investments in assets or liabilities measured and reported at fair value that were classified as Level 3.

(3) The Company's policy for determining when transfers between levels is required is based upon change in the inputs used to determine fair value measurement. If an input changes, the Company evaluates the new input(s) and makes the determination whether or not a transfer between levels is appropriate. If an asset or liability is transferred between levels, it is the Company's policy to record the transfer as of the beginning of the quarter in which the transfer occurs. The Company held no assets or liabilities categorized as Level 1, 2 or 3 during the reporting period that were transferred into or out of the level categorization held at January 1, 2019.

(4) As of September 30, 2019, the Company held no investments in assets or liabilities measured and reported at fair value that were classified as Level 2 or Level 3. Historically, fair values in the Level 2 category are provided by independent pricing services. Where independent pricing services provide fair values, the Company has obtained an understanding of the methods, models and inputs used in pricing and has controls in place to validate that amounts provided represent current fair values. Estimated fair values of investments categorized as Level 3 generally include inputs for which no readily observable inputs are available and require management judgment.

(5) As of September 30, 2019, the Company had no holdings classified as either a derivative asset or liability.

B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements - Not required

C. Fair Value Level

The method(s) and significant assumptions used to estimate the fair value of financial instruments are as follows:

Investment Securities - Fair values for bonds are based on the values prescribed by an independent pricing service or from brokers. For bonds that are not actively traded, estimated fair values are based on values of bonds of comparable yield and credit quality. The fair values for common stocks are based on quoted market prices, where available, which are provided to the Company by an independent pricing service.

Cash equivalents - Cash equivalents include money market mutual funds, which are reported at net asset value (NAV) as a practical expedient. Such money market mutual funds are separately identified in a NAV column. NAV is defined as the amount of net assets attributable to each share outstanding at the close of the period.

Other Invested Assets - The estimated fair value of publicly traded limited partnerships is based on the values prescribed by an independent pricing service.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 335,456,504	\$ 309,595,735	\$ 48,371,513	\$ 287,068,613	\$ 16,378	\$ 0	\$ 0
Common stocks	\$ 113,518,101	\$ 113,518,101	\$ 113,518,101	\$ 0	\$ 0	\$ 0	\$ 0
Cash equivalents	\$ 2,967,707	\$ 2,967,707	\$ 0	\$ 0	\$ 0	\$ 2,967,707	\$ 0
Other invested assets	\$ 4,655,344	\$ 4,655,344	\$ 4,655,344	\$ 0	\$ 0	\$ 0	\$ 0

D. Not Practicable to Estimate Fair Value - Not applicable

E. NAV Practical Expedient Investments

At September 30, 2019, the investments held by the Company which are being reported at net asset value (NAV) consist entirely of money market mutual funds. There are no significant restrictions that apply in the liquidation of any of these investments. They are also not likely to be sold below NAV.

Note 21 – Other Items

- A. Unusual or Infrequent Items - Not applicable
- B. Troubled Debt Restructuring Debtors - Not applicable
- C. Other Disclosures - Not applicable
- D. Business Interruption Insurance Recoveries - No significant changes
- E. State Transferable and Non-Transferable Tax Credits - No significant changes
- F. Subprime Mortgage Related Risk Exposure - No significant changes
- G. Insurance-Linked Securities (ILS) Contracts - Not applicable

Note 22 – Events Subsequent

Subsequent events have been considered through October 15, 2019 for the statutory statements issued as of September 30, 2019. No events or transactions have occurred that would give rise to a Type I or Type II subsequent event.

Note 23 – Reinsurance

No significant changes

Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination

Not applicable

Note 25 – Change in Incurred Losses and Loss Adjustment Expenses

Reserves as of December 31, 2018 were \$159.0 million. In calendar year 2019, \$43.4 million has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$113.1 million. Therefore, there has been a \$2.5 million favorable prior-year development from December 31, 2018 to September 30, 2019. The favorable development is principally from decreases in the estimates of loss and loss adjustment expenses for the following lines of business: Auto Physical Damage, Other Liability and Workers' Compensation. This change is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. The estimates are not affected by prior year loss development on retrospectively rated policies, as the Company does not write this type of policy.

Note 26 – Intercompany Pooling Arrangements

A. - F. No significant changes

G. Affiliated balances due to the Company at 9/30/2019 and 12/31/2018 respectively were:

	9/30/2019	12/31/2018
Ohio Farmers Insurance Company*	\$ 364,945	\$ 877,312
Affiliated Receivable	\$ 364,945	\$ 877,312

*Ohio Farmers Insurance Company is included in the intercompany pooling arrangement.

Note 27 – Structured Settlements

No significant changes

Note 28 – Health Care Receivables

Not applicable

Note 29 – Participating Policies

No significant changes

Note 30 – Premium Deficiency Reserves

No significant changes

Note 31 – High Deductibles

Not applicable

Note 32 – Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses

No significant changes

Note 33 – Asbestos/Environmental Reserves

No significant changes

Note 34 – Subscriber Savings Accounts

Not applicable

Note 35 – Multiple Peril Crop Insurance

Not applicable

Note 36 – Financial Guaranty Insurance

Not applicable

Old Guard Insurance Company

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]

2.2 If yes, date of change:

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? If yes, complete Schedule Y, Parts 1 and 1A. Yes [X] No []

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [] No [X]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period. Yes [] No [X]

4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2 NAIC Company Code	3 State of Domicile
Name of Entity	0	
		0

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? If yes, attach an explanation. Yes [] No [] N/A [X]

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2017

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2017

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 05/29/2019

6.4 By what department or departments?

Ohio

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [] No [] N/A [X]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [X] No []

8.2 If response to 8.1 is yes, please identify the name of the bank holding company. Ohio Farmers Insurance Company

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No []

8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Ohio Farmers Insurance Company	Westfield Center, Ohio	Yes	No	No	No
Westfield Bancorp, Inc	Westfield Center, Ohio	Yes	No	No	No
Westfield Bank, FSB	Westfield Center, Ohio	No	Yes	No	No

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []

(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

(c) Compliance with applicable governmental laws, rules and regulations;

(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain: Yes [] No [X]

9.2 Has the code of ethics for senior managers been amended? Yes [] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

Old Guard Insurance Company

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

9.3 Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [X] No []

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$ 364,945

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$ 0

13. Amount of real estate and mortgages held in short-term investments:

\$ 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [] No [X]

14.2 If yes, please complete the following:

1	2
Prior Year End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
\$ 0	\$ 0
0	0
0	0
0	0
0	0
0	0
\$ 0	\$ 0
\$ 0	\$ 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?

Yes [] No []

If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2:

\$ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2:

\$ 0

16.3 Total payable for securities lending reported on the liability page:

\$ 0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*?

Yes [X] No []

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1	2
Name of Custodian(s)	Custodian Address
BNY Mellon	One Wall Street, New York, NY 10286

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1	2	3
Name(s)	Location(s)	Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?

Yes [] No [X]

17.4 If yes, give full and complete information relating thereto:

1	2	3	4
Old Custodian	New Custodian	Date of Change	Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such "[...that have access to the investment accounts", "handle securities"].

1	2
Name of Firm or Individual	Affiliation
George Wiswesser	I
Ronald Stephonic	I
Krishna Patel	I
Scott Richter	I
Richard Nash	I
Chris Giampietro	I
Melinda Pritchard	I

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's assets?

Yes [] No [X]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets?

Yes [] No [X]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

Old Guard Insurance Company

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes No

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- b. Issuer or obligor is current on all contracted interest and principal payments.
- c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities?

Yes No

20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:

- a. The security was purchased prior to January 1, 2018.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities?

Yes No

Old Guard Insurance Company

GENERAL INTERROGATORIES (continued)

PART 2 – PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [] No [X] N/A []
If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [] No [X]
If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [] No [X]

3.2 If yes, give full and complete information thereto:

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes [] No [X]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximu m Interest	3 Disc. Rate	Total Discount				Discount Taken During Period			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 Total	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 Total
	0.000	0.000	0	0	0	0	0	0	0	
Total	XXX	XXX	0	0	0	0	0	0	0	

5. Operating Percentages:

5.1 A&H loss percent	0.000%
5.2 A&H cost containment percent	0.000%
5.3 A&H expense percent excluding cost containment expenses	0.000%

6.1 Do you act as a custodian for health savings accounts? Yes [] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ 0

6.3 Do you act as an administrator for health savings accounts? Yes [] No [X]

6.4 If yes, please provide the amount of funds administered as of the reporting date. \$ 0

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No []

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [] No []

Old Guard Insurance Company
SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating

NONE

Old Guard Insurance Company

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

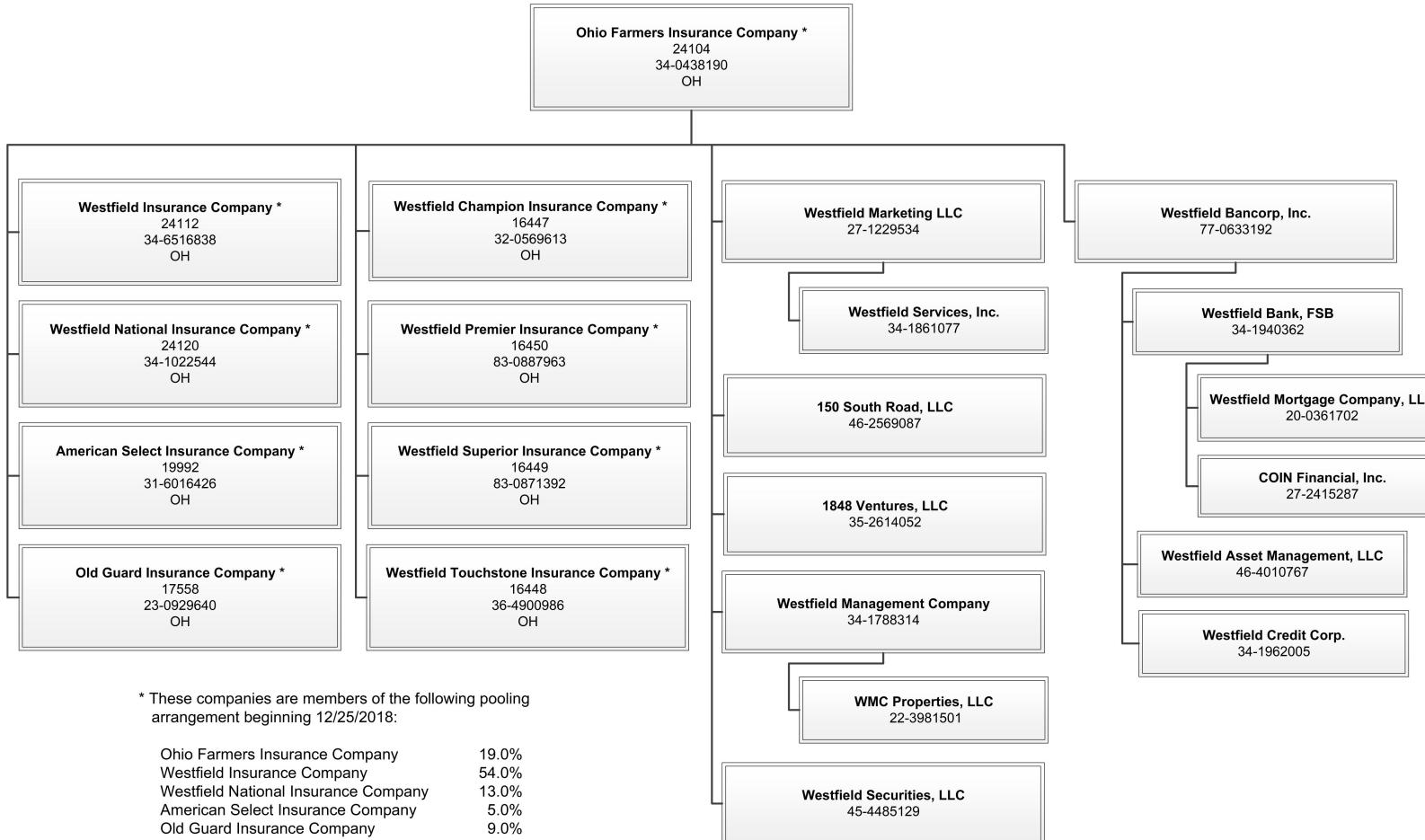
States, Etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year to Date	3 Prior Year to Date	4 Current Year to Date	5 Prior Year to Date	6 Current Year to Date	7 Prior Year to Date
1. Alabama.....	.AL	N.....	0.....	0.....	0.....	0.....	0.....
2. Alaska.....	AK	N.....	0.....	0.....	0.....	0.....	0.....
3. Arizona.....	AZ	L.....	0.....	0.....	0.....	0.....	0.....
4. Arkansas.....	AR	N.....	0.....	0.....	0.....	0.....	0.....
5. California.....	CA	N.....	0.....	0.....	0.....	0.....	0.....
6. Colorado.....	CO	L.....	0.....	0.....	0.....	0.....	0.....
7. Connecticut.....	CT	N.....	0.....	0.....	0.....	0.....	0.....
8. Delaware.....	DE	L.....	0.....	0.....	81,792	80,532	1,994,453
9. District of Columbia.....	DC	N.....	0.....	0.....	0.....	0.....	0.....
10. Florida.....	FL	N.....	0.....	0.....	0.....	0.....	0.....
11. Georgia.....	GA	L.....	0.....	0.....	0.....	0.....	0.....
12. Hawaii.....	HI	N.....	0.....	0.....	0.....	0.....	0.....
13. Idaho.....	ID	N.....	0.....	0.....	0.....	0.....	0.....
14. Illinois.....	IL	L.....	52,109	0.....	0.....	0.....	0.....
15. Indiana.....	IN	L.....	0.....	0.....	0.....	0.....	0.....
16. Iowa.....	IA	L.....	0.....	0.....	0.....	0.....	0.....
17. Kansas.....	KS	N.....	0.....	0.....	0.....	0.....	0.....
18. Kentucky.....	KY	L.....	0.....	0.....	0.....	0.....	0.....
19. Louisiana.....	LA	N.....	0.....	0.....	0.....	0.....	0.....
20. Maine.....	ME	N.....	0.....	0.....	0.....	0.....	0.....
21. Maryland.....	MD	L.....	0.....	0.....	0.....	0.....	0.....
22. Massachusetts.....	MA	N.....	0.....	0.....	0.....	0.....	0.....
23. Michigan.....	MI	L.....	0.....	0.....	0.....	0.....	0.....
24. Minnesota.....	MN	L.....	0.....	0.....	0.....	0.....	0.....
25. Mississippi.....	MS	N.....	0.....	0.....	0.....	0.....	0.....
26. Missouri.....	MO	N.....	0.....	0.....	0.....	0.....	0.....
27. Montana.....	MT	N.....	0.....	0.....	0.....	0.....	0.....
28. Nebraska.....	NE	N.....	0.....	0.....	0.....	0.....	0.....
29. Nevada.....	NV	N.....	0.....	0.....	0.....	0.....	0.....
30. New Hampshire.....	NH	N.....	0.....	0.....	0.....	0.....	0.....
31. New Jersey.....	NJ	N.....	0.....	0.....	0.....	0.....	0.....
32. New Mexico.....	NM	L.....	0.....	0.....	0.....	0.....	0.....
33. New York.....	NY	N.....	0.....	0.....	0.....	0.....	0.....
34. North Carolina.....	NC	L.....	0.....	0.....	0.....	0.....	0.....
35. North Dakota.....	ND	L.....	0.....	0.....	0.....	0.....	0.....
36. Ohio.....	OH	L.....	0.....	0.....	0.....	0.....	0.....
37. Oklahoma.....	OK	N.....	0.....	0.....	0.....	0.....	0.....
38. Oregon.....	OR	E.....	0.....	0.....	0.....	0.....	0.....
39. Pennsylvania.....	PA	L.....	0.....	0.....	19,499	36,296	335,751
40. Rhode Island.....	RI	N.....	0.....	0.....	0.....	0.....	0.....
41. South Carolina.....	SC	L.....	0.....	0.....	0.....	0.....	0.....
42. South Dakota.....	SD	L.....	0.....	0.....	0.....	0.....	0.....
43. Tennessee.....	TN	L.....	0.....	0.....	0.....	0.....	0.....
44. Texas.....	TX	N.....	0.....	0.....	0.....	0.....	0.....
45. Utah.....	UT	N.....	0.....	0.....	0.....	0.....	0.....
46. Vermont.....	VT	N.....	0.....	0.....	0.....	0.....	0.....
47. Virginia.....	VA	L.....	0.....	0.....	0.....	0.....	0.....
48. Washington.....	WA	N.....	0.....	0.....	0.....	0.....	0.....
49. West Virginia.....	WV	L.....	0.....	0.....	0.....	0.....	0.....
50. Wisconsin.....	WI	L.....	0.....	0.....	0.....	0.....	0.....
51. Wyoming.....	WY	N.....	0.....	0.....	0.....	0.....	0.....
52. American Samoa.....	AS	N.....	0.....	0.....	0.....	0.....	0.....
53. Guam.....	GU	N.....	0.....	0.....	0.....	0.....	0.....
54. Puerto Rico.....	PR	N.....	0.....	0.....	0.....	0.....	0.....
55. US Virgin Islands.....	VI	N.....	0.....	0.....	0.....	0.....	0.....
56. Northern Mariana Islands.....	MP	N.....	0.....	0.....	0.....	0.....	0.....
57. Canada.....	CAN	N.....	0.....	0.....	0.....	0.....	0.....
58. Aggregate Other Alien.....	OT	XXX.....	0.....	0.....	0.....	0.....	0.....
59. Totals.....		XXX.....	52,109	0.....	101,291	116,828	2,330,204
							2,413,535

DETAILS OF WRITE-INS

58001.....	XXX.....	0.....	0.....	0.....	0.....	0.....	0.....
58002.....	XXX.....	0.....	0.....	0.....	0.....	0.....	0.....
58003.....	XXX.....	0.....	0.....	0.....	0.....	0.....	0.....
58998. Summary of remaining write-ins for Line 58 from overflow page.....	XXX.....	0.....	0.....	0.....	0.....	0.....	0.....
58999. Totals (Lines 58001 thru 58003+ Line 58998) (Line 58 above).....	XXX.....	0.....	0.....	0.....	0.....	0.....	0.....

(a) Active Status Count

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....	22	R - Registered - Non-domiciled RRGs.....	0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - See DSLI).....	1	Q - Qualified - Qualified or accredited reinsurer.....	0
D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile.....	0	N - None of the above - Not allowed to write business in the state.....	34

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**PART 1 – ORGANIZATIONAL CHART**

SCHEDULE Y**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Required?	16 * (Y/N)
Members															
0228	OFIC & Affiliates.....	24104...	34-0438190...00		Ohio Farmers Insurance Company.....	OH.....	UDP.....	NA.....	0.000	NA.....N.....	1.....
0228	OFIC & Affiliates.....	24112...	34-6516838...00		Westfield Insurance Company.....	OH.....	IA.....	Ohio Farmers Insurance Company.....	100.000	Ohio Farmers Insurance Company.....N.....	0.....
0228	OFIC & Affiliates.....	24120...	34-1022544...00		Westfield National Insurance Company.....	OH.....	IA.....	Ohio Farmers Insurance Company.....	100.000	Ohio Farmers Insurance Company.....N.....	0.....
0228	OFIC & Affiliates.....	19992...	31-6016426...00		American Select Insurance Company.....	OH.....	IA.....	Ohio Farmers Insurance Company.....	100.000	Ohio Farmers Insurance Company.....N.....	0.....
0228	OFIC & Affiliates.....	17558...	23-0929640...00		Old Guard Insurance Company.....	OH.....	RE.....	Ohio Farmers Insurance Company.....	100.000	Ohio Farmers Insurance Company.....N.....	0.....
0228	OFIC & Affiliates.....	16447...	32-0569613...00		Westfield Champion Insurance Company.....	OH.....	IA.....	Ohio Farmers Insurance Company.....	100.000	Ohio Farmers Insurance Company.....N.....	0.....
0228	OFIC & Affiliates.....	16450...	83-0887963...00		Westfield Premier Insurance Company.....	OH.....	IA.....	Ohio Farmers Insurance Company.....	100.000	Ohio Farmers Insurance Company.....N.....	0.....
0228	OFIC & Affiliates.....	16449...	83-0871392...00		Westfield Superior Insurance Company.....	OH.....	IA.....	Ohio Farmers Insurance Company.....	100.000	Ohio Farmers Insurance Company.....N.....	0.....
0228	OFIC & Affiliates.....	16448...	36-4900986...00		Westfield Touchstone Insurance Company.....	OH.....	IA.....	Ohio Farmers Insurance Company.....	100.000	Ohio Farmers Insurance Company.....N.....	0.....
0.....	0.....	34-1788314...00		Westfield Management Company.....	OH.....	NIA.....	Ohio Farmers Insurance Company.....	100.000	Ohio Farmers Insurance Company.....N.....	0.....	
0.....	0.....	22-3981501...00		WMC Properties, LLC.....	OH.....	NIA.....	Westfield Management Company.....	100.000	Ohio Farmers Insurance Company.....N.....	0.....	
0.....	0.....	27-1229534...00		Westfield Marketing LLC.....	OH.....	NIA.....	Ohio Farmers Insurance Company.....	100.000	Ohio Farmers Insurance Company.....N.....	0.....	
0.....	0.....	34-1861077...00		Westfield Services, Inc.....	OH.....	NIA.....	Westfield Marketing LLC.....	100.000	Ohio Farmers Insurance Company.....N.....	0.....	
0.....	0.....	77-0633192...00		Westfield Bancorp, Inc.....	OH.....	NIA.....	Ohio Farmers Insurance Company.....	100.000	Ohio Farmers Insurance Company.....Y.....	0.....	
0.....	0.....	34-1962005...00		Westfield Credit Corp.....	OH.....	NIA.....	Westfield Bancorp, Inc.....	100.000	Ohio Farmers Insurance Company.....N.....	0.....	
0.....	0.....	46-4010767...00		Westfield Asset Management, LLC.....	OH.....	NIA.....	Westfield Bancorp, Inc.....	100.000	Ohio Farmers Insurance Company.....N.....	0.....	
0.....	0.....	34-1940362...00		Westfield Bank, FSB.....	OH.....	NIA.....	Westfield Bancorp, Inc.....	100.000	Ohio Farmers Insurance Company.....N.....	0.....	
0.....	0.....	20-0361702...00		Westfield Mortgage Company, LLC.....	OH.....	NIA.....	Westfield Bank, FSB.....	100.000	Ohio Farmers Insurance Company.....N.....	0.....	
0.....	0.....	27-2415287...00		COIN Financial, Inc.....	OH.....	NIA.....	Westfield Bank, FSB.....	100.000	Ohio Farmers Insurance Company.....N.....	0.....	
0.....	0.....	45-4485129...00		Westfield Securities, LLC.....	OH.....	NIA.....	Ohio Farmers Insurance Company.....	100.000	Ohio Farmers Insurance Company.....N.....	0.....	
0.....	0.....	46-2569087...00		150 South Road, LLC.....	OH.....	NIA.....	Ohio Farmers Insurance Company.....	100.000	Ohio Farmers Insurance Company.....N.....	0.....	
0.....	0.....	35-2614052...00		1848 Ventures, LLC.....	OH.....	NIA.....	Ohio Farmers Insurance Company.....	100.000	Ohio Farmers Insurance Company.....N.....	0.....	

Aster Explanation

1 No Entity(ies) or Person(s) has control of Ohio Farmers Insurance Company

Q12

Old Guard Insurance Company

PART 1 - LOSS EXPERIENCE

Lines of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire.....	.6	(440)	(7,333,333)	0.000
2. Allied lines.....	.32	(387)	(1,209,375)	0.000
3. Farmowners multiple peril.....	0	(1,756)	0.000	0.000
4. Homeowners multiple peril.....	0	(1,441)	0.000	0.000
5. Commercial multiple peril.....	2,780	(117)	(4.209)	0.000
6. Mortgage guaranty.....	0	0	0.000	0.000
8. Ocean marine.....	0	0	0.000	0.000
9. Inland marine.....	.74	0	0.000	0.000
10. Financial guaranty.....	0	0	0.000	0.000
11.1 Medical professional liability - occurrence.....	0	0	0.000	0.000
11.2 Medical professional liability - claims-made.....	0	0	0.000	0.000
12. Earthquake.....	0	0	0.000	0.000
13. Group accident and health.....	0	0	0.000	0.000
14. Credit accident and health.....	0	0	0.000	0.000
15. Other accident and health.....	0	0	0.000	0.000
16. Workers' compensation.....	0	33,239	0.000	0.000
17.1 Other liability-occurrence.....	641	0	0.000	0.000
17.2 Other liability-claims made.....	0	0	0.000	0.000
17.3 Excess workers' compensation.....	0	0	0.000	0.000
18.1 Products liability-occurrence.....	0	0	0.000	0.000
18.2 Products liability-claims made.....	0	0	0.000	0.000
19.1, 19.2 Private passenger auto liability.....	0	10,395	0.000	0.000
19.3, 19.4 Commercial auto liability.....	194	0	0.000	0.000
21. Auto physical damage.....	.30	5,069	16,896,667	0.000
22. Aircraft (all perils).....	0	0	0.000	0.000
23. Fidelity.....	0	0	0.000	0.000
24. Surety.....	0	0	0.000	0.000
26. Burglary and theft.....	0	0	0.000	0.000
27. Boiler and machinery.....	.88	0	0.000	0.000
28. Credit.....	0	0	0.000	0.000
29. International.....	0	0	0.000	0.000
30. Warranty.....	0	0	0.000	0.000
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0.000	0.000
35. Totals.....	3,845	44,562	1,158,960	0.000

DETAILS OF WRITE-INS

3401.	0	0	0.000	0.000
3402.	0	0	0.000	0.000
3403.	0	0	0.000	0.000
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0.000	XXX
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0.000	0.000

PART 2 - DIRECT PREMIUMS WRITTEN

Lines of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date	
			1	2
1. Fire.....	111	111	0	0
2. Allied lines.....	586	586	0	0
3. Farmowners multiple peril.....	0	0	0	0
4. Homeowners multiple peril.....	0	0	0	0
5. Commercial multiple peril.....	39,360	39,360	0	0
6. Mortgage guaranty.....	0	0	0	0
8. Ocean marine.....	0	0	0	0
9. Inland marine.....	807	807	0	0
10. Financial guaranty.....	0	0	0	0
11.1 Medical professional liability - occurrence.....	0	0	0	0
11.2 Medical professional liability - claims made.....	0	0	0	0
12. Earthquake.....	0	0	0	0
13. Group accident and health.....	0	0	0	0
14. Credit accident and health.....	0	0	0	0
15. Other accident and health.....	0	0	0	0
16. Workers' compensation.....	0	0	0	0
17.1 Other liability-occurrence.....	7,360	7,360	0	0
17.2 Other liability-claims made.....	0	0	0	0
17.3 Excess workers' compensation.....	0	0	0	0
18.1 Products liability-occurrence.....	0	0	0	0
18.2 Products liability-claims made.....	0	0	0	0
19.1, 19.2 Private passenger auto liability.....	0	0	0	0
19.3, 19.4 Commercial auto liability.....	2,273	2,273	0	0
21. Auto physical damage.....	529	529	0	0
22. Aircraft (all perils).....	0	0	0	0
23. Fidelity.....	0	0	0	0
24. Surety.....	0	0	0	0
26. Burglary and theft.....	0	0	0	0
27. Boiler and machinery.....	1,083	1,083	0	0
28. Credit.....	0	0	0	0
29. International.....	0	0	0	0
30. Warranty.....	0	0	0	0
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0	0
35. Totals.....	52,109	52,109	0	0

DETAILS OF WRITE-INS

3401.	0	0	0	0
3402.	0	0	0	0
3403.	0	0	0	0
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0	0

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

Statement for September 30, 2019 of the **Old Guard Insurance Company**
SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO _____
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO _____
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO _____
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO _____

Explanation:

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.

Bar Code:



**Old Guard Insurance Company
Overflow Page for Write-Ins**

NONE

Old Guard Insurance Company

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	0	0
2.2 Additional investment made after acquisition.....	0	0
3. Current year change in encumbrances.....	0	0
4. Total gain (loss) on disposals.....	0	0
5. Deduct amounts received on disposals.....	0	0
6. Total foreign exchange change in book/adjusted carrying value.....	0	0
7. Deduct current year's other-than-temporary impairment recognized.....	0	0
8. Deduct current year's depreciation.....	0	0
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	0	0
10. Deduct total nonadmitted amounts.....	0	0
11. Statement value at end of current period (Line 9 minus Line 10).....	0	0

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	0	0
2.2 Additional investment made after acquisition.....	0	0
3. Capitalized deferred interest and other.....	0	0
4. Accrual of discount.....	0	0
5. Unrealized valuation increase (decrease).....	0	0
6. Total gain (loss) on disposals.....	0	0
7. Deduct amounts received on disposals.....	0	0
8. Deduct amortization of premium and mortgage interest points and commitment fees.....	0	0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....	0	0
10. Deduct current year's other-than-temporary impairment recognized.....	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Total valuation allowance.....	0	0
13. Subtotal (Line 11 plus Line 12).....	0	0
14. Deduct total nonadmitted amounts.....	0	0
15. Statement value at end of current period (Line 13 minus Line 14).....	0	0

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	4,316,690	3,464,900
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	0	0
2.2 Additional investment made after acquisition.....	0	1,968,733
3. Capitalized deferred interest and other.....	0	0
4. Accrual of discount.....	0	0
5. Unrealized valuation increase (decrease).....	338,654	(1,116,943)
6. Total gain (loss) on disposals.....	0	0
7. Deduct amounts received on disposals.....	0	0
8. Deduct amortization of premium and depreciation.....	0	0
9. Total foreign exchange change in book/adjusted carrying value.....	0	0
10. Deduct current year's other-than-temporary impairment recognized.....	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	4,655,344	4,316,690
12. Deduct total nonadmitted amounts.....	0	0
13. Statement value at end of current period (Line 11 minus Line 12).....	4,655,344	4,316,690

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	404,126,859	408,749,993
2. Cost of bonds and stocks acquired.....	23,304,186	81,023,398
3. Accrual of discount.....	98,217	47,051
4. Unrealized valuation increase (decrease).....	15,230,152	(18,881,905)
5. Total gain (loss) on disposals.....	(131,193)	10,897,739
6. Deduct consideration for bonds and stocks disposed of.....	16,750,663	72,860,040
7. Deduct amortization of premium.....	2,437,662	3,880,802
8. Total foreign exchange change in book/adjusted carrying value.....	0	0
9. Deduct current year's other-than-temporary impairment recognized.....	326,060	968,575
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10).....	423,113,836	404,126,859
12. Deduct total nonadmitted amounts.....	0	0
13. Statement value at end of current period (Line 11 minus Line 12).....	423,113,836	404,126,859

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity

During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a).....	306,823,756	5,534,175	3,656,569	(794,869)	305,546,647	306,823,756	307,906,493	304,040,000
2. NAIC 2 (a).....	1,691,832	0	0	(2,590)	1,694,569	1,691,832	1,689,242	1,697,066
3. NAIC 3 (a).....	0	0	0	0	0	0	0	0
4. NAIC 4 (a).....	0	0	0	0	0	0	0	0
5. NAIC 5 (a).....	0	0	0	0	0	0	0	0
6. NAIC 6 (a).....	0	0	0	0	0	0	0	0
7. Total Bonds.....	308,515,588	5,534,175	3,656,569	(797,459)	307,241,216	308,515,588	309,595,735	305,737,066
PREFERRED STOCK								
8. NAIC 1.....	0	0	0	0	0	0	0	0
9. NAIC 2.....	0	0	0	0	0	0	0	0
10. NAIC 3.....	0	0	0	0	0	0	0	0
11. NAIC 4.....	0	0	0	0	0	0	0	0
12. NAIC 5.....	0	0	0	0	0	0	0	0
13. NAIC 6.....	0	0	0	0	0	0	0	0
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock.....	308,515,588	5,534,175	3,656,569	(797,459)	307,241,216	308,515,588	309,595,735	305,737,066

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

QSI02

Sch. DA - Pt. 1
NONE

Sch. DA - Verification
NONE

Sch. DB - Pt. A - Verification
NONE

Sch. DB - Pt. B - Verification
NONE

Sch. DB - Pt. C - Sn. 1
NONE

Sch. DB - Pt. C - Sn. 2
NONE

Sch. DB - Verification
NONE

Old Guard Insurance Company

SCHEDULE E - PART 2 - VERIFICATION

Cash Equivalents

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	3,091,423	5,759,253
2. Cost of cash equivalents acquired.....	8,407,420	20,792,821
3. Accrual of discount.....	0	0
4. Unrealized valuation increase (decrease).....	0	0
5. Total gain (loss) on disposals.....	0	0
6. Deduct consideration received on disposals.....	8,531,136	23,460,651
7. Deduct amortization of premium.....	0	0
8. Total foreign exchange change in book/ adjusted carrying value.....	0	0
9. Deduct current year's other-than-temporary impairment recognized.....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	2,967,707	3,091,423
11. Deduct total nonadmitted amounts.....	0	0
12. Statement value at end of current period (Line 10 minus Line 11).....	2,967,707	3,091,423

Sch. A Pt. 2
NONE

Sch. A Pt. 3
NONE

Sch. B - Pt. 2
NONE

Sch. B - Pt. 3
NONE

Sch. BA - Pt. 2
NONE

Sch. BA - Pt. 3
NONE

SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Administrative Symbol/Market Indicator (a)
Bonds - U.S. Special Revenue and Special Assessment									
3133EK A2 2	FEDERAL FARM CR BKS 2.950% 08/21/34.....		08/15/2019.....	Wells Fargo.....		1,500,000	1,500,000	0	1.....
3199999. Total - Bonds - U.S. Special Revenue and Special Assessments.....						1,500,000	1,500,000	0	XXX.....
Bonds - Industrial and Miscellaneous									
911312 BQ 8	UNITED PARCEL SERVICE INC 4.250% 03/15/49.....		07/15/2019.....	RBC Dain Rauscher.....		1,633,650	1,500,000	21,604	1FE.....
01609W AV 4	ALIBABA GROUP HLDG LTD 4.200% 12/06/47.....	C	07/25/2019.....	KeyBanc Capital Mkts.....		2,400,525	2,250,000	13,913	1FE.....
3899999. Total - Bonds - Industrial and Miscellaneous.....						4,034,175	3,750,000	35,517	XXX.....
8399997. Total - Bonds - Part 3.....						5,534,175	5,250,000	35,517	XXX.....
8399999. Total - Bonds.....						5,534,175	5,250,000	35,517	XXX.....
Common Stocks - Industrial and Miscellaneous									
46625H 10 0	JPMORGAN CHASE & COMPANY.....		09/26/2019.....	Morgan Stanley Dean Witter.....	8,000,000	.941,664	XXX	0	L.....
66987V 10 9	NOVARTIS AG ADR.....	D	09/26/2019.....	Morgan Stanley Dean Witter.....	11,000,000	.954,511	XXX	0	L.....
9099999. Total - Common Stocks - Industrial and Miscellaneous.....						1,896,175	XXX	0	XXX.....
9799997. Total - Common Stocks - Part 3.....						1,896,175	XXX	0	XXX.....
9799999. Total - Common Stocks.....						1,896,175	XXX	0	XXX.....
9899999. Total - Preferred and Common Stocks.....						1,896,175	XXX	0	XXX.....
9999999. Total - Bonds, Preferred and Common Stocks.....						7,430,350	XXX	35,517	XXX.....

(a) For all common stock bearing NAIC market indicator "U" provide the number of such issues:.....0.

QE04

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1 CUSIP Identification	2 Description	3 F o r eig n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest / Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation and Admini- strative Symbol/Market Indicator (a)		
										11 Unrealized Valuation Increase (Decrease)	12 Current Year's (Amortization) / Accretion	13 Current Year's Other-Than-Temporary Impairment Recognized	14 Total Change in B.I.A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B.I.A.C.V.									
Bonds - U.S. Government																							
36202E 3J 6	GNMA GTD PASS THRU POOL 004401 6.500%	..	09/01/2019	Paydown.....		3,063	3,063	3,236	3,219	0	(156)	0	(156)	0	3,063	0	0	0	0	0	135	03/20/2039.	1.....
36202E 5K 1	GNMA GTD PASS THRU POOL 004450 6.500%	..	09/01/2019	Paydown.....		2,623	2,623	2,785	2,767	0	(144)	0	(144)	0	2,623	0	0	0	0	0	125	05/20/2039.	1.....
36202E PC 7	GNMA GTD PASS THRU POOL 004019 7.000%	..	09/01/2019	Paydown.....		7,973	7,973	8,282	8,241	0	(268)	0	(268)	0	7,973	0	0	0	0	0	355	08/20/2037.	1.....
36202E PP 8	GNMA GTD PASS THRU POOL 004030 7.000%	..	09/01/2019	Paydown.....		1,159	1,159	1,201	1,193	0	(34)	0	(34)	0	1,159	0	0	0	0	0	57	09/20/2037.	1.....
36202E SD 2	GNMA GTD PASS THRU POOL 004116 6.500%	..	09/01/2019	Paydown.....		8,780	8,780	9,156	9,116	0	(337)	0	(337)	0	8,780	0	0	0	0	0	383	04/20/2038.	1.....
36294S DJ 3	GNMA GTD PASS THRU POOL 658105 6.500%	..	09/01/2019	Paydown.....		257	257	263	262	0	(6)	0	(6)	0	257	0	0	0	0	0	11	09/15/2036.	1.....
36294S EP 8	GNMA GTD PASS THRU POOL 658142 7.000%	..	09/01/2019	Paydown.....		490	490	507	506	0	(16)	0	(16)	0	490	0	0	0	0	0	23	10/15/2036.	1.....
36295H R9 3	GNMA GTD PASS THRU POOL 671112 6.500%	..	09/01/2019	Paydown.....		100	100	103	103	0	(3)	0	(3)	0	100	0	0	0	0	0	4	08/15/2037.	1.....
36296U NG 1	GNMA GTD PASS THRU POOL 701591 6.500%	..	09/01/2019	Paydown.....		163	163	171	171	0	(8)	0	(8)	0	163	0	0	0	0	0	7	01/15/2039.	1.....
38378G 2Y 3	GNMA 13 8 B 3.000% 01/20/43.	..	09/01/2019	Paydown.....		31,753	31,753	32,011	31,999	0	(246)	0	(246)	0	31,753	0	0	0	0	0	623	01/20/2043.	1.....
0599999.	Total - Bonds - U.S. Government.....					56,361	56,361	57,715	57,577	0	(1,218)	0	(1,218)	0	56,361	0	0	0	0	0	1,723	XXX	XXX
Bonds - U.S. Special Revenue and Special Assessment																							
31287N CF 3	FHLMC 30 YR GOLD PC GRP POOL C62770 7.000%	..	09/01/2019	Paydown.....		4,557	4,557	4,809	4,775	0	(218)	0	(218)	0	4,557	0	0	0	0	0	213	01/01/2032.	1.....
3128M4 AX 1	FHLMC 30 YR GOLD PC GRP POOL G02422 6.000%	..	09/01/2019	Paydown.....		1,842	1,842	1,962	1,947	0	(105)	0	(105)	0	1,842	0	0	0	0	0	75	12/01/2036.	1.....
3128MJ 2M 1	FHLMC 30 YR GOLD PC GRP POOL G08779 3.500%	..	09/01/2019	Paydown.....		129,180	129,180	128,413	128,415	0	765	0	765	0	129,180	0	0	0	0	0	3,077	09/01/2047.	1.....
3128MJ 5Y 2	FHLMC 30 YR GOLD PC GRP POOL G08862 4.000%	..	09/01/2019	Paydown.....		1,006,086	1,006,086	1,030,295	0	0	(24,209)	0	(24,209)	0	1,006,086	0	0	0	0	0	20,374	02/01/2049.	1.....
3128MJ U3 2	FHLMC 30 YR GOLD PC GRP POOL G08601 4.000%	..	09/01/2019	Paydown.....		50,498	50,498	53,697	53,535	0	(3,037)	0	(3,037)	0	50,498	0	0	0	0	0	1,354	08/01/2044.	1.....
3128MJ UV 0	FHLMC 30 YR GOLD PC GRP POOL G08595 4.000%	..	09/01/2019	Paydown.....		144,312	144,312	152,696	152,323	0	(8,010)	0	(8,010)	0	144,312	0	0	0	0	0	3,893	07/01/2044.	1.....
3128MJ V2 3	FHLMC 30 YR GOLD PC GRP POOL G08632 3.500%	..	09/01/2019	Paydown.....		23,892	23,892	25,083	25,031	0	(1,138)	0	(1,138)	0	23,892	0	0	0	0	0	563	03/01/2045.	1.....
3128MJ VJ 6	FHLMC 30 YR GOLD PC GRP POOL G08616 4.000%	..	09/01/2019	Paydown.....		41,705	41,705	44,376	44,262	0	(2,557)	0	(2,557)	0	41,705	0	0	0	0	0	1,118	11/01/2044.	1.....
3128MJ WC 0	FHLMC 30 YR GOLD PC GRP POOL G08642 4.000%	..	09/01/2019	Paydown.....		65,236	65,236	69,181	69,067	0	(3,831)	0	(3,831)	0	65,236	0	0	0	0	0	1,755	05/01/2045.	1.....
3128MJ WM 8	FHLMC 30 YR GOLD PC GRP POOL G08651 4.000%	..	09/01/2019	Paydown.....		90,614	90,614	96,334	96,144	0	(5,530)	0	(5,530)	0	90,614	0	0	0	0	0	2,517	06/01/2045.	1.....
3128MJ ZB 9	FHLMC 30 YR GOLD PC GRP POOL G08737 3.000%	..	09/01/2019	Paydown.....		75,806	75,806	76,421	76,398	0	(592)	0	(592)	0	75,806	0	0	0	0	0	1,524	11/01/2046.	1.....
31292K 4Q 7	FHLMC 30 YR GOLD PC GRP POOL C03531 4.000%	..	09/01/2019	Paydown.....		21,918	21,918	23,216	23,121	0	(1,203)	0	(1,203)	0	21,918	0	0	0	0	0	560	10/01/2040.	1.....
31292L HY 4	FHLMC 30 YR GOLD PC GRP POOL C03847 3.500%	..	09/01/2019	Paydown.....		14,088	14,088	14,445	14,416	0	(328)	0	(328)	0	14,088	0	0	0	0	0	345	04/01/2042.	1.....
3132HN DM 8	FHLMC 30 YR GOLD PC GRP POOL Q11908 3.500%	..	09/01/2019	Paydown.....		10,592	10,592	10,897	0	(295)	0	(295)	0	10,592	0	0	0	0	0	243	10/01/2042.	1.....	
3132M6 NV 8	FHLMC 30 YR GOLD PC GRP POOL Q26104 4.000%	..	09/01/2019	Paydown.....		36,749	36,749	38,713	38,553	0	(1,805)	0	(1,805)	0	36,749	0	0	0	0	0	1,061	05/01/2044.	1.....
3133EG 7K 5	FEDERAL FARM CR BK5 3.670%	..	07/26/2019	Redemption 100.00..		1,737,500	1,737,500	1,737,500	0	0	0	0	0	0	1,737,500	0	0	0	0	0	27,101	02/23/2037.	1.....
3133TL V5 4	FHLMC REMIC SER T 18 A3 SEQ 6.609%	..	09/01/2019	Paydown.....		241	241	241	241	0	0	0	0	0	241	0	0	0	0	0	12	08/15/2029.	1.....
31371K L7 4	FNMA PASS THRU POOL 254250 7.500%	..	09/01/2019	Paydown.....		1,587	1,587	1,683	1,672	0	(85)	0	(85)	0	1,587	0	0	0	0	0	80	03/01/2032.	1.....
3137BR RS 6	FHLMC CMO SER 4612 DV PAC 3.500%	..	09/01/2019	Paydown.....		15,759	15,759	16,407	16,296	0	(537)	0	(537)	0	15,759	0	0	0	0	0	368	01/15/2055.	1.....
31388T Q6 3	FNMA PASS THRU POOL 614377 7.000%	..	09/01/2019	Paydown.....		654	654	689	686	0	(32)	0	(32)	0	654	0	0	0	0	0	31	02/01/2032.	1.....
3138EE RL 5	FNMA PASS THRU POOL AK9490 4.000%	..	09/01/2019	Paydown.....		8,896	8,896	9,386	9,347	0	(451)	0	(451)	0	8,896	0	0	0	0	0	247	04/01/2042.	1.....
3138WG DN 1	FNMA PASS THRU POOL AS6408 3.500%	..	09/01/2019	Paydown.....		57,300	57,300	58,724	58,683	0	(1,383)	0	(1,383)	0	57,300	0	0	0	0	0	1,355	01/01/2046.	1.....
31392M HL 9	FHLMC REMIC SER 2465 PG PAC 6.500%	..	09/01/2019	Paydown.....		2,865	2,865	2,937	2,918	0	(53)	0	(53)	0	2,865	0	0	0	0	0	125	06/15/2032.	1.....
31406D 7A 8	FNMA PASS THRU POOL 807389 6.500%	..	09/01/2019	Paydown.....		1,259	1,259	1,299	1,285	0	(26)	0	(26)	0	1,259	0	0	0	0	0	55	08/01/2032.	1.....
31409A X3 8	FNMA PASS THRU POOL 865698 7.000%	..	09/01/2019	Paydown.....		1,556	1,556	1,601	1,590	0	(34)	0	(34)	0	1,556	0	0	0	0	0	73	02/01/2036.	1.....
31410B GT 5	FNMA PASS THRU POOL 884110 7.000%	..	09/01/2019	Paydown.....		10,082	10,082	10,525	8,748	0	1,334	0	1,334	0	10,082								

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1 CUSIP Identification	2 Description	3 F o r eig n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest / Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation and Admini- stra-tive Symbol / Market Indicator (a)				
										11 Unrealized Valuation Increase (Decrease)	12 Current Year's (Amortization) / Accretion	13 Current Year's Other-Than-Temporary Impairment Recognized	14 Total Change in B.I.A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B.I.A.C.V.											
31410K CX 0	FNMA PASS THRU POOL 889386 6.000%		.. 09/01/2019.	Paydown..		2,697	2,697	2,922	2,899	0	(202)	0	(202)	0	2,697	0	0	0	0	0	0	03/01/2038.	1.....		
31410S PW 1	FNMA PASS THRU POOL 896037 7.000%		.. 09/01/2019.	Paydown..		2,543	2,543	2,620	2,615	0	(72)	0	(72)	0	2,543	0	0	0	0	0	0	08/01/2036.	1.....		
31410X B9 6	FNMA PASS THRU POOL 900164 6.500%		.. 09/01/2019.	Paydown..		361	361	369	367	0	(6)	0	(6)	0	361	0	0	0	0	0	0	0	08/01/2036.	1.....	
31412F H4 8	FNMA PASS THRU POOL 923751 7.000%		.. 09/01/2019.	Paydown..		213	213	219	218	0	(5)	0	(5)	0	213	0	0	0	0	0	0	0	04/01/2037.	1.....	
31412M A2 4	FNMA PASS THRU POOL 928925 6.500%		.. 09/01/2019.	Paydown..		14,778	14,778	15,328	15,254	0	(476)	0	(476)	0	14,778	0	0	0	0	0	0	0	12/01/2037.	1.....	
31413Q U3 0	FNMA PASS THRU POOL 952502 6.500%		.. 09/01/2019.	Paydown..		9,157	9,157	9,393	9,366	0	(209)	0	(209)	0	9,157	0	0	0	0	0	0	0	09/01/2037.	1.....	
3199999.	Total - Bonds - U.S. Special Revenue and Special Assessments					3,600,210	3,600,210	3,658,754	887,366	0	(54,950)	0	(54,950)	0	3,600,210	0	0	0	0	0	0	0	70,673	XXX	XXX
8399997.	Total - Bonds - Part 4					3,656,571	3,656,571	3,716,469	944,943	0	(56,168)	0	(56,168)	0	3,656,571	0	0	0	0	0	0	0	72,396	XXX	XXX
8399999.	Total - Bonds					3,656,571	3,656,571	3,716,469	944,943	0	(56,168)	0	(56,168)	0	3,656,571	0	0	0	0	0	0	0	72,396	XXX	XXX
Common Stocks - Industrial and Miscellaneous																									
00206R 10 2	AT&T INC.		.. 07/25/2019.	Evercore ISI	62,800,000	2,123,513	XXX	2,084,844	1,792,312	292,532	0	0	292,532	0	2,084,844	0	38,669	38,669	96,084	XXX	L.....				
674599 10 5	OCCIDENTAL PETE CORP.		.. 09/25/2019.	Cowen & Company LLC	34,000,000	1,539,355	XXX	1,709,520	2,086,920	(51,340)	0	326,060	(377,400)	0	1,709,520	0	(170,165)	(170,165)	79,560	XXX	L.....				
9099999.	Total - Common Stocks - Industrial and Miscellaneous					3,662,868	XXX	3,794,364	3,879,232	241,192	0	326,060	(84,868)	0	3,794,364	0	(131,496)	(131,496)	175,644	XXX	XXX				
9799997.	Total - Common Stocks - Part 4					3,662,868	XXX	3,794,364	3,879,232	241,192	0	326,060	(84,868)	0	3,794,364	0	(131,496)	(131,496)	175,644	XXX	XXX				
9799999.	Total - Common Stocks					3,662,868	XXX	3,794,364	3,879,232	241,192	0	326,060	(84,868)	0	3,794,364	0	(131,496)	(131,496)	175,644	XXX	XXX				
9899999.	Total - Preferred and Common Stocks					3,662,868	XXX	3,794,364	3,879,232	241,192	0	326,060	(84,868)	0	3,794,364	0	(131,496)	(131,496)	175,644	XXX	XXX				
9999999.	Total - Bonds, Preferred and Common Stocks					7,319,439	XXX	7,510,833	4,824,175	241,192	(56,168)	326,060	(141,036)	0	7,450,935	0	(131,496)	(131,496)	248,040	XXX	XXX				

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:

QED51

Sch. DB - Pt. A - Sn. 1
NONE

Sch. DB - Pt. B - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 2
NONE

Sch. DL - Pt. 1
NONE

Sch. DL - Pt. 2
NONE

Sch. E - Pt. 1
NONE

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due & Accrued	9 Amount Received During Year
Exempt Money Market Mutual Funds as Identified by the SVO								
31846V 41 9	FIRST AMER TREAS OBLIG CL		09/30/2019	.1.990		3	.0	.38
61747C 58 2	MORGAN STANLEY INSTL LIQUIDITY TREAS		09/30/2019	.1.970		2,967,704	.0	66,470
94975H 29 6	WELLS FARGO ADV TR PL MM INS		09/30/2019	.2.300		0	.0	.1
8599999. Total - Exempt Money Market Mutual Funds as Identified by the SVO						2,967,707	.0	66,509
8899999. Total - Cash Equivalents						2,967,707	.0	66,509

QE13