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PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2019

OF THE CONDITION AND AFFAIRS OF THE

Dealers Assurance Company

NAIC Group Code 0315 (Current) 0000 (Prior) NAIC Company Code 16705 Employer's ID Number 34-6513705

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Incorporated/Organized 08/02/1935 Commenced Business 08/02/1935

Statutory Home Office 41 South High Street Suite 1700 (Street and Number), Columbus, OH, US 43215 (City or Town, State, Country and Zip Code)

Main Administrative Office 15920 Addison Road (Street and Number)

Addison, TX, US 75001 (City or Town, State, Country and Zip Code), 800-282-8913 (Area Code) (Telephone Number)

Mail Address 15920 Addison Road (Street and Number or P.O. Box), Addison, TX, US 75001 (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 15920 Addison Road (Street and Number)

Addison, TX, US 75001 (City or Town, State, Country and Zip Code), 800-282-8913 (Area Code) (Telephone Number)

Internet Website Address www.dealersassurance.com

Statutory Statement Contact Linda M. Toy (Name), 800-282-8913 (Area Code) (Telephone Number)

ltoy@dealersassurance.com (E-mail Address), 972-813-0812 (FAX Number)

OFFICERS

President	Kristen Anne Gruber	Treasurer	Linda Marie Toy
Secretary	Joshua Robert Pedelty	Assistant Secretary	Lisa Aileen Kirk

OTHER

Michael Lee Stickney, Managing Director	Kirk Alan Borchardt, Executive Vice President	Linda Marie Toy, Vice President
Joshua Robert Pedelty, Vice President		

DIRECTORS OR TRUSTEES

Warren Van Genderen	Michael Lee Stickney	Douglas Alexander Carrothers
Denis Yves Ricard	Marilyn Rose Froelich	Douglas Curtis Oksendahl
Shelby Land Peavy	Normand Pepin	Yvon Charest #

State of Texas
County of Dallas SS:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Kristen Anne Gruber	Joshua Robert Pedelty	Linda Marie Toy
President	Secretary	Treasurer

Subscribed and sworn to before me this
_______ day of _______

a. Is this an original filing? Yes [ ] No [ ]
b. If no,
1. State the amendment number
2. Date filed
3. Number of pages attached

ASSETS

		Current Statement Date			4
		1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
1.	Bonds	127,401,521		127,401,521	119,124,114
2.	Stocks:				
	2.1 Preferred stocks			0	
	2.2 Common stocks	2,523,403		2,523,403	1,839,914
3.	Mortgage loans on real estate:				
	3.1 First liens	218,900		218,900	232,013
	3.2 Other than first liens			0	
4.	Real estate:				
	4.1 Properties occupied by the company (less $ .... encumbrances)	3,831,739		3,831,739	3,714,953
	4.2 Properties held for the production of income (less $ .... encumbrances)			0	
	4.3 Properties held for sale (less $ .... encumbrances)			0	
5.	Cash ($ 6,287,795), cash equivalents ($ 2,841,736) and short-term investments ($ ....)	9,129,531		9,129,531	4,761,353
6.	Contract loans (including $ .... premium notes)			0	
7.	Derivatives			0	
8.	Other invested assets			0	
9.	Receivables for securities			0	
10.	Securities lending reinvested collateral assets			0	
11.	Aggregate write-ins for invested assets	0	0	0	0
12.	Subtotals, cash and invested assets (Lines 1 to 11)	143,105,094	0	143,105,094	129,672,347
13.	Title plants less $ .... charged off (for Title insurers only)			0	
14.	Investment income due and accrued	966,269		966,269	860,108
15.	Premiums and considerations:				
	15.1 Uncollected premiums and agents' balances in the course of collection	4,117,016		4,117,016	1,752,019
	15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including $ .... earned but unbilled premiums)			0	
	15.3 Accrued retrospective premiums ($ ....) and contracts subject to redetermination ($ ....)			0	
16.	Reinsurance:				
	16.1 Amounts recoverable from reinsurers			0	
	16.2 Funds held by or deposited with reinsured companies			0	
	16.3 Other amounts receivable under reinsurance contracts			0	
17.	Amounts receivable relating to uninsured plans			0	
18.1	Current federal and foreign income tax recoverable and interest thereon			0	
18.2	Net deferred tax asset	354,992		354,992	432,979
19.	Guaranty funds receivable or on deposit			0	
20.	Electronic data processing equipment and software			0	
21.	Furniture and equipment, including health care delivery assets ($ ....)			0	
22.	Net adjustment in assets and liabilities due to foreign exchange rates			0	
23.	Receivables from parent, subsidiaries and affiliates	17,525		17,525	
24.	Health care ($ ....) and other amounts receivable			0	
25.	Aggregate write-ins for other than invested assets	1,124,424	0	1,124,424	949,746
26.	Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	149,685,320	0	149,685,320	133,667,199
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	
28.	Total (Lines 26 and 27)	149,685,320	0	149,685,320	133,667,199
	DETAILS OF WRITE-INS				
1101.					
1102.					
1103.					
1198.	Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199.	Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501.	Accounts Receivable	36,218		36,218	62,229
2502.	Premium Tax Recoverable	1,088,206		1,088,206	887,517
2503.					
2598.	Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	1,124,424	0	1,124,424	949,746

LIABILITIES, SURPLUS AND OTHER FUNDS

		1 Current Statement Date	2 December 31, Prior Year
1.	Losses (current accident year $ 183,440)	183,440	183,440
2.	Reinsurance payable on paid losses and loss adjustment expenses	0	0
3.	Loss adjustment expenses	0	0
4.	Commissions payable, contingent commissions and other similar charges	368,121	586,516
5.	Other expenses (excluding taxes, licenses and fees)	826,640	1,115,734
6.	Taxes, licenses and fees (excluding federal and foreign income taxes)	263,610	876,339
7.1	Current federal and foreign income taxes (including $ on realized capital gains (losses))	449,362	560,380
7.2	Net deferred tax liability		
8.	Borrowed money $ and interest thereon $ 19,147	19,147	20,818
9.	Unearned premiums (after deducting unearned premiums for ceded reinsurance of $ 270,337,517 and including warranty reserves of $ 24,474,379 and accrued accident and health experience rating refunds including $ 0 for medical loss ratio rebate per the Public Health Service Act)	32,455,966	28,002,426
10.	Advance premium		
11.	Dividends declared and unpaid:		
	11.1 Stockholders		
	11.2 Policyholders		
12.	Ceded reinsurance premiums payable (net of ceding commissions)	3,814,913	665,216
13.	Funds held by company under reinsurance treaties	17,108,071	13,594,725
14.	Amounts withheld or retained by company for account of others	11,228,552	13,189,184
15.	Remittances and items not allocated	2,737,831	57,530
16.	Provision for reinsurance (including $ certified)		
17.	Net adjustments in assets and liabilities due to foreign exchange rates		
18.	Drafts outstanding		
19.	Payable to parent, subsidiaries and affiliates	77,635	91,488
20.	Derivatives	0	
21.	Payable for securities		
22.	Payable for securities lending		
23.	Liability for amounts held under uninsured plans		
24.	Capital notes $ and interest thereon $		
25.	Aggregate write-ins for liabilities	0	0
26.	Total liabilities excluding protected cell liabilities (Lines 1 through 25)	69,533,288	58,943,796
27.	Protected cell liabilities		
28.	Total liabilities (Lines 26 and 27)	69,533,288	58,943,796
29.	Aggregate write-ins for special surplus funds	0	0
30.	Common capital stock	4,200,990	4,200,990
31.	Preferred capital stock		
32.	Aggregate write-ins for other than special surplus funds	0	0
33.	Surplus notes	3,000,000	3,000,000
34.	Gross paid in and contributed surplus	9,732,810	9,732,810
35.	Unassigned funds (surplus)	63,218,232	57,789,603
36.	Less treasury stock, at cost:		
	36.1 shares common (value included in Line 30 $)		
	36.2 shares preferred (value included in Line 31 $)		
37.	Surplus as regards policyholders (Lines 29 to 35, less 36)	80,152,032	74,723,403
38.	Totals (Page 2, Line 28, Col. 3)	149,685,320	133,667,199
	DETAILS OF WRITE-INS		
2501.			
2502.			
2503.			
2598.	Summary of remaining write-ins for Line 25 from overflow page	0	0
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	0	0
2901.			
2902.			
2903.			
2998.	Summary of remaining write-ins for Line 29 from overflow page	0	0
2999.	Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0
3201.			
3202.			
3203.			
3298.	Summary of remaining write-ins for Line 32 from overflow page	0	0
3299.	Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)	0	0

STATEMENT OF INCOME

		1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
	UNDERWRITING INCOME			
1.	Premiums earned:			
	1.1 Direct (written $ 138,501,663)	112,083,302	95,698,235	126,866,901
	1.2 Assumed (written $ 610,886)	349,965	(733,325)	(833,416)
	1.3 Ceded (written $ 125,357,365)	103,131,623	86,061,154	114,294,087
	1.4 Net (written $ 13,755,184)	9,301,644	8,903,756	11,739,398
	DEDUCTIONS:			
2.	Losses incurred (current accident year $ 949,286):			
	2.1 Direct	60,662,170	52,993,057	73,080,138
	2.2 Assumed	844	(26,293)	(27,526)
	2.3 Ceded	59,372,206	52,404,135	72,395,323
	2.4 Net	1,290,808	562,629	657,289
3.	Loss adjustment expenses incurred	47,424	113,065	155,408
4.	Other underwriting expenses incurred	3,811,003	3,200,756	4,162,115
5.	Aggregate write-ins for underwriting deductions	0	0	0
6.	Total underwriting deductions (Lines 2 through 5)	5,149,235	3,876,450	4,974,812
7.	Net income of protected cells			
8.	Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	4,152,409	5,027,306	6,764,586
	INVESTMENT INCOME			
9.	Net investment income earned	2,378,387	1,782,316	2,518,097
10.	Net realized capital gains (losses) less capital gains tax of $ 25,831	97,173	2,820,213	2,827,457
11.	Net investment gain (loss) (Lines 9 + 10)	2,475,560	4,602,529	5,345,554
	OTHER INCOME			
12.	Net gain or (loss) from agents' or premium balances charged off (amount recovered $ amount charged off $)	0	(17)	(17)
13.	Finance and service charges not included in premiums			
14.	Aggregate write-ins for miscellaneous income	(97,290)	(41,083)	(55,475)
15.	Total other income (Lines 12 through 14)	(97,290)	(41,100)	(55,492)
16.	Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	6,530,679	9,588,735	12,054,648
17.	Dividends to policyholders			
18.	Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	6,530,679	9,588,735	12,054,648
19.	Federal and foreign income taxes incurred	1,337,588	1,527,721	2,086,175
20.	Net income (Line 18 minus Line 19)(to Line 22)	5,193,091	8,061,014	9,968,473
	CAPITAL AND SURPLUS ACCOUNT			
21.	Surplus as regards policyholders, December 31 prior year	74,723,405	67,259,136	67,259,137
22.	Net income (from Line 20)	5,193,091	8,061,014	9,968,473
23.	Net transfers (to) from Protected Cell accounts			
24.	Change in net unrealized capital gains (losses) less capital gains tax of $ 65,840	247,683	(2,043,156)	(2,377,411)
25.	Change in net unrealized foreign exchange capital gain (loss)			
26.	Change in net deferred income tax	(12,147)	(137,706)	(126,794)
27.	Change in nonadmitted assets			
28.	Change in provision for reinsurance			
29.	Change in surplus notes			
30.	Surplus (contributed to) withdrawn from protected cells			
31.	Cumulative effect of changes in accounting principles			
32.	Capital changes:			
	32.1 Paid in			
	32.2 Transferred from surplus (Stock Dividend)			
	32.3 Transferred to surplus			
33.	Surplus adjustments:			
	33.1 Paid in	0	0	
	33.2 Transferred to capital (Stock Dividend)			
	33.3 Transferred from capital			
34.	Net remittances from or (to) Home Office			
35.	Dividends to stockholders			
36.	Change in treasury stock			
37.	Aggregate write-ins for gains and losses in surplus	0	0	0
38.	Change in surplus as regards policyholders (Lines 22 through 37)	5,428,627	5,880,152	7,464,268
39.	Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	80,152,032	73,139,288	74,723,405
	DETAILS OF WRITE-INS			
0501.				
0502.				
0503.				
0598.	Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599.	Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)	0	0	0
1401.	Misc. Income (Expense)	(710)	(3,719)	(3,718)
1402.	Admin/Service Fee Income	108,204	119,292	163,760
1403.	Interest Expense - Funds held	(204,784)	(156,656)	(215,517)
1498.	Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499.	Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	(97,290)	(41,083)	(55,475)
3701.				
3702.				
3703.				
3798.	Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799.	Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)	0	0	0

CASH FLOW

		1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
	Cash from Operations			
1.	Premiums collected net of reinsurance	14,539,884	13,331,000	17,637,130
2.	Net investment income	2,512,610	1,964,378	2,765,154
3.	Miscellaneous income	(97,290)	(41,100)	(55,492)
4.	Total (Lines 1 to 3)	16,955,204	15,254,278	20,346,792
5.	Benefit and loss related payments	1,290,808	562,629	831,460
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	
7.	Commissions, expenses paid and aggregate write-ins for deductions	4,944,662	3,149,870	3,463,152
8.	Dividends paid to policyholders	0	0	
9.	Federal and foreign income taxes paid (recovered) net of $ .... tax on capital gains (losses)	1,474,437	2,328,493	2,930,235
10.	Total (Lines 5 through 9)	7,709,907	6,040,992	7,224,847
11.	Net cash from operations (Line 4 minus Line 10)	9,245,297	9,213,286	13,121,945
	Cash from Investments			
12.	Proceeds from investments sold, matured or repaid:			
	12.1 Bonds	29,149,712	20,331,650	32,112,240
	12.2 Stocks	1,306,361	17,113,795	17,528,520
	12.3 Mortgage loans	13,113	11,109	16,713
	12.4 Real estate	0	0	
	12.5 Other invested assets	0	0	
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	
	12.7 Miscellaneous proceeds	0	0	16,660
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	30,469,186	37,456,554	49,674,133
13.	Cost of investments acquired (long-term only):			
	13.1 Bonds	37,325,121	61,149,156	73,232,946
	13.2 Stocks	1,834,762	1,466,733	1,930,385
	13.3 Mortgage loans	0	0	
	13.4 Real estate	220,112	621,481	857,138
	13.5 Other invested assets	0	0	
	13.6 Miscellaneous applications	0	79,237	69,860
	13.7 Total investments acquired (Lines 13.1 to 13.6)	39,379,995	63,316,606	76,090,329
14.	Net increase (or decrease) in contract loans and premium notes	0	0	
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(8,910,809)	(25,860,052)	(26,416,196)
	Cash from Financing and Miscellaneous Sources			
16.	Cash provided (applied):			
	16.1 Surplus notes, capital notes	0	0	
	16.2 Capital and paid in surplus, less treasury stock	0	0	
	16.3 Borrowed funds	(1,671)	2,690	2,729
	16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	
	16.5 Dividends to stockholders	0	0	
	16.6 Other cash provided (applied)	4,035,361	6,611,526	4,623,473
17.	Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	4,033,690	6,614,216	4,626,202
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	4,368,178	(10,032,551)	(8,668,049)
19.	Cash, cash equivalents and short-term investments:			
	19.1 Beginning of year	4,761,353	13,429,402	13,429,402
	19.2 End of period (Line 18 plus Line 19.1)	9,129,531	3,396,852	4,761,353

Note: Supplemental disclosures of cash flow information for non-cash transactions:

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies

A.- Accounting Practices

The accompanying financial statements of Dealers Assurance Company have been prepared in conformity with accounting practices prescribed or permitted by the National Association of Insurance Commissioners and the State of Ohio. The company adopted codification as of 01/01/01.

	SSAP #	F/S Page	F/S Line #	2019	2018
Net Income					
(1) Company state basis (Page 4, Line 20, Columns 1 & 2)	-	-	-	5,193,091	$ 9,968,473
(2) State Prescribed Practices that (increase)/decrease NAIC SAP	-	-	-	-	-
(3) State Permitted Practices that (increase)/decrease NAIC SAP S	-	-	-	-	-
(4) NAIC SAP (1 – 2 – 3 = 4)	-	-	-	5,193,091	$ 9,968,473
Surplus				-	-
(5) Company state basis (Page 3, Line 37, Columns 1 & 2)	-	-	-	80,152,032	$ 74,723,403
(6) State Prescribed Practices that increase/(decrease) NAIC SAP	-	-	-	-	-
(7) State Permitted Practices that increase/(decrease) NAIC SAP	-	-	-	-	-
(8) NAIC SAP (1 – 2 – 3 = 4)				80,152,032	$ 74,723,403

1B. No changes to note

1C Real estate investments on the balance sheet are classified as properties occupied by the company. These are carried at the amortized cost less encumbrances.

1C (1) to 1C (5) No changes to Note

1 C (6) Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair value. The retrospective adjustment method is used to value all securities except for interest only securities or securities where the yield had become negative, that are valued using the prospective method.

1D Going Concern

Based upon its evaluation of relevant conditions and events, management does not have substantial doubt about the Company's ability to continue as a going concern.

2 to 4 No changes to note.

NOTES TO FINANCIAL STATEMENTS

5A Mortgage Loans, including Mezzanine Real Estate Loans

(1) The minimum and maximum lending rates for mortgage loans during 2019 were:

Residential Loans 4.00%

(2) The maximum percentage of any one loan to the value of security at the time of the loan, exclusive of insured or guaranteed or purchase money mortgages was 35.02**%.**

(3) Taxes, assessments and any amounts advanced and not included in the mortgage loan total:

Current Year	Prior Year
-0-	-0-

.

(4) Age Analysis of Mortgage Loans:

	Farm	Residential Insured	Residential All Other	Commercial Insured	Commercial All Other	Mezzanine	Total
a Current Year							
.							
1. Recorded Investment (All)							
(a) Current	$ -	$ -	$ 218,900	$ -	-	$ -	$ 218,900
(b) 30-59 Days Past Due	-	-	-	-	-	-	-
(c) 60-89 Days Past Due	-	-	-	-	-	-	-
(d) 60-179 Days Past Due	-	-	-	-	-	-	-
(e) 180+ Past Days Past Due	-	-	-	-	-	-	-
2. Accruing Interest 90-179 Days Past Due							
(a) Recorded Investment	-	-	-	-	-	-	-
(b) Interest Accrued	-	-	-	-	-	-	-
3. Accruing Interest 180+ Days Past Due							
(a) Recorded Investment	-	-	-	-	-	-	-
(b) Interest Accrued	-	-	-	-	-	-	-
4. Interest Reduced							
(a) Recorded Investment	-	-	-	-	-	-	-
(b) Number of Loans	-	-	-	-	-	-	-
(c) Percent Reduced	-	-	-	-	-	-	-
Prior Year							
1. Recorded Investment							
(a) Current	-	-	232,013	-	-	-	232,013
(b) 30-59 Days Past Due	-	-	-	-	-	-	-
(c) 60-89 Days Past Due	-	-	-	-	-	-	-
(d) 90-179 Days Past Due	-	-	-	-	-	-	-
(e) 180+ Days Past Due		-	-	-	-	-	-
2. Accruing Interest 90-179 Days Past Due							
(a) Recorded Investment	-	-	-	-	-	-	-
(b) Interest Accrued	-	-	-	-	-	-	-
3. Accruing Interest 180+ Days Past Due							
(a) Recorded Investment	-	-	-	-	-	-	-
(b) Interest Accrued	-	-	-	-	-	-	-
4. Interest Reduced							
(a) Recorded Investment	-	-	-	-	-	-	-
(b) Number of Loans	-	-	-	-	-	-	-
(c) Percent Reduced	-	-	-	-	-	-	-

5A (5) to 5 C. No changes to note**.**

5D. Loan-Backed Securities

1. Bloomberg is used as a source for the prepayment factors.
2. – 4. Not applicable, no permanently impaired bonds.
5. No impairments exist, other than interest rate related declines classified as temporary.

5E. The Company did not have any repurchase agreements in 2019.
5F. The Company did not have any repurchase transactions accounted for as Secured Borrowing.
5G. The Company did not have any reverse Repurchase Transactions Accounted for as Secured Borrowing.
5H. The Company did not have any Repurchase Agreements Transactions Accounted for as a Sale**.**
5I. The Company did not have any Reverse Repurchase Agreements Transactions Accounted for as a Sale.
5J-L. No changes to note
5M. The Company has no Working Capital Finance Investments .
5N. The company has no offsetting or netting of assets and liabilities
5O-R. No changes to note

6.-7. No changes to note
8. The Company does not have any Derivative Instruments.

NOTES TO FINANCIAL STATEMENTS

9. Income Taxes

A. The components of the net deferred tax assets/(liability) at September 30, 2019 are as follows:

1.

	9/30/19			12/31/18			Change		
	(1) Ordinary	(2) Capital	(3) (Col 1 + 2) Total	(4) Ordinary	(5) Capital	(6) (Col 4 + 5) Total	(7) (Col 1 – 4) Ordinary	(8) (Col 2 – 5) Capital	(9) (Col 7 + 8) Total
a Gross Deferred Tax Assets	1,537,201	-	1,537,201	1,388,980	-	1,388,980	148,221	-	148,221
b Statutory Valuation allowance adjustment	-	-	-	-	-	-	-	-	-
c Adjusted Gross Deferred Tax Asset (1a -1d)	1,537,201	-	1,537,201	1,388,980	-	1,388,980	148,221	-	148,221
d Deferred Tax Assets Nonadmitted	1,089,488	-	1,089,488	929,120	-	929,120	160,368	-	160,368
e Subtotal Net Admitted Deferred Tax Assets (1c-1f)	447,713	-	447,713	459,860	-	459,860	(12,147)	-	(12,147)
f Deferred Tax Liabilities	-	92,721	92,721	-	26,881	26,881	-	65,840	65,840
g Net Admitted Deferred Tax Asset (Net Deferred Tax Liability)	447,713	(92,721)	354,992	459,860	(26,881)	432,979	(12,147)	(65,840)	(77,987)

2.

	9/30/19			12/31/18			Change		
	(1) Ordinary	(2) Capital	(3) (Col 1 + 2) Total	(4) Ordinary	(5) Capital	(6) (Col 4 + 5) Total	(7) (Col 1 – 4) Ordinary	(8) (Col 2 – 5) Capital	(9) (Col 7 + 8) Total
Admission Calculation Components SSAP No. 101									
a Federal Income Taxes Paid in Prior Years Recoverable Through Loss Carrybacks	-	-	-	-	-	-	-	-	-
b Adjusted Gross Deferred Tax Asset Expected To Be Realized (Excluding the Amount of Deferred Tax Assets From 2(a) above). After Application of the Threshold Limitation. (The Lesser of 2(b)1 and 2(b)2 Below)	447,713	(97,721)	354,992	459,860	(26,881)	432,979	(12,147)	(65,840)	(77,987)
1 Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date	447,713	(97,721)	354,992	459,860	(26,881)	432,979	(12,147)	(65,840)	(77,987)
2. Adjusted Gross Deferred Tax Assets (Excluding the Amount of Deferred Tax Allowed per Limitation Threshold)	xxxxxxx	xxxxx	11,969,556	xxxxxxx	xxxxxxx	11,143,563	xxxxxxxxx	xxxxxxxx	825,993
c Adjusted Gross Deferred Tax Assets (Excluding the Amount of Deferred Tax Assets from 2(a) and 2 (b) above) Offset by Gross Deferred Tax Liabilities	-	-	-	-	-	-	-	-	-
d Deferred Tax Assets Admitted as the result of application of SSAP 101. Total)2(a) + 2(b) + 2 (c))	447,713	(97,721)	354,992	459,860	(26,881)	432,979	(12,147)	(65,840)	(77,987)

3

	2019	2018
(a) Ratio Percentage Used to Determine Recovery Period and Threshold Limitation Amount	828%	871%
(b) Amount of Adjusted Capital and Surplus Used to Determine Recovery Period and Threshold Limitation in 1(b) above	80,152,032	74,723,403

NOTES TO FINANCIAL STATEMENTS

4.

	9/30/19			12/31/18			Change		
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	Ordinary	Capital	(Col 1 + 2) Total	Ordinary	Capital	(Col 4 + 5) Total	(Col 1 – 4) Ordinary	(Col 2 – 5) Capital	(Col 7 + 8) Total
Impact of Tax Planning Strategies									
a Adjusted Gross DTA's (% of Total Adjusted Gross DTA's)	100%	0%	100%	100%	0%	100%	0%	0%	0%
b Net Admitted Adjusted Gross DTA's (% of Total Net Admitted Adjusted Gross DTA's)	126%	-26%	100%	106%	-6%	100%	20%	-20%	0%

.

c Does the Company's tax-planning strategies include the use of Reinsurance? Yes _X__ No _____

B Deferred tax liabilities not recognized at September 30, 2019 and December 31, 2018 are as follows:

N/A-All Deferred Tax Liabilities have been recognized

C Current income taxes incurred consist of the following major components

	(1) 9/30/19	(2) 12/31/18	(3) (Col 1 + 2) Change
1. Current Income Tax			
(a) Federal	1,337,588	2,086,175	(748,587)
(b) Foreign	-	-	
(c) Subtotal	1,337,588	2,086,175	(748,587)
(d) Federal Income tax on net capital gains	25,831	751,602	(725771)
(e) Utilization of capital loss carry-forwards		-	
(f) Other		-	
(g) Federal and foreign income taxes incurred	1,363,419	2,837,777	(1,474,358)
2. Deferred Tax Assets			
(a) Ordinary			
(1) Discounting of Unpaid Losses	5,778	5,778	-
(2) Unearned Premium Reserve	1,379,098	1,176,102	202,996
(3) Policyholder Reserves		-	
(4) Investments	147,848	203,031	(55,183)
(5) Deferred Acquisition Costs	-	-	-
(6) Policyholder Dividends Accrual	-	-	-
(7) Fixed Assets	4,477	4,069	408
(8) Compensation and Benefits Accrual	-	-	-
(9) Pension Accrual	-	-	-
(10) Receivables-Nonadmitted	-	-	-
(11) Net Operating Loss Carry-forward	-	-	-
(12) Tax Credit Carry-forward	-	-	-
(13) Other (including items <5% of total Capital Assets)	-	-	-
(99) Subtotal	1,537,201	1,388,980	148,221
(b) Statutory Valuation Allowance Adjustment	-	-	-
(c) Nonadmitted	,1,089,488	929,120	,160,368
(d) Admitted Ordinary Deferred tax Asset (2a99 – 2f – 2g)	447,713	459,860	(12,147)
(e) Capital:			
(1) Investments	-	-	-
(2) Net Capital less Carry-forward	-	-	-
(3) Real Estate	-	-	-
(4) Other (including items <5% of total Capital Assets)	-	-	-
(99) Subtotal	-	-	-
(f) Statutory Valuation Allowance Adjustment	-	-	-
(g) Nonadmitted	-	-	-
(h) Admitted Capital Deferred Tax Assets (2a99 – 2f -2g)	-	-	-
(i) Admitted Deferred Tax Asset (2d + 22h)	447,713	459,860	(12,147)

NOTES TO FINANCIAL STATEMENTS

	(1) 9/30/19	(2) 12/31/18	(3) (Col 1 + 2) Change
3 Deferred Tax Liabilities			
(a) Ordinary			
(1) Investments	-	-	-
(2) Fixed Assets	-	-	-
(3) Deferred and Uncollected premium	-	-	-
(4) Policyholder Reserves	-	-	-
(5) Other (including items <5% of ordinary Tax Liabilities)	-	-	
(99) Subtotal	-	-	
(b) Capital			
(1) Investments	92,721	26,881	65,840
(2) Real Estate		-	
(3) Other (including items <5% of ordinary Tax Liabilities)		-	
(99) Subtotal	92,721	26,881	65,840
(c) Deferred Tax Liabilities (3a99 + 3b99)	92,721	26,881	65,840
4. Net Deferred Tax Assets/Liabilities (2i – 3c)	354,992	432,979	(77,987)

D Among the more significant book to tax adjustments were the following:

	Amount	Tax Effect	%
Income before taxes	6,556,512	1,376,868	21%
Unearned Premium Adjustment	202,997	42,629	1%
Bond Discount	(262,776)	(55,183)	-1%
Loss Reserve Discounting			0%
Dividends Received Deduction	-	-	0%
Capital Loss Carry-Forward	-	-	0%
Other, net	(4,262)	(895)	0%
Total	6,492,471	1,363,419	21%

E At September 30, 2019, the Company has no net capital-loss forward available for recoupment against future capital gains.

F. The Company files a consolidated federal income tax return with its parent company, IA American Holdings, Inc., and other affiliated group members. The Company has a written agreement, approved by the Company's Board of Directors, which sets forth the manner in which the total combined federal income tax is allocated to each entity which is party to the consolidation. Pursuant to this agreement, the Company has the enforceable right to recoup federal income taxes paid in prior years in the event of future net losses, which it may incur, or recoup its net losses carried forward as an offset to future net income subject to federal income taxes.

10. Information Concerning Parent, Subsidiaries and Affiliates and Other Related Parties

A-C No changes.

D The Company reported $ 17,525 and $0 due from affiliates and $ 77,635 and $91,488 due to affiliates in the current and prior years, respectively.

E-F No changes.

G The parent company, Dealers Alliance Corporation, is a non-insurance entity. Through 09/30/19 (and prior years) Dealers Assurance Company provided funds for federal income tax deposits (on a consolidated basis). In prior years other companies included in consolidated tax return reimbursed their portion of federal income tax deposits on a quarterly basis.

H-L Dealers Assurance Company holds no investments in its parent company or affiliates.

11. Debt

The Company does not have any outstanding debt.

12A The Company has no defined benefit plans.

12B-16 No changes to note

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

The Company does not have any sales or transfers to report.

18. – 19. No changes to note

NOTES TO FINANCIAL STATEMENTS

20. Fair Value Measurements

A.
(1) Assets Measured at Fair Value on a Recurring Basis

	(1) Description		(2) (Level 1)	(3) (Level 2)	(4) (Level 3)		(5) Total
a.	Assets at fair value						
	Cash Equivalents		-				-
	Exempt MM Fund	$	75,089	-	-	$	75,089
	Other MM Fund		2,766,647	-	-		2,766,647
	Total Cash Equivalent		2,841,736	-	-		2,841,736
	Long Term						
	Indust. & Misc.	$	-0-			$	-0-
	Common Stock:						
	Indust. & Misc	$	2,523,403			$	2,523,403
	Derivative assets		-	-	-		-
	Separate account assets		-	-	-		-
	Total assets at fair value	$	5,365,139	-	-	$	5,365,139
	Liabilities at fair value	$	-	-	-	$	-
b.	Total liabilities at fair value	$	-	-	-		-

(2) There have been no significant transfers between level 1 and 2.
(3-4) Assets measured at Fair Value on a recurring basis with significant unobservable inputs (level 3)
NONE

B. Other Fair Value Disclosures-Not Applicable

C. Fair Values for all financial instruments by level 1, 2 and 3.

Description	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (carrying value)
Bonds	131,066,508	127,401,521	20,171,971	110,894,537		
Preferred Stock	-	-	-			
Common Stock	2,523,403	2,523,403	2,523,403			
Mortgage loans						218,900
Cash , cash equivalents and short-term investments	9,129,531	9,129,531	9,129,531			

D. Items which are not practicable to estimate fair values
It is not practical to determine the fair value of mortgage loans for purposes of the above disclosure of Note 20C due the fact that these items are not traded and therefore quoted market prices are not available. Also, the cost of obtaining estimates of fair values from other sources is considered excessive given the immateriality of the mortgage loans.

Type or Class of Financial Instrument	**Carrying Value**	**Effective Interest Rate**	**Maturity Date**	**Explanation**
Mortgage Loans				
DAC 002 Mokena, IL	218,900	4.000	09/01/2029	See paragraph above

21 A-F No changes to note.

G The Company has no offsetting and netting of Assets and Liabilities

H The Company has no Joint and Severable Liabilities

I The Company has no risk sharing involving the Affordable Care Act

22 -23 No changes to Note

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination
The Company does not have retrospectively rated contracts or contracts subject to redetermination.

25. Change in Incurred Losses and Loss Adjustment Expenses
Reserves as of December 31, 2018 were $183,440. As of September 30, 2019, $388,945 (net of reinsured prior year claims) was paid for incurred losses and loss adjustment expenses attributable to insured events of prior years. There has been a $205,505 negative prior year development.

There was no re-estimation of unpaid claims and claim adjustment expenses. Business written by DAC is related to service contracts issued on an assortment of consumer goods, but primarily, automobile service contracts. Historically, losses on this coverage are settled within 90 days of incurred date (98.7%); and the balance is settled within 180 days of incurred date

26. – 35. No changes to note.

36. Financial Guaranty Insurance
The company does not write financial guaranty insurance.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]

2.2 If yes, date of change:

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No [ ]
If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [X]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [ ] No [X]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [X] N/A [ ]
If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2018

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2018

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 10/02/2019

6.4 By what department or departments?
Ohio Department of Insurance

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [ ] No [ ] N/A [X]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [ ] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No [ ]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
The Securities affiliates do not operate in the U.S.	Quebec, Canada	NO	NO	NO	NO

GENERAL INTERROGATORIES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [X] No [ ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: $ 8,454

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: $ 0

13. Amount of real estate and mortgages held in short-term investments: $ 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [ ] No [X]

14.2 If yes, please complete the following:

		1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21	Bonds	$ 0	$ 0
14.22	Preferred Stock	$ 0	$ 0
14.23	Common Stock	$ 0	$ 0
14.24	Short-Term Investments	$ 0	$ 0
14.25	Mortgage Loans on Real Estate	$ 0	$ 0
14.26	All Other	$ 0	$ 0
14.27	Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	$ 0	$ 0
14.28	Total Investment in Parent included in Lines 14.21 to 14.26 above	$ 0	$ 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ]
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. $ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 $ 0

16.3 Total payable for securities lending reported on the liability page. $ 0

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Fifth Third Bank	Cincinnati, OH
US Bank	Winston Salem, NC
Wells Fargo Bank	Richmond, VA
First National Bankers Bank	Little Rock, AR
Citibank, NA	NY, NY
Century Bank	Sante Fe, NM
RBS Citizens, NA	Providence, RI
Avenu Insights & Analytics	Quincy, MA
Bank of New York Mellon	Atlanta, GA

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [X]

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["…that have access to the investment accounts"; "…handle securities"]

1 Name of Firm or Individual	2 Affiliation
Industrial Alliance Investment Management Inc. (make investment decisions)	A
Asset Allocation and Management Company	U
Bank of New York Wealth Management	U

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets? Yes [X] No [ ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes [X] No [ ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
Exempt	Industrial Alliance Investment Management	N/A	N/A	DS
109875	Asset Allocation and Management Company	549300DSCHEVIV5W3U963	Securities Exchange Commission	NO
8275	Bank of New York Wealth Management	N/A	Office of Controller of Currency	NO

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No [ ]

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
   a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
   b. Issuer or obligor is current on all contracted interest and principal payments.
   c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

   Has the reporting entity self-designated 5GI securities? Yes [ ] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
   a. The security was purchased prior to January 1, 2018.
   b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
   c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
   d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

   Has the reporting entity self-designated PLGI securities? Yes [ ] No [X]

GENERAL INTERROGATORIES

PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? .... Yes [ ] No [X] N/A [ ]
   If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? .... Yes [ ] No [X]
   If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? .... Yes [ ] No [X]

3.2 If yes, give full and complete information thereto.

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of " tabular reserves") discounted at a rate of interest greater than zero? .... Yes [ ] No [X]

4.2 If yes, complete the following schedule:

			TOTAL DISCOUNT				DISCOUNT TAKEN DURING PERIOD			
1 Line of Business	2 Maximum Interest	3 Discount Rate	4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 TOTAL
		TOTAL	0	0	0	0	0	0	0	0

5. Operating Percentages:

   5.1 A&H loss percent .... %

   5.2 A&H cost containment percent .... %

   5.3 A&H expense percent excluding cost containment expenses .... %

6.1 Do you act as a custodian for health savings accounts? .... Yes [ ] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date .... $

6.3 Do you act as an administrator for health savings accounts? .... Yes [ ] No [X]

6.4 If yes, please provide the balance of the funds administered as of the reporting date .... $

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? .... Yes [X] No [ ]

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? .... Yes [ ] No [ ]

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
00000	AA-0059040	CAP Autp Reinsurance Company	TCA	Unauthorized		
00000	AA-0059044	Cameen Reinsurance Company	TCA	Unauthorized		
00000	AA-0059038	Keebo Reinsurance Company	TCA	Unauthorized		
00000	AA-0059036	Quaestus Reinsurance Company	TCA	Unauthorized		
00000	AA-0059039	Supreme Automotive Reinsurance Company	TCA	Unauthorized		
00000	98-0462542	Five Star I Reinsurance Company, Ltd	TCA	Unauthorized		
00000	98-0471258	Five Star II Reinsurance Company, Ltd	TCA	Unauthorized		
00000	98-0466231	Five Star III Reinsurance Company, Ltd	TCA	Unauthorized		
00000	AA-0058883	Millennium Reinsurance Company	TCA	Unauthorized		
00000	AA-0058943	GSDS Reinsurance Company	TCA	Unauthorized		
00000	AA-0052332	Young Automotive Group Reinsurance Company, Ltd.	TCA	Unauthorized		
00000	AA-0055874	OmpenRe Limited	TCA	Unauthorized		
00000	AA-0055872	SP Forward Reinsurance Company, Ltd.	TCA	Unauthorized		
00000	AA-0055875	G4 Forward Reinsurance Company, Ltd.	TCA	Unauthorized		
00000	AA-0056090	G5 Forward Reinsurance Company, Ltd.	TCA	Unauthorized		
00000	AA-0056887	G8 Forward Reinsurance Company, Ltd.	TCA	Unauthorized		
00000	AA-0055261	APA III Reinsurance Company	TCA	Unauthorized		
00000	AA-0055932	CMFR Reinsurance Company	TCA	Unauthorized		
00000	AA-0055936	Govero Reinsurance Company	TCA	Unauthorized		
00000	AA-0058942	Negasonic Reinsurance Company	TCA	Unauthorized		

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Premiums Written 2 Current Year To Date	3 Prior Year To Date	Direct Losses Paid (Deducting Salvage) 4 Current Year To Date	5 Prior Year To Date	Direct Losses Unpaid 6 Current Year To Date	7 Prior Year To Date
1. Alabama AL	L	1,847,643	2,388,027	723,377	689,408	97,871	66,807
2. Alaska AK	L	65,063	243,115	15,708	0	150	94
3. Arizona AZ	L	1,623,477	315,833	509,791	501,358	28,480	33,256
4. Arkansas AR	L	1,904,168	2,409,970	1,093,015	1,015,987	223,959	92,036
5. California CA	L	2,633,793	2,689,546	1,495,638	730,108	112,661	89,169
6. Colorado CO	L	3,857,610	4,180,336	2,292,996	2,712,563	134,135	120,486
7. Connecticut CT	L	241,954	435,577	58,492	246,880	18,703	14,420
8. Delaware DE	L	13,193,625	9,939,056	4,398,567	4,509,242	316,933	241,990
9. District of Columbia DC	L						
10. Florida FL	L	5,233,138	5,207,012	3,789,664	4,354,412	559,020	426,337
11. Georgia GA	L	1,611,450	1,168,343	1,284,808	359,589	63,220	41,266
12. Hawaii HI	L						
13. Idaho ID	L	346,257	474,677	137,149	86,159	8,916	1,122
14. Illinois IL	L	2,007,020	862,306	750,352	307,309	36,042	44,091
15. Indiana IN	L	2,025,244	2,033,147	1,140,346	724,843	80,998	43,609
16. Iowa IA	L	53,200	200,236	99,824	44,256	10,908	5,852
17. Kansas KS	L	164,130	94,970	30,472	9,329	18,993	267
18. Kentucky KY	L	782,380	676,896	385,451	209,695	41,880	26,440
19. Louisiana LA	L	2,135,828	2,559,565	903,734	1,639,506	366,689	202,657
20. Maine ME	L	910,544	538,091	484,248	222,011	39,230	33,596
21. Maryland MD	L	649,552	1,672,989	477,018	747,417	67,709	50,832
22. Massachusetts MA	L	218,318	661,732	270,640	285,652	24,278	23,978
23. Michigan MI	L	4,762,913	5,499,433	3,811,339	2,995,594	192,891	118,826
24. Minnesota MN	L	780,074	806,345	724,146	555,171	38,094	24,760
25. Mississippi MS	L	2,122,609	1,574,946	986,626	432,195	62,475	34,274
26. Missouri MO	L	1,224,835	1,543,085	622,435	407,723	72,463	58,509
27. Montana MT	L	43,973	97,330	143,782	73,251	9,462	4,744
28. Nebraska NE	L	502,978	373,266	470,268	95,847	17,858	14,562
29. Nevada NV	L	91,286	171,043	97,877	71,235	6,757	6,481
30. New Hampshire NH	L	421,296	142,497	230,917	58,278	7,851	6,954
31. New Jersey NJ	L	534,453	1,013,225	41,251	520,093	31,219	24,808
32. New Mexico NM	L	1,313,724	2,036,356	144,748	145,448	38,928	34,329
33. New York NY	L	2,816,654	3,068,159	2,555,305	2,069,597	121,650	94,091
34. North Carolina NC	L	9,660,301	6,713,298	4,872,685	4,484,102	421,600	366,187
35. North Dakota ND	L	(108,176)	20,269	(90,443)	34,739	1,886	2,559
36. Ohio OH	L	15,306,220	8,540,335	3,224,977	2,637,555	254,096	223,981
37. Oklahoma OK	L	1,099,620	360,968	387,136	208,938	74,248	63,626
38. Oregon OR	L	204,211	103,238	40,527	32,084	2,979	3,043
39. Pennsylvania PA	L	3,649,318	2,870,523	1,862,410	1,008,625	114,319	104,277
40. Rhode Island RI	L	402,243	146,849	198,941	58,666	7,352	4,296
41. South Carolina SC	L	579,936	574,503	310,515	260,241	32,734	50,507
42. South Dakota SD	L	46,818	49,163	11,582	11,248	1,585	417
43. Tennessee TN	L	543,085	2,592,560	526,283	776,212	118,660	100,832
44. Texas TX	L	33,632,232	29,278,908	12,801,414	10,246,109	2,346,418	2,032,902
45. Utah UT	L	324,856	5,804	141,190	4,850	586	452
46. Vermont VT	L	(234,561)	76,602	(146,118)	87,525	5,071	7,569
47. Virginia VA	L	335,753	1,095,092	60,829	357,428	42,808	28,513
48. Washington WA	L	15,402,493	13,699,553	5,352,214	5,418,568	371,941	282,679
49. West Virginia WV	L	899,258	459,934	354,739	212,471	42,481	28,830
50. Wisconsin WI	L	529,689	658,625	516,776	315,669	33,894	42,400
51. Wyoming WY	L	109,178	105,138	66,529	17,871	5,300	3,991
52. American Samoa AS	N						
53. Guam GU	N						
54. Puerto Rico PR	N						
55. U.S. Virgin Islands VI	N						
56. Northern Mariana Islands MP	N						
57. Canada CAN	N						
58. Aggregate Other Alien OT	XXX	0	0	0	0	0	0
59. Totals	XXX	138,501,663	122,428,471	60,662,170	52,993,057	6,728,381	5,327,704
DETAILS OF WRITE-INS							
58001.	XXX		0		0		0
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG 51

E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - see DSLI) 0

D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile 0

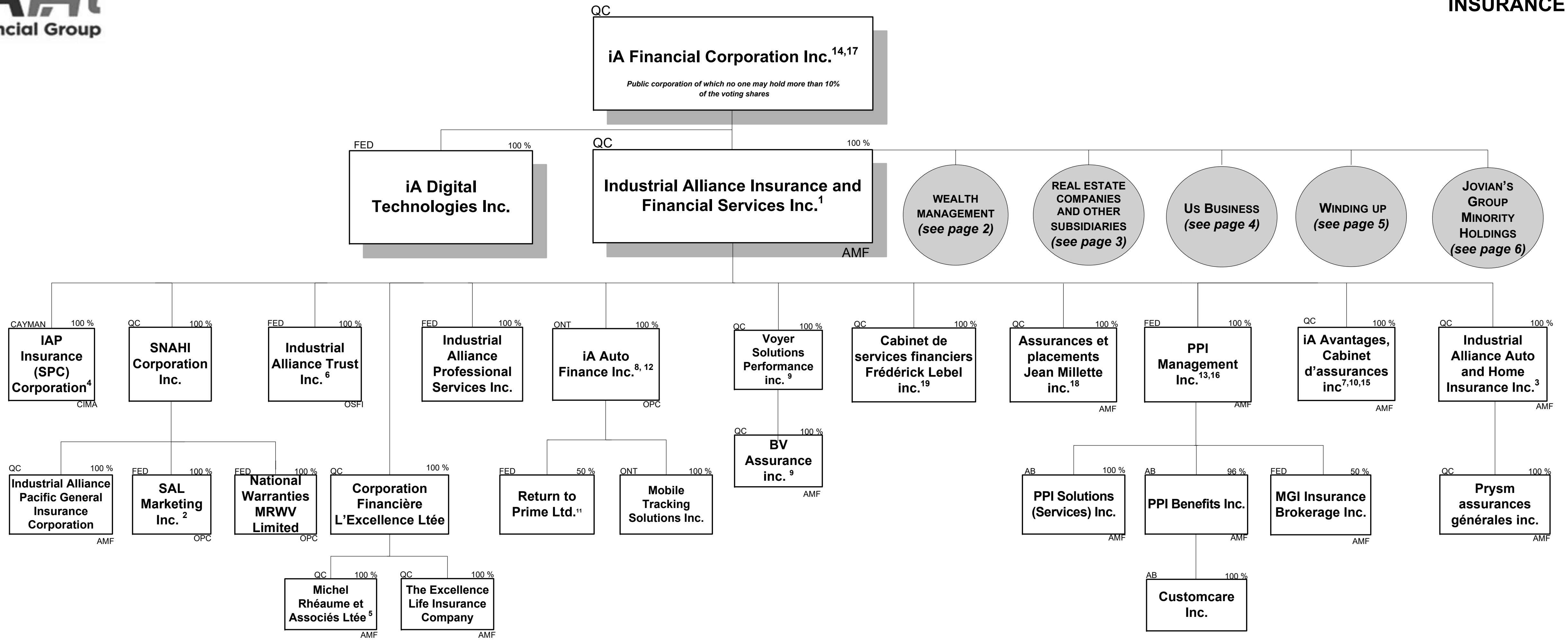
R - Registered - Non-domiciled RRGs 0

Q - Qualified - Qualified or accredited reinsurer 0

N - None of the above - Not allowed to write business in the state 6

CORPORATE CHART

INSURANCE

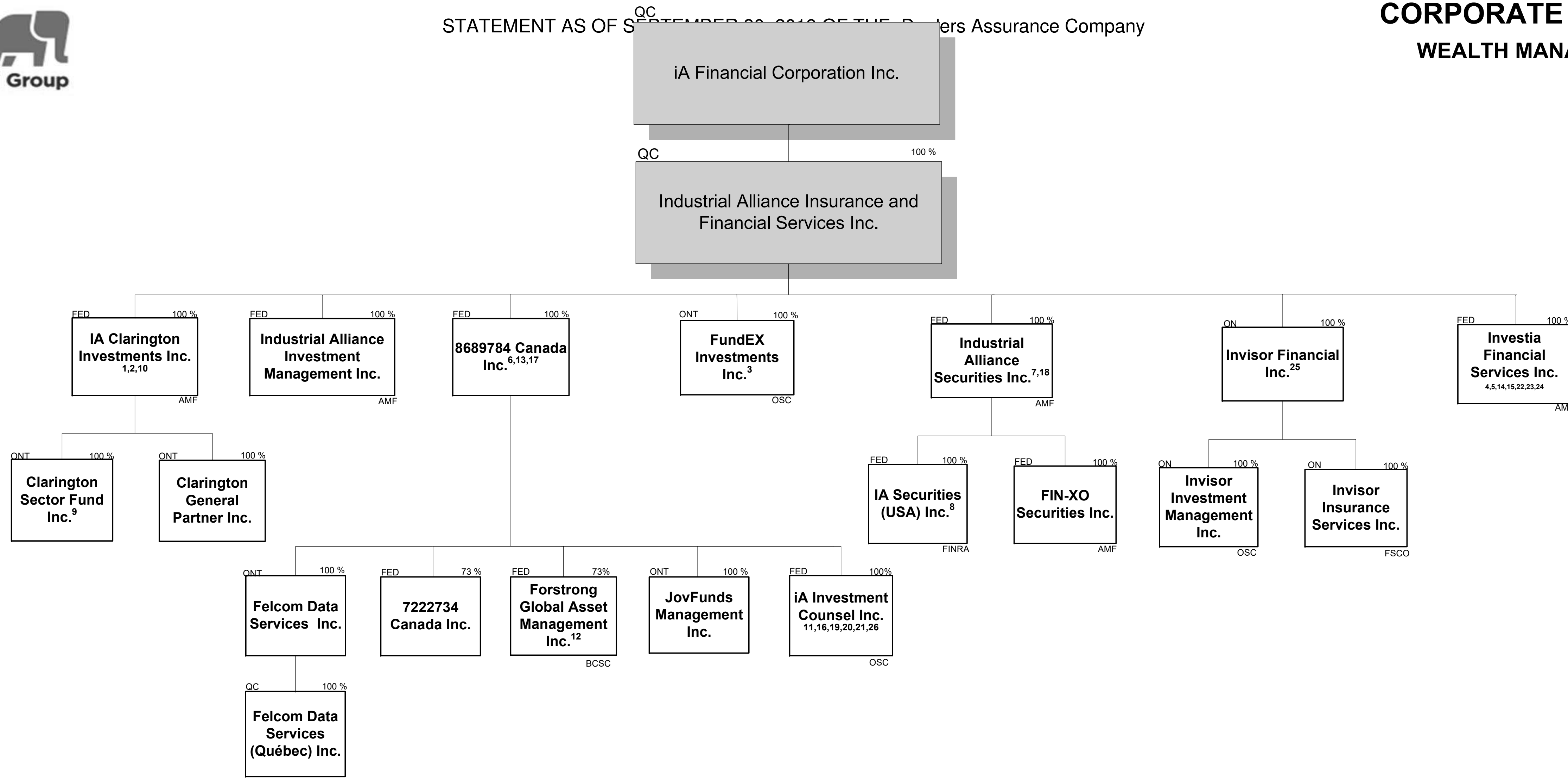


[1] Amalgamation of Industrial Alliance Insurance and Financial Services Inc. (IA) and Industrial Alliance Pacific Insurance and Financial Services Inc. (IAP) on June 30, 2012.
[2] Formely Seaboard Marketing Inc.
[3] Formely Industrial Alliance General Insurance Company.
[4] Following the amalgamation of IA and IAP, this subsidiary is wholly-owned by IA.
[5] Formerly Société d'Investissements L'Excellence Ltée.
[6] Industrial Alliance Trust Company changed its name and its jurisdiction on March 2, 2005.
[7] Amalgamation of Solicour Inc. and Solicour Insurance Services Inc. on January 1st, 2014.
[8] Aquisition of CTL Corp. by IAIFS on October 1st, 2015.
[9] Aquisition of Groupe PPP by IAIFS on November 18, 2016.
[10] Amalgamation of iA Avantages Cabinets d'assurances inc. and Solicour inc. on March 1st, 2017.
[11] Incorporation of Return to Prime Ltd. on February 16, 2017, 50% owned by CTL Corp. on March 3rd, 2017.
[12] CTL Corp. changed its name to iA Auto Finance Inc.on June 19, 2017.
[13] Acquisition of PPI Management Inc. by IAIFS on February 26,2018
[14] Incorporated by IAIFS on February 20, 2018.
[15] Amalgamation between iA Avantages, Cabinet d'assurance inc, Premier Plan Assurance inc. et Performa Assurance inc. on January 1st, 2019. The name of the amalgamated corporation is iA Avantages, Cabinet d'assurance inc.
[16] Amalgamation between PPI Management Inc., Hollis Insurance Inc., PPI Solutions Inc., PPI Solutions (Winnipeg) Inc. and 3752178 Canada Inc. on January 1st, 2019. The name of the amalgamated corporation is PPI Management Inc.
[17] January 1st, 2019, effective date of the Arrangement.
[18] Acquisition of Assurances et placements Jean Millette Inc. by IAIFS on January 1st,2019.
[19] Acquisition of Cabinet de services financiers Frédérick Lebel Inc. by IAIFS on August 15, 2019.

CORPORATE CHART

WEALTH MANAGEMENT

11.1



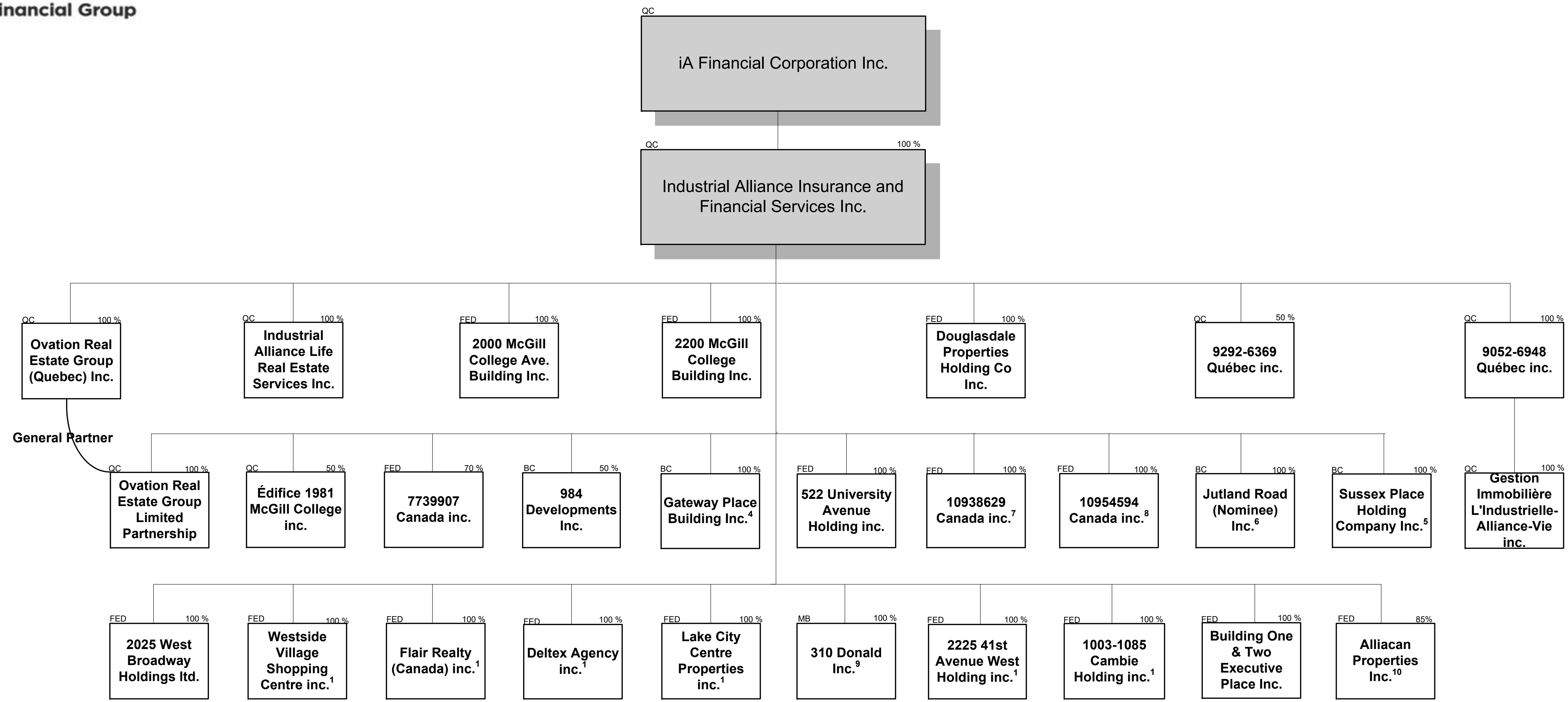
[1] Amalgamation of Sarbit Asset Management Inc. (acquired on October 31, 2008) and IA Clarington Investments Inc. on January 1st, 2009.
[2] Amalgamation of Industrial Alliance Mutual Funds Inc. and IA Clarington Investments on January 1st, 2011.
[3] Acquisition of Fundtrade Financial Corp. on August 18, 2006 and amalgamation of Fundtrade Financial Corp. and FundEx Investments Inc.on September 1st, 2006.
[4] Acquisition of National Financial Corporation on July 1st, 2008 and amalgamation of NFC, Aegon Dealer Services Canada and Investia Services Inc. on September 30, 2008.
[5] Amalgamation of Investia Financial Services Inc. and Dundee Private Investors Ltd. on September 1st, 2009.
[6] Amalgamation of Jovian Capital Corporation and Jovian Asset Management Inc. on January 1st, 2014.
[7] Amalgamation of Industrial Alliance Securities Inc. and MGI Securities Inc. on April 1st, 2014.
[8] Formerly MGI Securities (USA) Inc.
[9] Amalgamation of Clarington Sector Fund Inc. and JovCorporate Funds Ltd. On November 28, 2014.
[10] Amalgamation of IA Clarington Investments Inc. and JovFinancial Solutions Inc. on March 1st, 2015.
[11] Acquisition of Conseillers Financiers T.E. (Québec) Inc. on May 15, 2015.
[12] Formely Hahn Investment Stewards & Company Inc.
[13] Formely Jovian Capital Corporation.
[14] Acquisition of Les Services financiers Planifax inx. by Investia on January 1st, 2016.
[15] Amalgamation between Investia Financial Services and Planifax on January 1st, 2016.
[16] Acquisiton of Doherty &Bryant Financial Strategists Inc. by TEFC on March 1st, 2016.
[17] Amalgamation of 8689784 Canada Inc. and 2782073 Canada Inc. on April 28, 2016.
[18] Amalgamation of Industrial Alliance Securities Inc. and Burgeonvest Bick Securities Limited on May 1st, 2016.
[19] Amalgamation of T.E. Investment Counsel Inc., Leon Frazer & Associates Inc. and Fit Private Investment Counsel Inc. on May 1st, 2016.
[20] Formely T.E. Investment Counsel Inc.
[21] Amalgamation of Consillers Financiers T.E. (Québec) Inc., Doherty & Bryant Financial Strategists Inc., Bryant Financial Group Inc. and T.E. Financial Consultants Ltd on June 1st, 2016
[22] Acquisition of Byrns Holdings Inc. and Christeph Holdings Inc. by Investia Financial Services Inc. on December 1st ,2016
[23] Liquidation of Byrns Holdings Inc., Christeph Holdings Inc. Roybyrns & Associates Inc. and Services Financier G.A.P. Financial Services Inc. on December 15 ,2016 and Winding up of Byrns, Christeph, Roybyrns and G.A.P. on December 31st, 2016
[24] Acquisition of HollisWealth Advisory Services Inc. by IAIFS and amalgamated with Investia, both on August 4, 2017.
[25] Acquisition of Invisor Financial Inc. by IAIFS on April 3rd, 2018.
[26] Amalgamation of T.E. Financial Consultants Ltd. and iA Investment Counsel Inc. on May 1st, 2018. The name of the amalgamated corporation is iA Investment Counsel Inc.

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Dealers Assurance Company

CORPORATE CHART

REAL ESTATE COMPANIES AND OTHER SUBSIDIARIES

11.2

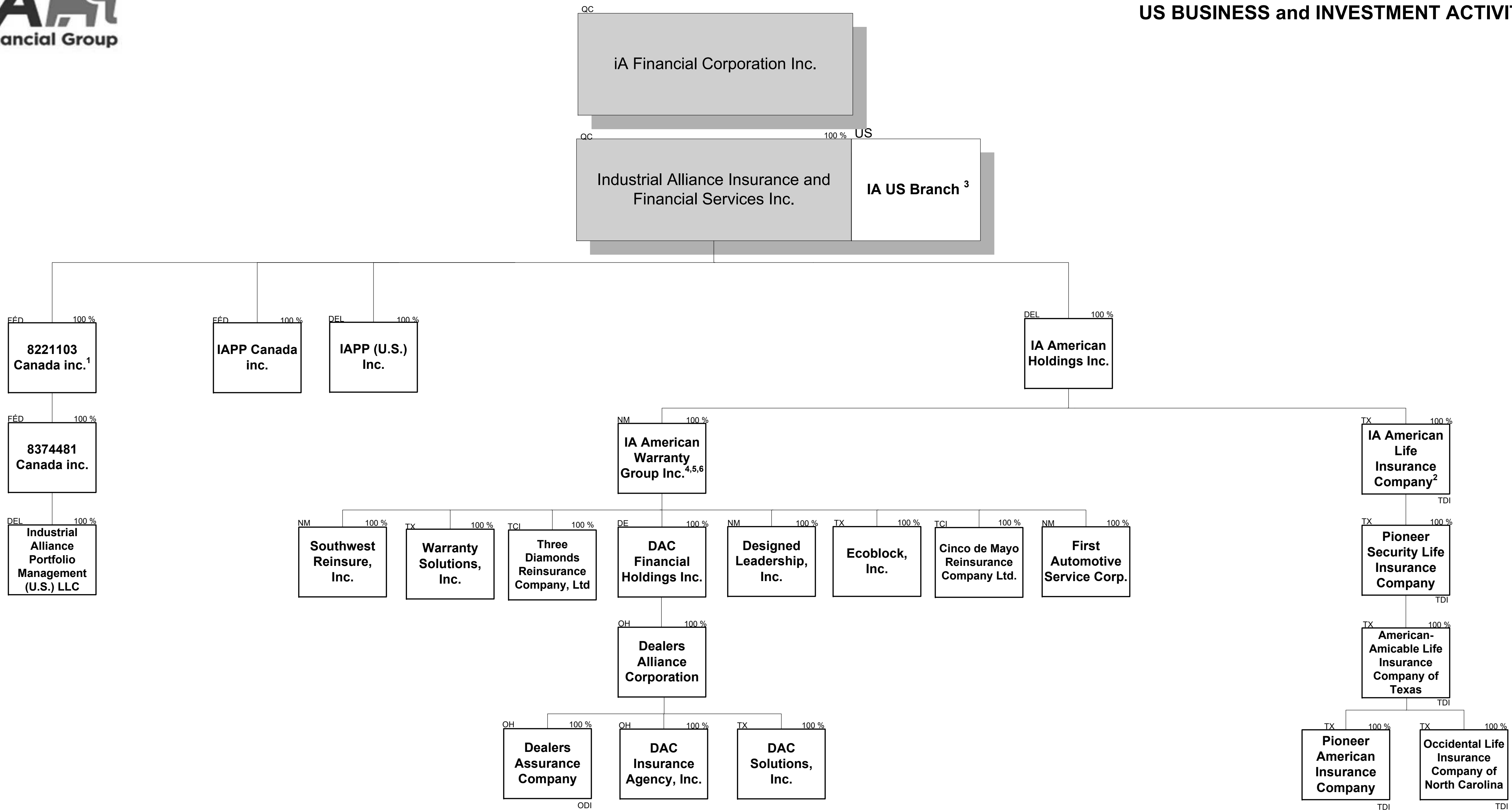


[1] Following the amalgamation of IA and IAP, these subsidiaries are wholly-owned by IA.
[2] Non-profit organization with charitable status.
[3] Formely Industrial Alliance Foundation for Learning a Second Language
[4] Formely Bosa Properties (Gateway-Comm) Inc.
[5] Incorporated by IAIFS on November 1st,2017.
[6] Purchased by IAIFS on November 15, 2017.
[7] Incorporated by IAIFS on August 9, 2018.
[8] Incorporated by IAIFS on August 21, 2018.
[9] Purchased by IAIFS on October 31. 2018.
[10] Incorporated by IAIFS on August 20, 2019.

CORPORATE CHART

US BUSINESS and INVESTMENT ACTIVITIES

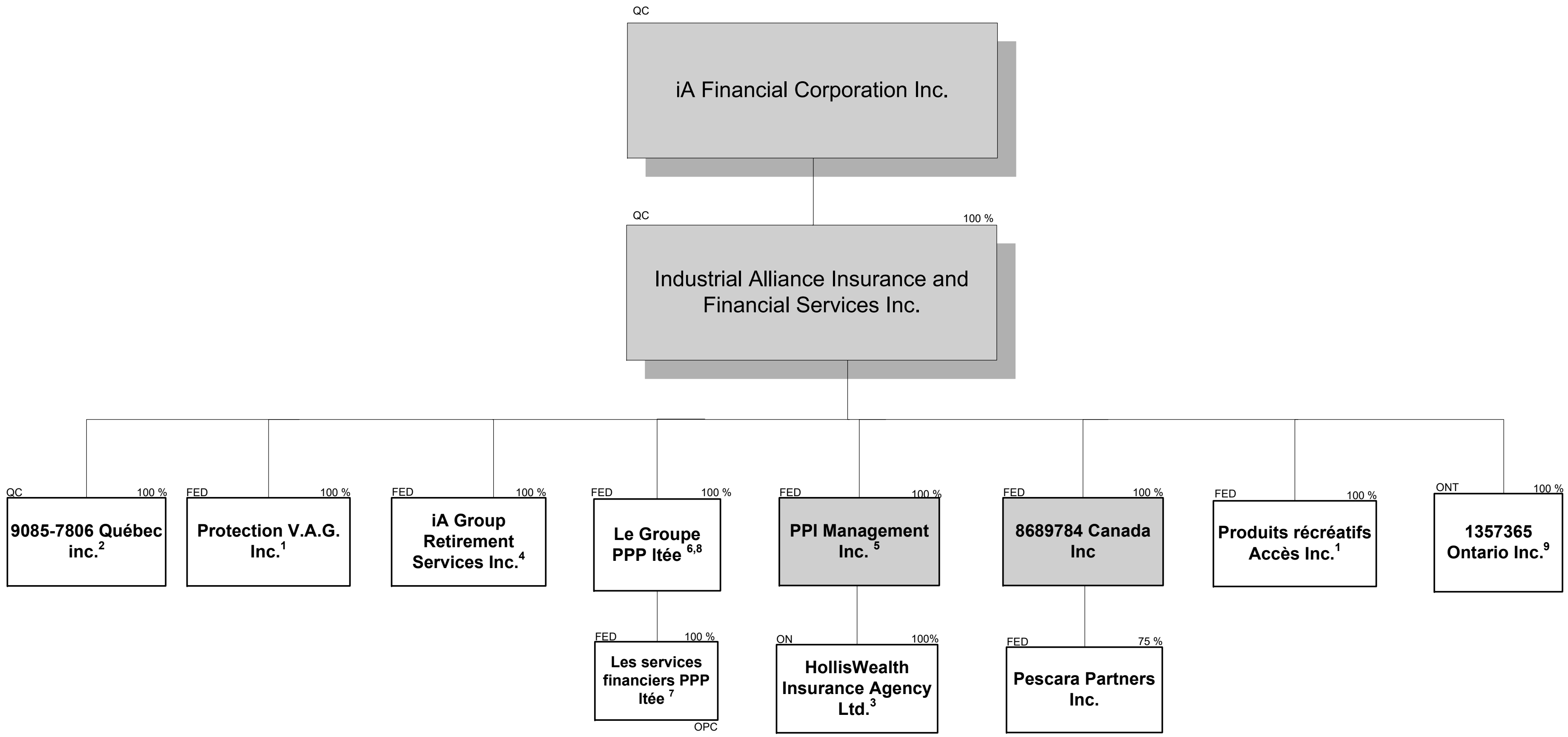
11.3



[1] New company resulting of the amalgamation of 6895808 Canada Inc. and 6895816 Canada Inc. on July 4, 2012.
[2] Formerly United Family Life Insurance Company.
[3] IAIFS carries on business in the U.S. via its American branch which is not a distinct corporate entity.
[4] Acquisition by IA American Holdings Inc. on January 23, 2018.
[5] Formerly known as Helios Financial Holdings Corp.
[6] Formerly known as IA American Casualty Holdings Inc.

CORPORATE CHART

WINDING UP



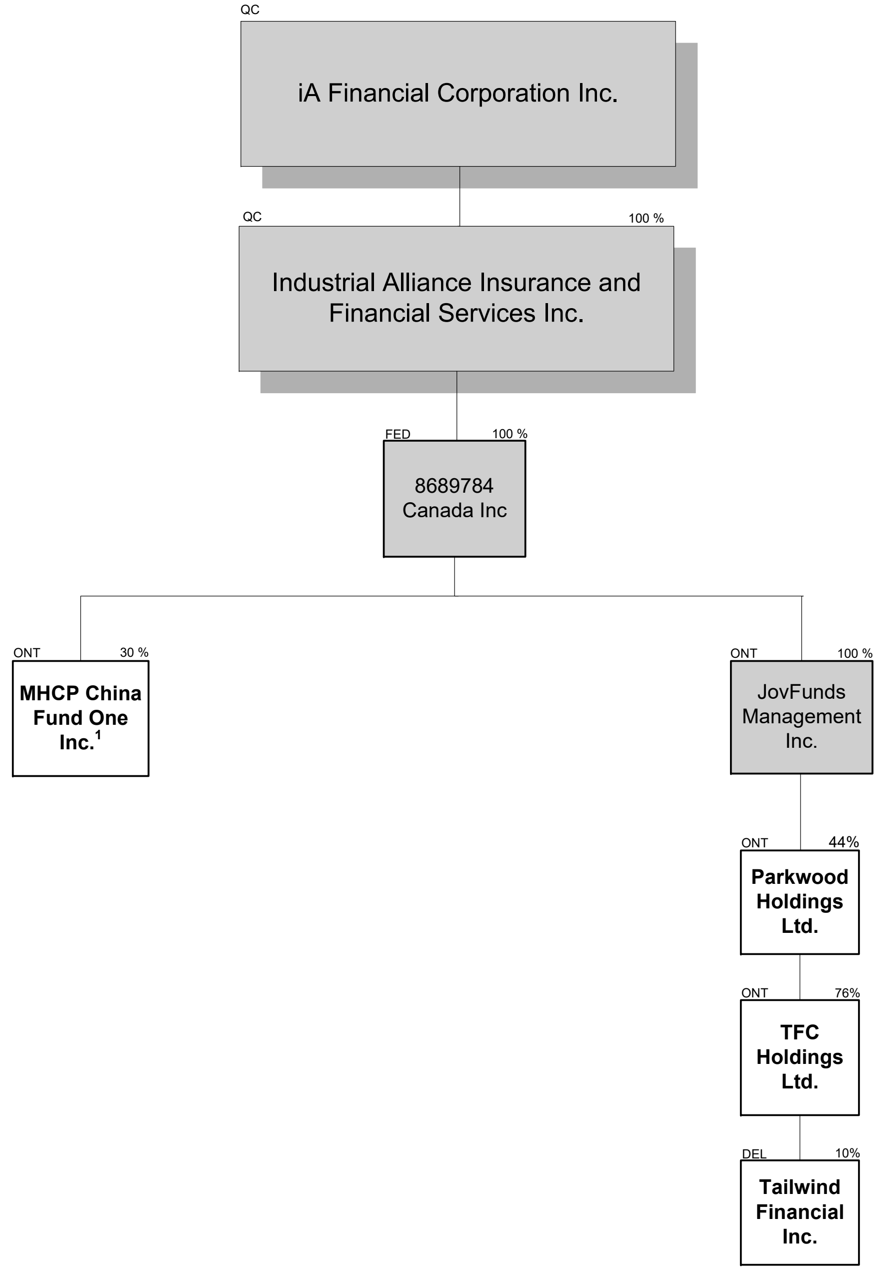
11.4

[1] Liquidated in IA on July 1st, 2013.
[2] Liquidated in IA on February 15, 2017.
[3] Liquidated in Hollis Insurance Inc. on August 4th, 2017.
[4] Liquidated in IA on December 17, 2018.
[5] Change of the ownership following the amalgamation between PPI Management Inc., PPI Solutions Inc., Hollis Insurance Inc., PPI Solutions (Winnipeg) Inc. and 3752178 Canada Inc. on January 1st, 2019. The resultant of the amalgamation is PPI Management Inc.
[6] Aquisition of Groupe PPP by IAIFS on November 18, 2016.
[7] Liquidated in Le Groupe PPP Ltée on April 1st, 2019.
[8] Liquidated in iA on April 1st, 2019.
[9] Liquidated in iA on August 15, 2019.

CORPORATE CHART

JOVIAN GROUP'S MINORITY HOLDINGS

11.5



[1] Amalgamation between Mission Hills Partners Inc. and MHCP China Fund One Inc. on April 1st, 2018.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
0315	Industrial Alliance GRP	00000	00-0000000			TSX	Industrial Alliance Insurance and Financial Services Inc.	CAN	UIP	IA Financial Corporation Inc.	Ownership/Management/Board of Directors		IA Financial Corporation Inc.	N	
0315	Industrial Alliance GRP	14406	98-0018913				Industrial Alliance Insurance and Financial Services - USB	TX	IA	Industrial Alliance Insurance and Financial Services Inc	Ownership/Management/Board of Directors		IA Financial Corporation Inc.	N	
	Industrial Alliance GRP	00000	00-0000000				IA Financial Corporation Inc.	CAN	UIP	Publicly Traded				N	
0315	Industrial Alliance GRP	00000	85-0479228				IA American Casualty Holdings Inc.	NM	UIP	IA American Holdings Inc.	Ownership		IA Financial Corporation Inc.	N	
0315	Industrial Alliance GRP	00000	20-0833446				DAC Financial Holdings, Inc.	DE	UIP	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		IA Financial Corporation Inc.	N	
0315	Industrial Alliance GRP	00000	31-0906655				Dealers Alliance Corp.	OH	UDP	DAC Financial Holdings, Inc	Ownership/Management/Board of Directors		IA Financial Corporation Inc.	N	
0315	Industrial Alliance GRP	16705	34-6513705				Dealers Assurance Company	OH	RE	Dealers Alliance Corp.	Ownership/Management/Board of Directors		IA Financial Corporation Inc.	N	
0315	Industrial Alliance GRP	00000	31-0908416				DAC Insurance Agency, Inc.	OH	IA	Dealers Alliance Corp.	Ownership/Management/Board of Directors		IA Financial Corporation Inc.	N	
0315	Industrial Alliance GRP	00000	46-2008908				DAC Solutions, Inc.	TX	NIA	Dealers Alliance Corp.	Ownership/Management/Board of Directors		IA Financial Corporation Inc.	N	
0315	Industrial Alliance GRP	00000	85-0339432				Southwest Reinsure, Inc.	NM	NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		IA Financial Corporation Inc.	N	
0315	Industrial Alliance GRP	00000	42-1560831				Warranty Solutions, Inc.	TX	NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		IA Financial Corporation Inc.	N	
0315	Industrial Alliance GRP	00000	98-0231706				Three Diamonds Reinsurance Co., LTD	TCA	IA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		IA Financial Corporation Inc.	N	
0315	Industrial Alliance GRP	00000	85-0339431				Designed Leadership, Inc.	NM	NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		IA Financial Corporation Inc.	N	
0315	Industrial Alliance GRP	00000	42-1563507				Ecoblock, Inc.	TX	NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		IA Financial Corporation Inc.	N	
0315	Industrial Alliance GRP	00000	98-0516902				Cinco de Mayo Reinsurance Co., LTD	TCA	IA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		IA Financial Corporation Inc.	N	
0315	Industrial Alliance GRP	00000	91-2020119				First Automotive Service Corp.	NM	NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		IA Financial Corporation Inc.	N	
0315	Industrial Alliance GRP	91693	13-3036472				IA American Life Insurance Company	TX	IA	IA American Holdings Inc.	Ownership		IA Financial Corporation Inc.	N	
0315	Industrial Alliance GRP	67946	75-1083342				Pioneer Security Life Insurance Company	TX	IA	IA American Life Insurance Company	Ownership/Management/Board of Directors		IA Financial Corporation Inc.	N	
0315	Industrial Alliance GRP	68594	74-2179909				American Amicable Life Ins. Co. of Texas	TX	IA	Pioneer Security Life Insurance Company	Ownership/Management/Board of Directors		IA Financial Corporation Inc.	N	
0315	Industrial Alliance GRP	67148	56-0343440				Occidental Life Insurance Co. of North Carolina	TX	IA	American Amicable Life Insurance Co. of Texas	Ownership/Management/Board of Directors		IA Financial Corporation Inc.	N	
0315	Industrial Alliance GRP	67873	75-0914374				Pioneer American Insurance Company	TX	IA	American Amicable Life Insurance Co. of Texas	Ownership/Management/Board of Directors		IA Financial Corporation Inc.	N	

Asterisk	Explanation

PART 1 - LOSS EXPERIENCE

	Line of Business	Current Year to Date 1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	4 Prior Year to Date Direct Loss Percentage
1.	Fire			0.0	0.0
2.	Allied Lines			0.0	0.0
3.	Farmowners multiple peril			0.0	0.0
4.	Homeowners multiple peril			0.0	0.0
5.	Commercial multiple peril			0.0	0.0
6.	Mortgage guaranty			0.0	0.0
8.	Ocean marine			0.0	0.0
9.	Inland marine			0.0	0.0
10.	Financial guaranty			0.0	0.0
11.1	Medical professional liability - occurrence			0.0	0.0
11.2	Medical professional liability - claims-made			0.0	0.0
12.	Earthquake			0.0	0.0
13.	Group accident and health			0.0	0.0
14.	Credit accident and health			0.0	0.0
15.	Other accident and health			0.0	0.0
16.	Workers' compensation			0.0	0.0
17.1	Other liability - occurrence	6,606,849	8,073,233	122.2	106.6
17.2	Other liability - claims-made			0.0	0.0
17.3	Excess workers' compensation			0.0	0.0
18.1	Products liability - occurrence			0.0	0.0
18.2	Products liability - claims-made			0.0	0.0
19.1,19.2	Private passenger auto liability			0.0	0.0
19.3,19.4	Commercial auto liability			0.0	0.0
21.	Auto physical damage			0.0	0.0
22.	Aircraft (all perils)			0.0	0.0
23.	Fidelity			0.0	0.0
24.	Surety			0.0	0.0
26.	Burglary and theft			0.0	0.0
27.	Boiler and machinery			0.0	0.0
28.	Credit			0.0	0.0
29.	International			0.0	0.0
30.	Warranty	105,476,453	52,588,937	49.9	51.9
31.	Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business	0	0	0.0	0.0
35.	Totals	112,083,302	60,662,170	54.1	55.4
	DETAILS OF WRITE-INS				
3401.					
3402.					
3403.					
3498.	Summary of remaining write-ins for Line 34 from overflow page	0	0	0.0	0.0
3499.	Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	0	0	0.0	0.0

PART 2 - DIRECT PREMIUMS WRITTEN

	Line of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1.	Fire	0		
2.	Allied Lines	0		
3.	Farmowners multiple peril	0		
4.	Homeowners multiple peril	0		
5.	Commercial multiple peril	0		
6.	Mortgage guaranty	0		
8.	Ocean marine	0		
9.	Inland marine	0		
10.	Financial guaranty	0		
11.1	Medical professional liability - occurrence	0		
11.2	Medical professional liability - claims-made	0		
12.	Earthquake	0		
13.	Group accident and health	0		
14.	Credit accident and health	0		
15.	Other accident and health	0		
16.	Workers' compensation	0		
17.1	Other liability - occurrence	3,207,841	10,979,308	11,160,584
17.2	Other liability - claims-made	0		
17.3	Excess workers' compensation	0		
18.1	Products liability - occurrence	0		
18.2	Products liability - claims-made	0		
19.1,19.2	Private passenger auto liability	0		
19.3,19.4	Commercial auto liability	0		
21.	Auto physical damage	0		
22.	Aircraft (all perils)	0		
23.	Fidelity	0		
24.	Surety	0		
26.	Burglary and theft	0		
27.	Boiler and machinery	0		
28.	Credit	0		
29.	International	0		
30.	Warranty	47,875,288	127,522,355	111,267,887
31.	Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business	0	0	0
35.	Totals	51,083,129	138,501,663	122,428,471
	DETAILS OF WRITE-INS			
3401.				
3402.				
3403.				
3498.	Summary of remaining write-ins for Line 34 from overflow page	0	0	0
3499.	Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	0	0	0

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

Years in Which Losses Occurred	1 Prior Year-End Known Case Loss and LAE Reserves	2 Prior Year-End IBNR Loss and LAE Reserves	3 Total Prior Year-End Loss and LAE Reserves (Cols. 1+2)	4 2019 Loss and LAE Payments on Claims Reported as of Prior Year-End	5 2019 Loss and LAE Payments on Claims Unreported as of Prior Year-End	6 Total 2019 Loss and LAE Payments (Cols. 4+5)	7 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	8 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	9 Q.S. Date IBNR Loss and LAE Reserves	10 Total Q.S. Loss and LAE Reserves (Cols.7+8+9)	11 Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols.4+7 minus Col. 1)	12 Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2)	13 Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12)
1. 2016 + Prior			0			0				0	0	0	0
2. 2017			0			0				0	0	0	0
3. Subtotals 2017 + Prior	0	0	0	0	0	0	0	0	0	0	0	0	0
4. 2018		183	183		389	389				0	0	206	206
5. Subtotals 2018 + Prior	0	183	183	0	389	389	0	0	0	0	0	206	206
6. 2019	XXX	XXX	XXX	XXX	949	949	XXX		183	183	XXX	XXX	XXX
7. Totals	0	183	183	0	1,338	1,338	0	0	183	183	0	206	206
8. Prior Year-End Surplus As Regards Policyholders	74,723												

Col. 11, Line 7 As % of Col. 1 Line 7	Col. 12, Line 7 As % of Col. 2 Line 7	Col. 13, Line 7 As % of Col. 3 Line 7
1. 0.0	2. 112.6	3. 112.6

Col. 13, Line 7 As a % of Col. 1 Line 8
4. 0.3

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

		Response
1.	Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2.	Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4.	Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanations:

1.

2.

3.

4.

Bar Codes:

1. Trusteed Surplus Statement [Document Identifier 490] — 1 6 7 0 5 2 0 1 9 4 9 0 0 0 0 0 3
2. Supplement A to Schedule T [Document Identifier 455] — 1 6 7 0 5 2 0 1 9 4 5 5 0 0 0 0 3
3. Medicare Part D Coverage Supplement [Document Identifier 365] — 1 6 7 0 5 2 0 1 9 3 6 5 0 0 0 0 3
4. Director and Officer Supplement [Document Identifier 505] — 1 6 7 0 5 2 0 1 9 5 0 5 0 0 0 0 3

OVERFLOW PAGE FOR WRITE-INS

NONE

SCHEDULE A - VERIFICATION

Real Estate

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	3,714,953	2,952,088
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition	220,112	857,138
3.	Current year change in encumbrances		
4.	Total gain (loss) on disposals		
5.	Deduct amounts received on disposals		
6.	Total foreign exchange change in book/adjusted carrying value		
7.	Deduct current year's other than temporary impairment recognized		
8.	Deduct current year's depreciation	103,326	94,273
9.	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	3,831,739	3,714,953
10.	Deduct total nonadmitted amounts		
11.	Statement value at end of current period (Line 9 minus Line 10)	3,831,739	3,714,953

SCHEDULE B - VERIFICATION

Mortgage Loans

		1 Year to Date	2 Prior Year Ended December 31
1.	Book value/recorded investment excluding accrued interest, December 31 of prior year	232,013	248,726
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals	13,113	16,713
8.	Deduct amortization of premium and mortgage interest points and commitment fees		
9.	Total foreign exchange change in book value/recorded investment excluding accrued interest		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	218,900	232,013
12.	Total valuation allowance		
13.	Subtotal (Line 11 plus Line 12)	218,900	232,013
14.	Deduct total nonadmitted amounts		
15.	Statement value at end of current period (Line 13 minus Line 14)	218,900	232,013

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	0	
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		
8.	Deduct amortization of premium and depreciation		
9.	Total foreign exchange change in book/adjusted carrying value		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	0
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)	0	0

SCHEDULE D - VERIFICATION

Bonds and Stocks

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value of bonds and stocks, December 31 of prior year	120,964,028	95,271,997
2.	Cost of bonds and stocks acquired	39,159,883	75,163,332
3.	Accrual of discount	139,680	116,271
4.	Unrealized valuation increase (decrease)	313,946	(3,009,381)
5.	Total gain (loss) on disposals	114,184	3,579,058
6.	Deduct consideration for bonds and stocks disposed of	30,464,893	49,678,960
7.	Deduct amortization of premium	310,724	516,488
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees	8,820	38,200
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	129,924,924	120,964,028
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)	129,924,924	120,964,028

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	98,705,205	12,036,273	11,654,755	(795,255)	98,476,365	98,705,205	98,291,468	99,794,475
2. NAIC 2 (a)	22,513,252	6,100,200	245,561	742,162	20,201,931	22,513,252	29,110,053	19,329,640
3. NAIC 3 (a)	0				0	0	0	
4. NAIC 4 (a)	0				0	0	0	
5. NAIC 5 (a)	0				0	0	0	
6. NAIC 6 (a)	0				390,600	0	0	
7. Total Bonds	121,218,457	18,136,473	11,900,316	(53,093)	119,068,897	121,218,457	127,401,521	119,124,114
PREFERRED STOCK								
8. NAIC 1	0				0	0	0	0
9. NAIC 2	0				0	0	0	0
10. NAIC 3	0				0	0	0	0
11. NAIC 4	0				0	0	0	0
12. NAIC 5	0				0	0	0	0
13. NAIC 6	0				0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	121,218,457	18,136,473	11,900,316	(53,093)	119,068,897	121,218,457	127,401,521	119,124,114

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 $ .... ; NAIC 2 $ .... ; NAIC 3 $ .... NAIC 4 $ .... ; NAIC 5 $ .... ; NAIC 6 $ ....

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals		XXX			

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	120,006
2. Cost of short-term investments acquired		
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	0	120,000
7. Deduct amortization of premium	0	6
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	0	0
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	0	0

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

NONE

Schedule DB - Part B - Verification - Futures Contracts

NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

NONE

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

NONE

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

NONE

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

		1 Year To Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	2,773,571	11,081,682
2.	Cost of cash equivalents acquired	29,190,426	85,074,987
3.	Accrual of discount	0	8,750
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals		
6.	Deduct consideration received on disposals	29,122,261	93,391,849
7.	Deduct amortization of premium		
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	2,841,736	2,773,571
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	2,841,736	2,773,571

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

SCHEDULE B - PART 2

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	Location		4	5	6	7	8	9
	2	3						
Loan Number	City	State	Loan Type	Date Acquired	Rate of Interest	Actual Cost at Time of Acquisition	Additional Investment Made After Acquisition	Value of Land and Buildings
3399999 - Totals								

NONE

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other Than Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	Consid- eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
DAC002	Mokena	IL		08/21/2014							0			5,786			0
0299999. Mortgages with partial repayments						0	0	0	0	0	0	0	0	5,786	0	0	0
0599999 - Totals						0	0	0	0	0	0	0	0	5,786	0	0	0

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location 3 City	4 State	5 Name of Vendor or General Partner	6 NAIC Designation and Administrative Symbol/ Market Indicator	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made After Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
4699999 - Totals												XXX

NONE

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location 3 City	4 State	5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/ Adjusted Carrying Value Less Encumbrances, Prior Year	Change in Book/Adjusted Carrying Value 9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization)/ Accretion	11 Current Year's Other Than Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in Book/ Adjusted Carrying Value (9+10-11+12)	14 Total Foreign Exchange Change in Book/ Adjusted Carrying Value	15 Book/ Adjusted Carrying Value Less Encumbrances on Disposal	16 Consideration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income
4499999. Total - Unaffiliated							0	0	0	0	0	0	0	0	0	0	0	0	0
4599999. Total - Affiliated							0	0	0	0	0	0	0	0	0	0	0	0	0
4699999 - Totals							0	0	0	0	0	0	0	0	0	0	0	0	0

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Administrative Symbol/ Market Indicator (a)
912828-3F-5	UNITED STATES TREASURY		01/02/2019	RBC CAPITAL MARKETS, LLC		242,441	250,000	761	1
912828-4N-7	UNITED STATES TREASURY		07/02/2019	RBC CAPITAL MARKETS, LLC		259,207	250,000	1,693	1
912828-6N-5	UNITED STATES TREASURY		08/21/2019	BARCLAYS CAP/FIXED INCOME, NEW YORK		129,366	126,941	224	1
912828-7D-6	UNITED STATES TREASURY		08/21/2019	WELLS FARGO SECURITIES LLC		127,964	125,163	32	1
912828-M5-6	UNITED STATES TREASURY		07/12/2019	CABRERA CAPITAL MARKETS		2,542,588	2,500,000	9,324	1
912828-RC-6	UNITED STATES TREASURY		03/04/2019	SOCIETE GENERALE NY		49,488	50,000	53	1
912828-U2-4	UNITED STATES TREASURY		01/02/2019	HSBC SECS INC, NEW YORK		239,434	250,000	677	1
912828-U5-7	UNITED STATES TREASURY		07/02/2019	BNP PARIBAS SEC BOND, NEW YORK		177,857	175,000	335	1
912828-VB-3	UNITED STATES TREASURY		01/02/2019	SOCIETE GENERALE NY		72,750	75,000	178	1
912828-VP-2	UNITED STATES TREASURY		03/04/2019	CREDIT AGRICOLE SECURITIES USA INC.		198,359	200,000	365	1
0599999. Subtotal - Bonds - U.S. Governments						4,039,455	4,002,104	13,642	XXX
3132DV-3Z-6	FH SD8016 - RMBS		09/26/2019	INTL FCStone L.P.		1,520,859	1,500,000	3,625	1
977100-DC-7	WISCONSIN ST GEN FD ANNUAL APPROPRIATION		06/05/2019	LOOP Capital Markets		297,351	300,000	715	1FE
3199999. Subtotal - Bonds - U.S. Special Revenues						1,818,210	1,800,000	4,340	XXX
002824-BB-5	ABBOTT LABORATORIES		07/26/2019	US BANK N.A.		512,620	500,000	5,531	2FE
00913R-AC-0	AIR LIQUIDE FINANCE SA	C	02/12/2019	BNP SECURITIES		720,930	750,000	6,422	1FE
02377L-AA-2	AAI 191 AA - ABS		08/01/2019	CITIBANK, N.A.		500,000	500,000	0	1FE
025537-AJ-0	AMERICAN ELECTRIC POWER COMPANY INC		07/26/2019	MARKETAXESS		512,090	500,000	3,422	2FE
025816-BR-9	AMERICAN EXPRESS CO		02/19/2019	RBC CAPITAL MARKETS		488,750	500,000	4,625	1FE
03040W-AL-9	AMERICAN WATER CAPITAL CORP		08/27/2019	BNP SECURITIES		529,915	500,000	8,406	2FE
032654-AM-7	ANALOG DEVICES INC		01/03/2019	WELLS FARGO BROKERAGE		489,715	500,000	1,389	2FE
049560-AN-5	ATMOS ENERGY CORP		07/26/2019	CITIBANK, N.A.		511,770	500,000	1,875	1FE
05565Q-DH-8	BP CAPITAL MARKETS PLC	C	07/02/2019	NATWEST SECURITIES		215,644	200,000	765	1FE
06051G-FS-3	BANK OF AMERICA CORP		03/04/2019	SUMRIDGE PARTNERS LLC		178,750	175,000	659	1FE
100743-AL-7	BOSTON GAS CO	C	07/24/2019	MORGAN STANLEY & CO LLC		215,000	215,000	0	1FE
10373Q-AL-4	BP CAPITAL MARKETS AMERICA INC	C	01/03/2019	CREDIT SUISEE FIRST BOSTON		586,146	600,000	2,613	1FE
210717-AB-0	CMRS 14A A2 - ABS		03/01/2019	WELLS FARGO BROKERAGE		450,563	450,000	4,591	1FE
233331-AW-7	DTE ENERGY CO		08/08/2019	WELLS FARGO BROKERAGE		299,901	300,000	525	2FE
25468P-DM-5	WALT DISNEY CO		01/10/2019	CANTOR FITZGERALD & CO		446,170	500,000	4,214	1FE
29278N-AH-6	ENERGY TRANSFER OPERATING LP		05/23/2019	MITSUBISHI UFJ SECURITIES		522,145	500,000	2,688	2FE
30034W-AB-2	EVERGY INC		09/26/2019	JEFFERIES & COMPANY INC		497,380	500,000	846	2FE
302491-AT-2	FMC CORP		09/26/2019	JEFFERIES & COMPANY INC		506,020	500,000	444	2FE
30259J-AA-1	F.N.B. CORP		02/11/2019	MORGAN STANLEY & CO LLC		300,000	300,000	0	2FE
31620M-BJ-4	FIDELITY NATIONAL INFORMATION SERVICES I		05/15/2019	VARIOUS		755,361	750,000	0	2FE
369550-BE-7	GENERAL DYNAMICS CORP		03/04/2019	MARKETAXESS CORPORATION		175,812	175,000	1,677	1FE
375558-BF-9	GILEAD SCIENCES INC		07/26/2019	US BANK N.A.		791,873	750,000	11,330	1FE
377373-AG-0	GLAXOSMITHKLINE CAPITAL PLC	C	03/19/2019	VARIOUS		996,138	1,000,000	0	1FE
378272-AT-5	GLENCORE FUNDING LLC	C	03/05/2019	JP MORGAN SECURITIES INC.		398,108	400,000	0	2FE
40139L-AE-3	GUARDIAN LIFE GLOBAL FUNDING		08/28/2019	FIRST TENN FIXED		331,377	315,000	3,719	1FE
446413-AL-0	HUNTINGTON INGALLS INDUSTRIES INC		07/26/2019	JP MORGAN SECURITIES INC.		513,745	500,000	2,854	2FE
44935R-AC-2	HALST 19B A3 - ABS		08/06/2019	MERRILL LYNCH FIXED INCOME		314,998	315,000	0	1FE
459200-JZ-5	INTERNATIONAL BUSINESS MACHINES CORP		05/08/2019	JP MORGAN SECURITIES INC.		748,605	750,000	0	1FE
46625H-RV-4	JPMORGAN CHASE & CO		09/26/2019	MARKETAXESS		1,025,820	1,000,000	14,668	1FE
532457-BP-2	ELI LILLY AND CO		09/26/2019	MORGAN STANLEY & CO LLC		528,315	500,000	5,813	1FE
571748-BF-8	MARSH & MCLENNAN COMPANIES INC		01/09/2019	MERRILL LYNCH FIXED INCOME		601,176	600,000	0	1FE
641062-AD-6	NESTLE HOLDINGS INC	C	01/15/2019	CREDIT SUISEE FIRST BOSTON		1,012,180	1,000,000	10,515	1FE
64952W-DG-5	NEW YORK LIFE GLOBAL FUNDING		04/03/2019	CREDIT SUISEE FIRST BOSTON		469,676	470,000	0	1FE
65339K-BG-4	NEXTERA ENERGY CAPITAL HOLDINGS INC		07/26/2019	MITSUBISHI UFJ SECURITIES		513,425	500,000	5,075	2FE
655664-AS-9	NORDSTROM INC		09/26/2019	GOLDMAN SACHS & CO, NY		408,352	400,000	667	2FE
666807-BN-1	NORTHROP GRUMMAN CORP		01/03/2019	CREDIT SUISEE FIRST BOSTON		472,810	500,000	7,764	2FE
674599-CR-4	OCCIDENTAL PETROLEUM CORP		08/06/2019	MERRILL LYNCH FIXED INCOME		749,483	750,000	0	2FE
682680-AS-2	ONEOK INC		09/26/2019	MILLENNIUM ADVISORS, LLC		526,765	500,000	4,278	2FE
68389X-BK-0	ORACLE CORP		08/20/2019	MITSUBISHI UFJ SECURITIES		1,249,388	1,250,000	10,358	1FE
72346Q-AC-8	PINNACLE FINANCIAL PARTNERS INC		09/06/2019	SANDLER O NEILL & PARTNERS LP		750,000	750,000	0	1FE
756109-AN-4	REALTY INCOME CORP		03/19/2019	Suntrust		757,283	750,000	10,563	1FE
78013X-W2-0	ROYAL BANK OF CANADA	C	03/20/2019	GOLDMAN SACHS & CO. INC.		513,155	500,000	8,582	1FE
78403D-AN-0	SBATOW 191 1C - ABS		09/10/2019	BARCLAYS CAPITAL		750,000	750,000	0	1FE

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Administrative Symbol/ Market Indicator (a)
806851-AK-7	SCHLUMBERGER HOLDINGS CORP		04/11/2019	EXCHANGE		384,640	390,793	4,571	2FE
867224-AA-5	SUNCOR ENERGY INC	C	03/19/2019	MERRILL LYNCH FIXED INCOME		609,678	600,000	6,600	1FE
882508-BB-9	TEXAS INSTRUMENTS INC		01/17/2019	US BANK N.A.		679,889	700,000	3,420	1FE
89153V-AQ-2	TOTAL CAPITAL INTERNATIONAL SA	C	08/21/2019	MORGAN STANLEY & CO INC, NY		245,876	225,000	86	1FE
89231X-AA-9	TALNT 191 A - ABS		06/10/2019	CITIBANK, N.A.		999,665	1,000,000	0	1FE
911312-BR-6	UNITED PARCEL SERVICE INC		03/14/2019	MERRILL LYNCH FIXED INCOME		750,758	750,000	213	1FE
92343V-ET-7	VERIZON COMMUNICATIONS INC		02/28/2019	EXCHANGE		606,624	608,850	9,373	2FE
92347Y-AA-2	VZOT 19A A1A - ABS		04/01/2019	CITIGROUP GLOBAL MARKETS INC.		499,900	500,000	0	1FE
928668-AR-3	VOLKSWAGEN GROUP OF AMERICA FINANCE LLC	C	07/26/2019	MORGAN STANLEY & CO LLC		530,505	500,000	4,545	2FE
92940P-AE-4	WRKCO INC		05/16/2019	MERRILL LYNCH FIXED INCOME		539,870	540,000	0	2FE
931142-EM-1	WALMART INC		04/17/2019	VARIOUS		999,810	1,000,000	0	1FE
94106L-BH-1	WASTE MANAGEMENT INC		05/14/2019	GOLDMAN SACHS & CO. INC.		449,879	450,000	0	2FE
97650W-AG-3	WINTRUST FINANCIAL CORP		06/03/2019	RBC CAPITAL MARKETS		700,000	700,000	0	2FE
976826-BL-0	WISCONSIN POWER AND LIGHT CO		01/04/2019	VARIOUS		412,661	430,000	3,024	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						32,247,104	32,059,643	178,707	XXX
8399997. Total - Bonds - Part 3						38,104,770	37,861,746	196,689	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						38,104,770	37,861,746	196,689	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
002824-10-0	ABBOTT LABORATORIES ORD		03/04/2019	RBC CAPITAL MARKETS, LLC	160.000	12,630		0	L
00724F-10-1	ADOBE ORD		08/22/2019	VARIOUS	44.000	11,889		0	L
007903-10-7	ADVANCED MICRO DEVICES ORD		07/08/2019	VARIOUS	1,170.000	30,157		0	L
016255-10-1	ALIGN TECHNOLOGY ORD		08/22/2019	VARIOUS	8.000	1,859		0	L
02079K-30-5	ALPHABET CL A ORD		08/22/2019	VARIOUS	14.000	16,270		0	L
023135-10-6	AMAZON COM ORD		08/22/2019	SIDCO/ITG INC.	2.000	3,656		0	L
026874-78-4	AMERICAN INTERNATIONAL GROUP ORD		08/22/2019	VARIOUS	280.000	13,587		0	L
03027X-10-0	AMERICAN TOWER REIT		08/22/2019	SIDCO/ITG INC.	13.000	2,962		0	L
031162-10-0	AMGEN ORD		08/22/2019	VARIOUS	100.000	20,424		0	L
032511-10-7	ANADARKO PETROLEUM ORD		03/04/2019	RBC CAPITAL MARKETS, LLC	30.000	1,343		0	L
037833-10-0	APPLE ORD		08/22/2019	VARIOUS	144.000	31,199		0	L
040413-10-6	ARISTA NETWORKS ORD		06/21/2019	VARIOUS	81.000	20,385		0	L
060505-10-4	BANK OF AMERICA ORD		08/22/2019	VARIOUS	240.000	6,826		0	L
09062X-10-3	BIOGEN ORD		03/04/2019	RBC CAPITAL MARKETS, LLC	4.000	1,342		0	L
097023-10-5	BOEING ORD		08/22/2019	VARIOUS	9.000	3,688		0	L
101137-10-7	BOSTON SCIENTIFIC ORD		08/22/2019	VARIOUS	360.000	14,826		0	L
110122-10-8	BRISTOL MYERS SQUIBB ORD		08/22/2019	VARIOUS	190.000	8,852		0	L
12572Q-10-5	CME GROUP CL A ORD		08/22/2019	VARIOUS	176.000	31,810		0	L
126408-10-3	CSX ORD		08/22/2019	VARIOUS	40.000	2,703		0	L
126650-10-0	CVS HEALTH ORD		08/22/2019	VARIOUS	340.000	19,922		0	L
150870-10-3	CELANESE ORD		08/22/2019	VARIOUS	39.000	4,201		0	L
166764-10-0	CHEVRON ORD		08/22/2019	VARIOUS	236.000	27,603		0	L
191216-10-0	COCA-COLA ORD		08/22/2019	VARIOUS	410.000	22,018		0	L
20030N-10-1	COMCAST CL A ORD		08/22/2019	VARIOUS	230.000	9,875		0	L
200340-10-7	COMERICA ORD		03/04/2019	RBC CAPITAL MARKETS, LLC	30.000	2,611		0	L
21036P-10-8	CONSTELLATION BRANDS CL A ORD		08/22/2019	VARIOUS	16.000	2,974		0	L
22052L-10-4	CORTEVA ORD		06/03/2019	EXCHANGE	113.333	3,424		0	L
22160K-10-5	COSTCO WHOLESALE ORD		03/04/2019	RBC CAPITAL MARKETS, LLC	10.000	2,208		0	L
244199-10-5	DEERE ORD		08/22/2019	VARIOUS	28.000	4,513		0	L
254687-10-6	WALT DISNEY ORD		08/22/2019	VARIOUS	45.000	5,541		0	L
256746-10-8	DOLLAR TREE ORD		08/22/2019	VARIOUS	299.000	29,765		0	L
260557-10-3	DOW ORD		08/22/2019	VARIOUS	233.333	12,322		0	L
26078J-10-0	DOWDUPONT ORD		04/02/2019	VARIOUS	370.000	13,237		0	L
26614N-10-2	DUPONT DE NEMOURS ORD		08/22/2019	VARIOUS	163.333	11,867		0	L
30063P-10-5	EXACT SCIENCES ORD		08/22/2019	VARIOUS	73.000	7,367		0	L

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Administrative Symbol/ Market Indicator (a)
30161N-10-1	EXELON ORD		08/22/2019	SIDCO/ITG INC.	40.000	1,819		0	L
30231G-10-2	EXXON MOBIL ORD		08/22/2019	VARIOUS	440.000	33,449		0	L
30303M-10-2	FACEBOOK CL A ORD		03/04/2019	RBC CAPITAL MARKETS, LLC	33.000	5,415		0	L
369604-10-3	GENERAL ELECTRIC ORD		08/22/2019	VARIOUS	1,200.000	12,261		0	L
437076-10-2	HOME DEPOT ORD		08/22/2019	SIDCO/ITG INC.	7.000	1,541		0	L
438516-10-6	HONEYWELL INTERNATIONAL ORD		08/22/2019	VARIOUS	37.000	5,908		0	L
452308-10-9	ILLINOIS TOOL ORD		08/22/2019	VARIOUS	34.000	5,039		0	L
458140-10-0	INTEL ORD		03/14/2019	VARIOUS	570.000	30,716		0	L
45866F-10-4	INTERCONTINENTAL EXCHANGE ORD		03/04/2019	RBC CAPITAL MARKETS, LLC	30.000	2,326		0	L
46625H-10-0	JPMORGAN CHASE ORD		08/22/2019	VARIOUS	179.000	19,515		0	L
478160-10-4	JOHNSON & JOHNSON ORD		04/17/2019	VARIOUS	74.000	10,247		0	L
512807-10-8	LAM RESEARCH ORD		05/20/2019	UBS SECURITIES LLC, STAMFORD	11.000	2,067		0	L
552953-10-1	MGM RESORTS INTERNATIONAL ORD		08/22/2019	VARIOUS	130.000	3,604		0	L
56585A-10-2	MARATHON PETROLEUM ORD		03/04/2019	RBC CAPITAL MARKETS, LLC	30.000	1,884		0	L
58933Y-10-5	MERCK & CO ORD		08/22/2019	VARIOUS	60.000	5,016		0	L
594918-10-4	MICROSOFT ORD		08/22/2019	VARIOUS	224.000	27,331		0	L
595112-10-3	MICRON TECHNOLOGY ORD		08/22/2019	VARIOUS	1,220.000	51,913		0	L
609207-10-5	MONDELEZ INTERNATIONAL CL A ORD		03/04/2019	RBC CAPITAL MARKETS, LLC	80.000	3,798		0	L
61174X-10-9	MONSTER BEVERAGE ORD		03/04/2019	RBC CAPITAL MARKETS, LLC	30.000	1,951		0	L
64110L-10-6	NETFLIX ORD		04/11/2019	VARIOUS	38.000	14,052		0	L
65339F-10-1	NEXTERA ENERGY ORD		08/22/2019	SIDCO/ITG INC.	11.000	2,433		0	L
654106-10-3	NIKE CL B ORD		08/22/2019	VARIOUS	80.000	6,860		0	L
67059N-10-8	NUTANIX CL A ORD		03/04/2019	RBC CAPITAL MARKETS, LLC	210.000	7,214		0	L
70450Y-10-3	PAYPAL HOLDINGS ORD		08/22/2019	VARIOUS	297.000	32,245		0	L
718172-10-9	PHILIP MORRIS INTERNATIONAL ORD		08/22/2019	VARIOUS	110.000	9,398		0	L
723787-10-7	PIONEER NATURAL RESOURCE ORD		08/22/2019	VARIOUS	18.000	2,487		0	L
742718-10-9	PROCTER & GAMBLE ORD		08/22/2019	VARIOUS	185.000	18,240		0	L
78409V-10-4	S&P GLOBAL ORD		08/22/2019	VARIOUS	128.000	28,672		0	L
78486Q-10-1	SVB FINANCIAL GROUP ORD		08/22/2019	VARIOUS	31.000	6,986		0	L
79466L-30-2	SALESFORCE.COM ORD		03/04/2019	RBC CAPITAL MARKETS, LLC	83.000	13,737		0	L
808513-10-5	CHARLES SCHWAB ORD		08/22/2019	VARIOUS	80.000	3,489		0	L
848637-10-4	SPLUNK ORD		06/21/2019	VARIOUS	149.000	18,855		0	L
852234-10-3	SQUARE CL A ORD		08/22/2019	VARIOUS	360.000	26,054		0	L
857477-10-3	STATE STREET ORD		03/04/2019	RBC CAPITAL MARKETS, LLC	30.000	2,197		0	L
87165B-10-3	SYNCHRONY FINANCIAL ORD		08/22/2019	VARIOUS	140.000	4,587		0	L
872590-10-4	T MOBILE US ORD	C	03/04/2019	RBC CAPITAL MARKETS, LLC	30.000	2,171		0	L
874039-10-0	TAIWAN SEMICONDUCTOR MNFTG ADR 5 ORD	C	08/22/2019	VARIOUS	620.000	26,353		0	L
882508-10-4	TEXAS INSTRUMENTS ORD		08/22/2019	VARIOUS	92.000	11,148		0	L
883556-10-2	THERMO FISHER SCIENTIFIC ORD		08/22/2019	VARIOUS	101.000	27,115		0	L
91324P-10-2	UNITEDHEALTH GRP ORD		08/22/2019	VARIOUS	11.000	2,678		0	L
91913Y-10-0	VALERO ENERGY ORD		08/22/2019	VARIOUS	30.000	2,454		0	L
92343V-10-4	VERIZON COMMUNICATIONS ORD		08/22/2019	SIDCO/ITG INC.	10.000	567		0	L
92532F-10-0	VERTEX PHARMACEUTICALS ORD		08/22/2019	VARIOUS	104.000	18,326		0	L
92826C-83-9	VISA CL A ORD		08/22/2019	VARIOUS	51.000	8,290		0	L
929089-10-0	VOYA FINANCIAL ORD		08/22/2019	VARIOUS	70.000	3,566		0	L
958102-10-5	WESTERN DIGITAL ORD		04/29/2019	VARIOUS	470.000	25,236		0	L
98138H-10-1	WORKDAY CL A ORD		08/22/2019	VARIOUS	59.000	12,282		0	L
981558-10-9	WORLDPAY CL A ORD		03/04/2019	RBC CAPITAL MARKETS, LLC	30.000	2,934		0	L
988498-10-1	YUM BRANDS ORD		08/22/2019	VARIOUS	60.000	6,184		0	L
G0177J-10-8	ALLERGAN ORD	C	08/22/2019	VARIOUS	13.000	1,940		0	L
G06242-10-4	ATLASSIAN CL A ORD	D	08/22/2019	VARIOUS	65.000	8,616		0	L
G1151C-10-1	ACCENTURE CL A ORD	C	08/22/2019	VARIOUS	143.000	25,530		0	L
G29183-10-3	EATON ORD	C	08/22/2019	VARIOUS	40.000	3,203		0	L
G47791-10-1	INGERSOLL RAND ORD	C	03/04/2019	RBC CAPITAL MARKETS, LLC	35.000	3,733		0	L
G5876H-10-5	MARVELL TECHNOLOGY GROUP ORD	C	08/22/2019	VARIOUS	700.000	14,405		0	L
G5960L-10-3	MEDTRONIC ORD	C	08/22/2019	VARIOUS	89.000	9,220		0	L
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)						1,055,113	XXX	0	XXX

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Admini-strative Symbol/ Market Indicator (a)
9799997. Total - Common Stocks - Part 3						1,055,113	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						1,055,113	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						1,055,113	XXX	0	XXX
9999999 - Totals						39,159,883	XXX	196,689	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues ....

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value 11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol /Market Indicator (a)
912828-3U-2	UNITED STATES TREASURY		07/29/2019	VARIOUS		9,676,508	9,680,000	9,585,847	9,602,164	0	3,033	0	3,033	0	9,605,241	0	71,266	71,266	134,906	01/31/2023	1
912828-4P-2	UNITED STATES TREASURY		09/26/2019	GOLDMAN SACHS & CO. INC.		1,013,942	1,000,000	1,000,313	1,000,272	0	(84)	0	(84)	0	1,000,188	0	13,754	13,754	22,969	05/15/2021	1
912828-KD-1	UNITED STATES TREASURY		02/15/2019	Maturity @ 100.00		400,000	400,000	415,692	400,743	0	(743)	0	(743)	0	400,000	0	0	0	5,500	02/15/2019	1
912828-M5-6	UNITED STATES TREASURY		09/26/2019	VARIOUS		2,572,529	2,500,000	2,542,588	0	0	(880)	0	(880)	0	2,541,708	0	30,821	30,821	17,242	11/15/2025	1
912828-WE-6	UNITED STATES TREASURY		02/20/2019	CITIBANK, N.A.		303,714	300,000	301,922	301,917	0	(51)	0	(51)	0	301,866	0	1,848	1,848	2,233	11/15/2023	1
912828-WG-1	UNITED STATES TREASURY		09/26/2019	GOLDMAN SACHS & CO. INC.		2,015,071	2,000,000	1,977,819	1,982,739	0	5,404	0	5,404	0	1,988,143	0	26,928	26,928	41,209	04/30/2021	1
912828-WW-6	UNITED STATES TREASURY		07/31/2019	Maturity @ 100.00		250,000	250,000	251,556	250,233	0	(233)	0	(233)	0	250,000	0	0	0	4,063	07/31/2019	1
0599999. Subtotal - Bonds - U.S. Governments						16,231,764	16,130,000	16,075,736	13,538,068	0	6,447	0	6,447	0	16,087,147	0	144,616	144,616	228,122	XXX	XXX
89453P-YL-7	TRAVIS CNTY TEX		03/01/2019	Maturity @ 100.00		300,000	300,000	300,000	300,000	0	0	0	0	0	300,000	0	0	0	1,691	03/01/2019	1FE
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						300,000	300,000	300,000	300,000	0	0	0	0	0	300,000	0	0	0	1,691	XXX	XXX
3132XC-RY-3	FH G67703 - RMBS		09/01/2019	Paydown		79,566	79,566	78,472	78,471	0	803	0	803	0	79,273	0	293	293	1,277	04/01/2047	1
31335B-XF-8	FH G61578 - RMBS		09/01/2019	Paydown		169,878	169,878	175,665	175,642	0	(5,094)	0	(5,094)	0	170,549	0	(671)	(671)	4,081	08/01/2048	1
3136A4-M9-7	FNA 12M3B 2A2 - CMBS		01/01/2019	Paydown		(28,957)	0	0	0	0	(3)	0	(3)	0	(3)	0	(28,953)	(28,953)	(150)	01/25/2019	1
3136AM-M4-8	FNA 15M4B AV2 - CMBS		01/01/2019	Paydown		(2,530)	0	0	0	0	29	0	29	0	29	0	(2,558)	(2,558)	2,530	07/25/2022	1
3137EA-DK-2	FREDDIE MAC		08/01/2019	Maturity @ 100.00		500,000	500,000	494,587	499,220	0	780	0	780	0	500,000	0	0	0	6,250	08/01/2019	1
3137F3-X4-1	FHR 4764K XV - CMO/RMBS		09/01/2019	Paydown		35,642	35,642	35,653	35,652	0	(7)	0	(7)	0	35,645	0	(3)	(3)	533	03/15/2031	1
3140J9-CT-6	FN BM4581 - RMBS		09/01/2019	Paydown		145,588	145,588	148,682	148,656	0	(2,299)	0	(2,299)	0	146,356	0	(768)	(768)	2,835	09/01/2033	1
3199999. Subtotal - Bonds - U.S. Special Revenues						899,188	930,674	933,058	937,641	0	(5,792)	0	(5,792)	0	931,849	0	(32,661)	(32,661)	17,355	XXX	XXX
02079K-AA-5	ALPHABET INC		04/16/2019	MARKETAXESS		669,705	655,000	711,794	683,573	0	(3,483)	0	(3,483)	0	680,090	0	(10,385)	(10,385)	9,827	05/19/2021	1FE
02665W-AH-4	AMERICAN HONDA FINANCE CORP	C	08/15/2019	Maturity @ 100.00		695,000	695,000	716,073	699,350	0	(4,350)	0	(4,350)	0	695,000	0	0	0	15,638	08/15/2019	1FE
05565Q-CR-7	BP CAPITAL MARKETS PLC	C	05/10/2019	Maturity @ 100.00		695,000	695,000	698,545	695,437	0	(437)	0	(437)	0	695,000	0	0	0	7,774	05/10/2019	1FE
05574L-XH-6	BNP PARIBAS SA	C	03/17/2019	Maturity @ 100.00		690,000	690,000	697,084	690,511	0	(511)	0	(511)	0	690,000	0	0	0	8,453	03/17/2019	1FE
06406H-CW-7	BANK OF NEW YORK MELLON CORP		09/11/2019	Maturity @ 100.00		600,000	600,000	601,887	600,277	0	(277)	0	(277)	0	600,000	0	0	0	13,800	09/11/2019	1FE
084664-CG-4	BERKSHIRE HATHAWAY FINANCE CORP		03/15/2019	Maturity @ 100.00		150,000	150,000	151,422	150,099	0	(99)	0	(99)	0	150,000	0	0	0	1,275	03/15/2019	1FE
161571-FK-5	CHAIT 124 A - ABS		08/15/2019	VARIOUS		550,000	550,000	543,705	549,010	0	990	0	990	0	550,000	0	0	0	5,793	08/16/2021	1FE
166751-AJ-6	CHEVRON CORP		03/03/2019	Maturity @ 100.00		670,000	670,000	760,325	673,351	0	(3,351)	0	(3,351)	0	670,000	0	0	0	16,583	03/03/2019	1FE
17305E-FS-9	CCCIT 14A6 A6 - ABS		07/16/2019	VARIOUS		500,000	500,000	506,992	501,017	0	(1,017)	0	(1,017)	0	500,000	0	0	0	10,750	07/15/2021	1FE
20030N-BA-8	COMCAST CORP		09/03/2019	VARIOUS		608,820	600,000	674,750	623,198	0	(13,273)	0	(13,273)	0	609,925	0	(9,925)	(9,925)	39,892	03/01/2020	1FE
24422E-TE-9	JOHN DEERE CAPITAL CORP		01/08/2019	Maturity @ 100.00		650,000	650,000	662,473	650,100	0	(100)	0	(100)	0	650,000	0	0	0	6,338	01/08/2019	1FE
26078J-AB-6	DOWDUPONT INC		04/16/2019	BARCLAYS CAPITAL		261,330	250,000	250,000	250,000	0	0	0	0	0	250,000	0	11,330	11,330	4,088	11/15/2023	2FE
437076-BE-1	HOME DEPOT INC		06/15/2019	Maturity @ 100.00		690,000	690,000	695,353	690,609	0	(609)	0	(609)	0	690,000	0	0	0	6,900	06/15/2019	1FE
460146-CG-6	INTERNATIONAL PAPER CO		04/01/2019	Call @ 100.00		0	0	0	0	0	0	0	0	0	0	0	0	0	8,480	02/15/2022	2FE
46625H-HS-2	JPMORGAN CHASE & CO		08/26/2019	TENDER		664,430	650,000	698,221	669,411	0	(8,080)	0	(8,080)	0	661,331	0	3,099	3,099	31,381	07/22/2020	1FE
571903-AJ-2	MARRIOTT INTERNATIONAL INC		03/01/2019	Maturity @ 100.00		330,000	330,000	340,398	330,000	0	0	0	0	0	330,000	0	0	0	4,950	03/01/2019	2FE
585055-BS-4	MEDTRONIC INC	C	08/01/2019	TENDER		287,537	277,000	275,374	275,504	0	114	0	114	0	275,618	0	11,919	11,919	12,602	03/15/2025	1FE
68389X-AG-0	ORACLE CORP		07/08/2019	Maturity @ 100.00		650,000	650,000	718,314	660,641	0	(10,641)	0	(10,641)	0	650,000	0	0	0	32,500	07/08/2019	1FE
694308-GV-3	PACIFIC GAS AND ELECTRIC CO		04/02/2019	GOLDMAN SACHS & CO. INC.		387,975	420,000	463,982	441,138	0	(2,517)	0	(2,517)	0	438,620	0	(50,645)	(50,645)	0	05/15/2021	6FE
806851-AG-6	SCHLUMBERGER HOLDINGS CORP		08/13/2019	VARIOUS		412,369	400,000	399,020	399,086	0	34	0	34	0	399,120	0	13,249	13,249	9,818	12/21/2025	2FE
806851-AK-7	SCHLUMBERGER HOLDINGS CORP		04/12/2019	TENDER		793	793	780	0	0	0	0	0	0	780	0	12	12	0	05/17/2028	2FE
88161N-AA-7	TESLA 18B A - ABS		09/20/2019	Paydown		104,214	104,214	104,206	104,206	0	5	0	5	0	104,211	0	3	3	1,585	08/20/2021	1FE
904764-AQ-0	UNILEVER CAPITAL CORP	C	03/06/2019	Maturity @ 100.00		600,000	600,000	616,866	601,106	0	(1,106)	0	(1,106)	0	600,000	0	0	0	6,600	03/06/2019	1FE
911312-AM-8	UNITED PARCEL SERVICE INC		03/07/2019	JP MORGAN SECURITIES INC.		605,922	600,000	623,076	611,654	0	(1,021)	0	(1,021)	0	610,633	0	(4,711)	(4,711)	12,135	01/15/2021	1FE
92276M-AX-3	VENTAS REALTY LPVTRYUSUS		08/21/2019	TENDER		226,735	215,000	231,878	220,835	0	(1,219)	0	(1,219)	0	219,616	0	7,119	7,119	8,884	03/01/2022	2FE
92343V-CC-6	VERIZON COMMUNICATIONS INC		02/28/2019	EXCHANGE		606,624	600,000	638,982	618,253	0	(1,298)	0	(1,298)	0	616,955	0	(10,331)	(10,331)	18,745	03/15/2021	2FE
92343V-ET-7	VERIZON COMMUNICATIONS INC		03/01/2019	Adjustment		850	850	847	0	0	0	0	0	0	847	0	3	3	0	12/03/2029	2FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						12,307,303	12,242,856	12,782,345	12,388,365	0	(52,246)	0	(52,246)	0	12,337,746	0	(39,263)	(39,263)	294,789	XXX	XXX
8399997. Total - Bonds - Part 4						29,738,255	29,603,530	30,091,139	27,164,074	0	(51,591)	0	(51,591)	0	29,656,742	0	72,692	72,692	541,956	XXX	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds						29,738,255	29,603,530	30,091,139	27,164,074	0	(51,591)	0	(51,591)	0	29,656,742	0	72,692	72,692	541,956	XXX	XXX
8999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol /Market Indicator (a)
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
002824-10-0	ABBOTT LABORATORIES ORD		09/01/2019	Strategas Securities LLC	90.000	7,629		3,738	6,510	(2,771)	0	0	(2,771)	0	3,738	0	3,890	3,890	136		L
00724F-10-1	ADOBE ORD		03/18/2019	UBS SECURITIES LLC, STAMFORD	27.000	6,903		2,755	6,108	(3,354)	0	0	(3,354)	0	2,755	0	4,148	4,148	0		L
007903-10-7	ADVANCED MICRO DEVICES ORD		08/07/2019	Strategas Securities LLC	310.000	8,957		7,423	0	0	0	0	0	0	7,423	0	1,534	1,534	0		L
016255-10-1	ALIGN TECHNOLOGY ORD		03/08/2019	JEFFERIES & CO INC, NEW YORK	10.000	2,311		1,587	2,094	(508)	0	0	(508)	0	1,587	0	724	724	0		L
023135-10-6	AMAZON COM ORD		03/06/2019	VARIOUS	5.000	8,424		2,228	7,510	(5,282)	0	0	(5,282)	0	2,228	0	6,196	6,196	0		L
03027X-10-0	AMERICAN TOWER REIT		03/04/2019	RBC CAPITAL MARKETS, LLC	22.000	3,931		2,128	3,480	(1,352)	0	0	(1,352)	0	2,128	0	1,803	1,803	18		L
032511-10-7	ANADARKO PETROLEUM ORD		05/09/2019	J P MORGAN CHASE/J P MORGAN IN	580.000	35,038		38,207	24,112	12,752	0	0	12,752	0	38,207	0	(3,169)	(3,169)	96		L
037833-10-0	APPLE ORD		05/24/2019	VARIOUS	74.000	13,121		13,957	11,673	2,284	0	0	2,284	0	13,957	0	(836)	(836)	74		L
040413-10-6	ARISTA NETWORKS ORD		08/15/2019	VARIOUS	37.000	9,263		9,470	0	0	0	0	0	0	9,470	0	(207)	(207)	0		L
09062X-10-3	BIOGEN ORD		03/21/2019	COWEN AND COMPANY LLC	40.000	9,073		13,684	10,833	1,508	0	0	1,508	0	13,684	0	(4,611)	(4,611)	0		L
126408-10-3	CSX ORD		05/01/2019	PIPER JAFFRAY & CO.	30.000	2,283		1,160	1,864	(704)	0	0	(704)	0	1,160	0	1,123	1,123	28		L
17275R-10-2	CISCO SYSTEMS ORD		08/15/2019	VARIOUS	490.000	25,203		22,294	21,232	1,062	0	0	1,062	0	22,294	0	2,909	2,909	211		L
20030N-10-1	COMCAST CL A ORD		03/04/2019	RBC CAPITAL MARKETS, LLC	20.000	791		786	681	105	0	0	105	0	786	0	4	4	4		L
200340-10-7	COMERICA ORD		07/18/2019	VARIOUS	310.000	21,562		29,091	19,233	7,248	0	0	7,248	0	29,091	0	(7,529)	(7,529)	583		L
219350-10-5	CORNING ORD		05/20/2019	VARIOUS	730.000	24,589		23,224	22,053	1,170	0	0	1,170	0	23,224	0	1,365	1,365	146		L
22052L-10-4	CORTEVA ORD		06/13/2019	VARIOUS	113.333	2,917		3,424	0	0	0	0	0	0	3,424	0	(508)	(508)	0		L
22160K-10-5	COSTCO WHOLESALE ORD		08/15/2019	MERRILL LYNCH, PIERCE, FENNER & SMI/BAS	18.000	4,880		2,705	3,667	(962)	0	0	(962)	0	2,705	0	2,175	2,175	22		L
244199-10-5	DEERE ORD		04/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	14		L
254687-10-6	WALT DISNEY ORD		04/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	18		L
260557-10-3	DOW ORD		04/15/2019	TENDER	0.333	19		16	0	0	0	0	0	0	16	0	3	3	0		L
26078J-10-0	DUPONT DE NEMOURS ORD		06/03/2019	EXCHANGE	680.000	28,916		28,916	16,579	(899)	0	0	(899)	0	28,916	0	0	0	165		L
26614N-10-2	DUPONT DE NEMOURS ORD		06/12/2019	TENDER	0.333	25		24	0	0	0	0	0	0	24	0	1	1	0		L
29444U-70-0	EQUINIX REIT ORD		08/01/2019	VARIOUS	16.000	7,732		7,013	5,641	1,372	0	0	1,372	0	7,013	0	719	719	83		L
30161N-10-1	EXELON ORD		04/01/2019	RBC CAPITAL MARKETS, LLC	60.000	2,922		1,851	2,706	(855)	0	0	(855)	0	1,851	0	1,072	1,072	22		L
30303M-10-2	FACEBOOK CL A ORD		08/01/2019	CREDIT SUISSE, NEW YORK (CSUS)	38.000	7,507		3,852	4,981	(1,130)	0	0	(1,130)	0	3,852	0	3,655	3,655	0		L
375558-10-3	GILEAD SCIENCES ORD		06/05/2019	VARIOUS	230.000	14,852		16,446	14,387	2,060	0	0	2,060	0	16,446	0	(1,595)	(1,595)	107		L
437076-10-2	HOME DEPOT ORD		03/04/2019	VARIOUS	50.000	8,771		6,141	8,591	(2,450)	0	0	(2,450)	0	6,141	0	2,630	2,630	0		L
438516-10-6	HONEYWELL INTERNATIONAL ORD		04/12/2019	GOLDMAN SACHS & CO, NY	22.000	3,557		2,146	2,907	(761)	0	0	(761)	0	2,146	0	1,411	1,411	18		L
452308-10-9	ILLINOIS TOOL ORD		04/17/2019	BERNSTEIN (SANFORD C)& CO	23.000	3,597		1,940	2,914	(974)	0	0	(974)	0	1,940	0	1,657	1,657	46		L
452327-10-9	ILLUMINA ORD		08/16/2019	VARIOUS	51.000	14,820		16,385	15,296	1,089	0	0	1,089	0	16,385	0	(1,565)	(1,565)	0		L
458140-10-0	INTEL ORD		05/09/2019	VARIOUS	570.000	28,443		30,716	0	0	0	0	0	0	30,716	0	(2,272)	(2,272)	47		L
45866F-10-4	INTERCONTINENTAL EXCHANGE ORD		03/04/2019	Strategas Securities LLC	305.000	23,220		19,523	20,716	(3,519)	0	0	(3,519)	0	19,523	0	3,697	3,697	0		L
46625H-10-0	JPMORGAN CHASE ORD		04/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	24		L
478160-10-4	JOHNSON & JOHNSON ORD		07/25/2019	VARIOUS	131.000	17,964		17,536	16,906	631	0	0	631	0	17,536	0	428	428	146		L
512807-10-8	LAM RESEARCH ORD		06/25/2019	VARIOUS	124.000	21,892		22,675	15,387	5,221	0	0	5,221	0	22,675	0	(784)	(784)	138		L
56585A-10-2	MARATHON PETROLEUM ORD		05/09/2019	J P MORGAN CHASE/J P MORGAN IN	320.000	16,975		20,836	17,113	1,839	0	0	1,839	0	20,836	0	(3,861)	(3,861)	154		L
58933Y-10-5	MERCK & CO ORD		07/08/2019	VARIOUS	100.000	8,419		5,263	7,641	(2,378)	0	0	(2,378)	0	5,263	0	3,155	3,155	149		L
594918-10-4	MICROSOFT ORD		05/01/2019	KEYBANC CAPITAL MARKETS INC	20.000	2,404		1,123	2,031	(909)	0	0	(909)	0	1,123	0	1,281	1,281	20		L
595112-10-3	MICRON TECHNOLOGY ORD		06/24/2019	J P MORGAN CHASE/J P MORGAN IN	610.000	20,234		24,043	10,788	2,029	0	0	2,029	0	24,043	0	(3,808)	(3,808)	0		L

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Dealers Assurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value 11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)
609207-10-5	MONDELEZ INTERNATIONAL CL A ORD		08/15/2019	MERRILL LYNCH, PIERCE, FENNER & SMI/BAS	90.000	4,800		3,861	3,603	258	0	0	258	0	3,861	0	939	939	70		L
61174X-10-9	MONSTER BEVERAGE ORD		08/08/2019	VARIOUS	408.000	23,641		20,000	18,605	(556)	0	0	(556)	0	20,000	0	3,642	3,642	0		L
64110L-10-6	NETFLIX ORD		08/20/2019	VARIOUS	50.000	15,744		18,135	10,171	3,516	0	0	3,516	0	18,135	0	(2,391)	(2,391)	0		L
65339F-10-1	NEXTERA ENERGY ORD		04/01/2019	RBC CAPITAL MARKETS, LLC	6.000	1,130		952	1,043	(91)	0	0	(91)	0	952	0	179	179	8		L
670346-10-5	NUCOR ORD		04/01/2019	RBC CAPITAL MARKETS, LLC	150.000	9,067		6,819	7,772	(952)	0	0	(952)	0	6,819	0	2,248	2,248	60		L
67059N-10-8	NUTANIX CL A ORD		06/03/2019	Strategas Securities LLC	210.000	5,659		7,214	0	0	0	0	0	0	7,214	0	(1,555)	(1,555)	0		L
717081-10-3	PFIZER ORD		08/16/2019	VARIOUS	490.000	19,216		18,280	21,389	(3,108)	0	0	(3,108)	0	18,280	0	936	936	320		L
718172-10-9	PHILIP MORRIS INTERNATIONAL ORD		04/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	26		L
747525-10-3	QUALCOMM ORD		03/04/2019	RBC CAPITAL MARKETS, LLC	570.000	31,086		32,171	32,439	(268)	0	0	(268)	0	32,171	0	(1,085)	(1,085)	0		L
79466L-30-2	SALESFORCE.COM ORD		08/01/2019	CREDIT SUISSE, NEW YORK (CSUS)	39.000	6,097		3,519	5,342	(1,822)	0	0	(1,822)	0	3,519	0	2,578	2,578	0		L
808513-10-5	CHARLES SCHWAB ORD		04/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	5		L
848637-10-4	SPLUNK ORD		08/16/2019	VARIOUS	121.000	15,722		10,762	7,864	(2,946)	0	0	(2,946)	0	10,762	0	4,960	4,960	0		L
857477-10-3	STATE STREET ORD		06/05/2019	J P MORGAN CHASE/J P MORGAN IN	350.000	19,562		25,203	20,182	2,823	0	0	2,823	0	25,203	0	(5,641)	(5,641)	315		L
87165B-10-3	SYNCHRONY FINANCIAL ORD		05/02/2019	HILLTOP SECURITIES INC	210.000	7,164		5,857	4,927	930	0	0	930	0	5,857	0	1,307	1,307	44		L
872590-10-4	T MOBILE US ORD	C	03/20/2019	VARIOUS	140.000	9,953		9,008	8,896	112	0	0	112	0	9,008	0	945	945	0		L
874039-10-0	TAIWAN SEMICONDUCTOR MNFTG ADR 5 ORD	C	07/08/2019	J P MORGAN CHASE/J P MORGAN IN	150.000	5,901		6,277	0	0	0	0	0	0	6,277	0	(376)	(376)	193		L
882508-10-4	TEXAS INSTRUMENTS ORD		03/25/2019	VARIOUS	136.000	14,485		15,273	12,852	2,421	0	0	2,421	0	15,273	0	(788)	(788)	105		L
91324P-10-2	UNITEDHEALTH GRP ORD		04/17/2019	Barclays Capital, Inc.	64.000	13,757		8,790	15,944	(7,153)	0	0	(7,153)	0	8,790	0	4,967	4,967	58		L
92343V-10-4	VERIZON COMMUNICATIONS ORD		04/11/2019	VARIOUS	300.000	17,310		17,689	16,866	823	0	0	823	0	17,689	0	(379)	(379)	241		L
92826C-83-9	VISA CL A ORD		04/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	5		L
929089-10-0	VOYA FINANCIAL ORD		04/01/2019	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	0		L
958102-10-5	WESTERN DIGITAL ORD		06/13/2019	VARIOUS	470.000	18,042		25,236	0	0	0	0	0	0	25,236	0	(7,194)	(7,194)	0		L
981558-10-9	WORLDPAY CL A ORD		04/11/2019	MERRILL LYNCH, PIERCE, FENNER & SMI/BAS	310.000	35,071		21,437	21,400	(2,897)	0	0	(2,897)	0	21,437	0	13,633	13,633	0		L
988498-10-1	YUM BRANDS ORD		03/28/2019	RBC CAPITAL MARKETS, LLC	60.000	5,935		3,481	5,515	(2,034)	0	0	(2,034)	0	3,481	0	2,453	2,453	25		L
G06242-10-4	ATLASSIAN CL A ORD	D	03/04/2019	RBC CAPITAL MARKETS, LLC	86.000	9,565		5,205	7,652	(2,447)	0	0	(2,447)	0	5,205	0	4,359	4,359	0		L
G47791-10-1	INGERSOLL RAND ORD	C	08/07/2019	MORGAN STANLEY & CO INC, NY	40.000	4,739		2,712	3,649	(937)	0	0	(937)	0	2,712	0	2,027	2,027	42		L
G5960L-10-3	MEDTRONIC ORD	C	05/01/2019	VARIOUS	150.000	13,849		12,958	13,644	(686)	0	0	(686)	0	12,958	0	891	891	100		L
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)						726,638	XXX	685,146	545,387	(3,452)	0	0	(3,452)	0	685,146	0	41,492	41,492	4,088	XXX	XXX
9799997. Total - Common Stocks - Part 4						726,638	XXX	685,146	545,387	(3,452)	0	0	(3,452)	0	685,146	0	41,492	41,492	4,088	XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						726,638	XXX	685,146	545,387	(3,452)	0	0	(3,452)	0	685,146	0	41,492	41,492	4,088	XXX	XXX
9899999. Total - Preferred and Common Stocks						726,638	XXX	685,146	545,387	(3,452)	0	0	(3,452)	0	685,146	0	41,492	41,492	4,088	XXX	XXX
9999999 - Totals						30,464,893	XXX	30,776,285	27,709,461	(3,452)	(51,591)	0	(55,043)	0	30,341,889	0	114,184	114,184	546,044	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1		2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
				Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	6	7	8	
Depository		Code	Rate of Interest			First Month	Second Month	Third Month	*
Bank of Oklahoma	Tulsa, Oklahoma	SD	0.080	19	0	92,222	92,228	92,234	XXX
Fifth Third Bank	Cincinnati, Ohio				0	4,640,417	3,269,013	3,437,794	XXX
Fifth Third Bank	Cincinnati, Ohio				0	100,000	100,000	100,000	XXX
Fifth Third Bank	Cincinnati, Ohio	SD			0	2,349,396	2,355,315	2,657,767	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories		XXX	XXX	0	0	0	0	0	XXX
0199999. Totals - Open Depositories		XXX	XXX	19	0	7,182,035	5,816,556	6,287,795	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories		XXX	XXX						XXX
0299999. Totals - Suspended Depositories		XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit		XXX	XXX	19	0	7,182,035	5,816,556	6,287,795	XXX
0499999. Cash in Company's Office		XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash		XXX	XXX	19	0	7,182,035	5,816,556	6,287,795	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
0599999. Total - U.S. Government Bonds						0	0	0
1099999. Total - All Other Government Bonds						0	0	0
1799999. Total - U.S. States, Territories and Possessions Bonds						0	0	0
2499999. Total - U.S. Political Subdivisions Bonds						0	0	0
3199999. Total - U.S. Special Revenues Bonds						0	0	0
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						0	0	0
4899999. Total - Hybrid Securities						0	0	0
5599999. Total - Parent, Subsidiaries and Affiliates Bonds						0	0	0
6099999. Subtotal - SVO Identified Funds						0	0	0
6599999. Subtotal - Bank Loans						0	0	0
7799999. Total - Issuer Obligations						0	0	0
7899999. Total - Residential Mortgage-Backed Securities						0	0	0
7999999. Total - Commercial Mortgage-Backed Securities						0	0	0
8099999. Total - Other Loan-Backed and Structured Securities						0	0	0
8199999. Total - SVO Identified Funds						0	0	0
8299999. Total - Bank Loans						0	0	0
8399999. Total Bonds						0	0	0
31846V-41-9	FIRST AMER:TRS OBG V	SD	09/04/2019	1.670		50,000	76	925
94975H-29-6	WELLS FRGO TREASURY PLUS CL I MMF	SD	09/04/2019	1.730		25,089	40	412
8599999. Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO						75,089	116	1,337
60934N-80-7	FEDERATED GOVT OBL SVC		09/30/2019	1.560		1,312,565	1,132	0
60934N-80-7	FEDERATED GOVT OBL SVC	SD	09/03/2019	1.560		0	13	0
990220-47-7	RBS CITIZENS NA CASH SWEEP	SD	09/02/2019	0.000		4	0	4
991061-05-2	CRA (BNY MELLON, N.A., MEMBER FDIC)		09/30/2019	0.000		1,454,079	0	1,721
8699999. Subtotal - All Other Money Market Mutual Funds						2,766,647	1,145	1,725
8899999 - Total Cash Equivalents						2,841,736	1,261	3,062