



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2019
OF THE CONDITION AND AFFAIRS OF THE

Utica National Insurance Company of Ohio

NAIC Group Code 0201 0201 NAIC Company Code 13998 Employer's ID Number 27-2764004
(Current) (Prior)

Organized under the Laws of _____, State of Domicile or Port of Entry _____ OH

Country of Domicile _____ United States of America

Incorporated/Organized 04/06/2010 Commenced Business 12/22/2010

Statutory Home Office 2 Easton Oval, Suite 225, Columbus, OH, US 43219
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 180 Genesee Street
(Street and Number) New Hartford, NY, US 13413, 800-598-8422
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address Post Office Box 530, Utica, NY, US 135030530
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 180 Genesee Street
(Street and Number) New Hartford, NY, US 13413, 800-598-8422
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.uticanational.com

Statutory Statement Contact Sandra Jean Giehl, 315-734-2192
(Name) sandy.giehl@uticanational.com, 315-734-2994
(E-mail Address) (FAX Number)

OFFICERS

Chairman & CEO Richard Patrick Creedon CFO & Treasurer Brian Wade Miller Jr.
President# & COO Kristen Holly Martin Secretary Louisa Suzanne Ruffine

OTHER

DIRECTORS OR TRUSTEES

<u>John Martin Anderson</u>	<u>Jolene Marie Casatelli</u>	<u>Paul Lewis Cohen</u>
<u>Richard Patrick Creedon</u>	<u>Steven Paul Guzski</u>	<u>Kristen Holly Martin</u>
<u>Louisa Suzanne Ruffine</u>		

State of New York SS: _____
County of Oneida

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Kristen Holly Martin
President & COO

Brian Wade Miller, Jr.
CFO & Treasurer

Louisa Suzanne Ruffine
Secretary

Subscribed and sworn to before me this
day of _____

- a. Is this an original filing?
b. If no,
1. State the amendment number.....
2. Date filed
3. Number of pages attached.....

Yes [] No []

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	16,228,755		16,228,755	18,806,328
2. Stocks:				
2.1 Preferred stocks				
2.2 Common stocks				
3. Mortgage loans on real estate:				
3.1 First liens				
3.2 Other than first liens				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)				
4.2 Properties held for the production of income (less \$ encumbrances)				
4.3 Properties held for sale (less \$ encumbrances)				
5. Cash (\$ 109,439), cash equivalents (\$) and short-term investments (\$)	109,439		109,439	187,652
6. Contract loans (including \$ premium notes)				
7. Derivatives				
8. Other invested assets				
9. Receivables for securities	3,128,658		3,128,658	
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets				
12. Subtotals, cash and invested assets (Lines 1 to 11)	19,466,853		19,466,853	18,993,980
13. Title plants less \$ charged off (for Title insurers only)				
14. Investment income due and accrued	113,279		113,279	120,763
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection				
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)				
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers				
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts				
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon				14,323
18.2 Net deferred tax asset				
19. Guaranty funds receivable or on deposit				
20. Electronic data processing equipment and software				
21. Furniture and equipment, including health care delivery assets (\$)				
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates	2,252,449		2,252,449	2,988,216
24. Health care (\$) and other amounts receivable				
25. Aggregate write-ins for other than invested assets	3,026		3,026	
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	21,835,607		21,835,607	22,117,282
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. Total (Lines 26 and 27)	21,835,607		21,835,607	22,117,282
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)				
2501. Equities & Deposits in Pools and Associations	3,026		3,026	
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	3,026		3,026	

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO
LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$)		
2. Reinsurance payable on paid losses and loss adjustment expenses		
3. Loss adjustment expenses		
4. Commissions payable, contingent commissions and other similar charges	1,173,847	3,842,213
5. Other expenses (excluding taxes, licenses and fees)	4,058	3,508
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	503,688	97,700
7.1 Current federal and foreign income taxes (including \$ 23,858 on realized capital gains (losses))	882,713	465,569
7.2 Net deferred tax liability	4,674	3,649
8. Borrowed money \$ and interest thereon \$		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ 52,486,349 and including warranty reserves of \$ and accrued accident and health experience rating refunds including \$ for medical loss ratio rebate per the Public Health Service Act)		
10. Advance premium		
11. Dividends declared and unpaid:		
11.1 Stockholders		
11.2 Policyholders		
12. Ceded reinsurance premiums payable (net of ceding commissions)		
13. Funds held by company under reinsurance treaties		
14. Amounts withheld or retained by company for account of others	688,358	769,921
15. Remittances and items not allocated		
16. Provision for reinsurance (including \$ certified)		
17. Net adjustments in assets and liabilities due to foreign exchange rates		
18. Drafts outstanding		
19. Payable to parent, subsidiaries and affiliates		
20. Derivatives		
21. Payable for securities	.99	
22. Payable for securities lending		
23. Liability for amounts held under uninsured plans		
24. Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities		
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	3,257,339	5,182,659
27. Protected cell liabilities		
28. Total liabilities (Lines 26 and 27)	3,257,339	5,182,659
29. Aggregate write-ins for special surplus funds		
30. Common capital stock	4,000,000	4,000,000
31. Preferred capital stock		
32. Aggregate write-ins for other than special surplus funds		
33. Surplus notes		
34. Gross paid in and contributed surplus	6,229,204	6,229,204
35. Unassigned funds (surplus)	8,349,064	6,705,419
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 30 \$)		
36.2 shares preferred (value included in Line 31 \$)		
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	18,578,268	16,934,623
38. Totals (Page 2, Line 28, Col. 3)	21,835,607	22,117,282
DETAILS OF WRITE-INS		
2501.		
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page		
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)		
2901.		
2902.		
2903.		
2998. Summary of remaining write-ins for Line 29 from overflow page		
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)		
3201.		
3202.		
3203.		
3298. Summary of remaining write-ins for Line 32 from overflow page		
3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)		

STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct (written \$ 76,250,574)	65,003,109	62,333,228	84,052,740
1.2 Assumed (written \$ 56,556)	42,492		
1.3 Ceded (written \$ 76,307,129)	65,045,600	62,333,228	84,052,740
1.4 Net (written \$)			
DEDUCTIONS:			
2. Losses incurred (current accident year \$):			
2.1 Direct	21,641,949	16,798,882	25,228,450
2.2 Assumed	40,941	200	200
2.3 Ceded	21,682,891	16,799,082	25,228,650
2.4 Net			
3. Loss adjustment expenses incurred			
4. Other underwriting expenses incurred	(1,542,987)	(1,448,623)	(1,721,398)
5. Aggregate write-ins for underwriting deductions			
6. Total underwriting deductions (Lines 2 through 5)	(1,542,987)	(1,448,623)	(1,721,398)
7. Net income of protected cells			
8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	1,542,987	1,448,623	1,721,398
INVESTMENT INCOME			
9. Net investment income earned	420,863	388,051	525,251
10. Net realized capital gains (losses) less capital gains tax of \$ 23,858	88,429	(36,224)	(56,169)
11. Net investment gain (loss) (Lines 9 + 10)	509,292	351,826	469,082
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$)			
13. Finance and service charges not included in premiums			
14. Aggregate write-ins for miscellaneous income			
15. Total other income (Lines 12 through 14)			
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	2,052,279	1,800,449	2,190,480
17. Dividends to policyholders			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	2,052,279	1,800,449	2,190,480
19. Federal and foreign income taxes incurred	407,609	381,350	465,569
20. Net income (Line 18 minus Line 19)(to Line 22)	1,644,670	1,419,099	1,724,911
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year	16,934,623	15,209,598	15,209,598
22. Net income (from Line 20)	1,644,670	1,419,099	1,724,911
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains (losses) less capital gains tax of \$			
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	(1,025)	493	114
27. Change in nonadmitted assets			
28. Change in provision for reinsurance			
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in			
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in			
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			
36. Change in treasury stock			
37. Aggregate write-ins for gains and losses in surplus			
38. Change in surplus as regards policyholders (Lines 22 through 37)	1,643,645	1,419,592	1,725,025
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	18,578,268	16,629,190	16,934,623
DETAILS OF WRITE-INS			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page			
0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)			
1401.			
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page			
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)			
3701.			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page			
3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)			

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO
CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance			
2. Net investment income	464,967	419,400	564,558
3. Miscellaneous income			
4. Total (Lines 1 to 3)	464,967	419,400	564,558
5. Benefit and loss related payments			
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	719,390	801,161	(1,991,798)
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$ 23,858 tax on capital gains (losses)			735,010
10. Total (Lines 5 through 9)	719,390	801,161	(1,256,788)
11. Net cash from operations (Line 4 minus Line 10)	(254,424)	(381,761)	1,821,346
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	7,922,341	4,613,459	5,551,841
12.2 Stocks			
12.3 Mortgage loans			
12.4 Real estate			
12.5 Other invested assets			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			
12.7 Miscellaneous proceeds		24	99
12.8 Total investment proceeds (Lines 12.1 to 12.7)	7,922,341	4,613,483	5,551,940
13. Cost of investments acquired (long-term only):			
13.1 Bonds	5,268,552	4,582,411	6,984,028
13.2 Stocks			
13.3 Mortgage loans			
13.4 Real estate			
13.5 Other invested assets			
13.6 Miscellaneous applications	3,128,757		
13.7 Total investments acquired (Lines 13.1 to 13.6)	8,397,309	4,582,411	6,984,028
14. Net increase (or decrease) in contract loans and premium notes			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(474,968)	31,072	(1,432,088)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities			
16.5 Dividends to stockholders			
16.6 Other cash provided (applied)	651,179	253,823	(554,216)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	651,179	253,823	(554,216)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(78,213)	(96,866)	(164,958)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	187,652	352,611	352,611
19.2 End of period (Line 18 plus Line 19.1)	109,439	255,745	187,652

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO
NOTES TO FINANCIAL STATEMENTS

Note 1 – Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of Utica National Insurance Company of Ohio are presented on the basis of accounting practices prescribed or permitted by the Ohio Insurance Department.

The Ohio Insurance Department recognizes only statutory accounting practices prescribed or permitted by the state of Ohio for determining and reporting the financial condition and results of operations of an insurance company and for determining its solvency under the Ohio Insurance Laws. The National Association of Insurance Commissioners' (NAIC) *Accounting Practices and Procedures* manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the state of Ohio. The state of Ohio has adopted some practices that differ from NAIC SAP; however, none of those changes would impact the financial results of Utica National Insurance Company of Ohio.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the state of Ohio is not required since there are no differences.

<u>Net Income</u>	<u>SSAP#</u>	<u>F/S Page</u>	<u>F/S Line #</u>	<u>2019</u>	<u>2018</u>
(1) Net Income, state basis (Page 4, Line 20, Columns 1 & 3)	XXX	XXX	XXX	\$ 1,644,670	\$ 1,724,911
(2) State Prescribed Practices that increase/(decrease) NAIC SAP				0	0
(3) State Permitted Practices that increase/(decrease) NAIC SAP				0	0
(4) Net Income, NAIC SAP (1 - 2 - 3 = 4)	XXX	XXX	XXX	<u>\$ 1,644,670</u>	<u>\$ 1,724,911</u>
<u>Surplus</u>					
(5) Statutory surplus, state basis (Page 3, Line 37, Columns 1 & 2)	XXX	XXX	XXX	\$ 18,578,268	\$ 16,934,623
(6) State Prescribed Practices that increase/(decrease) NAIC SAP				0	0
(7) State Permitted Practices that increase/(decrease) NAIC SAP				0	0
(8) Statutory Surplus, NAIC SAP (5 - 6 - 7 = 8)	XXX	XXX	XXX	<u>\$ 18,578,268</u>	<u>\$ 16,934,623</u>

B. No change.

C. Accounting Policies

1. No change.
2. Bonds not backed by loans are carried at amortized cost using the scientific yield to worst method. Bonds that are defined by the NAIC as non-investment grade (rated 3 through 6) are carried at the lower of amortized cost or fair market value.
3. – 5. No change.
6. Loan-backed securities are stated at either amortized cost, or the lower of amortized cost or fair market value. The prospective adjustment method is used to value all loan-backed securities.
7. - 13. No change.

D. Management's evaluation of the financial condition of the Company did not indicate any going concern issues.

Note 2 – Accounting Changes and Corrections of Errors

No change.

Note 3 – Business Combinations and Goodwill

No change.

Note 4 – Discontinued Operations

No change.

Note 5 - Investments

- A. No change.
- B. No change.
- C. No change.
- D. Loan-Backed Securities

- (1) Our asset manager uses a proprietary model for loss assumptions and widely accepted models for prepayment assumptions in valuing mortgage-backed and asset-backed securities with inputs from major third party data providers. The models combine the effects of interest rates, volatility, and pre-payment speeds based on various scenarios (Monte Carlo), simulations with resulting effective analytics (spreads, duration, convexity) and cash flows on a monthly basis. Credit sensitive cash flows are calculated using a proprietary model which estimates future loan defaults in terms of timing and severity. Model assumptions are specific to asset class and collateral types and are regularly evaluated and adjusted where appropriate.
- (2) Not applicable.
- (3) Not applicable.

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO
NOTES TO FINANCIAL STATEMENTS

(4) **Unrealized Losses**

a. The aggregate amount of unrealized losses:

Less than 12 months	Greater than 12 months	Total
440	8,272	8,712

b. The aggregate related fair value of securities with unrealized losses:

Less than 12 months	Greater than 12 months	Total
368,945	926,510	1,295,455

(5) There are a number of factors considered in determining if an other-than-temporary impairment does not exist for an investment, including but not limited to, debt burden, credit rating, sector, liquidity, financial flexibility, company management, expected earnings and cash flow stream, and economic prospects associated with the investment.

E. The Company does not have any dollar repurchase agreements or securities lending transactions in its portfolio.

(3) b. Not applicable

F. The Company does not have any repurchase agreements transactions accounted for as secured borrowing.

G. The Company does not have any reverse repurchase transactions accounted for as secured borrowing.

H. The Company does not have any repurchase agreements transactions accounted for as a sale.

I. The Company does not have any reverse repurchase agreements transactions accounted for as a sale.

J. The Company does not have any real estate in its portfolio.

K. The Company does not have any low-income housing tax credits (LIHTC) in its portfolio.

L. No change.

M. The Company does not have any working capital finance investments.

(2) Aggregate Maturity Distribution on the Underlying Working Capital Finance Program - not applicable

(3) There are no events of default of working capital finance investments during the reporting period – not applicable.

N. Offsetting and Netting of Assets and Liabilities - Not applicable.

O. Structured Securities – Not applicable.

P. 5* Securities – Not applicable.

Q. Short Sales - Not applicable.

R. Prepayment Penalty and Acceleration Fees

Note 6 – Joint Ventures, Partnerships, and Limited Liability Companies

No change.

Note 7 – Investment Income

No change.

Note 8 – Derivative Instruments

No change.

Note 9 – Income Taxes

No change.

Note 10 – Information Concerning Parent, Subsidiaries, and Affiliates

No change.

Note 11 - Debt

No change.

Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences, and Other Postretirement Benefit Plans

No change.

Note 13 – Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

No change.

Note 14 – Liabilities, Contingencies and Assessments

No change.

Note 15 – Leases

No change.

Note 16 – Information About Financial Instruments With Off-Balance-Sheet Risk and Financial Instruments With Concentrations of Credit Risk

No change.

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO
NOTES TO FINANCIAL STATEMENTS

Note 17 – Sale, Transfer, and Servicing of Financial Assets and Extinguishments of Liabilities

- A. No change.
- B. No change.
- C. Not applicable.

Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No change.

Note 19 – Direct Premium Written / Produced by Managing General Agents / Third Party Administrators

No change.

Note 20 – Fair Value Measurement

A. Assets Measured at Fair Value on a Recurring Basis

- (1) (a) Fair Value Measurements at Reporting Date – Not applicable
- (b) Liabilities at Fair Value – Not applicable
- (2) Not applicable.
- (3) Not applicable.

(4) The following are the levels of the hierarchy and a brief description of the type of valuation inputs that are used to establish each level:

Pricing Level 1 – Valuations based on unadjusted quoted prices in active markets for identical assets that our pricing sources have the ability to access. Since the valuations are based on quoted prices that are readily and regularly available in an active market, valuation of these securities does not entail a significant amount or degree of judgment.

Pricing Level 2 – Valuations based upon quoted prices for similar assets in active markets, quoted prices for identical or similar assets in inactive markets; or valuations based on models where significant inputs are observable (e.g. interest rates, yield curves, prepayment speeds, default rates, loss severities) or can be corroborated by observable market data.

Pricing Level 3 – Valuations that are derived from techniques in which one or more of the significant inputs are unobservable, including broker quotes which are non-binding.

- (5) Not applicable.

B. Not applicable.

C.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	16,833,805	16,228,755	0	16,228,755	0	0	0

D. Not applicable.

Note 21 – Other Items

No change.

Note 22 – Events Subsequent

No change.

Property & Casualty Specific Notes

Note 23 – Reinsurance

No change.

Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination

No change.

Note 25 – Change in Incurred Losses and Loss Adjustment Expenses

No change.

Note 26 – Intercompany Pooling Arrangements

No change.

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO
NOTES TO FINANCIAL STATEMENTS

Note 27 – Structured Settlements

No change.

Note 28 – Health Care Receivables

No change.

Note 29 –Participating Policies

No change.

Note 30 –Premium Deficiency Reserves

No change.

Note 31 – High Deductibles

No change.

Note 32 – Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses

No change.

Note 33 – Asbestos / Environmental Reserves

No change.

Note 34 – Subscriber Savings Accounts

No change

Note 35 –Multiple Peril Crop Insurance

No change.

Note 36 –Financial Guaranty Insurance

No change.

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO
GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change: _____
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? If yes, complete Schedule Y, Parts 1 and 1A. Yes [X] No []
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [] No [X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. _____
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period. Yes [] No [X]
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [] N/A [X] If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2014
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2014
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 06/30/2016
- 6.4 By what department or departments?
Ohio Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [] No [] N/A [X]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No [X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO
GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [] No []
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 (c) Compliance with applicable governmental laws, rules and regulations;
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes [] No []
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
 The Code of Conduct was amended in April 2019 to require all employees and directors to notify the Company within thirty days after they have been subject to an injunction, judgment or decree involving a violation of antifraud, antitrust or insurance laws, or if a company they served as an officer, director or owner has been placed in bankruptcy or receivership or had its charter revoked.
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No []
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No []
 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 2,252,449

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No []
 11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$
13. Amount of real estate and mortgages held in short-term investments: \$

- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [] No []
 14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$	\$
14.22 Preferred Stock	\$	\$
14.23 Common Stock	\$	\$
14.24 Short-Term Investments	\$	\$
14.25 Mortgage Loans on Real Estate	\$	\$
14.26 All Other	\$	\$
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$	\$
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No []
 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No []
 If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. \$
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$
- 16.3 Total payable for securities lending reported on the liability page. \$

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO
GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Bank of New York Mellon	One Wall Street, New York, NY

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No []
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Conning Asset Management	U.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets? Yes [] No []

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes [] No []

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
107423	Conning, Inc.	549300Z0G14KK37BDV40	SEC	DS.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [] No []
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- b. Issuer or obligor is current on all contracted interest and principal payments.
- c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? Yes [] No []

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

- a. The security was purchased prior to January 1, 2018.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? Yes [] No []

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO
GENERAL INTERROGATORIES

PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [] No [] N/A [X]
 If yes, attach an explanation.
2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [] No [X]
 If yes, attach an explanation.
- 3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [] No [X]
- 3.2 If yes, give full and complete information thereto.
- 4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves") discounted at a rate of interest greater than zero? Yes [] No [X]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Discount Rate	TOTAL DISCOUNT			DISCOUNT TAKEN DURING PERIOD				
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 TOTAL
			TOTAL							

5. Operating Percentages:
- 5.1 A&H loss percent %
- 5.2 A&H cost containment percent %
- 5.3 A&H expense percent excluding cost containment expenses %
- 6.1 Do you act as a custodian for health savings accounts? Yes [] No [X]
- 6.2 If yes, please provide the amount of custodial funds held as of the reporting date \$
- 6.3 Do you act as an administrator for health savings accounts? Yes [] No [X]
- 6.4 If yes, please provide the balance of the funds administered as of the reporting date \$
7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No []
- 7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [] No []

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama	AL	N					
2. Alaska	AK	N					
3. Arizona	AZ	N					
4. Arkansas	AR	N					
5. California	CA	N					
6. Colorado	CO	N					
7. Connecticut	CT	L	14,851			287	
8. Delaware	DE	N					
9. District of Columbia	DC	N					
10. Florida	FL	N					
11. Georgia	GA	L	3,043,767	1,107,304	370,283	60,149	751,195
12. Hawaii	HI	N					216,100
13. Idaho	ID	N					
14. Illinois	IL	N					
15. Indiana	IN	N					
16. Iowa	IA	N					
17. Kansas	KS	N					
18. Kentucky	KY	N					
19. Louisiana	LA	N					
20. Maine	ME	N					
21. Maryland	MD	N					
22. Massachusetts	MA	N					
23. Michigan	MI	N					
24. Minnesota	MN	N					
25. Mississippi	MS	N					
26. Missouri	MO	N					
27. Montana	MT	N					
28. Nebraska	NE	N					
29. Nevada	NV	N					
30. New Hampshire	NH	L	9,644			166	
31. New Jersey	NJ	N					
32. New Mexico	NM	N					
33. New York	NY	L	70,250,606	67,735,898	13,809,375	14,715,053	72,452,720
34. North Carolina	NC	N					63,746,965
35. North Dakota	ND	N					
36. Ohio	OH	L	84,665	63,108	145	27,900	11,608
37. Oklahoma	OK	N					7,825
38. Oregon	OR	N					
39. Pennsylvania	PA	L	2,844,702	2,627,023	1,131,201	961,654	2,699,399
40. Rhode Island	RI	N					
41. South Carolina	SC	N					
42. South Dakota	SD	N					
43. Tennessee	TN	L	2,338				1
44. Texas	TX	N					
45. Utah	UT	N					
46. Vermont	VT	N					
47. Virginia	VA	N					
48. Washington	WA	N					
49. West Virginia	WV	N					
50. Wisconsin	WI	N					
51. Wyoming	WY	N					
52. American Samoa	AS	N					
53. Guam	GU	N					
54. Puerto Rico	PR	N					
55. U.S. Virgin Islands	VI	N					
56. Northern Mariana Islands	MP	N					
57. Canada	CAN	N					
58. Aggregate Other Alien OT	XXX						
59. Totals	XXX	76,250,574	71,533,334	15,311,004	15,764,756	75,915,376	66,273,832
DETAILS OF WRITE-INS							
58001.	XXX						
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX						
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX						

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....7

E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - see DSLI).....

D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile.....

R - Registered - Non-domiciled RRGs.....

Q - Qualified - Qualified or accredited reinsurer.....

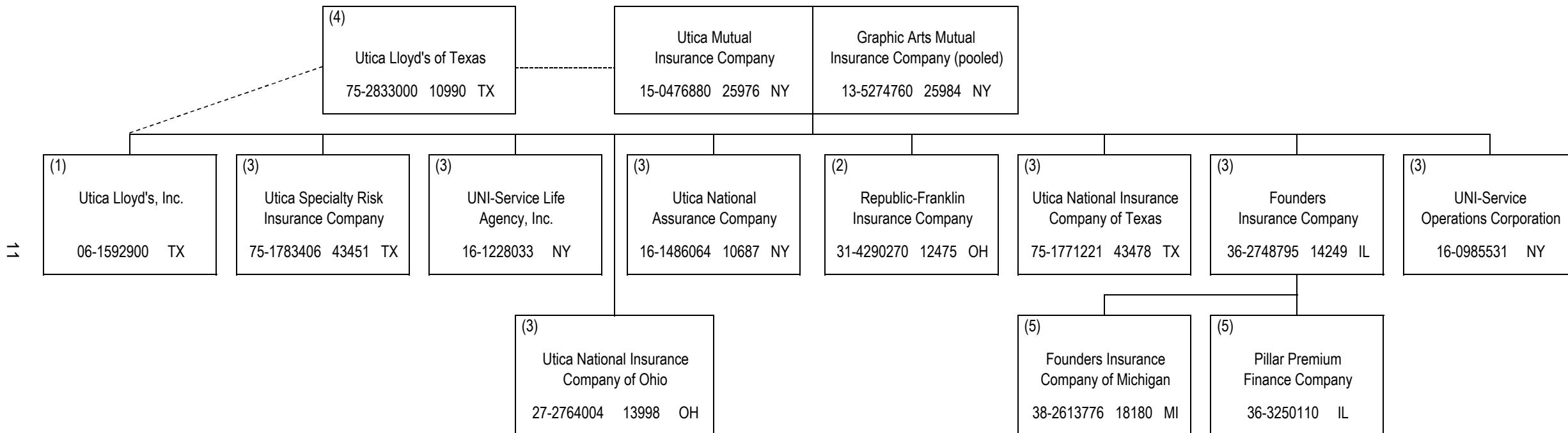
N - None of the above - Not allowed to write business in the state.....50

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

UTICA NATIONAL INSURANCE GROUP ORGANIZATION STRUCTURE SEPTEMBER 30, 2019



1. Owned 100% by Utica Mutual Insurance Company; operates as attorney-in-fact for Utica Lloyd's of Texas.
2. Owned 94% by Utica Mutual Insurance Company and 6% by Graphic Arts Mutual Insurance Company.
3. Owned 100% by Utica Mutual Insurance Company.
4. A Texas Lloyd's association of twelve underwriters under sponsorship of the Utica Mutual Insurance Company.
5. Owned 100% by Founders Insurance Company.
6. Shares common management with the group.

(6)
Utica National Group Foundation, Inc.
16-1313450 NY

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

12

Asterisk	Explanation
1	Owned 6% by Graphic Arts Mutual Insurance Company.
2	A Texas Lloyd's association of twelve underwriters under the sponsorship of the Utica Mutual Insurance Company.
3	Shares common management with the group.

PART 1 - LOSS EXPERIENCE

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire	17,269	14	0.1	0.2
2. Allied Lines	15,878	(1,162)	(7.3)	
3. Farmowners multiple peril				
4. Homeowners multiple peril				
5. Commercial multiple peril	28,881,283	11,226,039	38.9	30.1
6. Mortgage guaranty				
8. Ocean marine				
9. Inland marine	2,443	(44)	(1.8)	1.3
10. Financial guaranty				
11.1 Medical professional liability - occurrence				
11.2 Medical professional liability - claims-made				
12. Earthquake				
13. Group accident and health				
14. Credit accident and health				
15. Other accident and health				
16. Workers' compensation	17,932,008	5,112,553	28.5	45.4
17.1 Other liability - occurrence	6,533,805	1,147,065	17.6	(67.6)
17.2 Other liability - claims-made	60,023	540	0.9	
17.3 Excess workers' compensation				
18.1 Products liability - occurrence	291	307	105.5	1.9
18.2 Products liability - claims-made				
19.1,19.2 Private passenger auto liability				
19.3,19.4 Commercial auto liability	9,010,360	3,377,877	37.5	46.4
21. Auto physical damage	2,549,734	778,760	30.5	45.3
22. Aircraft (all perils)				
23. Fidelity				
24. Surety				
26. Burglary and theft	15	0	0.1	
27. Boiler and machinery				
28. Credit				
29. International				
30. Warranty				
31. Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business				
35. Totals	65,003,109	21,641,949	33.3	27.0
DETAILS OF WRITE-INS				
3401.				
3402.				
3403.				
3498. Summary of remaining write-ins for Line 34 from overflow page				
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)				

PART 2 - DIRECT PREMIUMS WRITTEN

Line of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1. Fire	21,716	22,713	15,112
2. Allied Lines	15,482	16,001	14,366
3. Farmowners multiple peril			
4. Homeowners multiple peril			
5. Commercial multiple peril	29,270,793	35,919,136	34,400,643
6. Mortgage guaranty			
8. Ocean marine			
9. Inland marine	4,062	4,062	3,153
10. Financial guaranty			
11.1 Medical professional liability - occurrence			
11.2 Medical professional liability - claims-made			
12. Earthquake			
13. Group accident and health			
14. Credit accident and health			
15. Other accident and health			
16. Workers' compensation	5,080,109	18,351,714	18,452,596
17.1 Other liability - occurrence	4,043,095	7,524,056	7,245,001
17.2 Other liability - claims-made	104,738	157,756	31,629
17.3 Excess workers' compensation			
18.1 Products liability - occurrence			22
18.2 Products liability - claims-made			
19.1,19.2 Private passenger auto liability			
19.3,19.4 Commercial auto liability	6,872,950	11,085,054	8,895,701
21. Auto physical damage	2,104,018	3,170,082	2,475,111
22. Aircraft (all perils)			
23. Fidelity			
24. Surety			
26. Burglary and theft			
27. Boiler and machinery			
28. Credit			
29. International			
30. Warranty			
31. Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business			
35. Totals	47,516,964	76,250,574	71,533,334
DETAILS OF WRITE-INS			
3401.			
3402.			
3403.			
3498. Summary of remaining write-ins for Line 34 from overflow page			
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)			

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

Years in Which Losses Occurred	1 Prior Year-End Known Case Loss and LAE Reserves	2 Prior Year-End IBNR Loss and LAE Reserves	3 Total Prior Year-End Loss and LAE Reserves (Cols. 1+2)	4 2019 Loss and LAE Payments on Claims Reported as of Prior Year-End	5 2019 Loss and LAE Payments on Claims Unreported as of Prior Year-End	6 Total 2019 Loss and LAE Payments (Cols. 4+5)	7 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	8 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	9 Q.S. Date IBNR Loss and LAE Reserves	10 Total Q.S. Loss and LAE Reserves (Cols. 7+8+9)	11 Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4+7 minus Col. 1)	12 Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2)	13 Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12)
1. 2016 + Prior													
2. 2017													
3. Subtotals 2017 + Prior													
4. 2018													
5. Subtotals 2018 + Prior													
6. 2019	XXX	XXX	XXX	XXX			XXX				XXX	XXX	XXX
7. Totals													
8. Prior Year-End Surplus As Regards Policyholders	16,935										Col. 11, Line 7 As % of Col. 1 Line 7	Col. 12, Line 7 As % of Col. 2 Line 7	Col. 13, Line 7 As % of Col. 3 Line 7
											1.	2.	3.
													Col. 13, Line 7 As a % of Col. 1 Line 8
													4.

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	YES

Explanations:

- 1.
- 2.
- 3.

Bar Codes:

1. Trusteed Surplus Statement [Document Identifier 490]



2. Supplement A to Schedule T [Document Identifier 455]



3. Medicare Part D Coverage Supplement [Document Identifier 365]



STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO
OVERFLOW PAGE FOR WRITE-INS

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

NONE**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest paid and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

NONE**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

NONE**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	18,806,329	17,489,806
2. Cost of bonds and stocks acquired	5,268,552	6,984,028
3. Accrual of discount	9,420	9,563
4. Unrealized valuation increase (decrease)	112,287	(70,492)
5. Total gain (loss) on disposals	7,927,201	5,552,025
6. Deduct consideration for bonds and stocks disposed of	45,489	54,735
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized	4,860	184
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	16,228,757	18,806,329
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	16,228,757	18,806,329

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	15,738,427	575,013	2,467,055	36,745	18,958,654	15,738,427	13,883,130	15,553,512
2. NAIC 2 (a)	2,952,029		556,500	(49,904)	3,002,627	2,952,029	2,345,625	3,252,816
3. NAIC 3 (a)								
4. NAIC 4 (a)								
5. NAIC 5 (a)								
6. NAIC 6 (a)								
7. Total Bonds	18,690,456	575,013	3,023,555	(13,160)	21,961,282	18,690,456	16,228,755	18,806,328
PREFERRED STOCK								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock								
15. Total Bonds and Preferred Stock	18,690,456	575,013	3,023,555	(13,160)	21,961,282	18,690,456	16,228,755	18,806,328

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$; NAIC 2 \$; NAIC 3 \$ NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

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SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Premium	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals		xx			

NONE**SCHEDULE DA - VERIFICATION**

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of short-term investments acquired		
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals		
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)		
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)		

SCHEDULE DB - PART A - VERIFICATION

Options, Caps, Floors, Collars, Swaps and Forwards

1. Book/Adjusted Carrying Value, December 31, prior year (Line 9, prior year)
2. Cost Paid/(Consideration Received) on additions
3. Unrealized Valuation increase/(decrease)
4. Total gain (loss) on termination recognized
5. Considerations received/(paid) on terminations
6. Amortization
7. Adjustment to the Book/Adjusted Carrying Value of hedged item
8. Total foreign exchange change in Book/Adjusted Carrying Value
9. Book/Adjusted Carrying Value at End of Current Period (Lines 1+2+3+4-5+6+7+8)
10. Deduct nonadmitted assets
11. Statement value at end of current period (Line 9 minus Line 10)

NONE**SCHEDULE DB - PART B - VERIFICATION**

Futures Contracts

1. Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year)
2. Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column)
- 3.1 Add:
 - Change in variation margin on open contracts - Highly Effective Hedges
 - 3.11 Section 1, Column 15, current year to date minus
 - 3.12 Section 1, Column 15, prior year
 - Change in variation margin on open contracts - All Other
 - 3.13 Section 1, Column 18, current year to date minus
 - 3.14 Section 1, Column 18, prior year
- 3.2 Add:
 - Change in adjustment to basis of hedged item
 - 3.21 Section 1, Column 17, current year to date minus
 - 3.22 Section 1, Column 17, prior year
 - Change in amount recognized
 - 3.23 Section 1, Column 19, current year to date minus
 - 3.24 Section 1, Column 19, prior year
- 3.3 Subtotal (Line 3.1 minus Line 3.2)
- 4.1 Cumulative variation margin on terminated contracts during the year
- 4.2 Less:
 - 4.21 Amount used to adjust basis of hedged item
 - 4.22 Amount recognized
- 4.3 Subtotal (Line 4.1 minus Line 4.2)
5. Dispositions gains (losses) on contracts terminated in prior year:
 - 5.1 Total gain (loss) recognized for terminations in prior year
 - 5.2 Total gain (loss) adjusted into the hedged item(s) for terminations in prior year
6. Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2)
7. Deduct total nonadmitted amounts
8. Statement value at end of current period (Line 6 minus Line 7)

NONE

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SCHEDULE DB - PART C - SECTION 1

Replication (Synthetic Asset) Transactions Open as of Current Statement Date

NONE

9999999999 - Totals

	XXX	XXX	XX
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1000

11. *What is the name of the author?*

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SCHEDULE DB - PART C - SECTION 2

Replication (Synthetic Asset) Transactions Open

	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Year To Date	
	1 Number of Positions	2 Total Replication (Synthetic Asset) Transactions Statement Value	3 Number of Positions	4 Total Replication (Synthetic Asset) Transactions Statement Value	5 Number of Positions	6 Total Replication (Synthetic Asset) Transactions Statement Value	7 Number of Positions	8 Total Replication (Synthetic Asset) Transactions Statement Value	9 Number of Positions	10 Total Replication (Synthetic Asset) Transactions Statement Value
1. Beginning Inventory										
2. Add: Opened or Acquired Transactions.....										
3. Add: Increases in Replication (Synthetic Asset) Transactions Statement Value.....	XXX									
4. Less: Closed or Disposed of Transactions.....										
5. Less: Positions Disposed of for Failing Effectiveness Criteria.....										
6. Less: Decreases in Replication (Synthetic Asset) Transactions Statement Value	XXX									
7. Ending Inventory										

NONE

SCHEDULE DB - VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

Book/Adjusted Carrying Value Check

1. Part A, Section 1, Column 14
2. Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance
3. Total (Line 1 plus Line 2)
4. Part D, Section 1, Column 5
5. Part D, Section 1, Column 6
6. Total (Line 3 minus Line 4 minus Line 5)

NONE

Fair Value Check

7. Part A, Section 1, Column 16
8. Part B, Section 1, Column 13
9. Total (Line 7 plus Line 8)
10. Part D, Section 1, Column 8
11. Part D, Section 1, Column 9
12. Total (Line 9 minus Line 10 minus Line 11)

Potential Exposure Check

13. Part A, Section 1, Column 21
14. Part B, Section 1, Column 20
15. Part D, Section 1, Column 11
16. Total (Line 13 plus Line 14 minus Line 15)

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	
2. Cost of cash equivalents acquired	1,276	1,277
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	1,275	1,277
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	0	
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)		0

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SCHEDULE A - PART 2

Showing All Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Description of Property	Location		4 Date Acquired	5 Name of Vendor	6 Actual Cost at Time of Acquisition	7 Amount of Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances	9 Additional Investment Made After Acquisition
	2 City	3 State						
0399999 - Totals								
NONE								

SCHEDULE A - PART 3

Showing All Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract"

1 Description of Property	Location		4 Disposal Date	5 Name of Purchaser	6 Actual Cost	7 Expended for Additions, Permanent Improvements and Changes in Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances Prior Year	Change in Book/Adjusted Carrying Value Less Encumbrances					14 Book/Adjusted Carrying Value Less Encumbrances on Disposal	15 Amounts Received During Year	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	19 Gross Income Earned Less Interest Incurred on Encumbrances	20 Taxes, Repairs and Expenses Incurred
	2 City	3 State						9 Current Year's Depreciation	10 Other Than Temporary Impairment Recognized	11 Current Year's Change in Encumbrances	12 Total Change in Book/Adjusted Carrying Value (11-9-10)	13 Total Foreign Exchange Change in Book/Adjusted Carrying Value							
0399999 - Totals																			
NONE																			

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SCHEDULE B - PART 2

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

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SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7	8	9	10 NAIC Designation and Admini- stra- tive Symbol/ Market Indicator (a)
31418D-BF-8	FN MA3637 - RMBS		.08/21/2019	CITIGROUP GLOBAL MARKETS INC.	153,026		149,032		.362
3199999. Subtotal - Bonds - U.S. Special Revenues					153,026		149,032		.362
05971K-AD-1	BANCO SANTANDER SA	C.	.07/18/2019	BNP Paribas	199,612		200,000		.376
58933Y-AW-5	MERCK & CO INC		.09/23/2019	CANTOR FITZGERALD + CO.	119,277		100,000		200
767201-AD-8	RIO TINTO FINANCE (USA) LTD	C.	.08/20/2019	MORGAN STANLEY CO	103,099		75,000		.549
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					421,988		375,000		1,125
8399997. Total - Bonds - Part 3					575,013		524,032		1,487
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds					575,013		524,032		1,487
8999997. Total - Preferred Stocks - Part 3						XXX			XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						XXX			XXX
9799997. Total - Common Stocks - Part 3						XXX			XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						XXX			XXX
9899999. Total - Preferred and Common Stocks						XXX			XXX
9999999 - Totals							575,013	XXX	1,487

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation and Admini- strative Symbol /Market Indicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value							
.36180M-2R-7	G2 AD8884 - RMBS		08/01/2019	VARIOUS		107,745	104,225	104,754	104,753	(36)	(36)	(36)	(36)	(36)	104,717		3,028	3,028	2,409	03/20/2043	1
.36202F-M7-8	G2 004882 - RMBS		09/01/2019	Paydown		2,764	2,764	2,828	2,826	(62)	(62)	(62)	(62)	(62)	2,764		0	0	0	12/20/2040	1
.36202F-PF-7	G2 004922 - RMBS		09/01/2019	Paydown		2,768	2,768	2,839	2,838	(70)	(70)	(70)	(70)	(70)	2,768		0	0	0	01/20/2041	1
.36202F-TL-0	G2 005055 - RMBS		09/01/2019	Paydown		3,704	3,704	3,924	3,927	(223)	(223)	(223)	(223)	(223)	3,704		0	0	0	11/20/2041	1
.36202F-UE-4	G2 005081 - RMBS		09/01/2019	Paydown		2,361	2,361	2,428	2,429	(68)	(68)	(68)	(68)	(68)	2,361		0	0	0	06/20/2041	1
.36202F-UF-1	G2 005082 - RMBS		09/01/2019	Paydown		2,330	2,330	2,461	2,464	(133)	(133)	(133)	(133)	(133)	2,330		0	0	0	06/20/2041	1
.36202F-V5-9	G2 005232 - RMBS		09/01/2019	Paydown		8,773	8,773	9,087	9,072	(298)	(298)	(298)	(298)	(298)	8,773		0	0	0	11/20/2041	1
.36241L-S3-1	GN 783238 - RMBS		09/01/2019	Paydown		2,316	2,316	2,387	2,387	(71)	(71)	(71)	(71)	(71)	2,316		0	0	0	01/15/2041	1
.912828-5P-1	UNITED STATES TREASURY		07/29/2019	JP MORGAN SECURITIES LLC		599,977	575,000	578,504	578,464	(381)	(381)	(381)	(381)	(381)	578,084		21,893	21,893	10,976	11/30/2023	1
.912828-N5-6	UNITED STATES TREASURY		07/29/2019	JP MORGAN SECURITIES LLC		204,055	200,000	193,785	194,670	410	410	410	410	410	195,081		8,974	8,974	3,179	11/15/2025	1
05999999. Subtotal - Bonds - U.S. Governments					936,793	904,242	902,998	903,831		(932)	(932)	(932)	(932)	(932)	902,898		33,895	33,895	17,226	XXX	XXX
.130630-NA-4	CALIFORNIA ST		07/17/2019	PERSHING DIV OF DLJ SEC LNDING		118,721	100,000	121,224	113,077	(1,161)	(1,161)	(1,161)	(1,161)	(1,161)	111,917		6,804	6,804	4,000	10/01/2027	1FE
17999999. Subtotal - Bonds - U.S. States, Territories and Possessions					118,721	100,000	121,224	113,077	(1,161)	(1,161)	(1,161)	(1,161)	(1,161)	111,917		6,804	6,804	4,000	XXX	XXX	
.3128M9-2M-3	FH G07680 - RMBS		09/01/2019	Paydown		1,134	1,134	1,198	1,195	(61)	(61)	(61)	(61)	(61)	1,134		0	0	0	04/01/2044	1
.3128MJ-V2-3	FH G08632 - RMBS		09/01/2019	Paydown		1,792	1,792	1,872	1,872	(80)	(80)	(80)	(80)	(80)	1,792		0	0	0	03/01/2045	1
.3128MJ-XX-3	FH G08693 - RMBS		09/01/2019	Paydown		3,720	3,720	3,835	3,836	(115)	(115)	(115)	(115)	(115)	3,720		0	0	0	03/01/2046	1
.3128MJ-Z9-4	FH G08767 - RMBS		09/01/2019	Paydown		3,738	3,738	3,949	3,945	(207)	(207)	(207)	(207)	(207)	3,738		0	0	0	06/01/2047	1
.3128MJ-ZF-0	FH G08741 - RMBS		09/01/2019	Paydown		2,910	2,910	2,864	2,867	43	43	43	43	43	2,910		0	0	0	01/01/2047	1
.3128MJ-ZH-6	FH G08743 - RMBS		09/01/2019	Paydown		2,894	2,894	3,046	3,043	(150)	(150)	(150)	(150)	(150)	2,894		0	0	0	01/01/2047	1
.3128MJ-ZP-8	FH G08749 - RMBS		09/01/2019	Paydown		3,018	3,018	3,168	3,170	(151)	(151)	(151)	(151)	(151)	3,018		0	0	0	02/01/2047	1
.3128MJ-VZ-3	FH G18631 - RMBS		08/01/2019	VARIOUS		125,640	124,986	125,436	125,352	(36)	(36)	(36)	(36)	(36)	125,316		324	324	2,045	02/01/2032	1
.3128MJ-WS-8	FH G18656 - RMBS		09/01/2019	Paydown		3,343	3,343	3,423	3,421	(77)	(77)	(77)	(77)	(77)	3,343		0	0	0	08/01/2032	1
.31307N-E3-4	FH J31954 - RMBS		09/01/2019	Paydown		1,806	1,806	1,896	1,891	(85)	(85)	(85)	(85)	(85)	1,806		0	0	0	12/01/2028	1
.3132G0-RW-4	FH Q00501 - RMBS		09/01/2019	Paydown		4,123	4,123	4,275	4,246	(123)	(123)	(123)	(123)	(123)	4,123		0	0	0	05/01/2041	1
.3132GK-5A-0	FH Q04741 - RMBS		09/01/2019	Paydown		546	546	583	585	(39)	(39)	(39)	(39)	(39)	546		0	0	0	11/01/2041	1
.3132M9-2R-4	FH Q29184 - RMBS		09/01/2019	Paydown		2,663	2,663	2,844	2,849	(187)	(187)	(187)	(187)	(187)	2,663		0	0	0	10/01/2044	1
.3132KU-SK-2	FH Q52231 - RMBS		09/01/2019	Paydown		4,398	4,398	4,612	4,605	(207)	(207)	(207)	(207)	(207)	4,398		0	0	0	11/01/2047	1
.3133SA-S7-4	FH G60542 - RMBS		09/01/2019	Paydown		2,749	2,749	2,940	2,937	(188)	(188)	(188)	(188)	(188)	2,749		0	0	0	09/01/2045	1
.3137F-J JV-2	FHMS K083 A1 - CMBS		09/01/2019	Paydown		.77	.77	.79	.79	(2)	(2)	(2)	(2)	(2)	.77		0	0	0	07/25/2028	1
.3138E7-TV-6	FN AK3263 - RMBS		09/01/2019	Paydown		3,879	3,879	4,003	3,980	(102)	(102)	(102)	(102)	(102)	3,879		0	0	0	02/01/2027	1
.3138EJ-3Y-2	FN AL2614 - RMBS		09/01/2019	Paydown		3,647	3,647	3,828	3,834	(187)	(187)	(187)	(187)	(187)	3,647		0	0	0	11/01/2042	1
.3138E0-7L-0	FN AL8098 - RMBS		09/01/2019	Paydown		2,557	2,557	2,743	2,742	(185)	(185)	(185)	(185)	(185)	2,557		0	0	0	06/01/2043	1
.3138MO-AK-6	FN A08109 - RMBS		09/01/2019	Paydown		1,790	1,790	1,858	1,858	(68)	(68)	(68)	(68)	(68)	1,790		0	0	0	08/01/2042	1
.3138MS-EA-3	FN AP1928 - RMBS		09/01/2019	Paydown		2,292	2,292	2,442	2,440	(147)	(147)	(147)	(147)	(147)	2,292		0	0	0	08/01/2042	1
.3138MS-LP-2	FN AP2133 - RMBS		09/01/2019	Paydown		1,282	1,282	1,330	1,326	(44)	(44)	(44)	(44)	(44)	1,282		0	0	0	08/01/2042	1
.3138MB-XL-5	FN AP7882 - RMBS		09/01/2019	Paydown		2,282	2,282	2,347	2,342	(61)	(61)	(61)	(61)	(61)	2,282		0	0	0	10/01/2042	1
.3138W6-SU-1	FN AR8630 - RMBS		09/01/2019	Paydown		1,647	1,647	1,679	1,679	(31)	(31)	(31)	(31)	(31)	1,647		0	0	0	04/01/2043	1
.3138W8-9F-6	FN AS0037 - RMBS		09/01/2019	Paydown		1,804	1,804	1,894	1,895	(91)	(91)	(91)	(91)	(91)	1,804		0	0	0	07/01/2043	1
.3138W8-AR-6	FN AS1815 - RMBS		09/01/2019	Paydown		1,514	1,514	1,577	1,570	(55)	(55)	(55)	(55)	(55)	1,514		0	0	0	02/01/2044	1
.3138W8-UK-9	FN AS2385 - RMBS		09/01/2019	Paydown		1,669	1,669	1,762	1,758	(89)	(89)	(89)	(89)	(89)	1,669		0	0	0	05/01/2044	1
.3138W8-NE-8	FN AS3056 - RMBS		09/01/2019	Paydown		1,951	1,951	2,089	2,094	(143)	(143)	(143)	(143)	(143)	1,951		0	0	0	12/01/2044	1
.3138W8-6G-9	FN AS5370 - RMBS		09/01/2019	Paydown		2,866	2,866	2,984	2,977	(111)	(111)	(111)	(111)	(111)	2,866		0	0	0	07/01/2045	1
.3138W8-KB-4	FN AS4789 - RMBS		09/01/2019</td																		

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22		
										11	12	13	14	15									
CUSIP Ident- ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	Administrative Symbol/ Market Indicator (a)		
..3138X4-V9-8	FN AU5139 - RMBS		09/01/2019	Paydown			2,505	2,611	2,615	(109)			(109)		2,505				60	08/01/2043	1		
..3138Y6-3S-1	FN AX5308 - RMBS		09/01/2019	Paydown			1,561	1,638	1,637	(76)			(76)		1,561				35	01/01/2042	1		
..3140EV-T7-2	FN BC1473 - RMBS		09/01/2019	Paydown			3,863	3,858	3,857	6			6		3,863				64	07/01/2031	1		
..3140FM-DF-0	FN BE1901 - RMBS		09/01/2019	Paydown			2,547	2,548	2,547	(1)			(1)		2,547				49	12/01/2046	1		
..3140J5-LM-9	FN BM1231 - RMBS		09/01/2019	Paydown			3,758	3,898	3,891	(132)			(132)		3,758				87	11/01/2031	1		
..3140J8-HC-0	FN BM1326 - RMBS		09/01/2019	Paydown			7,586	7,586	7,825	(239)			(239)		7,586				227	04/01/2048	1		
..3140J9-EB-3	FN BM4629 - RMBS		08/01/2019	VARIOUS	100,049	94,213	95,208	(40)							95,168	4,881	4,881		2,529	10/01/2048	1		
..3140J9-LV-1	FN BM4839 - RMBS		08/01/2019	VARIOUS	101,280	96,250	96,365	(33)							96,332	4,948	4,948		2,566	10/01/2048	1		
..314007-LE-5	FN CA0324 - RMBS		09/01/2019	Paydown			4,047	4,047	4,246	(206)			(206)		4,047				120	09/01/2047	1		
..314008-M9-3	FN CA1283 - RMBS		09/01/2019	Paydown			2,070	2,070	2,106	(36)			(36)		2,070				51	02/01/2033	1		
..31417B-7A-9	FN AB5388 - RMBS		09/01/2019	Paydown			621	664	664	(43)			(43)		621				18	06/01/2042	1		
..31417C-S3-0	FN AB5937 - RMBS		09/01/2019	Paydown			1,303	1,372	1,369	(66)			(66)		1,303				31	08/01/2042	1		
..31417D-TR-4	FN AB6859 - RMBS		09/01/2019	Paydown			2,970	3,087	3,086	(116)			(116)		2,970				73	11/01/2042	1		
..31417G-5A-0	FN AB9840 - RMBS		09/01/2019	Paydown			2,429	2,429	2,549	(117)			(117)		2,429				57	07/01/2043	1		
..31417G-TF-3	FN AB9549 - RMBS		08/01/2019	VARIOUS	118,353	116,590	116,845	116,743	(21)			(21)		116,722				1,630	06/01/2043	1			
..31418C-E6-7	FN MA2856 - RMBS		09/01/2019	Paydown			2,240	2,240	2,378	(138)			(138)		2,240				61	12/01/2036	1		
..31418C-F6-4	FN MA2866 - RMBS		09/01/2019	Paydown			3,026	3,026	3,048	(18)			(18)		3,026				60	01/01/2037	1		
..31418C-ND-2	FN MA3087 - RMBS		09/01/2019	Paydown			4,284	4,284	4,391	(107)			(107)		4,284				102	08/01/2047	1		
..31418C-QB-3	FN MA3149 - RMBS		09/01/2019	Paydown			5,208	5,208	5,407	(199)			(199)		5,208				140	10/01/2047	1		
..31418D-BF-8	FN MA3637 - RMBS		09/01/2019	Paydown			5,037	5,172	5,172	(135)			(135)		5,037				15	04/01/2049	1		
..575759-ZG-2	MASSACHUSETTS BAY TRANSN AUTH MASS SALES		07/17/2019	Stifel Nicolaus & Co.	89,938	75,000	85,989	(860)							85,129	4,809	4,809		3,938	07/01/2029	1FE		
..65830R-CE-7	NORTH CAROLINA TPK AUTH TRIANGLE EXPWY S		08/07/2019	Unknown	110,659	111,272	111,221	(561)							110,659				3,264	01/01/2036	2FE		
3199999. Subtotal - Bonds - U.S. Special Revenues					802,397	764,250	798,216	788,018	(7,386)						785,805				16,592	16,592	20,372	XXX	XXX
..D2007-AD-2	ALLYA 181 A2 - ABS		09/01/2019	Paydown			11,562	11,562	11,562	0			0		11,562				125	09/15/2020	1FE		
..037833-CU-2	APPLE INC		07/29/2019	BARCLAYS CAPITAL INC	102,640	100,000	100,337	(21)							100,316	2,324	2,324		2,058	05/11/2024	1FE		
..05971K-AD-1	BANCO SANTANDER SA	C	07/29/2019	SECURITIES	200,034	200,000	199,612	(2)							199,614	420	420		511	06/27/2024	1FE		
..17275R-BL-5	CISCO SYSTEMS INC		07/29/2019	GOLDMAN	101,312	100,000	93,568	(419)							93,987	7,325	7,325		2,160	09/20/2026	1FE		
..209111-FE-8	CONSOLIDATED EDISON CO OF NEW YORK INC		07/29/2019	LNDING	93,038	90,000	89,688	89,805	(17)						89,823	3,216	3,216		1,980	12/01/2024	1FE		
..26442C-AV-6	DUKE ENERGY CAROLINAS LLC		07/29/2019	LNDING	154,416	150,000	149,825	149,853	19						149,872	4,544	4,544		4,016	03/15/2023	1FE		
..278642-AU-7	EBAY INC		07/29/2019	LLC	102,739	100,000	99,917	99,930	4						99,934	2,805	2,805		2,360	06/05/2027	2FE		
..285512-AC-3	ELECTRONIC ARTS INC		09/09/2019	MORGAN STANLEY CO	25,504	25,000	24,963	24,984	5						24,989	515	515		951	03/01/2021	2FE		
..36252A-4A-4	GSMS 156S1 A1 - CMBS		09/01/2019	Paydown	4,963	4,963	4,963	4,961	2						4,963	0	0		0	11/13/2048	1FE		
..38014B-AD-1	GMAILT 181 A3 - ABS		09/20/2019	Paydown	7,337	7,337	7,337	7,337	0						7,337	0	0		144	01/20/2021	1FE		
..460146-CL-5	INTERNATIONAL PAPER CO		07/29/2019	LLC	104,778	100,000	101,518	101,363	(104)						101,259	3,519	3,519		3,969	01/15/2026	2FE		
..718549-AA-6	PHILLIPS 66 PARTNERS LP		09/30/2019	PERSHING DIV OF DLJ SEC	70,070	70,000	68,937	69,730	180						69,910	160	160		2,094	02/15/2020	2FE		
..87236Y-AH-1	TD AMERITRADE HOLDING CORP		07/28/2019	LNDING	105,232	100,000	99,779	99,784	20						99,805	5,427	5,427		2,813	04/01/2024	1FE		
..92343V-AZ-2	VERIZON COMMUNICATIONS INC		07/29/2019	MORGAN STANLEY CO	155,816	150,000	148,718	149,666	83						149,748	6,067	6,067		5,750	04/01/2021	2FE		
..94989X-AY-1	WFCM 15NKS4 A1 - CMBS		09/01/2019	Paydown	5,061	5,061	5,059	5,059	2						5,061	0	0		63	12/17/2048	1FE		
..98161Y-AB-0	WOLS 18A A2 - ABS		09/15/2019	Paydown	14,755	14,755	14,754	14,755	0						14,755	253	253		11/16/2020	1FE			
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					1,259,256	1,228,678	1,220,536	828,789	629						1,222,935	36,322	36,322		29,309	XXX	XXX		
8399997. Total - Bonds - Part 4					3,117,168	2,997,170	3,042,973	2,633,715	(8,849)						(8,849)	3,023,555	93,613	93,613	70,907	XXX	XXX		
8399998. Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX						XXX	XXX	XXX	XXX	XXX	XXX	XXX		
8399999. Total - Bonds					3,117,168	2,997,170	3,042,973	2,633,715	(8,849)						(8,849)	3,023,555	93,613	93,613	70,907	XXX	XXX		
8999997. Total - Preferred Stocks - Part 4					XXX	XXX	XXX	XXX	XXX						XXX	XXX	XXX	XXX	XXX	XXX	XXX		
8999998. Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX						XXX	XXX	XXX	XXX	XXX	XXX	XXX		
8999999. Total - Preferred Stocks					XXX	XXX	XXX	XXX	XXX						XXX	XXX	XXX	XXX	XXX	XXX	XXX		
9799997. Total - Common Stocks - Part 4					XXX	XXX	XXX	XXX	XXX						XXX	XXX	XXX	XXX	XXX	XXX	XXX		

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation and Admini- strative Symbol /Market Indicator (a)	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's Other Than Temporary Impairment Recogn- ized	13 Current Year's Temporar- y Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value								
9799998. Total - Common Stocks - Part 5		XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
9799999. Total - Common Stocks			XXX																	XXX	XXX	XXX
9899999. Total - Preferred and Common Stocks			XXX																	XXX	XXX	XXX
9999999 - Totals			3,117,168	XXX	3,042,973	2,633,715			(8,849)		(8,849)		(8,849)		3,023,555		93,613	93,613	70,907	XXX	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price or Index	Initial Cost of Hedged Item	Cost of Counterparty Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/(Decrease)	Total Foreign Exchange Change in B.A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
1449999999 - Totals																						

NONE

(a)	Code	Description of Hedged Risk(s)

(b)	Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SCHEDULE DB - PART B - SECTION 1

Futures Contracts Open as of the Current Statement Date

1449999999 - Totals

1000

xx

Broker Name	NONE	Beginning Cash Balance	Cumulative Cash Change	Ending Cash Balance
Total Net Cash Deposits				

Total Net Cash Deposits

(b) **Financial or Economic Impact of the Hedge at the End of the Reporting Period**

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SCHEDULE DB - PART D - SECTION 1

Counterparty Exposure for Derivative Instruments Open as of Current Statement Date

1 Description of Exchange, Counterparty or Central Clearinghouse	2 Master Agreement (Y or N)	3 Credit Support Annex (Y or N)	4 Fair Value of Acceptable Collateral	Book/Adjusted Carrying Value			Fair Value			11 Potential Exposure	12 Off-Balance Sheet Exposure
				5 Contracts With Book/Adjusted Carrying Value >0	6 Contracts With Book/Adjusted Carrying Value <0	7 Exposure Net of Collateral	8 Contracts With Fair Value >0	9 Contracts With Fair Value <0	10 Exposure Net of Collateral		
0199999999 - Aggregate Sum of Exchange Traded Derivatives	XXX	XXX	XXX								
0999999999 - Gross Totals											
1. Offset per SSAP No. 64											
2. Net after right of offset per SSAP No. 64											

NONE

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SCHEDULE DB - PART D - SECTION 2

Collateral for Derivative Instruments Open as of Current Statement Date

Collateral Pledged by Reporting Entity

1 Exchange, Counterparty or Central Clearinghouse	2 Type of Asset Pledged	3 CUSIP Identification	4 Description	5 Fair Value	6 Par Value	7 Book/Adjusted Carrying Value	8 Maturity Date	9 Type of Margin (I, V or IV)
0199999999 - Total							XXX	XXX

Collateral Pledged to Reporting Entity

1 Exchange, Counterparty or Central Clearinghouse	2 Type of Asset Pledged	3 CUSIP Identification	4 Description	5 Fair Value	6 Par Value	7 Book/Adjusted Carrying Value	8 Maturity Date	9 Type of Margin (I, V or IV)
0299999999 - Total							XXX	XXX

SCHEDULE DL - PART 1
SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date

(Securities lending collateral assets reported in aggregate on Line 10 of the Assets page and not included on Schedules A, B, BA, D, DB and E)

1 CUSIP Identification	2 Description	3 Code	4 NAIC Designation and Administrative Symbol/ Market Indicator	5 Fair Value	6 Book/Adjusted Carrying Value	7 Maturity Date
9999999 - Totals						XXX

General Interrogatories:

1. Total activity for the year Fair Value \$ Book/Adjusted Carrying Value \$
2. Average balance for the year Fair Value \$ Book/Adjusted Carrying Value \$
3. Reinvested securities lending collateral assets book/adjusted carrying value included in this schedule by NAIC designation:
 NAIC 1 \$ NAIC 2 \$ NAIC 3 \$ NAIC 4 \$ NAIC 5 \$ NAIC 6 \$

NONE

SCHEDULE DL - PART 2
SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date

(Securities lending collateral assets included on Schedules A, B, BA, D, DB and E and not reported in aggregate on Line 10 of the Assets page)

1 CUSIP Identification	2 Description	3 Code	4 NAIC Designation and Administrative Symbol/ Market Indicator	5 Fair Value	6 Book/Adjusted Carrying Value	7 Maturity Date
9999999 - Totals						XXX

General Interrogatories:

- | | | |
|---------------------------------|---------------------|---------------------------------------|
| 1. Total activity for the year | Fair Value \$ | Book/Adjusted Carrying Value \$ |
| 2. Average balance for the year | Fair Value \$ | Book/Adjusted Carrying Value \$ |

NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
BNY MELLON	NEW YORK, NY	0.010	1,151		2,924,767	66,797	109,439	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						XXX
0199999. Totals - Open Depositories	XXX	XXX	1,151		2,924,767	66,797	109,439	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX						XXX
0399999. Total Cash on Deposit	XXX	XXX	1,151		2,924,767	66,797	109,439	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash	XXX	XXX	1,151		2,924,767	66,797	109,439	XXX

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

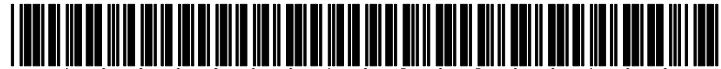
SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

NONE

8899999 - Total Cash Equivalents

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SUPPLEMENT FOR THE QUARTER ENDING SEPTEMBER 30, 2019 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

DIRECTOR AND OFFICER INSURANCE COVERAGE SUPPLEMENT

Year To Date For The Period Ended SEPTEMBER 30, 2019

NAIC Group Code 0201

NAIC Company Code 13998

Company Name UTICA NATIONAL INSURANCE COMPANY OF OHIO

If the reporting entity writes any director and officer (D&O) business, please provide the following:

1. Monoline Policies

1 Direct Written Premium	2 Direct Earned Premium	3 Direct Losses Incurred
\$	\$	\$

2. Commercial Multiple Peril (CMP) Packaged Policies

2.1 Does the reporting entity provide D&O liability coverage as part of a CMP packaged policy? Yes [] No []

2.2 Can the direct premium earned for D&O liability coverage provided as part of a CMP packaged policy be quantified or estimated? Yes [] No []

2.3 If the answer to question 2.2 is yes, provide the quantified or estimated direct premium earned amount for D&O liability coverage in CMP packaged policies

2.31 Amount quantified: \$

2.32 Amount estimated using reasonable assumptions: \$

2.4 If the answer to question 2.1 is yes, provide direct losses incurred (losses paid plus change in case reserves) for the D&O liability coverage provided in CMP packaged policies. \$