



QUARTERLY STATEMENT

As of September 30, 2019
of the Condition and Affairs of the

EVERGREEN NATIONAL INDEMNITY COMPANY

NAIC Group Code.....4869, 4869 (Current Period) (Prior Period)	NAIC Company Code..... 12750	Employer's ID Number..... 36-2467238
Organized under the Laws of OH	State of Domicile or Port of Entry OH	Country of Domicile US
Incorporated/Organized..... December 30, 1939	Commenced Business..... January 1, 1940	
Statutory Home Office	6140 PARKLAND BLVD, STE 321 .. MAYFIELD HEIGHTS .. OH .. US .. 44124 (Street and Number) (City or Town, State, Country and Zip Code)	
Main Administrative Office	6140 PARKLAND BLVD, STE 321 .. MAYFIELD HEIGHTS .. OH .. US .. 44124 (Street and Number) (City or Town, State, Country and Zip Code)	440-229-3420 (Area Code) (Telephone Number)
Mail Address	6140 PARKLAND BLVD, STE 321 .. MAYFIELD HEIGHTS .. OH .. US .. 44124 (Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)	
Primary Location of Books and Records	6140 PARKLAND BLVD, STE 321 .. MAYFIELD HEIGHTS .. OH .. US .. 44124 (Street and Number) (City or Town, State, Country and Zip Code)	440-229-3403 (Area Code) (Telephone Number)
Internet Web Site Address		
Statutory Statement Contact	DAVID ALAN CANZONE (Name) dcanzone@evergreen-national.com (E-Mail Address)	440-229-3403 (Area Code) (Telephone Number) (Extension) 440-229-3421 (Fax Number)

OFFICERS

Name	Title	Name	Title
1. MATTHEW TRACY TUCKER	PRESIDENT	2. DAVID ALAN CANZONE	CFO/TREASURER
3. WAN CHEN COLLIER	SECRETARY	4. ROBERT WILLARD SHEPARD	VICE PRESIDENT OF FINANCE

OTHER

DIRECTORS OR TRUSTEES

CHARLES KYLE SLATERY	MATTHEW TRACY TUCKER	ROBERT WILLARD SHEPARD	JAMES DONALD LACKIE
DAVID ALAN CANZONE	EMMEL BERNHARDT GOLDEN III		

State of..... Ohio
County of..... Cuyahoga

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature) MATTHEW TRACY TUCKER 1. (Printed Name) PRESIDENT (Title)	(Signature) DAVID ALAN CANZONE 2. (Printed Name) CFO/TREASURER (Title)	(Signature) WAN CHEN COLLIER 3. (Printed Name) SECRETARY (Title)
Subscribed and sworn to before me This _____ day of _____	a. Is this an original filing? b. If no: 1. State the amendment number 2. Date filed 3. Number of pages attached	Yes [X] No [] _____ _____ _____

EVERGREEN NATIONAL INDEMNITY COMPANY
ASSETS

	Current Statement Date			4
	1	2	3	
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
1. Bonds.....	27,286,527		27,286,527	25,552,489
2. Stocks:				
2.1 Preferred stocks.....	5,700,630		5,700,630	4,998,637
2.2 Common stocks.....	10,408,076		10,408,076	8,702,551
3. Mortgage loans on real estate:				
3.1 First liens.....	664,696		664,696	664,696
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....			0	
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			0	
4.3 Properties held for sale (less \$.....0 encumbrances).....	45,741		45,741	365,932
5. Cash (\$....4,909,475), cash equivalents (\$....811,098) and short-term investments (\$.....0).....	5,720,573		5,720,573	3,551,330
6. Contract loans (including \$.....0 premium notes).....			0	
7. Derivatives.....			0	
8. Other invested assets.....	3,894,789		3,894,789	2,544,898
9. Receivables for securities.....			0	
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	53,721,032	0	53,721,032	46,380,533
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	317,576		317,576	310,061
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	2,601,402		2,601,402	1,793,182
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....			0	
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	202,070		202,070	202,070
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....			0	
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....	102,657		102,657	
18.2 Net deferred tax asset.....			0	282,389
19. Guaranty funds receivable or on deposit.....			0	
20. Electronic data processing equipment and software.....	360		360	1,439
21. Furniture and equipment, including health care delivery assets (\$.....0).....			0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....			0	
24. Health care (\$.....0) and other amounts receivable.....			0	
25. Aggregate write-ins for other than invested assets.....	19,719	17,468	2,251	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	56,964,815	17,468	56,947,348	48,969,674
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
28. Total (Lines 26 and 27).....	56,964,815	17,468	56,947,348	48,969,674

DETAILS OF WRITE-INS				
1101.			0	
1102.			0	
1103.			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Miscellaneous Receivable.....	7,499	5,248	2,251	
2502. Prepaid Insurance.....	12,220	12,220	0	
2503.			0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	19,719	17,468	2,251	0

EVERGREEN NATIONAL INDEMNITY COMPANY
LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Losses (current accident year \$.....2,162,179).....	3,354,266	3,471,989
2. Reinsurance payable on paid losses and loss adjustment expenses.....		
3. Loss adjustment expenses.....	1,071,391	931,776
4. Commissions payable, contingent commissions and other similar charges.....	9,357	7,627
5. Other expenses (excluding taxes, licenses and fees).....	469,552	368,748
6. Taxes, licenses and fees (excluding federal and foreign income taxes).....	191,054	152,849
7.1 Current federal and foreign income taxes (including \$.....0 on realized capital gains (losses)).....		196,883
7.2 Net deferred tax liability.....	28,970	
8. Borrowed money \$.....0 and interest thereon \$.....0.....		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$.....10,472,127 and including warranty reserves of \$.....165,371 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act).....	9,352,382	6,201,804
10. Advance premium.....		
11. Dividends declared and unpaid:		
11.1 Stockholders.....		
11.2 Policyholders.....		
12. Ceded reinsurance premiums payable (net of ceding commissions).....	2,907,583	2,496,345
13. Funds held by company under reinsurance treaties.....	197,493	178,753
14. Amounts withheld or retained by company for account of others.....	1,237,586	54,337
15. Remittances and items not allocated.....		
16. Provision for reinsurance (including \$.....0 certified).....		
17. Net adjustments in assets and liabilities due to foreign exchange rates.....		
18. Drafts outstanding.....		
19. Payable to parent, subsidiaries and affiliates.....		
20. Derivatives.....		
21. Payable for securities.....		23,864
22. Payable for securities lending.....		
23. Liability for amounts held under uninsured plans.....		
24. Capital notes \$.....0 and interest thereon \$.....0.....		
25. Aggregate write-ins for liabilities.....	1,268,052	456,532
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25).....	20,087,686	14,541,507
27. Protected cell liabilities.....		
28. Total liabilities (Lines 26 and 27).....	20,087,686	14,541,507
29. Aggregate write-ins for special surplus funds.....	0	0
30. Common capital stock.....	3,018,004	3,018,004
31. Preferred capital stock.....		
32. Aggregate write-ins for other than special surplus funds.....	0	0
33. Surplus notes.....		
34. Gross paid in and contributed surplus.....	25,841,820	25,841,820
35. Unassigned funds (surplus).....	7,999,838	5,568,343
36. Less treasury stock, at cost:		
36.10.000 shares common (value included in Line 30 \$.....0).....		
36.20.000 shares preferred (value included in Line 31 \$.....0).....		
37. Surplus as regards policyholders (Lines 29 to 35, less 36).....	36,859,662	34,428,167
38. Totals (Page 2, Line 28, Col. 3).....	56,947,348	48,969,674

DETAILS OF WRITE-INS		
2501. Unrestricted Collateral.....	1,022,898	122,021
2502. Pledged as Collateral.....	245,154	334,511
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	1,268,052	456,532
2901.		
2902.		
2903.		
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above).....	0	0
3201.		
3202.		
3203.		
3298. Summary of remaining write-ins for Line 32 from overflow page.....	0	0
3299. Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above).....	0	0

EVERGREEN NATIONAL INDEMNITY COMPANY
STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct..... (written \$28,728,619).....	25,057,986	23,615,038	31,599,270
1.2 Assumed..... (written \$1,807,454).....	1,682,890	2,125,434	2,814,057
1.3 Ceded..... (written \$16,194,846).....	15,550,226	16,827,360	22,987,313
1.4 Net..... (written \$14,341,227).....	11,190,650	8,913,112	11,426,014
DEDUCTIONS:			
2. Losses incurred (current accident year \$.....4,017,073):			
2.1 Direct.....	1,854,756	1,717,506	1,354,463
2.2 Assumed.....	102,722	64,735	(141,897)
2.3 Ceded.....	(96,843)	1,162,405	676,578
2.4 Net.....	2,054,321	619,836	535,988
3. Loss adjustment expenses incurred.....	138,445	97,300	(131,677)
4. Other underwriting expenses incurred.....	8,639,410	6,733,019	8,389,206
5. Aggregate write-ins for underwriting deductions.....	0	0	0
6. Total underwriting deductions (Lines 2 through 5).....	10,832,176	7,450,155	8,793,517
7. Net income of protected cells.....			
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7).....	358,474	1,462,957	2,632,497
INVESTMENT INCOME			
9. Net investment income earned.....	1,215,764	1,213,700	1,823,405
10. Net realized capital gains (losses) less capital gains tax of \$30,951.....	116,434	(152,184)	(320,986)
11. Net investment gain (loss) (Lines 9 + 10).....	1,332,198	1,061,516	1,502,419
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$0 amount charged off \$0).....	0		
13. Finance and service charges not included in premiums.....			
14. Aggregate write-ins for miscellaneous income.....	2,252	72,231	74,469
15. Total other income (Lines 12 through 14).....	2,252	72,231	74,469
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15).....	1,692,924	2,596,704	4,209,385
17. Dividends to policyholders.....			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17).....	1,692,924	2,596,704	4,209,385
19. Federal and foreign income taxes incurred.....	304,509	368,343	758,343
20. Net income (Line 18 minus Line 19) (to Line 22).....	1,388,415	2,228,361	3,451,042
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year.....	34,428,167	34,452,233	34,452,233
22. Net income (from Line 20).....	1,388,415	2,228,361	3,451,042
23. Net transfers (to) from Protected Cell accounts.....			
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$547,636.....	2,060,152	266,514	(884,862)
25. Change in net unrealized foreign exchange capital gain (loss).....			
26. Change in net deferred income tax.....	30,410	(31,444)	(63,491)
27. Change in nonadmitted assets.....	202,518	(958)	(76,755)
28. Change in provision for reinsurance.....			
29. Change in surplus notes.....			
30. Surplus (contributed to) withdrawn from protected cells.....			
31. Cumulative effect of changes in accounting principles.....			
32. Capital changes:			
32.1 Paid in.....			
32.2 Transferred from surplus (Stock Dividend).....			
32.3 Transferred to surplus.....			
33. Surplus adjustments:			
33.1 Paid in.....			
33.2 Transferred to capital (Stock Dividend).....			
33.3 Transferred from capital.....			
34. Net remittances from or (to) Home Office.....			
35. Dividends to stockholders.....	(1,250,000)	(1,250,000)	(2,450,000)
36. Change in treasury stock.....			
37. Aggregate write-ins for gains and losses in surplus.....	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37).....	2,431,495	1,212,473	(24,066)
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38).....	36,859,662	35,664,706	34,428,167

DETAILS OF WRITE-INS			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above).....	0	0	0
1401. Miscellaneous Income.....	2,252	72,231	74,469
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above).....	2,252	72,231	74,469
3701. Misc Surplus Change.....			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page.....	0	0	0
3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above).....	0	0	0

EVERGREEN NATIONAL INDEMNITY COMPANY
CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
CASH FROM OPERATIONS			
1. Premiums collected net of reinsurance.....	13,944,246	9,189,947	11,996,194
2. Net investment income.....	1,298,532	1,222,450	1,808,825
3. Miscellaneous income.....	2,252	72,231	74,469
4. Total (Lines 1 through 3).....	15,245,030	10,484,628	13,879,488
5. Benefit and loss related payments.....	2,172,044	223,757	518,666
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	8,497,501	6,765,904	8,222,355
8. Dividends paid to policyholders.....			
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses).....	635,000	763,000	823,000
10. Total (Lines 5 through 9).....	11,304,545	7,752,661	9,564,021
11. Net cash from operations (Line 4 minus Line 10).....	3,940,485	2,731,967	4,315,467
CASH FROM INVESTMENTS			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	3,349,510	4,143,054	5,667,568
12.2 Stocks.....	2,601,364	3,831,133	3,832,544
12.3 Mortgage loans.....			
12.4 Real estate.....	320,191	1,211,954	1,303,434
12.5 Other invested assets.....	322,625	253,892	548,984
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....			
12.7 Miscellaneous proceeds.....			
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	6,593,690	9,440,033	11,352,529
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	4,897,963	5,887,215	6,804,028
13.2 Stocks.....	2,820,592	3,478,463	5,214,992
13.3 Mortgage loans.....			
13.4 Real estate.....			
13.5 Other invested assets.....	1,381,501	194,814	219,814
13.6 Miscellaneous applications.....	23,864	99,927	26,136
13.7 Total investments acquired (Lines 13.1 to 13.6).....	9,123,920	9,660,419	12,264,970
14. Net increase or (decrease) in contract loans and premium notes.....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(2,530,230)	(220,386)	(912,441)
CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....			
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			
16.5 Dividends to stockholders.....	1,250,000	1,250,000	2,450,000
16.6 Other cash provided (applied).....	2,008,988	246,915	273,499
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	758,988	(1,003,085)	(2,176,501)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	2,169,243	1,508,496	1,226,525
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	3,551,330	2,324,805	2,324,805
19.2 End of period (Line 18 plus Line 19.1).....	5,720,573	3,833,301	3,551,330

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			
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NOTES TO FINANCIAL STATEMENTS

Note 1 – Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

	SSAP #	F/S Page	F/S Line #	Current Year to Date	2018
NET INCOME					
(1) The Company state basis (Page 4, Line 20, Columns 1 & 3)	XXX	XXX	XXX	\$ 1,388,415	\$ 3,451,042
(2) State Prescribed Practice that are an increase/(decrease) from NAIC SAP					
N/A				\$	\$
(3) State Permitted Practice that are an increase/(decrease) from NAIC SAP					
N/A				\$	\$
(4) NAIC SAP (1 – 2 – 3 = 4)	XXX	XXX	XXX	\$ 1,388,415	\$ 3,451,042
SURPLUS					
(5) The Company state basis (Page 3, line 37, Columns 1 & 2)	XXX	XXX	XXX	\$ 36,859,662	\$ 34,428,167
(6) State Prescribed Practice that are an increase/(decrease) from NAIC SAP					
N/A				\$	\$
(7) State Permitted Practice that are an increase/(decrease) from NAIC SAP					
N/A				\$	\$
(8) NAIC SAP (5 – 6 – 7 = 8)	XXX	XXX	XXX	\$ 36,859,662	\$ 34,428,167

C. Accounting Policy
N/A

(2) Basis for Bonds, Mandatory Convertible Securities, SVO-Identified Investments and Amortization Method
N/A

(6) Basis for Loan-Backed Securities and Adjustment Methodology
N/A

D. Going Concern

N/A

Note 2 – Accounting Changes and Corrections of Errors

No significant changes

Note 3 – Business Combinations and Goodwill

No significant changes

Note 4 – Discontinued Operations

No significant changes

Note 5 – Investments

D. Loan-Backed Securities

- (1) Description of Sources Used to Determine Prepayment Assumptions
- (2) Securities with Recognized Other-Than-Temporary Impairment

	1 Amortized Cost Basis Before Other-than-Temporary Impairment	2 Other-than-Temporary Impairment Recognized in Loss	3 Fair Value 1 – 2
(2)			
OTTI Recognized 1 st Quarter			
a. Intent to sell	\$	\$	\$
b. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			
c. Total 1 st Quarter	\$ None	\$	\$
OTTI Recognized 2 nd Quarter			
d. Intent to sell	\$	\$	\$
e. Inability or lack of intent to retain the investment in the			

NOTES TO FINANCIAL STATEMENTS

	1 Amortized Cost Basis Before Other-than-Temporary Impairment	2 Other-than-Temporary Impairment Recognized in Loss	3 Fair Value 1 – 2
(2) security for a period of time sufficient to recover the amortized cost basis			
f. Total 2nd Quarter	\$ None	\$	\$
OTTI Recognized 3 rd Quarter			
g. Intent to sell	\$	\$	\$
h. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			
i. Total 3rd Quarter	\$ None	\$	\$
OTTI Recognized 4 th Quarter			
j. Intent to sell	\$	\$	\$
k. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			
l. Total 4th Quarter	\$	\$	\$
m. Annual aggregate total	XXX		XXX

(3) Recognized OTTI securities

	Book/Adjusted Carrying Value Amortized Cost Before Current Period OTTI	Present Value of Projected Cash Flows	Recognized Other-Than- Temporary Impairment	Amortized Cost After Other-Than- Temporary Impairment	Fair Value at Time of OTTI	Date of Financial Statement Where Reported
CUSIP	\$	\$	\$	\$	\$	
Total			\$			

(4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains):

a. The aggregate amount of unrealized losses:	1. Less than 12 Months	\$
	2. 12 Months or Longer	\$
b. The aggregate related fair value of securities with unrealized losses:	1. Less than 12 Months	\$
	2. 12 Months or Longer	\$

(5) Information Investor Considered in Reaching Conclusion that Impairments are Not Other-Than-Temporary

- E. Dollar Repurchase Agreements and/or Securities Lending Transactions
Not Applicable
- F. Repurchase Agreements Transactions Accounted for as Secured Borrowing
Not Applicable
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing
Not Applicable
- H. Repurchase Agreements Transactions Accounted for as a Sale
Not Applicable
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale
Not Applicable
- M. Working Capital Finance Investments
Not Applicable
- N. Offsetting and Netting of Assets and Liabilities
Not Applicable

Note 6 – Joint Ventures, Partnerships and Limited Liability Companies

No significant changes

Note 7 – Investment Income

No significant changes

NOTES TO FINANCIAL STATEMENTS

Note 8 – Derivative Instruments

Not Applicable

Note 9 – Income Taxes

No significant changes

Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No significant changes

Note 11 – Debt

Not Applicable

Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

Not Applicable

Note 13 – Capital and Surplus, Shareholder's Dividend Restrictions and Quasi-Reorganizations

On May 16, 2019, the Company declared an ordinary dividend of \$1,250,000. The cash dividend was paid May 28, 2019.

Note 14 – Liabilities, Contingencies and Assessments

No significant changes

Note 15 – Leases

No significant changes

Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No significant changes

Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

No significant changes

Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Portion of Partially Insured Plans

No significant changes

Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant changes

Note 20 – Fair Value Measurements

- A. Fair Value Measurements
- (1) Fair Value Measurements at Reporting Date

Description for Each Type of Asset or Liability	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
Assets at Fair Value					
Bonds	\$	\$ 2,000,293	\$	\$	\$ 2,000,293
Preferred Stocks	\$	\$ 2,010,001	\$	\$	\$ 2,010,001
Common Stocks	\$ 10,408,076	\$	\$	\$	\$ 10,408,076
Total	\$ 10,408,076	\$ 4,010,294	\$	\$	\$ 14,418,370
Liabilities at Fair Value					
	\$	\$	\$	\$	\$
Total	\$	\$	\$	\$	\$

- (2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

Description	Beginning Balance	Transfers Into Level 3	Transfers Out of Level 3	Total Gains and (Losses) Included in Net Income	Total Gains and (Losses) Included in Surplus	Purchases	Issuances	Sales	Settle-ments	Ending Balance as of Current Period
a. Assets										
	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
Total	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
b. Liabilities										
	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
Total	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$

NOTES TO FINANCIAL STATEMENTS

- (3) Policies when Transfers Between Levels are Recognized
- (4) Description of Valuation Techniques and Inputs Used in Fair Value Measurement
- (5) Fair Value Disclosures

B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements

C. Fair Value Level

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 27,715,399	\$ 27,286,527	\$ 3,480,469	\$ 24,234,930	\$	\$	\$
Preferred Stocks	\$ 5,882,199	\$ 5,700,630	\$	\$ 5,882,199	\$	\$	\$
Common Stocks	\$ 10,408,076	\$ 10,408,076	\$ 10,408,076	\$	\$	\$	\$
Mortgage Loans	\$	\$ 664,696	\$	\$	\$	\$	\$ 664,696
Cash & Short Term Investments	\$ 5,720,573	\$ 5,720,573	\$ 5,720,573	\$	\$	\$	\$

D. Not Practicable to Estimate Fair Value

Type of Class or Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
Mortgage Loan	\$ 664,696	0.1	01/29/2016	Not available for public sale therefore price not available.

E. NAV Practical Expedient Investments

Note 21 – Other Items

No significant changes

Note 22 – Events Subsequent

No significant changes

Note 23 – Reinsurance

No significant changes

Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination

F. Risk Sharing Provisions of the Affordable Care Act
Not Applicable

Note 25 – Change in Incurred Losses and Loss Adjustment Expenses

A. Change in Incurred Losses and Loss Adjustment Expenses

Reserves as of December 31, 2018 were \$4.404 million. As of September 30, 2019, \$316 thousand has been paid for net incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$2.10 million as a result of re-estimation of unpaid claims and claim adjustment expenses principally on the landfill and contract lines of business. Therefore, there has been a \$1.97 million favorable prior year development since December 31, 2018 to September 30, 2019. The decrease is the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. None of the decrease the Company experienced was due to retrospectively rated policies.

B. Information about Significant Changes in Methodologies and Assumptions

Note 26 – Intercompany Pooling Arrangements

No significant changes

Note 27 – Structured Settlements

NOTES TO FINANCIAL STATEMENTS

No significant changes

Note 28 – Health Care Receivables

No significant changes

Note 29 – Participating Policies

No significant changes

Note 30 – Premium Deficiency Reserves

No significant changes

Note 31 – High Deductibles

No significant changes

Note 32 – Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses

No significant changes

Note 33 – Asbestos/Environmental Reserves

No significant changes

Note 34 – Subscriber Savings Accounts

No significant changes

Note 35 – Multiple Peril Crop Insurance

No significant changes

Note 36 – Financial Guaranty Insurance

Not Applicable

EVERGREEN NATIONAL INDEMNITY COMPANY
GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [☐] No [☒ X]

1.2

If yes, has the report been filed with the domiciliary state?

Yes [☐] No [☐]

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [☐] No [☒ X]

2.2

If yes, date of change:

3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?
If yes, complete Schedule Y, Parts 1 and 1A.

Yes [☒ X] No [☐]

3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [☐] No [☒ X]

3.3

If the response to 3.2 is yes, provide a brief description of those changes.

3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes [☐] No [☒ X]

3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.

Yes [☐] No [☒ X]

4.2

If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation.

Yes [☐] No [☒ X] N/A [☐]

6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2014

6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2014

6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

05/19/2016

6.4

By what department or departments?
OHIO DEPARTMENT OF INSURANCE

6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [☐] No [☐] N/A [☒ X]

6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [☒ X] No [☐] N/A [☐]

7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [☐] No [☒ X]

7.2

If yes, give full information:

8.1

Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board?

Yes [☐] No [☒ X]

8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [☐] No [☒ X]

8.4

If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes [☒ X] No [☐]

9.11

If the response to 9.1 is No, please explain:

9.2

Has the code of ethics for senior managers been amended?

Yes [☐] No [☒ X]

9.21

If the response to 9.2 is Yes, provide information related to amendment(s).

9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [☐] No [☒ X]

9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

EVERGREEN NATIONAL INDEMNITY COMPANY

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [☐] No [☒ X]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$

0

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [☐] No [☒ X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$

0

13. Amount of real estate and mortgages held in short-term investments:

\$

0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [☐] No [☒ X]

14.2 If yes, please complete the following:

	1 Prior Year End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0	\$ 0
14.22 Preferred Stock	0	0
14.23 Common Stock	0	0
14.24 Short-Term Investments	0	0
14.25 Mortgage Loans on Real Estate	0	0
14.26 All Other	0	0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 0	\$ 0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ 0	\$ 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [☐] No [☒ X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?

Yes [☐] No [☐]

If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2:

\$

0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2:

\$

0

16.3 Total payable for securities lending reported on the liability page:

\$

0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*?

Yes [☒ X] No [☐]

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Huntington Bank	7 Easton Oval, Columbus, OH 43219

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?

Yes [☐] No [☒ X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["...that have access to the investment accounts", "handle securities"].

1 Name of Firm or Individual	2 Affiliation
NFC INVESTMENTS, LLC	U

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's assets?

Yes [☒ X] No [☐]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets?

Yes [☒ X] No [☐]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
132844	NFC INVESTMENTS, LLC		SEC	DS

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed?

Yes [☒ X] No [☐]

18.2 If no, list exceptions:

EVERGREEN NATIONAL INDEMNITY COMPANY
GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

19.

By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
b. Issuer or obligor is current on all contracted interest and principal payments.
c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
Has the reporting entity self-designated 5GI securities?

Yes [X] No []
20.

By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:
a. The security was purchased prior to January 1, 2018.
b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
Has the reporting entity self-designated PLGI securities?

Yes [X] No []

EVERGREEN NATIONAL INDEMNITY COMPANY
GENERAL INTERROGATORIES (continued)

PART 2 – PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [] No [] N/A [X]
If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [] No [X]
If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [] No [X]
3.2 If yes, give full and complete information thereto:

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes [] No [X]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Disc. Rate	Total Discount				Discount Taken During Period			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 Total	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 Total
	0.000	0.000	0	0	0	0	0	0	0	0
Total	XXX	XXX	0	0	0	0	0	0	0	0

5. Operating Percentages:

5.1 A&H loss percent

5.2 A&H cost containment percent

5.3 A&H expense percent excluding cost containment expenses

0.000%

0.000%

0.000%

6.1 Do you act as a custodian for health savings accounts? Yes [] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ 0

6.3 Do you act as an administrator for health savings accounts? Yes [] No [X]

6.4 If yes, please provide the amount of funds administered as of the reporting date. \$ 0

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No []

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [] No []

EVERGREEN NATIONAL INDEMNITY COMPANY
SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

1	2	3	4	5	6	7
NAIC Company Code	ID Number	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurer	Certified Reinsurer Rating (1 through 6)	Effective Date of Certified Reinsurer Rating

NONE

EVERGREEN NATIONAL INDEMNITY COMPANY
SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN
Current Year to Date - Allocated by States and Territories

States, Etc.		1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
			2 Current Year to Date	3 Prior Year to Date	4 Current Year to Date	5 Prior Year to Date	6 Current Year to Date	7 Prior Year to Date
1.	Alabama.....AL	...L...	347,675	239,027	16,632	5,204	198,420	79,874
2.	Alaska.....AK	...L...	100	600			171	767
3.	Arizona.....AZ	...L...	170,552	120,208	16,777		78,455	46,502
4.	Arkansas.....AR	...L...	154,858	175,448	14,000	6,794	40,741	63,909
5.	California.....CA	...L...	1,708,288	1,650,325			791,434	762,672
6.	Colorado.....CO	...L...	161,516	157,394		368	52,220	58,069
7.	Connecticut.....CT	...L...	236,101	235,268	315	707	228,753	148,803
8.	Delaware.....DE	...L...	271,988	53,612	95,127	6,998	(9,371)	12,589
9.	District of Columbia.....DC	...L...	18,766	90,046			26,930	8,104
10.	Florida.....FL	...L...	822,005	590,957	156,384	13,297	156,532	236,114
11.	Georgia.....GA	...L...	1,504,404	336,176	463,746	39,305	69,344	106,513
12.	Hawaii.....HI	...N...						
13.	Idaho.....ID	...L...		1,465				546
14.	Illinois.....IL	...L...	1,032,775	786,283	140,013	12,278	481,502	283,024
15.	Indiana.....IN	...L...	1,367,367	1,218,011	44,758	13,867	449,141	455,650
16.	Iowa.....IA	...L...	301,721	244,176	57,785	2,412	60,099	176,405
17.	Kansas.....KS	...L...	255,857	69,018	93,325		24,671	25,672
18.	Kentucky.....KY	...L...	460,045	349,840	441,377	511,972	4,235,851	4,389,493
19.	Louisiana.....LA	...L...	780,774	812,592		32	284,462	306,433
20.	Maine.....ME	...L...	373,967	376,844			134,917	140,366
21.	Maryland.....MD	...L...	200,943	183,178	40,614	13,012	83,776	60,455
22.	Massachusetts.....MA	...L...	524,620	466,442			194,524	181,632
23.	Michigan.....MI	...L...	1,419,132	1,247,325	34,875		474,959	464,601
24.	Minnesota.....MN	...L...	363,270	96,815	123,998		27,462	36,056
25.	Mississippi.....MS	...L...	265,888	229,092	8,500	11,777	82,296	85,195
26.	Missouri.....MO	...L...	425,419	340,875	82,200	43,347	694,175	819,666
27.	Montana.....MT	...L...	68,044	34,557			23,598	13,152
28.	Nebraska.....NE	...L...	174,392	108,561	24,764		36,242	40,425
29.	Nevada.....NV	...L...	4,067	2,774			761	1,381
30.	New Hampshire.....NH	...L...	383,609	360,273			138,366	134,447
31.	New Jersey.....NJ	...L...	205,177	159,746	133,641	56,027	483	56,215
32.	New Mexico.....NM	...L...	57,813	71,953	2,496		22,039	23,107
33.	New York.....NY	...L...	1,054,081	1,292,199	879	4,872	428,757	602,112
34.	North Carolina.....NC	...E...	225,387	177,104	92,866	65,352	14,049	31,872
35.	North Dakota.....ND	...L...	15,823	9,632	10,509		7,523	3,547
36.	Ohio.....OH	...L...	3,376,123	3,453,094	17,405	750	1,643,253	1,726,838
37.	Oklahoma.....OK	...L...	425,135	312,059	34,628		113,984	118,343
38.	Oregon.....OR	...L...	323,127	312,884			109,143	109,559
39.	Pennsylvania.....PA	...L...	3,415,938	3,153,966	221,223	103,811	1,021,111	1,138,687
40.	Rhode Island.....RI	...L...	100	100			36	37
41.	South Carolina.....SC	...L...	649,561	438,177	147,827	409,563	602,556	669,976
42.	South Dakota.....SD	...L...	13,104	3,631	4,274		2,296	1,352
43.	Tennessee.....TN	...L...	673,390	496,721	69,871	2,021	180,454	181,407
44.	Texas.....TX	...L...	983,065	711,844	43,156		302,267	337,231
45.	Utah.....UT	...L...	39,423	36,173			15,451	14,138
46.	Vermont.....VT	...L...	276,381	295,799			215,534	194,806
47.	Virginia.....VA	...L...	1,644,416	1,233,303	96,312		816,365	840,730
48.	Washington.....WA	...L...	101,632	253,455			45,654	226,623
49.	West Virginia.....WV	...E...						
50.	Wisconsin.....WI	...L...	1,447,836	1,499,591			521,987	558,522
51.	Wyoming.....WY	...L...	2,964	(16,821)			863	(6,543)
52.	American Samoa.....AS	...N...						
53.	Guam.....GU	...N...						
54.	Puerto Rico.....PR	...N...						
55.	US Virgin Islands.....VI	...N...						
56.	Northern Mariana Islands.....MP	...N...						
57.	Canada.....CAN	...N...						
58.	Aggregate Other Alien.....OT	...XXX...	0	0	0	0	0	0
59.	Totals.....	...XXX...	28,728,619	24,471,792	2,730,277	1,323,766	15,124,236	15,967,074

DETAILS OF WRITE-INS

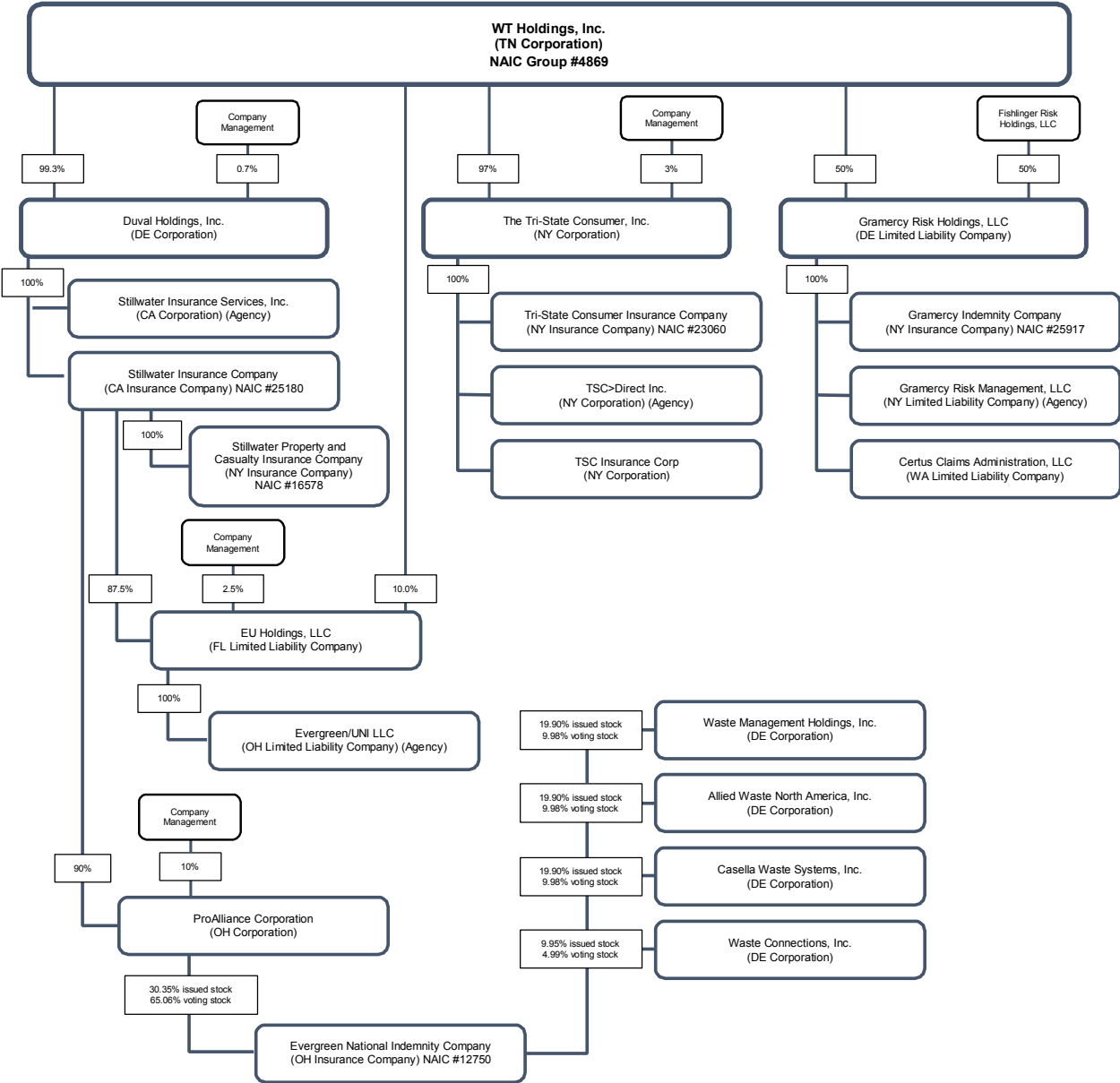
58001.	...XXX...						
58002.	...XXX...						
58003.	...XXX...						
58998. Summary of remaining write-ins for Line 58 from overflow page....	...XXX...	0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003+ Line 58998) (Line 58 above).....	...XXX...	0	0	0	0	0	0

(a) Active Status Count

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....	48	R - Registered - Non-domiciled RRGs.....	0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - See DSLI).....	2	Q - Qualified - Qualified or accredited reinsurer.....	0
D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile.....	0	N - None of the above - Not allowed to write business in the state.....	7

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
Members															
4869	WT Holdings Group.....	00000...	86-0843596..				Allied Waste North America, Inc.....	DE.....	OTH.....	Republic Services, Inc.....	Ownership.....	1.000	N/A public entity.....		
4869	WT Holdings Group.....	00000...	03-0338873..		911177	NASDAC.....	Cassella Waste Systems, Inc.....	DE.....	OTH.....	N/A public entity.....	N/A.....	N/A.....	N/A public entity.....		
4869	WT Holdings Group.....	00000...	91-2130177..				Certus Claims Administration, LLC.....	WA.....	NIA.....	Gramercy Risk Holdings, LLC.....	Ownership, Board	1.000	WT Holdings, Inc./ Matthew Fishlinger.....		
4869	WT Holdings Group.....	00000...	38-3865632..				Duval Holdings, Inc.....	DE.....	UIP.....	WT Holdings, Inc.....	Ownership, Board, Mgmt	0.993	WT Holdings, Inc.....		
4869	WT Holdings Group.....	00000...	46-4581025..				EU Holdings, LLC.....	FL.....	NIA.....	Stillwater Insurance Company.....	Ownership.....	0.875	WT Holdings, Inc.....		
4869	WT Holdings Group.....	00000...	46-4581025..				EU Holdings, LLC.....	FL.....	NIA.....	WT Holdings, Inc.....	Ownership.....	0.100	WT Holdings, Inc.....		
4869	WT Holdings Group.....	12750...	36-2467238..				Evergreen National Indemnity Company.....	OH.....	RE.....	Pro-Alliance Corporation.....	Ownership.....	0.651	WT Holdings, Inc.....		
4869	WT Holdings Group.....	12750...	36-2467238..				Evergreen National Indemnity Company.....	OH.....	RE.....	Waste Management Holdings, Inc.....	Ownership.....	0.100	Waste Management, Inc.....	**	
4869	WT Holdings Group.....	12750...	36-2467238..				Evergreen National Indemnity Company.....	OH.....	RE.....	Allied Waste North America, Inc.....	Ownership.....	0.100	Republic Services, Inc.....	**	
4869	WT Holdings Group.....	12750...	36-2467238..				Evergreen National Indemnity Company.....	OH.....	RE.....	Cassella Waste Systems, Inc.....	Ownership.....	0.100	N/A public entity.....		
4869	WT Holdings Group.....	12750...	36-2467238..				Evergreen National Indemnity Company.....	OH.....	RE.....	Waste Connections, Inc.....	Ownership.....	0.050	N/A public entity.....		
4869	WT Holdings Group.....	00000...	46-4545990..				Evergreen/UNI, LLC.....	OH.....	NIA.....	EU Holdings, LLC.....	Ownership.....	1.000	WT Holdings, Inc.....		
4869	WT Holdings Group.....	00000...	47-1171079..				Fishlinger Risk Holdings, LLC.....	NY.....	OTH.....	William J. Fishlinger Family Trust #2.....	Ownership, Board	1.000	Matthew Fishlinger.....		
4869	WT Holdings Group.....	25917...	13-2741040..				Gramercy Indemnity Company.....	NY.....	IA.....	Gramercy Risk Holdings, LLC.....	Ownership, Board	1.000	WT Holdings, Inc.....		
4869	WT Holdings Group.....	00000...	82-3800657..				Gramercy Risk Holdings, LLC.....	DE.....	NIA.....	WT Holdings, Inc.....	Ownership, Board	0.500	WT Holdings, Inc.....		
4869	WT Holdings Group.....	00000...	82-3800657..				Gramercy Risk Holdings, LLC.....	DE.....	NIA.....	Fishlinger Risk Holdings, LLC.....	Ownership, Board	0.500	Matthew Fishlinger.....		
4869	WT Holdings Group.....	00000...	38-3937473..				Gramercy Risk Management, LLC.....	NY.....	NIA.....	Gramercy Risk Holdings, LLC.....	Ownership, Board	1.000	WT Holdings, Inc./ Matthew Fishlinger.....		
4869	WT Holdings Group.....	00000...	20-1048841..				Pro-Alliance Corporation.....	OH.....	UDP.....	Stillwater Insurance Company.....	Ownership.....	0.900	WT Holdings, Inc.....		
4869	WT Holdings Group.....	25180...	68-0266416..				Stillwater Insurance Company.....	CA.....	UIP.....	Duval Holdings, Inc.....	Ownership, Board, Mgmt	1.000	WT Holdings, Inc.....		
4869	WT Holdings Group.....	00000...	71-0900874..				Stillwater Insurance Services, Inc.....	CA.....	NIA.....	Duval Holdings, Inc.....	Ownership, Board, Mgmt	1.000	WT Holdings, Inc.....		
4869	WT Holdings Group.....	16578...	16-0986300..				Stillwater Property & Casualty Insurance Company	NY.....	IA.....	Stillwater Insurance Company.....	Ownership, Board, Mgmt	1.000	WT Holdings, Inc.....		
4869	WT Holdings Group.....	00000...	11-2339905..				The Tri-State Consumer Inc.....	NY.....	NIA.....	WT Holdings, Inc.....	Ownership.....	0.970	WT Holdings, Inc.....		
4869	WT Holdings Group.....	23060...	11-2729262..				Tri-State Consumer Insurance Company.....	NY.....	IA.....	The Tri-State Consumer Inc.....	Ownership.....	1.000	WT Holdings, Inc.....		
4869	WT Holdings Group.....	00000...	26-4642498..				TSC Insurance Corp.....	NY.....	NIA.....	The Tri-State Consumer Inc.....	Ownership.....	1.000	WT Holdings, Inc.....		

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
4869	WT Holdings Group.....	00000...	26-3464393..				TSC>Direct, Inc.....	NY.....	NIA.....	The Tri-State Consumer Inc.....	Ownership.....	1.000	WT Holdings, Inc.....		
4869	WT Holdings Group.....	00000...	94-3283464..		1057058	NYSE.....	Waste Connections, Inc.....	DE.....	OTH.....	N/A public entity.....	N/A.....	N/A.....	N/A public entity.....		
4869	WT Holdings Group.....	00000...	73-1039529..				Waste Management Holdings, Inc.....	DE.....	OTH.....	Waste Management, Inc.....	Ownership.....	1.000	N/A public entity.....		
4869	WT Holdings Group.....	00000...	26-2099042..		1546636	none.....	WT Holdings, Inc.....	TN.....	UIP.....	Various Investors.....	Ownership, Board, Mgmt	1.000			*.....

EVERGREEN NATIONAL INDEMNITY COMPANY
PART 1 - LOSS EXPERIENCE

Lines of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire.....			0.000	
2. Allied lines.....			0.000	
3. Farmowners multiple peril.....			0.000	
4. Homeowners multiple peril.....			0.000	
5. Commercial multiple peril.....			0.000	
6. Mortgage guaranty.....			0.000	
8. Ocean marine.....			0.000	
9. Inland marine.....			0.000	
10. Financial guaranty.....			0.000	
11.1. Medical professional liability - occurrence.....			0.000	
11.2. Medical professional liability - claims-made.....			0.000	
12. Earthquake.....			0.000	
13. Group accident and health.....			0.000	
14. Credit accident and health.....			0.000	
15. Other accident and health.....			0.000	
16. Workers' compensation.....		(293,812)	0.000	
17.1. Other liability-occurrence.....			0.000	
17.2. Other liability-claims made.....			0.000	
17.3. Excess workers' compensation.....			0.000	
18.1. Products liability-occurrence.....			0.000	
18.2. Products liability-claims made.....			0.000	
19.1, 19.2. Private passenger auto liability.....			0.000	
19.3, 19.4. Commercial auto liability.....			0.000	
21. Auto physical damage.....			0.000	
22. Aircraft (all perils).....			0.000	
23. Fidelity.....			0.000	
24. Surety.....	25,001,146	2,148,566	8.594	7.583
26. Burglary and theft.....			0.000	
27. Boiler and machinery.....			0.000	
28. Credit.....			0.000	
29. International.....			0.000	
30. Warranty.....	56,840		0.000	
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	.0	.0	0.000	
35. Totals.....	25,057,986	1,854,754	7.402	7.273
DETAILS OF WRITE-INS				
3401.			0.000	
3402.			0.000	
3403.			0.000	
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	.0	.0	0.000	XXX
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	.0	.0	0.000	

PART 2 - DIRECT PREMIUMS WRITTEN

Lines of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1. Fire.....			
2. Allied lines.....			
3. Farmowners multiple peril.....			
4. Homeowners multiple peril.....			
5. Commercial multiple peril.....			
6. Mortgage guaranty.....			
8. Ocean marine.....			
9. Inland marine.....			
10. Financial guaranty.....			
11.1. Medical professional liability - occurrence.....			
11.2. Medical professional liability - claims made.....			
12. Earthquake.....			
13. Group accident and health.....			
14. Credit accident and health.....			
15. Other accident and health.....			
16. Workers' compensation.....			
17.1. Other liability-occurrence.....			
17.2. Other liability-claims made.....			
17.3. Excess workers' compensation.....			
18.1. Products liability-occurrence.....			
18.2. Products liability-claims made.....			
19.1 19.2. Private passenger auto liability.....			
19.3 19.4. Commercial auto liability.....			
21. Auto physical damage.....			
22. Aircraft (all perils).....			
23. Fidelity.....			
24. Surety.....	12,400,620	28,663,964	24,403,072
26. Burglary and theft.....			
27. Boiler and machinery.....			
28. Credit.....			
29. International.....			
30. Warranty.....	37,790	64,655	68,720
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	.0	.0	.0
35. Totals.....	12,438,410	28,728,619	24,471,792
DETAILS OF WRITE-INS			
3401.			
3402.			
3403.			
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	.0	.0	.0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	.0	.0	.0

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanation:

1.

The data for this supplement is not required to be filed.
2.

The data for this supplement is not required to be filed.
3.

The data for this supplement is not required to be filed.
4.

The data for this supplement is not required to be filed.

Bar Code:



EVERGREEN NATIONAL INDEMNITY COMPANY
Overflow Page for Write-Ins

NONE

EVERGREEN NATIONAL INDEMNITY COMPANY
SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	365,932	1,669,366
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Current year change in encumbrances.....		
4. Total gain (loss) on disposals.....		
5. Deduct amounts received on disposals.....	320,191	1,303,434
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other-than-temporary impairment recognized.....		
8. Deduct current year's depreciation.....		
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	45,741	365,932
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....	45,741	365,932

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	664,696	664,696
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and mortgage interest points and commitment fees.....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8-9-10).....	664,696	664,696
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	664,696	664,696
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	664,696	664,696

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	2,544,898	2,889,718
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	1,256,501	25,000
2.2 Additional investment made after acquisition.....	125,000	194,814
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....	385,103	(81,699)
6. Total gain (loss) on disposals.....	(500)	66,049
7. Deduct amounts received on disposals.....	322,625	548,984
8. Deduct amortization of premium and depreciation.....	93,589	
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8-9-10).....	3,894,789	2,544,898
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	3,894,789	2,544,898

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	39,253,676	38,144,562
2. Cost of bonds and stocks acquired.....	7,718,555	12,019,020
3. Accrual of discount.....	51,178	103,397
4. Unrealized valuation increase (decrease).....	2,222,685	(1,038,381)
5. Total gain (loss) on disposals.....	147,885	(387,035)
6. Deduct consideration for bonds and stocks disposed of.....	5,950,874	9,500,112
7. Deduct amortization of premium.....	47,872	87,776
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7-8-9+10).....	43,395,233	39,253,676
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	43,395,233	39,253,676

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

	1	2	3	4	5	6	7	8
NAIC Designation	Book/Adjusted Carrying Value Beginning of Current Quarter	Acquisitions During Current Quarter	Dispositions During Current Quarter	Non-Trading Activity During Current Quarter	Book/Adjusted Carrying Value End of First Quarter	Book/Adjusted Carrying Value End of Second Quarter	Book/Adjusted Carrying Value End of Third Quarter	Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a).....	14,856,327	1,532,225	910,872	(67,358)	14,540,348	14,856,327	15,410,322	14,894,862
2. NAIC 2 (a).....	6,479,590	570,269	665,904	68,703	5,839,791	6,479,590	6,452,659	5,942,204
3. NAIC 3 (a).....	3,470,734		135,000	3,313	3,471,856	3,470,734	3,339,047	2,993,247
4. NAIC 4 (a).....	1,138,443			15,430	865,500	1,138,443	1,153,873	868,370
5. NAIC 5 (a).....	523,756			1	522,294	523,756	523,757	516,479
6. NAIC 6 (a).....	306,976	100,000		(107)	301,267	306,976	406,869	337,326
7. Total Bonds.....	26,775,826	2,202,494	1,711,776	19,983	25,541,056	26,775,826	27,286,527	25,552,489
PREFERRED STOCK								
8. NAIC 1.....	748,415			(498,109)	250,523	748,415	250,306	250,629
9. NAIC 2.....	1,663,505	249,688		22,808	2,005,800	1,663,505	1,936,001	1,815,725
10. NAIC 3.....	3,015,719		18,865	2,369	3,004,169	3,015,719	2,999,223	2,917,182
11. NAIC 4.....							0	
12. NAIC 5.....				500,000			500,000	
13. NAIC 6.....	15,100				15,100	15,100	15,100	15,100
14. Total Preferred Stock.....	5,442,739	249,688	18,865	27,068	5,275,592	5,442,739	5,700,630	4,998,637
15. Total Bonds and Preferred Stock.....	32,218,566	2,452,181	1,730,641	47,051	30,816,648	32,218,566	32,987,157	30,551,125

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

Sch. DA - Pt. 1
NONE

Sch. DA - Verification
NONE

Sch. DB - Pt. A - Verification
NONE

Sch. DB - Pt. B - Verification
NONE

Sch. DB - Pt. C - Sn. 1
NONE

Sch. DB - Pt. C - Sn. 2
NONE

Sch. DB - Verification
NONE

EVERGREEN NATIONAL INDEMNITY COMPANY

SCHEDULE E - PART 2 - VERIFICATION

Cash Equivalents

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	844,899	893,702
2. Cost of cash equivalents acquired.....	9,735,691	10,753,856
3. Accrual of discount.....		
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....	9,769,493	10,802,659
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/ adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	811,098	844,899
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	811,098	844,899

SCHEDULE A - PART 2

Showing all Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	Location		4	5	6	7	8	9
	2	3						
Description of Property	City	State	Date Acquired	Name of Vendor	Actual Cost at Time of Acquisition	Amount of Encumbrances	Book/Adjusted Carrying Value Less Encumbrances	Additional Investment Made After Acquisition

NONE

SCHEDULE A - PART 3

Showing all Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract "

1	Location		4	5	6	7	8	Change in Book/Adjusted Carrying Value Less Encumbrances					14	15	16	17	18	19	20
	2	3						9	10	11	12	13							
Description of Property	City	State	Disposal Date	Name of Purchaser	Actual Cost	Expended for Additions, Permanent Improvements and Changes in Encumbrances	Book/Adjusted Carrying Value Less Encumbrances Prior Year	Current Year's Depreciation	Current Year's Other-Than-Temporary Impairment Recognized	Current Year's Change in Encumbrances	Total Change in B./A.C.V. (11 - 9 - 10)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value Less Encumbrances on Disposal	Amounts Received During Year	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Gross Income Earned Less Interest Incurred on Encumbrances	Taxes, Repairs, and Expenses Incurred
Property Disposed																			
Village Glen Subdivision Phase 1 - Sublot 33	Madison Village.....	OH.	07/12/2019	K. Hovnanian Village Glenn, LLC.....	22,870						0		22,870	22,870			0		
Village Glen Subdivision Phase 1 - Sublot 54	Madison Village.....	OH.	07/12/2019	K. Hovnanian Village Glenn, LLC.....	22,871						0		22,871	22,871			0		
Village Glen Subdivision Phase 1 - Sublot 63	Madison Village.....	OH.	09/20/2019	K. Hovnanian Village Glenn, LLC.....	22,870						0		22,871	22,871			0		
Village Glen Subdivision Phase 1 - Sublot 50	Madison Village.....	OH.	08/23/2019	K. Hovnanian Village Glenn, LLC.....	22,871						0		22,871	22,871			0		
Village Glen Subdivision Phase 1 - Sublot 64	Madison Village.....	OH.	08/23/2019	K. Hovnanian Village Glenn, LLC.....	22,871						0		22,871	22,871			0		
0199999. Totals.....					114,353	0	0	0	0	0	0	0	114,354	114,354	0	0	0	0	0
0399999. Totals.....					114,353	0	0	0	0	0	0	0	114,354	114,354	0	0	0	0	0

QE01

SCHEDULE B - PART 2

Showing all Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	Location		4	5	6	7	8	9
	2	3						
Loan Number	City	State	Loan Type	Date Acquired	Rate of Interest	Actual Cost at Time of Acquisition	Additional Investment Made After Acquisition	Value of Land and Buildings

NONE

QE02

SCHEDULE B - PART 3

Showing all Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8 + 9 - 10 + 11)	Total Foreign Exchange Change in Book Value	Book Value / Recorded Investment Excluding Accrued Interest on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal

NONE

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	2		Location		5	6	7	8	9	10	11	12	13
			3	4									
CUSIP Identification	Name or Description		City	State	Name of Vendor or General Partner	NAIC Designation and Administrative Symbol/Market Indicator	Date Originally Acquired	Type and Strategy	Actual Cost at Time of Acquisition	Additional Investment Made after Acquisition	Amount of Encumbrances	Commitment for Additional Investment	Percentage of Ownership
Joint Venture or Partnership Interests That Have Underlying Characteristics of Other - Unaffiliated													
000000 00 0	ESO VENTURE FUND II, LP.....			USA.	ESO VENTURE FUND II, LP.....		10/08/2014....			25,000			
000000 00 0	Active Implants.....			USA.	Active Implants.....		08/27/2019....		79,001				
2199999. Total - Joint Venture or Partnership Interests That Have Underlying Characteristics of Other - Unaffiliated.....									79,001	25,000	.0	.0	XXX.....
4499999. Subtotal - Unaffiliated.....									79,001	25,000	.0	.0	XXX.....
4699999. Totals.....									79,001	25,000	.0	.0	XXX.....

QE03

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Changes in Book/Adjusted Carrying Value						15	16	17	18	19	20	
		3	4					9	10	11	12	13	14							
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Depreciation) or (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in B./A.C.V. (9+10-11+12)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value Less Encumbrances on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Investment Income	
Joint Venture or Partnership Interests That Have Underlying Characteristics of Common Stocks - Unaffiliated																				
000000 00 0	FRONTRANGE LRC TRANCHE A, LP.....		USA	Return of Capital.....	05/31/2019	09/06/2019							0		6,527	6,527			0	6,527
1599999. Total - Joint Venture or Partnership Interests That Have Underlying Characteristics of Common Stocks - Unaffiliated.....							0	0	0	0	0	0	0	6,527	6,527	0	0	0	6,527	
Joint Venture or Partnership Interests That Have Underlying Characteristics of Other - Unaffiliated																				
000000 00 0	ESO VENTURE FUND II, LP.....		USA	Return of Capital.....	10/08/2014	09/13/2019	4,929					0		5,401	5,401			0	5,401	
000000 00 0	ESO Venture Fund III, LP.....		USA	Return of Capital.....	03/31/2017	09/13/2019	618					0		1,137	1,137			0	1,137	
000000 00 0	NFC Green Ventures, LLC.....		USA	NFC Green Ventures, LLC.....	08/11/2017	09/27/2019						0		500			(500)	(500)	(24,290)	
2199999. Total - Joint Venture or Partnership Interests That Have Underlying Characteristics of Other - Unaffiliated.....							5,547	0	0	0	0	0	7,038	6,538	0		(500)	(500)	(17,752)	
4499999. Subtotal - Unaffiliated.....							5,547	0	0	0	0	0	13,565	13,065	0		(500)	(500)	(11,225)	
4699999. Totals.....							5,547	0	0	0	0	0	13,565	13,065	0		(500)	(500)	(11,225)	

SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2			3	4	5	6	7	8	9	10
CUSIP Identification	Description			Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol/Market Indicator (a)
Bonds - U.S. Special Revenue and Special Assessment											
74529J RH 0	PUERTO RICO SALES TAX FING CORP SALES TA.....				08/05/2019.....	Searle & CO.....		282,225	284,000	171	
3199999.	Total - Bonds - U.S. Special Revenue and Special Assessments.....							282,225	284,000	171	XXX.....
Bonds - Industrial and Miscellaneous											
02007G KP 7	Ally Bank Corp.....				07/02/2019.....	National Financial Securities.....		100,000	100,000		6FE.....
05581W Z3 2	BMO Harris Bank National Association.....			C.....	08/19/2019.....	National Financial Securities.....		125,000	125,000		1FE.....
06051V J3 1	Bank of America, National Association.....				07/02/2019.....	National Financial Securities.....		150,000	150,000		1FE.....
064657 BA 6	The Bank of Romney.....				07/02/2019.....	National Financial Securities.....		150,000	150,000		
29278T JR 2	Enerbank USA Inc.....				07/02/2019.....	National Financial Securities.....		150,000	150,000		
38149M FD 0	Goldman Sachs Bank USA.....				08/19/2019.....	National Financial Securities.....		175,000	175,000		1FE.....
45385J AD 0	Independent Bank.....				08/19/2019.....	National Financial Securities.....		125,000	125,000		
59101L GY 7	MetaBank.....				07/02/2019.....	National Financial Securities.....		125,000	125,000		
61760A U6 4	Morgan Stanley Private Bank, National As.....				08/19/2019.....	National Financial Securities.....		75,000	75,000		1FE.....
795450 4E 2	Sallie Mae Bank.....				09/20/2019.....	National Financial Securities.....		50,000	50,000		2FE.....
84470P AC 3	SOUTHSIDE BANCSHARES INC.....				09/23/2019.....	Stifel Nicolaus & Co.....		520,269	500,000	13,368	2FE.....
909557 JG 6	United Bankers' Bank.....				07/02/2019.....	National Financial Securities.....		175,000	175,000		
3899999.	Total - Bonds - Industrial and Miscellaneous.....							1,920,269	1,900,000	13,368	XXX.....
8399997.	Total - Bonds - Part 3.....							2,202,494	2,184,000	13,539	XXX.....
8399999.	Total - Bonds.....							2,202,494	2,184,000	13,539	XXX.....
Preferred Stocks - Industrial and Miscellaneous											
054937 AL 1	BB&T CORP.....				07/23/2019.....	Stifel Nicolaus & Co.....	250,000.000	249,688			P2FEV.....
8499999.	Total - Preferred Stocks - Industrial and Miscellaneous.....							249,688	XXX	0	XXX.....
8999997.	Total - Preferred Stocks - Part 3.....							249,688	XXX	0	XXX.....
8999999.	Total - Preferred Stocks.....							249,688	XXX	0	XXX.....
Common Stocks - Industrial and Miscellaneous											
75972A 30 1	RENEWABLE ENERGY GROUP ORD.....				08/19/2019.....	HILLTOP SECURITIES INC.....	26,000.000	299,033	XXX		L.....
9099999.	Total - Common Stocks - Industrial and Miscellaneous.....							299,033	XXX	0	XXX.....
Common Stocks - Mutual Funds											
74676P 75 5	PUTNAM ULT SHT DUR I A.....			C.....	09/03/2019.....	Unknown.....	(264.831)	1,269	XXX		L.....
89148B 10 1	TORTOISE MIDSTREAM ENRGY.....				09/06/2019.....	HILLTOP SECURITIES INC.....	17,000.000	204,508	XXX		L.....
9299999.	Total - Common Stocks - Mutual Funds.....							205,777	XXX	0	XXX.....
9799997.	Total - Common Stocks - Part 3.....							504,810	XXX	0	XXX.....
9799999.	Total - Common Stocks.....							504,810	XXX	0	XXX.....
9899999.	Total - Preferred and Common Stocks.....							754,497	XXX	0	XXX.....
9999999.	Total - Bonds, Preferred and Common Stocks.....							2,956,991	XXX	13,539	XXX.....

(a) For all common stock bearing NAIC market indicator "U" provide the number of such issues:.....0.

QE04

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2			3	4	5	6	7	8	9	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
	11	12	13								14	15											
CUSIP Identification	Description			F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than- Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Admini- strative Symbol/ Market Indicator (a)
Bonds - U.S. Government																							
38374B	LQ	4	GNR 0360D MA - CMO/RMBS.....	..	09/01/2019.	Paydown.....		422	422	408	417		1		1		417		5	5	4	07/16/2033.	1.....
0599999.	Total - Bonds - U.S. Government.....							422	422	408	417	0	1	0	1	0	417	0	5	5	4	XXX	XXX
Bonds - U.S. Special Revenue and Special Assessment																							
3137BP	D2	2	FHR 4583D IT - CMO/RMBS.....	..	09/01/2019.	Direct.....				3,006	2,471				0		2,351		(2,351)	(2,351)		05/15/2031.	1.....
3137BP	D4	8	FHR 4583C JI - CMO/RMBS.....	..	09/01/2019.	Direct.....				4,392	2,838				0		2,436		(2,436)	(2,436)		05/15/2031.	1.....
494762	LW	5	KING CNTY WASH HSG AUTH REV.....	..	07/02/2019.	FIRST TENNESSEE BANK N.A. MEMPHIS		259,718	250,000	243,815	243,952		111		111		244,063		15,655	15,655	5,931	05/01/2038.	1FE.....
60637B	PX	2	MISSOURI ST HSG DEV COMMN SINGLE FAMILY	..	09/03/2019.	Call @ 100.00.....		5,000	5,000	5,000	5,000				0		5,000			0	94	11/01/2042.	1FE.....
91754T	PR	8	UTAH ST CHARTER SCH FIN AUTH CHARTER SCH	..	09/03/2019.	FIRST TENNESSEE BANK BOND DIVI		101,430	100,000	96,173	96,618		112		112		96,730		4,700	4,700	2,667	10/15/2034.	1FE.....
3199999.	Total - Bonds - U.S. Special Revenue and Special Assessments.....							366,148	355,000	352,386	350,879	0	223	0	223	0	350,580	0	15,568	15,568	8,691	XXX	XXX
Bonds - Industrial and Miscellaneous																							
31034U	AC	2	Farmers State Bank (Northwood, IA).....	..	09/20/2019.	Maturity @ 100.00.....		50,000	50,000	50,000	50,000				0		50,000			0	689	09/20/2019.	
70469X	AC	8	PEAKS 2 B - CDO.....	C	08/09/2019.	Paydown.....		500,000	500,000	507,655	510,542		(667)		(667)		509,875		(9,875)	(9,875)	23,384	07/20/2029.	1FE.....
78444Y	AD	7	SLMA 085 A4 - ABS.....	..	08/01/2019.	Paydown.....		3,890	3,890	3,916	3,906		(2)		(2)		3,904		(14)	(14)	127	07/25/2023.	2FE.....
3899999.	Total - Bonds - Industrial and Miscellaneous.....							553,890	553,890	561,571	564,448	0	(669)	0	(669)	0	563,779	0	(9,889)	(9,889)	24,201	XXX	XXX
Bonds - Hybrid Securities																							
060505	EG	5	BANK OF AMERICA CORP.....	..	08/02/2019.	Call @ 100.00.....		431,000	431,000	432,616	431,175		(175)		(175)		431,000			0	13,796	12/29/2049.	2FE.....
38148B	AA	6	GOLDMAN SACHS GROUP INC.....	..	07/12/2019.	Call @ 100.00.....		135,000	135,000	136,856	131,807	3,350	(157)		3,193		135,000			0	4,258	12/29/2049.	3FE.....
949746	PM	7	WELLS FARGO & CO.....	..	09/16/2019.	Redemption @ 100.00.....		231,000	231,000	261,896	231,000				0		231,000			0	11,161	12/29/2049.	2FE.....
4899999.	Total - Bonds - Hybrid Securities.....							797,000	797,000	831,369	793,983	3,350	(332)	0	3,017	0	797,000	0	0	0	29,215	XXX	XXX
8399997.	Total - Bonds - Part 4.....							1,717,460	1,706,312	1,745,733	1,709,726	3,350	(778)	0	2,572	0	1,711,776	0	5,684	5,684	62,111	XXX	XXX
8399999.	Total - Bonds.....							1,717,460	1,706,312	1,745,733	1,709,726	3,350	(778)	0	2,572	0	1,711,776	0	5,684	5,684	62,111	XXX	XXX
Preferred Stocks - Industrial and Miscellaneous																							
38144X	50	0	GOLDMAN SACHS GROUP INC.....	..	09/01/2019.	Redemption @ 25.00.....		750,000	18,750		18,865	18,865			0		18,865		(115)	(115)	(387)	XXX	P3FEL.....
8499999.	Total - Preferred Stocks - Industrial and Miscellaneous.....							18,750	XXX	18,865	18,865	0	0	0	0	0	18,865	0	(115)	(115)	(387)	XXX	XXX
8999997.	Total - Preferred Stocks - Part 4.....							18,750	XXX	18,865	18,865	0	0	0	0	0	18,865	0	(115)	(115)	(387)	XXX	XXX
8999999.	Total - Preferred Stocks.....							18,750	XXX	18,865	18,865	0	0	0	0	0	18,865	0	(115)	(115)	(387)	XXX	XXX
Common Stocks - Industrial and Miscellaneous																							
260557	10	3	DOW ORD.....	..	07/12/2019.	HILLTOP SECURITIES INC.....	2,499,002	123,884	XXX	134,932					0		134,932		(11,048)	(11,048)	1,749	XXX	L.....
75972A	30	1	RENEWABLE ENERGY GROUP ORD.....	..	09/13/2019.	HILLTOP SECURITIES INC.....	2,000,000	31,780	XXX	24,612					0		24,612		7,168	7,168		XXX	L.....
816120	30	7	SELECT INTERIOR CONCEPTS CL A ORD	..	07/02/2019.	HILLTOP SECURITIES INC.....	4,714,000	55,800	XXX	55,985	35,449	20,536			20,536		55,985		(185)	(185)		XXX	L.....
9099999.	Total - Common Stocks - Industrial and Miscellaneous.....							211,464	XXX	215,530	35,449	20,536	0	0	20,536	0	215,530	0	(4,066)	(4,066)	1,749	XXX	XXX
9799997.	Total - Common Stocks - Part 4.....							211,464	XXX	215,530	35,449	20,536	0	0	20,536	0	215,530	0	(4,066)	(4,066)	1,749	XXX	XXX
9799999.	Total - Common Stocks.....							211,464	XXX	215,530	35,449	20,536	0	0	20,536	0	215,530	0	(4,066)	(4,066)	1,749	XXX	XXX
9899999.	Total - Preferred and Common Stocks.....							230,214	XXX	234,395	54,314	20,536	0	0	20,536	0	234,395	0	(4,181)	(4,181)	1,362	XXX	XXX
9999999.	Total - Bonds, Preferred and Common Stocks.....							1,947,673	XXX	1,980,128	1,764,040	23,886	(778)	0	23,108	0	1,946,170	0	1,503	1,503	63,473	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:0.

Sch. DB - Pt. A - Sn. 1

NONE

Sch. DB - Pt. B - Sn. 1

NONE

Sch. DB - Pt. D - Sn. 1

NONE

Sch. DB - Pt. D - Sn. 2

NONE

Sch. DL - Pt. 1

NONE

Sch. DL - Pt. 2

NONE

EVERGREEN NATIONAL INDEMNITY COMPANY
SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount or Interest Accrued at Current Statement Date	First Month	Second Month	Third Month	*
Open Depositories								
FIRST TENNESSEE BANK CHECKING..... MEMPHIS, TN.....					775,185	492,709	456,227	XXX
HUNTINGTON OPERATING..... COLUMBUS, OH.....					2,323,699	1,139,437	2,084,166	XXX
FIRST TENNESSEE BANK SAVINGS..... MEMPHIS, TN.....					525,788	525,877	525,963	XXX
0199998. Deposits in.....0 depositories that do not exceed the allowable limit								
in any one depository (see Instructions) - Open Depositories.....	XXX	XXX	2,710	7,391	1,832,636	1,843,190	1,843,119	XXX
0199999. Total Open Depositories.....	XXX	XXX	2,710	7,391	5,457,307	4,001,213	4,909,475	XXX
0399999. Total Cash on Deposit.....	XXX	XXX	2,710	7,391	5,457,307	4,001,213	4,909,475	XXX
0599999. Total Cash.....	XXX	XXX	2,710	7,391	5,457,307	4,001,213	4,909,475	XXX

EVERGREEN NATIONAL INDEMNITY COMPANY

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2					3	4	5	6	7	8	9
CUSIP	Description					Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
Exempt Money Market Mutual Funds as Identified by the SVO												
94975H 29 6	WELLS FRGO TREASURY PLUS CL I MMF.....						06/04/2019.....	1.690		0		
8599999. Total - Exempt Money Market Mutual Funds as Identified by the SVO.....										0	0	0
All Other Money Market Mutual Funds												
061871 90 1	BANK DEPOSIT PROGRAM.....						09/11/2019.....			7,492		311
101100 84 0	FIRST TENNESSEE BANK DEPOSIT.....						09/30/2019.....			30,047		
60934N 10 4	FEDERATED GOVT OBL INST.....						09/27/2019.....	1.780		773,557	1,742	256
990220 47 7	RBS CITIZENS NA CASH SWEEP.....						09/02/2019.....			1		1
8699999. Total - All Other Money Market Mutual Funds.....										811,097	1,742	568
8899999. Total - Cash Equivalents										811,098	1,742	568