

**The Company reclassified certain Involuntary Pool balances to comply with SSAP35R Guarantee Funds and Other Assessments. In connection with the completion of our audited statutory statements the Company is reflecting the change as a prior period adjustment. The change impacted the following 2018 Annual Statement pages and line numbers:**

**Page 4, Col 1, Line 14 Aggregate write-ins for miscellaneous income**

**Page 4, Col 1, Line 19 Federal and foreign income taxes incurred**

**Page 4, Col 1, Line 3701 Aggregate write-ins for gains and losses in surplus**

**Page 14.1 Footnote #2 Income Taxes**

**Page 14.3 Footnote #9 Income Taxes**

**Additionally, the Company had a correction to the Supplemental Exhibits and Schedules Interrogatories, pages 99 and 99.1. Question 34 regarding the Cybersecurity and Identity Theft Insurance Coverage Supplement was changed from a YES to a NO.**



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

## ANNUAL STATEMENT

FOR THE YEAR ENDED DECEMBER 31, 2018  
OF THE CONDITION AND AFFAIRS OF THE

### American Modern Surplus Lines Insurance Company

NAIC Group Code 0361 NAIC Company Code 12489 Employer's ID Number 20-3901790

(Current) 0361 (Prior) 0361

Organized under the Laws of \_\_\_\_\_, State of Domicile or Port of Entry \_\_\_\_\_  
Country of Domicile \_\_\_\_\_ United States of America OH \_\_\_\_\_

Incorporated/Organized 11/28/2005 Commenced Business 09/01/2006

Statutory Home Office 7000 Midland Blvd., Amelia, OH, US 45102-2607  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 7000 Midland Blvd., 800-543-2644-6232  
(Street and Number) (Area Code) (Telephone Number)  
Amelia, OH, US 45102-2607

Mail Address P.O.Box 5323, Cincinnati, OH, US 45201-5323  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 7000 Midland Blvd., 800-543-2644-6232  
(Street and Number) (Area Code) (Telephone Number)  
Amelia, OH, US 45102

Internet Website Address www.amig.com

Statutory Statement Contact Sandra Kaye Anglin-Caldwell, 800-543-2644-6232  
(Name) (Area Code) (Telephone Number)  
sanglin-caldwell@amig.com, 513-947-4560  
(E-mail Address) (FAX Number)

#### OFFICERS

Chairman/Senior Vice President/CFO	<u>René Gobonya</u>	VP / Treasurer	<u>Scott Christopher Vess #</u>
President / CEO	<u>Andreas Matthias Kleiner</u>	SVP / Secretary	<u>Charles Schuster Griffith III</u>

#### OTHER

#### DIRECTORS OR TRUSTEES

<u>René Gobonya</u>	<u>Charles Schuster Griffith III</u>	<u>Andreas Matthias Kleiner</u>
<u>Catherine B Smith</u>	<u>Scott Christopher Vess</u>	

State of Ohio SS: \_\_\_\_\_  
County of Clermont

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Andreas Matthias Kleiner  
President / CEO

René Gobonya  
SVP / CFO

Charles Schuster Griffith III  
SVP / Secretary

Subscribed and sworn to before me this  
day of \_\_\_\_\_

a. Is this an original filing? ..... Yes [ ] No [ X ]  
b. If no,  
1. State the amendment number.....1  
2. Date filed ..... 04/12/2019  
3. Number of pages attached..... 24

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE American Modern Surplus Lines Insurance Co.  
**SUMMARY INVESTMENT SCHEDULE**

Investment Categories	Gross Investment Holdings		Admitted Assets as Reported in the Annual Statement			
	1 Amount	2 Percentage	3 Amount	4 Securities Lending Reinvested Collateral Amount	5 Total (Col. 3 + 4) Amount	6 Percentage
1. Bonds:						
1.1 U.S. treasury securities	15,921,984	42.164	15,921,984	0	15,921,984	42.214
1.2 U.S. government agency obligations (excluding mortgage-backed securities):						
1.21 Issued by U.S. government agencies	0	0.000	0	0	0	0.000
1.22 Issued by U.S. government sponsored agencies	9,804,682	25.964	9,804,682	0	9,804,682	25.995
1.3 Non-U.S. government (including Canada, excluding mortgaged-backed securities)	0	0.000	0	0	0	0.000
1.4 Securities issued by states, territories, and possessions and political subdivisions in the U.S. :						
1.41 States, territories and possessions general obligations	0	0.000	0	0	0	0.000
1.42 Political subdivisions of states, territories and possessions and political subdivisions general obligations	0	0.000	0	0	0	0.000
1.43 Revenue and assessment obligations	0	0.000	0	0	0	0.000
1.44 Industrial development and similar obligations	0	0.000	0	0	0	0.000
1.5 Mortgage-backed securities (includes residential and commercial MBS):						
1.51 Pass-through securities:						
1.511 Issued or guaranteed by GNMA	0	0.000	0	0	0	0.000
1.512 Issued or guaranteed by FNMA and FHLMC	0	0.000	0	0	0	0.000
1.513 All other	0	0.000	0	0	0	0.000
1.52 CMOs and REMICs:						
1.521 Issued or guaranteed by GNMA, FNMA, FHLMC or VA	0	0.000	0	0	0	0.000
1.522 Issued by non-U.S. Government issuers and collateralized by mortgage-backed securities issued or guaranteed by agencies shown in Line 1.521	0	0.000	0	0	0	0.000
1.523 All other	0	0.000	0	0	0	0.000
2. Other debt and other fixed income securities (excluding short-term):						
2.1 Unaffiliated domestic securities (includes credit tenant loans and hybrid securities)	8,591,860	22.753	8,548,721	0	8,548,721	22.665
2.2 Unaffiliated non-U.S. securities (including Canada)	2,389,876	6.329	2,388,221	0	2,388,221	6.332
2.3 Affiliated securities	0	0.000	0	0	0	0.000
3. Equity interests:						
3.1 Investments in mutual funds	0	0.000	0	0	0	0.000
3.2 Preferred stocks:						
3.21 Affiliated	0	0.000	0	0	0	0.000
3.22 Unaffiliated	0	0.000	0	0	0	0.000
3.3 Publicly traded equity securities (excluding preferred stocks):						
3.31 Affiliated	0	0.000	0	0	0	0.000
3.32 Unaffiliated	0	0.000	0	0	0	0.000
3.4 Other equity securities:						
3.41 Affiliated	0	0.000	0	0	0	0.000
3.42 Unaffiliated	0	0.000	0	0	0	0.000
3.5 Other equity interests including tangible personal property under lease:						
3.51 Affiliated	0	0.000	0	0	0	0.000
3.52 Unaffiliated	0	0.000	0	0	0	0.000
4. Mortgage loans:						
4.1 Construction and land development	0	0.000	0	0	0	0.000
4.2 Agricultural	0	0.000	0	0	0	0.000
4.3 Single family residential properties	0	0.000	0	0	0	0.000
4.4 Multifamily residential properties	0	0.000	0	0	0	0.000
4.5 Commercial loans	0	0.000	0	0	0	0.000
4.6 Mezzanine real estate loans	0	0.000	0	0	0	0.000
5. Real estate investments:						
5.1 Property occupied by company	0	0.000	0	0	0	0.000
5.2 Property held for production of income (including						
\$ 0 of property acquired in satisfaction of debt)	0	0.000	0	0	0	0.000
5.3 Property held for sale (including \$ 0 property acquired in satisfaction of debt)	0	0.000	0	0	0	0.000
6. Contract loans	0	0.000	0	0	0	0.000
7. Derivatives	0	0.000	0	0	0	0.000
8. Receivables for securities	0	0.000	0	0	0	0.000
9. Securities Lending (Line 10, Asset Page reinvested collateral)	0	0.000	0	XXX	XXX	XXX
10. Cash, cash equivalents and short-term investments	1,053,714	2.790	1,053,714	0	1,053,714	2.794
11. Other invested assets	0	0.000	0	0	0	0.000
12. Total invested assets	37,762,115	100.000	37,717,321	0	37,717,321	100.000

Schedule A - Verification - Real Estate

**N O N E**

Schedule B - Verification - Mortgage Loans

**N O N E**

**SCHEDULE BA - VERIFICATION BETWEEN YEARS**

## Other Long-Term Invested Assets

1. Book/adjusted carrying value, December 31 of prior year .....	
2. Cost of acquired:	
2.1 Actual cost at time of acquisition (Part 2, Column 8) .....	
2.2 Additional investment made after acquisition (Part 2, Column 9) .....	
3. Capitalized deferred interest and other:	
3.1 Totals, Part 1, Column 16 .....	
3.2 Totals, Part 3, Column 12 .....	
4. Accrual of discount .....	
5. Unrealized valuation increase (decrease):	
5.1 Totals, Part 1, Column 13 .....	
5.2 Totals, Part 3, Column 9 .....	
6. Total gain (loss) on disposals, Part 3, Column 19 .....	
7. Deduct amounts received on disposals, Part 3, Column 16 .....	
8. Deduct amortization of premium and depreciation .....	
9. Total foreign exchange change in book/adjusted carrying value:	
9.1 Totals, Part 1, Column 17 .....	
9.2 Totals, Part 3, Column 14 .....	
10. Deduct current year's other than temporary impairment recognized:	
10.1 Totals, Part 1, Column 15 .....	
10.2 Totals, Part 3, Column 11 .....	
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	
12. Deduct total nonadmitted amounts .....	
13. Statement value at end of current period (Line 11 minus Line 12) .....	

# NONE

**SCHEDULE D - VERIFICATION BETWEEN YEARS**

## Bonds and Stocks

1. Book/adjusted carrying value, December 31 of prior year .....	36,215,424
2. Cost of bonds and stocks acquired, Part 3, Column 7 .....	20,412,285
3. Accrual of discount .....	37,810
4. Unrealized valuation increase (decrease):	
4.1. Part 1, Column 12 .....	(44,793)
4.2. Part 2, Section 1, Column 15 .....	0
4.3. Part 2, Section 2, Column 13 .....	0
4.4. Part 4, Column 11 .....	(154,121) (198,914)
5. Total gain (loss) on disposals, Part 4, Column 19 .....	(169,628)
6. Deduction consideration for bonds and stocks disposed of, Part 4, Column 7 .....	19,517,708
7. Deduct amortization of premium .....	115,661
8. Total foreign exchange change in book/adjusted carrying value:	
8.1. Part 1, Column 15 .....	0
8.2. Part 2, Section 1, Column 19 .....	0
8.3. Part 2, Section 2, Column 16 .....	0
8.4. Part 4, Column 15 .....	0 0
9. Deduct current year's other than temporary impairment recognized:	
9.1. Part 1, Column 14 .....	0
9.2. Part 2, Section 1, Column 17 .....	0
9.3. Part 2, Section 2, Column 14 .....	0
9.4. Part 4, Column 13 .....	0 0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees, Notes 5R, Line 5R(2) .....	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	36,663,608
12. Deduct total nonadmitted amounts .....	0
13. Statement value at end of current period (Line 11 minus Line 12) .....	36,663,608

**SCHEDULE D - SUMMARY BY COUNTRY**

Long-Term Bonds and Stocks OWNED December 31 of Current Year

Description		1 Book/Adjusted Carrying Value	2 Fair Value	3 Actual Cost	4 Par Value of Bonds
BONDS Governments (Including all obligations guaranteed by governments)	1. United States .....	15,921,984	15,817,825	15,940,552	15,722,000
	2. Canada .....	0	0	0	0
	3. Other Countries	0	0	0	0
	4. Totals	15,921,984	15,817,825	15,940,552	15,722,000
U.S. States, Territories and Possessions (Direct and guaranteed)	5. Totals	0	0	0	0
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)	6. Totals	0	0	0	0
U.S. Special Revenue and Special Assessment Obligations and all Non- Guaranteed Obligations of Agencies and Authorities of Governments and their Political Subdivisions	7. Totals	9,804,682	9,621,389	9,820,364	9,667,000
Industrial and Miscellaneous, SVO Identified Funds, Bank Loans and Hybrid Securities (unaffiliated)	8. United States .....	8,548,720	8,390,962	8,761,132	8,580,000
	9. Canada .....	289,638	289,638	292,175	290,000
	10. Other Countries	2,098,584	2,055,626	2,097,002	2,100,000
	11. Totals	10,936,942	10,736,226	11,150,309	10,970,000
Parent, Subsidiaries and Affiliates	12. Totals	0	0	0	0
	13. Total Bonds	36,663,608	36,175,440	36,911,225	36,359,000
PREFERRED STOCKS Industrial and Miscellaneous (unaffiliated)	14. United States .....	0	0	0	0
	15. Canada .....	0	0	0	0
	16. Other Countries	0	0	0	0
	17. Totals	0	0	0	0
Parent, Subsidiaries and Affiliates	18. Totals	0	0	0	0
	19. Total Preferred Stocks	0	0	0	0
COMMON STOCKS Industrial and Miscellaneous (unaffiliated)	20. United States .....	0	0	0	0
	21. Canada .....	0	0	0	0
	22. Other Countries	0	0	0	0
	23. Totals	0	0	0	0
Parent, Subsidiaries and Affiliates	24. Totals	0	0	0	0
	25. Total Common Stocks	0	0	0	0
	26. Total Stocks	0	0	0	0
	27. Total Bonds and Stocks	36,663,608	36,175,440	36,911,225	

## ANNUAL STATEMENT FOR THE YEAR 2018 OF THE American Modern Surplus Lines Insurance Co.

## SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
1. U.S. Governments												
1.1 NAIC 1 .....	2,874,340	11,985,318	49,672	1,012,654	0	XXX	15,921,984	43.4	17,315,166	47.8	15,921,984	0
1.2 NAIC 2 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
1.3 NAIC 3 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
1.4 NAIC 4 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
1.5 NAIC 5 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
1.6 NAIC 6 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
1.7 Totals	2,874,340	11,985,318	49,672	1,012,654	0	XXX	15,921,984	43.4	17,315,166	47.8	15,921,984	0
2. All Other Governments												
2.1 NAIC 1 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.2 NAIC 2 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.3 NAIC 3 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.4 NAIC 4 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.5 NAIC 5 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.6 NAIC 6 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.7 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3. U.S. States, Territories and Possessions etc., Guaranteed												
3.1 NAIC 1 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.2 NAIC 2 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.3 NAIC 3 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.4 NAIC 4 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.5 NAIC 5 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.6 NAIC 6 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.7 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4. U.S. Political Subdivisions of States, Territories and Possessions , Guaranteed												
4.1 NAIC 1 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.2 NAIC 2 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.3 NAIC 3 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.4 NAIC 4 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.5 NAIC 5 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.6 NAIC 6 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.7 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed												
5.1 NAIC 1 .....	0	2,996,650	6,808,032	0	0	XXX	9,804,682	26.7	8,183,665	22.6	9,804,682	0
5.2 NAIC 2 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
5.3 NAIC 3 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
5.4 NAIC 4 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
5.5 NAIC 5 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
5.6 NAIC 6 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
5.7 Totals	0	2,996,650	6,808,032	0	0	XXX	9,804,682	26.7	8,183,665	22.6	9,804,682	0

## ANNUAL STATEMENT FOR THE YEAR 2018 OF THE American Modern Surplus Lines Insurance Co.

## SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
6. Industrial & Miscellaneous (Unaffiliated)												
6.1 NAIC 1 .....	0	995,282	0	0	0	XXX	995,282	2.7	3,048,369	8.4	995,282	0
6.2 NAIC 2 .....	0	9,102,621	279,647	0	0	XXX	9,382,268	25.6	7,376,629	20.4	8,882,718	499,550
6.3 NAIC 3 .....	0	289,638	269,754	0	0	XXX	559,392	1.5	291,595	0.8	269,754	289,638
6.4 NAIC 4 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
6.5 NAIC 5 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
6.6 NAIC 6 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
6.7 Totals	0	10,387,541	549,401	0	0	XXX	10,936,942	29.8	10,716,593	29.6	10,147,754	789,188
7. Hybrid Securities												
7.1 NAIC 1 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.2 NAIC 2 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.3 NAIC 3 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.4 NAIC 4 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.5 NAIC 5 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.6 NAIC 6 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.7 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8. Parent, Subsidiaries and Affiliates												
8.1 NAIC 1 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.2 NAIC 2 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.3 NAIC 3 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.4 NAIC 4 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.5 NAIC 5 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.6 NAIC 6 .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.7 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
9. SVO Identified Funds												
9.1 NAIC 1 .....	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.2 NAIC 2 .....	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.3 NAIC 3 .....	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.4 NAIC 4 .....	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.5 NAIC 5 .....	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.6 NAIC 6 .....	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.7 Totals	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
10. Bank Loans												
10.1 NAIC 1 .....	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
10.2 NAIC 2 .....	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
10.3 NAIC 3 .....	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
10.4 NAIC 4 .....	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
10.5 NAIC 5 .....	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
10.6 NAIC 6 .....	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
10.7 Totals	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0

## ANNUAL STATEMENT FOR THE YEAR 2018 OF THE American Modern Surplus Lines Insurance Co.

## SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
11. Total Bonds Current Year												
11.1 NAIC 1 .....	(d) 2,874,340	15,977,250	6,857,704	1,012,654	0	0	26,721,948	72.9	XXX	XXX	26,721,948	0
11.2 NAIC 2 .....	(d) 0	9,102,621	279,647	0	0	0	9,382,268	25.6	XXX	XXX	8,882,718	499,550
11.3 NAIC 3 .....	(d) 0	289,638	269,754	0	0	0	559,392	1.5	XXX	XXX	269,754	289,638
11.4 NAIC 4 .....	(d) 0	0	0	0	0	0	0	0.0	XXX	XXX	0	0
11.5 NAIC 5 .....	(d) 0	0	0	0	0	0	0	0.0	XXX	XXX	0	0
11.6 NAIC 6 .....	(d) 0	0	0	0	0	0	0	0.0	XXX	XXX	0	0
11.7 Totals .....	2,874,340	25,369,509	7,407,105	1,012,654	0	0	(b) 36,663,608	100.0	XXX	XXX	35,874,420	.789,188
11.8 Line 11.7 as a % of Col. 7	7.8	69.2	20.2	2.8	0.0	0.0	100.0	XXX	XXX	XXX	97.8	2.2
12. Total Bonds Prior Year												
12.1 NAIC 1 .....	2,628,977	13,568,162	11,188,024	1,162,037	0	0	XXX	XXX	28,547,200	78.8	28,547,200	0
12.2 NAIC 2 .....	0	6,037,945	1,338,684	0	0	0	XXX	XXX	7,376,629	20.4	6,514,880	861,749
12.3 NAIC 3 .....	0	0	291,595	0	0	0	XXX	XXX	291,595	0.8	0	291,595
12.4 NAIC 4 .....	0	0	0	0	0	0	XXX	XXX	0	0.0	0	0
12.5 NAIC 5 .....	0	0	0	0	0	0	XXX	XXX	(c) 0	0.0	0	0
12.6 NAIC 6 .....	0	0	0	0	0	0	XXX	XXX	(c) 0	0.0	0	0
12.7 Totals .....	2,628,977	19,606,107	12,818,303	1,162,037	0	0	XXX	XXX	(b) 36,215,424	100.0	35,062,080	.1,153,344
12.8 Line 12.7 as a % of Col. 9	7.3	54.1	35.4	3.2	0.0	0.0	XXX	XXX	100.0	XXX	96.8	3.2
13. Total Publicly Traded Bonds												
13.1 NAIC 1 .....	2,874,340	15,977,250	6,857,704	1,012,654	0	0	26,721,948	72.9	28,547,200	78.8	26,721,948	XXX
13.2 NAIC 2 .....	0	8,603,071	279,647	0	0	0	8,882,718	24.2	6,514,880	18.0	8,882,718	XXX
13.3 NAIC 3 .....	0	0	269,754	0	0	0	269,754	0.7	0	0.0	269,754	XXX
13.4 NAIC 4 .....	0	0	0	0	0	0	0	0.0	0	0.0	0	XXX
13.5 NAIC 5 .....	0	0	0	0	0	0	0	0.0	0	0.0	0	XXX
13.6 NAIC 6 .....	0	0	0	0	0	0	0	0.0	0	0.0	0	XXX
13.7 Totals .....	2,874,340	24,580,321	7,407,105	1,012,654	0	0	35,874,420	97.8	35,062,080	96.8	35,874,420	XXX
13.8 Line 13.7 as a % of Col. 7	8.0	68.5	20.6	2.8	0.0	0.0	100.0	XXX	XXX	XXX	100.0	XXX
13.9 Line 13.7 as a % of Line 11.7, Col. 7, Section 11	7.8	67.0	20.2	2.8	0.0	0.0	97.8	XXX	XXX	XXX	97.8	XXX
14. Total Privately Placed Bonds												
14.1 NAIC 1 .....	0	0	0	0	0	0	0	0.0	0	0.0	XXX	0
14.2 NAIC 2 .....	0	499,550	0	0	0	0	499,550	1.4	861,749	2.4	XXX	499,550
14.3 NAIC 3 .....	0	289,638	0	0	0	0	289,638	0.8	291,595	0.8	XXX	289,638
14.4 NAIC 4 .....	0	0	0	0	0	0	0	0.0	0	0.0	XXX	0
14.5 NAIC 5 .....	0	0	0	0	0	0	0	0.0	0	0.0	XXX	0
14.6 NAIC 6 .....	0	0	0	0	0	0	0	0.0	0	0.0	XXX	0
14.7 Totals .....	0	789,188	0	0	0	0	789,188	2.2	1,153,344	3.2	XXX	.789,188
14.8 Line 14.7 as a % of Col. 7	0.0	100.0	0.0	0.0	0.0	0.0	100.0	XXX	XXX	XXX	100.0	
14.9 Line 14.7 as a % of Line 11.7, Col. 7, Section 11	0.0	2.2	0.0	0.0	0.0	0.0	0.0	2.2	XXX	XXX	XXX	2.2

(a) Includes \$ .789,188 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.

(b) Includes \$ 0 current year of bonds with Z designations, \$ 0 prior year of bonds with Z designations and \$ 0 prior year of bonds with Z\* designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement. "Z\*" means the SVO could not evaluate the obligation because valuation procedures for the security class are under regulatory review.

(c) Includes \$ 0 current year of bonds with 5GI designations, \$ 0 prior year of bonds with 5\* or 5GI designations and \$ 0 current year, \$ 0 prior year of bonds with 6\* designations. "5GI" means the NAIC designation was assigned by the (SVO) in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6\*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.

(d) Includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ 0 ; NAIC 2 \$ 0 ; NAIC 3 \$ 0 ; NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

## ANNUAL STATEMENT FOR THE YEAR 2018 OF THE American Modern Surplus Lines Insurance Co.

## SCHEDULE D - PART 1A - SECTION 2

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
1. U.S. Governments												
1.1 Issuer Obligations .....	2,874,340	11,985,318	49,672	1,012,654	0	XXX	15,921,984	43.4	17,315,166	47.8	15,921,984	0
1.2 Residential Mortgage-Backed Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
1.3 Commercial Mortgage-Backed Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
1.4 Other Loan-Backed and Structured Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
1.5 Totals	2,874,340	11,985,318	49,672	1,012,654	0	XXX	15,921,984	43.4	17,315,166	47.8	15,921,984	0
2. All Other Governments												
2.1 Issuer Obligations .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.2 Residential Mortgage-Backed Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.3 Commercial Mortgage-Backed Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.4 Other Loan-Backed and Structured Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.5 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3. U.S. States, Territories and Possessions, Guaranteed												
3.1 Issuer Obligations .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.2 Residential Mortgage-Backed Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.3 Commercial Mortgage-Backed Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.4 Other Loan-Backed and Structured Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.5 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed												
4.1 Issuer Obligations .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.2 Residential Mortgage-Backed Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.3 Commercial Mortgage-Backed Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.4 Other Loan-Backed and Structured Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.5 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
5. U.S. Special Revenue & Special Assessment Obligations etc., Non-Guaranteed												
5.1 Issuer Obligations .....	0	2,996,650	6,808,032	0	0	XXX	9,804,682	26.7	8,183,665	22.6	9,804,682	0
5.2 Residential Mortgage-Backed Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
5.3 Commercial Mortgage-Backed Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
5.4 Other Loan-Backed and Structured Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
5.5 Totals	0	2,996,650	6,808,032	0	0	XXX	9,804,682	26.7	8,183,665	22.6	9,804,682	0
6. Industrial and Miscellaneous												
6.1 Issuer Obligations .....	0	10,387,541	549,401	0	0	XXX	10,936,942	29.8	10,716,593	29.6	10,147,754	789,188
6.2 Residential Mortgage-Backed Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
6.3 Commercial Mortgage-Backed Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
6.4 Other Loan-Backed and Structured Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
6.5 Totals	0	10,387,541	549,401	0	0	XXX	10,936,942	29.8	10,716,593	29.6	10,147,754	789,188
7. Hybrid Securities												
7.1 Issuer Obligations .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.2 Residential Mortgage-Backed Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.3 Commercial Mortgage-Backed Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.4 Other Loan-Backed and Structured Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.5 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8. Parent, Subsidiaries and Affiliates												
8.1 Issuer Obligations .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.2 Residential Mortgage-Backed Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.3 Commercial Mortgage-Backed Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.4 Other Loan-Backed and Structured Securities .....	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.5 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0

## ANNUAL STATEMENT FOR THE YEAR 2018 OF THE American Modern Surplus Lines Insurance Co.

## SCHEDULE D - PART 1A - SECTION 2 (Continued)

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
9. SVO Identified Funds												
9.1 Exchange Traded Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.2 Bond Mutual Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.3 Totals	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
10. Bank Loans												
10.1 Bank Loans - Issued	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
10.2 Bank Loans - Acquired	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
10.3 Totals	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
11. Total Bonds Current Year												
11.1 Issuer Obligations	2,874,340	25,369,509	7,407,105	1,012,654	0	XXX	36,663,608	100.0	XXX	XXX	35,874,420	.789,188
11.2 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
11.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
11.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
11.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX	0	0	0.0	XXX	XXX	0	0
11.6 Bank Loans	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
11.7 Totals	2,874,340	25,369,509	7,407,105	1,012,654	0	0	36,663,608	100.0	XXX	XXX	35,874,420	.789,188
11.8 Line 11.7 as a % of Col. 7	7.8	69.2	20.2	2.8	0.0	0.0	100.0	XXX	XXX	XXX	97.8	2.2
12. Total Bonds Prior Year												
12.1 Issuer Obligations	2,628,977	19,606,107	12,818,303	1,162,037	0	XXX	XXX	XXX	36,215,424	100.0	35,062,078	.1,153,346
12.2 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	XXX	XXX	0	0.0	0	0
12.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	XXX	XXX	0	0.0	0	0
12.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	XXX	XXX	XXX	0	0.0	0	0
12.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX	0	XXX	XXX	0	0.0	0	0
12.6 Bank Loans	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
12.7 Totals	2,628,977	19,606,107	12,818,303	1,162,037	0	0	XXX	XXX	36,215,424	100.0	35,062,078	.1,153,346
12.8 Line 12.7 as a % of Col. 9	7.3	54.1	35.4	3.2	0.0	0.0	XXX	XXX	100.0	XXX	96.8	3.2
13. Total Publicly Traded Bonds												
13.1 Issuer Obligations	2,874,340	24,580,321	7,407,105	1,012,654	0	XXX	35,874,420	97.8	35,062,078	96.8	35,874,420	XXX
13.2 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
13.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
13.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
13.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	XXX
13.6 Bank Loans	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	XXX
13.7 Totals	2,874,340	24,580,321	7,407,105	1,012,654	0	0	35,874,420	97.8	35,062,078	96.8	35,874,420	XXX
13.8 Line 13.7 as a % of Col. 7	.8.0	.68.5	20.6	2.8	0.0	0.0	100.0	XXX	XXX	XXX	100.0	XXX
13.9 Line 13.7 as a % of Line 11.7, Col. 7, Section 11	7.8	67.0	20.2	2.8	0.0	0.0	97.8	XXX	XXX	XXX	97.8	XXX
14. Total Privately Placed Bonds												
14.1 Issuer Obligations	0	789,188	0	0	0	XXX	789,188	2.2	.1,153,346	3.2	XXX	.789,188
14.2 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	XXX	0
14.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	XXX	0
14.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	XXX	0
14.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	XXX	0
14.6 Bank Loans	0	0	0	0	0	XXX	0	0.0	XXX	XXX	XXX	0
14.7 Totals	0	789,188	0	0	0	0	789,188	2.2	.1,153,346	3.2	XXX	.789,188
14.8 Line 14.7 as a % of Col. 7	.0.0	100.0	.0.0	.0.0	.0.0	0.0	100.0	XXX	XXX	XXX	XXX	100.0
14.9 Line 14.7 as a % of Line 11.7, Col. 7, Section 11	0.0	2.2	0.0	0.0	0.0	0.0	0.0	2.2	XXX	XXX	XXX	2.2

## ANNUAL STATEMENT FOR THE YEAR 2018 OF THE American Modern Surplus Lines Insurance Co.

**SCHEDULE DA - VERIFICATION BETWEEN YEARS**

## Short-Term Investments

	1 Total	2 Bonds	3 Mortgage Loans	4 Other Short-term Investment Assets (a)	5 Investments in Parent, Subsidiaries and Affiliates
1. Book/adjusted carrying value, December 31 of prior year .....	0	0	0	0	0
2. Cost of short-term investments acquired .....	994,343	994,343	0	0	0
3. Accrual of discount .....	994	994	0	0	0
4. Unrealized valuation increase (decrease) .....	0	0	0	0	0
5. Total gain (loss) on disposals .....	(436)	(436)	0	0	0
6. Deduct consideration received on disposals .....	994,901	994,901	0	0	0
7. Deduct amortization of premium .....	0	0	0	0	0
8. Total foreign exchange change in book/adjusted carrying value .....	0	0	0	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	0	0	0	0
10. Book adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	0	0	0	0	0
11. Deduct total nonadmitted amounts .....	0	0	0	0	0
12. Statement value at end of current period (Line 10 minus Line 11) .....	0	0	0	0	0

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

**SCHEDULE E - PART 2 - VERIFICATION BETWEEN YEARS**

(Cash Equivalents)

	1 Total	2 Bonds	3 Money Market Mutual funds	4 Other (a)
1. Book/adjusted carrying value, December 31 of prior year .....	2,647,777	0	2,647,777	0
2. Cost of cash equivalents acquired .....	10,726,421	5,994,633	4,731,788	0
3. Accrual of discount .....	804	804	0	0
4. Unrealized valuation increase (decrease) .....	0	0	0	0
5. Total gain (loss) on disposals .....	1	1	0	0
6. Deduct consideration received on disposals .....	12,212,902	5,995,438	6,217,464	0
7. Deduct amortization of premium .....	0	0	0	0
8. Total foreign exchange change in book/adjusted carrying value .....	0	0	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	0	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	1,162,101	0	1,162,101	0
11. Deduct total nonadmitted amounts .....	0	0	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	1,162,101	0	1,162,101	0

(a) Indicate the category of such investments, for example, joint ventures, transportation equipment:

Schedule A - Part 1 - Real Estate Owned

**N O N E**

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

Schedule B - Part 1 - Mortgage Loans Owned

**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

**N O N E**

Schedule BA - Part 1 - Other Long-Term Invested Assets Owned

**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**N O N E**

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE American Modern Surplus Lines Insurance Co.

**SCHEDULE D - PART 1**

Showing All Long-Term BONDS Owned December 31 of Current Year

1 CUSIP Identification	2 Description	Codes			6 NAIC Design- ation and Adminis- trative Symbol	7 Actual Cost	Fair Value		10 Book/ Adjusted Carrying Value	11 Unrealized Value (Increase/ Decrease)	Change in Book/Adjusted Carrying Value				16 Rate of Effect- ive Rate of When Paid	Interest			Dates			
		3 C o d e	4 F o r e i g n	5 Bond Char			8 Rate Used to Obtain Fair Value	9 Fair Value			12 Current Year's Other- Than- Tempo- rary Impair- ment Recog- nized	13 Current Year's Amor- ti- za- tion Accre- tion	14 Tempo- rary Impair- ment Recog- nized	15 Total Foreign Ex- change Change in Book/ Adjusted Carrying Value	17 Admit- ted Amount Due and Accrued	18 Amount Received During Year	19 Amount Received During Year	20 Acquired	21 Stated Contractual Maturity Date			
912810-F7-0	UNITED STATES TREASURY GOVT BND	1				1,041,299	123,2650	924,488	750,000	1,012,654	0	(12,673)	0	4.500	2,062	FA	12,748	33,750	09/15/2016	02/15/2036		
912828-2B-5	UNITED STATES TREASURY GOVT BND	SD				510,580	98,8770	506,250	512,000	511,696	0	485	0	0.750	0.846	FA	1,450	3,840	09/07/2016	08/15/2019		
912828-30-1	UNITED STATES TREASURY SENIOR GOVT BND				1	988,672	99,0170	1,000,000	991,900	0	0	3,228	0	2,000	2,410	JJ	9,239	10,000	02/28/2018	01/15/2021		
912828-4G-2	UNITED STATES TREASURY SENIORGOVTBND				1	6,955,430	99,7590	7,000,000	6,983,130	0	0	9,683	0	2,375	2,601	AO	35,625	83,125	05/04/2018	04/15/2021		
912828-4T-4	UNITED STATES TREASURY SENIORGOVTBND				1	998,789	100,3530	1,000,000	998,983	0	0	194	0	2,625	2,668	JD	1,226	13,125	07/19/2018	06/15/2021		
912828-5P-1	UNITED STATES TREASURY SENIORGOVTBND				1	300,352	101,7570	300,000	300,346	0	0	(5)	0	2,875	2,850	MN	758	0	11/30/2018	11/30/2023		
912828-F3-9	UNITED STATES TREASURY GOVT BND	SD			1	2,374,711	99,3520	2,344,707	2,360,000	2,362,644	0	(3,511)	0	0	1,750	1,598	MS	10,552	41,300	09/14/2016	09/30/2019	
912828-N-0	UNITED STATES TREASURY SENIORGOVTBND				1	2,226,091	98,6030	2,218,568	2,250,000	2,230,423	0	4,330	0	0	2,125	2,350	JD	263	47,813	01/16/2018	12/31/2022	
912828-W-8	UNITED STATES TREASURY SENIOR GOVT BND				1	49,566	98,1110	49,056	50,000	49,672	0	59	0	0	2,125	2,261	FA	361	1,063	03/21/2017	02/29/2024	
912828-XE-5	UNITED STATES TREASURY SENIORGOVTBND				1	495,059	98,5310	492,655	500,000	498,553	0	1,003	0	0	1,500	1,705	MN	663	7,500	06/08/2015	05/31/2020	
<b>0199999. Subtotal - Bonds - U.S. Governments - Issuer Obligations</b>						<b>15,940,552</b>	<b>XXX</b>	<b>15,817,825</b>	<b>15,722,000</b>	<b>15,921,984</b>	<b>0</b>	<b>2,793</b>	<b>0</b>	<b>0</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>72,885</b>	<b>241,516</b>	<b>XXX</b>	<b>XXX</b>	
<b>0599999. Total - U.S. Government Bonds</b>						<b>15,940,552</b>	<b>XXX</b>	<b>15,817,825</b>	<b>15,722,000</b>	<b>15,921,984</b>	<b>0</b>	<b>2,793</b>	<b>0</b>	<b>0</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>72,885</b>	<b>241,516</b>	<b>XXX</b>	<b>XXX</b>	
<b>1099999. Total - All Other Government Bonds</b>						<b>0</b>	<b>XXX</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>0</b>	<b>0</b>	<b>XXX</b>	<b>XXX</b>	
<b>1799999. Total - U.S. States, Territories and Possessions Bonds</b>						<b>0</b>	<b>XXX</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>0</b>	<b>0</b>	<b>XXX</b>	<b>XXX</b>	
<b>2499999. Total - U.S. Political Subdivisions Bonds</b>						<b>0</b>	<b>XXX</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>0</b>	<b>0</b>	<b>XXX</b>	<b>XXX</b>	
313045-R3-5	FEDERAL HOME LOAN BANKS SENIOR AGENCY BND				1	5,198,551	100,1560	5,007,810	5,000,000	5,165,897	0	(23,593)	0	0	2,875	2,318	JD	7,188	143,750	08/09/2017	06/13/2025	
313560-ZR-7	FANNIE MAE AGENCY BND				1	1,638,913	99,5740	1,659,899	1,667,000	1,642,135	0	3,222	0	0	2,625	2,912	MS	13,978	21,879	03/09/2018	09/06/2024	
3137EA-DR-7	FREDDIE MAC AGENCY BND				1	2,982,900	99,4560	2,953,680	3,000,000	2,996,650	0	2,475	0	0	1,375	1,460	MN	6,875	41,250	04/03/2013	05/01/2020	
<b>2599999. Subtotal - Bonds - U.S. Special Revenues - Issuer Obligations</b>						<b>9,820,364</b>	<b>XXX</b>	<b>9,621,389</b>	<b>9,667,000</b>	<b>9,804,682</b>	<b>0</b>	<b>(17,896)</b>	<b>0</b>	<b>0</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>28,041</b>	<b>206,879</b>	<b>XXX</b>	<b>XXX</b>	
<b>3199999. Total - U.S. Special Revenues Bonds</b>						<b>9,820,364</b>	<b>XXX</b>	<b>9,621,389</b>	<b>9,667,000</b>	<b>9,804,682</b>	<b>0</b>	<b>(17,896)</b>	<b>0</b>	<b>0</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>28,041</b>	<b>206,879</b>	<b>XXX</b>	<b>XXX</b>	
101137-AK-3	BOSTON SCIENTIFIC CORPORATION CORP BND				1	2,233,900	102,5030	2,050,060	2,000,000	2,064,016	0	(59,932)	0	0	6,000	2,848	JJ	55,333	120,000	01/29/2016	01/15/2020	
25470D-AQ-2	DISCOVERY COMMUNICATIONS LLC SENIOR CORP BND				1	1,726,470	95,6960	1,722,528	1,800,000	1,735,624	0	9,154	0	0	2,950	3,878	MS	14,898	26,550	05/03/2018	03/20/2023	
29336U-AF-4	ENI INK MIDSTREAM PARTNERS LP SENIOR CORP BND				1	314,304	89,9180	269,754	300,000	269,754	0	(43,139)	(1,411)	0	0	4,850	4,161	JJ	6,709	7,275	01/17/2018	07/15/2026
37045X-CG-6	GENERAL MOTORS FINANCIAL CORP SENIORCORPBD				1	279,588	91,5200	256,256	280,000	279,647	0	53	0	0	3,500	3,524	MN	1,470	9,807	11/02/2017	11/07/2024	
68389X-BL-8	ORACLE CORPORATION SENIOR CORP BND				1	994,150	95,9970	959,970	1,000,000	995,282	0	0	0	0	2,400	2,507	MS	7,067	24,000	10/18/2017	09/15/2023	
7591EP-AK-6	REGIONS FINANCIAL CORPORATION SENIOR CORP BND				2	1,021,060	99,3730	993,730	1,000,000	1,011,210	0	(5,331)	0	0	3,200	2,627	FA	12,711	32,000	02/23/2017	02/08/2021	
816851-BF-5	SEMPRA ENERGY SENIORCORPBD				1	2,191,662	97,2120	2,138,664	2,200,000	2,193,188	0	1,526	0	0	2,900	2,981	FA	26,583	35,267	01/09/2018	02/01/2023	
65548P-AE-6	NORBORD INC SECURED CORP BND 144A	A	1		2F	292,175	99,8750	289,638	290,000	289,638	0	(1,654)	(303)	0	0	6,250	6,122	AO	3,826	18,125	06/08/2015	04/15/2023
714264-AD-0	PERNUO-RICARD SA CORP BND 144A	D	1		2F	498,730	101,9380	509,690	500,000	499,550	0	185	0	0	4,450	4,482	JJ	10,260	22,250	10/20/2011	01/15/2022	
82481L-AB-5	SHIRE ACQUISITIONS INVESTMENTS SENIOR CORP BND	D	1		2F	1,598,272	96,6210	1,545,936	1,600,000	1,599,033	0	339	0	0	2,400	2,423	MS	10,453	38,400	09/19/2016	09/23/2021	
<b>3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations</b>						<b>11,150,309</b>	<b>XXX</b>	<b>10,736,226</b>	<b>10,970,000</b>	<b>10,936,942</b>	<b>(44,793)</b>	<b>(54,830)</b>	<b>0</b>	<b>0</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>149,310</b>	<b>333,667</b>	<b>XXX</b>	<b>XXX</b>	
<b>3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds</b>						<b>11,150,309</b>	<b>XXX</b>	<b>10,736,226</b>	<b>10,970,000</b>	<b>10,936,942</b>	<b>(44,793)</b>	<b>(54,830)</b>	<b>0</b>	<b>0</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>149,310</b>	<b>333,667</b>	<b>XXX</b>	<b>XXX</b>	
<b>4899999. Total - Hybrid Securities</b>						<b>0</b>	<b>XXX</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>0</b>	<b>0</b>	<b>XXX</b>	<b>XXX</b>	
<b>5599999. Total - Parent, Subsidiaries and Affiliates Bonds</b>						<b>0</b>	<b>XXX</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>0</b>	<b>0</b>	<b>XXX</b>	<b>XXX</b>	
<b>6099999. Subtotal - SVO Identified Funds</b>						<b>0</b>	<b>XXX</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>0</b>	<b>0</b>	<b>XXX</b>	<b>XXX</b>	
<b>6599999. Subtotal - Bank Loans</b>						<b>0</b>	<b>XXX</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>0</b>	<b>0</b>	<b>XXX</b>	<b>XXX</b>	
<b>7799999. Total - Issuer Obligations</b>						<b>36,911,225</b>	<b>XXX</b>	<														

Schedule D - Part 2 - Section 1 - Preferred Stocks Owned

**N O N E**

Schedule D - Part 2 - Section 2 - Common Stocks Owned

**N O N E**

## ANNUAL STATEMENT FOR THE YEAR 2018 OF THE American Modern Surplus Lines Insurance Co.

**SCHEDULE D - PART 3**

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends
912828-30-1 .....	UNITED STATES TREASURY SENIOR GOVT BND 2.000% 01/15/21 .....		02/28/2018 .....	J.P. MORGAN SECURITIES INC .....	988,672	1,000,000		2,486
912828-46-2 .....	UNITED STATES TREASURY SENIOR GOVT BND 2.375% 04/15/21 .....		05/04/2018 .....	J.P. MORGAN SECURITIES INC .....	6,955,430	7,000,000		9,993
912828-47-4 .....	UNITED STATES TREASURY SENIOR GOVT BND 2.625% 06/15/21 .....		07/19/2018 .....	CITICORP SECURITIES MARKETS .....	998,789	1,000,000		2,510
912828-55-1 .....	UNITED STATES TREASURY SENIOR GOVT BND 2.875% 11/30/23 .....		11/30/2018 .....	CREDIT SUISSE FIRST BOSTON COR .....	300,355	300,000		71
912828-N3-0 .....	UNITED STATES TREASURY SENIOR GOVT BND 2.125% 12/31/22 .....		01/16/2018 .....	BANK OF AMERICA .....	2,226,094	2,250,000		2,377
<b>0599999. Subtotal - Bonds - U.S. Governments</b>						11,469,337	11,550,000	17,437
313560-ZR-7 .....	FANNIE MAE AGENCY BND 2.625% 09/06/24 .....		03/09/2018 .....	CITICORP SECURITIES MARKETS .....	1,638,913	1,667,000		.729
<b>3199999. Subtotal - Bonds - U.S. Special Revenues</b>						1,638,913	1,667,000	729
25470D-A0-2 .....	DISCOVERY COMMUNICATIONS LLC SENIOR CORP BND 2.950% 03/20/23 .....		05/03/2018 .....	JEFFRIES & CO. INC. .....	1,726,470	1,800,000		.6,933
29336U-AF-4 .....	ENLINK MIDSTREAM PARTNERS LP SENIOR CORP BND 4.850% 07/15/26 .....		01/17/2018 .....	MORGAN STANLEY & CO. INC. .....	314,304	300,000		.162
816851-BF-5 .....	SEMPRA ENERGY SENIOR CORP BND 2.900% 02/01/23 .....		01/09/2018 .....	RBC DOMINION SECURITIES INC. .....	2,191,662	2,200,000		0
<b>3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>						4,232,436	4,300,000	7,095
<b>8399997. Total - Bonds - Part 3</b>						17,340,686	17,517,000	25,261
<b>8399998. Total - Bonds - Part 5</b>						3,071,599	3,090,000	13,181
<b>8399999. Total - Bonds</b>						20,412,285	20,607,000	38,442
<b>8999997. Total - Preferred Stocks - Part 3</b>						0	XXX	0
<b>8999998. Total - Preferred Stocks - Part 5</b>						0	XXX	0
<b>8999999. Total - Preferred Stocks</b>						0	XXX	0
<b>9799997. Total - Common Stocks - Part 3</b>						0	XXX	0
<b>9799998. Total - Common Stocks - Part 5</b>						0	XXX	0
<b>9799999. Total - Common Stocks</b>						0	XXX	0
<b>9899999. Total - Preferred and Common Stocks</b>						0	XXX	0
<b>9999999 - Totals</b>						20,412,285	XXX	38,442

## ANNUAL STATEMENT FOR THE YEAR 2018 OF THE American Modern Surplus Lines Insurance Co.

## SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1 CUSIP Identifi- cation	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Con- sideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date
										11 Unrealized Value Increase/ Decrease	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other- Than- Temporary Impairment Recognized	14 Total Change in Book/ Adjusted Carrying Value (11+12-13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value						
912810-FT-0	UNITED STATES TREASURY GOVT BND 4.500% 02/15/36		05/04/2018	HUTCHINSON SHOCKEY ERLEY & CO		120,965	100,000	138,840	136,710	0	(581)	0	(581)	0	136,129	0	(15,164)	(15,164)	3,257	02/15/2036
912828-20-1	UNITED STATES TREASURY SENIOR GOVT BND 1.375% 08/31/23		04/17/2018	BANK OF AMERICA J.P. MORGAN SECURITIES		536,659	575,000	574,618	574,688	0	16	0	16	0	574,704	0	(38,044)	(38,044)	5,006	08/31/2023
912828-2N-9	UNITED STATES TREASURY SENIOR GOVT BND 2.125% 07/31/24		03/09/2018	INC.		1,249,168	1,300,000	1,314,676	1,314,023	0	(383)	0	(383)	0	1,313,640	0	(64,472)	(64,472)	16,865	07/31/2024
912828-2Z-2	UNITED STATES TREASURY SENIOR GOVT BND 1.625% 10/15/20		05/04/2018	GOLDMAN SACHS & CO. RBC DOMINION SECURITIES		880,137	900,000	897,715	897,843	0	264	0	264	0	898,106	0	(17,969)	(17,969)	8,192	10/15/2020
912828-3D-0	UNITED STATES TREASURY SENIOR GOVT BND 2.250% 10/31/24		01/24/2018	INC.		392,172	400,000	401,719	401,684	0	(15)	0	(15)	0	401,669	0	(9,497)	(9,497)	2,138	10/31/2024
912828-F3-9	UNITED STATES TREASURY GOVT BND 1.750% 09/30/19		05/04/2018	HUTCHINSON SHOCKEY ERLEY & CO		39,645	40,000	40,992	40,573	0	(113)	0	(113)	0	40,460	0	(815)	(815)	421	09/30/2019
912828-G3-8	UNITED STATES TREASURY SENIOR GOVT BND 2.250% 11/15/24		01/24/2018	INC.		470,663	480,000	481,275	481,250	0	(11)	0	(11)	0	481,239	0	(10,576)	(10,576)	2,118	11/15/2024
912828-JE-1	UNITED STATES TREASURY GOVT IBND TIPS 1.375% 07/15/18		05/03/2018	BARCLAYS CAPITAL		581,425	500,000	547,058	574,950	(61,206)	(1,701)	0	(62,907)	0	512,043	0	69,382	69,382	6,323	07/15/2018
912828-K3-3	UNITED STATES TREASURY GOVT IBND 0.125% 04/15/20		05/03/2018	GOLDMAN SACHS & CO.		1,896,916	1,800,000	1,836,482	1,906,603	(78,360)	(1,512)	0	(79,872)	0	1,826,731	0	70,185	70,185	1,317	04/15/2020
912828-II4-8	UNITED STATES TREASURY SENIOR GOVT BND 2.125% 02/29/24		01/09/2018	BANK OF AMERICA		2,162,445	2,200,000	2,180,922	2,182,935	0	71	0	71	0	2,183,006	0	(20,560)	(20,560)	17,176	02/29/2024
912828-X3-9	UNITED STATES TREASURY TIPS 0.125% 04/15/22		05/03/2018	GOLDMAN SACHS & CO. CITICORP SECURITIES		4,011,396	4,000,000	4,040,914	4,055,521	(14,555)	119	0	(14,436)	0	4,041,086	0	(29,690)	(29,690)	2,819	04/15/2022
912828-XE-5	UNITED STATES TREASURY SENIOR GOVT BND 1.500% 05/31/20		03/12/2018	MARKETS		294,598	300,000	297,035	298,530	0	115	0	115	0	298,645	0	(4,048)	(4,048)	1,261	05/31/2020
05999999. Subtotal - Bonds - U.S. Governments						12,636,189	12,595,000	12,752,246	12,865,310	(154,121)	(3,731)	0	(157,852)	0	12,707,458	0	(71,268)	(71,268)	66,893	XXX
075887-BW-8	BECTON DICKINSON AND COMPANY SENIOR CORP BND 3.700% 06/06/27		01/17/2018	MORGAN STANLEY & CO. INC		298,320	300,000	299,379	299,409	0	3	0	3	0	299,411	0	(1,091)	(1,091)	1,326	06/06/2027
11134L-AG-4	BROADCOM CORPORATION/ BROADCOM SENIOR CORP BND 144A 3.875% 01/15/27		02/21/2018	Tax Free Exchange CITICORP SECURITIES		336,749	350,000	362,943	362,335	0	(170)	0	(170)	0	362,165	0	(25,416)	(25,416)	6,781	01/15/2027
42809H-AG-2	AMERADA HESS CORP SENIOR CORP BND 4.300% 04/01/27		07/12/2018	MARKETS		392,600	400,000	397,292	397,347	0	129	0	129	0	397,476	0	(4,876)	(4,876)	13,617	04/01/2027
641423-BW-7	NEVADA POWER COMPANY CORP BND 6.500% 08/01/18		01/16/2018	WELLS FARGO SECURITIES		2,047,520	2,000,000	2,272,300	2,054,027	0	(4,239)	0	(4,239)	0	2,049,788	0	(2,268)	(2,268)	60,306	08/01/2018
82481L-AB-5	SHIRE ACQUISITIONS INVESTMENTS SENIOR CORP BND 2.400% 09/23/21	D	01/03/2018	GOLDMAN SACHS & CO.		786,872	800,000	799,136	799,347	0	2	0	2	0	799,349	0	(12,477)	(12,477)	5,440	09/23/2021
38999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						3,862,061	3,850,000	4,131,050	3,912,465	0	(4,275)	0	(4,275)	0	3,908,189	0	(46,128)	(46,128)	87,470	XXX
8399997. Total - Bonds - Part 4						16,498,250	16,445,000	16,883,296	16,777,775	(154,121)	(8,006)	0	(162,127)	0	16,615,647	0	(117,396)	(117,396)	154,363	XXX
8399998. Total - Bonds - Part 5						3,019,458	3,090,000	3,071,599	0	0	90	0	90	0	3,071,689	0	(52,232)	(52,232)	29,665	XXX
8399999. Total - Bonds						19,517,708	19,535,000	19,954,895	16,777,775	(154,121)	(7,916)	0	(162,037)	0	19,687,336	0	(169,628)	(169,628)	184,028	XXX
8999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
8999998. Total - Preferred Stocks - Part 5						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
8999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9799997. Total - Common Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9799998. Total - Common Stocks - Part 5						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9799999. Total - Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9999999 - Totals						19,517,708	XXX	19,954,895	16,777,775	(154,121)	(7,916)	0	(162,037)	0	19,687,336	0	(169,628)	(169,628)	184,028	XXX

## ANNUAL STATEMENT FOR THE YEAR 2018 OF THE American Modern Surplus Lines Insurance Co.

## SCHEDULE D - PART 5

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1 CUSIP Identifi- cation	2 Description	3 For- eign	4 Date Acquired	5 Name of Vendor	6 Disposal Date	7 Name of Purchaser	8 Par Value (Bonds) or Number of Shares (Stock)	9 Book/ Adjusted Carrying Value at Disposal	10 Consid- eration	11 Actual Cost	Change in Book/Adjusted Carrying Value					17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Interest and Dividends Received During Year	21 Paid for Accrued Interest and Dividends
											12 Unrealized Valuation Increase/ (Decrease)	13 Current Year's (Amort- ization)/ Accretion	14 Current Year's Other- Than- Temporary Impairment Recognized	15 Total Change in Book/ Adjusted Carrying Value (12 + 13 - 14)	16 Total Foreign Exchange Change in Book/ Adjusted Carrying Value					
912828-3P-3	UNITED STATES TREASURY SENIOR GOVT BND 2.250% 12/31/24		01/24/2018	RBC DOMINION SECURITIES INC.	02/02/2018	CITICORP SECURITIES MARKETS	400,000	391,875	387,047	391,908	0	33	0	33	0	0	(4,861)	(4,861)	.895	.622
912828-3P-3	UNITED STATES TREASURY SENIOR GOVT BND 2.250% 12/31/24		01/24/2018	RBC DOMINION SECURITIES INC.	02/02/2018	CITICORP SECURITIES MARKETS	480,000	470,475	464,456	470,514	0	39	0	39	0	0	(6,057)	(6,057)	1,074	.746
912828-3R-9	UNITED STATES TREASURY SENIOR GOVT BND 0.500% 01/15/28		04/17/2018	HUTCHINSON SHOCKEY ERLEY & CO.	05/03/2018	GOLDMAN SACHS & CO.	600,000	593,892	589,628	593,938	0	47	0	47	0	0	(4,311)	(4,311)	.912	.776
912828-3W-8	UNITED STATES TREASURY SENIOR GOVT BND 2.750% 02/15/28		03/14/2018	BANK OF AMERICA	07/19/2018	BANK OF AMERICA	130,000	129,248	128,888	129,273	0	24	0	24	0	0	(385)	(385)	1,531	.286
912828-3W-8	UNITED STATES TREASURY SENIOR GOVT BND 2.750% 02/15/28		03/15/2018	BANK OF AMERICA	07/19/2018	BANK OF AMERICA	200,000	198,844	198,289	198,881	0	37	0	37	0	0	(592)	(592)	2,355	.486
912828-4N-7	UNITED STATES TREASURY SENIOR GOVT BND 2.875% 05/15/28		07/12/2018	CITICORP SECURITIES MARKETS INC	07/19/2018	J.P. MORGAN SECURITIES INC	330,000	330,722	330,735	330,721	0	0	0	0	0	0	13	13	1,702	.1,598
05999999	Subtotal - Bonds - U.S. Governments						2,140,000	2,115,056	2,099,043	2,115,235	0	180	0	180	0	0	(16,193)	(16,193)	8,469	4,514
11134L-AH-2	BROADCOM CORPORATION/BROADCOM SENIOR CORP BND 3.875% 01/15/27		02/21/2018	Tax Free Exchange	03/14/2018	BANK OF AMERICA	150,000	144,321	145,275	144,356	0	34	0	34	0	0	.919	.919	.985	.0
11134L-AH-2	BROADCOM CORPORATION/BROADCOM SENIOR CORP BND 3.875% 01/15/27		02/21/2018	Tax Free Exchange	03/15/2018	BANK OF AMERICA	200,000	192,428	193,704	192,480	0	52	0	52	0	0	1,224	1,224	1,378	.0
254700-AS-8	DISCOVERY COMMUNICATIONS LLC SENIOR CORP BND 5.000% 09/20/37		01/03/2018	JEFFRIES & CO. INC.	05/03/2018	WELLS FARGO SECURITIES	600,000	619,794	581,436	619,618	0	(176)	0	(176)	0	0	(38,182)	(38,182)	.18,833	.8,667
38999999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						950,000	956,543	920,415	956,454	0	(90)	0	(90)	0	0	(36,039)	(36,039)	21,196	8,667
83999998	Total - Bonds						3,090,000	3,071,599	3,019,458	3,071,689	0	90	0	90	0	0	(52,232)	(52,232)	29,665	13,181
89999998	Total - Preferred Stocks						0	0	0	0	0	0	0	0	0	0	0	0	0	0
97999998	Total - Common Stocks						0	0	0	0	0	0	0	0	0	0	0	0	0	0
98999999	Total - Preferred and Common Stocks						0	0	0	0	0	0	0	0	0	0	0	0	0	0
99999999	Totals						3,071,599	3,019,458	3,071,689	0	90	0	90	0	0	(52,232)	(52,232)	29,665	13,181	

Schedule D-Part 6-Section 1-Valuation of Shares of Subsidiary, Controlled or Affiliated Companies

**N O N E**

Schedule D - Part 6 - Section 2

**N O N E**

Schedule DA - Part 1 - Short-Term Investments Owned

**N O N E**

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

**N O N E**

Schedule DB - Part A - Section 2 - Options, Caps, Floors, Collars, Swaps and Forwards Terminated

**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open

**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

**N O N E**

Schedule DB - Part B - Section 2 - Futures Contracts Terminated

**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**N O N E**

**SCHEDULE E - PART 1 - CASH**

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Year	5 Amount of Interest Accrued December 31 of Current Year	6 Balance	7 *
US Bank ..... Cincinnati, Ohio Commercial Account .....		0.000	0	0	(1,350)	XXX
US Bank ..... Cincinnati, Ohio Outstanding A/P Checks		0.000	0	0	(1,659)	XXX
US Bank ..... Cincinnati, Ohio Claim Check Payable Account		0.000	0	0	(105,379)	XXX
0199998 Deposits in ... 0 depositories which do not exceed the allowable limit in any one depository (See instructions) - open depositories	XXX	XXX	0	0	0	XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	(108,387)	XXX
0299998 Deposits in ... 1 depositories which do not exceed the allowable limit in any one depository (See instructions) - suspended depositories	XXX	XXX	184	0	0	XXX
0299999. Totals - Suspended Depositories	XXX	XXX	184	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	184	0	(108,387)	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	0	XXX
.....						
.....						
.....						
.....						
.....						
.....						
0599999 Total - Cash	XXX	XXX	184	0	(108,387)	XXX

**TOTALS OF DEPOSITORY BALANCES ON THE LAST DAY OF EACH MONTH DURING THE CURRENT YEAR**

1. January.....	(27,594)	4. April.....	(75,802)	7. July.....	(66,507)	10. October.....	(123,114)
2. February.....	67,515	5. May.....	(121,122)	8. August.....	(36,305)	11. November.....	(110,390)
3. March.....	(111,537)	6. June.....	16,498	9. September.....	(104,898)	12. December.....	(108,387)

## ANNUAL STATEMENT FOR THE YEAR 2018 OF THE American Modern Surplus Lines Insurance Co.

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned December 31 of Current Year

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
0599999. Total - U.S. Government Bonds						0	0	0
1099999. Total - All Other Government Bonds						0	0	0
1799999. Total - U.S. States, Territories and Possessions Bonds						0	0	0
2499999. Total - U.S. Political Subdivisions Bonds						0	0	0
3199999. Total - U.S. Special Revenues Bonds						0	0	0
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						0	0	0
4899999. Total - Hybrid Securities						0	0	0
5599999. Total - Parent, Subsidiaries and Affiliates Bonds						0	0	0
6099999. Subtotal - SVO Identified Funds						0	0	0
6599999. Subtotal - Bank Loans						0	0	0
7799999. Total - Issuer Obligations						0	0	0
7899999. Total - Residential Mortgage-Backed Securities						0	0	0
7999999. Total - Commercial Mortgage-Backed Securities						0	0	0
8099999. Total - Other Loan-Backed and Structured Securities						0	0	0
8199999. Total - SVO Identified Funds						0	0	0
8299999. Total - Bank Loans						0	0	0
8399999. Total Bonds						0	0	0
857492-88-8 ..... STATE STREET INSTITUTIONAL MONEY MARKET FUND			12/31/2018	0.000		135.739	0	2,901
8599999. Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO						135.739	0	2,901
31846V-20-3 ..... FIRST AMERICAN TREASURY OBLIG			12/31/2018	0.000		1,026.362	0	17,681
8699999. Subtotal - All Other Money Market Mutual Funds						1,026.362	0	17,681
8899999 - Total Cash Equivalents						1,162,101	0	20,582

**SCHEDULE E - PART 3 - SPECIAL DEPOSITS**

States, Etc.	1 Type of Deposit	2 Purpose of Deposit	Deposits For the Benefit of All Policyholders		All Other Special Deposits	
			3 Book/Adjusted Carrying Value	4 Fair Value	5 Book/Adjusted Carrying Value	6 Fair Value
1. Alabama .....	AL		0	0	0	0
2. Alaska .....	AK		0	0	0	0
3. Arizona .....	AZ		0	0	0	0
4. Arkansas .....	AR		0	0	0	0
5. California .....	CA		0	0	0	0
6. Colorado .....	CO		0	0	0	0
7. Connecticut .....	CT		0	0	0	0
8. Delaware .....	DE		0	0	0	0
9. District of Columbia .....	DC		0	0	0	0
10. Florida .....	FL		0	0	0	0
11. Georgia .....	GA		0	0	0	0
12. Hawaii .....	HI		0	0	0	0
13. Idaho .....	ID		0	0	0	0
14. Illinois .....	IL		0	0	0	0
15. Indiana .....	IN		0	0	0	0
16. Iowa .....	IA		0	0	0	0
17. Kansas .....	KS		0	0	0	0
18. Kentucky .....	KY		0	0	0	0
19. Louisiana .....	LA		0	0	0	0
20. Maine .....	ME		0	0	0	0
21. Maryland .....	MD		0	0	0	0
22. Massachusetts .....	MA		0	0	0	0
23. Michigan .....	MI		0	0	0	0
24. Minnesota .....	MN		0	0	0	0
25. Mississippi .....	MS		0	0	0	0
26. Missouri .....	MO		0	0	0	0
27. Montana .....	MT		0	0	0	0
28. Nebraska .....	NE		0	0	0	0
29. Nevada .....	NV		0	0	0	0
30. New Hampshire .....	NH		0	0	0	0
31. New Jersey .....	NJ		0	0	0	0
32. New Mexico .....	NM		0	0	0	0
33. New York .....	NY		0	0	0	0
34. North Carolina .....	NC		0	0	0	0
35. North Dakota .....	ND		0	0	0	0
36. Ohio .....	OH	B INS CODE 3939.08	2,874,340	2,850,957	0	0
37. Oklahoma .....	OK		0	0	0	0
38. Oregon .....	OR		0	0	0	0
39. Pennsylvania .....	PA		0	0	0	0
40. Rhode Island .....	RI		0	0	0	0
41. South Carolina .....	SC		0	0	0	0
42. South Dakota .....	SD		0	0	0	0
43. Tennessee .....	TN		0	0	0	0
44. Texas .....	TX		0	0	0	0
45. Utah .....	UT		0	0	0	0
46. Vermont .....	VT		0	0	0	0
47. Virginia .....	VA		0	0	0	0
48. Washington .....	WA		0	0	0	0
49. West Virginia .....	WV		0	0	0	0
50. Wisconsin .....	WI		0	0	0	0
51. Wyoming .....	WY		0	0	0	0
52. American Samoa .....	AS		0	0	0	0
53. Guam .....	GU		0	0	0	0
54. Puerto Rico .....	PR		0	0	0	0
55. U.S. Virgin Islands .....	VI		0	0	0	0
56. Northern Mariana Islands .....	MP		0	0	0	0
57. Canada .....	CAN		0	0	0	0
58. Aggregate Alien and Other .....	OT	XXX	XXX	0	0	0
59. Subtotal .....		XXX	XXX	2,874,340	2,850,957	0
DETAILS OF WRITE-INS						
5801. ....						
5802. ....						
5803. ....						
5898. Summary of remaining write-ins for Line 58 from overflow page		XXX	XXX	0	0	0
5899. Totals (Lines 5801 thru 5803 plus 5898)(Line 58 above)		XXX	XXX	0	0	0

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