



# QUARTERLY STATEMENT

As of September 30, 2018  
of the Condition and Affairs of the

## FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA

NAIC Group Code..... 0, 0 (Current Period) (Prior Period) NAIC Company Code..... 56340 Employer's ID Number..... 34-0220550  
 Organized under the Laws of OH State of Domicile or Port of Entry OH Country of Domicile US  
 Incorporated/Organized..... January 9, 1892 Commenced Business..... October 1, 1890  
 Statutory Home Office 6611 ROCKSIDE ROAD .. INDEPENDENCE .. OH .. US .. 44131 (Street and Number) (City or Town, State, Country and Zip Code)  
 Main Administrative Office 6611 ROCKSIDE ROAD .. INDEPENDENCE .. OH .. US .. 44131 (Street and Number) (City or Town, State, Country and Zip Code) 216-642-9406 (Area Code) (Telephone Number)  
 Mail Address 6611 ROCKSIDE ROAD .. INDEPENDENCE .. OH .. US .. 44131 (Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)  
 Primary Location of Books and Records 6611 ROCKSIDE ROAD .. INDEPENDENCE .. OH .. US .. 44131 (Street and Number) (City or Town, State, Country and Zip Code) 216-642-9406 (Area Code) (Telephone Number)  
 Internet Web Site Address WWW.FCSU.COM  
 Statutory Statement Contact KENNETH ANTHONY ARENDT (Name) 216-642-9406 (Area Code) (Telephone Number) (Extension)  
 FCSU@AOL.COM (E-Mail Address) 216-642-4310 (Fax Number)

### OFFICERS

Name	Title	Name	Title
1. ANDREW MATHEW RAJEC	PRESIDENT	2. KENNETH ANTHONY ARENDT	EXECUTIVE SECRETARY
3. GEORGE F. MATTA II	TREASURER	4. ANDREW R. HARCAR SR	VICE PRESIDENT
<b>OTHER</b>			
GARY J. MATTA	GENERAL COUNSEL	EDWARD COWMAN	ACTUARY

### DIRECTORS OR TRUSTEES

ANDREW MATHEW RAJEC	ANDREW R. HARCAR SR	KENNETH ANTHONY ARENDT	GEORGE F. MATTA II
REV. THOMAS NASTA	SABINA SABADOS	HENRY HASSAY	KAREN HUNKA
JAMES MARMOL	MARTHA ZAVADA-WOJCIK	MILOS MITRO	DAMIAN NASTA
RUDOLF ONDREJCO	MICHAEL LAKO		

State of..... OHIO  
County of..... CUYAHOGA

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)	(Signature)	(Signature)
ANDREW MATHEW RAJEC	KENNETH ANTHONY ARENDT	GEORGE F. MATTA II
1. (Printed Name)	2. (Printed Name)	3. (Printed Name)
PRESIDENT	EXECUTIVE SECRETARY	TREASURER
(Title)	(Title)	(Title)

Subscribed and sworn to before me  
This \_\_\_\_\_ day of \_\_\_\_\_ NOVEMBER, 2018

a. Is this an original filing? Yes [ X ] No [ ]  
 b. If no: 1. State the amendment number \_\_\_\_\_  
 2. Date filed \_\_\_\_\_  
 3. Number of pages attached \_\_\_\_\_

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	354,686,306		354,686,306	348,541,005
2. Stocks:				
2.1 Preferred stocks.....			0	
2.2 Common stocks.....	2,767,353		2,767,353	2,710,635
3. Mortgage loans on real estate:				
3.1 First liens.....	432,479		432,479	609,764
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....	380,134		380,134	401,326
4.2 Properties held for the production of income (less \$.....0 encumbrances).....	1,002,062		1,002,062	1,045,630
4.3 Properties held for sale (less \$.....0 encumbrances).....	785,946		785,946	779,196
5. Cash (\$.....5,659,484), cash equivalents (\$.....0) and short-term investments (\$.....6,902,208).....	12,561,692		12,561,692	30,811,526
6. Contract loans (including \$.....0 premium notes).....	1,062,868		1,062,868	1,094,955
7. Derivatives.....			0	
8. Other invested assets.....	7,685,580		7,685,580	5,280,869
9. Receivables for securities.....			0	
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	381,364,420	0	381,364,420	391,274,906
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	4,946,155		4,946,155	4,658,595
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	16,007		16,007	23,586
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....			0	
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....			0	
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....			0	
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....			0	
18.2 Net deferred tax asset.....			0	
19. Guaranty funds receivable or on deposit.....			0	
20. Electronic data processing equipment and software.....			0	
21. Furniture and equipment, including health care delivery assets (\$.....0).....	5,595	5,595	0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....			0	
24. Health care (\$.....0) and other amounts receivable.....			0	
25. Aggregate write-ins for other than invested assets.....	550	550	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	386,332,727	6,145	386,326,582	395,957,087
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
28. Total (Lines 26 and 27).....	386,332,727	6,145	386,326,582	395,957,087

**DETAILS OF WRITE-INS**

1101.....			0	
1102.....			0	
1103.....			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Deposits 550.....	550	550	0	
2502.....			0	
2503.....			0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	550	550	0	0

# FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANA LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts (including \$.....0 Modco Reserve).....	314,010,000	313,139,204
2. Aggregate reserve for accident and health contracts (including \$.....0 Modco Reserve).....		
3. Liability for deposit-type contracts (including \$.....0 Modco Reserve).....	29,120,170	39,687,240
4. Contract claims:		
4.1 Life.....	300,000	300,000
4.2 Accident and health.....		
5. Refunds due and unpaid.....		
6. Provisions for refunds payable in following calendar year - estimated amounts:		
6.1 Apportioned for payment.....	400,000	400,000
6.2 Not yet apportioned.....		
7. Premiums and annuity considerations for life and accident and health contracts received in advance less \$.....0 discount; including \$.....0 accident and health premiums.....	44,902	61,288
8. Certificate and contract liabilities not included elsewhere:		
8.1 Surrender values on canceled contracts.....		
8.2 Other amounts payable on reinsurance including \$.....0 assumed and \$.....0 ceded.....		
8.3 Interest maintenance reserve (IMR).....	1,265,447	1,128,886
9. Commissions to fieldworkers due or accrued - life and annuity contracts \$.....0, accident and health \$.....0 and deposit-type contract funds \$.....0.....	7,752	23,126
10. Commissions and expense allowances payable on reinsurance assumed.....		
11. General expenses due or accrued.....	85,792	62,102
12. Transfers to Separate Accounts due or accrued (net) (including \$.....0 accrued for expense allowances recognized in reserves).....		
13. Taxes, licenses and fees due or accrued.....	18,072	18,072
14. Unearned investment income.....		
15. Amounts withheld or retained by Society as agent or trustee.....	6,031,706	6,190,944
16. Amounts held for fieldworkers' account, including \$.....0 fieldworkers' credit balances.....		
17. Remittances and items not allocated.....		
18. Net adjustment in assets and liabilities due to foreign exchange rates.....	9,511	9,511
19. Liability for benefits for employees and fieldworkers if not included above.....		
20. Borrowed money \$.....0 and interest thereon \$.....0.....		
21. Miscellaneous liabilities:		
21.1 Asset valuation reserve.....	2,476,078	2,913,033
21.2 Reinsurance in unauthorized and certified (\$.....0) companies.....		
21.3 Funds held under reinsurance treaties with unauthorized and certified (\$.....0) reinsurers.....		
21.4 Payable to subsidiaries and affiliates.....		
21.5 Drafts outstanding.....		
21.6 Funds held under coinsurance.....		
21.7 Derivatives.....		
21.8 Payable for securities.....		
21.9 Payable for securities lending.....		
22. Aggregate write-ins for liabilities.....	390,835	1,240,835
23. Total liabilities excluding Separate Accounts business (Lines 1 to 22).....	354,160,265	365,174,241
24. From Separate Accounts Statement.....		
25. Total liabilities (Lines 23 to 24).....	354,160,265	365,174,241
26. Aggregate write-ins for other than liabilities and surplus funds.....	0	0
27. Surplus notes.....		
28. Aggregate write-ins for surplus funds.....	0	0
29. Unassigned funds.....	32,166,317	30,782,846
30. Total (Lines 26 through 29) (including \$.....0 in Separate Accounts Statement).....	32,166,317	30,782,846
31. Totals (Lines 25 + 30) (Page 2, Line 28, Col. 3).....	386,326,582	395,957,087

### DETAILS OF WRITE-INS

2201. Postretirement Reserve.....	379,868	379,868
2202. Security Deposits.....	10,967	10,967
2203. Convention Accrual.....		650,000
2298. Summary of remaining write-ins for Line 22 from overflow page.....	0	200,000
2299. Totals (Lines 2201 thru 2203 plus 2298) (Line 22 above).....	390,835	1,240,835
2601. ....		
2602. ....		
2603. ....		
2698. Summary of remaining write-ins for Line 26 from overflow page.....	0	0
2699. Totals (Lines 2601 thru 2603 plus 2698) (Line 26 above).....	0	0
2801. ....		
2802. ....		
2803. ....		
2898. Summary of remaining write-ins for Line 28 from overflow page.....	0	0
2899. Totals (Lines 2801 thru 2803 plus 2898) (Line 28 above).....	0	0

# FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANA

## SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts.....	9,087,319	14,993,448	19,448,102
2. Considerations for supplementary contracts with life contingencies.....			
3. Net investment income.....	12,106,812	12,021,839	15,764,562
4. Amortization of Interest Maintenance Reserve (IMR).....	346,302	300,000	485,085
5. Separate Accounts net gain from operations excluding unrealized gains and losses.....			
6. Commissions and expense allowances on reinsurance ceded.....			
7. Reserve adjustments on reinsurance ceded.....			
8. Miscellaneous income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts.....			
8.2 Charges and fees for deposit-type contracts.....			
8.3 Aggregate write-ins for miscellaneous income.....	2,151	4,992	31,642
9. Totals (Lines 1 to 8.3).....	21,542,584	27,320,279	35,729,391
10. Death benefits.....	2,052,953	2,026,270	2,607,722
11. Matured endowments (excluding guaranteed annual pure endowments).....			
12. Annuity benefits.....	13,428,499	12,208,176	15,550,939
13. Disability benefits and benefits under accident and health contracts including premiums waived \$.....0.....			
14. Surrender benefits and withdrawals for life contracts.....	439,533	409,710	559,825
15. Interest and adjustments on contract or deposit-type contract funds.....	137,955	125,564	169,629
16. Payments on supplementary contracts with life contingencies.....			
17. Increase in aggregate reserve for life and accident and health contracts.....	870,796	7,799,419	10,847,624
18. Totals (Lines 10 to 17).....	16,929,736	22,569,139	29,735,739
19. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only).....	132,002	234,896	304,518
20. Commissions and expense allowances on reinsurance assumed.....			
21. General insurance expenses and fraternal expenses.....	3,229,516	2,474,800	3,038,946
22. Insurance taxes, licenses and fees.....	81,829	72,083	95,852
23. Increase in loading on deferred and uncollected premiums.....			
24. Net transfers to or (from) Separate Accounts net of reinsurance.....			
25. Aggregate write-ins for deductions.....	200,789	(38,238)	(192,209)
26. Totals (Lines 18 to 25).....	20,573,872	25,312,680	32,982,846
27. Net gain from operations before refunds to members (Line 9 minus Line 26).....	968,712	2,007,599	2,746,545
28. Refunds to members.....	301,621	301,673	420,527
29. Net gain from operations after refunds to members and before realized capital gains (losses) (Line 27 minus Line 28).....	667,091	1,705,926	2,326,018
30. Net realized capital gains (losses) less capital gains tax of .....0 (excluding \$.....482,864 transferred to the IMR).....	90,932	91,392	(151,690)
31. Net income (Lines 29 + 30).....	758,023	1,797,318	2,174,328
<b>SURPLUS ACCOUNT</b>			
32. Surplus, December 31, prior year.....	30,782,846	28,099,397	28,099,397
33. Net income from operations (Line 31).....	758,023	1,797,318	2,174,328
34. Change in net unrealized capital gains (losses) less capital gains tax of \$.....0.....	175,679	215,071	784,400
35. Change in net unrealized foreign exchange capital gain (loss).....			
36. Change in nonadmitted assets.....	2,189	2,189	2,919
37. Change in liability for reinsurance in unauthorized and certified companies.....			
38. Change in reserve on account of change in valuation basis (increase) or decrease.....			
39. Change in asset valuation reserve.....	436,954	(202,145)	(305,475)
40. Surplus (contributed to) withdrawn from Separate Accounts during period.....			
41. Other changes in surplus in Separate Accounts Statement.....			
42. Change in surplus notes.....			
43. Cumulative effect of changes in accounting principles.....			
44. Change in surplus as a result of reinsurance.....			
45. Aggregate write-ins for gains and losses in surplus.....	10,625	553	27,278
46. Net change in surplus for the year (Lines 33 through 45).....	1,383,470	1,812,986	2,683,450
47. Surplus as of statement date (Lines 32 + 46).....	32,166,317	29,912,383	30,782,846
<b>DETAILS OF WRITE-INS</b>			
08.301. ADVERTISING AND SUBSCRIPTION INCOME.....	2,590	3,015	3,665
08.302. RENTAL INCOME ON GROUNDS AT ESTATES.....			26,000
08.303. MISCELLANEOUS INCOME.....	(439)	1,977	1,977
08.398. Summary of remaining write-ins for Line 8.3 from overflow page.....	0	0	0
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above).....	2,151	4,992	31,642
2501. NET CHANGE IN SETTLEMENT OPTIONS W/O LIFE.....	505,394	25,366	
2502. NET CHANGE IN PENSION FUND.....	(304,605)	(263,604)	(392,209)
2503. LEGAL RESERVE LAWSUIT FUND.....		200,000	200,000
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	200,789	(38,238)	(192,209)
4501. ACCRUAL & ASSET ADJUSTMENTS.....	10,625	553	27,278
4502. TRF OF UNEARNED PREM RESRV & REINS CR TO RESERVES.....			
4503. INCREASE IN POST RETIREMENT COST.....			
4598. Summary of remaining write-ins for Line 45 from overflow page.....	0	0	0
4599. Totals (Lines 4501 thru 4503 plus 4598) (Line 45 above).....	10,625	553	27,278

# FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANA CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>CASH FROM OPERATIONS</b>			
1. Premiums collected net of reinsurance.....	9,078,512	14,975,477	19,444,126
2. Net investment income.....	12,956,814	12,777,359	17,179,646
3. Miscellaneous income.....	2,151	4,992	31,642
4. Total (Lines 1 through 3).....	22,037,477	27,757,828	36,655,414
5. Benefit and loss related payments.....	16,058,940	14,769,720	18,888,115
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	4,572,602	2,424,162	2,848,439
8. Dividends paid to policyholders.....	301,621	301,673	420,527
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses).....			
10. Total (Lines 5 through 9).....	20,933,163	17,495,555	22,157,081
11. Net cash from operations (Line 4 minus Line 10).....	1,104,314	10,262,273	14,498,333
<b>CASH FROM INVESTMENTS</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	18,792,580	14,104,815	24,312,661
12.2 Stocks.....			
12.3 Mortgage loans.....	177,285	168,099	225,104
12.4 Real estate.....			
12.5 Other invested assets.....	1,197,389		
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....			
12.7 Miscellaneous proceeds.....			
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	20,167,255	14,272,914	24,537,765
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	25,426,902	24,761,999	31,163,444
13.2 Stocks.....	16,300	18,700	18,700
13.3 Mortgage loans.....			
13.4 Real estate.....	6,750		23,375
13.5 Other invested assets.....	3,449,348		
13.6 Miscellaneous applications.....		521,933	521,933
13.7 Total investments acquired (Lines 13.1 to 13.6).....	28,899,300	25,302,632	31,727,452
14. Net increase or (decrease) in contract loans and premium notes.....	(32,087)	(40,238)	(23,870)
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(8,699,958)	(10,989,480)	(7,165,817)
<b>CASH FROM FINANCING AND MISCELLANEOUS SOURCES</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....			
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....	(10,567,070)	939,687	(1,366,238)
16.5 Dividends to stockholders.....			
16.6 Other cash provided (applied).....	(87,119)	639,588	883,842
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	(10,654,189)	1,579,275	(482,396)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	(18,249,834)	852,068	6,850,120
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	30,811,525	23,961,404	23,961,405
19.2 End of period (Line 18 plus Line 19.1).....	12,561,691	24,813,472	30,811,525

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001 .....			
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**EXHIBIT 1**

**DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Life Insurance.....	1,429,376	1,138,293	1,634,817
2. Individual annuities.....	7,972,095	13,855,131	17,839,808
3. Accident and Health.....			
4. Aggregate of all other lines of business.....	0	0	0
5. Subtotal (Lines 1 through 4).....	9,401,471	14,993,424	19,474,625
6. Fraternal.....			
7. Expenses.....			
8. Subtotal (Lines 5 through 7).....	9,401,471	14,993,424	19,474,625
9. Deposit-type contracts.....			
10. Total.....	9,401,471	14,993,424	19,474,625

**DETAILS OF WRITE-INS**

0401.....			
0402.....			
0403.....			
0498. Summary of remaining write-ins for Line 4 from overflow page.....	0	0	0
0499. Total (Lines 0401 thru 0403 plus 0498) (Line 4 above).....	0	0	0

## NOTES TO FINANCIAL STATEMENTS

### Note 1 – Summary of Significant Accounting Policies and Going Concern

#### A. Accounting Practices

	SSAP #	F/S Page	F/S Line #	Current Year to Date	2017
<b>NET INCOME</b>					
(1) FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA Company state basis (Page 4, Line 31, Columns 1 & 3)	XXX	XXX	XXX	\$ 758,023	\$ 2,174,328
(2) State Prescribed Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(3) State Permitted Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(4) NAIC SAP (1 – 2 – 3 = 4)	XXX	XXX	XXX	\$ 758,023	\$ 2,174,328
<b>SURPLUS</b>					
(5) FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA Company state basis (Page 3, line 30, Columns 1 & 2)	XXX	XXX	XXX	\$ 32,166,317	\$ 30,782,846
(6) State Prescribed Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(7) State Permitted Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(8) NAIC SAP (5 – 6 – 7 = 8)	XXX	XXX	XXX	\$ 32,166,317	\$ 30,782,846

#### C. Accounting Policy

(6) Basis for Loan-Backed Securities and Adjustment Methodology

No significant change.

#### D. Going Concern

After carefully evaluating the Society's ability to continue as a going concern, FCSU's management was not aware of any conditions or events which raised substantial doubts concerning the Society's ability to continue as a going concern as of the date of the filing of this statement.

### Note 2 – Accounting Changes and Corrections of Errors

No significant changes

### Note 3 – Business Combinations and Goodwill

No significant changes

### Note 4 – Discontinued Operations

No significant changes

### Note 5 – Investments

D. Loan-Backed Securities NONE

### Note 6 – Joint Ventures, Partnerships and Limited Liability Companies

No significant changes

### Note 7 – Investment Income

No significant changes

### Note 8 – Derivative Instruments

H. Total Premium Costs for Contracts  
NONE

### Note 9 – Income Taxes

No significant changes

### Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No significant changes

### Note 11 – Debt

B. FHLB (Federal Home Loan Bank) Agreements

## NOTES TO FINANCIAL STATEMENTS

## (1) Information on the Nature of the Agreement

The Company is a member of the Federal Home Loan Bank (FHLB) of Cincinnati. Through its membership, the Company has conducted business activity (borrowings) with the FHLB. It is part of the Company 19s strategy to utilize these funds in an investment spread strategy, consistent with its other investment spread operations. The Company has determined the actual/estimated maximum borrowing capacity as \$43,787,258. The Company calculated this amount in accordance with current FHLB capital stock.

## (2) FHLB Capital Stock

## a. Aggregate Totals

## 1. Current Year to Date

	1 Total 2 + 3	2 General Account	3 Separate Accounts
(a) Membership Stock – Class A	\$ 475,148	\$ 475,148	\$
(b) Membership Stock – Class B			
(c) Activity Stock	1,061,017	1,061,017	
(d) Excess Stock			
(e) Aggregate Total (a+b+c+d)	\$ 1,536,165	\$ 1,536,165	\$
(f) Actual or estimated borrowing capacity as determined by the insurer	43,787,258	XXX	XXX

## 2. Prior Year

	1 Total 2 + 3	2 General Account	3 Separate Accounts
(a) Membership Stock – Class A	\$ 458,856	\$ 458,856	\$
(b) Membership Stock – Class B			
(c) Activity Stock	1,135,044	1,135,044	
(d) Excess Stock			
(e) Aggregate Total (a+b+c+d)	\$ 1,593,900	\$ 1,593,900	\$
(f) Actual or estimated borrowing capacity as determined by the insurer	41,066,240	XXX	XXX

## b. Membership Stock (Class A and B) Eligible for Redemption

Membership Stock	1 Current Year Total (2+3+4+5+6)	2 Not Eligible for Redemption	Eligible for Redemption			
			3 Less than 6 Months	4 6 Months to Less Than 1 Year	5 1 to Less Than 3 Years	6 3 to 5 Years
1. Class A	\$ 475,148	\$ 475,148	\$	\$	\$	\$
2. Class B	\$	\$	\$	\$	\$	\$

## (3) Collateral Pledged to FHLB

## a. Amount Pledged as of Reporting Date

	1 Fair Value	2 Carrying Value	3 Aggregate Total Borrowing
1. Current Year to Date Total General and Separate Accounts Total Collateral Pledged (Lines 2+3)	\$ 45,908,248	\$ 45,518,605	\$
2. Current Year to Date General Account Total Collateral Pledged	45,908,248	45,518,605	
3. Current Year to Date Separate Accounts Total Collateral Pledged			
4. Prior Year Total General and Separate Accounts Total Collateral Pledged	\$ 48,900,174	\$ 46,261,960	\$

## b. Maximum Amount Pledged During Reporting Period

	1 Fair Value	2 Carrying Value	3 Amount of Borrowed at Time of Maximum Collateral
1. Current Year to Date Total General and Separate Accounts Total Collateral Pledged (Lines 2+3)	\$ 48,900,174	\$ 46,261,960	\$
2. Current Year to Date General Account Total Collateral Pledged	48,900,174	46,261,960	
3. Current Year to Date Separate Accounts Total Collateral Pledged			
4. Prior Year Total General and Separate Accounts Total Collateral Pledged	\$ 51,884,268	\$ 48,688,086	\$

## (4) Borrowing from FHLB

## a. Amount as of the Reporting Date

## 1. Current Year to Date

	1 Total 2 + 3	2 General Account	3 Separate Accounts	4 Funding Agreements Reserves Established

**NOTES TO FINANCIAL STATEMENTS**

(a) Debt	\$	\$	\$	XXX
(b) Funding Agreements				\$
(c) Other		26,525,418	26,525,418	XXX
(d) Aggregate Total (a+b+c)	\$	26,525,418	\$ 26,525,418	\$

## 2. Prior Year

		1 Total 2 + 3	2 General Account	3 Separate Accounts	4 Funding Agreements Reserves Established
(a) Debt	\$		\$	\$	XXX
(b) Funding Agreements					\$
(c) Other		38,392,305	38,392,305		XXX
(d) Aggregate Total (a+b+c)	\$	38,392,305	\$ 38,392,305	\$	\$

## b. Maximum Amount During Reporting Period (Current Year to Date)

		1 Total 2 + 3	2 General Account	3 Separate Accounts
1. Debt				
2. Funding Agreements				
3. Other		33,188,790	33,188,790	
4. Aggregate Total (Lines 1+2+3)		33,188,790	33,188,790	

## c. FHLB – Prepayment Obligations

	Does the Company have Prepayment Obligations under the Following Arrangements (YES/NO)
1. Debt	NO
2. Funding Agreements	NO
3. Other	NO

**Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

## A. Defined Benefit Plan

## (4) Components of Net Periodic Benefit Cost

	Pension Benefits		Postretirement Benefits		Special or Contractual Benefits per SSAP No. 11	
	2018 Year to Date	2017	2018 Year to Date	2017	2018 Year to Date	2017
a. Service cost	\$ 105,145	\$ 115,514	\$	\$ 4,655	\$	\$
b. Interest cost	269,723	337,068		19,649		
c. Expected return on plan assets	(288,859)	(366,035)		525		
d. Transition asset or obligation						
e. Gains and losses				2,217		
f. Prior service cost or credit						
g. Gain or loss recognized due to a settlement curtailment						
h. Total net periodic benefit cost	\$ 86,009	\$ 86,547	\$	\$ 27,046	\$	\$

**Note 13 – Capital and Surplus, Shareholder's Dividend Restrictions and Quasi-Reorganizations**

No significant changes

**Note 14 – Liabilities, Contingencies and Assessments**

No significant changes

**Note 15 – Leases**

No significant changes

**Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk**

No significant changes

## NOTES TO FINANCIAL STATEMENTS

### Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

#### B. Transfer and Servicing of Financial Assets

(2) Servicing Assets and Servicing Liabilities  
NONE

(4) Securitizations, Asset-Based Financing Arrangements and Similar Transfers Accounted for as Sales  
(a)  
NONE

(b)  
NONE

#### C. Wash Sales

(1) Description of the Objectives Regarding These Transactions  
NONE

(2) The details by NAIC designation 3 or below, or unrated of securities sold during the current period and reacquired within 30 days of the sale date are:  
NONE

Description	NAIC Designation	Number of Transactions	Book Value of Securities Sold	Cost of Securities Repurchased	Gain/(Loss)
			\$	\$	\$

NONE

### Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Portion of Partially Insured Plans

No significant changes

### Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant changes

### Note 20 – Fair Value Measurements

#### A. Fair Value Measurements

(1) Fair Value Measurements at Reporting Date

	Level 1	Level 2	Level 3	Total	Net Asset Value (NAV) Included in Level 2
Assets at Fair Value					
Common Stocks	\$ 2,767,353	\$	\$	\$ 2,767,353	\$
Total	\$ 2,767,353	\$	\$	\$ 2,767,353	\$
Liabilities at Fair Value					
	\$	\$	\$	\$	\$
Total	\$	\$	\$	\$	\$

(2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy  
None

	Ending Balance as of Prior Period	Transfers Into Level 3	Transfers Out of Level 3	Total Gains and (Losses) Included in Net Income	Total Gains and (Losses) Included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance as of End of Current Period
a. Assets										
	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
Total	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
b. Liabilities										
	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
Total	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$

(3) Policies when Transfers Between Levels are Recognized

(4) Description of Valuation Techniques and Inputs Used in Fair Value Measurement

(5) Fair Value Disclosures

#### B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements

## NOTES TO FINANCIAL STATEMENTS

### C. Fair Value Level

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)	Net Asset Value (NAV) Included in Level 2
	\$	\$	\$	\$	\$	\$	\$

### D. Not Practicable to Estimate Fair Value

Type of Class or Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
	\$	%		

### Note 21 – Other Items

No significant changes

### Note 22 – Events Subsequent

Subsequent events have been considered through September 30, 2018 for these statutory financial statements which are to be issued on September 30, 2018. There were no events occurring subsequent to the end of the quarter that merited recognition or disclosure in these statements.

### Note 23 – Reinsurance

No significant changes

### Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination

E. Risk Sharing Provisions of the Affordable Care Act

NO SIGNIFICANT CHANGES

### Note 25 – Change in Incurred Losses and Loss Adjustment Expenses

A. Change in Incurred Losses and Loss Adjustment Expenses

NONE

NONE

B. Information about Significant Changes in Methodologies and Assumptions

NONE

NONE

### Note 26 – Intercompany Pooling Arrangements

No significant changes

### Note 27 – Structured Settlements

No significant changes

### Note 28 – Health Care Receivables

No significant changes

### Note 29 – Participating Policies

No significant changes

### Note 30 – Premium Deficiency Reserves

No significant changes

### Note 31 – Reserves for Life Contracts and Deposit-Type Contracts

No significant changes

### Note 32 – Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics

No significant changes

### Note 33 – Premium and Annuity Considerations Deferred and Uncollected

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## **NOTES TO FINANCIAL STATEMENTS**

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No significant changes

**Note 34 – Separate Accounts**

No significant changes

**Note 35 – Loss/Claim Adjustment Expenses**

No significant changes

**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]
- 2.2 If yes, date of change: \_\_\_\_\_
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? If yes, complete Schedule Y, Parts 1 and 1A. Yes [X] No [ ]
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [ ] No [X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? If yes, attach an explanation. Yes [ ] No [ ] N/A [X]
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 08/18/2017
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2013
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 07/10/2014
- 6.4 By what department or departments?  
STATE OF OHIO, DEPT. OF INSURANCE
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [X] No [ ] N/A [ ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]
- 7.2 If yes, give full information:

- 8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [ ] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [X]
- 8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]
  - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
  - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
  - (c) Compliance with applicable governmental laws, rules and regulations;
  - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
  - (e) Accountability for adherence to the code.

- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**FINANCIAL**

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ ] No [X]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

**INVESTMENT**

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0
13. Amount of real estate and mortgages held in short-term investments: \$ 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [X] No [ ]
- 14.2 If yes, please complete the following:

	1 Prior Year End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0	\$ 0
14.22 Preferred Stock	0	0
14.23 Common Stock	1,014,480	1,014,480
14.24 Short-Term Investments	0	0
14.25 Mortgage Loans on Real Estate	0	0
14.26 All Other	0	0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 1,014,480	\$ 1,014,480
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ 0	\$ 0

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [X]
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ]
- If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.3 Total payable for securities lending reported on the liability page: \$ 0
17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
KEYBANK NA	127 PUBLIC SQUARE, CLEVELAND, OHIO 44114-1306

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [X]
- 17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["...that have access to the investment accounts", "handle securities"].

1 Name of Firm or Individual	2 Affiliation

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's assets? Yes [ ] No [ ]
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes [ ] No [ ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed

- 18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes [X] No [ ]
- 18.2 If no, list exceptions:

**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

19. By self-designating 5\*GI securities, the reporting entity is certifying the following elements for each self-designated 5\*GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist.
  - b. Issuer or obligor is current on all contracted interest and principal payments.
  - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5\*GI securities?

Yes [ ] No [X]

**FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANA  
GENERAL INTERROGATORIES (continued)**

**PART 2 - FRATERNAL**

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories:

	Reponses
1.1 Long-term mortgages in good standing:	
1.11 Farm mortgages.....	.....
1.12 Residential mortgages.....	\$.....33,323
1.13 Commercial mortgages.....	\$.....399,156
1.14 Total mortgages in good standing.....	\$.....432,479
1.2 Long-term mortgages in good standing with restructured terms:	
1.21 Total mortgages in good standing with restructured terms.....	.....
1.3 Long-term mortgage loans upon which interest is overdue more than three months:	
1.31 Farm mortgages.....	.....
1.32 Residential mortgages.....	.....
1.33 Commercial mortgages.....	.....
1.34 Total mortgages with interest overdue more than three months.....	\$.....0
1.4 Long-term mortgage loans in process of foreclosure:	
1.41 Farm mortgages.....	.....
1.42 Residential mortgages.....	.....
1.43 Commercial mortgages.....	.....
1.44 Total mortgages in process of foreclosure.....	\$.....0
1.5 Total mortgage loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2).....	\$.....432,479
1.6 Long-term mortgages foreclosed, properties transferred to real estate in current quarter:	
1.61 Farm mortgages.....	.....
1.62 Residential mortgages.....	.....
1.63 Commercial mortgages.....	.....
1.64 Total mortgages foreclosed and transferred to real estate.....	\$.....0

2.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurance for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? Yes [ ] No [ X ]

2.2 If no, explain.....

THE SOCIETY DOES NOT WRITE THIS BUSINESS.;

3. Operating Percentages:

3.1 A&H loss percent.....	.....
3.2 A&H cost containment percent.....	.....
3.3 A&H expense percent excluding cost containment expenses.....	.....

4.1 Do you act as a custodian for health savings accounts?..... Yes [ ] No [ X ]

4.2 If yes, please provide the amount of custodial funds held as of the reporting date..... \$.....

4.3 Do you act as an administrator for health savings accounts?..... Yes [ ] No [ X ]

4.4 If yes, please provide the balance of the funds administered as of the reporting date..... \$.....

5.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus?..... Yes [ ] No [ X ]

5.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amounts

6. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?..... Yes [ X ] No [ ]

6.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?..... Yes [ ] No [ ]

**FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANA  
SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

1	2	3	4	5	6	7	8	9
NAIC Company Code	ID Number	Effective Date	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurance Ceded	Type of Reinsurer	Certified Reinsurer Rating (1 through 6)	Effective Date of Certified Reinsurer Rating

**NONE**

# FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANA SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

State, Etc.	1 Active Status (a)	Direct Business Only					6 Total Columns 2 through 5	7 Deposit-Type Contracts
		Life Contracts		4 Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	5 Other Considerations			
		2 Life Insurance Premiums	3 Annuity Considerations					
1. Alabama.....	AL	.N					0	
2. Alaska.....	AK	.N					0	
3. Arizona.....	AZ	.L		48,840			48,840	
4. Arkansas.....	AR	.N					0	
5. California.....	CA	.N					0	
6. Colorado.....	CO	.L		20,000			20,000	
7. Connecticut.....	CT	.L	13,814	5,000			18,814	
8. Delaware.....	DE	.N					0	
9. District of Columbia.....	DC	.N					0	
10. Florida.....	FL	.L	5,493	23,164			28,658	
11. Georgia.....	GA	.L	10	9,500			9,510	
12. Hawaii.....	HI	.N					0	
13. Idaho.....	ID	.N					0	
14. Illinois.....	IL	.L	48,060	2,251,218			2,299,278	
15. Indiana.....	IN	.L	4,378	13,168			17,546	
16. Iowa.....	IA	.L	280	240,025			240,305	
17. Kansas.....	KS	.N					0	
18. Kentucky.....	KY	.L					0	
19. Louisiana.....	LA	.N					0	
20. Maine.....	ME	.N					0	
21. Maryland.....	MD	.L	28	81,500			81,528	
22. Massachusetts.....	MA	.L	608	6,000			6,608	
23. Michigan.....	MI	.L	27,134	328,535			355,669	
24. Minnesota.....	MN	.L	2,068	22,500			24,568	
25. Mississippi.....	MS	.N					0	
26. Missouri.....	MO	.L	353	448,000			448,353	
27. Montana.....	MT	.N					0	
28. Nebraska.....	NE	.L	4	89,523			89,527	
29. Nevada.....	NV	.L	59				59	
30. New Hampshire.....	NH	.N					0	
31. New Jersey.....	NJ	.L	126,648	291,650			418,298	
32. New Mexico.....	NM	.N					0	
33. New York.....	NY	.L	43,388	292,281			335,669	
34. North Carolina.....	NC	.L	(246)				(246)	
35. North Dakota.....	ND	.N					0	
36. Ohio.....	OH	.L	231,052	1,044,865			1,275,917	
37. Oklahoma.....	OK	.N					0	
38. Oregon.....	OR	.N					0	
39. Pennsylvania.....	PA	.L	626,457	2,581,720			3,208,176	
40. Rhode Island.....	RI	.N					0	
41. South Carolina.....	SC	.L		550			550	
42. South Dakota.....	SD	.N					0	
43. Tennessee.....	TN	.L					0	
44. Texas.....	TX	.L					0	
45. Utah.....	UT	.N					0	
46. Vermont.....	VT	.N					0	
47. Virginia.....	VA	.L	5,096	225			5,321	
48. Washington.....	WA	.N					0	
49. West Virginia.....	WV	.L	3,173	5,500			8,673	
50. Wisconsin.....	WI	.L	4,053	168,331			172,384	
51. Wyoming.....	WY	.N					0	
52. American Samoa.....	AS	.N					0	
53. Guam.....	GU	.N					0	
54. Puerto Rico.....	PR	.N					0	
55. US Virgin Islands.....	VI	.N					0	
56. Northern Mariana Islands.....	MP	.N					0	
57. Canada.....	CAN	.N					0	
58. Aggregate Other Alien.....	OT	.XXX	0	0	0	0	0	0
59. Subtotals.....	(a)XXX		1,141,908	7,972,095	0	0	9,114,002	0
90. Reporting entity contributions for employee benefit plans	.XXX						0	
91. Dividends or refunds applied to purchase paid-up additions and annuities.....	.XXX		286,720				286,720	
92. Dividends or refunds applied to shorten endowment or premium paying period.....	.XXX		748				748	
93. Premium or annuity considerations waived under disability or other contract provisions.....	.XXX						0	
94. Aggregate other amounts not allocable by state.....	.XXX		0	0	0	0	0	0
95. Totals (Direct Business).....	.XXX		1,429,376	7,972,095	0	0	9,401,470	0
96. Plus reinsurance assumed.....	.XXX						0	
97. Totals (All Business).....	.XXX		1,429,376	7,972,095	0	0	9,401,470	0
98. Less reinsurance ceded.....	.XXX		26,684				26,684	
99. Totals (All Business) less reinsurance ceded.....	.XXX		1,402,692	7,972,095	0	0	9,374,786	0

**DETAILS OF WRITE-INS**

58001.....	.XXX						0	
58002.....	.XXX						0	
58003.....	.XXX						0	
58998. Summary of remaining write-ins for Line 58 from overflow page.....	.XXX		0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003 plus 58998) (Line 58 above).....	.XXX		0	0	0	0	0	0
9401.....	.XXX						0	
9402.....	.XXX						0	
9403.....	.XXX						0	
9498. Summary of remaining write-ins for Line 94 from overflow page.....	.XXX		0	0	0	0	0	0
9499. Totals (Lines 9401 thru 9403 plus 9498) (Line 94 above).....	.XXX		0	0	0	0	0	0

(a) Active Status Count

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG..... 27  
 E - Eligible - Reporting entities eligible or approved to write surplus lines in the state ... 0

R - Registered - Non-domiciled RRGs..... 0  
 Q - Qualified - Qualified or accredited reinsurer..... 0  
 N - None of the above - Not allowed to write business in the state..... 30

## **SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**

### PART 1 – ORGANIZATIONAL CHART

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Holding Company System Annual Regulation Statement

FCSU - NAIC 56340  
A Fraternal benefit Society  
E.I.N. 34-0220550

Filed with the Insurance Department of the State of Ohio by JEDNOTA, INC. on behalf of the following insurer:

First Catholic Slovak Union  
6611 Rockside Road  
Independence, OH 44131-2398  
Domicile: Ohio

September 29, 1986

Correspondence should be addressed:  
Mr. George Matta II  
C/O: First Catholic Slovak Union  
6611 Rockside Road  
Independence, OH 44131-2398

Organizational Chart

JEDNOTA, INC. 100% owned by First Catholic Slovak Union, A Fraternal Benefit Society

Subsidiaries: JEDNOTA Properties, INC.  
JEDNOTA General Company

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
<b>Members</b>															
		00000...	34-0220550..			N/A.....	First Catholic Slovak Union of USA & Canada..	OH.....	UDP.....	First Catholic Slovak Union of USA & Canada	Ownership.....	...100.000	First Catholic Slovak Union of the USA & Canada	....Y.....	.....
		00000...	34-1537107..			N/A.....	Jednota, Inc.....	OH.....	DS.....	First Catholic Slovak Union of USA & Canada	Ownership.....	...100.000	First Catholic Slovak Union of the USA & Canada	....Y.....	.....

# FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANA SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO

**Explanations:**

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.
5. The data for this supplement is not required to be filed.
6. The data for this supplement is not required to be filed.
7. The data for this supplement is not required to be filed.

**Bar Code:**



**Additional Write-ins for Liabilities:**

	1 Current Statement Date	2 December 31, Prior Year
2204. LEGAL RESERVE LAWSUIT FUND.....	.....	.....200,000
2297. Summary of remaining write-ins for Line 22.....	.....0	.....200,000

**FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANA**  
**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	2,226,152	2,305,797
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	6,750	23,375
2.2 Additional investment made after acquisition.....		
3. Current year change in encumbrances.....		
4. Total gain (loss) on disposals.....		
5. Deduct amounts received on disposals.....		
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other-than-temporary impairment recognized.....		
8. Deduct current year's depreciation.....	64,760	103,020
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	2,168,142	2,226,152
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....	2,168,142	2,226,152

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	609,764	834,868
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....	177,285	225,104
8. Deduct amortization of premium and mortgage interest points and commitment fees.....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	432,478	609,764
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	432,478	609,764
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	432,478	609,764

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	5,280,869	4,752,203
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	3,369,179	
2.2 Additional investment made after acquisition.....	80,169	
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....	135,262	528,666
6. Total gain (loss) on disposals.....	17,490	
7. Deduct amounts received on disposals.....	1,197,389	
8. Deduct amortization of premium and depreciation.....		
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	7,685,580	5,280,869
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	7,685,580	5,280,869

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	351,251,640	344,755,622
2. Cost of bonds and stocks acquired.....	25,443,202	31,182,144
3. Accrual of discount.....	89,360	103,210
4. Unrealized valuation increase (decrease).....	40,417	255,664
5. Total gain (loss) on disposals.....	496,999	884,333
6. Deduct consideration for bonds and stocks disposed of.....	18,792,580	24,312,661
7. Deduct amortization of premium.....	1,075,380	1,441,460
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		175,212
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10).....	357,453,658	351,251,640
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	357,453,658	351,251,640

**FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA**

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity

During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a).....	218,244,574	6,049,466	2,701,026	(121,180)	213,849,042	218,244,574	221,471,834	209,372,968
2. NAIC 2 (a).....	130,766,221		1,330,768		134,161,477	130,766,221	129,435,453	138,391,161
3. NAIC 3 (a).....	3,868,332				7,283,170	3,868,332	3,868,332	8,532,558
4. NAIC 4 (a).....	(0)		174,166		2,039,057	(0)	(174,166)	2,039,057
5. NAIC 5 (a).....							0	175,291
6. NAIC 6 (a).....	84,853				84,853	84,853	84,853	
7. Total Bonds.....	352,963,980	6,049,466	4,205,960	(121,180)	357,417,599	352,963,980	354,686,306	358,511,035
<b>PREFERRED STOCK</b>								
8. NAIC 1.....							0	
9. NAIC 2.....							0	
10. NAIC 3.....							0	
11. NAIC 4.....							0	
12. NAIC 5.....							0	
13. NAIC 6.....							0	
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock.....	352,963,980	6,049,466	4,205,960	(121,180)	357,417,599	352,963,980	354,686,306	358,511,035

QS102

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

**SCHEDULE DA - PART 1**

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999.....	6,902,208	XXX	6,902,208		

**SCHEDULE DA - VERIFICATION**

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	9,970,031	6,996,423
2. Cost of short-term investments acquired.....	9,872,683	9,970,031
3. Accrual of discount.....		
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....	12,940,506	6,996,423
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	6,902,208	9,970,031
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	6,902,208	9,970,031

**Sch. DB - Pt. A - Verification  
NONE**

**Sch. DB - Pt. B - Verification  
NONE**

**Sch. DB - Pt. C - Sn. 1  
NONE**

**Sch. DB - Pt. C - Sn. 2  
NONE**

**Sch. DB - Verification  
NONE**

**SCHEDULE E - PART 2 - VERIFICATION**

Cash Equivalents

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	2,997,064
2. Cost of cash equivalents acquired.....		
3. Accrual of discount.....		
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....		2,997,064
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/ adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	0	0
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	0	0

**FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA**

**SCHEDULE A - PART 2**

Showing all Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Description of Property	Location		4 Date Acquired	5 Name of Vendor	6 Actual Cost at Time of Acquisition	7 Amount of Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances	9 Additional Investment Made After Acquisition
	2 City	3 State						
<b>Acquired by Purchase</b>								
DOUGHBOY MEMORIAL ADDITIONAL COSTS.....	MIDDLETOWN.....	PA...	.....VARIOUS	GINGRICH MEMORIALS; ARNOLD ELECT.CONTR.;T R STONE GEN.CONTR.....	.....5,050	.....	.....5,050	.....
0199999. Totals.....					.....5,050	.....0	.....5,050	.....0
0399999. Totals.....					.....5,050	.....0	.....5,050	.....0

QE01

**SCHEDULE A - PART 3**

Showing all Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract "

1 Description of Property	Location		4 Disposal Date	5 Name of Purchaser	6 Actual Cost	7 Expended for Additions, Permanent Improvements and Changes in Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances Prior Year	Change in Book/Adjusted Carrying Value Less Encumbrances					14 Book/Adjusted Carrying Value Less Encumbrances on Disposal	15 Amounts Received During Year	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	19 Gross Income Earned Less Interest Incurred on Encumbrances	20 Taxes, Repairs, and Expenses Incurred
	2 City	3 State						9 Current Year's Depreciation	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Current Year's Change in Encumbrances	12 Total Change in B./A.C.V. (11 - 9 - 10)	13 Total Foreign Exchange Change in B./A.C.V.							
<b>NONE</b>																			

**FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA**

**SCHEDULE B - PART 2**

Showing all Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Rate of Interest	7 Actual Cost at Time of Acquisition	8 Additional Investment Made After Acquisition	9 Value of Land and Buildings
	2 City	3 State						

**NONE**

**SCHEDULE B - PART 3**

Showing all Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

QE02

1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/Recorded Investment Excluding Accrued Interest Prior Year	Change in Book Value/Recorded Investment					14 Book Value / Recorded Investment Excluding Accrued Interest on Disposal	15 Consideration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) / Accretion	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8 + 9 - 10 + 11)					
<b>Mortgages With Partial Repayments</b>																
988.....	East Millsboro.....	PA.....	.....	08/01/1996....	.....VARIOUS	.....22,833	.....	.....	.....	.....	.....0	.....	.....1,332	.....1,332	.....	.....0
1023.....	Canfield.....	OH.....	.....	06/01/1992....	.....VARIOUS	.....24,832	.....	.....	.....	.....	.....0	.....	.....815	.....815	.....	.....0
1039.....	Middletown.....	PA.....	..S...	01/01/1995....	.....VARIOUS	.....784,151	.....	.....	.....	.....	.....0	.....	.....76,873	.....76,873	.....	.....0
0299999. Total - Mortgages With Partial Repayments.....						.....831,816	.....0	.....0	.....0	.....0	.....0	.....0	.....79,020	.....79,020	.....0	.....0
0599999. Total Mortgages.....						.....831,816	.....0	.....0	.....0	.....0	.....0	.....0	.....79,020	.....79,020	.....0	.....0

**FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA**

**SCHEDULE BA - PART 2**

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	3 Location		4 State	5 Name of Vendor or General Partner	6 NAIC Designation	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made after Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		City											
<b>Fixed or Variable Interest Rate Investments That Have Underlying Characteristics of Other Fixed Income Instruments - Unaffiliated</b>													
72388E 40 7	PIONEER MULTI-ASSET ULTRASHORT INCOME FUND CL A	CLEVELAND		OH	UBS	1FE	VARIOUS	13	3,103				
000843 9G 9	ACAP STRATEGIC FUNDS	CLEVELAND		OH	UBS	1FE	07/27/2018	13	1,010,000				
48128F KX 9	JP MORGAN CHASE BANK NA BAR-MLCD	CLEVELAND		OH	UBS	1FE	07/31/2018	13	250,000				
1199999. Total - Fixed or Variable Interest Rate Investments That Have Underlying Characteristics of Other Fixed Income Instruments - Unaffiliated									1,263,103	.0	.0	.0	.XXX
4499999. Subtotal - Unaffiliated									1,263,103	.0	.0	.0	.XXX
4699999. Totals									1,263,103	.0	.0	.0	.XXX

QE03

**SCHEDULE BA - PART 3**

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	3 Location		4 State	5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/Adjusted Carrying Value Less Encumbrances, Prior Year	9-14 Changes in Book/Adjusted Carrying Value					15 Book/Adjusted Carrying Value Less Encumbrances on Disposal	16 Consideration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income	
		City							9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization) / Accretion	11 Current Year's Other-Than-Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in B./A.C.V. (9+10-11+12)							14 Total Foreign Exchange Change in B./A.C.V.
72388E 40 7	PIONEER MULTI-ASSET ULTRASHORT INCOME FUND CL A	CLEVELAND		OH	UBS	1FE	07/30/2018							0	179,899	179,899		0	0	
1199999. Total - Fixed or Variable Int. Rate Investments That Have Underlying Char. of Other Fixed Income Instruments-Unaffil									.0	.0	.0	.0	.0	.0	179,899	179,899	.0	.0	.0	.0
4499999. Subtotal - Unaffiliated									.0	.0	.0	.0	.0	.0	179,899	179,899	.0	.0	.0	.0
4699999. Totals									.0	.0	.0	.0	.0	.0	179,899	179,899	.0	.0	.0	.0

**SCHEDULE D - PART 3**

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
<b>Bonds - Industrial and Miscellaneous</b>									
009089 AA 1	AIR CANADA 2013-1A PTT.....		07/05/2018.....	BPU INVESTMENT GRP INC.....		504,400	502,640	3,110	1FE.....
05369A AD 3	AVIATION CAPITAL GROUP LLC.....		09/05/2018.....	FTN FIN SECURITIES CORP.....		498,345	500,000	1,948	1FE.....
07274N AZ 6	BAYER US FIN II LLC.....		07/12/2018.....	KEYBANK NA.....		469,706	500,000		1FE.....
07274N AZ 6	BAYER US FIN II LLC.....		07/12/2018.....	KEYBANK NA.....		469,706	500,000		1FE.....
07274N AZ 6	BAYER US FIN II LLC.....		07/12/2018.....	KEYBANK NA.....		469,706	500,000		1FE.....
202795 HG 8	COMMONWEALTH EDISON CO.....		07/02/2018.....	KEYBANK NA.....		356,010	300,000	7,540	1FE.....
44329H AH 7	HP COMMUNITIES LLC.....		07/20/2018.....	RAYMOND JAMES INSTITIONAL.....		716,690	650,000	13,113	1FE.....
61746B CY 0	MORGAN STANLEY.....		08/02/2018.....	PIPER JAFFRAY INC.....		566,835	500,000	15,017	1FE.....
62946A AD 6	NP SPE II LLC 2017-1.....		07/26/2018.....	RAYMOND JAMES INSTITIONAL.....		497,813	500,000	586	1FE.....
89657A AB 2	TRINITY RAIL LEASING L.P.....		08/02/2018.....	FTN FIN SECURITIES CORP.....		505,000	500,000	963	1FE.....
921814 AA 7	VANDERBILT UNIVERSITY MEDICAL CENTER.....		09/14/2018.....	RAYMOND JAMES INSTITIONAL.....		488,850	500,000	4,462	1FE.....
97064E AA 6	WILLIS ENGINE SECURITIZATION TR.....		08/23/2018.....	RAYMOND JAMES INSTITIONAL.....		506,406	500,000	330	1FE.....
3899999	Total - Bonds - Industrial and Miscellaneous.....					6,049,466	5,952,640	47,068	XXX.....
8399997	Total - Bonds - Part 3.....					6,049,466	5,952,640	47,068	XXX.....
8399999	Total - Bonds.....					6,049,466	5,952,640	47,068	XXX.....
9999999	Total - Bonds, Preferred and Common Stocks.....					6,049,466	XXX	47,068	XXX.....

(a) For all common stock bearing NAIC market indicator "U" provide the number of such issues:.....0.

QE04

**FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA**

**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
<b>Bonds - U.S. Government</b>																					
312906	2P 4		09/17/2018	FHLMC REMIC SERIES 1136-H.....		15	15	15	10				0		10		5	5	0	09/15/2021	1FE.....
3136A8	TU 4		09/25/2018	FNMA CMO PAC 2012-99 GC.....		36,109	36,109	36,154	36,109				0		36,109			0	113	06/25/2042	1FE.....
3136A9	HB 7		09/25/2018	FNMA CMO 2012-111 HS INV FLT.....		5,714	5,714	5,486	5,622				0		5,622		93	93	16	10/25/2042	1FE.....
3137AQ	Z7 6		09/17/2018	FHLMC CMO 4064 TS INV FLT.....		14,214	14,214	14,392	14,229				0		14,229		(14)	(14)	117	06/15/2042	1FE.....
3137AU	TY 5		07/16/2018	FHR CMO 4117 SC INV FLT.....		2,800	2,800	2,925	2,800				0		2,800		0	0	10	10/15/2042	1FE.....
3137AY	RP 8		09/17/2018	FHLMC CMO PAC 4165 KA74.....		2	2	2	2				0		2		0	0	0	02/15/2043	1FE.....
31395W	JS 7		09/17/2018	FHLMC CMO 3005 SW INV FLT.....		59	59	64	62				0		62		(3)	(3)	1	07/15/2035	1FE.....
31397N	UG 8		09/25/2018	FNMA CMO 2009-19 TD.....		1,628	1,628	1,663	1,641				0		1,641		(13)	(13)	20	08/25/2036	1FE.....
36202E	V9 7		09/20/2018	GNMA CMO 2 MJM 4240.....		3,635	3,635	3,915	3,837				0		3,837		(203)	(203)	39	09/20/2038	1FE.....
38373M	B8 2		09/20/2018	GNMA CMO PAC 2007-16 PS INV FLT.....		3,103	3,103	4,679	3,090				0		3,090		12	12	86	04/20/2037	1FE.....
38374U	BP 5		09/17/2018	GNMA CMO 2009-32 AB.....		5,694	5,694	6,148	5,694				0		5,694		0	0	40	05/16/2039	1FE.....
38374U	CJ 8		09/17/2018	GNMA CMO PAC 2009-32 AP.....		5,533	5,533	5,984	5,533				0		5,533		0	0	39	05/16/2039	1FE.....
38375Y	NF 5		09/20/2018	GNMA CMO PAC 2008-79 CS.....		5,533	5,533	5,693	5,586				0		5,586		(53)	(53)	41	06/20/2035	1FE.....
38378D	X2 6		09/17/2018	GNMA CMO 2012-32 GS INV FLT.....		3,324	3,324	3,556	3,324				0		3,324		0	0	32	04/16/2041	1FE.....
38378F	SB 7		09/20/2018	GNMA CMO 2013-5 MA.....		64,316	64,316	64,344	64,056				0		64,056		260	260	180	07/20/2042	1FE.....
38378G	SG 4		09/20/2018	GNMA CMO PAC-2 2012-143 DE.....		1	1	1	1				0		1		0	0	0	12/20/2042	1FE.....
0599999	Total - Bonds - U.S. Government.....						151,681	151,681	155,023	151,596	0	0	0	0	151,596	0	85	85	734	XXX	XXX
<b>Bonds - All Other Government</b>																					
38373V	NM 8		09/20/2018	GNMA 2002-63 CL NU.....		3,180	3,180	3,322	3,227				0		3,227		(47)	(47)	31	09/20/2032	1FE.....
38374T	RK 2		09/20/2018	GNMA CMO TAC 2009-22 JL.....		5,500	5,500	5,712	5,559				0		5,559		(59)	(59)	37	04/20/2039	1FE.....
1099999	Total - Bonds - All Other Government.....						8,680	8,680	9,034	8,786	0	0	0	0	8,786	0	(105)	(105)	68	XXX	XXX
<b>Bonds - Industrial and Miscellaneous</b>																					
01877Z	AD 2		07/11/2018	ALLIANCE PIPELINE L P FGN SR BD SEDOL 29.....		21,190	21,190	23,705	22,109				0		22,109		(918)	(918)		12/31/2023	2FE.....
05178T	AA 9		07/16/2018	AURORA MILITARY HSG III LLC.....		3,779	3,779	4,251	4,244				0		4,244		(465)	(465)		07/15/2034	1FE.....
116663	AC 9		08/30/2018	BRUCE MANSFIELD UNIT 1 2 BOND SER 2007-1.....		206,647	169,383	371,514	174,282		(117)		(117)		174,166		32,481	32,481		01/25/2022	5FE.....
12189P	AH 5		07/16/2018	BURLINGTON NORTHERN SANTA FE BOND.....		1,457	1,457	1,784	1,457				0		1,457		0	0	58	01/15/2020	1FE.....
12200B	AA 6		07/16/2018	BURLINGTON NORTHERN SANTA FE 04-1 TRUST.....		304	304	336	304				0		304		0	0		01/15/2021	1FE.....
12665U	AA 2		09/10/2018	CVS PASS-THROUGH TRUST.....		4,015	4,015	4,018	4,025				0		4,025		(10)	(10)	5,115	01/10/2036	2FE.....
21079V	AA 1		07/12/2018	CONTINENTAL AIRLINES INC BOND SER 2010-1.....		18,859	18,859	18,388	18,788				0		18,788		72	72	6,851	01/12/2021	1FE.....
345370	BX 7		08/01/2018	FORD MOTOR CO.....		200,000	200,000	182,742	200,000				0		200,000		0	0	26,000	08/01/2018	2FE.....
345370	BX 7		08/01/2018	FORD MOTOR CO.....		200,000	200,000	179,080	200,000				0		200,000		0	0		08/01/2018	2FE.....
345370	BX 7		08/01/2018	FORD MOTOR CO.....		400,000	400,000	377,988	400,000				0		400,000		0	0		08/01/2018	2FE.....
38141G	CU 6		09/27/2018	GOLDMAN SACHS GLOBAL BONDS.....		353,472	300,000	298,509	299,266		8		8		299,274		54,198	54,198	30,217	02/15/2033	1FE.....
38141G	CU 6		09/27/2018	GOLDMAN SACHS GLOBAL BONDS.....		235,648	200,000	203,403	201,692		(19)		(19)		201,673		33,975	33,975		02/15/2033	1FE.....
38141G	CU 6		09/27/2018	GOLDMAN SACHS GLOBAL BONDS.....		353,472	300,000	307,875	303,875		(44)		(44)		303,830		49,642	49,642		02/15/2033	1FE.....
51817T	AB 8		08/30/2018	LATAM AIRLINES GROUP S A.....		206,752	217,447	201,714	204,519		115		115		204,634		2,118	2,118	2,658	11/15/2027	2FE.....
590188	JF 6		07/16/2018	MERRILL LYNCH & CO INC.....		114,000	114,000	107,730	114,000				0		114,000		0	0	3,705	07/15/2018	1FE.....

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**FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA**

**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
61166W AN 1	MONSANTO CO.....		07/12/2018	EXCHANGE.....		469,706	500,000	506,665	505,239				0		505,239		(35,533)	(35,533)	31,500	07/15/2034	1FE.....
61166W AN 1	MONSANTO CO.....		07/12/2018	EXCHANGE.....		469,706	500,000	465,575	470,820				0		470,820		(1,114)	(1,114)		07/15/2034	1FE.....
61166W AN 1	MONSANTO CO.....		07/12/2018	EXCHANGE.....		469,706	500,000	482,110	484,538				0		484,538		(14,832)	(14,832)		07/15/2034	1FE.....
647677 AF 0	NEW ORLEANS NAVY HSG LLC.....		08/15/2018	PARTIAL CALLED SECURITY.....		5,000	5,000	5,126	5,233				0		5,233		(233)	(233)		12/15/2029	1FE.....
743862 AD 6	PROVIDENT COS INC DEBS.....		07/16/2018	MATURED.....		200,000	200,000	194,632	200,000				0		200,000		0	0	10,500	07/15/2018	2FE.....
743862 AD 6	PROVIDENT COS INC DEBS.....		07/16/2018	MATURED.....		100,000	100,000	94,500	100,000				0		100,000		0	0		07/15/2018	2FE.....
842471 BY 9	SOUTHERN CALIF PUB PWR AUTH.....		07/02/2018	PARTIAL CALLED SECURITY.....		85,000	85,000	96,284	91,076				0		91,076		(6,076)	(6,076)		07/01/2024	1FE.....
84474Y AA 4	SOUTHWEST AIRLINES CO BOND SER 2007-1 CL		08/01/2018	PARTIAL CALLED SECURITY.....		38,200	38,200	42,020	39,775				0		39,775		(1,575)	(1,575)		02/01/2024	1FE.....
90783V AA 3	UNION PACIFIC RAILROAD BOND 2005-1 TRUST		07/02/2018	PRINCIPAL.....		390	390	409	395				0		395		(6)	(6)	5,804	01/02/2029	1.....
3899999	Total - Bonds - Industrial and Miscellaneous.....					4,157,302	4,079,023	4,170,357	4,045,635	0	(56)	0	(56)	0	4,045,579	0	111,724	111,724	122,407	XXX	XXX
8399997	Total - Bonds - Part 4.....					4,317,663	4,239,384	4,334,414	4,206,016	0	(56)	0	(56)	0	4,205,960	0	111,703	111,703	123,210	XXX	XXX
8399999	Total - Bonds.....					4,317,663	4,239,384	4,334,414	4,206,016	0	(56)	0	(56)	0	4,205,960	0	111,703	111,703	123,210	XXX	XXX
9999999	Total - Bonds, Preferred and Common Stocks.....					4,317,663	XXX	4,334,414	4,206,016	0	(56)	0	(56)	0	4,205,960	0	111,703	111,703	123,210	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues: .....0.

QE05.1

**Sch. DB - Pt. A - Sn. 1**  
**NONE**

**Sch. DB - Pt. B - Sn. 1**  
**NONE**

**Sch. DB - Pt. D - Sn. 1**  
**NONE**

**Sch. DB - Pt. D - Sn. 2**  
**NONE**

**Sch. DL - Pt. 1**  
**NONE**

**Sch. DL - Pt. 2**  
**NONE**

**SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
<b>Open Depositories</b>								
KEY BANK - General Acct.....	CLEVELAND OH.....				1,076,803	(106,320)	35,706	XXX
KEY BANK - FCSU Corp Center.....	CLEVELAND OH.....				68,401	80,857	90,283	XXX
KEY BANK Investment Sweep.....	CLEVELAND OH.....	0.170	23,652		3,981,133	2,961,011	4,141,669	XXX
KEY BANK - Jednota Youth Building Fund.....	CLEVELAND OH.....	3.000			334,352	334,352	334,352	XXX
FHLB.....	CINCINNATI OH.....	0.020	2,214		419,750	551,639	625,227	XXX
BANK OF MONTREAL.....	TORONTO ONTARIO CANADA.....				9,785	9,770	8,593	XXX
KEY BANK - CANADIAN FOREIGN CURRENCY UTS..	CLEVELAND OH.....				417,848	417,848	417,848	XXX
PETTY CASH.....	CLEVELAND OH.....				500	500	500	XXX
US BANK - NORTH CAROLINA DEPOSIT.....	MINNEAPOLIS MN.....				5,000	5,000	5,000	XXX
UBS CASH ACCOUNT.....	CLEVELAND OH.....	0.020	4,021		(179,626)	306	306	XXX
0199999. Total Open Depositories.....	XXX	XXX	29,887	0	6,133,946	4,254,963	5,659,484	XXX
0399999. Total Cash on Deposit.....	XXX	XXX	29,887	0	6,133,946	4,254,963	5,659,484	XXX
0599999. Total Cash.....	XXX	XXX	29,887	0	6,133,946	4,254,963	5,659,484	XXX

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due & Accrued	9 Amount Received During Year
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**NONE**