



# HEALTH QUARTERLY STATEMENT

As of September 30, 2018  
of the Condition and Affairs of the

## Medical Mutual of Ohio

NAIC Group Code.....730, 730 (Current Period) (Prior Period)	NAIC Company Code..... 29076	Employer's ID Number..... 34-0648820
Organized under the Laws of OH	State of Domicile or Port of Entry OH	Country of Domicile US
Licensed as Business Type Property/Casualty	Is HMO Federally Qualified? Yes [ ] No [ ]	
Incorporated/Organized..... March 30, 1934	Commenced Business..... January 1, 1934	
Statutory Home Office	2060 East Ninth Street .. Cleveland .. OH .. US .. 44115-1355 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	
Main Administrative Office	2060 East Ninth Street .. Cleveland .. OH .. US .. 44115-1355 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	216-687-7000 <i>(Area Code) (Telephone Number)</i>
Mail Address	2060 East Ninth Street .. Cleveland .. OH .. US .. 44115-1355 <i>(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)</i>	
Primary Location of Books and Records	2060 East Ninth Street .. Cleveland .. OH .. US .. 44115-1355 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	216-687-7000 <i>(Area Code) (Telephone Number)</i>
Internet Web Site Address	www.MedMutual.com	
Statutory Statement Contact	Sharon Matonis <i>(Name)</i> Sharon.Matonis@medmutual.com <i>(E-Mail Address)</i>	216-687-6049 <i>(Area Code) (Telephone Number) (Extension)</i> 216-360-4073 <i>(Fax Number)</i>

### OFFICERS

Name	Title	Name	Title
1. Richard Alan Chiricosta	Chairman, President & CEO	2. Patricia Bunn Decensi	Secretary
3. Raymond Karl Mueller	Treasurer & CFO	4.	

### OTHER

Kathleen Rose Golovan	EVP	Andrea Marie Hogben	EVP
John Steven Kish	EVP	Teresa Jo Koenig	EVP
Steffany Matticola Larkins	EVP	Raymond Karl Mueller	EVP
David Gerard Quiring	EVP		

### DIRECTORS OR TRUSTEES

Charles Arthur Bryan	Richard Alan Chiricosta	Frederick David DiSanto	Terrance Callahan Egger
Robert John King Jr.	Dennis John Roche	Greta Jane Russell	

State of..... Ohio  
County of..... Cuyahoga

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

_____ (Signature) Richard Alan Chiricosta _____ 1. (Printed Name) Chairman, President & CEO _____ (Title)	_____ (Signature) Patricia Bunn Decensi _____ 2. (Printed Name) Secretary _____ (Title)	_____ (Signature) Raymond Karl Mueller _____ 3. (Printed Name) Treasurer & CFO _____ (Title)
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Subscribed and sworn to before me  
This \_\_\_\_\_ day of \_\_\_\_\_

a. Is this an original filing? Yes [X] No [ ]  
b. If no: 1. State the amendment number \_\_\_\_\_  
2. Date filed \_\_\_\_\_  
3. Number of pages attached \_\_\_\_\_

## ASSETS

	Current Statement Date			4 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	1,121,594,438		1,121,594,438	1,067,730,906
2. Stocks:				
2.1 Preferred stocks.....			0	
2.2 Common stocks.....	409,105,358		409,105,358	350,224,829
3. Mortgage loans on real estate:				
3.1 First liens.....			0	
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....	37,215,701		37,215,701	37,639,466
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			0	
4.3 Properties held for sale (less \$.....0 encumbrances).....			0	
5. Cash (\$.....104,073,249), cash equivalents (\$.....128,354,711) and short-term investments (\$.....0).....	232,427,960		232,427,960	151,478,611
6. Contract loans (including \$.....0 premium notes).....			0	
7. Derivatives.....			0	
8. Other invested assets.....	259,533,623		259,533,623	273,306,077
9. Receivables for securities.....			0	
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	2,059,877,080	0	2,059,877,080	1,880,379,889
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	7,322,326		7,322,326	6,616,481
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	18,137,427		18,137,427	20,310,567
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....			0	
15.3 Accrued retrospective premiums (\$.....100,000) and contracts subject to redetermination (\$.....12,475,737).....	12,575,737		12,575,737	7,570,400
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	1,077,455		1,077,455	3,648,082
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....			0	
17. Amounts receivable relating to uninsured plans.....			0	4,641,209
18.1 Current federal and foreign income tax recoverable and interest thereon.....	117,301,107		117,301,107	
18.2 Net deferred tax asset.....	123,785,504		123,785,504	219,557,428
19. Guaranty funds receivable or on deposit.....	7,222,111		7,222,111	8,397,525
20. Electronic data processing equipment and software.....	6,239,123	1,805,668	4,433,455	2,624,495
21. Furniture and equipment, including health care delivery assets (\$.....0).....	2,402,403	2,402,403	0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....	29,736,977		29,736,977	14,561,218
24. Health care (\$.....47,727,225) and other amounts receivable.....	56,578,060	8,850,835	47,727,225	54,912,073
25. Aggregate write-ins for other than invested assets.....	41,027,462	23,444,552	17,582,910	10,439,922
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	2,483,282,772	36,503,458	2,446,779,314	2,233,659,289
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
28. Total (Lines 26 and 27).....	2,483,282,772	36,503,458	2,446,779,314	2,233,659,289

### DETAILS OF WRITE-INS

1101.....			0	
1102.....			0	
1103.....			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Cash Surrender Value - Life Insurance.....	9,033,302		9,033,302	9,033,302
2502. Other Assets.....	4,042,573	3,850,803	191,770	255,760
2503. Prepaid Assets.....	19,257,612	19,257,612	0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	8,693,975	336,137	8,357,838	1,150,860
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	41,027,462	23,444,552	17,582,910	10,439,922

**LIABILITIES, CAPITAL AND SURPLUS**

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$.....4,613 reinsurance ceded).....	257,168,122		257,168,122	286,088,441
2. Accrued medical incentive pool and bonus amounts.....	7,019,910		7,019,910	4,094,522
3. Unpaid claims adjustment expenses.....	5,817,831		5,817,831	5,856,431
4. Aggregate health policy reserves, including the liability of \$.....0 for medical loss ratio rebate per the Public Health Service Act.....	31,325,846		31,325,846	32,510,231
5. Aggregate life policy reserves.....			0	
6. Property/casualty unearned premium reserve.....			0	
7. Aggregate health claim reserves.....			0	
8. Premiums received in advance.....	70,019,902		70,019,902	68,060,491
9. General expenses due or accrued.....	106,889,889		106,889,889	103,763,192
10.1 Current federal and foreign income tax payable and interest thereon (including \$.....0 on realized gains (losses)).....			0	7,201,342
10.2 Net deferred tax liability.....			0	
11. Ceded reinsurance premiums payable.....			0	
12. Amounts withheld or retained for the account of others.....			0	
13. Remittances and items not allocated.....	380,159		380,159	507,906
14. Borrowed money (including \$.....0 current) and interest thereon \$.....0 (including \$.....0 current).....			0	
15. Amounts due to parent, subsidiaries and affiliates.....			0	
16. Derivatives.....			0	
17. Payable for securities.....	282,879		282,879	
18. Payable for securities lending.....			0	
19. Funds held under reinsurance treaties with (\$.....0 authorized reinsurers, \$.....0 unauthorized reinsurers and certified \$.....0 reinsurers).....			0	
20. Reinsurance in unauthorized and certified (\$.....0) companies.....			0	
21. Net adjustments in assets and liabilities due to foreign exchange rates.....			0	
22. Liability for amounts held under uninsured plans.....	4,840,845		4,840,845	
23. Aggregate write-ins for other liabilities (including \$....27,268,970 current).....	110,719,414	0	110,719,414	115,053,455
24. Total liabilities (Lines 1 to 23).....	594,464,797	0	594,464,797	623,136,011
25. Aggregate write-ins for special surplus funds.....	XXX	XXX	0	46,818,000
26. Common capital stock.....	XXX	XXX		
27. Preferred capital stock.....	XXX	XXX		
28. Gross paid in and contributed surplus.....	XXX	XXX		
29. Surplus notes.....	XXX	XXX		
30. Aggregate write-ins for other than special surplus funds.....	XXX	XXX	0	0
31. Unassigned funds (surplus).....	XXX	XXX	1,852,314,517	1,563,705,278
32. Less treasury stock, at cost:				
32.1 ....0.000 shares common (value included in Line 26 \$.....0).....	XXX	XXX		
32.2 ....0.000 shares preferred (value included in Line 27 \$.....0).....	XXX	XXX		
33. Total capital and surplus (Lines 25 to 31 minus Line 32).....	XXX	XXX	1,852,314,517	1,610,523,278
34. Total liabilities, capital and surplus (Lines 24 and 33).....	XXX	XXX	2,446,779,314	2,233,659,289

**DETAILS OF WRITE-INS**

2301. Accrued Postemployment Benefits Other Than Pension.....	62,008,100		62,008,100	60,778,472
2302. Building Lease Liability.....	1,021,839		1,021,839	1,459,349
2303. Other Liabilities.....	37,591,693		37,591,693	41,446,224
2398. Summary of remaining write-ins for Line 23 from overflow page.....	10,097,782	0	10,097,782	11,369,410
2399. Totals (Lines 2301 thru 2303 plus 2398) (Line 23 above).....	110,719,414	0	110,719,414	115,053,455
2501. Estimated 2018 Health Insurer Fee.....	XXX	XXX		46,818,000
2502. ....				
2503. ....				
2598. Summary of remaining write-ins for Line 25 from overflow page.....	XXX	XXX	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	XXX	XXX	0	46,818,000
3001. ....				
3002. ....				
3003. ....				
3098. Summary of remaining write-ins for Line 30 from overflow page.....	XXX	XXX	0	0
3099. Totals (Lines 3001 thru 3003 plus 3098) (Line 30 above).....	XXX	XXX	0	0

**STATEMENT OF REVENUE AND EXPENSES**

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member months.....	XXX.....	9,129,024	8,835,142	11,803,287
2. Net premium income (including \$.....0 non-health premium income).....	XXX.....	1,968,728,150	1,838,211,353	2,470,269,254
3. Change in unearned premium reserves and reserve for rate credits.....	XXX.....			
4. Fee-for-service (net of \$.....0 medical expenses).....	XXX.....			
5. Risk revenue.....	XXX.....			
6. Aggregate write-ins for other health care related revenues.....	XXX.....	0	0	0
7. Aggregate write-ins for other non-health revenues.....	XXX.....	0	0	0
8. Total revenues (Lines 2 to 7).....	XXX.....	1,968,728,150	1,838,211,353	2,470,269,254
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits.....		1,113,126,201	1,005,242,854	1,427,634,660
10. Other professional services.....		70,965,853	68,355,191	97,744,810
11. Outside referrals.....		11,186,665	16,832,223	23,348,447
12. Emergency room and out-of-area.....		138,196,499	145,720,385	201,804,880
13. Prescription drugs.....		216,722,430	214,477,388	293,888,708
14. Aggregate write-ins for other hospital and medical.....	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts.....		4,221,217	1,627,651	2,353,945
16. Subtotal (Lines 9 to 15).....	0	1,554,418,865	1,452,255,692	2,046,775,450
<b>Less:</b>				
17. Net reinsurance recoveries.....		(5,476,264)	(2,308,496)	(5,418,004)
18. Total hospital and medical (Lines 16 minus 17).....	0	1,559,895,129	1,454,564,188	2,052,193,454
19. Non-health claims (net).....				
20. Claims adjustment expenses, including \$.....33,146,696 cost containment expenses.....		66,706,297	57,622,989	79,509,713
21. General administrative expenses.....		179,859,589	141,679,472	296,664,163
22. Increase in reserves for life and accident and health contracts (including \$.....0 increase in reserves for life only).....				(19,833,000)
23. Total underwriting deductions (Lines 18 through 22).....	0	1,806,461,015	1,653,866,649	2,408,534,330
24. Net underwriting gain or (loss) (Lines 8 minus 23).....	XXX.....	162,267,135	184,344,704	61,734,924
25. Net investment income earned.....		27,471,856	23,166,500	34,253,009
26. Net realized capital gains (losses) less capital gains tax of \$.....987,300.....		3,300,870	2,286,311	33,835,161
27. Net investment gains or (losses) (Lines 25 plus 26).....	0	30,772,726	25,452,811	68,088,170
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$.....0) (amount charged off \$.....0)].....				
29. Aggregate write-ins for other income or expenses.....	0	(1,985,703)	(73,170,179)	(71,746,134)
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29).....	XXX.....	191,054,158	136,627,336	58,076,960
31. Federal and foreign income taxes incurred.....	XXX.....	40,005,167	24,509,375	35,715,699
32. Net income (loss) (Lines 30 minus 31).....	XXX.....	151,048,991	112,117,961	22,361,261

**DETAILS OF WRITE-INS**

0601. ....	XXX.....			
0602. ....	XXX.....			
0603. ....	XXX.....			
0698. Summary of remaining write-ins for Line 6 from overflow page.....	XXX.....	0	0	0
0699. Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above).....	XXX.....	0	0	0
0701. ....	XXX.....			
0702. ....	XXX.....			
0703. ....	XXX.....			
0798. Summary of remaining write-ins for Line 7 from overflow page.....	XXX.....	0	0	0
0799. Totals (Lines 0701 thru 0703 plus 0798) (Line 7 above).....	XXX.....	0	0	0
1401. ....				
1402. ....				
1403. ....				
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above).....	0	0	0	0
2901. (Other Expense), net of Other Income.....		(1,985,703)	(73,170,179)	(71,746,134)
2902. ....				
2903. ....				
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above).....	0	(1,985,703)	(73,170,179)	(71,746,134)

**STATEMENT OF REVENUE AND EXPENSES (Continued)**

<b>CAPITAL AND SURPLUS ACCOUNT</b>	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
33. Capital and surplus prior reporting year.....	1,610,523,278	1,395,788,380	1,395,788,380
34. Net income or (loss) from Line 32.....	151,048,991	112,117,961	22,361,261
35. Change in valuation basis of aggregate policy and claim reserves.....			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$.....513,000.....	25,779,069	(100,409)	(28,086,471)
37. Change in net unrealized foreign exchange capital gain or (loss).....			
38. Change in net deferred income tax.....	(95,258,924)	(5,173,716)	201,351,717
39. Change in nonadmitted assets.....	(5,272,813)	22,767,663	11,827,988
40. Change in unauthorized and certified reinsurance.....			
41. Change in treasury stock.....			
42. Change in surplus notes.....			
43. Cumulative effect of changes in accounting principles.....			
44. Capital changes:			
44.1 Paid in.....			
44.2 Transferred from surplus (Stock Dividend).....			
44.3 Transferred to surplus.....			
45. Surplus adjustments:			
45.1 Paid in.....			
45.2 Transferred to capital (Stock Dividend).....			
45.3 Transferred from capital.....			
46. Dividends to stockholders.....			
47. Aggregate write-ins for gains or (losses) in surplus.....	165,494,916	(113,208)	7,280,403
48. Net change in capital and surplus (Lines 34 to 47).....	241,791,239	129,498,291	214,734,898
49. Capital and surplus end of reporting period (Line 33 plus 48).....	1,852,314,517	1,525,286,671	1,610,523,278

**DETAILS OF WRITE-INS**

4701. (Increase)/Decrease in Unrecognized Postretirement Benefit Costs, net of tax.....			(3,445,000)
4702. Increase in Pension Costs, net of tax.....		(113,208)	(359,264)
4703. Current Utilization of Valued DTA.....	165,494,916		11,084,667
4798. Summary of remaining write-ins for Line 47 from overflow page.....	0	0	0
4799. Totals (Lines 4701 thru 4703 plus 4798) (Line 47 above).....	165,494,916	(113,208)	7,280,403

**CASH FLOW**

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>CASH FROM OPERATIONS</b>			
1. Premiums collected net of reinsurance.....	1,966,686,314	1,860,684,860	2,466,244,472
2. Net investment income.....	29,770,477	26,402,892	39,288,917
3. Miscellaneous income.....			
4. Total (Lines 1 through 3).....	1,996,456,791	1,887,087,752	2,505,533,389
5. Benefit and loss related payments.....	1,575,818,622	1,471,002,361	2,038,687,881
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	239,140,065	276,150,471	427,204,945
8. Dividends paid to policyholders.....			
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses).....		13,000,000	14,983,096
10. Total (Lines 5 through 9).....	1,814,958,687	1,760,152,832	2,480,875,922
11. Net cash from operations (Line 4 minus Line 10).....	181,498,104	126,934,920	24,657,467
<b>CASH FROM INVESTMENTS</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	94,948,336	124,560,521	175,781,769
12.2 Stocks.....	27,110,580	29,783,646	102,166,278
12.3 Mortgage loans.....			
12.4 Real estate.....			
12.5 Other invested assets.....	3,977,493	1,862,628	1,934,337
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....			
12.7 Miscellaneous proceeds.....	282,879	1,032,294	1,016,250
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	126,319,288	157,239,089	280,898,634
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	151,439,042	153,347,658	199,072,041
13.2 Stocks.....	39,690,829	17,931,145	91,805,304
13.3 Mortgage loans.....			
13.4 Real estate.....	88,500	37,761,843	37,866,812
13.5 Other invested assets.....	5,790,109	5,668,701	21,454,284
13.6 Miscellaneous applications.....			5,614
13.7 Total investments acquired (Lines 13.1 to 13.6).....	197,008,480	214,709,347	350,204,055
14. Net increase or (decrease) in contract loans and premium notes.....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(70,689,192)	(57,470,258)	(69,305,421)
<b>CASH FROM FINANCING AND MISCELLANEOUS SOURCES</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....			
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			
16.5 Dividends to stockholders.....			
16.6 Other cash provided (applied).....	(29,859,563)	23,232,258	24,980,743
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	(29,859,563)	23,232,258	24,980,743
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	80,949,349	92,696,920	(19,667,211)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	151,478,611	171,145,822	171,145,822
19.2 End of period (Line 18 plus Line 19.1).....	232,427,960	263,842,742	151,478,611

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001 .....			
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### EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
	Total	2 Individual	3 Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other
<b>Total Members at End of:</b>										
1. Prior Year.....	990,956	54,708	314,891	11,514	47,178	45,852	2,874	31,628		482,311
2. First Quarter.....	1,013,952	31,352	334,520	11,176	47,343	44,113	2,555	30,418		512,475
3. Second Quarter.....	1,012,760	30,578	336,342	10,996	48,027	44,318	2,564	30,618		509,317
4. Third Quarter.....	1,020,966	29,594	336,898	10,805	47,968	43,869	2,655	30,682		518,495
5. Current Year.....	0									
6. Current Year Member Months.....	9,129,024	277,732	3,021,963	99,520	431,473	398,703	23,339	275,166		4,601,128
<b>Total Member Ambulatory Encounters for Period:</b>										
7. Physician.....	2,494,713	172,005	1,761,111	132,875	20	1,055	19,788	405,519		2,340
8. Non-Physician.....	1,802,099	97,561	1,222,392	97,208	477	46,882	20,411	315,948		1,220
9. Total.....	4,296,812	269,566	2,983,503	230,083	497	47,937	40,199	721,467	0	3,560
10. Hospital Patient Days Incurred.....	139,728	3,889	56,009	18,288			2,384	59,097		61
11. Number of Inpatient Admissions.....	24,931	847	14,082	2,140			295	7,550		17
12. Health Premiums Written (a).....	1,961,545,997	100,718,484	1,436,514,430	19,890,343	2,692,823	10,070,676	17,271,026	246,013,905		128,374,310
13. Life Premiums Direct.....	0									
14. Property/Casualty Premiums Written.....	0									
15. Health Premiums Earned.....	1,961,545,997	100,718,484	1,436,514,430	19,890,343	2,692,823	10,070,676	17,271,026	246,013,905		128,374,310
16. Property/Casualty Premiums Earned.....	0									
17. Amount Paid for Provision of Health Care Services.....	1,571,655,906	82,446,156	1,105,960,037	14,408,795	1,950,670	7,155,034	20,342,467	244,568,013		94,824,734
18. Amount Incurred for Provision of Health Care Services.....	1,554,418,865	67,205,912	1,113,131,920	14,471,828	1,964,423	7,105,757	20,124,287	239,034,882		91,379,856

007

(a) For health premiums written: Amount of Medicare Title XVIII exempt from state taxes or fees \$.....246,013,905.

## CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

### Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
<b>Claims Unpaid (Reported)</b>						
0599999. Unreported Claims and Other Claim Reserves.....						257,172,735
0799999. Total Claims Unpaid.....						257,172,735
0899999. Accrued Medical Incentive Pool and Bonus Amounts.....						7,019,910

## UNDERWRITING AND INVESTMENT EXHIBIT

### Analysis of Claims Unpaid - Prior Year - Net of Reinsurance

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid December 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical).....	126,696,672	1,075,513,779	4,857,121	197,535,685	131,553,793	221,545,697
2. Medicare Supplement.....	2,397,386	12,011,409	21,369	2,389,793	2,418,755	2,491,914
3. Dental only.....	681,815	6,473,219	10,000	690,000	691,815	820,000
4. Vision only.....	3,795	1,946,875		3,000	3,795	7,000
5. Federal Employees Health Benefits Plan.....	2,841,559	17,500,908	53,032	3,386,662	2,894,591	3,700,000
6. Title XVIII - Medicare.....	29,348,786	205,711,077	854,359	43,307,581	30,203,145	49,982,000
7. Title XIX - Medicaid.....					0	
8. Other health.....	6,359,731	88,464,000	1,429,728	2,629,792	7,789,459	7,541,830
9. Health subtotal (Lines 1 to 8).....	168,329,744	1,407,621,267	7,225,609	249,942,513	175,555,353	286,088,441
10. Healthcare receivables (a).....	191,417	56,386,642			191,417	65,221,279
11. Other non-health.....					0	
12. Medical incentive pools and bonus amounts.....	285,879	1,009,950	3,373,096	3,646,814	3,658,975	4,094,522
13. Totals (Lines 9-10+11+12).....	168,424,206	1,352,244,575	10,598,705	253,589,327	179,022,911	224,961,684

600

(a) Excludes \$.00 loans or advances to providers not yet expensed.

## NOTES TO FINANCIAL STATEMENTS

### Note 1 – Summary of Significant Accounting Policies and Going Concern

#### A. Accounting Practices

	SSAP #	F/S Page	F/S Line #	2018	2017
<b>NET INCOME</b>					
(1) Medical Mutual of Ohio Company state basis (Page 4, Line 32, Columns 2 & 4)	XXX	XXX	XXX	\$ 151,048,991	\$ 22,361,261
(2) State Prescribed Practices that are an increase/(decrease) from NAIC SAP				\$	\$
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP				\$	\$
(4) NAIC SAP (1 – 2 – 3 = 4)	XXX	XXX	XXX	\$ 151,048,991	\$ 22,361,261
<b>SURPLUS</b>					
(5) Medical Mutual of Ohio Company state basis (Page 3, line 33, Columns 3 & 4)	XXX	XXX	XXX	\$1,852,314,517	\$1,610,523,278
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP				\$	\$
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP				\$	\$
(8) NAIC SAP (5 – 6 – 7 = 8)	XXX	XXX	XXX	\$1,852,314,517	\$1,610,523,278

#### B. Use of Estimates in the Preparation of the Financial Statement

Not applicable.

#### C. Accounting Policy

No significant change.

#### D. Going Concern

Not applicable.

### Note 2 – Accounting Changes and Corrections of Errors

No significant change.

### Note 3 – Business Combinations and Goodwill

No significant change.

### Note 4 – Discontinued Operations

Not applicable.

### Note 5 – Investments

#### A. Mortgage Loans, including Mezzanine Real Estate Loans

Not applicable.

#### B. Debt Restructuring

Not applicable.

#### C. Reverse Mortgages

Not applicable.

#### D. Loan-Backed Securities

##### (1) Description of Sources Used to Determine Prepayment Assumptions

##### (2) Other-Than-Temporary Impairments

	1 Amortized Cost Basis Before Other-than-Temporary Impairment	2 Other-than-Temporary Impairment Recognized in Loss	3 Fair Value 1 – 2
OTTI Recognized 1 <sup>st</sup> Quarter			
a. Intent to sell	\$	\$	\$
b. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			
c. Total 1 <sup>st</sup> Quarter	\$	\$	\$
OTTI Recognized 2 <sup>nd</sup> Quarter			

## NOTES TO FINANCIAL STATEMENTS

	1 Amortized Cost Basis Before Other-than-Temporary Impairment	2 Other-than-Temporary Impairment Recognized in Loss	3 Fair Value 1 – 2
d. Intent to sell	\$	\$	\$
e. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			
f. Total 2nd Quarter	\$	\$	\$
OTTI Recognized 3 <sup>rd</sup> Quarter			
g. Intent to sell	\$	\$	\$
h. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			
i. Total 3rd Quarter	\$	\$	\$
OTTI Recognized 4 <sup>th</sup> Quarter			
j. Intent to sell	\$	\$	\$
k. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			
l. Total 4th Quarter	\$	\$	\$
m. Annual aggregate total	XXX		XXX

(3) Recognized OTTI securities

CUSIP	Book/Adjusted Carrying Value Amortized Cost Before Current Period OTTI	Present Value of Projected Cash Flows	Recognized Other-Than-Temporary Impairment	Amortized Cost After Other-Than-Temporary Impairment	Fair Value at Time of OTTI	Date of Financial Statement Where Reported
	\$	\$	\$	\$	\$	
Total			\$			

(4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains):

a. The aggregate amount of unrealized losses:	1. Less than 12 Months	\$	2,166,238
	2. 12 Months or Longer	\$	12,031,098
b. The aggregate related fair value of securities with unrealized losses:	1. Less than 12 Months	\$	115,591,250
	2. 12 Months or Longer	\$	213,800,178

(5) Information Investor Considered in Reaching Conclusion that Impairments are Not Other-Than-Temporary

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

b. The fair value of that collateral and of the portion of that collateral that it has sold or repledged	\$
--	----

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

(1) Company Policies or Strategies for Repo Programs

(2) Type of Repo Trades Used

	1 First Quarter	2 Second Quarter	3 Third Quarter	4 Fourth Quarter
a. Bilateral (YES/NO)				
b. Tri-Party (YES/NO)				

(3) Maturity Time Frame

	First Quarter				Second Quarter			
	1 Minimum	2 Maximum	3 Average Daily Balance	4 Ending Balance	5 Minimum	6 Maximum	7 Average Daily Balance	8 Ending Balance
a. Open – No Maturity	\$	\$	\$	\$	\$	\$	\$	\$
b. Overnight	\$	\$	\$	\$	\$	\$	\$	\$
c. 2 Days to 1 Week	\$	\$	\$	\$	\$	\$	\$	\$
d. >1 Week to 1 Month	\$	\$	\$	\$	\$	\$	\$	\$

**NOTES TO FINANCIAL STATEMENTS**

	First Quarter				Second Quarter			
	1 Minimum	2 Maximum	3 Average Daily Balance	4 Ending Balance	5 Minimum	6 Maximum	7 Average Daily Balance	8 Ending Balance
e. >1 Month to 3 Months	\$	\$	\$	\$	\$	\$	\$	\$
f. >3 Months to 1 Year	\$	\$	\$	\$	\$	\$	\$	\$
g. > 1 Year	\$	\$	\$	\$	\$	\$	\$	\$

	Third Quarter				Fourth Quarter			
	9 Minimum	10 Maximum	11 Average Daily Balance	12 Ending Balance	13 Minimum	14 Maximum	15 Average Daily Balance	16 Ending Balance
a. Open – No Maturity	\$	\$	\$	\$	\$	\$	\$	\$
b. Overnight	\$	\$	\$	\$	\$	\$	\$	\$
c. 2 Days to 1 Week	\$	\$	\$	\$	\$	\$	\$	\$
d. >1 Week to 1 Month	\$	\$	\$	\$	\$	\$	\$	\$
e. >1 Month to 3 Months	\$	\$	\$	\$	\$	\$	\$	\$
f. >3 Months to 1 Year	\$	\$	\$	\$	\$	\$	\$	\$
g. > 1 Year	\$	\$	\$	\$	\$	\$	\$	\$

(4) Counterparty, Jurisdiction and Fair Value (FV)

1	2 Jurisdiction	First Quarter				Second Quarter			
		3 Minimum	4 Maximum	5 Average Daily Balance	6 Ending Balance	7 Minimum	8 Maximum	9 Average Daily Balance	10 Ending Balance
a. Default (Fair Value of Securities Sold/ Outstanding for which the Repo Agreement Defaulted)	XXX	\$	\$	\$	\$	\$	\$	\$	\$
b. Counterparty		\$	\$	\$	\$	\$	\$	\$	\$

1	2 Jurisdiction	Third Quarter				Fourth Quarter			
		11 Minimum	12 Maximum	13 Average Daily Balance	14 Ending Balance	15 Minimum	16 Maximum	17 Average Daily Balance	18 Ending Balance
a. Default (Fair Value of Securities Sold/ Outstanding for which the Repo Agreement Defaulted)	XXX	\$	\$	\$	\$	\$	\$	\$	\$
b. Counterparty		\$	\$	\$	\$	\$	\$	\$	\$

(5) Securities "Sold" Under Repo – Secured Borrowing

	First Quarter				Second Quarter			
	1 Minimum	2 Maximum	3 Average Daily Balance	4 Ending Balance	5 Minimum	6 Maximum	7 Average Daily Balance	8 Ending Balance
a. BACV	XXX	XXX	XXX	\$	XXX	XXX	XXX	\$
b. Nonadmitted – Subset of BACV	XXX	XXX	XXX	\$	XXX	XXX	XXX	\$
c. Fair Value	\$	\$	\$	\$	\$	\$	\$	\$

	Third Quarter				Fourth Quarter			
	9 Minimum	10 Maximum	11 Average Daily Balance	12 Ending Balance	13 Minimum	14 Maximum	15 Average Daily Balance	16 Ending Balance
a. BACV	XXX	XXX	XXX	\$	XXX	XXX	XXX	\$
b. Nonadmitted – Subset of BACV	XXX	XXX	XXX	\$	XXX	XXX	XXX	\$
c. Fair Value	\$	\$	\$	\$	\$	\$	\$	\$

(6) Securities Sold Under Repo – Secured Borrowing by NAIC Designation

	1 None	2 NAIC 1	3 NAIC 2	4 NAIC 3	5 NAIC 4	6 NAIC 5	7 NAIC 6	8 Nonadmitted
a. Bonds- BACV	\$	\$	\$	\$	\$	\$	\$	\$
b. Bonds- FV								
c. LB & SS- BACV								
d. LB & SS- FV								
e. Preferred Stock- BACV								
f. Preferred Stock- FV								
g. Common Stock								
h. Mortgage Loans-								

**NOTES TO FINANCIAL STATEMENTS**

Ending Balance	1 None	2 NAIC 1	3 NAIC 2	4 NAIC 3	5 NAIC 4	6 NAIC 5	7 NAIC 6	8 Nonadmitted
BACV								
i. Mortgage Loans- FV								
j. Real Estate- BACV								
k. Real Estate- FV								
l. Derivatives- BACV								
m. Derivatives- FV								
n. Other Invested Assets- BACV								
o. Other Invested Assets- FV								
p. Total Assets- BACV	\$	\$	\$	\$	\$	\$	\$	\$
q. Total Assets- FV	\$	\$	\$	\$	\$	\$	\$	\$

$p = a + c + e + g + h + j + l + n$        $q = b + d + f + g + i + k + m + o$

(7) Collateral Received – Secured Borrowing

	First Quarter				Second Quarter			
	1 Minimum	2 Maximum	3 Average Daily Balance	4 Ending Balance	5 Minimum	6 Maximum	7 Average Daily Balance	8 Ending Balance
a. Cash	\$	\$	\$	\$	\$	\$	\$	\$
b. Securities (FV)	\$	\$	\$	\$	\$	\$	\$	\$

	Third Quarter				Fourth Quarter			
	9 Minimum	10 Maximum	11 Average Daily Balance	12 Ending Balance	13 Minimum	14 Maximum	15 Average Daily Balance	16 Ending Balance
a. Cash	\$	\$	\$	\$	\$	\$	\$	\$
b. Securities (FV)	\$	\$	\$	\$	\$	\$	\$	\$

(8) Cash & Non-Cash Collateral Received – Secured Borrowing by NAIC Designation

Ending Balance	1 None	2 NAIC 1	3 NAIC 2	4 NAIC 3	5 NAIC 4	6 NAIC 5	7 NAIC 6	8 Does Not Qualify as Admitted
a. Cash	\$	\$	\$	\$	\$	\$	\$	\$
b. Bonds- FV								
c. LB & SS- FV								
d. Preferred Stock- FV								
e. Common Stock								
f. Mortgage Loans- FV								
g. Real Estate- FV								
h. Derivatives- FV								
i. Other Invested Assets- FV								
j. Total Collateral Assets- FV (Sum of a through i)								

(9) Allocation of Aggregate Collateral by Remaining Contractual Maturity

	Fair Value
a. Overnight and Continuous	\$
b. 30 Days or Less	\$
c. 31 to 90 Days	\$
d. >90 Days	\$

(10) Allocation of Aggregate Collateral Reinvested by Remaining Contractual Maturity

	Amortized Cost	Fair Value
a. 30 Days or Less	\$	\$
b. 31 to 60 Days	\$	\$
c. 61 to 90 Days	\$	\$
d. 91 to 120 Days	\$	\$
e. 121 to 180 Days	\$	\$
f. 181 to 365 Days	\$	\$
g. 1 to 2 Years	\$	\$
h. 2 to 3 Years	\$	\$
i. >3 Years	\$	\$

## NOTES TO FINANCIAL STATEMENTS

(11) Liability to Return Collateral – Secured Borrowing (Total)

	First Quarter				Second Quarter			
	1 Minimum	2 Maximum	3 Average Daily Balance	4 Ending Balance	5 Minimum	6 Maximum	7 Average Daily Balance	8 Ending Balance
a. Cash (Collateral – All)	\$	\$	\$	\$	\$	\$	\$	\$
b. Securities Collateral (FV)	\$	\$	\$	\$	\$	\$	\$	\$

	Third Quarter				Fourth Quarter			
	9 Minimum	10 Maximum	11 Average Daily Balance	12 Ending Balance	13 Minimum	14 Maximum	15 Average Daily Balance	16 Ending Balance
a. Cash (Collateral – All)	\$	\$	\$	\$	\$	\$	\$	\$
b. Securities Collateral (FV)	\$	\$	\$	\$	\$	\$	\$	\$

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing  
Repurchase Transactions – Cash Provider – Overview of Secured Borrowing Transactions

(1) Company Policy or Strategies for Engaging in Repo Programs

(2) Type of Repo Trades Used

	1 First Quarter	2 Second Quarter	3 Third Quarter	4 Fourth Quarter
a. Bilateral (YES/NO)				
b. Tri-Party (YES/NO)				

(3) Original (Flow) and Residual Maturity

	First Quarter				Second Quarter			
	1 Minimum	2 Maximum	3 Average Daily Balance	4 Ending Balance	5 Minimum	6 Maximum	7 Average Daily Balance	8 Ending Balance
a. Open – No Maturity	\$	\$	\$	\$	\$	\$	\$	\$
b. Overnight	\$	\$	\$	\$	\$	\$	\$	\$
c. 2 Days to 1 Week	\$	\$	\$	\$	\$	\$	\$	\$
d. >1 Week to 1 Month	\$	\$	\$	\$	\$	\$	\$	\$
e. >1 Month to 3 Months	\$	\$	\$	\$	\$	\$	\$	\$
f. >3 Months to 1 Year	\$	\$	\$	\$	\$	\$	\$	\$
g. > 1 Year	\$	\$	\$	\$	\$	\$	\$	\$

	Third Quarter				Fourth Quarter			
	9 Minimum	10 Maximum	11 Average Daily Balance	12 Ending Balance	13 Minimum	14 Maximum	15 Average Daily Balance	16 Ending Balance
a. Open – No Maturity	\$	\$	\$	\$	\$	\$	\$	\$
b. Overnight	\$	\$	\$	\$	\$	\$	\$	\$
c. 2 Days to 1 Week	\$	\$	\$	\$	\$	\$	\$	\$
d. >1 Week to 1 Month	\$	\$	\$	\$	\$	\$	\$	\$
e. >1 Month to 3 Months	\$	\$	\$	\$	\$	\$	\$	\$
f. >3 Months to 1 Year	\$	\$	\$	\$	\$	\$	\$	\$
g. > 1 Year	\$	\$	\$	\$	\$	\$	\$	\$

(4) Counterparty, Jurisdiction and Fair Value (FV)

1	2 Jurisdiction	First Quarter				Second Quarter			
		3 Minimum	4 Maximum	5 Average Daily Balance	6 Ending Balance	7 Minimum	8 Maximum	9 Average Daily Balance	10 Ending Balance
a. Default (Fair Value of Securities Sold/ Outstanding for which the Repo Agreement Defaulted)	XXX	\$	\$	\$	\$	\$	\$	\$	\$
b. Counterparty		\$	\$	\$	\$	\$	\$	\$	\$

1	2 Jurisdiction	Third Quarter				Fourth Quarter			
		11 Minimum	12 Maximum	13 Average Daily Balance	14 Ending Balance	15 Minimum	16 Maximum	17 Average Daily Balance	18 Ending Balance
a. Default (Fair Value of Securities Sold/	XXX	\$	\$	\$	\$	\$	\$	\$	\$

## NOTES TO FINANCIAL STATEMENTS

1	2 Jurisdiction	Third Quarter				Fourth Quarter			
		11 Minimum	12 Maximum	13 Average Daily Balance	14 Ending Balance	15 Minimum	16 Maximum	17 Average Daily Balance	18 Ending Balance
Outstanding for which the Repo Agreement Defaulted)									
b. Counterparty									
		\$	\$	\$	\$	\$	\$	\$	\$

(5) Fair Value of Securities Acquired Under Repo – Secured Borrowing

First Quarter				Second Quarter			
1 Minimum	2 Maximum	3 Average Daily Balance	4 Ending Balance	5 Minimum	6 Maximum	7 Average Daily Balance	8 Ending Balance
\$	\$	\$	\$	\$	\$	\$	\$

Third Quarter				Fourth Quarter			
9 Minimum	10 Maximum	11 Average Daily Balance	12 Ending Balance	13 Minimum	14 Maximum	15 Average Daily Balance	16 Ending Balance
\$	\$	\$	\$	\$	\$	\$	\$

(6) Securities Acquired Under Repo – Secured Borrowing by NAIC Designation

	1 None	2 NAIC 1	3 NAIC 2	4 NAIC 3	5 NAIC 4	6 NAIC 5	7 NAIC 6	8 Does Not Qualify as Admitted
Ending Balance								
a. Bonds- FV	\$	\$	\$	\$	\$	\$	\$	\$
b. LB & SS- FV								
c. Preferred Stock- FV								
d. Common Stock								
e. Mortgage Loans- FV								
f. Real Estate- FV								
g. Derivatives- FV								
h. Other Invested Assets- FV								
i. Total Assets- FV (Sum of a through h)	\$	\$	\$	\$	\$	\$	\$	\$

(7) Collateral Pledged – Secured Borrowing

	First Quarter				Second Quarter			
	1 Minimum	2 Maximum	3 Average Daily Balance	4 Ending Balance	5 Minimum	6 Maximum	7 Average Daily Balance	8 Ending Balance
a. Cash	\$	\$	\$	\$	\$	\$	\$	\$
b. Securities (FV)	\$	\$	\$	\$	\$	\$	\$	\$
c. Securities (BACV)	XXX	XXX	XXX	\$	XXX	XXX	XXX	\$
d. Nonadmitted Subset (BACV)	XXX	XXX	XXX	\$	XXX	XXX	XXX	\$

	Third Quarter				Fourth Quarter			
	9 Minimum	10 Maximum	11 Average Daily Balance	12 Ending Balance	13 Minimum	14 Maximum	15 Average Daily Balance	16 Ending Balance
a. Cash	\$	\$	\$	\$	\$	\$	\$	\$
b. Securities (FV)	\$	\$	\$	\$	\$	\$	\$	\$
c. Securities (BACV)	XXX	XXX	XXX	\$	XXX	XXX	XXX	\$
d. Nonadmitted Subset (BACV)	XXX	XXX	XXX	\$	XXX	XXX	XXX	\$

(8) Allocation of Aggregate Collateral Pledged by Remaining Contractual Maturity

	Amortized Cost	Fair Value
a. Overnight and Continuous	\$	\$
b. 30 Days or Less	\$	\$
c. 31 to 90 Days	\$	\$
d. >90 Days	\$	\$

(9) Recognized Receivable for Return of Collateral – Secured Borrowing

	First Quarter				Second Quarter			
	1 Minimum	2 Maximum	3 Average Daily Balance	4 Ending Balance	5 Minimum	6 Maximum	7 Average Daily Balance	8 Ending Balance
a. Cash								
b. Securities (FV)								

**NOTES TO FINANCIAL STATEMENTS**

	Third Quarter				Fourth Quarter			
	9 Minimum	10 Maximum	11 Average Daily Balance	12 Ending Balance	13 Minimum	14 Maximum	15 Average Daily Balance	16 Ending Balance
a. Cash								
b. Securities (FV)								

(10) Recognized Liability to Return Collateral – Secured Borrowing (Total)

	First Quarter				Second Quarter			
	1 Minimum	2 Maximum	3 Average Daily Balance	4 Ending Balance	5 Minimum	6 Maximum	7 Average Daily Balance	8 Ending Balance
a. Repo Securities Sold/Acquired with Cash Collateral								
b. Repo Securities Sold/Acquired with Securities Collateral (FV)								

	Third Quarter				Fourth Quarter			
	9 Minimum	10 Maximum	11 Average Daily Balance	12 Ending Balance	13 Minimum	14 Maximum	15 Average Daily Balance	16 Ending Balance
a. Repo Securities Sold/Acquired with Cash Collateral								
b. Repo Securities Sold/Acquired with Securities Collateral (FV)								

H. Repurchase Agreements Transactions Accounted for as a Sale  
Repurchase Transaction – Cash Taker – Overview of Sale Transactions

(1) Company Policy or Strategies for Engaging in Repo Programs

(2) Type of Repo Trades Used

	1 First Quarter	2 Second Quarter	3 Third Quarter	4 Fourth Quarter
a. Bilateral (YES/NO)				
b. Tri-Party (YES/NO)				

(3) Original (Flow) & Residual Maturity

	First Quarter				Second Quarter			
	1 Minimum	2 Maximum	3 Average Daily Balance	4 Ending Balance	5 Minimum	6 Maximum	7 Average Daily Balance	8 Ending Balance
a. Open – No Maturity	\$	\$	\$	\$	\$	\$	\$	\$
b. Overnight	\$	\$	\$	\$	\$	\$	\$	\$
c. 2 Days to 1 Week	\$	\$	\$	\$	\$	\$	\$	\$
d. >1 Week to 1 Month	\$	\$	\$	\$	\$	\$	\$	\$
e. >1 Month to 3 Months	\$	\$	\$	\$	\$	\$	\$	\$
f. >3 Months to 1 Year	\$	\$	\$	\$	\$	\$	\$	\$
g. > 1 Year	\$	\$	\$	\$	\$	\$	\$	\$

	Third Quarter				Fourth Quarter			
	9 Minimum	10 Maximum	11 Average Daily Balance	12 Ending Balance	13 Minimum	14 Maximum	15 Average Daily Balance	16 Ending Balance
a. Open – No Maturity	\$	\$	\$	\$	\$	\$	\$	\$
b. Overnight	\$	\$	\$	\$	\$	\$	\$	\$
c. 2 Days to 1 Week	\$	\$	\$	\$	\$	\$	\$	\$
d. >1 Week to 1 Month	\$	\$	\$	\$	\$	\$	\$	\$
e. >1 Month to 3 Months	\$	\$	\$	\$	\$	\$	\$	\$
f. >3 Months to 1 Year	\$	\$	\$	\$	\$	\$	\$	\$
g. > 1 Year	\$	\$	\$	\$	\$	\$	\$	\$

(4) Counterparty, Jurisdiction and Fair Value (FV)

1	2 Jurisdiction	First Quarter				Second Quarter			
		3 Minimum	4 Maximum	5 Average Daily Balance	6 Ending Balance	7 Minimum	8 Maximum	9 Average Daily Balance	10 Ending Balance
a. Default (Fair Value of	XXX	\$	\$	\$	\$	\$	\$	\$	\$

## NOTES TO FINANCIAL STATEMENTS

1	2 Jurisdiction	First Quarter					Second Quarter			
		3 Minimum	4 Maximum	5 Average Daily Balance	6 Ending Balance	7 Minimum	8 Maximum	9 Average Daily Balance	10 Ending Balance	
Securities Sold/ Outstanding for which the Repo Agreement Defaulted)										
b. Counterparty										
		\$	\$	\$	\$	\$	\$	\$	\$	

1	2 Jurisdiction	Third Quarter				Fourth Quarter			
		11 Minimum	12 Maximum	13 Average Daily Balance	14 Ending Balance	15 Minimum	16 Maximum	17 Average Daily Balance	18 Ending Balance
a. Default (Fair Value of Securities Sold/ Outstanding for which the Repo Agreement Defaulted)									
	XXX	\$	\$	\$	\$	\$	\$	\$	\$
b. Counterparty									
		\$	\$	\$	\$	\$	\$	\$	\$

(5) Securities "Sold" Under Repo – Sale

	First Quarter				Second Quarter			
	1 Minimum	2 Maximum	3 Average Daily Balance	4 Ending Balance	5 Minimum	6 Maximum	7 Average Daily Balance	8 Ending Balance
a. BACV	XXX	XXX	XXX	\$	XXX	XXX	XXX	\$
b. Nonadmitted – Subset of BACV	XXX	XXX	XXX	\$	XXX	XXX	XXX	\$
c. Fair Value	\$	\$	\$	\$	\$	\$	\$	\$

	Third Quarter				Fourth Quarter			
	9 Minimum	10 Maximum	11 Average Daily Balance	12 Ending Balance	13 Minimum	14 Maximum	15 Average Daily Balance	16 Ending Balance
a. BACV	XXX	XXX	XXX	\$	XXX	XXX	XXX	\$
b. Nonadmitted – Subset of BACV	XXX	XXX	XXX	\$	XXX	XXX	XXX	\$
c. Fair Value	\$	\$	\$	\$	\$	\$	\$	\$

(6) Securities Sold Under Repo – Sale by NAIC Designation

	1 None	2 NAIC 1	3 NAIC 2	4 NAIC 3	5 NAIC 4	6 NAIC 5	7 NAIC 6	8 Nonadmitted
a. Bonds-BACV	\$	\$	\$	\$	\$	\$	\$	\$
b. Bonds-FV								
c. LB & SS-BACV								
d. LB & SS-FV								
e. Preferred Stock-BACV								
f. Preferred Stock-FV								
g. Common Stock								
h. Mortgage Loans-BACV								
i. Mortgage Loans-FV								
j. Real Estate-BACV								
k. Real Estate-FV								
l. Derivatives-BACV								
m. Derivatives-FV								
n. Other Invested Assets-BACV								
o. Other Invested Assets-FV								
p. Total Assets-BACV	\$	\$	\$	\$	\$	\$	\$	\$
q. Total Assets-FV	\$	\$	\$	\$	\$	\$	\$	\$

$p = a + c + e + g + h + j + l + n$        $q = b + d + f + g + i + k + m + o$

(7) Proceeds Received – Sale

	First Quarter				Second Quarter			
	1 Minimum	2 Maximum	3 Average Daily Balance	4 Ending Balance	5 Minimum	6 Maximum	7 Average Daily Balance	8 Ending Balance
a. Cash	\$	\$	\$	\$	\$	\$	\$	\$
b. Securities (FV)	\$	\$	\$	\$	\$	\$	\$	\$
c. Nonadmitted	\$	\$	\$	\$	\$	\$	\$	\$

	Third Quarter				Fourth Quarter			
	9	10	11	12	13	14	15	16

**NOTES TO FINANCIAL STATEMENTS**

	Minimum	Maximum	Average Daily Balance	Ending Balance	Minimum	Maximum	Average Daily Balance	Ending Balance
a. Cash	\$	\$	\$	\$	\$	\$	\$	\$
b. Securities (FV)	\$	\$	\$	\$	\$	\$	\$	\$
c. Nonadmitted	\$	\$	\$	\$	\$	\$	\$	\$

(8) Cash & Non-Cash Collateral Received – Sale by NAIC Designation

Ending Balance	1 None	2 NAIC 1	3 NAIC 2	4 NAIC 3	5 NAIC 4	6 NAIC 5	7 NAIC 6	8 Nonadmitted
a. Bonds-FV	\$	\$	\$	\$	\$	\$	\$	\$
b. LB & SS-FV								
c. Preferred Stock-FV								
d. Common Stock								
e. Mortgage Loans-FV								
f. Real Estate-FV								
g. Derivatives-FV								
h. Other Invested Assets-FV								
i. Total Assets-FV (Sum of a through h)								

(9) Recognized Forward Resale Commitment

First Quarter				Second Quarter			
1 Minimum	2 Maximum	3 Average Daily Balance	4 Ending Balance	5 Minimum	6 Maximum	7 Average Daily Balance	8 Ending Balance
\$	\$	\$	\$	\$	\$	\$	\$

Third Quarter				Fourth Quarter			
9 Minimum	10 Maximum	11 Average Daily Balance	12 Ending Balance	13 Minimum	14 Maximum	15 Average Daily Balance	16 Ending Balance
\$	\$	\$	\$	\$	\$	\$	\$

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale  
Repurchase Transaction – Cash Provider – Overview of Sale Transactions

(1) Company Policy or Strategies for Engaging in Repo Programs

(2) Type of Repo Trades Used

	1 First Quarter	2 Second Quarter	3 Third Quarter	4 Fourth Quarter
a. Bilateral (YES/NO)				
b. Tri-Party (YES/NO)				

(3) Original (Flow) & Residual Maturity

	First Quarter				Second Quarter			
	1 Minimum	2 Maximum	3 Average Daily Balance	4 Ending Balance	5 Minimum	6 Maximum	7 Average Daily Balance	8 Ending Balance
a. Open – No Maturity	\$	\$	\$	\$	\$	\$	\$	\$
b. Overnight	\$	\$	\$	\$	\$	\$	\$	\$
c. 2 Days to 1 Week	\$	\$	\$	\$	\$	\$	\$	\$
d. >1 Week to 1 Month	\$	\$	\$	\$	\$	\$	\$	\$
e. >1 Month to 3 Months	\$	\$	\$	\$	\$	\$	\$	\$
f. >3 Months to 1 Year	\$	\$	\$	\$	\$	\$	\$	\$
g. > 1 Year	\$	\$	\$	\$	\$	\$	\$	\$

	Third Quarter				Fourth Quarter			
	9 Minimum	10 Maximum	11 Average Daily Balance	12 Ending Balance	13 Minimum	14 Maximum	15 Average Daily Balance	16 Ending Balance
a. Open – No Maturity	\$	\$	\$	\$	\$	\$	\$	\$
b. Overnight	\$	\$	\$	\$	\$	\$	\$	\$
c. 2 Days to 1 Week	\$	\$	\$	\$	\$	\$	\$	\$
d. >1 Week to 1 Month	\$	\$	\$	\$	\$	\$	\$	\$
e. >1 Month to 3 Months	\$	\$	\$	\$	\$	\$	\$	\$
f. >3 Months to 1 Year	\$	\$	\$	\$	\$	\$	\$	\$
g. > 1 Year	\$	\$	\$	\$	\$	\$	\$	\$

(4) Counterparty, Jurisdiction and Fair Value (FV)

1	2 Jurisdiction	First Quarter				Second Quarter			
		3 Minimum	4 Maximum	5 Average Daily Balance	6 Ending Balance	7 Minimum	8 Maximum	9 Average Daily Balance	10 Ending Balance

**NOTES TO FINANCIAL STATEMENTS**

a. Default (Fair Value of Securities Sold/ Outstanding for which the Repo Agreement Defaulted)	XXX	\$	\$	\$	\$	\$	\$	\$	\$
b. Counterparty		\$	\$	\$	\$	\$	\$	\$	\$

1	2 Jurisdiction	Third Quarter				Fourth Quarter			
		11 Minimum	12 Maximum	13 Average Daily Balance	14 Ending Balance	15 Minimum	16 Maximum	17 Average Daily Balance	18 Ending Balance
a. Default (Fair Value of Securities Sold/ Outstanding for which the Repo Agreement Defaulted)	XXX	\$	\$	\$	\$	\$	\$	\$	\$
b. Counterparty		\$	\$	\$	\$	\$	\$	\$	\$

(5) Securities Acquired Under Repo – Sale

	First Quarter				Second Quarter			
	1 Minimum	2 Maximum	3 Average Daily Balance	4 Ending Balance	5 Minimum	6 Maximum	7 Average Daily Balance	8 Ending Balance
a. BACV	XXX	XXX	XXX	\$	XXX	XXX	XXX	\$
b. Nonadmitted – Subset of BACV	XXX	XXX	XXX	\$	XXX	XXX	XXX	\$
c. Fair Value	\$	\$	\$	\$	\$	\$	\$	\$

	Third Quarter				Fourth Quarter			
	9 Minimum	10 Maximum	11 Average Daily Balance	12 Ending Balance	13 Minimum	14 Maximum	15 Average Daily Balance	16 Ending Balance
a. BACV	XXX	XXX	XXX	\$	XXX	XXX	XXX	\$
b. Nonadmitted – Subset of BACV	XXX	XXX	XXX	\$	XXX	XXX	XXX	\$
c. Fair Value	\$	\$	\$	\$	\$	\$	\$	\$

(6) Securities Acquired Under Repo – Sale by NAIC Designation

Ending Balance	1 None	2 NAIC 1	3 NAIC 2	4 NAIC 3	5 NAIC 4	6 NAIC 5	7 NAIC 6	8 Nonadmitted
a. Bonds-BACV	\$	\$	\$	\$	\$	\$	\$	\$
b. Bonds-FV								
c. LB & SS-BACV								
d. LB & SS-FV								
e. Preferred Stock-BACV								
f. Preferred Stock-FV								
g. Common Stock								
h. Mortgage Loans-BACV								
i. Mortgage Loans-FV								
j. Real Estate-BACV								
k. Real Estate-FV								
l. Derivatives-BACV								
m. Derivatives-FV								
n. Other Invested Assets-BACV								
o. Other Invested Assets-FV								
p. Total Assets-BACV	\$	\$	\$	\$	\$	\$	\$	\$
q. Total Assets-FV	\$	\$	\$	\$	\$	\$	\$	\$

$p = a + c + e + g + h + j + l + n$        $q = b + d + f + g + i + k + m + o$

(7) Proceeds Provided – Sale

	First Quarter				Second Quarter			
	1 Minimum	2 Maximum	3 Average Daily Balance	4 Ending Balance	5 Minimum	6 Maximum	7 Average Daily Balance	8 Ending Balance
a. Cash	\$	\$	\$	\$	\$	\$	\$	\$
b. Securities (FV)	\$	\$	\$	\$	\$	\$	\$	\$
c. Securities (BACV)	XXX	XXX	XXX	\$	XXX	XXX	XXX	\$
d. Nonadmitted Subset	XXX	XXX	XXX	\$	XXX	XXX	XXX	\$

**NOTES TO FINANCIAL STATEMENTS**

	Third Quarter				Fourth Quarter			
	9 Minimum	10 Maximum	11 Average Daily Balance	12 Ending Balance	13 Minimum	14 Maximum	15 Average Daily Balance	16 Ending Balance
a. Cash	\$	\$	\$	\$	\$	\$	\$	\$
b. Securities (FV)	\$	\$	\$	\$	\$	\$	\$	\$
c. Securities (BACV)	XXX	XXX	XXX	\$	XXX	XXX	XXX	\$
d. Nonadmitted Subset	XXX	XXX	XXX	\$	XXX	XXX	XXX	\$

(8) Recognized Forward Resale Commitment

First Quarter				Second Quarter			
1 Minimum	2 Maximum	3 Average Daily Balance	4 Ending Balance	5 Minimum	6 Maximum	7 Average Daily Balance	8 Ending Balance
\$	\$	\$	\$	\$	\$	\$	\$

Third Quarter				Fourth Quarter			
9 Minimum	10 Maximum	11 Average Daily Balance	12 Ending Balance	13 Minimum	14 Maximum	15 Average Daily Balance	16 Ending Balance
\$	\$	\$	\$	\$	\$	\$	\$

J. Real Estate  
Not applicable.

K. Low-Income Housing Tax Credits (LIHTC)  
Not applicable.

L. Restricted Assets  
Not applicable.

M. Working Capital Finance Investments

(2) Aggregate Maturity Distribution on the Underlying Working Capital Finance Programs

	Book/Adjusted Carrying Value
a. Up to 180 Days	\$
b. 181 to 365 Days	
c. Total	\$

(3) Any Events of Default or Working Capital Finance Investments

N. Offsetting and Netting of Assets and Liabilities

	Gross Amount Recognized	Amount Offset*	Net Amount Presented on Financial Statements
(1) Assets	\$	\$	\$
(2) Liabilities	\$	\$	\$

\* For derivative assets and derivative liabilities, the amount of offset shall agree to Schedule DB, Part D, Section 1.

O. Structured Notes  
Not applicable.

P. 5\* Securities  
Not applicable.

Q. Short Sales  
Not applicable.

R. Prepayment Penalty and Acceleration Fees  
Not applicable.

**Note 6 – Joint Ventures, Partnerships and Limited Liability Companies**

No significant change.

**Note 7 – Investment Income**

No significant change.

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**NOTES TO FINANCIAL STATEMENTS**

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**Note 8 – Derivative Instruments**

No significant change.

**Note 9 – Income Taxes**

No significant change.

**Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

On August 31, 2018, Medical Mutual of Ohio's wholly-owned subsidiary, Zen Acquisition Sub, Inc. (Zen), merged with Superior Dental Care Alliance, Inc. (SDCA). Once merged, Zen ceased to exist and SDCA continued as the surviving corporation. SDCA is the parent of Superior Dental Care, Inc. (SDC), an Ohio domiciled dental service corporation, which will continue to sell standalone dental benefits throughout Ohio, Kentucky and Indiana as Superior Dental Care. Both companies are wholly-owned subsidiaries of Medical Mutual of Ohio.

**Note 11 – Debt**

- A. Debt Including Capital Notes  
Not applicable.
- B. FHLB (Federal Home Loan Bank) Agreements  
Not applicable.

**Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

- A. Defined Benefit Plan  
  
No significant change.
- B. Investment Policies and Strategies  
  
No significant change.
- C. Fair Value of Plan Assets  
  
No significant change.
- D. Basis Used to Determine Expected Long-Term Rate-of-Return  
  
No significant change.
- E. Defined Contribution Plans  
  
No significant change.
- F. Multiemployer Plans  
  
Not applicable.
- G. Consolidated/Holding Company Plans  
  
Not applicable.
- H. Postemployment Benefits and Compensated Absences  
  
No significant change.
- I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)  
  
Not applicable.

**Note 13 – Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations**

No significant change.

**Note 14 – Liabilities, Contingencies and Assessments**

No significant change.

**Note 15 – Leases**

No significant change.

**Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk**

No significant change.

**NOTES TO FINANCIAL STATEMENTS**

**Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

- A. Transfers of Receivables Reported as Sales  
Not applicable.
- B. Transfer and Servicing of Financial Assets  
Not applicable.
- C. Wash Sales  
Not applicable.

**Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Portion of Partially Insured Plans**

No significant change.

**Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

No significant change.

**Note 20 – Fair Value Measurements**

- A. Fair Value Measurements
  - (1) Fair Value Measurements at Reporting Date

	Level 1	Level 2	Level 3	Total	Net Asset Value (NAV) Included in Level 2
Assets at Fair Value					
COMMON STOCK INDUSTRIAL & MISC	\$ 286,464,073	\$	\$	\$ 286,464,073	\$
OTHER INVESTED ASSETS	\$ 13,021,494	\$	\$	\$ 13,021,494	\$
Total	\$ 299,485,567	\$	\$	\$ 299,485,567	\$
Liabilities at Fair Value					
	\$	\$	\$	\$	\$
Total	\$	\$	\$	\$	\$

- (2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

	Beginning Balance at 1/1/2018	Transfers Into Level 3	Transfers Out of Level 3	Total Gains and (Losses) Included in Net Income	Total Gains and (Losses) Included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance at 12/31/2018
a. Assets										
	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
Total	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
b. Liabilities										
	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
Total	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$

- (3) Policies when Transfers Between Levels are Recognized
- (4) Description of Valuation Techniques and Inputs Used in Fair Value Measurement
- (5) Fair Value Disclosures

- B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements

- C. Fair Value Level

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)	Net Asset Value (NAV) Included in Level 2
BONDS	\$1,088,422,913	\$ 1,121,594,438	\$	\$ 1,088,422,913	\$	\$	\$
COMMON STOCK INDUSTRIAL & MISC	\$ 286,464,073	\$ 286,464,073	\$ 286,464,073	\$	\$	\$	\$
OTHER INVESTED ASSETS	\$ 13,021,494	\$ 13,021,494	\$ 13,021,494	\$	\$	\$	\$

**NOTES TO FINANCIAL STATEMENTS**

D. Not Practicable to Estimate Fair Value

Type of Class or Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
	\$	%		

**Note 21 – Other Items**

No significant change.

**Note 22 – Events Subsequent**

No significant change.

**Note 23 – Reinsurance**

No significant change.

**Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination**

A. Method Used to Estimate Accrued Retrospective Premium Adjustments

No significant change.

B. Retrospective Premiums Recorded Through Written Premium or Adjustment to Earned Premium

No significant change.

C. Amount and Percentage of Net Premiums Written Subject to Retrospective Rating Features

No significant change.

D. Medical Loss Ratio Rebates Required Pursuant to the Public Health Service Act

No significant change.

E. Risk Sharing Provisions of the Affordable Care Act

(1) Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions

Yes [ X ] No [ ]

(2) Impact of Risk Sharing Provisions of the Affordable Care Act on admitted assets, liabilities and revenue for the current year:

a. Permanent ACA Risk Adjustment Program	AMOUNT
<b>Assets</b>	
1. Premium adjustments receivable due to ACA Risk Adjustment	\$ 12,475,737
<b>Liabilities</b>	
2. Risk adjustment user fees payable for ACA Risk Adjustment	\$ 10,271
3. Premium adjustments payable due to ACA Risk Adjustment	\$
<b>Operations (Revenue &amp; Expenses)</b>	
4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment	\$ 12,784,077
5. Reported in expenses as ACA Risk Adjustment user fees (incurred/paid)	\$ 11,520

b. Transitional ACA Reinsurance Program	AMOUNT
<b>Assets</b>	
1. Amounts recoverable for claims paid due to ACA Reinsurance	\$
2. Amounts recoverable for claims unpaid due to ACA Reinsurance (contra liability)	\$
3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	\$
<b>Liabilities</b>	
4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium	\$
5. Ceded reinsurance premiums payable due to ACA Reinsurance	\$
6. Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance	\$
<b>Operations (Revenue &amp; Expenses)</b>	
7. Ceded reinsurance premiums due to ACA Reinsurance	\$
8. Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments	\$ 388,725
9. ACA Reinsurance contributions – not reported as ceded premium	\$

c. Temporary ACA Risk Corridors Program	AMOUNT
<b>Assets</b>	
1. Accrued retrospective premium due to ACA Risk Corridors	\$
<b>Liabilities</b>	
3. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	\$
<b>Operations (Revenue &amp; Expenses)</b>	
3. Effect of ACA Risk Corridors on net premium income (paid/received)	\$
4. Effect of ACA Risk Corridors on change in reserves for rate credits	\$

**NOTES TO FINANCIAL STATEMENTS**

(3) Roll forward of prior year ACA Risk Sharing Provisions for the following asset (gross of any nonadmission) and liability balances along with the reasons for adjustments to prior year balance:

	Accrued During the Prior Year on Business Written Before Dec. 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before Dec. 31 of the Prior Year		Differences		Adjustments		Ref	Unsettled Balances as of the Reporting Date	
	1	2	3	4	Prior Year Accrued Less Payments (Col. 1-3)	Prior Year Accrued Less Payments (Col. 2-4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col. 1-3+7)	Cumulative Balance from Prior Years (Col. 2-4+8)
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)		Receivable	(Payable)
<b>a. Permanent ACA Risk Adjustment Program</b>											
1. Premium adjustments receivable	\$ 2,670,400		\$ 255,740		\$ 2,414,660		\$ 1,762,363		A	\$ 4,177,023	\$
2. Premium adjustments (payable)		(2,723,000)				(2,723,000)	4,186,714	2,723,000	B	4,186,714	
3. Subtotal ACA Permanent Risk Adjustment Program	\$ 2,670,400	\$ (2,723,000)	\$ 255,740	\$	\$ 2,414,660	\$ (2,723,000)	\$ 5,949,077	\$ 2,723,000		\$ 8,363,737	\$
<b>b. Transitional ACA Reinsurance Program</b>											
1. Amounts recoverable for claims paid	\$ 2,570,627		\$ 2,959,352		\$ (388,725)		\$ 388,725		C	\$	\$
2. Amounts recoverable for claims unpaid (contra liability)									D		
3. Amounts receivable relating to uninsured plans									E		
4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premiums									F		
5. Ceded reinsurance premiums payable									G		
6. Liability for amounts held under uninsured plans									H		
7. Subtotal ACA Transitional Reinsurance Program	\$ 2,570,627	\$	\$ 2,959,352	\$	\$ (388,725)	\$	\$ 388,725	\$		\$	\$
<b>c. Temporary ACA Risk Corridors Program</b>											
1. Accrued retrospective premium	\$	\$	\$	\$	\$	\$	\$	\$	I	\$	\$
2. Reserve for rate credits or policy experience rating refunds									J		
3. Subtotal ACA Risk Corridors Program	\$	\$	\$	\$	\$	\$	\$	\$		\$	\$
<b>d. Total for ACA Risk Sharing Provisions</b>	\$ 5,241,027	\$ (2,723,000)	\$ 3,215,092	\$	\$ 2,025,935	\$ (2,723,000)	\$ 6,337,802	\$ 2,723,000		\$ 8,363,737	\$

**Explanations of Adjustments**

- A. ACA Risk Adjustment based on the final risk adjustment report received from HHS on June 30, 2018 and payments received through September 30, 2018.
- B. ACA Risk Adjustment based on the final risk adjustment report received from HHS on September 30, 2018.
- C. ACA Reinsurance based on the final reinsurance report received from HHS on June 30, 2017 and payments received through September 30, 2018.
- D. Not applicable.
- E. Not applicable.
- F. Not applicable.
- G. Not applicable.
- H. Not applicable.
- I. Not applicable.
- J. Not applicable.

**NOTES TO FINANCIAL STATEMENTS**

(4) Roll-Forward of Risk Corridors Asset and Liability Balances by Program Benefit Year

	Accrued the Prior Year Written Dec. 31 of the	During on Business Before Prior Year	Received or Paid as of the Current Year on Business Written Before Dec. 31 of the Prior Year		Differences		Adjustments		Unsettled Balances as of the Reporting Date	
			3	4	Prior Year Accrued Less Payments (Col. 1-3)	Prior Year Accrued Less Payments (Col. 2-4)	To Prior Year Balances	To Prior Year Balances	Cumulative Balance from Prior Years (Col. 1-3+7)	Cumulative Balance from Prior Years (Col. 2-4+8)
			1	2	5	6	7	8	9	10
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)
<b>a. 2014</b>										
1. Accrued retrospective premium	\$	\$	\$	\$	\$	\$	\$	\$	A	\$
2. Reserve for rate credits for policy experience rating refunds	\$	\$	\$	\$	\$	\$	\$	\$	B	\$
<b>b. 2015</b>										
1. Accrued retrospective premium	\$	\$	\$	\$	\$	\$	\$	\$	C	\$
2. Reserve for rate credits for policy experience rating refunds	\$	\$	\$	\$	\$	\$	\$	\$	D	\$
<b>c. 2018</b>										
1. Accrued retrospective premium	\$	\$	\$	\$	\$	\$	\$	\$	E	\$
2. Reserve for rate credits or policy experience rating refunds	\$	\$	\$	\$	\$	\$	\$	\$	F	\$
<b>d. Total for Risk Corridors</b>	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$

- A. Not applicable.
- B. Not applicable.
- C. Not applicable.
- D. Not applicable.
- E. Not applicable.
- F. Not applicable.

(5) ACA Risk Corridors Receivable as of Reporting Date

Risk Corridors Program Year	1 Estimated Amount to be Filed or Final Amount Filed with CMS	2 Non-Accrued Amounts for Impairment or Other Reasons	3 Amounts Received from CMS	4 Asset Balance (Gross of Non-Admissions) (1-2-3)	5 Non-Admitted Amount	5 Net Admitted Asset (4-5)
a. 2014	\$	\$	\$	\$	\$	\$
b. 2015						
c. 2016						
<b>d. Total (a+b+c)</b>	\$	\$	\$	\$	\$	\$

**Note 25 – Change in Incurred Losses and Loss Adjustment Expenses**

A. Change in Incurred Losses and Loss Adjustment Expenses

Reserves for unpaid claims and claims adjustment expenses net of health care receivables as of December 31, 2017 were \$230.8 million. As of September 30, 2018 \$241.8 million has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years and \$67.4 million in health care receivables have been recovered. Reserves remaining for prior years are \$10.6 million based on the estimation of unpaid claims, claim adjustment expenses, and amounts expected to be received through subrogation at September 30, 2018. Health care receivables remaining to be recovered related to prior years are \$0.2 million. Therefore, there has been a \$46.0 million favorable prior year development since December 31, 2017. The redundancy that emerged resulted from differences in claims severity and utilization as compared to expectations.

B. Information about Significant Changes in Methodologies and Assumptions

No significant change.

**Note 26 – Intercompany Pooling Arrangements**

No significant change.

**Note 27 – Structured Settlements**

Not Applicable for Health Companies.

**NOTES TO FINANCIAL STATEMENTS****Note 28 – Health Care Receivables**

## A. Pharmaceutical Rebate Receivables

Quarter	Estimated Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Invoiced/ Confirmed	Actual Rebates	Actual Rebates	Actual Rebates
			Collected Within 90 Days of Invoicing/ Confirmation	Collected Within 91 to 180 Days of Invoicing/ Confirmation	Collected More Than 180 Days After Invoicing/ Confirmation
September 30, 2018	\$ 20,883,000	-	-	-	-
June 30, 2018	19,182,000	\$ 24,636,000	-	-	-
March 31, 2018	18,055,000	23,736,499	\$ 21,033,896	-	-
December 31, 2017	23,092,000	23,092,000	391,305	\$ 35,783,695	\$ 741,391
September 30, 2017	21,411,000	21,350,000	16,942,655	23,544	367,071
June 30, 2017	15,039,000	21,410,000	16,440,439	207,336	184,577
March 31, 2017	14,940,065	19,191,073	15,838,786	246,625	271,695
December 31, 2016	17,395,161	17,395,161	17,819,339	511,580	183,296
September 30, 2016	13,775,000	15,986,155	15,523,145	996,143	66,812
June 30, 2016	15,588,000	17,283,120	16,926,413	22,655	1,442,393
March 31, 2016	14,922,000	16,788,642	16,641,435	18,818	714,671

## B. Risk Sharing Receivables

Not applicable.

**Note 29 – Participating Policies**

No significant change.

**Note 30 – Premium Deficiency Reserves**

No significant change.

**Note 31 – Anticipated Salvage and Subrogation**

No significant change.

**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ X ] No [ ]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [ X ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ X ] No [ ]
- 2.2 If yes, date of change: In process
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? If yes, complete Schedule Y, Parts 1 and 1A. Yes [ X ] No [ ]
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ X ] No [ ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.  
Superior Dental Care Alliance, Inc. and Superior Dental Care, Inc. were acquired 8/31/18.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [ ] No [ X ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [ X ]
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? If yes, attach an explanation. Yes [ ] No [ ] N/A [ X ]
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2014
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2014
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 05/12/2016
- 6.4 By what department or departments?  
Ohio Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [ X ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [ ] No [ ] N/A [ X ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [ X ]
- 7.2 If yes, give full information:

- 8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [ ] No [ X ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [ X ]
- 8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [ X ] No [ ]
  - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
  - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
  - (c) Compliance with applicable governmental laws, rules and regulations;
  - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
  - (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [ X ]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [ X ]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**FINANCIAL**

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes  No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

**INVESTMENT**

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes  No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0
13. Amount of real estate and mortgages held in short-term investments: \$ 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes  No
- 14.2 If yes, please complete the following:

	1 Prior Year End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0	\$ 0
14.22 Preferred Stock	0	0
14.23 Common Stock	73,979,711	122,925,849
14.24 Short-Term Investments	0	0
14.25 Mortgage Loans on Real Estate	0	0
14.26 All Other	227,530,519	210,614,476
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 301,510,230	\$ 333,540,325
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ 0	\$ 0

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes  No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes  No
- If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.3 Total payable for securities lending reported on the liability page: \$ 0
17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes  No

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
FIFTH THIRD BANK	5050 KNIGSLEY DRIVE, CINCINNATI, OHIO 45263

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes  No
- 17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["...that have access to the investment accounts", "handle securities"].

1 Name of Firm or Individual	2 Affiliation
ANCORA ADVISORS, LLC	U
HUNTINGTON BANK	U
JAMES CELLURA	I

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's assets? Yes  No
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes  No
- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
124676	ANCORA ADVISORS, LLC	N/A	SEC	NO
N/A	HUNTINGTON BANK	N/A	OCC	NO

- 18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes  No

## GENERAL INTERROGATORIES

### PART 1 - COMMON INTERROGATORIES

18.2 If no, list exceptions:

19. By self-designating 5\*GI securities, the reporting entity is certifying the following elements for each self-designated 5\*GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist.
  - b. Issuer or obligor is current on all contracted interest and principal payments.
  - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5\*GI securities?

Yes [ ] No [X]

**GENERAL INTERROGATORIES (continued)**

**PART 2 - HEALTH**

1. Operating Percentages:		
1.1 A&H loss percent .....		<u>80.9 %</u>
1.2 A&H cost containment percent .....		<u>1.7 %</u>
1.3 A&H expense percent excluding cost containment expenses .....		<u>10.8 %</u>
2.1 Do you act as a custodian for health savings accounts? .....	Yes [ <input type="checkbox"/> ]	No [ <input checked="" type="checkbox"/> ]
2.2 If yes, please provide the amount of custodial funds held as of the reporting date.		<u>0</u>
2.3 Do you act as an administrator for health savings accounts? .....	Yes [ <input type="checkbox"/> ]	No [ <input checked="" type="checkbox"/> ]
2.4 If yes, please provide the amount of funds administered as of the reporting date.		<u>0</u>
3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?.....	Yes [ <input checked="" type="checkbox"/> ]	No [ <input type="checkbox"/> ]
3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile or the reporting entity?.....	Yes [ <input type="checkbox"/> ]	No [ <input type="checkbox"/> ]

**SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
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**NONE**

**SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS**

Current Year to Date - Allocated by States and Territories

State, Etc.	1 Active Status (a)	Direct Business Only							
		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums and Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 through 7	9 Deposit-Type Contracts
1. Alabama.....AL	N								0
2. Alaska.....AK	N								0
3. Arizona.....AZ	N								0
4. Arkansas.....AR	N								0
5. California.....CA	N								0
6. Colorado.....CO	N								0
7. Connecticut.....CT	N								0
8. Delaware.....DE	N								0
9. District of Columbia.....DC	N								0
10. Florida.....FL	N								0
11. Georgia.....GA	L								0
12. Hawaii.....HI	N								0
13. Idaho.....ID	N								0
14. Illinois.....IL	N								0
15. Indiana.....IN	L								0
16. Iowa.....IA	N								0
17. Kansas.....KS	N								0
18. Kentucky.....KY	N								0
19. Louisiana.....LA	N								0
20. Maine.....ME	N								0
21. Maryland.....MD	N								0
22. Massachusetts.....MA	N								0
23. Michigan.....MI	L	337,279						337,279	
24. Minnesota.....MN	N								0
25. Mississippi.....MS	N								0
26. Missouri.....MO	N								0
27. Montana.....MT	N								0
28. Nebraska.....NE	N								0
29. Nevada.....NV	N								0
30. New Hampshire.....NH	N								0
31. New Jersey.....NJ	N								0
32. New Mexico.....NM	N								0
33. New York.....NY	N								0
34. North Carolina.....NC	L								0
35. North Dakota.....ND	N								0
36. Ohio.....OH	L	1,697,923,787	246,013,905		17,271,026			1,961,208,718	
37. Oklahoma.....OK	N								0
38. Oregon.....OR	N								0
39. Pennsylvania.....PA	L								0
40. Rhode Island.....RI	N								0
41. South Carolina.....SC	L								0
42. South Dakota.....SD	N								0
43. Tennessee.....TN	N								0
44. Texas.....TX	N								0
45. Utah.....UT	N								0
46. Vermont.....VT	N								0
47. Virginia.....VA	N								0
48. Washington.....WA	N								0
49. West Virginia.....WV	L								0
50. Wisconsin.....WI	L								0
51. Wyoming.....WY	N								0
52. American Samoa.....AS	N								0
53. Guam.....GU	N								0
54. Puerto Rico.....PR	N								0
55. U.S. Virgin Islands.....VI	N								0
56. Northern Mariana Islands.....MP	N								0
57. Canada.....CAN	N								0
58. Aggregate Other alien.....OT	XXX	0	0	0	0	0	0	0	0
59. Subtotal.....XXX		1,698,261,066	246,013,905	0	17,271,026	0	0	1,961,545,997	0
60. Reporting entity contributions for Employee Benefit Plans.....XXX								0	
61. Total (Direct Business).....XXX		1,698,261,066	246,013,905	0	17,271,026	0	0	1,961,545,997	0

**DETAILS OF WRITE-INS**

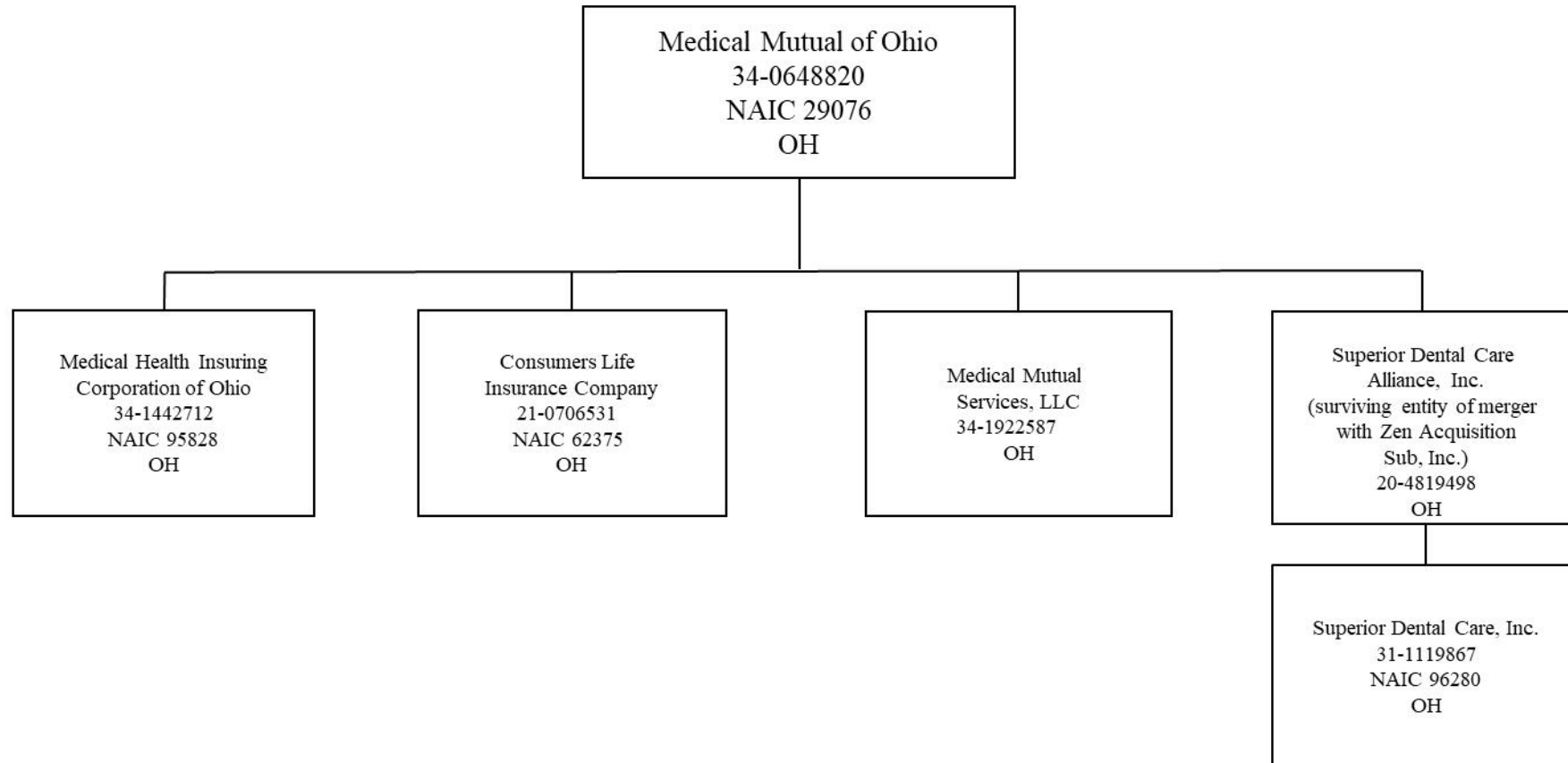
58001.....									0
58002.....									0
58003.....									0
58998. Summary of remaining write-ins for line 58 from overflow page.....		0	0	0	0	0	0	0	0
58999. Total (Lines 58001 thru 58003 plus 58998) (Line 58 above).....		0	0	0	0	0	0	0	0

(a) Active Status Count

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....	9	R - Registered - Non-domiciled RRGs.....	0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....	0	Q - Qualified - Qualified or accredited reinsurer.....	0
		N - None of the above - Not allowed to write business in the state.....	48

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART



As of 09/30/2018

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
<b>Members</b>															
0730	Medical Mutual of Ohio.....	29076...	34-0648820..	.....	.....	.....	Medical Mutual of Ohio.....	OH.....	RE.....	Medical Mutual of Ohio.....	Ownership.....	...100.000	Medical Mutual of Ohio.....	....N.....	.....
0730	Medical Mutual of Ohio.....	95828...	34-1442712..	.....	.....	.....	Medical Health Insuring Corporation of Ohio....	OH.....	DS.....	Medical Mutual of Ohio.....	Ownership.....	...100.000	Medical Mutual of Ohio.....	....N.....	.....
0730	Medical Mutual of Ohio.....	62375...	21-0706531..	.....	.....	.....	Consumers Life Insurance Company.....	OH.....	DS.....	Medical Mutual of Ohio.....	Ownership.....	...100.000	Medical Mutual of Ohio.....	....N.....	.....
.....	Medical Mutual of Ohio.....	.....	34-1922587..	.....	.....	.....	Medical Mutual Services, LLC.....	OH.....	DS.....	Medical Mutual of Ohio.....	Ownership.....	...100.000	Medical Mutual of Ohio.....	....N.....	.....
.....	Medical Mutual of Ohio.....	.....	20-4819498..	.....	.....	.....	Superior Dental Care Alliance, Inc.....	OH.....	DS.....	Medical Mutual of Ohio.....	Ownership.....	...100.000	Medical Mutual of Ohio.....	....Y.....	.....
0730	Medical Mutual of Ohio.....	96280...	31-1119867..	.....	.....	.....	Superior Dental Care, Inc.....	OH.....	DS.....	Medical Mutual of Ohio.....	Ownership.....	...100.000	Medical Mutual of Ohio.....	....N.....	.....

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

**Response**

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

NO

**Explanation:**

1. The data for this supplement is not required to be filed.

**Bar Code:**



**Overflow Page for Write-Ins**

**Additional Write-ins for Assets:**

	Current Statement Date			4 December 31, Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504. Other Receivables.....	1,086,299	175,721	910,578	1,150,860
2505. Intangible Asset.....	7,607,676	160,416	7,447,260	
2597. Summary of remaining write-ins for Line 25.....	8,693,975	336,137	8,357,838	1,150,860

**Additional Write-ins for Liabilities:**

	Current Period			4 Prior Year Total
	1 Covered	2 Uncovered	3 Total	
2304. Reinsurance Payable.....	5,571,015		5,571,015	6,713,422
2305. Unclaimed Funds.....	3,026,767		3,026,767	3,155,988
2306. Guaranty Fund Liability.....	1,500,000		1,500,000	1,500,000
2397. Summary of remaining write-ins for Line 23.....	10,097,782	0	10,097,782	11,369,410

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	37,639,466	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		37,866,812
2.2 Additional investment made after acquisition.....	88,500	
3. Current year change in encumbrances.....		
4. Total gain (loss) on disposals.....		
5. Deduct amounts received on disposals.....		
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other-than-temporary impairment recognized.....		
8. Deduct current year's depreciation.....	512,265	227,346
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	37,215,701	37,639,466
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....	37,215,701	37,639,466

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and mortgage interest points and commitment fees.....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	0	0
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	0	0

NONE

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	273,306,077	274,771,977
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		14,525,603
2.2 Additional investment made after acquisition.....	5,790,109	6,928,681
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....	(15,846,613)	(20,954,214)
6. Total gain (loss) on disposals.....	261,543	(31,633)
7. Deduct amounts received on disposals.....	3,977,493	1,934,337
8. Deduct amortization of premium and depreciation.....		
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	259,533,623	273,306,077
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	259,533,623	273,306,077

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	1,417,955,735	1,385,527,890
2. Cost of bonds and stocks acquired.....	183,231,589	290,877,345
3. Accrual of discount.....	602,904	596,454
4. Unrealized valuation increase (decrease).....	50,036,962	(10,304,257)
5. Total gain (loss) on disposals.....	5,029,370	37,783,802
6. Deduct consideration for bonds and stocks disposed of.....	122,208,956	277,948,047
7. Deduct amortization of premium.....	3,095,105	5,300,999
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....	1,002,743	3,276,453
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....	150,040	
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10).....	1,530,699,796	1,417,955,735
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	1,530,699,796	1,417,955,735

### SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a).....	910,180,997	37,687,731	20,133,354	(3,170,604)	898,372,142	910,180,996	924,564,770	903,563,514
2. NAIC 2 (a).....	183,576,715	13,179,471	2,000,000	2,273,482	177,025,168	183,576,716	197,029,668	164,167,392
3. NAIC 3 (a).....							0	
4. NAIC 4 (a).....							0	
5. NAIC 5 (a).....							0	
6. NAIC 6 (a).....							0	
7. Total Bonds.....	1,093,757,712	50,867,202	22,133,354	(897,122)	1,075,397,310	1,093,757,712	1,121,594,438	1,067,730,906
<b>PREFERRED STOCK</b>								
8. NAIC 1.....							0	
9. NAIC 2.....							0	
10. NAIC 3.....							0	
11. NAIC 4.....							0	
12. NAIC 5.....							0	
13. NAIC 6.....							0	
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock.....	1,093,757,712	50,867,202	22,133,354	(897,122)	1,075,397,310	1,093,757,712	1,121,594,438	1,067,730,906

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(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

**SCHEDULE DA - PART 1**

Short-Term Investments

	1 Book/Adjusted Carrying Value	Par Value <b>NONE</b>	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999.....		XXX.....		

**SCHEDULE DA - VERIFICATION**

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	.....0	.....69,898,181
2. Cost of short-term investments acquired.....	.....	.....48,408,092
3. Accrual of discount.....	.....	.....
4. Unrealized valuation increase (decrease).....	.....	.....
5. Total gain (loss) on disposals.....	.....	.....
6. Deduct consideration received on disposals.....	.....	.....118,306,273
7. Deduct amortization of premium.....	.....	.....
8. Total foreign exchange change in book/adjusted carrying value.....	.....	.....
9. Deduct current year's other-than-temporary impairment recognized.....	.....	.....
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	.....0	.....0
11. Deduct total nonadmitted amounts.....	.....	.....
12. Statement value at end of current period (Line 10 minus Line 11).....	.....0	.....0

**Sch. DB - Pt. A - Verification  
NONE**

**Sch. DB - Pt. B - Verification  
NONE**

**Sch. DB - Pt. C - Sn. 1  
NONE**

**Sch. DB - Pt. C - Sn. 2  
NONE**

**Sch. DB - Verification  
NONE**

**SCHEDULE E - PART 2 - VERIFICATION**

Cash Equivalents

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	48,491,700	
2. Cost of cash equivalents acquired.....	79,863,011	118,306,273
3. Accrual of discount.....		
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....		69,814,573
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/ adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	128,354,711	48,491,700
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	128,354,711	48,491,700

### SCHEDULE A - PART 2

Showing all Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Description of Property	Location		4 Date Acquired	5 Name of Vendor	6 Actual Cost at Time of Acquisition	7 Amount of Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances	9 Additional Investment Made After Acquisition
	2 City	3 State						
<b>Acquired by Purchase</b>								
2060 East Ninth Street - Corporate Headquarters - Building.....	Cleveland.....	OH..	09/18/2017....	Donald Green as Receiver for MMCO, LLC.....	32,771,278		32,120,167	88,500
0199999. Totals.....					32,771,278	0	32,120,167	88,500
0399999. Totals.....					32,771,278	0	32,120,167	88,500

QE01

### SCHEDULE A - PART 3

Showing all Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract "

1 Description of Property	Location		4 Disposal Date	5 Name of Purchaser	6 Actual Cost	7 Expended for Additions, Permanent Improvements and Changes in Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances Prior Year	Change in Book/Adjusted Carrying Value Less Encumbrances					14 Book/Adjusted Carrying Value Less Encumbrances on Disposal	15 Amounts Received During Year	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	19 Gross Income Earned Less Interest Incurred on Encumbrances	20 Taxes, Repairs, and Expenses Incurred
	2 City	3 State						9 Current Year's Depreciation	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Current Year's Change in Encumbrances	12 Total Change in B./A.C.V. (11 - 9 - 10)	13 Total Foreign Exchange Change in B./A.C.V.							
<b>NONE</b>																			

### SCHEDULE B - PART 2

Showing all Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	Location		4	5	6	7	8	9
Loan Number	2	3	Loan Type	Date Acquired	Rate of Interest	Actual Cost at Time of Acquisition	Additional Investment Made After Acquisition	Value of Land and Buildings
	City	State						

**NONE**

QE02

### SCHEDULE B - PART 3

Showing all Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment					14	15	16	17	18	
Loan Number	2	3	Loan Type	Date Acquired	Disposal Date	Book Value/Recorded Investment Excluding Accrued Interest Prior Year	8	9	10	11	12	13	Book Value / Recorded Investment Excluding Accrued Interest on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
	City	State					Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8 + 9 - 10 + 11)	Total Foreign Exchange Change in Book Value					

**NONE**

### SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Designation	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made after Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership	
		3 City	4 State										
<b>Joint Venture or Partnership Interests That Have Underlying Characteristics of Common Stocks - Unaffiliated</b>													
000000 00 0	Flare Capital Partners I, LP.....	Rowayton.....	CT..	Flare Capital Managers I, LLC.....		12/20/2013.....	.....1		250,000		3,870,258	5.714	
000000 00 0	Mutual Capital Partners Fund III-Q, LP.....	Westlake.....	OH..	Mutual Capital Partners Fund III, LLC.....		03/03/2017.....	.....1		100,000		800,000	2.420	
000000 00 0	Citymark Capital Fund I, LP.....	Cleveland.....	OH..	Citymark Capital GP, LLC.....		09/23/2016.....	.....1		441,477		81,955	5.000	
000000 00 0	Leerink Transformation Fund I, LP.....	Boston.....	MA..	Leerink Transformation Partners.....		06/06/2017.....	.....1		282,879		3,374,915	1.600	
1599999. Total - Joint Venture or Partnership Interests That Have Underlying Characteristics of Common Stocks - Unaffiliated.....									0	1,074,356	0	8,127,128	XXX.....
<b>Any Other Class of Asset - Unaffiliated</b>													
000000 00 0	Employee Benefit Trust.....	Boston.....	MA..	Fidelity Investments.....		07/01/2004.....			50,971			100.000	
4299999. Total - Any Other Class of Asset - Unaffiliated.....									0	50,971	0	0	XXX.....
4499999. Subtotal - Unaffiliated.....									0	1,125,327	0	8,127,128	XXX.....
4699999. Totals.....									0	1,125,327	0	8,127,128	XXX.....

QE03

### SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Changes in Book/Adjusted Carrying Value						15 Book/Adjusted Carrying Value Less Encumbrances on Disposal	16 Consideration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income
		3 City	4 State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization) / Accretion	11 Current Year's Other-Than-Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in B./A.C.V. (9+10-11+12)	14 Total Foreign Exchange Change in B./A.C.V.						
<b>Joint Venture or Partnership Interests That Have Underlying Characteristics of Common Stocks - Unaffiliated</b>																			
000000 00 0	Foundation Medical Partners III, LP.....	Rowayton.....	CT..	Foundation Medical Managers III, LLC.....	07/14/2008	09/19/2018								50,839	50,839			0	
000000 00 0	Flare Capital Partners I, LP.....	Rowayton.....	CT..	Flare Capital Managers I, LLC.....	12/20/2013	09/26/2018								594,964	591,964			0	
1599999. Total - Joint Venture or Partnership Interests That Have Underlying Characteristics of Common Stocks - Unaffiliated.....									0	0	0	0	0	645,803	642,803	0	0	0	0
<b>Any Other Class of Asset - Unaffiliated</b>																			
000000 00 0	Employee Benefit Trust.....	Boston.....	MA..	Participant Withdrawals/Trustee Transfer.	07/01/2004	09/30/2018								87,526	87,526		12,710	12,710	
4299999. Total - Any Other Class of Asset - Unaffiliated.....									0	0	0	0	0	87,526	87,526	0	12,710	12,710	0
4499999. Subtotal - Unaffiliated.....									0	0	0	0	0	733,329	730,329	0	12,710	12,710	0
4699999. Totals.....									0	0	0	0	0	733,329	730,329	0	12,710	12,710	0

**SCHEDULE D - PART 3**

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
<b>Bonds - U.S. Government</b>									
912828 B6 6	U.S. TREASURY NOTES.....		09/19/2018.....	MORGAN STANLEY & CO INC.....		988,906	1,000,000	2,690	1
912828 Y7 9	U.S. TREASURY NOTES.....		08/29/2018.....	MORGAN STANLEY & CO INC.....		1,002,148	1,000,000	2,344	1
0599999	Total - Bonds - U.S. Government.....					1,991,054	2,000,000	5,034	XXX
<b>Bonds - U.S. Special Revenue and Special Assessment</b>									
3133EF T9 8	FEDERAL FARM CREDIT BANKS.....		08/29/2018.....	NATIONAL FINANCIAL SERVICES.....		4,811,000	5,000,000	55,311	1
3133EH W9 0	FEDERAL FARM CREDIT BANKS.....		09/21/2018.....	NATIONAL FINANCIAL SERVICES.....		4,815,850	5,000,000	47,397	1
3137FG BD 6	FHLMC REMIC SERIES 4811 VB.....		09/24/2018.....	NATIONAL FINANCIAL SERVICES.....		8,739,292	8,544,374	24,684	1
3136B1 ML 5	FNMA REMIC TRUST 2018-22 BA.....		07/26/2018.....	NATIONAL FINANCIAL SERVICES.....		6,763,185	6,636,673	22,122	1
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments.....					25,129,327	25,181,047	149,514	XXX
<b>Bonds - Industrial and Miscellaneous</b>									
039483 BL 5	ARCHER DANIELS MIDLAND CO.....		09/07/2018.....	NATIONAL FINANCIAL SERVICES.....		4,605,800	5,000,000	10,417	1FE
337738 AQ 1	FISERV INC.....		09/25/2018.....	NATIONAL FINANCIAL SERVICES.....		3,002,580	3,000,000	633	2FE
34959J AG 3	FORTIVE CORP.....		08/23/2018.....	NATIONAL FINANCIAL SERVICES.....		3,791,080	4,000,000	25,200	2FE
608190 AJ 3	MOHAWK INDUSTRIES INC.....		09/07/2018.....	NATIONAL FINANCIAL SERVICES.....		3,521,770	3,500,000	14,972	2FE
641062 AE 4	NESTLE HOLDINGS INC.....		09/26/2018.....	NATIONAL FINANCIAL SERVICES.....		4,971,550	5,000,000	1,945	1FE
666807 BM 3	NORTHROP GRUMMAN CORP.....		07/25/2018.....	NATIONAL FINANCIAL SERVICES.....		2,864,040	3,000,000	2,930	2FE
751212 AC 5	RALPH LAUREN CORP.....		09/19/2018.....	DAVIDSON D A & COMPANY INC.....		990,000	1,000,000	4,375	1FE
3899999	Total - Bonds - Industrial and Miscellaneous.....					23,746,820	24,500,000	60,472	XXX
8399997	Total - Bonds - Part 3.....					50,867,201	51,681,047	215,020	XXX
8399999	Total - Bonds.....					50,867,201	51,681,047	215,020	XXX
<b>Common Stocks - Industrial and Miscellaneous</b>									
25243Q 20 5	DIAGEO P L C.....	C	08/23/2018.....	IVY SECURITES INC.....		1,700,000	240,787		XXX
032332 50 4	AMTECH SYSTEMS INC.....		08/22/2018.....	VARIOUS.....		37,846,000	196,446		XXX
039380 40 7	ARCH COAL INC.....		07/30/2018.....	IVY SECURITES INC.....		1,500,000	118,377		XXX
04238R 10 6	ARMSTRONG FLOORING INC.....		08/06/2018.....	VARIOUS.....		8,481,000	113,752		XXX
042682 20 3	AROTECH CORP.....		09/21/2018.....	VARIOUS.....		16,988,000	58,889		XXX
05366Y 20 1	AVIAT NETWORKS INC.....		08/29/2018.....	JEFFRIES & CO.....		4,214,000	74,394		XXX
060505 10 4	BANK OF AMERICA CORPORATION.....		08/23/2018.....	IVY SECURITES INC.....		10,600,000	327,111		XXX
11135F 10 1	BROADCOM INC.....		08/15/2018.....	MORGAN, J.P. SECURITIES.....		800,000	164,727		XXX
140781 10 5	CARBO CERAMICS INC.....		08/22/2018.....	LIQUIDNET INC.....		8,000,000	66,692		XXX
149049 50 4	CATASYS INC.....		09/21/2018.....	IVY SECURITES INC.....		3,690,000	45,825		XXX
171046 10 5	CHRISTOPHER & BANKS CORP.....		08/02/2018.....	VARIOUS.....		36,691,000	35,046		XXX
174610 10 5	CITIZENS FINANCIAL GROUP INC.....		08/22/2018.....	MORGAN, J.P. SECURITIES.....		35,150,000	1,450,788		XXX
205477 10 2	COMPUTER TASK GROUP INC.....		07/31/2018.....	VARIOUS.....		15,843,000	101,753		XXX
125919 30 8	CPI AEROSTRUCTURES INC.....		08/08/2018.....	KEYBANC CAPITAL MARKETS INC.....		4,385,000	35,212		XXX
125906 10 7	CSS INDUSTRIES INC.....		08/01/2018.....	VARIOUS.....		4,573,000	71,717		XXX
23317H 85 4	DDR CORP.....		08/27/2018.....	VARIOUS.....		9,570,000	132,549		XXX
254423 10 6	DINEEQUITY INC.....		09/14/2018.....	VARIOUS.....		743,000	57,662		XXX
254709 10 8	DISCOVER FINANCIAL SERVICES.....		08/23/2018.....	IVY SECURITES INC.....		575,000	44,929		XXX
300487 10 5	EVINE LIVE INC.....		08/28/2018.....	VARIOUS.....		66,209,000	86,103		XXX
320557 10 1	FIRST INTERNET BANCORP.....		07/30/2018.....	INVESTMENT TECHNOLOGY GROUP.....		2,029,000	63,786		XXX
356108 10 0	FREDS INC.....		09/25/2018.....	JEFFRIES & CO.....		30,139,000	60,543		XXX
36164V 30 5	GCI LIBERTY INC.....		07/09/2018.....	IVY SECURITES INC.....		1,520,000	72,504		XXX
402307 10 2	GULF ISLAND FABRICATION INC.....		08/07/2018.....	VARIOUS.....		11,840,000	106,712		XXX
40624Q 20 3	HALLMARK FINANCIAL SERVICES INC.....		07/13/2018.....	VARIOUS.....		5,563,000	55,612		XXX
40701T 10 4	HAMILTON BEACH BRANDS HOLDING CO.....		09/24/2018.....	VARIOUS.....		5,350,000	127,219		XXX

QE04

### SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2		3	4	5	6	7	8	9	10
CUSIP Identification	Description		Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
404139 10 7	HC2 HOLDINGS INC.....			08/06/2018.....	VARIOUS.....	31,013.000	182,340	XXX		L.....
461148 10 8	INTEVAC INC.....			07/18/2018.....	NEEDHAM & COMPANY.....	9,720.000	48,421	XXX		L.....
46625H 10 0	J P MORGAN CHASE & CO.....			08/23/2018.....	IVY SECURITES INC.....	4,650.000	534,166	XXX		L.....
48716P 10 8	KEARNY FINANCIAL CORP.....			08/15/2018.....	VARIOUS.....	3,948.000	54,226	XXX		L.....
493144 10 9	KEY TRONIC CORP.....			07/17/2018.....	LIQUIDNET INC.....	10,059.000	77,031	XXX		L.....
497498 10 5	KIRKLANDS INC.....			08/24/2018.....	JONESTRADING INSTITUTIONAL SERVICES.....	350.000	4,173	XXX		L.....
48253L 10 6	KLX ENERGY SERVICES HOLDNGS INC.....			09/26/2018.....	VARIOUS.....	3,630.000	108,952	XXX		L.....
500754 10 6	KRAFT HEINZ CO.....			08/23/2018.....	IVY SECURITES INC.....	1,950.000	115,507	XXX		L.....
56624R 10 8	MARCHEX INC.....			08/28/2018.....	VARIOUS.....	13,040.000	35,838	XXX		L.....
552746 34 9	MFS INTERNATIONAL VALUE FUND R6.....			07/17/2018.....	EXCHANGE.....	233,459.497	7,846,136	XXX		L.....
553829 10 2	MVC CAPITAL INC.....			07/13/2018.....	INVESTMENT TECHNOLOGY GROUP.....	6,028.000	57,883	XXX		L.....
69325Q 10 5	PC-TEL INC.....			08/22/2018.....	LIQUIDNET INC.....	31,859.000	144,653	XXX		L.....
69329Y 10 4	PDL BIOPHARMA INC.....			09/24/2018.....	IVY SECURITES INC.....	67,963.000	170,016	XXX		L.....
74915M 10 0	QURATE RETAIL INC.....			09/04/2018.....	VARIOUS.....	4,760.000	102,652	XXX		L.....
794093 10 4	SALEM COMMUNICATIONS CORP.....			09/26/2018.....	VARIOUS.....	38,584.000	172,365	XXX		L.....
806407 10 2	SCHEIN HENRY INC.....			09/26/2018.....	VARIOUS.....	1,970.000	158,145	XXX		L.....
824543 10 2	SHILOH INDUSTRIES INC.....			07/31/2018.....	JONESTRADING INSTITUTIONAL SERVICES.....	7,217.000	59,680	XXX		L.....
87538X 10 5	TANDY LEATHER FACTORY INC.....			09/05/2018.....	VARIOUS.....	4,445.000	33,831	XXX		L.....
89469A 10 4	TREEHOUSE FOODS INC.....			09/11/2018.....	VARIOUS.....	3,640.000	189,351	XXX		L.....
89703P 10 7	TRONC INC.....			07/26/2018.....	JONESTRADING INSTITUTIONAL SERVICES.....	960.000	15,096	XXX		L.....
909214 30 6	UNISYS CORP.....			08/28/2018.....	WEEDEN & COMPANY.....	5,030.000	83,236	XXX		L.....
911312 10 6	UNITED PARCEL SERVICE INC.....			08/23/2018.....	IVY SECURITES INC.....	2,500.000	304,322	XXX		L.....
98311A 10 5	WYNDHAM HOTELS & RESORTS INC.....			09/20/2018.....	VARIOUS.....	3,550.000	204,840	XXX		L.....
776652 10 9	ROOTS CORP.....		C.....	08/14/2018.....	VARIOUS.....	26,780.000	205,198	XXX		L.....
Y2187A 14 3	EAGLE BULK SHIPPING INC.....			08/01/2018.....	VARIOUS.....	11,965.000	66,664	XXX		L.....
9099999	Total - Common Stocks - Industrial and Miscellaneous.....						14,883,857	XXX	0	XXX.....
<b>Common Stocks - Parent, Subsidiaries and Affiliates</b>										
86815* 10 4	SUPERIOR DENTAL CARE ALLIANCE, INC.....			08/31/2018.....	THE HUNTINGTON NATIONAL BANK.....	1,000.000	10,478,780	XXX		L.....
9199999	Total - Common Stocks - Parent, Subsidiaries and Affiliates.....						10,478,780	XXX	0	XXX.....
9799997	Total - Common Stocks - Part 3.....						25,362,637	XXX	0	XXX.....
9799999	Total - Common Stocks.....						25,362,637	XXX	0	XXX.....
9899999	Total - Preferred and Common Stocks.....						25,362,637	XXX	0	XXX.....
9999999	Total - Bonds, Preferred and Common Stocks.....						76,229,838	XXX	215,020	XXX.....

QE04.1

(a) For all common stock bearing NAIC market indicator "U" provide the number of such issues:.....0.

### SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)	
<b>Bonds - U.S. Government</b>																						
38375G	2G 5		09/20/2018	GNMA REMIC TRUST 2012-102 DN		105,183	105,183	105,133	105,154		29		29		105,183			0	1,043	09/20/2040	1	
38378E	JV 6		09/20/2018	GNMA REMIC TRUST 2012-65 MJ		145,586	145,586	150,728	148,643		(3,057)		(3,057)		145,586			0	2,412	07/20/2039	1	
38375G	DJ 7		09/20/2018	GNMA REMIC TRUST 2012-73 NK		68,718	68,718	72,089	70,677		(1,959)		(1,959)		68,718			0	1,393	08/20/2040	1	
38379W	5E 8		09/20/2018	GNMA REMIC TRUST 2016-62 LA		176,625	176,625	183,856	182,309		(5,683)		(5,683)		176,625			0	3,551	09/20/2045	1	
38379X	KD 1		09/20/2018	GNMA REMIC TRUST 2016-83 AP		267,163	267,163	278,434	276,045		(8,883)		(8,883)		267,163			0	5,371	10/20/2045	1	
38379X	Q9 4		09/20/2018	GNMA REMIC TRUST 2016-90 MA		265,452	265,452	276,816	274,347		(8,896)		(8,896)		265,452			0	5,319	10/20/2045	1	
38380F	4M 5		09/20/2018	GNMA REMIC TRUST 2017-099 JG		270,225	270,225	269,212	269,310		916		916		270,225			0	4,521	06/20/2047	1	
38380H	PP 1		09/20/2018	GNMA REMIC TRUST 2017-149 CA		109,387	109,387	107,165			2,222		2,222		109,387			0	1,636	02/20/2046	1	
0599999	Total - Bonds - U.S. Government						1,408,339	1,408,339	1,443,433	1,326,485	0	(25,311)	0	(25,311)	0	1,408,339	0	0	0	25,246	XXX	XXX
<b>Bonds - U.S. Special Revenue and Special Assessment</b>																						
3133EF	CN 5		09/04/2018	FEDERAL FARM CREDIT BANKS		1,000,000	1,000,000	999,500	999,885		115		115		1,000,000			0	11,000	09/04/2018	1	
3133X0	PF 0		08/15/2018	FEDERAL FARM CREDIT BANKS		3,000,000	3,000,000	3,264,360	3,019,157		(19,157)		(19,157)		3,000,000			0	161,250	08/15/2018	1	
3134G3	B5 8		08/22/2018	FEDERAL HOME LOAN MORTGAGE CORP		1,000,000	1,000,000	1,000,000	1,000,000				0		1,000,000			0	11,500	08/22/2018	1	
31394R	ZY 8		09/15/2018	FHLMC REMIC SERIES 2769 LB		39,302	39,302	39,842	39,368		(66)		(66)		39,302			0	1,040	03/15/2019	1	
31394W	FL 7		09/15/2018	FHLMC REMIC SERIES 2773 TB		44,035	44,035	44,448	44,087		(52)		(52)		44,035			0	1,170	04/15/2019	1	
31394W	YN 2		09/15/2018	FHLMC REMIC SERIES 2783 AY		22,566	22,566	22,922	22,610		(44)		(44)		22,566			0	589	04/15/2019	1	
31394W	GS 1		09/15/2018	FHLMC REMIC SERIES 2784 HJ		52,327	52,327	52,359	52,331		(4)		(4)		52,327			0	1,396	04/15/2019	1	
31394Y	F3 3		09/15/2018	FHLMC REMIC SERIES 2796 LB		26,461	26,461	27,148	26,828		(367)		(367)		26,461			0	813	05/15/2024	1	
31395J	MW 3		09/15/2018	FHLMC REMIC SERIES 2892 DB		39,439	39,439	39,833	39,492		(53)		(53)		39,439			0	1,177	11/15/2019	1	
31395U	RE 3		09/15/2018	FHLMC REMIC SERIES 2977 AY		62,875	62,875	65,135	64,159		(1,284)		(1,284)		62,875			0	1,911	05/15/2025	1	
3137AE	LS 2		09/15/2018	FHLMC REMIC SERIES 3910 JC		80,948	80,948	82,744	81,781		(834)		(834)		80,948			0	1,018	12/15/2037	1	
3137AJ	6A 7		09/15/2018	FHLMC REMIC SERIES 3955 BA		84,941	84,941	89,188	87,946		(3,005)		(3,005)		84,941			0	1,958	02/15/2041	1	
3137AJ	HW 7		09/15/2018	FHLMC REMIC SERIES 3960 YH		118,048	118,048	120,630	119,362		(1,314)		(1,314)		118,048			0	1,596	08/15/2040	1	
3137AP	BF 6		09/15/2018	FHLMC REMIC SERIES 4033 ED		181,263	181,263	186,501	183,788		(2,525)		(2,525)		181,263			0	2,986	10/15/2036	1	
3137AS	Q8 0		09/15/2018	FHLMC REMIC SERIES 4088 PA		46,131	46,131	48,322	47,914		(1,783)		(1,783)		46,131			0	997	12/15/2040	1	
3137AV	2S 5		09/15/2018	FHLMC REMIC SERIES 4122 PA		145,033	145,033	146,007	145,685		(652)		(652)		145,033			0	1,448	02/15/2042	1	
3137AW	6M 2		09/15/2018	FHLMC REMIC SERIES 4125 KP		113,406	113,406	117,464	116,104		(2,698)		(2,698)		113,406			0	1,856	05/15/2041	1	
3137AW	VA 0		09/15/2018	FHLMC REMIC SERIES 4145 UC		143,307	143,307	142,636	142,803		505		505		143,307			0	1,446	12/15/2027	1	
3137AY	6Z 9		09/15/2018	FHLMC REMIC SERIES 4150 ND		157,787	157,787	156,875	156,983		804		804		157,787			0	1,804	07/15/2041	1	
3137AY	SG 7		09/15/2018	FHLMC REMIC SERIES 4165 TD		225,882	225,882	216,635			9,247		9,247		225,882			0	1,974	12/15/2042	1	
3137B0	TR 5		09/15/2018	FHLMC REMIC SERIES 4186 MC		152,155	152,155	145,736	147,461		4,694		4,694		152,155			0	1,477	03/15/2028	1	
3137B1	MQ 2		09/15/2018	FHLMC REMIC SERIES 4198 QD		146,206	146,206	148,148	147,634		(1,428)		(1,428)		146,206			0	1,954	01/15/2033	1	
3137B1	XL 9		09/15/2018	FHLMC REMIC SERIES 4204 HA		135,717	135,717	136,353	136,255		(538)		(538)		135,717			0	2,323	05/15/2028	1	
3137B7	3V 1		09/15/2018	FHLMC REMIC SERIES 4289 WE		180,036	180,036	184,396	183,053		(3,017)		(3,017)		180,036			0	3,628	08/15/2031	1	
3137B7	TL 3		09/15/2018	FHLMC REMIC SERIES 4306 A		101,872	101,872	106,520	104,432		(2,561)		(2,561)		101,872			0	2,249	03/15/2041	1	
3137B9	FL 4		09/15/2018	FHLMC REMIC SERIES 4314 KA		261,927	261,927	268,066	266,661		(4,734)		(4,734)		261,927			0	5,123	12/15/2039	1	
3137BA	3T 7		09/15/2018	FHLMC REMIC SERIES 4329 KA		109,349	109,349	112,202	111,512		(2,163)		(2,163)		109,349			0	2,159	01/15/2040	1	
3137BB	A9 1		09/15/2018	FHLMC REMIC SERIES 4337 BA		304,242	304,242	318,694	318,253		(14,011)		(14,011)		304,242			0	6,009	02/15/2046	1	
3137BB	N9 7		09/15/2018	FHLMC REMIC SERIES 4358 DA		192,691	192,691	197,207	196,368		(3,677)		(3,677)		192,691			0	3,826	06/15/2040	1	
3137BN	NQ 3		09/15/2018	FHLMC REMIC SERIES 4566 CE		209,835	209,835	208,097	208,196		1,639		1,639		209,835			0	3,640	01/15/2043	1	

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### SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
3137BN Z8 0	FHLMC REMIC SERIES 4569 A.....		09/15/2018	PRINCIPAL RECEIPT.....		275,855	275,855	284,993	284,280		(8,425)		(8,425)		275,855			.0	4,618	11/15/2040	1.....
3137BR 6T 7	FHLMC REMIC SERIES 4608 HA.....		09/15/2018	PRINCIPAL RECEIPT.....		189,442	189,442	194,711	194,119		(4,677)		(4,677)		189,442			.0	3,270	06/15/2041	1.....
3137BV EH 5	FHLMC REMIC SERIES 4655 HA.....		09/15/2018	PRINCIPAL RECEIPT.....		326,406	326,406	338,748	338,246		(11,840)		(11,840)		326,406			.0	7,646	01/15/2042	1.....
3137BW YH 1	FHLMC REMIC SERIES 4674 A.....		09/15/2018	PRINCIPAL RECEIPT.....		253,352	253,352	259,448	259,406		(6,053)		(6,053)		253,352			.0	5,037	12/15/2042	1.....
3137F3 W8 3	FHLMC REMIC SERIES 4764 WJ.....		09/15/2018	PRINCIPAL RECEIPT.....		102,368	102,368	101,632			736		736		102,368			.0	1,290	01/15/2045	1.....
3137F5 N5 4	FHLMC REMIC SERIES 4794 DA.....		09/15/2018	PRINCIPAL RECEIPT.....		228,525	228,525	237,237			(8,713)		(8,713)		228,525			.0	1,766	08/15/2044	1.....
31415Y LW 7	FNMA PASS-THRU POOL 993241.....		09/25/2018	PRINCIPAL RECEIPT.....		68,080	68,080	71,590	71,083		(3,003)		(3,003)		68,080			.0	1,861	06/01/2024	1.....
31417Y GK 7	FNMA PASS-THRU 15 YEAR.....		09/25/2018	PRINCIPAL RECEIPT.....		36,875	36,875	38,096	37,835		(961)		(961)		36,875			.0	964	10/01/2024	1.....
31394B MD 3	FNMA REMIC TRUST 2004-89 AQ.....		09/25/2018	PRINCIPAL RECEIPT.....		78,454	78,454	80,269	79,340		(886)		(886)		78,454			.0	2,362	12/25/2024	1.....
3136A2 AR 4	FNMA REMIC TRUST 2011-110 EC.....		09/25/2018	PRINCIPAL RECEIPT.....		113,213	113,213	115,247	114,806		(1,593)		(1,593)		113,213			.0	1,515	04/25/2041	1.....
3136A2 MY 6	FNMA REMIC TRUST 2011-128 QB.....		09/25/2018	PRINCIPAL RECEIPT.....		81,643	81,643	84,577	83,414		(1,771)		(1,771)		81,643			.0	1,334	03/25/2039	1.....
31397S XM 1	FNMA REMIC TRUST 2011-40 KA.....		09/25/2018	PRINCIPAL RECEIPT.....		13	13	13	13						13			.0		03/25/2026	1.....
3136A8 ZR 4	FNMA REMIC TRUST 2012-103 DA.....		09/25/2018	PRINCIPAL RECEIPT.....		57,046	57,046	60,210	59,644		(2,599)		(2,599)		57,046			.0	1,233	10/25/2041	1.....
3136AA JT 3	FNMA REMIC TRUST 2012-139 CA.....		09/25/2018	PRINCIPAL RECEIPT.....		396,883	396,883	388,397	388,453		8,430		8,430		396,883			.0	5,288	11/25/2042	1.....
3136AA 5A 9	FNMA REMIC TRUST 2012-149 ND.....		09/25/2018	PRINCIPAL RECEIPT.....		212,054	212,054	212,054	212,054						212,054			.0	2,763	06/25/2042	1.....
3136AA 6K 6	FNMA REMIC TRUST 2012-151 YA.....		09/25/2018	PRINCIPAL RECEIPT.....		180,606	180,606	185,601	184,427		(3,821)		(3,821)		180,606			.0	2,473	01/25/2028	1.....
3136A3 X9 7	FNMA REMIC TRUST 2012-3 BA.....		09/25/2018	PRINCIPAL RECEIPT.....		94,428	94,428	94,399	94,413		16		16		94,428			.0	1,261	04/25/2040	1.....
3136A5 XR 2	FNMA REMIC TRUST 2012-30 PB.....		09/25/2018	PRINCIPAL RECEIPT.....		106,001	106,001	106,465	106,298		(296)		(296)		106,001			.0	1,600	10/25/2040	1.....
3136A4 2C 2	FNMA REMIC TRUST 2012-34 PB.....		09/25/2018	PRINCIPAL RECEIPT.....		90,913	90,913	91,595	91,351		(438)		(438)		90,913			.0	1,217	01/25/2032	1.....
3136A5 AC 0	FNMA REMIC TRUST 2012-40 MG.....		09/25/2018	PRINCIPAL RECEIPT.....		216,057	216,057	217,205	217,007		(951)		(951)		216,057			.0	3,653	04/25/2041	1.....
3136A5 P6 7	FNMA REMIC TRUST 2012-53 PB.....		09/25/2018	PRINCIPAL RECEIPT.....		107,374	107,374	110,260	109,222		(1,848)		(1,848)		107,374			.0	1,605	02/25/2041	1.....
3136A7 U3 4	FNMA REMIC TRUST 2012-84 QG.....		09/25/2018	PRINCIPAL RECEIPT.....		86,327	86,327	87,406	87,237		(910)		(910)		86,327			.0	1,732	09/25/2031	1.....
3136A7 5E 8	FNMA REMIC TRUST 2012-96 PD.....		09/25/2018	PRINCIPAL RECEIPT.....		98,904	98,904	100,187	99,905		(1,001)		(1,001)		98,904			.0	1,309	07/25/2041	1.....
3136AC ES 6	FNMA REMIC TRUST 2013-10 DE.....		09/25/2018	PRINCIPAL RECEIPT.....		220,887	220,887	221,405	221,348		(461)		(461)		220,887			.0	2,944	10/25/2041	1.....
3136AH U9 9	FNMA REMIC TRUST 2013-133 VT.....		09/25/2018	PRINCIPAL RECEIPT.....		130,739	130,739	134,549	133,017		(2,278)		(2,278)		130,739			.0	2,615	05/25/2025	1.....
3136AC WN 7	FNMA REMIC TRUST 2013-20 CA.....		09/25/2018	PRINCIPAL RECEIPT.....		86,496	86,496	88,307	88,190		(1,694)		(1,694)		86,496			.0	1,451	01/25/2043	1.....
3136AD MZ 9	FNMA REMIC TRUST 2013-30 JA.....		09/25/2018	PRINCIPAL RECEIPT.....		471,688	471,688	440,969	437,460		34,228		34,228		471,688			.0	4,656	04/25/2043	1.....
3136AD EY 1	FNMA REMIC TRUST 2013-36 AB.....		09/25/2018	PRINCIPAL RECEIPT.....		173,444	173,444	178,214	176,944		(3,500)		(3,500)		173,444			.0	3,479	05/25/2032	1.....
3136AD V4 8	FNMA REMIC TRUST 2013-41 WG.....		09/25/2018	PRINCIPAL RECEIPT.....		212,183	212,183	212,779	212,675		(492)		(492)		212,183			.0	3,516	11/25/2042	1.....
3136AJ C3 8	FNMA REMIC TRUST 2014-26 GA.....		09/25/2018	PRINCIPAL RECEIPT.....		179,332	179,332	183,788	183,538		(4,206)		(4,206)		179,332			.0	3,484	09/25/2039	1.....
3136AJ K4 7	FNMA REMIC TRUST 2014-28 ND.....		09/25/2018	PRINCIPAL RECEIPT.....		286,033	286,033	292,692	291,048		(5,014)		(5,014)		286,033			.0	5,617	03/25/2040	1.....
3136AQ UM 0	FNMA REMIC TRUST 2015-92 VA.....		09/25/2018	PRINCIPAL RECEIPT.....		144,348	144,348	152,287	150,696		(6,348)		(6,348)		144,348			.0	2,888	01/25/2029	1.....
3136AR R4 2	FNMA REMIC TRUST 2016-25 A.....		09/25/2018	PRINCIPAL RECEIPT.....		268,500	268,500	280,079	279,985		(11,485)		(11,485)		268,500			.0	5,374	11/25/2042	1.....
3136AT JR 6	FNMA REMIC TRUST 2016-49 PA.....		09/25/2018	PRINCIPAL RECEIPT.....		211,699	211,699	216,826	216,504		(4,805)		(4,805)		211,699			.0	4,231	09/25/2045	1.....
3136AT CK 8	FNMA REMIC TRUST 2016-50 BN.....		09/25/2018	PRINCIPAL RECEIPT.....		118,778	118,778	124,049	123,493		(4,715)		(4,715)		118,778			.0	2,300	02/25/2046	1.....
3136AU MC 2	FNMA REMIC TRUST 2016-94 MN.....		09/25/2018	PRINCIPAL RECEIPT.....		206,375	206,375	204,183	204,446		1,929		1,929		206,375			.0	3,442	05/25/2045	1.....
3136AV V9 7	FNMA REMIC TRUST 2017-22 BE.....		09/25/2018	PRINCIPAL RECEIPT.....		238,042	238,042	247,415	246,766		(8,724)		(8,724)		238,042			.0	5,572	08/25/2040	1.....
3136AW EJ 2	FNMA REMIC TRUST 2017-28 A.....		09/25/2018	PRINCIPAL RECEIPT.....		39,776	39,776	40,988	40,973		(1,197)		(1,197)		39,776			.0	929	05/25/2045	1.....
3136AY DD 2	FNMA REMIC TRUST 2017-66 BH.....		09/25/2018	PRINCIPAL RECEIPT.....		179,566	179,566	185,598	185,611		(6,045)		(6,045)		179,566			.0	4,154	05/25/2044	1.....
3136B1 DG 6	FNMA REMIC TRUST 2018-11B VC.....		09/25/2018	PRINCIPAL RECEIPT.....		81,913	81,913	84,768			(2,854)		(2,854)		81,913			.0	1,093	04/25/2029	1.....
3136B1 ML 5	FNMA REMIC TRUST 2018-22 BA.....		09/25/2018	PRINCIPAL RECEIPT.....		196,616	196,616	200,363			(3,748)		(3,748)		196,616			.0	991	05/25/2044	1.....
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments.....					15,225,015	15,225,015	15,637,567	14,515,355		.0		(130,976)		15,225,015			.0	355,850	XXX	XXX

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**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
<b>Bonds - Industrial and Miscellaneous</b>																					
05574L	PT 9		08/20/2018	MATURITY.....		1,500,000	1,500,000	1,512,650	1,501,783		(1,783)		(1,783)		1,500,000			0	40,500	08/20/2018	1FE.....
489170	AD 2		07/09/2018	CALLED @ 100.000000.....		2,000,000	2,000,000	2,008,060	2,002,181		(2,181)		(2,181)		2,000,000			0	36,511	11/01/2019	2FE.....
59156R	AR 9		08/15/2018	MATURITY.....		1,000,000	1,000,000	1,003,500	1,000,293		(293)		(293)		1,000,000			0	68,170	08/15/2018	1FE.....
89114Q	AM 0		09/10/2018	MATURITY.....		1,000,000	1,000,000	1,038,300	1,005,653		(5,653)		(5,653)		1,000,000			0	26,250	09/10/2018	1FE.....
3899999	Total - Bonds - Industrial and Miscellaneous.....					5,500,000	5,500,000	5,562,510	5,509,910	0	(9,910)	0	(9,910)	0	5,500,000	0	0	0	171,431	XXX	XXX
8399997	Total - Bonds - Part 4.....					22,133,354	22,133,354	22,643,510	21,351,750	0	(166,197)	0	(166,197)	0	22,133,354	0	0	0	552,527	XXX	XXX
8399999	Total - Bonds.....					22,133,354	22,133,354	22,643,510	21,351,750	0	(166,197)	0	(166,197)	0	22,133,354	0	0	0	552,527	XXX	XXX
<b>Common Stocks - Industrial and Miscellaneous</b>																					
00081T	10 8		07/30/2018	VARIOUS.....		12,415,000	173,917	140,357	151,463	(11,106)			(11,106)		140,357		33,560	33,560	1,377	XXX	L.....
037833	10 0		08/23/2018	IVY SECURITES INC.....		1,600,000	345,079	280,042	270,768	9,274			9,274		280,042		65,037	65,037	3,344	XXX	L.....
04238R	10 6		09/18/2018	VARIOUS.....		2,160,000	36,846	29,311	36,547	1,316	8,552		(7,236)		29,311		7,535	7,535		XXX	L.....
06777U	10 1		07/23/2018	CANTOR FITZGERALD & CO.....		3,260,000	17,144	21,871	17,144				0		21,871		(4,727)	(4,727)		XXX	L.....
090672	10 6		09/06/2018	VARIOUS.....		1,690,000	95,236	29,607	50,531	(20,924)			(20,924)		29,607		65,629	65,629		XXX	L.....
09215C	10 5		09/04/2018	Stevens & Co.....		980,000	52,388	42,880	43,267	(387)			(387)		42,880		9,509	9,509		XXX	L.....
097023	10 5		08/23/2018	IVY SECURITES INC.....		500,000	173,972	78,142	147,455	(69,313)			(69,313)		78,142		95,831	95,831	1,823	XXX	L.....
05605H	10 0		08/07/2018	INVESTMENT TECHNOLOGY GROUP.....		1,070,000	65,757	23,836	64,724	(40,888)			(40,888)		23,836		41,921	41,921	342	XXX	L.....
127686	10 3		08/20/2018	VARIOUS.....		5,510,000	53,736	65,530	69,702	(4,172)			(4,172)		65,530		(11,793)	(11,793)		XXX	L.....
131193	10 4		07/31/2018	IVY SECURITES INC.....		4,927,000	94,459	32,884	68,633	(35,749)			(35,749)		32,884		61,575	61,575	99	XXX	L.....
14575E	10 5		09/06/2018	IVY SECURITES INC.....		2,150,000	56,368	61,822	62,006	(184)			(184)		61,822		(5,454)	(5,454)		XXX	L.....
12653C	10 8		09/26/2018	VARIOUS.....		3,490,000	49,132	46,831	51,059	(4,228)			(4,228)		46,831		2,301	2,301		XXX	L.....
20050L	10 0		09/19/2018	JONESTRADING INSTITUTIONAL SER.....		29,289,000	81,129	91,964	89,917	2,046			2,046		91,964		(10,834)	(10,834)		XXX	L.....
206787	10 3		09/20/2018	VARIOUS.....		3,600,000	75,771	49,014	58,176	(9,162)			(9,162)		49,014		26,757	26,757		XXX	L.....
260095	10 4		07/23/2018	IVY SECURITES INC.....		13,242,000	41,999	12,973	13,507	(534)			(534)		12,973		29,026	29,026		XXX	L.....
23334L	10 2		08/21/2018	VARIOUS.....		3,370,000	90,700	67,586	72,152	(4,565)			(4,565)		67,586		23,114	23,114	1,685	XXX	L.....
285229	10 0		08/22/2018	VENDOR CODE 3801 NOT IN TABLE.....		2,499,000	57,557	49,514					0		49,514		8,044	8,044		XXX	L.....
29272W	10 9		09/18/2018	VARIOUS.....		2,040,000	126,170	71,705	97,879	(26,174)			(26,174)		71,705		54,466	54,466	1,615	XXX	L.....
357023	10 0		08/06/2018	KEYBANC CAPITAL MARKETS INC.....		3,091,000	57,843	55,797	52,794	3,003			3,003		55,797		2,046	2,046		XXX	L.....
36164Y	10 1		09/12/2018	KING, CL & ASSIC.....		1,840,000	44,302	32,454	58,696	(26,242)			(26,242)		32,454		11,848	11,848		XXX	L.....
384556	10 6		09/26/2018	VARIOUS.....		3,701,000	102,496	69,228	77,462	(8,234)			(8,234)		69,228		33,268	33,268	1,008	XXX	L.....
41165F	10 1		07/24/2018	VARIOUS.....		6,162,000	116,531	113,605	86,258	(3,580)			(3,580)		113,605		2,927	2,927		XXX	L.....
413875	10 5		08/23/2018	IVY SECURITES INC.....		1,000,000	162,545	77,464	141,650	(64,186)			(64,186)		77,464		85,080	85,080	1,140	XXX	L.....
416906	10 5		07/27/2018	VARIOUS.....		29,989,000	165,504	75,414	98,964	(23,550)			(23,550)		75,414		90,090	90,090		XXX	L.....
438516	10 6		08/23/2018	IVY SECURITES INC.....		1,375,000	216,706	211,041	210,870	171			171		211,041		5,665	5,665	2,049	XXX	L.....
45688C	10 7		07/20/2018	INVESTMENT TECHNOLOGY GROUP.....		1,000	90	43	70	(27)			(27)		43		47	47		XXX	L.....
458140	10 0		08/15/2018	MORGAN, J.P. SECURITIES.....		11,250,000	529,707	520,868	519,300	1,568			1,568		520,868		8,839	8,839	6,750	XXX	L.....

QE052

## SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

QE05.3

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
46609J 10 6	J ALEXANDERS HOLDINGS INC.....		09/13/2018.	VARIOUS.....	3,267,000	41,242	XXX	37,943	31,690	6,253			6,253		37,943		3,299	3,299		XXX	L.....
531229 60 7	LIBERTY MEDIA CORP SIRIUSXM.....		09/24/2018.	INVESTMENT TECHNOLOGY GROUP.....	470,000	20,211	XXX	17,075	18,640	(1,565)			(1,565)		17,075		3,136	3,136		XXX	L.....
53635B 10 7	LIQUIDITY SERVICES INC.....		08/06/2018.	JEFFRIES & CO.....	9,461,000	66,115	XXX	46,002	45,886	116			116		46,002		20,112	20,112		XXX	L.....
549282 10 1	LUBYS INC.....		09/12/2018.	VARIOUS.....	55,005,000	108,206	XXX	154,564	145,213	9,351			9,351		154,564		(46,358)	(46,358)		XXX	L.....
577128 10 1	MATTHEWS INTERNATIONAL CORP.....		09/24/2018.	VARIOUS.....	3,260,000	167,562	XXX	176,433					0		176,433		(8,871)	(8,871)	1,586	XXX	L.....
594918 10 4	MICROSOFT CORP.....		08/23/2018.	IVY SECURITES INC.....	1,300,000	139,945	XXX	111,044	111,202	(158)			(158)		111,044		28,900	28,900	1,092	XXX	L.....
739128 10 6	POWELL INDS INC.....		08/14/2018.	INVESTMENT TECHNOLOGY GROUP.....	1,132,000	45,266	XXX	33,849	32,432	1,418			1,418		33,849		11,416	11,416	589	XXX	L.....
78112V 10 2	RUBICON PROJ INC.....		08/07/2018.	VARIOUS.....	47,051,000	158,847	XXX	108,168	61,536	14,044			14,044		108,168		50,679	50,679		XXX	L.....
85569C 10 7	STARTEK INC.....		09/20/2018.	VARIOUS.....	3,940,000	23,791	XXX	32,950	39,282	(6,332)			(6,332)		32,950		(9,159)	(9,159)		XXX	L.....
879181 10 5	TELARIA INC.....		08/16/2018.	VARIOUS.....	4,370,000	15,705	XXX	17,993					0		17,993		(2,288)	(2,288)		XXX	L.....
872386 10 7	TESSCO TECHNOLOGIES INC.....		09/20/2018.	VARIOUS.....	2,610,000	43,939	XXX	33,003	52,592	(19,588)			(19,588)		33,003		10,935	10,935	1,566	XXX	L.....
882508 10 4	TEXAS INSTRUMENTS INC.....		08/15/2018.	MORGAN, J.P. SECURITIES.....	2,750,000	299,613	XXX	286,308	287,210	(902)			(902)		286,308		13,305	13,305	5,115	XXX	L.....
87240R 10 7	TFS FINANCIAL CORP.....		07/02/2018.	INVESTMENT TECHNOLOGY GROUP.....	2,542,000	39,841	XXX	28,732	37,977	(9,246)			(9,246)		28,732		11,109	11,109	864	XXX	L.....
Q9235V 10 1	TRONOX LTD.....		09/25/2018.	VARIOUS.....	3,710,000	47,277	XXX	32,806	76,092	(43,286)			(43,286)		32,806		14,471	14,471	501	XXX	L.....
910710 10 2	UNITED INS HLDGS CORP.....		08/01/2018.	JONESTRADING INSTITUTIONAL SER.....	6,352,000	130,322	XXX	37,686	109,572	(71,886)			(71,886)		37,686		92,636	92,636	762	XXX	L.....
913837 10 0	UNIVERSAL STAINLESS & ALLOY.....		08/06/2018.	LIQUIDNET INC.....	2,619,000	76,289	XXX	23,838	56,099	(32,261)			(32,261)		23,838		52,450	52,450		XXX	L.....
92214X 10 6	VAREX IMAGING CORP.....		08/07/2018.	VARIOUS.....	2,510,000	70,763	XXX	74,784	100,827	(26,043)			(26,043)		74,784		(4,021)	(4,021)		XXX	L.....
92840M 10 2	VISTRA ENERGY CORP.....		08/06/2018.	VARIOUS.....	4,600,000	104,637	XXX	71,394	84,272	(12,878)			(12,878)		71,394		33,243	33,243		XXX	L.....
929089 10 0	VOYA FINANCIAL INC.....		09/11/2018.	VARIOUS.....	3,084,000	151,382	XXX	91,579	152,565	(60,986)			(60,986)		91,579		59,803	59,803	65	XXX	L.....
98310W 10 8	WYNDHAM WORLDWIDE CORP.....		09/07/2018.	VARIOUS.....	2,090,000	87,739	XXX	123,764	87,146	(14,877)			(14,877)		123,764		(36,025)	(36,025)	1,708	XXX	L.....
17878Y 10 8	GIVEO CORP CDA.....	C	07/17/2018.	BAYPOINT TRADING.....	11,537,000	50,030	XXX	33,313	31,496	1,817			1,817		33,313		16,716	16,716		XXX	L.....
730843 20 8	POINTS INTL LTD.....	C	09/17/2018.	VARIOUS.....	3,300,000	50,360	XXX	33,876					0		33,876		16,484	16,484		XXX	L.....
776652 10 9	ROOTS CORP.....	C	09/20/2018.	JONESTRADING INSTITUTIONAL SER.....	26,780,000	151,349	XXX	205,198					0		205,198		(53,849)	(53,849)		XXX	L.....
9099999.	Total - Common Stocks - Industrial and Miscellaneous.....					5,173,515	XXX	4,164,085	4,155,579	(602,836)	0	8,552	(611,388)	0	4,164,085	0	1,009,430	1,009,430	35,080	XXX	XXX
<b>Common Stocks - Mutual Funds</b>																					
55273E 82 2	MFS INTERNATIONAL VALUE FUND.....		07/17/2018.	EXCHANGE.....	223,451,890	7,846,136	XXX	7,846,136	10,120,136	(2,274,000)			(2,274,000)		7,846,136			0		XXX	L.....
9299999.	Total - Common Stocks - Mutual Funds.....					7,846,136	XXX	7,846,136	10,120,136	(2,274,000)	0	0	(2,274,000)	0	7,846,136	0	0	0	0	XXX	XXX
9799997.	Total - Common Stocks - Part 4.....					13,019,651	XXX	12,010,221	14,275,715	(2,876,836)	0	8,552	(2,885,388)	0	12,010,221	0	1,009,430	1,009,430	35,080	XXX	XXX
9799999.	Total - Common Stocks.....					13,019,651	XXX	12,010,221	14,275,715	(2,876,836)	0	8,552	(2,885,388)	0	12,010,221	0	1,009,430	1,009,430	35,080	XXX	XXX
9899999.	Total - Preferred and Common Stocks.....					13,019,651	XXX	12,010,221	14,275,715	(2,876,836)	0	8,552	(2,885,388)	0	12,010,221	0	1,009,430	1,009,430	35,080	XXX	XXX
9999999.	Total - Bonds, Preferred and Common Stocks.....					35,153,005	XXX	34,653,731	35,627,465	(2,876,836)	(166,197)	8,552	(3,051,585)	0	34,143,575	0	1,009,430	1,009,430	587,607	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues: .....0.

**Sch. DB - Pt. A - Sn. 1  
NONE**

**Sch. DB - Pt. B - Sn. 1  
NONE**

**Sch. DB - Pt. D - Sn. 1  
NONE**

**Sch. DB - Pt. D - Sn. 2  
NONE**

**Sch. DL - Pt. 1  
NONE**

**Sch. DL - Pt. 2  
NONE**

**SCHEDULE E - PART 1 - CASH**

**Month End Depository Balances**

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
<b>Open Depositories</b>								
CIVISTA BANK.....	SANDUSKY, OHIO.....	.....0.300	.....761	.....	.....1,006,474	.....1,006,474	.....1,007,236	XXX
CORTLAND BANK.....	CORTLAND, OHIO.....	.....1.100	.....8,340	.....	.....2,269,457	.....2,269,457	.....2,273,631	XXX
FIRST FEDERAL BANK.....	DEFIANCE, OHIO.....	.....2.000	.....1,012	.....	.....504,809	.....504,809	.....505,822	XXX
FIRST FEDERAL OF LAKEWOOD.....	CLEVELAND, OHIO.....	.....1.470	.....10,102	.....	.....2,756,423	.....2,759,864	.....2,762,977	XXX
HUNTINGTON BANK.....	CLEVELAND, OHIO.....	.....0.551	.....35,282	.....	.....62,460,748	.....65,506,641	.....59,070,281	XXX
PNC BANK.....	CLEVELAND, OHIO.....	.....	.....	.....	.....32,305,941	.....33,945,281	.....37,814,627	XXX
WATERFORD BANK.....	TOLEDO, OHIO.....	.....1.750	.....	.....4,079	.....638,672	.....638,673	.....638,673	XXX
0199998. Deposits in.....1 depositories that do not exceed the allowable limit in any one depository (see Instructions) - Open Depositories.....	XXX	XXX	.....	.....	.....4	.....4	.....2	XXX
0199999. Total Open Depositories.....	XXX	XXX	.....55,497	.....4,079	.....101,942,528	.....106,631,203	.....104,073,249	XXX
0399999. Total Cash on Deposit.....	XXX	XXX	.....55,497	.....4,079	.....101,942,528	.....106,631,203	.....104,073,249	XXX
0599999. Total Cash.....	XXX	XXX	.....55,497	.....4,079	.....101,942,528	.....106,631,203	.....104,073,249	XXX

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
<b>Exempt Money Market Mutual Funds as Identified by the SVO</b>								
60934N 10 4	FEDERATED GOVERNMENT OBLIGATIONS FUND INSTITUTIONAL SHARES.....		09/30/2018.....	1.900		80,033,000	77,796	540,205
52470G 79 1	WESTERN ASSET INSTITUTIONAL GOVERNMENT RESERVES.....		09/30/2018.....	2.061		48,321,711	265,436	1,382,108
8599999	Total - Exempt Money Market Mutual Funds as Identified by the SVO.....					128,354,711	343,232	1,922,313
8899999	Total - Cash Equivalents					128,354,711	343,232	1,922,313

QE13