



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

# QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2018  
OF THE CONDITION AND AFFAIRS OF THE

## Dealers Assurance Company

NAIC Group Code 0315 0000 NAIC Company Code 16705 Employer's ID Number 34-6513705  
(Current) (Prior)  
Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Incorporated/Organized 08/02/1935 Commenced Business 08/02/1935

Statutory Home Office 240 North Fifth Street, Suite 350 Columbus, OH, US 43215  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 15920 Addison Road  
(Street and Number)  
Addison, TX, US 75001 800-282-8913  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 240 North Fifth Street, Suite 350 Columbus, OH, US 43215  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 240 North Fifth Street, Suite 350  
(Street and Number)  
Columbus, OH, US 43215 800-282-8913  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.dealersassurance.com

Statutory Statement Contact Linda M. Toy 800-282-8913  
(Name) (Area Code) (Telephone Number)  
ltoy@dealersassurance.com 614-459-2665  
(E-mail Address) (FAX Number)

### OFFICERS

President Kristen Anne Gruber Treasurer Linda Marie Toy  
Secretary Joshua Robert Pedelty # Assistant Secretary Lisa Aileen Kirk #

### OTHER

Michael Lee Stickney #, Managing Director Kirk Alan Borchardt, Executive Vice President Linda Marie Toy, Vice President

### DIRECTORS OR TRUSTEES

<u>Warren Van Genderen #</u>	<u>Michael Lee Stickney #</u>	<u>Douglas Alexander Carrothers #</u>
<u>Yvon Charest #</u>	<u>Marilyn Rose Froelich #</u>	<u>Joseph Rawitser Manning #</u>
<u>Douglas Curtis Oksendahl #</u>	<u>Shelby Land Peavy #</u>	<u>Normand Pepin #</u>
<u>Denis Yves Ricard #</u>		

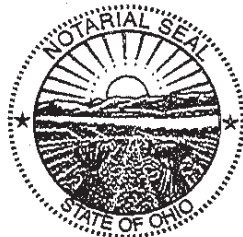
State of Ohio SS:  
County of Franklin

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Kristen Anne Gruber Joshua Robert Pedelty Linda Marie Toy  
President Secretary Treasurer

Subscribed and sworn to before me this 16th day of November 2018  
Keith H Burkholder

- a. Is this an original filing? ..... Yes [ X ] No [ ]  
b. If no,  
1. State the amendment number.....  
2. Date filed .....  
3. Number of pages attached.....



KEITH H BURKHOLDER  
Notary Public  
In and for the State of Ohio  
My Commission Expires  
August 17, 2020

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Dealers Assurance Company

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	118,945,479		118,945,479	78,563,762
2. Stocks:				
2.1 Preferred stocks .....			0	3,334,835
2.2 Common stocks .....	2,154,309		2,154,309	13,373,400
3. Mortgage loans on real estate:				
3.1 First liens .....	237,617		237,617	248,726
3.2 Other than first liens.....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....	3,502,863		3,502,863	2,952,088
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....			0	0
4.3 Properties held for sale (less \$ ..... encumbrances) .....			0	0
5. Cash (\$ .....2,592,434 ), cash equivalents (\$ .....804,418 ) and short-term investments (\$ .....0 ) .....	3,396,852		3,396,852	13,429,402
6. Contract loans (including \$ ..... premium notes) .....			0	0
7. Derivatives .....			0	0
8. Other invested assets .....			0	0
9. Receivables for securities .....	26,038		26,038	16,660
10. Securities lending reinvested collateral assets .....			0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	128,263,158	0	128,263,158	111,918,872
13. Title plants less \$ ..... charged off (for Title insurers only) .....			0	0
14. Investment income due and accrued .....	788,931		788,931	577,044
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	2,414,643		2,414,643	3,501,295
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....			0	0
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....			0	0
16.2 Funds held by or deposited with reinsured companies .....			0	0
16.3 Other amounts receivable under reinsurance contracts .....			0	216,431
17. Amounts receivable relating to uninsured plans .....			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon .....			0	0
18.2 Net deferred tax asset .....	333,214		333,214	0
19. Guaranty funds receivable or on deposit .....			0	0
20. Electronic data processing equipment and software .....			0	0
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	0
23. Receivables from parent, subsidiaries and affiliates .....	6,961		6,961	6,723
24. Health care (\$ ..... ) and other amounts receivable .....			0	0
25. Aggregate write-ins for other than invested assets .....	666,455	0	666,455	840,970
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	132,473,362	0	132,473,362	117,061,335
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	0
28. Total (Lines 26 and 27)	132,473,362	0	132,473,362	117,061,335
<b>DETAILS OF WRITE-INS</b>				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Accounts Receivable .....	665,583		665,583	840,832
2502. Interest Receivable .....	872		872	138
2503. ....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	666,455	0	666,455	840,970

## STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Dealers Assurance Company

**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ ..... 357,610 ) .....	357,610	357,610
2. Reinsurance payable on paid losses and loss adjustment expenses .....		0
3. Loss adjustment expenses .....		
4. Commissions payable, contingent commissions and other similar charges .....	556,230	604,384
5. Other expenses (excluding taxes, licenses and fees) .....	808,522	802,574
6. Taxes, licenses and fees (excluding federal and foreign income taxes) .....	484,759	281,628
7.1 Current federal and foreign income taxes (including \$ ..... on realized capital gains (losses)) .....	601,743	652,838
7.2 Net deferred tax liability .....		72,197
8. Borrowed money \$ ..... and interest thereon \$ ..... 20,779 .....	20,779	18,089
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ ..... 242,382,710 and including warranty reserves of \$ ..... 20,604,319 and accrued accident and health experience rating refunds including \$ ..... for medical loss ratio rebate per the Public Health Service Act) .....	27,056,103	23,949,164
10. Advance premium .....		
11. Dividends declared and unpaid:		
11.1 Stockholders .....		
11.2 Policyholders .....		
12. Ceded reinsurance premiums payable (net of ceding commissions) .....	803,674	786,452
13. Funds held by company under reinsurance treaties .....	14,406,680	12,660,804
14. Amounts withheld or retained by company for account of others .....	14,112,459	9,443,125
15. Remittances and items not allocated .....	44,346	17,911
16. Provision for reinsurance (including \$ ..... certified) .....		0
17. Net adjustments in assets and liabilities due to foreign exchange rates .....		
18. Drafts outstanding .....		
19. Payable to parent, subsidiaries and affiliates .....	81,169	85,563
20. Derivatives .....	0	0
21. Payable for securities .....		69,859
22. Payable for securities lending .....		
23. Liability for amounts held under uninsured plans .....		
24. Capital notes \$ ..... and interest thereon \$ .....		
25. Aggregate write-ins for liabilities .....	0	0
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25) .....	59,334,074	49,802,198
27. Protected cell liabilities .....		
28. Total liabilities (Lines 26 and 27) .....	59,334,074	49,802,198
29. Aggregate write-ins for special surplus funds .....	0	0
30. Common capital stock .....	4,200,990	4,200,990
31. Preferred capital stock .....		
32. Aggregate write-ins for other than special surplus funds .....	0	0
33. Surplus notes .....	3,000,000	3,000,000
34. Gross paid in and contributed surplus .....	9,732,810	9,732,810
35. Unassigned funds (surplus) .....	56,205,488	50,325,337
36. Less treasury stock, at cost:		
36.1 ..... shares common (value included in Line 30 \$ ..... ) .....		
36.2 ..... shares preferred (value included in Line 31 \$ ..... ) .....		
37. Surplus as regards policyholders (Lines 29 to 35, less 36) .....	73,139,288	67,259,137
38. Totals (Page 2, Line 28, Col. 3)	132,473,362	117,061,335
<b>DETAILS OF WRITE-INS</b>		
2501. ....		
2502. ....		
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	0	0
2901. ....		
2902. ....		
2903. ....		
2998. Summary of remaining write-ins for Line 29 from overflow page .....	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0
3201. ....		
3202. ....		
3203. ....		
3298. Summary of remaining write-ins for Line 32 from overflow page .....	0	0
3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)	0	0

**STATEMENT OF INCOME**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct (written \$ 122,428,471 )	95,698,235	94,040,875	126,021,931
1.2 Assumed (written \$ (792,425) )	(733,325)	(237,492)	(356,866)
1.3 Ceded (written \$ 109,625,351 )	86,061,154	85,029,280	114,067,670
1.4 Net (written \$ 12,010,695 )	8,903,756	8,774,103	11,597,395
<b>DEDUCTIONS:</b>			
2. Losses incurred (current accident year \$ 372,959 ):			
2.1 Direct	52,993,057	48,739,980	67,969,290
2.2 Assumed	(26,293)	22,821	21,726
2.3 Ceded	52,404,135	47,972,628	66,609,688
2.4 Net	562,629	790,173	1,381,328
3. Loss adjustment expenses incurred	113,065	107,150	151,457
4. Other underwriting expenses incurred	3,200,756	3,480,185	4,852,684
5. Aggregate write-ins for underwriting deductions	0	0	0
6. Total underwriting deductions (Lines 2 through 5)	3,876,450	4,377,508	6,385,469
7. Net income of protected cells			
8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	5,027,306	4,396,595	5,211,926
<b>INVESTMENT INCOME</b>			
9. Net investment income earned	1,782,316	1,292,384	1,797,578
10. Net realized capital gains (losses) less capital gains tax of \$ 749,677	2,820,213	228,375	375,762
11. Net investment gain (loss) (Lines 9 + 10)	4,602,529	1,520,759	2,173,340
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ (17) amount charged off \$ 0 )	(17)	(24,497)	(104,050)
13. Finance and service charges not included in premiums			
14. Aggregate write-ins for miscellaneous income	(41,083)	(183,965)	(259,697)
15. Total other income (Lines 12 through 14)	(41,100)	(208,462)	(363,747)
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	9,588,735	5,708,892	7,021,519
17. Dividends to policyholders			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	9,588,735	5,708,892	7,021,519
19. Federal and foreign income taxes incurred	1,527,721	1,994,720	2,571,631
20. Net income (Line 18 minus Line 19)(to Line 22)	8,061,014	3,714,172	4,449,888
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year	67,259,136	61,216,945	61,216,945
22. Net income (from Line 20)	8,061,014	3,714,172	4,449,888
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains (losses) less capital gains tax of \$ 543,117	(2,043,156)	796,712	1,351,280
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	(137,706)	97,635	241,023
27. Change in nonadmitted assets			0
28. Change in provision for reinsurance			0
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in			
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in	0	0	0
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			
36. Change in treasury stock			0
37. Aggregate write-ins for gains and losses in surplus	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37)	5,880,152	4,608,519	6,042,191
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	73,139,288	65,825,464	67,259,136
<b>DETAILS OF WRITE-INS</b>			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)	0	0	0
1401. Misc. Income (Expense)	(3,719)	(2,592)	(4,405)
1402. Admin/Service Fee Income	119,292	125,650	164,337
1403. Interest Expense-Funds held	(156,656)	(307,023)	(419,629)
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	(41,083)	(183,965)	(259,697)
3701.			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)	0	0	0

## STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Dealers Assurance Company

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	13,331,000	11,316,247	14,969,393
2. Net investment income .....	1,964,378	1,785,501	2,359,164
3. Miscellaneous income .....	(41,100)	(208,462)	(363,747)
4. Total (Lines 1 to 3) .....	15,254,278	12,893,286	16,964,810
5. Benefit and loss related payments .....	562,629	790,173	1,179,807
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	3,149,870	3,959,787	4,943,567
8. Dividends paid to policyholders .....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses) .....	2,328,493	2,128,876	2,783,465
10. Total (Lines 5 through 9) .....	6,040,992	6,878,836	8,906,839
11. Net cash from operations (Line 4 minus Line 10) .....	9,213,286	6,014,450	8,057,972
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	20,331,650	14,854,256	17,571,132
12.2 Stocks .....	17,113,795	10,209,352	9,671,719
12.3 Mortgage loans .....	11,109	23,533	543,445
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	0	0	0
12.7 Miscellaneous proceeds .....	0	226,856	69,859
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	37,456,554	25,313,997	27,856,155
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	61,149,156	17,305,882	23,708,526
13.2 Stocks .....	1,466,733	9,732,367	8,397,281
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	621,481	1,103,970	1,267,844
13.5 Other invested assets .....	0	0	0
13.6 Miscellaneous applications .....	79,237	0	16,660
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	63,316,606	28,142,219	33,390,311
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(25,860,052)	(2,828,221)	(5,534,157)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	2,690	1,674	2,133
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied) .....	6,611,526	(893,071)	(98,233)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	6,614,216	(891,397)	(96,100)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(10,032,551)	2,294,832	2,427,715
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	13,429,402	11,001,687	11,001,687
19.2 End of period (Line 18 plus Line 19.1) .....	3,396,852	13,296,519	13,429,402

Note: Supplemental disclosures of cash flow information for non-cash transactions:

**NOTES TO FINANCIAL STATEMENTS**

## 1. Summary of Significant Accounting Policies

## A.- Accounting Practices

The accompanying financial statements of Dealers Assurance Company have been prepared in conformity with accounting practices prescribed or permitted by the National Association of Insurance Commissioners and the State of Ohio. The company adopted codification as of 01/01/01.

	SSAP #	F/S Page	F/S Line #	2018	2017
<b>Net Income</b>					
(1) Company state basis (Page 4, Line 20, Columns 1 & 2)	-	-	-	8,061,014	\$ 4,449,888
(2) State Prescribed Practices that (increase)/decrease NAIC SAP	-	-	-	-	-
(3) State Permitted Practices that (increase)/decrease NAIC SAP S	-	-	-	-	-
(4) NAIC SAP (1 – 2 – 3 = 4)	-	-	-	8,061,014	\$ 4,449,888
<b>Surplus</b>					
(5) Company state basis (Page 3, Line 37, Columns 1 & 2)	-	-	-	73,139,288	\$ 67,259,137
(6) State Prescribed Practices that increase/(decrease) NAIC SAP	-	-	-	-	-
(7) State Permitted Practices that increase/(decrease) NAIC SAP	-	-	-	-	-
(8) NAIC SAP (1 – 2 – 3 = 4)				73,139,288	\$ 67,259,137

**1B.** No changes to note

**1C** Real estate investments on the balance sheet are classified as properties occupied by the company. These are carried at the amortized cost less encumbrances.

**1C (1) to 1C (5)** No changes to Note

**1 C (6)** Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair value. The retrospective adjustment method is used to value all securities except for interest only securities or securities where the yield had become negative, that are valued using the prospective method.

**1D** Going Concern

Based upon its evaluation of relevant conditions and events, management does not have substantial doubt about the Company's ability to continue as a going concern.

**2 to 4** No changes to note.

## NOTES TO FINANCIAL STATEMENTS

### 5A Mortgage Loans, including Mezzanine Real Estate Loans

- (1) The minimum and maximum lending rates for mortgage loans during 2018 were:

Commercial Loans 5.50% Residential Loans 4.00%

- (2) The maximum percentage of any one loan to the value of security at the time of the loan, exclusive of insured or guaranteed or purchase money mortgages was 38.02%.
- (3) Taxes, assessments and any amounts advanced and not included in the mortgage loan total:

Current Year	Prior Year
-0-	-0-

- (4) Age Analysis of Mortgage Loans:

Farm	Residential		Commercial		Mezzanine	Total
	Insured	All Other	Insured	All Other		

a Current Year

1.	Recorded Investment (All)								
	(a) Current	\$	-	\$	-	\$	237,617	\$	-
	(b) 30-59 Days Past Due	-	-	-	-	-	-	-	-
	(c) 60-89 Days Past Due	-	-	-	-	-	-	-	-
	(d) 60-179 Days Past Due	-	-	-	-	-	-	-	-
	(e) 180+ Past Days Past Due	-	-	-	-	-	-	-	-
2.	Accruing Interest 90-179 Days Past Due								
	(a) Recorded Investment	-	-	-	-	-	-	-	-
	(b) Interest Accrued	-	-	-	-	-	-	-	-
3.	Accruing Interest 180+ Days Past Due								
	(a) Recorded Investment	-	-	-	-	-	-	-	-
	(b) Interest Accrued	-	-	-	-	-	-	-	-
4.	Interest Reduced								
	(a) Recorded Investment	-	-	-	-	-	-	-	-
	(b) Number of Loans	-	-	-	-	-	-	-	-
	(c) Percent Reduced	-	-	-	-	-	-	-	-

Prior Year

1.	Recorded Investment								
	(a) Current	-	-	248,726	-	-	-	-	248,726
	(b) 30-59 Days Past Due	-	-	-	-	-	-	-	-
	(c) 60-89 Days Past Due	-	-	-	-	-	-	-	-
	(d) 90-179 Days Past Due	-	-	-	-	-	-	-	-
	(e) 180+ Days Past Due	-	-	-	-	-	-	-	-
2.	Accruing Interest 90-179 Days Past Due								
	(a) Recorded Investment	-	-	-	-	-	-	-	-
	(b) Interest Accrued	-	-	-	-	-	-	-	-
3.	Accruing Interest 180+ Days Past Due								
	(a) Recorded Investment	-	-	-	-	-	-	-	-
	(b) Interest Accrued	-	-	-	-	-	-	-	-
4.	Interest Reduced								
	(a) Recorded Investment	-	-	-	-	-	-	-	-
	(b) Number of Loans	-	-	-	-	-	-	-	-
	(c) Percent Reduced	-	-	-	-	-	-	-	-

**5A (5) to 5 C.** No changes to note.

### 5D. Loan-Backed Securities

1. Bloomberg is used as a source for the prepayment factors.
2. – 4. Not applicable, no permanently impaired bonds.
5. No impairments exist, other than interest rate related declines classified as temporary.

**5E.** The Company did not have any repurchase agreements in 2018.

**5F.** The Company did not have any repurchase transactions accounted for as Secured Borrowing.

**5G.** The Company did not have any reverse Repurchase Transactions Accounted for as Secured Borrowing.

**5H.** The Company did not have any Repurchase Agreements Transactions Accounted for as a Sale.

**5I.** The Company did not have any Reverse Repurchase Agreements Transactions Accounted for as a Sale.

**5J-L.** No changes to note

**5M.** The Company has no Working Capital Finance Investments .

**5N.** The company has no offsetting or netting of assets and liabilities

**5O-R.** No changes to note

**6.-7.** No changes to note

**8.** The Company does not have any Derivative Instruments.

## NOTES TO FINANCIAL STATEMENTS

### 9. Income Taxes

A. The components of the net deferred tax assets/(liability) at September 30, 2018 are as follows:

1.

	9/30/18			12/31/17			Change		
	(1) Ordinary	(2) Capital	(3) (Col 1 + 2) Total	(4) Ordinary	(5) Capital	(6) (Col 4 + 5) Total	(7) (Col 1 – 4) Ordinary	(8) (Col 2 – 5) Capital	(9) (Col 7 + 8) Total
a Gross Deferred Tax Assets	1,346,669	-	1,346,669	1,240,466	-	1,240,466	106,203	-	106,203
b Statutory Valuation allowance adjustment	-	-	-	-	-	-	-	-	-
c Adjusted Gross Deferred Tax Asset (1a -1d)	1,346,669	-	1,346,669	1,240,466	-	1,240,466	106,203	-	106,203
d Deferred Tax Assets Nonadmitted	897,721	-	897,721	653,812	-	653,812	243,909	-	243,909
e Subtotal Net Admitted Deferred Tax Assets (1c-1f)	448,948	-	448,948	586,654	-	586,654	(137,706)	-	(137,706)
f Deferred Tax Liabilities	-	115,734	115,734	-	658,851	658,851	-	(543,117)	(543,117)
g Net Admitted Deferred Tax Asset (Net Deferred Tax Liability)	448,948	(115,734)	333,214	586,654	(658,851)	(72,197)	(137,706)	543,117	405,411

2.

	9/30/18			12/31/17			Change		
	(1) Ordinary	(2) Capital	(3) (Col 1 + 2) Total	(4) Ordinary	(5) Capital	(6) (Col 4 + 5) Total	(7) (Col 1 – 4) Ordinary	(8) (Col 2 – 5) Capital	(9) (Col 7 + 8) Total

Admission Calculation Components SSAP No. 101

a Federal Income Taxes Paid in Prior Years Recoverable Through Loss Carrybacks	-	-	-	-	-	-	-	-	-
b Adjusted Gross Deferred Tax Asset Expected To Be Realized (Excluding the Amount of Deferred Tax Assets From 2(a) above). After Application of the Threshold Limitation. (The Lesser of 2(b)1 and 2(b)2 Below)	448,948	(115,734)	333,214	586,654	(658,851)	(72,197)	(137,706)	543,117	405,411
1 Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date	448,948	(115,734)	333,214	586,654	(658,851)	(72,197)	(137,706)	543,117	405,411
2. Adjusted Gross Deferred Tax Assets (Excluding the Amount of Deferred Tax Allowed per Limitation Threshold)	xxxxxxx	xxxxx	10,920,911	xxxxxxx	xxxxxxx	10,099,700	xxxxxxxxx	xxxxxxxxx	821,211
c Adjusted Gross Deferred Tax Assets (Excluding the Amount of Deferred Tax Assets from 2(a) and 2 (b) above) Offset by Gross Deferred Tax Liabilities	-	-	-	-	-	-	-	-	-
d Deferred Tax Assets Admitted as the result of application of SSAP 101. Total )2(a) + 2(b) + 2 (c)	448,948	(115,734)	333,214	586,654	(658,851)	(72,197)	(137,706)	543,117	405,411

3

2018	2017
------	------

(a) Ratio Percentage Used to Determine Recovery Period and Threshold Limitation Amount	597%	593%
(b) Amount of Adjusted Capital and Surplus Used to Determine Recovery Period and Threshold Limitation in 1(b) above	73,139,288	67,259,137

## NOTES TO FINANCIAL STATEMENTS

4.

9/30/18			12/31/17			Change		
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
Ordinary	Capital	(Col 1 + 2) Total	Ordinary	Capital	(Col 4 + 5) Total	(Col 1 – 4) Ordinary	(Col 2 – 5) Capital	(Col 7 + 8) Total

## Impact of Tax Planning Strategies

a	Adjusted Gross DTA's (% of Total Adjusted Gross DTA's)	100%	0%	100%	100%	0%	100%	0%	0%	0%
b	Net Admitted Adjusted Gross DTA's (% of Total Net Admitted Adjusted Gross DTA's)	135%	-35%	100%	-813%	913%	100%	948%	-948%	0%

c Does the Company's tax-planning strategies include the use of Reinsurance? Yes  No

B Deferred tax liabilities not recognized at September 30, 2018 and December 31, 2017 are as follows:

N/A-All Deferred Tax Liabilities have been recognized

C Current income taxes incurred consist of the following major components

	(1)	(2)	(3)
	9/30/18	12/31/17	(Col 1 + 2) Change
1. Current Income Tax			
(a) Federal	1,527,721	2,571,632	(1,043,911)
(b) Foreign		-	
(c) Subtotal	1,527,721	2,571,632	(1,043,911)
(d) Federal Income tax on net capital gains	749,677	193,574	556,103
(e) Utilization of capital loss carry-forwards		-	
(f) Other		-	
(g) Federal and foreign income taxes incurred	2,277,398	2,765,206	(487,808)
2. Deferred Tax Assets			
(a) Ordinary			
(1) Discounting of Unpaid Losses	11,265	11,265	-
(2) Unearned Premium Reserve	1,136,356	1,005,864	130,492
(3) Policyholder Reserves		-	
(4) Investments	195,930	218,773	(22,843)
(5) Deferred Acquisition Costs		-	
(6) Policyholder Dividends Accrual		-	
(7) Fixed Assets	3,118	4,564	(1,446)
(8) Compensation and Benefits Accrual		-	
(9) Pension Accrual		-	
(10) Receivables-Nonadmitted		-	
(11) Net Operating Loss Carry-forward		-	
(12) Tax Credit Carry-forward		-	
(13) Other (including items <5% of total Capital Assets)		-	
(99) Subtotal	1,346,669	1,240,466	106,203
(b) Statutory Valuation Allowance Adjustment		-	
(c) Nonadmitted	897,721	653,812	243,909
(d) Admitted Ordinary Deferred tax Asset (2a99 – 2f – 2g)	448,948	586,654	(137,706)
(e) Capital:			
(1) Investments		-	
(2) Net Capital less Carry-forward		-	
(3) Real Estate		-	
(4) Other (including items <5% of total Capital Assets)		-	
(99) Subtotal		-	
(f) Statutory Valuation Allowance Adjustment		-	
(g) Nonadmitted		-	
(h) Admitted Capital Deferred Tax Assets (2a99 – 2f -2g)		-	
(i) Admitted Deferred Tax Asset (2d + 22h)	448,948	586,654	(137,706)

**NOTES TO FINANCIAL STATEMENTS**

(1)	(2)	(3)
9/30/18	12/31/17	(Col 1 + 2) Change

3	Deferred Tax Liabilities			
	(a) Ordinary			
	(1) Investments	-	-	-
	(2) Fixed Assets	-	-	-
	(3) Deferred and Uncollected premium	-	-	-
	(4) Policyholder Reserves	-	-	-
	(5) Other (including items <5% of ordinary Tax Liabilities)	-	-	-
	(99) Subtotal	-	-	-
	(b) Capital			
	(1) Investments	115,734	658,851	(543,117)
	(2) Real Estate		-	
	(3) Other (including items <5% of ordinary Tax Liabilities)		-	
	(99) Subtotal	115,734	658,851	(543,117)
	(c) Deferred Tax Liabilities (3a99 + 3b99)	115,734	658,851	(543,117)
4.	Net Deferred Tax Assets/Liabilities (2i – 3c)	333,214	(72,197)	405,411

D Among the more significant book to tax adjustments were the following:

	Amount	Tax Effect	%
Income before taxes	10,338,409	2,171,066	21%
Unearned Premium Adjustment	621,388	130,491	1%
Bond Discount	(108,774)	(22,843)	0%
Loss Reserve Discounting	4,522	950	0%
Dividends Received Deduction	(30,150)	(6,332)	0%
Capital Loss Carry-Forward	-	-	0%
Other, net	19,357	4,066	0%
Total	10,844,752	2,277,398	22%

E At September, 30, 2018, the Company has no net capital-loss forward available for recoupment against future capital gains.  
F The Company is included in a consolidated federal income tax return with its parent company, DAC Financial Holdings, Inc. The Company has a written agreement, approved by the Company's Board of Directors, which sets forth the manner in which the total combined federal income tax is allocated to each entity which is party to the consolidation. Pursuant to this agreement, the Company has the enforceable right to recoup federal income taxes paid in prior years in the event of future net losses, which it may incur, or recoup its net losses carried forward as an offset to future net income subject to federal income taxes.

**10. Information Concerning Parent, Subsidiaries and Affiliates and Other Related Parties**

A-C No changes.

D The Company reported \$6,961 and \$6,723 due from affiliates and \$81,169 and \$85,563 due to affiliates in the current and prior years, respectively.

E-F No changes.

G The parent company, Dealers Alliance Corporation, is a non-insurance entity. Through 09/30/10 (and prior years) Dealers Assurance Company provided funds for federal income tax deposits (on a consolidated basis). In prior years other companies included in consolidated tax return reimbursed their portion of federal income tax deposits on a quarterly basis.

H-L Dealers Assurance Company holds no investments in its parent company or affiliates.

**11. Debt**

The Company does not have any outstanding debt.

**12A** The Company has no defined benefit plans.

**12B-16** No changes to note

**17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

The Company does not have any sales or transfers to report.

**18. – 19.** No changes to note

## NOTES TO FINANCIAL STATEMENTS

## 20. Fair Value Measurements

## A.

## (1) Assets Measured at Fair Value on a Recurring Basis

(1) Description	(2) (Level 1)	(3) (Level 2)	(4) (Level 3)	(5) Total
a. Assets at fair value				
Cash Equivalents	-			-
Exempt MM Fund	\$ 75,244	-	-	\$ 75,244
Other MM Fund	729,174	-	-	729,174
Total Cash Equivalent	804,418	-	-	804,418
Common Stock:				
Indust. & Misc	\$ 2,154,309			\$ 2,154,309
Derivative assets	-	-	-	-
Separate account assets	-	-	-	-
Total assets at fair value	\$ 2,958,727	-	-	\$ 2,958,727
Liabilities at fair value	\$ -	-	-	\$ -
b. Total liabilities at fair value	\$ -	-	-	-

(2) There have been no significant transfers between level 1 and 2.

(3-4) Assets measured at Fair Value on a recurring basis with significant unobservable inputs (level 3)

NONE

## B. Other Fair Value Disclosures-Not Applicable

## C. Fair Values for all financial instruments by level 1, 2 and 3.

Description	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (carrying value)
Bonds	117,213,367	118,945,479	117,213,367			
Preferred Stock	-	-	-			
Common Stock	2,154,309	2,154,309	2,154,309			
Mortgage loans						237,617
Cash, cash equivalents and short-term investments	3,396,852	3,396,852	3,396,852			

## D. Items which are not practicable to estimate fair values

It is not practical to determine the fair value of mortgage loans for purposes of the above disclosure of Note 20C due the fact that these items are not traded and therefore quoted market prices are not available. Also, the cost of obtaining estimates of fair values from other sources is considered excessive given the immateriality of the mortgage loans.

Type or Class of Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
Mortgage Loans DAC 002 Mokena, IL	237,617	4.000	09/01/2029	See paragraph above

## 21 A-F No changes to note.

G The Company has no offsetting and netting of Assets and Liabilities

H The Company has no Joint and Severable Liabilities

I The Company has no risk sharing involving the Affordable Care Act

## 22 -23 No changes to Note

## 24. Retrospectively Rated Contracts &amp; Contracts Subject to Redetermination

The Company does not have retrospectively rated contracts or contracts subject to redetermination.

## 25. Change in Incurred Losses and Loss Adjustment Expenses

Reserves as of December 31, 2017 were \$357,610. As of September 30, 2018, \$189,670 (net of reinsured prior year claims) was paid for incurred losses and loss adjustment expenses attributable to insured events of prior years. There has been a \$167,940 positive prior year development.

There was no re-estimation of unpaid claims and claim adjustment expenses. Business written by DAC is related to service contracts issued on an assortment of consumer goods, but primarily, automobile service contracts. Historically, losses on this coverage are settled within 90 days of incurred date (98.7%); and the balance is settled within 180 days of incurred date

## 26. – 35. No changes to note.

## 36. Financial Guaranty Insurance

The company does not write financial guaranty insurance.

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]
- 1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]
- 2.2 If yes, date of change: .....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [ X ] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ ] No [ X ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. ....
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ X ] N/A [ ]  
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. .... 12/31/2013
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .... 12/31/2013
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .... 10/03/2014
- 6.4 By what department or departments?  
Ohio Insurance Department
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ ] No [ ] N/A [ X ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ X ] No [ ]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
The securities affiliates do not operate in the US .....	Quebec, Canada .....	NO	NO	NO	NO

## GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes  No   
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? ..... Yes  No
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes  No
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

### FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes  No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ ..... 6,961

### INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes  No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ .....
13. Amount of real estate and mortgages held in short-term investments: ..... \$ .....
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes  No
- 14.2 If yes, please complete the following:
- |   | 1<br>Prior Year-End<br>Book/Adjusted<br>Carrying Value | 2<br>Current Quarter<br>Book/Adjusted<br>Carrying Value |
|---|--|---|
| 14.21 Bonds .....   | \$ ..... 0   | \$ .....  |
| 14.22 Preferred Stock .....   | \$ ..... 0   | \$ .....  |
| 14.23 Common Stock .....  | \$ ..... 0   | \$ .....  |
| 14.24 Short-Term Investments .....  | \$ ..... 0   | \$ .....  |
| 14.25 Mortgage Loans on Real Estate .....   | \$ ..... 0   | \$ .....  |
| 14.26 All Other .....   | \$ ..... 0   | \$ .....  |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | \$ ..... 0   | \$ ..... 0  |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       | \$ .....   | \$ .....  |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes  No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes  No   
 If no, attach a description with this statement.

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Dealers Assurance Company  
**GENERAL INTERROGATORIES**

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 .....\$ .....0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 .....\$ .....0
- 16.3 Total payable for securities lending reported on the liability page .....\$ .....0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ X ] No [ ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
US Bank .....	Winston Salem, NC .....
Wells Fargo Bank .....	Richmond, Va .....
First National Bankers Bank .....	Little Rock, AR .....
Citibank, NA .....	NY, NY .....
Century Bank .....	Santa Fe, NM .....
RBS Citizens, NA .....	Providence, RI .....
Conduent State & Local Solutions, Inc .....	Quincy, MA .....
Bank of New York Mellon .....	Atlanta, GA .....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [ X ]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Industrial Alliance Investment Management Inc. (make investment decisions) .....	A.....
Asset Allocation and Management Company .....	U.....
Bank of New York Mellon Wealth Management .....	U.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets? ..... Yes [ X ] No [ ]
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? ..... Yes [ X ] No [ ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
EXEPMT .....	Industrial Alliance Investment Management .....	N/A .....	N/A .....	DS.....
109875 .....	Asset Allocation and Management Company .....	549300DSCHEVIV5113U963 .....	Securities Exchange Commission .....	NO.....
8275 .....	Bank of New York Wealth Management .....	N/A .....	Office of Controller of Currency .....	NO.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]
- 18.2 If no, list exceptions:

19. By self-designating 5\*GI securities, the reporting entity is certifying the following elements for each self-designated 5\*GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist.
- b. Issuer or obligor is current on all contracted interest and principal payments.
- c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5\*GI securities? ..... Yes [ ] No [ X ]

# GENERAL INTERROGATORIES

## PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? ..... Yes [ ] No [ X ] N/A [ ]  
If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? ..... Yes [ ] No [ X ]  
If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? ..... Yes [ ] No [ X ]

3.2 If yes, give full and complete information thereto.

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves") discounted at a rate of interest greater than zero? ..... Yes [ ] No [ X ]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Discount Rate	TOTAL DISCOUNT				DISCOUNT TAKEN DURING PERIOD			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 TOTAL
TOTAL			0	0	0	0	0	0	0	0

5. Operating Percentages:

5.1 A&H loss percent ..... %

5.2 A&H cost containment percent ..... %

5.3 A&H expense percent excluding cost containment expenses ..... %

6.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date ..... \$.

6.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]

6.4 If yes, please provide the balance of the funds administered as of the reporting date ..... \$.

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [ X ] No [ ]

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ ]

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Dealers Assurance Company

**SCHEDULE F - CEDED REINSURANCE**

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
.00000	AA-0058055	Guybo Reinsuance Company	TCA	Unauthorized		
.00000	AA-0058057	Texas Reinsurance Company	TCA	Unauthorized		
.00000	AA-0058058	Dream Reinsurance Company	TCA	Unauthorized		
.00000	AA-0057989	Seis Vista Reinsurance Company	TCA	Unauthorized		
.00000	AA-0057990	Stoffels Reinsurance Company	TCA	Unauthorized		
.00000	AA-0057991	Titan Auto Reinsurance Company	TCA	Unauthorized		
.00000	AA-0057956	Thirty-A Reinsurance Company	TCA	Unauthorized		
.00000	AA-0057662	JEB Reinsurance Company	TCA	Unauthorized		
.00000	AA-0057999	Continental Motors Reinsurance Company	TCA	Unauthorized		
.00000	AA-0058066	KL Reinsurance Company	TCA	Unauthorized		
.00000	AA-0058065	GACDJ Reinsuance Company	TCA	Unauthorized		
.00000	AA-0058159	Harrington Holdings Reinsurance Company	TCA	Unauthorized		
.00000	AA-0058160	MICHRUCK Reinsurance Company	TCA	Unauthorized		
.00000	AA-0058290	922 Reinsurance Company	TCA	Unauthorized		
.00000	AA-0058158	Heavenly Reinsurance Company	TCA	Unauthorized		
.00000	AA-0058176	A Ford Able Reinsurance Company	TCA	Unauthorized		
.00000	AA-0058504	FNA Holding Reinsurance Company	TCA	Unauthorized		

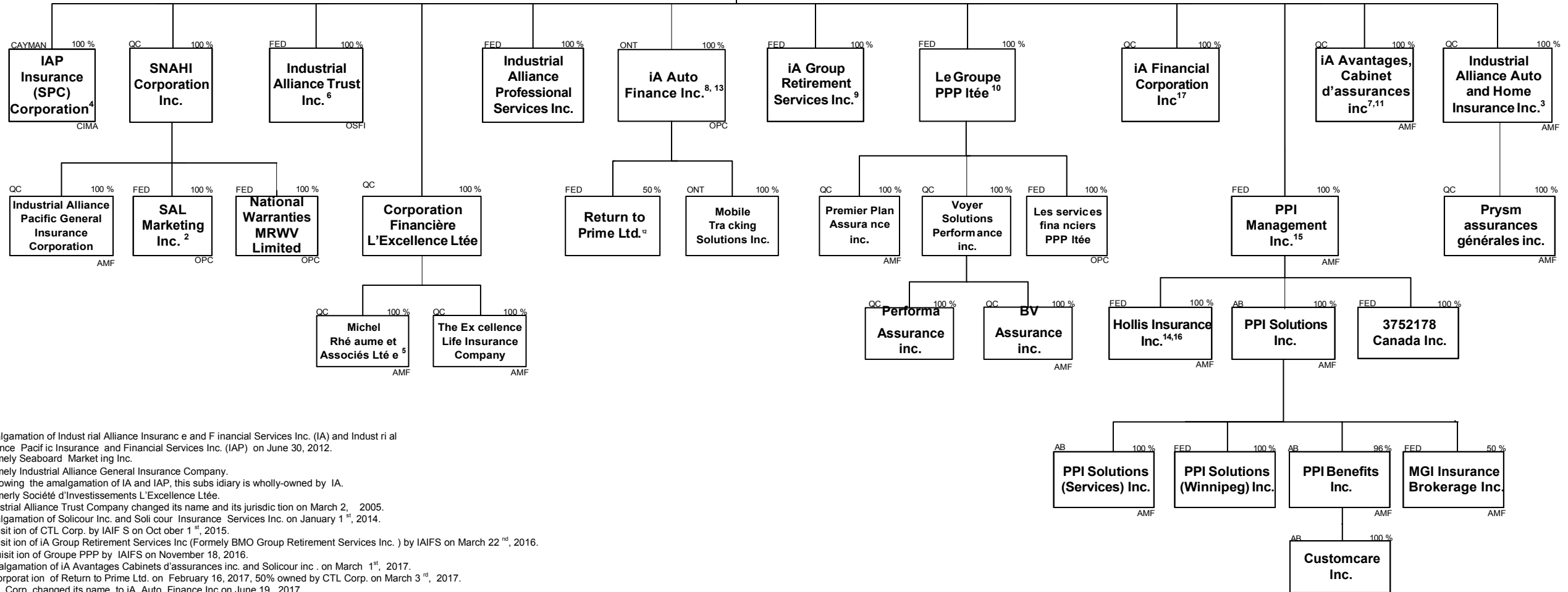
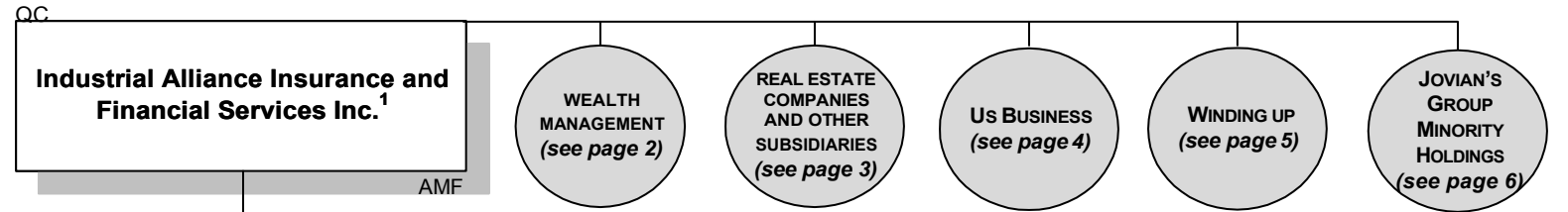
STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Dealers Assurance Company  
**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid		
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date	
1. Alabama	AL	L	2,388,027	1,576,452	689,408	532,812	66,807	47,155
2. Alaska	AK	L	243,115	3,798	0	0	94	5
3. Arizona	AZ	L	315,833	436,160	501,358	275,451	33,256	26,913
4. Arkansas	AR	L	2,409,970	1,878,812	1,015,987	931,140	92,036	68,402
5. California	CA	L	2,689,546	1,393,649	730,108	450,260	89,169	27,509
6. Colorado	CO	L	4,180,336	4,409,916	2,712,563	2,754,750	120,486	150,369
7. Connecticut	CT	L	435,577	379,246	246,880	323,084	14,420	18,545
8. Delaware	DE	L	9,939,056	6,229,272	4,509,242	2,995,797	241,990	102,651
9. District of Columbia	DC	L						
10. Florida	FL	L	5,207,012	5,415,408	4,354,412	3,019,375	426,337	191,854
11. Georgia	GA	L	1,168,343	766,772	359,589	302,147	41,266	149,030
12. Hawaii	HI	L						
13. Idaho	ID	L	474,677	9,274	86,159	95	1,122	78
14. Illinois	IL	L	862,306	908,295	307,309	280,898	44,091	29,260
15. Indiana	IN	L	2,033,147	1,074,027	724,843	513,596	43,609	35,805
16. Iowa	IA	L	200,236	121,702	44,256	73,452	5,852	5,683
17. Kansas	KS	L	94,970	45,017	9,329	26,006	267	591
18. Kentucky	KY	L	676,896	560,800	209,695	227,971	26,440	24,915
19. Louisiana	LA	L	2,559,565	1,910,754	1,639,506	1,030,794	202,657	134,274
20. Maine	ME	L	538,091	515,084	222,011	216,675	33,596	12,286
21. Maryland	MD	L	1,672,989	1,259,851	747,417	693,164	50,832	47,904
22. Massachusetts	MA	L	661,732	606,701	285,652	295,633	23,978	22,656
23. Michigan	MI	L	5,499,433	3,558,075	2,995,594	2,901,494	118,826	109,978
24. Minnesota	MN	L	806,345	646,435	555,171	497,323	24,760	25,623
25. Mississippi	MS	L	1,574,946	1,244,693	432,195	438,038	34,274	34,276
26. Missouri	MO	L	1,543,085	1,100,064	407,723	420,059	58,509	31,834
27. Montana	MT	L	97,330	90,478	73,251	72,400	4,744	4,273
28. Nebraska	NE	L	373,266	283,002	95,847	75,421	14,562	9,979
29. Nevada	NV	L	171,043	206,969	71,235	108,178	6,481	7,471
30. New Hampshire	NH	L	142,497	161,221	58,278	116,921	6,954	7,249
31. New Jersey	NJ	L	1,013,225	811,478	520,093	440,269	24,808	23,399
32. New Mexico	NM	L	2,036,356	1,649,077	145,448	216,679	34,329	20,379
33. New York	NY	L	3,068,159	2,620,007	2,069,597	2,089,925	94,091	98,039
34. North Carolina	NC	L	6,713,298	6,155,326	4,484,102	4,363,557	366,187	410,965
35. North Dakota	ND	L	20,269	31,287	34,739	52,282	2,559	3,469
36. Ohio	OH	L	8,540,335	7,937,195	2,637,555	2,340,827	223,981	195,339
37. Oklahoma	OK	L	360,968	299,890	208,938	139,982	63,626	38,142
38. Oregon	OR	L	103,238	(102,076)	32,084	11,340	3,043	1,791
39. Pennsylvania	PA	L	2,870,523	2,650,533	1,008,625	996,410	104,277	69,552
40. Rhode Island	RI	L	146,849	97,331	58,666	59,221	4,296	3,526
41. South Carolina	SC	L	574,503	358,927	260,241	289,541	50,507	32,545
42. South Dakota	SD	L	49,163	4,885	11,248	6,497	417	410
43. Tennessee	TN	L	2,592,560	1,774,803	776,212	635,093	100,832	62,294
44. Texas	TX	L	29,278,908	28,807,947	10,246,109	11,605,605	2,032,902	1,871,251
45. Utah	UT	L	5,804	12,547	4,850	95	452	182
46. Vermont	VT	L	76,602	122,878	87,525	109,772	7,569	7,375
47. Virginia	VA	L	1,095,092	794,484	357,428	318,200	28,513	25,458
48. Washington	WA	L	13,699,553	10,941,778	5,418,568	5,038,701	282,679	201,966
49. West Virginia	WV	L	459,934	349,277	212,471	174,139	28,830	8,890
50. Wisconsin	WI	L	658,625	520,651	315,669	243,315	42,400	24,583
51. Wyoming	WY	L	105,138	115,270	17,871	35,596	3,991	2,526
52. American Samoa	AS	N						
53. Guam	GU	N						
54. Puerto Rico	PR	N						
55. U.S. Virgin Islands	VI	N						
56. Northern Mariana Islands	MP	N						
57. Canada	CAN	N						
58. Aggregate Other Alien	OT	XXX	0	0	0	0	0	0
59. Totals	XXX		122,428,471	102,745,422	52,993,057	48,739,980	5,327,704	4,428,649
DETAILS OF WRITE-INS								
58001.	XXX			0		0		0
58002.	XXX							
58003.	XXX							
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX		0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		0	0	0	0	0	0

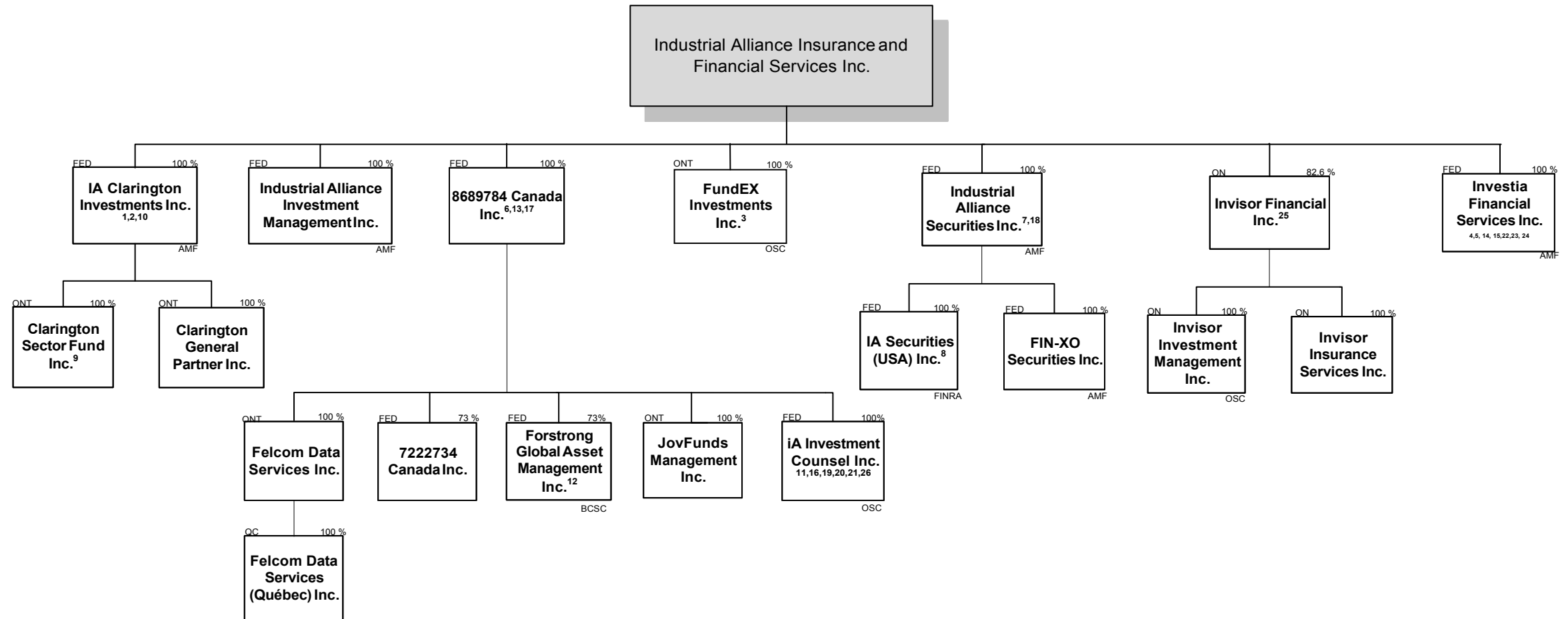
(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG	51	R - Registered - Non-domiciled RRGs	0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - see DSLI)	0	Q - Qualified - Qualified or accredited reinsurer	0
D - Domestic Surplus Lines Insurer (DSLII) - Reporting entities authorized to write surplus lines in the state of domicile	0	N - None of the above - Not allowed to write business in the state	6



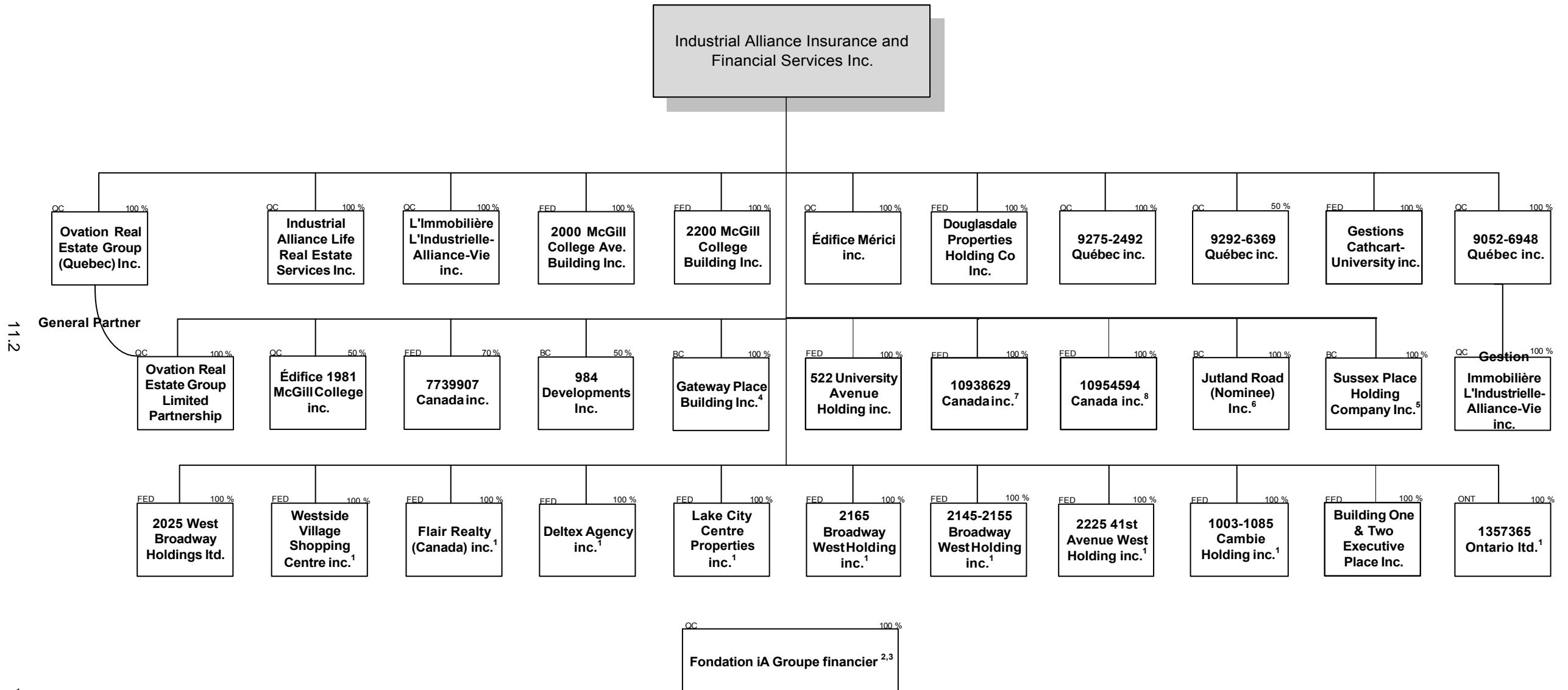
11

<sup>1</sup> Amalgamation of Industrial Alliance Insurance and Financial Services Inc. (IA) and Industrial Alliance Pacific Insurance and Financial Services Inc. (IAP) on June 30, 2012.  
<sup>2</sup> Formerly Seaboard Marketing Inc.  
<sup>3</sup> Formerly Industrial Alliance General Insurance Company.  
<sup>4</sup> Following the amalgamation of IA and IAP, this subsidiary is wholly-owned by IA.  
<sup>5</sup> Formerly Société d'investissements L'Excellence Ltée.  
<sup>6</sup> Industrial Alliance Trust Company changed its name and its jurisdiction on March 2, 2005.  
<sup>7</sup> Amalgamation of Solicoeur Inc. and Solicoeur Insurance Services Inc. on January 1<sup>st</sup>, 2014.  
<sup>8</sup> Acquisition of CTL Corp. by IAIFS on October 1<sup>st</sup>, 2015.  
<sup>9</sup> Acquisition of iA Group Retirement Services Inc (Formerly BMO Group Retirement Services Inc.) by IAIFS on March 22<sup>nd</sup>, 2016.  
<sup>10</sup> Acquisition of Groupe PPP by IAIFS on November 18, 2016.  
<sup>11</sup> Amalgamation of iA Avantages Cabinets d'assurances inc. and Solicoeur inc. on March 1<sup>st</sup>, 2017.  
<sup>12</sup> Incorporation of Return to Prime Ltd. on February 16, 2017, 50% owned by CTL Corp. on March 3<sup>rd</sup>, 2017.  
<sup>13</sup> CTL Corp. changed its name to iA Auto Finance Inc. on June 19, 2017.  
<sup>14</sup> Formerly National Financial Insurance Agency. Following a reorganisation, 100% of shares purchased by IAIFS on April 1<sup>st</sup>, 2018.  
<sup>15</sup> Acquisition of PPI Management Inc. by IAIFS on February 26, 2018.  
<sup>16</sup> Reorganisation on June 1<sup>st</sup>, 2018, 100% of shares purchased by PPI Management Inc.  
<sup>17</sup> Incorporated by IAIFS on February 20, 2018.



11.1

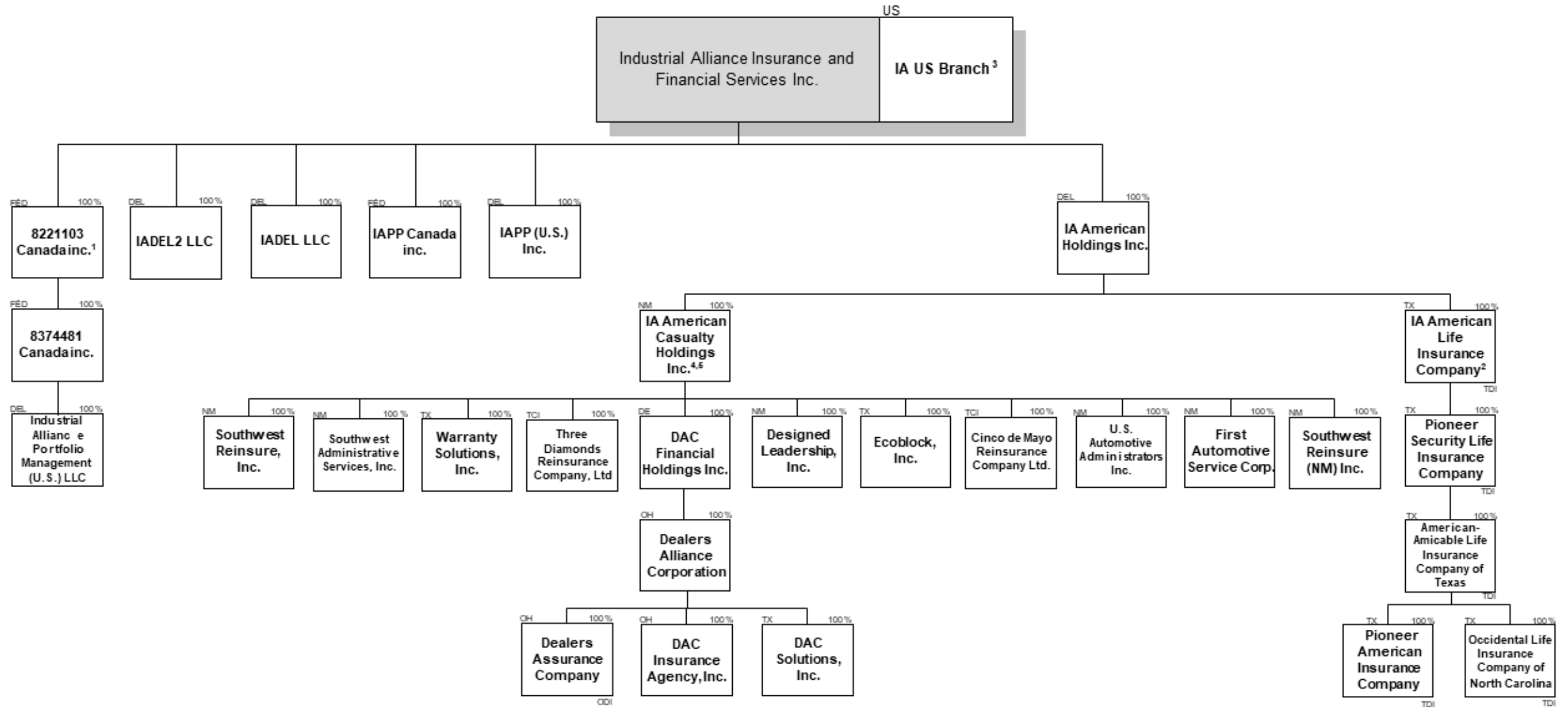
<sup>1</sup> Amalgamation of Sarbit Asset Management Inc. (acquired on October 31, 2008) and IA Clarington Investments Inc. on January 1<sup>st</sup>, 2009.  
<sup>2</sup> Amalgamation of Industrial Alliance Mutual Funds Inc. and IA Clarington Investments on January 1<sup>st</sup>, 2011.  
<sup>3</sup> Acquisition of Fundtrade Financial Corp. on August 18, 2006 and amalgamation of Fundtrade Financial Corp. and FundEX Investments Inc. on September 1<sup>st</sup>, 2006.  
<sup>4</sup> Acquisition of National Financial Corporation on July 1<sup>st</sup>, 2008 and amalgamation of NFC, Aegon Dealer Services Canada and Investia Services Inc. on September 30, 2008.  
<sup>5</sup> Amalgamation of Investia Financial Services Inc. and Dundee Private Investors Ltd. on September 1<sup>st</sup>, 2009.  
<sup>6</sup> Amalgamation of Jovian Capital Corporation and Jovian Asset Management Inc. on January 1<sup>st</sup>, 2014.  
<sup>7</sup> Amalgamation of Industrial Alliance Securities Inc. and MGI Securities Inc. on April 1<sup>st</sup>, 2014.  
<sup>8</sup> Formerly MGI Securities (USA) Inc.  
<sup>9</sup> Amalgamation of Clarington Sector Fund Inc. and JovCorporate Funds Ltd. On November 28, 2014.  
<sup>10</sup> Amalgamation of IA Clarington Investments Inc. and JovFinancial Solutions Inc. on March 1<sup>st</sup>, 2015.  
<sup>11</sup> Acquisition of Consillers Financiers T.E. (Québec) Inc. on May 15, 2015.  
<sup>12</sup> Formerly Hahn Investment Stewards & Company Inc.  
<sup>13</sup> Formerly Jovian Capital Corporation.  
<sup>14</sup> Acquisition of Les Services Financiers Planifax inc. by Investia on January 1<sup>st</sup>, 2016.  
<sup>15</sup> Amalgamation between Investia Financial Services and Planifax on January 1<sup>st</sup>, 2016.  
<sup>16</sup> Acquisition of Doherty & Bryant Financial Strategists Inc. by TEFC on March 1<sup>st</sup>, 2016.  
<sup>17</sup> Amalgamation of 8689784 Canada Inc. and 2782073 Canada Inc. on April 28, 2016.  
<sup>18</sup> Amalgamation of Industrial Alliance Securities Inc. and Burgeonvest Bick Securities Limited on May 1<sup>st</sup>, 2016.  
<sup>19</sup> Amalgamation of T.E. Investment Counsel Inc., Leon Frazer & Associates Inc. and Fit Private Investment Counsel Inc. on May 1<sup>st</sup>, 2016.  
<sup>20</sup> Formerly T. E. Investment Counsel Inc.  
<sup>21</sup> Amalgamation of Consillers Financiers T.E. (Québec) Inc., Doherty & Bryant Financial Strategists Inc., Bryant Financial Group Inc. and T. E. Financial Consultants Ltd on June 1<sup>st</sup>, 2016.  
<sup>22</sup> Acquisition of Byrns Holdings Inc. and Christoph Holdings Inc. by Investia Financial Services Inc. on December 1<sup>st</sup>, 2016.  
<sup>23</sup> Liquidation of Byrns Holdings Inc., Christoph Holdings Inc. Roybyrns & Associates Inc. and Services Financier G. A. P. Financia l Services Inc. on December 15, 2016 and Winding up of Byrns, Christoph, Roybyrns and G. A. P. on December 31<sup>st</sup>, 2016.  
<sup>24</sup> Acquisition of HollisWealth Advisory Services Inc. by IAIFS and amalgamated with Investia, both on August 4, 2017.  
<sup>25</sup> Acquisition of Invisor Financial Inc. by IAIFS on April 3rd, 2018.  
<sup>26</sup> Amalgamation of T.E. Financial Consultants Ltd. and IA Investment Counsel Inc. on May 1st, 2018. The name of the amalgamated corporation is IA Investment Counsel Inc.



11.2

<sup>1</sup> Following the amalgamation of IA and IAP, these subsidiaries are wholly-owned by IA.  
<sup>2</sup> Non-profit organization with charitable status.  
<sup>3</sup> Formerly Industrial Alliance Foundation for Learning a Second Language  
<sup>4</sup> Formerly Bosa Properties (Gateway-Comm) Inc.  
<sup>5</sup> Incorporated by IAIFS on November 1<sup>st</sup>, 2017.  
<sup>6</sup> Purchased by IAIFS on November 15, 2017.  
<sup>7</sup> Incorporated by IAIFS on August 9, 2018.  
<sup>8</sup> Incorporated by IAIFS on August 21, 2018.

**US BUSINESS and INVESTMENT ACTIVITIES**



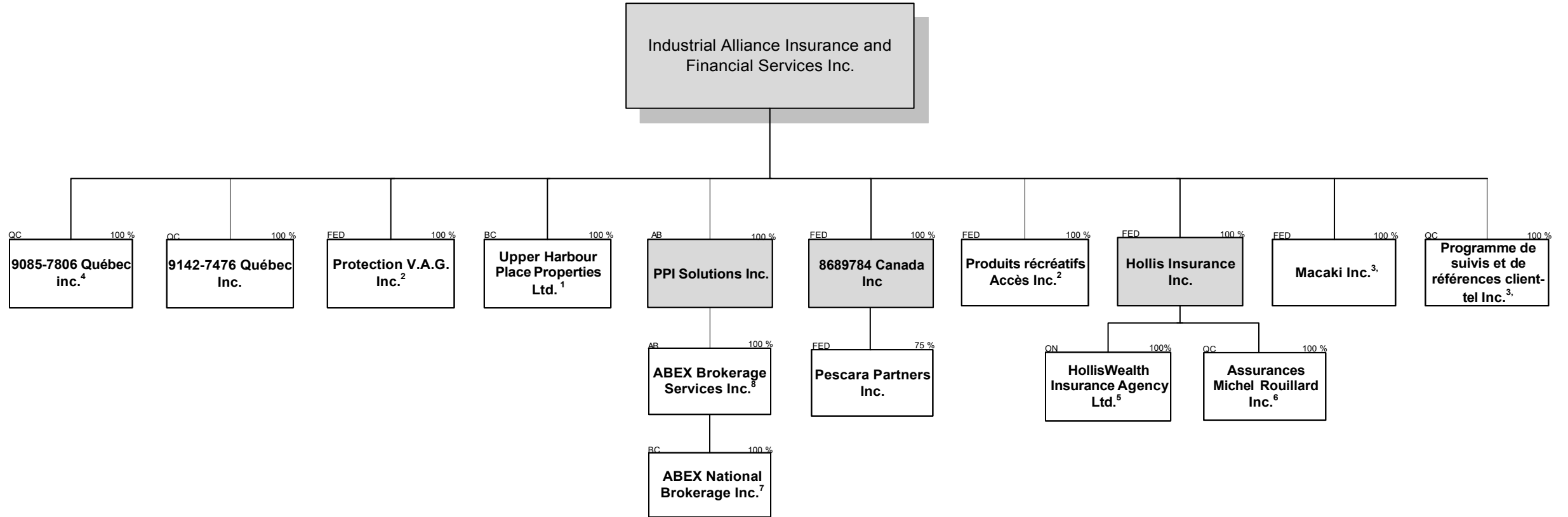
<sup>1</sup> New company resulting of the amalgamation of 6895808 Canada Inc. and 6895816 Canada Inc. on July 4, 2012.

<sup>2</sup> Formerly United Family Life Insurance Company.

<sup>3</sup> IAIFS carries on business in the U.S. via its American branch which is not a distinct corporate entity.

<sup>4</sup> Acquisition by IA American Holdings Inc. on January 23, 2018.

<sup>5</sup> Formerly Helios Financial Holdings Corp.



11.4

<sup>1</sup> Following the amalgamation of IA and IAP, this subsidiary is wholly-owned by IA.

<sup>2</sup> Liquidated in IA on July 1<sup>st</sup>, 2013.

<sup>3</sup> Liquidated in IA on November 1<sup>st</sup>, 2013.

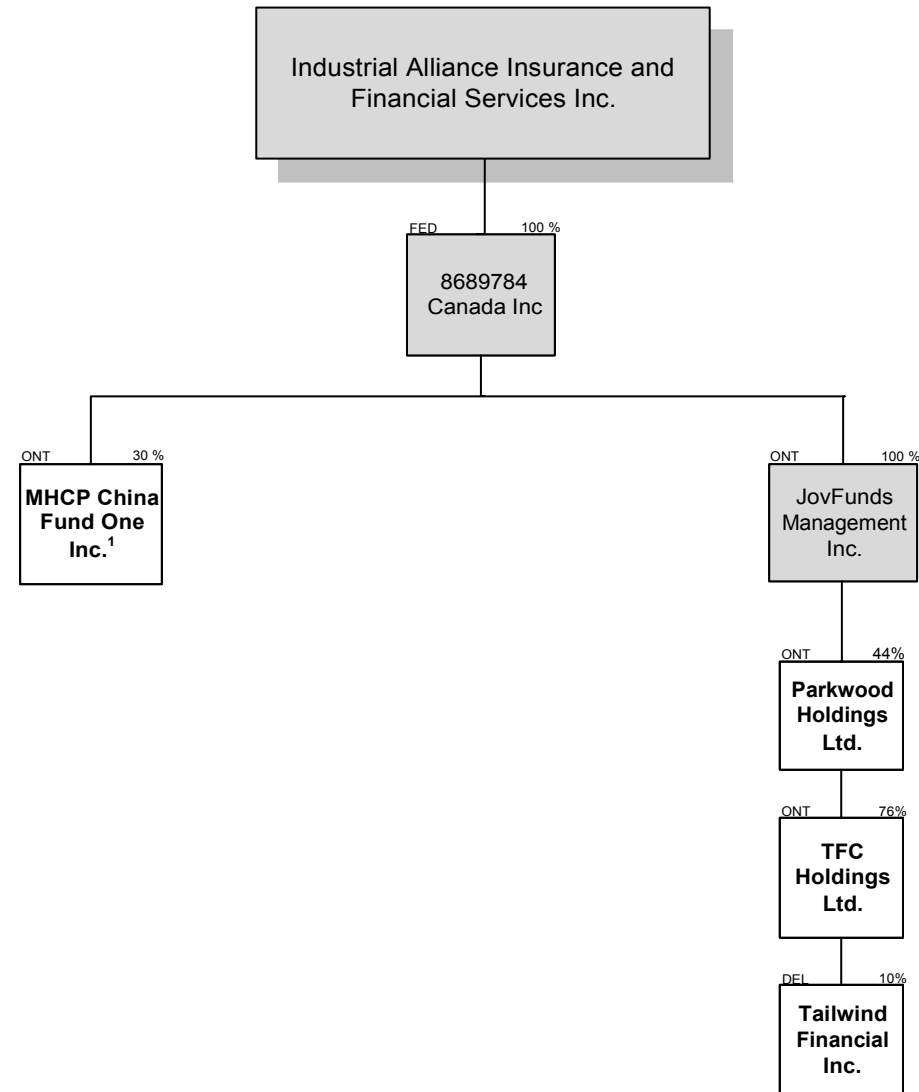
<sup>4</sup> Liquidated in IA on February 15, 2017.

<sup>5</sup> Liquidated in Hollis Insurance Inc. (Formerly NFIA) on August 4, 2017.

<sup>6</sup> Liquidated in Hollis Insurance Inc. on December 12, 2017.

<sup>7</sup> Liquidated in ABEX Brokerage Services Inc. on May 1<sup>st</sup>, 2018.

<sup>8</sup> Liquidated in PPI Solutions Inc. on May 1<sup>st</sup>, 2018.



11.5

<sup>1</sup> Amalgamation between Mission Hills Partners Inc. and MHCP China Fund One Inc. on April 1<sup>st</sup>, 2018.

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Dealers Assurance Company

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
..0315	Industrial Alliance GRP	..00000	00-0000000			TSX	Industrial Alliance Insurance and Financial Services Inc.	..CAN	..UIP	Publicly Traded			Industrial Alliance Ins. & Fin. Srv., Inc.	..N	
..0315	Industrial Alliance GRP	..14406	98-0018913				Industrial Alliance Insurance and Financial Services - USB	..TX	..IA	Industrial Alliance Insurance and Financial Services Inc	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	..N	
..0315	Industrial Alliance GRP	..00000	85-0479228				IA American Casualty Holdings Inc.	..NM	..UIP	IA American Holdings Inc.	Ownership		Industrial Alliance Ins. & Fin. Srv., Inc.	..N	
..0315	Industrial Alliance GRP	..00000	20-0833446				DAC Financial Holdings, Inc.	..DE	..UIP	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	..N	
..0315	Industrial Alliance GRP	..00000	31-0906655				Dealers Alliance Corp.	..OH	..UDP	DAC Financial Holdings, Inc	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	..N	
..0315	Industrial Alliance GRP	..16705	34-6513705				Dealers Assurance Company	..OH	..RE	Dealers Alliance Corp.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	..N	
..0315	Industrial Alliance GRP	..00000	31-0908416				DAC Insurance Agency, Inc.	..OH	..IA	Dealers Alliance Corp.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	..N	
..0315	Industrial Alliance GRP	..00000	46-2008908				DAC Solutions, Inc.	..TX	..NIA	Dealers Alliance Corp.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	..N	
..0315	Industrial Alliance GRP	..00000	85-0339432				Southwest Reinsure, Inc.	..NM	..NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	..N	
..0315	Industrial Alliance GRP	..00000	85-0465455				Southwest Administrative Svcs, Inc.	..NM	..NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	..N	
..0315	Industrial Alliance GRP	..00000	42-1560831				Warranty Solutions, Inc.	..TX	..NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	..N	
..0315	Industrial Alliance GRP	..00000	98-0231706				Three Diamonds Reinsurance Co., LTD	..TCA	..IA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	..N	
..0315	Industrial Alliance GRP	..00000	85-0339431				Designed Leadership, Inc.	..NM	..NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	..N	
..0315	Industrial Alliance GRP	..00000	42-1563507				Ecoblock, Inc.	..TX	..NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	..N	
..0315	Industrial Alliance GRP	..00000	98-0516902				Cinco de Mayo Reinsurance Co., LTD	..TCA	..IA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	..N	
..0315	Industrial Alliance GRP	..00000	85-0464095				US Automotive Administrators, Inc.	..NM	..NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	..N	
..0315	Industrial Alliance GRP	..00000	91-2020119				First Automotive Service Corp.	..NM	..NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	..N	
..0315	Industrial Alliance GRP	..00000	20-4986921				Southwest Reinsure (NM), Inc.	..NM	..NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	..N	
..0315	Industrial Alliance GRP	..91693	13-3036472				IA American Life Insurance Company	..TX	..IA	IA American Holdings Inc.	Ownership		Industrial Alliance Ins. & Fin. Srv., Inc.	..N	
..0315	Industrial Alliance GRP	..67946	75-1083342				Pioneer Security Life Insurance Company	..TX	..IA	IA American Life Insurance Company	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	..N	
..0315	Industrial Alliance GRP	..68594	74-2179909				American Amicable Life Ins. Co. of Texas	..TX	..IA	Pioneer Security Life Insurance Company	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	..N	
..0315	Industrial Alliance GRP	..67148	56-0343440				Occidental Life Insurance Co. of North Carolina	..TX	..IA	American Amicable Life Insurance Co. of Texas	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	..N	
..0315	Industrial Alliance GRP	..67873	75-0914374				Pioneer American Insurance Company	..TX	..IA	American Amicable Life Insurance Co. of Texas	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	..N	

Asterisk	Explanation
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STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Dealers Assurance Company

**PART 1 - LOSS EXPERIENCE**

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire			0.0	0.0
2. Allied Lines			0.0	0.0
3. Farmowners multiple peril			0.0	0.0
4. Homeowners multiple peril			0.0	0.0
5. Commercial multiple peril			0.0	0.0
6. Mortgage guaranty			0.0	0.0
8. Ocean marine			0.0	0.0
9. Inland marine			0.0	0.0
10. Financial guaranty			0.0	0.0
11.1 Medical professional liability - occurrence			0.0	0.0
11.2 Medical professional liability - claims-made			0.0	0.0
12. Earthquake			0.0	0.0
13. Group accident and health			0.0	0.0
14. Credit accident and health			0.0	0.0
15. Other accident and health			0.0	0.0
16. Workers' compensation			0.0	0.0
17.1 Other liability - occurrence	6,000,398	6,397,434	106.6	110.8
17.2 Other liability - claims-made			0.0	0.0
17.3 Excess workers' compensation			0.0	0.0
18.1 Products liability - occurrence			0.0	0.0
18.2 Products liability - claims-made			0.0	0.0
19.1,19.2 Private passenger auto liability			0.0	0.0
19.3,19.4 Commercial auto liability			0.0	0.0
21. Auto physical damage			0.0	0.0
22. Aircraft (all perils)			0.0	0.0
23. Fidelity			0.0	0.0
24. Surety			0.0	0.0
26. Burglary and theft			0.0	0.0
27. Boiler and machinery			0.0	0.0
28. Credit			0.0	0.0
29. International			0.0	0.0
30. Warranty	89,697,837	46,595,623	51.9	49.2
31. Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business	0	0	0.0	0.0
35. Totals	95,698,235	52,993,057	55.4	51.8
<b>DETAILS OF WRITE-INS</b>				
3401.				
3402.				
3403.				
3498. Summary of remaining write-ins for Line 34 from overflow page	0	0	0.0	0.0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	0	0	0.0	0.0

**PART 2 - DIRECT PREMIUMS WRITTEN**

Line of Business	1	2	3
	Current Quarter	Current Year to Date	Prior Year Year to Date
1. Fire	0		
2. Allied Lines	0		
3. Farmowners multiple peril	0		
4. Homeowners multiple peril	0		
5. Commercial multiple peril	0		
6. Mortgage guaranty	0		
8. Ocean marine	0		
9. Inland marine	0		
10. Financial guaranty	0		
11.1 Medical professional liability - occurrence	0		
11.2 Medical professional liability - claims-made	0		
12. Earthquake	0		
13. Group accident and health	0		
14. Credit accident and health	0		
15. Other accident and health	0		
16. Workers' compensation	0		
17.1 Other liability - occurrence	3,959,475	11,160,584	10,067,335
17.2 Other liability - claims-made	0		
17.3 Excess workers' compensation	0		
18.1 Products liability - occurrence	0		
18.2 Products liability - claims-made	0		
19.1,19.2 Private passenger auto liability	0		
19.3,19.4 Commercial auto liability	0		
21. Auto physical damage	0		
22. Aircraft (all perils)	0		
23. Fidelity	0		
24. Surety	0		
26. Burglary and theft	0		
27. Boiler and machinery	0		
28. Credit	0		0
29. International	0		
30. Warranty	38,618,584	111,267,887	92,678,087
31. Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business	0	0	0
35. Totals	42,578,059	122,428,471	102,745,422
<b>DETAILS OF WRITE-INS</b>			
3401.			
3402.			
3403.			
3498. Summary of remaining write-ins for Line 34 from overflow page	0	0	0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Dealers Assurance Company

**PART 3 (000 omitted)**

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1+2)	2018 Loss and LAE Payments on Claims Reported as of Prior Year-End	2018 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2018 Loss and LAE Payments (Cols. 4+5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols.7+8+9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols.4+7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12)
1. 2015 + Prior .....			0			0				0	0	0	0
2. 2016 .....			0			0				0	0	0	0
3. Subtotals 2016 + Prior .....	0	0	0	0	0	0	0	0	0	0	0	0	0
4. 2017 .....		358	358		190	190				0	0	(168)	(168)
5. Subtotals 2017 + Prior .....	0	358	358	0	190	190	0	0	0	0	0	(168)	(168)
6. 2018 .....	XXX	XXX	XXX	XXX	486	486	XXX		358	358	XXX	XXX	XXX
7. Totals .....	0	358	358	0	676	676	0	0	358	358	0	(168)	(168)
8. Prior Year-End Surplus As Regards Policyholders	67,259										Col. 11, Line 7 As % of Col. 1 Line 7	Col. 12, Line 7 As % of Col. 2 Line 7	Col. 13, Line 7 As % of Col. 3 Line 7
											1. 0.0	2. (46.9)	3. (46.9)
													Col. 13, Line 7 As a % of Col. 1 Line 8
													4. (0.2)

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....	SEE EXPLANATION
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement? .....	SEE EXPLANATION
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	SEE EXPLANATION
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	SEE EXPLANATION

Explanations:

1. Not Applicable
2. Not Applicable
3. Not Applicable
4. Not Applicable

Bar Codes:

**OVERFLOW PAGE FOR WRITE-INS**

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Dealers Assurance Company

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	2,952,088	1,778,518
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....	621,481	264,209
3. Current year change in encumbrances .....		1,003,635
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other than temporary impairment recognized .....		
8. Deduct current year's depreciation .....	70,706	94,274
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....	3,502,863	2,952,088
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10) .....	3,502,863	2,952,088

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....	248,726	792,171
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....	11,109	543,445
8. Deduct amortization of premium and mortgage interest points and commitment fees .....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	237,617	248,726
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....	237,617	248,726
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....	237,617	248,726

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....		

NONE

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	95,271,998	88,301,899
2. Cost of bonds and stocks acquired .....	62,615,888	32,105,807
3. Accrual of discount .....	73,312	12,644
4. Unrealized valuation increase (decrease) .....	(2,586,273)	2,047,396
5. Total gain (loss) on disposals .....	3,569,890	569,337
6. Deduct consideration for bonds and stocks disposed of .....	37,472,110	27,242,851
7. Deduct amortization of premium .....	399,581	522,235
8. Total foreign exchange change in book/adjusted carrying value .....		0
9. Deduct current year's other than temporary impairment recognized .....		0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....	26,665	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	121,099,789	95,271,998
12. Deduct total nonadmitted amounts .....		0
13. Statement value at end of current period (Line 11 minus Line 12) .....	121,099,789	95,271,998

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Dealers Assurance Company

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	98,398,138	9,145,591	5,819,475	(77,978)	95,931,975	98,398,138	101,646,275	70,399,583
2. NAIC 2 (a) .....	15,808,939	1,498,277	0	(8,012)	8,721,527	15,808,939	17,299,204	8,284,185
3. NAIC 3 (a) .....	0				0	0	0	0
4. NAIC 4 (a) .....	0				0	0	0	0
5. NAIC 5 (a) .....	0				0	0	0	0
6. NAIC 6 (a) .....	0				0	0	0	0
7. Total Bonds	114,207,078	10,643,868	5,819,475	(85,991)	104,653,502	114,207,078	118,945,479	78,683,768
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	0				0	0	0	679,979
9. NAIC 2 .....	0				0	0	0	1,190,092
10. NAIC 3 .....	0				0	0	0	1,377,847
11. NAIC 4 .....	0				0	0	0	0
12. NAIC 5 .....	0				0	0	0	86,917
13. NAIC 6 .....	0				0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	3,334,835
15. Total Bonds and Preferred Stock	114,207,078	10,643,868	5,819,475	(85,991)	104,653,502	114,207,078	118,945,479	82,018,604

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ ..... ; NAIC 2 \$ ..... ; NAIC 3 \$ ..... NAIC 4 \$ ..... ; NAIC 5 \$ ..... ; NAIC 6 \$ .....

S102

**SCHEDULE DA - PART 1**

## Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals		xxx		870	

**SCHEDULE DA - VERIFICATION**

## Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	120,007	7,913,988
2. Cost of short-term investments acquired .....		235,352
3. Accrual of discount .....		0
4. Unrealized valuation increase (decrease) .....		0
5. Total gain (loss) on disposals .....		0
6. Deduct consideration received on disposals .....	120,000	8,028,987
7. Deduct amortization of premium .....	7	345
8. Total foreign exchange change in book/adjusted carrying value .....		0
9. Deduct current year's other than temporary impairment recognized .....		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	0	120,007
11. Deduct total nonadmitted amounts .....		0
12. Statement value at end of current period (Line 10 minus Line 11)	0	120,007

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**NONE**

Schedule DB - Part B - Verification - Futures Contracts

**NONE**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**NONE**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**NONE**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**NONE**

**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	11,081,682	0
2. Cost of cash equivalents acquired .....	77,321,888	38,170,611
3. Accrual of discount .....	8,750	0
4. Unrealized valuation increase (decrease) .....		0
5. Total gain (loss) on disposals .....		0
6. Deduct consideration received on disposals .....	87,607,903	27,088,929
7. Deduct amortization of premium .....		0
8. Total foreign exchange change in book/adjusted carrying value .....		0
9. Deduct current year's other than temporary impairment recognized .....		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	804,418	11,081,682
11. Deduct total nonadmitted amounts .....		0
12. Statement value at end of current period (Line 10 minus Line 11)	804,418	11,081,682

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Dealers Assurance Company

**SCHEDULE A - PART 2**

Showing All Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Description of Property	Location		4 Date Acquired	5 Name of Vendor	6 Actual Cost at Time of Acquisition	7 Amount of Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances	9 Additional Investment Made After Acquisition
	2 City	3 State						
Addison Building .....	Addison .....	TX .....	09/05/2018	LOPEK Companies				143,587
Addison Building .....	Addison .....	TX .....	09/19/2018	Trinity Ad and Graphics Group				800
0199999. Acquired by Purchase					0	0	0	144,387
0399999 - Totals					0	0	0	144,387

**SCHEDULE A - PART 3**

Showing All Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract"

1 Description of Property	Location		4 Disposal Date	5 Name of Purchaser	6 Actual Cost	7 Expended for Additions, Permanent Improvements and Changes in Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances Prior Year	Change in Book/Adjusted Carrying Value Less Encumbrances					14 Book/Adjusted Carrying Value Less Encumbrances on Disposal	15 Amounts Received During Year	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	19 Gross Income Earned Less Interest Incurred on Encumbrances	20 Taxes, Repairs and Expenses Incurred
	2 City	3 State						9 Current Year's Depreciation	10 Current Year's Other Than Temporary Impairment Recognized	11 Current Year's Change in Encumbrances	12 Total Change in Book/Adjusted Carrying Value (11-9-10)	13 Total Foreign Exchange Change in Book/Adjusted Carrying Value							
<b>NONE</b>																			
0399999 - Totals																			

E01

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Dealers Assurance Company

**SCHEDULE B - PART 2**

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Rate of Interest	7 Actual Cost at Time of Acquisition	8 Additional Investment Made After Acquisition	9 Value of Land and Buildings
	2 City	3 State						
<b>NONE</b>								
3399999 - Totals								

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment					14 Book Value/Recorded Investment Excluding Accrued Interest on Disposal	15 Consid-eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)						13 Total Foreign Exchange Change in Book Value
DAC002	Mokena	IL		08/21/2014							0		4,225			0	
0299999. Mortgages with partial repayments							0	0	0	0	0	0	0	4,225	0	0	0
0599999 - Totals																	

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**N O N E**

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Dealers Assurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Desig- nation or Market Indicator (a)
912828-4U-1	UNITED STATES TREASURY		.07/31/2018	NONMURA SECURITIES INTERNATIONAL		989,808	1,000,000	2,211	1
912828-63-8	UNITED STATES TREASURY		.08/30/2018	BNP SECURITIES		968,246	1,000,000	6,603	1
912828-TY-6	UNITED STATES TREASURY		.09/28/2018	MERRILL LYNCH FIXED INCOME		1,376,938	1,450,000	8,708	1
<b>0599999. Subtotal - Bonds - U.S. Governments</b>						<b>3,334,993</b>	<b>3,450,000</b>	<b>17,522</b>	<b>XXX</b>
02582J-HL-7	AMXCA 177 A - ABS		.08/23/2018	WELLS FARGO BROKERAGE		486,563	500,000	392	1FE
037680-AB-1	AEPIV 1113 A2 - ABS		.09/25/2018	WELLS FARGO BROKERAGE		200,563	200,000	1,174	1FE
12509K-AB-2	COG 182 A2 - ABS		.08/21/2018	BMO Capital Markets		499,942	500,000	0	1FE
161571-F0-2	CHAIT 127 A - ABS		.08/15/2018	TD Securities		434,443	450,000	54	1FE
17325F-AQ-1	CITIBANK NA		.07/17/2018	CITIBANK, N.A.		749,449	750,000	0	1FE
254683-BX-2	DOENT 172 A - ABS		.08/23/2018	MITSUBISHI UFJ SECURITIES		331,200	338,000	269	1FE
34531F-AA-1	FORDR 17REV1 A - ABS		.08/15/2018	TD Securities		489,297	500,000	73	1FE
37045X-AQ-9	GENERAL MOTORS FINANCIAL COMPANY INC		.08/09/2018	BNP SECURITIES		356,871	350,000	5,870	2FE
377372-AL-1	GLAXOSMITHKLINE CAPITAL INC	C.	.09/06/2018	MORGAN STANLEY & CO INC, NY		175,394	175,000	1,887	1FE
404280-BS-7	HSBC HOLDINGS PLC	C.	.08/30/2018	CREDIT SUISSE, NEW YORK (CSUS)		150,377	150,000	1,745	1FE
406216-BG-5	HALLIBURTON CO		.08/30/2018	SOCIETE GENERALE, NEW YORK BRANCH		500,600	500,000	5,594	2FE
452308-AX-7	ILLINOIS TOOL WORKS INC		.07/11/2018	CANTOR FITZGERALD & CO		553,231	595,000	2,540	1FE
460690-BP-4	INTERPUBLIC GROUP OF COMPANIES INC		.09/18/2018	CITIBANK, N.A.		239,198	240,000	0	2FE
822582-BT-8	SHELL INTERNATIONAL FINANCE BV	C.	.07/24/2018	GOLDMAN SACHS & CO, INC.		763,512	800,000	4,856	1FE
913017-DD-8	UNITED TECHNOLOGIES CORP		.08/14/2018	MORGAN STANLEY & CO LLC		401,608	400,000	0	2FE
92348R-AA-6	VZOT 173 A1A - ABS		.08/30/2018	INTL FCGstone L.P.		803,505	816,000	514	1FE
961214-CS-0	WESTPAC BANKING CORP	C.	.09/06/2018	HSBC SECS INC, NEW YORK		173,124	175,000	1,352	1FE
<b>3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>						<b>7,308,875</b>	<b>7,439,000</b>	<b>26,319</b>	<b>XXX</b>
<b>8399997. Total - Bonds - Part 3</b>						<b>10,643,868</b>	<b>10,889,000</b>	<b>43,841</b>	<b>XXX</b>
<b>8399998. Total - Bonds - Part 5</b>						<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>
<b>8399999. Total - Bonds</b>						<b>10,643,868</b>	<b>10,889,000</b>	<b>43,841</b>	<b>XXX</b>
<b>8999997. Total - Preferred Stocks - Part 3</b>						<b>0</b>	<b>XXX</b>	<b>0</b>	<b>XXX</b>
<b>8999998. Total - Preferred Stocks - Part 5</b>						<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>
<b>8999999. Total - Preferred Stocks</b>						<b>0</b>	<b>XXX</b>	<b>0</b>	<b>XXX</b>
00206R-10-2	AT&T ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	20,000	669	0	0	L
002824-10-0	ABBOTT LABORATORIES ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	10,000	669	0	0	L
00724F-10-1	ADOBE SYSTEM ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	7,000	1,876	0	0	L
016255-10-1	ALIGN TECHNOLOGY ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	3,000	1,137	0	0	L
02079K-30-5	ALPHABET CL A ORD		.09/12/2018	VARIOUS	4,000	4,766	0	0	L
023135-10-6	AMAZON COM ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	2,000	3,961	0	0	L
03027X-10-0	AMERICAN TOWER REIT		.09/12/2018	SEI FINANCIAL SERVICES CO	8,000	1,176	0	0	L
032511-10-7	ANADARKO PETROLEUM ORD		.09/12/2018	VARIOUS	200,000	12,819	0	0	L
03349M-10-5	ANDEAVOR ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	5,000	777	0	0	L
037833-10-0	APPLE ORD		.09/12/2018	VARIOUS	234,000	48,253	0	0	L
060505-10-4	BANK OF AMERICA ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	40,000	1,219	0	0	L
09062X-10-3	BIOGEN ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	1,000	339	0	0	L
097023-10-5	BOEING ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	1,000	353	0	0	L
126408-10-3	CSX ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	20,000	1,486	0	0	L
150870-10-3	CELANESE ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	8,000	904	0	0	L
166764-10-0	CHEVRON ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	8,000	931	0	0	L
194162-10-3	COLGATE PALMOLIVE ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	10,000	681	0	0	L
20030N-10-1	COMCAST CL A ORD		.07/19/2018	Strategas Securities LLC	40,000	1,402	0	0	L
200340-10-7	COMERICA ORD		.08/03/2018	Barclays Capital, Inc.	210,000	20,632	0	0	L
21036P-10-8	CONSTELLATION BRANDS CL A ORD		.09/12/2018	VARIOUS	13,000	2,788	0	0	L
219350-10-5	CORNING ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	30,000	1,020	0	0	L
22160K-10-5	COSTCO WHOLESALE ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	3,000	729	0	0	L
244199-10-5	DEERE ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	6,000	888	0	0	L
254687-10-6	WALT DISNEY ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	7,000	767	0	0	L
26078J-10-0	DOWDUPONT ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	20,000	1,389	0	0	L
285512-10-9	ELECTRONIC ARTS ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	8,000	902	0	0	L
29444U-70-0	EQUINIX REIT ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	2,000	877	0	0	L
30161N-10-1	EXELON ORD		.09/12/2018	VARIOUS	130,000	5,809	0	0	L
30231G-10-2	EXXON MOBIL ORD		.07/19/2018	UBS SECURITIES LLC, STAMFORD	30,000	2,476	0	0	L
30303M-10-2	FACEBOOK CL A ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	4,000	648	0	0	L
375558-10-3	GILEAD SCIENCES ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	10,000	743	0	0	L
416515-10-4	HARTFORD FINANCIAL SERVICES GRUP ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	10,000	489	0	0	L

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STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Dealers Assurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
437076-10-2	HOME DEPOT ORD		.09/12/2018	VARIOUS	10.000	2.071		.0	L
438516-10-6	HONEYWELL INTERNATIONAL ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	8.000	1.311		.0	L
452308-10-9	ILLINOIS TOOL ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	8.000	1.134		.0	L
452327-10-9	ILLUMINA ORD		.09/12/2018	VARIOUS	69.000	22.139		.0	L
45866F-10-4	INTERCONTINENTAL EXCHANGE ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	10.000	.764		.0	L
46625H-10-0	JPMORGAN CHASE ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	12.000	1.357		.0	L
478160-10-4	JOHNSON & JOHNSON ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	4.000	.557		.0	L
552953-10-1	MGM RESORTS INTERNATIONAL ORD		.09/12/2018	VARIOUS	100.000	2.980		.0	L
58933Y-10-5	MERCK & CO ORD		.09/12/2018	VARIOUS	130.000	8.762		.0	L
594918-10-4	MICROSOFT ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	7.000	.779		.0	L
609207-10-5	MONDELEZ INTERNATIONAL CL A ORD		.09/12/2018	VARIOUS	170.000	7.362		.0	L
61174X-10-9	MONSTER BEVERAGE ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	20.000	1.168		.0	L
64110L-10-6	NETFLIX ORD		.09/12/2018	VARIOUS	53.000	19.018		.0	L
65339F-10-1	NEXTERA ENERGY ORD		.09/12/2018	VARIOUS	86.000	14.802		.0	L
654106-10-3	NIKE CL B ORD		.09/12/2018	VARIOUS	30.000	2.351		.0	L
67066G-10-4	NVIDIA ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	7.000	1.868		.0	L
717081-10-3	PFIZER ORD		.09/12/2018	VARIOUS	460.000	18.853		.0	L
718172-10-9	PHILIP MORRIS INTERNATIONAL ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	20.000	1.604		.0	L
723787-10-7	PIONEER NATURAL RESOURCE ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	4.000	.683		.0	L
78486Q-10-1	SVB FINANCIAL GROUP ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	3.000	.949		.0	L
79466L-30-2	SALESFORCE.COM ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	9.000	1.391		.0	L
808513-10-5	CHARLES SCHWAB ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	20.000	1.017		.0	L
848637-10-4	SPLUNK ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	8.000	1.004		.0	L
87165B-10-3	SYNCHRONY FINANCIAL ORD		.09/12/2018	VARIOUS	200.000	5.931		.0	L
882508-10-4	TEXAS INSTRUMENTS ORD		.09/12/2018	VARIOUS	187.000	21.101		.0	L
91324P-10-2	UNITEDHEALTH GRP ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	6.000	1.570		.0	L
91913Y-10-0	VALERO ENERGY ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	5.000	.580		.0	L
92826C-83-9	VISA CL A ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	10.000	1.461		.0	L
929089-10-0	VOYA FINANCIAL ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	20.000	.963		.0	L
981558-10-9	WORLDPAY CL A ORD		.09/12/2018	SEI FINANCIAL SERVICES CO	10.000	.991		.0	L
988498-10-1	YUM BRANDS ORD		.09/12/2018	VARIOUS	30.000	2.469		.0	L
60177J-10-8	ALLERGAN ORD	C	.09/12/2018	SEI FINANCIAL SERVICES CO	4.000	.760		.0	L
647791-10-1	INGERSOLL RAND ORD	C	.09/12/2018	SEI FINANCIAL SERVICES CO	15.000	1.540		.0	L
64918T-10-8	INVESCO ORD		.09/12/2018	VARIOUS	140.000	3.669		.0	L
65876H-10-5	MARVELL TECHNOLOGY GROUP ORD	C	.09/12/2018	SEI FINANCIAL SERVICES CO	30.000	.550		.0	L
65960L-10-3	MEDTRONIC ORD	C	.09/12/2018	VARIOUS	70.000	6.436		.0	L
9099999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)					285,519	XXX	0	XXX
9799997	Total - Common Stocks - Part 3					285,519	XXX	0	XXX
9799998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX
9799999	Total - Common Stocks					285,519	XXX	0	XXX
9899999	Total - Preferred and Common Stocks					285,519	XXX	0	XXX
9999999	Totals					10,929,386	XXX	43,841	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Dealers Assurance Company

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)	
912828-C2-4	UNITED STATES TREASURY		08/15/2018	GOLDMAN SACHS & CO. INC.		253,983	255,000	254,173	254,209	.0	421	.0	421	.0	254,630	.0	(647)	(647)	3,669	02/28/2019	1	
912828-RT-9	UNITED STATES TREASURY		08/22/2018	NOMURA SECURITIES INTERNATIONAL		399,186	400,000	401,617	400,566	.0	(398)	.0	(398)	.0	400,168	.0	(982)	(982)	4,012	11/30/2018	1	
912828-SV-3	UNITED STATES TREASURY		08/24/2018	VARIOUS		1,641,966	1,700,000	1,635,326	.0	.0	4,921	.0	4,921	.0	1,640,258	.0	1,708	1,708	22,859	05/15/2022	1	
912828-U6-5	UNITED STATES TREASURY		08/22/2018	CITIBANK, N.A.		38,850	40,000	39,898	39,913	.0	14	.0	14	.0	39,927	.0	(1,077)	(1,077)	511	11/30/2021	1	
0599999	Subtotal - Bonds - U.S. Governments					2,333,985	2,395,000	2,331,015	694,688	0	4,958	0	4,958	0	2,334,983	0	(998)	(998)	31,051	XXX	XXX	
313500-YM-9	FEDERAL NATIONAL MORTGAGE ASSOCIATION		09/18/2018	Maturity @ 100.00		500,000	500,000	510,195	502,103	.0	(2,103)	.0	(2,103)	.0	500,000	.0	.0	.0	9,375	09/18/2018	1	
3136A4-M9-7	FNA 12M3B 2A2 - CMBS		09/01/2018	Paydown		106,497	106,497	107,729	107,150	.0	(654)	.0	(654)	.0	106,497	.0	.0	.0	1,544	01/25/2019	1	
3136AM-M4-8	FNA 15M4B AV2 - CMBS		09/01/2018	Paydown		3,796	3,796	3,836	3,829	.0	(33)	.0	(33)	.0	3,796	.0	.0	.0	64	07/25/2022	1	
3137B1-AZ-5	FHMS K712 A2 - CMBS		09/01/2018	Paydown		83,584	83,584	83,812	83,738	.0	(155)	.0	(155)	.0	83,584	.0	.0	.0	881	11/25/2019	1	
647310-X8-9	NEW MEXICO ST SEVERANCE TAX		07/01/2018	Maturity @ 100.00		600,000	600,000	611,463	602,861	.0	(2,861)	.0	(2,861)	.0	600,000	.0	.0	.0	12,000	07/01/2018	1FE	
3199999	Subtotal - Bonds - U.S. Special Revenues					1,293,878	1,293,878	1,317,034	1,299,680	0	(5,804)	0	(5,804)	0	1,293,878	0	0	0	23,864	XXX	XXX	
12592W-AC-2	ONH 15A A3 - ABS		09/18/2018	Paydown		30,714	30,714	30,713	30,714	.0	.0	.0	.0	.0	30,714	.0	.0	.0	307	04/15/2020	1FE	
38141E-A2-5	GOLDMAN SACHS GROUP INC		09/27/2018	TENDER		667,936	655,000	714,695	362,597	.0	(15,841)	.0	(15,841)	.0	663,565	.0	4,371	4,371	54,856	02/15/2019	1FE	
404280-AK-5	HSBC HOLDINGS PLC	C	08/30/2018	(CSUS)		156,072	150,000	166,685	162,226	.0	(2,447)	.0	(2,447)	.0	159,779	.0	(3,707)	(3,707)	6,991	04/05/2021	1FE	
747525-AP-8	QUALCOMM INC		07/02/2018	Call @ 100.00		400,000	400,000	399,976	399,980	.0	4	.0	4	.0	399,984	.0	16	16	5,180	05/20/2020	1FE	
80283Y-AG-0	SDART 144 D - ABS		09/15/2018	Paydown		32,889	32,889	33,367	33,279	.0	(217)	.0	(217)	.0	33,062	.0	(173)	(173)	671	11/16/2020	1FE	
822582-BU-5	SHELL INTERNATIONAL FINANCE BV	C	07/24/2018	MILLENNIUM ADVISORS, LLC		693,988	705,000	702,123	623,928	.0	698	.0	698	.0	703,510	.0	(9,522)	(9,522)	8,455	09/12/2019	1FE	
89152U-AG-7	TOTAL CAPITAL INTERNATIONAL SA	C	08/10/2018	Maturity @ 100.00		200,000	200,000	202,543	200,537	.0	(537)	.0	(537)	.0	200,000	.0	.0	.0	4,250	08/10/2018	1FE	
8399999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					2,181,599	2,173,603	2,250,102	1,813,262	0	(18,340)	0	(18,340)	0	2,190,615	0	(9,016)	(9,016)	80,711	XXX	XXX	
8399997	Total - Bonds - Part 4					5,809,462	5,862,481	5,898,150	3,807,630	0	(19,187)	0	(19,187)	0	5,819,475	0	(10,014)	(10,014)	135,626	XXX	XXX	
8399998	Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999	Total - Bonds					5,809,462	5,862,481	5,898,150	3,807,630	0	(19,187)	0	(19,187)	0	5,819,475	0	(10,014)	(10,014)	135,626	XXX	XXX	
8999997	Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
8999998	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999	Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
00206R-10-2	AT&T ORD		08/02/2018	VARIOUS		190,000	6,046	7,573	7,387	186	.0	.0	186	.0	7,573	.0	(1,528)	(1,528)	272			
002824-10-0	ABBOTT LABORATORIES ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD		40,000	2,591	1,661	2,283	(621)	.0	.0	(621)	.0	1,661	.0	929	929	23			
00724F-10-1	ADOBE SYSTEM ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD		69,000	17,815	5,830	12,092	(6,262)	.0	.0	(6,262)	.0	5,830	.0	11,985	11,985	0			
015351-10-9	ALEXION PHARMACEUTICALS ORD		08/06/2018	STAMFORD		104,000	12,965	13,373	12,437	935	.0	.0	935	.0	13,373	.0	(408)	(408)	0			
016255-10-1	ALIGN TECHNOLOGY ORD		07/31/2018	VARIOUS		23,000	8,231	3,650	5,110	(1,461)	.0	.0	(1,461)	.0	3,650	.0	4,581	4,581	0			
02005N-10-0	ALLY FINANCIAL ORD		08/15/2018	VARIOUS		1,200,000	31,892	23,583	34,992	(11,409)	.0	.0	(11,409)	.0	23,583	.0	8,309	8,309	471			
023135-10-6	AMAZON COM ORD		07/31/2018	VARIOUS		10,000	18,144	3,725	11,695	(7,969)	.0	.0	(7,969)	.0	3,725	.0	14,419	14,419	0			
03027X-10-0	AMERICAN TOWER REIT		07/19/2018	UBS SECURITIES LLC, STAMFORD		27,000	3,823	2,468	3,852	(1,384)	.0	.0	(1,384)	.0	2,468	.0	1,355	1,355	60			
032511-10-7	ANADARKO PETROLEUM ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD		30,000	2,134	2,054	.0	.0	.0	.0	.0	2,054	.0	80	.0	80	0			
03349M-10-5	ANDEAVOR ORD		09/27/2018	VARIOUS		191,000	28,793	15,834	21,267	(6,211)	.0	.0	(6,211)	.0	15,834	.0	12,959	12,959	327			
060505-10-4	BANK OF AMERICA ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD		170,000	5,088	2,664	5,018	(2,354)	.0	.0	(2,354)	.0	2,664	.0	2,424	2,424	62			
09062X-10-3	BIOMER ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD		5,000	1,790	1,718	.0	.0	.0	.0	.0	1,718	.0	72	.0	72	0			
097023-10-5	BOEING ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD		5,000	1,788	1,755	.0	.0	.0	.0	.0	1,755	.0	34	.0	34	0			
110122-10-8	BRISTOL MYERS SQUIBB ORD		08/01/2018	UBS SECURITIES LLC, STAMFORD		20,000	1,135	1,342	1,226	117	.0	.0	117	.0	1,342	.0	(207)	(207)	24			
11135F-10-1	BROADCOM ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD		66,000	13,819	15,164	10,533	(1,517)	.0	.0	(1,517)	.0	15,164	.0	(1,345)	(1,345)	187			

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STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Dealers Assurance Company

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
126408-10-3	CSX ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	60.000	4,089		2,321	3,301	(980)	0	0	(980)	0	2,321	0	1,768	1,768	28		
150870-10-3	CELANESE SR A ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	29.000	3,202		1,722	3,105	(1,383)	0	0	(1,383)	0	1,722	0	1,479	1,479	29		
166764-10-0	CHEVRON ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	27.000	3,284		2,309	3,380	(1,071)	0	0	(1,071)	0	2,309	0	975	975	66		
194162-10-3	COLGATE PALMOLIVE ORD		07/19/2018	STAMFORD	30.000	1,947		2,267	0	0	0	0	0	0	2,267	0	(320)	(320)	31		
20030N-10-1	COMCAST CL A ORD		08/24/2018	UBS SECURITIES LLC, VARIOUS	200.000	7,199		7,848	8,010	(162)	0	0	(162)	0	7,848	0	(648)	(648)	109		
219350-10-5	CORNING ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	100.000	2,943		3,152	3,199	(47)	0	0	(47)	0	3,152	0	(209)	(209)	39		
22160K-10-5	COSTCO WHOLESALE ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	12.000	2,580		1,803	2,233	(430)	0	0	(430)	0	1,803	0	777	777	13		
244199-10-5	DEERE ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	21.000	2,916		2,689	3,287	(597)	0	0	(597)	0	2,689	0	226	226	25		
254687-10-6	WALT DISNEY ORD		07/26/2018	UBS SECURITIES LLC, STAMFORD	27.000	3,024		2,812	2,903	(91)	0	0	(91)	0	2,812	0	213	213	27		
26078J-10-0	DOWDUPONT ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	40.000	2,648		1,909	2,849	(940)	0	0	(940)	0	1,909	0	738	738	46		
285512-10-9	ELECTRONIC ARTS ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	28.000	4,158		2,200	2,942	(742)	0	0	(742)	0	2,200	0	1,958	1,958	0		
29444J-70-0	EQUINIX REIT		07/19/2018	UBS SECURITIES LLC, STAMFORD	6.000	2,618		2,630	2,719	(89)	0	0	(89)	0	2,630	0	(12)	(12)	30		
30063P-10-5	EXACT SCIENCES ORD		08/23/2018	UBS SECURITIES LLC, VARIOUS	80.000	5,518		4,217	0	0	0	0	0	4,217	0	1,301	1,301	0			
30161N-10-1	EXELON ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	50.000	2,108		1,542	1,971	(428)	0	0	(428)	0	1,542	0	565	565	35		
30231G-10-2	EXXON MOBIL ORD		09/10/2018	UBS SECURITIES LLC, VARIOUS	280.000	22,230		23,133	20,910	(252)	0	0	(252)	0	23,133	0	(904)	(904)	650		
30303M-10-2	FACEBOOK CL A ORD		08/07/2018	UBS SECURITIES LLC, VARIOUS	32.000	6,295		3,308	5,647	(2,339)	0	0	(2,339)	0	3,308	0	2,987	2,987	0		
375558-10-3	GILEAD SCIENCES ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	30.000	2,323		2,141	0	0	0	0	0	2,141	0	183	183	0			
416515-10-4	HARTFORD FINANCIAL SERVICES GRUP ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	40.000	2,139		1,673	2,251	(578)	0	0	(578)	0	1,673	0	466	466	38		
438516-10-6	HONEYWELL INTERNATIONAL ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	27.000	4,014		2,728	4,141	(1,413)	0	0	(1,413)	0	2,728	0	1,286	1,286	60		
452308-10-9	ILLINOIS TOOL ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	28.000	4,019		2,720	4,672	(1,952)	0	0	(1,952)	0	2,720	0	1,299	1,299	66		
45866F-10-4	INTERCONTINENTAL EXCHANGE ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	40.000	3,072		1,979	2,822	(843)	0	0	(843)	0	1,979	0	1,092	1,092	19		
46625H-10-0	JPMORGAN CHASE ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	42.000	4,654		4,154	4,491	(338)	0	0	(338)	0	4,154	0	500	500	47		
478160-10-4	JOHNSON & JOHNSON ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	16.000	2,040		2,136	2,236	(99)	0	0	(99)	0	2,136	0	(96)	(96)	31		
512807-10-8	LAM RESEARCH ORD		08/15/2018	UBS SECURITIES LLC, VARIOUS	73.000	12,730		11,752	13,437	(1,685)	0	0	(1,685)	0	11,752	0	978	978	157		
58933Y-10-5	MERCK & CO ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	40.000	2,511		2,039	2,251	(212)	0	0	(212)	0	2,039	0	472	472	58		
594918-10-4	MICROSOFT ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	18.000	1,887		1,010	1,540	(529)	0	0	(529)	0	1,010	0	877	877	17		
595112-10-3	MICRON TECHNOLOGY ORD		08/15/2018	UBS SECURITIES LLC, VARIOUS	440.000	21,722		15,943	9,869	(4,404)	0	0	(4,404)	0	15,943	0	5,779	5,779	0		
609207-10-5	MONDELEZ INTERNATIONAL CL A ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	200.000	8,420		8,368	8,560	(192)	0	0	(192)	0	8,368	0	53	53	132		
61174X-10-9	MONSTER BEVERAGE ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	50.000	3,042		2,311	3,165	(854)	0	0	(854)	0	2,311	0	731	731	0		
670346-10-5	NUCOR ORD		08/10/2018	UBS SECURITIES LLC, VARIOUS	140.000	8,945		6,291	8,901	(2,611)	0	0	(2,611)	0	6,291	0	2,655	2,655	144		
67066G-10-4	NVIDIA ORD		08/07/2018	UBS SECURITIES LLC, VARIOUS	63.000	15,750		6,656	12,191	(5,535)	0	0	(5,535)	0	6,656	0	9,094	9,094	20		
717081-10-3	PFIZER ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	40.000	1,501		1,421	1,449	(28)	0	0	(28)	0	1,421	0	81	81	27		
718172-10-9	PHILIP MORRIS INTERNATIONAL ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	40.000	3,103		3,236	4,226	(990)	0	0	(990)	0	3,236	0	(133)	(133)	131		

E05.1

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Dealers Assurance Company

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)	
723787-10-7	PIONEER NATURAL RESOURCE ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	13.000	2,369		1,526	2,247	(721)	0	0	(721)	0	1,526	0	842	842	2			
742718-10-9	PROCTER & GAMBLE ORD		08/15/2018	UBS SECURITIES LLC, VARIOUS	130.000	10,561		10,754	11,944	(1,191)	0	0	(1,191)	0	10,754	0	(193)	(193)	276			
784860-10-1	SVB FINANCIAL GROUP ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	10.000	3,067		1,827	2,338	(511)	0	0	(511)	0	1,827	0	1,240	1,240	0			
79466L-30-2	SALESFORCE.COM ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	33.000	4,844		2,476	3,374	(897)	0	0	(897)	0	2,476	0	2,368	2,368	0			
808513-10-5	CHARLES SCHWAB ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	60.000	3,228		1,699	3,082	(1,384)	0	0	(1,384)	0	1,699	0	1,529	1,529	12			
816851-10-9	SEMPRA ENERGY ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	138.000	15,771		14,829	0	0	0	0	0	0	14,829	0	942	942	247			
848637-10-4	SPLUNK ORD		08/24/2018	UBS SECURITIES LLC, STAMFORD	96.000	11,358		6,109	7,953	(1,843)	0	0	(1,843)	0	6,109	0	5,249	5,249	0			
855244-10-9	STARBUCKS ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	400.000	20,462		23,671	22,972	699	0	0	699	0	23,671	0	(3,209)	(3,209)	240			
863667-10-1	STRYKER ORD		07/31/2018	UBS SECURITIES LLC, VARIOUS	79.000	13,049		12,973	0	0	0	0	0	0	12,973	0	76	76	37			
87165B-10-3	SYNCHRONY FINANCIAL ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	80.000	2,648		2,231	3,089	(858)	0	0	(858)	0	2,231	0	417	417	24			
872590-10-4	T MOBILE US ORD	C	09/12/2018	UBS SECURITIES LLC, VARIOUS	200.000	12,644		13,143	12,702	441	0	0	441	0	13,143	0	(499)	(499)	0			
91324P-10-2	UNITEDHEALTH GRP ORD		07/31/2018	UBS SECURITIES LLC, VARIOUS	40.000	10,190		5,494	8,818	(3,324)	0	0	(3,324)	0	5,494	0	4,696	4,696	66			
91913Y-10-0	VALERO ENERGY ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	21.000	2,252		1,169	1,930	(762)	0	0	(762)	0	1,169	0	1,084	1,084	34			
92826C-83-9	VISA CL A ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	37.000	5,204		2,916	4,219	(1,303)	0	0	(1,303)	0	2,916	0	2,288	2,288	16			
929089-10-0	VOYA FINANCIAL ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	60.000	2,929		1,742	2,968	(1,227)	0	0	(1,227)	0	1,742	0	1,188	1,188	1			
981558-10-9	WORLDPAY CL A ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	30.000	2,605		1,946	2,207	(261)	0	0	(261)	0	1,946	0	659	659	0			
60177J-10-8	ALLERGAN ORD	C	07/19/2018	UBS SECURITIES LLC, STAMFORD	15.000	2,612		4,250	2,454	1,796	0	0	1,796	0	4,250	0	(1,638)	(1,638)	26			
606242-10-4	ATLASSIAN CL A ORD	D	08/15/2018	UBS SECURITIES LLC, VARIOUS	100.000	7,372		6,072	0	0	0	0	0	0	6,072	0	1,300	1,300	0			
629183-10-3	EATON ORD	C	07/19/2018	UBS SECURITIES LLC, STAMFORD	30.000	2,340		1,581	2,370	(789)	0	0	(789)	0	1,581	0	759	759	40			
647791-10-1	INGERSOLL RAND ORD	C	07/19/2018	UBS SECURITIES LLC, STAMFORD	50.000	4,552		3,373	4,460	(1,087)	0	0	(1,087)	0	3,373	0	1,180	1,180	45			
6491BT-10-8	INVESCO ORD		07/19/2018	UBS SECURITIES LLC, STAMFORD	110.000	2,859		4,440	4,019	421	0	0	421	0	4,440	0	(1,581)	(1,581)	65			
65876H-10-5	MARVELL TECHNOLOGY GROUP ORD	C	08/01/2018	UBS SECURITIES LLC, VARIOUS	420.000	8,948		9,709	0	0	0	0	0	0	9,709	0	(761)	(761)	55			
65960L-10-3	MEDTRONIC ORD	C	07/19/2018	UBS SECURITIES LLC, STAMFORD	30.000	2,648		2,592	0	0	0	0	0	0	2,592	0	56	56	0			
9099999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)					489,198	XXX	381,333	383,693	(82,564)	0	0	(82,564)	0	381,333	0	107,865	107,865	4,687	XXX	XXX	
9799997	Total - Common Stocks - Part 4					489,198	XXX	381,333	383,693	(82,564)	0	0	(82,564)	0	381,333	0	107,865	107,865	4,687	XXX	XXX	
9799998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999	Total - Common Stocks					489,198	XXX	381,333	383,693	(82,564)	0	0	(82,564)	0	381,333	0	107,865	107,865	4,687	XXX	XXX	
9899999	Total - Preferred and Common Stocks					489,198	XXX	381,333	383,693	(82,564)	0	0	(82,564)	0	381,333	0	107,865	107,865	4,687	XXX	XXX	
9999999	Totals					6,298,660	XXX	6,279,483	4,191,323	(82,564)	(19,187)	0	(101,751)	0	6,200,808	0	97,851	97,851	140,313	XXX	XXX	

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....

E05.2

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

**NONE**

Schedule DB - Part B - Section 1 - Futures Contracts Open

**NONE**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

**NONE**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

**NONE**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

**NONE**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

**NONE**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**NONE**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**NONE**



STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Dealers Assurance Company

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
0599999	Total - U.S. Government Bonds					0	0	0
1099999	Total - All Other Government Bonds					0	0	0
1799999	Total - U.S. States, Territories and Possessions Bonds					0	0	0
2499999	Total - U.S. Political Subdivisions Bonds					0	0	0
3199999	Total - U.S. Special Revenues Bonds					0	0	0
3899999	Total - Industrial and Miscellaneous (Unaffiliated) Bonds					0	0	0
4899999	Total - Hybrid Securities					0	0	0
5599999	Total - Parent, Subsidiaries and Affiliates Bonds					0	0	0
6099999	Subtotal - SVO Identified Funds					0	0	0
7799999	Total - Issuer Obligations					0	0	0
7899999	Total - Residential Mortgage-Backed Securities					0	0	0
7999999	Total - Commercial Mortgage-Backed Securities					0	0	0
8099999	Total - Other Loan-Backed and Structured Securities					0	0	0
8199999	Total - SVO Identified Funds					0	0	0
8399999	Total Bonds					0	0	0
31846V-41-9	FIRST AMER TRS OBG V	SD	.09/05/2018	1.900	XXX	50,071	72	495
94975H-29-6	WELLS FRGO TREASURY PLUS CL I MMF	SD	.09/05/2018	2.010	XXX	25,172	38	270
8599999	Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO					75,243	110	765
60934N-80-7	FEDERATED GOVT OBL SVC		.09/27/2018	1.760	XXX	142,636	683	0
60934N-80-7	FEDERATED GOVT OBL SVC	SD	.09/18/2018	1.760	XXX	4,704	180	0
990220-47-7	RBS CITIZENS NA CASH SWEEP	SD	.09/04/2018	0.000	XXX	.1	0	.1
991061-05-2	CRA (BNY MELLON, N.A., MEMBER FDIC)		.09/28/2018	0.000	XXX	581,834	0	461
8699999	Subtotal - All Other Money Market Mutual Funds					729,174	863	462
8899999	Total Cash Equivalents					804,418	973	1,227