



QUARTERLY STATEMENT

As of September 30, 2018
of the Condition and Affairs of the

TRANSAMERICA CASUALTY INSURANCE COMPANY

| | | |
|-----------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|
| NAIC Group Code.....0468, 0468 | NAIC Company Code..... 10952 | Employer's ID Number..... 31-4423946 |
| (Current Period) (Prior Period) | | |
| Organized under the Laws of IA | State of Domicile or Port of Entry IA | Country of Domicile US |
| Incorporated/Organized..... November 15, 1957 | Commenced Business..... April 15, 1958 | |
| Statutory Home Office | 6400 C Street SW .. Cedar Rapids .. IA .. US .. 52499 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i> | |
| Main Administrative Office | 6400 C Street SW .. Cedar Rapids .. IA .. US .. 52499 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i> | 319-355-8511 <i>(Area Code) (Telephone Number)</i> |
| Mail Address | 6400 C Street SW .. Cedar Rapids .. IA .. US .. 52499 <i>(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)</i> | |
| Primary Location of Books and Records | 6400 C Street SW .. Cedar Rapids .. IA .. US .. 52499 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i> | 319-355-8511 <i>(Area Code) (Telephone Number)</i> |
| Internet Web Site Address | | |
| Statutory Statement Contact | Brenda Kay Kraemer <i>(Name)</i> brenda.kraemer@transamerica.com <i>(E-Mail Address)</i> | 319-355-8549 <i>(Area Code) (Telephone Number) (Extension)</i> 800-235-4782 <i>(Fax Number)</i> |

OFFICERS

| Name | Title | Name | Title |
|----------------------|----------------------------------------------------------|------------------|--------------------------------------------------------|
| 1. Blake S. Bostwick | President & Chief Technology Officer | 2. Jason Orlandi | Executive Vice President, Secretary, & General Counsel |
| 3. Eric J. Martin | Senior Vice President, Controller, & Assistant Treasurer | 4. | |

OTHER

DIRECTORS OR TRUSTEES

| | | | |
|-----------------------------------|------------------------|----------------|---------------|
| Blake S. Bostwick David Schulz | C. Michiel van Katwijk | Mark W. Mullin | Jason Orlandi |
|-----------------------------------|------------------------|----------------|---------------|

State of..... Iowa
County of..... Linn

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

| | | |
|----------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|
| _____ (Signature) Blake S. Bostwick 1. (Printed Name) President & Chief Technology Officer _____ (Title) | _____ (Signature) Jason Orlandi 2. (Printed Name) Executive Vice President, Secretary, & General Counsel _____ (Title) | _____ (Signature) Eric J. Martin 3. (Printed Name) Senior Vice President, Controller, & Assistant Treasurer _____ (Title) |
|----------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|

Subscribed and sworn to before me
This 13th day of November 2018

a. Is this an original filing? Yes [X] No []
b. If no: 1. State the amendment number _____
2. Date filed _____
3. Number of pages attached _____

ASSETS

| | Current Statement Date | | | 4 December 31 Prior Year Net Admitted Assets |
|------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------------------------------------------|-------------------------------------------------------|
| | 1 Assets | 2 Nonadmitted Assets | 3 Net Admitted Assets (Cols. 1 - 2) | |
| 1. Bonds..... | 296,337,868 | 0 | 296,337,868 | 297,920,712 |
| 2. Stocks: | | | | |
| 2.1 Preferred stocks..... | 0 | 0 | 0 | 0 |
| 2.2 Common stocks..... | 0 | 0 | 0 | 0 |
| 3. Mortgage loans on real estate: | | | | |
| 3.1 First liens..... | 0 | 0 | 0 | 0 |
| 3.2 Other than first liens..... | 0 | 0 | 0 | 0 |
| 4. Real estate: | | | | |
| 4.1 Properties occupied by the company (less \$.....0 encumbrances)..... | 0 | 0 | 0 | 0 |
| 4.2 Properties held for the production of income (less \$.....0 encumbrances)..... | 0 | 0 | 0 | 0 |
| 4.3 Properties held for sale (less \$.....0 encumbrances)..... | 0 | 0 | 0 | 0 |
| 5. Cash (\$....(2,690,251)), cash equivalents (\$....10,428,392) and short-term investments (\$.....0)..... | 7,738,141 | 0 | 7,738,141 | 104,856,251 |
| 6. Contract loans (including \$.....0 premium notes)..... | 0 | 0 | 0 | 0 |
| 7. Derivatives..... | 0 | 0 | 0 | 0 |
| 8. Other invested assets..... | 1,146,099 | 0 | 1,146,099 | 1,167,410 |
| 9. Receivables for securities..... | 1,979,531 | 0 | 1,979,531 | 0 |
| 10. Securities lending reinvested collateral assets..... | 15,292,467 | 0 | 15,292,467 | 18,954,606 |
| 11. Aggregate write-ins for invested assets..... | 0 | 0 | 0 | 0 |
| 12. Subtotals, cash and invested assets (Lines 1 to 11)..... | 322,494,106 | 0 | 322,494,106 | 422,898,979 |
| 13. Title plants less \$.....0 charged off (for Title insurers only)..... | 0 | 0 | 0 | 0 |
| 14. Investment income due and accrued..... | 2,599,445 | 170,200 | 2,429,245 | 2,529,128 |
| 15. Premiums and considerations: | | | | |
| 15.1 Uncollected premiums and agents' balances in the course of collection..... | 1,613,417 | 0 | 1,613,417 | 22,028,248 |
| 15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums)..... | 0 | 0 | 0 | 0 |
| 15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0)..... | 0 | 0 | 0 | 0 |
| 16. Reinsurance: | | | | |
| 16.1 Amounts recoverable from reinsurers..... | 5,142,573 | 0 | 5,142,573 | 5,790,066 |
| 16.2 Funds held by or deposited with reinsured companies..... | 0 | 0 | 0 | 0 |
| 16.3 Other amounts receivable under reinsurance contracts..... | 1,052 | 0 | 1,052 | 0 |
| 17. Amounts receivable relating to uninsured plans..... | 0 | 0 | 0 | 0 |
| 18.1 Current federal and foreign income tax recoverable and interest thereon..... | 0 | 0 | 0 | 0 |
| 18.2 Net deferred tax asset..... | 1,160,754 | 485,845 | 674,909 | 1,588,403 |
| 19. Guaranty funds receivable or on deposit..... | 56,099 | 0 | 56,099 | 48,216 |
| 20. Electronic data processing equipment and software..... | 0 | 0 | 0 | 0 |
| 21. Furniture and equipment, including health care delivery assets (\$.....0)..... | 0 | 0 | 0 | 0 |
| 22. Net adjustment in assets and liabilities due to foreign exchange rates..... | 0 | 0 | 0 | 0 |
| 23. Receivables from parent, subsidiaries and affiliates..... | 0 | 0 | 0 | 0 |
| 24. Health care (\$.....0) and other amounts receivable..... | 0 | 0 | 0 | 0 |
| 25. Aggregate write-ins for other than invested assets..... | 779,236 | 0 | 779,236 | 649,120 |
| 26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25)..... | 333,846,682 | 656,045 | 333,190,637 | 455,532,160 |
| 27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts..... | 0 | 0 | 0 | 0 |
| 28. Total (Lines 26 and 27)..... | 333,846,682 | 656,045 | 333,190,637 | 455,532,160 |

DETAILS OF WRITE-INS

| | | | | |
|--------------------------------------------------------------------------|---------|---|---------|---------|
| 1101..... | 0 | 0 | 0 | 0 |
| 1102..... | 0 | 0 | 0 | 0 |
| 1103..... | 0 | 0 | 0 | 0 |
| 1198. Summary of remaining write-ins for Line 11 from overflow page..... | 0 | 0 | 0 | 0 |
| 1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above)..... | 0 | 0 | 0 | 0 |
| 2501. Accounts receivable..... | 770,664 | 0 | 770,664 | 641,219 |
| 2502. Investment receivables..... | 8,572 | 0 | 8,572 | 7,901 |
| 2503..... | 0 | 0 | 0 | 0 |
| 2598. Summary of remaining write-ins for Line 25 from overflow page..... | 0 | 0 | 0 | 0 |
| 2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above)..... | 779,236 | 0 | 779,236 | 649,120 |

**TRANSAMERICA CASUALTY INSURANCE COMPANY
LIABILITIES, SURPLUS AND OTHER FUNDS**

| | 1 Current Statement Date | 2 December 31 Prior Year |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------------|
| 1. Losses (current accident year \$.....9,436,597)..... | 34,285,320 | 71,647,855 |
| 2. Reinsurance payable on paid losses and loss adjustment expenses..... | 144,798 | 276,980 |
| 3. Loss adjustment expenses..... | 558,687 | 404,620 |
| 4. Commissions payable, contingent commissions and other similar charges..... | 1,862,282 | 11,671,839 |
| 5. Other expenses (excluding taxes, licenses and fees)..... | 23,784 | 47,114 |
| 6. Taxes, licenses and fees (excluding federal and foreign income taxes)..... | (4,941,747) | 3,643,986 |
| 7.1 Current federal and foreign income taxes (including \$....(152,654) on realized capital gains (losses))..... | 54,546 | 7,427,404 |
| 7.2 Net deferred tax liability..... | 0 | 0 |
| 8. Borrowed money \$.....5,080,662 and interest thereon \$....16,667..... | 5,097,329 | 10,324,870 |
| 9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$....8,766,221 and including warranty reserves of \$....(29,027) and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act)..... | 6,490,538 | 26,480,885 |
| 10. Advance premium..... | 56 | 222 |
| 11. Dividends declared and unpaid: | | |
| 11.1 Stockholders..... | 0 | 0 |
| 11.2 Policyholders..... | 0 | 0 |
| 12. Ceded reinsurance premiums payable (net of ceding commissions)..... | 0 | 1,268,903 |
| 13. Funds held by company under reinsurance treaties..... | 13,326,040 | 21,855,813 |
| 14. Amounts withheld or retained by company for account of others..... | 0 | 0 |
| 15. Remittances and items not allocated..... | 140,558 | 223,456 |
| 16. Provision for reinsurance (including \$.....0 certified)..... | 3,992,000 | 5,462,000 |
| 17. Net adjustments in assets and liabilities due to foreign exchange rates..... | 0 | 0 |
| 18. Drafts outstanding..... | 0 | 0 |
| 19. Payable to parent, subsidiaries and affiliates..... | 2,661,642 | 22,301,422 |
| 20. Derivatives..... | 0 | 0 |
| 21. Payable for securities..... | 37 | 0 |
| 22. Payable for securities lending..... | 15,292,467 | 18,954,606 |
| 23. Liability for amounts held under uninsured plans..... | 0 | 0 |
| 24. Capital notes \$.....0 and interest thereon \$.....0..... | 0 | 0 |
| 25. Aggregate write-ins for liabilities..... | (19,537,446) | (20,741,898) |
| 26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)..... | 59,450,891 | 181,250,077 |
| 27. Protected cell liabilities..... | 0 | 0 |
| 28. Total liabilities (Lines 26 and 27)..... | 59,450,891 | 181,250,077 |
| 29. Aggregate write-ins for special surplus funds..... | 0 | 0 |
| 30. Common capital stock..... | 8,724,386 | 8,724,386 |
| 31. Preferred capital stock..... | 0 | 0 |
| 32. Aggregate write-ins for other than special surplus funds..... | 0 | 0 |
| 33. Surplus notes..... | 0 | 0 |
| 34. Gross paid in and contributed surplus..... | 172,217,108 | 172,217,108 |
| 35. Unassigned funds (surplus)..... | 92,798,252 | 93,340,589 |
| 36. Less treasury stock, at cost: | | |
| 36.10.000 shares common (value included in Line 30 \$.....0)..... | 0 | 0 |
| 36.20.000 shares preferred (value included in Line 31 \$.....0)..... | 0 | 0 |
| 37. Surplus as regards policyholders (Lines 29 to 35, less 36)..... | 273,739,746 | 274,282,083 |
| 38. Totals (Page 2, Line 28, Col. 3)..... | 333,190,637 | 455,532,160 |

DETAILS OF WRITE-INS

| | | |
|--------------------------------------------------------------------------|--------------|--------------|
| 2501. Contingency reserves..... | 1,500,000 | 1,500,000 |
| 2502. Accident and sickness active life reserves..... | 169,294 | 167,082 |
| 2503. Retroactive reinsurance reserve ceded..... | (21,206,740) | (22,408,980) |
| 2598. Summary of remaining write-ins for Line 25 from overflow page..... | 0 | 0 |
| 2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above)..... | (19,537,446) | (20,741,898) |
| 2901. | 0 | 0 |
| 2902. | 0 | 0 |
| 2903. | 0 | 0 |
| 2998. Summary of remaining write-ins for Line 29 from overflow page..... | 0 | 0 |
| 2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above)..... | 0 | 0 |
| 3201. | 0 | 0 |
| 3202. | 0 | 0 |
| 3203. | 0 | 0 |
| 3298. Summary of remaining write-ins for Line 32 from overflow page..... | 0 | 0 |
| 3299. Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above)..... | 0 | 0 |

TRANSAMERICA CASUALTY INSURANCE COMPANY

STATEMENT OF INCOME

| | 1 Current Year to Date | 2 Prior Year to Date | 3 Prior Year Ended December 31 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------|--------------------------------------|
| UNDERWRITING INCOME | | | |
| 1. Premiums earned: | | | |
| 1.1 Direct..... (written \$.....22,137,108)..... | 48,967,158 | 317,945,565 | 412,471,528 |
| 1.2 Assumed..... (written \$.....0)..... | 115,059 | 19,465 | 24,036 |
| 1.3 Ceded..... (written \$.....431,336)..... | 5,793,033 | 24,127,308 | 31,567,206 |
| 1.4 Net..... (written \$.....21,705,772)..... | 43,289,184 | 293,837,722 | 380,928,358 |
| DEDUCTIONS: | | | |
| 2. Losses incurred (current accident year \$.....59,838,735): | | | |
| 2.1 Direct..... | 38,987,117 | 186,250,589 | 233,973,960 |
| 2.2 Assumed..... | (458,646) | (206,054) | (2,612,731) |
| 2.3 Ceded..... | 3,145,189 | 22,245,931 | 25,988,449 |
| 2.4 Net..... | 35,383,282 | 163,798,604 | 205,372,780 |
| 3. Loss adjustment expenses incurred..... | 614,826 | 161,213 | 1,436,512 |
| 4. Other underwriting expenses incurred..... | 17,456,702 | 125,712,065 | 158,131,362 |
| 5. Aggregate write-ins for underwriting deductions..... | 0 | 0 | 0 |
| 6. Total underwriting deductions (Lines 2 through 5)..... | 53,454,810 | 289,671,882 | 364,940,654 |
| 7. Net income of protected cells..... | 0 | 0 | 0 |
| 8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7)..... | (10,165,626) | 4,165,840 | 15,987,704 |
| INVESTMENT INCOME | | | |
| 9. Net investment income earned..... | 9,552,322 | 8,517,338 | 11,391,668 |
| 10. Net realized capital gains (losses) less capital gains tax of \$.....(297,876)..... | (1,121,550) | (313,133) | (310,838) |
| 11. Net investment gain (loss) (Lines 9 + 10)..... | 8,430,772 | 8,204,205 | 11,080,830 |
| OTHER INCOME | | | |
| 12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$.....0 amount charged off \$.....0)..... | 0 | 0 | 0 |
| 13. Finance and service charges not included in premiums..... | 0 | 0 | 0 |
| 14. Aggregate write-ins for miscellaneous income..... | (227,945) | (121,296) | (3,533,381) |
| 15. Total other income (Lines 12 through 14)..... | (227,945) | (121,296) | (3,533,381) |
| 16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)..... | (1,962,799) | 12,248,749 | 23,535,153 |
| 17. Dividends to policyholders..... | 0 | 0 | 0 |
| 18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)..... | (1,962,799) | 12,248,749 | 23,535,153 |
| 19. Federal and foreign income taxes incurred..... | (1,122,623) | 5,203,577 | 7,473,976 |
| 20. Net income (Line 18 minus Line 19) (to Line 22)..... | (840,176) | 7,045,172 | 16,061,177 |
| CAPITAL AND SURPLUS ACCOUNT | | | |
| 21. Surplus as regards policyholders, December 31 prior year..... | 274,282,083 | 179,508,691 | 179,508,691 |
| 22. Net income (from Line 20)..... | (840,176) | 7,045,172 | 16,061,177 |
| 23. Net transfers (to) from Protected Cell accounts..... | 0 | 0 | 0 |
| 24. Change in net unrealized capital gains or (losses) less capital gains tax of \$.....(29,078)..... | (109,389) | 164,421 | 45,631 |
| 25. Change in net unrealized foreign exchange capital gain (loss)..... | 0 | 0 | 0 |
| 26. Change in net deferred income tax..... | (456,727) | 895,533 | (1,749,052) |
| 27. Change in nonadmitted assets..... | (606,045) | 61,426 | 587,527 |
| 28. Change in provision for reinsurance..... | 1,470,000 | (233,847) | (171,891) |
| 29. Change in surplus notes..... | 0 | 0 | 0 |
| 30. Surplus (contributed to) withdrawn from protected cells..... | 0 | 0 | 0 |
| 31. Cumulative effect of changes in accounting principles..... | 0 | 0 | 0 |
| 32. Capital changes: | | | |
| 32.1 Paid in..... | 0 | 0 | 0 |
| 32.2 Transferred from surplus (Stock Dividend)..... | 0 | 0 | 0 |
| 32.3 Transferred to surplus..... | 0 | 0 | 0 |
| 33. Surplus adjustments: | | | |
| 33.1 Paid in..... | 0 | 0 | 80,000,000 |
| 33.2 Transferred to capital (Stock Dividend)..... | 0 | 0 | 0 |
| 33.3 Transferred from capital..... | 0 | 0 | 0 |
| 34. Net remittances from or (to) Home Office..... | 0 | 0 | 0 |
| 35. Dividends to stockholders..... | 0 | 0 | 0 |
| 36. Change in treasury stock..... | 0 | 0 | 0 |
| 37. Aggregate write-ins for gains and losses in surplus..... | 0 | 0 | 0 |
| 38. Change in surplus as regards policyholders (Lines 22 through 37)..... | (542,337) | 7,932,705 | 94,773,392 |
| 39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)..... | 273,739,746 | 187,441,396 | 274,282,083 |
| DETAILS OF WRITE-INS | | | |
| 0501. | 0 | 0 | 0 |
| 0502. | 0 | 0 | 0 |
| 0503. | 0 | 0 | 0 |
| 0598. Summary of remaining write-ins for Line 5 from overflow page..... | 0 | 0 | 0 |
| 0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above)..... | 0 | 0 | 0 |
| 1401. Miscellaneous income..... | 207,100 | 475,155 | 487,234 |
| 1402. Accident and sickness active life reserve..... | (2,212) | 1,940 | 4,702 |
| 1403. Funds withheld ceded investment income..... | (432,833) | (580,266) | (737,403) |
| 1498. Summary of remaining write-ins for Line 14 from overflow page..... | 0 | (18,125) | (3,287,914) |
| 1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above)..... | (227,945) | (121,296) | (3,533,381) |
| 3701. | 0 | 0 | 0 |
| 3702. | 0 | 0 | 0 |
| 3703. | 0 | 0 | 0 |
| 3798. Summary of remaining write-ins for Line 37 from overflow page..... | 0 | 0 | 0 |
| 3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above)..... | 0 | 0 | 0 |

TRANSAMERICA CASUALTY INSURANCE COMPANY

CASH FLOW

| | 1 Current Year to Date | 2 Prior Year To Date | 3 Prior Year Ended December 31 |
|---------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------|--------------------------------------|
| CASH FROM OPERATIONS | | | |
| 1. Premiums collected net of reinsurance..... | 42,444,599 | 289,156,465 | 369,665,415 |
| 2. Net investment income..... | 9,822,409 | 9,057,192 | 11,976,983 |
| 3. Miscellaneous income..... | (227,945) | (121,296) | (3,533,382) |
| 4. Total (Lines 1 through 3)..... | 52,039,063 | 298,092,361 | 378,109,016 |
| 5. Benefit and loss related payments..... | 72,231,558 | 159,558,939 | 212,187,608 |
| 6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts..... | 0 | 0 | 0 |
| 7. Commissions, expenses paid and aggregate write-ins for deductions..... | 36,343,964 | 124,811,548 | 158,658,390 |
| 8. Dividends paid to policyholders..... | 0 | 0 | 0 |
| 9. Federal and foreign income taxes paid (recovered) net of \$.....(297,876) tax on capital gains (losses)..... | 5,952,359 | 230,631 | 244,281 |
| 10. Total (Lines 5 through 9)..... | 114,527,881 | 284,601,118 | 371,090,279 |
| 11. Net cash from operations (Line 4 minus Line 10)..... | (62,488,818) | 13,491,243 | 7,018,737 |
| CASH FROM INVESTMENTS | | | |
| 12. Proceeds from investments sold, matured or repaid: | | | |
| 12.1 Bonds..... | 97,423,118 | 56,312,674 | 75,558,708 |
| 12.2 Stocks..... | 0 | 0 | 0 |
| 12.3 Mortgage loans..... | 0 | 0 | 0 |
| 12.4 Real estate..... | 0 | 0 | 0 |
| 12.5 Other invested assets..... | 1,513 | 0 | 0 |
| 12.6 Net gains or (losses) on cash, cash equivalents and short-term investments..... | (2,372) | 363 | (6,147) |
| 12.7 Miscellaneous proceeds..... | 3,662,361 | 8,299,047 | 4,339,727 |
| 12.8 Total investment proceeds (Lines 12.1 to 12.7)..... | 101,084,620 | 64,612,084 | 79,892,288 |
| 13. Cost of investments acquired (long-term only): | | | |
| 13.1 Bonds..... | 97,729,084 | 35,146,252 | 88,523,675 |
| 13.2 Stocks..... | 0 | 0 | 0 |
| 13.3 Mortgage loans..... | 0 | 0 | 0 |
| 13.4 Real estate..... | 0 | 0 | 0 |
| 13.5 Other invested assets..... | 0 | 0 | 0 |
| 13.6 Miscellaneous applications..... | 1,979,531 | 55,657 | 55,662 |
| 13.7 Total investments acquired (Lines 13.1 to 13.6)..... | 99,708,615 | 35,201,909 | 88,579,337 |
| 14. Net increase or (decrease) in contract loans and premium notes..... | 0 | 0 | 0 |
| 15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)..... | 1,376,005 | 29,410,175 | (8,687,049) |
| CASH FROM FINANCING AND MISCELLANEOUS SOURCES | | | |
| 16. Cash provided (applied): | | | |
| 16.1 Surplus notes, capital notes..... | 0 | 0 | 0 |
| 16.2 Capital and paid in surplus, less treasury stock..... | 0 | 0 | 80,000,000 |
| 16.3 Borrowed funds..... | (5,215,041) | (20,499,614) | (10,203,911) |
| 16.4 Net deposits on deposit-type contracts and other insurance liabilities..... | 0 | 0 | 0 |
| 16.5 Dividends to stockholders..... | 0 | 0 | 0 |
| 16.6 Other cash provided (applied)..... | (30,790,256) | (14,892,305) | (12,568,602) |
| 17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6)..... | (36,005,297) | (35,391,919) | 57,227,487 |
| RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS | | | |
| 18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17)..... | (97,118,110) | 7,509,499 | 55,559,175 |
| 19. Cash, cash equivalents and short-term investments: | | | |
| 19.1 Beginning of year..... | 104,856,251 | 49,297,076 | 49,297,076 |
| 19.2 End of period (Line 18 plus Line 19.1)..... | 7,738,141 | 56,806,575 | 104,856,251 |
| Note: Supplemental disclosures of cash flow information for non-cash transactions: | | | |
| 20.0001 Unrealized gain/loss on cash equivalents..... | 741 | 0 | (709) |

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies

A. Accounting Practices

The financial statements of Transamerica Casualty Insurance Company (TCIC) are presented on the basis of accounting practices prescribed or permitted by the Iowa Insurance Division. The Iowa Insurance Division recognizes only statutory accounting practices prescribed or permitted by the State of Iowa for determining and reporting the financial condition and results of operations of an insurance company for determining its solvency under Iowa Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures Manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Iowa. While the Commissioner of Insurance has the right to permit specific practices that deviate from prescribed practices, none are included within this financial statement.

A reconciliation of the Company's net income (loss) and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Iowa is shown below:

| | SSAP # | F/S Page | F/S Line # | September 30, 2018 | December 31, 2017 |
|--------------------------------------------------------------------------|--------|-------------|---------------|-----------------------|-----------------------|
| NET INCOME (LOSS) | | | | | |
| (1) TCIC state basis (Page 4, Line 20, Column 1 & 3) | XXX | XXX | XXX | \$ (840,176) | \$ 16,061,177 |
| (2) State Prescribed Practices that increase(decrease) NAIC SAP: None | | | | - | - |
| (3) State Permitted Practice that increase(decrease) NAIC SAP: None | | | | - | - |
| (4) NAIC SAP | XXX | XXX | XXX | <u>\$ (840,176)</u> | <u>\$ 16,061,177</u> |
| SURPLUS | | | | | |
| (5) TCIC state basis (Page 3, Line 37, Column 1 & 2) | XXX | XXX | XXX | \$ 273,739,746 | \$ 274,282,083 |
| (6) State Prescribed Practices that increase(decrease) NAIC SAP: None | | | | - | - |
| (7) State Permitted Practices that increase(decrease) NAIC SAP: None | | | | - | - |
| (8) NAIC SAP | XXX | XXX | XXX | <u>\$ 273,739,746</u> | <u>\$ 274,282,083</u> |

C. Accounting Policy

(6) Investments in loan-backed securities are stated at amortized cost, except those with an initial NAIC designation of 3 through 6, which are stated at the lower of amortized cost or fair value. The retrospective adjustment method is used to value all loan-backed securities unless the security has been impaired.

D. Going Concern

Management has evaluated the ability of the Company to continue as a going concern and has determined that no substantial doubt exists with regard to the Company's ability to meet its obligations as they become due within one year after the issuance of the financial statements.

2. Accounting Changes and Corrections of Errors

Effective January 1, 2018, the NAIC adopted revisions to SSAP No. 100, *Fair Value Measurements*, which allow net asset value (NAV) per share as a practical expedient to fair value, either when specifically named in a SSAP or when specific conditions exist. These revisions are considered substantive changes as the use of NAV is a new concept being reflected within SSAP No. 100. The revisions adopt *ASU 2009-12: Investments in Certain Entities that Calculate Net Asset Value Per Share (or Its Equivalent)* and *ASU 2015-07: Disclosures for Investments in Certain Entities that Calculate Net Asset Value Per Share (or Its Equivalent)*. The adoption of this guidance did not impact the financial position or results of operations of the Company.

3. Business Combinations and Goodwill - Not applicable.

4. Discontinued Operations - No significant change.

5. Investments

D. Loan-Backed Securities

(1) Prepayment assumptions were obtained from BlackRock or Bloomberg prepayment models. If assumptions were not available from these sources, internal estimates were used.

NOTES TO FINANCIAL STATEMENTS

- (2) The following loan-backed securities recognized other-than-temporary impairment (OTTI) due to intent to sell or lack of intent and ability to hold during the nine months ended September 30, 2018.

| | 1 Amortized Cost Basis Before OTTI | 2 OTTI Recognized in Loss | | 3 Fair Value 1 – (2a + 2b) |
|---------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|------------------------------|--------------------|----------------------------------|
| | | 2a Interest | 2b Non-interest | |
| OTTI recognized 1st quarter | | | | |
| a. Intent to sell | \$ - | \$ - | \$ - | \$ - |
| b. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis | - | - | - | - |
| c. Total 1st quarter | \$ - | \$ - | \$ - | \$ - |
| OTTI recognized 2nd quarter | | | | |
| d. Intent to sell | \$ - | \$ - | \$ - | \$ - |
| e. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis | - | - | - | - |
| f. Total 2nd quarter | \$ - | \$ - | \$ - | \$ - |
| OTTI recognized 3rd quarter | | | | |
| g. Intent to sell | \$ 5,536,405 | \$ 56,148 | \$ - | \$ 5,480,257 |
| h. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis | - | - | - | - |
| i. Total 3rd quarter | \$ 5,536,405 | \$ 56,148 | \$ - | \$ 5,480,257 |
| OTTI recognized 4th quarter | | | | |
| j. Intent to sell | \$ - | \$ - | \$ - | \$ - |
| k. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis | - | - | - | - |
| l. Total 4th quarter | \$ - | \$ - | \$ - | \$ - |
| m. Aggregate total | \$ 5,536,405 | \$ 56,148 | \$ - | \$ 5,480,257 |

- (3) The following loan-backed and structured securities were held at September 30, 2018, for which an OTTI had been recognized during the current reporting period:

| 1 CUSIP | 2 Book/Adj Carrying Value Amortized Cost Before Current Period OTTI | 3 Present Value of Projected Cash Flows | 4 Recognized OTTI | 5 Amortized Cost After OTTI | 6 Fair Value at Time of OTTI | 7 Date of Financial Statement Where Reported |
|------------|------------------------------------------------------------------------------------|--------------------------------------------------|-------------------------|-----------------------------------|------------------------------------|-------------------------------------------------------------|
| 52522QAM4 | \$ 230,393 | \$ 227,371 | \$ 3,022 | \$ 227,371 | \$ 223,554 | 3/31/2018 |
| 65536PAA8 | 23,836 | 23,371 | 465 | 23,371 | 17,865 | 3/31/2018 |
| 65536PAA8 | 22,877 | 18,437 | 4,440 | 18,437 | 17,322 | 6/30/2018 |
| 46640JAW7 | 2,038,474 | 2,015,397 | 23,077 | 2,015,397 | 2,015,446 | 9/30/2018 |
| 553891AA0 | 595,563 | 581,985 | 13,578 | 581,985 | 581,980 | 9/30/2018 |
| 284157AA2 | 626,150 | 612,514 | 13,636 | 612,514 | 612,481 | 9/30/2018 |
| 82652EAB4 | 564,686 | 560,909 | 3,777 | 560,909 | 560,923 | 9/30/2018 |
| 83405AAA2 | 1,711,531 | 1,709,452 | 2,079 | 1,709,452 | 1,709,381 | 9/30/2018 |
| Total | xxx | xxx | \$ 64,074 | xxx | xxx | xxx |

- (4) The unrealized losses of loan-backed and structured securities where fair value is less than cost or amortized cost for which an OTTI has not been recognized in earnings as of September 30, 2018 were as follows:

| | | | |
|---------------------------------------------------------------------------|---------------------|----|------------|
| a. The aggregate amount of unrealized losses: | | | |
| 1. | Less than 12 months | \$ | 1,601,565 |
| 2. | 12 months or longer | \$ | 380,293 |
| b. The aggregate related fair value of securities with unrealized losses: | | | |
| 1. | Less than 12 months | \$ | 69,024,758 |
| 2. | 12 months or longer | \$ | 13,812,694 |

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

- (1) For mortgage-backed securities dollar repurchase agreements, 100% of the fair market value of the securities is transferred by the counterparty to the Company as collateral. Cash received as collateral will be invested as needed or used for general corporate purposes of the Company. At September 30, 2018, securities with a book value of \$5,098,631 and a fair value of \$5,069,709 were subject to dollar repurchase agreements. This security has a maturity date of 08/01/2048.

(3) Collateral Received

- b. The fair value of that collateral and of the portion of that collateral that it has sold or pledged \$23,358,586

M. Working Capital Finance Investments - None.

N. Offsetting and Netting of Assets and Liabilities - Not applicable.

Q. Short Sales - None.

NOTES TO FINANCIAL STATEMENTS

R. Prepayment Penalties and Acceleration Fees

The number of CUSIPs and aggregate amount of investment income generated as a result of prepayment penalties and/or acceleration fees for the nine months ended September 30, 2018, are as follows:

| | General Account | Separate Account |
|-------------------------------------------|-----------------|------------------|
| (1) Number of CUSIPs | 2 | - |
| (2) Aggregate amount of investment income | \$ 152,588 | \$ - |

6. Joint Ventures, Partnerships, and Limited Liability Companies - No significant change.

7. Investment Income - No significant change.

8. Derivative Instruments - None.

9. Income Taxes - No significant change.

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties - No significant change.

11. Debt

A. The Company has an outstanding liability for borrowed money in the amount of \$5,097,329 due to participation in dollar repurchase agreements which includes accrued interest of \$16,667.

B. FHLB (Federal Home Loan Bank) Agreements - None.

12. Retirement Plans, Deferred Compensation, Post-Employment Benefits and Compensated Absences, and Other Post-Retirement Benefit Plans

A. Defined Benefit Plan - Not applicable.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations - No significant change.

14. Liabilities, Contingencies, and Assessments - No significant change.

15. Leases - None.

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk - None.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

B. Transfer and Servicing of Financial Assets

(2) Not applicable.

(4) a. and b. Not applicable.

C. Wash Sales - None.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans - None.

19. Direct Premiums Written/Produced by Managing General Agents/Third Party Administrators - No significant change.

20. Fair Value Measurements

A. (1) Fair Value Measurements at Reporting Date

The following table provides information about the Company's financial assets and liabilities measured at fair value as of September 30, 2018:

| (1) Description | (2) (Level 1) | (3) (Level 2) | (4) (Level 3) | (5) Total |
|---------------------------------------|------------------|------------------|------------------|---------------|
| a. Assets at fair value | | | | |
| Bonds | | | | |
| Government | \$ - | \$ 1,018,500 | \$ - | \$ 1,018,500 |
| Industrial and misc | - | 3,010,749 | - | 3,010,749 |
| Total bonds | \$ - | \$ 4,029,249 | \$ - | \$ 4,029,249 |
| Cash equivalents and short-term | | | | |
| Government | \$ - | \$ 9,785,703 | \$ - | \$ 9,785,703 |
| Money market mutual funds | 642,686 | - | - | 642,686 |
| Total cash equivalents and short-term | \$ 642,686 | \$ 9,785,703 | \$ - | \$ 10,428,389 |
| Total assets at fair value | \$ 642,686 | \$ 13,814,952 | \$ - | \$ 14,457,638 |
| b. Liabilities at fair value | | | | |
| Total liabilities at fair value | \$ - | \$ - | \$ - | \$ - |

Transfers from Level 1 to Level 2 for short term securities were attributable to money market mutual funds being valued using vendor pricing, subsequently changed to being valued at amortized cost during 3Q 2018.

(2) Fair Value Measurements in Level 3 of the Fair Value Hierarchy - None.

(3) The Company's policy is to recognize transfers in and out of Level 3 as of the beginning of the reporting period.

NOTES TO FINANCIAL STATEMENTS

- (4) Cash equivalents are primarily money market mutual funds and are measured at fair value. For those securities with a pricing source of 'amortized cost'; amortized cost is a proxy for fair value.

Bonds classified in Level 2 are valued using inputs from third party pricing services or broker quotes.

Short-term investments are classified as Level 2 and carried at amortized cost or fair value. Because of the highly liquid nature of these assets, carrying amounts are used to approximate fair value when amortized cost is used.

B. Other Fair Value Disclosures - None.

C. Fair Value Hierarchy by Type of Financial Instrument

The following table sets forth a comparison of the estimated fair values and carrying amounts of the Company's financial instruments, including those not measured at fair value in the balance sheets, as of September 30, 2018:

| (1) Type of Financial Instrument | (2) Aggregate Fair Value | (3) Admitted Assets/Liabilities | (4) (Level 1) | (5) (Level 2) | (6) (Level 3) |
|------------------------------------------------------------------|-----------------------------|---------------------------------------|------------------|------------------|------------------|
| Admitted assets: | | | | | |
| Cash equivalents & short-term investments, other than affiliates | \$ 10,428,389 | \$ 10,428,392 | \$ 642,686 | \$ 9,785,703 | \$ - |
| Bonds | 295,770,876 | 296,337,868 | 15,409,361 | 271,049,015 | 9,312,500 |
| Other long term | 1,133,195 | 1,111,613 | - | 1,133,195 | - |
| Securities lending reinvested collateral | 15,042,469 | 15,042,469 | - | 15,042,469 | - |
| Liabilities: | | | | | |
| Payable to parent, subsidiaries and affiliates | \$ 2,661,642 | \$ 2,661,642 | \$ - | \$ 2,661,642 | \$ - |

D. Not Practicable to Estimate Fair Value - Not applicable.

21. Other Items

The Company has re-domesticated to an Iowa property and casualty insurance company from an Ohio property and casualty insurance company effective September 30, 2018. Under the Restated Articles of Incorporation and Re-domestication, the Company possesses and shall continue to possess all privileges, franchises and powers to the same extent as if it had been originally incorporated under the laws of the State of Iowa and the Company's initial date of authorization as an insurer in Ohio as of November 15, 1957 was preserved. The National Association of Insurance Commissioners (NAIC) Accounting Practices and Procedures Manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Iowa and therefore the effects of the re-domestication on the accounting practices used by the Company are expected to be immaterial.

Commutations with several reinsurers have been completed during 2018 as the Company moves a closed block of Workers Compensation business to a single reinsurer. After releasing outstanding reinsurance recoverables, the Company received consideration of \$1,299,645, releasing the reinsurers of all claims, obligations, liabilities and demands. The case reserves and IBNR at the time of the commutations were \$783,179 and \$1,347,632, respectively. The transactions resulted in a pretax loss of \$831,166, which has been included in the Statement of Income.

22. Events Subsequent

The financial statements are adjusted to reflect events that occurred between the balance sheet date and the date when the financial statements are available to be issued, provided they give evidence of conditions that existed at the balance sheet date (Type I). Events that are indicative of conditions that arose after the balance sheet date are disclosed, but do not result in an adjustment of the financial statements themselves (Type II). The Company has not identified any Type I or Type II subsequent events for the quarter ended September 30, 2018 through November 13, 2018.

23. Reinsurance - No significant change.

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination - None.

25. Change in Incurred Losses and Loss Adjustment Expenses - No significant change.

26. Intercompany Pooling Arrangements - None.

27. Structured Settlements - No significant change.

28. Health Care Receivables - None.

29. Participating Policies - None.

30. Premium Deficiency Reserves - No significant change.

31. High Deductibles - None.

32. Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses - None.

33. Asbestos/Environmental Reserves - No significant change.

34. Subscriber Savings Accounts - None.

35. Multiple Peril Crop Insurance - None.

36. Financial Guaranty Insurance - No significant change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [X] No []
- 2.2 If yes, date of change: 09/30/2018
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? If yes, complete Schedule Y, Parts 1 and 1A. Yes [X] No []
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
-
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [X] No []
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. 769218
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.
- | 1 | 2 | 3 |
|----------------|-------------------|-------------------|
| Name of Entity | NAIC Company Code | State of Domicile |
| | 0 | |
5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? If yes, attach an explanation. Yes [] No [X] N/A []
-
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2014
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2014
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 06/17/2016
- 6.4 By what department or departments?
-
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [X] No [] N/A []
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
-
- 8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
-
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No [X]
- 8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].
- | 1 | 2 | 3 | 4 | 5 | 6 |
|----------------|------------------------|-----|-----|------|-----|
| Affiliate Name | Location (City, State) | FRB | OCC | FDIC | SEC |
| | | | | | |
- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
-
- 9.2 Has the code of ethics for senior managers been amended? Yes [] No [X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
-
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No [X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No [X]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [X] No []
- 11.2 If yes, give full and complete information relating thereto:
There are assets subject to dollar repurchase agreements.
-
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 34,486
13. Amount of real estate and mortgages held in short-term investments: \$ 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [] No [X]
- 14.2 If yes, please complete the following:

| | 1 Prior Year End Book/Adjusted Carrying Value | 2 Current Quarter Book/Adjusted Carrying Value |
|-----------------------------------------------------------------------------------------------|--------------------------------------------------|---------------------------------------------------|
| 14.21 Bonds | \$ 0 | \$ 0 |
| 14.22 Preferred Stock | 0 | 0 |
| 14.23 Common Stock | 0 | 0 |
| 14.24 Short-Term Investments | 0 | 0 |
| 14.25 Mortgage Loans on Real Estate | 0 | 0 |
| 14.26 All Other | 0 | 0 |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$ 0 | \$ 0 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$ 0 | \$ 0 |

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No [X]
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No []
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 15,292,469
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 15,292,469
- 16.3 Total payable for securities lending reported on the liability page: \$ 15,292,467
17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [X] No []

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

| 1 Name of Custodian(s) | 2 Custodian Address |
|---------------------------|------------------------|
| BNY Mellon | New York, NY |
| JP Morgan | Brooklyn, NY |

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

| 1 Name(s) | 2 Location(s) | 3 Complete Explanation(s) |
|--------------|------------------|------------------------------|
| | | |

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [X] No []
- 17.4 If yes, give full and complete information relating thereto:

| 1 Old Custodian | 2 New Custodian | 3 Date of Change | 4 Reason |
|--------------------|----------------------|---------------------|------------------------------------------|
| JP Morgan | JP Morgan/BNY Mellon | | Correction to prior quarter information. |

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["...that have access to the investment accounts", "handle securities"].

| 1 Name of Firm or Individual | 2 Affiliation |
|--------------------------------------|------------------|
| AEGON USA Investment Management, LLC | A |

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's assets? Yes [] No []
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes [] No []

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

| 1 Central Registration Depository Number | 2 Name of Firm or Individual | 3 Legal Entity Identifier (LEI) | 4 Registered With | 5 Investment Management Agreement (IMA) Filed |
|---------------------------------------------|--------------------------------------|------------------------------------|----------------------|--------------------------------------------------|
| 114537 | AEGON USA Investment Management, LLC | 4DJF67XTB552L0E3L78 | SEC | DS |

- 18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes [X] No []
- 18.2 If no, list exceptions:

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

19. By self-designating 5*GI securities, the reporting entity is certifying the following elements for each self-designated 5*GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist.
 - b. Issuer or obligor is current on all contracted interest and principal payments.
 - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5*GI securities?

Yes [] No [X]

GENERAL INTERROGATORIES (continued)

PART 2 – PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [] No [X] N/A []
 If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [] No [X]
 If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [] No [X]
 3.2 If yes, give full and complete information thereto:

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves.") discounted at a rate of interest greater than zero? Yes [] No [X]
 4.2 If yes, complete the following schedule:

| 1 Line of Business | 2 Maximum Interest | 3 Disc. Rate | Total Discount | | | | Discount Taken During Period | | | |
|-----------------------|-----------------------|-----------------|--------------------|-----------------|-----------|------------|------------------------------|-----------------|------------|-------------|
| | | | 4 Unpaid Losses | 5 Unpaid LAE | 6 IBNR | 7 Total | 8 Unpaid Losses | 9 Unpaid LAE | 10 IBNR | 11 Total |
| | 0.000 | 0.000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | XXX | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

5.1 Operating Percentages:
 5.1 A&H loss percent 36.000%
 5.2 A&H cost containment percent 0.000%
 5.3 A&H expense percent excluding cost containment expenses 34.000%

6.1 Do you act as a custodian for health savings accounts? Yes [] No [X]
 6.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ 0

6.3 Do you act as an administrator for health savings accounts? Yes [] No [X]
 6.4 If yes, please provide the amount of funds administered as of the reporting date. \$ 0

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No []
 7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [] No []

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

| 1 NAIC Company Code | 2 ID Number | 3 Name of Reinsurer | 4 Domiciliary Jurisdiction | 5 Type of Reinsurer | 6 Certified Reinsurer Rating (1 through 6) | 7 Effective Date of Certified Reinsurer Rating |
|------------------------------|----------------|------------------------|----------------------------------|---------------------------|-----------------------------------------------------|------------------------------------------------------------|
|------------------------------|----------------|------------------------|----------------------------------|---------------------------|-----------------------------------------------------|------------------------------------------------------------|

NONE

TRANSAMERICA CASUALTY INSURANCE COMPANY

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

| States, Etc. | 1 Active Status (a) | Direct Premiums Written | | Direct Losses Paid (Deducting Salvage) | | Direct Losses Unpaid | |
|-------------------------------------|------------------------------|------------------------------|----------------------------|----------------------------------------|----------------------------|------------------------------|----------------------------|
| | | 2 Current Year to Date | 3 Prior Year to Date | 4 Current Year to Date | 5 Prior Year to Date | 6 Current Year to Date | 7 Prior Year to Date |
| 1. Alabama.....AL | L | 303,524 | 3,389,539 | 1,751,193 | 1,661,161 | 6,295,606 | 8,958,016 |
| 2. Alaska.....AK | L | 8,669 | 446,290 | 183,298 | 276,111 | 32,206 | 86,389 |
| 3. Arizona.....AZ | L | 489,929 | 7,959,250 | 2,256,249 | 4,805,056 | 398,393 | 1,668,591 |
| 4. Arkansas.....AR | L | 58,951 | 1,125,975 | 254,177 | 568,807 | 47,901 | 211,313 |
| 5. California.....CA | L | 2,960,702 | 40,300,592 | 10,456,259 | 25,046,852 | 1,807,587 | 7,716,934 |
| 6. Colorado.....CO | L | 444,271 | 5,010,179 | 1,258,805 | 2,703,484 | 225,228 | 972,072 |
| 7. Connecticut.....CT | L | 272,995 | 5,362,393 | 1,403,093 | 2,940,087 | 250,444 | 1,080,782 |
| 8. Delaware.....DE | L | 102,504 | 1,083,213 | 356,065 | 685,139 | 63,965 | 247,860 |
| 9. District of Columbia.....DC | L | 75,676 | 863,860 | 234,115 | 442,481 | 41,596 | 153,217 |
| 10. Florida.....FL | L | 2,083,456 | 28,947,852 | 7,917,152 | 18,457,243 | 1,412,213 | 6,576,539 |
| 11. Georgia.....GA | L | 398,482 | 6,437,101 | 2,019,789 | 3,890,456 | 802,782 | 1,947,734 |
| 12. Hawaii.....HI | L | 98,776 | 1,091,416 | 337,460 | 626,516 | 60,427 | 230,688 |
| 13. Idaho.....ID | L | 44,131 | 1,093,156 | 292,904 | 593,123 | 52,786 | 208,768 |
| 14. Illinois.....IL | L | 680,748 | 8,989,848 | 2,636,218 | 5,330,144 | 340,618 | (2,692,024) |
| 15. Indiana.....IN | L | 205,763 | 2,921,128 | 603,311 | 1,696,131 | 110,561 | 603,459 |
| 16. Iowa.....IA | L | 3,102,694 | 5,316,880 | 589,274 | 1,272,836 | 105,859 | 430,884 |
| 17. Kansas.....KS | L | 175,397 | 1,776,711 | 505,972 | 1,268,436 | 90,277 | (729,993) |
| 18. Kentucky.....KY | L | 129,410 | 2,374,715 | 599,491 | 1,553,225 | 107,377 | 510,142 |
| 19. Louisiana.....LA | L | 194,723 | 2,486,765 | 576,347 | 1,500,645 | 110,528 | 637,235 |
| 20. Maine.....ME | L | 70,620 | 984,620 | 620,412 | 1,133,805 | 107,785 | 309,841 |
| 21. Maryland.....MD | L | 324,668 | 5,290,710 | 2,213,795 | 4,525,738 | 380,918 | 1,299,083 |
| 22. Massachusetts.....MA | L | 715,782 | 19,518,571 | 4,104,302 | 8,642,510 | 730,463 | 3,077,697 |
| 23. Michigan.....MI | L | 435,534 | 5,876,571 | 1,931,983 | 3,945,380 | 339,572 | 1,261,665 |
| 24. Minnesota.....MN | L | 205,439 | 3,486,856 | 997,768 | 1,889,134 | 179,202 | 599,434 |
| 25. Mississippi.....MS | L | 97,063 | 1,061,837 | 143,162 | 576,527 | 503,279 | 860,826 |
| 26. Missouri.....MO | L | 330,818 | 5,546,207 | 1,062,073 | 2,140,699 | 189,109 | 724,084 |
| 27. Montana.....MT | L | 36,778 | 635,814 | 110,533 | 374,422 | 19,738 | 137,528 |
| 28. Nebraska.....NE | L | 116,172 | 2,309,709 | 362,078 | 775,458 | 65,977 | 280,494 |
| 29. Nevada.....NV | L | 185,859 | 1,797,637 | 534,566 | 1,172,270 | 62,512 | 198,175 |
| 30. New Hampshire.....NH | L | 177,734 | 6,675,703 | 203,574 | 724,193 | 36,352 | 266,276 |
| 31. New Jersey.....NJ | L | 555,505 | 11,715,611 | 2,889,386 | 7,161,856 | 517,989 | 2,592,195 |
| 32. New Mexico.....NM | L | 205,263 | 1,436,669 | 405,344 | 696,453 | 71,493 | 248,282 |
| 33. New York.....NY | L | 1,617,929 | 31,025,815 | 6,872,540 | 17,512,494 | 1,162,187 | 4,923,243 |
| 34. North Carolina.....NC | L | 442,790 | 6,594,908 | 1,836,168 | 3,722,343 | 326,955 | 1,317,207 |
| 35. North Dakota.....ND | L | 11,109 | 252,163 | 58,263 | 174,189 | 12,293 | 62,136 |
| 36. Ohio.....OH | L | 642,489 | 7,610,160 | 2,188,851 | 4,507,999 | 339,268 | 1,197,923 |
| 37. Oklahoma.....OK | L | 171,485 | 1,797,291 | 873,008 | 1,687,425 | 154,789 | 474,442 |
| 38. Oregon.....OR | L | 66,625 | 2,974,855 | 1,058,951 | 2,191,964 | 177,534 | 676,885 |
| 39. Pennsylvania.....PA | L | 597,198 | 10,230,025 | 3,379,988 | 6,478,703 | 580,852 | 2,151,524 |
| 40. Rhode Island.....RI | L | 51,251 | 802,497 | 389,854 | 525,509 | 69,437 | 183,393 |
| 41. South Carolina.....SC | L | 345,142 | 3,366,794 | 1,580,990 | 2,022,288 | 4,850,591 | 7,047,154 |
| 42. South Dakota.....SD | L | (3,654) | 352,858 | 156,570 | 294,961 | 28,637 | 89,032 |
| 43. Tennessee.....TN | L | 318,718 | 3,498,118 | 1,016,092 | 2,343,160 | 6,503,849 | 8,442,835 |
| 44. Texas.....TX | L | 1,085,653 | 16,557,897 | 5,340,142 | 12,025,896 | 2,398,067 | 17,496,073 |
| 45. Utah.....UT | L | 71,524 | 2,682,421 | 590,427 | 1,232,628 | 102,314 | 415,902 |
| 46. Vermont.....VT | L | 17,673 | 637,424 | 179,157 | 336,264 | 31,980 | 122,260 |
| 47. Virginia.....VA | L | 376,895 | 7,732,977 | 3,543,136 | 6,689,334 | 620,230 | (341,822) |
| 48. Washington.....WA | L | 290,793 | 7,095,747 | 1,679,623 | 3,801,774 | 299,226 | 1,344,306 |
| 49. West Virginia.....WV | L | 46,566 | 676,247 | 208,575 | 472,896 | 37,179 | 172,801 |
| 50. Wisconsin.....WI | L | 230,834 | 3,370,786 | 809,026 | 2,068,304 | 145,890 | 746,219 |
| 51. Wyoming.....WY | L | 35,546 | 331,069 | 110,742 | 187,350 | 19,963 | 68,655 |
| 52. American Samoa.....AS | N | 787 | 14,399 | 0 | 7,401 | 0 | 2,724 |
| 53. Guam.....GU | L | 572 | 45,454 | 2,754 | 27,374 | 492 | 10,076 |
| 54. Puerto Rico.....PR | N | 34,939 | 411,313 | 155,870 | 279,332 | 27,833 | 102,685 |
| 55. US Virgin Islands.....VI | N | 6,040 | 57,691 | 26,992 | 35,400 | 4,820 | 13,030 |
| 56. Northern Mariana Islands.....MP | N | 0 | 3,850 | 0 | 2,319 | 0 | 853 |
| 57. Canada.....CAN | N | 212,444 | 3,413,733 | 1,412,757 | 2,687,897 | 252,271 | 988,095 |
| 58. Aggregate Other Alien.....OT | XXX | 177,724 | 2,887,245 | 486,516 | 1,550,201 | 86,872 | 568,222 |
| 59. Totals..... | XXX | 22,137,108 | 307,737,115 | 83,767,140 | 181,941,783 | 33,794,272 | 88,950,039 |

DETAILS OF WRITE-INS

| | | | | | | |
|---------------------------------------------------------------------------------|---------|-----------|---------|-----------|--------|---------|
| 58001. ANT- NETHERLANDS ANTILLES.....XXX | 0 | 5 | 5,163 | 215 | 922 | 79 |
| 58002. ARG- ARGENTINA.....XXX | 0 | 1,264 | 0 | 13,030 | 0 | 4,667 |
| 58003. AUS- AUSTRALIA.....XXX | 1,656 | 12,852 | 21,845 | 40,904 | 3,901 | 15,057 |
| 58998. Summary of remaining write-ins for Line 58 from overflow page.....XXX | 176,068 | 2,873,123 | 459,508 | 1,496,052 | 82,049 | 548,419 |
| 58999. Totals (Lines 58001 thru 58003+ Line 58998) (Line 58 above).....XXX | 177,724 | 2,887,245 | 486,516 | 1,550,201 | 86,872 | 568,222 |

(a) Active Status Count

| | | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------|----|-------------------------------------------------------------------------|---|
| L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG..... | 52 | R - Registered - Non-domiciled RRGs..... | 0 |
| E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - See DSLI)..... | 0 | Q - Qualified - Qualified or accredited reinsurer..... | 0 |
| D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile..... | 0 | N - None of the above - Not allowed to write business in the state..... | 5 |

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

| NAME | FEDERAL | | NAIC CO. | | Notes |
|------------------------------------------------------|------------|-------|----------|--|-------|
| | ID NUMBER | CODE | DOMICILE | | |
| VERENIGING AEGON, NETHERLANDS MEMBERSHIP ASSOCIATION | | | | | |
| AEGON N.V. | Foreign | | NLD | | 1 |
| -AEGON DERIVATIVES N.V. | Foreign | | NLD | | |
| -AEGON EUROPE HOLDING B.V. | Foreign | | NLD | | |
| -AEGON NEDERLAND N.V. | Foreign | | NLD | | |
| -AEGON LEVENSVERZEKERING N.V. | Foreign | | NLD | | |
| -US PENG, INC. | | | DE | | |
| -AEGON NEVAK HOLDING B.V. | Foreign | | NLD | | |
| -BLUE SQUARE RE N.V. | Foreign | | NLD | | |
| -AEGON INTERNATIONAL B.V. | Foreign | | NLD | | |
| -THE AEGON TRUST | 51-6513848 | | DE | | |
| -TRANSAMERICA CORPORATION | 42-1484983 | | DE | | |
| -AEGON FUNDING COMPANY LLC | 42-1489646 | | DE | | |
| -AEGON MANAGEMENT COMPANY | 35-1113520 | | IN | | |
| -RIVER RIDGE INSURANCE COMPANY | 20-0877184 | | VT | | |
| -SHORT HILLS MANAGEMENT COMPANY | 42-1338496 | | NJ | | |
| -AUSA HOLDING, LLC | 52-1549874 | | MD | | |
| -AEGON ASSET MANAGEMENT SERVICES, INC. | 39-1884868 | | DE | | |
| -WORLD FINANCIAL GROUP, INC. | 42-1518386 | | DE | | |
| -WFG CHINA HOLDINGS, INC. | 20-2541057 | | DE | | |
| -WFG PROPERTIES HOLDINGS, LLC | 20-2133638 | | GA | | |
| -WFG REINSURANCE LIMITED | | | HI | | 2 |
| -AEGON DIRECT MARKETING SERVICES INTERNATIONAL, LLC | 52-1291367 | | MD | | |
| -AEGON USA ASSET MANAGEMENT HOLDING, LLC | 52-1549874 | | IA | | |
| -AEGON USA INVESTMENT MANAGEMENT, LLC | 52-1549874 | | IA | | |
| -AEGON AM FUNDS, LLC | 82-1985561 | | DE | | 3 |
| -AEGON MARKET NEUTRAL INCOME FUND, LLC | 82-5359093 | | DE | | 4 |
| -AUM CREDIT OPPORTUNITIES FUND, LLC | 47-1181499 | | DE | | 5 |
| -AEGON USA REALTY ADVISORS, LLC | 42-1205796 | | IA | | |
| -AHDF MANAGER I, LLC | 42-1205796 | | DE | | |
| -AEGON AFFORDABLE HOUSING DEBT FUND I, LLC | 39-0989781 | | DE | | 6 |
| -AHDF MANAGER II, LLC | | | DE | | |
| -AEGON AFFORDABLE HOUSING DEBT FUND II, LLC | | | DE | | 7 |
| -AHDF MANAGER III, LLC | | | DE | | |
| -AMFETF MANAGER, LLC | 42-1205796 | | DE | | |
| -AUSA PROPERTIES, INC. | 27-1275705 | | IA | | |
| -AXA EQUITABLE AGRIFINANCE, LLC | 32-0342677 | | DE | | 8 |
| -AEGON USA REAL ESTATE SERVICES, INC. | 61-1098396 | | DE | | |
| -AEGON USA REALTY ADVISORS OF CALIFORNIA, INC. | 20-5023693 | | IA | | |
| -PEARL HOLDINGS, INC. I | 20-1063558 | | DE | | |
| -PEARL HOLDINGS, INC. II | 20-1063571 | | DE | | |
| -TRANSAMERICA INVESTMENT MANAGEMENT, LLC | 06-1564377 | | DE | | |
| -CREDITOR RESOURCES, INC. | 42-1079584 | | MD | | |
| -CRI SOLUTIONS, INC. | 52-1363611 | | MD | | |
| -MASSACHUSETTS FIDELITY TRUST COMPANY | 42-0947998 | | IA | | |
| -MONEY SERVICES, INC. | 42-1079580 | | DE | | |
| -TRANSAMERICA TRAVEL AND CONFERENCE SERVICES, LLC | 42-1079580 | | IA | | |
| -MONUMENTAL GENERAL ADMINISTRATORS, INC. | 52-1243288 | | MD | | |
| -TRANSAMERICA RESOURCES, INC. | 52-1525601 | | MD | | |
| -TABR REALTY SERVICES, LLC | 94-3026780 | | DE | | |
| -TRANSAMERICA AFFORDABLE HOUSING, INC. | 94-3252196 | | CA | | |
| -TRANSAMERICA AGENCY NETWORK, INC. | 61-1513662 | | IA | | |
| -ONCOR INSURANCE SERVICES, LLC | 26-2311888 | | IA | | |
| -TRANSAMERICA CAPITAL, INC. | 95-3141953 | | CA | | |
| -TRANSAMERICA FINANCIAL ADVISORS, INC. | 59-2476008 | | DE | | 9 |
| -TRANSAMERICA FUND SERVICES, INC. | 59-3403587 | | FL | | 10 |
| -TRANSAMERICA RETIREMENT SOLUTIONS, LLC | 13-3689044 | | DE | | |
| -TRANSAMERICA INVESTORS SECURITIES CORPORATION | 13-3696753 | | DE | | |
| -TRANSAMERICA RETIREMENT ADVISORS, LLC | 13-3689044 | | DE | | |
| -TRANSAMERICA RETIREMENT INSURANCE AGENCY, LLC | 46-2720367 | | DE | | |
| -TRANSAMERICA VENTURES, LLC | | | DE | | |
| -TRANSAMERICA VENTURES FUND, LLC | | | DE | | |
| -UNIVERSAL BENEFITS, LLC | 42-1334744 | | IA | | |
| -ZAHORIK COMPANY, INC. | 95-2775959 | | CA | | |
| -COMMONWEALTH GENERAL CORPORATION | 51-0108922 | | DE | | |
| -AEGON GLOBAL SERVICES, LLC. | 81-4266921 | | IA | | |
| -AEGON INSTITUTIONAL MARKETS, INC. | 61-1085329 | | DE | | |
| -AFSG SECURITIES CORPORATION | 23-2421076 | | PA | | |
| -FINANCIAL PLANNING SERVICES, INC. | 23-2130174 | | DC | | |
| -GARNET ASSURANCE CORPORATION II | 14-1893533 | | IA | | |
| -HARBOR VIEW RE CORP. | 46-4393942 | 15632 | HI | | |
| -STONEBRIDGE BENEFIT SERVICES, INC. | 75-2548428 | | DE | | |
| -TRANSAMERICA LIFE INSURANCE COMPANY | 39-0989781 | 86231 | IA | | 11 |
| -AEGON FINANCIAL SERVICES GROUP, INC. | 41-1479568 | | MN | | |
| -AEGON MANAGED ENHANCED CASH, LLC | 30-0445264 | | DE | | 12 |
| -AEGON MULTI-FAMILY EQUITY FUND, LLC | 82-1845617 | | DE | | 13 |
| -BAY AREA COMMUNITY INVESTMENTS I LP | 94-3392750 | | CA | | 14 |
| -CEDAR FUNDING, LTD. | 98-1055613 | | CYM | | 15 |
| -CUPPLES STATE LIHTC INVESTORS, LLC | 20-4498171 | | DE | | |
| -FD TLIC, LIMITED LIABILITY COMPANY | 01-0969916 | | NY | | |
| -LIHTC FUND 56, LLC | 82-5049935 | | DE | | |
| -LIICA RE II, INC. | 20-5927773 | 12864 | VT | | |
| -LIFE INVESTORS ALLIANCE LLC | 42-1483973 | | DE | | |
| -MLIC RE I, INC. | 01-0930908 | 13712 | VT | | |
| -NATURAL RESOURCES ALTERNATIVES PORTFOLIO I, LLC | | | DE | | 16 |
| -PEOPLES BENEFIT SERVICES, LLC | 23-1705984 | | PA | | |
| -PINE FALLS RE, INC. | 26-1552330 | 13096 | VT | | |
| -PRIMUS GUARANTY, LTD. | Foreign | | BMU | | 17 |
| -REAL ESTATE ALTERNATIVES PORTFOLIO 2, LLC | 02-0685017 | | DE | | 18 |
| -REAL ESTATE ALTERNATIVES PORTFOLIO 3, LLC | 20-1378364 | | DE | | 19 |
| -REAL ESTATE ALTERNATIVES PORTFOLIO 3A, INC | 20-1627078 | | DE | | 20 |
| -REAL ESTATE ALTERNATIVES PORTFOLIO 4 HR, LLC | 20-3878375 | | DE | | 21 |
| -REAL ESTATE ALTERNATIVES PORTFOLIO 4 MR, LLC | 20-3878417 | | DE | | 21 |
| -YARRA RAPIDS, LLC | 42-1205796 | | DE | | 22 |
| -THH ACQUISITIONS, LLC | 42-1154276 | | IA | | |
| -SB FRAZER OWNER, LLC | 03-0164230 | | DE | | |
| -STONEBRIDGE REINSURANCE COMPANY | 61-1497252 | 12705 | VT | | |
| -TLIC OAKBROOK REINSURANCE, INC. | 47-1026613 | 15549 | IA | | |
| -TLIC RIVERWOOD REINSURANCE, INC. | 45-3193055 | 14146 | IA | | |
| -TLIC WATERTREE REINSURANCE, INC. | 81-3715574 | 16032 | IA | | |
| -TRANSAMERICA LIFE (BERMUDA) LTD. | 98-0481010 | | BMU | | 23 |
| -ZERO BETA FUND, LLC | 26-1298094 | | DE | | 24 |

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

| NAME | FEDERAL ID NUMBER | NAC CODE | DOASCLE | Notes |
|------------------------------------------------------------------|-------------------|----------|---------|-------|
| AEGON N.V. | Foreign | | NLD | |
| L AEGON INTERNATIONAL B.V. | Foreign | | NLD | |
| L THE AEGON TRUST | 51-6513848 | | DE | |
| L TRANSAMERICA CORPORATION | 42-1484983 | | DE | |
| L COMMONWEALTH GENERAL CORPORATION | 51-0108922 | | DE | |
| L TRANSAMERICA PACIFIC INSURANCE COMPANY, LTD. | 94-3304740 | 12134 | HI | |
| L TRANSAMERICA PREMIER LIFE INSURANCE COMPANY | 52-0419790 | 66281 | IA | 25 |
| L AEGON DIRECT MARKETING SERVICES, INC. | 42-1470697 | | MD | 26 |
| L TRANSAMERICA AFFINITY SERVICES, INC. | 42-1523438 | | MD | |
| L TRANSAMERICA INTERNATIONAL DIRECT MARKETING CONSULTANTS, LLC | 42-1470697 | | MD | 27 |
| L AEGON INSIGHTS (THAILAND) LIMITED | Foreign | | THA | |
| L BAY STATE COMMUNITY INVESTMENTS I, LLC | 52-0419790 | | DE | |
| L BAY STATE COMMUNITY INVESTMENTS II, LLC | 52-0419790 | | DE | |
| L INTERSECURITIES INSURANCE AGENCY, INC. | 42-1517005 | | CA | |
| L TRANSAMERICA ASSET MANAGEMENT, INC. | 59-3403585 | | FL | 28 |
| L TRANSAMERICA PYRAMD PROPERTIES, LLC | 52-0419790 | | DE | |
| L TRANSAMERICA REALTY INVESTMENT PROPERTIES, LLC | 52-0419790 | | DE | |
| L WORLD FINANCIAL GROUP INSURANCE AGENCY, INC. | 95-3809372 | | CA | |
| L WFG INSURANCE AGENCY OF PUERTO RICO, INC. | 66-0621281 | | PR | |
| L WORLD FINANCIAL GROUP INSURANCE AGENCY OF HAWAII, INC. | 99-0277127 | | HI | |
| L WORLD FINANCIAL GROUP INSURANCE AGENCY OF MASSACHUSETTS, INC. | 04-3182849 | | MA | |
| L WORLD FINANCIAL GROUP INSURANCE AGENCY OF WYOMING, INC. | 42-1519076 | | WY | |
| L TRANSAMERICA STABLE VALUE SOLUTIONS INC. | 27-0648897 | | DE | |
| L WORLD FINANCIAL GROUP HOLDING COMPANY OF CANADA INC. | Foreign | | CAN | |
| L WFG SECURITIES INC. | Foreign | | CAN | |
| L WORLD FINANCIAL GROUP CANADA INC. | Foreign | | CAN | |
| L WORLD FINANCIAL GROUP SUBHOLDING COMPANY OF CANADA INC. | Foreign | | CAN | |
| L WORLD FINANCIAL GROUP INSURANCE AGENCY OF CANADA INC | Foreign | | CAN | 29 |
| L FIREBIRD RE CORP. | 47-3331975 | 15694 | AZ | |
| L IRONWOOD RE CORP. | 47-1703149 | 15633 | HI | |
| L RCC NORTH AMERICA LLC | 13-3256226 | | DE | |
| L FGH USA, LLC | 13-3256227 | | DE | 30 |
| L INVESTORS WARRANTY OF AMERICA, LLC | 42-1154276 | | IA | |
| L INTERSTATE NORTH OFFICE PARK OWNER, LLC | 42-1154276 | | DE | 31 |
| L ROCK SPRINGS DRIVE, LLC | | | MD | 32 |
| L LCS ASSOCIATES, LLC | 20-8687142 | | DE | |
| L MITIGATION MANAGER, LLC | 20-8736609 | | DE | |
| L BARFIELD RANCH ASSOCIATES, LLC | | | FL | 33 |
| L OSCEOLA MITIGATION PARTNERS, LLC | | | FL | 33 |
| L PLACER 400 INVESTORS, LLC | 45-3601940 | | CA | 34 |
| L PSL ACQUISITIONS OPERATING, LLC | | | IA | 35 |
| L TRADITION LAND COMPANY, LLC | | | IA | |
| L TRANSAMERICA ADVISORS LIFE INSURANCE COMPANY | 91-1325756 | 79022 | AR | |
| L TRANSAMERICA CASUALTY INSURANCE COMPANY | 31-4423946 | 10952 | IA | |
| L TRANSAMERICA CORPORATION (OR) | 98-6021219 | | OR | |
| L TRANSAMERICA FINANCE CORPORATION | 95-1077235 | | DE | |
| L TRANSAMERICA LEASING HOLDINGS INC. | 13-3452993 | | DE | |
| L TRANSAMERICA COMMERCIAL FINANCE CORPORATION, I | 94-3054228 | | DE | |
| L TCFC AIR HOLDINGS, INC. | 32-0092333 | | DE | |
| L TRANSAMERICA AVIATION LLC | | | DE | |
| L TCFC ASSET HOLDINGS, INC. | 32-0092334 | | DE | |
| L TCF ASSET MANAGEMENT CORPORATION | 84-0842550 | | CO | |
| L TRANSAMERICA ACCOUNTS HOLDING CORPORATION | 36-4182154 | | DE | |
| L TRANSAMERICA CONSUMER FINANCE HOLDING COMPANY | 95-4631538 | | DE | |
| L TRANSAMERICA HOME LOAN | 95-4390993 | | CA | |
| L TRANSAMERICA DISTRIBUTION FINANCE - OVERSEAS, INC. | 36-4254366 | | DE | |
| L TRANSAMERICA SMALL BUSINESS CAPITAL, INC. | 36-4251204 | | DE | |
| L TRANSAMERICA VENDOR FINANCIAL SERVICES CORPORATION | 36-4134790 | | DE | |
| L TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY | 36-6071399 | 70688 | NY | 36 |
| L CARLE PLACE LEASEHOLD SPE, LLC. | | | DE | |
| L TRANSAMERICA INTERNATIONAL RE (BERMUDA) LTD. | 98-0199561 | | BMU | |
| L TRANSAMERICA INTL RE ESCRITORIO DE REPRESENTACAO NO BRASIL LTD | Foreign | | BRA | 37 |
| L TRANSAMERICA REDWOOD PARK, LLC | | | DE | |
| L UNITED FINANCIAL SERVICES, INC. | 52-1263786 | | MD | 38 |
| L AEGON BRAZIL HOLDING B.V. | Foreign | | NLD | |
| L MONGERAL AEGON SEGUROS E PREVIDENCIA SA | Foreign | | BRA | 39 |
| L AEGON BRAZIL HOLDING II B.V. | Foreign | | NLD | |
| L MONGERAL AEGON HOLDING LTDA | Foreign | | BRA | 40 |
| L MONGERAL AEGON ADMINISTRACAO E BENEFICIA LTDA | Foreign | | | |
| L MONGERAL AEGON INVESTIMENTOS LTDA | Foreign | | BRA | 41 |
| L MONGERAL AEGON TECNOLOGIA LTDA | Foreign | | BRA | 42 |
| L MT ADMINISTRADORA E CORRETORA DE SEUGORES LTDA | Foreign | | BRA | 43 |
| L SENIOR SERVICES DO BRASIL ADMINISTRACAO DE BENEFICIOS LTDA | Foreign | | BRA | 44 |
| L AEGON DMS HOLDING B.V. | Foreign | | NLD | |
| L AEGON INSIGHTS LIMITED | Foreign | | HKG | |
| L AEGON DIRECT MARKETING SERVICES INSURANCE BROKER (HK) LIMITED | Foreign | | HKG | |
| L CORNERSTONE INTERNATIONAL HOLDINGS LTD | Foreign | | GBR | |
| L AEGON DIRECT MARKETING SERVICES EUROPE LTD. | Foreign | | GBR | |
| L STONEBRIDGE INTERNATIONAL INSURANCE LTD | Foreign | | GBR | |
| L AEGON DIRECT MARKETING SERVICES KOREA CO, LTD. | Foreign | | KOR | |
| L TRANSAMERICA DIRECT MARKETING ASIA PACIFIC PTY LTD | Foreign | | AUS | |
| L AEGON INSIGHTS AUSTRALIA PTY., LIMITED | Foreign | | AUS | |
| L TRANSAMERICA INSURANCE MARKETING ASIA PACIFIC PTY LTD | Foreign | | AUS | |
| L AEGON DIRECT MARKETING SERVICES MEXICO S.A. DE C.V. | Foreign | | MEX | 45 |
| L AEGON DIRECT MARKETING SERVICES MEXICO SERVICIOS S.A. DE C.V. | Foreign | | MEX | 46 |
| L AEGON INSIGHTS JAPAN CO., LTD | Foreign | | JPN | |
| L TRANSAMERICA AFFINITY MARKETING CORRECTORA DE SEGUROS LTDA | Foreign | | BRA | 47 |
| L TRANSAMERICA DIRECT MARKETING CONSULTANTS PRIVATE LIMITED | Foreign | | IND | 48 |
| L AEGON MEXICO HOLDING II B.V. | Foreign | | NLD | |
| L AEGON MEXICO HOLDING IV B.V. | Foreign | | NLD | |
| L AKAAN-AEGON, S.A.P.I DE C.V. | Foreign | | MEX | 49 |
| L TRANSAMERICA (BERMUDA) SERVICES CENTER, LTD. | Foreign | | BMU | |
| L TRANSAMERICA LIFE INTERNATIONAL (BERMUDA), LTD. | Foreign | | BMU | |

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Organizational Chart Footnotes:

Ownership is 100% unless otherwise noted. Inclusion of an entity does not necessarily signify control.

- 1) Vereniging AEGON holds voting power in the common, preferred A and preferred B stock of Aegon N.V. totaling 31,723,356.
- 2) 51% owned by World Financial Group, Inc.; remaining 49% is annually offered to independent contractors associated with WFG Reinsurance Ltd.
- 3) AEGON USA Investment Management, LLC is the Manager; equity will be owned by clients/investors of AEGON USA Investment Management, LLC
- 4) AEGON USA Investment Management, LLC will be the sole Member until the first investment is made; then a 3-member Broad of Managers will assume management; equity will be owned by clients/investors of AEGON USA Investment Management, LLC
- 5) Members: AEGON USA Investment Management, LLC (98.36%); non-affiliate of Aegon (1.64%)
- 6) Members: Managing Member - AHDF I, LLC (.01%); Transamerica Life Insurance Company (5.0%); non-affiliates of Aegon (94.99%)
- 7) Members: Managing Member - AHDF II, LLC (.01%); Transamerica Life Insurance Company (99.99%)
- 8) Members: AEGON USA Realty Advisors, LLC (50%); non-affiliate of Aegon (50%)
- 9) AUSA Holding, LLC owns 51.60%; AEGON Asset Management Services, Inc. owns 37.62%; Commonwealth General Corporation owns 10.78%
- 10) AUSA Holding, LLC owns 56%; Transamerica Premier Life Insurance Company owns 44%
- 11) Gamet Subsidiaries: Gamet Assurance Corporation, Gamet Assurance Corporation III, Gamet Community Investments III, LLC, Gamet Community Investments XXVIII, LLC, Gamet Community Investments XX, LLC, Gamet Community Investments XXIV, LLC, Gamet Community Investments XXV, LLC, Gamet Community Investments XXVI, LLC, Gamet Community Investments XXVII, LLC, Gamet Community Investments XXVIII, LLC, Gamet Community Investments XXIX, LLC, Gamet Community Investments XXX, LLC, Gamet Community Investments XXXI, LLC, Gamet Community Investments XXXII, LLC, Gamet Community Investments XXXIII, LLC, Gamet Community Investments XXXIV, LLC, Gamet Community Investments XXXV, LLC, Gamet Community Investments XXXVI, LLC, Gamet Community Investments XXXVII, LLC, Gamet Community Investments XXXVIII, LLC, Gamet Community Investments XXXIX, LLC, Gamet Community Investments XL, LLC, Gamet Community Investments XLI, LLC, Gamet Community Investments XLII, LLC, Gamet Community Investments XLIII, LLC, Gamet Community Investments XLIV, LLC, Gamet Community Investments XLIX, LLC, Gamet Community Investments XLV, LLC, Gamet Community Investments XLVI, LLC, Gamet Community Investments XLVII, LLC, Gamet Community Investments XLVIII, LLC. Aegon Community Investments Subsidiaries: Aegon Community Investments 50, LLC, Aegon Community Investments 51, LLC, Aegon Community Investments 52, LLC, Aegon Community Investments 53, LLC, Aegon Community Investments 54, LLC, Aegon Community Investments 55, LLC, Aegon Community Investments 56, LLC, Aegon Community Investments 57, LLC. Aegon Affordable Housing Debt Fund Subsidiaries: Aegon Affordable Housing Debt Fund III, LLC.
- 12) Members: Transamerica Life Insurance Company (56.89%); Transamerica Premier Life Insurance Company (43.11%)
- 13) Members: Transamerica Life Insurance Company (63%); Transamerica Financial Life Insurance Company (20%); Transamerica Premier Life Insurance Company (17%)
- 14) Partners: Transamerica Life Insurance Company (70%); Transamerica Premier Life Insurance Company (30%)
- 15) Consolidated special purpose vehicle.
- 16) Members: Transamerica Life Insurance Company (64%); Transamerica Premier Life Insurance Company (32%); Transamerica Financial Life Insurance Company (4%). Manager: AEGON USA Realty Advisors, LLC
- 17) Members: Transamerica Life Insurance Company (20%); non-affiliates of Aegon (80%)
- 18) Members: Transamerica Life Insurance Company (92.5%); Transamerica Financial Life Insurance Company (7.5%); Manager: AEGON USA Realty Advisors, LLC
- 19) Members: Transamerica Life Insurance Company (74.4%); Transamerica Premier Life Insurance Company (25.6%); Manager: AEGON USA Realty Advisors, LLC
- 20) Members: Transamerica Life Insurance Company (53.6%); Transamerica Premier Life Insurance Company (37.0%); Transamerica Financial Life Insurance Company (9.4%)
- 21) Members: Transamerica Life Insurance Company (64%); Transamerica Premier Life Insurance Company (32%); Transamerica Financial Life Insurance Company (4%). Manager: AEGON USA Realty Advisors, LLC
- 22) Members: Real Estate Alternatives Portfolio 4MR, LLC (49%); non-affiliate of Aegon (51%)
- 23) Transamerica Life Insurance Company owns 94.07%; AEGON International B.V. owns 5.9%
- 24) Members: Transamerica Life Insurance Company (44.17%); Transamerica Premier Life Insurance Company (36.4%); Transamerica Financial Life Insurance Company (18.13%); Firebird Re Corp. (1.30%); Manager: AEGON USA Investment Management, LLC
- 25) Gamet Subsidiaries: Gamet Community Investments, LLC, Gamet Community Investments IV, LLC, Gamet Community Investments V, LLC, Gamet Community Investments VI, LLC, Gamet Community Investments VII, LLC, Gamet Community Investments VIII, LLC, Gamet Community Investments IX, LLC, Gamet Community Investments X, LLC, Gamet Community Investments XI, LLC, Gamet Community Investments XII, LLC.
- 26) Transamerica Premier Life Insurance Company owns 103,324 shares; Commonwealth General Corporation owns 37,161 shares
- 27) Members: ADMS representative (51%); AEGON Direct Marketing Services, Inc. (49%)
- 28) Transamerica Premier Life Insurance Company owns 77%; AUSA Holding, LLC owns 23%
- 29) 50% owned by World Financial Group Holding Company of Canada Inc.; 50% World Financial Group Subholding Company of Canada Inc.
- 30) FGH USA, LLC: ALH Properties Eight, LLC; ALH Properties Eleven, LLC; ALH Properties Four, LLC; ALH Properties Nine, LLC; ALH Properties Seven, LLC; ALH Properties Seventeen, LLC; ALH Properties Sixteen, LLC; ALH Properties Ten, LLC; ALH Properties Twelve, LLC; ALH Properties Two, LLC; FGH Realty Credit, LLC; FGP 90 West Street, LLC; FGP West Street, LLC; Fifth FGP, LLC; First FGP, LLC; Fourth FGP, LLC; Second FGP, LLC; Seventh FGP, LLC
- 31) Interstate North Office Park Owners, LLC subsidiaries: Interstate North Office Park GP, LLC; Interstate North Office Park LP; Interstate Office Park (Land) GP, LLC; Interstate Office Park (Land) LP
- 32) Members: Investors Warranty of America, LLC (98%); non-affiliates of Aegon (2%)
- 33) Members: Mitigation Manager, LLC (50%); non-affiliate of Aegon (50%)
- 34) Members: RCC North America, LLC (50%); non-affiliates of Aegon (50%)
- 35) PSL Acquisitions Operating subsidiaries: Horizons Acquisition 5, LLC; Horizons St. Lucie Development, LLC; St. Lucie West Development Company, LLC; Tradition Development Company, LLC; Tradition Irrigation Company, LLC
- 36) Ownership: 87.9% Transamerica Corporation (15,067 shares of common stock and 40,415 shares of preferred stock); 12.1% Transamerica Life Insurance Company (2,075 shares of common stock and 5,566 shares of preferred stock)
- 37) Quotaholders: Transamerica International Re (Bermuda) Ltd. (95%) and Commonwealth General Corporation (5%)
- 38) United Financial Services, Inc. is using two different DBA's in various states: Monumental Financial Services, Inc. and Transamerica Agency Network-Outbrokerage Services.
- 39) AEGON Brazil Holding B.V. owns 50%; non-affiliate of Aegon owns 50%
- 40) AEGON Brazil Holding II B.V. owns 50%; non-affiliate of Aegon owns 50%
- 41) Mongeral AEGON Holding Ltda owns 99%, non-affiliate of Aegon owns 1%
- 42) Mongeral AEGON Holding Ltda owns 9,999 quota shares; non-affiliate of Aegon owns 1 quota share
- 43) Mongeral AEGON Holding Ltda. owns 900 quota shares; non-affiliates of AEGON owns 100 quota shares
- 44) Mongeral AEGON Holding Ltda. owns 99%; non-affiliate of Aegon owns 1%
- 45) AEGON Mexico Holding B.V. owns 49,999 shares of class I stock and 369,020,000 shares of class II stock; AEGON DMS Holding B.V. owns 1 share class I stock and 23,100,000 shares of class II stock
- 46) AEGON Mexico Holding B.V. owns 49,999 shares of Class I stock and 366,000 of Class II stock; AEGON DMS Holding B.V. owns 1 share of Class I stock
- 47) AEGON DMS Holding B.V. owns 749,000 quota shares; AEGON International B.V. owns 1 quota share
- 48) AEGON DMS Holding B.V. owns 99.95%, ADMS representative owns .05%
- 49) AEGON Mexico Holding IV B.V. owns 6,750,500 shares; non-affiliate of Aegon owns 501 shares

TRANSAMERICA CASUALTY INSURANCE COMPANY

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|----------------|------------|-------------------|------------|--------------|-----|------------------------------------------------------------------------|----------------------------------------------------------------|----------------------|----------------------------------|----------------------------------------------------|-----------------------------------------------------------------------------------|--------------------------------------------|--------------------------------------------|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| Members | | | | | | | | | | | | | | | |
| 0000 | | 00000 | 39-0989781 | 0 | 0 | | AEGON AFFORDABLE HOUSING DEBT FUND I, LLC | DE | NIA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 5.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 39-0989781 | 0 | 0 | | AEGON AFFORDABLE HOUSING DEBT FUND I, LLC | DE | NIA | AHDF I, LLC | OWNERSHIP BOARD | 0.010 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | AEGON AFFORDABLE HOUSING DEBT FUND II, LLC | DE | NIA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 99.990 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | AEGON AFFORDABLE HOUSING DEBT FUND II, LLC | DE | NIA | AHDF II, LLC | OWNERSHIP BOARD | 0.010 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | AEGON AFFORDABLE HOUSING DEBT FUND III, LLC | DE | NIA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 39-1884868 | 0 | 0 | | AEGON ASSET MANAGEMENT SERVICES, INC. | DE | NIA | AUSA HOLDING, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | AEGON BRAZIL HOLDING B.V. | NLD | NIA | AEGON INTERNATIONAL B.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | AEGON BRAZIL HOLDING II B.V. | NLD | NIA | AEGON INTERNATIONAL B.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | AEGON AM FUNDS, LLC | DE | NIA | AEGON USA INVESTMENT MANAGEMENT, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | AEGON DERIVATIVES N.V. | NLD | NIA | AEGON N.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | AEGON DIRECT MARKETING SERVICES EUROPE, LTD. | GBR | NIA | CORNERSTONE INTERNATIONAL HOLDINGS, LTD. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | AEGON DIRECT MARKETING SERVICES INSURANCE BROKER (HK), LTD. | HKG | NIA | AEGON DIRECT & AFFINITY MARKETING SERVICES LIMITED | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 52-1291367 | 0 | 0 | | AEGON DIRECT MARKETING SERVICES INTERNATIONAL, LLC. | MD | NIA | AUSA HOLDING, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | AEGON DIRECT MARKETING SERVICES KOREA CO., LTD. | KOR | NIA | AEGON DMS HOLDING B.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | AEGON DIRECT MARKETING SERVICES MEXICO SERVICIOS, S.A. DE C.V. | MEX | NIA | AEGON DMS HOLDING B.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | AEGON DIRECT MARKETING SERVICES MEXICO, S.A. DE C.V. | MEX | NIA | AEGON DMS HOLDING B.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 42-1470697 | 0 | 0 | | AEGON DIRECT MARKETING SERVICES, INC. | MD | NIA | TRANSAMERICA PREMIER LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 73.500 | AEGON N.V. | Y | 0 |
| 0000 | | 00000 | 42-1470697 | 0 | 0 | | AEGON DIRECT MARKETING SERVICES, INC. | MD | NIA | COMMONWEALTH GENERAL CORPORARION | OWNERSHIP BOARD | 26.500 | AEGON N.V. | Y | 0 |
| 0000 | | 00000 | | 0 | 0 | | AEGON DMS HOLDING B.V. | NLD | NIA | AEGON INTERNATIONAL B.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |

Q12

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|------------|-------------------|------------|--------------|-----|------------------------------------------------------------------------|---------------------------------------------|----------------------|----------------------------------|---------------------------------------------------------------|-----------------------------------------------------------------------------------|--------------------------------------------|--------------------------------------------|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 0000 | | 00000 | | 0 | 0 | | AEGON EUROPE HOLDING B.V. | NLD | NIA | AEGON N.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 41-1479568 | 0 | 0 | | AEGON FINANCIAL SERVICES GROUP, INC. | MN | NIA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | Y | 0 |
| 0000 | | 00000 | 42-1489646 | 0 | 0 | | AEGON FUNDING COMPANY, LLC | DE | NIA | TRANSAMERICA CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | AEGON GLOBAL SERVICES, LLC | IA | NIA | COMMONWEALTH GENERAL CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 08-2524785 | 0 | 0 | | AEGON INSIGHTS AUSTRALIA PTY LIMITED | AUS | NIA | TRANSAMERICA DIRECT MARKETING ASIA PACIFIC PTY, LTD. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | AEGON INSIGHTS JAPAN CO., LTD. | JPN | NIA | AEGON DMS HOLDING B.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | AEGON INSIGHTS LIMITED | HKG | NIA | AEGON DMS HOLDING B.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | AEGON INSIGHTS (THAILAND) LIMITED | THA | NIA | TRANSAMERICA INTERNATIONAL DIRECT MARKETING CONSULTANTS, LLC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 61-1085329 | 0 | 0 | | AEGON INSTITUTIONAL MARKETS, INC. | DE | NIA | COMMONWEALTH GENERAL CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | AEGON INTERNATIONAL B.V. | NLD | UIP | AEGON N.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | AEGON LEVENSVERZEKERING N.V. | NLD | NIA | AEGON N.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 30-0445264 | 0 | 0 | | AEGON MANAGED ENHANCED CASH, LLC. | DE | NIA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 56.887 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 30-0445264 | 0 | 0 | | AEGON MANAGED ENHANCED CASH, LLC. | DE | NIA | TRANSAMERICA PREMIER LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 43.113 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 35-1113520 | 0 | 0 | | AEGON MANAGEMENT COMPANY | IN | NIA | TRANSAMERICA CORPORATION HOLDING CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 82-5359093 | 0 | 0 | | AEGON MARKET NEUTRAL INCOME FUND, LLC. | DE | NIA | AEGON USA INVESTMENT MANAGEMENT, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | AEGON MEXICO HOLDING II B.V. | NLD | NIA | AEGON INTERNATIONAL B.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | AEGON MEXICO HOLDING IV B.V. | NLD | NIA | AEGON INTERNATIONAL B.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | AEGON MUTLI-FAMILY EQUITY FUND, LLC. | DE | NIA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 63.000 | AEGON N.V. | N | 0 |

Q12.1

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|------------|-------------------|------------|--------------|--------|------------------------------------------------------------------------|-----------------------------------------------|----------------------|----------------------------------|------------------------------------------------|-----------------------------------------------------------------------------------|--------------------------------------------|--------------------------------------------|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 0000 | | 00000 | | .0 | .0 | | AEGON MUTLI-FAMILY EQUITY FUND, LLC.. | DE | NIA | TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 20.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | .0 | .0 | | AEGON MUTLI-FAMILY EQUITY FUND, LLC.. | DE | NIA | TRANSAMERICA PREMIER LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 17.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | .0 | 769218 | NYSE | AEGON N.V. | NLD | UIP | | OWNERSHIP BOARD | 31.726 | | N | 0 |
| 0000 | | 00000 | | .0 | .0 | | AEGON NEDERLAND N.V. | NLD | NIA | AEGON N.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | .0 | .0 | | AEGON NEVAK HOLDING B.V. | NLD | NIA | AEGON N.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 52-1549874 | .0 | .0 | | AEGON USA ASSET MANAGEMENT HOLDING, LLC. | IA | NIA | AUSA HOLDING, LLC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 52-1549874 | .0 | .0 | | AEGON USA INVESTMENT MANAGEMENT, LLC. | IA | NIA | AEGON USA ASSET MANAGEMENT HOLDING, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 61-1098396 | .0 | .0 | | AEGON USA REAL ESTATE SERVICES, INC. | DE | NIA | AEGON USA REALTY ADVISORS, LLC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 20-5023693 | .0 | .0 | | AEGON USA REALTY ADVISORS OF CALIFORNIA, INC. | IA | NIA | AEGON USA REALTY ADVISORS, LLC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 42-1205796 | .0 | .0 | | AEGON USA REALTY ADVISORS, LLC. | IA | NIA | AEGON USA ASSET MANAGEMENT HOLDING, LLC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 23-2421076 | .0 | .0 | | AFSG SECURITIES CORPORATION | PA | NIA | COMMONWEALTH GENERAL CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 42-1205796 | .0 | .0 | | AHDF MANAGER I, LLC | DE | NIA | AEGON USA REALTY ADVISORS, LLC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | .0 | .0 | | AHDF MANAGER II, LLC | DE | NIA | AEGON USA REALTY ADVISORS, LLC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | .0 | .0 | | AHDF MANAGER III, LLC | DE | NIA | AEGON USA REALTY ADVISORS, LLC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | .0 | .0 | | AKAAN-AEGON, S.A.P.I. DE C.V. | MEX | NIA | AEGON MEXICO HOLDING IV B.V. | OWNERSHIP BOARD | 99.990 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 42-1205796 | .0 | .0 | | AMFETF MANAGER, LLC | DE | NIA | AEGON USA REALTY ADVISORS, LLC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 47-1181499 | .0 | .0 | | AUIM CREDIT OPPORTUNITIES FUND, LLC. | DE | NIA | AEGON USA INVESTMENT MANAGEMENT, LLC | OWNERSHIP BOARD | 98.360 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 52-1549874 | .0 | .0 | | AUSA HOLDING, LLC. | MD | NIA | TRANSAMERICA CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 27-1275705 | .0 | .0 | | AUSA PROPERTIES, INC. | IA | NIA | AEGON USA REALTY ADVISORS, LLC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |

Q12.2

TRANSAMERICA CASUALTY INSURANCE COMPANY

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|----------------------|-------------------|------------|--------------|-----|------------------------------------------------------------------------|---------------------------------------------|----------------------|----------------------------------|------------------------------------------------|-----------------------------------------------------------------------------------|--------------------------------------------|--------------------------------------------|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 0000 | | 00000 | 32-0342677 | 0 | 0 | | AXA EQUITABLE AGRIFINANCE, LLC | DE | NIA | AEGON USA REALTY ADVISORS, LLC | OWNERSHIP BOARD | 50.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | BARFIELD RANCH ASSOCIATES, LLC | FL | NIA | MITIGATION MANAGER, LLC | OWNERSHIP BOARD | 50.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 94-3392750 | 0 | 0 | | BAY AREA COMMUNITY INVESTMENTS I, LP | CA | NIA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 70.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 94-3392750 | 0 | 0 | | BAY AREA COMMUNITY INVESTMENTS I, LP | CA | NIA | TRANSAMERICA PREMIER LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 30.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 52-0419790 | 0 | 0 | | BAY STATE COMMUNITY INVESTMENTS I, LLC | DE | NIA | TRANSAMERICA PREMIER LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 52-0419790 | 0 | 0 | | BAY STATE COMMUNITY INVESTMENTS II, LLC | DE | NIA | TRANSAMERICA PREMIER LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | AA-1380027 | 0 | 0 | | BLUE SQUARE RE N.V. | NLD | NIA | AEGON N.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | CARLE PLACE LEASHOLD SPE, LLC | DE | NIA | TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 98-1055613 | 0 | 0 | | CEDAR FUNDING, LTD | CYM | NIA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 51-0108922 | 0 | 0 | | COMMONWEALTH GENERAL CORPORATION | DE | NIA | TRANSAMERICA CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | CORNERSTONE INTERNATIONAL HOLDINGS, LTD. | GBR | NIA | AEGON DMS HOLDING B.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 42-1079584 | 0 | 0 | | CREDITOR RESOURCES, INC | MI | NIA | AUSA HOLDING, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 52-1363611 | 0 | 0 | | CRI SOLUTIONS, INC | MD | NIA | CREDITOR RESOURCES, INC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 20-4498171 | 0 | 0 | | CUPPLES STATE LIHTC INVESTORS, LLC | DE | NIA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 01-0969916 | 0 | 0 | | FD TLIC, LIMITED LIABILITY COMPANY | NY | NIA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 23-2130174 | 0 | 0 | | FINANCIAL PLANNING SERVICES, INC | DC | NIA | COMMONWEALTH GENERAL CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0468 | AEGON US HOLDING GRP | 15694 | 47-3331975 | 0 | 0 | | FIREBIRD RE CORP | AZ | IA | TRANSAMERICA CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 14-1893533 | 0 | 0 | | GARNET ASSURANCE CORPORATION II | IA | NIA | COMMONWEALTH GENERAL CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | Y | 0 |

Q12.3

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|-----------------------|-------------------|------------|--------------|-----|------------------------------------------------------------------------|-----------------------------------------------|----------------------|----------------------------------|------------------------------------------------|-----------------------------------------------------------------------------------|--------------------------------------------|--------------------------------------------|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 0468 | AEGON US HOLDING GRP. | 15632 | 46-4393942 | 0 | 0 | | HARBOR VIEW RE CORP | HI | IA | COMMONWEALTH GENERAL CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.N. | N | 0 |
| 0000 | | 00000 | 42-1517005 | 0 | 0 | | INTERSECURITIES INSURANCE AGENCY, INC. | CA | NIA | TRANSAMERICA PREMIER LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | Y | 0 |
| 0000 | | 00000 | 42-1154276 | 0 | 0 | | INTERSTATE NORTH OFFICE PARK OWNER, LLC | DE | NIA | INVESTORS WARRANTY OF AMERICA, LLC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 42-1154276 | 0 | 0 | | INVESTORS WARRANTY OF AMERICA, LLC | IA | NIA | RCC NORTH AMERICA, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0468 | AEGON US HOLDING GRP. | 15633 | 47-1703149 | 0 | 0 | | IRONWOOD RE CORP | HI | IA | TRANSAMERICA CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 20-8687142 | 0 | 0 | | LCS ASSOCIATES, LLC | DE | NIA | RCC NORTH AMERICA, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 42-1483973 | 0 | 0 | | LIFE INVESTORS ALLIANCE, LLC | DE | NIA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | Y | 0 |
| 0000 | | 00000 | 82-5049935 | 0 | 0 | | LIHTC FUND 56, LLC | DE | NIA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 20-5927773 | 0 | 0 | | LIICA RE II, INC. | VT | IA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 42-0947998 | 0 | 0 | | MASSACHUSETTS FIDELITY TRUST COMPANY | IA | NIA | AUSA HOLDING, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 20-8736609 | 0 | 0 | | MITIGATION MANAGER, LLC | DE | NIA | RCC NORTH AMERICA, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 01-0930908 | 0 | 0 | | MLIC RE I, INC. | VT | IA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | MONGERAL AEGON ADMINISTRACÃO E BENEFÍCIA LTDA | BRA | NIA | MONGERAL AEGON SEGUROS E PREVIDENCIA SA | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | MONGERAL AEGON HOLDING LTDA | BRA | NIA | AEGON BRAZIL HOLDING II B.V. | OWNERSHIP BOARD | 50.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | MONGERAL AEGON INVESTIMENTOS LTDA | BRA | NIA | MONGERAL AEGON HOLDING LTDA | OWNERSHIP BOARD | 99.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | MONGERAL AEGON SEGUROS E PREVIDENCIA SA | BRA | NIA | AEGON BRAZIL HOLDING B.V. | OWNERSHIP BOARD | 50.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | MONGERAL AEGON TECNOLOGIA LTDA | BRA | NIA | MONGERAL AEGON HOLDING LTDA | OWNERSHIP BOARD | 50.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 42-1079580 | 0 | 0 | | MONEY SERVICES, INC. | DE | NIA | AUSA HOLDING, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 52-1243288 | 0 | 0 | | MONUMENTAL GENERAL ADMINISTRATORS, INC. | MD | NIA | AUSA HOLDING, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |

Q12.4

TRANSAMERICA CASUALTY INSURANCE COMPANY

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|------------|-------------------|------------|--------------|-----|------------------------------------------------------------------------|-------------------------------------------------|----------------------|----------------------------------|------------------------------------------------|-----------------------------------------------------------------------------------|--------------------------------------------|--------------------------------------------|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 0000 | | 00000 | | .0 | .0 | | MT ADMINISTRADORA E CORRETORA DE SEUGORES LTDA | BRA | NIA | AEGON BRAZIL HOLDING II B.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | .0 | .0 | | NATURAL RESOURCES ALTERNATIVES PORTFOLIO I, LLC | MD | NIA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 64.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | .0 | .0 | | NATURAL RESOURCES ALTERNATIVES PORTFOLIO I, LLC | MD | NIA | TRANSAMERICA PREMIER LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 32.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | .0 | .0 | | NATURAL RESOURCES ALTERNATIVES PORTFOLIO I, LLC | MD | NIA | TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 4.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 26-2311888 | .0 | .0 | | ONCOR INSURANCE SERVICES, LLC | IA | NIA | TRANSAMERICA AGENCY NETWORK, INC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | .0 | .0 | | OSCEOLA MITIGATION PARTNERS, LLC | FL | NIA | MITIGATION MANAGER, LLC | OWNERSHIP BOARD | 50.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 20-1063558 | .0 | .0 | | PEARL HOLDINGS, INC. I | DE | NIA | AEGON USA ASSET MANAGEMENT HOLDING, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 20-1063571 | .0 | .0 | | PEARL HOLDINGS, INC. II | DE | NIA | AEGON USA ASSET MANAGEMENT HOLDING, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 23-1705984 | .0 | .0 | | PEOPLES BENEFIT SERVICES, LLC | PA | NIA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 26-1552330 | .0 | .0 | | PINE FALLS RE, INC | VT | IA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 45-3601940 | .0 | .0 | | PLACER 400 INVESTORS, LLC | CA | NIA | RCC NORTH AMERICA, LLC | OWNERSHIP BOARD | 50.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | .0 | .0 | | PRIMUS GUARANTY, LTD | BMU | NIA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 20.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 42-1154276 | .0 | .0 | | PSL ACQUISITIONS OPERATING, LLC | IA | NIA | RCC NORTH AMERICA, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 13-3256226 | .0 | .0 | | RCC NORTH AMERICA, LLC | DE | NIA | TRANSAMERICA CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 02-0685017 | .0 | .0 | | REAL ESTATE ALTERNATIVES PORTFOLIO 2, LLC | DE | NIA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 92.500 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 02-0685017 | .0 | .0 | | REAL ESTATE ALTERNATIVES PORTFOLIO 2, LLC | DE | NIA | TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 7.500 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 20-1378364 | .0 | .0 | | REAL ESTATE ALTERNATIVES PORTFOLIO 3, LLC | DE | NIA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 74.400 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 20-1378364 | .0 | .0 | | REAL ESTATE ALTERNATIVES PORTFOLIO 3, LLC | DE | NIA | TRANSAMERICA PREMIER LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 25.600 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 20-1627078 | .0 | .0 | | REAL ESTATE ALTERNATIVES PORTFOLIO 3A, INC. | DE | NIA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 53.600 | AEGON N.V. | Y | 0 |

Q12.5

TRANSAMERICA CASUALTY INSURANCE COMPANY

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|------------|-------------------|------------|--------------|-----|------------------------------------------------------------------------|------------------------------------------------------------|----------------------|----------------------------------|------------------------------------------------|-----------------------------------------------------------------------------------|--------------------------------------------|--------------------------------------------|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 0000 | | 00000 | 20-1627078 | 0 | 0 | | REAL ESTATE ALTERNATIVES PORTFOLIO 3A, INC. | DE | NIA | TRANSAMERICA PREMIER LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 37.000 | AEGON N.V. | Y | 0 |
| 0000 | | 00000 | 20-1627078 | 0 | 0 | | REAL ESTATE ALTERNATIVES PORTFOLIO 3A, INC. | DE | NIA | TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 9.400 | AEGON N.V. | Y | 0 |
| 0000 | | 00000 | 20-3878375 | 0 | 0 | | REAL ESTATE ALTERNATIVES PORTFOLIO 4HR, LLC. | DE | NIA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 64.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 20-3878375 | 0 | 0 | | REAL ESTATE ALTERNATIVES PORTFOLIO 4HR, LLC. | DE | NIA | TRANSAMERICA PREMIER LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 32.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 20-3878375 | 0 | 0 | | REAL ESTATE ALTERNATIVES PORTFOLIO 4HR, LLC. | DE | NIA | TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 4.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 20-3878417 | 0 | 0 | | REAL ESTATE ALTERNATIVES PORTFOLIO 4MR, LLC. | DE | NIA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 64.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 20-3878417 | 0 | 0 | | REAL ESTATE ALTERNATIVES PORTFOLIO 4MR, LLC. | DE | NIA | TRANSAMERICA PREMIER LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 32.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 20-3878417 | 0 | 0 | | REAL ESTATE ALTERNATIVES PORTFOLIO 4MR, LLC. | DE | NIA | TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 4.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 20-0877184 | 0 | 0 | | RIVER RIDGE INSURANCE COMPANY | VT | IA | AEGON MANAGEMENT COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | ROCK SPRING DRIVE, LLC | MD | NIA | INVESTORS WARRANTY OF AMERICA, LLC | OWNERSHIP BOARD | 98.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 03-0164230 | 0 | 0 | | SB FRAZER OWNER, LLC | DE | NIA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | SENIOR SERVICES DO BRASIL ADMINISTRACÃO DE BENEFÍCIOS LTDA | BRA | NIA | MONGERAL AEGON HOLDING LTDA | OWNERSHIP BOARD | 99.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 42-1338496 | 0 | 0 | | SHORT HILLS MANAGEMENT COMPANY | NJ | NIA | TRANSAMERICA CORPORATION HOLDING CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 75-2548428 | 0 | 0 | | STONEBRIDGE BENEFIT SERVICES, INC. | DE | NIA | COMMONWEALTH GENERAL CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | STONEBRIDGE INTERNATIONAL INSURANCE, LTD. | GBR | NIA | CORNERSTONE INTERNATIONAL HOLDINGS, LTD. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 61-1497252 | 0 | 0 | | STONEBRIDGE REINSURANCE COMPANY | VT | IA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 94-3026780 | 0 | 0 | | TABR REALTY SERVICES, LLC | DE | NIA | AUSA HOLDING, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 84-0642550 | 0 | 0 | | TCF ASSET MANAGEMENT CORPORATION | CO | NIA | TCFC ASSET HOLDINGS, INC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |

Q12.6

TRANSAMERICA CASUALTY INSURANCE COMPANY

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|-----------------------|-------------------|------------|--------------|-----|------------------------------------------------------------------------|-----------------------------------------------------------|----------------------|----------------------------------|------------------------------------------------|-----------------------------------------------------------------------------------|--------------------------------------------|--------------------------------------------|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 0000 | | 00000 | 32-0092333 | 0 | 0 | | TCFC AIR HOLDINGS, INC. | DE | NIA | TRANSAMERICA COMMERCIAL FINANCE CORPORATION, I | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 32-0092334 | 0 | 0 | | TCFC ASSET HOLDINGS, INC. | DE | NIA | TRANSAMERICA COMMERCIAL FINANCE CORPORATION, I | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 51-6513848 | 0 | 0 | | THE AEGON TRUST | DE | UIP | AEGON INTERNATIONAL B.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 42-1154276 | 0 | 0 | | THH ACQUISITIONS, LLC | IA | NIA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0468 | AEGON US HOLDING GRP. | 15549 | 47-1026613 | 0 | 0 | | TLIC OAKBROOK REINSURANCE, INC. | IA | IA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0468 | AEGON US HOLDING GRP. | 14146 | 45-3193055 | 0 | 0 | | TLIC RIVERWOOD REINSURANCE, INC. | IA | IA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0468 | AEGON US HOLDING GRP. | 16032 | 81-3715574 | 0 | 0 | | TLIC WATERTREE REINSURANCE, INC. | IA | IA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 42-1154276 | 0 | 0 | | TRADITION LAND COMPANY, LLC | IA | NIA | RCC NORTH AMERICA, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 36-4162154 | 0 | 0 | | TRANSAMERICA ACCOUNTS HOLDING CORPORATION | DE | NIA | TCFC ASSET HOLDINGS, INC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0468 | AEGON US HOLDING GRP. | 79022 | 91-1325756 | 0 | 0 | | TRANSAMERICA ADVISORS LIFE INSURANCE COMPANY | AR | IA | TRANSAMERICA CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | TRANSAMERICA AFFINITY MARKETING CORRETORA DE SEGUROS LTDA | BRA | NIA | AEGON DMS HOLDING B.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 42-1523438 | 0 | 0 | | TRANSAMERICA AFFINITY SERVICES, INC. | MD | NIA | AEGON DIRECT MARKETING SERVICES, INC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 94-3252196 | 0 | 0 | | TRANSAMERICA AFFORDABLE HOUSING, INC. | CA | NIA | TABR REALTY SERVICES, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 61-1513662 | 0 | 0 | | TRANSAMERICA AGENCY NETWORK, INC. | IA | NIA | AUSA HOLDING, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 59-3403585 | 0 | 0 | | TRANSAMERICA ASSET MANAGEMENT, INC. | FL | NIA | TRANSAMERICA PREMIER LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 77.000 | AEGON N.V. | Y | 0 |
| 0000 | | 00000 | 59-3403585 | 0 | 0 | | TRANSAMERICA ASSET MANAGEMENT, INC. | FL | NIA | AUSA HOLDING, LLC | OWNERSHIP BOARD | 23.000 | AEGON N.V. | Y | 0 |
| 0000 | | 00000 | | 0 | 0 | | TRANSAMERICA AVIATION LLC | DE | NIA | TCFC AIR HOLDINGS, INC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | TRANSAMERICA (BERMUDA) SERVICES CENTER, LTD. | BMU | NIA | AEGON INTERNATIONAL B.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 95-3141953 | 0 | 0 | | TRANSAMERICA CAPITAL, INC. | CA | NIA | AUSA HOLDING, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |

Q12.7

TRANSAMERICA CASUALTY INSURANCE COMPANY

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|------------------------|-------------------|--------------|--------------|-----|------------------------------------------------------------------------|---------------------------------------------------------------|----------------------|----------------------------------|------------------------------------------------------|-----------------------------------------------------------------------------------|--------------------------------------------|--------------------------------------------|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 0468 | AEGON US HOLDING GRP.. | 10952 | 31-4423946.. | 0 | 0 | | TRANSAMERICA CASUALTY INSURANCE COMPANY | IA | RE | TRANSAMERICA CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 94-3054228.. | 0 | 0 | | TRANSAMERICA COMMERCIAL FINANCE CORPORATION, I. | DE | NIA | TRANSAMERICA FINANCE CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 95-4631538.. | 0 | 0 | | TRANSAMERICA CONSUMER FINANCE HOLDING COMPANY | DE | NIA | TCFC ASSET HOLDINGS, INC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 98-6021219.. | 0 | 0 | | TRANSAMERICA CORPORATION (OR) | OR | NIA | TRANSAMERICA CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 42-1484983.. | 0 | 0 | | TRANSAMERICA CORPORATION | DE | UDP | THE AEGON TRUST | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 08-2507515.. | 0 | 0 | | TRANSAMERICA DIRECT MARKETING ASIA PACIFIC PTY, LTD. | AUS | NIA | AEGON DMS HOLDING B.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | TRANSAMERICA DIRECT MARKETING CONSULTANTS PRIVATE LIMITED | IND | NIA | AEGON DMS HOLDING B.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 36-4254366.. | 0 | 0 | | TRANSAMERICA DISTRIBUTION FINANCE-OVERSEAS, INC. | DE | NIA | TCFC ASSET HOLDINGS, INC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 95-1077235.. | 0 | 0 | | TRANSAMERICA FINANCE CORPORATION | DE | NIA | TRANSAMERICA CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 59-2476008.. | 0 | 0 | | TRANSAMERICA FINANCIAL ADVISORS, INC. | DE | NIA | AUSA HOLDING, LLC | OWNERSHIP BOARD | 51.600 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 59-2476008.. | 0 | 0 | | TRANSAMERICA FINANCIAL ADVISORS, INC. | DE | NIA | AEGON ASSET MANAGEMENT SERVICES, INC. | OWNERSHIP BOARD | 37.620 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 59-2476008.. | 0 | 0 | | TRANSAMERICA FINANCIAL ADVISORS, INC. | DE | NIA | COMMONWEALTH GENERAL CORPORATION | OWNERSHIP BOARD | 10.780 | AEGON N.V. | N | 0 |
| 0468 | AEGON US HOLDING GRP.. | 70688 | 36-6071399.. | 0 | 0 | | TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY | NY | IA | TRANSAMERICA CORPORATION | OWNERSHIP BOARD | 87.900 | AEGON N.V. | Y | 0 |
| 0468 | AEGON US HOLDING GRP.. | 70688 | 36-6071399.. | 0 | 0 | | TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY | NY | IA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 12.100 | AEGON N.V. | Y | 0 |
| 0000 | | 00000 | 59-3403587.. | 0 | 0 | | TRANSAMERICA FUND SERVICES, INC. | FL | NIA | TRANSAMERICA PREMIER LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 44.000 | AEGON N.V. | Y | 0 |
| 0000 | | 00000 | 59-3403587.. | 0 | 0 | | TRANSAMERICA FUND SERVICES, INC. | FL | NIA | AUSA HOLDING, LLC | OWNERSHIP BOARD | 56.000 | AEGON N.V. | Y | 0 |
| 0000 | | 00000 | 95-4390993.. | 0 | 0 | | TRANSAMERICA HOME LOAN | CA | NIA | TRANSAMERICA CONSUMER FINANCE HOLDING COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | TRANSAMERICA INSURANCE MARKETING ASIA PACIFIC PTY, LTD. | AUS | NIA | TRANSAMERICA DIRECT MARKETING ASIA PACIFIC PTY, LTD. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 42-1470697.. | 0 | 0 | | TRANSAMERICA INTERNATIONAL DIRECT MARKETING CONSULTANTS, LLC. | MD | NIA | AEGON DIRECT MARKETING SERVICES, INC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |

Q12.8

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|-----------------------|-------------------|------------|--------------|-----|------------------------------------------------------------------------|---------------------------------------------------------------------------|----------------------|----------------------------------|------------------------------------------------|-----------------------------------------------------------------------------------|--------------------------------------------|--------------------------------------------|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 0000 | | 00000 | AA-3190773 | 0 | 0 | | TRANSAMERICA INTERNATIONAL RE (BERMUDA), LTD. | BMU | IA | TRANSAMERICA CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | TRANSAMERICA INTERNATIONAL RE ESCRITORIO DE REPRESENTACAO NO BRASIL, LTD. | BRA | NIA | TRANSAMERICA INTERNATIONAL RE(BERMUDA), LTD. | OWNERSHIP BOARD | 95.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | TRANSAMERICA INTERNATIONAL RE ESCRITORIO DE REPRESENTACAO NO BRASIL, LTD. | BRA | NIA | COMMONWEALTH GENERAL CORPORATION | OWNERSHIP BOARD | 5.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 06-1564377 | 0 | 0 | | TRANSAMERICA INVESTMENT MANAGEMENT, LLC. | DE | NIA | AEGON USA ASSET MANAGEMENT HOLDING, LLC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 13-3696753 | 0 | 0 | | TRANSAMERICA INVESTORS SECURITIES CORPORATION | DE | NIA | TRANSAMERICA RETIREMENT SOLUTIONS, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 13-3452993 | 0 | 0 | | TRANSAMERICA LEASING HOLDINGS INC. | DE | NIA | TRANSAMERICA FINANCE CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | AA-3190868 | 0 | 0 | | TRANSAMERICA LIFE (BERMUDA), LTD. | BMU | IA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 94.070 | AEGON N.V. | Y | 0 |
| 0000 | | 00000 | AA-3190868 | 0 | 0 | | TRANSAMERICA LIFE (BERMUDA), LTD. | BMU | IA | AEGON INTERNATIONAL B.V. | OWNERSHIP BOARD | 5.900 | AEGON N.V. | Y | 0 |
| 0468 | AEGON US HOLDING GRP. | 86231 | 39-0989781 | 0 | 0 | | TRANSAMERICA LIFE INSURANCE COMPANY | IA | IA | COMMONWEALTH GENERAL CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | AA-3190837 | 0 | 0 | | TRANSAMERICA LIFE INTERNATIONAL (BERMUDA), LTD. | BMU | IA | AEGON INTERNATIONAL B.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 12134 | 94-3304740 | 0 | 0 | | TRANSAMERICA PACIFIC INSURANCE COMPANY, LTD. | HI | IA | COMMONWEALTH GENERAL CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0468 | AEGON US HOLDING GRP. | 66281 | 52-0419790 | 0 | 0 | | TRANSAMERICA PREMIER LIFE INSURANCE COMPANY | IA | IA | COMMONWEALTH GENERAL CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 52-0419790 | 0 | 0 | | TRANSAMERICA PYRAMID PROPERTIES, LLC. | IA | NIA | TRANSAMERICA PREMIER LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 52-0419790 | 0 | 0 | | TRANSAMERICA REALTY INVESTMENT PROPERTIES, LLC. | DE | NIA | TRANSAMERICA PREMIER LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | TRANSAMERICA REDWOOD PARK, LLC | DE | NIA | TRANSAMERICA CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 52-1525601 | 0 | 0 | | TRANSAMERICA RESOURCES, INC. | MD | NIA | MONUMENTAL GENERAL ADMINISTRATORS, INC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 42-2892702 | 0 | 0 | | TRANSAMERICA RETIREMENT ADVISORS, LLC | DE | NIA | TRANSAMERICA RETIREMENT SOLUTIONS, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |

Q12.9

TRANSAMERICA CASUALTY INSURANCE COMPANY

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|------------|-------------------|------------|--------------|-----|------------------------------------------------------------------------|-------------------------------------------------------|----------------------|----------------------------------|-------------------------------------------------------|-----------------------------------------------------------------------------------|--------------------------------------------|--------------------------------------------|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 0000 | | 00000 | 46-2720367 | 0 | 0 | | TRANSAMERICA RETIREMENT INSURANCE AGENCY, LLC | DE | NIA | TRANSAMERICA RETIREMENT SOLUTIONS, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 13-3689044 | 0 | 0 | | TRANSAMERICA RETIREMENT SOLUTIONS, LLC | DE | NIA | AUSA HOLDING, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 36-4251204 | 0 | 0 | | TRANSAMERICA SMALL BUSINESS CAPITAL, INC. | DE | NIA | TCFC ASSET HOLDINGS, INC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 27-0648897 | 0 | 0 | | TRANSAMERICA STABLE VALUE SOLUTIONS, INC. | DE | NIA | COMMONWEALTH GENERAL CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 42-1079580 | 0 | 0 | | TRANSAMERICA TRAVEL AND CONFERENCE SERVICES, LLC | IA | NIA | MONEY SERVICES, INC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 36-4134790 | 0 | 0 | | TRANSAMERICA VENDOR FINANCIAL SERVICES CORPORATION | DE | NIA | TCFC ASSET HOLDINGS, INC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | TRANSAMERICA VENTURES, LLC | DE | NIA | AUSA HOLDING, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | TRANSAMERICA VENTURES FUND, LLC | DE | NIA | AUSA HOLDING, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 52-1263786 | 0 | 0 | | UNITED FINANCIAL SERVICES, INC. | MD | NIA | TRANSAMERICA CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 42-1334744 | 0 | 0 | | UNIVERSAL BENEFITS, LLC | IA | NIA | AUSA HOLDING, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | US PENG, INC. | DE | NIA | AEGON LEVENSVERZEKERING N.V. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | VERENIGING AEGON NETHERLANDS MEMBERSHIP ASSOCIATION | NLD | NIA | | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 20-2541057 | 0 | 0 | | WFG CHINA HOLDINGS, INC. | DE | NIA | WORLD FINANCIAL GROUP, INC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 66-0621281 | 0 | 0 | | WFG INSURANCE AGENCY OF PUERTO RICO, INC. | PR | NIA | WORLD FINANCIAL GROUP INSURANCE AGENCY, INC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 20-2133638 | 0 | 0 | | WFG PROPERTIES HOLDINGS, LLC | GA | NIA | WORLD FINANCIAL GROUP, INC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | WFG REINSURANCE LIMITED | HI | IA | WORLD FINANCIAL GROUP, INC. | OWNERSHIP BOARD | 51.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | WFG SECURITIES INC. | CAN | NIA | WORLD FINANCIAL GROUP HOLDING COMPANY OF CANADA, INC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | WORLD FINANCIAL GROUP CANADA, INC. | CAN | NIA | WORLD FINANCIAL GROUP HOLDING COMPANY OF CANADA, INC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | 0 | 0 | | WORLD FINANCIAL GROUP HOLDING COMPANY OF CANADA, INC. | CAN | NIA | COMMONWEALTH GENERAL CORPORATION | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |

Q12.10

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|------------|-------------------|------------|--------------|-----|------------------------------------------------------------------------|---------------------------------------------------------------|----------------------|----------------------------------|----------------------------------------------------------|-----------------------------------------------------------------------------------|--------------------------------------------|--------------------------------------------|----------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| 0000 | | 00000 | | .0 | .0 | | WORLD FINANCIAL GROUP INSURANCE AGENCY OF CANADA, INC. | CAN | NIA | WORLD FINANCIAL GROUP HOLDING COMPANY OF CANADA, INC. | OWNERSHIP BOARD | 50.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | | .0 | .0 | | WORLD FINANCIAL GROUP INSURANCE AGENCY OF CANADA, INC. | CAN | NIA | WORLD FINANCIAL GROUP SUBHOLDING COMPANY OF CANADA, INC. | OWNERSHIP BOARD | 50.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 99-0277127 | .0 | .0 | | WORLD FINANCIAL GROUP INSURANCE AGENCY OF HAWAII, INC. | HI | NIA | WORLD FINANCIAL GROUP INSURANCE AGENCY, INC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 04-3182849 | .0 | .0 | | WORLD FINANCIAL GROUP INSURANCE AGENCY OF MASSACHUSETTS, INC. | MA | NIA | WORLD FINANCIAL GROUP INSURANCE AGENCY, INC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 42-1519076 | .0 | .0 | | WORLD FINANCIAL GROUP INSURANCE AGENCY OF WYOMING, INC. | WY | NIA | WORLD FINANCIAL GROUP INSURANCE AGENCY, INC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 95-3809372 | .0 | .0 | | WORLD FINANCIAL GROUP INSURANCE AGENCY, INC. | CA | NIA | TRANSAMERICA PREMIER LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 100.000 | AEGON N.V. | Y | 0 |
| 0000 | | 00000 | | .0 | .0 | | WORLD FINANCIAL GROUP SUBHOLDING COMPANY OF CANADA, INC. | CAN | NIA | WORLD FINANCIAL GROUP HOLDING COMPANY OF CANADA, INC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 42-1518386 | .0 | .0 | | WORLD FINANCIAL GROUP, INC. | DE | NIA | AEGON ASSET MANAGEMENT SERVICES, INC. | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 27-5278588 | .0 | .0 | | YARRA RAPIDS, LLC | DE | NIA | REAL ESTATE ALTERNATIVES PORTFOLIO 4 MR, LLC | OWNERSHIP BOARD | 49.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 95-2775959 | .0 | .0 | | ZAHORIK COMPANY, INC. | CA | NIA | AUSA HOLDING, LLC | OWNERSHIP BOARD | 100.000 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 26-1298094 | .0 | .0 | | ZERO BETA FUND, LLC | DE | NIA | TRANSAMERICA LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 44.170 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 26-1298094 | .0 | .0 | | ZERO BETA FUND, LLC | DE | NIA | TRANSAMERICA PREMIER LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 36.400 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 26-1298094 | .0 | .0 | | ZERO BETA FUND, LLC | DE | NIA | TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY | OWNERSHIP BOARD | 18.130 | AEGON N.V. | N | 0 |
| 0000 | | 00000 | 26-1298094 | .0 | .0 | | ZERO BETA FUND, LLC | DE | NIA | FIREBIRD RE CORP | OWNERSHIP BOARD | 1.300 | AEGON N.V. | N | 0 |

Q12.11

TRANSAMERICA CASUALTY INSURANCE COMPANY

PART 1 - LOSS EXPERIENCE

| Lines of Business | Current Year to Date | | | 4 Prior Year to Date Direct Loss Percentage |
|--------------------------------------------------------------|--------------------------------|--------------------------------|--------------------------------|------------------------------------------------------|
| | 1 Direct Premiums Earned | 2 Direct Losses Incurred | 3 Direct Loss Percentage | |
| 1. Fire..... | 0 | 0 | 0.000 | 0.000 |
| 2. Allied lines..... | 0 | 0 | 0.000 | 0.000 |
| 3. Farmowners multiple peril..... | 0 | 0 | 0.000 | 0.000 |
| 4. Homeowners multiple peril..... | 0 | 0 | 0.000 | 0.000 |
| 5. Commercial multiple peril..... | 0 | 0 | 0.000 | 0.000 |
| 6. Mortgage guaranty..... | 0 | 0 | 0.000 | 0.000 |
| 8. Ocean marine..... | 0 | 0 | 0.000 | 0.000 |
| 9. Inland marine..... | 35,852,431 | 28,717,353 | 80.099 | 56.432 |
| 10. Financial guaranty..... | 2,250,000 | 0 | 0.000 | 0.000 |
| 11.1. Medical professional liability - occurrence..... | 0 | 0 | 0.000 | 0.000 |
| 11.2. Medical professional liability - claims-made..... | 0 | 0 | 0.000 | 0.000 |
| 12. Earthquake..... | 0 | 0 | 0.000 | 0.000 |
| 13. Group accident and health..... | 81,415 | 22,484 | 27.617 | 88.827 |
| 14. Credit accident and health..... | 173,874 | 8,429 | 4.848 | (9.436) |
| 15. Other accident and health..... | 10,582 | (53) | (0.501) | (0.605) |
| 16. Workers' compensation..... | 0 | (1,380,975) | 0.000 | 0.000 |
| 17.1 Other liability-occurrence..... | 0 | 0 | 0.000 | 0.000 |
| 17.2 Other liability-claims made..... | 0 | 0 | 0.000 | 0.000 |
| 17.3 Excess workers' compensation..... | 0 | 0 | 0.000 | 0.000 |
| 18.1 Products liability-occurrence..... | 0 | 0 | 0.000 | 0.000 |
| 18.2 Products liability-claims made..... | 0 | 0 | 0.000 | 0.000 |
| 19.1, 19.2 Private passenger auto liability..... | 0 | 0 | 0.000 | 0.000 |
| 19.3, 19.4 Commercial auto liability..... | 0 | 0 | 0.000 | 0.000 |
| 21. Auto physical damage..... | 0 | 0 | 0.000 | 0.000 |
| 22. Aircraft (all perils)..... | 0 | 0 | 0.000 | 0.000 |
| 23. Fidelity..... | 0 | 0 | 0.000 | 0.000 |
| 24. Surety..... | 0 | 0 | 0.000 | 0.000 |
| 26. Burglary and theft..... | 0 | 0 | 0.000 | 0.000 |
| 27. Boiler and machinery..... | 0 | 0 | 0.000 | 0.000 |
| 28. Credit..... | 8,553,151 | 11,192,631 | 130.860 | 119.420 |
| 29. International..... | 0 | 0 | 0.000 | 0.000 |
| 30. Warranty..... | 852,074 | 196,596 | 23.073 | 40.323 |
| 31. Reinsurance-nonproportional assumed property..... | XXX | XXX | XXX | XXX |
| 32. Reinsurance-nonproportional assumed liability..... | XXX | XXX | XXX | XXX |
| 33. Reinsurance-nonproportional assumed financial lines..... | XXX | XXX | XXX | XXX |
| 34. Aggregate write-ins for other lines of business..... | 1,193,464 | 230,667 | 19.328 | 20.555 |
| 35. Totals..... | 48,966,991 | 38,987,132 | 79.619 | 58.570 |

DETAILS OF WRITE-INS

| | | | | |
|-----------------------------------------------------------------------|-----------|---------|--------|--------|
| 3401. Debt cancellation..... | 522,679 | 182,265 | 34.871 | 27.764 |
| 3402. Credit unemployment..... | 670,785 | 48,402 | 7.216 | 6.368 |
| 3403..... | 0 | 0 | 0.000 | 0.000 |
| 3498. Sum. of remaining write-ins for Line 34 from overflow page..... | 0 | 0 | 0.000 | XXX |
| 3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34)..... | 1,193,464 | 230,667 | 19.328 | 20.555 |

PART 2 - DIRECT PREMIUMS WRITTEN

| Lines of Business | 1 | 2 | 3 |
|--------------------------------------------------------------|--------------------|-------------------------|----------------------------|
| | Current Quarter | Current Year to Date | Prior Year Year to Date |
| 1. Fire..... | 0 | 0 | 0 |
| 2. Allied lines..... | 0 | 0 | 0 |
| 3. Farmowners multiple peril..... | 0 | 0 | 0 |
| 4. Homeowners multiple peril..... | 0 | 0 | 0 |
| 5. Commercial multiple peril..... | 0 | 0 | 0 |
| 6. Mortgage guaranty..... | 0 | 0 | 0 |
| 8. Ocean marine..... | 0 | 0 | 0 |
| 9. Inland marine..... | 654,156 | 19,450,736 | 302,033,703 |
| 10. Financial guaranty..... | 0 | 3,000,000 | 3,000,000 |
| 11.1 Medical professional liability - occurrence..... | 0 | 0 | 0 |
| 11.2 Medical professional liability - claims made..... | 0 | 0 | 0 |
| 12. Earthquake..... | 0 | 0 | 0 |
| 13. Group accident and health..... | 25,448 | 81,406 | 96,873 |
| 14. Credit accident and health..... | 54,908 | 173,874 | 206,634 |
| 15. Other accident and health..... | 3,312 | 10,707 | 11,470 |
| 16. Workers' compensation..... | 0 | 0 | 0 |
| 17.1 Other liability-occurrence..... | 0 | 0 | 0 |
| 17.2 Other liability-claims made..... | 0 | 0 | 0 |
| 17.3 Excess workers' compensation..... | 0 | 0 | 0 |
| 18.1 Products liability-occurrence..... | 0 | 0 | 0 |
| 18.2 Products liability-claims made..... | 0 | 0 | 0 |
| 19.1 19.2 Private passenger auto liability..... | 0 | 0 | 0 |
| 19.3 19.4 Commercial auto liability..... | 0 | 0 | 0 |
| 21. Auto physical damage..... | 0 | 0 | 0 |
| 22. Aircraft (all perils)..... | 0 | 0 | 0 |
| 23. Fidelity..... | 0 | 0 | 0 |
| 24. Surety..... | 0 | 0 | 0 |
| 26. Burglary and theft..... | 0 | 0 | 0 |
| 27. Boiler and machinery..... | 0 | 0 | 0 |
| 28. Credit..... | (335,301) | (1,154,335) | 1,694,830 |
| 29. International..... | 0 | 0 | 0 |
| 30. Warranty..... | 0 | (2,067) | (1,047) |
| 31. Reinsurance-nonproportional assumed property..... | XXX | XXX | XXX |
| 32. Reinsurance-nonproportional assumed liability..... | XXX | XXX | XXX |
| 33. Reinsurance-nonproportional assumed financial lines..... | XXX | XXX | XXX |
| 34. Aggregate write-ins for other lines of business..... | 180,315 | 576,787 | 694,655 |
| 35. Totals..... | 582,838 | 22,137,108 | 307,737,118 |

DETAILS OF WRITE-INS

| | | | |
|-----------------------------------------------------------------------|----------|----------|----------|
| 3401. Credit unemployment..... | 211,428 | 670,785 | 777,191 |
| 3402. Tuition reimbursement..... | (2,581) | (15,352) | (44,323) |
| 3403. Debt Cancellation..... | (28,532) | (78,646) | (38,213) |
| 3498. Sum. of remaining write-ins for Line 34 from overflow page..... | 0 | 0 | 0 |
| 3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34)..... | 180,315 | 576,787 | 694,655 |

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
|------------------------------------------------------|-------------------------------------------------|-------------------------------------------|----------------------------------------------------------|--------------------------------------------------------------------|----------------------------------------------------------------------|------------------------------------------------|---------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|--------------------------------------|----------------------------------------------------|-----------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|
| Years in Which Losses Occurred | Prior Year-End Known Case Loss and LAE Reserves | Prior Year-End IBNR Loss and LAE Reserves | Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2) | 2018 Loss and LAE Payments on Claims Reported as of Prior Year-End | 2018 Loss and LAE Payments on Claims Unreported as of Prior Year-End | Total 2018 Loss and LAE Payments (Cols. 4 + 5) | Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End | Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End | Q.S. Date IBNR Loss and LAE Reserves | Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9) | Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 4 + 7 minus Col. 1) | Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 5 + 8 + 9 minus Col. 2) | Prior Year-End Total Loss and LAE Reserve Developed (Savings)/Deficiency (Cols. 11 + 12) |
| 1. 2015 + Prior..... | 6,886 | 15,827 | 22,713 | 31 | 0 | 31 | 5,860 | 176 | 17,230 | 23,266 | (995) | 1,579 | 584 |
| 2. 2016..... | 217 | 628 | 845 | 523 | 0 | 523 | 0 | 120 | 89 | 209 | 306 | (419) | (113) |
| 3. Subtotals 2016 + Prior..... | 7,103 | 16,455 | 23,558 | 554 | 0 | 554 | 5,860 | 296 | 17,319 | 23,475 | (689) | 1,160 | 471 |
| 4. 2017..... | 164 | 48,330 | 48,494 | 22,251 | 0 | 22,251 | 0 | 43 | 1,890 | 1,933 | 22,087 | (46,397) | (24,310) |
| 5. Subtotals 2017 + Prior..... | 7,267 | 64,785 | 72,052 | 22,805 | 0 | 22,805 | 5,860 | 339 | 19,209 | 25,408 | 21,398 | (45,237) | (23,839) |
| 6. 2018..... | XXX | XXX | XXX | XXX | 50,402 | 50,402 | XXX | 703 | 8,734 | 9,437 | XXX | XXX | XXX |
| 7. Totals..... | 7,267 | 64,785 | 72,052 | 22,805 | 50,402 | 73,207 | 5,860 | 1,042 | 27,943 | 34,845 | 21,398 | (45,237) | (23,839) |
| 8. Prior Year-End's Surplus As Regards Policyholders | 274,282 | | | | | | | | | | | | |
| | | | | | | | | | | | Col. 11, Line 7 As % of Col. 1, Line 7 | Col. 12, Line 7 As % of Col. 2, Line 7 | Col. 13, Line 7 As % of Col. 3, Line 7 |
| | | | | | | | | | | | 1.294.5 % | 2.(69.8)% | 3.(33.1)% |
| | | | | | | | | | | | | | Col. 13, Line 7 Line 8 |
| | | | | | | | | | | | | | 4.(8.7)% |

Q14

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

| | Response |
|--------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| 1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? | NO _____ |
| 2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement? | NO _____ |
| 3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? | NO _____ |
| 4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement? | NO _____ |

Explanation:

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.

Bar Code:



TRANSAMERICA CASUALTY INSURANCE COMPANY

Overflow Page for Write-Ins

Additional Write-ins for Statement of Income:

| | 1 Current Year to Date | 2 Prior Year to Date | 3 Prior Year Ended December 31 |
|-------------------------------------------------------|------------------------------|----------------------------|--------------------------------------|
| 1404. Change in retroactive reinsurance ceded..... | 0 | (18,125) | (3,287,914) |
| 1497. Summary of remaining write-ins for Line 14..... | 0 | (18,125) | (3,287,914) |

Additional Write-ins for Schedule T:

| States, Etc. | 1 Active Status (a) | Direct Premiums Written | | Direct Losses Paid (Deducting Salvage) | | Direct Losses Unpaid | |
|----------------------------------------------------------------------|------------------------------|------------------------------|----------------------------|----------------------------------------|----------------------------|------------------------------|----------------------------|
| | | 2 Current Year to Date | 3 Prior Year to Date | 4 Current Year to Date | 5 Prior Year to Date | 6 Current Year to Date | 7 Prior Year to Date |
| 58004. AUT- AUSTRIA..... | ..XXX.. | 12 | .0 | 2,539 | 16,394 | 453 | 6,034 |
| 58005. BEL- BELGIUM..... | ..XXX.. | .0 | 2,762 | 4,260 | .69 | 761 | .25 |
| 58006. BGD- BANGLADESH..... | ..XXX.. | .0 | 664 | .0 | .0 | .0 | .0 |
| 58007. BHS- BAHAMAS..... | ..XXX.. | .0 | 4,391 | .0 | 12,743 | .0 | 4,691 |
| 58008. BHR- SAUDI ARABIA..... | ..XXX.. | 231 | .0 | .0 | .0 | .0 | .0 |
| 58009. BLZ- BELIZE..... | ..XXX.. | .0 | .0 | .0 | 23,891 | .0 | 8,766 |
| 58010. BMU- BERMUDA..... | ..XXX.. | .0 | 333 | 13,149 | 35 | 2,348 | 13 |
| 58011. BOL- BOLIVIA..... | ..XXX.. | (83) | 2,634 | .0 | .0 | .0 | .0 |
| 58012. BRA- BRAZIL..... | ..XXX.. | .0 | .0 | 7,573 | 61,208 | 1,352 | 22,439 |
| 58013. BRB- BARBADOS..... | ..XXX.. | 1,898 | 731 | 7,563 | 324 | 1,351 | 119 |
| 58014. CHE- SWITZERLAND..... | ..XXX.. | .0 | 136 | 9,304 | .0 | 1,661 | .0 |
| 58015. CHL- CHILE..... | ..XXX.. | 345 | 21,589 | 1,500 | 5,352 | 268 | 1,970 |
| 58016. CHN- CHINA..... | ..XXX.. | .0 | .0 | .0 | 35,241 | .0 | 12,972 |
| 58017. CMR- CAMEROON..... | ..XXX.. | .0 | 332 | .0 | .0 | .0 | .0 |
| 58018. COL- COLOMBIA..... | ..XXX.. | .0 | 9,631 | .0 | 3,642 | .0 | 1,294 |
| 58019. CRI- COSTA RICA..... | ..XXX.. | 3,168 | 8,179 | 1,157 | .81 | 207 | .0 |
| 58020. CYP- CYPRUS..... | ..XXX.. | 249 | .0 | .0 | .0 | .0 | .0 |
| 58021. CZE- CZECH REPUBLIC..... | ..XXX.. | 498 | 11,459 | 1,738 | 6,687 | 310 | 2,462 |
| 58022. Department of defense..... | ..XXX.. | 156,108 | 2,392,196 | 244,851 | 708,036 | 43,722 | 259,892 |
| 58023. DEU- GERMANY..... | ..XXX.. | .0 | .0 | .0 | 16,298 | .0 | 5,836 |
| 58024. DNK- DENMARK..... | ..XXX.. | 353 | 275 | .0 | .0 | .0 | .0 |
| 58025. DOM- DOMINICAN REPUBLIC..... | ..XXX.. | .0 | 13,486 | 1,000 | 15,199 | 179 | 5,595 |
| 58026. ECU- ECUADOR..... | ..XXX.. | .0 | .0 | 3,452 | 16,493 | 616 | 6,071 |
| 58027. EGY- EGYPT..... | ..XXX.. | (139) | 10,312 | 24 | 6,545 | .4 | 2,409 |
| 58028. ESP- SPAIN..... | ..XXX.. | .0 | 6,131 | .0 | 13,191 | .0 | 4,815 |
| 58029. ETH- ETHIOPIA..... | ..XXX.. | 324 | .0 | .0 | .0 | .0 | .0 |
| 58030. FRA- FRANCE..... | ..XXX.. | .0 | .0 | 67,207 | 65,311 | 12,001 | 24,041 |
| 58031. GBR- UNITED KINGDOM..... | ..XXX.. | 3,725 | 14,579 | .0 | 52,172 | .0 | 18,991 |
| 58032. GEO- GEORGIA..... | ..XXX.. | .0 | .0 | .0 | 3,318 | .0 | 1,221 |
| 58033. GRC- GREECE..... | ..XXX.. | .0 | 2,165 | 9,998 | 350 | 1,785 | 129 |
| 58034. GTM- GUATEMALA..... | ..XXX.. | 212 | 794 | .0 | 362 | .0 | .0 |
| 58035. HKG- HONG KONG..... | ..XXX.. | .0 | 4,670 | .0 | .0 | .0 | .0 |
| 58036. HRV- CROATIA..... | ..XXX.. | .0 | 21 | .0 | 846 | .0 | 311 |
| 58037. HUN- HUNGARY..... | ..XXX.. | (627) | 9,006 | 2,037 | 6,539 | 364 | 2,407 |
| 58038. IDN- INDONESIA..... | ..XXX.. | .0 | 27 | .0 | 1,059 | .0 | 390 |
| 58039. IND- INDIA..... | ..XXX.. | .0 | 13,714 | .0 | 173 | .0 | 64 |
| 58040. IRL- IRELAND..... | ..XXX.. | .0 | .0 | .0 | 15,628 | .0 | 5,753 |
| 58041. IRN- IRAN..... | ..XXX.. | .0 | .0 | 4,667 | 8,873 | 833 | 3,266 |
| 58042. ISL- ICELAND..... | ..XXX.. | .0 | .0 | 7,296 | 14,810 | 1,303 | 5,452 |
| 58043. ISR- ISRAEL..... | ..XXX.. | .0 | 74,481 | .0 | 11,838 | .0 | 4,358 |
| 58044. ITA- ITALY..... | ..XXX.. | 2,445 | 35,415 | 13,128 | 38,832 | 2,344 | 14,294 |
| 58045. JAM- JAMAICA..... | ..XXX.. | 2 | 12,055 | 460 | 6,129 | 82 | 2,256 |
| 58046. JPN- JAPAN..... | ..XXX.. | .0 | .6 | .0 | 39,688 | .0 | 14,609 |
| 58047. KWT- KUWAIT..... | ..XXX.. | .0 | 6,091 | .0 | .0 | .0 | .0 |
| 58048. LUX- LUXEMBOURG..... | ..XXX.. | 730 | .0 | .0 | .0 | .0 | .0 |
| 58049. MCO- MONACO..... | ..XXX.. | .0 | .0 | 58 | .0 | 10 | .0 |
| 58050. MEX- MEXICO..... | ..XXX.. | 1,263 | 40,013 | 2,510 | 33,977 | 448 | 12,040 |
| 58051. NGA- NIGERIA..... | ..XXX.. | (87) | .0 | .0 | .0 | .0 | .0 |
| 58052. NIC- NICARAGUA..... | ..XXX.. | 1,157 | 1,640 | .0 | .0 | .0 | .0 |
| 58053. NLD- NETHERLANDS..... | ..XXX.. | .0 | 5,010 | 10,525 | 12,955 | 1,879 | 4,696 |
| 58054. NOR- NORWAY..... | ..XXX.. | .0 | .0 | (647) | 7,688 | (115) | 2,830 |
| 58055. NPL- NEPAL..... | ..XXX.. | 35 | .0 | 7,500 | .0 | 1,339 | .0 |
| 58056. NZL- NEW ZEALAND..... | ..XXX.. | .0 | .0 | 10,321 | 22,661 | 1,843 | 8,342 |
| 58057. OMN- OMAN..... | ..XXX.. | 788 | 2,638 | .0 | .0 | .0 | .0 |
| 58058. PAK- PAKISTAN..... | ..XXX.. | .0 | 2,287 | .0 | .0 | .0 | .0 |
| 58059. PAN- PANAMA..... | ..XXX.. | .0 | 9,338 | .0 | 124 | .0 | .0 |
| 58060. PER- PERU..... | ..XXX.. | .0 | 3,278 | 538 | 3,583 | 96 | 1,319 |
| 58061. PHL- PHILIPPINES..... | ..XXX.. | .0 | .0 | 10,906 | 10,106 | 1,947 | 3,720 |
| 58062. POL- POLAND..... | ..XXX.. | 884 | 8,022 | .0 | 10,195 | .0 | 3,753 |
| 58063. PRK- KOREA..... | ..XXX.. | .0 | 20,909 | .0 | .0 | .0 | .0 |
| 58064. PRT- PORTUGAL..... | ..XXX.. | .0 | 625 | .0 | 377 | .0 | 139 |
| 58065. PYF- FRENCH POLYNESIA..... | ..XXX.. | .0 | .0 | .0 | 19,540 | .0 | 7,193 |
| 58066. QAT- QATAR..... | ..XXX.. | (721) | 284 | (2,462) | 171 | (440) | 63 |
| 58067. ROU- ROMANIA..... | ..XXX.. | .0 | 4,449 | .0 | 2,617 | .0 | 963 |
| 58068. RUS- RUSSIA..... | ..XXX.. | (1) | 5,832 | (154) | 11,980 | (28) | 4,410 |
| 58069. SAU- SAUDI ARABIA..... | ..XXX.. | .0 | 12,407 | 12,736 | 26,374 | 2,274 | 9,708 |
| 58070. SEN- SENEGAL..... | ..XXX.. | 181 | .0 | .0 | .0 | .0 | .0 |
| 58071. SGP- SINGAPORE..... | ..XXX.. | 751 | 2,246 | .0 | 27,798 | .0 | 10,232 |
| 58072. SLV- EL SALVADOR..... | ..XXX.. | .0 | 5,475 | .0 | 3,297 | .0 | 1,214 |
| 58073. SVN- SLOVENIA..... | ..XXX.. | .0 | 4,578 | .0 | .0 | .0 | .0 |
| 58074. SWE- SWEDEN..... | ..XXX.. | 145 | .0 | (247) | 26,150 | (44) | 9,585 |
| 58075. THA- THAILAND..... | ..XXX.. | 1,091 | 1,254 | 4,269 | 16,276 | 762 | 5,991 |
| 58076. TTO- TRINIDAD AND TOBAGO..... | ..XXX.. | .0 | 157 | .0 | 6,275 | .0 | 2,272 |
| 58077. TUR- TURKEY..... | ..XXX.. | 1,127 | 6,255 | 989 | 19,501 | 177 | 7,178 |
| 58078. TWN- TAIWAN..... | ..XXX.. | .0 | 37,013 | .0 | .0 | .0 | .0 |
| 58079. TZA- TANZANIA..... | ..XXX.. | .0 | 1,028 | .0 | .0 | .0 | .0 |
| 58080. UKR- UKRAINE..... | ..XXX.. | .0 | .0 | (1,118) | .0 | (200) | .0 |
| 58081. URY- URUGUAY..... | ..XXX.. | .0 | 1,070 | .0 | 22,825 | .0 | 8,402 |
| 58082. VEN- VENEZUELA..... | ..XXX.. | .0 | 20,802 | .0 | 1,297 | .0 | 394 |
| 58083. VGB- BRITISH VIRGIN ISLANDS..... | ..XXX.. | 4 | .0 | 881 | 2,810 | 157 | 1,034 |
| 58084. ZZZ- CARRIBEAN..... | ..XXX.. | .0 | .0 | .0 | 119 | .0 | .0 |
| 58085. ZZZ- LEEWARD ISLANDS..... | ..XXX.. | .0 | 18,248 | .0 | .0 | .0 | .0 |
| 58997. Summary of remaining write-ins for Line 58 from overflow..... | ..XXX.. | 176,068 | 2,873,123 | 459,508 | 1,496,052 | 82,049 | 548,419 |

TRANSAMERICA CASUALTY INSURANCE COMPANY
SCHEDULE A - VERIFICATION

Real Estate

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|---------------------------------------------------------------------------------------|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year..... | .0 | .0 |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition..... | .0 | .0 |
| 2.2 Additional investment made after acquisition..... | .0 | .0 |
| 3. Current year change in encumbrances..... | .0 | .0 |
| 4. Total gain (loss) on disposals..... | .0 | .0 |
| 5. Deduct amounts received on disposals..... | .0 | .0 |
| 6. Total foreign exchange change in book/adjusted carrying value..... | .0 | .0 |
| 7. Deduct current year's other-than-temporary impairment recognized..... | .0 | .0 |
| 8. Deduct current year's depreciation..... | .0 | .0 |
| 9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8)..... | .0 | .0 |
| 10. Deduct total nonadmitted amounts..... | .0 | .0 |
| 11. Statement value at end of current period (Line 9 minus Line 10)..... | .0 | .0 |

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|--------------------------------------------------------------------------------------------------------------------------|-------------------|--------------------------------------|
| 1. Book value/recorded investment excluding accrued interest, December 31 of prior year..... | .0 | .0 |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition..... | .0 | .0 |
| 2.2 Additional investment made after acquisition..... | .0 | .0 |
| 3. Capitalized deferred interest and other..... | .0 | .0 |
| 4. Accrual of discount..... | .0 | .0 |
| 5. Unrealized valuation increase (decrease)..... | .0 | .0 |
| 6. Total gain (loss) on disposals..... | .0 | .0 |
| 7. Deduct amounts received on disposals..... | .0 | .0 |
| 8. Deduct amortization of premium and mortgage interest points and commitment fees..... | .0 | .0 |
| 9. Total foreign exchange change in book value/recorded investment excluding accrued interest..... | .0 | .0 |
| 10. Deduct current year's other-than-temporary impairment recognized..... | .0 | .0 |
| 11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)..... | .0 | .0 |
| 12. Total valuation allowance..... | .0 | .0 |
| 13. Subtotal (Line 11 plus Line 12)..... | .0 | .0 |
| 14. Deduct total nonadmitted amounts..... | .0 | .0 |
| 15. Statement value at end of current period (Line 13 minus Line 14)..... | .0 | .0 |

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|---------------------------------------------------------------------------------------------|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year..... | 1,167,410 | 611,316 |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition..... | .0 | 586,036 |
| 2.2 Additional investment made after acquisition..... | .0 | .0 |
| 3. Capitalized deferred interest and other..... | .0 | .0 |
| 4. Accrual of discount..... | .0 | .0 |
| 5. Unrealized valuation increase (decrease)..... | .0 | .0 |
| 6. Total gain (loss) on disposals..... | .0 | .0 |
| 7. Deduct amounts received on disposals..... | 1,513 | .0 |
| 8. Deduct amortization of premium and depreciation..... | 19,798 | 29,942 |
| 9. Total foreign exchange change in book/adjusted carrying value..... | .0 | .0 |
| 10. Deduct current year's other-than-temporary impairment recognized..... | .0 | .0 |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)..... | 1,146,099 | 1,167,410 |
| 12. Deduct total nonadmitted amounts..... | .0 | .0 |
| 13. Statement value at end of current period (Line 11 minus Line 12)..... | 1,146,099 | 1,167,410 |

SCHEDULE D - VERIFICATION

Bonds and Stocks

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|----------------------------------------------------------------------------------------------------------|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year..... | 297,920,722 | 286,084,390 |
| 2. Cost of bonds and stocks acquired..... | 97,729,084 | 88,523,675 |
| 3. Accrual of discount..... | 257,211 | 513,134 |
| 4. Unrealized valuation increase (decrease)..... | (139,209) | 201,190 |
| 5. Total gain (loss) on disposals..... | (1,352,425) | (379,289) |
| 6. Deduct consideration for bonds and stocks disposed of..... | 97,575,700 | 76,144,742 |
| 7. Deduct amortization of premium..... | 590,317 | 874,370 |
| 8. Total foreign exchange change in book/adjusted carrying value..... | .0 | .0 |
| 9. Deduct current year's other-than-temporary impairment recognized..... | 64,076 | 3,266 |
| 10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees..... | 152,588 | .0 |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)..... | 296,337,878 | 297,920,722 |
| 12. Deduct total nonadmitted amounts..... | .0 | .0 |
| 13. Statement value at end of current period (Line 11 minus Line 12)..... | 296,337,878 | 297,920,722 |

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity

During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

| NAIC Designation | 1 Book/Adjusted Carrying Value Beginning of Current Quarter | 2 Acquisitions During Current Quarter | 3 Dispositions During Current Quarter | 4 Non-Trading Activity During Current Quarter | 5 Book/Adjusted Carrying Value End of First Quarter | 6 Book/Adjusted Carrying Value End of Second Quarter | 7 Book/Adjusted Carrying Value End of Third Quarter | 8 Book/Adjusted Carrying Value December 31 Prior Year |
|------------------------------------------|----------------------------------------------------------------------|------------------------------------------------|------------------------------------------------|--------------------------------------------------------|--------------------------------------------------------------|---------------------------------------------------------------|--------------------------------------------------------------|----------------------------------------------------------------|
| BONDS | | | | | | | | |
| 1. NAIC 1 (a)..... | 191,342,816 | 15,197,028 | 23,574,532 | 1,934,136 | 230,753,461 | 191,342,816 | 184,899,448 | 219,737,055 |
| 2. NAIC 2 (a)..... | 107,413,622 | 5,602,300 | 9,446,498 | (1,454,318) | 113,789,573 | 107,413,622 | 102,115,106 | 107,485,061 |
| 3. NAIC 3 (a)..... | 8,234,424 | 0 | 3,746,616 | (1,039,385) | 5,249,377 | 8,234,424 | 3,448,423 | 3,877,460 |
| 4. NAIC 4 (a)..... | 3,989,979 | 0 | 0 | 684,910 | 4,034,368 | 3,989,979 | 4,674,889 | 5,613,394 |
| 5. NAIC 5 (a)..... | 1,200,000 | 0 | 0 | 0 | 1,200,000 | 1,200,000 | 1,200,000 | 1,200,000 |
| 6. NAIC 6 (a)..... | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 7. Total Bonds..... | 312,180,841 | 20,799,328 | 36,767,646 | 125,343 | 355,026,779 | 312,180,841 | 296,337,866 | 337,912,970 |
| PREFERRED STOCK | | | | | | | | |
| 8. NAIC 1..... | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 9. NAIC 2..... | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10. NAIC 3..... | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 11. NAIC 4..... | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 12. NAIC 5..... | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 13. NAIC 6..... | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 14. Total Preferred Stock..... | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15. Total Bonds and Preferred Stock..... | 312,180,841 | 20,799,328 | 36,767,646 | 125,343 | 355,026,779 | 312,180,841 | 296,337,866 | 337,912,970 |

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(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

SCHEDULE DA - PART 1

Short-Term Investments

| | 1 Book/Adjusted Carrying Value | 2 Par Value | 3 Actual Cost | 4 Interest Collected Year To Date | 5 Paid for Accrued Interest Year To Date |
|--------------|--------------------------------------|----------------|---------------------|-----------------------------------------|------------------------------------------------|
| 9199999..... | 0 | X | 0 | 0 | 0 |

NONE

SCHEDULE DA - VERIFICATION

Short-Term Investments

| | 1 Year To Date | 2 Prior Year Ended December 31 |
|------------------------------------------------------------------------------------------|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year..... | 0 | 27,986,450 |
| 2. Cost of short-term investments acquired..... | 0 | 0 |
| 3. Accrual of discount..... | 0 | 0 |
| 4. Unrealized valuation increase (decrease)..... | 0 | 0 |
| 5. Total gain (loss) on disposals..... | 0 | 0 |
| 6. Deduct consideration received on disposals..... | 0 | 27,986,450 |
| 7. Deduct amortization of premium..... | 0 | 0 |
| 8. Total foreign exchange change in book/adjusted carrying value..... | 0 | 0 |
| 9. Deduct current year's other-than-temporary impairment recognized..... | 0 | 0 |
| 10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)..... | 0 | 0 |
| 11. Deduct total nonadmitted amounts..... | 0 | 0 |
| 12. Statement value at end of current period (Line 10 minus Line 11)..... | 0 | 0 |

**Sch. DB - Pt. A - Verification
NONE**

**Sch. DB - Pt. B - Verification
NONE**

**Sch. DB - Pt. C - Sn. 1
NONE**

**Sch. DB - Pt. C - Sn. 2
NONE**

**Sch. DB - Verification
NONE**

TRANSAMERICA CASUALTY INSURANCE COMPANY
SCHEDULE E - PART 2 - VERIFICATION

Cash Equivalents

| | 1 Year To Date | 2 Prior Year Ended December 31 |
|------------------------------------------------------------------------------------------|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year..... | 112,874,992 | 29,995,720 |
| 2. Cost of cash equivalents acquired..... | 226,469,992 | 833,214,604 |
| 3. Accrual of discount..... | 118,314 | 143,488 |
| 4. Unrealized valuation increase (decrease)..... | 741 | (709) |
| 5. Total gain (loss) on disposals..... | (3,114) | (5,438) |
| 6. Deduct consideration received on disposals..... | 329,032,533 | 750,472,673 |
| 7. Deduct amortization of premium..... | 0 | 0 |
| 8. Total foreign exchange change in book/ adjusted carrying value..... | 0 | 0 |
| 9. Deduct current year's other-than-temporary impairment recognized..... | 0 | 0 |
| 10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)..... | 10,428,392 | 112,874,992 |
| 11. Deduct total nonadmitted amounts..... | 0 | 0 |
| 12. Statement value at end of current period (Line 10 minus Line 11)..... | 10,428,392 | 112,874,992 |

**Sch. A - Pt. 2
NONE**

**Sch. A - Pt. 3
NONE**

**Sch. B - Pt. 2
NONE**

**Sch. B - Pt. 3
NONE**

**Sch. BA - Pt. 2
NONE**

**Sch. BA - Pt. 3
NONE**

SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

| 1 | 2 | | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
|------------------------------------------------------------|--------------------------------------------------------------|--|---------|---------------|------------------|---------------------------|-------------|------------|-----------------------------------------|------------------------------------------|
| CUSIP Identification | Description | | Foreign | Date Acquired | Name of Vendor | Number of Shares of Stock | Actual Cost | Par Value | Paid for Accrued Interest and Dividends | NAIC Designation or Market Indicator (a) |
| Bonds - U.S. Special Revenue and Special Assessment | | | | | | | | | | |
| 01F040 69 3 | FNMA 4.00% TBA 4% 9/1/2039 4.000% 09/0 | | | 08/24/2018 | WELLS FARGO BANK | | 5,098,633 | 5,000,000 | 0 | 1 |
| 3140GT 2D 1 | FNMA 4.00% BH5271 8/1/2047 4.000% 08/0 | | | 09/11/2018 | Various | | 5,098,630 | 4,999,998 | 6,666 | 1 |
| 3199999 | Total - Bonds - U.S. Special Revenue and Special Assessments | | | | | | 10,197,263 | 9,999,998 | 6,666 | XXX |
| Bonds - Industrial and Miscellaneous | | | | | | | | | | |
| 40465@ AE 2 | HSRE CORE HOLDINGS I LLC 4.15% SR UNSEC | | | 03/07/2018 | BANK OF AMERICA | | 5,000,000 | 5,000,000 | 0 | 2 |
| 918286 AA 3 | VSE VOI MORTGAGE LLC 2018 A 3.56% A 2/2 | | | 08/07/2018 | WELLS FARGO BANK | | 4,999,764 | 5,000,000 | 0 | 1FE |
| 000000 00 0 | WEICHAJ INTERNATIONAL HONG KON 3.75% PER | | D | 08/03/2018 | Various | | 602,300 | 650,000 | 9,586 | 2FE |
| 3899999 | Total - Bonds - Industrial and Miscellaneous | | | | | | 10,602,064 | 10,650,000 | 9,586 | XXX |
| 8399997 | Total - Bonds - Part 3 | | | | | | 20,799,327 | 20,649,998 | 16,252 | XXX |
| 8399999 | Total - Bonds | | | | | | 20,799,327 | 20,649,998 | 16,252 | XXX |
| 9999999 | Total - Bonds, Preferred and Common Stocks | | | | | | 20,799,327 | XXX | 16,252 | XXX |

(a) For all common stock bearing NAIC market indicator "U" provide the number of such issues:.....0.

QE04

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | Change in Book/Adjusted Carrying Value | | | | | 16 | 17 | 18 | 19 | 20 | 21 | 22 |
|------------------------------------------------------------|-------------------------------------------------------------------|---------------------------------|---------------|---------------------------------|---------------------------|---------------|-----------|-------------|-----------------------------------------|------------------------------------------|-------------------------------------------|-----------------------------------------------------------|--------------------------------------|--------------------------------------------|-----------------------------------------------|------------------------------------------|----------------------------------|-------------------------------|------------------------------------------------------|----------------------------------|------------------------------------------|
| | | | | | | | | | | 11 | 12 | 13 | 14 | 15 | | | | | | | |
| CUSIP Identification | Description | F o r e i g n | Disposal Date | Name of Purchaser | Number of Shares of Stock | Consideration | Par Value | Actual Cost | Prior Year Book/Adjusted Carrying Value | Unrealized Valuation Increase (Decrease) | Current Year's (Amortization) / Accretion | Current Year's Other-Than-Temporary Impairment Recognized | Total Change in B./A.C.V. (11+12-13) | Total Foreign Exchange Change in B./A.C.V. | Book/Adjusted Carrying Value at Disposal Date | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Bond Interest / Stock Dividends Received During Year | Stated Contractual Maturity Date | NAIC Designation or Market Indicator (a) |
| Bonds - U.S. Government | | | | | | | | | | | | | | | | | | | | | |
| 36203V ZX 1 | GNMA 7.00% 360858 4/1/2024 7.000% 04/0 | | 09/01/2018 | Paydown..... | | 43 | 43 | 41 | 43 | 0 | 1 | 0 | 1 | 0 | 43 | 0 | 0 | 0 | 2 | 04/01/2024 | 1..... |
| 36204N F3 6 | GNMA 7.00% 374686 4/1/2024 7.000% 04/0 | | 09/01/2018 | Paydown..... | | 2,808 | 2,808 | 2,662 | 2,740 | 0 | 68 | 0 | 68 | 0 | 2,808 | 0 | 0 | 0 | 116 | 04/01/2024 | 1..... |
| 36204T LK 8 | GNMA 7.00% 379330 4/1/2024 7.000% 04/0 | | 09/01/2018 | Paydown..... | | 250 | 250 | 237 | 244 | 0 | 6 | 0 | 6 | 0 | 250 | 0 | 0 | 0 | 12 | 04/01/2024 | 1..... |
| 36223A RJ 3 | GNMA 7.00% 302089 5/1/2024 7.000% 05/0 | | 09/01/2018 | Paydown..... | | 307 | 307 | 292 | 300 | 0 | 7 | 0 | 7 | 0 | 307 | 0 | 0 | 0 | 14 | 05/01/2024 | 1..... |
| 36225A QF 0 | GNMA 7.00% 780454 10/1/2026 7.000% 10/ | | 09/01/2018 | Paydown..... | | 75 | 75 | 75 | 75 | 0 | 0 | 0 | 0 | 0 | 75 | 0 | 0 | 0 | 3 | 10/01/2026 | 1..... |
| 83164F AE 4 | SBA FLT POOL #504505 3/1/2024 5.375% 0 | | 09/01/2018 | Paydown..... | | 17,914 | 17,914 | 18,754 | 18,537 | 0 | (623) | 0 | (623) | 0 | 17,914 | 0 | 0 | 0 | 597 | 03/01/2024 | 1..... |
| 0599999 | Total - Bonds - U.S. Government..... | | | | | 21,397 | 21,397 | 22,061 | 21,939 | 0 | (541) | 0 | (541) | 0 | 21,397 | 0 | 0 | 0 | 744 | XXX | XXX |
| Bonds - All Other Government | | | | | | | | | | | | | | | | | | | | | |
| 302154 BA 6 | EXPORT IMPORT BK KOREA GLOBAL 4.38% SR | D | 09/18/2018 | MARKET AXESS..... | | 837,294 | 817,000 | 886,854 | 847,942 | 0 | (5,695) | 0 | (5,695) | 0 | 842,248 | 0 | (4,953) | (4,953) | 36,240 | 09/15/2021 | 1FE..... |
| 1099999 | Total - Bonds - All Other Government..... | | | | | 837,294 | 817,000 | 886,854 | 847,942 | 0 | (5,695) | 0 | (5,695) | 0 | 842,248 | 0 | (4,953) | (4,953) | 36,240 | XXX | XXX |
| Bonds - U.S. Special Revenue and Special Assessment | | | | | | | | | | | | | | | | | | | | | |
| 01F040 69 3 | FNMA 4.00% TBA 4% 9/1/2039 4.000% 09/0 | | 09/11/2018 | WELLS FARGO BANK..... | | 5,098,633 | 5,000,000 | 5,098,633 | 0 | 0 | 0 | 0 | 0 | 5,098,633 | 0 | 0 | 0 | 0 | 0 | 09/01/2039 | 1..... |
| 31374W 5D 0 | FNMA 7.00% 326744 12/1/2025 7.000% 12/ | | 09/01/2018 | Paydown..... | | 370 | 370 | 371 | 370 | 0 | 0 | 0 | 0 | 370 | 0 | 0 | 0 | 0 | 17 | 12/01/2025 | 1..... |
| 3199999 | Total - Bonds - U.S. Special Revenue and Special Assessments..... | | | | | 5,099,003 | 5,000,370 | 5,099,004 | 370 | 0 | 0 | 0 | 0 | 5,099,003 | 0 | 0 | 0 | 0 | 17 | XXX | XXX |
| Bonds - Industrial and Miscellaneous | | | | | | | | | | | | | | | | | | | | | |
| 05367A AD 5 | AVIATION CAPITAL GROUP LLC 6.75% SR UNSE | | 07/20/2018 | TLIC-HHEO-L&H OTHER HEALTH..... | | 538,200 | 500,000 | 566,875 | 535,121 | 0 | (5,608) | 0 | (5,608) | 0 | 529,513 | 0 | 8,687 | 8,687 | 26,625 | 04/06/2021 | 2FE..... |
| 05606X AA 2 | BXG REC NOTE TR 2015 A 2.88% A 5/2/2030 | | 09/02/2018 | Paydown..... | | 45,794 | 45,794 | 45,592 | 45,592 | 0 | 201 | 0 | 201 | 0 | 45,794 | 0 | 0 | 0 | 879 | 05/02/2030 | 1FE..... |
| 059497 AA 5 | BANC OF A COMM MTGE 2007-1 FLT AMFL 1/15 | | 08/15/2018 | Paydown..... | | 3,587 | 3,587 | 3,404 | 3,525 | 0 | 62 | 0 | 62 | 0 | 3,587 | 0 | 0 | 0 | 44 | 01/15/2049 | 1FM..... |
| 073902 CD 8 | BEAR STEARNS COMPANIES LLC/THE 4.65% SNR | | 07/02/2018 | Maturity..... | | 500,000 | 500,000 | 419,085 | 494,818 | 0 | 5,182 | 0 | 5,182 | 0 | 500,000 | 0 | 0 | 0 | 23,250 | 07/02/2018 | 1FE..... |
| 12624U AC 8 | COMM MTGE TR 2013-GAM 3.37% A2 2/1/2028 | | 09/27/2018 | CITIGROUP..... | | 1,979,531 | 2,000,000 | 1,976,250 | 1,987,598 | 0 | 2,479 | 0 | 2,479 | 0 | 1,990,077 | 0 | (10,546) | (10,546) | 50,505 | 02/01/2028 | 1FM..... |
| 126650 AQ 3 | CVS HEALTH CORP 5.79% FACT 1/10/2026 5 | | 09/10/2018 | Redemption 100.0000..... | | 16,708 | 16,708 | 15,638 | 16,165 | 0 | 543 | 0 | 543 | 0 | 16,708 | 0 | 0 | 0 | 645 | 01/10/2026 | 1AM..... |
| 22942K CA 6 | CREDIT SUISSE MTG 2006 7 7.00% 9A4 8/1/2 | | 09/26/2018 | Capital Distribution..... | | 7,367 | 0 | 7,367 | 7,367 | 0 | 0 | 0 | 0 | 0 | 7,367 | 0 | 0 | 0 | 0 | 08/01/2036 | 1FM..... |
| 22942K CA 6 | CREDIT SUISSE MTG 2006 7 7.00% 9A4 8/1/2 | | 09/02/2018 | Redemption 15.2441..... | | 1,249 | 8,196 | 1,249 | 1,249 | 0 | 0 | 0 | 0 | 0 | 1,249 | 0 | 0 | 0 | 0 | 08/01/2036 | 1FM..... |
| 25272X AA 3 | DIAMOND RESORTS OWNER 2017 1A 3.27% A 10 | | 09/20/2018 | Paydown..... | | 497,635 | 497,635 | 497,509 | 497,509 | 0 | 125 | 0 | 125 | 0 | 497,635 | 0 | 0 | 0 | 10,718 | 10/20/2029 | 1FE..... |
| 25470D AA 7 | DISCOVERY COMMUNICATIONS LLC 5.63% SR UN | | 07/20/2018 | TLIC-HHEO-L&H OTHER HEALTH..... | | 2,565,975 | 2,500,000 | 2,635,825 | 2,527,509 | 0 | (9,129) | 0 | (9,129) | 0 | 2,518,380 | 0 | 47,595 | 47,595 | 130,859 | 08/15/2019 | 2FE..... |

QE05

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | Change in Book/Adjusted Carrying Value | | | | | 16 | 17 | 18 | 19 | 20 | 21 | 22 |
|----------------------|------------------------------------------|---------------------------------|---------------|-----------------------------|---------------------------|---------------|-----------|-------------|-----------------------------------------|------------------------------------------|-------------------------------------------|-----------------------------------------------------------|--------------------------------------|--------------------------------------------|-----------------------------------------------|------------------------------------------|----------------------------------|-------------------------------|------------------------------------------------------|----------------------------------|------------------------------------------|
| | | | | | | | | | | 11 | 12 | 13 | 14 | 15 | | | | | | | |
| CUSIP Identification | Description | F o r e i g n | Disposal Date | Name of Purchaser | Number of Shares of Stock | Consideration | Par Value | Actual Cost | Prior Year Book/Adjusted Carrying Value | Unrealized Valuation Increase (Decrease) | Current Year's (Amortization) / Accretion | Current Year's Other-Than-Temporary Impairment Recognized | Total Change in B./A.C.V. (11+12-13) | Total Foreign Exchange Change in B./A.C.V. | Book/Adjusted Carrying Value at Disposal Date | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Bond Interest / Stock Dividends Received During Year | Stated Contractual Maturity Date | NAIC Designation or Market Indicator (a) |
| 284157 AA 2 | EHGVT 2014-A 2.53% A 2/25/2027 2.530% | | 09/25/2018 | Paydown..... | | 82,861 | 82,861 | 82,859 | 82,861 | 0 | 0 | 0 | 0 | 0 | 82,861 | 0 | 0 | 0 | 1,390 | 02/25/2027 | 1FE..... |
| 36185M AF 9 | GMAC MTGE CORP LN TR 2005 HE2 4.89% A6 1 | | 09/26/2018 | Capital Distribution..... | | 27,225 | 0 | 27,225 | 27,225 | 0 | 0 | 0 | 0 | 0 | 27,225 | 0 | 0 | 0 | 0 | 11/01/2035 | 1FM..... |
| 36185M AF 9 | GMAC MTGE CORP LN TR 2005 HE2 4.89% A6 1 | | 09/02/2018 | Redemption 28.3656..... | | 8,778 | 30,947 | 8,779 | 8,779 | 0 | 0 | 0 | 0 | 0 | 8,779 | 0 | 0 | 0 | 0 | 11/01/2035 | 1FM..... |
| 43718M AD 6 | RESIDENTIAL FNDG II 2006 HI4 5.72% A4 9/ | | 09/26/2018 | Capital Distribution..... | | 171,471 | 0 | 171,471 | 171,471 | 0 | 0 | 0 | 0 | 0 | 171,471 | 0 | 0 | 0 | 0 | 09/01/2036 | 1FM..... |
| 43718M AD 6 | RESIDENTIAL FNDG II 2006 HI4 5.72% A4 9/ | | 09/02/2018 | Redemption 23.8735..... | | 40,410 | 169,269 | 40,410 | 40,410 | 0 | 0 | 0 | 0 | 0 | 40,410 | 0 | 0 | 0 | 0 | 09/01/2036 | 1FM..... |
| 44841C AB 0 | HUTCHISON WHAMPOA LIMITED 4.63% SR UNSEC | D | 09/18/2018 | HSBC SECURITIES INC..... | | 1,717,612 | 1,675,000 | 1,776,374 | 1,722,425 | 0 | (8,022) | 0 | (8,022) | 0 | 1,714,403 | 0 | 3,209 | 3,209 | 91,887 | 01/13/2022 | 1FE..... |
| 46616Y AA 2 | 321 HENDERSON REC LLC 2012-2A 3.84% A 10 | | 09/15/2018 | Paydown..... | | 14,297 | 14,297 | 14,293 | 14,293 | 0 | 4 | 0 | 4 | 0 | 14,297 | 0 | 0 | 0 | 365 | 10/15/2059 | 1FE..... |
| 46625H HQ 6 | JPMORGAN CHASE & CO 4.95% SNRHOLD 3/25/2 | | 07/20/2018 | TLIC-HHE0-L&H OTHER HEALTH. | | 3,087,090 | 3,000,000 | 3,150,023 | 3,045,787 | 0 | (10,970) | 0 | (10,970) | 0 | 3,034,817 | 0 | 52,273 | 52,273 | 121,688 | 03/25/2020 | 1FE..... |
| 52107Q AF 2 | LAZARD GROUP LLC 4.25% SR UNSEC 11/14/20 | | 09/25/2018 | TENDER OFFER..... | | 355,715 | 348,000 | 347,484 | 347,754 | 0 | 57 | 0 | 57 | 0 | 347,811 | 0 | 7,904 | 7,904 | 12,900 | 11/14/2020 | 2FE..... |
| 52522Q AM 4 | LEHMAN MTGE TR 2007 10 6.00% 3A1 12/1/20 | | 09/26/2018 | Capital Distribution..... | | 17,390 | 0 | 17,390 | 17,390 | 0 | 0 | 0 | 0 | 0 | 17,390 | 0 | 0 | 0 | 0 | 12/01/2037 | 1FM..... |
| 52522Q AM 4 | LEHMAN MTGE TR 2007 10 6.00% 3A1 12/1/20 | | 09/02/2018 | Redemption 39.3799..... | | 7,188 | 18,253 | 7,188 | 7,188 | 0 | 0 | 0 | 0 | 0 | 7,188 | 0 | 0 | 0 | 0 | 12/01/2037 | 1FM..... |
| 553891 AA 0 | MVV OWNER TRUST 2014 1A 2.25% A 9/20/203 | | 09/20/2018 | Paydown..... | | 58,959 | 58,959 | 58,942 | 58,942 | 0 | 17 | 0 | 17 | 0 | 58,959 | 0 | 0 | 0 | 886 | 09/20/2031 | 1FE..... |
| 61690L AA 8 | MORGAN STAN CAP I TR 2013-ALTM 3.28% A1 | | 09/01/2018 | Paydown..... | | 31,228 | 31,228 | 31,852 | 31,514 | 0 | (286) | 0 | (286) | 0 | 31,228 | 0 | 0 | 0 | 680 | 02/01/2035 | 1FM..... |
| 61945C AC 7 | MOSAIC CO 4.25% SR UNSEC 11/15/2023 4. | | 07/20/2018 | TLIC-HHE0-L&H OTHER HEALTH. | | 2,461,590 | 2,435,000 | 2,429,887 | 2,431,641 | 0 | 251 | 0 | 251 | 0 | 2,431,891 | 0 | 29,699 | 29,699 | 70,429 | 11/15/2023 | 2FE..... |
| 61946F AA 3 | MOSAIC SOLAR LNS 2018 1A 4.01% A 6/20/20 | | 09/20/2018 | Paydown..... | | 215,919 | 215,919 | 215,908 | 0 | 0 | 11 | 0 | 11 | 0 | 215,919 | 0 | 0 | 0 | 2,869 | 06/20/2043 | 1FE..... |
| 65536P AA 8 | NOMURA ASSET ACC CORP 2006 AF1 FLT 1A1A | | 09/26/2018 | Capital Distribution..... | | 677 | 0 | 677 | 677 | 0 | 0 | 0 | 0 | 0 | 677 | 0 | 0 | 0 | 0 | 05/25/2036 | 1FM..... |
| 65536P AA 8 | NOMURA ASSET ACC CORP 2006 AF1 FLT 1A1A | | 09/02/2018 | Redemption 28.3694..... | | 223 | 785 | 222 | 222 | 0 | 0 | 0 | 0 | 0 | 222 | 0 | 0 | 0 | 0 | 05/25/2036 | 1FM..... |
| 674599 BY 0 | OCCIDENTAL PETROLEUM CORPORATI 4.10% SR | | 07/20/2018 | TLIC-HHE0-L&H OTHER HEALTH. | | 511,295 | 500,000 | 499,885 | 499,956 | 0 | 13 | 0 | 13 | 0 | 499,970 | 0 | 11,325 | 11,325 | 19,874 | 02/01/2021 | 1FE..... |
| 68504W AA 5 | ORANGE LAKE TMSHARE TR 2018 A 3.10% A 11 | | 09/08/2018 | Paydown..... | | 128,092 | 128,092 | 128,077 | 0 | 0 | 15 | 0 | 15 | 0 | 128,092 | 0 | 0 | 0 | 1,818 | 11/08/2030 | 1FE..... |
| 68504W AB 3 | ORANGE LAKE TMSHARE TR 2018 A 3.35% B 11 | | 09/08/2018 | Paydown..... | | 85,394 | 85,394 | 85,392 | 0 | 0 | 1 | 0 | 1 | 0 | 85,394 | 0 | 0 | 0 | 1,310 | 11/08/2030 | 1FE..... |
| 69640G AA 3 | PALISADES CENTER TR 2016 PL 2.71% A 4/9/ | | 07/20/2018 | TLIC-HHE0-L&H OTHER HEALTH. | | 4,885,650 | 5,000,000 | 5,000,000 | 5,000,000 | 0 | 0 | 0 | 0 | 0 | 5,000,000 | 0 | (114,350) | (114,350) | 83,273 | 04/09/2033 | 1FM..... |

QE05.1

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

QE05.2

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | Change in Book/Adjusted Carrying Value | | | | | 16 | 17 | 18 | 19 | 20 | 21 | 22 |
|----------------------------------|----------------------------------------------|---------------------------------|---------------|-----------------------------|---------------------------|---------------|------------|-------------|-----------------------------------------|------------------------------------------|-------------------------------------------|-----------------------------------------------------------|--------------------------------------|--------------------------------------------|-----------------------------------------------|------------------------------------------|----------------------------------|-------------------------------|------------------------------------------------------|----------------------------------|------------------------------------------|
| | | | | | | | | | | 11 | 12 | 13 | 14 | 15 | | | | | | | |
| CUSIP Identification | Description | F o r e i g n | Disposal Date | Name of Purchaser | Number of Shares of Stock | Consideration | Par Value | Actual Cost | Prior Year Book/Adjusted Carrying Value | Unrealized Valuation Increase (Decrease) | Current Year's (Amortization) / Accretion | Current Year's Other-Than-Temporary Impairment Recognized | Total Change in B./A.C.V. (11+12-13) | Total Foreign Exchange Change in B./A.C.V. | Book/Adjusted Carrying Value at Disposal Date | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Bond Interest / Stock Dividends Received During Year | Stated Contractual Maturity Date | NAIC Designation or Market Indicator (a) |
| 74340X AW 1 | PROLOGIS LP 4.25% REIT 8/15/2023 4.250 | | 07/20/2018 | TLIC-HHE0-L&H OTHER HEALTH. | | 2,061,160 | 2,000,000 | 1,994,840 | 1,996,750 | 0 | 308 | 0 | 308 | 0 | 1,997,058 | 0 | 64,102 | 64,102 | 79,097 | 08/15/2023 | 1FE |
| 784037 AA 1 | SCF REALTY CAP MSTR TR 2017 1A 4.10% A 6 | | 09/25/2018 | Paydown | | 7,610 | 7,610 | 7,609 | 7,610 | 0 | 1 | 0 | 1 | 0 | 7,610 | 0 | 0 | 0 | 208 | 06/25/2047 | 1FE |
| 82652E AB 4 | SIERRA REC FUND CO 2014-3A 2.80% B 10/20 | | 09/20/2018 | Paydown | | 69,842 | 69,842 | 69,840 | 69,842 | 0 | 1 | 0 | 1 | 0 | 69,842 | 0 | 0 | 0 | 1,302 | 10/20/2031 | 2AM |
| 82652J AA 5 | SIERRA REC FND CO 2015 3A 2.58% A 9/20/2 | | 09/20/2018 | Paydown | | 13,557 | 13,557 | 13,603 | 13,603 | 0 | (46) | 0 | (46) | 0 | 13,557 | 0 | 0 | 0 | 233 | 09/20/2032 | 1FE |
| 82652K AA 2 | SIERRA REC FUND CO 2017 1A 2.91% A 3/20/ | | 09/20/2018 | Paydown | | 169,591 | 169,591 | 169,579 | 169,591 | 0 | 0 | 0 | 0 | 0 | 169,591 | 0 | 0 | 0 | 3,273 | 03/20/2034 | 1FE |
| 83405A AA 2 | SOFI CONS LOAN PRGM TR 2017 1 3.28% A 1/ | | 09/25/2018 | Paydown | | 258,629 | 258,629 | 258,607 | 258,629 | 0 | 0 | 0 | 0 | 0 | 258,629 | 0 | 0 | 0 | 5,638 | 01/25/2026 | 1FE |
| 83417F AA 7 | SOLARCITY LMC SER LLC 2014-2 4.02% A 7/2 | | 07/20/2018 | TLIC-HHE0-L&H OTHER HEALTH. | | 794,074 | 849,376 | 849,364 | 849,364 | 0 | 12 | 0 | 12 | 0 | 849,376 | 0 | (55,302) | (55,302) | 34,145 | 07/20/2044 | 2AM |
| 86212W AB 8 | STORE MASTER FUNDING 2013-2A 5.33% A2 7/ | | 09/20/2018 | Paydown | | 5,089 | 5,089 | 5,299 | 5,270 | 0 | (181) | 0 | (181) | 0 | 5,089 | 0 | 0 | 0 | 181 | 07/20/2043 | 1FE |
| 86213C AA 3 | STORE MASTER FUNDING 2015 1A 3.75% A1 4/ | | 09/20/2018 | Paydown | | 1,856 | 1,856 | 1,760 | 1,784 | 0 | 72 | 0 | 72 | 0 | 1,856 | 0 | 0 | 0 | 46 | 04/20/2045 | 1FE |
| 918286 AA 3 | VSE VOI MORTGAGE LLC 2018 A 3.56% A 2/2 | | 09/20/2018 | Paydown | | 267,487 | 267,487 | 267,474 | 0 | 0 | 13 | 0 | 13 | 0 | 267,487 | 0 | 0 | 0 | 794 | 02/20/2036 | 1 |
| 92211M AC 7 | VDC COMPASS POINTE LP 4.07% A2 2/15/2043 | | 09/15/2018 | Paydown | | 12,500 | 12,500 | 12,500 | 0 | 0 | 0 | 0 | 0 | 0 | 12,500 | 0 | 0 | 0 | 253 | 02/15/2043 | 1FE |
| 92343V DV 3 | VERIZON COMMUNICATIONS INC 5.50% SR UNSE | | 07/20/2018 | TLIC-HHE0-L&H OTHER HEALTH. | | 1,611,885 | 1,500,000 | 1,534,770 | 1,534,271 | 0 | (271) | 0 | (271) | 0 | 1,534,001 | 0 | 77,884 | 77,884 | 69,667 | 03/16/2047 | 2FE |
| 949456 AA 5 | WELK RESORTS LLC 2013-A 3.10% A 3/15/202 | | 09/15/2018 | Paydown | | 16,787 | 16,787 | 16,785 | 16,787 | 0 | 2 | 0 | 2 | 0 | 16,787 | 0 | 0 | 0 | 350 | 03/15/2029 | 1FE |
| 959802 AM 1 | WESTERN UNION 6.20% SR UNSEC 6/21/2040 | | 07/20/2018 | TLIC-HHE0-L&H OTHER HEALTH. | | 504,980 | 500,000 | 499,390 | 499,445 | 0 | (13) | 0 | (13) | 0 | 499,432 | 0 | 5,548 | 5,548 | 17,997 | 06/21/2040 | 2FE |
| 98310W AL 2 | WYNDHAM DESTINATIONS INC 3.90% SR SEC 3/ | | 07/27/2018 | MORGAN STANLEY & CO. INC | | 3,514,375 | 3,750,000 | 3,743,475 | 3,746,184 | 0 | 432 | 0 | 432 | 0 | 3,746,616 | 0 | (232,241) | (232,241) | 133,629 | 03/01/2023 | 3FE |
| G463PC AC 3 | HUARONG FINANCE II CO LTD 5.50% SR UNSEC | D | 08/03/2018 | BARCLAYS | | 667,550 | 650,000 | 666,738 | 0 | 0 | (486) | 0 | (486) | 0 | 666,252 | 0 | 1,298 | 1,298 | 19,960 | 01/16/2025 | 2FE |
| 3899999 | Total - Bonds - Industrial and Miscellaneous | | | | | 30,042,082 | 29,938,248 | 30,374,765 | 28,794,118 | 0 | (25,210) | 0 | (25,210) | 0 | 30,144,997 | 0 | (102,915) | (102,915) | 1,019,667 | XXX | XXX |
| Bonds - Hybrid Securities | | | | | | | | | | | | | | | | | | | | | |
| 654579 AA 9 | NIPPON LIFE INSURANCE CO 5.00% HYBRID 10 | D | 07/20/2018 | TLIC-HHE0-L&H OTHER HEALTH. | | 671,557 | 660,000 | 660,000 | 660,000 | 0 | 0 | 0 | 0 | 0 | 660,000 | 0 | 11,557 | 11,557 | 24,933 | 10/18/2042 | 1FE |
| 4899999 | Total - Bonds - Hybrid Securities | | | | | 671,557 | 660,000 | 660,000 | 660,000 | 0 | 0 | 0 | 0 | 0 | 660,000 | 0 | 11,557 | 11,557 | 24,933 | XXX | XXX |
| 8399997 | Total - Bonds - Part 4 | | | | | 36,671,333 | 36,437,015 | 37,042,684 | 30,324,369 | 0 | (31,446) | 0 | (31,446) | 0 | 36,767,645 | 0 | (96,311) | (96,311) | 1,081,601 | XXX | XXX |
| 8399999 | Total - Bonds | | | | | 36,671,333 | 36,437,015 | 37,042,684 | 30,324,369 | 0 | (31,446) | 0 | (31,446) | 0 | 36,767,645 | 0 | (96,311) | (96,311) | 1,081,601 | XXX | XXX |
| 9999999 | Total - Bonds, Preferred and Common Stocks | | | | | 36,671,333 | XXX | 37,042,684 | 30,324,369 | 0 | (31,446) | 0 | (31,446) | 0 | 36,767,645 | 0 | (96,311) | (96,311) | 1,081,601 | XXX | XXX |

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:0.

Sch. DB - Pt. A - Sn. 1
NONE

Sch. DB - Pt. B - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 2
NONE

SCHEDULE DL - PART 1

SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date

(Securities lending collateral assets reported in aggregate on Line 10 of the Assets page and not included on Schedules A, B, BA, D, DB and E)

| 1 | 2 | | 3 | 4 | 5 | 6 | 7 |
|------------------------------------------------------|-------------------------------------------------------|---|------|-------------------------------------|------------|------------------------------|---------------|
| CUSIP Identification | Description | | Code | NAIC Designation / Market Indicator | Fair Value | Book/Adjusted Carrying Value | Maturity Date |
| Short-Term Invested Assets (Schedule DA Type) | | | | | | | |
| 04635R | LD | 5 | | | 249,244 | 249,244 | 11/13/2018 |
| 04821U | QN | 5 | | | 493,835 | 493,835 | 03/22/2019 |
| 06119R | K3 | 8 | | | 249,964 | 249,964 | 10/03/2018 |
| 06119R | QK | 4 | | | 246,746 | 246,746 | 03/19/2019 |
| 07644B | P8 | 9 | | | 247,798 | 247,798 | 02/08/2019 |
| 07646K | CQ | 1 | | | 250,000 | 250,000 | 11/29/2018 |
| 13738K | LM | 7 | | | 249,194 | 249,194 | 11/21/2018 |
| 15060Y | L9 | 9 | | | 374,042 | 374,042 | 11/09/2018 |
| 19423C | GG | 1 | | | 250,000 | 250,000 | 10/18/2018 |
| 19423C | GV | 8 | | | 400,000 | 400,000 | 12/04/2018 |
| 38146V | BR | 6 | | | 250,000 | 250,000 | 02/19/2019 |
| 6117P5 | N3 | 7 | | | 745,298 | 745,298 | 01/03/2019 |
| 82124M | KF | 6 | | | 249,777 | 249,777 | 10/15/2018 |
| 82124M | Q7 | 8 | | | 247,261 | 247,261 | 03/07/2019 |
| 85324U | N7 | 4 | | | 297,979 | 297,979 | 01/07/2019 |
| 86562L | Q6 | 2 | | | 247,309 | 247,309 | 03/06/2019 |
| 8999999 | Total - Short-Term Invested Assets (Schedule DA Type) | | | | 5,048,448 | 5,048,448 | XXX |
| Cash (Schedule E Part 1 Type) | | | | | | | |
| 86565B | FL | 0 | | | 250,000 | 250,000 | 11/29/2018 |
| 9099999 | Total - Cash (Schedule E Part 1 Type) | | | | 250,000 | 250,000 | XXX |
| Cash Equivalents (Schedule E Part 2 Type) | | | | | | | |
| 000000 | 00 | 0 | | | 750,000 | 750,000 | 10/31/2018 |
| 06740B | CW | 5 | | | 500,000 | 500,000 | 10/26/2018 |
| 09248U | 70 | 0 | | | 0 | 0 | 10/01/2018 |
| 16536J | K1 | 4 | | | 1,000,000 | 1,000,000 | 10/01/2018 |
| 2003A3 | KN | 3 | | | 499,285 | 499,285 | 10/22/2018 |
| 2063C1 | KK | 5 | | | 749,156 | 749,156 | 10/19/2018 |
| 27873K | K1 | 9 | | | 1,000,000 | 1,000,000 | 10/01/2018 |
| 29366G | K4 | 2 | | | 249,953 | 249,953 | 10/04/2018 |
| 45856X | K1 | 4 | | | 750,000 | 750,000 | 10/01/2018 |
| 4820P3 | L2 | 7 | | | 499,006 | 499,006 | 11/02/2018 |
| 53944R | K4 | 9 | | | 749,861 | 749,861 | 10/04/2018 |
| 56274M | LD | 2 | | | 498,626 | 498,626 | 11/13/2018 |
| 60920W | L5 | 7 | | | 249,423 | 249,423 | 11/05/2018 |
| 65409S | KC | 1 | | | 499,662 | 499,662 | 10/12/2018 |
| 84757B | K1 | 1 | | | 250,000 | 250,000 | 10/01/2018 |
| 84757B | K5 | 2 | | | 249,937 | 249,937 | 10/05/2018 |
| 92646L | KJ | 8 | | | 499,496 | 499,496 | 10/18/2018 |
| 93142X | KC | 4 | | | 249,818 | 249,818 | 10/12/2018 |
| 95709X | K2 | 6 | | | 499,967 | 499,967 | 10/02/2018 |
| 95709X | KB | 6 | | | 249,830 | 249,830 | 10/11/2018 |
| 9199999 | Total - Cash Equivalents (Schedule E Part 2 Type) | | | | 9,994,021 | 9,994,021 | XXX |
| 9999999 | Totals | | | | 15,292,469 | 15,292,469 | XXX |

General Interrogatories:

- The activity for the year: Fair Value \$.....(3,661,765) Book/Adjusted Carrying Value \$.....(3,662,136)
- Average balance for the year: Fair Value \$.....16,014,018 Book/Adjusted Carrying Value \$.....16,017,859
- Reinvested securities lending collateral assets book/adjusted carrying value included in this schedule by NAIC designation:
NAIC 1: \$.....0 NAIC 2: \$.....0 NAIC 3: \$.....0 NAIC 4: \$.....0 NAIC 5: \$.....0 NAIC 6: \$.....0

SCHEDULE DL - PART 2

SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date

(Securities lending collateral assets included on Schedules A, B, BA, D, DB and E and not reported in aggregate on Line 10 of the Assets page)

| 1 CUSIP Identification | 2 Description | 3 Code | 4 NAIC Designation / Market Indicator | 5 Fair Value | 6 Book/Adjusted Carrying Value | 7 Maturity Date |
|---------------------------|------------------|-----------|------------------------------------------|-----------------|-----------------------------------|--------------------|
|---------------------------|------------------|-----------|------------------------------------------|-----------------|-----------------------------------|--------------------|

General Interrogatories:

1. The activity for the year: Fair Value \$.....0 Book/Adjusted Carrying Value \$.....0
2. Average balance for the year: Fair Value \$.....0 Book/Adjusted Carrying Value \$.....0

NONE

TRANSAMERICA CASUALTY INSURANCE COMPANY

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

| 1 Depository | 2 Code | 3 Rate of Interest | 4 Amount of Interest Received During Current Quarter | 5 Amount of Interest Accrued at Current Statement Date | Book Balance at End of Each Month During Current Quarter | | | 9 * |
|-----------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----------------------|---------------------------------------------------------|-----------------------------------------------------------|----------------------------------------------------------|-------------------|------------------|--------|
| | | | | | 6 First Month | 7 Second Month | 8 Third Month | |
| Open Depositories | | | | | | | | |
| HSBC Bank USA..... New York, New York..... | |0.000 |0 |0 |353,236 |574,051 |(306,746) | XXX |
| J.P. Morgan Chase..... North Syracuse, New York..... | |0.000 |0 |0 |(2,957,632) |(2,911,281) |(2,746,572) | XXX |
| JP Morgan Bank..... San Francisco, California..... | |0.000 |0 |0 |0 |0 |366,746 | XXX |
| 0199998. Deposits in.....1 depositories that do not exceed the allowable limit in any one depository (see Instructions) - Open Depositories..... | XXX | XXX |0 |0 |0 |(5,004) |(3,679) | XXX |
| 0199999. Total Open Depositories..... | XXX | XXX |0 |0 |(2,604,396) |(2,342,234) |(2,690,251) | XXX |
| 0399999. Total Cash on Deposit..... | XXX | XXX |0 |0 |(2,604,396) |(2,342,234) |(2,690,251) | XXX |
| 0599999. Total Cash..... | XXX | XXX |0 |0 |(2,604,396) |(2,342,234) |(2,690,251) | XXX |

TRANSAMERICA CASUALTY INSURANCE COMPANY

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

| 1 | 2 | | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
|----------------------------------------------------------------------------|-------------|---|------|---------------|------------------|---------------|------------------------------|----------------------------------|-----------------------------|
| CUSIP | Description | | Code | Date Acquired | Rate of Interest | Maturity Date | Book/Adjusted Carrying Value | Amount of Interest Due & Accrued | Amount Received During Year |
| Exempt Money Market Mutual Funds as Identified by the SVO | | | | | | | | | |
| 000000 | 00 | 0 | | 09/28/2018 | 1.979 | | 4,009,173 | .0 | 4,824 |
| 23337T | 20 | 1 | | 09/28/2018 | 2.010 | | 5,688,495 | .0 | 18,521 |
| 31846V | 41 | 9 | | 06/30/2018 | 0.005 | | 10,625 | .0 | .0 |
| 000000 | 00 | 0 | | 09/28/2018 | 1.999 | | 65,112 | .0 | 298 |
| 608919 | 71 | 8 | | 09/28/2018 | 1.996 | | 12,300 | .0 | 7 |
| 8599999. Total - Exempt Money Market Mutual Funds as Identified by the SVO | | | | | | | 9,785,705 | .0 | 23,650 |
| All Other Money Market Mutual Funds | | | | | | | | | |
| 09248U | 61 | 9 | | 09/04/2018 | 2.180 | | 124,932 | .214 | 3,439 |
| 31607A | 20 | 8 | | 09/28/2018 | 2.178 | | 67,883 | .0 | 352 |
| 4812A0 | 36 | 7 | | 09/28/2018 | 2.184 | | 345,994 | .0 | 1,797 |
| 94984B | 79 | 3 | | 09/28/2018 | 2.196 | | 103,878 | .0 | 546 |
| 8699999. Total - All Other Money Market Mutual Funds | | | | | | | 642,687 | .214 | 6,134 |
| 8899999. Total - Cash Equivalents | | | | | | | 10,428,392 | .214 | 29,784 |

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