



HEALTH QUARTERLY STATEMENT

AS OF JUNE 30, 2018
OF THE CONDITION AND AFFAIRS OF THE

Humana Health Plan of Ohio, Inc.

NAIC Group Code 0119 0119 NAIC Company Code 95348 Employer's ID Number 31-1154200
(Current) (Prior)

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [☒] No [☐]

Incorporated/Organized 08/19/1985 Commenced Business 03/10/1979

Statutory Home Office 111 Merchant Street, Cincinnati , OH, US 45246
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 111 Merchant Street
(Street and Number)
Cincinnati , OH, US 45246, 513-784-5320
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address P.O. Box 740036, Louisville , KY, US 40201-7436
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 500 West Main Street
(Street and Number)
Louisville , KY, US 40202, 513-784-5320
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.humana.com

Statutory Statement Contact Amanda Nethery, 502-580-3026
(Name) (Area Code) (Telephone Number)
DOIINQUIRIES@humana.com, 502-580-2099
(E-mail Address) (FAX Number)

OFFICERS

President & CEO	<u>Bruce Dale Broussard</u>	Chief Financial Officer	<u>Brian Andrew Kane</u>
SVP, Assoc Gen Counsel & Corp Sec	<u>Joseph Christopher Ventura</u>	SVP, Chief Actuary	<u>Vanessa Marie Olson</u>

OTHER

<u>Alan James Bailey, VP & Treasurer</u>	<u>Elizabeth Diane Bierbower, Segment President, Group Business</u>	<u>Douglas Allen Edwards, Vice President</u>
<u>Brian Phillip LeClaire, Ph.D., Chief Information Officer</u>	<u>Susan Lynn Mateja, Appointed Actuary</u>	<u>Mark Matthew Matzke, SVP, Employer Group and Specialty</u>
<u>Steven Edward McCulley, SVP, Medicare</u>	<u>Sean Joseph O'Reilly #, VP, Chief Compliance Officer</u>	<u>William Mark Preston, VP, Investments</u>
<u>Richard Donald Remmers, SVP, Employer Group Sales</u>	<u>George Renaudin II, SVP, Medicare East & Provider</u>	<u>Donald Hank Robinson, SVP, Tax</u>
<u>Richard Andrew Vollmer Jr. #, SVP, Medicare Divisional Leader</u>	<u>Timothy Alan Wheatley, Segment President, Retail</u>	<u>Ralph Martin Wilson, Vice President</u>
<u>Cynthia Hillebrand Zipperle, SVP, Chief Accounting Officer & Controller</u>		

DIRECTORS OR TRUSTEES

<u>Bruce Dale Broussard</u>	<u>Brian Andrew Kane</u>	<u>Timothy Alan Wheatley</u>
-----------------------------	--------------------------	------------------------------

State of Kentucky SS:
County of Jefferson

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

<u>Bruce Dale Broussard</u> President & CEO	<u>Joseph Christopher Ventura</u> SVP, Assoc Gen Counsel & Corp Sec	<u>Alan James Bailey</u> VP & Treasurer
--	--	--

Subscribed and sworn to before me this 10th day of August, 2018

a. Is this an original filing? Yes [☒] No [☐]
b. If no,
1. State the amendment number.....
2. Date filed
3. Number of pages attached.....

Julia Wentworth
Notary Public
January 10, 2021

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	64,381,357	0	64,381,357	114,978,960
2. Stocks:				
2.1 Preferred stocks	0	0	0	0
2.2 Common stocks	0	0	0	0
3. Mortgage loans on real estate:				
3.1 First liens	0	0	0	0
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$0 encumbrances)	0	0	0	0
4.2 Properties held for the production of income (less \$0 encumbrances)	0	0	0	0
4.3 Properties held for sale (less \$0 encumbrances)	0	0	0	0
5. Cash (\$282,207), cash equivalents (\$4,356,977) and short-term investments (\$0)	4,639,184	0	4,639,184	11,336,740
6. Contract loans (including \$0 premium notes)	0	0	0	0
7. Derivatives	0	0	0	0
8. Other invested assets	304,479	0	304,479	304,479
9. Receivables for securities	500,000	0	500,000	0
10. Securities lending reinvested collateral assets	0	0	0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	69,825,020	0	69,825,020	126,620,179
13. Title plants less \$0 charged off (for Title insurers only)	0	0	0	0
14. Investment income due and accrued	456,318	0	456,318	951,852
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	1,304,013	40,032	1,263,981	896,157
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$0 earned but unbilled premiums)	0	0	0	0
15.3 Accrued retrospective premiums (\$3,918) and contracts subject to redetermination (\$10,899,055)	10,902,973	0	10,902,973	10,963,296
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	0	0	0	274,541
16.2 Funds held by or deposited with reinsured companies	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts	0	0	0	0
17. Amounts receivable relating to uninsured plans	3,645,951	0	3,645,951	3,646,264
18.1 Current federal and foreign income tax recoverable and interest thereon	955,297	0	955,297	0
18.2 Net deferred tax asset	2,863,873	659,693	2,204,180	2,204,180
19. Guaranty funds receivable or on deposit	0	0	0	0
20. Electronic data processing equipment and software	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$0)	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates	16,666,606	0	16,666,606	12,559,363
24. Health care (\$3,968,391) and other amounts receivable	4,468,987	390,645	4,078,342	2,709,023
25. Aggregate write-ins for other than invested assets	1,340,088	1,142,766	197,322	94,552
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	112,429,126	2,233,136	110,195,990	160,919,407
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0	0
28. Total (Lines 26 and 27)	112,429,126	2,233,136	110,195,990	160,919,407
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Prepaid Expenses	565,835	565,835	0	0
2502. Deposits	438,774	438,774	0	0
2503. Federal Contingency Reserves	197,322	0	197,322	94,552
2598. Summary of remaining write-ins for Line 25 from overflow page	138,157	138,157	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	1,340,088	1,142,766	197,322	94,552

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$0 reinsurance ceded)	20,294,730	0	20,294,730	17,681,922
2. Accrued medical incentive pool and bonus amounts	423,678	0	423,678	529,792
3. Unpaid claims adjustment expenses	125,931	0	125,931	128,607
4. Aggregate health policy reserves, including the liability of \$30 for medical loss ratio rebate per the Public Health Service Act	10,286,276	0	10,286,276	7,382,270
5. Aggregate life policy reserves	0	0	0	0
6. Property/casualty unearned premium reserve	0	0	0	0
7. Aggregate health claim reserves	244,000	0	244,000	244,000
8. Premiums received in advance	4,330,813	0	4,330,813	6,833,466
9. General expenses due or accrued	11,271,657	0	11,271,657	11,082,734
10.1 Current federal and foreign income tax payable and interest thereon (including \$0 on realized gains (losses))	0	0	0	1,653,842
10.2 Net deferred tax liability	0	0	0	0
11. Ceded reinsurance premiums payable	0	0	0	0
12. Amounts withheld or retained for the account of others.....	0	0	0	0
13. Remittances and items not allocated	72,077	0	72,077	192,708
14. Borrowed money (including \$0 current) and interest thereon \$0 (including \$0 current)	0	0	0	0
15. Amounts due to parent, subsidiaries and affiliates	0	0	0	0
16. Derivatives	0	0	0	0
17. Payable for securities	0	0	0	0
18. Payable for securities lending	0	0	0	0
19. Funds held under reinsurance treaties (with \$0 authorized reinsurers, \$0 unauthorized reinsurers and \$0 certified reinsurers).....	0	0	0	0
20. Reinsurance in unauthorized and certified (\$0) companies	0	0	0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates	0	0	0	0
22. Liability for amounts held under uninsured plans	1,807,020	0	1,807,020	1,924,919
23. Aggregate write-ins for other liabilities (including \$38,095 current)	123,822	0	123,822	79,508
24. Total liabilities (Lines 1 to 23)	48,980,004	0	48,980,004	47,733,768
25. Aggregate write-ins for special surplus funds	XXX	XXX	0	4,657,674
26. Common capital stock	XXX	XXX	1,000	1,000
27. Preferred capital stock	XXX	XXX	0	0
28. Gross paid in and contributed surplus	XXX	XXX	82,601,893	137,601,893
29. Surplus notes	XXX	XXX	0	0
30. Aggregate write-ins for other than special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	(21,386,907)	(29,074,928)
32. Less treasury stock, at cost:				
32.10 shares common (value included in Line 26 \$0)	XXX	XXX	0	0
32.20 shares preferred (value included in Line 27 \$0)	XXX	XXX	0	0
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	61,215,986	113,185,639
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	110,195,990	160,919,407
DETAILS OF WRITE-INS				
2301. Unclaimed Property	123,822	0	123,822	79,508
2302.				
2303.				
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	123,822	0	123,822	79,508
2501. Special Surplus – Projected HCRL Assessment for the Upcoming Year	XXX	XXX	0	4,657,674
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	0	4,657,674
3001.	XXX	XXX		
3002.	XXX	XXX		
3003.	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	XXX	302,113	306,538	612,655
2. Net premium income (including \$0 non-health premium income).....	XXX	116,337,446	115,770,977	230,778,300
3. Change in unearned premium reserves and reserve for rate credits.....	XXX	(30)	(11,003)	0
4. Fee-for-service (net of \$0 medical expenses).....	XXX	0	0	0
5. Risk revenue	XXX	0	0	0
6. Aggregate write-ins for other health care related revenues	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues	XXX	0	0	0
8. Total revenues (Lines 2 to 7)	XXX	116,337,416	115,759,974	230,778,300
Hospital and Medical:				
9. Hospital/medical benefits	0	69,032,807	59,139,163	131,519,507
10. Other professional services	0	23,845	(269,901)	(303,169)
11. Outside referrals	0	0	0	0
12. Emergency room and out-of-area	88,271	4,571,762	5,564,554	10,162,296
13. Prescription drugs	0	17,369,532	16,241,874	36,997,508
14. Aggregate write-ins for other hospital and medical	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts	0	(94,870)	222,763	1,448,150
16. Subtotal (Lines 9 to 15)	88,271	90,903,076	80,898,453	179,824,292
Less:				
17. Net reinsurance recoveries	0	361	468,691	464,799
18. Total hospital and medical (Lines 16 minus 17)	88,271	90,902,715	80,429,762	179,359,493
19. Non-health claims (net)	0	0	0	0
20. Claims adjustment expenses, including \$3,333,639 cost containment expenses	0	4,040,758	4,594,092	8,617,649
21. General administrative expenses	0	18,574,318	14,877,729	27,262,807
22. Increase in reserves for life and accident and health contracts (including \$0 increase in reserves for life only)	0	0	0	1,865,000
23. Total underwriting deductions (Lines 18 through 22).....	88,271	113,517,791	99,901,583	217,104,949
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	2,819,625	15,858,391	13,673,351
25. Net investment income earned	0	1,205,539	1,229,276	2,369,656
26. Net realized capital gains (losses) less capital gains tax of \$(141,744)	0	(533,229)	42,915	(112,202)
27. Net investment gains (losses) (Lines 25 plus 26)	0	672,310	1,272,191	2,257,454
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$0) (amount charged off \$0)].....	0	0	0	0
29. Aggregate write-ins for other income or expenses	0	(1,455)	5	(1,079,352)
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	3,490,480	17,130,587	14,851,453
31. Federal and foreign income taxes incurred	XXX	392,981	3,977,742	6,049,943
32. Net income (loss) (Lines 30 minus 31)	XXX	3,097,499	13,152,845	8,801,510
DETAILS OF WRITE-INS				
0601.	XXX			
0602.	XXX			
0603.	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX	0	0	0
0701.	XXX			
0702.	XXX			
0703.	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	0
1401.				
1402.				
1403.				
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	0	0	0
2901. Miscellaneous Income	0	18	5	(84)
2902. Loss on Disposal	0	(1,473)	0	(1,079,268)
2903.				
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	(1,455)	5	(1,079,352)

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
CAPITAL AND SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	113,185,639	102,524,244	102,524,244
34. Net income or (loss) from Line 32	3,097,499	13,152,845	8,801,510
35. Change in valuation basis of aggregate policy and claim reserves	0	0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$0	0	0	0
37. Change in net unrealized foreign exchange capital gain or (loss)	0	0	0
38. Change in net deferred income tax	0	0	(2,130,583)
39. Change in nonadmitted assets	(67,152)	2,285,231	3,991,322
40. Change in unauthorized and certified reinsurance	0	0	0
41. Change in treasury stock	0	0	0
42. Change in surplus notes	0	0	0
43. Cumulative effect of changes in accounting principles.....	0	0	0
44. Capital Changes:			
44.1 Paid in	0	0	0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....	0	0	0
45. Surplus adjustments:			
45.1 Paid in	(55,000,000)	0	0
45.2 Transferred to capital (Stock Dividend)	0	0	0
45.3 Transferred from capital	0	0	0
46. Dividends to stockholders	0	0	0
47. Aggregate write-ins for gains or (losses) in surplus	0	(805)	(854)
48. Net change in capital & surplus (Lines 34 to 47)	(51,969,653)	15,437,271	10,661,395
49. Capital and surplus end of reporting period (Line 33 plus 48)	61,215,986	117,961,515	113,185,639
DETAILS OF WRITE-INS			
4701. Prior Period Impact of Claim Dispute	0	(805)	(854)
4702.			
4703.			
4798. Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	(805)	(854)

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	116,469,357	116,219,041	231,744,490
2. Net investment income	2,397,143	2,218,636	4,199,679
3. Miscellaneous income	0	0	0
4. Total (Lines 1 to 3)	118,866,500	118,437,677	235,944,169
5. Benefit and loss related payments	89,529,675	115,000,885	213,156,345
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	22,547,647	21,519,812	30,692,793
8. Dividends paid to policyholders	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ (232,065) tax on capital gains (losses)	2,860,376	(1,926,344)	1,351,977
10. Total (Lines 5 through 9)	114,937,698	134,594,353	245,201,115
11. Net cash from operations (Line 4 minus Line 10)	3,928,802	(16,156,676)	(9,256,946)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	68,862,996	16,259,354	39,026,874
12.2 Stocks	0	0	0
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	8,066	4,084	4,083
12.7 Miscellaneous proceeds	0	270,091	270,091
12.8 Total investment proceeds (Lines 12.1 to 12.7)	68,871,062	16,533,529	39,301,048
13. Cost of investments acquired (long-term only):			
13.1 Bonds	19,644,502	336,210	28,364,971
13.2 Stocks	0	0	0
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	500,000	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	20,144,502	336,210	28,364,971
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	48,726,560	16,197,319	10,936,077
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	(55,000,000)	0	0
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied)	(4,352,918)	4,568,382	(8,681,426)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(59,352,918)	4,568,382	(8,681,426)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) ..	(6,697,556)	4,609,025	(7,002,295)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	11,336,740	18,339,035	18,339,035
19.2 End of period (Line 18 plus Line 19.1)	4,639,184	22,948,060	11,336,740

Note: Supplemental disclosures of cash flow information for non-cash transactions:

--	--	--	--

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year	51,137	1,203	49,427	0	0	0	507	0	0	0
2. First Quarter	51,186	0	50,875	0	0	0	311	0	0	0
3. Second Quarter	48,566	0	48,247	0	0	0	319	0	0	0
4. Third Quarter	0	0	0	0	0	0	0	0	0	0
5. Current Year	0	0	0	0	0	0	0	0	0	0
6. Current Year Member Months	302,113	175	300,270	0	0	0	1,760	(92)	0	0
Total Member Ambulatory Encounters for Period:										
7. Physician	159,901	0	158,025	0	0	0	1,876	0	0	0
8. Non-Physician	65,627	0	64,679	0	0	0	948	0	0	0
9. Total	225,528	0	222,704	0	0	0	2,824	0	0	0
10. Hospital Patient Days Incurred	5,770	0	5,685	0	0	0	85	0	0	0
11. Number of Inpatient Admissions	1,128	0	1,117	0	0	0	11	0	0	0
12. Health Premiums Written (a)	116,337,446	82,643	115,140,600	0	0	0	1,294,344	(180,141)	0	0
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0
15. Health Premiums Earned	116,337,416	82,643	115,140,570	0	0	0	1,294,344	(180,141)	0	0
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0
17. Amount Paid for Provision of Health Care Services.....	89,687,640	467,245	88,573,961	0	0	0	1,390,927	(744,493)	0	0
18. Amount Incurred for Provision of Health Care Services	90,903,076	(490,808)	90,856,649	0	0	0	1,404,179	(866,944)	0	0

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$(180,141)

STATEMENT AS OF JUNE 30, 2018 OF THE Humana Health Plan of Ohio Inc.

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

[illegible]

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)	14,136,938	74,903,908	1,676,590	18,370,766	15,813,528	17,320,596
2. Medicare Supplement	0	0	0	0	0	0
3. Dental Only	0	0	0	0	0	0
4. Vision Only	0	0	0	0	0	0
5. Federal Employees Health Benefits Plan	98,929	1,291,998	16,440	157,899	115,369	173,187
6. Title XVIII - Medicare	(755,737)	0	317,035	0	(438,702)	432,139
7. Title XIX - Medicaid	0	0	0	0	0	0
8. Other health	0	0	0	0	0	0
9. Health subtotal (Lines 1 to 8)	13,480,130	76,195,906	2,010,065	18,528,665	15,490,195	17,925,922
10. Healthcare receivables (a)	5,860	4,353,174	0	0	5,860	3,067,776
11. Other non-health	0	0	0	0	0	0
12. Medical incentive pools and bonus amounts	11,243	0	423,678	0	434,921	529,792
13. Totals (Lines 9-10+11+12)	13,485,513	71,842,732	2,433,743	18,528,665	15,919,256	15,387,938

(a) Excludes \$ 0 loans or advances to providers not yet expensed.

STATEMENT AS OF June 30, 2018 OF Humana Health Plan of Ohio, Inc.

NOTES TO THE FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance.

The Ohio Department of Insurance recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance Law. The National Association of Insurance Commissioners’ (NAIC) Accounting Practices and Procedures manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Ohio. The Commissioner of Insurance has the right to permit other specific practices that deviate from prescribed practices. No deviations from the Codification currently exist.

A reconciliation of the Company’s net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below:

	SSAP #	F/S Page	F/S Line #	2018	2017
Net Income					
1. Humana Health Plan of Ohio, Inc. Ohio basis	xxx	xxx	xxx	\$ 3,097,499	\$ 8,801,510
2. State Prescribed Practices that is an increase/(decrease) NAIC SAP				-	-
3. State Permitted Practices that is an increase/(decrease) NAIC SAP				-	-
4. NAIC SAP	xxx	xxx	xxx	\$ <u>3,097,499</u>	\$ <u>8,801,510</u>
Surplus					
5. Humana Health Plan of Ohio, Inc. Ohio basis	xxx	xxx	xxx	\$ 61,215,986	\$ 113,185,639
6. State Prescribed Practices that is an increase/(decrease) NAIC SAP				-	-
7. State Permitted Practices that is an increase/(decrease) NAIC SAP				-	-
8. NAIC SAP	xxx	xxx	xxx	\$ <u>61,215,986</u>	\$ <u>113,185,639</u>

On February 16, 2017, under the terms of the Agreement and Plan of Merger, or Merger Agreement, with Aetna Inc., and certain wholly owned subsidiaries of Aetna Inc., which Humana collectively refers to as Aetna, Humana received a breakup fee of \$1 billion from Aetna.

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. These estimates are based on knowledge of current events and anticipated future events, and accordingly, actual results could differ from those estimates.

C. Accounting Policy

Premiums are reported as earned in the period in which members are entitled to receive services, and are net of retroactive membership adjustments. Retroactive membership adjustments result from enrollment changes not yet processed, or not yet reported by an employer group or the government. Premiums received prior to such period are recorded as advance premiums.

Benefits incurred and loss adjustment expenses include claim payments, capitation payments, pharmacy costs net of rebates, allocations of certain centralized expenses, legal and administrative costs to settle claims, and various other costs incurred to provide health insurance coverage to members, as well as estimates of future payments to hospitals and others for medical care provided prior to the date of the statements of admitted assets, liabilities and surplus. Capitation payments represent monthly contractual fees disbursed to participating primary care physicians, and other providers who are responsible for providing medical care to members. Pharmacy costs represent payments for members’ prescription drug benefits, net of rebates from drug manufacturers.

In addition, the Company uses the following accounting policies:

- (1) Short-term investments include investments mainly in U.S. Government obligations with a maturity of twelve months or less from the date of purchase. Short-term investments are recorded at amortized cost. The carrying value of short-term investments approximates fair value due to the short-term maturities of the investments.
- (2-4) Investments are valued and classified in accordance with methods prescribed by the NAIC. Bonds with an NAIC rating of 1 or 2 are carried at amortized cost, with all other bonds being recorded at the lower of amortized cost or fair value; redeemable preferred stocks are carried at amortized cost; and non-redeemable preferred stocks are carried at fair value. Common stocks are carried at fair value.

STATEMENT AS OF June 30, 2018 OF Humana Health Plan of Ohio, Inc.

NOTES TO THE FINANCIAL STATEMENTS

The Company regularly evaluates investment securities for impairment. For all securities other than loan-backed and structured securities, the Company considers factors affecting the investee, factors affecting the industry the investee operates within, and general debt and equity market trends. The Company also considers the length of time an investment's fair value has been below carrying value, the near term prospects for recovery to carrying value, and the Company's intent and ability to hold the investment until maturity or market recovery is realized. If and when a determination is made that a decline in fair value below the cost basis is other-than-temporary, the related investment is written down to its estimated fair value through earnings.

Amortization of bond premium or discount is computed using the scientific interest method.

Income from investments is recorded on an accrual basis. For the purpose of determining realized gains and losses, the cost of securities sold is based upon specific identification. Investment income due and accrued over 90 days past due is nonadmitted.

- (5) Not Applicable.
- (6) For loan backed and structured securities where the securities fair value is less than the amortized cost, the Company considers several factors to determine if the security's impairment is other-than-temporary. If the Company has the intent to sell the security or if the Company does not have the intent and ability to retain the security until recovery of its fair value, the related investment is written down to its estimated fair value through earnings. If, however, the Company has the intent and ability to retain the security until recovery of its fair value, the Company considers factors affecting the investee, factors affecting the industry the investee operates within, and general debt and equity market trends. The Company also considers the length of time an investment's fair value has been below carrying value and the near term prospects for recovery to carrying value. If the determination is made, based on these factors, that the Company does expect to recover the entire amortized cost of the security, then an other-than-temporary impairment has not occurred. If, however, the determination is made that the Company does not expect to recover the entire amortized cost of the security based on the factors noted above, the Company recognizes a realized loss in earnings for the non-interest related decline. No loss is recognized for the interest impairment.
- (7) Not Applicable.
- (8) Not Applicable.
- (9) Not Applicable.
- (10-11) The estimates of future medical benefit payments are developed using actuarial methods and assumptions based upon claim payment patterns, medical cost inflation, historical development such as claim inventory levels and claim receipt patterns, and other relevant factors. Corresponding administrative costs to process outstanding claims are estimated and accrued. Estimates of future payments relating to services incurred in the current and prior periods are continually reviewed by management and adjusted as necessary.

The Company assesses the profitability of its contracts for providing health insurance coverage to its members when current operating results or forecasts indicate probable future losses. The Company records a premium deficiency liability in current operations to the extent that the sum of expected future medical costs, claim adjustment expenses and maintenance costs exceed related future premiums. Investment income is not contemplated in the calculation of the premium deficiency liability.

Management believes the Company's benefits payable and loss adjustment expense are adequate to cover future claims and loss adjustment expense payments required, however, such estimates are based on knowledge of current events and anticipated future events and, therefore, the actual liability could differ from the amounts provided.

- (12) The Company has not modified its capitalization policy from the prior period.

Equipment is stated at cost less accumulated depreciation. Depreciation expense is computed using the straight-line method over estimated useful lives generally ranging from three to five years. Improvements to leased facilities are depreciated over the shorter of the remaining lease term or the anticipated life of the improvement.

The Company recognizes an asset or liability for the deferred tax consequences of temporary differences between the tax bases of assets or liabilities and their reported amounts in the financial statements. The temporary differences will result in taxable or deductible amounts in future years when the reported amounts of the assets or liabilities are recovered or settled.

- (13) The Company estimates anticipated Pharmacy Rebate Receivables using the analysis of historical recovery patterns.
- (14) Not Applicable.
- (15) Not Applicable.

D. Going Concern

Effective December 31, 2016, the Company adopted revisions to SSAP No. 1, *Accounting Policies, Risks & Uncertainties, and Other Disclosures* (SSAP No. 1). The revisions require management of the Company to evaluate whether there is substantial doubt about the Company's ability to continue as a going concern and provide certain disclosures if substantial doubt exists. Management of the Company has completed its evaluation of the Company and determined that there is no substantial doubt about its ability to continue as a going concern.

STATEMENT AS OF June 30, 2018 OF Humana Health Plan of Ohio, Inc.

NOTES TO THE FINANCIAL STATEMENTS

2. Accounting Changes and Corrections of Errors

In April of 2017, the Company determined that two ongoing provider disputes related to plan year 2016 would result in additional claims of \$1,276. This resulted in the 2016 unpaid claims in liability, capital and surplus line 1 to be understated by \$1,276. The income statement, within hospital/medical benefits in the statement of revenue and expenses line 6, was also understated by the claims net of the tax impact of \$422. Consistent with SSAP No. 3, *Accounting Changes and Corrections of Errors* (SSAP No. 3), the net impact of the claims dispute for 2016 after the tax impact was recorded as an adjustment to surplus on line 47 of the statement of revenue and expenses capital and surplus account rollforward.

3. Business Combinations and Goodwill

A. Statutory Purchase Method

Not Applicable.

B. Statutory Merger

Not Applicable.

C. Assumption Reinsurance

Not Applicable.

D. Impairment Loss

Not Applicable.

4. Discontinued Operations

Not Applicable.

5. Investments

A. Mortgage Loans, Including Mezzanine Real Estate Loans

Not Applicable.

B. Debt Restructuring

Not Applicable.

C. Reverse Mortgages

Not Applicable.

D. Loan-Backed Securities

(1) Prepayment assumptions for mortgage-backed/loan-backed and structured securities were obtained from industry market sources.

(2) Not Applicable.

(3) Not Applicable.

(4) The Company does not have any investments in an other-than-temporary impairment position at June 30, 2018.

Gross unrealized losses and related fair value of temporarily impaired securities that have been in a continuous unrealized loss position were as follows at June 30, 2018:

(a) The aggregate amount of unrealized losses:

1. Less than Twelve Months	\$	(831,100)
2. Twelve Months or Longer	\$	(592,484)

(b) The aggregate related fair value of securities with unrealized losses:

1. Less than Twelve Months	\$	25,837,680
2. Twelve Months or Longer	\$	10,884,478

(5) Unrealized losses are primarily due to increases in market interest rates and tighter liquidity conditions in the current markets than when the securities were purchased. All issuers of securities trading at an unrealized loss remain current on all contractual payments and the Company believes it is probable that all amounts due according to the contractual terms of the debt securities are collectible. After taking into account these and other factors, including the severity of the decline and the Company's ability and intent to hold these securities until recovery or maturity, the Company determined the unrealized losses on these investment securities were temporary and, as such, no impairment was required.

STATEMENT AS OF June 30, 2018 OF Humana Health Plan of Ohio, Inc.

NOTES TO THE FINANCIAL STATEMENTS

- E. Dollar Repurchase Agreements and/or Securities Lending Transactions
- (1) The Company has no repurchase agreements or securities lending transactions.
- (2) The Company has not pledged any of its assets as collateral.
- (3-7) Not Applicable.
- F. Repurchase Agreements Transactions Accounted for as Secured Borrowing
- Not Applicable.
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing
- Not Applicable.
- H. Repurchase Agreements Transactions Accounted for as a Sale
- Not Applicable.
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale
- Not Applicable.
- J. Real Estate
- Not Applicable.
- K. Low-Income Housing Tax Credits (LIHTC)
- Not Applicable.
- L. Restricted Assets
- (1) Restricted Assets (Including Pledged)

	1	2	3	4	5	6	7
	Total Gross (Admitted & Nonadmitted) Restricted from Current Year	Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	Increase/ (Decrease) (1 minus 2)	Total Current Year Nonadmitted Restricted	Total Current Year Admitted Restricted (1 minus 4)	Percentage Gross (Admitted & Nonadmitted) Restricted to Total Assets (a)	Percentage Admitted Restricted to Total Admitted Assets (b)
Restricted Asset Category							
a. Subject to contractual obligation for which liability is not shown	\$ -	\$ -	\$ -	\$ -	\$ -	-%	-%
b. Collateral held under security lending agreements	-	-	-	-	-	-	-
c. Subject to repurchase agreements	-	-	-	-	-	-	-
d. Subject to reverse repurchase agreements	-	-	-	-	-	-	-
e. Subject to dollar repurchase agreements	-	-	-	-	-	-	-
f. Subject to dollar reverse repurchase agreements	-	-	-	-	-	-	-
g. Placed under option contracts	-	-	-	-	-	-	-
h. Letter stock or securities restricted to sale – excluding FHLB capital stock	-	-	-	-	-	-	-
i. FHLB capital stock	-	-	-	-	-	-	-
j. On deposit with states	250,647	754,223	(503,576)	-	250,647	0.23%	0.23%
k. On deposit with other regulatory bodies	-	-	-	-	-	-	-
l. Pledged collateral to FHLB (including assets backing funding agreements)	-	-	-	-	-	-	-
m. Pledged as collateral not captured in other categories	-	-	-	-	-	-	-
n. Other restricted assets	-	-	-	-	-	-	-
o. Total Restricted Assets	\$ 250,647	\$ 754,223	\$ (503,576)	-	\$ 250,647	0.23%	0.23%

- (2) Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)
- Not Applicable.
- (3) Detail of Other Restricted Assets Categories (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)
- Not Applicable.

STATEMENT AS OF June 30, 2018 OF Humana Health Plan of Ohio, Inc.

NOTES TO THE FINANCIAL STATEMENTS

(4) Collateral Received and Reflected as Assets Within the Reporting Entity's Financial Statements

Not Applicable.

M. Working Capital Finance Investments

Not Applicable.

N. Offsetting and Netting of Assets and Liabilities

Not Applicable.

O. Structured Notes

Not Applicable.

P. 5* Securities

Not Applicable.

Q. Short Sales

Not Applicable.

R. Prepayment Penalty and Acceleration Fees

No material change since year-end December 31, 2017.

6. Joint Ventures, Partnerships and Limited Liability Companies

A. The Company has no investments in Joint Ventures, Partnerships or Limited Liability Companies that exceed 10.0 percent of its admitted assets.

B. The Company did not recognize any impairment write down for its investments in Joint Ventures, Partnerships and Limited Liability Companies during the statement periods.

7. Investment Income

A. Due and accrued income was excluded from surplus on the following basis:

All investment income due and accrued with amounts that are over 90 days past due with the exception of mortgage loans in default.

B. The total amount excluded was \$0.

8. Derivative Instruments

Not Applicable.

9. Income Taxes

No material change since year-end December 31, 2017.

10. Information Concerning Parent, Subsidiaries and Affiliates

A.-F. The Company has several management contracts with Humana Inc. and other related parties whereby the Company is provided with medical and executive management, information systems, claims processing, billing and enrollment, and telemarketing and other services as required by the Company. Management fees charged to operations for the years ended December 31, 2017 and 2016 were \$11,488,440 and \$52,741,585, respectively. As a part of this agreement, the Company makes cash disbursements on behalf of the Company which includes, but is not limited to, medical related items, general and administrative expenses, commissions and payroll. Humana Inc. is reimbursed by the Company weekly, based upon historical pattern of amounts and timing. Each month, these estimates are adjusted to ultimately settle upon actual disbursements made on behalf of the Company. The Company continues to be primarily liable for any outstanding payments made on behalf of the Company, should Humana Inc. not be able to fulfill its obligations.

Dividends of \$55,000,000 were paid to Humana Inc. on May 30, 2018. The Ohio Department of Insurance was notified prior to the payment of this dividend.

At June 30, 2018, the Company reported \$16,666,606 due from Humana Inc. Amounts due to or from parent are generally settled within 90 days.

G. All outstanding shares of the Company are owned by the Parent Company.

H. Not Applicable.

I. Not Applicable.

J. Not Applicable.

K. Not Applicable.

STATEMENT AS OF June 30, 2018 OF Humana Health Plan of Ohio, Inc.

NOTES TO THE FINANCIAL STATEMENTS

L. Not Applicable.

M. All SCA Investments

Not Applicable.

N. Investment in Insurance SCA

Not Applicable.

11. Debt

A. Debt Including Capital Notes

The Company has no debentures outstanding.

The Company has no capital notes outstanding.

The Company does not have any reverse repurchase agreements.

B. Federal Home Loan Bank (FHLB) Agreements

The Company does not have any FHLB agreements.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A.-D. Defined Benefit Plans

Not Applicable.

E. Defined Contribution Plans

Not Applicable.

F. Multiemployer Plans

Not Applicable.

G. Consolidated/Holding Company Plans

No material change since year-end December 31, 2017.

H. Postemployment Benefits and Compensated Absences

Not Applicable.

I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)

Not Applicable.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

(1) The Company has \$0 par value common stock with 1,000 shares authorized and 200 shares issued and 200 outstanding. All shares are common stock shares.

(2) The Company has no preferred stock outstanding.

(3-5) Dividends and returns of capital to shareholders are noncumulative and are paid as determined by the Board of Directors. In accordance with the Ohio Department of Insurance statutes, the maximum amount which can be paid by the Company to shareholders without prior approval by the Ohio Department of Insurance is the greater of 10% of total surplus or net income from the prior year. All ordinary dividends are limited to available and accumulated surplus funds from the prior year. Based on these restrictions, no dividend is available without prior approval.

Within the limitations above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to stockholders.

Dividends of \$55,000,000 were paid to Humana Inc. on May 30, 2018, of which \$55,000,000 were deemed extraordinary and approved by the Department prior to payment.

(6) There were no restrictions placed on the Company's surplus, including for whom the surplus is being held.

(7) Not Applicable.

(8) Not Applicable.

(9) Changes in balances of special surplus funds from the prior year is due to the moratorium of the 2019 Health Insurer Fee.

(10) The portion of unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses is \$0.

STATEMENT AS OF June 30, 2018 OF Humana Health Plan of Ohio, Inc.

NOTES TO THE FINANCIAL STATEMENTS

(11) Not Applicable.

(12) Not Applicable.

(13) Not Applicable.

14. Liabilities, Contingencies and Assessments

A. Contingent Commitments

Not Applicable.

B. Assessments

Not Applicable.

C. Gain Contingencies

Not Applicable.

D. Claims related extra contractual obligation and bad faith losses stemming from lawsuits

Not Applicable.

E. Joint and Several Liabilities

Not Applicable.

F. All Other Contingencies

During the ordinary course of business, the Company is subject to pending and threatened legal actions. Management of the Company does not believe that any of these actions will have a material adverse effect on the Company's surplus, results of operations or cash flows. However, the likelihood or outcome of current or future legal proceedings cannot be accurately predicted, and they could adversely affect the Company's surplus, results of operations and cash flows.

The Company is not aware of any other material contingent liabilities as of June 30, 2018.

15. Leases

No material change since year-end December 31, 2017.

16. Information about Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

The Company has no investment in Financial Instruments with Off-Balance Sheet Risk or Concentrations of Credit Risk.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales

Not Applicable.

B. Transfer and Servicing of Financial Assets

Not Applicable.

C. Wash Sales

Not Applicable.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

A. ASO Plans

Not Applicable.

B. ASC Plans

Not Applicable.

C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract

(1) The Company records no revenue explicitly attributable to the cost share and reinsurance components of its Medicare or other similarly structured cost based reimbursement contracts.

(2) As of June 30, 2018, the Company has recorded a receivable from CMS of \$3,645,951 related to the cost share and reinsurance components of administered Medicare products. The Company does not have any receivables greater than 10% of the Company's accounts receivable from uninsured accident and health plans or \$10,000.

STATEMENT AS OF June 30, 2018 OF Humana Health Plan of Ohio, Inc.

NOTES TO THE FINANCIAL STATEMENTS

- (3) As no revenue is recorded in connection with the cost share and reinsurance components of the Company’s Medicare or other similarly structured cost based reimbursement contracts, the Company has recorded no allowances and reserves for adjustment of recorded revenues and receivables.
- (4) The Company has made no adjustment to revenue resulting from audit of receivables related to revenues recorded in the prior period.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not Applicable.

20. Fair Value Measurements

- A. (1) The Company did not have any financial assets carried at fair value at June 30, 2018.

The Company reports transfers between Level 1 and Level 2 of the fair value hierarchy levels at the end of the reporting period. There were no transfers between Level 1 and Level 2 of the fair value hierarchy between December 31, 2017 and June 30, 2018.

- (2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

Not Applicable.

- (3) The Company reports transfers into or out of Level 3 of the fair value hierarchy levels at the end of the reporting period. There were no transfers into or out of Level 3 of the fair value hierarchy levels between December 31, 2017 and June 30, 2018.
- (4) Fair value of actively traded debt securities are based on quoted market prices. Fair value of other debt securities are based on quoted market prices of identical or similar securities or based on observable inputs like interest rates generally using a market valuation approach, or, less frequently, an income valuation approach and are generally classified as Level 2. The Company generally obtains one quoted price for each security from a third party pricing service. These prices are generally derived from recently reported trades for identical or similar securities, including adjustments through the reporting date based upon observable market information. When quoted prices are not available, the third party pricing service may use quoted market prices of comparable securities or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include benchmark yields, reported trades, credit spreads, broker quotes, default rates and prepayment speeds. The Company is responsible for the determination of fair value and as such, the Company performs analysis on the prices received from the third party pricing service to determine whether the prices are reasonable estimates of fair value. The Company’s analysis includes a review of monthly price fluctuations as well as a quarterly comparison of the prices received from the pricing service to prices reported by the Company’s third party investment advisor. Based on the Company’s internal price verification procedures and review of fair value methodology documentation provided by the third party pricing service, there were no material adjustments to the prices obtained from the third party pricing service during the quarter ended June 30, 2018.

- (5) Derivative Fair Values

Not Applicable.

- B. Other Fair Value Disclosures

Not Applicable.

- C. Fair Values for All Financial Instruments by Levels 1, 2 and 3

Type of Financial Instrument	Aggregate Fair						Not Practicable		Net Asset
	Value	Admitted Assets	Level 1	Level 2	Level 3	(Carrying Value)	Included in	Level 2	Value (NAV)
Bonds and cash equivalents	\$ 66,561,332	\$ 68,738,334	\$ 4,356,977	\$ 62,204,355	\$ -	\$ -	\$ -	\$ -	-

- D. Financial Instruments for which Not Practicable to Estimate Fair Values

Not Applicable.

21. Other Items

- A. Extraordinary Items

Not Applicable.

- B. Troubled Debt Restructuring: Debtors

Not Applicable.

- C. Other Disclosures and Unusual Items

Not Applicable.

NOTES TO THE FINANCIAL STATEMENTS

D. Business Interruption Insurance Recoveries

Not Applicable.

E. State Transferable and Non-transferable Tax Credits

Not Applicable.

F. Subprime Mortgage Related Risk Exposure

(1) The Company consults with its external investment managers to assess its subprime mortgage related risk exposure. Certain characteristics are utilized to determine if a mortgage-backed security has subprime exposure. The main characteristics reviewed when determining this are the collateral and structure of the security, the loan purpose, loan documentation, occupancy, geographical location, loan size and type. Subprime mortgage borrowers typically have lower credit scores, lower loan balances and higher loan-to-values than other conforming loans. Management's practices include reviewing quantitative and qualitative credit models that analyze loan-level collateral composition, historical underwriter performance trends, the impact of macroeconomic factors, and issuer risks; as well as reviewing the estimation of security cash flows and monthly model calibrations.

(2) Direct exposure through investments in sub-prime mortgage loans.

The Company has no direct exposure through investment to sub-prime mortgage loans.

(3) Direct exposure through other investments:

- a. Residential mortgage backed securities – No substantial exposure noted.
- b. Commercial mortgage backed securities – No substantial exposure noted.
- c. Collateralized debt obligations – No substantial exposure noted.
- d. Structured securities – No substantial exposure noted.
- e. Equity investment in SCAs – No substantial exposure noted.
- f. Other assets – No substantial exposure noted.
- g. Total – No substantial exposure noted.

(4) Underwriting exposure to sub-prime mortgage risk through Mortgage Guaranty coverage, Financial Guaranty coverage, Directors and Officers liability coverage, or Errors and Omissions liability coverage.

Not Applicable.

Classification of mortgage related securities is primarily based on information from outside data services, including rating agency actions. When considering our exposure, the Company evaluated the percentage of full documentation loans, percent of owner occupied properties, FICO scores, average margin for ARM loans, percent of loans with prepayment penalties, the existence of non-traditional underwriting standards, among other factors.

G. Retained Assets

Not Applicable.

H. Insurance Linked Securities

Not Applicable.

22. Events Subsequent

The Company is not aware of any events or transactions occurring subsequent to the close of the books for this statement which may have a material effect on its financial condition. Subsequent events have been considered through August 13, 2018 for the Statutory Statement issued on August 13, 2018.

23. Reinsurance

A. Ceded Reinsurance Report

Section 1 – General Interrogatories

(1) Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10.0 percent or controlled, either directly or indirectly, by the company or by any representative, officer, trustee, or director of the company?

Yes () No (X)

(2) Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) that is owned in excess of 10.0 percent or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business?

Yes () No (X)

Section 2 – Ceded Reinsurance Report – Part A

(1) Does the company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits?

Yes () No (X)

NOTES TO THE FINANCIAL STATEMENTS

- (2) Does the reporting entity have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts that, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies?

Yes () No (X)

Section 3 – Ceded Reinsurance Report – Part B

- (1) What is the estimated amount of the aggregate reduction in surplus, (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of ALL reinsurance agreements, by either party, as of the date of this statement? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate. \$0
- (2) Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts that were in force or which had existing reserves established by the company as of the effective date of the agreement?

Yes () No (X)

B. Uncollectible Reinsurance

Not Applicable.

C. Commutation of Ceded Reinsurance

Not Applicable.

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

Not Applicable.

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

- A. The Company estimates accrued retrospective premium adjustments for its Medicare business through a mathematical approach using an algorithm based upon settlement procedures defined by contracts with CMS.

The Company estimates accrued retrospective premium adjustments for its Commercial business based on experience to date, knowledge of the marketplace, and the terms of the risk corridors program with HHS.

- B. The Company records accrued retrospective premium as an adjustment to earned premiums.

- C. The amount of net premiums written by the Company at June 30, 2018 that are subject to retrospective rating features was \$116,337,416, or 100.00% of the total net premiums written. No other net premiums written by the Company are subject to retrospective rating features.

- D. Medical loss ratio rebates required pursuant to the Public Health Service Act

	Individual		Small Group Employer	Large Group Employer		Other Categories with rebates	Total	
Prior Reporting Year:								
Medical loss ratio rebates incurred	\$	-	\$	-	\$	-	\$	-
Medical loss ratio rebates paid		-		-		-		-
Medical loss rebates unpaid		-		-		-		-
Plus reinsurance assumed amounts		XXX		XXX		XXX		-
Less reinsurance ceded amounts		XXX		XXX		XXX		-
Rebates unpaid net of reinsurance		XXX		XXX		XXX	\$	-
Current Reporting Year-to-date:								
Medical loss ratio rebates incurred	\$	-	\$	30	\$	-	\$	30
Medical loss ratio rebates paid		-		-		-		-
Medical loss rebates unpaid		-		30		-		30
Plus reinsurance assumed amounts		XXX		XXX		XXX		-
Less reinsurance ceded amounts		XXX		XXX		XXX		-
Rebates unpaid net of reinsurance		XXX		XXX		XXX	\$	30

STATEMENT AS OF June 30, 2018 OF Humana Health Plan of Ohio, Inc.

NOTES TO THE FINANCIAL STATEMENTS

E. Risk Sharing Provisions of the Affordable Care Act

(1)	Did the reporting entity write accident and health insurance premium that is subject to the Affordable Care Act risk-sharing provisions (YES/NO)	Yes (X)	No ()
(2)	Impact of Risk Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities, and Revenue for the Current Year		
a.	Permanent ACA Risk Adjustment Program		
	Assets		
	1. Premium adjustments receivable due to ACA Risk Adjustment (including high risk pool payments)	\$	963,077
	Liabilities		
	2. Risk adjustment user fees payable for ACA Risk Adjustment	\$	32,131
	3. Premium adjustments payable due to ACA Risk Adjustment (including high risk pool premium)	\$	5,841,541
	Operations (Revenue & Expenses)		
	4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment	\$	(2,798,438)
	5. Reported in expenses as ACA risk adjustment user fees (incurred/paid)	\$	10,020
b.	Transitional ACA Reinsurance Program		
	Assets		
	1. Amounts recoverable for claims paid due to ACA Reinsurance	\$	-
	2. Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability)	\$	-
	3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	\$	-
	Liabilities		
	4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium	\$	-
	5. Ceded reinsurance premiums payable due to ACA Reinsurance	\$	-
	6. Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance	\$	-
	Operations (Revenues & Expenses)		
	7. Ceded reinsurance premiums due to ACA Reinsurance	\$	-
	8. Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments	\$	361
	9. ACA Reinsurance contributions – not reported as ceded premiums	\$	-
c.	Temporary ACA Risk Corridors Program		
	Assets		
	1. Accrued retrospective premium due to ACA Risk Corridors	\$	-
	Liabilities		
	2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	\$	-
	Operations (Revenues & Expenses)		
	3. Effect of ACA Risk Corridors on net premium income	\$	81,025
	4. Effect of ACA Risk Corridors on change in reserves for rate credits	\$	-

STATEMENT AS OF June 30, 2018 OF Humana Health Plan of Ohio, Inc.

NOTES TO THE FINANCIAL STATEMENTS

(3) Roll-forward of Prior Year ACA Risk-sharing Provisions for the Following Asset (Gross of Any Nonadmission) and Liability Balances, Along with the Reasons for Adjustments to Prior Year Balance.

										Unsettled Balances as of the Reporting Date	
										Cumulative Balance from Prior Years (Col 1-3+7)	Cumulative Balance from Prior Years (Col 2-4+8)
Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments					
1	2	3	4	Prior Year Accrued Less Payments (Col 1-3)	Prior Year Accrued Less Payments (Col 2-4)	To Prior Year Balances	To Prior Year Balances			9	10
Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Ref		Receivable	(Payable)
a. Permanent ACA Risk Adjustment Program											
1. Premium adjustments receivable (including high risk pool payments)											
1,027,317		-		1,027,317		(64,240)		A.		963,077	
2. Premium adjustments (payables) (including high risk pool premium)											
	(3,107,343)		-		(3,107,343)		(1,697,743)	B.			(4,805,086)
3. Subtotal ACA Permanent Risk Adjustment Program											
1,027,317	(3,107,343)	-	-	1,027,317	(3,107,343)	(64,240)	(1,697,743)			963,077	(4,805,086)
b. Transitional ACA Reinsurance Program											
1. Amounts recoverable for claims paid											
274,541		274,902		(361)		361		C.		-	
2. Amounts recoverable for claims unpaid (contra liability)											
-		-		-		-				-	
3. Amounts receivable relating to uninsured plans											
-		-		-		-				-	
4. Liabilities for contributions payable due to ACA Reinsurance- not reported as ceded premium											
	-		-		-		-				-
5. Ceded reinsurance premiums payable											
	-		-		-		-				-
6. Liability for amounts held under uninsured plans											
	-		-		-		-				-
7. Subtotal ACA Transitional Reinsurance Program											
274,541	-	274,902	-	(361)	-	361	-			-	-
c. Temporary ACA Risk Corridors Program											
1. Accrued retrospective premium											
-		81,025		(81,025)		81,025		D.		-	
2. Reserve for rate credits or policy experience rating refunds											
	-		-		-		-				-
3. Subtotal ACA Risk Corridors Program											
-	-	81,025	-	(81,025)	-	81,025	-			-	-
d. Total for ACA Risk Sharing Provisions											
1,301,858	(3,107,343)	355,927	-	945,931	(3,107,343)	17,146	(1,697,743)			963,077	(4,805,086)

Explanations of adjustments

- A. Changes to the 2017 coverage year accrual due to the finalization of the 2017 coverage year Commercial Risk Adjustment amounts by CMS. The accrual was adjusted from the prior estimate to match the final amounts.
- B. Changes to the 2017 coverage year accrual due to the finalization of the 2017 coverage year Commercial Risk Adjustment amounts by CMS. The accrual was adjusted from the prior estimate to match the final amounts.
- C. Adjustments recorded to update the 2017 accrual to align with the CMS payment report.
- D. Adjustments recorded for payments received in 2018.

STATEMENT AS OF June 30, 2018 OF Humana Health Plan of Ohio, Inc.

NOTES TO THE FINANCIAL STATEMENTS

(4) Roll-Forward of Risk Corridors Asset and Liability Balances by Program Benefit Year

Risk Corridors Program Year	Accrued During the Prior Year on Business Written Before Dec 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before Dec 31 of the Prior Year		Differences		Adjustments			Unsettled Balances as of the Reporting Date	
					Prior Year Accrued Less Payments (Col 1 -3)	Prior Year Accrued Less Payments (Col 2 -4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col 1-3+7)	Cumulative Balance from Prior Years (Col 2-4+8)
	1	2	3	4	5	6	7	8		9	10
	Receiva ble	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Ref	Receivable	(Payable)
a. 2014											
1. Accrued retrospective premium	-		81,025		(81,025)		81,025		A.	-	
2. Reserve for rate credits or policy experience rating refunds		-		-		-		-			-
b. 2015											
1. Accrued retrospective premium	-		-		-		-			-	
2. Reserve for rate credits or policy experience rating refunds		-		-		-		-			-
c. 2016											
1. Accrued retrospective premium	-		-		-		-			-	
2. Reserve for rate credits or policy experience rating refunds		-		-		-		-			-
d. Total for Risk Corridors	-	-	81,025	-	(81,025)	-	81,025	-		-	-

Explanations of adjustments

A. Adjustments recorded for payments received in 2018.

(5) ACA Risk Corridors Receivable as of Reporting Date

Risk Corridors Program Year	1	2	3	4	5	6
	Estimated Amount to be Filed or Final Amount Filed with CMS	Non-Accrued Amounts for Impairment or Other Reasons	Amounts received from CMS	Assets Balance (Gross of Non-admissions) (1-2-3)	Non- admitted Amount	Net Admitted Asset (4-5)
a. 2014	\$ 9,268,399	\$ 7,716,363	\$ 1,552,036	\$ -	\$ -	\$ -
b. 2015	959,816	959,816	-	-	-	-
c. 2016	724,522	724,522	-	-	-	-
d. Total (a+b+c)	\$ 10,952,737	\$ 9,400,701	\$ 1,552,036	\$ -	\$ -	\$ -

25. Change in Incurred Claims and Claim Adjustment Expenses

Reserves as of December 31, 2017 were \$18,054,529. As of June 30, 2018, \$16,104,221 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$2,022,390 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a \$72,082 unfavorable prior-year development since December 31, 2017. The increase is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased as additional information becomes known regarding individual claims. The amounts presented in this footnote exclude any impact related to Pharmacy Rebates and other Healthcare Receivable activity. Impact of such receivables can be seen in Footnote 28. The Company did not experience any material prior year claim development on retrospectively rated policies.

26. Intercompany Pooling Arrangements

Not Applicable.

27. Structured Settlements

The Company has no structured settlements.

STATEMENT AS OF June 30, 2018 OF Humana Health Plan of Ohio, Inc.

NOTES TO THE FINANCIAL STATEMENTS

28. Health Care Receivables

A. Pharmaceutical Rebate Receivables

Quarter	Estimate Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Billed or Otherwise Confirmed	Actual Rebates Received Within 90 Days of Billing	Actual Rebates Received Within 91 to 180 Days of Billing	Actual Rebates Received More than 181 Days after Billing
12/31/2018	\$ -	\$ -	\$ -	\$ -	\$ -
9/30/2018	-	-	-	-	-
6/30/2018	3,973,311	3,973,311	-	-	-
3/31/2018	3,457,196	3,457,196	3,457,196	-	-
12/31/2017	2,723,667	2,723,667	2,544,224	171,387	-
9/30/2017	2,990,623	2,990,623	2,986,227	-	4,396
6/30/2017	2,864,791	2,864,791	2,851,107	-	13,684
3/31/2017	3,828,727	3,828,727	3,828,309	-	418
12/31/2016	7,469,825	7,469,825	7,459,293	10,532	-
9/30/2016	6,814,330	6,814,330	6,813,091	-	1,239
6/30/2016	6,456,006	6,456,006	6,450,215	-	5,791
3/31/2016	6,129,260	6,129,260	6,125,030	-	4,230

B. Risk Sharing Receivables

Not Applicable.

29. Participating Policies

The Company has no participating policies.

30. Premium Deficiency Reserves

1. Liability carried for premium deficiency reserves \$ 1,865,000
2. Date of the most recent evaluation of this liability December 31, 2017
3. Was anticipated investment income utilized in the calculation? Yes () No (X)

The Company did recognize the time value of money by discounting future losses at an annual interest rate of 1.29%.

31. Anticipated Salvage and Subrogation

Not Applicable.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [] No [X]

1.2

If yes, has the report been filed with the domiciliary state?

Yes [] No []

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [] No [X]

2.2

If yes, date of change:

3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?
If yes, complete Schedule Y, Parts 1 and 1A.

Yes [X] No []

3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [X] No []

3.3

If the response to 3.2 is yes, provide a brief description of those changes.
The ultimate parent holding company indirectly acquired approximately 30 companies which are non-insurance related entities and do not directly affect the Company.

3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes [X] No []

3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

0000049071

4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [] No [X]

4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation.

Yes [] No [X] N/A []

6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2015

6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2015

6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

05/25/2017

6.4

By what department or departments?
Ohio Department of Insurance

6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [X] No [] N/A []

6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [X] No [] N/A []

7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [] No [X]

7.2

If yes, give full information:

8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [] No [X]

8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [] No [X]

8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes [X] No []
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended?

Yes [X] No []
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
The Ethics Every Day policy was amended to enhance certain language of existing sections, perform general document maintenance for operational changes and clarify content where necessary.
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [X] No []
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:\$.....

16,666,606

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [] No [X]
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$.....304,479
13.

Amount of real estate and mortgages held in short-term investments:

\$.....0
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [] No [X]
- 14.2

If yes, please complete the following:
- | | 1 | 2 |
|---|---|--|
| | Prior Year-End Book/Adjusted Carrying Value | Current Quarter Book/Adjusted Carrying Value |
| 14.21 Bonds | \$.....0 | \$.....0 |
| 14.22 Preferred Stock | \$.....0 | \$.....0 |
| 14.23 Common Stock | \$.....0 | \$.....0 |
| 14.24 Short-Term Investments | \$.....0 | \$.....0 |
| 14.25 Mortgage Loans on Real Estate | \$.....0 | \$.....0 |
| 14.26 All Other | \$.....0 | \$.....0 |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$.....0 | \$.....0 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$.....0 | \$.....0 |
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?

Yes [] No []
- If no, attach a description with this statement.

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.

16.3 Total payable for securities lending reported on the liability page.
- \$

\$

\$
- 0

0

0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?
- Yes [X] No []

- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan Chase	4 Metro Tech Center, 16th Floor, Mail Code: NY1-C512, Brooklyn, NY 11245, Attn: Barbara J. Walsh

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?
- Yes [] No [X]

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
BLACKROCK FINANCIAL MANAGEMENT, INC	U.
W. Mark Preston	I.

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets?
- Yes [X] No []

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets?
- Yes [X] No []

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
107105	BLACKROCK FINANCIAL MANAGEMENT, INC	549300LVXY1VJKE13M84	the SEC	DS.

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed?
- Yes [X] No []

- 18.2 If no, list exceptions:

19. By self-designating 5*GI securities, the reporting entity is certifying the following elements for each self-designated 5*GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist.

b. Issuer or obligor is current on all contracted interest and principal payments.

c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5*GI securities?
- Yes [] No [X]

GENERAL INTERROGATORIES

PART 2 - HEALTH

1.

Operating Percentages:

1.1 A&H loss percent

81.0 %

1.2 A&H cost containment percent

2.9 %

1.3 A&H expense percent excluding cost containment expenses

16.6 %
- 2.1

Do you act as a custodian for health savings accounts?

Yes ☐ No ☒
- 2.2

If yes, please provide the amount of custodial funds held as of the reporting date

\$.0
- 2.3

Do you act as an administrator for health savings accounts?

Yes ☐ No ☒
- 2.4

If yes, please provide the balance of the funds administered as of the reporting date

\$.0
3.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?

Yes ☒ No ☐
- 3.1

If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?

Yes ☐ No ☐

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1	2	3	4	5	6	7	8	9
NAIC Company Code	ID Number	Effective Date	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurance Ceded	Type of Reinsurer	Certified Reinsurer Rating (1 through 6)	Effective Date of Certified Reinsurer Rating
NONE								

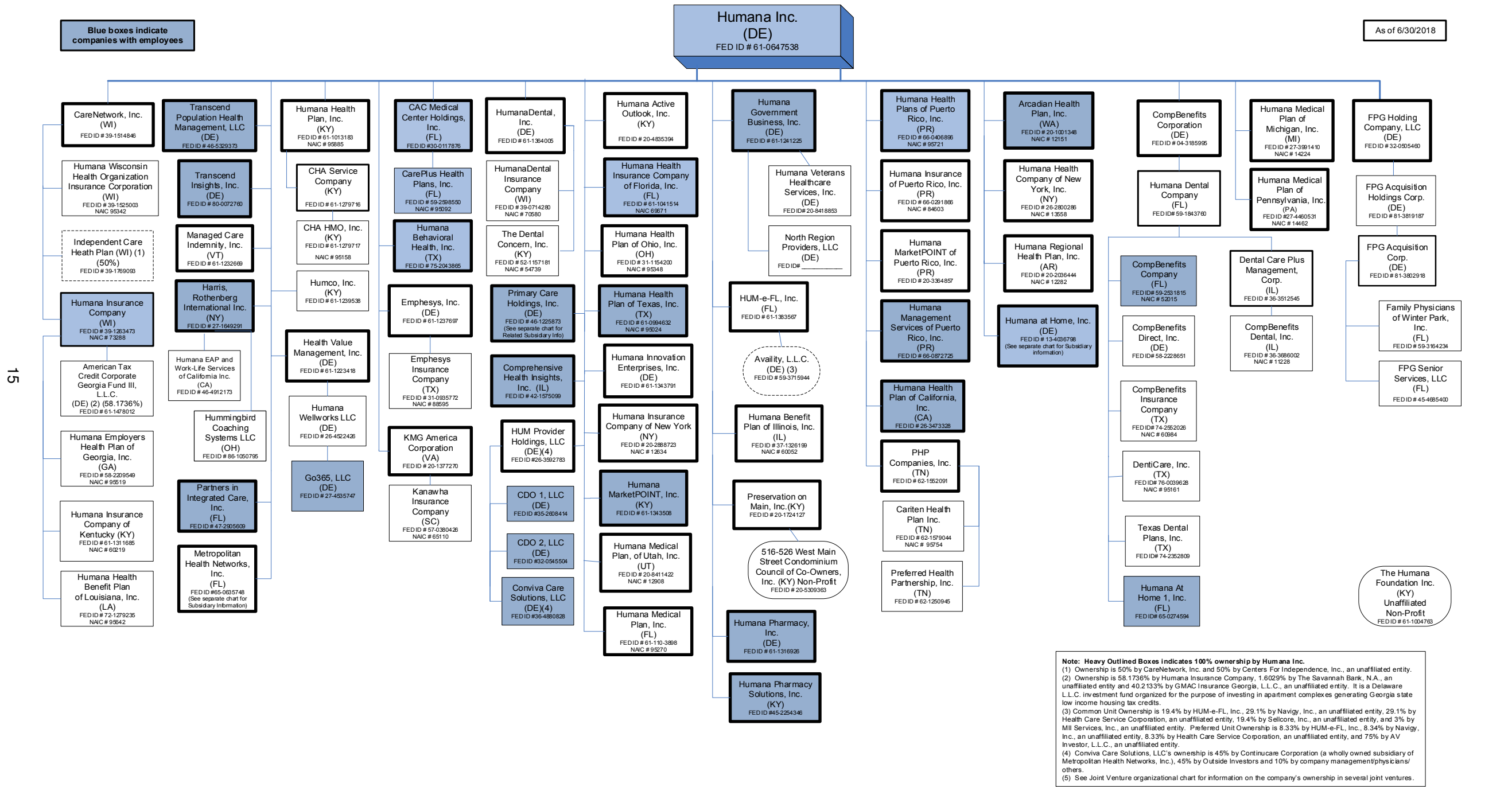
SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

			1	Direct Business Only							
			2	3	4	5	6	7	8	9	
States, etc.			Active Status (a)	Accident and Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Federal Employees Health Benefits Program Premiums	Life and Annuity Premiums & Other Considerations	Property/Casualty Premiums	Total Columns 2 Through 7	Deposit-Type Contracts
1.	Alabama	AL	N	0	0	0	0	0	0	0	0
2.	Alaska	AK	N	0	0	0	0	0	0	0	0
3.	Arizona	AZ	N	0	0	0	0	0	0	0	0
4.	Arkansas	AR	N	0	0	0	0	0	0	0	0
5.	California	CA	N	0	0	0	0	0	0	0	0
6.	Colorado	CO	N	0	0	0	0	0	0	0	0
7.	Connecticut	CT	N	0	0	0	0	0	0	0	0
8.	Delaware	DE	N	0	0	0	0	0	0	0	0
9.	District of Columbia	DC	N	0	0	0	0	0	0	0	0
10.	Florida	FL	N	0	0	0	0	0	0	0	0
11.	Georgia	GA	N	0	0	0	0	0	0	0	0
12.	Hawaii	HI	N	0	0	0	0	0	0	0	0
13.	Idaho	ID	N	0	0	0	0	0	0	0	0
14.	Illinois	IL	N	0	0	0	0	0	0	0	0
15.	Indiana	IN	L	0	0	0	0	0	0	0	0
16.	Iowa	IA	N	0	0	0	0	0	0	0	0
17.	Kansas	KS	N	0	0	0	0	0	0	0	0
18.	Kentucky	KY	L	0	(71,304)	0	0	0	0	(71,304)	0
19.	Louisiana	LA	N	0	0	0	0	0	0	0	0
20.	Maine	ME	N	0	0	0	0	0	0	0	0
21.	Maryland	MD	N	0	0	0	0	0	0	0	0
22.	Massachusetts	MA	N	0	0	0	0	0	0	0	0
23.	Michigan	MI	N	0	0	0	0	0	0	0	0
24.	Minnesota	MN	N	0	0	0	0	0	0	0	0
25.	Mississippi	MS	N	0	0	0	0	0	0	0	0
26.	Missouri	MO	N	0	0	0	0	0	0	0	0
27.	Montana	MT	N	0	0	0	0	0	0	0	0
28.	Nebraska	NE	N	0	0	0	0	0	0	0	0
29.	Nevada	NV	N	0	0	0	0	0	0	0	0
30.	New Hampshire	NH	N	0	0	0	0	0	0	0	0
31.	New Jersey	NJ	N	0	0	0	0	0	0	0	0
32.	New Mexico	NM	N	0	0	0	0	0	0	0	0
33.	New York	NY	N	0	0	0	0	0	0	0	0
34.	North Carolina	NC	N	0	0	0	0	0	0	0	0
35.	North Dakota	ND	N	0	0	0	0	0	0	0	0
36.	Ohio	OH	L	115,223,243	(108,837)	0	1,294,344	0	0	116,408,750	0
37.	Oklahoma	OK	N	0	0	0	0	0	0	0	0
38.	Oregon	OR	N	0	0	0	0	0	0	0	0
39.	Pennsylvania	PA	N	0	0	0	0	0	0	0	0
40.	Rhode Island	RI	N	0	0	0	0	0	0	0	0
41.	South Carolina	SC	N	0	0	0	0	0	0	0	0
42.	South Dakota	SD	N	0	0	0	0	0	0	0	0
43.	Tennessee	TN	N	0	0	0	0	0	0	0	0
44.	Texas	TX	N	0	0	0	0	0	0	0	0
45.	Utah	UT	N	0	0	0	0	0	0	0	0
46.	Vermont	VT	N	0	0	0	0	0	0	0	0
47.	Virginia	VA	N	0	0	0	0	0	0	0	0
48.	Washington	WA	N	0	0	0	0	0	0	0	0
49.	West Virginia	WV	N	0	0	0	0	0	0	0	0
50.	Wisconsin	WI	N	0	0	0	0	0	0	0	0
51.	Wyoming	WY	N	0	0	0	0	0	0	0	0
52.	American Samoa	AS	N	0	0	0	0	0	0	0	0
53.	Guam	GU	N	0	0	0	0	0	0	0	0
54.	Puerto Rico	PR	N	0	0	0	0	0	0	0	0
55.	U.S. Virgin Islands	VI	N	0	0	0	0	0	0	0	0
56.	Northern Mariana Islands	MP	N	0	0	0	0	0	0	0	0
57.	Canada	CAN	N	0	0	0	0	0	0	0	0
58.	Aggregate Other Aliens	OT	XXX	0	0	0	0	0	0	0	0
59.	Subtotal	XXX		115,223,243	(180,141)	0	1,294,344	0	0	116,337,446	0
60.	Reporting Entity Contributions for Employee Benefit Plans	XXX		0	0	0	0	0	0	0	0
61.	Totals (Direct Business)	XXX		115,223,243	(180,141)	0	1,294,344	0	0	116,337,446	0
DETAILS OF WRITE-INS											
58001.		XXX									
58002.		XXX									
58003.		XXX									
58998.	Summary of remaining write-ins for Line 58 from overflow page	XXX		0	0	0	0	0	0	0	0
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		0	0	0	0	0	0	0	0

(a) Active Status Counts:
L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....3
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....0
N - None of the above - Not allowed to write business in the state.....54
R - Registered - Non-domiciled RRGs.....0
Q - Qualified - Qualified or accredited reinsurer.....0

STATEMENT AS OF JUNE 30, 2018 OF THE Humana Health Plan of Ohio Inc.



STATEMENT AS OF JUNE 30, 2018 OF THE Humana Health Plan of Ohio Inc.

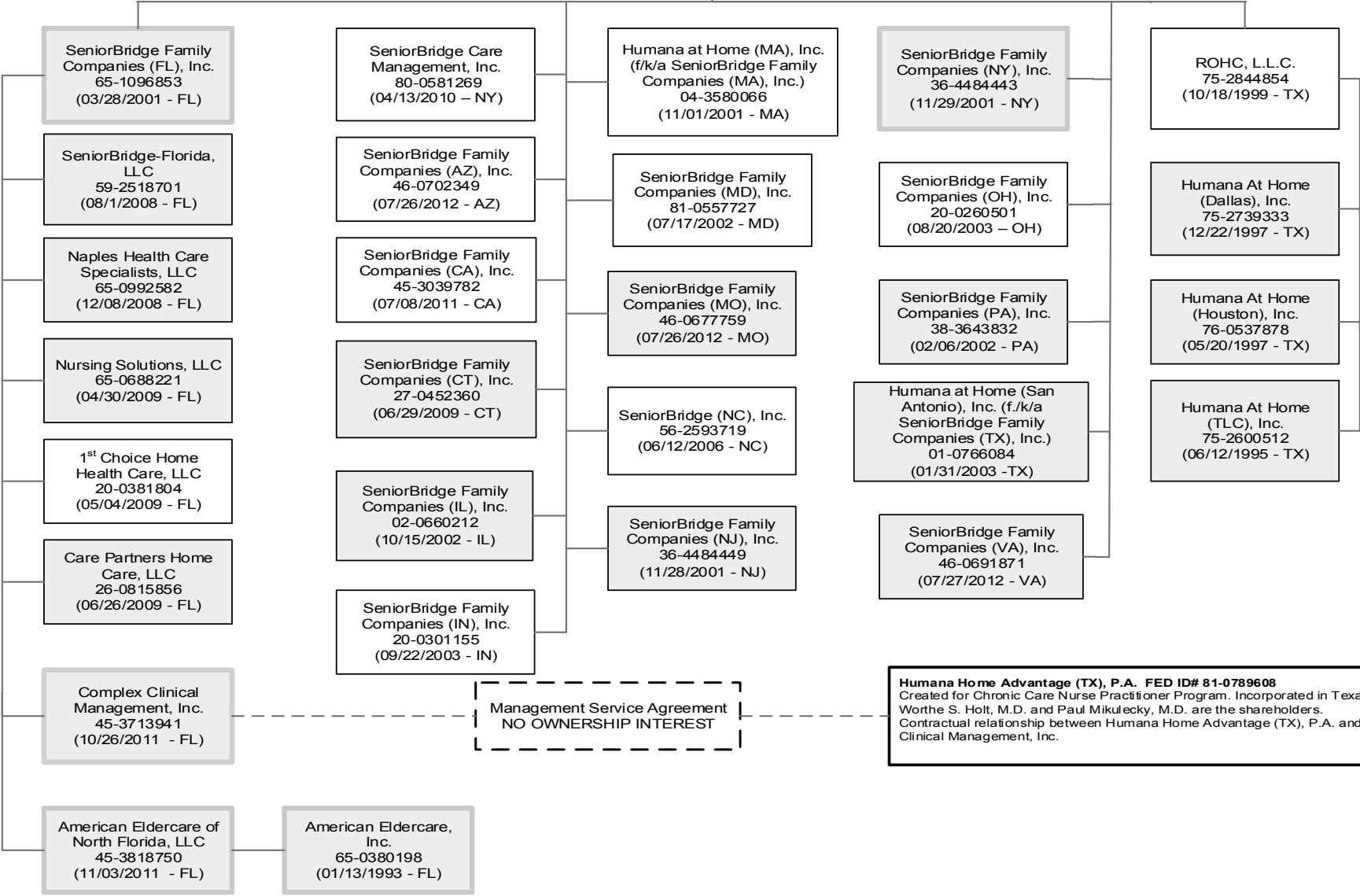
Companies with
Employees

Licensed Companies

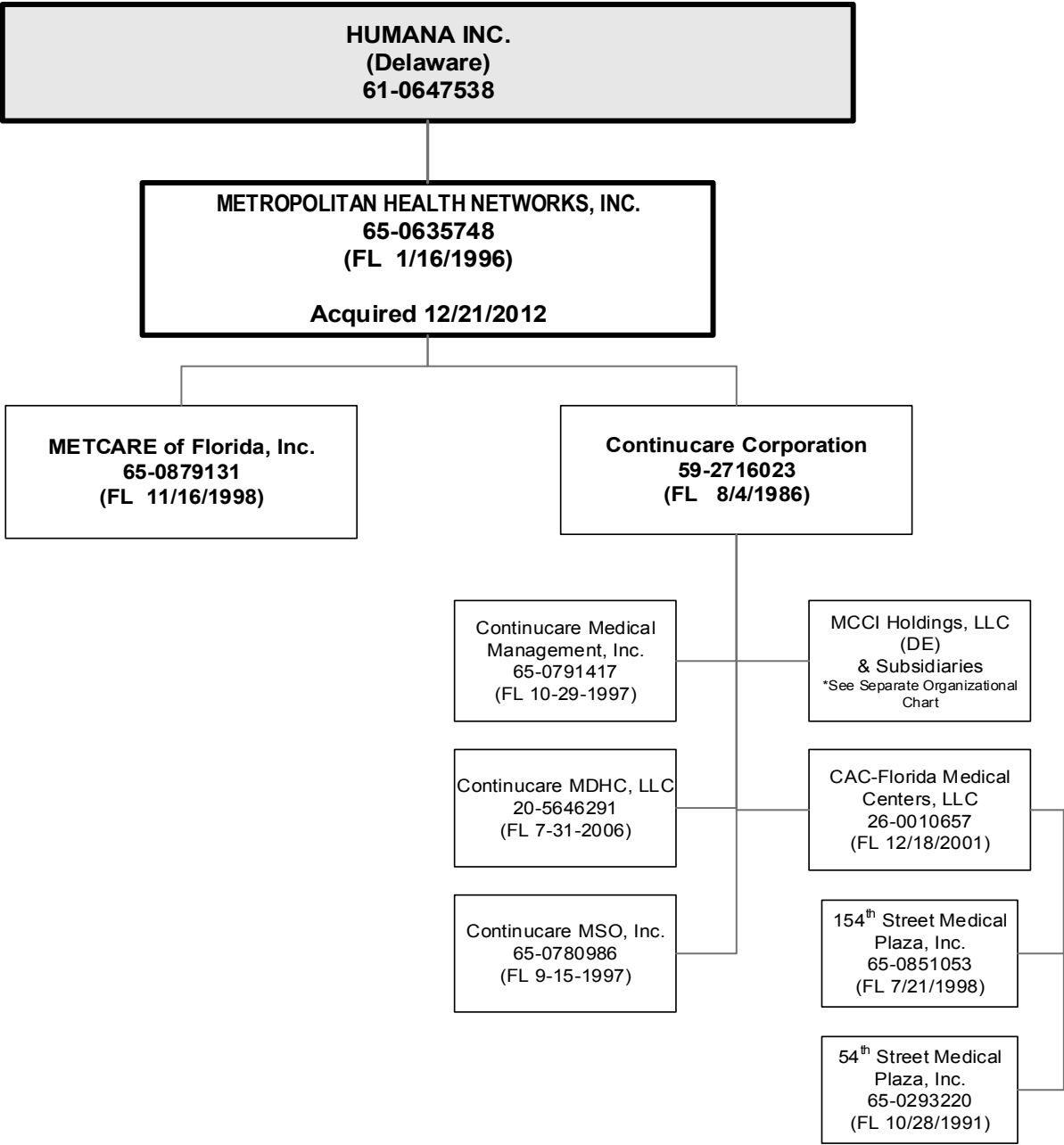
Humana Inc.
(Delaware)
61-0647538

As of 06.30.2018

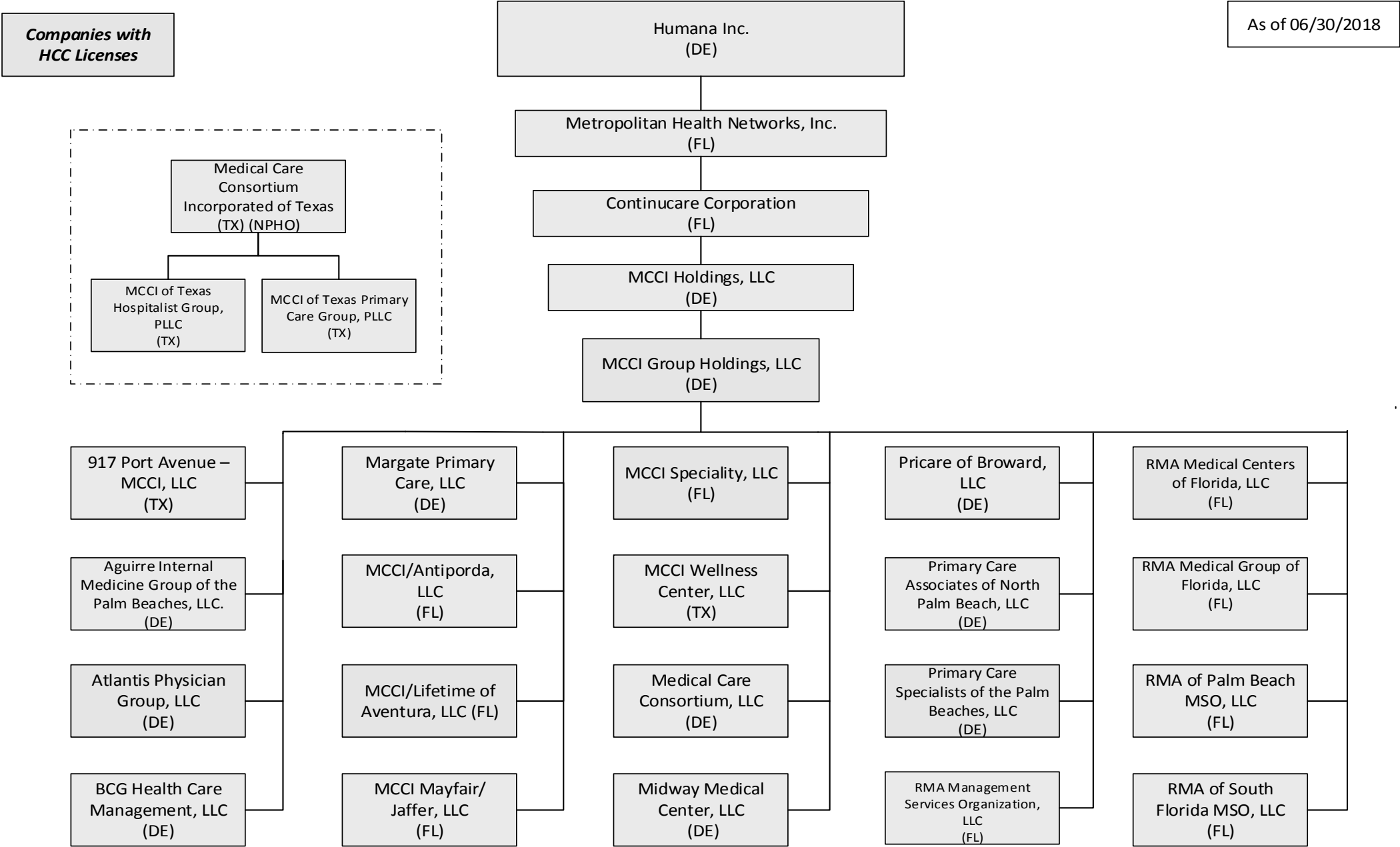
Humana at Home, Inc.
(f/k/a SeniorBridge Family Companies, Inc.)
13-4036798 (01/21/2000 - DE)
Acq. 07/06/2012



As of 06/30/2018

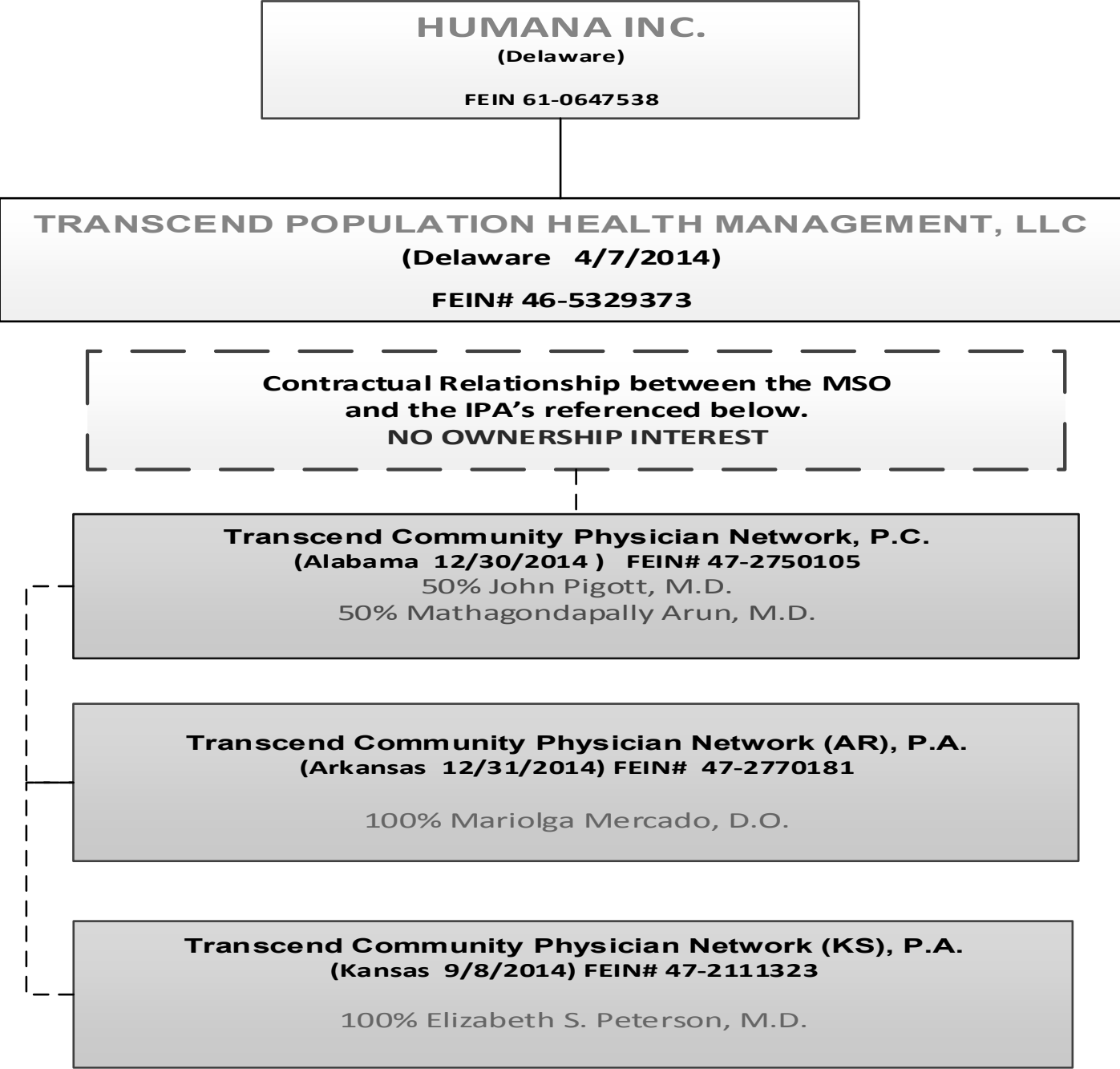


STATEMENT AS OF JUNE 30, 2018 OF THE Humana Health Plan of Ohio Inc.

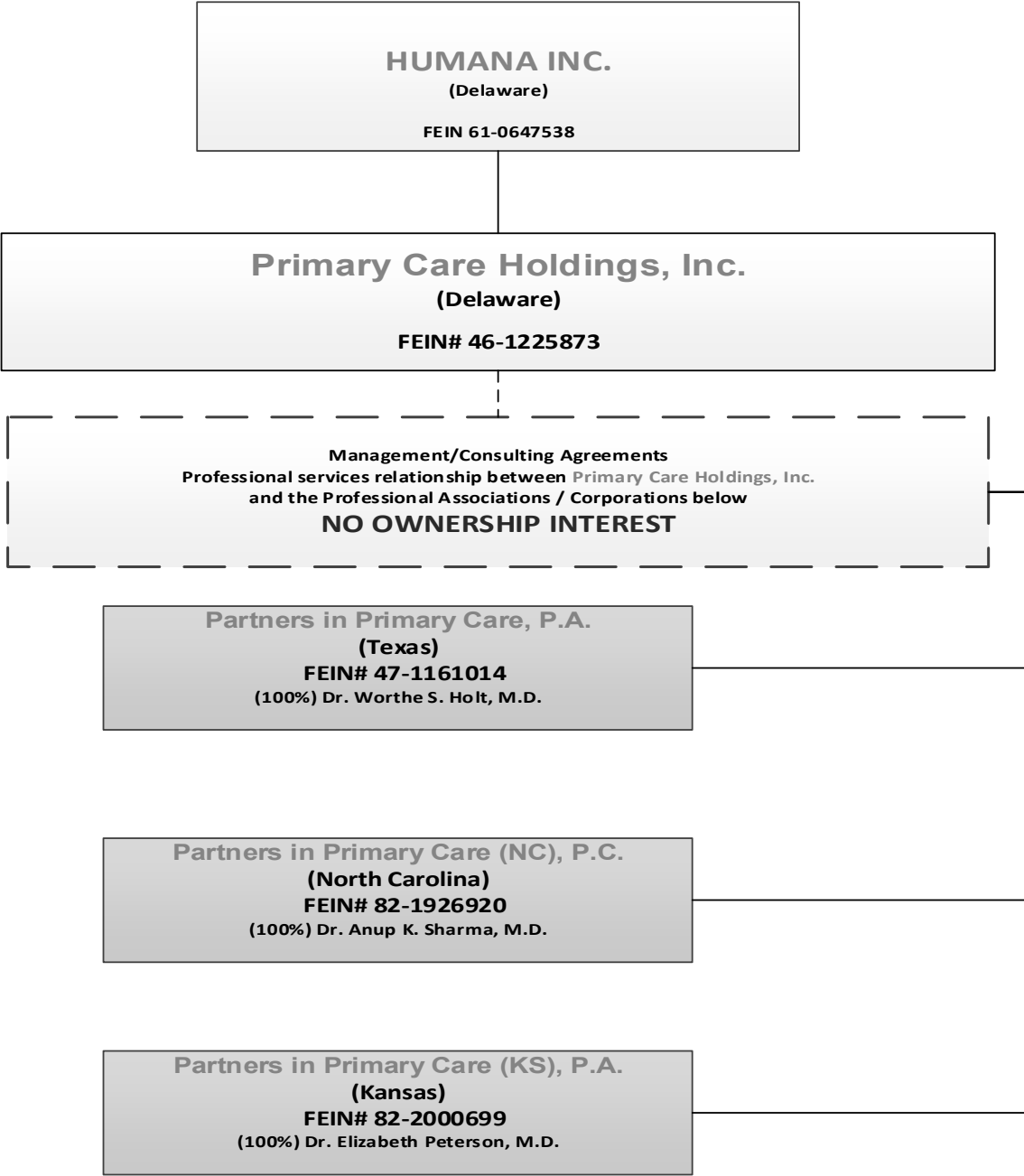


As of 06/30/2018

As of 06.30.2018



As of 06 30 2018



SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0119	Humana Inc.	.00000	65-0851053				154th Street Medical Plaza, Inc.	FL	NIA	CAC-Florida Medical Centers, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-0381804				1st Choice Home Health Care, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-5309363				515-526 W MainSt Condo Council of Co-Owners	KY	NIA	Preservation on Main, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0293220				54th Street Medical Plaza, Inc.	FL	NIA	CAC-Florida Medical Centers, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	47-1018778				917 Port Avenue - MCC1, Inc.	TX	NIA	MCC1 Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-8662297				Aguirre Internal Medicine Group of the Palm Beaches, LLC	DE	NIA	MCC1 Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	45-3818750				American Eldercare of North Florida, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0380198				American Eldercare, Inc.	FL	NIA	American Eldercare of North Florida, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.12151	20-1001348				Arcadian Health Plan, Inc.	WA	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-8662801				Atlantis Physician Group, LLC	DE	NIA	MCC1 Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	59-3715944				Availity, L.L.C.	DE	OTH	See Footnote 1	Board of Directors	.0.000	Humana Inc.		.1
.0119	Humana Inc.	.00000	20-8662752				BCG Health Care Management, LLC	DE	NIA	MCC1 Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	30-0117876				CAC Medical Center Holdings, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	26-0010657				CAC-Florida Medical Centers, LLC	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	26-0815856				Care Partners Home Care, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	39-1514846				CareNetwork, Inc.	WI	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95092	59-2598550				CarePlus Health Plans, Inc.	FL	IA	CAC Medical Center Holdings, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95754	62-1579044				Cariten Health Plan Inc.	TN	IA	PHP Companies, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	35-2608414				CDO 1, LLC	DE	NIA	HJM Provider Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	32-0545504				CDO 2, LLC	DE	NIA	HJM Provider Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95158	61-1279717				CHA HMO, Inc.	KY	IA	CHA Service Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1279716				CHA Service Company	KY	NIA	Humana Health Plan, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.52015	59-2531815				CompBenefits Company	FL	IA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	04-3185995				CompBenefits Corporation	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.11228	36-3686002				CompBenefits Dental, Inc.	IL	IA	Dental Care Plus Management Corporation	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	58-2228851				CompBenefits Direct, Inc.	DE	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.60984	74-2552026				CompBenefits Insurance Company	TX	IA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	45-3713941				Complex Clinical Management, Inc.	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	42-1575099				Comprehensive Health Insights, Inc.	IL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	59-2716023				Continucare Corporation	FL	NIA	Metropolitan Health Networks, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-5646291				Continucare MDHC, LLC	FL	NIA	Continucare Corporation	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0791417				Continucare Medical Management, Inc.	FL	NIA	Continucare Corporation	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0780986				Continucare MSO, Inc.	FL	NIA	Continucare Corporation	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	36-4880828				Conviva Care Solutions, LLC	DE	OTH	See Footnote 4	Other	.40.000	Humana Inc.		.4
.0119	Humana Inc.	.00000	75-2043865				Humana Behavioral Health, Inc.	TX	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	36-3512545				Dental Care Plus Management Corp.	IL	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95161	76-0039628				DentiCare, Inc.	TX	IA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.88595	31-0935772				Empheys Insurance Company	TX	IA	Empheys, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1237697				Empheys, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	59-3164234				Family Physicians of Winter Park, Inc.	FL	NIA	FPG Acquisition Corp.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	81-3802918				FPG Acquisition Corp.	DE	NIA	FPG Acquisition Holdings Corp.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	81-3819187				FPG Acquisition Holdings Corp.	DE	NIA	FPG Holding Company, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	32-0505460				FPG Holding Company, LLC	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	45-4685400				FPG Senior Services, LLC	FL	NIA	FPG Acquisition Corp.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	27-1649291				Harris, Rothenberg International Inc.	NY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1223418				Health Value Management, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	46-4912173				Humana EAP and Work-Life Services of California, Inc.	CA	IA	Harris, Rothenberg International Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	26-3592783				HJM Provider Holdings, LLC	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-4835394				Humana Active Outlook, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	75-2739333				Humana At Home (Dallas), Inc.	TX	NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	76-0537878				Humana At Home (Houston), Inc.	TX	NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	04-3580066				Humana at Home (MA), Inc.	MA	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0119	Humana Inc.	.00000	65-0274594				Humana At Home 1, Inc.	FL	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	13-4036798				Humana at Home, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.60052	37-1326199				Humana Benefit Plan of Illinois, Inc.	IL	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	59-1843760				Humana Dental Company	FL	NIA	CompBenefits Corporation	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95519	58-2209549				Humana Employers Health Plan of GA, Inc.	GA	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1241225				Humana Government Business, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95642	72-1279235				Humana Health Benefit Plan of LA, Inc.	LA	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.13558	26-2800286				Humana Health Company of New York, Inc.	NY	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.69671	61-1041514				Humana Health Ins. Co. of Florida, Inc.	FL	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	26-3473328				Humana Health Plan of California, Inc.	CA	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95348	31-1154200				Humana Health Plan of Ohio, Inc.	OH	RE	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95024	61-0994632				Humana Health Plan of Texas, Inc.	TX	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95885	61-1013183				Humana Health Plan, Inc.	KY	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95721	66-0406896				Humana Health Plans of Puerto Rico, Inc.	PR	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-0647538		0000049071	NYSE	Humana Inc.	DE	UDP	See Footnote 2	Other	0.000	See Footnote 2		.2
.0119	Humana Inc.	.00000	61-1343791				Humana Innovation Enterprises, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.73288	39-1263473				Humana Insurance Company	WI	IA	CareNetwork, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.60219	61-1311685				Humana Insurance Company of Kentucky	KY	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.12634	20-2888723				Humana Insurance Company of New York	NY	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.84603	66-0291866				Humana Insurance of Puerto Rico, Inc.	PR	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-3364857				Humana MarketPOINT of Puerto Rico, Inc.	PR	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1343508				Humana MarketPOINT, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.14224	27-3991410				Humana Medical Plan of Michigan, Inc.	MI	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.14462	27-4660531				Humana Medical Plan of Pennsylvania, Inc.	PA	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.12908	20-8411422				Humana Medical Plan of Utah, Inc.	UT	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95270	61-1103898				Humana Medical Plan, Inc.	FL	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	45-2254346				Humana Pharmacy Solutions, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1316926				Humana Pharmacy, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.12282	20-2036444				Humana Regional Health Plan, Inc.	AR	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-8418853				Humana Veterans Healthcare Services, Inc.	DE	NIA	Humana Government Business, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	26-4522426				Humana WellWorks LLC	DE	NIA	Health Value Management, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95342	39-1525003				Humana Wisc. Health Org. Ins. Corp.	WI	IA	CareNetwork, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.70580	39-0714280				HumanaDental Insurance Company	WI	IA	HumanaDental, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1364005				HumanaDental, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	27-4535747				Go365, LLC	DE	NIA	HumanaWellworks LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1239538				Humco, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1383567				HUM-e-FL, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	86-1050795				Hummingbird Coaching Systems LLC	OH	NIA	Harris, Rothenberg International Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	39-1769093				Independent Care Health Plan	WI	OTH	See Footnote 3	Other	50.000	Humana Inc.		.3
.0119	Humana Inc.	.00000					Island Doctors of New Smyrna Beach Medical Center, LLC	FL	OTH	See Footnote 5	Other	50.000	Humana Inc.		.5
.0119	Humana Inc.	.65110	57-0380426				Kanawha Insurance Company	SC	IA	KMG America Corporation	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-1377270				KMG America Corporation	VA	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1232669				Managed Care Indemnity, Inc.	VT	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-5569419				Margate Primary Care, LLC	DE	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	45-5310641				MCCI/Antiporda, LLC	FL	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-5569675				MCCI Holdings, LLC	DE	NIA	Continucare Corporation	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-5904436				MCCI Group Holdings, LLC	DE	NIA	MCCI Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	45-4493313				MCCI/Lifetime of Aventura, LLC	FL	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	46-0645502				MCCI Mayfair/Jaffer, LLC	FL	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	46-1846260				MCCI of Texas Primary Care Group, PLLC	TX	NIA	Medical Care Consortium Incorporated of Texas	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	46-1853023				MCCI of Texas Hospitalist Group, PLLC	TX	NIA	Medical Care Consortium Incorporated of Texas	Ownership	100.000	Humana Inc.		.0

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0119	Humana Inc.	.00000	81-2957926				MCCI Specialty, LLC	FL	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000					MCCI Wellness Center, LLC	TX	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-5569499				Medical Care Consortium, LLC	DE	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
							Medical Care Consortium Incorporated of Texas								
.0119	Humana Inc.	.00000	27-4379634					TX	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0879131				METCARE of Florida, Inc.	FL	NIA	Metropolitan Health Networks, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0635728				Metropolitan Health Networks, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-5575063				Midway Medical Center, LLC	DE	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0992582				Naples Health Care Specialists, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0688221				Nursing Solutions, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	62-1552091				PHP Companies, Inc.	TN	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	62-1250945				Preferred Health Partnership, Inc.	TN	NIA	PHP Companies, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-1724127				Preservation on Main, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-5569616				Pricare of Broward, LLC	DE	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
							Primary Care Associates of North Palm Beach, LLC								
.0119	Humana Inc.	.00000	20-5569262					DE	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	46-1225873				Primary Care Holdings, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
							Primary Care Specialist of the Palm Beaches, LLC								
.0119	Humana Inc.	.00000	56-2655900					DE	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000					RMA Island Doctors Daytona MSO, LLC	FL	OTH	See Footnote 6	Other	50.000	Humana Inc.		.6
.0119	Humana Inc.	.00000					RMA Island Doctors Orlando MSO, LLC	FL	OTH	See Footnote 7	Other	62.660	Humana Inc.		.7
.0119	Humana Inc.	.00000					RMA Management Services Organization, LLC	FL	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000					RMA Medical Center of Orlando, LLC	FL	OTH	See Footnote 8	Other	50.000	Humana Inc.		.8
.0119	Humana Inc.	.00000					RMA Medical Center of South Orlando, LLC	FL	OTH	See Footnote 9	Other	62.660	Humana Inc.		.9
.0119	Humana Inc.	.00000					RMA Medical Center of Sunrise, LLC	FL	OTH	See Footnote 10	Other	62.660	Humana Inc.		.10
.0119	Humana Inc.	.00000	90-1021973				RMA Medical Centers of Florida, LLC	FL	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	30-0806075				RMA Medical Group of Florida, LLC	FL	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000					RMA of Palm Beach MSO, LLC	FL	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000					RMA of South Florida MSO, LLC	FL	NIA	MCCI Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000					RMA Orlando MSO, LLC	FL	OTH	See Footnote 11	Other	40.000	Humana Inc.		.11
.0119	Humana Inc.	.00000	75-2844854				ROHC, L.L.C.	TX	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	56-2593719				SeniorBridge (NC), Inc.	NC	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	80-0581269				SeniorBridge Care Management, Inc.	NY	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	46-0702349				SeniorBridge Family Companies (AZ), Inc.	AZ	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	45-3039782				SeniorBridge Family Companies (CA), Inc.	CA	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	27-0452360				SeniorBridge Family Companies (CT), Inc.	CT	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-1096853				SeniorBridge Family Companies (FL), Inc.	FL	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	02-0660212				SeniorBridge Family Companies (IL), Inc.	IL	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-0301155				SeniorBridge Family Companies (IN), Inc.	IN	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	81-0557727				SeniorBridge Family Companies (MD), Inc.	MD	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	46-0677759				SeniorBridge Family Companies (MO), Inc.	MO	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	36-4484449				SeniorBridge Family Companies (NJ), Inc.	NJ	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	36-4484443				SeniorBridge Family Companies (NY), Inc.	NY	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-0260501				SeniorBridge Family Companies (OH), Inc.	OH	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	38-3643832				SeniorBridge Family Companies (PA), Inc.	PA	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	01-0766084				Humana At Home (San Antonio), Inc.	TX	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	46-0691871				SeniorBridge Family Companies (VA), Inc.	VA	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	59-2518701				SeniorBridge-Florida, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	74-2352809				Texas Dental Plans, Inc.	TX	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.54739	52-1157181				The Dental Concern, Inc.	KY	IA	HumanaDental, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	75-2600512				Humana at Home (TLC), Inc.	TX	NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	80-0072760				Transcend Insights, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	46-5329373				Transcend Population Health Management, LLC	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Rela-tion-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
..0119	Humana Inc.00000	66-0872725	Humana Management Services of Puerto Rico, Inc.PR.....	..NIA.....	Humana Inc.	Ownership.....	..100.000	Humana Inc.0
..0119	Humana Inc.00000	North Region Providers, LLCDE.....	..NIA.....	Humana Government Business, Inc.	Ownership.....	..100.000	Humana Inc.0

Asterisk	Explanation
1	Availity, L.L.C., a Delaware limited liability company (Company), was formed by affiliates of Humana Inc. and Blue Cross and Blue Shield of Florida, Inc. to develop and operate an Internet site on the World Wide Web to permit health plans to communicate and engage in electronic transactions with health care service providers initially in the State of Florida. The following companies have Common Unit ownership in the Company: HUM-e-FL, Inc., a subsidiary of Humana Inc., is a Member with a 19.4% ownership interest. Navigy, Inc., a subsidiary of Blue Cross and Blue Shield of Florida, Inc., is a Member with a 29.1% ownership interest, Health Care Service Corporation, a Member, has a 29.1% ownership interest, Sellcore, Inc., a subsidiary of WellPoint and a Member, has a 19.4% ownership interest, and MII Services, Inc., a subsidiary of Blue Cross and Blue Shield of Minnesota and a Member, has 3% ownership interest.
2	Humana Inc., a Delaware corporation and ultimate parent company in the holding company system, is a publicly traded company on the New York Stock Exchange and ownership fluctuates daily.
3	Independent Care Health Plan, a Wisconsin corporation licensed as an HMO, operates an integrated, coordinated medical and social service managed care program for chronically disabled Medicaid recipients in Milwaukee, Wisconsin. CareNetwork, Inc. owns 50% of the company's stock. Centers For Independence, Inc. owns the other 50%.
4	Conviva Care Solutions, LLC, a Delaware limited liability company (Company), was formed by affiliates of HUM Provider Holdings, LLC (45%), Meta Healthcare Holdings, LLC (45%) and Reserved Meta Managemant (10%).
5	Island Doctors of New Smyrna Beach Medical Center, LLC, a Florida Limited Liability Company (Company) is a joint venture between MCCI Group Holdings, LLC (50%) and Roy H. Hinman, MD, PA (50%)
6	RMA Island Doctors Daytona MSO, LLC, a Florida Limited Liability Company (Company) is a joint venture between MCCI Group Holdings, LLC (50%) and Roy H. Hinman, MD, PA (50%)
7	RMA Island Doctors Orlando MSO, LLC, a Florida Limited Liability Company (Company) is a joint venture between MCCI Group Holdings, LLC (62 2/3%) and Florida Sports and Family Health Center, PA (33 1/3%)
8	RMA Medical Center of Orlando, LLC, a Florida Limited Liability Company (Company) is a joint venture between MCCI Group Holdings, LLC (50%) and Mito Holdings, LLC (50%)
9	RMA Medical Center of South Orlando, LLC, a Florida Limited Liability Company (Company) is a joint venture between MCCI Group Holdings, LLC (75%) and Mito Holdings, LLC (25%)
10	RMA Medical Center of Sunrise, LLC, a Florida Limited Liability Company (Company) is a joint venture between MCCI Group Holdings, LLC (62 2/3%) and Neil Tytler (33 1/3%)
11	RMA Orlando MSO, LLC, a Florida Limited Liability Company (Company) is a joint venture between MCCI Group Holdings, LLC (40%), Roy H. Hinman, MD, PA (40%), and Florida Sports and Family Health Center, PA (20%)

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

NO

Explanation:

1. This type of business is not written.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Assets Line 25

		Current Statement Date			4
		1	2	3	
		Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	Prior Year Net Admitted Assets
2504.	Prepaid Commissions	138,157	138,157	0	0
2597.	Summary of remaining write-ins for Line 25 from overflow page	138,157	138,157	0	0

SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage investment and commitment fees		
9. Total foreign exchange change in book value/recorded investment including accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year304,479	.304,479
2. Cost of acquired:		
2.1 Actual cost at time of acquisition0	.0
2.2 Additional investment made after acquisition0	.0
3. Capitalized deferred interest and other0	.0
4. Accrual of discount0	.0
5. Unrealized valuation increase (decrease)0	.0
6. Total gain (loss) on disposals0	.0
7. Deduct amounts received on disposals0	.0
8. Deduct amortization of premium and depreciation0	.0
9. Total foreign exchange change in book/adjusted carrying value0	.0
10. Deduct current year's other than temporary impairment recognized0	.0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)304,479	.304,479
12. Deduct total nonadmitted amounts0	.0
13. Statement value at end of current period (Line 11 minus Line 12)304,479	.304,479

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	114,978,960	127,548,941
2. Cost of bonds and stocks acquired	19,644,502	28,364,971
3. Accrual of discount	7,948	8,892
4. Unrealized valuation increase (decrease)0	.0
5. Total gain (loss) on disposals	(683,039)	(196,792)
6. Deduct consideration for bonds and stocks disposed of	68,862,996	39,026,874
7. Deduct amortization of premium	704,018	1,720,178
8. Total foreign exchange change in book/adjusted carrying value0	.0
9. Deduct current year's other than temporary impairment recognized0	.0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees0	.0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	64,381,357	114,978,960
12. Deduct total nonadmitted amounts0	.0
13. Statement value at end of current period (Line 11 minus Line 12)	64,381,357	114,978,960

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	120,985,061	109,869,956	162,991,472	(274,515)	120,985,061	67,589,030	0	116,717,506
2. NAIC 2 (a)	3,632,246	0	2,476,787	(6,155)	3,632,246	1,149,304	0	4,019,342
3. NAIC 3 (a)	0	0	0	0	0	0	0	0
4. NAIC 4 (a)	0	0	0	0	0	0	0	0
5. NAIC 5 (a)	0	0	0	0	0	0	0	0
6. NAIC 6 (a)	0	0	0	0	0	0	0	0
7. Total Bonds	124,617,307	109,869,956	165,468,259	(280,670)	124,617,307	68,738,334	0	120,736,848
PREFERRED STOCK								
8. NAIC 1	0	0	0	0	0	0	0	0
9. NAIC 2	0	0	0	0	0	0	0	0
10. NAIC 3	0	0	0	0	0	0	0	0
11. NAIC 4	0	0	0	0	0	0	0	0
12. NAIC 5	0	0	0	0	0	0	0	0
13. NAIC 6	0	0	0	0	0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	124,617,307	109,869,956	165,468,259	(280,670)	124,617,307	68,738,334	0	120,736,848

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 4,356,977 ; NAIC 2 \$ 0 ; NAIC 3 \$ 0 NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	0	xxx	0	40,268	0

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	2,019,879	7,729,356
2. Cost of short-term investments acquired	0	189,615,390
3. Accrual of discount	0	0
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	8,066	0
6. Deduct consideration received on disposals	2,003,724	195,299,206
7. Deduct amortization of premium	24,221	25,661
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	0	2,019,879
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	0	2,019,879

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	7,495,354	11,995,917
2. Cost of cash equivalents acquired	340,686,996	168,189,606
3. Accrual of discount	12,563	9,945
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	0	4,083
6. Deduct consideration received on disposals	343,837,936	172,704,197
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	4,356,977	7,495,354
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	4,356,977	7,495,354

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

N O N E

STATEMENT AS OF JUNE 30, 2018 OF THE Humana Health Plan of Ohio Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

[illegible]

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0

STATEMENT AS OF JUNE 30, 2018 OF THE Humana Health Plan of Ohio Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation or Market In-dicator (a)
912828-3H-1	US TREASURY N/B GOVERNMENT		05/22/2018	MORGAN STANLEY		2,372,902	2,400,000	2,395,223	2,395,292	.0	.960	.0	.960	.0	2,396,252	.0	(23,350)	(23,350)	20,077	11/30/2019	1
912828-3X-6	US TREASURY N/B GOVERNMENT		05/22/2018	SOCIETE GENERALE		7,904,987	8,000,000	7,989,076	.0	.0	.818	.0	.818	.0	7,989,894	.0	(84,907)	(84,907)	48,232	02/15/2021	1
912828-QG-8	UNITED STATES TREASURY GOVERNMENT		04/30/2018	MATURITY		500,000	500,000	520,058	503,026	.0	(3,026)	.0	(3,026)	.0	500,000	.0	.0	.0	.0	04/30/2018	1
05999999	Subtotal - Bonds - U.S. Governments					10,777,889	10,900,000	10,904,357	2,898,318	.0	(1,248)	.0	(1,248)	.0	10,886,146	.0	(108,257)	(108,257)	68,309	XXX	XXX
100853-VY-3	BOSTON MASS MUNI		04/24/2018	UMB BANK		178,218	150,000	191,921	185,014	.0	(1,259)	.0	(1,259)	.0	183,755	.0	(5,537)	(5,537)	4,875	03/01/2026	1FE
13063A-4H-4	CALIFORNIA STATE MUNI		04/01/2018	MATURITY		250,000	250,000	263,703	252,733	.0	(2,733)	.0	(2,733)	.0	250,000	.0	.0	.0	6,875	04/01/2018	1FE
13063D-DG-0	CALIFORNIA STATE MUNI FTST		05/23/2018	BARCLAYS CAPITAL JANNEY MONTGOMERY SCOTT		948,630	1,000,000	965,050	.0	.0	1,617	.0	1,617	.0	966,667	.0	(18,037)	(18,037)	13,063	10/01/2023	1FE
13066Y-SW-0	CALIFORNIA ST DEPT WATER RESO	MUNI	04/24/2018	MERRILL LYNCH		218,400	200,000	236,228	226,441	.0	(2,474)	.0	(2,474)	.0	223,967	.0	(5,567)	(5,567)	4,833	05/01/2021	1FE
20772J-P2-2	CONNECTICUT ST MUNI		04/24/2018	JANNEY MONTGOMERY SCOTT		141,961	130,000	152,559	148,395	.0	(658)	.0	(658)	.0	147,737	.0	(5,776)	(5,776)	2,889	11/15/2031	1FE
246381-DH-7	DELAWARE STATE MUNI		04/24/2018	WELLS		236,233	230,000	261,809	240,641	.0	(2,877)	.0	(2,877)	.0	237,764	.0	(1,531)	(1,531)	7,475	03/01/2019	1FE
246381-LL-9	DELAWARE STATE MUNI		04/24/2018	MORGAN STANLEY		10,332	10,000	10,619	10,614	.0	(129)	.0	(129)	.0	10,485	.0	(153)	(153)	408	07/01/2019	1FE
246381-LW-5	DELAWARE STATE MUNI		04/24/2018	CITIGROUP GLOBAL MARKETS INC		129,585	125,000	132,732	132,675	.0	(1,614)	.0	(1,614)	.0	131,062	.0	(1,477)	(1,477)	5,104	07/01/2019	1FE
373384-ST-9	GEORGIA ST MUNI		04/24/2018	SIEBERT CISNEROS SHANK & CO		248,890	240,000	252,074	.0	.0	(2,091)	.0	(2,091)	.0	249,983	.0	(1,094)	(1,094)	3,800	07/01/2019	1FE
419792-HL-3	HAWAII ST MUNI		04/24/2018	HERBERT J SIMS CO		200,729	195,000	217,540	204,586	.0	(2,416)	.0	(2,416)	.0	202,170	.0	(1,441)	(1,441)	5,525	04/01/2019	1FE
419792-HM-1	HAWAII ST MUNI		04/24/2018	MORGAN STANLEY		285,495	270,000	309,712	292,765	.0	(3,165)	.0	(3,165)	.0	289,599	.0	(4,104)	(4,104)	7,650	04/01/2020	1FE
419792-JY-3	HAWAII ST MUNI		04/24/2018	JP MORGAN CHASE BANK		107,845	95,000	117,865	112,845	.0	(940)	.0	(940)	.0	111,905	.0	(4,060)	(4,060)	2,692	10/01/2023	1FE
419792-MG-8	HAWAII ST MUNI		04/24/2018	NATHAN HALE CAPITAL		117,370	100,000	127,814	124,273	.0	(931)	.0	(931)	.0	123,342	.0	(5,972)	(5,972)	2,833	10/01/2025	1FE
419792-MJ-2	HAWAII ST MUNI		04/24/2018	MORGAN STANLEY		123,783	105,000	134,855	131,501	.0	(885)	.0	(885)	.0	130,617	.0	(6,833)	(6,833)	2,975	10/01/2027	1FE
419792-MK-9	HAWAII ST MUNI		04/24/2018	INTL FCSTONE FINANCIAL		117,415	100,000	127,504	124,421	.0	(812)	.0	(812)	.0	123,609	.0	(6,194)	(6,194)	2,833	10/01/2028	1FE
467578-HG-8	JACKSON CNTY MO SPL OBLIG	MUNI	04/24/2018	SUMRIDGE PARTNERS, LLC		114,742	110,000	127,039	117,678	.0	(1,256)	.0	(1,256)	.0	116,422	.0	(1,680)	(1,680)	2,200	12/01/2019	1FE
574193-GT-9	MARYLAND ST MUNI		04/24/2018	CITIGROUP GLOBAL MARKETS INC		163,671	155,000	167,175	165,417	.0	(1,758)	.0	(1,758)	.0	165,417	.0	(1,746)	(1,746)	5,038	03/01/2020	1FE
574193-JF-6	MARYLAND ST MUNI		04/24/2018	SIEBERT CISNEROS SHANK & CO		519,695	500,000	568,805	530,436	.0	(6,049)	.0	(6,049)	.0	524,387	.0	(4,692)	(4,692)	18,333	08/01/2019	1FE
574193-LP-1	MARYLAND ST MUNI		04/24/2018	GOLDMAN SACHS		118,358	105,000	128,429	121,785	.0	(986)	.0	(986)	.0	120,799	.0	(2,441)	(2,441)	3,413	03/01/2023	1FE
57582P-DM-9	MASSACHUSETTS ST MUNI		04/16/2018	MERRILL LYNCH, PIERCE, FENNER & SM		498,000	500,000	500,000	500,000	.0	.0	.0	.0	.0	500,000	.0	(2,000)	(2,000)	3,665	11/01/2025	1FE
57582P-QY-9	MASSACHUSETTS ST MUNI		04/24/2018	UMB BANK		143,735	140,000	155,669	147,166	.0	(1,940)	.0	(1,940)	.0	145,226	.0	(1,490)	(1,490)	4,550	03/01/2039	1FE
57582P-UT-5	MASSACHUSETTS ST MUNI FTST		04/24/2018	STIFEL NICOLAUS AND CO		451,832	410,000	464,859	.0	.0	(863)	.0	(863)	.0	463,996	.0	(12,163)	(12,163)	9,730	05/01/2029	1FE
57582R-QJ-8	MASSACHUSETTS ST MUNI		04/24/2018	UMB BANK		172,212	150,000	179,802	179,327	.0	(823)	.0	(823)	.0	178,505	.0	(6,293)	(6,293)	3,729	11/01/2038	1FE
577285-SA-3	MAUI CNTY HAWAII MUNI		04/24/2018	UMB BANK		96,023	95,000	106,244	97,659	.0	(1,262)	.0	(1,262)	.0	96,397	.0	(374)	(374)	3,088	09/01/2018	1FE
59259Y-TR-0	METROPOLITIAN TRANSN AUTH NY R		04/24/2018	ALAMO CAPITAL		133,512	120,000	137,134	137,050	.0	(1,059)	.0	(1,059)	.0	135,991	.0	(2,479)	(2,479)	2,667	11/15/2022	1FE
59447T-QC-0	MICHIGAN FIN AUTH REV	MUNI	04/24/2018	MERRILL LYNCH		102,524	90,000	106,555	106,592	.0	(710)	.0	(710)	.0	105,882	.0	(3,358)	(3,358)	1,550	12/01/2024	1FE
646066-GY-0	NEW JERSEY ST EDL FACS AUTH RE		04/24/2018	CITIGROUP GLOBAL MARKETS INC		120,672	120,000	134,358	122,498	.0	(1,582)	.0	(1,582)	.0	120,915	.0	(243)	(243)	4,900	07/01/2018	1FE
646066-RD-4	NEW JERSEY ST EDL FACS AUTH RE		04/24/2018	MERRILL LYNCH, PIERCE, FENNER & SM		78,194	70,000	85,612	81,383	.0	(781)	.0	(781)	.0	80,602	.0	(2,408)	(2,408)	2,858	07/01/2022	1FE
646136-Z4-6	NEW JERSEY ST TRANSN TR FD	MUNI	04/24/2018	MERRILL LYNCH		87,465	85,000	91,158	88,462	.0	(746)	.0	(746)	.0	87,717	.0	(252)	(252)	1,535	06/15/2019	1FE
646136-Z5-3	NEW JERSEY ST TRANSN TR FD	MUNI	04/24/2018	BELLE HAVEN INVESTMENTS, LP		104,745	100,000	109,031	106,208	.0	(782)	.0	(782)	.0	105,426	.0	(681)	(681)	1,806	06/15/2020	1FE
646136-Z6-1	NEW JERSEY ST TRANSN TR FD	MUNI	04/24/2018	R. SEELAUS & CO INC		63,475	60,000	66,283	64,767	.0	(421)	.0	(421)	.0	64,347	.0	(872)	(872)	1,083	06/15/2021	1FE
646136-Z7-9	NEW JERSEY ST TRANSN TR FD	MUNI	04/24/2018	STERN BROTHERS		53,381	50,000	55,764	54,640	.0	(312)	.0	(312)	.0	54,328	.0	(947)	(947)	903	06/15/2022	1FE
64966M-AL-3	NEW YORK NY MUNI		04/24/2018	SIEBERT CISNEROS SHANK & CO		1,513,020	1,500,000	1,634,685	1,536,479	.0	(19,799)	.0	(19,799)	.0	1,516,680	.0	(3,660)	(3,660)	55,000	08/01/2018	1FE
64966M-EE-5	NEW YORK NY MUNI FTST		05/23/2018	SIEBERT CISNEROS SHANK & CO		887,918	950,000	903,963	.0	.0	2,198	.0	2,198	.0	906,161	.0	(18,243)	(18,243)	5,957	08/01/2023	1FE
64990A-FH-3	NEW YORK ST DORM AUTH SALES TA		04/24/2018	CITIGROUP GLOBAL MARKETS INC		503,960	490,000	538,912	514,085	.0	(6,301)	.0	(6,301)	.0	507,784	.0	(3,824)	(3,824)	14,972	03/15/2019	1FE
64990E-SN-3	NEW YORK ST DORM AUTH ST PERS	MUNI	04/24/2018	SIEBERT CISNEROS SHANK & CO		115,783	100,000	125,057	121,667	.0	(908)	.0	(908)	.0	120,759	.0	(4,976)	(4,976)	3,472	02/15/2025	1FE
650010-AD-3	NY STATE THY AUTHORITY	MUNI	05/23/2018	UMB BANK		689,805	670,000	757,495	706,513	.0	(10,912)	.0	(10,912)	.0	695,601	.0	(5,795)	(5,795)	30,150	05/01/2019	1FE
658256-3A-8	NORTH CAROLINA ST MUNI		04/24/2018	UES SECURITIES		185,252	170,000	193,183	191,924	.0	(1,997)	.0	(1,997)	.0	189,928	.0	(4,675)	(4,675)	3,400	06/01/2021	1FE
658256-E6-5	NORTH CAROLINA ST MUNI		04/24/2018	SUMRIDGE PARTNERS, LLC		127,337	120,000	139,432	131,538	.0	(1,552)	.0	(1,552)	.0	129,986	.0	(2,650)	(2,650)	2,900	05/01/2020	1FE

STATEMENT AS OF JUNE 30, 2018 OF THE Humana Health Plan of Ohio Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident- ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation or Market In- dicator (a)
658256-R2-0	NORTH CAROLINA ST	MUNI	04/24/2018	UBS SECURITIES		135,376	130,000	147,700	139,878	0	(1,330)	0	(1,330)	0	138,548	0	(3,173)	(3,173)	2,513	05/01/2020	1FE
677522-GZ-1	OHIO ST	MUNI	04/24/2018	DA DAVIDSON & COMPANY		134,383	115,000	145,964	140,703	0	(1,042)	0	(1,042)	0	139,662	0	(5,279)	(5,279)	2,779	11/01/2027	1FE
688443-S7-6	OSSEO MINN INDPST SCH DIST NO 2		04/24/2018	SIEBERT CISNEROS SHANK & CO		81,863	80,000	90,730	83,378	0	(984)	0	(984)	0	82,394	0	(531)	(531)	2,933	02/01/2019	1FE
70914P-D2-5	PENNSYLVANIA ST	MUNI	04/24/2018	CO		192,278	190,000	206,038	195,491	0	(2,461)	0	(2,461)	0	193,030	0	(752)	(752)	5,806	09/15/2018	1FE
70914P-D8-2	PENNSYLVANIA STATE	MUNI	06/28/2018	JEFFERIES		227,150	200,000	246,698	239,274	0	(2,732)	0	(2,732)	0	236,542	0	(9,392)	(9,392)	7,899	09/15/2024	1FE
76222R-UD-2	RHODE ISLAND ST & PROVIDENCE P		04/24/2018	MERRILL LYNCH		171,370	170,000	185,693	174,175	0	(2,266)	0	(2,266)	0	171,909	0	(539)	(539)	6,233	08/01/2018	1FE
763631-V7-0	RICHLAND CNTY S C	ST MUNI	05/23/2018	SIEBERT CISNEROS SHANK & CO		494,390	490,000	497,708	0	0	(1,821)	0	(1,821)	0	495,887	0	(1,496)	(1,496)	3,553	02/27/2019	1FE
79771T-LK-1	SAN FRANCISCO CALIF CITY CNTY ARPT		04/24/2018	HERBERT J SIMS CO		124,290	120,000	137,578	127,082	0	(1,533)	0	(1,533)	0	125,547	0	(1,257)	(1,257)	2,167	06/15/2019	1FE
840610-RP-6	SOUTH WASHINGTON CNTY INDPST SC		04/24/2018	SIEBERT CISNEROS SHANK & CO		66,507	65,000	73,693	67,738	0	(798)	0	(798)	0	66,940	0	(432)	(432)	2,383	02/01/2019	1FE
882723-SL-4	TEXAS ST	MUNI	04/24/2018	SNBC INVESTMENT SERVICES, LLC		197,192	180,000	217,187	204,791	0	(2,048)	0	(2,048)	0	202,742	0	(5,551)	(5,551)	5,100	10/01/2021	1FE
914378-HM-7	UNIVERSITY KY GEN RCPTS	MUNI	04/24/2018	SIEBERT CISNEROS SHANK & CO		88,588	85,000	98,081	90,591	0	(1,002)	0	(1,002)	0	89,587	0	(1,000)	(1,000)	2,408	10/01/2019	1FE
915217-WH-2	UNIVERSITY OF VIRGINIA	MUNI	04/24/2018	MERRILL LYNCH		180,419	165,000	195,394	183,177	0	(1,562)	0	(1,562)	0	181,615	0	(1,196)	(1,196)	6,050	08/01/2021	1FE
917542-TK-8	UTAH ST	MUNI	04/24/2018	WELLS		87,965	85,000	97,662	89,959	0	(1,041)	0	(1,041)	0	88,915	0	(951)	(951)	3,400	07/01/2019	1FE
928109-U7-0	VIRGINIA ST	MUNI	04/24/2018	FIDELITY CAPITAL MARKETS DIV OF N		118,767	100,000	128,895	125,686	0	(902)	0	(902)	0	124,785	0	(6,019)	(6,019)	2,000	06/01/2026	1FE
97705M-JF-3	WISC ST FOR ISSUES DTD PR	MUNI	04/24/2018	CITIGROUP GLOBAL MARKETS INC		159,448	140,000	166,872	166,319	0	(1,367)	0	(1,367)	0	164,954	0	(5,506)	(5,506)	3,111	11/01/2023	1FE
986438-MC-0	YORK CNTY SC	MUNI	04/24/2018	UMB BANK		135,494	120,000	150,018	142,461	0	(1,311)	0	(1,311)	0	141,149	0	(5,656)	(5,656)	3,400	04/01/2024	1FE
1799999	Subtotal - Bonds - U.S. States, Territories and Possessions					12,955,677	12,495,000	13,777,527	10,182,639	0	(106,168)	0	(106,168)	0	13,160,124	0	(204,448)	(204,448)	322,524	XXX	XXX
235241-KW-5	DALLAS RAPID TRN SR -TX	MUNI	06/28/2018	CITIGROUP GLOBAL MARKETS INC		822,863	810,000	896,030	854,359	0	(15,390)	0	(15,390)	0	838,969	0	(16,106)	(16,106)	24,570	12/01/2043	1FE
366155-E5-4	GARLAND TEX INDPST SCH DIST	MUNI	04/24/2018	CITIGROUP GLOBAL MARKETS INC		138,638	120,000	148,850	142,819	0	(945)	0	(945)	0	141,874	0	(3,236)	(3,236)	4,167	02/15/2025	1FE
414004-7D-7	HARRIS CNTY TEX	MUNI	06/28/2018	CITIGROUP GLOBAL MARKETS INC		492,391	490,000	535,340	515,028	0	(7,545)	0	(7,545)	0	507,482	0	(15,091)	(15,091)	22,438	08/15/2047	1FE
517696-4Y-3	LAS VEGAS NEV	MUNI	04/24/2018	MORGAN STANLEY		191,676	165,000	202,402	195,686	0	(1,079)	0	(1,079)	0	194,608	0	(2,932)	(2,932)	5,363	09/01/2027	1FE
517696-5A-4	LAS VEGAS NEV	MUNI	04/24/2018	MORGAN STANLEY		270,946	235,000	284,265	275,480	0	(1,413)	0	(1,413)	0	274,068	0	(3,122)	(3,122)	7,637	09/01/2029	1FE
796269-VH-7	SAN ANTONIO TEX INDPST SCH DIS	MUNI	04/24/2018	HERBERT J SIMS CO		182,036	160,000	196,527	187,827	0	(1,360)	0	(1,360)	0	186,466	0	(4,430)	(4,430)	5,555	02/15/2024	1FE
86476P-WB-0	SUFFOLK CNTY N Y	MUNI	04/24/2018	MORGAN STANLEY		98,517	90,000	100,518	100,497	0	(788)	0	(788)	0	99,709	0	(1,192)	(1,192)	1,463	02/01/2022	1FE
2499999	Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions					2,197,067	2,070,000	2,363,932	2,271,696	0	(28,520)	0	(28,520)	0	2,243,176	0	(46,109)	(46,109)	71,193	XXX	XXX
004284-ZJ-7	ACALANES CALIF UN HIGH SCH DIST		04/24/2018	BELLE HAVEN INVESTMENTS, LP		38,638	250,000	39,605	40,172	0	127	0	127	0	40,299	0	(1,661)	(1,661)	0	08/01/2046	1FE
008770-PR-4	AIKEN CNTY SC CONS SCH DIST	MUNI	04/24/2018	UMB BANK		165,752	150,000	179,937	171,383	0	(1,580)	0	(1,580)	0	169,803	0	(4,052)	(4,052)	4,875	03/01/2022	1FE
047870-AZ-3	ATLANTA GA WTR & WASTE WTR REV		04/24/2018	SUMRIDGE PARTNERS, LLC		200,083	190,000	215,946	205,454	0	(2,647)	0	(2,647)	0	202,807	0	(2,724)	(2,724)	5,051	11/01/2019	1FE
13063C-ZR-4	CALIFORNIA ST FOR PREVIOUS ISSUE		04/24/2018	BNY MELLON CAPITAL MARKETS LLC		208,670	180,000	223,394	214,777	0	(1,555)	0	(1,555)	0	213,223	0	(4,552)	(4,552)	5,850	09/01/2024	1FE
15504R-GB-9	CENTRAL PUGET SOUND WASH REG'L MUNI		04/24/2018	SUMRIDGE PARTNERS, LLC		200,442	175,000	209,696	203,239	0	(1,025)	0	(1,025)	0	202,215	0	(1,773)	(1,773)	4,229	11/01/2032	1FE
164555-BD-5	CHERRY CREEK COLO SCH DIST NO	MUNI	04/24/2018	ALAMO CAPITAL		80,263	70,000	83,406	83,276	0	(484)	0	(484)	0	82,792	0	(2,530)	(2,530)	1,264	12/15/2031	1FE
180848-QJ-5	CLARK CNTY NEV FOR ISSUES DTD MUNI		04/24/2018	B. C. ZIEGLER		166,084	155,000	183,164	172,272	0	(1,905)	0	(1,905)	0	170,367	0	(4,283)	(4,283)	3,746	11/01/2020	1FE
239019-P4-8	DAVIS CNTY UTAH SCH DIST	MUNI	04/24/2018	SUMRIDGE PARTNERS, LLC		74,229	70,000	81,707	76,035	0	(780)	0	(780)	0	75,255	0	(1,027)	(1,027)	1,400	06/01/2020	1FE
249182-KE-5	DENVER COLORADO CITY COUNTY ARPT		04/24/2018	ALAMO CAPITAL		80,015	75,000	81,614	81,467	0	(696)	0	(696)	0	80,770	0	(755)	(755)	1,438	11/15/2020	1FE
249182-KF-2	DENVER COLORADO CITY COUNTY ARPT		04/24/2018	ALAMO CAPITAL		32,600	30,000	33,380	33,325	0	(263)	0	(263)	0	33,062	0	(462)	(462)	575	11/15/2021	1FE
29270C-P9-0	ENERGY NORTHWEST WASH ELEC REV		06/28/2018	JEFFERIES		409,364	350,000	444,532	428,231	0	(4,840)	0	(4,840)	0	423,391	0	(14,027)	(14,027)	17,403	07/01/2025	1FE
3128MB-RT-3	FGOLD 30YR GIANY	FHLMC	04/26/2018	VARIOUS		89,255	87,793	94,295	93,852	0	(357)	0	(357)	0	93,495	0	(4,239)	(4,239)	1,402	04/01/2041	1
3128MJ-Y2-0	FGOLD 30YR GIANY	FNMA	06/01/2018	VARIOUS		111,827	110,279	118,029	117,693	0	(452)	0	(452)	0	117,241	0	(5,414)	(5,414)	1,768	10/01/2046	1
3128MJ-Y8-7	FGOLD 30YR GIANY	FNMA	06/01/2018	MBS PAYDOWN		8,909	8,909	9,535	8,948	0	(39)	0	(39)	0	8,909	0	0	0	147	11/01/2046	1
3132GK-ZQ-2	FGOLD 30 YR	FHLMC	04/24/2018	VARIOUS		79,849	78,537	84,353	83,969	0	(299)	0	(299)	0	83,670	0	(3,821)	(3,821)	1,255	11/01/2041	1
3132GU-KH-6	FEDERAL HOME LOAN MTGE CO	FHLMC	05/24/2018	VARIOUS		509,153	511,104	540,513	536,600	0	(1,693)	0	(1,693)	0	534,907	0	(25,754)	(25,754)	8,799	06/01/2042	1
3132IG-GL-5	FEDERAL HOME LOAN MTGE CO	FHLMC	04/24/2018	VARIOUS		55,051	54,239	58,053	57,951	0	(58)	0	(58)	0	57,893	0	(2,842)	(2,842)	868	09/01/2046	1
3132IG-TS-6	FEDERAL HOME LOAN MTGE CO	FHLMC	06/01/2018	MBS PAYDOWN		14,718	14,718	15,753	14,764	0	(46)	0	(46)	0	14,718	0	0	0	232	09/01/2046	1
3132WH-EE-1	FEDERAL HOME LOAN MTGE CO	FHLMC	06/01/2018	MBS PAYDOWN		30,745	30,745	30,738	30,745	0	0	0	0	0	30,745	0	0	0	395	10/01/2046	1

STATEMENT AS OF JUNE 30, 2018 OF THE Humana Health Plan of Ohio Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Design-ation or Market In-dicator (a)
3132WH-GW-9	FEDERAL HOME LOAN MTGE CO	FHLMC	04/24/2018	VARIOUS		21,025	20,715	22,171	22,143	.0	(33)	.0	(33)	.0	22,110	.0	(1,085)	(1,085)	331	09/01/2046	1
3132XS-LN-8	FEDERAL HOME LOAN MTGE CO	FHLMC	04/24/2018	VARIOUS		19,093	19,299	19,894	19,905	.0	(23)	.0	(23)	.0	19,882	.0	(789)	(789)	270	08/01/2047	1
3132XT-RX-8	FEDERAL HOME LOAN MTGE CO	FHLMC	04/24/2018	VARIOUS		64,583	65,285	67,358	67,363	.0	(40)	.0	(40)	.0	67,323	.0	(2,739)	(2,739)	913	10/01/2047	1
31335A-ZF-8	FEDERAL HOME LOAN MTGE CO	FHLMC	06/01/2018	MBS PAYDOWN		39,392	39,392	39,383	39,392	.0	.0	.0	.0	.0	39,392	.0	.0	.0	489	10/01/2046	1
31335B-KG-0	FEDERAL HOME LOAN MTGE CO	FHLMC	06/01/2018	MBS PAYDOWN		10,910	10,910	11,287	10,932	.0	(22)	.0	(22)	.0	10,910	.0	.0	.0	163	08/01/2044	1
31335H-HL-0	FG C90235 - 20 YEAR		04/24/2018	VARIOUS		.847	.846	.850	.846	.0	.0	.0	.0	.0	.846	.0	.0	.0	21	10/01/2018	1
3137BP-DW-6	FEDERAL HOME LOAN MTGE CO	ABS FTST	06/01/2018	MBS PAYDOWN		45,940	45,940	48,090	46,046	.0	(106)	.0	(106)	.0	45,940	.0	.0	.0	575	07/01/2045	1
3137BP-JX-8	FEDERAL HOME LOAN MTGE CO	ABS FTST	06/01/2018	MBS PAYDOWN		59,352	59,352	62,116	59,514	.0	(162)	.0	(162)	.0	59,352	.0	.0	.0	741	11/01/2045	1
3137BS-ZE-6	STRU AM-9241:PA	CMO	06/01/2018	MBS PAYDOWN		18,592	18,592	19,911	18,663	.0	(71)	.0	(71)	.0	18,592	.0	.0	.0	272	03/01/2046	1
3138AU-RL-3	FED NTL MTG ASSO	FNMA	04/24/2018	VARIOUS		87,433	86,632	91,315	90,689	.0	(396)	.0	(396)	.0	90,294	.0	(2,861)	(2,861)	1,210	10/01/2026	1
3138EJ-ZR-2	FED NTL MTG ASSO	FNMA	06/01/2018	MBS PAYDOWN		7,473	7,473	7,965	7,499	.0	(26)	.0	(26)	.0	7,473	.0	.0	.0	105	10/01/2042	1
3138EK-G7-4	FANNIE MAE	FNMA	04/26/2018	VARIOUS		65,896	66,275	70,661	70,608	.0	(228)	.0	(228)	.0	70,380	.0	(4,484)	(4,484)	926	08/01/2042	1
3138EL-B3-6	FED NTL MTG ASSO	FNMA	04/24/2018	VARIOUS		24,155	24,305	25,935	25,937	.0	(106)	.0	(106)	.0	25,831	.0	(1,676)	(1,676)	340	06/01/2043	1
3138EP-S4-7	FED NTL MTG ASSO	FNMA	06/01/2018	MBS PAYDOWN		10,152	10,152	10,830	10,190	.0	(38)	.0	(38)	.0	10,152	.0	.0	.0	154	07/01/2045	1
3138EP-NIL-4	FED NTL MTG ASSO	FNMA	06/01/2018	MBS PAYDOWN		4,083	4,083	4,378	4,098	.0	(15)	.0	(15)	.0	4,083	.0	.0	.0	69	12/01/2042	1
3138EQ-HY-1	FED NTL MTG ASSO	FNMA	06/01/2018	MBS PAYDOWN		17,085	17,085	18,222	17,184	.0	(99)	.0	(99)	.0	17,085	.0	.0	.0	270	10/01/2045	1
3138EQ-ZF-2	FNMA 30 YR POOL	FNMA	06/01/2018	MBS PAYDOWN		40,350	40,350	43,982	40,525	.0	(175)	.0	(175)	.0	40,350	.0	.0	.0	660	02/01/2046	1
3138ER-LX-6	FED NTL MTG ASSO	FNMA	04/24/2018	VARIOUS		34,630	35,728	35,938	35,931	.0	(13)	.0	(13)	.0	35,918	.0	(1,289)	(1,289)	357	11/01/2031	1
3138ER-VZ-0	FED NTL MTG ASSO	FNMA	04/24/2018	VARIOUS		45,301	47,110	47,223	47,225	.0	(5)	.0	(5)	.0	47,220	.0	(1,920)	(1,920)	565	12/01/2046	1
3138ET-TP-1	FNMA 30 YR POOL	FNMA	06/01/2018	MBS PAYDOWN		27,626	27,626	30,310	27,754	.0	(129)	.0	(129)	.0	27,626	.0	.0	.0	463	07/01/2046	1
3138LV-CV-3	FED NTL MTG ASSO	30YR	06/01/2018	MBS PAYDOWN		7,556	7,556	8,056	7,574	.0	(18)	.0	(18)	.0	7,556	.0	.0	.0	105	06/01/2042	1
3138LU-WP-6	FED NTL MTG ASSO	30YR	06/01/2018	MBS PAYDOWN		9,509	9,509	10,054	9,520	.0	(11)	.0	(11)	.0	9,509	.0	.0	.0	121	06/01/2042	1
3138MO-SE-1	FED NTL MTG ASSO	FNMA 30YR	06/01/2018	MBS PAYDOWN		3,652	3,652	3,893	3,662	.0	(11)	.0	(11)	.0	3,652	.0	.0	.0	47	07/01/2042	1
3138W1-40-7	FED NTL MTG ASSO	30YR	06/01/2018	MBS PAYDOWN		74,478	74,478	77,102	74,601	.0	(123)	.0	(123)	.0	74,478	.0	.0	.0	906	03/01/2043	1
3138W9-KQ-2	FED NTL MTG ASSO	FNMA	04/24/2018	VARIOUS		47,234	49,039	49,245	49,235	.0	(8)	.0	(8)	.0	49,226	.0	(1,993)	(1,993)	587	08/01/2043	1
3138W0-6X-4	FED NTL MTG ASSO	FNMA	04/24/2018	VARIOUS		37,282	38,458	39,690	39,515	.0	(80)	.0	(80)	.0	39,435	.0	(2,153)	(2,153)	384	02/01/2030	1
3138WE-AJ-8	FED NTL MTG ASSO	FNMA	06/01/2018	MBS PAYDOWN		8,464	8,464	8,879	8,495	.0	(31)	.0	(31)	.0	8,464	.0	.0	.0	101	02/01/2030	1
3138WE-AM-1	FED NTL MTG ASSO	FNMA	06/01/2018	MBS PAYDOWN		23,344	23,344	24,508	23,415	.0	(71)	.0	(71)	.0	23,344	.0	.0	.0	301	02/01/2030	1
3138WH-NT-5	FED NTL MTG ASSO	FNMA	06/01/2018	MBS PAYDOWN		32,826	32,826	35,304	32,971	.0	(144)	.0	(144)	.0	32,826	.0	.0	.0	547	07/01/2046	1
3138WJ-EQ-7	FED NTL MTG ASSO	FNMA	06/01/2018	MBS PAYDOWN		59,918	59,918	60,276	59,942	.0	(24)	.0	(24)	.0	59,918	.0	.0	.0	636	11/01/2031	1
3138YG-XL-1	FED NTL MTG ASSO	FNMA	04/24/2018	VARIOUS		41,051	42,629	42,778	42,782	.0	(8)	.0	(8)	.0	42,774	.0	(1,723)	(1,723)	510	04/01/2045	1
3140EW-3W-3	FED NTL MTG ASSO	FNMA	04/26/2018	VARIOUS		48,701	50,659	50,596	50,489	.0	.3	.0	.3	.0	50,492	.0	(1,791)	(1,791)	608	10/01/2046	1
3140F3-C3-0	FED NTL MTG ASSO	FNMA	04/24/2018	VARIOUS		42,521	44,232	44,157	44,084	.0	.3	.0	.3	.0	44,086	.0	(1,565)	(1,565)	531	10/01/2046	1
3140F5-A4-5	FED NTL MTG ASSO	FNMA	06/29/2018	VARIOUS		182,005	187,279	187,725	187,734	.0	(28)	.0	(28)	.0	187,706	.0	(5,701)	(5,701)	3,226	11/01/2046	1
3140FE-X3-3	FED NTL MTG ASSO	FNMA	04/24/2018	VARIOUS		27,394	26,998	28,896	28,944	.0	(128)	.0	(128)	.0	28,817	.0	(1,423)	(1,423)	432	10/01/2046	1
3140FG-5N-5	FED NTL MTG ASSO	FNMA	06/01/2018	MBS PAYDOWN		5,124	5,124	5,157	5,123	.0	.1	.0	.1	.0	5,124	.0	.0	.0	58	11/01/2046	1
3140FG-6S-3	FED NTL MTG ASSO	FNMA	06/01/2018	MBS PAYDOWN		6,185	6,185	6,199	6,184	.0	.1	.0	.1	.0	6,185	.0	.0	.0	79	11/01/2046	1
3140FG-7G-8	FED NTL MTG ASSO	FNMA	04/24/2018	VARIOUS		14,505	15,089	15,044	15,041	.0	.5	.0	.5	.0	15,046	.0	(541)	(541)	181	11/01/2046	1
3140FG-DZ-9	FED NTL MTG ASSO	FNMA	06/28/2018	VARIOUS		210,989	217,335	217,853	217,856	.0	(15)	.0	(15)	.0	217,841	.0	(6,852)	(6,852)	3,761	09/01/2046	1
3140FK-S3-5	FED NTL MTG ASSO	FNMA	04/26/2018	VARIOUS		157,316	163,592	163,325	163,035	.0	23	.0	23	.0	163,057	.0	(5,742)	(5,742)	1,960	11/01/2046	1
3140J5-NU-9	FED NTL MTG ASSO	FNMA	06/01/2018	MBS PAYDOWN		16,299	16,299	16,338	16,301	.0	(2)	.0	(2)	.0	16,299	.0	.0	.0	210	02/01/2047	1
3140J7-K4-6	FED NTL MTG ASSO	FNMA	06/01/2018	MBS PAYDOWN		7,751	8,020	7,765	7,751	.0	(15)	.0	(15)	.0	7,751	.0	.0	.0	113	02/01/2045	1
3140J7-RW-7	FED NTL MTG ASSO	FNMA	06/01/2018	MBS PAYDOWN		16,103	16,103	16,040	.0	.0	.2	.0	.2	.0	16,103	.0	.0	.0	124	01/01/2048	1
31417A-JK-6	FED NTL MTG ASSO	FNMA	04/26/2018	VARIOUS		51,191	51,511	54,964	54,925	.0	(175)	.0	(175)	.0	54,750	.0	(3,559)	(3,559)	720	11/01/2041	1
31417C-JL-0	FED NTL MTG ASSO	FNMA	04/24/2018	VARIOUS		9,304	9,363	9,684	9,697	.0	(19)	.0	(19)	.0	9,678	.0	(374)	(374)	131	07/01/2042	1
31417D-F7-3	FED NTL MTG ASSO	FNMA	06/01/2018																		

STATEMENT AS OF JUNE 30, 2018 OF THE Humana Health Plan of Ohio Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Con-tractual Maturity Date	NAIC Desig-nation or Market In-dicator (a)
..478700-V8-7	JOHNSON CNTY KANS UNI SCH DIST		04/24/2018	CITIGROUP GLOBAL MARKETS INC		260,768	250,000	285,378	266,578	0	(2,973)	0	(2,973)	0	263,605	0	(2,837)	(2,837)	7,083	10/01/2019	1FE
..512462-XA-5	LAKEVILLE MINN INDPST SCH DIST MUNI		04/24/2018	RAYMOND JAMES		123,501	110,000	135,538	129,485	0	(1,176)	0	(1,176)	0	128,309	0	(4,808)	(4,808)	4,033	02/01/2023	1FE
..517845-AW-3	LAS VEGAS VY NEV WTR DIST FOR MUNI		04/17/2018	CITIGROUP GLOBAL MARKETS INC		346,364	305,000	352,830	345,679	0	(1,275)	0	(1,275)	0	344,404	0	1,960	1,960	5,846	06/01/2041	1FE
..544445-AD-1	LOS ANGELES CALIF DEPT ARPTS R		04/24/2018	HEADLANDS TECH GLOBAL MARKETS INC		132,038	125,000	143,348	136,104	0	(1,464)	0	(1,464)	0	134,641	0	(2,603)	(2,603)	2,778	05/15/2020	1FE
..544445-AE-9	LOS ANGELES CALIF DEPT ARPTS R		04/24/2018	HEADLANDS TECH GLOBAL MARKETS INC		134,738	125,000	146,994	140,119	0	(1,391)	0	(1,391)	0	138,729	0	(3,991)	(3,991)	2,778	05/15/2021	1FE
..544646-4Y-5	LOS ANGELES CALIFORNIA SCHOOL MUNI		06/28/2018	RBC CAPITAL MARKETS		236,870	200,000	256,194	249,331	0	(2,663)	0	(2,663)	0	246,668	0	(9,798)	(9,798)	9,944	07/01/2030	1FE
..54466H-FV-3	LOS ANGELES CNTY CALIF MET TRA		04/24/2018	MORGAN STANLEY		139,470	120,000	145,157	144,762	0	(730)	0	(730)	0	144,032	0	(4,562)	(4,562)	2,917	07/01/2038	1FE
..54466H-FX-9	LOS ANGELES CNTY CALIF MET TRA		04/24/2018	MORGAN STANLEY		196,960	170,000	204,993	204,445	0	(1,013)	0	(1,013)	0	203,432	0	(6,471)	(6,471)	4,132	07/01/2040	1FE
..575831-CN-0	MASSACHUSETTS ST COLLEGE BLDG CORPORATE		04/24/2018	UMB BANK		186,899	165,000	196,513	190,792	0	(1,009)	0	(1,009)	0	189,782	0	(2,883)	(2,883)	3,988	05/01/2035	1FE
..57584X-8D-6	MASSACHUSETTS ST DEV FIN AGY MUNI		04/24/2018	PIPER JAFFREY		244,312	220,000	249,841	249,787	0	(2,022)	0	(2,022)	0	247,765	0	(3,453)	(3,453)	3,575	07/01/2022	1FE
..57584X-FS-5	MASSACHUSETTS ST DEV FIN AGY R		04/17/2018	RAYMOND JAMES		78,649	70,000	78,842	77,110	0	(247)	0	(247)	0	76,864	0	1,786	1,786	2,372	08/15/2033	1FE
..57584X-FT-3	MASSACHUSETTS ST DEV FIN AGY R		04/17/2018	MERRILL LYNCH		78,309	70,000	78,532	76,865	0	(238)	0	(238)	0	76,627	0	1,682	1,682	2,372	08/15/2034	1FE
..57584X-XN-6	MASSACHUSETTS ST DEV FIN AGY R		04/24/2018	MERRILL LYNCH		106,761	100,000	114,790	110,107	0	(1,248)	0	(1,248)	0	108,858	0	(2,097)	(2,097)	3,889	07/15/2020	1FE
..57584X-XQ-9	MASSACHUSETTS ST DEV FIN AGY		04/24/2018	FIDELITY CAPITAL MARKETS DIV OF N		111,844	100,000	121,434	117,072	0	(1,164)	0	(1,164)	0	115,908	0	(4,064)	(4,064)	3,889	07/15/2022	1FE
..57584X-XY-2	MASSACHUSETTS ST DEV FIN AGY R		04/24/2018	EWMET & CO		94,844	80,000	100,620	98,325	0	(626)	0	(626)	0	97,700	0	(2,856)	(2,856)	3,111	07/15/2030	1FE
..57584X-YA-3	MASSACHUSETTS ST DEV FIN AGY R		04/24/2018	MERRILL LYNCH		58,471	50,000	62,307	60,928	0	(371)	0	(371)	0	60,557	0	(2,086)	(2,086)	1,944	07/15/2033	1FE
..57584X-YB-1	MASSACHUSETTS ST DEV FIN AGY R		04/24/2018	CITIGROUP GLOBAL MARKETS INC		34,877	30,000	37,235	36,426	0	(218)	0	(218)	0	36,208	0	(1,332)	(1,332)	1,167	07/15/2034	1FE
..587635-FA-6	MERCED CALIF UN HIGH SCH DIST MUNI		04/24/2018	BELLE HAVEN INVESTMENTS, LP		38,918	250,000	39,893	43,518	0	851	0	851	0	44,369	0	(5,452)	(5,452)	0	08/01/2046	1FE
..592041-WF-0	MET GOVT NASHVILLE & DAVIDSON MUNI		04/24/2018	MIDDELEGATE SECURITIES LTD		138,705	125,000	148,460	145,049	0	(669)	0	(669)	0	144,381	0	(5,676)	(5,676)	5,104	07/01/2035	1FE
..59261A-PA-9	METROPOLITAN TRANSN AUTH NY R MUNI		04/24/2018	SIEBERT CISNEROS SHANK & CO		204,538	200,000	206,146	0	0	(1,165)	0	(1,165)	0	204,981	0	(443)	(443)	4,000	05/15/2019	1FE
..59261A-UZ-8	METROPOLITAN TRANSN AUTH NY R MUNI		04/24/2018	MESROW		431,264	420,000	435,355	0	0	(2,453)	0	(2,453)	0	432,902	0	(1,638)	(1,638)	4,293	08/15/2019	1FE
..603827-WS-1	MINNEAPOLIS & ST PAUL MINN MET		04/24/2018	VINING SPARKS		201,535	170,000	218,274	213,102	0	(1,399)	0	(1,399)	0	211,703	0	(10,168)	(10,168)	6,942	01/01/2027	1FE
..603827-WT-9	MINNEAPOLIS & ST PAUL MINN MET		04/24/2018	NATHAN HALE CAPITAL		58,809	50,000	64,632	63,005	0	(423)	0	(423)	0	62,581	0	(3,772)	(3,772)	2,042	01/01/2028	1FE
..603827-WU-6	MINNEAPOLIS & ST PAUL MINN MET		04/24/2018	VINING SPARKS		117,284	100,000	128,617	125,444	0	(826)	0	(826)	0	124,618	0	(7,334)	(7,334)	4,083	01/01/2029	1FE
..603827-WV-4	MINNEAPOLIS & ST PAUL MINN MET		04/24/2018	HERBERT J SIMS CO		58,259	50,000	63,774	62,254	0	(396)	0	(396)	0	61,858	0	(3,599)	(3,599)	2,042	01/01/2030	1FE
..603827-WX-2	MINNEAPOLIS & ST PAUL MINN MET		04/24/2018	FIFTH THIRD		232,604	200,000	254,714	248,683	0	(1,573)	0	(1,573)	0	247,110	0	(14,506)	(14,506)	8,167	01/01/2031	1FE
..60412A-CZ-4	MINNESOTA ST FOR PREVIOUS ISSU		04/24/2018	SUMRIDGE PARTNERS, LLC		119,501	115,000	132,000	121,907	0	(1,372)	0	(1,372)	0	120,534	0	(1,033)	(1,033)	4,217	08/01/2019	1FE
..60412A-DV-2	MINNESOTA ST FOR PREVIOUS ISSU		04/24/2018	SUMRIDGE PARTNERS, LLC		155,871	150,000	172,410	159,155	0	(1,820)	0	(1,820)	0	157,335	0	(1,464)	(1,464)	5,500	08/01/2019	1FE
..605360-SD-9	MISSISSIPPI ST HOSP EQUIPMENT & FA		04/24/2018	DUNCAN WILLIAMS		158,733	150,000	166,289	165,978	0	(502)	0	(502)	0	165,476	0	(6,743)	(6,743)	4,875	09/01/2046	2FE
..646066-4T-4	NEW JERSEY ST EDL FACS AUTH RE MUNI		04/24/2018	GEORGE K BAUM		106,599	100,000	108,671	108,633	0	(1,078)	0	(1,078)	0	107,555	0	(956)	(956)	1,639	07/01/2020	1FE
..646066-4X-5	NEW JERSEY ST EDL FAC AUTH RE MUNI		04/24/2018	BNY MELLON CAPITAL MARKETS LLC		115,850	100,000	119,781	119,749	0	(913)	0	(913)	0	118,836	0	(2,986)	(2,986)	1,639	07/01/2024	1FE
..646066-GZ-7	NEW JERSEY ST EDL FACS AUTH RE		04/24/2018	CITIGROUP GLOBAL MARKETS INC		114,100	110,000	126,399	116,416	0	(1,348)	0	(1,348)	0	115,068	0	(968)	(968)	4,492	07/01/2019	1FE
..646066-QV-5	NEW JERSEY ST EDL FACS AUTH RE		04/24/2018	CITIGROUP GLOBAL MARKETS INC		91,023	80,000	99,261	94,824	0	(823)	0	(823)	0	94,001	0	(2,978)	(2,978)	3,267	07/01/2023	1FE
..646066-QZ-6	NEW JERSEY ST EDL FACS AUTH RE		04/24/2018	NATHAN HALE CAPITAL		95,072	80,000	102,033	98,600	0	(640)	0	(640)	0	97,960	0	(2,888)	(2,888)	3,267	07/01/2027	1FE
..646066-RB-8	NEW JERSEY ST EDL FACS AUTH RE		04/24/2018	CITIGROUP GLOBAL MARKETS INC		74,617	70,000	81,591	76,895	0	(865)	0	(865)	0	76,030	0	(1,413)	(1,413)	2,858	07/01/2020	1FE
..646066-RC-6	NEW JERSEY ST EDL FACS AUTH RE		04/24/2018	CITIGROUP GLOBAL MARKETS INC		71,025	65,000	77,760	73,607	0	(766)	0	(766)	0	72,841	0	(1,816)	(1,816)	2,854	07/01/2021	1FE
..646066-RE-2	NEW JERSEY ST EDL FACS AUTH RE		04/24/2018	CITIGROUP GLOBAL MARKETS INC		68,267	60,000	74,585	71,219	0	(623)	0	(623)	0	70,595	0	(2,328)	(2,328)	2,450	07/01/2023	1FE
..646066-RG-7	NEW JERSEY ST EDL FACS AUTH RE		04/24/2018	BNY MELLON CAPITAL MARKETS LLC		135,280	115,000	146,864	141,248	0	(1,044)	0	(1,044)	0	140,204	0	(4,924)	(4,924)	4,696	07/01/2025	1FE
..646066-RH-5	NEW JERSEY ST EDL FACS AUTH RE		04/24/2018	CITIGROUP GLOBAL MARKETS INC		35,702	30,000	38,809	37,426	0	(257)	0	(257)	0	37,169	0	(1,467)	(1,467)	1,225	07/01/2026	1FE
..647310-W8-0	NEW MEXICO ST SEVERANCE TAX MUNI		04/24/2018	NATHAN HALE CAPITAL		117,306	100,000	127,031	124,049	0	(830)	0	(830)	0	123,218	0	(5,912)	(5,912)	4,083	07/01/2026	1FE
..64990A-FJ-9	NEW YORK ST DORM AUTH SALES TA		05/23/2018	JEFFERIES		517,313	490,000	556,689	532,751	0	(7,686)	0	(7,686)	0	525,066	0	(7,753)	(7,753)	17,014	03/15/2020	1FE

STATEMENT AS OF JUNE 30, 2018 OF THE Humana Health Plan of Ohio Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-ign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation or Market In-dicator (a)
650035-7C-6	NEW YORK ST URBAN DEVELOPMENT MUNI		05/24/2018	UBS SECURITIES		135,734	140,000	138,492	.0	.0	.54	.0	.54	.0	138,546	.0	(2,812)	(2,812)	1,831	03/15/2025	1FE
650035-7D-4	NEW YORK ST URBAN DEVELOPMENT MUNI		04/24/2018	WELLS		134,670	140,000	138,411	.0	.0	.33	.0	.33	.0	138,444	.0	(3,774)	(3,774)	1,480	03/15/2026	1FE
650116-AR-7	NEW YORK TRANSN DEV CORP SPL MUNI		04/24/2018	CITIGROUP GLOBAL MARKETS INC		450,421	420,000	464,551	457,002	.0	(1,648)	.0	(1,648)	.0	455,354	.0	(4,934)	(4,934)	17,150	07/01/2046	2FE
650116-AV-8	NEW YORK TRANSN DEV CORP SPL MUNI		04/17/2018	WELLS FARGO		995,520	915,000	1,032,202	1,012,200	.0	(4,098)	.0	(4,098)	.0	1,008,112	.0	(12,592)	(12,592)	38,430	01/01/2050	2FE
658266-H4-7	NORTH CAROLINA ST		04/24/2018	MORGAN STANLEY		750,375	750,000	854,828	760,430	.0	(9,908)	.0	(9,908)	.0	750,521	.0	(146)	(146)	18,125	05/01/2018	1FE
662903-PU-1	NORTH TEX MUN WTR DIST TEX WTR		04/24/2018	UBS SECURITIES		85,890	75,000	89,531	86,421	.0	(425)	.0	(425)	.0	85,996	.0	(106)	(106)	2,438	09/01/2029	1FE
67765Q-BX-3	OHIO STATE WATER DEVELOP AUTH MUNI		04/24/2018	ALAMO CAPITAL		104,258	90,000	110,306	107,125	.0	(582)	.0	(582)	.0	106,543	.0	(2,285)	(2,285)	1,800	12/01/2031	1FE
68428T-CQ-8	ORANGE CNTY CALIF SANTN WASTEW		04/24/2018	UBS SECURITIES		151,115	130,000	158,054	153,539	.0	(838)	.0	(838)	.0	152,701	.0	(1,586)	(1,586)	4,767	02/01/2033	1FE
68607V-N6-8	OREGON ST DEPT ADMINISTRATIVE MUNI		04/24/2018	ADVISORS ASSET MGMT		102,731	95,000	114,676	107,577	.0	(1,210)	.0	(1,210)	.0	106,368	.0	(3,637)	(3,637)	2,692	04/01/2021	1FE
717817-TR-1	PHILADELPHIA PA ARPT REV		04/24/2018	WELLS		82,599	80,000	83,971	83,892	.0	(815)	.0	(815)	.0	83,077	.0	(478)	(478)	1,389	07/01/2019	1FE
717817-UJ-7	PHILADELPHIA PA ARPT REV		04/24/2018	SUMRIDGE PARTNERS, LLC		88,820	80,000	92,960	92,923	.0	(376)	.0	(376)	.0	92,547	.0	(3,727)	(3,727)	1,389	07/01/2036	1FE
718814-Q3-3	PHOENIX ARIZ		04/24/2018	CITIGROUP GLOBAL MARKETS INC		116,749	100,000	125,836	122,590	.0	(897)	.0	(897)	.0	121,693	.0	(4,944)	(4,944)	4,083	07/01/2025	1FE
736742-YL-5	PORTLAND OREGAN SWR SYS REV		06/28/2018	J.P. MORGAN		660,150	580,000	722,077	695,351	.0	(10,142)	.0	(10,142)	.0	685,209	.0	(25,059)	(25,059)	15,628	06/15/2023	1FE
7426SL-D4-7	PRIVATE COLLEGES & UNIVS AUTH MUNI		04/17/2018	WELLS FARGO		146,317	135,000	149,041	145,095	.0	(430)	.0	(430)	.0	144,666	.0	1,652	1,652	3,713	04/01/2044	2FE
786107-JR-4	SACRAMENTO CNTY CALIF ARPT SYS REV		04/24/2018	MORGAN STANLEY		311,792	310,000	311,936	313,745	.0	(2,386)	.0	(2,386)	.0	311,359	.0	433	433	13,291	07/01/2039	1FE
786134-PG-5	SACRAMENTO CNTY CALIF SANTN DI		04/16/2018	CITIGROUP GLOBAL MARKETS INC		114,917	120,000	112,800	113,203	.0	.99	.0	.99	.0	113,302	.0	1,615	1,615	746	12/01/2035	1FE
79574C-CH-4	SALT RIVER PROJ AGRIC IMPT & PIIR		04/24/2018	SWBC INVESTMENT																	
79574C-CX-7	SALT RIVER PROJ AGRIC IMPT & PIIR		04/24/2018	SERVICES, LLC		79,972	70,000	83,847	83,606	.0	(688)	.0	(688)	.0	82,918	.0	(2,946)	(2,946)	1,497	01/01/2024	1FE
79730C-GH-1	SAN DIEGO CALIF PUB FACS FING MUNI		04/24/2018	NATHAN HALE CAPITAL		82,009	70,000	86,654	86,441	.0	(608)	.0	(608)	.0	85,833	.0	(3,824)	(3,824)	1,497	01/01/2026	1FE
79765R-H5-1	SAN FRANCISCO CALIF CITY & CNT		04/24/2018	SERN BORTHERS		1,008,810	1,000,000	1,087,290	1,024,323	.0	(13,201)	.0	(13,201)	.0	1,011,122	.0	(2,312)	(2,312)	36,667	08/01/2018	1FE
79771T-KN-6	SAN FRANCISCO CALIF CITY & CNT		04/24/2018	SERN BORTHERS		239,636	200,000	250,008	244,730	.0	(1,454)	.0	(1,454)	.0	243,276	.0	(3,640)	(3,640)	4,833	11/01/2028	1FE
847113-CB-1	SPARTANBURG S C REGL HEALTH SV		04/24/2018	SIEBERT CISNEROS SHANK & CO		110,463	110,000	122,427	112,152	.0	(1,496)	.0	(1,496)	.0	110,656	.0	(193)	(193)	1,986	06/15/2018	1FE
860758-RD-5	STILLWATER MINN INDPST SCH DIST		04/24/2018	PIPER JAFFREY		95,975	100,000	100,000	100,000	.0	.0	.0	.0	.0	100,000	.0	(4,025)	(4,025)	1,191	04/15/2025	1FE
860758-RF-0	STILLWATER MINN INDPST SCH DIST		04/24/2018	SUMRIDGE PARTNERS, LLC		68,270	65,000	75,378	69,926	.0	(741)	.0	(741)	.0	69,185	.0	(916)	(916)	2,383	02/01/2020	1FE
86476P-RD-2	SUFFOLK CNTY NY		04/24/2018	HERBERT J SIMS CO		82,523	75,000	89,012	84,060	.0	(679)	.0	(679)	.0	83,381	.0	(858)	(858)	2,750	02/01/2022	1FE
86476P-RE-0	SUFFOLK CNTY NY		04/24/2018	MORGAN STANLEY		73,020	65,000	77,977	74,954	.0	(461)	.0	(461)	.0	74,493	.0	(1,472)	(1,472)	1,571	05/01/2024	1FE
86476P-WQ-7	SUFFOLK CNTY NY		04/24/2018	MORGAN STANLEY		73,097	65,000	77,373	74,501	.0	(439)	.0	(439)	.0	74,063	.0	(965)	(965)	1,571	05/01/2025	1FE
88258H-AK-4	TX MUN GAS ACQUISITION &SU		04/24/2018	MORGAN STANLEY		80,471	70,000	82,924	82,911	.0	(482)	.0	(482)	.0	82,429	.0	(1,958)	(1,958)	1,138	10/15/2025	1FE
88283L-HY-5	TX TRANSN COMMN ST HWY FD R		04/24/2018	SUMRIDGE PARTNERS, LLC		345,369	315,000	364,533	351,439	.0	(2,203)	.0	(2,203)	.0	349,236	.0	(3,866)	(3,866)	5,688	12/15/2023	2FE
88283L-JW-7	TX TRANSN COMMN ST HWY FD R		04/24/2018	BARCLAYS CAPITAL		126,839	120,000	138,977	129,061	.0	(1,255)	.0	(1,255)	.0	127,806	.0	(967)	(967)	3,400	04/01/2020	1FE
89978K-AW-6	TUOLUMNE WIND PROJ AUTH CALIF MUNI		04/24/2018	NATHAN HALE CAPITAL		149,516	130,000	161,048	154,236	.0	(1,063)	.0	(1,063)	.0	153,173	.0	(3,658)	(3,658)	3,683	10/01/2024	1FE
89978K-AX-4	TUOLUMNE WIND PROJ AUTH CALIF MUNI		04/24/2018	UMB BANK		136,733	130,000	146,988	140,539	.0	(1,658)	.0	(1,658)	.0	138,881	.0	(2,148)	(2,148)	5,308	01/01/2020	1FE
914367-DJ-3	UNIVERSITY KANS HOSP AUTH HEAL		04/17/2018	SIEBERT CISNEROS SHANK & CO		270,728	250,000	291,423	279,475	.0	(3,073)	.0	(3,073)	.0	276,402	.0	(5,674)	(5,674)	10,208	01/01/2021	1FE
914402-3P-5	UNIVERSITY MD SYS AUXILLIARY FA		04/24/2018	MERRILL LYNCH		82,862	75,000	83,936	82,292	.0	(250)	.0	(250)	.0	82,042	.0	819	819	2,375	09/01/2045	1FE
914402-4H-2	UNIVERSITY MD SYS AUXILLIARY FA		04/24/2018	ENMET & CO		152,966	130,000	165,034	159,185	.0	(1,031)	.0	(1,031)	.0	158,154	.0	(5,188)	(5,188)	3,683	04/01/2027	1FE
914455-PW-4	UNIVERSITY MICH UNIV REVS		04/24/2018	CITIGROUP GLOBAL MARKETS INC		134,775	115,000	144,916	139,940	.0	(877)	.0	(877)	.0	139,063	.0	(4,287)	(4,287)	3,258	04/01/2028	1FE
914555-PX-2	UNIVERSITY MICH UNIV REVS		04/24/2018	CITIGROUP GLOBAL MARKETS INC		134,119	115,000	145,920	140,076	.0	(1,028)	.0	(1,028)	.0	139,049	.0	(4,930)	(4,930)	3,258	04/01/2025	1FE
91802R-BP-3	UTILITY DEBT SECURITIZATION AU		04/17/2018	UBS SECURITIES		86,722	75,000	90,632	87,855	.0	(442)	.0	(442)	.0	87,413	.0	(691)	(691)	2,125	04/01/2032	1FE
927781-03-1	VIRGINIA COLLEGE BLDG AUTH		04/24/2018	INTL FCSTONE FINANCIAL		86,516	75,000	90,257	87,552	.0	(430)	.0	(430)	.0	87,122	.0	(606)	(606)	2,125	04/01/2033	1FE
927781-03-1	VIRGINIA COLLEGE BLDG AUTH		04/24/2018	STIFEL NICOLAUS AND CO		348,316	340,000	383,755	353,260	.0	(3,860)	.0	(3,860)	.0	349,400	.0	(1,083)	(1,083)	12,467	02/01/2019	1FE
927781-03-1	VIRGINIA COLLEGE BLDG AUTH		04/24/2018	MERRILL LYNCH		170,968	160,000	187,754	175,375	.0	(1,798)	.0	(1,798)	.0	173,577	.0	(2,609)	(2,609)	5,200	09/01/2020	1FE
92778V-BR-8	VIRGINIA COLLEGE BLDG AUTH		04/24/2018	CITIGROUP GLOBAL MARKETS INC		236,880	225,000	260,057	241,618	.0	(2,498)	.0	(2,498)	.0	239,120	.0	(2,240)	(2,240)	8,250	02/01/2020	1FE
927793-XT-1	VIRGINIA COMWLTH TRANSN BOARD MUNI		04/17/2018	DAVENPORT & COMPANY		343,437	300,000	360,813	342,582	.0	(1,850)	.0	(1,850)	.0	340,732	.0	2,705	2,705	6,417	05/15/2027	1FE
92817T-GB-6	VIRGINIA ST PUB SCH AUTH SPL 0		04/24/2018	MERRILL LYNCH		72,405	65,000	79,110	75,449	.0	(702)	.0	(702)	.0	74,747	.0	(2,342)	(2,342)	2,383	08/01/2022	1FE
92817T-GC-4	VIRGINIA ST PUB SCH AUTH SPL 0		04/24/2018	MERRILL LYNCH		68,028	60,000	74,300	71,138	.0	(608)	.0	(608)	.0	70,530	.0	(2,502)	(2,502)	2,200	08/01/2023	1FE
92817T-GD-2	VIRGINIA ST PUB SCH AUTH SPL 0		04/24/2018	CITIGROUP GLOBAL MARKETS INC		150,231	130,000	163,271	156,886	.0	(1,229)	.0	(1,229)	.0	155,658	.0	(5,427)	(5,427)	4,767	08/01/2024	1FE

STATEMENT AS OF JUNE 30, 2018 OF THE Humana Health Plan of Ohio Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Ident-ification	Description	For-foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation or Market In-dicator (a)	
92818F-BB-0	VIRGINIA ST PUB SCH AUTH SPL 0		04/24/2018	CITIGROUP GLOBAL MARKETS INC		110,484	100,000	118,352	114,449	0	(1,093)	0	(1,093)	0	113,355	0	(2,871)	(2,871)	3,667	02/01/2022	1FE	
92818F-BC-8	VIRGINIA ST PUB SCH AUTH SPL 0		04/24/2018	CITIGROUP GLOBAL MARKETS INC		135,185	120,000	145,185	140,733	0	(1,249)	0	(1,249)	0	139,484	0	(4,300)	(4,300)	4,400	02/01/2023	1FE	
93974D-PP-5	WASHINGTON ST FOR ISSUED DTD MUNI		04/17/2018	UBS SECURITIES		96,412	85,000	97,430	94,980	0	(351)	0	(351)	0	94,627	0	1,786	1,786	3,045	08/01/2038	1FE	
93974D-SQ-2	WASHINGTON ST FOR ISSUED DTD MUNI		04/17/2018	JP MORGAN CHASE BANK		74,424	65,000	75,810	73,659	0	(307)	0	(307)	0	73,351	0	1,074	1,074	2,328	08/01/2033	1FE	
95737T-CD-6	WESTCHESTER CNTY NY LOC DEV C MUNI		04/24/2018	JEFFREY MATTHEWS FINANCIAL GROUP		80,165	75,000	82,232	81,098	0	(211)	0	(211)	0	80,886	0	(720)	(720)	1,822	11/01/2046	2FE	
97705M-KU-8	WISC ST FOR ISSUES DTD PR MUNI		04/24/2018	MILLENNIUM ADVISORS LLC		104,639	90,000	108,140	108,124	0	(550)	0	(550)	0	107,575	0	(2,937)	(2,937)	1,463	11/01/2032	1FE	
988516-BE-9	YUMA ARIZ MUN PPTY CORP UTIL S		04/24/2018	WELLS		55,298	55,000	60,972	56,119	0	(706)	0	(706)	0	55,410	0	(113)	(113)	2,246	07/01/2018	1FE	
3199999. Subtotal - Bonds - U.S. Special Revenues						21,778,668	20,688,666	23,157,481	21,037,184	0	(162,189)	0	(162,189)	0	22,216,205	0	(437,537)	(437,537)	569,551	XXX	XXX	
05946X-BV-4	BANK OF AMERICA FDG CO 2003-1		06/20/2018	CALLED SECURITY at 100.000		227	227	232	230	0	(3)	0	(3)	0	227	0	0	0	6	05/20/2033	1FM	
46646G-AA-5	JPMCC 16-NINE CMBS		04/24/2018	J.P. MORGAN		252,925	270,000	257,186	0	0	88	0	88	0	257,274	0	(4,349)	(4,349)	1,837	10/01/2038	1FE	
61763K-BF-0	MSBAM_14-C15 CMBS		04/24/2018	CITIGROUP GLOBAL MARKETS INC		152,613	150,000	156,328	154,402	0	(197)	0	(197)	0	154,205	0	(1,592)	(1,592)	2,971	04/01/2047	1FM	
61763M-AL-4	MSBAM_14-C16 CMBS		04/24/2018	CREDIT SUISSE SECURITIES (USA) LLC		124,063	125,000	128,340	127,354	0	(101)	0	(101)	0	127,255	0	(3,192)	(3,192)	2,407	06/01/2047	1FM	
69335P-BX-2	PFS FINANCE COMPANY ABS FTST		04/16/2018	CALLED SECURITY at 100.000		3,000,000	3,000,000	3,000,000	3,000,000	0	0	0	0	0	3,000,000	0	0	0	22,582	04/15/2020	1FE	
69335P-CQ-6	PFS FINANCE COMPANY ABS FTST		04/24/2018	JP MORGAN CHASE BANK		277,167	280,000	279,988	0	0	0	0	0	0	279,988	0	(2,821)	(2,821)	1,573	02/15/2023	1FE	
87165L-AT-8	SYNCT 15-4:A ABS FTST		04/24/2018	WELLS		246,112	250,000	249,997	249,999	0	0	0	0	0	249,998	0	(3,884)	(3,884)	2,149	09/15/2023	1FE	
94989W-AS-6	WFCM 15-C31 CMBS		04/24/2018	WELLS		119,916	120,000	125,090	0	0	(173)	0	(173)	0	124,917	0	(5,002)	(5,002)	1,404	11/01/2048	1FE	
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						4,173,023	4,195,227	4,197,161	3,531,985	0	(386)	0	(386)	0	4,193,864	0	(20,840)	(20,840)	34,929	XXX	XXX	
8399997. Total - Bonds - Part 4						51,882,324	50,348,893	54,400,458	39,921,822	0	(298,511)	0	(298,511)	0	52,699,515	0	(817,191)	(817,191)	1,066,506	XXX	XXX	
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds						51,882,324	50,348,893	54,400,458	39,921,822	0	(298,511)	0	(298,511)	0	52,699,515	0	(817,191)	(817,191)	1,066,506	XXX	XXX	
8999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799997. Total - Common Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9999999 - Totals						51,882,324	XXX	54,400,458	39,921,822	0	(298,511)	0	(298,511)	0	52,699,515	0	(817,191)	(817,191)	1,066,506	XXX	XXX	

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....0

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
					First Month	Second Month	Third Month	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date				*
US BANK St. Louis,MO		0.000	0	0	3,524,757	422,944	700,946	XXX
US BANK St. Louis,Mo		0.000	0	0	(356,256)	(386,730)	(413,643)	XXX
WELLS FARGO Atlanta, GA		0.000	0	0	(2,773)	(1,667)	(1,577)	XXX
CITIBANK New Castle, DE		0.000	0	0	4,639	4,639	4,639	XXX
JP MORGAN CHASE New York, NY		0.000	0	0	10,094	(7,717)	(8,158)	XXX
0199998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX	0	0	0	0	0	XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	3,180,462	31,469	282,207	XXX
0299998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	3,180,462	31,469	282,207	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	0	0	0	XXX
0599999. Total - Cash	XXX	XXX	0	0	3,180,462	31,469	282,207	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

[illegible]