



# QUARTERLY STATEMENT

AS OF JUNE 30, 2018  
OF THE CONDITION AND AFFAIRS OF THE  
UDC OHIO, INC.

NAIC Group Code	00549 (Current Period)	00549 (Prior Period)	NAIC Company Code	52022	Employer's ID Number		74-2609036
Organized under the Laws of			Ohio	State of Domicile or Port of Entry			Ohio
Country of Domicile				United States			
Licensed as business type:	Life, Accident & Health [ ]	Property/Casualty [ ]	Hospital, Medical & Dental Service or Indemnity [ ]				
	Dental Service Corporation [ ]	Vision Service Corporation [ ]	Health Maintenance Organization [ ]				
	Other [ X ]		Is HMO Federally Qualified? Yes [ ] No [ ]				
Incorporated/Organized	04/20/1990	Commenced Business			05/17/1990		
Statutory Home Office	3151 Pfeiffer Road, Suite 200 (Street and Number)			Blue Ash, OH, US 45242 (City or Town, State, County and Zip Code)			
Main Administrative Office	One Sun Life Executive Park (Street and Number)			Wellesley Hills, MA, US 02481 (City or Town, State, County and Zip Code)	781-416-3184 (Area Code) (Telephone Number)		
Mail Address	One Sun Life Executive Park (Street and Number or P.O. Box)			Wellesley Hills, MA, US 02481 (City or Town, State, County and Zip Code)	781-416-3184 (Area Code) (Telephone Number)		
Primary Location of Books and Records	One Sun Life Executive Park (Street and Number)			Wellesley Hills, MA, US 02481 (City or Town, State, County and Zip Code)	781-416-3184 (Area Code) (Telephone Number)		
Internet Web Site Address				N/A			
Statutory Statement Contact	Elizabeth Burkhardt (Name)			781-416-3184 (Area Code) (Telephone Number) (Extension)			
	state.filings@sunlife.com (E-Mail Address)			781-446-2398 (FAX Number)			

## OFFICERS

Name	Title	Name	Title
STACIA NALANI ALMQUIST	PRESIDENT	COLLEEN LOUISE KALLAS	SECRETARY
AMY JO GOERKE	TREASURER	ERIC STEVEN VANDENBERG	ACTUARY

## OTHER OFFICERS

## DIRECTORS OR TRUSTEES

STACIA NALANI ALMQUIST	
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State of MISSOURI.....  
County of JACKSON.....  
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The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

STACIA NALANI ALMQUIST PRESIDENT	COLLEEN LOUISE KALLAS SECRETARY	AMY JO GOERKE TREASURER
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a. Is this an original filing? Yes [ X ] No [ ]

b. If no:

1. State the amendment number \_\_\_\_\_
2. Date filed \_\_\_\_\_
3. Number of pages attached \_\_\_\_\_

ROBIN L. PIERCE, NOTARY PUBLIC  
SEPTEMBER 23, 2020

**STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.**

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	249,957		249,957	249,837
2. Stocks:				
2.1 Preferred stocks .....			0	0
2.2 Common stocks .....			0	0
3. Mortgage loans on real estate:				
3.1 First liens .....			0	0
3.2 Other than first liens .....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ ..... encumbrances) .....			0	0
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....			0	0
4.3 Properties held for sale (less \$ ..... encumbrances) .....			0	0
5. Cash (\$ ..... 510,883 ), cash equivalents (\$ ..... 0 ) and short-term investments (\$ ..... 0 ) .....	510,883		510,883	500,357
6. Contract loans (including \$ ..... premium notes) .....			0	0
7. Derivatives .....	0		0	0
8. Other invested assets .....	0		0	0
9. Receivables for securities .....			0	0
10. Securities lending reinvested collateral assets .....			0	0
11. Aggregate write-ins for invested assets .....	0	.0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	760,840	.0	760,840	750,194
13. Title plants less \$ ..... charged off (for Title insurers only) .....			0	0
14. Investment income due and accrued .....	.1,857		.1,857	.1,543
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	11,024	.641	10,383	.9,134
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....			0	0
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....			0	0
16.2 Funds held by or deposited with reinsured companies .....			0	0
16.3 Other amounts receivable under reinsurance contracts .....			0	0
17. Amounts receivable relating to uninsured plans .....			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon .....			0	0
18.2 Net deferred tax asset .....			0	0
19. Guaranty funds receivable or on deposit .....			0	0
20. Electronic data processing equipment and software .....			0	0
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	0
23. Receivables from parent, subsidiaries and affiliates .....			0	0
24. Health care (\$ ..... ) and other amounts receivable .....	19,050	.19,050	0	0
25. Aggregate write-ins for other-than-invested assets .....	0	.0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	792,771	19,691	773,080	760,871
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	0
28. Total (Lines 26 and 27) .....	792,771	19,691	773,080	760,871
<b>DETAILS OF WRITE-INS</b>				
1101. .....				
1102. .....				
1103. .....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	.0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above) .....	0	0	0	0
2501. .....				
2502. .....				
2503. .....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	.0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above) .....	0	0	0	0

**STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.**

**LIABILITIES, CAPITAL AND SURPLUS**

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ ..... reinsurance ceded).	13,111		13,111	14,611
2. Accrued medical incentive pool and bonus amounts .....			0	0
3. Unpaid claims adjustment expenses .....	.98		.98	189
4. Aggregate health policy reserves including the liability of \$ ..... for medical loss ratio rebate per the Public Health Service Act.....		12,797	12,797	17,003
5. Aggregate life policy reserves .....			0	0
6. Property/casualty unearned premium reserve .....			0	0
7. Aggregate health claim reserves .....			0	0
8. Premiums received in advance .....	.9,903		.9,903	6,779
9. General expenses due or accrued .....	38,156		38,156	67,135
10.1 Current federal and foreign income tax payable and interest thereon (including \$ ..... on realized gains (losses)) .....			0	0
10.2 Net deferred tax liability.....			0	0
11. Ceded reinsurance premiums payable .....			0	0
12. Amounts withheld or retained for the account of others .....			0	0
13. Remittances and items not allocated .....			0	0
14. Borrowed money (including \$ ..... current) and interest thereon \$ ..... (including \$ ..... current)) .....			0	0
15. Amounts due to parent, subsidiaries and affiliates .....	.1,565		1,565	14,682
16. Derivatives.....			0	0
17. Payable for securities .....			0	0
18. Payable for securities lending .....			0	0
19. Funds held under reinsurance treaties (with \$ ..... authorized reinsurers, \$ ..... unauthorized reinsurers and \$ ..... certified reinsurers).....			0	0
20. Reinsurance in unauthorized and certified (\$ ..... ) companies .....			0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates .....			0	0
22. Liability for amounts held under uninsured plans .....			0	0
23. Aggregate write-ins for other liabilities (including \$ ..... current) .....	.19	0	.19	0
24. Total liabilities (Lines 1 to 23).....	.75,649	0	.75,649	120,399
25. Aggregate write-ins for special surplus funds .....	XXX	XXX	0	3,214
26. Common capital stock .....	XXX	XXX	100	100
27. Preferred capital stock .....	XXX	XXX		0
28. Gross paid in and contributed surplus .....	XXX	XXX	.865,537	.865,537
29. Surplus notes .....	XXX	XXX		0
30. Aggregate write-ins for other-than-special surplus funds .....	XXX	XXX	0	0
31. Unassigned funds (surplus) .....	XXX	XXX	(168,206)	(228,379)
32. Less treasury stock, at cost:				
32.1 ..... shares common (value included in Line 26 \$ ..... ) .....	XXX	XXX		0
32.2 ..... shares preferred (value included in Line 27 \$ ..... ) .....	XXX	XXX		0
33. Total capital and surplus (Lines 25 to 31 minus Line 32) .....	XXX	XXX	.697,431	.640,472
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	.773,080	.760,871
<b>DETAILS OF WRITE-INS</b>				
2301. Stale checks.....		.19	.19	0
2302. .....				
2303. .....				
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398) (Line 23 above)	19	0	19	0
2501. Surplus appropriated for ACA Section 9010 Fee .....	XXX	XXX		.3,214
2502. .....	XXX	XXX		
2503. .....	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	XXX	XXX	0	.3,214
3001. .....	XXX	XXX		
3002. .....	XXX	XXX		
3003. .....	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098) (Line 30 above)	XXX	XXX	0	0

**STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.**

**STATEMENT OF REVENUE AND EXPENSES**

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months.....	XXX	32,679	34,708	69,018
2. Net premium income (including \$ non-health premium income)	XXX	294,591	323,364	644,094
3. Change in unearned premium reserves and reserve for rate credits .....	XXX	4,206	1,548	1,688
4. Fee-for-service (net of \$ medical expenses).....	XXX	0	0	0
5. Risk revenue .....	XXX	0	0	0
6. Aggregate write-ins for other health care related revenues .....	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues .....	XXX	0	0	0
8. Total revenues (Lines 2 to 7) .....	XXX	298,797	324,912	645,782
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits .....		0	0	0
10. Other professional services .....		123,543	252,764	472,668
11. Outside referrals .....			0	0
12. Emergency room and out-of-area .....			0	0
13. Prescription drugs .....			0	0
14. Aggregate write-ins for other hospital and medical.....	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts.....			0	0
16. Subtotal (Lines 9 to 15) .....	0	123,543	252,764	472,668
<b>Less:</b>				
17. Net reinsurance recoveries .....		0	0	0
18. Total hospital and medical (Lines 16 minus 17) .....	0	123,543	252,764	472,668
19. Non-health claims (net).....			0	0
20. Claims adjustment expenses, including \$ cost containment expenses.....		1,422	2,843	4,999
21. General administrative expenses.....		120,213	162,955	316,140
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only).....			0	0
23. Total underwriting deductions (Lines 18 through 22) .....	0	245,178	418,562	793,807
24. Net underwriting gain or (loss) (Lines 8 minus 23).....	XXX	53,619	(93,650)	(148,025)
25. Net investment income earned .....		2,185	1,213	2,836
26. Net realized capital gains (losses) less capital gains tax of \$.....			0	0
27. Net investment gains (losses) (Lines 25 plus 26) .....	0	2,185	1,213	2,836
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ ..... ) (amount charged off \$ ..... )] .....			0	0
29. Aggregate write-ins for other income or expenses .....	0	0	(41)	0
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29) .....	XXX	55,804	(92,478)	(145,189)
31. Federal and foreign income taxes incurred .....	XXX		(8,854)	(8,854)
32. Net income (loss) (Lines 30 minus 31) .....	XXX	55,804	(83,624)	(136,335)
<b>DETAILS OF WRITE-INS</b>				
0601. ....	XXX		0	0
0602. ....	XXX		0	0
0603. ....	XXX		0	0
0698. Summary of remaining write-ins for Line 6 from overflow page .....	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 6 above) .....	XXX	0	0	0
0701. ....	XXX		0	0
0702. ....	XXX		0	0
0703. ....	XXX		0	0
0798. Summary of remaining write-ins for Line 7 from overflow page .....	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798) (Line 7 above) .....	XXX	0	0	0
1401. ....			0	0
1402. ....			0	0
1403. ....			0	0
1498. Summary of remaining write-ins for Line 14 from overflow page .....	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498) (Line 14 above) .....	0	0	0	0
2901. Other tax penalties.....			(41)	0
2902. ....			0	0
2903. ....			0	0
2998. Summary of remaining write-ins for Line 29 from overflow page .....	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above) .....	0	0	(41)	0

**STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.**

**STATEMENT OF REVENUE AND EXPENSES (Continued)**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>CAPITAL &amp; SURPLUS ACCOUNT</b>			
33. Capital and surplus prior reporting year	640,472	768,750	768,750
34. Net income or (loss) from Line 32	55,804	(83,624)	(136,335)
35. Change in valuation basis of aggregate policy and claim reserves	0	0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$	0	0	0
37. Change in net unrealized foreign exchange capital gain or (loss)	0	0	0
38. Change in net deferred income tax	0	0	0
39. Change in nonadmitted assets	1,155	971	8,057
40. Change in unauthorized and certified reinsurance	0	0	0
41. Change in treasury stock	0	0	0
42. Change in surplus notes	0	0	0
43. Cumulative effect of changes in accounting principles	0	0	0
44. Capital Changes:			
44.1 Paid in	0	0	0
44.2 Transferred from surplus (Stock Dividend)	0	0	0
44.3 Transferred to surplus	0	0	0
45. Surplus adjustments:			
45.1 Paid in	0	0	0
45.2 Transferred to capital (Stock Dividend)	0	0	0
45.3 Transferred from capital	0	0	0
46. Dividends to stockholders	0	0	0
47. Aggregate write-ins for gains or (losses) in surplus	0	0	0
48. Net change in capital and surplus (Lines 34 to 47)	56,959	(82,653)	(128,278)
49. Capital and surplus end of reporting period (Line 33 plus 48)	697,431	686,097	640,472
<b>DETAILS OF WRITE-INS</b>			
4701.	0	0	0
4702.	0	0	0
4703.	0	0	0
4798. Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798) (Line 47 above)	0	0	0

**STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.**

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance.....	295,825	320,567	634,516
2. Net investment income.....	1,840	894	1,607
3. Miscellaneous income.....	0	0	0
4. Total (Lines 1 to 3).....	297,665	321,461	636,123
5. Benefit and loss related payments.....	125,043	253,943	469,891
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	150,705	140,304	326,711
7. Commissions, expenses paid and aggregate write-ins for deductions.....	0	0	0
8. Dividends paid to policyholders.....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses).....	0	(4,470)	(4,470)
10. Total (Lines 5 through 9).....	275,748	389,777	792,132
11. Net cash from operations (Line 4 minus Line 10).....	21,917	(68,316)	(156,009)
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	60,000	90,000	140,000
12.2 Stocks.....	0	0	0
12.3 Mortgage loans.....	0	0	0
12.4 Real estate.....	0	0	0
12.5 Other invested assets.....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....	0	0	0
12.7 Miscellaneous proceeds.....	0	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	60,000	90,000	140,000
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	60,089	89,652	189,746
13.2 Stocks.....	0	0	0
13.3 Mortgage loans.....	0	0	0
13.4 Real estate.....	0	0	0
13.5 Other invested assets.....	0	0	0
13.6 Miscellaneous applications.....	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6).....	60,089	89,652	189,746
14. Net increase (or decrease) in contract loans and premium notes.....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(89)	348	(49,746)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0	0
16.3 Borrowed funds.....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....	0	0	0
16.5 Dividends to stockholders.....	0	0	0
16.6 Other cash provided (applied).....	(11,302)	75,528	35,165
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	(11,302)	75,528	35,165
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17).....	10,526	7,560	(170,590)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	500,357	670,947	670,947
19.2 End of period (Line 18 plus Line 19.1).....	510,883	678,507	500,357

STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.

**EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION**

	1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
		2	3							
	Total	Individual	Group							
Total Members at end of:										
1. Prior Year .....	5,704	0	.0	0	0	5,704	0	0	.0	.0
2. First Quarter .....	5,439	0	.0	0	0	5,439	0	0	.0	.0
3. Second Quarter .....	5,346	0	.0	0	0	5,346	0	0	.0	.0
4. Third Quarter .....	0									
5. Current Year .....	0									
6. Current Year Member Months	32,679					32,679				
Total Member Ambulatory Encounters for Period:										
7. Physician .....	0									
8. Non-Physician .....	455					455				
9. Total .....	455	0	0	0	0	455	0	0	0	0
10. Hospital Patient Days Incurred	0									
11. Number of Inpatient Admissions	0									
12. Health Premiums Written (a) .....	294,591					294,591				
13. Life Premiums Direct .....	0									
14. Property/Casualty Premiums Written .....	0									
15. Health Premiums Earned .....	298,797					298,797				
16. Property/Casualty Premiums Earned .....	0									
17. Amount Paid for Provision of Health Care Services .....	125,043					125,043				
18. Amount Incurred for Provision of Health Care Services .....	123,543					123,543				

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$

## STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.

## CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

## Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
Claims unpaid (Reported)						
0199999 Individually listed claims unpaid.....	0	.0	0	.0	0	0
0299999 Aggregate accounts not individually listed-uncovered.....						0
0399999 Aggregate accounts not individually listed-covered.....	5,325	5,289				10,614
0499999 Subtotals.....	5,325	5,289	0	0	0	10,614
0599999 Unreported claims and other claim reserves.....	XXX	XXX	XXX	XXX	XXX	2,497
0699999 Total amounts withheld.....	XXX	XXX	XXX	XXX	XXX	
0799999 Total claims unpaid.....	XXX	XXX	XXX	XXX	XXX	13,111
0899999 Accrued medical incentive pool and bonus amounts.....	XXX	XXX	XXX	XXX	XXX	0

## STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.

**UNDERWRITING AND INVESTMENT EXHIBIT**  
**ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE**

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5	6 Estimated Claim Reserve and Claim Liability Dec. 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical) .....					0	.0
2. Medicare Supplement .....					0	.0
3. Dental only .....	1,277	123,766	137	12,974	1,414	14,611
4. Vision only .....					0	.0
5. Federal Employees Health Benefits Plan .....					0	.0
6. Title XVIII - Medicare .....					0	.0
7. Title XIX - Medicaid .....					0	.0
8. Other health .....					0	.0
9. Health subtotal (Lines 1 to 8).....	1,277	123,766	137	12,974	1,414	14,611
10. Health care receivables (a).....					0	.0
11. Other non-health .....					0	.0
12. Medical incentive pools and bonus amounts .....					0	.0
13. Totals (Lines 9-10+11+12)	1,277	123,766	137	12,974	1,414	14,611

(a) Excludes \$ 19,050 loans or advances to providers not yet expensed.

## STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.

# NOTES TO FINANCIAL STATEMENTS

### 1. Summary of Significant Accounting Policies and Going Concern

Certain footnote disclosures normally included in the financial statements have been omitted pursuant to the National Association of Insurance Commissioners' ("NAIC") 2018 Health Quarterly Statement instructions. Therefore, these financial statements should be read in conjunction with the footnote disclosures contained in the 2017 annual statement.

#### A. Accounting Practices

The financial statements of UDC Ohio, Inc. (the "Company") are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance.

The Ohio Department of Insurance recognizes only statutory practices prescribed or permitted by the state of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance Law. The National Association of Insurance Commissioners' ("NAIC") *Accounting Practices and Procedures Manual* ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the state of Ohio. The state has adopted certain prescribed accounting practices that differ from those found in NAIC SAP. The Commissioner of Insurance has the right to permit other specific practices that deviate from prescribed practices.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the state of Ohio is shown below:

	SSAP #	F/S Page	F/S Line #	6/30/2018	12/31/2017
<u>NET INCOME</u>					
(1) Company state basis (Page 4, Line 32, Columns 2 & 4)				\$ 55,804	\$ (136,335)
(2) State Prescribed Practices that increase/(decrease) NAIC SAP:				-	-
(3) State Permitted Practices that increase/(decrease) NAIC SAP:				-	-
(4) NAIC SAP (1-2-3=4)				<u>\$ 55,804</u>	<u>\$ (136,335)</u>
<u>SURPLUS</u>					
(5) Company state basis (Page 3, Line 33, Columns 3 & 4)				\$ 697,431	\$ 640,472
(6) State Prescribed Practices that increase/(decrease) NAIC SAP:				-	-
(7) State Permitted Practices that increase/(decrease) NAIC SAP:				-	-
(8) NAIC SAP (5-6-7=8)				<u>\$ 697,431</u>	<u>\$ 640,472</u>

#### B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expense during the period. Actual results could differ from those estimates.

#### C. Accounting Policy

Premiums billed to individual and group subscribers are recognized as revenue in the month in which subscribers are entitled to receive dental care. Uncollected premiums over 90 days past due are nonadmitted and excluded from surplus. The Company contracts with dentists (providers) for dental services to be provided to its subscribers. Provider capitation consists of monthly fees paid to providers and is expensed in the month in which the provider is obligated to render dental services. Emergency services to members while temporarily out of their provider's area, as well as specialty services not covered by capitation fees, are recorded as incurred.

- (1) Short-term investments  
No change.
- (2) Bonds, mandatory convertible securities, and SVO-identified investments  
No change.
- (3) Common stocks  
No change.
- (4) The Company does not have investments in preferred stocks.
- (5) The Company does not have investments in mortgage loans.
- (6) The Company does not have investments in loan-backed securities.

## STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.

# NOTES TO FINANCIAL STATEMENTS

- (7) The Company does not own any shares of an upstream or intermediate parent or affiliate, either directly or indirectly.
- (8) The Company does not hold any investments in joint ventures, partnerships or limited liability companies.
- (9) The Company does not hold any derivative instruments.
- (10) The Company includes anticipated investment income in calculating health premium deficiency liabilities. There were no premium deficiency liabilities as of statement date.
- (11) There has been no change in Company management's policies and methodologies for estimating the liabilities for losses and loss/claim adjustment expenses for accident and health contracts.
- (12) The Company does not have fixed assets.
- (13) The Company does not have pharmaceutical rebate receivables.

#### D. Going concern

Management has evaluated the Company's financial position and determined that no conditions or events have occurred that would raise doubt about the Company's ability to continue as a going concern.

#### 2. Accounting Changes and Corrections of Errors

No change.

#### 3. Business Combinations and Goodwill

No change.

#### 4. Discontinued Operations

No change.

#### 5. Investments

##### A. Mortgage Loans, including Mezzanine Real Estate Loans

Not applicable.

##### B. Debt Restructuring

Not applicable.

##### C. Reverse Mortgages

Not applicable.

##### D. Loan-Backed Securities

The Company does not hold loan-backed securities.

##### E. Dollar Repurchase Agreements and/or Securities Lending Transactions

Not applicable.

##### F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable.

##### G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable.

##### H. Repurchase Agreements Transactions Accounted for as a Sale

Not applicable.

##### I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

Not applicable.

##### J. Real Estate

Not applicable.

##### K. Investments in Low Income Housing Tax Credits

Not applicable.

# STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.

## NOTES TO FINANCIAL STATEMENTS

### L. Restricted Assets

No significant change.

### M. Working Capital Finance Investments

The Company does not have working capital finance programs.

### N. Offsetting and Netting of Assets and Liabilities

The Company does not offset or net assets and liabilities.

### O. Structured Notes

Not applicable.

### P. 5\* Securities

The Company does not hold 5\* securities.

### Q. Short Sales

The Company does not have any short sale transactions.

### R. Prepayment Penalty and Acceleration Fees

The Company did not incur any prepayment penalties or acceleration fees.

## 6. Joint Ventures, Partnerships and Limited Liability Companies

No change.

## 7. Investment Income

No significant change.

## 8. Derivative Instruments

No change.

## 9. Income Taxes

A. The application of SSAP No. 101 requires a company to evaluate the recoverability of deferred tax assets and to establish a valuation allowance, if necessary, to reduce the deferred tax asset to an amount, which is more likely than not to be realized. Considerable judgment is required in determining whether a valuation allowance is necessary, and if so, the amount of such valuation allowance. As a result of management's evaluation at June 30, 2018, management believes it is more likely than not that the deferred tax assets will not be realized. Therefore, the Company has recorded a valuation allowance against the gross deferred tax assets ("DTAs"), excluding the effects associated with its deferred tax liabilities ("DTLs"), as of June 30, 2018 and December 31, 2017.

U.S. tax reform legislation was signed into law on December 22, 2017 and took effect on January 1, 2018. The legislation includes a permanent reduction to the federal corporate income tax rate from 35% to 21% for tax years beginning after 2017. The gross deferred tax assets and liabilities at December 31, 2017 were revalued at the tax rate of 21% with a corresponding net tax expense recorded through change in net deferred income tax in surplus.

1. The components of deferred tax assets ("DTAs") and deferred tax liabilities ("DTLs") as of June 30, 2018, and December 31, 2017, are as follows:

Description	6/30/2018			12/31/2017			Change		
	(1) Ordinary	(2) Capital	(3) (Col 1+2) Total	(1) Ordinary	(2) Capital	(3) (Col 1+2) Total	(7) (Col 1-4) Ordinary	(8) (Col 2-5) Capital	(9) (Col 7+8) Total
(a) Gross deferred tax assets	\$ 57,349	\$ -	\$ 57,349	\$ 71,957	\$ -	\$ 71,957	\$ (14,608)	\$ -	\$ (14,608)
(b) Statutory valuation allowance adjustments	52,971	-	52,971	65,648	-	65,648	(12,677)	-	(12,677)
(c) Adjusted gross deferred tax assets (1a-1b)	4,378	-	4,378	6,309	-	6,309	(1,931)	-	(1,931)
(d) Deferred tax assets nonadmitted	-	-	-	-	-	-	-	-	-
(e) Subtotal net admitted deferred tax assets (1c-1d)	4,378	-	4,378	6,309	-	6,309	(1,931)	-	(1,931)
(f) Deferred tax liabilities	4,378	-	4,378	6,309	-	6,309	(1,931)	-	(1,931)
(g) Net admitted deferred tax assets/(net deferred tax liability) (1e-1f)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

## STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.

### NOTES TO FINANCIAL STATEMENTS

2. The following table provides component amounts of the Company's net admitted DTA calculation by tax character of paragraphs 11.a, 11.b.i, 11.b.ii and 11.c of SSAP No. 101 and the risk-based capital level used to determine the recovery period and threshold limitation amount.

Description	6/30/2018			12/31/2017			Change		
	(1) Ordinary	(2) Capital	(3) (Col 1+2) Total	(4) Ordinary	(5) Capital	(6) (Col 4+5) Total	(7) (Col 1-4) Ordinary	(8) (Col 2-5) Capital	(9) (Col 7+8) Total
<b>Admission Calculation Components</b>									
SSAP No. 101									
(a) Federal income taxes paid in prior years recoverable through loss carrybacks	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
(b) Adjusted gross deferred tax assets expected to be realized (excluding the amount of deferred tax assets from 2(a) above) after application of the threshold limitation. (The lesser of 2(b)1 and 2(b)2 below)	-	-	-	-	-	-	-	-	-
1. Adjusted gross deferred tax assets expected to be realized following the balance sheet date	-	-	-	-	-	-	-	-	-
2. Adjusted gross deferred tax assets allowed per limitation threshold	-	-	-	104,615	-	-	96,071	-	8,544
(c) Adjusted gross deferred tax assets (excluding the amount of deferred tax assets from 2(a) and 2(b) above) offset by gross deferred tax liabilities.	-	-	-	-	-	-	-	-	-
(d) Deferred tax assets admitted as the result of application of SSAP No. 101. Total (2(a) + 2(b) + 2(c))	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<hr/>	<hr/>	<hr/>	<hr/>	<hr/>	<hr/>	<hr/>	<hr/>	<hr/>

3. Adjusted capital and surplus

	6/30/2018	12/31/2017
a.) Ratio percentage used to determine recovery period and threshold limitation amount	2313%	2124%
b.) Amount of adjusted capital and surplus used to determine recovery period and threshold limitation In 2(b)2 above	\$ 697,431	\$ 640,472

4. The following table provides the impact of tax-planning strategies, if used in the Company's SSAP No. 101 calculation, on adjusted gross and net admitted DTAs.

Description	6/30/2018			12/31/2017			Change		
	(1) Ordinary	(2) Capital	(4) Ordinary	(5) Capital	(7) (Col 1-4) Ordinary	(8) (Col 2-5) Capital			
<b>Impact of Tax Planning Strategies</b>									
(a) Determination of adjusted gross deferred tax assets and net admitted deferred assets, by tax character as a percentage									
1 Adjusted gross DTAs amount from Note 9A1(c)	\$ 4,378	\$ -	\$ 6,309	\$ -	\$ (1,931)	\$ -			
2 Percentage of adjusted gross DTAs by tax character attributable to the impact of tax planning strategies	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
3 Net admitted adjusted gross DTAs amount from Note 9A1(e)	\$ 4,378	\$ -	\$ 6,309	\$ -	\$ (1,931)	\$ -			
4 Percentage of net admitted adjusted gross DTAs by tax character admitted because of the impact of tax planning strategies	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
(b) Does the Company's tax-planning strategies include the use of reinsurance?	Yes _____	No _____	X						

B. Not applicable.

**STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.**

**NOTES TO FINANCIAL STATEMENTS**

C. The following tables provide the Company's significant components of income taxes incurred and the changes in DTAs and DTLs.

		(1) 06/30/2018	(2) 12/31/2017	(3) (Col 1-2) Change
1.	Current Income Tax			
(a)	Federal	\$ -	\$ (8,854)	\$ 8,854
(b)	Foreign	- -	- -	- -
(c)	Subtotal	- -	(8,854)	8,854
(d)	Federal income tax on net capital gains	- -	- -	- -
(e)	Utilization of loss carryforwards	- -	- -	- -
(f)	Prior period adjustment	- -	- -	- -
(g)	Federal and foreign income taxes incurred	\$ -	\$ (8,854)	\$ 8,854
		(1) 06/30/2018	(2) 12/31/2017	(3) (Col 1-2) Change
2.	Deferred Tax Assets:			
(a)	Ordinary			
(1)	Unassigned capitation	\$ -	\$ -	\$ -
(2)	Nonadmitted assets	4,135	4,378	(243)
(3)	Net operating loss (NOL)	47,176	62,725	(15,549)
(4)	Accrued audit fees	6,017	4,814	1,203
(5)	Deferred and uncollected premium	- -	- -	- -
(6)	Other (including items <5% of total ordinary tax assets)	21	40	(19)
(99)	Subtotal	57,349	71,957	(14,608)
(b)	Statutory valuation allowance adjustment	52,971	65,648	(12,677)
(c)	Nonadmitted	- -	- -	- -
(d)	Admitted ordinary deferred tax assets (2a99 – 2b – 2c)	4,378	6,309	(1,931)
(e)	Capital:			
(1)	Investments	- -	- -	- -
(2)	Net capital loss carryforward	- -	- -	- -
(3)	Real estate	- -	- -	- -
(4)	Other (including items <5% of total capital tax assets)	- -	- -	- -
(99)	Subtotal	- -	- -	- -
(f)	Statutory valuation allowance adjustment	- -	- -	- -
(g)	Nonadmitted	- -	- -	- -
(h)	Admitted capital deferred tax assets (2e99 – 2f – 2g)	- -	- -	- -
(i)	Admitted deferred tax assets (2d + 2h)	\$ 4,378	\$ 6,309	\$ (1,931)

**STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.**

**NOTES TO FINANCIAL STATEMENTS**

		<b>(1)</b>	<b>(2)</b>	<b>(3)</b>
		<b>06/30/2018</b>	<b>12/31/2017</b>	<b>(Col 1-2)</b>
				<b>Change</b>
3.	Deferred Tax Liabilities:			
(a)	Ordinary			
(1)	Investments	\$ 32	\$ 22	\$ 10
(2)	Fixed assets	-	-	-
(3)	Deferred and uncollected premium	-	-	-
(4)	Prepaid capitation	4,001	5,987	(1,986)
(5)	Other (including items <5% of total ordinary tax liabilities)	345	300	45
(99)	Subtotal	4,378	6,309	(1,931)
(b)	Capital:			
(1)	Investments	-	-	-
(2)	Real estate	-	-	-
(3)	Other (including items <5% of total capital tax liabilities)	-	-	-
(99)	Subtotal	-	-	-
(c)	Deferred tax liabilities (3a99+3b99)	\$ 4,378	\$ 6,309	\$ (1,931)
4.	Net deferred tax assets/liabilities (2i-3c)	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
5.	The change in net deferred income taxes was comprised of the following:			

	<b>Description</b>	<b>(1)</b>	<b>(2)</b>	<b>(3)</b>
		<b>06/30/2018</b>	<b>12/31/2017</b>	<b>(Col 1-2)</b>
				<b>Change</b>
(a)	Total deferred tax assets	\$ 57,349	\$ 71,957	\$ (14,608)
(b)	Total deferred tax liabilities	4,378	6,309	(1,931)
(c)	Net deferred tax asset	\$ 52,971	\$ 65,648	\$ (12,677)
(d)	Statutory valuation allowance	52,971	65,648	(12,677)
(e)	Net deferred tax assets/liabilities	\$ -	\$ -	\$ -
(f)	Tax effect of unrealized (gains)/losses			-
(g)	Prior period adjustment			-
(h)	Change in net deferred income tax			<b>\$ -</b>

D. The provision for federal income taxes incurred for the current year is different from that which would be obtained by applying the statutory federal income tax rate of 21% to income before income taxes. The significant items causing this difference are as follows:

	<b>Description</b>	<b>Amount</b>	<b>Tax Effect @ 21%</b>	<b>Effective Tax Rate</b>
(a)	Income before taxes	\$ 55,804	\$ 11,719	21.0%
(b)	Pre-tax capital gains - pre IMR	-	-	0.0%
(c)	Dividends received deduction	-	-	0.0%
(d)	Non-deductible expenses	3,406	715	1.3%
(e)	Change in tax contingency reserves	-	-	0.0%
(f)	IMR	-	-	0.0%
(g)	Change in nonadmitted assets	1,155	243	0.4%
(h)	Prior year over/under accrual	-	-	0.0%
(g)	Change in federal income tax rate for deferred tax assets and liabilities	-	-	0.0%
(j)	Change in statutory valuation allowance	-	(12,677)	-22.7%
(k)	Miscellaneous	-	-	0.0%
(l)	Total statutory income taxes	<b>\$ -</b>	<b>\$ -</b>	<b>0.0%</b>
(m)	Federal and foreign income taxes incurred	\$ -	-	0.0%
(n)	Change in net deferred income taxes	-	-	0.0%
(o)	Total statutory income taxes	<b>\$ -</b>	<b>\$ -</b>	<b>0.0%</b>

E. (1) At June 30, 2018, the Company has \$224,645 of net operating loss carryforwards, which will begin to expire, if not utilized, in 2036.

(2) At June 30, 2018, the Company has no capital loss carryforward.

## STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.

# NOTES TO FINANCIAL STATEMENTS

(3) There are no tax expenses incurred in the periods after acquisition that are available for recoupment in the event of future losses:

2018	\$0
2017	\$0

F. (1) For the year ended December 31, 2018, the Company will file a stand-alone federal income tax return as an insurance company.

(2) Not applicable.

G. As of June 30, 2018, there are no tax positions for which management believes it to be reasonably possible that total amounts of tax contingencies will significantly increase or decrease within 12 months of the reporting date.

### 10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No change.

### 11. Debt

A. The Company had no debt obligations during the statement period.

B. The Company had no borrowing agreements through the Federal Home Loan Bank.

### 12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A.-D. Defined Benefit Plans

Not applicable.

E.-I. Defined Contribution Plans and Other Postretirement Benefit Plans

No significant change.

### 13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change.

### 14. Liabilities, Contingencies and Assessments

No significant change.

### 15. Leases

No change.

### 16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No change.

### 17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfer of Receivables Reported as Sales

Not applicable.

B. Transfer and Servicing of Financial Assets

Not applicable.

C. Wash Sales

The Company had no wash sales for the reporting period.

### 18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No change.

### 19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No change.

### 20. Fair Value Measurements

A. Assets Measured at Fair Value

Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. In determining fair value, the Company uses various methods including market, income and cost approaches. The Company utilizes valuation techniques that maximize the use of observable inputs and minimize the use of unobservable inputs.

## STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.

### NOTES TO FINANCIAL STATEMENTS

(1) The Company transfers assets into or out of levels at the fair value as of the beginning of the reporting period. Transfers made are the result of changes in the level of observability of inputs used to price assets as well as changes in NAIC ratings. No transfers between levels 1 and 2 have occurred for the reporting period.

The Company did not hold assets measured at fair value as of statement date.

(2) There were no assets measured at fair value, which are categorized as level 3 for the reporting period. See Note 20(A)(1) for a description of the Company's policy related to transfers between levels.

(3) The Company has not changed its policy on when transfers between levels are recognized. See Note 20(A)(1) for a description of the Company's policy related to transfers between levels.

(4) The Company has categorized the fair value of its financial instruments into a three-level hierarchy based on the priority of the inputs to the valuation technique. The fair value hierarchy gives the highest priority to quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). If the inputs used to measure fair value fall within different levels of the hierarchy, the category level is based on the lowest priority level input that is significant to the fair value measurement of the instrument.

Financial assets and liabilities recorded at fair value in the Company's balance sheet are categorized as follows:

Level 1 - Unadjusted quoted prices for identical assets or liabilities in an active market. The types of assets and liabilities utilizing Level 1 valuations include U.S. Treasury and agency securities and investments in publicly-traded mutual funds with quoted market prices.

Level 2 - Quoted prices in markets that are not active or significant inputs that are observable either directly or indirectly. Level 2 inputs include the following:

- Quoted prices for similar assets or liabilities in active markets,
- Quoted prices for identical or similar assets or liabilities in non-active markets,
- Inputs other than quoted market prices that are observable, and
- Inputs that are derived principally from or corroborated by observable market data through correlation or other means.

The types of assets and liabilities utilizing Level 2 valuations generally include U.S. Government securities not backed by the full faith and credit of the U.S. Government, municipal bonds, structured notes and certain asset-backed securities ("ABS") including collateralized debt obligations, residential mortgage-backed securities ("RMBS"), commercial mortgage-backed securities ("CMBS"), certain corporate debt and certain private equity investments.

Level 3 - Prices or valuation techniques that require inputs that are both unobservable and significant to the overall fair value measurement. They reflect management's opinions regarding the assumptions a market participant would use in pricing the asset or liability. Generally, the types of assets and liabilities utilizing Level 3 valuations are certain ABS, RMBS, and CMBS, certain corporate debt, certain private equity investments and certain mutual fund holdings and certain derivatives.

There have been no significant changes made in valuation techniques for the statement period.

(5) The Company does not hold derivative instruments.

#### B. Presentation of Fair Value Information

The Company has combined fair value disclosure requirements from other accounting pronouncements within Note 20.

#### C. Aggregate Fair Value of all Financial Instruments

The following table summarizes the aggregate fair value of financial instruments and the level within the fair value hierarchy as of statement date:

Type of financial instrument	Aggregate Fair Value	Carrying Value	Level 1
<b>Financial assets:</b>			
Debt securities	\$ 245,630	\$ 249,957	\$ 245,630
Equity securities	-	-	-
Cash, cash equivalents and short-term investments	510,883	510,883	510,883
<b>Total financial assets</b>	<b>\$ 756,513</b>	<b>\$ 760,840</b>	<b>\$ 756,513</b>

The Company did not have any Level 2 or Level 3 financial instruments.

The methods and assumptions that the Company uses in determining the estimated fair value of its financial instruments are summarized below:

**Debt securities:** The Company determines the fair value of its publicly-traded fixed maturity securities using three primary pricing methods: third-party pricing services, non-binding broker quotes and pricing models. Prices are first sought from third-party pricing services with the remaining unpriced securities priced using one of the other two methods. Third-party pricing services derive the security prices through recently reported trades for identical or similar securities with adjustments for trading volumes and market observable information through the reporting date. In the event that there are no recent market trades, pricing services and brokers may use pricing models to develop a security price based on future expected cash flows discounted at an estimated market rate using collateral

## STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.

# NOTES TO FINANCIAL STATEMENTS

performance and vintages. The Company generally does not adjust quotes or prices obtained from brokers or pricing services.

**Equity securities:** The fair value of the Company's equity securities is first based on quoted market prices. Similar to fixed maturity securities, the Company uses pricing services and broker quotes to price the equity securities for which the quoted market price is not available.

**Cash, cash equivalents and short-term investments:** The carrying value for cash, cash equivalents and short-term investments approximates fair value due to the short-term nature and liquidity of the balances.

D. Not Practicable to Estimate Fair Value

The Company held no financial instruments where it was not practicable to estimate fair value.

### 21. Other Items

A. Extraordinary Items

Not applicable.

B. Troubled Debt Restructuring

Not applicable.

C. Other Disclosures and Unusual Items

Not applicable.

D. Business Interruption Insurance Recoveries

Not applicable.

E. State Transferable and Non-Transferable Tax Credits

Not applicable.

F. Sub-Prime Mortgage Related Risk Exposure

Not applicable.

G. Retained Assets

Not applicable.

### 22. Events Subsequent

In 2018, the Company will not be subject to an annual fee under Section 9010 of the Federal Affordable Care Act (ACA), as the United States Congress has placed a moratorium on ACA fees for the 2018 calendar year. The moratorium is expected to be lifted effective January 1, 2019, and annual fees will be due in 2020. This annual fee will be allocated to individual health insurers based on the ratio of the amount of the entity's net premiums written during the preceding calendar year to the amount of health insurance for any U.S. health risk that is written during the preceding calendar year. A health insurance entity's portion of the annual fee becomes payable once the entity provides health insurance for any U.S. health risk for each calendar year beginning on or after January 1 of the year the fee is due. As of June 30, 2018, the Company has written health insurance subject to the ACA Assessment, expects to conduct health insurance business in 2018, and estimates its portion of the annual health insurance industry fee payable on September 30, 2018 to be \$0. As a result, the Company has not reflected an ACA Assessment in special surplus as of June 30, 2018. There is also no impact on the ACL risk based capital (RBC) ratio. Reporting the ACA Assessment as of June 30, 2018 would not have triggered an RBC action level.

Subsequent events were evaluated through August 10, 2018. No additional subsequent events were noted.

### 23. Reinsurance

No change.

### 24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

A.-D. Retrospectively Rated Contracts Including Medical Loss Ratio Rebates

No change.

E. Risk Sharing Provisions of the Affordable Care Act

No change.

### 25. Change in Incurred Claims and Claims Adjustment Expenses

A. Reserves as of December 31, 2017 were \$14,800. As of June 30, 2018, \$1,293 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$139 as a result of re-estimation of unpaid claims and claim adjustment expenses principally on the dental care line of insurance. Therefore, there has been a \$13,368 favorable prior-year development since December 31, 2017 to June 30, 2018. The decrease is generally the result of ongoing analysis of recent loss development trends. Original

**STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.**

**NOTES TO FINANCIAL STATEMENTS**

estimates are increased or decreased, as additional information becomes known regarding individual claims. The Company did not experience any prior year loss development on retrospectively rated policies.

B. No significant change.

**26. Intercompany Pooling Arrangements**

No change.

**27. Structured Settlements**

No change.

**28. Health Care Receivables**

No change.

**29. Participating Policies**

No change.

**30. Premium Deficiency Reserves**

No change.

**31. Anticipated Salvage and Subrogation**

No change.

**STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.**

**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES  
GENERAL**

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [X]

1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [X]

2.2 If yes, date of change: .....

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [X] No [ ]

If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [X]

3.3 If the response to 3.2 is yes, provide a brief description of those changes. ....

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [X] No [ ]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group..... 0001097362

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [X]

4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
.....	.....	.....

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [X] NA [ ]

If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. .... 12/31/2013

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .... 12/31/2013

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .... 06/30/2015

6.4 By what department or departments?  
Ohio Department of Insurance.....

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] NA [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ ] No [ ] NA [X]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [X]

7.2 If yes, give full information: ....

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?..... Yes [ ] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company. ....

8.3 Is the company affiliated with one or more banks, thrifts or securities firms?..... Yes [X] No [ ]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Bentali Kennedy (U.S.) Limited Partnership.....	Seattle, WA.....				YES.....
Sun Life Institutional Distributors (U.S.) LLC.....	San Francisco, CA.....				YES.....
Massachusetts Financial Services Company.....	Boston, MA.....				YES.....
MFS Fund Distributors, Inc.....	Boston, MA.....				YES.....
MFS Institutional Advisors, Inc.....	Boston, MA.....				YES.....
MFS Service Center, Inc.....	Quincy, MA.....				YES.....
Prime Advisors, Inc.....	Redmond, WA.....				YES.....
Ryan Labs Asset Management Inc.....	New York, NY.....				YES.....
Sun Life Institutional Investments (U.S.) LLC.....	Wellesley Hills, MA.....				YES.....
Sun Life Financial Distributors, Inc.....	Wellesley Hills, MA.....				YES.....

**STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.****GENERAL INTERROGATORIES**

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? .....  Yes [X]  No [ ]

- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

.....

9.2 Has the code of ethics for senior managers been amended? .....  Yes [X]  No [ ]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

See footnote FN 9.21.

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? .....  Yes [ ]  No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

.....

**FINANCIAL**

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? .....  Yes [ ]  No [X]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ ..... 0

**INVESTMENT**

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) .....  Yes [X]  No [ ]

11.2 If yes, give full and complete information relating thereto:

Certain assets are held in various state deposits, as required by those states.....

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ .....

13. Amount of real estate and mortgages held in short-term investments: ..... \$ .....

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? .....  Yes [ ]  No [X]

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds .....	\$ .....	\$ .....
14.22 Preferred Stock .....	\$ .....	\$ .....
14.23 Common Stock .....	\$ .....	\$ .....
14.24 Short-Term Investments .....	\$ .....	\$ .....
14.25 Mortgage Loans on Real Estate .....	\$ .....	\$ .....
14.26 All Other .....	\$ .....	\$ .....
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$ .....0	\$ .....0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....	\$ .....	\$ .....

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? .....  Yes [ ]  No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? .....  Yes [ ]  No [ ]

If no, attach a description with this statement.

**STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.**

**GENERAL INTERROGATORIES**

16 For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$.....0
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$.....0
16.3 Total payable for securities lending reported on the liability page	\$.....0

17. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? ..... Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
State Street Bank and Trust Company.....	801 Pennsylvania Avenue, Kansas City, MO 64105.....

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....	.....	.....

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....	.....	.....	.....

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. [“...that have access to the investment accounts”; “...handle securities”]

1 Name of Firm or Individual	2 Affiliation
Sun Life Institutional Investments (U.S.), LLC.....	A.....
.....	.....
.....	.....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) manage more than 10% of the reporting entity’s assets? ..... Yes [ ] No [X]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity’s assets? ..... Yes [ ] No [X]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of “A” (affiliated) or “U” (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
109684.....	Sun Life Institutional Investments (U.S.), LLC.....	.....	SEC.....	DS.....

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? ..... Yes [X] No [ ]

18.2 If no, list exceptions:

.....

19. By self-designating 5\*GI securities, the reporting entity is certifying the following elements for each self-designated 5\*GI security:

- a. Documentation necessary to permit a full credit analysis of the security does not exist.
- b. Issuer or obligor is current on all contracted interest and principal payments.
- c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5\*GI securities? ..... Yes [ ] No [X]

FN 9.21 - Effective January 4, 2018, the Company adopted a revised Sun Life Code of Business Conduct. The Code of Business Conduct is routinely reviewed and updated as necessary. Current updates include, but are not limited to, clearer guidance with regard to client and employee focus, expectations of leaders and managers, avoidance of corruption and conflicts of interest, and promoting a strong risk framework.

**STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.**

**GENERAL INTERROGATORIES**  
**PART 2 - HEALTH**

1. Operating Percentages:

1.1 A&H loss percent..... 41.3 %  
1.2 A&H cost containment percent..... 0.0 %  
1.3 A&H expense percent excluding cost containment expenses..... 40.7 %

2.1 Do you act as a custodian for health savings accounts?..... Yes  No

2.2 If yes, please provide the amount of custodial funds held as of the reporting date..... \$ \_\_\_\_\_

2.3 Do you act as an administrator for health savings accounts?..... Yes  No

2.4 If yes, please provide the balance of the funds administered as of the reporting date..... \$ \_\_\_\_\_

3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?..... Yes  No

3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?..... Yes  No

## **STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.**

## SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

**NONE**

## SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

States, Etc.	1 Active Status (a)	Direct Business Only							
		2 Accident & Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life & Annuity Premiums & Other Considerations	7 Property/ Casualty Premiums	8 Total Columns 2 Through 7	9 Deposit-Type Contracts
1. Alabama .....	AL	N.						0	
2. Alaska .....	AK	N.						0	
3. Arizona .....	AZ	N.						0	
4. Arkansas .....	AR	N.						0	
5. California .....	CA	N.						0	
6. Colorado .....	CO	N.						0	
7. Connecticut .....	CT	N.						0	
8. Delaware .....	DE	N.						0	
9. Dist. Columbia .....	DC	N.						0	
10. Florida .....	FL	N.						0	
11. Georgia .....	GA	N.						0	
12. Hawaii .....	HI	N.						0	
13. Idaho .....	ID	N.						0	
14. Illinois .....	IL	N.						0	
15. Indiana .....	IN	N.						0	
16. Iowa .....	IA	N.						0	
17. Kansas .....	KS	N.						0	
18. Kentucky .....	KY	L						0	
19. Louisiana .....	LA	N.						0	
20. Maine .....	ME	N.						0	
21. Maryland .....	MD	N.						0	
22. Massachusetts .....	MA	N.						0	
23. Michigan .....	MI	N.						0	
24. Minnesota .....	MN	N.						0	
25. Mississippi .....	MS	N.						0	
26. Missouri .....	MO	N.						0	
27. Montana .....	MT	N.						0	
28. Nebraska .....	NE	N.						0	
29. Nevada .....	NV	N.						0	
30. New Hampshire .....	NH	N.						0	
31. New Jersey .....	NJ	N.						0	
32. New Mexico .....	NM	N.						0	
33. New York .....	NY	N.						0	
34. North Carolina .....	NC	N.						0	
35. North Dakota .....	ND	N.						0	
36. Ohio .....	OH	L	294,591					294,591	
37. Oklahoma .....	OK	N.						0	
38. Oregon .....	OR	N.						0	
39. Pennsylvania .....	PA	N.						0	
40. Rhode Island .....	RI	N.						0	
41. South Carolina .....	SC	N.						0	
42. South Dakota .....	SD	N.						0	
43. Tennessee .....	TN	N.						0	
44. Texas .....	TX	N.						0	
45. Utah .....	UT	N.						0	
46. Vermont .....	VT	N.						0	
47. Virginia .....	VA	N.						0	
48. Washington .....	WA	N.						0	
49. West Virginia .....	WV	N.						0	
50. Wisconsin .....	WI	N.						0	
51. Wyoming .....	WY	N.						0	
52. American Samoa .....	AS	N.						0	
53. Guam .....	GU	N.						0	
54. Puerto Rico .....	PR	N.						0	
55. U.S. Virgin Islands .....	VI	N.						0	
56. Northern Mariana Islands .....	MP	N.						0	
57. Canada .....	CAN	N.						0	
58. Aggregate other alien .....	OT	XXX	.0	.0	.0	0	0	0	0
59. Subtotal .....		XXX	294,591	.0	.0	0	0	294,591	0
60. Reporting entity contributions for Employee Benefit Plans .....		XXX						0	
61. Total (Direct Business) .....		XXX	294,591	0	0	0	0	294,591	0
DETAILS OF WRITE-INS									
58001. ....		XXX							
58002. ....		XXX							
58003. ....		XXX							
58998. Summary of remaining write-ins for Line 58 from overflow page .....		XXX	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998) (Line 58 above) .....		XXX	0	0	0	0	0	0	0

(a) Active Status Counts

L – Licensed or Chartered – Licensed insurance carrier or domiciled RRG ..... 0

E – Eligible – Reporting entities eligible or approved to write surplus lines in the state ..... 0

N – None of the above – Not allowed to write business in the state ..... 55

Premiums are assigned to the state based on the situs of contract.

2 R – Registered – Non-domiciled RRGs ..... 0

0 Q – Qualified – Qualified or accredited reinsurer ..... 0

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART

### Sun Life Financial Inc. – List of Subsidiaries and Affiliated Entities

Schedule Y as of June 30, 2018

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
98-0226074	<b>Sun Life Financial Inc. – United States Operations</b>		
20-8998687	SL Finance 2007-1, Inc.	100%	Non-Insurer
98-0226074	<b>Sun Life Financial Inc. – Foreign Operations</b>		
	10458465 Canada Inc.	100%	Non-Insurer
	10458481 Canada Inc.	100%	Non-Insurer
	6324983 Canada Inc.	100%	Non-Insurer
	Sun Life Assurance Company of Canada (Barbados) Limited	100%	Insurer
	Sun Life Canadian Commercial Mortgage Fund	26.78%	Non-Insurer
	Sun Life of Canada International Assurance Limited	100%	Insurer
	Sun Life Private Fixed Income Plus Fund	4.41%	Non-Insurer
	<b>Sun Life Global Investments Inc. – United States Operations</b>	100%	Non-Insurer
	Sun Life 2007-1 Financing Corp.	100%	Non-Insurer
	Sun Life (Luxembourg) Finance No. 1 Sàrl	100%	Non-Insurer
04-3401283	Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc.	100%	Non-Insurer
	SL Investment 2007-1 ULC	100%	Non-Insurer
	Sun Life Financial (Bermuda) Reinsurance Ltd.	100%	Insurer
04-3526207	Sun Life Financial (Japan), Inc.	100%	Non-Insurer
04-3579262	Sun Life Financial (U.S.) Holdings, Inc.	100%	Non-Insurer
04-3574531	Sun Life Financial (U.S.) Finance, Inc.	100%	Non-Insurer
	Sun Life Financial (U.S.) Investments LLC	100%	Non-Insurer
81-3468348	Bentall Kennedy U.S. Strategic Value Fund, L.P.	99.99%	Non-Insurer
	SVF Latham Square Reit, LLC	100%	Non-Insurer
	SVF Latham Square Owner, LLC	100%	Non-Insurer
61-1723752	Sun Life Institutional Distributors (U.S.) LLC	100%	Non-Insurer

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
68-0635051	Sun Life Investment Management U.S., Inc.	100%	Non-Insurer
20-5270592	Bentall Kennedy (U.S.) G.P. LLC	100%	Non-Insurer
20-5300937	Bentall Kennedy (U.S.) Limited Partnership	0.01%	Non-Insurer
20-5300937	Bentall Kennedy (U.S.) Limited Partnership	99.99%	Non-Insurer
32-0466479	Bentall Kennedy Holdings LLC	100%	Non-Insurer
20-1641876	Rushmore Partners LLC	100%	Non-Insurer
30-0872552	NewTower Trust Company	100%	Non-Insurer
81-4733550	Bentall Kennedy Daily Value Fund GP, LLC	100%	Non-Insurer
	NewTower Management GP LLC	100%	Non-Insurer
	NewTower Management LLC	100%	Non-Insurer
81-3502546	Bentall Kennedy U.S. Strategic Value Fund GP, L.L.C.	100%	Non-Insurer
81-3468348	Bentall Kennedy U.S. Strategic Value Fund, L.P.	0.01%	Non-Insurer
	SVF Latham Square, LLC	100%	Non-Insurer
	SVF REIT Manager, LLC	100%	Non-Insurer
90-0925083	BKUS Institutional Logistics Coinvestment LLC	100%	Non-Insurer
27-0410086	Kennedy Management Investors LLC - Series VI	29.171%	Non-Insurer
27-0410216	Kennedy Management Investors LLC - Series VII	52.192%	Non-Insurer
27-0411285	Kennedy Management Investors LLC - Series IX	67.75%	Non-Insurer
	Kennedy Management Investors LLC - Series XI	100%	Non-Insurer
91-1435190	Prime Advisors, Inc.	100%	Non-Insurer
47-3503928	Ryan Labs Asset Management Inc.	100%	Non-Insurer
	Ryan Labs Fund Management, LLC	100%	Non-Insurer
	Ryan Labs TIPS Partners, L.P.	100%	Non-Insurer
04-3401285	Sun Life of Canada (U.S.) Financial Services Holdings, Inc.	99.908%	Non-Insurer
04-2747644	Massachusetts Financial Services Company	94.682%	Non-Insurer
	MFS Bermuda Holdings Ltd.	100%	Non-Insurer
	MFS Development Funds, LLC	100%	Non-Insurer
04-2747644	MFS Exchange LLC	100%	Non-Insurer
04-3169826	MFS Fund Distributors, Inc.	100%	Non-Insurer
02-0507414	MFS Heritage Trust Company	100%	Non-Insurer

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
04-3247425	MFS Institutional Advisors, Inc.	100%	Non-Insurer
	3060097 Nova Scotia Company	100%	Non-Insurer
	MFS Investment Management Canada Limited	15%	Non-Insurer
	MFS Investment Management Canada Limited	85%	Non-Insurer
	MFS International Ltd.	100%	Non-Insurer
	MFS do Brasil Desenvolvimento de Mercado Ltda.	100%	Non-Insurer
	MFS International (Chile) SpA	100%	Non-Insurer
	MFS International (Hong Kong) Limited	100%	Non-Insurer
	MFS International Holdings Pty Ltd	100%	Non-Insurer
	MFS Financial Management Consulting (Shanghai) Co., Ltd.	100%	Non-Insurer
	MFS International (U.K.) Limited	100%	Non-Insurer
	MFS International Switzerland GmbH	100%	Non-Insurer
	MFS International Australia Pty Ltd	100%	Non-Insurer
	MFS International Singapore Pte. Ltd.	100%	Non-Insurer
	MFS Investment Management Company (LUX) S.à.r.l.	100%	Non-Insurer
	MFS Investment Management K.K.	100%	Non-Insurer
04-2864897	MFS Service Center, Inc.	100%	Non-Insurer
04-3365782	Sun Life of Canada (U.S.) Holdings, Inc.	100%	Non-Insurer
26-4312923	DailyFeats, Inc.	100%	Non-Insurer
01-0483086	Disability Reinsurance Management Services, Inc.	100%	Non-Insurer
61-0403075 64602 DE	Independence Life and Annuity Company	100%	Insurer
46-1499557 15325 DE	Sun Life Financial (U.S.) Reinsurance Company II	100%	Insurer
59-0411385 68047 TX	Professional Insurance Company	100%	Insurer
04-3292937	Sun Canada Financial Co.	100%	Non-Insurer
06-1435452	Sun Life Administrators (U.S.), Inc.	100%	Non-Insurer
	Sun Life Financial (U.S.) Delaware Finance, LLC	100%	Non-Insurer
26-1292779 13051 VT	Sun Life Financial (U.S.) Reinsurance Company	100%	Insurer
26-3730703	Sun Life Financial (U.S.) Services Company, Inc.	100%	Non-Insurer

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
41-1694906	The Premier Dental Group, Inc.	100%	Non-Insurer
20-1750874	Landmark Dental Alliance, Inc.	100%	Non-Insurer
20-5189428	Vuzion, Inc.	100%	Non-Insurer
04-2470476	Sun Life Financial Distributors, Inc.	100%	Non-Insurer
04-3132283	Sun Life Institutional Investments (U.S.) LLC	100%	Non-Insurer
	<b>Sun Life Global Investments Inc. – Foreign Operations</b>	100%	Non-Insurer
	BK Canada Holdings Inc.	100%	Non-Insurer
	Bentall Kennedy (Canada) G.P. Ltd.	100%	Non-Insurer
	Bentall Kennedy (Canada) Limited Partnership	0.01%	Non-Insurer
	Bentall Kennedy (Canada) Limited Partnership	99.99%	Non-Insurer
	0936543 BC Ltd.	100%	Non-Insurer
	550 Burrard Street Ltd.	100%	Non-Insurer
	10 Dundas Street Ltd.	100%	Non-Insurer
	1090 Pender Properties Ltd.	100%	Non-Insurer
	121 King Street West Ltd.	100%	Non-Insurer
	1600 Rene-Levesque Ltd.	100%	Non-Insurer
	1687 Cliveden Avenue Holdings Ltd.	100%	Non-Insurer
	2025 Willingdon Avenue Holdings Ltd.	100%	Non-Insurer
	715-725 Eaton Way Holdings Ltd.	100%	Non-Insurer
	787 Cliveden Place Holdings Ltd.	100%	Non-Insurer
	8400 River Road Holdings Ltd.	100%	Non-Insurer
	Bentall Kennedy Holdings (Canada) Ltd.	100%	Non-Insurer
	Bentall Kennedy Holdings (U.S.) Inc.	100%	Non-Insurer
	Bentall Kennedy Luxembourg Holdings Ltd.	100%	Non-Insurer
	Bentall Kennedy (Luxembourg) G.P. Sarl	100%	Non-Insurer
	Bentall Kennedy Prime Canadian Property Fund GP Inc.	100%	Non-Insurer
	Bentall Kennedy Prime Canadian Property Pool GP Inc.	100%	Non-Insurer
	Bentall Property Fund VI General Partner Inc.	100%	Non-Insurer
	Bentall Property Fund VI Holdings Inc.	100%	Non-Insurer

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	Bentall Property Services (Ontario) Ltd.	100%	Non-Insurer
	BK Prime False Creek Residences Holdings Ltd.	100%	Non-Insurer
	BK Prime GP Holdco Inc.	100%	Non-Insurer
	10 Ronrose Drive GP Inc.	100%	Non-Insurer
	121 King Street West I GP Inc.	100%	Non-Insurer
	121 King Street West II GP Inc.	100%	Non-Insurer
	121 King Street West III GP Inc.	100%	Non-Insurer
	13th Avenue SE GP Inc.	100%	Non-Insurer
	13th Avenue SW GP Inc.	100%	Non-Insurer
	1600 Rene-Levesque GP Inc.	100%	Non-Insurer
	1642 Merivale Road GP Inc.	100%	Non-Insurer
	18 Parkshore Drive GP Inc.	100%	Non-Insurer
	1855 and 1875 Buckhorn Gate GP Inc.	100%	Non-Insurer
	2-8 St. Thomas Street GP Inc.	100%	Non-Insurer
	2-8 St. Thomas Holdings Inc.	50%	Non-Insurer
	290-300 King George Road GP Inc.	100%	Non-Insurer
	5100 Spectrum Way GP Inc.	100%	Non-Insurer
	52nd Street Business Centre GP Inc.	100%	Non-Insurer
	550 Burrard I GP Inc.	100%	Non-Insurer
	550 Burrard II GP Inc.	100%	Non-Insurer
	5825 Explorer Drive GP Inc.	100%	Non-Insurer
	5875 Explorer Drive GP Inc.	100%	Non-Insurer
	77 Metcalfe Street II GP Inc.	100%	Non-Insurer
	Airport 407 Business Campus GP Inc.	100%	Non-Insurer
	BK Prime Alberta I GP Inc.	100%	Non-Insurer
	BK Prime British Columbia I GP Inc.	100%	Non-Insurer
	BK Prime False Creek Residences GP Inc.	100%	Non-Insurer
	BK Prime Foothills North GP Inc.	100%	Non-Insurer
	BK Prime Kelowna Property Holdings GP Inc.	100%	Non-Insurer
	BK Prime Ontario I GP Inc.	100%	Non-Insurer

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	1467 Bathurst Holdings Inc.	50%	Non-Insurer
	BK Prime Quebec I GP Inc.	100%	Non-Insurer
	600 de Maisonneuve Ltée/600 de Maisonneuve Ltd.	100%	Non-Insurer
	7277733 Canada Inc.	50%	Non-Insurer
	Capilano Business Park II GP Inc.	100%	Non-Insurer
	CareLife Fleetwood GP Inc.	100%	Non-Insurer
	CareLife Maple Ridge GP Inc.	100%	Non-Insurer
	Champlain Square GP Inc.	100%	Non-Insurer
	Churchill 403 Business Campus GP Inc.	100%	Non-Insurer
	Dalhousie Station GP Inc.	100%	Non-Insurer
	Eastgate Square GP Inc.	100%	Non-Insurer
	Gateway Business Campus GP Inc.	100%	Non-Insurer
	H Business Park (A&B) GP Inc.	100%	Non-Insurer
	H Business Park (G&H) GP Inc.	100%	Non-Insurer
	Hazeldean Mall GP Inc.	100%	Non-Insurer
	Hillside Centre I GP Inc.	100%	Non-Insurer
	Hillside Centre II GP Inc.	100%	Non-Insurer
	Lakeshore Streetfront Retail Properties GP Inc.	100%	Non-Insurer
	Lakeshore Streetfront Retail Properties II GP Inc.	100%	Non-Insurer
	Milton Mall GP Inc.	100%	Non-Insurer
	Milton Mall II GP Inc.	100%	Non-Insurer
	Mission Hill GP Inc.	100%	Non-Insurer
	PCDL (St-Laurent Portfolio) GP Inc.	100%	Non-Insurer
	Pearson Corporate Centre GP Inc.	100%	Non-Insurer
	Peterborough Retail Portfolio GP Inc.	100%	Non-Insurer
	PG Village Shopping Centre GP Inc.	100%	Non-Insurer
	Precidio Court and Corporation Drive GP Inc.	100%	Non-Insurer
	Viceroy Road Portfolio GP Inc.	100%	Non-Insurer
	West Pender I GP Inc.	100%	Non-Insurer
	West Pender II GP Inc.	100%	Non-Insurer

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	West Pender III GP Inc.	100%	Non-Insurer
	Westpen 10 Dundas GP Inc.	100%	Non-Insurer
	Westpen 10201 Jasper Avenue GP Inc.	100%	Non-Insurer
	Westpen 10303 Jasper Avenue GP Inc.	100%	Non-Insurer
	Westpen North Hill GP Inc.	100%	Non-Insurer
	White Oaks Mall I GP Inc.	100%	Non-Insurer
	White Oaks Mall II GP Inc.	100%	Non-Insurer
	WO Mall GP Inc.	100%	Non-Insurer
	West Oaks Mall Ltd.	50%	Non-Insurer
	Yellowhead Crossing I GP Inc.	100%	Non-Insurer
	Yellowhead Crossing II GP Inc.	100%	Non-Insurer
	Yellowhead Crossing Development Ltd.	50%	Non-Insurer
	Yellowhead Crossing III GP Inc.	100%	Non-Insurer
	Yellowhead Crossing Development Ltd.	50%	Non-Insurer
	BKC Capital Inc.	100%	Non-Insurer
	BKC Condominium Services Inc.	100%	Non-Insurer
	BKCG Services Ltd.	100%	Non-Insurer
	BKCP Residential G.P. LTD.	100%	Non-Insurer
	BKCP Residential Limited Partnership	0.01%	Non-Insurer
	BKCP Residential Limited Partnership	99.99%	Non-Insurer
	BKHY Fund I GP Inc.	100%	Non-Insurer
	BKHY Fund I GP Limited Partnership	0.01%	Non-Insurer
	BKHY Fund I GP Limited Partnership	99.99%	Non-Insurer
	Bentall Kennedy High Yield Canadian Property Fund I Limited Partnership	0.001%	Non-Insurer
	Capilano Business Park Holdings Ltd.	100%	Non-Insurer
	Doyle Avenue Holdings Ltd.	100%	Non-Insurer
	High Plains Boulevard Holdings Ltd.	100%	Non-Insurer
	Hillside Centre Holdings Inc.	100%	Non-Insurer
	P&T Shopping Centre Holdings Ltd.	100%	Non-Insurer
	Parkgate Village Holdings Ltd.	100%	Non-Insurer

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	Penreal Property Fund V Holdings Inc.	100%	Non-Insurer
	Penreal Property Fund V General Partner Inc.	100%	Non-Insurer
	PenRetail Management Limited Partnership	99.99%	Non-Insurer
	PenRetail Management Ltd.	100%	Non-Insurer
	PenRetail Management Limited Partnership	0.01%	Non-Insurer
	SynchroSERV Inc.	100%	Non-Insurer
	SynchroSERV Limited Partnership	0.01%	Non-Insurer
	SynchroSERV Limited Partnership	99.99%	Non-Insurer
	Ten 201 Jasper Avenue Ltd.	100%	Non-Insurer
	Ten 303 Jasper Avenue Ltd.	100%	Non-Insurer
	White Oaks Mall Holdings Ltd.	100%	Non-Insurer
	Sun Life Global Investments (Canada) Inc.	100%	Non-Insurer
	Excel Funds Management Inc.	100%	Non-Insurer
	Excel Investment Counsel Inc.	100%	Non-Insurer
	Sun Life Institutional Investments (Canada) Inc.	100%	Non-Insurer
	Sun Life Canadian Commercial Mortgage GP Inc.	100%	Non-Insurer
	Sun Life Canadian Commercial Mortgage Fund	0.01%	Non-Insurer
	Sun Life Core FI GP Inc.	100%	Non-Insurer
	Sun Life Core Fixed Income Fund	0.01%	Non-Insurer
	Sun Life Long Term Core FI GP Inc.	100%	Non-Insurer
	Sun Life Long Term Core Fixed Income Fund	0.01%	Non-Insurer
	Sun Life Long Term PFIP GP Inc.	100%	Non-Insurer
	Sun Life PFIP GP Inc.	100%	Non-Insurer
	Sun Life Private Fixed Income Plus Fund	0.01%	Non-Insurer
	Sun Life Short Term PFIP GP Inc.	100%	Non-Insurer
38-1082080 80802 (CN)	<b>Sun Life Assurance Company of Canada</b> (including the United States Branch) – United States Operations	100%	Insurer
13-3830846	Dental Health Alliance, LLC	100%	Non-Insurer
59-3063687	Denticare of Alabama, Inc.	100%	Insurer
26-4183712	SL Investment US-RE Holdings 2009-1, Inc.	100%	Non-Insurer

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	Sun 521 Morehead, LLC	100%	Non-Insurer
	Morehead Parking Facility, LLC	50%	Non-Insurer
06-0893662 80926 CT	Sun Life and Health Insurance Company (U.S.)	100%	Insurer
	Sun Life Financial International Holdings (MC), LLC	100%	Non-Insurer
45-2424030	Solidify Software, LLC	100%	Non-Insurer
33-0360239	UDC Dental California, Inc.	100%	Insurer
74-2609036 52022 OH	UDC Ohio, Inc.	100%	Insurer
58-1099945	Union Security DentalCare of Georgia, Inc.	100%	Insurer
52-1565653 11244 NJ	Union Security DentalCare of New Jersey, Inc.	100%	Insurer
86-0517444 47708 AZ	United Dental Care of Arizona, Inc.	100%	Insurer
86-0631335 52032 CO	United Dental Care of Colorado, Inc.	100%	Insurer
38-2833988 11111 MI	United Dental Care of Michigan, Inc.	100%	Insurer
75-2481527 47044 MO	United Dental Care of Missouri, Inc.	100%	Insurer
86-0384270 47042 NM	United Dental Care of New Mexico, Inc.	100%	Insurer
75-2076282 95142 TX	United Dental Care of Texas, Inc.	100%	Insurer
75-2635404 95450 UT	United Dental Care of Utah, Inc.	100%	Insurer
38-1082080 80802 (CN)	Sun Life Assurance Company of Canada – Foreign Operations	100%	Insurer
	10851744 Canada Inc.	100%	Non-Insurer
	10851779 Canada Inc.	100%	Non-Insurer
	6965083 Canada Inc.	100%	Non-Insurer
	7037457 Canada Inc.	100%	Non-Insurer
	7647913 Canada Inc.	100%	Non-Insurer
	7647930 Canada Inc.	100%	Non-Insurer

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	Annemasse Boisbriand Holdings L.P.	1%	Non-Insurer
	8744831 Canada Inc.	100%	Non-Insurer
	SLCRE Top GP Inc.	100%	Non-Insurer
	90 Harbour Limited Partnership	30%	Non-Insurer
	One York Street Inc.	100%	Non-Insurer
	901 King West Nominee Inc.	50%	Non-Insurer
	Annemasse Boisbriand Holdings L.P.	99%	Non-Insurer
	Faubourg Boisbriand Shopping Centre G.P. Inc.	50%	Non-Insurer
	Faubourg Boisbriand Shopping Centre L.P.	49.999%	Non-Insurer
	Faubourg Boisbriand Shopping Centre Holdings Inc.	100%	Non-Insurer
	Bentall Kennedy High Yield Canadian Property Fund I Limited Partnership	27.51%	Non-Insurer
	BestServe Financial Limited	100%	Non-Insurer
	Canadian Environmental Energy Corporation	32.22%	Non-Insurer
	Chapais Electrique Limitée	100%	Non-Insurer
	Country Lane Enterprises Ltd.	100%	Non-Insurer
	Crescent Asia Limited	25%	Non-Insurer
	Global Online Financial Solutions Limited	100%	Non-Insurer
	Lifestyle Project Management Pte. Ltd.	100%	Non-Insurer
	Lifestyle Project Management Vietnam Company Limited	100%	Non-Insurer
	Hullmark Sun Life (100 Broadview) LP	50%	Non-Insurer
	Hullmark Sun Life (100 Broadview) Ltd.	50%	Non-Insurer
	Hullmark Sun Life (340 Dufferin) LP	50%	Non-Insurer
	Hullmark Sun Life (360 Dufferin) LP	50%	Non-Insurer
	Hullmark Sun Life (376 Dufferin) LP	50%	Non-Insurer
	Hullmark Sun Life (545 King) LP	50%	Non-Insurer
	Hullmark Sun Life (545 King) Ltd.	50%	Non-Insurer
	Hullmark Sun Life (619 Queen) LP	50%	Non-Insurer
	Hullmark Sun Life (619 Queen) Ltd.	50%	Non-Insurer
	Hullmark Sun Life (Atlantic) LP	50%	Non-Insurer
	Hullmark Sun Life (Atlantic) Ltd.	50%	Non-Insurer

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	Mississauga Executive Enterprises Limited	50%	Non-Insurer
	PT. Cakrawala Solusi Lintas	47.24%	Insurer
	PT. Sun Life Financial Indonesia	95.87%	Insurer
	PT. Sun Life Indonesia Services	100%	Non-Insurer
	PT. Cakrawala Solusi Lintas	52.76%	Insurer
	PT. Sun Life Financial Indonesia	4.13%	Insurer
	PVS Preferred Vision Services Inc.	40%	Non-Insurer
	SECLON Inc.	30%	Non-Insurer
	seclonLogic Inc.	30%	Non-Insurer
	SLF of Canada UK Limited	100%	Non-Insurer
	Sun Life Assurance Company of Canada (U.K.) Limited	100%	Insurer
	Barnwood Properties Limited	100%	Non-Insurer
	Sun Life of Canada UK Holdings Limited	100%	Non-Insurer
	Laurtrust Limited	100%	Non-Insurer
	SLFC Services Company (UK) Limited	100%	Non-Insurer
	Southpointe Common Corp.	33.33%	Non-Insurer
	Southpointe Plaza Inc.	33.33%	Non-Insurer
	Sun Life (India) AMC Investments Inc.	100%	Non-Insurer
	Aditya Birla Sun Life AMC Limited	49%	Non-Insurer
	Aditya Birla Sun Life AMC (Mauritius) Limited	100%	Non-Insurer
	Aditya Birla Sun Life Asset Management Company Ltd.	100%	Non-Insurer
	Aditya Birla Sun Life Asset Management Company Pte. Ltd.	100%	Non-Insurer
	India Advantage Fund Limited	100%	Non-Insurer
	Aditya Birla Sun Life Trustee Private Limited	49%	Non-Insurer
	Sun Life (India) Distribution Investments Inc.	100%	Non-Insurer
	Sun Life (Luxembourg) Finance No. 2 Sàrl	100%	Non-Insurer
	Sun Life Canadian Commercial Mortgage Fund	73.21%	Non-Insurer
	Sun Life Canadian RE GP Inc.	100%	Non-Insurer
	Sun Life Canadian Real Estate Fund	0.01%	Non-Insurer
	Sun Life Canadian Real Estate Fund	99.99%	Non-Insurer

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	8750840 Canada Inc.	100%	Non-Insurer
	Sun Life Capital Trust	100%	Non-Insurer
	Sun Life Capital Trust II	100%	Non-Insurer
	Sun Life Core Fixed Income Fund	99.99%	Non-Insurer
	Sun Life Everbright Life Insurance Company Limited	24.99%	Insurer
	Sun Life Everbright Asset Management Co., Ltd.	99%	Non-Insurer
	Sun Life Financial (India) Insurance Investments Inc.	100%	Non-Insurer
	Aditya Birla Sun Life Insurance Company Limited	49%	Insurer
	Aditya Birla Sun Life Pension Management Limited	100%	Non-Insurer
	Sun Life Financial Advisory Inc.	100%	Non-Insurer
	Sun Life Financial Asia Services Limited	100%	Non-Insurer
	Sun Life Financial Distributors (Bermuda) Ltd.	100%	Non-Insurer
	Sun Life Financial Distributors (Canada) Inc.	100%	Non-Insurer
	Sun Life Financial Investment Services (Canada) Inc.	100%	Non-Insurer
	Sun Life Financial Investments (Bermuda) Ltd.	100%	Non-Insurer
	Sun Life Financial of Canada (U.K.) Overseas Investments Limited	100%	Non-Insurer
	Sun Life of Canada (Netherlands) B.V.	50%	Non-Insurer
	Sun Life Financial Philippine Holding Company, Inc.	100%	Non-Insurer
	Sun Life Grepa Financial, Inc.	49%	Insurer
	Grepa Realty Holdings Corporation	51%	Non-Insurer
	Grepalife Asset Management Corporation	100%	Non-Insurer
	Grepalife Balanced Fund Corporation	66.24%	Non-Insurer
	Sun Life of Canada (Philippines), Inc.	100%	Insurer
	Sun Life Asset Management Company, Inc.	100%	Non-Insurer
	DECA Homebuilder Fund, Inc.	100%	Non-Insurer
	Sun Life Prosperity Achiever Fund 2028, Inc.	100%	Non-Insurer
	Sun Life Prosperity Achiever Fund 2038, Inc.	100%	Non-Insurer
	Sun Life Prosperity Achiever Fund 2048, Inc.	100%	Non-Insurer
	Sun Life Prosperity Dollar Starter Fund, Inc.	30.34%	Non-Insurer
	Sun Life Prosperity Dollar Wellspring Fund, Inc.	37.31%	Non-Insurer

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	Sun Life Prosperity Philippine Stock Index Fund, Inc.	25.56%	Non-Insurer
	Sun Life Prosperity World Voyager Fund, Inc.	25.07%	Non-Insurer
	Sun Life Financial Plans, Inc.	100%	Non-Insurer
	Sun Life Prosperity Dynamic Fund, Inc.	26.97%	Non-Insurer
	Sun Life Financial Trust Inc.	100%	Non-Insurer
	Sun Life Hong Kong Limited	100%	Insurer
	Sun Life Asset Management (HK) Limited	100%	Non-Insurer
	Sun Life Financial Holdings (HK) Limited	100%	Non-Insurer
	Sun Life Pension Trust Limited	20%	Non-Insurer
	Sun Life Hong Kong Services Limited	100%	Non-Insurer
	Sun Life Pension Trust Limited	20%	Non-Insurer
	Sun Life Investment Holdings (HK) Limited	100%	Non-Insurer
	Sun Life Pension Trust Limited	20%	Non-Insurer
	Sun Life Management Holdings (HK) Limited	100%	Non-Insurer
	Sun Life Pension Trust Limited	20%	Non-Insurer
	Sun Life Pension Trust Limited	20%	Non-Insurer
	Sun Life Trustee Company Limited	100%	Non-Insurer
	Sun Life India Service Centre Private Limited	100%	Non-Insurer
	Sun Life Information Services Canada, Inc.	100%	Non-Insurer
	Sun Life Information Services Ireland Limited	100%	Non-Insurer
	Sun Life Insurance (Canada) Limited	100%	Insurer
	SLI General Partner Limited	100%	Non-Insurer
	SLI Investments LP	0.1%	Non-Insurer
	SLI Investments LP	99.9%	Non-Insurer
	6425411 Canada Inc.	100%	Non-Insurer
	Sun Life Investments LLC	100%	Non-Insurer
	Sun Life Long Term Core Fixed Income Fund	99.99%	Non-Insurer
	Sun Life Malaysia Assurance Berhad	49%	Insurer
	Sun Life Malaysia Takaful Berhad	49%	Insurer
	Sun Life of Canada (Netherlands) B.V.	50%	Non-Insurer

STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 – ORGANIZATIONAL CHART**

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	Sun Life Private Fixed Income Plus Fund	14.82%	Non-Insurer
	Sun Life Vietnam Insurance Company Limited	100%	Insurer

## STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Required? (Y/N)	16 *
00549.....	Sun Life Financial Group.....	00000.....	98-0226074.....		0001097362	TSX, NYSE, PSE.....	Sun Life Financial Inc.....	CAN.....	UIP.....	Common Shareholders.....	Ownership.....	100.0	Common Shareholders.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362		0936543 BC Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362		10 Dundas Street Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362		10 Ronrose Drive GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362		10458465 Canada Inc.....	CAN.....	NIA.....	Sun Life Financial Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362		10458481 Canada Inc.....	CAN.....	NIA.....	Sun Life Financial Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362		10851744 Canada Inc.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362		10851779 Canada Inc.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362		1090 Pender Properties Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362		121 King Street West I GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362		121 King Street West II GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362		121 King Street West III GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362		121 King Street West Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362		13th Avenue SE GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362		13th Avenue SW GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362		1467 Bathurst Holdings Inc.....	CAN.....	NIA.....	BK Prime Ontario I GP Inc.....	Ownership.....	50.0	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362		1600 Rene-Levesque GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362		1600 Rene-Levesque Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362		1642 Merivale Road GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362		1687 Cliveden Avenue Holdings Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362		18 Parkshore Drive GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362		1855 and 1875 Buckhorn Gate GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362		2025 Willingdon Avenue Holdings Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362		2-8 St. Thomas Holdings Inc.....	CAN.....	NIA.....	2-8 St. Thomas Street GP Inc.....	Ownership.....	50.0	Sun Life Financial Inc.....	N.....	

## STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Required? (Y/N)	16 *
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	2-8 St. Thomas Street GP Inc..... 290-300 King George Road GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	16.1
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	.....	CAN.....	NIA.....	BK Prime GP Holdco Inc..... MFS Institutional Advisors, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	3060097 Nova Scotia Company.....	CAN.....	NIA.....	.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	5100 Spectrum Way GP Inc..... 52nd Street Business Centre GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	550 Burrard I GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	550 Burrard II GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	550 Burrard Street Ltd.....	CAN.....	NIA.....	0936543 BC Ltd.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	5825 Explorer Drive GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	5875 Explorer Drive GP Inc..... 600 de Maisonneuve Ltée/600 de Maisonneuve Ltd.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	.....	CAN.....	NIA.....	BK Prime Quebec I GP Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	6324983 Canada Inc.....	CAN.....	NIA.....	Sun Life Financial Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	6425411 Canada Inc.....	CAN.....	NIA.....	SLI Investments LP..... Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	6965083 Canada Inc.....	CAN.....	NIA.....	.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	7037457 Canada Inc.....	CAN.....	NIA.....	6965083 Canada Inc..... Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	715-725 Eaton Way Holdings Ltd.....	CAN.....	NIA.....	.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	7277733 Canada Inc.....	CAN.....	NIA.....	BK Prime Quebec I GP Inc..... Sun Life Assurance Company of Canada.....	Ownership.....	50.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	7647913 Canada Inc.....	CAN.....	NIA.....	.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	7647930 Canada Inc.....	CAN.....	NIA.....	.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	77 Metcalfe Street II GP Inc..... 787 Cliveden Place Holdings Ltd.....	CAN.....	NIA.....	BK Prime GP Holdco Inc..... Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	8400 River Road Holdings Ltd.....	CAN.....	NIA.....	.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	8744831 Canada Inc.....	CAN.....	NIA.....	.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	8750840 Canada Inc.....Fund.....	CAN.....	NIA.....	.....	Ownership.....	100.0.....	Sun Life Canadian Real Estate Inc..... Sun Life Financial Inc.....	N.....	

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00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		90 Harbour Limited Partnership.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	30.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		901 King West Nominee Inc.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	50.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Aditya Birla Sun Life AMC (Mauritius) Limited.....	MUS.....	NIA.....	Aditya Birla Sun Life AMC Limited.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Aditya Birla Sun Life AMC Limited.....	IND.....	NIA.....	Sun Life (India) AMC Investments Inc.....	Ownership.....	49.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Aditya Birla Sun Life Asset Management Company Ltd.....	ARE.....	NIA.....	Aditya Birla Sun Life AMC Limited.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Aditya Birla Sun Life Asset Management Company Pte. Ltd.....	SGP.....	NIA.....	Aditya Birla Sun Life AMC Limited.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Aditya Birla Sun Life Insurance Company Limited.....	IND.....	IA.....	Sun Life Financial (India) Insurance Investments Inc.....	Ownership.....	49.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Aditya Birla Sun Life Pension Management Limited.....	IND.....	NIA.....	Aditya Birla Sun Life Insurance Company Limited.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Aditya Birla Sun Life Trustee Private Limited.....	IND.....	NIA.....	Sun Life (India) AMC Investments Inc.....	Ownership.....	49.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Airport 407 Business Campus GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Annemasse Boisbriand Holdings L.P.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	99.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Annemasse Boisbriand Holdings L.P.....	CAN.....	NIA.....	7647930 Canada Inc.....	Ownership.....	1.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Barnwood Properties Limited.....	GBR.....	NIA.....	Sun Life Assurance Company of Canada (U.K.) Limited.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Bentall Kennedy (Canada) G.P. Ltd.....	CAN.....	NIA.....	BK Canada Holdings Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Bentall Kennedy (Canada) Limited Partnership.....	CAN.....	NIA.....	BK Canada Holdings Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Bentall Kennedy (Canada) Limited Partnership.....	CAN.....	NIA.....	Bentall Kennedy (Canada) G.P. Ltd.....	Ownership.....	0.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Bentall Kennedy (Luxembourg) G.P. Sarl.....	LUX.....	NIA.....	Bentall Kennedy Luxembourg Holdings Ltd.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	20-5270592.....		0001097362.....		Bentall Kennedy (U.S.) G.P. LLC.....	WA.....	NIA.....	Sun Life Investment Management U.S., Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	20-5300937.....		0001097362.....		Bentall Kennedy (U.S.) Limited Partnership.....	WA.....	NIA.....	Sun Life Investment Management U.S., Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	20-5300937.....		0001097362.....		Bentall Kennedy (U.S.) Limited Partnership.....	WA.....	NIA.....	Bentall Kennedy (U.S.) G.P. LLC.....	Ownership.....	0.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	81-4733550.....		0001097362.....		Bentall Kennedy Daily Value Fund GP, LLC.....	DE.....	NIA.....	NewTower Trust Company.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Bentall Kennedy High Yield Canadian Property Fund I Limited Partnership.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	27.5.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Bentall Kennedy High Yield Canadian Property Fund I Limited Partnership.....	CAN.....	NIA.....	BKHY Fund I GP Limited Partnership.....	Ownership.....	0.0.....	Sun Life Financial Inc.....	N.....	

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00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Bentall Kennedy Holdings (Canada) Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Bentall Kennedy Holdings (U.S.) Inc.....	CA.....	NIA.....	Bentall Kennedy Holdings (Canada) Ltd.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	32-0466479.....		0001097362.....		Bentall Kennedy Holdings LLC.....	DE.....	NIA.....	Bentall Kennedy (U.S.) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Bentall Kennedy Luxembourg Holdings Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Bentall Kennedy Prime Canadian Property Fund GP Inc.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Bentall Kennedy Prime Canadian Property Pool GP Inc.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Bentall Kennedy U.S. Strategic Value Fund GP, L.L.C.....	DE.....	NIA.....	Bentall Kennedy (U.S.) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	81-3502546.....		0001097362.....		Bentall Kennedy U.S. Strategic Value Fund, L.P.....	DE.....	NIA.....	Sun Life Financial (U.S.) Investments LLC.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	81-3468348.....		0001097362.....		Bentall Kennedy U.S. Strategic Value Fund, L.P.....	DE.....	NIA.....	Bentall Kennedy U.S. Strategic Value Fund GP, L.L.C.....	Ownership.....	0.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	81-3468348.....		0001097362.....		Bentall Property Fund VI General Partner Inc.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Bentall Property Fund VI Holdings Inc.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Bentall Property Services (Ontario) Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		BestServe Financial Limited.....	HKG.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		BK Canada Holdings Inc.....	CAN.....	NIA.....	Sun Life Global Investments Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		BK Prime Alberta I GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		BK Prime British Columbia I GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		BK Prime False Creek Residences GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		BK Prime False Creek Residences Holdings Ltd.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		BK Prime Foothills North GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		BK Prime GP Holdco Inc.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		BK Prime Kelowna Property Holdings GP Inc.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		BK Prime Ontario I GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		BK Prime Quebec I GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	

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00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		BKC Capital Inc.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		BKC Condominium Services Inc.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		BKCG Services Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		BKCP Residential G.P. LTD.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		BKCP Residential Limited Partnership.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		BKCP Residential Limited Partnership.....	CAN.....	NIA.....	BKCP Residential G.P. LTD.....	Ownership.....	0.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		BKHY Fund I GP Inc.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		BKHY Fund I GP Limited Partnership.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		BKHY Fund I GP Limited Partnership.....	CAN.....	NIA.....	BKHY Fund I GP Inc.....	Ownership.....	0.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		BKUS Institutional Logistics Coinvestment LLC.....	CA.....	NIA.....	Bentall Kennedy (U.S.) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	90-0925083.....		0001097362.....		Canadian Environmental Energy Corporation.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	32.2.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Capilano Business Park Holdings Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Capilano Business Park II GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		CareLife Fleetwood GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		CareLife Maple Ridge GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Champlain Square GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Chapais Electrique Limitée.....	CAN.....	NIA.....	Canadian Environmental Energy Corporation.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Churchill 403 Business Campus GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Country Lane Enterprises Ltd.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Crescent Asia Limited.....	VGB.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	25.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	26-4312923.....		0001097362.....		DailyFeats, Inc.....	DE.....	NIA.....	Sun Life of Canada (U.S.) Holdings, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Dalhousie Station GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		DECA Homebuilder Fund, Inc.....	PHL.....	NIA.....	Sun Life Asset Management Company, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	13-3830846.....		0001097362.....		Dental Health Alliance, LLC.....	DE.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	Y.....	

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00549.....	Sun Life Financial Group.....	00000.....	59-3063687.....		0001097362.....		Denticare of Alabama, Inc.....	AL.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	01-0483086.....		0001097362.....		Disability Reinsurance Management Services, Inc.....	DE.....	NIA.....	Sun Life of Canada (U.S.) Holdings, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Doyle Avenue Holdings Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Eastgate Square GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Excel Funds Management Inc.....	CAN.....	NIA.....	Sun Life Global Investments (Canada) Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Excel Investment Counsel Inc.....	CAN.....	NIA.....	Sun Life Global Investments (Canada) Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Faubourg Boisbriand Shopping Centre G.P. Inc.....	CAN.....	NIA.....	Annemasse Boisbriand Holdings L.P.....	Ownership.....	50.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Faubourg Boisbriand Shopping Centre Holdings Inc.....	CAN.....	NIA.....	Faubourg Boisbriand Shopping Centre L.P.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Faubourg Boisbriand Shopping Centre L.P.....	CAN.....	NIA.....	Annemasse Boisbriand Holdings L.P.....	Ownership.....	50.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Gateway Business Campus GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Global Online Financial Solutions Limited.....	VGB.....	NIA.....	Crescent Asia Limited.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Gepa Realty Holdings Corporation.....	PHL.....	NIA.....	Sun Life Gepa Financial, Inc.....	Ownership.....	51.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Gepalife Asset Management Corporation.....	PHL.....	NIA.....	Sun Life Gepa Financial, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Gepalife Balanced Fund Corporation.....	PHL.....	NIA.....	Sun Life Gepa Financial, Inc.....	Ownership.....	66.2.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		H Business Park (A&B) GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		H Business Park (G&H) GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Hazeldean Mall GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		High Plains Boulevard Holdings Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Hillside Centre Holdings Inc.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Hillside Centre I GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Hillside Centre II GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Hullmark Sun Life (100 Broadview) LP.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	50.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Hullmark Sun Life (100 Broadview) Ltd.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	50.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Hullmark Sun Life (340 Dufferin) LP.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	50.0.....	Sun Life Financial Inc.....	N.....	

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00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Hullmark Sun Life (360 Dufferin) LP..... Hullmark Sun Life (376 Dufferin) LP.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada..... Sun Life Assurance Company of Canada.....	Ownership.....	50.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Hullmark Sun Life (545 King) LP..... Hullmark Sun Life (545 King) Ltd.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada..... Sun Life Assurance Company of Canada.....	Ownership.....	50.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Hullmark Sun Life (619 Queen) LP..... Hullmark Sun Life (619 Queen) Ltd.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada..... Sun Life Assurance Company of Canada.....	Ownership.....	50.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Hullmark Sun Life (Atlantic) LP..... Hullmark Sun Life (Atlantic) Ltd.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada..... Sun Life Assurance Company of Canada.....	Ownership.....	50.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Independence Life and Annuity Company.....	DE.....	IA.....	Sun Life of Canada (U.S.) Holdings, Inc..... Aditya Birla Sun Life AMC Limited.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		India Advantage Fund Limited..... Kennedy Management Investors LLC - Series IX.....	MUS.....	NIA.....	Bentall Kennedy (U.S.) Limited.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	27-0411285.....		0001097362.....		Kennedy Management Investors LLC - Series VI..... Kennedy Management Investors LLC - Series VII.....	DE.....	NIA.....	Bentall Kennedy (U.S.) Limited Partnership.....	Ownership.....	67.8.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	27-0410086.....		0001097362.....		Kennedy Management Investors LLC - Series XI.....	DE.....	NIA.....	Bentall Kennedy (U.S.) Limited Partnership.....	Ownership.....	29.2.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	27-0410216.....		0001097362.....		Lakeshore Streetfront Retail Properties GP Inc..... Lakeshore Streetfront Retail Properties II GP Inc.....	DE.....	NIA.....	Bentall Kennedy (U.S.) Limited Partnership.....	Ownership.....	52.2.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Landmark Dental Alliance, Inc.....	DE.....	NIA.....	Bentall Kennedy (U.S.) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Laurtrust Limited..... Lifestyle Project Management Pte. Ltd.....	DE.....	NIA.....	Bentall Kennedy (U.S.) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Lifestyle Project Management Vietnam Company Limited.....	DE.....	NIA.....	Bentall Kennedy (U.S.) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	04-2747644.....		0001097362.....		Massachusetts Financial Services Company.....	DE.....	NIA.....	Bentall Kennedy (U.S.) Limited Partnership.....	Ownership.....	94.7.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		MFS Bermuda Holdings Ltd.....	DE.....	NIA.....	Bentall Kennedy (U.S.) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		MFS Development Funds, LLC.....	DE.....	NIA.....	Bentall Kennedy (U.S.) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc..... Sun Life Financial Inc.....	N.....	

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00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		MFS do Brasil Desenvolvimento de Mercado Ltda.....	BRA.....NIA.....	MFS International Ltd.....	Ownership.....	100.0.....	Sun Life Financial Inc.....		N.....	
00549.....	Sun Life Financial Group.....	00000.....	04-2747644.....		0001097362.....		MFS Exchange LLC.....	DE.....NIA.....	Massachusetts Financial Services Company.....	Ownership.....	100.0.....	Sun Life Financial Inc.....		N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		MFS Financial Management Consulting (Shanghai) Co., Ltd..	CHN.....NIA.....	MFS International Holdings Pty Ltd.....	Ownership.....	100.0.....	Sun Life Financial Inc.....		N.....	
00549.....	Sun Life Financial Group.....	00000.....	04-3169826.....		0001097362.....		MFS Fund Distributors, Inc.....	DE.....NIA.....	Massachusetts Financial Services Company.....	Ownership.....	100.0.....	Sun Life Financial Inc.....		N.....	
00549.....	Sun Life Financial Group.....	00000.....	02-0507414.....		0001097362.....		MFS Heritage Trust Company.....	NH.....NIA.....	Massachusetts Financial Services Company.....	Ownership.....	100.0.....	Sun Life Financial Inc.....		N.....	
00549.....	Sun Life Financial Group.....	00000.....	04-3247425.....		0001097362.....		MFS Institutional Advisors, Inc.....	DE.....NIA.....	Massachusetts Financial Services Company.....	Ownership.....	100.0.....	Sun Life Financial Inc.....		N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		MFS International (Chile) SpA.....	CHL.....NIA.....	MFS International Ltd.....	Ownership.....	100.0.....	Sun Life Financial Inc.....		N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		MFS International (Hong Kong) Limited.....	HKG.....NIA.....	MFS International Ltd.....	Ownership.....	100.0.....	Sun Life Financial Inc.....		N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		MFS International (U.K.) Limited.....	GBR.....NIA.....	MFS International Holdings Pty Ltd.....	Ownership.....	100.0.....	Sun Life Financial Inc.....		N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		MFS International Australia Pty Ltd.....	AUS.....NIA.....	MFS International Holdings Pty Ltd.....	Ownership.....	100.0.....	Sun Life Financial Inc.....		N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		MFS International Holdings Pty Ltd.....	AUS.....NIA.....	MFS International Ltd.....	Ownership.....	100.0.....	Sun Life Financial Inc.....		N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		MFS International Ltd.....	BMU.....NIA.....	Massachusetts Financial Services Company.....	Ownership.....	100.0.....	Sun Life Financial Inc.....		N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		MFS International Singapore Pte. Ltd.....	SGP.....NIA.....	MFS International Holdings Pty Ltd.....	Ownership.....	100.0.....	Sun Life Financial Inc.....		N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		MFS International Switzerland GmbH.....	CHE.....NIA.....	MFS International (U.K.) Limited.....	Ownership.....	100.0.....	Sun Life Financial Inc.....		N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		MFS Investment Management Canada Limited.....	CAN.....NIA.....	MFS Institutional Advisors, Inc.....	Ownership.....	85.0.....	Sun Life Financial Inc.....		N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		MFS Investment Management Canada Limited.....	CAN.....NIA.....	3060097 Nova Scotia Company.....	Ownership.....	15.0.....	Sun Life Financial Inc.....		N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		MFS Investment Management Company (LUX) S.à.r.l.....	LUX.....NIA.....	MFS International Holdings Pty Ltd.....	Ownership.....	100.0.....	Sun Life Financial Inc.....		N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		MFS Investment Management K.K.....	JPN.....NIA.....	MFS International Holdings Pty Ltd.....	Ownership.....	100.0.....	Sun Life Financial Inc.....		N.....	
00549.....	Sun Life Financial Group.....	00000.....	04-2864897.....		0001097362.....		MFS Service Center, Inc.....	DE.....NIA.....	Massachusetts Financial Services Company.....	Ownership.....	100.0.....	Sun Life Financial Inc.....		N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Milton Mall GP Inc.....	CAN.....NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....		N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Milton Mall II GP Inc.....	CAN.....NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....		N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Mission Hill GP Inc.....	CAN.....NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....		N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Mississauga Executive Enterprises Limited.....	CAN.....NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	50.0.....	Sun Life Financial Inc.....		N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Morehead Parking Facility, LLC.....	NC.....NIA.....	Sun 521 Morehead, LLC.....	Ownership.....	50.0.....	Sun Life Financial Inc.....		N.....	

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00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		NewTower Management GP LLC.....	DE.....	NIA.....	NewTower Trust Company.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		NewTower Management LLC.....	DE.....	NIA.....	NewTower Trust Company.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	30-0872552.....		0001097362.....		NewTower Trust Company.....	MD.....	NIA.....	Rushmore Partners LLC.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		One York Street Inc.....	CAN.....	NIA.....	90 Harbour Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		P&T Shopping Centre Holdings Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Parkgate Village Holdings Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		PCDL (St-Laurent Portfolio) GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Pearson Corporate Centre GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Penreal Property Fund V General Partner Inc.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Penreal Property Fund V Holdings Inc.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		PenRetail Management Limited Partnership.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		PenRetail Management Limited Partnership.....	CAN.....	NIA.....	PenRetail Management Ltd.....	Ownership.....	0.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		PenRetail Management Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Peterborough Retail Portfolio GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		PG Village Shopping Centre GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Precidio Court and Corporation Drive GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	91-1435190.....		0001097362.....		Prime Advisors, Inc.....	WA.....	NIA.....	Sun Life Investment Management U.S., Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	68047.....	59-0411385.....		0001097362.....		Professional Insurance Company.....	TX.....	IA.....	Sun Life of Canada (U.S.) Holdings, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		PT. Cakrawala Solusi Lintas.....	IDN.....	IA.....	PT. Sun Life Indonesia Services.....	Ownership.....	52.8.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		PT. Cakrawala Solusi Lintas.....	IDN.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	47.2.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		PT. Sun Life Financial Indonesia.....	IDN.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	95.9.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		PT. Sun Life Financial Indonesia.....	IDN.....	IA.....	PT. Sun Life Indonesia Services.....	Ownership.....	4.1.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		PT. Sun Life Indonesia Services.....	IDN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		PVS Preferred Vision Services Inc.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	40.0.....	Sun Life Financial Inc.....	N.....	

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00549.....	Sun Life Financial Group.....	00000.....	20-1641876.....		0001097362.....		Rushmore Partners LLC.....	DE.....	NIA.....	Bentall Kennedy Holdings LLC.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	47-3503928.....		0001097362.....		Ryan Labs Asset Management Inc.....	DE.....	NIA.....	Sun Life Investment Management U.S., Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Ryan Labs Fund Management, LLC.....	DE.....	NIA.....	Ryan Labs Asset Management Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Ryan Labs TIPS Partners, L.P.....	DE.....	NIA.....	Ryan Labs Fund Management, LLC.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		SECLON Inc.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	30.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		secionLogic Inc.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	30.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	20-8998687.....		0001097362.....		SL Finance 2007-1, Inc.....	DE.....	NIA.....	Sun Life Financial Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		SL Investment 2007-1 ULC.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	26-4183712.....		0001097362.....		SL Investment US-RE Holdings 2009-1, Inc.....	DE.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		SLCRE Top GP Inc.....	CAN.....	NIA.....	8744831 Canada Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		SLF of Canada UK Limited.....	GBR.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		SLFC Services Company (UK) Limited.....	GBR.....	NIA.....	Sun Life of Canada UK Holdings Limited.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		SLI General Partner Limited.....	CAN.....	NIA.....	Sun Life Insurance (Canada) Limited.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		SLI Investments LP.....	CAN.....	NIA.....	Sun Life Insurance (Canada) Limited.....	Ownership.....	99.9.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		SLI Investments LP.....	CAN.....	NIA.....	SLI General Partner Limited.....	Ownership.....	0.1.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	45-2424030.....		0001097362.....		Solidify Software, LLC.....	KS.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	Y.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Southpointe Common Corp.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	33.3.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Southpointe Plaza Inc.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	33.3.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun 521 Morehead, LLC.....	DE.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	Y.....	
00549.....	Sun Life Financial Group.....	00000.....	04-3292937.....		0001097362.....		Sun Canada Financial Co.....	DE.....	NIA.....	Sun Life of Canada (U.S.) Holdings, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life (India) AMC Investments Inc.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life (India) Distribution Investments Inc.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life (Luxembourg) Finance No. 1 Sàrl.....	LUX.....	NIA.....	Sun Life 2007-1 Financing Corp.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	

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00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life (Luxembourg) Finance No. 2 Sàrl.....	LUX.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life 2007-1 Financing Corp.....	CAN.....	NIA.....	Sun Life Global Investments Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	06-1435452.....		0001097362.....		Sun Life Administrators (U.S.), Inc.....	DE.....	NIA.....	Sun Life of Canada (U.S.) Holdings, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	80926.....	06-0893662.....		0001097362.....		Sun Life and Health Insurance Company (U.S.).....	MI.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	Y.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Asset Management (HK) Limited.....	HKG.....	NIA.....	Sun Life Hong Kong Limited.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Asset Management Company, Inc.....	PHL.....	NIA.....	Sun Life of Canada (Philippines), Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	80802.....	38-1082080.....		0001097362.....		Sun Life Assurance Company of Canada.....	CAN.....	UDP.....	Sun Life Financial Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	04-3401283.....		0001097362.....		Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc.....	DE.....	NIA.....	Sun Life 2007-1 Financing Corp.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Assurance Company of Canada (Barbados) Limited.....	BRB.....	IA.....	Sun Life Financial Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Assurance Company of Canada (U.K.) Limited.....	GBR.....	IA.....	SLF of Canada UK Limited.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Canadian Commercial Mortgage Fund.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	73.2.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Canadian Commercial Mortgage Fund.....	CAN.....	NIA.....	Sun Life Financial Inc.....	Ownership.....	26.8.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Canadian Commercial Mortgage Fund.....	CAN.....	NIA.....	Sun Life Canadian Commercial Mortgage GP Inc.....	Ownership.....	0.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Canadian Commercial Mortgage GP Inc.....	CAN.....	NIA.....	Sun Life Institutional Investments (Canada) Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Canadian RE GP Inc.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Canadian Real Estate Fund.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Canadian Real Estate Fund.....	CAN.....	NIA.....	Sun Life Canadian RE GP Inc.....	Ownership.....	0.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Capital Trust.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Capital Trust II.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Core FI GP Inc.....	CAN.....	NIA.....	Sun Life Institutional Investments (Canada) Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Core Fixed Income Fund.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Core Fixed Income Fund.....	CAN.....	NIA.....	Sun Life Core FI GP Inc.....	Ownership.....	0.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Everbright Asset Management Co., Ltd.....	CHN.....	NIA.....	Sun Life Everbright Life Insurance Company Limited.....	Ownership.....	99.0.....	Sun Life Financial Inc.....	N.....	

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00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Everbright Life Insurance Company Limited.....	.CHN.....	.IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	25.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Financial (Bermuda) Reinsurance Ltd.....	.BMU.....	.IA.....	Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Financial (India) Insurance Investments Inc.....	.CAN.....	.NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	04-3526207.....		0001097362.....		Sun Life Financial (Japan), Inc.....	.DE.....	.NIA.....	Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Financial (U.S.) Delaware Finance, LLC.....	.DE.....	.NIA.....	Sun Life of Canada (U.S.) Holdings, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	04-3574531.....		0001097362.....		Sun Life Financial (U.S.) Finance, Inc.....	.DE.....	.NIA.....	Sun Life Financial (U.S.) Holdings, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	04-3579262.....		0001097362.....		Sun Life Financial (U.S.) Holdings, Inc.....	.DE.....	.NIA.....	Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Financial (U.S.) Investments LLC.....	.DE.....	.NIA.....	Sun Life Financial (U.S.) Holdings, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	13051.....	26-1292779.....		0001097362.....		Sun Life Financial (U.S.) Reinsurance Company.....	.VT.....	.IA.....	Sun Life of Canada (U.S.) Holdings, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	15325.....	46-1499557.....		0001097362.....		Sun Life Financial (U.S.) Reinsurance Company II.....	.DE.....	.IA.....	Independence Life and Annuity Company.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	Y.....	
00549.....	Sun Life Financial Group.....	00000.....	26-3730703.....		0001097362.....		Sun Life Financial (U.S.) Services Company, Inc.....	.DE.....	.NIA.....	Sun Life of Canada (U.S.) Holdings, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Financial Advisory Inc.....	.CAN.....	.NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Financial Asia Services Limited.....	.HKG.....	.NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Financial Distributors (Bermuda) Ltd.....	.BMU.....	.NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Financial Distributors (Canada) Inc.....	.CAN.....	.NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	04-2470476.....		0001097362.....		Sun Life Financial Distributors, Inc.....	.DE.....	.NIA.....	Sun Life Financial (U.S.) Holdings, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Financial Holdings (HK) Limited.....	.HKG.....	.NIA.....	Sun Life Hong Kong Limited.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Financial International Holdings (MC), LLC.....	.DE.....	.NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Financial Investment Services (Canada) Inc.....	.CAN.....	.NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Financial Investments (Bermuda) Ltd.....	.BMU.....	.NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Financial of Canada (U.K.) Overseas Investments Limited.....	.GBR.....	.NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	

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00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Financial Philippine Holding Company, Inc.....	PHL.....	NIA.....	Sun Life of Canada (Netherlands) B.V.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Financial Plans, Inc.....	PHL.....	NIA.....	Sun Life of Canada (Philippines), Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Financial Trust Inc.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Global Investments (Canada) Inc.....	CAN.....	NIA.....	Sun Life Global Investments Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Global Investments Inc.....	CAN.....	NIA.....	Sun Life Financial Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Grepa Financial, Inc.....	PHL.....	IA.....	Sun Life Financial Philippine Holding Company, Inc.....	Ownership.....	49.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Hong Kong Limited.....	BMU.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Hong Kong Services Limited.....	HKG.....	NIA.....	Sun Life Hong Kong Limited.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life India Service Centre Private Limited.....	IND.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Information Services Canada, Inc.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Information Services Ireland Limited.....	IRL.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	61-1723752.....		0001097362.....		Sun Life Institutional Distributors (U.S.) LLC.....	DE.....	NIA.....	Sun Life Financial (U.S.) Investments LLC.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Institutional Investments (Canada) Inc.....	CAN.....	NIA.....	Sun Life Global Investments Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	04-3132283.....		0001097362.....		Sun Life Institutional Investments (U.S.) LLC.....	DE.....	NIA.....	Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Insurance (Canada) Limited.....	CAN.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Investment Holdings (HK) Limited.....	HKG.....	NIA.....	Sun Life Hong Kong Limited.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	68-0635051.....		0001097362.....		Sun Life Investment Management U.S., Inc.....	DE.....	NIA.....	Sun Life Financial (U.S.) Investments LLC.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Investments LLC.....	DE.....	NIA.....	6425411 Canada Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Long Term Core FI GP Inc.....	CAN.....	NIA.....	Sun Life Institutional Investments (Canada) Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Long Term Core Fixed Income Fund.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Long Term Core Fixed Income Fund.....	CAN.....	NIA.....	Sun Life Long Term Core FI GP Inc.....	Ownership.....	0.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Long Term PPIP GP Inc.....	CAN.....	NIA.....	Sun Life Institutional Investments (Canada) Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Malaysia Assurance Berhad.....	MYS.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	49.0.....	Sun Life Financial Inc.....	N.....	

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00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	Sun Life Malaysia Takaful Berhad.....	MYS.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	49.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	Sun Life Management Holdings (HK) Limited.....	HKG.....	NIA.....	Sun Life Hong Kong Limited.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	Sun Life of Canada (Netherlands) B.V.....	NLD.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	50.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	Sun Life of Canada (Netherlands) B.V.....	NLD.....	NIA.....	Sun Life Financial of Canada (U.K.) Overseas Investments Limited.....	Ownership.....	50.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	Sun Life of Canada (Philippines), Inc.....	PHL.....	IA.....	Sun Life of Canada (Netherlands) B.V.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	04-3401285.....	.....	0001097362.....	.....	Sun Life of Canada (U.S.) Financial Services Holdings, Inc.....	DE.....	NIA.....	Sun Life Financial (U.S.) Investments LLC.....	Ownership.....	99.9.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	04-3365782.....	.....	0001097362.....	.....	Sun Life of Canada (U.S.) Holdings, Inc.....	DE.....	NIA.....	Sun Life Financial (U.S.) Investments LLC.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	Sun Life of Canada International Assurance Limited.....	BRB.....	IA.....	Sun Life Financial Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	Sun Life of Canada UK Holdings Limited.....	GBR.....	NIA.....	SLF of Canada UK Limited.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	Sun Life Pension Trust Limited.....	HKG.....	NIA.....	Sun Life Financial Holdings (HK) Limited.....	Ownership.....	20.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	Sun Life Pension Trust Limited.....	HKG.....	NIA.....	Sun Life Hong Kong Limited.....	Ownership.....	20.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	Sun Life Pension Trust Limited.....	HKG.....	NIA.....	Sun Life Hong Kong Services Limited.....	Ownership.....	20.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	Sun Life Pension Trust Limited.....	HKG.....	NIA.....	Sun Life Investment Holdings (HK) Limited.....	Ownership.....	20.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	Sun Life Pension Trust Limited.....	HKG.....	NIA.....	Sun Life Management Holdings (HK) Limited.....	Ownership.....	20.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	Sun Life Pension Trust Limited.....	HKG.....	NIA.....	Sun Life Institutional Investments (Canada) Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	Sun Life Private Fixed Income Plus Fund.....	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	14.8.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	Sun Life Private Fixed Income Plus Fund.....	CAN.....	NIA.....	Sun Life Financial Inc.....	Ownership.....	4.4.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	Sun Life Private Fixed Income Plus Fund.....	CAN.....	NIA.....	Sun Life PFIP GP Inc.....	Ownership.....	0.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	Sun Life Prosperity Achiever Fund 2028, Inc.....	PHL.....	NIA.....	Sun Life Asset Management Company, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	Sun Life Prosperity Achiever Fund 2038, Inc.....	PHL.....	NIA.....	Sun Life Asset Management Company, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	Sun Life Prosperity Achiever Fund 2048, Inc.....	PHL.....	NIA.....	Sun Life Asset Management Company, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	Sun Life Prosperity Dollar Starter Fund, Inc.....	PHL.....	NIA.....	Sun Life Asset Management Company, Inc.....	Ownership.....	30.3.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	.....	.....	0001097362.....	.....	Sun Life Prosperity Dollar Wellspring Fund, Inc.....	PHL.....	NIA.....	Sun Life Asset Management Company, Inc.....	Ownership.....	37.3.....	Sun Life Financial Inc.....	N.....	

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00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Prosperity Dynamic Fund, Inc.....	PHL.....	NIA.....	Sun Life of Canada (Philippines), Inc.....	Ownership.....	27.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Prosperity Philippine Stock Index Fund, Inc.....	PHL.....	NIA.....	Sun Life Asset Management Company, Inc.....	Ownership.....	25.6.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Prosperity World Voyager Fund, Inc.....	PHL.....	NIA.....	Sun Life Asset Management Company, Inc.....	Ownership.....	25.1.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Short Term PFIP GP Inc.....	CAN.....	NIA.....	Sun Life Institutional Investments (Canada) Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Trustee Company Limited.....	HKG.....	NIA.....	Sun Life Hong Kong Limited.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Sun Life Vietnam Insurance Company Limited.....	VNM.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		SVF Latham Square Owner, LLC.....	DE.....	NIA.....	SVF Latham Square Reit, LLC.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		SVF Latham Square Reit, LLC.....	DE.....	NIA.....	Bentall Kennedy U.S. Strategic Value Fund, L.P.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		SVF Latham Square, LLC.....	DE.....	NIA.....	Bentall Kennedy U.S. Strategic Value Fund GP, L.L.C.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		SVF REIT Manager, LLC.....	DE.....	NIA.....	Bentall Kennedy U.S. Strategic Value Fund GP, L.L.C.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		SynchroSERV Inc.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		SynchroSERV Limited Partnership.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		SynchroSERV Limited Partnership.....	CAN.....	NIA.....	SynchroSERV Inc.....	Ownership.....	0.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Ten 201 Jasper Avenue Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Ten 303 Jasper Avenue Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	41-1694906.....		0001097362.....		The Premier Dental Group, Inc.....	MN.....	NIA.....	Sun Life of Canada (U.S.) Holdings, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	33-0360239.....		0001097362.....		UDC Dental California, Inc.....	CA.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	Y.....	
00549.....	Sun Life Financial Group.....	52022.....	74-2609036.....		0001097362.....		UDC Ohio, Inc.....	OH.....		Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	Y.....	
00549.....	Sun Life Financial Group.....	00000.....	58-1099945.....		0001097362.....		Union Security DentalCare of Georgia, Inc.....	GA.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	11244.....	52-1565653.....		0001097362.....		Union Security DentalCare of New Jersey, Inc.....	NJ.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	Y.....	
00549.....	Sun Life Financial Group.....	47708.....	86-0517444.....		0001097362.....		United Dental Care of Arizona, Inc.....	AZ.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	Y.....	
00549.....	Sun Life Financial Group.....	52032.....	86-0631335.....		0001097362.....		United Dental Care of Colorado, Inc.....	CO.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	Y.....	
00549.....	Sun Life Financial Group.....	11111.....	38-2833988.....		0001097362.....		United Dental Care of Michigan, Inc.....	MI.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	Y.....	

## STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Required? (Y/N)	16 *
00549.....	Sun Life Financial Group.....	47044.....	75-2481527.....		0001097362.....		United Dental Care of Missouri, Inc.....	MO.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	Y.....	
00549.....	Sun Life Financial Group.....	47042.....	86-0384270.....		0001097362.....		United Dental Care of New Mexico, Inc.....	NM.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	Y.....	
00549.....	Sun Life Financial Group.....	95142.....	75-2076282.....		0001097362.....		United Dental Care of Texas, Inc.....	TX.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	Y.....	
00549.....	Sun Life Financial Group.....	95450.....	75-2635404.....		0001097362.....		United Dental Care of Utah, Inc.....	UT.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	Y.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Viceroy Road Portfolio GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....	20-5189428.....		0001097362.....		Vuzion, Inc.....	MN.....	NIA.....	The Premier Dental Group, Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		West Oaks Mall Ltd.....	CAN.....	NIA.....	WO Mall GP Inc.....	Ownership.....	50.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		West Pender I GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		West Pender II GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		West Pender III GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Westpen 10 Dundas GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Westpen 10201 Jasper Avenue GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Westpen 10303 Jasper Avenue GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Westpen North Hill GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		White Oaks Mall Holdings Ltd.....	CAN.....	NIA.....	Bentali Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		White Oaks Mall I GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		White Oaks Mall II GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		WO Mall GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Yellowhead Crossing Development Ltd.....	CAN.....	NIA.....	Yellowhead Crossing II GP Inc.....	Ownership.....	50.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Yellowhead Crossing Development Ltd.....	CAN.....	NIA.....	Yellowhead Crossing III GP Inc.....	Ownership.....	50.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Yellowhead Crossing I GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Yellowhead Crossing II GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	
00549.....	Sun Life Financial Group.....	00000.....			0001097362.....		Yellowhead Crossing III GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0.....	Sun Life Financial Inc.....	N.....	

STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

Asterisk	Explanation

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

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RESPONSE

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

.....SEE EXPLANATION.....

**Explanation:**

1. Business not written.

**Bar Code:**

**OVERFLOW PAGE FOR WRITE-INS**

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**STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.**

**SCHEDULE A – VERIFICATION**

**Real Estate**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	0	0
2.2 Additional investment made after acquisition.....	0	0
3. Current year change in encumbrances.....	0	0
4. Total gain (loss) on disposals.....	0	0
5. Deduct amounts received on disposals.....	0	0
6. Total foreign exchange change in book/adjusted carrying value.....	0	0
7. Deduct current year's other-than-temporary impairment recognized.....	0	0
8. Deduct current year's depreciation.....	0	0
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8).....	0	0
10. Deduct total nonadmitted amounts.....	0	0
11. Statement value at end of current period (Line 9 minus Line 10).....	0	0

**NONE**

**SCHEDULE B – VERIFICATION**

**Mortgage Loans**

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	0	0
2.2 Additional investment made after acquisition.....	0	0
3. Capitalized deferred interest and other.....	0	0
4. Accrual of discount.....	0	0
5. Unrealized valuation increase (decrease).....	0	0
6. Total gain (loss) on disposals.....	0	0
7. Deduct amounts received on disposals.....	0	0
8. Deduct amortization of premium and mortgage interest points and commitment fees.....	0	0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....	0	0
10. Deduct current year's other-than-temporary impairment recognized.....	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Total valuation allowance.....	0	0
13. Subtotal (Line 11 plus Line 12).....	0	0
14. Deduct total nonadmitted amounts.....	0	0
15. Statement value at end of current period (Line 13 minus Line 14).....	0	0

**NONE**

**SCHEDULE BA – VERIFICATION**

**Other Long-Term Invested Assets**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	0	0
2.2 Additional investment made after acquisition.....	0	0
3. Capitalized deferred interest and other.....	0	0
4. Accrual of discount.....	0	0
5. Unrealized valuation increase (decrease).....	0	0
6. Total gain (loss) on disposals.....	0	0
7. Deduct amounts received on disposals.....	0	0
8. Deduct amortization of premium and depreciation.....	0	0
9. Total foreign exchange change in book/adjusted carrying value.....	0	0
10. Deduct current year's other-than-temporary impairment recognized.....	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Deduct total nonadmitted amounts.....	0	0
13. Statement value at end of current period (Line 11 minus Line 12).....	0	0

**NONE**

**SCHEDULE D – VERIFICATION**

**Bonds and Stocks**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	249,837	199,937
2. Cost of bonds and stocks acquired.....	60,089	189,746
3. Accrual of discount.....	56	163
4. Unrealized valuation increase (decrease).....	0	0
5. Total gain (loss) on disposals.....	0	0
6. Deduct consideration for bonds and stocks disposed of.....	60,000	140,000
7. Deduct amortization of premium.....	25	9
8. Total foreign exchange change in book/adjusted carrying value.....	0	0
9. Deduct current year's other-than-temporary impairment recognized.....	0	0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10).....	249,957	249,837
12. Deduct total nonadmitted amounts.....	0	0
13. Statement value at end of current period (Line 11 minus Line 12).....	249,957	249,837

STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a).....	249,942			.15	249,942	249,957	0	249,837
2. NAIC 2 (a).....	0				0	0	0	0
3. NAIC 3 (a).....	0				0	0	0	0
4. NAIC 4 (a).....	0				0	0	0	0
5. NAIC 5 (a).....	0				0	0	0	0
6. NAIC 6 (a).....	0				0	0	0	0
7. Total Bonds.....	249,942	0	0	15	249,942	249,957	0	249,837
<b>PREFERRED STOCK</b>								
8. NAIC 1.....	0				0	0	0	0
9. NAIC 2.....	0				0	0	0	0
10. NAIC 3.....	0				0	0	0	0
11. NAIC 4.....	0				0	0	0	0
12. NAIC 5.....	0				0	0	0	0
13. NAIC 6.....	0				0	0	0	0
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds & Preferred Stock.....	249,942	0	0	15	249,942	249,957	0	249,837

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ ..... ; NAIC 2 \$ ..... ;

NAIC 3 \$ ..... ; NAIC 4 \$ ..... ; NAIC 5 \$ ..... ; NAIC 6 \$ .....

Schedule DA - Part 1

**NONE**

Schedule DA - Verification

**NONE**

Schedule DB - Part A - Verification

**NONE**

Schedule DB - Part B - Verification

**NONE**

Schedule DB - Part C - Section 1

**NONE**

Schedule DB - Part C - Section 2

**NONE**

Schedule DB - Verification

**NONE**

Schedule E - Part 2 - Verification

**NONE**

Schedule A - Part 2

**NONE**

Schedule A - Part 3

**NONE**

Schedule B - Part 2

**NONE**

Schedule B - Part 3

**NONE**

Schedule BA - Part 2

**NONE**

Schedule BA - Part 3

**NONE**

Schedule D - Part 3

**NONE**

Schedule D - Part 4

**NONE**

Schedule DB - Part A - Section 1

**NONE**

Schedule DB - Part B - Section 1

**NONE**

Schedule DB - Part D - Section 1

**NONE**

Schedule DB - Part D - Section 2

**NONE**

Schedule DL - Part 1

**NONE**

Schedule DL - Part 2

**NONE**

**STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.**

**SCHEDULE E - PART 1 - CASH**

### Month End Depository Balances

## **STATEMENT AS OF JUNE 30, 2018 OF THE UDC OHIO, INC.**

## **SCHEDULE E - PART 2 - CASH EQUIVALENTS**

**Show Investments Owned End of Current Quarter**

**NONE**

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