



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF JUNE 30, 2018

OF THE CONDITION AND AFFAIRS OF THE

Utica National Insurance Company of Ohio

NAIC Group Code02010201NAIC Company Code13998Employer's ID Number27-2764004
(Current)(Prior)

Organized under the Laws ofOhio, State of Domicile or Port of EntryOH

Country of DomicileUnited States of America

Incorporated/Organized04/06/2010Commenced Business12/22/2010

Statutory Home Office2 Easton Oval, Suite 225Columbus , OH, US 43219
(Street and Number)(City or Town, State, Country and Zip Code)

Main Administrative Office180 Genesee StreetNew Hartford , NY, US 13413315-734-2000
(Street and Number)(City or Town, State, Country and Zip Code)(Area Code) (Telephone Number)

Mail AddressPost Office Box 530Utica , NY, US 13503-0530
(Street and Number or P.O. Box)(City or Town, State, Country and Zip Code)

Primary Location of Books and Records180 Genesee StreetNew Hartford , NY, US 13413315-734-2000
(Street and Number)(City or Town, State, Country and Zip Code)(Area Code) (Telephone Number)

Internet Website Addresswww.uticanational.com

Statutory Statement ContactSandra Jean Giehl315-734-2192
(Name)(Area Code) (Telephone Number)
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OFFICERS

Chairman , President & CEORichard Patrick CreedonSecretaryLouisa Suzanne Ruffine

CFO & TreasurerBrian Wade Miller Jr.

OTHER

Kristen Holly Martin, EVP & COO

DIRECTORS OR TRUSTEES

John Martin AndersonJolene Marie CasatelliPaul Lewis Cohen

Richard Patrick CreedonSteven Paul GuzskiKristen Holly Martin

Louisa Suzanne Ruffine

State ofNew YorkSS:

County ofOneida

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Richard Patrick CreedonBrian Wade Miller, Jr.Louisa Suzanne Ruffine
Chairman, President & CEOCFO & TreasurerSecretary

Subscribed and sworn to before me thisa. Is this an original filing?Yes [X] No []
day ofb. If no,
1. State the amendment number.....
2. Date filed
3. Number of pages attached.....

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	17,691,926		17,691,926	17,489,805
2. Stocks:				
2.1 Preferred stocks				
2.2 Common stocks				
3. Mortgage loans on real estate:				
3.1 First liens				
3.2 Other than first liens.....				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)				
4.2 Properties held for the production of income (less \$ encumbrances)				
4.3 Properties held for sale (less \$ encumbrances)				
5. Cash (\$60,426), cash equivalents (\$) and short-term investments (\$0)	60,426		60,426	352,611
6. Contract loans (including \$ premium notes)				
7. Derivatives				
8. Other invested assets				
9. Receivables for securities				
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets				
12. Subtotals, cash and invested assets (Lines 1 to 11)	17,752,352		17,752,352	17,842,415
13. Title plants less \$ charged off (for Title insurers only)				
14. Investment income due and accrued	117,439		117,439	114,362
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection				
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)				
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers				
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts				
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon	6,569		6,569	
18.2 Net deferred tax asset				
19. Guaranty funds receivable or on deposit				
20. Electronic data processing equipment and software				
21. Furniture and equipment, including health care delivery assets (\$)				
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates				2,620,407
24. Health care (\$) and other amounts receivable				
25. Aggregate write-ins for other than invested assets	398,600		398,600	
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	18,274,960		18,274,960	20,577,184
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. Total (Lines 26 and 27)	18,274,960		18,274,960	20,577,184
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)				
2501. State Tax Recoverable	398,600		398,600	
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	398,600		398,600	

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$)		
2. Reinsurance payable on paid losses and loss adjustment expenses		
3. Loss adjustment expenses		
4. Commissions payable, contingent commissions and other similar charges	443,411	3,478,613
5. Other expenses (excluding taxes, licenses and fees)	3,123	2,972
6. Taxes, licenses and fees (excluding federal and foreign income taxes)		188,100
7.1 Current federal and foreign income taxes (including \$ on realized capital gains (losses))	902,372	735,010
7.2 Net deferred tax liability	2,881	3,763
8. Borrowed money \$ and interest thereon \$		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$25,561,936 and including warranty reserves of \$ and accrued accident and health experience rating refunds including \$ for medical loss ratio rebate per the Public Health Service Act)		
10. Advance premium		
11. Dividends declared and unpaid:		
11.1 Stockholders		
11.2 Policyholders		
12. Ceded reinsurance premiums payable (net of ceding commissions)		
13. Funds held by company under reinsurance treaties		
14. Amounts withheld or retained by company for account of others	860,877	959,128
15. Remittances and items not allocated		
16. Provision for reinsurance (including \$ certified)		
17. Net adjustments in assets and liabilities due to foreign exchange rates		
18. Drafts outstanding		
19. Payable to parent, subsidiaries and affiliates	235,399	
20. Derivatives		
21. Payable for securities		
22. Payable for securities lending		
23. Liability for amounts held under uninsured plans		
24. Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities		
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	2,448,062	5,367,586
27. Protected cell liabilities		
28. Total liabilities (Lines 26 and 27)	2,448,062	5,367,586
29. Aggregate write-ins for special surplus funds		
30. Common capital stock	4,000,000	4,000,000
31. Preferred capital stock		
32. Aggregate write-ins for other than special surplus funds		
33. Surplus notes		
34. Gross paid in and contributed surplus	6,229,204	6,229,204
35. Unassigned funds (surplus)	5,597,694	4,980,394
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 30 \$)		
36.2 shares preferred (value included in Line 31 \$)		
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	15,826,898	15,209,598
38. Totals (Page 2, Line 28, Col. 3)	18,274,960	20,577,184
DETAILS OF WRITE-INS		
2501.		
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page		
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)		
2901.		
2902.		
2903.		
2998. Summary of remaining write-ins for Line 29 from overflow page		
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)		
3201.		
3202.		
3203.		
3298. Summary of remaining write-ins for Line 32 from overflow page		
3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)		

STATEMENT OF INCOME

	1	2	3
	Current	Prior Year	Prior Year Ended
	Year to Date	to Date	December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct (written \$ 26,741,236)	41,434,791	38,230,754	80,103,372
1.2 Assumed (written \$)			
1.3 Ceded (written \$ 26,741,236)	41,434,791	38,230,754	80,103,372
1.4 Net (written \$)			
DEDUCTIONS:			
2. Losses incurred (current accident year \$)::			
2.1 Direct	8,479,310	19,793,992	47,080,303
2.2 Assumed			200
2.3 Ceded	8,479,310	19,793,992	47,080,503
2.4 Net			
3. Loss adjustment expenses incurred			
4. Other underwriting expenses incurred	(548,784)	(494,009)	(1,680,602)
5. Aggregate write-ins for underwriting deductions			
6. Total underwriting deductions (Lines 2 through 5)	(548,784)	(494,009)	(1,680,602)
7. Net income of protected cells			
8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	548,784	494,009	1,680,602
INVESTMENT INCOME			
9. Net investment income earned	260,806	224,466	452,983
10. Net realized capital gains (losses) less capital gains tax of \$ (6,569)	(25,811)	11,810	9,686
11. Net investment gain (loss) (Lines 9 + 10)	234,995	236,275	462,670
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$)			
13. Finance and service charges not included in premiums			
14. Aggregate write-ins for miscellaneous income			
15. Total other income (Lines 12 through 14)			
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	783,779	730,284	2,143,272
17. Dividends to policyholders			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	783,779	730,284	2,143,272
19. Federal and foreign income taxes incurred	167,362	242,286	804,246
20. Net income (Line 18 minus Line 19)(to Line 22)	616,417	487,998	1,339,026
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year	15,209,598	13,869,613	13,869,613
22. Net income (from Line 20)	616,417	487,998	1,339,026
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains (losses) less capital gains tax of \$			
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	882	(445)	959
27. Change in nonadmitted assets			
28. Change in provision for reinsurance			
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in			
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in			
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			
36. Change in treasury stock			
37. Aggregate write-ins for gains and losses in surplus			
38. Change in surplus as regards policyholders (Lines 22 through 37)	617,299	487,553	1,339,985
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	15,826,898	14,357,167	15,209,598
DETAILS OF WRITE-INS			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page			
0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)			
1401.			
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page			
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)			
3701.			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page			
3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)			

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance			
2. Net investment income	281,544	261,705	513,532
3. Miscellaneous income			
4. Total (Lines 1 to 3)	281,544	261,705	513,532
5. Benefit and loss related payments			
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	3,072,191	2,371,615	(2,361,150)
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)			659,645
10. Total (Lines 5 through 9)	3,072,191	2,371,615	(1,701,505)
11. Net cash from operations (Line 4 minus Line 10)	(2,790,647)	(2,109,910)	2,215,037
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	4,106,371	2,988,779	3,659,437
12.2 Stocks			
12.3 Mortgage loans			
12.4 Real estate			
12.5 Other invested assets			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			
12.7 Miscellaneous proceeds		176,174	
12.8 Total investment proceeds (Lines 12.1 to 12.7)	4,106,371	3,164,953	3,659,437
13. Cost of investments acquired (long-term only):			
13.1 Bonds	4,364,536	3,131,053	5,253,947
13.2 Stocks			
13.3 Mortgage loans			
13.4 Real estate			
13.5 Other invested assets			
13.6 Miscellaneous applications			
13.7 Total investments acquired (Lines 13.1 to 13.6)	4,364,536	3,131,053	5,253,947
14. Net increase (or decrease) in contract loans and premium notes			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(258,165)	33,900	(1,594,509)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities			
16.5 Dividends to stockholders			
16.6 Other cash provided (applied)	2,756,628	2,264,902	(378,492)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	2,756,628	2,264,902	(378,492)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) ..	(292,185)	188,891	242,035
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	352,611	110,575	110,575
19.2 End of period (Line 18 plus Line 19.1)	60,426	299,466	352,611

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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STATEMENT AS OF JUNE 30, 2018 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

NOTES TO FINANCIAL STATEMENTS

Note 1 – Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of Utica National Insurance Company of Ohio are presented on the basis of accounting practices prescribed or permitted by the Ohio Insurance Department.

The Ohio Insurance Department recognizes only statutory accounting practices prescribed or permitted by the state of Ohio for determining and reporting the financial condition and results of operations of an insurance company and for determining its solvency under the Ohio Insurance Laws. The National Association of Insurance Commissioners’ (NAIC) *Accounting Practices and Procedures* manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the state of Ohio. The state of Ohio has adopted some practices that differ from NAIC SAP; however, none of those changes would impact the financial results of Utica National Insurance Company of Ohio.

A reconciliation of the Company’s net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the state of Ohio is not required since there are no differences.

<u>Net Income</u>	<u>SSAP#</u>	<u>F/S Page</u>	<u>F/S Line #</u>	<u>2018</u>	<u>2017</u>
(1) Net Income, state basis (Page 4, Line 20, Columns 1 & 3)	XXX	XXX	XXX	\$ 616,417	\$ 1,339,026
(2) State Prescribed Practices that increase/(decrease) NAIC SAP				0	0
(3) State Permitted Practices that increase/(decrease) NAIC SAP				0	0
(4) Net Income, NAIC SAP (1 - 2 - 3 = 4)	XXX	XXX	XXX	<u>\$ 616,417</u>	<u>\$ 1,339,026</u>
<u>Surplus</u>					
(5) Statutory surplus, state basis (Page 3, Line 37, Columns 1 & 2)	XXX	XXX	XXX	\$ 15,826,898	\$ 15,209,598
(6) State Prescribed Practices that increase/(decrease) NAIC SAP				0	0
(7) State Permitted Practices that increase/(decrease) NAIC SAP				0	0
(8) Statutory Surplus, NAIC SAP (5 - 6 - 7 = 8)	XXX	XXX	XXX	<u>\$ 15,826,898</u>	<u>\$ 15,209,598</u>

B. No change.

C. Accounting Policies

1. – 5. No change.
6. Loan-backed securities are stated at either amortized cost, or the lower of amortized cost or fair market value.
The prospective adjustment method is used to value all loan-backed securities.
7. - 13. No change.

D. Management’s evaluation of the financial condition of the Company did not indicate any going concern issues.

Note 2 – Accounting Changes and Corrections of Errors

No change.

Note 3 – Business Combinations and Goodwill

No change.

Note 4 – Discontinued Operations

No change.

Note 5 - Investments

- A. No change.
- B. No change.
- C. No change.

D. Loan-Backed Securities

- (1) Our asset manager uses a proprietary model for loss assumptions and widely accepted models for prepayment assumptions in valuing mortgage-backed and asset-backed securities with inputs from major third party data providers. The models combine the effects of interest rates, volatility, and pre-payment speeds based on various scenarios (Monte Carlo), simulations with resulting effective analytics (spreads, duration, convexity) and cash flows on a monthly basis. Credit sensitive cash flows are calculated using a proprietary model which estimates future loan defaults in terms of timing and severity. Model assumptions are specific to asset class and collateral types and are regularly evaluated and adjusted where appropriate.
- (2) Not applicable.
- (3) Not applicable.
- (4) Unrealized Losses

a. The aggregate amount of unrealized losses:

Less than 12 months	Greater than 12 months	Total
280,264	88,788	369,052

STATEMENT AS OF JUNE 30, 2018 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

NOTES TO FINANCIAL STATEMENTS

b. The aggregate related fair value of securities with unrealized losses:

Less than 12 months	Greater than 12 months	Total
12,725,489	1,713,442	14,438,931

(5) There are a number of factors considered in determining if an other-than-temporary impairment does not exist for an investment, including but not limited to, debt burden, credit rating, sector, liquidity, financial flexibility, company management, expected earnings and cash flow stream, and economic prospects associated with the investment.

- E. The Company does not have any dollar repurchase agreements or securities lending transactions in its portfolio.
 - a. b. Not applicable
- F. The Company does not have any repurchase agreements transactions accounted for as secured borrowing.
- G. The Company does not have any reverse repurchase transactions accounted for as secured borrowing.
- H. The Company does not have any repurchase agreements transactions accounted for as a sale.
- I. The Company does not have any reverse repurchase agreements transactions accounted for as a sale.
- J. The Company does not have any real estate in its portfolio.
- K. The Company does not have any low-income housing tax credits (LIHTC) in its portfolio.
- L. No change.
- M. The Company does not have any working capital finance investments.

- (2) Aggregate Maturity Distribution on the Underlying Working Capital Finance Program - not applicable
- (3) There are no events of default of working capital finance investments during the reporting period – not applicable.

- N. Offsetting and Netting of Assets and Liabilities - Not applicable.
- O. Structured Securities – Not applicable.
- P. 5* Securities – Not applicable.
- Q. Short Sales - Not applicable.
- R. Prepayment Penalty and Acceleration Fees – Not applicable.

Note 6 – Joint Ventures, Partnerships, and Limited Liability Companies

No change.

Note 7 – Investment Income

No change.

Note 8 – Derivative Instruments

No change.

Note 9 – Income Taxes

No change.

Note 10 – Information Concerning Parent, Subsidiaries, and Affiliates

No change.

Note 11 - Debt

No change.

Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences, and Other Postretirement Benefit Plans

No change.

Note 13 – Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

No change.

Note 14 – Liabilities, Contingencies and Assessments

No change.

Note 15 – Leases

No change.

Note 16 – Information About Financial Instruments With Off-Balance-Sheet Risk and Financial Instruments With Concentrations of Credit Risk

No change.

Note 17 – Sale, Transfer, and Servicing of Financial Assets and Extinguishments of Liabilities

- A. No change.
- B. No change.
- C. Not applicable.

STATEMENT AS OF JUNE 30, 2018 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

NOTES TO FINANCIAL STATEMENTS

Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No change.

Note 19 – Direct Premium Written / Produced by Managing General Agents / Third Party Administrators

No change.

Note 20 – Fair Value Measurement

A. Assets Measured at Fair Value on a Recurring Basis

(1) (a) Assets at Fair Value – Not applicable

(b) Liabilities at Fair Value – Not applicable

(2) Not applicable.

(3) Not applicable.

(4) The following are the levels of the hierarchy and a brief description of the type of valuation inputs that are used to establish each level:

Pricing Level 1 – Valuations based on unadjusted quoted prices in active markets for identical assets that our pricing sources have the ability to access. Since the valuations are based on quoted prices that are readily and regularly available in an active market, valuation of these securities does not entail a significant amount or degree of judgment.

Pricing Level 2 – Valuations based upon quoted prices for similar assets in active markets, quoted prices for identical or similar assets in inactive markets; or valuations based on models where significant inputs are observable (e.g. interest rates, yield curves, prepayment speeds, default rates, loss severities) or can be corroborated by observable market data.

Pricing Level 3 – Valuations that are derived from techniques in which one or more of the significant inputs are unobservable, including broker quotes which are non-binding.

(5) Not applicable.

B. Not applicable.

C.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (Carrying Value)
Bonds	17,364,884	17,691,926	0	17,365,884	0	0

D. Not applicable.

Note 21 – Other Items

No change.

Note 22 – Events Subsequent

No change.

Property & Casualty Specific Notes

Note 23 – Reinsurance

No change.

Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination

No change.

Note 25 – Change in Incurred Losses and Loss Adjustment Expenses

No change.

Note 26 – Intercompany Pooling Arrangements

No change.

Note 27 – Structured Settlements

No change.

STATEMENT AS OF JUNE 30, 2018 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

NOTES TO FINANCIAL STATEMENTS

Note 28 – Health Care Receivables

No change.

Note 29 –Participating Policies

No change.

Note 30 –Premium Deficiency Reserves

No change.

Note 31 – High Deductibles

No change.

Note 32 – Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses

No change.

Note 33 – Asbestos / Environmental Reserves

No change.

Note 34 – Subscriber Savings Accounts

No change

Note 35 –Multiple Peril Crop Insurance

No change.

Note 36 –Financial Guaranty Insurance

No change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [☐] No [☒]
- 1.2

If yes, has the report been filed with the domiciliary state?

Yes [☐] No [☐]
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [☐] No [☒]
- 2.2

If yes, date of change:
- 3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

Yes [☒] No [☐]

If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [☐] No [☒]
- 3.3

If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes [☐] No [☒]
- 3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.
- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [☐] No [☒]
- 4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile
5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?

Yes [☐] No [☐] N/A [☒]

If yes, attach an explanation.
- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2014
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2014
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

06/30/2016
- 6.4

By what department or departments?
Ohio Department of Insurance
- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [☐] No [☐] N/A [☒]
- 6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [☐] No [☐] N/A [☒]
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [☐] No [☒]
- 7.2

If yes, give full information:
- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [☐] No [☒]
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [☐] No [☒]
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes ☒ No ☐
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended?

Yes ☐ No ☒
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes ☐ No ☒
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes ☐ No ☒
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes ☐ No ☒
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$
13.

Amount of real estate and mortgages held in short-term investments:

\$
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes ☐ No ☒
- 14.2

If yes, please complete the following:
- | | 1 | 2 |
|---|---|--|
| | Prior Year-End
Book/Adjusted
Carrying Value | Current Quarter
Book/Adjusted
Carrying Value |
| 14.21 Bonds | \$ | \$ |
| 14.22 Preferred Stock | \$ | \$ |
| 14.23 Common Stock | \$ | \$ |
| 14.24 Short-Term Investments | \$ | \$ |
| 14.25 Mortgage Loans on Real Estate | \$ | \$ |
| 14.26 All Other | \$ | \$ |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$ | \$ |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$ | \$ |
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes ☐ No ☒
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
If no, attach a description with this statement.

Yes ☐ No ☐

STATEMENT AS OF JUNE 30, 2018 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

16.3 Total payable for securities lending reported on the liability page.
- \$
\$
\$

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Bank of New York Mellon	One Wall Street, New York, NY

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Conning Asset Management	U.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets?..... Yes [X] No []

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets?..... Yes [X] No []

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
107423	Conning, Inc.	549300Z0G14KK37BDV40	SEC	DS.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []
- 18.2 If no, list exceptions:

19. By self-designating 5*GI securities, the reporting entity is certifying the following elements for each self-designated 5*GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist.

b. Issuer or obligor is current on all contracted interest and principal payments.

c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5*GI securities? Yes [] No [X]

GENERAL INTERROGATORIES

PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1.

If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change?
If yes, attach an explanation.

Yes [] No [] N/A [X]
2.

Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured?
If yes, attach an explanation.

Yes [] No [X]
- 3.1

Have any of the reporting entity's primary reinsurance contracts been canceled?

Yes [] No [X]
- 3.2

If yes, give full and complete information thereto.
- 4.1

Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of " tabular reserves") discounted at a rate of interest greater than zero?

Yes [] No [X]
- 4.2

If yes, complete the following schedule:

			TOTAL DISCOUNT				DISCOUNT TAKEN DURING PERIOD			
1	2	3	4	5	6	7	8	9	10	11
Line of Business	Maximum Interest	Discount Rate	Unpaid Losses	Unpaid LAE	IBNR	TOTAL	Unpaid Losses	Unpaid LAE	IBNR	TOTAL
TOTAL										

5.

Operating Percentages:
- 5.1

A&H loss percent

%
- 5.2

A&H cost containment percent

%
- 5.3

A&H expense percent excluding cost containment expenses

%
- 6.1

Do you act as a custodian for health savings accounts?

Yes [] No [X]
- 6.2

If yes, please provide the amount of custodial funds held as of the reporting date\$.....
- 6.3

Do you act as an administrator for health savings accounts?

Yes [] No [X]
- 6.4

If yes, please provide the balance of the funds administered as of the reporting date\$.....
7.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?

Yes [X] No []
- 7.1

If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?

Yes [] No []

STATEMENT AS OF JUNE 30, 2018 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

[illegible]

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama.....AL	N						
2. Alaska.....AK	N						
3. Arizona.....AZ	N						
4. Arkansas.....AR	N						
5. California.....CA	N						
6. Colorado.....CO	N						
7. Connecticut.....CT	N						
8. Delaware.....DE	N						
9. District of Columbia.....DC	N						
10. Florida.....FL	N						
11. Georgia.....GA	L	508,077		958		5,791	
12. Hawaii.....HI	N						
13. Idaho.....ID	N						
14. Illinois.....IL	N						
15. Indiana.....IN	N						
16. Iowa.....IA	N						
17. Kansas.....KS	N						
18. Kentucky.....KY	N						
19. Louisiana.....LA	N						
20. Maine.....ME	N						
21. Maryland.....MD	N						
22. Massachusetts.....MA	N						
23. Michigan.....MI	N						
24. Minnesota.....MN	N						
25. Mississippi.....MS	N						
26. Missouri.....MO	N						
27. Montana.....MT	N						
28. Nebraska.....NE	N						
29. Nevada.....NV	N						
30. New Hampshire.....NH	N						
31. New Jersey.....NJ	N						
32. New Mexico.....NM	N						
33. New York.....NY	L	24,907,902	23,252,363	11,622,150	10,699,197	58,805,831	47,708,429
34. North Carolina.....NC	N						
35. North Dakota.....ND	N						
36. Ohio.....OH	L	44,717	44,261	25,578	8,314	7,665	5,243
37. Oklahoma.....OK	N						
38. Oregon.....OR	N						
39. Pennsylvania.....PA	L	1,280,540	962,661	303,209	69,504	2,947,835	383,675
40. Rhode Island.....RI	N						
41. South Carolina.....SC	N						
42. South Dakota.....SD	N						
43. Tennessee.....TN	N						
44. Texas.....TX	N						
45. Utah.....UT	N						
46. Vermont.....VT	N						
47. Virginia.....VA	N						
48. Washington.....WA	N						
49. West Virginia.....WV	N						
50. Wisconsin.....WI	N						
51. Wyoming.....WY	N						
52. American Samoa.....AS	N						
53. Guam.....GU	N						
54. Puerto Rico.....PR	N						
55. U.S. Virgin Islands.....VI	N						
56. Northern Mariana Islands.....MP	N						
57. Canada.....CAN	N						
58. Aggregate Other Alien OT	XXX						
59. Totals	XXX	26,741,236	24,259,285	11,951,895	10,777,014	61,767,121	48,097,346
DETAILS OF WRITE-INS							
58001.	XXX						
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX						
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX						

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....4

E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - see DSLI).....

D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile.....

R - Registered - Non-domiciled RRGs.....

Q - Qualified - Qualified or accredited reinsurer.....

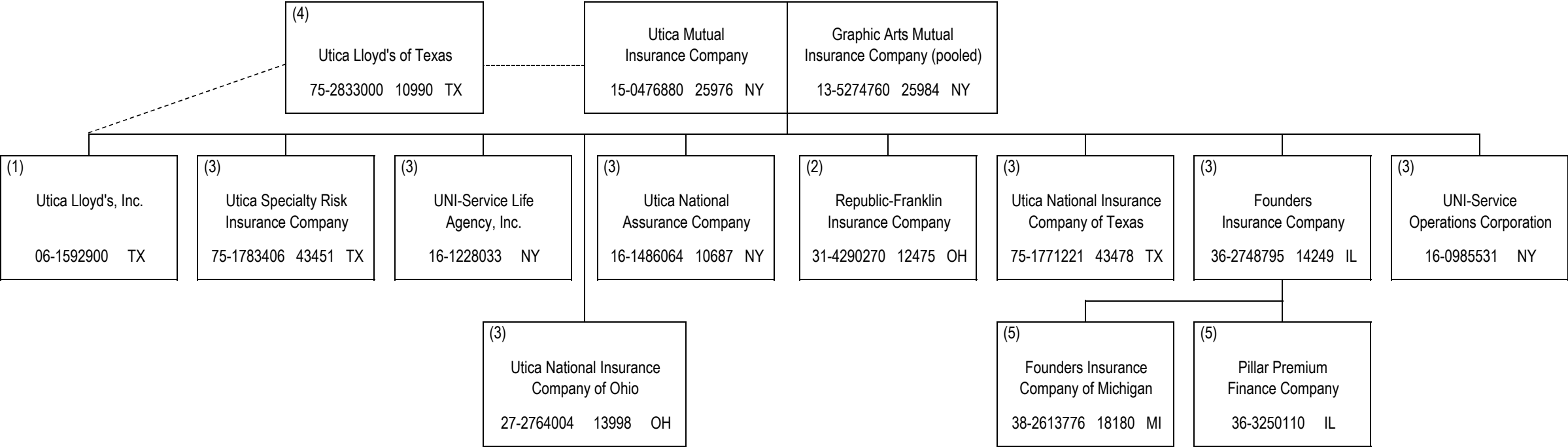
N - None of the above - Not allowed to write business in the state.....53

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

UTICA NATIONAL INSURANCE GROUP ORGANIZATION STRUCTURE JUNE 30, 2018

11



1. Owned 100% by Utica Mutual Insurance Company; operates as attorney-in-fact for Utica Lloyd's of Texas.
2. Owned 94% by Utica Mutual Insurance Company and 6% by Graphic Arts Mutual Insurance Company.
3. Owned 100% by Utica Mutual Insurance Company.

4. A Texas Lloyd's association of twelve underwriters under sponsorship of the Utica Mutual Insurance Company.
5. Owned 100% by Founders Insurance Company.
6. Shares common management with the group.

(6)
Utica National
Group Foundation, Inc.
16-1313450 NY

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Asterisk	Explanation
1	Owned 6% by Graphic Arts Mutual Insurance Company.
2	A Texas Lloyd's association of twelve underwriters under the sponsorship of the Utica Mutual Insurance Company.
3	Shares common management with the group.

PART 1 - LOSS EXPERIENCE

Line of Business		Current Year to Date			4 Prior Year to Date Direct Loss Percentage
		1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1.	Fire	8,435	13	0.1	0.1
2.	Allied Lines	9,147			
3.	Farmowners multiple peril				
4.	Homeowners multiple peril				
5.	Commercial multiple peril	18,775,152	5,552,205	29.6	61.3
6.	Mortgage guaranty				
8.	Ocean marine				
9.	Inland marine	1,613	22	1.4	0.6
10.	Financial guaranty				
11.1	Medical professional liability - occurrence				
11.2	Medical professional liability - claims-made				
12.	Earthquake				
13.	Group accident and health				
14.	Credit accident and health				
15.	Other accident and health				
16.	Workers' compensation	12,482,137	5,716,849	45.8	47.6
17.1	Other liability - occurrence	4,237,348	(4,921,689)	(116.2)	20.3
17.2	Other liability - claims-made	856			
17.3	Excess workers' compensation				
18.1	Products liability - occurrence	867	38	4.4	2.6
18.2	Products liability - claims-made				
19.1,19.2	Private passenger auto liability				
19.3,19.4	Commercial auto liability	4,633,060	1,490,848	32.2	59.4
21.	Auto physical damage	1,286,176	641,024	49.8	31.1
22.	Aircraft (all perils)				
23.	Fidelity				
24.	Surety				
26.	Burglary and theft				
27.	Boiler and machinery				
28.	Credit				
29.	International				
30.	Warranty				
31.	Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business				
35.	Totals	41,434,791	8,479,310	20.5	51.8
DETAILS OF WRITE-INS					
3401.				
3402.				
3403.				
3498.	Summary of remaining write-ins for Line 34 from overflow page				
3499.	Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)				

PART 2 - DIRECT PREMIUMS WRITTEN

Line of Business		1	2	3
		Current Quarter	Current Year to Date	Prior Year Year to Date
1.	Fire			
2.	Allied Lines			
3.	Farmowners multiple peril			
4.	Homeowners multiple peril			
5.	Commercial multiple peril	3,003,434	5,633,939	5,055,410
6.	Mortgage guaranty			
8.	Ocean marine			
9.	Inland marine			
10.	Financial guaranty			
11.1	Medical professional liability - occurrence			
11.2	Medical professional liability - claims-made			
12.	Earthquake			
13.	Group accident and health			
14.	Credit accident and health			
15.	Other accident and health			
16.	Workers' compensation	6,791,146	13,984,737	13,235,364
17.1	Other liability - occurrence	1,479,677	3,428,529	3,538,456
17.2	Other liability - claims-made		1,750	22,037
17.3	Excess workers' compensation			
18.1	Products liability - occurrence		22	(70)
18.2	Products liability - claims-made			
19.1,19.2	Private passenger auto liability			
19.3,19.4	Commercial auto liability	1,677,955	3,066,696	2,003,233
21.	Auto physical damage	251,732	625,563	404,854
22.	Aircraft (all perils)			
23.	Fidelity			
24.	Surety			
26.	Burglary and theft			
27.	Boiler and machinery			
28.	Credit			
29.	International			
30.	Warranty			
31.	Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business			
35.	Totals	13,203,944	26,741,236	24,259,285
DETAILS OF WRITE-INS				
3401.			
3402.			
3403.			
3498.	Summary of remaining write-ins for Line 34 from overflow page			
3499.	Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)			

STATEMENT AS OF JUNE 30, 2018 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1+2)	2018 Loss and LAE Payments on Claims Reported as of Prior Year-End	2018 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2018 Loss and LAE Payments (Cols. 4+5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols.7+8+9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols.4+7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12)
1. 2015 + Prior													
2. 2016													
3. Subtotals 2016 + Prior													
4. 2017													
5. Subtotals 2017 + Prior													
6. 2018	XXX	XXX	XXX	XXX			XXX				XXX	XXX	XXX
7. Totals													
8. Prior Year-End Surplus As Regards Policyholders	15,210										Col. 11, Line 7 As % of Col. 1 Line 7	Col. 12, Line 7 As % of Col. 2 Line 7	Col. 13, Line 7 As % of Col. 3 Line 7
											1.	2.	3.
											Col. 13, Line 7 As a % of Col. 1 Line 8		
		4.											

STATEMENT AS OF JUNE 30, 2018 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

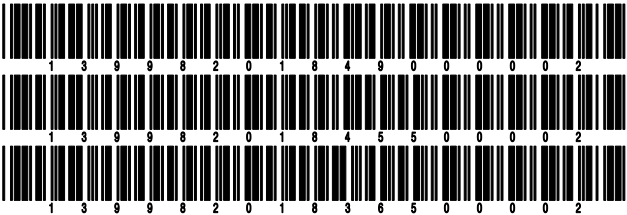
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	YES

Explanations:

1.
2.
3.

Bar Codes:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Supplement A to Schedule T [Document Identifier 455]
3. Medicare Part D Coverage Supplement [Document Identifier 365]



SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest paid and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	17,489,806	15,942,933
2. Cost of bonds and stocks acquired	4,364,536	5,253,949
3. Accrual of discount	4,819	6,524
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals	(32,380)	15,147
6. Deduct consideration for bonds and stocks disposed of	4,106,371	3,659,443
7. Deduct amortization of premium	28,484	69,304
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	17,691,927	17,489,806
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	17,691,927	17,489,806

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	16,749,517	329,306	1,977,778	(10,967)	16,749,517	15,090,079		14,898,444
2. NAIC 2 (a)	3,436,343	199,659	1,033,910	(439)	3,436,343	2,601,653		2,591,361
3. NAIC 3 (a)								
4. NAIC 4 (a)								
5. NAIC 5 (a)								
6. NAIC 6 (a)								
7. Total Bonds	20,185,860	528,965	3,011,688	(11,406)	20,185,860	17,691,732		17,489,805
PREFERRED STOCK								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock								
15. Total Bonds and Preferred Stock	20,185,860	528,965	3,011,688	(11,406)	20,185,860	17,691,732		17,489,805

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:
NAIC 1 \$; NAIC 2 \$; NAIC 3 \$ NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

Schedule DA - Part 1 - Short-Term Investments

N O N E

Schedule DA - Verification - Short-Term Investments

N O N E

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of cash equivalents acquired	638	
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	639	
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)		
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)		

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

N O N E

STATEMENT AS OF JUNE 30, 2018 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
912828-3Z-1	UNITED STATES TREASURY		.04/12/2018	BARCLAYS CAPITAL INC		74,941	75,000	247	1
0599999. Subtotal - Bonds - U.S. Governments						74,941	75,000	247	XXX
06367T-4W-7	BANK OF MONTREAL		.04/10/2018	BONY MELLON/BMO CAP MKTS		54,936	55,000		1FE
25470D-AV-1	DISCOVERY COMMUNICATIONS LLC		.04/03/2018	Unknown		74,910	75,000	791	2FE
67077M-AD-0	NUTRIEN LTD		.04/10/2018	Unknown		24,913	25,000	19	2FE
69430B-HW-0	PACIFIC GAS AND ELECTRIC CO		.05/15/2018	Unknown		99,717	100,000	1,522	1FE
78355H-KH-1	RYDER SYSTEM INC		.06/14/2018	VARIOUS		99,836	100,000		2FE
931142-ED-1	WALMART INC		.06/20/2018	JP MORGAN SECURITIES INC.		99,712	100,000		1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						454,024	455,000	2,331	XXX
8399997. Total - Bonds - Part 3						528,965	530,000	2,578	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						528,965	530,000	2,578	XXX
8999997. Total - Preferred Stocks - Part 3							XXX		XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks							XXX		XXX
9799997. Total - Common Stocks - Part 3							XXX		XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks							XXX		XXX
9899999. Total - Preferred and Common Stocks							XXX		XXX
9999999 - Totals						528,965	XXX	2,578	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

STATEMENT AS OF JUNE 30, 2018 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation or Market In- dicator (a)
36178W-GL-7	GN AB9203 - RMBS		06/01/2018	Paydown		6,278	6,278	6,707	6,669		(392)		(392)		6,278				63	11/15/2042	1
36202F-M7-8	G2 004882 - RMBS		06/01/2018	Paydown		3,367	3,367	3,444	3,441		(75)		(75)		3,367				46	12/20/2040	1
36202F-PF-7	G2 004922 - RMBS		06/01/2018	Paydown		3,095	3,095	3,174	3,172		(77)		(77)		3,095		0	0	42	01/20/2041	1
36202F-TL-0	G2 005055 - RMBS		06/01/2018	Paydown		4,442	4,442	4,705	4,705		(264)		(264)		4,442		0	0	68	05/20/2041	1
36202F-UE-4	G2 005081 - RMBS		06/01/2018	Paydown		2,482	2,482	2,552	2,552		(70)		(70)		2,482				33	06/20/2041	1
36202F-UF-1	G2 005082 - RMBS		06/01/2018	Paydown		2,716	2,716	2,869	2,869		(153)		(153)		2,716		0	0	41	06/20/2041	1
36202F-Y5-9	G2 005232 - RMBS		06/01/2018	Paydown		9,796	9,796	10,146	10,123		(327)		(327)		9,796		0	0	117	11/20/2041	1
36241L-S3-1	GN 783238 - RMBS		06/01/2018	Paydown		2,239	2,239	2,308	2,308		(69)		(69)		2,239				30	01/15/2041	1
912828-UU-2	UNITED STATES TREASURY		04/02/2018	Maturity @ 100.00															1,125	03/31/2018	1
0599999. Subtotal - Bonds - U.S. Governments						34,414	34,414	35,906	35,840		(1,427)		(1,427)		34,414		0	0	1,565	XXX	XXX
30216B-GY-2	EXPORT DEVELOPMENT CANADA		04/30/2018	BONY MELLON/BMO CAP MKTS		147,182	150,000	149,817	149,822		21		21		149,843		(2,661)	(2,661)	1,258	11/30/2020	1FE
1099999. Subtotal - Bonds - All Other Governments						147,182	150,000	149,817	149,822		21		21		149,843		(2,661)	(2,661)	1,258	XXX	XXX
649791-DG-2	NEW YORK ST		04/27/2018	RBC CAPITAL MARKETS DAVIDSON (D.A.) & CO. INC.		52,655	50,000	59,243	52,461		(373)		(373)		52,088		567	567	1,778	02/15/2020	1FE
68608K-BS-0	OREGON ST		04/27/2018	INC.		108,518	100,000	118,989	110,187		(989)		(989)		109,198		(680)	(680)	2,500	05/01/2025	1FE
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						161,173	150,000	178,232	162,648		(1,362)		(1,362)		161,287		(114)	(114)	4,278	XXX	XXX
542535-JZ-3	LONG BEACH N.Y. CITY SCH DIST		04/27/2018	PERSHING DIV OF DLJ SEC LINDING		128,688	125,000	127,475	125,724		(97)		(97)		125,627		3,060	3,060	2,188	05/01/2022	1FE
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						128,688	125,000	127,475	125,724		(97)		(97)		125,627		3,060	3,060	2,188	XXX	XXX
3128M9-2M-3	FH G07680 - RMBS		06/01/2018	Paydown		1,067	1,067	1,127	1,124		(57)		(57)		1,067				14	04/01/2044	1
3128MJ-V2-3	FH G08632 - RMBS		06/01/2018	Paydown		1,704	1,704	1,780	1,780		(75)		(75)		1,704				20	03/01/2045	1
3128MJ-XX-3	FH G08693 - RMBS		06/01/2018	Paydown		2,915	2,915	3,005	3,005		(90)		(90)		2,915				35	03/01/2046	1
3128MJ-Z9-4	FH G08767 - RMBS		06/01/2018	Paydown		1,781	1,781	1,881	1,881		(100)		(100)		1,781		0	0	24	06/01/2047	1
3128MJ-ZF-0	FH G08741 - RMBS		06/01/2018	Paydown		1,970	1,970	1,939	1,940		29		29		1,970		0	0	20	01/01/2047	1
3128MJ-ZH-6	FH G08743 - RMBS		06/01/2018	Paydown		2,255	2,255	2,373	2,370		(115)		(115)		2,255				30	01/01/2047	1
3128MJ-ZP-8	FH G08749 - RMBS		06/01/2018	Paydown		2,194	2,194	2,304	2,304		(109)		(109)		2,194				29	02/01/2047	1
3128MJ-VZ-3	FH G18631 - RMBS		06/01/2018	Paydown		4,239	4,239	4,254	4,253		(14)		(14)		4,239				36	02/01/2032	1
3128MJ-WS-8	FH G18656 - RMBS		06/01/2018	Paydown		2,633	2,633	2,696	2,696		(63)		(63)		2,633		0	0	16	08/01/2032	1
31307N-E3-4	FH J31954 - RMBS		06/01/2018	Paydown		4,159	4,159	4,367	4,356		(196)		(196)		4,159				47	12/01/2028	1
3132GD-RW-4	FH 000501 - RMBS		06/01/2018	Paydown		515	515	534	532		(17)		(17)		515				8	05/01/2041	1
3132GK-5A-0	FH 004741 - RMBS		06/01/2018	Paydown		575	575	614	614		(39)		(39)		575				11	11/01/2041	1
3132M9-2R-4	FH 029184 - RMBS		06/01/2018	Paydown		1,575	1,575	1,683	1,683		(107)		(107)		1,575				21	10/01/2044	1
3132XU-SK-2	FH G52321 - RMBS		06/01/2018	Paydown		3,396	3,396	3,561	3,561		(165)		(165)		3,396				12	11/01/2047	1
31335A-S7-4	FH G60542 - RMBS		06/01/2018	Paydown		1,021	1,021	1,092	1,092		(71)		(71)		1,021		0	0	15	09/01/2045	1
3138E7-TV-6	FN AK3263 - RMBS		06/01/2018	Paydown		4,504	4,504	4,648	4,634		(130)		(130)		4,504				46	02/01/2027	1
3138EJ-3Y-2	FN AL2614 - RMBS		06/01/2018	Paydown		3,761	3,761	3,948	3,948		(187)		(187)		3,761				43	11/01/2042	1
3138EQ-7L-0	FN AL8098 - RMBS		06/01/2018	Paydown		1,802	1,802	1,933	1,933		(131)		(131)		1,802				24	06/01/2043	1
3138MO-AK-6	FN A08109 - RMBS		06/01/2018	Paydown		1,560	1,560	1,619	1,619		(59)		(59)		1,560				16	08/01/2042	1
3138M5-EA-3	FN AP1928 - RMBS		06/01/2018	Paydown		1,080	1,080	1,150	1,147		(67)		(67)		1,080				14	08/01/2042	1
3138M5-LP-2	FN AP2133 - RMBS		06/01/2018	Paydown		1,558	1,558	1,616	1,613		(55)		(55)		1,558				19	08/01/2042	1
3138MB-XL-5	FN AP7882 - RMBS		06/01/2018	Paydown		2,795	2,795	2,875	2,870		(75)		(75)		2,795				34	10/01/2042	1
3138W6-SU-1	FN AR8630 - RMBS		06/01/2018	Paydown		3,931	3,931	4,006	4,005		(74)		(74)		3,931		0	0	37	04/01/2043	1
3138W9-BF-6	FN AS0037 - RMBS		06/01/2018	Paydown		2,831	2,831	2,972	2,971		(141)		(141)		2,831		0	0	34	07/01/2043	1
3138WB-AR-6	FN AS1815 - RMBS		06/01/2018	Paydown		1,483	1,483	1,545	1,538		(55)		(55)		1,483				21	02/01/2044	1
3138WB-LK-9	FN AS2385 - RMBS		06/01/2018	Paydown		754	754	796	793		(39)		(39)		754		0	0	12	05/01/2044	1
3138WD-ME-8	FN AS2956 - RMBS		06/01/2018	Paydown		1,880	1,880	2,013	2,013		(133)		(133)		1,880				25	12/01/2044	1
3138WE-6G-9	FN AS5370 - RMBS		06/01/2018	Paydown		1,843	1,843	1,919	1,914		(71)		(71)		1,843		0	0	23	07/01/2045	1
3138WE-KB-4	FN AS4789 - RMBS		06/01/2018	Paydown		1,953	1,953	2,032	2,029		(76)		(76)		1,953				20	04/01/2045	1
3138WE-KG-3	FN AS4794 - RMBS		06/01/2018	Paydown		1,365	1,365	1,424	1,420		(55)		(55)		1,365		0	0	16	04/01/2045	1
3138WF-NN-2	FN AS5796 - RMBS		06/01/2018	Paydown		2,365	2,365	2,469	2,459		(94)		(94)		2,365				24	09/01/2030	1
3138WG-BA-1	FN AS6332 - RMBS		06/01/2018	Paydown		2,972	2,972	3,127	3,124		(152)		(152)		2,972		0	0	36	12/01/2045	1
3138WG-BW-3	FN AS6352 - RMBS		06/01/2018	Paydown		3,813	3,813	3,992	3,990		(177)		(177)		3,813				48	12/01/2045	1
3138WJ-DN-1	FN AS6408 - RMBS		06/01/2018	Paydown		1,840	1,840	1,925	1,923		(83)		(83)		1,840				22	01/01/2046	1
3138WJ-H3-5	FN AS8349 - RMBS		06/01/2018	Paydown		4,206	4,206	4,325	4,317		(110)		(110)		4,206				44	11/01/2036	1
3138WJ-K5-6	FN AS8415 - RMBS		06/01/2018	Paydown		2,523	2,523	2,511	2,512		12		12		2,523		0	0	27	11/01/2046	1

STATEMENT AS OF JUNE 30, 2018 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation or Market In- dicator (a)
3138WK-4X-0	FN AS9837 - RMBS		06/01/2018	Paydown		3,801	3,801	3,797			.4		.4		3,801				20	06/01/2032	1
3138WL-C2-7	FN AS9988 - RMBS		06/01/2018	Paydown		3,098	3,098	3,246			(148)		(148)		3,098				13	07/01/2047	1
3138WV-X5-5	FN A17899 - RMBS		06/01/2018	Paydown		4,117	4,117	4,318	4,315		(197)		(197)		4,117				51	07/01/2043	1
3138X4-V9-8	FN AU5139 - RMBS		06/01/2018	Paydown		3,048	3,048	3,176	3,176		(128)		(128)		3,048				34	08/01/2043	1
3138Y6-3S-1	FN AX5308 - RMBS		06/01/2018	Paydown		2,339	2,339	2,453	2,452		(113)		(113)		2,339				31	01/01/2042	1
3140EV-T7-2	FN BC1473 - RMBS		06/01/2018	Paydown		3,896	3,896	3,892	3,892		.5		.5		3,896				33	07/01/2031	1
3140FM-0F-0	FN BE1901 - RMBS		06/01/2018	Paydown		2,832	2,832	2,833	2,833		(1)		(1)		2,832				29	12/01/2046	1
3140J5-LM-9	FN BH1231 - RMBS		06/01/2018	Paydown		4,757	4,757	4,933	4,932		(176)		(176)		4,757		.0	.0	56	11/01/2031	1
314007-LE-5	FN CA0324 - RMBS		06/01/2018	Paydown		3,496	3,496	3,668			(172)		(172)		3,496				12	09/01/2047	1
314008-M9-3	FN CA1283 - RMBS		06/01/2018	Paydown		3,485	3,485	3,550			(65)		(65)		3,485				7	02/01/2033	1
31417B-7A-9	FN AB5388 - RMBS		06/01/2018	Paydown		1,413	1,413	1,512	1,512		(99)		(99)		1,413				18	06/01/2042	1
31417C-S3-0	FN AB5937 - RMBS		06/01/2018	Paydown		1,328	1,328	1,398	1,397		(69)		(69)		1,328		.0	.0	16	08/01/2042	1
31417D-TR-4	FN AB6859 - RMBS		06/01/2018	Paydown		2,272	2,272	2,361	2,361		(89)		(89)		2,272				25	11/01/2042	1
31417G-5A-0	FN AB9840 - RMBS		06/01/2018	Paydown		2,020	2,020	2,120	2,119		(99)		(99)		2,020				23	07/01/2043	1
31417G-TF-3	FN AB9549 - RMBS		06/01/2018	Paydown		7,098	7,098	7,114	7,109		(10)		(10)		7,098				71	06/01/2043	1
31418C-E6-7	FN MA2856 - RMBS		06/01/2018	Paydown		2,800	2,800	2,985	2,975		(175)		(175)		2,800		.0	.0	34	12/01/2036	1
31418C-FG-4	FN MA2866 - RMBS		06/01/2018	Paydown		2,347	2,347	2,364	2,363		(16)		(16)		2,347		.0	.0	24	01/01/2037	1
31418C-ND-2	FN MA3087 - RMBS		06/01/2018	Paydown		1,701	1,701	1,745	1,745		(44)		(44)		1,701				20	08/01/2047	1
31418C-QB-3	FN MA3149 - RMBS		06/01/2018	Paydown		3,006	3,006	3,121			(115)		(115)		3,006				30	10/01/2047	1
3199999. Subtotal - Bonds - U.S. Special Revenues						141,673	141,673	146,643	122,883		(4,847)		(4,847)		141,673		0	0	1,466	XXX	XXX
002824-BF-6	ABBOTT LABORATORIES		04/26/2018	WELLS FARGO SECURITIES LLC		44,259	45,000	44,710			.7		.7		44,716		(457)	(457)	703	11/30/2026	2FE
031162-BD-1	AMGEN INC		04/26/2018	PERSHING DIV OF DLJ SEC LNDING		40,346	40,000	37,892	39,300		.81		.81		39,382		.964	.964	801	10/01/2020	2FE
032095-AG-6	AMPHENOL CORP		04/26/2018	MITSUBISHI UFJ SECURITIES		96,048	100,000	99,888	99,898		.8		.8		99,906		(3,858)	(3,858)	1,858	04/01/2024	2FE
036752-AG-8	ANTHEM INC		04/26/2018	WELLS FARGO SECURITIES LLC		63,774	65,000	65,000							65,000		(1,226)	(1,226)	429	03/01/2028	2FE
037833-BU-3	APPLE INC		04/26/2018	PERSHING DIV OF DLJ SEC LNDING		98,237	100,000	99,893	99,919		.7		.7		99,926		(1,689)	(1,689)	1,955	02/23/2023	1FE
124857-AM-5	CBS CORP		04/30/2018	PERSHING DIV OF DLJ SEC LNDING		73,598	75,000	74,820	74,873		.8		.8		74,881		(1,284)	(1,284)	1,981	08/15/2024	2FE
126650-CE-8	CVS CAREMARK CORP		04/26/2018	PERSHING DIV OF DLJ SEC LNDING		59,492	60,000	59,966	59,989		.3		.3		59,992		(500)	(500)	968	08/12/2019	2FE
166754-AQ-4	CHEVRON PHILLIPS CHEMICAL COMPANY LLC		04/26/2018	TORONTO DOMINION SECS USA INC		59,538	60,000	59,962			(1)		(1)		59,962		(424)	(424)	286	05/01/2023	1FE
166764-AT-7	CHEVRON CORP		04/26/2018	SG AMERICAS SECURITIES, LLC		97,383	100,000	100,000	100,000						100,000		(2,617)	(2,617)	1,587	03/03/2022	1FE
21036P-AU-2	CONSTELLATION BRANDS INC		04/27/2018	GOLDMAN		59,073	60,000	59,916	59,922		.14		.14		59,937		(864)	(864)	580	11/07/2019	2FE
254683-CD-5	DCENT 181 A - ABS		04/27/2018	BARCLAYS CAPITAL INC		124,224	125,000	124,995			.3		.3		124,998		(775)	(775)	494	08/15/2025	1FE
25470D-AV-1	DISCOVERY COMMUNICATIONS LLC		04/26/2018	TORONTO DOMINION SECS USA INC		74,572	75,000	74,734			.5		.5		74,915		(343)	(343)	945	11/15/2019	2FE
26875P-AL-5	EOG RESOURCES INC		04/26/2018	PERSHING DIV OF DLJ SEC LNDING		74,131	75,000	74,883	74,954		.8		.8		74,962		(831)	(831)	1,067	04/01/2020	2FE
30219G-AH-1	EXPRESS SCRIPTS HOLDING CO		04/26/2018	MORGAN STANLEY CO		74,388	75,000	74,819	74,946		.12		.12		74,958		(570)	(570)	633	06/15/2019	2FE
36252A-AA-4	GSMS 15GS1 A1 - CMBS		06/01/2018	Paydown		4,054	4,054	4,054	4,054		.0		.0		4,054		.0	.0	27	11/13/2048	1FE
36253W-AB-3	GMALT 171 A2A - ABS		04/27/2018	VARIOUS		64,806	64,976	64,969	64,983		(3)		(3)		64,980		(174)	(174)	412	09/20/2019	1FE
36255P-AB-6	GMCAR 181 A2A - ABS		05/01/2018	TORONTO DOMINION SECS USA INC		74,602	75,000	74,994			.1		.1		74,996		(394)	(394)	455	01/19/2021	1FE
40428B-PN-6	HSBC USA INC (NEW)	C	06/01/2018	GOLDMAN		99,104	100,000	99,661	99,866		.24		.24		99,890		(786)	(786)	1,102	11/13/2019	1FE
49326E-EF-6	KEYCORP		04/26/2018	PERSHING DIV OF DLJ SEC LNDING		99,353	100,000	101,150	100,842		(98)		(98)		100,744		(1,391)	(1,391)	1,813	09/15/2020	2FE
58933Y-AQ-8	MERCK & CO INC		04/26/2018	PERSHING DIV OF DLJ SEC LNDING		97,232	100,000	99,865	99,918		.7		.7		99,925		(2,693)	(2,693)	1,697	02/10/2022	1FE
59156R-AX-6	METLIFE INC		05/30/2018	Not Available		34,072	34,000	35,061	34,389		(47)		(47)		34,341		(269)	(269)	808	02/08/2021	1FE
68389X-BR-5	ORACLE CORP		04/26/2018	PERSHING DIV OF DLJ SEC LNDING		145,637	150,000	147,608			100		100		147,707		(2,071)	(2,071)	1,870	02/15/2023	1FE

STATEMENT AS OF JUNE 30, 2018 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation or Market In- dicator (a)
694308-HV-2	PACIFIC GAS AND ELECTRIC CO		05/15/2018	Unknown		99,717	100,000	99,704	99,706		.11		.11		99,717				1,522	12/01/2027	1FE
73759L-AM-9	POTASH CORPORATION OF SASKATCHEWAN INC		04/10/2018	Unknown		24,913	25,000	24,880	24,910		.4		.4		24,913				.419	04/01/2025	2FE
75884R-AX-1	REGENCY CENTERS LP		04/26/2018	SUNTRUST ROBINSON HUMPHREY INC		122,716	125,000	124,796			.3		.3		124,799		(2,083)	(2,083)	.730	03/15/2028	2FE
78355H-KG-3	RYDER SYSTEM INC		04/26/2018	WELLS FARGO SECURITIES LLC		39,477	40,000	39,892			.4		.4		39,896		(419)	(419)	.242	03/01/2023	2FE
811065-AB-7	SCRIPPS NETWORKS INTERACTIVE INC		04/03/2018	Unknown		74,910	75,000	74,734	74,895		.15		.15		74,910				.866	11/15/2019	2FE
85771P-AG-7	STATOIL ASA	C	04/11/2018	JP MORGAN SECURITIES INC		38,674	40,000	39,870	39,932		.4		.4		39,936		(1,262)	(1,262)	.724	01/17/2023	1FE
89238M-AB-4	TAOT 17A A2A - ABS		06/15/2018	Paydown		7,263		7,260	7,264		(1)		(1)		7,263				.39	09/16/2019	1FE
89352H-AV-1	TRANSCANADA PIPELINES LTD		04/26/2018	GOLDMAN		98,774	100,000	99,992			.2		.2		99,994		(1,220)	(1,220)	.962	11/15/2019	1FE
91159H-HG-8	U.S. BANCORP		04/26/2018	MORGAN STANLEY CO		100,818	100,000	104,488	103,106		(155)		(155)		102,952		(2,134)	(2,134)	2,775	01/30/2024	1FE
94974B-GA-2	WELLS FARGO & CO		04/26/2018	JP MORGAN CHASE		96,537	100,000	100,129	100,095		(1)		(1)		100,094		(3,557)	(3,557)	2,118	09/09/2024	1FE
94989X-AY-1	WFCM 15NXS4 A1 - CMBS		06/01/2018	BANK/HSBCSI Paydown		4,199		4,199	4,199		.0		.0		4,199				.27	12/17/2048	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						2,365,918	2,399,492	2,398,785	1,641,953		24		24		2,398,844		(32,926)	(32,926)	32,895	XXX	XXX
8399997. Total - Bonds - Part 4						2,979,047	3,000,579	3,036,858	2,238,870		(7,688)		(7,688)		3,011,688		(32,640)	(32,640)	43,649	XXX	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds						2,979,047	3,000,579	3,036,858	2,238,870		(7,688)		(7,688)		3,011,688		(32,640)	(32,640)	43,649	XXX	XXX
8999997. Total - Preferred Stocks - Part 4							XXX													XXX	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks							XXX													XXX	XXX
9799997. Total - Common Stocks - Part 4							XXX													XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks							XXX													XXX	XXX
9899999. Total - Preferred and Common Stocks							XXX													XXX	XXX
9999999 - Totals						2,979,047	XXX	3,036,858	2,238,870		(7,688)		(7,688)		3,011,688		(32,640)	(32,640)	43,649	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

SCHEDULE E - PART 1 - CASH

E12

Schedule E - Part 2 - Cash Equivalents - Investments Owned End of Current Quarter

N O N E



SUPPLEMENT FOR THE QUARTER ENDING JUNE 30, 2018 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

DIRECTOR AND OFFICER INSURANCE COVERAGE SUPPLEMENT

Year To Date For The Period Ended JUNE 30, 2018

NAIC Group Code 0201 NAIC Company Code 13998

Company Name UTICA NATIONAL INSURANCE COMPANY OF OHIO

If the reporting entity writes any director and officer (D&O) business, please provide the following:

1. Monoline Policies

1 Direct Written Premium	2 Direct Earned Premium	3 Direct Losses Incurred
\$	\$	\$

2. Commercial Multiple Peril (CMP) Packaged Policies

- 2.1 Does the reporting entity provide D&O liability coverage as part of a CMP packaged policy?

Yes ☒ No ☐
- 2.2 Can the direct premium earned for D&O liability coverage provided as part of a CMP packaged policy be quantified or estimated?

Yes ☒ No ☐
- 2.3 If the answer to question 2.2 is yes, provide the quantified or estimated direct premium earned amount for D&O liability coverage in CMP packaged policies

2.31 Amount quantified:

\$90,492

2.32 Amount estimated using reasonable assumptions:
- 2.4 If the answer to question 2.1 is yes, provide direct losses incurred (losses paid plus change in case reserves) for the D&O liability coverage provided in CMP packaged policies.

\$