



12233201820100102

2018

Document Code: 201

QUARTERLY STATEMENT
AS OF JUNE 30, 2018
OF THE CONDITION AND AFFAIRS OF THE
HEALTHCARE UNDERWRITERS GROUP, INC.

| | | | | | | |
|---------------------------------------|--|------------------------|--|------------|----------------------|------------|
| NAIC Group Code | 1154 (Current Period) | 0000 (Prior Period) | NAIC Company Code | 12233 | Employer's ID Number | 74-3129288 |
| Organized under the Laws of | Ohio | | State of Domicile or Port of Entry | | OH | |
| Country of Domicile | United States of America | | | | | |
| Incorporated/Organized | 11/30/2004 | | Commenced Business | 12/14/2004 | | |
| Statutory Home Office | 1900 Polaris Parkway, Suite 450 (Street and Number) | | Columbus, OH, US 43240-4064 (City or Town, State, Country and Zip Code) | | | |
| Main Administrative Office | 1250 South Pine Island Road, Suite 300 (Street and Number) | | Plantation, FL, US 33324-4402 (City or Town, State, Country and Zip Code) | | | |
| | | | (866)484-5715 (Area Code) (Telephone Number) | | | |
| Mail Address | 1250 South Pine Island Road, Suite 300 (Street and Number or P.O. Box) | | Plantation, FL, US 33324-4402 (City or Town, State, Country and Zip Code) | | | |
| Primary Location of Books and Records | 1250 South Pine Island Road, Suite 300 (Street and Number) | | Plantation, FL, US 33324-4402 (City or Town, State, Country and Zip Code) | | | |
| | | | (866)484-5715 (Area Code) (Telephone Number) | | | |
| Internet Web Site Address | www.hugroupinc.com | | | | | |
| Statutory Statement Contact | Thomas William Mueller, CPA, CGMA (Name) tmueller@hugroupinc.com (E-Mail Address) | | (866)484-5716 (Area Code)(Telephone Number)(Extension) (877)895-0996 (Fax Number) | | | |

OFFICERS

| Name | Title |
|----------------------------------|----------------------------------|
| Joshua Marc Salman | CEO & President |
| Gregg Lee Hanson | Chair |
| Joseph Gerard Murphy | Vice Chair |
| Todd Colin Mills | Treasurer |
| David Wayne Lester CPA, CGMA | VP & CFO, Asst. Treasurer |
| Erin Brennan Bagley JD | General Counsel & Secretary |
| Thomas William Mueller CPA, CGMA | VP & Controller, Asst. Secretary |
| Jose Raul Zorola | Chief Underwriting Officer |
| William Carl Ludwig JD | VP, Claims |

OTHERS

DIRECTORS OR TRUSTEES

Gregg Lee Hanson
Todd Colin Mills
Joshua Marc Salman

Joseph Gerard Murphy
Jose Raul Zorola

State of Ohio
County of Franklin ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)
Joshua Marc Salman
(Printed Name)
1.
CEO & President
(Title)

(Signature)
David Wayne Lester, CPA, CGMA
(Printed Name)
2.
Asst. Treasurer, VP & CFO
(Title)

(Signature)
Thomas William Mueller, CPA, CGMA
(Printed Name)
3.
Asst. Secretary, VP & Controller
(Title)

Subscribed and sworn to before me this
day of _____, 2018

a. Is this an original filing?
 b. If no, 1. State the amendment number
 2. Date filed
 3. Number of pages attached

Yes[X] No[]

(Notary Public Signature)

ASSETS

| | Current Statement Date | | | 4 December 31 Prior Year Net Admitted Assets |
|---|------------------------|----------------------------|--|---|
| | 1 Assets | 2 Nonadmitted Assets | 3 Net Admitted Assets (Cols. 1 - 2) | |
| 1. Bonds | 79,158,103 | | 79,158,103 | 8,329,156 |
| 2. Stocks: | | | | |
| 2.1 Preferred stocks | | | | |
| 2.2 Common stocks | | | | |
| 3. Mortgage loans on real estate: | | | | |
| 3.1 First liens | | | | |
| 3.2 Other than first liens | | | | |
| 4. Real estate: | | | | |
| 4.1 Properties occupied by the company (less \$.....0 encumbrances) | | | | |
| 4.2 Properties held for the production of income (less \$.....0 encumbrances) | | | | |
| 4.3 Properties held for sale (less \$.....0 encumbrances) | | | | |
| 5. Cash (\$.....(588,666)), cash equivalents (\$.....3,792,494) and short-term investments (\$.....115,795) | 3,319,623 | | 3,319,623 | 74,225,482 |
| 6. Contract loans (including \$.....0 premium notes) | | | | |
| 7. Derivatives | | | | |
| 8. Other invested assets | | | | |
| 9. Receivables for securities | | | | |
| 10. Securities lending reinvested collateral assets | | | | |
| 11. Aggregate write-ins for invested assets | | | | |
| 12. Subtotals, cash and invested assets (Lines 1 to 11) | 82,477,726 | | 82,477,726 | 82,554,638 |
| 13. Title plants less \$.....0 charged off (for Title insurers only) | | | | |
| 14. Investment income due and accrued | 530,845 | | 530,845 | 66,701 |
| 15. Premiums and considerations: | | | | |
| 15.1 Uncollected premiums and agents' balances in the course of collection | 2,435,652 | | 2,435,652 | 2,782,668 |
| 15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums) | | | | |
| 15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0) | | | | |
| 16. Reinsurance: | | | | |
| 16.1 Amounts recoverable from reinsurers | 3,644,455 | | 3,644,455 | |
| 16.2 Funds held by or deposited with reinsured companies | 100,000 | | 100,000 | 100,000 |
| 16.3 Other amounts receivable under reinsurance contracts | | | | |
| 17. Amounts receivable relating to uninsured plans | | | | |
| 18.1 Current federal and foreign income tax recoverable and interest thereon | 17,688 | | 17,688 | |
| 18.2 Net deferred tax asset | 615,772 | | 615,772 | 674,483 |
| 19. Guaranty funds receivable or on deposit | | | | |
| 20. Electronic data processing equipment and software | | | | |
| 21. Furniture and equipment, including health care delivery assets (\$.....0) | | | | |
| 22. Net adjustments in assets and liabilities due to foreign exchange rates | | | | |
| 23. Receivables from parent, subsidiaries and affiliates | | | | |
| 24. Health care (\$.....0) and other amounts receivable | | | | |
| 25. Aggregate write-ins for other-than-invested assets | 5,242 | | 5,242 | |
| 26. TOTAL assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) | 89,827,380 | | 5,242 | 89,822,138 |
| 27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts | | | | |
| 28. TOTAL (Lines 26 and 27) | 89,827,380 | | 5,242 | 89,822,138 |
| DETAILS OF WRITE-INS | | | | |
| 1101. | | | | |
| 1102. | | | | |
| 1103. | | | | |
| 1198. Summary of remaining write-ins for Line 11 from overflow page | | | | |
| 1199. TOTALS (Lines 1101 through 1103 plus 1198) (Line 11 above) | | | | |
| 2501. Other Prepaid Expense | 5,242 | | 5,242 | |
| 2502. | | | | |
| 2503. | | | | |
| 2598. Summary of remaining write-ins for Line 25 from overflow page | | | | |
| 2599. TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above) | 5,242 | | 5,242 | |

LIABILITIES, SURPLUS AND OTHER FUNDS

| | | 1 Current Statement Date | 2 December 31, Prior Year |
|-----------------------------|--|--------------------------------|---------------------------------|
| 1. | Losses (current accident year \$.....0) | | 15,910,492 |
| 2. | Reinsurance payable on paid losses and loss adjustment expenses | | |
| 3. | Loss adjustment expenses | | 12,393,705 |
| 4. | Commissions payable, contingent commissions and other similar charges | 810,812 | 575,006 |
| 5. | Other expenses (excluding taxes, licenses and fees) | 128,930 | 189,419 |
| 6. | Taxes, licenses and fees (excluding federal and foreign income taxes) | 18,030 | 77,892 |
| 7.1 | Current federal and foreign income taxes (including \$.....0 on realized capital gains (losses)) | | 1,357 |
| 7.2 | Net deferred tax liability | | |
| 8. | Borrowed money \$.....0 and interest thereon \$.....0 | | |
| 9. | Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$.....8,208,378 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act) | | 8,377,315 |
| 10. | Advance premium | 881,418 | 503,492 |
| 11. | Dividends declared and unpaid: | | |
| 11.1 | Stockholders | | |
| 11.2 | Policyholders | | |
| 12. | Ceded reinsurance premiums payable (net of ceding commissions) | 4,496,917 | 728,414 |
| 13. | Funds held by company under reinsurance treaties | | |
| 14. | Amounts withheld or retained by company for account of others | | |
| 15. | Remittances and items not allocated | | |
| 16. | Provision for reinsurance (including \$.....0 certified) | | |
| 17. | Net adjustments in assets and liabilities due to foreign exchange rates | | |
| 18. | Drafts outstanding | | |
| 19. | Payable to parent, subsidiaries and affiliates | 35,854,298 | 54,314 |
| 20. | Derivatives | | |
| 21. | Payable for securities | | |
| 22. | Payable for securities lending | | |
| 23. | Liability for amounts held under uninsured plans | | |
| 24. | Capital notes \$.....0 and interest thereon \$.....0 | | |
| 25. | Aggregate write-ins for liabilities | | |
| 26. | TOTAL liabilities excluding protected cell liabilities (Lines 1 through 25) | 42,190,405 | 38,811,406 |
| 27. | Protected cell liabilities | | |
| 28. | TOTAL liabilities (Lines 26 and 27) | 42,190,405 | 38,811,406 |
| 29. | Aggregate write-ins for special surplus funds | | |
| 30. | Common capital stock | 8,186,047 | 8,186,047 |
| 31. | Preferred capital stock | | |
| 32. | Aggregate write-ins for other-than-special surplus funds | | |
| 33. | Surplus notes | | |
| 34. | Gross paid in and contributed surplus | 31,680,780 | 31,680,780 |
| 35. | Unassigned funds (surplus) | 7,764,906 | 7,500,257 |
| 36. | Less treasury stock, at cost: | | |
| 36.1 |0 shares common (value included in Line 30 \$.....0) | | |
| 36.2 |0 shares preferred (value included in Line 31 \$.....0) | | |
| 37. | Surplus as regards policyholders (Lines 29 to 35, less 36) | 47,631,733 | 47,367,084 |
| 38. | TOTALS (Page 2, Line 28, Col. 3) | 89,822,138 | 86,178,490 |
| DETAILS OF WRITE-INS | | | |
| 2501. | | | |
| 2502. | | | |
| 2503. | | | |
| 2598. | Summary of remaining write-ins for Line 25 from overflow page | | |
| 2599. | TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above) | | |
| 2901. | | | |
| 2902. | | | |
| 2903. | | | |
| 2998. | Summary of remaining write-ins for Line 29 from overflow page | | |
| 2999. | TOTALS (Lines 2901 through 2903 plus 2998) (Line 29 above) | | |
| 3201. | | | |
| 3202. | | | |
| 3203. | | | |
| 3298. | Summary of remaining write-ins for Line 32 from overflow page | | |
| 3299. | TOTALS (Lines 3201 through 3203 plus 3298) (Line 32 above) | | |

STATEMENT OF INCOME

| | | 1 Current Year to Date | 2 Prior Year to Date | 3 Prior Year Ended December 31 |
|------------------------------------|--|------------------------------|----------------------------|--------------------------------------|
| UNDERWRITING INCOME | | | | |
| 1. | Premiums earned | | | |
| 1.1 | Direct (written \$.....5,456,718) | 5,888,014 | 6,076,464 | 12,120,482 |
| 1.2 | Assumed (written \$.....3,185,103) | 3,032,396 | 2,328,772 | 5,255,948 |
| 1.3 | Ceded (written \$.....12,912,445) | 4,813,723 | 1,516,670 | 1,433,322 |
| 1.4 | Net (written \$.....(4,270,624)) | 4,106,687 | 6,888,566 | 15,943,108 |
| DEDUCTIONS: | | | | |
| 2. | Losses incurred (current accident year \$.....1,273,825) | | | |
| 2.1 | Direct | 1,331,001 | 1,741,460 | 2,639,250 |
| 2.2 | Assumed | 1,542,473 | 330,948 | 1,649,184 |
| 2.3 | Ceded | 1,599,649 | 782,338 | 483,405 |
| 2.4 | Net | 1,273,825 | 1,290,070 | 3,805,029 |
| 3. | Loss adjustment expenses incurred | 1,661,028 | 2,795,228 | 6,669,159 |
| 4. | Other underwriting expenses incurred | 1,823,144 | 3,474,204 | 8,311,403 |
| 5. | Aggregate write-ins for underwriting deductions | | | |
| 6. | TOTAL underwriting deductions (Lines 2 through 5) | 4,757,997 | 7,559,502 | 18,785,591 |
| 7. | Net income of protected cells | | | |
| 8. | Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7) | (651,310) | (670,936) | (2,842,483) |
| INVESTMENT INCOME | | | | |
| 9. | Net investment income earned | 961,718 | 934,227 | 1,874,251 |
| 10. | Net realized capital gains (losses) less capital gains tax of \$.....0 | (13,452) | 117,905 | 852,790 |
| 11. | Net investment gain (loss) (Lines 9 + 10) | 948,266 | 1,052,132 | 2,727,041 |
| OTHER INCOME | | | | |
| 12. | Net gain or (loss) from agents' or premium balances charged off (amount recovered \$.....0 amount charged off \$.....0) | | | |
| 13. | Finance and service charges not included in premiums | | | |
| 14. | Aggregate write-ins for miscellaneous income | 9,414 | 6,801 | 14,616 |
| 15. | TOTAL other income (Lines 12 through 14) | 9,414 | 6,801 | 14,616 |
| 16. | Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15) | 306,370 | 387,997 | (100,825) |
| 17. | Dividends to policyholders | | | |
| 18. | Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17) | 306,370 | 387,997 | (100,825) |
| 19. | Federal and foreign income taxes incurred | (18,545) | | (438,306) |
| 20. | Net income (Line 18 minus Line 19) (to Line 22) | 324,915 | 387,997 | 337,481 |
| CAPITAL AND SURPLUS ACCOUNT | | | | |
| 21. | Surplus as regards policyholders, December 31 prior year | 47,367,084 | 48,117,401 | 48,117,401 |
| 22. | Net income (from Line 20) | 324,915 | 387,997 | 337,481 |
| 23. | Net transfers (to) from Protected Cell accounts | | | |
| 24. | Change in net unrealized capital gains or (losses) less capital gains tax of \$.....0 | | 335,879 | (974,142) |
| 25. | Change in net unrealized foreign exchange capital gain (loss) | | | |
| 26. | Change in net deferred income tax | (64,484) | (245,051) | (224,847) |
| 27. | Change in nonadmitted assets | 4,218 | 87,946 | 111,191 |
| 28. | Change in provision for reinsurance | | | |
| 29. | Change in surplus notes | | | |
| 30. | Surplus (contributed to) withdrawn from Protected cells | | | |
| 31. | Cumulative effect of changes in accounting principles | | | |
| 32. | Capital changes: | | | |
| 32.1 | Paid in | | | |
| 32.2 | Transferred from surplus (Stock Dividend) | | | |
| 32.3 | Transferred to surplus | | | |
| 33. | Surplus adjustments: | | | |
| 33.1 | Paid in | | | |
| 33.2 | Transferred to capital (Stock Dividend) | | | |
| 33.3 | Transferred from capital | | | |
| 34. | Net remittances from or (to) Home Office | | | |
| 35. | Dividends to stockholders | | | |
| 36. | Change in treasury stock | | | |
| 37. | Aggregate write-ins for gains and losses in surplus | | | |
| 38. | Change in surplus as regards policyholders (Lines 22 through 37) | 264,649 | 566,771 | (750,317) |
| 39. | Surplus as regards policyholders, as of statement date (Lines 21 plus 38) | 47,631,733 | 48,684,172 | 47,367,084 |
| DETAILS OF WRITE-INS | | | | |
| 0501. | | | | |
| 0502. | | | | |
| 0503. | | | | |
| 0598. | Summary of remaining write-ins for Line 5 from overflow page | | | |
| 0599. | TOTALS (Lines 0501 through 0503 plus 0598) (Line 5 above) | | | |
| 1401. | Municipal Tax Collection Fee Income | 9,414 | 6,801 | 14,616 |
| 1402. | | | | |
| 1403. | | | | |
| 1498. | Summary of remaining write-ins for Line 14 from overflow page | | | |
| 1499. | TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above) | 9,414 | 6,801 | 14,616 |
| 3701. | | | | |
| 3702. | | | | |
| 3703. | | | | |
| 3798. | Summary of remaining write-ins for Line 37 from overflow page | | | |
| 3799. | TOTALS (Lines 3701 through 3703 plus 3798) (Line 37 above) | | | |

CASH FLOW

| | | 1 Current Year To Date | 2 Prior Year To Date | 3 Prior Year Ended December 31 |
|--|---|---------------------------------|-------------------------------|---|
| Cash from Operations | | | | |
| 1. | Premiums collected net of reinsurance | 222,817 | 6,769,025 | 15,367,099 |
| 2. | Net investment income | 524,982 | 1,045,950 | 2,377,457 |
| 3. | Miscellaneous income | 9,414 | 6,801 | 14,616 |
| 4. | TOTAL (Lines 1 to 3) | 757,213 | 7,821,776 | 17,759,173 |
| 5. | Benefit and loss related payments | 20,828,772 | 2,669,059 | 3,260,460 |
| 6. | Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts | | | |
| 7. | Commissions, expenses paid and aggregate write-ins for deductions | 15,763,977 | 6,621,042 | 14,921,565 |
| 8. | Dividends paid to policyholders | | | |
| 9. | Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses) | 500 | 8,500 | 18,199 |
| 10. | TOTAL (Lines 5 through 9) | 36,593,249 | 9,298,601 | 18,200,224 |
| 11. | Net cash from operations (Line 4 minus Line 10) | (35,836,036) | (1,476,825) | (441,051) |
| Cash from Investments | | | | |
| 12. | Proceeds from investments sold, matured or repaid: | | | |
| 12.1 | Bonds | 9,900,036 | 4,273,367 | 78,000,532 |
| 12.2 | Stocks | | 2,137,595 | 12,784,557 |
| 12.3 | Mortgage loans | | | |
| 12.4 | Real estate | | | |
| 12.5 | Other invested assets | | | |
| 12.6 | Net gains or (losses) on cash, cash equivalents and short-term investments | 101 | | |
| 12.7 | Miscellaneous proceeds | | 148,540 | |
| 12.8 | TOTAL investment proceeds (Lines 12.1 to 12.7) | 9,900,137 | 6,559,502 | 90,785,090 |
| 13. | Cost of investments acquired (long-term only): | | | |
| 13.1 | Bonds | 80,769,944 | 3,804,585 | 16,125,405 |
| 13.2 | Stocks | | 2,074,517 | 3,123,024 |
| 13.3 | Mortgage loans | | | |
| 13.4 | Real estate | | | |
| 13.5 | Other invested assets | | | |
| 13.6 | Miscellaneous applications | | | 1 |
| 13.7 | TOTAL investments acquired (Lines 13.1 to 13.6) | 80,769,944 | 5,879,102 | 19,248,430 |
| 14. | Net increase (or decrease) in contract loans and premium notes | | | |
| 15. | Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) | (70,869,807) | 680,400 | 71,536,660 |
| Cash from Financing and Miscellaneous Sources | | | | |
| 16. | Cash provided (applied): | | | |
| 16.1 | Surplus notes, capital notes | | | |
| 16.2 | Capital and paid in surplus, less treasury stock | | | |
| 16.3 | Borrowed funds | | | |
| 16.4 | Net deposits on deposit-type contracts and other insurance liabilities | | | |
| 16.5 | Dividends to stockholders | | | |
| 16.6 | Other cash provided (applied) | 35,799,984 | (160,551) | (447,176) |
| 17. | Net cash from financing and miscellaneous sources (Line 16.1 through 16.4 minus Line 16.5 plus Line 16.6) | 35,799,984 | (160,551) | (447,176) |
| RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS | | | | |
| 18. | Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) | (70,905,859) | (956,976) | 70,648,433 |
| 19. | Cash, cash equivalents and short-term investments: | | | |
| 19.1 | Beginning of year | 74,225,482 | 3,577,050 | 3,577,050 |
| 19.2 | End of period (Line 18 plus Line 19.1) | 3,319,623 | 2,620,074 | 74,225,482 |

Note: Supplemental Disclosures of Cash Flow Information for Non-Cash Transactions:

| | | | |
|---------|--|--|--|
| 20.0001 | | | |
|---------|--|--|--|

Notes to Financial Statement

1. Summary of Significant Accounting Policies

A. Accounting Practices

The accompanying financial statements of Healthcare Underwriters Group, Inc. (HU or the Company) have been completed in accordance with the *Accounting Practices and Procedures Manual* (NAIC SAP). A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the state of Ohio is shown below:

| | State of Domicile | 2018 | 2017 |
|---|----------------------|------------|------------|
| NET INCOME | | | |
| (1) State basis (Page 4, Line 20, Columns 1 & 3) | OH | 324,915 | 337,481 |
| (2) State Prescribed Practices that increase/(decrease) NAIC SAP: | | --- | --- |
| (3) State Permitted Practices that increase/(decrease) NAIC SAP: | | --- | --- |
| (4) NAIC SAP (1-2-3=4) | OH | 324,915 | 337,481 |
| SURPLUS | | | |
| (5) State basis (Page 3, Line 37, Columns 1 & 2) | OH | 47,631,733 | 47,367,084 |
| (6) State Prescribed Practices that increase/(decrease) NAIC SAP: | | --- | --- |
| (7) State Permitted Practices that increase/(decrease) NAIC SAP: | | --- | --- |
| (8) NAIC SAP (5-6-7=8) | OH | 47,631,733 | 47,367,084 |

B. Use of Estimates in the Preparation of the Financial Statements – No change.

C. Accounting Policies – Loan-backed securities are stated at amortized cost.

D. Going Concern – No change.

2. Accounting Changes and Corrections of Errors – None.

3. Business Combinations and Goodwill – None.

4. Discontinued Operations – There are no discontinued operations.

5. Investments

A. Mortgage Loans – The Company has no mortgage loans.

B. Debt Restructuring – The Company has no restructured debt.

C. Reverse Mortgages – The Company has no reverse mortgages.

D. Loan-Backed Securities

(1) HU's loan-backed securities consist entirely of U.S. Government residential mortgage-backed securities. Any prepayment assumptions are provided by the Company's investment advisors, New England Asset Management, Inc.

(2) None of the Company's loan-backed securities have a recognized other-than-temporary impairment.

(3) None of the Company's loan-backed securities have a recognized other-than-temporary impairment.

(4) All impaired securities (fair value is less than amortized cost) for which an other-than temporary impairment has not been recognized in earnings as a realized loss:

| Description | Amount |
|---|------------|
| a. The aggregate amount of unrealized losses: | |
| 1. Less than 12 Months | 352,671 |
| 2. 12 Months or Longer | --- |
| b. The aggregate related fair value of securities with unrealized losses: | |
| 1. Less than 12 Months | 31,537,534 |
| 2. 12 Months or Longer | --- |

(5) Advice from the Company's investment managers was considered in reaching the conclusion that the impairments are not other-than-temporary.

E. Repurchase agreements and/or securities lending transactions - The Company has none.

F. Repurchase agreements transactions accounted for as secured borrowing – The Company has none.

G. Reverse repurchase agreements transactions accounted for as secured borrowing – The Company has none.

H. Repurchase transactions accounted for as a sale – The Company has none.

I. Reverse repurchase agreement transactions accounted for as a sale – The Company has none.

J. Real Estate - The Company has no investments in real estate.

K. Low-income housing tax credits - HU has no investments in low-income housing tax credits

Notes to Financial Statement

5. Investments (Continued)

L. Restricted Assets

(1) Restricted Assets (Including Pledged)

| Restricted Asset Category | Gross Restricted | | | | | 6 | 7 | 8 | Percentage | | | | |
|---|-------------------------------------|--|--|---|-----------------|-----------|-----------|------------|--|---|--|--|--|
| | Current Year | | | | | | | | Total Current Year Admitted Restricted | 9 Gross Restricted to Total Assets | | | |
| | 1 Total General Account (G/A) | 2 G/A Supporting Protected Cell Account Activity (a) | 3 Protected Cell Account Restricted Assets | 4 Protected Cell Account Assets Supporting G/A Activity (b) | 5 (1 plus 3) | | | | | | | | |
| (a) Subject to contractual obligation for which liability is not shown | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | | |
| (b) Collateral held under security lending agreements | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | | |
| (c) Subject to repurchase agreements | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | | |
| (d) Subject to reverse repurchase agreements | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | | |
| (e) Subject to dollar repurchase agreements | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | | |
| (f) Subject to dollar reverse repurchase agreements | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | | |
| (g) Placed under option contracts | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | | |
| (h) Letter stock or securities restricted as to sale – excluding FHLB capital stock | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | | |
| (i) FHLB capital stock | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | | |
| (j) On deposit with states | 1,164,616 | --- | --- | --- | 1,164,616 | 1,162,302 | 2,314 | 1,164,616 | 1.297% | 1.297% | | | |
| (k) On deposit with other regulatory bodies | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | | |
| (l) Pledged as collateral to FHLB (including assets backing funding agreements) | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | | |
| (m) Pledged as collateral not captured in other categories | 8,937,173 | --- | --- | --- | 8,937,173 | 7,511,090 | 1,326,083 | 8,937,173 | 9.949% | 9.950% | | | |
| (n) Other restricted assets | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | | |
| (o) Total Restricted Assets | 10,101,789 | --- | --- | --- | 10,101,789 | 8,673,392 | 1,328,397 | 10,101,789 | 11.246% | 11.246% | | | |
| (a) Subset of column 1 | | | | | | | | | | | | | |
| (b) Subset of column 3 | | | | | | | | | | | | | |

(2) Detail of Assets Pledged as Collateral Not Captured in Other Categories – Securities and short-term investments in separate trust accounts as collateral pertaining to the Company's quota share reinsurance treaty.

(3) Detail of Other Restricted Assets - None.

M. Working Capital Finance Investments - None

N. Offsetting and Netting of Assets and Liabilities – Not applicable.

O. Structured Notes – None.

P. 5* Securities – None.

Q. Short sales – None.

R. Prepayment penalties and acceleration fees – none.

6. Joint Ventures, Partnerships and Limited Liability Companies – Not applicable.

7. Investment Income – No investment income due and accrued was excluded from surplus.

8. Derivative Instruments – Not applicable.

9. Income Taxes

A. The components of the net deferred tax asset/(liability) at June 30 are as follows:

1.

| Description | 6/30/2018 | | | Prior Year End | | | Change | | |
|--|-----------------|----------------|------------------------------|-----------------|----------------|------------------------------|---------------------------------|--------------------------------|------------------------------|
| | (1) Ordinary | (2) Capital | (3) (Col. 1 + 2) Total | (4) Ordinary | (5) Capital | (6) (Col. 4 + 5) Total | (7) (Col. 1 – 4) Ordinary | (8) (Col. 2 – 5) Capital | (9) (Col. 7 + 8) Total |
| (a) Gross Deferred Tax Assets | 615,832 | --- | 615,832 | 701,905 | --- | 701,905 | (86,073) | --- | (86,073) |
| (b) Statutory Valuation Allowance Adjustments | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| (c) Adjusted Gross Deferred Tax Assets (1a – 1b) | 615,832 | --- | 615,832 | 701,905 | --- | 701,905 | (86,073) | --- | (86,073) |
| (d) Deferred Tax Assets Nonadmitted | --- | --- | --- | 5,773 | --- | 5,773 | (5,773) | --- | (5,773) |
| (e) Subtotal Net Admitted Deferred Tax Asset (1c – 1d) | 615,832 | --- | 615,832 | 696,132 | --- | 696,132 | (80,300) | --- | (80,300) |
| (f) Deferred Tax Liabilities | 60 | --- | 60 | 21,649 | --- | 21,649 | (21,589) | --- | (21,589) |
| (g) Net Admitted Deferred Tax Asset/(Net Deferred Tax Liability) (1e – 1f) | 615,772 | --- | 615,772 | 674,483 | --- | 674,483 | (58,711) | --- | (58,711) |

2.

| Admission Calculation Components SSAP No. 101 | 6/30/2018 | | | Prior Year End | | | Change | | |
|--|-----------------|----------------|------------------------------|-----------------|----------------|------------------------------|---------------------------------|--------------------------------|------------------------------|
| | (1) Ordinary | (2) Capital | (3) (Col. 1 + 2) Total | (4) Ordinary | (5) Capital | (6) (Col. 4 + 5) Total | (7) (Col. 1 – 4) Ordinary | (8) (Col. 2 – 5) Capital | (9) (Col. 7 + 8) Total |
| (a) Federal Income Taxes Paid In Prior Years Recoverable Through Loss Carrybacks | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| (b) Adjusted Gross Deferred Tax Assets Expected To Be Realized (Excluding The Amount Of Deferred Tax Assets From 2(a) Above) After Application of the Threshold Limitation (The Lesser of 2(b)1 and 2(b)2 below) | 615,772 | --- | 615,772 | 674,483 | --- | 674,483 | (58,711) | --- | (58,711) |
| 1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date | 615,772 | --- | 615,772 | 674,483 | --- | 674,483 | (58,711) | --- | (58,711) |
| 2. Adjusted Gross Deferred Tax Assets Allowed per Limitation Threshold | XXX | XXX | --- | XXX | XXX | --- | XXX | XXX | --- |
| (c) Adjusted Gross Deferred Tax Assets (Excluding The Amount Of Deferred Tax Assets From 2(a) and 2(b) above) Offset by Gross Deferred Tax Liabilities | 60 | --- | 60 | 21,649 | --- | 21,649 | (21,589) | --- | (21,589) |
| (d) Deferred Tax Assets Admitted as the result of application of SSAP No. 101 Total (2(a) + 2(b) + 2(c)) | 615,832 | --- | 615,832 | 696,132 | --- | 696,132 | (80,300) | --- | (80,300) |

Notes to Financial Statement

9. Income Taxes (Continued)

3.

| Description | | 2018 | 2017 |
|-------------|--|------------|------------|
| (a) | Ratio Percentage Used To Determine Recovery Period And Threshold Limitation Amount. | 1,195,000 | 1,190,000 |
| (b) | Amount Of Adjusted Capital And Surplus Used To Determine Recovery Period And Threshold Limitation in 2(b) 2 Above. | 46,893,332 | 46,692,601 |

4. Impact of Tax Planning Strategies

| Impact of Tax-Planning Strategies | 6/30/2018 | | Prior Year End | | Change | |
|--|-----------------|----------------|-----------------|----------------|---------------------------------|--------------------------------|
| | (1) Ordinary | (2) Capital | (3) Ordinary | (4) Capital | (5) (Col. 1 – 3) Ordinary | (6) (Col. 2 - 4) Capital |
| (a) Determination of adjusted gross deferred tax assets and net admitted deferred tax assets, by tax character as a percentage | | | | | | |
| 1. Adjusted gross DTAs amount from Note 9A1(c) | 615,832 | --- | 701,905 | --- | (86,073) | --- |
| 2. Percentage of adjusted gross DTAs by tax character attributable to the impact of tax planning strategies | --- | --- | --- | --- | --- | --- |
| 3. Net Admitted Adjusted Gross DTAs amount from Note 9A1(c) | 615,832 | --- | 701,905 | --- | (80,300) | --- |
| 4. Percentage of net admitted adjusted gross DTAs by tax character admitted because of the impact of tax planning strategies | --- | --- | --- | --- | --- | --- |

(b) Does the Company's tax-planning strategies include the use of reinsurance? Yes No X

B. Regarding deferred tax liabilities that are not recognized – Not applicable.

C. Current income taxes incurred consist of the following major components:

| Description | (1) 6/30/2018 | (2) Prior Year End | (3) (Col. 1 – 2) Change |
|--|------------------|-----------------------|-------------------------------|
| 1. Current Income Tax | | | |
| (a) Federal | (18,545) | --- | (18,545) |
| (b) Foreign | --- | --- | --- |
| (c) Subtotal | (18,545) | --- | (18,545) |
| (d) Federal income tax on net capital gains | --- | (439,316) | 439,316 |
| (e) Utilization of capital loss carry-forwards | --- | --- | --- |
| (f) Other | --- | 1,010 | (1,010) |
| (g) Federal and foreign income taxes incurred | (18,545) | (438,306) | 419,761 |
| 2. Deferred Tax Assets: | | | |
| (a) Ordinary | | | |
| (1) Discounting of unpaid losses | 0 | 266,472 | (266,472) |
| (2) Unearned premium reserve | 0 | 351,847 | (351,847) |
| (3) Advance premiums | 37,020 | 21,147 | 15,873 |
| (4) Fixed assets | -- | 23 | (23) |
| (5) Net operating loss carry-forward | 541,868 | 25,797 | 516,071 |
| (6) Other (including items < 5% of total ordinary tax assets) | 36,944 | 36,619 | 325 |
| (99) Subtotal | 615,832 | 701,905 | (86,073) |
| (b) Statutory valuation allowance adjustment | --- | --- | --- |
| (c) Nonadmitted | --- | 5,773 | (5,773) |
| (d) Admitted ordinary deferred tax assets (2a99 – 2b – 2c) | 615,832 | 696,132 | (80,300) |
| (e) Capital: | | | |
| (1) Investments | --- | --- | --- |
| (2) Net capital loss carry-forward | --- | --- | --- |
| (3) Other (including items < 5% of total capital tax assets) | --- | --- | --- |
| (99) Subtotal | --- | --- | --- |
| (f) Statutory valuation allowance adjustment | --- | --- | --- |
| (g) Nonadmitted | --- | --- | --- |
| (h) Admitted capital deferred tax assets (2e99 – 2f – 2g) | -- | -- | -- |
| (i) Admitted deferred tax assets (2d + 2h) | 615,832 | 696,132 | (80,300) |
| 3. Deferred Tax Liabilities: | | | |
| (a) Ordinary | | | |
| (1) Deferred acquisition costs | --- | 20,288 | (20,288) |
| (2) Other (including items < 5% of total ordinary tax liabilities) | 60 | --- | 60 |
| (99) Subtotal | 60 | 20,288 | (20,228) |
| (b) Capital: | | | |
| (1) Investments | --- | --- | --- |
| (2) Real estate | --- | --- | --- |
| (3) Other (including items < 5% of total capital tax liabilities) | --- | 1,361 | (1,361) |
| (99) Subtotal | --- | 1,361 | (1,361) |
| (c) Deferred tax liabilities (3a99 + 3b99) | 60 | 21,649 | (21,589) |
| 4. Net deferred tax assets/liabilities (2i – 3c) | 615,772 | 674,483 | (58,711) |

Notes to Financial Statement

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties – No change.

11. Debt – The Company has no debt.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans – Not applicable.

13. Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

(1) & (2) The Company was organized as a non-assessable mutual company with no capital stock and converted to a stock insurance company effective August 25, 2014. It is wholly owned by Global Insurance Management Company, Inc. (GIMC), which holds 8,186,047 shares of common stock in the Company. GIMC is wholly owned by ProMutual Group, Inc., which is the parent company to several underwriting companies that collectively are authorized to write insurance business in all fifty states and do business as Coverys. ProMutual is a subsidiary of Medical Professional Mutual Insurance Company.

(3), (4) & (5) Under Ohio insurance statutes, dividends can only be paid from net realized savings and net realized earnings which are in excess of the Company's minimum required surplus as determined on a statutory basis. No dividend may be paid without providing notice to the Ohio Department of Insurance (ODI) and giving the ODI 30 days to disapprove such dividend. No dividends were paid during the first six months of 2018 or all of 2017.

(6) There were no restrictions placed on the Company's surplus, including for whom the surplus is being held.

(7) There were no advances to surplus not repaid.

(8) Amount of stock withheld by the Company for special purposes – None.

(9) The Company has no changes in the balances of any special surplus funds from the prior year.

(10) Unassigned funds (surplus) are not represented or reduced by cumulative unrealized gains and losses.

(11) Surplus Notes – None.

(12) & (13) Quasi-reorganizations – Not applicable.

14. Liabilities, Contingencies and Assessments – None.

15. Leases – The Company has no leases.

16. Information About Financial Instruments With Off-Balance-Sheet Risk And Financial Instruments With Concentrations of Credit Risk – Not applicable.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities – Not applicable.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans – Not applicable.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators – None.

20. Fair Value Measurements

A. The Company's estimates of fair value for financial assets and financial liabilities are based on the framework established in the Fair Value Measurements and Disclosures accounting guidance. The framework is based on the inputs used in valuation and requires that observable inputs be used in the valuations when available. The disclosure of fair value estimates in the fair value accounting guidance includes a hierarchy based on whether significant valuation inputs are observable. In determining the level of the hierarchy in which the estimate is disclosed, the highest priority is given to unadjusted quoted prices in active markets and the lowest priority to unobservable inputs that reflect HU's significant market assumptions. The Company has no assets or liabilities measured and reported at fair value. The three levels of the hierarchy are as follows:

Level 1 – Quoted prices in active markets for identical securities.

Level 2 – Quoted prices in active markets for similar securities.

Level 3 – Inputs to the valuation methodology are unobservable for the asset or liability and are significant to the fair value measurement.

(1) Fair Value Measurements at Reporting Date – Not applicable.

(2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy – Not applicable.

B. Combination of fair value information disclosed under SSAP No. 100, Fair Value Measurements, with the fair value information disclosed under other accounting pronouncements – Not applicable.

C. Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall.

| Type of Financial Instrument | Aggregate Fair Value | Admitted Assets | (Level 1) | (Level 2) | (Level 3) | Not Practicable (Carrying Value) |
|------------------------------|----------------------|-----------------|-----------|------------|-----------|----------------------------------|
| Bonds | 78,313,731 | 79,158,103 | --- | 79,158,103 | --- | --- |
| Cash Equivalents | 3,792,494 | 3,792,494 | 3,792,494 | --- | --- | --- |
| Short-Term Investments | 115,795 | 115,795 | --- | 115,795 | --- | --- |

D. Not Practicable to Estimate Fair Value – Not applicable.

Notes to Financial Statement

21. Other Items

- A. Extraordinary Items – None.
- B. Troubled Debt Restructuring: Debtors – Not applicable.
- C. Other Disclosures and Unusual Items – None.
- D. Business Interruption Insurance Recoveries – None.
- E. State Transferable and Non-transferable Tax Credits – None.
- F. Subprime-Mortgage-Related Risk Exposure – None.
- G. Agents' Balances Certification, Section 625.012(5), Florida Statutes:
 - a. Agents' Balances or Uncollected Premiums as reported on Page 2, 15.1 - \$2,435,652.
 - b. Amount of Agents' Balances or Uncollected Premiums from Page 2, Line 15.1 that is due from "controlled" or "controlling" persons – None.
 - c. Amount reported in #2 above and secured by a: Trust Fund, Letter of Credit, and Financial Guaranty Bond as required by Section 625.012, Florida Statutes – None.

22. Events Subsequent – Subsequent events have been considered through August 10, 2018 for the quarterly statement issued on August 13, 2018. There have been no further material recognized or nonrecognized subsequent events.

23. Reinsurance – Ceded reinsurance premiums payable related to insured events of prior years were increased by \$500,000 as a result of re-estimation of unpaid reinsured claims. Management determined to increase ceded reinsurance premiums payable based on management's judgment and evaluation of the payable based in part on advice received from its independent consulting actuary.

- A. Unsecured Reinsurance Recoverables – None
- B. Reinsurance Recoverable in Dispute - None
- C. Reinsurance Assumed and Ceded – Effective April 1, 2018, the Company entered into a 100% quota share agreement with Medical Professional Mutual Insurance Company (MPMIC), where HU cedes all net premiums, losses, loss adjustment and underwriting expenses to MP MIC. No return commission would be due reinsurers if the Company's reinsurance was canceled.
- D. Uncollectible Reinsurance - None
- E. Commutation of Ceded Reinsurance - None
- F. Retroactive Reinsurance – None
- G. Reinsurance Accounted for as a Deposit – None
- H. Disclosures for the Transfer of Property and Casualty Run-Off Agreements – None
- I. Certified Reinsurer Rating Downgraded or Status Subject to Revocation - None

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination – Not applicable.

25. Changes in Incurred Losses and Loss Adjustment Expenses – Net reserves for losses and loss adjustment expenses as of June 30, 2018 related to insured events of prior years were decreased by \$295,159 year-to-date as a result of re-estimation of unpaid claims because the Company has reduced its estimates of claims severity (i.e. the average size of a claim) related to those years. Based on recent internal and industry claims data and advice received from its independent consulting actuary, management believes claims severity for those earlier years will be less than was actuarially projected and that claims severity is increasing at a rate slower than was estimated when reserves for those years were established. Effective April 1, 2018, all net losses and loss adjustment expenses incurred are ceded to MP MIC as a result of the 100% quota share agreement previously mentioned. No return premiums have been accrued as a result of the prior-year effects.

26. Intercompany Pooling Arrangements – Not applicable.

27. Structured Settlements – Not applicable.

28. Health Care Receivables – Not applicable.

29. Participating Policies – Not applicable.

30. Premium Deficiency Reserves – No change.

31. High Deductibles – Not applicable.

32. Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses – Not applicable.

33. Asbestos/Environmental Reserves – Not applicable.

34. Subscriber Savings Accounts – Not applicable.

Notes to Financial Statement

35. Multiple Peril Crop Insurance – Not applicable.

36. Financial Guaranty Insurance – Not applicable.

GENERAL INTERROGATORIES**PART 1 - COMMON INTERROGATORIES
GENERAL**

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?
.....
Yes[] No[X]
Yes[] No[] N/A[X]

1.2 If yes, has the report been filed with the domiciliary state?
.....
Yes[] No[X]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?
.....
Yes[] No[X]

2.2 If yes, date of change:
.....

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?
If yes, complete Schedule Y, Parts 1 and 1A.
.....
Yes[X] No[]

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end?
.....
Yes[X] No[]

3.3 If the response to 3.2 is yes, provide a brief description of those changes:
Global Insurance Agency Partners, LLC was dissolved during the quarter.
.....
Yes[X] No[]

3.4 Is the reporting entity publicly traded or a member of a publicly traded group?
.....
Yes[] No[X]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.
.....
Yes[] No[X]

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?
.....
Yes[] No[X]

4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.
.....
Yes[] No[X]

| 1 Name of Entity | 2 NAIC Company Code | 3 State of Domicile |
|---------------------|------------------------|------------------------|
| | | |

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation.
.....
Yes[] No[X] N/A[]

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made.
.....
11/30/2013.....

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.
.....
11/30/2013.....

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).
.....
01/30/2014.....

6.4 By what department or departments?
Ohio Department of Insurance
.....

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?
.....
Yes[] No[] N/A[X]

6.6 Have all of the recommendations within the latest financial examination report been complied with?
.....
Yes[] No[X]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?
.....
Yes[] No[X]

7.2 If yes, give full information
.....

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?
.....
Yes[] No[X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
.....
Yes[] No[X]

8.3 Is the company affiliated with one or more banks, thrifts or securities firms?
.....
Yes[] No[X]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.
.....

| 1 Affiliate Name | 2 Location (City, State) | 3 FRB | 4 OCC | 5 FDIC | 6 SEC |
|---------------------|-----------------------------|----------|----------|-----------|----------|
| | | No | No | No | No |

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.
.....
Yes[X] No[]

9.11 If the response to 9.1 is No, please explain:
.....
Yes[] No[X]

9.2 Has the code of ethics for senior managers been amended?
.....
Yes[] No[X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
.....
Yes[] No[X]

9.3 Have any provisions of the code of ethics been waived for any of the specified officers?
.....
Yes[] No[X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).
.....

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?
.....
Yes[] No[X]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:
\$ 0

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)
.....
Yes[] No[X]

11.2 If yes, give full and complete information relating thereto:
.....

12. Amount of real estate and mortgages held in other invested assets in Schedule BA:
\$ 0

13. Amount of real estate and mortgages held in short-term investments:
\$ 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?
.....
Yes[] No[X]

GENERAL INTERROGATORIES (Continued)**INVESTMENT**

14.2 If yes, please complete the following:

| | | 1 Prior Year-End Book/Adjusted Carrying Value | 2 Current Quarter Book/Adjusted Carrying Value |
|-------|---|--|---|
| 14.21 | Bonds | | |
| 14.22 | Preferred Stock | | |
| 14.23 | Common Stock | | |
| 14.24 | Short-Term Investments | | |
| 14.25 | Mortgages Loans on Real Estate | | |
| 14.26 | All Other | | |
| 14.27 | Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | | |
| 14.28 | Total Investment in Parent included in Lines 14.21 to 14.26 above | | |

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes[] No[X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?

If no, attach a description with this statement.

Yes[] No[] N/A[X]

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

| | | |
|--|----------|---|
| 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 | \$ | 0 |
| 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 | \$ | 0 |
| 16.3 Total payable for securities lending reported on the liability page | \$ | 0 |

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?

Yes[X] No[]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

| 1 Name of Custodian(s) | 2 Custodian Address |
|----------------------------------|--|
| The Northern Trust Company | 50 South LaSalle Street, Chicago, IL 60675 |
| PNC Bank | 1900 E. 9th Street, Cleveland, OH 44114 |

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

| 1 Name(s) | 2 Location(s) | 3 Complete Explanation(s) |
|--------------|------------------|------------------------------|
| | | |

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?

Yes[X] No[]

17.4 If yes, give full and complete information relating thereto:

| 1 Old Custodian | 2 New Custodian | 3 Date of Change | 4 Reason |
|--------------------|------------------------------|---------------------|----------------------|
| Regions Bank | Northern Trust Company | 05/31/2018 .. | Moved accounts |

17.5 Investment management - Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. [" that have access to the investment accounts"; " handle securities"]

| 1 Name of Firm or Individual | 2 Affiliation |
|--|------------------|
| PNC Capital Advisors, LLC | U |
| New England Asset Management, Inc. | U |

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets?

Yes[X] No[]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets?

Yes[] No[X]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

| 1 Central Registration Depository Number | 2 Name of Firm or Individual | 3 Legal Entity Identifier (LEI) | 4 Registered With | 5 Investment Management Agreement (IMA) Filed |
|--|---|---------------------------------------|---|---|
| 151829 | PNC Capital Advisors, LLC | | Securities Exchange Commission | DS |
| 105900 | New England Asset Management, Inc. | KUR85E5PS4GQFZTFC130 | Securities Exchange Commission | NO |

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed?

Yes[X] No[]

18.2 If no, list exceptions:

19. By self-designating 5*GI securities, the reporting entity is certifying the following elements for each self-designated 5*GI security:

a. Documentation necessary to permit a full credit analysis of the security does not exist.

b. Issuer or obligor is current on all contracted interest and principal payments.

c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

GENERAL INTERROGATORIES (Continued)

Has the reporting entity self-designated 5*GI securities?

Yes[] No[X]

GENERAL INTERROGATORIES

PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes No N/A X
If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes No X
If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes No X
3.2 If yes, give full and complete information thereto

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see annual statement instructions pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes No X
4.2 If yes, complete the following schedule:

| 1 Line of Business | 2 Maximum Interest | 3 Discount Rate | TOTAL DISCOUNT | | | | DISCOUNT TAKEN DURING PERIOD | | | |
|-----------------------|--------------------------|-----------------------|-----------------------|--------------------|-----------|------------|------------------------------|--------------------|------------|-------------|
| | | | 4 Unpaid Losses | 5 Unpaid LAE | 6 IBNR | 7 TOTAL | 8 Unpaid Losses | 9 Unpaid LAE | 10 IBNR | 11 TOTAL |
| 04.2999 Total | | | | | | | | | | |

5. Operating Percentages:
5.1 A&H loss percent 0.000%
5.2 A&H cost containment percent 0.000%
5.3 A&H expense percent excluding cost containment expenses 0.000%

6.1 Do you act as a custodian for health savings accounts? Yes No X
6.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ 0
6.3 Do you act as an administrator for health savings accounts? Yes No X
6.4 If yes, please provide the balance of the funds administered as of the reporting date. \$ 0

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes X No []
7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes No X

SCHEDULE F - CEDED REINSURANCE**Showing all new reinsurers - Current Year to Date**

| 1 NAIC Company Code | 2 ID Number | 3 Name of Reinsurer | 4 Domiciliary Jurisdiction | 5 Type of Reinsurer | 6 Certified Reinsurer Rating (1 through 6) | 7 Effective Date of Certified Reinsurer Rating |
|------------------------------|------------------|---------------------------------------|----------------------------------|---------------------------|---|---|
| Affiliates | | | | | | |
| 10206 | 04-2595783 | MEDICAL PROFESSIONAL MUT INS CO | MA | Unauthorized | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**Current Year to Date - Allocated by States and Territories**

| States, etc. | 1 Active Status (a) | Direct Premiums Written | | Direct Losses Paid (Deducting Salvage) | | Direct Losses Unpaid | |
|---|---------------------------|------------------------------|----------------------------|--|----------------------------|------------------------------|----------------------------|
| | | 2 Current Year To Date | 3 Prior Year To Date | 4 Current Year To Date | 5 Prior Year To Date | 6 Current Year To Date | 7 Prior Year To Date |
| 1. Alabama (AL) | N | | | | | | |
| 2. Alaska (AK) | N | | | | | | |
| 3. Arizona (AZ) | N | | | | | | |
| 4. Arkansas (AR) | N | | | | | | |
| 5. California (CA) | N | | | | | | |
| 6. Colorado (CO) | N | | | | | | |
| 7. Connecticut (CT) | N | | | | | | |
| 8. Delaware (DE) | N | | | | | | |
| 9. District of Columbia (DC) | N | | | | | | |
| 10. Florida (FL) | L | 2,844,956 | 2,501,586 | 490,000 | 1,702,500 | 7,054,928 | 6,021,217 |
| 11. Georgia (GA) | N | | | | | | |
| 12. Hawaii (HI) | N | | | | | | |
| 13. Idaho (ID) | N | | | | | | |
| 14. Illinois (IL) | N | | | | | | |
| 15. Indiana (IN) | L | 94,017 | 44,556 | | | 9,929 | 7,639 |
| 16. Iowa (IA) | N | | | | | | |
| 17. Kansas (KS) | N | | | | | | |
| 18. Kentucky (KY) | L | 1,051,995 | 1,015,602 | 1,375,559 | 107,500 | 2,944,427 | 6,204,681 |
| 19. Louisiana (LA) | N | | | | | | |
| 20. Maine (ME) | N | | | | | | |
| 21. Maryland (MD) | N | | | | | | |
| 22. Massachusetts (MA) | N | | | | | | |
| 23. Michigan (MI) | N | | | | | | |
| 24. Minnesota (MN) | N | | | | | | |
| 25. Mississippi (MS) | N | | | | | | |
| 26. Missouri (MO) | N | | | | | | |
| 27. Montana (MT) | N | | | | | | |
| 28. Nebraska (NE) | N | | | | | | |
| 29. Nevada (NV) | N | | | | | | |
| 30. New Hampshire (NH) | N | | | | | | |
| 31. New Jersey (NJ) | N | | | | | | |
| 32. New Mexico (NM) | N | | | | | | |
| 33. New York (NY) | N | | | | | | |
| 34. North Carolina (NC) | N | | | | | | |
| 35. North Dakota (ND) | N | | | | | | |
| 36. Ohio (OH) | L | 1,384,471 | 1,309,213 | 600,000 | 40,000 | 1,873,967 | 2,664,969 |
| 37. Oklahoma (OK) | N | | | | | | |
| 38. Oregon (OR) | N | | | | | | |
| 39. Pennsylvania (PA) | N | | | | | | |
| 40. Rhode Island (RI) | N | | | | | | |
| 41. South Carolina (SC) | N | | | | | | |
| 42. South Dakota (SD) | N | | | | | | |
| 43. Tennessee (TN) | N | | | | | | |
| 44. Texas (TX) | L | 81,279 | 95,091 | | | 31,374 | 2,787 |
| 45. Utah (UT) | N | | | | | | |
| 46. Vermont (VT) | N | | | | | | |
| 47. Virginia (VA) | N | | | | | | |
| 48. Washington (WA) | N | | | | | | |
| 49. West Virginia (WV) | N | | | | | | |
| 50. Wisconsin (WI) | N | | | | | | |
| 51. Wyoming (WY) | N | | | | | | |
| 52. American Samoa (AS) | N | | | | | | |
| 53. Guam (GU) | N | | | | | | |
| 54. Puerto Rico (PR) | N | | | | | | |
| 55. U.S. Virgin Islands (VI) | N | | | | | | |
| 56. Northern Mariana Islands (MP) | N | | | | | | |
| 57. Canada (CAN) | N | | | | | | |
| 58. Aggregate other alien (OT) | XXX | | | | | | |
| 59. Totals | XXX | 5,456,718 | 4,966,048 | 2,465,559 | 1,850,000 | 11,914,625 | 14,901,293 |

DETAILS OF WRITE-INS

| | | | | | | | |
|---|-----------|--|--|--|--|--|--|
| 58001 | XXX | | | | | | |
| 58002 | XXX | | | | | | |
| 58003 | XXX | | | | | | |
| 58998 Summary of remaining write-ins for Line 58 from overflow page | XXX | | | | | | |
| 58999 TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above) | XXX | | | | | | |

(a) Active Status Counts:

L Licensed or Chartered - Licensed insurance carrier or domiciled RRG
 E Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile. See DSLI)
 D Domestic Surplus Lines Insurer (DSLI) Reporting entities authorized to write surplus lines in the state of domicile.

5

R Registered - Non-domiciled RRGs

6

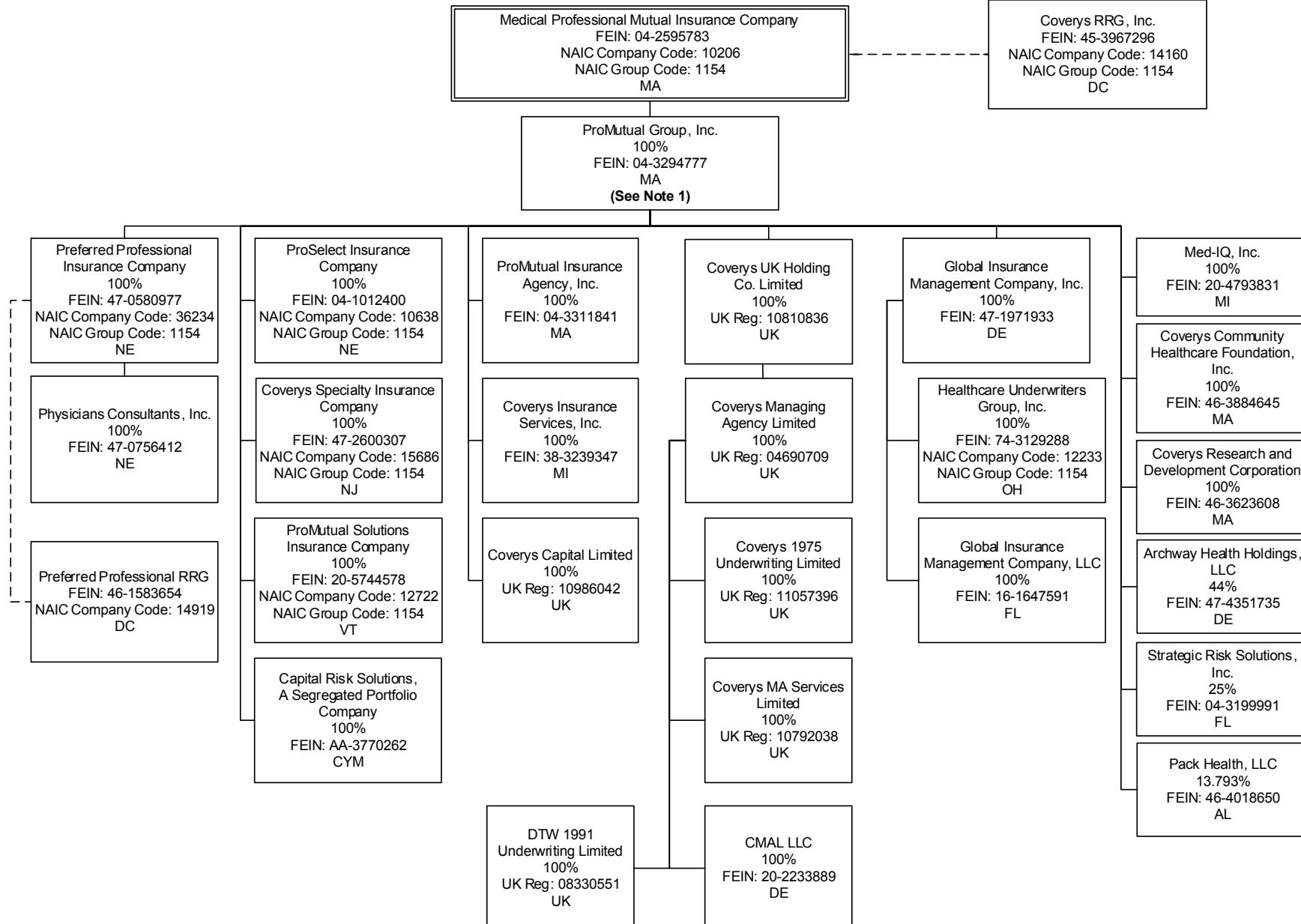
Q Qualified - Qualified or accredited reinsurer

7

N None of the above Not allowed to write business in the state

52

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER
MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**



Notes

1) Effective December 29, 2017, ProMutual Group, Inc. made an investment in Knowledge Diffusion Inc., DE; FEIN: 46-0710111 which provides the right to receive a variable number of shares to be determined at a future date.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|---------------|-------------------|------------|--------------|-------|--|---|----------------------|----------------------------------|---|--|--|---|----------------------------------|---------|
| Group Code | Group Name | NAIC Company Code | ID Number | FEDERAL RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity / Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies) / Person(s) | Is an SCA Filing Required? (Y/N) | * |
| Q12 | Coverys | 10206 | 04-2595783 | | | | Medical Professional Mutual Insurance Company | MA | OTH .. | | | | | Y .. | 0000002 |
| | Coverys | 36234 | 47-0580977 | | | | Preferred Professional Insurance Company | NE | IA .. | ProMutual Group, Inc. | Ownership | 100.0 | Medical Professional Mutual Insurance Company | N .. | |
| | Coverys | 10638 | 04-1012400 | | | | ProSelect Insurance Company | NE | IA .. | ProMutual Group, Inc. | Ownership | 100.0 | Medical Professional Mutual Insurance Company | N .. | |
| | Coverys | 15686 | 47-2600307 | | | | Coverys Specialty Insurance Company | NJ | IA .. | ProMutual Group, Inc. | Ownership | 100.0 | Medical Professional Mutual Insurance Company | N .. | |
| | Coverys | 12233 | 74-3129288 | | | | Healthcare Underwriters Group, Inc. | OH | IA .. | Global Insurance Management Company, Inc. | Ownership | 100.0 | Medical Professional Mutual Insurance Company | N .. | |
| | Coverys | 14919 | 46-1583654 | | | | Preferred Professional RRG | DC | OTH .. | Preferred Professional Insurance Company | Management | | Medical Professional Mutual Insurance Company | N .. | 0000003 |
| | Coverys | 14160 | 45-3967296 | | | | Coverys RRG, Inc. | DC | RE .. | Medical Professional Mutual Insurance Company | Management | | Medical Professional Mutual Insurance Company | N .. | 0000002 |
| | Coverys | 12722 | 20-5744578 | | | | ProMutual Solutions Insurance Company | VT | IA .. | ProMutual Group, Inc. | Ownership | 100.0 | Medical Professional Mutual Insurance Company | N .. | |
| | Coverys | 00000 | 04-3294777 | | | | ProMutual Group, Inc. | MA | NIA .. | Medical Professional Mutual Insurance Company | Ownership | 100.0 | Medical Professional Mutual Insurance Company | N .. | |
| | Coverys | 00000 | 47-0756412 | | | | Physicians Consultants, Inc. | NE | NIA .. | Preferred Professional Insurance Company | Ownership | 100.0 | Medical Professional Mutual Insurance Company | N .. | |
| | Coverys | 00000 | AA-3770262 | | | | Capital Risk Solutions | CYM | OTH .. | ProMutual Group, Inc. | Ownership | 100.0 | Medical Professional Mutual Insurance Company | N .. | |
| | Coverys | 00000 | 04-3311841 | | | | ProMutual Insurance Agency, Inc. | MA | NIA .. | ProMutual Group, Inc. | Ownership | 100.0 | Medical Professional Mutual Insurance Company | N .. | 0000001 |
| | Coverys | 00000 | 38-3239347 | | | | Coverys Insurance Services, Inc. | MI | NIA .. | ProMutual Group, Inc. | Ownership | 100.0 | Medical Professional Mutual Insurance Company | N .. | |
| | Coverys | 00000 | 47-1971933 | | | | Global Insurance Management Company, Inc. | DE | NIA .. | ProMutual Group, Inc. | Ownership | 100.0 | Medical Professional Mutual Insurance Company | N .. | |
| | Coverys | 00000 | 16-1647591 | | | | Global Insurance Management Company, LLC | FL | NIA .. | Global Insurance Management Company, Inc. | Ownership | 100.0 | Medical Professional Mutual Insurance Company | N .. | |
| | Coverys | 00000 | | | | | Coverys Capital Limited | GBR | NIA .. | ProMutual Group, Inc. | Ownership | 100.0 | Medical Professional Mutual Insurance Company | N .. | |
| | Coverys | 00000 | 46-3884645 | | | | Coverys Community Healthcare Foundation, Inc. | MA | NIA .. | ProMutual Group, Inc. | Ownership | 100.0 | Medical Professional Mutual Insurance Company | N .. | |
| | Coverys | 00000 | 46-3623608 | | | | Coverys Research and Development Corporation | MA | NIA .. | ProMutual Group, Inc. | Ownership | 100.0 | Medical Professional Mutual Insurance Company | N .. | |
| | Coverys | 00000 | 47-4351735 | | | | Archway Health Holdings, LLC | MA | NIA .. | ProMutual Group, Inc. | Ownership | 44.0 | Medical Professional Mutual Insurance Company | N .. | |
| | Coverys | 00000 | 04-3199991 | | | | Strategic Risk Solutions, Inc. | FL | NIA .. | ProMutual Group, Inc. | Ownership | 25.0 | Medical Professional Mutual Insurance Company | N .. | |
| | Coverys | 00000 | 20-4793831 | | | | Med-IQ, Inc. | MI | NIA .. | ProMutual Group, Inc. | Ownership | 100.0 | Medical Professional Mutual Insurance Company | N .. | |
| | Coverys | 00000 | | | | | Coverys UK Holding Co. Limited | GBR | NIA .. | ProMutual Group, Inc. | Ownership | 100.0 | Medical Professional Mutual Insurance Company | N .. | |
| | Coverys | 00000 | | | | | Coverys Managing Agency Limited | GBR | NIA .. | Coverys UK Holding Co. Limited | Ownership | 100.0 | Medical Professional Mutual Insurance Company | N .. | |
| | Coverys | 00000 | | | | | Coverys 1975 Underwriting Limited | GBR | NIA .. | Coverys UK Holding Co. Limited | Ownership | 100.0 | Medical Professional Mutual Insurance Company | N .. | |
| | Coverys | 00000 | | | | | Coverys MA Services Limited | GBR | NIA .. | Coverys UK Holding Co. Limited | Ownership | 100.0 | Medical Professional Mutual Insurance Company | N .. | |

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 Group Code | 2 Group Name | 3 NAIC Comp- any Code | 4 ID Number | 5 FEDERAL RSSD | 6 CIK | 7 Name of Securities Exchange if Publicly Traded (U.S. or International) | 8 Names of Parent, Subsidiaries or Affiliates | 9 Domic- iliary Loca- tion | 10 Rela- tion- ship to Report- ing Entity | 11 Directly Controlled by (Name of Entity / Person) | 12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | 13 If Control is Ownership Provide Percentage | 14 Ultimate Controlling Entity(ies) / Person(s) | 15 Is an SCA Filing Required? (Y/N) | 16 * |
|--------------------|-----------------|-----------------------------------|-------------------|----------------------|----------|--|--|--|---|---|---|--|---|--|---------|
| | Coverys | 00000 | 20-2233889 | | | | CMAL LLC | DE | NIA | Coverys UK Holding Co. Limited | Ownership | 100.0 | Medical Professional Mutual Insurance Company | N | |
| | Coverys | 00000 | | | | | DTW 1991 Underwritinng Limited | GBR | NIA | Coverys UK Holding Co. Limited | Ownership | 100.0 | Medical Professional Mutual Insurance Company | N | |
| | Coverys | 00000 | 46-4018650 | | | | Pack Health, LLC | AL | NIA | ProMutual Group | Ownership | 13.8 | Medical Professional Mutual Insurance Company | N | |

| Asterisk | Explanation |
|----------|--|
| 0000001 | Non-US/Non-Insurance Affiliate |
| 0000002 | Medical Professional Mutual Insurance Company is the managing general agent for Coverys RRG, Inc. |
| 0000003 | Preferred Professional Insurance Company (PPIC) is the captive manager for Preferred Professional RRG (PPRRG). ProMutual Group, Inc. is the 100% owner of PPIC. Medical Professional Mutual Insurance Company is the 100% owner of ProMutual Group, Inc. |

STATEMENT AS OF **June 30, 2018** OF THE **HEALTHCARE UNDERWRITERS GROUP, INC.**
PART 1 - LOSS EXPERIENCE

| Line of Business | Current Year to Date | | | 4 Prior Year to Date Direct Loss Percentage |
|---|--------------------------------|--------------------------------|--------------------------------|--|
| | 1 Direct Premiums Earned | 2 Direct Losses Incurred | 3 Direct Loss Percentage | |
| 1. Fire | | | | |
| 2. Allied lines | | | | |
| 3. Farmowners multiple peril | | | | |
| 4. Homeowners multiple peril | | | | |
| 5. Commercial multiple peril | | | | |
| 6. Mortgage guaranty | | | | |
| 8. Ocean marine | | | | |
| 9. Inland marine | | | | |
| 10. Financial guaranty | | | | |
| 11.1 Medical professional liability - occurrence | 320,899 | 83,439 | 26.002 | 26.270 |
| 11.2 Medical professional liability - claims made | 5,567,115 | 1,247,562 | 22.410 | 28.698 |
| 12. Earthquake | | | | |
| 13. Group accident and health | | | | |
| 14. Credit accident and health | | | | |
| 15. Other accident and health | | | | |
| 16. Workers' compensation | | | | |
| 17.1 Other liability - occurrence | | | | |
| 17.2 Other liability - claims made | | | | |
| 17.3 Excess Workers' Compensation | | | | |
| 18.1 Products liability - occurrence | | | | |
| 18.2 Products liability - claims made | | | | |
| 19.1 19.2 Private passenger auto liability | | | | |
| 19.3 19.4 Commercial auto liability | | | | |
| 21. Auto physical damage | | | | |
| 22. Aircraft (all perils) | | | | |
| 23. Fidelity | | | | |
| 24. Surety | | | | |
| 26. Burglary and theft | | | | |
| 27. Boiler and machinery | | | | |
| 28. Credit | | | | |
| 29. International | | | | |
| 30. Warranty | | | | |
| 31. Reinsurance-Nonproportional Assumed Property | XXX | XXX | XXX | XXX |
| 32. Reinsurance-Nonproportional Assumed Liability | XXX | XXX | XXX | XXX |
| 33. Reinsurance-Nonproportional Assumed Financial Lines | XXX | XXX | XXX | XXX |
| 34. Aggregate write-ins for other lines of business | | | | |
| 35. TOTALS | 5,888,014 | 1,331,001 | 22.605 | 28.659 |
| DETAILS OF WRITE-INS | | | | |
| 3401. | | | | |
| 3402. | | | | |
| 3403. | | | | |
| 3498. Summary of remaining write-ins for Line 34 from overflow page | | | | |
| 3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above) | | | | |

PART 2 - DIRECT PREMIUMS WRITTEN

| Line of Business | 1 Current Quarter | 2 Current Year to Date | 3 Prior Year Year to Date |
|---|-------------------------|------------------------------|---------------------------------|
| | | | |
| 1. Fire | | | |
| 2. Allied lines | | | |
| 3. Farmowners multiple peril | | | |
| 4. Homeowners multiple peril | | | |
| 5. Commercial multiple peril | | | |
| 6. Mortgage guaranty | | | |
| 8. Ocean marine | | | |
| 9. Inland marine | | | |
| 10. Financial guaranty | | | |
| 11.1 Medical professional liability - occurrence | 132,687 | 320,899 | 97,059 |
| 11.2 Medical professional liability - claims made | 2,206,742 | 5,135,819 | 4,868,989 |
| 12. Earthquake | | | |
| 13. Group accident and health | | | |
| 14. Credit accident and health | | | |
| 15. Other accident and health | | | |
| 16. Workers' compensation | | | |
| 17.1 Other liability - occurrence | | | |
| 17.2 Other liability - claims made | | | |
| 17.3 Excess Workers' Compensation | | | |
| 18.1 Products liability - occurrence | | | |
| 18.2 Products liability - claims made | | | |
| 19.1 19.2 Private passenger auto liability | | | |
| 19.3 19.4 Commercial auto liability | | | |
| 21. Auto physical damage | | | |
| 22. Aircraft (all perils) | | | |
| 23. Fidelity | | | |
| 24. Surety | | | |
| 26. Burglary and theft | | | |
| 27. Boiler and machinery | | | |
| 28. Credit | | | |
| 29. International | | | |
| 30. Warranty | | | |
| 31. Reinsurance-Nonproportional Assumed Property | XXX | XXX | XXX |
| 32. Reinsurance-Nonproportional Assumed Liability | XXX | XXX | XXX |
| 33. Reinsurance-Nonproportional Assumed Financial Lines | XXX | XXX | XXX |
| 34. Aggregate write-ins for other lines of business | | | |
| 35. TOTALS | 2,339,429 | 5,456,718 | 4,966,048 |
| DETAILS OF WRITE-INS | | | |
| 3401. | | | |
| 3402. | | | |
| 3403. | | | |
| 3498. Summary of remaining write-ins for Line 34 from overflow page | | | |
| 3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above) | | | |

PART 3 (000 omitted)
LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

| Years in Which Losses Occurred | 1 Prior Year-End Known Case Loss and LAE Reserves | 2 Prior Year-End IBNR Loss and LAE Reserves | 3 Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2) | 4 2018 Loss and LAE Payments on Claims Reported as of Prior Year-End | 5 2018 Loss and LAE Payments on Claims Unreported as of Prior Year-End | 6 Total 2018 Loss and LAE Payments (Cols. 4 + 5) | 7 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End | 8 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End | 9 | 10 Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9) | 11 Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4 + 7 minus Col. 1) | 12 Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5 + 8 + 9 minus Col. 2) | 13 Prior Year-End Total Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 11 + 12) |
|--|--|--|--|---|---|--|--|---|---|---|--|--|--|
| | | | | | | | | | | | | | |
| 1. 2015 + Prior | 7,303 | 3,206 | 10,509 | 10,509 | | 10,509 | | | | | 3,206 | (3,206) | |
| 2. 2016 | 4,261 | 2,950 | 7,211 | 7,211 | | 7,211 | | | | | 2,950 | (2,950) | |
| 3. Subtotals 2016 + Prior | 11,564 | 6,156 | 17,720 | 17,720 | | 17,720 | | | | | 6,156 | (6,156) | |
| 4. 2017 | 6,751 | 3,833 | 10,584 | 10,584 | | 10,584 | | | | | 3,833 | (3,833) | |
| 5. Subtotals 2017 + Prior | 18,315 | 9,989 | 28,304 | 28,304 | | 28,304 | | | | | 9,989 | (9,989) | |
| 6. 2018 | XXX | XXX | XXX | XXX | 2,935 | 2,935 | XXX | | | | XXX | XXX | XXX |
| 7. Totals | 18,315 | 9,989 | 28,304 | 28,304 | 2,935 | 31,239 | | | | | 9,989 | (9,989) | |
| | | | | | | | | | | | Col. 11, Line 7 As % of Col. 1 Line 7 | Col. 12, Line 7 As % of Col. 2 Line 7 | Col. 13, Line 7 As % of Col. 3 Line 7 |
| 8. Prior Year-End Surplus As Regards Policyholders | 47,367 | | | | | | | | | | 1..... 54,540 | 2..... (100,000) | 3..... |
| | | | | | | | | | | | | | Col. 13, Line 7 Line 8 4..... |

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

RESPONSES

1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

No
Yes
No
No

Explanations:

Bar Codes:

Trusted Surplus Statement



2018

Document Code: 490

Medicare Part D Coverage Supplement



2018

Document Code: 365

Director and Officer Supplement



2018

Document Code: 505

1223320184900002

1223320185050002

1223320183650002

N O N E

STATEMENT AS OF **June 30, 2018** OF THE **HEALTHCARE UNDERWRITERS GROUP, INC.**
SCHEDULE A - VERIFICATION

Real Estate

| | 1 Year To Date | 2 Prior Year Ended December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year | | |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition | | |
| 2.2 Additional investment made after acquisition | | |
| 3. Current year change in encumbrances | | |
| 4. Total gain (loss) on disposals | | |
| 5. Deduct amounts received on disposals | | |
| 6. Total foreign exchange change in book/adjusted carrying value | | |
| 7. Deduct current year's other-than-temporary impairment recognized | | |
| 8. Deduct current year's depreciation | | |
| 9. Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8) | | |
| 10. Deduct total nonadmitted amounts | | |
| 11. Statement value at end of current period (Line 9 minus Line 10) | | |

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

| | 1 Year To Date | 2 Prior Year Ended December 31 |
|---|-------------------|--------------------------------------|
| 1. Book value/recorded investment excluding accrued interest, December 31 of prior year | | |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition | | |
| 2.2 Additional investment made after acquisition | | |
| 3. Capitalized deferred interest and other | | |
| 4. Accrual of discount | | |
| 5. Unrealized valuation increase (decrease) | | |
| 6. Total gain (loss) on disposals | | |
| 7. Deduct amounts received on disposals | | |
| 8. Deduct amortization of premium and mortgage interest points | | |
| 9. Total foreign exchange change in book value/recorded investment | | |
| 10. Deduct current year's other-than-temporary impairment recognized | | |
| 11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10) | | |
| 12. Total valuation allowance | | |
| 13. Subtotal (Line 11 plus Line 12) | | |
| 14. Deduct total nonadmitted amounts | | |
| 15. Statement value at end of current period (Line 13 minus Line 14) | | |

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

| | 1 Year To Date | 2 Prior Year Ended December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year | | |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition | | |
| 2.2 Additional investment made after acquisition | | |
| 3. Capitalized deferred interest and other | | |
| 4. Accrual of discount | | |
| 5. Unrealized valuation increase (decrease) | | |
| 6. Total gain (loss) on disposals | | |
| 7. Deduct amounts received on disposals | | |
| 8. Deduct amortization of premium and depreciation | | |
| 9. Total foreign exchange change in book/adjusted carrying value | | |
| 10. Deduct current year's other-than-temporary impairment recognized | | |
| 11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10) | | |
| 12. Deduct total nonadmitted amounts | | |
| 13. Statement value at end of current period (Line 11 minus Line 12) | | |

NONE

SCHEDULE D - VERIFICATION

Bonds and Stocks

| | 1 Year To Date | 2 Prior Year Ended December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year | 8,329,156 | 79,756,889 |
| 2. Cost of bonds and stocks acquired | 80,769,944 | 19,248,429 |
| 3. Accrual of discount | 24,224 | 1,055 |
| 4. Unrealized valuation increase (decrease) | | (974,142) |
| 5. Total gain (loss) on disposals | (13,553) | 1,292,106 |
| 6. Deduct consideration for bonds and stocks disposed of | 9,900,036 | 90,785,090 |
| 7. Deduct amortization of premium | 51,632 | 210,093 |
| 8. Total foreign exchange change in book/adjusted carrying value | | |
| 9. Deduct current year's other-than-temporary impairment recognized | | |
| 10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees | | |
| 11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9 + 10) | 79,158,103 | 8,329,156 |
| 12. Deduct total nonadmitted amounts | | |
| 13. Statement value at end of current period (Line 11 minus Line 12) | 79,158,103 | 8,329,156 |

SCHEDULE D - PART 1B
Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

| NAIC Designation | 1 Book/Adjusted Carrying Value Beginning of Current Quarter | 2 Acquisitions During Current Quarter | 3 Dispositions During Current Quarter | 4 Non-Trading Activity During Current Quarter | 5 Book/Adjusted Carrying Value End of First Quarter | 6 Book/Adjusted Carrying Value End of Second Quarter | 7 Book/Adjusted Carrying Value End of Third Quarter | 8 Book/Adjusted Carrying Value December 31 Prior Year |
|---|---|--|--|--|---|--|---|---|
| BONDS | | | | | | | | |
| 1. NAIC 1 (a) | 75,609,244 | 7,730,959 | 9,199,753 | (21,845) | 75,609,244 | 74,118,605 | | 7,904,175 |
| 2. NAIC 2 (a) | 5,593,821 | | 439,423 | 895 | 5,593,821 | 5,155,293 | | 424,981 |
| 3. NAIC 3 (a) | | | | | | | | |
| 4. NAIC 4 (a) | | | | | | | | |
| 5. NAIC 5 (a) | | | | | | | | |
| 6. NAIC 6 (a) | | | | | | | | |
| 7. Total Bonds | 81,203,066 | 7,730,959 | 9,639,176 | (20,950) | 81,203,066 | 79,273,898 | | 8,329,156 |
| PREFERRED STOCK | | | | | | | | |
| 8. NAIC 1 | | | | | | | | |
| 9. NAIC 2 | | | | | | | | |
| 10. NAIC 3 | | | | | | | | |
| 11. NAIC 4 | | | | | | | | |
| 12. NAIC 5 | | | | | | | | |
| 13. NAIC 6 | | | | | | | | |
| 14. Total Preferred Stock | | | | | | | | |
| 15. Total Bonds & Preferred Stock | 81,203,066 | 7,730,959 | 9,639,176 | (20,950) | 81,203,066 | 79,273,898 | | 8,329,156 |

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$.....115,795; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0

SCHEDULE DA - PART 1**Short - Term Investments**

| | 1 Book/Adjusted Carrying Value | 2 Par Value | 3 Actual Cost | 4 Interest Collected Year To Date | 5 Paid for Accrued Interest Year To Date |
|------------------------|---|----------------|---------------------|---|---|
| 9199999. Totals | 115,795 | XXX | 116,122 | 438 | 743 |

SCHEDULE DA - Verification**Short-Term Investments**

| | 1 Year To Date | 2 Prior Year Ended December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year | 25,318 | 2,643,615 |
| 2. Cost of short-term investments acquired | 141,162 | 50,100 |
| 3. Accrual of discount | | |
| 4. Unrealized valuation increase (decrease) | | |
| 5. Total gain (loss) on disposals | | |
| 6. Deduct consideration received on disposals | 50,321 | 2,667,233 |
| 7. Deduct amortization of premium | 364 | 1,164 |
| 8. Total foreign exchange change in book/adjusted carrying value | | |
| 9. Deduct current year's other-than-temporary impairment recognized | | |
| 10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9) | 115,795 | 25,318 |
| 11. Deduct total nonadmitted amounts | | |
| 12. Statement value at end of current period (Line 10 minus Line 11) | 115,795 | 25,318 |

SI04 Schedule DB - Part A Verification **NONE**

SI04 Schedule DB - Part B Verification **NONE**

SI05 Schedule DB Part C Section 1 **NONE**

SI06 Schedule DB Part C Section 2 **NONE**

SI07 Schedule DB - Verification **NONE**

SCHEDULE E - PART 2 - VERIFICATION
(Cash Equivalents)

| | 1 Year To Date | 2 Prior Year Ended December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year | 74,716,790 | 1,781,232 |
| 2. Cost of cash equivalents acquired | 139,058,000 | 94,206,815 |
| 3. Accrual of discount | 5 | |
| 4. Unrealized valuation increase (decrease) | | |
| 5. Total gain (loss) on disposals | 101 | |
| 6. Deduct consideration received on disposals | 209,982,256 | 21,271,257 |
| 7. Deduct amortization of premium | 146 | |
| 8. Total foreign exchange change in book/adjusted carrying value | | |
| 9. Deduct current year's other-than-temporary impairment recognized | | |
| 10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9) | 3,792,494 | 74,716,790 |
| 11. Deduct total nonadmitted amounts | | |
| 12. Statement value at end of current period (Line 10 minus Line 11) | 3,792,494 | 74,716,790 |

E01 Schedule A Part 2 **NONE**

E01 Schedule A Part 3 **NONE**

E02 Schedule B Part 2 **NONE**

E02 Schedule B Part 3 **NONE**

E03 Schedule BA Part 2 **NONE**

E03 Schedule BA Part 3 **NONE**

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

| 1 CUSIP Identification | 2 Description | 3 Foreign | 4 Date Acquired | 5 Name of Vendor | 6 Number of Shares of Stock | 7 Actual Cost | 8 Par Value | 9 Paid for Accrued Interest and Dividends | 10 NAIC Designation or Market Indicator (a) |
|---|----------------------------------|--------------|--------------------|------------------------|-----------------------------------|------------------|-----------------|---|---|
| Bonds - U.S. Governments | | | | | | | | | |
| 912828H52 .. | UNITED STATES TREAS NTS | | 04/03/2018 .. | NORTHERN TRUST | XXX | 500,349 | 500,000 | 1,295 | 1 |
| 912828J27 .. | UNITED STATES TREAS NTS | | 04/03/2018 .. | NORTHERN TRUST | XXX | 247,534 | 250,000 | 829 | 1 |
| 912828PC8 .. | UNITED STATES TREAS NTS | | 04/03/2018 .. | NORTHERN TRUST | XXX | 512,942 | 500,000 | 5,511 | 1 |
| 912828R36 .. | UNITED STATES TREAS NTS | | 04/03/2018 .. | NORTHERN TRUST | XXX | 243,827 | 250,000 | 1,706 | 1 |
| 912828RR3 .. | UNITED STATES TREAS NTS | | 04/27/2018 .. | NORTHERN TRUST | XXX | 100,482 | 100,000 | 901 | 1 |
| 912828S43 .. | UNITED STATES TREAS NTS | | 04/03/2018 .. | NORTHERN TRUST | XXX | 494,366 | 500,000 | 943 | 1 |
| 912828VB3 .. | UNITED STATES TREAS NTS | | 04/03/2018 .. | NORTHERN TRUST | XXX | 486,206 | 500,000 | 3,674 | 1 |
| 912828WZ9 .. | UNITED STATES TREAS NTS | | 04/03/2018 .. | NORTHERN TRUST | XXX | 492,994 | 500,000 | 4,037 | 1 |
| 912828X88 .. | UNITED STATES TREAS NTS | | 04/03/2018 .. | NORTHERN TRUST | XXX | 505,104 | 500,000 | 4,986 | 1 |
| 0599999 Subtotal - Bonds - U.S. Governments | | | | | XXX | 3,583,804 | 3,600,000 | 23,882 | XX |
| Bonds - U.S. States, Territories and Possessions | | | | | | | | | |
| 25476FLH9 .. | DISTRICT COLUMBIA | | 04/03/2018 .. | NORTHERN TRUST | XXX | 55,149 | 50,000 | 1,003 | 1FE |
| 1799999 Subtotal - Bonds - U.S. States, Territories and Possessions | | | | | XXX | 55,149 | 50,000 | 1,003 | XX |
| Bonds - U.S. Special Revenue, Special Assessment | | | | | | | | | |
| 31364FKW0 .. | FEDERAL NATL MTG ASSN MTN | | 04/03/2018 .. | NORTHERN TRUST | XXX | 25,960 | 20,000 | 407 | 1 |
| 3128MMUG6 .. | FHLMC PC GOLD COMB 15 | | 04/03/2018 .. | NORTHERN TRUST | XXX | 35,678 | 34,187 | 43 | 1 |
| 3128MJKX1 .. | FHLMC PC GOLD COMB 30 | | 04/03/2018 .. | NORTHERN TRUST | XXX | 125,319 | 120,101 | 175 | 1 |
| 3128MJXX3 .. | FHLMC PC GOLD COMB 30 | | 04/03/2018 .. | NORTHERN TRUST | XXX | 75,276 | 71,179 | 104 | 1 |
| 3128PTGQ2 .. | FHLMC PC GOLD 15 YR | | 04/03/2018 .. | NORTHERN TRUST | XXX | 12,710 | 12,088 | 18 | 1 |
| 3128PUJ29 .. | FHLMC PC GOLD 15 YR | | 04/03/2018 .. | NORTHERN TRUST | XXX | 27,374 | 26,148 | 38 | 1 |
| 3128PWBW7 .. | FHLMC PC GOLD 15 YR | | 04/03/2018 .. | NORTHERN TRUST | XXX | 10,365 | 9,870 | 14 | 1 |
| 31292K4Q7 .. | FHLMC PC GOLD CASH 30 | | 04/03/2018 .. | NORTHERN TRUST | XXX | 5,920 | 5,495 | 9 | 1 |
| 3128MDPJ6 .. | FHLMC PC GOLD COMB 15 | | 04/03/2018 .. | NORTHERN TRUST | XXX | 69,259 | 67,051 | 70 | 1 |
| 3128MMQ22 .. | FHLMC PC GOLD COMB 15 | | 04/03/2018 .. | NORTHERN TRUST | XXX | 52,176 | 50,623 | 53 | 1 |
| 3128MMQT3 .. | FHLMC PC GOLD COMB 15 | | 04/03/2018 .. | NORTHERN TRUST | XXX | 15,584 | 15,275 | 16 | 1 |
| 3128MMTS2 .. | FHLMC PC GOLD COMB 15 | | 04/03/2018 .. | NORTHERN TRUST | XXX | 13,501 | 13,327 | 14 | 1 |
| 3128MAFA2 .. | FHLMC PC GOLD COMB 30 | | 04/03/2018 .. | NORTHERN TRUST | XXX | 34,110 | 32,747 | 48 | 1 |
| 3128MJAW0 .. | FHLMC PC GOLD COMB 30 | | 04/03/2018 .. | NORTHERN TRUST | XXX | 5,198 | 4,607 | 10 | 1 |
| 3128MJSS0 .. | FHLMC PC GOLD COMB 30 | | 04/03/2018 .. | NORTHERN TRUST | XXX | 80,882 | 77,860 | 97 | 1 |
| 3128MJTH3 .. | FHLMC PC GOLD COMB 30 | | 04/03/2018 .. | NORTHERN TRUST | XXX | 13,175 | 12,173 | 20 | 1 |
| 3128MJUB4 .. | FHLMC PC GOLD COMB 30 | | 04/03/2018 .. | NORTHERN TRUST | XXX | 49,148 | 45,247 | 75 | 1 |
| 312933WQ3 .. | FHLMC PC GOLD COMB 30 | | 04/03/2018 .. | NORTHERN TRUST | XXX | 25,573 | 22,922 | 43 | 1 |
| 312936NA1 .. | FHLMC PC GOLD COMB 30 | | 04/03/2018 .. | NORTHERN TRUST | XXX | 13,635 | 12,308 | 23 | 1 |
| 312945DS4 .. | FHLMC PC GOLD COMB 30 | | 04/03/2018 .. | NORTHERN TRUST | XXX | 28,678 | 26,668 | 44 | 1 |
| 31335AFV5 .. | FHLMC PC GOLD COMB 30 | | 04/03/2018 .. | NORTHERN TRUST | XXX | 10,352 | 9,334 | 18 | 1 |
| 31335AUF3 .. | FHLMC PC GOLD COMB 30 | | 04/03/2018 .. | NORTHERN TRUST | XXX | 144,415 | 139,909 | 204 | 1 |
| 3132QRMV9 .. | FHLMC PC GOLD PC 30YR | | 04/03/2018 .. | NORTHERN TRUST | XXX | 193,446 | 189,888 | 277 | 1 |
| 3138X3EH1 .. | FNMA PASS-THRU LNG 30 YEAR | | 04/03/2018 .. | NORTHERN TRUST | XXX | 14,663 | 14,548 | 18 | 1 |
| 31402RDD8 .. | FNMA PASS-THRU LNG 30 YEAR | | 04/03/2018 .. | NORTHERN TRUST | XXX | 5,298 | 4,631 | 11 | 1 |
| 3138A5AP7 .. | FNMA PASS-THRU INT 15 YEAR | | 04/03/2018 .. | NORTHERN TRUST | XXX | 29,439 | 28,113 | 41 | 1 |
| 3138A7GY8 .. | FNMA PASS-THRU INT 15 YEAR | | 04/03/2018 .. | NORTHERN TRUST | XXX | 10,563 | 10,069 | 15 | 1 |
| 3138EGAB0 .. | FNMA PASS-THRU INT 15 YEAR | | 04/03/2018 .. | NORTHERN TRUST | XXX | 244,542 | 235,416 | 343 | 1 |
| 3138EQCS9 .. | FNMA PASS-THRU INT 15 YEAR | | 04/03/2018 .. | NORTHERN TRUST | XXX | 218,477 | 211,372 | 264 | 1 |
| 3138WJDM7 .. | FNMA PASS-THRU INT 15 YEAR | | 04/03/2018 .. | NORTHERN TRUST | XXX | 124,671 | 125,549 | 131 | 1 |
| 3138WPJG0 .. | FNMA PASS-THRU INT 15 YEAR | | 04/03/2018 .. | NORTHERN TRUST | XXX | 5,796 | 5,687 | 6 | 1 |
| 31416W6R8 .. | FNMA PASS-THRU INT 15 YEAR | | 04/03/2018 .. | NORTHERN TRUST | XXX | 49,661 | 47,251 | 69 | 1 |
| 31417ARG6 .. | FNMA PASS-THRU INT 15 YEAR | | 04/03/2018 .. | NORTHERN TRUST | XXX | 5,808 | 5,598 | 7 | 1 |
| 3138ABBY4 .. | FNMA PASS-THRU LNG 30 YEAR | | 04/03/2018 .. | NORTHERN TRUST | XXX | 10,719 | 9,663 | 18 | 1 |
| 3138E0RA9 .. | FNMA PASS-THRU LNG 30 YEAR | | 04/03/2018 .. | NORTHERN TRUST | XXX | 52,715 | 49,783 | 73 | 1 |
| 3138EHBB7 .. | FNMA PASS-THRU LNG 30 YEAR | | 04/03/2018 .. | NORTHERN TRUST | XXX | 10,278 | 8,973 | 19 | 1 |

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

| 1 CUSIP Identification | 2 Description | 3 Foreign | 4 Date Acquired | 5 Name of Vendor | 6 Number of Shares of Stock | 7 Actual Cost | 8 Par Value | 9 Paid for Accrued Interest and Dividends | 10 NAIC Designation or Market Indicator (a) |
|--|--------------------------------|--------------|--------------------|------------------------|-----------------------------------|------------------|----------------|---|---|
| 3138M5LN7 | FNMA PASS-THRU LNG 30 YEAR | | 04/03/2018 | NORTHERN TRUST | XXX | 35,484 | 34,165 | 50 | 1 |
| 3138W9BG4 | FNMA PASS-THRU LNG 30 YEAR | | 04/03/2018 | NORTHERN TRUST | XXX | 39,686 | 38,655 | 48 | 1 |
| 3138W9BN9 | FNMA PASS-THRU LNG 30 YEAR | | 04/03/2018 | NORTHERN TRUST | XXX | 33,448 | 32,074 | 40 | 1 |
| 3138X3EJ7 | FNMA PASS-THRU LNG 30 YEAR | | 04/03/2018 | NORTHERN TRUST | XXX | 59,697 | 59,164 | 74 | 1 |
| 31402QYH8 | FNMA PASS-THRU LNG 30 YEAR | | 04/03/2018 | NORTHERN TRUST | XXX | 29,272 | 26,206 | 55 | 1 |
| 31416M3A0 | FNMA PASS-THRU LNG 30 YEAR | | 04/03/2018 | NORTHERN TRUST | XXX | 32,729 | 30,188 | 50 | 1 |
| 31417CVM4 | FNMA PASS-THRU LNG 30 YEAR | | 04/03/2018 | NORTHERN TRUST | XXX | 12,727 | 12,181 | 18 | 1 |
| 31417DGB3 | FNMA PASS-THRU LNG 30 YEAR | | 04/03/2018 | NORTHERN TRUST | XXX | 83,988 | 81,284 | 102 | 1 |
| 31417G3T1 | FNMA PASS-THRU LNG 30 YEAR | | 04/03/2018 | NORTHERN TRUST | XXX | 81,746 | 78,667 | 98 | 1 |
| 31417VN66 | FNMA PASS-THRU LNG 30 YEAR | | 04/03/2018 | NORTHERN TRUST | XXX | 27,697 | 25,014 | 47 | 1 |
| 31419AG27 | FNMA PASS-THRU LNG 30 YEAR | | 04/03/2018 | NORTHERN TRUST | XXX | 41,964 | 39,215 | 65 | 1 |
| 60416QHB3 | MINNESOTA ST HSG FIN AGY HOME0 | | 06/18/2018 | INCAPITAL LLC | XXX | 6,894 | 6,894 | | 1FE |
| 3199999 Subtotal - Bonds - U.S. Special Revenue, Special Assessment | | | | | XXX | 2,335,696 | 2,239,369 | 3,482 | XX |
| Bonds - Industrial and Miscellaneous (Unaffiliated) | | | | | | | | | |
| 0258M0DX4 | AMERICAN EXPRESS CR CORP MTNBE | | 04/03/2018 | NORTHERN TRUST | XXX | 50,400 | 50,000 | 105 | 1FE |
| 02665WCD1 | AMERICAN HONDA FIN CORP MTN | | 04/03/2018 | NORTHERN TRUST | XXX | 74,849 | 75,000 | 320 | 1FE |
| 031162CH1 | AMGEN INC | | 04/03/2018 | NORTHERN TRUST | XXX | 39,953 | 40,000 | 135 | 1FE |
| 035242AP1 | ANHEUSER BUSCH INBEV FIN INC | | 04/03/2018 | NORTHERN TRUST | XXX | 24,966 | 25,000 | 183 | 1FE |
| 037833CQ1 | APPLE INC | | 04/03/2018 | NORTHERN TRUST | XXX | 49,928 | 50,000 | 486 | 1FE |
| 09062XAC7 | BIOGEN INC | | 04/03/2018 | NORTHERN TRUST | XXX | 50,922 | 50,000 | 113 | 1FE |
| 097023AZ8 | BOEING CO | | 04/03/2018 | NORTHERN TRUST | XXX | 52,973 | 50,000 | 393 | 1FE |
| 12673PAC9 | CA INC | | 04/03/2018 | NORTHERN TRUST | XXX | 10,346 | 10,000 | 197 | 1FE |
| 14040HBP9 | CAPITAL ONE FINL CORP | | 04/03/2018 | NORTHERN TRUST | XXX | 50,018 | 50,000 | 524 | 1FE |
| 17275RBL5 | CISCO SYS INC | | 04/03/2018 | NORTHERN TRUST | XXX | 40,013 | 40,000 | 64 | 1FE |
| 172967KB6 | CITIGROUP INC | | 04/03/2018 | NORTHERN TRUST | XXX | 19,942 | 20,000 | 246 | 1FE |
| 12572QAG0 | CME GROUP INC | | 04/03/2018 | NORTHERN TRUST | XXX | 9,953 | 10,000 | 23 | 1FE |
| 20030NAZ4 | COMCAST CORP NEW | | 04/03/2018 | NORTHERN TRUST | XXX | 31,449 | 30,000 | 485 | 1FE |
| 22160KAJ4 | COSTCO WHSL CORP | | 04/03/2018 | NORTHERN TRUST | XXX | 74,897 | 75,000 | 649 | 1FE |
| 126408HJ5 | CSX CORP | | 04/03/2018 | NORTHERN TRUST | XXX | 74,760 | 75,000 | 420 | 1FE |
| 24422ESS9 | DEERE JOHN CAP CORP MTNS BE | | 04/03/2018 | NORTHERN TRUST | XXX | 50,431 | 50,000 | 86 | 1FE |
| 291011BF0 | EMERSON ELEC CO | | 04/03/2018 | NORTHERN TRUST | XXX | 9,960 | 10,000 | 96 | 1FE |
| 31677QBG3 | FIFTH THIRD BK CIN OHIO MTN BE | | 04/03/2018 | NORTHERN TRUST | XXX | 49,983 | 50,000 | 372 | 1FE |
| 369622SM8 | GENERAL ELEC CAP CORP | | 04/03/2018 | NORTHERN TRUST | XXX | 53,748 | 50,000 | 456 | 1FE |
| 375558BF9 | GILEAD SCIENCES INC | | 04/03/2018 | NORTHERN TRUST | XXX | 50,587 | 50,000 | 213 | 1FE |
| 458140AJ9 | INTEL CORP | | 04/03/2018 | NORTHERN TRUST | XXX | 51,895 | 50,000 | 55 | 1FE |
| 459200JG7 | INTERNATIONAL BUSINESS MACHS | | 04/03/2018 | NORTHERN TRUST | XXX | 50,564 | 50,000 | 259 | 1FE |
| 46625HHL7 | JPMORGAN CHASE & CO | | 04/03/2018 | NORTHERN TRUST | XXX | 31,249 | 30,000 | 893 | 1FE |
| 46625HRY8 | JPMORGAN CHASE & CO | | 04/03/2018 | NORTHERN TRUST | XXX | 51,236 | 50,000 | 378 | 1FE |
| 501044DE8 | KROGER CO | | 04/03/2018 | NORTHERN TRUST | XXX | 47,183 | 50,000 | 655 | 1FE |
| 594918BW3 | MICROSOFT CORP | | 04/03/2018 | NORTHERN TRUST | XXX | 49,917 | 50,000 | 223 | 1FE |
| 60871RAC4 | MOLSON COORS BREWING CO | | 04/03/2018 | NORTHERN TRUST | XXX | 20,239 | 20,000 | 315 | 1FE |
| 61761JVL0 | MORGAN STANLEY | | 04/03/2018 | NORTHERN TRUST | XXX | 75,015 | 75,000 | 1,310 | 1FE |
| 742718ER6 | PROCTER AND GAMBLE CO | | 04/03/2018 | NORTHERN TRUST | XXX | 49,939 | 50,000 | 544 | 1FE |
| 74432QBP9 | PRUDENTIAL FINL INC MTNS BOOK | | 04/03/2018 | NORTHERN TRUST | XXX | 10,471 | 10,000 | 185 | 1FE |
| 89233P5F9 | TOYOTA MOTOR CREDIT SERIES MTN | | 04/03/2018 | NORTHERN TRUST | XXX | 31,255 | 30,000 | 79 | 1FE |
| 91913YAU4 | VALERO ENERGY CORP | | 04/03/2018 | NORTHERN TRUST | XXX | 39,621 | 40,000 | 106 | 1FE |
| 92343VDD3 | VERIZON COMMUNICATIONS INC | | 04/03/2018 | NORTHERN TRUST | XXX | 46,504 | 50,000 | 211 | 1FE |
| 931427AH1 | WALGREENS BOOTS ALLIANCE INC | | 04/03/2018 | NORTHERN TRUST | XXX | 9,845 | 10,000 | 153 | 1FE |
| 06367THQ6 | BANK OF MONTREAL | C | 04/03/2018 | NORTHERN TRUST | XXX | 39,997 | 40,000 | 142 | 1FE |
| 3899999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) | | | | | XXX | 1,475,008 | 1,465,000 | 11,074 | XX |
| 8399997 Subtotal - Bonds - Part 3 | | | | | XXX | 7,449,657 | 7,354,369 | 39,441 | XX |
| 8399998 Summary Item from Part 5 for Bonds (N/A to Quarterly) | | | | | XXX | XXX | XXX | XXX | XX |

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

| 1 CUSIP Identification | 2 Description | 3 Foreign | 4 Date Acquired | 5 Name of Vendor | 6 Number of Shares of Stock | 7 Actual Cost | 8 Par Value | 9 Paid for Accrued Interest and Dividends | 10 NAIC Designation or Market Indicator (a) |
|--|------------------|--------------|--------------------|------------------------|-----------------------------------|------------------|----------------|---|---|
| 8399999 Subtotal - Bonds | | | | | XXX | 7,449,657 | 7,354,369 | 39,441 | XXX |
| 8999998 Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly) | | | | | XXX | XXX | XXX | XXX | XXX |
| 8999999 Subtotal - Preferred Stocks | | | | | XXX | | XXX | | XXX |
| 9799998 Summary Item from Part 5 for Common Stocks (N/A to Quarterly) | | | | | XXX | XXX | XXX | XXX | XXX |
| 9799999 Subtotal - Common Stocks | | | | | XXX | | XXX | | XXX |
| 9899999 Subtotal - Preferred and Common Stocks | | | | | XXX | | XXX | | XXX |
| 9999999 Total - Bonds, Preferred and Common Stocks | | | | | XXX | 7,449,657 | XXX | 39,441 | XXX |

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of
During the Current Quarter

| 1 | 2 | 3 F o r e i g n | 4 | 5 | 6 | 7 | 8 | 9 | 10 | Change in Book/Adjusted Carrying Value | | | | | 16 | 17 | 18 | 19 | 20 | 21 | 22 | |
|---|--------------------------------|--------------------------------------|----------------------|---------------------------------|---------------|--------------|----------------|--|--|---|--------------------------------|---|--|---|--|-------------------------------------|---|---|---|--------|------------|-----|
| | | | | | | | | | | 11 | 12 | 13 | 14 | 15 | | | | | | | | |
| CUSIP Identification | Description | Disposal Date | Name of Purchaser | Number of Shares of Stock | Consideration | Par Value | Actual Cost | Prior Year Book/ Adjusted Carrying Value | Unrealized Valuation Increase/ (Decrease) | Current Year's Other Than Temporary Impairment Recognized | Total Change in B/A.C.V. | Total Foreign Exchange Change in B/A.C.V. | Book/ Adjusted Carrying Value at Disposal Date | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Bond Interest/ Stock Dividends Received During Year | Stated Contractual Maturity Date | NAIC Designation or Market Indicator (a) | | | |
| Bonds - U.S. Governments | | | | | | | | | | | | | | | | | | | | | | |
| 36179R7J2 | GNMA PASS-THRU M SINGLE FAMILY | 06/20/2018 | PRINCIPAL RECEIPT | XXX | 99,802 | 99,802 | 101,818 | | (2,016) | | (2,016) | | 99,802 | | | | | 890 | 04/20/2046 | 1 | | |
| 36179TQN8 | GNMA PASS-THRU M SINGLE FAMILY | 06/20/2018 | PRINCIPAL RECEIPT | XXX | 20,084 | 20,084 | 19,891 | | 193 | | 193 | | 20,084 | | | | | 145 | 01/20/2048 | 1 | | |
| 9128283N8 | UNITED STATES TREAS NTS | 05/11/2018 | BNP PARIBAS | XXX | 148,517 | 150,000 | 149,315 | | 99 | | 99 | | 149,414 | | | | | 1,041 | 12/31/2019 | 1 | | |
| 9128285F8 | UNITED STATES TREAS NTS | 05/11/2018 | GOLDMAN SACHS & CO | XXX | 972,809 | 1,000,000 | 978,988 | | 1,080 | | 1,080 | | 980,068 | | | | | 4,862 | 02/15/2022 | 1 | | |
| 912828H52 | UNITED STATES TREASURY | 04/16/2018 | Unknown | XXX | 500,349 | 500,000 | 500,298 | 500,406 | (57) | | (57) | | 500,349 | | | | | 4,420 | 01/31/2020 | 1 | | |
| 912828J27 | UNITED STATES TREASURY | 04/16/2018 | Unknown | XXX | 247,534 | 250,000 | 246,702 | 247,439 | 96 | | 96 | | 247,534 | | | | | 3,329 | 02/15/2025 | 1 | | |
| 912828PC8 | UNITED STATES TREASURY | 04/16/2018 | Unknown | XXX | 512,942 | 500,000 | 520,042 | 514,364 | (1,422) | | (1,422) | | 512,942 | | | | | 5,511 | 11/15/2020 | 1 | | |
| 912828R36 | UNITED STATES TREASURY | 04/16/2018 | Unknown | XXX | 243,827 | 250,000 | 242,961 | 243,629 | 198 | | 198 | | 243,827 | | | | | 1,706 | 05/15/2026 | 1 | | |
| 912828S43 | UNITED STATES TREASURY | 04/27/2018 | Unknown | XXX | 100,482 | 100,000 | 101,210 | 100,524 | (42) | | (42) | | 100,482 | | | | | 901 | 11/15/2021 | 1 | | |
| 912828V3 | UNITED STATES TREASURY | 04/16/2018 | Unknown | XXX | 494,366 | 500,000 | 491,680 | 346,262 | 976 | | 976 | | 494,366 | | | | | 2,255 | 07/15/2019 | 1 | | |
| 912828WZ9 | UNITED STATES TREASURY | 04/16/2018 | Unknown | XXX | 486,206 | 500,000 | 484,531 | 246,697 | 436 | | 436 | | 486,206 | | | | | 3,674 | 05/15/2023 | 1 | | |
| 912828X88 | UNITED STATES TREASURY | 04/16/2018 | Unknown | XXX | 492,994 | 500,000 | 492,656 | 299,098 | 256 | | 256 | | 492,994 | | | | | 4,037 | 04/30/2022 | 1 | | |
| | | | | 505,104 | 500,000 | 505,449 | 505,250 | (146) | | (146) | | 505,104 | | | | | 4,986 | 05/15/2027 | 1 | | | |
| 0599999 Subtotal - Bonds - U.S. Governments | | | | XXX | 4,825,018 | 4,869,886 | 4,835,541 | 3,003,669 | (349) | | (349) | | 4,833,174 | | | | | (8,156) | (8,156) | 37,756 | XXX | XXX |
| Bonds - All Other Governments | | | | | | | | | | | | | | | | | | | | | | |
| 3128MJSS0 | FH G08528 - RMBS | 04/16/2018 | VARIOUS | XXX | 81,577 | 78,555 | 81,538 | 81,591 | | 13 | 13 | | 81,605 | | | | | (27) | (27) | 888 | 04/01/2043 | 1 |
| 1099999 Subtotal - Bonds - All Other Governments | | | | XXX | 81,577 | 78,555 | 81,538 | 81,591 | | 13 | 13 | | 81,605 | | | | | (27) | (27) | 888 | XXX | XXX |
| Bonds - U.S. States, Territories and Possessions | | | | | | | | | | | | | | | | | | | | | | |
| 25476FLH9 | DISTRICT COLUMBIA | 05/01/2018 | Adjustment | XXX | 55,149 | 50,000 | 56,095 | | | | | | | | | | | 55,149 | | 1,003 | 06/01/2021 | 1FE |
| 1799999 Subtotal - Bonds - U.S. States, Territories and Possessions | | | | XXX | 55,149 | 50,000 | 56,095 | | | | | | | | | | | 55,149 | | 1,003 | XXX | XXX |
| Bonds - U.S. Political Subdivisions of States, Territories and Possessions | | | | | | | | | | | | | | | | | | | | | | |
| 25476FLH9 | DISTRICT COLUMBIA | 05/01/2018 | Unknown | XXX | | | | | (445) | | (445) | | | | | | | | | | 06/01/2021 | 1FE |
| 2499999 Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions | | | | XXX | | | | | (445) | | (445) | | | | | | | | | | XXX | XXX |
| Bonds - U.S. Special Revenue, Special Assessment | | | | | | | | | | | | | | | | | | | | | | |
| 3128MMUG6 | FHLMC PC GOLD COMB 15 | 06/15/2018 | PRINCIPAL RECEIPT | XXX | 1,002 | 1,002 | 1,046 | | (44) | | (44) | | 1,002 | | | | | 4 | 01/01/2031 | 1 | | |
| 3128MJX1 | FHLMC PC GOLD COMB 30 | 06/15/2018 | PRINCIPAL RECEIPT | XXX | 2,831 | 2,831 | 2,954 | | (123) | | (123) | | 2,831 | | | | | 13 | 12/01/2045 | 1 | | |
| 3128MJX39 | FHLMC PC GOLD COMB 30 | 06/15/2018 | PRINCIPAL RECEIPT | XXX | 1,584 | 1,584 | 1,675 | | (91) | | (91) | | 1,584 | | | | | 2 | 02/01/2046 | 1 | | |
| 3128PTGQ2 | FHLMC PC GOLD 15 YR | 06/15/2018 | PRINCIPAL RECEIPT | XXX | 464 | 464 | 488 | | (24) | | (24) | | 464 | | | | | 2 | 12/01/2025 | 1 | | |
| 3128PUU29 | FHLMC PC GOLD 15 YR | 06/15/2018 | PRINCIPAL RECEIPT | XXX | 1,187 | 1,187 | 1,243 | | (56) | | (56) | | 1,187 | | | | | 5 | 03/01/2026 | 1 | | |
| 3128PWBW7 | FHLMC PC GOLD 15 YR | 06/15/2018 | PRINCIPAL RECEIPT | XXX | 408 | 408 | 428 | | (20) | | (20) | | 408 | | | | | 1 | 08/01/2026 | 1 | | |
| 3128P8FQ9 | FHLMC PC GOLD CASH 20 | 06/15/2018 | PRINCIPAL RECEIPT | XXX | 7,860 | 7,860 | 7,815 | | 45 | | 45 | | 7,860 | | | | | 63 | 02/01/2038 | 1 | | |
| 31292K4Q7 | FHLMC PC GOLD CASH 30 | 06/15/2018 | PRINCIPAL RECEIPT | XXX | 84 | 84 | 90 | | (6) | | (6) | | 84 | | | | | 10/01/2040 | 1 | | | |
| 3128MDP6 | FHLMC PC GOLD COMB 15 | 06/15/2018 | PRINCIPAL RECEIPT | XXX | 1,960 | 1,960 | 2,024 | | (65) | | (65) | | 1,960 | | | | | 6 | 04/01/2028 | 1 | | |
| 3128MMQ22 | FHLMC PC GOLD COMB 15 | 06/15/2018 | PRINCIPAL RECEIPT | XXX | 1,517 | 1,517 | 1,564 | | (47) | | (47) | | 1,517 | | | | | 3 | 07/01/2028 | 1 | | |
| 3128MMQT3 | FHLMC PC GOLD COMB 15 | 06/15/2018 | PRINCIPAL RECEIPT | XXX | 550 | 550 | 561 | | (11) | | (11) | | 550 | | | | | 2 | 05/01/2028 | 1 | | |
| 3128MMTS2 | FHLMC PC GOLD COMB 15 | 06/15/2018 | PRINCIPAL RECEIPT | XXX | 378 | 378 | 383 | | (5) | | (5) | | 378 | | | | | 1 | 07/01/2030 | 1 | | |
| 3128MFAF2 | FHLMC PC GOLD COMB 30 | 06/15/2018 | PRINCIPAL RECEIPT | XXX | 764 | 764 | 795 | | (32) | | (32) | | 764 | | | | | 4 | 03/01/2045 | 1 | | |
| 3128MJAW0 | FHLMC PC GOLD COMB 30 | 06/15/2018 | PRINCIPAL RECEIPT | XXX | 141 | 141 | 160 | | (18) | | (18) | | 141 | | | | | 1 | 11/01/2034 | 1 | | |
| 3128MJS0 | FHLMC PC GOLD COMB 30 | 06/15/2018 | PRINCIPAL RECEIPT | XXX | 1,571 | 1,571 | 1,632 | | (61) | | (61) | | 1,571 | | | | | 6 | 04/01/2043 | 1 | | |
| 3128MJTH3 | FHLMC PC GOLD COMB 30 | 06/15/2018 | PRINCIPAL RECEIPT | XXX | 297 | 297 | 321 | | (24) | | (24) | | 297 | | | | | 1 | 10/01/2043 | 1 | | |
| 3128MJUB4 | FHLMC PC GOLD COMB 30 | 06/15/2018 | PRINCIPAL RECEIPT | XXX | 1,147 | 1,147 | 1,245 | | (99) | | (99) | | 1,147 | | | | | 6 | 03/01/2044 | 1 | | |
| 3128MJK39 | FHLMC PC GOLD COMB 30 | 06/15/2018 | PRINCIPAL RECEIPT | XXX | 47,407 | 47,407 | 46,148 | | 1,259 | | 1,259 | | 47,407 | | | | | 366 | 03/01/2046 | 1 | | |
| 312933WQ3 | FHLMC PC GOLD COMB 30 | 06/15/2018 | PRINCIPAL RECEIPT | XXX | 585 | 585 | 653 | | (68) | | (68) | | 585 | | | | | 3 | 06/01/2039 | 1 | | |
| 312936NA1 | FHLMC PC GOLD COMB 30 | 06/15/2018 | PRINCIPAL RECEIPT | XXX | 331 | 331 | 366 | | (36) | | (36) | | 331 | | | | | 2 | 10/01/2039 | 1 | | |
| 312945DS4 | FHLMC PC GOLD COMB 30 | 06/15/2018 | PRINCIPAL RECEIPT | XXX | 570 | 570 | 613 | | (43) | | (43) | | 570 | | | | | 3 | 01/01/2041 | 1 | | |
| 31335AFV5 | FHLMC PC GOLD COMB 30 | 06/15/2018 | PRINCIPAL RECEIPT | XXX | 248 | 248 | 275 | | (27) | | (27) | | 248 | | | | | 1 | 05/01/2042 | 1 | | |
| 31335AU3 | FHLMC PC GOLD COMB 30 | 06/15/2018 | PRINCIPAL RECEIPT | XXX | 2,566 | 2,566 | 2,649 | | (83) | | (83) | | 2,566 | | | | | 12 | 05/01/2046 | 1 | | |
| 3132QRMV9</ | | | | | | | | | | | | | | | | | | | | | | |

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of
During the Current Quarter

| 1 CUSIP Identification | 2 Description | 3 F o r e i g n | 4 Disposal Date | 5 Name of Purchaser | 6 Number of Shares of Stock | 7 Consideration | 8 Par Value | 9 Actual Cost | 10 Prior Year Book/ Adjusted Carrying Value | Change in Book/Adjusted Carrying Value | | | | | 16 Total Foreign Exchange Change in B./A.C.V. (11 + 12 - 13) | 17 Book/ Adjusted Carrying Value at Disposal Date | 18 Foreign Exchange Gain (Loss) on Disposal | 19 Realized Gain (Loss) on Disposal | 20 Total Gain (Loss) on Disposal | 21 Bond Interest/ Stock Dividends Received During Year | 22 Stated Contractual Maturity Date | NAIC Designation or Market Indicator (a) | |
|------------------------------|--------------------------------|--------------------------------------|-----------------------|---------------------------|--------------------------------------|--------------------|-------------------|---------------------|--|--|--|---|---------------------------------------|----|--|--|---|--|---|---|---|---|---|
| | | | | | | | | | | 11 Unrealized Valuation Increase/ (Decrease) | 12 Current Year's (Amortization)/ Accretion | 13 Current Year's Other Than Temporary Impairment Recognized | 14 Total Change in B./A.C.V. | 15 | | | | | | | | | |
| 3138AGY8 | FNMA PASS-THRU INT 15 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 487 | 487 | 511 | | (24) | | | (24) | | 487 | | | | | 2 | 02/01/2026 | 1 | |
| 3138EGB0 | FNMA PASS-THRU INT 15 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 11,688 | 11,688 | 12,141 | | (453) | | | (453) | | 11,688 | | | | | 48 | 02/01/2026 | 1 | |
| 3138EBCS9 | FNMA PASS-THRU INT 15 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 7,277 | 7,277 | 7,521 | | (245) | | | (245) | | 7,277 | | | | | 27 | 09/01/2030 | 1 | |
| 3138WJDM7 | FNMA PASS-THRU INT 15 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 3,567 | 3,567 | 3,542 | | 25 | | | 25 | | 3,567 | | | | | 10 | 10/01/2031 | 1 | |
| 3138WPJG0 | FNMA PASS-THRU INT 15 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 185 | 185 | 188 | | (4) | | | (4) | | 185 | | | | | 1 | 04/01/2028 | 1 | |
| 31416W6R8 | FNMA PASS-THRU INT 15 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 1,841 | 1,841 | 1,935 | | (94) | | | (94) | | 1,841 | | | | | 8 | 11/01/2025 | 1 | |
| 31417ARG6 | FNMA PASS-THRU INT 15 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 246 | 246 | 255 | | (9) | | | (9) | | 246 | | | | | 1 | 12/01/2026 | 1 | |
| 3138ABBY4 | FNMA PASS-THRU LNG 30 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 159 | 159 | 176 | | (17) | | | (17) | | 159 | | | | | 1 | 04/01/2041 | 1 | |
| 3138EQR9 | FNMA PASS-THRU LNG 30 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 1,132 | 1,132 | 1,199 | | (67) | | | (67) | | 1,132 | | | | | 5 | 12/01/2041 | 1 | |
| 3138EHBB7 | FNMA PASS-THRU LNG 30 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 322 | 322 | 369 | | (47) | | | (47) | | 322 | | | | | 2 | 10/01/2041 | 1 | |
| 3138MSLN7 | FNMA PASS-THRU LNG 30 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 957 | 957 | 994 | | (37) | | | (37) | | 957 | | | | | 4 | 08/01/2042 | 1 | |
| 3138W9BG4 | FNMA PASS-THRU LNG 30 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 720 | 720 | 739 | | (19) | | | (19) | | 720 | | | | | 3 | 07/01/2043 | 1 | |
| 3138W9BN9 | FNMA PASS-THRU LNG 30 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 555 | 555 | 579 | | (24) | | | (24) | | 555 | | | | | 2 | 07/01/2043 | 1 | |
| 3138WHS29 | FNMA PASS-THRU LNG 30 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 28,232 | 28,232 | 27,402 | | 829 | | | 829 | | 28,232 | | | | | 159 | 08/01/2046 | 1 | |
| 3138X3EJ7 | FNMA PASS-THRU LNG 30 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 1,073 | 1,073 | 1,083 | | (10) | | | (10) | | 1,073 | | | | | 4 | 08/01/2043 | 1 | |
| 31402QYH8 | FNMA PASS-THRU LNG 30 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 886 | 886 | 989 | | (104) | | | (104) | | 886 | | | | | 6 | 12/01/2034 | 1 | |
| 3140J7W76 | FNMA PASS-THRU LNG 30 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 65,789 | 65,789 | 68,256 | | (2,467) | | | (2,467) | | 65,789 | | | | | 585 | 01/01/2048 | 1 | |
| 31416M3A0 | FNMA PASS-THRU LNG 30 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 695 | 695 | 753 | | (58) | | | (58) | | 695 | | | | | 3 | 04/01/2039 | 1 | |
| 31417CVM4 | FNMA PASS-THRU LNG 30 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 271 | 271 | 283 | | (12) | | | (12) | | 271 | | | | | 1 | 08/01/2042 | 1 | |
| 31417DGB3 | FNMA PASS-THRU LNG 30 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 1,745 | 1,745 | 1,803 | | (58) | | | (58) | | 1,745 | | | | | 7 | 10/01/2042 | 1 | |
| 31417G3T1 | FNMA PASS-THRU LNG 30 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 1,129 | 1,129 | 1,173 | | (44) | | | (44) | | 1,129 | | | | | 4 | 07/01/2043 | 1 | |
| 31417VN66 | FNMA PASS-THRU LNG 30 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 765 | 765 | 847 | | (82) | | | (82) | | 765 | | | | | 4 | 12/01/2039 | 1 | |
| 31418CBF0 | FNMA PASS-THRU LNG 30 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 38,316 | 38,316 | 37,184 | | 1,132 | | | 1,132 | | 38,316 | | | | | 193 | 09/01/2046 | 1 | |
| 31419AG27 | FNMA PASS-THRU LNG 30 YEAR | | 06/25/2018 | PRINCIPAL RECEIPT | XXX | 864 | 864 | 924 | | (61) | | | (61) | | 864 | | | | | 4 | 08/01/2040 | 1 | |
| 60416OHB3 | MINNESOTA ST HSG FIN AGY HOMEO | | 06/18/2018 | INCAPITAL LLC | XXX | 15,524 | 15,524 | 15,524 | | | | | | | 15,524 | | | | | 60 | 03/01/2048 | 1FE | |
| 3128MFA2 | FH G07961 - RMBS | | 05/01/2018 | VARIOUS | XXX | 34,423 | 33,060 | 34,429 | | 34,365 | | | 72 | | 72 | | | | 437 | 03/01/2045 | 1 | | |
| 3128MDP46 | FH G14725 - RMBS | | 04/16/2018 | VARIOUS | XXX | 70,438 | 68,229 | 70,703 | | 70,521 | | | (44) | | 70,477 | | | | | 645 | 04/01/2028 | 1 | |
| 3128MJAW0 | FH G08020 - RMBS | | 05/01/2018 | VARIOUS | XXX | 5,268 | 4,678 | 5,225 | | 5,272 | | | 5 | | 5,277 | | | | | 88 | 11/01/2034 | 1 | |
| 3128MJTH3 | FH G08551 - RMBS | | 05/01/2018 | VARIOUS | XXX | 13,342 | 12,340 | 13,158 | | 13,279 | | | 77 | | 13,356 | | | | | 186 | 10/01/2043 | 1 | |
| 3128MJUB4 | FH G08577 - RMBS | | 04/16/2018 | VARIOUS | XXX | 49,721 | 45,820 | 48,999 | | 49,474 | | | 297 | | 49,771 | | | | | 692 | 03/01/2044 | 1 | |
| 3128MJXK1 | FH G08681 - RMBS | | 04/16/2018 | VARIOUS | XXX | 126,598 | 121,380 | 126,292 | | 126,454 | | | 199 | | 126,654 | | | | | 1,604 | 12/01/2045 | 1 | |
| 3128MJXK3 | FH G08693 - RMBS | | 04/16/2018 | VARIOUS | XXX | 76,024 | 71,927 | 75,686 | | 75,955 | | | 112 | | 76,067 | | | | | 950 | 03/01/2046 | 1 | |
| 3128MMQ22 | FH G18472 - RMBS | | 04/16/2018 | VARIOUS | XXX | 52,962 | 51,410 | 53,177 | | 53,020 | | | (34) | | 52,986 | | | | | 486 | 07/01/2028 | 1 | |
| 3128MMQT3 | FH G18465 - RMBS | | 05/01/2018 | VARIOUS | XXX | 15,822 | 15,513 | 15,885 | | (7) | | | (7) | | 15,827 | | | | | 147 | 05/01/2028 | 1 | |
| 3128MMTS2 | FH G18560 - RMBS | | 05/01/2018 | VARIOUS | XXX | 13,686 | 13,512 | 13,717 | | (1) | | | (1) | | 13,689 | | | | | 128 | 07/01/2030 | 1 | |
| 3128MMUG6 | FH G18582 - RMBS | | 04/16/2018 | VARIOUS | XXX | 36,198 | 34,707 | 36,285 | | 36,213 | | | 7 | | 36,221 | | | | | 394 | 01/01/2031 | 1 | |
| 3128PTGQ2 | FH J13807 - RMBS | | 05/01/2018 | VARIOUS | XXX | 12,918 | 12,918 | 13,046 | | 12,930 | | | (1) | | 12,929 | | | | | 111 | 12/01/2025 | 1 | |
| 3128PUJ29 | FH J14781 - RMBS | | 04/16/2018 | VARIOUS | XXX | 28,075 | 26,849 | 28,242 | | 28,116 | | | (7) | | 28,108 | | | | | 357 | 03/01/2026 | 1 | |
| 3128PWBB7 | FH J16353 - RMBS | | 05/01/2018 | VARIOUS | XXX | 10,563 | 10,069 | 10,644 | | 10,576 | | | (3) | | 10,573 | | | | | 10,573 | 08/01/2026 | 1 | |
| 31292K4Q7 | FH C03531 - RMBS | | 05/01/2018 | VARIOUS | XXX | 5,969 | 5,544 | 5,930 | | 5,954 | | | 20 | | 20 | | | | 84 | 10/01/2040 | 1 | | |
| 312933WQ3 | FH A86955 - RMBS | | 04/16/2018 | VARIOUS | XXX | 25,835 | 23,184 | 25,140 | | 25,730 | | | 135 | | 25,865 | | | | | 395 | 06/01/2039 | 1 | |
| 312936NA1 | FH A89385 - RMBS | | 05/01/2018 | VARIOUS | XXX | 13,748 | 12,422 | 13,554 | | 13,702 | | | 59 | | 13,761 | | | | | 211 | 10/01/2039 | 1 | |
| 312945DS4 | FH A96413 - RMBS | | 05/01/2018 | VARIOUS | XXX | 28,993 | 26,982 | 28,762 | | 28,918 | | | 98 | | 29,016 | | | | | 407 | 01/01/2041 | 1 | |
| 31320RQM9 | FH Q34871 - RMBS | | 04/16/2018 | VARIOUS | XXX | 197,146 | 193,588 | 197,218 | | 197,178 | | | 38 | | 197,216 | | | | | 69 | 2,548 | 07/01/2045 | 1 |
| 31335AFV5 | FH G60180 - RMBS | | 05/01/2018 | VARIOUS | XXX | 10,487 | 9,469 | 10,368 | | 10,444 | | | 58 | | 10,502 | | | | | 161 | 05/01/2042 | 1 | |
| 31335AUF3 | FH G60582 - RMBS | | 04/16/2018 | VARIOUS | XXX | 145,968 | 141,462 | 146,038 | | (25) | | | (25) | | 146,018 | | | | | 1,865 | 05/01/2046 | 1</ | |

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of
During the Current Quarter

| 1 CUSIP Identification | 2 Description | 3 F o r e i g n Disposal Date | 4 Name of Purchaser | 5 Number of Shares of Stock | 6 Consideration | 7 Par Value | 8 Actual Cost | 9 Prior Year Book/ Adjusted Carrying Value | Change in Book/Adjusted Carrying Value | | | | | 16 Book/ Adjusted Carrying Value at Disposal Date | 17 Foreign Exchange Change in B./A.C.V. on Disposal | 18 Realized Gain (Loss) on Disposal | 19 Total Gain (Loss) on Disposal | 20 Bond Interest/ Stock Dividends Received During Year | 21 Stated Contractual Maturity Date | 22 NAIC Designation or Market Indicator (a) | | |
|---|--------------------------------------|--|---------------------------|--------------------------------------|--------------------|-------------------|---------------------|---|--|--|---|---|--|--|--|--|---|---|---|---|--|--|
| | | | | | | | | | 11 Unrealized Valuation Increase/ (Decrease) | 12 Current Year's (Amortization)/ Accretion | 13 Current Year's Other Than Temporary Impairment Recognized | 14 Total Change in B./A.C.V. (11 + 12 - 13) | 15 Total Foreign Exchange Change in B./A.C.V. | | | | | | | | | |
| 31416M3AO | FN AA4392 - RMBS | 04/16/2018 | VARIOUS | XXX | 33,093 | 30,552 | 32,815 | 33,027 | 97 | (21) | (21) | 97 | 33,124 | (31) | (31) | 462 | 04/01/2039 | 1 | | | | |
| 31416W6R8 | FN AB1779 - RMBS | 04/16/2018 | VARIOUS | XXX | 50,752 | 48,342 | 51,031 | 50,828 | 5,909 | 5,698 | 5,949 | 5,916 | 5,913 | (56) | (56) | 643 | 11/01/2025 | 1 | | | | |
| 31417ARG6 | FN AB4086 - RMBS | 05/01/2018 | VARIOUS | XXX | 12,766 | 12,220 | 12,731 | 12,753 | 14 | 14 | 14 | 14 | 12,767 | (4) | (4) | 65 | 12/01/2026 | 1 | | | | |
| 31417CVM4 | FN AB6019 - RMBS | 05/01/2018 | VARIOUS | XXX | 84,719 | 82,016 | 84,732 | 84,747 | 82,640 | 79,561 | 82,632 | 82,653 | 84,744 | (2) | (2) | 162 | 08/01/2042 | 1 | | | | |
| 31417DGB3 | FN AB6493 - RMBS | 04/16/2018 | VARIOUS | XXX | 28,085 | 25,403 | 27,586 | 28,000 | 28,085 | 25,403 | 28,127 | 28,127 | 82,675 | (35) | (35) | 926 | 10/01/2042 | 1 | | | | |
| 31417G3T1 | FN AB9809 - RMBS | 04/16/2018 | VARIOUS | XXX | 42,429 | 39,681 | 42,242 | 42,321 | 42,429 | 42,242 | 42,462 | 42,462 | 42,462 | (33) | (33) | 900 | 07/01/2043 | 1 | | | | |
| 31417VN66 | FN AC8512 - RMBS | 04/16/2018 | VARIOUS | XXX | 2,746,612 | 2,653,304 | 2,748,958 | 2,281,826 | 2,746,612 | 2,653,304 | 2,747,973 | 2,747,973 | 2,747,973 | (1,361) | (1,361) | 30,884 | XX X | XX X | | | | |
| 31419AG27 | FN AE0216 - RMBS | 05/01/2018 | VARIOUS | XXX | | | | | | | | | | | | | 599 | 08/01/2040 | 1 | | | |
| 3199999 Subtotal - Bonds - U.S. Special Revenue, Special Assessment | | | | XXX | | | | | | | | | | | | | | | | | | |
| Bonds - Industrial and Miscellaneous (Unaffiliated) | | | | | | | | | | | | | | | | | | | | | | |
| 00206RAM4 | AT&T INC | 04/13/2018 | Unknown | XXX | 30,091 | 30,000 | 32,685 | 30,383 | | | | | (291) | (291) | 30,091 | | | 691 | 05/15/2018 | 2FE | | |
| 0258MODX4 | AMERICAN EXPRESS CREDIT CORP | 04/13/2018 | Unknown | XXX | 50,400 | 50,000 | 50,654 | 50,447 | | | | | (47) | (47) | 50,400 | | | 755 | 09/14/2020 | 1FE | | |
| 02665WCD1 | AMERICAN HONDA FINANCE CORP | C | 04/16/2018 | Unknown | XXX | 74,850 | 75,000 | 74,845 | | 5 | | | | 5 | | | | 337 | 02/12/2021 | 1FE | | |
| 031162CH1 | AMGEN INC | | 04/13/2018 | Unknown | XXX | 39,953 | 40,000 | 39,939 | 39,950 | | 2 | | | 2 | | | | 585 | 08/19/2023 | 2FE | | |
| 035242AG1 | ANHEUSER-BUSCH INBEV FINANCE INC | C | 04/13/2018 | Unknown | XXX | 25,036 | 25,000 | 25,132 | 25,049 | | (13) | | | (13) | | | | 333 | 02/01/2019 | 1FE | | |
| 035242AP1 | ANHEUSER-BUSCH INBEV FINANCE INC | C | 04/13/2018 | Unknown | XXX | 24,966 | 25,000 | 24,958 | 24,965 | | 1 | | | 1 | | | | 639 | 02/01/2026 | 1FE | | |
| 037833AJ9 | APPLE INC | | 04/13/2018 | Unknown | XXX | 49,996 | 50,000 | 49,857 | 49,973 | | 23 | | | 23 | | | | 222 | 05/03/2018 | 1FE | | |
| 037833CQ1 | APPLE INC | C | 04/13/2018 | Unknown | XXX | 49,928 | 50,000 | 49,913 | 49,924 | | 5 | | | 5 | | | | 486 | 05/11/2022 | 1FE | | |
| 046353AH1 | ASTRAZENECA PLC | C | 04/13/2018 | Unknown | XXX | 50,035 | 50,000 | 50,125 | 50,052 | | (17) | | | (17) | | | | 357 | 11/16/2018 | 1FE | | |
| 06367THQ6 | BANK OF MONTREAL | | 04/16/2018 | Unknown | XXX | 39,997 | 40,000 | 39,993 | 39,996 | | 1 | | | 1 | | | | 447 | 07/18/2019 | 1FE | | |
| 09062XAC7 | BIOGEN INC | | 04/13/2018 | Unknown | XXX | 50,922 | 50,000 | 51,152 | 51,026 | | (104) | | | (104) | | | | 838 | 09/15/2020 | 2FE | | |
| 097023AZ8 | BOEING CO | | 04/13/2018 | Unknown | XXX | 52,973 | 50,000 | 54,554 | 53,417 | | (444) | | | (444) | | | | 1,611 | 02/15/2020 | 1FE | | |
| 12572QAG0 | CME GROUP INC | | 04/13/2018 | Unknown | XXX | 9,953 | 10,000 | 9,938 | 9,951 | | 2 | | | 2 | | | | 173 | 03/15/2025 | 1FE | | |
| 126408HJ5 | CSX CORP | | 04/13/2018 | Unknown | XXX | 74,760 | 75,000 | 74,757 | 74,760 | | 3 | | | 3 | | | | 420 | 03/01/2028 | 2FE | | |
| 12673PAC9 | CA INC | | 04/13/2018 | Unknown | XXX | 10,346 | 10,000 | 10,909 | 10,403 | | (58) | | | (58) | | | | 197 | 12/01/2019 | 2FE | | |
| 14040HPB9 | CAPITAL ONE FINANCIAL CORP | | 04/13/2018 | Unknown | XXX | 50,018 | 50,000 | 50,026 | 50,020 | | (3) | | | (3) | | | | 524 | 05/12/2020 | 2FE | | |
| 17275RBB7 | CISCO SYSTEMS INC | | 04/13/2018 | Unknown | XXX | 30,017 | 30,000 | 30,058 | 30,022 | | (5) | | | (5) | | | | 300 | 02/28/2019 | 1FE | | |
| 17275RBL5 | CISCO SYSTEMS INC | | 04/13/2018 | Unknown | XXX | 40,013 | 40,000 | 40,015 | 40,013 | | 0 | | | 0 | | | | 564 | 09/20/2026 | 1FE | | |
| 172967K66 | CITIGROUP INC | | 04/13/2018 | Unknown | XXX | 19,942 | 20,000 | 19,893 | 19,935 | | 6 | | | 6 | | | | 246 | 10/26/2020 | 2FE | | |
| 20030NAZ4 | COMCAST CORP | | 04/13/2018 | Unknown | XXX | 31,449 | 30,000 | 34,111 | 31,780 | | (32) | | | (32) | | | | 1,340 | 07/01/2019 | 1FE | | |
| 22160KAJ4 | COSTCO WHOLESALE CORP | | 04/13/2018 | Unknown | XXX | 74,897 | 75,000 | 74,869 | 74,888 | | 9 | | | 9 | | | | 649 | 05/18/2021 | 1FE | | |
| 24422ES59 | JOHN DEERE CAPITAL CORP | | 04/16/2018 | Unknown | XXX | 50,429 | 50,000 | 50,937 | 50,515 | | (86) | | | (86) | | | | 671 | 09/16/2019 | 1FE | | |
| 291011BF0 | EMERSON ELECTRIC CO | | 04/13/2018 | Unknown | XXX | 9,960 | 10,000 | 9,935 | 9,957 | | 3 | | | 3 | | | | 96 | 12/01/2021 | 1FE | | |
| 31677QBG3 | FIFTH THIRD BANK (OHIO) | | 04/16/2018 | Unknown | XXX | 49,983 | 50,000 | 49,993 | 49,981 | | 1 | | | 1 | | | | 381 | 06/14/2021 | 1FE | | |
| 369622SM8 | GENERAL ELECTRIC CAPITAL CORP | | 04/13/2018 | Unknown | XXX | 53,748 | 50,000 | 55,879 | 54,105 | | (357) | | | (357) | | | | 1,781 | 02/11/2021 | 1FE | | |
| 375558BF9 | GILEAD SCIENCES INC | | 04/13/2018 | Unknown | XXX | 50,587 | 50,000 | 50,667 | 50,606 | | (19) | | | (19) | | | | 1,125 | 03/01/2026 | 1FE | | |
| 38141EA25 | GOULDMAN SACHS GROUP INC | | 04/16/2018 | Unknown | XXX | 26,028 | 25,000 | 28,903 | 26,378 | | (350) | | | (350) | | | | 1,255 | 02/15/2019 | 1FE | | |
| 458140AJ9 | INTEL CORP | | 04/13/2018 | Unknown | XXX | 51,895 | 50,000 | 52,668 | 52,042 | | (147) | | | (147) | | | | 880 | 10/01/2021 | 1FE | | |
| 459200JG7 | INTERNATIONAL BUSINESS MACHINES CORP | | 04/13/2018 | Unknown | XXX | 50,564 | 50,000 | 50,650 | 50,581 | | (18) | | | (18) | | | | 1,121 | 02/19/2026 | 1FE | | |
| 46625HHL7 | JPMORGAN CHASE & CO | | 04/13/2018 | Unknown | XXX | 31,249 | 30,000 | 33,950 | 31,584 | | (335) | | | (335) | | | | 893 | 04/23/2019 | 1FE | | |
| 46625HRY8 | JPMORGAN CHASE & CO | | 04/13/2018 | Unknown | XXX | 51,236 | 50,000 | 51,319 | 51,269 | | (34) | | | (34) | | | | 1,324 | 02/01/2028 | 1FE | | |
| 501044DE8 | KROGER CO | | 04/13/2018 | Unknown | XXX | 47,183 | 50,000 | 46,831 | 47,103 | | 79 | | | 79 | | | | 655 | 10/15/2026 | 2FE | | |
| 59018YN64 | BANK OF AMERICA CORP | | 04/16/2018 | Unknown | XXX | 30,036 | 30,000 | 33,210 | 30,454 | | (418) | | | (418) | | | | 980 | 04/25/2018 | 1FE | | |
| 594918BW3 | MICROSOFT CORP | | 04/13/2018 | Unknown | XXX | 49,917 | 50,000 | 49,893 | 49,911 | | 6 | | | 6 | | | | 823 | 02/06/2022 | 1FE | | |
| 60871RAC4 | MOLSON COORS BREWING CO | | 04/13/2018 | Unknown | XXX | 20,239 | 20,000 | 20,365 | 20,255 | | (16) | | | (16) | | | | 315 | 05/01/2022 | 2FE | | |
| 61761JVL0 | MORGAN STANLEY | | 04/13/2018 | Unknown | XXX | 75,015 | 75,000 | 75,022 | 10,020 | | 1 | | | 1 | | | | 1,310 | 10/23/2024 | 1FE | | |
| 68389XAC9 | ORACLE CORP | | 04/13/2018 | Unknown | XXX | 30,007 | 30,000 | 32,863 | 30,381 | | (374) | | | (374) | | | | 853 | 04/15/2018 | 1FE | | |
| 742718ER6 | PROCTER & GAMBLE CO | | 04/13/2018 | Unknown | XXX | 49,939 | 50,000 | 49,930 | 49,937 | | 2 | | | 2 | | | | 544 | 11/03/2026 | 1FE | | |
| 74432QBP9 | PRUDENTIAL FINANCIAL INC | | 04/16/2018 | Unknown | XXX | 10,470 | 10,000 | 10,932 | 10,520 | | (| | | | | | | | | | | |

SCHEDULE D - PART 4**Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of
During the Current Quarter**

| 1 CUSIP Identification | 2 Description | 3 F o r e i n g | 4 Disposal Date | 5 Name of Purchaser | 6 Number of Shares of Stock | 7 Consideration | 8 Par Value | 9 Actual Cost | 10 Prior Year Book/ Adjusted Carrying Value | Change in Book/Adjusted Carrying Value | | | | | 16 Book/ Adjusted Carrying Value at Disposal Date | 17 Foreign Exchange Gain (Loss) on Disposal | 18 Realized Gain (Loss) on Disposal | 19 Total Gain (Loss) on Disposal | 20 Bond Interest/ Stock Dividends Received During Year | 21 Stated Contractual Maturity Date | 22 NAIC Designation or Market Indicator (a) |
|---|------------------|--------------------------------------|-----------------------|---------------------------|--------------------------------------|--------------------|-------------------|---------------------|--|--|--|---|--|---|--|---|--|---|---|---|---|
| | | | | | | | | | | 11 Unrealized Valuation Increase/ (Decrease) | 12 Current Year's (Amortization)/ Accretion | 13 Current Year's Other Than Temporary Impairment Recognized | 14 Total Change in B/A.C.V. (11 + 12 - 13) | 15 Total Foreign Exchange Change in B/A.C.V. | | | | | | | |
| 8999999 Subtotal - Preferred Stocks | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| 9799998 Summary Item from Part 5 for Common Stocks (N/A to Quarterly) | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| 9799999 Subtotal - Common Stocks | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| 9899999 Subtotal - Preferred and Common Stocks | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| 9999999 Total - Bonds, Preferred and Common Stocks | XXX | XXX | 9,464,636 | XXX | 9,502,110 | 6,967,740 | (6,617) | (6,617) | (6,617) | 9,474,180 | (9,544) | (9,544) | 100,003 | XXX | XXX | XXX | XXX | XXX | XXX | XXX | |

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0.

E06 Schedule DB Part A Section 1 **NONE**

E07 Schedule DB Part B Section 1 **NONE**

E08 Schedule DB Part D Section 1 **NONE**

E09 Schedule DB Part D Section 2 - Collateral Pledged By Reporting Entity **NONE**

E09 Schedule DB Part D Section 2 - Collateral Pledged To Reporting Entity **NONE**

E10 Schedule DL - Part 1 - Securities Lending Collateral Assets **NONE**

E11 Schedule DL - Part 2 - Securities Lending Collateral Assets **NONE**

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

| 1 Depository | | 2 Code | 3 Rate of Interest | 4 Amount of Interest Received During Current Quarter | 5 Amount of Interest Accrued at Current Statement Date | Book Balance at End of Each Month During Current Quarter | | | 9 * |
|---|---|-----------|--------------------------|--|--|---|----------------------|---------------------|--------|
| | | | | | | 6 First Month | 7 Second Month | 8 Third Month | |
| open depositories | | | | | | | | | |
| PNC Bank | Ft. Lauderdale, FL | | | | | 1,249,855 | 670,378 | (588,666) | XXX |
| 0199998 Deposits in | 0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - open depositories | XXX | XXX .. | | | | | | XXX |
| 0199999 Totals - Open Depositories | XXX | XXX .. | | | | 1,249,855 | 670,378 | (588,666) | XXX |
| 0299998 Deposits in | 0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - suspended depositories | XXX | XXX .. | | | | | | XXX |
| 0299999 Totals - Suspended Depositories | XXX | XXX .. | | | | | | | XXX |
| 0399999 Total Cash On Deposit | XXX | XXX .. | | | | 1,249,855 | 670,378 | (588,666) | XXX |
| 0499999 Cash in Company's Office | XXX | XXX .. | XXX | XXX .. | | | | | XXX |
| 0599999 Total Cash | XXX | XXX .. | | | | 1,249,855 | 670,378 | (588,666) | XXX |

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

| 1 Cusip | 2 Description | 3 Code | 4 Date Acquired | 5 Rate of Interest | 6 Maturity Date | 7 Book/Adjusted Carrying Value | 8 Amount of Interest Due & Accrued | 9 Amount Received During Year |
|---|------------------|-----------|--------------------|-----------------------|--------------------|-----------------------------------|---------------------------------------|----------------------------------|
| 7799999 Subtotals - Bonds - Total Bonds - Issuer Obligations | | | | | | | | |
| 7899999 Subtotals - Bonds - Total Bonds - Residential Mortgage-Backed Securities | | | | | | | | |
| 7999999 Subtotals - Bonds - Total Bonds - Commercial Mortgage-Backed Securities | | | | | | | | |
| 8099999 Subtotals - Bonds - Total Bonds - Other Loan-Backed and Structured Securities | | | | | | | | |
| 8199999 Subtotals - Bonds - SVO Identified Funds | | | | | | | | |
| 8399999 Subtotals - Bonds - Total Bonds | | | | | | | | |
| Sweep Accounts | | | | | | | | |
| PNC Premium Business Money Market | | | | 0.000 | | 1,501,206 | | 1,805 |
| 8499999 Subtotals - Sweep Accounts | | | | | | 1,501,206 | | 1,805 |
| Exempt Money Market Mutual Funds - as Identified by SVO | | | | | | | | |
| 665279873 NORTHERN INSTL FDS | | | 06/29/2018 | 0.000 | XXX | 2,230,597 | | |
| 316175504 FIDELITY IMM:TRS;I | | | 06/01/2018 | 1.800 | XXX | 60,683 | 85 | |
| 8599999 Subtotals - Exempt Money Market Mutual Funds - as Identified by SVO | | | | | | 2,291,280 | | 85 |
| All Other Money Market Mutual Funds | | | | | | | | |
| 999809684 PNC GOVT. ACI - INCOME | | | 05/01/2018 | 0.000 | XXX | 8 | | 0 |
| 8699999 Subtotals - All Other Money Market Mutual Funds | | | | | | 8 | | 0 |
| 8799999 Subtotals - Other Cash Equivalents | | | | | | | | |
| 8899999 Total - Cash Equivalents | | | | | | 3,792,494 | 85 | 1,805 |

QE13



Designate the type of health care providers reported on this page:

Physicians, including surgeons and osteopaths

SUPPLEMENT "A" TO SCHEDULE T
EXHIBIT OF MEDICAL PROFESSIONAL LIABILITY PREMIUMS WRITTEN
ALLOCATED BY STATES AND TERRITORIES

| States, Etc. | 1 Direct Premiums Written | 2 Direct Premiums Earned | Direct Losses Paid | | 5 Direct Losses Incurred | Direct Losses Unpaid | | 8 Direct Losses Incurred but not Reported |
|--|------------------------------------|-----------------------------------|--------------------|--------------------------|-----------------------------------|-------------------------|--------------------------|--|
| | | | 3 Amount | 4 Number of Claims | | 6 Amount Reported | 7 Number of Claims | |
| 1. Alabama (AL) | | | | | | | | |
| 2. Alaska (AK) | | | | | | | | |
| 3. Arizona (AZ) | | | | | | | | |
| 4. Arkansas (AR) | | | | | | | | |
| 5. California (CA) | | | | | | | | |
| 6. Colorado (CO) | | | | | | | | |
| 7. Connecticut (CT) | | | | | | | | |
| 8. Delaware (DE) | | | | | | | | |
| 9. District of Columbia (DC) | | | | | | | | |
| 10. Florida (FL) | 2,844,956 | 3,023,864 | 490,000 | 8 | 615,838 | 4,926,000 | 58 | 2,128,928 |
| 11. Georgia (GA) | | | | | | | | |
| 12. Hawaii (HI) | | | | | | | | |
| 13. Idaho (ID) | | | | | | | | |
| 14. Illinois (IL) | | | | | | | | |
| 15. Indiana (IN) | 94,017 | 34,600 | | | (5,561) | | | 9,929 |
| 16. Iowa (IA) | | | | | | | | |
| 17. Kansas (KS) | | | | | | | | |
| 18. Kentucky (KY) | 1,051,995 | 1,037,809 | 1,375,559 | 5 | 455,500 | 2,338,000 | 52 | 606,427 |
| 19. Louisiana (LA) | | | | | | | | |
| 20. Maine (ME) | | | | | | | | |
| 21. Maryland (MD) | | | | | | | | |
| 22. Massachusetts (MA) | | | | | | | | |
| 23. Michigan (MI) | | | | | | | | |
| 24. Minnesota (MN) | | | | | | | | |
| 25. Mississippi (MS) | | | | | | | | |
| 26. Missouri (MO) | | | | | | | | |
| 27. Montana (MT) | | | | | | | | |
| 28. Nebraska (NE) | | | | | | | | |
| 29. Nevada (NV) | | | | | | | | |
| 30. New Hampshire (NH) | | | | | | | | |
| 31. New Jersey (NJ) | | | | | | | | |
| 32. New Mexico (NM) | | | | | | | | |
| 33. New York (NY) | | | | | | | | |
| 34. North Carolina (NC) | | | | | | | | |
| 35. North Dakota (ND) | | | | | | | | |
| 36. Ohio (OH) | 1,384,471 | 1,734,543 | 600,000 | 1 | 250,894 | 1,305,000 | 34 | 568,967 |
| 37. Oklahoma (OK) | | | | | | | | |
| 38. Oregon (OR) | | | | | | | | |
| 39. Pennsylvania (PA) | | | | | | | | |
| 40. Rhode Island (RI) | | | | | | | | |
| 41. South Carolina (SC) | | | | | | | | |
| 42. South Dakota (SD) | | | | | | | | |
| 43. Tennessee (TN) | | | | | | | | |
| 44. Texas (TX) | 81,279 | 57,198 | | | 14,330 | | | 31,374 |
| 45. Utah (UT) | | | | | | | | |
| 46. Vermont (VT) | | | | | | | | |
| 47. Virginia (VA) | | | | | | | | |
| 48. Washington (WA) | | | | | | | | |
| 49. West Virginia (WV) | | | | | | | | |
| 50. Wisconsin (WI) | | | | | | | | |
| 51. Wyoming (WY) | | | | | | | | |
| 52. American Samoa (AS) | | | | | | | | |
| 53. Guam (GU) | | | | | | | | |
| 54. Puerto Rico (PR) | | | | | | | | |
| 55. U.S. Virgin Islands (VI) | | | | | | | | |
| 56. Northern Mariana Islands (MP) | | | | | | | | |
| 57. Canada (CAN) | | | | | | | | |
| 58. Aggregate other alien (OT) | | | | | | | | |
| 59. Totals | 5,456,718 | 5,888,014 | 2,465,559 | 14 | 1,331,001 | 8,569,000 | 144 | 3,345,625 |
| DETAILS OF WRITE-INS | | | | | | | | |
| 58001. | | | | | | | | |
| 58002. | | | | | | | | |
| 58003. | | | | | | | | |
| 58998. Summary of remaining write-ins for Line 58 from overflow page | | | | | | | | |
| 58999. TOTALS (Lines 5801 through 5803 plus 5898) (Line 58 above) | | | | | | | | |

Supp5 Sup. A To T - Hospitals **NONE**

Supp5 Sup. A To T - Other Healthcare Professionals **NONE**

Supp5 Sup. A To T - Other Healthcare Facilities **NONE**

INDEX TO PROPERTY & CASUALTY QUARTERLY STATEMENT

Accident and Health Insurance; Q3; Q13
Accounting Changes and Corrections of Errors; Q6, Note 2
Accounting Practices and Policies; Q6, Note 1
Admitted Assets; Q2; QSI01
Affiliated Transactions; Q2; Q3; Q7; Q7.1
Asbestos Losses and Loss Adjustment Expenses; Q6, Note 33
Bonds; Q2; Q5; Q7.1; Q7.2; QSI01; QSI02; QE04; QE05; QSupp2
Business Combinations and Goodwill; Q6, Note 3
Capital Gains (Losses); Q3; Q4; Q5
Capital Stock; Q3; Q4; Q6, Note 13
Capital Notes; Q3; Q5; Q6, Note 11
Caps; QE06; QSI04
Cash; Q2; Q5; QE12; QSupp2
Cash Equivalents; Q2; Q5; QE13
Collars; QE06; QSI04
Commissions; Q3; Q5
Common Stock; Q2; Q7.1; Q7.2; QSI01; QE04; QE05; QSupp2
Counterparty Exposure; Q6, Note 8; QE06; QE08
Contingencies; Q6, Note 14
Debt; Q6, Note 11
Deferred Compensation; Q6, Note 12
Derivative Instruments; Q6, Note 8; QSI04; QSI05; QSI06; QSI07; QE06; QE07; QE08
Director and Officer; QSupp7
Discontinued Operations; Q6, Note 4
Discounting of Liabilities; Q6, Note 32; Q8
Electronic Data Processing Equipment; Q2
Environmental Losses and Loss Adjustment Expenses; Q6, Note 33
Exchange or Counterparty; QE06; QE08
Expenses; Q3; Q4; Q5; Q8; QE01; QSupp3
Extinguishment of Liabilities; Q6, Note 17
Extraordinary Items; Q6, Note 21
Fair Value; Q7, Note 20
Federal ID Number; Q9
Federal Reserve Board; Q7
Finance and Service Charge; Q4
Floors; QE06; QSI04
Foreign Exchange; Q2; Q3; Q4; QSI01; QSI02; QSI03; QE04; QE05
Forwards; QE06; QSI04
Futures Contracts; QE07; QSI04
Guaranty Fund; Q2
Health Care Receivables; Q6, Note 28
Hedging Transactions; Q7.1; QE06; QE07
High Deductible Policies; Q6, Note 31
Holding Company; Q7; Q11; Q12
Income Generation Transactions; QE06; QE07
Income Taxes; Q2; Q3; Q4; Q5; Q6, Note 9
Intercompany Pooling; Q6, Note 26
Investment Income; Q2; Q4; Q5; Q6, Note 7; QSupp2
Investments; Q2; Q4; Q6, Note 5; Q7.1; Q7.2; QSI01; QSI03; QE03; QE04; QE05; QE08; QE13; QSupp2
Joint Venture; Q6, Note 6
Leases; Q6, Note 15
Licensing; Q3; Q7; Q10
Limited Liability Company (LLC); Q6, Note 6
Limited Partnership; Q6, Note 6
Lines of Business; Q8; Q13
Long-Term Invested Assets; QSI01; QE03
Loss Development; Q6
Losses; Q3; Q4; Q5; Q6, Note 25; Q8; Q10; Q13; Q14; QSupp1; QSupp3
Loss Adjustment Expenses; Q3; Q6, Note 26; Q8; Q14; QSupp3
Managing General Agents; Q6, Note 19; Q7
Medical Malpractice Insurance; Q13; Q15; QSupp5
Medicare Part D Coverage; QSupp6
Mortgage Loans; Q2; Q5; Q7.1; QSI01; QE02; QSupp2
Multiple Peril Crop Insurance; Q6, Note 35
Nonadmitted Assets; Q2; Q4; QSI01; QSI03
Non-Tabular Discount; Q6, Note 32
Off-Balance Sheet Risk; Q6, Note 16

INDEX TO PROPERTY & CASUALTY QUARTERLY STATEMENT

Options; Q7.1; QE06; QSI04
Organizational Chart; Q7; Q11
Other Derivative Transactions; QE06; QE07
Parent, Subsidiaries and Affiliates; Q2; Q3; Q6, Note 10; Q7.1
Participating Policies; Q6, Note 29
Pharmaceutical Rebates; Q6, Note 28
Policyholder Dividends; Q3; Q4; Q5
Postemployment Benefits; Q6, Note 12
Postretirement Benefits; Q6, Note 12
Preferred Stock; Q2; Q7.1; Q7.2; QSI01; QSI02; QE04; QSupp2
Premium Deficiency Reserves; Q6, Note 30
Premium Notes; Q2; Q5
Premiums; Q3; Q5; QSupp3
 Accrued Retrospective; Q2
 Advance; Q3
 Direct; Q10; Q13
 Earned; Q4; Q10; Q13; QSupp5
 Earned but Unbilled; Q2
 Unearned; Q3
 Written; Q4; Q10; Q13; QSupp5
Quasi Reorganizations; Q6, Note 13
Real Estate; Q2; Q5; Q7.1; QSI01; QE01; QSupp2
Redetermination, Contract Subject to; Q6, Note 24
Reinsurance; Q6, Note 23
 Assumed; Q13
 Ceded; Q3; Q9; QSupp3
 Commutation; Q6, Note 23
 Funds Held; Q2; Q3
 Losses; Q3; Q4; Q8; QSupp3
 Payable; Q3; QSupp3
 Premiums; Q3; QSupp3
 Receivable; Q2; QSupp3
 Unsecured; Q6, Note 23
 Uncollectible; Q6, Note 23
Reserves
 Incurred but Not Reported (IBNR); Q8; Q14
 Unpaid Loss Adjustment Expense (LAE); Q14
Retirement Plans; Q6, Note 12
Retrospectively Rated Contracts; Q6, Note 24
Salvage and Subrogation; Q10
Securities Lending; Q2; Q3; QE09; QE11
Servicing of Financial Assets; Q6, Note 17
Short-Term Investments; Q2; Q5; Q7.1; QSI03; QSupp2
Special Deposits; QSupp2
Stockholder Dividends; Q3; Q4; Q5
Structured Settlements; Q6, Note 27
Subscriber Savings Accounts; Q6, Note 34
Subsequent Events; Q6, Note 22
Surplus; Q3; Q4; Q5; Q6, Note 13; Q14; Q15; QSupp1; QSupp2; QSupp3
Surplus Notes; Q3; Q4; Q5
Swaps; QE07; QSI04
Synthetic Assets; QSI04; QSI05
Tabular Discount; Q6, Note 32
Third Party Administrator; Q6, Note 19; Q7
Treasury Stock; Q3; Q4; Q5
Underwriting Expenses; Q4
Uninsured Accident and Health; Q3; Q6, Note 18
Valuation Allowance; QSI01
Wash Sales; Q6, Note 17