



**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	15,386,427	0	15,386,427	15,457,033
2. Stocks:				
2.1 Preferred stocks.....	0	0	0	0
2.2 Common stocks.....	0	0	0	0
3. Mortgage loans on real estate:				
3.1 First liens.....	0	0	0	0
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....	0	0	0	0
4.2 Properties held for the production of income (less \$.....0 encumbrances).....	0	0	0	0
4.3 Properties held for sale (less \$.....0 encumbrances).....	0	0	0	0
5. Cash (\$....(1,322,184)), cash equivalents (\$....224,765) and short-term investments (\$.....0).....	(1,097,419)	0	(1,097,419)	(290,007)
6. Contract loans (including \$.....0 premium notes).....	781,702	0	781,702	788,821
7. Derivatives.....	0	0	0	0
8. Other invested assets.....	0	0	0	0
9. Receivables for securities.....	0	0	0	0
10. Securities lending reinvested collateral assets.....	0	0	0	0
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	15,070,710	0	15,070,710	15,955,848
13. Title plants less \$.....0 charged off (for Title insurers only).....	0	0	0	0
14. Investment income due and accrued.....	132,682	0	132,682	114,964
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	36,839	0	36,839	32,324
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....	78,145	0	78,145	83,641
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	474,785	0	474,785	494,915
16.2 Funds held by or deposited with reinsured companies.....	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts.....	63,404	0	63,404	63,404
17. Amounts receivable relating to uninsured plans.....	0	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon.....	0	0	0	0
18.2 Net deferred tax asset.....	0	0	0	0
19. Guaranty funds receivable or on deposit.....	0	0	0	0
20. Electronic data processing equipment and software.....	230,955	219,190	11,765	13,877
21. Furniture and equipment, including health care delivery assets (\$.....0).....	39,865	39,865	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates.....	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates.....	0	0	0	0
24. Health care (\$.....0) and other amounts receivable.....	0	0	0	0
25. Aggregate write-ins for other than invested assets.....	717,007	717,007	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	16,844,392	976,062	15,868,330	16,758,974
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0	0
28. Total (Lines 26 and 27).....	16,844,392	976,062	15,868,330	16,758,974

**DETAILS OF WRITE-INS**

1101.....	0	0	0	0
1102.....	0	0	0	0
1103.....	0	0	0	0
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Other Assets Nonadmitted.....	717,007	717,007	0	0
2502.....	0	0	0	0
2503.....	0	0	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	717,007	717,007	0	0

# The Order Of United Commercial Travelers Of America

## LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts (including \$.....0 Modco Reserve).....	3,080,870	3,162,397
2. Aggregate reserve for accident and health contracts (including \$.....0 Modco Reserve).....	1,074,081	1,059,781
3. Liability for deposit-type contracts (including \$.....0 Modco Reserve).....	4,183	4,151
4. Contract claims:		
4.1 Life.....	16,895	16,507
4.2 Accident and health.....	1,102,714	1,157,770
5. Refunds due and unpaid.....	0	0
6. Provisions for refunds payable in following calendar year - estimated amounts:		
6.1 Apportioned for payment.....	0	0
6.2 Not yet apportioned.....	0	0
7. Premiums and annuity considerations for life and accident and health contracts received in advance less \$.....0 discount; including \$.....131,283 accident and health premiums.....	131,283	133,548
8. Certificate and contract liabilities not included elsewhere:		
8.1 Surrender values on canceled contracts.....	0	0
8.2 Other amounts payable on reinsurance including \$.....0 assumed and \$.....0 ceded.....	0	0
8.3 Interest maintenance reserve (IMR).....	174,930	182,131
9. Commissions to fieldworkers due or accrued - life and annuity contracts \$.....265, accident and health \$.....12,990 and deposit-type contract funds \$.....0.....	13,255	15,539
10. Commissions and expense allowances payable on reinsurance assumed.....	0	0
11. General expenses due or accrued.....	649,895	525,612
12. Transfers to Separate Accounts due or accrued (net) (including \$.....0 accrued for expense allowances recognized in reserves).....	0	0
13. Taxes, licenses and fees due or accrued.....	11,610	24,368
14. Unearned investment income.....	0	0
15. Amounts withheld or retained by Society as agent or trustee.....	137,130	100,925
16. Amounts held for fieldworkers' account, including \$.....0 fieldworkers' credit balances.....	0	0
17. Remittances and items not allocated.....	21,932	17,659
18. Net adjustment in assets and liabilities due to foreign exchange rates.....	0	0
19. Liability for benefits for employees and fieldworkers if not included above.....	0	0
20. Borrowed money \$.....0 and interest thereon \$.....0.....	0	0
21. Miscellaneous liabilities:		
21.1 Asset valuation reserve.....	56,651	55,419
21.2 Reinsurance in unauthorized and certified (\$.....0) companies.....	0	0
21.3 Funds held under reinsurance treaties with unauthorized and certified (\$.....0) reinsurers.....	0	0
21.4 Payable to subsidiaries and affiliates.....	0	0
21.5 Drafts outstanding.....	0	0
21.6 Funds held under coinsurance.....	0	0
21.7 Derivatives.....	0	0
21.8 Payable for securities.....	0	0
21.9 Payable for securities lending.....	0	0
22. Aggregate write-ins for liabilities.....	613,544	1,089,931
23. Total liabilities excluding Separate Accounts business (Lines 1 to 22).....	7,088,973	7,545,738
24. From Separate Accounts Statement.....	0	0
25. Total liabilities (Lines 23 to 24).....	7,088,973	7,545,738
26. Aggregate write-ins for other than liabilities and surplus funds.....	0	0
27. Surplus notes.....	0	0
28. Aggregate write-ins for surplus funds.....	25,000	25,000
29. Unassigned funds.....	8,754,357	9,188,236
30. Total (Lines 26 through 29) (including \$.....0 in Separate Accounts Statement).....	8,779,357	9,213,236
31. Totals (Lines 25 + 30) (Page 2, Line 28, Col. 3).....	15,868,330	16,758,974

### DETAILS OF WRITE-INS

2201. Amounts Payable to Reinsurer.....	57,353	667,146
2202. Deferred Income.....	281,903	149,473
2203. Unclaimed Funds.....	274,288	273,312
2298. Summary of remaining write-ins for Line 22 from overflow page.....	0	0
2299. Totals (Lines 2201 thru 2203 plus 2298) (Line 22 above).....	613,544	1,089,931
2601. ....	0	0
2602. ....	0	0
2603. ....	0	0
2698. Summary of remaining write-ins for Line 26 from overflow page.....	0	0
2699. Totals (Lines 2601 thru 2603 plus 2698) (Line 26 above).....	0	0
2801. Fraternal Fund.....	25,000	25,000
2802. ....	0	0
2803. ....	0	0
2898. Summary of remaining write-ins for Line 28 from overflow page.....	0	0
2899. Totals (Lines 2801 thru 2803 plus 2898) (Line 28 above).....	25,000	25,000

**SUMMARY OF OPERATIONS**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts.....	3,221,989	3,098,284	12,258,258
2. Considerations for supplementary contracts with life contingencies.....	0	0	0
3. Net investment income.....	118,169	114,714	497,276
4. Amortization of Interest Maintenance Reserve (IMR).....	7,784	9,814	15,769
5. Separate Accounts net gain from operations excluding unrealized gains and losses.....	0	0	0
6. Commissions and expense allowances on reinsurance ceded.....	1,032,176	1,234,354	4,598,781
7. Reserve adjustments on reinsurance ceded.....	0	0	0
8. Miscellaneous income:			
8.1 Income from fees associated with investment management, administration and contract guaranties from Separate Accounts.....	0	0	0
8.2 Charges and fees for deposit-type contracts.....	0	0	0
8.3 Aggregate write-ins for miscellaneous income.....	302,763	278,277	1,099,927
9. Totals (Lines 1 to 8.3).....	4,682,881	4,735,443	18,470,011
10. Death benefits.....	99,370	58,299	214,705
11. Matured endowments (excluding guaranteed annual pure endowments).....	0	0	194
12. Annuity benefits.....	12,888	18,904	35,218
13. Disability benefits and benefits under accident and health contracts including premiums waived \$.....0.....	2,013,645	1,948,256	7,451,263
14. Surrender benefits and withdrawals for life contracts.....	19,664	18,531	47,914
15. Interest and adjustments on contract or deposit-type contract funds.....	32	35	54
16. Payments on supplementary contracts with life contingencies.....	0	0	0
17. Increase in aggregate reserve for life and accident and health contracts.....	(67,293)	59,406	56,761
18. Totals (Lines 10 to 17).....	2,078,306	2,103,431	7,806,109
19. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only).....	825,974	843,976	3,330,762
20. Commissions and expense allowances on reinsurance assumed.....	0	0	0
21. General insurance expenses and fraternal expenses.....	1,947,561	1,954,025	7,216,259
22. Insurance taxes, licenses and fees.....	105,752	111,837	334,469
23. Increase in loading on deferred and uncollected premiums.....	8,232	3,988	(4,974)
24. Net transfers to or (from) Separate Accounts net of reinsurance.....	0	0	0
25. Aggregate write-ins for deductions.....	0	0	0
26. Totals (Lines 18 to 25).....	4,965,825	5,017,257	18,682,625
27. Net gain from operations before refunds to members (Line 9 minus Line 26).....	(282,944)	(281,814)	(212,614)
28. Refunds to members.....	0	0	0
29. Net gain from operations after refunds to members and before realized capital gains (losses) (Line 27 minus Line 28).....	(282,944)	(281,814)	(212,614)
30. Net realized capital gains (losses) less capital gains tax of .....0 (excluding \$.....0 transferred to the IMR).....	(539)	79	18,361
31. Net income (Lines 29 + 30).....	(283,483)	(281,735)	(194,253)
<b>SURPLUS ACCOUNT</b>			
32. Surplus, December 31, prior year.....	9,213,236	9,411,137	9,411,137
33. Net income from operations (Line 31).....	(283,483)	(281,735)	(194,253)
34. Change in net unrealized capital gains (losses) less capital gains tax of \$.....0.....	0	0	0
35. Change in net unrealized foreign exchange capital gain (loss).....	(74,763)	28,474	199,145
36. Change in nonadmitted assets.....	(74,401)	(52,492)	(201,901)
37. Change in liability for reinsurance in unauthorized and certified companies.....	0	0	0
38. Change in reserve on account of change in valuation basis (increase) or decrease.....	0	0	0
39. Change in asset valuation reserve.....	(1,232)	69	(892)
40. Surplus (contributed to) withdrawn from Separate Accounts during period.....	0	0	0
41. Other changes in surplus in Separate Accounts Statement.....	0	0	0
42. Change in surplus notes.....	0	0	0
43. Cumulative effect of changes in accounting principles.....	0	0	0
44. Change in surplus as a result of reinsurance.....	0	0	0
45. Aggregate write-ins for gains and losses in surplus.....	0	0	0
46. Net change in surplus for the year (Lines 33 through 45).....	(433,879)	(305,684)	(197,901)
47. Surplus as of statement date (Lines 32 + 46).....	8,779,357	9,105,453	9,213,236

**DETAILS OF WRITE-INS**

08.301. Donations.....	5,030	108	33,995
08.302. Supreme Dues.....	196,862	204,477	728,093
08.303. Misc Income.....	100,871	73,692	337,839
08.398. Summary of remaining write-ins for Line 8.3 from overflow page.....	0	0	0
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above).....	302,763	278,277	1,099,927
2501. ....	0	0	0
2502. ....	0	0	0
2503. ....	0	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	0	0	0
4501. ....	0	0	0
4502. ....	0	0	0
4503. ....	0	0	0
4598. Summary of remaining write-ins for Line 45 from overflow page.....	0	0	0
4599. Totals (Lines 4501 thru 4503 plus 4598) (Line 45 above).....	0	0	0

## CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>CASH FROM OPERATIONS</b>			
1. Premiums collected net of reinsurance.....	3,212,482	3,128,389	12,283,457
2. Net investment income.....	113,365	114,482	573,248
3. Miscellaneous income.....	745,276	620,256	5,616,392
4. Total (Lines 1 through 3).....	4,071,123	3,863,127	18,473,097
5. Benefit and loss related payments.....	2,200,168	2,046,551	7,767,479
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions.....	2,770,076	2,925,324	10,990,495
8. Dividends paid to policyholders.....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses).....	0	0	0
10. Total (Lines 5 through 9).....	4,970,244	4,971,875	18,757,974
11. Net cash from operations (Line 4 minus Line 10).....	(899,121)	(1,108,748)	(284,877)
<b>CASH FROM INVESTMENTS</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	573,207	303,576	2,394,665
12.2 Stocks.....	0	0	0
12.3 Mortgage loans.....	0	0	0
12.4 Real estate.....	0	0	0
12.5 Other invested assets.....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....	0	0	0
12.7 Miscellaneous proceeds.....	0	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	573,207	303,576	2,394,665
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	593,121	305,502	2,358,684
13.2 Stocks.....	0	0	0
13.3 Mortgage loans.....	0	0	0
13.4 Real estate.....	0	0	0
13.5 Other invested assets.....	0	0	0
13.6 Miscellaneous applications.....	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6).....	593,121	305,502	2,358,684
14. Net increase or (decrease) in contract loans and premium notes.....	(7,120)	5,077	5,189
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(12,794)	(7,003)	30,792
<b>CASH FROM FINANCING AND MISCELLANEOUS SOURCES</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0	0
16.3 Borrowed funds.....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....	0	0	0
16.5 Dividends to stockholders.....	0	0	0
16.6 Other cash provided (applied).....	104,503	192,478	(221,663)
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	104,503	192,478	(221,663)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	(807,412)	(923,273)	(475,748)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	(290,007)	185,741	185,741
19.2 End of period (Line 18 plus Line 19.1).....	(1,097,419)	(737,532)	(290,007)
Note: Supplemental disclosures of cash flow information for non-cash transactions:			
20.0001 .....	0	0	0

**EXHIBIT 1**

**DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Life Insurance.....	187,889	213,945	803,504
2. Individual annuities.....	12,250	11,900	50,563
3. Accident and Health.....	12,650,899	13,697,269	52,483,208
4. Aggregate of all other lines of business.....	0	0	0
5. Subtotal (Lines 1 through 4).....	12,851,038	13,923,114	53,337,275
6. Fraternal.....	0	0	0
7. Expenses.....	0	0	0
8. Subtotal (Lines 5 through 7).....	12,851,038	13,923,114	53,337,275
9. Deposit-type contracts.....	0	0	0
10. Total.....	12,851,038	13,923,114	53,337,275

**DETAILS OF WRITE-INS**

0401.....	0	0	0
0402.....	0	0	0
0403.....	0	0	0
0498. Summary of remaining write-ins for Line 4 from overflow page.....	0	0	0
0499. Total (Lines 0401 thru 0403 plus 0498) (Line 4 above).....	0	0	0

**NOTES TO FINANCIAL STATEMENTS****Note 1 – Summary of Significant Accounting Policies and Going Concern**

## A. Accounting Practices

	SSAP #	F/S Page	F/S Line #	2018	2017
<b>NET INCOME</b>					
(1) The Order Of United Commercial Travelers Of America Company state basis (Page 4, Line 31, Columns 1 & 2)	XXX	XXX	XXX	\$ (283,483)	\$ (194,253)
(2) State Prescribed Practice that are an increase/(decrease) from NAIC SAP				\$ 0	\$ 0
(3) State Permitted Practice that are an increase/(decrease) from NAIC SAP				\$ 0	\$ 0
(4) NAIC SAP (1 – 2 – 3 = 4)	XXX	XXX	XXX	\$ (283,483)	\$ (194,253)
<b>SURPLUS</b>					
(5) The Order Of United Commercial Travelers Of America Company state basis (Page 3, line 30, Columns 1 & 2)	XXX	XXX	XXX	\$ 8,779,357	\$ 9,213,236
(6) State Prescribed Practice that are an increase/(decrease) from NAIC SAP				\$ 0	\$ 0
(7) State Permitted Practice that are an increase/(decrease) from NAIC SAP				\$ 0	\$ 0
(8) NAIC SAP (5 – 6 – 7 = 8)	XXX	XXX	XXX	\$ 8,779,357	\$ 9,213,236

## C. Accounting Policy

(6) Basis for Loan-Backed Securities and Adjustment Methodology - No significant change

## D. Going Concern - No significant change

**Note 2 – Accounting Changes and Corrections of Errors**

No significant changes

**Note 3 – Business Combinations and Goodwill**

No significant changes

**Note 4 – Discontinued Operations**

No significant changes

**Note 5 – Investments**

## D. Loan-Backed Securities - No significant changes

	1	2a	2b	3
(2)	Amortized Cost Basis Before Other-than-Temporary Impairment	Other-Than-Temporary Impairment Recognized in Loss		Fair Value 1 – (2a + 2b)
		Interest	Non- Interest	
OTTI recognized 1 <sup>st</sup> Quarter				
a. Intent to sell	\$ 0	\$ 0	\$ 0	\$ 0
b. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis	0	0	0	0
c. Total 1 <sup>st</sup> Quarter	\$ 0	\$ 0	\$ 0	\$ 0
OTTI recognized 2 <sup>nd</sup> Quarter				
d. Intent to sell	\$ 0	\$ 0	\$ 0	\$ 0
e. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis	0	0	0	0
f. Total 2 <sup>nd</sup> Quarter	\$ 0	\$ 0	\$ 0	\$ 0
OTTI recognized 3 <sup>rd</sup> Quarter				
g. Intent to sell	\$ 0	\$ 0	\$ 0	\$ 0
g. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis	0	0	0	0
i. Total 3 <sup>rd</sup> Quarter	\$ 0	\$ 0	\$ 0	\$ 0
OTTI recognized 4 <sup>th</sup> Quarter				
j. Intent to sell	\$ 0	\$ 0	\$ 0	\$ 0
k. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis	0	0	0	0
l. Total 4 <sup>th</sup> Quarter	\$ 0	\$ 0	\$ 0	\$ 0
m. Annual aggregate total	XXX	\$ 0	\$ 0	XXX

**NOTES TO FINANCIAL STATEMENTS**

## (3) Recognized OTTI securities

1	2	3	4	5	6	7
CUSIP	Book/Adjusted Carrying Value Amortized Cost Before Current Period OTTI	Present Value of Projected Cash Flows	Recognized Other-Than-Temporary Impairment	Amortized Cost After Other-Than-Temporary Impairment	Fair Value at Time of OTTI	Date of Financial Statement Where Reported
	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	
Total			\$ 0			

## (4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains):

a. The aggregate amount of unrealized losses:	1. Less than 12 Months	\$ (11,014)
	2. 12 Months or Longer	\$ (28,719)
b. The aggregate related fair value of securities with unrealized losses:	1. Less than 12 Months	\$ 814,765
	2. 12 Months or Longer	\$ 1,025,638

## (5) Information Investor Considered in Reaching Conclusion that Impairments are Not Other-Than-Temporary

## E. Dollar Repurchase Agreements and/or Securities Lending Transactions

## (3) Collateral Received

b. The fair value of that collateral and of the portion of that collateral that it has sold or repledged	\$ 0
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## F. Repurchase Agreements Transactions Accounted for as Secured Borrowing - N/A

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing  
Repurchase Transactions – Cash Provider – Overview of Secured Borrowing Transactions - N/AH. Repurchase Agreements Transactions Accounted for as a Sale  
Repurchase Transaction – Cash Taker – Overview of Sale Transactions - N/AI. Reverse Repurchase Agreements Transactions Accounted for as a Sale  
Repurchase Transaction – Cash Provider – Overview of Sale Transactions - N/A

## M. Working Capital Finance Investments

## (2) Aggregate Maturity Distribution on the Underlying Working Capital Finance Programs

	Book/Adjusted Carrying Value
a. Up to 180 Days	\$ 0
b. 181 to 365 Days	0
c. Total	\$ 0

## (3) Any Events of Default or Working Capital Finance Investments - N/A

## N. Offsetting and Netting of Assets and Liabilities

	Gross Amount Recognized	Amount Offset*	Net Amount Presented on Financial Statements
(1) Assets			
	\$ 0	\$ 0	\$ 0
(2) Liabilities			
	\$ 0	\$ 0	\$ 0

\* For derivative assets and derivative liabilities, the amount of offset shall agree to Schedule DB, Part D, Section 1.

**Note 6 – Joint Ventures, Partnerships and Limited Liability Companies**

No significant changes

**Note 7 – Investment Income**

No significant changes

**Note 8 – Derivative Instruments**

No significant changes

**Note 9 – Income Taxes**

No significant changes

**Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

No significant changes

**Note 11 – Debt**

B. FHLB (Federal Home Loan Bank) Agreements - None

**NOTES TO FINANCIAL STATEMENTS**

(1) Information on the Nature of the Agreement - N/A

(2) FHLB Capital Stock

a. Aggregate Totals - N/A

1. Current Year

	1 Total 2 + 3	2 General Account	3 Separate Accounts
(a) Membership Stock – Class A	\$ 0	\$ 0	\$ 0
(b) Membership Stock – Class B	0	0	0
(c) Activity Stock	0	0	0
(d) Excess Stock	0	0	0
(e) Aggregate Total (a+b+c+d)	\$ 0	\$ 0	\$ 0
(f) Actual or estimated borrowing capacity as determined by the insurer	0	XXX	XXX

2. Prior Year

	1 Total 2 + 3	2 General Account	3 Separate Accounts
(a) Membership Stock – Class A	\$ 0	\$ 0	\$ 0
(b) Membership Stock – Class B	0	0	0
(c) Activity Stock	0	0	0
(d) Excess Stock	0	0	0
(e) Aggregate Total (a+b+c+d)	\$ 0	\$ 0	\$ 0
(f) Actual or estimated borrowing capacity as determined by the insurer	0	XXX	XXX

b. Membership Stock (Class A and B) Eligible for Redemption

Membership Stock	1 Current Year Total (2+3+4+5+6)	2 Not Eligible for Redemption	Eligible for Redemption			
			3 Less than 6 Months	4 6 Months to Less Than 1 Year	5 1 to Less Than 3 Years	6 3 to 5 Years
1. Class A	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
2. Class B	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0

(3) Collateral Pledged to FHLB - N/A

a. Amount Pledged as of Reporting Date

	1 Fair Value	2 Carrying Value	3 Aggregate Total Borrowing
Current Year Total General and Separate Accounts Total Collateral Pledged (Lines 2+3)	\$ 0	\$ 0	\$ 0
Current Year General Account Total Collateral Pledged	0	0	0
Current Year Separate Accounts Total Collateral Pledged	0	0	0
Prior Year Total General and Separate Accounts Total Collateral Pledged	\$ 0	\$ 0	\$ 0

b. Maximum Amount Pledged During Reporting Period

	1 Fair Value	2 Carrying Value	3 Amount of Borrowed at Time of Maximum Collateral
Current Year Total General and Separate Accounts Total Collateral Pledged (Lines 2+3)	\$ 0	\$ 0	\$ 0
Current Year General Account Total Collateral Pledged	0	0	0
Current Year Separate Accounts Total Collateral Pledged	0	0	0
Prior Year Total General and Separate Accounts Total Collateral Pledged	\$ 0	\$ 0	\$ 0

(4) Borrowing from FHLB - N/A

a. Amount as of the Reporting Date

1. Current Year

	1 Total 2 + 3	2 General Account	3 Separate Accounts	4 Funding Agreements Reserves Established
(a) Debt	\$ 0	\$ 0	\$ 0	XXX
(b) Funding Agreements	0	0	0	\$ 0
(c) Other	0	0	0	XXX
(d) Aggregate Total (a+b+c)	\$ 0	\$ 0	\$ 0	\$ 0

2. Prior Year

**NOTES TO FINANCIAL STATEMENTS**

	1 Total 2 + 3	2 General Account	3 Separate Accounts	4 Funding Agreements Reserves Established
(a) Debt	\$ 0	\$ 0	\$ 0	XXX
(b) Funding Agreements	0	0	0	\$ 0
(c) Other	0	0	0	XXX
(d) Aggregate Total (a+b+c)	\$ 0	\$ 0	\$ 0	\$ 0

b. Maximum Amount During Reporting Period (Current Year)

	1 Total 2 + 3	2 General Account	3 Separate Accounts
1. Debt	0	0	0
2. Funding Agreements	0	0	0
3. Other	0	0	0
4. Aggregate Total (Lines 1+2+3)	0	0	0

c. FHLB – Prepayment Obligations

	Does the Company have Prepayment Obligations under the Following Arrangements (YES/NO)
1. Debt	NO
2. Funding Agreements	NO
3. Other	NO

**Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

A. Defined Benefit Plan - N/A

	Pension Benefits		Postretirement Benefits		Special or Contractual Benefits per SSAP No. 11	
	2018	2017	2018	2017	2018	2017
a. Service cost	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
b. Interest cost	0	0	0	0	0	0
c. Expected return on plan assets	0	0	0	0	0	0
d. Transition asset or obligation	0	0	0	0	0	0
e. Gains and losses	0	0	0	0	0	0
f. Prior service cost or credit	0	0	0	0	0	0
g. Gain or loss recognized due to a settlement curtailment	0	0	0	0	0	0
h. Total net periodic benefit cost	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0

**Note 13 – Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations**

No significant changes

**Note 14 – Liabilities, Contingencies and Assessments**

A. Contingent Commitments

(1) Total SSAP No. 97, Investments in Subsidiary, Controlled, and Affiliated Entities, A Replacement of SSAP No. 88, and SSAP No. 48, Joint Ventures, Partnerships and Limited Liability Company contingent liabilities: \$0.

(2) Detail of other contingent commitments

Nature and Circumstances of Guarantee and Key Attributes, Including Date and Duration of Agreement	Liability Recognition of Guarantee, (Including Amount Recognized at Inception. If no Initial Recognition, Document Exception Allowed Under SSAP No. 5R)	Ultimate Financial Statement Impact if Action under the Guarantee is Required	Maximum Potential Amount of Future Payments (Undiscounted) the Guarantor could be Required to make under the Guarantee. If unable to Develop an Estimate, this Should be Specifically Noted	Current Status of Payment or Performance Risk of Guarantee. Also Provide Additional Discussion as Warranted
	\$ 0		\$ 0	
Total	\$ 0	XXX	\$ 0	XXX

(3) Guarantee Obligations

a. Aggregate maximum potential of future payments of all guarantees (undiscounted) the guarantor could be required to make under guarantees. (Should equal total of column 4 for (2) above.	\$ 0
b. Contingent liabilities recognized in F/S.	

## NOTES TO FINANCIAL STATEMENTS

1. Noncontingent liabilities	\$	0
2. Contingent liabilities	\$	0
c. Ultimate financial statement impact if action under the guarantee is required.		
1. Investments in SCA	\$	0
2. Joint Venture		0
3. Dividends to stockholders (capital contribution)		0
4. Expense		0
5. Other		0
6. Total (should equal (3)a)	\$	0

## B. Assessments

(1) Assessments Where Amount is Known or Unknown - N/A

(2) Assessments

a. Assets recognized from paid and accrued premium tax offsets and policy surcharges prior year –end	\$	0
b. Decreases current period:		0
c. Increases current period:		0
d. Assets recognized from paid and accrued premium tax offsets and policy surcharges current period	\$	0

(3) Guaranty Fund Liabilities and Assets Related to Assessments from Insolvencies for Long-Term Care Contracts

a. Discount Rate Applied

0.0

b. The undiscounted and discounted amount of the guaranty fund assessments and related assets by insolvency:

Name of the Insolvency	Guaranty Fund Assessment		Related Assets	
	Undiscounted	Discounted	Undiscounted	Discounted
	\$ 0	\$ 0	\$ 0	\$ 0

c. Number of jurisdictions, ranges of years used to discount and weighted average number of years of the discounting time period for payables and recoverables by insolvency:

Name of the Insolvency	Payables			Recoverables		
	Number of Jurisdictions	Range of Years	Weighted Average Number of Years	Number of Jurisdictions	Range of Years	Weighted Average Number of Years
	0		0	0		0

C. Gain Contingencies - N/A

D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits - Total SSAP 97 and SSAP 48 Contingent Liabilities - N/A

The Company paid the following amounts in the reporting period to settle claims related extra contractual obligations or bad faith claims stemming from lawsuits

	Direct
Claims related ECO and bad faith losses paid during the reporting period	\$ 0

Number of claims where amounts were paid to settle claims related extra contractual obligations or bad faith claims resulting from lawsuits during the reporting period:

(a) 0-25 Claims	(b) 26-50 Claims	(c) 51-100 Claims	(d) 101-500 Claims	(e) More than 500 Claims
X				

Indicate whether claim count information is disclosed per claim or per claimant:

(f) Per Claim [ ] (g) Per Claimant [ ]

E. Joint and Several Liabilities - N/A

F. All Other Contingencies - N/A

**Note 15 – Leases**

No significant changes

**Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk**

No significant changes

**Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

B. Transfer and Servicing of Financial Assets - N/A

(2) Servicing Assets and Servicing Liabilities - N/A

(4) Securitizations, Asset-Based Financing Arrangements and Similar Transfers Accounted for as Sales - N/A

**NOTES TO FINANCIAL STATEMENTS**

## C. Wash Sales - None

- (1) Description of the Objectives Regarding These Transactions - N/A
- (2) The details by NAIC designation 3 or below, or unrated of securities sold during the year ended December 31, 2018 and reacquired within 30 days of the sale date are:

Description	NAIC Designation	Number of Transactions	Book Value of Securities Sold	Cost of Securities Repurchased	Gain/(Loss)
		0	\$ 0	\$ 0	\$ 0

**Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Portion of Partially Insured Plans**

No significant changes

**Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

No significant changes

**Note 20 – Fair Value Measurements**

## A. Fair Value Measurements - N/A

## (1) Fair Value Measurements at Reporting Date

	Level 1	Level 2	Level 3	Total	Net Asset Value (NAV) Included in Level 2
Assets at Fair Value					
	\$ 0	\$ 0	\$ 0	\$ 0	\$
Total	\$ 0	\$ 0	\$ 0	\$ 0	\$
Liabilities at Fair Value					
	\$ 0	\$ 0	\$ 0	\$ 0	\$
Total	\$ 0	\$ 0	\$ 0	\$ 0	\$

## (2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

	Beginning Balance at 1/1/2018	Transfers Into Level 3	Transfers Out of Level 3	Total Gains and (Losses) Included in Net Income	Total Gains and (Losses) Included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance at 12/31/2018
a. Assets										
	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Total	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
b. Liabilities										
	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Total	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0

## (3) Policies when Transfers Between Levels are Recognized - N/A

## (4) Description of Valuation Techniques and Inputs Used in Fair Value Measurement - N/A

## (5) Fair Value Disclosures - N/A

## B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements - N/A

## C. Fair Value Level - N/A

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)	Net Asset Value (NAV) Included in Level 2
	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$

## D. Not Practicable to Estimate Fair Value - N/A

Type of Class or Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
	\$ 0	0.0%		

**Note 21 – Other Items**

No significant changes

**Note 22 – Events Subsequent**

Effective April 25, 2018, the Canadian branch of the Order is no longer writing new business in Canada.

**Note 23 – Reinsurance**

No significant changes

**Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination**

## E. Risk Sharing Provisions of the Affordable Care Act

**NOTES TO FINANCIAL STATEMENTS**

(1) Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions? Yes [ ] No [X]

(2) Impact of Risk Sharing Provisions of the Affordable Care Act on admitted assets, liabilities and revenue for the current year:

a. Permanent ACA Risk Adjustment Program		AMOUNT
<b>Assets</b>		
1. Premium adjustments receivable due to ACA Risk Adjustment		0
<b>Liabilities</b>		
2. Risk adjustment user fees payable for ACA Risk Adjustment		0
3. Premium adjustments payable due to ACA Risk Adjustment		0
<b>Operations (Revenue &amp; Expenses)</b>		
4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment		0
5. Reported in expenses as ACA Risk Adjustment user fees (incurred/paid)		0

b. Transitional ACA Reinsurance Program		AMOUNT
<b>Assets</b>		
1. Amounts recoverable for claims paid due to ACA Reinsurance		0
2. Amounts recoverable for claims unpaid due to ACA Reinsurance (contra liability)		0
3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance		0
<b>Liabilities</b>		
4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium		0
5. Ceded reinsurance premiums payable due to ACA Reinsurance		0
6. Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance		0
<b>Operations (Revenue &amp; Expenses)</b>		
7. Ceded reinsurance premiums due to ACA Reinsurance		0
8. Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments		0
9. ACA Reinsurance contributions – not reported as ceded premium		0

c. Temporary ACA Risk Corridors Program		AMOUNT
<b>Assets</b>		
1. Accrued retrospective premium due to ACA Risk Corridors		0
<b>Liabilities</b>		
2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors		0
<b>Operations (Revenue &amp; Expenses)</b>		
3. Effect of ACA Risk Corridors on net premium income (paid/received)		0
4. Effect of ACA Risk Corridors on change in reserves for rate credits		0

(3) Roll forward of prior year ACA Risk Sharing Provisions for the following asset (gross of any nonadmission) and liability balances along with the reasons for adjustments to prior year balance:

	Accrued During the Prior Year on Business Written Before Dec. 31 of The Prior Year		Received or Paid as of the Current Year on Business Written Before Dec 31 of the Prior Year		Differences		Adjustments		Ref	Unsettled Balances as of the Reporting Date	
	1	2	3	4	Prior Year Accrued Less Payments (Col. 1-3) 5	Prior Year Accrued Less Payments (Col. 2-4) 6	To Prior Year Balances 7	To Prior Year Balances 8		Cumulative Balance from Prior Years (Col. 1-3+7) 9	Cumulative Balance from Prior Years (Col. 2-4+8) 10
<b>a. Permanent ACA Risk Adjustment Program</b>											
1. Premium adjustments receivable	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	A	\$ 0	\$ 0
2. Premium adjustments (payable)	\$ 0	0	0	0	0	0	0	0	B	0	0
3. Subtotal ACA Permanent Risk Adjustment Program	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0		\$ 0	\$ 0
<b>b. Transitional ACA Reinsurance Program</b>											
1. Amounts recoverable for claims paid	0	0	0	0	0	0	0	0	C	0	0
2. Amounts recoverable for claims unpaid (contra liability)	0	0	0	0	0	0	0	0	D	0	0
3. Amounts receivable relating to uninsured plans	0	0	0	0	0	0	0	0	E	0	0
4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premiums	0	0	0	0	0	0	0	0	F	0	0
5. Ceded reinsurance premiums payable	0	0	0	0	0	0	0	0	G	0	0
6. Liability for amounts held under uninsured plans	0	0	0	0	0	0	0	0	H	0	0
6. Subtotal ACA Transitional	0	0	0	0	0	0	0	0		0	0

**NOTES TO FINANCIAL STATEMENTS**

	Accrued Prior Year Written Before The Prior	During the on Business Dec. 31 of Year	Received or the Current Business Before the Prior	Paid as of Year on Written Dec 31 of Year	Differences		Adjustments		Ref	Unsettled as of the	Balances Reporting Date
					Prior Year Accrued Less Payments (Col. 1-3)	Prior Year Accrued Less Payments (Col. 2-4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col. 1-3+7)	Cumulative Balance from Prior Years (Col. 2-4+8)
	1	2	3	4	5	6	7	8		9	10
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)		Receivable	(Payable)
Reinsurance Program											
c. Temporary ACA Risk Corridors Program											
1. Accrued retrospective premium	0	0	0	0	0	0	0	0	I	0	0
2. Reserve for rate credits or policy experience rating refunds	0	0	0	0	0	0	0	0	J	0	0
3. Subtotal ACA Risk Corridors Program	0	0	0	0	0	0	0	0		0	0
d. Total for ACA Risk Sharing Provisions	0	0	0	0	0	0	0	0		0	0

Explanations of Adjustments

- A. N/A
- B. N/A
- C. N/A
- D. N/A
- E. N/A
- F. N/A
- G. N/A
- H. N/A
- I. N/A
- J. N/A

(4) Roll-Forward of Risk Corridors Asset and Liability Balances by Program Benefit Year

	Accrued During the Prior Year on Business Written Before Dec. 31 of The Prior Year		Received or Paid as of the Current Year on Business Written Before Dec 31 of the Prior Year		Differences		Adjustments			Unsettled as of the	Balances Reporting Date
					Prior Year Accrued Less Payments (Col. 1-3)	Prior Year Accrued Less Payments (Col. 2-4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col. 1-3+7)	Cumulative Balance from Prior Years (Col. 2-4+8)
	1	2	3	4	5	6	7	8		9	10
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)		Receivable	(Payable)
a. 2014											
1. Accrued retrospective premium	0	0	0	0	0	0	0	0	A	0	0
2. Reserve for rate credits for policy experience rating refunds	0	0	0	0	0	0	0	0	B	0	0
b. 2015											
1. Accrued retrospective premium	0	0	0	0	0	0	0	0	C	0	0
2. Reserve for rate credits for policy experience rating refunds	0	0	0	0	0	0	0	0	D	0	0
c. 2016											
1. Accrued retrospective premium	0	0	0	0	0	0	0	0	E	0	0
2. Reserve for rate credits or policy experience rating refunds	0	0	0	0	0	0	0	0	F	0	0
d. Total for Risk Corridors	0	0	0	0	0	0	0	0		0	0

- A. N/A
- B. N/A
- C. N/A
- D. N/A
- E. N/A
- F. N/A

(5) ACA Risk Corridors Receivable as of Reporting Date

Risk Corridors Program Year	1 Estimated Amount to be Filed or Final Amount Filed with CMS	2 Non-Accrued Amounts for Impairment or Other Reasons	3 Amounts Received from CMS	4 Asset Balance (Gross of Non-Admissions) (1-2-3)	5 Non-Admitted Amount	6 Net Admitted Asset (4-5)
a. 2014	0	0	0	0	0	0
b. 2015	0	0	0	0	0	0
c. 2016	0	0	0	0	0	0
d. Total (a+b+c)	0	0	0	0	0	0

**Note 25 – Change in Incurred Losses and Loss Adjustment Expenses**

The Company did not have any changes in the provision for incurred claim and claim adjustment expenses attributable to insured events of prior years.

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## NOTES TO FINANCIAL STATEMENTS

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**Note 26 – Intercompany Pooling Arrangements**

No significant changes

**Note 27 – Structured Settlements**

No significant changes

**Note 28 – Health Care Receivables**

No significant changes

**Note 29 – Participating Policies**

No significant changes

**Note 30 – Premium Deficiency Reserves**

No significant changes

**Note 31 – Reserves for Life Contracts and Deposit-Type Contracts**

No significant changes

**Note 32 – Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics**

No significant changes

**Note 33 – Premium and Annuity Considerations Deferred and Uncollected**

No significant changes

**Note 34 – Separate Accounts**

No significant changes

**Note 35 – Loss/Claim Adjustment Expenses**

No significant changes

**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [ X ]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ X ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [ X ]
- 2.2 If yes, date of change: \_\_\_\_\_
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [ X ] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [ X ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [ ] No [ X ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. \_\_\_\_\_
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [ X ]
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
	0	

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [ ] N/A [ X ]  
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2015
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2015
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 07/20/2016
- 6.4 By what department or departments?  
Ohio Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [ X ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [ ] No [ X ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [ X ]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [ ] No [ X ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [ X ]
- 8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [ X ] No [ ]
  - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
  - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
  - (c) Compliance with applicable governmental laws, rules and regulations;
  - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
  - (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [ X ]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [ X ]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**FINANCIAL**

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ ] No [X]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

**INVESTMENT**

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0
13. Amount of real estate and mortgages held in short-term investments: \$ 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [ ] No [X]
- 14.2 If yes, please complete the following:

	1 Prior Year End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0	\$ 0
14.22 Preferred Stock	0	0
14.23 Common Stock	0	0
14.24 Short-Term Investments	0	0
14.25 Mortgage Loans on Real Estate	0	0
14.26 All Other	0	0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 0	\$ 0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ 0	\$ 0

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [X]
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [X]
- If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.3 Total payable for securities lending reported on the liability page: \$ 0
17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [ ] No [X]

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
US Bank National Association	1555 N Riber Center Dr Ste 302; Milwaukee, WI 53212
Wachovia Securities	2010 N Tryon St; Charlotte, NC 28201

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
Scotiastrust	Exchange Tower, 130 King St West, 20th Fl; Toronto, ON M5X 1K1	Canadian Investments are in compliance with OSFI.

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["...that have access to the investment accounts", "handle securities"].

1 Name of Firm or Individual	2 Affiliation
Prime Advisors, Inc.	U

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's assets? Yes [X] No [ ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes [X] No [ ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
107860	Frank Conde, Prime Advisors, Inc.		SEC	NO

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes [X] No [ ]

18.2 If no, list exceptions:

## **GENERAL INTERROGATORIES**

### **PART 1 - COMMON INTERROGATORIES**

19. By self-designating 5\*GI securities, the reporting entity is certifying the following elements for each self-designated 5\*GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist.
  - b. Issuer or obligor is current on all contracted interest and principal payments.
  - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5\*GI securities?

Yes [ ] No [X]

# The Order Of United Commercial Travelers Of America GENERAL INTERROGATORIES (continued)

## PART 2 - FRATERNAL

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories:

	Reponses	
1.1 Long-term mortgages in good standing:		
1.11 Farm mortgages.....	\$.....	0
1.12 Residential mortgages.....	\$.....	0
1.13 Commercial mortgages.....	\$.....	0
1.14 Total mortgages in good standing.....	\$.....	0
1.2 Long-term mortgages in good standing with restructured terms:		
1.21 Total mortgages in good standing with restructured terms.....	\$.....	0
1.3 Long-term mortgage loans upon which interest is overdue more than three months:		
1.31 Farm mortgages.....	\$.....	0
1.32 Residential mortgages.....	\$.....	0
1.33 Commercial mortgages.....	\$.....	0
1.34 Total mortgages with interest overdue more than three months.....	\$.....	0
1.4 Long-term mortgage loans in process of foreclosure:		
1.41 Farm mortgages.....	\$.....	0
1.42 Residential mortgages.....	\$.....	0
1.43 Commercial mortgages.....	\$.....	0
1.44 Total mortgages in process of foreclosure.....	\$.....	0
1.5 Total mortgage loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2).....	\$.....	0
1.6 Long-term mortgages foreclosed, properties transferred to real estate in current quarter:		
1.61 Farm mortgages.....	\$.....	0
1.62 Residential mortgages.....	\$.....	0
1.63 Commercial mortgages.....	\$.....	0
1.64 Total mortgages foreclosed and transferred to real estate.....	\$.....	0

2.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurance for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? Yes [ X ]    No [ ]

2.2 If no, explain.....  
 \_\_\_\_\_  
 \_\_\_\_\_

3. Operating Percentages:

3.1 A&H loss percent.....	.....	65.1
3.2 A&H cost containment percent.....	.....	0.0
3.3 A&H expense percent excluding cost containment expenses.....	.....	57.5

4.1 Do you act as a custodian for health savings accounts?..... Yes [ ]    No [ X ]

4.2 If yes, please provide the amount of custodial funds held as of the reporting date..... \$.....0

4.3 Do you act as an administrator for health savings accounts?..... Yes [ ]    No [ X ]

4.4 If yes, please provide the balance of the funds administered as of the reporting date..... \$.....0

5.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus?..... Yes [ ]    No [ X ]

5.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amounts
	0

6. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?..... Yes [ X ]    No [ ]

6.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile or the reporting entity?..... Yes [ ]    No [ ]

**SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
------------------------------	----------------	------------------------	------------------------	----------------------------------	--------------------------------------	---------------------------	---	--

**NONE**

# The Order Of United Commercial Travelers Of America SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

State, Etc.	1 Active Status (a)	Direct Business Only					6 Total Columns 2 through 5	7 Deposit-Type Contracts
		Life Contracts		4 Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	5 Other Considerations	6 Total Columns 2 through 5		
		2 Life Insurance Premiums	3 Annuity Considerations					
1. Alabama.....	AL	L	2,343	0	173,073	0	175,416	0
2. Alaska.....	AK	N	67	0	1,893	0	1,960	0
3. Arizona.....	AZ	L	1,479	250	378,668	0	380,397	0
4. Arkansas.....	AR	L	1,551	0	329,889	0	331,440	0
5. California.....	CA	L	13,564	0	63,491	0	77,055	0
6. Colorado.....	CO	L	470	1,300	480,795	0	482,565	0
7. Connecticut.....	CT	L	1,309	0	1,936	0	3,245	0
8. Delaware.....	DE	L	0	0	851	0	851	0
9. District of Columbia.....	DC	L	117	0	942	0	1,059	0
10. Florida.....	FL	L	14,589	0	619,130	0	633,719	0
11. Georgia.....	GA	L	7,488	0	97,242	0	104,730	0
12. Hawaii.....	HI	N	0	0	0	0	0	0
13. Idaho.....	ID	L	0	0	725,902	0	725,902	0
14. Illinois.....	IL	L	9,846	0	557,923	0	567,769	0
15. Indiana.....	IN	L	8,770	0	786,952	0	795,722	0
16. Iowa.....	IA	L	2,087	0	333,973	0	336,060	0
17. Kansas.....	KS	L	2,312	450	86,646	0	89,408	0
18. Kentucky.....	KY	L	4,878	0	30,745	0	35,623	0
19. Louisiana.....	LA	L	5,067	0	410,193	0	415,260	0
20. Maine.....	ME	N	0	0	2,883	0	2,883	0
21. Maryland.....	MD	L	750	0	13,054	0	13,804	0
22. Massachusetts.....	MA	L	1,293	0	13,951	0	15,244	0
23. Michigan.....	MI	L	21,601	10,000	301,488	0	333,089	0
24. Minnesota.....	MN	L	1,422	0	27,137	0	28,559	0
25. Mississippi.....	MS	L	7,122	0	946,565	0	953,687	0
26. Missouri.....	MO	L	4,400	0	225,436	0	229,836	0
27. Montana.....	MT	L	125	0	284,356	0	284,481	0
28. Nebraska.....	NE	L	2,227	0	1,272,924	0	1,275,151	0
29. Nevada.....	NV	L	536	0	113,728	0	114,264	0
30. New Hampshire.....	NH	L	281	0	4,339	0	4,620	0
31. New Jersey.....	NJ	L	3,384	0	5,166	0	8,550	0
32. New Mexico.....	NM	N	230	0	6,261	0	6,491	0
33. New York.....	NY	L	414	0	14,318	0	14,732	0
34. North Carolina.....	NC	L	3,006	0	363,584	0	366,590	0
35. North Dakota.....	ND	L	1,115	0	236,071	0	237,186	0
36. Ohio.....	OH	L	17,112	0	227,581	0	244,693	0
37. Oklahoma.....	OK	L	2,565	0	108,669	0	111,234	0
38. Oregon.....	OR	L	4,168	0	418,854	0	423,022	0
39. Pennsylvania.....	PA	L	8,509	250	157,204	0	165,963	0
40. Rhode Island.....	RI	L	706	0	1,778	0	2,484	0
41. South Carolina.....	SC	L	1,551	0	104,209	0	105,760	0
42. South Dakota.....	SD	L	2,583	0	108,637	0	111,220	0
43. Tennessee.....	TN	L	8,065	0	62,597	0	70,662	0
44. Texas.....	TX	L	13,623	0	319,697	0	333,320	0
45. Utah.....	UT	L	244	0	169,356	0	169,600	0
46. Vermont.....	VT	L	0	0	1,606	0	1,606	0
47. Virginia.....	VA	L	5,074	0	669,147	0	674,221	0
48. Washington.....	WA	N	48	0	33,775	0	33,823	0
49. West Virginia.....	WV	L	2,425	0	312,432	0	314,857	0
50. Wisconsin.....	WI	L	3,125	0	589,255	0	592,380	0
51. Wyoming.....	WY	L	147	0	254,529	0	254,676	0
52. American Samoa.....	AS	N	0	0	0	0	0	0
53. Guam.....	GU	N	0	0	0	0	0	0
54. Puerto Rico.....	PR	N	0	0	0	0	0	0
55. US Virgin Islands.....	VI	N	0	0	0	0	0	0
56. Northern Mariana Islands.....	MP	N	0	0	0	0	0	0
57. Canada.....	CAN	L	4,265	0	45,980	0	50,245	0
58. Aggregate Other Alien.....	OT	.XXX	0	0	0	0	0	0
59. Subtotals.....	(a)XXX		198,053	12,250	12,496,811	0	12,707,114	0
90. Reporting entity contributions for employee benefit plans.....	.XXX		0	0	0	0	0	0
91. Dividends or refunds applied to purchase paid-up additions and annuities.....	.XXX		0	0	0	0	0	0
92. Dividends or refunds applied to shorten endowment or premium paying period.....	.XXX		0	0	0	0	0	0
93. Premium or annuity considerations waived under disability or other contract provisions.....	.XXX		0	0	0	0	0	0
94. Aggregate other amounts not allocable by state.....	.XXX		0	0	0	0	0	0
95. Totals (Direct Business).....	.XXX		198,053	12,250	12,496,811	0	12,707,114	0
96. Plus reinsurance assumed.....	.XXX		0	0	0	0	0	0
97. Totals (All Business).....	.XXX		198,053	12,250	12,496,811	0	12,707,114	0
98. Less reinsurance ceded.....	.XXX		154,047	903	9,339,682	0	9,494,632	0
99. Totals (All Business) less reinsurance ceded.....	.XXX		44,006	11,347	3,157,129	0	3,212,482	0

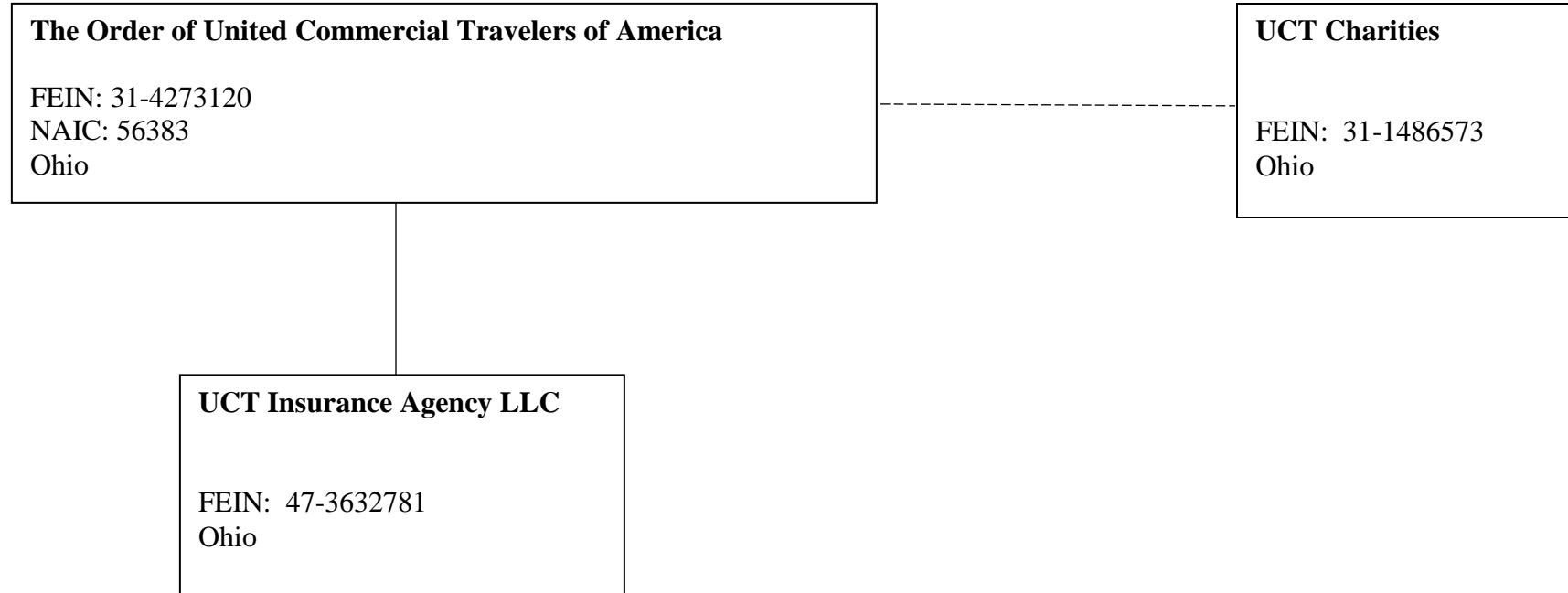
### DETAILS OF WRITE-INS

58001.....	.XXX		0	0	0	0	0	0
58002.....	.XXX		0	0	0	0	0	0
58003.....	.XXX		0	0	0	0	0	0
58998. Summary of remaining write-ins for Line 58 from overflow page.....	.XXX		0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003 plus 58998) (Line 58 above).....	.XXX		0	0	0	0	0	0
9401.....	.XXX		0	0	0	0	0	0
9402.....	.XXX		0	0	0	0	0	0
9403.....	.XXX		0	0	0	0	0	0
9498. Summary of remaining write-ins for Line 94 from overflow page.....	.XXX		0	0	0	0	0	0
9499. Totals (Lines 9401 thru 9403 plus 9498) (Line 94 above).....	.XXX		0	0	0	0	0	0

(a) Active Status Count

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG..... 47  
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state..... 0

R - Registered - Non-domiciled RRGs..... 0  
Q - Qualified - Qualified or accredited reinsurer..... 0  
N - None of the above - Not allowed to write business in the state..... 10



**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
<b>Members</b>															
0.....		56383...	31-4273120..	.....0	.....0		The Order of United Commercial Travelers of America	OH.....	RE.....	The Order of United Commercial Travelers of America	Board.....	.....0.000	The Order of United Commercial Travelers of America	....N.....	0.....
0.....		0.....	31-1486573..	.....0	.....0		UCT Charities.....	OH.....	OTH.....	The Order of United Commercial Travelers of America	Other.....	.....0.000	The Order of United Commercial Travelers of America	....N.....	1.....
0.....		0.....	47-3632781..	.....0	.....0		UCT Insurance Agency LLC.....	OH.....	DS.....	The Order of United Commercial Travelers of America	Ownership.....	...100.000	The Order of United Commercial Travelers of America	....N.....	0.....

**Aster Explanation**

1	This entity is a 501(c)(3) charitable organization that provides scholarships. The Board of Directors of UCT Charities is appointed by the Board of The Order of United Commercial Travelers of America.
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# The Order Of United Commercial Travelers Of America SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO

**Explanations:**

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.
5. The data for this supplement is not required to be filed.
6. The data for this supplement is not required to be filed.
7. The data for this supplement is not required to be filed.

**Bar Code:**



**NONE**

**The Order Of United Commercial Travelers Of America**  
**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	.0	.0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	.0	.0
2.2 Additional investment made after acquisition.....	.0	.0
3. Current year change in encumbrances.....	.0	.0
4. Total gain (loss) on disposals.....	.0	.0
5. Deduct amounts received on disposals.....	.0	.0
6. Total foreign exchange change in book/adjusted carrying value.....	.0	.0
7. Deduct current year's other-than-temporary impairment recognized.....	.0	.0
8. Deduct current year's depreciation.....	.0	.0
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	.0	.0
10. Deduct total nonadmitted amounts.....	.0	.0
11. Statement value at end of current period (Line 9 minus Line 10).....	.0	.0

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	.0	.0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	.0	.0
2.2 Additional investment made after acquisition.....	.0	.0
3. Capitalized deferred interest and other.....	.0	.0
4. Accrual of discount.....	.0	.0
5. Unrealized valuation increase (decrease).....	.0	.0
6. Total gain (loss) on disposals.....	.0	.0
7. Deduct amounts received on disposals.....	.0	.0
8. Deduct amortization of premium and mortgage interest points and commitment fees.....	.0	.0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....	.0	.0
10. Deduct current year's other-than-temporary impairment recognized.....	.0	.0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	.0	.0
12. Total valuation allowance.....	.0	.0
13. Subtotal (Line 11 plus Line 12).....	.0	.0
14. Deduct total nonadmitted amounts.....	.0	.0
15. Statement value at end of current period (Line 13 minus Line 14).....	.0	.0

NONE

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	.0	.0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	.0	.0
2.2 Additional investment made after acquisition.....	.0	.0
3. Capitalized deferred interest and other.....	.0	.0
4. Accrual of discount.....	.0	.0
5. Unrealized valuation increase (decrease).....	.0	.0
6. Total gain (loss) on disposals.....	.0	.0
7. Deduct amounts received on disposals.....	.0	.0
8. Deduct amortization of premium and depreciation.....	.0	.0
9. Total foreign exchange change in book/adjusted carrying value.....	.0	.0
10. Deduct current year's other-than-temporary impairment recognized.....	.0	.0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	.0	.0
12. Deduct total nonadmitted amounts.....	.0	.0
13. Statement value at end of current period (Line 11 minus Line 12).....	.0	.0

NONE

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	15,457,033	15,352,491
2. Cost of bonds and stocks acquired.....	593,121	2,358,684
3. Accrual of discount.....	1,613	6,581
4. Unrealized valuation increase (decrease).....	.0	.0
5. Total gain (loss) on disposals.....	(22)	(24,768)
6. Deduct consideration for bonds and stocks disposed of.....	573,207	2,394,665
7. Deduct amortization of premium.....	14,527	65,847
8. Total foreign exchange change in book/adjusted carrying value.....	(78,191)	224,557
9. Deduct current year's other-than-temporary impairment recognized.....	.0	.0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....	606	.0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10).....	15,386,426	15,457,033
12. Deduct total nonadmitted amounts.....	.0	.0
13. Statement value at end of current period (Line 11 minus Line 12).....	15,386,426	15,457,033

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity

During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a).....	12,829,386	391,140	472,307	204,108	12,952,327			12,829,386
2. NAIC 2 (a).....	2,627,648	201,981	100,316	(295,214)	2,434,099			2,627,648
3. NAIC 3 (a).....	0	0	0	0	0			0
4. NAIC 4 (a).....	0	0	0	0	0			0
5. NAIC 5 (a).....	0	0	0	0	0			0
6. NAIC 6 (a).....	0	0	0	0	0			0
7. Total Bonds.....	15,457,034	593,121	572,623	(91,106)	15,386,426	0	0	15,457,034
<b>PREFERRED STOCK</b>								
8. NAIC 1.....	0	0	0	0	0			0
9. NAIC 2.....	0	0	0	0	0			0
10. NAIC 3.....	0	0	0	0	0			0
11. NAIC 4.....	0	0	0	0	0			0
12. NAIC 5.....	0	0	0	0	0			0
13. NAIC 6.....	0	0	0	0	0			0
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock.....	15,457,034	593,121	572,623	(91,106)	15,386,426	0	0	15,457,034

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(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

**SCHEDULE DA - PART 1**

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 <b>NONE</b> Par Value	3 Original Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999.....	.....0	.....XXX	.....0	.....0	.....0

**SCHEDULE DA - VERIFICATION**

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	.....0	.....178,767
2. Cost of short-term investments acquired.....	.....0	.....1,381,985
3. Accrual of discount.....	.....0	.....0
4. Unrealized valuation increase (decrease).....	.....0	.....0
5. Total gain (loss) on disposals.....	.....0	.....0
6. Deduct consideration received on disposals.....	.....0	.....1,560,752
7. Deduct amortization of premium.....	.....0	.....0
8. Total foreign exchange change in book/adjusted carrying value.....	.....0	.....0
9. Deduct current year's other-than-temporary impairment recognized.....	.....0	.....0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	.....0	.....0
11. Deduct total nonadmitted amounts.....	.....0	.....0
12. Statement value at end of current period (Line 10 minus Line 11).....	.....0	.....0

**Sch. DB - Pt. A - Verification  
NONE**

**Sch. DB - Pt. B - Verification  
NONE**

**Sch. DB - Pt. C - Sn. 1  
NONE**

**Sch. DB - Pt. C - Sn. 2  
NONE**

**Sch. DB - Verification  
NONE**

**SCHEDULE E - PART 2 - VERIFICATION**

Cash Equivalents

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	235,839	0
2. Cost of cash equivalents acquired.....	430,270	905,272
3. Accrual of discount.....	0	0
4. Unrealized valuation increase (decrease).....	0	0
5. Total gain (loss) on disposals.....	0	0
6. Deduct consideration received on disposals.....	441,344	669,433
7. Deduct amortization of premium.....	0	0
8. Total foreign exchange change in book/ adjusted carrying value.....	0	0
9. Deduct current year's other-than-temporary impairment recognized.....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	224,765	235,839
11. Deduct total nonadmitted amounts.....	0	0
12. Statement value at end of current period (Line 10 minus Line 11).....	224,765	235,839

**Sch. A - Pt. 2  
NONE**

**Sch. A - Pt. 3  
NONE**

**Sch. B - Pt. 2  
NONE**

**Sch. B - Pt. 3  
NONE**

**Sch. BA - Pt. 2  
NONE**

**Sch. BA - Pt. 3  
NONE**

### SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
<b>Bonds - U.S. Special Revenue and Special Assessment</b>									
3140J5 NM 7	FNMA #BM1295		02/23/2018	IFCSTONE		141,999	134,517	420	1
3199999. Total - Bonds - U.S. Special Revenue and Special Assessments						141,999	134,517	420	XXX
<b>Bonds - Industrial and Miscellaneous</b>									
06051G GY 9	BANK OF AMER CORP		02/23/2018	MIZUHO		99,121	100,000	259	1FE
126408 HJ 5	CSX CORP		02/15/2018	UBS/WRBU		99,933	100,000	0	2FE
172967 HD 6	CITIGROUP INC		02/27/2018	ROBERT W BAIRD		102,048	100,000	1,356	2FE
38141G WT 7	GOLDMAN SACHS GRP INC		01/18/2018	GOLDMAN SACHS		99,951	100,000	0	1FE
46647P AF 3	JPMORGAN CHASE & CO		01/29/2018	FIRST TENNESSEE		50,069	50,000	443	1FE
3899999. Total - Bonds - Industrial and Miscellaneous						451,122	450,000	2,058	XXX
8399997. Total - Bonds - Part 3						593,121	584,517	2,478	XXX
8399999. Total - Bonds						593,121	584,517	2,478	XXX
9999999. Total - Bonds, Preferred and Common Stocks						593,121	XXX	2,478	XXX

(a) For all common stock bearing NAIC market indicator "U" provide the number of such issues:.....0.

QE04

**The Order Of United Commercial Travelers Of America**

**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
<b>Bonds - U.S. Government</b>																					
38378K DB 2	GNMA 2013-43 B		03/01/2018	MBS PMT		30,874	30,874	31,387	30,881	0	(8)	0	(8)	0	30,874	0	0	0	101	04/16/2039	1
0599999	Total - Bonds - U.S. Government					30,874	30,874	31,387	30,881	0	(8)	0	(8)	0	30,874	0	0	0	101	XXX	XXX
<b>Bonds - U.S. Special Revenue and Special Assessment</b>																					
3128M4 WK 5	FHLMC G03050		03/01/2018	MBS PMT		1,109	1,109	1,184	1,110	0	(2)	0	(2)	0	1,109	0	0	0	8	07/01/2037	1
3128M7 L4 6	FHLMC G05447		03/01/2018	MBS PMT		757	757	796	757	0	0	0	0	0	757	0	0	0	6	05/01/2039	1
3128M8 AV 6	FHLMC G06020		03/01/2018	MBS PMT		556	556	605	557	0	(1)	0	(1)	0	556	0	0	0	5	12/01/2039	1
3128MJ S3 5	FHLMC G08537		03/01/2018	MBS PMT		3,287	3,287	3,239	3,286	0	0	0	0	0	3,287	0	0	0	16	07/01/2043	1
3128MJ UA 6	FHLMC G08576		03/01/2018	MBS PMT		2,076	2,076	2,081	2,076	0	0	0	0	0	2,076	0	0	0	12	03/01/2044	1
3128MJ VV 9	FHLMC G08627		03/01/2018	MBS PMT		6,933	6,933	7,238	6,937	0	(4)	0	(4)	0	6,933	0	0	0	39	02/01/2045	1
31292S B3 3	FHLMC C09058		03/01/2018	MBS PMT		1,930	1,930	2,015	1,931	0	(1)	0	(1)	0	1,930	0	0	0	12	03/01/2044	1
3132GK F4 3	FHLMC Q04087		03/01/2018	MBS PMT		615	615	625	615	0	0	0	0	0	615	0	0	0	3	10/01/2041	1
3132GV L6 7	FHLMC Q09949		03/01/2018	MBS PMT		1,584	1,584	1,663	1,585	0	0	0	0	0	1,584	0	0	0	6	08/01/2042	1
3138AW 4W 0	FNMA #AJ5336		03/01/2018	MBS PMT		2,291	2,291	2,368	2,292	0	(1)	0	(1)	0	2,291	0	0	0	11	11/01/2026	1
3138M2 A3 0	FNMA #AO9925		03/01/2018	MBS PMT		2,011	2,011	2,149	2,012	0	(1)	0	(1)	0	2,011	0	0	0	11	07/01/2042	1
31398Q ZS 9	FHLMC 3745 AV		03/01/2018	MBS PMT		6,955	6,955	7,566	6,963	0	(8)	0	(8)	0	6,955	0	0	0	46	09/15/2023	1
3140F9 WA 9	FNMA #BD2440		03/01/2018	MBS PMT		6,140	6,140	6,286	6,141	0	(2)	0	(2)	0	6,140	0	0	0	35	01/01/2047	1
3140J5 NM 7	FNMA #BM1295		03/01/2018	MBS PMT		555	555	586	555	0	0	0	0	0	555	0	0	0	2	06/01/2047	1
31417Y TT 4	FNMA #MA0561		03/01/2018	MBS PMT		1,637	1,637	1,703	1,637	0	(1)	0	(1)	0	1,637	0	0	0	11	11/01/2040	1
31418R GS 4	FNMA #AD3808		03/01/2018	MBS PMT		644	644	680	644	0	(1)	0	(1)	0	644	0	0	0	5	04/01/2040	1
31419G CZ 5	FNMA #AE5487		03/01/2018	MBS PMT		1,768	1,768	1,839	1,770	0	(1)	0	(1)	0	1,768	0	0	0	10	10/01/2025	1
438701 WS 9	HONOLULU HI CITY & CNTY WSTWTR SYS		02/21/2018	US BANK		200,606	200,000	200,000	200,000	0	0	0	0	0	200,000	0	0	0	3,869	07/01/2021	1FE
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments					241,454	240,848	242,623	240,313	0	(23)	0	(23)	0	240,848	0	0	0	4,107	XXX	XXX
<b>Bonds - Industrial and Miscellaneous</b>																					
172967 HC 8	CITIGROUP INC		02/27/2018	MORGAN STANLEY		100,009	100,000	99,649	99,946	0	12	0	12	0	99,958	0	51	51	1,076	09/26/2018	2FE
38141G RC 0	GOLDMAN SACHS GRP INC		01/22/2018	MATURITY		100,000	100,000	99,958	99,999	0	1	0	1	0	100,000	0	0	0	1,188	01/22/2018	1FE
74456Q AS 5	PUBLIC SVC ELEC & GAS		02/16/2018	MKTX		100,579	100,000	117,873	100,991	0	(405)	0	(405)	0	100,587	0	(8)	(8)	1,605	05/01/2018	1FE
78461G AB 8	SNC-LAVALIN INNISFREE		12/31/2017	SINK		293	284	358	291	0	0	0	0	67	358	(66)	(66)	(66)	10	06/30/2044	2FE
3899999	Total - Bonds - Industrial and Miscellaneous					300,881	300,284	317,838	301,227	0	(392)	0	(392)	67	300,903	(66)	43	(23)	3,879	XXX	XXX
8399997	Total - Bonds - Part 4					573,209	572,006	591,848	572,421	0	(423)	0	(423)	67	572,625	(66)	43	(23)	8,087	XXX	XXX
8399999	Total - Bonds					573,209	572,006	591,848	572,421	0	(423)	0	(423)	67	572,625	(66)	43	(23)	8,087	XXX	XXX
9999999	Total - Bonds, Preferred and Common Stocks					573,209	XXX	591,848	572,421	0	(423)	0	(423)	67	572,625	(66)	43	(23)	8,087	XXX	XXX

QE05

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues: .....0.

**Sch. DB - Pt. A - Sn. 1**  
**NONE**

**Sch. DB - Pt. B - Sn. 1**  
**NONE**

**Sch. DB - Pt. D - Sn. 1**  
**NONE**

**Sch. DB - Pt. D - Sn. 2**  
**NONE**

**Sch. DL - Pt. 1**  
**NONE**

**Sch. DL - Pt. 2**  
**NONE**

## SCHEDULE E - PART 1 - CASH

### Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
<b>Open Depositories</b>								
KEYBANK..... CLEVELAND, OH USA.....		.....0.000	.....0	.....0	.....704,151	.....567,117	.....75,855	XXX
MODERN WOODMAN BANK..... ROCK ISLAND, IL USA.....		.....0.000	.....1,396	.....0	.....(985,538)	.....(1,350,846)	.....(1,412,255)	XXX
ROYAL BANK..... CALGARY, AB CANADA.....		.....0.000	.....0	.....0	.....17,587	.....11,659	.....(6,058)	XXX
RBC DEXIA..... TORONTO, ON CANADA.....		.....0.000	.....41	.....0	.....24,034	.....32,376	.....15,308	XXX
US BANK..... MILWAUKEE, WI USA.....		.....0.000	.....0	.....0	.....0	.....0	.....2,850	XXX
0199999. Total Open Depositories.....	XXX	XXX	.....1,437	.....0	.....(239,766)	.....(739,694)	.....(1,324,300)	XXX
0399999. Total Cash on Deposit.....	XXX	XXX	.....1,437	.....0	.....(239,766)	.....(739,694)	.....(1,324,300)	XXX
0499999. Cash in Society's Office.....	XXX	XXX	XXX	XXX	.....2,122	.....2,117	.....2,116	XXX
0599999. Total Cash.....	XXX	XXX	.....1,437	.....0	.....(237,644)	.....(737,577)	.....(1,322,184)	XXX

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2		3	4	5	6	7	8	9
CUSIP	Description		Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
<b>Exempt Money Market Mutual Funds as Identified by the SVO</b>									
31846V 20 3	FIRST AMERN GOVT OBLIG FD.....			03/29/2018.....	.....0.880		.....124,581	.....0	.....344
31846V 41 9	FIRST AMERN TREAS OBLIG FD.....			12/29/2017.....	.....1.050		.....100,184	.....0	.....0
8599999	Total - Exempt Money Market Mutual Funds as Identified by the SVO.....						.....224,765	.....0	.....344
8899999	Total - Cash Equivalents.....						.....224,765	.....0	.....344

QE13