



LIFE AND ACCIDENT AND HEALTH COMPANIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2017
OF THE CONDITION AND AFFAIRS OF THE

American Modern Life Insurance Company

NAIC Group Code 0869 0869 NAIC Company Code 65811 Employer's ID Number 86-6052181
(Current) (Prior)

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Incorporated/Organized 12/12/1956 Commenced Business 01/03/1957

Statutory Home Office 1300 East Ninth Street, Cleveland, OH, US 44114
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 400 Robert Street North
(Street and Number) 651-665-3500
St. Paul, MN, US 55101-2098 (Area Code) (Telephone Number)
(City or Town, State, Country and Zip Code)

Mail Address 400 Robert Street North, St. Paul, MN, US 55101-2098
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 400 Robert Street North
(Street and Number) 651-665-5678
St. Paul, MN, US 55101-2098 (Area Code) (Telephone Number)
(City or Town, State, Country and Zip Code)

Internet Website Address www.securian.com

Statutory Statement Contact Nicholas David Boehland, 651-665-5678
(Name) (Area Code) (Telephone Number)
nicholas.boehland@securian.com 651-665-7938
(E-mail Address) (FAX Number)

OFFICERS

Chairman, President & CEO William Michael Gould Treasurer David John LePlavy
Secretary Mark James Geldernick

OTHER

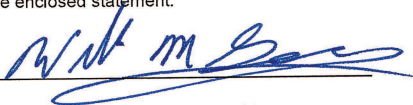
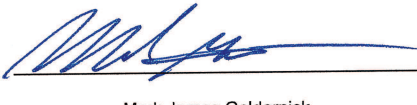
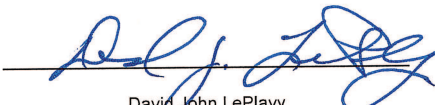
Barbara Ann Baumann, Second Vice President

DIRECTORS OR TRUSTEES

<u>William Michael Gould</u>	<u>David John LePlavy</u>	<u>Warren John Zaccaro</u>
<u>Kristi Jo Nelson</u>	<u>Gary Roger Christensen</u>	<u>Suzette Louise Huovinen</u>
<u>Daniel Patrick Preiner</u>		

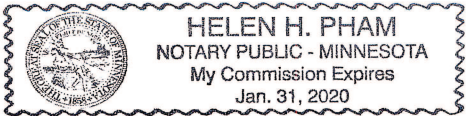
State of Minnesota SS:
County of Ramsey

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

		
William Michael Gould Chairman, President & CEO	Mark James Geldernick Secretary	David John LePlavy Treasurer

Subscribed and sworn to before me this 01 day of November 2017


Helen H. Pham
Notary Public
January 31, 2020



- a. Is this an original filing? Yes [X] No []
- b. If no,
1. State the amendment number.....
2. Date filed
3. Number of pages attached.....

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	25,419,891		25,419,891	29,904,124
2. Stocks:				
2.1 Preferred stocks				
2.2 Common stocks	12,528,105		12,528,105	12,540,010
3. Mortgage loans on real estate:				
3.1 First liens				
3.2 Other than first liens.....				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)				
4.2 Properties held for the production of income (less \$ encumbrances)				
4.3 Properties held for sale (less \$ encumbrances)				
5. Cash (\$152,301), cash equivalents (\$) and short-term investments (\$1,391,298)	1,543,599		1,543,599	957,968
6. Contract loans (including \$ premium notes)				
7. Derivatives				
8. Other invested assets				
9. Receivables for securities	20,500		20,500	50,250
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets				
12. Subtotals, cash and invested assets (Lines 1 to 11)	39,512,094		39,512,094	43,452,352
13. Title plants less \$ charged off (for Title insurers only)				
14. Investment income due and accrued	195,864		195,864	186,202
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	11,189		11,189	104,803
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)				
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	224,170		224,170	290,745
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts	(28,223)		(28,223)	316,591
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon	55,129		55,129	
18.2 Net deferred tax asset	1,062,302	823,968	238,334	326,686
19. Guaranty funds receivable or on deposit	66,288	66,288		
20. Electronic data processing equipment and software				
21. Furniture and equipment, including health care delivery assets (\$)				
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates				
24. Health care (\$) and other amounts receivable				
25. Aggregate write-ins for other than invested assets	126,523	126,523		
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	41,225,336	1,016,779	40,208,557	44,677,379
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. Total (Lines 26 and 27)	41,225,336	1,016,779	40,208,557	44,677,379
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)				
2501. Interest maintenance reserve	126,523	126,523		
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	126,523	126,523		

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE AMERICAN MODERN LIFE INSURANCE COMPANY

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$3,112,187 less \$ included in Line 6.3 (including \$598,874 Modco Reserve)	3,112,187	5,470,022
2. Aggregate reserve for accident and health contracts (including \$873,692 Modco Reserve)	2,246,855	3,939,882
3. Liability for deposit-type contracts (including \$ Modco Reserve)		
4. Contract claims:		
4.1 Life	177,466	469,291
4.2 Accident and health	177,463	334,058
5. Policyholders' dividends \$ and coupons \$ due and unpaid		
6. Provision for policyholders' dividends and coupons payable in following calendar year - estimated amounts:		
6.1 Dividends apportioned for payment (including \$ Modco)		
6.2 Dividends not yet apportioned (including \$ Modco)		
6.3 Coupons and similar benefits (including \$ Modco)		
7. Amount provisionally held for deferred dividend policies not included in Line 6		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ discount; including \$ accident and health premiums		
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts		
9.2 Provision for experience rating refunds, including the liability of \$ accident and health experience rating refunds of which \$ is for medical loss ratio rebate per the Public Health Service Act		
9.3 Other amounts payable on reinsurance, including \$ assumed and \$139,634 ceded	139,634	322,458
9.4 Interest Maintenance Reserve		
10. Commissions to agents due or accrued-life and annuity contracts \$239,124 , accident and health \$(138,941) and deposit-type contract funds \$	100,182	528,694
11. Commissions and expense allowances payable on reinsurance assumed	337	27
12. General expenses due or accrued	2,019,995	2,018,750
13. Transfers to Separate Accounts due or accrued (net) (including \$ accrued for expense allowances recognized in reserves, net of reinsured allowances)		
14. Taxes, licenses and fees due or accrued, excluding federal income taxes		35,502
15.1 Current federal and foreign income taxes, including \$ on realized capital gains (losses)		64,979
15.2 Net deferred tax liability		
16. Unearned investment income		
17. Amounts withheld or retained by company as agent or trustee		
18. Amounts held for agents' account, including \$ agents' credit balances		
19. Remittances and items not allocated	307,348	427,410
20. Net adjustment in assets and liabilities due to foreign exchange rates		
21. Liability for benefits for employees and agents if not included above		
22. Borrowed money \$ and interest thereon \$		
23. Dividends to stockholders declared and unpaid		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve	37,170	36,929
24.02 Reinsurance in unauthorized and certified (\$) companies		30,903
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$) reinsurers		
24.04 Payable to parent, subsidiaries and affiliates	202,671	470,700
24.05 Drafts outstanding		
24.06 Liability for amounts held under uninsured plans		
24.07 Funds held under coinsurance		
24.08 Derivatives		
24.09 Payable for securities		
24.10 Payable for securities lending		
24.11 Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities		
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	8,521,307	14,149,605
27. From Separate Accounts Statement		
28. Total liabilities (Lines 26 and 27)	8,521,307	14,149,605
29. Common capital stock	2,500,000	2,500,000
30. Preferred capital stock		
31. Aggregate write-ins for other than special surplus funds		
32. Surplus notes		
33. Gross paid in and contributed surplus	7,000,000	7,000,000
34. Aggregate write-ins for special surplus funds		
35. Unassigned funds (surplus)	22,187,250	21,027,774
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 29 \$)		
36.2 shares preferred (value included in Line 30 \$)		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement)	29,187,250	28,027,774
38. Totals of Lines 29, 30 and 37	31,687,250	30,527,774
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	40,208,557	44,677,379
DETAILS OF WRITE-INS		
2501.		
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page		
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)		
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page		
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)		
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page		
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)		

SUMMARY OF OPERATIONS

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	118,488	2,568,365	3,072,591
2. Considerations for supplementary contracts with life contingencies			
3. Net investment income	566,178	563,584	755,365
4. Amortization of Interest Maintenance Reserve (IMR)	(31,665)	(7,690)	(9,163)
5. Separate Accounts net gain from operations excluding unrealized gains or losses			
6. Commissions and expense allowances on reinsurance ceded	902,475	2,010,487	2,601,141
7. Reserve adjustments on reinsurance ceded	(1,513,999)	339,719	(35,885)
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts			
8.2 Charges and fees for deposit-type contracts			
8.3 Aggregate write-ins for miscellaneous income			76,683
9. Totals (Lines 1 to 8.3)	41,478	5,474,465	6,460,732
10. Death benefits	679,574	912,540	1,207,819
11. Matured endowments (excluding guaranteed annual pure endowments)			
12. Annuity benefits			
13. Disability benefits and benefits under accident and health contracts	587,787	878,970	1,123,274
14. Coupons, guaranteed annual pure endowments and similar benefits			
15. Surrender benefits and withdrawals for life contracts			
16. Group conversions			
17. Interest and adjustments on contract or deposit-type contract funds			
18. Payments on supplementary contracts with life contingencies			
19. Increase in aggregate reserves for life and accident and health contracts	(4,050,861)	(1,545,999)	(2,675,733)
20. Totals (Lines 10 to 19)	(2,783,500)	245,511	(344,640)
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	169,305	2,756,092	3,202,084
22. Commissions and expense allowances on reinsurance assumed	10,967	14,949	17,774
23. General insurance expenses	780,556	1,153,131	1,541,755
24. Insurance taxes, licenses and fees, excluding federal income taxes	216,448	316,074	387,368
25. Increase in loading on deferred and uncollected premiums	(31,328)	(18,419)	(43,554)
26. Net transfers to or (from) Separate Accounts net of reinsurance			
27. Aggregate write-ins for deductions			
28. Totals (Lines 20 to 27)	(1,637,552)	4,467,338	4,760,787
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	1,679,029	1,007,127	1,699,945
30. Dividends to policyholders			
31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30)	1,679,029	1,007,127	1,699,945
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	474,648	333,737	497,890
33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	1,204,381	673,390	1,202,055
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$1,879 (excluding taxes of \$10,880 transferred to the IMR)	(1,879)	(3,336)	(4,343)
35. Net income (Line 33 plus Line 34)	1,202,502	670,054	1,197,712
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year	30,527,774	29,037,863	29,037,863
37. Net income (Line 35)	1,202,502	670,054	1,197,712
38. Change in net unrealized capital gains (losses) less capital gains tax of \$	(11,905)	103,161	263,283
39. Change in net unrealized foreign exchange capital gain (loss)			
40. Change in net deferred income tax	(114,255)	(15,195)	(165,198)
41. Change in nonadmitted assets	52,472	(106)	236,165
42. Change in liability for reinsurance in unauthorized and certified companies	30,903		(30,903)
43. Change in reserve on account of change in valuation basis, (increase) or decrease			
44. Change in asset valuation reserve	(241)	(7,446)	(11,148)
45. Change in treasury stock			
46. Surplus (contributed to) withdrawn from Separate Accounts during period			
47. Other changes in surplus in Separate Accounts Statement			
48. Change in surplus notes			
49. Cumulative effect of changes in accounting principles			
50. Capital changes:			
50.1 Paid in			
50.2 Transferred from surplus (Stock Dividend)			
50.3 Transferred to surplus			
51. Surplus adjustment:			
51.1 Paid in			
51.2 Transferred to capital (Stock Dividend)			
51.3 Transferred from capital			
51.4 Change in surplus as a result of reinsurance			
52. Dividends to stockholders			
53. Aggregate write-ins for gains and losses in surplus			
54. Net change in capital and surplus for the year (Lines 37 through 53)	1,159,476	750,468	1,489,911
55. Capital and surplus, as of statement date (Lines 36 + 54)	31,687,250	29,788,331	30,527,774
DETAILS OF WRITE-INS			
08.301. Miscellaneous profit			76,683
08.302.			
08.303.			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page			
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)			76,683
2701.			
2702.			
2703.			
2798. Summary of remaining write-ins for Line 27 from overflow page			
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)			
5301.			
5302.			
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page			
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)			

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	60,607	2,589,467	2,960,329
2. Net investment income	594,062	614,234	805,401
3. Miscellaneous income	(266,710)	2,502,889	3,279,374
4. Total (Lines 1 to 3)	387,959	5,706,590	7,045,104
5. Benefit and loss related payments	1,649,206	2,057,038	2,706,243
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	1,740,180	4,684,874	5,631,071
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$12,759 tax on capital gains (losses)	607,515	578,946	694,022
10. Total (Lines 5 through 9)	3,996,901	7,320,858	9,031,336
11. Net cash from operations (Line 4 minus Line 10)	(3,608,942)	(1,614,268)	(1,986,232)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	5,173,027	5,826,741	6,327,723
12.2 Stocks			
12.3 Mortgage loans			
12.4 Real estate			
12.5 Other invested assets			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			
12.7 Miscellaneous proceeds	29,750	518,563	(15,188)
12.8 Total investment proceeds (Lines 12.1 to 12.7)	5,202,777	6,345,304	6,312,535
13. Cost of investments acquired (long-term only):			
13.1 Bonds	695,255	3,747,329	5,388,505
13.2 Stocks			
13.3 Mortgage loans			
13.4 Real estate			
13.5 Other invested assets			
13.6 Miscellaneous applications			
13.7 Total investments acquired (Lines 13.1 to 13.6)	695,255	3,747,329	5,388,505
14. Net increase (or decrease) in contract loans and premium notes			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	4,507,522	2,597,975	924,030
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities			
16.5 Dividends to stockholders			
16.6 Other cash provided (applied)	(312,949)	(110,739)	354,280
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(312,949)	(110,739)	354,280
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) ..	585,631	872,968	(707,922)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	957,968	1,665,890	1,665,890
19.2 End of period (Line 18 plus Line 19.1)	1,543,599	2,538,858	957,968

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS			
	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life			
2. Ordinary life insurance			
3. Ordinary individual annuities			
4. Credit life (group and individual)	959,241	4,228,693	5,101,584
5. Group life insurance			
6. Group annuities			
7. A & H - group			
8. A & H - credit (group and individual)	461,452	3,250,336	3,921,241
9. A & H - other			
10. Aggregate of all other lines of business			
11. Subtotal	1,420,693	7,479,029	9,022,825
12. Deposit-type contracts			
13. Total	1,420,693	7,479,029	9,022,825
DETAILS OF WRITE-INS			
1001.			
1002.			
1003.			
1098. Summary of remaining write-ins for Line 10 from overflow page			
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)			

NOTES TO FINANCIAL STATEMENTS

(1) Summary of Significant Accounting Policies

A. Accounting Practices

The accompanying statutory financial statements of American Modern Life Insurance Company (the Company) have been prepared in accordance with accounting practices prescribed or permitted by the Ohio Department of Insurance (ODOI). The ODOI recognizes statutory accounting practices prescribed or permitted by the state of Ohio for determining and reporting the financial condition and results of operations of an insurance company and for determining its solvency under the Ohio Insurance Law. Prescribed statutory accounting practices are those practices that are incorporated directly or by reference in state laws, regulations and general administrative rules applicable to all insurance enterprises domiciled in a particular state. Permitted statutory accounting practices include practices not prescribed by the domiciliary state, but allowed by the domiciliary state regulatory authority. The National Association of Insurance Commissioners' (NAIC) *Accounting Practices and Procedures* manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the state of Ohio. The state has adopted the prescribed accounting practices as stated in NAIC SAP, without modification. The Company has no material statutory accounting practices that differ from those of the state of Ohio or the NAIC accounting practices.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the state of Ohio is show below:

	<u>SSAP#</u>	<u>F/S</u> <u>Page</u>	<u>F/S</u> <u>Line#</u>	<u>09/30/2017</u>	<u>12/31/2016</u>
1. Net Income, Ohio State basis:				\$ 1,202,502	\$ 1,197,712
2. State Prescribed Practices (Income):	NONE	NONE	NONE	-	-
3. State Permitted Practices (Income):	NONE	NONE	NONE	-	-
4. Net Income, NAIC SAP:				\$ 1,202,502	\$ 1,197,712
5. Statutory Surplus, Ohio State basis:				\$31,687,250	\$ 30,527,774
6. State Prescribed Practices (Surplus):	NONE	NONE	NONE	-	-
7. State Permitted Practices (Surplus):	NONE	NONE	NONE	-	-
8. Statutory Surplus, NAIC SAP				\$31,687,250	\$ 30,527,774

B. Use of Estimates

No significant change

C. Accounting Policy

No significant change

(2) Accounting Changes and Corrections of Errors

Not applicable

(3) Business Combinations and Goodwill

Not applicable

(4) Discontinued Operations

Not applicable

(5) Investments

A. Not applicable

B. Not applicable

C. Not applicable

NOTES TO FINANCIAL STATEMENTS

(5) Investments (Continued)

D. Loan-Backed Securities

- 1) Prepayment assumptions for single class and multi-class mortgage-backed/asset-backed securities were obtained from Bloomberg when available. Other payment speed assumptions for a small number of holdings were reviewed with the appropriate affiliated company analyst by evaluating the underlying collateral.
- 2) There were no other-than-temporary-impairments (OTTI) recorded as of September 30, 2017 due to management's intent to sell or inability to hold a security until recovery.
- 3) As of September 30, 2017, the Company did not hold any securities for which an OTTI has previously been recognized.
- 4) All impaired securities (fair value is less than cost or amortized cost) for which an OTTI has not been recognized in earnings as a realized loss (including securities with a recognized OTTI for non-interest related declines when a non-recognized interest related impairment remains) as of September 30, 2017:

	Aggregate	Less than 12 months	12 Months or longer
A. Gross Unrealized Losses	\$ (7,890)	\$ (7,831)	\$ (59)
B. Fair Value of Securities			
with Unrealized Losses	\$ 1,063,369	\$ 1,059,363	\$ 4,006

- 5) In determining whether a decline in value is other than temporary, the Company considers several factors including, but not limited to the following: the extent and duration of the decline in value; the Company's inability or lack of intent to retain the investment for a period of time sufficient to recover the amortized cost basis; and the performance of the security's underlying collateral and projected future cash flows. In projecting future cash flows, the Company incorporates inputs from third-party sources and applies reasonable judgment in developing assumptions used to estimate the probability and timing of collecting all contractual cash flows.

- E. Not applicable
- F. Not applicable
- G. Not applicable
- H. No significant change
- I. Not Applicable
- J. Not applicable
- K. Not applicable
- L. Not applicable
- M. Not applicable
- N. Not applicable

(6) Joint Ventures, Partnerships and Limited Liability Companies

Not applicable

(7) Investment Income

NONE

(8) Derivative Instruments

Not applicable

(9) Income Taxes

No significant change

(10) Information Concerning Parent, Subsidiaries and Affiliates

No significant change

NOTES TO FINANCIAL STATEMENTS

(11) Debt

Not applicable

(12) Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

Not applicable

(13) Capital and Surplus, Shareholders’ Dividend Restrictions and Quasi-Reorganizations

No significant change

(14) Contingencies

No significant change

(15) Leases

Not applicable

(16) Information About Financial Instruments With Off-Balance Sheet Risk And Financial Instruments With Concentrations of Credit Risk

No significant change

(17) Sale Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

Not applicable

(18) Gain or Loss to the Reporting Entity from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans

Not applicable

(19) Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not applicable

(20) Fair Value Measurements

The fair value of the Company’s financial assets and financial liabilities has been determined using available market information as of September 30, 2017. Although the Company is not aware of any factors that would significantly affect the fair value of financial assets and financial liabilities, such amounts have not been comprehensively revalued since those dates. Therefore, estimates of fair value subsequent to the valuation dates may differ significantly from the amounts presented herein. Considerable judgment is required to interpret market data to develop the estimates of fair value. The use of different market assumptions and/or estimation methodologies may have a material effect on the estimated fair value amounts.

Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability (exit price) in an orderly transaction between market participants at the measurement date. In determining fair value, the Company primarily uses the market approach which utilizes prices and other relevant information generated by market transactions involving identical or comparable assets or liabilities. To a lesser extent, the Company also uses the income approach which uses discounted cash flows to determine fair value. When applying either approach, the Company maximizes the use of observable inputs and minimizes the use of unobservable inputs. Observable inputs reflect the assumptions market participants would use in valuing a financial instrument based on market data obtained from sources independent of the Company. Unobservable inputs reflect the Company’s estimates about the assumptions market participants would use in valuing financial assets and financial liabilities based on the best information available in the circumstances.

The Company is required to categorize its financial assets and financial liabilities carried at fair value on the statutory statements of admitted assets, liabilities and capital and surplus according to a three-level hierarchy. A level is assigned to each financial asset and financial liability based on the lowest level input that is significant to the fair value measurement in its entirety. The levels of fair value hierarchy are as follows:

- Level 1 – Fair value is based on unadjusted quoted prices for identical assets or liabilities in an active market. The types of assets and liabilities utilizing Level 1 valuations generally include money-market funds.
- Level 2 – Fair value is based on significant inputs, other than quoted prices included in Level 1, that are observable in active markets for identical or similar assets and liabilities.
- Level 3 – Fair value is based on at least one or more significant unobservable inputs. These inputs reflect the Company’s assumptions about the inputs market participants would use in pricing the assets or liabilities.

NOTES TO FINANCIAL STATEMENTS

(20) Fair Value Measurements (Continued)

The Company uses prices and inputs that are current as of the measurement date. In periods of market disruption, the ability to observe prices and inputs may be reduced, which could cause an asset or liability to be reclassified to a lower level.

Inputs used to measure fair value of an asset or liability may fall into different levels of the fair value hierarchy. In these situations, the Company will determine the level in which the fair value falls based upon the lowest level input that is significant to the determination of the fair value.

The following table summarizes the Company’s financial assets and financial liabilities measured at fair value as of September 30, 2017.

	Level 1	Level 2	Level 3	Total
a. Assets at fair value:				
Short-term investments:				
Money market mutual funds	\$ 1,391,298	\$ -	\$ -	\$ 1,391,298
Total assets at fair value	\$ 1,391,298	\$ -	\$ -	\$ 1,391,298

1. The Company did not have any transfers between Level 1 and Level 2 of the fair value hierarchy during 2017.
2. The Company did not have any Level 3 assets as of September 30, 2017.
3. Transfers of securities among levels occur at the beginning of the reporting period.
4. Not applicable – no Level 2 or Level 3 assets or liabilities.
5. Not applicable – no derivative assets or liabilities.

B. Not applicable

C. The following table summarizes by level of fair value hierarchy the aggregate fair value of all financial assets held by the Company as of September 30, 2017:

Type of financial instrument	Aggregate fair value	Admitted assets	Level 1	Level 2	Level 3	Not practicable carrying value
Assets:						
Bonds	\$ 26,638,878	\$ 25,419,891	\$ 14,893,006	\$ 11,745,872	\$ -	\$ -
Short-term	1,391,298	1,391,298	1,391,298	-	-	-
Total assets	\$ 28,030,176	\$ 26,811,189	\$ 16,284,304	\$ 11,745,872	\$ -	\$ -

D. Not applicable

(21) Other Items

No significant change

(22) Events Subsequent

Not applicable

(23) Reinsurance

No significant change

(24) Retrospectively Rated Contracts

Not applicable

NOTES TO FINANCIAL STATEMENTS

(25) Change in Incurred Losses and Loss Adjustment Expenses.

Activity in the liability for unpaid accident and health claims and claim adjustment expenses is summarized as follows:

	Current Year	Prior Year
Balance at January 1	\$ 3,837,215	\$ 4,851,355
Less: reinsurance recoverable	2,184,332	2,831,669
Net balance at January 1	1,652,883	2,019,686
Incurred related to:		
Current year	355,011	921,862
Prior years	(128,136)	(66,410)
Total incurred	266,875	855,452
Paid related to:		
Current year	72,223	271,375
Prior years	672,160	950,880
Total paid	744,383	1,222,255
Net balance at end of period	1,135,375	1,652,883
Plus: reinsurance recoverable	1,186,268	2,184,332
Balance at end of period	\$ 2,321,643	\$ 3,837,215

(26) Intercompany Pooling Arrangements

Not applicable

(27) Structured Settlements

Not applicable

(28) Health Care Receivables

Not applicable

(29) Participating Policies

Not applicable

(30) Premium Deficiency Reserves

Not applicable

(31) Reserves for Life Contracts and Deposit-Type Contracts

Not applicable

(32) Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics

Not applicable

(33) Premium and Annuity Considerations Deferred and Uncollected

Not applicable

(34) Separate Accounts

Not applicable

(35) Loss/Claim Adjustment Expenses

No significant change

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [] No [X]

1.2

If yes, has the report been filed with the domiciliary state?

Yes [] No []

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [] No [X]

2.2

If yes, date of change:

3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?
If yes, complete Schedule Y, Parts 1 and 1A.

Yes [X] No []

3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [] No [X]

3.3

If the response to 3.2 is yes, provide a brief description of those changes.
N/A

4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [] No [X]

4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation.
N/A

Yes [] No [] N/A [X]

6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2012

6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2012

6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

05/07/2014

6.4

By what department or departments?
The Company has been examined as part of a group level examination by the Minnesota Department of Commerce with California Department of Insurance, Ohio Department of Insurance and Arkansas Department of Insurance participating.

6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [] No [] N/A [X]

6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [] No [] N/A [X]

7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [] No [X]

7.2

If yes, give full information:
N/A

8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [] No [X]

8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
N/A

8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [X] No []

8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Securian Financial Services, Inc.	St. Paul, MN	NO	NO	NO	YES
Securian Trust Company N.A.	St. Paul, MN	NO	YES	NO	NO
C.R.I. Securities LLC	St. Paul, MN	NO	NO	NO	YES
H. Beck, Inc.	Rockville, MD	NO	NO	NO	YES
Asset Allocation & Management Company, LLC	Chicago, IL	NO	NO	NO	YES

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes [X] No []
- 9.11

If the response to 9.1 is No, please explain:
N/A
- 9.2

Has the code of ethics for senior managers been amended?

Yes [] No [X]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
N/A
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).
N/A

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [] No [X]
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [] No [X]
- 11.2

If yes, give full and complete information relating thereto:
N/A
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$
13.

Amount of real estate and mortgages held in short-term investments:

\$
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [X] No []
- 14.2

If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$	\$
14.22 Preferred Stock	\$	\$
14.23 Common Stock	\$ 12,540,010	\$ 12,528,105
14.24 Short-Term Investments	\$	\$
14.25 Mortgage Loans on Real Estate	\$	\$
14.26 All Other	\$	\$
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 12,540,010	\$ 12,528,105
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
If no, attach a description with this statement.
N/A

Yes [] No []

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE AMERICAN MODERN LIFE INSURANCE COMPANY

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.

\$
- 16.2

Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.

\$
- 16.3

Total payable for securities lending reported on the liability page.

\$

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes ☒ No ☐

- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
State Street Bank & Trust Company	801 Pennsylvania Ave, Kansas City, MO 64105

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes ☐ No ☒

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Advantus Capital Management, Inc	A

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets? Yes ☐ No ☐

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes ☐ No ☐

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
109905	Advantus Capital Management, Inc	5URRAMPU5ELN1W8AQJB87	SEC	NO

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes ☒ No ☐

- 18.2 If no, list exceptions:
N/A

GENERAL INTERROGATORIES

PART 2 - LIFE & HEALTH

1.

Report the statement value of mortgage loans at the end of this reporting period for the following categories:

1

Amount

1.1

Long-Term Mortgages In Good Standing

1.11

Farm Mortgages

\$

1.12

Residential Mortgages

\$

1.13

Commercial Mortgages

\$

1.14

Total Mortgages in Good Standing

\$

1.2

Long-Term Mortgages In Good Standing with Restructured Terms

1.21

Total Mortgages in Good Standing with Restructured Terms

\$

1.3

Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months

1.31

Farm Mortgages

\$

1.32

Residential Mortgages

\$

1.33

Commercial Mortgages

\$

1.34

Total Mortgages with Interest Overdue more than Three Months

\$

1.4

Long-Term Mortgage Loans in Process of Foreclosure

1.41

Farm Mortgages

\$

1.42

Residential Mortgages

\$

1.43

Commercial Mortgages

\$

1.44

Total Mortgages in Process of Foreclosure

\$

1.5

Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)

\$

1.6

Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter

1.61

Farm Mortgages

\$

1.62

Residential Mortgages

\$

1.63

Commercial Mortgages

\$

1.64

Total Mortgages Foreclosed and Transferred to Real Estate

\$

2.

Operating Percentages:

2.1

A&H loss percent

17.000 %

2.2

A&H cost containment percent

%

2.3

A&H expense percent excluding cost containment expenses

8.000 %

3.1

Do you act as a custodian for health savings accounts?

Yes [] No [X]

3.2

If yes, please provide the amount of custodial funds held as of the reporting date

\$

3.3

Do you act as an administrator for health savings accounts?

Yes [] No [X]

3.4

If yes, please provide the balance of the funds administered as of the reporting date

\$

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE AMERICAN MODERN LIFE INSURANCE COMPANY

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

[illegible]

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE AMERICAN MODERN LIFE INSURANCE COMPANY

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year To Date - Allocated by States and Territories

States, Etc.	1	Direct Business Only					
		Life Contracts		4 Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	5 Other Considerations	6 Total Columns 2 Through 5	7 Deposit-Type Contracts
		2 Life Insurance Premiums	3 Annuity Considerations				
1. Alabama	AL	L	(32,543)	(373)		(32,916)	
2. Alaska	AK	L					
3. Arizona	AZ	L	11,724	7,409		19,133	
4. Arkansas	AR	L	22,187	6,443		28,630	
5. California	CA	L	57,317	35,259		92,576	
6. Colorado	CO	L	37,495	31,000		68,495	
7. Connecticut	CT	L	2,421	3,537		5,958	
8. Delaware	DE	L					
9. District of Columbia	DC	L					
10. Florida	FL	L					
11. Georgia	GA	L	(11,093)	(5,694)		(16,787)	
12. Hawaii	HI	L					
13. Idaho	ID	L	40,922	29,595		70,517	
14. Illinois	IL	L	44,132	23,845		67,977	
15. Indiana	IN	L	(12,313)	(35,576)		(47,889)	
16. Iowa	IA	L	51,406	38,994		90,400	
17. Kansas	KS	L	9,571	4,372		13,943	
18. Kentucky	KY	L	16,291			16,291	
19. Louisiana	LA	L					
20. Maine	ME	L					
21. Maryland	MD	L					
22. Massachusetts	MA	L					
23. Michigan	MI	L	34,243	8,951		43,194	
24. Minnesota	MN	L	142,774	100,731		243,505	
25. Mississippi	MS	L	(4,416)	(2,175)		(6,591)	
26. Missouri	MO	L	(199,908)	(201,887)		(401,795)	
27. Montana	MT	L	9,160	5,475		14,635	
28. Nebraska	NE	L	23,520	19,909		43,429	
29. Nevada	NV	L	8,172	5,100		13,272	
30. New Hampshire	NH	N					
31. New Jersey	NJ	N					
32. New Mexico	NM	L					
33. New York	NY	L	208,824	46,868		255,692	
34. North Carolina	NC	L					
35. North Dakota	ND	L	16,832	12,773		29,605	
36. Ohio	OH	L	261,824	222,284		484,108	
37. Oklahoma	OK	L	(62)			(62)	
38. Oregon	OR	L	91,970	80,035		172,005	
39. Pennsylvania	PA	L	27,647	3,517		31,164	
40. Rhode Island	RI	L					
41. South Carolina	SC	L					
42. South Dakota	SD	L	7,397	4,672		12,069	
43. Tennessee	TN	L	18,778	9,373		28,151	
44. Texas	TX	L	(4)			(4)	
45. Utah	UT	L	8,995	5,371		14,366	
46. Vermont	VT	L					
47. Virginia	VA	L	(161)	(90)		(251)	
48. Washington	WA	L	105,145	87,763		192,908	
49. West Virginia	WV	L	14,174	(3,573)		10,601	
50. Wisconsin	WI	L	105,500	66,556		172,056	
51. Wyoming	WY	L	2,418	3,167		5,585	
52. American Samoa	AS	N					
53. Guam	GU	N					
54. Puerto Rico	PR	N					
55. U.S. Virgin Islands	VI	N					
56. Northern Mariana Islands	MP	N					
57. Canada	CAN	N					
58. Aggregate Other Aliens	OT	XXX					
59. Subtotal	(a) XXX	49	1,120,339	613,631		1,733,970	
90. Reporting entity contributions for employee benefits plans	XXX						
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX						
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX						
93. Premium or annuity considerations waived under disability or other contract provisions	XXX						
94. Aggregate or other amounts not allocable by State	XXX						
95. Totals (Direct Business)	XXX		1,120,339	613,631		1,733,970	
96. Plus Reinsurance Assumed	XXX		24,326	29,154		53,480	
97. Totals (All Business)	XXX		1,144,665	642,785		1,787,450	
98. Less Reinsurance Ceded	XXX		1,026,257	700,585		1,726,842	
99. Totals (All Business) less Reinsurance Ceded	XXX		118,408	(57,800)		60,608	
DETAILS OF WRITE-INS							
58001. ZZZ Other Alien	XXX						
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX						
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX						
9401.	XXX						
9402.	XXX						
9403.	XXX						
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX						
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX						

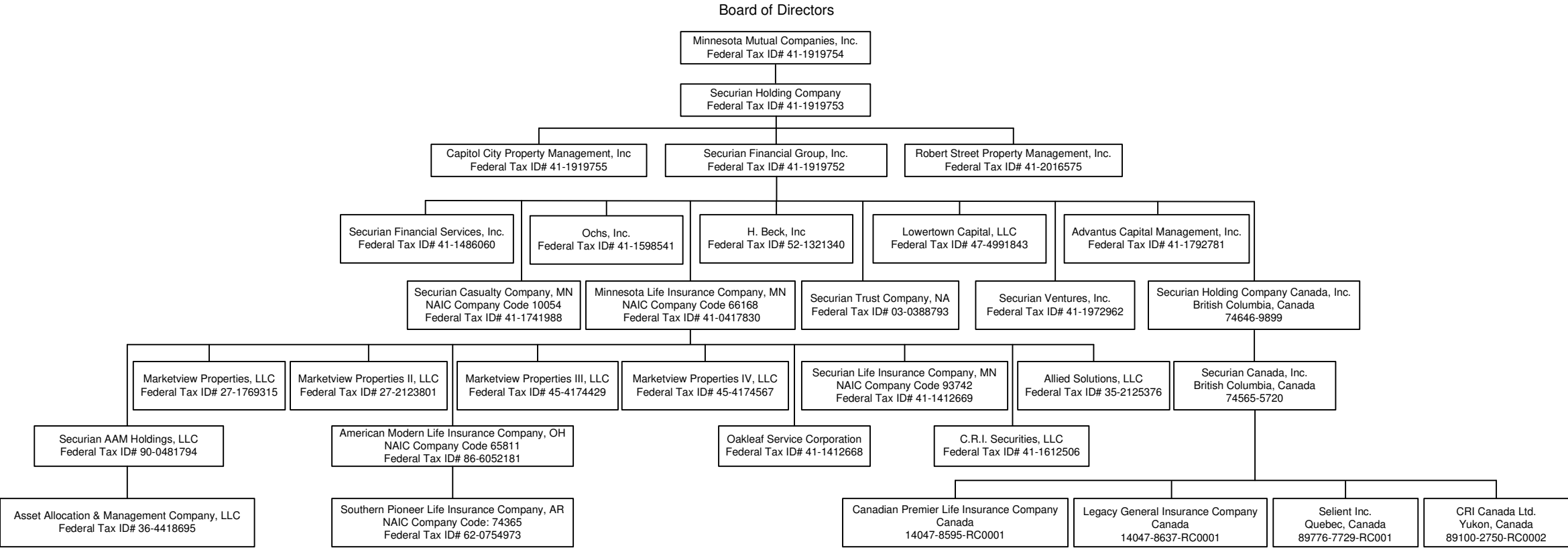
(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE AMERICAN MODERN LIFE INSURANCE COMPANY

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART
Organization Chart of Minnesota Mutual Companies, Inc., Subsidiaries, and Affiliates

Policyholders of Minnesota Mutual Companies, Inc.



STATEMENT AS OF SEPTEMBER 30, 2017 OF THE AMERICAN MODERN LIFE INSURANCE COMPANY

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
0869	Minnesota Mutual Group	65811	41-1792781				ADVANTUS CAPITAL MANAGEMENT, INC.	..MN.	..NIA.	SECURIAN FINANCIAL GROUP, INC.	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
			35-2125376				ALLIED SOLUTIONS, LLC	..IN.	..NIA.	MINNESOTA LIFE INSURANCE COMPANY	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
			86-6052181				AMERICAN MODERN LIFE INSURANCE COMPANY	..OH.	..RE.	MINNESOTA LIFE INSURANCE COMPANY	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..Y.	
			36-4418695				ASSET ALLOCATION & MANAGEMENT COMPANY LLC	..IL.	..NIA.	SECURIAN AAM HOLDINGS LLC	Ownership	66.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
			41-1612506				C.R.I. SECURITIES, LLC	..MN.	..NIA.	MINNESOTA LIFE INSURANCE COMPANY	Ownership	50.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	1
							CANADIAN PREMIER LIFE INSURANCE COMPANY	..CAN.	..IA.	SECURIAN CANADA, INC.	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
			41-1919755				CAPITOL CITY PROPERTY MANAGEMENT, INC.	..MN.	..NIA.	SECURIAN FINANCIAL GROUP, INC.	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
							CRI CANADA LTD.	..CAN.	..NIA.	SECURIAN CANADA, INC.	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
			52-1321340				H. BECK, INC.	..MD.	..NIA.	SECURIAN FINANCIAL GROUP, INC.	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
							LEGACY GENERAL INSURANCE COMPANY	..CAN.	..IA.	SECURIAN CANADA, INC.	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
			47-4991843				LOWERTOWN CAPITAL, LLC	..DE.	..NIA.	SECURIAN FINANCIAL GROUP, INC.	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
			27-2123801				MARKETVIEW PROPERTIES II, LLC	..MN.	..NIA.	MINNESOTA LIFE INSURANCE COMPANY	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
			45-4174429				MARKETVIEW PROPERTIES III, LLC	..MN.	..NIA.	MINNESOTA LIFE INSURANCE COMPANY	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
			45-4174567				MARKETVIEW PROPERTIES IV, LLC	..MN.	..NIA.	MINNESOTA LIFE INSURANCE COMPANY	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
0869	Minnesota Mutual Group	66168	27-1769315				MARKETVIEW PROPERTIES, LLC	..MN.	..NIA.	MINNESOTA LIFE INSURANCE COMPANY	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
			41-0417830				MINNESOTA LIFE INSURANCE COMPANY	..MN.	..UDP.	SECURIAN FINANCIAL GROUP, INC.	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
			41-1919754				MINNESOTA MUTUAL COMPANIES, INC.	..MN.	..UIP.	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
			41-1412668				OAKLEAF SERVICE CORPORATION	..MN.	..NIA.	MINNESOTA LIFE INSURANCE COMPANY	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
			41-1598541				OCHS, INC.	..MN.	..NIA.	SECURIAN FINANCIAL GROUP, INC.	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
			41-2016575				ROBERT STREET PROPERTY MANAGEMENT, INC.	..MN.	..NIA.	SECURIAN FINANCIAL GROUP, INC.	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
			90-0481794				SECURIAN AAM HOLDINGS LLC	..DE.	..NIA.	MINNESOTA LIFE INSURANCE COMPANY	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
							SECURIAN CANADA, INC.	..CAN.	..NIA.	SECURIAN HOLDING COMPANY CANADA, INC.	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
			41-1741988				SECURIAN CASUALTY COMPANY	..MN.	..IA.	SECURIAN FINANCIAL GROUP, INC.	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
			41-1919752				SECURIAN FINANCIAL GROUP, INC.	..DE.	..UIP.	SECURIAN HOLDING COMPANY	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
			41-1486060				SECURIAN FINANCIAL SERVICES, INC.	..MN.	..NIA.	SECURIAN FINANCIAL GROUP, INC.	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
			41-1919753				SECURIAN HOLDING COMPANY	..DE.	..UIP.	MINNESOTA MUTUAL COMPANIES, INC.	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	
							SECURIAN HOLDING COMPANY CANADA, INC.	..CAN.	..NIA.	SECURIAN FINANCIAL GROUP, INC.	Ownership	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	..N.	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
..0869	Minnesota Mutual Group93742	41-1412669				SECURIAN LIFE INSURANCE COMPANYMN.....	..IA.....	MINNESOTA LIFE INSURANCE COMPANY	Ownership.....	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.N.....	
			03-0388793	3089752			SECURIAN TRUST COMPANY, NAN/A.....	SECURIAN FINANCIAL GROUP, INC.	Ownership.....	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.N.....	
			41-1972962				SECURIAN VENTURES, INC.MN.....	..N/A.....	SECURIAN FINANCIAL GROUP, INC.	Ownership.....	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.N.....	
							SELIENT INC.CAN.....	..N/A.....	SECURIAN CANADA, INC	Ownership.....	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.N.....	
..0869	Minnesota Mutual Group74365	62-0754973				SOUTHERN PIONEER LIFE INSURANCE COMPANYAR.....	..DS.....	AMERICAN MODERN LIFE INSURANCE COMPANY	Ownership.....	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.N.....	

Asterisk	Explanation
1	There are no voting right preferences between the 50% owners.

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE AMERICAN MODERN LIFE INSURANCE COMPANY

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

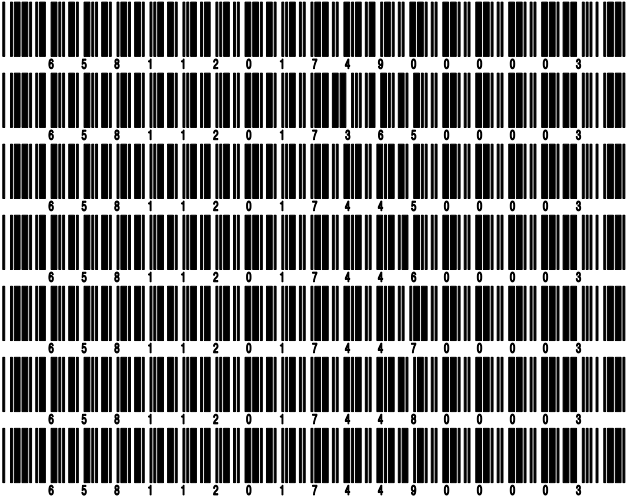
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO

Explanation:

1. Not applicable
2. Not applicable
3. Not applicable
4. Not applicable
5. Not applicable
6. Not applicable
7. Not applicable

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
7. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]



SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest paid and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	42,444,134	43,133,380
2. Cost of bonds and stocks acquired	1,094,509	5,642,777
3. Accrual of discount	18,611	31,999
4. Unrealized valuation increase (decrease)	(11,906)	263,283
5. Total gain (loss) on disposals	31,085	31,736
6. Deduct consideration for bonds and stocks disposed of	5,572,281	6,581,996
7. Deduct amortization of premium	56,157	77,045
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	37,947,995	42,444,134
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	37,947,995	42,444,134

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	24,866,065	2,150,282	3,560,781	(11,257)	26,206,020	24,866,065	23,444,309	26,914,615
2. NAIC 2 (a)	3,367,322			(443)	3,367,751	3,367,322	3,366,879	3,368,178
3. NAIC 3 (a)								
4. NAIC 4 (a)								
5. NAIC 5 (a)								
6. NAIC 6 (a)								
7. Total Bonds	28,233,387	2,150,282	3,560,781	(11,700)	29,573,771	28,233,387	26,811,188	30,282,793
PREFERRED STOCK								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock								
15. Total Bonds and Preferred Stock	28,233,387	2,150,282	3,560,781	(11,700)	29,573,771	28,233,387	26,811,188	30,282,793

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:
NAIC 1 \$ 1,391,298 ; NAIC 2 \$; NAIC 3 \$ NAIC 4 \$; NAIC 5 \$; NAIC 6 \$.

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	1,391,298	xxx	1,391,298	1,109	

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	378,669	712,308
2. Cost of short-term investments acquired	4,195,254	5,147,089
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	3,182,625	5,480,728
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	1,391,298	378,669
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	1,391,298	378,669

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

Schedule E - Verification - Cash Equivalents

N O N E

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

N O N E

Schedule D - Part 3 - Long-Term Bonds and Stocks Acquired

N O N E

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE AMERICAN MODERN LIFE INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22			
										11	12	13	14	15										
CUSIP Ident-ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Design- ation or Market In- dicator (a)			
36179M-VQ-0	Ginnie Mae II Pool #MA0623 2.500% 12/0		09/01/2017	Paydown		29,395	29,395	29,101	29,114		281		281		29,395				493	12/01/2042	1			
36202D-J8-5	Ginnie Mae II Pool #2987 7.500% 10/01/		09/01/2017	Paydown		86	86	86	86						86				4	10/01/2030	1			
36202D-LV-1	Ginnie Mae II Pool #3040 7.000% 02/01/		09/01/2017	Paydown		60	60	61	61						60				3	02/01/2031	1			
36206A-LZ-4	Ginnie Mae I Pool #405444 9.000% 04/01		09/01/2017	Paydown		34	34	36	35		(1)		(1)		34				2	04/01/2025	1			
36224T-AC-4	Ginnie Mae I Pool #337603 7.500% 12/01		09/01/2017	Paydown		240	240	249	245		(5)		(5)		240				12	12/01/2022	1			
912828-B6-6	United States Treasury Note/Bo T 2 3/4 0		07/21/2017	Barclays Capital		418,232	400,000	409,970	407,655		(548)		(548)		407,107		11,125	11,125	10,240	02/15/2024	1			
912828-P4-6	United States Treasury Note/Bo T 1 5/8 0		08/18/2017	Barclays Capital		479,490	500,000	495,607	495,909		266		266		496,175		(16,685)	(16,685)	8,257	02/15/2026	1			
0599999. Subtotal - Bonds - U.S. Governments										927,537	929,815	935,110	933,105		(7)		(7)	933,097		(5,560)	(5,560)	19,011	XXX	XXX
31292G-7H-3	Freddie Mac Gold Pool #C00896 7.500% 1		09/01/2017	Paydown		77	77	77	77						77				4	12/01/2029	1			
31292H-D4-3	Freddie Mac Gold Pool #C01023 7.000% 0		09/01/2017	Paydown		50	50	51	51		(1)		(1)		50				2	07/01/2030	1			
31292H-D6-8	Freddie Mac Gold Pool #C01025 8.000% 0		09/01/2017	Paydown		41	41	41	41						41				2	07/01/2030	1			
31292H-FT-6	Freddie Mac Gold Pool #C01078 7.000% 1		09/01/2017	Paydown		15	15	15	15						15				1	10/01/2030	1			
31292H-GN-8	Freddie Mac Gold Pool #C01105 6.500% 1		09/01/2017	Paydown		4	4	4	4						4					10/01/2030	1			
31293K-7A-8	Freddie Mac Gold Pool #C22689 6.500% 0		09/01/2017	Paydown		13	13	12	12						13					03/01/2029	1			
31293S-DX-4	Freddie Mac Gold Pool #C28218 6.500% 0		09/01/2017	Paydown		24	24	24	24						24				1	06/01/2029	1			
31293Y-BX-3	Freddie Mac Gold Pool #C32754 7.000% 1		09/01/2017	Paydown		93	93	91	91		2		2		93				4	11/01/2029	1			
31298F-U3-4	Freddie Mac Gold Pool #C46902 6.500% 0		09/01/2017	Paydown		40	40	40	40						40				2	09/01/2030	1			
31298M-JF-5	Freddie Mac Gold Pool #C51162 6.500% 0		09/01/2017	Paydown		253	253	254	253		(1)		(1)		253				11	05/01/2031	1			
31384J-4E-1	Fannie Mae Pool #534621 7.000% 11/01/2		09/01/2017	Paydown		119	119	120	120		(1)		(1)		119				6	11/01/2029	1			
31384V-2A-9	Fannie Mae Pool #535469 6.500% 07/01/3		09/01/2017	Paydown		284	284	267	270		15		15		284				12	07/01/2030	1			
31384V-T8-5	Fannie Mae Pool #535275 6.500% 05/01/3		09/01/2017	Paydown		330	330	328	328		2		2		330				13	05/01/2030	1			
31384V-ZC-9	Fannie Mae Pool #535439 8.000% 08/01/3		09/01/2017	Paydown		39	39	40	40						39				2	08/01/2030	1			
31384W-LC-2	Fannie Mae Pool #535923 6.500% 05/01/3		09/01/2017	Paydown		910	910	920	918		(8)		(8)		910				37	05/01/2031	1			
3138WR-WP-1	Fannie Mae Pool #AT4253 3.000% 06/01/4		09/01/2017	Paydown		7,551	7,551	7,293	7,304		247		247		7,551				149	06/01/2043	1			
3138WV-PE-5	Fannie Mae Pool #AT7620 3.000% 06/01/4		09/01/2017	Paydown		8,403	8,403	8,125	8,137		266		266		8,403				174	06/01/2043	1			
31403D-SE-0	Fannie Mae Pool #745817 5.000% 09/01/3		09/01/2017	Paydown		1,121	1,121	1,075	1,079		42		42		1,121				38	09/01/2036	1			
31407M-MR-3	Fannie Mae Pool #834768 5.500% 07/01/3		09/01/2017	Paydown		3,434	3,434	3,374	3,379		55		55		3,434				125	07/01/2035	1			
31417F-YA-0	Fannie Mae Pool #AB8804 2.500% 03/01/4		09/01/2017	Paydown		4,883	4,883	4,678	4,690		193		193		4,883				82	03/01/2043	1			
3199999. Subtotal - Bonds - U.S. Special Revenues										27,684	27,684	26,829	26,873		811		811	27,684		665	XXX	XXX		
254683-AC-9	Discover Card Execution Note T 07-A1 A1		09/15/2017	Paydown		1,800,000	1,800,000	2,059,242	1,831,363		(31,363)		(31,363)		1,800,000				76,275	03/15/2020	1FE			
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)										1,800,000	1,800,000	2,059,242	1,831,363		(31,363)		(31,363)	1,800,000		76,275	XXX	XXX		
8399997. Total - Bonds - Part 4										2,755,221	2,757,499	3,021,181	2,791,341		(30,559)		(30,559)	2,760,781		(5,560)	(5,560)	95,951	XXX	XXX
8399998. Total - Bonds - Part 5										XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
8399999. Total - Bonds										2,755,221	2,757,499	3,021,181	2,791,341		(30,559)		(30,559)	2,760,781		(5,560)	(5,560)	95,951	XXX	XXX
8999997. Total - Preferred Stocks - Part 4											XXX										XXX	XXX		
8999998. Total - Preferred Stocks - Part 5										XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
8999999. Total - Preferred Stocks											XXX										XXX	XXX		
9799997. Total - Common Stocks - Part 4											XXX										XXX	XXX		
9799998. Total - Common Stocks - Part 5										XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
9799999. Total - Common Stocks											XXX											XXX	XXX	
9899999. Total - Preferred and Common Stocks											XXX											XXX	XXX	
9999999. Totals										2,755,221	XXX	3,021,181	2,791,341		(30,559)		(30,559)	2,760,781		(5,560)	(5,560)	95,951	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open
N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open
N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made
N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To
N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned
N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned
N O N E

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
					First Month	Second Month	Third Month	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date				*
US Bank NA St Paul, MN					(372,522)	290,646	152,301	XXX
State Street Bank Pittsburg, PA								XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						XXX
0199999. Totals - Open Depositories	XXX	XXX			(372,522)	290,646	152,301	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX						XXX
0399999. Total Cash on Deposit	XXX	XXX			(372,522)	290,646	152,301	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash	XXX	XXX			(372,522)	290,646	152,301	XXX

Schedule E - Part 2 - Cash Equivalents - Investments Owned End of Current Quarter

N O N E