



QUARTERLY STATEMENT

As of September 30, 2017
of the Condition and Affairs of the

AMERICAN EMPIRE INSURANCE COMPANY

NAIC Group Code.....0084, 0084 (Current Period) (Prior Period)	NAIC Company Code..... 37990	Employer's ID Number..... 31-0973761
Organized under the Laws of OH	State of Domicile or Port of Entry OH	Country of Domicile US
Incorporated/Organized..... November 26, 1979	Commenced Business..... August 20, 1980	
Statutory Home Office	301 EAST FOURTH STREET..... CINCINNATI, OH US 45202 (Street and Number) (City or Town, State, Country and Zip Code)	
Main Administrative Office	301 EAST FOURTH STREET..... CINCINNATI, OH US 45202 (Street and Number) (City or Town, State, Country and Zip Code)	513-369-3000 (Area Code) (Telephone Number)
Mail Address	P.O. BOX 5370..... CINCINNATI, OH US 45201 (Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)	
Primary Location of Books and Records	301 EAST FOURTH STREET..... CINCINNATI, OH US 45202 (Street and Number) (City or Town, State, Country and Zip Code)	513-369-3000 (Area Code) (Telephone Number)
Internet Web Site Address		
Statutory Statement Contact	THOMAS MATTHEW HELD (Name) mhheld@gaig.com (E-Mail Address)	513-369-3040 (Area Code) (Telephone Number) (Extension) 513-412-7800 (Fax Number)

OFFICERS

Name	Title	Name	Title
1. MARK RICHARD LONNEMAN #	President	2. SUE ANN ERHART #	Secretary
3. THOMAS MATTHEW HELD	Senior Vice President & Treasurer	4. RONALD JAMES BRICHLER	Chairman
DAVID LESLIE BLUMBERG	Senior Vice President	KENNETH RAYMOND NIEBERDING	Senior Vice President
PETER ERIC NEUBURGER #	Vice President	DAVID ALAN PRELL	Vice President
DAVID ALSTAN ARCHIABLE #	Assistant Vice President	TROY ALLAN GALLEY #	Assistant Vice President
EILEEN MARY JENKINS #	Assistant Vice President	MICHAEL ALLEN MATHEUS	Assistant Vice President
JEFFREY RICHARD MCGRATH	Assistant Vice President	KATHY LYNN PEREZ	Assistant Vice President
JEFF WALTER SCHRAER	Assistant Vice President	STEPHEN CHARLES BERAHA	Assistant Secretary
HOWARD KIM BAIRD	Assistant Treasurer	DAVID JOHN WITZGALL	Assistant Treasurer
ROBERT JUDE ZBACNIK	Assistant Treasurer		

DIRECTORS OR TRUSTEES

RONALD JAMES BRICHLER	GARY JOHN GRUBER	MARK RICHARD LONNEMAN #	JAMES LOUIS MUETHING #
MICHAEL DAVID PIERCE	MICHAEL EUGENE SULLIVAN, JR. #	DAVID JOHN WITZGALL	

State of.....OHIO
County of.....HAMILTON

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature) MARK RICHARD LONNEMAN President (Title)	(Signature) SUE ANN ERHART Secretary (Title)	(Signature) THOMAS MATTHEW HELD Senior Vice President & Treasurer (Title)
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Subscribed and sworn to before me This 8th day of November, 2017	a. Is this an original filing? Yes [X] No [] b. If no: 1. State the amendment number 2. Date filed 3. Number of pages attached
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Notary Public, State of Ohio
My commission expires October 18, 2020

AMERICAN EMPIRE INSURANCE COMPANY
ASSETS

	Current Statement Date			4
	1	2	3	
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
1. Bonds.....	13,005,254	0	13,005,254	32,701,967
2. Stocks:				
2.1 Preferred stocks.....	467,500	0	467,500	467,500
2.2 Common stocks.....	0	0	0	(0)
3. Mortgage loans on real estate:				
3.1 First liens.....	0	0	0	0
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....	0	0	0	0
4.2 Properties held for the production of income (less \$.....0 encumbrances).....	0	0	0	0
4.3 Properties held for sale (less \$.....0 encumbrances).....	0	0	0	0
5. Cash (\$....2,473,604), cash equivalents (\$.....0) and short-term investments (\$....4,904,836).....	7,378,440	0	7,378,440	15,365,441
6. Contract loans (including \$.....0 premium notes).....	0	0	0	0
7. Derivatives.....	0	0	0	0
8. Other invested assets.....	0	0	0	0
9. Receivables for securities.....	0	0	0	0
10. Securities lending reinvested collateral assets.....	0	0	0	0
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	20,851,194	0	20,851,194	48,534,908
13. Title plants less \$.....0 charged off (for Title insurers only).....	0	0	0	0
14. Investment income due and accrued.....	95,086	0	95,086	273,610
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	0	0	0	603,896
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....	0	0	0	0
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	0	0	0	6,601
16.2 Funds held by or deposited with reinsured companies.....	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts.....	0	0	0	0
17. Amounts receivable relating to uninsured plans.....	0	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon.....	(298,520)	0	(298,520)	313,653
18.2 Net deferred tax asset.....	228,273	74,784	153,489	793,233
19. Guaranty funds receivable or on deposit.....	0	0	0	0
20. Electronic data processing equipment and software.....	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$.....0).....	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates.....	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates.....	586	0	586	29,621
24. Health care (\$.....0) and other amounts receivable.....	0	0	0	0
25. Aggregate write-ins for other than invested assets.....	0	0	0	6,552
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	20,876,619	74,784	20,801,835	50,562,074
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0	0
28. Total (Lines 26 and 27).....	20,876,619	74,784	20,801,835	50,562,074

DETAILS OF WRITE-INS				
1101.	0	0	0	0
1102.	0	0	0	0
1103.	0	0	0	0
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Other receivables.....	0	0	0	6,552
2502.	0	0	0	0
2503.	0	0	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	0	0	0	6,552

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Losses (current accident year \$.....0).....	0	19,204,466
2. Reinsurance payable on paid losses and loss adjustment expenses.....	0	1,022,474
3. Loss adjustment expenses.....	0	5,873,563
4. Commissions payable, contingent commissions and other similar charges.....	0	396,888
5. Other expenses (excluding taxes, licenses and fees).....	1,473	536,275
6. Taxes, licenses and fees (excluding federal and foreign income taxes).....	0	48,975
7.1 Current federal and foreign income taxes (including \$.....0 on realized capital gains (losses)).....	0	0
7.2 Net deferred tax liability.....	0	0
8. Borrowed money \$.....0 and interest thereon \$.....0.....	0	0
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$.....0 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act).....	0	3,624,373
10. Advance premium.....	0	0
11. Dividends declared and unpaid:		
11.1 Stockholders.....	0	0
11.2 Policyholders.....	0	0
12. Ceded reinsurance premiums payable (net of ceding commissions).....	0	0
13. Funds held by company under reinsurance treaties.....	0	0
14. Amounts withheld or retained by company for account of others.....	0	0
15. Remittances and items not allocated.....	0	0
16. Provision for reinsurance (including \$.....0 certified).....	4,000	4,000
17. Net adjustments in assets and liabilities due to foreign exchange rates.....	0	0
18. Drafts outstanding.....	0	0
19. Payable to parent, subsidiaries and affiliates.....	0	98,617
20. Derivatives.....	0	0
21. Payable for securities.....	0	0
22. Payable for securities lending.....	0	0
23. Liability for amounts held under uninsured plans.....	0	0
24. Capital notes \$.....0 and interest thereon \$.....0.....	0	0
25. Aggregate write-ins for liabilities.....	0	2,622
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25).....	5,473	30,812,253
27. Protected cell liabilities.....	0	0
28. Total liabilities (Lines 26 and 27).....	5,473	30,812,253
29. Aggregate write-ins for special surplus funds.....	0	0
30. Common capital stock.....	3,100,000	3,100,000
31. Preferred capital stock.....	0	0
32. Aggregate write-ins for other than special surplus funds.....	0	0
33. Surplus notes.....	0	0
34. Gross paid in and contributed surplus.....	16,100,000	16,100,000
35. Unassigned funds (surplus).....	1,596,362	549,821
36. Less treasury stock, at cost:		
36.10.000 shares common (value included in Line 30 \$.....0).....	0	0
36.20.000 shares preferred (value included in Line 31 \$.....0).....	0	0
37. Surplus as regards policyholders (Lines 29 to 35, less 36).....	20,796,362	19,749,821
38. Totals (Page 2, Line 28, Col. 3).....	20,801,835	50,562,074

DETAILS OF WRITE-INS

2501. Retrospective premium payable.....	0	2,622
2502.	0	0
2503.	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	0	2,622
2901.	0	0
2902.	0	0
2903.	0	0
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above).....	0	0
3201.	0	0
3202.	0	0
3203.	0	0
3298. Summary of remaining write-ins for Line 32 from overflow page.....	0	0
3299. Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above).....	0	0

AMERICAN EMPIRE INSURANCE COMPANY
STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct..... (written \$.....0).....	0	0	0
1.2 Assumed..... (written \$.....(3,626,995)).....	0	7,487,728	9,932,057
1.3 Ceded..... (written \$.....0).....	0	0	0
1.4 Net..... (written \$.....(3,626,995)).....	0	7,487,728	9,932,057
DEDUCTIONS:			
2. Losses incurred (current accident year \$.....0):			
2.1 Direct.....	0	0	0
2.2 Assumed.....	(103,481)	6,844,559	9,637,622
2.3 Ceded.....	(103,481)	475,308	485,868
2.4 Net.....	0	6,369,251	9,151,754
3. Loss adjustment expenses incurred.....	0	1,864,979	2,787,524
4. Other underwriting expenses incurred.....	0	1,095,465	1,426,182
5. Aggregate write-ins for underwriting deductions.....	0	0	0
6. Total underwriting deductions (Lines 2 through 5).....	0	9,329,694	13,365,461
7. Net income of protected cells.....	0	0	0
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7).....	0	(1,841,966)	(3,433,405)
INVESTMENT INCOME			
9. Net investment income earned.....	549,634	914,825	1,209,016
10. Net realized capital gains (losses) less capital gains tax of \$.....(3,361).....	123,623	5,808	309,827
11. Net investment gain (loss) (Lines 9 + 10).....	673,257	920,633	1,518,842
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$.....0 amount charged off \$.....0).....	0	0	0
13. Finance and service charges not included in premiums.....	0	0	0
14. Aggregate write-ins for miscellaneous income.....	(0)	0	0
15. Total other income (Lines 12 through 14).....	(0)	0	0
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15).....	673,257	(921,333)	(1,914,562)
17. Dividends to policyholders.....	0	0	0
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17).....	673,257	(921,333)	(1,914,562)
19. Federal and foreign income taxes incurred.....	(967,119)	(470,687)	(958,565)
20. Net income (Line 18 minus Line 19) (to Line 22).....	1,640,376	(450,646)	(955,997)
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year.....	19,749,821	21,204,008	21,204,008
22. Net income (from Line 20).....	1,640,376	(450,646)	(955,997)
23. Net transfers (to) from Protected Cell accounts.....	0	0	0
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$.....16,069.....	29,841	(38,100)	(208,261)
25. Change in net unrealized foreign exchange capital gain (loss).....	0	0	0
26. Change in net deferred income tax.....	(1,113,655)	22,145	(52,180)
27. Change in nonadmitted assets.....	489,980	(30,180)	(234,187)
28. Change in provision for reinsurance.....	0	0	(3,562)
29. Change in surplus notes.....	0	0	0
30. Surplus (contributed to) withdrawn from protected cells.....	0	0	0
31. Cumulative effect of changes in accounting principles.....	0	0	0
Capital changes:			
32.1 Paid in.....	0	0	0
32.2 Transferred from surplus (Stock Dividend).....	0	0	0
32.3 Transferred to surplus.....	0	0	0
Surplus adjustments:			
33.1 Paid in.....	0	0	0
33.2 Transferred to capital (Stock Dividend).....	0	0	0
33.3 Transferred from capital.....	0	0	0
34. Net remittances from or (to) Home Office.....	0	0	0
35. Dividends to stockholders.....	0	0	0
36. Change in treasury stock.....	0	0	0
37. Aggregate write-ins for gains and losses in surplus.....	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37).....	1,046,541	(496,781)	(1,454,187)
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38).....	20,796,362	20,707,227	19,749,821
DETAILS OF WRITE-INS			
0501.	0	0	0
0502.	0	0	0
0503.	0	0	0
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above).....	0	0	0
1401. Miscellaneous expense.....	(0)	0	0
1402.	0	0	0
1403.	0	0	0
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above).....	(0)	0	0
3701.	0	0	0
3702.	0	0	0
3703.	0	0	0
3798. Summary of remaining write-ins for Line 37 from overflow page.....	0	0	0
3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above).....	0	0	0

AMERICAN EMPIRE INSURANCE COMPANY
CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
CASH FROM OPERATIONS			
1. Premiums collected net of reinsurance.....	(499,327)	7,377,535	9,493,297
2. Net investment income.....	625,555	1,072,069	1,477,392
3. Miscellaneous income.....	(0)	0	0
4. Total (Lines 1 through 3).....	126,228	8,449,604	10,970,689
5. Benefit and loss related payments.....	6,861,517	3,817,167	4,593,561
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions.....	2,085,254	2,256,317	3,045,574
8. Dividends paid to policyholders.....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$.....3,432 tax on capital gains (losses).....	(1,582,653)	(94,676)	(458,676)
10. Total (Lines 5 through 9).....	7,364,118	5,978,808	7,180,460
11. Net cash from operations (Line 4 minus Line 10).....	(7,237,890)	2,470,796	3,790,229
CASH FROM INVESTMENTS			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	3,811,364	3,171,199	5,470,904
12.2 Stocks.....	0	0	428,586
12.3 Mortgage loans.....	0	0	0
12.4 Real estate.....	0	0	0
12.5 Other invested assets.....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....	0	0	0
12.7 Miscellaneous proceeds.....	0	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	3,811,364	3,171,199	5,899,490
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	4,496,646	4,601,204	4,601,204
13.2 Stocks.....	0	0	0
13.3 Mortgage loans.....	0	0	0
13.4 Real estate.....	0	0	0
13.5 Other invested assets.....	0	0	0
13.6 Miscellaneous applications.....	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6).....	4,496,646	4,601,204	4,601,204
14. Net increase or (decrease) in contract loans and premium notes.....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(685,282)	(1,430,004)	1,298,287
CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0	0
16.3 Borrowed funds.....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....	0	0	0
16.5 Dividends to stockholders.....	0	0	0
16.6 Other cash provided (applied).....	(63,829)	(7,559)	(13,349)
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	(63,829)	(7,559)	(13,349)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	(7,987,001)	1,033,233	5,075,167
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	15,365,441	10,290,274	10,290,274
19.2 End of period (Line 18 plus Line 19.1).....	7,378,440	11,323,507	15,365,441

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001	Securities transferred in satisfaction of I/C payable balances.....	(20,647,146)	0	0
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NOTES TO FINANCIAL STATEMENTS

Note 1 – Summary of Significant Accounting Policies and Going Concern

A.	Accounting Practices					
		SSAP #	F/S Page	F/S Line #	Current Period	2016
	NET INCOME					
(1)	AMERICAN EMPIRE INSURANCE COMPANY state basis (Page 4, Line 20, Columns 1 & 2)	XXX	XXX	XXX	\$ 1,640,376	\$ (955,997)
(2)	State Prescribed Practice that is an increase/(decrease) from NAIC SAP					
(3)	State Permitted Practice that is an increase/(decrease) from NAIC SAP					
(4)	NAIC SAP (1 – 2 – 3 = 4)	XXX	XXX	XXX	\$ 1,640,376	\$ (955,997)
	SURPLUS					
(5)	AMERICAN EMPIRE INSURANCE COMPANY state basis (Page 3, line 37, Columns 1 & 2)	XXX	XXX	XXX	\$ 20,796,362	\$ 19,749,821
(6)	State Prescribed Practice that is an increase/(decrease) from NAIC SAP					
(7)	State Permitted Practice that is an increase/(decrease) from NAIC SAP					
(8)	NAIC SAP (5 – 6 – 7 = 8)	XXX	XXX	XXX	\$ 20,796,362	\$ 19,749,821

Note 2 - Accounting Changes and Corrections of Errors

Effective January 1, 2012, the Company adopted Statement Statutory Accounting Principles No. 101, Income Taxes, A Replacement of SSAP No. 10R and SSAP No. 10 (SSAP 101). SSAP 101 amends the deferred tax asset admittance test set forth in SSAP 10R, by limiting the admissibility thresholds based on current period risk-based capital levels and modifying the disclosure requirements. The adoption of SSAP 101 did not have an impact on the Company's financial statements.

Note 3 - Business Combinations and Goodwill

No significant change.

Note 4 - Discontinued Operations

No significant change.

Note 5 - Investments

- A. No significant change.
- B. No significant change.
- C. No significant change.
- D. Loan-Backed Securities
 - The Company uses dealer-modeled prepayment assumptions for mortgage-backed and asset-backed securities at the date of purchase to determine effective yields; significant changes in estimated cash flows from the original purchase assumptions are accounted for on a prospective basis.
 - The Company had no loan-backed securities with a recognized other-than-temporary impairment due to either the intent to sell or lack of intent to hold to recovery during 2017.
 - The Company had no loan-backed securities with a credit-related other-than-temporary impairment recognized during 2017
 - The following table shows all loan-backed securities with an unrealized loss:

(a) The aggregate amount of unrealized losses:

(i) Less than 12 months\$ (13,954)

(ii) 12 months or longer(60,940)

(b) The aggregate related fair value of securities with unrealized losses:

(i) Less than 12 months\$ 823,957

(ii) 12 months or longer692,120
 - Based on cash flow projections received from independent sources (which reflect loan to collateral values, subordination, vintage and geographic concentration), implied cash flows inherent in security ratings and analysis of historical payment data, management believes that the Company will recover its cost basis in all securities with unrealized losses at September 30, 2017. The Company has the intent to hold such securities until they recover in value or mature.
- E. Repurchase Agreements and/or Securities Lending - The Company does not invest in repurchase agreements or engage in securities lending.
- F - G - H. No significant change.
- I. Working Capital Finance Investments - Not applicable.

NOTES TO FINANCIAL STATEMENTS

J. Offsetting and Netting of Assets and Liabilities

The Company does not offset or net assets and liabilities for derivatives, repurchase and reverse repurchase agreements, and securities borrowing and securities lending assets.

K. Structured Notes

The Company does not invest in structured notes.

L. 5* Securities – Not Applicable

Note 6 - Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

Note 7 - Investment Income

No significant change.

Note 8 - Derivative Instruments

No significant change.

Note 9 - Income Taxes

No significant change.

Note 10 - Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

A. No significant change.

B. Detail of Transactions Greater than 1/2% of Admitted Assets

The Company had no transactions with any affiliate exceeding ½ of 1% of its total admitted assets during 2017.

C. No significant change.

D. No significant change.

E. No significant change.

F. No significant change.

G. No significant change.

H. No significant change.

I. No significant change.

J. No significant change.

Note 11 - Debt

A. The Company does not have any outstanding liability for borrowed money.

B. The Company does not have any agreements with the Federal Home Loan Bank.

Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

No significant change.

Note 13 - Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

No significant change.

Note 14 - Contingencies

No significant change.

Note 15 - Leases

No significant change.

Note 16 - Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

The Company does not have any financial instruments with off-balance sheet risk or financial instruments with concentrations of credit risk.

NOTES TO FINANCIAL STATEMENTS

Note 17 - Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

- A. Not applicable.
- B. Not applicable.
- C. The Company was not involved in any wash sale transactions during 2017.

Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

Note 20 - Fair Value

- A. Fair Value Measurements at Reporting Date
 - 1. The Company has categorized its assets and liabilities measured at fair value into the three-level fair value hierarchy as reflected in the following table. See item 4 below for a discussion of each of these three levels.

Description for each class of asset or liability	Level 1	Level 2	Level 3	Total
Assets at Fair Value:				
Bonds:				
U.S. Government and government agencies	-	-	-	-
States, municipalities and political subdivisions	-	-	-	-
Foreign government	-	-	-	-
Residential MBS	-	-	-	-
Commercial MBS	-	-	-	-
Asset backed securities	-	-	692,120	692,120
All other bonds	-	-	-	-
Total Bonds	-	-	692,120	692,120
Preferred Stocks	-	-	-	-
Non-affiliated common stocks	-	-	-	-
Derivatives	-	-	-	-
Total assets accounted for at fair value	-	-	692,120	692,120

The Company has no transfers between Level 1 and Level 2.

- 2. Fair Value Measurements in Level 3 securities carried at fair value.

Description	Ending Balance as of Prior Period End	Transfers into To Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance for Current Period End
U.S. Government and government agencies	-	-	-	-	-	-	-	-	-	-
States, municipalities and political subdivisions	-	-	-	-	-	-	-	-	-	-
Residential MBS	-	-	-	-	-	-	-	-	-	-
Asset backed securities	671,313	-	-	(1,307)	32,184	-	-	(10,070)	-	692,120
All other bonds	-	-	-	-	-	-	-	-	-	-
Preferred Stocks	-	-	-	-	-	-	-	-	-	-
Non-affiliated common stocks	-	-	-	-	-	-	-	-	-	-
Total	671,313	-	-	(1,307)	32,184	-	-	(10,070)	-	692,120

- 3. Fair Value Recognition of Transfers Between Levels

The Company recognizes and records the transfer of securities into and out of Level 3 due to changes in availability of market observable inputs. All transfers are reflected in the table above at fair value as of the end of the reporting period.

- 4. Inputs and Techniques Used in Estimating Fair Value

Level 1 - Quoted prices for identical assets or liabilities in active markets (markets in which transactions occur with sufficient frequency and volume to provide pricing information on an ongoing basis). The Company's Level 1 financial instruments consist primarily of publicly traded equity securities and highly liquid government bonds for which quoted market prices in active markets are available.

Level 2 - Quoted prices for similar instruments in active markets; quoted prices for identical or similar assets or liabilities in inactive markets (markets in which there are few transactions, the prices are not current, price quotations vary substantially over time or among market makers, or in which little information is released publicly); and valuations based on other significant inputs that are observable in active markets. The Company's Level 2 financial instruments include corporate and municipal fixed maturity securities and MBS priced using observable inputs. Level 2 inputs include benchmark yields, reported trades, corroborated broker/dealer quotes, issuer spreads and benchmark securities. When non-binding broker quotes can be corroborated by comparison to similar securities priced using observable inputs, they are classified as Level 2.

Level 3 - Valuations derived from market valuation techniques generally consistent with those used to estimate the fair value of Level 2 financial instruments in which one or more significant inputs are unobservable. The unobservable inputs may include management's own assumptions about the assumptions market participants would use based on the best information available in the circumstances. The Company's Level 3 is comprised of financial instruments whose fair value is estimated based on non-binding broker quotes or internally developed using significant inputs not based on, or corroborated by, observable market information.

NOTES TO FINANCIAL STATEMENTS

- B. The Company has no additional fair value disclosures.
- C. The Company has categorized all the financial assets in the financial statements into the three-level fair value hierarchy as reflected in the following table. See item 4 above for a discussion of each of these three levels.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practical (Carrying Value)
U.S. Government and government agencies	998,359	1,000,259	998,359	-	-	-
States, municipalities and political subdivisions	7,611,735	7,485,406	-	4,605,655	3,006,080	-
Foreign government	-	-	-	-	-	-
Residential MBS	74,211	52,935	-	74,211	-	-
Commercial MBS	-	-	-	-	-	-
Asset backed securities	4,004,452	3,972,999	-	2,872,250	1,132,202	-
All other bonds	497,168	493,654	-	453,194	43,974	-
Total Bonds	13,185,925	13,005,253	998,359	8,005,310	4,182,256	-
Preferred stocks	577,500	467,500	577,500	-	-	-
Non-affiliated common stocks	-	-	-	-	-	-
Affiliated common stocks	-	-	-	-	-	-
Derivatives	-	-	-	-	-	-
Mortgage loans	-	-	-	-	-	-
Total Financial assets	13,763,425	13,472,753	1,575,859	8,005,310	4,182,256	-
Other Liabilities - derivatives	-	-	-	-	-	-

- D. Not Practicable to Estimate Fair Value - The Company has no financial instruments that fall under this classification.

Note 21 - Other Items

- A - F. No significant change.
- G. Offsetting and Netting of Assets and Liabilities - Not applicable.
- H. No significant change.
- I. Risk Sharing Provisions of the Affordable Care Act - Not applicable.

Note 22 - Events Subsequent

No significant change.

Note 23 - Reinsurance

No significant change.

Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination

No significant change.

Note 25 - Change in Incurred Losses and Loss Adjustment Expenses

The following table provides an analysis of the change in loss and loss adjustment expense reserves net of reinsurance recoverables for the past two years (in thousands):

	2017	2016
Balance at beginning of period	\$25,078	\$20,072
Loss and loss adjustment expense incurred:		
Current accident year	0	7,697
Prior accident years	0	4,242
	0	11,939
Loss and loss adjustment expense payments made for:		
Current accident year	0	(399)
Prior accident years	(25,078)	(6,534)
	(25,078)	(6,933)
Balance at end of period	\$0	\$25,078

Note 26 - Intercompany Pooling Arrangements

Effective January 1, 2017 the Pooling Agreement was amended and restated to 100% Pooling Agreement. American Empire Surplus Lines Insurance Company (Parent) shall be liable for 100% of the insurance liability and related expenses of any participating company.

Company	NAIC Company Code Number	Participation Percentage
American Empire Surplus Lines Insurance Company	35351	100.0%
American Empire Insurance Company	37990	0.0%
		100.0%

NOTES TO FINANCIAL STATEMENTS

Note 27 - Structured Settlements

No significant change.

Note 28 - Health Care Receivables

Not applicable.

Note 29 - Participating Policies

Not applicable.

Note 30 - Premium Deficiency Reserves

The Company does not have any premium deficiency reserves.

Note 31 - High Deductibles

No significant change.

Note 32 - Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses

No significant change.

Note 33 - Asbestos/Environmental Reserves

No significant change.

Note 34 - Subscriber Savings Accounts

No significant change.

Note 35 - Multiple Peril Crop Insurance

No significant change.

Note 36 - Financial Guaranty Insurance

No significant change.

AMERICAN EMPIRE INSURANCE COMPANY
GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [☐] No [☒]

1.2

If yes, has the report been filed with the domiciliary state?

Yes [☐] No [☐]

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [☐] No [☒]

2.2

If yes, date of change:

3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?
If yes, complete Schedule Y, Parts 1 and 1A.

Yes [☒] No [☐]

3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [☐] No [☒]

3.3

If the response to 3.2 is yes, provide a brief description of those changes.

Not Applicable

4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [☐] No [☒]

4.2

If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile
Not Applicable	0	

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation.

Yes [☐] No [☒] N/A [☐]

Not Applicable

6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2016

6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2011

6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

01/25/2013

6.4

By what department or departments?

Ohio Department of Insurance

6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [☐] No [☐] N/A [☒]

6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [☐] No [☐] N/A [☒]

7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [☐] No [☒]

7.2

If yes, give full information:

Not Applicable

8.1

Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board?

Yes [☐] No [☒]

8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

Not Applicable

8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [☒] No [☐]

8.4

If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
American Money Management Corporation	Cincinnati, OH	NO	NO	NO	YES
Great American Advisors, Inc	Cincinnati, OH	NO	NO	NO	YES

9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

Yes [☒] No [☐]

(a)

Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

(b)

Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

(c)

Compliance with applicable governmental laws, rules and regulations;

(d)

The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

(e)

Accountability for adherence to the code.

9.11

If the response to 9.1 is No, please explain:

Not Applicable

9.2

Has the code of ethics for senior managers been amended?

Yes [☐] No [☒]

9.21

If the response to 9.2 is Yes, provide information related to amendment(s).

Not Applicable

9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [☐] No [☒]

9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

Not Applicable

FINANCIAL

Q07

AMERICAN EMPIRE INSURANCE COMPANY
GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:
- Yes [X] No []
- \$ 586

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)
- 11.2 If yes, give full and complete information relating thereto:
Not Applicable
12. Amount of real estate and mortgages held in other invested assets in Schedule BA:
13. Amount of real estate and mortgages held in short-term investments:
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?
- 14.2 If yes, please complete the following:
- Yes [] No [X]
- Yes [] No [X]
- Yes [] No [X]

1	2
Prior Year End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
\$ 0	\$ 0
0	0
0	0
0	0
0	0
0	0
0	0
\$ 0	\$ 0
\$ 0	\$ 0

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
- If no, attach a description with this statement.
Not Applicable
- Yes [] No [X]
- Yes [] No []

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2:
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2:
- 16.3 Total payable for securities lending reported on the liability page:
- \$ 0
- \$ 0
- \$ 0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*?
- 17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:
- Yes [X] No []

1	2
Name of Custodian(s)	Custodian Address
The Bank of New York Mellon	One Wall Street, New York, NY 10286

- 17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1	2	3
Name(s)	Location(s)	Complete Explanation(s)
Not Applicable		

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?
- 17.4 If yes, give full and complete information relating thereto:
- Yes [] No [X]

1	2	3	4
Old Custodian	New Custodian	Date of Change	Reason
Not Applicable			

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["...that have access to the investment accounts", "handle securities"].

1	2
Name of Firm or Individual	Affiliation
American Money Management Corporation	A

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's assets?
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets?
- Yes [] No [X]
- Yes [] No [X]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
161853	American Money Management Corporation	54930048Y5YTQDRCSM84	SEC	NO

- 18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed?
- 18.2 If no, list exceptions:
Not Applicable
- Yes [X] No []

GENERAL INTERROGATORIES (continued)

PART 2 – PROPERTY & CASUALTY INTERROGATORIES

1.

If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change?

Yes [X] No [] N/A []

If yes, attach an explanation.

Effectice January 1, 2017 the Pooling Agreement was amended and restated to 100% Pooling Agreement. American Empire Surplus Lines Insurance Company (Parent) shall be liable for 100% of the insurance liability and related expenses of any participating company.

2.

Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured?

Yes [] No [X]

If yes, attach an explanation.

Not Applicable

3.1

Have any of the reporting entity's primary reinsurance contracts been canceled?

Yes [] No [X]

3.2

If yes, give full and complete information thereto:

Not Applicable

4.1

Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero?

Yes [] No [X]

4.2

If yes, complete the following schedule:

1	2	3	Total Discount				Discount Taken During Period			
			4	5	6	7	8	9	10	11
Line of Business	Maximu m Interest	Disc. Rate	Unpaid Losses	Unpaid LAE	IBNR	Total	Unpaid Losses	Unpaid LAE	IBNR	Total
Not Applicable	0.000	0.000	0	0	0	0	0	0	0	0
Total	XXX	XXX	0	0	0	0	0	0	0	0

5.1

Operating Percentages:

5.1 A&H loss percent

5.2 A&H cost containment percent

5.3 A&H expense percent excluding cost containment expenses

0.000%

0.000%

0.000%

6.1

Do you act as a custodian for health savings accounts?

Yes [] No [X]

6.2

If yes, please provide the amount of custodial funds held as of the reporting date.

\$ 0

6.3

Do you act as an administrator for health savings accounts?

Yes [] No [X]

6.4

If yes, please provide the amount of funds administered as of the reporting date.

\$ 0

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

1	2	3	4	5	6	7
NAIC Company Code	ID Number	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurer	Certified Reinsurer Rating (1 through 6)	Effective Date of Certified Reinsurer Rating

NONE

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

		1 Active Status	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
			2 Current Year to Date	3 Prior Year to Date	4 Current Year to Date	5 Prior Year to Date	6 Current Year to Date	7 Prior Year to Date
States, Etc.								
1.	Alabama.....AL	...L...000000
2.	Alaska.....AK	...L...000000
3.	Arizona.....AZ	...L...000000
4.	Arkansas.....AR	...L...000000
5.	California.....CA	...L...000000
6.	Colorado.....CO	...L...000000
7.	Connecticut.....CT	...L...000000
8.	Delaware.....DE	...L...000000
9.	District of Columbia.....DC	...L...000000
10.	Florida.....FL	...L...000000
11.	Georgia.....GA	...L...000000
12.	Hawaii.....HI	...L...000000
13.	Idaho.....ID	...L...000000
14.	Illinois.....IL	...L...000000
15.	Indiana.....IN	...L...000000
16.	Iowa.....IA	...L...000000
17.	Kansas.....KS	...L...000000
18.	Kentucky.....KY	...L...000000
19.	Louisiana.....LA	...L...000000
20.	Maine.....ME	...N...000000
21.	Maryland.....MD	...L...000000
22.	Massachusetts.....MA	...N...000000
23.	Michigan.....MI	...L...000000
24.	Minnesota.....MN	...L...000000
25.	Mississippi.....MS	...L...000000
26.	Missouri.....MO	...L...000000
27.	Montana.....MT	...L...000000
28.	Nebraska.....NE	...L...000000
29.	Nevada.....NV	...L...000000
30.	New Hampshire.....NH	...N...000000
31.	New Jersey.....NJ	...L...000000
32.	New Mexico.....NM	...L...000000
33.	New York.....NY	...L...000000
34.	North Carolina.....NC	...L...000000
35.	North Dakota.....ND	...L...000000
36.	Ohio.....OH	...L...000000
37.	Oklahoma.....OK	...L...000000
38.	Oregon.....OR	...L...000000
39.	Pennsylvania.....PA	...Q...000000
40.	Rhode Island.....RI	...L...000000
41.	South Carolina.....SC	...L...000000
42.	South Dakota.....SD	...L...000000
43.	Tennessee.....TN	...L...000000
44.	Texas.....TX	...L...000000
45.	Utah.....UT	...L...000000
46.	Vermont.....VT	...N...000000
47.	Virginia.....VA	...L...000000
48.	Washington.....WA	...L...000000
49.	West Virginia.....WV	...L...000000
50.	Wisconsin.....WI	...L...000000
51.	Wyoming.....WY	...L...000000
52.	American Samoa.....AS	...N...000000
53.	Guam.....GU	...N...000000
54.	Puerto Rico.....PR	...N...000000
55.	US Virgin Islands.....VI	...N...000000
56.	Northern Mariana Islands.....MP	...N...000000
57.	Canada.....CAN	...N...000000
58.	Aggregate Other Alien.....OT	...XXX000000
59.	Totals.....	(a)...46000000

DETAILS OF WRITE-INS

58001.....	...XXX000000
58002.....	...XXX000000
58003.....	...XXX000000
58998. Summary of remaining write-ins for Line 58 from overflow page....	...XXX000000
58999. Totals (Lines 58001 thru 58003+ Line 58998) (Line 58 above).....	...XXX000000

(L) - Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) - Registered - Non-domiciled RRGs; (Q) - Qualified - Qualified or Accredited Reinsurer;
(E) - Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state (other than their state of domicile see DSLI); (D) - DSLI - Domestic Surplus Lines Insurer
(DSLI) - Reporting entities authorized to write Surplus Lines in the state of domicile; (N) - None of the above - Not allowed to write business in the state.
(a) Insert the number of D and L responses except for Canada and Other Alien.

Schedule Y - Information Concerning Activities of Insurer Members of a Holding Company Group
Part 1 - Organizational Chart

	Domiciliary Location	FEIN	NAIC Co. Code
American Financial Group, Inc.	OH	31-1544320	
American Financial Capital Trust II	DE	31-6549738	
American Financial Capital Trust III	DE	16-6543606	
American Financial Capital Trust IV	DE	16-6543609	
American Financial Enterprises, Inc.	CT	31-0996797	
American Money Management Corporation	OH	31-0828578	
American Real Estate Capital Company, LLC	OH	27-1577326	
Mid-Market Capital Partners, LLC	DE	27-2829629	
APU Holding Company	OH	41-2112001	
American Premier Underwriters, Inc.	PA	23-6000765	
The Associates of the Jersey Company	NJ	23-6297584	
Cal Coal, Inc.	IL	37-1094159	
Great Southwest Corporation	DE	95-2802826	
The Indianapolis Union Railway Company	IN	35-6001691	
Lehigh Valley Railroad Company	PA	13-6400464	
Pennsylvania Lehigh Oil & Gas Holdings LLC	PA	46-1665396	
Magnolia Alabama Holdings, Inc.	DE	20-1548213	
Magnolia Alabama Holdings LLC	AL	20-1574094	
Michigan Oil & Gas Holdings, LLC	MI	46-1852532	
Ohio Oil & Gas Holdings, LLC	OH	46-1480078	
The Owasco River Railway, Inc.	NY	13-6021353	
PCC Real Estate, Inc.	NY	31-1236926	
PCC Technical Industries, Inc.	DE	76-0080537	
PCC Maryland Realty Corp.	MD	31-1388401	
Penn Central Energy Management Company	DE	06-1209709	
Penn Towers, Inc.	PA	23-1537928	
Pennsylvania Oil & Gas Holdings, LLC	PA	46-3246684	
Pennsylvania-Reading Seashore Lines (66.67%)	NJ	23-6000766	
Pittsburgh and Cross Creek Railroad Company (83%)	PA	23-6207599	
Terminal Realty Penn Co.	DC	23-1707450	
Waynesburg Southern Railroad Company	PA	23-1675796	
GAI Insurance Company, Ltd. *	BMU	98-1073776	
Great American Specialty & Affinity Limited	GBR		
Hangar Acquisition Corp.	OH	31-1446308	
Premier Lease & Loan Services Insurance Agency, Inc.	WA	91-1242743	
Premier Lease & Loan Services of Canada, Inc.	WA	91-1508644	
Risico Management Corporation	DE	31-1262960	
Dixie Terminal Corporation	OH	31-0823725	
GAI Holding Bermuda Ltd. ^	BMU	98-0606803	
GAI Indemnity, Ltd. #	GBR	98-0556144	
Neon Capital Limited	GBR		
Neon Holdings (U.K.) Limited	GBR		
Lavenham Underwriting Limited #	GBR	98-0412245	
Neon Management Services Limited	GBR		
Neon Sapphire Underwriting Limited	GGY		
Neon Service Company (U.K.) Limited	GBR		
Marketform Australia Pty Limited	AUS		
Studio Marketform SRL	ITA		
Neon Underwriting Bermuda Limited	BMU		
Neon Underwriting Limited	GBR		
Sampford Underwriting Limited #	GBR	98-0431601	
Tarian Agency Limited (59%)	GBR		

* Denotes insurer
@ Company affiliated but not owned
Participant in Lloyd's Syndicate 2468
Subsidiaries 100% owned by respective parent unless otherwise stated
^ Total percentage owned by respective parent and other affiliated companies

Schedule Y - Information Concerning Activities of Insurer Members of a Holding Company Group
Part 1 - Organizational Chart

	Domiciliary Location	FEIN	NAIC Co. Code
American Financial Group, Inc.	OH	31-1544320	
Great American Financial Resources, Inc. ^	DE	06-1356481	
AAG Insurance Agency, Inc.	KY	31-1422717	
Ceres Group, Inc.	DE	34-1017531	
Continental General Corporation	NE	47-0717079	
QQAAgency of Texas, Inc.	TX	34-1947042	
Great American Advisors, Inc.	OH	31-1395344	
Great American Life Insurance Company *	OH	13-1935920	63312
Annuity Investors Life Insurance Company *	OH	31-1021738	93661
Bay Bridge Marina Hemingway's Restaurant, LLC (85%)	MD	27-4078277	
Bay Bridge Marina Management, LLC (85%)	MD	27-0513333	
Brothers Management, LLC (99%)	FL	20-1246122	
Charleston Harbor Fishing, LLC	SC	81-3737639	
GA Key Lime, LLC ^	OH	47-5618395	
GALIC - Bay Bridge Marina, LLC	MD	20-4604276	
GALIC Brothers, Inc.	OH	31-1391777	
Manhattan National Holding Corporation	OH	26-3260520	
Manhattan National Life Insurance Company *	OH	45-0252531	67083
Skipjack Marina Corp.	MD	52-2179330	
Great American Holding, Inc.	OH	42-1575938	
Agricultural Services, LLC	OH	27-3062314	
American Empire Surplus Lines Insurance Company *	DE	31-0912199	35351
American Empire Insurance Company *	OH	31-0973761	37990
American Empire Underwriters, Inc.	TX	59-1671722	
GAI Australia Pty Ltd	AUS		
Great American International Insurance Designated Activity Company *	IRL		
Mid-Continent Casualty Company *	OH	73-0556513	23418
Mid-Continent Assurance Company *	OH	73-1406844	15380
Mid-Continent Excess and Surplus Insurance Company *	DE	38-3803661	13794
Mid-Continent Specialty Insurance Services, Inc.	OK	30-0571535	
Oklahoma Surety Company *	OH	73-0773259	23426
National Interstate Corporation	OH	34-1607394	
American Highways Insurance Agency, Inc.	OH	34-1899058	
Explorer RV Insurance Agency, Inc.	OH	31-1548235	
Hudson Indemnity, Ltd. *	CYM	98-0191335	
Hudson Management Group, Ltd.	VIR	66-0660039	
National Interstate Insurance Agency, Inc.	OH	34-1607396	
Commercial For Hire Transportation Purchasing Group @	SC	36-4670968	
National Interstate Insurance Company *	OH	34-1607395	32620
National Interstate Insurance Company of Hawaii, Inc. *	OH	99-0345306	11051
TransProtection Service Company	MO	43-1254631	
Triumphe Casualty Company *	OH	95-3623282	41106
Vanliner Insurance Company *	MO	86-0114294	21172
Safety Claims & Litigation Services, LLC	MT	20-5546054	
Safety, Claims and Litigation Services, LLC	OH	46-4570914	
Republic Indemnity Company of America *	CA	95-2801326	22179
Republic Indemnity Company of California *	CA	31-1054123	43753
Summit Consulting, LLC	FL	59-1683711	
Heritage Summit Healthcare, LLC	FL	59-3385208	
Summit Real Estate Holdings, LLC	FL	82-2462705	
Summit Holding Southeast, Inc.	FL	59-3409855	
Bridgefield Employers Insurance Company*	FL	59-1835212	10701
Bridgefield Casualty Insurance Company*	FL	59-3269531	10335

* Denotes insurer
@ Company affiliated but not owned
Participant in Lloyd's Syndicate 2468
Subsidiaries 100% owned by respective parent unless otherwise stated
^ Total percentage owned by respective parent and other affiliated companies

Schedule Y - Information Concerning Activities of Insurer Members of a Holding Company Group
Part 1 - Organizational Chart

	Domiciliary Location	FEIN	NAIC Co. Code
American Financial Group, Inc.	OH	31-1544320	
Great American Insurance Company *	OH	31-0501234	16691
American Signature Underwriters, Inc.	OH	31-1463075	
Brothers Property Corporation	OH	59-2840291	
Brothers Pennsylvanian Corporation	PA	25-1754638	
Brothers Property Management Corporation	OH	59-2840294	
Crescent Centre Apartments ^	OH	20-4498054	
Crop Managers Insurance Agency, Inc.	KS	31-1277904	
Dempsey & Siders Agency, Inc.	OH	31-0589001	
Eden Park Insurance Brokers, Inc.	CA	31-1341668	
El Aguila, Compañía de Seguros, S.A. de C.V. *	MEX		
Financiadora de Primas Condor, S.A. de C.V. (99%)	MEX		
Farmers Crop Insurance Alliance, Inc.	KS	39-1404033	
FCIA Management Company, Inc.	NY	13-3628555	
Foreign Credit Insurance Association @	NY		
GAI Mexico Holdings, LLC	DE	81-0814136	
GAI Warranty Company	OH	31-1753938	
GAI Warranty Company of Florida	FL	31-1765544	
GAI Warranty Company of Canada Inc.	CAN		
Global Premier Finance Company	OH	61-1329718	
Great American Agency of Texas, Inc.	TX	74-2693636	
Great American Alliance Insurance Company *	OH	95-1542353	26832
Great American Assurance Company *	OH	15-6020948	26344
Great American Casualty Insurance Company *	OH	61-0983091	39896
Great American Contemporary Insurance Company *	OH	36-4079497	10646
Great American E & S Insurance Company *	DE	31-0954439	37532
Great American Fidelity Insurance Company *	DE	31-1036473	41858
Great American Insurance Agency, Inc.	OH	31-1652643	
Great American Insurance Company of New York *	NY	13-5539046	22136
Great American Lloyd's Insurance Company * @	TX	31-0974853	38024
Great American Lloyd's, Inc.	TX	31-1073664	
Great American Management Services, Inc.	OH	31-0856644	
Great American Protection Insurance Company *	OH	31-1288778	38580
Great American Re Inc.	DE	31-0918893	
Great American Security Insurance Company *	OH	31-1209419	31135
Great American Spirit Insurance Company *	OH	31-1237970	33723
Insurance (GB) Limited *	GBR		
Key Largo Group, Inc.	FL	59-1263251	
PLLS Canada Insurance Brokers Inc. (49%)	CAN	871850814	
Professional Risk Brokers, Inc.	IL	31-1293064	
One East Fourth, Inc.	OH	31-0686194	
Pioneer Carpet Mills, Inc.	OH	31-0883227	
TEJ Holdings, Inc.	OH	31-1119320	
Three East Fourth, Inc.	OH	31-0728327	

* Denotes insurer
@ Company affiliated but not owned
Participant in Lloyd's Syndicate 2468
Subsidiaries 100% owned by respective parent unless otherwise stated
^ Total percentage owned by respective parent and other affiliated companies

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q12

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
Members															
0...		0.....	31-15443200	0000944707	NYSE.....	American Financial Group, Inc.....	OH.....	UIP.....		Ownership.....0.000	N.....	0...
0...		0.....	31-654973800		American Financial Capital Trust II.....	DE.....	NIA.....	American Financial Group, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	16-654360600		American Financial Capital Trust III.....	DE.....	NIA.....	American Financial Group, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	16-654360900		American Financial Capital Trust IV.....	DE.....	NIA.....	American Financial Group, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	31-099679700		American Financial Enterprises, Inc.....	CT.....	NIA.....	American Financial Group, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	31-082857800		American Money Management Corporation.....	OH.....	NIA.....	American Financial Group, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	27-157732600		American Real Estate Capital Company, LLC.....	OH.....	NIA.....	American Money Management Corporation.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	27-282962900		Mid-Market Capital Partners, LLC.....	DE.....	NIA.....	American Money Management Corporation.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	41-211200100		APU Holding Company.....	OH.....	NIA.....	American Financial Group, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	23-600076500		American Premier Underwriters, Inc.....	PA.....	NIA.....	APU Holding Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	23-629758400		The Associates of the Jersey Company.....	NJ.....	NIA.....	American Premier Underwriters, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	37-109415900		Cal Coal, Inc.....	IL.....	NIA.....	American Premier Underwriters, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	95-280282600		Great Southwest Corporation.....	DE.....	NIA.....	American Premier Underwriters, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	35-600169100		The Indianapolis Union Railway Company.....	IN.....	NIA.....	American Premier Underwriters, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	13-640046400		Lehigh Valley Railroad Company.....	PA.....	NIA.....	American Premier Underwriters, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	46-166539600		Pennsylvania Lehigh Oil & Gas Holdings LLC.....	PA.....	NIA.....	Lehigh Valley Railroad Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	20-154821300		Magnolia Alabama Holdings, Inc.....	DE.....	NIA.....	American Premier Underwriters, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	20-157409400		Magnolia Alabama Holdings LLC.....	AL.....	NIA.....	Magnolia Alabama Holdings, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	46-185253200		Michigan Oil & Gas Holdings, LLC.....	MI.....	NIA.....	American Premier Underwriters, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	46-148007800		Ohio Oil & Gas Holdings, LLC.....	OH.....	NIA.....	American Premier Underwriters, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	13-602135300		The Owasco River Railway, Inc.....	NY.....	NIA.....	American Premier Underwriters, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	31-123692600		PCC Real Estate, Inc.....	NY.....	NIA.....	American Premier Underwriters, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	76-008053700		PCC Technical Industries, Inc.....	DE.....	NIA.....	American Premier Underwriters, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	31-138840100		PCC Maryland Realty Corp.....	MD.....	NIA.....	PCC Technical Industries, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	06-120970900		Penn Central Energy Management Company.....	DE.....	NIA.....	American Premier Underwriters, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	23-153792800		Penn Towers, Inc.....	PA.....	NIA.....	American Premier Underwriters, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	46-324668400		Pennsylvania Oil & Gas Holdings, LLC.....	PA.....	NIA.....	American Premier Underwriters, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	23-600076600		Pennsylvania-Reading Seashore Lines.....	NJ.....	NIA.....	American Premier Underwriters, Inc.....	Ownership.....66.670	American Financial Group, Inc.N.....	0...
0...		0.....	23-620759900		Pittsburgh and Cross Creek Railroad Company.....	PA.....	NIA.....	American Premier Underwriters, Inc.....	Ownership.....83.000	American Financial Group, Inc.N.....	0...
0...		0.....	23-170745000		Terminal Realty Penn Co.....	DC.....	NIA.....	American Premier Underwriters, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	23-167579600		Waynesburg Southern Railroad Company.....	PA.....	IA.....	American Premier Underwriters, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	98-107377600		GAI Insurance Company, Ltd.....	BMU.....	NIA.....	APU Holding Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	00		Great American Specialty & Affinity Limited.....	GBR.....	NIA.....	APU Holding Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	31-144630800		Hangar Acquisition Corp.....	OH.....	NIA.....	APU Holding Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	91-124274300		Premier Lease & Loan Services Insurance Agency, Inc.....	WA.....	NIA.....	APU Holding Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0...		0.....	91-150864400		Premier Lease & Loan Services of Canada, Inc.....	WA.....	IA.....	APU Holding Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q12.1

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
0....		0.....	31-126296000		Risico Management Corporation.....	DE.....	NIA.....	APU Holding Company.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	31-082372500		Dixie Terminal Corporation.....	OH.....	NIA.....	American Financial Group, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	98-060680300		GAI Holding Bermuda Ltd.....	BMU.....	NIA.....	American Financial Group, Inc.....	Ownership.....69.990	American Financial Group, Inc.N.....N.....	2...
0....		0.....	98-060680300		GAI Holding Bermuda Ltd.....	BMU.....	NIA.....	GAI Australia Pty Ltd.....	Ownership.....30.010	American Financial Group, Inc.N.....N.....	2...
0....		0.....	98-055614400		GAI Indemnity, Ltd.....	GBR.....	IA.....	GAI Holding Bermuda Ltd.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	00		Neon Capital Limited.....	GBR.....	NIA.....	GAI Holding Bermuda Ltd.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	00		Neon Holdings (U.K.) Limited.....	GBR.....	NIA.....	Neon Capital Limited.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	98-041224500		Lavenham Underwriting Limited.....	GBR.....	IA.....	Neon Holdings (U.K.) Limited.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	00		Neon Management Services Limited.....	GBR.....	NIA.....	Neon Holdings (U.K.) Limited.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	00		Neon Sapphire Underwriting Limited.....	GGY.....	NIA.....	Neon Holdings (U.K.) Limited.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	00		Neon Service Company (U.K.) Limited.....	GBR.....	NIA.....	Neon Holdings (U.K.) Limited.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	00		Marketform Australia Pty Limited.....	AUS.....	NIA.....	Neon Service Company (U.K.) Limited.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	00		Studio Marketform SRL.....	ITA.....	NIA.....	Neon Service Company (U.K.) Limited.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	00		Neon Underwriting Bermuda Limited.....	BMU.....	NIA.....	Neon Holdings (U.K.) Limited.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	00		Neon Underwriting Limited.....	GBR.....	NIA.....	Neon Holdings (U.K.) Limited.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	98-043160100		Sampford Underwriting Limited.....	GBR.....	IA.....	Neon Holdings (U.K.) Limited.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	00		Tarian Agency Limited.....	GBR.....	NIA.....	Neon Holdings (U.K.) Limited.....	Ownership.....59.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	06-135648100		Great American Financial Resources, Inc.....	DE.....	NIA.....	American Financial Group, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	1...
0....		0.....	31-142271700		AAG Insurance Agency, Inc.....	KY.....	NIA.....	Great American Financial Resources, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	34-101753100		Ceres Group, Inc.....	DE.....	NIA.....	Great American Financial Resources, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	47-071707900		Continental General Corporation.....	NE.....	NIA.....	Ceres Group, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	34-194704200		QQAgency of Texas, Inc.....	TX.....	NIA.....	Ceres Group, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	31-139534400		Great American Advisors, Inc.....	OH.....	NIA.....	Great American Financial Resources, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0084	American Financial Group, Inc.	63312...	13-193592000		Great American Life Insurance Company.....	OH.....	IA.....	Great American Financial Resources, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0084	American Financial Group, Inc.	93661...	31-102173800		Annuity Investors Life Insurance Company.....	OH.....	IA.....	Great American Life Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	27-407827700		Bay Bridge Marina Hemingway's Restaurant, LLC.....	MD.....	NIA.....	Great American Life Insurance Company.....	Ownership.....85.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	27-051333300		Bay Bridge Marina Management, LLC.....	MD.....	NIA.....	Great American Life Insurance Company.....	Ownership.....85.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	20-124612200		Brothers Management, LLC.....	FL.....	NIA.....	Great American Life Insurance Company.....	Ownership.....99.000	American Financial Group, Inc.Y.....Y.....	0...
0....		0.....	81-373763900		Charleston Harbor Fishing, LLC.....	SC.....	NIA.....	Great American Life Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	47-561839500		GA Key Lime, LLC.....	OH.....	NIA.....	Great American Life Insurance Company.....	Ownership.....50.000	American Financial Group, Inc.N.....N.....	2...
0....		0.....	47-561839500		GA Key Lime, LLC.....	OH.....	NIA.....	Great American Insurance Company.....	Ownership.....50.000	American Financial Group, Inc.N.....N.....	2...
0....		0.....	20-460427600		GALIC - Bay Bridge Marina, LLC.....	MD.....	NIA.....	Great American Life Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	31-139177700		GALIC Brothers, Inc.....	OH.....	NIA.....	Great American Life Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....Y.....	0...
0....		0.....	26-326052000		Manhattan National Holding Corporation.....	OH.....	NIA.....	Great American Life Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....Y.....	0...
0084	American Financial Group, Inc.	67083...	45-025253100		Manhattan National Life Insurance Company.....	OH.....	IA.....	Manhattan National Holding Corporation.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...
0....		0.....	52-217933000		Skipjack Marina Corp.....	MD.....	NIA.....	Great American Life Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.N.....N.....	0...

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q12.2

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
0....	0.....	42-157593800	Great American Holding, Inc.....	OH.....	UIP.....	American Financial Group, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	27-306231400	Agricultural Services, LLC.....	OH.....	NIA.....	Great American Holding, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0084	American Financial Group, Inc.	35351...	31-091219900	American Empire Surplus Lines Insurance Company.....	DE.....	UDP.....	Great American Holding, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0084	American Financial Group, Inc.	37990...	31-097376100	American Empire Insurance Company.....	OH.....	American Empire Surplus Lines Insurance Company...	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	59-167172200	American Empire Underwriters, Inc.....	TX.....	NIA.....	American Empire Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...
0....	0.....00	GAI Australia Pty Ltd.....	AUS.....	NIA.....	Great American Holding, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	AA-178413600	Great American International Insurance Designated Activity Company..	IRL.....	IA.....	Great American Holding, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0084	American Financial Group, Inc.	23418...	73-055651300	Mid-Continent Casualty Company.....	OH.....	IA.....	Great American Holding, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0084	American Financial Group, Inc.	15380...	73-140684400	Mid-Continent Assurance Company.....	OH.....	IA.....	Mid-Continent Casualty Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0084	American Financial Group, Inc.	13794...	38-380366100	Mid-Continent Excess and Surplus Insurance Company.....	DE.....	IA.....	Mid-Continent Casualty Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	30-057153500	Mid-Continent Specialty Insurance Services, Inc.....	OK.....	NIA.....	Mid-Continent Casualty Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...
0084	American Financial Group, Inc.	23426...	73-077325900	Oklahoma Surety Company.....	OH.....	IA.....	Mid-Continent Casualty Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	34-160739400	National Interstate Corporation.....	OH.....	NIA.....	Great American Holding, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	34-189905800	American Highways Insurance Agency, Inc.....	OH.....	NIA.....	National Interstate Corporation.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	31-154823500	Explorer RV Insurance Agency, Inc.....	OH.....	NIA.....	National Interstate Corporation.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	98-019133500	Hudson Indemnity, Ltd.....	CYM.....	IA.....	National Interstate Corporation.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	66-066003900	Hudson Management Group, Ltd.....	VIR.....	NIA.....	National Interstate Corporation.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	34-160739600	National Interstate Insurance Agency, Inc.....	OH.....	NIA.....	National Interstate Corporation.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	36-467096800	Commercial For Hire Transportation Purchasing Group.....	SC.....	NIA.....	National Interstate Insurance Agency, Inc.....	Management.....0.000	American Financial Group, Inc.N.....	5...
0084	American Financial Group, Inc.	32620...	34-160739500	National Interstate Insurance Company.....	OH.....	IA.....	National Interstate Corporation.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0084	American Financial Group, Inc.	11051...	99-034530600	National Interstate Insurance Company of Hawaii, Inc.....	OH.....	IA.....	National Interstate Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	43-125463100	TransProtection Service Company.....	MO.....	NIA.....	National Interstate Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...
0084	American Financial Group, Inc.	41106...	95-362328200	Triumphe Casualty Company.....	OH.....	IA.....	National Interstate Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0084	American Financial Group, Inc.	21172...	86-011429400	Vanliner Insurance Company.....	MO.....	IA.....	National Interstate Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...
0....	0.....	20-554605400	Safety Claims & Litigation Services, LLC.....	MT.....	NIA.....	National Interstate Corporation.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	46-457091400	Safety, Claims and Litigation Services, LLC.....	OH.....	NIA.....	National Interstate Corporation.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0084	American Financial Group, Inc.	22179...	95-280132600	Republic Indemnity Company of America.....	CA.....	IA.....	Great American Holding, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0084	American Financial Group, Inc.	43753...	31-105412300	Republic Indemnity Company of California.....	CA.....	IA.....	Republic Indemnity Company of America.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	59-168371100	Summit Consulting, LLC.....	FL.....	NIA.....	Great American Holding, Inc.	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	59-338520800	Heritage Summit Healthcare, LLC.....	FL.....	NIA.....	Summit Consulting, LLC.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	82-246270500	Summit Real Estate Holdings, LLC.....	FL.....	NIA.....	Summit Consulting, LLC.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	59-340985500	Summit Holding Southeast, Inc.....	FL.....	NIA.....	Great American Holding, Inc.	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0084	American Financial Group, Inc.	10701...	59-183521200	Bridgefield Employers Insurance Company.....	FL.....	IA.....	Summit Holding Southeast, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0084	American Financial Group, Inc.	10335...	59-326953100	Bridgefield Casualty Insurance Company.....	FL.....	IA.....	Bridgefield Employers Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0084	American Financial Group, Inc.	16691...	31-050123400	Great American Insurance Company.....	OH.....	IA.....	American Financial Group, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	31-146307500	American Signature Underwriters, Inc.....	OH.....	NIA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q12.3

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
0....	0.....	59-284029100	Brothers Property Corporation.....	OH.....	NIA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...
0....	0.....	25-175463800	Brothers Pennsylvanian Corporation.....	PA.....	NIA.....	Brothers Property Corporation.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	59-284029400	Brothers Property Management Corporation.....	OH.....	NIA.....	Brothers Property Corporation.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	20-449805400	Crescent Centre Apartments.....	OH.....	NIA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	1...
0....	0.....	31-127790400	Crop Managers Insurance Agency, Inc.....	KS.....	NIA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...
0....	0.....	31-058900100	Dempsey & Siders Agency, Inc.....	OH.....	NIA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...
0....	0.....	31-134166800	Eden Park Insurance Brokers, Inc.....	CA.....	NIA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...
0....	0.....00	El Aguila, Compañía de Seguros, S.A. de C.V.....	MEX.....	IA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...
0....	0.....00	Financidora de Primas Condor, S.A. de C.V.....	MEX.....	NIA.....	El Aguila, Compañía de Seguros, S.A. de C.V.....	Ownership.....99.000	American Financial Group, Inc.N.....	0...
0....	0.....	39-140403300	Farmers Crop Insurance Alliance, Inc.....	KS.....	NIA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...
0....	0.....	13-362855500	FCIA Management Company, Inc.....	NY.....	NIA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...
0....	0.....00	Foreign Credit Insurance Association.....	NY.....	OTH.....	Great American Insurance Company.....	Management.....0.000	American Financial Group, Inc.N.....	3...
0....	0.....	81-081413600	GAI Mexico Holdings, LLC.....	DE.....	NIA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...
0....	0.....	31-175393800	GAI Warranty Company.....	OH.....	NIA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...
0....	0.....	31-176554400	GAI Warranty Company of Florida.....	FL.....	NIA.....	GAI Warranty Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....00	GAI Warranty Company of Canada Inc.....	CAN.....	NIA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...
0....	0.....	61-132971800	Global Premier Finance Company.....	OH.....	NIA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...
0....	0.....	74-269363600	Great American Agency of Texas, Inc.....	TX.....	NIA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...
0084	American Financial Group, Inc.	26832...	95-154235300	Great American Alliance Insurance Company.....	OH.....	IA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0084	American Financial Group, Inc.	26344...	15-602094800	Great American Assurance Company.....	OH.....	IA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0084	American Financial Group, Inc.	39896...	61-098309100	Great American Casualty Insurance Company.....	OH.....	IA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0084	American Financial Group, Inc.	10646...	36-407949700	Great American Contemporary Insurance Company.....	OH.....	IA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0084	American Financial Group, Inc.	37532...	31-095443900	Great American E & S Insurance Company.....	DE.....	IA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0084	American Financial Group, Inc.	41858...	31-103647300	Great American Fidelity Insurance Company.....	DE.....	IA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	31-165264300	Great American Insurance Agency, Inc.....	OH.....	NIA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...
0084	American Financial Group, Inc.	22136...	13-553904600	Great American Insurance Company of New York.....	NY.....	IA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0084	American Financial Group, Inc.	38024...	31-097485300	Great American Lloyd's Insurance Company.....	TX.....	IA.....	Great American Insurance Company.....	Other.....0.000	American Financial Group, Inc.N.....	4...
0....	0.....	31-107366400	Great American Lloyd's, Inc.....	TX.....	NIA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...
0....	0.....	31-085664400	Great American Management Services, Inc.....	OH.....	NIA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...
0084	American Financial Group, Inc.	38580...	31-128877800	Great American Protection Insurance Company.....	OH.....	IA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	31-091889300	Great American Re Inc.....	DE.....	NIA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...
0084	American Financial Group, Inc.	31135...	31-120941900	Great American Security Insurance Company.....	OH.....	IA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0084	American Financial Group, Inc.	33723...	31-123797000	Great American Spirit Insurance Company.....	OH.....	IA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	AA-112081700	Insurance (GB) Limited.....	GBR.....	IA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...
0....	0.....	59-126325100	Key Largo Group, Inc.....	FL.....	NIA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...
0....	0.....	87185081400	PLLS Canada Insurance Brokers Inc.....	CAN.....	NIA.....	Great American Insurance Company.....	Ownership.....49.000	American Financial Group, Inc.Y.....	0...

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
0....	0.....	31-129306400	Professional Risk Brokers, Inc.....	IL.....	NIA.....	Great American Insurance Company.....	Ownership.....100.000	American Financial Group, Inc.Y.....	0...
0....	0.....	31-068619400	One East Fourth, Inc.....	OH.....	NIA.....	American Financial Group, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	31-088322700	Pioneer Carpet Mills, Inc.....	OH.....	NIA.....	American Financial Group, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	31-111932000	TEJ Holdings, Inc.....	OH.....	NIA.....	American Financial Group, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...
0....	0.....	31-072832700	Three East Fourth, Inc.....	OH.....	NIA.....	American Financial Group, Inc.....	Ownership.....100.000	American Financial Group, Inc.N.....	0...

Aster Explanation

1	Another affiliated company owns 1% or less of the shares.
2	The entity is owned by more than one company within the AFG Group.
3	Great American Insurance Company is the majority member of the Association.
4	Beneficial interest and indirect control is established by trust agreements between Great American Insurance Company and each of the underwriters of the Company.
5	Company is affiliated but not owned.

AMERICAN EMPIRE INSURANCE COMPANY
PART 1 - LOSS EXPERIENCE

Lines of Business	Current Year to Date			Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire.....	0	0	0.000	0.000
2. Allied lines.....	0	0	0.000	0.000
3. Farmowners multiple peril.....	0	0	0.000	0.000
4. Homeowners multiple peril.....	0	0	0.000	0.000
5. Commercial multiple peril.....	0	0	0.000	0.000
6. Mortgage guaranty.....	0	0	0.000	0.000
8. Ocean marine.....	0	0	0.000	0.000
9. Inland marine.....	0	0	0.000	0.000
10. Financial guaranty.....	0	0	0.000	0.000
11.1. Medical professional liability - occurrence.....	0	0	0.000	0.000
11.2. Medical professional liability - claims-made.....	0	0	0.000	0.000
12. Earthquake.....	0	0	0.000	0.000
13. Group accident and health.....	0	0	0.000	0.000
14. Credit accident and health.....	0	0	0.000	0.000
15. Other accident and health.....	0	0	0.000	0.000
16. Workers' compensation.....	0	0	0.000	0.000
17.1. Other liability-occurrence.....	0	0	0.000	0.000
17.2. Other liability-claims made.....	0	0	0.000	0.000
17.3. Excess workers' compensation.....	0	0	0.000	0.000
18.1. Products liability-occurrence.....	0	0	0.000	0.000
18.2. Products liability-claims made.....	0	0	0.000	0.000
19.1, 19.2. Private passenger auto liability.....	0	0	0.000	0.000
19.3, 19.4. Commercial auto liability.....	0	0	0.000	0.000
21. Auto physical damage.....	0	0	0.000	0.000
22. Aircraft (all perils).....	0	0	0.000	0.000
23. Fidelity.....	0	0	0.000	0.000
24. Surety.....	0	0	0.000	0.000
26. Burglary and theft.....	0	0	0.000	0.000
27. Boiler and machinery.....	0	0	0.000	0.000
28. Credit.....	0	0	0.000	0.000
29. International.....	0	0	0.000	0.000
30. Warranty.....	0	0	0.000	0.000
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0.000	0.000
35. Totals.....	0	0	0.000	0.000
DETAILS OF WRITE-INS				
3401.	0	0	0.000	0.000
3402.	0	0	0.000	0.000
3403.	0	0	0.000	0.000
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0.000	XXX
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0.000	0.000

PART 2 - DIRECT PREMIUMS WRITTEN

Lines of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1. Fire.....	0	0	0
2. Allied lines.....	0	0	0
3. Farmowners multiple peril.....	0	0	0
4. Homeowners multiple peril.....	0	0	0
5. Commercial multiple peril.....	0	0	0
6. Mortgage guaranty.....	0	0	0
8. Ocean marine.....	0	0	0
9. Inland marine.....	0	0	0
10. Financial guaranty.....	0	0	0
11.1. Medical professional liability - occurrence.....	0	0	0
11.2. Medical professional liability - claims made.....	0	0	0
12. Earthquake.....	0	0	0
13. Group accident and health.....	0	0	0
14. Credit accident and health.....	0	0	0
15. Other accident and health.....	0	0	0
16. Workers' compensation.....	0	0	0
17.1. Other liability-occurrence.....	0	0	0
17.2. Other liability-claims made.....	0	0	0
17.3. Excess workers' compensation.....	0	0	0
18.1. Products liability-occurrence.....	0	0	0
18.2. Products liability-claims made.....	0	0	0
19.1 19.2. Private passenger auto liability.....	0	0	0
19.3 19.4. Commercial auto liability.....	0	0	0
21. Auto physical damage.....	0	0	0
22. Aircraft (all perils).....	0	0	0
23. Fidelity.....	0	0	0
24. Surety.....	0	0	0
26. Burglary and theft.....	0	0	0
27. Boiler and machinery.....	0	0	0
28. Credit.....	0	0	0
29. International.....	0	0	0
30. Warranty.....	0	0	0
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0
35. Totals.....	0	0	0
DETAILS OF WRITE-INS			
3401.	0	0	0
3402.	0	0	0
3403.	0	0	0
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

Q14

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2017 Loss and LAE Payments on Claims Reported as of Prior Year-End	2017 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2017 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/Deficiency (Cols. 11 + 12)
1. 2014 + Prior.....5,5385,08010,61910,619010,61900005,080(5,080)0
2. 2015.....2,8974,2647,1617,16107,16100004,264(4,264)(0)
3. Subtotals 2015 + Prior.....8,4369,34417,78017,780017,78000009,344(9,344)(0)
4. 2016.....1,3015,9977,2987,29807,29800005,997(5,997)0
5. Subtotals 2016 + Prior.....9,73715,34125,07825,078025,078000015,341(15,341)(0)
6. 2017.....XXXXXXXXXXXX00XXX000XXXXXXXXX
7. Totals.....9,73715,34125,07825,078025,078000015,341(15,341)(0)
8. Prior Year- End's Surplus As Regards Policyholders19,750										Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1.157.552 %	2.(100.000)%	3.(0.000)%
											Col. 13, Line 7 Line 8		
											4.(0.000)%		

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	<div>NO</div>
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	<div>NO</div>
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	<div>NO</div>
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	<div>YES</div>

Explanation:

1.

The data for this supplement is not required to be filed.
2.

The data for this supplement is not required to be filed.
3.

The data for this supplement is not required to be filed.
4.

Bar Code:



AMERICAN EMPIRE INSURANCE COMPANY
Overflow Page for Write-Ins

NONE

AMERICAN EMPIRE INSURANCE COMPANY
SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	0	0
2.2 Additional investment made after acquisition.....	0	0
3. Current year change in encumbrances.....	0	0
4. Total gain (loss) on disposals.....	0	0
5. Deduct amounts received on disposals.....	0	0
6. Total foreign exchange change in book/adjusted carrying value.....	0	0
7. Deduct current year's other-than-temporary impairment recognized.....	0	0
8. Deduct current year's depreciation.....	0	0
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	0	0
10. Deduct total nonadmitted amounts.....	0	0
11. Statement value at end of current period (Line 9 minus Line 10).....	0	0

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	0	0
2.2 Additional investment made after acquisition.....	0	0
3. Capitalized deferred interest and other.....	0	0
4. Accrual of discount.....	0	0
5. Unrealized valuation increase (decrease).....	0	0
6. Total gain (loss) on disposals.....	0	0
7. Deduct amounts received on disposals.....	0	0
8. Deduct amortization of premium and mortgage interest points and commitment fees.....	0	0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....	0	0
10. Deduct current year's other-than-temporary impairment recognized.....	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Total valuation allowance.....	0	0
13. Subtotal (Line 11 plus Line 12).....	0	0
14. Deduct total nonadmitted amounts.....	0	0
15. Statement value at end of current period (Line 13 minus Line 14).....	0	0

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	0	0
2.2 Additional investment made after acquisition.....	0	0
3. Capitalized deferred interest and other.....	0	0
4. Accrual of discount.....	0	0
5. Unrealized valuation increase (decrease).....	0	0
6. Total gain (loss) on disposals.....	0	0
7. Deduct amounts received on disposals.....	0	0
8. Deduct amortization of premium and depreciation.....	0	0
9. Total foreign exchange change in book/adjusted carrying value.....	0	0
10. Deduct current year's other-than-temporary impairment recognized.....	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Deduct total nonadmitted amounts.....	0	0
13. Statement value at end of current period (Line 11 minus Line 12).....	0	0

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	33,169,467	34,712,828
2. Cost of bonds and stocks acquired.....	4,496,646	4,601,204
3. Accrual of discount.....	2,286	26,137
4. Unrealized valuation increase (decrease).....	45,910	(320,404)
5. Total gain (loss) on disposals.....	120,262	322,057
6. Deduct consideration for bonds and stocks disposed of.....	24,279,290	5,899,490
7. Deduct amortization of premium.....	82,527	272,865
8. Total foreign exchange change in book/adjusted carrying value.....	0	0
9. Deduct current year's other-than-temporary impairment recognized.....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	13,472,754	33,169,467
11. Deduct total nonadmitted amounts.....	0	0
12. Statement value at end of current period (Line 10 minus Line 11).....	13,472,754	33,169,467

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

	1	2	3	4	5	6	7	8
NAIC Designation	Book/Adjusted Carrying Value Beginning of Current Quarter	Acquisitions During Current Quarter	Dispositions During Current Quarter	Non-Trading Activity During Current Quarter	Book/Adjusted Carrying Value End of First Quarter	Book/Adjusted Carrying Value End of Second Quarter	Book/Adjusted Carrying Value End of Third Quarter	Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a).....	17,502,080	1,697,688	1,313,761	24,083	16,043,243	17,502,080	17,910,090	43,013,572
2. NAIC 2 (a).....	0	0	0	0	0	0	0	2,120,813
3. NAIC 3 (a).....	0	0	0	0	0	0	0	0
4. NAIC 4 (a).....	0	0	0	0	0	0	0	0
5. NAIC 5 (a).....	0	0	0	0	0	0	0	0
6. NAIC 6 (a).....	0	0	0	0	0	0	0	0
7. Total Bonds.....	17,502,080	1,697,688	1,313,761	24,083	16,043,243	17,502,080	17,910,090	45,134,385
PREFERRED STOCK								
8. NAIC 1.....	0	0	0	0	0	0	0	0
9. NAIC 2.....	0	0	0	0	0	0	0	0
10. NAIC 3.....	467,500	0	0	0	467,500	467,500	467,500	467,500
11. NAIC 4.....	0	0	0	0	0	0	0	0
12. NAIC 5.....	0	0	0	0	0	0	0	0
13. NAIC 6.....	0	0	0	0	0	0	0	0
14. Total Preferred Stock.....	467,500	0	0	0	467,500	467,500	467,500	467,500
15. Total Bonds and Preferred Stock.....	17,969,580	1,697,688	1,313,761	24,083	16,510,743	17,969,580	18,377,590	45,601,885

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:
NAIC 1 \$.....4,904,836; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

QSI02

AMERICAN EMPIRE INSURANCE COMPANY
SCHEDULE DA - PART 1

Short-Term Investments					
	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999.....4,904,836XXX.....4,904,83630,7240

SCHEDULE DA - VERIFICATION

Short-Term Investments		
	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....12,432,4185,731,410
2. Cost of short-term investments acquired.....6,243,71917,406,222
3. Accrual of discount.....00
4. Unrealized valuation increase (decrease).....00
5. Total gain (loss) on disposals.....00
6. Deduct consideration received on disposals.....13,771,30110,705,214
7. Deduct amortization of premium.....00
8. Total foreign exchange change in book/adjusted carrying value.....00
9. Deduct current year's other-than-temporary impairment recognized.....00
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....4,904,83612,432,418
11. Deduct total nonadmitted amounts.....00
12. Statement value at end of current period (Line 10 minus Line 11).....4,904,83612,432,418

Sch. DB - Pt. A - Verification
NONE

Sch. DB - Pt. B - Verification
NONE

Sch. DB - Pt. C - Sn. 1
NONE

Sch. DB - Pt. C - Sn. 2
NONE

Sch. DB - Verification
NONE

Sch. E - Verification
NONE

Sch. A - Pt. 2
NONE

Sch. A - Pt. 3
NONE

Sch. B - Pt. 2
NONE

Sch. B - Pt. 3
NONE

Sch. BA - Pt. 2
NONE

Sch. BA - Pt. 3
NONE

SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
Bonds - Industrial and Miscellaneous									
67575N AY 9	OMART 2017-T1 AT1 ABS SSNR 2.4989 09/48.....		09/08/2017.....	CS FIRST BOSTON.....		1,000,000	1,000,000	0	1FE.....
38999999	Total - Bonds - Industrial and Miscellaneous.....					1,000,000	1,000,000	0	XXX.....
8399997	Total - Bonds - Part 3.....					1,000,000	1,000,000	0	XXX.....
83999999	Total - Bonds.....					1,000,000	1,000,000	0	XXX.....
99999999	Total - Bonds, Preferred and Common Stocks.....					1,000,000	XXX	0	XXX.....

(a) For all common stock bearing NAIC market indicator "U" provide the number of such issues:.....0.

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2			3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
												11	12	13	14	15								
CUSIP Identification	Description			F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Considerati on	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than- Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)	
Bonds - U.S. Special Revenue and Special Assessment																								
31350A	BR	8	FHLMC VAR M034 CL A 4.15 04/15/2025.....	..	07/15/2017.	Partial Call.....	5,0005,0005,1375,1010(100)0(100)05,000000121	04/15/2025.	1FE.....	
647200	X4	1	NM MTGE FIN CL I B-1 2.85 07/01/2043.....	..	09/01/2017.	MBS Paydown.....	11,85611,85611,79711,8110(12)0(12)011,856000232	07/01/2043.	1FE.....	
647200	X6	6	NM MTGE FIN SFM C I 4.50 10/01/2043.....	..	09/01/2017.	MBS Paydown.....	13,81513,81514,36814,295034,920034,920013,815000405	10/01/2043.	1FE.....	
3199999.	Total - Bonds - U.S. Special Revenue and Special Assessments.....						30,67130,67131,30231,207034,808034,808030,671000758	XXX	XXX	
Bonds - Industrial and Miscellaneous																								
03235T	AA	5	ACEF 2014-1A A ABS 8.00 12/20/24.....	..	09/20/2017.	MBS Paydown.....	10,06910,06910,32010,23609220922010,069000543	12/20/2024.	1AM.....	
03766K	AA	1	AASET 2016-1A A ABS SNR 4.875 03/17/2036.....	..	09/15/2017.	MBS Paydown.....	25,00025,00024,64424,685059059025,000000813	03/17/2036.	1FE.....	
17307G	VN	5	CMLT1 2005-WF2 AF7 SEQ STP 8/25/2035.....	..	09/25/2017.	MBS Paydown.....	10,31310,31310,3138,6780(1,096)0(1,096)010,313000371	08/25/2035.	1FM.....	
46617U	AN	1	JFINR 2015-3A COMB CLO MEZ 3.00 04/20/23.....	..	07/20/2017.	MBS Paydown.....	77,70877,70877,70877,7080000077,7080001,278	04/20/2023.	1FE.....	
3899999.	Total - Bonds - Industrial and Miscellaneous.....						123,090123,090122,985121,3070(115)0(115)0123,0900003,005	XXX	XXX	
8399997.	Total - Bonds - Part 4.....						153,761153,761154,287152,514034,693034,6930153,7610003,763	XXX	XXX	
8399999.	Total - Bonds.....						153,761153,761154,287152,514034,693034,6930153,7610003,763	XXX	XXX	
9999999.	Total - Bonds, Preferred and Common Stocks.....						153,761	XXX154,287152,514034,693034,6930153,7610003,763	XXX	XXX	

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:0.

Sch. DB - Pt. A - Sn. 1

NONE

Sch. DB - Pt. B - Sn. 1

NONE

Sch. DB - Pt. D - Sn. 1

NONE

Sch. DB - Pt. D - Sn. 2

NONE

Sch. DL - Pt. 1

NONE

Sch. DL - Pt. 2

NONE

AMERICAN EMPIRE INSURANCE COMPANY
SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	First Month	Second Month	Third Month	*
Open Depositories								
The Bank of New York Mellon..... New York, New York.....0.100105,1965,0035,089	XXX
PNC Bank..... Pittsburgh, Pennsylvania.....0.000002,466,9392,908,5432,468,515	XXX
PNC Bank..... Pittsburgh, Pennsylvania.....0.00000000	XXX
0199999. Total Open Depositories.....	XXX	XXX102,472,1352,913,5462,473,604	XXX
0399999. Total Cash on Deposit.....	XXX	XXX102,472,1352,913,5462,473,604	XXX
0599999. Total Cash.....	XXX	XXX102,472,1352,913,5462,473,604	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8
Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year

NONE



DIRECTOR AND OFFICER INSURANCE COVERAGE SUPPLEMENT

Year To Date For the Period Ended September 30, 2017

NAIC Group Code.....0084

NAIC Company Code.....37990

Company Name: AMERICAN EMPIRE INSURANCE COMPANY

If the reporting entity writes any director and officer (D&O) business, please provide the following:

1. Monoline Policies

1 Direct Written Premiums	2 Direct Earned Premiums	3 Direct Losses Incurred
.....000

2. Commercial Multiple Peril (CMP) Packaged Policies

- 2.1 Does the reporting entity provide D&O liability coverage as part of a CMP packaged policy?

Yes [] No [X]
- 2.2 Can the direct premium earned for D&O liability coverage provided as part of a CMP packaged policy be quantified or estimated?

Yes [] No [X]
- 2.3 If the answer to question 2.2 is yes, provide the quantified or estimated direct premium earned amount for D&O liability coverage in CMP packaged policies:

2.31 Amount quantified: \$.....0

2.32 Amount estimated using reasonable assumptions: \$.....0
- 2.4 If the answer to question 2.1 is yes, provide direct losses incurred (losses paid plus change in case reserves) for the D&O liability coverages provided in CMP packaged policies:

\$.....0