



## QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2017  
OF THE CONDITION AND AFFAIRS OF THE

### Westfield Insurance Company

NAIC Group Code 0228 (Current Period) , 0228 (Prior Period) NAIC Company Code 24112 Employer's ID Number 34-6516838

Organized under the Laws of Ohio , State of Domicile or Port of Entry Ohio

Country of Domicile US

Incorporated/Organized July 12, 1929 Commenced Business July 19, 1929

Statutory Home Office One Park Circle, Westfield Center, Ohio, US 44251-5001  
(Street and Number, City or Town, State, Country and Zip Code)

Main Administrative Office One Park Circle, Westfield Center, Ohio, US 44251-5001  
(Street and Number, City or Town, State, Country and Zip Code) 330-887-0101  
(Area Code) (Telephone Number)

Mail Address P. O. Box 5001, Westfield Center, Ohio, US 44251-5001  
(Street and Number or P. O. Box, City or Town, State, Country and Zip Code)

Primary Location of Books and Records One Park Circle, Westfield Center, Ohio, US 44251-5001  
(Street and Number, City or Town, State, Country and Zip Code)  
330-887-0101  
(Area Code) (Telephone Number)

Internet Website Address www.westfieldgrp.com

Statutory Statement Contact Jeffrey Scott Gillentine 330-887-0101  
(Name) (Area Code) (Telephone Number) (Extension)  
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### OFFICERS

Edward James Largent, III (Westfield Group President, CEO & Board Chairman)  
Joseph Christian Kohmann (Group Finance Leader & Treasurer)  
Frank Anthony Carrino (Group Legal Leader & Secretary)

### OTHER OFFICERS

Dennis Paul Baus (National Surety Leader)  
Robert William Bowers (National Claims Leader)  
Jeffrey Scott Gillentine# (Group Finance & Accounting Leader)  
Robyn Renee Hahn (Group Marketing & Communications Leader)  
Terry Lee McClaskey, Jr (Personal Lines Division Leader)  
James Robert Merz (Group Actuarial & Analytics Leader)  
Kristine Lynn Neate (National Underwriting Office Leader)  
Christopher Michael Paterakis (Group HR Leader)  
Michael Joseph Prandi (Insurance Operations Leader)  
Elizabeth Margaret Riczko (Group Underwriting & Product Leader)  
Stuart Wayne Rosenberg (Group Administration Leader)  
Peter Robert Schwanke (Group Risk Management Leader)  
Craig David Welsh (Group Distribution Leader)  
Paul Dwayne Wilson# (Group IT Leader)  
George Krieg Wiswesser (Group Investment Leader)

### DIRECTORS OR TRUSTEES

Cheryl Lila Carlisle  
Fariborz Ghadar  
Gary Dean Hallman  
Susan Jane Insley  
John Patrick Lanigan, Jr  
Edward James Largent, III  
Craig David Pfeiffer  
Billie Kay Rawot  
John Lewis Watson  
Thomas Eldon Workman

State of Ohio  
County of Medina } SS

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions there from for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Edward James Largent, III  
Westfield Group President, CEO & Board Chairman

Joseph Christian Kohmann  
Group Finance Leader & Treasurer

Frank Anthony Carrino  
Group Legal Leader & Secretary

Subscribed and sworn to before me this  
16th day of October, 2017

a. Is this an original filing? Yes (X) No ( )  
b. If no: 1. State the amendment number 0  
2. Date filed \_\_\_\_\_  
3. Number of pages attached 0

## ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Col. 1 minus Col. 2)	
1. Bonds .....	1,418,023,451	0	1,418,023,451	1,421,895,807
2. Stocks:				
2.1 Preferred stocks .....	0	0	0	0
2.2 Common stocks .....	630,799,042	0	630,799,042	573,847,138
3. Mortgage loans on real estate:				
3.1 First liens .....	0	0	0	0
3.2 Other than first liens .....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ 0 encumbrances) .....	0	0	0	0
4.2 Properties held for the production of income (less \$ 0 encumbrances) .....	0	0	0	0
4.3 Properties held for sale (less \$ 0 encumbrances) .....	0	0	0	0
5. Cash (\$ 175,178 ), cash equivalents (\$ 0 ) and short-term investments (\$ 11,739,542 ) .....	11,914,720	0	11,914,720	2,539,706
6. Contract loans (including \$ 0 premium notes) .....	0	0	0	0
7. Derivatives .....	0	0	0	0
8. Other invested assets .....	208,061,992	0	208,061,992	168,671,594
9. Receivables for securities .....	4,088,583	0	4,088,583	8,249
10. Securities lending reinvested collateral assets .....	0	0	0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Line 1 through Line 11) .....	2,272,887,788	0	2,272,887,788	2,166,962,494
13. Title plants less \$ 0 charged off (for Title insurers only) .....	0	0	0	0
14. Investment income due and accrued .....	17,442,019	0	17,442,019	19,695,136
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	55,802,970	6,628,042	49,174,928	48,019,450
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ 1,140,588 earned but unbilled premiums) .....	322,778,865	114,061	322,664,804	301,941,448
15.3 Accrued retrospective premiums (\$ 0 ) and contracts subject to redetermination (\$ 0 ) .....	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	0	0	0	0
16.2 Funds held by or deposited with reinsured companies .....	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts .....	0	0	0	0
17. Amounts receivable relating to uninsured plans .....	0	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon .....	8,893,382	0	8,893,382	0
18.2 Net deferred tax asset .....	0	0	0	0
19. Guaranty funds receivable or on deposit .....	0	0	0	0
20. Electronic data processing equipment and software .....	33,883,799	33,883,799	0	0
21. Furniture and equipment, including health care delivery assets (\$ 0 ) .....	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates .....	80,521,871	0	80,521,871	63,938,880
24. Health care (\$ 0 ) and other amounts receivable .....	0	0	0	0
25. Aggregate write-ins for other-than-invested assets .....	150,216,190	0	150,216,190	139,012,120
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Line 12 to Line 25) .....	2,942,426,884	40,625,902	2,901,800,982	2,739,569,528
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0	0
28. Totals (Line 26 and Line 27) .....	2,942,426,884	40,625,902	2,901,800,982	2,739,569,528
<b>DETAILS OF WRITE-INS</b>				
1101 .....	0	0	0	0
1102 .....	0	0	0	0
1103 .....	0	0	0	0
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Line 1101 through Line 1103 plus Line 1198) (Line 11 above) .....	0	0	0	0
2501. COLI CSV .....	150,216,190	0	150,216,190	139,012,120
2502 .....	0	0	0	0
2503 .....	0	0	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Line 2501 through Line 2503 plus Line 2598) (Line 25 above) .....	150,216,190	0	150,216,190	139,012,120

**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ 269,310,353 )	738,222,157	665,868,619
2. Reinsurance payable on paid losses and loss adjustment expenses	0	0
3. Loss adjustment expenses	216,164,571	211,867,576
4. Commissions payable, contingent commissions and other similar charges	60,283,476	66,205,432
5. Other expenses (excluding taxes, licenses and fees)	15,674,120	27,931,202
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	13,938,578	14,547,999
7.1 Current federal and foreign income taxes (including \$ 0 on realized capital gains (losses))	0	1,951,003
7.2 Net deferred tax liability	39,775,309	24,872,589
8. Borrowed money \$ 70,200,000 and interest thereon \$ 0	70,200,000	65,908,202
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ 584,144,919 and including warranty reserves of \$ 0 and accrued accident and health experience rating refunds including \$ 0 for medical loss ratio rebate per the Public Health Service Act)	514,126,583	479,063,217
10. Advance premium	0	0
11. Dividends declared and unpaid:		
11.1 Stockholders	0	0
11.2 Policyholders	0	0
12. Ceded reinsurance premiums payable (net of ceding commissions)	813,791	3,032,848
13. Funds held by company under reinsurance treaties	0	0
14. Amounts withheld or retained by company for account of others	0	0
15. Remittances and items not allocated	0	0
16. Provision for reinsurance (including \$ 0 certified)	0	18,429
17. Net adjustments in assets and liabilities due to foreign exchange rates	0	0
18. Drafts outstanding	0	0
19. Payable to parent, subsidiaries and affiliates	0	0
20. Derivatives	0	0
21. Payable for securities	0	1,005,820
22. Payable for securities lending	0	0
23. Liability for amounts held under uninsured plans	0	0
24. Capital notes \$ 0 and interest thereon \$ 0	0	0
25. Aggregate write-ins for liabilities	0	0
26. Total liabilities excluding protected cell liabilities (Line 1 through Line 25)	1,669,198,585	1,562,272,936
27. Protected cell liabilities	0	0
28. Total liabilities (Line 26 and Line 27)	1,669,198,585	1,562,272,936
29. Aggregate write-ins for special surplus funds	280,878,501	224,564,966
30. Common capital stock	8,220,000	8,220,000
31. Preferred capital stock	0	0
32. Aggregate write-ins for other than special surplus funds	0	0
33. Surplus notes	0	0
34. Gross paid in and contributed surplus	67,267,015	67,267,015
35. Unassigned funds (surplus)	876,236,881	877,244,611
36. Less treasury stock, at cost:		
36.1 0 shares common (value included in Line 30 \$ 0 )	0	0
36.2 0 shares preferred (value included in Line 31 \$ 0 )	0	0
37. Surplus as regards policyholders (Line 29 through Line 35, less Line 36)	1,232,602,397	1,177,296,592
38. Totals (Page 2, Line 28, Column 3)	2,901,800,982	2,739,569,528
<b>DETAILS OF WRITE-INS</b>		
2501	0	0
2502	0	0
2503	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Line 2501 through Line 2503 plus Line 2598) (Line 25 above)	0	0
2901. General voluntary reserve	280,878,501	224,564,966
2902	0	0
2903	0	0
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0
2999. Totals (Line 2901 through Line 2903 plus Line 2998) (Line 29 above)	280,878,501	224,564,966
3201	0	0
3202	0	0
3203	0	0
3298. Summary of remaining write-ins for Line 32 from overflow page	0	0
3299. Totals (Line 3201 through Line 3203 plus Line 3298) (Line 32 above)	0	0

## STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct (written \$ 930,216,590 )	927,315,929	954,865,364	1,269,724,007
1.2 Assumed (written \$ 775,293,545 )	740,253,885	722,575,714	967,521,211
1.3 Ceded (written \$ 931,821,297 )	928,944,343	956,934,464	1,272,452,710
1.4 Net (written \$ 773,688,838 )	738,625,471	720,506,614	964,792,508
DEDUCTIONS:			
2. Losses incurred (current accident year \$ 455,485,634 ):			
2.1 Direct	542,204,173	518,506,115	673,756,921
2.2 Assumed	451,479,954	369,375,552	493,349,350
2.3 Ceded	543,331,628	519,574,998	675,177,647
2.4 Net	450,352,499	368,306,669	491,928,624
3. Loss adjustment expenses incurred	93,023,353	84,988,185	109,347,546
4. Other underwriting expenses incurred	269,026,762	260,221,678	349,263,788
5. Aggregate write-ins for underwriting deductions	0	0	0
6. Total underwriting deductions (Line 2 through Line 5)	812,402,614	713,516,532	950,539,958
7. Net income of protected cells	0	0	0
8. Net underwriting gain (loss) (Line 1 minus Line 6 plus Line 7)	(73,777,143)	6,990,082	14,252,550
<b>INVESTMENT INCOME</b>			
9. Net investment income earned	54,984,600	54,594,287	76,117,652
10. Net realized capital gains (losses) less capital gains tax of \$ 4,188,187	7,702,894	(788,825)	5,991,117
11. Net investment gain (loss) (Line 9 plus Line 10)	62,687,494	53,805,462	82,108,769
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ 2,972,860 amount charged off \$ 3,928,571 )	(955,711)	(689,145)	(926,425)
13. Finance and service charges not included in premiums	1,813,904	2,018,057	2,672,878
14. Aggregate write-ins for miscellaneous income	11,216,108	10,829,761	12,751,870
15. Total other income (Line 12 through Line 14)	12,074,301	12,158,673	14,498,323
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 8 plus Line 11 plus Line 15)	984,652	72,954,217	110,859,642
17. Dividends to policyholders	1,643,739	1,444,214	1,660,219
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	(659,087)	71,510,003	109,199,423
19. Federal and foreign income taxes incurred	(5,101,690)	21,400,116	26,897,174
20. Net income (Line 18 minus Line 19) (to Line 22)	4,442,603	50,109,887	82,302,249
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year	1,177,296,592	1,092,439,014	1,092,439,014
22. Net income (from Line 20)	4,442,603	50,109,887	82,302,249
23. Net transfers (to) from Protected Cell accounts	0	0	0
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$ 19,683,429	36,630,107	31,661,432	33,976,580
25. Change in net unrealized foreign exchange capital gain (loss)	0	0	0
26. Change in net deferred income tax	4,780,709	3,246,367	(1,561,021)
27. Change in nonadmitted assets	9,433,957	3,942,972	7,908,199
28. Change in provision for reinsurance	18,429	0	(18,429)
29. Change in surplus notes	0	0	0
30. Surplus (contributed to) withdrawn from protected cells	0	0	0
31. Cumulative effect of changes in accounting principles	0	0	0
32. Capital changes:			
32.1 Paid in	0	0	0
32.2 Transferred from surplus (Stock Dividend)	0	0	0
32.3 Transferred to surplus	0	0	0
33. Surplus adjustments:			
33.1 Paid in	0	0	0
33.2 Transferred to capital (Stock Dividend)	0	0	0
33.3 Transferred from capital	0	0	0
34. Net remittances from or (to) Home Office	0	0	0
35. Dividends to stockholders	0	(37,750,000)	(37,750,000)
36. Change in treasury stock	0	0	0
37. Aggregate write-ins for gains and losses in surplus	0	0	0
38. Change in surplus as regards policyholders (Line 22 through Line 37)	55,305,805	51,210,658	84,857,578
39. Surplus as regards policyholders, as of statement date (Line 21 plus Line 38)	1,232,602,397	1,143,649,672	1,177,296,592
<b>DETAILS OF WRITE-INS</b>			
0501.	0	0	0
0502.	0	0	0
0503.	0	0	0
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599. TOTALS (Line 0501 through Line 0503 plus Line 0598) (Line 5 above)	0	0	0
1401. COLI CSV	11,204,072	10,825,667	12,747,776
1402. Net other interest income	12,036	4,094	4,094
1403.	0	0	0
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499. TOTALS (Line 1401 through Line 1403 plus Line 1498) (Line 14 above)	11,216,108	10,829,761	12,751,870
3701.	0	0	0
3702.	0	0	0
3703.	0	0	0
3798. Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799. TOTALS (Line 3701 through Line 3703 plus Line 3798) (Line 37 above)	0	0	0

## CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	748,212,933	716,244,946	967,475,393
2. Net investment income .....	73,548,627	73,520,145	99,050,953
3. Miscellaneous income .....	12,074,300	12,158,675	14,498,323
4. Total (Line 1 through Line 3) .....	833,835,860	801,923,766	1,081,024,669
5. Benefit and loss related payments .....	377,998,960	340,310,405	449,482,233
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	376,459,757	355,285,947	459,821,596
8. Dividends paid to policyholders .....	1,643,739	1,429,487	1,660,219
9. Federal and foreign income taxes paid (recovered) net of \$ ..... 4,188,187 tax on capital gains (losses) .....	9,930,882	28,735,358	30,524,031
10. Total (Line 5 through Line 9) .....	766,033,338	725,761,197	941,488,079
11. Net cash from operations (Line 4 minus Line 10) .....	67,802,522	76,162,569	139,536,590
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	153,776,675	73,494,825	110,447,354
12.2 Stocks .....	42,579,980	57,708,462	110,950,015
12.3 Mortgage loans .....	0	0	0
12.4 Real estate .....	0	206,956	206,956
12.5 Other invested assets .....	18,334,187	11,575,191	24,341,203
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	0	0	0
12.7 Miscellaneous proceeds .....	0	4,985,464	771,291
12.8 Total investment proceeds (Line 12.1 through Line 12.7) .....	214,690,842	147,970,898	246,716,819
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	164,310,273	107,085,485	180,270,038
13.2 Stocks .....	39,658,990	24,801,482	72,172,004
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	234,496	234,496
13.5 Other invested assets .....	51,379,641	35,849,283	65,039,108
13.6 Miscellaneous applications .....	5,086,154	0	0
13.7 Total investments acquired (Line 13.1 through Line 13.6) .....	260,435,058	167,970,746	317,715,646
14. Net increase or (decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14) .....	(45,744,216)	(19,999,848)	(70,998,827)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	4,291,798	(6,207,215)	(12,599,013)
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	37,750,000	37,750,000
16.6 Other cash provided (applied) .....	(16,975,090)	(6,070,323)	(18,877,410)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	(12,683,292)	(50,027,538)	(69,226,423)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17) .....	9,375,014	6,135,183	(688,660)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	2,539,706	3,228,366	3,228,366
19.2 End of period (Line 18 plus Line 19.1) .....	11,914,720	9,363,549	2,539,706

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001 .....	0	0	0
20.0002 .....	0	0	0
20.0003 .....	0	0	0
20.0004 .....	0	0	0
20.0005 .....	0	0	0
20.0006 .....	0	0	0
20.0007 .....	0	0	0
20.0008 .....	0	0	0
20.0009 .....	0	0	0
20.0010 .....	0	0	0

**NOTES TO FINANCIAL STATEMENTS****General Notes**

## 1. Summary of Significant Accounting Policies and Going Concern -

## A. Accounting Practices

The financial statements of Westfield Insurance Company (the Company) are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance.

The Ohio Department of Insurance recognizes only statutory accounting practices (SAP) prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance law. The National Association of Insurance Commissioners' (NAIC) *Accounting Practices and Procedures Manual* (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Ohio.

The Company has not implemented any prescribed or permitted accounting practices by the State of Ohio that differ from those found in NAIC SAP.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below:

	SSAP #	F/S Page	F/S Line #	9/30/2017	12/31/2016
NET INCOME					
(1) Westfield Insurance Company state basis (Page 4, Line 20, Columns 1 & 3)	XXX	XXX	XXX	\$ 4,442,603	\$ 82,302,249
(2) State Prescribed Practices that increase/ (decrease) NAIC SAP				0	0
(3) State Permitted Practices that increase/ (decrease) NAIC SAP				0	0
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	<u>\$ 4,442,603</u>	<u>\$ 82,302,249</u>
SURPLUS					
(5) Westfield Insurance Company state basis (Page 3, Line 37, Columns 1 & 2)	XXX	XXX	XXX	\$ 1,232,602,397	\$ 1,177,296,592
(6) State Prescribed Practices that increase/ (decrease) NAIC SAP				0	0
(7) State Permitted Practices that increase/ (decrease) NAIC SAP				0	0
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	<u>\$ 1,232,602,397</u>	<u>\$ 1,177,296,592</u>

## B. Use of Estimates in the Preparation of the Financial Statements- No significant change

## C. Accounting Policy

Premiums are earned over the terms of the related insurance policies and reinsurance contracts. Unearned premium reserves are established to cover the unexpired portion of premiums written. Such reserves are computed by pro rata methods for direct business and are based on reports received from ceding companies for reinsurance.

Expenses incurred in connection with acquiring new insurance business, including such acquisition costs as sales commissions, are charged to operations as incurred. Expenses incurred are reduced for ceding allowances received or receivable.

In addition, the Company uses the following accounting policies:

- (1-5) No significant change
- (6) Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair market value. The retrospective adjustment method is used to value all securities. If a security has been written down due to an other-than-temporary impairment, the prospective adjustment method is used subsequent to the loss recognition in accordance with SSAP No. 43R.
- (7-13) No significant change

## D. Going Concern

Management continuously monitors the Company's financial results and compliance with regulatory requirements and finds no reason to expect the Company to not continue as a going concern.

## 2. Accounting Changes and Corrections of Errors- No significant change

## 3. Business Combinations and Goodwill- Not applicable

## 4. Discontinued Operations- No significant change

## 5. Investments-

## A. through C. - No significant change

## D. Loan-Backed Securities

- (1) Prepayment assumptions for single class and multi class mortgage-backed/asset-backed securities were obtained from broker dealer survey values or internal estimates. The Company used Interactive Data Corp. in determining the market value of its loan-backed securities.
- (2-3) No other-than-temporary impairments have been recognized on loan-backed securities.
- (4) Impaired loan-backed securities for which an other-than-temporary impairment has not been recognized as of September 30, 2017 are summarized below:

	Less than 12 Months		12 Months or Longer		Total	
	Fair Value	Unrealized Losses	Fair Value	Unrealized Losses	Fair Value	Unrealized Losses
	\$ 70,428,384	\$ (731,212)	\$ 37,023,818	\$ (2,066,051)	\$ 107,452,202	\$ (2,797,263)

- (5) In concluding that the impairments are not other-than-temporary, the Company has considered the following general categories of information:

- Length of time and extent to which the fair value has been less than cost
- Issuer credit quality
- Industry sector considerations
- General interest rate environment
- Probability of collecting future cash flows

## E. Repurchase Agreements and/or Securities Lending Transactions

The Company does not have any investments in repurchase agreements or securities lending.

## F. through H. - No significant change

## I. Working Capital Finance Investments

The Company does not hold any working capital finance investments.

## J. Offsetting and Netting of Assets and Liabilities

The Company does not hold any investments involving offsetting and netting of assets and liabilities.

## K. through L. - No significant change

## M. Short Sales- Not applicable

## N. Prepayment Penalties and Acceleration Fees- Not applicable

## NOTES TO FINANCIAL STATEMENTS

6. Joint Ventures, Partnerships and Limited Liability Companies:
  - A. The Company has no investments in Joint Ventures, Partnerships or Limited Liability Companies that exceed 10% of its admitted assets.
  - B. The Company recognized an impairment of \$235,058 on its investment in GS Mezzanine Partners V, LP. The impairment amount recognized represents the Company's pro rata share of an underlying investment which the partnership has written down.  
The Company recognized an impairment of \$299,811 on its investment in Midwest Fund V SBIC, LP. The impairment amount recognized represents the Company's pro rata share of an underlying investment which the partnership has written down.  
The Company recognized an impairment of \$71,671 on its investment in IDG Ventures USA III, LP. The impairment amount recognized represents the Company's pro rata share of three underlying investments which the partnership has written down.
7. Investment Income- No significant change
8. Derivative Instruments- No significant change

## NOTES TO FINANCIAL STATEMENTS

## 9. Income Taxes-

A The components of the net deferred tax assets/ (liability) for the current reporting period are as follows:

1

- (a) Gross deferred tax assets
- (b) Statutory valuation allowance adjustment
- (c) Adjusted gross deferred tax assets (1a-1b)
- (d) Deferred tax assets nonadmitted
- (e) Subtotal net admitted deferred tax asset (1c-1d)
- (f) Deferred tax liabilities
- (g) Net admitted deferred tax asset/ (Net admitted deferred tax liability) (1e-1f)

9/30/2017		
(1) Ordinary	(2) Capital	(3) (Col 1 + 2) Total
\$ 94,466,850	\$ 15,490,432	\$ 109,957,282
0	0	0
94,466,850	15,490,432	109,957,282
0	0	0
94,466,850	15,490,432	109,957,282
45,625,728	104,106,863	149,732,591
\$ 48,841,122	\$ (88,616,431)	\$ (39,775,309)

- (a) Gross deferred tax assets
- (b) Statutory valuation allowance adjustment
- (c) Adjusted gross deferred tax assets (1a-1b)
- (d) Deferred tax assets nonadmitted
- (e) Subtotal net admitted deferred tax asset (1c-1d)
- (f) Deferred tax liabilities
- (g) Net admitted deferred tax asset/ (Net admitted deferred tax liability) (1e-1f)

12/31/2016		
(4) Ordinary	(5) Capital	(6) (Col 4 + 5) Total
\$ 88,197,541	\$ 13,993,731	\$ 102,191,272
0	0	0
88,197,541	13,993,731	102,191,272
0	0	0
88,197,541	13,993,731	102,191,272
44,160,445	82,903,416	127,063,861
\$ 44,037,096	\$ (68,909,685)	\$ (24,872,589)

- (a) Gross deferred tax assets
- (b) Statutory valuation allowance adjustment
- (c) Adjusted gross deferred tax assets (1a-1b)
- (d) Deferred tax assets nonadmitted
- (e) Subtotal net admitted deferred tax asset (1c-1d)
- (f) Deferred tax liabilities
- (g) Net admitted deferred tax asset/ (Net admitted deferred tax liability) (1e-1f)

Change		
(7) (Col 1 - 4) Ordinary	(8) (Col 2 - 5) Capital	(9) (Col 7 + 8) Total
\$ 6,269,309	\$ 1,496,701	\$ 7,766,010
0	0	0
6,269,309	1,496,701	7,766,010
0	0	0
6,269,309	1,496,701	7,766,010
1,465,283	21,203,447	22,668,730
\$ 4,804,026	\$ (19,706,746)	\$ (14,902,720)

2 Admission calculation components SSAP No. 101:

- (a) Federal income taxes paid in prior years recoverable through loss carrybacks
- (b) Adjusted gross DTA expected to be realized (excluding the amount of DTA from 2 (a) above) after application of the threshold limitation (lesser of 2 (b) 1 and 2 (b) 2 below)
  - 1 Adjusted gross DTA expected to be realized following BS date
  - 2 Adjusted gross DTA allowed per limitation threshold
- (c) Adjusted gross DTA (excluding the amount of DTA from 2 (a) and 2 (b) above) offset by gross DTL
- (d) Deferred tax assets admitted as the result of application of SSAP No. 101.

Total (2 (a)+2 (b)+2 (c))

9/30/2017		
(1) Ordinary	(2) Capital	(3) (Col 1 + 2) Total
\$ 27,904,466	\$ 4,639,046	\$ 32,543,512
13,043,521	0	13,043,521
13,043,521	0	13,043,521
XXX	XXX	184,890,360
53,518,863	10,851,386	64,370,249
\$ 94,466,850	\$ 15,490,432	\$ 109,957,282

- (a) Federal income taxes paid in prior years recoverable through loss carrybacks
- (b) Adjusted gross DTA expected to be realized (excluding the amount of DTA from 2 (a) above) after application of the threshold limitation (lesser of 2 (b) 1 and 2 (b) 2 below)
  - 1 Adjusted gross DTA expected to be realized following BS date
  - 2 Adjusted gross DTA allowed per limitation threshold
- (c) Adjusted gross DTA (excluding the amount of DTA from 2 (a) and 2 (b) above) offset by gross DTL
- (d) Deferred tax assets admitted as the result of application of SSAP No. 101.

Total (2 (a)+2 (b)+2 (c))

12/31/2016		
(4) Ordinary	(5) Capital	(6) (Col 4 + 5) Total
\$ 67,456,768	\$ 3,936,033	\$ 71,392,801
3,116,437	0	3,116,437
3,116,437	0	3,116,437
XXX	XXX	176,594,489
17,624,336	10,057,698	27,682,034
\$ 88,197,541	\$ 13,993,731	\$ 102,191,272

- (a) Federal income taxes paid in prior years recoverable through loss carrybacks
- (b) Adjusted gross DTA expected to be realized (excluding the amount of DTA from 2 (a) above) after application of the threshold limitation (lesser of 2 (b) 1 and 2 (b) 2 below)
  - 1 Adjusted gross DTA expected to be realized following BS date
  - 2 Adjusted gross DTA allowed per limitation threshold
- (c) Adjusted gross DTA (excluding the amount of DTA from 2 (a) and 2 (b) above) offset by gross DTL
- (d) Deferred tax assets admitted as the result of application of SSAP No. 101.

Total (2 (a)+2 (b)+2 (c))

Change		
(7) (Col 1 - 4) Ordinary	(8) (Col 2 - 5) Capital	(9) (Col 7 + 8) Total
\$ (39,552,302)	\$ 703,013	\$ (38,849,289)
9,927,084	0	9,927,084
9,927,084	0	9,927,084
XXX	XXX	8,295,871
35,894,527	793,688	36,688,215
\$ 6,269,309	\$ 1,496,701	\$ 7,766,010

## NOTES TO FINANCIAL STATEMENTS

		2017	2016
3	(a) Ratio percentage used to determine recovery period and threshold limitation amount	964.9%	921.6%
	(b) Amount of adjusted capital and surplus used to determine recovery period and threshold limitation in 2 (b) 2 above	\$ 1,232,602,397	\$ 1,177,296,592

## 4 Impact of tax planning strategies

(a) Determination of adjusted gross deferred tax assets and net admitted deferred tax assets, by tax character as a percentage

	9/30/2017		
	(1) Ordinary Percent	(2) Capital Percent	(3) (Col 1 + 2) Total Percent
1	\$ 94,466,850	\$ 15,490,432	\$ 109,957,282
2	0.0%	0.0%	0.0%
3	\$ 94,466,850	\$ 15,490,432	\$ 109,957,282
4	0.0%	0.0%	0.0%

	12/31/2016		
	(4) Ordinary Percent	(5) Capital Percent	(6) (Col 4 + 5) Total Percent
1	\$ 88,197,541	\$ 13,993,731	\$ 102,191,272
2	0.0%	0.0%	0.0%
3	\$ 88,197,541	\$ 13,993,731	\$ 102,191,272
4	0.0%	0.0%	0.0%

	Change		
	(7) (Col 1 - 4) Ordinary Percent	(8) (Col 2 - 5) Capital Percent	(9) (Col 7 + 8) Total Percent
1	\$ 6,269,309	\$ 1,496,701	\$ 7,766,010
2	0.0%	0.0%	0.0%
3	\$ 6,269,309	\$ 1,496,701	\$ 7,766,010
4	0.0%	0.0%	0.0%

(b) Does the Company's tax planning strategies include the use of reinsurance? Yes \_\_\_\_\_ No X \_\_\_\_\_

## B Deferred tax liabilities that are not recognized:

There are no temporary differences for which deferred tax liabilities are not recognized.

## C

1 Current income taxes incurred consist of the following major components:

- Federal
- Foreign
- Subtotal
- Federal income tax on net capital gain
- Utilization of capital loss carryforward
- Other
- Federal and foreign income taxes incurred

(1) 9/30/2017	(2) 12/31/2016	(Col 1 - 2) Change
\$ (2,291,773)	\$ 29,936,917	\$ (32,228,690)
85,164	107,160	(21,996)
(2,206,609)	30,044,077	(32,250,686)
(4,188,187)	(3,473,011)	(715,176)
0	0	0
1,293,106	326,108	966,998
<u>\$ (5,101,690)</u>	<u>\$ 26,897,174</u>	<u>\$ (31,998,864)</u>

2 The tax effects of temporary differences that give rise to significant portions of the deferred tax assets and deferred tax liabilities are as follows:

	(1) 9/30/2017	(2) 12/31/2016	(Col 1 - 2) Change
Deferred tax assets:			
(a) Ordinary deferred tax assets:			
1 Discounting of Unpaid Losses	\$ 10,041,885	\$ 10,404,660	\$ (362,775)
2 Unearned premium reserve	36,451,201	34,610,375	1,840,826
3 Investments	14,525	14,525	0
4 Guarantee fund accrual	0	0	0
5 Salvage and subrogation	7,186,535	6,731,047	455,488
6 Fixed assets	29,811,471	25,493,671	4,317,800
7 Compensation and Benefits Accrual	0	0	0
8 Pension accrual	10,910,071	10,877,408	32,663
9 Other assets (including item <5% of total)	51,162	65,855	(14,693)
(99) Subtotal	94,466,850	88,197,541	6,269,309
(b) Statutory valuation allowance adjustment	0	0	0
(c) Nonadmitted ordinary deferred tax assets	0	0	0
(d) Admitted ordinary deferred tax assets (2a99-2b-2c)	94,466,850	88,197,541	6,269,309
(e) Capital deferred tax assets:			
1 Investments	12,057,096	11,175,221	881,875
2 Net capital loss carryforward	0	0	0
3 Fixed assets	0	0	0
4 Other assets (including item <5% of total)	3,433,336	2,818,510	614,826
(99) Subtotal	15,490,432	13,993,731	1,496,701
(f) Statutory valuation allowance adjustment	0	0	0
(g) Nonadmitted capital deferred tax assets	0	0	0
(h) Admitted capital deferred tax assets (2e99 - 2f - 2g)	15,490,432	13,993,731	1,496,701
(i) Admitted deferred tax assets (2d + 2h)	\$ 109,957,282	\$ 102,191,272	\$ 7,766,010

## NOTES TO FINANCIAL STATEMENTS

3	Deferred tax liabilities:				
	(a) Ordinary deferred tax liabilities				
1	Investments	\$ 84,217	\$ 153,780	\$ (69,563)	
2	Fixed assets	37,779,536	37,779,536	0	
3	Deferred and uncollected premiums	6,541,974	4,856,841	1,685,133	
4	Deferred compensation	0	0	0	
5	Other liabilities (including item <5% of total)	1,220,001	1,370,288	(150,287)	
	(99) Subtotal	45,625,728	44,160,445	1,465,283	
	(b) Capital deferred tax liabilities				
1	Unrealized gain/ (losses)	100,718,617	81,035,188	19,683,429	
2	Investments	3,388,246	1,868,228	1,520,018	
3	Real estate	0	0	0	
4	Other liabilities (including item <5% of total)	0	0	0	
	(99) Subtotal	104,106,863	82,903,416	21,203,447	
	(c) Deferred tax liabilities (3a99 + 3b99)	\$ 149,732,591	\$ 127,063,861	\$ 22,668,730	
4	Net admitted deferred tax asset/ (liability) (2i - 3c)	\$ (39,775,309)	\$ (24,872,589)	\$ (14,902,720)	

5 The change in deferred income taxes reported in surplus before consideration of nonadmitted assets is comprised of the following components:

	9/30/2017	12/31/2016	Change
Net deferred tax asset (liability)	\$ (39,775,309)	\$ (24,872,589)	\$ (14,902,720)
Tax-effect of unrealized gains and losses	(100,718,617)	(81,035,188)	(19,683,429)
Net tax effect without unrealized gains and losses	\$ 60,943,308	\$ 56,162,599	\$ 4,780,709
Change in deferred income tax			\$ 4,780,709

D The Company's income tax incurred and change in deferred income tax differs from the amount obtained by applying the federal statutory rate of 35% to income before income taxes as follows:

	9/30/2017	12/31/2016
1 Income taxes incurred, gross of capital gains tax (benefit)	\$ (913,503)	\$ 30,370,185
2 Change in deferred income tax (without tax on unrealized gains and losses)	(4,780,709)	1,561,021
3 Total income tax reported	\$ (5,694,212)	\$ 31,931,206
4 Statutory income before taxes, gross of capital gains tax (benefit)	3,529,100	112,672,434
5 Expected income tax expense (benefit) at 35% statutory rate	35%	35%
6 Increase (decrease) in actual tax reported resulting from:		
a. Dividend received deduction	\$ (2,062,969)	\$ (2,774,957)
b. Nondeductible expenses for meals, penalties, and lobbying	268,511	446,821
c. Tax exempt income	(6,156,752)	(6,876,994)
d. Prior period adjustment	1,498,467	432,904
e. Deferred tax benefit (expense) on nonadmitted assets	(1,148,436)	881,630
f. Deferred tax benefit (expense) on retirement liability	0	0
g. Appreciation on donated property	0	0
h. IRC 832 (b) (5) adjustment	644,744	764,911
i. Other	27,038	(378,461)
7 Total federal income tax reported	\$ (5,694,212)	\$ 31,931,206

E Operating loss carryforward

1 As of the end of the current period, there are no operating loss or tax credit carryforwards available for tax purposes.

2 The amount of federal income taxes incurred that are available for recoupment in the event of future net losses are:

	Ordinary	Capital	Total
2017	\$ 0	\$ 4,188,187	\$ 4,188,187
2016	\$ 27,904,466	\$ 3,325,558	\$ 31,230,024
2015	\$ 0	\$ 0	\$ 0

3 The Company did not have any protective tax deposits under Section 6603 of the Internal Revenue Code.

F Consolidated Federal Income Tax Return

1 The Company's federal income tax return is consolidated with its affiliates. Ohio Farmers Insurance Company is the parent company of the consolidated return. The following subsidiaries will be included in the consolidated federal income tax return:

Westfield Insurance Company	Westfield Services, Inc.
Westfield National Insurance Company	Westfield Bancorp, Inc.
American Select Insurance Company	Westfield Bank, FSB
Old Guard Insurance Company	Westfield Credit Corp.
Westfield Management Company	COIN Financial, Inc.

2 Each company in the consolidation has agreed to share any tax or recovery of tax based on their individual taxable income or loss. Each company's current taxable income or loss will be adjusted by any prior taxable income or loss which can be carried forward to the current year.

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties-

A. The Company is owned and operated by its parent company, Ohio Farmers Insurance Company.

B. On June 14, 2016, Westfield Bancorp, Inc. redeemed its 18,875 shares of preferred stock held by the Company for \$37,750,000.

On June 14, 2016, the Company paid a common stock dividend to its parent, Ohio Farmers Insurance Company, in the amount of \$37,750,000.

On June 9, 2016, the Company and Westfield Bank FSB executed a fixed term loan participation agreement in the amount of \$9,798,806. As the named participant, the Company's percentage of participation interest was 89.1% of the total loan amount of \$10,998,806. The Company may sell, transfer, encumber or assign any of its rights or responsibilities under the agreement without the prior consent of Westfield Bank FSB. The outstanding balance of the Company's participation in the loan as of September 30, 2017 is \$8,789,163.

The Company received preferred dividend distributions from Westfield Bancorp, Inc. as follows:

June 13, 2016	\$ 93,858
March 17, 2016	165,645

C. The Company has made no changes in methods of establishing terms.

**NOTES TO FINANCIAL STATEMENTS**

D. Affiliated Balances due to the Company at 9/30/2017 and 12/31/2016 respectively were:

	9/30/2017	12/31/2016
Ohio Farmers Insurance Company	\$ 16,512,104	\$ 10,934,140
Westfield Credit Corp.*	64,009,767	53,004,740
Affiliated Receivable	\$ 80,521,871	\$ 63,938,880

\*Westfield Credit Corp. is not part of the intercompany pooling arrangement.

Every ninety (90) days the affiliated balances are reviewed and settled in either cash or the transfer of securities.

E. through N. - No significant change

11. Debt-

A. Holding Company Obligations- Not applicable

B. Federal Home Loan Bank Agreements (FHLB)

(1) The Company is a member of the Federal Home Loan Bank (FHLB) of Cincinnati. Through its membership, the Company has borrowed funds in the form of both revolving lines of credit and fixed term LIBOR based advance instruments. It is part of the Company's strategy to utilize these funds for general business purposes. The Company has determined the maximum borrowing capacity to be \$140,736,996 based on the FHLB Additional Borrowing Capacity statement published at the time of this report.

(2) FHLB Capital Stock

a. Aggregate Totals

1. Current Year

	1 Total 2+3	2 General Account	3 Protected Cell Accounts
(a) Membership Stock - Class A	\$ 0	\$ 0	0
(b) Membership Stock - Class B	3,287,483	3,287,483	0
(c) Activity Stock	2,572,617	2,572,617	0
(d) Excess Stock	0	0	0
(e) Aggregate Total (a+b+c+d)	5,860,100	5,860,100	0
(f) Actual or estimated Borrowing Capacity as Determined by the Insurer	139,417,008	XXX	XXX

2. Prior Year-end

	1 Total 2+3	2 General Account	3 Protected Cell Accounts
(a) Membership Stock - Class A	\$ 0	\$ 0	0
(b) Membership Stock - Class B	3,113,311	3,113,311	0
(c) Activity Stock	2,572,589	2,572,589	0
(d) Excess Stock	0	0	0
(e) Aggregate Total (a+b+c+d)	5,685,900	5,685,900	0
(f) Actual or estimated Borrowing Capacity as Determined by the Insurer	141,984,005	XXX	XXX

b. Membership Stock (Class A and B) Eligible for Redemption

Membership Stock	Current Year Total	Not Eligible for Redemption	Less Than 6 Months	6 months to Less Than 1 Year	1 to Less Than 3 Years	3 to 5 Years
1. Class A	\$ 0	\$ 0	0	0	0	0
2. Class B	3,287,483	3,287,483	0	0	0	0

**NOTES TO FINANCIAL STATEMENTS**

## (3) Collateral Pledged to FHLB

## a. Amount Pledged as of Reporting Date

## 1. Current Year Total General and Protected Cell Accounts

	Fair Value	Carrying Value	Aggregate Total Borrowing
Total Collateral Pledged	\$ 146,217,661	\$ 132,387,987	\$ 70,200,000

## 2. Current Year General Account

	Fair Value	Carrying Value	Aggregate Total Borrowing
Total Collateral Pledged	\$ 146,217,661	\$ 132,387,987	\$ 70,200,000

## 3. Current Year Protected Cell Accounts - Not applicable

## 4. Prior Year-end Total General and Protected Cell Accounts

	Fair Value	Carrying Value	Aggregate Total Borrowing
Total Collateral Pledged	\$ 148,882,968	\$ 133,857,257	\$ 65,900,000

## b. Maximum Amount Pledged During Reporting Period

## 1. Current Year Total General and Protected Cell Accounts

	Fair Value	Carrying Value	Amount Borrowed at Time of Maximum Collateral
Maximum Collateral Pledged	\$ 148,010,848	\$ 132,554,447	\$ 72,500,000

## 2. Current Year General Account

	Fair Value	Carrying Value	Amount Borrowed at Time of Maximum Collateral
Maximum Collateral Pledged	\$ 148,010,848	\$ 132,554,447	\$ 72,500,000

## 3. Current Year Protected Cell Accounts - Not applicable

## 4. Prior Year-end Total General and Protected Cell Accounts

	Fair Value	Carrying Value	Amount Borrowed at Time of Maximum Collateral
Maximum Collateral Pledged	\$ 180,581,585	\$ 143,595,000	\$ 78,500,000

## (4) Borrowing from FHLB

## a. Amount as of the Reporting Date

## 1. Current Year

	1 Total 2+3	2 General Account	3 Protected Cell Accounts	4 Funding Agreements Reserves Established
(a) Debt	\$ 70,200,000	\$ 70,200,000	\$ 0	XXX
(b) Funding Agreements	0	0	0	0
(c) Other	0	0	0	XXX
(d) Aggregate Total (a+b+c)	70,200,000	70,200,000	0	0

## 2. Prior Year-end

	1 Total 2+3	2 General Account	3 Protected Cell Accounts	4 Funding Agreements Reserves Established
(a) Debt	\$ 65,900,000	\$ 65,900,000	\$ 0	XXX
(b) Funding Agreements	0	0	0	0
(c) Other	0	0	0	XXX
(d) Aggregate Total (a+b+c)	65,900,000	65,900,000	0	0

## b. Maximum Amount during Reporting Period (Current Year)

	1 Total 2+3	2 General Account	3 Protected Cell Accounts
1. Debt	\$ 77,100,000	\$ 77,100,000	\$ 0
2. Funding Agreements	0	0	0
3. Other	0	0	0
4. Aggregate Total (Lines 1+2+3)	77,100,000	77,100,000	0

Does the company have prepayment obligations under the following arrangements  
(YES/NO) ?

## c. FHLB - Prepayment Obligations

1. Debt No  
2. Funding Agreements Not applicable  
3. Other Not applicable

## NOTES TO FINANCIAL STATEMENTS

## 12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans-

## A. Defined Benefit Pension Plan and Postretirement (Other) Benefit Plans

The Company's parent, Ohio Farmers Insurance Company, sponsors a non-contributory defined benefit pension plan covering U. S. employees. As of September 30, 2017, there was accrued, in accordance with actuarially determined amounts with an offset to the pension cost accrual for the incremental asset amortization, amounts representing the present value of future benefit obligations. The following data is an update of certain information related to the Net Periodic Benefit Cost. There was no significant change in other data associated with this footnote:

## Components of net periodic benefit cost

	Pension Benefits		Postretirement Benefits	
	09/30/2017	09/30/2016	09/30/2017	09/30/2016
a. Service cost	\$ 11,552,048	\$ 9,548,779	\$ 638,556	\$ 609,500
b. Interest cost	14,390,374	14,103,399	1,362,831	1,335,841
c. Expected return on plan assets	(22,905,144)	(20,437,907)	(1,804,890)	(1,722,551)
d. Transition asset or obligation	0	0	0	0
e. Gains and losses	5,878,665	5,993,876	387,750	201,767
f. Prior service cost or credit	398,028	405,800	310,299	257,735
g. Gain or loss recognized due to a settlement or curtailment	0	0	0	0
h. Total net periodic benefit cost	\$ 9,313,971	\$ 9,613,947	\$ 894,546	\$ 682,292

On January 1, 2013, Ohio Farmers Insurance Company adopted SSAP No. 92, *Accounting for Postretirement Benefits Other Than Pensions* and SSAP No. 102, *Accounting for Pensions*. The standards require insurers to recognize the overfunded or underfunded status of a defined benefit postretirement plan as an asset or liability and include non-vested employees in determining the plan obligations. In addition, a sponsor's fiscal year end will be used as the measurement date for estimating the fair value of postretirement benefit assets and liabilities. The guidance contains a transition provision that gives insurers the option to recognize the initial impact to surplus over a period not to exceed 10 years. Ohio Farmers Insurance Company elected the transition option. Ohio Farmers Insurance Company recognized the remaining transition liability of \$0.7 million in 2016.

Ohio Farmers Insurance Company contributed \$16.7 million to its pension plan in March 2017. Ohio Farmers Insurance Company does not expect to make any additional contributions during the remainder of fiscal year 2017.

The Other Postretirement Benefits expense reflects the receipt of the government subsidy during the period that Ohio Farmers Insurance Company's plan benefits are expected to be considered actuarially equivalent to those provided by Medicare Part D.

- B. Plan asset information- No significant change
- C. Fair Value Measurements of Plan Assets- No significant change
- D. Long-Term Rates of Return- No significant change
- E. Defined Contribution Plan- No significant change
- F. Multiemployer Plans- Not applicable
- G. Consolidated/Holding Company Plans- Not applicable
- H. Post-employment Benefits and Compensated Absences- Not applicable
- I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17) - No significant change

## 13. Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations- No significant change

## 14. Liabilities, Contingencies and Assessments-

- A. Contingent Commitments- No significant change
- B. Assessments- No significant change
- C. Gain contingencies- Not applicable
- D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits

The Company paid the following amounts in the current year to settle claims related extra contractual obligations (ECO) or bad faith claims stemming from lawsuits:

	Direct
Claims related ECO and bad faith losses paid during the reporting period	\$ 2,875,000

The number of claims where amounts were paid to settle claims related to ECO or bad faith claims resulting from lawsuits during the reporting period were as follows:

(a) 0-25 Claims X	(b) 26-50 Claims	(c) 51-100 Claims	(d) 101-500 Claims	(e) More than 500 Claims

Indicate whether claim count information is disclosed per claim or per claimant.

(f) Per Claim [ X ] (g) Per Claimant [ ]

- E. Product Warranties- Not applicable
- F. Joint and Several Liabilities- Not applicable
- G. All Other Contingencies

Various lawsuits against the Company have arisen in the course of the Company's business. Contingent liabilities arising from litigation, income taxes, and other matters are not considered material in relation to the financial position of the Company. There are no contingent liabilities arising from litigation.

There has been no significant change in the collectability of the Company's accounts receivable for Agents Balances or Uncollected Premiums.

## 15. Leases- No significant change

## 16. Information about Financial Instruments with Off-Balance-Sheet Risk and Financial Instruments with Concentrations of Credit Risk- No significant change

## 17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities-

- A. Transfer of Receivables Reported as Sales
 

The Company has not sold or transferred any receivables to any other parties.
- B. Transfer and Servicing of Financial Assets- Not applicable
- C. Wash Sales
 

The Company did not have any wash sales involving transactions for securities with a NAIC designation of 3 or below.

## 18. Gain or Loss to the Reporting Entity from Uninsured A&amp;H Plans and the Uninsured Portion of Partially Insured Plans- Not applicable

## NOTES TO FINANCIAL STATEMENTS

19. Direct Premium Written / Produced by Managing General Agents / Third Party Administrators- Not applicable

20. Fair Value Measurements-

A. For assets that are measured and reported at fair value in the statement of financial position after initial recognition, the valuation techniques and the inputs used to develop those measurements are as follows:

Level 1 - Values are unadjusted quoted prices for identical assets and liabilities in active markets accessible at the measurement date.

Level 2 - Inputs include quoted prices for similar assets or liabilities in active markets, quoted prices from those willing to trade in markets that are not active, or other inputs that are observable or can be corroborated by market data for the term of the instrument. Such inputs include market interest rates and volatilities, spreads and yield curves.

Level 3 - Certain inputs are unobservable (supported by little or no market activity) and significant to the fair value measurement. Unobservable inputs reflect the Company's best estimate of what hypothetical market participants would use to determine a transaction price for the asset or liability at the reporting date.

The Company has no liabilities that are measured at fair value in the statement of financial position.

(1) Fair Value Measurements at September 30, 2017

Description	(Level 1)	(Level 2)	(Level 3)	Total
Assets at Fair Value:				
Common Stock	\$ 472,728,206	\$ 0	\$ 0	\$ 472,728,206
Industrial and Miscellaneous	158,070,836	0	0	158,070,836
Mutual Funds				
Total Common Stocks	\$ 630,799,042	\$ 0	\$ 0	\$ 630,799,042
Other Invested Assets				
Joint Venture, Ptr or LLC, char. of Com Stks - Unaffiliated	\$ 10,033,767	\$ 0	\$ 0	\$ 10,033,767
Total Other Invested Assets	\$ 10,033,767	\$ 0	\$ 0	\$ 10,033,767
Total Assets at Fair Value	\$ 640,832,809	\$ 0	\$ 0	\$ 640,832,809

(2) At September 30, 2017, the Company held no investments in assets or liabilities measured and reported at fair value that were classified as Level 3.

(3) The Company's policy for determining when transfers between levels is required is based upon change in the inputs used to determine fair value measurement. If an input changes, the Company evaluates the new input(s) and makes the determination whether or not a transfer between levels is appropriate. If an asset or liability is transferred between levels, it is the Company's policy to record the transfer as of the beginning of the quarter in which the transfer occurs. The Company held no assets or liabilities categorized as Level 1, 2 or 3 during the reporting period that were transferred into or out of the level categorization held at January 1, 2017.

(4) As of September 30, 2017, the Company held no investments in assets or liabilities measured and reported at fair value that were classified as Level 2 or Level 3. Historically, fair values in the Level 2 category are provided by independent pricing services. Where independent pricing services provide fair values, the Company has obtained an understanding of the methods, models and inputs used in pricing and has controls in place to validate that amounts provided represent current fair values. Estimated fair values of investments categorized as Level 3 generally include inputs for which no readily observable inputs are available and require management judgment.

(5) As of September 30, 2017, the Company had no holdings classified as either a derivative asset or liability.

B. Combining Fair Value Information- Not required

C. The method(s) and significant assumptions used to estimate the fair value of the financial instruments are as follows:

*Investment Securities* - Fair values for bonds are based on the values prescribed by an independent pricing service or from brokers. For bonds that are not actively traded, estimated fair values are based on values of bonds of comparable yield and credit quality. The fair values for common stocks are based on quoted market prices, where available, which are provided to the Company by an independent pricing service.

*Short-term investments, Receivables for securities, Uncollected premiums and agents' balances in the course of collection, Deferred premiums, agents' balances and installments booked but deferred and not yet due, and Borrowed money* - The carrying amounts reported as admitted assets or liabilities for these financial instruments approximate their fair values due to the short-term nature of these financial instruments. For long term borrowed funds, fair value is determined by termination value.

*Other Invested Assets* - The estimated fair value of publicly traded limited partnerships is based on the values prescribed by an independent pricing service.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets or Liabilities				Not Practicable (Carrying Value)
			(Level 1)	(Level 2)	(Level 3)	
a. Financial Assets:						
Bonds	\$ 1,503,776,675	\$ 1,418,023,451	\$ 229,538,972	\$ 1,267,078,584	\$ 7,159,119	\$ 0
Common stocks	630,799,042	630,799,042	630,799,042	0	0	0
Short-term investments	11,739,542	11,739,542	0	11,739,542	0	0
Other invested assets	10,033,767	10,033,767	10,033,767	0	0	0
Receivables for securities	4,088,583	4,088,583	0	4,088,583	0	0
Uncollected premiums and agents' balances in the course of collection	49,174,928	49,174,928	0	49,174,928	0	0
Deferred premiums, agents' balances and installments booked but deferred and not yet due	322,664,804	322,664,804	0	322,664,804	0	0
b. Financial Liabilities:						
Borrowed money	\$ 70,201,155	\$ 70,200,000	\$ 0	\$ 70,201,155	\$ 0	\$ 0

D. Fair Value Estimating- Not applicable

21. Other Items-

A. Unusual or Infrequent Items-

In August and September 2017, the Company recognized \$39,960,000 of assumed IBNR loss reserves as a result of several recent natural disasters. These events include Hurricane Harvey, Hurricane Irma, Hurricane Maria, and the Mexico Earthquake. This activity is reflected in Line 1 of the Liabilities, Surplus and Other Funds page and Line 2.2 of the Statement of Income.

B. Troubled Debt Restructuring: Debtors- Not applicable

C. Other Disclosures- Not applicable

D. Business Interruption Insurance Recoveries- No significant change

E. State Transferable and Non-transferable Tax Credits- No significant change

F. Subprime-Mortgage-Related Risk Exposure- No significant change

G. Insurance-Linked Securities (ILS) Contracts- Not applicable

**NOTES TO FINANCIAL STATEMENTS**

22. Events Subsequent-  
Subsequent events have been considered through October 15, 2017 for the statutory statements issued as of September 30, 2017. No events or transactions have occurred that would give rise to a Type I or Type II subsequent event.

**P & C Specific Notes**

23. Reinsurance- No significant change

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination- Not applicable

25. Changes in Incurred Losses and Loss Adjustment Expenses-  
Reserves as of December 31, 2016 were \$877.7 million. In calendar year 2017, \$239.6 million has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$636.9 million. Therefore, there has been a \$1.2 million favorable prior-year development from December 31, 2016 to September 30, 2017. The favorable development is principally from decreases in the estimates of loss and loss adjustment expenses for the following lines of business: Fidelity/Surety and Auto Physical Damage. This change is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. The estimates are not affected by prior year loss development on retrospectively rated policies, as the Company does not write this type of policy.

26. Intercompany Pooling Arrangements-  
A. through F. - No significant change

G. Affiliated Balances due to the Company at 9/30/2017 and 12/31/2016 respectively were:

	9/30/2017	12/31/2016
Ohio Farmers Insurance Company*	\$ 16,512,104	\$ 10,934,140
Westfield Credit Corp.	64,009,767	53,004,740
Affiliated Receivable	\$ 80,521,871	\$ 63,938,880

\*Ohio Farmers Insurance Company is included in the intercompany pooling arrangement.

27. Structured Settlements- No significant change

28. Health Care Receivables- Not applicable

29. Participating Policies- Not applicable

30. Premium Deficiency Reserves- No significant change

31. High Deductibles- No significant change

32. Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses- No significant change

33. Asbestos/Environmental Reserves- No significant change

34. Subscriber Savings Accounts- Not applicable

35. Multiple Peril Crop Insurance- Not applicable

36. Financial Guaranty Insurance- Not applicable

**GENERAL INTERROGATORIES****PART 1 - COMMON INTERROGATORIES****GENERAL**

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes ( ) No (X)

1.2 If yes, has the report been filed with the domiciliary state? Yes ( ) No ( )

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes ( ) No (X)

2.2 If yes, date of change: .....

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes (X) No ( )

If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes ( ) No (X)

3.3 If the response to 3.2 is yes, provide a brief description of those changes. ....

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes ( ) No (X)

4.2 If the response to 4.1 is yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
---------------------	------------------------	------------------------

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes ( ) No ( ) N/A (X)

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2012

6.2 State as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2012

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 01/02/2014

6.4 By what department or departments?  
Ohio

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes ( ) No ( ) N/A (X)

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes ( ) No ( ) N/A (X)

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes ( ) No (X)

7.2 If yes, give full information  
.....

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes (X) No ( )

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.  
Ohio Farmers Insurance Company

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes (X) No ( )

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
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Ohio Farmers Insurance Company ..... Westfield Center, Ohio ..... Yes ..... No ..... No ..... No .....  
Westfield Bancorp, Inc. ..... Westfield Center, Ohio ..... Yes ..... No ..... No ..... No .....  
Westfield Bank, FSB ..... Westfield Center, Ohio ..... No ..... Yes ..... No ..... No ..... No .....

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes (X) No ( )

(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

(c) Compliance with applicable governmental laws, rules and regulations;

(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:  
.....

9.2 Has the code of ethics for senior managers been amended? Yes ( ) No (X)

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).  
.....

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes ( ) No (X)

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).  
.....

## GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

## FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes (X) No ( )  
 10.2 If yes, indicate the amounts receivable from parent included in the Page 2 amount: \$ ..... 16,512,104

## INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes ( ) No (X)

11.2 If yes, give full and complete information relating thereto:

.....

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ ..... 0

13. Amount of real estate and mortgages held in short-term investments: \$ ..... 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes ( ) No (X)

14.2 If yes, please complete the following:

	1 Prior Year-End Book/ Adjusted Carrying Value	2 Current Quarter Book/ Adjusted Carrying Value
14.21 Bonds .....	\$ ..... 0	\$ ..... 0
14.22 Preferred Stock .....	\$ ..... 0	\$ ..... 0
14.23 Common Stock .....	\$ ..... 0	\$ ..... 0
14.24 Short-Term Investments .....	\$ ..... 0	\$ ..... 0
14.25 Mortgage Loans on Real Estate .....	\$ ..... 0	\$ ..... 0
14.26 All Other .....	\$ ..... 0	\$ ..... 0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Line 14.21 to Line 14.26) .....	\$ ..... 0	\$ ..... 0
14.28 Total Investment in Parent included in Line 14.21 to Line 14.26 above .....	\$ ..... 0	\$ ..... 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes ( ) No (X)

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes ( ) No ( )

If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ 0

16.3 Total payable for securities lending reported on the liability page \$ 0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?

Yes (X) No ( )

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
---------------------------	------------------------

BNY Mellon ....., One Wall Street, New York, NY 10286 .....,  
 Federal Home Loan Bank Cincinnati ....., P.O. Box 598, Cincinnati, OH 45201 .....

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
--------------	------------------	------------------------------

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes ( ) No (X)

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
--------------------	--------------------	---------------------	-------------

17.5 Investment management - Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
---------------------------------	------------------

George Wiswesser .....,  
 Ronald Stephonic .....,  
 Krishna Patel .....,  
 Scott Richter .....,  
 Richard Nash .....,  
 Chris Giampietro .....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's assets? Yes ( ) No (X)

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes ( ) No (X)

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
--	------------------------------------	---------------------------------------	----------------------	---

## GENERAL INTERROGATORIES

### PART 1 - COMMON INTERROGATORIES

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18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed?

Yes (X) No ( )

18.2 If no, list exceptions:

.....

**GENERAL INTERROGATORIES****PART 2 - PROPERTY AND CASUALTY INTERROGATORIES**

1. If the reporting entity is a member of a pooling arrangement, did the agreement of the reporting entity's participation change?  
If yes, attach an explanation. Yes ( ) No (X) N/A ( )

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured?  
If yes, attach an explanation. Yes ( ) No (X)

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes ( ) No (X)

3.2 If yes, give full and complete information thereto

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves") discounted at a rate of interest greater than zero? Yes ( ) No (X)

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Discount Rate	TOTAL DISCOUNT				DISCOUNT TAKEN DURING PERIOD			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 TOTAL

5. Operating Percentages:

5.1 A&H loss percent ..... 0.000 %

5.2 A&H cost containment percent ..... 0.000 %

5.3 A&H expense percent excluding cost containment expenses ..... 0.000 %

6.1 Do you act as a custodian for health savings accounts? Yes ( ) No (X)

6.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ ..... 0

6.3 Do you act as an administrator for health savings accounts? Yes ( ) No (X)

6.4 If yes, please provide the balance of the funds administered as of the reporting date. \$ ..... 0

**SCHEDULE F - CEDED REINSURANCE**

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
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0199999 - Affiliates

0299999 - U. S. Insurers

0399999 - Pools and Associations

All Other Insurers

00000 ..... AA-1120181 ..... Lloyd's Syndicate Number 5886 ..... GBR ..... Authorized .....

0499999 - All Other Insurers

**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama	AL L	1,523,525	1,785,844	173,824	1,527,154	1,453,079	1,477,650
2. Alaska	AK L	4,478	2,301	0	0	258	101
3. Arizona	AZ L	20,574,996	21,136,122	7,524,799	10,502,553	32,578,072	27,471,078
4. Arkansas	AR L	916,693	1,172,567	95,226	40,137	234,521	275,078
5. California	CA N	0	0	0	780	418,506	418,506
6. Colorado	CO L	22,656,750	19,421,839	15,608,068	10,060,928	22,892,453	18,253,080
7. Connecticut	CT L	656,210	676,193	0	0	5,335	1,756
8. Delaware	DE L	7,709,144	8,656,914	4,949,305	4,078,767	13,695,268	14,671,129
9. District of Columbia	DC L	425,643	543,191	(12,304)	48,615	107,165	113,149
10. Florida	FL L	111,841,299	105,927,761	64,817,403	49,475,222	180,266,078	144,460,451
11. Georgia	GA L	46,773,482	46,636,655	38,018,555	30,170,502	66,525,599	67,255,774
12. Hawaii	HI L	1,750	1,925	0	0	312	102
13. Idaho	ID L	135,364	92,954	1,324	50,665	40,522	27,421
14. Illinois	IL L	64,617,368	69,709,143	35,738,727	37,305,915	97,841,553	96,058,904
15. Indiana	IN L	35,652,493	40,407,514	20,937,891	21,081,225	52,509,618	52,048,934
16. Iowa	IA L	16,138,715	15,377,912	9,447,864	6,086,384	19,224,798	17,868,717
17. Kansas	KS L	711,693	328,861	79,768	27,164	309,867	89,806
18. Kentucky	KY L	33,425,798	33,805,059	27,179,268	22,767,044	45,210,592	42,634,690
19. Louisiana	LA L	405,918	369,687	68,535	0	54,664	37,107
20. Maine	ME L	(1,605)	6,950	0	0	219	493
21. Maryland	MD L	11,729,312	10,482,736	8,556,993	3,360,197	10,728,265	12,053,759
22. Massachusetts	MA L	342,109	324,840	0	0	1,975	1,474
23. Michigan	MI L	59,229,894	64,827,025	42,351,517	34,438,191	78,757,348	82,568,443
24. Minnesota	MN L	28,720,644	30,733,778	21,479,915	12,379,784	34,558,681	43,380,121
25. Mississippi	MS L	454,044	596,278	362,309	52,131	145,342	128,421
26. Missouri	MO L	1,164,309	1,166,923	3,099,960	1,272,245	880,227	1,495,019
27. Montana	MT L	129,910	70,148	5,765	6,800	22,520	16,590
28. Nebraska	NE L	456,872	632,604	77,402	218,668	293,575	399,412
29. Nevada	NV L	355,523	532,327	(9,698)	55,914	219,593	280,716
30. New Hampshire	NH L	6,232	9,593	13,724	0	519	697
31. New Jersey	NJ L	148,180	109,351	6,506	44,422	4,486	11,176
32. New Mexico	NM L	5,106,236	5,175,765	1,648,800	5,267,419	6,121,783	4,667,885
33. New York	NY L	299,186	297,084	(145,000)	0	63,145	34,748
34. North Carolina	NC L	20,314,275	18,505,033	14,463,585	12,773,641	24,743,226	22,764,474
35. North Dakota	ND L	187,084	275,093	8,460	17,182	230,672	244,834
36. Ohio	OH L	186,469,616	196,329,672	79,811,679	82,930,276	186,940,632	181,193,855
37. Oklahoma	OK L	404,934	446,338	17,253	224,497	311,345	312,972
38. Oregon	OR L	150,683	77,432	0	0	0	0
39. Pennsylvania	PA L	110,419,085	118,626,509	52,526,131	56,326,210	92,710,407	96,418,160
40. Rhode Island	RI L	38,164	34,952	0	0	158	297
41. South Carolina	SC L	14,887,065	12,265,779	6,083,576	4,692,317	16,972,523	12,620,522
42. South Dakota	SD L	312,513	724,190	54,877	27,732	359,668	446,957
43. Tennessee	TN L	41,057,094	41,795,570	19,954,518	17,376,095	44,656,365	45,119,115
44. Texas	TX L	6,763,543	6,829,766	928,199	1,612,275	3,173,287	2,592,895
45. Utah	UT L	408,238	494,111	16,672	16,089	82,464	106,621
46. Vermont	VT L	9,910	9,485	1,141	0	266	715
47. Virginia	VA L	13,499,033	12,780,903	6,997,889	5,598,837	16,815,131	18,250,318
48. Washington	WA L	281,543	293,931	0	4,004	140,303	22,366
49. West Virginia	WV L	54,856,408	57,974,137	20,863,789	30,330,042	35,763,409	37,832,956
50. Wisconsin	WI L	7,768,492	7,230,337	3,987,775	2,535,814	6,637,316	5,822,144
51. Wyoming	WY L	76,746	144,487	47,313	4,107	36,668	51,373
52. American Samoa	AS N	0	0	0	0	0	0
53. Guam	GU N	0	0	0	0	0	0
54. Puerto Rico	PR N	0	0	0	0	0	0
55. U.S. Virgin Islands	VI N	0	0	0	0	0	0
56. Northern Mariana Islands	MP N	0	0	0	0	0	0
57. Canada	CAN N	0	0	0	0	0	0
58. Aggregate Other Alien	OT XXX	0	0	0	0	0	0
59. Totals	(a) 50	930,216,591	955,855,569	507,839,303	464,787,944	1,094,739,778	1,052,002,961

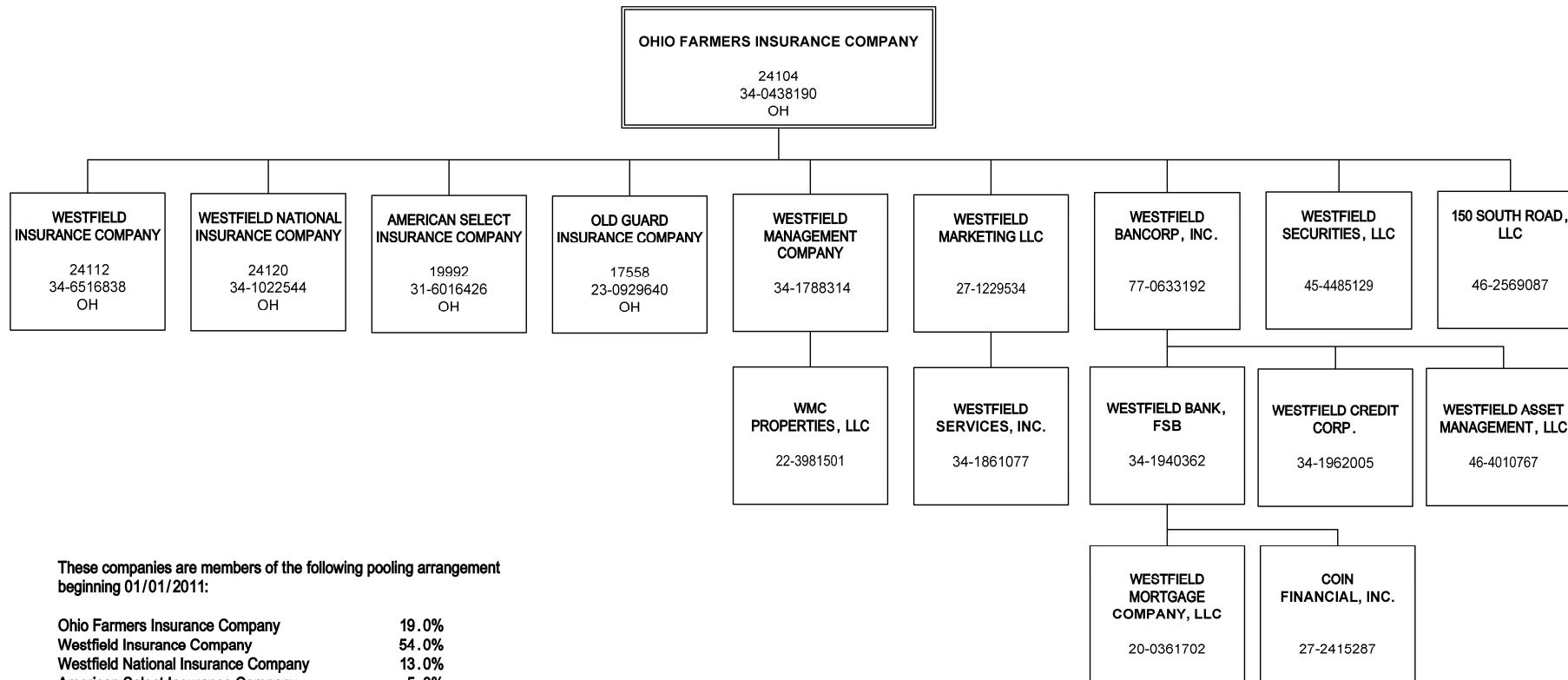
DETAILS OF WRITE-INS							
58001		XXX	0	0	0	0	0
58002		XXX	0	0	0	0	0
58003		XXX	0	0	0	0	0
58998. Summary of remaining write-ins for Line 58 from overflow page		XXX	0	0	0	0	0
58999. TOTALS (Line 58001 through Line 58003 plus Line 58998) (Line 58 above)		XXX	0	0	0	0	0

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state (other than their state of domicile - see DSLI); (D) DSLI - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write Surplus Lines in the state of domicile; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of "D" and "L" responses except for Canada and Other Alien.

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES  
OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**

**PART 1 - ORGANIZATIONAL CHART**



## SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies) /Person(s)	15 Is an SCA Filing Required? (Y/N)	16 *
0228	OFIC & Affiliates	24104	34-0438190				Ohio Farmers Insurance Company	OH	UDP	NA	NA	0.000	NA	N	1
0228	OFIC & Affiliates	24112	34-6516838				Westfield Insurance Company	OH	RE	Ohio Farmers Insurance Company	Ownership	100.000	Ohio Farmers Insurance Company	N	
0228	OFIC & Affiliates	24120	34-1022544				Westfield National Insurance Company	OH	IA	Ohio Farmers Insurance Company	Ownership	100.000	Ohio Farmers Insurance Company	N	
0228	OFIC & Affiliates	19992	31-6016426				American Select Insurance Company	OH	IA	Ohio Farmers Insurance Company	Ownership	100.000	Ohio Farmers Insurance Company	N	
0228	OFIC & Affiliates	17558	23-0929640				Old Guard Insurance Company	OH	IA	Ohio Farmers Insurance Company	Ownership	100.000	Ohio Farmers Insurance Company	N	
		00000	34-1788314				Westfield Management Company	OH	NIA	Ohio Farmers Insurance Company	Ownership	85.000	Ohio Farmers Insurance Company	Y	
		00000	22-3981501				WMC Properties, LLC	OH	NIA	Westfield Management Company	Ownership	100.000	Ohio Farmers Insurance Company	N	
		00000	27-1229534				Westfield Marketing LLC	OH	NIA	Ohio Farmers Insurance Company	Ownership	100.000	Ohio Farmers Insurance Company	N	
		00000	34-1861077				Westfield Services, Inc.	OH	NIA	Westfield Marketing LLC	Ownership	100.000	Ohio Farmers Insurance Company	N	
		00000	77-0633192				Westfield Bancorp, Inc.	OH	NIA	Ohio Farmers Insurance Company	Ownership	100.000	Ohio Farmers Insurance Company	Y	
		00000	34-1962005				Westfield Credit Corp.	OH	NIA	Westfield Bancorp, Inc.	Ownership	100.000	Ohio Farmers Insurance Company	N	
		00000	46-4010767				Westfield Asset Management, LLC	OH	NIA	Westfield Bancorp, Inc.	Ownership	100.000	Ohio Farmers Insurance Company	N	
		00000	34-1940362				Westfield Bank, FSB	OH	NIA	Westfield Bancorp, Inc.	Ownership	100.000	Ohio Farmers Insurance Company	N	
		00000	20-0361702				Westfield Mortgage Company, LLC	OH	NIA	Westfield Bank, FSB	Ownership	100.000	Ohio Farmers Insurance Company	N	
		00000	27-2415287				COIN Financial, Inc.	OH	NIA	Westfield Bank, FSB	Ownership	100.000	Ohio Farmers Insurance Company	N	
		00000	45-4485129				Westfield Securities, LLC	OH	NIA	Ohio Farmers Insurance Company	Ownership	100.000	Ohio Farmers Insurance Company	N	
		00000	46-2569087				150 South Road, LLC	OH	NIA	Ohio Farmers Insurance Company	Ownership	100.000	Ohio Farmers Insurance Company	N	

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Asterisk	Explanation
----------	-------------

1 ..... No Entity(ies) or Person(s) has control of Ohio Farmers Insurance Company .....

**PART 1 - LOSS EXPERIENCE**

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire	10,817,342	3,705,712	34.3	38.3
2. Allied lines	19,560,281	7,470,640	38.2	39.9
3. Farmowners multiple peril	49,329,829	18,633,226	37.8	41.7
4. Homeowners multiple peril	53,531,054	25,086,842	46.9	40.2
5. Commercial multiple peril	261,133,809	165,871,477	63.5	54.0
6. Mortgage guaranty	0	0	0.0	0.0
8. Ocean marine	0	0	0.0	0.0
9. Inland marine	37,700,246	10,371,910	27.5	94.5
10. Financial guaranty	0	0	0.0	0.0
11.1 Medical professional liability-occurrence	0	0	0.0	0.0
11.2 Medical professional liability-claims made	0	0	0.0	0.0
12. Earthquake	1,399,450	0	0.0	0.0
13. Group accident and health	0	0	0.0	0.0
14. Credit accident and health	0	0	0.0	0.0
15. Other accident and health	0	0	0.0	0.0
16. Workers' compensation	47,872,840	26,787,212	56.0	40.7
17.1 Other liability-occurrence	92,218,823	61,279,911	66.5	52.0
17.2 Other liability-claims made	2,228,425	544,550	24.4	10.1
17.3 Excess Workers' Compensation	0	0	0.0	0.0
18.1 Products liability-occurrence	2,317,347	1,161,303	50.1	16.1
18.2 Products liability-claims made	0	0	0.0	0.0
19.1, 19.2 Private passenger auto liability	45,025,361	25,492,326	56.6	56.2
19.3, 19.4 Commercial auto liability	171,144,870	139,606,324	81.6	73.8
21. Auto physical damage	100,509,964	52,619,891	52.4	51.5
22. Aircraft (all perils)	0	0	0.0	0.0
23. Fidelity	1,208,701	533,237	44.1	35.3
24. Surety	26,528,325	803,784	3.0	(5.7)
26. Burglary and theft	138,039	59,596	43.2	56.9
27. Boiler and machinery	4,651,225	2,176,231	46.8	41.9
28. Credit	0	0	0.0	0.0
29. International	0	0	0.0	0.0
30. Warranty	0	0	0.0	0.0
31. Reinsurance-Nonproportional Assumed Property	XXX	XXX	XXX	XXX
32. Reinsurance-Nonproportional Assumed Liability	XXX	XXX	XXX	XXX
33. Reinsurance-Nonproportional Assumed Financial Lines	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business	0	0	0.0	0.0
35. TOTALS	927,315,931	542,204,172	58.5	54.3
<b>DETAILS OF WRITE-INS</b>				
3401	0	0	0.0	0.0
3402	0	0	0.0	0.0
3403	0	0	0.0	0.0
3498. Summary of remaining write-ins for Line 34 from overflow page	0	0	0.0	0.0
3499. Totals (Line 3401 through Line 3403 plus Line 3498) (Line 34)	0	0	0.0	0.0

**PART 2 - DIRECT PREMIUMS WRITTEN**

Line of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year to Date
1. Fire	3,732,677	10,728,002	10,968,033
2. Allied lines	6,921,354	19,637,194	19,582,015
3. Farmowners multiple peril	16,132,231	51,571,851	51,406,401
4. Homeowners multiple peril	18,926,583	51,580,769	56,416,731
5. Commercial multiple peril	80,841,136	263,726,280	269,820,151
6. Mortgage guaranty	0	0	0
8. Ocean marine	0	0	0
9. Inland marine	10,253,554	36,401,715	40,433,945
10. Financial guaranty	0	0	0
11.1 Medical professional liability-occurrence	0	0	0
11.2 Medical professional liability-claims made	0	0	0
12. Earthquake	527,624	1,493,229	1,429,360
13. Group accident and health	0	0	0
14. Credit accident and health	0	0	0
15. Other accident and health	0	0	0
16. Workers' compensation	14,948,451	47,939,041	50,778,536
17.1 Other liability-occurrence	28,604,088	94,369,017	93,606,001
17.2 Other liability-claims made	719,929	2,270,757	2,145,037
17.3 Excess Workers' Compensation	0	0	0
18.1 Products liability-occurrence	703,205	2,314,982	2,130,942
18.2 Products liability-claims made	0	0	0
19.1, 19.2 Private passenger auto liability	14,389,694	43,099,474	48,661,342
19.3, 19.4 Commercial auto liability	52,670,606	171,866,510	172,736,373
21. Auto physical damage	30,979,879	99,564,854	103,371,806
22. Aircraft (all perils)	0	0	0
23. Fidelity	312,399	1,082,084	1,242,084
24. Surety	9,755,859	27,830,282	26,200,701
26. Burglary and theft	39,225	125,687	161,053
27. Boiler and machinery	1,359,901	4,614,862	4,765,055
28. Credit	0	0	0
29. International	0	0	0
30. Warranty	0	0	0
31. Reinsurance-Nonproportional Assumed Property	XXX	XXX	XXX
32. Reinsurance-Nonproportional Assumed Liability	XXX	XXX	XXX
33. Reinsurance-Nonproportional Assumed Financial Lines	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business	0	0	0
35. TOTALS	291,818,395	930,216,590	955,855,566
<b>DETAILS OF WRITE-INS</b>			
3401	0	0	0
3402	0	0	0
3403	0	0	0
3498. Summary of remaining write-ins for Line 34 from overflow page	0	0	0
3499. Totals (Line 3401 through Line 3403 plus Line 3498) (Line 34)	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Westfield Insurance Company

**PART 3 (000 Omitted)**

**LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE**

Years in Which Losses Occurred	1 Prior Year-End Known Case Loss and LAE Reserves	2 Prior Year-End IBNR Loss and LAE Reserves	3 Total Prior Year-End Loss and LAE Reserves (Column 1 plus Column 2)	4 2017 Loss and LAE Payments on Claims Reported as of Prior Year-End	5 2017 Loss and LAE Payments on Claims Unreported as of Prior Year-End	6 Total 2017 Loss and LAE Payments (Column 4 plus Column 5)	7 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	8 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	9 Q.S. Date IBNR Loss and LAE Reserves	10 Total Q.S. Loss and LAE Reserves (Column 7 plus Column 8 plus Column 9)	11 Prior Year-End Known Case Loss and LAE Reserves	12 Prior Year-End IBNR Loss and LAE Reserves Developed (Savings) / Deficiency (Column 4 plus Column 8 plus Column 7 minus Column 1)	13 Prior Year-End Total Loss and LAE Reserve Developed (Savings) / Deficiency (Column 11 plus Column 12)
1. 2014 + Prior	185,822	167,567	353,389	73,966	6,777	80,743	101,096	8,633	161,647	271,376	(10,760)	9,490	(1,270)
2. 2015	99,914	87,890	187,804	45,226	4,808	50,034	49,918	6,100	81,838	137,856	(4,770)	4,856	86
3. Subtotals 2015 + prior	285,736	255,457	541,193	119,192	11,585	130,777	151,014	14,733	243,485	409,232	(15,530)	14,346	(1,184)
4. 2016	162,359	174,184	336,543	78,615	30,182	108,797	83,812	22,999	120,819	227,630	68	(184)	(116)
5. Subtotals 2016 + prior	448,095	429,641	877,736	197,807	41,767	239,574	234,826	37,732	364,304	636,862	(15,462)	14,162	(1,300)
6. 2017	XXX	XXX	XXX	XXX	227,151	227,151	XXX	101,532	215,994	317,526	XXX	XXX	XXX
7. Totals	448,095	429,641	877,736	197,807	268,918	466,725	234,826	139,264	580,298	954,388	(15,462)	14,162	(1,300)
8. Prior Year-End Surplus As Regards Policy-holders											Column 11, Line 7 As % of Column 1, Line 7	Column 12, Line 7 As % of Column 2, Line 7	Column 13, Line 7 As % of Column 3, Line 7
											1. .... (3.5)%.	2. .... 3.3 %.	3. .... (0.1)%
											Column 13, Line 7 Line 8		
											4. .... (0.1)%		

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusted Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
EXPLANATION:	
BARCODE:	

Document Identifier 490:



2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
EXPLANATION:	
BARCODE:	
Document Identifier 455:	

Document Identifier 455:



3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
EXPLANATION:	
BARCODE:	
Document Identifier 365:	

Document Identifier 365:



4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	YES
EXPLANATION:	
BARCODE:	
Document Identifier 505:	

Document Identifier 505:

**SCHEDULE A - VERIFICATION**

## Real Estate

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	234,496
2.2 Additional investment made after acquisition	0	0
3. Current year change in encumbrances	0	0
4. Total gain (loss) on disposals	0	(8,780)
5. Deduct amounts received on disposals	0	206,956
6. Total foreign exchange change in book/adjusted carrying value	0	0
7. Deduct current year's other-than-temporary impairment recognized	0	18,760
8. Deduct current year's depreciation	0	0
9. Book/adjusted carrying value at end of current period (Line 1 plus Line 2 plus Line 3 plus Line 4 minus Line 5 plus Line 6 minus Line 7 minus Line 8)	0	0
10. Deduct total nonadmitted amounts	0	0
11. Statement value at end of current period (Line 9 minus Line 10)	0	0

**SCHEDULE B - VERIFICATION**

## Mortgage Loans

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after a	0	0
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	0	0
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	0	0
8. Deduct amortization of premium and mort	0	0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest	0	0
10. Deduct current year's other-than-temporary impairment recognized	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Line 1 plus Line 2 plus Line 3 plus Line 4 plus Line 5 plus Line 6 plus Line 7 minus Line 8 plus Line 9 minus Line 10)	0	0
12. Total Valuation Allowance	0	0
13. Subtotal (Line 11 plus Line 12)	0	0
14. Deduct total nonadmitted amounts	0	0
15. Statement value at end of current period (Line 13 minus Line 14)	0	0

**SCHEDULE BA - VERIFICATION**

## Other Long-Term Invested Assets

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	168,671,594	122,234,155
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	14,331,312	15,956,390
2.2 Additional investment made after acquisition	37,048,329	49,082,718
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	5,012,026	7,958,529
6. Total gain (loss) on disposals	1,939,457	1,166,325
7. Deduct amounts received on disposals	18,334,187	24,341,203
8. Deduct amortization of premium and depreciation	0	0
9. Total foreign exchange change in book/adjusted carrying value	0	0
10. Deduct current year's other-than-temporary impairment recognized	606,539	3,385,320
11. Book/adjusted carrying value at end of current period (Line 1 plus Line 2 plus Line 3 plus Line 4 plus Line 5 plus Line 6 minus Line 7 minus Line 8 plus Line 9 minus Line 10)	208,061,992	168,671,594
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	208,061,992	168,671,594

**SCHEDULE D - VERIFICATION**

## Bonds and Stocks

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	1,995,742,945	1,931,777,412
2. Cost of bonds and stocks acquired	203,969,263	252,442,042
3. Accrual of discount	167,109	242,633
4. Unrealized valuation increase (decrease)	51,301,509	44,066,108
5. Total gain (loss) on disposals	13,313,029	14,313,217
6. Deduct consideration for bonds and stocks disposed of	196,356,655	221,397,369
7. Deduct amortization of premium	16,559,842	23,098,544
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other-than-temporary impairment recognized	2,754,865	2,602,554
10. Book/adjusted carrying value at end of current period (Line 1 plus Line 2 plus Line 3 plus Line 4 plus Line 5 minus Line 6 minus Line 7 plus Line 8 minus Line 9)	2,048,822,493	1,995,742,945
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	2,048,822,493	1,995,742,945

## SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity

During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	1,356,238,158	92,361,238	72,337,420	2,087,914	1,350,164,018	1,356,238,158	1,378,349,890	1,344,447,897
2. NAIC 2 (a) .....	58,151,064	0	8,222,465	(7,304,659)	73,145,756	58,151,064	42,623,940	70,146,324
3. NAIC 3 (a) .....	0	0	0	0	0	0	0	0
4. NAIC 4 (a) .....	0	0	0	0	0	0	0	0
5. NAIC 5 (a) .....	8,993,001	0	203,838	0	9,194,573	8,993,001	8,789,163	9,396,123
6. NAIC 6 (a) .....	0	0	0	0	0	0	0	0
7. Total Bonds .....	1,423,382,223	92,361,238	80,763,723	(5,216,745)	1,432,504,347	1,423,382,223	1,429,762,993	1,423,990,344
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	0	0	0	0	0	0	0	0
9. NAIC 2 .....	0	0	0	0	0	0	0	0
10. NAIC 3 .....	0	0	0	0	0	0	0	0
11. NAIC 4 .....	0	0	0	0	0	0	0	0
12. NAIC 5 .....	0	0	0	0	0	0	0	0
13. NAIC 6 .....	0	0	0	0	0	0	0	0
14. Total Preferred Stock .....	0	0	0	0	0	0	0	0
15. Total Bonds & Preferred Stock .....	1,423,382,223	92,361,238	80,763,723	(5,216,745)	1,432,504,347	1,423,382,223	1,429,762,993	1,423,990,344

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ ..... 11,739,542 ; NAIC 2 \$ ..... 0 ; NAIC 3 \$ ..... 0 ; NAIC 4 \$ ..... 0 ; NAIC 5 \$ ..... 0 ; NAIC 6 \$ ..... 0

**SCHEDULE DA - PART 1**

## Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999 .....	11,739,542	XXX	11,739,542	27,914	0

**SCHEDULE DA - VERIFICATION**

## Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book / adjusted carrying value, December 31 of prior year .....	2,094,537	2,776,295
2. Cost of short-term investments acquired .....	27,123,177	33,066,717
3. Accrual of discount .....	0	0
4. Unrealized valuation increase (decrease) .....	0	0
5. Total gain (loss) on disposals .....	0	0
6. Deduct consideration received on disposals .....	17,478,172	33,748,475
7. Deduct amortization of premium .....	0	0
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other-than-temporary impairment recognized .....	0	0
10. Book/adjusted carrying value at end of current period (Line 1 + Line 2 + Line 3 + Line 4 + Line 5 - Line 6 - Line 7 + Line 8 - Line 9) .....	11,739,542	2,094,537
11. Deduct total nonadmitted amounts .....	0	0
12. Statement value at end of current period (Line 10 minus Line 11) .....	11,739,542	2,094,537

**Page SI04**

Schedule DB, Part A, Verification  
**NONE**

Schedule DB, Part B, Verification  
**NONE**

**Page SI05**

Schedule DB, Pt. C, Section 1, Replicated (Synthetic Assets) Open  
**NONE**

**Page SI06**

Sch DB, Pt C, Sn 2, Replication (Syn Assets) Transactions Open  
**NONE**

**Page SI07**

Schedule DB, Verification  
**NONE**

**SCHEDULE E - VERIFICATION**

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	0
2. Cost of cash equivalents acquired	58,275,886	0
3. Accrual of discount	0	0
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	0	0
6. Deduct consideration received on disposals	58,275,886	0
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other-than-temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Line 1 + Line 2 + Line 3 + Line 4 + Line 5 - Line 6 - Line 7 + Line 8 - Line 9)	0	0
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	0	0

**Page E01**

Sch. A, Pt. 2, Real Estate Acquired  
**NONE**

Sch. A, Pt. 3, Real Estate Disposed  
**NONE**

**Page E02**

Schedule B, Part 2, Mortgage Loans Acquired  
**NONE**

Schedule B, Part 3, Mortgage Loans Disposed  
**NONE**

## SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Designation	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made After Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		3 City	4 State									
Joint Venture, Partnership or Limited Liability Company Interests That Have the Underlying Characteristics of Other - Unaffiliated												
000000-00-0	AEA MEZZANINE FUND II LP	DE		Direct	0000000	05/03/2010		0	6,290	0	266,198	1.417
000000-00-0	AEA MEZZANINE FUND III LP	DE		Direct	0000000	06/20/2014		0	1,712,775	0	1,976,897	1.717
000000-00-0	AEA MIDDLE MKT DEBT FUND II	DE		Direct	0000000	09/02/2011		0	244,501	0	213,599	3.718
000000-00-0	AEA MIDDLE MKT DEBT FUND III	DE		Direct	0000000	12/14/2016		0	289,855	0	4,096,539	3.017
000000-00-0	ARGOSY INV PARTNERS V LP	DE		Direct	0000000	03/03/2015		0	108,000	0	3,207,678	7.200
000000-00-0	BISON CAPITAL PARTNERS V LP	CA		Direct	0000000	09/18/2017		476,487	0	0	4,523,513	1.300
000000-00-0	CITYMARK CAPITAL FUND I LP	DE		Direct	0000000	10/03/2016		0	865,881	0	3,347,210	10.104
000000-00-0	CYPRUM INVESTORS IV LP	DE		Direct	0000000	06/16/2014		0	544,323	0	3,106,720	2.065
000000-00-0	GMB MEZZANINE CAPITAL III LP	MN		Direct	0000000	09/08/2015		0	1,000,000	0	5,150,000	7.437
000000-00-0	GOLDFPOINT MEZZ PARTNERS IV LP	DE		Direct	0000000	12/21/2015		0	346,147	0	11,795,014	1.541
000000-00-0	GOLDFPOINT PARTNERS CO INVMT V LP	DE		Direct	0000000	06/10/2014		0	602,942	0	937,413	1.455
000000-00-0	GOLDFPOINT PARTNERS SELECT MGR FD III LP	DE		Direct	0000000	07/13/2017		3,446,205	0	0	6,553,795	3.844
000000-00-0	GRYPHON PARTNERS IV LP	DE		Direct	0000000	01/14/2016		0	1,648,473	0	3,444,408	0.935
000000-00-0	HOMESTEAD CAPITAL USA FARMLAND FUND I LP	DE		Direct	0000000	06/22/2015		0	37,699	0	297,471	2.908
000000-00-0	HOMESTEAD CAPITAL USA FARMLAND FUND II L	DE		Direct	0000000	09/12/2016		0	58,172	0	3,918,057	1.249
(continues)												

## SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Change in Book/Adjusted Carrying Value					15 Book/Adjusted Carrying Value Less Encumbrances on Disposal	16 Consideration	17 Foreign Exchange Value Less Encumbrances on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income	
		3 City	4 State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization)/ Accretion	11 Current Year's Other-Than- Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in B./A.C.V. (9+10-11+12)	14 Total Foreign Exchange Change in B./A.C.V.						
Joint Venture, Partnership or Limited Liability Company Interests That Have the Underlying Characteristics of Other - Unaffiliated																			
000000-00-0	AEA MEZZANINE FUND II LP	DE		Redeemed Shr	05/03/2010	09/08/2017	262,113	137,015	0	0	0	137,015	0	399,128	516,956	0	117,828	117,828	3,021
000000-00-0	AEA MEZZANINE FUND III LP	DE		Redeemed Shr	06/20/2014	07/18/2017	0	0	0	0	0	0	0	0	1,594	0	1,594	1,594	0
000000-00-0	AEA MIDDLE MKT DEBT FUND II	DE		Redeemed Shr	09/02/2011	07/24/2017	117,553	25,361	0	0	0	25,361	0	142,914	142,914	0	0	0	3,132
000000-00-0	AEA MIDDLE MKT DEBT FUND III	DE		Redeemed Shr	12/14/2016	08/03/2017	86,518	527	0	0	0	527	0	87,045	87,045	0	0	0	2,116
000000-00-0	CITYMARK CAPITAL FUND I LP	DE		Redeemed Shr	10/03/2016	09/11/2017	172,289	0	0	0	0	0	0	172,289	172,289	0	0	0	0
000000-00-0	DYAL US INVESTORS III LP	DE		Redeemed Shr	11/04/2016	09/25/2017	768,248	(6,751)	0	0	0	(6,751)	0	761,497	761,497	0	0	0	20,434
000000-00-0	GOLDFPOINT MEZZ PARTNERS IV LP	DE		Redeemed Shr	12/21/2015	09/08/2017	133,362	2,350	0	0	0	2,350	0	135,712	136,479	0	767	767	2,222
000000-00-0	GOLDFPOINT PARTNERS CO INVMT V	DE		Redeemed Shr	06/10/2014	07/28/2017	2,354	(369)	0	0	0	(369)	0	1,985	2,668	0	683	683	0
000000-00-0	GREYROCK CAPITAL PARTNERS III	DE		Redeemed Shr	12/05/2013	08/10/2017	145,339	(11,856)	0	0	0	(11,856)	0	133,483	133,483	0	0	0	2,361
000000-00-0	GRIDIRON CAPITAL FUND III LP	DE		Redeemed Shr	11/07/2016	09/29/2017	5,410	(413)	0	0	0	(413)	0	4,997	4,997	0	0	0	14
000000-00-0	GRIDIRON PARTNERS IV LP	DE		Redeemed Shr	01/14/2016	09/27/2017	255,858	(20,587)	0	0	0	(20,587)	0	235,271	235,271	0	0	0	0
000000-00-0	NB PRIVATE DEBT ASP FUND LP	DE		Redeemed Shr	02/25/2015	08/01/2017	264,713	28,018	0	0	0	28,018	0	292,731	292,731	0	0	0	6,544
000000-00-0	NB PRIVATE DEBT FUND II LP	DE		Redeemed Shr	10/30/2015	07/31/2017	278,499	11,053	0	0	0	11,053	0	289,552	289,552	0	0	0	7,619
000000-00-0	NEW YORK LIFE INV MGMT MEZZ II	DE		Redeemed Shr	07/17/2007	07/31/2017	0	0	0	0	0	0	0	4,659	0	4,659	4,659	0	
000000-00-0	NEW YORK LIFE CAP PARTNERS III	DE		Redeemed Shr	05/26/2010	09/20/2017	141,492	17,984	0	0	0	17,984	0	159,476	166,586	0	7,110	7,110	2,702
(continues)																			

## STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Westfield Insurance Company

## SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Designation	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made After Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		3 City	4 State									
Joint Venture, Partnership or Limited Liability Company Interests That Have the Underlying Characteristics of Other - Unaffiliated (continued)												
000000-00-0	IDG VENTURES SF LP	CA	Direct	0000000	08/24/2017			567,949	0	0	7,606	2.700
000000-00-0	IDG VENTURES USA III LP	CA	Direct	0000000	06/26/2015			0	599,051	0	3,018,621	4.154
000000-00-0	JUMPSTART NEXT FUND LLC	OH	Direct	0000000	02/04/2015			0	500,000	0	500,000	16.000
000000-00-0	MIDWEST FUND V SBIC LP	DE	Direct	0000000	07/05/2013			0	259,856	0	2,132,399	11.152
000000-00-0	MPE PARTNERS II LP	DE	Direct	0000000	03/30/2017			0	441,939	0	3,536,690	2.560
000000-00-0	NB PRIVATE DEBT ASP FUND LP	DE	Direct	0000000	02/25/2015			0	15,000	0	4,901,000	12.477
000000-00-0	NB PRIVATE DEBT FUND II LP	DE	Direct	0000000	10/30/2015			0	10,174	0	6,961,596	2.319
000000-00-0	NEW YORK LIFE INV MGMT MEZZ II	DE	Direct	0000000	07/17/2007			0	2,508	0	2,176,356	2.336
000000-00-0	NEW YORK LIFE CAP PARTNERS III	DE	Direct	0000000	05/26/2010			0	76,555	0	432,251	1.272
000000-00-0	NEWSTONE CAPITAL PARTNERS III LP	DE	Direct	0000000	11/09/2016			0	54,745	0	8,463,025	1.780
000000-00-0	PA DIRECT CREDIT OPPTY FUND II LP	DE	Direct	0000000	05/15/2017			0	1,125,331	0	10,401,460	1.333
000000-00-0	PATRIOT CAPITAL IV LP	CA	Direct	0000000	09/29/2017			500,000	0	0	9,500,000	20.000
000000-00-0	PEPPERTREE CAPITAL FUND VI QP LP	OH	Direct	0000000	05/05/2016			0	200,000	0	2,768,000	1.755
000000-00-0	PEPPERTREE CAPITAL INTL FUND QP LP	OH	Direct	0000000	04/13/2015			0	160,000	0	1,965,000	19.540
000000-00-0	YUKON CAPITAL PARTNERS III LP	DE	Direct	0000000	07/18/2017			2,425,584	0	0	12,574,416	3.000
219999 - Subtotal -	Joint Venture, Partnership or Limited Liability Company Interests That Have the Underlying Characteristics of Other - Unaffiliated							7,416,225	10,910,217	0	122,172,932	
449999 - Subtotal -	Unaffiliated							7,416,225	10,910,217	0	122,172,932	
469999 - TOTALS								7,416,225	10,910,217	0	122,172,932	

## STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Westfield Insurance Company

## SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Change in Book/Adjusted Carrying Value						15 Book/Adjusted Carrying Value Less Encumbrances on Disposal	16 Consideration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income		
								9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization) / Accretion	11 Current Year's Other-Than- Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in B./A.C.V. (9+10+11+12)	14 Total Foreign Exchange Change in B./A.C.V.								
Joint Venture, Partnership or Limited Liability Company Interests That Have the Underlying Characteristics of Other - Unaffiliated (continued)																					
000000-00-0	NEWSTONE CAPITAL PARTNERS II L	DE		Redeemed Shr	12/10/2010	07/14/2017	435,875	49,598	0	0	0	0	49,598	0	485,473	488,453	0	2,980	2,980	12,591	
000000-00-0	NEWSTONE CAPITAL PARTNERS III	DE		Redeemed Shr	11/09/2016	07/19/2017	31,754	(532)	0	0	0	0	(532)	0	31,222	31,222	0	0	0	0	
000000-00-0	NEWSTONE CAPITAL PARTNERS LP	DE		Redeemed Shr	03/01/2007	07/14/2017	13,205	9,836	0	0	0	0	9,836	0	23,041	14,901	0	(8,140)	(8,140)	229	
000000-00-0	PA DIRECT CREDIT OPPY FUND II	DE		Redeemed Shr	05/15/2017	08/24/2017	474,437	84,720	0	0	0	0	84,720	0	559,157	611,906	0	52,749	52,749	20,812	
2199999 - Subtotal - Joint Venture, Partnership or Limited Liability Company Interests That Have the Underlying Characteristics of																					
Other - Unaffiliated																					
								3,589,019	325,954	0	0	0	0	325,954	0	3,914,973	4,095,203	0	180,230	180,230	84,714
4499999 - Subtotal - Unaffiliated								3,589,019	325,954	0	0	0	0	325,954	0	3,914,973	4,095,203	0	180,230	180,230	84,714
4699999 - TOTALS								3,589,019	325,954	0	0	0	0	325,954	0	3,914,973	4,095,203	0	180,230	180,230	84,714

## SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired by the Company During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
Bonds - U.S. Governments									
912810-QA-9	UNITED STATES TREAS BDS 3.500% 02/15/39		08/15/2017	Wells Fargo		14,699,531	13,000,000.00	47,940	1
912810-QT-8	UNITED STATES TREAS BDS 3.125% 11/15/41		09/27/2017	KeyBanc Capital Mkts		5,303,125	5,000,000.00	57,745	1
0599999	Subtotal - Bonds - U.S. Governments					20,002,656	18,000,000.00	105,685	
Bonds - U.S. States, Territories and Possessions									
373385-AT-0	GEORGIA ST SER A 2 GO .4.000% 02/01/35		07/06/2017	RBC Dain Rauscher		5,442,250	5,000,000.00	0	1FE
1799999	Subtotal - Bonds - U.S. States, Territories and Possessions					5,442,250	5,000,000.00	0	
Bonds - U.S. Political Subdivisions of States, Territories and Possessions									
35880C-XJ-8	FRISCO TX SCH DIST 2017 4.000% 08/15/31		08/01/2017	KeyBanc Capital Mkts		4,429,720	4,000,000.00	35,111	1FE
35880C-XN-9	FRISCO TX SCH DIST 2017 4.000% 08/15/35		07/19/2017	KeyBanc Capital Mkts		5,399,800	5,000,000.00	38,333	1FE
49474F-PJ-3	KING CNTY WA SER E GO .4.000% 12/01/35		07/14/2017	RBC Dain Rauscher		8,581,680	8,000,000.00	42,667	1FE
2499999	Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions					18,411,200	17,000,000.00	116,111	
Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions									
3128MJ-2M-1	FHLMC 30YRGOLDPOOLG08779 3.500% 09/01/47		09/18/2017	Cantor Fitzgerald		9,306,061	8,989,091.00	18,451	1
3128MJ-ZF-0	FHLMC 30YRGOLDPOOLG08741 3.000% 01/01/47		07/11/2017	Cantor Fitzgerald		4,837,332	4,860,877.00	4,861	1
67765Q-BZ-8	OHIO ST WTR DEV AUTH REV 5.000% 12/01/33		07/07/2017	KeyBanc Capital Mkts		7,016,870	5,900,000.00	33,597	1FE
3199999	Subtotal - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions					21,160,263	19,749,968.00	56,909	
Bonds - Industrial and Miscellaneous (Unaffiliated)									
30231G-AT-9	EXXON MOBIL CORP .3.043% 03/01/26		08/02/2017	Stifel Nicolaus		5,098,950	5,000,000.00	65,932	1FE
458140-AX-8	INTEL CORP .3.150% 05/11/27		08/21/2017	Stifel Nicolaus		3,046,230	3,000,000.00	27,038	1FE
68389X-BM-6	ORACLE CORP .2.650% 07/15/26		08/21/2017	KeyBanc Capital Mkts		2,939,700	3,000,000.00	8,613	1FE
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					11,084,880	11,000,000.00	101,583	
8399997	Subtotal - Bonds - Part 3					76,101,249	70,749,968.00	380,288	
8399999	Subtotal - Bonds					76,101,249	70,749,968.00	380,288	
Common Stocks - Industrial and Miscellaneous (Unaffiliated)									
26078J-10-0	DOWDUPONT INC		09/01/2017	Tax Free Exchange		132,046,000	4,385,551	0	L
464286-66-5	ISHARES MSCI PACIFIC EX JAPAN IDX FD		08/28/2017	Various		25,000,000	1,139,925	0	L
464287-23-4	ISHARES MSCI EMERGING MKT IDX FD		07/05/2017	JP Morgan		35,000,000	1,446,386	0	L
464287-46-5	ISHARES MSCI EAFE IDX FD		08/28/2017	William Blair & Co		22,000,000	1,471,580	0	L
46625H-10-0	JPMORGAN CHASE & COMPANY		09/05/2017	William Blair & Co		30,000,000	2,721,655	0	L
78463X-87-1	SPDR INDEX SHS FDS S&P INTL SMALL CAP		08/28/2017	William Blair & Co		15,000,000	515,721	0	L
9099999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)					11,680,818		0	
Common Stocks - Mutual Funds									
885215-56-6	THORNBURG INTL VALUE CL 1		09/22/2017	Various		351,797,005	10,043,636	0	U
9299999	Subtotal - Common Stocks - Mutual Funds						10,043,636	0	
9799997	Subtotal - Common Stocks - Part 3						21,724,454	0	
9799999	Subtotal - Common Stocks						21,724,454	0	
9899999	Subtotal - Preferred and Common Stocks						21,724,454	0	

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....

## STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Westfield Insurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired by the Company During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
9999999 - TOTALS .....						97,825,703			380,288

## **SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarter

## Bonds - U.S. States, Territories and Possessions

57582P-HQ-6 MASSACHUSETTS ST REF SER D GO 5.500% 07/14/2017 KeyBanc Capital Mkts 2,188,420 2,000,000.00 2,548,480 2,233,647 0 (46,138) 0 (46,138) 0 2,187,509 0 911 911 88,000 10/01/2019 1FE  
 939745-SP-1 WASHINGTON ST SER C GO 5.500% 07/01/2017 Maturity 9,075,000 9,075,000.00 11,111,470 9,291,292 0 (216,292) 0 (216,292) 0 9,075,000 0 0 0 0 499,125 07/01/2017 1FE  
 1799999 - Subtotal - Bonds - U.S. States, Territories and Possessions 11,263,420 11,075,000.00 13,659,950 11,524,939 0 (262,430) 0 (262,430) 0 11,262,509 0 911 911 587,125

Bonds - U.S. Special Revenue and Special Assessment and All Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions

Bonds - U.S. Special Revenue and Special Assessment and All Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions																				
31283H-5A-9	FHLMC 30 YR GOLD POOL G01741 6.500%	09/01/2017	Paydown		13,436	13,436.00	13,973	13,890	0	(454)	0	(454)	0	13,436	0	0	0	571	10/01/2034	1
31283H-V2-8	FHLMC 30 YR GOLD POOL G01533 6.000%	09/01/2017	Paydown		27,164	27,164.00	28,097	28,008	0	(844)	0	(844)	0	27,164	0	0	0	1,123	03/01/2033	1
31287N-CF-3	FHLMC 30 YR GOLD POOL C62770 7.000%	09/01/2017	Paydown		7,451	7,451.00	7,863	7,797	0	(347)	0	(347)	0	7,451	0	0	0	348	01/01/2032	1
31287N-UQ-9	FHLMC 30 YR GOLD POOL C632916 6.000%	09/01/2017	Paydown		2,437	2,437.00	2,497	2,488	0	(51)	0	(51)	0	2,437	0	0	0	98	01/01/2032	1
3128K6-L7-3	FHLMC 30 YR GOLD POOL A45750 7.000%	09/01/2017	Paydown		13,584	13,584.00	14,272	14,204	0	(620)	0	(620)	0	13,584	0	0	0	639	10/01/2031	1
3128K9-6W-9	FHLMC 30 YR GOLD POOL A48985 7.000%	09/01/2017	Paydown		901	901.00	926	924	0	(23)	0	(23)	0	901	0	0	0	42	05/01/2036	1

(continues)

(a) For all common stock bearing the NAIC market indicator 'U' provide: the number of such issues ..... 0 .....

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of  
by the Company During the Current Quarter

1 CUSIP Identifi- cation	2 Description	3 Foreign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Total Change in B./A.C.V. (11+12-13)	17 Total Foreign Exchange Change in B./A.C.V.	18 Book/Adjusted Carrying Value at Disposal Date	19 Foreign Exchange Gain (Loss) on Disposal	20 Realized Gain (Loss) on Disposal	21 Total Gain (Loss) on Disposal	21 Stated Contractual Maturity Date	22 NAIC Designa- tion or Market Indi- cator (a)			
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amort- ization) / Accretion	13 Current Year's Other- Than-Tem- porary Impairment Recognized	14 Total Change in B./A.C.V.	15 Total Foreign Exchange Change in B./A.C.V.											
<b>Bonds - U.S. Special Revenue and Special Assessment and All Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions (continued)</b>																									
3128KM-ZE-8	FHLMC 30 YR GOLD POOL A58841 7.000%		09/01/2017	Paydown		83,368	83,368.00	86,273	86,060	0	(2,693)	0	(2,693)	0	83,368	0	0	0	0	0	0	0	3,422	03/01/2037	1
3128M4-AX-1	FHLMC 30 YR GOLD POOL G02422 6.000%		09/01/2017	Paydown		10,088	10,088.00	10,747	10,704	0	(615)	0	(615)	0	10,088	0	0	0	0	0	0	0	415	12/01/2036	1
3128M5-DG-2	FHLMC 30 YR GOLD POOL G03403 6.500%		09/01/2017	Paydown		32,725	32,725.00	35,507	35,340	0	(2,615)	0	(2,615)	0	32,725	0	0	0	0	0	0	0	1,311	10/01/2037	1
3128M5-KM-1	FHLMC 30 YR GOLD POOL G03600 7.000%		09/01/2017	Paydown		11,241	11,241.00	11,578	11,569	0	(328)	0	(328)	0	11,241	0	0	0	0	0	0	0	507	11/01/2037	1
3128M7-PD-2	FHLMC 30 YR GOLD POOL G05520 6.500%		09/01/2017	Paydown		23,407	23,407.00	25,309	25,251	0	(1,844)	0	(1,844)	0	23,407	0	0	0	0	0	0	0	1,045	01/01/2039	1
3128M7-Q9-0	FHLMC 30 YR GOLD POOL G05580 6.500%		09/01/2017	Paydown		18,548	18,548.00	19,878	19,802	0	(1,254)	0	(1,254)	0	18,548	0	0	0	0	0	0	0	812	04/01/2039	1
3128M9-MP-4	FHLMC 30 YR GOLD POOL G07266 4.000%		09/01/2017	Paydown		300,383	300,383.00	319,298	318,814	0	(18,430)	0	(18,430)	0	300,383	0	0	0	0	0	0	0	8,144	12/01/2042	1
3128MJ-2M-1	FHLMC 30 YR GOLD POOL G08779 3.500%		09/01/2017	Paydown		13,637	13,637.00	14,129	0	0	(492)	0	(492)	0	13,637	0	0	0	0	0	0	0	40	09/01/2047	1
3128MJ-AM-2	FHLMC 30 YR GOLD POOL G08011 6.000%		09/01/2017	Paydown		17,240	17,240.00	17,803	17,740	0	(500)	0	(500)	0	17,240	0	0	0	0	0	0	0	666	09/01/2034	1
3128MJ-S3-5	FHLMC 30 YR GOLD POOL G08537 3.000%		09/01/2017	Paydown		237,839	237,839.00	231,782	231,967	0	5,875	0	5,875	0	237,839	0	0	0	0	0	0	0	4,730	07/01/2043	1
3128MJ-S4-3	FHLMC 30 YR GOLD POOL G08538 3.500%		09/01/2017	Paydown		259,236	259,236.00	256,562	256,623	0	2,612	0	2,612	0	259,236	0	0	0	0	0	0	0	6,036	07/01/2043	1
3128MJ-SY-7	FHLMC 30 YR GOLD POOL G08534 3.000%		09/01/2017	Paydown		111,181	111,181.00	108,366	108,450	0	2,731	0	2,731	0	111,181	0	0	0	0	0	0	0	2,219	06/01/2043	1
3128MJ-U3-2	FHLMC 30 YR GOLD POOL G08601 4.000%		09/01/2017	Paydown		421,091	421,091.00	447,559	447,270	0	(26,179)	0	(26,179)	0	421,091	0	0	0	0	0	0	0	11,157	08/01/2044	1
3128MJ-UM-0	FHLMC 30 YR GOLD POOL G08587 4.500%		09/01/2017	Paydown		111,199	111,199.00	120,373	120,284	0	(9,085)	0	(9,085)	0	111,199	0	0	0	0	0	0	0	3,349	05/01/2044	1
3128MJ-US-7	FHLMC 30 YR GOLD POOL G08592 4.000%		09/01/2017	Paydown		305,384	305,384.00	323,134	322,836	0	(17,451)	0	(17,451)	0	305,384	0	0	0	0	0	0	0	8,136	06/01/2044	1
3128MJ-UV-0	FHLMC 30 YR GOLD POOL G08595 4.000%		09/01/2017	Paydown		122,060	122,060.00	129,049	128,960	0	(6,900)	0	(6,900)	0	122,060	0	0	0	0	0	0	0	3,237	07/01/2044	1
3128MJ-V7-2	FHLMC 30 YR GOLD POOL G08637 4.000%		09/01/2017	Paydown		313,558	313,558.00	335,308	335,010	0	(21,452)	0	(21,452)	0	313,558	0	0	0	0	0	0	0	8,397	04/01/2045	1
3128MJ-VB-3	FHLMC 30 YR GOLD POOL G08609 3.500%		09/01/2017	Paydown		137,011	137,011.00	143,023	142,922	0	(5,911)	0	(5,911)	0	137,011	0	0	0	0	0	0	0	3,191	10/01/2044	1
3128MJ-VC-1	FHLMC 30 YR GOLD POOL G08610 4.000%		09/01/2017	Paydown		143,350	143,350.00	152,511	152,247	0	(8,897)	0	(8,897)	0	143,350	0	0	0	0	0	0	0	3,829	10/01/2044	1
3128MJ-VV-9	FHLMC 30 YR GOLD POOL G08627 3.500%		09/01/2017	Paydown		71,317	71,317.00	74,548	74,501	0	(3,185)	0	(3,185)	0	71,317	0	0	0	0	0	0	0	1,661	02/01/2045	1
3128MJ-WW-0	FHLMC 30 YR GOLD POOL G08660 4.000%		09/01/2017	Paydown		183,112	183,112.00	193,742	193,625	0	(10,513)	0	(10,513)	0	183,112	0	0	0	0	0	0	0	4,913	08/01/2045	1
3128MJ-XK-1	FHLMC 30 YR GOLD POOL G08681 3.500%		09/01/2017	Paydown		230,875	230,875.00	237,074	92,336	0	(6,158)	0	(6,158)	0	230,875	0	0	0	0	0	0	0	4,145	12/01/2045	1
3128MJ-XR-6	FHLMC 30 YR GOLD POOL G08687 3.500%		09/01/2017	Paydown		144,088	144,088.00	151,067	151,030	0	(6,942)	0	(6,942)	0	144,088	0	0	0	0	0	0	0	3,370	01/01/2046	1
3128MJ-YB-0	FHLMC 30 YR GOLD POOL G08705 3.000%		09/01/2017	Paydown		110,812	110,812.00	113,479	113,453	0	(2,641)	0	(2,641)	0	110,812	0	0	0	0	0	0	0	2,237	05/01/2046	1
3128MJ-ZF-0	FHLMC 30 YR GOLD POOL G08741 3.000%		09/01/2017	Paydown		163,324	163,324.00	162,722	0	0	602	0	602	0	163,324	0	0	0	0	0	0	0	1,634	01/01/2047	1
3128P7-5H-2	FHLMC 30 YR GOLD POOL C91748 3.500%		09/01/2017	Paydown		213,245	213,245.00	220,525	220,189	0	(6,944)	0	(6,944)	0	213,245	0	0	0	0	0	0	0	4,888	01/01/2034	1
312910-30-3	FHLMC REMIC SER 1311 K PAC .7.000%		09/01/2017	Paydown		25,921	25,921.00	26,958	26,382	0	(461)	0	(461)	0	25,921	0	0	0	0	0	0	0	1,192	07/15/2022	1
31292H-MU-5	FHLMC 30 YR GOLD POOL C01271 6.500%		09/01/2017	Paydown		863	863.00	877	874	0	(11)	0	(11)	0	863	0	0	0	0	0	0	0	39	12/01/2031	1
31292K-GP-6	FHLMC 30 YR GOLD POOL C02906 7.000%		09/01/2017	Paydown		31,739	31,739.00	32,677	32,595	0	(856)	0	(856)	0	31,739	0	0	0	0	0	0	0	1,300	06/01/2037	1
31292S-AD-2	FHLMC 30 YR GOLD POOL C09004 3.500%		09/01/2017	Paydown		67,181	67,181.00	68,860	68,827	0	(1,646)	0	(1,646)	0	67,181	0	0	0	0	0	0	0	1,547	07/01/2042	1
31292S-B7-4	FHLMC 30 YR GOLD POOL C09062 4.000%		09/01/2017	Paydown		343,347	343,347.00	362,941	362,620	0	(19,273)	0	(19,273)	0	343,347	0	0	0	0	0	0	0	8,957	06/01/2044	1
31292S-CE-8	FHLMC 30 YR GOLD POOL C09069 4.000%		09/01/2017	Paydown		95,244	95,244.00	101,316	101,228	0	(5,984)	0	(5,984)	0	95,244	0	0	0	0	0	0	0	2,515	11/01/2044	1
31297K-V4-1	FHLMC 30 YR GOLD POOL A30635 6.000%		09/01/2017	Paydown		6,924	6,924.00	7,184	7,143	0	(219)	0	(219)	0	6,924	0	0	0							

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of  
by the Company During the Current Quarter

1 CUSIP Identifi- cation	2 Description	3 Foreign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Total Change in B./A.C.V. (11+12-13)	17 Total Foreign Exchange Change in B./A.C.V.	18 Book/Adjusted Carrying Value at Disposal Date	19 Foreign Exchange Gain (Loss) on Disposal	20 Realized Gain (Loss) on Disposal	21 Total Gain (Loss) on Disposal	22 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designa- tion or Market Indi- cator (a)		
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amort- ization) / Accretion	13 Current Year's Other- Than-Tem- porary Impairment Recognized	14 Total Change in B./A.C.V.	15 Total Foreign Exchange Change in B./A.C.V.											
Bonds - U.S. Special Revenue and Special Assessment and All Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions (continued)																									
3138E-RL-5	FNMA PASS THRU POOL AK9490 . 4.000%	09/01/2017	Paydown		141,150	141,150.00	148,913	148,766	0	(7,616)	0	(7,616)	0	141,150	0	0	0	0	0	0	0	3,858	04/01/2042	1	
3138WM-L5-8	FNMA PASS THRU POOL AT0347 . 3.500%	09/01/2017	Paydown		69,319	69,319.00	69,838	69,812	0	(493)	0	(493)	0	69,319	0	0	0	0	0	0	0	1,586	05/01/2043	1	
3138Y-M4-7	FNMA PASS THRU POOL AX7578 . 3.000%	09/01/2017	Paydown		88,174	88,174.00	90,817	90,789	0	(2,615)	0	(2,615)	0	88,174	0	0	0	0	0	0	0	1,766	01/01/2045	1	
31390P-GJ-0	FNMA PASS THRU POOL 651901 . 6.000%	09/01/2017	Paydown		46,348	46,348.00	47,703	47,494	0	(1,146)	0	(1,146)	0	46,348	0	0	0	0	0	0	0	1,874	08/01/2032	1	
31391F-T9-9	FNMA PASS THRU POOL 665776 . 6.000%	09/01/2017	Paydown		5,199	5,199.00	5,437	5,211	0	(11)	0	(11)	0	5,199	0	0	0	0	0	0	0	197	09/01/2017	1	
31396H-UD-9	FHLMC REMIC 3117 BT2TIERINVFL 7.000%	09/15/2017	Paydown		195	195.00	197	197	0	(1)	0	(1)	0	195	0	0	0	0	0	0	0	9	02/15/2036	1	
31396N-J9-8	FHLMC REMIC 3147 DT2TIERINVFL 7.000%	09/15/2017	Paydown		164	164.00	166	165	0	(1)	0	(1)	0	164	0	0	0	0	0	0	0	8	04/15/2036	1	
31401M-FA-4	FNMA PASS THRU POOL 712161 . 6.500%	09/01/2017	Paydown		27,807	27,807.00	28,672	28,578	0	(770)	0	(770)	0	27,807	0	0	0	0	0	0	0	1,312	08/01/2033	1	
31402C-TT-9	FNMA PASS THRU POOL 725162 . 6.000%	09/01/2017	Paydown		11,391	11,391.00	11,774	11,720	0	(329)	0	(329)	0	11,391	0	0	0	0	0	0	0	456	02/01/2034	1	
31403D-VZ-9	FNMA PASS THRU POOL 745932 . 6.500%	09/01/2017	Paydown		15,364	15,364.00	15,782	15,757	0	(393)	0	(393)	0	15,364	0	0	0	0	0	0	0	660	11/01/2036	1	
31404Q-D8-9	FNMA PASS THRU POOL 775127 . 6.000%	09/01/2017	Paydown		759	759.00	773	769	0	(10)	0	(10)	0	759	0	0	0	0	0	0	0	30	05/01/2034	1	
31404U-MR-8	FNMA PASS THRU POOL 778968 . 6.000%	09/01/2017	Paydown		1,859	1,859.00	1,892	1,890	0	(31)	0	(31)	0	1,859	0	0	0	0	0	0	0	73	06/01/2034	1	
31404U-UK-4	FNMA PASS THRU POOL 779186 . 6.000%	09/01/2017	Paydown		6,602	6,602.00	6,709	6,687	0	(85)	0	(85)	0	6,602	0	0	0	0	0	0	0	258	06/01/2034	1	
31405M-KC-0	FNMA PASS THRU POOL 793291 . 6.500%	09/01/2017	Paydown		3,336	3,336.00	3,507	3,459	0	(123)	0	(123)	0	3,336	0	0	0	0	0	0	0	143	01/01/2032	1	
31405M-MK-0	FNMA PASS THRU POOL 793362 . 6.000%	09/01/2017	Paydown		4,118	4,118.00	4,225	4,203	0	(85)	0	(85)	0	4,118	0	0	0	0	0	0	0	165	08/01/2034	1	
31406Y-E3-0	FNMA PASS THRU POOL 823754 . 7.000%	09/01/2017	Paydown		4,146	4,146.00	4,385	4,325	0	(180)	0	(180)	0	4,146	0	0	0	0	0	0	0	193	09/01/2031	1	
31408E-BH-4	FNMA PASS THRU POOL 848840 . 7.000%	09/01/2017	Paydown		2,136	2,136.00	2,193	2,190	0	(54)	0	(54)	0	2,136	0	0	0	0	0	0	0	100	01/01/2036	1	
31410B-EL-4	FNMA PASS THRU POOL 884039 . 7.500%	09/01/2017	Paydown		77,706	77,706.00	80,802	80,231	0	(2,525)	0	(2,525)	0	77,706	0	0	0	0	0	0	0	3,408	05/01/2036	1	
31410G-RK-1	FNMA PASS THRU POOL 888890 . 6.500%	09/01/2017	Paydown		23,593	23,593.00	25,894	25,786	0	(2,193)	0	(2,193)	0	23,593	0	0	0	0	0	0	0	1,023	10/01/2037	1	
31410G-W9-0	FNMA PASS THRU POOL 889072 . 6.500%	09/01/2017	Paydown		38,758	38,758.00	42,513	42,321	0	(3,563)	0	(3,563)	0	38,758	0	0	0	0	0	0	0	1,685	12/01/2037	1	
31410K-CX-0	FNMA PASS THRU POOL 889386 . 6.000%	09/01/2017	Paydown		26,654	26,654.00	28,882	28,799	0	(2,144)	0	(2,144)	0	26,654	0	0	0	0	0	0	0	1,024	03/01/2038	1	
31410K-DK-7	FNMA PASS THRU POOL 889406 . 6.000%	09/01/2017	Paydown		29,594	29,594.00	31,620	31,525	0	(1,931)	0	(1,931)	0	29,594	0	0	0	0	0	0	0	1,180	04/01/2038	1	
31410K-JY-1	FNMA PASS THRU POOL 889579 . 6.000%	09/01/2017	Paydown		52,727	52,727.00	57,450	57,234	0	(4,508)	0	(4,508)	0	52,727	0	0	0	0	0	0	0	2,110	05/01/2038	1	
31410S-2P-1	FNMA PASS THRU POOL 896382 . 7.000%	09/01/2017	Paydown		124,887	124,887.00	128,126	127,911	0	(3,024)	0	(3,024)	0	124,887	0	0	0	0	0	0	0	6,348	06/01/2036	1	
31410S-PW-1	FNMA PASS THRU POOL 896037 . 7.000%	09/01/2017	Paydown		45,766	45,766.00	47,203	47,126	0	(1,360)	0	(1,360)	0	45,766	0	0	0	0	0	0	0	2,387	08/01/2036	1	
31410W-QY-7	FNMA PASS THRU POOL 899671 . 6.500%	09/01/2017	Paydown		1,461	1,461.00	1,568	1,563	0	(102)	0	(102)	0	1,461	0	0	0	0	0	0	0	63	08/01/2037	1	
31411F-FC-3	FNMA PASS THRU POOL 906563 . 6.000%	09/01/2017	Paydown		27,126	27,126.00	27,991	27,908	0	(782)	0	(782)	0	27,126	0	0	0	0	0	0	0	1,043	01/01/2037	1	
31412F-H4-8	FNMA PASS THRU POOL 923751 . 7.000%	09/01/2017	Paydown		666	666.00	683	682	0	(17)	0	(17)	0	666	0	0	0	0	0	0	0	31	04/01/2037	1	
31413Y-KQ-3	FNMA PASS THRU POOL 959403 . 6.500%	09/01/2017	Paydown		1,593	1,593.00	1,637	1,633	0	(41)	0	(41)	0	1,593	0	0	0	0	0	0	0	69	12/01/2037	1	
31416B-RR-1	FNMA PASS THRU POOL 995196 . 6.000%	09/01/2017	Paydown		46,735	46,735.00	51,656	51,411	0	(4,677)	0	(4,677)	0	46,735	0	0	0	0	0	0	0	1,881	07/01/2038	1	
31416B-ST-6	FNMA PASS THRU POOL 995230 . 6.500%	09/01/2017	Paydown		25,715	25,715.00	28,094	27,971	0	(2,256)	0	(2,256)	0	25,715	0	0	0	0	0	0	0	1,120	01/01/2039	1	
31416B-SU-3	FNMA PASS THRU POOL 995231 . 6.500%	09/01/2017	Paydown		16,676	16,676.00	18,008	17,949	0	(1,273)	0	(1,273)	0	16,676	0	0	0	0	0	0	0	707	01/01/2039	1	
31418A-UP-1	FNMA PASS THRU POOL MA1489 . 3.000%	09/01/2017	Paydown		123,257	123,257.00	120,599	120,659	0	2,598	0	2,598	0	123,257	0	0	0	0	0	0	0	2,452	07/01/2043	1	
31418B-E8-5	FNMA PASS THRU POOL MA1958 . 4.000%	09/01/2017	Paydown		30,975	30,975.00	32,775	32,743	0	(1,768)	0	(1,768)	0	30,975	0	0	0	0	0	0	0	810	07/01/2044	1	
31418C-DT-8	FNMA PASS THRU POOL MA2813 . 3.000%	09/01/2017	Paydown		123,0																				

## STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Westfield Insurance Company

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of  
by the Company During the Current Quarter

1 CUSIP Identifi- cation	2 Description	3 Foreign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Total Change in B./A.C.V. (11+12-13)	17 Total Foreign Exchange Change in B./A.C.V.	18 Book/Adjusted Carrying Value at Disposal Date	19 Foreign Exchange Gain (Loss) on Disposal	20 Realized Gain (Loss) on Disposal	21 Total Gain (Loss) on Disposal	22 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designa- tion or Market Indi- cator (a)		
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amort- ization) / Accretion	13 Current Year's Other- Than-Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.											
8399999 - Subtotal - Bonds					65,501,458	63,641,750.00	72,991,078	65,451,299		0	(1,166,008)	0	(1,166,008)	0	64,606,839		0	894,620	894,620	894,620	3,487,974				
Common Stocks - Industrial and Miscellaneous (Unaffiliated)																									
04010L-10-3 ARES CAP CORP	09/26/2017	Jeffries & Co Inc			396,000.00	6,262,397		5,763,241	6,530,040	(766,799)	0	0	(766,799)	0	5,763,241		0	499,155	499,155	499,155	451,440		L		
053015-10-3 AUTOMATIC DATA PROC	07/05/2017	JP Morgan			20,805.00	2,149,394		258,297	2,138,338	(1,880,041)	0	0	(1,880,041)	0	258,297		0	1,891,097	1,891,097	1,891,097	35,577		L		
263534-10-9 DU PONT E I DE NEMOURS & CO	09/01/2017	Tax Free Exchange			103,000.00	4,385,551		4,385,551	7,560,200	(3,174,649)	0	0	(3,174,649)	0	4,385,551		0	0	0	0	0	117,420		L	
464287-46-5 ISHARES MSCI EAFE IDX FD	09/06/2017	William Blair & Co			82,000.00	5,473,561		5,482,889	0	0	0	0	0	0	5,482,889		0	(9,328)	(9,328)	(9,328)	63,704		L		
500754-10-6 KRAFT HEINZ CO	09/06/2017	Various			31,000.00	2,511,855		1,457,239	2,706,920	(1,249,681)	0	0	(1,249,681)	0	1,457,239		0	1,054,616	1,054,616	1,054,616	56,575		L		
56035L-10-4 MAIN STREET CAPITAL CORP	09/29/2017	Jeffries & Co Inc			109,187.00	4,320,965		2,910,925	4,014,806	(1,103,881)	0	0	(1,103,881)	0	2,910,925		0	1,410,040	1,410,040	1,410,040	211,823		L		
58503F-10-6 MEDLEY CAP CORP	09/29/2017	Jeffries & Co Inc			184,570.00	1,070,575		1,224,073	1,386,121	(162,048)	0	0	(162,048)	0	1,224,073		0	(153,498)	(153,498)	(153,498)	99,668		L		
594918-10-4 MICROSOFT CORP	09/06/2017	Morgan Stanley Dean Witter			14,000.00	1,031,839		356,370	869,960	(513,590)	0	0	(513,590)	0	356,370		0	675,469	675,469	675,469	16,380		L		
708062-10-4 PENNANTPARK INV T CORP	09/29/2017	Jeffries & Co Inc			239,872.00	1,765,898		1,453,624	1,837,420	(383,795)	0	0	(383,795)	0	1,453,624		0	332,274	332,274	332,274	153,518		L		
9099999 - Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)					28,992,035		23,292,209	27,043,805	(9,234,484)	0	0	(9,234,484)	0	23,292,209		0	5,699,825	5,699,825	5,699,825	1,206,105					
9799997 - Subtotal - Common Stocks - Part 4					28,992,035		23,292,209	27,043,805	(9,234,484)	0	0	(9,234,484)	0	23,292,209		0	5,699,825	5,699,825	5,699,825	1,206,105					
9799999 - Subtotal - Common Stocks					28,992,035		23,292,209	27,043,805	(9,234,484)	0	0	(9,234,484)	0	23,292,209		0	5,699,825	5,699,825	5,699,825	1,206,105					
9899999 - Subtotal - Preferred and Common Stocks					28,992,035		23,292,209	27,043,805	(9,234,484)	0	0	(9,234,484)	0	23,292,209		0	5,699,825	5,699,825	5,699,825	1,206,105					
9999999 - TOTALS					94,493,493		96,283,287	92,495,104	(9,234,484)	(1,166,008)	0	(10,400,492)	0	87,899,048		0	6,594,445	6,594,445	6,594,445	4,694,079					

E05.3

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Schedule DB, Part A, Section 1  
**NONE**

Description of Hedged Risk (s)  
**NONE**

Financial or Economic Impact of the Hedge  
**NONE**

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Schedule DB, Part B, Section 1  
**NONE**

Schedule DB, Part B, Section 1, Broker Name  
**NONE**

Schedule DB, Part B, Description of Hedged Risk (s)  
**NONE**

Schedule DB, Part B, Financial or Economic Impact of the Hedge  
**NONE**

**Page E08**

Schedule DB, Part D, Section 1  
**NONE**

**Page E09**

Schedule DB, Part D, Section 2, By Reporting Entity  
**NONE**

Schedule DB, Part D, Section 2, To Reporting Entity  
**NONE**

**Page E10**

Schedule DL, Part 1  
**NONE**

**Page E11**

Schedule DL, Part 2  
**NONE**

**SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Name	Location and Supplemental Information							
<b>Open Depositories</b>								
Federal Home Ln Bank .....	Cincinnati, OH .....	0.830 .....	647 .....	0 .....	162,683 .....	126,777 .....	201,818 .....	
Wells Fargo Advisors .....	New Albany, OH .....	0.000 .....	0 .....	0 .....	0 .....	3 .....	3 .....	
Westfield Bank FSB .....	Westfield Center, OH .....	0.000 .....	0 .....	0 .....	(14,897) .....	(24,326) .....	(26,643) .....	
0199999 - TOTAL - Open Depositories .....			647 .....	0 .....	147,785 .....	102,453 .....	175,178 .....	
0399999 - TOTAL Cash on Deposit .....			647 .....	0 .....	147,785 .....	102,453 .....	175,178 .....	
0599999 - TOTALS .....			647 .....	0 .....	147,785 .....	102,453 .....	175,178 .....	

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Schedule E, Part 2, Cash Equivalents  
**NONE**



SUPPLEMENT FOR THE QUARTER ENDING SEPTEMBER 30, 2017 OF THE Westfield Insurance Company

**DIRECTOR AND OFFICER INSURANCE COVERAGE SUPPLEMENT**  
Year To Date For The Period Ended September 30

NAIC Group Code: 0228

NAIC Company Code: 24112

If the reporting entity writes any director and officer (D&O) business, please provide the following:

1. Monoline Policies

1 <u>Direct Written Premium</u>	2 <u>Direct Earned Premium</u>	3 <u>Direct Losses Incurred</u>
\$ ..... 1,000	\$ ..... 748	\$ ..... 0

2. Commercial Multiple Peril (CMP) Packaged Policies

2.1 Does the reporting entity provide D&O liability coverage as part of a CMP packaged policy?	Yes (X) No ( )
2.2 Can the direct premium earned for D&O liability coverage provided as part of a CMP packaged policy be quantified or estimated?	Yes (X) No ( )
2.3 If the answer to question 2.2 is yes, provide the quantified or estimated direct premium earned amount for D&O liability coverage in CMP packaged policies.	
2.31 Amount quantified:	\$ ..... 62,112
2.32 Amount estimated using reasonable assumptions:	\$ ..... 0
2.4 If the answer to 2.1 is yes, provide direct losses incurred (losses paid plus change in case reserves) for the D&O liability coverage provided in CMP packaged policies.	\$ ..... 25,710