



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

## QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2017  
OF THE CONDITION AND AFFAIRS OF THE

### Dealers Assurance Company

NAIC Group Code 0000 (Current) 0000 (Prior) NAIC Company Code 16705 Employer's ID Number 34-6513705  
Organized Under the Laws of Ohio, State of Domicile or Port of Entry OH  
Country of Domicile United States of America  
Incorporated/Organized 08/02/1985 Commenced Business 08/02/1985  
Statutory Home Office 240 North Fifth Street, Suite 350 (Street and Number) Columbus, OH, US 43215 (City or Town, State, Country and Zip Code)  
Main Administrative Office 15920 Addison Road (Street and Number) Addison, TX, US 75001 (Area Code) (Telephone Number)  
Mail Address 240 North Fifth Street, Suite 350 (Street and Number or P.O. Box) Columbus, OH, US 43215 (City or Town, State, Country and Zip Code)  
Primary Location of Books and Records 240 North Fifth Street, Suite 350 (Street and Number) Columbus, OH, US 43215 (Area Code) (Telephone Number)  
Internet Website Address www.dealersassurance.com  
Statutory Statement Contact Linda M. Toy (Name) 800-282-8913 (Area Code) (Telephone Number)  
ltoy@dealersassurance.com (E-mail Address) 614-459-2665 (FAX Number)

#### OFFICERS

President Kristen Anne Gruber Treasurer Linda Marie Toy  
Secretary Kirk Alan Borchardt # Assistant Secretary David Paul May

#### OTHER

Edmond Melgar Eckert, Senior Vice President Kirk Alan Borchardt #, Executive Vice President Linda Marie Toy, Vice President

#### DIRECTORS OR TRUSTEES

James Bradford Smith Kristen Anne Gruber Edmond Melgar Eckert  
Robert Reynard Bowsher Kelly Aubrey Westlake Michael Thomas Rogers

State of Ohio SS: Franklin  
County of

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Kristen Anne Gruber

Kristen Anne Gruber  
President

Kirk Alan Borchardt

Kirk Alan Borchardt  
Secretary

Linda Marie Toy

Linda Marie Toy  
Treasurer

Subscribed and sworn to before me this  
10th day of November, 2017  
K. H. Burkholder

a. Is this an original filing?  Yes  No  
b. If no,  
1. State the amendment number  
2. Date filed  
3. Number of pages attached

Yes  No



KEITH H BURKHOLDER  
Notary Public  
In and for the State of Ohio  
My Commission Expires  
August 17, 2020

## ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	75,042,793		75,042,793	72,998,379
2. Stocks:				
2.1 Preferred stocks .....	3,619,500		3,619,500	3,770,044
2.2 Common stocks .....	12,788,113		12,788,113	11,533,476
3. Mortgage loans on real estate:				
3.1 First liens .....	768,638		768,638	792,171
3.2 Other than first liens .....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....	2,811,783		2,811,783	1,778,518
4.2 Properties held for the production of income (less \$ encumbrances) .....			0	0
4.3 Properties held for sale (less \$ encumbrances) .....			0	0
5. Cash (\$ 3,900,086), cash equivalents (\$ 9,396,433) .....	13,296,519		13,296,519	11,001,687
6. Contract loans (including \$ premium notes) .....			0	0
7. Derivatives .....			0	0
8. Other invested assets .....			0	0
9. Receivables for securities .....			0	0
10. Securities lending reinvested collateral assets .....			0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	108,327,346	0	108,327,346	101,874,275
13. Title plants less \$ charged off (for Title insurers only) .....			0	0
14. Investment income due and accrued .....	494,208		494,208	538,334
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	2,320,063		2,320,063	2,655,555
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums) .....			0	0
15.3 Accrued retrospective premiums (\$ ) and contracts subject to redetermination (\$ ) .....			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....			0	0
16.2 Funds held by or deposited with reinsured companies .....			0	0
16.3 Other amounts receivable under reinsurance contracts .....			0	154,540
17. Amounts receivable relating to uninsured plans .....			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon .....			0	0
18.2 Net deferred tax asset .....	70,098		70,098	382,891
19. Guaranty funds receivable or on deposit .....			0	0
20. Electronic data processing equipment and software .....			0	0
21. Furniture and equipment, including health care delivery assets (\$ ) .....			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	0
23. Receivables from parent, subsidiaries and affiliates .....			0	25,414
24. Health care (\$ ) and other amounts receivable .....			0	0
25. Aggregate write-ins for other than invested assets .....	894,705	0	894,705	880,952
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	112,106,420	0	112,106,420	106,511,961
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	0
28. Total (Lines 26 and 27) .....	112,106,420	0	112,106,420	106,511,961
<b>DETAILS OF WRITE-INS</b>				
1101. .....				
1102. .....				
1103. .....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above) .....	0	0	0	0
2501. Accounts Receivable .....	892,437		892,437	877,656
2502. Interest Receivable .....	2,268		2,268	3,296
2503. .....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	894,705	0	894,705	880,952

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company  
**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ 156,089 )	156,089	156,089
2. Reinsurance payable on paid losses and loss adjustment expenses	0	0
3. Loss adjustment expenses	0	0
4. Commissions payable, contingent commissions and other similar charges	380,827	284,189
5. Other expenses (excluding taxes, licenses and fees)	598,442	745,763
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	103,263	601,629
7.1 Current federal and foreign income taxes (including \$ on realized capital gains (losses))	654,587	671,095
7.2 Net deferred tax liability		
8. Borrowed money \$ and interest thereon \$ 17,630	17,630	15,956
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ 214,905,143 and including warranty reserves of \$ 17,149,086 and accrued accident and health experience rating refunds including \$ for medical loss ratio rebate per the Public Health Service Act)	21,575,272	19,411,129
10. Advance premium		
11. Dividends declared and unpaid:		
11.1 Stockholders		
11.2 Policyholders		
12. Ceded reinsurance premiums payable (net of ceding commissions)	932,827	1,044,858
13. Funds held by company under reinsurance treaties	12,735,683	14,701,541
14. Amounts withheld or retained by company for account of others	8,825,663	7,584,661
15. Remittances and items not allocated		
16. Provision for reinsurance (including \$ certified)		0
17. Net adjustments in assets and liabilities due to foreign exchange rates		
18. Drafts outstanding		
19. Payable to parent, subsidiaries and affiliates	73,817	78,106
20. Derivatives	0	0
21. Payable for securities	226,856	
22. Payable for securities lending		
23. Liability for amounts held under uninsured plans		
24. Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	0	0
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	46,280,956	45,295,016
27. Protected cell liabilities		
28. Total liabilities (Lines 26 and 27)	46,280,956	45,295,016
29. Aggregate write-ins for special surplus funds	0	0
30. Common capital stock	4,200,990	4,200,990
31. Preferred capital stock		
32. Aggregate write-ins for other than special surplus funds	0	0
33. Surplus notes	3,000,000	3,000,000
34. Gross paid in and contributed surplus	9,732,810	9,732,810
35. Unassigned funds (surplus)	48,891,664	44,283,145
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 30 \$ )		
36.2 shares preferred (value included in Line 31 \$ )		
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	65,825,464	61,216,945
38. Totals (Page 2, Line 28, Col. 3)	112,106,420	106,511,961
<b>DETAILS OF WRITE-INS</b>		
2501.		
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	0	0
2901.		
2902.		
2903.		
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0
3201.		
3202.		
3203.		
3298. Summary of remaining write-ins for Line 32 from overflow page	0	0
3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)	0	0

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company  
**STATEMENT OF INCOME**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct (written \$ 102,745,422 )	94,040,875	120,336,547	151,675,554
1.2 Assumed (written \$ (397,204) )	(237,492)	145,207	82,521
1.3 Ceded (written \$ 91,409,972 )	85,029,280	111,906,185	140,409,308
1.4 Net (written \$ 10,938,246 )	8,774,103	8,575,569	11,348,767
<b>DEDUCTIONS:</b>			
2. Losses incurred (current accident year \$ 585,078 ):			
2.1 Direct	48,739,980	53,525,760	69,989,093
2.2 Assumed	22,821	38,180	44,508
2.3 Ceded	47,972,628	52,922,562	69,025,240
2.4 Net	790,173	641,378	1,008,361
3. Loss adjustment expenses incurred	107,150	206,861	245,002
4. Other underwriting expenses incurred	3,480,185	1,831,193	2,671,679
5. Aggregate write-ins for underwriting deductions	0	0	0
6. Total underwriting deductions (Lines 2 through 5)	4,377,508	2,679,432	3,925,042
7. Net income of protected cells			
8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	4,396,595	5,896,137	7,423,725
<b>INVESTMENT INCOME</b>			
9. Net investment income earned	1,292,384	1,027,667	1,461,084
10. Net realized capital gains (losses) less capital gains tax of \$ 117,648	228,375	108,479	127,833
11. Net investment gain (loss) (Lines 9 + 10)	1,520,759	1,136,146	1,588,917
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$ 24,497 )	(24,497)	(494,277)	(532,379)
13. Finance and service charges not included in premiums			
14. Aggregate write-ins for miscellaneous income	(183,965)	(6,308)	(29,503)
15. Total other income (Lines 12 through 14)	(208,462)	(500,585)	(561,882)
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	5,708,892	6,531,698	8,450,760
17. Dividends to policyholders			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	5,708,892	6,531,698	8,450,760
19. Federal and foreign income taxes incurred	1,994,720	2,376,636	3,037,760
20. Net income (Line 18 minus Line 19)(to Line 22)	3,714,172	4,155,062	5,413,000
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year	61,216,945	56,172,736	56,172,736
22. Net income (from Line 20)	3,714,172	4,155,062	5,413,000
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains (losses) less capital gains tax of \$ 410,428	796,712	356,300	337,561
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	97,635	278,085	293,648
27. Change in nonadmitted assets			0
28. Change in provision for reinsurance			0
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in	0	0	0
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in	0	0	0
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders		0	(1,000,000)
36. Change in treasury stock			0
37. Aggregate write-ins for gains and losses in surplus	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37)	4,608,519	4,789,447	5,044,209
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	65,825,464	60,962,183	61,216,945
<b>DETAILS OF WRITE-INS</b>			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)	0	0	0
1401. Misc. Income/(Expense)	(2,592)	(1,036)	(1,080)
1402. Admin/Service Fee Income	125,650	79,560	113,803
1403. Interest expense-Funds Held	(307,023)	(84,832)	(142,226)
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	(183,965)	(6,308)	(29,503)
3701.			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company  
**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	11,316,247	8,081,631	11,769,798
2. Net investment income .....	1,785,501	1,381,862	1,829,577
3. Miscellaneous income .....	(208,462)	(500,585)	(561,882)
4. Total (Lines 1 to 3) .....	12,893,286	8,962,908	13,037,493
5. Benefit and loss related payments .....	790,173	641,894	890,597
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	3,959,787	2,826,330	3,238,973
8. Dividends paid to policyholders .....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses) .....	2,128,876	2,121,360	3,041,697
10. Total (Lines 5 through 9) .....	6,878,836	5,589,584	7,171,267
11. Net cash from operations (Line 4 minus Line 10) .....	6,014,450	3,373,324	5,866,226
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	14,854,256	18,473,924	23,039,431
12.2 Stocks .....	10,209,352	10,932,125	14,229,563
12.3 Mortgage loans .....	23,533	20,189	26,744
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	0	0	511,456
12.7 Miscellaneous proceeds .....	226,856	1,171,140	4
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	25,313,997	30,597,378	37,807,198
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	17,305,882	25,026,267	28,675,218
13.2 Stocks .....	9,732,367	11,948,546	15,659,068
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	1,103,970	1,808,559	1,825,589
13.5 Other invested assets .....	0	0	0
13.6 Miscellaneous applications .....	0	0	975,812
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	28,142,219	38,783,372	47,135,687
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(2,828,221)	(8,185,994)	(9,328,489)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	1,674	1,404	1,288
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	1,000,000
16.6 Other cash provided (applied) .....	(893,071)	2,975,368	4,056,022
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	(891,397)	2,976,772	3,057,310
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	2,294,832	(1,835,898)	(404,953)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	11,001,687	11,406,640	11,406,640
19.2 End of period (Line 18 plus Line 19.1) .....	13,296,519	9,570,742	11,001,687

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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# NOTES TO FINANCIAL STATEMENTS

## 1. Summary of Significant Accounting Policies

### A.- Accounting Practices

The accompanying financial statements of Dealers Assurance Company have been prepared in conformity with accounting practices prescribed or permitted by the National Association of Insurance Commissioners and the State of Ohio. The company adopted codification as of 01/01/01.

	SSAP #	F/S Page	F/S Line #	2017	2016
<b>Net Income</b>					
(1) Company state basis (Page 4, Line 20, Columns 1 & 2)	-	-	-	3,714,172	\$ 5,413,000
(2) State Prescribed Practices that (increase)/decrease NAIC SAP	-	-	-	-	-
(3) State Permitted Practices that (increase)/decrease NAIC SAP S	-	-	-	-	-
(4) NAIC SAP (1 – 2 – 3 = 4)	-	-	-	3,714,172	\$ 5,413,000
<b>Surplus</b>					
(5) Company state basis (Page 3, Line 37, Columns 1 & 2)	-	-	-	65,825,464	\$ 61,216,945
(6) State Prescribed Practices that increase/(decrease) NAIC SAP	-	-	-	-	-
(7) State Permitted Practices that increase/(decrease) NAIC SAP	-	-	-	-	-
(8) NAIC SAP (1 – 2 – 3 = 4)				65,825,464	\$ 61,216,945

### 1B. No changes to note

**1C** Real estate investments on the balance sheet are classified as properties occupied by the company. These are carried at the amortized cost less encumbrances.

### 1C (1) to 1C (5) No changes to Note

**1 C (6)** Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair value. The retrospective adjustment method is used to value all securities except for interest only securities or securities where the yield had become negative, that are valued using the prospective method.

### 1D Going Concern

Based upon its evaluation of relevant conditions and events, management does not have substantial doubt about the Company's ability to continue as a going concern.

### 2 to 4 No changes to note.

# NOTES TO FINANCIAL STATEMENTS

## 5A Mortgage Loans, including Mezzanine Real Estate Loans

(1) The minimum and maximum lending rates for mortgage loans during 2017 were:  
 Commercial Loans 5.50% Residential Loans 4.00%

(2) The maximum percentage of any one loan to the value of security at the time of the loan, exclusive of insured or guaranteed or purchase money mortgages was 73.49%.

(3) Taxes, assessments and any amounts advanced and not included in the mortgage loan total:

Current Year	Prior Year
-0-	-0-

(4) Age Analysis of Mortgage Loans:

	Farm	Residential		Commercial		Mezzanine	Total
		Insured	All Other	Insured	All Other		

### a Current Year

1. Recorded Investment (All)											
(a) Current	\$	-	\$	-	\$ 254,211	\$	-	\$ 514,427	\$	-	\$ 768,638
(b) 30-59 Days Past Due	-		-		-	-		-	-		-
(c) 60-89 Days Past Due	-		-		-	-		-	-		-
(d) 90-179 Days Past Due	-		-		-	-		-	-		-
(e) 180+ Past Days Past Due	-		-		-	-		-	-		-
2. Accruing Interest 90-179 Days Past Due											
(a) Recorded Investment	-		-		-	-		-	-		-
(b) Interest Accrued	-		-		-	-		-	-		-
3. Accruing Interest 180+ Days Past Due											
(a) Recorded Investment	-		-		-	-		-	-		-
(b) Interest Accrued	-		-		-	-		-	-		-
4. Interest Reduced											
(a) Recorded Investment	-		-		-	-		-	-		-
(b) Number of Loans	-		-		-	-		-	-		-
(c) Percent Reduced	-		-		-	-		-	-		-

### Prior Year

1. Recorded Investment											
(a) Current	-		-		264,910	-		527,261	-		792,171
(b) 30-59 Days Past Due	-		-		-	-		-	-		-
(c) 60-89 Days Past Due	-		-		-	-		-	-		-
(d) 90-179 Days Past Due	-		-		-	-		-	-		-
(e) 180+ Days Past Due	-		-		-	-		-	-		-
2. Accruing Interest 90-179 Days Past Due											
(a) Recorded Investment	-		-		-	-		-	-		-
(b) Interest Accrued	-		-		-	-		-	-		-
3. Accruing Interest 180+ Days Past Due											
(a) Recorded Investment	-		-		-	-		-	-		-
(b) Interest Accrued	-		-		-	-		-	-		-
4. Interest Reduced											
(a) Recorded Investment	-		-		-	-		-	-		-
(b) Number of Loans	-		-		-	-		-	-		-
(c) Percent Reduced	-		-		-	-		-	-		-

5A (5) to 5 C. No changes to note.

## 5D. Loan-Backed Securities

1. Bloomberg is used as a source for the prepayment factors.
2. – 4. Not applicable, no permanently impaired bonds.
5. No impairments exist other than interest rate related declines classified as temporary.

## 5E. The Company did not have any repurchase agreements in 2017.

**F-H** No changes to note

**I.** The Company has no Working Capital Finance Investments.  
**J.** The company has no offsetting or netting of assets and liabilities  
**K.** The Company has no structured notes.

# NOTES TO FINANCIAL STATEMENTS

6.-8. No changes to note

## 9. Income Taxes

A. The components of the net deferred tax assets/(liability) at September 30, 2017 are as follows:

1.

	9/30/17			12/31/16			Change		
	(1) Ordinary	(2) Capital	(3) (Col 1 + 2) Total	(4) Ordinary	(5) Capital	(6) (Col 4 + 5) Total	(7) (Col 1 – 4) Ordinary	(8) (Col 2 – 5) Capital	(9) (Col 7 + 8) Total
a Gross Deferred Tax Assets	1,804,750	-	1,804,750	1,611,461	-	1,611,461	193,289	-	193,289
b Statutory Valuation allowance adjustment	-	-	-	-	-	-	-	-	-
c Adjusted Gross Deferred Tax Asset (1a -1d)	1,804,750	-	1,804,750	1,611,461	-	1,611,461	193,289	-	193,289
d Deferred Tax Assets Nonadmitted	953,627	-	953,627	857,972	-	857,972	95,655	-	95,655
e Subtotal Net Admitted Deferred Tax Assets (1c-1f)	851,123	-	851,123	753,489	-	753,489	97,634	-	97,634
f Deferred Tax Liabilities	-	781,025	781,025	-	370,598	370,598	-	410,427	410,427
g Net Admitted Deferred Tax Asset (Net Deferred Tax Liability)	851,123	(781,025)	70,098	753,489	(370,598)	382,891	97,634	(410,427)	(312,793)

2.

	9/30/17			12/31/16			Change		
	(1) Ordinary	(2) Capital	(3) (Col 1 + 2) Total	(4) Ordinary	(5) Capital	(6) (Col 4 + 5) Total	(7) (Col 1 – 4) Ordinary	(8) (Col 2 – 5) Capital	(9) (Col 7 + 8) Total

Admission Calculation Components SSAP No. 101

a Federal Income Taxes Paid in Prior Years Recoverable Through Loss Carrybacks	-	-	-	-	-	-	-	-	-
b Adjusted Gross Deferred Tax Asset Expected To Be Realized (Excluding the Amount of Deferred Tax Assets From 2(a) above). After Application of the Threshold Limitation. (The Lesser of 2(b)1 and 2(b)2 Below)	851,123	(781,025)	70,098	753,489	(370,598)	382,891	97,634	(410,427)	(312,793)
1 Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date	851,123	(781,025)	70,098	753,489	(370,598)	382,891	97,634	(410,427)	(312,793)
2. Adjusted Gross Deferred Tax Assets (Excluding the Amount of Deferred Tax Allowed per Limitation Threshold)	xxxxxx	xxxxx	9,863,305	xxxxxxxx	xxxxxx	9,125,108	xxxxxxxxxx	xxxxxxxx	738,197
c Adjusted Gross Deferred Tax Assets (Excluding the Amount of Deferred Tax Assets from 2(a) and 2 (b) above) Offset by Gross Deferred Tax Liabilities	-	-	-	-	-	-	-	-	-
d Deferred Tax Assets Admitted as the result of application of SSAP 101.	851,123	(781,025)	70,098	753,489	(370,598)	382,891	97,634	(410,427)	(312,793)
Total )2(a) + 2(b) + 2 (c)									

3

2017	2016
------	------

(a) Ratio Percentage Used to Determine Recovery Period and Threshold Limitation Amount	612%	566%
(b) Amount of Adjusted Capital and Surplus Used to Determine Recovery Period and Threshold Limitation in 1(b) above	65,825,464	61,216,945

# NOTES TO FINANCIAL STATEMENTS

4.

9/30/17			12/31/16			Change		
(1) Ordinary	(2) Capital	(3) (Col 1 + 2) Total	(4) Ordinary	(5) Capital	(6) (Col 4 + 5) Total	(7) (Col 1 – 4) Ordinary	(8) (Col 2 – 5) Capital	(9) (Col 7 + 8) Total

## Impact of Tax Planning Strategies

a	Adjusted Gross DTA's (% of Total Adjusted Gross DTA's)	100%	0%	100%	100%	0%	100%	0%	0%
b	Net Admitted Adjusted Gross DTA's (% of Total Net Admitted Adjusted Gross DTA's)	1214%	-1114%	100%	197%	-97%	100%	1017%	-1017%

c Does the Company's tax-planning strategies include the use of Reinsurance? Yes  No \_\_\_\_\_

B Deferred tax liabilities not recognized at September 30, 2017 and December 31, 2016 are as follows:

N/A-All Deferred Tax Liabilities have been recognized

C Current income taxes incurred consist of the following major components

	(1) 9/30/17	(2) 12/31/16	(3) (Col 1 + 2) Change
1. Current Income Tax			
(a) Federal	1,994,720	3,037,760	(1,043,040)
(b) Foreign	-	-	-
(c) Subtotal	1,994,720	3,037,760	(1,043,040)
(d) Federal Income tax on net capital gains	117,648	65,854	51,794
(e) Utilization of capital loss carry-forwards	-	-	-
(f) Other	-	-	-
(g) Federal and foreign income taxes incurred	2,112,368	3,103,614	(991,246)
2. Deferred Tax Assets			
(a) Ordinary			
(1) Discounting of Unpaid Losses	7,960	7,960	-
(2) Unearned Premium Reserve	1,467,119	1,319,958	147,161
(3) Policyholder Reserves	-	-	-
(4) Investments	329,671	283,543	46,128
(5) Deferred Acquisition Costs	-	-	-
(6) Policyholder Dividends Accrual	-	-	-
(7) Fixed Assets	-	-	-
(8) Compensation and Benefits Accrual	-	-	-
(9) Pension Accrual	-	-	-
(10) Receivables-Nonadmitted	-	-	-
(11) Net Operating Loss Carry-forward	-	-	-
(12) Tax Credit Carry-forward	-	-	-
(13) Other (including items <5% of total Capital Assets)	-	-	-
(99) Subtotal	1,804,750	1,611,461	193,289
(b) Statutory Valuation Allowance Adjustment	-	-	-
(c) Nonadmitted	953,627	857,972	95,655
(d) Admitted Ordinary Deferred tax Asset (2a99 – 2f – 2g)	851,123	753,489	97,634
(e) Capital:			
(1) Investments	-	-	-
(2) Net Capital less Carry-forward	-	-	-
(3) Real Estate	-	-	-
(4) Other (including items <5% of total Capital Assets)	-	-	-
(99) Subtotal	-	-	-
(f) Statutory Valuation Allowance Adjustment	-	-	-
(g) Nonadmitted	-	-	-
(h) Admitted Capital Deferred Tax Assets (2a99 – 2f -2g)	-	-	-
(i) Admitted Deferred Tax Asset (2d + 22h)	851,123	753,489	97,634

# NOTES TO FINANCIAL STATEMENTS

(1)	(2)	(3)
9/30/17	12/31/16	(Col 1 + 2) Change

## 3. Deferred Tax Liabilities

### (a) Ordinary

(1) Investments	-	-	-
(2) Fixed Assets	-	-	-
(3) Deferred and Uncollected premium	-	-	-
(4) Policyholder Reserves	-	-	-
(5) Other (including items <5% of ordinary Tax Liabilities)	-	-	-
(99) Subtotal	-	-	-

### (b) Capital

(1) Investments	781,025	370,598	410,427
(2) Real Estate		-	
(3) Other (including items <5% of ordinary Tax Liabilities)		-	
(99) Subtotal	781,025	370,598	410,427

### (c) Deferred Tax Liabilities (3a99 + 3b99)

4. Net Deferred Tax Assets/Liabilities (2i – 3c)	70,098	382,891	(312,793)
--	--------	---------	-----------

D Among the more significant book to tax adjustments were the following:

	Amount	Tax Effect	%
Income before taxes	5,826,541	1,981,024	34%
Unearned Premium Adjustment	432,828	147,162	2%
Bond Discount	135,670	46,128	1%
Loss Reserve Discounting	35,441	12,050	0%
Dividends Received Deduction	(236,273)	(80,333)	-1%
Capital Loss Carry-Forward	-	-	0%
Other, net	18,640	6,337	0%
Total	6,212,847	2,112,368	36%

E At September 30, 2017, the Company has no net capital-loss forward available for recoupment against future capital gains.  
 F. The Company is included in a consolidated federal income tax return with its parent company, DAC Financial Holdings, Inc. The Company has a written agreement, approved by the Company's Board of Directors, which sets forth the manner in which the total combined federal income tax is allocated to each entity which is party to the consolidation. Pursuant to this agreement, the Company has the enforceable right to recoup federal income taxes paid in prior years in the event of future net losses, which it may incur, or recoup its net losses carried forward as an offset to future net income subject to federal income taxes.

## 10. Information Concerning Parent, Subsidiaries and Affiliates and Other Related Parties

A-C No changes.  
 D The Company reported \$73,817 payable to affiliates as of September 30, 2017 per the cost sharing agreements.  
 E-F No changes.  
 G The parent company, Dealers Alliance Corporation, is a non-insurance entity. Through 09/30/10 (and prior years) Dealers Assurance Company provided funds for federal income tax deposits (on a consolidated basis). In prior years other companies included in consolidated tax return reimbursed their portion of federal income tax deposits on a quarterly basis.  
 H-L Dealers Assurance Company holds no investments in its parent company or affiliates.

## 11. Debt

The Company does not have any outstanding debt.

## 12A The Company has no defined benefit plans.

## 12B-16 No changes to note

## 17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

The Company does not have any sales or transfers to report.

## 18. – 19. No changes to note

# NOTES TO FINANCIAL STATEMENTS

## 20. Fair Value Measurements

### A.

#### (1) Assets Measured at Fair Value on a Recurring Basis

	(1) Description	(2) (Level 1)	(3) (Level 2)	(4) (Level 3)	(5) Total
a.	Assets at fair value				
	Preferred Stock	\$ 1,293,416			\$ 1,293,416
	Bonds	-			-
	Common Stock:				
	Indust. & Misc	\$ 12,421,469			\$ 12,421,469
	Money Mkt Mutual Funds	\$ 366,644			\$ 366,644.37
	Derivative assets	-			-
	Separate account assets	-			-
	Total assets at fair value	\$ 14,081,529			\$ 14,081,529
b.	Liabilities at fair value	\$ -			\$ -
	Total liabilities at fair value	\$ -			\$ -

(2) There have been no significant transfers between level 1 and 2.

(3-4) Assets measured at Fair Value on a recurring basis with significant unobservable inputs (level 3)

NONE

(5) The Company has no derivative assets or liabilities.

### B. Other Fair Value Disclosures-Not Applicable

### C. Fair Values for all Financial instruments by level 1, 2 and 3.

Description	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (carrying value)
Bonds	75,095,951	75,042,793	75,095,951			
Preferred Stock	3,760,726	3,619,500	3,760,726			
Common Stock	12,788,113	12,788,113	12,788,113			
Mortgage loans						768,638
Cash , cash equivalents and short-term investments	13,296,435	13,296,519	13,296,435			

### D. Items which are not practicable to estimate fair values

It is not practical to determine the fair value of mortgage loans for purposes of the above disclosure of Note 20C due the fact that these items are not traded and therefore quoted market prices are not available. Also, the cost of obtaining estimates of fair values from other sources is considered excessive given the immateriality of the mortgage loans.

Type or Class of Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
Mortgage Loans				
DAC 001 Albuquerque, NM	254,211	5.500	07/01/2019	See paragraph above
DAC 002 Mokena, IL	514,427	4.000	09/01/2029	See paragraph above

## 21 A-F No changes to note.

G The Company has no offsetting and netting of Assets and Liabilities

H The Company has no Joint and Severable Liabilities

I The Company has no risk sharing involving the Affordable Care Act

## 22 -23 No changes to Note

## 24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

The Company does not have retrospectively rated contracts or contracts subject to redetermination.

## 25. Change in Incurred Losses and Loss Adjustment Expenses

Reserves as of December 31, 2016 were \$156,089. As of September 30, 2017, \$205,095 (net of reinsured prior year claims) was paid for incurred losses and loss adjustment expenses attributable to insured events of prior years. There has been a \$49,006 negative prior year development.

There was no re-estimation of unpaid claims and claim adjustment expenses. Business written by DAC is related to service contracts issued on an assortment of consumer goods, but primarily, automobile service contracts. Historically, losses on this coverage are settled within 90 days of incurred date (98.7%); and the balance is settled within 180 days of incurred date

## 26. – 35. No changes to note.

## 36. Financial Guaranty Insurance

The company does not write financial guaranty insurance.

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company  
**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]

1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]

2.2 If yes, date of change: \_\_\_\_\_

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... If yes, complete Schedule Y, Parts 1 and 1A. Yes [ X ] No [ ]

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
.....	.....	.....

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ X ] N/A [ ] If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ..... 12/31/2013

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ..... 12/31/2013

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ..... 10/03/2014

6.4 By what department or departments?  
Ohio Department of Insurance

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]

6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ ] No [ ] N/A [ X ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [ X ]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
.....	.....	.....	.....	.....	.....

**STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company**  
**GENERAL INTERROGATORIES**

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [  ] No [  ]  
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? ..... Yes [  ] No [  ]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [  ] No [  ]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**FINANCIAL**

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [  ] No [  ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ .....

**INVESTMENT**

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [  ] No [  ]

11.2 If yes, give full and complete information relating thereto:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds .....	\$ .....	\$ .....
14.22 Preferred Stock .....	\$ .....	\$ .....
14.23 Common Stock .....	\$ .....	\$ .....
14.24 Short-Term Investments .....	\$ .....	\$ .....
14.25 Mortgage Loans on Real Estate .....	\$ .....	\$ .....
14.26 All Other .....	\$ .....	\$ .....
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) .....	\$ .....	\$ .....
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....	\$ .....	\$ .....

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [  ] No [  ]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [  ] No [  ]

If no, attach a description with this statement.

**STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company**  
**GENERAL INTERROGATORIES**

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. ....	\$ ..... 0
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. ....	\$ ..... 0
16.3 Total payable for securities lending reported on the liability page. ....	\$ ..... 0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [  ] No [  ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
US Bank .....	Winston Salem, NC .....
Wells Fargo Bank .....	Richmond, VA .....
First National Bankers Bank .....	Little Rock, AR .....
Citibank, NA .....	NY, NY .....
Century Bank .....	Sante Fe, NM .....
RBS Citizens, NA .....	Providence, RI .....
Xerox State & Local Solutions, Inc .....	Quincy, MA .....
Bank of New York Mellon .....	Atlanta, GA .....

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....	.....	.....

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [  ] No [  ]

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....	.....	.....	.....

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
ClearArc Capital, Inc .....	U.....
Bank of New York Mellon Wealth Management .....	U.....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets? ..... Yes [  ] No [  ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? ..... Yes [  ] No [  ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
104650 .....	ClearArc Capital, Inc .....	549300K4SX0CLBZHS67 .....	SEC .....	NO.....
8275 .....	Bank of New York Wealth Management .....	.....	Office of Controller of Currency .....	NO.....

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [  ] No [  ]

18.2 If no, list exceptions:

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company  
**GENERAL INTERROGATORIES**

**PART 2 - PROPERTY & CASUALTY INTERROGATORIES**

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? ..... Yes [ ] No [ X ] N/A [ ]  
 If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? ..... Yes [ ] No [ X ]  
 If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? ..... Yes [ ] No [ X ]

3.2 If yes, give full and complete information thereto.

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves") discounted at a rate of interest greater than zero? ..... Yes [ ] No [ X ]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Discount Rate	TOTAL DISCOUNT				DISCOUNT TAKEN DURING PERIOD			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 TOTAL
			TOTAL	0	0	0	0	0	0	0

5. Operating Percentages:

5.1 A&H loss percent ..... %

5.2 A&H cost containment percent ..... %

5.3 A&H expense percent excluding cost containment expenses ..... %

6.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date ..... \$

6.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]

6.4 If yes, please provide the balance of the funds administered as of the reporting date ..... \$

## STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company

**SCHEDULE F - CEDED REINSURANCE**

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
00000	AA-0053877	All Star Reinsuance Company .....	TCA .....	Unauthorized .....		
00000	AA-0052742	Coalition Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-005374	Nuevo Nino's Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0057419	Harpswell Reinsurance Company, Ltd .....	TCA .....	Unauthorized .....		
00000	AA-0056346	Drive Away Confident Reinsurance .....	TCA .....	Unauthorized .....		
00000	AA-0057154	Lucerne Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0056821	Costal Empire Reinsurance .....	TCA .....	Unauthorized .....		
00000	AA-0057229	Auto Shield USA Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0057130	BBS Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0057403	Hikaru Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0057329	SGJG Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0057309	Scottsmen Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0057491	NY Palm Tree Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0052444	DAC Roush Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0057722	Golf East Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0057717	Chesapeake Family Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0057727	JMS Family Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0057228	Jstar Family Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0057730	TARP Group Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0057723	Greater Charlotte Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0057204	The Legend Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0054507	Alexandria Grey Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0055491	Auto Dealer Solutions Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0057174	HR Auto Motors Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0054860	CDM Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0055932	CMFR Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0055933	Eric C Gerhardt Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0055936	Govero Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0057457	CGBS Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0057549	Amycakes 2 Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0057486	EAI Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0057221	Global Protection Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0057827	SENAK Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0057923	3TG Reinsurance Company .....	TCA .....	Unauthorized .....		
00000	AA-0057793	BKRAD040 Reinsurance Company .....	TCA .....	Unauthorized .....		

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company  
**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

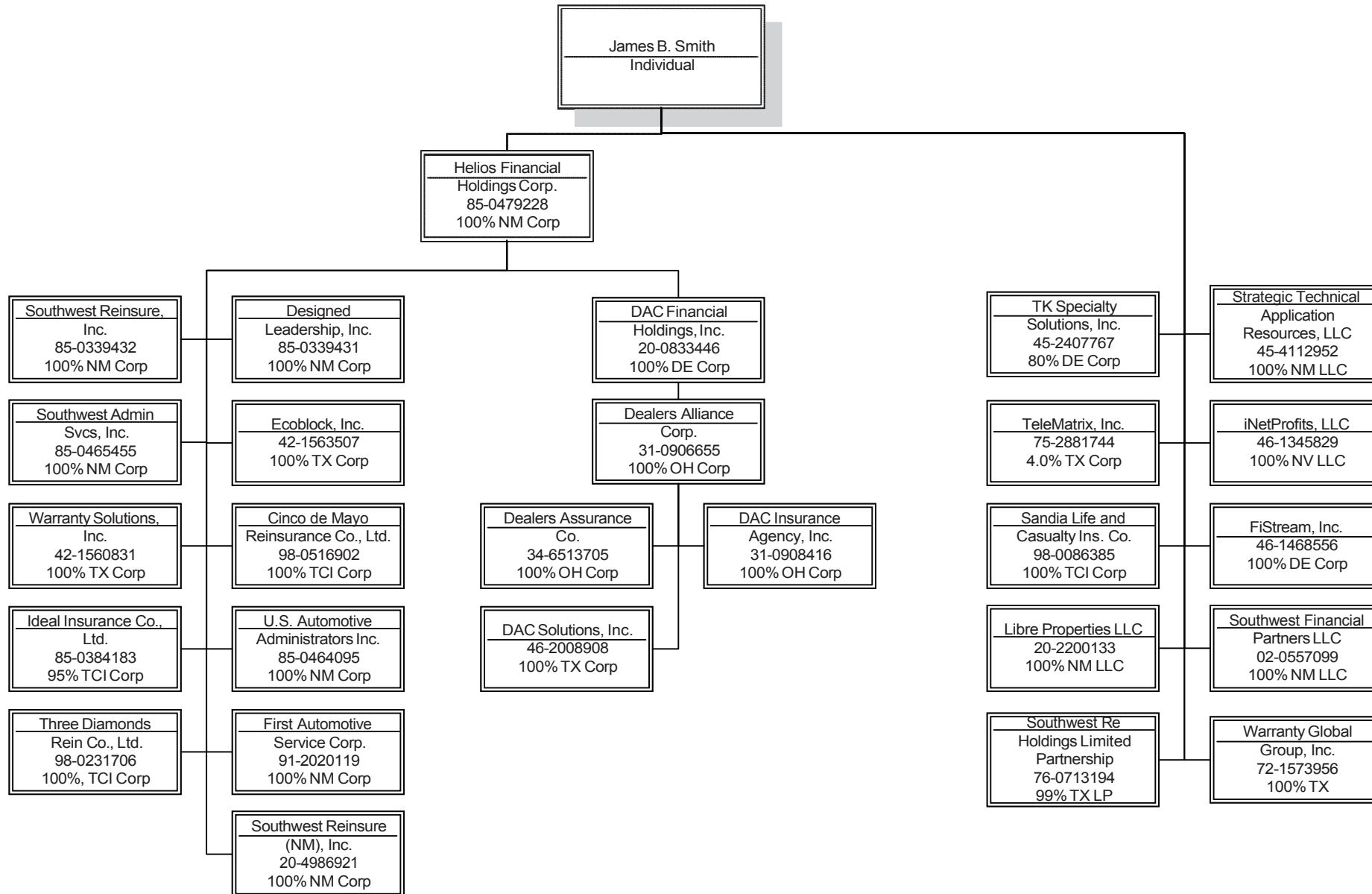
Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama .....	AL	1,576,452	1,606,685	532,812	527,387	47,155	40,866
2. Alaska .....	AK	3,798	0	0	0	5	42
3. Arizona .....	AZ	436,160	477,653	275,451	379,344	26,913	29,471
4. Arkansas .....	AR	1,878,812	1,462,780	931,140	974,789	68,402	62,792
5. California .....	CA	1,393,649	15,966,068	450,260	1,154,197	27,509	60,753
6. Colorado .....	CO	4,409,916	5,482,342	2,754,750	2,863,233	150,369	199,240
7. Connecticut .....	CT	379,246	497,363	323,084	495,468	18,545	28,517
8. Delaware .....	DE	6,229,272	4,178,644	2,995,797	411,347	102,651	25,314
9. District of Columbia .....	DC	0	0	0	0	0	0
10. Florida .....	FL	5,415,408	13,887,003	3,019,375	4,277,287	191,854	480,445
11. Georgia .....	GA	766,772	2,125,383	302,147	5,316,630	149,030	287,164
12. Hawaii .....	HI	0	0	0	0	0	0
13. Idaho .....	ID	9,274	915	.95	0	78	108
14. Illinois .....	IL	908,295	508,857	280,898	335,759	29,260	30,461
15. Indiana .....	IN	1,074,027	1,184,511	513,596	359,933	35,805	28,026
16. Iowa .....	IA	121,702	102,337	73,452	105,120	5,683	8,318
17. Kansas .....	KS	45,017	7,750	26,006	1,575	.591	613
18. Kentucky .....	KY	560,800	641,157	227,971	184,461	24,915	17,272
19. Louisiana .....	LA	1,910,754	1,049,544	1,030,794	680,555	134,274	40,890
20. Maine .....	ME	515,084	328,694	216,675	213,354	12,286	9,559
21. Maryland .....	MD	1,259,851	1,572,479	693,164	842,362	47,904	46,183
22. Massachusetts .....	MA	606,701	620,042	295,633	471,089	22,656	30,167
23. Michigan .....	MI	3,558,075	2,984,095	2,901,494	3,199,914	109,978	145,597
24. Minnesota .....	MN	646,435	777,408	497,323	456,207	25,623	25,574
25. Mississippi .....	MS	1,244,693	1,117,421	438,038	606,077	34,276	48,862
26. Missouri .....	MO	1,100,064	1,022,011	420,059	341,755	31,834	21,446
27. Montana .....	MT	90,478	119,763	72,400	73,929	4,273	5,005
28. Nebraska .....	NE	283,002	263,357	75,421	81,669	9,979	7,381
29. Nevada .....	NV	206,969	273,115	108,178	116,180	7,471	7,215
30. New Hampshire .....	NH	161,221	229,602	116,921	.99,806	7,249	8,430
31. New Jersey .....	NJ	811,478	887,828	440,269	599,677	23,399	30,193
32. New Mexico .....	NM	1,649,077	1,619,271	216,679	139,808	20,379	17,854
33. New York .....	NY	2,620,007	2,654,234	2,089,925	3,145,532	.98,039	139,049
34. North Carolina .....	NC	6,155,326	7,617,126	4,363,557	4,149,389	410,965	272,216
35. North Dakota .....	ND	31,287	77,006	52,282	.52,253	3,469	4,145
36. Ohio .....	OH	7,937,195	6,788,127	2,340,827	1,719,874	195,339	122,239
37. Oklahoma .....	OK	299,890	175,762	139,982	43,571	38,142	3,742
38. Oregon .....	OR	(102,076)	33,258	11,340	6,049	1,791	1,010
39. Pennsylvania .....	PA	2,650,533	2,926,564	996,410	1,356,622	.69,552	73,660
40. Rhode Island .....	RI	97,331	137,176	59,221	31,404	3,526	1,845
41. South Carolina .....	SC	358,927	519,875	289,541	179,137	32,545	23,081
42. South Dakota .....	SD	4,885	.7,564	6,497	6,476	.410	727
43. Tennessee .....	TN	1,774,803	1,497,766	.635,093	.515,824	62,294	54,349
44. Texas .....	TX	28,807,947	31,234,288	11,605,605	11,061,612	1,871,251	1,353,681
45. Utah .....	UT	12,547	.7,608	.95	3,656	.182	.134
46. Vermont .....	VT	122,878	136,391	.109,772	106,786	.7,375	.8,610
47. Virginia .....	VA	794,484	758,506	.318,200	418,884	25,458	32,479
48. Washington .....	WA	10,941,778	10,258,083	5,038,701	5,028,615	201,966	274,309
49. West Virginia .....	WV	349,277	246,598	.174,139	117,995	.8,890	.98,662
50. Wisconsin .....	WI	520,651	416,190	.243,315	.251,805	.24,583	.21,160
51. Wyoming .....	WY	115,270	.46,294	.35,596	.21,364	.2,526	.3,008
52. American Samoa .....	AS	N.	0	0	0	0	0
53. Guam .....	GU	N.	0	0	0	0	0
54. Puerto Rico .....	PR	N.	0	0	0	0	0
55. U.S. Virgin Islands .....	VI	N.	0	0	0	0	0
56. Northern Mariana Islands .....	MP	N.	0	0	0	0	0
57. Canada .....	CAN	N.	0	0	0	0	0
58. Aggregate Other Alien OT	XXX	0	0	0	0	0	0
59. Totals	(a) 51	102,745,422	126,532,494	48,739,980	53,525,760	4,428,649	4,231,864
DETAILS OF WRITE-INS		XXX					
58001.		XXX					
58002.		XXX					
58003.		XXX					
58998. Summary of remaining write-ins for Line 58 from overflow page		XXX	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)		XXX	0	0	0	0	0

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state (other than their state of domicile - see DSLI); (D) DSLI - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write Surplus Lines in the state of domicile; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of D and L responses except for Canada and Other Alien.

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE DEALERS ASSURANCE COMPANY



## STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domesticiliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Required? (Y/N)	16 *
0000		00000	85-0479228	0	0		Helios Financial Holdings, Corp.	NM	UIP	James B. Smith	Ownership	100.00		N	0
0000		00000	20-0833446	0	0		DAC Financial Holdings, Inc.	DE	UIP	Helios Financial Holdings, Corp.	Ownership	100.00	James B. Smith	N	0
0000		00000	31-0906655	0	0		Dealers Alliance Corp.	OH	UDP	DAC Financial Holdings, Inc	Ownership	100.00	James B. Smith	N	0
0000		16705	34-6513705	0	0		Dealers Assurance Company	OH	RE	Dealers Alliance Corp.	Ownership	100.00	James B. Smith	N	0
0000		00000	31-0908416	0	0		DAC Insurance Agency, Inc.	OH	IA	Dealers Alliance Corp.	Ownership	100.00	James B. Smith	N	0
0000		00000	46-2008908	0	0		DAC Solutions, Inc.	TX	NIA	Dealers Alliance Corp.	Ownership	100.00	James B. Smith	N	0
0000		00000	85-0339432	0	0		Southwest Reinsure, Inc.	NM	NIA	Helios Financial Holdings, Corp.	Ownership	100.00	James B. Smith	N	0
0000		00000	85-0465455	0	0		Southwest Administrative Svcs, Inc.	NM	NIA	Helios Financial Holdings, Corp.	Ownership	100.00	James B. Smith	N	0
0000		00000	42-1560831	0	0		Warranty Solutions, Inc.	TX	NIA	Helios Financial Holdings, Corp.	Ownership	100.00	James B. Smith	N	0
0000		00000	85-0384183	0	0		Ideal Insurance Co., LTD	TCA	IA	Helios Financial Holdings, Corp.	Ownership	95.00	James B. Smith	N	0
0000		00000	98-0231706	0	0		Three Diamonds Reinsurance Co., LTD	TCA	IA	Helios Financial Holdings, Corp.	Ownership	100.00	James B. Smith	N	0
0000		00000	85-0339431	0	0		Designed Leadership, Inc.	NM	NIA	Helios Financial Holdings, Corp.	Ownership	100.00	James B. Smith	N	0
0000		00000	42-1563507	0	0		Ecoblock, Inc.	TX	NIA	Helios Financial Holdings, Corp.	Ownership	100.00	James B. Smith	N	0
0000		00000	98-0516902	0	0		Cinco de Mayo Reinsurance Co., LTD	TCA	IA	Helios Financial Holdings, Corp.	Ownership	100.00	James B. Smith	N	0
0000		00000	85-0464095	0	0		US Automotive Administrators, Inc.	NL	NIA	Helios Financial Holdings, Corp.	Ownership	100.00	James B. Smith	N	0
0000		00000	91-2020119	0	0		First Automotive Service Corp.	NL	NIA	Helios Financial Holdings, Corp.	Ownership	100.00	James B. Smith	N	0
0000		00000	20-4986921	0	0		Southwest Reinsure (NM), Inc.	NM	NIA	Helios Financial Holdings, Corp.	Ownership	100.00	James B. Smith	N	0
0000		00000	45-2407767	0	0		TK Special Solutions, Inc.	DE	NIA	James B. Smith	Ownership	80.00	James B. Smith	N	0
0000		00000	75-2881744	0	0		Telematrix, Inc.	TX	NIA	James B. Smith	Ownership	4.00	James B. Smith	N	0
0000		00000	98-0086385	0	0		Sandia Life and Casualty Ins. Co.	TCA	IA	James B. Smith	Ownership	100.00	James B. Smith	N	0
0000		00000	20-220133	0	0		Libre Properties, LLC	NM	NIA	James B. Smith	Ownership	100.00	James B. Smith	N	0
0000		00000	76-0713194	0	0		Southwest Re Holdings Limited Partnership	TX	NIA	James B. Smith	Ownership	99.00	James B. Smith	N	0
0000		00000	45-4112952	0	0		Strategic Technical Application Resources, LLC	NM	NIA	James B. Smith	Ownership	100.00	James B. Smith	N	0
0000		00000	46-1345829	0	0		iNetProfits, LLC	NV	NIA	James B. Smith	Ownership	100.00	James B. Smith	N	0
0000		00000	46-1468556	0	0		FiStream, Inc.	DE	NIA	James B. Smith	Ownership	100.00	James B. Smith	N	0
0000		00000	02-0557099	0	0		Southwest Financial Partners LLC	NM	NIA	James B. Smith	Ownership	100.00	James B. Smith	N	0
0000		00000	72-1573956	0	0		Warranty Global Group, Inc	TX	NIA	James B. Smith	Ownership	100.00	James B. Smith	N	0

NONE

Asterisk	
	Explanation

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company  
**PART 1 - LOSS EXPERIENCE**

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire .....			0.0	0.0
2. Allied Lines .....			0.0	0.0
3. Farmowners multiple peril .....			0.0	0.0
4. Homeowners multiple peril .....			0.0	0.0
5. Commercial multiple peril .....			0.0	0.0
6. Mortgage guaranty .....			0.0	0.0
8. Ocean marine .....			0.0	0.0
9. Inland marine .....			0.0	0.0
10. Financial guaranty .....			0.0	0.0
11.1 Medical professional liability - occurrence .....			0.0	0.0
11.2 Medical professional liability - claims-made .....			0.0	0.0
12. Earthquake .....			0.0	0.0
13. Group accident and health .....			0.0	0.0
14. Credit accident and health .....			0.0	0.0
15. Other accident and health .....			0.0	0.0
16. Workers' compensation .....			0.0	0.0
17.1 Other liability - occurrence .....	3,966,624	4,396,457	110.8	58.9
17.2 Other liability - claims-made .....			0.0	0.0
17.3 Excess workers' compensation .....			0.0	0.0
18.1 Products liability - occurrence .....			0.0	0.0
18.2 Products liability - claims-made .....			0.0	0.0
19.1,19.2 Private passenger auto liability .....			0.0	0.0
19.3,19.4 Commercial auto liability .....			0.0	0.0
21. Auto physical damage .....			0.0	0.0
22. Aircraft (all perils) .....			0.0	0.0
23. Fidelity .....			0.0	0.0
24. Surety .....			0.0	0.0
26. Burglary and theft .....			0.0	0.0
27. Boiler and machinery .....			0.0	0.0
28. Credit .....	23,038	0	0.0	1.1
29. International .....			0.0	0.0
30. Warranty .....	90,051,213	44,343,523	49.2	44.1
31. Reinsurance - Nonproportional Assumed Property .....	XXX	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability .....	XXX	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business .....	0	0	0.0	0.0
35. Totals .....	94,040,875	48,739,980	51.8	44.5
<b>DETAILS OF WRITE-INS</b>				
3401. ....				
3402. ....				
3403. ....				
3498. Summary of remaining write-ins for Line 34 from overflow page .....	0	0	0.0	
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....	0	0	0.0	0.0

**PART 2 - DIRECT PREMIUMS WRITTEN**

Line of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1. Fire .....	0		0
2. Allied Lines .....	0		0
3. Farmowners multiple peril .....	0		0
4. Homeowners multiple peril .....	0		0
5. Commercial multiple peril .....	0		0
6. Mortgage guaranty .....	0		0
8. Ocean marine .....	0		0
9. Inland marine .....	0		0
10. Financial guaranty .....	0		0
11.1 Medical professional liability - occurrence .....	0		0
11.2 Medical professional liability - claims-made .....	0		0
12. Earthquake .....	0		0
13. Group accident and health .....	0		0
14. Credit accident and health .....	0		0
15. Other accident and health .....	0		0
16. Workers' compensation .....	0		0
17.1 Other liability - occurrence .....	3,443,458	10,067,335	8,056,154
17.2 Other liability - claims-made .....	0		0
17.3 Excess workers' compensation .....	0		0
18.1 Products liability - occurrence .....	0		0
18.2 Products liability - claims-made .....	0		0
19.1,19.2 Private passenger auto liability .....	0		0
19.3,19.4 Commercial auto liability .....	0		0
21. Auto physical damage .....	0		0
22. Aircraft (all perils) .....	0		0
23. Fidelity .....	0		0
24. Surety .....	0		0
26. Burglary and theft .....	0		0
27. Boiler and machinery .....	0		0
28. Credit .....	0	0	9,805
29. International .....	0		0
30. Warranty .....	31,294,448	92,678,087	118,466,535
31. Reinsurance - Nonproportional Assumed Property .....	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability .....	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business .....	0	0	0
35. Totals .....	34,737,906	102,745,422	126,532,494
<b>DETAILS OF WRITE-INS</b>			
3401. ....			
3402. ....			
3403. ....			
3498. Summary of remaining write-ins for Line 34 from overflow page .....	0	0	0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company

**PART 3 (000 omitted)**

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

Years in Which Losses Occurred	1 Prior Year-End Known Case Loss and LAE Reserves	2 Prior Year-End IBNR Loss and LAE Reserves	3 Total Prior Year-End Loss and LAE Reserves (Cols. 1+2)	4 2017 Loss and LAE Payments on Claims Reported as of Prior Year-End	5 2017 Loss and LAE Payments on Claims Unreported as of Prior Year-End	6 Total 2017 Loss and LAE Payments (Cols. 4+5)	7 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	8 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	9 Q.S. Date IBNR Loss and LAE Reserves	10 Total Q.S. Loss and LAE Reserves (Cols.7+8+9)	11 Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols.4+7 minus Col. 1)	12 Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2)	13 Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12)
1. 2014 + Prior .....			.0			.0				0	0	0	0
2. 2015 .....			.0			.0				0	0	0	0
3. Subtotals 2015 + Prior .....	0	0	.0	0	0	0	0	0	0	0	0	0	0
4. 2016 .....		156	156		205	205				0	0	49	.49
5. Subtotals 2016 + Prior .....	0	156	156	0	205	205	0	0	0	0	0	49	.49
6. 2017 .....	XXX	XXX	XXX	XXX	692	692	XXX		156	156	XXX	XXX	XXX
7. Totals .....	0	156	156	0	897	897	0	0	156	156	0	49	49
8. Prior Year-End Surplus As Regards Policyholders		61,217									Col. 11, Line 7 As % of Col. 1 Line 7	Col. 12, Line 7 As % of Col. 2 Line 7	Col. 13, Line 7 As % of Col. 3 Line 7
										1. 0.0	2. 31.4	3. 31.4	
													Col. 13, Line 7 As a % of Col. 1 Line 8
													4. 0.1

## **SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES**

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	<u>Response</u>
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....	SEE EXPLANATION
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement? .....	SEE EXPLANATION
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	SEE EXPLANATION
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	SEE EXPLANATION

Explanations:

1. Not Applicable
2. Not Applicable
3. Not Applicable
4. Not Applicable

Bar Codes:

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company  
**OVERFLOW PAGE FOR WRITE-INS**

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	1,778,518	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		2,800,000
2.2 Additional investment made after acquisition	100,335	29,224
3. Current year change in encumbrances	1,003,635	(1,003,635)
4. Total gain (loss) on disposals	0	0
5. Deduct amounts received on disposals	0	0
6. Total foreign exchange change in book/adjusted carrying value	0	0
7. Deduct current year's other than temporary impairment recognized	0	0
8. Deduct current year's depreciation	70,706	47,071
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4+5+6-7-8)	2,811,782	1,778,518
10. Deduct total nonadmitted amounts	0	0
11. Statement value at end of current period (Line 9 minus Line 10)	2,811,782	1,778,518

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	792,171	818,915
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		0
2.2 Additional investment made after acquisition		0
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	0	0
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	23,533	26,744
8. Deduct amortization of premium and mortgage interest points and commitment fees	0	0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest	0	0
10. Deduct current year's other than temporary impairment recognized	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	768,638	792,171
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)	768,638	792,171
14. Deduct total nonadmitted amounts	0	0
15. Statement value at end of current period (Line 13 minus Line 14)	768,638	792,171

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		0
2.2 Additional investment made after acquisition		0
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	0	0
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	0	0
8. Deduct amortization of premium and depreciation	0	0
9. Total foreign exchange change in book/adjusted carrying value	0	0
10. Deduct current year's other than temporary impairment recognized	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	0
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	0	0

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	88,301,899	81,027,032
2. Cost of bonds and stocks acquired	27,038,249	44,334,286
3. Accrual of discount	8,489	14,345
4. Unrealized valuation increase (decrease)	1,207,140	511,457
5. Total gain (loss) on disposals	346,023	193,683
6. Deduct consideration for bonds and stocks disposed of	25,063,608	37,268,993
7. Deduct amortization of premium	387,787	509,911
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7+8-9)	91,450,405	88,301,899
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	91,450,405	88,301,899

## STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	74,687,335	8,053,275	6,669,713	(105,298)	73,241,933	74,687,335	75,965,599	70,492,090
2. NAIC 2 (a) .....	9,030,919	0	535,000	(22,291)	9,638,742	9,030,919	8,473,627	10,420,276
3. NAIC 3 (a) .....	0				0	0	0	0
4. NAIC 4 (a) .....	0				0	0	0	0
5. NAIC 5 (a) .....	0				0	0	0	0
6. NAIC 6 (a) .....	0				0	0	0	0
7. Total Bonds .....	83,718,253	8,053,275	7,204,713	(127,590)	82,880,675	83,718,253	84,439,226	80,912,366
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	555,126	295,911	0	17,787	468,681	555,126	868,823	371,543
9. NAIC 2 .....	2,102,030	0	308,322	(270,502)	2,071,991	2,102,030	1,523,207	2,218,876
10. NAIC 3 .....	812,848	0	22,968	350,354	832,842	812,848	1,140,234	935,351
11. NAIC 4 .....	0				0	0	0	0
12. NAIC 5 .....	247,218	0	72,847	(87,135)	246,846	247,218	87,236	244,275
13. NAIC 6 .....	0				0	0	0	0
14. Total Preferred Stock .....	3,717,222	295,911	404,137	10,504	3,620,360	3,717,222	3,619,500	3,770,044
15. Total Bonds and Preferred Stock .....	87,435,475	8,349,186	7,608,849	(117,085)	86,501,035	87,435,475	88,058,726	84,682,411

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ ..... 9,396,433 ; NAIC 2 \$ ..... 0 ; NAIC 3 \$ ..... 0 NAIC 4 \$ ..... 0 ; NAIC 5 \$ ..... 0 ; NAIC 6 \$ ..... 0

**SCHEDULE DA - PART 1**

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals	9,396,433	XXX	9,396,690	22,133	285

**SCHEDULE DA - VERIFICATION**

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	7,913,988	8,045,124
2. Cost of short-term investments acquired .....	18,053,603	27,938,110
3. Accrual of discount .....		0
4. Unrealized valuation increase (decrease) .....		0
5. Total gain (loss) on disposals .....		0
6. Deduct consideration received on disposals .....	16,570,900	28,068,048
7. Deduct amortization of premium .....	258	1,198
8. Total foreign exchange change in book/adjusted carrying value .....		0
9. Deduct current year's other than temporary impairment recognized .....		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	9,396,433	7,913,988
11. Deduct total nonadmitted amounts .....		0
12. Statement value at end of current period (Line 10 minus Line 11)	9,396,433	7,913,988

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

**N O N E**

Schedule E - Verification - Cash Equivalents

**N O N E**

## STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company

**SCHEDULE A - PART 2**

Showing All Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Description of Property	Location		4 Date Acquired	5 Name of Vendor	6 Actual Cost at Time of Acquisition	7 Amount of Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances	9 Additional Investment Made After Acquisition
	2 City	3 State						
Addison Building	Addison	TX	09/12/2017	Lopek Co				95,935
0199999. Acquired by Purchase					0	0	0	95,935
0299999. Acquired by Internal Transfer					0	0	0	0
0399999 - Totals					0	0	0	95,935

**SCHEDULE A - PART 3**

Showing All Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract"

1 Description of Property	Location		4 Disposal Date	5 Name of Purchaser	6 Actual Cost	7 Expended for Additions, Permanent Improvements and Changes in Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances Prior Year	Change in Book/Adjusted Carrying Value Less Encumbrances					14 Book/Adjusted Carrying Value Less Encumbrances on Disposal	15 Amounts Received During Year	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	19 Gross Income Earned Less Interest Incurred on Encumbrances	20 Taxes, Repairs and Expenses Incurred
	2 City	3 State						9 Current Year's Other Than Temporary Impairment Recognized	10 Current Year's Change in Encumbrances	11 Total Change in Book/Adjusted Carrying Value (11-9-10)	12 Foreign Exchange Change in Book/Adjusted Carrying Value								
0399999 - Totals																			

**None**

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STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company

## **SCHEDULE B - PART 2**

#### Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

## **SCHEDULE B - PART 3**

## Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8	9	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9+10+11)	13 Total Foreign Exchange Change in Book Value	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date		Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) /Accretion				Total Change in Book Value (8+9+10+11)					
0199999. Mortgages closed by repayment							0	0	0	0	0	0	0	0	0	0	0
DAC001	Albuquerque	NM		07/09/2014								0			3,635		0
DAC002	Mokena	IL			08/21/2014							0			2,645		0
0299999. Mortgages with partial repayments							0	0	0	0	0	0	0	0	6,280	0	0
0399999. Mortgages disposed							0	0	0	0	0	0	0	0	0	0	0
0499999. Mortgages transferred							0	0	0	0	0	0	0	0	0	0	0
0599999 - Totals							0	0	0	0	0	0	0	0	6,280	0	0

E02

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made  
**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid  
**N O N E**

## STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Desig- nation or Market Indicator (a)
052397-GW-0	AUSTIN TEX		.09/01/2017	Piper Jaffray & Co/ALGO	226,856	.200,000		.0	1FE
Texas Total					226,856	.200,000		.0	XXX
United States Total					226,856	.200,000		.0	XXX
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions					226,856	.200,000		.0	XXX
02587A-AJ-3	AMXCA 171 A - ABS		.08/31/2017	BMO CAPITAL MARKETS CORP	.628,906	.625,000		.704	1FE
30231G-AV-4	EXXON MOBIL CORP		.09/15/2017	HILLTOP SECURITIES INC	.637,081	.630,000		.700	1FE
459200-JF-9	INTERNATIONAL BUSINESS MACHINES CORP		.09/15/2017	MARKEDEXX CORPORATION	.257,086	.255,000		.478	1FE
717081-DM-2	PFIZER INC		.09/28/2017	SUMRIDGE PARTNERS LLC	.211,166	.200,000		.2,531	1FE
981464-FU-9	WFMNT 16C A - ABS		.07/20/2017	HSBC SECURITIES INC.	.632,520	.635,000		.303	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					2,366,759	2,345,000		4,716	XXX
8399997. Total - Bonds - Part 3					2,593,615	2,545,000		4,716	XXX
8399998. Total - Bonds - Part 5					XXX	XXX		XXX	XXX
8399999. Total - Bonds					2,593,615	2,545,000		4,716	XXX
001230-50-0	AGNC INVESTMENT CORP		.08/16/2017	BAYPOINT TRADING LLC	.6,350,000	.156,761		.0	P2UZ
901878-30-9	TWO HARBORS INVESTMENT CORP		.09/01/2017	MAXIM GROUP	5,500,000	.139,150		.0	P2LZ*
8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated)					295,911	XXX		0	XXX
8999997. Total - Preferred Stocks - Part 3					295,911	XXX		0	XXX
8999998. Total - Preferred Stocks - Part 5					XXX	XXX		XXX	XXX
8999999. Total - Preferred Stocks					295,911	XXX		0	XXX
00287Y-10-9	ABBVIE ORD		.09/07/2017	VARIOUS	.3,418,000	.258,938		.0	
016255-10-1	ALIGN TECHNOLOGY ORD		.08/15/2017	VARIOUS	.131,000	.21,259		.0	
02079K-30-5	ALPHABET CL A ORD		.07/26/2017	UBS SECURITIES LLC, STAMFORD	.2,000	.1,947		.0	
03076C-10-6	AMERIPRISE FINANCE ORD		.07/20/2017	MORGAN STANLEY & CO INC, NY	.362,000	.49,597		.0	
172908-10-5	CINTAS ORD		.08/23/2017	BAIRD (ROBERT W.) & CO. INC.	.362,000	.48,619		.0	
172967-42-4	CITIGROUP ORD		.08/03/2017	Stifel Nicolaus & Co	.1,917,000	.130,375		.0	
189054-10-9	CLOROX ORD		.08/29/2017	KEYBANC CAPITAL MARKETS INC	.302,000	.41,548		.0	
191216-10-0	COCA-COLA ORD		.08/29/2017	VARIOUS	.4,756,000	.218,081		.0	
219350-10-5	CORNING ORD		.07/26/2017	UBS SECURITIES LLC, STAMFORD	.880,000	.27,736		.0	
22160K-10-5	COSTCO WHOLESALE ORD		.07/26/2017	UBS SECURITIES LLC, STAMFORD	.34,000	.5,217		.0	
244199-10-5	DEERE ORD		.08/08/2017	VARIOUS	.222,000	.28,665		.0	
25746U-10-9	DOMINION ENERGY ORD		.07/01/2017	CORPORATE ACTION	3,086,181	.235,128		.0	
26078J-10-0	DOWDUPONT ORD		.08/31/2017	CORPORATE ACTION	.400,000	.19,490		.0	
29444U-70-0	EQUINIX REIT		.07/26/2017	UBS SECURITIES LLC, STAMFORD	.29,000	.12,712		.0	
30303M-10-2	FACEBOOK CL A ORD		.09/07/2017	VARIOUS	.857,000	.148,047		.0	
31428X-10-6	FEDEX ORD		.09/07/2017	VARIOUS	.573,000	.122,931		.0	
375558-10-3	GILEAD SCIENCES ORD		.09/07/2017	VARIOUS	.2,419,000	.190,082		.0	
406216-10-1	HALLIBURTON ORD		.07/26/2017	UBS SECURITIES LLC, STAMFORD	.120,000	.5,167		.0	
437076-10-2	HOME DEPOT ORD		.09/14/2017	J P MORGAN CHASE/J P MORGAN IN	.36,000	.5,763		.0	
478160-10-4	JOHNSON & JOHNSON ORD		.08/11/2017	VARIOUS	.201,000	.26,882		.0	
49446R-10-9	KIMCO REALTY REIT ORD		.07/26/2017	UBS SECURITIES LLC, STAMFORD	.350,000	.6,887		.0	
512807-10-8	LAM RESEARCH ORD		.08/07/2017	VARIOUS	.116,000	.18,833		.0	
518439-10-4	ESTEE LAUDER CL A ORD		.09/12/2017	KEYBANC CAPITAL MARKETS INC	.458,000	.49,912		.0	
58933Y-10-5	MERCK & CO ORD		.07/26/2017	UBS SECURITIES LLC, STAMFORD	.40,000	.2,492		.0	
65339F-10-1	NEXTERA ENERGY ORD		.08/23/2017	BAIRD (ROBERT W.) & CO. INC.	.176,000	.26,350		.0	
654106-10-3	NIKE CL B ORD		.07/20/2017	MORGAN STANLEY & CO INC, NY	.838,000	.49,528		.0	
693475-10-5	PNC FINANCIAL SERVICES GROUP ORD		.07/28/2017	VARIOUS	.1,311,000	.166,749		.0	
755111-50-7	RAYTHEON ORD		.09/07/2017	JP MORGAN SECURITIES INC.	.410,000	.74,307		.0	
78486Q-10-1	SIVB FINANCIAL GROUP ORD		.08/30/2017	VARIOUS	.104,000	.18,550		.0	
79466L-30-2	SALESFORCE.COM ORD		.08/03/2017	COHEN AND COMPANY LLC	.130,000	.11,731		.0	
844741-10-8	SOUTHWEST AIRLINES ORD		.07/13/2017	MORGAN STANLEY & CO INC, NY	.785,000	.48,420		.0	
854502-10-1	STANLEY BLACK AND DECKER ORD		.09/12/2017	KEYBANC CAPITAL MARKETS INC	.338,000	.49,842		.0	
855244-10-9	STARBUCKS ORD		.09/14/2017	VARIOUS	.170,000	.9,534		.0	
872590-10-4	T MOBILE US ORD		.09/11/2017	UBS SECURITIES LLC, STAMFORD	.120,000	.7,556		.0	
887317-30-3	TIME WARNER ORD		.09/27/2017	SEI FINANCIAL SERVICES CO	.3,000	.308		.0	
91324P-10-2	UNITEDHEALTH GRP ORD		.09/07/2017	VARIOUS	.699,000	.135,588		.0	
91913Y-10-0	VALERO ENERGY ORD		.09/07/2017	VARIOUS	2,810,000	.189,061		.0	
92210H-10-5	VANTIV CL A ORD		.07/26/2017	UBS SECURITIES LLC, STAMFORD	.370,000	.23,997		.0	
92826C-83-9	VISA CL A ORD		.09/07/2017	JP MORGAN SECURITIES INC.	.470,000	.49,164		.0	
99978V-10-3	ZOETIS CL A ORD		.07/13/2017	MORGAN STANLEY & CO INC, NY	.403,000	.25,316		.0	
629183-10-3	EATON ORD	C.	.07/13/2017	MORGAN STANLEY & CO INC, NY	.603,000	.48,367		.0	

## STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Desig- nation or Market Indicator (a)
GS960L-10-3	MEDTRONIC ORD	C.	07/13/2017	MORGAN STANLEY & CO INC, NY	556,000	48,677		0	L
Y09827-10-9	BROADCOM ORD	D.	07/26/2017	UBS SECURITIES LLC, STAMFORD	22,000	5,604		0	L
<b>9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)</b>									
000000-00-0	CITIZENS BANK NA CASH SLEEP ACCT		09/01/2017	Direct	6,250,450	6,250		0	V
991061-05-2	CRA (BNY MELLON, N.A., MEMBER FDIC)		09/29/2017	Direct	920,242,300	920,242		0	V
<b>9399999. Subtotal - Common Stocks - Money Market Mutual Funds</b>									
<b>9799997. Total - Common Stocks - Part 3</b>									
<b>9799998. Total - Common Stocks - Part 5</b>									
<b>9799999. Total - Common Stocks</b>									
<b>9899999. Total - Preferred and Common Stocks</b>									
<b>9999999 - Totals</b>									

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....

## STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Value at Disposal Date	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation or Market In- dicator (a)	
										11 Unrealized Valuation Increase/ Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value								
.3128M7-4G-8	FN G05923 - RMBS		.09/01/2017	Paydown		4,041	.4,041	.4,398	.4,623	0	1	0	1	0	.4,624	0	-.584	-.584	.136	02/01/2040	1	
.312945-ZD-3	FN A97040 - RMBS		.09/01/2017	Paydown		19,962	.19,962	.21,047	.21,227	0	(51)	0	(51)	0	.21,176	0	-(1,214)	-(1,214)	.486	02/01/2041	1	
.313370-SZ-2	FEDERAL HOME LOAN BANKS		.09/08/2017	Maturity @ 100.0	400,000	400,000	414,608	403,819	0	(3,819)	0	(3,819)	0	400,000	0	0	0	9,000	.09/08/2017	1		
.313560-MZ-3	FEDERAL NATIONAL MORTGAGE ASSOCIATION		.07/07/2017	MKT/S/ALOMON, NEW YORK	149,957	150,000	149,721	149,927	0	.58	0	.58	0	149,985	0	-(28)	-(28)	.138	08/28/2017	1		
.31368H-M4-2	FN 190379 - CDO/RMBS		.09/01/2017	Paydown		.557	.557	.596	.619	0	0	0	0	0	.619	0	-(62)	-(62)	.19	05/01/2037	1	
.31368H-MY-6	FN 190375 - RMBS		.09/01/2017	Paydown		3,778	.3,778	4,133	4,369	0	1	0	1	0	.4,371	0	-(593)	-(593)	.128	11/01/2036	1	
.3136A4-M9-7	FN 12M8B 242 - CMBS		.09/01/2017	Paydown		15,250	.15,250	15,426	0	0	(39)	0	(39)	0	15,387	0	-(137)	-(137)	.94	01/25/2019	1	
.3137B1-AZ-5	HFMS K712 A2 - CMBS		.09/01/2017	Paydown		3,504	.3,504	3,514	0	0	(1)	0	(1)	0	3,513	0	-(9)	-(9)	.5	11/25/2019	1FE	
.31385W-SB-6	FN 555014 - RMBS		.09/01/2017	Paydown		3,461	.3,461	3,774	3,513	0	(36)	0	(36)	0	3,478	0	-(17)	-(17)	.141	11/01/2017	1	
.3138A4-V5-8	FN AH3431 - RMBS		.09/01/2017	Paydown		6,262	.6,262	6,559	6,505	0	(15)	0	(15)	0	6,490	0	-(229)	-(229)	.133	01/01/2026	1	
.3138AM-UU-2	FN A17784 - RMBS		.09/01/2017	Paydown		9,526	.9,526	10,151	10,390	0	(26)	0	(26)	0	10,363	0	-(838)	-(838)	.246	07/01/2041	1	
.3138EG-FA-7	FN AL0160 - RMBS		.09/01/2017	Paydown		7,140	.7,140	7,624	7,709	0	(10)	0	(10)	0	7,699	0	-(559)	-(559)	.190	05/01/2041	1	
.31402C-PL-0	FN 725027 - RMBS		.09/01/2017	Paydown		6,790	.6,790	7,317	7,463	0	(10)	0	(10)	0	7,453	0	-(663)	-(663)	.200	11/01/2033	1	
.31403C-6L-0	FN 745275 - RMBS		.09/01/2017	Paydown		5,034	.5,034	5,454	5,650	0	(27)	0	(27)	0	5,623	0	-(589)	-(589)	.151	02/01/2036	1	
.31403D-DX-4	FN 745418 - RMBS		.09/01/2017	Paydown		7,193	.7,193	7,832	8,135	0	(3)	0	(3)	0	8,131	0	-(939)	-(939)	.235	04/01/2036	1	
.31410F-VW-2	FB 888129 - RMBS		.09/01/2017	Paydown		643	.643	689	.716	0	0	0	0	0	.716	0	-(73)	-(73)	.21	02/01/2037	1	
.31410K-JY-1	FN 889579 - RMBS		.09/01/2017	Paydown		3,618	.3,618	3,972	4,196	0	(3)	0	(3)	0	4,193	0	-(575)	-(575)	.131	05/01/2038	1	
.31412P-RQ-6	FN 931195 - RMBS		.09/01/2017	Paydown		583	.583	618	.616	0	(2)	0	(2)	0	614	0	-(31)	-(31)	.17	05/01/2024	1	
.31416B-QD-3	FN 995152 - RMBS		.09/01/2017	Paydown		7,247	.7,247	7,926	7,474	0	(52)	0	(52)	0	7,422	0	-(175)	-(175)	.273	01/01/2021	1	
.31416B-TW-8	FN 995265 - RMBS		.09/01/2017	Paydown		.733	.733	.796	.780	0	(7)	0	(7)	0	.773	0	-(40)	-(40)	.25	01/01/2024	1	
.31417V-N6-6	FN AC8512 - RMBS		.09/01/2017	Paydown		.673	.673	.713	.729	0	(11)	0	(11)	0	.729	0	-(56)	-(56)	.19	02/01/2039	1	
.31418N-YK-0	FN AD1613 - RMBS		.09/01/2017	Paydown		5,382	.5,382	5,766	5,740	0	(19)	0	(19)	0	5,721	0	-(339)	-(339)	.137	02/01/2025	1	
.31418R-GS-4	FN AD3808 - RMBS		.09/01/2017	Paydown		2,449	.2,449	2,566	2,608	0	(2)	0	(2)	0	2,606	0	-(157)	-(157)	.66	04/01/2040	1	
United States Total						663,780	663,824	685,200	656,807	0	(4,061)	0	(4,061)	0	671,686	0	(7,906)	(7,906)	12,990	XXX	XXX	
3199999. Subtotal - Bonds - U.S. Special Revenues						663,780	663,824	685,200	656,807	0	(4,061)	0	(4,061)	0	671,686	0	(7,906)	(7,906)	12,990	XXX	XXX	
.03523T-BN-7	ANHEUSER-BUSCH INBEV WORLDWIDE INC	C	.07/15/2017	Maturity @ 100.0	175,000	.175,000	175,912	.175,227	0	(227)	0	(227)	0	175,000	0	0	0	0	2,406	07/15/2017	2FE	
.12592W-AC-2	CNH 15A A3 - ABS		.09/15/2017	Paydown		41,894	.41,894	41,892	.41,893	0	0	0	0	0	41,893	0	0	0	0	.346	04/15/2020	1FE
.13975J-AD-4	AFIN 143 A4 - ABS		.09/20/2017	Paydown		153,682	.153,682	153,682	.153,682	0	0	0	0	0	153,682	0	0	0	0	1,793	04/22/2019	1FE
.17296T-EH-0	CITIGROUP INC		.08/15/2017	Maturity @ 100.0	360,000	.360,000	382,994	.362,777	0	(2,777)	0	(2,777)	0	360,000	0	0	0	0	21,600	08/15/2017	2FE	
.354613-AH-4	FRANKLIN RESOURCES INC		.09/15/2017	Maturity @ 100.0	300,000	.300,000	300,222	.300,036	0	(36)	0	(36)	0	300,000	0	0	0	0	4,125	05/15/2021	1FE	
.36962G-3H-4	GENERAL ELECTRIC CO		.09/15/2017	Maturity @ 100.0	535,000	.535,000	594,081	.545,562	0	(10,562)	0	(10,562)	0	535,000	0	0	0	0	30,094	09/15/2017	1FE	
.46642N-BA-3	JPMBB 14C22 A1 - CMBS		.09/01/2017	Paydown		28,677	.28,677	28,665	.28,665	0	(2)	0	(2)	0	28,664	0	0	0	0	.253	09/17/2047	1FM
.48126E-AA-5	JPMORGAN CHASE & CO		.08/15/2017	Maturity @ 100.0	175,000	.175,000	177,392	.175,671	0	(671)	0	(671)	0	175,000	0	0	0	0	.3,500	05/15/2017	1FE	
.687847-AC-7	OSCAR 141 A3 - ABS	C	.09/15/2017	Paydown		36,215	.36,215	36,215	.36,213	0	1	0	1	0	36,214	0	0	0	0	.407	04/15/2019	1FE
.92937E-AB-0	WFBBS 13C11 A2 - CMBS		.09/01/2017	Paydown		14,348	.14,348	14,564	.14,443	0	(44)	0	(44)	0	14,399	0	0	0	0	.51	03/17/2045	1FM
.98146A-DB-3	WFMNT 12B A - ABS		.07/17/2017	Paydown		600,000	.600,000	605,674	.601,152	0	(1,140)	0	(1,140)	0	600,012	0	0	0	0	.184	05/17/2021	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						2,419,816	2,419,816	2,511,295	2,435,319	0	(15,455)	0	(15,455)	0	2,419,864	0	(48)	(48)	70,869	XXX	XXX	
8399997. Total - Bonds - Part 4						3,083,596	3,083,640	3,196,495	3,092,126	0	(19,516)	0	(19,516)	0	3,091,550	0	(7,953)	(7,953)	83,859	XXX	XXX	
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds						3,083,596	3,083,640	3,196,495	3,092,126	0	(19,516)	0	(19,516)	0	3,091,550	0	(7,953)	(7,953)	83,859	XXX	XXX	
.001230-30-2	AMERICAN CAPITAL AGENCY CORP		.08/25/2017	Stifel Nicolaus & Co.		2,977,000	.76,619	0.00	.72,847	.72,847	0	0	0	0								

## STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain Value at Disposal Date	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation or Market In- dicator (a)	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value								
.016255-10-1	ALIGN TECHNOLOGY ORD		09/27/2017	SEI FINANCIAL SERVICES CO	1,000	185		159	0	0	0	0	0	0	159	0	27	27	0		L	
.02005N-10-0	ALLY FINANCIAL ORD		09/27/2017	VARIOUS	70,000	1,595		1,499	1,331	168	0	0	0	168	0	1,499	0	.96	.96	15		L
.02079K-30-5	ALPHABET CL A ORD		09/27/2017	SEI FINANCIAL SERVICES	2,000	1,925		1,528	1,585	(57)	0	0	0	(57)	0	1,528	0	.397	.397	0		L
.023135-10-6	AMAZON COM ORD		09/27/2017	VARIOUS	3,000	3,042		1,123	2,250	(1,127)	0	0	0	(1,127)	0	1,123	0	1,919	1,919	0		L
.03027X-10-0	AMERICAN TOWER REIT		09/27/2017	VARIOUS	49,000	6,677		4,479	5,178	(699)	0	0	0	(699)	0	4,479	0	2,198	2,198	.91		L
.03349M-10-5	ANDEAVOR ORD		09/27/2017	CO	6,000	613		498	0	0	0	0	0	0	0	498	0	.115	.115	11		L
.037833-10-0	APPLE ORD		09/27/2017	VARIOUS	309,000	46,705		31,882	35,788	(3,907)	0	0	0	(3,907)	0	31,882	0	14,823	14,823	.499		L
.060505-10-4	BANK OF AMERICA ORD		09/27/2017	SEI FINANCIAL SERVICES	90,000	2,242		1,456	1,989	(533)	0	0	0	(533)	0	1,456	0	.786	.786	.21		L
.09061G-10-1	BIOMARIN PHARMACEUTICAL ORD		09/27/2017	CO	10,000	925		1,215	828	387	0	0	0	387	0	1,215	0	(290)	(290)	0		L
.110122-10-8	BRISTOL MYERS SQUIBB ORD		07/26/2017	UBS SECURITIES LLC	10,000	559		671	584	.87	0	0	0	.87	0	671	0	(112)	(112)	.8		L
.126408-10-3	CSX ORD		09/27/2017	VARIOUS	30,000	1,568		1,160	0	0	0	0	0	0	0	1,160	0	.408	.408	14		L
.150870-10-3	CELANESE SR A ORD		09/27/2017	VARIOUS	18,000	1,793		1,038	1,417	(380)	0	0	0	(380)	0	1,038	0	.756	.756	.22		L
.151020-10-4	CELEGENE ORD		09/27/2017	VARIOUS	4,000	566		475	463	.12	0	0	0	.12	0	475	0	.91	.91	0		L
.166764-10-0	CHEVRON ORD		09/27/2017	VARIOUS	7,000	783		582	824	(242)	0	0	0	(242)	0	582	0	.201	.201	.20		L
.17243V-10-2	CINEMAR HOLDINGS ORD		07/28/2017	Stifel Nicolaus & Co.	1,614,000	63,292		68,399	0	0	0	0	0	0	0	68,399	0	(5,107)	(5,107)	.936		L
.17275R-10-2	CISCO SYSTEMS ORD		08/23/2017	VARIOUS	3,172,000	99,880		88,854	95,858	(7,004)	0	0	0	(7,004)	0	88,854	0	11,025	11,025	.2,665		L
.174610-10-5	CITIZENS FINANCIAL GROUP ORD		09/27/2017	VARIOUS	30,000	1,093		595	1,069	(474)	0	0	0	(474)	0	595	0	.498	.498	10		L
.20030N-10-1	COMCAST CL A ORD		09/27/2017	VARIOUS	360,000	13,858		11,087	12,429	(1,342)	0	0	0	(1,342)	0	11,087	0	2,771	2,771	.195		L
.21036P-10-8	CONSTELLATION BRANDS CL A ORD		09/27/2017	VARIOUS	5,000	989		653	767	(114)	0	0	0	(114)	0	653	0	.336	.336	.6		L
.219350-10-5	CORNING ORD		09/29/2017	VARIOUS	1,723,000	49,479		38,786	41,332	(3,176)	0	0	0	(3,176)	0	38,786	0	10,693	10,693	.544		L
.22160K-10-5	COSTCO WHOLESALE ORD		09/27/2017	SEI FINANCIAL SERVICES	3,000	493		449	480	(31)	0	0	0	(31)	0	449	0	.43	.43	.27		L
.244199-10-5	DEERE ORD		09/27/2017	CO	4,000	494		512	0	0	0	0	0	0	0	512	0	(18)	(18)	0		L
.254687-10-6	WALT DISNEY ORD		08/29/2017	VARIOUS	1,021,000	104,876		115,229	313	.5	0	0	0	.5	0	115,229	0	(10,353)	(10,353)	.797		L
.25746U-10-9	DOMINION ENERGY ORD		08/02/2017	VARIOUS	3,096,181	234,009		235,820	766	(74)	0	0	0	(74)	0	235,820	0	(1,811)	(1,811)	.16		L
.260543-10-3	THE DOW CHEMICAL COMPANY		08/31/2017	VARIOUS	420,000	20,824		20,473	24,032	(3,559)	0	0	0	(3,559)	0	20,473	0	.351	.351	.581		L
.26875P-10-1	EOG RESOURCES ORD		09/07/2017	BAIRD (ROBERT W.) & CO.	566,000	50,012		44,083	57,223	(13,140)	0	0	0	(13,140)	0	44,083	0	.5,929	.5,929	.284		L
.285512-10-9	ELECTRONIC ARTS ORD		09/27/2017	VARIOUS	14,000	1,622		1,100	0	0	0	0	0	0	0	1,100	0	.521	.521	0		L
.292104-10-6	EMPIRE STATE REALTY CL A REIT ORD		07/26/2017	UBS SECURITIES LLC	20,000	400		407	0	0	0	0	0	0	0	407	0	(7)	(7)	.8		L
.29250N-10-5	ENBRIDGE ORD		07/28/2017	Stifel Nicolaus & Co.	2,133,000	.87,662		.69,009	0	0	0	0	0	0	0	.69,009	0	18,653	18,653	.871		L
.30161N-10-1	EXELON ORD		09/27/2017	VARIOUS	30,000	1,112		910	1,065	(155)	0	0	0	(155)	0	910	0	.202	.202	.17		L
.30231G-10-2	EXXON MOBIL ORD		09/27/2017	SEI FINANCIAL SERVICES	10,000	.812		762	.903	(140)	0	0	0	(140)	0	762	0	.50	.50	.22		L
.30303M-10-2	FACEBOOK CL A ORD		09/27/2017	VARIOUS	18,000	3,003		1,480	2,071	(591)	0	0	0	(591)	0	1,480	0	1,523	1,523	0		L
.369604-10-3	GENERAL ELECTRIC ORD		07/26/2017	STAMFORD	710,000	18,163		20,903	22,436	(1,533)	0	0	0	(1,533)	0	20,903	0	(2,740)	(2,740)	.513		L
.370334-10-4	GENERAL MILLS ORD		08/01/2017	SG COWEN SECURITIES CORP	1,364,000	.72,797		.78,646	.84,254	(5,608)	0	0	0	(5,608)	0	.78,646	0	(5,849)	(5,849)	.1,978		L
.416515-10-4	HARTFORD FINANCIAL SERVICES GRUP ORD		09/27/2017	VARIOUS	30,000	1,630		1,265	1,430	(164)	0	0	0	(164)	0	1,265	0	.365	.365	.28		L
.431475-10-2	HILL ROM HOLDINGS ORD		07/26/2017	STAMFORD	20,000	1,676		1,519	0	0	0	0	0	0	0	1,519	0	.157	.157	.4		L
.437076-10-2	HOME DEPOT ORD		07/26/2017	UBS SECURITIES LLC	3,000	.441		.335	.402	(68)	0	0	0	(68)	0	.335	0	.106	.106	.12		L
.438516-10-6	HONEYWELL INTERNATIONAL ORD		09/27/2017	VARIOUS	14,000	1,936		1,451	1,622	(170)	0	0	0	(170)	0	1,451	0	.485	.485	.23		L
.452308-10-9	ILLINOIS TOOL ORD		09/27/2017	VARIOUS	369,000	50,602		.38,835	.45,188	(6,353)	0	0	0	(6,353)	0	.38,835	0	11,767	11,767	.720		L
.45337C-10-2	INCYTE ORD		09/27/2017	VARIOUS	42,000	5,230		4,997	0	0	0	0	0	0	0	4,997	0	.233	.233	0		L
.45866F-10-4	INTERCONTINENTAL EXCHANGE ORD		09/01/2017	VARIOUS	290,000	19,093		13,211	16,362	(3,151)	0	0	0	(3,151)	0	13,211	0	.5,882	.5,882	.124		L
.459200-10-1	INTERNATIONAL BUSINESS MACHINES ORD		07/13/2017	SG COWEN SECURITIES CORP	275,000	42,276		48,554	0	0	0	0	0	0	0	48,554	0	(6,278)	(6,278)	.1,113		L
.478160-10-4	JOHNSON & JOHNSON ORD		09/27/2017	VARIOUS	376,000	49,667		34,178	42,858	(9,214)	0	0	0	(9,214)	0	34,178	0	15,489	15,489	.942		L

## STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain Value at Disposal Date	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation or Market In- dicator (a)	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value								
.500754-10-6	KRAFT HEINZ ORD		.09/15/2017	VARIOUS	1,208,000	.98,758		.89,998	.105,483	-.15,484	0	0	-.15,484	0	.89,998	0	.8,760	.8,760	.1,861		L	
.526107-10-7	LENNOX INTERNATIONAL ORD		.09/07/2017	BAIRD (ROBERT W.) & CO. INC.	747,000	.121,207		.85,029	.114,418	-.29,389	0	0	-.29,389	0	.85,029	0	.36,178	.36,178	.1,023		L	
.532457-10-8	ELI LILLY ORD		.09/27/2017	CO	10,000	.847		.736	.736	0	0	0	0	0	0	.736	0	.111	.111	.21		L
.571903-20-2	MARRIOTT INTERNATIONAL CL A ORD		.07/20/2017	JP MORGAN SECURITIES INC.	485,000	.49,612		.40,227	.40,100	.127	0	0	.127	0	.40,227	0	.9,385	.9,385	.306		L	
.58933Y-10-5	MERCK & CO ORD		.09/27/2017	SEI FINANCIAL SERVICES CO	10,000	.645		.588	.589	-.11	0	0	-.11	0	.588	0	.57	.57	.19		L	
.594918-10-4	MICROSOFT ORD		.09/27/2017	CO	10,000	.741		.561	.621	-.60	0	0	-.60	0	.561	0	.179	.179	.12		L	
.595112-10-3	MICRON TECHNOLOGY ORD		.09/27/2017	VARIOUS	1,580,000	.46,070		.35,973	0	0	0	0	0	0	.35,973	0	.10,096	.10,096	0		L	
.609207-10-5	MONDELEZ INTERNATIONAL CL A ORD		.09/27/2017	VARIOUS	70,000	.3,065		.2,840	.3,103	-.264	0	0	-.264	0	.2,840	0	.225	.225	.40		L	
.61174X-10-9	MONSTER BEVERAGE ORD		.09/27/2017	VARIOUS	20,000	.1,083		.911	.887	.24	0	0	.24	0	.911	0	.171	.171	0		L	
.654106-10-3	NIKE CL B ORD		.09/14/2017	VARIOUS	1,078,000	.57,065		.64,573	.12,199	.2,846	0	0	.2,846	0	.64,573	0	-.7,508	-.7,508	.135		L	
.670346-10-5	NUCOR ORD		.09/27/2017	VARIOUS	20,000	.1,163		.971	.1,190	-.219	0	0	-.219	0	.971	0	.192	.192	.23		L	
.67066G-10-4	NVIDIA ORD		.09/27/2017	VARIOUS	33,000	.5,585		.3,486	0	0	0	0	0	0	.3,486	0	.2,099	.2,099	.11		L	
.69840W-10-8	PANERA BREAD COMPANY		.07/19/2017	CORPORATE ACTION	18,000	.5,670		.3,769	.3,692	.77	0	0	.77	0	.3,769	0	1,901	1,901	0		L	
.713448-10-8	PEPSICO ORD		.09/27/2017	VARIOUS	8,000	.909		.775	.837	-.62	0	0	-.62	0	.775	0	.134	.134	.19		L	
.718172-10-9	PHILIP MORRIS INTERNATIONAL ORD		.09/27/2017	VARIOUS	33,000	.3,860		.2,670	.3,019	-.349	0	0	-.349	0	.2,670	0	.1,190	.1,190	.104		L	
.723787-10-7	PIONEER NATURAL RESOURCE ORD		.09/27/2017	VARIOUS	904,000	.130,282		.165,501	.91,476	.1,523	0	0	.1,523	0	.165,501	0	-.35,219	-.35,219	.36		L	
.742718-10-9	PROCTER & GAMBLE ORD		.08/15/2017	UBS SECURITIES LLC, STAMFORD	10,000	.890		.798	.841	-.43	0	0	-.43	0	.798	0	.92	.92	.20		L	
.760759-10-0	REPUBLIC SERVICES ORD		.07/14/2017	SG COWEN SECURITIES CORP	1,890,000	.121,264		.77,612	.107,825	-.30,213	0	0	-.30,213	0	.77,612	0	.43,652	.43,652	.1,279		L	
.79466L-30-2	SALESFORCE.COM ORD		.09/27/2017	SEI FINANCIAL SERVICES CO	10,000	.936		.600	.685	-.85	0	0	-.85	0	.600	0	.336	.336	0		L	
.806857-10-8	SCHLUMBERGER ORD	C.	.07/26/2017	UBS SECURITIES LLC, STAMFORD	100,000	.6,746		.7,188	.8,395	-.1,207	0	0	-.1,207	0	.7,188	0	-.442	-.442	.160		L	
.808513-10-5	CHARLES SCHWAB ORD		.09/27/2017	VARIOUS	40,000	.1,732		.1,132	.1,579	-.446	0	0	-.446	0	.1,132	0	.600	.600	.7		L	
.844741-10-8	SOUTHWEST AIRLINES ORD		.08/29/2017	KEYBANC CAPITAL MARKETS INC.	785,000	.40,180		.48,420	0	0	0	0	0	0	.48,420	0	-.8,241	-.8,241	.98		L	
.848637-10-4	SPLUNK ORD		.09/27/2017	VARIOUS	50,000	.3,147		.3,262	0	0	0	0	0	0	.3,262	0	-.115	-.115	0		L	
.87165B-10-3	SYNCHRONY FINANCIAL ORD		.09/27/2017	VARIOUS	100,000	.3,103		.2,789	.3,627	-.838	0	0	-.838	0	.2,789	0	.314	.314	.29		L	
.872590-10-4	T MOBILE US ORD	C.	.07/26/2017	UBS SECURITIES LLC, STAMFORD	30,000	.1,870		.1,971	0	0	0	0	0	0	.1,971	0	-.102	-.102	0		L	
.874039-10-0	TAIWAN SEMICONDUCTOR MNFTG ADR 5 ORD	C.	.09/27/2017	VARIOUS	300,000	.10,836		.7,700	.8,625	-.925	0	0	-.925	0	.7,700	0	.3,136	.3,136	.276		L	
.87612G-10-1	TARGA RESOURCES ORD		.09/27/2017	VARIOUS	20,000	.949		.1,133	0	0	0	0	0	0	.1,133	0	-.185	-.185	.9		L	
.881569-10-7	TESARO ORD		.09/27/2017	SEI FINANCIAL SERVICES CO	1,000	.121		.161	0	0	0	0	0	0	.161	0	-.40	-.40	0		L	
.881609-10-1	ANDEAVOR		.08/01/2017	UBS SECURITIES LLC, STAMFORD	10,000	.979		.830	0	0	0	0	0	0	.830	0	.149	.149	.12		L	
.88579Y-10-1	3M ORD		.09/27/2017	VARIOUS	5,000	.1,019		.724	.893	-.169	0	0	-.169	0	.724	0	.296	.296	.14		L	
.887317-30-3	TIME WARNER ORD		.09/12/2017	VARIOUS	1,532,000	.154,284		.137,993	.147,884	-.9,891	0	0	-.9,891	0	.137,993	0	.16,291	.16,291	.1,846		L	
.90130A-10-1	TWENTY FIRST CENTURY FOX CL A ORD		.09/27/2017	VARIOUS	40,000	.1,112		.1,218	0	0	0	0	0	0	.1,218	0	-.106	-.106	0		L	
.902973-30-4	US BANCORP ORD		.08/03/2017	Stifel Nicolaus & Co.	1,273,000	.67,071		.68,211	0	0	0	0	0	0	.68,211	0	-.1,140	-.1,140	.713		L	
.90384S-30-3	ULTA BEAUTY ORD		.07/28/2017	VARIOUS	321,000	.80,721		.93,953	0	0	0	0	0	0	.93,953	0	-.13,231	-.13,231	0		L	
.907818-10-8	UNION PACIFIC ORD		.07/28/2017	Stifel Nicolaus & Co.	629,000	.64,956		.67,197	.43,753	.717	0	0	.717	0	.67,197	0	-.2,241	-.2,241	.761		L	
.91324P-10-2	UNITEDHEALTH GRP ORD		.09/27/2017	VARIOUS	70,000	.13,725		.6,870	.11,203	-.4,332	0	0	-.4,332	0	.6,870	0	.6,855	.6,855	.100		L	
.913903-10-0	UNIVERSAL HEALTH SERVICES CL B ORD		.07/26/2017	UBS SECURITIES LLC, STAMFORD	134,000	.15,216		.16,880	.14,255	.2,625	0	0	.2,625	0	.16,880	0	-.1,664	-.1,664	.28		L	
.91913Y-10-0	VALERO ENERGY ORD		.07/26/2017	SEI FINANCIAL SERVICES	10,000	.685		.575	.683	-.109	0	0	-.109	0	.575	0	.110	.110	.15		L	
.92210H-10-5	VANTIV CL A ORD		.09/27/2017	CO	10,000	.708		.649	0	0	0	0	0	0	.649	0	.59	.59	0		L	
.92826C-83-9	VISA CL A ORD		.09/27/2017	VARIOUS	20,000	.2,039		.1,576	.1,560	.16	0	0	.16	0	.1,576	0	.463	.463	.8		L	
.929089-10-0	VOYA FINANCIAL ORD		.09/27/2017	VARIOUS	30,000	.1,177		.1,234	.1,177	.57	0	0	.57	0	.1,234	0	-.57	-.57	.1		L	

## STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain Value at Disposal Date	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation or Market In- dicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value							
.929160-10-9	VULCAN MATERIALS ORD		09/08/2017	BAIRD (ROBERT W.) & CO. INC.	411,000	47,007		48,727	51,437	(2,710)	0	0	(2,710)	0	48,727	0	(1,720)	(1,720)	.308	L	
.949746-10-1	WELLS FARGO ORD		07/26/2017	STAMFORD UBS SECURITIES LLC	440,000	24,337		22,692	18,737	(1,542)	0	0	(1,542)	0	22,692	0	1,645	1,645	.334	L	
.988498-10-1	YUM BRANDS ORD		08/04/2017	STAMFORD	20,000	1,497		1,093	1,267	(174)	0	0	(174)	0	1,093	0	404	404	.18	L	
.98956P-10-2	ZINNIMER BIOMET HOLDINGS ORD		08/10/2017	VARIOUS	158,000	17,460		18,891	16,306	2,586	0	0	2,586	0	18,891	0	(1,432)	(1,432)	.114	L	
.G0177J-10-8	ALLERGAN ORD	C.	09/27/2017	VARIOUS	3,000	.672		.889	.630	.259	0	0	.259	0	.889	0	(.217)	(.217)	.6	L	
.G1151C-10-1	ACCENTURE CL A ORD	C.	07/26/2017	VARIOUS	482,000	.62,072		.51,787	.43,104	(5,151)	0	0	(5,151)	0	.51,787	0	10,285	10,285	.453	L	
.G29183-10-3	EATON ORD	C.	08/18/2017	VARIOUS	733,000	.53,802		.57,310	.8,722	.221	0	0	.221	0	.57,310	0	(3,508)	(3,508)	.567	L	
.G47791-10-1	INGERSOLL RAND ORD	C.	09/29/2017	VARIOUS	20,000	1,753		1,356	1,501	(145)	0	0	(145)	0	1,356	0	.397	.397	.37	L	
.G491BT-10-8	INVESCO ORD	C.	09/27/2017	VARIOUS	50,000	1,786		1,999	1,517	.482	0	0	.482	0	1,999	0	(213)	(213)	.36	L	
.G51502-10-5	JOHNSON CONTROLS INTERNATIONAL ORD	D.	07/28/2017	Stifel Nicolaus & Co. BAIRD (ROBERT W.) & CO. INC.	3,432,996	135,734		149,055	141,405	.7,650	0	0	.7,650	0	149,055	0	(13,321)	(13,321)	2,575	L	
.G5980L-10-3	MEDTRONIC ORD	C.	09/07/2017	VARIOUS	1,095,000	.87,518		.89,510	.38,393	.2,440	0	0	2,440	0	.89,510	0	(1,992)	(1,992)	.714	L	
.G6359F-10-3	NABORS INDUSTRIES ORD	C.	09/27/2017	SEI FINANCIAL SERVICES CO	300,000	2,430		3,798	4,920	(1,122)	0	0	(1,122)	0	3,798	0	(1,368)	(1,368)	.54	L	
.Y09827-10-9	BROADCOM ORD	C.	09/29/2017		4,000	.964		.489	.707	(218)	0	0	(218)	0	.489	0	.475	.475	.11	L	
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)					2,642,760	XXX		2,502,321	1,574,150	(145,417)	0	0	(145,417)	0	2,502,321	0	140,439	140,439	27,486	XXX	
.000000-00-0	CITIZENS BANK NA CASH SWEEP ACCT		08/17/2017	Direct	6,258,260	6,258		6,258	0	0	0	0	0	0	6,258	0	0	0	.9	V	
.991061-05-2	CRA (BNY MELLON, N.A., MEMBER FDIC)		09/29/2017	Direct	740,049,610	740,050		740,050	0	0	0	0	0	0	740,050	0	0	0	.319	V	
9399999. Subtotal - Common Stocks - Money Market Mutual Funds					746,308	XXX		746,308	0	0	0	0	0	0	746,308	0	0	0	327	XXX	
9799997. Total - Common Stocks - Part 4					3,389,068	XXX		3,248,629	1,574,150	(145,417)	0	0	(145,417)	0	3,248,629	0	140,439	140,439	27,814	XXX	
9799998. Total - Common Stocks - Part 5					XXX	XXX		XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
9799999. Total - Common Stocks					3,389,068	XXX		3,248,629	1,574,150	(145,417)	0	0	(145,417)	0	3,248,629	0	140,439	140,439	27,814	XXX	
9899999. Total - Preferred and Common Stocks					3,794,781	XXX		3,652,765	1,968,064	(135,195)	0	0	(135,195)	0	3,652,765	0	142,015	142,015	46,484	XXX	
9999999 - Totals					6,878,377	XXX		6,849,260	5,060,190	(135,195)	(19,516)	0	(154,711)	0	6,744,315	0	134,062	134,062	130,344	XXX	

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open  
**NONE**

Schedule DB - Part B - Section 1 - Futures Contracts Open  
**NONE**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  
**NONE**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open  
**NONE**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By  
**NONE**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To  
**NONE**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned  
**NONE**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned  
**NONE**

## STATEMENT AS OF SEPTEMBER 30, 2017 OF THE Dealers Assurance Company

**SCHEDULE E - PART 1 - CASH**

## Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Bank of Oklahoma .....	Tulsa, Oklahoma .....	.C .....	0.080 .....	19 .....	0 .....	92,080 .....	92,087 .....	.92,093 XXX .....
Fifth Third Bank .....	Cincinnati, Ohio .....	.....	.....	.....	.....	3,060,685 .....	3,816,726 .....	3,640,372 XXX .....
Fifth Third Bank .....	Cincinnati, Ohio .....	C .....	.....	.....	.....	100,000 .....	100,000 .....	100,000 XXX .....
Fifth Third Bank .....	Cincinnati, Ohio .....	.....	.....	.....	.....	71,733 .....	69,110 .....	67,621 XXX .....
0199998. Deposits in ...	depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX	.....	.....	.....	.....	XXX .....
0199999. Totals - Open Depositories	.....	XXX	XXX	19 .....	0 .....	3,324,498 .....	4,077,923 .....	3,900,086 XXX .....
Century Bank .....	Albuquerque, NM .....	.C .....	0.200 .....	90 .....	0 .....	530,942 .....	0 .....	0 .....
Fifth Third Bank .....	Cincinnati .....	C .....	0.050 .....	0 .....	0 .....	0 .....	0 .....	0 .....
0299998. Deposits in ...	depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX	.....	.....	.....	.....	XXX .....
0299999. Totals - Suspended Depositories	.....	XXX	XXX	90 .....	0 .....	530,942 .....	0 .....	0 XXX .....
0399999. Total Cash on Deposit	.....	XXX	XXX	109 .....	0 .....	3,855,440 .....	4,077,923 .....	3,900,086 XXX .....
0499999. Cash in Company's Office	.....	XXX	XXX	XXX .....	XXX .....	.....	.....	XXX .....
.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....
0599999. Total - Cash	.....	XXX	XXX	109 .....	0 .....	3,855,440 .....	4,077,923 .....	3,900,086 XXX .....

Schedule E - Part 2 - Cash Equivalents - Investments Owned End of Current Quarter  
**N O N E**