



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

## QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2017  
OF THE CONDITION AND AFFAIRS OF THE

# United Ohio Insurance Company

NAIC Group Code 0963 (Current) 0963 (Prior) NAIC Company Code 13072 Employer's ID Number 34-1008736  
State of Domicile or Port of Entry OH

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Incorporated/Organized 12/01/1966 Commenced Business 03/01/1967

Statutory Home Office 1725 Hopley Avenue, Bucyrus , OH, US 44820-0111  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 1725 Hopley Avenue  
Bucyrus, OH 44820-0111 (Street and Number) 419-562-3011

(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

(Street and Number or P.O. Box)	(City or Town, State, Country and Zip Code)
Primary Location of Books and Records	1725 Hopley Avenue (Street and Number)

(City or Town, State, County and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.omig.com

Statutory Statement Contact Charles Elmer Easum Mr., 419-563-0810  
(Name) (Area Code) (Telephone Number)  
ceasum@omig.com, 877-753-0580  
(E-mail Address) (FAX Number)

## OFFICERS

President Mark Clarence Russell, Mr. Secretary David Anthony Siebenburgen, Mr.  
Treasurer David Gary Hendrix, Mr.

**OTHER**

Todd Emery Albert, Mr., Vice President Information Systems	Howard Lowell Barber, Mr., Vice President Sales	Michael Alexander Brogan, Mr., Vice President Claims
Chad Philip Combs, Mr., Vice President Personal Lines Underwriting	John Richard DeLucia, Mr. #, Vice President Claims Operations	David Alan Grove, Mr., Vice President Product Management
Gary Thomas Johnson, Mr., Vice President Commercial Lines Underwriting	Susan Elizabeth Kent, Mrs., Vice President Business Analytics	Marcella Sloane Smith, Mrs., Vice President Human Resources

## **DIRECTORS OR TRUSTEES**

State of Ohio SS: \_\_\_\_\_  
County of Crawford \_\_\_\_\_

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Mark Clarence Russell  
President and CEO

David Gary Hendrix  
Treasurer and CFO

Michael Alexander Brogan  
Assistant Secretary

Subscribed and sworn to before me this  
\_\_\_\_\_ day of \_\_\_\_\_

a. Is this an original filing? ..... Yes [  ] No [  ]  
b. If no,  
1. State the amendment number.....  
2. Date filed .....  
3. Number of pages attached.....

## ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	239,836,662		239,836,662	235,094,755
2. Stocks:				
2.1 Preferred stocks .....	7,628,042		7,628,042	7,052,766
2.2 Common stocks .....	13,915,886		13,915,886	12,325,793
3. Mortgage loans on real estate:				
3.1 First liens .....				
3.2 Other than first liens .....				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....				
4.2 Properties held for the production of income (less \$ <span style="padding-left: 20px;">encumbrances) .....</span>				
4.3 Properties held for sale (less \$ encumbrances) .....				
5. Cash (\$ <span style="padding-left: 20px;">4,881,109</span> ), cash equivalents (\$ <span style="padding-left: 20px;">) and short-term investments (\$ <span style="padding-left: 20px;">2,773,221</span> ) .....</span>	7,654,330		7,654,330	6,332,626
6. Contract loans (including \$ <span style="padding-left: 20px;"> premium notes) .....</span>				
7. Derivatives .....				
8. Other invested assets .....				
9. Receivables for securities .....				
10. Securities lending reinvested collateral assets .....				
11. Aggregate write-ins for invested assets .....				
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	269,034,920		269,034,920	260,805,940
13. Title plants less \$ <span style="padding-left: 20px;"> charged off (for Title insurers only) .....</span>				
14. Investment income due and accrued .....	2,104,096		2,104,096	1,948,016
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	4,601,617	123,442	4,478,175	1,312,873
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ <span style="padding-left: 20px;">         earned but unbilled premiums) .....</span>	32,046,559		32,046,559	31,506,580
15.3 Accrued retrospective premiums (\$ <span style="padding-left: 20px;">) and         contracts subject to redetermination (\$ <span style="padding-left: 20px;">) .....</span></span>				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	1,067,555		1,067,555	648,094
16.2 Funds held by or deposited with reinsured companies .....				
16.3 Other amounts receivable under reinsurance contracts .....				
17. Amounts receivable relating to uninsured plans .....				
18.1 Current federal and foreign income tax recoverable and interest thereon .....	3,779,125		3,779,125	2,007,893
18.2 Net deferred tax asset .....	7,671,451		7,671,451	7,251,273
19. Guaranty funds receivable or on deposit .....				
20. Electronic data processing equipment and software .....				
21. Furniture and equipment, including health care delivery assets (\$ <span style="padding-left: 20px;">) .....</span>				
22. Net adjustment in assets and liabilities due to foreign exchange rates .....				
23. Receivables from parent, subsidiaries and affiliates .....	546,638		546,638	1,092,627
24. Health care (\$ <span style="padding-left: 20px;">) and other amounts receivable .....</span>				
25. Aggregate write-ins for other than invested assets .....	18,857,532	1,066,835	17,790,697	15,253,796
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	339,709,493	1,190,277	338,519,216	321,827,092
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....				
28. Total (Lines 26 and 27) .....	339,709,493	1,190,277	338,519,216	321,827,092
<b>DETAILS OF WRITE-INS</b>				
1101. .....				
1102. .....				
1103. .....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above) .....				
2501. Prepaid Insurance Premiums .....	1,066,835	1,066,835		
2502. Company Owned Life Insurance .....	15,944,771		15,944,771	15,253,796
2503. Non-Qualified Retirement Plan .....	1,845,926		1,845,926	
2598. Summary of remaining write-ins for Line 25 from overflow page .....				
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	18,857,532	1,066,835	17,790,697	15,253,796

**STATEMENT AS OF SEPTEMBER 30, 2017 OF THE United Ohio Insurance Company**  
**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ 24,894,784 )	52,887,335	54,514,307
2. Reinsurance payable on paid losses and loss adjustment expenses		
3. Loss adjustment expenses	13,173,356	12,987,720
4. Commissions payable, contingent commissions and other similar charges	4,309,146	4,417,537
5. Other expenses (excluding taxes, licenses and fees)	5,472,773	6,546,284
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	92,039	230,874
7.1 Current federal and foreign income taxes (including \$ on realized capital gains (losses))		
7.2 Net deferred tax liability		
8. Borrowed money \$ 6,000,000 and interest thereon \$ 7,101	6,007,101	8,005,556
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ 75,726,742 and including warranty reserves of \$ and accrued accident and health experience rating refunds including \$ for medical loss ratio rebate per the Public Health Service Act)	67,840,446	63,159,483
10. Advance premium	1,342,618	946,330
11. Dividends declared and unpaid:		
11.1 Stockholders		
11.2 Policyholders		
12. Ceded reinsurance premiums payable (net of ceding commissions)	546,566	669,692
13. Funds held by company under reinsurance treaties	1,947,556	1,751,771
14. Amounts withheld or retained by company for account of others	2,948	18,851
15. Remittances and items not allocated	79,104	135,488
16. Provision for reinsurance (including \$ certified)		
17. Net adjustments in assets and liabilities due to foreign exchange rates		
18. Drafts outstanding		
19. Payable to parent, subsidiaries and affiliates		
20. Derivatives		
21. Payable for securities	2,301,625	252,500
22. Payable for securities lending		
23. Liability for amounts held under uninsured plans		
24. Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	9,627,900	7,903,997
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	165,630,513	161,539,890
27. Protected cell liabilities		
28. Total liabilities (Lines 26 and 27)	165,630,513	161,539,890
29. Aggregate write-ins for special surplus funds		
30. Common capital stock	2,500,000	2,500,000
31. Preferred capital stock		
32. Aggregate write-ins for other than special surplus funds		
33. Surplus notes		
34. Gross paid in and contributed surplus	3,715,912	3,715,912
35. Unassigned funds (surplus)	166,672,791	154,071,290
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 30 \$ )		
36.2 shares preferred (value included in Line 31 \$ )		
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	172,888,703	160,287,202
38. Totals (Page 2, Line 28, Col. 3)	338,519,216	321,827,092
<b>DETAILS OF WRITE-INS</b>		
2501. Pension Obligations	7,781,974	7,903,997
2502. Non-Qualified Retirement Plan	1,845,926	
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page		
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	9,627,900	7,903,997
2901.		
2902.		
2903.		
2998. Summary of remaining write-ins for Line 29 from overflow page		
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)		
3201.		
3202.		
3203.		
3298. Summary of remaining write-ins for Line 32 from overflow page		
3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)		

**STATEMENT AS OF SEPTEMBER 30, 2017 OF THE United Ohio Insurance Company**  
**STATEMENT OF INCOME**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct (written \$ 122,368,715 )	117,617,297	113,541,161	152,435,934
1.2 Assumed (written \$ 108,042,241 )	103,361,278	98,228,047	132,025,312
1.3 Ceded (written \$ 122,462,672 )	117,711,254	113,634,917	152,563,546
1.4 Net (written \$ 107,948,284 )	103,267,321	98,134,291	131,897,700
<b>DEDUCTIONS:</b>			
2. Losses incurred (current accident year \$ 58,826,167 ):			
2.1 Direct	55,305,024	56,248,852	77,079,300
2.2 Assumed	51,130,549	52,529,801	69,452,164
2.3 Ceded	55,305,024	56,248,852	77,079,300
2.4 Net	51,130,549	52,529,801	69,452,164
3. Loss adjustment expenses incurred	8,605,306	9,573,641	11,944,614
4. Other underwriting expenses incurred	35,445,001	33,907,040	45,361,508
5. Aggregate write-ins for underwriting deductions			
6. Total underwriting deductions (Lines 2 through 5)	95,180,856	96,010,482	126,758,286
7. Net income of protected cells			
8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	8,086,465	2,123,809	5,139,414
<b>INVESTMENT INCOME</b>			
9. Net investment income earned	5,340,080	4,852,882	6,530,218
10. Net realized capital gains (losses) less capital gains tax of \$ 30,785	78,485	151,023	32,631
11. Net investment gain (loss) (Lines 9 + 10)	5,418,565	5,003,905	6,562,849
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ 98,497 amount charged off \$ 612,816 )	(514,319)	(416,526)	(620,491)
13. Finance and service charges not included in premiums	2,241,621	2,031,703	2,709,096
14. Aggregate write-ins for miscellaneous income	839,304	1,085,609	1,141,628
15. Total other income (Lines 12 through 14)	2,566,606	2,700,786	3,230,233
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	16,071,636	9,828,500	14,932,496
17. Dividends to policyholders			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	16,071,636	9,828,500	14,932,496
19. Federal and foreign income taxes incurred	5,055,984	2,971,396	4,437,758
20. Net income (Line 18 minus Line 19)(to Line 22)	11,015,652	6,857,104	10,494,738
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year	160,287,202	148,260,558	148,260,558
22. Net income (from Line 20)	11,015,652	6,857,104	10,494,738
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains (losses) less capital gains tax of \$ 663,871	1,232,903	716,361	1,028,846
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	750,304	981,769	613,144
27. Change in nonadmitted assets	(257,916)	(812,793)	180,512
28. Change in provision for reinsurance			
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in			
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in			
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			
36. Change in treasury stock			
37. Aggregate write-ins for gains and losses in surplus	(139,442)	(1,032,060)	(290,596)
38. Change in surplus as regards policyholders (Lines 22 through 37)	12,601,501	6,710,381	12,026,644
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	172,888,703	154,970,939	160,287,202
<b>DETAILS OF WRITE-INS</b>			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page			
0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)			
1401. Company Owned Life Insurance	690,975	1,011,596	956,753
1402. Other Income	8,887	31,953	35,589
1403. Non-Qualified Retirement Plan	139,442	42,060	149,286
1498. Summary of remaining write-ins for Line 14 from overflow page			
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	839,304	1,085,609	1,141,628
3701. Change in net liability for retirement plans		(990,000)	(141,310)
3702. Non-Qualified Retirement Plan		(139,442)	(42,060)
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page			
3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)	(139,442)	(1,032,060)	(290,596)

**STATEMENT AS OF SEPTEMBER 30, 2017 OF THE United Ohio Insurance Company**  
**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	104,568,799	99,868,411	132,660,109
2. Net investment income .....	6,490,636	5,971,274	8,317,857
3. Miscellaneous income .....	1,736,189	1,647,130	2,124,195
4. Total (Lines 1 to 3) .....	112,795,624	107,486,815	143,102,161
5. Benefit and loss related payments .....	53,176,982	49,145,665	66,178,573
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			
7. Commissions, expenses paid and aggregate write-ins for deductions .....	45,195,487	44,015,525	56,828,931
8. Dividends paid to policyholders .....			
9. Federal and foreign income taxes paid (recovered) net of \$ 30,785 tax on capital gains (losses) .....	6,858,001	3,074,659	4,569,659
10. Total (Lines 5 through 9) .....	105,230,470	96,235,849	127,577,163
11. Net cash from operations (Line 4 minus Line 10) .....	7,565,154	11,250,966	15,524,998
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	40,041,962	20,573,992	35,586,523
12.2 Stocks .....	839,475		495,880
12.3 Mortgage loans .....			
12.4 Real estate .....			
12.5 Other invested assets .....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....			
12.7 Miscellaneous proceeds .....	2,049,125	444,806	
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	42,930,562	21,018,798	36,082,403
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	45,878,807	34,451,255	48,771,649
13.2 Stocks .....	1,200,420	22,800	7,837,926
13.3 Mortgage loans .....			
13.4 Real estate .....			
13.5 Other invested assets .....			
13.6 Miscellaneous applications .....		76,492	247,188
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	47,079,227	34,550,547	56,856,763
14. Net increase (or decrease) in contract loans and premium notes .....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(4,148,665)	(13,531,749)	(20,774,360)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....			
16.2 Capital and paid in surplus, less treasury stock .....			
16.3 Borrowed funds .....	(1,998,455)	(1,837,809)	(1,837,630)
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....			
16.5 Dividends to stockholders .....			
16.6 Other cash provided (applied) .....	(96,330)	(298,757)	385,694
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	(2,094,785)	(2,136,566)	(1,451,936)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	1,321,704	(4,417,349)	(6,701,298)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	6,332,626	13,033,924	13,033,924
19.2 End of period (Line 18 plus Line 19.1) .....	7,654,330	8,616,575	6,332,626

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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## NOTES TO FINANCIAL STATEMENTS

### 1. Summary of Significant Accounting Policies and Going Concern

#### A. Accounting Practices

The financial statements of United Ohio Insurance Company (the Company) are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance (ODI).

The ODI recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company. The National Association of Insurance Commissioners' (NAIC) *Accounting Practices and Procedures Manual* has been adopted as a component of prescribed or permitted accounting practices by the State of Ohio.

A reconciliation of the Company's net income and capital and surplus between NAIC Statutory Accounting Practices and practices prescribed and permitted by the State of Ohio is shown below:

	F/S SSAP #	F/S Page	F/S Line #	09/30/2017	12/31/2016
<b>NET INCOME</b>					
(1) United Ohio Insurance Company state basis (Page 4, Line 20, Columns 1 & 3)	XXX	XXX	XXX	\$ 11,015,652	\$ 10,494,738
(2) State Prescribed Practices that increase/(decrease) NAIC SAP:	_____	_____	_____	_____	_____
(3) State Permitted Practices that increase/(decrease) NAIC SAP:	_____	_____	_____	_____	_____
(4) NAIC SAP (1-2-3-4)	XXX	XXX	XXX	\$ 11,015,652	\$ 10,494,738
<b>SURPLUS</b>					
(5) United Ohio Insurance Company state basis (Page 3, Line 37, Columns 1 & 2)	XXX	XXX	XXX	\$ 172,888,703	\$ 160,287,202
(6) State Prescribed Practices that increase/(decrease) NAIC SAP:	_____	_____	_____	_____	_____
(7) State Permitted Practices that increase/(decrease) NAIC SAP:	_____	_____	_____	_____	_____
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$ 172,888,703	\$ 160,287,202

#### B. Use of Estimates in the Preparation of the Financial Statements – No Change

#### C. Accounting Policy – No Change

#### D. Going Concern

Management has evaluated the financial statements and determined that there are no going concern issues to report.

### 2. Accounting Changes and Corrections of Errors

The Group's pension plan consists of a noncontributory defined benefit plan covering certain employees of the Group meeting certain minimum age and employment requirements. Prior to 9/30/2017 the assets and liabilities of the noncontributory plan were netted on the balance sheet, but going forward will be reflected individually as a write-in item on both the asset and liability pages.

### 3. Business Combinations and Goodwill – No Change

### 4. Discontinued Operations – No Change

### 5. Investments

- A. The Company has no mortgage loans.
- B. The Company has no debt restructuring.
- C. The Company has no reverse mortgages.
- D. Loan-Backed Securities

(1) Prepayment assumptions for Mortgage-backed securities, Collateralized Mortgage Obligations and Other Structured Securities were generated using a purchased prepayment model. The prepayment model uses a number of factors to estimate prepayment activity including the time of year (seasonality), current levels of interest rates (refinancing incentive), economic activity (including housing turnover) and term and age of the underlying collateral (burnout, seasoning). On an ongoing basis, we monitor the rate of prepayment and calibrate the model to reflect actual experience, market factors, and viewpoint.

- (2)
  - a. The Company had no securities it intended to sell for which it recognized other-than-temporary impairment losses.
  - b. The Company had no securities for which it lacked the ability or intent to retain an investment in for a period of time sufficient to recover the amortized cost basis.
- (3) The Company had no other-than-temporary impairments within the loan backed securities portfolio for the quarter ended September 30, 2017.
- (4) All temporarily impaired securities for which an other-than-temporary impairment has not been recognized in earnings as a realized loss in 2017 are as follows:

## NOTES TO FINANCIAL STATEMENTS

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## a. The aggregate amount of unrealized losses:

1. Less than 12 Months	\$ (286,559)
2. 12 Months or Longer	<u>\$ (73,230)</u>

## b. The aggregate related fair value of securities with unrealized losses:

1. Less than 12 Months	\$ 27,073,883
2. 12 Months or Longer	<u>\$ 3,686,135</u>

(5) Management regularly reviews the value of the Company's investments. If the value of any investment falls below its cost basis, the decline is analyzed to determine whether it is an other-than-temporary decline in value. To make this determination for each security, the following is considered:

- The length of time and the extent to which the fair value has been below cost;
- The financial condition and near-term prospects of the issuer of the security, including any specific events that may affect its operations or earnings potential;
- Management's intent and ability to hold the security long enough for it to recover its value;

Management concluded that the remaining investments within the loaned backed securities portfolio held with unrealized losses were not other-than-temporarily impaired on the basis that the Company had the ability and intent to hold the investments for a period of time sufficient for a forecasted market price recovery up to or beyond the cost of the investment. Also, in management's opinion, evidence indicating the cost of the investment was recoverable within a reasonable period of time outweighed evidence to the contrary in considering the severity and duration of the impairment in relation to the forecasted market price recovery.

E. The Company has no repurchase agreements and/or securities lending transactions.  
 I. The Company has no Working Capital Finance Investments.  
 J. The Company has no Offsetting and Netting of Assets and Liabilities.

**6. Joint Ventures, Partnerships and Limited Liability Companies – No Change****7. Investment Income – No Change****8. Derivative Instruments – No Change****9. Income Taxes – No Change****10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

A. The Company is a wholly owned subsidiary of Ohio Mutual Insurance Company (Ohio Mutual). Ohio Mutual is the sole shareholder and owner of the Company, Casco Indemnity Company (Casco), United Premium Budget Service Inc., Centurion Financial Inc. (CEF), and Ohio United Agency, Inc.

B. The Company, Ohio Mutual (parent) and Casco have entered into a reinsurance pooling agreement through which underwriting activities and operating expenses are proportionately allocated. See footnote #26 for additional information on the pooling agreement.

C. In 2017, the Company received from its parent, Ohio Mutual, \$12,049,900 under the terms of the Reinsurance Pooling Agreement between the entities.

D. As of September 30, 2017, the Company's parent, Ohio Mutual, owes the Company \$1,030,948 under the terms of the Reinsurance Pooling Agreement. As of September 30, 2017, the Company owes Ohio Mutual \$417,689 and owes its affiliate, Casco, \$66,621 under the terms of the Cost Sharing Agreement.

E. The Company has no guarantees or undertakings at September 30, 2017.

F. The Company, its parent, Ohio Mutual, and affiliate, Casco, entered into a Cost Sharing Agreement effective, January 1, 2011, through which certain common costs are shared proportionally between the entities.

G. All outstanding shares of the Company are owned by its parent, Ohio Mutual, an insurance company domiciled in the State of Ohio.

H. The Company owns no shares of the stock of its ultimate parent, Ohio Mutual.

I. The Company does not own a share or interest in an upstream intermediate entity or its parent, either directly or indirectly.

J. The Company has no subsidiary investments, controlled or affiliated companies during the statement period.

K. Not Applicable

L. Not Applicable

M. The Company has no SCA investments.

N. The Company has no investments in Insurance SCAs.

**11. Debt**

## B. FHLB (Federal Home Loan Bank) Agreements

(1) The Company is a member of the Federal Home Loan Bank (FHLB) of Cincinnati. Through its membership, the Company had issued debt to the FHLB of Cincinnati in exchange for cash advances in the amount of \$8,000,000 for a period of one year at a fixed rate of 0.82%. This was an interest-only loan with principal due at the maturity date of June 21, 2017. In June 2017, the Company paid down \$2,000,000 in principal and rolled the remainder of \$6,000,000

## NOTES TO FINANCIAL STATEMENTS

into a new loan with the FHLB with a term of one year at a fixed rate of 1.44%. This is an interest-only loan with principal due at the maturity date of June 21, 2018. This loan is collateralized by treasury bonds, cash and mortgage-backed securities on deposit with the FHLB. It is part of the Company's strategy to utilize these funds for operations, and any funds obtained from the FHLB of Cincinnati for use in general operations would be accounted for consistent with SSAP No. 15, *Debt and Holding Company Obligations* as borrowed money. The carrying value of the debt at September 30, 2017 is \$6,000,000. The interest paid to the FHLB through the third quarter of 2017 was \$53,276.

(2) The Company, as a member of the FHLB of Cincinnati has purchased 6,546 shares of \$100 Par Value Class B capital stock for a total purchase price of \$654,600. The Class B common stock is broken out into the following categories:

a. Aggregate Totals

1) Current Year

	1 Total 2 + 3	2 General Account	3 Protected Cell Accounts
Membership Stock	386,192	386,192	-
Activity Stock	240,000	240,000	-
Excess Stock	28,408	28,408	-
Aggregate Total	<u>654,600</u>	<u>654,600</u>	-

Borrowing Capacity as  
determined by the Insurer

67,835,219      XXX      XXX

2) Prior Year-end

	1 Total 2 + 3	2 General Account	3 Protected Cell Accounts
Membership Stock	363,866	363,866	-
Activity Stock	268,334	268,334	-
Aggregate Total	<u>632,200</u>	<u>632,200</u>	-

Borrowing Capacity as  
determined by the Insurer

61,597,653      XXX      XXX

b. Membership Stock (Class A and B) Eligible for Redemption

Membership Stock	Current Year Total	Not Eligible for Redemption	Less than 6 Months	6 Months to Less Than 1 year	1 Year to Less Than 3 years	3 to 5 Years
---------------------	-----------------------	-----------------------------------	-----------------------	------------------------------------	-----------------------------------	--------------

1. Class A  
2. Class B      386,192      386,192

(3) The Company, as a member of the FHLB of Cincinnati has issued debt to the FHLB of Cincinnati in exchange for cash advances in the amount of \$6,000,000 for a period of one year. This loan is collateralized by treasury bonds, cash and mortgage-backed securities on deposit with the FHLB.

a. Amount Pledged as of Reporting Date

1) Current Year Total General and Protected Cell Accounts

	Fair Value	Carrying Value	Aggregate Total Borrowing
Total Collateral Pledged	7,828,179	7,818,367	6,000,000

2) Current Year General Accounts

	Fair Value	Carrying Value	Aggregate Total Borrowing
Total Collateral Pledged	7,828,179	7,818,367	6,000,000

3) Current Year Protected Cell Accounts

	Fair Value	Carrying Value	Aggregate Total Borrowing
Total Collateral Pledged	-	-	-

4) Prior Year-end Total General and Protected Cell Accounts

	Fair Value	Carrying Value	Aggregate Total Borrowing
Total Collateral Pledged	9,645,511	9,623,531	8,000,000

b. Maximum Amount Pledged during Reporting Period

1) Current Year Total General and Protected Cell Accounts

	Fair Value	Carrying Value	Aggregate Total Borrowing
Maximum Collateral Pledged	7,998,520	7,985,466	6,000,000

## NOTES TO FINANCIAL STATEMENTS

## 2) Current Year General Accounts

	Fair Value	Carrying Value	Aggregate Total Borrowing
Maximum Collateral Pledged	7,998,520	7,985,466	6,000,000

## 3) Current Year Separate Accounts

	Fair Value	Carrying Value	Aggregate Total Borrowing
Maximum Collateral Pledged	-	-	-

## 4) Prior Year-end Total General and Protected Cell Accounts

	Fair Value	Carrying Value	Aggregate Total Borrowing
Maximum Collateral Pledged	11,839,717	11,689,807	9,835,000

(4) The Company, as a member of the FHLB of Cincinnati has issued debt to the FHLB of Cincinnati in exchange for cash advances in the amount of \$6,000,000 for a period of one year at a fixed rate of 1.44%. This is an interest only loan with principal due at the maturity date of June 21, 2018.

## a. Amount as of Reporting Date

## 1) Current Year

	1 Total	2 General Account	3 Protected Cell Account	4 Funding Arrangements Reserves Established
	2 + 3			
(a) Debt	6,000,000	6,000,000	-	XXX

## 2) Prior Year-end

	Total 2 + 3	General Account	Protected Cell Account	Funding Arrangements Reserves Established
(a) Debt	8,000,000	8,000,000	-	XXX

## b. Maximum Amount during Reporting Period (Current Year)

	Total 2 + 3	General Account	Protected Cell Account	Funding Arrangements Reserves Established
(a) Debt	6,000,000	6,000,000	-	XXX

## c. FHLB Prepayment Obligations

Does the company have prepayment obligations under the following arrangements? (YES/NO)?

1) Debt	YES
2) Funding Agreements	NO
3) Other	NO

### 12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

## A. Defined Benefit Plan

## (4) Components of net periodic benefit cost

	Pension Benefits		Postretirement Benefits	
	09/30/2017	12/31/2016	09/30/2017	12/31/2016
a. Service cost	\$ 358,563	\$ 577,767	\$ 3,279	\$ 7,353
b. Interest cost	730,600	976,677	60,873	93,221
c. Expected return on plan assets	(823,531)	(1,162,451)	-	-
d. Transition asset or obligation	-	216,093	-	-
e. Gains and losses	346,037	409,714	(87,212)	(113,319)
f. Prior service cost or credit	-	-	-	139,989
g. Gain or loss recognized due to a settlement or curtailment	-	-	-	-
h. Total net periodic benefit cost	<u>\$ 611,669</u>	<u>\$ 1,017,800</u>	<u>\$ (23,060)</u>	<u>\$ 127,244</u>

### 13. Capital and Surplus, Dividend Restrictions and Quasi-reorganizations – No Change

### 14. Liabilities, Contingencies and Assessments

D. The Company paid the following amounts in the reporting period to settle claims related extra contractual obligations or bad faith claims stemming from lawsuits.

Claims related ECO and bad faith losses paid during the reporting period Direct....  
\$0

Number of claims where amounts were paid to settle claims related extra contractual obligations or bad faith claims resulting from lawsuits during the reporting period.

(a) 0-25 Claims	(b) 26-50 Claims	(c) 51-100 Claims	(d) 101-500 Claims	(e) More than 500 Claims
X				

## NOTES TO FINANCIAL STATEMENTS

Indicate whether claim count information is disclosed per claim or per claimant.

(f) Per Claim [ X ]

(g) Per Claimant [ ]

### 15. Leases – No Change

### 16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk – No Change

### 17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

The Company has no sale, transfer and servicing of financial assets and extinguishments of liabilities.

### 18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans – No Change

### 19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators – No Change

### 20. Fair Value Measurements

A. Fixed maturity securities that are carried at amortized cost are not included in the table below:

(1) Fair Value Measurements at Reporting Date

(1) Description	(2) (Level 1)	(3) (Level 2)	(4) (Level 3)	(5) Total
a. Assets at fair value				
Bonds				
Industrial and Misc	\$ -	\$ 5,970,855	\$ -	\$ 5,970,855
Total Bonds	<u>\$ -</u>	<u>\$ 5,970,855</u>	<u>\$ -</u>	<u>\$ 5,970,855</u>
Preferred Stock				
Industrial and Misc	-	4,437,880	-	4,437,880
Common Stock				
Industrial and Misc	129,095	654,600	-	783,695
Mutual Funds	13,132,191	-	-	13,132,191
Total Stocks	<u>\$ 13,261,286</u>	<u>\$ 5,092,480</u>	<u>\$ -</u>	<u>\$ 18,353,766</u>
Derivative assets	-	-	-	-
Total assets at fair value	<u>\$ 13,261,286</u>	<u>\$ 11,063,335</u>	<u>\$ -</u>	<u>\$ 24,324,621</u>

(2) The Company has no Level 3 Fair Value Measurements

- (3) Transfers between levels are recognized on the actual date of the event or change in circumstances that caused the transfer.
- (4) As of September 30, 2017, the reporting entity's investments in Level 2, NAIC rated A, common stocks are reported at fair value.

C. The Aggregate Fair Value for all Financial Instruments and the Level within the Fair Value Hierarchy are illustrated as follows:

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level (1)	Level (2)	Level (3)	Not Practicable (Carrying Value)
Bonds	\$ 5,970,855	\$ 5,970,855	\$ -	\$ 5,970,855	\$ -	\$ -
Preferred Stocks	4,437,880	4,437,880	-	4,437,880	-	-
Common Stocks	13,915,886	13,915,886	13,261,286	654,600	-	-
Total Financial Instruments	<u>\$ 24,324,621</u>	<u>\$ 24,324,621</u>	<u>\$ 13,261,286</u>	<u>\$ 11,063,335</u>	<u>\$ -</u>	<u>\$ -</u>

D. Not Practicable to Estimate Fair Value

The Company's Financial Instruments are valued at Fair Value unless otherwise specified.

### 21. Other Items – No Change

### 22. Events Subsequent – None

### 23. Reinsurance – No Change

### 24. Retrospectively Rated Contracts and Contracts Subject to Redetermination – No Change

### 25. Change in Incurred Losses and Loss Adjustment Expenses

The Company's portion of pooled loss reserves as of December 31, 2016, was \$67.5 million. On a pooled basis as of September 30, 2017, \$22.3 million has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Pooled reserves remaining for prior years are now \$35.9 million. There has been a \$9.2 million favorable prior-year development, on a pooled basis, from December 31, 2016 to September 30, 2017 as a result of re-estimation of unpaid claims and claim adjustment expenses principally on homeowners, farmowners, and personal auto liability lines of insurance. The decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. The Company does not have any retrospectively rated policies which would be included in the favorable development.

## NOTES TO FINANCIAL STATEMENTS

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### 26. Intercompany Pooling Arrangements

Effective January 1, 2011, the Company requested and received permission from the ODI to pool the underwriting results of the Company with those of its insurance parent, Ohio Mutual and affiliate Casco. Through the Pooling Agreement, Ohio Mutual, NAIC #10202, retains 27% of the group's pooled underwriting results and cedes 8% to Casco, NAIC #25950 and 65% to the Company, NAIC #13072. The following underwriting results were assumed/ceded between the companies:

	09/30/2017	12/31/2016
Premium earned ceded to Ohio Mutual from United Ohio	\$ (107,909,975)	\$ (139,393,854)
Premium earned assumed by United Ohio	103,267,321	131,897,700
Change in premium earned due to pooling	<u>\$ (4,642,654)</u>	<u>\$ (7,496,154)</u>
Losses incurred ceded to Ohio Mutual from United Ohio	\$ (53,289,677)	\$ (72,292,864)
Losses incurred assumed by United Ohio	51,130,548	69,452,163
Change in losses incurred due to pooling	<u>\$ (2,159,129)</u>	<u>\$ (2,840,701)</u>
Net loss adjustment expenses ceded to Ohio Mutual	\$ (3,552,519)	\$ (5,360,782)
Net other underwriting expenses ceded to Ohio Mutual	(9,143,690)	(12,823,312)
Change in expenses incurred due to pooling	<u>\$ (12,696,209)</u>	<u>\$ (18,184,094)</u>
Change in income before taxes due to pooling	<u>\$ 10,212,684</u>	<u>\$ 13,528,641</u>

### 27. Structured Settlements – No Change

### 28. Health Care Receivables – No Change

### 29. Participating Policies – No Change

### 30. Premium Deficiency Reserves – No Change

### 31. High Deductibles – No Change

### 32. Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses – No Change

### 33. Asbestos/Environmental Reserves – No Change

### 34. Subscriber Savings Accounts – No Change

### 35. Multiple Peril Crop Insurance – No Change

### 36. Financial Guaranty Insurance – No Change

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE United Ohio Insurance Company  
**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]

1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]

2.2 If yes, date of change: \_\_\_\_\_

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... If yes, complete Schedule Y, Parts 1 and 1A. Yes [ X ] No [ ]

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ ] N/A [ X ] If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ..... 12/31/2015

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ..... 12/31/2015

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ..... 05/19/2017

6.4 By what department or departments?  
 Ohio Department of Insurance

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]

6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ ] No [ X ] N/A [ ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [ X ]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

**STATEMENT AS OF SEPTEMBER 30, 2017 OF THE United Ohio Insurance Company**  
**GENERAL INTERROGATORIES**

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [  ] No [  ]  
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? ..... Yes [  ] No [  ]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [  ] No [  ]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**FINANCIAL**

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [  ] No [  ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ 613,259

**INVESTMENT**

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [  ] No [  ]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$

13. Amount of real estate and mortgages held in short-term investments: ..... \$

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [  ] No [  ]

14.2 If yes, please complete the following:

	<b>1</b> Prior Year-End Book/Adjusted Carrying Value	<b>2</b> Current Quarter Book/Adjusted Carrying Value
14.21 Bonds .....	\$ .....	\$ .....
14.22 Preferred Stock .....	\$ .....	\$ .....
14.23 Common Stock .....	\$ .....	\$ .....
14.24 Short-Term Investments .....	\$ .....	\$ .....
14.25 Mortgage Loans on Real Estate .....	\$ .....	\$ .....
14.26 All Other .....	\$ .....	\$ .....
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) .....	\$ .....	\$ .....
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....	\$ .....	\$ .....

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [  ] No [  ]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [  ] No [  ]

If no, attach a description with this statement.

**STATEMENT AS OF SEPTEMBER 30, 2017 OF THE United Ohio Insurance Company**  
**GENERAL INTERROGATORIES**

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. ....	\$ .....
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 .....	\$ .....
16.3 Total payable for securities lending reported on the liability page. ....	\$ .....

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? .....

Yes [  ] No [  ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Fifth Third Bank .....	38 Fountain Square Plaza, Cincinnati, Ohio 45263 .....

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....	.....	.....

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? .....

Yes [  ] No [  ]

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....	.....	.....	.....

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
New England Asset Management .....	U.....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets?.....

Yes [  ] No [  ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets?.....

Yes [  ] No [  ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
105900 .....	New England Asset Management .....	KUR85E5PS4GQFZTFC130 .....	New England Asset Management is an SEC registered Investment Advisor .....	NO.....

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? .....

Yes [  ] No [  ]

18.2 If no, list exceptions:

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE United Ohio Insurance Company  
**GENERAL INTERROGATORIES**

**PART 2 - PROPERTY & CASUALTY INTERROGATORIES**

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? ..... Yes [ ] No [ X ] N/A [ ]  
If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? ..... Yes [ ] No [ X ]  
If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? ..... Yes [ ] No [ X ]

3.2 If yes, give full and complete information thereto.

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves") discounted at a rate of interest greater than zero? ..... Yes [ ] No [ X ]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Discount Rate	TOTAL DISCOUNT			DISCOUNT TAKEN DURING PERIOD				
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 TOTAL
			TOTAL							

5. Operating Percentages:

5.1 A&H loss percent ..... (17.672)%

5.2 A&H cost containment percent ..... %

5.3 A&H expense percent excluding cost containment expenses ..... 13.947 %

6.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date ..... \$

6.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]

6.4 If yes, please provide the balance of the funds administered as of the reporting date ..... \$

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE United Ohio Insurance Company

## **SCHEDULE F - CEDED REINSURANCE**

Showing All New Reinsurers - Current Year to Date

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE United Ohio Insurance Company  
**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

Current Year to Date - Allocated by States and Territories

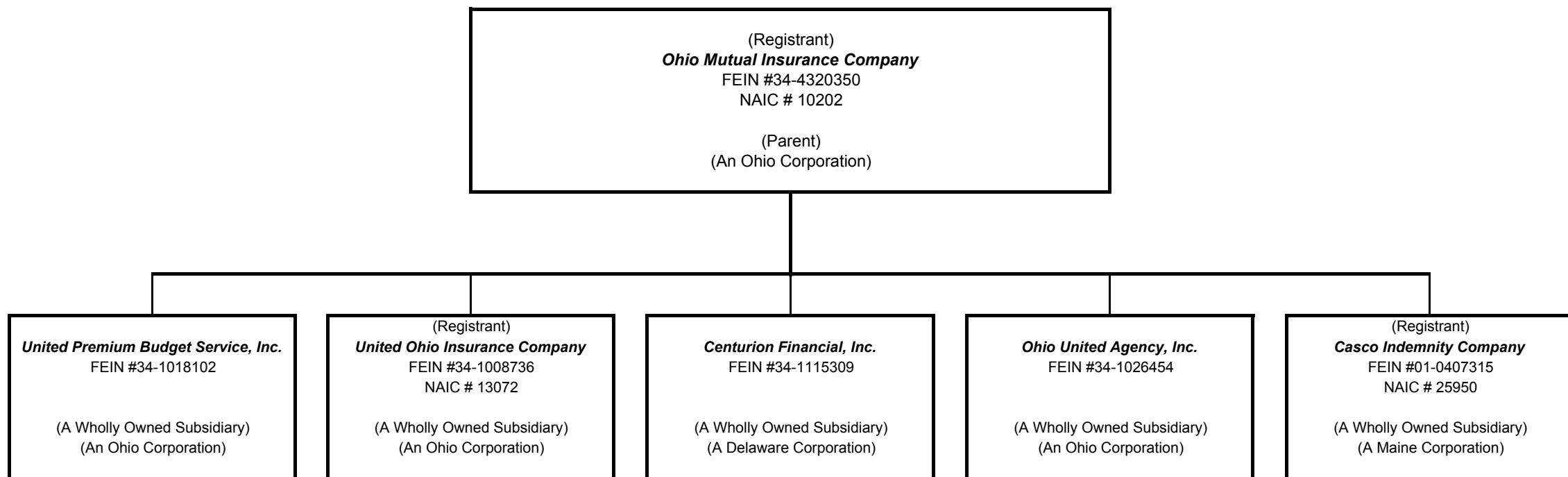
States, etc.	1 Active Status	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama .....	AL	N					
2. Alaska .....	AK	N					
3. Arizona .....	AZ	N					
4. Arkansas .....	AR	N					
5. California .....	CA	N					
6. Colorado .....	CO	N					
7. Connecticut .....	CT	L	9,310,246	7,102,193	4,825,864	2,972,884	8,705,025
8. Delaware .....	DE	N					
9. District of Columbia .....	DC	N					
10. Florida .....	FL	N					
11. Georgia .....	GA	N					
12. Hawaii .....	HI	N					
13. Idaho .....	ID	N					
14. Illinois .....	IL	N					
15. Indiana .....	IN	L	81,108	71,951	18,546	8,230	42,469
16. Iowa .....	IA	L					
17. Kansas .....	KS	L					
18. Kentucky .....	KY	N					
19. Louisiana .....	LA	N					
20. Maine .....	ME	L	5,143,639	3,971,897	2,157,911	1,781,267	3,328,761
21. Maryland .....	MD	N					
22. Massachusetts .....	MA	L					
23. Michigan .....	MI	N					
24. Minnesota .....	MN	L					
25. Mississippi .....	MS	N					
26. Missouri .....	MO	N					
27. Montana .....	MT	N					
28. Nebraska .....	NE	L					
29. Nevada .....	NV	N					
30. New Hampshire .....	NH	L	3,466,371	2,495,397	1,623,415	673,920	2,269,457
31. New Jersey .....	NJ	N					
32. New Mexico .....	NM	N					
33. New York .....	NY	N					
34. North Carolina .....	NC	N					
35. North Dakota .....	ND	N					
36. Ohio .....	OH	L	86,428,219	88,244,576	39,732,627	39,042,325	39,063,165
37. Oklahoma .....	OK	N					
38. Oregon .....	OR	L					
39. Pennsylvania .....	PA	N					
40. Rhode Island .....	RI	L	13,821,743	14,326,592	7,558,637	7,187,574	11,254,520
41. South Carolina .....	SC	N					
42. South Dakota .....	SD	N					
43. Tennessee .....	TN	L					
44. Texas .....	TX	N					
45. Utah .....	UT	N					
46. Vermont .....	VT	L	4,117,389	2,268,711	1,585,191	563,648	1,705,632
47. Virginia .....	VA	L					
48. Washington .....	WA	N					
49. West Virginia .....	WV	N					
50. Wisconsin .....	WI	L					
51. Wyoming .....	WY	N					
52. American Samoa .....	AS	N					
53. Guam .....	GU	N					
54. Puerto Rico .....	PR	N					
55. U.S. Virgin Islands .....	VI	N					
56. Northern Mariana Islands .....	MP	N					
57. Canada .....	CAN	N					
58. Aggregate Other Alien OT	XXX						
59. Totals	(a) 16	122,368,715	118,481,317	57,502,191	52,229,849	66,369,029	66,208,318
DETAILS OF WRITE-INS		XXX					
58001.		XXX					
58002.		XXX					
58003.		XXX					
58998. Summary of remaining write-ins for Line 58 from overflow page		XXX					
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)		XXX					

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state (other than their state of domicile - see DSLI); (D) DSLI - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write Surplus Lines in the state of domicile; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of D and L responses except for Canada and Other Alien.

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 - ORGANIZATIONAL CHART**

**Ohio Mutual Insurance Group**



STATEMENT AS OF SEPTEMBER 30, 2017 OF THE United Ohio Insurance Company

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

Asterisk	Explanation

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE United Ohio Insurance Company  
**PART 1 - LOSS EXPERIENCE**

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire .....	11,476,661	3,783,779	33.0	29.9
2. Allied Lines .....	109,744	67,232	61.3	35.3
3. Farmowners multiple peril .....	14,968,745	5,807,636	38.8	38.0
4. Homeowners multiple peril .....	13,869,712	6,621,295	47.7	35.3
5. Commercial multiple peril .....	20,934,661	8,073,051	38.6	44.5
6. Mortgage guaranty .....				
8. Ocean marine .....				
9. Inland marine .....	622,636	121,759	19.6	14.6
10. Financial guaranty .....				
11.1 Medical professional liability - occurrence .....				
11.2 Medical professional liability - claims-made .....				
12. Earthquake .....				
13. Group accident and health .....				
14. Credit accident and health .....				
15. Other accident and health .....	2,373	(645)	(27.2)	(119.0)
16. Workers' compensation .....				
17.1 Other liability - occurrence .....	4,288,467	1,108,646	25.9	13.0
17.2 Other liability - claims-made .....				
17.3 Excess workers' compensation .....				
18.1 Products liability - occurrence .....	149,364	.556	0.4	6.4
18.2 Products liability - claims-made .....				
19.1,19.2 Private passenger auto liability .....	19,434,802	12,279,645	63.2	80.4
19.3,19.4 Commercial auto liability .....	13,167,553	6,361,044	48.3	62.4
21. Auto physical damage .....	18,218,644	11,061,673	60.7	68.5
22. Aircraft (all perils) .....				
23. Fidelity .....				
24. Surety .....				
26. Burglary and theft .....	373,935	19,353	5.2	22.6
27. Boiler and machinery .....				
28. Credit .....				
29. International .....				
30. Warranty .....				
31. Reinsurance - Nonproportional Assumed Property .....	XXX	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability .....	XXX	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business .....				
35. Totals .....	117,617,297	55,305,024	47.0	49.5
<b>DETAILS OF WRITE-INS</b>				
3401. ....				
3402. ....				
3403. ....				
3498. Summary of remaining write-ins for Line 34 from overflow page .....				
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....				

**PART 2 - DIRECT PREMIUMS WRITTEN**

Line of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1. Fire .....	3,887,025	11,361,075	11,501,907
2. Allied Lines .....	47,265	117,394	113,511
3. Farmowners multiple peril .....	4,454,027	15,131,585	15,797,750
4. Homeowners multiple peril .....	4,564,082	13,099,456	14,675,210
5. Commercial multiple peril .....	6,720,145	21,963,485	21,480,364
6. Mortgage guaranty .....			
8. Ocean marine .....			
9. Inland marine .....	210,435	633,423	677,380
10. Financial guaranty .....			
11.1 Medical professional liability - occurrence .....			
11.2 Medical professional liability - claims-made .....			
12. Earthquake .....			
13. Group accident and health .....			
14. Credit accident and health .....			
15. Other accident and health .....	.311	1,645	1,645
16. Workers' compensation .....			
17.1 Other liability - occurrence .....	1,258,596	4,393,598	4,458,656
17.2 Other liability - claims-made .....			
17.3 Excess workers' compensation .....			
18.1 Products liability - occurrence .....	55,495	168,040	156,670
18.2 Products liability - claims-made .....			
19.1,19.2 Private passenger auto liability .....	7,597,005	21,296,955	18,408,759
19.3,19.4 Commercial auto liability .....	3,824,199	13,726,193	13,295,687
21. Auto physical damage .....	6,770,431	20,234,682	17,565,441
22. Aircraft (all perils) .....			
23. Fidelity .....			
24. Surety .....			
26. Burglary and theft .....	114,803	241,184	348,337
27. Boiler and machinery .....			
28. Credit .....			
29. International .....			
30. Warranty .....			
31. Reinsurance - Nonproportional Assumed Property .....	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability .....	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business .....			
35. Totals .....	39,503,819	122,368,715	118,481,317
<b>DETAILS OF WRITE-INS</b>			
3401. ....			
3402. ....			
3403. ....			
3498. Summary of remaining write-ins for Line 34 from overflow page .....			
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....			

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE United Ohio Insurance Company

**PART 3 (000 omitted)**

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

Years in Which Losses Occurred	1 Prior Year-End Known Case Loss and LAE Reserves	2 Prior Year-End IBNR Loss and LAE Reserves	3 Total Prior Year-End Loss and LAE Reserves (Cols. 1+2)	4 2017 Loss and LAE Payments on Claims Reported as of Prior Year-End	5 2017 Loss and LAE Payments on Claims Unreported as of Prior Year-End	6 Total 2017 Loss and LAE Payments (Cols. 4+5)	7 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	8 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	9 Q.S. Date IBNR Loss and LAE Reserves	10 Total Q.S. Loss and LAE Reserves (Cols. 7+8+9)	11 Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4+7 minus Col. 1)	12 Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2)	13 Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12)
1. 2014 + Prior .....	9,497	8,104	17,601	6,374	13	6,387	5,609	59	4,932	10,600	2,486	(3,100)	(614)
2. 2015 .....	9,290	7,027	16,317	4,468	17	4,485	5,652	114	3,933	9,699	830	(2,963)	(2,133)
3. Subtotals 2015 + Prior .....	18,787	15,131	33,918	10,842	30	10,872	11,261	173	8,865	20,299	3,316	(6,063)	(2,747)
4. 2016 .....	17,074	16,510	33,584	11,192	285	11,477	8,821	117	6,681	15,619	2,939	(9,427)	(6,488)
5. Subtotals 2016 + Prior .....	35,861	31,641	67,502	22,034	315	22,349	20,082	290	15,546	35,918	6,255	(15,490)	(9,235)
6. 2017 .....	XXX	XXX	XXX	XXX	38,828	38,828	XXX	12,853	17,290	30,143	XXX	XXX	XXX
7. Totals .....	35,861	31,641	67,502	22,034	39,143	61,177	20,082	13,143	32,836	66,061	6,255	(15,490)	(9,235)
8. Prior Year-End Surplus As Regards Policyholders		160,287									Col. 11, Line 7 As % of Col. 1 Line 7	Col. 12, Line 7 As % of Col. 2 Line 7	Col. 13, Line 7 As % of Col. 3 Line 7
											1. 17.4	2. (49.0)	3. (13.7)
													4. (5.8)

**SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES**

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement? .....	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	YES

Explanations:

- 1.
- 2.
- 3.

Bar Codes:

1. Trusteed Surplus Statement [Document Identifier 490]



2. Supplement A to Schedule T [Document Identifier 455]



3. Medicare Part D Coverage Supplement [Document Identifier 365]



STATEMENT AS OF SEPTEMBER 30, 2017 OF THE United Ohio Insurance Company  
**OVERFLOW PAGE FOR WRITE-INS**

**NONE**

## STATEMENT AS OF SEPTEMBER 30, 2017 OF THE United Ohio Insurance Company

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other than temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4+5+6-7-8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10) .....		

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage interest in investment and commitment fees .....		
9. Total foreign exchange change in book value/recorded investment including accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....		

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....		

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	254,473,314	234,169,894
2. Cost of bonds and stocks acquired .....	47,079,227	56,609,575
3. Accrual of discount .....	70,936	72,716
4. Unrealized valuation increase (decrease) .....	1,896,774	1,558,858
5. Total gain (loss) on disposals .....	181,347	199,502
6. Deduct consideration for bonds and stocks disposed of .....	40,881,437	36,082,403
7. Deduct amortization of premium .....	1,367,493	1,897,328
8. Total foreign exchange change in book/adjusted carrying value .....	72,078	157,500
9. Deduct current year's other than temporary impairment recognized .....	261,380,590	254,473,314
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7+8-9) .....		
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11) .....	261,380,590	254,473,314

## STATEMENT AS OF SEPTEMBER 30, 2017 OF THE United Ohio Insurance Company

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	212,927,435	16,181,795	11,641,113	(441,549)	215,311,860	212,927,435	217,026,568	214,212,438
2. NAIC 2 (a) .....	14,047,466	288,875	351,699	(506,218)	13,308,100	14,047,466	13,478,424	10,842,306
3. NAIC 3 (a) .....	8,544,318	552,250	193,127	706,232	8,025,396	8,544,318	9,609,673	7,742,341
4. NAIC 4 (a) .....	2,597,347	233,975	652,471	(173,979)	2,654,404	2,597,347	2,004,872	3,270,153
5. NAIC 5 (a) .....	444,767		1,443	15,567	448,023	444,767	458,891	593,425
6. NAIC 6 (a) .....	154,702	31,571	172,132	17,314	414,859	154,702	31,455	236,742
7. Total Bonds .....	238,716,035	17,288,466	13,011,985	(382,633)	240,162,642	238,716,035	242,609,883	236,897,405
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	439,117				439,117	439,117	439,117	
9. NAIC 2 .....	5,367,840		315,480	(80,480)	5,542,818	5,367,840	4,971,880	4,975,721
10. NAIC 3 .....	2,217,045				2,214,245	2,217,045	2,217,045	2,077,045
11. NAIC 4 .....								
12. NAIC 5 .....								
13. NAIC 6 .....								
14. Total Preferred Stock .....	8,024,002		315,480	(80,480)	8,196,180	8,024,002	7,628,042	7,052,766
15. Total Bonds and Preferred Stock .....	246,740,037	17,288,466	13,327,465	(463,113)	248,358,822	246,740,037	250,237,925	243,950,171

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ ..... 2,773,221 ; NAIC 2 \$ ..... ; NAIC 3 \$ ..... NAIC 4 \$ ..... ; NAIC 5 \$ ..... ; NAIC 6 \$ .....

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE United Ohio Insurance Company

## SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals	2,773,221	XXX	2,773,221		

## SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	1,802,649	1,292,564
2. Cost of short-term investments acquired .....	29,307,591	40,564,219
3. Accrual of discount .....		
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....		
6. Deduct consideration received on disposals .....	28,337,019	40,054,134
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	2,773,221	1,802,649
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	2,773,221	1,802,649

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards  
**N O N E**

Schedule DB - Part B - Verification - Futures Contracts  
**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open  
**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open  
**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives  
**N O N E**

STATEMENT AS OF SEPTEMBER 30, 2017 OF THE United Ohio Insurance Company

**SCHEDULE E - VERIFICATION**

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		4,734,992
2. Cost of cash equivalents acquired .....		2,399,504
3. Accrual of discount .....		504
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....		
6. Deduct consideration received on disposals .....		7,135,000
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....		
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)		

Schedule A - Part 2 - Real Estate Acquired and Additions Made  
**N O N E**

Schedule A - Part 3 - Real Estate Disposed  
**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made  
**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid  
**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made  
**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid  
**N O N E**

## STATEMENT AS OF SEPTEMBER 30, 2017 OF THE United Ohio Insurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Desig- nation or Market Indicator (a)
850000-6C-9	SPRING TX INDEP SCH DIST		..08/03/2017	PNC CAPITAL MARKETS		606,670	.500,000	.1,597	1FE
<b>2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions</b>									
45470R-DS-2	INDIANA ST FIN AUTH HIGHWAY RE		..08/24/2017	GOLDMAN SACHS	602,505	.500,000		1,597	XXX
594615-BX-3	MICHIGAN ST BLDG AUTH REVENUE		..08/23/2017	SIEBERT BRANFORD	578,685	.500,000		9,236	1FE
802629-AV-7	SANTA ROSA CA REGL RESOURCES		..07/28/2017	MERRILL LYNCH	500,000	.500,000			1FE
802629-AY-1	SANTA ROSA CA REGL RESOURCES		..07/28/2017	MERRILL LYNCH	500,000	.500,000			1FE
914857-DZ-7	UNIV OF SOUTH CAROLINA SC HGRE		..09/08/2017	BARCLAYS CAPITAL	641,734	.525,000			1FE
92858Q-AM-4	VOCATIONAL REGION 8 MID-COAST		..08/15/2017	MORGAN STANLEY & CO	580,029	.515,000			1FE
<b>3199999. Subtotal - Bonds - U.S. Special Revenues</b>									
12515H-BB-0	CD COMMERCIAL MORTGAGE TRUST 17-C05 AS		..07/24/2017	CITIGROUP GLOBAL MARKETS	772,464	.750,000		1,075	1FE
26250J-AS-9	DRYDEN SENIOR LOAN FUND 12-25A ARR		..09/27/2017	NOMURA SECURITIES INTL	2,000,000	.2,000,000			1FE
44969C-BF-6	QUINTILES IMS INC TL B		..09/25/2017	BANK OF AMERICA	251,875	.250,000			3FE
80875A-AN-1	SCIENTIFIC GAMES INTERNATIONAL TL B4		..08/14/2017	BANK OF AMERICA	174,125	.175,000			4FE
89364M-BJ-2	TRANSDIGIT INC TL G		..08/21/2017	CREDIT SUISSE FIRST BOSTON	250,625	.250,000			3FE
95000M-BP-5	WELLS FARGO COMMERCIAL MORTGAG 16-C36 A4		..08/23/2017	BARCLAYS CAPITAL	1,011,484	.1,000,000		2,299	1FM
BL2487-17-3	CANYON (GTCR) VALOR COS INC TL B		..08/04/2017	DEUTSCHE BANK	59,850	.60,000			4FE
BL2454-92-6	MACDONALD DETTWILER TL B	A.	..07/07/2017	RBC DAIN RAUSCHER INCORPORATED	49,750	.50,000			3FE
Y2112N-AB-9	DRILLSHIP KITHIRA OWNERS INC TERM EXIT	D.	..09/22/2017	REORGANIZATION	31,572	.31,105			6Z
<b>3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>									
808513-AE-5	CHARLES SCHWAB CORP		..07/26/2017	WELLS FARGO FINANCIAL	288,875	.250,000		8,750	2FE
<b>4899999. Subtotal - Bonds - Hybrid Securities</b>									
<b>8399997. Total - Bonds - Part 3</b>									
<b>8399998. Total - Bonds - Part 5</b>									
<b>8399999. Total - Bonds</b>									
<b>8999997. Total - Preferred Stocks - Part 3</b>									
<b>8999998. Total - Preferred Stocks - Part 5</b>									
<b>8999999. Total - Preferred Stocks</b>									
666964-11-8	OCEAN RIG UDW INC	C.	..09/22/2017	REORGANIZATION	5,431,000	.130,344			L
<b>9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)</b>									
9799997	Total - Common Stocks - Part 3				130,344	XXX			XXX
9799998	Total - Common Stocks - Part 5				130,344	XXX			XXX
9799999	Total - Common Stocks				130,344	XXX			XXX
9899999	Total - Preferred and Common Stocks				130,344	XXX			XXX
<b>9999999 - Totals</b>									
(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....									

## STATEMENT AS OF SEPTEMBER 30, 2017 OF THE United Ohio Insurance Company

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain /Loss on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation or Market In- dicator (a)				
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value											
3620AU-TE-4	GOVERNMENT NATL MTG ASSOC #740449		09/01/2017	PAYOUT		23,754	23,754	24,711	23,881		(128)		(128)		23,754						704	11/15/2040	1FE		
3620AU-TA-8	GOVERNMENT NATL MTG ASSOC #742245		09/01/2017	PAYOUT		2,563	2,563	2,564	2,563						2,563						66	01/15/2041	1FE		
3620CG-YU-3	GOVERNMENT NATL MTG ASSOC #750523		09/01/2017	PAYOUT		2,218	2,218	2,308	2,225		(7)		(7)		2,218						59	11/15/2040	1FE		
3623OP-M6-4	GOVERNMENT NATL MTG ASSOC #754881		09/01/2017	PAYOUT		687	687	706	687						687						18	12/15/2040	1FE		
3623OP-NU-5	GOVERNMENT NATL MTG ASSOC #754893		09/01/2017	PAYOUT		60,062	60,062	61,765	60,089		(27)		(27)		60,062						1,601	12/15/2040	1FE		
38374T-LA-0	GOVERNMENT NATIONAL MORTGAGE A 09 15 NK		09/01/2017	PAYOUT		5,336	5,336	5,483	5,379		(43)		(43)		5,336						160	12/20/2038	1FE		
38376T-BF-8	GOVERNMENT NATIONAL MORTGAGE A 10 12 DA		09/01/2017	PAYOUT		4,566	4,566	4,723	4,590		(23)		(23)		4,566						142	01/16/2040	1FE		
05999999. Subtotal - Bonds - U.S. Governments						99,186	99,186	102,260	99,414		(228)		(228)		99,186						2,750	XXX	XXX		
25476F-SK-5	DIST OF COLUMBIA		08/10/2017	KEY BANC CAPITAL MARKETS		286,453	250,000	281,190	280,984		(4,204)		(4,204)		276,780						9,672	9,672	8,160	06/01/2021	1FE
17999999. Subtotal - Bonds - U.S. States, Territories and Possessions						286,453	250,000	281,190	280,984		(4,204)		(4,204)		276,780						9,672	9,672	8,160	XXX	XXX
384721-FZ-4	GRAHAM TX INDEP SCH DIST		08/16/2017	OPPENHEIMER & CO. INC.		311,630	275,000	339,532	310,503		(5,336)		(5,336)		305,168						6,463	6,463	13,979	02/15/2021	1FE
495224-IV-6	KING CNTY WA SCH DIST #411 ISS		08/22/2017	FIDELITY		290,638	250,000	316,195	287,042		(4,711)		(4,711)		282,331						8,306	8,306	9,167	12/01/2021	1FE
833153-TB-5	SNOHOMISH CNTY WA SCH DIST #15		08/16/2017	MESITROW FINANCIAL INC.		261,385	250,000	312,250	280,518		(4,838)		(4,838)		275,680						5,705	5,705	9,028	12/01/2020	1FE
24999999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						883,653	775,000	967,977	878,063		(14,885)		(14,885)		863,179						20,474	20,474	32,174	XXX	XXX
130333-CA-3	CALIFORNIA ST HSG FIN AGY RSDL		09/01/2017	SINK FUND PAYMENT		9,646	9,646	9,646	9,646						9,646						175	02/01/2042	1FE		
130333-CB-1	CALIFORNIA ST HSG FIN AGY RSDL		09/01/2017	SINK FUND PAYMENT		22,243	22,243	22,160	22,233		(10)		(10)		22,243						426	02/01/2042	1FE		
3128H4-HM-4	FEDERAL HOME LN MTG CORP #E96536		09/01/2017	PAYOUT		1,270	1,270	1,289	1,271		(1)		(1)		1,270						42	03/01/2018	1FE		
3128H7-CE-0	FEDERAL HOME LN MTG CORP #E99069		09/01/2017	PAYOUT		1,504	1,504	1,515	1,504						1,504						45	09/01/2018	1FE		
3128H7-XB-0	FEDERAL HOME LN MTG CORP #E99703		09/01/2017	PAYOUT		6,862	6,862	6,968	6,872		(11)		(11)		6,862						228	10/01/2018	1FE		
3128M9-LU-4	FEDERAL HOME LN MTG CORP #G07239		09/01/2017	PAYOUT		8,016	8,016	8,270	8,034		(18)		(18)		8,016						160	12/01/2042	1FE		
3128M8-BS-7	FEDERAL HOME LN MTG CORP #G07849		09/01/2017	PAYOUT		27,495	27,496	28,604	27,584		(88)		(88)		27,496						642	05/01/2044	1FE		
3128M9-RJ-1	FEDERAL HOME LN MTG CORP #G08488		09/01/2017	PAYOUT		9,926	9,926	10,146	9,944		(18)		(18)		9,926						231	04/01/2042	1FE		
3128MU-RT-9	FEDERAL HOME LN MTG CORP #G08497		09/01/2017	PAYOUT		7,223	7,223	7,387	7,232		(9)		(9)		7,223						146	06/01/2042	1FE		
3128MU-RV-4	FEDERAL HOME LN MTG CORP #G08499		09/01/2017	PAYOUT		7,329	7,329	7,495	7,340		(10)		(10)		7,329						142	07/01/2042	1FE		
3128MM-AS-2	FEDERAL HOME LN MTG CORP #G18016		09/01/2017	PAYOUT		6,694	6,694	6,823	6,709		(16)		(16)		6,694						221	10/01/2019	1FE		
3128MM-LD-3	FEDERAL HOME LN MTG CORP #G18323		09/01/2017	PAYOUT		8,057	8,057	8,293	8,102		(45)		(45)		8,057						243	09/01/2024	1FE		
3128PP-5E-9	FEDERAL HOME LN MTG CORP #J10845		09/01/2017	PAYOUT		3,478	3,478	3,575	3,501		(24)		(24)		3,478						104	10/01/2024	1FE		
3128PQ-PY-1	FEDERAL HOME LN MTG CORP #J11339		09/01/2017	PAYOUT		10,804	10,804	11,280	10,894		(90)		(90)		10,804						324	12/01/2024	1FE		
31292S-AN-0	FEDERAL HOME LN MTG CORP #C09013		09/01/2017	PAYOUT		32,261	32,261	33,703	32,347		(86)		(86)		32,261						640	09/01/2024	1FE		
31292S-AO-3	FEDERAL HOME LN MTG CORP #C09015		09/01/2017	PAYOUT		28,300	28,300	29,565	28,377		(76)		(76)		28,300						567	10/01/2024	1FE		
31292S-AR-1	FEDERAL HOME LN MTG CORP #C09016		09/01/2017	PAYOUT		23,533	23,533	24,996	23,632		(99)		(99)		23,533						561	10/01/2042	1FE		
31294K-06-5	FEDERAL HOME LN MTG CORP #E01377		09/01/2017	PAYOUT		2,177	2,177	2,193	2,177						2,177						65	05/01/2018	1FE		
31294K-UM-5	FEDERAL HOME LN MTG CORP #E01488		09/01/2017	PAYOUT		8,113	8,113	8,239	8,127		(13)		(13)		8,113						270	10/01/2018	1FE		
31294K-UP-8	FEDERAL HOME LN MTG CORP #E01490		09/01/2017	PAYOUT		6,607	6,607	6,688	6,615		(8)		(8)		6,607						220	11/01/2018	1FE		
31294K-ZT-5	FEDERAL HOME LN MTG CORP #E01654		09/01/2017	PAYOUT		6,159	6,159	6,158	6,158						6,159						207	06/01/2019	1FE		
312962-4L-4	FEDERAL HOME LN MTG CORP #B10827		09/01/2017	PAYOUT		1,357	1,357	1,367	1,357						1,357						41	11/01/2018	1FE		
312962-7K-3	FEDERAL HOME LN MTG CORP #B10898		09/01/2017	PAYOUT		7,460	7,460	7,575	7,471		(11)		(11)		7,460						249	11/01/2018	1FE		
312967-GK-3	FEDERAL HOME LN MTG CORP #B15374		09/01/2017	PAYOUT		4,236	4,236	4,270	4,239		(3)		(3)		4,236						142	07/01/2019	1FE		
312968-I6-3	FEDERAL HOME LN MTG CORP #B16069		09/01/2017	PAYOUT		2,496	2,496	2,532	2,500		(4)		(4)		2,496						83	08/01/2019	1FE		
312969-6D-5	FEDERAL HOME LN MTG CORP #B17168		09/01/2017	PAYOUT		12,833	12,833	13,081	12,870		(37)		(37)		12,833						473	11/01/2019	1FE		
31306X-2A-0	FEDERAL HOME LN MTG CORP #J20769		09/01/2017	PAYOUT		56,247	56,247	59,006	56,522		(275)		(275)		56,247						932	10/01/2027	1FE		
31326S-GM-5	FEDERAL HOME LN MTG CORP #Q07104		09/01/2017	PAYOUT		2,178	2,178	2,227	2,180		(2)		(2)		2,178						51	04/01/2042	1FE		
31326S-K8-1	FEDERAL HOME LN MTG CORP #Q07219																								

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										11 Unrealized Valuation Increase/ Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value												
313789-BZ-7	FHLMC MULTIFAMILY STRUCTURED P KF03 A		09/25/2017	PAYDOWN		.48,100	.48,100	.48,100							.48,100						.353	01/25/2021	1FE			
31378A-FZ-0	FREDDIE MAC 4323 VA		09/01/2017	PAYDOWN		12,697	12,697	12,788		(92)					12,697						.339	03/15/2020	1FE			
31378B-N9-7	FREDDIE MAC 4358 DA		09/01/2017	PAYDOWN		9,657	9,657	9,684		(27)					9,657						.190	06/15/2040	1FE			
31378D-ZX-7	FREDDIE MAC 4387 KG		09/01/2017	PAYDOWN		.80,040	.80,040	.84,518	.81,717		(1,676)					.80,040						.2,216	02/15/2039	1FE		
3138EK-JA-4	FEDERAL NATIONAL MTG ASSOC #AL2956		09/01/2017	PAYDOWN		15,699	15,699	16,462	.15,775		(76)					15,699						.264	01/01/2028	1FE		
3138EM-EQ-0	FEDERAL NATIONAL MTG ASSOC #AL4642		09/01/2017	PAYDOWN		9,457	9,457	9,514		(57)					9,457						.188	11/01/2027	1FE			
3138EN-2N-8	FEDERAL NATIONAL MTG ASSOC #AL6180		09/01/2017	PAYDOWN		166,158	166,158	178,361	.166,905		(747)					166,158						.4,121	01/01/2045	1FE		
3138EN-EB-1	FEDERAL NATIONAL MTG ASSOC #AL5529		09/01/2017	PAYDOWN		.61,183	.61,183	.65,571	.61,463		(280)					.61,183						.1,606	06/01/2044	1FE		
3138EN-MF-3	FEDERAL NATIONAL MTG ASSOC #AL5757		09/01/2017	PAYDOWN		.28,226	.28,226	.29,280	.28,273		(47)					.28,226						.657	09/01/2044	1FE		
3138EP-WS-9	FEDERAL NATIONAL MTG ASSOC #AL6956		09/01/2017	PAYDOWN		.29,250	.29,250	.30,415	.29,319		(70)					.29,250						.697	06/01/2045	1FE		
3138ER-2D-1	FEDERAL NATIONAL MTG ASSOC #AL9771		09/01/2017	PAYDOWN		.67,863	.67,863	.71,649		(226)					.67,863						.1,342	02/01/2047	1FE			
3138M9-PE-5	FEDERAL NATIONAL MTG ASSOC #AP5820		09/01/2017	PAYDOWN		.71,828	.71,828	.75,621	.72,213		(385)					.71,828						.1,377	11/01/2042	1FE		
3138W1-GD-3	FEDERAL NATIONAL MTG ASSOC #AR3795		09/01/2017	PAYDOWN		.3,769	.3,769	.3,880	.3,775		(6)					.3,769						.75	02/01/2043	1FE		
3138W4-M2-4	FEDERAL NATIONAL MTG ASSOC #AR6676		09/01/2017	PAYDOWN		.125,447	.125,447	.130,073	.125,783		(336)					.125,447						.2,466	02/01/2043	1FE		
3138W8-X0-3	FEDERAL NATIONAL MTG ASSOC #AS2486		09/01/2017	PAYDOWN		13,086	13,086	13,977	.13,173		(87)					13,086						.346	05/01/2044	1FE		
3138Y1-H4-2	FEDERAL NATIONAL MTG ASSOC #AZ24750		09/01/2017	PAYDOWN		.36,540	.36,540	.38,253	.36,651		(111)					.36,540						.843	06/01/2045	1FE		
31389S-CH-4	FANNIE MAE 10 122 AC		09/01/2017	PAYDOWN		9,785	9,785	10,242	.9,843		(58)					9,785						.230	08/25/2022	1FE		
31400E-QQ-6	FEDERAL NATIONAL MTG ASSOC #685463		09/01/2017	PAYDOWN		7,363	7,363	7,436	.7,363		(58)					7,363						.245	03/01/2018	1FE		
31400G-XK-6	FEDERAL NATIONAL MTG ASSOC #687482		09/01/2017	PAYDOWN		.934	.934	.945	.934		(92)					.934						.31	05/01/2018	1FE		
31402C-ZC-9	FEDERAL NATIONAL MTG ASSOC #725339		09/01/2017	PAYDOWN		4,861	4,861	4,904	.4,861		(28)					4,861						.162	04/01/2019	1FE		
31402D-MZ-0	FEDERAL NATIONAL MTG ASSOC #725876		09/01/2017	PAYDOWN		.5,658	.5,658	.5,742	.5,666		(7)					.5,658						.186	10/01/2019	1FE		
31416R-AJ-2	FEDERAL NATIONAL MTG ASSOC #AA7208		09/01/2017	PAYDOWN		14,744	14,744	14,893	.14,761		(18)					14,744						.403	06/01/2022	1FE		
31417S-CT-5	FEDERAL NATIONAL MTG ASSOC #AC5481		09/01/2017	PAYDOWN		14,338	14,338	14,986	.14,454		(115)					14,338						.424	11/01/2024	1FE		
31417U-V5-1	FEDERAL NATIONAL MTG ASSOC #AC7835		09/01/2017	PAYDOWN		.32,655	.32,655	.34,140	.32,773		(118)					.32,656						.1,069	12/01/2024	1FE		
31417U-WF-8	FEDERAL NATIONAL MTG ASSOC #AC7845		09/01/2017	PAYDOWN		.25,048	.25,048	.26,188	.25,141		(92)					.25,049						.684	01/01/2025	1FE		
60416Q-FW-9	MINNESOTA ST HSG FIN AGY HOMEQ		09/01/2017	SINK FUND PAYMENT		.38,228	.38,228	.38,228	.38,228		(28)					.38,228						.688	09/01/2041	1FE		
60416Q-GB-4	MINNESOTA ST HSG FIN AGY HOMEQ		09/01/2017	SINK FUND PAYMENT		.104,264	.104,264	.104,264	.104,264		(744)					.104,263						.2,082	11/01/2044	1FE		
613349-2F-5	MONTGOMERY CNTY MD HSG OPPORTU		07/01/2017	SINK FUND PAYMENT		.95,000	.95,000	.102,085	.95,745		(744)					.95,000						.3,800	01/01/2031	1FE		
64990E-R2-5	NEW YORK ST DORM AUTH ST PERSO		08/16/2017	MESIROW FINANCIAL INC		.238,220	.238,220	.210,000	.237,215		(4,091)					.233,123						.10,675	02/15/2021	1FE		
650035-DB-8	NEW YORK ST URBAN DEV CORP REV		08/17/2017	FIDELITY		.909,040	.909,040	.800,000	.893,663		(13,533)					.878,678						.37,444	03/15/2021	1FE		
83712T-DA-6	SOUTH CAROLINA ST HSG FIN & DE		09/01/2017	SINK FUND PAYMENT		.15,000	.15,000	.15,000	.15,000		(28)					.15,000						.295	01/01/2041	1FE		
3199999. Subtotal - Bonds - U.S. Special Revenues						2,957,537	2,957,537	2,820,278	3,041,125		(25,043)					(25,043)						35,457	35,457	90,761	XXX	XXX
00404D-AE-3	ACADIA HEALTHCARE CO INC TL B2		09/29/2017	SINK FUND PAYMENT		.63	.63	.62	.62		(28)					.63						.2	02/16/2023	3FE		
01310T-AU-3	ALBERTSONS LLC TL B6		09/29/2017	SINK FUND PAYMENT		.433	.433	.433	.433		(27)					.433						.13	06/22/2023	3FE		
01860Y-AE-2	ALLIANCE HEALTHCARE SVCS TL B		09/15/2017	SINK FUND PAYMENT		.625	.625	.618	.615		(7)					.625						.21	06/03/2019	4FE		
01973J-AH-2	ALLISON TRANSMISSION INC TL B3		09/29/2017	SINK FUND PAYMENT		.346	.346	.346	.346		(28)					.346						.8	12/23/2022	3FE		
02154U-AF-0	ALTOICE US FINANCE I CORP		09/29/2017	SINK FUND PAYMENT		.623	.623	.623	.628		(5)					.623						.21	07/28/2025	3FE		
02406M-AP-2	AMERICAN AXLE & MANUFACTURING TL B		09/25/2017	SINK FUND PAYMENT		.625	.625	.626	.626		(28)					.625						.7	04/06/2024	3FE		
02474R-AE-3	AMERICAN BUILDERS & CONTRACTOR TL B		09/29/2017	SINK FUND PAYMENT		.125	.125	.125	.125		(28)					.125						.3	10/31/2023	4FE		
03765V-AH-3	PRIME SECURITY SERVICES BOR TL		09/29/2017	SINK FUND PAYMENT		.622	.622	.621	.622		(28)					.622						.21	05/02/2022	3FE		
03852J-AD-3	ARAMARK SERVICES INC TL B		09/29/2017	SINK FUND PAYMENT		.47,857	.47,857	.48,336	.48,336		(1)					.47,857						.624	03/28/2024	3FE		
08579J-AT-9	BERRY PLASTICS GROUP INC TL F		07/10/2017	SINK FUND PAYMENT		.2,262	.2,262	.2,250	.2,249		(13)					.2,262						.50	10/01/2022	3FE		
10330J-AU-2	BOYD GAMING CORP TL B		09/13/2017	SINK FUND PAYMENT		.4,579	.4,579	.4,579	.4,579		(28)															

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Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain Value at Disposal Date	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation or Market In- dicator (a)		
										11 Unrealized Valuation Increase/ Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value									
.35906E-AJ-9	FRONTIER COMMUNICATIONS CORP TL B		.09/29/2017	SINK FUND PAYMENT		.275	.275	.274		.1			.1			.275					.4	.06/15/2024	3FE
.36249Y-AJ-9	GTCR VALOR COS INC (CISION) TL B		.08/04/2017	SINK FUND PAYMENT		.198,000	.198,000	.190,428		.7,572			.7,572			.198,000					.8,471	.06/16/2023	3FE
.37252K-AN-2	GEO GROUP TL B		.09/29/2017	SINK FUND PAYMENT		.125	.125	.124								.125					.2	.03/22/2024	3FE
.432890-AE-3	HILTON WORLDWIDE FINANCE LLC TL B2		.09/29/2017	SINK FUND PAYMENT		.338	.338	.339		.338						.338					.8	.10/25/2023	3FE
.44043V-AF-5	HORIZON PHARMA INC TL B		.09/29/2017	SINK FUND PAYMENT		.369	.369	.368		.369						.369					.17	.03/29/2024	3FE
.552662-AP-3	MCC IOWA LLC TL H		.09/29/2017	SINK FUND PAYMENT		.644	.644	.648		.644						.644					.19	.01/29/2021	3FE
.55303K-AC-7	MGN GROWTH PROPERTIES TL B		.09/29/2017	SINK FUND PAYMENT		.125	.125	.125								.125					.3	.04/25/2023	3FE
.58063V-AH-6	MCGRAW-HILL GLOBAL EDUCATION TL		.09/29/2017	SINK FUND PAYMENT		.188	.188	.187		.187						.188					.7	.05/04/2024	3FE
.587729-AC-8	MERCEDES-BENZ AUTO RECEIVABLES 14 1 A3		.09/15/2017	PAYOUT		.26,418	.26,418	.26,273		.26,365						.26,418					.153	.10/15/2018	1FE
.59408B-AM-8	MICHAELS STORES INC TL B1		.07/31/2017	SINK FUND PAYMENT		.558	.558	.557		.558						.558					.16	.01/30/2023	3FE
.59511F-AB-0	MICRON TECHNOLOGY INC TL B		.09/29/2017	SINK FUND PAYMENT		.630	.630	.638								.630					.8	.04/26/2022	2FE
.61766C-AA-7	MORGAN STANLEY CAPITAL I TR 16 UBS9 A1		.09/01/2017	PAYOUT		.59,176	.59,176	.59,176		.59,176						.59,176					.673	.03/15/2049	1FI
.62471H-AB-8	PANDA LIBERTY LLC TL B1		.09/29/2017	SINK FUND PAYMENT		.623	.623	.639		.601						.623					.36	.08/21/2020	3FE
.70454B-AS-8	PEABODY ENERGY CORP TL EXIT		.09/11/2017	SINK FUND PAYMENT		.15,789	.15,789	.15,711		.15,711						.15,789					.404	.03/31/2022	4FE
.72812H-AD-8	PLAYA HOTELS & RESORTS NV TL B	D	.09/29/2017	SINK FUND PAYMENT		.625	.625	.623		.625						.625					.6	.04/29/2024	4FE
.730200-AD-7	PINNACLE ENTERTAINMENT INC TL B		.07/12/2017	SINK FUND PAYMENT		.3,333	.3,333	.3,325		.3,325						.3,333					.67	.04/28/2023	3FE
.73179Y-AG-2	POLYONE CORP TL B		.09/29/2017	SINK FUND PAYMENT		.100	.100	.100		.100						.100					.3	.11/11/2022	3FE
.73744G-AJ-1	POST HOLDINGS INC TL B		.09/29/2017	SINK FUND PAYMENT		.55	.55	.55		.55						.55					.1	.05/24/2024	3FE
.75875J-AJ-1	REGAL CINEMAS CORP TL B		.09/29/2017	SINK FUND PAYMENT		.623	.623	.628		.628						.623					.12	.04/01/2022	3FE
.76009W-AR-1	RENT-A-CENTER INC TL B		.09/29/2017	SINK FUND PAYMENT		.438	.438	.435		.409						.438					.14	.03/19/2021	4FE
.76168J-AM-8	RBS GLOBAL INC TL B		.09/29/2017	SINK FUND PAYMENT		.515	.515	.511		.512						.515					.14	.08/21/2023	4FE
.79548K-UV-8	SALOMON BROTHERS MORTGAGE 97 HUD1 B3		.09/01/2017	PAYOUT			.8,725														.352	.12/25/2030	1FI
.80875A-AN-1	SCIENTIFIC GAMES INTERNATIONAL TL B4		.08/14/2017	SINK FUND PAYMENT		.189,948	.189,948	.188,998		.189,948						.189,948					.7,419	.08/14/2024	4FE
.816194-AV-6	SELECT MEDICAL CORP TL B		.09/29/2017	SINK FUND PAYMENT		.438	.438	.435		.435						.438					.6	.03/01/2021	3FE
.829229-AB-6	SINCLAIR TELEVISION GROUP INC TL B2		.09/29/2017	SINK FUND PAYMENT		.616	.616	.613		.614						.616					.17	.01/03/2024	3FE
.84762N-BK-6	SPECTRUM BRANDS INC TL B		.09/29/2017	SINK FUND PAYMENT		.254	.254	.254		.129						.254					.3	.06/23/2022	3FE
.85208E-AB-6	SPRINT COMMUNICATIONS TL B		.09/29/2017	SINK FUND PAYMENT		.375	.375	.375		.375						.375					.9	.02/02/2024	3FE
.86358R-XZ-5	STRUCTURED ASSET SECURITIES 02 AL1 A3		.09/01/2017	PAYOUT		.4,600	.4,600	.4,244		.4,546						.4,600					.105	.02/25/2032	1AM
.86737R-AD-1	SUNGARD AVAIL SERV CAP TL B		.09/29/2017	SINK FUND PAYMENT		.219	.219	.217		.211						.219					.13	.09/30/2021	4FE
.86853T-AP-8	SUPERVALU INC TL		.08/01/2017	PRIOR PERIOD INCOME																	.10	.03/21/2019	3FE
.86853T-AR-4	SUPERVALU INC TL B		.09/29/2017	SINK FUND PAYMENT		.257	.257	.257		.257						.257					.3	.06/08/2024	3FE
.88723A-AB-4	TIME INC TL DD B		.09/29/2017	SINK FUND PAYMENT		.313	.313	.312		.312						.313					.10	.04/26/2021	3FE
.89213G-AE-0	TOBIN SPORTS INTERNATIONAL TL B		.09/29/2017	SINK FUND PAYMENT		.599	.599	.603		.479						.599					.21	.11/15/2020	5FE
.89364M-BJ-2	TRANSIGM INC TL G		.09/29/2017	SINK FUND PAYMENT		.625	.625	.627								.625					.2	.08/22/2024	3FE
.89604B-AT-5	TRIBUNE MEDIA CO TL B		.08/21/2017	SINK FUND PAYMENT		.520	.520	.519		.516						.520					.20	.12/27/2020	3FE
.89604B-AU-2	TRIBUNE MEDIA CO TL C		.08/21/2017	SINK FUND PAYMENT		.6,480	.6,480	.6,463		.6,463						.6,480					.168	.01/26/2024	3FE
.90343T-AS-2	US TELEPACIFIC CORP TL		.09/29/2017	SINK FUND PAYMENT		.604	.604	.604		.603						.604					.37	.05/02/2023	4FE
.92532Y-AB-5	VERSUM MATERIALS INC TL B		.09/29/2017	SINK FUND PAYMENT		.627	.627	.636								.627					.15	.09/29/2023	3FE
.928500-AB-6	LONSTAR GENERATION LLC TL B		.08/31/2017	VARIOUS		.229,788	.241,882	.239,464		.228,577						.241,883					.9,429	.02/22/2021	4FE
.95810D-AL-5	WESTERN DIGITAL CORP TL B		.09/29/2017	SINK FUND PAYMENT		.239	.239	.232		.237						.239					.7	.04/29/2023	2FE
.99920U-AH-7	ZEBRA TECHNOLOGIES CORP TL B		.09/29/2017	SINK FUND PAYMENT		.2,160	.2,160	.2,144		.2,158						.2,160					.51	.10/27/2021	3FE
.C5184A-AF-8	LIONS GATE ENTERTAINMENT TL B	A	.09/29/2017	SINK FUND PAYMENT		.6,250	.6,250	.6,219		.6,211						.6,250					.204	.12/08/2023	3FE
.C9413P-AU-7	VALEANT PHARMACEUTICALS TL BFI	A.	.07/03/2017	SINK FUND PAYMENT		.15,708	.15,708	.15,661		.48						.15,708					.510	.04/01/2022	3FE
.25152C-MN-3	DEUTSCHE BANK AG LONDON	D.	.09/01/2017	MATURITY		.350,000	.350,000	.393,400		.355,197						.350,000					.21,000	.09/01/2017	2FE
.81172U-AB-1	SEADRILL OPERATING LP TL B	D.	.09/29/2017	SINK FUND PAYMENT		.624	.624	.619		.425						.624					.20	.02/21/2021	5FE
.L2968E-AB-8	ENDO LUXEMBOURG TL B	D.	.09/29/2017	SINK FUND PAYMENT		.124	.124	.124								.124					.3	.04/29/2024	3FE
.L7307N-AD-3	PACIFIC DRILLING SA TL B	D.	.09/05/2017	SINK FUND PAYMENT		.626	.626	.220		.220						.220				</td			

## STATEMENT AS OF SEPTEMBER 30, 2017 OF THE United Ohio Insurance Company

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain /Loss on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Desig- nation or Market In- dicator (a)	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recog- nized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value								
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
8999999. Total - Preferred Stocks						331,192	XXX	315,480	299,880	15,600				15,600		315,480		15,712	15,712	8,928	XXX	XXX
9799997. Total - Common Stocks - Part 4						XXX															XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
9799999. Total - Common Stocks						XXX														XXX	XXX	XXX
9899999. Total - Preferred and Common Stocks						331,192	XXX	315,480	299,880	15,600				15,600		315,480		15,712	15,712	8,928	XXX	XXX
9999999 - Totals						6,163,249	XXX	6,422,255	5,898,793	119,045	(40,975)	72,078	5,992			6,085,628		77,622	77,622	201,236	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open  
**NONE**

Schedule DB - Part B - Section 1 - Futures Contracts Open  
**NONE**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  
**NONE**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open  
**NONE**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By  
**NONE**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To  
**NONE**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned  
**NONE**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned  
**NONE**

## STATEMENT AS OF SEPTEMBER 30, 2017 OF THE United Ohio Insurance Company

**SCHEDULE E - PART 1 - CASH**

## Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Citizens Bank ..... Providence, Rhode Island	SD.....	0.000	.....1			.....1	.....1	XXX
Federal Home Loan Bank of Cincinnati ..... Cincinnati, Ohio					179,087	274,649	357,643	XXX
Fifth Third Bank ..... Cincinnati, Ohio	SD.....		968		.....1	.....1	.....1	XXX
Fifth Third Bank ..... Cincinnati, Ohio			3,729		10,606	6,865	155,887	XXX
Fifth Third Bank ..... Columbus, Ohio			6,060		8,530,693	5,548,511	9,784,660	XXX
United Bank, N.A. ..... Bucyrus, Ohio			1,918		(8,843,648)	(4,218,763)	(5,417,603)	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						XXX
0199999. Totals - Open Depositories	XXX	XXX	12,676		(123,261)	1,611,264	4,880,588	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX						XXX
0399999. Total Cash on Deposit	XXX	XXX	12,676		(123,261)	1,611,264	4,880,588	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	521	521	521	XXX
.....								
.....								
.....								
.....								
.....								
0599999. Total - Cash	XXX	XXX	12,676		(122,740)	1,611,785	4,881,109	XXX

Schedule E - Part 2 - Cash Equivalents - Investments Owned End of Current Quarter  
**N O N E**



SUPPLEMENT FOR THE QUARTER ENDING SEPTEMBER 30, 2017 OF THE United Ohio Insurance Company

## DIRECTOR AND OFFICER INSURANCE COVERAGE SUPPLEMENT

Year To Date For The Period Ended SEPTEMBER 30, 2017

NAIC Group Code 0963

NAIC Company Code 13072

Company Name United Ohio Insurance Company .....

If the reporting entity writes any director and officer (D&O) business, please provide the following:

1. Monoline Policies

1 Direct Written Premium	2 Direct Earned Premium	3 Direct Losses Incurred
\$ .....	\$ .....	\$ .....

2. Commercial Multiple Peril (CMP) Packaged Policies

2.1 Does the reporting entity provide D&O liability coverage as part of a CMP packaged policy? ..... Yes [  ] No [  ]

2.2 Can the direct premium earned for D&O liability coverage provided as part of a CMP packaged policy be quantified or estimated? ..... Yes [  ] No [  ]

2.3 If the answer to question 2.2 is yes, provide the quantified or estimated direct premium earned amount for D&O liability coverage in CMP packaged policies

2.31 Amount quantified: ..... \$ .....

2.32 Amount estimated using reasonable assumptions: ..... \$ .....

2.4 If the answer to question 2.1 is yes, provide direct losses incurred (losses paid plus change in case reserves) for the D&O liability coverage provided in CMP packaged policies. ..... \$ .....