



# HEALTH QUARTERLY STATEMENT

AS OF MARCH 31, 2017  
OF THE CONDITION AND AFFAIRS OF THE

## Humana Health Plan of Ohio, Inc.

NAIC Group Code 0119 0119 NAIC Company Code 95348 Employer's ID Number 31-1154200  
(Current) (Prior)

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [  ] No [  ]

Incorporated/Organized 08/19/1985 Commenced Business 03/10/1979

Statutory Home Office 640 Eden Park Drive, Cincinnati, OH, US 45202-6056  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 640 Eden Park Drive  
(Street and Number)  
Cincinnati, OH, US 45202-6056, 513-784-5320  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address P.O. Box 740036, Louisville, KY, US 40201-7436  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 640 Eden Park Drive  
(Street and Number)  
Cincinnati, OH, US 45202-6056, 513-784-5320  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.humana.com

Statutory Statement Contact Amanda Nethery, 502-580-3026  
(Name) (Area Code) (Telephone Number)  
DOIINQUIRIES@humana.com, 502-580-2099  
(E-mail Address) (FAX Number)

### OFFICERS

President & CEO Bruce Dale Broussard Sr. VP & CFO Brian Andrew Kane  
VP & Corporate Secretary Joan Olliges Lenahan VP & Chief Actuary Kenny Waitem Kan

### OTHER

<u>Stephen Michael Arnold, Vice President</u>	<u>Alan James Bailey, VP &amp; Treasurer</u>	<u>Elizabeth Diane Bierbower, Pres, Group Segment</u>
<u>Renee Jacqueline Buckingham, VP &amp; Division Leader- Eastern Division</u>	<u>Jonathan Albert Canine, VP &amp; Appointed Actuary</u>	<u>John Gregory Catron, VP &amp; Chief Compliance Officer</u>
<u>Douglas Allen Edwards, Vice President</u>	<u>Brian Phillip LeClaire, Ph.D., Sr VP &amp; Chief Info Officer</u>	<u>Heidi Suzanne Margulis, Sr. Vice President</u>
<u>Mark Matthew Matzke, VP, Group Segment Leadership</u>	<u>Steven Edward McCulley, SVP, Medicare Operations</u>	<u>William Mark Preston, VP-Investment Management</u>
<u>Tamara Lynn Quiram, Seg. VP &amp; Pres., Small Business &amp; Large Group</u>	<u>Richard Donald Remmers, VP, Group Segment</u>	<u>George Renaudin II, Seg. VP, Medicare: East</u>
<u>Donald Hank Robinson, Vice President-Tax</u>	<u>Joseph Christopher Ventura, Vice President and Assistant Corporate Secretary</u>	<u>Timothy Alan Wheatley, President, Retail Segment</u>
<u>Ralph Martin Wilson, Vice President</u>	<u>Tod James Zacharias, Vice President</u>	<u>Cynthia Hillebrand Zipperle, VP &amp; Chief Accounting Officer</u>

### DIRECTORS OR TRUSTEES

Bruce Dale Broussard Brian Andrew Kane James Elmer Murray

State of Kentucky SS:  
County of Jefferson

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Bruce Dale Broussard  
President & CEO

Joan Olliges Lenahan  
VP & Corporate Secretary

Alan James Bailey  
VP & Treasurer

Subscribed and sworn to before me this 10th day of May, 2017

- a. Is this an original filing? ..... Yes [  ] No [  ]  
b. If no,  
1. State the amendment number.....  
2. Date filed .....  
3. Number of pages attached.....

Michele Sizemore  
Notary Public  
January 3, 2019

STATEMENT AS OF MARCH 31, 2017 OF THE Humana Health Plan of Ohio Inc.

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	115,958,610	0	115,958,610	127,548,941
2. Stocks:				
2.1 Preferred stocks .....	0	0	0	0
2.2 Common stocks .....	0	0	0	0
3. Mortgage loans on real estate:				
3.1 First liens .....	0	0	0	0
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ .....0 encumbrances) .....	0	0	0	0
4.2 Properties held for the production of income (less \$ .....0 encumbrances) .....	0	0	0	0
4.3 Properties held for sale (less \$ .....0 encumbrances) .....	0	0	0	0
5. Cash (\$ .....238,509 ), cash equivalents (\$ .....0 ) and short-term investments (\$ .....6,917,530 ) .....	7,156,039	0	7,156,039	18,339,035
6. Contract loans (including \$ .....0 premium notes) .....	0	0	0	0
7. Derivatives .....	0	0	0	0
8. Other invested assets .....	304,479	0	304,479	304,479
9. Receivables for securities .....	0	0	0	250,000
10. Securities lending reinvested collateral assets .....	0	0	0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	123,419,128	0	123,419,128	146,442,455
13. Title plants less \$ .....0 charged off (for Title insurers only) .....	0	0	0	0
14. Investment income due and accrued .....	876,875	0	876,875	1,070,589
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	1,576,113	455,573	1,120,540	1,349,172
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ .....0 earned but unbilled premiums) .....	0	0	0	0
15.3 Accrued retrospective premiums (\$ .....0 ) and contracts subject to redetermination (\$ .....10,742,338 ) .....	10,742,338	0	10,742,338	9,381,504
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	1,261,788	0	1,261,788	1,683,489
16.2 Funds held by or deposited with reinsured companies .....	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts .....	49	0	49	127
17. Amounts receivable relating to uninsured plans .....	3,657,932	0	3,657,932	2,844,123
18.1 Current federal and foreign income tax recoverable and interest thereon .....	0	0	0	2,983,321
18.2 Net deferred tax asset .....	4,994,456	894,412	4,100,044	4,100,044
19. Guaranty funds receivable or on deposit .....	0	0	0	0
20. Electronic data processing equipment and software .....	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$ .....0 ) .....	1,502,342	1,502,342	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates .....	5,546,893	0	5,546,893	2,823,895
24. Health care (\$ .....3,814,762 ) and other amounts receivable .....	5,184,104	1,369,347	3,814,757	7,682,683
25. Aggregate write-ins for other than invested assets .....	1,262,042	1,146,997	115,045	111,370
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	160,024,060	5,368,671	154,655,389	180,472,772
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0	0
28. Total (Lines 26 and 27)	160,024,060	5,368,671	154,655,389	180,472,772
<b>DETAILS OF WRITE-INS</b>				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Prepaid Expenses .....	542,506	542,506	0	0
2502. Deposits .....	411,315	411,315	0	0
2503. Prepaid Commissions .....	193,176	193,176	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page .....	115,045	0	115,045	111,370
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	1,262,042	1,146,997	115,045	111,370

**LIABILITIES, CAPITAL AND SURPLUS**

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ .....0 reinsurance ceded) .....	20,733,078	2,099,418	22,832,496	59,733,634
2. Accrued medical incentive pool and bonus amounts .....	1,746,561	0	1,746,561	526,428
3. Unpaid claims adjustment expenses .....	196,768	0	196,768	541,497
4. Aggregate health policy reserves, including the liability of \$ .....5,821 for medical loss ratio rebate per the Public Health Service Act .....	6,458,250	0	6,458,250	5,542,424
5. Aggregate life policy reserves .....	0	0	0	0
6. Property/casualty unearned premium reserve .....	0	0	0	0
7. Aggregate health claim reserves .....	66,000	0	66,000	66,000
8. Premiums received in advance .....	5,872,731	0	5,872,731	4,891,007
9. General expenses due or accrued .....	4,344,995	0	4,344,995	5,172,416
10.1 Current federal and foreign income tax payable and interest thereon (including \$ .....35,774 on realized gains (losses)) .....	1,056,977	0	1,056,977	0
10.2 Net deferred tax liability .....	0	0	0	0
11. Ceded reinsurance premiums payable .....	0	0	0	144,714
12. Amounts withheld or retained for the account of others .....	57	0	57	57
13. Remittances and items not allocated .....	119,090	0	119,090	222,933
14. Borrowed money (including \$ .....0 current) and interest thereon \$ .....0 (including \$ .....0 current) .....	0	0	0	0
15. Amounts due to parent, subsidiaries and affiliates .....	0	0	0	0
16. Derivatives .....	0	0	0	0
17. Payable for securities .....	0	0	0	0
18. Payable for securities lending .....	0	0	0	0
19. Funds held under reinsurance treaties (with \$ .....0 authorized reinsurers, \$ .....0 unauthorized reinsurers and \$ .....0 certified reinsurers) .....	0	0	0	0
20. Reinsurance in unauthorized and certified (\$ .....0 ) companies .....	0	0	0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates .....	0	0	0	0
22. Liability for amounts held under uninsured plans .....	1,928,949	0	1,928,949	1,107,418
23. Aggregate write-ins for other liabilities (including \$ .....0 current) .....	0	0	0	0
24. Total liabilities (Lines 1 to 23) .....	42,523,456	2,099,418	44,622,874	77,948,528
25. Aggregate write-ins for special surplus funds .....	XXX	XXX	1,229,164	0
26. Common capital stock .....	XXX	XXX	1,000	1,000
27. Preferred capital stock .....	XXX	XXX	0	0
28. Gross paid in and contributed surplus .....	XXX	XXX	137,601,893	137,601,893
29. Surplus notes .....	XXX	XXX	0	0
30. Aggregate write-ins for other than special surplus funds .....	XXX	XXX	0	0
31. Unassigned funds (surplus) .....	XXX	XXX	(28,799,542)	(35,078,649)
32. Less treasury stock, at cost:				
32.1 .....0 shares common (value included in Line 26 \$ .....0 ) .....	XXX	XXX	0	0
32.2 .....0 shares preferred (value included in Line 27 \$ .....0 ) .....	XXX	XXX	0	0
33. Total capital and surplus (Lines 25 to 31 minus Line 32) .....	XXX	XXX	110,032,515	102,524,244
34. Total liabilities, capital and surplus (Lines 24 and 33) .....	XXX	XXX	154,655,389	180,472,772
<b>DETAILS OF WRITE-INS</b>				
2301. ....				
2302. ....				
2303. ....				
2398. Summary of remaining write-ins for Line 23 from overflow page .....	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above) .....	0	0	0	0
2501. Special Surplus - Projected HCRL Assessment for the Upcoming Year .....	XXX	XXX	1,229,164	0
2502. ....	XXX	XXX		
2503. ....	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page .....	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	XXX	XXX	1,229,164	0
3001. ....	XXX	XXX		
3002. ....	XXX	XXX		
3003. ....	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page .....	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above) .....	XXX	XXX	0	0

**STATEMENT OF REVENUE AND EXPENSES**

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	XXX	154,856	306,044	1,242,547
2. Net premium income ( including \$ .....0 non-health premium income)	XXX	59,111,714	183,528,624	740,164,084
3. Change in unearned premium reserves and reserve for rate credits	XXX	(5,821)	(1,820,710)	(11,341,191)
4. Fee-for-service (net of \$ .....0 medical expenses)	XXX	0	0	0
5. Risk revenue	XXX	0	0	0
6. Aggregate write-ins for other health care related revenues	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues	XXX	0	0	0
8. Total revenues (Lines 2 to 7)	XXX	59,105,893	181,707,914	728,822,893
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits	3,616,147	29,621,599	122,147,784	509,461,318
10. Other professional services	0	(135,296)	3,740,334	18,222,666
11. Outside referrals	0	0	0	0
12. Emergency room and out-of-area	220,005	3,397,510	6,132,623	27,694,228
13. Prescription drugs	0	7,419,062	20,495,475	76,194,190
14. Aggregate write-ins for other hospital and medical	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts	0	1,471,367	50,322	581,516
16. Subtotal (Lines 9 to 15)	3,836,152	41,774,242	152,566,538	632,153,918
<b>Less:</b>				
17. Net reinsurance recoveries	0	53,669	241,931	428,730
18. Total hospital and medical (Lines 16 minus 17)	3,836,152	41,720,573	152,324,607	631,725,188
19. Non-health claims (net)	0	0	0	0
20. Claims adjustment expenses, including \$ .....2,095,081 cost containment expenses	0	2,329,768	7,112,901	28,444,693
21. General administrative expenses	0	8,017,512	28,278,602	82,244,541
22. Increase in reserves for life and accident and health contracts (including \$ .....0 increase in reserves for life only)	0	0	0	(19,845,000)
23. Total underwriting deductions (Lines 18 through 22)	3,836,152	52,067,853	187,716,110	722,569,422
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	7,038,040	(6,008,196)	6,253,471
25. Net investment income earned	0	647,719	603,646	2,364,247
26. Net realized capital gains (losses) less capital gains tax of \$ .....35,774	0	66,437	256,727	650,282
27. Net investment gains (losses) (Lines 25 plus 26)	0	714,156	860,373	3,014,529
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ .....0 ) (amount charged off \$ .....0 )]	0	0	0	0
29. Aggregate write-ins for other income or expenses	0	5	(37,077)	(43,246)
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	7,752,201	(5,184,900)	9,224,754
31. Federal and foreign income taxes incurred	XXX	1,025,179	3,181,678	806,236
32. Net income (loss) (Lines 30 minus 31)	XXX	6,727,022	(8,366,578)	8,418,518
<b>DETAILS OF WRITE-INS</b>				
0601.	XXX			
0602.	XXX			
0603.	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX	0	0	0
0701.	XXX			
0702.	XXX			
0703.	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	0
1401.				
1402.				
1403.				
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	0	0	0
2901. Miscellaneous Income	0	5	6	7
2902. Loss on Disposal	0	0	(37,083)	(43,253)
2903.				
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	5	(37,077)	(43,246)

**STATEMENT OF REVENUE AND EXPENSES (Continued)**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
33. Capital and surplus prior reporting year.....	102,524,244	77,269,766	77,269,766
34. Net income or (loss) from Line 32 .....	6,727,022	(8,366,578)	8,418,518
35. Change in valuation basis of aggregate policy and claim reserves .....	0	0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ ..... 0	0	0	0
37. Change in net unrealized foreign exchange capital gain or (loss) .....	0	0	0
38. Change in net deferred income tax .....	0	0	(14,400,743)
39. Change in nonadmitted assets .....	788,633	3,420,241	26,069,703
40. Change in unauthorized and certified reinsurance .....	0	0	0
41. Change in treasury stock .....	0	0	0
42. Change in surplus notes .....	0	0	0
43. Cumulative effect of changes in accounting principles.....	0	0	0
44. Capital Changes:			
44.1 Paid in .....	0	0	0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....	0	0	0
45. Surplus adjustments:			
45.1 Paid in .....	0	0	0
45.2 Transferred to capital (Stock Dividend) .....	0	0	0
45.3 Transferred from capital .....	0	0	0
46. Dividends to stockholders .....	0	0	0
47. Aggregate write-ins for gains or (losses) in surplus .....	(7,384)	0	5,167,000
48. Net change in capital & surplus (Lines 34 to 47) .....	7,508,271	(4,946,337)	25,254,478
49. Capital and surplus end of reporting period (Line 33 plus 48)	110,032,515	72,323,429	102,524,244
<b>DETAILS OF WRITE-INS</b>			
4701. 2016 Risk Corridor impact on Premium Deficiency Reserve .....	0	0	5,167,000
4702. Prior Period Impact of Claims Dispute .....	(7,384)	0	0
4703. ....			
4798. Summary of remaining write-ins for Line 47 from overflow page .....	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	(7,384)	0	5,167,000

STATEMENT AS OF MARCH 31, 2017 OF THE Humana Health Plan of Ohio Inc.

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	59,671,406	175,577,998	737,094,244
2. Net investment income .....	1,288,217	919,997	3,727,975
3. Miscellaneous income .....	0	0	0
4. Total (Lines 1 to 3) .....	60,959,623	176,497,995	740,822,219
5. Benefit and loss related payments .....	72,509,100	142,272,578	621,760,375
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	11,323,044	20,271,919	104,853,365
8. Dividends paid to policyholders .....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ ..... 94,585 tax on capital gains (losses) .....	(2,983,321)	(4,068,371)	71,338
10. Total (Lines 5 through 9) .....	80,848,823	158,476,126	726,685,078
11. Net cash from operations (Line 4 minus Line 10) .....	(19,889,200)	18,021,869	14,137,141
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	11,567,614	13,261,156	65,788,678
12.2 Stocks .....	0	0	0
12.3 Mortgage loans .....	0	0	0
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	4,083	11,096	56,157
12.7 Miscellaneous proceeds .....	260,271	3,263,830	0
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	11,831,968	16,536,082	65,844,835
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	336,210	20,225,660	98,291,180
13.2 Stocks .....	0	0	0
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	0	0
13.5 Other invested assets .....	0	0	0
13.6 Miscellaneous applications .....	0	500,000	250,000
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	336,210	20,725,660	98,541,180
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	11,495,758	(4,189,578)	(32,696,345)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied) .....	(2,789,555)	732,438	592,180
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	(2,789,555)	732,438	592,180
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(11,182,997)	14,564,729	(17,967,024)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	18,339,035	36,306,059	36,306,059
19.2 End of period (Line 18 plus Line 19.1) .....	7,156,039	50,870,788	18,339,035

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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STATEMENT AS OF MARCH 31, 2017 OF THE Humana Health Plan of Ohio Inc.

**EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION**

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
<b>Total Members at end of:</b>										
1. Prior Year .....	105,184	5,772	49,444	0	0	0	1,018	48,950	0	0
2. First Quarter .....	51,331	1,655	49,097	0	0	0	579	0	0	0
3. Second Quarter .....	0	0	0	0	0	0	0	0	0	0
4. Third Quarter .....	0	0	0	0	0	0	0	0	0	0
5. Current Year	0	0	0	0	0	0	0	0	0	0
6. Current Year Member Months	154,856	5,250	147,892	0	0	0	1,714	0	0	0
<b>Total Member Ambulatory Encounters for Period:</b>										
7. Physician .....	79,920	4,524	73,699	0	0	0	1,697	0	0	0
8. Non-Physician .....	34,967	2,228	31,845	0	0	0	894	0	0	0
9. Total	114,887	6,752	105,544	0	0	0	2,591	0	0	0
10. Hospital Patient Days Incurred	3,561	455	2,963	0	0	0	143	0	0	0
11. Number of Inpatient Admissions	674	52	607	0	0	0	15	0	0	0
12. Health Premiums Written (a) .....	59,111,769	2,082,747	54,559,020	0	0	0	975,035	1,494,967	0	0
13. Life Premiums Direct .....	0	0	0	0	0	0	0	0	0	0
14. Property/Casualty Premiums Written .....	0	0	0	0	0	0	0	0	0	0
15. Health Premiums Earned .....	59,105,948	2,082,747	54,553,199	0	0	0	975,035	1,494,967	0	0
16. Property/Casualty Premiums Earned .....	0	0	0	0	0	0	0	0	0	0
17. Amount Paid for Provision of Health Care Services.....	73,085,210	2,808,550	40,703,238	0	0	0	980,110	28,593,312	0	0
18. Amount Incurred for Provision of Health Care Services	41,774,242	1,838,778	40,075,942	0	0	0	1,553,780	(1,694,258)	0	0

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ .....1,494,967



**UNDERWRITING AND INVESTMENT EXHIBIT**

**ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE**

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical) .....	12,837,903	30,507,765	1,990,632	14,704,329	14,828,535	18,285,107
2. Medicare Supplement .....	0	0	0	0	0	0
3. Dental Only .....	0	0	0	0	0	0
4. Vision Only .....	0	0	0	0	0	0
5. Federal Employees Health Benefits Plan .....	437,846	542,264	96,749	590,667	534,595	284,322
6. Title XVIII - Medicare .....	28,342,079	0	5,516,119	0	33,858,198	41,230,205
7. Title XIX - Medicaid .....	0	0	0	0	0	0
8. Other health .....	0	0	0	0	0	0
9. Health subtotal (Lines 1 to 8) .....	41,617,828	31,050,029	7,603,500	15,294,996	49,221,328	59,799,634
10. Healthcare receivables (a) .....	53,033	5,131,077	0	0	53,033	9,666,597
11. Other non-health .....	0	0	0	0	0	0
12. Medical incentive pools and bonus amounts .....	0	251,234	0	1,746,561	0	526,428
13. Totals (Lines 9-10+11+12)	41,564,795	26,170,186	7,603,500	17,041,557	49,168,295	50,659,465

(a) Excludes \$ .....0 loans or advances to providers not yet expensed.

**STATEMENT AS OF March 31, 2017 OF Humana Health Plan of Ohio, Inc.**

**NOTES TO THE FINANCIAL STATEMENTS**

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance.

The Ohio Department of Insurance recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Ohio. The Commissioner of Insurance has the right to permit other specific practices that deviate from prescribed practices. No deviations from the Codification currently exist.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below:

	SSAP #	F/S Page	F/S Line #	2017	2016
Net Income					
1. Humana Health Plan of Ohio, Inc. Ohio basis	xxx	xxx	xxx	\$ 6,727,022	\$ 8,418,518
2. State Prescribed Practices that is an increase/(decrease) NAIC SAP				-	-
3. State Permitted Practices that is an increase/(decrease) NAIC SAP				-	-
4. NAIC SAP	xxx	xxx	xxx	\$ 6,727,022	\$ 8,418,518
Surplus					
5. Humana Health Plan of Ohio, Inc. Ohio basis	xxx	xxx	xxx	\$ 110,032,515	\$ 102,524,244
6. State Prescribed Practices that is an increase/(decrease) NAIC SAP				-	-
7. State Permitted Practices that is an increase/(decrease) NAIC SAP				-	-
8. NAIC SAP	xxx	xxx	xxx	\$ 110,032,515	\$ 102,524,244

On July 2, 2015, Humana entered into an Agreement and Plan of Merger, which is referred to herein as the Merger Agreement, with Aetna Inc. and certain wholly owned subsidiaries of Aetna Inc., (Aetna), which sets forth the terms and conditions under which Humana agreed to merge with, and become a wholly owned subsidiary of Aetna.

The Merger was subject to customary closing conditions, including, among other things, the expiration or termination of the applicable waiting period under the Hart-Scott-Rodino Antitrust Improvements Act of 1976, as amended, the receipt of necessary approvals under state insurance and healthcare laws and regulations pursuant to certain licenses of certain Humana subsidiaries, and the absence of legal restraints and prohibitions on the consummation of the Merger.

On December 22, 2016, in order to extend the "End Date" (as defined in the Merger Agreement), Aetna and Humana each agreed to waive until 11:59 p.m. (Eastern time) on February 15, 2017 its right to terminate the Merger Agreement due to a failure of the Mergers to have been completed on or before December 31, 2016.

On July 21, 2016, the U.S. Department of Justice (DOJ) and the attorneys general of certain U.S. jurisdictions filed a civil antitrust complaint in the U.S. District Court for the District of Columbia against Humana and Aetna, alleging that the Merger would violate Section 7 of the Clayton Antitrust Act and seeking a permanent injunction to prevent the Merger from being completed. On January 23, 2017, the Court ruled in favor of the DOJ and granted a permanent injunction of the proposed transaction. On February 14, 2017, Humana and Aetna agreed to mutually terminate the Merger Agreement, as Humana's Board determined that an appeal of the Court's ruling would not be in the best interest of its stockholders. Under terms of the Merger Agreement, Humana received a breakup fee of \$1 billion on February 16, 2017.

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. These estimates are based on knowledge of current events and anticipated future events, and accordingly, actual results could differ from those estimates.

C. Accounting Policy

Premiums are reported as earned in the period in which members are entitled to receive services, and are net of retroactive membership adjustments. Retroactive membership adjustments result from enrollment changes not yet processed, or not yet reported by an employer group or the government. Premiums received prior to such period are recorded as advance premiums.

Benefits incurred and loss adjustment expenses include claim payments, capitation payments, pharmacy costs net of rebates, allocations of certain centralized expenses, legal and administrative costs to settle claims, and various other costs incurred to provide health insurance coverage to members, as well as estimates of future payments to hospitals and others for medical care provided prior to the date of the statements of admitted assets, liabilities and surplus. Capitation payments represent monthly contractual fees disbursed to participating primary care physicians, and other providers who are responsible for providing medical care to members. Pharmacy costs represent payments for members' prescription drug benefits, net of rebates from drug manufacturers.

STATEMENT AS OF March 31, 2017 OF Humana Health Plan of Ohio, Inc.

NOTES TO THE FINANCIAL STATEMENTS

In addition, the Company uses the following accounting policies:

- (1) Short-term investments include investments mainly in U.S. Government obligations with a maturity of twelve months or less from the date of purchase. Short-term investments are recorded at amortized cost. The carrying value of short-term investments approximates fair value due to the short-term maturities of the investments.
- (2-4) Investments are valued and classified in accordance with methods prescribed by the NAIC. Bonds with an NAIC rating of 1 or 2 are carried at amortized cost, with all other bonds being recorded at the lower of amortized cost or fair value; redeemable preferred stocks are carried at amortized cost; and non-redeemable preferred stocks are carried at fair value. Common stocks are carried at fair value.

The Company regularly evaluates investment securities for impairment. For all securities other than loan-backed and structured securities, the Company considers factors affecting the investee, factors affecting the industry the investee operates within, and general debt and equity market trends. The Company also considers the length of time an investment's fair value has been below carrying value, the near term prospects for recovery to carrying value, and the Company's intent and ability to hold the investment until maturity or market recovery is realized. If and when a determination is made that a decline in fair value below the cost basis is other-than-temporary, the related investment is written down to its estimated fair value through earnings.

Amortization of bond premium or discount is computed using the scientific interest method.

Income from investments is recorded on an accrual basis. For the purpose of determining realized gains and losses, the cost of securities sold is based upon specific identification. Investment income due and accrued over 90 days past due is nonadmitted.

- (5) Not Applicable.
- (6) For loan backed and structured securities where the securities fair value is less than the amortized cost, the Company considers several factors to determine if the security's impairment is other-than-temporary. If the Company has the intent to sell the security or if the Company does not have the intent and ability to retain the security until recovery of its fair value, the related investment is written down to its estimated fair value through earnings. If, however, the Company has the intent and ability to retain the security until recovery of its fair value, the Company considers factors affecting the investee, factors affecting the industry the investee operates within, and general debt and equity market trends. The Company also considers the length of time an investment's fair value has been below carrying value and the near term prospects for recovery to carrying value. If the determination is made, based on these factors, that the Company does expect to recover the entire amortized cost of the security, then an other-than-temporary impairment has not occurred. If, however, the determination is made that the Company does not expect to recover the entire amortized cost of the security based on the factors noted above, the Company recognizes a realized loss in earnings for the non-interest related decline. No loss is recognized for the interest impairment.
- (7) Not Applicable.
- (8) Not Applicable.
- (9) Not Applicable.
- (10-11) The estimates of future medical benefit payments are developed using actuarial methods and assumptions based upon claim payment patterns, medical cost inflation, historical development such as claim inventory levels and claim receipt patterns, and other relevant factors. Corresponding administrative costs to process outstanding claims are estimated and accrued. Estimates of future payments relating to services incurred in the current and prior periods are continually reviewed by management and adjusted as necessary.

The Company assesses the profitability of its contracts for providing health insurance coverage to its members when current operating results or forecasts indicate probable future losses. The Company records a premium deficiency liability in current operations to the extent that the sum of expected future medical costs, claim adjustment expenses and maintenance costs exceed related future premiums. Investment income is not contemplated in the calculation of the premium deficiency liability.

Management believes the Company's benefits payable and loss adjustment expense are adequate to cover future claims and loss adjustment expense payments required, however, such estimates are based on knowledge of current events and anticipated future events and, therefore, the actual liability could differ from the amounts provided.

- (12) The Company has not modified its capitalization policy from the prior period.

Equipment is stated at cost less accumulated depreciation. Depreciation expense is computed using the straight-line method over estimated useful lives generally ranging from three to five years. Improvements to leased facilities are depreciated over the shorter of the remaining lease term or the anticipated life of the improvement.

The Company recognizes an asset or liability for the deferred tax consequences of temporary differences between the tax bases of assets or liabilities and their reported amounts in the financial statements. The temporary differences will result in taxable or deductible amounts in future years when the reported amounts of the assets or liabilities are recovered or settled.

- (13) The Company estimates anticipated Pharmacy Rebate Receivables using the analysis of historical recovery patterns.
- (14) Not Applicable.

STATEMENT AS OF March 31, 2017 OF Humana Health Plan of Ohio, Inc.

NOTES TO THE FINANCIAL STATEMENTS

(15) Not Applicable.

D. Going Concern

Effective December 31, 2016, the Company adopted revisions to SSAP No. 1, *Accounting Policies, Risks & Uncertainties, and Other Disclosures* (SSAP No. 1). The revisions require management of the Company to evaluate whether there is substantial doubt about the Company's ability to continue as a going concern and provide certain disclosures if substantial doubt exists. Management of the Company has completed its evaluation of the Company and determined that there is no substantial doubt about its ability to continue as a going concern.

2. Accounting Changes and Corrections of Errors

In April of 2017, the Company determined it was reasonably possible that two ongoing provider dispute related to plan years 2014, 2015, 2016 and 2017 would result in a liability. The estimated disputed claim amount for plan years 2014, 2015 and 2016 is \$11,711, which is offset by a tax effect of \$4,327. Consistent with SSAP No. 3, *Accounting Changes and Corrections of Errors* (SSAP No. 3), the net impact of the claims dispute for years 2014, 2015 and 2016 was recorded as an adjustment to surplus on line 47 of the statement of revenue and expenses capital and surplus account rollforward, with an offsetting adjustment to claims unpaid and current and federal and foreign income tax payable.

3. Business Combinations and Goodwill

A. Statutory Purchase Method

Not Applicable.

B. Statutory Merger

Not Applicable.

C. Assumption Reinsurance

Not Applicable.

D. Impairment Loss

Not Applicable.

4. Discontinued Operations

Not Applicable.

5. Investments

A. Mortgage Loans, Including Mezzanine Real Estate Loans

Not Applicable.

B. Debt Restructuring

Not Applicable.

C. Reverse Mortgages

Not Applicable.

D. Loan-Backed Securities

(1) Prepayment assumptions for mortgage-backed/loan-backed and structured securities were obtained from industry market sources.

(2) Not Applicable.

(3) Not Applicable.

(4) The Company does not have any investments in an other-than-temporary impairment position at March 31, 2017.

Gross unrealized losses and related fair value of temporarily impaired securities that have been in a continuous unrealized loss position were as follows at March 31, 2017:

(a) The aggregate amount of unrealized losses:		
1. Less than Twelve Months	\$	(523,998)
2. Twelve Months or Longer	\$	(1,140)
(b) The aggregate related fair value of securities with unrealized losses:		
1. Less than Twelve Months	\$	30,436,939
2. Twelve Months or Longer	\$	3,055,085

(5) Unrealized losses are primarily due to increases in market interest rates and tighter liquidity conditions in the current markets than when the securities were purchased. All issuers of securities trading at an unrealized loss remain current on all contractual payments and the Company believes it is probable that all amounts due according to the contractual terms of the debt securities are collectible. After taking into account these and other factors, including the severity of the decline and the Company's ability and intent to hold these securities until recovery or

STATEMENT AS OF March 31, 2017 OF Humana Health Plan of Ohio, Inc.

NOTES TO THE FINANCIAL STATEMENTS

maturity, the Company determined the unrealized losses on these investment securities were temporary and, as such, no impairment was required.

E. Repurchase Agreements and/or Securities Lending Transactions

(1) The Company has no repurchase agreements or securities lending transactions.

(2) The Company has not pledged any of its assets as collateral.

(3-7) Not Applicable.

F. Real Estate

Not Applicable.

G. Low-Income Housing Tax Credits (LIHTC)

Not Applicable.

H. Restricted Assets

(1) Restricted Assets (Including Pledged)

Restricted Asset Category	1	2	3	4	5	6	7
	Total Gross (Admitted & Nonadmitted) Restricted from Current Year	Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	Increase/ (Decrease) (1 minus 2)	Total Current Year Nonadmitted Restricted	Total Current Year Admitted Restricted (1 minus 4)	Percentage Gross (Admitted & Nonadmitted) Restricted to Total Assets	Percentage Admitted Restricted to Total Admitted Assets
a. Subject to contractual obligation for which liability is not shown	\$ -	\$ -	\$ -	\$ -	\$ -	-%	-%
b. Collateral held under security lending agreements	-	-	-	-	-	-	-
c. Subject to repurchase agreements	-	-	-	-	-	-	-
d. Subject to reverse repurchase agreements	-	-	-	-	-	-	-
e. Subject to dollar repurchase agreements	-	-	-	-	-	-	-
f. Subject to dollar reverse repurchase agreements	-	-	-	-	-	-	-
g. Placed under option contracts	-	-	-	-	-	-	-
h. Letter stock or securities restricted to sale – excluding FHLB capital stock	-	-	-	-	-	-	-
i. FHLB capital stock	-	-	-	-	-	-	-
j. On deposit with states	762,000	764,543	(2,543)	-	762,000	0.48%	0.49%
k. On deposit with other regulatory bodies	-	-	-	-	-	-	-
l. Pledged collateral to FHLB (including assets backing funding agreements)	-	-	-	-	-	-	-
m. Pledged as collateral not captured in other categories	-	-	-	-	-	-	-
n. Other restricted assets	-	-	-	-	-	-	-
<b>o. Total Restricted Assets</b>	<b>\$ 762,000</b>	<b>\$ 764,543</b>	<b>\$ (2,543)</b>	<b>-</b>	<b>\$ 762,000</b>	<b>0.48%</b>	<b>0.49%</b>

(2) Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Not Applicable.

(3) Detail of Other Restricted Assets Categories (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Not Applicable.

(4) Collateral Received and Reflected as Assets Within the Reporting Entity's Financial Statements

Not Applicable.

I. Working Capital Finance Investments

Not Applicable.

J. Offsetting and Netting of Assets and Liabilities

Not Applicable.

NOTES TO THE FINANCIAL STATEMENTS

- K. Structured Notes  
Not Applicable.
- L. 5\* Securities  
Not Applicable.
- M. Short Sales  
Not Applicable.
- N. Prepayment Penalty and Acceleration Fees  
Not Applicable.
- 6. Joint Ventures, Partnerships and Limited Liability Companies
  - A. The Company has no investments in Joint Ventures, Partnerships or Limited Liability Companies that exceed 10.0 percent of its admitted assets.
  - B. The Company did not recognize any impairment write down for its investments in Joint Ventures, Partnerships and Limited Liability Companies during the statement periods.
- 7. Investment Income
  - A. Due and accrued income was excluded from surplus on the following basis:  
  
All investment income due and accrued with amounts that are over 90 days past due with the exception of mortgage loans in default.
  - B. The total amount excluded was \$0.
- 8. Derivative Instruments  
Not Applicable.
- 9. Income Taxes  
No material change since year-end December 31, 2016.
- 10. Information Concerning Parent, Subsidiaries and Affiliates
  - A.-F. The Company has several management contracts with Humana Inc. and other related parties whereby the Company is provided with medical and executive management, information systems, claims processing, billing and enrollment, and telemarketing and other services as required by the Company. Management fees charged to operations for the years ended December 31, 2016 and 2015 were \$52,741,585 and \$32,962,847, respectively. As a part of this agreement, Humana Inc. makes cash disbursements on behalf of the Company which includes, but is not limited to, medical related items, general and administrative expenses, commissions and payroll. Humana Inc. is reimbursed by the Company weekly, based upon historical pattern of amounts and timing. Each month, these estimates are adjusted to ultimately settle upon actual disbursements made on behalf of the Company. The Company continues to be primarily liable for any outstanding payments made on behalf of the Company, should Humana Inc. not be able to fulfill its obligations.  
  
No dividends were paid by the Company as of March 31, 2017.  
  
At March 31, 2017, the Company reported \$5,546,893 due from Humana Inc. Amounts due to or from parent are generally settled within 30 days.
  - G. All outstanding shares of the Company are owned by the Parent Company.
  - H. Not Applicable.
  - I. Not Applicable.
  - J. Not Applicable.
  - K. Not Applicable.
  - L. Not Applicable.
  - M. Not Applicable.
  - N. Not Applicable.
- 11. Debt
  - A. Debt Including Capital Notes  
  
The Company has no debentures outstanding.

NOTES TO THE FINANCIAL STATEMENTS

The Company has no capital notes outstanding.

The Company does not have any reverse repurchase agreements.

B. Federal Home Loan Bank (FHLB) Agreements

The Company does not have any FHLB agreements.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A.-D. Defined Benefit Plans

Not Applicable.

E. Defined Contribution Plans

Not Applicable.

F. Multiemployer Plans

Not Applicable.

G. Consolidated/Holding Company Plans

No material change since year-end December 31, 2016.

H. Postemployment Benefits and Compensated Absences

Not Applicable.

I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)

Not Applicable.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

(1) The Company has \$0 par value common stock with 1,000 shares authorized and 200 shares issued and 200 outstanding. All shares are common stock shares.

(2) The Company has no preferred stock outstanding.

(3-5) Dividends and returns of capital to shareholders are noncumulative and are paid as determined by the Board of Directors. In accordance with the Ohio Department of Insurance statutes, the maximum amount which can be paid by the Company to shareholders without prior approval by the Ohio Department of Insurance is the greater of 10% of total surplus or net income. Ordinary dividends are limited to available and accumulated surplus funds. Based on these restrictions, no dividend is available without prior approval.

Within the limitations above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to stockholders.

No dividends were paid by the Company as of March 31, 2017.

(6) There were no restrictions placed on the Company's surplus, including for whom the surplus is being held.

(7) Not Applicable.

(8) Not Applicable.

(9) Changes in balances of special surplus funds from the prior year is due to the estimated health insurance industry fee that will be payable on September 30, 2018.

(10) The portion of unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses is \$0.

(11) Not Applicable.

(12) Not Applicable.

(13) Not Applicable.

14. Liabilities, Contingencies and Assessments

A. Contingent Commitments

Not Applicable.

B. Assessments

Not Applicable.

NOTES TO THE FINANCIAL STATEMENTS

C. Gain Contingencies

Not Applicable.

D. Claims related extra contractual obligation and bad faith losses stemming from lawsuits

Not Applicable.

E. Joint and Several Liabilities

Not Applicable.

F. All Other Contingencies

During the ordinary course of business, the Company is subject to pending and threatened legal actions. Management of the Company does not believe that any of these actions will have a material adverse effect on the Company's surplus, results of operations or cash flows. However, the likelihood or outcome of current or future legal proceedings cannot be accurately predicted, and they could adversely affect the Company's surplus, results of operations and cash flows.

The Company has recorded a loss contingency in accordance with SSAP No. 5R, *Liabilities, Contingencies and Impairment of Assets* (No. 5R) that was identified as a Type I subsequent event. See Footnote 22 Events Subsequent for additional details.

The Company is not aware of any other material contingent liabilities as of March 31, 2017.

15. Leases

No material change since year-end December 31, 2016.

16. Information about Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

The Company has no investment in Financial Instruments with Off-Balance Sheet Risk or Concentrations of Credit Risk.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales

Not Applicable.

B. Transfer and Servicing of Financial Assets

Not Applicable.

C. Wash Sales

Not Applicable.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

A. ASO Plans

Not Applicable.

B. ASC Plans

Not Applicable.

C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract

(1) The Company records no revenue explicitly attributable to the cost share and reinsurance components of its Medicare or other similarly structured cost based reimbursement contracts.

(2) As of March 31, 2017, the Company has recorded a receivable from CMS of \$3,657,932 related to the cost share and reinsurance components of administered Medicare products. The Company does not have any additional receivables greater than 10% of the Company's accounts receivable from uninsured accident and health plans or \$10,000.

(3) As no revenue is recorded in connection with the cost share and reinsurance components of the Company's Medicare or other similarly structured cost based reimbursement contracts, the Company has recorded no allowances and reserves for adjustment of recorded revenues and receivables.

(4) The Company has made no adjustment to revenue resulting from audit of receivables related to revenues recorded in the prior period.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not Applicable.

**STATEMENT AS OF March 31, 2017 OF Humana Health Plan of Ohio, Inc.**

**NOTES TO THE FINANCIAL STATEMENTS**

20. Fair Value Measurements

- A. (1) The Company did not have any financial assets carried at fair value at March 31, 2017.

The Company reports transfers between Level 1 and Level 2 of the fair value hierarchy levels at the end of the reporting period. There were no transfers between Level 1 and Level 2 of the fair value hierarchy between December 31, 2016 and March 31, 2017.

- (2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

Not Applicable.

- (3) The Company reports transfers into or out of Level 3 of the fair value hierarchy levels at the end of the reporting period. There were no transfers into or out of Level 3 of the fair value hierarchy levels between December 31, 2016 and March 31, 2017.

- (4) Fair value of actively traded debt securities are based on quoted market prices. Fair value of other debt securities are based on quoted market prices of identical or similar securities or based on observable inputs like interest rates generally using a market valuation approach, or, less frequently, an income valuation approach and are generally classified as Level 2. The Company generally obtains one quoted price for each security from a third party pricing service. These prices are generally derived from recently reported trades for identical or similar securities, including adjustments through the reporting date based upon observable market information. When quoted prices are not available, the third party pricing service may use quoted market prices of comparable securities or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include benchmark yields, reported trades, credit spreads, broker quotes, default rates and prepayment speeds. The Company is responsible for the determination of fair value and as such, the Company performs analysis on the prices received from the third party pricing service to determine whether the prices are reasonable estimates of fair value. The Company's analysis includes a review of monthly price fluctuations as well as a quarterly comparison of the prices received from the pricing service to prices reported by the Company's third party investment advisor. Based on the Company's internal price verification procedures and review of fair value methodology documentation provided by the third party pricing service, there were no material adjustments to the prices obtained from the third party pricing service during the quarter ended March 31, 2017.

- (5) Derivative Fair Values

Not Applicable.

- B. Other Fair Value Disclosures

Not Applicable.

- C. Fair Values for All Financial Instruments by Levels 1, 2 and 3

Type of Financial Instrument	Aggregate Fair Value			Not Practicable (Carrying Value)		
	Admitted Assets	Level 1	Level 2	Level 3		
Bonds and cash equivalents	\$ 120,948,308	\$ 122,876,140	\$ 4,702,293	\$ 116,246,015	\$ -	\$ -

- D. Financial Instruments for which Not Practicable to Estimate Fair Values

Not Applicable.

21. Other Items

- A. Extraordinary Items

Not Applicable.

- B. Troubled Debt Restructuring: Debtors

Not Applicable.

- C. Other Disclosures and Unusual Items

Not Applicable.

- D. Business Interruption Insurance Recoveries

Not Applicable.

- E. State Transferable and Non-transferable Tax Credits

Not Applicable.

- F. Subprime Mortgage Related Risk Exposure

- (1) The Company consults with its external investment managers to assess its subprime mortgage related risk exposure. Certain characteristics are utilized to determine if a mortgage-backed security has subprime exposure. The main characteristics reviewed when determining this are the collateral and structure of the security, the loan purpose, loan documentation, occupancy, geographical location, loan size and type. Subprime mortgage

STATEMENT AS OF March 31, 2017 OF Humana Health Plan of Ohio, Inc.

NOTES TO THE FINANCIAL STATEMENTS

borrowers typically have lower credit scores, lower loan balances and higher loan-to-values than other conforming loans. Management's practices include reviewing quantitative and qualitative credit models that analyze loan-level collateral composition, historical underwriter performance trends, the impact of macroeconomic factors, and issuer risks; as well as reviewing the estimation of security cash flows and monthly model calibrations.

- (2) Direct exposure through investments in sub-prime mortgage loans.

The Company has no direct exposure through investment to sub-prime mortgage loans.

- (3) Direct exposure through other investments:

- a. Residential mortgage backed securities – No substantial exposure noted.
- b. Commercial mortgage backed securities – No substantial exposure noted.
- c. Collateralized debt obligations – No substantial exposure noted.
- d. Structured securities – No substantial exposure noted.
- e. Equity investment in SCAs – No substantial exposure noted.
- f. Other assets – No substantial exposure noted.
- g. Total – No substantial exposure noted.

- (4) Underwriting exposure to sub-prime mortgage risk through Mortgage Guaranty coverage, Financial Guaranty coverage, Directors and Officers liability coverage, or Errors and Omissions liability coverage.

Not Applicable.

Classification of mortgage related securities is primarily based on information from outside data services, including rating agency actions. When considering our exposure, the Company evaluated the percentage of full documentation loans, percent of owner occupied properties, FICO scores, average margin for ARM loans, percent of loans with prepayment penalties, the existence of non-traditional underwriting standards, among other factors.

G. Retained Assets

Not Applicable.

H. Insurance Linked Securities

Not Applicable.

22. Events Subsequent

Prior to the filing of the Statutory Statement, the Company deemed it was reasonably possible that two ongoing provider disputes related to the payment of non-participating claims would result in a liability for the Company. Prior to April 2017, the Company believed the possibility of loss related to these provider disputes was remote. In accordance with SSAP No. 9, *Subsequent Events*, this transaction has been treated as a Type I subsequent event and has been recorded as a liability within claims unpaid as of March 31, 2017. As noted in Footnote 2 Accounting Changes and Corrections of Errors, the disputed claims related to years 2014, 2015, and 2016 have been reported as an adjustment to surplus within line 47 of the statement of revenue and expenses capital and surplus account rollforward. As of March 31, 2017 the estimated disputed claim amount for the years 2014, 2015, 2016 and 2017 was \$28,022.

The Company is not aware of any other events or transactions occurring subsequent to the close of the books for this statement which may have a material effect on its financial condition. Subsequent events have been considered through May 10, 2017 for the Statutory Statement issued on May 10, 2017.

23. Reinsurance

A. Ceded Reinsurance Report

Section 1 – General Interrogatories

- (1) Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10.0 percent or controlled, either directly or indirectly, by the company or by any representative, officer, trustee, or director of the company?

Yes ( ) No (X)

- (2) Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) that is owned in excess of 10.0 percent or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business?

Yes ( ) No (X)

Section 2 – Ceded Reinsurance Report – Part A

- (1) Does the company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits?

Yes ( ) No (X)

- (2) Does the reporting entity have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts that, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies?

Yes ( ) No (X)

STATEMENT AS OF March 31, 2017 OF Humana Health Plan of Ohio, Inc.

NOTES TO THE FINANCIAL STATEMENTS

Section 3 – Ceded Reinsurance Report – Part B

- (1) What is the estimated amount of the aggregate reduction in surplus, (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of ALL reinsurance agreements, by either party, as of the date of this statement? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate. \$0
- (2) Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts that were in force or which had existing reserves established by the company as of the effective date of the agreement?

Yes ( ) No (X)

B. Uncollectible Reinsurance

Not Applicable.

C. Commutation of Ceded Reinsurance

Not Applicable.

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

Not Applicable.

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

- A. The Company estimates accrued retrospective premium adjustments for its Medicare business through a mathematical approach using an algorithm based upon settlement procedures defined by contracts with CMS.
- B. The Company records accrued retrospective premium as an adjustment to earned premiums.
- C. The amount of net premiums written by the Company at March 31, 2017 that are subject to retrospective rating features was \$59,105,893, or 100.00% of the total net premiums written. No other net premiums written by the Company are subject to retrospective rating features.
- D. Medical loss ratio rebates required pursuant to the Public Health Service Act

	Individual	Small Group Employer	Large Group Employer	Other Categories with rebates	Total
Prior Reporting Year:					
Medical loss ratio rebates incurred	\$ -	\$ 205,498	\$ -	\$ -	\$ 205,498
Medical loss ratio rebates paid	-	239,056	-	-	239,056
Medical loss rebates unpaid	-	-	-	-	-
Plus reinsurance assumed amounts	XXX	XXX	XXX	XXX	-
Less reinsurance ceded amounts	XXX	XXX	XXX	XXX	-
Rebates unpaid net of reinsurance	XXX	XXX	XXX	XXX	\$ -
Current Reporting Year-to-date:					
Medical loss ratio rebates incurred	\$ -	\$ 5,821	\$ -	\$ -	\$ 5,821
Medical loss ratio rebates paid	-	-	-	-	-
Medical loss rebates unpaid	-	5,821	-	-	5,821
Plus reinsurance assumed amounts	XXX	XXX	XXX	XXX	-
Less reinsurance ceded amounts	XXX	XXX	XXX	XXX	-
Rebates unpaid net of reinsurance	XXX	XXX	XXX	XXX	\$ 5,821

E. Risk Sharing Provisions of the Affordable Care Act

- (1) Did the reporting entity write accident and health insurance premium that is subject to the Affordable Care Act risk-sharing provisions (YES/NO) Yes (X) No ( )

- (2) Impact of Risk Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities, and Revenue for the Current Year

a. Permanent ACA Risk Adjustment Program

Assets	
1. Premium adjustments receivable due to ACA Risk Adjustment	\$ 806,360
Liabilities	
2. Risk adjustment user fees payable for ACA Risk Adjustment	\$ 36,259
3. Premium adjustments payable due to ACA Risk Adjustment	\$ 5,145,865
Operations (Revenue & Expenses)	
4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment	\$ (1,182,602)
5. Reported in expenses as ACA risk adjustment user fees (incurred/paid)	\$ 6,038

STATEMENT AS OF March 31, 2017 OF Humana Health Plan of Ohio, Inc.

NOTES TO THE FINANCIAL STATEMENTS

b. Transitional ACA Reinsurance Program	
Assets	
1. Amounts recoverable for claims paid due to ACA Reinsurance	\$ 1,261,788
2. Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability)	\$ -
3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	\$ -
Liabilities	
4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium	\$ 294,710
5. Ceded reinsurance premiums payable due to ACA Reinsurance	\$ -
6. Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance	\$ -
Operations (Revenues & Expenses)	
7. Ceded reinsurance premiums due to ACA Reinsurance	\$ -
8. Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments	\$ 53,669
9. ACA Reinsurance contributions – not reported as ceded premiums	\$ -
c. Temporary ACA Risk Corridors Program	
Assets	
1. Accrued retrospective premium due to ACA Risk Corridors	\$ -
Liabilities	
2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	\$ -
Operations (Revenues & Expenses)	
3. Effect of ACA Risk Corridors on net premium income	\$ 25,127
4. Effect of ACA Risk Corridors on change in reserves for rate credits	\$ -

(3) Roll-forward of Prior Year ACA Risk-sharing Provisions for the Following Asset (Gross of Any Nonadmission) and Liability Balances, Along with the Reasons for Adjustments to Prior Year Balance.

	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments		Unsettled Balances as of the Reporting Date		
					Prior Year Accrued Less Payments (Col 1-3)	Prior Year Accrued Less Payments (Col 2-4)	To Prior Year Balances	To Prior Year Balances	Cumulative Balance from Prior Years (Col 1-3+7)	Cumulative Balance from Prior Years (Col 2-4+8)	
					5	6	7	8	9	10	
	1	2	3	4	5	6	7	8	Ref	9	10
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)		Receivable	(Payable)
a. Permanent ACA Risk Adjustment Program											
1. Premium adjustments receivable	1,067,688	-	-	-	1,067,688	-	(665,040)	-	A.	402,648	-
2. Premium adjustments (payables)	-	(4,224,591)	-	-	-	(4,224,591)	-	766,743	B.	-	(3,457,848)
3. Subtotal ACA Permanent Risk Adjustment Program	1,067,688	(4,224,591)	-	-	1,067,688	(4,224,591)	(665,040)	766,743		402,648	(3,457,848)
b. Transitional ACA Reinsurance Program											
1. Amounts recoverable for claims paid	1,683,489	-	587,821	-	1,095,668	-	166,120	-	C.	1,261,788	-
2. Amounts recoverable for claims unpaid (contra liability)	112,451	-	-	-	112,451	-	(112,451)	-	D.	-	-
3. Amounts receivable relating to uninsured plans	-	-	-	-	-	-	-	-		-	-
4. Liabilities for contributions payable due to ACA Reinsurance- not reported as ceded premium	-	(1,328,835)	-	(1,034,125)	-	(294,710)	-	-		-	(294,710)
5. Ceded reinsurance premiums payable	-	(144,714)	-	(144,714)	-	-	-	-		-	-
6. Liability for amounts held under uninsured plans	-	-	-	-	-	-	-	-		-	-
7. Subtotal ACA Transitional Reinsurance Program	1,795,940	(1,473,549)	587,821	(1,178,839)	1,208,119	(294,710)	53,669	-		1,261,788	(294,710)
c. Temporary ACA Risk Corridors Program											
1. Accrued retrospective premium	-	-	25,127	-	(25,127)	-	25,127	-	E.	-	-
2. Reserve for rate credits or policy experience rating refunds	-	-	-	-	-	-	-	-		-	-
3. Subtotal ACA Risk Corridors Program	-	-	25,127	-	(25,127)	-	25,127	-		-	-
d. Total for ACA Risk Sharing Provisions	2,863,628	(5,698,140)	612,948	(1,178,839)	2,250,680	(4,519,301)	(586,244)	766,743		1,664,436	(3,752,558)

STATEMENT AS OF March 31, 2017 OF Humana Health Plan of Ohio, Inc.

NOTES TO THE FINANCIAL STATEMENTS

Explanations of adjustments

- A. Adjustments recorded to the 2016 accrual estimates for new market data that was received in 2017.
- B. Adjustments recorded to the 2016 accrual estimates for new market data that was received in 2017.
- C. Adjustments recorded to update the 2016 accrual for IBNR after December 31, 2016.
- D. Adjustment recorded as the IBNR for 2016 ran out through March 31, 2017 as the end of the reinsurance submission was occurring.
- E. Adjustment recorded for payments received in Q1 2017.

(4) Roll-Forward of Risk Corridors Asset and Liability Balances by Program Benefit Year

Risk Corridors Program Year	Accrued During the Prior Year on Business Written Before Dec 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before Dec 31 of the Prior Year		Differences		Adjustments		Unsettled Balances as of the Reporting Date		
	1	2	3	4	Prior Year Accrued Less Payments (Col 1 -3)	Prior Year Accrued Less Payments (Col 2 -4)	To Prior Year Balances	To Prior Year Balances	Cumulative Balance from Prior Years (Col 1-3+7)	Cumulative Balance from Prior Years (Col 2-4+8)	
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Ref	Receivable	(Payable)
a. 2014											
1. Accrued retrospective premium	-		25,127		(25,127)		25,127		A.	-	
2. Reserve for rate credits or policy experience rating refunds		-		-				-			-
b. 2015											
1. Accrued retrospective premium	-		-		-		-			-	
2. Reserve for rate credits or policy experience rating refunds		-		-				-			-
c. 2016											
1. Accrued retrospective premium	-		-		-		-			-	
2. Reserve for rate credits or policy experience rating refunds		-		-				-			-
d. Total for Risk Corridors	-	-	25,127	-	(25,127)	-	25,127	-		-	-

Explanations of adjustments

- A. Adjustment recorded for payments received in Q1 2017.

(5) ACA Risk Corridors Receivable as of Reporting Date

Risk Corridors Program Year	1	2	3	4	5	6
	Estimated Amount to be Filed or Final Amount Filed with CMS	Non-Accrued Amounts for Impairment or Other Reasons	Amounts received from CMS	Assets Balance (Gross of Non-admissions) (1-2-3)	Non-admitted Amount	Net Admitted Asset (4-5)
a. 2014	\$ 9,268,399	\$ 7,864,335	\$ 1,404,064	\$ -	\$ -	\$ -
b. 2015	959,816	959,816	-	-	-	-
c. 2016	724,522	724,522	-	-	-	-
d. Total (a+b+c)	\$ 10,952,737	\$ 9,548,673	\$ 1,404,064	\$ -	\$ -	\$ -

25. Change in Incurred Claims and Claim Adjustment Expenses

Reserves as of December 31, 2016 were \$60,341,132. As of March 31, 2017, \$49,322,518 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$7,669,066 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a \$3,349,548 favorable prior-year development since December 31, 2016. The decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased as additional information becomes known regarding individual claims. The amounts presented in this footnote exclude any impact related to Pharmacy Rebates and other Healthcare Receivable activity. Impact of such receivables can be seen in Footnote 28. The Company did not experience any material prior year claim development on retrospectively rated policies.

26. Intercompany Pooling Arrangements

Not Applicable.

27. Structured Settlements

The Company has no structured settlements.

STATEMENT AS OF March 31, 2017 OF Humana Health Plan of Ohio, Inc.

NOTES TO THE FINANCIAL STATEMENTS

28. Health Care Receivables

A. Pharmaceutical Rebate Receivables

Quarter	Estimate Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Billed or Otherwise Confirmed	Actual Rebates Received Within 90 Days of Billing	Actual Rebates Received Within 91 to 180 Days of Billing	Actual Rebates Received More than 181 Days after Billing
12/31/2017	\$ -	\$ -	\$ -	\$ -	\$ -
9/30/2017	-	-	-	-	-
6/30/2017	-	-	-	-	-
3/31/2017	3,828,727	3,828,727	-	-	-
12/31/2016	7,469,825	7,469,825	7,459,293	-	-
9/30/2016	6,814,330	6,814,330	6,813,091	-	-
6/30/2016	6,456,006	6,456,006	6,450,215	-	-
3/31/2016	6,129,260	6,129,260	6,125,030	-	4,230
12/31/2015	5,279,891	5,279,891	5,279,891	59,846	10,544
9/30/2015	5,980,791	5,980,791	5,885,302	60,602	34,887
6/30/2015	5,347,204	5,347,204	5,337,539	-	9,664
3/31/2015	4,549,880	4,549,880	4,548,438	-	1,442

B. Risk Sharing Receivables

No material change since year-end December 31, 2016.

29. Participating Policies

The Company has no participating policies.

30. Premium Deficiency Reserves

Not Applicable.

31. Anticipated Salvage and Subrogation

Not Applicable.

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]
- 1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]
- 2.2 If yes, date of change: .....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [ X ] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ X ] N/A [ ]  
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. .... 12/31/2015
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .... 12/31/2010
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .... 04/16/2012
- 6.4 By what department or departments?  
Ohio Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ X ] No [ ] N/A [ ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ X ] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [ X ]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

## GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes  No   
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? ..... Yes  No
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes  No
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

### FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes  No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ 5,546,893

### INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes  No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ 0
13. Amount of real estate and mortgages held in short-term investments: ..... \$ 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes  No
- 14.2 If yes, please complete the following:
- |   | 1<br>Prior Year-End<br>Book/Adjusted<br>Carrying Value | 2<br>Current Quarter<br>Book/Adjusted<br>Carrying Value |
|---|--|---|
| 14.21 Bonds .....   | \$ 0   | \$ 0  |
| 14.22 Preferred Stock .....   | \$ 0   | \$ 0  |
| 14.23 Common Stock .....  | \$ 0   | \$ 0  |
| 14.24 Short-Term Investments .....  | \$ 0   | \$ 0  |
| 14.25 Mortgage Loans on Real Estate .....   | \$ 0   | \$ 0  |
| 14.26 All Other .....   | \$ 0   | \$ 0  |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | \$ 0   | \$ 0  |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       | \$ 0   | \$ 0  |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes  No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes  No   
 If no, attach a description with this statement.

## GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 .....\$ .....0
  - 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 .....\$ .....0
  - 16.3 Total payable for securities lending reported on the liability page .....\$ .....0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [  ] No [  ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan Chase .....	4 Metro Tech Center, 16th Floor Mail Code: NY1-C512, Brooklyn, NY 11245, Attn: Barbara J. Walsh .....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [  ] No [  ]

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
BLACKROCK FINANCIAL MANAGEMENT, INC .....	U.....
W. Mark Preston .....	I.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets?..... Yes [  ] No [  ]

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets?..... Yes [  ] No [  ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
107105 .....	BLACKROCK FINANCIAL MANAGEMENT, INC .....	549300LVXY1VJKE13M84 .....	the SEC .....	DS.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [  ] No [  ]

- 18.2 If no, list exceptions:

# GENERAL INTERROGATORIES

## PART 2 - HEALTH

1. Operating Percentages:
- 1.1 A&H loss percent .....74.1 %
  - 1.2 A&H cost containment percent .....3.5 %
  - 1.3 A&H expense percent excluding cost containment expenses .....14.0 %
- 2.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]
- 2.2 If yes, please provide the amount of custodial funds held as of the reporting date .....\$.....0
- 2.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]
- 2.4 If yes, please provide the balance of the funds administered as of the reporting date .....\$.....0

**SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
<b>NONE</b>								

**SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS**

Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status	Direct Business Only							9 Deposit-Type Contracts
		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums & Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 Through 7	
1. Alabama AL	N	0	0	0	0	0	0	0	0
2. Alaska AK	N	0	0	0	0	0	0	0	0
3. Arizona AZ	N	0	0	0	0	0	0	0	0
4. Arkansas AR	N	0	0	0	0	0	0	0	0
5. California CA	N	0	0	0	0	0	0	0	0
6. Colorado CO	N	0	0	0	0	0	0	0	0
7. Connecticut CT	N	0	0	0	0	0	0	0	0
8. Delaware DE	N	0	0	0	0	0	0	0	0
9. District of Columbia DC	N	0	0	0	0	0	0	0	0
10. Florida FL	N	0	0	0	0	0	0	0	0
11. Georgia GA	N	0	0	0	0	0	0	0	0
12. Hawaii HI	N	0	0	0	0	0	0	0	0
13. Idaho ID	N	0	0	0	0	0	0	0	0
14. Illinois IL	N	0	0	0	0	0	0	0	0
15. Indiana IN	L	0	0	0	0	0	0	0	0
16. Iowa IA	N	0	0	0	0	0	0	0	0
17. Kansas KS	N	0	0	0	0	0	0	0	0
18. Kentucky KY	L	0	66,761	0	0	0	66,761	0	0
19. Louisiana LA	N	0	0	0	0	0	0	0	0
20. Maine ME	N	0	0	0	0	0	0	0	0
21. Maryland MD	N	0	0	0	0	0	0	0	0
22. Massachusetts MA	N	0	0	0	0	0	0	0	0
23. Michigan MI	N	0	0	0	0	0	0	0	0
24. Minnesota MN	N	0	0	0	0	0	0	0	0
25. Mississippi MS	N	0	0	0	0	0	0	0	0
26. Missouri MO	N	0	0	0	0	0	0	0	0
27. Montana MT	N	0	0	0	0	0	0	0	0
28. Nebraska NE	N	0	0	0	0	0	0	0	0
29. Nevada NV	N	0	0	0	0	0	0	0	0
30. New Hampshire NH	N	0	0	0	0	0	0	0	0
31. New Jersey NJ	N	0	0	0	0	0	0	0	0
32. New Mexico NM	N	0	0	0	0	0	0	0	0
33. New York NY	N	0	0	0	0	0	0	0	0
34. North Carolina NC	N	0	0	0	0	0	0	0	0
35. North Dakota ND	N	0	0	0	0	0	0	0	0
36. Ohio OH	L	56,641,767	1,428,206	0	975,035	0	59,045,008	0	0
37. Oklahoma OK	N	0	0	0	0	0	0	0	0
38. Oregon OR	N	0	0	0	0	0	0	0	0
39. Pennsylvania PA	N	0	0	0	0	0	0	0	0
40. Rhode Island RI	N	0	0	0	0	0	0	0	0
41. South Carolina SC	N	0	0	0	0	0	0	0	0
42. South Dakota SD	N	0	0	0	0	0	0	0	0
43. Tennessee TN	N	0	0	0	0	0	0	0	0
44. Texas TX	N	0	0	0	0	0	0	0	0
45. Utah UT	N	0	0	0	0	0	0	0	0
46. Vermont VT	N	0	0	0	0	0	0	0	0
47. Virginia VA	N	0	0	0	0	0	0	0	0
48. Washington WA	N	0	0	0	0	0	0	0	0
49. West Virginia WV	N	0	0	0	0	0	0	0	0
50. Wisconsin WI	N	0	0	0	0	0	0	0	0
51. Wyoming WY	N	0	0	0	0	0	0	0	0
52. American Samoa AS	N	0	0	0	0	0	0	0	0
53. Guam GU	N	0	0	0	0	0	0	0	0
54. Puerto Rico PR	N	0	0	0	0	0	0	0	0
55. U.S. Virgin Islands VI	N	0	0	0	0	0	0	0	0
56. Northern Mariana Islands MP	N	0	0	0	0	0	0	0	0
57. Canada CAN	N	0	0	0	0	0	0	0	0
58. Aggregate Other Aliens OT	XXX	0	0	0	0	0	0	0	0
59. Subtotal	XXX	56,641,767	1,494,967	0	975,035	0	59,111,769	0	0
60. Reporting Entity Contributions for Employee Benefit Plans	XXX	0	0	0	0	0	0	0	0
61. Totals (Direct Business)	(a) 3	56,641,767	1,494,967	0	975,035	0	59,111,769	0	0
DETAILS OF WRITE-INS									
58001.	XXX								
58002.	XXX								
58003.	XXX								
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	0	0

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

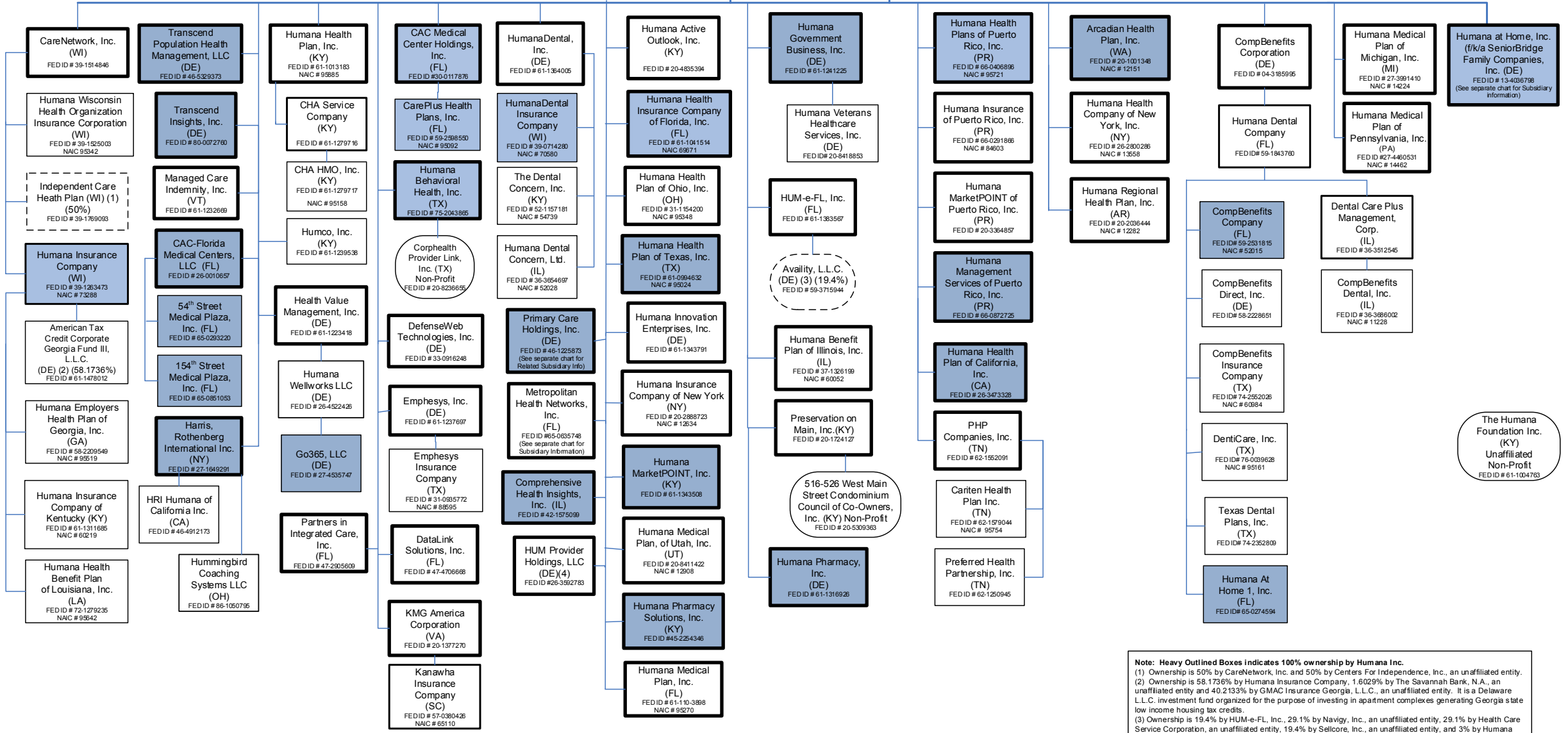
(a) Insert the number of L responses except for Canada and Other Alien.

STATEMENT AS OF MARCH 31, 2017 OF THE Humana Health Plan of Ohio Inc.

Humana Inc.  
(DE)  
FED ID # 61-0647538

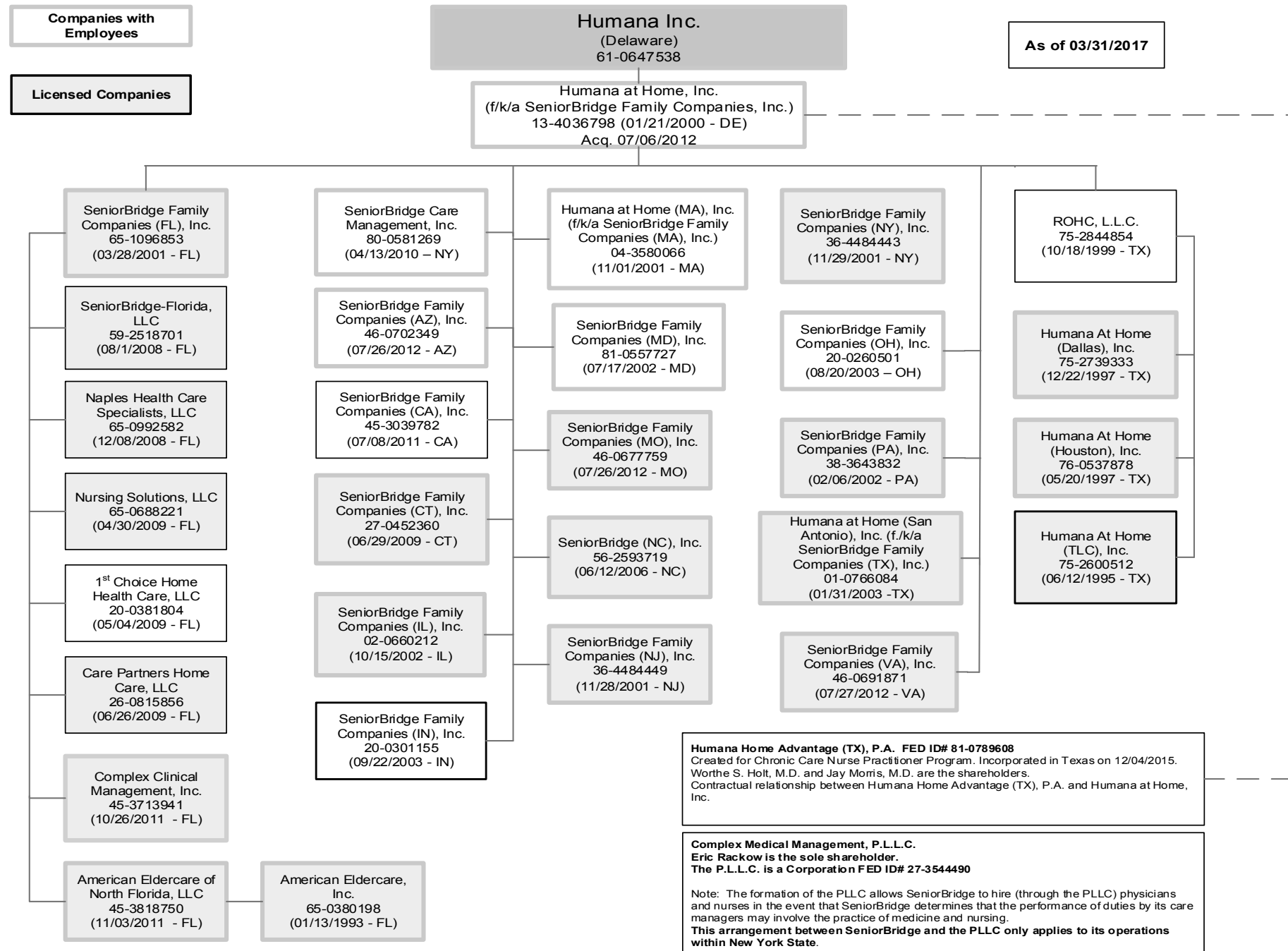
As of 3/31/2017

Blue boxes indicate companies with employees



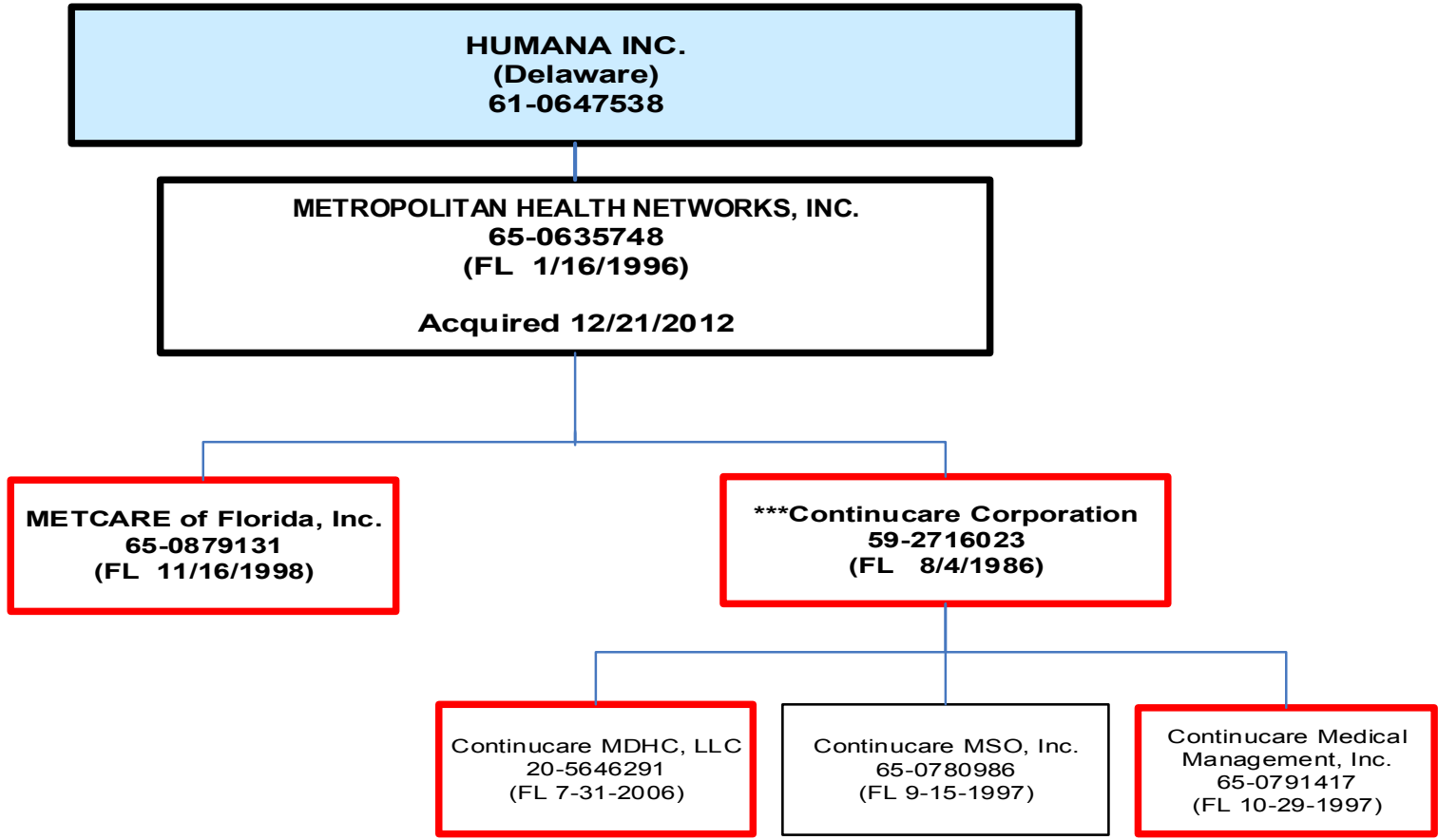
**Note: Heavy Outlined Boxes indicates 100% ownership by Humana Inc.**  
 (1) Ownership is 50% by CareNetwork, Inc. and 50% by Centers For Independence, Inc., an unaffiliated entity.  
 (2) Ownership is 58.1736% by Humana Insurance Company, 1.6029% by The Savannah Bank, N.A., an unaffiliated entity and 40.2133% by GMAC Insurance Georgia, L.L.C., an unaffiliated entity. It is a Delaware L.L.C. investment fund organized for the purpose of investing in apartment complexes generating Georgia state low income housing tax credits.  
 (3) Ownership is 19.4% by HUM-e-FL, Inc., 29.1% by Navigy, Inc., an unaffiliated entity, 29.1% by Health Care Service Corporation, an unaffiliated entity, 19.4% by Sellcore, Inc., an unaffiliated entity, and 3% by Humana Inc.'s Management Team.  
 (4) See Joint Venture organizational chart for information on the company's ownership in several joint ventures.

STATEMENT AS OF MARCH 31, 2017 OF THE Humana Health Plan of Ohio Inc.



STATEMENT AS OF MARCH 31, 2017 OF THE Humana Health Plan of Ohio Inc.

As of 03-31-2017



15.2

Employees

STATEMENT AS OF MARCH 31, 2017 OF THE Humana Health Plan of Ohio Inc.

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
.0119	Humana Inc.	.00000	65-0851053				154th Street Medical Plaza, Inc.	FL	NIA	CAC-Florida Medical Centers, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-0381804				1st Choice Home Health Care, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-5309363				515-526 W MainSt Condo Council of Co-Owners	KY	NIA	Preservation on Main, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0293220				54th Street Medical Plaza, Inc.	FL	NIA	CAC-Florida Medical Centers, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	45-3818750				American Eldercare of North Florida, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0380198				American Eldercare, Inc.	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.12151	20-1001348				Arcadian Health Plan, Inc.	WA	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	59-3715944				Availity, L.L.C.	DE	OTH	See Footnote 1	Board of Directors	.000	Humana Inc.		.1
.0119	Humana Inc.	.00000	30-0117876				CAC Medical Center Holdings, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	26-0010657				CAC-Florida Medical Centers, LLC	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	26-0815856				Care Partners Home Care, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	39-1514846				CareNetwork, Inc.	WI	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95092	59-2598550				CarePlus Health Plans, Inc.	FL	IA	CAC Medical Center Holdings, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95754	62-1579044				Cariten Health Plan Inc.	TN	IA	PHP Companies, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95158	61-1279717				CHA HMO, Inc.	KY	IA	CHA Service Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1279716				CHA Service Company	KY	NIA	Humana Health Plan, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.52015	59-2531815				CompBenefits Company	FL	IA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	04-3185995				CompBenefits Corporation	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.11228	36-3886002				CompBenefits Dental, Inc.	IL	IA	Dental Care Plus Management Corporation	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	58-2228851				CompBenefits Direct, Inc.	DE	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.60984	74-2552026				CompBenefits Insurance Company	TX	IA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	45-3713941				Complex Clinical Management, Inc.	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	42-1575099				Comprehensive Health Insights, Inc.	IL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	59-2716023				Continucare Corporation	FL	NIA	Metropolitan Health Networks, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-5646291				Continucare MDHC, LLC	FL	NIA	Continucare Corporation	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0791417				Continucare Medical Management, Inc.	FL	NIA	Continucare Corporation	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0780986				Continucare MSO, Inc.	FL	NIA	Continucare Corporation	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-8236655				Corphealth Provider Link, Inc.	TX	NIA	Corphealth, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	75-2043965				Humana Behavioral Health, Inc.	TX	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	33-0916248				DefenseWeb Technologies, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	36-3512545				Dental Care Plus Management Corp.	IL	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95161	76-0039628				DentiCare, Inc.	TX	IA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.88595	31-0935772				Empheys Insurance Company	TX	IA	Empheys, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1237697				Empheys, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	27-1649291				Harris, Rothenberg International Inc.	NY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1223418				Health Value Management, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	46-4912173				HRI Humana of California Inc.	CA	NIA	Harris, Rothenberg International Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	26-3592783				HUM Provider Holdings, LLC	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-4835394				Humana Active Outlook, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	75-2739333				Humana At Home (Dallas), Inc.	TX	NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	76-0537878				Humana At Home (Houston), Inc.	TX	NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	04-3580066				Humana at Home (MA), Inc.	MA	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0274594				Humana At Home 1, Inc.	FL	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	13-4036798				Humana at Home, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.60052	37-1326199				Humana Benefit Plan of Illinois, Inc.	IL	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	59-1843760				Humana Dental Company	FL	NIA	CompBenefits Corporation	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.52028	36-3654697				Humana Dental Concern, Ltd.	IL	IA	HumanaDental, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95519	58-2209549				Humana Employers Health Plan of GA, Inc.	GA	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1241225				Humana Government Business, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95642	72-1279235				Humana Health Benefit Plan of LA, Inc.	LA	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.13558	26-2800286				Humana Health Company of New York, Inc.	NY	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.69671	61-1041514				Humana Health Ins. Co. of Florida, Inc.	FL	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	26-3473328				Humana Health Plan of California, Inc.	CA	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95348	31-1154200				Humana Health Plan of Ohio, Inc.	OH	RE	Humana Inc.	Ownership	100.000	Humana Inc.		.0

STATEMENT AS OF MARCH 31, 2017 OF THE Humana Health Plan of Ohio Inc.

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
.0119	Humana Inc.	95024	61-0994632				Humana Health Plan of Texas, Inc.	TX	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	95885	61-1013183				Humana Health Plan, Inc.	KY	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	95721	66-0406896				Humana Health Plans of Puerto Rico, Inc.	PR	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	61-0647538			NYSE	Humana Inc.	DE	LOP	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	61-1343791				Humana Innovation Enterprises, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	73288	39-1263473				Humana Insurance Company	WI	IA	CareNetwork, Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	60219	61-1311685				Humana Insurance Company of Kentucky	KY	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.12634	20-2888723				Humana Insurance Company of New York	NY	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	84603	66-0291866				Humana Insurance of Puerto Rico, Inc.	PR	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	20-3364857				Humana MarketPOINT of Puerto Rico, Inc.	PR	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	61-1343508				Humana MarketPOINT, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.14224	27-3991410				Humana Medical Plan of Michigan, Inc.	MI	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.14462	27-4660531				Humana Medical Plan of Pennsylvania, Inc.	PA	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.12908	20-8411422				Humana Medical Plan of Utah, Inc.	UT	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	95270	61-1103898				Humana Medical Plan, Inc.	FL	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	45-2254346				Humana Pharmacy Solutions, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	61-1316926				Humana Pharmacy, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.12282	20-2036444				Humana Regional Health Plan, Inc.	AR	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	20-8418853				Humana Veterans Healthcare Services, Inc.	DE	NIA	Humana Government Business, Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	26-4522426				Humana WellWorks LLC	DE	NIA	Health Value Management, Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	95342	39-1525003				Humana Wisc. Health Org. Ins. Corp.	WI	IA	CareNetwork, Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.70580	39-0714280				HumanaDental Insurance Company	WI	IA	HumanaDental, Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	61-1364005				HumanaDental, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	27-4535747				Go365, LLC	DE	NIA	HumanaWellworks LLC	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	61-1238538				Humco, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	61-1383567				HUM-e-FL, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	86-1050795				Hummingbird Coaching Systems LLC	OH	NIA	Humana Behavioral Health, Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	39-1769093				Independent Care Health Plan	WI	OTH	See Footnote 2	Other	100.000	Humana Inc.		2
.0119	Humana Inc.	65110	57-0380426				Kanawha Insurance Company	SC	IA	KMG America Corporation	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	20-1377270				KMG America Corporation	VA	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	61-1232669				Managed Care Indemnity, Inc.	VT	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	65-0879131				METCARE of Florida, Inc.	FL	NIA	Metropolitan Health Networks, Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	65-0635728				Metropolitan Health Networks, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	65-0992582				Naples Health Care Specialists, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	65-0688221				Nursing Solutions, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	62-1552091				PHP Companies, Inc.	TN	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	62-1250945				Preferred Health Partnership, Inc.	TN	NIA	PHP Companies, Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	20-1724127				Preservation on Main, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	46-1225873				Primary Care Holdings, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	75-2844854				ROHC, L.L.C.	TX	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	56-2593719				SeniorBridge (NC), Inc.	NC	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	80-0581269				SeniorBridge Care Management, Inc.	NY	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	46-0702349				SeniorBridge Family Companies (AZ), Inc.	AZ	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	45-3039782				SeniorBridge Family Companies (CA), Inc.	CA	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	27-0452360				SeniorBridge Family Companies (CT), Inc.	CT	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	65-1096853				SeniorBridge Family Companies (FL), Inc.	FL	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	02-0660212				SeniorBridge Family Companies (IL), Inc.	IL	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	20-0301155				SeniorBridge Family Companies (IN), Inc.	IN	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	81-0557727				SeniorBridge Family Companies (MD), Inc.	MD	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	46-0677759				SeniorBridge Family Companies (MO), Inc.	MO	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	36-4484449				SeniorBridge Family Companies (NJ), Inc.	NJ	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	36-4484443				SeniorBridge Family Companies (NY), Inc.	NY	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	20-0260501				SeniorBridge Family Companies (OH), Inc.	OH	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		0
.0119	Humana Inc.	.00000	38-3643832				SeniorBridge Family Companies (PA), Inc.	PA	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		0

STATEMENT AS OF MARCH 31, 2017 OF THE Humana Health Plan of Ohio Inc.

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
.0119	Humana Inc.	.00000	01-0766084				Humana At Home (San Antonio), Inc.	TX	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	46-0691871				SeniorBridge Family Companies (VA), Inc.	VA	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	59-2518701				SeniorBridge-Florida, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	74-2352809				Texas Dental Plans, Inc.	TX	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.54739	52-1157181				The Dental Concern, Inc.	KY	IA	HumanaDental, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	75-2600512				Humana at Home (TLC), Inc.	TX	NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	80-0072760				Transcend Insights, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	46-5329373				Transcend Population Health Management, LLC	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0

Asterisk	Explanation
1	Availity, L.L.C., a Delaware limited liability company, was formed by affiliates of Humana Inc. and Blue Cross and Blue Shield of Florida, Inc. to develop and operate an Internet site on the World Wide Web to permit health plans to communicate and engage in electronic transactions with health care service providers initially in the State of Florida. HUM-e-FL, Inc., a subsidiary of Humana Inc., is a Member with a 22.5% ownership interest. Navigy, Inc., a subsidiary of Blue Cross and Blue Shield of Florida, Inc., is a Member with a 33.75% ownership interest, Health Care Service Corporation, a Member, has a 33.75% ownership interest, and Sellcore, Inc., a subsidiary of WellPoint and a Member, has a 10% ownership interest.
2	Independent Care Health Plan, a Wisconsin corporation licensed as an HMO, operates an integrated, coordinated medical and social service managed care program for chronically disabled Medicaid recipients in Milwaukee, Wisconsin. CareNetwork, Inc. owns 50% of the company's stock. Centers For Independence, Inc. owns the other 50%.

# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO

Explanation:

1. This type of business is not written.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



**OVERFLOW PAGE FOR WRITE-INS**

Additional Write-ins for Assets Line 25

	Current Statement Date			4 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504. Federal Contingency Reserves .....	115,045	0	115,045	111,370
2597. Summary of remaining write-ins for Line 25 from overflow page	115,045	0	115,045	111,370

STATEMENT AS OF MARCH 31, 2017 OF THE Humana Health Plan of Ohio Inc.

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

**NONE**

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage investment and commitment fees		
9. Total foreign exchange change in book value/recorded investment including accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

**NONE**

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	304,479	304,479
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after acquisition	0	0
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	0	0
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	0	0
8. Deduct amortization of premium and depreciation	0	0
9. Total foreign exchange change in book/adjusted carrying value	0	0
10. Deduct current year's other than temporary impairment recognized	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	304,479	304,479
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	304,479	304,479

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	127,548,941	95,835,117
2. Cost of bonds and stocks acquired	336,210	98,291,180
3. Accrual of discount	2,798	8,718
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	87,857	944,277
6. Deduct consideration for bonds and stocks disposed of	11,567,614	65,788,678
7. Deduct amortization of premium	449,582	1,741,673
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	115,958,610	127,548,941
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	115,958,610	127,548,941

STATEMENT AS OF MARCH 31, 2017 OF THE Humana Health Plan of Ohio Inc.

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	139,950,761	59,909,633	81,463,067	(448,994)	117,948,333	0	0	139,950,761
2. NAIC 2 (a) .....	7,323,452	336,210	2,720,961	(10,894)	4,927,807	0	0	7,323,452
3. NAIC 3 (a) .....	0	0	0	0	0	0	0	0
4. NAIC 4 (a) .....	0	0	0	0	0	0	0	0
5. NAIC 5 (a) .....	0	0	0	0	0	0	0	0
6. NAIC 6 (a) .....	0	0	0	0	0	0	0	0
7. Total Bonds	147,274,213	60,245,843	84,184,028	(459,888)	122,876,140	0	0	147,274,213
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	0	0	0	0	0	0	0	0
9. NAIC 2 .....	0	0	0	0	0	0	0	0
10. NAIC 3 .....	0	0	0	0	0	0	0	0
11. NAIC 4 .....	0	0	0	0	0	0	0	0
12. NAIC 5 .....	0	0	0	0	0	0	0	0
13. NAIC 6 .....	0	0	0	0	0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	147,274,213	60,245,843	84,184,028	(459,888)	122,876,140	0	0	147,274,213

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 6,917,530 ; NAIC 2 \$ 0 ; NAIC 3 \$ 0 ; NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

S102

**SCHEDULE DA - PART 1**

## Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	6,917,530	xxx	6,942,257	29,236	778

**SCHEDULE DA - VERIFICATION**

## Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	7,729,356	5,933,695
2. Cost of short-term investments acquired .....	59,909,633	821,387,336
3. Accrual of discount .....	0	0
4. Unrealized valuation increase (decrease) .....	0	0
5. Total gain (loss) on disposals .....	0	(342)
6. Deduct consideration received on disposals .....	60,708,355	819,552,381
7. Deduct amortization of premium .....	13,104	38,952
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	6,917,530	7,729,356
11. Deduct total nonadmitted amounts .....	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	6,917,530	7,729,356

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**NONE**

Schedule DB - Part B - Verification - Futures Contracts

**NONE**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**NONE**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**NONE**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**NONE**

**SCHEDULE E - VERIFICATION**

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	11,995,917	19,997,967
2. Cost of cash equivalents acquired .....	0	622,266,869
3. Accrual of discount .....	0	14,383
4. Unrealized valuation increase (decrease) .....	0	0
5. Total gain (loss) on disposals .....	4,083	56,500
6. Deduct consideration received on disposals .....	12,000,000	630,339,802
7. Deduct amortization of premium .....	0	0
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	0	11,995,917
11. Deduct total nonadmitted amounts .....	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	0	11,995,917

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**NONE**

Schedule A - Part 3 - Real Estate Disposed

**NONE**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

**NONE**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

**NONE**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**NONE**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**NONE**

STATEMENT AS OF MARCH 31, 2017 OF THE Humana Health Plan of Ohio Inc.

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Desig- nation or Market Indicator (a)
650116-AR-7	NEW YORK TRANSN DEV CORP SPL MUNI		.01/04/2017	WELLS FARGO		125,088	120,000	.134	2FE
650116-AV-8	NEW YORK TRANSN DEV CORP SPL MUNI		.01/04/2017	WELLS FARGO		211,122	200,000	.233	2FE
3199999. Subtotal - Bonds - U.S. Special Revenues						336,210	320,000	367	XXX
8399997. Total - Bonds - Part 3						336,210	320,000	367	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						336,210	320,000	367	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						0	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	XXX
9999999 - Totals						336,210	XXX	367	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....0

STATEMENT AS OF MARCH 31, 2017 OF THE Humana Health Plan of Ohio Inc.

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
912828-U7-3	US TREASURY N/B GOVERNMENT		01/12/2017	MORGAN STANLEY		1,997,340	2,000,000	1,989,769	1,989,804	.0	109	.0	109	.0	1,989,914	.0	7,427	7,427	2,191	12/15/2019	1
0599999	Subtotal - Bonds - U.S. Governments					1,997,340	2,000,000	1,989,769	1,989,804	0	109	0	109	0	1,989,914	0	7,427	7,427	2,191	XXX	XXX
650035-DC-9	NEW YORK ST URBAN DEVELOPMENT MUNI		03/15/2017	CALLED SECURITY at 100.000 ABC DOMINION SECURITIES		75,000	75,000	74,069	74,962	.0	39	.0	39	.0	75,000	.0	.0	.0	1,875	03/15/2032	1FE
88256H-AQ-1	TEXAS MUN GAS ACQUISITION & SU		01/10/2017	CORP		130,289	120,000	122,809	122,035	.0	(12)	.0	(12)	.0	122,023	.0	8,266	8,266	.467	12/15/2028	2FE
1799999	Subtotal - Bonds - U.S. States, Territories and Possessions					205,289	195,000	196,878	196,997	0	27	0	27	0	197,023	0	8,266	8,266	2,342	XXX	XXX
606092-DT-8	MISSOURI JT MUN ELEC UTIL COMMN PW		01/03/2017	CALLED SECURITY at 100.000		250,000	250,000	252,613	251,694	.0	(1,694)	.0	(1,694)	.0	250,000	.0	.0	.0	5,625	01/01/2037	1FE
2499999	Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions					250,000	250,000	252,613	251,694	0	(1,694)	0	(1,694)	0	250,000	0	0	0	5,625	XXX	XXX
235036-E4-8	DALLAS FT WORTH INTL AIRPORT MUNI		01/10/2017	MORGAN STANLEY		363,266	340,000	358,044	352,820	.0	(82)	.0	(82)	.0	352,738	.0	10,527	10,527	3,400	11/01/2042	1FE
235036-E7-1	DALLAS FT WORTH INTL AIRPORT MUNI		02/02/2017	CITIGROUP GLOBAL MARKETS		533,775	500,000	547,325	527,594	.0	(526)	.0	(526)	.0	527,068	.0	6,707	6,707	6,667	11/01/2045	1FE
254764-JH-4	DISTRICT COLUMBIA MUNI		01/10/2017	MESITROW		85,429	75,000	84,261	83,277	.0	(25)	.0	(25)	.0	83,252	.0	2,177	2,177	1,854	07/15/2032	1FE
254764-JX-2	DISTRICT COLUMBIA MUNI		01/10/2017	MESITROW		85,088	75,000	83,917	82,971	.0	(24)	.0	(24)	.0	82,947	.0	2,141	2,141	1,854	07/15/2033	1FE
3128DV-X2-4	FG D92497 - 20 YEAR		03/21/2017	VARIOUS		3,908	3,825	3,847	3,828	.0	(1)	.0	(1)	.0	3,827	.0	.0	.0	81	09/01/2018	1
3128M8-RT-3	FGOLD 30YR GIANY	FHLMC	03/01/2017	MBS PAYDOWN		4,678	4,678	5,025	4,684	.0	(6)	.0	(6)	.0	4,678	.0	.0	.0	30	04/01/2041	1
3128MJ-Y2-0	FGOLD 30YR GIANY	FNMA	03/01/2017	MBS PAYDOWN		8,955	8,955	9,581	8,963	.0	(8)	.0	(8)	.0	8,955	.0	.0	.0	63	10/01/2046	1
3128MJ-Y8-7	FGOLD 30YR GIANY	FNMA	03/01/2017	MBS PAYDOWN		4,396	4,396	4,705	4,398	.0	(2)	.0	(2)	.0	4,396	.0	.0	.0	31	11/01/2046	1
3132GK-Z0-2	FGOLD 30 YR	FHLMC	03/01/2017	MBS PAYDOWN		2,768	2,768	2,973	2,770	.0	(2)	.0	(2)	.0	2,768	.0	.0	.0	18	11/01/2041	1
3132GK-KH-6	FEDERAL HOME LOAN MTGE CO	FHLMC	03/01/2017	MBS PAYDOWN		22,081	22,081	23,351	22,097	.0	(16)	.0	(16)	.0	22,081	.0	.0	.0	123	06/01/2042	1
3132WG-GL-5	FEDERAL HOME LOAN MTGE CO	FHLMC	03/01/2017	MBS PAYDOWN		233	233	250	233	.0	.0	.0	.0	.0	233	.0	.0	.0	2	09/01/2046	1
3132WG-TS-6	FEDERAL HOME LOAN MTGE CO	FHLMC	03/01/2017	MBS PAYDOWN		5,810	5,810	6,218	5,811	.0	(1)	.0	(1)	.0	5,810	.0	.0	.0	23	09/01/2046	1
3132WH-EE-1	FEDERAL HOME LOAN MTGE CO	FHLMC	03/01/2017	MBS PAYDOWN		25,907	25,907	25,901	25,907	.0	.0	.0	.0	.0	25,907	.0	.0	.0	131	10/01/2046	1
3132WH-GW-9	FEDERAL HOME LOAN MTGE CO	FHLMC	03/01/2017	MBS PAYDOWN		228	228	244	228	.0	.0	.0	.0	.0	228	.0	.0	.0	1	09/01/2046	1
31335A-ZF-8	FEDERAL HOME LOAN MTGE CO	FHLMC	03/01/2017	MBS PAYDOWN		31,922	31,922	31,914	31,922	.0	.0	.0	.0	.0	31,922	.0	.0	.0	130	10/01/2046	1
31335H-HL-0	FG C90235 - 20 YEAR		03/01/2017	MBS PAYDOWN		1,026	1,026	1,031	1,026	.0	.0	.0	.0	.0	1,026	.0	.0	.0	12	10/01/2018	1
31371K-NX-5	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		2,085	2,085	2,158	2,087	.0	(2)	.0	(2)	.0	2,085	.0	.0	.0	18	05/01/2017	1
3137BP-DW-6	FEDERAL HOME LOAN MTGE CO	ABS FTST	03/01/2017	MBS PAYDOWN		31,228	31,228	32,689	31,241	.0	(13)	.0	(13)	.0	31,228	.0	.0	.0	158	07/01/2045	1
3137BP-JX-8	FEDERAL HOME LOAN MTGE CO	ABS FTST	03/01/2017	MBS PAYDOWN		59,372	59,372	62,136	59,408	.0	(36)	.0	(36)	.0	59,372	.0	.0	.0	299	11/01/2045	1
3137BS-ZE-6	STRU_AM-9241-PA	CMO	03/01/2017	MBS PAYDOWN		10,110	10,110	10,827	10,116	.0	(7)	.0	(7)	.0	10,110	.0	.0	.0	60	03/01/2046	1
3138AU-RL-3	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		5,898	5,898	6,217	5,905	.0	(7)	.0	(7)	.0	5,898	.0	.0	.0	32	10/01/2026	1
3138EJ-ZR-2	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		8,628	8,628	9,196	8,634	.0	(6)	.0	(6)	.0	8,628	.0	.0	.0	37	10/01/2042	1
3138EK-G7-4	FANNIE MAE		03/01/2017	MBS PAYDOWN		2,700	2,700	2,878	2,702	.0	(2)	.0	(2)	.0	2,700	.0	.0	.0	14	08/01/2042	1
3138EK-GU-3	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		12,090	12,090	13,141	12,126	.0	(35)	.0	(35)	.0	12,090	.0	.0	.0	81	03/01/2042	1
3138EL-B3-6	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		1,337	1,337	1,426	1,338	.0	(2)	.0	(2)	.0	1,337	.0	.0	.0	8	06/01/2043	1
3138EM-FX-4	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		5,112	5,112	5,453	5,115	.0	(3)	.0	(3)	.0	5,112	.0	.0	.0	30	12/01/2043	1
3138EP-S4-7	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		11,621	11,621	12,396	11,627	.0	(7)	.0	(7)	.0	11,621	.0	.0	.0	52	07/01/2045	1
3138EP-WL-4	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		12,802	12,802	13,727	12,823	.0	(21)	.0	(21)	.0	12,802	.0	.0	.0	77	12/01/2042	1
3138EQ-HY-1	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		19,407	19,407	20,699	19,428	.0	(21)	.0	(21)	.0	19,407	.0	.0	.0	112	10/01/2045	1
3138EQ-ZF-2	FNMA 30 YR POOL		03/01/2017	MBS PAYDOWN		26,172	26,172	28,527	26,197	.0	(25)	.0	(25)	.0	26,172	.0	.0	.0	161	02/01/2046	1
3138ER-LX-6	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		1,092	1,092	1,099	1,092	.0	.0	.0	.0	.0	1,092	.0	.0	.0	5	11/01/2031	1
3138ET-TP-1	FNMA 30 YR POOL		03/01/2017	MBS PAYDOWN		27,182	27,182	29,824	27,213	.0	(31)	.0	(31)	.0	27,182	.0	.0	.0	166	07/01/2046	1
3138ET-YV-2	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		13,344	13,344	14,284	13,356	.0	(12)	.0	(12)	.0	13,344	.0	.0	.0	66	05/01/2044	1
3138LV-CV-3	FED NTL MTG ASSO	30YR	03/01/2017	MBS PAYDOWN		6,771	6,771	7,219	6,775	.0	(4)	.0	(4)	.0	6,771	.0	.0	.0	30	06/01/2042	1
3138LW-TP-6	FED NTL MTG ASSO	30YR	03/01/2017	MBS PAYDOWN		16,251	16,251	17,182	16,257	.0	(6)	.0	(6)	.0	16,251	.0	.0	.0	65	06/01/2042	1
3138MO-SE-1	FED NTL MTG ASSO	FNMA 30YR	03/01/2017	MBS PAYDOWN		3,490	3,490	3,721	3,492	.0	(2)	.0	(2)	.0	3,490	.0	.0	.0	20	07/01/2042	1
3138W1-4Q-7	FED NTL MTG ASSO	30YR	03/01/2017	MBS PAYDOWN		52,908	52,908	54,772	52,924	.0	(16)	.0	(16)	.0	52,908	.0	.0	.0	257	03/01/2043	1
3138W9-KQ-2	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		1,406	1,406	1,412	1,407	.0	.0	.0	.0	.0	1,406	.0	.0	.0	7	08/01/2043	1
3138WD-6X-4	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		1,608	1,608	1,660	1,609	.0	(1)	.0	(1)	.0	1,608	.0	.0	.0	8	02/01/2030	1
3138WE-AJ-8	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		12,117	12,117	12,712	12,129	.0	(11)	.0	(11)	.0	12,117	.0	.0	.0	52	02/01/2030	1
3138WE-AM-1	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		21,021	21,021	22,068	21,045	.0	(24)	.0	(24)	.0	21,021	.0	.0	.0	110	02/01/2030	1
3138WH-NT-5	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		32,707	32,707	35,176	32,740	.0	(33)	.0	(33)	.0	32,707	.0	.0	.0	208	07/01/2046	1
3138WJ-EQ-7	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		29,395	29,395	29,571	29,397	.0	(2)	.0	(2)	.0	29,395	.0	.0	.0	126	11/01/2031	1
3138WJ-MD-7	FED NTL MTG ASSO	FNMA	01/13/2017	VARIOUS		1,913,240	1,854,602	1,894,302	1,894,359	.0	(215)	.0	(215)	.0	1,894,145	.0	19,095	19,095	8,465	12/01/2046	1
3138YF-E3-4	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		2,322	2,322	2,438	2,323	.0	(1)	.0	(1)	.0	2,322	.0	.0	.0	12	02/01/2030	1
31392C-AV-6	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		2,958	2,958	3,063	2												

STATEMENT AS OF MARCH 31, 2017 OF THE Humana Health Plan of Ohio Inc.

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	For-foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)	
3140EII-3W-3	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		685	685	683	685	0	0	0	0	0	685	0	0	0	3	10/01/2046	1	
3140F3-C3-0	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		505	505	504	505	0	0	0	0	0	505	0	0	0	3	10/01/2046	1	
3140FE-X3-3	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		136	136	136	136	0	0	0	0	0	136	0	0	0	1	10/01/2046	1	
3140FG-5N-5	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		7,608	7,608	7,579	7,607	0	0	0	0	0	7,608	0	0	0	32	11/01/2046	1	
3140FG-6S-3	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		4,637	4,637	4,620	4,637	0	0	0	0	0	4,637	0	0	0	23	11/01/2046	1	
3140FG-7G-8	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		95	95	95	95	0	0	0	0	0	95	0	0	0	0	11/01/2046	1	
3140FG-7L-7	FED NTL MTG ASSO	FNMA	01/13/2017	VARIOUS		666,628	645,098	657,694	657,716	0	(168)	0	(168)	0	657,546	0	9,080	9,080	2,936	11/01/2046	1	
3140FK-S3-5	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		2,085	2,085	2,078	2,085	0	0	0	0	0	2,085	0	0	0	10	11/01/2046	1	
31417A-JK-6	FED NTL MTG ASSO	FNMA	03/01/2017	MBS PAYDOWN		1,212	1,212	1,294	1,213	0	(1)	0	(1)	0	1,212	0	0	0	7	11/01/2041	1	
373384-Y6-7	GEORGIA ST	MUNI	02/01/2017	MATURITY		730,000	730,000	779,737	732,688	0	(2,688)	0	(2,688)	0	730,000	0	0	0	18,250	02/01/2017	1FE	
59259Y-30-0	METROPOLITAN TRANSN AUTH NY REV F		02/02/2017	CITIGROUP GLOBAL MARKETS INC		148,979	135,000	149,841	148,040	0	(135)	0	(135)	0	147,906	0	1,075	1,075	1,539	11/15/2045	1FE	
64966L-RC-7	NEW YORK NY	MUNI	03/03/2017	CUSIP EXCHANGE		178,161	175,000	189,621	179,424	0	(1,305)	0	(1,305)	0	178,119	0	42	42	5,153	08/01/2017	1FE	
64990B-JU-8	NEW YORK ST DORM AUTH REVS	MUNI	02/09/2017	MORGAN STANLEY		102,508	85,000	101,396	99,416	0	(173)	0	(173)	0	99,243	0	3,265	3,265	1,570	10/01/2025	1FE	
658196-5H-9	NORTH CAROLINA ESATN MUN PWIR A		01/01/2017	MATURITY		105,000	105,000	111,256	105,000	0	0	0	0	0	105,000	0	0	0	2,625	01/01/2017	1FE	
667825-UJ-0	NORTHWEST TEX INDPD SCH DIST	MUNI	02/15/2017	CALLED SECURITY at 100.000		100,000	100,000	106,567	100,548	0	(548)	0	(548)	0	100,000	0	0	0	2,500	02/15/2031	1FE	
796253-X4-9	SAN ANTONIO TEX ELEC & GAS RE	MUNI	02/01/2017	CALLED SECURITY at 100.000		195,000	195,000	207,262	195,734	0	(734)	0	(734)	0	195,000	0	0	0	4,875	02/01/2032	1FE	
842329-AA-2	SOUTHERN BAPTIST HOSPITAL	MUNI FTST	01/10/2017	RAYMOND JAMES		65,000	65,000	67,025	66,987	0	0	0	0	0	66,987	0	4,369	4,369	1,561	07/15/2045	1FE	
819999	<b>Subtotal - Bonds - U.S. Special Revenues</b>					5,840,439	5,641,626	5,927,958	5,788,870	0	(6,991)	0	(6,991)	0	5,781,879	0	58,559	58,559	66,271	XXX	XXX	
00206R-AR-3	A T & T CORPORATION	CORPORATE	01/30/2017	WELLS FARGO		187,539	175,000	174,456	174,845	0	14	0	14	0	174,859	0	12,680	12,680	4,708	02/15/2019	2FE	
05946X-BV-4	BANK OF AMERICA FDG CO 2003-1		03/20/2017	CALLED SECURITY at 100.000		104	104	106	106	0	(2)	0	(2)	0	104	0	0	0	2	05/20/2033	1FM	
07388R-AF-4	BEAR STEARNS CO.	CMBS	02/13/2017	CALLED SECURITY at 100.000		53,953	53,952	59,698	54,142	0	(189)	0	(189)	0	53,953	0	0	0	338	02/01/2044	1FM	
12631D-BG-7	COMM_14-CR18	CMBS	01/05/2017	MERRILL LYNCH, PIERCE, FENNER & SM		400,461	400,000	416,922	415,083	0	(26)	0	(26)	0	415,057	0	(14,596)	(14,596)	2,052	05/01/2047	1FM	
142339-AE-0	CARLISLE COMPANIES INC	CORPORATE	01/10/2017	MERRILL LYNCH, PIERCE, FENNER & SM		616,124	575,000	572,413	573,805	0	(1)	0	(1)	0	573,805	0	42,319	42,319	2,292	12/15/2020	2FE	
46632H-AG-6	JPMCC_07-LD12	CMBS	03/15/2017	CALLED SECURITY at 100.000		24,416	24,416	27,805	24,898	0	(483)	0	(483)	0	24,416	0	0	0	130	02/01/2051	1FM	
50180J-AD-7	LBUBS 07-C2	CMBS	02/28/2017	CALLED SECURITY at 100.000		44,336	44,336	48,295	44,627	0	(291)	0	(291)	0	44,336	0	0	0	339	02/15/2040	1FM	
665772-CN-7	NORTHERN STATES POWER	CORPORATE	02/02/2017	KEY CAPITAL MARKETS		108,890	110,000	108,204	108,243	0	6	0	6	0	108,249	0	640	640	2,102	08/15/2045	1FE	
675553-AA-9	OCHSNER CLINIC FOUNDATION	CORPORATE	01/31/2017	MORGAN STANLEY		75,773	65,000	68,177	68,118	0	(6)	0	(6)	0	68,112	0	7,662	7,662	830	05/15/2045	2FE	
747262-AH-6	QVC INC	CORPORATE	03/20/2017	BARCLAYS CAPITAL		36,445	35,000	35,000	35,000	0	0	0	0	0	35,000	0	1,445	1,445	1,300	07/02/2022	2FE	
747262-AW-3	QVC INC	CORPORATE	03/20/2017	BARCLAYS CAPITAL		906,850	1,000,000	997,840	997,913	0	25	0	25	0	997,936	0	(91,086)	(91,086)	33,003	08/15/2034	2FE	
709629-AJ-8	PENTAIR INC	CORPORATE	01/10/2017	BARCLAYS CAPITAL		803,767	750,000	748,530	749,228	0	(2)	0	(2)	0	749,226	0	54,541	54,541	6,042	05/15/2021	2FE	
78478Y-AA-2	STRIP_12	CMBS	02/28/2017	CALLED SECURITY at 100.000		15,888	15,888	15,702	15,721	0	167	0	167	0	15,888	0	0	0	26	12/25/2044	1FE	
839999	<b>Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>					3,274,546	3,248,696	3,273,148	3,261,729	0	(788)	0	(788)	0	3,260,941	0	13,605	13,605	53,164	XXX	XXX	
839997	<b>Total - Bonds - Part 4</b>					11,567,614	11,335,322	11,640,366	11,489,094	0	(9,337)	0	(9,337)	0	11,479,757	0	87,857	87,857	129,593	XXX	XXX	
839998	<b>Total - Bonds - Part 5</b>					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
839999	<b>Total - Bonds</b>					11,567,614	11,335,322	11,640,366	11,489,094	0	(9,337)	0	(9,337)	0	11,479,757	0	87,857	87,857	129,593	XXX	XXX	
899997	<b>Total - Preferred Stocks - Part 4</b>					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
899998	<b>Total - Preferred Stocks - Part 5</b>					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
899999	<b>Total - Preferred Stocks</b>					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
979997	<b>Total - Common Stocks - Part 4</b>					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
979998	<b>Total - Common Stocks - Part 5</b>					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
979999	<b>Total - Common Stocks</b>					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
989999	<b>Total - Preferred and Common Stocks</b>					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
999999	<b>Totals</b>					11,567,614	11,335,322	11,640,366	11,489,094	0	(9,337)	0	(9,337)	0	11,479,757	0	87,857	87,857	129,593	XXX	XXX	

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....0

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

**NONE**

Schedule DB - Part B - Section 1 - Futures Contracts Open

**NONE**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

**NONE**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

**NONE**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

**NONE**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

**NONE**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**NONE**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**NONE**



Schedule E - Part 2 - Cash Equivalents - Investments Owned End of Current Quarter

**NONE**