**LIFE AND ACCIDENT AND HEALTH COMPANIES - ASSOCIATION EDITION**

* 6 7 9 0 3 2 0 1 7 2 0 1 0 0 1 0 1 *

# QUARTERLY STATEMENT

**As of March 31, 2017**

of the Condition and Affairs of the

# Provident American Life and Health Insurance Company

NAIC Group Code.....0901, 0901 (Current Period) (Prior Period) | NAIC Company Code..... 67903 | Employer's ID Number..... 23-1335885

Organized under the Laws of OH | State of Domicile or Port of Entry OH | Country of Domicile US

Incorporated/Organized..... April 6, 1949 | Commenced Business..... September 30, 1949

| | | |
|---|---|---|
| Statutory Home Office | 1300 East Ninth Street….. Cleveland ..... OH ..... US ..... 44114<br>*(Street and Number) (City or Town, State, Country and Zip Code)* | |
| Main Administrative Office | 11200 Lakeline Blvd Ste 100….. Austin ..... TX ..... US ..... 78717<br>*(Street and Number) (City or Town, State, Country and Zip Code)* | (512) 451-2224<br>*(Area Code) (Telephone Number)* |
| Mail Address | 11200 Lakeline Blvd Ste 100….. Austin ..... TX ..... US ..... 78717<br>*(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)* | |
| Primary Location of Books and Records | 11200 Lakeline Blvd Ste 100….. Austin ..... TX ..... US ..... 78717<br>*(Street and Number) (City or Town, State, Country and Zip Code)* | (512) 451-2224<br>*(Area Code) (Telephone Number)* |
| Internet Web Site Address | CignaSupplementalBenefits.com | |
| Statutory Statement Contact | Renee Wilkins Feldman<br>*(Name)*<br>CSBFinRpt@cigna.com<br>*(E-Mail Address)* | (512) 531-1465<br>*(Area Code) (Telephone Number) (Extension)*<br>512-467-1399<br>*(Fax Number)* |

## OFFICERS

| Name | Title | Name | Title |
|---|---|---|---|
| 1. Brian Case Evanko | President | 2. Byron Keith Buescher | Treasurer & Chief Accounting Officer |
| 3. Anna Krishtul | Secretary | 4. Susan Eadaoine Buck | Appointed Actuary |

## OTHER

| | | | |
|---|---|---|---|
| Jessica Kierulf Tutwiler | Executive Vice President and Chief Financial Offic | David Lawrence Chambers | Vice President-Sales and Marketing |
| Mark Fleming | Vice President and Assistant Treasurer | Joanne Ruth Hart | Vice President and Assistant Treasurer |
| Stephen Burnett Jones | Vice President | Scott Ronald Lambert | Vice President and Assistant Treasurer |
| Eric Paul Palmer | Vice President | Maureen Hardiman Ryan | Vice President and Assistant Treasurer |
| Man-Kit Simon Tang | Vice President and Chief Actuary | | |

## DIRECTORS OR TRUSTEES

Brian Case Evanko
Jessica Kierulf Tutwiler
James Yablecki
Eric Paul Palmer
Frank Sataline Jr.

State of..... Texas
County of..... Williamson

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions* and *Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

| (Signature) | (Signature) | (Signature) |
|---|---|---|
| Brian Case Evanko | Byron Keith Buescher | Anna Krishtul |
| 1. (Printed Name) | 2. (Printed Name) | 3. (Printed Name) |
| President | Treasurer & Chief Accounting Officer | Secretary |
| (Title) | (Title) | (Title) |

Subscribed and sworn to before me
This _____ day of May

a. Is this an original filing? Yes [X] No [ ]
b. If no: 1. State the amendment number
2. Date filed
3. Number of pages attached

# ASSETS

| | Current Statement Date | | | 4 |
|---|---|---|---|---|
| | 1<br>Assets | 2<br>Nonadmitted Assets | 3<br>Net Admitted Assets (Cols. 1 - 2) | December 31 Prior Year Net Admitted Assets |
| 1. Bonds | 13,511,132 | | 13,511,132 | 13,534,917 |
| 2. Stocks: | | | | |
| 2.1 Preferred stocks | | | 0 | |
| 2.2 Common stocks | 3,119,771 | | 3,119,771 | 2,945,946 |
| 3. Mortgage loans on real estate: | | | | |
| 3.1 First liens | | | 0 | |
| 3.2 Other than first liens | | | 0 | |
| 4. Real estate: | | | | |
| 4.1 Properties occupied by the company (less $.....0 encumbrances) | | | 0 | |
| 4.2 Properties held for the production of income (less $.....0 encumbrances) | | | 0 | |
| 4.3 Properties held for sale (less $.....0 encumbrances) | | | 0 | |
| 5. Cash ($.....285,284), cash equivalents ($.....0) and short-term investments ($.....1,368,502) | 1,653,786 | | 1,653,786 | 1,419,536 |
| 6. Contract loans (including $.....0 premium notes) | | | 0 | |
| 7. Derivatives | | | 0 | |
| 8. Other invested assets | | | 0 | |
| 9. Receivables for securities | | | 0 | |
| 10. Securities lending reinvested collateral assets | | | 0 | |
| 11. Aggregate write-ins for invested assets | 0 | 0 | 0 | 0 |
| 12. Subtotals, cash and invested assets (Lines 1 to 11) | 18,284,689 | 0 | 18,284,689 | 17,900,399 |
| 13. Title plants less $.....0 charged off (for Title insurers only) | | | 0 | |
| 14. Investment income due and accrued | 82,133 | | 82,133 | 108,550 |
| 15. Premiums and considerations: | | | | |
| 15.1 Uncollected premiums and agents' balances in the course of collection | (203,977) | | (203,977) | (196,022) |
| 15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including $.....0 earned but unbilled premiums) | | | 0 | |
| 15.3 Accrued retrospective premiums ($.....0) and contracts subject to redetermination ($.....0) | | | 0 | |
| 16. Reinsurance: | | | | |
| 16.1 Amounts recoverable from reinsurers | 198,485 | | 198,485 | 202,311 |
| 16.2 Funds held by or deposited with reinsured companies | | | 0 | |
| 16.3 Other amounts receivable under reinsurance contracts | 42,934 | 13,932 | 29,002 | 29,891 |
| 17. Amounts receivable relating to uninsured plans | | | 0 | |
| 18.1 Current federal and foreign income tax recoverable and interest thereon | | | 0 | 17,292 |
| 18.2 Net deferred tax asset | 4,416,242 | 3,062,588 | 1,353,654 | 1,336,628 |
| 19. Guaranty funds receivable or on deposit | 117,431 | | 117,431 | 86,114 |
| 20. Electronic data processing equipment and software | | | 0 | |
| 21. Furniture and equipment, including health care delivery assets ($.....0) | | | 0 | |
| 22. Net adjustment in assets and liabilities due to foreign exchange rates | | | 0 | |
| 23. Receivables from parent, subsidiaries and affiliates | 15,806 | | 15,806 | |
| 24. Health care ($.....0) and other amounts receivable | | | 0 | |
| 25. Aggregate write-ins for other than invested assets | 236,729 | 236,729 | 0 | 0 |
| 26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25) | 23,190,472 | 3,313,249 | 19,877,223 | 19,485,163 |
| 27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts | | | 0 | |
| 28. Total (Lines 26 and 27) | 23,190,472 | 3,313,249 | 19,877,223 | 19,485,163 |

**DETAILS OF WRITE-INS**

| | | | | |
|---|---|---|---|---|
| 1101. | | | 0 | |
| 1102. | | | 0 | |
| 1103. | | | 0 | |
| 1198. Summary of remaining write-ins for Line 11 from overflow page | 0 | 0 | 0 | 0 |
| 1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above) | 0 | 0 | 0 | 0 |
| 2501. Premium Tax Refund Due | 59,302 | 59,302 | 0 | |
| 2502. Disallowed Interest Maintenance Reserves | 175,192 | 175,192 | 0 | |
| 2503. Other Receivable | 2,235 | 2,235 | 0 | |
| 2598. Summary of remaining write-ins for Line 25 from overflow page | 0 | 0 | 0 | 0 |
| 2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above) | 236,729 | 236,729 | 0 | 0 |

# LIABILITIES, SURPLUS AND OTHER FUNDS

| | | 1<br>Current<br>Statement Date | 2<br>December 31<br>Prior Year |
|---|---|---|---|
| 1. | Aggregate reserve for life contracts $.....0 less $.....0 included in Line 6.3 (including $.....0 Modco Reserve) | | |
| 2. | Aggregate reserve for accident and health contracts (including $.....0 Modco Reserve) | 492,049 | 502,170 |
| 3. | Liability for deposit-type contracts (including $.....0 Modco Reserve) | | |
| 4. | Contract claims: | | |
| | 4.1 Life | | |
| | 4.2 Accident and health | 645,477 | 595,666 |
| 5. | Policyholders' dividends $.....0 and coupons $.....0 due and unpaid | | |
| 6. | Provision for policyholders' dividends and coupons payable in following calendar year - estimated amounts: | | |
| | 6.1 Dividends apportioned for payment (including $.....0 Modco) | | |
| | 6.2 Dividends not yet apportioned (including $.....0 Modco) | | |
| | 6.3 Coupons and similar benefits (including $.....0 Modco) | | |
| 7. | Amount provisionally held for deferred dividend policies not included in Line 6 | | |
| 8. | Premiums and annuity considerations for life and accident and health contracts received in advance less $.....0 discount; including $.....(48,731) accident and health premiums | 48,731 | 85,296 |
| 9. | Contract liabilities not included elsewhere: | | |
| | 9.1 Surrender values on canceled contracts | | |
| | 9.2 Provision for experience rating refunds, including the liability of $.....0 accident and health experience rating refunds of which $.....0 is for medical loss ratio rebate per the Public Health Service Act | | |
| | 9.3 Other amounts payable on reinsurance, including $.....0 assumed and $.....12,041 ceded | 12,041 | 9,320 |
| | 9.4 Interest Maintenance Reserve | | |
| 10. | Commissions to agents due or accrued - life and annuity contracts $.....0, accident and health $.....0 and deposit-type contract funds $.....0 | 18,397 | 5,446 |
| 11. | Commissions and expense allowances payable on reinsurance assumed | | |
| 12. | General expenses due or accrued | 19,796 | 21,379 |
| 13. | Transfers to Separate Accounts due or accrued (net) (including $.....0 accrued for expense allowances recognized in reserves, net of reinsured allowances) | | |
| 14. | Taxes, licenses and fees due or accrued, excluding federal income taxes | 121,122 | 84,382 |
| 15.1 | Current federal and foreign income taxes, including $.....0 on realized capital gains (losses) | 10,881 | |
| 15.2 | Net deferred tax liability | | |
| 16. | Unearned investment income | | |
| 17. | Amounts withheld or retained by company as agent or trustee | | |
| 18. | Amounts held for agents' account, including $.....0 agents' credit balances | 26 | 55 |
| 19. | Remittances and items not allocated | 25,773 | 21,320 |
| 20. | Net adjustment in assets and liabilities due to foreign exchange rates | | |
| 21. | Liability for benefits for employees and agents if not included above | | |
| 22. | Borrowed money $.....0 and interest thereon $.....0 | | |
| 23. | Dividends to stockholders declared and unpaid | | |
| 24. | Miscellaneous liabilities: | | |
| | 24.01 Asset valuation reserve | 26,431 | 25,216 |
| | 24.02 Reinsurance in unauthorized and certified ($.....0) companies | | |
| | 24.03 Funds held under reinsurance treaties with unauthorized and certified ($.....0) reinsurers | | |
| | 24.04 Payable to parent, subsidiaries and affiliates | | 22,260 |
| | 24.05 Drafts outstanding | | |
| | 24.06 Liability for amounts held under uninsured plans | | |
| | 24.07 Funds held under coinsurance | | |
| | 24.08 Derivatives | | |
| | 24.09 Payable for securities | | |
| | 24.10 Payable for securities lending | | |
| | 24.11 Capital notes $.....0 and interest thereon $.....0 | | |
| 25. | Aggregate write-ins for liabilities | 47,245 | 45,696 |
| 26. | Total liabilities excluding Separate Accounts business (Lines 1 to 25) | 1,467,969 | 1,418,206 |
| 27. | From Separate Accounts statement | | |
| 28. | Total liabilities (Lines 26 and 27) | 1,467,969 | 1,418,206 |
| 29. | Common capital stock | 2,500,000 | 2,500,000 |
| 30. | Preferred capital stock | | |
| 31. | Aggregate write-ins for other-than-special surplus funds | 0 | 0 |
| 32. | Surplus notes | | |
| 33. | Gross paid in and contributed surplus | 17,346,312 | 17,346,312 |
| 34. | Aggregate write-ins for special surplus funds | 0 | 0 |
| 35. | Unassigned funds (surplus) | (1,437,058) | (1,779,355) |
| 36. | Less treasury stock, at cost: | | |
| | 36.1 .....0.000 shares common (value included in Line 29 $.....0) | | |
| | 36.2 .....0.000 shares preferred (value included in Line 30 $.....0) | | |
| 37. | Surplus (Total Lines 31 + 32 + 33 + 34 + 35 - 36) (including $.....0 in Separate Accounts Statement) | 15,909,254 | 15,566,957 |
| 38. | Totals of Lines 29, 30 and 37 | 18,409,254 | 18,066,957 |
| 39. | Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) | 19,877,223 | 19,485,163 |

**DETAILS OF WRITE-INS**

| | | | |
|---|---|---|---|
| 2501. | Escheat Liability | 47,245 | 45,696 |
| 2502. | | | |
| 2503. | | | |
| 2598. | Summary of remaining write-ins for Line 25 from overflow page | 0 | 0 |
| 2599. | Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above) | 47,245 | 45,696 |
| 3101. | | | |
| 3102. | | | |
| 3103. | | | |
| 3198. | Summary of remaining write-ins for Line 31 from overflow page | 0 | 0 |
| 3199. | Totals (Lines 3101 thru 3103 plus 3198) (Line 31 above) | 0 | 0 |
| 3401. | | | |
| 3402. | | | |
| 3403. | | | |
| 3498. | Summary of remaining write-ins for Line 34 from overflow page | 0 | 0 |
| 3499. | Totals (Lines 3401 thru 3403 plus 3498) (Line 34 above) | 0 | 0 |

# SUMMARY OF OPERATIONS

| | 1<br>Current Year to Date | 2<br>Prior Year to Date | 3<br>Prior Year Ended December 31 |
|---|---|---|---|
| 1. Premiums and annuity considerations for life and accident and health contracts | 1,942,691 | 2,276,633 | 8,696,857 |
| 2. Considerations for supplementary contracts with life contingencies | | | |
| 3. Net investment income | 91,330 | 72,019 | 321,297 |
| 4. Amortization of Interest Maintenance Reserve (IMR) | (1,502) | (1,402) | (5,609) |
| 5. Separate Accounts net gain from operations excluding unrealized gains or losses | | | |
| 6. Commissions and expense allowances on reinsurance ceded | 39,213 | 37,532 | 144,636 |
| 7. Reserve adjustments on reinsurance ceded | | | |
| 8. Miscellaneous Income: | | | |
| 8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts | | | |
| 8.2 Charges and fees for deposit-type contracts | | | |
| 8.3 Aggregate write-ins for miscellaneous income | 1,386 | 1,365 | 5,168 |
| 9. Totals (Lines 1 to 8.3) | 2,073,118 | 2,386,147 | 9,162,349 |
| 10. Death benefits | | | |
| 11. Matured endowments (excluding guaranteed annual pure endowments) | | | |
| 12. Annuity benefits | | | |
| 13. Disability benefits and benefits under accident and health contracts | 1,551,388 | 1,796,597 | 6,016,011 |
| 14. Coupons, guaranteed annual pure endowments and similar benefits | | | |
| 15. Surrender benefits and withdrawals for life contracts | | | |
| 16. Group conversions | | | |
| 17. Interest and adjustments on contract or deposit-type contract funds | | | |
| 18. Payments on supplementary contracts with life contingencies | | | |
| 19. Increase in aggregate reserves for life and accident and health contracts | (10,121) | (17,530) | (70,231) |
| 20. Totals (Lines 10 to 19) | 1,541,267 | 1,779,067 | 5,945,780 |
| 21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only) | 58,008 | 59,827 | 227,977 |
| 22. Commissions and expense allowances on reinsurance assumed | | | |
| 23. General insurance expenses | 152,180 | 193,100 | 691,942 |
| 24. Insurance taxes, licenses and fees, excluding federal income taxes | 88,251 | 76,615 | 275,847 |
| 25. Increase in loading on deferred and uncollected premiums | 3,899 | (936) | (191) |
| 26. Net transfers to or (from) Separate Accounts net of reinsurance | | | |
| 27. Aggregate write-ins for deductions | 14 | 4 | 504 |
| 28. Totals (Lines 20 to 27) | 1,843,619 | 2,107,677 | 7,141,859 |
| 29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28) | 229,499 | 278,470 | 2,020,490 |
| 30. Dividends to policyholders | | | |
| 31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30) | 229,499 | 278,470 | 2,020,490 |
| 32. Federal and foreign income taxes incurred (excluding tax on capital gains) | 43,173 | 31,804 | 266,545 |
| 33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32) | 186,326 | 246,666 | 1,753,945 |
| 34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of $.....0 (excluding taxes of $.....0 transferred to the IMR) | | | |
| 35. Net income (Line 33 plus Line 34) | 186,326 | 246,666 | 1,753,945 |
| **CAPITAL AND SURPLUS ACCOUNT** | | | |
| 36. Capital and surplus, December 31, prior year | 18,066,958 | 16,352,581 | 16,352,581 |
| 37. Net income (Line 35) | 186,326 | 246,666 | 1,753,945 |
| 38. Change in net unrealized capital gains (losses) less capital gains tax of $.....0 | 173,825 | (22,534) | (25,168) |
| 39. Change in net unrealized foreign exchange capital gain (loss) | | | |
| 40. Change in net deferred income tax | (241,863) | (444,465) | (442,260) |
| 41. Change in nonadmitted assets | 226,085 | 395,815 | 438,405 |
| 42. Change in liability for reinsurance in unauthorized and certified companies | | | |
| 43. Change in reserve on account of change in valuation basis, (increase) or decrease | | | |
| 44. Change in asset valuation reserve | (1,215) | (1,225) | (5,896) |
| 45. Change in treasury stock | | | |
| 46. Surplus (contributed to) withdrawn from Separate Accounts during period | | | |
| 47. Other changes in surplus in Separate Accounts Statement | | | |
| 48. Change in surplus notes | | | |
| 49. Cumulative effect of changes in accounting principles | | | |
| 50. Capital changes: | | | |
| 50.1 Paid in | | | |
| 50.2 Transferred from surplus (Stock Dividend) | | | |
| 50.3 Transferred to surplus | | | |
| 51. Surplus adjustment: | | | |
| 51.1 Paid in | | | |
| 51.2 Transferred to capital (Stock Dividend) | | | |
| 51.3 Transferred from capital | | | |
| 51.4 Change in surplus as a result of reinsurance | (862) | (1,162) | (4,649) |
| 52. Dividends to stockholders | | | |
| 53. Aggregate write-ins for gains and losses in surplus | 0 | 0 | 0 |
| 54. Net change in capital and surplus (Lines 37 through 53) | 342,296 | 173,095 | 1,714,377 |
| 55. Capital and surplus as of statement date (Lines 36 + 54) | 18,409,254 | 16,525,676 | 18,066,958 |

**DETAILS OF WRITE-INS**

| | | | |
|---|---|---|---|
| 08.301. Interest on Agent Balances | 957 | 843 | 3,712 |
| 08.302. Other Income | 429 | 522 | 1,456 |
| 08.303. | | | |
| 08.398. Summary of remaining write-ins for Line 8.3 from overflow page | 0 | 0 | 0 |
| 08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above) | 1,386 | 1,365 | 5,168 |
| 2701. Penalties | 14 | 4 | 504 |
| 2702. | | | |
| 2703. | | | |
| 2798. Summary of remaining write-ins for Line 27 from overflow page | 0 | 0 | 0 |
| 2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above) | 14 | 4 | 504 |
| 5301. | | | |
| 5302. | | | |
| 5303. | | | |
| 5398. Summary of remaining write-ins for Line 53 from overflow page | 0 | 0 | 0 |
| 5399. Totals (Lines 5301 thru 5303 plus 5398) (Line 53 above) | 0 | 0 | 0 |

# CASH FLOW

| | 1<br>Current Year<br>to Date | 2<br>Prior Year<br>To Date | 3<br>Prior Year Ended<br>December 31 |
|---|---|---|---|
| **CASH FROM OPERATIONS** | | | |
| 1. Premiums collected net of reinsurance | 1,911,753 | 2,236,243 | 8,609,747 |
| 2. Net investment income | 118,797 | 62,084 | 288,303 |
| 3. Miscellaneous income | 39,737 | 37,735 | 145,155 |
| 4. Total (Lines 1 through 3) | 2,070,287 | 2,336,062 | 9,043,205 |
| 5. Benefit and loss related payments | 1,494,139 | 1,717,298 | 6,156,125 |
| 6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts | | | |
| 7. Commissions, expenses paid and aggregate write-ins for deductions | 318,180 | 295,152 | 1,184,378 |
| 8. Dividends paid to policyholders | | | |
| 9. Federal and foreign income taxes paid (recovered) net of $.....0 tax on capital gains (losses) | 15,000 | 140,000 | 371,094 |
| 10. Total (Lines 5 through 9) | 1,827,319 | 2,152,450 | 7,711,597 |
| 11. Net cash from operations (Line 4 minus Line 10) | 242,968 | 183,612 | 1,331,608 |
| **CASH FROM INVESTMENTS** | | | |
| 12. Proceeds from investments sold, matured or repaid: | | | |
| 12.1 Bonds | 22,735 | 21,149 | 1,218,083 |
| 12.2 Stocks | | | |
| 12.3 Mortgage loans | | | |
| 12.4 Real estate | | | |
| 12.5 Other invested assets | | | |
| 12.6 Net gains or (losses) on cash, cash equivalents and short-term investments | | | |
| 12.7 Miscellaneous proceeds | | | |
| 12.8 Total investment proceeds (Lines 12.1 to 12.7) | 22,735 | 21,149 | 1,218,083 |
| 13. Cost of investments acquired (long-term only): | | | |
| 13.1 Bonds | | 3,996,885 | 6,233,486 |
| 13.2 Stocks | | | |
| 13.3 Mortgage loans | | | |
| 13.4 Real estate | | | |
| 13.5 Other invested assets | | | |
| 13.6 Miscellaneous applications | | | |
| 13.7 Total investments acquired (Lines 13.1 to 13.6) | 0 | 3,996,885 | 6,233,486 |
| 14. Net increase or (decrease) in contract loans and premium notes | | | |
| 15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) | 22,735 | (3,975,736) | (5,015,403) |
| **CASH FROM FINANCING AND MISCELLANEOUS SOURCES** | | | |
| 16. Cash provided (applied): | | | |
| 16.1 Surplus notes, capital notes | | | |
| 16.2 Capital and paid in surplus, less treasury stock | | | |
| 16.3 Borrowed funds | | | |
| 16.4 Net deposits on deposit-type contracts and other insurance liabilities | | | |
| 16.5 Dividends to stockholders | | | |
| 16.6 Other cash provided (applied) | (31,453) | (6,321) | 19,725 |
| 17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6) | (31,453) | (6,321) | 19,725 |
| **RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS** | | | |
| 18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17) | 234,250 | (3,798,445) | (3,664,070) |
| 19. Cash, cash equivalents and short-term investments: | | | |
| 19.1 Beginning of year | 1,419,535 | 5,083,606 | 5,083,606 |
| 19.2 End of period (Line 18 plus Line 19.1) | 1,653,785 | 1,285,161 | 1,419,535 |

Note: Supplemental disclosures of cash flow information for non-cash transactions:

| | | | | |
|---|---|---|---|---|
| 20.0001 | | | | |

# EXHIBIT 1

## DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

| | 1<br>Current Year<br>To Date | 2<br>Prior Year<br>To Date | 3<br>Prior Year<br>Ended December 31 |
|---|---|---|---|
| 1. Industrial life | | | |
| 2. Ordinary life insurance | 166,305 | 185,421 | 710,100 |
| 3. Ordinary individual annuities | | | |
| 4. Credit life (group and individual) | | | |
| 5. Group life insurance | 10,182 | 17,282 | 64,991 |
| 6. Group annuities | | | |
| 7. A&H - group | | | |
| 8. A&H - credit (group and individual) | | | |
| 9. A&H - other | 2,145,830 | 2,521,117 | 9,629,835 |
| 10. Aggregate of all other lines of business | 0 | 0 | 0 |
| 11. Subtotal | 2,322,317 | 2,723,820 | 10,404,926 |
| 12. Deposit-type contracts | | | |
| 13. Total | 2,322,317 | 2,723,820 | 10,404,926 |

**DETAILS OF WRITE-INS**

| | | | |
|---|---|---|---|
| 1001. | | | |
| 1002. | | | |
| 1003. | | | |
| 1098. Summary of remaining write-ins for Line 10 from overflow page | 0 | 0 | 0 |
| 1099. Total (Lines 1001 thru 1003 plus 1098) (Line 10 above) | 0 | 0 | 0 |

# NOTES TO FINANCIAL STATEMENTS

**Note 1 - Summary of Significant Accounting Policies**

On July 23, 2015, Cigna entered into a merger agreement to engage in a series of transactions to merge Cigna with Anthem, subject to certain terms, conditions and customary operating covenants, with Anthem continuing as the surviving company. At special shareholders' meetings in December 2015, Cigna shareholders approved the merger with Anthem and Anthem shareholders voted to approve the issuance of shares of Anthem common stock according to the merger agreement.

Consummation of the merger is subject to certain customary conditions, including the receipt of certain necessary governmental and regulatory approvals, and the absence of a legal restraint prohibiting the consummation of the merger. On July 21, 2016, the U.S. Department of Justice (''DOJ'') and certain state attorneys general filed a civil antitrust lawsuit in the U.S. District Court for the District of Columbia (the ''District Court'') seeking to block the merger and, on January 4, 2017, the parties concluded the District Court trial. On February 8, 2017, the District Court issued an order enjoining the proposed merger. Anthem appealed this ruling to the U.S. Court of Appeals for the District of Columbia Circuit (the ''Appeals Court''). Additionally, Cigna appealed the District Court ruling following the Chancery Court ruling described below.

On February 14, 2017, Cigna delivered a notice to Anthem terminating the merger agreement and filed suit in the Delaware Court of Chancery (the ''Chancery Court'') seeking, among other things, declaratory judgment that Cigna's termination of the merger agreement is lawful and that Anthem does not have the right to extend the merger agreement termination date. Later that day, Anthem filed a lawsuit in the Chancery Court against Cigna seeking, among other things, a temporary restraining order to enjoin Cigna from terminating the merger agreement, specific performance and damages, and, on February 15, 2017, the Chancery Court issued an order temporarily enjoining Cigna from terminating the merger agreement. This order was subject to further review at a preliminary injunction hearing on May 8, 2017.

On February 17, 2017, the Appeals Court granted Anthem's motion for an expedited appeal. That same day, Cigna filed its notice of appeal of the District Court's order enjoining the merger with the Appeals Court. Oral arguments were heard on March 24, 2017. On April 28, 2017, the Appeals Court affirmed the decision of the District Court. On May 5, 2017, Anthem filed a petition for a writ of certiorari with the United States Supreme Court seeking appeal of the U.S. Court of Appeals decision affirming the District Court's order enjoining the merger.

A. Accounting Practices and Procedures

The financial statements of Provident American Retirement Life and Health Insurance Company ("PALHIC" or "the Company") are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance.

The Ohio Department of Insurance recognizes only Statutory Accounting Principles prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance Law. The National Association of Insurance Commissioners ("NAIC") *Accounting Practices and Procedures Manual* ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the State of Ohio.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio are shown below:

| | | SSAP# | F/S Page | F/S Line # | 2017 | 2016 |
|---|---|---|---|---|---|---|
| | Net Income | | | | | |
| 1) | Provident American Life & Health Insurance Company state basis (Page 4, Line 35, Columns 1 & 2) | XXX | XXX | XXX | $ 186,326 | $ 1,753,945 |
| 2) | State Prescribed Practices that increase/decrease NAIC SAP | | | | - | - |
| 3) | State Permitted Practices that increase/decrease NAIC SAP | | | | - | - |
| 4) | NAIC SAP (1 – 2 – 3 = 4) | XXX | XXX | XXX | $ 186,326 | $ 1,753,945 |
| | Surplus | | | | | |
| 5) | Provident American Life & Health Insurance Company state basis (Page 3, line 38, Columns 1 & 2) | XXX | XXX | XXX | $ 18,409,254 | $ 18,066,957 |
| 6) | State Prescribed Practices that increase/decrease NAIC SAP | | | | - | - |
| 7) | State Permitted Practices that increase/decrease NAIC SAP | | | | - | - |
| 8) | NAIC SAP (5 – 6 – 7 = 8) | XXX | XXX | XXX | $ 18,409,254 | $ 18,066,957 |

C. Accounting Policy

(6) Loan-Backed Securities. Loan-backed bonds and structured securities are valued at amortized cost using the constant level yield method. Significant changes in estimated cash flows from the original purchase assumptions are accounted for generally using the retrospective adjustment method. Significant changes in estimated cash flows from the original purchase assumptions for loan-backed and structured securities that have potential for loss of a significant portion of the original investment are accounted for using the prospective method. These securities are presented on the balance sheet as bonds.

Prepayment assumptions for loan-backed and other structured securities were obtained from external financial data sources. These assumptions are consistent with the current interest rate and economic environment.

When the Company determines it does not expect to recover the amortized cost basis of loan-backed or structured securities with declines in fair value (even if it does not intend to sell and has the intent and ability to hold), the non-interest portion of the impairment loss is recognized in realized investment losses. The non-interest portion is the difference between the amortized cost basis of the loan-backed or structured security and the net present value of its expected future cash flows. Expected future cash flows are based on assumptions about the collateral attributes, including prepayment speeds, default rates and changes in value.

# NOTES TO FINANCIAL STATEMENTS

D. Going Concern

In accordance with SSAP No. 1, "Accounting Policies, Risks and Uncertainties, and Other Disclosures," management has made an evaluation of the Company's ability to continue as a going concern, including such factors as its current financial position, recent earnings and cash flow trends and projections, liquidity and capital requirements, readily available sources of liquidity and such other factors deemed by management to be appropriate under the circumstances. As a result of management's evaluation, no conditions, events or trends have been identified that causes substantial doubt as to the ability of the Company to continue as a going concern and, accordingly, the accompanying financial statements have been prepared on the going concern basis.

**Note 2 - Accounting Changes and Corrections of Errors**

On January 1, 2017, the Company adopted *SSAP No. 35R – Revised Guaranty Fund and Other Assessments (Ref #2016-38)* as later amended in April 2017 (Ref #2017-01). The revisions require discounting of guaranty fund assessment liabilities and the related accrued assets from insolvencies of insurers that wrote long-term care contracts. The Company adopted these revisions to SSAP No. 35R effective January 1, 2017. See note 14B for additional information and the new required disclosures.

**Note 3 - Business Combinations and Goodwill**

Not applicable.

**Note 4 - Discontinued Operations**

Not applicable.

**Note 5 - Investments**

D. Loan Backed Securities

(1) Prepayment assumptions for loan-backed and other structured securities were obtained from external financial data sources. These assumptions are consistent with the current interest rate and economic environment.

(2) The Company had no loan-backed and structured securities with recognized other-than-temporary impairments where the Company had the intent to sell or does not have the intent and ability to retain the investment for a period of time sufficient to recover the amortized cost basis as of March 31, 2017.

(3) The Company had no loan-backed and structured securities with recognized other-than-temporary impairments where the present value of cash flow expected to be collected is less than the amortized cost basis as of March 31, 2017.

(4) There were no loan-backed and structured securities with a fair value lower than amortized cost as of March 31, 2017.

(5) Management reviews loan-backed and structured securities with a decline in fair value from cost for impairment based on criteria that include:

- Length of time and severity of decline.
- Financial and specific near term prospects of the issuer.
- Changes in the regulatory, economic or general market environment of the issuer's industry or geographic region.
- The Company's intent to sell or the inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost.

E. Repurchase Agreements and/or Securities Lending Transactions – No change.

I. Working Capital Finance Investments – Not applicable.

J. Offsetting and Netting of Assets and Liabilities – Not applicable.

**Note 6 - Joint Ventures, Partnerships and Limited Liability Companies**

Not applicable.

**Note 7 - Investment Income**

No significant changes.

**Note 8 - Derivative Instruments**

Not applicable.

**Note 9 - Income Taxes**

No significant changes.

# NOTES TO FINANCIAL STATEMENTS

**Note 10 - Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

No significant changes.

**Note 11 - Debt**

Not applicable.

**Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

No significant changes.

**Note 13 - Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations**

No significant changes.

**Note 14 - Contingencies**

b. Assessments

**Penn Treaty**. The Company operates in a regulatory environment that may require its participation in assessments under state insurance guaranty association laws. The Company's exposure to assessments for certain obligations of insolvent insurance companies to policyholders and claimants is based on its share of business written in the relevant jurisdictions.

On March 1, 2017, the Commonwealth Court of Pennsylvania entered an order of liquidation of Penn Treaty Network America Insurance Company, together with its subsidiary American Network Insurance Company (collectively "Penn Treaty", a long-term care insurance carrier), triggering guaranty fund coverage and accrual of a liability. For the three months ended March 31, 2017, the Company recorded $29,420 in taxes licenses and fees, representing its estimate of future assessments net of future premium tax offsets on a discounted basis. This assessment is expected to be updated in future periods for changes in the estimate of the insolvency.

Undiscounted and discounted guaranty fund liabilities and assets as of March 31, 2017 are as follows:

| | |
|---|---|
| Undiscounted Liability | $ 101,439 |
| Discounted Liability | $ 93,143 |
| | |
| Undiscounted Asset | $ 78,830 |
| Discounted Asset | $ 63,081 |

Assessments billed or expected to be billed within one year of the insolvency are recorded at amounts billed or expected to be billed. A liability for future assessments (expected to be due after one year) and, assets related to billed and unbilled assessments have been recorded on a discounted basis. The rate used to discount guaranty fund assessments and the related assets was 3.5%.

The following table presents information related to the discounted guaranty fund liabilities and assets:

| | Payables | | | Recoverables | | |
|---|---|---|---|---|---|---|
| Name of Insolvency | Number of Jurisdictions | Range of Years | Weighted Average Number of Years | Number of Jurisdictions | Range of Years | Weighted Average Number of Years |
| Penn Treaty Network America Insurance Company and American Network Insurance Company | 9 | 2 - 48 | 12 | 26 | 2 - 53 | 7 |

f. Other Legal Matters

In the normal course of its business operations, the Company is involved in litigation and other regulatory matters from time to time with claimants, beneficiaries, and other parties. When the Company, in the normal course of its regular review of such matters has determined that a material loss is reasonably possible, the matter is disclosed. In accordance with Statutory Accounting Principles, when litigation or other regulatory matters result in loss contingencies that are both probable and estimable, the Company accrues the estimated loss by a charge to operations. The amount accrued represents management's best estimate of the probable loss at the time. If only a range of estimated losses can be determined, the Company accrues an amount within the range that, in management's judgment, reflects the most likely outcome. If none of the estimates within the range is a better estimate than any other amount, the Company accrues the mid-point of the range.

Management does not believe that litigation or other matters currently pending against the Company would have a material adverse effect on the Company's results of operations, financial condition or liquidity based on its current knowledge of those matters.

***Antitrust Litigation.*** On July 21, 2016, the DOJ and certain state attorneys general filed a civil antitrust lawsuit in the U.S. District Court for the District of Columbia (the "District Court") seeking to block the merger (see note 1) and, on January 4, 2017, the parties concluded the District Court trial. On February 8, 2017, the District Court issued an order enjoining the proposed merger. Anthem filed a notice of appeal of the District Court's order with the U.S. Court of Appeals for the District of Columbia Circuit (the "Appeals Court") and requested an expedited appeal. On February 17, 2017, the Appeals Court granted Anthem's motion for an expedited appeal. That same day, Cigna filed its notice of appeal of the District Court's order with the Appeals Court. Oral arguments were heard on March 24, 2017. On April 28, 2017, the Appeals Court affirmed the decision of the District

# NOTES TO FINANCIAL STATEMENTS

Court. On May 5, 2017, Anthem filed a petition for a writ of certiorari with the United States Supreme Court seeking appeal of the U.S. Court of Appeals decision affirming the District Court's order enjoining the merger.

***Litigation with Anthem.*** On February 14, 2017, Cigna delivered a notice to Anthem terminating the merger agreement, and notifying Anthem that it must pay Cigna the $1.85 billion reverse termination fee pursuant to the terms of the merger agreement. Also on February 14, 2017, Cigna filed suit against Anthem in the Delaware Court of Chancery (the "Chancery Court"). The complaint sought declaratory judgments that Cigna's termination of the merger agreement was valid and that Anthem was not permitted to extend the termination date. The complaint also sought payment of the reverse termination fee and additional damages in an amount exceeding $13 billion, which includes the lost premium value to Cigna's shareholders caused by Anthem's willful breaches of the merger agreement.

Also on February 14, 2017, Anthem filed a lawsuit in the Chancery Court against Cigna seeking (i) a temporary restraining order to enjoin Cigna from terminating and taking any action contrary to the terms of the merger agreement, (ii) specific performance compelling Cigna to comply with the merger agreement and (iii) damages. On February 15, 2017, the Chancery Court granted Anthem's motion for a temporary restraining order and issued an order temporarily enjoining Cigna from terminating the Merger Agreement. This is not a decision on the merits of the case, but rather an order to ensure irrevocable actions do not take place before the Chancery Court's substantive review of the issues. Cigna will continue to abide by terms of the merger agreement until the expiration or lifting of the Chancery Court's order and any further review of the case by the Chancery Court. This order was subject to review by the Chancery Court at a preliminary injunction hearing on May 8, 2017.

Cigna believes in the merits of their claims and dispute Anthem's claims, and intends to vigorously defend themselves and pursue their claims. The outcomes of lawsuits are inherently unpredictable, and Cigna may be unsuccessful in the ongoing litigation or any future claims or litigation.

***Shareholder Litigation.*** Following announcement of Cigna's merger agreement with Anthem as discussed in Note 1, putative class action complaints (collectively the "complaints" or "Cigna Merger Litigation") were filed by purported Cigna shareholders on behalf of a purported class of Cigna shareholders. Additional lawsuits arising out of or relating to the merger agreement or the merger may be filed in the future.

Cigna, members of the Cigna board of directors, Anthem and Anthem Merger Sub Corp ("Merger Sub") have been named as defendants. The plaintiffs generally assert that the members of the Cigna board of directors breached their fiduciary duties to the Cigna shareholders during merger negotiations and by entering into the merger agreement and approving the merger, and that Cigna, Anthem and Merger Sub aided and abetted such breaches of fiduciary duties. The allegations include, among other things that, (1) the merger consideration undervalues Cigna, (2) the sales process leading up to the merger was flawed due to purported conflicts of interest of members of the Cigna board of directors and (3) certain provisions of the merger agreement inappropriately favor Anthem and inhibit competing bids. Plaintiffs seek, among other things, injunctive relief enjoining the merger, rescission of the merger agreement to the extent already implemented, and costs and damages.

Effective November 24, 2015, solely to avoid the costs, risks and uncertainties inherent in litigation, and without admitting any liability or wrongdoing, Cigna, Cigna's directors, Anthem and Merger Sub entered into a Memorandum of Understanding ("MOU") to settle the Cigna Merger Litigation. Subject to court approval and further definitive documentation in a settlement agreement that will be subject to customary conditions, the MOU resolved the Cigna Merger Litigation and provided that Cigna would make certain additional disclosures related to the merger. If the Court approves the settlement, the Cigna Merger Litigation will be dismissed with prejudice and all claims that were or could have been brought in any actions challenging any aspect of the merger, the merger agreement and any related disclosures will be released. In connection with the settlement, subject to the ultimate determination of the Court, plaintiffs' counsel may receive an award of reasonable fees. There can be no assurance that the parties will ultimately enter into a settlement agreement, or that the Court will approve the settlement even if the parties were to enter into such agreement. The MOU may terminate, if, among other reasons, the Court does not approve the settlement or the merger is not consummated for any reason.

**Note 15 - Leases**

No significant changes.

**Note 16 - Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk**

No significant changes.

**Note 17 - Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

C. The company was not involved in any wash sale transactions in 2017.

**Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans**

Not applicable.

**Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

Not applicable.

**Note 20 – Fair Value Measurements**

A. Fair Value Measurements

Fair value is defined as the price at which an asset could be exchanged in an orderly transaction between market participants at the balance sheet date. The Company's financial assets have been classified based upon a hierarchy defined by SAP. The hierarchy gives the highest ranking to fair values determined using unadjusted quoted prices in active markets for identical assets and liabilities (Level 1) and the lowest ranking to fair values determined using methodologies and models with unobservable inputs (Level 3). An asset's or a liability's classification is based on the lowest level input that is significant to its measurement. For example, a financial

asset or liability carried at fair value would be classified in Level 3 if unobservable inputs were significant to the instrument's fair value, even though the measurement may be derived using inputs that are both observable (Levels 1 and 2) and unobservable (Level 3).

Level 1 Inputs for instruments classified in Level 1 include unadjusted quoted prices for identical assets in active markets accessible at the measurement date. Active markets provide pricing data for trades occurring at least weekly and include exchanges and dealer markets.

Level 2 Inputs for instruments classified in Level 2 include quoted prices for similar assets in active markets, quoted prices from those willing to trade in markets that are not active, or other inputs that are market observable or can be corroborated by market data for the term of the instrument. Such other inputs include market interest rates and volatilities, spreads and yield curves. An instrument is classified in Level 2 if the Company determines that unobservable inputs are insignificant. Level 2 assets primarily include corporate bonds valued using recent trades of similar securities or pricing models that discount future cash flows at estimated market interest rates.

Level 3 Certain inputs for instruments classified in Level 3 are unobservable (supported by little or no market activity) and significant to their resulting fair value measurement. Unobservable inputs reflect the Company's best estimate of what hypothetical market participants would use to determine a transaction price for the asset or liability at the reporting date.

1. Fair Value Measurements at Reporting Date – None
2. Fair Value Measurements in Level 3 of the Fair Value Hierarchy – None
3. Level 3 Transfers – None
4. Valuation Techniques and Inputs – No financial instruments at fair value

B. Other Fair Value Disclosures
The Company provides additional fair value information in Notes 1 and 5.

C. Aggregate Fair Value of All Financial Instruments
The following tables provide the fair value, carrying value, and classification in the fair value hierarchy of the Company's financial instruments as of March 31, 2017 and December 31, 2016.

| Financial Assets | Aggregate Fair Value | Admitted Assets | Quoted Prices in Active Markets for Identical Assets (Level 1) | Significant Other Observable Inputs (Level 2) | Significant Unobservable Inputs (Level 3) | Not Practicable (Carrying Value) |
|---|---|---|---|---|---|---|
| **March 31, 2017** | | | | | | |
| Bonds | $ 13,848,276 | $ 13,511,132 | $ 2,853,823 | $ 10,994,453 | $ - | $ - |
| Cash, Cash Equivalents, and Short-Term Investments | $ 1,653,786 | $ 1,653,786 | $ 285,284 | $ 1,368,502 | $ - | $ - |
| Total | $ 15,502,062 | $ 15,164,918 | $ 3,139,107 | $ 12,362,955 | $ - | $ - |
| **December 31, 2016** | | | | | | |
| Bonds | $ 13,826,894 | $ 13,534,917 | $ 2,845,773 | $ 10,981,121 | $ - | $ - |
| Cash, Cash Equivalents, and Short-Term Investments | $ 1,419,536 | $ 1,419,536 | $ 553,947 | $ 865,589 | $ - | $ - |
| Total | $ 15,246,430 | $ 14,954,453 | $ 3,399,720 | $ 11,846,710 | $ - | $ - |

The following valuation methodologies and significant assumptions are used by the Company to determine fair value for each instrument.

Bonds
The Company estimates fair values using prices from third parties or internal pricing methods. Fair value estimates received from third-party pricing services are based on reported trade activity and quoted market prices when available, and other market information that a market participant may use to estimate fair value. Such other inputs include market interest rates and volatilities, spreads, and yield curves. The internal pricing methods are performed by the Company's investment professionals and generally involve using discounted cash flow analyses, incorporating current market inputs for similar financial instruments with comparable terms and credit quality, as well as other qualitative factors. In instances where there is little or no market activity for the same or similar instruments, the fair value is estimated using methods, models, and assumptions that the Company believes a hypothetical market participant would use to determine a current transaction price.
Cash, Cash Equivalents, and Short-Term Investments
Short-term investments and cash equivalents are carried at fair value which approximates cost, and are classified in Level 2. Given the nature of cash, fair value approximates carrying value and is classified in Level 1.

D. Disclosures about Financial Instruments Not Practicable to Estimate Fair Value – None

**Note 21 - Other Items**

No significant changes.

**Note 22 - Events Subsequent**

# NOTES TO FINANCIAL STATEMENTS

The company made a dividend payment of $10,000,000 to Central Reserve Life Insurance Company ("CRLIC"), on May 3, 2017.

Management has evaluated the financial statements for subsequent events through May 12, 2017, the date the financial statements were available to be issued.

**Note 23 - Reinsurance**

No significant changes.

**Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination**

Not applicable.

**Note 25 - Change in Incurred Losses and Loss Adjustment Expenses**

Reserves as December 31, 2016 were $595,666. As of March 31, 2017 $500,953 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now $71,160 as a result of re-estimation of unpaid claims and claim adjustment expenses principally on Medicare Supplement. Therefore, there has been a $23,553 favorable prior year development since December 31, 2016 to March 31, 2017. The change is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.

**Note 26 - Intercompany Pooling Arrangements**

Not applicable.

**Note 27 - Structured Settlements**

Not applicable.

**Note 28 - Health Care Receivables**

Not applicable.

**Note 29 - Participating Policies**

Not applicable.

**Note 30 - Premium Deficiency Reserves**

Not applicable.

**Note 31 - Reserves for Life Contracts and Annuity Contracts**

No significant changes.

**Note 32 - Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics**

No significant changes.

**Note 33 - Premiums and Annuity Considerations Deferred and Uncollected**

No significant changes.

**Note 34 - Separate Accounts**

Not applicable.

**Note 35 - Loss/Claim Adjustment Expenses**

At March 31, 2017 and December 31, 2016, reserves for LAE totaled $18,474 and $17,284, respectively.

The Company incurred $22,505 and paid $39,789 of loss adjustment expenses in the current year of which $14,760 of the paid amount was attributable to insured events of prior years.

The Company did not materially increase or decrease the provision for LAE related to insured events of the prior year.

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [ X ]

1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [ X ]

2.2 If yes, date of change:

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? If yes, complete Schedule Y, Parts 1 and 1A. Yes [ X ] No [ ]

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [ X ]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [ X ]

4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

| 1<br>Name of Entity | 2<br>NAIC Company Code | 3<br>State of Domicile |
|---|---|---|
| | | |

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? If yes, attach an explanation. Yes [ ] No [X ] N/A [ ]

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2013

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2013

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 04/20/2015

6.4 By what department or departments?
Ohio Department of Insurance

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [ X ]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [ ] No [ ] N/A [ X ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [ X ]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [ ] No [ X ]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [ X ]

8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

| 1<br>Affiliate Name | 2<br>Location (City, State) | 3<br>FRB | 4<br>OCC | 5<br>FDIC | 6<br>SEC |
|---|---|---|---|---|---|
| | | | | | |

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [ X ] No [ ]

(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

(c) Compliance with applicable governmental laws, rules and regulations;

(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [ X ]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [ X ]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

### FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ X ] No [ ]

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: $ 0

## INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [ X ]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: $ 0

13. Amount of real estate and mortgages held in short-term investments: $ 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [ X ] No [ ]

14.2 If yes, please complete the following:

| | | 1<br>Prior Year End Book/Adjusted Carrying Value | 2<br>Current Quarter Book/Adjusted Carrying Value |
|---|---|---|---|
| 14.21 | Bonds | $ 0 | $ 0 |
| 14.22 | Preferred Stock | 0 | 0 |
| 14.23 | Common Stock | 2,945,946 | 3,119,771 |
| 14.24 | Short-Term Investments | 0 | 0 |
| 14.25 | Mortgage Loans on Real Estate | 0 | 0 |
| 14.26 | All Other | 0 | 0 |
| 14.27 | Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | $ 2,945,946 | $ 3,119,771 |
| 14.28 | Total Investment in Parent included in Lines 14.21 to 14.26 above | $ 0 | $ 0 |

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [ X ]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ]

If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: $ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: $ 0

16.3 Total payable for securities lending reported on the liability page: $ 0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [ X ] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

| 1<br>Name of Custodian(s) | 2<br>Custodian Address |
|---|---|
| JPMorgan Chase, N.A. | 4 Chase Metro Tech Center Brooklyn, NY 11245 |

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

| 1<br>Name(s) | 2<br>Location(s) | 3<br>Complete Explanation(s) |
|---|---|---|
| | | |

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [ X ]

17.4 If yes, give full and complete information relating thereto:

| 1<br>Old Custodian | 2<br>New Custodian | 3<br>Date of Change | 4<br>Reason |
|---|---|---|---|
| | | | |

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["…that have access to the investment accounts", "handle securities"].

| 1<br>Name of Firm or Individual | 2<br>Affiliation |
|---|---|
| Cigna Investments, Inc. | A |

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's assets? Yes [ ] No [ X ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes [ ] No [ X ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

| 1<br>Central Registration Depository Number | 2<br>Name of Firm or Individual | 3<br>Legal Entity Identifier (LEI) | 4<br>Registered With | 5<br>Investment Management Agreement (IMA) Filed |
|---|---|---|---|---|
| 105811 | Cigna Investments, Inc. | | SEC | DS |

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes [ X ] No [ ]

18.2 If no, list exceptions:

# GENERAL INTERROGATORIES (continued)

## PART 2 - LIFE & HEALTH

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories:

| | | Amount |
|---|---|---|
| 1.1 | Long-term mortgages in good standing | |
| 1.11 | Farm mortgages | $ |
| 1.12 | Residential mortgages | $ |
| 1.13 | Commercial mortgages | $ |
| 1.14 | Total mortgages in good standing | $ 0 |
| 1.2 | Long-term mortgages in good standing with restructured terms | |
| 1.21 | Total mortgages in good standing with restructured terms | $ |
| 1.3 | Long-term mortgage loans upon which interest is overdue more than three months | |
| 1.31 | Farm mortgages | $ |
| 1.32 | Residential mortgages | $ |
| 1.33 | Commercial mortgages | $ |
| 1.34 | Total mortgages with interest overdue more than three months | $ 0 |
| 1.4 | Long-term mortgage loans in process of foreclosure | |
| 1.41 | Farm mortgages | $ |
| 1.42 | Residential mortgages | $ |
| 1.43 | Commercial mortgages | $ |
| 1.44 | Total mortgages in process of foreclosure | $ 0 |
| 1.5 | Total mortgage loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2) | $ 0 |
| 1.6 | Long-term mortgages foreclosed, properties transferred to real estate in current quarter | |
| 1.61 | Farm mortgages | $ |
| 1.62 | Residential mortgages | $ |
| 1.63 | Commercial mortgages | $ |
| 1.64 | Total mortgages foreclosed and transferred to real estate | $ 0 |

| | | |
|---|---|---|
| 2. | Operating Percentages: | |
| 2.1 | A&H loss percent | 79.5 |
| 2.2 | A&H cost containment percent | 0.4 |
| 2.3 | A&H expense percent excluding cost containment expenses | 15.7 |

| | | | |
|---|---|---|---|
| 3.1 | Do you act as a custodian for health savings accounts? | Yes [ ] | No [ X ] |
| 3.2 | If yes, please provide the amount of custodial funds held as of the reporting date | $ | |
| 3.3 | Do you act as an administrator for health savings accounts? | Yes [ ] | No [ X ] |
| 3.4 | If yes, please provide the balance of the funds administered as of the reporting date | $ | |

# SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

| 1<br>NAIC Company Code | 2<br>ID Number | 3<br>Effective Date | 4<br>Name of Reinsurer | 5<br>Domiciliary Jurisdiction | 6<br>Type of Reinsurance Ceded | 7<br>Type of Reinsurer | 8<br>Certified Reinsurer Rating (1 through 6) | 9<br>Effective Date of Certified Reinsuer Rating |
|---|---|---|---|---|---|---|---|---|

# NONE

# SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

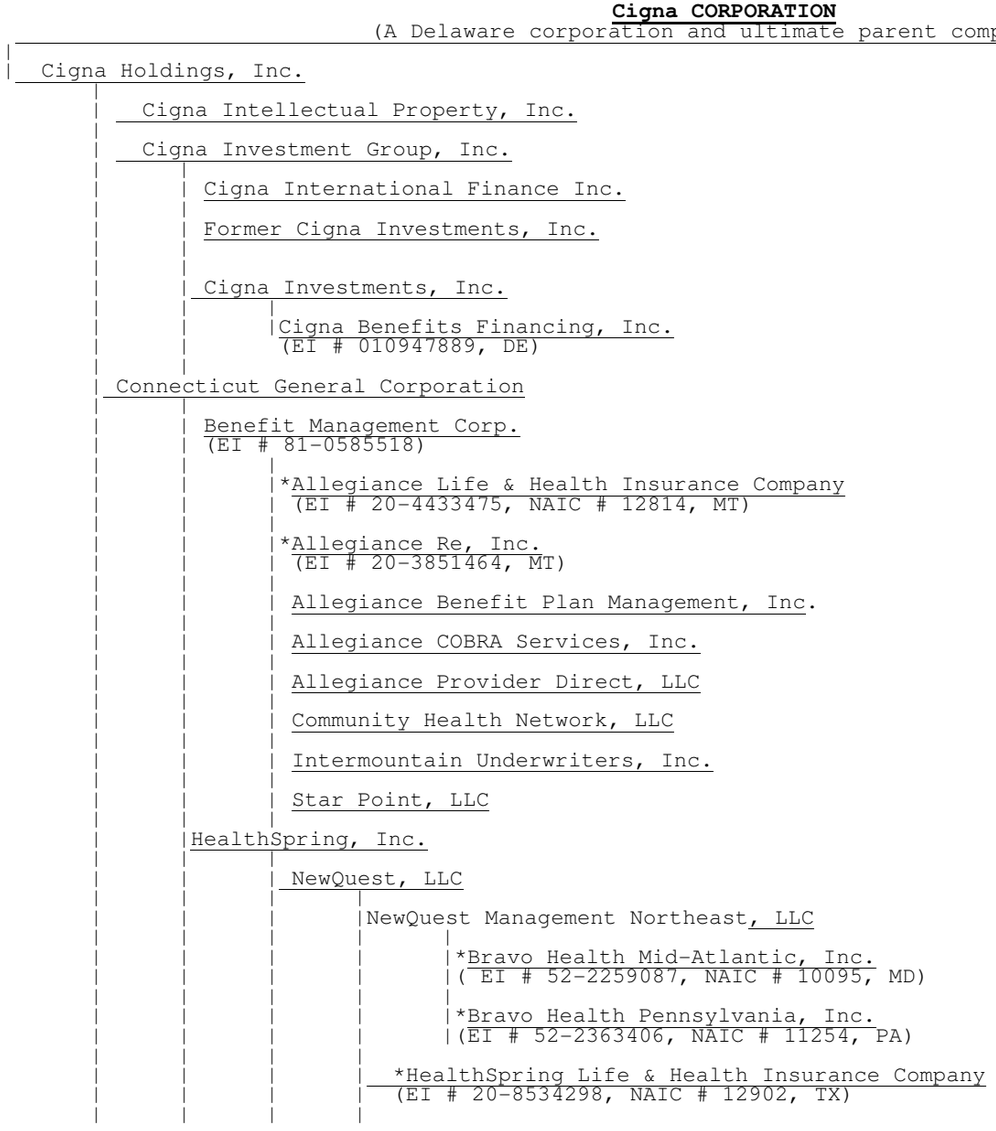
| States, Etc. | 1 Active Status | Direct Business Only | | | | | |
|---|---|---|---|---|---|---|---|
| | | Life Contracts | | 4 | 5 | 6 | 7 |
| | | 2 Life Insurance Premiums | 3 Annuity Considerations | A&H Insurance Premiums, Including Policy Membership and Other Fees | Other Considerations | Total Columns 2 through 5 | Deposit-Type Contracts |
| 1. Alabama AL | N | 2,795 | | 2,476 | | 5,271 | |
| 2. Alaska AK | L | - | | 1,794 | | 1,794 | |
| 3. Arizona AZ | L | 218 | | 8,569 | | 8,787 | |
| 4. Arkansas AR | L | - | | 4,302 | | 4,302 | |
| 5. California CA | L | 119 | | - | | 119 | |
| 6. Colorado CO | L | 433 | | 23,376 | | 23,809 | |
| 7. Connecticut CT | N | - | | - | | 0 | |
| 8. Delaware DE | L | - | | - | | 0 | |
| 9. District of Columbia DC | L | - | | - | | 0 | |
| 10. Florida FL | L | - | | 7,880 | | 7,880 | |
| 11. Georgia GA | L | 814 | | 10,023 | | 10,837 | |
| 12. Hawaii HI | L | - | | - | | 0 | |
| 13. Idaho ID | L | 199 | | 8,057 | | 8,256 | |
| 14. Illinois IL | L | 4,694 | | 50,716 | | 55,410 | |
| 15. Indiana IN | L | 920 | | 28,127 | | 29,047 | |
| 16. Iowa IA | L | 877 | | 88,684 | | 89,561 | |
| 17. Kansas KS | L | - | | 3,057 | | 3,057 | |
| 18. Kentucky KY | L | 3,601 | | 20,075 | | 23,676 | |
| 19. Louisiana LA | L | 790 | | 21,632 | | 22,422 | |
| 20. Maine ME | N | - | | - | | 0 | |
| 21. Maryland MD | L | - | | - | | 0 | |
| 22. Massachusetts MA | L | - | | 1,000 | | 1,000 | |
| 23. Michigan MI | N | 171 | | 790 | | 961 | |
| 24. Minnesota MN | N | - | | 1,005 | | 1,005 | |
| 25. Mississippi MS | L | 4,155 | | 54,717 | | 58,872 | |
| 26. Missouri MO | L | 1,737 | | 21,162 | | 22,899 | |
| 27. Montana MT | L | 599 | | 48,591 | | 49,190 | |
| 28. Nebraska NE | L | 729 | | 31,885 | | 32,614 | |
| 29. Nevada NV | L | - | | 1,382 | | 1,382 | |
| 30. New Hampshire NH | N | - | | - | | 0 | |
| 31. New Jersey NJ | N | - | | 1,901 | | 1,901 | |
| 32. New Mexico NM | N | 153 | | 2,028 | | 2,181 | |
| 33. New York NY | N | - | | 1,097 | | 1,097 | |
| 34. North Carolina NC | L | 1,027 | | 4,891 | | 5,918 | |
| 35. North Dakota ND | L | - | | 1,240 | | 1,240 | |
| 36. Ohio OH | L | 3,020 | | 62,483 | | 65,503 | |
| 37. Oklahoma OK | L | 12,208 | | 98,065 | | 110,273 | |
| 38. Oregon OR | L | 6,602 | | 92,148 | | 98,750 | |
| 39. Pennsylvania PA | L | 7,982 | | 7,811 | | 15,793 | |
| 40. Rhode Island RI | N | - | | - | | 0 | |
| 41. South Carolina SC | L | 21,130 | | 186,799 | | 207,929 | |
| 42. South Dakota SD | L | - | | 944 | | 944 | |
| 43. Tennessee TN | N | - | | 1,472 | | 1,472 | |
| 44. Texas TX | L | 94,195 | | 1,192,810 | | 1,287,005 | |
| 45. Utah UT | L | 4,088 | | 14,332 | | 18,420 | |
| 46. Vermont VT | L | - | | - | | 0 | |
| 47. Virginia VA | N | 274 | | 2,645 | | 2,919 | |
| 48. Washington WA | N | 78 | | 3,073 | | 3,151 | |
| 49. West Virginia WV | L | 1,793 | | 16,261 | | 18,054 | |
| 50. Wisconsin WI | L | 1,086 | | 1,747 | | 2,833 | |
| 51. Wyoming WY | L | - | | (752) | | (752) | |
| 52. American Samoa AS | N | - | | - | | 0 | |
| 53. Guam GU | N | - | | - | | 0 | |
| 54. Puerto Rico PR | N | | | | | 0 | |
| 55. US Virgin Islands VI | N | | | | | 0 | |
| 56. Northern Mariana Islands MP | N | | | | | 0 | |
| 57. Canada CAN | N | | | | | 0 | |
| 58. Aggregate Other Alien OT | XXX | 0 | 0 | 0 | 0 | 0 | 0 |
| 59. Subtotal | (a) 38 | 176,487 | 0 | 2,130,295 | 0 | 2,306,782 | 0 |
| 90. Reporting entity contributions for employee benefit plans | XXX | | | | | 0 | |
| 91. Dividends or refunds applied to purchase paid-up additions and annuities | XXX | | | | | 0 | |
| 92. Dividends or refunds applied to shorten endowment or premium paying period | XXX | | | | | 0 | |
| 93. Premium or annuity considerations waived under disability or other contract provisions | XXX | | | | | 0 | |
| 94. Aggregate other amounts not allocable by State | XXX | 0 | 0 | 0 | 0 | 0 | 0 |
| 95. Totals (Direct Business) | XXX | 176,487 | 0 | 2,130,295 | 0 | 2,306,782 | 0 |
| 96. Plus Reinsurance Assumed | XXX | | | | | 0 | |
| 97. Totals (All Business) | XXX | 176,487 | 0 | 2,130,295 | 0 | 2,306,782 | 0 |
| 98. Less Reinsurance Ceded | XXX | 178,955 | | 216,074 | | 395,029 | |
| 99. Totals (All Business) less Reinsurance Ceded | XXX | (2,468) | 0 | 1,914,221 | 0 | 1,911,753 | 0 |
| **DETAILS OF WRITE-INS** | | | | | | | |
| 58001. | XXX | | | | | 0 | |
| 58002. | XXX | | | | | 0 | |
| 58003. | XXX | | | | | 0 | |
| 58998. Summary of remaining write-ins for line 58 from overflow page | XXX | 0 | 0 | 0 | 0 | 0 | 0 |
| 58999. Total (Lines 58001 thru 58003 plus 58998) (Line 58 above) | XXX | 0 | 0 | 0 | 0 | 0 | 0 |
| 9401. | XXX | | | | | 0 | |
| 9402. | XXX | | | | | 0 | |
| 9403. | XXX | | | | | 0 | |
| 9498. Summary of remaining write-ins for line 94 from overflow page | XXX | 0 | 0 | 0 | 0 | 0 | 0 |
| 9499. Total (Lines 9401 thru 9403 plus 9498) (Line 94 above) | XXX | 0 | 0 | 0 | 0 | 0 | 0 |

(L) - Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) - Registered - Non-domiciled RRGs; (Q) - Qualified - Qualified or Accredited Reinsurer;
(E) - Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) - None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

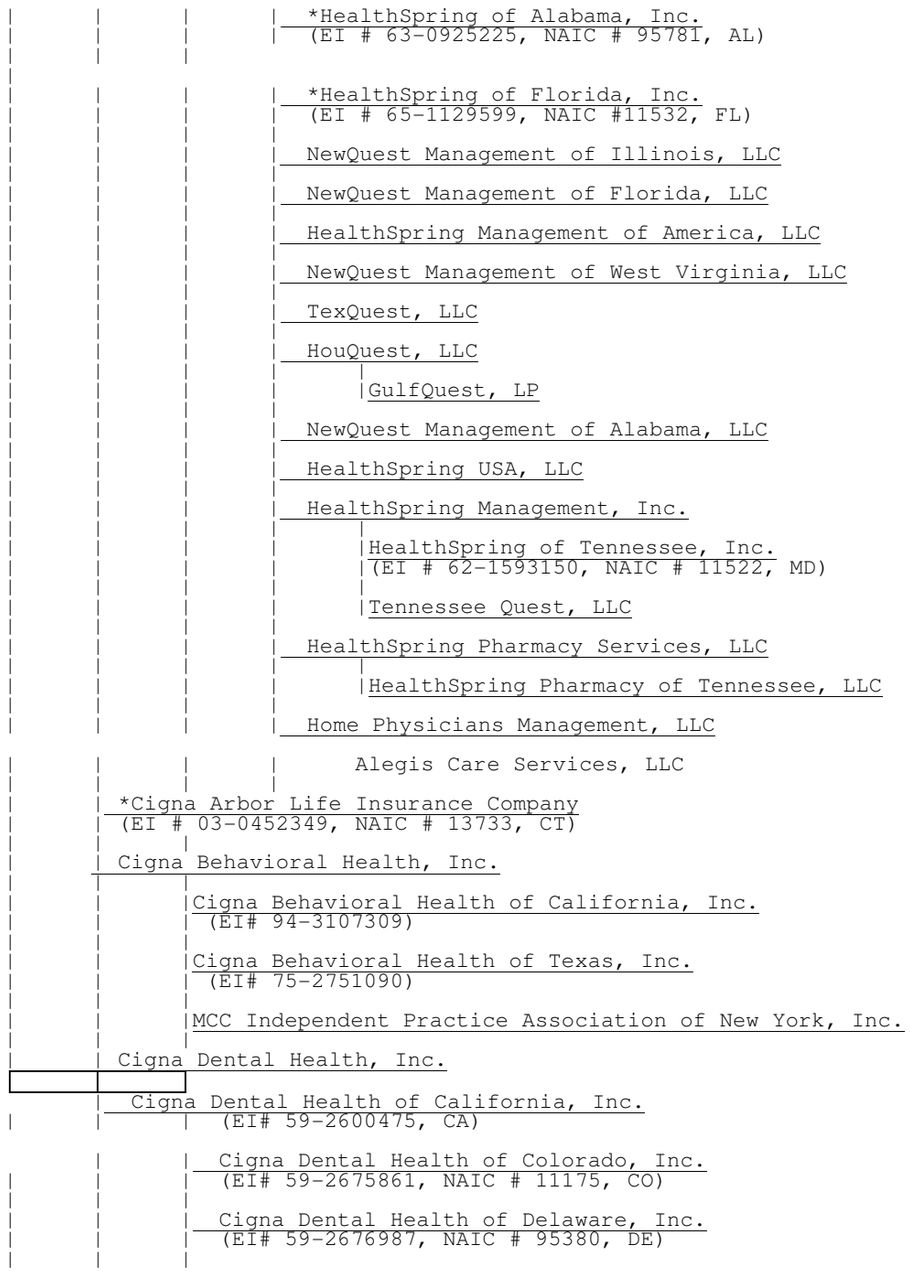
## PART 1 -- ORGANIZATION CHART

The following is a listing identifying and indicating the interrelationships among all affiliated insurers (identified by an asterisk, and if such insurer is incorporated in the United States of America, by a Federal Employer Identification Number, NAIC Company Code and Jurisdiction of Incorporation) and all other affiliates, as of March 31, 2017:

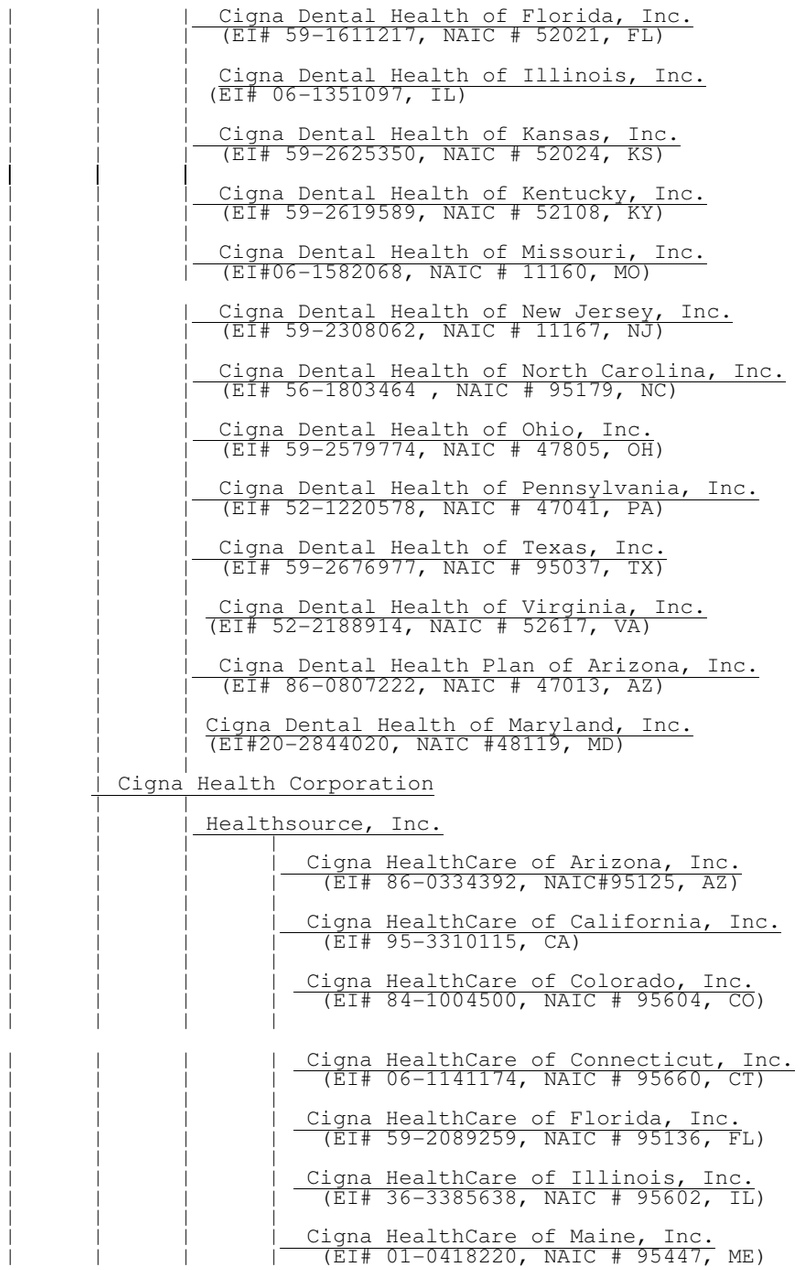



Q12

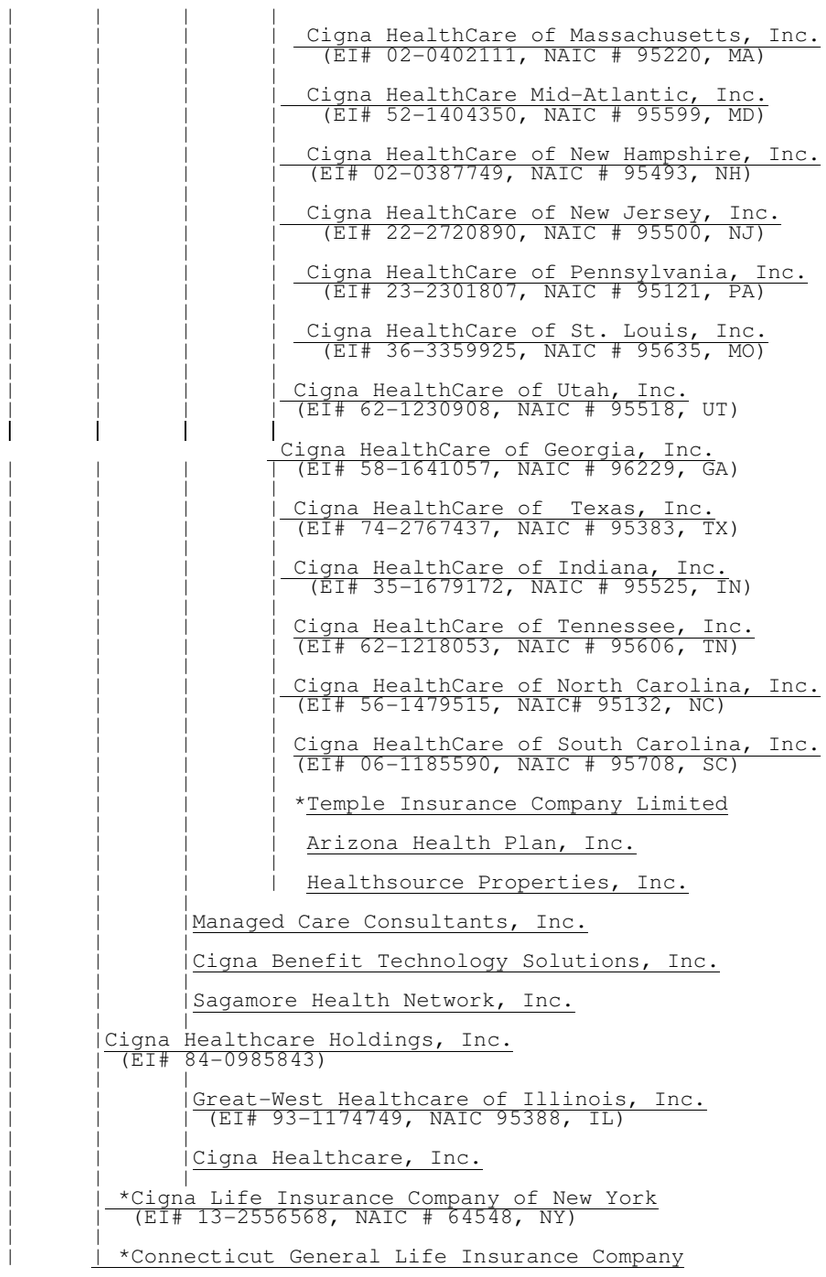
Q12.1


*HealthSpring of Alabama, Inc.
(EI # 63-0925225, NAIC # 95781, AL)
*HealthSpring of Florida, Inc.
(EI # 65-1129599, NAIC #11532, FL)
NewQuest Management of Illinois, LLC
NewQuest Management of Florida, LLC
HealthSpring Management of America, LLC
NewQuest Management of West Virginia, LLC
TexQuest, LLC
HouQuest, LLC
GulfQuest, LP
NewQuest Management of Alabama, LLC
HealthSpring USA, LLC
HealthSpring Management, Inc.
HealthSpring of Tennessee, Inc.
(EI # 62-1593150, NAIC # 11522, MD)
Tennessee Quest, LLC
HealthSpring Pharmacy Services, LLC
HealthSpring Pharmacy of Tennessee, LLC
Home Physicians Management, LLC
Alegis Care Services, LLC
*Cigna Arbor Life Insurance Company
(EI # 03-0452349, NAIC # 13733, CT)
Cigna Behavioral Health, Inc.
Cigna Behavioral Health of California, Inc.
(EI# 94-3107309)
Cigna Behavioral Health of Texas, Inc.
(EI# 75-2751090)
MCC Independent Practice Association of New York, Inc.
Cigna Dental Health, Inc.
Cigna Dental Health of California, Inc.
(EI# 59-2600475, CA)
Cigna Dental Health of Colorado, Inc.
(EI# 59-2675861, NAIC # 11175, CO)
Cigna Dental Health of Delaware, Inc.
(EI# 59-2676987, NAIC # 95380, DE)

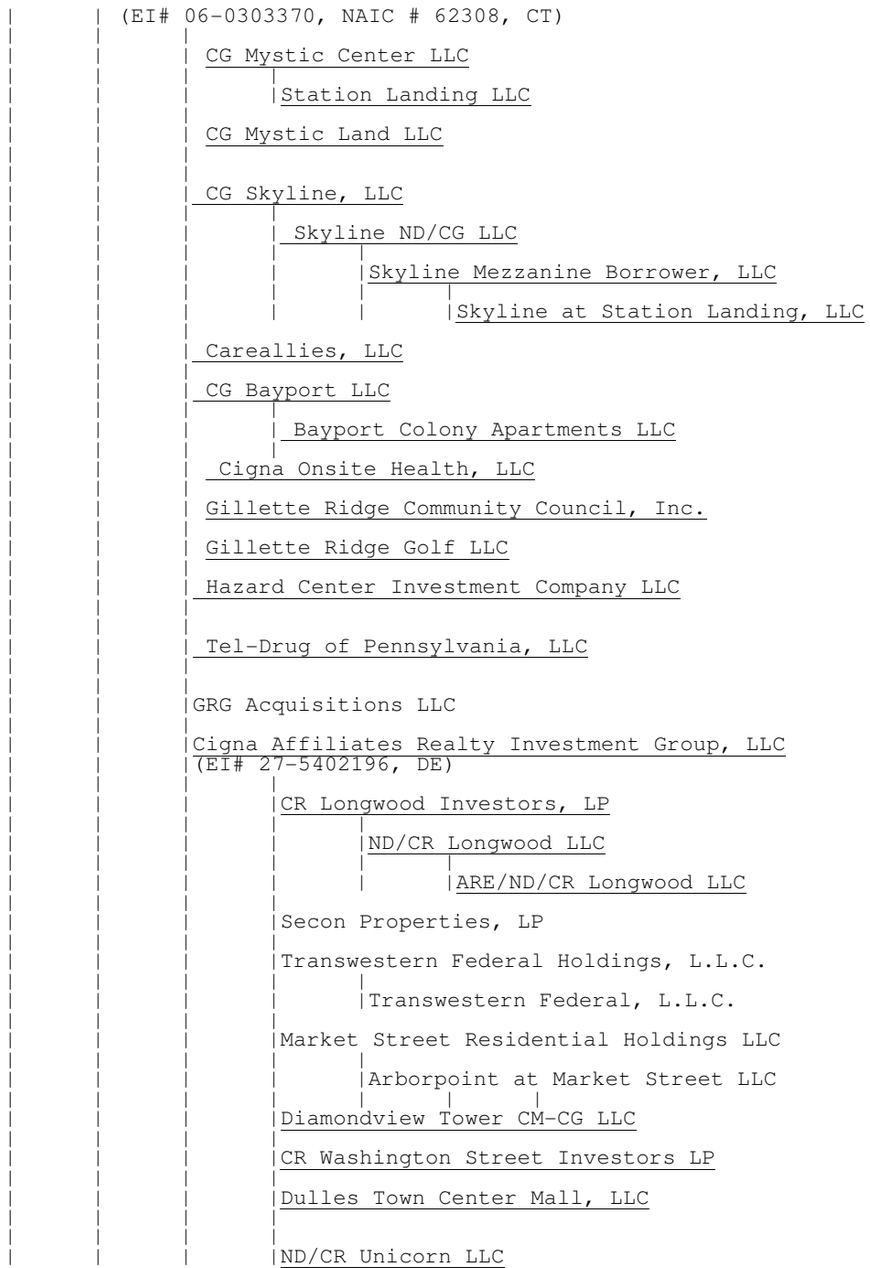
Q12.2


Cigna Dental Health of Florida, Inc.
(EI# 59-1611217, NAIC # 52021, FL)
Cigna Dental Health of Illinois, Inc.
(EI# 06-1351097, IL)
Cigna Dental Health of Kansas, Inc.
(EI# 59-2625350, NAIC # 52024, KS)
Cigna Dental Health of Kentucky, Inc.
(EI# 59-2619589, NAIC # 52108, KY)
Cigna Dental Health of Missouri, Inc.
(EI#06-1582068, NAIC # 11160, MO)
Cigna Dental Health of New Jersey, Inc.
(EI# 59-2308062, NAIC # 11167, NJ)
Cigna Dental Health of North Carolina, Inc.
(EI# 56-1803464 , NAIC # 95179, NC)
Cigna Dental Health of Ohio, Inc.
(EI# 59-2579774, NAIC # 47805, OH)
Cigna Dental Health of Pennsylvania, Inc.
(EI# 52-1220578, NAIC # 47041, PA)
Cigna Dental Health of Texas, Inc.
(EI# 59-2676977, NAIC # 95037, TX)
Cigna Dental Health of Virginia, Inc.
(EI# 52-2188914, NAIC # 52617, VA)
Cigna Dental Health Plan of Arizona, Inc.
(EI# 86-0807222, NAIC # 47013, AZ)
Cigna Dental Health of Maryland, Inc.
(EI#20-2844020, NAIC #48119, MD)
Cigna Health Corporation
Healthsource, Inc.
Cigna HealthCare of Arizona, Inc.
(EI# 86-0334392, NAIC#95125, AZ)
Cigna HealthCare of California, Inc.
(EI# 95-3310115, CA)
Cigna HealthCare of Colorado, Inc.
(EI# 84-1004500, NAIC # 95604, CO)
Cigna HealthCare of Connecticut, Inc.
(EI# 06-1141174, NAIC # 95660, CT)
Cigna HealthCare of Florida, Inc.
(EI# 59-2089259, NAIC # 95136, FL)
Cigna HealthCare of Illinois, Inc.
(EI# 36-3385638, NAIC # 95602, IL)
Cigna HealthCare of Maine, Inc.
(EI# 01-0418220, NAIC # 95447, ME)

Q12.3


Cigna HealthCare of Massachusetts, Inc.
(EI# 02-0402111, NAIC # 95220, MA)
Cigna HealthCare Mid-Atlantic, Inc.
(EI# 52-1404350, NAIC # 95599, MD)
Cigna HealthCare of New Hampshire, Inc.
(EI# 02-0387749, NAIC # 95493, NH)
Cigna HealthCare of New Jersey, Inc.
(EI# 22-2720890, NAIC # 95500, NJ)
Cigna HealthCare of Pennsylvania, Inc.
(EI# 23-2301807, NAIC # 95121, PA)
Cigna HealthCare of St. Louis, Inc.
(EI# 36-3359925, NAIC # 95635, MO)
Cigna HealthCare of Utah, Inc.
(EI# 62-1230908, NAIC # 95518, UT)
Cigna HealthCare of Georgia, Inc.
(EI# 58-1641057, NAIC # 96229, GA)
Cigna HealthCare of Texas, Inc.
(EI# 74-2767437, NAIC # 95383, TX)
Cigna HealthCare of Indiana, Inc.
(EI# 35-1679172, NAIC # 95525, IN)
Cigna HealthCare of Tennessee, Inc.
(EI# 62-1218053, NAIC # 95606, TN)
Cigna HealthCare of North Carolina, Inc.
(EI# 56-1479515, NAIC# 95132, NC)
Cigna HealthCare of South Carolina, Inc.
(EI# 06-1185590, NAIC # 95708, SC)
*Temple Insurance Company Limited
Arizona Health Plan, Inc.
Healthsource Properties, Inc.
Managed Care Consultants, Inc.
Cigna Benefit Technology Solutions, Inc.
Sagamore Health Network, Inc.
Cigna Healthcare Holdings, Inc.
(EI# 84-0985843)
Great-West Healthcare of Illinois, Inc.
(EI# 93-1174749, NAIC 95388, IL)
Cigna Healthcare, Inc.
*Cigna Life Insurance Company of New York
(EI# 13-2556568, NAIC # 64548, NY)
*Connecticut General Life Insurance Company

Q12.4

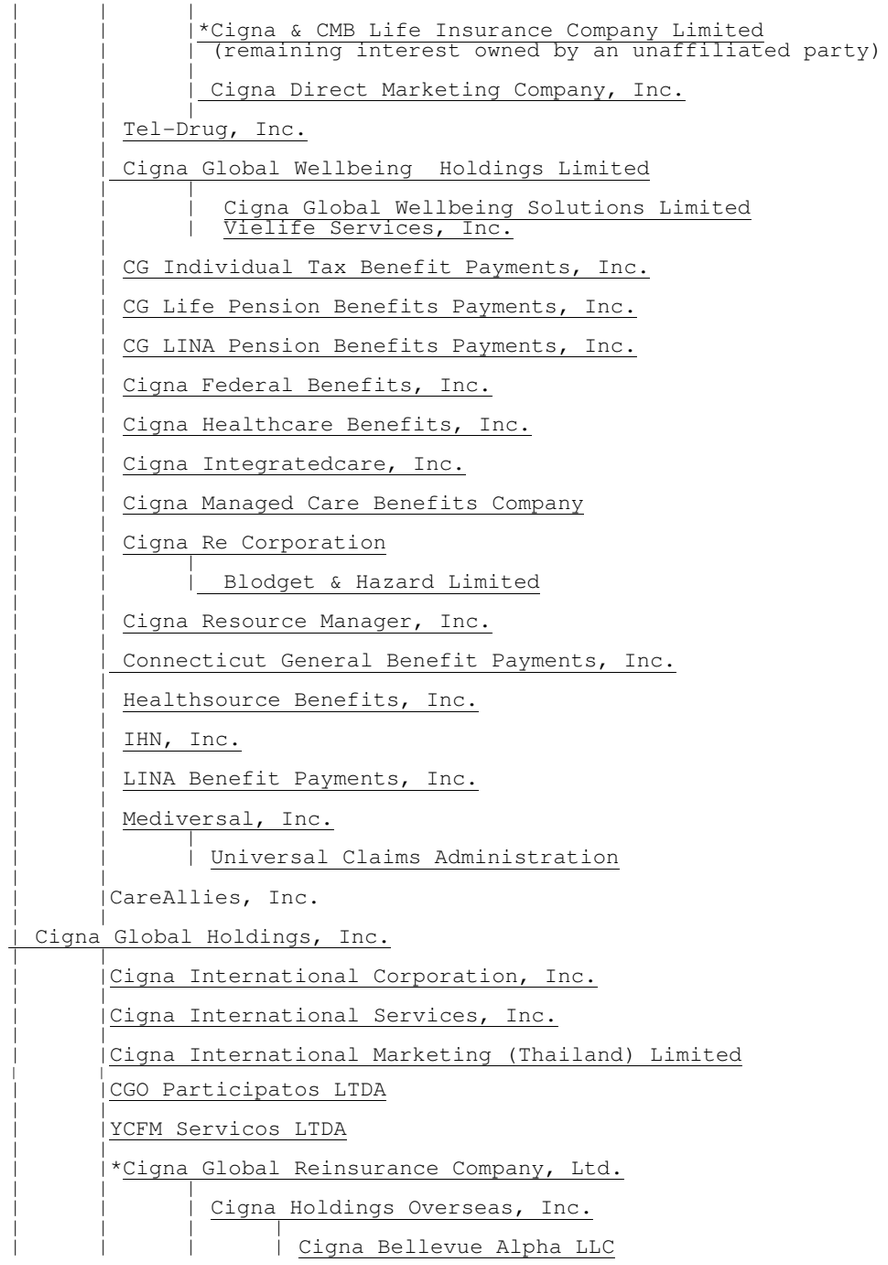

(EI# 06-0303370, NAIC # 62308, CT)
CG Mystic Center LLC
Station Landing LLC
CG Mystic Land LLC
CG Skyline, LLC
Skyline ND/CG LLC
Skyline Mezzanine Borrower, LLC
Skyline at Station Landing, LLC
Careallies, LLC
CG Bayport LLC
Bayport Colony Apartments LLC
Cigna Onsite Health, LLC
Gillette Ridge Community Council, Inc.
Gillette Ridge Golf LLC
Hazard Center Investment Company LLC
Tel-Drug of Pennsylvania, LLC
GRG Acquisitions LLC
Cigna Affiliates Realty Investment Group, LLC
(EI# 27-5402196, DE)
CR Longwood Investors, LP
ND/CR Longwood LLC
ARE/ND/CR Longwood LLC
Secon Properties, LP
Transwestern Federal Holdings, L.L.C.
Transwestern Federal, L.L.C.
Market Street Residential Holdings LLC
Arborpoint at Market Street LLC
Diamondview Tower CM-CG LLC
CR Washington Street Investors LP
Dulles Town Center Mall, LLC
ND/CR Unicorn LLC

Q12.5

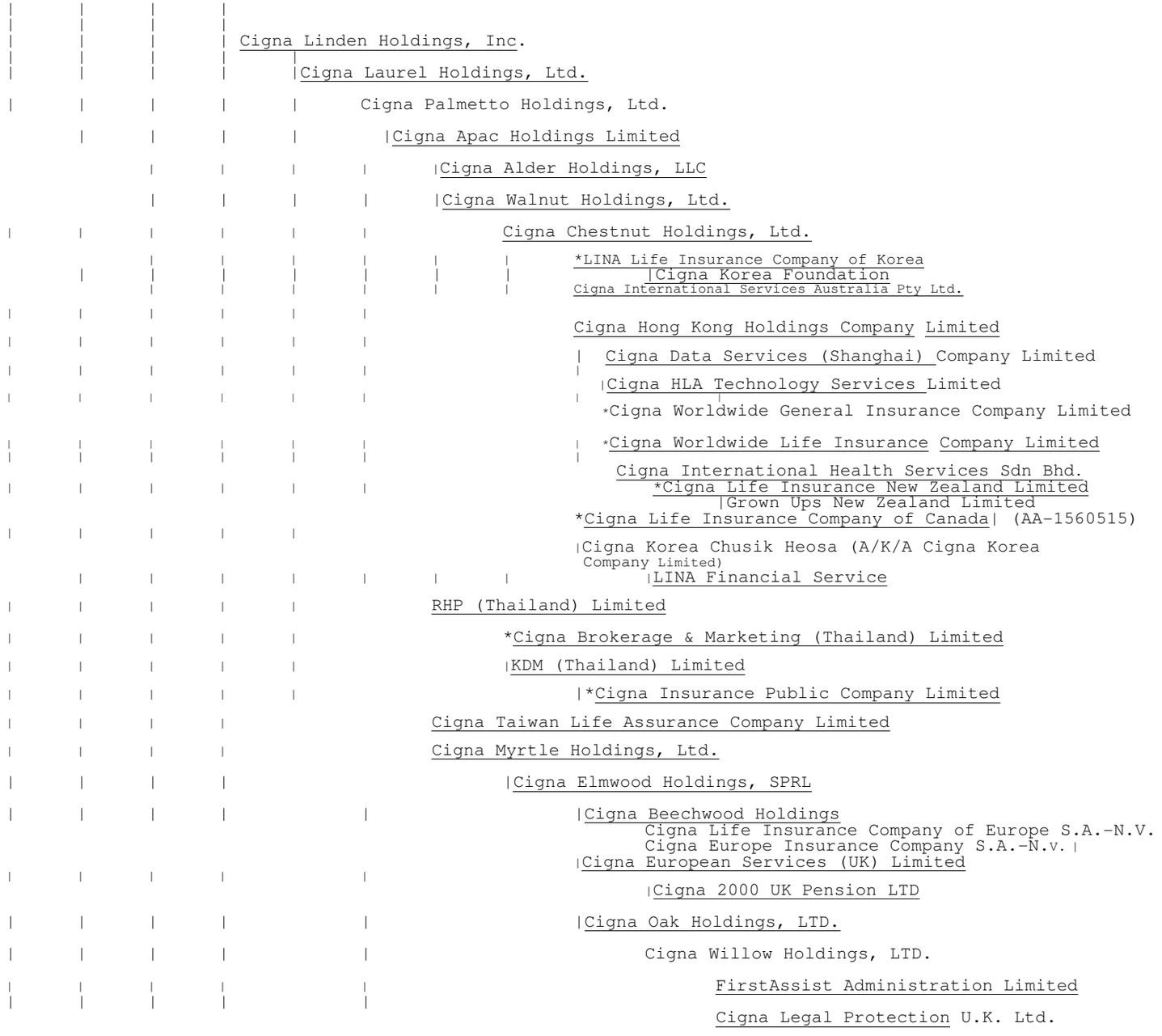
- Union Wharf Apartments LLC
- AMD Apartments Limited Partnership
- SP Newport Crossing LLC
- PUR Arbors Apartments Venture LLC
- CG Seventh Street, LLC
- Ideal Properties II LLC
- Alessandro Partners, LLC
- Mallory Square Partners I, LLC
- Houston Briar Forest Apartments Limited Partnership
- Newtown Partners II, LP
- Newtown Square GP LLC
- AFA Apartments Limited Partnership
- SB-SNH LLC
  - 680 Investors LLC
  - 685 New Hampshire LLC
- CGGL 18301 LLC
- 222 Main Street Caring GP LLC
- 222 Main Street Investors LP
- Notch 8 Residential, L.L.C.
- UVL, LLC
- 3601 North Fairfax Drive Associates, LLC
- CI Perris 151, LLC
- Lakehills CM - CG LLC
- Affiliated Hotel Subsidiary LLC
- CGGL 6280 LLC
- Berewick Apartments LLC
- CIG-LEI Ygnacio Associates LLC
- CGGL Orange Collection LLC
  - CGGL Chapman LLC
  - CGGL City Parkway LLC
- Heights at Bear Creek Venture LLC

Q12.6

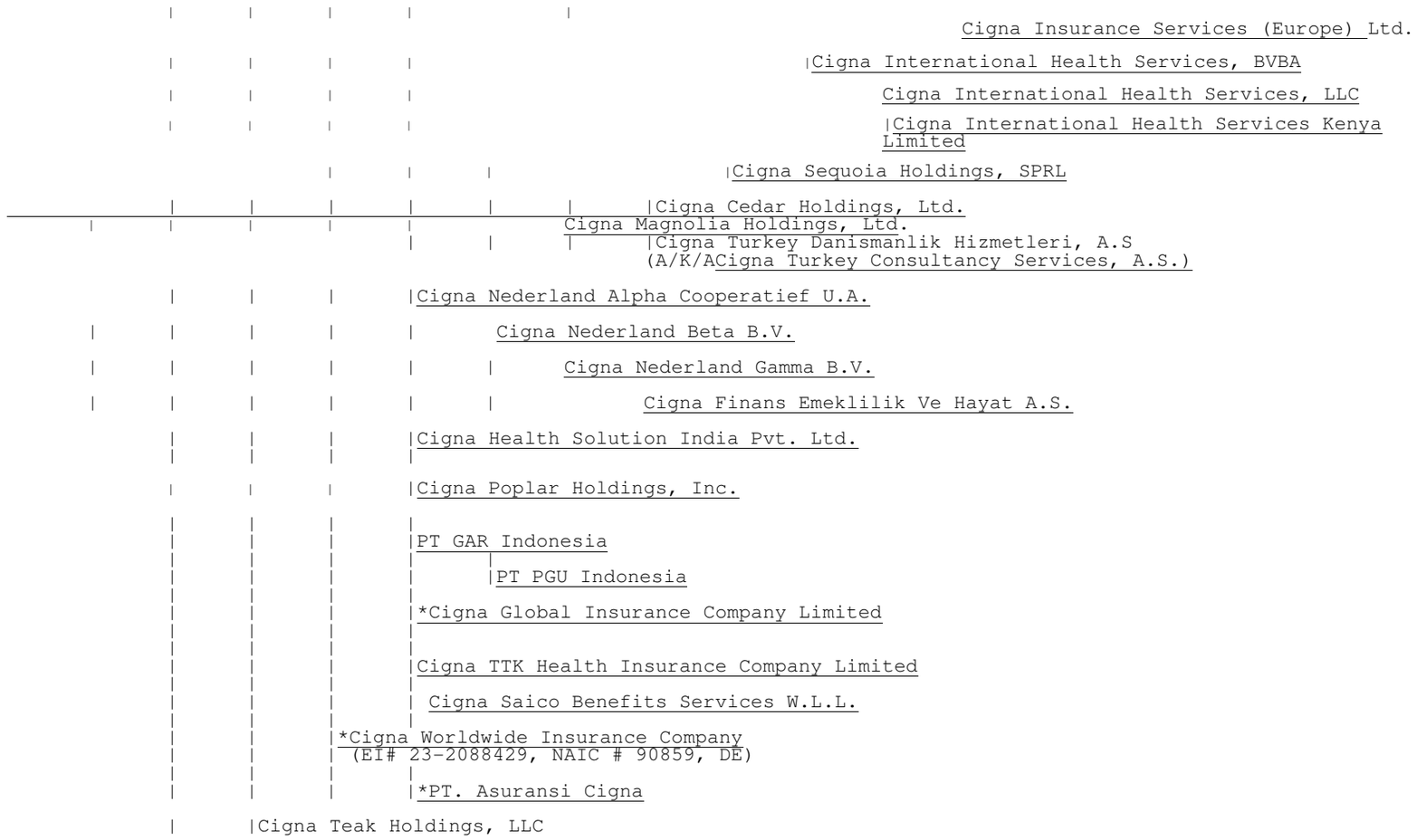
CORAC LLC
Bridgepoint Office Park Associates, LLC
(EI# 27-3923999, DE)
Fairway Center Associates, LLC
(EI# 27-3126102, DE)
Henry on the Park Associates, LLC
(EI 27-3582688, DE)
*Cigna Health and Life Insurance Company
(EI # 59-1031071, NAIC # 67369, CT)
CarePlexus, LLC
(EI# 45-2681649; DE)
Cigna Corporate Services, LLC
(EI 27-3396038, DE)
Cigna Insurance Agency, LLC
(EI # 27-1903785, CT)
Ceres Sales of Ohio, LLC
(EI # 34-1970892, OH)
Central Reserve Life Insurance Company
(EI # 34-0970995, NAIC # 61727, OH)
Provident American Life & Health Insurance Company
(EI # 23-1335885, NAIC # 67903, OH)
United Benefit Life Insurance Company
(EI # 75-2305400, NAIC # 65269, OH)
Loyal American Life Insurance Company
(EI # 63-0343428, NAIC # 65722, OH)
American Retirement Life Insurance Company
(EI # 59-2760189, NAIC # 88366, OH)
QualCare Alliance Networks, Inc.
QualCare, Inc.
Scibal Associates, Inc.
QualCare Captive Insurance Company Inc., PCC
QualCare Management Resources Limited Liability Company
Health-Lynx, LLC
Sterling Life Insurance Company
(EI # 13-1867829. NAIC # 77399.IL)
Olympic Health Management Systems, Inc.
Olympic Health Management Services, Inc.
WorldDoc, Inc.
Cigna Health Management, Inc.
(EI# 23-1728483, DE)
Kronos Optimal Health Company
(20-8064696, AZ)
*Life Insurance Company of North America
(EI# 23-1503749, NAIC # 65498, PA)


*Cigna & CMB Life Insurance Company Limited
(remaining interest owned by an unaffiliated party)
Cigna Direct Marketing Company, Inc.
Tel-Drug, Inc.
Cigna Global Wellbeing Holdings Limited
Cigna Global Wellbeing Solutions Limited
Vielife Services, Inc.
CG Individual Tax Benefit Payments, Inc.
CG Life Pension Benefits Payments, Inc.
CG LINA Pension Benefits Payments, Inc.
Cigna Federal Benefits, Inc.
Cigna Healthcare Benefits, Inc.
Cigna Integratedcare, Inc.
Cigna Managed Care Benefits Company
Cigna Re Corporation
Blodget & Hazard Limited
Cigna Resource Manager, Inc.
Connecticut General Benefit Payments, Inc.
Healthsource Benefits, Inc.
IHN, Inc.
LINA Benefit Payments, Inc.
Mediversal, Inc.
Universal Claims Administration
CareAllies, Inc.
Cigna Global Holdings, Inc.
Cigna International Corporation, Inc.
Cigna International Services, Inc.
Cigna International Marketing (Thailand) Limited
CGO Participatos LTDA
YCFM Servicos LTDA
*Cigna Global Reinsurance Company, Ltd.
Cigna Holdings Overseas, Inc.
Cigna Bellevue Alpha LLC

Q12.7


Cigna Linden Holdings, Inc.
Cigna Laurel Holdings, Ltd.
Cigna Palmetto Holdings, Ltd.
Cigna Apac Holdings Limited
Cigna Alder Holdings, LLC
Cigna Walnut Holdings, Ltd.
Cigna Chestnut Holdings, Ltd.
*LINA Life Insurance Company of Korea
Cigna Korea Foundation
Cigna International Services Australia Pty Ltd.
Cigna Hong Kong Holdings Company Limited
Cigna Data Services (Shanghai) Company Limited
Cigna HLA Technology Services Limited
*Cigna Worldwide General Insurance Company Limited
*Cigna Worldwide Life Insurance Company Limited
Cigna International Health Services Sdn Bhd.
*Cigna Life Insurance New Zealand Limited
Grown Ups New Zealand Limited
*Cigna Life Insurance Company of Canada (AA-1560515)
Cigna Korea Chusik Heosa (A/K/A Cigna Korea Company Limited)
LINA Financial Service
RHP (Thailand) Limited
*Cigna Brokerage & Marketing (Thailand) Limited
KDM (Thailand) Limited
*Cigna Insurance Public Company Limited
Cigna Taiwan Life Assurance Company Limited
Cigna Myrtle Holdings, Ltd.
Cigna Elmwood Holdings, SPRL
Cigna Beechwood Holdings
Cigna Life Insurance Company of Europe S.A.-N.V.
Cigna Europe Insurance Company S.A.-N.V.
Cigna European Services (UK) Limited
Cigna 2000 UK Pension LTD
Cigna Oak Holdings, LTD.
Cigna Willow Holdings, LTD.
FirstAssist Administration Limited
Cigna Legal Protection U.K. Ltd.

Q12.8


Cigna Insurance Services (Europe) Ltd.
Cigna International Health Services, BVBA
Cigna International Health Services, LLC
Cigna International Health Services Kenya Limited
Cigna Sequoia Holdings, SPRL
Cigna Cedar Holdings, Ltd.
Cigna Magnolia Holdings, Ltd.
Cigna Turkey Danismanlik Hizmetleri, A.S
(A/K/ACigna Turkey Consultancy Services, A.S.)
Cigna Nederland Alpha Cooperatief U.A.
Cigna Nederland Beta B.V.
Cigna Nederland Gamma B.V.
Cigna Finans Emeklilik Ve Hayat A.S.
Cigna Health Solution India Pvt. Ltd.
Cigna Poplar Holdings, Inc.
PT GAR Indonesia
PT PGU Indonesia
*Cigna Global Insurance Company Limited
Cigna TTK Health Insurance Company Limited
Cigna Saico Benefits Services W.L.L.
*Cigna Worldwide Insurance Company
(EI# 23-2088429, NAIC # 90859, DE)
*PT. Asuransi Cigna
Cigna Teak Holdings, LLC

Q12.9

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **Members** | | | | | | | | | | | | | | | |
| 0901 | Cigna Group | | 06-1059331 | 1591167 | 701221 | US | Cigna Corporation | DE | UIP | Cigna Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 06-1072796 | 1591167 | 701221 | | Cigna Holdings, Inc. | DE | UIP | Cigna Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 51-0402128 | 1591167 | 701221 | | Cigna Intellectual Property, Inc. | DE | NIA | Cigna Holdings, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 06-1095823 | 1591167 | 701221 | | Cigna Investment Group, Inc. | DE | NIA | Cigna Holdings, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 52-0291385 | 1591167 | 701221 | | Cigna International Finance, Inc. | DE | NIA | Cigna Investment Group, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 23-1914061 | 1591167 | 701221 | | Former Cigna Investments, Inc | DE | NIA | Cigna Investment Group, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 06-0861092 | 1591167 | 701221 | | Cigna Investments, Inc. | DE | NIA | Cigna Investment Group, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 01-0947889 | 1591167 | 701221 | | Cigna Benefits Financing, Inc. | DE | NIA | Cigna Investments, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 06-0840391 | 1591167 | 701221 | | Connecticut General Corporation | CT | UIP | Cigna Holdings, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 81-0585518 | 1591167 | 701221 | | Benefit Management Corp | MT | NIA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 12814 | 20-4433475 | 1591167 | 701221 | | Allegiance Life & Health Insurance Company | MT | IA | Benefit Management Corp | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 20-3851464 | 1591167 | 701221 | | Allegiance Re, Inc. | MT | IA | Benefit Management Corp | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 81-0400550 | 1591167 | 701221 | | Allegiance Benefit Plan Management, Inc. | MT | NIA | Benefit Management Corp | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 71-0916514 | 1591167 | 701221 | | Allegiance COBRA Services, Inc. | MT | NIA | Benefit Management Corp | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Allegiance Provider Direct, LLC | MT | NIA | Benefit Management Corp | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Community Health Network, LLC | MT | NIA | Benefit Management Corp | Ownership | 50.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 81-0425785 | 1591167 | 701221 | | Intermountain Underwriters, Inc. | MT | NIA | Benefit Management Corp | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Star Point, LLC | MT | NIA | Benefit Management Corp | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 20-1821898 | 1591167 | 701221 | | HealthSpring, Inc. | DE | NIA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 76-0628370 | 1591167 | 701221 | | NewQuest, LLC | TX | NIA | HealthSpring, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 52-1929677 | 1591167 | 701221 | | NewQuest Management Northeast, LLC | DE | NIA | NewQuest, LLC | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 10095 | 52-2259087 | 1591167 | 701221 | | Bravo Health Mid-Atlantic, Inc. | MD | IA | NewQuest Management Northeast, LLC | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 11254 | 52-2363406 | 1591167 | 701221 | | Bravo Health Pennsylvania, Inc. | PA | IA | NewQuest Management Northeast, LLC | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 12902 | 20-8534298 | 1591167 | 701221 | | HealthSpring Life & Health Insurance Company, Inc. | TX | IA | NewQuest, LLC | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 95781 | 63-0925225 | 1591167 | 701221 | | HealthSpring of Alabama, Inc. | AL | IA | NewQuest, LLC | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 11532 | 65-1129599 | 1591167 | 701221 | | HealthSpring of Florida, Inc. | FL | IA | NewQuest, LLC | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 77-0632665 | 1591167 | 701221 | | NewQuest Management of Illinois, LLC | IL | NIA | NewQuest, LLC | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 20-4954206 | 1591167 | 701221 | | NewQuest Management of Florida, LLC | GA | NIA | NewQuest, LLC | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 20-8647386 | 1591167 | 701221 | | HealthSpring Management of America, LLC | DE | NIA | NewQuest, LLC | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 45-0633893 | 1591167 | 701221 | | NewQuest Management of West Virginia, LLC | DE | NIA | NewQuest, LLC | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 75-3108527 | 1591167 | 701221 | | TexQuest, LLC | DE | NIA | NewQuest, LLC | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 75-3108521 | 1591167 | 701221 | | HouQuest, LLC | DE | NIA | NewQuest, LLC | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 76-0657035 | 1591167 | 701221 | | GulfQuest, LP | TX | NIA | HouQuest, LLC | Ownership | 99.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 33-1033586 | 1591167 | 701221 | | NewQuest Management of Alabama, LLC | AL | NIA | NewQuest, LLC | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 72-1559530 | 1591167 | 701221 | | HealthSpring USA, LLC | TN | NIA | NewQuest, LLC | Ownership | 100.000 | Cigna Corporation | N | |

Q13

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0901 | Cigna Group | | 62-1540621.. | 1591167 | 701221 | | HealthSpring Management, Inc. | TN | NIA | NewQuest, LLC | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 11522 | 62-1593150.. | 1591167 | 701221 | | HealthSpring of Tennessee, Inc. | TN | IA | HealthSpring Management, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 20-5524622.. | 1591167 | 701221 | | Tennessee Quest, LLC | TN | NIA | HealthSpring Management, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 26-2353476.. | 1591167 | 701221 | | HealthSpring Pharmacy Services, LLC | DE | NIA | NewQuest, LLC | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 26-2353772.. | 1591167 | 701221 | | HealthSpring Pharmacy of Tennessee, LLC | DE | NIA | HealthSpring Pharmacy Services, LLC | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 20-4266628.. | | | | Home Physicians Management, LLC | DE | NIA | NewQuest, LLC | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 35-2562415.. | | | | Alegis Care Services, LLC | DE | NIA | Home Physicians Management, LLC | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 13733 | 03-0452349.. | 1591167 | 701221 | | Cigna Arbor Life Insurance Company | CT | IA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 41-1648670.. | 1591167 | 701221 | | Cigna Behavioral Health, Inc. | MN | NIA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 94-3107309.. | 1591167 | 701221 | | Cigna Behavioral Health of California, Inc. | CA | NIA | Cigna Behavioral Health, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 75-2751090.. | 1591167 | 701221 | | Cigna Behavioral Health of Texas, Inc. | TX | NIA | Cigna Behavioral Health, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 06-1346406.. | 1591167 | 701221 | | MCC Independent Practice Association of New York, Inc. | NY | NIA | Cigna Behavioral Health, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 59-2308055.. | 1591167 | 701221 | | Cigna Dental Health, Inc. | FL | NIA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 59-2600475.. | 1591167 | 701221 | | Cigna Dental Health Of California, Inc. | CA | IA | Cigna Dental Health, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 11175 | 59-2675861.. | 1591167 | 701221 | | Cigna Dental Health Of Colorado, Inc. | CO | IA | Cigna Dental Health, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 95380 | 59-2676987.. | 1591167 | 701221 | | Cigna Dental Health Of Delaware, Inc. | DE | IA | Cigna Dental Health, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 52021 | 59-1611217.. | 1591167 | 701221 | | Cigna Dental Health Of Florida, Inc. | FL | IA | Cigna Dental Health, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 06-1351097.. | 1591167 | 701221 | | Cigna Dental Health of Illinois, Inc. | IL | NIA | Cigna Dental Health, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 52024 | 59-2625350.. | 1591167 | 701221 | | Cigna Dental Health Of Kansas, Inc. | KS | IA | Cigna Dental Health, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 52108 | 59-2619589.. | 1591167 | 701221 | | Cigna Dental Health Of Kentucky, Inc. | KY | IA | Cigna Dental Health, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 11160 | 06-1582068.. | 1591167 | 701221 | | Cigna Dental Health Of Missouri, Inc. | MO | IA | Cigna Dental Health, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 11167 | 59-2308062.. | 1591167 | 701221 | | Cigna Dental Health Of New Jersey, Inc. | NJ | IA | Cigna Dental Health, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 95179 | 56-1803464.. | 1591167 | 701221 | | Cigna Dental Health Of North Carolina, Inc. | NC | IA | Cigna Dental Health, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 47805 | 59-2579774.. | 1591167 | 701221 | | Cigna Dental Health Of Ohio, Inc. | OH | IA | Cigna Dental Health, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 47041 | 52-1220578.. | 1591167 | 701221 | | Cigna Dental Health Of Pennsylvania, Inc. | PA | IA | Cigna Dental Health, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 95037 | 59-2676977.. | 1591167 | 701221 | | Cigna Dental Health Of Texas, Inc. | TX | IA | Cigna Dental Health, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 52617 | 52-2188914.. | 1591167 | 701221 | | Cigna Dental Health Of Virginia, Inc. | VA | IA | Cigna Dental Health, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 47013 | 86-0807222.. | 1591167 | 701221 | | Cigna Dental Health Plan Of Arizona, Inc. | AZ | IA | Cigna Dental Health, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 48119 | 59-2740468.. | 1591167 | 701221 | | Cigna Dental Health Of Maryland, Inc. | MD | IA | Cigna Dental Health, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 62-1312478.. | 1591167 | 701221 | | Cigna Health Corporation | DE | NIA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 02-0387748.. | 1591167 | 701221 | | Healthsource, Inc. | DE | NIA | Cigna Health Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 95125 | 86-0334392.. | 1591167 | 701221 | | Cigna HealthCare of Arizona, Inc. | AZ | IA | Healthsource, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 95-3310115.. | 1591167 | 701221 | | Cigna HealthCare of California, Inc. | CA | IA | Healthsource, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 95604 | 84-1004500.. | 1591167 | 701221 | | Cigna HealthCare of Colorado, Inc. | CO | IA | Healthsource, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 95660 | 06-1141174.. | 1591167 | 701221 | | Cigna HealthCare of Connecticut, Inc. | CT | IA | Healthsource, Inc. | Ownership | 100.000 | Cigna Corporation | N | |

Q13.1

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0901 | Cigna Group | 95136... | 59-2089259.. | ....1591167 | .....701221 | | Cigna HealthCare of Florida, Inc. | FL | IA | Healthsource, Inc. | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | 95602... | 36-3385638.. | ....1591167 | .....701221 | | Cigna HealthCare of Illinois, Inc. | IL | IA | Healthsource, Inc. | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | | 01-0418220.. | ....1591167 | .....701221 | | Cigna HealthCare of Maine, Inc. | ME | NIA | Healthsource, Inc. | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | | 02-0402111.. | ....1591167 | .....701221 | | Cigna HealthCare of Massachusetts, Inc. | MA | NIA | Healthsource, Inc. | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | | 52-1404350.. | ....1591167 | .....701221 | | Cigna HealthCare Mid-Atlantic, Inc. | MD | NIA | Healthsource, Inc. | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | 95493... | 02-0387749.. | ....1591167 | .....701221 | | Cigna HealthCare of New Hampshire, Inc. | NH | IA | Healthsource, Inc. | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | 95500... | 22-2720890.. | ....1591167 | .....701221 | | Cigna HealthCare of New Jersey, Inc. | NJ | IA | Healthsource, Inc. | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | | 23-2301807.. | ....1591167 | .....701221 | | Cigna HealthCare of Pennsylvania, Inc. | PA | NIA | Healthsource, Inc. | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | 95635... | 36-3359925.. | ....1591167 | .....701221 | | Cigna HealthCare of St. Louis, Inc. | MO | IA | Healthsource, Inc. | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | | 62-1230908.. | ....1591167 | .....701221 | | Cigna HealthCare of Utah, Inc. | UT | NIA | Healthsource, Inc. | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | 96229... | 58-1641057.. | ....1591167 | .....701221 | | Cigna HealthCare of Georgia, Inc. | GA | IA | Healthsource, Inc. | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | 95383... | 74-2767437.. | ....1591167 | .....701221 | | Cigna HealthCare of Texas, Inc. | TX | IA | Healthsource, Inc. | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | 95525... | 35-1679172.. | ....1591167 | .....701221 | | Cigna HealthCare of Indiana, Inc. | IN | IA | Healthsource, Inc. | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | 95606... | 62-1218053.. | ....1591167 | .....701221 | | Cigna HealthCare of Tennesee, Inc. | TN | IA | Healthsource, Inc. | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | 95132... | 56-1479515.. | ....1591167 | .....701221 | | Cigna HealthCare of North Carolina, Inc. | NC | IA | Healthsource, Inc. | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | 95708... | 06-1185590.. | ....1591167 | .....701221 | | Cigna HealthCare of South Carolina, Inc. | SC | IA | Healthsource, Inc. | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | | 00-0000000.. | ....1591167 | .....701221 | | Temple Insurance Company Limited | BMU | IA | Healthsource, Inc. | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | | 86-3581583.. | ....1591167 | .....701221 | | Arizona Health Plan, Inc. | AZ | NIA | Healthsource, Inc. | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | | 02-0467679.. | ....1591167 | .....701221 | | Healthsource Properties, Inc. | NH | NIA | Healthsource, Inc. | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | | 00-0000000.. | ....1591167 | .....701221 | | Managed Care Consultants, Inc. | NV | NIA | Cigna Health Corporation | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | | 02-0515554.. | ....1591167 | .....701221 | | Cigna Benefit Technology Solutions, Inc. | DE | NIA | Cigna Health Corporation | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | | 35-1641636.. | ....1591167 | .....701221 | | Sagamore Health Network, Inc. | IN | NIA | Cigna Health Corporation | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | | 84-0985843.. | ....1591167 | .....701221 | | Cigna Healthcare Holdings, Inc. | CO | NIA | Connecticut General Corporation | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | | 93-1174749.. | ....1591167 | .....701221 | | Great-West Healthcare of Illinois, Inc. | IL | NIA | Cigna Healthcare Holdings, Inc. | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | | 02-0495422.. | ....1591167 | .....701221 | | Cigna Healthcare, Inc. | VT | NIA | Cigna Healthcare Holdings, Inc. | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | 64548... | 13-2556568.. | ....3281743 | .....701221 | | Cigna Life Insurance Company of New York | NY | IA | Connecticut General Corporation | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | 62308... | 06-0303370.. | ....1591167 | .....701221 | | Connecticut General Life Insurance Company | CT | IA | Connecticut General Corporation | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | | 45-3481107.. | ....1591167 | .....701221 | | CG Mystic Center LLC | DE | IA | Connecticut General Life Insurance Company | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | | 00-0000000.. | ....1591167 | .....701221 | | Station Landing, LLC | DE | IA | CG Mystic Center LLC | Ownership | .....85.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | | 45-3481241.. | ....1591167 | .....701221 | | CG Mystic Land LLC | DE | IA | Connecticut General Life Insurance Company | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | | 20-3870049.. | ....1591167 | .....701221 | | CG Skyline, LLC | DE | IA | Connecticut General Life Insurance Company | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | | 00-0000000.. | ....1591167 | .....701221 | | Skyline ND/CG LLC | MA | IA | CG Skyline LLC | Ownership | .....85.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | | 00-0000000.. | ....1591167 | .....701221 | | Skyline Mezzanine Borrower LLC | MA | IA | Skyline ND/CG LLC | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | | 00-0000000.. | ....1591167 | .....701221 | | Skyline at Station Landing LLC | MA | IA | Skyline Mezzanine Borrower LLC | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | | 26-0180898.. | ....1591167 | .....701221 | | CareAllies, LLC | DE | IA | Connecticut General Life Insurance Company | Ownership | ....100.000 | Cigna Corporation | ....N.... | |
| 0901 | Cigna Group | | 00-0000000.. | ....1591167 | .....701221 | | CG Bayport LLC | DE | IA | Connecticut General Life Insurance Company | Ownership | ....100.000 | Cigna Corporation | ....N.... | |

Q13.2

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Bayport Colony Apartments LLC | FL | IA | CG Bayport LLC | Ownership | 99.900 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 32-0222252 | 1591167 | 701221 | | Cigna Onsite Health, LLC | DE | IA | Connecticut General Life Insurance Company. | Ownership | 100.000 | Cigna Corporation | Y | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Gillette Ridge Community Council, Inc. | CT | IA | Connecticut General Life Insurance Company. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 20-3700105 | 1591167 | 701221 | | Gillette Ridge Golf, LLC | DE | IA | Connecticut General Life Insurance Company. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 52-2149519 | 1591167 | 701221 | | Hazard Center Investment Company LLC | DE | IA | Connecticut General Life Insurance Company. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 23-3074013 | 1591167 | 701221 | | TEL-DRUG of Pennsylvania, L.L.C. | PA | IA | Connecticut General Life Insurance Company. | Ownership | 100.000 | Cigna Corporation | Y | |
| 0901 | Cigna Group | | 00-0000000 | | | | GRG Acquisitions LLC | DE | NIA | Connecticut General Life Insurance Company. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 27-5402196 | 1591167 | 701221 | | Cigna Affiliates Realty Investment Group LLC | DE | NIA | Connecticut General Life Insurance Company. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | CR Longwood Investors L.P. | DE | IA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 27.030 | Charles River Realty Longwood, LLC (non-affiliate) | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | ND/CR Longwood LLC | DE | IA | CR Longwood Investors L.P. | Ownership | 95.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | ARE/ND/CR Longwood LLC | DE | IA | ND / CR Longwood LLC | Ownership | 35.000 | ARE-MA Region No. 41, LLC (non-affiliate) | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Secon Properties, LP | CA | IA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 50.000 | South Coast Plaza Associates, LLC (non-affiliate) | N | |
| 0901 | Cigna Group | | 00-0000000 | | | | Transwestern Federal Holdings, L.L.C. | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 7.616 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | | | | Transwestern Federal , L.L.C. | DE | NIA | Transwestern Federal Holdings, L.L.C. | Ownership | 7.616 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | | | | Market Street Residential Holdings LLC | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 85.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | | | | Arborpoint at Market Street LLC | DE | NIA | Market Street Residential Holdings LLC | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | | | | Diamondview Tower CM-CG LLC | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 90.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | CR Washington Street Investors LP | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 33.820 | Charles River Washington Street LLC (non-affiliate) | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Dulles Town Center Mall, LLC | VA | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 50.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | ND/CR Unicorn LLC | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 70.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Union Wharf Apartments LLC | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 80.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | AMD Apartments Limited Partership | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 80.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | SP Newport Crossing LLC | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 85.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | PUR Arbors Apartments Venture LLC | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 87.500 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | CG Seventh Street LLC | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 87.500 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Ideal Properties II LLC | CA | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 85.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 80-0668090 | 1591167 | 701221 | | Alessandro Partners, LLC | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 95.200 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 80-0908244 | | | | Mallory Square Partners I, LLC | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 80.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | | | | Houston Briar Forest Apartments Limited Partnership | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 80.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | | | | Newtown Partners II, LP | MD | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 71.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | | | | Newtown Square GP LLC | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 50.000 | Cigna Corporation and Newtown Square | N | |
| 0901 | Cigna Group | | 00-0000000 | | | | AFA Apartments Limited Partnership | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 85.000 | Cigna Corporation | N | |

Q13.3

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0901 | Cigna Group | | 00-0000000 | | | | SB-SNH LLC | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 85.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | | | | 680 Investors LLC | CA | NIA | SB-SNH LLC | Ownership | 85.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | | | | 685 New Hampshire LLC | CA | NIA | SB-SNH LLC | Ownership | 85.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | | | | CGGL 18301 LLC | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 90.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | | | | 222 Main Street CARING GP LLC | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | | | | 222 Main Street Investors LP | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 90.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | | | | Notch 8 Residential, L.L.C. | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 85.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | | | | UVL, LLC | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 71.400 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | | | | 3601 North Fairfax Drive Associates, LLC | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 90.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 47-4235739 | | | | CI Perris 151, LLC | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 75.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 47-4375626 | | | | Lakehills CM-CG LLC | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 90.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 30-0939067 | | | | Affiliated Hotel Subsidiary | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 81-2481274 | | | | CGGL 6280 LLC | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 90.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 81-2650133 | | | | Berewick Apartments LLC | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 85.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 81-3389374 | | | | CIG-LEI Ygnacio Associates LLC | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 90.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 61-1797835 | | | | CGGL Orange Collection LLC | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 90.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 81-3281922 | | | | CGGL Chapman LLC | DE | NIA | CGGL Orange Collection LLC | Ownership | 90.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 81-3313562 | | | | CGGL City Parkway LLC | DE | NIA | CGGL Orange Collection LLC | Ownership | 90.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 81-4139432 | | | | Heights at Bear Creek Venture LLC | DE | NIA | Cigna Affilates Realty Investment Group, LLC. | Ownership | 90.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 27-0268530 | 1591167 | 701221 | | CORAC, LLC | DE | IA | Connecticut General Life Insurance Company. | Ownership | 50.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 27-3923999 | 1591167 | 701221 | | Bridgepoint Office Park Associates, LLC | DE | IA | Corac, LLC | Ownership | 90.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 27-3126102 | 1591167 | 701221 | | Fairway Center Associates, LLC | DE | IA | Corac, LLC | Ownership | 80.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 27-3582688 | 1591167 | 701221 | | Henry on the Park Associates, LLC | DE | IA | Corac, LLC | Ownership | 80.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 67369 | 59-1031071 | 1591167 | 701221 | | Cigna Health and Life Insurance Company | CT | IA | Connecticut General Life Insurance Company. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 45-2681649 | 1591167 | 701221 | | CarePlexus, LLC | DE | IA | Cigna Health and Life Insurance Company | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 27-3396038 | 1591167 | 701221 | | Cigna Corporate Services, LLC | DE | IA | Cigna Health and Life Insurance Company | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 27-1903785 | 1591167 | 701221 | | Cigna Insurance Agency, LLC | CT | IA | Cigna Health and Life Insurance Company | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 34-1970892 | | | | Ceres Sales of Ohio, LLC | OH | NIA | Cigna Health and Life Insurance Company | Ownership | 100.000 | Cigna Corporation | Y | |
| 0901 | Cigna Group | 61727 | 34-0970995 | | | | Central Reserve Life Insurance Company | OH | UDP | Cigna Health and Life Insurance Company | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 67903 | 23-1335885 | | | | Provident American Life & Health Insurance Company | OH | RE | Central Reserve Life Insurance Company | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 65269 | 75-2305400 | | | | United Benefit Life Insurance Company | OH | DS | Provident American Life and Health Insurance Company | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 65722 | 63-0343428 | | | | Loyal American Life Insurance Company | OH | IA | Cigna Health and Life Insurance Company | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 88366 | 59-2760189 | | | | American Retirement Life Insurance Company | OH | DS | Loyal American Life Insurance Company | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 23-3744987 | | | | QualCare Alliance Networks, Inc | NJ | NIA | Cigna Health and Life Insurance Company | Ownership | 100.000 | Cigna Corporation | Y | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0901 | Cigna Group | | 22-3129563 | | | | QualCare, Inc. | NJ | NIA | QualCare Alliance Networks, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 22-2483867 | | | | Scibal Associates, Inc. | NJ | NIA | QualCare Alliance Networks, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 46-1634843 | | | | QualCare Captive Insurance Company Inc., PCC | NJ | NIA | QualCare Alliance Networks, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 46-1801639 | | | | QualCare Management Resources Limited Liability Company | NJ | NIA | QualCare Alliance Networks, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 46-2086778 | | | | Health-Lynx, LLC | NJ | NIA | QualCare Alliance Networks, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 77399 | 13-1867829 | | | | Sterling Life Insurance Company | IL | IA | Cigna Health and Life Insurance Company | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 91-1500758 | | | | Olympic Health Management Systems, Inc. | WA | NIA | Sterling Life Insurance Company | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 91-1599329 | | | | Olympic Health Management Services, Inc. | WA | NIA | Olympic Health Management Systems, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 88-0455414 | | | | WorldDoc, Inc. | NV | NIA | Cigna Health and Life Insurance Company | Ownership | 20.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 23-1728483 | 1591167 | 701221 | | Cigna Health Management, Inc. | DE | NIA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 20-8064696 | 1591167 | 701221 | | Kronos Optimal Health Company | AZ | NIA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | 65498 | 23-1503749 | 1591167 | 701221 | | Life Insurance Company of North America | PA | IA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Cigna & CMB Life Insurance Company Limited | CHN | IA | Life Insurance Company of North America | Ownership | 50.000 | Cigna Corporation | Y | |
| 0901 | Cigna Group | | 58-1136865 | 1591167 | 701221 | | Cigna Direct Marketing Company, Inc. | DE | NIA | Life Insurance Company of North America | Ownership | 100.000 | Cigna Corporation | Y | |
| 0901 | Cigna Group | | 46-0427127 | 1591167 | 701221 | | Tel-Drug, Inc. | SD | NIA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Cigna Global Wellbeing Holdings Limited | GBR | NIA | Connecticut General Corporation | Ownership | 70.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Cigna Global Wellbeing Solutions Limited | GBR | NIA | Cigna Global Wellbeing Holdings Limited | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 98-0463704 | 1591167 | 701221 | | Vielife Services, Inc. | DE | NIA | Cigna Global Wellbeing Holdings Limited | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 06-1332403 | 1591167 | 701221 | | CG Individual Tax Benefits Payments, Inc. | DE | NIA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 06-1332405 | 1591167 | 701221 | | CG Life Pension Benefits Payments, Inc. | DE | NIA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 06-1332401 | | | | CG LINA Pension Benefits Payments, Inc. | DE | NIA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 62-1724116 | 1591167 | 701221 | | Cigna Federal Benefits, Inc. | DE | NIA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 23-2741293 | 1591167 | 701221 | | Cigna Healthcare Benefits, Inc. | DE | NIA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 23-2924152 | 1591167 | 701221 | | Cigna Integratedcare, Inc. | DE | NIA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 23-2741294 | 1591167 | 701221 | | Cigna Managed Care Benefits Company | DE | NIA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 06-1071502 | 1591167 | 701221 | | Cigna RE Corporation | DE | NIA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 06-1522976 | 1591167 | 701221 | | Blodget & Hazard Limited | GBR | NIA | Cigna Re Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 06-1567902 | 1591167 | 701221 | | Cigna Resource Manager, Inc. | DE | NIA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 06-1252419 | 1591167 | 701221 | | Connecticut General Benefit Payments, Inc. | DE | NIA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 06-1533555 | 1591167 | 701221 | | Healthsource Benefits, Inc. | DE | NIA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 35-2041388 | 1591167 | 701221 | | IHN, Inc. | IN | NIA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 06-1252418 | 1591167 | 701221 | | LINA Benefit Payments, Inc. | DE | NIA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 88-0334401 | 1591167 | 701221 | | Mediversal, Inc. | NV | NIA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |

Q13.5

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0901 | Cigna Group | | 88-0344624.. | 1591167 | 701221 | | Universal Claims Administration | MT | NIA | Mediversal, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 81-2760646.. | | | | CareAllies, Inc. | DE | NIA | Connecticut General Corporation | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 51-0389196.. | 1591167 | 701221 | | Cigna Global Holdings, Inc. | DE | NIA | Cigna Holdings, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 51-0111677.. | 1591167 | 701221 | | Cigna International Corporation, Inc. | DE | NIA | Cigna Global Holdings, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 23-2610178.. | 1591167 | 701221 | | Cigna International Services, Inc. | DE | NIA | Cigna Global Holdings, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 30-3087621.. | 1591167 | 701221 | | Cigna International Marketing (Thailand) Limited | THA | NIA | Cigna Global Holdings, Inc. | Ownership | 99.900 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000.. | 1591167 | 701221 | | CGO PARTICIPATOS LTDA. | BRA | NIA | Cigna Global Holdings, Inc. | Ownership | 99.780 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000.. | 1591167 | 701221 | | YCFM Servicos LTDA. | BRA | NIA | Cigna Global Holdings, Inc. | Ownership | 56.020 | Cigna Corporation | N | |
| 0901 | Cigna Group | | AA-3190987. | 1591167 | 701221 | | Cigna Global Reinsurance Company, Ltd. | BMU | IA | Cigna Global Holdings, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 23-3009279.. | 1591167 | 701221 | | Cigna Holdings Overseas, Inc. | DE | NIA | Cigna Global Reinsurance Company, Ltd. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000.. | 1591167 | 701221 | | Cigna Bellevue Alpha LLC. | DE | NIA | Cigna Holdings Overseas, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 46-4110289.. | | | | Cigna Linden Holdings, Inc. | DE | NIA | Cigna Holdings Overseas, Inc. | Ownership | 80.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 98-1146864.. | | | | Cigna Laurel Holdings, Ltd. | BMU | NIA | Cigna Linden Holdings, Inc. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000.. | | | | Cigna Palmetto Holdings, Ltd. | BMU | NIA | Cigna Laurel Holdings, Ltd. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000.. | 1591167 | 701221 | | Cigna Apac Holdings Limited | BMU | NIA | Cigna Palmetto Holdings, Ltd. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000.. | | | | Cigna Alder Holdings, LLC. | DE | NIA | Cigna Apac Holdings Limited | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000.. | | | | Cigna Walnut Holdings, Ltd. | GBR | NIA | Cigna Apac Holdings Limited | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 98-1137759.. | | | | Cigna Chestnut Holdings, Ltd. | GBR | NIA | Cigna Walnut Holdings, Ltd. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000.. | 1591167 | 701221 | | LINA Life Insurance Company of Korea | KOR | IA | Cigna Chestnut Holdings, Ltd. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000.. | | | | Cigna Korea Foundation | KOR | NIA | LINA Life Insurance Company of Korea | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000.. | 1591167 | 701221 | | Cigna International Services Australia Pty Ltd. | AUS | NIA | Cigna Chestnut Holdings, Ltd. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000.. | 1591167 | 701221 | | Cigna Hong Kong Holdings Company Limited | HKG | NIA | Cigna Chestnut Holdings, Ltd. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000.. | 1591167 | 701221 | | Cigna Data Services (Shanghai) Company Limited | CHN | NIA | Cigna Hong Kong Holdings Company Limited. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000.. | 1591167 | 701221 | | Cigna HLA Technology Services Limited | HKG | NIA | Cigna Hong Kong Holdings Company Limited. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000.. | 1591167 | 701221 | | Cigna Worldwide General Insurance Company Limited | HKG | IA | Cigna Hong Kong Holdings Company Limited. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000.. | 1591167 | 701221 | | Cigna Worldwide Life Insurance Company Limited | HKG | IA | Cigna Hong Kong Holdings Company Limited. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000.. | 1591167 | 701221 | | Cigna International Health Services Sdn. Bhd. | MYS | NIA | Cigna Hong Kong Holdings Company Limited. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000.. | 1591167 | 701221 | | Cigna Life Insurance New Zealand Limited | NZL | IA | Cigna International Health Services Sdn. Bhd. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 119-599-164. | | | | Grown Ups New Zealand Limited | NZL | NIA | Cigna Life Insurance New Zealand Limited | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | AA-1560515. | 1591167 | 701221 | | Cigna Life Insurance Company of Canada | CAN | IA | Cigna Chestnut Holdings, Ltd. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000.. | | | | Cigna Korea Chusik Heosa (English Translation: Cigna Korea Company Limited) | KOR | NIA | Cigna Chestnut Holdings, Ltd. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000.. | | | | LINA Financial Service | KOR | NIA | Cigna Korea Chusik Heosa | Ownership | 100.000 | Cigna Corporation | N | |

Q13.6

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | RHP (Thailand) Limited | THA | NIA | Cigna Apac Holdings Limited | Ownership | 49.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Cigna Brokerage & Marketing (Thailand) Limited | THA | NIA | RHP Thailand Limited | Ownership | 75.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | KDM (Thailand) Limited | THA | NIA | RHP Thailand Limited | Ownership | 99.900 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Cigna Insurance Public Company Limited | THA | IA | KDM Thailand Limited | Ownership | 75.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Cigna Taiwan Life Assurance Company Limited | TWN | IA | Cigna Apac Holdings Limited | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 98-1154657 | | | | Cigna Myrtle Holdings, Ltd | MLT | NIA | Cigna Apac Holdings Limited | Ownership | 50.540 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 98-1155943 | | | | Cigna Elmwood Holdings, SPRL | BEL | NIA | Cigna Myrtle Holdings, Ltd | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 98-1181787 | | | | Cigna Beechwood Holdings | BEL | NIA | Cigna Elmwood Holdings, SPRL | Ownership | 51.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | AA-1240009 | 1591167 | 701221 | | Cigna Life Insurance Company of Europe S.A.-N.V. | BEL | IA | Cigna Beechwood Holdings | Ownership | 99.993 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Cigna Europe Insurance Company S.A.-N.V. | BEL | IA | Cigna Beechwood Holdings | Ownership | 99.999 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Cigna European Services (UK) Limited | GBR | NIA | Cigna Elmwood Holdings, SPRL | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | CIGNA 2000 UK Pension LTD | GBR | NIA | Cigna European Services (UK) Limited | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Cigna Oak Holdings, Ltd | GBR | NIA | Cigna Elmwood Holdings, SPRL | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Cigna Willow Holdings, Ltd | GBR | NIA | Cigna Oak Holdings, Ltd | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | FirstAssist Administration Limited | GBR | NIA | Cigna Willow Holdings, LTD | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Cigna Legal Protection U.K. Ltd | GBR | NIA | Cigna Willow Holdings, LTD | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Cigna Insurance Services (Europe) Limited | GBR | NIA | Cigna Willow Holdings, LTD | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Cigna International Health Services, BVBA | BEL | NIA | Cigna Elmwood Holdings, SPRL | Ownership | 51.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Cigna International Health Services, LLC | FL | NIA | Cigna International Health Services, BVBA | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | | | | Cigna International Health Services Kenya Limited | KEN | NIA | Cigna International Health Services, BVBA | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | | | | Cigna Sequoia Holdings SPRL | BEL | NIA | Cigna Myrtle Holdings, Ltd | Ownership | 100.000 | Cigna Corporation | N | |
| 901 | Cigna Group | | | | | | Cigna Cedar Holdings, Ltd | MLT | NIA | Cigna Apac Holdings Limited | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | | | | Cigna Magnolia Holdings, Ltd | BMU | NIA | Cigna Palmetto Holdings, Ltd | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Cigna Turkey Danismanlik Hizmetleri, A.S. (English translation: Cigna Turkey Consultancy Services, A.S.) | TUR | NIA | Cigna Magnolia Holdings, Ltd | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Cigna Nederland Alpha Cooperatief U.A | NLD | NIA | Cigna Holdings Overseas, Inc | Ownership | 99.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Cigna Nederland Beta B.V | NLD | NIA | Cigna Nederland Alpha Cooperatief U.A | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Cigna Nederland Gamma B.V | NLD | NIA | Cigna Nederland Beta B.V. | Ownership | 100.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | | | | Cigna Finans Emeklilik Ve Hayat A.S. | TUR | NIA | Cigna Nederland Gamma, B.V | Ownership | 51.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 00-0000000 | 1591167 | 701221 | | Cigna Health Solution India Pvt. Ltd | IND | NIA | Cigna Holdings Overseas, Inc | Ownership | 99.000 | Cigna Corporation | N | |
| 0901 | Cigna Group | | 46-4099800 | | | | Cigna Poplar Holdings, Inc | DE | NIA | Cigna Holdings Overseas, Inc | Ownership | 100.000 | Cigna Corporation | N | |

Q13.7

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 0901 | Cigna Group | | 00-0000000.. | ....1591167 | .....701221 | | PT GAR Indonesia | IDN | NIA | Cigna Holdings Overseas, Inc | Ownership | .....99.160 | Cigna Corporation | .....N..... | |
| 0901 | Cigna Group | | 00-0000000.. | ....1591167 | .....701221 | | PT PGU Indonesia | IDN | NIA | PT GAR Indonesia | Ownership | .....99.990 | Cigna Corporation | .....N..... | |
| 0901 | Cigna Group | | 00-0000000.. | ....1591167 | .....701221 | | Cigna Global Insurance Company Limited | GBR | IA | Cigna Holdings Overseas, Inc | Ownership | .....99.000 | Cigna Corporation | .....N..... | |
| 0901 | Cigna Group | | 00-0000000.. | | | | CignaTTK Health Insurance Company Limited.. | IND | NIA | Cigna Holdings Overseas, Inc | Ownership | .....26.000 | TTK (non-affiliate) | .....N..... | |
| 0901 | Cigna Group | | 00-0000000.. | | | | Cigna SAICO Benefits Services W.L.L | BHR | NIA | Cigna Holdings Overseas, Inc | Ownership | .....50.000 | Cigna Corporation and SAICO (non affiliate)... | .....N..... | |
| 0901 | Cigna Group | 90859... | 23-2088429.. | ....1591167 | .....701221 | | Cigna Worldwide Insurance Company | DE | IA | Cigna Global Reinsurance Company, Ltd | Ownership | ....100.000 | Cigna Corporation | .....N..... | |
| 0901 | Cigna Group | | AA-5360003. | ....1591167 | .....701221 | | PT. Asuransi Cigna | IDN | IA | Cigna Worldwide Insurance Company | Ownership | .....80.000 | Cigna Corporation | .....N..... | |
| 0901 | Cigna Group | | 00-0000000.. | | | | Cigna Teak Holdings, LLC | DE | NIA | Cigna Global Holdings, Inc | Ownership | ....100.000 | Cigna Corporation | .....N..... | |

| Asterisk | Explanation |
|---|---|
| 901 | Cigna Group |

Q13.8

# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

| | | Response |
|---|---|---|
| 1. | Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? | NO |
| 2. | Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? | NO |
| 3. | Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? | NO |
| 4. | Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? | NO |
| 5. | Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC? | NO |
| 6. | Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC? | NO |
| 7. | Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC? | NO |

**Explanations:**

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.
5. The data for this supplement is not required to be filed.
6. The data for this supplement is not required to be filed.
7. The data for this supplement is not required to be filed.

**Bar Code:**

# Overflow Page for Write-Ins

# NONE

# SCHEDULE A - VERIFICATION

Real Estate

| | | 1<br>Year to Date | 2<br>Prior Year Ended December 31 |
|---|---|---|---|
| 1. | Book/adjusted carrying value, December 31 of prior year | 0 | |
| 2. | Cost of acquired: | | |
| | 2.1 Actual cost at time of acquisition | | |
| | 2.2 Additional investment made after acquisition | | |
| 3. | Current year change in encumbrances | | |
| 4. | Total gain (loss) on disposals | | |
| 5. | Deduct amounts received on disposals | | |
| 6. | Total foreign exchange change in book/adjusted carrying value | | |
| 7. | Deduct current year's other-than-temporary impairment recognized | | |
| 8. | Deduct current year's depreciation | | |
| 9. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8) | 0 | 0 |
| 10. | Deduct total nonadmitted amounts | | |
| 11. | Statement value at end of current period (Line 9 minus Line 10) | 0 | 0 |

NONE

# SCHEDULE B - VERIFICATION

Mortgage Loans

| | | 1<br>Year to Date | 2<br>Prior Year Ended December 31 |
|---|---|---|---|
| 1. | Book value/recorded investment excluding accrued interest, December 31 of prior year | 0 | |
| 2. | Cost of acquired: | | |
| | 2.1 Actual cost at time of acquisition | | |
| | 2.2 Additional investment made after acquisition | | |
| 3. | Capitalized deferred interest and other | | |
| 4. | Accrual of discount | | |
| 5. | Unrealized valuation increase (decrease) | | |
| 6. | Total gain (loss) on disposals | | |
| 7. | Deduct amounts received on disposals | | |
| 8. | Deduct amortization of premium and mortgage interest points and commitment fees | | |
| 9. | Total foreign exchange change in book value/recorded investment excluding accrued interest | | |
| 10. | Deduct current year's other-than-temporary impairment recognized | | |
| 11. | Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) | 0 | 0 |
| 12. | Total valuation allowance | | |
| 13. | Subtotal (Line 11 plus Line 12) | 0 | 0 |
| 14. | Deduct total nonadmitted amounts | | |
| 15. | Statement value at end of current period (Line 13 minus Line 14) | 0 | 0 |

NONE

# SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

| | | 1<br>Year to Date | 2<br>Prior Year Ended December 31 |
|---|---|---|---|
| 1. | Book/adjusted carrying value, December 31 of prior year | 0 | |
| 2. | Cost of acquired: | | |
| | 2.1 Actual cost at time of acquisition | | |
| | 2.2 Additional investment made after acquisition | | |
| 3. | Capitalized deferred interest and other | | |
| 4. | Accrual of discount | | |
| 5. | Unrealized valuation increase (decrease) | | |
| 6. | Total gain (loss) on disposals | | |
| 7. | Deduct amounts received on disposals | | |
| 8. | Deduct amortization of premium and depreciation | | |
| 9. | Total foreign exchange change in book/adjusted carrying value | | |
| 10. | Deduct current year's other-than-temporary impairment recognized | | |
| 11. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) | 0 | 0 |
| 12. | Deduct total nonadmitted amounts | | |
| 13. | Statement value at end of current period (Line 11 minus Line 12) | 0 | 0 |

NONE

# SCHEDULE D - VERIFICATION

Bonds and Stocks

| | | 1<br>Year to Date | 2<br>Prior Year Ended December 31 |
|---|---|---|---|
| 1. | Book/adjusted carrying value of bonds and stocks, December 31 of prior year | 16,480,863 | 11,492,988 |
| 2. | Cost of bonds and stocks acquired | | 6,233,486 |
| 3. | Accrual of discount | 600 | 2,157 |
| 4. | Unrealized valuation increase (decrease) | 173,825 | (25,168) |
| 5. | Total gain (loss) on disposals | | |
| 6. | Deduct consideration for bonds and stocks disposed of | 22,735 | 1,218,083 |
| 7. | Deduct amortization of premium | 1,650 | 4,517 |
| 8. | Total foreign exchange change in book/adjusted carrying value | | |
| 9. | Deduct current year's other-than-temporary impairment recognized | | |
| 10. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) | 16,630,903 | 16,480,863 |
| 11. | Deduct total nonadmitted amounts | | |
| 12. | Statement value at end of current period (Line 10 minus Line 11) | 16,630,903 | 16,480,863 |

# SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity

During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

| NAIC Designation | 1<br>Book/Adjusted Carrying Value Beginning of Current Quarter | 2<br>Acquisitions During Current Quarter | 3<br>Dispositions During Current Quarter | 4<br>Non-Trading Activity During Current Quarter | 5<br>Book/Adjusted Carrying Value End of First Quarter | 6<br>Book/Adjusted Carrying Value End of Second Quarter | 7<br>Book/Adjusted Carrying Value End of Third Quarter | 8<br>Book/Adjusted Carrying Value December 31 Prior Year |
|---|---|---|---|---|---|---|---|---|
| BONDS | | | | | | | | |
| 1. NAIC 1 (a) | 12,900,998 | 502,913 | 22,735 | (1,108) | 13,380,068 | | | 12,900,999 |
| 2. NAIC 2 (a) | 1,499,507 | | | 58 | 1,499,565 | | | 1,499,507 |
| 3. NAIC 3 (a) | | | | | 0 | | | |
| 4. NAIC 4 (a) | | | | | 0 | | | |
| 5. NAIC 5 (a) | | | | | 0 | | | |
| 6. NAIC 6 (a) | | | | | 0 | | | |
| 7. Total Bonds | 14,400,505 | 502,913 | 22,735 | (1,050) | 14,879,633 | 0 | 0 | 14,400,506 |
| PREFERRED STOCK | | | | | | | | |
| 8. NAIC 1 | | | | | 0 | | | |
| 9. NAIC 2 | | | | | 0 | | | |
| 10. NAIC 3 | | | | | 0 | | | |
| 11. NAIC 4 | | | | | 0 | | | |
| 12. NAIC 5 | | | | | 0 | | | |
| 13. NAIC 6 | | | | | 0 | | | |
| 14. Total Preferred Stock | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15. Total Bonds and Preferred Stock | 14,400,505 | 502,913 | 22,735 | (1,050) | 14,879,633 | 0 | 0 | 14,400,506 |

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:
NAIC 1 $.....1,368,502; NAIC 2 $.....0; NAIC 3 $.....0; NAIC 4 $.....0; NAIC 5 $.....0; NAIC 6 $.....0.

# SCHEDULE DA - PART 1

Short-Term Investments

| | 1<br>Book/Adjusted<br>Carrying Value | 2<br><br>Par Value | 3<br>Actual<br>Cost | 4<br>Interest Collected<br>Year To Date | 5<br>Paid for Accrued Interest<br>Year To Date |
|---|---|---|---|---|---|
| 9199999 | 1,368,502 | XXX | 1,368,502 | 2,913 | |

# SCHEDULE DA - VERIFICATION

Short-Term Investments

| | 1<br><br>Year To Date | 2<br>Prior Year Ended<br>December 31 |
|---|---|---|
| 1. Book/adjusted carrying value, December 31 of prior year | 865,589 | 4,840,532 |
| 2. Cost of short-term investments acquired | 502,913 | 3,030,057 |
| 3. Accrual of discount | | |
| 4. Unrealized valuation increase (decrease) | | |
| 5. Total gain (loss) on disposals | | |
| 6. Deduct consideration received on disposals | | 7,005,000 |
| 7. Deduct amortization of premium | | |
| 8. Total foreign exchange change in book/adjusted carrying value | | |
| 9. Deduct current year's other-than-temporary impairment recognized | | |
| 10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) | 1,368,502 | 865,589 |
| 11. Deduct total nonadmitted amounts | | |
| 12. Statement value at end of current period (Line 10 minus Line 11) | 1,368,502 | 865,589 |

## Sch. DB - Pt. A - Verification
**NONE**

## Sch. DB - Pt. B - Verification
**NONE**

## Sch. DB - Pt. C - Sn. 1
**NONE**

## Sch. DB - Pt. C - Sn. 2
**NONE**

## Sch. DB - Verification
**NONE**

## Sch. E - Verification
**NONE**

## Sch. A - Pt. 2
**NONE**

## Sch. A - Pt. 3
**NONE**

## Sch. B - Pt. 2
**NONE**

## Sch. B - Pt. 3
**NONE**

## Sch. BA - Pt. 2
**NONE**

## Sch. BA - Pt. 3
**NONE**

## Sch. D - Pt. 3
**NONE**

# SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | Change in Book/Adjusted Carrying Value | | | | | 16 | 17 | 18 | 19 | 20 | 21 | 22 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | 11 | 12 | 13 | 14 | 15 | | | | | | | |
| CUSIP Identification | Description | Foreign | Disposal Date | Name of Purchaser | Number of Shares of Stock | Consideration | Par Value | Actual Cost | Prior Year Book/Adjusted Carrying Value | Unrealized Valuation Increase (Decrease) | Current Year's (Amortization) / Accretion | Current Year's Other-Than-Temporary Impairment Recognized | Total Change in B./A.C.V. (11+12-13) | Total Foreign Exchange Change in B./A.C.V. | Book/Adjusted Carrying Value at Disposal Date | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Bond Interest / Stock Dividends Received During Year | Stated Contractual Maturity Date | NAIC Designation or Market Indicator (a) |
| **Bonds - U.S. Government** | | | | | | | | | | | | | | | | | | | | | |
| 690353 RX 7 | OVERSEAS PRIVATE INV COR 4.440% 02/27/ | .. | 02/27/2017. | Redemption 100.0000 | | 22,735 | 22,735 | 22,735 | 22,735 | | | | 0 | | 22,735 | | | 0 | 505 | 02/27/2027. | 1 |
| 0599999. | Total - Bonds - U.S. Government | | | | | 22,735 | 22,735 | 22,735 | 22,735 | 0 | 0 | 0 | 0 | 0 | 22,735 | 0 | 0 | 0 | 505 | XXX | XXX |
| 8399997. | Total - Bonds - Part 4 | | | | | 22,735 | 22,735 | 22,735 | 22,735 | 0 | 0 | 0 | 0 | 0 | 22,735 | 0 | 0 | 0 | 505 | XXX | XXX |
| 8399999. | Total - Bonds | | | | | 22,735 | 22,735 | 22,735 | 22,735 | 0 | 0 | 0 | 0 | 0 | 22,735 | 0 | 0 | 0 | 505 | XXX | XXX |
| 9999999. | Total - Bonds, Preferred and Common Stocks | | | | | 22,735 | XXX | 22,735 | 22,735 | 0 | 0 | 0 | 0 | 0 | 22,735 | 0 | 0 | 0 | 505 | XXX | XXX |

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues: .....0.

# Sch. DB - Pt. A - Sn. 1
# NONE

# Sch. DB - Pt. B - Sn. 1
# NONE

# Sch. DB - Pt. D - Sn. 1
# NONE

# Sch. DB - Pt. D - Sn. 2
# NONE

# Sch. DL - Pt. 1
# NONE

# Sch. DL - Pt. 2
# NONE

# SCHEDULE E - PART 1 - CASH

## Month End Depository Balances

| 1 | 2 | 3 | 4 | 5 | Book Balance at End of Each Month During Current Quarter | | | 9 |
|---|---|---|---|---|---|---|---|---|
| | | | | | 6 | 7 | 8 | |
| Depository | Code | Rate of Interest | Amount of Interest Received During Current Quarter | Amount of Interest Accrued at Current Statement Date | First Month | Second Month | Third Month | * |
| **Open Depositories** | | | | | | | | |
| Bank of Amercia Richmond, VA | | | | | (229,523) | (212,443) | (308,891) | XXX |
| JP Morgan Chase Austin, TX | | | | | 79,648 | 113,199 | 178,474 | XXX |
| JP Morgan New York, NY | | | | | 29,266 | 68,366 | 11,947 | XXX |
| Wachovia Bank Winston Salem, NC | | | | | 420,872 | 401,433 | 403,753 | XXX |
| 0199999. Total Open Depositories | XXX | XXX | 0 | 0 | 300,263 | 370,555 | 285,283 | XXX |
| 0399999. Total Cash on Deposit | XXX | XXX | 0 | 0 | 300,263 | 370,555 | 285,283 | XXX |
| 0599999. Total Cash | XXX | XXX | 0 | 0 | 300,263 | 370,555 | 285,283 | XXX |

# SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

| 1<br>Description | 2<br>Code | 3<br>Date Acquired | 4<br>Rate of Interest | 5<br>Maturity Date | 6<br>Book/Adjusted Carrying Value | 7<br>Amount of Interest Due & Accrued | 8<br>Amount Received During Year |
|---|---|---|---|---|---|---|---|

**NONE**