



LIFE AND ACCIDENT AND HEALTH COMPANIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2016  
OF THE CONDITION AND AFFAIRS OF THE

Cincinnati Equitable Life Insurance Company

NAIC Group Code 0838 0838 NAIC Company Code 88064 Employer's ID Number 35-1452221  
(Current) (Prior)

Organized under the Laws of , State of Domicile or Port of Entry Ohio

Country of Domicile United States of America

Incorporated/Organized 10/19/1977 Commenced Business 07/11/1978

Statutory Home Office 525 Vine Street, Suite 1925 Cincinnati , OH, US 45202  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 525 Vine Street, Suite 1925 Cincinnati , OH, US 45202 513-621-1826  
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address P.O. BOX 3428 Cincinnati , OH, US 45202-3428  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 525 Vine Street, Suite 1925 Cincinnati , OH, US 45202 513-621-1826  
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.cineqlife.com

Statutory Statement Contact Gregory Allen Baker 513-621-1826  
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OFFICERS

Chairman of the Board Peter A Alpaugh President/CEO/CFO/Treasurer Gregory A Baker

Secretary Linda S Bales V.P. Sales & Marketing Tonya G Crawford

OTHER

DIRECTORS OR TRUSTEES

Peter A Alpaugh Andrea A Kessel Gregory A Baker

James W Ketring Drew F Knowles

State of Ohio SS:

County of Hamilton

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Peter Alpaugh Linda Bales Gregory Baker  
Chairman of the Board Secretary Treasurer

Subscribed and sworn to before me this 10th day of November

Richard Hansman, Jr.

November 8, 2019

a. Is this an original filing? ..... Yes [ X ] No [ ]

b. If no,  
1. State the amendment number.....  
2. Date filed .....  
3. Number of pages attached.....

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	100,051,618		100,051,618	87,281,294
2. Stocks:				
2.1 Preferred stocks .....	1,868,651		1,868,651	776,657
2.2 Common stocks .....	8,962,617		8,962,617	8,774,738
3. Mortgage loans on real estate:				
3.1 First liens .....			0	0
3.2 Other than first liens.....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....			0	0
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....			0	0
4.3 Properties held for sale (less \$ ..... encumbrances) .....			0	0
5. Cash (\$ .....1,326,790 ), cash equivalents (\$ ..... ) and short-term investments (\$ .....1,207,666 ) .....	2,534,456		2,534,456	2,522,562
6. Contract loans (including \$ ..... premium notes) .....	129,935		129,935	103,958
7. Derivatives .....			0	0
8. Other invested assets .....	75,000		75,000	0
9. Receivables for securities .....			0	0
10. Securities lending reinvested collateral assets .....			0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	113,622,277	0	113,622,277	99,459,210
13. Title plants less \$ ..... charged off (for Title insurers only) .....			0	0
14. Investment income due and accrued .....	929,894		929,894	802,018
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	482		482	1,707
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....	1,129,147		1,129,147	960,575
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....			0	0
16.2 Funds held by or deposited with reinsured companies .....			0	0
16.3 Other amounts receivable under reinsurance contracts .....			0	0
17. Amounts receivable relating to uninsured plans .....			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon .....	5,346		5,346	8,346
18.2 Net deferred tax asset .....	1,145,000		1,145,000	1,263,000
19. Guaranty funds receivable or on deposit .....			0	0
20. Electronic data processing equipment and software .....	907		907	1,508
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....	2,706	2,706	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	0
23. Receivables from parent, subsidiaries and affiliates .....	21,843		21,843	0
24. Health care (\$ ..... ) and other amounts receivable .....			0	0
25. Aggregate write-ins for other than invested assets .....	1,830,276	55,568	1,774,708	1,704,413
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	118,687,878	58,274	118,629,604	104,200,777
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	0
28. Total (Lines 26 and 27)	118,687,878	58,274	118,629,604	104,200,777
DETAILS OF WRITE-INS				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Prepaid Expenses .....	55,568	55,568	0	0
2502. Premium Receivable .....	165,728		165,728	273,047
2503. Assignments .....	1,608,980		1,608,980	1,431,366
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	1,830,276	55,568	1,774,708	1,704,413

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$ ..... less \$ ..... included in Line 6.3 (including \$ ..... Modco Reserve) .....	107,545,174	93,374,116
2. Aggregate reserve for accident and health contracts (including \$ ..... Modco Reserve) .....	11,626	11,626
3. Liability for deposit-type contracts (including \$ ..... Modco Reserve) .....	227,615	281,174
4. Contract claims:		
4.1 Life .....	330,753	419,727
4.2 Accident and health .....	9,733	9,733
5. Policyholders' dividends \$ ..... and coupons \$ ..... due and unpaid .....		0
6. Provision for policyholders' dividends and coupons payable in following calendar year - estimated amounts:		
6.1 Dividends apportioned for payment (including \$ ..... Modco) .....	500	905
6.2 Dividends not yet apportioned (including \$ ..... Modco) .....		
6.3 Coupons and similar benefits (including \$ ..... Modco) .....	2,000	3,915
7. Amount provisionally held for deferred dividend policies not included in Line 6 .....		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ ..... discount; including \$ ..... accident and health premiums .....	444,474	425,704
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts .....		
9.2 Provision for experience rating refunds, including the liability of \$ ..... accident and health experience rating refunds of which \$ ..... is for medical loss ratio rebate per the Public Health Service Act .....		
9.3 Other amounts payable on reinsurance, including \$ ..... assumed and \$ ..... ceded .....	0	0
9.4 Interest Maintenance Reserve .....	775,233	479,956
10. Commissions to agents due or accrued-life and annuity contracts \$ ..... , accident and health \$ ..... and deposit-type contract funds \$ ..... .....	107,790	73,583
11. Commissions and expense allowances payable on reinsurance assumed .....		
12. General expenses due or accrued .....	329,579	328,279
13. Transfers to Separate Accounts due or accrued (net) (including \$ ..... accrued for expense allowances recognized in reserves, net of reinsured allowances) .....		
14. Taxes, licenses and fees due or accrued, excluding federal income taxes .....	78,271	193,804
15.1 Current federal and foreign income taxes, including \$ ..... on realized capital gains (losses) .....		
15.2 Net deferred tax liability .....		
16. Unearned investment income .....	4,188	3,456
17. Amounts withheld or retained by company as agent or trustee .....		
18. Amounts held for agents' account, including \$ ..... agents' credit balances .....		
19. Remittances and items not allocated .....		
20. Net adjustment in assets and liabilities due to foreign exchange rates .....		
21. Liability for benefits for employees and agents if not included above .....		
22. Borrowed money \$ ..... and interest thereon \$ ..... .....		
23. Dividends to stockholders declared and unpaid .....		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve .....	708,664	514,768
24.02 Reinsurance in unauthorized and certified (\$ ..... ) companies .....		0
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$ ..... ) reinsurers .....		
24.04 Payable to parent, subsidiaries and affiliates .....		15,773
24.05 Drafts outstanding .....		
24.06 Liability for amounts held under uninsured plans .....		
24.07 Funds held under coinsurance .....		
24.08 Derivatives .....	0	0
24.09 Payable for securities .....	498,445	
24.10 Payable for securities lending .....		
24.11 Capital notes \$ ..... and interest thereon \$ ..... .....		
25. Aggregate write-ins for liabilities .....	0	0
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25) .....	111,074,045	96,136,519
27. From Separate Accounts Statement .....		
28. Total liabilities (Lines 26 and 27) .....	111,074,045	96,136,519
29. Common capital stock .....	1,000,000	1,000,000
30. Preferred capital stock .....		
31. Aggregate write-ins for other than special surplus funds .....	0	0
32. Surplus notes .....		
33. Gross paid in and contributed surplus .....	6,280,231	6,280,231
34. Aggregate write-ins for special surplus funds .....	0	0
35. Unassigned funds (surplus) .....	275,328	784,027
36. Less treasury stock, at cost:		
36.1 ..... shares common (value included in Line 29 \$ ..... ) .....		
36.2 ..... shares preferred (value included in Line 30 \$ ..... ) .....		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ ..... in Separate Accounts Statement) .....	6,555,559	7,064,258
38. Totals of Lines 29, 30 and 37 .....	7,555,559	8,064,258
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) .....	118,629,604	104,200,777
DETAILS OF WRITE-INS		
2501. ....		
2502. ....		
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	0	0
3101. ....		
3102. ....		
3103. ....		
3198. Summary of remaining write-ins for Line 31 from overflow page .....	0	0
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above) .....	0	0
3401. ....		
3402. ....		
3403. ....		
3498. Summary of remaining write-ins for Line 34 from overflow page .....	0	0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....	0	0

SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts .....	28,191,135	26,996,167	35,304,450
2. Considerations for supplementary contracts with life contingencies .....			
3. Net investment income .....	2,938,563	2,619,392	3,452,142
4. Amortization of Interest Maintenance Reserve (IMR) .....	163,226	130,068	135,254
5. Separate Accounts net gain from operations excluding unrealized gains or losses .....			
6. Commissions and expense allowances on reinsurance ceded .....			
7. Reserve adjustments on reinsurance ceded .....			
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts .....			
8.2 Charges and fees for deposit-type contracts .....			
8.3 Aggregate write-ins for miscellaneous income .....	259,760	0	115,375
9. Totals (Lines 1 to 8.3) .....	31,552,684	29,745,627	39,007,221
10. Death benefits .....	11,991,355	10,450,950	14,075,909
11. Matured endowments (excluding guaranteed annual pure endowments) .....			0
12. Annuity benefits .....	163,674	217,012	299,528
13. Disability benefits and benefits under accident and health contracts .....	30,962	23,869	31,705
14. Coupons, guaranteed annual pure endowments and similar benefits .....	2,528	2,730	2,930
15. Surrender benefits and withdrawals for life contracts .....	30,221	21,039	34,614
16. Group conversions .....			
17. Interest and adjustments on contract or deposit-type contract funds .....	49	67	76
18. Payments on supplementary contracts with life contingencies .....			
19. Increase in aggregate reserves for life and accident and health contracts .....	14,171,058	13,485,775	17,466,335
20. Totals (Lines 10 to 19) .....	26,389,847	24,201,442	31,911,097
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only) .....	3,416,167	3,374,533	4,374,905
22. Commissions and expense allowances on reinsurance assumed .....			
23. General insurance expenses .....	1,681,052	1,572,938	2,125,503
24. Insurance taxes, licenses and fees, excluding federal income taxes .....	459,096	465,532	637,229
25. Increase in loading on deferred and uncollected premiums .....	103,250	196,666	134,048
26. Net transfers to or (from) Separate Accounts net of reinsurance .....			
27. Aggregate write-ins for deductions .....	7,530	8,097	10,408
28. Totals (Lines 20 to 27) .....	32,056,942	29,819,208	39,193,190
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28) .....	(504,258)	(73,581)	(185,969)
30. Dividends to policyholders .....	542	649	943
31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30) .....	(504,800)	(74,230)	(186,912)
32. Federal and foreign income taxes incurred (excluding tax on capital gains) .....	(229,546)	(87,278)	(56,142)
33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32) .....	(275,254)	13,048	(130,770)
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ .....(14,341) (excluding taxes of \$ .....246,887	(26,631)	173,344	99,569
35. Net income (Line 33 plus Line 34) .....	(301,885)	186,392	(31,201)
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year .....	8,064,258	8,574,976	8,574,976
37. Net income (Line 35) .....	(301,885)	186,392	(31,201)
38. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....36,000	67,388	(919,815)	(597,178)
39. Change in net unrealized foreign exchange capital gain (loss) .....			
40. Change in net deferred income tax .....	0	942,000	216,000
41. Change in nonadmitted assets .....	(80,305)	(754,953)	(206,473)
42. Change in liability for reinsurance in unauthorized and certified companies .....			
43. Change in reserve on account of change in valuation basis, (increase) or decrease .....			0
44. Change in asset valuation reserve .....	(193,897)	73,151	108,134
45. Change in treasury stock .....			0
46. Surplus (contributed to) withdrawn from Separate Accounts during period .....			
47. Other changes in surplus in Separate Accounts Statement .....			
48. Change in surplus notes .....			
49. Cumulative effect of changes in accounting principles .....			
50. Capital changes:			
50.1 Paid in .....			
50.2 Transferred from surplus (Stock Dividend) .....			
50.3 Transferred to surplus .....			
51. Surplus adjustment:			
51.1 Paid in .....	0	0	0
51.2 Transferred to capital (Stock Dividend) .....			
51.3 Transferred from capital .....			
51.4 Change in surplus as a result of reinsurance .....			
52. Dividends to stockholders .....			
53. Aggregate write-ins for gains and losses in surplus .....	0	0	0
54. Net change in capital and surplus for the year (Lines 37 through 53) .....	(508,699)	(473,225)	(510,718)
55. Capital and surplus, as of statement date (Lines 36 + 54) .....	7,555,559	8,101,751	8,064,258
DETAILS OF WRITE-INS			
08.301. Advanced Funding Fees .....	259,760		115,375
08.302. ....			
08.303. ....			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page .....	0	0	0
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above) .....	259,760	0	115,375
2701. Change in Dividend & Coupon Reserves .....	7,530	8,097	10,408
2702. ....			0
2703. ....			
2798. Summary of remaining write-ins for Line 27 from overflow page .....	0	0	0
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above) .....	7,530	8,097	10,408
5301. ....			
5302. ....			
5303. ....			
5398. Summary of remaining write-ins for Line 53 from overflow page .....	0	0	0
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above) .....	0	0	0

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	27,939,308	26,725,601	35,118,668
2. Net investment income .....	2,926,588	2,624,786	3,572,041
3. Miscellaneous income .....	259,773	0	115,375
4. Total (Lines 1 to 3) .....	31,125,669	29,350,387	38,806,084
5. Benefit and loss related payments .....	12,309,678	10,749,731	14,437,941
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	5,612,123	5,487,235	7,077,858
8. Dividends paid to policyholders .....	947	1,004	893
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses) .....	(738,000)	20,000	26,000
10. Total (Lines 5 through 9) .....	17,184,748	16,257,970	21,542,692
11. Net cash from operations (Line 4 minus Line 10) .....	13,940,921	13,092,417	17,263,392
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	30,106,898	17,055,372	15,951,552
12.2 Stocks .....	2,014,701	0	9,030,035
12.3 Mortgage loans .....	0	0	0
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	719,157	719,157
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	0	0	30
12.7 Miscellaneous proceeds .....	498,445	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	32,620,044	17,774,529	25,700,774
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	42,433,793	29,518,351	32,759,724
13.2 Stocks .....	3,117,127	0	7,936,817
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	0	0
13.5 Other invested assets .....	75,000	5,964	(2,839)
13.6 Miscellaneous applications .....	0	1,065,337	106,926
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	45,625,920	30,589,652	40,800,628
14. Net increase (or decrease) in contract loans and premium notes .....	25,977	15,325	23,285
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(13,031,853)	(12,830,448)	(15,123,139)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	(53,559)	12,293	(4,061)
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied) .....	(843,615)	(1,132,036)	(1,509,587)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	(897,174)	(1,119,743)	(1,513,648)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) ..	11,894	(857,774)	626,605
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	2,522,562	1,895,957	1,895,957
19.2 End of period (Line 18 plus Line 19.1) .....	2,534,456	1,038,183	2,522,562

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS			
	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life .....			0
2. Ordinary life insurance .....	27,833,719	26,541,483	35,044,852
3. Ordinary individual annuities .....	72,836	142,892	203,168
4. Credit life (group and individual) .....			0
5. Group life insurance .....			0
6. Group annuities .....			0
7. A & H - group .....			0
8. A & H - credit (group and individual) .....			0
9. A & H - other .....	38,345	44,832	60,035
10. Aggregate of all other lines of business .....	0	0	0
11. Subtotal .....	27,944,900	26,729,207	35,308,055
12. Deposit-type contracts .....	0		0
13. Total	27,944,900	26,729,207	35,308,055
DETAILS OF WRITE-INS			
1001. ....			
1002. ....			
1003. ....			
1098. Summary of remaining write-ins for Line 10 from overflow page .....	0	0	0
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)	0	0	0

**STATEMENT AS OF June 30, 2016 OF THE Cincinnati Equitable Life Insurance Company**  
**NOTES TO FINANCIAL STATEMENTS**

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1. Summary of Significant Accounting Policies

A. Accounting Practices

The financial statements of Cincinnati Equitable Life Insurance Company are presented on the basis of accounting practices prescribed or permitted by the Ohio Insurance Department.

The Ohio Insurance Department recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures manual, version effective January 1, 2001, (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Ohio. The State of Ohio has not adopted any prescribed or permitted practices that differ from NAIC SAP.

- 2. No significant change
- 3. No significant change
- 4. No significant change
- 5. Investments

D. Loan-Backed Securities

- 1. Prepayment assumptions for single class and multi-class mortgage-backed/asset-backed securities were obtained from broker dealer survey values or NAIC RMBS/ CMBS modeling.
- 2. The Company had no securities with a recognized other-than-temporary impairment.
- 3. The Company had no securities with a recognized other-than-temporary impairment.
- 4. All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains):

a. The aggregate amount of unrealized losses:

- 1. Less than 12 Months     \$ 55,472
- 2. 12 Months or Longer     \$ 1,068

b. The aggregate related fair value of securities with unrealized losses:

- 1. Less than 12 Months     \$ 5,212,440
- 2. 12 Months or Longer     \$ 220,095

- 5. We used market values obtained from broker dealers and money managers to determine that these securities are not other-than-temporary differences.

- 6. No significant change
- 7. No significant change
- 8. No significant change
- 9. No significant change
- 10. No significant change
- 11. No significant change
- 12. No significant change
- 13. No significant change
- 14. No significant change
- 15. No significant change
- 16. No significant change
- 17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities
  - A. The Company has not transferred receivable balances.
  - B. The Company has no transaction in accordance with SSAP No.18.
  - C. The Company has made no wash sale transactions.

- 18. No significant change
- 19. No significant change

STATEMENT AS OF September 30, 2016 OF THE Cincinnati Equitable Life Insurance Company

NOTES TO FINANCIAL STATEMENTS

20. Fair Value Measure

A.

(1) Fair Value Measurements at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Total
a. Assets at fair value				
Preferred Stocks .....	1,996,510			1,996,510
Bonds -Government .....	1,556,891			1,556,891
Bonds - Other .....		102,298,918		102,298,918
Common Stock - Unaffiliated .....	5,870,988			5,870,988
Common Stock - Affiliated .....		3,091,629		3,091,629
Total assets at fair value	9,424,389	105,390,547	0	114,814,936

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Total
b. Liabilities at fair value				
.....	.....	.....	.....	.....
.....	.....	.....	.....	.....
Total liabilities at fair value	0	0	0	0

A. The Company had no assets that rely on Level 3 fair value measurement.

C. Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)
Bonds .....	103,855,809	100,051,618	1,556,891	102,298,918		
Preferred Stock .....	1,996,510	1,868,652	1,996,510			
Common Stock .....	8,962,617	8,962,617	5,870,988	3,091,629		

21. No significant change

22. No significant change

23. No significant change

24. No significant change

25. Change in Incurred Losses and Loss Adjustment Expenses

There have been no significant changes in the Loss and Loss Adjustment Expense reserves for losses incurred in prior accident years.

26. No significant change

27. No significant change

28. No significant change

29. No significant change

30. No significant change

31. No significant change

32. No significant change

33. No significant change

34. No significant change



STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Cincinnati Equitable Life Insurance Company

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [ ] No [ X ]
- 1.2

If yes, has the report been filed with the domiciliary state?

Yes [ ] No [ ]
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [ ] No [ X ]
- 2.2

If yes, date of change:
- 3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?  
If yes, complete Schedule Y, Parts 1 and 1A.

Yes [ X ] No [ ]
- 3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [ ] No [ X ]
- 3.3

If the response to 3.2 is yes, provide a brief description of those changes.
- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [ ] No [ X ]
- 4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?  
If yes, attach an explanation.

Yes [ ] No [ X ] N/A [ ]
- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2013
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2013
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

11/16/2014
- 6.4

By what department or departments?  
Ohio
- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [ ] No [ ] N/A [ X ]
- 6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [ X ] No [ ] N/A [ ]
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [ ] No [ X ]
- 7.2

If yes, give full information:
- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [ ] No [ X ]
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [ ] No [ X ]
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships; (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity; (c) Compliance with applicable governmental laws, rules and regulations; (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and (e) Accountability for adherence to the code.

Yes [ X ] No [ ]
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended?

Yes [ ] No [ X ]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [ ] No [ X ]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [ ] No [ X ]
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [ ] No [ X ]
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$0
13.

Amount of real estate and mortgages held in short-term investments:

\$0
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [ X ] No [ ]
- 14.2

If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$0	\$
14.22 Preferred Stock	\$0	\$
14.23 Common Stock	\$3,338,104	\$3,091,629
14.24 Short-Term Investments	\$0	\$
14.25 Mortgage Loans on Real Estate	\$0	\$
14.26 All Other	\$0	\$
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$3,338,104	\$3,091,629
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [ ] No [ X ]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? If no, attach a description with this statement.

Yes [ ] No [ X ]

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Cincinnati Equitable Life Insurance Company

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$0
- 16.3 Total payable for securities lending reported on the liability page

\$0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook
- Yes [X] No [ ]

- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Fifth Third Bank	38 Fountain Square Plaza, Cincinnati, OH45263
U.S. Bank	225 Water Street, Suite 700, Jacksonville, FL 32202

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter
- Yes [ ] No [X]

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
	Ft. Washington Investment Advisors	303 Broadway, Suite 1200, Cincinnati, OH45202
	Bahl & Gaynor Investment Counsel	212 E. Third St., Suite 200, Cincinnati, OH 45202

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed
- Yes [X] No [ ]

- 18.2 If no, list exceptions:

GENERAL INTERROGATORIES

PART 2 - LIFE & HEALTH

1.

Report the statement value of mortgage loans at the end of this reporting period for the following categories:

1

Amount

1.1

Long-Term Mortgages In Good Standing

1.11

Farm Mortgages

\$

1.12

Residential Mortgages

\$

1.13

Commercial Mortgages

\$

1.14

Total Mortgages in Good Standing

\$

0

1.2

Long-Term Mortgages In Good Standing with Restructured Terms

1.21

Total Mortgages in Good Standing with Restructured Terms

\$

1.3

Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months

1.31

Farm Mortgages

\$

1.32

Residential Mortgages

\$

1.33

Commercial Mortgages

\$

1.34

Total Mortgages with Interest Overdue more than Three Months

\$

0

1.4

Long-Term Mortgage Loans in Process of Foreclosure

1.41

Farm Mortgages

\$

1.42

Residential Mortgages

\$

1.43

Commercial Mortgages

\$

1.44

Total Mortgages in Process of Foreclosure

\$

0

1.5

Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)

\$

0

1.6

Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter

1.61

Farm Mortgages

\$

1.62

Residential Mortgages

\$

1.63

Commercial Mortgages

\$

1.64

Total Mortgages Foreclosed and Transferred to Real Estate

\$

0

2.

Operating Percentages:

2.1

A&H loss percent

%

2.2

A&H cost containment percent

%

2.3

A&H expense percent excluding cost containment expenses

%

3.1

Do you act as a custodian for health savings accounts?

Yes [ ] No [ X ]

3.2

If yes, please provide the amount of custodial funds held as of the reporting date

\$

3.3

Do you act as an administrator for health savings accounts?

Yes [ ] No [ X ]

3.4

If yes, please provide the balance of the funds administered as of the reporting date

\$

## STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Cincinnati Equitable Life Insurance Company

## SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

[illegible]

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Cincinnati Equitable Life Insurance Company

**SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS**

Current Year To Date - Allocated by States and Territories

States, Etc.			1	Life Contracts		4	Direct Business Only		5	6	7
				2	3						
			Active Status	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 Through 5	Deposit-Type Contracts		
1. Alabama .....	AL	N						.0			
2. Alaska .....	AK	N						.0			
3. Arizona .....	AZ	N						.0			
4. Arkansas .....	AR	N						.0			
5. California .....	CA	N						.0			
6. Colorado .....	CO	N						.0			
7. Connecticut .....	CT	N						.0			
8. Delaware .....	DE	N						.0			
9. District of Columbia .....	DC	N						.0			
10. Florida .....	FL	N						.0			
11. Georgia .....	GA	L	7,382,144	59,582				7,441,726			
12. Hawaii .....	HI	N						.0			
13. Idaho .....	ID	N						.0			
14. Illinois .....	IL	N						.0			
15. Indiana .....	IN	L	8,979,732	10,335				8,990,067			
16. Iowa .....	IA	N						.0			
17. Kansas .....	KS	N						.0			
18. Kentucky .....	KY	L	3,945,571	2,919				3,948,490			
19. Louisiana .....	LA	N						.0			
20. Maine .....	ME	N						.0			
21. Maryland .....	MD	N						.0			
22. Massachusetts .....	MA	N						.0			
23. Michigan .....	MI	N						.0			
24. Minnesota .....	MN	N						.0			
25. Mississippi .....	MS	L	161,123					161,123			
26. Missouri .....	MO	N						.0			
27. Montana .....	MT	N						.0			
28. Nebraska .....	NE	N						.0			
29. Nevada .....	NV	N						.0			
30. New Hampshire .....	NH	N						.0			
31. New Jersey .....	NJ	N						.0			
32. New Mexico .....	NM	N						.0			
33. New York .....	NY	N						.0			
34. North Carolina .....	NC	N						.0			
35. North Dakota .....	ND	N						.0			
36. Ohio .....	OH	L	7,048,195		38,345			7,086,540			
37. Oklahoma .....	OK	N						.0			
38. Oregon .....	OR	N						.0			
39. Pennsylvania .....	PA	N						.0			
40. Rhode Island .....	RI	N						.0			
41. South Carolina .....	SC	N						.0			
42. South Dakota .....	SD	N						.0			
43. Tennessee .....	TN	L	316,954					316,954			
44. Texas .....	TX	N						.0			
45. Utah .....	UT	N						.0			
46. Vermont .....	VT	N						.0			
47. Virginia .....	VA	N						.0			
48. Washington .....	WA	N						.0			
49. West Virginia .....	WV	N						.0			
50. Wisconsin .....	WI	N						.0			
51. Wyoming .....	WY	N						.0			
52. American Samoa .....	AS	N						.0			
53. Guam .....	GU	N						.0			
54. Puerto Rico .....	PR	N						.0			
55. U.S. Virgin Islands .....	VI	N						.0			
56. Northern Mariana Islands .....	MP	N						.0			
57. Canada .....	CAN	N						.0			
58. Aggregate Other Aliens .....	OT	XXX	.0	.0	.0	.0		.0	.0		
59. Subtotal .....	(a)	6	27,833,719	72,836	38,345	.0	27,944,900		.0		
90. Reporting entity contributions for employee benefits plans .....	XXX							.0			
91. Dividends or refunds applied to purchase paid-up additions and annuities .....	XXX							.0			
92. Dividends or refunds applied to shorten endowment or premium paying period .....	XXX							.0			
93. Premium or annuity considerations waived under disability or other contract provisions .....	XXX							.0			
94. Aggregate or other amounts not allocable by State .....	XXX	.0	.0	.0	.0	.0		.0	.0		
95. Totals (Direct Business) .....	XXX		27,833,719	72,836	38,345	.0	27,944,900		.0		
96. Plus Reinsurance Assumed .....	XXX							.0			
97. Totals (All Business) .....	XXX		27,833,719	72,836	38,345	.0	27,944,900		.0		
98. Less Reinsurance Ceded .....	XXX		5,593				5,593				
99. Totals (All Business) less Reinsurance Ceded .....	XXX		27,828,126	72,836	38,345	0	27,939,307		0		
DETAILS OF WRITE-INS											
58001. ....	XXX										
58002. ....	XXX										
58003. ....	XXX										
58998. Summary of remaining write-ins for Line 58 from overflow page .....	XXX	.0	.0	.0	.0	.0		.0	.0		
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above) .....	XXX	0	0	0	0	0	0	0	0		
9401. ....	XXX										
9402. ....	XXX										
9403. ....	XXX										
9498. Summary of remaining write-ins for Line 94 from overflow page .....	XXX	.0	.0	.0	.0	.0		.0	.0		
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above) .....	XXX	0	0	0	0	0	0	0	0		

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Cincinnati Equitable Life Insurance Company

12

**Peter Alpaugh and Andrea Kessel**  
*Individuals*

**Alpha Investment Partnership**  
*A Limited Partnership Managed by Peter Alpaugh  
50% Owned by Peter Alpaugh  
50% Owned by Andrea Kessel  
Owns 89.6% of Cincinnati Equitable Companies  
Federal ID #31-1191572*

**Alpha 2 Holdings Limited LLC**  
*An Ohio LLC  
50% Owned by Peter Alpaugh  
50% Owned by Andrea Kessel  
Federal ID # 20-2317418*

**Alpha 2 Rel Estate, LLC**  
*An Ohio LLC  
100% Owned by Alpha 2  
Holdings LLC  
Federal ID#20-2317418*

**Alpha 2 Energy, LLC**  
*An Ohio LLC  
100% Owned by Alpha 2  
Holdings LLC  
Federal ID20-2317418*

**Alpha 2 Investments LLC**  
*An Ohio LLC 100% owned by Alpha 2 Holding  
12.5% Owned by Peter Alpaugh (50% of Voting Stock)  
12.5% Owned by Andrea Kessel (50% of Voting Stock)  
75% Owned by Charitable Trusts (0% Voting Stock)  
Owns 10.4% of Cincinnati Equitable Companies  
Federal ID #20-2317418*

**Cincinnati Equitable Companies, Inc.**  
*An Ohio Corporation  
89.6% Owned by Alpha Investment Partnership  
10.4% Owned by Alpha 2 Investments LLC  
Federal ID #31-1154154*

**Cincinnati Equitable Life Insurance Company**  
*An Ohio Life Insurance Company  
100% Owned by Cincinnati Equitable Companies, Inc.  
NAIC # 88064, Federal ID #35-1452221*

**Cincinnati Equitable Insurance Company**  
*An Ohio Property Casualty Company  
100% Owned by Cincinnati Equitable Life Insurance Comapny*

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

Asterisk	Explanation



STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Cincinnati Equitable Life Insurance Company

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

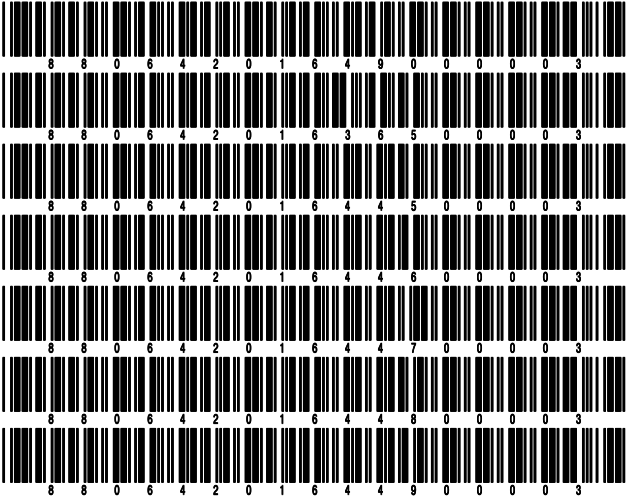
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC? .....	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC? .....	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC? .....	NO

Explanation:

1. N/A
2. N/A
3. N/A
4. N/A
5. N/A
6. N/A
7. N/A

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
7. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]



**OVERFLOW PAGE FOR WRITE-INS**

SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other than temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10) .....		

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage interest and commitment fees .....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	0	701,332
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....	25,000	
2.2 Additional investment made after acquisition .....	50,000	5,695
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		269
5. Unrealized valuation increase (decrease) .....		10,484
6. Total gain (loss) on disposals .....		1,377
7. Deduct amounts received on disposals .....		719,157
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	75,000	0
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....	75,000	0

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	96,832,689	81,952,886
2. Cost of bonds and stocks acquired .....	45,550,920	40,696,541
3. Accrual of discount .....	108,852	99,526
4. Unrealized valuation increase (decrease) .....	103,375	(928,662)
5. Total gain (loss) on disposals .....	664,418	326,931
6. Deduct consideration for bonds and stocks disposed of .....	32,121,599	24,981,587
7. Deduct amortization of premium .....	255,769	332,946
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	110,882,886	96,832,689
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11) .....	110,882,886	96,832,689

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Cincinnati Equitable Life Insurance Company

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a) .....	74,891,769	24,126,637	23,087,117	(1,420,862)	69,729,002	74,891,769	74,510,427	67,563,043
2. NAIC 2 (a) .....	19,666,946	8,703,706	5,244,211	621,437	19,004,196	19,666,946	23,747,878	18,560,709
3. NAIC 3 (a) .....	2,572,266	0	305,387	9,886	2,973,596	2,572,266	2,276,764	3,039,958
4. NAIC 4 (a) .....	197,088	0	212,139	196,660	196,991	197,088	181,608	100,000
5. NAIC 5 (a) .....	0	0	0	542,607	0	0	542,607	87,064
6. NAIC 6 (a) .....	0				0	0	0	
7. Total Bonds	97,328,068	32,830,343	28,848,855	(50,272)	91,903,786	97,328,068	101,259,284	89,350,774
PREFERRED STOCK								
8. NAIC 1 .....	783,022				783,022	783,022	783,022	
9. NAIC 2 .....	358,511	20,354	0	0	339,580	358,511	378,865	103,920
10. NAIC 3 .....	706,805	0	0	(40)	659,594	706,805	706,765	672,737
11. NAIC 4 .....	0				0	0	0	
12. NAIC 5 .....	0				0	0	0	
13. NAIC 6 .....	0				0	0	0	
14. Total Preferred Stock .....	1,848,338	20,354	0	(40)	1,782,196	1,848,338	1,868,652	776,657
15. Total Bonds and Preferred Stock	99,176,406	32,850,697	28,848,855	(50,312)	93,685,981	99,176,406	103,127,935	90,127,431

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ ..... ; NAIC 2 \$ ..... ; NAIC 3 \$ ..... ;  
NAIC 4 \$ ..... ; NAIC 5 \$ ..... ; NAIC 6 \$ .....

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	1,207,666	xxx	1,207,666	81	0

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	2,069,480	1,314,144
2. Cost of short-term investments acquired .....	26,069,884	28,223,119
3. Accrual of discount .....	63	35
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....		0
6. Deduct consideration received on disposals .....	26,931,761	27,467,818
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	1,207,666	2,069,480
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	1,207,666	2,069,480

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

N O N E

SCHEDULE E - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	0	
2. Cost of cash equivalents acquired .....		
3. Accrual of discount .....		
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....		
6. Deduct consideration received on disposals .....		
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	0	0
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	0	0

Schedule A - Part 2 - Real Estate Acquired and Additions Made  
**N O N E**

Schedule A - Part 3 - Real Estate Disposed  
**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made  
**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid  
**N O N E**



STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Cincinnati Equitable Life Insurance Company

## SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

[illegible]

## SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

[illegible]

# NONE

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Cincinnati Equitable Life Insurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
912828-R3-6	UNITED STATES TREASURY		07/15/2016	BARCLAYS CAPITAL INC		251,085	250,000	729	1
0599999. Subtotal - Bonds - U.S. Governments						251,085	250,000	729	XXX
716540-BB-7	PETROLEOS MEXICANOS	R	09/01/2016	Adjustment		364,350	350,000	1,517	2FE
1099999. Subtotal - Bonds - All Other Governments						364,350	350,000	1,517	XXX
00440E-AV-9	ACE INA HOLDINGS INC	R	08/17/2016	CITIGROUP GLOBAL MARKETS INC		375,687	350,000	3,550	1FE
020002-AU-5	ALLSTATE CORP		09/01/2016	JEFFERIES & CO INC, NEW YORK		(548,750)	(500,000)	(3,521)	2FE
025816-BL-2	AMERICAN EXPRESS CO		09/01/2016	Adjustment		(88,500)	(100,000)	(2,273)	3FE
03065B-AG-6	ACART-133-E - ABS		08/19/2016	WELLS FARGO SECURITIES LLC		611,813	600,000	997	1FE
05348E-AY-5	AVALONBAY COMMUNITIES INC		09/26/2016	BANC / AMERICA SECUR. LLC, MONT.		498,445	500,000	0	2FE
05565E-AM-7	BMW US CAPITAL LLC	R	09/08/2016	GOLDMAN SACHS & CO, NY		747,683	750,000	0	1FE
10112R-AU-8	BOSTON PROPERTIES LP		08/04/2016	US BANCORP		433,180	400,000	342	2FE
118230-AP-6	BUCKEYE PARTNERS LP		08/15/2016	MORGAN STANLEY & CO INC, NY		184,075	185,000	3,540	2FE
13976A-AG-5	AFIN 163 C - ABS		09/12/2016	BARCLAYS CAPITAL INC		499,945	500,000	0	1FE
14040H-BD-6	CAPITAL ONE FINANCIAL CORP		08/04/2016	US BANCORP		566,768	540,000	2,835	2FE
14162V-AA-4	CARE CAPITAL PROPERTIES LP		07/07/2016	WELLS FARGO SECURITIES LLC		475,000	475,000	0	2FE
15189X-AQ-1	CENTERPOINT ENERGY HOUSTON ELECTRIC LLC		08/08/2016	DEUTSCHE BANK SECURITIES, INC.		549,362	550,000	0	1FE
161175-AV-6	CHARTER COMMUNICATIONS INC		08/10/2016	BANC / AMERICA SECUR. LLC, MONT.		613,250	500,000	10,086	2FE
17275R-BJ-0	CISCO SYSTEMS INC		09/13/2016	JP MORGAN SECURITIES LLC		499,930	500,000	0	1FE
172967-KX-8	CITIGROUP INC		08/19/2016	CITIGROUP GLOBAL MARKETS INC		498,420	500,000	0	2FE
195869-AG-7	COLONIAL PIPELINE COMPANY		08/15/2016	MORGAN STANLEY & CO INC, NY		414,489	299,000	4,943	1FE
224044-CG-0	COX COMMUNICATIONS INC		09/08/2016	WELLS FARGO SECURITIES LLC		499,155	500,000	0	2FE
233851-CH-5	DAIMLER FINANCE NORTH AMERICA LLC	R	07/01/2016	Adjustment		499,810	500,000	0	1FE
25470D-AL-3	DISCOVERY COMMUNICATIONS LLC		08/15/2016	CITIGROUP GLOBAL MARKETS INC.		324,075	300,000	6,411	2FE
25755T-AD-2	DPABS 151 A21 - ABS		08/15/2016	GUGGENHEIM CAPITAL MKT LLC		503,384	496,250	1,105	2FE
285512-AD-1	ELECTRONIC ARTS INC		08/18/2016	AMHERST PIERPONT SECURITIES		442,484	400,000	9,547	2FE
29379V-AN-3	ENTERPRISE PRODUCTS OPERATING LLC		07/12/2016	Stifel Nicolaus & Co.		324,000	400,000	3,422	2FE
31620M-AT-3	FIDELITY NATIONAL INFORMATION SERVICES I		08/11/2016	CITIGROUP GLOBAL MARKETS INC.		494,455	500,000	0	2FE
375558-BL-6	GILEAD SCIENCES INC		09/15/2016	JP MORGAN SECURITIES LLC		498,230	500,000	0	1FE
38141E-C2-3	GOLDMAN SACHS & CO		08/16/2016	FIRST TENNESSEE SECURITIES CORP		427,844	400,000	1,754	1FE
432837-AA-0	HGVT-13A-NTS - ABS		08/17/2016	VARIOUS		546,262	547,312	661	1FE
49327M-2K-9	KEYBANK NA		08/16/2016	KEYBANC CAPITAL MARKETS INC		528,885	500,000	3,575	1FE
500760-AR-7	KRAFT FOODS GROUP INC		08/16/2016	BANC / AMERICA SECUR. LLC, MONT.		490,998	350,000	1,537	2FE
50587K-AA-9	LAFARGEHOLCIM FINANCE US LLC		09/15/2016	JP MORGAN SECURITIES LLC		499,580	500,000	0	2FE
573284-AA-4	Martin Marietta Materials, Inc.		07/20/2016	Cantor Fitzgerald		494,648	400,000	4,200	2FE
594918-BP-8	MICROSOFT CORP		08/01/2016	BANC / AMERICA SECUR. LLC, MONT.		499,475	500,000	0	1FE
59980T-AB-2	MOULT 161 M1 - CMO		08/15/2016	WELLS FARGO SECURITIES LLC		1,003,250	1,000,000	1,575	1FE
68389X-BM-6	ORACLE CORP		07/01/2016	Adjustment		(298,872)	(300,000)	0	1FE
716540-BB-7	PETROLEOS MEXICANOS	R	09/01/2016	Adjustment		(364,350)	(350,000)	(1,517)	2FE
74052B-AA-5	PREMIER HEALTH PARTNERS		08/24/2016	BARCLAYS CAPITAL INC		500,000	500,000	0	
78403D-AH-3	ABS - SBAC 142 2C		07/15/2016	GUGGENHEIM CAPITAL MKT LLC		337,013	330,000	177	1FE
80284A-AG-1	ABS - SDART 145 D	R	07/21/2016	WELLS FARGO SECURITIES LLC		842,918	825,000	809	1FE
81733Y-EC-9	SEQMT-152-B1 - CMO/RMBS		09/01/2016	KGS ALPHA CAPITAL MARKETS		499,455	483,734	252	1FE
82652W-AA-6	ABS - SRFC-162-A		07/11/2016	BARCLAYS CAPITAL INC		399,918	400,000	0	1FE
84861T-AA-6	SPIRIT REALTY LP		08/11/2016	JP MORGAN SECURITIES LLC		496,890	500,000	0	2FE
87244C-AA-4	TICMM-1-A - CDO		08/25/2016	WELLS FARGO SECURITIES LLC		500,000	500,000	0	
87266H-AA-6	TRUPS FINLS NT SECURITIZATION2016-1 LTD	R	08/01/2016	Adjustment		538,500	600,000	0	1FE
878091-BG-0	TEACHERS INSURANCE AND ANNUITY ASSOCIATI		08/25/2016	FIRST TENNESSEE SECURITIES CORP		359,125	260,000	3,661	1FE
89172H-AB-3	TPMT 153 A2 - CMO/RMBS		09/15/2016	JP MORGAN SECURITIES LLC		530,781	500,000	1,056	
89352H-AK-5	TRANSCANADA PIPELINES LTD	I	08/05/2016	WIZUHO SECURITIES USA INC.		220,522	208,000	2,470	1FE
949746-RG-8	WELLS FARGO & CO		09/01/2016	Adjustment		(399,500)	(400,000)	(5,048)	2FE
976657-AH-9	WEC ENERGY GROUP INC		09/01/2016	Adjustment		(342,790)	(413,000)	(11,898)	2FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						18,226,938	17,486,296	44,249	XXX
020002-AU-5	ALLSTATE CORP		09/01/2016	Adjustment		981,150	876,000	10,717	2FE
025816-BL-2	AMERICAN EXPRESS CO		09/01/2016	Adjustment		88,500	100,000	2,273	3FE
85748D-AA-7	STATE STREET CAPITAL TRUST IV		09/01/2016	Adjustment		432,500	500,000	1,561	2FE
891160-MJ-9	TORONTO-DOMINION BANK	I	09/08/2016	TORONTO DOMINION SECS USA INC		748,688	750,000	0	1FE
949746-RG-8	WELLS FARGO & CO		09/01/2016	Adjustment		399,500	400,000	5,048	2FE
976657-AH-9	WEC ENERGY GROUP INC		09/01/2016	Adjustment		342,790	413,000	11,898	2FE
4899999. Subtotal - Bonds - Hybrid Securities						2,993,128	3,039,000	31,496	XXX
8399997. Total - Bonds - Part 3						21,835,500	21,125,296	77,991	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Cincinnati Equitable Life Insurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
8399999. Total - Bonds						21,835,500	21,125,296	77,991	XXX
949746-80-4	WACHOVIA CORP		08/30/2016	WELLS FARGO SECURITIES LLC	15,000	20,354	0.00	0	P2LFE
8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated)						20,354	XXX	0	XXX
8999997. Total - Preferred Stocks - Part 3						20,354	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						20,354	XXX	0	XXX
002824-10-0	ABBOTT LABORATORIES ORD		07/07/2016	Capital Institutional Services	2,870,000	118,834		0	L
031162-10-0	AMGEN ORD		08/26/2016	INSTINET	510,000	87,665		0	L
478160-10-4	JOHNSON & JOHNSON ORD		09/06/2016	Capital Institutional Services	1,430,000	170,871		0	L
594918-10-4	MICROSOFT ORD		09/06/2016	Capital Institutional Services	3,500,000	200,760		0	L
704326-10-7	PAYCHEX ORD		09/06/2016	Capital Institutional Services	3,285,000	199,761		0	L
88579Y-10-1	3M ORD		09/06/2016	Capital Institutional Services	1,100,000	198,066		0	L
92939U-10-6	WEC ENERGY GROUP ORD		09/06/2016	Capital Institutional Services	2,395,000	146,550		0	L
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)						1,122,507	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						1,122,507	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						1,122,507	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						1,142,861	XXX	0	XXX
9999999 - Totals						22,978,361	XXX	77,991	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

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SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-ign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Design-ation or Market In-dicator (a)
36200N-KA-8	RMBS - GN 605189		09/15/2016	Direct		145	145	147	146	.0	(1)	.0	(1)	.0	145	.0	.0	.0	.5	04/15/2034	1
36202E-JH-3	G2 003864 - RMBS		09/20/2016	Direct		394	394	393	393	.0	1	.0	1	.0	394	.0	.0	.0	13	06/20/2036	1
36202E-MA-4	G2 003953 - RMBS		09/20/2016	Direct		218	218	217	217	.0	1	.0	1	.0	218	.0	.0	.0	7	02/20/2037	1
36202E-NP-0	G2 003998 - RMBS		09/20/2016	Direct		409	409	405	405	.0	4	.0	4	.0	409	.0	.0	.0	15	06/20/2037	1
36202E-OL-6	RMBS - G2 004059		09/20/2016	Direct		436	436	435	435	.0	1	.0	1	.0	436	.0	.0	.0	15	12/20/2037	1
36202E-RS-0	G2 004097 - RMBS		09/20/2016	Direct		413	413	410	410	.0	2	.0	2	.0	413	.0	.0	.0	13	03/20/2038	1
36202E-SB-6	RMBS - G2 004114		09/20/2016	Direct		528	528	533	532	.0	(4)	.0	(4)	.0	528	.0	.0	.0	18	04/20/2038	1
36202F-3N-4	RMBS - G2 005305		09/20/2016	Direct		3,709	3,709	4,021	4,006	.0	(296)	.0	(296)	.0	3,709	.0	.0	.0	99	02/20/2042	1
36202F-DW-3	G2 004617 - RMBS		09/20/2016	Direct		2,161	2,161	2,190	2,187	.0	(26)	.0	(26)	.0	2,161	.0	.0	.0	60	01/20/2040	1
36207J-LY-7	RMBS - GN 433343		09/15/2016	Direct		8	8	8	8	.0	.0	.0	0	.0	8	.0	.0	.0	0	12/15/2026	1
36208W-TL-7	RMBS - GN 463255		09/15/2016	Direct		563	563	568	566	.0	(3)	.0	(3)	.0	563	.0	.0	.0	22	02/15/2037	1
3620C4-RQ-5	RMBS - GN 748495		09/15/2016	Direct		4,640	4,640	5,031	5,023	.0	(383)	.0	(383)	.0	4,640	.0	.0	.0	125	08/15/2040	1
36210N-MM-8	RMBS - GN 497264		09/15/2016	Direct		118	118	117	117	.0	1	.0	1	.0	118	.0	.0	.0	4	12/15/2028	1
38375Q-EY-1	GNR 0833A PB - CMO/RMBS		09/20/2016	Direct		5,305	5,305	5,928	5,932	.0	(628)	.0	(628)	.0	5,305	.0	.0	.0	178	04/20/2038	1
38378B-WQ-8	GNR 1283A AB - CMB5		09/16/2016	Direct		1,928	1,928	1,878	1,880	.0	48	.0	48	.0	1,928	.0	.0	.0	23	05/16/2045	1
912828-HB-6	UNITED STATES TREASURY		09/13/2016	DEUTSCHE BANK SECURITIES, INC.		2,016	2,000	1,956	1,961	.0	4	.0	4	.0	1,965	.0	51	51	34	01/31/2022	1
912828-J7-6	UNITED STATES TREASURY		09/13/2016	DEUTSCHE BANK SECURITIES, INC.		510,020	500,000	494,200	494,556	.0	583	.0	583	.0	495,139	.0	14,881	14,881	8,367	03/31/2022	1
912828-MD-9	UNITED STATES TREASURY		08/10/2016	DEUTSCHE BANK SECURITIES, INC.		101,082	100,000	102,947	100,391	.0	(239)	.0	(239)	.0	100,152	.0	930	930	1,996	12/31/2016	1
912828-QV-5	UNITED STATES TREASURY		08/18/2016	JPMORGAN SECURITIES INC,		262,283	250,971	249,109	249,050	52	(120)	.0	(68)	.0	248,982	.0	13,302	13,302	1,704	07/15/2021	1
912828-R3-6	UNITED STATES TREASURY		07/15/2016	GOLDMAN SACHS & CO, NY		250,692	250,000	251,085	0	.0	.0	.0	0	.0	251,085	.0	(393)	(393)	729	05/15/2026	1
912828-SV-3	UNITED STATES TREASURY		09/19/2016	GOLDMAN SACHS & CO, NY		204,538	200,000	195,476	195,809	.0	447	.0	447	.0	196,256	.0	8,283	8,283	2,967	05/15/2022	1
912828-UF-5	UNITED STATES TREASURY		08/19/2016	DEUTSCHE BANK SECURITIES, INC.		100,582	100,000	98,703	99,234	.0	120	.0	120	.0	99,354	.0	1,228	1,228	725	12/31/2019	1
912828-VB-3	UNITED STATES TREASURY		09/15/2016	MORGAN STANLEY & CO INC,		509,158	500,000	486,428	487,197	.0	1,146	.0	1,146	.0	488,343	.0	20,815	20,815	7,323	05/15/2023	1
912828-WJ-5	UNITED STATES TREASURY		08/15/2016	CITIGROUP GLOBAL MARKETS INC.		538,748	500,000	511,357	510,939	.0	(748)	.0	(748)	.0	510,190	.0	28,558	28,558	9,409	05/15/2024	1
912828-XB-1	UNITED STATES TREASURY		08/11/2016	DEUTSCHE BANK SECURITIES, INC.		523,945	500,000	490,039	490,507	.0	560	.0	560	.0	491,067	.0	32,879	32,879	7,882	05/15/2025	1
912828-XE-5	UNITED STATES TREASURY		08/19/2016	DEUTSCHE BANK SECURITIES, INC.		483,294	475,000	471,198	471,578	.0	481	.0	481	.0	472,059	.0	11,235	11,235	5,178	05/31/2020	1
912828-XG-0	UNITED STATES TREASURY		09/15/2016	DEUTSCHE BANK SECURITIES, INC.		521,717	500,000	505,785	505,551	.0	(571)	.0	(571)	.0	504,981	.0	16,736	16,736	7,362	06/30/2022	1
0599999	Subtotal - Bonds - U.S. Governments					4,029,050	3,898,945	3,880,564	3,629,030	52	380	0	432	0	3,880,547	0	148,503	148,503	54,274	XXX	XXX
731011-AU-6	POLAND, REPUBLIC OF (GOVERNMENT)	R	07/15/2016	DEUTSCHE BANK SECURITIES, INC.		261,563	250,000	248,123	0	.0	46	.0	46	.0	248,168	.0	13,394	13,394	2,347	04/06/2026	2FE
1099999	Subtotal - Bonds - All Other Governments					261,563	250,000	248,123	0	0	46	0	46	0	248,168	0	13,394	13,394	2,347	XXX	XXX
25477P-NF-8	DISTRICT COLUMBIA HSG FIN AGY MULTIFAMIL		09/15/2016	Redemption		1,044	1,044	1,044	1,044	.0	.0	.0	0	.0	1,044	.0	.0	.0	27	06/15/2045	1FE
3128LX-J7-6	RMBS - FH 602086		09/15/2016	Direct		334	334	329	329	.0	5	.0	5	.0	334	.0	.0	.0	11	02/01/2036	1
3128LX-QC-7	RMBS - FH 602251		09/15/2016	Direct		1,050	1,050	1,128	1,129	.0	(79)	.0	(79)	.0	1,050	.0	.0	.0	41	08/01/2036	1
3128PR-LS-6	RMBS - FH J12137		09/15/2016	Direct		1,505	1,505	1,562	1,548	.0	(43)	.0	(43)	.0	1,505	.0	.0	.0	45	05/01/2025	1
312931-4A-3	RMBS - FH A85317		09/15/2016	Direct		753	753	755	757	.0	(4)	.0	(4)	.0	753	.0	.0	.0	21	04/01/2039	1
3130A5-DA-4	FEDERAL HOME LOAN BANKS		08/16/2016	INTL FCStone Financial Inc.		317,049	300,000	292,673	293,014	.0	407	.0	407	.0	293,421	.0	23,628	23,628	5,338	06/13/2025	1
3130A8-SX-7	FEDERAL HOME LOAN BANKS		08/16/2016	INTL FCStone Financial Inc.		253,223	250,000	255,989	0	.0	(71)	.0	(71)	.0	255,918	.0	(2,695)	(2,695)	1,284	06/12/2026	1
313382-AX-1	FEDERAL HOME LOAN BANKS		08/17/2016	INTL FCStone Financial Inc.		206,414	200,000	204,615	0	.0	(184)	.0	(184)	.0	204,431	.0	1,983	1,983	1,865	03/10/2023	1
313560-K3-6	FEDERAL NATIONAL MORTGAGE ASSOCIATION		08/18/2016	NOMURA SECURITIES INTL INC		307,418	300,000	300,659	0	.0	(16)	.0	(16)	.0	300,642	.0	6,775	6,775	2,001	04/24/2026	1
3136A7-DE-9	FNR 1268E NA - CMO/RMBS		09/26/2016	Direct		2,354	2,354	2,322	2,323	.0	31	.0	31	.0	2,354	.0	.0	.0	29	03/25/2042	1
3136AD-FZ-7	CMO/RMBS - FNR 1331D NT		09/26/2016	Direct		1,006	1,006	986	988	.0	18	.0	18	.0	1,006	.0	.0	.0	18	04/25/2043	1
3136AF-TP-9	FNR 1373E PL - CMO/RMBS		09/26/2016	Direct		1,459	1,459	1,542	1,538	.0	(78)	.0	(78)	.0	1,459	.0	.0	.0	31	06/25/2042	1

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Cincinnati Equitable Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation or Market In- dicator (a)
31371N-V4-4	RMBS - FN 257235		09/26/2016	Direct WELLS FARGO SECURITIES		1,013	1,013	1,082	1,058	.0	(45)	.0	(45)	.0	1,013	.0	.0	.0	.38	06/01/2023	1
3137A8-PP-7	CMBS - FHMS K012 A2		07/25/2016	Direct		330,410	300,000	331,570	317,820	.0	(2,036)	.0	(2,036)	.0	315,784	.0	14,626	14,626	8,230	12/25/2020	1
3137AP-H6-0	CMO/RMBS - FHR 4032A AD		09/15/2016	Direct		1,506	1,506	1,471	1,471	.0	.35	.0	.35	.0	1,506	.0	.0	.0	.18	10/15/2041	1
3137B0-M6-8	FHR 4181E TB - CMO/RMBS		09/15/2016	Direct		4,391	4,391	4,368	4,366	.0	.26	.0	.26	.0	4,391	.0	.0	.0	.67	10/15/2042	1
3137EA-DB-2	FREDDIE MAC		08/17/2016	MORGAN STANLEY & CO INC, NY		263,172	250,000	253,287	253,039	.0	(300)	.0	(300)	.0	252,739	.0	10,433	10,433	6,515	01/13/2022	1
3138AD-3P-8	RMBS - FN A10805		09/26/2016	Direct		.791	.791	.833	.834	.0	(43)	.0	(43)	.0	.791	.0	.0	.0	.24	07/01/2041	1
3140ZD-US-0	RMBS - FN 725773		09/26/2016	Direct		1,636	1,636	1,641	1,639	.0	(3)	.0	(3)	.0	1,636	.0	.0	.0	.60	09/01/2034	1
31403C-YH-8	RMBS - FN 745112		09/26/2016	Direct		.499	.499	.517	.514	.0	(15)	.0	(15)	.0	.499	.0	.0	.0	.22	10/01/2035	1
31403D-P9-4	RMBS - FN 745748		09/26/2016	Direct		1,171	1,171	1,146	1,147	.0	.24	.0	.24	.0	1,171	.0	.0	.0	.43	07/01/2036	1
31404N-FW-1	RMBS - FN 773381		09/26/2016	Direct		.753	.753	.706	.710	.0	.44	.0	.44	.0	.753	.0	.0	.0	.23	05/01/2034	1
31406V-R2-4	RMBS - FN 821405		09/26/2016	Direct		1,405	1,405	1,419	1,417	.0	(12)	.0	(12)	.0	1,405	.0	.0	.0	.46	05/01/2035	1
31408A-E4-8	RMBS - FN 845355		09/26/2016	Direct		1,666	1,666	1,697	1,697	.0	(31)	.0	(31)	.0	1,666	.0	.0	.0	.59	01/01/2036	1
31408D-Q3-2	RMBS - FN 848355		09/26/2016	Direct		1,641	1,641	1,620	1,622	.0	.19	.0	.19	.0	1,641	.0	.0	.0	.54	08/01/2035	1
31408G-VU-8	RMBS - FN 851227		09/26/2016	Direct		.48	.48	.49	.50	.0	(1)	.0	(1)	.0	.48	.0	.0	.0	.2	05/01/2036	1
31408J-D9-9	RMBS - FN 852528		09/26/2016	Direct		.26	.26	.25	.25	.0	.1	.0	.1	.0	.26	.0	.0	.0	.1	05/01/2036	1
31409G-JX-5	RMBS - FN 870678		09/26/2016	Direct		2,997	2,997	3,187	3,088	.0	(91)	.0	(91)	.0	2,997	.0	.0	.0	.110	12/01/2021	1
31410F-4Z-5	RMBS - FN 888340		09/26/2016	Direct		.918	.918	.947	.944	.0	(26)	.0	(26)	.0	.918	.0	.0	.0	.31	08/01/2036	1
31410G-BC-6	RMBS - FN 888435		09/26/2016	Direct		.388	.388	.392	.390	.0	(2)	.0	(2)	.0	.388	.0	.0	.0	.15	06/01/2022	1
31410G-W9-0	RMBS - FN 889072		09/26/2016	Direct		.765	.765	.789	.791	.0	(26)	.0	(26)	.0	.765	.0	.0	.0	.35	12/01/2037	1
31410K-XR-0	RMBS - FN 889988		09/26/2016	Direct		1,319	1,319	1,368	1,363	.0	(44)	.0	(44)	.0	1,319	.0	.0	.0	.48	08/01/2038	1
31410T-WZ-4	RMBS - FN 897164		09/26/2016	Direct		1,195	1,195	1,219	1,215	.0	(20)	.0	(20)	.0	1,195	.0	.0	.0	.49	08/01/2036	1
31410V-ZL-3	RMBS - FN 899079		09/26/2016	Direct		.603	.603	.648	.646	.0	(43)	.0	(43)	.0	.603	.0	.0	.0	.21	03/01/2037	1
31412S-LR-4	RMBS - FN 933336		09/26/2016	Direct		.379	.379	.371	.371	.0	.8	.0	.8	.0	.379	.0	.0	.0	.12	01/01/2038	1
31414D-U2-0	RMBS - FN 963301		09/26/2016	Direct		2,958	2,958	2,909	2,927	.0	.31	.0	.31	.0	2,958	.0	.0	.0	.84	05/01/2023	1
31414L-3U-0	RMBS - FN 969811		09/26/2016	Direct		.90	.90	.91	.91	.0	.0	.0	.0	.0	.90	.0	.0	.0	.3	02/01/2023	1
31416H-UM-5	RMBS - FN AA0587		09/26/2016	Direct		4,468	4,468	4,560	4,546	.0	(78)	.0	(78)	.0	4,468	.0	.0	.0	.130	02/01/2039	1
31417V-RS-4	RMBS - FN AC8596		09/26/2016	Direct		2,057	2,057	2,075	2,068	.0	(10)	.0	(10)	.0	2,057	.0	.0	.0	.56	01/01/2025	1
31418M-UM-2	RMBS - FN AD0587		09/26/2016	Direct		.6,182	.6,182	.6,318	.6,300	.0	(117)	.0	(117)	.0	.6,182	.0	.0	.0	.192	12/01/2039	1
376838-KX-5	GLASGOW KY ELEC PLT BRD REV		09/12/2016	Redemption	100,000	100,000	111,312	110,000	110,000	.0	(10,000)	.0	(10,000)	.0	100,000	.0	.0	.0	4,449	06/01/2025	1FE
54439N-AM-9	LOS ANGELES CALIF CMNTY REDEV AGY TAX AL		09/06/2016	Redemption	101,000	100,000	89,803	93,594	93,594	.0	7,406	.0	7,406	.0	101,000	.0	.0	.0	5,957	09/01/2022	1FE
76827Q-CX-9	RIVER ISLANDS PUB FING AUTH CALIF SPL TA		09/01/2016	Redemption	15,000	15,000	15,000	15,000	15,000	.0	.0	.0	.0	.0	15,000	.0	.0	.0	.750	09/01/2020	4
798147-ZS-6	SAN JOSE CALIF REDEV AGY TAX ALLOCATION		08/01/2016	Redemption	20,000	20,000	20,115	20,000	20,000	.0	.0	.0	.0	.0	20,000	.0	.0	.0	1,020	08/01/2020	1FE
83712D-UC-8	SOUTH CAROLINA ST HSG FIN & DEV AUTH MTG		07/01/2016	Redemption	10,000	10,000	10,193	10,190	10,190	.0	(11)	.0	(11)	.0	10,180	.0	(180)	(180)	.351	01/01/2024	1FE
880591-EN-8	TENNESSEE VALLEY AUTHORITY		08/15/2016	INTL FcStone Financial Inc.		150,645	150,000	148,311	148,371	.0	145	.0	145	.0	148,516	.0	2,129	2,129	2,820	08/15/2022	1
3199999. Subtotal - Bonds - U.S. Special Revenues						2,124,702	2,045,372	2,084,647	1,311,969	0	(5,229)	0	(5,229)	0	2,068,003	0	56,699	56,699	42,042	XXX	XXX
02006R-BD-3	AT&T INC		07/20/2016	Brownstone Investment Group LLC		413,504	400,000	374,160	379,995	.0	1,651	.0	1,651	.0	381,646	.0	31,858	31,858	11,333	02/15/2022	2FE
00724F-AC-5	ADOBE SYSTEMS INC		08/11/2016	MILLENNIUM ADVISORS, LLC		131,569	125,000	130,459	.0	.0	(99)	.0	(99)	.0	130,360	.0	1,209	1,209	2,201	02/01/2025	2FE
009090-AA-9	AIR CANADA 2015-1 PASS THROUGH TRUST		09/16/2016	Direct		12,062	12,062	12,062	12,062	.0	.0	.0	.0	.0	12,062	.0	.0	.0	.0	09/15/2028	1FE
020002-AU-5	ALLSTATE CORP		09/01/2016	JEFFERIES & CO INC, NEW YORK		.0	.0	.0	.0	.0	(332)	.0	(332)	.0	.0	.0	.0	.0	.0	05/15/2067	2FE
0258M0-EB-1	AMERICAN EXPRESS CREDIT CORP		07/22/2016	Brownstone Investment Group LLC		101,530	100,000	100,742	.0	.0	(17)	.0	(17)	.0	100,725	.0	.805	.805	.513	05/05/2021	1FE
02665X-AA-7	ABS - AH4R 14SFR3 A		09/19/2016	Direct		2,181	2,181	2,181	2,180	.0	.1	.0	.1	.0	2,181	.0	.0	.0	.53	12/18/2036	1FE
03027X-AD-2	AMERICAN TOWER CORP		08/18/2016	WELLS FARGO SECURITIES LLC		229,252	200,000	213,024	211,183	.0	(753)	.0	(753)	.0	210,431	.0	18,822	18,822	10,222	02/15/2024	2FE
05565Q-BP-2	BP CAPITAL MARKETS PLC	R	07/21/2016	JEFFERIES & CO INC, NEW YORK		388,483	350,000	405,486	384,768	.0	(3,948)	.0	(3,948)	.0	380,821	.0	7,662	7,662	12,906	10/01/2020	1FE
05949A-EW-6	CMO/RMBS - BOAMS 044 2A3		09/26/2016	Direct		.87	.87	.64	.69	.0	.18	.0	.18	.0	.87	.0	.0	.0	.3	05/25/2034	1FM
064207-UV-3	BANK OF OKLAHOMA NA		08/15/2016	Redemption	50,000	50,000	50,000	50,000	50,000	.0	.0	.0	.0	.0	50,000	.0	.0	.0	.466	05/15/2017	2FE
075896-AA-8	BED BATH & BEYOND INC		08/10/2016	JP MORGAN SECURITIES LLC		362,901	350,000	357,056	356,225	.0	(404)	.0	(404)	.0	355,821	.0	7,080	7,080	13,632	08/01/2024	2FE

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Cincinnati Equitable Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation or Market In- dicator (a)
086516-AL-5 12669F-ZP-1	BEST BUY CO INC CIVIL 048 1A7 - CMO/RMBS		08/15/2016 09/27/2016	MARKETAXESS CORPORATION Redemption MERRILL LYNCH PROFESSIONAL CLRG, PURCHAS		220,602 6,000	200,000 6,000	213,900 6,030	213,110 6,028	.0 .0	(1,515) (1)	.0 .0	(1,515) (1)	.0 .0	211,595 6,028	.0 .0	9,007 (28)	9,007 (28)	10,175 220	03/15/2021 07/25/2034	2FE 1FM
14987B-AE-3 172967-JM-4	CC HOLDINGS GS V LLC CITIGROUP INC		08/25/2016 09/01/2016	Adjustment Brownstone Investment Group LLC		617,780 (376,750)	575,000 (400,000)	565,664 (400,175)	567,400 .0	.0 .0	605 .0	.0 .0	605 .0	.0 .0	568,005 (400,188)	.0 .0	49,775 23,438	49,775 23,438	19,365 (7,074)	04/15/2023 12/29/2049	2FE 3FE
26483E-AF-7 28415P-AA-2	DUN & BRADSTREET CORP EHGVT 16A A - ABS		08/16/2016 09/25/2016	Direct BANC / AMERICA SECUR. LLC, MONT.		253,628 28,005	250,000 28,005	260,965 28,005	255,843 .0	.0 .0	(1,902) .0	.0 .0	(1,902) .0	.0 .0	253,941 28,005	.0 .0	(314) .0	(314) .0	5,823 119	12/01/2017 04/25/2028	2FE 1FE
345838-AA-4	FOREST LABORATORIES INC	R	07/12/2016	AMHERST PIERPONT SECURITIES		336,657	300,000	329,469	324,365	.0	(1,994)	.0	(1,994)	.0	322,371	.0	14,286	14,286	8,750	12/15/2021	2FE
369550-AU-2 369604-BM-4 369604-BP-7	GENERAL DYNAMICS CORP GENERAL ELECTRIC CO GENERAL ELECTRIC CO		08/17/2016 09/01/2016 09/01/2016	Adjustment Adjustment MILLENNIUM ADVISORS, LLC		255,723 (251,884) .0	250,000 (246,900) 0	249,632 (234,500) 0	249,723 .0 .0	.0 .0 .0	22 .0 .0	.0 .0 .0	22 .0 .0	.0 .0 .0	249,745 (231,732) 0	.0 .0 .0	5,978 (20,152) .0	5,978 (20,152) .0	4,328 (957) 0	11/15/2022 12/29/2049 12/29/2049	1FE 1FE 1FE
406216-BD-2	HALLIBURTON CO		08/15/2016	WELLS FARGO SECURITIES LLC		155,217	150,000	148,392	148,735	.0	93	.0	93	.0	148,828	.0	6,389	6,389	5,498	08/01/2023	2FE
428236-BM-4 428236-BV-4 432837-AA-0	HEWLETT-PACKARD CO HEWLETT-PACKARD CO HGV-T-13A-NTS - ABS		08/16/2016 08/16/2016 09/25/2016	Direct SUMRIDGE PARTNERS LLC Direct JP MORGAN SECURITIES LLC		134,514 136,626 33,853	125,000 125,000 33,853	124,518 126,871 33,788	124,671 126,407 .0	.0 .0 .0	35 (132) 65	.0 .0 .0	35 (132) 65	.0 .0 .0	124,706 126,275 33,853	.0 .0 .0	9,808 10,352 .0	9,808 10,352 .0	3,852 4,036 98	06/01/2021 12/09/2021 01/26/2026	2FE 2FE 1FE
460690-BH-2	INTERPUBLIC GROUP OF CO INC		07/07/2016	MARKETAXESS CORPORATION		347,718	325,000	315,725	318,797	.0	465	.0	465	.0	319,262	.0	28,456	28,456	10,725	03/15/2022	2FE
46132F-AA-8 46616Y-AA-2 48121C-YK-6 48127F-AA-1	INVESCO FINANCE PLC ABS - HENDR 122 A JPMORGAN CHASE BANK NA JPMORGAN CHASE & CO		08/16/2016 09/15/2016 07/21/2016 09/01/2016	Direct PIERPONT SECURITIES Adjustment Brownstone Investment Group LLC		130,066 5,958 242,298 (166,250)	125,000 5,958 230,000 (175,000)	125,901 6,277 237,559 (172,625)	125,610 6,307 231,887 .0	.0 .0 .0 .0	(50) (349) (592) .0	.0 .0 .0 .0	(50) (349) (592) .0	.0 .0 .0 .0	125,560 5,958 231,295 (172,652)	.0 .0 .0 .0	4,506 .0 11,003 6,402	4,506 .0 11,003 6,402	2,810 152 11,308 (1,799)	11/30/2022 10/15/2059 10/01/2017 12/29/2049	1FE 1FE 1FE 2FE
496902-AJ-6 502413-BD-8	KINROSS GOLD CORP L-3 COMMUNICATIONS CORP	I	08/16/2016 08/15/2016	BAIRD (ROBERT W.) & CO. CANTOR FITZGERALD		309,750 531,515	300,000 500,000	308,870 503,485	306,000 503,034	.0 .0	(613) (198)	.0 .0	(613) (198)	.0 .0	305,387 502,836	.0 .0	4,363 28,679	4,363 28,679	14,863 14,264	09/01/2021 05/28/2024	3FE 2FE
57772K-AC-5	MAXIM INTEGRATED PRODUCTS INC		08/11/2016	CITIGROUP GLOBAL MARKETS INC.		253,540	250,000	252,715	251,727	.0	(366)	.0	(366)	.0	251,362	.0	2,178	2,178	4,705	11/15/2018	2FE
58933Y-AF-2	MERCK & CO INC		08/24/2016	CITIGROUP GLOBAL MARKETS INC.		370,027	350,000	336,810	339,243	.0	852	.0	852	.0	340,095	.0	29,932	29,932	7,649	05/18/2023	1FE
594918-BP-8	MICROSOFT CORP		08/19/2016	MITSUBISHI UFJ SECURITIES		499,360	500,000	499,475	.0	.0	4	.0	4	.0	499,479	.0	(119)	(119)	344	08/08/2021	1FE
714046-AE-9 720198-AD-2	PERKINELMER INC PIEDMONT OPERATING PARTNERSHIP LP		08/10/2016 09/15/2016	US BANCORP WELLS FARGO SECURITIES LLC		166,457 156,414 77,903	150,000 150,000 75,000	165,839 153,375 74,739	161,201 152,856 74,810	.0 .0 .0	(1,131) (210) 16	.0 .0 .0	(1,131) (210) 16	.0 .0 .0	160,069 152,646 74,826	.0 .0 .0	6,387 3,768 3,078	6,387 3,768 3,078	5,625 6,675 1,991	11/15/2021 03/15/2024 02/21/2023	2FE 2FE 1FE
74160M-AL-6 74160M-GL-0	PRIME 031 A11 - CMO/RMBS CMO/RMBS - PRIME 051 1A3		09/26/2016 09/26/2016	Redemption Redemption MILLENNIUM ADVISORS, LLC		6,000 9,000 155,973	6,000 9,000 150,000	6,060 9,000 146,964	6,056 9,000 147,714	.0 .0 .0	(7) .0 190	.0 .0 .0	(7) .0 190	.0 .0 .0	6,049 9,000 147,903	.0 .0 .0	(49) .0 8,070	(49) .0 8,070	225 0 2,531	06/25/2033 03/25/2035 12/15/2022	1FM 1FM 1FE
755111-BX-8	RAYTHEON CO		08/15/2016	MORGAN STANLEY & CO INC, NY																	
77578J-AB-4 78403D-AB-6	ROLLS ROYCE CORP ABS - SBAC 10 2C	R	09/26/2016 07/15/2016	Redemption FIRST TENNESSEE SECURITIES CORP		528,720 250,000	500,000 250,000	499,500 255,723	499,509 250,750	.0 .0	31 (85)	.0 .0	31 (85)	.0 .0	499,541 250,665	.0 .0	29,179 (665)	29,179 (665)	17,370 7,439	10/14/2025 04/15/2042	1FE 1FE
806854-AH-8 82652W-AA-6 828807-CK-1	SCHLUMBERGER INVESTMENT SA SRFC-162-A - ABS SIMON PROPERTY GROUP LP		08/25/2016 09/20/2016 09/15/2016	Direct US BANCORP AMHERST PIERPONT SECURITIES		217,574 38,699 265,795	200,000 38,699 250,000	208,326 38,691 257,103	207,176 .0 256,832	.0 .0 .0	(554) 8 (756)	.0 .0 .0	(554) 8 (756)	.0 .0 .0	206,623 38,699 256,077	.0 .0 .0	10,951 .0 9,718	10,951 .0 9,718	5,455 122 8,555	12/01/2023 07/20/2033 03/15/2022	1FE 1FE 1FE
854502-AD-3	STANLEY BLACK & DECKER INC		08/15/2016	KEYBANC CAPITAL MARKETS INC		262,820	250,000	241,680	243,554	.0	532	.0	532	.0	244,086	.0	18,734	18,734	5,780	11/01/2022	1FE
857477-AL-7	STATE STREET CORP		09/15/2016			412,408	400,000	372,228	377,757	.0	1,896	.0	1,896	.0	379,653	.0	32,755	32,755	10,506	05/15/2023	1FE

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Cincinnati Equitable Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Ident- ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation or Market In- dicator (a)	
857477-AQ-6	STATE STREET CORP		09/01/2016	Adjustment MILLENNIUM ADVISORS, LLC		(199,250)	(200,000)	(202,000)	.0	.0	.0	.0	.0	.0	(201,918)	.0	2,668	2,668	(4,871)	12/29/2049	2FE	
872540-AP-4	TJX CO INC		08/15/2016	FIRST TENNESSEE SECURITIES CORP		259,183	250,000	241,948	242,193	.0	603	.0	603	.0	242,796	.0	16,387	16,387	4,740	05/15/2023	1FE	
87612E-AZ-9	TARGET CORP		07/15/2016	WELLS FARGO SECURITIES LLC		371,473	350,000	338,205	340,909	.0	737	.0	737	.0	341,647	.0	29,826	29,826	10,150	01/15/2022	1FE	
878742-AY-1	TECK RESOURCES LTD		08/16/2016	MILLENNIUM ADVISORS, LLC		168,000	200,000	195,712	196,896	.0	243	.0	243	.0	197,139	.0	(29,139)	(29,139)	7,875	02/01/2023	4FE	
88732J-AS-7	SPECTRUM MANAGEMENT HOLDING COMPANY LLC		09/12/2016			172,794	150,000	175,848	165,539	.0	(3,162)	.0	(3,162)	.0	162,377	.0	10,417	10,417	11,825	04/01/2019	2FE	
90783S-AA-0	UNION PACIFIC RAILROAD COMPANY 2003-1 PA		07/01/2016	Adjustment		.0	.0	.0	.0	.0	(4)	.0	(4)	.0	(4)	.0	.4	.4	.0	01/02/2024	1FE	
909319-AA-3	UNITED AIRLINES INC		08/16/2016	Direct		8,720	8,720	8,999	8,972	.0	(252)	.0	(252)	.0	8,720	.0	.0	.0	187	02/15/2027	1FE	
909320-AA-4	UNITED AIRLINES INC		09/06/2016	Direct		14,290	14,290	14,319	14,317	.0	(26)	.0	(26)	.0	14,290	.0	.0	.0	482	03/03/2028	1FE	
92826C-AD-4	VISA INC		07/22/2016	Brownstone Investment Group LLC		106,612	100,000	100,947	.0	.0	(42)	.0	(42)	.0	100,905	.0	5,707	5,707	1,951	12/14/2025	1FE	
959802-AT-6	WESTERN UNION CO		08/11/2016	MILLENNIUM ADVISORS, LLC		154,424	150,000	152,120	151,439	.0	(254)	.0	(254)	.0	151,186	.0	3,238	3,238	3,685	05/22/2019	2FE	
96033C-AA-0	ABS - WIR 161 A		09/20/2016	Direct		27,537	27,537	27,438	.0	.0	99	.0	99	.0	27,537	.0	.0	.0	257	12/20/2028	1FE	
38999999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						9,397,065	8,875,493	8,994,746	9,002,849	0	(11,580)	0	(11,580)	0	8,944,656	0	452,409	452,409	279,858	XXX	XXX	
020002-AU-5	ALLSTATE CORP		09/01/2016	Adjustment		433,340	376,000	412,660	.0	.0	.0	.0	.0	.0	412,328	.0	21,012	21,012	7,196	05/15/2067	2FE	
172967-JM-4	CITIGROUP INC		09/01/2016	Adjustment		376,750	400,000	400,175	.0	.0	.0	.0	.0	.0	400,188	.0	(23,438)	(23,438)	7,074	12/29/2049	3FE	
369604-BM-4	GENERAL ELECTRIC CO		09/01/2016	Adjustment		251,884	246,900	234,500	.0	.0	.0	.0	.0	.0	231,732	.0	20,152	20,152	957	12/29/2049	1FE	
48127F-AA-1	JPMORGAN CHASE & CO		09/01/2016	Adjustment		166,250	175,000	172,625	.0	.0	.0	.0	.0	.0	172,652	.0	(6,402)	(6,402)	1,799	12/29/2049	2FE	
857477-AQ-6	STATE STREET CORP		09/01/2016	Adjustment		199,250	200,000	202,000	.0	.0	.0	.0	.0	.0	201,918	.0	(2,668)	(2,668)	4,871	12/29/2049	2FE	
48999999 Subtotal - Bonds - Hybrid Securities						1,427,474	1,397,900	1,421,960	0	0	0	0	0	0	1,418,819	0	8,655	8,655	21,896	XXX	XXX	
8399997. Total - Bonds - Part 4						17,239,854	16,467,709	16,630,040	13,943,848	52	(16,382)	0	(16,330)	0	16,560,193	0	679,661	679,661	400,417	XXX	XXX	
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
83999999. Total - Bonds						17,239,854	16,467,709	16,630,040	13,943,848	52	(16,382)	0	(16,330)	0	16,560,193	0	679,661	679,661	400,417	XXX	XXX	
8999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
89999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
00287Y-10-9	ABBVIE ORD		09/01/2016	INSTINET	620,000	40,078		35,764	36,729	(965)	.0	.0	(965)	.0	35,764	.0	4,314	4,314	1,060			
478160-10-4	JOHNSON & JOHNSON ORD		09/06/2016	Capital Institutional Services	1,430,000	170,838		147,654	126,859	862	.0	.0	862	.0	147,654	.0	23,185	23,185	3,361			
494368-10-3	KIMBERLY CLARK ORD		07/07/2016	Capital Institutional Services	410,000	55,162		50,057	52,193	(2,136)	.0	.0	(2,136)	.0	50,057	.0	5,105	5,105	754			
594918-10-4	MICROSOFT ORD		09/08/2016	Capital Institutional Services	3,500,000	200,686		195,723	194,180	1,543	.0	.0	1,543	.0	195,723	.0	4,963	4,963	3,780			
704326-10-7	PAYCHEX ORD		09/06/2016	VARIOUS	3,525,000	214,279		171,985	178,768	(13,615)	.0	.0	(13,615)	.0	171,985	.0	42,294	42,294	4,522			
756109-10-4	REALTY INCOME REIT		07/15/2016	Capital Institutional Services	705,000	48,808		41,927	.0	.0	.0	.0	.0	.0	41,927	.0	6,881	6,881	701			
88579Y-10-1	3M ORD		09/12/2016	Capital Institutional Services	1,100,000	198,040		173,640	165,704	7,936	.0	.0	7,936	.0	173,640	.0	24,400	24,400	3,663			
92939U-10-6	WEC ENERGY GROUP ORD		09/06/2016	VARIOUS	2,710,000	165,810		134,703	119,552	(5,360)	.0	.0	(5,360)	.0	134,703	.0	31,107	31,107	4,024			
90999999 Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)						1,093,701	XXX	951,453	873,986	(11,735)	0	0	(11,735)	0	951,453	0	142,248	142,248	21,866	XXX	XXX	
9799997. Total - Common Stocks - Part 4						1,093,701	XXX	951,453	873,986	(11,735)	0	0	(11,735)	0	951,453	0	142,248	142,248	21,866	XXX	XXX	
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
97999999. Total - Common Stocks						1,093,701	XXX	951,453	873,986	(11,735)	0	0	(11,735)	0	951,453	0	142,248	142,248	21,866	XXX	XXX	
98999999. Total - Preferred and Common Stocks						1,093,701	XXX	951,453	873,986	(11,735)	0	0	(11,735)	0	951,453	0	142,248	142,248	21,866	XXX	XXX	
99999999 - Totals						18,333,555	XXX	17,581,493	14,817,833	(11,683)	(16,382)	0	(28,065)	0	17,511,646	0	821,909	821,909	422,283	XXX	XXX	

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open  
**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open  
**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  
**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To  
**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned  
**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned  
**N O N E**



SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1  Depository	2  Code	3  Rate of Interest	4  Amount of Interest Received During Current Quarter	5  Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9  *
					6	7	8	
					First Month	Second Month	Third Month	
Fifth Third Bank			0	0	461,843	2,371,474	1,326,790	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	461,843	2,371,474	1,326,790	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	461,843	2,371,474	1,326,790	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash	XXX	XXX	0	0	461,843	2,371,474	1,326,790	XXX

Schedule E - Part 2 - Cash Equivalents - Investments Owned End of Current Quarter

N O N E