



LIFE AND ACCIDENT AND HEALTH COMPANIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2016
OF THE CONDITION AND AFFAIRS OF THE

The Western and Southern Life Insurance Company

NAIC Group Code 0836 (Current) 0836 (Prior) NAIC Company Code 70483 Employer's ID Number 31-0487145

Organized under the Laws of Ohio, State of Domicile or Port of Entry Ohio

Country of Domicile United States of America

Incorporated/Organized 02/23/1888 Commenced Business 04/30/1888

Statutory Home Office 400 Broadway (Street and Number) Cincinnati, OH, US 45202 (City or Town, State, Country and Zip Code)

Main Administrative Office 400 Broadway (Street and Number) Cincinnati, OH, US 45202 (City or Town, State, Country and Zip Code) 513-629-1800 (Area Code) (Telephone Number)

Mail Address 400 Broadway (Street and Number or P.O. Box) Cincinnati, OH, US 45202 (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 400 Broadway (Street and Number) Cincinnati, OH, US 45202 (City or Town, State, Country and Zip Code) 513-629-1800 (Area Code) (Telephone Number)

Internet Website Address WWW.WesternSouthernLife.com

Statutory Statement Contact Wade Matthew Fugate (Name) 513-629-1402 (Area Code) (Telephone Number) CompAcctGrp@WesternSouthernLife.com (E-mail Address) 513-629-1871 (FAX Number)

OFFICERS

Chairman of Board, President & CEO John Finn Barrett

Secretary and Counsel Donald Joseph Wuebbling

OTHER

James Howard Acton Jr. #, VP	Edward Joseph Babbitt, VP, Sr Counsel	Troy Dale Brodie, Sr VP, Chief Marketing Officer
Daniel Joseph Carter #, VP	Karen Ann Chamberlain, Sr VP, Chief Information Officer	Kim Rehling Chiodi, Sr VP
Keith Terrill Clark, MD, VP, Medical Director	James Joseph DeLuca, VP	Bryan Chalmer Dunn, Sr VP
Lisa Beth Fangman, VP	Wade Matthew Fugate #, VP, Controller	Stephen Paul Hamilton, VP
Daniel Wayne Harris, Sr VP, Chief Actuary	David Todd Henderson, Sr VP, Chief Risk Officer	Christopher Xavier Hill, VP
Kevin Louis Howard, VP, Deputy Gen Counsel	Bradley Joseph Hunkler, Sr VP, Chief Financial Officer	Stephen Gale Hussey Jr., VP
Narendra Varma Kanteti, VP	Phillip Earl King, VP, Auditor	Michael Joseph Laatsch, VP
Linda Marie Lake, VP	Roger Michael Lanham #, Sr VP, Co-Chief Inv Officer	Daniel Roger Larsen, VP, Tax
Matthew William Loveless, VP	Joseph Hanlon Lynch Jr. #, VP	Bruce William Maisel, VP, CCO
Jill Tripp McGruder, Sr VP, Chief Marketing Officer	Jimmy Joe Miller, Sr VP	Jonathan David Niemeyer, Sr VP, CAO, & Gen Counsel
Steven Owen Reeves, VP	Mario Joseph San Marco, VP	Luc Paul Sicotte, VP
Denise Lynn Sparks, VP	Jeffrey Laurence Stainton, VP, Assoc Gen Counsel	Thomas Martin Stapleton, VP
Gerald Joseph Ulland, VP	James Joseph Vance, Sr VP, Treasurer	Eric John Walzer #, VP
Brendan Matthew White #, Sr VP, Co-Chief Inv Officer		

DIRECTORS OR TRUSTEES

John Finn Barrett	James Norman Clark	Jo Ann Davidson
James Kirby Risk III	George Herbert Walker III	Thomas Luke Williams
John Peter Zanotti		

State of Ohio

County of Hamilton

SS:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

John Finn Barrett
Chairman of Board, President & CEO

Donald Joseph Wuebbling
Secretary and Counsel

Wade Matthew Fugate
VP and Controller

Subscribed and sworn to before me this 26th day of October 2016

a. Is this an original filing? Yes [X] No []
b. If no,
1. State the amendment number.....
2. Date filed
3. Number of pages attached.....

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	3,494,225,727	0	3,494,225,727	3,567,134,235
2. Stocks:				
2.1 Preferred stocks	35,128,784	0	35,128,784	38,404,301
2.2 Common stocks	3,393,616,984	10,143	3,393,606,841	3,158,650,776
3. Mortgage loans on real estate:				
3.1 First liens	42,400,953	0	42,400,953	41,006,238
3.2 Other than first liens.....				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)	26,223,906	0	26,223,906	26,853,515
4.2 Properties held for the production of income (less \$ encumbrances)	3,132,850	0	3,132,850	3,153,252
4.3 Properties held for sale (less \$ encumbrances)				
5. Cash (\$(8,553,072)), cash equivalents (\$88,813,158) and short-term investments (\$36,333,287)	116,593,373	0	116,593,373	151,049,563
6. Contract loans (including \$ premium notes)	167,372,351	0	167,372,351	168,581,964
7. Derivatives		0	0	153,074
8. Other invested assets	1,574,958,178	101,550,254	1,473,407,924	1,293,151,755
9. Receivables for securities	12,545,242	0	12,545,242	13,686,790
10. Securities lending reinvested collateral assets	75,630,428	0	75,630,428	55,100,703
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	8,941,828,776	101,560,397	8,840,268,379	8,516,926,166
13. Title plants less \$ charged off (for Title insurers only)				
14. Investment income due and accrued	53,033,627	0	53,033,627	46,221,367
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	2,623,600	0	2,623,600	2,626,775
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)	49,799,389		49,799,389	49,319,875
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	445,875	0	445,875	88,945
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts	14,470,376	0	14,470,376	23,007,064
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon	5,216,918	0	5,216,918	
18.2 Net deferred tax asset	100,243,011	0	100,243,011	139,347,117
19. Guaranty funds receivable or on deposit	1,306,845	0	1,306,845	1,349,600
20. Electronic data processing equipment and software	29,415,623	25,114,691	4,300,932	4,339,515
21. Furniture and equipment, including health care delivery assets (\$)	8,810,521	8,810,521	0	
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates	29,674,799	2,064,240	27,610,559	24,726,533
24. Health care (\$) and other amounts receivable	1,917,860	377,492	1,540,368	1,631,979
25. Aggregate write-ins for other than invested assets	6,846,627	6,846,627	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	9,245,633,847	144,773,968	9,100,859,879	8,809,584,936
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	982,275,184	0	982,275,184	982,729,267
28. Total (Lines 26 and 27)	10,227,909,031	144,773,968	10,083,135,063	9,792,314,203
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Prepaid Expense	6,846,627	6,846,627	0	
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	6,846,627	6,846,627	0	0

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$2,638,691,744 less \$ included in Line 6.3 (including \$ Modco Reserve)	2,638,691,744	2,619,095,999
2. Aggregate reserve for accident and health contracts (including \$ Modco Reserve)	251,081,282	244,891,281
3. Liability for deposit-type contracts (including \$ Modco Reserve)	230,350,233	237,126,379
4. Contract claims:		
4.1 Life	40,632,989	59,091,307
4.2 Accident and health	3,176,439	3,138,333
5. Policyholders' dividends \$239,000 and coupons \$ due and unpaid	239,000	240,000
6. Provision for policyholders' dividends and coupons payable in following calendar year - estimated amounts:		
6.1 Dividends apportioned for payment (including \$ Modco)	18,739,963	989,816
6.2 Dividends not yet apportioned (including \$ Modco)	31,000,825	40,598,827
6.3 Coupons and similar benefits (including \$ Modco)		
7. Amount provisionally held for deferred dividend policies not included in Line 6		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ discount; including \$114,624 accident and health premiums	5,038,200	4,939,313
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts	4,019,075	6,577,966
9.2 Provision for experience rating refunds, including the liability of \$ accident and health experience rating refunds of which \$ is for medical loss ratio rebate per the Public Health Service Act		
9.3 Other amounts payable on reinsurance, including \$ assumed and \$475,637 ceded	475,637	427,981
9.4 Interest Maintenance Reserve	50,714,477	46,166,028
10. Commissions to agents due or accrued-life and annuity contracts \$2,377,752 , accident and health \$91,811 and deposit-type contract funds \$	2,469,593	2,715,654
11. Commissions and expense allowances payable on reinsurance assumed	319,819	332,289
12. General expenses due or accrued	197,817,730	210,400,428
13. Transfers to Separate Accounts due or accrued (net) (including \$ accrued for expense allowances recognized in reserves, net of reinsured allowances)	(24,214)	(24,375)
14. Taxes, licenses and fees due or accrued, excluding federal income taxes	2,581,087	3,817,114
15.1 Current federal and foreign income taxes, including \$ on realized capital gains (losses)		27,218,362
15.2 Net deferred tax liability		
16. Unearned investment income	3,106,601	3,106,601
17. Amounts withheld or retained by company as agent or trustee	794,128	673,892
18. Amounts held for agents' account, including \$ agents' credit balances	3,802,128	3,653,822
19. Remittances and items not allocated		
20. Net adjustment in assets and liabilities due to foreign exchange rates		
21. Liability for benefits for employees and agents if not included above	204,022,646	198,477,123
22. Borrowed money \$0 and interest thereon \$		
23. Dividends to stockholders declared and unpaid		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve	348,411,984	275,485,912
24.02 Reinsurance in unauthorized and certified (\$) companies		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$) reinsurers		
24.04 Payable to parent, subsidiaries and affiliates		
24.05 Drafts outstanding		
24.06 Liability for amounts held under uninsured plans		
24.07 Funds held under coinsurance		
24.08 Derivatives	0	1,173,724
24.09 Payable for securities	30,933,753	1,099,733
24.10 Payable for securities lending	197,223,339	147,360,095
24.11 Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	107,726,269	122,476,634
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	4,373,344,727	4,261,250,238
27. From Separate Accounts Statement	982,275,184	982,729,267
28. Total liabilities (Lines 26 and 27)	5,355,619,911	5,243,979,505
29. Common capital stock	1,000,000	1,000,000
30. Preferred capital stock		
31. Aggregate write-ins for other than special surplus funds		
32. Surplus notes		
33. Gross paid in and contributed surplus	55,002,515	55,002,515
34. Aggregate write-ins for special surplus funds	0	0
35. Unassigned funds (surplus)	4,671,512,637	4,492,332,183
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 29 \$)		
36.2 shares preferred (value included in Line 30 \$)		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement)	4,726,515,152	4,547,334,698
38. Totals of Lines 29, 30 and 37	4,727,515,152	4,548,334,698
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	10,083,135,063	9,792,314,203
DETAILS OF WRITE-INS		
2501. Pension Liability	105,225,271	115,879,183
2502. Uncashed drafts and checks pending escheatment to a state	1,784,338	2,580,536
2503. Interest on contract and policy funds	716,660	3,415,528
2598. Summary of remaining write-ins for Line 25 from overflow page	0	601,387
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	107,726,269	122,476,634
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page		
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)		
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page		
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)		

SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	184,660,223	185,924,900	256,197,400
2. Considerations for supplementary contracts with life contingencies	24,362		
3. Net investment income	236,904,594	262,903,311	545,323,549
4. Amortization of Interest Maintenance Reserve (IMR)	2,229,759	1,401,109	2,044,587
5. Separate Accounts net gain from operations excluding unrealized gains or losses			
6. Commissions and expense allowances on reinsurance ceded			854,695
7. Reserve adjustments on reinsurance ceded			
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts			
8.2 Charges and fees for deposit-type contracts			
8.3 Aggregate write-ins for miscellaneous income	120,877	34,088	1,308,439
9. Totals (Lines 1 to 8.3)	423,939,815	450,263,408	805,728,670
10. Death benefits	104,339,110	134,504,799	179,472,294
11. Matured endowments (excluding guaranteed annual pure endowments)	2,439,897	144,116	393,422
12. Annuity benefits	77,103,072	77,257,035	108,904,179
13. Disability benefits and benefits under accident and health contracts	12,270,285	12,772,966	16,607,554
14. Coupons, guaranteed annual pure endowments and similar benefits			
15. Surrender benefits and withdrawals for life contracts	54,191,114	57,139,563	76,159,687
16. Group conversions			
17. Interest and adjustments on contract or deposit-type contract funds	8,416,176	16,521,831	23,787,377
18. Payments on supplementary contracts with life contingencies	379,438	404,218	534,065
19. Increase in aggregate reserves for life and accident and health contracts	21,147,195	(18,765,213)	(5,848,452)
20. Totals (Lines 10 to 19)	280,286,287	279,979,315	400,010,126
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	21,546,842	18,991,263	25,514,799
22. Commissions and expense allowances on reinsurance assumed	972,931	(4,241,165)	(3,908,876)
23. General insurance expenses	89,887,421	92,365,465	125,177,442
24. Insurance taxes, licenses and fees, excluding federal income taxes	12,890,316	12,382,148	15,239,161
25. Increase in loading on deferred and uncollected premiums	301,230	(797,534)	(1,294,149)
26. Net transfers to or (from) Separate Accounts net of reinsurance	(38,918,207)	(37,632,828)	(57,159,530)
27. Aggregate write-ins for deductions	(26,174,902)	(29,251,785)	(36,515,683)
28. Totals (Lines 20 to 27)	340,791,918	331,794,879	467,063,290
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	83,147,897	118,468,529	338,665,380
30. Dividends to policyholders	43,164,019	44,361,405	57,752,235
31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30)	39,983,878	74,107,124	280,913,145
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	7,650,141	24,085,341	28,819,649
33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	32,333,737	50,021,783	252,093,496
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$(4,449,039) (excluding taxes of \$3,649,804 transferred to the IMR)	(11,440,413)	49,762	15,960,840
35. Net income (Line 33 plus Line 34)	20,893,324	50,071,545	268,054,336
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year	4,548,334,698	4,294,209,873	4,294,209,873
37. Net income (Line 35)	20,893,324	50,071,545	268,054,336
38. Change in net unrealized capital gains (losses) less capital gains tax of \$42,010,704	234,324,943	(23,948,046)	(149,736,404)
39. Change in net unrealized foreign exchange capital gain (loss)			
40. Change in net deferred income tax	10,226,875	35,853,443	50,246,315
41. Change in nonadmitted assets	(22,226,599)	247,901	(1,367,403)
42. Change in liability for reinsurance in unauthorized and certified companies			
43. Change in reserve on account of change in valuation basis, (increase) or decrease		(552,311)	(552,311)
44. Change in asset valuation reserve	(72,926,072)	78,914,139	69,911,856
45. Change in treasury stock			
46. Surplus (contributed to) withdrawn from Separate Accounts during period			
47. Other changes in surplus in Separate Accounts Statement			
48. Change in surplus notes			
49. Cumulative effect of changes in accounting principles			
50. Capital changes:			
50.1 Paid in			
50.2 Transferred from surplus (Stock Dividend)			
50.3 Transferred to surplus			
51. Surplus adjustment:			
51.1 Paid in			
51.2 Transferred to capital (Stock Dividend)			
51.3 Transferred from capital			
51.4 Change in surplus as a result of reinsurance			
52. Dividends to stockholders			(40,000,000)
53. Aggregate write-ins for gains and losses in surplus	8,887,983	11,902,947	57,568,436
54. Net change in capital and surplus for the year (Lines 37 through 53)	179,180,454	152,489,618	254,124,825
55. Capital and surplus, as of statement date (Lines 36 + 54)	4,727,515,152	4,446,699,491	4,548,334,698
DETAILS OF WRITE-INS			
08.301. Miscellaneous Income	120,877	34,088	1,308,439
08.302.			
08.303.			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page			
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	120,877	34,088	1,308,439
2701. Benefits for employees and agents not included elsewhere	28,445,868	28,933,299	45,158,027
2702. Securities lending interest expense	654,360	729,523	936,370
2703. General Litigation Reserve	403,126	656,791	0
2798. Summary of remaining write-ins for Line 27 from overflow page	(55,678,256)	(59,571,398)	(82,610,080)
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	(26,174,902)	(29,251,785)	(36,515,683)
5301. Change in unrecognized pension liability, net of tax	14,932,286	19,963,089	41,379,564
5302. Change in unrecognized other post retirement employee benefits liability, net of tax	(1,337,488)	(511,600)	22,275,838
5303. Change in unrecognized SERP liability, net of tax		0	1,461,576
5398. Summary of remaining write-ins for Line 53 from overflow page	(4,706,815)	(7,548,542)	(7,548,542)
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	8,887,983	11,902,947	57,568,436

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	184,005,903	185,975,370	257,767,309
2. Net investment income	203,118,050	219,929,414	399,831,061
3. Miscellaneous income	120,877	34,088	2,163,134
4. Total (Lines 1 to 3)	387,244,830	405,938,872	659,761,504
5. Benefit and loss related payments	280,495,733	306,916,617	412,303,961
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	(38,918,368)	(37,634,064)	(57,157,935)
7. Commissions, expenses paid and aggregate write-ins for deductions	73,321,458	50,190,109	68,180,978
8. Dividends paid to policyholders	35,012,874	35,126,002	57,224,222
9. Federal and foreign income taxes paid (recovered) net of \$(5,343,542) tax on capital gains (losses)	39,112,071	19,562,702	47,844,384
10. Total (Lines 5 through 9)	389,023,768	374,161,366	528,395,610
11. Net cash from operations (Line 4 minus Line 10)	(1,778,938)	31,777,506	131,365,894
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	589,813,811	557,598,064	798,603,591
12.2 Stocks	320,834,624	560,341,591	781,840,362
12.3 Mortgage loans	648,609	11,371,020	11,564,502
12.4 Real estate	472,500	744,845	744,845
12.5 Other invested assets	105,892,445	107,250,709	140,199,057
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	39,025	(13,165)	(11,464)
12.7 Miscellaneous proceeds	31,128,642	189,408,563	295,375,484
12.8 Total investment proceeds (Lines 12.1 to 12.7)	1,048,829,656	1,426,701,627	2,028,316,377
13. Cost of investments acquired (long-term only):			
13.1 Bonds	515,640,096	630,752,394	829,108,203
13.2 Stocks	290,778,718	434,381,887	590,543,407
13.3 Mortgage loans	2,043,325	34,912,006	35,716,200
13.4 Real estate	968,192	1,191,063	1,398,258
13.5 Other invested assets	243,884,029	199,145,145	306,777,064
13.6 Miscellaneous applications	20,529,725	68,955,099	78,510,252
13.7 Total investments acquired (Lines 13.1 to 13.6)	1,073,844,085	1,369,337,594	1,842,053,384
14. Net increase (or decrease) in contract loans and premium notes	(1,209,613)	(2,927,373)	(3,156,777)
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(23,804,815)	60,291,406	189,419,770
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0	0
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	(6,776,146)	(2,151,158)	(3,238,104)
16.5 Dividends to stockholders	0	0	40,000,000
16.6 Other cash provided (applied)	(2,096,291)	(94,735,882)	(230,284,027)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(8,872,437)	(96,887,040)	(273,522,131)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(34,456,190)	(4,818,128)	47,263,533
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	151,049,563	103,786,030	103,786,030
19.2 End of period (Line 18 plus Line 19.1)	116,593,373	98,967,902	151,049,563

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Dividend from Western-Southern Life Assurance Company in the form of debt securities			99,102,175
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EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS			
	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life	92,595	107,178	11,796,626
2. Ordinary life insurance	163,942,769	165,155,516	216,839,125
3. Ordinary individual annuities	22,640	36,015	39,099
4. Credit life (group and individual)			0
5. Group life insurance	2,701,956	2,041,516	3,570,612
6. Group annuities			0
7. A & H - group			0
8. A & H - credit (group and individual)			0
9. A & H - other	19,793,315	20,467,425	27,024,418
10. Aggregate of all other lines of business	0	0	0
11. Subtotal	186,553,275	187,807,650	259,269,880
12. Deposit-type contracts	0		0
13. Total	186,553,275	187,807,650	259,269,880
DETAILS OF WRITE-INS			
1001.			
1002.			
1003.			
1098. Summary of remaining write-ins for Line 10 from overflow page	0	0	0
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of The Western and Southern Life Insurance Company (the Company) are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance (the Department).

The Department recognizes only statutory accounting practices prescribed or permitted by the state of Ohio for determining and reporting the financial condition and results of operations of an insurance company. The National Association of Insurance Commissioners' (NAIC) *Accounting Practices and Procedures* manual, (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the state of Ohio. The Department has the right to permit other specific practices that deviate from prescribed practices. There are no differences between the Company's net income and capital and surplus following NAIC SAP or practices prescribed and permitted by the state of Ohio.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below:

	State of Domicile	2016	2015
NET INCOME			
(1) State basis (Page 4, Line 35, Columns 1 & 3)	OH	20,893,324	268,054,336
(2) State Prescribed Practices that increase/(decrease) NAIC SAP			
(3) State Permitted Practices that increase/(decrease) NAIC SAP			
(4) NAIC SAP (1-2-3=4)	OH	20,893,324	268,054,336
SURPLUS			
(5) State basis (Page 3, Line 38, Columns 1 & 2)	OH	4,727,515,152	4,548,334,698
(6) State Prescribed Practices that increase/(decrease) NAIC SAP			
(7) State Permitted Practices that increase/(decrease) NAIC SAP			
(8) NAIC SAP (5-6-7=8)	OH	4,727,515,152	4,548,334,698

B. Use of Estimates in the Preparation of the Financial Statements. No Change.

C. Accounting Policy. No Change.

D. Going Concern. Management has not raised any doubts about the entity's ability to continue as a going concern.

2. Accounting Changes and Correction of Errors

Effective January 1, 2016, the Company determined that its reserves related to certain classes of traditional life policies were understated due to an error in the calculation of premium mode factors. This resulted in a change of the aggregate reserve for traditional contracts that is required to be recorded directly to surplus rather than as a part of the reserve change recognized in the Summary of Operations. The company has recorded a \$4.7 million decrease directly to surplus as a result of the correction through the Aggregate Write-ins for Gains and Losses in Surplus, within the line titled *Traditional Life Reserve Correction*, on the Summary of Operations.

3. Business Combinations and Goodwill. No Change.

4. Discontinued Operations. No Change.

5. Investments

A. Mortgage Loans, including Mezzanine Real Estate Loans. No Change.

B. Debt Restructuring. None.

C. Reverse Mortgages. None.

D. Loan-Backed Securities

- (1) Prepayment assumptions are derived from Bloomberg and broker dealer prepayment models or from empirical data.
- (2) The Company had no other-than-temporary impairments on loan-backed and structured securities for the nine month period ended September 30, 2016, due to the intent to sell the security or the inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis of the security.
- (3) The following is a list of each loan-backed and structured security with a recognized other-than-temporary impairment, for the nine month period ended September 30, 2016, as the present value of future cash flows expected to be collected is less than the amortized cost basis of the securities:

1	2	3	4	5	6	7
CUSIP	Book/Adjusted Carrying Value Amortized Cost Before Current Period OTTI	Present Value of Projected Cash Flows	Recognized Other-Than-Temporary Impairment	Amortized Cost After Other-Than-Temporary Impairment	Fair Value at time of OTTI	Date of Financial Statement Where Reported
46628S-AH-6	1,972,874	1,945,938	26,936	1,945,938	1,895,458	..06/30/2016
46628S-AJ-2	1,118,994	1,105,695	13,299	1,105,695	1,045,294	..06/30/2016
86359D-SR-9	380,336	380,093	243	380,093	349,466	..06/30/2016
45660L-2V-0	2,296,623	2,287,181	9,442	2,287,181	2,176,149	..09/30/2016
Total	XXX	XXX	49,920	XXX	XXX	XXX

- (4) The following is an aggregate total of all impaired loan-backed securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss, including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains as of September 30, 2016:

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

NOTES TO FINANCIAL STATEMENTS

- a.The aggregate amount of unrealized losses:
- 1. Less than 12 Months5,533,360
 - 2. 12 Months or Longer2,852,176
- b.The aggregate related fair value of securities with unrealized losses:
- 1. Less than 12 Months94,893,582
 - 2. 12 Months or Longer128,315,209

- (5) The Company monitors investments to determine if there has been an other-than-temporary decline in fair value. Factors management considers for each identified security include the following:
- a. the length of time and the extent to which the fair value is below the book/adjusted carry value;
 - b. the financial condition and near term prospects of the issuer, including specific events that may affect its operations;
 - c. for equity securities and debt securities with credit related declines in fair value, the Company's intent and ability to hold the security long enough for it to recover its value to book/adjusted carry value;
 - d. for debt securities with interest related declines in fair value, the Company's intent to sell the security before recovery of its book/adjusted carry value;
 - e. for loan-backed securities, the Company's intent and ability to hold the security long enough for it to recover its value to book/adjusted carry value;
 - f. for loan-backed securities, the Company's intent to sell the security before recovery of its book/adjusted carry value.

If the decline is judged to be other-than-temporary, an impairment charge is recorded as a net realized capital loss in the period the determination is made.

E. Repurchase Agreements and/or Securities Lending Transactions

(3) Collateral Received

- B. The fair value of that collateral and of the portion of that collateral that it has sold or repledged is \$201.7 million and \$48.2 million in the general and separate accounts, respectively.

F. Real Estate. No Change.

G. Low Income Housing Tax Credit Property Investments. No significant holdings. No Change.

H. Restricted Assets. No Change.

I. Working Capital Finance Investments. None.

J. Offsetting and Netting of Assets and Liabilities

Information related to the Company's derivative instruments and the effects of offsetting on the balance sheet are as follows:

	Gross Amount Recognized	Amount Offset*	Net Amount Presented on Financial Statements
(1) Assets			
.....
.....

* For derivative assets and derivative liabilities, the amount offset shall agree to Schedule DB, Part D, Section 1

	Gross Amount Recognized	Amount Offset*	Net Amount Presented on Financial Statements
(2) Liabilities			
.....
.....

* For derivative assets and derivative liabilities, the amount offset shall agree to Schedule DB, Part D, Section 1

K. Structured Notes. No Change.

6. Joint Ventures, Partnerships and Limited Liability Companies. No Change.

7. Investment Income. No Change.

8. Derivative Instruments. No Change.

9. Income Taxes. No Change.

10. Information Concerning Parent, Subsidiaries and Affiliates. No Change.

11. Debt. No Change.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. Defined Benefit Plan

	Pension Benefits		Postretirement Benefits		Special or Contractual Benefits Per SSAP No. 11	
	2016	2015	2016	2015	2016	2015
(4) Components of net periodic benefit cost						
a. Service cost	13,538,332	21,330,786	465,737	924,383		
b. Interest cost	27,246,503	41,505,249	4,745,333	8,347,205		
c. Expected return on plan assets	(48,438,746)	(65,747,453)				
d. Transition asset or obligation						
e. Gains and losses	26,823,242	41,969,214	(1,251,428)	25,216		
f. Prior service cost or credit	(3,850,494)	(1,019,286)	(806,247)	(1,074,624)		
g. Gain or loss recognized due to a settlement or curtailment						
h. Total net periodic benefit cost	15,318,837	38,038,510	3,153,395	8,222,180	0	0

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations. No Change.

14. Liabilities, Contingencies, and Assessments. No Change.

15. Leases. No Change.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

NOTES TO FINANCIAL STATEMENTS

16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk. No Change.
17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities
- B. Transferring and Servicing of Financial Assets
- (2) For all servicing assets and servicing liabilities.
- b. Not applicable.
- (4) For securitizations, asset-backed financing arrangements and similar transfers accounted for as sales when the transferor has continuing involvement with the transferred financial assets:
- a. Not applicable.
- b. Not applicable.
- C. Wash Sales. No change.
18. Gain or Loss to the Reporting Entity from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans. No Change.
19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators. No Change.
20. Fair Value Measurements

- A.
- (1) Fair Value Measurements at September 30, 2016

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Total
a. Assets at fair value				
Bonds: Industrial & miscellaneous	0	4,467,132	0	4,467,132
Common stock: Industrial & miscellaneous	1,223,953,135	0	0	1,223,953,135
Common stock: Mutual funds	76,294,601	0	0	76,294,601
Separate account assets	631,213,964	273,307,051	77,754,168	982,275,183
Total assets at fair value	1,931,461,700	277,774,183	77,754,168	2,286,990,051

There were no significant transfers between Level 1 and Level 2 of the fair value hierarchy. See Note 20A(3) for the policy for determining when transfers between levels are recognized.

- (2) Fair Value Measurements in Level 3 of the Fair Value Hierarchy

Quarter Ended at September 30, 2016

Description for each class of asset or liability	Ending Balance as of 06/30/2016	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance for 09/30/2016
a. Assets										
Separate account assets*	72,790,481	0	0	2,636,401	0	3,783,816	0	0	(1,456,530)	77,754,168
Total Assets	72,790,481	0	0	2,636,401	0	3,783,816	0	0	(1,456,530)	77,754,168

Quarter Ended at June 30, 2016

Description for each class of asset or liability	Ending Balance as of 03/31/2016	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance for 06/30/2016
a. Assets										
Separate account assets*	71,045,176	0	0	(1,622,074)	0	4,182,723	0	0	(815,344)	72,790,481
Total Assets	71,045,176	0	0	(1,622,074)	0	4,182,723	0	0	(815,344)	72,790,481

Quarter Ended at March 31, 2016

Description for each class of asset or liability	Ending Balance as of 12/31/2015	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance for 03/31/2016
a. Assets										
Separate account assets*	71,232,668	0	0	605,881	0	491,826	0	0	(1,285,199)	71,045,176
Total Assets	71,232,668	0	0	605,881	0	491,826	0	0	(1,285,199)	71,045,176

*Gains and losses for assets held in separate accounts do not impact net income or surplus as the change in value of assets held in separate accounts is offset by a change in value of liabilities related to separate account.

- (3) The Company's policy is to recognize transfers in and transfers out of levels at the beginning of each quarterly reporting period.
- (4) The fair values of investments in Level 2 for NAIC 6 rated industrial & miscellaneous bonds have been determined through the use of third-party pricing services utilizing market observable inputs.

The fair values of common stock and mutual funds have been determined utilizing publicly quoted prices from third-party pricing services.

Assets held in separate accounts include debt securities, common stock, mutual funds, private equity and private debt fund investments. The fair values of debt securities in the separate accounts have been determined through the use of third-party pricing services utilizing market observable inputs. The fair values of common stock and mutual funds in the separate accounts have been determined using the same methodologies as common stock and mutual funds in the general account. The fair values of private equity and private debt fund investments in the separate accounts have been determined based on the Company's interest in the underlying audited GAAP equity of the investee.

- B. Not applicable.
- C. The carrying amounts and fair value of the Company's significant financial instruments were as follows:

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

NOTES TO FINANCIAL STATEMENTS

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)
Bonds	3,976,121,058	3,494,225,727	15,415,651	3,938,903,470	21,801,937	
Common stock: Unaffiliated	1,223,953,135	1,223,953,135	1,223,953,135	0	0	
Common stock: Mutual funds	76,294,601	76,294,601	76,294,601	0	0	
Preferred stock	40,096,050	35,128,784	0	30,247,934	9,848,116	
Mortgage loans	43,304,512	42,400,953	0	0	43,304,512	
Cash, cash equivalents, & short-term investments	116,593,313	116,593,373	116,593,313	0	0	
Other invested assets: Surplus notes	43,065,136	36,089,652	0	43,065,136	0	
Securities lending reinvested collateral assets	75,630,428	75,630,428	75,630,428	0	0	
Separate account assets	982,275,183	982,275,183	631,213,964	273,307,051	77,754,168	
Life and annuity reserves for investment-type contracts and deposit fund liabilities	(5,319,315)	(4,955,319)	0	0	(5,319,315)	
Securities lending liability	(197,223,339)	(197,223,339)	0	(197,223,339)	0	

The following discussion describes the valuation methodologies utilized by the Company for assets and liabilities measured or disclosed at fair value. Fair value estimates are made at a specific point in time, based on available market information and judgments about the financial instrument, including discount rates, estimates of timing, amount of expected future cash flows and the credit standing of the issuer. Such estimates do not consider the tax impact of the realization of unrealized gains or losses. For Level 3 investments, the fair value estimates cannot be substantiated by comparison to independent markets. In addition, the disclosed fair value may not be realized in the immediate settlement of the financial instrument. As described below, certain fair values are determined through the use of third-party pricing services. Management does not adjust prices received from third-parties; however, we do analyze the third-party pricing services' valuation methodologies and related inputs and perform additional evaluation to determine the appropriate level within the fair value hierarchy. Care should be exercised in deriving conclusions about the Company's business, its value or financial position based on the fair value information of financial instruments presented below.

Debt Securities and Surplus Notes

The fair values of actively traded debt securities, asset/mortgage-backed securities, and surplus notes have been determined through the use of third-party pricing services utilizing market observable inputs. Private placement securities trading in less liquid or illiquid markets with limited or no pricing information are valued using either broker quotes or by discounting the expected cash flows using current market-consistent rates applicable to the yield, credit quality and maturity of each security.

Equity Securities

The fair values of actively traded equity securities have been determined utilizing publicly quoted prices obtained from third-party pricing services. The fair values of certain equity securities for which no publicly quoted prices are available have been determined through the use of third-party pricing services utilizing market observable inputs. Actively traded mutual funds are valued using the net asset values of the funds.

Mortgage Loans

The fair values for mortgage loans, consisting principally of commercial real estate loans, are estimated using discounted cash flow analyses, using interest rates currently being offered for similar loans collateralized by properties with similar investment risk. The fair values for mortgage loans in default are established at the lower of the fair value of the underlying collateral less costs to sell or the carrying amount of the loan.

Cash, Cash Equivalents and Short-Term Investments

The fair values of cash, cash equivalents and short-term investments are based on quoted market prices or stated amounts.

Securities Lending Reinvested Collateral Assets

The fair value of securities lending reinvested collateral assets are from third-party sources utilizing publicly quoted prices.

Assets Held in Separate Accounts

Assets held in separate accounts include debt securities, equity securities, mutual funds, private equity, and private debt fund investments. The fair values of these assets have been determined using the same methodologies as similar assets held in the general account. The fair values of debt securities, equity securities and mutual funds have been determined using the same methodologies as similar assets held in the general account. The fair values of private equity and private debt fund investments have been determined utilizing the net asset values of the funds.

Life and Annuity Reserves for Investment-type Contracts and Deposit Fund Liabilities

The fair value of liabilities for investment-type contracts is based on the present value of estimated liability cash flows. Present values reflect the Company's margin for uncertainty of the timing of liability cash flows. Key assumptions to the cash flow model include the timing of policyholder withdrawals and the level of interest credited to contract balances.

Fair values for insurance reserves are not required to be disclosed. However, the estimated fair values of all insurance reserves and investment contracts are taken into consideration in the Company's overall management of interest rate risk.

Securities Lending Liability

The liability represents the Company's obligation to return collateral related to securities lending transactions. The liability is short-term in nature and therefore, the fair value of the obligation approximates the carrying amount.

- D. Not applicable.
21. Other Items. No Change.
22. Events Subsequent. No Change.
23. Reinsurance. No Change.
24. Retrospectively Rated Contracts and Contracts Subject to Redetermination.
- E. Risk Sharing Provisions of the Affordable Care Act. None

(1)Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions (YES/NO)? Yes [] No [X]

(2) Impact of Risk Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities and Revenue for the Current Year

AMOUNT

- a. Permanent ACA Risk Adjustment Program
- Assets
1. Premium adjustments receivable due to ACA Risk Adjustment

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

NOTES TO FINANCIAL STATEMENTS

Liabilities

2. Risk adjustment user fees payable for ACA Risk Adjustment
3. Premium adjustments payable due to ACA Risk Adjustment
- Operations (Revenue & Expense)
4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment
5. Reported in expenses as ACA risk adjustment user fees (incurred/paid)

b.Transitional ACA Reinsurance Program

Assets

1. Amounts recoverable for claims paid due to ACA Reinsurance
2. Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability)
3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance

Liabilities

4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium
5. Ceded reinsurance premiums payable due to ACA Reinsurance
6. Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance

Operations (Revenue & Expense)

7. Ceded reinsurance premiums due to ACA Reinsurance
8. Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments
9. ACA Reinsurance contributions – not reported as ceded premium

c.Temporary ACA Risk Corridors Program

Assets

1. Accrued retrospective premium due to ACA Risk Corridors

Liabilities

2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors

Operations (Revenue & Expense)

3. Effect of ACA Risk Corridors on net premium income (paid/received)
4. Effect of ACA Risk Corridors on change in reserves for rate credits

(3) Roll forward of prior year ACA risk sharing provisions for the following asset (gross of any nonadmission) and liability balances along with the reasons for adjustments to prior year balance.

	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments			Unsettled Balances as of the Reporting Date	
					Prior Year Accrued Less Payments (Col 1 – 3)	Prior Year Accrued Less Payments (Col 2 – 4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col 1 – 3 + 7)	Cumulative Balance from Prior Years (Col 2 – 4 + 8)
	1	2	3	4	5	6	7	8		9	10
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Ref	Receivable	(Payable)
a. Permanent ACA Risk Adjustment Program											
1. Premium adjustments receivable					0	0			A	0	0
2. Premium adjustments (payable)					0	0			B	0	0
3. Subtotal ACA Permanent Risk Adjustment Program	0	0	0	0	0	0	0	0		0	0
b. Transitional ACA Reinsurance Program											
1. Amounts recoverable for claims paid					0	0			C	0	0
2. Amounts recoverable for claims unpaid (contra liability)					0	0			D	0	0
3. Amounts receivable relating to uninsured plans					0	0			E	0	0
4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium					0	0			F	0	0
5. Ceded reinsurance premiums payable					0	0			G	0	0
6. Liability for amounts held under uninsured plans					0	0			H	0	0
7. Subtotal ACA Transitional Reinsurance Program	0	0	0	0	0	0	0	0		0	0
c. Temporary ACA Risk Corridors Program											
1. Accrued retrospective premium					0	0			I	0	0
2. Reserve for rate credits or policy experience rating refunds					0	0			J	0	0
3. Subtotal ACA Risk Corridors Program	0	0	0	0	0	0	0	0		0	0
d. Total for ACA Risk Sharing Provisions	0	0	0	0	0	0	0	0		0	0

25. Change in Incurred Losses and Loss Adjustment Expenses. No Change.
26. Intercompany Pooling Arrangements. No Change.
27. Structured Settlements. No Change.
28. Health Care Receivables. No Change
29. Participating Policies. No Change.
30. Premium Deficiency Reserves. No Change.
31. Reserves for Life Contracts and Annuity Contracts. No Change.
32. Analysis of Annuity Actuarial Reserves and Deposit Type Liabilities by Withdrawal Characteristics. No Change.
33. Premiums and Annuity Consideration Deferred and Uncollected. No Change.
34. Separate Accounts. No Change.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company
NOTES TO FINANCIAL STATEMENTS

35. Loss/Claim Adjustment Expenses. No Change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [] No [X]

1.2

If yes, has the report been filed with the domiciliary state?

Yes [] No []

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [] No [X]

2.2

If yes, date of change:

3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?
If yes, complete Schedule Y, Parts 1 and 1A.

Yes [X] No []

3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [] No [X]

3.3

If the response to 3.2 is yes, provide a brief description of those changes.

4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [] No [X]

4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation.

Yes [] No [] N/A [X]

6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2012

6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2012

6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

10/02/2013

6.4

By what department or departments?
Ohio Department of Insurance

6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [] No [] N/A [X]

6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [] No [] N/A [X]

7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [] No [X]

7.2

If yes, give full information:

8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [] No [X]

8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [] No [X]

8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships; (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity; (c) Compliance with applicable governmental laws, rules and regulations; (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and (e) Accountability for adherence to the code.

Yes [X] No []
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended?

Yes [X] No []
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s). Presentation, wording, and contact persons
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [X] No []
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$ 22,039

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [] No [X]
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$ 695,155,787
13.

Amount of real estate and mortgages held in short-term investments:

\$
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [X] No []
- 14.2

If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0	\$
14.22 Preferred Stock	\$ 0	\$
14.23 Common Stock	\$ 1,946,740,416	\$ 2,093,369,248
14.24 Short-Term Investments	\$ 0	\$
14.25 Mortgage Loans on Real Estate	\$ 0	\$
14.26 All Other	\$ 1,058,935,107	\$ 1,260,191,080
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 3,005,675,523	\$ 3,353,560,328
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? If no, attach a description with this statement.

Yes [] No [X]

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.

16.3 Total payable for securities lending reported on the liability page.
- \$

201,677,707

\$

201,694,929

\$

197,223,339

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?
- Yes
- X
- No
-

- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
BANK OF NEW YORK MELLON	ONE WALL STREET NY NY 10286
MORGAN STANLEY	1300 THAMES ST BALTIMORE MD 21231

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?
- Yes
-
- No
- X

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
107126	FT WASHINGTON INVESTMENT ADVISORS	303 BROADWAY, SUITE 1200, CINCINNATI, OH 45202
109905	ADVANTUS CAPITAL MANAGEMENT, INC	400 ROBERT STREET NORTH, ST PAUL, MN 55101-2098

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed?
- Yes
- X
- No
-

- 18.2 If no, list exceptions:

GENERAL INTERROGATORIES

PART 2 - LIFE & HEALTH

1.

Report the statement value of mortgage loans at the end of this reporting period for the following categories:

1

Amount

1.1

Long-Term Mortgages In Good Standing

1.11

Farm Mortgages

\$

1.12

Residential Mortgages

\$

1.13

Commercial Mortgages

\$

42,292,410

1.14

Total Mortgages in Good Standing

\$

42,292,410

1.2

Long-Term Mortgages In Good Standing with Restructured Terms

1.21

Total Mortgages in Good Standing with Restructured Terms

\$

108,544

1.3

Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months

1.31

Farm Mortgages

\$

1.32

Residential Mortgages

\$

1.33

Commercial Mortgages

\$

1.34

Total Mortgages with Interest Overdue more than Three Months

\$

0

1.4

Long-Term Mortgage Loans in Process of Foreclosure

1.41

Farm Mortgages

\$

1.42

Residential Mortgages

\$

1.43

Commercial Mortgages

\$

1.44

Total Mortgages in Process of Foreclosure

\$

0

1.5

Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)

\$

42,400,954

1.6

Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter

1.61

Farm Mortgages

\$

1.62

Residential Mortgages

\$

1.63

Commercial Mortgages

\$

1.64

Total Mortgages Foreclosed and Transferred to Real Estate

\$

0

2.

Operating Percentages:

2.1

A&H loss percent

105.500 %

2.2

A&H cost containment percent

3.100 %

2.3

A&H expense percent excluding cost containment expenses

66.100 %

3.1

Do you act as a custodian for health savings accounts?

Yes [] No [X]

3.2

If yes, please provide the amount of custodial funds held as of the reporting date

\$

3.3

Do you act as an administrator for health savings accounts?

Yes [] No [X]

3.4

If yes, please provide the balance of the funds administered as of the reporting date

\$

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

[illegible]

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year To Date - Allocated by States and Territories

States, Etc.			1	Direct Business Only					
				Life Contracts		4 Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	5 Other Considerations	6 Total Columns 2 Through 5	7 Deposit-Type Contracts
				2 Life Insurance Premiums	3 Annuity Considerations				
1.	Alabama	AL	L	166,483	.0	27,556		194,039	
2.	Alaska	AK	N	84,779	.0	2,524		87,303	
3.	Arizona	AZ	L	818,135	.0	41,775		859,910	
4.	Arkansas	AR	L	101,406	.0	11,785		113,191	
5.	California	CA	L	7,875,242	.0	384,063		8,259,305	
6.	Colorado	CO	L	179,151	.0	8,342		187,493	
7.	Connecticut	CT	N	35,247	.0	2,868		38,115	
8.	Delaware	DE	L	39,898	.0	2,690		42,588	
9.	District of Columbia	DC	L	174,011	.0	11,678		185,689	
10.	Florida	FL	L	6,172,816	.0	1,190,256		7,363,072	
11.	Georgia	GA	L	975,959	.0	93,118		1,069,077	
12.	Hawaii	HI	L	26,486	.0	633		27,119	
13.	Idaho	ID	L	47,272	.0	567		47,839	
14.	Illinois	IL	L	16,884,756	100	1,039,959		17,924,815	
15.	Indiana	IN	L	11,096,932	(63)	2,211,608		13,308,477	
16.	Iowa	IA	L	136,912	.0	10,268		147,180	
17.	Kansas	KS	L	595,029	.0	148,880		743,909	
18.	Kentucky	KY	L	4,358,110	429	1,150,805		5,509,344	
19.	Louisiana	LA	L	5,235,058	.0	234,598		5,469,656	
20.	Maine	ME	N	5,304	.0	863		6,167	
21.	Maryland	MD	L	2,049,529	.0	66,620		2,116,149	
22.	Massachusetts	MA	N	31,875	.0	4,433		36,308	
23.	Michigan	MI	L	4,948,411	2,150	635,409		5,585,970	
24.	Minnesota	MN	L	1,114,664	.0	33,749		1,148,413	
25.	Mississippi	MS	L	108,387	.0	8,312		116,699	
26.	Missouri	MO	L	3,332,887	180	442,617		3,775,684	
27.	Montana	MT	L	12,184	.0	831		13,015	
28.	Nebraska	NE	L	17,949	.0	742		18,691	
29.	Nevada	NV	L	208,941	.0	12,426		221,367	
30.	New Hampshire	NH	N	6,521	.0	1,201		7,722	
31.	New Jersey	NJ	L	128,555	.0	11,605		140,160	
32.	New Mexico	NM	L	29,470	.0	4,780		34,250	
33.	New York	NY	N	142,091	.0	13,509		155,600	
34.	North Carolina	NC	L	12,762,830	.0	3,163,632		15,926,462	
35.	North Dakota	ND	L	3,500	.0	133		3,633	
36.	Ohio	OH	L	37,813,950	15,267	5,721,917		43,551,134	
37.	Oklahoma	OK	L	131,893	.0	14,671		146,564	
38.	Oregon	OR	L	83,682	.0	3,501		87,183	
39.	Pennsylvania	PA	L	7,710,512	3,005	840,993		8,554,510	
40.	Rhode Island	RI	L	5,379	.0	130		5,509	
41.	South Carolina	SC	L	1,352,766	500	203,623		1,556,889	
42.	South Dakota	SD	L	8,544	.0	2,031		10,575	
43.	Tennessee	TN	L	1,247,156	.0	457,704		1,704,860	
44.	Texas	TX	L	4,629,295	.0	556,495		5,185,790	
45.	Utah	UT	L	33,720	.0	718		34,438	
46.	Vermont	VT	N	2,951	.0	0		2,951	
47.	Virginia	VA	L	553,628	.0	90,590		644,218	
48.	Washington	WA	L	123,460	.0	7,358		130,818	
49.	West Virginia	WV	L	3,258,078	.0	875,024		4,133,102	
50.	Wisconsin	WI	L	1,352,700	.0	39,796		1,392,496	
51.	Wyoming	WY	L	6,799	.0	998		7,797	
52.	American Samoa	AS	N	0	.0	0		0	
53.	Guam	GU	N	509	.0	239		748	
54.	Puerto Rico	PR	N	10,918	.0	472		11,390	
55.	U.S. Virgin Islands	VI	N	709	.0	0		709	
56.	Northern Mariana Islands	MP	N	0	.0	0		0	
57.	Canada	CAN	N	14	.0	0		14	
58.	Aggregate Other Aliens	OT	XXX	79,374	.0	1,999	.0	81,373	.0
59.	Subtotal	(a)	44	138,312,817	21,568	19,793,094	.0	158,127,479	.0
90.	Reporting entity contributions for employee benefits plans	XXX		2,701,956	.0	0		2,701,956	
91.	Dividends or refunds applied to purchase paid-up additions and annuities.....	XXX		23,813,255	1,072	0		23,814,327	
92.	Dividends or refunds applied to shorten endowment or premium paying period	XXX						0	
93.	Premium or annuity considerations waived under disability or other contract provisions	XXX		1,909,292	.0	221		1,909,513	
94.	Aggregate or other amounts not allocable by State	XXX		0	.0	0	.0	0	.0
95.	Totals (Direct Business)	XXX		166,737,320	22,640	19,793,315	.0	186,553,275	.0
96.	Plus Reinsurance Assumed	XXX		783,517	874,572	0		1,658,089	
97.	Totals (All Business)	XXX		167,520,837	897,212	19,793,315	.0	188,211,364	.0
98.	Less Reinsurance Ceded	XXX		1,294,139	.0	2,928,350		4,222,489	
99.	Totals (All Business) less Reinsurance Ceded	XXX		166,226,698	897,212	16,864,965	0	183,988,875	0
DETAILS OF WRITE-INS									
58001.	MEX Mexico	XXX		52,092	.0	0		52,092	
58002.	ZZZ Other Alien	XXX		27,282	.0	1,999		29,281	
58003.	XXX							
58998.	Summary of remaining write-ins for Line 58 from overflow page	XXX		0	.0	0	.0	0	.0
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		79,374	0	1,999	0	81,373	0
9401.	XXX							
9402.	XXX							
9403.	XXX							
9498.	Summary of remaining write-ins for Line 94 from overflow page	XXX		0	.0	0	.0	0	.0
9499.	Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX		0	0	0	0	0	

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

	<u>NAIC#</u>	<u>TIN#</u>
PARENT - WESTERN & SOUTHERN MUTUAL HOLDING COMPANY, OH (NON-INSURER)		31-1732405
SUBSIDIARY - WESTERN & SOUTHERN FINANCIAL GROUP, INC., OH (NON-INSURER)		31-1732404
SUBSIDIARY - THE LAFAYETTE LIFE INSURANCE COMPANY, OH (INSURER)	65242	35-0457540
SUBSIDIARY - LLIA, INC., OH (NON-INSURER)		35-2123483
SUBSIDIARY - THE WESTERN & SOUTHERN LIFE INSURANCE COMPANY, OH (INSURER)	70483	31-0487145
SUBSIDIARY - WESTERN-SOUTHERN LIFE ASSURANCE COMPANY, OH (INSURER)	92622	31-1000236
SUBSIDIARY - IFS FINANCIAL SERVICES, INC., OH (NON-INSURER)		31-1328371
SUBSIDIARY - W&S BROKERAGE SERVICES, INC., OH (NON-INSURER)		31-0846576
SUBSIDIARY - W&S FINANCIAL GROUP DISTRIBUTORS, INC. (NON-INSURER)		31-1334221
SUBSIDIARY - COLUMBUS LIFE INSURANCE COMPANY, OH (INSURER)	99937	31-1191427
SUBSIDIARY - INTEGRITY LIFE INSURANCE COMPANY, OH (INSURER)	74780	86-0214103
SUBSIDIARY - NATIONAL INTEGRITY LIFE INSURANCE COMPANY, NY (INSURER)	75264	16-0958252
SUBSIDIARY - INSURANCE PROFILLMENT SOLUTIONS, LLC, OH (NON-INSURER)		43-2081325
SUBSIDIARY - WESTERN & SOUTHERN INVESTMENT HOLDINGS, LLC, OH (NON-INSURER)		06-1804434
SUBSIDIARY - EAGLE REALTY GROUP, LLC, OH (NON-INSURER)		31-1018957
SUBSIDIARY - FORT WASHINGTON INVESTMENT ADVISORS, INC., OH (NON-INSURER)		31-1301863

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0836	Western-Southern Group	00000	81-3013986				309 Holdings, LLC	..OH	..NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	47-3228849				1373 Lex Road Investor Holdings, LLC	..KY	..NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	45-5458388				2758 South Main SPE, LLC	..NC	..NIA	W&S Real Estate Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	27-1594103				506 Phelps Hldings, LLC	..OH	..NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	47-1046102				Apex Housing Investor Holdings, LLC	..KY	..NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	45-5439068				Belle Housing Investor Holdings, Inc.	..NC	..NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	04-3226492				Boston Cap Corp Tax Credit Fund III	..MA	..NIA	The Western and Southern Life Ins Co	Ownership	13.340	WS Mutual Holding Co	
0836	Western-Southern Group	00000	45-5458332				BY Apartment Investor Holding, LLC	..MD	..NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	35-2431972				Canal Senate Apartments LLC	..IN	..NIA	W&S Real Estate Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-8819502				Carmel Holdings, LLC	..IN	..NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-5862349				Carmel Hotel LLC	..IN	..NIA	Carmel Holdings, LLC	Ownership	36.260	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1449186				Carthage Senior Housing Ltd	..OH	..NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	75-2808126				Centreport Partners LP	..TX	..NIA	The Western and Southern Life Ins Co	Ownership	25.250	WS Mutual Holding Co	
0836	Western-Southern Group	00000	23-1691523				Cincinnati Analyst Inc	..OH	..DS	Columbus Life Insurance Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	61-1454115				Cincinnati New Markets Fund LLC	..OH	..NIA	The Western and Southern Life Ins Co	Ownership	14.660	WS Mutual Holding Co	
0836	Western-Southern Group	00000	30-0930218				Cinnaire Fund for Housing LP 31	..MI	..NIA	Columbus Life Insurance Co	Ownership	16.700	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-0434449				Cleveland East Hotel LLC	..OH	..NIA	WS CEH LLC	Ownership	37.000	WS Mutual Holding Co	
0836	Western-Southern Group	99937	31-1191427				Columbus Life Insurance Co	..OH	..DS	The Western and Southern Life Ins Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	81-3364944				Cove Housing Investor Holdings, LLC	..OR	..NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
							Crabtree Common Apt. Invesotr Holdings, LLC	..NC	..NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	46-5593932				Cranberry NP Hotel Company LLC	..PA	..NIA	NP Cranberry Hotel Holdings, LLC	Ownership	72.520	WS Mutual Holding Co	
0836	Western-Southern Group	00000	47-3929236				Crossings Apt. Holdings	..UT	..NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	46-3421289				Dallas City Investor Holdings, LLC	..TX	..NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-2681473				Day Hill Road Land LLC	..CT	..NIA	W&S Real Estate Holdings LLC	Ownership	74.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1498142				Dublin Hotel LLC	..OH	..NIA	The Western and Southern Life Ins Co	Ownership	25.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	47-3945554				Dunvale Investor Holdings, LLC	..TX	..NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
							Western & Southern Investment Holdings LLC				Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1779165				Eagle Realty Group, LLC	..OH	..DS	Eagle Realty Group, LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1779151				Eagle Realty Investments, Inc	..OH	..DS	Eagle Realty Group, LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	47-1596551				East Denver Investor Holdings, LLC	..CO	..NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	47-1596551				East Denver Investor Holdings, LLC	..CO	..NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	46-1383159				Emerging Markets LLC	..OH	..NIA	Western-Southern Life Assurance Co	Ownership	22.980	WS Mutual Holding Co	
0836	Western-Southern Group	00000	46-1383159				Emerging Markets LLC	..OH	..NIA	Integrity Life Insurance Co	Ownership	33.350	WS Mutual Holding Co	
0836	Western-Southern Group	00000	46-1383159				Emerging Markets LLC	..OH	..NIA	National Integrity Life Insurance Co	Ownership	16.880	WS Mutual Holding Co	
0836	Western-Southern Group	00000	46-1383159				Emerging Markets LLC	..OH	..NIA	Lafayette Life Insurance Company	Ownership	26.210	WS Mutual Holding Co	
0836	Western-Southern Group	00000	45-5350091				Fiat Apts. Investor Holdings, LLC	..IN	..NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	52-2206041				Fort Washington PE Invest II LP	..OH	..NIA	The Western and Southern Life Ins Co	Ownership	96.740	WS Mutual Holding Co	
0836	Western-Southern Group	00000	16-1648796				Fort Washington PE Invest IV LP	..OH	..NIA	The Western and Southern Life Ins Co	Ownership	35.450	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-4568842				Fort Washington PE Invest V LP	..OH	..NIA	The Western and Southern Life Ins Co	Ownership	44.850	WS Mutual Holding Co	
0836	Western-Southern Group	00000	27-1321348				Fort Washington PE Invest VII LP	..OH	..NIA	The Western and Southern Life Ins Co	Ownership	30.990	WS Mutual Holding Co	
0836	Western-Southern Group	00000	45-0571051				Fort Washington Active Fixed Fund	..OH	..NIA	The Western and Southern Life Ins Co	Ownership	74.220	WS Mutual Holding Co	
							Fort Washington Global Alpha Domestic Fund LP	..OH	..NIA	Western & Southern Financial Group, Inc	Ownership	99.990	WS Mutual Holding Co	
							Fort Washington Global Alpha Master Fund LP	..OH	..NIA	Fort Washington Global Alpha Domestic Fund LP	Ownership	99.470	WS Mutual Holding Co	
0836	Western-Southern Group	00000	98-1227949				Fort Washington High Yield Invt LLC	..OH	..NIA	The Western and Southern Life Ins Co	Ownership	5.200	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1702203				Fort Washington High Yield Invt LLC	..OH	..NIA	Western-Southern Life Assurance Co	Ownership	34.180	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1702203				Fort Washington High Yield Invt LLC	..OH	..NIA	Columbus Life Insurance Co	Ownership	26.600	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1702203				Fort Washington High Yield Invt LLC	..OH	..NIA	Integrity Life Insurance Co	Ownership	5.050	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1702203				Fort Washington High Yield Invt LLC	..OH	..NIA	National Integrity Life Insurance Co	Ownership	5.050	WS Mutual Holding Co	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0836	Western-Southern Group	00000	27-0116330				Fort Washington High Yield Invt LLC II	OH	NIA	The Western and Southern Life Ins Co Western & Southern Investment Holdings LLC	Ownership	27.560	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1301863				Fort Washington Investment Advisors	OH	DS		Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1727947				Fort Washington PE Invest III LP	OH	NIA	The Western and Southern Life Ins Co	Ownership	76.340	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-1073680				Fort Washington PE Invest VI LP	OH	NIA	The Western and Southern Life Ins Co	Ownership	35.160	WS Mutual Holding Co	
0836	Western-Southern Group	00000	35-2485044				Fort Washington PE Invest VIII	OH	NIA	The Western and Southern Life Ins Co	Ownership	4.180	WS Mutual Holding Co	
0836	Western-Southern Group	00000	32-0418436				Fort Washington PE Invest VIII-B	OH	NIA	The Western and Southern Life Ins Co	Ownership	99.490	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-5398098				Fort Washington PE Investors V-B, L.P.	OH	NIA	Fort Washington PE Invest V LP	Ownership	88.240	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-5398156				Fort Washington PE Investors V-VC, L.P.	OH	NIA	Fort Washington PE Invest V LP	Ownership	90.440	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-3806629				Fort Washington PE Opp Fund II, L.P.	OH	NIA	Fort Washington PE Invest VI LP	Ownership	9.960	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-3806629				Fort Washington PE Opp Fund II, L.P.	OH	NIA	The Western and Southern Life Ins Co	Ownership	12.490	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-3806629				Fort Washington PE Opp Fund II, L.P.	OH	NIA	Fort Washington PE Invest V LP	Ownership	6.780	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-3806629				Fort Washington PE Opp Fund II, L.P.	OH	NIA	Fort Washington PE Invest VII LP	Ownership	5.470	WS Mutual Holding Co	
0836	Western-Southern Group	00000	90-0989164				Fort Washington PE Opp Fund III, L.P.	OH	NIA	Fort Washington PE Invest VII LP	Ownership	3.840	WS Mutual Holding Co	
0836	Western-Southern Group	00000	90-0989164				Fort Washington PE Opp Fund III, L.P.	OH	NIA	Fort Washington PE Invest VIII LP	Ownership	3.270	WS Mutual Holding Co	
0836	Western-Southern Group	00000	90-0989164				Fort Washington PE Opp Fund III, L.P.	OH	NIA	The Western and Southern Life Ins Co	Ownership	6.360	WS Mutual Holding Co	
0836	Western-Southern Group	00000	37-1736757				Fort Washington PE Opp Fund III-B, L.P.	OH	NIA	The Western and Southern Life Ins Co	Ownership	95.360	WS Mutual Holding Co	
0836	Western-Southern Group	00000	47-1922641				Frontage Lodge Investor Holdings, LLC	CO	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	47-1922641				Frontage Lodge Investor Holdings, LLC	CO	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	47-4083280				Gallatin Investor Holdings, LLC	TN	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	45-3507078				Galleria Investor Holdings, LLC	TX	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-1553878				Galveston Summerbrooke Apts LLC	TX	NIA	Summerbrooke Holdings LLC	Ownership	52.920	WS Mutual Holding Co	
0836	Western-Southern Group	00000	81-2646906				Golf Countryside Investor Holdings, LLC	FL	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	81-1670352				Golf Sabal Inv. Holdings, LLC	FL	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	45-3457194				GS Multifamily Galleria LLC	TX	NIA	Galleria Investor Holdings, LLC	Ownership	57.820	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-3525111				GS Yorktown Apt LP	TX	NIA	YT Crossing Holdings, LLC	Ownership	57.820	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-3108420				Hearthview Praire Lake Apts LLC	IN	NIA	Prairie Lakes Holdings, LLC	Ownership	62.720	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1328371				IFS Financial Services, Inc	OH	DS	Western-Southern Life Assurance Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	43-2081325				Insurance Profitment Solutions, LLC	OH	DS	The Western and Southern Life Ins Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	74780	86-0214103				Integrity Life Insurance Co	OH	DS	The Western and Southern Life Ins Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	34-1826874				IR Mall Associates LTD	FL	NIA	The Western and Southern Life Ins Co	Ownership	49.500	WS Mutual Holding Co	
0836	Western-Southern Group	00000	81-2358660				Jacksonville Salisbury Apt Holdings, LLC	FL	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	47-4171986				Kissimmee Investor Holdings, LLC	FL	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	46-4737222				LaCentera Apts. Investor Holdings, LLC	TX	NIA	The Western and Southern Life Ins Co	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	65242	35-0457540				Lafayette Life Insurance Company	OH	IA	Western & Southern Financial Group, Inc	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1705445				LaFrontera Holdings, LLC	TX	NIA	W&S Real Estate Holdings LLC	Ownership	74.250	WS Mutual Holding Co	
0836	Western-Southern Group	00000	27-2330466				Leroy Glen Investment LLC	OH	NIA	The Western and Southern Life Ins Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	47-3380015				Linthicum Investor Holdings, LLC	MD	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	35-2123483				LLIA Inc	OH	NIA	Lafayette Life Insurance Company	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	47-2577517				Lytle Park Inn, LLC	OH	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	47-3966673				Main Hospitality Holdings	OH	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	81-0732275				MC Investor Holdings, LLC	AZ	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	81-0743431				Midtown Park Inv. Holdings, LC	TX	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	45-5439036				Miller Creek Investor Holdings, LLC	TN	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	75264	16-0958252				National Integrity Life Insurance Co	NY	DS	Integrity Life Insurance Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	46-5030427				NE Emerson Edgewood, LLC	IN	NIA	Lafayette Life Insurance Company	Ownership	60.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	27-1024113				North Braeswood Meritage Holdings LLC	OH	NIA	Western-Southern Life Assurance Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	02-0593144				North Pittsburg Hotel LLC	PA	NIA	WSALD NPH LLC	Ownership	37.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1427318				Northeast Cincinnati Hotel LLC	OH	NIA	The Western and Southern Life Ins Co	Ownership	25.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	45-2914674				NP Cranberry Hotel Holdings, LLC	PA	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	46-5765100				Olathe Apt. Investor Holdings, LLC	KS	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
							One Kennedy Housing Investor Holdings, LLC	CT	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	47-1122741				OTR Housing Associates LP	OH	NIA	The Western and Southern Life Ins Co	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1338187				OTR Transitional Housing LP	OH	NIA	The Western and Southern Life Ins Co	Ownership	99.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1335827				Overland Apartments Investor Holdings, LLC							
0836	Western-Southern Group	00000	46-1553387					KS	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-4322006				PCE LP	GA	NIA	The Western and Southern Life Ins Co	Ownership	41.900	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-4322006				PCE LP	GA	NIA	Western-Southern Life Assurance Co	Ownership	22.340	WS Mutual Holding Co	
0836	Western-Southern Group	00000	47-3394236				Perimeter TC Investor Holdings	GA	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	81-1659568				Pleasanton Hotel Investor Holdings, LLC	CA	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-3167828				Prairie Lakes Holdings, LLC	IN	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	34-1998937				Queen City Square LLC	OH	NIA	The Western and Southern Life Ins Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	52-2096076				Race Street Dev Ltd	OH	NIA	W&S Real Estate Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	46-4725907				Railroad Parkside Investor Holdings, LLC	AL	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	27-4266774				Randolph Tower Affordable Inv Fund LLC	IL	NIA	The Western and Southern Life Ins Co	Ownership	99.990	WS Mutual Holding Co	
0836	Western-Southern Group	00000	80-0246040				Ridgegate Commonwealth Apts LLC	CO	NIA	Ridgegate Holdings, LLC	Ownership	52.920	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-3526448				Ridgegate Holdings, LLC	CO	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	81-1286981				Russell Bay Investor Holdings, LLC	NV	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	81-2260159				San Tan Investor Holdings, LLC	AZ	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	47-1617717				Settlers Ridge Robinson Investor Holdings, LLC	PA	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	27-3564950				Seventh & Culvert Garage LLC	OH	NIA	W&S Real Estate Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-1554676				Shelbourne Campus Properties LLC	KY	NIA	Shelbourne Holdings, LLC	Ownership	52.920	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-1944856				Shelbourne Holdings, LLC	KY	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	45-4354663				Siena Investor Holding, LLC	TX	NIA	W&S Real Estate Holdings LLC	Ownership	69.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	46-2930953				Skye Apts Investor Holdings, LLC	MN	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	61-1328558				Skyport Hotel LLC	KY	NIA	The Western and Southern Life Ins Co	Ownership	25.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	47-2306231				Southside Tunnel Apts. Investor Holdings, LLC	PA	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	47-2306231				Southside Tunnel Apts. Investor Holdings, LLC	PA	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	46-2922655				SP Charlotte Apts. Investor Holdings, LLC	NC	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	81-1827381				Stony Investor Holdings, LLC	VA	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-2348581				Summerbrooke Holdings LLC	TX	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-4291356				Sundance Lafrontera Holdings LLC	TX	NIA	The Western and Southern Life Ins Co	Ownership	72.520	WS Mutual Holding Co	
0836	Western-Southern Group	70483	31-0487145				The Western and Southern Life Ins Co	OH	RE	Western & Southern Financial Group, Inc	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1394672				Touchstone Advisors Inc	OH	DS	IFS Financial Services, Inc	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	47-6046379				Touchstone Securities, Inc	NE	DS	IFS Financial Services, Inc	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	47-5098714				Trevi Apartment Holdings, LLC	AZ	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-5542652				Tri-State Fund II Growth LP	OH	NIA	The Western and Southern Life Ins Co	Ownership	29.340	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1788429				Tri-State Growth Capital Fund LP	OH	NIA	The Western and Southern Life Ins Co	Ownership	12.480	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1653922				Union Centre Hotel LLC	OH	NIA	The Western and Southern Life Ins Co	Ownership	25.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	36-4107014				Vinings Trace	OH	NIA	W&S Real Estate Holdings LLC	Ownership	99.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	72-1388989				Vulcan Hotel LLC	AL	NIA	The Western and Southern Life Ins Co	Ownership	25.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-0846576				W&S Brokerage Services, Inc	OH	DS	Western-Southern Life Assurance Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1334221				W&S Financial Group Distributors Inc	OH	DS	Western-Southern Life Assurance Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	06-1804432				W&S Real Estate Holdings LLC	OH	DS	The Western and Southern Life Ins Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1732404				Western & Southern Financial Group, Inc	OH	UDP	Western-Southern Mutual Holding Company	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	06-1804434				Western & Southern Investment Holdings LLC	OH	DS	The Western and Southern Life Ins Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1413821				Western-Southern Agency	OH	DS	The Western and Southern Life Ins Co	Ownership	100.000	WS Mutual Holding Co	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0836	Western-Southern Group	92622	31-1000236				Western-Southern Life Assurance Co	OH	DS	The Western and Southern Life Ins Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1732405				Western-Southern Mutual Holding Company	OH	UIP	Western-Southern Mutual Holding Company	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1732344				Windsor Hotel LLC	CT	NIA	The Western and Southern Life Ins Co	Ownership	25.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1317879				Wright Exec Hotel LTD Partners	OH	NIA	The Western and Southern Life Ins Co	Ownership	60.490	WS Mutual Holding Co	
0836	Western-Southern Group	00000	61-1182451				WS Airport Exchange GP LLC	KY	NIA	W&S Real Estate Holdings LLC	Ownership	74.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-2820067				WS CEH LLC	OH	NIA	W&S Real Estate Holdings LLC	Ownership	50.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1303229				WS Country Place GP LLC	GA	NIA	W&S Real Estate Holdings LLC	Ownership	90.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	61-0998084				WS Lookout JV LLC	KY	NIA	The Western and Southern Life Ins Co	Ownership	50.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-1515960				WSA Commons LLC	GA	NIA	The Western and Southern Life Ins Co	Ownership	50.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	33-1058916				WSALD NPH LLC	PA	NIA	W&S Real Estate Holdings LLC	Ownership	50.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-0360272				WSL Partners LP	OH	NIA	The Western and Southern Life Ins Co	Ownership	57.550	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-8843748				WSLR Birmingham	AL	NIA	WSLR Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-8843635				WSLR Cinti LLC	OH	NIA	WSLR Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-8843645				WSLR Columbus LLC	OH	NIA	WSLR Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-8843653				WSLR Dallas LLC	TX	NIA	WSLR Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-8843767				WSLR Hartford LLC	CT	NIA	WSLR Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-8843577				WSLR Holdings LLC	OH	DS	The Western and Southern Life Ins Co	Ownership	24.490	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-8843962				WSLR Skyport LLC	KY	NIA	WSLR Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-8843814				WSLR Union LLC	OH	NIA	WSLR Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-3526711				YT Crossing Holdings, LLC	TX	NIA	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	

Asterisk	Explanation

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

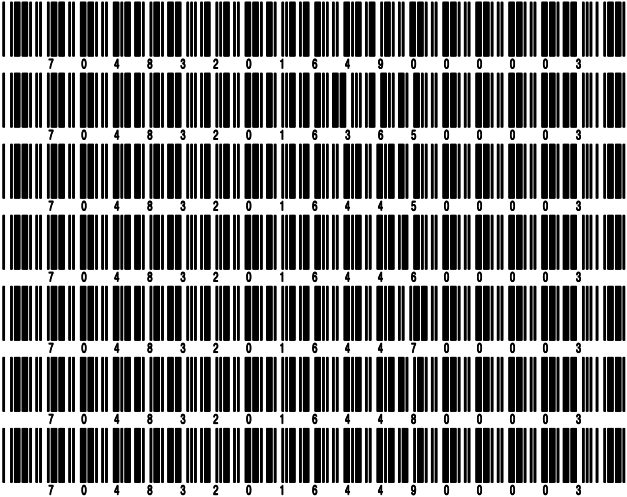
	Response
1. Will the Trusted Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO

Explanation:

1.
2.
3.
4.
5.
6.
7.

Bar Code:

1. Trusted Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
7. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]



STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Liabilities Line 25

	1 Current Statement Date	2 December 31 Prior Year
2504. Cash Collateral - Derivatives		601,387
2597. Summary of remaining write-ins for Line 25 from overflow page	0	601,387

Additional Write-ins for Summary of Operations Line 27

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
2704. Miscellaneous Expense	6,737	18,825	(59,507)
2705. Reserve adjustment on reinsurance assumed - Lafayette	(68,264)	(45,689)	1,027
2706. Reserve adjustment on reinsurance assumed - Integrity	(55,616,729)	(59,544,534)	(82,551,600)
2707.			
2797. Summary of remaining write-ins for Line 27 from overflow page	(55,678,256)	(59,571,398)	(82,610,080)

Additional Write-ins for Summary of Operations Line 53

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
5304. Critical Illness Ceded Reserve Error Correction		(7,548,542)	(7,548,542)
5305. Traditional Life Reserve Correction	(4,706,815)		
5397. Summary of remaining write-ins for Line 53 from overflow page	(4,706,815)	(7,548,542)	(7,548,542)

SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	30,006,766	30,972,943
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition	968,192	1,398,258
3. Current year change in encumbrances		0
4. Total gain (loss) on disposals	42,389	
5. Deduct amounts received on disposals	472,500	744,845
6. Total foreign exchange change in book/adjusted carrying value		0
7. Deduct current year's other than temporary impairment recognized		0
8. Deduct current year's depreciation	1,188,091	1,619,590
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	29,356,756	30,006,766
10. Deduct total nonadmitted amounts		0
11. Statement value at end of current period (Line 9 minus Line 10)	29,356,756	30,006,766

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	41,006,236	16,854,538
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		31,790,683
2.2 Additional investment made after acquisition	2,043,325	3,925,517
3. Capitalized deferred interest and other		0
4. Accrual of discount		0
5. Unrealized valuation increase (decrease)		0
6. Total gain (loss) on disposals		0
7. Deduct amounts received on disposals	648,609	11,564,502
8. Deduct amortization of premium and mortgage interest points and commitment fees		0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		0
10. Deduct current year's other than temporary impairment recognized		0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	42,400,952	41,006,236
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)	42,400,952	41,006,236
14. Deduct total nonadmitted amounts		0
15. Statement value at end of current period (Line 13 minus Line 14)	42,400,952	41,006,236

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	1,384,574,093	1,110,918,418
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	6,483,691	19,804,513
2.2 Additional investment made after acquisition	237,400,338	305,896,854
3. Capitalized deferred interest and other		0
4. Accrual of discount	296	135
5. Unrealized valuation increase (decrease)	52,411,978	93,699,889
6. Total gain (loss) on disposals		0
7. Deduct amounts received on disposals	105,892,445	140,199,057
8. Deduct amortization of premium and depreciation	19,859	22,423
9. Total foreign exchange change in book/adjusted carrying value		0
10. Deduct current year's other than temporary impairment recognized		5,524,234
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	1,574,958,092	1,384,574,093
12. Deduct total nonadmitted amounts	101,550,254	91,422,344
13. Statement value at end of current period (Line 11 minus Line 12)	1,473,407,838	1,293,151,749

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	6,764,199,462	7,014,391,430
2. Cost of bonds and stocks acquired	806,271,313	1,518,753,785
3. Accrual of discount	2,293,779	3,384,872
4. Unrealized valuation increase (decrease)	251,963,105	(303,877,772)
5. Total gain (loss) on disposals	20,621,028	161,114,120
6. Deduct consideration for bonds and stocks disposed of	910,500,934	1,599,368,256
7. Deduct amortization of premium	3,171,493	5,389,882
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	8,704,775	24,808,835
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	6,922,971,485	6,764,199,462
11. Deduct total nonadmitted amounts	10,143	10,143
12. Statement value at end of current period (Line 10 minus Line 11)	6,922,961,342	6,764,189,319

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	2,212,036,126	452,162,760	555,678,147	80,037,925	2,238,695,851	2,212,036,126	2,188,558,664	2,378,923,558
2. NAIC 2 (a)	1,123,436,542	1,819,006,682	1,707,221,022	(79,909,626)	1,118,369,631	1,123,436,542	1,155,312,576	1,105,777,552
3. NAIC 3 (a)	157,324,374	16,329,453	5,293,649	(354,209)	162,941,128	157,324,374	168,005,969	146,305,951
4. NAIC 4 (a)	60,917,089	3,863,201	2,508,705	(559,864)	61,591,712	60,917,089	61,711,721	80,863,881
5. NAIC 5 (a)	36,907,342	0	(120,542)	263,401	41,613,190	36,907,342	37,291,285	17,342,685
6. NAIC 6 (a)	7,367,384	0	1,269	1,125,845	7,912,899	7,367,384	8,491,960	4,025,255
7. Total Bonds	3,597,988,857	2,291,362,096	2,270,582,250	603,472	3,631,124,411	3,597,988,857	3,619,372,175	3,733,238,882
PREFERRED STOCK								
8. NAIC 1	4,834,021	0	0	0	4,834,021	4,834,021	4,834,021	4,834,021
9. NAIC 2	16,407,038	0	329,696	0	16,692,682	16,407,038	16,077,342	20,302,110
10. NAIC 3	3,561,450	0	130,666	0	3,368,648	3,561,450	3,430,784	3,169,493
11. NAIC 4	507,212	0	20,960	0	10,098,677	507,212	486,252	10,098,677
12. NAIC 5	0	0	0	0	0	0	0	
13. NAIC 6	10,297,607	348,128	348,128	2,775	367,416	10,297,607	10,300,382	
14. Total Preferred Stock	35,607,328	348,128	829,450	2,775	35,361,444	35,607,328	35,128,781	38,404,301
15. Total Bonds and Preferred Stock	3,633,596,185	2,291,710,224	2,271,411,700	606,247	3,666,485,855	3,633,596,185	3,654,500,956	3,771,643,183

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$121,546,566 ; NAIC 2 \$3,599,880 ; NAIC 3 \$;
NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	36,333,287	XXX	36,333,287	1,155	0

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	154,310,202	92,960,466
2. Cost of short-term investments acquired	672,400,684	1,848,461,992
3. Accrual of discount	28	0
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	9,147	0
6. Deduct consideration received on disposals	790,386,774	1,786,806,579
7. Deduct amortization of premium	0	305,677
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	36,333,287	154,310,202
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	36,333,287	154,310,202

SCHEDULE DB - PART A - VERIFICATION

Options, Caps, Floors, Collars, Swaps and Forwards

1.	Book/Adjusted Carrying Value, December 31, prior year (Line 9, prior year)	(1,020,623)
2.	Cost Paid/(Consideration Received) on additions	(5,898)
3.	Unrealized Valuation increase/(decrease)	1,020,139
4.	Total gain (loss) on termination recognized	(17,416,719)
5.	Considerations received/(paid) on terminations	(17,418,120)
6.	Amortization	
7.	Adjustment to the Book/Adjusted Carrying Value of hedged item	4,981
8.	Total foreign exchange change in Book/Adjusted Carrying Value	
9.	Book/Adjusted Carrying Value at End of Current Period (Lines 1+2+3+4-5+6+7+8)	0
10.	Deduct nonadmitted assets	
11.	Statement value at end of current period (Line 9 minus Line 10)	0

SCHEDULE DB - PART B - VERIFICATION

Futures Contracts

1.	Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year)	
2.	Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column)	
3.1	Add:	
	Change in variation margin on open contracts - Highly Effective Hedges	
3.11	Section 1, Column 15, current year to date minus	
3.12	Section 1, Column 15, prior year	
	Change in variation margin on open contracts - All Other	
3.13	Section 1, Column 18, current year to date minus	
3.14	Section 1, Column 18, prior year	
3.2	Add:	
	Change in adjustment to basis of hedged item	
3.21	Section 1, Column 17, current year to date minus	
3.22	Section 1, Column 17, prior year	
	Change in amount recognized	
3.23	Section 1, Column 19, current year to date minus	
3.24	Section 1, Column 19, prior year	
3.3	Subtotal (Line 3.1 minus Line 3.2)	
4.1	Cumulative variation margin on terminated contracts during the year	
4.2	Less:	
	4.21 Amount used to adjust basis of hedged item	
	4.22 Amount recognized	
4.3	Subtotal (Line 4.1 minus Line 4.2)	
5.	Dispositions gains (losses) on contracts terminated in prior year:	
	5.1 Total gain (loss) recognized for terminations in prior year	
	5.2 Total gain (loss) adjusted into the hedged item(s) for terminations in prior year	
6.	Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2)	
7.	Deduct total nonadmitted amounts	
8.	Statement value at end of current period (Line 6 minus Line 7)	

NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open
N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open
N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives
N O N E

SCHEDULE E - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	11,794,442	13,399,327
2. Cost of cash equivalents acquired	5,918,983,948	9,279,500,253
3. Accrual of discount	60	2,491
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	12,456	11,117
6. Deduct consideration received on disposals	5,841,977,749	9,281,118,746
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	88,813,157	11,794,442
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	88,813,157	11,794,442

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

SCHEDULE A - PART 2

Showing All Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	Location		4	5	6	7	8	9
	2	3						
Description of Property	City	State	Date Acquired	Name of Vendor	Actual Cost at Time of Acquisition	Amount of Encumbrances	Book/Adjusted Carrying Value Less Encumbrances	Additional Investment Made After Acquisition
CRE 00001 05 WS OCCUPY	CINCINNATIOH.....	..01/01/1901.....	VARIOUS15,751,025.....256,849.....
CRE 01471 45 APARTMENT	CINCINNATIOH.....	..08/30/1967.....	VARIOUS1,676,603.....17,025.....
CRE 01465 05 WS OCCUPY	CINCINNATIOH.....	..01/01/1968.....	VARIOUS0.....30,584.....
CRE 01561 05 WS OCCUPY	CINCINNATIOH.....	..06/05/1990.....	NATIONAL UNDERWRITER530,032.....17,256.....
0199999. Acquired by Purchase					0	0	17,957,660	321,714
0399999 - Totals					0	0	17,957,660	321,714

SCHEDULE A - PART 3

Showing All Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract"

1	Location		4	5	6	7	8	Change in Book/Adjusted Carrying Value Less Encumbrances					14	15	16	17	18	19	20
	2	3						9	10	11	12	13							
Description of Property	City	State	Disposal Date	Name of Purchaser	Actual Cost	Expended for Additions, Permanent Improvements and Changes in Encumbrances	Book/ Adjusted Carrying Value Less Encumbrances Prior Year	Current Year's Depre- ciation	Current Year's Other Than Temporary Impairment Recognized	Current Year's Change in Encumbrances	Total Change in Book/ Adjusted Carrying Value (11-9-10)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value Less Encumbrances on Disposal	Amounts Received During Year	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Gross Income Earned Less Interest Incurred on Encumbrances	Taxes, Repairs and Expenses Incurred
CRE 01465 05 WS OCCUPY	CINCINNATIOH.....	..09/09/2016.....	VRE GLENWAY LLC753,374.....	..30,584.....	..403,600.....	..4,074.....			..(4,074).....		..430,110.....	..472,500.....		..42,389.....	..42,389.....		..39,240.....
.....										0.....					0.....		
0199999. Property Disposed					753,374	30,584	403,600	4,074	0	0	(4,074)	0	430,110	472,500	0	42,389	42,389	0	39,240
.....																			
.....																			
.....																			
.....																			
.....																			
0399999 - Totals					753,374	30,584	403,600	4,074	0	0	(4,074)	0	430,110	472,500	0	42,389	42,389	0	39,240

SCHEDULE B - PART 2

[illegible]

SCHEDULE B - PART 3

1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Change in Book Value/Recorded Investment						14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)	13 Total Foreign Exchange Change in Book Value					
0044579	Lexington	KY		10/02/1987		2,584,836	0	0	0	0	0	0	0	65,441	0	0	0
0044666	Miami	FL		07/30/1999		2,096,975	0	0	0	0	0	0	0	130,553	0	0	0
0044682	Cincinnati	OH		12/01/2011		27,626	0	0	0	0	0	0	0	238	0	0	0
0044684	Cincinnati	OH		12/01/2011		8,393	0	0	0	0	0	0	0	77	0	0	0
0044685	Cincinnati	OH		12/01/2011		18,810	0	0	0	0	0	0	0	218	0	0	0
0044686	Cincinnati	OH		12/01/2011		23,931	0	0	0	0	0	0	0	226	0	0	0
0044687	Cincinnati	OH		12/01/2011		11,492	0	0	0	0	0	0	0	157	0	0	0
0044688	Cincinnati	OH		12/01/2011		26,866	0	0	0	0	0	0	0	279	0	0	0
0044689	Cincinnati	OH		12/01/2011		326,320	0	0	0	0	0	0	0	3,669	0	0	0
0044690	Covington	KY		12/01/2011		76,231	0	0	0	0	0	0	0	1,587	0	0	0
0044692	Cincinnati	OH		12/01/2011		46,173	0	0	0	0	0	0	0	903	0	0	0
0299999. Mortgages with partial repayments						5,247,653	0	0	0	0	0	0	0	203,348	0	0	0
0599999 - Totals						5,247,653	0	0	0	0	0	0	0	203,348	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	2	Location		5	6	7	8	9	10	11	12	13	
CUSIP Identification	Name or Description	3	4	Name of Vendor or General Partner	NAIC Designation	Date Originally Acquired	Type and Strategy	Actual Cost at Time of Acquisition	Additional Investment Made After Acquisition	Amount of Encumbrances	Commitment for Additional Investment	Percentage of Ownership	
		City	State										
	57 STARS Global Opportunity Fund 3	WASHINGTON	DC	57 STARS Global Opportunity Fund 3		06/04/2013			1,319,545		12,532,145	6.640	
	ABRY VI LP	BOSTON	MA	ABRY VI LP		03/26/2008			3,290		2,013,655	0.180	
	ALINDA FUND I LP INFRASTRUCTURE FUND	WILMINGTON	DE	ALINDA FUND I LP INFRASTRUCTURE FUND		09/08/2006	1		25,475		1,775,671	0.180	
	AUDAX MEZZANINE IV	WILMINGTON	DE	AUDAX MEZZANINE IV		09/30/2016	2	781,641			781,641	2.661	
	BRIDGE GROWTH PARTNERS LP	CHICAGO		BRIDGE GROWTH PARTNERS LP		07/14/2014			2,032,931		5,562,345	3.200	
	CHAMBERS Energy Capital II LP	HOUSTON	TX	CHAMBERS Energy Capital II LP		07/06/2012	2		11,278		4,011,538	0.740	
	CINCYTECH FUND IV	CINCINNATI	OH	CINCYTECH FUND IV		04/27/2016			38,067		381,933	0.000	
	CINTRIFUSE Early Stage Capital Fund I LLC	CINCINNATI	OH	CINTRIFUSE Early Stage Capital Fund I LLC		12/31/2012	1		145,545		1,960,743	8.780	
	CORSAIR III FS CAPITAL PARTNERS LP	NEW YORK	NY	CORSAIR III FS CAPITAL PARTNERS LP		06/29/2007			22,573		7,382,184	0.990	
	CORSAIR IV FS CAPITAL PARTNERS LP	NEW YORK	NY	CORSAIR IV FS CAPITAL PARTNERS LP		06/20/2011			1,469,504		8,448,888	1.310	
	EnCap Energy Capital Fund IX	HOUSTON	TX	EnCap Energy Capital Fund IX		01/18/2013	1		221,597		2,021,090	0.050	
	Energy Recap and Restruct Fund	HOUSTON	TX	Energy Recap and Restruct Fund		10/21/2011			22,850		5,700,666	1.420	
	HITECVISION VI	GUERNSEY	CYM	HITECVISION VI		12/16/2011			614,033		6,918,515	0.640	
	PROVIDENCE EQUITY PARTNERS VII L.P.	PROVIDENCE	RI	PROVIDENCE EQUITY PARTNERS VII L.P.		03/04/2013	1		9,279		5,710,500	0.570	
	PROVIDENCE EQUITY PRTNS VI L.P.	PROVIDENCE	RI	PROVIDENCE EQUITY PRTNS VI L.P.		03/16/2007	1		154,435		11,919,448	0.200	
	SILVER LAKE PARTNERS III	WILMINGTON	DE	SILVER LAKE PARTNERS III		08/30/2007	3		2,589		2,120,212	0.020	
	SNOW PHIPPS II LP	NEW YORK	NY	SNOW PHIPPS II LP		08/11/2010			85,854		4,564,205	0.590	
	SOLAMERE CAPITAL FUND II-A SOLAMERE	BOSTON	MA	SOLAMERE CAPITAL FUND II-A SOLAMERE		06/20/2014			563,359		4,655,014	5.960	
1599999. Joint Venture Interests - Common Stock - Unaffiliated									781,641	6,742,204	0	88,460,393	XXX
	FT. WASHINGTON PRIVATE EQUITY OPPORTUNITIES FUND III-B LP	CINCINNATI	OH	FT. WASHINGTON PRIVATE EQUITY OPPORTUNITIES FUND III-B LP		07/14/2014	2		1,153,250		7,908,000	99.500	
	FT. WASHINGTON PRIVATE EQUITY VIII L.P.	CINCINNATI	OH	FT. WASHINGTON PRIVATE EQUITY VIII L.P.		11/25/2014	3		300,913		2,470,651	2.330	
	FT. WASHINGTON PRIVATE EQUITY VIII-B L.P.	CINCINNATI	OH	FT. WASHINGTON PRIVATE EQUITY VIII-B L.P.		12/17/2013	3		2,636,250		12,866,250	63.020	
	FT. WASHINGTON PRIVATE EQUITY IX-B L.P.	CINCINNATI	OH	FT. WASHINGTON PRIVATE EQUITY IX-B L.P.		06/10/2016	3		625,000		48,000,000	99.500	
1699999. Joint Venture Interests - Common Stock - Affiliated									0	4,715,413	0	71,244,901	XXX
000000-00-0	W&S REAL ESTATE HOLDINGS, LLC	CINCINNATI	OH	WSLIC		12/01/2006		0	46,858,270			100.000	
	100.00% MEMBERSHIP INTEREST												
	LIMITED LIABILITY COMPANY												
000000-00-0	309 HOLDINGS, LLC	CINCINNATI	OH	CITY CLUB		08/02/2016		3,422,050	0			1.000	
	1% MEMBERSHIP INTEREST												
	LIMITED LIABILITY COMPANY												
1899999. Joint Venture Interests - Real Estate - Affiliated									3,422,050	46,858,270	0	0	XXX
	ABRY ADVANCED SECURITIES FUND II LP	CAYMAN ISLANDS	CYM	ABRY ADVANCED SECURITIES FUND II LP		05/04/2011	2		124,272		6,294,334	0.950	
	ABRY ADVANCED SECURITIES FUND III	CAYMAN ISLANDS	CYM	ABRY ADVANCED SECURITIES FUND III		06/04/2014	2		515,854		6,712,119	1.080	
	ABRY SENIOR EQUITY III LP	BOSTON	MA	ABRY SENIOR EQUITY III LP		08/09/2010	3		5,277		4,606,141	0.660	
	ABRY SENIOR EQUITY IV LP	BOSTON	MA	ABRY SENIOR EQUITY IV LP		12/28/2012			136,838		3,356,247	0.460	
	CONGRUENT CREDIT STRATEGIES Opportunities Fund III	DALLAS	TX	CONGRUENT CREDIT STRATEGIES Opportunities Fund III		08/30/2013			602,250		7,877,206	7.340	
	PROVIDENCE DEBT OPPS III L.P.	PROVIDENCE	RI	PROVIDENCE DEBT OPPS III L.P.		09/16/2013			1,192,585		12,118,365	2.800	
	REGIMENT CAPITAL SSF V LP	BOSTON	MA	REGIMENT CAPITAL SSF V LP		07/15/2011	2		6,611		6,663,982	0.810	
	TCW Direct Lending LLC	LOS ANGELES	CA	TCW Direct Lending LLC		03/31/2015	2		1,411,503		5,111,227	0.500	
2199999. Joint Venture Interests - Other - Unaffiliated									0	3,995,191	0	52,739,621	XXX
4499999. Total - Unaffiliated									781,641	10,737,395	0	141,200,014	XXX
4599999. Total - Affiliated									3,422,050	51,573,683	0	71,244,901	XXX
4699999 - Totals									4,203,691	62,311,078	0	212,444,915	XXX

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Change in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Depreciation) or (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book/Adjusted Carrying Value (9+10-11+12)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value Less Encumbrances on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Investment Income
	57 STARS Global Opportunity Fund 3	WASHINGTON	DC	57 STARS Global Opportunity Fund 3	06/04/2013	09/21/2016	166,233					0		166,233	166,233			0	
	ABRY VI LP	BOSTON	MA	ABRY VI LP	03/26/2008	08/03/2016	192,303					0		192,303	192,303			0	125,435
	ALINDA FUND I LP INFRASTRUCTURE FUND	WILMINGTON	DE	ALINDA FUND I LP INFRASTRUCTURE FUND	09/08/2006	08/03/2016	38,986					0		38,986	38,986			0	294,616
	APAX EUROPE VII	LONDON	GBR	APAX EUROPE VII	06/25/2007	09/08/2016	158,469					0		158,469	158,469			0	32,186
	AUDAX III PRIVATE EQUITY FUND	WILMINGTON	DE	AUDAX III PRIVATE EQUITY FUND	11/14/2007	09/20/2016	1,235,820					0		1,235,820	1,235,820			0	600,335
	BRIDGE GROWTH PARTNERS LP	CHICAGO	IL	BRIDGE GROWTH PARTNERS LP	07/14/2014	08/24/2016	755,238					0		755,238	755,238			0	
	CARLYLE/RIVERSTONE III L.P.	WASHINGTON	DC	CARLYLE/RIVERSTONE III L.P.	04/03/2006	08/16/2016	23,586					0		23,586	23,586			0	
	CHAMBERS Energy Capital II LP	HOUSTON	TX	CHAMBERS Energy Capital II LP	07/06/2012	08/26/2016	42,675					0		42,675	42,675			0	
	CORSAIR IV FS CAPITAL PARTNERS LP	NEW YORK	NY	CORSAIR IV FS CAPITAL PARTNERS LP	06/20/2011	08/22/2016	114,989					0		114,989	114,989			0	
	DRAPER FISHER JURVETSON GROWTH FUND 2006 L.P.	CAYMAN ISLANDS	CYM	DRAPER FISHER JURVETSON GROWTH FUND 2006 L.P.	07/12/2007	07/29/2016	68,313					0		68,313	68,313			0	687,682
	EnCap Energy Capital Fund IX	HOUSTON	TX	EnCap Energy Capital Fund IX	01/18/2013	09/21/2016	171,889					0		171,889	171,889			0	83,219
	GARRISON OPPORTUNITIES FUND II B LLC	NEW YORK	NY	GARRISON OPPORTUNITIES FUND II B LLC	04/19/2011	08/17/2016	1,939,227					0		1,939,227	1,939,227			0	845,304
	HILLCREST FUND LP	CAYMAN ISLANDS	CYM	HILLCREST FUND LP	01/06/2009	08/15/2016	2,279,893					0		2,279,893	2,279,893			0	1,978,170
	KKR ASSOCIATES	NEW YORK	NY	KKR ASSOCIATES	10/05/2006	08/31/2016	1,021,680					0		1,021,680	1,021,680			0	
	LEXINGTON CAPITAL II LP	WILMINGTON	DE	LEXINGTON CAPITAL II LP	04/08/1998	08/05/2016	50,910					0		50,910	50,910			0	
	PROVIDENCE EQUITY PRTRS V L.P.	PROVIDENCE	RI	PROVIDENCE EQUITY PRTRS V L.P.	04/05/2005	09/19/2016	383,214					0		383,214	383,214			0	
	PROVIDENCE EQUITY PRTRS VI L.P.	PROVIDENCE	RI	PROVIDENCE EQUITY PRTRS VI L.P.	03/16/2007	09/16/2016	165,985					0		165,985	165,985			0	455,709
	SILVER LAKE PARTNERS III	WILMINGTON	DE	SILVER LAKE PARTNERS III	08/30/2007	08/01/2016	49,001					0		49,001	49,001			0	
	SOLAMERE CAPITAL FUND II-A SOLAMERE	BOSTON	MA	SOLAMERE CAPITAL FUND II-A SOLAMERE	06/20/2014	07/27/2016	556,273					0		556,273	556,273			0	597,867
	SOLAMERE CAPITAL FUND II-A Founder Co-Investment	BOSTON	MA	SOLAMERE CAPITAL FUND II-A Founder Co-Investment	11/03/2014	07/28/2016	34,683					0		34,683	34,683			0	
1599999. Joint Venture Interests - Common Stock - Unaffiliated								0	0	0	0	0	0	9,449,366	9,449,366	0	0	0	5,700,522
	FT. WASHINGTON PRIVATE EQUITY III LP	CINCINNATI	OH	FT. WASHINGTON PRIVATE EQUITY III LP	12/23/1999	07/27/2016	1,170,000					0		1,170,000	1,170,000			0	
	WSL PARTNERS L.P.	CINCINNATI	OH	WSL PARTNERS L.P.	11/14/2003	09/29/2016	1,290,939					0		1,290,939	1,290,939			0	193,343
1699999. Joint Venture Interests - Common Stock - Affiliated								0	0	0	0	0	0	2,460,939	2,460,939	0	0	0	193,343
000000-00-0	W&S REAL ESTATE HOLDINGS LLC	CINCINNATI	OH	CASH RETURN DISTRIBUTION	12/01/2006	09/09/2016	524,900,752					0		28,095,851	28,095,851			0	7,885,701
												0						0	
												0						0	
1899999. Joint Venture Interests - Real Estate - Affiliated								0	0	0	0	0	0	28,095,851	28,095,851	0	0	0	7,885,701
	ABRY ADVANCED SECURITIES FUND II LP	CAYMAN ISLANDS	CYM	ABRY ADVANCED SECURITIES FUND II LP	05/04/2011	09/29/2016	1,538,665					0		1,538,665	1,538,665			0	829,316
	ABRY ADVANCED SECURITIES FUND III	CAYMAN ISLANDS	CYM	ABRY ADVANCED SECURITIES FUND III	06/04/2014	08/02/2016	215,160					0		215,160	215,160			0	430,792
	ABRY SENIOR EQUITY III LP	BOSTON	MA	ABRY SENIOR EQUITY III LP	08/09/2010	08/04/2016	423,436					0		423,436	423,436			0	4,438
	ABRY SENIOR EQUITY IV LP	BOSTON	MA	ABRY SENIOR EQUITY IV LP	12/28/2012	08/01/2016	189,758					0		189,758	189,758			0	288,821
	Ares Capital Europe II	CAYMAN ISLANDS	CYM	Ares Capital Europe II	03/27/2013	08/23/2016	305,959					0		305,959	305,959			0	888,709
	CARLYLE MEZZANINE PARTNERS LP L.P.	WASHINGTON	DC	CARLYLE MEZZANINE PARTNERS LP L.P.	03/14/2006	09/29/2016	60,867					0		60,867	60,867			0	
	CONGRUENT CREDIT STRATEGIES Opportunities Fund III	DALLAS	TX	CONGRUENT CREDIT STRATEGIES Opportunities Fund III	08/30/2013	08/02/2016	135,439					0		135,439	135,439			0	455,889
	ENERGY FUND XV	LOS ANGELES	CA	ENERGY FUND XV	12/10/2010	08/04/2016	210,538					0		210,538	210,538			0	
	ENHANCED CREDIT SUPPORTED LOAN FUND LP	NEW YORK	NY	ENHANCED CREDIT SUPPORTED LOAN FUND LP	12/01/2014	07/28/2016	1,916,040					0		1,916,040	1,916,040			0	412,446
	GARRISON OPPORTUNITIES FUND II A LLC	SAN FRANCISCO	CA	GARRISON OPPORTUNITIES FUND II A LLC	03/08/2011	08/17/2016	367,727					0		367,727	367,727			0	
	H.I.G. BAYSIDE II (3) LP	MIAMI	FL	H.I.G. BAYSIDE II (3) LP	06/17/2008	08/10/2016	488,094					0		488,094	488,094			0	1,286,128
	OCM EUROPEAN OPP FUND II LP	CAYMAN ISLANDS	CYM	OCM EUROPEAN OPP FUND II LP	10/09/2008	08/29/2016	491,484					0		491,484	491,484			0	
	PENTWATER EVENT FUND	CHICAGO	IL	PENTWATER EVENT FUND	01/02/2013	09/28/2016	3,235,302					0		3,235,302	3,235,302			0	
	PROVIDENCE DEBT OPPS III L.P.	PROVIDENCE	RI	PROVIDENCE DEBT OPPS III L.P.	09/16/2013	08/05/2016	197,732					0		197,732	197,732			0	544,804
	REGIMENT CAPITAL SSF V LP	BOSTON	MA	REGIMENT CAPITAL SSF V LP	07/15/2011	07/01/2016	404,850					0		404,850	404,850			0	112,624
	ROYALTY OPPORTUNITIES	LUXEMBOURG	LUX	ROYALTY OPPORTUNITIES	08/30/2011	09/22/2016	2,500,622					0		2,500,622	2,500,622			0	933,956
	Summit Partners II LP	CAYMAN ISLANDS	CYM	Summit Partners II LP	11/25/2014	09/13/2016	539,492					0		539,492	539,492			0	326,764
	TGW Direct Lending LLC	LOS ANGELES	CA	TGW Direct Lending LLC	03/31/2015	08/10/2016	169,865					0		169,865	169,865			0	29,944
2199999. Joint Venture Interests - Other - Unaffiliated								0	0	0	0	0	0	13,391,030	13,391,030	0	0	0	6,544,631
4499999. Total - Unaffiliated								0	0	0	0	0	0	22,840,396	22,840,396	0	0	0	12,245,153
4599999. Total - Affiliated								0	0	0	0	0	0	30,556,790	30,556,790	0	0	0	8,079,044
4699999 - Totals								0	0	0	0	0	0	53,397,186	53,397,186	0	0	0	20,324,197

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
690353-WA-1	OPIC VRDN 0.640% 06/15/17		09/14/2016	MELLON CAPITAL MKT		7,500,000	7,500,000	8,334	1
0599999. Subtotal - Bonds - U.S. Governments						7,500,000	7,500,000	8,334	XXX
026022-49-8	FGLMC 15 Yr TBA 2.500% 06/01/31		07/01/2016	J P MORGAN SEC FIXED INC		10,294,922	10,000,000	12,500	1
026022-4A-5	FGLMC 15 Yr TBA 2.500% 10/01/36		09/14/2016	J P MORGAN SEC FIXED INC		10,325,000	10,000,000	11,806	1
3136A3-EE-7	FNR 2011-143 PZ 4.500% 01/25/42		09/01/2016	Interest Capitalization		41,465	41,465	0	1
3136AH-VS-6	FNR 2013-136 CZ 3.500% 01/25/44		09/01/2016	Interest Capitalization		19,154	19,154	0	1
313970-3L-0	FNR 2010-149 ZC 4.500% 01/25/41		09/01/2016	Interest Capitalization		72,283	72,283	0	1
3199999. Subtotal - Bonds - U.S. Special Revenues						20,752,824	20,132,902	24,306	XXX
00206R-DM-1	AT&T INC 6.875% 10/15/31		09/07/2016	Taxable Exchange		11,984,459	12,479,000	0	2FE
035229-CV-3	ANHEUSER-BUSCH COS INC 5.050% 10/15/16		08/02/2016	BROWNSTONE INV GROUP,LLC		1,260,063	1,250,000	19,288	2FE
037833-CD-0	APPLE INC 3.850% 08/04/46		07/28/2016	GOLDMAN SACHS		4,986,750	5,000,000	0	1FE
064058-AF-7	BANK OF NY MELLON CORP 4.625% Perpet		07/25/2016	MORGAN STANLEY FIXED INC		20,000,000	20,000,000	0	2FE
10330J-AT-5	BOYD GAMING CORP TL B-2 08/16/23		09/16/2016	BANK of AMERICA SEC		1,997,500	2,000,000	0	3FE
12512Y-AF-8	CDW LLC TL B 1L 08/17/23		08/17/2016	Various		695,850	700,397	0	3FE
12543K-AM-6	CHS/COMMUNITY HEALTH SYSTEMS TL G 1L 12/31/19		07/01/2016	Various		0	0	0	3FE
12543K-AN-4	CHS/COMMUNITY HEALTH SYSTEMS TL H 1L 01/27/21		07/01/2016	GOLDENTREE-BANK LOANS		0	0	0	3FE
13606A-R7-5	CANADIAN IMP BK COMM NY 1.173% 05/10/17	G	08/10/2016	CIBC WORLD MARKET		3,750,000	3,750,000	0	1FE
140420-NG-1	CAPITAL ONE BANK USA NA 1.200% 02/13/17		09/12/2016	MORGAN STANLEY FIXED INC		2,700,081	2,700,000	2,880	2FE
210518-CG-9	CONSUMERS ENERGY CO 5.150% 02/15/17		09/30/2016	SUSQUEHANNA		811,920	800,000	5,722	1FE
233851-CH-5	DAIMLER FINANCE NA LLC 2.000% 07/06/21		07/01/2016	J P MORGAN SEC FIXED INC		14,744,395	14,750,000	0	1FE
24001Q-AL-5	DAYTON POWER & LIGHT TL B 08/18/22		08/31/2016	Morgan Stanley		497,500	500,000	0	2FE
24703E-AA-7	DEFT 2016-1 A1 0.850% 07/24/17		07/12/2016	RBC/DAIN		3,600,000	3,600,000	0	1FE
25674D-AJ-2	DOLLAR TREE INC TL B3 07/06/22		09/23/2016	J P MORGAN SEC FIXED INC		500,000	500,000	0	3FE
25746U-BN-8	DOMINION RESOURCES 1.950% 08/15/16		07/07/2016	BROWNSTONE INV GROUP,LLC		2,102,163	2,100,000	16,721	2FE
26441C-AH-8	DUKE ENERGY 1.625% 08/15/17		08/04/2016	US BANCORP		974,520	970,000	7,619	2FE
29286G-AB-4	ENGILITY CORP TL B1 1L 08/12/20		08/19/2016	Morgan Stanley		497,500	500,000	0	4FE
370334-BB-9	GENERAL MILLS 5.700% 02/15/17		07/29/2016	BROWNSTONE INV GROUP,LLC		1,710,875	1,669,000	44,395	2FE
38141E-LA-5	GOLDMAN SACHS GROUP 1.246% 03/29/17		08/02/2016	SEAPORT GROUP LLC		3,691,860	3,700,000	4,223	1FE
38145G-AF-7	GOLDMAN SACHS GROUP INC 1.974% 11/15/21		09/22/2016	GOLDMAN SACHS		5,000,000	5,000,000	0	2FE
43289D-AE-3	HILTON WORLDWIDE FINANCE LLC TL B 1L 10/25/23		08/25/2016	Various		415,478	418,402	0	3FE
45673D-AE-2	INFORMATICA LLC TL B 1L 08/06/22		07/14/2016	GOLDENTREE-BANK LOANS		302	302	0	4FE
46122Y-AH-4	INVENTIV HEALTH TL B-3 05/15/18		09/02/2016	BANK of AMERICA SEC		1,320,845	1,322,910	0	4FE
46625H-GN-4	JP MORGAN CHASE & CO 6.125% 06/27/17		07/29/2016	Various		2,732,851	2,619,000	16,041	2FE
481165-AH-1	JOY GLOBAL INC 6.625% 11/15/36		08/24/2016	IMPERIAL CAPITAL LLC		1,471,875	1,250,000	23,924	3FE
493267-AK-4	KEYCORP 5.000% 09/15/28		09/06/2016	MORGAN STANLEY FIXED INC		10,000,000	10,000,000	0	3FE
50077L-AB-2	KRAFT HEINZ FOODS CO 4.375% 06/01/46		08/19/2016	Tax Free Exchange		2,990,378	3,000,000	30,990	2FE
50077L-AM-8	KRAFT HEINZ FOODS CO 5.200% 07/15/45		08/19/2016	Tax Free Exchange		1,992,195	2,000,000	9,822	2FE
50218K-AB-4	LIFE TIME FITNESS INC TL B 1L 06/10/22		07/06/2016	GOLDENTREE-BANK LOANS		554	554	0	4FE
584918-BT-0	MICROSOFT CORP 3.700% 08/08/46		08/01/2016	BANK of AMERICA SEC		4,975,750	5,000,000	0	1FE
598909-AE-0	MMULT 2015-1 A3 3.000% 06/25/56		07/07/2016	J P MORGAN SEC FIXED INC		5,122,656	5,000,000	4,583	1FE
629377-CB-6	NRG ENERGY INC 6.625% 01/15/27		07/22/2016	ADVANTUS CAPITAL MANAGEMENT		551,500	550,000	0	4FE
65339K-AE-0	NEXTERA ENERGY CAPITAL 2.056% 09/01/17		09/28/2016	BROWNSTONE INV GROUP,LLC		2,012,060	2,000,000	3,655	2FE
665859-AQ-7	NORTHERN TRUST CORP 4.600% Perpet		08/01/2016	MORGAN STANLEY FIXED INC		5,000,000	5,000,000	0	2FE
693475-AM-7	PNC FINANCIAL 4.850% Perpet		07/20/2016	Various		9,767,500	10,000,000	69,517	2FE
70966V-AF-3	PENTON BUSINESS MEDIA INC/KS TL 1L 09/30/19		07/01/2016	GOLDENTREE-BANK LOANS		0	1	0	4FE
74256L-AH-2	PRINCIPAL LFE GLB FND II 1.125% 02/24/17		07/26/2016	BROWNSTONE INV GROUP,LLC		2,001,720	2,000,000	9,688	1FE
74375E-AC-2	PROV ST JOSEPH HLTH OBL 3.744% 10/01/47		09/20/2016	BANK of AMERICA SEC		2,500,000	2,500,000	0	1FE
78009N-F9-2	Royal Bank 1.207% 07/28/17	G	07/27/2016	RBC/DAIN		2,500,000	2,500,000	0	1FE
78010U-4A-2	ROYAL BANK OF CANADA 1.400% 10/13/17	G	09/30/2016	BROWNSTONE INV GROUP,LLC		2,001,740	2,000,000	13,378	1FE
89116D-IJ-9	TORONTO-DOMIN BK 3.625% 09/15/31	G	09/08/2016	TD SECURITIES		4,242,563	4,250,000	0	1FE
929903-CH-3	WACHOVIA CORP 5.625% 10/15/16		09/14/2016	BROWNSTONE INV GROUP,LLC		1,003,260	1,000,000	24,063	1FE
96758D-AW-3	WIDEOPENWEST TL B 1L 08/19/23		08/26/2016	Morgan Stanley		1,492,500	1,500,000	0	4FE
98379E-AC-0	XPO LOGISTICS INC TL B 10/30/21		09/01/2016	Morgan Stanley		1,248,750	1,250,000	0	3FE
89113W-GD-2	TORONTO DOMINION BANK NY 1.118% 02/10/17	A	08/08/2016	TD SECURITIES		3,650,000	3,650,000	0	1FE
05530Q-AE-0	BAT INTL FINANCE PLC 2.125% 06/07/17	F	08/16/2016	BROWNSTONE INV GROUP,LLC		907,218	900,000	3,825	1FE
36164Q-NA-2	GE CAPITAL INTL FUNDING 4.418% 11/15/35	F	07/08/2016	Tax Free Exchange		35,679,909	34,781,000	226,225	1FE
88433B-AA-5	WINDR 2016-2A A 2.259% 11/01/28	F	09/27/2016	MORGAN STANLEY FIXED INC		4,200,000	4,200,000	0	1FE
62967L-AG-8	AVAGO TECHNOLOGIES CAYMAN LTD TL B3 1L 02/01/23	F	08/02/2016	Various		507,251	514,072	0	2FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						191,794,291	191,174,638	536,559	XXX
8399997. Total - Bonds - Part 3						220,047,115	218,807,540	569,199	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
8399999. Total - Bonds						220,047,115	218,807,540	569,199	XXX
GETPFD-10-0	GRAPHENE ENABLED TECHNOLOGIES GRAPHENE ENABLED TECHNOLOGIES		07/01/2016	PRIVATE PLACEMENT	3,500,000	348,128	0.00	0	P6UZ*
8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated)						348,128	XXX	0	XXX
8999997. Total - Preferred Stocks - Part 3						348,128	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						348,128	XXX	0	XXX
000000-00-0	BROOKFIELD PROPERTY PARTNERS LIMITED PARTNERS		08/08/2016	ADVANTUS CAPITAL MANAGEMENT	1,800,000	42,365		0	
00130H-10-5	AES CORP		08/10/2016	ADVANTUS CAPITAL MANAGEMENT	24,500,000	299,073		0	
00206R-10-2	AT&T INC		09/02/2016	ADVANTUS CAPITAL MANAGEMENT	4,400,000	184,011		0	
024835-10-0	AMERICAN CAMPUS COMMUNITIES REIT		09/14/2016	ADVANTUS CAPITAL MANAGEMENT	400,000	19,076		0	
03673L-10-3	ANTERO MIDSTREAM PARTNERS LP LIMITED PARTNERS		09/27/2016	Various	40,000,000	1,080,904		0	
084670-70-2	BERKSHIRE HATHAWAY INC DEL CL B		09/29/2016	Various	48,871,000	7,122,659		0	
09257W-10-0	BLACKSTONE MORTGAGE TRU-CL A REIT		07/12/2016	ADVANTUS CAPITAL MANAGEMENT	3,600,000	98,635		0	
105368-20-3	BRANDYWINE REALTY TRUST REIT		09/29/2016	ADVANTUS CAPITAL MANAGEMENT	9,700,000	154,980		0	
110122-10-8	BRISTOL-MYERS SQUIBB CO		09/29/2016	Various	230,343,000	13,451,766		0	
11120U-10-5	BRIXMOR PROPERTY GROUP INC REIT		09/29/2016	ADVANTUS CAPITAL MANAGEMENT	9,000,000	248,295		0	
118230-10-1	BUCKEYE PARTNERS MLP		09/07/2016	ADVANTUS CAPITAL MANAGEMENT	600,000	42,493		0	
124830-10-0	CBL & ASSOCIATES PROPERTIES REIT		09/26/2016	ADVANTUS CAPITAL MANAGEMENT	24,200,000	304,153		0	
130570-20-6	CALIFORNIA RESOURCES CRP		07/01/2016	Tax Free Exchange	4,229,000	1,288		0	
133131-10-2	CAMDEN PROPERTY TRUST REIT		09/14/2016	ADVANTUS CAPITAL MANAGEMENT	1,100,000	94,811		0	
141624-10-6	CARE CAPITAL PROPERTIES INC REIT		09/29/2016	ADVANTUS CAPITAL MANAGEMENT	4,800,000	139,719		0	
16208T-10-2	CHATHAM LODGING TRUST REIT		08/29/2016	ADVANTUS CAPITAL MANAGEMENT	3,600,000	77,793		0	
16411Q-10-1	CHENIERE ENERGY PARTNERS LP LIMITED PARTNERS		09/14/2016	Various	31,000,000	835,959		0	
16411W-10-8	CHENIERE ENERGY PARTNERS LP		09/13/2016	Various	27,000,000	540,697		0	
17275R-10-2	CISCO SYSTEMS INC		09/20/2016	BANK OF NEW YORK	69,410,000	2,164,357		0	
20341J-10-4	COMMUNICATIONS SALES & LE-WI REIT		09/26/2016	ADVANTUS CAPITAL MANAGEMENT	10,600,000	324,128		0	
22002T-10-8	CORPORATE OFFICE PROPERTIES REIT		08/19/2016	ADVANTUS CAPITAL MANAGEMENT	4,300,000	124,532		0	
22822V-10-1	CROWN CASTLE INTL CORP REIT		09/12/2016	ADVANTUS CAPITAL MANAGEMENT	1,600,000	152,315		0	
23017H-10-2	DDR CORP REIT		09/26/2016	ADVANTUS CAPITAL MANAGEMENT	5,500,000	102,175		0	
233331-10-7	DTE ENERGY COMPANY		09/19/2016	ADVANTUS CAPITAL MANAGEMENT	700,000	84,866		0	
237194-10-5	DARDEN RESTAURANTS INC		09/20/2016	BANK OF NEW YORK	8,755,000	540,897		0	
253868-10-3	DIGITAL REALTY TRUST INC REIT		08/16/2016	ADVANTUS CAPITAL MANAGEMENT	500,000	50,387		0	
257454-10-8	DOMINION MIDSTREAM PARTNERS LIMITED PARTNERS		09/06/2016	Various	49,000,000	1,334,902		0	
25746U-10-9	DOMINION RESOURCES		07/22/2016	Various	14,134,000	1,109,773		0	
26441C-20-4	DUKE ENERGY		09/29/2016	ADVANTUS CAPITAL MANAGEMENT	1,700,000	140,355		0	
26613Q-10-6	DUPONT FABROS TECHNOLOGY REIT		09/29/2016	ADVANTUS CAPITAL MANAGEMENT	11,900,000	523,132		0	
26884U-10-9	EPR PROPERTIES REIT		09/14/2016	ADVANTUS CAPITAL MANAGEMENT	1,200,000	93,311		0	
26885J-10-3	EQT GP HOLDINGS LP LIMITED PARTNERS		09/07/2016	Various	43,000,000	1,111,871		0	
28140H-20-3	EDUCATION REALTY TRUST INC REIT		09/09/2016	ADVANTUS CAPITAL MANAGEMENT	500,000	21,846		0	
29273R-10-9	ENERGY TRANSFER PARTNERS MASTER LIMITED PARTNERS		09/26/2016	Various	239,625,000	9,490,585		0	
29336U-10-7	ENLINK MIDSTREAM PARTNER LP		07/11/2016	ADVANTUS CAPITAL MANAGEMENT	3,500,000	58,925		0	
293792-10-7	ENTERPRISE PRODUCTS PARTNERS		09/27/2016	Various	141,200,000	3,971,563		0	
29476L-10-7	EQUITY RESIDENTIAL PROPERTIES		09/14/2016	ADVANTUS CAPITAL MANAGEMENT	4,700,000	300,955		0	
30161N-10-1	EXELON CORP		09/29/2016	ADVANTUS CAPITAL MANAGEMENT	11,900,000	420,875		0	
30225T-10-2	EXTRA SPACE STORAGE INC REIT		09/26/2016	ADVANTUS CAPITAL MANAGEMENT	5,200,000	440,899		0	
30213G-10-2	EXXON MOBIL CORP		09/20/2016	BANK OF NEW YORK	13,598,000	1,139,054		0	
345370-86-0	FORD MOTOR		09/20/2016	BANK OF NEW YORK	44,461,000	538,921		0	
35906A-10-8	FRONTIER COMMUNICATIONS		08/16/2016	ADVANTUS CAPITAL MANAGEMENT	8,700,000	43,368		0	
37045V-10-0	GENERAL MOTORS CO		09/20/2016	BANK OF NEW YORK	16,970,000	539,985		0	
371927-10-4	GENESIS ENERGY L.P.		09/27/2016	Various	106,400,000	3,966,273		0	
40414L-10-9	HCP INC REIT		08/08/2016	ADVANTUS CAPITAL MANAGEMENT	6,800,000	258,648		0	
427825-50-0	HERSHA HOSPITALITY TRUST REIT		09/27/2016	ADVANTUS CAPITAL MANAGEMENT	3,100,000	56,227		0	
431284-10-8	HIGHWOODS PROPERTY		09/29/2016	ADVANTUS CAPITAL MANAGEMENT	1,200,000	62,989		0	
44106M-10-2	HOSPITALITY PROP TRUST REIT		08/23/2016	ADVANTUS CAPITAL MANAGEMENT	3,000,000	89,037		0	
459200-10-1	IBM		09/28/2016	Various	28,725,000	4,646,311		0	
461730-10-3	INVESTORS REAL ESTATE TRUST REIT		08/10/2016	ADVANTUS CAPITAL MANAGEMENT	5,100,000	33,592		0	
478160-10-4	JOHNSON & JOHNSON		09/20/2016	BANK OF NEW YORK	14,134,000	1,667,955		0	
48020Q-10-7	JONES LANG LASALLE INC		08/25/2016	BNY CONVERG-SOFT	2,249,000	261,592		0	
49446R-10-9	KIMCO REALTY CORP		09/29/2016	ADVANTUS CAPITAL MANAGEMENT	3,300,000	97,773		0	
49456B-10-1	KINDER MORGAN		07/11/2016	UBS WARBURG	25,000,000	473,605		0	
49803T-30-0	KITE REALTY GROUP TRUST REIT		09/26/2016	ADVANTUS CAPITAL MANAGEMENT	9,800,000	285,909		0	

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SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
501797-10-4	L BRANDS INC		.09/20/2016	BANK OF NEW YORK	7,300.000	531,698		.0	L
531172-10-4	LIBERTY PROPERTY TRUST REIT		.07/26/2016	ADVANTUS CAPITAL MANAGEMENT	500.000	19,929		.0	L
53223X-10-7	LSI		.08/24/2016	ADVANTUS CAPITAL MANAGEMENT	300.000	26,910		.0	L
53223X-10-7	LSI		.08/15/2016	Tax Free Exchange	15,200.000	1,497,313		.0	L
532457-10-8	ELI LILLY		.09/20/2016	BANK OF NEW YORK	10,704.000	857,181		.0	L
55336V-10-0	MPLX LP LIMITED PARTNERS		.09/20/2016	ADVANTUS CAPITAL MANAGEMENT	2,400.000	78,158		.0	L
55608B-10-5	MACQUARIE INFRASTRUCTURE CO		.08/26/2016	Various	35,100.000	2,667,317		.0	L
571903-20-2	MARRIOTT INTERNATIONAL-CL A		.09/23/2016	Taxable Exchange	8,503.200	581,959		.0	L
580135-10-1	McDONALDS		.07/22/2016	BANK OF NEW YORK	24,268.000	3,112,121		.0	L
58522J-10-3	MID-AMERICA APARTMENT COMM REIT		.08/23/2016	ADVANTUS CAPITAL MANAGEMENT	2,800.000	271,822		.0	L
62913M-10-7	NGL ENERGY PARTNERS LP LIMITED PARTNERS		.09/02/2016	Various	39,000.000	701,305		.0	L
62942X-30-6	NRG YIELD INC-CLASS A COMMON		.09/30/2016	ADVANTUS CAPITAL MANAGEMENT	5,000.000	78,764		.0	L
65341B-10-6	NEXTERA ENERGY PARTNERS LP LIMITED PARTNERS		.09/29/2016	ADVANTUS CAPITAL MANAGEMENT	11,300.000	327,330		.0	L
65506L-10-5	NOBLE MIDSTREAM PARTNERS LP LIMITED PARTNERS		.09/15/2016	BARCLAYS	1,000.000	22,500		.0	L
681936-10-0	OMEGA HEALTHCARE REIT		.07/14/2016	ADVANTUS CAPITAL MANAGEMENT	1,300.000	43,432		.0	L
69318Q-10-4	PBF LOGISTICS LP LIMITED PARTNERS		.09/26/2016	ADVANTUS CAPITAL MANAGEMENT	6,700.000	138,056		.0	L
69331C-10-8	PACIFIC GAS & ELECTRIC		.09/09/2016	ADVANTUS CAPITAL MANAGEMENT	500.000	31,339		.0	L
69351T-10-6	PPL CORPORATION		.08/05/2016	ADVANTUS CAPITAL MANAGEMENT	3,000.000	111,411		.0	L
70338P-10-0	PATTERN ENERGY GROUP INC COMMON		.08/10/2016	ADVANTUS CAPITAL MANAGEMENT	700.000	16,751		.0	L
70509V-10-0	PEBBLEBROOK HOTEL TRUST REIT		.09/06/2016	ADVANTUS CAPITAL MANAGEMENT	600.000	17,580		.0	L
709102-10-7	PENN REAL ESTATE INVEST TST REIT		.09/29/2016	ADVANTUS CAPITAL MANAGEMENT	1,000.000	22,969		.0	L
717081-10-3	PFIZER INC		.09/20/2016	BANK OF NEW YORK	52,173.000	1,765,670		.0	L
718549-20-7	PHILLIPS 66 PARTNERS LP LIMITED PARTNERS		.09/26/2016	Various	27,200.000	1,316,770		.0	L
74340W-10-3	PROLOGIS INC REIT		.07/12/2016	ADVANTUS CAPITAL MANAGEMENT	1,400.000	68,973		.0	L
74460D-10-9	PUBLIC STORAGE INC REIT		.09/09/2016	ADVANTUS CAPITAL MANAGEMENT	1,500.000	333,284		.0	L
751452-20-2	RAMCO-GERSHENSON PROPERTIES REIT		.09/14/2016	ADVANTUS CAPITAL MANAGEMENT	4,500.000	84,188		.0	L
762819-10-0	RICE MIDSTREAM PARTNERS LP LIMITED PARTNERS		.09/27/2016	Various	54,200.000	1,109,644		.0	L
78573L-10-6	SABRA HEALTH CARE REIT INC REIT		.09/15/2016	ADVANTUS CAPITAL MANAGEMENT	1,400.000	32,479		.0	L
80589M-10-2	SCANA CORP		.09/09/2016	ADVANTUS CAPITAL MANAGEMENT	3,100.000	220,038		.0	L
81618T-10-0	SELECT INCOME REIT		.07/27/2016	ADVANTUS CAPITAL MANAGEMENT	1,200.000	32,042		.0	L
822634-10-1	SHELL MIDSTREAM PARTNERS LP LIMITED PARTNERS		.07/13/2016	Various	35,000.000	1,166,271		.0	L
828806-10-9	SIMON PROPERTY GRP LP REIT		.09/29/2016	Various	1,609.000	344,639		.0	L
838518-10-8	SOUTH JERSEY INDUSTRIES COMMON		.09/13/2016	ADVANTUS CAPITAL MANAGEMENT	3,600.000	110,296		.0	L
842587-10-7	SOUTHERN CO		.09/30/2016	ADVANTUS CAPITAL MANAGEMENT	13,500.000	696,639		.0	L
84610H-10-8	SOVRAN SELF STORAGE INC REIT		.08/03/2016	ADVANTUS CAPITAL MANAGEMENT	1,600.000	166,232		.0	L
84756N-10-9	SPECTRA ENERGY PARTNERS LP		.09/01/2016	Various	16,800.000	771,341		.0	L
84860W-10-2	SPIRIT REALTY CAPITAL INC REIT		.09/23/2016	ADVANTUS CAPITAL MANAGEMENT	27,300.000	362,774		.0	L
849343-10-8	SPRAGUE RESOURCES LP LIMITED PARTNERS		.07/05/2016	ADVANTUS CAPITAL MANAGEMENT	600.000	14,420		.0	L
85254J-10-2	STAG INDUSTRIAL INC REIT		.09/13/2016	ADVANTUS CAPITAL MANAGEMENT	6,700.000	163,029		.0	L
858912-10-8	STERICYCLE INC		.09/29/2016	Various	64,034.000	5,970,904		.0	L
866142-10-2	SUMMIT MIDSTREAM PARTNERS LP LIMITED PARTNERS		.09/14/2016	Various	82,000.000	1,934,736		.0	L
866674-10-4	SUN COMMUNITIES INC REIT		.09/14/2016	ADVANTUS CAPITAL MANAGEMENT	600.000	46,747		.0	L
86764L-10-8	SUNOCO LOGISTICS PARTNERS		.09/26/2016	Various	26,600.000	736,660		.0	L
867892-10-1	SUNSTONE HOTEL INVESTORS REIT		.09/29/2016	ADVANTUS CAPITAL MANAGEMENT	11,300.000	143,425		.0	L
874697-10-5	TALLGRASS ENERGY PARTNERS LP LIMITED PARTNERS		.09/07/2016	ADVANTUS CAPITAL MANAGEMENT	2,400.000	109,376		.0	L
87612E-10-6	TARGET CORP		.09/20/2016	BANK OF NEW YORK	15,584.000	1,074,939		.0	L
90130A-10-1	TWENTY-FIRST CENTURY FOX-A		.09/28/2016	Various	41,212.000	1,017,915		.0	L
902653-10-4	UDR INC REIT		.07/27/2016	ADVANTUS CAPITAL MANAGEMENT	1,800.000	65,256		.0	L
92276F-10-0	VENTAS INC REIT		.07/14/2016	ADVANTUS CAPITAL MANAGEMENT	1,600.000	115,566		.0	L
92343V-10-4	VERIZON COMMUNICATIONS		.09/26/2016	ADVANTUS CAPITAL MANAGEMENT	6,000.000	322,790		.0	L
92939N-10-2	WASHINGTON PRIME GROUP REIT		.07/26/2016	ADVANTUS CAPITAL MANAGEMENT	6,700.000	81,783		.0	L
93964W-10-8	WASHINGTON PRIME GROUP REIT		.08/31/2016	Tax Free Exchange	15,500.000	172,485		.0	L
95040Q-10-4	HEALTH CARE REIT WELLTOWER INC		.07/01/2016	ADVANTUS CAPITAL MANAGEMENT	300.000	22,823		.0	L
958254-10-4	WESTERN GAS PARTNERS LP		.09/27/2016	Various	71,175.000	3,561,930		.0	L
962166-10-4	WEYERHAEUSER CO		.08/15/2016	ADVANTUS CAPITAL MANAGEMENT	2,100.000	65,838		.0	L
96949L-10-5	WILLIAMS PARTNERS LP LIMITED PARTNERS		.09/07/2016	Various	90,472.000	3,422,210		.0	L
951502-10-5	JCI		.09/06/2016	Taxable Exchange	36,955.490	1,807,123		.0	L
112585-10-4	BROOKFIELD ASSET MANAGE-CL A	A	.08/25/2016	BNY CONVERG-SOFT	30,976.000	1,053,887		.0	L
374825-20-6	GIBSON ENERGY INC	A	.08/11/2016	Morgan Stanley	24,000.000	299,399		.0	L
000000-00-0	VTTI ENERGY PARTNERS LP LIMITED PARTNERS	F	.09/27/2016	Various	141,000.000	2,778,144		.0	L
66987V-10-9	NOVARTIS AG-ADR	F	.09/28/2016	INSTINET	1,933.000	156,621		.0	L
881575-30-2	TESCO PLC RECEIPTS	F	.09/23/2016	Various	1.000	0		.0	U

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
G16252-10-1	BROOKFIELD INFRASTRUCTURE LP	F.....	.09/23/2016	Various	31,400,000	1,375,001	0	L.....
Y2687W-10-8	GASLOG PARTNERS LP	F.....	.09/26/2016	Various	31,000,000	611,276	0	L.....
Y62132-10-8	NAVIGATOR HOLDINGS LTD	F.....	.09/23/2016	Various	20,000,000	185,258	0	L.....
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)						108,489,241	XXX	0	XXX
73935S-10-5	POWERSHARES DB COMMODITY IND CLOSED END FUND07/06/2016	ADVANTUS CAPITAL MANAGEMENT	1,500,000	22,528	0	L.....
89154Q-46-3	TOUCHSTONE CREDIT OPPORTUNITIES CLASS I07/01/2016	DIVIDEND REINVESTMENT	25,737,157	256,085	0	L.....
89154W-79-1	TOUCHSTONE CORE BOND FUND-Y09/16/2016	TOUCHSTONE SECURITIES	17,150,983	181,303	0	L.....
89154W-81-7	TOUCHSTONE HIGH YIELD-Y09/16/2016	TOUCHSTONE SECURITIES	71,723,341	604,128	0	L.....
89154X-22-9	TOUCHSTONE FOCUSED EQUITY FUND CLASS Y09/16/2016	TOUCHSTONE SECURITIES	25,392,514	1,005,649	0	L.....
89154X-44-3	TOUCHSTONE VALUE FUND CLASS Y09/16/2016	TOUCHSTONE SECURITIES	8,071,046	73,436	0	L.....
89154X-53-4	TOUCHSTONE MID CAP GROWTH - Y09/16/2016	TOUCHSTONE SECURITIES	15,689,648	395,355	0	L.....
89155H-24-9	TOUCHSTONE SMALL CAP CORE FUND CLASS Y09/16/2016	TOUCHSTONE SECURITIES	12,846,988	219,699	0	L.....
89155H-37-1	TOUCHSTONE MID CAP VALUE FUND CLASS Y09/01/2016	TOUCHSTONE SECURITIES	3,217,613	56,567	0	L.....
89155H-46-2	TOUCHSTONE EMERGING MARKETS FUND CLASS Y09/16/2016	TOUCHSTONE SECURITIES	9,979,599	88,028	0	L.....
89155H-82-7	TOUCHSTONE SANDS CAPITAL SELECT CLASS Y09/16/2016	TOUCHSTONE SECURITIES	2,439,622	38,814	0	L.....
89155T-66-4	TOUCHSTONE ULTRA SHORT FIXED CLASS Y09/26/2016	TOUCHSTONE SECURITIES	115,899,677	1,079,186	0	L.....
9299999. Subtotal - Common Stocks - Mutual Funds						4,020,778	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						112,510,019	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						112,510,019	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						112,858,147	XXX	0	XXX
9999999 - Totals						332,905,262	XXX	569,199	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues1

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation or Market In-dicator (a)
36176F-Z9-2	G2 #765168 4.615% 11/22/61		09/01/2016	Paydown		422,756	422,756	452,743	432,764	.0	(10,008)	.0	(10,008)	.0	422,756	.0	.0	.0	10,430	11/22/2061	1
36179D-B6-6	GN # AC3661 2.640% 01/15/33		09/01/2016	Paydown		20,327	20,327	20,352	20,346	.0	(19)	.0	(19)	.0	20,327	.0	.0	.0	358	01/15/2033	1
36202K-N5-0	G2 # 8512 3.500% 06/20/19		09/01/2016	Paydown		227	227	234	216	.0	11	.0	11	.0	227	.0	.0	.0	5	06/20/2019	1
36202K-UV-5	G2 # 8696 1.875% 09/20/25		09/01/2016	Paydown		470	470	483	442	.0	27	.0	27	.0	470	.0	.0	.0	6	09/20/2025	1
36203C-ME-9	GNMA # 345157 6.500% 01/15/24		09/01/2016	Paydown		242	242	217	225	.0	17	.0	17	.0	242	.0	.0	.0	10	01/15/2024	1
36203C-ZE-5	GNMA # 345541 6.500% 02/15/24		09/01/2016	Paydown		302	302	271	280	.0	22	.0	22	.0	302	.0	.0	.0	13	02/15/2024	1
36203D-J4-3	GNMA # 345983 6.500% 12/15/23		09/01/2016	Paydown		386	386	346	359	.0	27	.0	27	.0	386	.0	.0	.0	17	12/15/2023	1
36203D-JE-1	GNMA # 345961 6.500% 11/15/23		09/01/2016	Paydown		406	406	364	378	.0	29	.0	29	.0	406	.0	.0	.0	18	11/15/2023	1
36203D-JL-5	GNMA # 345967 6.500% 11/15/23		09/01/2016	Paydown		166	166	142	149	.0	17	.0	17	.0	166	.0	.0	.0	7	11/15/2023	1
36203D-M2-3	GNMA # 346077 6.500% 02/15/24		09/01/2016	Paydown		202	202	181	188	.0	14	.0	14	.0	202	.0	.0	.0	8	02/15/2024	1
36203D-MZ-0	GNMA # 346076 6.500% 01/15/24		09/01/2016	Paydown		546	546	467	491	.0	56	.0	56	.0	546	.0	.0	.0	24	01/15/2024	1
36203K-7M-0	GNMA # 352000 6.500% 12/15/23		09/01/2016	Paydown		1,223	1,223	1,096	1,136	.0	87	.0	87	.0	1,223	.0	.0	.0	55	12/15/2023	1
36203K-KX-1	GNMA # 351410 6.500% 01/15/24		09/01/2016	Paydown		274	274	237	248	.0	26	.0	26	.0	274	.0	.0	.0	12	01/15/2024	1
36203L-4H-2	GNMA # 352824 6.500% 04/15/24		09/01/2016	Paydown		3,646	3,646	3,140	3,289	.0	357	.0	357	.0	3,646	.0	.0	.0	158	04/15/2024	1
36203L-SS-2	GNMA # 352540 6.500% 01/15/24		09/01/2016	Paydown		4,882	4,882	4,230	4,429	.0	453	.0	453	.0	4,882	.0	.0	.0	212	01/15/2024	1
36203M-B5-8	GNMA # 352960 6.500% 05/15/24		09/01/2016	Paydown		664	664	595	616	.0	48	.0	48	.0	664	.0	.0	.0	29	05/15/2024	1
36203M-JM-3	GNMA # 353168 6.500% 12/15/23		09/01/2016	Paydown		301	301	270	280	.0	21	.0	21	.0	301	.0	.0	.0	13	12/15/2023	1
36203N-6L-7	GNMA # 354675 6.500% 10/15/23		09/01/2016	Paydown		141	141	127	131	.0	10	.0	10	.0	141	.0	.0	.0	6	10/15/2023	1
36203P-AP-8	GNMA 30 YR # 354714 6.500% 12/15/23		09/01/2016	Paydown		617	617	553	573	.0	44	.0	44	.0	617	.0	.0	.0	29	12/15/2023	1
36203P-SK-1	GNMA 30 YR # 357350 6.500% 11/15/23		09/01/2016	Paydown		164	164	147	152	.0	12	.0	12	.0	164	.0	.0	.0	7	11/15/2023	1
36203U-Z7-0	GNMA 30 YR # 359966 6.500% 12/15/23		09/01/2016	Paydown		1,217	1,217	1,052	1,103	.0	114	.0	114	.0	1,217	.0	.0	.0	54	12/15/2023	1
36203W-NII-4	GNMA 30 YR # 361405 6.500% 02/15/24		09/01/2016	Paydown		145	145	130	134	.0	10	.0	10	.0	145	.0	.0	.0	6	02/15/2024	1
36203Y-ZF-0	GNMA 30 YR # 363574 6.500% 12/15/23		09/01/2016	Paydown		158	158	141	146	.0	11	.0	11	.0	158	.0	.0	.0	7	12/15/2023	1
36204C-6Z-9	GNMA 30 YR # 366388 6.500% 12/15/23		09/01/2016	Paydown		481	481	432	447	.0	34	.0	34	.0	481	.0	.0	.0	21	12/15/2023	1
36204C-7L-9	GNMA 30 YR # 366399 6.500% 01/15/24		09/01/2016	Paydown		298	298	267	277	.0	21	.0	21	.0	298	.0	.0	.0	13	01/15/2024	1
36204D-MT-3	GNMA 30 YR # 366770 6.500% 05/15/24		09/01/2016	Paydown		581	581	503	526	.0	56	.0	56	.0	581	.0	.0	.0	25	05/15/2024	1
36204G-Y3-0	GNMA 30 YR # 369830 6.500% 12/15/23		09/01/2016	Paydown		552	552	471	496	.0	56	.0	56	.0	552	.0	.0	.0	22	12/15/2023	1
36204J-B2-1	GNMA # 370957 6.500% 12/15/23		09/01/2016	Paydown		1,120	1,120	1,004	1,040	.0	79	.0	79	.0	1,120	.0	.0	.0	51	12/15/2023	1
36204J-KH-8	GNMA # 371196 6.500% 12/15/23		09/01/2016	Paydown		674	674	604	626	.0	48	.0	48	.0	674	.0	.0	.0	29	12/15/2023	1
36204J-KN-5	GNMA # 371201 6.500% 12/15/23		09/01/2016	Paydown		1,017	1,017	911	945	.0	72	.0	72	.0	1,017	.0	.0	.0	44	12/15/2023	1
36204J-L2-0	GNMA # 371245 6.500% 01/15/24		09/01/2016	Paydown		755	755	654	685	.0	70	.0	70	.0	755	.0	.0	.0	33	01/15/2024	1
36204J-L7-9	GNMA # 371250 6.500% 01/15/24		09/01/2016	Paydown		1,220	1,220	1,055	1,105	.0	115	.0	115	.0	1,220	.0	.0	.0	52	01/15/2024	1
36204K-SW-9	GNMA # 372661 6.500% 01/15/24		09/01/2016	Paydown		646	646	560	587	.0	60	.0	60	.0	646	.0	.0	.0	28	01/15/2024	1
36204K-DN-0	GNMA # 371909 6.500% 02/15/24		09/01/2016	Paydown		2,478	2,478	2,143	2,244	.0	234	.0	234	.0	2,478	.0	.0	.0	107	02/15/2024	1
36204K-EA-7	GNMA # 371929 6.500% 03/15/24		09/01/2016	Paydown		548	548	491	508	.0	39	.0	39	.0	548	.0	.0	.0	25	03/15/2024	1
36204N-FK-8	GNMA 30 YR # 374670 6.500% 02/15/24		09/01/2016	Paydown		10,998	10,998	9,531	9,974	.0	1,024	.0	1,024	.0	10,998	.0	.0	.0	435	02/15/2024	1
36204N-ND-5	GNMA 30 YR # 374888 6.500% 01/15/24		09/01/2016	Paydown		1,307	1,307	1,130	1,184	.0	123	.0	123	.0	1,307	.0	.0	.0	57	01/15/2024	1
36204N-R9-0	GNMA 30 YR # 375012 6.500% 01/15/24		09/01/2016	Paydown		1,224	1,224	1,061	1,111	.0	113	.0	113	.0	1,224	.0	.0	.0	53	01/15/2024	1
36204P-OA-3	GNMA 30 YR # 375849 6.500% 01/15/24		09/01/2016	Paydown		1,597	1,597	1,433	1,484	.0	113	.0	113	.0	1,597	.0	.0	.0	62	01/15/2024	1
36204Q-CC-2	GNMA 30 YR # 376367 6.500% 01/15/24		09/01/2016	Paydown		1,662	1,662	1,490	1,544	.0	118	.0	118	.0	1,662	.0	.0	.0	65	01/15/2024	1
36204Q-EA-4	GNMA 30 YR # 376429 6.500% 03/15/24		09/01/2016	Paydown		4,727	4,727	4,038	4,242	.0	485	.0	485	.0	4,727	.0	.0	.0	205	03/15/2024	1
36204R-YP-7	GNMA 30 YR # 377918 6.500% 01/15/24		09/01/2016	Paydown		4,767	4,767	4,122	4,318	.0	449	.0	449	.0	4,767	.0	.0	.0	182	01/15/2024	1
36204R-YQ-5	GNMA 30 YR # 377919 6.500% 01/15/24		09/01/2016	Paydown		1,964	1,964	1,691	1,774	.0	190	.0	190	.0	1,964	.0	.0	.0	85	01/15/2024	1
36204U-JU-6	GNMA 30 YR # 380175 6.500% 12/15/23		09/01/2016	Paydown		83	83	72	75	.0	8	.0	8	.0	83	.0	.0	.0	4	12/15/2023	1
36204Y-C3-5	GNMA 30 YR # 38359																				

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation or Market In-dicator (a)
36218E-A9-2	GNMA 30 YR # 219732 10.000% 09/15/17		09/01/2016	Paydown		.869	.869	.842	.859	.0	.10	.0	.10	.0	.869	.0	.0	.0	.58	09/15/2017	1
36219G-EB-7	GNMA 30 YR # 248630 10.000% 10/15/18		09/01/2016	Paydown		.325	.325	.333	.328	.0	(.3)	.0	(.3)	.0	.325	.0	.0	.0	.22	10/15/2018	1
36219L-PP-1	GNMA 30 YR # 252594 9.500% 05/15/18		09/01/2016	Paydown		.506	.506	.485	.499	.0	.8	.0	.8	.0	.506	.0	.0	.0	.32	05/15/2018	1
36219S-CA-5	GNMA 30 YR # 257565 10.500% 03/15/19		09/01/2016	Paydown		.283	.283	.278	.281	.0	.3	.0	.3	.0	.283	.0	.0	.0	.20	03/15/2019	1
36219X-GU-6	GNMA 30 YR # 262211 10.000% 09/15/18		09/01/2016	Paydown		.250	.250	.248	.249	.0	.1	.0	.1	.0	.250	.0	.0	.0	.17	09/15/2018	1
36220G-4F-6	GNMA 30 YR # 278122 10.000% 06/15/19		09/01/2016	Paydown		.594	.594	.569	.569	.0	.25	.0	.25	.0	.594	.0	.0	.0	.40	06/15/2019	1
36220T-D4-3	GNMA 30 YR # 287323 9.000% 02/15/20		09/01/2016	Paydown		.254	.254	.246	.250	.0	.3	.0	.3	.0	.254	.0	.0	.0	.15	02/15/2020	1
36223H-HR-1	GNMA 30 YR # 308140 7.500% 01/15/22		09/01/2016	Paydown		.402	.402	.386	.392	.0	.9	.0	.9	.0	.402	.0	.0	.0	.20	01/15/2022	1
36224F-J2-7	GNMA 30 YR # 327081 7.500% 05/15/22		09/01/2016	Paydown		1,456	1,456	1,401	1,422	.0	.34	.0	.34	.0	1,456	.0	.0	.0	.73	05/15/2022	1
36224R-KG-8	GNMA 30 YR # 336095 6.500% 11/15/23		09/01/2016	Paydown		2,985	2,985	2,676	2,774	.0	.211	.0	.211	.0	2,985	.0	.0	.0	.129	11/15/2023	1
36225C-EV-4	GNMA ARM # 80147 2.000% 12/20/27		09/01/2016	Paydown		.262	.262	.270	.248	.0	.14	.0	.14	.0	.262	.0	.0	.0	.4	12/20/2027	1
36225C-FM-3	GNMA ARM # 80171 2.000% 02/20/28		09/01/2016	Paydown		1,260	1,260	1,284	1,170	.0	.90	.0	.90	.0	1,260	.0	.0	.0	.14	02/20/2028	1
38373R-6H-7	GNMA - CMO 2001-60 ZL 6.500% 12/20/31		09/01/2016	Paydown		32,558	32,558	32,135	32,288	.0	.270	.0	.270	.0	32,558	.0	.0	.0	1,379	12/20/2031	1
38373S-RX-7	GNMA - CMO 2003-21 PG 5.500% 03/20/33		09/01/2016	Paydown		134,386	134,386	134,386	134,386	.0	.0	.0	.0	.0	134,386	.0	.0	.0	4,893	03/20/2033	1
38373V-NB-9	GNMA - CMO 2002-81 Z 6.112% 09/16/42		09/01/2016	Paydown		82,660	82,660	81,794	81,591	.0	1,069	.0	1,069	.0	82,660	.0	.0	.0	3,056	09/16/2042	1
38373Y-GZ-2	GNMA - CMO 2003-16 Z 5.474% 02/16/44		09/01/2016	Paydown		1,689,987	1,689,987	1,630,908	1,613,409	.0	76,578	.0	76,578	.0	1,689,987	.0	.0	.0	63,405	02/16/2044	1
38373Y-UK-8	GNMA - CMO 2003-5 Z 5.821% 11/16/42		09/01/2016	Paydown		164,419	164,419	157,891	161,634	.0	2,785	.0	2,785	.0	164,419	.0	.0	.0	5,567	11/16/2042	1
38375B-JU-3	GNR 2011-H02 BA 4.450% 02/20/61		09/01/2016	Paydown		1,406,239	1,406,239	1,451,878	1,417,149	.0	(10,910)	.0	(10,910)	.0	1,406,239	.0	.0	.0	42,215	02/20/2061	1
	Redemption 100.0000																				
.690353-WA-1	OPIC VRDN 0.640% 06/15/17		09/15/2016			1,875,000	1,875,000	1,875,000	.0	.0	.0	.0	.0	.0	1,875,000	.0	.0	.0	8,465	06/15/2017	1
.690353-XQ-5	OPIC VRDN 0.760% 07/15/25		08/03/2016	WELLS FARGO		2,000,000	2,000,000	2,000,000	2,000,000	.0	.0	.0	.0	.0	2,000,000	.0	.0	.0	5,611	07/15/2025	1
912828-H7-8	U S TREASURY 0.500% 01/31/17		08/25/2016	CITIGROUP GLOBAL MKTS		55,410,635	55,400,000	55,280,656	55,333,313	.0	40,115	.0	40,115	.0	55,373,428	.0	37,207	37,207	296,571	01/31/2017	1
0599999	Subtotal - Bonds - U.S. Governments					63,316,404	63,305,769	63,186,875	61,298,392	0	105,803	0	105,803	0	63,279,197	0	37,207	37,207	445,286	XXX	XXX
.02R022-48-0	FGLMC TBA 2.500% 03/15/31		08/08/2016	CITIGROUP GLOBAL MKTS		9,322,031	9,000,000	9,236,602	.0	.0	.0	.0	.0	.0	9,236,602	.0	85,430	85,430	9,375	03/15/2031	1
	J P MORGAN SEC FIXED INC																				
.02R022-49-8	FGLMC 15 Yr TBA 2.500% 06/01/31		09/14/2016	Redemption 100.0000		10,340,625	10,000,000	10,294,922	.0	.0	.0	.0	.0	.0	10,294,922	.0	45,703	45,703	12,500	06/01/2031	1
.130536-PR-0	CA PCR WST MGMT POLLUTION 0.900% 08/01/23		08/01/2016			4,000,000	4,000,000	4,000,000	.0	.0	.0	.0	.0	.0	4,000,000	.0	.0	.0	8,951	08/01/2023	2AM
3128MB-XF-9	FGLMC # G13178 5.000% 06/01/23		09/01/2016	Paydown		.86,639	.86,639	.89,197	.88,458	.0	(1,820)	.0	(1,820)	.0	.86,639	.0	.0	.0	2,872	06/01/2023	1
3128MB-YE-1	FGLMC # G13209 5.000% 07/01/23		09/01/2016	Paydown		.68,491	.68,491	.71,215	.70,440	.0	(1,949)	.0	(1,949)	.0	.68,491	.0	.0	.0	2,293	07/01/2023	1
3128MT-PK-8	FGCI # H01326 5.500% 08/01/35		09/01/2016	Paydown		.7,778	.7,778	.7,739	.7,740	.0	.38	.0	.38	.0	.7,778	.0	.0	.0	.282	08/01/2035	1
3128PP-M3-4	FGLMC # J10378 4.500% 07/01/24		09/01/2016	Paydown		.121,249	.121,249	.123,711	.123,070	.0	(1,822)	.0	(1,822)	.0	.121,249	.0	.0	.0	3,345	07/01/2024	1
3128PP-MF-7	FGLMC # J10358 4.500% 07/01/24		09/01/2016	Paydown		.170,384	.170,384	.174,377	.173,346	.0	(2,962)	.0	(2,962)	.0	.170,384	.0	.0	.0	5,276	07/01/2024	1
3128PP-MJ-9	FGLMC # J10361 4.500% 07/01/24		09/01/2016	Paydown		.144,116	.144,116	.147,263	.146,447	.0	(2,331)	.0	(2,331)	.0	.144,116	.0	.0	.0	4,318	07/01/2024	1
3128PQ-QX-2	FGLMC # J11370 4.000% 12/01/24		09/01/2016	Paydown		.155,374	.155,374	.158,882	.157,964	.0	(2,590)	.0	(2,590)	.0	.155,374	.0	.0	.0	4,156	12/01/2024	1
3128PR-LS-6	FGLMC J12137 4.500% 05/01/25		09/01/2016	Paydown		.75,242	.75,242	.78,110	.77,498	.0	(2,256)	.0	(2,256)	.0	.75,242	.0	.0	.0	2,234	05/01/2025	1
3128PR-PB-6	FGLMC POOL # J12247 4.500% 05/01/25		09/01/2016	Paydown		.57,050	.57,050	.60,473	.59,862	.0	(2,812)	.0	(2,812)	.0	.57,050	.0	.0	.0	1,685	05/01/2025	1
3128PR-RN-1	FGLMC POOL # J12293 4.500% 05/01/25		09/01/2016	Paydown		.115,223	.115,223	.122,172	.120,931	.0	(5,708)	.0	(5,708)	.0	.115,223	.0	.0	.0	3,606	05/01/2025	1
3128PT-UT-0	FGLMC #J14194 3.000% 01/01/26		09/01/2016	Paydown		.234,837	.234,837	.228,159	.229,480	.0	5,357	.0	5,357	.0	.234,837	.0	.0	.0	4,727	01/01/2026	1
31290K-CU-1	FHLNC # 554583 9.500% 02/01/21		09/01/2016	Paydown		2,266	2,266	2,235	2,237	.0	.29	.0	.29	.0	2,266	.0	.0	.0	146	02/01/2021	1
31292G-C4-6	FHLNC # C00091 9.000% 12/01/21		09/01/2016	Paydown		.293	.293	.285	.288	.0	.5	.0	.5	.0	.293	.0	.0	.0	18	12/01/2021	1
31295V-EC-0	FHLNC # A00131 9.500% 11/01/20		09/01/2016	Paydown		.100	.100	.100	.100	.0	.0	.0	.0	.0	.100	.0	.0	.0	.6	11/01/2020	1
31295V-EF-3	FHLNC # A00134 9.500% 12/01/20		08/01/2016	Paydown		.11	.11	.11	.11	.0	.0	.0	.0	.0	.11	.0	.0	.0	.1	12/01/2020	1
31295V-EH-9	FHLNC # A00136 9.500% 12/01/20		08/01/2016	Paydown		.3	.3	.3	.3	.0	.0	.0	.0	.0	.3	.0	.0	.0	.3	12/01/2020	1
31295V2																					

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-ign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Design-ation or Market In-dicator (a)
3137AB-FV-8	FHR SERICL 3.154% 02/25/18		09/01/2016	Paydown		30,077	30,077	30,447	30,346	.0	(269)	.0	(269)	.0	30,077	.0	.0	.0	630	02/25/2018	1
3137AR-H5-8	FHR 4057 CD 2.000% 04/15/39		09/01/2016	Paydown		46,327	46,327	44,301	44,675	.0	1,653	.0	1,653	.0	46,327	.0	.0	.0	632	04/15/2039	1
3137B1-ZD-7	FHR 4204 QA 1.500% 07/15/42		09/01/2016	Paydown		419,501	419,501	390,759	397,012	.0	22,490	.0	22,490	.0	419,501	.0	.0	.0	4,327	07/15/2042	1
3137BC-6T-0	FHR 4361 IIV 3.500% 05/15/44		09/01/2016	Paydown		3,734	3,734	3,705	3,708	.0	26	.0	26	.0	3,734	.0	.0	.0	87	05/15/2044	1
3138EO-YE-3	FNMA # AJ7908 3.000% 01/01/27		09/01/2016	Paydown		376,215	376,215	365,455	367,113	.0	9,102	.0	9,102	.0	376,215	.0	.0	.0	7,694	01/01/2027	1
3138W9-JV-3	FN ASQ275 3.000% 08/01/33		09/01/2016	Paydown		152,306	152,306	152,140	152,131	.0	175	.0	175	.0	152,306	.0	.0	.0	3,175	08/01/2033	1
31392J-TL-3	FNR 2003-20 MZ 5.750% 03/25/33		09/01/2016	Paydown		120,912	120,912	116,096	117,633	.0	2,246	.0	2,246	.0	120,912	.0	.0	.0	4,519	03/25/2033	1
31392X-ZY-7	FHR 2528 HN 5.000% 11/15/17		09/01/2016	Paydown		7,603	7,603	8,089	7,757	.0	(154)	.0	(154)	.0	7,603	.0	.0	.0	253	11/15/2017	1
31393U-A6-0	FNW 2003-W19 1A7 5.620% 11/25/33		09/01/2016	Paydown		158,022	158,022	169,984	163,609	.0	(5,587)	.0	(5,587)	.0	158,022	.0	.0	.0	5,814	11/25/2033	1
31393U-AK-9	FNW 2003-W17 1A7 5.750% 08/25/33		09/01/2016	Paydown		315,246	315,246	342,633	327,410	.0	(12,164)	.0	(12,164)	.0	315,246	.0	.0	.0	11,726	08/25/2033	1
31394R-VII-6	FHLMC 2758 ZG 5.500% 04/15/33		09/01/2016	Paydown		321,348	321,348	311,917	317,065	.0	4,283	.0	4,283	.0	321,348	.0	.0	.0	11,183	04/15/2033	1
31397F-L9-1	FHR 3284 CA 5.000% 10/15/21		08/01/2016	Paydown		6,020	6,020	6,421	6,032	.0	(12)	.0	(12)	.0	6,020	.0	.0	.0	187	10/15/2021	1
31397Q-T2-4	FNR 2010-157 NA 3.500% 03/25/37		09/01/2016	Paydown		180,755	180,755	183,410	181,730	.0	(975)	.0	(975)	.0	180,755	.0	.0	.0	4,229	03/25/2037	1
31398L-NM-6	FHR 3609 LE 3.000% 12/15/24		09/01/2016	Paydown		85,446	85,446	86,875	86,109	.0	(663)	.0	(663)	.0	85,446	.0	.0	.0	1,702	12/15/2024	1
31398M-BZ-8	FNMA 2010-9 B 4.000% 02/25/25		09/01/2016	Paydown		233,206	233,206	223,149	228,863	.0	4,344	.0	4,344	.0	233,206	.0	.0	.0	6,276	02/25/2025	1
31410K-AB-0	FNMA # 889302 5.000% 04/01/23		09/01/2016	Paydown		67,619	67,619	66,313	66,609	.0	1,010	.0	1,010	.0	67,619	.0	.0	.0	2,193	04/01/2023	1
31412M-XM-5	FNMA # 929584 5.000% 06/01/23		09/01/2016	Paydown		78,337	78,337	76,824	77,159	.0	1,178	.0	1,178	.0	78,337	.0	.0	.0	2,398	06/01/2023	1
31412S-D3-6	FNMA # 933122 5.500% 01/01/38		09/01/2016	Paydown		6,499	6,499	6,584	6,577	.0	(78)	.0	(78)	.0	6,499	.0	.0	.0	213	01/01/2038	1
31414D-UF-1	FNMA # 963282 5.000% 05/01/23		09/01/2016	Paydown		19,827	19,827	20,543	20,330	.0	(502)	.0	(502)	.0	19,827	.0	.0	.0	663	05/01/2023	1
31414E-CQ-5	FNMA # 963679 5.000% 06/01/23		09/01/2016	Paydown		32,785	32,785	32,152	32,292	.0	493	.0	493	.0	32,785	.0	.0	.0	1,091	06/01/2023	1
31414E-JC-9	FNMA # 963859 5.000% 06/01/23		09/01/2016	Paydown		102,976	102,976	100,987	101,427	.0	1,548	.0	1,548	.0	102,976	.0	.0	.0	3,315	06/01/2023	1
31414M-WI-3	FNMA # 970737 5.000% 11/01/23		09/01/2016	Paydown		32,104	32,104	33,509	33,124	.0	(1,019)	.0	(1,019)	.0	32,104	.0	.0	.0	1,131	11/01/2023	1
31414N-B4-5	FNMA #970859 5.000% 12/01/23		09/01/2016	Paydown		51,179	51,179	53,258	52,690	.0	(1,511)	.0	(1,511)	.0	51,179	.0	.0	.0	1,775	12/01/2023	1
31414T-JS-1	FNMA # 975573 5.000% 06/01/23		09/01/2016	Paydown		38,433	38,433	39,994	39,537	.0	(1,105)	.0	(1,105)	.0	38,433	.0	.0	.0	1,176	06/01/2023	1
31415Q-AP-1	FNMA # 985714 5.000% 06/01/23		09/01/2016	Paydown		33,025	33,025	34,388	33,989	.0	(964)	.0	(964)	.0	33,025	.0	.0	.0	1,167	06/01/2023	1
31415S-VB-5	FNMA # 988110 5.000% 08/01/23		09/01/2016	Paydown		8,206	8,206	8,540	8,445	.0	(238)	.0	(238)	.0	8,206	.0	.0	.0	274	08/01/2023	1
31415T-SN-1	FNMA # 988925 5.000% 08/01/23		09/01/2016	Paydown		20,090	20,090	19,702	19,786	.0	304	.0	304	.0	20,090	.0	.0	.0	665	08/01/2023	1
31415V-GJ-9	FNMA # 991073 5.000% 12/01/23		09/01/2016	Paydown		21,157	21,157	22,016	21,781	.0	(625)	.0	(625)	.0	21,157	.0	.0	.0	781	12/01/2023	1
31416M-W4-2	FNMA # AA4266 4.500% 05/01/24		09/01/2016	Paydown		69,397	69,397	71,099	70,618	.0	(1,221)	.0	(1,221)	.0	69,397	.0	.0	.0	2,188	05/01/2024	1
31416N-7H-9	FNMA # AA5395 4.500% 06/01/24		09/01/2016	Paydown		46,768	46,768	47,353	47,174	.0	(406)	.0	(406)	.0	46,768	.0	.0	.0	1,350	06/01/2024	1
31416R-VA-8	FNMA # AA7808 4.000% 08/01/24		09/01/2016	Paydown		241,388	241,388	240,662	240,682	.0	706	.0	706	.0	241,388	.0	.0	.0	6,918	08/01/2024	1
31417C-OF-5	FN ABS953 3.000% 08/01/32		09/01/2016	Paydown		342,880	342,880	340,630	340,750	.0	2,129	.0	2,129	.0	342,880	.0	.0	.0	6,828	08/01/2032	1
31417K-ZN-0	FNMA # AC1648 4.000% 09/01/24		09/01/2016	Paydown		245,458	245,458	245,228	245,121	.0	337	.0	337	.0	245,458	.0	.0	.0	6,540	09/01/2024	1
31417T-R2-6	FNMA # AC6804 4.000% 01/01/25		09/01/2016	Paydown		160,526	160,526	163,987	163,058	.0	(2,532)	.0	(2,532)	.0	160,526	.0	.0	.0	4,362	01/01/2025	1
31417V-RS-4	FNMA # AC8596 4.000% 01/01/25		09/01/2016	Paydown		337,406	337,406	341,059	339,987	.0	(2,581)	.0	(2,581)	.0	337,406	.0	.0	.0	9,185	01/01/2025	1
31417Y-E3-7	FNMA # MA0153 4.500% 08/01/24		09/01/2016	Paydown		50,134	50,134	51,575	51,194	.0	(1,060)	.0	(1,060)	.0	50,134	.0	.0	.0	1,519	08/01/2024	1
60416Q-FT-6	MN HSG FIN AGY 2.600% 09/01/42		09/01/2016	Paydown		117,894	117,894	117,894	117,894	.0	.0	.0	.0	.0	117,894	.0	.0	.0	2,059	09/01/2042	1FE
60535Q-LY-4	MSSSFH 2.750% 12/01/32		09/01/2016	Redemption	100.0000	99,766	99,766	99,766	99,766	.0	.0	.0	.0	.0	99,766	.0	.0	.0	1,825	12/01/2032	1FE
88275F-NU-9	TEXAS ST DEPT HSG REV 3.200% 09/01/39		09/01/2016	Redemption	100.0000	460,000	460,000	455,244	455,253	.0	4,747	.0	4,747	.0	460,000	.0	.0	.0	11,428	09/01/2039	1FE
92812U-M2-1	VHDA 2013-C A 4.250% 10/25/43		09/25/2016	Redemption	100.0000	94,118	94,118	94,118	94,118	.0	.0	.0	.0	.0	94,118	.0	.0	.0	2,285	10/25/2043	1FE
3199999	Subtotal - Bonds - U.S. Special Revenues					30,311,452	29,648,796	30,197,137	6,650,641	0	(2,875)	0	(2,875)	0	30,180,320	0	131,133	131,133	206,192	XXX	XXX
00164D-AM-5	AMC ENTERTAINMENT TL B 1L 12/15/22		07/15/2016	Redemption	100.0																

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2		3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
											11	12	13	14	15							
CUSIP Ident-ification	Description		For-ign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Design-ation or Market In-dicator (a)
03066D-AA-4	AMCAR 2016-2 A1	0.750% 04/10/17		07/08/2016	Redemption	100.0000			427,398		.0	.0	.0	.0	.0	427,398	.0	.0	.0	.820	04/10/2017	1FE
04364T-AA-6	ACER 2016-1A A1	0.950% 04/10/17		09/27/2016	Paydown			833,513	833,513		.0	.0	.0	.0	.0	833,513	.0	.0	.0	2,288	04/10/2017	1FE
04390A-AA-5	ACER 2015-2A A1	1.000% 11/10/16		07/10/2016	Paydown			149,759	149,759		.0	.0	.0	.0	.0	149,759	.0	.0	.0	.886	11/10/2016	1FE
05348E-AL-3	AYALONBAY COMMUNITIES	5.750% 09/15/16		09/15/2016	Maturity			3,125,000	3,133,750		(505)	(505)	.0	(505)	.0	3,125,000	.0	.0	.0	179,688	09/15/2016	1FE
057224-BC-0	BAKER HUGHES INC	3.200% 08/15/21		08/23/2016	WELLS FARGO			1,492,617	1,428,000		1,492,617	1,428,233	1,426,213	.0	211	1,426,424	.0	66,193	66,193	47,092	08/15/2021	2FE
05946X-E7-4	BAFC 2005-5 2A1	5.500% 09/25/35		09/01/2016	Paydown			4,213	4,199		.0	.0	.0	.0	.0	4,213	.0	.0	.0	.156	09/25/2035	1FIM
05946X-S6-1	BAFC 2005-7 3A1	5.750% 11/25/35		09/01/2016	Paydown			83,984	83,292		.0	.0	.0	.0	.0	83,984	.0	.0	.0	3,563	11/25/2035	1FIM
05946X-U9-2	BAFC 2005-7 4A3	5.750% 11/25/35		09/01/2016	Paydown			145,059	142,033		.0	1,587	.0	1,587	.0	145,059	.0	.0	.0	5,724	11/25/2035	1FIM
05946X-ZZ-9	BAFC 2005-4 2A1	5.500% 08/25/35		09/01/2016	Paydown			128,567	122,339		.0	.0	.0	.0	.0	128,567	.0	.0	.0	4,476	08/25/2035	1FIM
05948K-FY-0	BOAA 2003-9 1CB4	5.500% 11/25/33		09/01/2016	Paydown			1,210,973	1,156,952		.0	.0	.0	.0	.0	1,210,973	.0	.0	.0	49,743	11/25/2033	1FIM
05948K-XT-1	BOAA 2005-2 1CB4	5.500% 03/25/35		09/01/2016	Paydown			215,579	268,631		.0	(60,808)	.0	(60,808)	.0	215,579	.0	.0	.0	10,631	03/25/2035	3FIM
05949C-NH-5	BOAMS 2005-11 1A5	5.750% 12/25/35		09/01/2016	Paydown			242,785	248,780		.0	(5,995)	.0	(5,995)	.0	242,785	.0	.0	.0	9,819	12/25/2035	3FIM
059515-BF-2	BAFC 2007-3 XA2	5.500% 09/25/34		09/01/2016	Paydown			193,586	162,072		.0	.0	.0	.0	.0	193,586	.0	.0	.0	6,924	09/25/2034	1FIM
079860-AD-4	BELLSOUTH CORP	6.875% 10/15/31		09/07/2016	Taxable Exchange			11,985,038	9,500,000		.0	(20,404)	.0	(20,404)	.0	10,260,048	.0	1,724,990	1,724,990	584,184	10/15/2031	2FE
09227C-AM-3	BLACKBOARD INC TL B3 1L	10/04/18		07/01/2016	Redemption	100.0000			590		.0	.0	.0	.0	.0	590	.0	.0	.0	.0	10/04/2018	4FE
10513K-AA-2	BBT	5.625% 09/15/16		09/15/2016	Maturity			2,000,000	2,000,000		.0	(64,318)	.0	(64,318)	.0	2,000,000	.0	.0	.0	112,500	09/15/2016	1FE
1248MG-AX-2	CBASS 2007-CB1 AF1B	4.214% 01/25/37		09/01/2016	Paydown			14,836	14,836		.0	.0	.0	.0	.0	14,836	.0	.0	.0	229	01/25/2037	1FIM
12512Y-AD-3	CDW LLC TL B 1L	04/29/20		08/17/2016	Various			695,850	700,397		.0	.0	.0	.0	.0	695,846	.0	4	4	8,852	04/29/2020	3FE
12512Y-AD-3	CDW LLC TL B 1L	04/29/20		07/01/2016	Redemption	100.0000			1,809		.0	.0	.0	.0	.0	1,809	.0	.0	.0	15	04/29/2020	3FE
12543K-AM-6	CHS/COMMUNITY HEALTH SYSTEMS TL G 1L	12/31/19		07/01/2016	Redemption	100.0000			71		.0	.0	.0	.0	.0	71	.0	.0	.0	1	12/31/2019	3FE
12543K-AM-6	CHS/COMMUNITY HEALTH SYSTEMS TL H 1L	01/27/21		07/01/2016	Redemption	100.0000			548		.0	.0	.0	.0	.0	548	.0	.0	.0	.0	01/27/2021	3FE
12543K-AN-4	COMPUTER SCIENCES TL B 1L	11/27/22		07/01/2016	Redemption	100.0000			4,047		.0	.0	.0	.0	.0	4,047	.0	.0	.0	13	11/27/2022	3FE
12667G-AH-6	CWALT 2005-13CB A8	5.500% 05/25/35		09/01/2016	Paydown			52,363	50,285		.0	2,437	.0	2,437	.0	52,363	.0	.0	.0	1,890	05/25/2035	1FIM
12667G-PV-9	CWALT 2005-20CB 1A3	5.500% 07/25/35		09/01/2016	Paydown			57,494	54,101		.0	3,501	.0	3,501	.0	57,494	.0	.0	.0	2,029	07/25/2035	1FIM
12667G-PW-7	CWALT 2005-20CB 1A4	5.500% 07/25/35		09/01/2016	Paydown			274,176	271,181		.0	4,312	.0	4,312	.0	274,176	.0	.0	.0	9,875	07/25/2035	1FIM
12667G-XD-0	CWALT 2005-28CB 2A4	5.750% 08/25/35		09/01/2016	Paydown			106,626	99,932		.0	.0	.0	.0	.0	106,626	.0	.0	.0	4,088	08/25/2035	2FIM
12668A-AL-9	CWALT 2005-47CB A11	5.500% 10/25/35		09/01/2016	Paydown			227,687	243,666		.0	(17,116)	.0	(17,116)	.0	227,687	.0	.0	.0	9,097	10/25/2035	1FIM
12668A-NW-1	CWALT 2005-54CB 1N1	5.500% 10/25/35		09/01/2016	Paydown			33,496	41,142		.0	(5,489)	.0	(5,489)	.0	33,496	.0	.0	.0	1,528	10/25/2035	1FIM
12668B-YF-4	CWALT 2006-7CB 1A14	6.000% 05/25/36		09/01/2016	Paydown			32,882	34,975		.0	(1,662)	.0	(1,662)	.0	32,882	.0	.0	.0	1,533	05/25/2036	1FIM
12668G-AD-4	CWIL 2006-S9 A4	5.794% 11/25/35		09/01/2016	Paydown			12,904	9,378		.0	.0	.0	.0	.0	12,904	.0	.0	.0	520	11/25/2035	1FIM
12668G-AF-9	CWIL 2006-S9 A6	5.588% 08/25/36		09/01/2016	Paydown			37,687	29,608		.0	6,598	.0	6,598	.0	37,687	.0	.0	.0	1,406	08/25/2036	1FIM
126694-JX-7	CWIL 2005-24 A7	5.500% 11/25/35		09/01/2016	Paydown			66,314	68,652		.0	.0	.0	.0	.0	66,314	.0	.0	.0	2,400	11/25/2035	1FIM
12670B-AE-9	CWIL 2007-S2 A5F	6.000% 05/25/37		09/01/2016	Paydown			19,691	16,926		.0	2,765	.0	2,765	.0	19,691	.0	.0	.0	748	05/25/2037	1FIM
12768G-AB-7	CAESARS ENTERTAINMENT RESORT TL B 1L	10/11/20		07/01/2016	Redemption	100.0000			599		.0	.0	.0	.0	.0	599	.0	.0	.0	3	10/11/2020	4FE
12768G-AB-5	CAESARS GROWTH PROPERTIES HOLD TL B 1L	05/08/21		07/01/2016	Redemption	100.0000			3,125		.0	(6)	.0	(6)	.0	3,125	.0	.0	.0	16	05/08/2021	4FE
13134M-BE-2	CALPINE CONS FIN/COFC FI TL B5 1L	05/27/22		07/01/2016	Redemption	100.0000			1,213		.0	.0	.0	.0	.0	1,213	.0	.0	.0	12	05/27/2022	3FE
149123-BM-2	CATERPILLAR INC	5.700% 08/15/16		08/15/2016	Maturity			2,000,000	2,000,000		.0	(1,642)	.0	(1,642)	.0	2,000,000	.0	.0	.0	114,000	08/15/2016	1FE
16117L-BG-3	CHARTER COMMUNICATIONS TL I 1L	01/24/23		07/01/2016	Redemption	100.0000			1,175		.0	.0	.0	.0	.0	1,175	.0	.0	.0	1	01/24/2023	2FE
17309A-AD-1	CWALT 2006-A1 1A4	5.750% 04/25/36		09/01/2016	Paydown			299,234	323,631		.0	.0	.0	.0	.0	299,234	.0	.0	.0	12,319	04/25/2036	2FIM
17322N-AA-2	CMLTI 2014-J1 A1	3.500% 06/25/44		09/01/2016	Paydown			209,915	212,211		.0	(2,319)	.0	(2,319)	.0	209,915	.0	.0	.0	4,925	06/25/2044	1FIM
225458-PR-3	CSFB 2005-4 2A4	5.500% 06/25/35		09/01/2016	Paydown			62,670	60,487		.0	2,614	.0	2,614	.0	62,670	.0	.0	.0	2,373	06/25/2035	1FIM
225470-VY-6	CSMC 2006-1 11P3	5.500% 01/25/36		09/01/2016	Paydown			26,699	25,698		.0	.0	.0	.0	.0	26,699	.0	.0	.0	1,001	01/25/2036	1FIM
23305X-AA-9	DBUS 2011-LC2A A1	3.527% 01/10/21		09/01/2016	Paydown			118,975	120,159		.0	(570)	.0	(570)	.0	118,975	.0	.0	.0	2,797	01/10/2021	1FIM
23305X-AA-9	DTZ US BORROWER LLC TL B 1L	11/04/21			Redemption	100.0000					.0	.0	.0	.0	.0		.0	.0	.0			
23340D-AH-5	DAIMLER FINANCE NA LLC	2.000% 07/06/21		07/01/2016	TD SECURITIES			1,463	1,455		.0	.0	.0	.0	.0	1,463	.0	.0	.0	.0	11/04/2021	4FE
233851-CH-5	DAIMLER FINANCE NA LLC	2.000% 07/06/21		08/08/2016	TD SECURITIES			5,003,950	4,998,100		.0	(4)	.0	(4)	.0	4,998,096	.0	5,854	5,854	9,167	07/06/2021	1FE
24703E-AA-7	DEFT 2016-1 A1	0.850% 07/24/17		09/22/2016	Paydown			946,066	946,066		.0	.0	.0	.0	.0	946,066	.0	.0	.0	1,054	07/24/2017	1FE
251510-ML-4	DBALT 2006-AB1 A3	5.865% 02/25/36		09/01/2016	Paydown			121,322	110,949		.0	11,000	.0	11,000	.0	121,322	.0	.0	.0	4,590	02/25/2036	1FIM

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-ign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Design-ation or Market In-dicator (a)
25674D-AE-3	DOLLAR TREE INC TL B 1L 07/06/22		09/22/2016	Redemption 100.0000		161,558	161,558	161,558	.0	.0	.0	.0	.0	.0	161,558	.0	.0	.0	1,740	07/06/2022	3FE
25746U-BN-8	DOMINION RESOURCES 1.950% 08/15/16		08/15/2016	Maturity		2,100,000	2,100,000	2,102,163	.0	.0	(2,163)	.0	(2,163)	.0	2,100,000	.0	.0	.0	20,475	08/15/2016	2FE
26779U-AG-2	DYNACAST INTERNATIONAL LLC TL B 1L 01/28/22		07/01/2016	Redemption 100.0000		.863	.863	.854	.0	.0	.11	.0	.11	.0	.863	.0	.0	.0	.8	01/28/2022	4FE
26852B-AK-5	EIG INVESTORS CORP(ENDURANCE) TL B 1L 11/09/19		07/01/2016	Redemption 100.0000		2,327	2,327	2,325	.0	.0	.17	.0	.17	.0	2,327	.0	.0	.0	.1	11/09/2019	4FE
29088U-AC-1	EMERALD EXPOSITIONS HOLDING TL B 1L 06/17/20		07/01/2016	Redemption 100.0000		40,053	40,053	40,053	.0	.0	.0	.0	.0	.0	40,053	.0	.0	.0	555	06/17/2020	4FE
29101Q-AE-9	ENVISION HEALTHCARE CORP TL B2 1L 10/28/22		07/01/2016	Redemption 100.0000		.413	.413	.408	.0	.0	.5	.0	.5	.0	.413	.0	.0	.0	.5	10/28/2022	4FE
29446B-AN-6	EQUINIX TL B 1L 01/08/23		07/01/2016	Redemption 100.0000		.725	.725	.723	.0	.0	.2	.0	.2	.0	.725	.0	.0	.0	.5	01/08/2023	3FE
31428X-BE-5	FEDEX CORP 4.750% 11/15/45		09/26/2016	J P MORGAN SEC FIXED INC		11,382,600	10,000,000	9,893,310	9,892,945	.0	1,724	.0	1,724	.0	9,894,668	.0	1,487,932	1,487,932	443,333	11/15/2045	2FE
32007U-BE-8	FIRST DATA CORP TL B 1L 03/24/21		09/08/2016	Redemption 100.0000		12,463	12,463	12,432	.0	.0	(70)	.0	(70)	.0	12,463	.0	.0	.0	226	03/24/2021	3FE
32051G-RW-7	PHASI 2005-FA5 1A6 5.500% 08/25/35		09/01/2016	Paydown		49,666	49,666	47,267	46,382	.0	3,284	.0	3,284	.0	49,666	.0	.0	.0	1,810	08/25/2035	2FM
32051G-SD-8	PHASI 2005-FA5 3A1 5.500% 08/25/35		09/01/2016	Paydown		21,215	21,231	18,883	18,566	.0	2,649	.0	2,649	.0	21,215	.0	.0	.0	.760	08/25/2035	2FM
34959J-AD-0	FORTIVE CORPORATION 4.300% 06/15/46		09/15/2016	CITIGROUP GLOBAL MKTS		3,155,760	3,000,000	2,993,490	.0	.0	(145)	.0	(145)	.0	2,993,345	.0	162,415	162,415	32,250	06/15/2046	2FE
35104V-AA-0	Foursight Capital20161 bile R SER 20161 CL A1 1.250% 06/15/17		09/15/2016	Paydown		1,060,901	1,060,901	1,060,901	.0	.0	.0	.0	.0	.0	1,060,901	.0	.0	.0	2,019	06/15/2017	1FE
36164N-FH-3	GE CAPTIAL INTL GE CAP INTL FDG 4.418 15NOV35 4.418% 11/15/35	E	07/08/2016	Tax Free Exchange		35,679,909	34,781,000	35,703,183	35,695,996	.0	(16,088)	.0	(16,088)	.0	35,679,909	.0	.0	.0	1,075,637	11/15/2035	1FE
36165T-AC-5	GCP APPLIED TECHNOLOGIES TL B 1L 02/03/22		07/01/2016	Redemption 100.0000		.588	.588	.582	.0	.0	.6	.0	.6	.0	.588	.0	.0	.0	.8	02/03/2022	3FE
361856-DX-2	GMAC MORTGAGE CORP LOAN TRUST 2004-HE5 A5 5.865% 09/25/34		09/01/2016	Paydown		190,859	190,859	195,574	195,388	.0	(4,530)	.0	(4,530)	.0	190,859	.0	.0	.0	7,409	09/25/2034	1FM
3622MW-AH-6	GSR 2007-3F 2A7 5.750% 05/25/37		09/01/2016	Paydown		32,113	32,113	30,592	30,959	.0	1,154	.0	1,154	.0	32,113	.0	.0	.0	1,309	05/25/2037	1FM
362334-CZ-5	GSR 2006-2F 2A13 5.750% 02/25/36		09/01/2016	Paydown		90,858	90,858	90,901	89,990	.0	.868	.0	.868	.0	90,858	.0	.0	.0	3,411	02/25/2036	3FM
362341-WR-7	GSAMP 2005-7F 2A6 5.500% 09/25/35		09/01/2016	Paydown		56,675	56,675	53,948	54,975	.0	1,701	.0	1,701	.0	56,675	.0	.0	.0	2,291	09/25/2035	1FM
36740U-AB-8	GATES GLOBAL LLC TL B 1L 07/06/21		07/01/2016	Redemption 100.0000		.456	.456	.451	.0	.0	.6	.0	.6	.0	.456	.0	.0	.0	.5	07/06/2021	4FE
39154E-AD-3	GREATBATCH LTD TL B 1L 10/27/22		07/01/2016	Redemption 100.0000		1,188	1,188	1,176	.0	.0	.11	.0	.11	.0	1,188	.0	.0	.0	15	10/27/2022	4FE
39154T-AA-6	GALC 2016-1 A1 0.780% 02/21/17		09/20/2016	Paydown		430,439	430,439	430,439	.0	.0	.0	.0	.0	.0	430,439	.0	.0	.0	1,717	02/21/2017	1FE
404122-AS-2	HCA INC TL B6 1L 03/18/23		07/01/2016	Redemption 100.0000		.838	.838	.835	.0	.0	.2	.0	.2	.0	.838	.0	.0	.0	.7	03/18/2023	3FE
410346-AN-0	HANESBRANDS INC TL B 1L 04/29/22		07/01/2016	Redemption 100.0000		.584	.584	.584	.0	.0	.0	.0	.0	.0	.584	.0	.0	.0	.5	04/29/2022	2FE
42206J-AN-2	HD SUPPLY INC TL 1L 08/13/21		07/01/2016	Redemption 100.0000		.594	.594	.593	.0	.0	.2	.0	.2	.0	.594	.0	.0	.0	.6	08/13/2021	3FE
423074-AV-5	KRAFT HEINZ 5.200% 07/15/45		08/19/2016	Tax Free Exchange		1,992,195	2,000,000	1,992,120	1,992,170	.0	25	.0	25	.0	1,992,195	.0	.0	.0	117,578	07/15/2045	2FE
43147D-AF-2	HILL-ROM HOLDINGS TL B 1L 09/08/22		09/21/2016	Redemption 100.0000		438,275	438,275	438,608	.0	.0	472	.0	472	.0	438,275	.0	.0	.0	6,455	09/08/2022	3FE
43289D-AC-7	HILTON WORLDWIDE FINANCE LLC TL B 1L 10/25/20		08/25/2016	Redemption 99.3010		415,478	418,402	413,802	.0	.0	994	.0	994	.0	415,785	.0	(307)	(307)	4,346	10/25/2020	3FE
44969C-AT-7	IMS HEALTH INC TL B 1L 03/17/21		07/01/2016	Redemption 100.0000		1,432	1,432	1,396	.0	.0	28	.0	28	.0	1,432	.0	.0	.0	.5	03/17/2021	3FE
45660L-ZV-0	RAST 2005-A16 A3 6.000% 02/25/36		09/01/2016	Paydown		197,811	203,932	173,483	173,472	.0	24,339	.0	24,339	.0	197,811	.0	.0	.0	10,353	02/25/2036	1FM
45673D-AE-2	INFORMATICA LLC TL B 1L 08/06/22		07/14/2016	GOLDENTREE-BANK LOANS 98.9038		117,890	120,000	119,700	.0	.0	391	.0	391	.0	119,728	.0	(1,837)	(1,837)	1,619	08/06/2022	4FE
45673D-AE-2	INFORMATICA LLC TL B 1L 08/06/22		08/05/2016	Redemption 98.9038		245,234	247,952	247,332	.0	.0	790	.0	790	.0	247,373	.0	(2,140)	(2,140)	3,341	08/06/2022	4FE
46617T-AA-2	HENDR 2014-1A A 3.960% 03/15/63		09/15/2016	Paydown		61,666	61,631	61,631	61,631	.0	.35	.0	.35	.0	61,666	.0	.0	.0	.0	03/15/2063	1FE
466247-JU-8	JPMT 2004-2 4A4 5.500% 11/25/34		09/01/2016	Paydown		46,694	46,694	46,869	46,883	.0	(189)	.0	(189)	.0	46,694	.0	.0	.0	1,871	11/25/2034	1FM
466247-ZO-9	JPMT 2005-S3 1A3 5.750% 01/25/36		09/01/2016	Paydown		446,267	446,280	397,505	393,960	.0	52,308	.0	52,308	.0	446,267	.0	.0	.0	15,862	01/25/2036	2FM
46628S-AH-6	JPIMAC 2006-WF1 A5 6.410% 07/25/36		09/01/2016	Paydown		76,556	76,556	42,711	44,409	.0	32,738	591	32,147	.0	76,556	.0	.0	.0	1,805	07/25/2036	1FM
46628S-AJ-2	JPIMAC 2006-WF1 A6 6.000% 07/25/36		09/01/2016	Paydown		42,193	42,193	24,268	25,187	.0	17,298	292	17,006	.0	42,193	.0	.0	.0	995	07/25/2036	1FM

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident- ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation or Market In- dicator (a)
47009Y-AF-4	PHARMACEUTICAL PRODUCT DEV TL B 1L		08/18/22	Redemption	100.0000			1,568	.0	.0	.12	.0	.12	.0	1,576	.0	.0	.0	.9	08/18/2022	4FE
47579S-AP-5	JELD-WEN ESCROW CORP TL B 1L		10/15/21	Redemption	100.0000		2,294	2,294	.0	.0	.0	.0	.0	.0	2,294	.0	.0	.0	.31	10/15/2021	4FE
48562R-AH-2	KAR AUCTION SERVICES INC TL B3 1L		03/09/23	Redemption	100.0000		.449	.445	.0	.0	.4	.0	.4	.0	.449	.0	.0	.0	.3	03/09/2023	3FE
50077L-AA-4	KRAFT HEINZ FOODS CO 4.375% 06/01/46		08/19/2016	Tax Free Exchange		2,990,378	3,000,000	2,990,520	.0	.0	(.142)	.0	(.142)	.0	2,990,378	.0	.0	.0	30,990	06/01/2046	2FE
50179U-AB-9	US LBM HOLDINGS LLC TL 1L		08/20/22	Redemption	100.0000		1,800	1,764	.0	.0	.33	.0	.33	.0	1,800	.0	.0	.0	.17	08/20/2022	4FE
50218K-AB-4	LIFE TIME FITNESS INC TL B 1L		06/10/22	GOLDENTREE-BANK LOANS		218,357	220,000	218,900	.0	.0	.818	.0	.818	.0	219,026	.0	(.670)	(.670)	2,140	06/10/2022	4FE
50218K-AB-4	LIFE TIME FITNESS INC TL B 1L		06/10/22	Redemption	100.0000		.2,396	2,384	.0	.0	.20	.0	.20	.0	2,396	.0	.0	.0	.23	06/10/2022	4FE
52177F-AA-2	LRF SER 20161 CL A1 1.000% 06/19/17		09/15/2016	Paydown		619,885	619,885	619,885	.0	.0	.0	.0	.0	.0	619,885	.0	.0	.0	1,427	06/19/2017	1FE
52521H-AJ-2	LMT 2006-9 1A9 5.750% 01/25/37		09/01/2016	Paydown		67,696	68,645	56,643	57,806	.0	9,890	.0	9,890	.0	67,696	.0	.0	.0	2,682	01/25/2037	3FM
52523K-AJ-3	LXS 2006-17 WFS 5.950% 11/25/36		09/01/2016	Paydown		.3	28,816	22,641	24,523	.0	(24,520)	.0	(24,520)	.0	.3	.0	.0	.0	1,192	11/25/2036	4FM
55280F-AC-5	MA FINANCE CO LLC(MICRO FOCUS) TL B 1L		11/20/21	Redemption	100.0000		1,347	1,303	.0	.0	.44	.0	.44	.0	1,347	.0	.0	.0	.14	11/20/2021	4FE
55303K-AC-7	MGM GROWTH PROPERTIES TL B 1L		04/07/23	Redemption	100.0000		1,200	1,197	.0	.0	.3	.0	.3	.0	1,200	.0	.0	.0	.8	04/07/2023	4FE
55377G-AE-3	EMI MUSIC TL B3 1L		08/20/22	Redemption	100.0000		10,643	10,616	.0	.0	.24	.0	.24	.0	10,643	.0	.0	.0	.0	08/20/2022	3FE
56356B-AC-3	MANITOWOC FOODSERVICE INC TL B 1L		03/03/23	Redemption	100.0000		5,205	5,101	.0	.0	101	.0	101	.0	5,205	.0	.0	.0	.18	03/03/2023	4FE
56523P-AD-6	KEURIG GREEN MOUNTAIN INC. TL B 1L		03/03/23	Redemption	100.0000		1,212	1,190	.0	.0	.21	.0	.21	.0	1,212	.0	.0	.0	.20	03/03/2023	3FE
59217G-BM-0	MET LIFE GLOB 0.880% 07/14/16		07/14/2016	Maturity		2,000,000	2,000,000	2,000,000	2,000,000	.0	.0	.0	.0	.0	2,000,000	.0	.0	.0	11,019	07/14/2016	1FE
59565A-AB-6	MIDCONTINENT EXPRESS PIPELINE 6.700%		09/15/19	STIFEL NICHOLAS		188,333	181,000	170,140	.0	.0	.718	.0	.718	.0	170,858	.0	17,475	17,475	5,152	09/15/2019	2FE
60467M-AB-7	MIRANT CORP 9.125% 06/30/17		07/01/2016	Redemption	100.0000		(2,175,174)	(2,219,742)	(2,175,174)	.0	.0	.0	.0	.0	(2,175,174)	.0	.0	.0	53,469	06/30/2016	5AM
60945L-AP-0	MONITRONICS INTERNATIONAL INC TL B1 1L		04/09/22	BANK OF AMERICA SEC		347,786	368,332	366,490	.0	.0	1,754	.0	1,754	.0	366,755	.0	(18,969)	(18,969)	4,236	04/09/2022	4FE
60945L-AP-0	MONITRONICS INTERNATIONAL INC TL B1 1L		04/09/22	Redemption	100.0000		.932	.928	.0	.0	.8	.0	.8	.0	.932	.0	.0	.0	.11	04/09/2022	4FE
61749E-AF-4	MORGAN STANLEY 2006-12XS A5A 6.092%	G	10/25/36	Paydown		54,350	54,350	36,809	33,610	.0	20,740	.0	20,740	.0	54,350	.0	.0	.0	.922	10/25/2036	1FM
61751D-AH-7	MSM 2006-17XS A5W 5.941% 10/25/46		09/01/2016	Paydown		63,481	63,481	40,334	35,240	.0	28,241	.0	28,241	.0	63,481	.0	.0	.0	2,278	10/25/2046	1FM
61752R-AL-6	MSM 2007-3XS 2A5 6.207% 01/25/47		09/01/2016	Paydown		22,505	22,505	14,538	14,296	.0	8,209	.0	8,209	.0	22,505	.0	.0	.0	.475	01/25/2047	1FM
627048-AK-7	MURRAY ENERGY CORPORATION TL B2 1L		04/16/20	Redemption	100.0000		1,269	1,260	.0	.0	.17	.0	.17	.0	1,269	.0	.0	.0	.20	04/16/2020	6FE
62942K-AA-4	NPPMT 2013-1 A1 3.250% 07/25/43		09/01/2016	Paydown		98,681	96,214	96,267	.0	.0	2,414	.0	2,414	.0	98,681	.0	.0	.0	2,188	07/25/2043	1FM
64072U-AB-8	NEPTUNE FINCO CORP TL B 1L		10/09/22	Redemption	100.0000		1,913	1,884	.0	.0	.33	.0	.33	.0	1,913	.0	.0	.0	.26	10/09/2022	3FE
65538P-AF-5	NAA 2007-1 1A5 6.347% 03/25/47		09/01/2016	Paydown		169,404	169,404	140,874	131,771	.0	37,633	.0	37,633	.0	169,404	.0	.0	.0	.6	03/25/2047	1FM
67053N-AD-6	NUMERICABLE US LLC TL B 1L		07/29/2016	Redemption	100.0000		1,100	1,097	.0	.0	.7	.0	.7	.0	1,100	.0	.0	.0	.13	07/29/2022	4FE
67053N-AD-6	NUMERICABLE US LLC TL B 1L		01/15/24	Redemption	100.0000		1,025	1,015	.0	.0	.10	.0	.10	.0	1,025	.0	.0	.0	.14	01/15/2024	4FE
68389X-AS-4	ORACLE CORP 3.625% 07/15/23		09/23/2016	WELLS FARGO		2,167,780	2,000,000	1,981,960	1,985,773	.0	1,172	.0	1,172	.0	1,986,944	.0	180,836	180,836	87,201	07/15/2023	1FE
68389X-BK-0	ORACLE CORP 1.900% 09/15/21		08/02/2016	BANK OF AMERICA SEC		5,006,300	5,000,000	4,991,300	.0	.0	.89	.0	.89	.0	4,991,389	.0	14,911	14,911	7,125	09/15/2021	1FE
70966V-AF-3	PENTON BUSINESS MEDIA INC/KS TL 1L		09/30/19	Various		.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	09/30/2019	4FE
718546-AL-8	J P MORGAN SEC FIXED INC																				
718546-AL-8	PHILLIPS 66 4.875% 11/15/44		09/06/2016	Redemption	100.0000		5,631,300	5,435,420	5,430,021	.0	(5,477)	.0	(5,477)	.0	5,424,544	.0	206,756	206,756	199,063	11/15/2044	2FE
73020Q-AD-7	PINNACLE ENTERTAINMENT TL B 1L		04/28/23	Redemption	100.0000		8,000	7,980	.0	.0	.20	.0	.20	.0	8,000	.0	.0	.0	.53	04/28/2023	3FE
74922E-AF-6	RALI 2006-036 1A6 6.250% 06/25/36		09/01/2016	Paydown		8,645	11,063	9,255	9,564	.0	(919)	.0	(919)	.0	8,645	.0	.0	.0	.479	06/25/2036	3FM

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Design-ation or Market In-dicator (a)
..74966U-AL-4	RPI FINANCE TRUST(ROYALTY PHAR TL B4 1L 11/09/20		07/01/2016	Redemption 100.0000		1,855	1,855	1,855	.0	.0	.0	.0	.0	.0	1,855	.0	.0	.0	.9	11/09/2020	2FE
..75952A-AF-4	RELIANT ENERGY INC 9.237% 07/02/17		07/02/2016	Redemption 100.0000		891,758	891,758	904,448	893,518	.0	(1,760)	.0	(1,760)	.0	891,758	.0	.0	.0	82,372	07/02/2017	5AM
..75970J-AD-8	RAMC 2007-1 AF1 5.742% 04/25/37		09/01/2016	Paydown		5,791	3,154	5,791	3,093	.0	.0	.0	2,698	.0	5,791	.0	.0	.0	225	04/25/2037	1FM
..75970J-AJ-5	RAMC 2007-1 AF6 5.710% 04/25/37		09/01/2016	Paydown		32,084	32,084	18,880	17,039	.0	15,045	.0	15,045	.0	32,084	.0	.0	.0	1,242	04/25/2037	1FM
..761118-MD-7	RALI 2005-QS16 A4 5.750% 11/25/35		09/01/2016	Paydown		719,524	719,524	859,309	777,898	.0	(63,527)	.0	(63,527)	.0	719,524	.0	.0	.0	31,450	11/25/2035	3FM
..761118-XQ-6	RALI 2006-QS3 1A12 6.000% 03/25/36		09/01/2016	Paydown		42,438	42,438	41,602	43,184	.0	(746)	.0	(746)	.0	42,438	.0	.0	.0	1,980	03/25/2036	2FM
..76112H-AD-9	RAST 2006-A9CB A4 6.000% 09/25/36		09/01/2016	Paydown		183,277	225,327	156,448	149,825	.0	33,452	.0	33,452	.0	183,277	.0	.0	.0	9,923	09/25/2036	1FM
..77118E-AK-2	ROCK OHIO CAESARS LLC TL B 1L 06/20/19		09/30/2016	Redemption 100.0000		2,428	2,428	2,391	.0	.0	(37)	.0	(37)	.0	2,428	.0	.0	.0	50	06/20/2019	4FE
..771196-AS-1	ROCHE HLDGS INC 6.000% 03/01/19		08/25/2016	Call 100.0000		1,464,000	1,464,000	1,565,748	1,502,822	.0	(7,392)	.0	(7,392)	.0	1,495,429	.0	(31,429)	(31,429)	255,819	03/01/2019	1FE
..78404X-AG-0	SBA TELECOMMUNICATIONS INC TL B2 1L 06/10/22		07/01/2016	Redemption 100.0000		1,475	1,475	1,462	.0	.0	15	.0	15	.0	1,475	.0	.0	.0	12	06/10/2022	4FE
..78466D-AV-6	SS&C TECHNOLOGIES TL B1 1L 07/08/22		08/31/2016	Redemption 100.0000		26,325	26,325	26,194	.0	.0	203	.0	203	.0	26,325	.0	.0	.0	86	07/08/2022	3FE
..78466D-AW-4	SS&C TECHNOLOGIES TL B2 1L 07/08/22		08/31/2016	Redemption 100.0000		7,772	7,772	7,733	.0	.0	60	.0	60	.0	7,772	.0	.0	.0	33	07/08/2022	3FE
..78571Y-AQ-3	SABRE GLBL INC TL B 1L 02/19/19		07/18/2016	Redemption 100.0000		78,386	78,386	78,190	.0	.0	262	.0	262	.0	78,386	.0	.0	.0	358	02/19/2019	4FE
..78709W-AE-9	SCIENCE APPLICATIONS INTL CORP TL B 1L 05/04/22		07/01/2016	GOLDENTREE-BANK LOANS0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	05/04/2022	3FE
..78709W-AE-9	SCIENCE APPLICATIONS INTL CORP TL B 1L 05/04/22		08/23/2016	Redemption 100.0000		438,852	438,852	437,755	.0	.0	2,416	.0	2,416	.0	438,852	.0	.0	.0	4,571	05/04/2022	3FE
..80875A-AJ-0	SGMS ESCROW CORP(SCIENTIFIC GA TL B1 1L 10/18/20		07/01/2016	GOLDENTREE-BANK LOANS0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	10/18/2020	3FE
..80875A-AJ-0	SGMS ESCROW CORP(SCIENTIFIC GA TL B1 1L 10/18/20		07/01/2016	Redemption 100.0000		140	140	139	.0	.0	1	.0	1	.0	140	.0	.0	.0	.0	10/18/2020	3FE
..80875A-AK-7	SGMS ESCROW CORP(SCIENTIFIC GA TL B2 1L 10/01/21		07/01/2016	GOLDENTREE-BANK LOANS0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	10/01/2021	3FE
..80875A-AK-7	SGMS ESCROW CORP(SCIENTIFIC GA TL B2 1L 10/01/21		07/01/2016	Redemption 100.0000		795	795	789	.0	.0	5	.0	5	.0	795	.0	.0	.0	.0	10/01/2021	3FE
..81745D-AE-1	SEMT 2013-9 A1 3.500% 07/25/43		09/01/2016	Paydown		247,184	247,184	242,897	243,390	.0	3,794	.0	3,794	.0	247,184	.0	.0	.0	5,738	07/25/2043	1FM
..81745E-AA-7	SEMT 2013-8 A1 3.000% 06/25/43		09/01/2016	Paydown		324,805	324,805	315,923	316,627	.0	8,178	.0	8,178	.0	324,805	.0	.0	.0	6,461	06/25/2043	1FM
..81745J-AA-6	SEMT 2013-11 A1 3.500% 09/25/43		09/01/2016	Paydown		180,384	180,384	175,423	176,126	.0	4,258	.0	4,258	.0	180,384	.0	.0	.0	4,096	09/25/2043	1FM
..828807-BW-6	SIMON PROPERTY GROUP INC 5.250% 12/01/16		09/02/2016	Call 100.0000		3,000,000	3,000,000	2,811,120	2,975,912	.0	16,857	.0	16,857	.0	2,992,769	.0	7,231	7,231	118,563	12/01/2016	1FE
..828807-CW-5	SIMON PROPERTY GROUP INC 3.300% 01/15/26		09/14/2016	WELLS FARGO		10,526,000	10,000,000	9,978,800	.0	.0	976	.0	976	.0	9,979,776	.0	546,224	546,224	225,500	01/15/2026	1FE
..832696-AP-3	SMUCKER JM CO 4.375% 03/15/45		09/06/2016	BANK of AMERICA SEC		3,361,140	3,000,000	3,007,824	3,007,716	.0	54	.0	54	.0	3,007,771	.0	353,369	353,369	129,063	03/15/2045	2FE
..85527P-AB-5	HANSON BUILDING PRODUCTS NA TL B 1L 03/13/22		07/01/2016	GOLDENTREE-BANK LOANS0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	(1)	(1)	.0	03/13/2022	4FE
..863579-AM-0	SARM SER 2004-12 CL 1A2 2.993% 09/25/34		09/01/2016	Paydown		47,756	47,756	42,174	43,519	.0	4,237	.0	4,237	.0	47,756	.0	.0	.0	960	09/25/2034	1FM
..863579-CB-2	SARM SER 2004-14 CL 1A 2.884% 10/25/34		09/01/2016	Paydown		25,287	25,287	22,425	21,598	.0	2,862	.0	2,862	.0	25,287	.0	.0	.0	463	10/25/2034	1FM
..86359A-05-5	SASC 2003-28XS A5 5.673% 09/25/33		09/01/2016	Paydown		123,925	123,925	123,886	122,586	.0	1,339	.0	1,339	.0	123,925	.0	.0	.0	6,089	09/25/2033	1FM
..86359D-SR-9	SASC 2005-17 SA1 5.500% 10/25/35		09/01/2016	Paydown		18,681	18,744	16,542	16,842	.0	1,850	.0	1,839	.0	18,681	.0	.0	.0	638	10/25/2035	3FM
..86614D-AG-3	SUMMIT MATERIALS LLC TL B 1L 07/17/22		07/01/2016	GOLDENTREE-BANK LOANS0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	(4)	(4)	.0	07/17/2022	3FE
..86614D-AG-3	SUMMIT MATERIALS LLC TL B 1L 07/17/22		07/01/2016	Redemption 100.0000		596	596	593	.0	.0	5	.0	5	.0	596	.0	.0	.0	.2	07/17/2022	3FE
..87265V-AB-4	T-MOBILE USA INC TL B 1L 11/09/22		07/01/2016	Redemption 100.0000		575	575	572	.0	.0	4	.0	4	.0	575	.0	.0	.0	.5	11/09/2022	2FE
..87305X-AB-2	TEAMVIEWER US LLC TL 1L 01/08/21		07/01/2016	Redemption 100.0000		2,232	2,232	2,143	.0	.0	89	.0	89	.0	2,232	.0	.0	.0	31	01/08/2021	4FE
..87612E-AN-6	TARGET CORP 5.875% 07/15/16		07/15/2016	Maturity		10,000,000	10,000,000	10,224,000	10,015,580	.0	(15,580)	.0	(15,580)	.0	10,000,000	.0	.0	.0	587,500	07/15/2016	1FE
..87650Q-AN-2	TASC INC/VA TL B 1L 05/23/20		08/12/2016	Redemption 100.0000		232,950	232,950	223,632	.0	.0	9,409	.0	9,409	.0	232,950	.0	.0	.0	723	05/23/2020	3FE
..87817J-AC-2	TEAM HEALTH INC TL B 1L 11/23/22		07/01/2016	Redemption 100.0000		998	998	988	.0	.0	10	.0	10	.0	998	.0	.0	.0	3	11/23/2022	3FE
..88576X-AA-4	HENDR 2010-1A A 5.560% 07/15/59		09/15/2016	Paydown		75,047	75,047	86,017	84,679	.0	(9,632)	.0	(9,632)	.0	75,047	.0	.0	.0	2,798	07/15/2059	1FE

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident- ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation or Market In- dicator (a)
88723A-AB-4	TIME INC TL B-DD 04/24/21		07/01/2016	Redemption 100.0000		1,785	1,785	1,787	.0	.0	.6	.0	.6	.0	1,785	.0	.0	.0	.14	04/24/2021	3FE
89334G-AP-9	TRANS UNION TL 04/09/21		07/01/2016	Redemption 100.0000		1,235	1,235	1,234	.0	.0	.2	.0	.2	.0	1,235	.0	.0	.0	.6	04/09/2021	4FE
90290P-AH-7	U.S. RENAL CARE, INC. TL 1L 12/31/22		07/01/2016	GOLDENTREE-BANK LOANS		132,796	134,138	132,796	.0	.0	.15	.0	.15	.0	132,875	.0	(.79)	(.79)	(.303)	12/31/2022	4FE
90347B-AG-3	AXALTA COATING TL B 1L 02/01/20		07/01/2016	Redemption 100.0000		.647	.647	.644	.0	.0	.3	.0	.3	.0	.647	.0	.0	.0	.5	02/01/2020	3FE
92855L-AC-0	VIZIENT, INC TL B 1L 02/11/23		07/01/2016	Redemption 100.0000		1,475	1,475	1,431	.0	.0	.43	.0	.43	.0	1,475	.0	.0	.0	.7	02/11/2023	4FE
92860R-AB-2	VOGUE INTERNATIONAL LLC TL B 1L 02/14/20		07/18/2016	Redemption 100.0000		251,788	251,788	250,529	.0	.0	2,342	.0	2,342	.0	251,788	.0	.0	.0	1,890	02/14/2020	4FE
93934F-BL-5	WMALT 2005-7 2CB1 5.500% 08/25/35		09/01/2016	Paydown		37,903	40,903	39,195	.39,338	.0	(1,435)	.0	(1,435)	.0	37,903	.0	.0	.0	1,484	08/25/2035	1FM
939355-AE-3	WMALT 2007-0A3 5A 1.917% 04/25/47		09/01/2016	Paydown		70,772	72,475	30,426	29,863	.0	40,910	.0	40,910	.0	70,772	.0	.0	.0	901	04/25/2047	1FM
96758D-AT-0	WIDEPENWEST TL B 1L 04/01/19		08/19/2016	Redemption 100.0000		523,678	523,678	508,156	.0	.0	14,487	.0	14,487	.0	523,678	.0	.0	.0	.0	04/01/2019	4FE
98379E-AB-2	XPO LOGISTICS TL B 1L 10/30/21		08/25/2016	Redemption 100.0000		359,100	359,100	351,018	.0	.0	8,630	.0	8,630	.0	359,100	.0	.0	.0	8,516	10/30/2021	3FE
98424U-AB-1	YP LLC (YP HOLDINGS) TL B 1L 06/04/18		08/18/2016	Redemption 100.0000		9,000	9,000	9,000	.0	.0	.0	.0	.0	.0	9,000	.0	.0	.0	.2	06/04/2018	4FE
98920U-AG-9	ZEBRA TECHNOLOGIES CORP-CL A TL B 1L 10/27/21		09/21/2016	Redemption 100.0000		11,931	11,931	11,841	.0	.0	.89	.0	.89	.0	11,931	.0	.0	.0	.48	10/27/2021	3FE
96901L-AD-9	BURGER KING TL B2 1L 12/12/21		07/01/2016	GOLDENTREE-BANK LOANS		.0	.1	.1	.0	.0	.0	.0	.0	.0	.1	.0	(.1)	(.1)	.0	12/12/2021	4FE
96901L-AD-9	BURGER KING TL B2 1L 12/12/21		07/01/2016	Redemption 100.0000		1,751	1,751	1,753	.0	.0	.3	.0	.3	.0	1,751	.0	.0	.0	.17	12/12/2021	4FE
9413P-AP-8	VALEANT PHARMACEUTICALS INTERN TL BE1 1L 08/05/20		07/01/2016	Redemption 100.0000		2,566	2,566	2,550	.0	.0	.23	.0	.23	.0	2,566	.0	.0	.0	.0	08/05/2020	3FE
9413P-AU-7	VALEANT PHARMACEUTICALS INTERN TL BF1 1L 04/01/22		07/01/2016	GOLDENTREE-BANK LOANS		.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	04/01/2022	3FE
9413P-AU-7	VALEANT PHARMACEUTICALS INTERN TL BF1 1L 04/01/22		09/21/2016	Redemption 100.0000		2,556	2,556	2,543	.0	.0	.23	.0	.23	.0	2,556	.0	.0	.0	.20	04/01/2022	3FE
90472K-AK-3	Arcent technologies TL 1L 04/14/21		07/01/2016	Redemption 100.0000		2,046	2,046	2,041	.0	.0	.13	.0	.13	.0	2,046	.0	.0	.0	.0	04/14/2021	4FE
9594SL-AN-5	NXP FUNDING LLC TL B 1L 12/07/20		09/22/2016	Redemption 100.0000		453,862	453,863	450,459	.0	.0	3,110	.0	3,110	.0	453,862	.0	.0	.0	.515	12/07/2020	2FE
68245X-AB-5	BURGER KING 4.625% 01/15/22	A	07/15/2016	GOLDENTREE-BANK LOANS		8,094	350,000	350,000	350,000	.0	.0	.0	.0	.0	350,000	.0	(341,906)	(341,906)	.16,188	01/15/2022	4FE
92620K-AK-4	CONCORDIA HEALTHCARE CORP TL B 1L 10/21/21	I	07/01/2016	Redemption 100.0000		2,863	2,863	2,705	.0	.0	.155	.0	.155	.0	2,863	.0	.0	.0	.0	10/21/2021	4FE
90404P-AB-0	ARISTOCRAT INTERNATIONAL PTY TL B 1L 10/20/21	R	07/20/2016	Redemption 99.9994		.69,326	.69,327	.68,633	.0	.0	.974	.0	.974	.0	.69,327	.0	.0	.0	.832	10/20/2021	3FE
95541V-AE-6	BG ENERGY CAPITAL PLC 4.000% 10/15/21	F	09/26/2016	WELLS FARGO		6,545,340	6,000,000	6,066,210	6,044,693	.0	(5,320)	.0	(5,320)	.0	6,039,373	.0	505,967	505,967	229,333	10/15/2021	1FE
912591D-AC-5	CNOOC FIN 2014 ULC 4.250% 04/30/24	F	09/20/2016	WELLS FARGO		1,075,860	1,000,000	1,018,890	1,016,315	.0	(1,211)	.0	(1,211)	.0	1,015,105	.0	60,755	60,755	.38,132	04/30/2024	1FE
921987B-AQ-1	CODELCO INC 3.000% 07/17/22	F	09/06/2016	NOMURA SECURITIES INTERNATIONAL		653,985	650,000	641,310	644,004	.0	.565	.0	.565	.0	644,569	.0	9,416	9,416	.22,317	07/17/2022	1FE
91726J-AE-1	SENSATA TECHNOLOGIES TL B 1L 10/14/21	R	07/01/2016	GOLDENTREE-BANK LOANS		.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	10/14/2021	2FE
91726J-AE-1	SENSATA TECHNOLOGIES TL B 1L 10/14/21	R	07/01/2016	Redemption 100.0000		1,181	1,181	1,184	.0	.0	(.1)	.0	(.1)	.0	1,181	.0	.0	.0	.3	10/14/2021	2FE
965622-BA-1	SUMITOMO MITSUI BANKING 1.450% 07/19/16	F	07/19/2016	Maturity		2,000,000	2,000,000	2,003,820	.0	.0	(3,820)	.0	(3,820)	.0	2,000,000	.0	.0	.0	14,500	07/19/2016	1FE
92967L-AD-5	AVAGO TECHNOLOGIES CAYMAN LTD TL B1 1L 02/01/23	R	08/02/2016	Various		507,251	514,072	506,906	.0	.0	.132	.0	.132	.0	507,248	.0	.3	.3	3,703	02/01/2023	2FE
92967L-AD-5	AVAGO TECHNOLOGIES CAYMAN LTD TL B1 1L 02/01/23	R	08/02/2016	Redemption 100.0000		244,028	244,028	241,588	.0	.0	2,347	.0	2,347	.0	244,028	.0	.0	.0	1,759	02/01/2023	2FE
92967L-AG-8	AVAGO TECHNOLOGIES CAYMAN LTD TL B3 1L 02/01/23	F	09/15/2016	Redemption 100.0000		1,285	1,285	1,268	.0	.0	.17	.0	.17	.0	1,285	.0	.0	.0	.4	02/01/2023	2FE
93968P-AV-6	VIRGIN MEDIA INVESTMENT HLDGS TL F 1L 06/30/23	R	07/08/2016	GOLDENTREE-BANK LOANS		520,906	530,000	527,825	.0	.0	.878	.0	.878	.0	528,042	.0	(.7,136)	(.7,136)	.0	06/30/2023	3FE

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident- ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation or Market In- dicator (a)
..L29678-AF-3	ENDO PHARMA TL B 1L 09/25/22	R	07/01/2016	Redemption 100.0000		1,176	1,176	1,167	.0	.0	.9	.0	.9	.0	1,176	.0	.0	.0	10	09/25/2022	3FE
..L3434L-AC-4	EVERGREEN SKILLS(SKILLSOFT) TL 1L 04/28/21	R	07/29/2016	Redemption 100.0000		898	898	880	.0	.0	22	.0	22	.0	898	.0	.0	.0	13	04/28/2021	4FE
..L3462M-AB-9	EXPRO FINSERVICES SARL TL B 1L 09/02/21	R	07/01/2016	Redemption 100.0000		2,094	2,094	2,062	.0	.0	44	.0	44	.0	2,094	.0	.0	.0	29	09/02/2021	4FE
..L6232U-AB-3	MALLINCKRODT FIN/SB TL B 1L 03/19/21	R	07/15/2016	GOLDENTREE-BANK LOANS		487,231	495,000	485,100	.0	.0	223	.0	223	.0	485,427	.0	1,805	1,805	2,279	03/19/2021	3FE
..L9308U-AG-5	TRAVELPORT FINANCE LUXEMBOURG TL B 1L 09/02/21	R	09/20/2016	Redemption 100.0000		10,593	10,593	10,405	.0	.0	187	.0	187	.0	10,593	.0	.0	.0	102	09/02/2021	4Z
..Y6434N-AC-9	OSG INTERNATIONAL INC TL B-EXIT 1L 08/05/19	F	09/20/2016	Redemption 100.0000		109,818	109,818	108,720	.0	.0	1,334	.0	1,334	.0	109,818	.0	.0	.0	1,999	08/05/2019	4FE
8399999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						159,631,693	154,031,432	155,466,384	112,437,345	0	205,203	894	204,309	0	155,307,911	0	4,323,783	4,323,783	5,445,794	XXX	XXX
8399997. Total - Bonds - Part 4						253,259,549	246,985,997	248,850,396	180,386,378	0	308,131	894	307,237	0	248,767,428	0	4,492,123	4,492,123	6,097,272	XXX	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds						253,259,549	246,985,997	248,850,396	180,386,378	0	308,131	894	307,237	0	248,767,428	0	4,492,123	4,492,123	6,097,272	XXX	XXX
..253868-70-7	DIGITAL REALTY TRUST INC PREFERRED		07/01/2016	ADVANTUS CAPITAL MANAGEMENT	1,800,000	45,647	0.00	41,238	41,238	.0	.0	.0	.0	.0	41,238	.0	4,409	4,409	1,575		P3LFE
..49427F-80-1	KILROY REALTY CORP PREFERRED		09/21/2016	ADVANTUS CAPITAL MANAGEMENT	2,300,000	60,015	0.00	49,458	49,458	.0	.0	.0	.0	.0	49,458	.0	10,558	10,558	2,351		P3LFE
..49446R-74-5	KIMCO REALTY CORP PFD		08/10/2016	ADVANTUS CAPITAL MANAGEMENT	2,700,000	71,308	0.00	67,226	67,226	.0	.0	.0	.0	.0	67,226	.0	4,082	4,082	2,848		P2LFE
..637417-80-9	NATL RETAIL PROPERTIES PREFERRED		08/26/2016	ADVANTUS CAPITAL MANAGEMENT	6,800,000	182,073	0.00	167,630	167,630	.0	.0	.0	.0	.0	167,630	.0	14,443	14,443	4,845		P2LFE
..69360J-66-9	PS BUSINESS PARKS INC PREFERRED		08/10/2016	ADVANTUS CAPITAL MANAGEMENT	787,000	20,659	0.00	19,520	19,520	.0	.0	.0	.0	.0	19,520	.0	1,138	1,138	566		P2LFE
..69360J-71-9	PS BUSINESS PARKS INC PREFERRED		07/28/2016	ADVANTUS CAPITAL MANAGEMENT	3,358,000	87,287	0.00	75,320	75,320	.0	.0	.0	.0	.0	75,320	.0	11,967	11,967	2,707		P2LFE
..876664-60-8	TAUBMAN CENTERS INC PREFERRED		08/31/2016	ADVANTUS CAPITAL MANAGEMENT	900,000	23,622	0.00	20,960	20,960	.0	.0	.0	.0	.0	20,960	.0	2,663	2,663	731		P4L
..917286-70-0	URSTADT BIDDLE PROPS INC PREFERRED		07/01/2016	ADVANTUS CAPITAL MANAGEMENT	1,700,000	45,134	0.00	39,970	39,970	.0	.0	.0	.0	.0	39,970	.0	5,164	5,164	1,514		P3L
..GETPFD-#0-0	GRAPHENE ENABLED TECHNOLOGIES GRAPHENE ENABLED TECHNOLOGIES		07/01/2016	PRIVATE PLACEMENT	3,500,000	348,128	0.00	348,128	.0	.0	.0	.0	.0	.0	348,128	.0	.0	.0	.0		P6UZ*
8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated)						883,873	XXX	829,450	481,322	0	0	0	0	0	829,450	0	54,424	54,424	17,137	XXX	XXX
8999997. Total - Preferred Stocks - Part 4						883,873	XXX	829,450	481,322	0	0	0	0	0	829,450	0	54,424	54,424	17,137	XXX	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						883,873	XXX	829,450	481,322	0	0	0	0	0	829,450	0	54,424	54,424	17,137	XXX	XXX
..001204-10-6	AGL RESOURCES INC		07/01/2016	TENDER OFFER ADVANTUS CAPITAL	4,916,000	324,456		298,069	313,690	(15,621)	.0	.0	(15,621)	.0	298,069	.0	26,387	26,387	5,211		L
..00130H-10-5	AES CORP		09/13/2016	MANAGEMENT	6,800,000	84,526		83,845	.0	.0	.0	.0	.0	.0	83,845	.0	681	681	506		L
..002824-10-0	ABBOTT LABS		09/28/2016	Various	31,765,000	1,351,869		1,185,837	1,426,566	(240,729)	.0	.0	(240,729)	.0	1,185,837	.0	166,032	166,032	24,777		L
..008492-10-0	AGREE REALTY CORP REIT		07/26/2016	ADVANTUS CAPITAL MANAGEMENT	900,000	44,785		26,905	30,591	(3,686)	.0	.0	(3,686)	.0	26,905	.0	17,880	17,880	1,269		L
..015271-10-9	ALEXANDRIA REAL ESTATE EQUIT REIT		08/03/2016	MANAGEMENT	2,529,000	273,886		200,512	.0	.0	.0	.0	.0	.0	200,512	.0	73,374	73,374	4,046		L
..02079K-30-5	ALPHABET CLASS A		08/25/2016	BNY CONVERG-SOFT	706,000	558,929		219,681	549,275	(329,594)	.0	.0	(329,594)	.0	219,681	.0	339,248	339,248	.0		L
..024835-10-0	AMERICAN CAMPUS COMMUNITIES REIT		07/14/2016	ADVANTUS CAPITAL MANAGEMENT	400,000	20,981		16,500	.0	.0	.0	.0	.0	.0	16,500	.0	4,481	4,481	328		L
..025537-10-1	AMERICAN ELECTRIC POWER		07/28/2016	ADVANTUS CAPITAL MANAGEMENT	300,000	20,620		17,247	17,481	(234)	.0	.0	(234)	.0	17,247	.0	3,372	3,372	336		L
..053484-10-1	AVALON BAY COMMUNITIES REIT		09/16/2016	MANAGEMENT	2,400,000	428,834		416,227	.0	.0	.0	.0	.0	.0	416,227	.0	12,607	12,607	5,265		L
..064058-10-0	BANK OF NY MELLON CORP		09/29/2016	Various	153,128,000	6,066,151		4,503,422	6,311,936	(1,808,514)	.0	.0	(1,808,514)	.0	4,503,422	.0	1,562,729	1,562,729	81,158		L
..075887-10-9	BECTON DICKINSON		09/20/2016	BANK OF NEW YORK	11,341,000	2,003,163		1,559,589	1,747,535	(187,945)	.0	.0	(187,945)	.0	1,559,589	.0	443,573	443,573	22,455		L

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident- ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation or Market In- dicator (a)
11120U-10-5	BRIXMOR PROPERTY GROUP INC REIT		07/11/2016	ADVANTUS CAPITAL	800.000	21,776		20,564	.0	.0	.0	.0	.0	.0	20,564	.0	1,212	1,212	196		L
12572Q-10-5	CME GROUP INC		09/01/2016	BNY CONVERG-SOFT	6,004.000	652,025		344,216	543,962	(199,746)	.0	.0	(199,746)	.0	344,216	.0	307,809	307,809	24,616		L
12665Q-10-0	CVS CORP		08/25/2016	BNY CONVERG-SOFT	11,117.000	1,050,605		1,006,869	1,086,909	(80,040)	.0	.0	(80,040)	.0	1,006,869	.0	43,736	43,736	14,174		L
13057Q-10-7	CALIFORNIA RESOURCES CRP		07/01/2016	Tax Free Exchange	4,229.000	1,288		1,288	.0	.0	.0	.0	.0	.0	1,288	.0	.0	.0	.0		L
13057Q-20-6	CALIFORNIA RESOURCES CRP		09/23/2016	BNY CONVERGEX-EXEC	422.000	4,895		1,285	.0	.0	.0	.0	.0	.0	1,285	.0	3,610	3,610	.0		L
13057Q-20-6	CALIFORNIA RESOURCES CRP		07/04/2016	Cash Adjustment	1.000	15		3	.0	.0	.0	.0	.0	.0	3	.0	13	13	.0		L
13057Q-20-6	CALIFORNIA RESOURCES CRP		07/04/2016	Various	3,806.000	.0		.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0		L
133131-10-2	CAMDEN PROPERTY TRUST REIT		09/28/2016	ADVANTUS CAPITAL	1,100.000	94,909		94,811	.0	.0	.0	.0	.0	.0	94,811	.0	99	99	4,675		L
16208T-10-2	CHATHAM LODGING TRUST REIT		09/28/2016	ADVANTUS CAPITAL	3,400.000	72,474		82,920	69,632	13,288	.0	.0	13,288	.0	82,920	.0	(10,446)	(10,446)	3,129		L
16411W-10-8	CHENIERE ENERGY PARTNERS LP		09/30/2016	Various	47,000.000	1,021,064		927,993	.0	.0	.0	.0	.0	.0	927,993	.0	93,070	93,070	1,100		L
16524Q-10-2	CHESAPEAKE LODGING TRUST REIT		09/22/2016	MANAGEMENT	3,100.000	74,970		102,693	77,996	24,697	.0	.0	24,697	.0	102,693	.0	(27,724)	(27,724)	3,720		L
17275R-10-2	CISCO SYSTEMS INC		09/29/2016	Various	120,117.000	3,738,243		2,777,441	3,262,378	(484,936)	.0	.0	(484,936)	.0	2,777,441	.0	960,802	960,802	87,685		L
19624R-10-6	COLONY CAPITAL INC. REIT		09/19/2016	ADVANTUS CAPITAL	18,100.000	314,453		399,674	352,588	47,086	.0	.0	47,086	.0	399,674	.0	(85,221)	(85,221)	21,720		L
198281-10-7	COLUMBIA PIPELINE PARTNERS L LIMITED PARTNERS		09/26/2016	Various	374,000.000	6,007,318		5,423,441	6,187,920	(1,068,183)	.0	.0	(1,068,183)	.0	5,423,441	.0	583,877	583,877	209,510		L
22662X-10-0	CRIMSON WINE GROUP LTD-WI		09/21/2016	BNY CONVERGEX-EXEC	78.000	666		.0	686	(686)	.0	.0	(686)	.0	.0	.0	666	666	.0		U
23283R-10-0	CYRUSONE INC REIT		09/20/2016	ADVANTUS CAPITAL	4,400.000	222,531		169,201	.0	.0	.0	.0	.0	.0	169,201	.0	53,329	53,329	2,812		L
25746U-10-9	DOMINION RESOURCES		09/26/2016	ADVANTUS CAPITAL	4,900.000	366,542		369,117	277,324	32,346	.0	.0	32,346	.0	369,117	.0	(2,576)	(2,576)	7,280		L
26885B-10-0	EQT MIDSTREAM PARTNERS LP LIMITED PARTNERS		09/21/2016	MANAGEMENT	2,800.000	218,160		202,422	196,196	(8,926)	.0	.0	(8,926)	.0	202,422	.0	15,738	15,738	5,967		L
277276-10-1	EASTGROUP PROPERTIES INC REIT		09/12/2016	ADVANTUS CAPITAL	6,200.000	449,550		340,981	289,172	(987)	.0	.0	(987)	.0	340,981	.0	108,569	108,569	7,440		L
28140H-20-3	EDUCATION REALTY TRUST INC REIT		07/08/2016	MANAGEMENT	500.000	23,170		17,750	.0	.0	.0	.0	.0	.0	17,750	.0	5,420	5,420	370		L
29273V-10-0	ENERGY TRANSFER EQUITY LP		07/21/2016	HOWARD WEIL	368,000.000	6,081,325		3,262,569	4,231,920	(1,287,204)	.0	.0	(1,287,204)	.0	3,262,569	.0	2,818,755	2,818,755	192,660		L
29336U-10-7	ENLINK MISTREAM PARTNER LP		09/07/2016	ADVANTUS CAPITAL	4,300.000	78,587		71,591	.0	.0	.0	.0	.0	.0	71,591	.0	6,996	6,996	1,677		L
293792-10-7	ENTERPRISE PRODUCTS PARTNERS		07/20/2016	MANAGEMENT	6,400.000	187,307		216,380	163,712	52,668	.0	.0	52,668	.0	216,380	.0	(29,073)	(29,073)	5,024		L
29476L-10-7	EQUITY RESIDENTIAL PROPERTIES		09/29/2016	ADVANTUS CAPITAL	1,000.000	65,394		64,645	.0	.0	.0	.0	.0	.0	64,645	.0	748	748	.0		L
297178-10-5	ESSEX PROPERTY TRUST INC REIT		08/09/2016	ADVANTUS CAPITAL	1,300.000	300,217		264,522	.0	.0	.0	.0	.0	.0	264,522	.0	35,695	35,695	3,360		L
3696Q4-10-3	GENERAL ELECTRIC CO		08/25/2016	BNY CONVERG-SOFT	67,181.000	2,095,592		1,737,220	2,092,688	(355,468)	.0	.0	(355,468)	.0	1,737,220	.0	358,372	358,372	46,355		L
42225P-50-1	HEALTHCARE TRUST OF AME-CL A REIT		09/01/2016	MANAGEMENT	3,300.000	109,675		81,525	89,001	(7,476)	.0	.0	(7,476)	.0	81,525	.0	28,150	28,150	2,921		L
427825-50-0	HERSHA HOSPITALITY TRUST REIT		08/01/2016	ADVANTUS CAPITAL	2,900.000	55,177		70,184	63,104	7,080	.0	.0	7,080	.0	70,184	.0	(15,007)	(15,007)	2,436		L
437076-10-2	HOME DEPOT		07/22/2016	BANK OF NEW YORK	31,900.000	4,353,167		3,633,282	4,218,775	(585,493)	.0	.0	(585,493)	.0	3,633,282	.0	719,885	719,885	44,022		L
46113M-10-8	INTERVAL LEISURE GROUP		09/23/2016	BNY CONVERGEX-EXEC	4,580.000	77,109		54,997	.0	.0	.0	.0	.0	.0	54,997	.0	22,112	22,112	1,099		L
46173Q-10-3	INVESTORS REAL ESTATE TRUST REIT		09/22/2016	ADVANTUS CAPITAL	17,800.000	112,965		117,955	72,975	(2,186)	.0	.0	(2,186)	.0	117,955	.0	(4,990)	(4,990)	4,667		L
478366-10-7	JOHNSON CONTROLS		09/06/2016	Taxable Exchange	44,221.000	2,060,479		1,783,570	1,746,287	37,283	.0	.0	37,283	.0	1,783,570	.0	276,909	276,909	51,296		L
49446R-10-9	KIMCO REALTY CORP		07/28/2016	ADVANTUS CAPITAL	5,100.000	160,214		123,421	134,946	(11,525)	.0	.0	(11,525)	.0	123,421	.0	36,793	36,793	3,647		L
49456B-10-1	KINDER MORGAN		08/01/2016	BARCLAYS	25,000.000	492,109		473,605	.0	.0	.0	.0	.0	.0	473,605	.0	18,504	18,504	3,125		L
502175-10-2	LTC PROPERTIES INC REIT		09/07/2016	MANAGEMENT	3,100.000	163,609		121,803	133,734	(11,931)	.0	.0	(11,931)	.0	121,803	.0	41,806	41,806	4,284		L
502424-10-4	L-3 COMMUNICATIONS HOLDINGS		09/20/2016	BANK OF NEW YORK	4,928.000	725,784		511,133	588,945	(77,812)	.0	.0	(77,812)	.0	511,133	.0	214,651	214,651	10,349		L
527288-10-4	LEUCADIA NATIONAL CORP		08/05/2016	BNY CONVERGEX-EXEC	788.000	14,871		.0	13,703	(13,703)	.0	.0	(13,703)	.0	.0	.0	14,871	14,871	99		L

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-ign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation or Market In-dicator (a)
531172-10-4	LIBERTY PROPERTY TRUST REIT		08/17/2016	ADVANTUS CAPITAL	5,700,000	227,871		207,338	.0	.0	.0	.0	.0	.0	207,338	.0	20,533	20,533	2,470		
55336V-10-0	MPLX LP LIMITED PARTNERS		08/11/2016	DEUTSCHE BANK -EQ	14,000,000	448,741		431,620	550,620	(119,000)	.0	.0	(119,000)	.0	431,620	.0	17,121	17,121	21,210		
554382-10-1	MACERICH CO/THE REIT		09/28/2016	ADVANTUS CAPITAL	6,000,000	495,864		470,225	.0	.0	.0	.0	.0	.0	470,225	.0	25,639	25,639	5,848		
559080-10-6	MAGELLAN MIDSTREAM PRTNs		09/23/2016	Various	7,800,000	566,969		443,803	509,468	(85,620)	.0	.0	(85,620)	.0	443,803	.0	123,166	123,166	13,624		
571903-20-2	MARRIOTT INTERNATIONAL-CL A		09/23/2016	Cash Adjustment	.0000	.14		14	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0		
594918-10-4	MICROSOFT CORP		08/25/2016	BNY CONVERG-SOFT	28,090,000	1,633,454		1,163,904	1,558,433	(394,530)	.0	.0	(394,530)	.0	1,163,904	.0	469,551	469,551	30,337		
59522J-10-3	MID-AMERICA APARTMENT COMM REIT		07/08/2016	ADVANTUS CAPITAL	1,300,000	141,253		126,258	.0	.0	.0	.0	.0	.0	126,258	.0	14,995	14,995	.0		
609207-10-5	MONDELEZ INTERNATIONAL INC		08/25/2016	Various	162,821,000	7,014,566		4,579,063	7,300,894	(2,721,831)	.0	.0	(2,721,831)	.0	4,579,063	.0	2,435,503	2,435,503	83,039		
620076-30-7	MOTOROLA INC		09/20/2016	BANK OF NEW YORK	18,196,000	1,371,876		1,165,159	1,245,516	(80,357)	.0	.0	(80,357)	.0	1,165,159	.0	206,717	206,717	22,381		
62942X-30-6	NRG YIELD INC-CLASS A COMMON		08/09/2016	ADVANTUS CAPITAL	2,000,000	33,023		30,641	.0	.0	.0	.0	.0	.0	30,641	.0	2,382	2,382	460		
63633D-10-4	NATL HEALTH INV REIT		09/13/2016	ADVANTUS CAPITAL	2,500,000	200,060		169,556	152,175	17,381	.0	.0	17,381	.0	169,556	.0	30,504	30,504	6,625		
641100-10-4	NETAPP INC		09/20/2016	BANK OF NEW YORK	34,404,000	1,219,894		1,070,759	912,738	158,021	.0	.0	158,021	.0	1,070,759	.0	149,135	149,135	18,922		
651229-10-6	NEWELL BRANDS INC		09/20/2016	BANK OF NEW YORK	14,235,000	732,839		583,300	627,479	(44,178)	.0	.0	(44,178)	.0	583,300	.0	149,538	149,538	8,114		
65506L-10-5	NOBLE MIDSTREAM PARTNERS LP LIMITED PARTNERS		09/15/2016	BARCLAYS	1,000,000	26,354		22,500	.0	.0	.0	.0	.0	.0	22,500	.0	3,854	3,854	.0		
681936-10-0	OMEGA HEALTHCARE REIT		09/28/2016	ADVANTUS CAPITAL	6,293,000	231,267		221,133	118,932	3,635	.0	.0	3,635	.0	221,133	.0	10,134	10,134	9,014		
690732-10-2	OWENS & MINOR INC		09/28/2016	Various	43,284,000	1,464,807		1,394,103	1,557,358	(163,256)	.0	.0	(163,256)	.0	1,394,103	.0	70,704	70,704	24,365		
69331C-10-8	PACIFIC GAS & ELECTRIC		07/28/2016	ADVANTUS CAPITAL	600,000	38,078		36,600	.0	.0	.0	.0	.0	.0	36,600	.0	1,477	1,477	196		
69351T-10-6	PPL CORPORATION		09/29/2016	ADVANTUS CAPITAL	28,544,000	1,001,490		918,344	841,100	(67,265)	.0	.0	(67,265)	.0	918,344	.0	83,146	83,146	28,033		
701094-10-4	PARKER HANFIFIN		09/20/2016	BANK OF NEW YORK	8,170,000	1,006,121		867,253	792,327	74,926	.0	.0	74,926	.0	867,253	.0	138,868	138,868	15,441		
70159Q-10-4	PARKWAY PROPERTIES INC REIT		07/12/2016	ADVANTUS CAPITAL	3,800,000	64,863		54,930	.0	.0	.0	.0	.0	.0	54,930	.0	9,934	9,934	1,425		
703395-10-3	PATTERSON COS INC		09/20/2016	BANK OF NEW YORK	4,798,000	220,957		216,119	216,918	(799)	.0	.0	(799)	.0	216,119	.0	4,839	4,839	3,359		
70509V-10-0	PEBBLEBROOK HOTEL TRUST REIT		09/30/2016	ADVANTUS CAPITAL	9,900,000	265,106		267,943	.0	.0	.0	.0	.0	.0	267,943	.0	(2,836)	(2,836)	6,004		
709102-10-7	PENN REAL ESTATE INVEST TST REIT		09/07/2016	ADVANTUS CAPITAL	3,500,000	87,068		79,534	.0	.0	.0	.0	.0	.0	79,534	.0	7,535	7,535	1,197		
718549-20-7	PHILLIPS 66 PARTNERS LP LIMITED PARTNERS		09/01/2016	Various	37,200,000	1,835,089		2,087,215	859,600	(49,052)	.0	.0	(49,052)	.0	2,087,215	.0	(252,125)	(252,125)	33,375		
71943U-10-4	PHYSICIANS REALTY TRUST REIT		09/29/2016	ADVANTUS CAPITAL	2,100,000	45,111		29,400	29,911	(5,074)	4,563	.0	(511)	.0	29,400	.0	15,711	15,711	1,418		
726503-10-5	PLAINS ALL AMER PIPELINE LP		07/12/2016	Various	55,000,000	1,621,072		1,018,787	1,270,500	(251,713)	.0	.0	(251,713)	.0	1,018,787	.0	602,285	602,285	77,000		
74340W-10-3	PROLOGIS INC REIT		09/06/2016	ADVANTUS CAPITAL	11,100,000	596,445		471,549	390,572	(12,614)	.0	.0	(12,614)	.0	471,549	.0	124,895	124,895	8,148		
74340W-10-3	PROLOGIS INC REIT		07/15/2016	ADVANTUS CAPITAL	2,500,000	127,490		99,854	107,300	(7,446)	.0	.0	(7,446)	.0	99,854	.0	27,636	27,636	2,100		
751452-20-2	RAMCO-GERSHENSON PROPERTIES REIT		07/11/2016	ADVANTUS CAPITAL	4,900,000	96,102		82,385	57,637	(275)	.0	.0	(275)	.0	82,385	.0	13,717	13,717	2,486		
759509-10-2	RELANCE STEEL & ALUMINUM		09/28/2016	Various	53,782,000	3,916,628		3,671,924	3,114,516	557,408	.0	.0	557,408	.0	3,671,924	.0	244,705	244,705	65,883		
76131N-10-1	RETAIL OPPORTUNITY INVESTMEN REIT		09/07/2016	ADVANTUS CAPITAL	3,700,000	84,132		76,682	.0	.0	.0	.0	.0	.0	76,682	.0	7,450	7,450	.0		
777149-10-5	ROSE ROCK MIDSTREAM LP		09/22/2016	Various	15,000,000	373,121		497,133	225,600	271,533	.0	.0	271,533	.0	497,133	.0	(124,012)	(124,012)	29,700		
78440X-10-1	SL GREEN REALTY CORP REIT		07/27/2016	ADVANTUS CAPITAL	4,100,000	455,524		358,646	.0	.0	.0	.0	.0	.0	358,646	.0	96,878	96,878	5,904		
78573L-10-6	SABRA HEALTH CARE REIT INC REIT		08/30/2016	ADVANTUS CAPITAL	4,300,000	107,230		93,390	.0	.0	.0	.0	.0	.0	93,390	.0	13,840	13,840	546		
790849-10-3	ST JUDE MEDICAL		09/20/2016	BANK OF NEW YORK	15,810,000	1,252,058		1,097,489	976,584	120,905	.0	.0	120,905	.0	1,097,489	.0	154,569	154,569	14,387		
816851-10-9	SEMPRA ENERGY		08/19/2016	ADVANTUS CAPITAL	2,500,000	277,422		243,745	.0	.0	.0	.0	.0	.0	243,745	.0	33,677	33,677	3,775		
822634-10-1	SHELL MIDSTREAM PARTNERS LP LIMITED PARTNERS		08/31/2016	Various	244,400,000	7,396,887		8,295,807	1,909,920	(451,095)	.0	.0	(451,095)	.0	8,295,807	.0	(898,920)	(898,920)	106,442		

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-ign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation or Market In-dicator (a)
..828806-10-9	SIMON PROPERTY GRP LP REIT		07/22/2016	ADVANTUS CAPITAL MANAGEMENT	900.000	200,367		181,792	38,888	791	0	0	791	0	181,792	0	18,575	18,575	1,662		
..84610H-10-8	SOVRAN SELF STORAGE INC REIT		08/09/2016	ADVANTUS CAPITAL MANAGEMENT	200.000	18,450		22,135	0	0	0	0	0	0	22,135	0	(3,685)	(3,685)	190		
..84610H-10-8	SOVRAN SELF STORAGE INC REIT		08/15/2016	Tax Free Exchange	15,200.000	1,497,313		1,497,313	729,708	(98,051)	0	0	(98,051)	0	1,497,313	0	0	0	28,295		
..84756N-10-9	SPECTRA ENERGY PARTNERS LP		09/30/2016	Various	65,300.000	2,876,749		3,077,768	0	0	0	0	0	0	3,077,768	0	(201,019)	(201,019)	54,544		
..849343-10-8	SPRAGUE RESOURCES LP LIMITED PARTNERS		09/13/2016	ADVANTUS CAPITAL MANAGEMENT	100.000	2,409		2,403	0	0	0	0	0	0	2,403	0	6	6	55		
..85590A-40-1	STARWOOD HOTELS RESORTS		09/23/2016	Taxable Exchange	10,629.000	805,168		684,072	681,574	2,498	0	0	2,498	0	684,072	0	121,096	121,096	11,958		
..862121-10-0	STORE CAPITAL CORP REIT		09/07/2016	ADVANTUS CAPITAL MANAGEMENT	6,600.000	196,263		130,675	153,120	(22,445)	0	0	(22,445)	0	130,675	0	65,588	65,588	5,346		
..863667-10-1	STRYKER CORP		09/20/2016	BANK OF NEW YORK	16,009.000	1,838,690		1,538,318	1,487,876	50,441	0	0	50,441	0	1,538,318	0	300,372	300,372	18,250		
..866142-10-2	SUMMIT MIDSTREAM PARTNERS LP LIMITED PARTNERS		07/14/2016	Various	27,000.000	625,622		350,344	0	0	0	0	0	0	350,344	0	275,278	275,278	15,525		
..866674-10-4	SUN COMMUNITIES INC REIT		08/17/2016	ADVANTUS CAPITAL MANAGEMENT	700.000	53,789		51,025	0	0	0	0	0	0	51,025	0	2,764	2,764	260		
..86764L-10-8	SUNOCO LOGISTICS PARTNERS		09/22/2016	Various	14,400.000	424,741		507,562	262,140	127,708	0	0	127,708	0	507,562	0	(82,821)	(82,821)	17,074		
..871829-10-7	SYSCO CORP		09/28/2016	Various	60,247.000	3,119,530		1,784,919	2,470,127	(685,208)	0	0	(685,208)	0	1,784,919	0	1,334,611	1,334,611	56,030		
..87422J-10-5	TALEN ENERGY CORP- W/I		09/23/2016	BNY CONVERGEX-EXEC	2.000	28		0	0	0	0	0	0	0	0	0	28	28	0		
..87612G-10-1	TARGA RESOURCES CORP		09/15/2016	Various	53,600.000	2,219,994		1,462,624	687,324	194,662	0	0	194,662	0	1,462,624	0	757,371	757,371	76,440		
..88160T-10-7	TESORO LOGISTICS LP		08/09/2016	BARCLAYS	30,000.000	1,452,033		898,207	1,509,600	(611,393)	0	0	(611,393)	0	898,207	0	553,826	553,826	60,330		
..882508-10-4	TEXAS INSTRUMENTS		07/22/2016	BANK OF NEW YORK	66,088.000	4,320,263		3,113,102	3,622,283	(509,182)	0	0	(509,182)	0	3,113,102	0	1,207,162	1,207,162	50,227		
..902653-10-4	UDR INC REIT		09/28/2016	ADVANTUS CAPITAL MANAGEMENT	12,100.000	437,648		417,351	105,196	(16,342)	0	0	(16,342)	0	417,351	0	20,297	20,297	5,055		
..91914J-10-2	VALERO ENERGY PARTNERS LP LIMITED PARTNERS		09/06/2016	Various	50,000.000	2,077,042		2,270,290	0	0	0	0	0	0	2,270,290	0	(193,248)	(193,248)	25,730		
..92939N-10-2	WASHINGTON PRIME GROUP REIT		08/25/2016	ADVANTUS CAPITAL MANAGEMENT	4,300.000	58,195		54,013	0	0	0	0	0	0	54,013	0	4,183	4,183	0		
..92939N-10-2	WASHINGTON PRIME GROUP REIT		08/31/2016	Tax Free Exchange	15,500.000	172,485		172,485	0	0	0	0	0	0	172,485	0	0	0	0		
..93964W-10-8	WASHINGTON PRIME GROUP REIT		08/31/2016	ADVANTUS CAPITAL MANAGEMENT	1,500.000	20,630		17,975	0	0	0	0	0	0	17,975	0	2,655	2,655	0		
..948741-10-3	WEINGARTEN REALTY INVST REIT		08/24/2016	ADVANTUS CAPITAL MANAGEMENT	5,100.000	211,077		176,146	169,442	(282)	0	0	(282)	0	176,146	0	34,931	34,931	3,723		
..95825R-10-3	WESTERN GAS EQUITY PARTNERS LIMITED PARTNERS		09/01/2016	Various	24,000.000	884,691		954,078	870,960	83,118	0	0	83,118	0	954,078	0	(69,387)	(69,387)	28,101		
..969457-10-0	WILLIAMS COS INC		07/21/2016	Various	71,000.000	1,722,987		1,377,320	539,700	68,442	0	0	68,442	0	1,377,320	0	345,667	345,667	79,360		
..96949L-10-5	WILLIAMS PARTNERS LP LIMITED PARTNERS		08/11/2016	BNY CONVERG-SOFT	20,472.000	722,497		769,921	0	0	0	0	0	0	769,921	0	(47,424)	(47,424)	17,401		
..G51502-10-5	JCI		09/20/2016	BANK OF NEW YORK	36,955.000	1,625,002		1,807,100	0	0	0	0	0	0	1,807,100	0	(182,098)	(182,098)	0		
..G51502-10-5	JCI		09/06/2016	Cash Adjustment	0.000	22		24	0	0	0	0	0	0	24	0	(2)	(2)	0		
..80105N-10-5	SANOFI-AVENTIS RECEIPTS	F	09/29/2016	BNY CONVERG-SOFT	52,015.000	1,991,039		1,936,128	2,218,440	(282,311)	0	0	(282,311)	0	1,936,128	0	54,910	54,910	86,516		
..881575-30-2	TESCO PLC RECEIPTS	F	09/23/2016	Various	1.000	1,161,242		0	0	0	0	0	0	0	0	0	1,161,242	1,161,242	0		
..G16252-10-1	BROOKFIELD INFRASTRUCTURE LP	F	08/17/2016	ADVANTUS CAPITAL MANAGEMENT	2,000.000	99,520		90,054	0	0	0	0	0	0	90,054	0	9,466	9,466	478		
..Y2687W-10-8	GASLOG PARTNERS LP	F	07/21/2016	BARCLAYS	10,000.000	200,210		327,320	142,200	185,120	0	0	185,120	0	327,320	0	(127,111)	(127,111)	9,560		
9099999.	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)					110,358,977	XXX	91,715,474	77,306,303	(11,330,907)	4,563	0	(11,326,344)	0	91,715,474	0	18,643,502	18,643,502	2,222,104	XXX	XXX
..89154W-79-1	TOUCHSTONE CORE BOND FUND-Y		08/24/2016	TOUCHSTONE SECURITIES	3,618.000	38,278		38,786	36,398	2,388	0	0	2,388	0	38,786	0	(508)	(508)	560		
..89154W-81-7	TOUCHSTONE HIGH YIELD-Y		08/12/2016	TOUCHSTONE SECURITIES	49,389.000	416,339		451,906	390,664	61,242	0	0	61,242	0	451,906	0	(35,567)	(35,567)	12,584		
..89154X-22-9	TOUCHSTONE FOCUSED EQUITY FUND CLASS Y		08/12/2016	TOUCHSTONE SECURITIES	5,104.000	202,327		186,207	188,351	(2,144)	0	0	(2,144)	0	186,207	0	16,120	16,120	32		
..89154X-44-3	TOUCHSTONE VALUE FUND CLASS Y		08/12/2016	TOUCHSTONE SECURITIES	18,341.000	168,917		180,297	159,746	20,551	0	0	20,551	0	180,297	0	(11,380)	(11,380)	3,291		
..89154X-53-4	TOUCHSTONE MID CAP GROWTH - Y		08/24/2016	TOUCHSTONE SECURITIES	16,719.000	421,405		335,204	405,431	(70,227)	0	0	(70,227)	0	335,204	0	86,201	86,201	58		
..89155H-24-9	TOUCHSTONE SMALL CAP CORE FUND CLASS Y		08/12/2016	TOUCHSTONE SECURITIES	14,615.000	249,279		288,354	229,894	58,460	0	0	58,460	0	288,354	0	(39,075)	(39,075)	307		
..89155H-37-1	TOUCHSTONE MID CAP VALUE FUND CLASS Y		07/29/2016	TOUCHSTONE SECURITIES	1,079.000	18,329		18,611	16,624	1,987	0	0	1,987	0	18,611	0	(282)	(282)	116		
..89155H-46-2	TOUCHSTONE EMERGING MARKETS FUND CLASS Y		07/07/2016	TOUCHSTONE SECURITIES	1,373.000	11,547		16,927	11,435	5,492	0	0	5,492	0	16,927	0	(5,380)	(5,380)	0		
..89155H-82-7	TOUCHSTONE SANDS CAPITAL SELECT CLASS Y		08/17/2016	TOUCHSTONE SECURITIES	25,781.000	420,616		480,042	436,730	43,312	0	0	43,312	0	480,042	0	(59,426)	(59,426)	0		
..89155T-66-4	TOUCHSTONE ULTRA SHORT FIXED CLASS Y		08/31/2016	TOUCHSTONE SECURITIES	330,992.000	3,085,355		3,096,137	2,712,777	20,441	0	0	20,441	0	3,096,137	0	(10,781)	(10,781)	31,500		
9299999.	Subtotal - Common Stocks - Mutual Funds					5,032,392	XXX	5,092,471	4,588,050	141,502	0	0	141,502	0	5,092,471	0	(60,078)	(60,078)	48,448	XXX	XXX
9799997.	Total - Common Stocks - Part 4					115,391,369	XXX	96,807,945	81,894,353	(11,189,405)	4,563	0	(11,184,842)	0	96,807,945	0	18,583,424	18,583,424	2,270,552	XXX	XXX

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident- ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Con- tractual Maturity Date	NAIC Desig- nation or Market In- dicator (a)
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						115,391,369	XXX	96,807,945	81,894,353	(11,189,405)	4,563	0	(11,184,842)	0	96,807,945	0	18,583,424	18,583,424	2,270,552	XXX	XXX
9899999. Total - Preferred and Common Stocks						116,275,242	XXX	97,637,395	82,375,675	(11,189,405)	4,563	0	(11,184,842)	0	97,637,395	0	18,637,848	18,637,848	2,287,689	XXX	XXX
9999999 - Totals						369,534,791	XXX	346,487,791	262,762,053	(11,189,405)	312,694	894	(10,877,605)	0	346,404,823	0	23,129,971	23,129,971	8,384,961	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....2

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open
N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open
N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made
N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To
N O N E

SCHEDULE DL - PART 1
SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date						
1 CUSIP Identification	2 Description	3 Code	4 NAIC Designation/ Market Indicator	5 Fair Value	6 Book/Adjusted Carrying Value	7 Maturity Date
0599999. Total - U.S. Government Bonds				0	0	XXX
1099999. Total - All Other Government Bonds				0	0	XXX
1799999. Total - U.S. States, Territories and Possessions Bonds				0	0	XXX
2499999. Total - U.S. Political Subdivisions Bonds				0	0	XXX
3199999. Total - U.S. Special Revenues Bonds				0	0	XXX
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds				0	0	XXX
4899999. Total - Hybrid Securities				0	0	XXX
5599999. Total - Parent, Subsidiaries and Affiliates Bonds				0	0	XXX
6199999. Total - Issuer Obligations				0	0	XXX
6299999. Total - Residential Mortgage-Backed Securities				0	0	XXX
6399999. Total - Commercial Mortgage-Backed Securities				0	0	XXX
6499999. Total - Other Loan-Backed and Structured Securities				0	0	XXX
6599999. Total Bonds				0	0	XXX
7099999. Total - Preferred Stocks				0	0	XXX
7599999. Total - Common Stocks				0	0	XXX
7699999. Total - Preferred and Common Stocks				0	0	XXX
.....	Short term investment from reverse repo program	75,630,428	75,630,428	10/03/2016
8999999. Total - Short-Term Invested Assets (Schedule DA type)				75,630,428	75,630,428	XXX
9999999 - Totals				75,630,428	75,630,428	XXX

General Interrogatories:

1. Total activity for the year to date Fair Value \$20,529,725 Book/Adjusted Carrying Value \$20,529,725
2. Average balance for the year to date Fair Value \$38,062,960 Book/Adjusted Carrying Value \$38,062,960
3. Reinvested securities lending collateral assets book/adjusted carrying value included in this schedule by NAIC designation:
 NAIC 1 \$8,844,542 NAIC 2 \$66,785,886 NAIC 3 \$ NAIC 4 \$ NAIC 5 \$ NAIC 6 \$

SCHEDULE DL - PART 2
SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date						
1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation/ Market Indicator	Fair Value	Book/Adjusted Carrying Value	Maturity Date
690353-M8-7	OPIC Fit % Due 2/15/2028 FMAN15		1	2,500,000	2,500,000	02/15/2028
690353-WA-1	OPIC VRDN Adj % Due 6/15/2017 MJSD15		1	5,625,000	5,625,000	06/15/2017
690353-YE-1	OPIC AGENCY Adj % Due 6/15/2034 MJSD15		1	4,334,313	4,334,313	06/15/2034
690353-ZZ-3	OPIC Adj % Due 9/15/2020 MJSD15		1	4,000,000	4,000,000	09/15/2020
0199999. Subtotal - Bonds - U.S. Governments - Issuer Obligations				16,459,313	16,459,313	XXX
0599999. Total - U.S. Government Bonds				16,459,313	16,459,313	XXX
1099999. Total - All Other Government Bonds				0	0	XXX
1799999. Total - U.S. States, Territories and Possessions Bonds				0	0	XXX
2499999. Total - U.S. Political Subdivisions Bonds				0	0	XXX
16229P-AA-3	CHATO AL IDB GULF CP ZONE VRDN Adj % Due 11/15/2038 MN15		1FE	4,450,000	4,450,000	11/15/2038
2899999. Subtotal - Bonds - U.S. Special Revenues - Other Loan-Backed and Structured Securities				4,450,000	4,450,000	XXX
3199999. Total - U.S. Special Revenues Bonds				4,450,000	4,450,000	XXX
035229-CV-3	ANHEUSER-BUSCH COS INC 5.05% Due 10/15/2016 A015		2FE	1,251,436	1,252,012	10/15/2016
05530Q-AE-0	BAT INTL FINANCE PLC 2 1/8% Due 6/7/2017 JD7		1FE	905,239	906,169	06/07/2017
06050T-LT-7	BANK OF AMERICA NA 1 1/4% Due 2/14/2017 FA14		1FE	1,300,657	1,299,906	02/14/2017
06427E-MX-6	BMO Corp Fit % Due 12/8/2017 MJSD8		1FE	4,300,000	4,300,000	12/08/2017
13606A-R7-5	CANADIAN IMP BK COMM NY 1.1732% Due 5/10/2017 Mo-12		1FE	3,750,195	3,750,000	05/10/2017
140420-NG-1	CAPITAL ONE BANK USA NA 1.2% Due 2/13/2017 FA13		2FE	2,698,115	2,700,068	02/13/2017
172967-HG-9	CITIGROUP 1.3% Due 11/15/2016 MN15		2FE	2,400,463	2,400,070	11/15/2016
200339-CG-2	COMERICA INC 5 3/4% Due 11/21/2016 MN21		2FE	1,609,083	1,610,323	11/21/2016
210518-CG-9	CONSUMERS ENERGY CO 5.15% Due 2/15/2017 FA15		1FE	811,896	811,920	02/15/2017
257375-AD-7	DOMINION GAS HLDGS LLC 1.05% Due 11/1/2016 MN1		1FE	2,500,208	2,500,162	11/01/2016
26441C-AH-8	DUKE ENERGY 1 5/8% Due 8/15/2017 FA15		2FE	972,875	973,878	08/15/2017
370334-BB-9	GENERAL MILLS 5.7% Due 2/15/2017 FA15		2FE	1,697,054	1,698,227	02/15/2017
38141E-LA-5	GOLDMAN SACHS GROUP Fit % Due 3/29/2017 MJSD29		1FE	3,692,167	3,693,748	03/29/2017
46629H-GN-4	JP MORGAN CHASE & CO 6 1/8% Due 6/27/2017 JD27		2FE	4,050,964	4,057,447	06/27/2017
52908M-AE-5	LEXINGTON FINANCIAL SERVICES VRDN Adj % Due 1/1/2033 Sched		1FE	7,200,000	7,200,000	01/01/2033
53944X-AT-2	LLOYDS BANK PLC 4 1/2% Due 2/2/2017 FA2		1FE	606,817	605,417	02/02/2017
65339K-AE-0	NEXTERA ENERGY CAPITAL 2.056% Due 9/1/2017 MS1		2FE	2,010,816	2,012,060	09/01/2017
74256L-AH-2	PRINCIPAL LFE GLB FND II 1 1/8% Due 2/24/2017 FA24		1FE	1,999,158	2,001,195	02/24/2017
78009N-A8-9	Royal Bank Fit % Due 3/28/2017 Mo-28		1FE	2,498,760	2,500,000	03/28/2017
78009N-F9-2	Royal Bank Fit % Due 7/28/2017 JAJ028		1FE	2,500,770	2,500,000	07/28/2017
78010U-AA-2	ROYAL BANK OF CANADA 1.4% Due 10/13/2017 A013		1FE	2,001,060	2,001,740	10/13/2017
89113W-GD-2	TORONTO DOMINION BANK NY Fit % Due 2/10/2017 FMAN10		1FE	3,650,000	3,650,000	02/10/2017
929903-CH-3	WACHOVIA CORP 5 5/8% Due 10/15/2016 A015		1FE	1,001,259	1,001,755	10/15/2016
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations				55,408,993	55,426,096	XXX
03066D-AA-4	AMCAR 2016-2 A1 0 3/4% Due 4/10/2017 Mo-8		1FE	241,012	241,039	04/10/2017
04364T-AA-6	ACER 2016-1A A1 0.95% Due 4/10/2017 Mo-27		1FE	836,365	836,363	04/10/2017
24703E-AA-7	DEFT 2016-1 A1 0.85% Due 7/24/2017 Mo-22		1FE	2,064,181	2,064,171	07/24/2017
35104V-AA-0	Foursight Capita20161 bile R SER 20161 CL A1 1 1/4% Due 6/15/2017 Mo-15		1FE	516,504	516,504	06/15/2017
39154T-AA-6	GALC 2016-1 A1 0.78% Due 2/21/2017 Mo-20		1FE	269,914	270,025	02/21/2017
52177F-AA-2	LRF SER 20161 CL A1 1% Due 6/19/2017 Mo-15		1FE	1,186,713	1,186,708	06/19/2017
3599999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Other Loan-Backed and Structured Securities				5,114,689	5,114,809	XXX
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds				60,523,882	60,540,905	XXX
4899999. Total - Hybrid Securities				0	0	XXX
5599999. Total - Parent, Subsidiaries and Affiliates Bonds				0	0	XXX
6199999. Total - Issuer Obligations				71,868,306	71,885,409	XXX
6299999. Total - Residential Mortgage-Backed Securities				0	0	XXX
6399999. Total - Commercial Mortgage-Backed Securities				0	0	XXX
6499999. Total - Other Loan-Backed and Structured Securities				9,564,689	9,564,809	XXX
6599999. Total Bonds				81,432,996	81,450,218	XXX
7099999. Total - Preferred Stocks				0	0	XXX
7599999. Total - Common Stocks				0	0	XXX
7699999. Total - Preferred and Common Stocks				0	0	XXX
262006-20-8	DREYFUS GOVERN CASH MGMT-INS MONEY MARKET			51,807	51,807	
	VII CREDIT INC CP 0.95% Due 11/10/2016 At Mat			9,975,986	9,975,986	11/10/2016
8999999. Total - Short-Term Invested Assets (Schedule DA type)				10,027,793	10,027,793	XXX
000000-00-0	Huntington National Bank Money Market Account			4,570,405	4,570,405	
000000-00-0	Key Bank Money Market Account			4,521,658	4,521,658	
000000-00-0	BB&T Bank Money Market Account			4,569,532	4,569,532	
9099999. Total - Cash (Schedule E Part 1 type)				13,661,595	13,661,595	XXX
000000-00-0	CRH AMERICA FINANCE INC CP 0.93% Due 12/12/2016 At Mat			4,689,315	4,689,315	12/12/2016
000000-00-0	CATHOLIC HEALTH INITIATV CP 0.82% Due 11/4/2016 At Mat			2,996,583	2,996,583	11/04/2016
000000-00-0	KCPMD CP 0.58% Due 10/3/2016 At Mat			7,149,654	7,149,654	10/03/2016
000000-00-0	SSM HEALTH CARE CORP CP 0.85% Due 12/12/2016 At Mat			6,089,342	6,089,342	12/12/2016
9199999. Total - Cash Equivalents (Schedule E Part 2 type)				20,924,895	20,924,895	XXX
9999999 - Totals				126,047,279	126,064,501	XXX

General Interrogatories:

1. Total activity for the year to date	Fair Value \$	34,284,416	Book/Adjusted Carrying Value \$	34,293,425
2. Average balance for the year to date	Fair Value \$	116,349,402	Book/Adjusted Carrying Value \$	114,265,693

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
					First Month	Second Month	Third Month	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date				*
BANK OF NEW YORK MELLON NEW YORK, NY					6,061,452	6,263,425	5,222,667	XXX.
BRANCH BANKING & TRUST CO. WINSTON-SALEM, NC					10,634,462	4,625,164	9,625,843	XXX.
CHEVIOT SAVINGS BANK CINCINNATI, OH					4	4	4	XXX.
FIFTH THIRD BANK CINCINNATI, OH					7,127,907	10,003,473	(3,079,533)	XXX.
HUNTINGTON BANK COLUMBUS, OH					10,588,297	4,590,963	9,592,179	XXX.
JP MORGAN/CHASE NEW YORK, NY					131,121	278,127	112,774	XXX.
KEYCORP CLEVELAND, OH					4,549,739	4,545,422	4,697,214	XXX.
NORTHERN TRUST CHICAGO, IL					221,850	137,819	136,946	XXX.
PNC BANK CINCINNATI, OH					(33,454,374)	(32,451,202)	(34,711,074)	XXX.
0199998. Deposits in ... 3 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX			(176,804)	(112,442)	(149,966)	XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	5,683,654	(2,119,247)	(8,552,946)	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	5,683,654	(2,119,247)	(8,552,946)	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	(1,067)	46,263	(126)	XXX
0599999. Total - Cash	XXX	XXX	0	0	5,682,587	(2,072,984)	(8,553,072)	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

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