



QUARTERLY STATEMENT
AS OF SEPTEMBER 30, 2016
OF THE CONDITION AND AFFAIRS OF THE
Optum Insurance of Ohio, Inc.

NAIC Group Code	0707 (Current Period)	0707 (Prior Period)	NAIC Company Code	69647	Employer's ID Number	31-0628424
Organized under the Laws of	Ohio		State of Domicile or Port of Entry	Ohio		
Country of Domicile	United States of America					
Incorporated/Organized	10/19/1948		Commenced Business	12/05/1978		
Statutory Home Office	50 W. Broad Street, Suite 1800 (Street and Number)		Columbus , OH, US 43215 (City or Town, State, Country and Zip Code)			
Main Administrative Office	1600 McConnor Parkway (Street and Number)		Schaumburg, IL, US 60173-6801 (City or Town, State, Country and Zip Code)			
			(800)282-3232 (Area Code) (Telephone Number)			
Mail Address	1600 McConnor Parkway (Street and Number or P.O. Box)		Schaumburg, IL, US 60173-6801 (City or Town, State, Country and Zip Code)			
Primary Location of Books and Records	1600 McConnor Parkway (Street and Number)		Schaumburg, IL, US 60173-6801 (City or Town, State, Country and Zip Code)			
			(800)282-3232 (Area Code) (Telephone Number)			
Internet Web Site Address	www.catamaranrx.com					
Statutory Statement Contact	Lidia Gantoi (Name)		(224)231-1758 (Area Code)(Telephone Number)(Extension)			
	Lidia.Gantoi@optum.com (E-Mail Address)					
			(Fax Number)			

OFFICERS

Name	Title
Mark Alan Thierer	Chariman & Chief Executive Officer
Timothy Alan Wicks	President
Jeffrey David Grosklags	Chief Financial Officer
Robert Worth Obberrender	Treasurer
Vacant	Secretary

OTHERS

Michelle Marie Huntley, Assistant Secretary #

DIRECTORS OR TRUSTEES

Mark Alan Thierer	Timothy Alan Wicks
Jeffrey David Grosklags	Vacant
Vacant	

State of Illinois
County of Cook ss

The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)	(Signature)	(Signature)
Mark Alan Thierer	Jeffrey David Grosklags	Vacant
(Printed Name)	(Printed Name)	(Printed Name)
1.	2.	3.
Chariman & Chief Executive Officer	Chief Financial Officer	Secretary
(Title)	(Title)	(Title)

Subscribed and sworn to before me this	a. Is this an original filing?	Yes[X] No[]
day of , 2016	b. If no,	
	1. State the amendment number	
	2. Date filed	
	3. Number of pages attached	

(Notary Public Signature)

ASSETS

		Current Statement Date			4
		1	2	3	
		Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
1.	Bonds	2,249,579		2,249,579	3,253,589
2.	Stocks:				
2.1	Preferred stocks				
2.2	Common stocks				50,788
3.	Mortgage loans on real estate:				
3.1	First liens				
3.2	Other than first liens				
4.	Real estate:				
4.1	Properties occupied by the company (less \$.....0 encumbrances)				
4.2	Properties held for the production of income (less \$.....0 encumbrances)				
4.3	Properties held for sale (less \$.....0 encumbrances)				
5.	Cash (\$.....70,136,692), cash equivalents (\$.....0) and short-term investments (\$.....1,291,110)	71,427,802		71,427,802	26,674,066
6.	Contract loans (including \$.....0 premium notes)				
7.	Derivatives				
8.	Other invested assets				
9.	Receivables for securities				
10.	Securities lending reinvested collateral assets				
11.	Aggregate write-ins for invested assets				
12.	Subtotals, cash and invested assets (Lines 1 to 11)	73,677,381		73,677,381	29,978,443
13.	Title plants less \$.....0 charged off (for Title insurers only)				
14.	Investment income due and accrued	24,847		24,847	11,463
15.	Premiums and considerations:				
15.1	Uncollected premiums and agents' balances in the course of collection				
15.2	Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums)				
15.3	Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0)				
16.	Reinsurance:				
16.1	Amounts recoverable from reinsurers				
16.2	Funds held by or deposited with reinsured companies				
16.3	Other amounts receivable under reinsurance contracts				
17.	Amounts receivable relating to uninsured plans	21,912,706		21,912,706	
18.1	Current federal and foreign income tax recoverable and interest thereon				
18.2	Net deferred tax asset				
19.	Guaranty funds receivable or on deposit				
20.	Electronic data processing equipment and software				
21.	Furniture and equipment, including health care delivery assets (\$.....0)				
22.	Net adjustments in assets and liabilities due to foreign exchange rates				
23.	Receivables from parent, subsidiaries and affiliates				121,940,733
24.	Health care (\$.....0) and other amounts receivable				
25.	Aggregate write-ins for other-than-invested assets				
26.	TOTAL assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	95,614,934		95,614,934	151,930,638
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28.	TOTAL (Lines 26 and 27)	95,614,934		95,614,934	151,930,638
DETAILS OF WRITE-INS					
1101.					
1102.					
1103.					
1198.	Summary of remaining write-ins for Line 11 from overflow page				
1199.	TOTALS (Lines 1101 through 1103 plus 1198) (Line 11 above)				
2501.					
2502.					
2503.					
2598.	Summary of remaining write-ins for Line 25 from overflow page				
2599.	TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above)				

LIABILITIES, SURPLUS AND OTHER FUNDS

		1	2
		Current Statement Date	December 31 Prior Year
1.	Aggregate reserve for life contracts \$.....0 less \$.....0 included in Line 6.3 (including \$.....0 Modco Reserve)		
2.	Aggregate reserve for accident and health contracts (including \$.....0 Modco Reserve)		
3.	Liability for deposit-type contracts (including \$.....0 Modco Reserve)		
4.	Contract claims:		
4.1	Life		
4.2	Accident and health		
5.	Policyholders' dividends \$.....0 and coupons \$.....0 due and unpaid		
6.	Provision for policyholders' dividends and coupons payable in following calendar year - estimated amounts:		
6.1	Dividends apportioned for payment (including \$.....0 Modco)		
6.2	Dividends not yet apportioned (including \$.....0 Modco)		
6.3	Coupons and similar benefits (including \$.....0 Modco)		
7.	Amount provisionally held for deferred dividend policies not included in Line 6		
8.	Premiums and annuity considerations for life and accident & health contracts received in advance less \$.....0 discount; including \$.....0 accident and health premiums		
9.	Contract liabilities not included elsewhere:		
9.1	Surrender values on canceled contracts		
9.2	Provision for experience rating refunds, including the liability of \$.....0 accident and health experience rating refunds of which \$.....0 is for medical loss ratio rebate per the Public Health Service Act		
9.3	Other amounts payable on reinsurance; including \$.....0 assumed and \$.....0 ceded		
9.4	Interest Maintenance Reserve	106,315	122,351
10.	Commissions to agents due or accrued-life and annuity contracts \$.....0, accident and health \$.....0 and deposit-type contract funds \$.....0		
11.	Commissions and expense allowances payable on reinsurance assumed		
12.	General expenses due or accrued	3,739,674	111,484,792
13.	Transfers to Separate Accounts due or accrued (net) (Including \$.....0 accrued for expense allowances recognized in reserves, net of reinsured allowances)		
14.	Taxes, licenses and fees due or accrued, excluding federal income taxes		25
15.1	Current federal and foreign income taxes, including \$.....0 on realized capital gains (losses)	3,620,816	7,177,226
15.2	Net deferred tax liability		
16.	Unearned investment income		
17.	Amounts withheld or retained by company as agent or trustee		
18.	Amounts held for agents' account, including \$.....0 agents' credit balances		
19.	Remittances and items not allocated		
20.	Net adjustment in assets and liabilities due to foreign exchange rates		
21.	Liability for benefits for employees and agents if not included above		
22.	Borrowed money \$.....0 and interest thereon \$.....0		
23.	Dividends to stockholders declared and unpaid		
24.	Miscellaneous liabilities:		
24.01	Asset valuation reserve	2,219	12,102
24.02	Reinsurance in unauthorized and certified (\$.....0) companies		
24.03	Funds held under reinsurance treaties with unauthorized and certified (\$.....0) reinsurers		
24.04	Payable to parent, subsidiaries and affiliates	48,821,546	
24.05	Drafts outstanding		
24.06	Liability for amounts held under uninsured plans		
24.07	Funds held under coinsurance		
24.08	Derivatives		
24.09	Payable for securities		
24.10	Payable for securities lending		
24.11	Capital notes \$.....0 and interest thereon \$.....0		
25.	Aggregate write-ins for liabilities	58,995	43,826
26.	Total Liabilities excluding Separate Accounts business (Lines 1 to 25)	56,349,564	118,840,323
27.	From Separate Accounts Statement		
28.	Total Liabilities (Lines 26 and 27)	56,349,564	118,840,323
29.	Common capital stock	2,727,274	2,727,274
30.	Preferred capital stock		
31.	Aggregate write-ins for other than special surplus funds		
32.	Surplus notes		
33.	Gross paid in and contributed surplus	4,990,293	4,990,293
34.	Aggregate write-ins for special surplus funds		
35.	Unassigned funds (surplus)	31,547,802	25,372,748
36.	Less treasury stock, at cost:		
36.1	0 shares common (value included in Line 29 \$.....0)		
36.2	0 shares preferred (value included in Line 30 \$.....0)		
37.	Surplus (Total Lines 31 to 35, Less 36) (including \$.....0 in Separate Accounts Statement)	36,538,095	30,363,041
38.	Totals of Lines 29, 30 and 37	39,265,369	33,090,315
39.	Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	95,614,934	151,930,638
DETAILS OF WRITE-INS			
2501.	Escheat payable	58,995	43,826
2502.			
2503.			
2598.	Summary of remaining write-ins for Line 25 from overflow page		
2599.	TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above)	58,995	43,826
3101.			
3102.			
3103.			
3198.	Summary of remaining write-ins for Line 31 from overflow page		
3199.	TOTALS (Lines 3101 through 3103 plus 3198) (Line 31 above)		
3401.			
3402.			
3403.			
3498.	Summary of remaining write-ins for Line 34 from overflow page		
3499.	TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)		

SUMMARY OF OPERATIONS

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts			
2. Considerations for supplementary contracts with life contingencies			
3. Net investment income	23,905	17,615	24,772
4. Amortization of Interest Maintenance Reserve (IMR)	16,037	16,037	21,382
5. Separate Accounts net gain from operations excluding unrealized gains or losses			
6. Commissions and expense allowances on reinsurance ceded			
7. Reserve adjustments on reinsurance ceded			
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts			
8.2 Charges and fees for deposit-type contracts			
8.3 Aggregate write-ins for miscellaneous income	14,331,140	19,342,433	27,543,139
9. Totals (Lines 1 to 8.3)	14,371,081	19,376,085	27,589,293
10. Death benefits			
11. Matured endowments (excluding guaranteed annual pure endowments)			
12. Annuity benefits			
13. Disability benefits and benefits under accident and health contracts			
14. Coupons, guaranteed annual pure endowments and similar benefits			
15. Surrender benefits and withdrawals for life contracts			
16. Group conversions			
17. Interest and adjustments on contract or deposit-type contract funds			
18. Payments on supplementary contracts with life contingencies			
19. Increase in aggregate reserves for life and accident and health contracts			
20. TOTALS (Lines 10 to 19)			
21. Commissions on premiums, annuity considerations, and deposit type contract funds (direct business only)			
22. Commissions and expense allowances on reinsurance assumed			
23. General insurance expenses	4,571,279	5,965,260	7,974,888
24. Insurance taxes, licenses and fees, excluding federal income taxes	13,816	213,196	216,496
25. Increase in loading on deferred and uncollected premiums			
26. Net transfers to or (from) Separate Accounts, net of reinsurance			
27. Aggregate write-ins for deductions			
28. Totals (Lines 20 to 27)	4,585,094	6,178,456	8,191,384
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	9,785,987	13,197,629	19,397,909
30. Dividends to policyholders			
31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30)	9,785,987	13,197,629	19,397,909
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	3,620,816	4,883,123	7,177,226
33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	6,165,171	8,314,506	12,220,683
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$.....0 (excluding taxes of \$.....0 transferred to the IMR)			
35. Net income (Line 33 plus Line 34)	6,165,171	8,314,506	12,220,683
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year	33,090,315	20,857,857	20,857,857
37. Net Income (Line 35)	6,165,171	8,314,506	12,220,683
38. Change in net unrealized capital gains (losses) less capital gains tax of \$.....0			
39. Change in net unrealized foreign exchange capital gain (loss)			
40. Change in net deferred income tax			
41. Change in nonadmitted assets			
42. Change in liability for reinsurance in unauthorized and certified companies			
43. Change in reserve on account of change in valuation basis, (increase) or decrease			
44. Change in asset valuation reserve	9,883	1,664	11,775
45. Change in treasury stock			
46. Surplus (contributed to) withdrawn from Separate Accounts during period			
47. Other changes in surplus in Separate Accounts Statement			
48. Change in surplus notes			
49. Cumulative effect of changes in accounting principles			
50. Capital changes:			
50.1 Paid in			
50.2 Transferred from surplus (Stock Dividend)			
50.3 Transferred to surplus			
51. Surplus adjustment:			
51.1 Paid in			
51.2 Transferred to capital (Stock Dividend)			
51.3 Transferred from capital			
51.4 Change in surplus as a result of reinsurance			
52. Dividends to stockholders			
53. Aggregate write-ins for gains and losses in surplus			
54. Net change in capital and surplus (Lines 37 through 53)	6,175,054	8,316,170	12,232,458
55. Capital and surplus as of statement date (Lines 36 + 54)	39,265,369	29,174,027	33,090,315
DETAILS OF WRITE-INS			
08.301. Fee for Service	14,331,140	19,342,433	27,543,139
08.302.			
08.303.			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page			
08.399. TOTALS (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	14,331,140	19,342,433	27,543,139
2701.			
2702.			
2703.			
2798. Summary of remaining write-ins for Line 27 from overflow page			
2799. TOTALS (Lines 2701 through 2703 plus 2798) (Line 27 above)			
5301.			
5302.			
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page			
5399. TOTALS (Lines 5301 through 5303 plus 5398) (Line 53 above)			

CASH FLOW

		1	2	3
		Current	Prior	Prior
		Year	Year	Year Ended
		To Date	To Date	December 31
Cash from Operations				
1.	Premiums collected net of reinsurance			
2.	Net investment income	18,321	26,822	29,877
3.	Miscellaneous income	14,331,140	19,342,433	27,543,138
4.	TOTAL (Lines 1 to 3)	14,349,461	19,369,255	27,573,015
5.	Benefit and loss related payments			
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7.	Commissions, expenses paid and aggregate write-ins for deductions	4,585,118	6,205,200	8,191,385
8.	Dividends paid to policyholders			
9.	Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses)	7,177,226	7,033,169	7,033,169
10.	TOTAL (Lines 5 through 9)	11,762,344	13,238,369	15,224,554
11.	Net cash from operations (Line 4 minus Line 10)	2,587,117	6,130,886	12,348,461
Cash from Investments				
12.	Proceeds from investments sold, matured or repaid:			
12.1	Bonds	3,250,000		
12.2	Stocks	50,788	251,012	251,012
12.3	Mortgage loans			
12.4	Real estate			
12.5	Other invested assets			
12.6	Net gains or (losses) on cash, cash equivalents and short-term investments			
12.7	Miscellaneous proceeds			
12.8	TOTAL investment proceeds (Lines 12.1 to 12.7)	3,300,788	251,012	251,012
13.	Cost of investments acquired (long-term only):			
13.1	Bonds	2,253,789		
13.2	Stocks		78	100
13.3	Mortgage loans			
13.4	Real estate			
13.5	Other invested assets			
13.6	Miscellaneous applications			
13.7	TOTAL investments acquired (Lines 13.1 to 13.6)	2,253,789	78	100
14.	Net increase (or decrease) in contract loans and premium notes			
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	1,046,999	250,934	250,912
Cash from Financing and Miscellaneous Sources				
16.	Cash provided (applied):			
16.1	Surplus notes, capital notes			
16.2	Capital and paid in surplus, less treasury stock			
16.3	Borrowed funds			
16.4	Net deposits on deposit-type contracts and other insurance liabilities			
16.5	Dividends to stockholders			
16.6	Other cash provided (applied)	41,119,620	(8,214,962)	(889,268)
17.	Net cash from financing and miscellaneous sources (Line 16.1 through 16.4 minus Line 16.5 plus Line 16.6)	41,119,620	(8,214,962)	(889,268)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS				
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	44,753,736	(1,833,142)	11,710,105
19.	Cash, cash equivalents and short-term investments:			
19.1	Beginning of year	26,674,066	14,963,961	14,963,961
19.2	End of period (Line 18 plus Line 19.1)	71,427,802	13,130,819	26,674,066

Note: Supplemental Disclosures of Cash Flow Information for Non-Cash Transactions:

20.0001				
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EXHIBIT 1
DIRECT PREMIUMS AND DEPOSIT - TYPE CONTRACTS

		1	2	3
		Current Year To Date	Prior Year To Date	Prior Year Ended December 31
1.	Industrial life			
2.	Ordinary life insurance	599,867	632,799	830,172
3.	Ordinary individual annuities	39,066	30,163	139,436
4.	Credit life (group and individual)			
5.	Group life insurance			
6.	Group annuities			
7.	A & H - group			
8.	A & H - credit (group and individual)			
9.	A & H - other	832	1,114	1,391
10.	Aggregate of all other lines of business			
11.	Subtotal	639,765	664,076	970,999
12.	Deposit-type contracts			
13.	Total	639,765	664,076	970,999
DETAILS OF WRITE-INS				
1001.				
1002.				
1003.				
1098.	Summary of remaining write-ins for Line 10 from overflow page			
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)			

Notes to Financial Statement

1. Summary of Significant Accounting Policies

A. Accounting Practices

The financial statements of Optum Insurance of Ohio, Inc. (the Company) are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance (the Department). The Department recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under Ohio Insurance Law. The National Association of Insurance Commissioners' (NAIC) *Accounting Practices and Procedures* manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Ohio.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of the Company is shown below:

	State of Domicile	2016	2015
<u>NET INCOME</u>			
The Company state basis (Page 4, Line 35, Columns 1 & 2)	OH	\$ 6,165,171	\$ 12,220,683
State prescribed practices that increase/(decrease) NAIC SAP		-	-
State permitted practices that increase/(decrease) NAIC SAP		-	-
NAIC SAP	OH	<u>\$ 6,165,171</u>	<u>\$ 12,220,683</u>
<u>SURPLUS</u>			
The Company state basis (Page 3, Line 38, Columns 1 & 2)	OH	\$ 39,265,369	\$ 33,090,315
State prescribed practices that increase/(decrease) NAIC SAP		-	-
State permitted practices that increase/(decrease) NAIC SAP		-	-
NAIC SAP	OH	<u>\$ 39,265,369</u>	<u>\$ 33,090,315</u>

B. Use of Estimates

The preparation of financial statements in conformity with statutory accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

C. Accounting Policy

The Company uses the following accounting policies:

- 1) Short-term investments are stated at cost which approximates fair value.
- 2) Bonds are valued as prescribed by the NAIC. Bonds not backed by other loans are generally carried at cost, adjusted for the amortization of premiums, accretion of discounts, and any impairment. Premiums and discounts are amortized and accreted over the estimated lives of the related bonds based on the interest-yield method. The Company's bond portfolio is reviewed quarterly and as a result the carrying value of a bond may be reduced to reflect changes in valuation resulting from asset impairment. Bonds that have been assigned the NAIC category 6 are written down to the appropriate NAIC carrying value.
- 3) The Company has no common stocks.
- 4) The Company has no preferred stocks.
- 5) The Company has no mortgage loans.
- 6) The Company has no loan-backed securities.
- 7) The Company has no investments in subsidiaries, controlled and affiliated entities.
- 8) The Company has no investments in joint ventures, partnerships, or limited entities.
- 9) The Company has no derivatives.
- 10) The Company does not have anticipated investment income as a factor in the premium deficiency calculation.
- 11) Unpaid losses and loss adjustment expenses include an amount determined from individual case estimates and loss reports and an amount, based on past experience, for losses incurred but not reported. Such liabilities are necessarily based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liability are continually reviewed and any adjustments are reflected in the period determined.
- 12) The Company has not modified its capitalization policy from the prior period.
- 13) The Company does not have pharmaceutical rebate receivables.

2. Accounting Changes and Corrections of Errors

A. Accounting Changes as a Result of a Change in Accounting Principles and/or Corrections of Errors

Notes to Financial Statement

Not Applicable.

3. Business Combinations and Goodwill

A. Statutory Purchase Method

Not Applicable.

B. Statutory Merger

Not Applicable.

C. Assumption Reinsurance

Not Applicable.

D. Impairment Loss

Not Applicable.

4. Discontinued Operations

Not Applicable.

5. Investments

A. Mortgage Loans, Including Mezzanine Real Estate Loans

The Company has no investment in mortgage loans.

B. Debt Restructuring

The Company has no invested assets that are restructured debt.

C. Reverse Mortgages

The Company has no investment in reverse mortgages.

D. Loan-Backed Securities

The Company has no investments in loan-backed securities.

E. Repurchase Agreements and/or Securities Lending Transactions

The Company has no investment in repurchase agreements or securities lending transactions.

F. Real Estate

The Company has no investment in real estate.

G. Investments in Low-Income Housing Tax Credits (LIHTC)

The Company has no investment in low-income housing tax credits.

H. Restricted Assets

1) Restricted Assets (Including Pledged)

Notes to Financial Statement

	Gross Restricted							8	Percentage	
	Current Year					6	7		9	10
	1	2	3	4	5					
	Total General Account (G/A)	G/A Supporting Protected Cell Account Activity (a)	Total Protected Cell Account Restricted Assets	Protected Cell Account Assets Supporting G/A Activity (b)	Total (Col. 1 plus Col. 3)					
Restricted Asset Category					Total From Prior Year	Increase/(Decrease) (Col. 5 minus Col. 6)	Total Current Year Admitted Restricted	Gross Restricted to Total Assets	Admitted Restricted to Total Admitted Assets	
a. Subject to contractual obligation for which liability is not shown					-	-		0.000%	0.000%	
b. Collateral held under security lending agreements					-	-		0.000%	0.000%	
c. Subject to repurchase agreements					-	-		0.000%	0.000%	
d. Subject to reverse repurchase agreements					-	-		0.000%	0.000%	
e. Subject to dollar repurchase agreements					-	-		0.000%	0.000%	
f. Subject to dollar reverse repurchase agreements					-	-		0.000%	0.000%	
g. Placed under option contracts					-	-		0.000%	0.000%	
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock					-	-		0.000%	0.000%	
i. FHLB capital stock										
j. On deposit with states	4,068,635				4,068,635	4,777,932	(709,297)	4,068,635	4.255%	4.255%
k. On deposit with other regulatory bodies					-	-		0.000%	0.000%	
k. Pledged as collateral to FHLB (including assets backing funding agreements)					-	-		0.000%	0.000%	
m. Pledged as collateral not captured in other categories										
n. Other restricted assets					-	-		0.000%	0.000%	
o. Total Restricted Assets	4,068,635	-	-	-	4,068,635	4,777,932	(709,297)	4,068,635	4.255%	4.255%

(a) Subset of column 1
(b) Subset of column 3

2) Detail of Asset Pledged as Collateral Not Captured in other Categories

Not Applicable.

3) Detail of Other Restricted Assets

Not Applicable.

I. Working Capital Finance Investments

Not Applicable.

J. Offsetting and Netting of Assets and Liabilities

Not Applicable.

K. Structured Notes

Not Applicable

6. Joint Ventures, Partnerships and Limited Liability Companies

A. Detail for Those Greater than 10% of Admitted Assets

Not Applicable.

B. Write-down for Impairments

Not Applicable.

7. Investment Income

A. Accrued Investment Income

The Company excludes from surplus all investment income due and accrued on bonds in or near default or that is over 90 days past due.

B. Amounts Excluded

Not Applicable.

8. Derivative Instruments

Not Applicable.

9. Income Taxes

The U.S. federal income tax rate applicable to ordinary income is 35% for 2015 and 2016. The Company's federal income tax return will be consolidated with its parent company. Taxes are allocated in accordance with the Company's tax sharing agreement and the Internal Revenue Code Section 1552(a)(1), which apportions the tax liability among the members of the group in accordance with the ratio of the consolidated taxable income attributable to each member of the group having taxable income bears to the consolidated taxable income. Accordingly, the Company's 2015 and 2016 taxes have been calculated using the groups blended statutory rate of 37%. Allocation of income taxes between members of the consolidated group was accounted for in a manner consistent with the principles of ASC 740, "Income Taxes", as modified by SSAP No. 101.

Notes to Financial Statement

10. Information Concerning Parent, Subsidiaries and Affiliates

A. B. C. Nature of Relationships

The Company is 100% owned by Optum PBM of Maryland (“Parent”). Optum PBM of Maryland’s ultimate parent is UnitedHealth Group, a publicly held corporation trading on the New York stock exchange. The Company currently has a shared services agreement in place with affiliated entities. This agreement sets forth the process by which entities commonly owned by UnitedHealth Group cooperate in the performance of certain administrative services.

D. Amounts Due to or from Related Parties

From time to time, the Company’s parent or affiliates perform certain functions or incurs certain expenses on behalf of the Company. The Company reimburses or is reimbursed in those instances. At September 30, 2016, \$48,821,546 was due to the Company’s parent and \$0 was due from the Company’s parent. At December 31, 2015, \$0 was due to the Company’s parent and \$121,940,733 was due from the Company’s parent.

E. Guarantees or Contingencies

Not Applicable.

F. Management, Service Contracts, Cost Sharing Arrangements

The Company currently does not have any management service agreements with any affiliated parties.

G. Nature of Relationships that Could Affect Operations

Not Applicable.

H. Amount Deducted for Investment in Upstream Parent

Not Applicable.

I. Detail of Investments in Affiliates Greater than 10% of Admitted Assets

Not Applicable.

J. Write-down for Impairments

Not Applicable.

K. Investment in Foreign Subsidiary

Not Applicable.

L. Downstream Noninsurance Holding Company

Not Applicable.

M. SCA Investments

Not Applicable

N. Investments in an Insurance SCA

Not Applicable

11. Debt

A. The Company has no debt.

B. The Company has no FHLB agreements.

12. Retirement Plans, Deferred Compensation, Post-Employment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. A-D. Defined Benefit Plan

Not Applicable.

E. Defined Contribution Plans

Not Applicable.

F. Multiemployer Plans

Not Applicable.

G. Consolidated/Holding Company Plans

Not Applicable.

Notes to Financial Statement

H. Postemployment Benefits and Compensated Absences

Not Applicable.

I. Impact of Medicare Modernization Act on Postretirement Benefits

Not Applicable.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

A. Outstanding Shares

The Company has 4,000,000 shares authorized, 1,363,637 issued (\$2 per share par value). All shares are common stock.

B. Dividend Rate of Preferred Stock

The Company has no preferred stock.

C. D. E. Dividends

Without prior approval by the Insurance Commissioner of the State of Ohio, the aggregate amounts of dividends to shareholders during any 12-month period shall not exceed the greater of the prior year's net income or 10% of surplus.

F. Restrictions on Unassigned Surplus

Not Applicable

G. Mutual Surplus Advances

There have been no advances to surplus.

H. Company Stock Held for Special Purposes

Not Applicable.

I. Changes in Special Surplus Funds

Not Applicable.

J. Changes in Unassigned Funds

The portion of unassigned funds (surplus) represented or reduced by unrealized gains (losses) is \$0 at September 30, 2016.

K. Surplus Note

The Company has not issued any surplus notes or debentures or similar obligations.

L. M. There has been no restatement of surplus due to quasi-reorganizations.

14. Liabilities, Contingencies and Assessments

A. Contingent Commitments

Not Applicable.

B. Assessments

Not Applicable.

C. Gain Contingencies

Not Applicable.

D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming From Lawsuits

Not Applicable.

E. Joint and Several Liabilities

Not Applicable.

F. All Other Contingencies

Not Applicable.

15. Leases

A. Lessee Leasing Arrangements

Notes to Financial Statement

Not Applicable.

B. Lessor Leasing Arrangements

Not Applicable.

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

Not Applicable.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales

Not Applicable.

B. Transfer and Servicing of Financial Assets

Not Applicable.

C. Wash Sales

Not Applicable.

18. Gain or Loss to the Reporting Entity from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans

A. Administrative Services Only (ASO) Plans

Not Applicable.

B. Administrative Services Contract (ASC) Plans

Not Applicable.

C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract

Not Applicable.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not Applicable.

20. Fair Value Measurements

SSAP 100, Fair Value Measurements, establishes a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The three levels of the fair value hierarchy are described as follows:

- Level 1 – Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Company has the ability to access.
- Level 2 – Inputs to the valuation methodology include quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar assets or liabilities in inactive markets; inputs other than quoted prices that are observable; or inputs that are derived principally from or corroborated by observable market data by correlation or other means.
- Level 3 – Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. The Company believes its valuation methods are appropriate and consistent with other market participants. The use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

A. Inputs Used for Assets Measured at Fair Value

1. Fair Value Measurements at Reporting Date – N/A
2. Fair Value Measurements in Level 3 of the Fair Value Hierarchy – N/A
3. Reasons for any transfers between levels – N/A
4. Valuation Techniques for Fair Value Measurement Categorized Within Level 2 and Level 3 – N/A
5. Derivative assets and liabilities – N/A

B. Other Fair Value Disclosures – N/A

C. Fair Value for all Financial Instruments

Notes to Financial Statement

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)
Bonds	\$ 2,250,280	\$ 2,249,579	\$ 2,250,280	\$ -	\$ -	\$ -
Short-term investments	1,291,109	1,291,109	1,291,109			
Grand Total	<u>\$ 3,541,389</u>	<u>\$ 3,540,688</u>	<u>\$ 3,541,389</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

D. Reasons Not Practical to Estimate Fair Value –N/A

21. Other Items

A. Unusual or Infrequent Items

Not Applicable.

B. Troubled Debt Restructuring

Not Applicable.

C. Other Disclosures

Effective July 1, 2016, the Company, previously known as Catamaran Insurance Company of Ohio, Inc. has been renamed Optum Insurance Company of Ohio, Inc. This change has been completed to better align the Company’s name with its parent company and other affiliates in its internal segment.

On March 29, 2015, the Company’s ultimate parent, Catamaran Corporation, entered into an Arrangement Agreement with UnitedHealth Group Incorporated. The Arrangement Agreement provides, among other things, that, in accordance with a Plan of Arrangement and the transactions contemplated thereby, UnitedHealth Group Incorporated will acquire, directly or indirectly, all of the issued and outstanding common shares of Catamaran Corporation, resulting in Catamaran Corporation becoming an indirect, wholly owned subsidiary of UnitedHealth Group Incorporated. The completion of the transaction occurred on July 23, 2015.

D. Business Interruption Insurance Recoveries

Not Applicable.

E. State Transferable and Non-transferable Tax Credits

Not Applicable.

F. Subprime-Mortgage-Related Risk Exposure

The Company does not have any exposure to subprime-mortgages.

G. Retained Assets

Not Applicable.

H. Proceeds as the Issuer, Ceding Insurer, or Counterparty of Insurance-Linked Securities

22. Events Subsequent

Subsequent events have been considered through November 9, 2016, for the statutory statement issued on November 11, 2016.

23. Reinsurance

A. Ceded Reinsurance Report

Section1 – General Interrogatories

1. Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10% or controlled, either directly or indirectly, by the Company or by any representative, officer, trustee, or director of the Company? Yes () No (X)
2. Have any policies issued by the Company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) which is owned in excess of 10% or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business? Yes () No (X)

Section 2 – Ceded Reinsurance Report – Part A

- 1) Does the Company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits? Yes () No (X)
- 2) Does the Company have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts which, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies? Yes () No (X)

Section 3 – Ceded Reinsurance Report – Part B

Notes to Financial Statement

- 1) What is the estimated amount of the aggregate reduction in surplus, for agreements, not reflected in Section 2 above, of termination of all reinsurance agreements, by either party, as of the date of this statement? Where necessary, the Company may consider the current or anticipated experience of the business reinsured in making this estimate. \$0
- 2. Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts which were in-force or which had existing reserves established by the Company as of the effective date of the agreement? Yes () No (X)

B. Uncollectible Reinsurance

None

C. Commutation of Ceded Reinsurance

None

D. Certified Rated Contracts and Contracts Subject to Revocation

None

E. Reinsurance of Variable Annuity Contracts with Affiliated Captive Reinsurer

None

F. Reinsurance Agreement with Affiliated Captive Reinsurer

None

G. Affiliated Captive Reinsurer Risk-Based Capital Shortfalls

None

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

Not Applicable.

25. Changes in Incurred Losses and Loss Adjustment Expenses

Not Applicable.

26. Intercompany Pooling Arrangements

Not Applicable.

27. Structured Settlements

Not Applicable.

28. Health Care Receivables

A. Pharmaceutical Rebate Receivables

Not Applicable.

B. Risk Sharing Receivables

Not Applicable.

29. Participating Policies

Not Applicable.

30. Premium Deficiency Reserves

Not Applicable.

31. Reserves for Life Contracts and Deposit-Type Contracts

- A. The Company waives deduction of deferred fractional premiums upon death of insured and returns any portion of the final premium beyond the date of death. The Company has certain surrender values in excess of the legally computed reserves. There has been no significant change in the reserve since December 31, 2015.
- B. The method used in the valuation of substandard policies is based on the normal tabular reserves plus one half of the annual substandard extra premium.
- C. There are no amounts of insurance for which the gross premiums are less than the net premiums according to the standard of valuation set by the Ohio Department of Insurance.
- D. Tabular cost has been determined from the basic data for the calculation of policy reserves. Tabular less actual reserves released has been determined from the basic data for the calculation of reserves and reserves released. Tabular interest has been determined from the basic data for the calculation of policy reserves.

Notes to Financial Statement

E. Tabular interest on funds not involving life contingencies is calculated as the product of the valuation rate of interest times the mean of the amount of funds subject to such rate held at the beginning and end of the year of valuation.

F. There were no other reserve changes.

32. Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics

Not Applicable.

33. Premium and Annuity Considerations Deferred and Uncollected

Not Applicable.

34. Separate Accounts

Not Applicable.

35. Loss/Claim Adjustment Expenses

Not Applicable.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES
GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes[] No[X]
- 1.2 If yes, has the report been filed with the domiciliary state?

Yes[] No[] N/A[X]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes[] No[X]
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

Yes[X] No[]
- If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes[] No[X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes:
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes[] No[X]
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?

If yes, attach an explanation.

Yes[] No[] N/A[X]
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2011
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

05/23/2013
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

05/23/2013
- 6.4 By what department or departments?
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes[] No[] N/A[X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with?

Yes[X] No[] N/A[]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes[] No[X]
- 7.2 If yes, give full information
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes[] No[X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms?

Yes[X] No[]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
Optum Bank, Inc.	Salt Lake City, Utah	. Yes[] No[X]	. Yes[] No[X]	. Yes[X] No[]	. Yes[] No[X]

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

Yes[X] No[]
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended?

Yes[] No[X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers?

Yes[] No[X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes[] No[X]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$..... 0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes[] No[X]
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$..... 0
13. Amount of real estate and mortgages held in short-term investments:

\$..... 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes[] No[X]

GENERAL INTERROGATORIES (Continued)

INVESTMENT

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds		
14.22 Preferred Stock		
14.23 Common Stock		
14.24 Short-Term Investments		
14.25 Mortgages Loans on Real Estate		
14.26 All Other		
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)		
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above		

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes[] No[X]
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?

Yes[] No[] N/A[X]

If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$ 0

16.3 Total payable for securities lending reported on the liability page

\$ 0
17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?

Yes[X] No[]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
CitiGroup	333 W. 34th Street, NY, NY 10001
UBS	One North Wacker Drive, Chicago, IL 60606
Century Trust	P.O. Box 1507, Santa Fe, NM 87504
Regions Bank	400 West Capitol, Little Rock, AR 72201
Well Fargo	1021 E. Cary Stree, Richmond, VA 23219
TD Wealth	1701 Route 70 East Cherry Hill, NJ, 08034
US Bank	225 Water St., Suite 700, Jacksonville, FL 32202
.....	

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....		

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?

Yes[] No[X]
- 17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....			

17.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
.....		

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed?

Yes[X] No[]
- 18.2 If no, list exceptions:

GENERAL INTERROGATORIES

PART 2 - LIFE & HEALTH

	Amount
1. Report the statement value of mortgage loans at the end of this reporting period for the following categories:	
1.1 Long-Term Mortgages in Good Standing	
1.11 Farm Mortgages	\$ 0
1.12 Residential Mortgages	\$ 0
1.13 Commercial Mortgages	\$ 0
1.14 Total Mortgages in Good Standing	\$ 0
1.2 Long-Term Mortgages in Good Standing with Restructured Terms	
1.21 Total Mortgages in Good Standing with Restructured Terms	\$ 0
1.3 Long-Term Mortgage Loans upon which Interest is Overdue more than Three Months	
1.31 Farm Mortgages	\$ 0
1.32 Residential Mortgages	\$ 0
1.33 Commercial Mortgages	\$ 0
1.34 Total Mortgages with Interest Overdue more than Three Months	\$ 0
1.4 Long-Term Mortgage Loans in Process of Foreclosure	
1.41 Farm Mortgages	\$ 0
1.42 Residential Mortgages	\$ 0
1.43 Commercial Mortgages	\$ 0
1.44 Total Mortgages in Process of Foreclosure	\$ 0
1.5 Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)	\$ 0
1.6 Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter	
1.61 Farm Mortgages	\$ 0
1.62 Residential Mortgages	\$ 0
1.63 Commercial Mortgages	\$ 0
1.64 Total Mortgages Foreclosed and Transferred to Real Estate	\$ 0
2. Operating Percentages:	
2.1 A&H loss percent	 0.000%
2.2 A&H cost containment percent	 0.000%
2.3 A&H expense percent excluding cost containment expenses	 0.000%
3.1 Do you act as a custodian for health savings accounts?	Yes[] No[X]
3.2 If yes, please provide the amount of custodial funds held as of the reporting date.	\$ 0
3.3 Do you act as an administrator for health savings accounts?	Yes[] No[X]
3.4 If yes, please provide the balance of the funds administered as of the reporting date.	\$ 0

SCHEDULE S - CEDED REINSURANCE
Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
			NONE					

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS
Current Year To Date - Allocated by States and Territories

States, Etc.		1 Active Status	Direct Business Only					
			Life Contracts		4 Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	5 Other Considerations	6 Total Columns 2 Through 5	7 Deposit-Type Contracts
			2 Life Insurance Premiums	3 Annuity Considerations				
1.	Alabama (AL)	L	3,532				3,532	
2.	Alaska (AK)	L						
3.	Arizona (AZ)	L	21,393				21,393	
4.	Arkansas (AR)	L	1,974				1,974	
5.	California (CA)	L	91,830	425			92,255	
6.	Colorado (CO)	L	4,062				4,062	
7.	Connecticut (CT)	L	4,057				4,057	
8.	Delaware (DE)	L	150				150	
9.	District of Columbia (DC)	L						
10.	Florida (FL)	L	37,013	1,285			38,298	
11.	Georgia (GA)	L	7,874				7,874	
12.	Hawaii (HI)	L	2,069				2,069	
13.	Idaho (ID)	L	919				919	
14.	Illinois (IL)	L	8,570				8,570	
15.	Indiana (IN)	L	10,661				10,661	
16.	Iowa (IA)	L	3,467				3,467	
17.	Kansas (KS)	L	2,537				2,537	
18.	Kentucky (KY)	L	33,546		272		33,818	
19.	Louisiana (LA)	L	10,484				10,484	
20.	Maine (ME)	N						
21.	Maryland (MD)	L	1,200				1,200	
22.	Massachusetts (MA)	L	347				347	
23.	Michigan (MI)	L	16,932				16,932	
24.	Minnesota (MN)	L	1,295				1,295	
25.	Mississippi (MS)	L	3,584				3,584	
26.	Missouri (MO)	L	1,411	6,500			7,911	
27.	Montana (MT)	L	132				132	
28.	Nebraska (NE)	L	1,074				1,074	
29.	Nevada (NV)	L	4,302				4,302	
30.	New Hampshire (NH)	L	393				393	
31.	New Jersey (NJ)	L	208				208	
32.	New Mexico (NM)	L	1,467				1,467	
33.	New York (NY)	N	597				597	
34.	North Carolina (NC)	L	10,120				10,120	
35.	North Dakota (ND)	L	450				450	
36.	Ohio (OH)	L	227,929	30,648	560		259,137	
37.	Oklahoma (OK)	L	530				530	
38.	Oregon (OR)	L	1,340				1,340	
39.	Pennsylvania (PA)	L	2,121				2,121	
40.	Rhode Island (RI)	L						
41.	South Carolina (SC)	L	4,608				4,608	
42.	South Dakota (SD)	L	559				559	
43.	Tennessee (TN)	L	23,354				23,354	
44.	Texas (TX)	L	18,927				18,927	
45.	Utah (UT)	L	540				540	
46.	Vermont (VT)	L						
47.	Virginia (VA)	L	20,131	208			20,339	
48.	Washington (WA)	L	1,913				1,913	
49.	West Virginia (WV)	L	3,355				3,355	
50.	Wisconsin (WI)	L	2,297				2,297	
51.	Wyoming (WY)	L						
52.	American Samoa (AS)	N						
53.	Guam (GU)	N						
54.	Puerto Rico (PR)	N						
55.	U.S. Virgin Islands (VI)	N	78				78	
56.	Northern Mariana Islands (MP)	N						
57.	Canada (CAN)	N						
58.	Aggregate other alien (OT)	X X X						
59.	Subtotal	(a). 49	595,333	39,066	832		635,231	
90.	Reporting entity contributions for employee benefits plans	X X X						
91.	Dividends or refunds applied to purchase paid-up additions and annuities	X X X						
92.	Dividends or refunds applied to shorten endowment or premium paying period	X X X						
93.	Premium or annuity considerations waived under disability or other contract provisions	X X X	4,534				4,534	
94.	Aggregate other amounts not allocatable by State	X X X						
95.	Totals (Direct Business)	X X X	599,867	39,066	832		639,765	
96.	Plus Reinsurance Assumed	X X X						
97.	Totals (All Business)	X X X	599,867	39,066	832		639,765	
98.	Less Reinsurance Ceded	X X X	599,867	39,066	832		639,765	
99.	Totals (All Business) less Reinsurance Ceded	X X X						
DETAILS OF WRITE-INS								
58001.		X X X						
58002.		X X X						
58003.		X X X						
58998.	Summary of remaining write-ins for Line 58 from overflow page	X X X						
58999.	TOTAL (Lines 58001 through 58003 plus 58998) (Line 58 above)	X X X						
9401.		X X X						
9402.		X X X						
9403.		X X X						
9498.	Summary of remaining write-ins for Line 94 from overflow page	X X X						
9499.	TOTAL (Lines 9401 through 9403 plus 9498) (Line 94 above)	X X X						

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

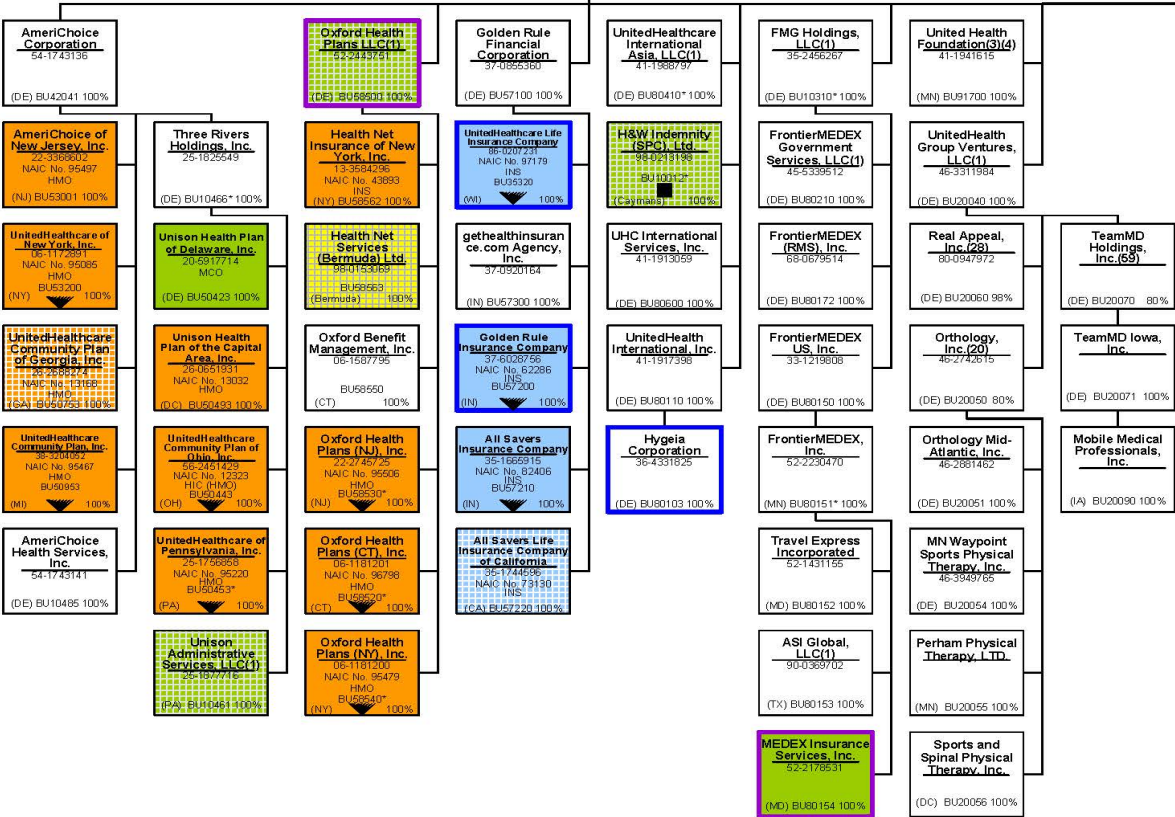
SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER
MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

NOTE: This Visual Organization Chart mirrors the image of the Organization Chart posted on the Legal Services web page. Therefore, this visual diagram is updated on a quarterly basis. If there are transactions that were recently finalized, they will be incorporated in the next quarterly update.

UnitedHealth Group Incorporated
(DE) 41-1321939 BU10000

Contact Genelle Derochie at Genelle_Derochie@uhg.com
or 952-979-7137 with questions.

Continued on next page



Minority Owned Entities: The Management Audit Summary Report (MASR) tracks additional entities that are not included on the VOC due to UHG having less than 50% ownership.

International Entities: The intent of this document is to present a complete picture of the external financial reporting for all UHG legal entities. However, the financial reporting needs for the non-U.S. entities is dependent on the regulations of specific jurisdictions and is determined and managed on a decentralized basis. Some of these regulations define various thresholds for determination of reporting requirements. Given these factors, "source of truth" reliance should not be placed on this document for non-U.S. entities.

See Page 13 for Legend

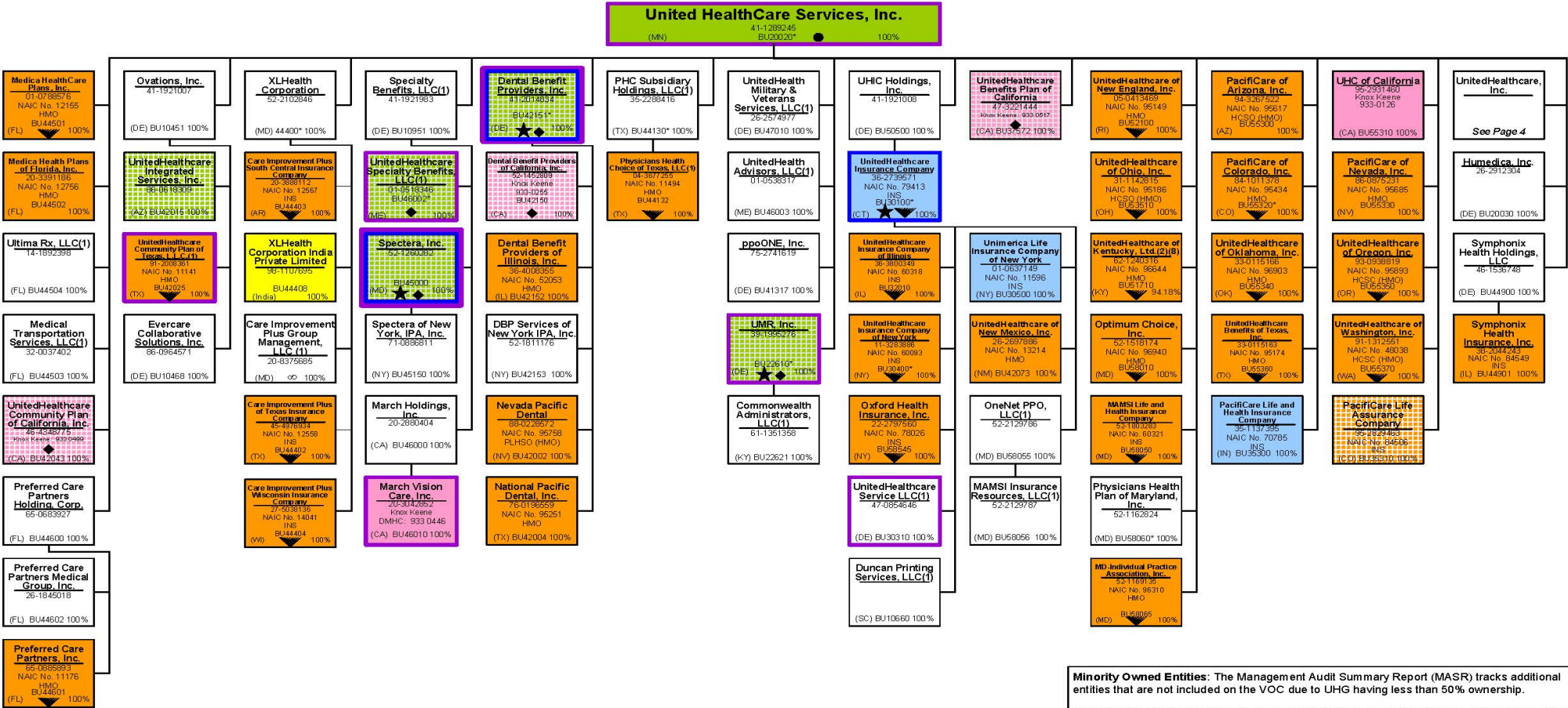


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See Page 13 for Legend

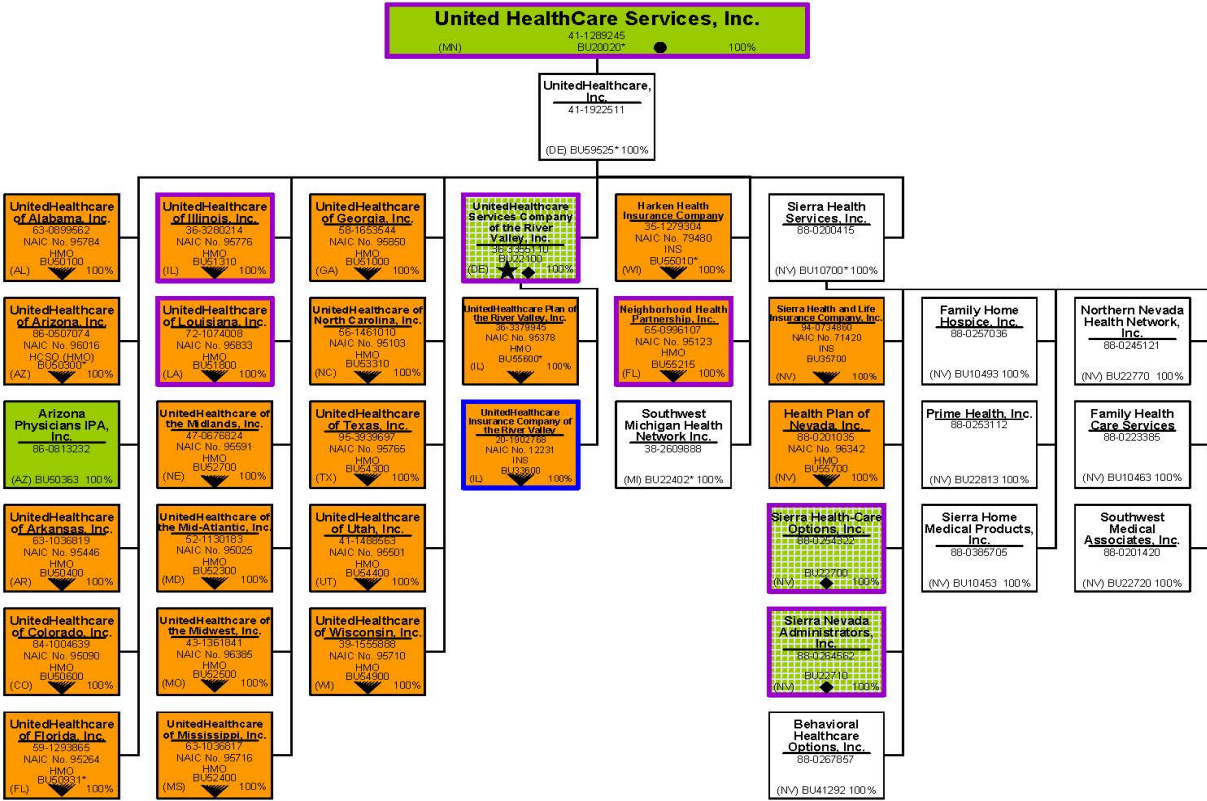
SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER
MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART



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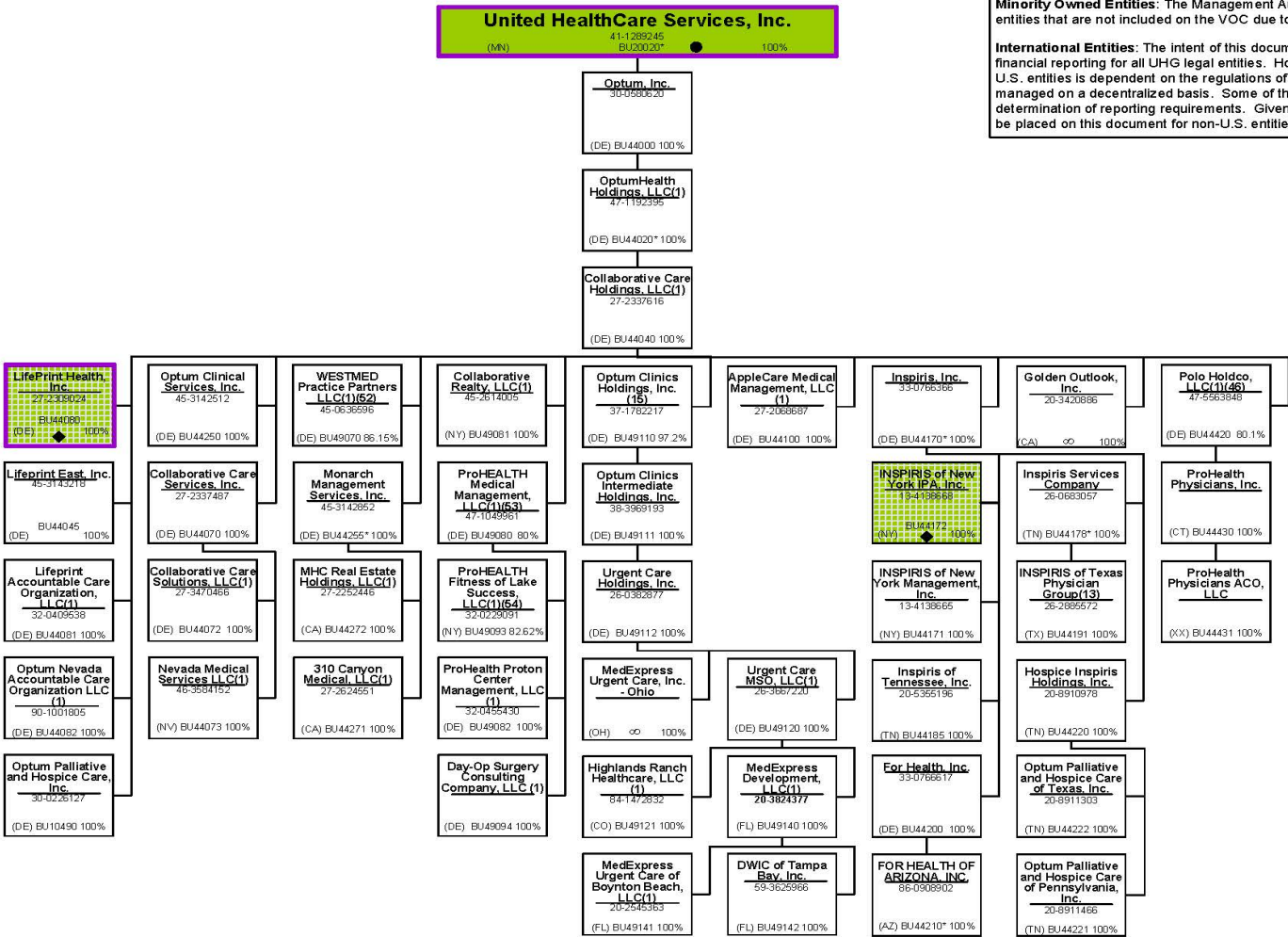
SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER
MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART



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SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER
MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART



Minority Owned Entities: The Management Audit Summary Report (MASR) tracks additional entities that are not included on the VOC due to UHG having less than 50% ownership.

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Q12.4

See Page 13 for Legend

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER
MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

Continued from Pages 5-12

United HealthCare Services, Inc.
41-1389245
BU20020* 100%
(MN)

Optum, Inc.
30-0583623
(DE) BU44000 100%

OptumHealth Holdings, LLC
47-1192395
(DE) BU44020 100%

Collaborative Care Holdings, LLC
27-2337616
(DE) BU44040 100%

WellMed Medical Management, Inc.
74-2737745
BU44010 100%
(TX)

USMD Holdings, Inc.
27-2666866
(DE) ∞ 100%

WellMed Medical Management of Florida, Inc.
74-2737745
BU44011 100%
(FL)

Comfort Care Transportation, LLC
11-3647007
(TX) BU44112 100%

R&H Family Fitness Unlimited LLC
26-3168754
(TX) BU44113 100%

Medical Preparatory School of Allied Health, LLC
26-4808018
(TX) BU44114 100%

USMD Affiliated Services
27-2956222
(TX) ∞ 100%

Medical Clinic of North Texas PLLC
(TX) ∞ 100%

Urology Associates of North Texas, P.L.L.C.
(TX) ∞ 100%

WellMed Networks - DEW, Inc.
41-2250215
(TX) ∞ 50%

USMD Cancer Treatment Centers, L.L.C.
26-2245431
(TX) ∞ 100%

USMD Cancer Treatment Centers GP, L.L.C.
(TX) ∞ 100%

USMD CTC (Mo), LLC
(MO) ∞ 100%

MXMD Centros De Cancer, S. De R.L. De C.V.
(MX) ∞ 50%

USMD Inc.
20-8050318
(TX) ∞ 100%

USMD Diagnostic Services, LLC
27-2803133
(TX) ∞ 100%

USMD PPM, LLC
(TX) ∞ 100%

USMD Administrative Services, L.L.C.
(TX) ∞ 100%

Mat-Rx Development, L.L.C.
(TX) ∞ 100%

USMD of Arlington GP, L.L.C.
(TX) ∞ 100%

Mat-Rx Fort Worth GP, L.L.C.
(TX) ∞ 100%

Metro I Stone Management, Ltd.
(TX) ∞ 60%

Impel Management Services, L.L.C.
(TX) ∞ 100%

Impel Consulting Experts, L.L.C.
(TX) ∞ 100%

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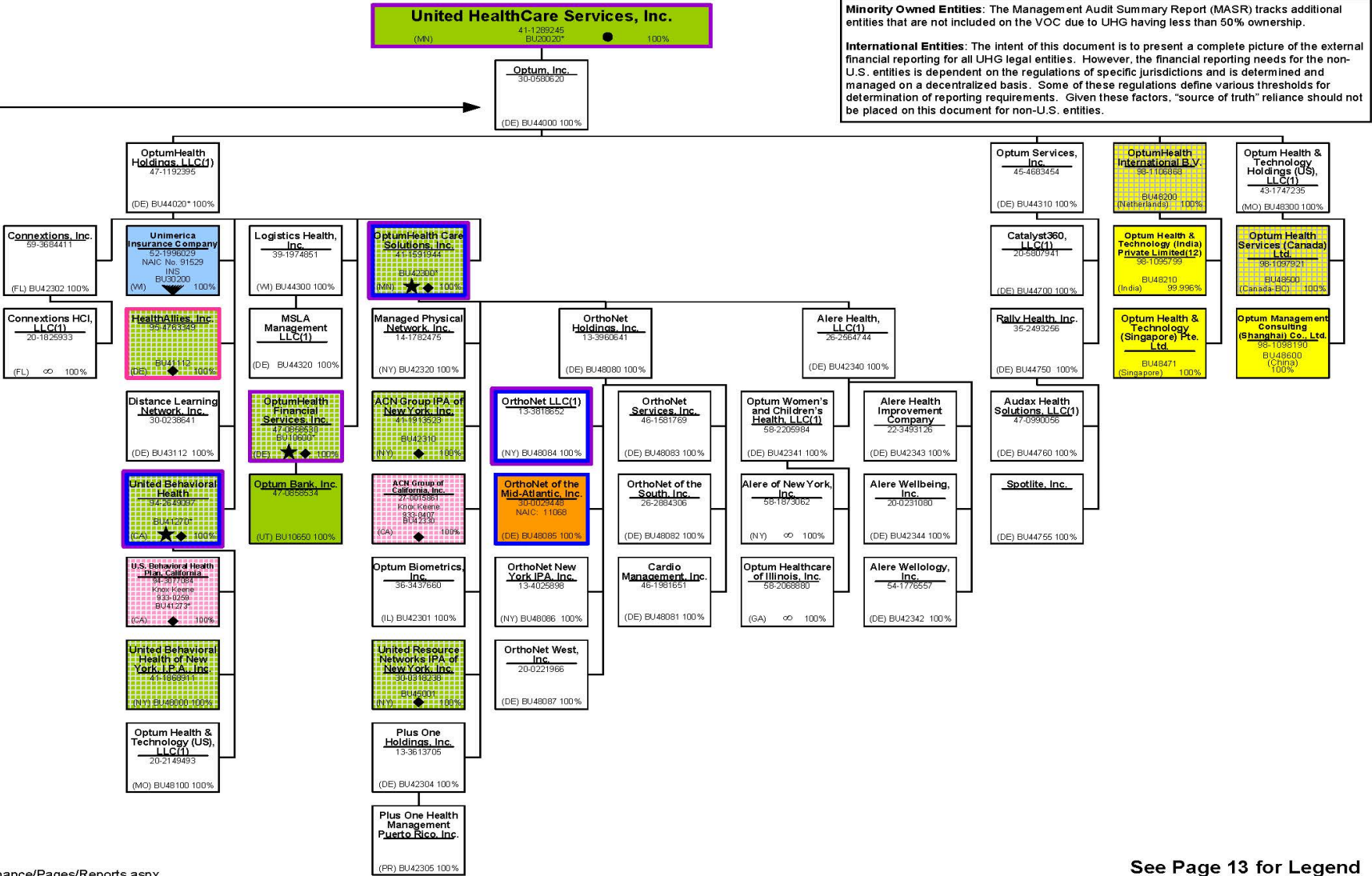
International Entities: The intent of this document is to present a complete picture of the external financial reporting for all UHG legal entities. However, the financial reporting needs for the non-U.S. entities is dependent on the regulations of specific jurisdictions and is determined and managed on a decentralized basis. Some of these regulations define various thresholds for determination of reporting requirements. Given these factors, "source of truth" reliance should not be placed on this document for non-U.S. entities.

Q12.5



SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER
MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

Continued from Pages 5-12



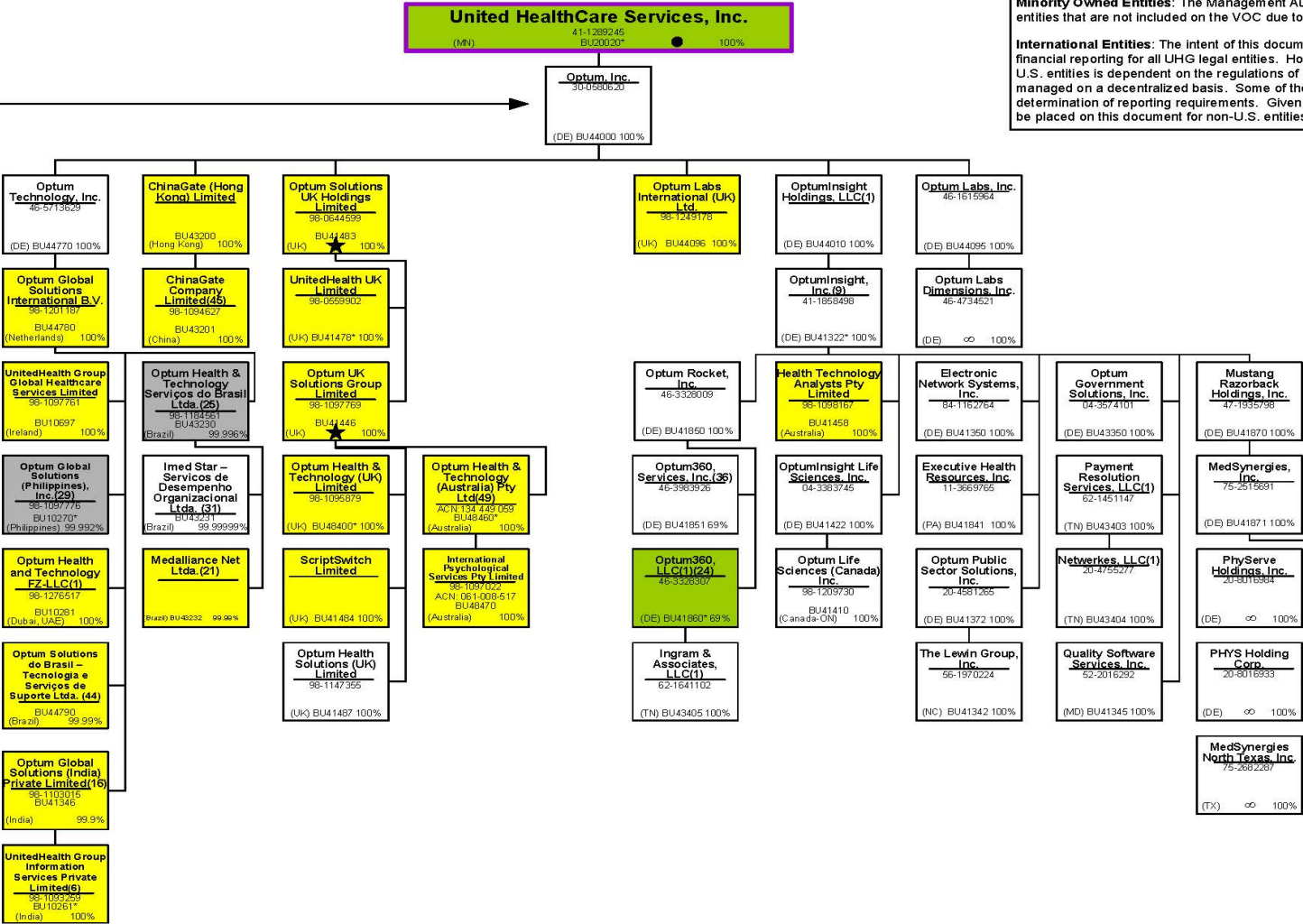
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Q12.7

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER
MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

Continued from Pages 5-12

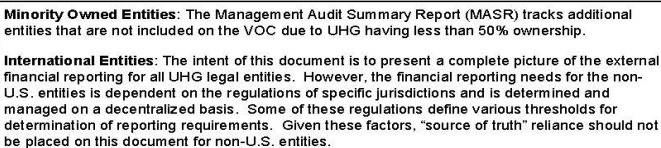


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International Entities: The intent of this document is to present a complete picture of the external financial reporting for all UHG legal entities. However, the financial reporting needs for the non-U.S. entities is dependent on the regulations of specific jurisdictions and is determined and managed on a decentralized basis. Some of these regulations define various thresholds for determination of reporting requirements. Given these factors, "source of truth" reliance should not be placed on this document for non-U.S. entities.

Q12.8

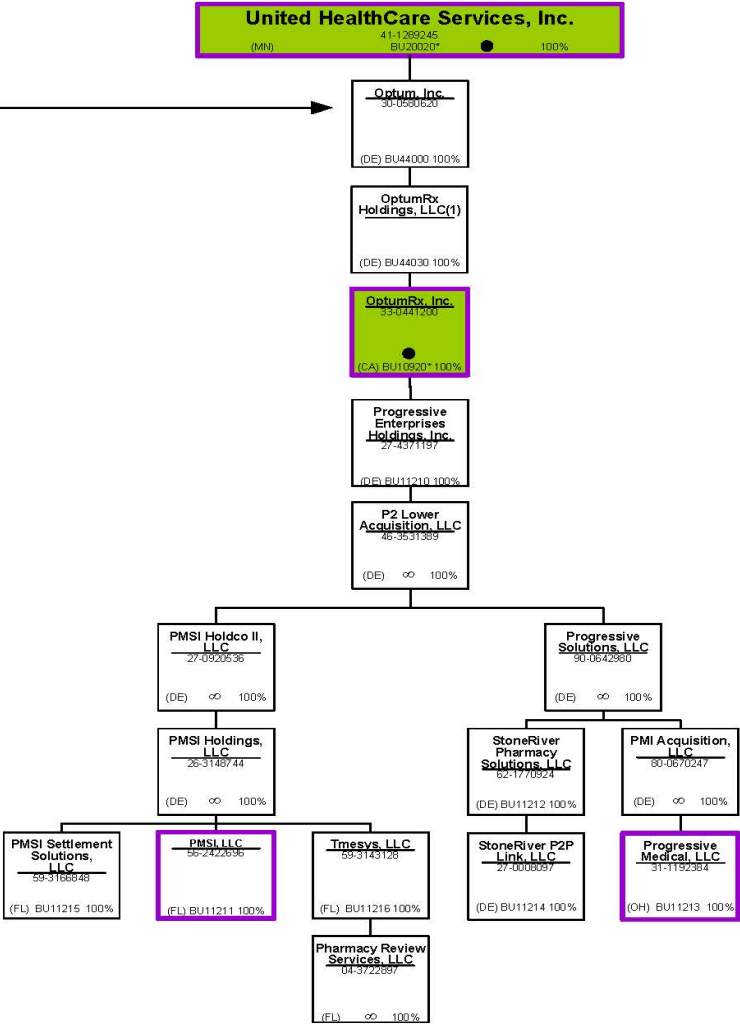




See Page 13 for Legend

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER
MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

Continued from Pages 5-12



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Q12.11

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER
MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

Notes

- All legal entities on the Organization Chart are Corporations unless otherwise indicated.
- (1) Entity is a Limited Liability Company
 - (2) Entity is a Partnership
 - (3) Entity is a Non-Profit Corporation
 - (4) Control of the Foundation is based on sole membership, not the ownership of voting securities
 - (5) COI Participações S.A. is 87.270% owned by Esho – Empresa de Serviços Hospitalares S.A. and 12.729% owned by COIPAR Participações S.A.
 - (6) UnitedHealth Group Information Services Private Limited is 100% owned by Optum Global Solutions (India) Private Limited. UnitedHealth International, Inc. holds 10 shares as a nominee shareholder.
 - (7) UnitedHealthcare India Private Limited is 99.9935% owned by UnitedHealthcare International II B.V. and 0.0065% owned by UnitedHealth International, Inc.
 - (8) General partnership interests are held by United HealthCare Services, Inc. (89.77%) and by UnitedHealthcare, Inc. (10.23%). United HealthCare Services, Inc. also holds 100% of the limited partnership interests. When combining general and limited partner interests, United HealthCare Services, Inc. owns 94.18% and UnitedHealthcare, Inc. owns 5.83%.
 - (9) Branch office located in Abu Dhabi, UAE.
 - (10) WellMed Medical Management, Inc. is 80% owned by Collaborative Care Holdings, LLC and 20% owned by WMG Healthcare Partners, L.P.
 - (11) Seisa Serviços Integrados de Saúde Ltda is 99.99% owned by Amil Assistência Médica Internacional S.A. and 0.000007% owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
 - (12) Optum Health & Technology (India) Private Limited is 99.996% owned by OptumHealth International B.V. and 0.004 % owned by United Behavioral Health.
 - (13) INSPIRIS of Texas Physician Group is a Texas non-profit (taxable) whose sole member is Inspiris Services Company.
 - (14) PrimeCare of Citrus Valley, Inc. is 80% owned by PrimeCare Medical Network, Inc. and 20% owned by Citrus Valley Medical Associates, Inc.
 - (15) Optum Clinics Holdings, Inc. is 97.2% owned by Collaborative Care Holdings, LLC and 2.8% is owned by external shareholders.
 - (16) Optum Global Solutions (India) Private Limited is 99.9% owned by Optum Global Solutions International B.V. and 0.1% owned by UnitedHealth International, Inc.
 - (17) Amico Saúde Ltda. is 98.87947% owned by Amil Assistência Médica Internacional S.A. and 1.12053% owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
 - (18) Esho – Empresa de Serviços Hospitalares S.A. is 99.224% owned by Amil Assistência Médica Internacional S.A. and 0.0340693% owned by Treasury Shares and .7409758% owned by external shareholders.
 - (19) Etho – Empresa de Tecnologia Hospitalar Ltda. 71.91% owned by Amil Assistência Médica Internacional S.A. and 28.08% owned by an external shareholder.
 - (20) Orthology, Inc. is 80% owned by UnitedHealth Group Ventures, LLC and 20% owned by external shareholders.
 - (21) Medalliance Net Ltda. is owned 99.999985% by Optum Health & Technology Serviços do Brasil Ltda. and 0.000015% by UHG Brasil Participações S.A.
 - (22) Branch offices in Iraq and Uganda.
 - (23) Cemed Care Empresa de Atendimento Clínico Geral Ltda. Is 99.9999995% owned by Amil Assistência Médica Internacional S.A. and 0.000005% owned by Amico Saúde Ltda.
 - (24) Optum 360, LLC is 69% owned by Optum Rocket, Inc. and 31% owned by two external interest holders.
 - (25) Optum Health & Technology Serviços do Brasil Ltda. is 99.9964% owned by Optum Global Solutions International B.V. and .0036% owned by OptumInsight, Inc.
 - (26) Bosque Medical Center Ltda. is 77.55428% owned by Amil Assistência Médica Internacional S.A. and 22.44572% owned by Esho – Empresa de Serviços Hospitalares S.A.
 - (27) AHJV, Inc. is 75% owned by NAMM Holdings, Inc. and 25% owned by Humana, Inc.
 - (28) Real Appeal, Inc. is majority-owned by UHG or one of its affiliates and the remaining 2% is owned by Real Appeal Management.
 - (29) Optum Global Solutions (Philippines), Inc. is 99.992% owned by Optum Global Solutions International B.V., and the remaining 0.008% is held by the company's directors.

Important note: Under federal Exchange regulations, any change in ownership of a QHP (Qualified Health Plan) issuer or any of its parent entities requires advance notice to HHS. Please contact LCRA Corporate Governance for detail

- (30) Amil Clinical Research Participações Ltda. is 99.95% owned by Amil Lifesciences Participações Ltda. and 0.05% owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
- (31) Imed Star Serviços de Desempenho Organizacional Ltda. is 99.99998% owned by Optum Health & Technology Serviços do Brasil Ltda and 0.00002% owned by UHG Brasil Participações S.A.
- (32) Hospital de Clínicas de Jacarepaguá Ltda. is 99.99% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.01% is owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
- (33) Hospital Alvorada Taguatinga Ltda. is 79.62822% owned by Amil Assistência Médica Internacional S.A. 20.37178% by Bosque Medical Center Ltda.
- (34) Amil Lifesciences Participações Ltda. Is 99.999669% owned by Amil Assistência Médica Internacional S.A. and 0.000331% owned by Cemed Care Empresa de Atendimento Clínico Geral Ltda.
- (35) FrontierMEDEX Kenya Limited is 99.9% owned by Frontier MEDEX Limited and 0.1% owned by UnitedHealthcare International I B.V.
- (36) Optum360 Services, Inc. is 69% owned by Optum Rocket, Inc. and 31% owned by two external interest holders.
- (37) The limited partners of UnitedHealth Group International, L.P. include FMG Holdings, LLC (14.8145%), Hygeia Corporation (DE) (0.2012%) and UnitedHealth Group Incorporated (84.9843%). UnitedHealth Group International GP is the general partner of UnitedHealth Group International, L.P.
- (38) Polar II Fundo de Investimento em Participações is a Brazilian private equity investment fund incorporated in the form of a closed-end condominium.
- (39) UnitedHealthcare International III S.à.r.l. is 69.999% owned by UnitedHealthcare Europe S.à.r.l. and 30.001% owned by UnitedHealthcare International II S.à.r.l.
- (40) Amil Assistência Médica Internacional S.A. is 90.23% owned by Polar II Fundo de Investimento em Participações and the remaining 9.77% is owned by the former controlling shareholders of Amil Assistência Médica Internacional S.A.
- (41) Lusiadas A.C.E. is 70% owned by Lusiadas, SGPS, S.A., 10% owned by Lusiadas – Parcerias Cascais, S.A., and 20% owned by Lusiadas, S.A.
- (42) Centro Médico P.J Ltda. is 99.99% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.01% is owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
- (43) Frontier Medex Tanzania Limited is 99% owned by Frontier MEDEX Limited. The remaining 1% is owned by a former officer of Frontier MEDEX Limited and is being transferred to UnitedHealthcare International I BV.
- (44) Optum Solutions do Brasil – Tecnologia e Serviços de Suporte Ltda., is 99.999998% owned by Optum Global Solutions International B.V. and 0.00002% owned by OptumHealth International B.V.
- (45) Multiangio Ltda. is 68% owned by Esho – Empresa de Serviços Hospitalares S.A. and the remaining 32% is owned by external shareholders.
- (46) Polo Holdco, LLC is 80.1% owned by Collaborative Care Holdings, LLC and the remaining 19.9% being owned by an outside third party.
- (47) Excellon Serviços Biomédicos Ltda is 99.999997% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.000003% is owned by Cemed Care - Empresa de Atendimento Clínico Geral Ltda.
- (48) Hospital Samaritano de São Paulo Ltda. is 99.999998% owned by Esho – Empresa de Serviços Hospitalares S.A. and the remaining 0000002% is owned by Hospital Alvorada Taguatinga Ltda.
- (49) Branch office located in Hong Kong.
- (50) Dental Center Serviços Odontológicos Ltda. is 100% owned by Seisa Serviços Integrados de Saúde Ltda.
- (51) Hospital AMA S.A. is 50.48% owned by Esho – Empresa de Serviços Hospitalares S.A. and 49.52% owned by Seisa Serviços Integrados de Saúde Ltda.
- (52) WESTMED Practice Partners LLC is 86.15% owned by Collaborative Care Holdings, LLC and 13.85% owned by external shareholders.
- (53) ProHEALTH Medical Management, LLC is 80% owned by Collaborative Care Holdings, LLC and 20% owned by an external shareholder.
- (54) ProHEALTH Fitness of Lake Success, LLC is 82.62% owned by ProHEALTH Medical Management, LLC and 17.38% by an external shareholder.
- (55) Hospital Maternidade Promater Ltda is 99.99 owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.00006% owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
- (56) Hospital Geral e Maternidade Madre Maria Theodora Ltda. is 99.9999% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.00001% owned by Cemed Care Empresa de Atendimento Clínico Geral Ltda.

- (57) Angiografia e Hemodinâmica Madre Theodora Ltda. is 50% owned by Hospital Geral e Maternidade Madre Maria Theodora Ltda. and 50% owned by 28 individual partners.
- (58) Guardian Health Systems Limited Partnership is 99.95% owned by AxelaCare Holdings, Inc. with the remaining 0.05% interest as a limited partner being held by AxelaCare Health Solutions, LLC.
- (59) TeamMD Holdings, Inc., a Delaware corporation, was formed as an 80% owned subsidiary of UnitedHealth Group Ventures, LLC, a Delaware limited liability company. The remaining 20% is owned by external shareholders.
- (60) Hospitais Associados de Pernambuco Ltda. is 90% owned by Esho – Empresa de Serviços Hospitalares S.A. and 10% is owned by an external shareholder
- (61) Topimagem Diagnóstico por Imagem Ltda. is 89% owned by Esho – Empresa de Serviços Hospitalares S.A., and the remaining 11% interest is owned by external shareholders.
- (62) Dilib – Medicina Nuclear Ltda is 85% owned by Esho – Empresa de Serviços Hospitalares S.A. and the remaining 15% is owned by external shareholders.

2016 Current Status Financial Reporting Requirements				
Financial Format	Audited	Unaudited	Licensure Type	
Orange Blank	 62	 2	Third Party Administrator (TPA)	 36
Blue Blank	 8	 1	Preferred Provider Organization (PPO)	 11
Knox Keene	 2	 6	Discount Medical Plan Organization (DMPO)	 2
US GAAP	 8	 30		
Country-Specific Standards	 28	 11		
International Financial Reporting Standards (IFRS)	 19	 2		
Other Reporting Notes:				
Unless otherwise indicated, reporting results as an entity with subsidiaries is assumed for the consolidated structure.				
★ Represents reporting for the stand-alone legal entity only.				
◆ Reflects that the entity's financials are shown in an unaudited consolidating schedule attached to a parent entity's financial report. For DMHC entities included in a GAAP supplemental schedule, GAAP presentation is used.				
● Entity issues both audited consolidated financial statements and unaudited stand-alone legal entity (or cell) only financial statement.				
■ Represents that the legal entity is not audited however there are segregated portfolios within the entity that are audited.				
▼ Entity files Supplemental Healthcare Exhibit (SHCE) for the current year.				
* Multiple Business Units for the same entity				
∞ No Business Unit for this entity or Business Unit to be determined at a later date				

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q13

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Comp- any Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domic- iliary Loca- tion	Rela- tion- ship to Report- ing Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	*
0707	UnitedHealth Group Incorporated UnitedHealth Group Incorporated	00000	98-1308130		0001363851		1070715 B.C. Unlimited Liability Company	CAN	NIA	OptumRx Group Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	27-2624551				310 Canyon Medical, LLC	CA	NIA	Monarch Management Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	02-0653265				Access I.V., LLC	CA	NIA	SCP Specialty Infusion, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	41-1913523				ACN Group IPA of New York, Inc.	NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	27-0015861				ACN Group of California, Inc.	CA	IA	OptumHealth Care Solutions, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	11-3485985				Advanced Care Pharmacy, Inc.	NY	NIA	Advanced Care, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	11-2997132				Advanced Care, Inc.	NY	NIA	AxelaCare Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	38-3849068				AHJV MSO, Inc.	DE	NIA	AHJV, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	38-3849066				AHJV, Inc.	DE	NIA	NAMM Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	22-3493126		0001461390		Alere Health Improvement Company	DE	NIA	Alere Health, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	26-2564744		0001460933		Alere Health, LLC	DE	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	58-1873062		0001156286		Alere of New York, Inc.	NY	NIA	Optum Women's and Children's Health, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-0231080		0001355945		Alere Wellbeing, Inc.	DE	NIA	Alere Health, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	54-1776557		0001460931		Alere Wellology, Inc.	DE	NIA	Alere Health, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		82406	35-1665915				All Savers Insurance Company	IN	IA	Golden Rule Financial Corporation	Ownership	100.0	UnitedHealth Group Incorporated	
		73130	35-1744596				All Savers Life Insurance Company of California	CA	IA	Golden Rule Financial Corporation	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	55-0802777				Ambient Healthcare of Central Florida, Inc.	FL	NIA	Ambient Healthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	55-0802779				Ambient Healthcare of Georgia, Inc.	GA	NIA	Ambient Healthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-4881413				Ambient Healthcare of Northeast Florida, Inc.	FL	NIA	Ambient Healthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	33-1012700				Ambient Healthcare of S. Florida, Inc.	FL	NIA	Ambient Healthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	55-0802774				Ambient Healthcare of West Florida, Inc.	FL	NIA	Ambient Healthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	65-1095227				Ambient Healthcare, Inc.	FL	NIA	Ambient Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	45-2161438				Ambient Holdings, Inc.	DE	NIA	AxelaCare Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	26-3738273				Ambient Nursing Services, Inc.	FL	NIA	Ambient Healthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	54-1743136		0001122263		AmeriChoice Corporation	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.0	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	*
Q13.1	UnitedHealth Group Incorporated	00000	54-1743141				AmeriChoice Health Services, Inc.	DE	NIA	AmeriChoice Corporation	Ownership	100.0	UnitedHealth Group Incorporated	
		9549700000	22-336860298-1108620				AmeriChoice of New Jersey, Inc. Amico Saúde Ltda.	NJ BRA	IA NIA	AmeriChoice Corporation Amil Assistência Médica Internacional S.A.	Ownership Ownership	100.0 98.9	UnitedHealth Group Incorporated UnitedHealth Group Incorporated	
		00000	98-1108620				Amico Saúde Ltda.	BRA	NIA	Cemed Care - Empresa de Atendimento Clínico Geral Ltda.	Ownership	1.1	UnitedHealth Group Incorporated	
		00000			0001447698		Amil Assistência Médica Internacional S.A.	BRA	NIA	Polar II Fundo de Investimento em Participações	Ownership	90.2	UnitedHealth Group Incorporated	0000001
		00000	98-1109085				Amil Clinical Research Participações Ltda.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1109085				Amil Clinical Research Participações Ltda.	BRA	NIA	Cemed Care - Empresa de Atendimento Clínico Geral Ltda.	Ownership	0.1	UnitedHealth Group Incorporated	
		00000	98-1138212				AMIL International	LUX	NIA	Amil Assistência Médica Internacional S.A.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1110579				Amil Lifesciences Participações Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1110579				Amil Lifesciences Participações Ltda.	BRA	NIA	Cemed Care - Empresa de Atendimento Clínico Geral Ltda.	Ownership	0.0	UnitedHealth Group Incorporated	
		00000	98-1210271				Angiografia e Hemodinâmica Madre Theodora Ltda.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	50.0	UnitedHealth Group Incorporated	0000001
		00000	27-2068687				AppleCare Medical Management, LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	26-4312858				ARC Infusion, LLC	CA	NIA	SCP Specialty Infusion, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	86-0813232				Arizona Physicians IPA, Inc.	AZ	IA	UnitedHealthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	90-0369702				ASI Global, LLC	TX	NIA	FrontierMEDEX, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	26-3878957				AssuranceRx, LLC	AL	NIA	BriovaRx, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	47-0990056		0001529111		Audax Health Solutions, LLC	DE	NIA	Rally Health, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	80-0368187				Aveta Arizona, Inc.	AZ	NIA	NAMM Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	90-0632302				Aveta Health Solutions Inc.	DE	NIA	NAMM Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-4057813		0001355487		Aveta Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	27-2823524				Aveta Kansas City, Inc.	KS	NIA	NAMM Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	36-4704309				Aveta Tennessee, Inc	DE	NIA	NAMM Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	26-2565032				AxelaCare Health Solutions, LLC	DE	NIA	AxelaCare Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	27-3918706		0001507772		AxelaCare Holdings, Inc.	DE	NIA	AxelaCare, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	30-0842394				AxelaCare Intermediate Holdings, LLC	DE	NIA	OptumRx, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	

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PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Q132	UnitedHealth Group Incorporated	00000	61-1708598				AxelaCare, LLC	DE	NIA	AxelaCare Intermediate Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	88-0267857				Behavioral Healthcare Options, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1112673				Bosque Medical Center Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	77.6	UnitedHealth Group Incorporated	
		00000	98-1112673				Bosque Medical Center Ltda.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	22.4	UnitedHealth Group Incorporated	
		00000	11-3647935				BriovaRx of Florida, Inc.	DE	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	27-1930321				BriovaRx of Georgia, LLC	GA	NIA	BriovaRx, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	27-2348504				BriovaRx of Hawaii, LLC	HI	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	46-2731176				BriovaRx of Indiana, LLC	IN	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	46-2790537				BriovaRx of Louisiana, LLC	LA	NIA	BriovaRx, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	01-0516051		0001601630		BriovaRx of Maine, Inc.	ME	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	27-3331130				BriovaRx of Massachusetts, LLC	MA	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	45-2532834				BriovaRx of Nevada, LLC	NV	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	74-3103518				BriovaRx of New York, Inc.	NY	NIA	Salveo Specialty Pharmacy, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-2719823				BriovaRx of Texas, Inc.	TX	NIA	BriovaRx of Florida, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	55-0824381		0001601629		BriovaRx, LLC	AL	NIA	BriovaRx of Maine, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	46-1981651				Cardio Management, Inc.	DE	NIA	OrthoNet Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-8375685				Care Improvement Plus Group Management, LLC	MD	NIA	XLHealth Corporation	Ownership	100.0	UnitedHealth Group Incorporated	
		12558	45-4976934				Care Improvement Plus of Texas Insurance Company	TX	IA	XLHealth Corporation	Ownership	100.0	UnitedHealth Group Incorporated	
		12567	20-3888112				Care Improvement Plus South Central Insurance Company	AR	IA	XLHealth Corporation	Ownership	100.0	UnitedHealth Group Incorporated	
		14041	27-5038136				Care Improvement Plus Wisconsin Insurance Company	WI	IA	XLHealth Corporation	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1328471				Casa de Saúde Santa Therezinha S.A.	BRA	NIA	Hospital Alvorada de Taguatinga Ltda.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-5807941				Catalyst360, LLC	DE	NIA	Optum Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	46-0666840		0001600768		Catamaran Health Solutions, LLC	DE	UIP	OptumRx Administrative Services, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	80-0870454		0001600637		Catamaran Holdings I, LLC	DE	UIP	OptumRx Group Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-0218027				Catamaran IPA III, Inc.	NY	NIA	Catamaran PBM of Illinois II, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	

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Q13.3		00000	27-4241298				Catamaran Mail, LLC	DE	NIA	Catamaran Health Solutions, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	27-3419292				Catamaran of Pennsylvania, LLC	DE	NIA	Catamaran Health Solutions, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	36-4049815		0001600742		Catamaran PBM of Illinois II, Inc.	IL	NIA	OptumRx PBM of Maryland, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	26-1424534				Catamaran PBM of Puerto Rico, LLC	NV	NIA	OptumRx PBM of Maryland, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	16-1767416				Catamaran PD of Pennsylvania, LLC	PA	NIA	Catamaran of Pennsylvania, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	26-1438879				Catamaran PD of Puerto Rico, LLC	NV	NIA	OptumRx PBM of Maryland, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	88-0373347				Catamaran Pharmacy of Nevada, Inc.	NV	NIA	Catamaran Health Solutions, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	26-3633484		0001601643		Catamaran Rebate Management, Inc.	NV	NIA	OptumRx PBM of Maryland, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1069737		0001600973		Catamaran S.á.r.l.	LUX	NIA	OptumRx Group Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	26-0543382				Catamaran Senior Services, LLC	AL	NIA	Optum Hospice Pharmacy Services, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1111491				Cemed Care - Empresa de Atendimento Clínico Geral Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1111491				Cemed Care - Empresa de Atendimento Clínico Geral Ltda.	BRA	NIA	Amico Saúde Ltda.	Ownership	0.0	UnitedHealth Group Incorporated	
		00000	98-1310461				Centro Médico PJ Ltda.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1310461				Centro Médico PJ Ltda.	BRA	NIA	Cemed Care - Empresa de Atendimento Clínico Geral Ltda.	Ownership	0.0	UnitedHealth Group Incorporated	
		00000					ChinaGate (Hong Kong) Limited	HKG	NIA	Optum, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1094627				ChinaGate Company Limited	CHN	NIA	ChinaGate (Hong Kong) Limited	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1277015				CMS – Central de Manipulação e Serviços Farmacêuticos S.A.	BRA	NIA	COI – Clínicas Oncológicas Integradas S.A.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	56-2674371				Coachella Valley Physicians of PrimeCare, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	27-1193028				Coalition For Advanced Pharmacy Services, Inc.	DE	NIA	Catamaran Health Solutions, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1276040				COI – Clínicas Oncológicas Integradas S.A.	BRA	NIA	COI Participações S.A.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1275801				COI Participações S.A.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	87.3	UnitedHealth Group Incorporated	
		00000	98-1275801				COI Participações S.A.	BRA	NIA	COI Participações S.A.	Ownership	12.7	UnitedHealth Group Incorporated	
		00000	27-2337616				Collaborative Care Holdings, LLC	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	27-2337487				Collaborative Care Services, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	27-3470466				Collaborative Care Solutions, LLC	DE	NIA	Collaborative Care Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	

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Q134	0707	UnitedHealth Group Incorporated	00000	45-2614005			Collaborative Realty, LLC	NY	NIA	Collaborative Care Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	11-3647007			Comfort Care Transportation, LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	61-1351358			Commonwealth Administrators, LLC	KY	NIA	UMR, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	20-1825933			Connexions HCI, LLC	FL	NIA	Connexions, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	59-3684411			Connexions, Inc.	FL	NIA	OptumHealth Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	20-5654789			Crescent Drug Corp.	NY	NIA	Salveo Specialty Pharmacy, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	26-0080565			Cypress Care, Inc.	DE	NIA	Healthcare Solutions, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
			00000				Day-Op Surgery Consulting Company, LLC	DE	NIA	ProHEALTH Medical Management, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	52-1811176			DBP Services of New York IPA, Inc.	NY	NIA	Dental Benefit Providers, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	52-1452809			Dental Benefit Providers of California, Inc.	CA	IA	Dental Benefit Providers, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
			52053	36-4008355			Dental Benefit Providers of Illinois, Inc.	IL	IA	Dental Benefit Providers, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	41-2014834			Dental Benefit Providers, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	98-1285762			Dilab Medicina Nuclear Ltda.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	85.0	UnitedHealth Group Incorporated	0000001
			00000	30-0238641			Distance Learning Network, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
			00000				Duncan Printing Services, LLC	SC	NIA	UnitedHealthcare Insurance Company	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	59-3625966			DWIC of Tampa Bay, Inc.	FL	NIA	MedExpress Development, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	84-1162764			Electronic Network Systems, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	98-1103713			ELG FZE	ARE	NIA	Frontier MEDEX Limited	Ownership	100.0	UnitedHealth Group Incorporated	
			00000				Empire Physician Management Co, LLC	CA	NIA	North American Medical Management California, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	0000004
			00000	98-1111172			Esho – Empresa de Serviços Hospitalares S.A.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	99.2	UnitedHealth Group Incorporated	0000005
			00000	98-1122399			Etho – Empresa de Tecnologia Hospitalar Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	71.9	UnitedHealth Group Incorporated	0000001
			00000	86-0964571			Evercare Collaborative Solutions, Inc.	DE	NIA	Ovations, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	98-1111239			Excellion Serviços Biomédicos Ltda.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	98-1111239			Excellion Serviços Biomédicos Ltda.	BRA	NIA	Cemed Care - Empresa de Atendimento Clínico Geral Ltda.	Ownership	0.0	UnitedHealth Group Incorporated	
			00000	11-3669765			Executive Health Resources, Inc.	PA	NIA	OptumInsight, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	

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Q13.5	UnitedHealth Group Incorporated	00000	98-1259260				Exploration for Mine Clearance LLC	IRQ	NIA	Frontier MEDEX Limited	Ownership	100.0	UnitedHealth Group Incorporated	0000002
		00000	88-0223385				Family Health Care Services	NV	NIA	Sierra Health Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	88-0257036				Family Home Hospice, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	26-4106571				First Rx Specialty and Mail Services, LLC	DE	NIA	Catamaran Health Solutions, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	35-2456267				FMG Holdings, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	86-0908902				FOR HEALTH OF ARIZONA, INC.	AZ	NIA	For Health, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	33-0766617				For Health, Inc.	DE	NIA	Inspiris, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1029201				Frontier MEDEX Limited	GBR	NIA	UnitedHealthcare International I B.V.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1172769				Frontier Medex Tanzania Limited	TZA	NIA	Frontier MEDEX Limited	Ownership	99.0	UnitedHealth Group Incorporated	
		00000	68-0679514				FrontierMEDEX (RMS), Inc.	DE	NIA	FMG Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	45-5339512				FrontierMEDEX Government Services, LLC	DE	NIA	FMG Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1147103				FrontierMEDEX Kenya Limited	KEN	NIA	Frontier MEDEX Limited	Ownership	99.9	UnitedHealth Group Incorporated	
		00000	98-1147103				FrontierMEDEX Kenya Limited	KEN	NIA	UnitedHealthcare International I B.V.	Ownership	0.1	UnitedHealth Group Incorporated	
		00000	98-1101521				FrontierMEDEX Limited	IRQ	NIA	Frontier MEDEX Limited	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	33-1219808				FrontierMEDEX US, Inc.	DE	NIA	FMG Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	52-2230470				FrontierMEDEX, Inc.	MN	NIA	FrontierMEDEX US, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	37-0920164				gethealthinsurance.com Agency Inc.	IN	NIA	Golden Rule Financial Corporation	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-3420886				Golden Outlook, Inc.	CA	NIA	Collaborative Care Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	37-0855360				Golden Rule Financial Corporation	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.0	UnitedHealth Group Incorporated	
		62286	37-6028756	003057283			Golden Rule Insurance Company	IN	IA	Golden Rule Financial Corporation	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	75-2196224				Guardian Health Systems Limited Partnership	OK	NIA	AxelaCare Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	75-2196224				Guardian Health Systems Limited Partnership	OK	NIA	AxelaCare Health Solutions, LLC	Ownership	0.1	UnitedHealth Group Incorporated	
		00000	98-0213198				H&W Indemnity (SPC), Ltd.	CYM	NIA	UnitedHealth Group Incorporated	Ownership	100.0	UnitedHealth Group Incorporated	
	UnitedHealth Group Incorporated	79480	35-1279304				Harken Health Insurance Company	WI	IA	UnitedHealthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	23-2171049				Health Business Systems, Inc.	PA	NIA	OptumRx Administrative Services, LLC	Ownership	100.0	UnitedHealth Group Incorporated	

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PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Comp- any Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domic- iliary Loca- tion	Rela- tion- ship to Report- ing Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	*
Q136	0707 ... UnitedHealth Group Incorporated	43893 00000	13-3584296 98-0153069				Health Net Insurance of New York, Inc. Health Net Services (Bermuda) Ltd.	NY BMU	IA NIA	Oxford Health Plans LLC Oxford Health Plans LLC	Ownership Ownership	100.0 100.0	UnitedHealth Group Incorporated	
	0707 ... UnitedHealth Group Incorporated	96342 00000	88-0201035 98-1098167				Health Plan of Nevada, Inc. Health Technology Analysts Pty Limited ..	NV AUS	IA NIA	Sierra Health Services, Inc. OptumInsight, Inc.	Ownership Ownership	100.0 100.0	UnitedHealth Group Incorporated	
		00000	95-4763349				HealthAllies, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	77-0693060				Healthcare Solutions, Inc.	DE	NIA	OptumRx Administrative Services, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	84-1472832				Highlands Ranch Healthcare, LLC	CO	NIA	Urgent Care MSO, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	93-1103256				Home Care I.V. of Bend, LLC	OR	NIA	SCP Specialty Infusion, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	27-0668812				Home Infusion With Heart, LLC	NE	NIA	AxelaCare Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-8910978				Hospice Inspiris Holdings, Inc.	TN	NIA	Inspiris, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1286038				Hospitais Associados de Pernambuco Ltda.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	90.0	UnitedHealth Group Incorporated	0000001
		00000	98-1111920				Hospital Alvorada de Taguatinga Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A. ..	Ownership	79.6	UnitedHealth Group Incorporated	
		00000	98-1111920				Hospital Alvorada de Taguatinga Ltda.	BRA	NIA	Bosque Medical Center Ltda.	Ownership	20.4	UnitedHealth Group Incorporated	
		00000	98-1202916				Hospital AMA S.A.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	50.5	UnitedHealth Group Incorporated	
		00000	98-1202916				Hospital AMA S.A.	BRA	NIA	Seisa Serviços Integrados de Saúde Ltda. ..	Ownership	49.5	UnitedHealth Group Incorporated	
		00000	98-1203135				Hospital Carlos Chagas S.A.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1310065				Hospital de Clínicas de Jacarepaguá Ltda.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1310065				Hospital de Clínicas de Jacarepaguá Ltda.	BRA	NIA	Cemed Care - Empresa de Atendimento Clínico Geral Ltda.	Ownership	0.0	UnitedHealth Group Incorporated	
		00000	98-1284950				Hospital Maternidade Promater Ltda.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1284950				Hospital Maternidade Promater Ltda.	BRA	NIA	Seisa Serviços Integrados de Saúde Ltda.	Ownership	0.0	UnitedHealth Group Incorporated	
		00000	98-1328324				Hospital Samaritano de São Paulo Ltda.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1328324				Hospital Samaritano de São Paulo Ltda.	BRA	NIA	Hospital Alvorada de Taguatinga Ltda.	Ownership	0.0	UnitedHealth Group Incorporated	
		00000	26-2912304		0001441730		Humedica, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	36-4331825				Hygeia Corporation	DE	NIA	UnitedHealth International, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1099968				Hygeia Corporation	CAN	NIA	UnitedHealth Group International L.P.	Ownership	100.0	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q13.7

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Group Code	Group Name	NAIC Comp- any Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domic- iliary Loca- tion	Rela- tion- ship to Report- ing Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	*
		00000					Imed Star – Serviços de Desempenho Organizacional Ltda.	BRA	NIA	Optum Health & Technology Serviços do Brasil Ltda.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					Imed Star – Serviços de Desempenho Organizacional Ltda.	BRA	NIA	UHG Brasil Participações S.A.	Ownership	0.0	UnitedHealth Group Incorporated	
		00000					Impel Consulting Experts, L.L.C.	TX	NIA	Impel Management Services, L.L.C.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					Impel Management Services, L.L.C.	TX	NIA	USMD Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	47-0941801				Infusource, LLC	CA	NIA	SCP Specialty Infusion, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	62-1641102				Ingram & Associates, LLC	TN	NIA	Optum360, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	88-0482274				inPharmative, Inc.	NV	NIA	Catamaran Health Solutions, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	13-4138668				INSPIRIS of New York IPA, Inc.	NY	NIA	Inspiris, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	13-4138665				INSPIRIS of New York Management, Inc.	NY	NIA	Inspiris, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-5355196				Inspiris of Tennessee, Inc.	TN	NIA	Inspiris, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	26-2885572				INSPIRIS of Texas Physician Group	TX	NIA	Inspiris Services Company	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	26-0683057				Inspiris Services Company	TN	NIA	Inspiris, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	33-0766366				Inspiris, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1097022				International Psychological Services Pty Limited	AUS	NIA	Optum Health & Technology (Australia) Pty Ltd	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					IRX Financing I LLC	DE	NIA	OptumRx Administrative Services, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	57-1089983				IV Specialists, Inc.	SC	NIA	Ambient Healthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	32-0409538				Lifeprint Accountable Care Organization, LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	45-3143218				Lifeprint East, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	27-2309024				LifePrint Health, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	39-1974851				Logistics Health, Inc.	WI	NIA	OptumHealth Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1137620				Lusiadas - Parcerias Cascais, S.A.	PRT	NIA	Lusiadas, SGPS, S.A.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1139095				Lusiadas A.C.E.	PRT	NIA	Lusiadas, SGPS, S.A.	Ownership	70.0	UnitedHealth Group Incorporated	
		00000	98-1139095				Lusiadas A.C.E.	PRT	NIA	Lusiadas, S.A.	Ownership	20.0	UnitedHealth Group Incorporated	
		00000	98-1139095				Lusiadas A.C.E.	PRT	NIA	Lusiadas - Parcerias Cascais, S.A.	Ownership	10.0	UnitedHealth Group Incorporated	
		00000	98-1139089				Lusiadas, S.A.	PRT	NIA	Lusiadas, SGPS, S.A.	Ownership	100.0	UnitedHealth Group Incorporated	

SCHEDULE Y

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	*
0707 ..	UnitedHealth Group Incorporated	00000	98-1138570 ..				Lusiadas, SGPS, S.A.	PRT	NIA	Amil International	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1315536 ..				Mamoeco – Mamografia e Ecografia, Centro de Diagnóstico, Lda.	PRT	NIA	Lusiadas, S.A.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	52-2129787 ..				MAMSI Insurance Resources, LLC	MD	NIA	OneNet PPO, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		60321	52-1803283 ..				MAMSI Life and Health Insurance Company	MD	IA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	14-1782475 ..				Managed Physical Network, Inc.	NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-2880404 ..				March Holdings, Inc.	CA	NIA	Specialty Benefits, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-3042852 ..				March Vision Care, Inc.	CA	IA	March Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					Mat-Rx Development, L.L.C.	TX	NIA	USMD Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
0707 ..	UnitedHealth Group Incorporated	00000					Mat-Rx Fort Worth GP, L.L.C.	TX	NIA	Mat-Rx Development, L.L.C.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	42-1741594 ..				MD Ops, Inc.	CA	NIA	North American Medical Management California, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		96310	52-1169135 ..				MD-Individual Practice Association, Inc.	MD	IA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					Medalliance Net Ltda.	BRA	NIA	Optum Health & Technology Serviços do Brasil Ltda.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					Medalliance Net Ltda.	BRA	NIA	UHG Brasil Participações S.A.	Ownership	0.0	UnitedHealth Group Incorporated	
		00000	52-2178531 ..				MEDEX Insurance Services, Inc.	MD	NIA	FrontierMEDEX, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-3824377 ..				MedExpress Development, LLC	FL	NIA	Urgent Care MSO, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-2545363 ..				MedExpress Urgent Care of Boynton Beach, LLC	FL	NIA	MedExpress Development, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
0707 ..	UnitedHealth Group Incorporated	00000					MedExpress Urgent Care, Inc. - Ohio	OH	NIA	Urgent Care Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		12756	20-3391186 ..				Medica Health Plans of Florida, Inc.	FL	IA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		12155	01-0788576 ..				Medica HealthCare Plans, Inc.	FL	IA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					Medical Clinic of North Texas PLLC	TX	NIA	USMD Affiliated Services	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	26-4808018 ..				Medical Preparatory School of Allied Health, LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	32-0037402 ..				Medical Transportation Services, LLC	FL	NIA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	75-2682287 ..				MedSynergies North Texas, Inc.	TX	NIA	MedSynergies, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	75-2515691 ..		0001012564		MedSynergies, Inc.	DE	NIA	Mustang Razorback Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
00000					Metro I Stone Management Ltd.	TX	NIA	USMD Inc.	Ownership	60.0	UnitedHealth Group Incorporated	0000001		

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Q13.9		00000	27-2252446				MHC Real Estate Holdings, LLC	CA	NIA	Monarch Management Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-4515146				Mission Road Pharmacy, Inc.	CA	NIA	Salveo Specialty Pharmacy, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	46-3949765				MN Waypoint Sports Physical Therapy, Inc.	DE	NIA	Orthology, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					Mobile Medical Professionals, Inc.	IA	NIA	TeamMD Iowa, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	31-1191553				Modern Medical, Inc.	OH	NIA	Healthcare Solutions, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	45-3142852				Monarch Management Services, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					MSLA Management LLC	DE	NIA	Logistics Health, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1285432				Multiangio Ltda	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	68.0	UnitedHealth Group Incorporated	0000001
		00000	47-1935798				Mustang Razorback Holdings, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					MXMD Centros De Cancer, S. De R.L. De C.V.	MX	NIA	USMD Cancer Treatment Centers, L.L.C.	Ownership	50.0	UnitedHealth Group Incorporated	0000001
		00000	20-3236839				NAMM Holdings, Inc.	DE	NIA	Aveta Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
	0707	UnitedHealth Group Incorporated	95251	76-0196559			National Pacific Dental, Inc.	TX	IA	Dental Benefit Providers, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
	0707	UnitedHealth Group Incorporated	95123	65-0996107			Neighborhood Health Partnership, Inc.	FL	IA	UnitedHealthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	20-4755277			Netwerkes, LLC	TN	NIA	Payment Resolution Services, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	46-3584152			Nevada Medical Services LLC	NV	NIA	Collaborative Care Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
	0707	UnitedHealth Group Incorporated	95758	88-0228572			Nevada Pacific Dental	NV	IA	Dental Benefit Providers, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	36-3984647			North American Medical Management - Illinois, Inc.	IL	NIA	NAMM Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	33-0673955			North American Medical Management California, Inc.	TN	NIA	NAMM Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	88-0245121			Northern Nevada Health Network, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	63-0954765			Nutritional/Parenteral Home Care of Decatur, Inc.	AL	NIA	Nutritional/Parenteral Home Care, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	63-0964525			Nutritional/Parenteral Home Care of Huntsville, Inc.	AL	NIA	Nutritional/Parenteral Home Care, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	63-1284325			Nutritional/Parenteral Home Care, Inc.	AL	NIA	Ambient Healthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	52-2129786			OneNet PPO, LLC	MD	NIA	UnitedHealthcare Insurance Company	Ownership	100.0	UnitedHealth Group Incorporated	
	0707	UnitedHealth Group Incorporated	96940	52-1518174			Optimum Choice, Inc.	MD	IA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
			00000	47-0858534	003202702		Optum Bank, Inc.	UT	NIA	OptumHealth Financial Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Q13.10		00000	36-3437660				Optum Biometrics, Inc.	IL	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	45-3142512				Optum Clinical Services, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	37-1782217		0001641013		Optum Clinics Holdings, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	97.2	UnitedHealth Group Incorporated	0000001
		00000	38-3969193				Optum Clinics Intermediate Holdings, Inc.	DE	NIA	Optum Clinics Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1325466				Optum Global Finance (Ireland) Unlimited Company	IRL	NIA	Optum Global Solutions (Ireland) Limited	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1103015				Optum Global Solutions (India) Private Limited	IND	NIA	Optum Global Solutions International B.V.	Ownership	99.9	UnitedHealth Group Incorporated	
		00000	98-1103015				Optum Global Solutions (India) Private Limited	IND	NIA	UnitedHealth International, Inc.	Ownership	0.1	UnitedHealth Group Incorporated	
		00000	98-1307821				Optum Global Solutions (Ireland) Limited	IRL	NIA	UnitedHealthcare International II S.á.r.l.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1097776				Optum Global Solutions (Philippines), Inc.	PHL	NIA	Optum Global Solutions International B.V.	Ownership	100.0	UnitedHealth Group Incorporated	0000002
		00000	98-1201187				Optum Global Solutions International B.V.	NLD	NIA	Optum Technology, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	04-3574101				Optum Government Solutions, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					Optum Health & Technology (Australia) Pty Ltd	AUS	NIA	Optum UK Solutions Group Limited	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1095799				Optum Health & Technology (India) Private Limited	IND	NIA	OptumHealth International B.V.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1095799				Optum Health & Technology (India) Private Limited	IND	NIA	United Behavioral Health	Ownership	0.0	UnitedHealth Group Incorporated	
		00000					Optum Health & Technology (Singapore) Pte. Ltd.	SGP	NIA	OptumHealth International B.V.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1095879				Optum Health & Technology (UK) Limited	GBR	NIA	Optum UK Solutions Group Limited	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-2149493				Optum Health & Technology (US), LLC	MO	NIA	United Behavioral Health	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	43-1747235				Optum Health & Technology Holdings (US), LLC	MO	NIA	Optum, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1184561				Optum Health & Technology Serviços do Brasil Ltda.	BRA	NIA	Optum Global Solutions International B.V.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1184561				Optum Health & Technology Serviços do Brasil Ltda.	BRA	NIA	OptumInsight, Inc.	Ownership	0.0	UnitedHealth Group Incorporated	
		00000	98-1276517				Optum Health and Technology FZ-LLC	ARE	NIA	Optum Global Solutions International B.V.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1097921				Optum Health Services (Canada) Ltd.	CAN	NIA	Optum Health & Technology Holdings (US), LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1147355				Optum Health Solutions (UK) Limited	GBR	NIA	Optum UK Solutions Group Limited	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	58-2068880		0001460656		Optum Healthcare of Illinois, Inc.	GA	NIA	Optum Women's and Children's Health, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-0212381				Optum Hospice Pharmacy Services, LLC	DE	NIA	Catamaran Health Solutions, LLC	Ownership	100.0	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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0707	UnitedHealth Group Incorporated	6964700000	31-062842446-4734521				Optum Insurance of Ohio, Inc.	OH	RE	OptumRx PBM of Maryland, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
							Optum Labs Dimensions, Inc.	DE	NIA	Optum Labs, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1249178				Optum Labs International (UK) Ltd.	GBR	NIA	Optum, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	46-1615964				Optum Labs, Inc.	DE	NIA	Optum, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1209730				Optum Life Sciences (Canada) Inc.	CAN	NIA	OptumInsight Life Sciences, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1098190				Optum Management Consulting (Shanghai) Co., Ltd.	CHN	NIA	Optum Health & Technology Holdings (US), LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	90-1001805				Optum Nevada Accountable Care Organization LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-8911466				Optum Palliative and Hospice Care of Pennsylvania, Inc.	TN	NIA	Hospice Inspiris Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-8911303				Optum Palliative and Hospice Care of Texas, Inc.	TN	NIA	Hospice Inspiris Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
							Optum Palliative and Hospice Care, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-4581265				Optum Public Sector Solutions, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	46-3328009				Optum Rocket, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	45-4683454				Optum Services, Inc.	DE	NIA	Optum, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					Optum Solutions do Brasil – Tecnologia e Serviços de Suporte Ltda.	BRA	NIA	Optum Global Solutions International B.V.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					Optum Solutions do Brasil – Tecnologia e Serviços de Suporte Ltda.	BRA	NIA	OptumHealth International B.V.	Ownership	0.0	UnitedHealth Group Incorporated	
		00000	98-0644599				Optum Solutions UK Holdings Limited	GBR	NIA	Optum, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	46-5713629				Optum Technology, Inc.	DE	NIA	Optum, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1097769				Optum UK Solutions Group Limited	GBR	NIA	Optum Solutions UK Holdings Limited	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	58-2205984		0001460641		Optum Women's and Children's Health, LLC	DE	NIA	Alere Health, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	30-0580620	003119994			Optum, Inc.	DE	UIP	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	46-3983926				Optum360 Services, Inc.	DE	NIA	Optum Rocket, Inc.	Ownership	69.0	UnitedHealth Group Incorporated	
		00000	46-3328307				Optum360, LLC	DE	NIA	Optum Rocket, Inc.	Ownership	75.0	UnitedHealth Group Incorporated	0000001
		00000	41-1591944				OptumHealth Care Solutions, Inc.	MN	NIA	OptumHealth Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	0000001
		00000	47-0858530				OptumHealth Financial Services, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	47-1192395				OptumHealth Holdings, LLC	DE	NIA	Optum, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q13.12

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	*
013.12		00000	98-1106868				OptumHealth International B.V.	NLD	NIA	Optum, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					OptumInsight Holdings, LLC	DE	NIA	Optum, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	04-3383745				OptumInsight Life Sciences, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	41-1858498				OptumInsight, Inc.	DE	NIA	OptumInsight Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	75-2578509		0001600762		OptumRx Administrative Services, LLC ..	TX	UIP	Catamaran Holdings I, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	31-1728846				OptumRx Discount Card Services, LLC ..	DE	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	47-4734235				OptumRx Group Holdings, Inc.	DE	UIP	Optum, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					OptumRx Holdings, LLC	DE	NIA	Optum, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	47-1734077				OptumRx Home Delivery of Illinois, LLC ..	IL	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	34-1472211				OptumRx Home Delivery of Ohio, Inc.	OH	NIA	First Rx Specialty and Mail Services, LLC ..	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-0151096				OptumRx NY IPA, Inc.	NY	NIA	OptumRx, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	11-2581812		0001600760		OptumRx PBM of Illinois, Inc.	DE	NIA	OptumRx Administrative Services, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	88-0361447		0001600759		OptumRx PBM of Maryland, Inc.	NV	UDP	Catamaran Health Solutions, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	03-0592263		0001601641		OptumRx PBM of Pennsylvania, LLC	PA	NIA	Catamaran of Pennsylvania, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	38-3693753				OptumRx PBM of Wisconsin, LLC	WI	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	61-1485410				OptumRx PD of Maryland, Inc.	NV	NIA	OptumRx PBM of Maryland, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	47-3146510				OptumRx Pharmacy, Inc.	DE	NIA	OptumRx, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	33-0441200				OptumRx, Inc.	CA	NIA	OptumRx Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	46-2881462				Orthology Mid-Atlantic, Inc.	DE	NIA	Orthology, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	46-2742615				Orthology, Inc.	DE	NIA	UnitedHealth Group Ventures, LLC	Ownership	80.0	UnitedHealth Group Incorporated	0000001
		00000	13-3960641		0001342696		OrthoNet Holdings, Inc.	DE	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	13-3818652				OrthoNet LLC	NY	NIA	OrthoNet Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	13-4025898				OrthoNet New York IPA, Inc.	NY	NIA	OrthoNet Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	30-0029448				OrthoNet of the Mid-Atlantic, Inc.	DE	IA	OrthoNet Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	26-2884306				OrthoNet of the South, Inc.	DE	NIA	OrthoNet Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q13.13

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	*
0707	UnitedHealth Group Incorporated	00000	46-1581769				OrthoNet Services, Inc.	DE	NIA	OrthoNet Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-0221966				OrthoNet West, Inc.	DE	NIA	OrthoNet Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	41-1921007				Ovations, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	06-1587795				Oxford Benefit Management, Inc.	CT	NIA	Oxford Health Plans LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		78026	22-2797560				Oxford Health Insurance, Inc.	NY	IA	UnitedHealthcare Insurance Company	Ownership	100.0	UnitedHealth Group Incorporated	
		96798	06-1181201				Oxford Health Plans (CT), Inc.	CT	IA	Oxford Health Plans LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		95506	22-2745725				Oxford Health Plans (NJ), Inc.	NJ	IA	Oxford Health Plans LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		95479	06-1181200				Oxford Health Plans (NY), Inc.	NY	IA	Oxford Health Plans LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	52-2443751				Oxford Health Plans LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	46-3531389				P2 Lower Acquisition, LLC	DE	NIA	Progressive Enterprises Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		70785	35-1137395				PacifiCare Life and Health Insurance Company	IN	IA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		84506	95-2829463				PacifiCare Life Assurance Company	CO	IA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		95617	94-3267522		0001225819		PacifiCare of Arizona, Inc.	AZ	IA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		95434	84-1011378		0001225822		PacifiCare of Colorado, Inc.	CO	IA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		95685	86-0875231		0001225835		PacifiCare of Nevada, Inc.	NV	IA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	62-1451147				Payment Resolution Services, LLC	TN	NIA	OptumInsight, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	75-3265056				PCCCV, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-2447772				PCN DE Corp.	DE	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					Perham Physical Therapy, LTD.	MN	NIA	Orthology, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	68-0044962				Pharmaceutical Care Network	CA	NIA	PCN DE Corp.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	04-3722897				Pharmacy Review Services, LLC	FL	NIA	Tmesys, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	35-2288416				PHC Subsidiary Holdings, LLC	TX	NIA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-8016933				PHYS Holding Corp.	DE	NIA	MedSynergies, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-8016984				PhyServe Holdings, Inc.	DE	NIA	MedSynergies, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	80-0654665				Physician Care Partners, Inc.	IL	NIA	North American Medical Management - Illinois, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Comp- any Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domic- iliary Loca- tion	Rela- tion- ship to Report- ing Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	*
Q13.14	0707 ... UnitedHealth Group Incorporated	11494 00000	04-3677255 52-1162824				Physicians Health Choice of Texas, LLC Physicians Health Plan of Maryland, Inc.	TX MD	IA NIA	PHC Subsidiary Holdings, LLC United HealthCare Services, Inc.	Ownership Ownership	100.0 100.0	UnitedHealth Group Incorporated	
		00000					Plus One Health Management Puerto Rico, Inc.	PR	NIA	Plus One Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	13-3613705				Plus One Holdings, Inc.	DE	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	80-0670247				PMI Acquisition, LLC	DE	NIA	PROGRESSIVE SOLUTIONS, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	27-0920536				PMSI Holdco II, LLC	DE	NIA	P2 Lower Acquisition, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	26-3148744				PMSI Holdings, LLC	DE	NIA	PMSI Holdco II, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	59-3166848				PMSI Settlement Solutions, LLC	FL	NIA	PMSI Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	56-2422696				PMSI, LLC	FL	NIA	PMSI Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1083164				Polar II Fundo de Investimento em Participações	BRA	NIA	UnitedHealthcare International IV S.á.r.l.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	47-5563848				Polo Holdco, LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	80.1	UnitedHealth Group Incorporated	0000001
		00000	75-2741619				ppoONE, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	65-0683927				Preferred Care Partners Holding, Corp.	FL	NIA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	26-1845018				Preferred Care Partners Medical Group, Inc.	FL	NIA	Preferred Care Partners Holding, Corp.	Ownership	100.0	UnitedHealth Group Incorporated	
	0707 ... UnitedHealth Group Incorporated	11176 00000	65-0885893 75-3265059				Preferred Care Partners, Inc. Premier Choice ACO, Inc.	FL CA	IA NIA	Preferred Care Partners Holding, Corp. PrimeCare Medical Network, Inc.	Ownership Ownership	100.0 100.0	UnitedHealth Group Incorporated	
		00000	88-0253112				Prime Health, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	33-0607478				PrimeCare Medical Network, Inc.	CA	IA	NAMM Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	87-0757397				PrimeCare of Citrus Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	80.0	UnitedHealth Group Incorporated	
		00000	33-0674407				PrimeCare of Corona, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	0000001
		00000	33-0674401				PrimeCare of Hemet Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	33-0674408				PrimeCare of Inland Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	33-0674402				PrimeCare of Moreno Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	33-0674400				PrimeCare of Redlands, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	33-0674404				PrimeCare of Riverside, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Comp- any Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domic- iliary Loca- tion	Rela- tion- ship to Report- ing Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	*
Q13.15		00000	14-1915328				PrimeCare of San Bernardino, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	33-0698439				PrimeCare of Sun City, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	33-0674409				PrimeCare of Temecula, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	14-1873402				Procura Management, Inc.	DE	NIA	Healthcare Solutions, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	27-4371197				Progressive Enterprises Holdings, Inc.	DE	NIA	OptumRx, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	31-1192384				Progressive Medical, LLC	OH	NIA	PMI Acquisition, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	90-0642980				PROGRESSIVE SOLUTIONS, LLC	DE	NIA	P2 Lower Acquisition, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	32-0229091				ProHEALTH Fitness of Lake Success, LLC	NY	NIA	ProHEALTH Medical Management, LLC	Ownership	82.6	UnitedHealth Group Incorporated	0000001
		00000	47-1049961				ProHEALTH Medical Management, LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	80.0	UnitedHealth Group Incorporated	0000001
		00000	45-5470737				ProHealth Physicians ACO, LLC	CT	NIA	ProHealth Physicians, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	06-1446075				ProHealth Physicians, Inc.	CT	NIA	Polo Holdco, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	32-0455430				ProHealth Proton Center Management, LLC	DE	NIA	ProHEALTH Medical Management, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	57-0861358				Pronetics Health Care Group, Inc.	SC	NIA	Ambient Healthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	52-2016292				Quality Software Services, Inc.	MD	NIA	OptumInsight, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	26-3168754				R&H Family Fitness Unlimited LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	35-2493256				Rally Health, Inc.	DE	NIA	Optum Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	80-0947972				Real Appeal, Inc.	DE	NIA	UnitedHealth Group Ventures, LLC	Ownership	98.0	UnitedHealth Group Incorporated	
		00000	45-2219585		0001522802		Salveo Specialty Pharmacy, Inc.	DE	NIA	OptumRx Administrative Services, LLC	Ownership	100.0	UnitedHealth Group Incorporated	0000002
		00000	27-2635371				SCP Specialty Infusion, LLC	DE	NIA	AxelaCare Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	88-0492251				ScripNet, LLC	DE	NIA	Healthcare Solutions, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					ScriptSwitch Limited	GBR	NIA	Optum UK Solutions Group Limited	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1202716				Seisa Serviços Integrados de Saúde Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1202716				Seisa Serviços Integrados de Saúde Ltda.	BRA	NIA	Cemed Care - Empresa de Atendimento Clínico Geral Ltda.	Ownership	0.0	UnitedHealth Group Incorporated	
		00000	20-4763091				Senior Care Partners, Inc.	IL	NIA	North American Medical Management - Illinois, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	27-1533951		0001487203		Serquinox Holdings LLC	DE	NIA	AxelaCare Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	*
013.16	UnitedHealth Group Incorporated	00000	27-1533840				Serquinox LLC	DE	NIA	Serquinox Holdings LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		71420	94-0734860				Sierra Health and Life Insurance Company, Inc.	NV	IA	Sierra Health Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	88-0200415		0000754009		Sierra Health Services, Inc.	NV	NIA	UnitedHealthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	88-0254322				Sierra Health-Care Options, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	88-0385705				Sierra Home Medical Products, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	88-0264562				Sierra Nevada Administrators, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-3741084				Sirona Infusion, L.L.C.	AZ	NIA	SCP Specialty Infusion, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	88-0201420				Southwest Medical Associates, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	38-2609888				Southwest Michigan Health Network Inc.	MI	NIA	UnitedHealthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	41-1921983				Specialty Benefits, LLC	DE	NIA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	71-0886811				Spectera of New York, IPA, Inc.	NY	NIA	Spectera, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	52-1260282				Spectera, Inc.	MD	NIA	Specialty Benefits, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					Sports and Spinal Physical Therapy, Inc.	DC	NIA	Orthology, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	46-5587702				Spotlite, Inc.	DE	NIA	Rally Health, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	27-0008097				StoneRiver P2P Link, LLC	DE	NIA	StoneRiver Pharmacy Solutions, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	62-1770924				StoneRiver Pharmacy Solutions, LLC	DE	NIA	PROGRESSIVE SOLUTIONS, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	90-0884047				Summit Home Infusion, LLC	DE	NIA	AxelaCare Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					SXC Comet, LLC	DE	NIA	OptumRx Administrative Services, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	46-1536748				Symphonix Health Holdings, LLC	DE	NIA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
	UnitedHealth Group Incorporated	84549	38-2044243				Symphonix Health Insurance, Inc.	IL	IA	Symphonix Health Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					TeamMD Holdings, Inc.	DE	NIA	UnitedHealth Group Ventures, LLC	Ownership	80.0	UnitedHealth Group Incorporated	
		00000					TeamMD Iowa, Inc.	DE	NIA	TeamMD Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	0000001
		00000	56-1970224		0001284028		The Lewin Group, Inc.	NC	NIA	Optum Public Sector Solutions, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	25-1825549				Three Rivers Holdings, Inc.	DE	NIA	AmeriChoice Corporation	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	59-3143128				Tmesys, LLC	FL	NIA	PMSI Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q13.17

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	*
0707	UnitedHealth Group Incorporated	00000	98-1287904				Topimagem Diagnóstico por Imagem Ltda.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	89.0	UnitedHealth Group Incorporated	0000001
		00000	52-1431155				Travel Express Incorporated	MD	NIA	FrontierMEDEX, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-4963945				Trinity Infusion, Inc.	NC	NIA	Ambient Healthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	94-3077084				U.S. Behavioral Health Plan, California	CA	IA	United Behavioral Health	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1113428				UHC Global Health Services BC Ltd.	CAN	NIA	UnitedHealthcare Global Canada Limited	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	41-1913059				UHC International Services, Inc.	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	95-2931460		0001225831		UHC of California	CA	IA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					UHG Brasil Participações S.A.	BRA	NIA	Polar II Fundo de Investimento em Participações	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	41-1921008				UHC Holdings, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	14-1892398				Ultima Rx, LLC	FL	NIA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	39-1995276				UMR, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
	UnitedHealth Group Incorporated	91529	52-1996029				Unimerica Insurance Company	WI	IA	OptumHealth Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		11596	01-0637149				Unimerica Life Insurance Company of New York	NY	IA	UnitedHealthcare Insurance Company	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	25-1877716				Unison Administrative Services, LLC	PA	NIA	Three Rivers Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-5917714				Unison Health Plan of Delaware, Inc.	DE	IA	Three Rivers Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
	UnitedHealth Group Incorporated	13032	26-0651931				Unison Health Plan of the Capital Area, Inc.	DC	IA	Three Rivers Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	94-2649097				United Behavioral Health	CA	NIA	OptumHealth Holdings, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	41-1868911				United Behavioral Health of New York, I.P.A., Inc.	NY	NIA	United Behavioral Health	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	41-1941615				United Health Foundation	MN	NIA	UnitedHealth Group Incorporated	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	41-1289245	003410132	0001310133		United HealthCare Services, Inc.	MN	UIP	UnitedHealth Group Incorporated	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	30-0318238				United Resource Networks IPA of New York, Inc.	NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	01-0538317				UnitedHealth Advisors, LLC	ME	NIA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1097761				UnitedHealth Group Global Healthcare Services Limited	IRL	NIA	Optum Global Solutions International B.V.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	41-1321939	004665014	0000731766	New York Stock Exchange	UnitedHealth Group Incorporated	DE	UIP					
		00000	98-1093259				UnitedHealth Group Information Services Private Limited	IND	NIA	Optum Global Solutions (India) Private Limited	Ownership	100.0	UnitedHealth Group Incorporated	

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
		NAIC Comp- any Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domic- iliary Loca- tion	Relation- ship to Report- ing Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	
Group Code	Group Name													*
		00000	98-1079826				UnitedHealth Group International GP	CYM	NIA	UnitedHealth Group Incorporated	Ownership	100.0	UnitedHealth Group	
		00000	98-1080118				UnitedHealth Group International L.P.	CYM	NIA	UnitedHealth Group International GP	Ownership	85.0	Incorporated	0000005
		00000	98-1080118				UnitedHealth Group International L.P.	CYM	NIA	FMG Holdings, LLC	Ownership	14.8	UnitedHealth Group	0000005
		00000	98-1080118				UnitedHealth Group International L.P.	CYM	NIA	Hygeia Corporation	Ownership	0.2	Incorporated	0000005
		00000	46-3311984				UnitedHealth Group Ventures, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.0	UnitedHealth Group	
		00000	41-1917398				UnitedHealth International, Inc.	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.0	Incorporated	
		00000	26-2574977				UnitedHealth Military & Veterans Services, LLC	DE	NIA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group	
		00000	98-0559902				UnitedHealth UK Limited	GBR	NIA	Optum Solutions UK Holdings Limited	Ownership	100.0	Incorporated	
0707	UnitedHealth Group Incorporated	9517400000	33-011516347-3221444		0001225840		UnitedHealthcare Benefits of Texas, Inc.	TX	IA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group	
		00000	46-4348775				UnitedHealthcare Benefits Plan of California	CA	IA	United HealthCare Services, Inc.	Ownership	100.0	Incorporated	
							UnitedHealthcare Community Plan of California, Inc.	CA	IA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group	
0707	UnitedHealth Group Incorporated	13168	26-2688274				UnitedHealthcare Community Plan of Georgia, Inc.	GA	IA	AmeriChoice Corporation	Ownership	100.0	Incorporated	
0707	UnitedHealth Group Incorporated	12323	56-2451429				UnitedHealthcare Community Plan of Ohio, Inc.	OH	IA	Three Rivers Holdings, Inc.	Ownership	100.0	UnitedHealth Group	
0707	UnitedHealth Group Incorporated	11141	91-2008361				UnitedHealthcare Community Plan of Texas, L.L.C.	TX	IA	Ovations, Inc.	Ownership	100.0	Incorporated	
		9546700000	38-320405298-1200034				UnitedHealthcare Community Plan, Inc.	MI	IA	AmeriChoice Corporation	Ownership	100.0	UnitedHealth Group	
		00000	98-1199879				UnitedHealthcare Consulting & Assistance Service (Beijing) Co., Ltd.	CHN	NIA	UnitedHealthcare International I B.V.	Ownership	100.0	Incorporated	
		00000	98-1104429				UnitedHealthcare Europe S.à.r.l.	LUX	NIA	UnitedHealthcare International I S.à.r.l.	Ownership	100.0	UnitedHealth Group	
		00000	98-1099116				UnitedHealthcare Global Canada Limited	CAN	NIA	UnitedHealthcare International I B.V.	Ownership	100.0	Incorporated	
		00000	98-1099116				UnitedHealthcare India Private Limited	IND	NIA	UnitedHealthcare International II B.V.	Ownership	100.0	UnitedHealth Group	
		00000	98-1099116				UnitedHealthcare India Private Limited	IND	NIA	UnitedHealth International, Inc.	Ownership	0.0	Incorporated	
0707	UnitedHealth Group Incorporated	79413	36-2739571				UnitedHealthcare Insurance Company	CT	IA	UHC Holdings, Inc.	Ownership	100.0	UnitedHealth Group	
0707	UnitedHealth Group Incorporated	60318	36-3800349				UnitedHealthcare Insurance Company of Illinois	IL	IA	UnitedHealthcare Insurance Company	Ownership	100.0	UnitedHealth Group	
0707	UnitedHealth Group Incorporated	60093	11-3283886				UnitedHealthcare Insurance Company of New York	NY	IA	UnitedHealthcare Insurance Company	Ownership	100.0	UnitedHealth Group	
0707	UnitedHealth Group Incorporated	1223100000	20-190276886-0618309				UnitedHealthcare Insurance Company of the River Valley	IL	IA	UnitedHealthcare Services Company of the River Valley, Inc.	Ownership	100.0	UnitedHealth Group	
							UnitedHealthcare Integrated Services, Inc.	AZ	IA	Ovations, Inc.	Ownership	100.0	Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q13.19

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Comp- any Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domic- iliary Loca- tion	Rela- tion- ship to Report- ing Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	*
0707	UnitedHealth Group Incorporated	00000	41-1988797				UnitedHealthcare International Asia, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1100512				UnitedHealthcare International I B.V.	NLD	NIA	UnitedHealth Group International L.P.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1079595				UnitedHealthcare International I S.á.r.l.	LUX	NIA	UnitedHealth Group International L.P.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1100980				UnitedHealthcare International II B.V.	NLD	NIA	UnitedHealthcare International I B.V.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1079459				UnitedHealthcare International II S.á.r.l.	LUX	NIA	UnitedHealthcare Europe S.á.r.l.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1077436				UnitedHealthcare International III S.á.r.l.	LUX	NIA	UnitedHealthcare Europe S.á.r.l.	Ownership	70.0	UnitedHealth Group Incorporated	
		00000	98-1077436				UnitedHealthcare International III S.á.r.l.	LUX	NIA	UnitedHealthcare International II S.á.r.l.	Ownership	30.0	UnitedHealth Group Incorporated	
		00000	98-1080926				UnitedHealthcare International IV S.á.r.l.	LUX	NIA	UnitedHealthcare International II S.á.r.l.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	98-1257473				UnitedHealthcare International V S.á.r.l.	LUX	NIA	Optum Global Solutions (Ireland) Limited	Ownership	100.0	UnitedHealth Group Incorporated	
		97179	86-0207231				UnitedHealthcare Life Insurance Company	WI	IA	Golden Rule Financial Corporation	Ownership	100.0	UnitedHealth Group Incorporated	
		95784	63-0899562				UnitedHealthcare of Alabama, Inc.	AL	IA	UnitedHealthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		96016	86-0507074				UnitedHealthcare of Arizona, Inc.	AZ	IA	UnitedHealthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		95446	63-1036819				UnitedHealthcare of Arkansas, Inc.	AR	IA	UnitedHealthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		95090	84-1004639				UnitedHealthcare of Colorado, Inc.	CO	IA	UnitedHealthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		95264	59-1293865				UnitedHealthcare of Florida, Inc.	FL	IA	UnitedHealthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		95850	58-1653544				UnitedHealthcare of Georgia, Inc.	GA	IA	UnitedHealthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		95776	36-3280214				UnitedHealthcare of Illinois, Inc.	IL	IA	UnitedHealthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	0000003
		96644	62-1240316				UnitedHealthcare of Kentucky, Ltd.	KY	IA	United HealthCare Services, Inc.	Ownership	94.2	UnitedHealth Group Incorporated	0000003
		96644	62-1240316				UnitedHealthcare of Kentucky, Ltd.	KY	IA	UnitedHealthcare, Inc.	Ownership	5.8	UnitedHealth Group Incorporated	0000004
		95833	72-1074008				UnitedHealthcare of Louisiana, Inc.	LA	IA	UnitedHealthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		95716	63-1036817				UnitedHealthcare of Mississippi, Inc.	MS	IA	UnitedHealthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95149	05-0413469				UnitedHealthcare of New England, Inc.	RI	IA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	13214	26-2697886				UnitedHealthcare of New Mexico, Inc.	NM	IA	UnitedHealthcare Insurance Company	Ownership	100.0	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95085	06-1172891				UnitedHealthcare of New York, Inc.	NY	IA	AmeriChoice Corporation	Ownership	100.0	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95103	56-1461010				UnitedHealthcare of North Carolina, Inc.	NC	IA	UnitedHealthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Comp- any Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domic- iliary Loca- tion	Rela- tion- ship to Report- ing Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	*
0707	UnitedHealth Group Incorporated	95186	31-1142815				UnitedHealthcare of Ohio, Inc.	OH	IA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96903	33-0115166				UnitedHealthcare of Oklahoma, Inc.	OK	IA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95893	93-0938819		0001225824		UnitedHealthcare of Oregon, Inc.	OR	IA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95220	25-1756858				UnitedHealthcare of Pennsylvania, Inc.	PA	IA	Three Rivers Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95765	95-3939697				UnitedHealthcare of Texas, Inc.	TX	IA	UnitedHealthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95025	52-1130183				UnitedHealthcare of the Mid-Atlantic, Inc.	MD	IA	UnitedHealthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95591	47-0676824				UnitedHealthcare of the Midlands, Inc.	NE	IA	UnitedHealthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96385	43-1361841				UnitedHealthcare of the Midwest, Inc.	MO	IA	UnitedHealthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95501	41-1488563				UnitedHealthcare of Utah, Inc.	UT	IA	UnitedHealthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	48038	91-1312551		0001225843		UnitedHealthcare of Washington, Inc.	WA	IA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95710	39-1555888				UnitedHealthcare of Wisconsin, Inc.	WI	IA	UnitedHealthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		95378	36-3379945				UnitedHealthcare Plan of the River Valley, Inc.	IL	IA	UnitedHealthcare Services Company of the River Valley, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	47-0854646				UnitedHealthcare Service LLC	DE	NIA	UnitedHealthcare Insurance Company	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	36-3355110				UnitedHealthcare Services Company of the River Valley, Inc.	DE	NIA	UnitedHealthcare, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	01-0518346				UnitedHealthcare Specialty Benefits, LLC	ME	NIA	Specialty Benefits, LLC	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	41-1922511				UnitedHealthcare, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	26-0382877		0001453198		Urgent Care Holdings, Inc.	DE	NIA	Optum Clinics Intermediate Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	26-3667220				Urgent Care MSO, LLC	DE	NIA	Urgent Care Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					Urology Associates of North Texas, P.L.L.C.	TX	NIA	USMD Affiliated Services	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					USMD Administrative Services, L.L.C.	TX	NIA	USMD Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	27-2956222				USMD Affiliated Services	TX	NIA	USMD Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					USMD Cancer Treatment Centers GP, L.L.C.	TX	NIA	USMD Cancer Treatment Centers, L.L.C.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	26-2245431				USMD Cancer Treatment Centers, L.L.C.	TX	NIA	USMD Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					USMD CT (Mo), LLC	MO	NIA	USMD Cancer Treatment Centers, L.L.C.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	27-2803133				USMD Diagnostic Services, LLC	TX	NIA	USMD Inc.	Ownership	100.0	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q13.21

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Comp- any Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domic- iliary Loca- tion	Rela- ship to Report- ing Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	*
		00000	27-2866866		0001507881		USMD Holdings, Inc.	DE	NIA	WellMed Medical Management, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	20-8050318		0001404693		USMD Inc.	TX	NIA	USMD Holdings, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					USMD of Arlington GP, L.L.C.	TX	NIA	Mat-Rx Development, L.L.C.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000					USMD PPM, LLC	TX	NIA	USMD Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	87-0757396				Valley Physicians Network, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	74-2797745				WellMed Medical Management of Florida, Inc.	FL	NIA	WellMed Medical Management, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	74-2786364				WellMed Medical Management, Inc.	TX	NIA	Collaborative Care Holdings, LLC	Ownership	80.0	UnitedHealth Group Incorporated	
		00000					WellMed Networks – DFW, Inc.	TX	NIA	USMD Inc.	Ownership		UnitedHealth Group Incorporated	0000001
		00000	45-0636596				WESTMED Practice Partners LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	86.2	UnitedHealth Group Incorporated	
		00000	52-2102846		0001314524		XLHealth Corporation	MD	NIA	United HealthCare Services, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	0000001
		00000	98-1107695				XLHealth Corporation India Private Limited	IND	NIA	XLHealth Corporation	Ownership	100.0	UnitedHealth Group Incorporated	
		00000	11-3764012				Your Health Options Insurance Services, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.0	UnitedHealth Group Incorporated	

Asterisk	Explanation
0000001	The remaining percentage is/are owned by external investor(s)/shareholder(s), party(ies) or company(ies).
0000002	The remaining 0.7409758% owned by external shareholders and 0.0340693% owned by Treasury Shares.
0000003	The remaining percentage is owned by an officer/director or officers/directors of the company.
0000004	The limited partners of UnitedHealth Group International, L.P. include FMG Holdings, LLC (14.8145%), Hygeia Corporation (DE) (0.2012%) and UnitedHealth Group Incorporated (84.9843%). UnitedHealth Group International GP is the general partner of UnitedHealth Group International, L.P.
0000005	The general partnership interest of 89.77% is held by United HealthCare Services, Inc. (UHS) and 10.23% is held by UnitedHealthcare, Inc. (UHC). UHS also holds 100% of the limited partnership interests. When combining general and limited partner interests, UHS owns 94.18% and UHC owns 5.82%.
0000006	
0000007	

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	RESPONSES
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	No
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	No
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	No
4. Will the Reasonableness of Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	No
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	No
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	No
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	No

Explanations:

Bar Codes:

Trusteed Surplus Statement



Medicare Part D Coverage Supplement



Reasonableness 1 - Assumptions



Reasonableness 2 - Consistency



Reasonableness 3 - Implied Guarantee



Reasonableness 4 - Ave. Market Value



Reasonableness 5 - Market Value



OVERFLOW PAGE FOR WRITE-INS

N O N E

STATEMENT AS OF **September 30, 2016** OF THE **Optum Insurance of Ohio, Inc.**
SCHEDULE A - VERIFICATION

Real Estate		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of acquired:		
2.1	Actual cost at time of acquisition		
2.2	Additional investment made after acquisition		
3.	Current year change in encumbrances		
4.	Total gain (loss) on disposals		
5.	Deduct amounts received on disposals		
6.	Total foreign exchange change in book/adjusted carrying value		
7.	Deduct current year's other-than-temporary impairment recognized		
8.	Deduct current year's depreciation		
9.	Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8)		
10.	Deduct total nonadmitted amounts		
11.	Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION
Mortgage Loans

		1	2
		Year To Date	Prior Year Ended December 31
1.	Book value/recorded investment excluding accrued interest, December 31 of prior year		
2.	Cost of acquired:		
2.1	Actual cost at time of acquisition		
2.2	Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		
8.	Deduct amortization of premium and mortgage interest points		
9.	Total foreign exchange change in book value/recorded investment		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)		
12.	Total valuation allowance		
13.	Subtotal (Line 11 plus Line 12)		
14.	Deduct total nonadmitted amounts		
15.	Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION
Other Long-Term Invested Assets

		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of acquired:		
2.1	Actual cost at time of acquisition		
2.2	Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		
8.	Deduct amortization of premium and depreciation		
9.	Total foreign exchange change in book/adjusted carrying value		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)		
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION
Bonds and Stocks

		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value of bonds and stocks, December 31 of prior year	3,304,377	3,560,645
2.	Cost of bonds and stocks acquired	2,203,002	100
3.	Accrual of discount	125	199
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals		
6.	Deduct consideration for bonds and stocks disposed of	3,250,000	251,012
7.	Deduct amortization of premium	7,925	5,555
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	2,249,579	3,304,377
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	2,249,579	3,304,377

SCHEDULE D - PART 1B
Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	4,550,056	2,120,902	3,125,202	(5,067)	4,543,342	4,550,056	3,540,689	4,544,631
2. NAIC 2 (a)								
3. NAIC 3 (a)								
4. NAIC 4 (a)								
5. NAIC 5 (a)								
6. NAIC 6 (a)								
7. Total Bonds	4,550,056	2,120,902	3,125,202	(5,067)	4,543,342	4,550,056	3,540,689	4,544,631
PREFERRED STOCK								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock								
15. Total Bonds & Preferred Stock	4,550,056	2,120,902	3,125,202	(5,067)	4,543,342	4,550,056	3,540,689	4,544,631

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0

SCHEDULE DA - PART 1

Short - Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999. Totals	1,291,110	X X X	1,291,109	1,936	

SCHEDULE DA - Verification

Short-Term Investments

		1 Year To Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	1,291,041	
2.	Cost of short-term investments acquired	432	1,291,041
3.	Accrual of discount		
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals		
6.	Deduct consideration received on disposals	363	
7.	Deduct amortization of premium		
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	1,291,110	1,291,041
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	1,291,110	1,291,041

SI04 Schedule DB - Part A Verification NONE

SI04 Schedule DB - Part B Verification NONE

SI05 Schedule DB Part C Section 1 NONE

SI06 Schedule DB Part C Section 2 NONE

SI07 Schedule DB - Verification NONE

SI08 Schedule E - Verification (Cash Equivalents) NONE

E01 Schedule A Part 2 NONE

E01 Schedule A Part 3 NONE

E02 Schedule B Part 2 NONE

E02 Schedule B Part 3 NONE

E03 Schedule BA Part 2 NONE

E03 Schedule BA Part 3 NONE

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
Bonds - U.S. Governments									
912828KQ2 ..	US Treasury Note 3.125% 05/15/19		.. 08/30/2016 ..	Key Bank	 X X X	 2,120,625	 2,000,000	 18,342	1
0599999 Subtotal - Bonds - U.S. Governments					 X X X	 2,120,625	 2,000,000	 18,342	 X X X
8399997 Subtotal - Bonds - Part 3					 X X X	 2,120,625	 2,000,000	 18,342	 X X X
8399998 Summary Item from Part 5 for Bonds (N/A to Quarterly)					 X X X	 X X X	 X X X	 X X X	 X X X
8399999 Subtotal - Bonds					 X X X	 2,120,625	 2,000,000	 18,342	 X X X
8999998 Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)					 X X X	 X X X	 X X X	 X X X	 X X X
9799998 Summary Item from Part 5 for Common Stocks (N/A to Quarterly)					 X X X	 X X X	 X X X	 X X X	 X X X
9899999 Subtotal - Preferred and Common Stocks					 X X X	 X X X	 X X X	 X X X	 X X X
9999999 Total - Bonds, Preferred and Common Stocks					 X X X	 2,120,625	 X X X	 18,342	 X X X

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0.

SCHEDULE D - PART 4
Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of
During the Current Quarter

1	2	3 F o r e i g n	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description		Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
Bonds - U.S. Governments																					
912828RF9	US Treasury Note 1.000% 08/31/16		08/31/2016	Maturity	X X X	2,950,000	2,950,000	2,966,709	2,953,715		(3,715)		(3,715)		2,950,000				29,500	08/31/2016	1
912828VR8	US Treasury Note 0.625% 08/15/16		08/15/2016	Maturity	X X X	175,000	175,000	174,411	174,875		125		125		175,000				1,094	08/15/2016	1
0599999 Subtotal - Bonds - U.S. Governments					X X X	3,125,000	3,125,000	3,141,120	3,128,590		(3,590)		(3,590)		3,125,000				30,594	X X X	X X X
8399997 Subtotal - Bonds - Part 4					X X X	3,125,000	3,125,000	3,141,120	3,128,590		(3,590)		(3,590)		3,125,000				30,594	X X X	X X X
8399998 Summary Item from Part 5 for Bonds (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X
8399999 Subtotal - Bonds					X X X	3,125,000	3,125,000	3,141,120	3,128,590		(3,590)		(3,590)		3,125,000				30,594	X X X	X X X
8999998 Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X
9799998 Summary Item from Part 5 for Common Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X
9899999 Subtotal - Preferred and Common Stocks					X X X		X X X													X X X	X X X
9999999 Total - Bonds, Preferred and Common Stocks					X X X	3,125,000	X X X	3,141,120	3,128,590		(3,590)		(3,590)		3,125,000				30,594	X X X	X X X

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0.

E06 Schedule DB Part A Section 1 NONE

E07 Schedule DB Part B Section 1 NONE

E08 Schedule DB Part D Section 1 NONE

E09 Schedule DB Part D Section 2 - Collateral Pledged By Reporting Entity NONE

E09 Schedule DB Part D Section 2 - Collateral Pledged To Reporting Entity NONE

E10 Schedule DL - Part 1 - Securities Lending Collateral Assets NONE

E11 Schedule DL - Part 2 - Securities Lending Collateral Assets NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1			2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
Depository			Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	6	7	8	*
							First Month	Second Month	Third Month	
open depositories										
Bank of New York							2,796	2,908	2,908	X X X
Century Trust							125,000	125,000	125,000	X X X
Key Bank								2,964,750		X X X
Regions Bank							125,039	125,039	125,039	X X X
US Bancorp							100,000	275,000	275,000	X X X
JP MOrgan Chase							78,882,412	82,961,816	69,608,746	X X X
0199998 Deposits in0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - open depositories ..			X X X	... X X X ..						X X X
0199999 Totals - Open Depositories			X X X	... X X X ..			79,235,247	86,454,513	70,136,692	X X X
0299998 Deposits in0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - suspended depositories			X X X	... X X X ..						X X X
0299999 Totals - Suspended Depositories			X X X	... X X X ..						X X X
0399999 Total Cash On Deposit			X X X	... X X X ..			79,235,247	86,454,513	70,136,692	X X X
0499999 Cash in Company's Office			X X X	... X X X ..	X X X	X X X				X X X
0599999 Total Cash			X X X	... X X X ..			79,235,247	86,454,513	70,136,692	X X X

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8
Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
NONE							
8699999 Total - Cash Equivalents							

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