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QUARTERLY STATEMENT

As of September 30, 2016

of the Condition and Affairs of the

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA

NAIC Group Code..... 0, 0 (Current Period) (Prior Period) NAIC Company Code..... 56340 Employer's ID Number..... 34-0220550

Organized under the Laws of OH State of Domicile or Port of Entry OH Country of Domicile US

Incorporated/Organized..... January 9, 1892 Commenced Business..... October 1, 1890

Statutory Home Office	6611 ROCKSIDE ROAD….. INDEPENDENCE ..... OH ..... US ..... 44131 *(Street and Number) (City or Town, State, Country and Zip Code)*	
Main Administrative Office	6611 ROCKSIDE ROAD….. INDEPENDENCE ..... OH ..... US ..... 44131 *(Street and Number) (City or Town, State, Country and Zip Code)*	216-642-9406 *(Area Code) (Telephone Number)*
Mail Address	6611 ROCKSIDE ROAD….. INDEPENDENCE ..... OH ..... US ..... 44131 *(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)*	
Primary Location of Books and Records	6611 ROCKSIDE ROAD….. INDEPENDENCE ..... OH ..... US ..... 44131 *(Street and Number) (City or Town, State, Country and Zip Code)*	216-642-9406 *(Area Code) (Telephone Number)*
Internet Web Site Address	WWW.FCSU.COM	
Statutory Statement Contact	KENNETH ANTHONY ARENDT *(Name)*	216-642-9406 *(Area Code) (Telephone Number) (Extension)*
	FCSU@AOL.COM *(E-Mail Address)*	216-642-4310 *(Fax Number)*

OFFICERS

Name	Title	Name	Title
1. ANDREW MATHEW RAJEC	PRESIDENT	2. KENNETH ANTHONY ARENDT	EXECUTIVE SECRETARY
3. GEORGE F. MATTA II	TREASURER	4. ANDREW R. HARCAR SR	VICE PRESIDENT

OTHER

GARY J. MATTA	GENERAL COUNSEL	EDWARD COWMAN	ACTUARY

DIRECTORS OR TRUSTEES

State of........ OHIO
County of..... CUYAHOGA

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions* and *Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)	(Signature)	(Signature)
ANDREW MATHEW RAJEC	KENNETH ANTHONY ARENDT	GEORGE F. MATTA II
1. (Printed Name)	2. (Printed Name)	3. (Printed Name)
PRESIDENT	EXECUTIVE SECRETARY	TREASURER
(Title)	(Title)	(Title)

Subscribed and sworn to before me
This 7TH day of NOVEMBER, 2016

a. Is this an original filing? Yes [X] No [ ]
b. If no: 1. State the amendment number
2. Date filed
3. Number of pages attached

ASSETS

	Current Statement Date 1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 December 31 Prior Year Net Admitted Assets
1. Bonds	348,715,622		348,715,622	337,753,840
2. Stocks:				
2.1 Preferred stocks			0	
2.2 Common stocks	2,307,893		2,307,893	2,253,872
3. Mortgage loans on real estate:				
3.1 First liens	892,294		892,294	1,050,016
3.2 Other than first liens			0	
4. Real estate:				
4.1 Properties occupied by the company (less $..........0 encumbrances)	876,338		876,338	921,760
4.2 Properties held for the production of income (less $..........0 encumbrances)	665,414		665,414	694,710
4.3 Properties held for sale (less $..........0 encumbrances)	753,488		753,488	753,488
5. Cash ($.....14,445,160), cash equivalents ($..........0) and short-term investments ($..........0)	14,445,160		14,445,160	12,383,475
6. Contract loans (including $..........0 premium notes)	1,113,164		1,113,164	1,093,309
7. Derivatives			0	
8. Other invested assets	4,393,731		4,393,731	5,334,377
9. Receivables for securities			0	4,626
10. Securities lending reinvested collateral assets			0	
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	374,163,105	0	374,163,105	362,243,472
13. Title plants less $..........0 charged off (for Title insurers only)			0	
14. Investment income due and accrued	4,875,208		4,875,208	4,597,179
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	21,504		21,504	27,655
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including $..........0 earned but unbilled premiums)			0	
15.3 Accrued retrospective premiums ($..........0) and contracts subject to redetermination ($..........0)			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers			0	
16.2 Funds held by or deposited with reinsured companies			0	
16.3 Other amounts receivable under reinsurance contracts			0	
17. Amounts receivable relating to uninsured plans			0	
18.1 Current federal and foreign income tax recoverable and interest thereon			0	
18.2 Net deferred tax asset			0	
19. Guaranty funds receivable or on deposit			0	
20. Electronic data processing equipment and software			0	
21. Furniture and equipment, including health care delivery assets ($..........0)	11,433	11,433	0	
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	
23. Receivables from parent, subsidiaries and affiliates			0	
24. Health care ($..........0) and other amounts receivable			0	
25. Aggregate write-ins for other than invested assets	550	550	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25)	379,071,799	11,983	379,059,816	366,868,306
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	
28. Total (Lines 26 and 27)	379,071,799	11,983	379,059,816	366,868,306

DETAILS OF WRITE-INS

1101.			0	
1102.			0	
1103.			0	
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above)	0	0	0	0
2501. Deposits 550	550	550	0	
2502.			0	
2503.			0	
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above)	550	550	0	0

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts (including $..........0 Modco Reserve)	298,750,000	287,620,117
2. Aggregate reserve for accident and health contracts (including $..........0 Modco Reserve)		
3. Liability for deposit-type contracts (including $..........0 Modco Reserve)	41,463,088	44,046,110
4. Contract claims:		
4.1 Life	300,000	300,000
4.2 Accident and health		
5. Refunds due and unpaid		
6. Provisions for refunds payable in following calendar year - estimated amounts:		
6.1 Apportioned for payment	400,000	400,000
6.2 Not yet apportioned		
7. Premiums and annuity considerations for life and accident and health contracts received in advance less $..........0 discount; including $..........0 accident and health premiums	50,194	55,184
8. Certificate and contract liabilities not included elsewhere:		
8.1 Surrender values on canceled contracts		
8.2 Other amounts payable on reinsurance including $..........0 assumed and $..........0 ceded		
8.3 Interest maintenance reserve (IMR)	708,231	329,048
9. Commissions to fieldworkers due or accrued - life and annuity contracts $..........0, accident and health $..........0 and deposit-type contract funds $..........0	17,660	18,128
10. Commissions and expense allowances payable on reinsurance assumed		
11. General expenses due or accrued	50,929	72,211
12. Transfers to Separate Accounts due or accrued (net) (including $..........0 accrued for expense allowances recognized in reserves)		
13. Taxes, licenses and fees due or accrued	17,949	17,949
14. Unearned investment income		
15. Amounts withheld or retained by Society as agent or trustee	5,300,131	4,838,147
16. Amounts held for fieldworkers' account, including $..........0 fieldworkers' credit balances		
17. Remittances and items not allocated		
18. Net adjustment in assets and liabilities due to foreign exchange rates	9,511	9,511
19. Liability for benefits for employees and fieldworkers if not included above		
20. Borrowed money $..........0 and interest thereon $..........0		
21. Miscellaneous liabilities:		
21.1 Asset valuation reserve	2,327,992	2,191,650
21.2 Reinsurance in unauthorized and certified ($..........0) companies		
21.3 Funds held under reinsurance treaties with unauthorized and certified ($..........0) reinsurers		
21.4 Payable to subsidiaries and affiliates		
21.5 Drafts outstanding		
21.6 Funds held under coinsurance		
21.7 Derivatives		
21.8 Payable for securities	1,081,731	
21.9 Payable for securities lending		
22. Aggregate write-ins for liabilities	773,835	572,835
23. Total liabilities excluding Separate Accounts business (Lines 1 to 22)	351,251,251	340,470,890
24. From Separate Accounts Statement		
25. Total liabilities (Lines 23 to 24)	351,251,251	340,470,890
26. Aggregate write-ins for other than liabilities and surplus funds	0	0
27. Surplus notes		
28. Aggregate write-ins for surplus funds	0	0
29. Unassigned funds	27,808,565	26,397,416
30. Total (Lines 26 through 29) (including $..........0 in Separate Accounts Statement)	27,808,565	26,397,416
31. Totals (Lines 25 + 30) (Page 2, Line 28, Col. 3)	379,059,816	366,868,306

DETAILS OF WRITE-INS

2201. Postretirement Reserve	362,868	311,868
2202. Security Deposits	10,967	10,967
2203. Special Marketing and Promotion Reserves		
2298. Summary of remaining write-ins for Line 22 from overflow page	400,000	250,000
2299. Totals (Lines 2201 thru 2203 plus 2298) (Line 22 above)	773,835	572,835
2601.		
2602.		
2603.		
2698. Summary of remaining write-ins for Line 26 from overflow page	0	0
2699. Totals (Lines 2601 thru 2603 plus 2698) (Line 26 above)	0	0
2801.		
2802.		
2803.		
2898. Summary of remaining write-ins for Line 28 from overflow page	0	0
2899. Totals (Lines 2801 thru 2803 plus 2898) (Line 28 above)	0	0

SUMMARY OF OPERATIONS

		1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1.	Premiums and annuity considerations for life and accident and health contracts	16,317,359	14,127,998	18,573,260
2.	Considerations for supplementary contracts with life contingencies			
3.	Net investment income	11,993,354	11,648,788	15,210,049
4.	Amortization of Interest Maintenance Reserve (IMR)	325,000	360,000	485,630
5.	Separate Accounts net gain from operations excluding unrealized gains and losses			
6.	Commissions and expense allowances on reinsurance ceded			
7.	Reserve adjustments on reinsurance ceded			
8.	Miscellaneous income:			
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts			
	8.2 Charges and fees for deposit-type contracts			
	8.3 Aggregate write-ins for miscellaneous income	46,574	9,100	498,789
9.	Totals (Lines 1 to 8.3)	28,682,287	26,145,886	34,767,728
10.	Death benefits	1,809,207	2,068,517	2,585,278
11.	Matured endowments (excluding guaranteed annual pure endowments)			6,511
12.	Annuity benefits	11,053,158	9,363,491	12,877,281
13.	Disability benefits and benefits under accident and health contracts including premiums waived $..........0			
14.	Surrender benefits and withdrawals for life contracts	357,379	466,054	632,257
15.	Interest and adjustments on contract or deposit-type contract funds	115,392	109,761	145,400
16.	Payments on supplementary contracts with life contingencies			
17.	Increase in aggregate reserve for life and accident and health contracts	11,129,883	9,428,000	12,760,117
18.	Totals (Lines 10 to 17)	24,465,019	21,435,823	29,006,843
19.	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	208,993	188,527	268,813
20.	Commissions and expense allowances on reinsurance assumed			
21.	General insurance expenses and fraternal expenses	2,464,210	2,439,545	3,031,054
22.	Insurance taxes, licenses and fees	109,856	82,150	108,167
23.	Increase in loading on deferred and uncollected premiums			
24.	Net transfers to or (from) Separate Accounts net of reinsurance			
25.	Aggregate write-ins for deductions	(273,071)	(167,527)	(212,353)
26.	Totals (Lines 18 to 25)	26,975,007	23,978,518	32,202,524
27.	Net gain from operations before refunds to members (Line 9 minus Line 26)	1,707,280	2,167,368	2,565,204
28.	Refunds to members	296,458	295,239	411,659
29.	Net gain from operations after refunds to members and before realized capital gains (losses) (Line 27 minus Line 28)	1,410,822	1,872,129	2,153,545
30.	Net realized capital gains (losses) less capital gains tax of .....0 (excluding $..........0 transferred to the IMR)	(11,616)	(65,608)	(638,711)
31.	Net income (Lines 29 + 30)	1,399,206	1,806,521	1,514,834
	SURPLUS ACCOUNT			
32.	Surplus, December 31, prior year	26,397,416	24,149,682	24,149,682
33.	Net income from operations (Line 31)	1,399,206	1,806,521	1,514,834
34.	Change in net unrealized capital gains (losses) less capital gains tax of $..........0	144,525	(153,529)	409,181
35.	Change in net unrealized foreign exchange capital gain (loss)			
36.	Change in nonadmitted assets	2,189	(14,351)	(13,622)
37.	Change in liability for reinsurance in unauthorized and certified companies			
38.	Change in reserve on account of change in valuation basis (increase) or decrease			
39.	Change in asset valuation reserve	(136,342)	97,180	120,125
40.	Surplus (contributed to) withdrawn from Separate Accounts during period			
41.	Other changes in surplus in Separate Accounts Statement			
42.	Change in surplus notes			
43.	Cumulative effect of changes in accounting principles			
44.	Change in surplus as a result of reinsurance			
45.	Aggregate write-ins for gains and losses in surplus	1,571	3,915	217,216
46.	Net change in surplus for the year (Lines 33 through 45)	1,411,149	1,739,736	2,247,734
47.	Surplus as of statement date (Lines 32 + 46)	27,808,565	25,889,418	26,397,416

DETAILS OF WRITE-INS

08.301.	ADVERTISING & SUBSCRIPTION INCOME	2,990	3,010	4,295
08.302.	RENTAL INCOME ON GROUNDS @ ESTATES	3,000		10,000
08.303.	MISCELLANOUS INCOME	40,584	6,090	484,494
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0
08.399.	Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	46,574	9,100	498,789
2501.	NET CHANGE IN SETTLEMENT OPTIONS W/O LIFE			54,897
2502.	NET CHANGE IN PENSION FUND	(273,071)	(167,527)	(267,250)
2503.	NET INCREASE IN POST-RETIREMENT RESERVE			
2598.	Summary of remaining write-ins for Line 25 from overflow page	0	0	0
2599.	Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above)	(273,071)	(167,527)	(212,353)
4501.	ACCRUAL & ASSET ADJUSTMENTS	1,571	3,915	(23,817)
4502.	TRF OF UNEARNED PREM RESRV & REINS CR TO RESERVES			241,033
4503.				
4598.	Summary of remaining write-ins for Line 45 from overflow page	0	0	0
4599.	Totals (Lines 4501 thru 4503 plus 4598) (Line 45 above)	1,571	3,915	217,216

CASH FLOW

		1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
	CASH FROM OPERATIONS			
1.	Premiums collected net of reinsurance	16,318,520	14,098,177	18,573,260
2.	Net investment income	12,800,658	12,094,871	16,147,268
3.	Miscellaneous income	46,574	9,100	498,789
4.	Total (Lines 1 through 3)	29,165,752	26,202,148	35,219,317
5.	Benefit and loss related payments	13,335,136	12,007,823	16,246,727
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7.	Commissions, expenses paid and aggregate write-ins for deductions	2,330,738	2,723,982	3,170,001
8.	Dividends paid to policyholders	296,458	295,239	411,659
9.	Federal and foreign income taxes paid (recovered) net of $..........0 tax on capital gains (losses)			
10.	Total (Lines 5 through 9)	15,962,332	15,027,044	19,828,386
11.	Net cash from operations (Line 4 minus Line 10)	13,203,420	11,175,104	15,390,930
	CASH FROM INVESTMENTS			
12.	Proceeds from investments sold, matured or repaid:			
	12.1 Bonds	23,116,479	20,166,241	24,776,319
	12.2 Stocks	89,903	837,610	1,482,884
	12.3 Mortgage loans	157,722	146,448	198,844
	12.4 Real estate			
	12.5 Other invested assets	1,068,232	5,000,000	5,695,063
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			
	12.7 Miscellaneous proceeds	1,086,357		
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	25,518,693	26,150,299	32,153,110
13.	Cost of investments acquired (long-term only):			
	13.1 Bonds	34,384,692	47,943,898	52,683,304
	13.2 Stocks	138,600	532,603	537,029
	13.3 Mortgage loans		15,000	15,000
	13.4 Real estate		56,437	56,437
	13.5 Other invested assets		1,020,000	1,020,000
	13.6 Miscellaneous applications			4,626
	13.7 Total investments acquired (Lines 13.1 to 13.6)	34,523,292	49,567,938	54,316,396
14.	Net increase or (decrease) in contract loans and premium notes	19,855	(14,261)	(2,291)
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(9,024,454)	(23,403,378)	(22,160,995)
	CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16.	Cash provided (applied):			
	16.1 Surplus notes, capital notes			
	16.2 Capital and paid in surplus, less treasury stock			
	16.3 Borrowed funds			
	16.4 Net deposits on deposit-type contracts and other insurance liabilities	(2,583,022)	4,137,505	1,196,190
	16.5 Dividends to stockholders			
	16.6 Other cash provided (applied)	465,741	(23,296)	(219,350)
17.	Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6)	(2,117,281)	4,114,209	976,840
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18.	Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17)	2,061,685	(8,114,065)	(5,793,225)
19.	Cash, cash equivalents and short-term investments:			
	19.1 Beginning of year	12,383,475	18,176,699	18,176,699
	19.2 End of period (Line 18 plus Line 19.1)	14,445,160	10,062,634	12,383,475

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Life Insurance	1,027,082	1,136,723	1,562,980
2. Individual annuities	15,290,278	12,991,275	17,031,541
3. Accident and Health			
4. Aggregate of all other lines of business	0	0	0
5. Subtotal (Lines 1 through 4)	16,317,359	14,127,998	18,594,521
6. Fraternal			
7. Expenses			
8. Subtotal (Lines 5 through 7)	16,317,359	14,127,998	18,594,521
9. Deposit-type contracts			
10. Total	16,317,359	14,127,998	18,594,521

DETAILS OF WRITE-INS

0401.			
0402.			
0403.			
0498. Summary of remaining write-ins for Line 4 from overflow page	0	0	0
0499. Total (Lines 0401 thru 0403 plus 0498) (Line 4 above)	0	0	0

NOTES TO FINANCIAL STATEMENTS

Note 1 – Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

	State of Domicile	Current Period	Prior Year
NET INCOME			
(1) FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA state basis (Page 4, Line 31, Columns 1 & 3)	OH	$ 1,399,206	$ 1,514,834
(2) State Prescribed Practices that increase/decrease NAIC SAP			
(3) State Permitted Practices that increase/decrease NAIC SAP			
(4) NAIC SAP (1 – 2 – 3 = 4)	OH	$ 1,399,206	$ 1,514,834
SURPLUS			
(5) FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA state basis (Page 3, line 30, Columns 1 & 2)	OH	$ 27,808,565	$ 26,397,416
(6) State Prescribed Practices that increase/decrease NAIC SAP			
(7) State Permitted Practices that increase/decrease NAIC SAP			
(8) NAIC SAP (5 – 6 – 7 = 8)	OH	$ 27,808,565	$ 26,397,416

C. Accounting Policy

(6)

D. Going Concern

Note 2 – Accounting Changes and Corrections of Errors

No significant changes

Note 3 – Business Combinations and Goodwill

No significant change.

Note 4 – Discontinued Operations

A. Discontinued Operation Disposed of or Classified as Held for Sale

(1)

(2)

(3)

(4)

(5) The amounts related to Discontinued Operations and the effect on the Company's Balance Sheet and Summary of Operations as follows:

Balance sheet end of current quarter, 2016

Assets
a. Line 5 Cash $
b. Line 28 Totals $

Liabilities, Surplus and Other Funds
c. Line 28 Total Liabilities $
d. Line 37 Surplus $
e. Line 39 Total $

Summary of Operations end of current quarter, 2016
f. Line 1 Premiums $
g. Line 19 Increase in aggregate reserves for accident & health (current less prior year) $
h. Line 32 Federal and foreign income taxes incurred $
i. Line 34 Net realized capital gains (losses) $
j. Line 35 Net Income $

B. Change in Plan of Sale of Discontinued Operation

C. Nature of any Significant Continuing Involvement with Discontinued Operations After Disposal

D. Equity Interest Retained in the Discontinued Operation After Disposal

Note 5 – Investments

D. Loan-Backed Securities

(1)

		1	2a	2b	c
(2)		Amortized Cost Basis	Other-than-Temporary Impairment		Fair Value

NOTES TO FINANCIAL STATEMENTS

		Before Other-than-Temporary Impairment	Recognized in Loss		1 – (2a + 2b)
OTTI recognized 1st Quarter			Interest	Non-Interest	
a.	Intent to sell	$	$	$	$
b.	Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis				
c.	Total 1st Quarter	$	$	$	$
OTTI recognized 2nd Quarter					
d.	Intent to sell	$	$	$	$
e.	Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis				
f.	Total 2nd Quarter	$	$	$	$
OTTI recognized 3rd Quarter					
g.	Intent to sell	$	$	$	$
h.	Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis				
i.	Total 4th Quarter	$	$	$	$
OTTI recognized 4th Quarter					
j.	Intent to sell	$	$	$	$
k.	Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis				
l.	Total 4th Quarter	$	$	$	$
m.	Annual aggregate total	XXX	$	$	XXX

(3) Recognized OTTI securities

CUSIP	Book/Adjusted Carrying Value Amortized Cost Before Current Period OTTI	Present Value of Projected Cash Flows	Recognized Other-Than-Temporary Impairment	Amortized Cost After Other-Than-Temporary Impairment	Fair Value at Time of OTTI	Date of Financial Statement Where Reported
Total			$			

(4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains):

a.	The aggregate amount of unrealized losses:	1.	Less than 12 Months	$
		2.	12 Months or Longer	$
b.	The aggregate related fair value of securities with unrealized losses:	1.	Less than 12 Months	$
		2.	12 Months or Longer	$

(5)

E. Repurchase Agreements and/or Securities Lending Transactions

(3) Collateral Received

b.	The fair value of that collateral and of the portion of that collateral that it has sold or repledged	$

c.

I. Working Capital Finance Investments

(2) Aggregate Maturity Distribution on the Underlying Working Capital Finance Programs

		Book/Adjusting Carrying Value
a.	Up to 180 Days	$
b.	181 to 365 Days	
c.	Total	$

(3)

J. Offsetting and Netting of Assets and Liabilities

(1) Assets	Gross Amount Recognized	Amount Offset	Net Amount Presented on Financial Statements
	$	$	$
(2) Liabilities			
	$	$	$

Note 6 – Joint Ventures, Partnerships and Limited Liability Companies

No significant changes

Note 7 – Investment Income

No significant changes

NOTES TO FINANCIAL STATEMENTS

Note 8 – Derivative Instruments

No significant changes

Note 9 – Income Taxes

No significant changes

Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No significant changes

Note 11 – Debt

B. FHLB (Federal Home Loan Bank) Agreements

(1)

(2) FHLB Capital Stock

a. Aggregate Totals

1. Current Period

	1 Total 2 + 3	2 General Account	3 Separate Accounts
(a) Membership Stock – Class A	$ 440,242	$ 440,242	$
(b) Membership Stock – Class B			
(c) Activity Stock	1,134,958	1,134,958	
(d) Excess Stock			
(e) Aggregate Total (a+b+c+d)	$ 1,575,200	$ 1,575,200	$
(f) Actual or estimated borrowing capacity as determined by the insurer	$ 50,000,000	XXX	XXX

2. Prior Year

	1 Total 2 + 3	2 General Account	3 Separate Accounts
(a) Membership Stock – Class A	$ 301,711	$ 301,711	$
(b) Membership Stock – Class B			
(c) Activity Stock	1,134,889	1,134,889	
(d) Excess Stock			
(e) Aggregate Total (a+b+c+d)	$ 1,436,600	$ 1,436,600	$
(f) Actual or estimated borrowing capacity as determined by the insurer	$ 50,000,000	XXX	XXX

b. Membership Stock (Class A and B) Eligible for Redemption

	1	2	Eligible for Redemption			
			3	4	5	6
Membership Stick	Current Period Total (2+3+4+5+6)	Not Eligible for Redemption	Less than 6 Months	6 Months to Less Than 1 Year	1 to Less Than 3 Years	3 to 5 Years
1. Class A	$ 440,242	$ 440,242	$	$	$	$
2. Class B	$	$	$	$	$	$

(3) Collateral Pledged to FHLB

a. Amount Pledged as of Reporting Date

	1 Fair Value	2 Carrying Value	3 Aggregate Total Borrowing
Current Period Total General and Separate Accounts Total Collateral Pledged (Lines 2+3)	$ 57,867,886	$ 52,368,936	$ 40,114,990
Current Period General Account Total Collateral Pledged	$ 57,867,886	$ 52,368,936	$ 40,114,990
Current Period Separate Accounts Total Collateral Pledged	$	$	$
Prior Year Total General and Separate Accounts Total Collateral Pledged	$ 60,813,295	$ 57,222,367	$ 42,799,096

b. Maximum Amount Pledged During Reporting Period

	1 Fair Value	2 Carrying Value	3 Amount Borrowed at Time of Maximum Collateral
Current Period Total General and Separate Accounts Total Collateral Pledged (Lines 2+3)	$ 60,208,059	$ 54,096,358	$ 40,336,876
Current Period General Account Total Collateral Pledged	$ 60,208,059	$ 54,096,358	$ 40,336,876
Current Period Separate Accounts Total Collateral Pledged	$	$	$
Prior Year Total General and Separate Accounts Total Collateral Pledged	$ 60,813,295	$ 57,222,367	$ 42,799,096

NOTES TO FINANCIAL STATEMENTS

(4) Borrowing from FHLB

a. Amount as of the Reporting Date

1. Current Period

	1 Total 2 + 3	2 General Account	3 Separate Accounts	4 Funding Agreements Reserves Established
(a) Debt	$	$	$	XXX
(b) Funding Agreements				$
(c) Other	40,114,990	40,114,990		XXX
(d) Aggregate Total (a+b+c)	$ 40,114,990	$ 40,114,990	$	$

2. Prior Year

	1 Total 2 + 3	2 General Account	3 Separate Accounts	4 Funding Agreements Reserves Established
(a) Debt	$	$	$	XXX
(b) Funding Agreements				$
(c) Other	42,799,096	42,799,096		XXX
(d) Aggregate Total (a+b+c)	$ 42,799,096	$ 42,799,096	$	$

b. Maximum Amount During Reporting Period (Current Period)

	1 Total 2 + 3	2 General Account	3 Separate Accounts
1. Debt	$	$	$
2. Funding Agreements			
3. Other	40,336,876	40,336,876	
4. Aggregate Total (Lines 1+2+3)	$ 40,336,876	$ 40,336,876	$

c. FHLB – Prepayment Obligations

	Does the Company have Prepayment Obligations under the Following Arrangements (YES/NO)
1. Debt	NO
2. Funding Agreements	NO
3. Other	NO

Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. Defined Benefit Plan

(4)	Components of net periodic benefit cost		Pension Benefits		Postretirement Benefits		Special or Contractual Benefits per SSAP No. 11	
			Current Period	Prior Year	Current Period	Prior Year	Current Period	Prior Year
	a.	Service cost	$	$	$	$	$	$
	b.	Interest cost						
	c.	Expected return on plan assets						
	d.	Transition asset or obligation						
	e.	Gains and losses						
	f.	Prior service cost or credit						
	g.	Gain or loss recognized due to a settlement curtailment						
	h.	Total net periodic benefit cost	$	$	$	$	$	$

Note 13 – Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

No significant changes

Note 14 – Liabilities, Contingencies and Assessments

A. Contingent Commitments

(1) Total SSAP No. 97, Investments in Subsidiary, Controlled, and Affiliated Entities, A Replacement of SSAP No. 88, and SSAP No. 48, Joint Ventures, Partnerships and Limited Liability Company contingent liabilities: $.

(2) Detail of other contingent commitments

Nature and Circumstances of Guarantee and Key Attributes, Including Date and Duration of Agreement	Liability Recognition of Guarantee, (Including Amount Recognized at Inception. If no Initial Recognition, Document Exception Allowed	Ultimate Financial Statement Impact if Action under the Guarantee is Required	Maximum Potential Amount of Future Payments (Undiscounted) the Guarantor could be Required to make under	Current Status of Payment or Performance Risk of Guarantee. Also Provide Additional Discussion as Warranted

NOTES TO FINANCIAL STATEMENTS

	Under SSAP No. 5R)		the Guarantee. If unable to Develop an Estimate, this Should be Specifically Noted	
	$		$	
Total	$	XXX	$	XXX

(3)

a.	Aggregate maximum potential of future payments of all guarantees (undiscounted) the guarantor could be required to make under guarantees. (Should equal the total of column 4 for (2) above.)		$
b.	Current liability recognized in F/S		
	1.	Noncontingent liabilities	$
	2.	Contingent liabilities	$
c.	Ultimate financial statement impact if action under the guarantee is required		
	1.	Investments in SCA	$
	2.	Joint Venture	
	3.	Dividends to stockholders (capital contribution)	
	4.	Expense	
	5.	Other	
	6.	Total (should equal (3)a)	$

B. Assessments

(1) Where Amount is Unknown

Where Amount is Known

(2)

a.	Assets recognized from paid and accrued premium tax offsets and policy surcharges prior year –end		$
b.	Decreases current period:		$
c.	Increases current period:		$
d.	Assets recognized from paid and accrued premium tax offsets and policy surcharges current period		$

C. Gain Contingencies

D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA paid the following amounts in the reporting period to settle claims related extra contractual obligations or bad faith claims stemming from lawsuits:

	Direct
Claims related ECO and bad faith losses paid during the reporting period	$

Number of claims where amounts were paid to settle claims related extra contractual obligations or bad faith claims resulting from lawsuits during the reporting period:

(a) 0-25 Claims	(b) 26-50 Claims	(c) 51-100 Claims	(d) 101-500 Claims	(e) More than 500 Claims

Indicate whether claim count information is disclosed per claim or per claimant:

(f) Per Claim [ ] (g) Per Claimant [ ]

E. Joint and Several Liabilities

F. All Other Contingencies

Note 15 – Leases

No significant changes

Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No significant changes

Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

B. Transfer and Servicing of Financial Assets

(2)

(4)

(a)

(b)

C. Wash Sales

(1)

(2) The details by NAIC designation 3 or below, or unrated of securities sold during the year ended December 31, 2016 and reacquired within 30 days of the sale date are:

NOTES TO FINANCIAL STATEMENTS

Description	NAIC Designation	Number of Transactions	Book Value of Securities Sold	Cost of Securities Repurchased	Gain/(Loss)
			$	$	$

Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Portion of Partially Insured Plans

No significant changes

Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant changes

Note 20 – Fair Value Measurements

A.

(1) Fair Value Measurements at Reporting Date

Assets at Fair Value	Level 1	Level 2	Level 3	Total
	$	$	$	$
Total	$	$	$	$

Liabilities at Fair Value	Level 1	Level 2	Level 3	Total
	$	$	$	$
Total	$	$	$	$

(2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

a. Assets	Beginning Balance at current period	Transfers Into Level 3	Transfers Out of Level 3	Total Gains and (Losses) Included in Net Income	Total Gains and (Losses) Included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance at current period
	$	$	$	$	$	$	$	$	$	$
Total	$	$	$	$	$	$	$	$	$	$

b. Liabilities	Beginning Balance at current period	Transfers Into Level 3	Transfers Out of Level 3	Total Gains and (Losses) Included in Net Income	Total Gains and (Losses) Included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance at current period
	$	$	$	$	$	$	$	$	$	$
Total	$	$	$	$	$	$	$	$	$	$

(3)

(4)

(5)

B.

C.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)
	$	$	$	$	$	$

D. Not Practicable to Estimate Fair Value

Type of Class or Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
	$			

NOTE 21 –OTHER ITEMS

No significant changes

Note 22 – Events Subsequent

No significant changes

Note 23 – Reinsurance

No significant changes

Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination

E. Risk Sharing Provisions of the Affordable Care Act

(1) Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions

(2) Impact of Risk Sharing Provisions of the Affordable Care Act on admitted assets, liabilities and revenue for the current period:

a.			
	Permanent ACA Risk Adjustment Program		AMOUNT
	Assets		
	1.	Premium adjustments receivable due to ACA Risk Adjustment	$
	Liabilities		
	2.	Risk adjustment user fees payable for ACA Risk Adjustment	

NOTES TO FINANCIAL STATEMENTS

	3.	Premium adjustments payable due to ACA Risk Adjustment	
	Operations (Revenue & Expenses)		
	4.	Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment	
	5.	Reported in expenses as ACA Risk Adjustment user fees (incurred/paid)	$
b.	Transitional ACA Reinsurance Program		
	Assets		
	1.	Amounts recoverable for claims paid due to ACA Reinsurance	$
	2.	Amounts recoverable for claims unpaid due to ACA Reinsurance (contra liability)	
	3.	Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	
	Liabilities		
	4.	Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium	
	5.	Ceded reinsurance premiums payable due to ACA Reinsurance	
	6.	Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance	$
	Operations (Revenue & Expenses)		
	7.	Ceded reinsurance premiums due to ACA Reinsurance	$
	8.	Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments	
	9.	ACA Reinsurance contributions – not reported as ceded premium	$
c.	Temporary ACA Risk Corridors Program		
	Assets		
	1.	Accrued retrospective premium due to ACA Risk Corridors	$
	Liabilities		
	2.	Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	
	Operations (Revenue & Expenses)		
	3.	Effect of ACA Risk Corridors on net premium income (paid/received)	
	4.	Effect of ACA Risk Corridors on change in reserves for rate credits	$

(3) Roll forward of prior year ACA Risk Sharing Provisions for the following asset (gross of any nonadmission) and liability balances along with the reasons for adjustments to prior year balance:

						Differences		Adjustments				Unsettled Balances as of the Reporting Date
		Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Period on Business Written Before December 31 of the Prior Year		Prior Year Accrued Less Payments (Col. 1-3)	Prior Year Accrued Less Payments (Col. 2-4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col. 1-3+7)	Cumulative Balance from Prior Years (Col. 2-4+8)
		1	2	3	4	5	6	7	8	9	10	11
		Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Ref	Receivable	(Payable)
a.	Permanent ACA Risk Adjustment Program											
	1. Premium adjustments receivable	$	$	$	$	$	$	$	$	A	$	$
	2. Premium adjustments (payable)									B		
	3. Subtotal ACA Permanent Risk Adjustment Program	$	$	$	$	$	$	$	$		$	$
b.	Transitional ACA Reinsurance Program											
	1. Amounts recoverable for claims paid	$	$	$	$	$	$	$	$	C	$	$
	2. Amounts recoverable for claims unpaid (contra liability)									D		
	3. Amounts receivable relating to uninsured plans									E		
	4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premiums									F		
	5. Ceded reinsurance premiums payable									G		
	6. Liability for amounts held under uninsured plans									H		
	7. Subtotal ACA Transitional Reinsurance Program	$	$	$	$	$	$	$	$		$	$
c.	Temporary ACA Risk Corridors Program											
	1. Accrued retrospective premium	$	$	$	$	$	$	$	$	I	$	$
	2. Reserve for rate credits or policy experience rating refunds									J		

NOTES TO FINANCIAL STATEMENTS

	3.	Subtotal ACA Risk Corridors Program										
d.	Total for ACA Risk Sharing Provisions		$	$	$	$	$	$	$	$		$	$

Explanations of Adjustments
A.
B.
C.
D.
E.
F.
G.
H.
I.
J.

Note 25 – Change in Incurred Losses and Loss Adjustment Expenses

Note 26 – Intercompany Pooling Arrangements

No significant changes

Note 27 – Structured Settlements

No significant changes

Note 28 – Health care receivables

No significant changes

Note 29 – Participating policies

No significant changes

Note 30 – Premium Deficiency Reserves

No significant changes

Note 31 – Reserves for Life Contracts and Deposit-Type Contracts

No significant changes

Note 32 – Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics

No significant changes

Note 33 – Premium and Annuity Considerations Deferred and Uncollected

No significant changes

Note 34 – Separate Accounts

No significant changes

Note 35 – Loss/Claim Adjustment Expenses

No significant changes

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]

2.2 If yes, date of change:

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No [ ]
If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [X]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]

4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation. Yes [ ] No [ ] N/A [X]

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 01/02/2014

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2013

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 07/10/2014

6.4 By what department or departments?
STATE OF OHIO, DEPT. OF INSURANCE

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [X] No [ ] N/A [ ]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [ ] N/A [ ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [ ] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [X]

8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]

(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

(c) Compliance with applicable governmental laws, rules and regulations;

(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ ] No [X]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: $ 0

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: $ 0

13. Amount of real estate and mortgages held in short-term investments: $ 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [X] No [ ]

14.2 If yes, please complete the following:

		1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21	Bonds	$ 0	$ 0
14.22	Preferred Stock	0	0
14.23	Common Stock	662,075	662,075
14.24	Short-Term Investments	0	0
14.25	Mortgage Loans on Real Estate	0	0
14.26	All Other	0	0
14.27	Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	$ 662,075	$ 662,075
14.28	Total Investment in Parent included in Lines 14.21 to 14.26 above	$ 0	$ 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ]

If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: $ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: $ 0

16.3 Total payable for securities lending reported on the liability page: $ 0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
KEY BANK, NA	127 PUBLIC SQUARE CLEVELAND OH 44114-1306

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes [X] No [ ]

18.2 If no, list exceptions:

GENERAL INTERROGATORIES (continued)

PART 2 - FRATERNAL

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories:

		Reponses
1.1	Long-term mortgages in good standing:	
1.11	Farm mortgages	
1.12	Residential mortgages	$56,904
1.13	Commercial mortgages	$835,390
1.14	Total mortgages in good standing	$892,294
1.2	Long-term mortgages in good standing with restructured terms:	
1.21	Total mortgages in good standing with restructured terms	
1.3	Long-term mortgage loans upon which interest is overdue more than three months:	
1.31	Farm mortgages	
1.32	Residential mortgages	
1.33	Commercial mortgages	
1.34	Total mortgages with interest overdue more than three months	$0
1.4	Long-term mortgage loans in process of foreclosure:	
1.41	Farm mortgages	
1.42	Residential mortgages	
1.43	Commercial mortgages	
1.44	Total mortgages in process of foreclosure	$0
1.5	Total mortgage loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)	$892,294
1.6	Long-term mortgages foreclosed, properties transferred to real estate in current quarter:	
1.61	Farm mortgages	
1.62	Residential mortgages	
1.63	Commercial mortgages	
1.64	Total mortgages foreclosed and transferred to real estate	$0

2.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurance for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? Yes [ ] No [X]

2.2 If no, explain

THE SOCIETY DOES NOT WRITE THIS BUSINESS.

3. Operating Percentages:

3.1	A&H loss percent	
3.2	A&H cost containment percent	
3.3	A&H expense percent excluding cost containment expenses	

4.1 Do you act as a custodian for health savings accounts? Yes [ ] No [X]

4.2 If yes, please provide the amount of custodial funds held as of the reporting date $

4.3 Do you act as an administrator for health savings accounts? Yes [ ] No [X]

4.4 If yes, please provide the balance of the funds administered as of the reporting date $

5.1 Does the reporting entiy have outstanding assessments in the form of liens against policy benefits that have increased surplus? Yes [ ] No [X]

5.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amounts

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsuer Rating

NONE

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

	1	Direct Business Only					
		Life Contracts		4	5	6	7
		2	3				
State, Etc.	Active Status	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 through 5	Deposit-Type Contracts
1. Alabama AL	N					0	
2. Alaska AK	N					0	
3. Arizona AZ	L		601,518			601,518	
4. Arkansas AR	N					0	
5. California CA	N					0	
6. Colorado CO	L		101,675			101,675	
7. Connecticut CT	L	13,549	74,321			87,870	
8. Delaware DE	N					0	
9. District of Columbia DC	N					0	
10. Florida FL	L	5,298	352,153			357,452	
11. Georgia GA	L					0	
12. Hawaii HI	N					0	
13. Idaho ID	N					0	
14. Illinois IL	L	50,504	3,231,569			3,282,073	
15. Indiana IN	L	5,309	152,087			157,397	
16. Iowa IA	L		50,765			50,765	
17. Kansas KS	N					0	
18. Kentucky KY	L					0	
19. Louisiana LA	N					0	
20. Maine ME	N					0	
21. Maryland MD	L	(197)	6,000			5,804	
22. Massachusetts MA	L	569	6,000			6,569	
23. Michigan MI	L	28,519	1,022,631			1,051,150	
24. Minnesota MN	L	2,209	246,415			248,624	
25. Mississippi MS	N					0	
26. Missouri MO	L	364	123,952			124,316	
27. Montana MT	N					0	
28. Nebraska NE	L	244	138,947			139,191	
29. Nevada NV	L		12,540			12,540	
30. New Hampshire NH	N					0	
31. New Jersey NJ	L					0	
32. New Mexico NM	N					0	
33. New York NY	L	42,187	503,920			546,107	
34. North Carolina NC	L		1,000			1,000	
35. North Dakota ND	N					0	
36. Ohio OH	L	247,167	2,200,571			2,447,738	
37. Oklahoma OK	N					0	
38. Oregon OR	N					0	
39. Pennsylvania PA	L	620,754	4,921,258			5,542,012	
40. Rhode Island RI	N					0	
41. South Carolina SC	L					0	
42. South Dakota SD	N					0	
43. Tennessee TN	L					0	
44. Texas TX	L					0	
45. Utah UT	N					0	
46. Vermont VT	N					0	
47. Virginia VA	L	2,377	1,300			3,677	
48. Washington WA	N					0	
49. West Virginia WV	L	3,348	37,100			40,448	
50. Wisconsin WI	L	4,879	1,504,556			1,509,436	
51. Wyoming WY	N					0	
52. American Samoa AS	N					0	
53. Guam GU	N					0	
54. Puerto Rico PR	N					0	
55. US Virgin Islands VI	N					0	
56. Northern Mariana Islands MP	N					0	
57. Canada CAN	N					0	
58. Aggregate Other Alien OT	XXX	0	0	0	0	0	0
59. Subtotals	(a) 27	1,027,081	15,290,278	0	0	16,317,359	0
90. Reporting entity contributions for employee benefit plans	XXX					0	
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX					0	
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX					0	
93. Premium or annuity considerations waived under disability or other contract provisions	XXX					0	
94. Aggregate other amounts not allocable by state	XXX	0	0	0	0	0	0
95. Totals (Direct Business)	XXX	1,027,081	15,290,278	0	0	16,317,359	0
96. Plus reinsurance assumed	XXX					0	
97. Totals (All Business)	XXX	1,027,081	15,290,278	0	0	16,317,359	0
98. Less reinsurance ceded	XXX					0	
99. Totals (All Business) less reinsurance ceded	XXX	1,027,081	15,290,278	0	0	16,317,359	0

DETAILS OF WRITE-INS

58001.	XXX					0	
58002.	XXX					0	
58003.	XXX					0	
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003 plus 58998) (Line 58 above)	XXX	0	0	0	0	0	0
9401.	XXX					0	
9402.	XXX					0	
9403.	XXX					0	
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX	0	0	0	0	0	0
9499. Totals (Lines 9401 thru 9403 plus 9498) (Line 94 above)	XXX	0	0	0	0	0	0

(L) - Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) - Registered - Non-domiciled RRGs; (Q) - Qualified - Qualified or Accredited Reinsurer;
(E) - Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) - None of the above - Not allowed to write business in the state.
(a) Insert the number of L responses except for Canada and Other Alien.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Holding Company System Annual Regulation Statement

FCSU - NAIC 56340
A Fraternal benefit Society
E.I.N. 34-0220550

Filed with the Insurance Department of the State of Ohio by JEDNOTA, INC. on behalf of the following insurer:

First Catholic Slovak Union
6611 Rockside Road
Independence, OH 44131-2398
Domicile: Ohio

September 29, 1986

Correspondence should be addressed:
Mr. George Matta II
C/O: First Catholic Slovak Union
6611 Rockside Road
Independence, OH 44131-2398

Organizational Chart

JEDNOTA, INC. 100% owned by First Catholic Slovak Union, A Fraternal Benefit Society

Subsidiaries: JEDNOTA Properties, INC.
JEDNOTA General Company

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
Members														
....	....	00000...	34-0220550..	....	....	N/A............	First Catholic Slovak Union of USA & Canada.	OH............	UDP............	First Catholic Slovak Union of USA & Canada	Ownership.........	...100.000	First Catholic Slovak Union of the USA & Canada	....
....	....	00000...	34-1537107..	....	....	N/A............	Jednota, Inc............	OH............	DS............	First Catholic Slovak Union of USA & Canada	Ownership.........	...100.000	First Catholic Slovak Union of the USA & Canada	....

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

		Response
1.	Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3.	Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5.	Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO

Explanations:

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.
5. The data for this supplement is not required to be filed.
6. The data for this supplement is not required to be filed.
7. The data for this supplement is not required to be filed.

Bar Code:

* 5 6 3 4 0 2 0 1 6 4 9 0 0 0 0 0 3 *

* 5 6 3 4 0 2 0 1 6 3 6 5 0 0 0 0 3 *

* 5 6 3 4 0 2 0 1 6 4 4 5 0 0 0 0 3 *

* 5 6 3 4 0 2 0 1 6 4 4 6 0 0 0 0 3 *

* 5 6 3 4 0 2 0 1 6 4 4 7 0 0 0 0 3 *

* 5 6 3 4 0 2 0 1 6 4 4 8 0 0 0 0 3 *

* 5 6 3 4 0 2 0 1 6 4 4 9 0 0 0 0 3 *

Overflow Page for Write-Ins

Additional Write-ins for Liabilities:

	1 Current Statement Date	2 December 31, Prior Year
2204. Convention Accrual	400,000	250,000
2297. Summary of remaining write-ins for Line 22	400,000	250,000

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	2,369,957	2,413,142
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		56,437
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other-than-temporary impairment recognized		
8. Deduct current year's depreciation	74,717	99,622
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8)	2,295,240	2,369,957
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)	2,295,240	2,369,957

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	1,050,016	1,233,860
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		15,000
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals	157,722	198,844
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	892,294	1,050,016
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)	892,294	1,050,016
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)	892,294	1,050,016

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	5,334,377	10,084,740
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		1,020,000
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)	127,586	(75,300)
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals	1,068,232	5,695,063
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	4,393,731	5,334,377
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	4,393,731	5,334,377

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	340,007,704	314,233,545
2. Cost of bonds and stocks acquired	34,523,292	53,220,333
3. Accrual of discount	30,534	141,105
4. Unrealized valuation increase (decrease)	16,939	484,507
5. Total gain (loss) on disposals	692,567	(471,024)
6. Deduct consideration for bonds and stocks disposed of	23,206,383	26,259,203
7. Deduct amortization of premium	1,041,138	1,341,560
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other-than-temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	351,023,515	340,007,704
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	351,023,515	340,007,704

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity

During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	221,784,762	5,503,426	3,702,943	(116,560)	215,429,433	221,784,762	223,468,685	226,504,526
2. NAIC 2 (a)	114,090,431	6,153,045	1,580,115	(111,934)	113,595,133	114,090,431	118,551,427	107,117,902
3. NAIC 3 (a)	6,605,601			(10,093)	6,615,693	6,605,601	6,595,508	4,031,412
4. NAIC 4 (a)	100,000				100,000	100,000	100,000	100,000
5. NAIC 5 (a)							0	
6. NAIC 6 (a)							0	
7. Total Bonds	342,580,794	11,656,471	5,283,058	(238,587)	335,740,259	342,580,794	348,715,620	337,753,840
PREFERRED STOCK								
8. NAIC 1							0	
9. NAIC 2							0	
10. NAIC 3							0	
11. NAIC 4							0	
12. NAIC 5							0	
13. NAIC 6							0	
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	342,580,794	11,656,471	5,283,058	(238,587)	335,740,259	342,580,794	348,715,620	337,753,840

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation:
NAIC 1 $..........0; NAIC 2 $..........0; NAIC 3 $..........0; NAIC 4 $..........0; NAIC 5 $..........0; NAIC 6 $..........0.

Sch. DA - Pt. 1
NONE

Sch. DA - Verification
NONE

Sch. DB - Pt. A - Verification
NONE

Sch. DB - Pt. B - Verification
NONE

Sch. DB - Pt. C - Sn. 1
NONE

Sch. DB - Pt. C - Sn. 2
NONE

Sch. DB - Verification
NONE

Sch. E - Verification
NONE

Sch. A - Pt. 2
NONE

Sch. A - Pt. 3
NONE

SCHEDULE B - PART 2

Showing all Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	Location 2	Location 3	4	5	6	7	8	9
Loan Number	City	State	Loan Type	Date Acquired	Rate of Interest	Actual Cost at Time of Acquisition	Additional Investment Made After Acquisition	Value of Land and Buildings

NONE

SCHEDULE B - PART 3

Showing all Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location 2	Location 3	4	5	6	7	Change in Book Value/Recorded Investment 8	9	10	11	12	13	14	15	16	17	18
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8 + 9 - 10 + 11)	Total Foreign Exchange Change in Book Value	Book Value / Recorded Investment Excluding Accrued Interest on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
Mortgages With Partial Repayments																	
9/14/1902	East Millsboro	PA		08/01/1996	VARIOUS	27,623					0		23,981	1,032			0
10/19/1902	Canfield	OH		06/01/1992	VARIOUS	27,317					0		25,297	693			0
11/4/1902	Middletown	PA	S	01/01/1995	VARIOUS	983,778					0		835,390	50,637			0
11/10/1902	Akron	OH		07/08/2015	VARIOUS	11,298					0		7,626	885			0
0299999. Total - Mortgages With Partial Repayments						1,050,016	0	0	0	0	0	0	892,294	53,247	0	0	0
0599999. Total Mortgages						1,050,016	0	0	0	0	0	0	892,294	53,247	0	0	0

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	2	Location		5	6	7	8	9	10	11	12	13
		3	4									
CUSIP Identification	Name or Description	City	State	Name of Vendor or General Partner	NAIC Desig-nation	Date Originally Acquired	Type and Strategy	Actual Cost at Time of Acquisition	Additional Investment Made after Acquisition	Amount of Encumbrances	Commitment for Additional Investment	Percentage of Ownership

NONE

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Changes in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Depreciation) or (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in B./A.C.V. (9+10-11+12)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value Less Encumbrances on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Investment Income

NONE

SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
Bonds - Industrial and Miscellaneous									
017175 AD 2	ALLEGHANY CORP.		08/02/2016	KEYBANK NA.		531,715	500,000	9,324	2FE
13342B AM 7	CAMERON INTERNATIONAL CORP.		09/30/2016	KEYBANK NA.		723,404	630,000	9,866	1FE
198280 AH 2	COLUMBIA PIPELINE GROUP INC.		09/19/2016	FTN FIN SECURITIES CORP.		585,745	500,000	8,942	1FE
224044 BT 3	COX COMMUNICATIONS INC.		09/30/2016	WILLIAM BLAIR.		341,280	300,000	7,182	2FE
26439R AK 2	DUKE CAPITAL CORP.		09/07/2016	WILLIAM BLAIR.		1,120,120	1,000,000	5,063	1FE
277432 AP 5	EASTMAN CHEMICAL CO.		08/12/2016	SOUTHWEST SECURITIES.		517,405	500,000	7,879	2FE
29250R AT 3	ENBRIDGE ENERGY PARTNERS L P SENIOR BD.		09/27/2016	KEYBANK NA.		518,110	500,000	1,146	2FE
406216 BJ 9	HALLIBURTON CO.		09/13/2016	COASTAL SECURITIES INC.		519,660	500,000	8,151	1FE
45868D AB 3	INTERFACE GRAND MASTER HOLDINGS.		08/15/2016	KEYBANK NA.		1,180	1,180		1FE
482480 AF 7	KLA-TENCOR CORP.		08/23/2016	KEYBANK NA.		563,010	500,000	8,789	2FE
51817T AB 8	LATAM AIRLINES GROUP S A.		09/13/2016	EXCHANGE.		224,323	241,820		1FE
55336V AG 5	MPLX L P.		09/29/2016	EXCHANGE.		487,946	500,000		1FE
55336V AG 5	MPLX L P.		09/29/2016	EXCHANGE.		502,470	500,000		1FE
55336V AF 7	MPLX L P.		08/02/2016	EXCHANGE.		502,500	500,000	4,130	1FE
61945C AE 3	MOSAIC CO.		08/15/2016	KEYBANK NA.		545,770	500,000	7,266	2FE
718549 AC 2	PHILLIPS 66 PARTNERS L P.		07/19/2016	NATIONAL ALLIANCE SECURITIES C.		724,733	750,000	15,015	2FE
74834L AQ 3	QUEST DIAGNOSTICS INC.		08/03/2016	MORGAN STANLEY.		826,000	700,000	335	2FE
86765B AM 1	SUNOCO LOGISTICS PTNRS OPERS LP SEN BND.		09/06/2016	SOUTHWEST SECURITIES.		592,212	600,000	4,208	2FE
904000 AB 2	ULTRAMAR DIAMOND SHAMROCK CORP.		07/20/2016	FTN FIN SECURITIES CORP.		836,078	750,000	13,359	1FE
920253 AF 8	VALMONT INDUSTRIES INC.		08/03/2016	SOUTHWEST SECURITIES.		491,200	500,000	8,819	2FE
960413 AG 7	WESTLAKE CHEMICAL CORP.		08/04/2016	KEYBANK NA.		501,610	500,000		2FE
3899999. Total Bonds - Industrial and Miscellaneous						11,656,471	10,973,000	119,472	XXX
8399997. Total Bonds - Part 3						11,656,471	10,973,000	119,472	XXX
8399999. Total Bonds						11,656,471	10,973,000	119,472	XXX
9999999. Total Bonds, Preferred and Common Stocks						11,656,471	XXX	119,472	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:...............0.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value 11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
Bonds - U.S. Government																					
312906 2P 4	FHLMC REMIC SERIES 1136-H	.	09/15/2016.	PRINCIPAL		32	32	31	22				0		22		10	10	0	09/15/2021	1FE
3136A7 HF 2	FNMA CMO 2012-67 ST INV FLT	.	09/26/2016.	PRINCIPAL		41,712	41,712	42,547	41,833				0		41,833		(121)	(121)	343	07/25/2042	1FE
3136A8 P9 5	FNMA CMO PAC 2012-108 SP INV FLT	.	09/26/2016.	PRINCIPAL		4,972	4,972	4,953	4,967				0		4,967		5	5	58	10/25/2042	1FE
3136A8 TU 4	FNMA CMO PAC 2012-99 GC	.	09/26/2016.	PRINCIPAL		35,653	35,653	35,698	35,658				0		35,658		(5)	(5)	173	06/25/2042	1FE
3136A9 HB 7	FNMA CMO 2012-111 HS INV FLT	.	09/26/2016.	PRINCIPAL		10,295	10,295	9,883	10,094				0		10,094		202	202	46	10/25/2042	1FE
3136AA 6G 5	FNMA CMO 2012-151 SB INV FLT	.	09/26/2016.	PRINCIPAL		28,509	28,509	27,938	28,150				0		28,150		359	359	303	01/25/2043	1FE
3136AC AM 3	FNMA CMO 2013-10 US INV FLT	.	09/26/2016.	PRINCIPAL		48,354	48,354	49,677	48,512				0		48,512		(157)	(157)	635	02/25/2043	1FE
3137AQ Z7 6	FHLMC CMO 4064 TS INV FLT	.	09/15/2016.	PRINCIPAL		23,245	23,245	23,535	23,339				0		23,339		(94)	(94)	242	06/15/2042	1FE
3137AR CX 2	FHLMC CMO 4061 SL INV FLT	.	09/15/2016.	PRINCIPAL		37,244	37,244	38,082	37,244				0		37,244			0	397	06/15/2042	1FE
3137AU AW 9	FHLMC CMO 4103 N5 INV FLT	.	09/15/2016.	PRINCIPAL		31,481	31,481	31,304	31,439				0		31,439		41	41	197	09/15/2042	1FE
3137AU TY 5	FHR CMO 4117 SC INV FLT	.	09/15/2016.	PRINCIPAL		51,497	51,497	53,396	51,537				0		51,537		(40)	(40)	593	10/15/2042	1FE
3137AY RP 8	FHLMC CMO PAC 4165 KA74	.	09/15/2016.	PRINCIPAL		155,860	155,860	157,029	155,860				0		155,860			0	982	02/15/2043	1FE
3137B4 GG 5	FHLMC CMO 4238 YS INV FLT	.	09/15/2016.	PRINCIPAL		93,263	93,263	93,030	93,135				0		93,135		128	128	1,434	12/15/2040	1FE
3137BQ LJ 4	FREDDIE MAC	.	09/15/2016.	PRINCIPAL		763	763	776	776				0		776		(13)	(13)	9	05/15/2033	1FE
31395W JS 7	FHLMC CMO 3005 SW INV FLT	.	09/15/2016.	PRINCIPAL		52	52	57	56				0		56		(3)	(3)	1	07/15/2035	1FE
31397N UG 8	FNMA CMO 2009-19 TD	.	08/25/2016.	PRINCIPAL		262	262	265	264				0		264		(2)	(2)	2	08/25/2036	1FE
31398R AP 0	FNMA CMO 2010-43 UQ	.	08/25/2016.	PRINCIPAL		4,494	4,494	4,500	4,499				0		4,499		(4)	(4)	41	05/25/2040	1FE
36202E V9 7	GNMA CMO 2 MJM 4240	.	09/20/2016.	PRINCIPAL		5,675	5,675	6,100	6,023				0		6,023		(348)	(348)	90	09/20/2038	1FE
38373M B8 2	GNMA CMO PAC 2007-16 PS INV FLT	.	09/20/2016.	PRINCIPAL		6,504	6,504	9,683	6,736				0		6,736		(232)	(232)	219	04/20/2037	1FE
38374U BP 5	GNMA CMO 2009-32 AB	.	09/16/2016.	PRINCIPAL		14,883	14,883	15,748	14,883				0		14,883			0	94	05/16/2039	1FE
38374U CJ 8	GNMA CMO PAC 2009-32 AP	.	09/16/2016.	PRINCIPAL		14,485	14,485	15,354	14,532				0		14,532		(48)	(48)	91	05/16/2039	1FE
38375K SY 9	GNMA CMO PAC 2007-35 NL INV FLT	.	08/31/2016.	PRINCIPAL		13,419	13,419	18,116	13,419				0		13,419		(0)	(0)	302	10/16/2035	1FE
38375Y NF 5	GNMA CMO PAC 2008-79 CS	.	09/20/2016.	PRINCIPAL		11,259	11,259	11,428	11,390				0		11,390		(131)	(131)	116	06/20/2035	1FE
38377F XK 2	GNMA CMO SEQ PYR 2010-59 HA	.	09/16/2016.	PRINCIPAL		22,674	22,674	24,049	22,674				0		22,674			0	185	04/16/2036	1FE
38377M UL 8	GNMA CMO 2010-146 VA	.	09/16/2016.	PRINCIPAL		81,506	81,506	84,460	81,506				0		81,506			0	504	11/16/2040	1FE
38377N AA 2	GNMA CMO PAC-2 2010-143 CM	.	09/16/2016.	PRINCIPAL		26,660	26,660	26,677	26,674				0		26,674		(13)	(13)	153	11/16/2040	1FE
38377N G7 3	GNMA CMO 2010-157 SH	.	09/20/2016.	PRINCIPAL		8,549	8,549	8,784	8,745				0		8,745		(196)	(196)	122	12/20/2040	1FE
38377Y W6 3	GNMA CMO 2011-137 UA	.	09/20/2016.	PRINCIPAL		39,694	39,694	39,595	39,612				0		39,612		82	82	347	04/20/2041	1FE
38378A YW 5	GNMA CMO 2011-157 HJ	.	09/16/2016.	PRINCIPAL		198,840	198,840	202,630	202,018				0		202,018		(3,178)	(3,178)	1,349	04/16/2041	1FE
38378D X2 6	GNMA CMO 2012-32 GS INV FLT	.	09/16/2016.	PRINCIPAL		14,990	14,990	15,084	14,991				0		14,991		(1)	(1)	158	04/16/2041	1FE
38378E PZ 0	GNMA CMO 2012-69 HA	.	09/16/2016.	PRINCIPAL		12,403	12,403	12,372	12,377				0		12,377		26	26	109	05/16/2042	1FE
38378F SB 7	GNMA CMO 2013-5 MA	.	09/20/2016.	PRINCIPAL		28,542	28,542	27,926	28,262				0		28,262		280	280	94	07/20/2042	1FE
38378G SG 4	GNMA CMO PAC-2 2012-143 DE	.	09/20/2016.	PRINCIPAL		327,175	327,175	324,414	327,175				0		327,175			0	1,289	12/20/2042	1FE

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value 11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Desig-nation or Market Indicator (a)
38378G TC 2	GNMA CMO PAC 2012-143 ED	.	09/20/2016.	PRINCIPAL		177,646	177,646	174,315	176,035				0		176,035		1,611	1,611	692	12/20/2042	1FE
38378H J9 8	GNMA CMO 2012-128 DA	.	09/20/2016.	PRINCIPAL		91,120	91,120	89,526	90,464				0		90,464		656	656	259	08/20/2042	1FE
38378J R7 9	GNMA CMO PAC-2 2013-41 KE	.	09/20/2016.	PRINCIPAL		235,276	235,276	237,482	235,415				0		235,415		(139)	(139)	1,393	10/20/2042	1FE
38378M ST 3	GNMA CMO 2013-51 SC INV FLT	.	07/20/2016.	PRINCIPAL		15,666	15,666	15,500	15,575				0		15,575		91	91	49	04/20/2043	1FE
38378M TU 9	GNMA CMO 2013-51 C	.	07/20/2016.	PRINCIPAL		31,332	31,332	31,132	31,231				0		31,231		102	102	65	04/20/2043	1FE
38378Y UW 7	GOVERNMENT NATL MTG ASSN	.	09/20/2016.	PRINCIPAL		368,593	368,593	375,965	375,847				0		375,847		(7,254)	(7,254)	2,775	03/20/2043	1FE
0599999. Total Bonds - U.S Government						2,314,579	2,314,579	2,339,040	2,322,968	0	0	0	0	0	2,322,968	0	(8,388)	(8,388)	15,912	XXX	XXX
Bonds - All Other Government																					
36217P DU 8	GOVERNMENT NAT'L MTG ASSOC POOL #199115	.	09/15/2016.	PRINCIPAL		333	333	332	331				0		331		2	2	3	01/15/2017	1FE
38373V NM 8	GNMA 2002-63 CL NU	.	09/20/2016.	PRINCIPAL		4,089	4,089	4,222	4,161				0		4,161		(72)	(72)	40	09/20/2032	1FE
38374T RK 2	GNMA CMO TAC 2009-22 JL	.	09/20/2016.	PRINCIPAL		12,235	12,235	12,450	12,401				0		12,401		(166)	(166)	76	04/20/2039	1FE
1099999. Total Bonds - All Other Government						16,658	16,658	17,004	16,894	0	0	0	0	0	16,894	0	(236)	(236)	120	XXX	XXX
Bonds - Industrial and Miscellaneous																					
01877Z AD 2	ALLIANCE PIPELINE L P FGN SR BD SEDOL 29	.	07/06/2016.	KEYBANK NA		11,306	11,306	12,648	12,043				0		12,043		(737)	(737)		12/31/2023	2FE
01959E AA 6	ALLIED WORLD ASSURANCE CO HLDGS SENIOR N	.	08/01/2016.	MATURED		500,000	500,000	578,685	500,000				0		500,000			0	18,750	08/01/2016	2FE
12200B AA 6	BURLINGTON NORTHERN SANTA FE 04-1 TRUST.	.	07/15/2016.	KEYBANK NA		75	75	83	76				0		76		(1)	(1)		01/15/2021	1FE
12665U AA 2	CVS PASS-THROUGH TRUST	.	09/12/2016.	PRINCIPAL		3,655	3,655	3,658	3,666				0		3,666		(11)	(11)	5,475	01/10/2036	2FE
21079V AA 1	CONTINENTAL AIRLINES INC BOND SER 2010-1	.	07/12/2016.	PRINCIPAL		21,034	21,034	20,509	20,806				0		20,806		228	228	8,728	01/12/2021	1FE
35671D BC 8	FREEPORT-MCMORAN COPPER & GOLD SENIOR BD	.	07/13/2016.	INSTITUTIONAL SALES DALLAS		399,375	500,000	511,360	510,120				0		510,120		(110,745)	(110,745)	8,932	03/15/2043	2FE
51817T AA 0	LATAM AIRLINES GROUP S A	.	09/09/2016.	PRINCIPAL PAYMENT		3,864	3,864	3,574	3,584				0		3,584		280	280		11/15/2027	1FE
51817T AA 0	LATAM AIRLINES GROUP S A	.	09/13/2016.	EXCHANGE		224,323	241,820	223,683	224,016		298		298		224,323			0		11/15/2027	1FE
55336V AF 7	MPLX L P	.	09/29/2016.	EXCHANGE		487,946	500,000	487,500	487,649		298		298		487,946			0		12/01/2024	1FE
55336V AF 7	MPLX L P	.	09/29/2016.	EXCHANGE		502,470	500,000	502,500	502,440		(30)		(30)		502,470			0		12/01/2024	1FE
84474Y AA 4	SOUTHWEST AIRLINES CO BOND SER 2007-1 CL	.	08/01/2016.	PRINCIPAL PAYMENT		13,769	13,769	15,146	14,562				0		14,562		(793)	(793)		02/01/2024	1FE
907834 AG 0	UNION PACIFIC RESOURCES DEB	.	07/29/2016.	JEFFERIES AND CO		580,730	500,000	576,340	554,286				0		554,286		26,444	26,444	7,349	05/15/2028	2FE
90783V AA 3	UNION PACIFIC RAILROAD BOND 2005-1 TRUST	.	07/05/2016.	PRINCIPAL		7,570	7,570	7,949	7,793				0		7,793		(222)	(222)	8,514	01/02/2029	1
3899999. Total Bonds - Industrial and Miscellaneous						2,756,118	2,803,093	2,943,634	2,841,042	0	565	0	565	0	2,841,677	0	(85,559)	(85,559)	57,748	XXX	XXX
8399997. Total Bonds - Part 4						5,087,356	5,134,331	5,299,678	5,180,904	0	565	0	565	0	5,181,539	0	(94,183)	(94,183)	73,780	XXX	XXX
8399999. Total Bonds						5,087,356	5,134,331	5,299,678	5,180,904	0	565	0	565	0	5,181,539	0	(94,183)	(94,183)	73,780	XXX	XXX
Common Stocks - Industrial and Miscellaneous																					
74347W 88 2	PROSHARES TRUST II ULTRA SHORT EURO ETF	.	08/24/2016.	UBS	3,900.000	89,903	XXX	101,519	101,519				0		101,519		(11,616)	(11,616)		XXX	L
9099999. Total Common Stocks - Industrial and Miscellaneous						89,903	XXX	101,519	101,519	0	0	0	0	0	101,519	0	(11,616)	(11,616)	0	XXX	XXX
9799997 Total Common Stocks - Part 4						89,903	XXX	101,519	101,519	0	0	0	0	0	101,519	0	(11,616)	(11,616)	0	XXX	XXX

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22
										Change in Book/Adjusted Carrying Value											
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Desig-nation or Market Indicator (a)
9799999. Total Common Stocks						89,903	XXX	101,519	101,519	0	0	0	0	0	101,519	0	(11,616)	(11,616)	0	XXX	XXX
9899999. Total Preferred and Common Stocks						89,903	XXX	101,519	101,519	0	0	0	0	0	101,519	0	(11,616)	(11,616)	0	XXX	XXX
9999999. Total Bonds, Preferred and Common Stocks						5,177,259	XXX	5,401,197	5,282,423	0	565	0	565	0	5,283,058	0	(105,799)	(105,799)	73,780	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:...............0.

Sch. DB - Pt. A - Sn. 1
NONE

Sch. DB - Pt. B - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 2
NONE

Sch. DL - Pt. 1
NONE

Sch. DL - Pt. 2
NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1		2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
						6	7	8	
Depository		Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	First Month	Second Month	Third Month	*
Open Depositories									
KEY BANK - General Accnt	CLEVELAND OH					2,045,756	2,187,780	2,031,437	XXX
KEY BANK - FCSU Corp Center	CLEVELAND OH					74,375	93,586	111,142	XXX
KEY BANK Investment Sweep	CLEVELAND OH		0.170	7,565		11,716,662	9,460,795	7,681,722	XXX
KEY BANK - Jednota Youth Building Fund	CLEVELAND OH		3.000			318,241	318,241	318,241	XXX
FHLB	CINCINNATI OH		0.020	440		1,974,711	823,347	1,823,184	XXX
BANK OF MONTREAL	TORONTO ONTARIO CANADA					4,523	4,508	3,429	XXX
KEY BANK-CANADIAN FOREIGN CURRENCY UNITS	CLEVELAND OH					307,796	307,796	307,796	XXX
PETTY CASH	CLEVELAND OH					500	500	500	XXX
US BANK - NORTH CAROLINA DEPOSIT	MINNEAPOLIS MN					5,000	5,000	5,000	XXX
UBS CASH ACCOUNT	CLEVELAND OH		0.020	51		2,072,293	2,162,690	2,162,710	XXX
0199999. Total Open Depositories		XXX	XXX	8,055	0	18,519,857	15,364,243	14,445,161	XXX
0399999. Total Cash on Deposit		XXX	XXX	8,055	0	18,519,857	15,364,243	14,445,161	XXX
0599999. Total Cash		XXX	XXX	8,055	0	18,519,857	15,364,243	14,445,161	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year

NONE