



QUARTERLY STATEMENT
AS OF SEPTEMBER 30, 2016
OF THE CONDITION AND AFFAIRS OF THE
DELTA DENTAL PLAN OF OHIO, INC.

NAIC Group Code	0477 (Current Period)	0477 (Prior Period)	NAIC Company Code	54402	Employer's ID Number	31-0685339
Organized under the Laws of	Ohio		State of Domicile or Port of Entry	Ohio		
Country of Domicile	United States of America					
Licensed as business type:	Life, Accident & Health[] Dental Service Corporation[] Other[X]		Property/Casualty[] Vision Service Corporation[] Is HMO Federally Qualified? Yes[] No[] N/A[X]		Hospital, Medical & Dental Service or Indemnity[] Health Maintenance Organization[]	
Incorporated/Organized	03/06/1960		Commenced Business	04/01/1964		
Statutory Home Office	5600 Blazer Pkwy., Suite 150 (Street and Number)		Dublin, OH, 43017 (City or Town, State, Country and Zip Code)			
Main Administrative Office			4100 Okemos Road (Street and Number)			
	Okemos, MI, 48864 (City or Town, State, Country and Zip Code)		(517)349-6000 (Area Code) (Telephone Number)			
Mail Address	P.O. Box 30416 (Street and Number or P.O. Box)		Lansing, MI, 48909-7916 (City or Town, State, Country and Zip Code)			
Primary Location of Books and Records			4100 Okemos Road (Street and Number)			
	Okemos, MI, 48864 (City or Town, State, Country and Zip Code)		(517)349-6000 (Area Code) (Telephone Number)			
Internet Web Site Address	http://ddpoh.com/					
Statutory Statement Contact	Glenn R. Simon, CPA (Name)		(517)347-5405 (Area Code)(Telephone Number)(Extension)			
	gsimon@deltadentalmi.com (E-Mail Address)		(517)381-5572 (Fax Number)			

OFFICERS

Name	Title
Laura Linda Czelada, CPA	President & CEO
James Robert Stahl, DDS	Secretary
Ann Marie Flermoen, DDS	Treasurer
Douglas Robert Anderson, DDS, MS, JD	Chairperson
Bruce Randall Smith	Vice Chairperson
Michael Thomas Schaeffer, DDS	Immediate Past Chairperson

OTHERS

Goran Mike Jurkovic, CPA, CGMA, COO, CFO & CRO
Amy Lyn Basel, CPA, CGMA, Vice President, Finance
Jonathan Stong Groat, Vice President & General Counsel

DIRECTORS OR TRUSTEES

Douglas Robert Anderson, DDS, MS, JD
Patrick Thomas Cahill, JD
Timothy Eldon Moffit, DBA
Bruce Randall Smith
Frank Buzaki, Jr.
Ann Marie Flermoen, DDS
Michael Thomas Schaeffer, DDS
James Robert Stahl, DDS

State of Michigan
County of Eaton ss

The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature) Laura Linda Czelada., CPA (Printed Name) 1. President & CEO (Title)	(Signature) Goran Mike Jurkovic, CPA, CGMA (Printed Name) 2. COO, CFO & CRO (Title)	(Signature) Amy Lyn Basel, CPA, CGMA (Printed Name) 3. VP Finance (Title)
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Subscribed and sworn to before me this
day of November, 2016
a. Is this an original filing?
b. If no, 1. State the amendment number
2. Date filed
3. Number of pages attached
Yes[X] No[]

(Notary Public Signature)

ASSETS

		Current Statement Date			4
		1	2	3	
		Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
1.	Bonds	53,262,329		53,262,329	44,849,765
2.	Stocks:				
2.1	Preferred stocks	257,122		257,122	562,319
2.2	Common stocks	98,971,908		98,971,908	91,021,553
3.	Mortgage loans on real estate:				
3.1	First liens				
3.2	Other than first liens				
4.	Real estate:				
4.1	Properties occupied by the company (less \$.....0 encumbrances)				
4.2	Properties held for the production of income (less \$.....0 encumbrances)				
4.3	Properties held for sale (less \$.....0 encumbrances)				
5.	Cash (\$.....(973,031)), cash equivalents (\$.....0) and short-term investments (\$.....3,828,484)	2,855,453		2,855,453	10,008,993
6.	Contract loans (including \$.....0 premium notes)				
7.	Derivatives				
8.	Other invested assets	1,750,000	1,389,807	360,193	777,677
9.	Receivables for securities				44,855
10.	Securities lending reinvested collateral assets				
11.	Aggregate write-ins for invested assets				
12.	Subtotals, cash and invested assets (Lines 1 to 11)	157,096,812	1,389,807	155,707,005	147,265,162
13.	Title plants less \$.....0 charged off (for Title insurers only)				
14.	Investment income due and accrued	188,882		188,882	186,273
15.	Premiums and considerations:				
15.1	Uncollected premiums and agents' balances in the course of collection	2,038,580	9,301	2,029,279	1,227,922
15.2	Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums)				
15.3	Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0)				
16.	Reinsurance:				
16.1	Amounts recoverable from reinsurers				
16.2	Funds held by or deposited with reinsured companies				
16.3	Other amounts receivable under reinsurance contracts				
17.	Amounts receivable relating to uninsured plans	13,984,164	3,847	13,980,317	9,548,442
18.1	Current federal and foreign income tax recoverable and interest thereon				
18.2	Net deferred tax asset				
19.	Guaranty funds receivable or on deposit				
20.	Electronic data processing equipment and software				
21.	Furniture and equipment, including health care delivery assets (\$.....0)				
22.	Net adjustments in assets and liabilities due to foreign exchange rates				
23.	Receivables from parent, subsidiaries and affiliates	6,584		6,584	685,776
24.	Health care (\$.....0) and other amounts receivable	10,000		10,000	10,000
25.	Aggregate write-ins for other-than-invested assets				
26.	TOTAL assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	173,325,022	1,402,955	171,922,067	158,923,575
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28.	TOTAL (Lines 26 and 27)	173,325,022	1,402,955	171,922,067	158,923,575
DETAILS OF WRITE-INS					
1101.					
1102.					
1103.					
1198.	Summary of remaining write-ins for Line 11 from overflow page				
1199.	TOTALS (Lines 1101 through 1103 plus 1198) (Line 11 above)				
2501.					
2502.					
2503.					
2598.	Summary of remaining write-ins for Line 25 from overflow page				
2599.	TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above)				

LIABILITIES, CAPITAL AND SURPLUS

		Current Period			Prior Year
		1 Covered	2 Uncovered	3 Total	4 Total
1.	Claims unpaid (less \$.....0 reinsurance ceded)	6,757,301		6,757,301	9,954,986
2.	Accrued medical incentive pool and bonus amounts				
3.	Unpaid claims adjustment expenses	206,405		206,405	183,055
4.	Aggregate health policy reserves, including the liability of \$.....0 for medical loss ratio rebate per the Public Health Service Act				
5.	Aggregate life policy reserves				
6.	Property/casualty unearned premium reserve				
7.	Aggregate health claim reserves				
8.	Premiums received in advance	1,899,951		1,899,951	2,542,893
9.	General expenses due or accrued	1,923,395		1,923,395	2,368,798
10.1	Current federal and foreign income tax payable and interest thereon (including \$.....0 on realized gains (losses))				
10.2	Net deferred tax liability				
11.	Ceded reinsurance premiums payable				
12.	Amounts withheld or retained for the account of others	2,034,997		2,034,997	1,842,254
13.	Remittances and items not allocated				
14.	Borrowed money (including \$.....0 current) and interest thereon \$.....0 (including \$.....0 current)				
15.	Amounts due to parent, subsidiaries and affiliates	1,329,417		1,329,417	11,427
16.	Derivatives				
17.	Payable for securities				118,221
18.	Payable for securities lending				
19.	Funds held under reinsurance treaties with (\$.....0 authorized reinsurers, \$.....0 unauthorized reinsurers and \$.....0 certified reinsurers)				
20.	Reinsurance in unauthorized and certified (\$.....0) companies				
21.	Net adjustments in assets and liabilities due to foreign exchange rates				
22.	Liability for amounts held under uninsured plans	6,335,674		6,335,674	6,748,749
23.	Aggregate write-ins for other liabilities (including \$.....251,863 current)	251,863		251,863	232,363
24.	Total liabilities (Lines 1 to 23)	20,739,003		20,739,003	24,002,746
25.	Aggregate write-ins for special surplus funds	X X X	X X X		1,700,120
26.	Common capital stock	X X X	X X X		
27.	Preferred capital stock	X X X	X X X		
28.	Gross paid in and contributed surplus	X X X	X X X	9,712,604	9,712,604
29.	Surplus notes	X X X	X X X		
30.	Aggregate write-ins for other-than-special surplus funds	X X X	X X X		
31.	Unassigned funds (surplus)	X X X	X X X	141,470,460	123,508,105
32.	Less treasury stock, at cost:				
32.1	0 shares common (value included in Line 26 \$.....0)	X X X	X X X		
32.2	0 shares preferred (value included in Line 27 \$.....0)	X X X	X X X		
33.	Total capital and surplus (Lines 25 to 31 minus Line 32)	X X X	X X X	151,183,064	134,920,829
34.	Total Liabilities, capital and surplus (Lines 24 and 33)	X X X	X X X	171,922,067	158,923,575
DETAILS OF WRITE-INS					
2301.	Uninsured Claim Admin Expense Reserve	251,863		251,863	232,363
2302.					
2303.					
2398.	Summary of remaining write-ins for Line 23 from overflow page				
2399.	TOTALS (Lines 2301 through 2303 plus 2398) (Line 23 above)	251,863		251,863	232,363
2501.	2016 ACA Fee	X X X	X X X		1,700,120
2502.		X X X	X X X		
2503.		X X X	X X X		
2598.	Summary of remaining write-ins for Line 25 from overflow page	X X X	X X X		
2599.	TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above)	X X X	X X X		1,700,120
3001.		X X X	X X X		
3002.		X X X	X X X		
3003.		X X X	X X X		
3098.	Summary of remaining write-ins for Line 30 from overflow page	X X X	X X X		
3099.	TOTALS (Lines 3001 through 3003 plus 3098) (Line 30 above)	X X X	X X X		

STATEMENT OF REVENUE AND EXPENSES

		Current Year To Date		Prior Year To Date	Prior Year Ended December 31
		1 Uncovered	2 Total	3 Total	4 Total
1.	Member Months	X X X	6,408,200	6,022,419	8,052,726
2.	Net premium income (including \$.....0 non-health premium income)	X X X	161,933,080	150,396,862	201,562,614
3.	Change in unearned premium reserves and reserves for rate credits	X X X			
4.	Fee-for-service (net of \$.....0 medical expenses)	X X X			
5.	Risk revenue	X X X			
6.	Aggregate write-ins for other health care related revenues	X X X			
7.	Aggregate write-ins for other non-health revenues	X X X			
8.	Total revenues (Lines 2 to 7)	X X X	161,933,080	150,396,862	201,562,614
Hospital and Medical:					
9.	Hospital/medical benefits				
10.	Other professional services		138,172,435	127,342,915	170,266,345
11.	Outside referrals				
12.	Emergency room and out-of-area				
13.	Prescription drugs				
14.	Aggregate write-ins for other hospital and medical				
15.	Incentive pool, withhold adjustments and bonus amounts				
16.	Subtotal (Lines 9 to 15)		138,172,435	127,342,915	170,266,345
Less:					
17.	Net reinsurance recoveries				
18.	Total hospital and medical (Lines 16 minus 17)		138,172,435	127,342,915	170,266,345
19.	Non-health claims (net)				
20.	Claims adjustment expenses, including \$.....350,155 cost containment expenses		990,483	2,102,311	1,118,814
21.	General administrative expenses		12,293,253	9,933,409	13,849,755
22.	Increase in reserves for life and accident and health contracts (including \$.....0 increase in reserves for life only)				
23.	Total underwriting deductions (Lines 18 through 22)		151,456,171	139,378,635	185,234,914
24.	Net underwriting gain or (loss) (Lines 8 minus 23)	X X X	10,476,909	11,018,227	16,327,700
25.	Net investment income earned		1,744,825	2,003,373	3,388,827
26.	Net realized capital gains (losses) less capital gains tax of \$.....0		904,781	1,184,283	(119,706)
27.	Net investment gains or (losses) (Lines 25 plus 26)		2,649,606	3,187,656	3,269,121
28.	Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$.....0) (amount charged off \$.....0)]		2,068	2,009	1,990
29.	Aggregate write-ins for other income or expenses		3,534	(1,399,359)	(1,005,140)
30.	Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	X X X	13,132,117	12,808,533	18,593,671
31.	Federal and foreign income taxes incurred	X X X			
32.	Net income (loss) (Lines 30 minus 31)	X X X	13,132,117	12,808,533	18,593,671
DETAILS OF WRITE-INS					
0601.		X X X			
0602.		X X X			
0603.		X X X			
0698.	Summary of remaining write-ins for Line 6 from overflow page	X X X			
0699.	TOTALS (Lines 0601 through 0603 plus 0698) (Line 6 above)	X X X			
0701.		X X X			
0702.		X X X			
0703.		X X X			
0798.	Summary of remaining write-ins for Line 7 from overflow page	X X X			
0799.	TOTALS (Lines 0701 through 0703 plus 0798) (Line 7 above)	X X X			
1401.					
1402.					
1403.					
1498.	Summary of remaining write-ins for Line 14 from overflow page				
1499.	TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above)				
2901.	Miscellaneous Income (Expense)		3,534	641	394,860
2902.	Contribution to Delta Dental Fund			(1,400,000)	(1,400,000)
2903.					
2998.	Summary of remaining write-ins for Line 29 from overflow page				
2999.	TOTALS (Lines 2901 through 2903 plus 2998) (Line 29 above)		3,534	(1,399,359)	(1,005,140)

STATEMENT OF REVENUE AND EXPENSES (Continued)

		1	2	3
		Current Year To Date	Prior Year To Date	Prior Year Ended December 31
CAPITAL & SURPLUS ACCOUNT				
33.	Capital and surplus prior reporting year	134,920,829	117,726,110	117,726,110
34.	Net income or (loss) from Line 32	13,132,117	12,808,533	18,593,671
35.	Change in valuation basis of aggregate policy and claim reserves			
36.	Change in net unrealized capital gains (losses) less capital gains tax of \$.....0	3,543,983	(4,615,965)	(2,681,065)
37.	Change in net unrealized foreign exchange capital gain or (loss)			
38.	Change in net deferred income tax			
39.	Change in nonadmitted assets	(413,865)	371,940	1,282,113
40.	Change in unauthorized and certified reinsurance			
41.	Change in treasury stock			
42.	Change in surplus notes			
43.	Cumulative effect of changes in accounting principles			
44.	Capital Changes:			
44.1	Paid in			
44.2	Transferred from surplus (Stock Dividend)			
44.3	Transferred to surplus			
45.	Surplus adjustments:			
45.1	Paid in			
45.2	Transferred to capital (Stock Dividend)			
45.3	Transferred from capital			
46.	Dividends to stockholders			
47.	Aggregate write-ins for gains or (losses) in surplus			
48.	Net change in capital and surplus (Lines 34 to 47)	16,262,235	8,564,508	17,194,719
49.	Capital and surplus end of reporting period (Line 33 plus 48)	151,183,064	126,290,618	134,920,829
DETAILS OF WRITE-INS				
4701.				
4702.				
4703.				
4798.	Summary of remaining write-ins for Line 47 from overflow page			
4799.	TOTALS (Lines 4701 through 4703 plus 4798) (Line 47 above)			

CASH FLOW

		1	2	3
		Current	Prior	Prior
		Year	Year	Year Ended
		To Date	To Date	December 31
Cash from Operations				
1.	Premiums collected net of reinsurance	160,494,220	150,383,366	202,742,618
2.	Net investment income	2,205,887	2,104,306	3,541,845
3.	Miscellaneous income	3,534	641	394,858
4.	TOTAL (Lines 1 to 3)	162,703,641	152,488,313	206,679,321
5.	Benefit and loss related payments	141,370,120	127,862,115	166,917,959
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7.	Commissions, expenses paid and aggregate write-ins for deductions	16,533,808	15,818,739	13,022,327
8.	Dividends paid to policyholders			
9.	Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses)			
10.	TOTAL (Lines 5 through 9)	157,903,928	143,680,854	179,940,286
11.	Net cash from operations (Line 4 minus Line 10)	4,799,713	8,807,459	26,739,035
Cash from Investments				
12.	Proceeds from investments sold, matured or repaid:			
12.1	Bonds	11,558,889	12,180,437	15,286,389
12.2	Stocks	17,293,081	9,118,463	20,576,702
12.3	Mortgage loans			
12.4	Real estate			
12.5	Other invested assets			750,000
12.6	Net gains or (losses) on cash, cash equivalents and short-term investments			
12.7	Miscellaneous proceeds	44,855		73,366
12.8	TOTAL investment proceeds (Lines 12.1 to 12.7)	28,896,825	21,298,900	36,686,457
13.	Cost of investments acquired (long-term only):			
13.1	Bonds	20,526,161	14,026,210	22,831,418
13.2	Stocks	20,398,438	18,822,633	34,385,201
13.3	Mortgage loans			
13.4	Real estate			
13.5	Other invested assets	118,221		
13.6	Miscellaneous applications			
13.7	TOTAL investments acquired (Lines 13.1 to 13.6)	41,042,820	32,848,843	57,216,619
14.	Net increase (or decrease) in contract loans and premium notes			
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(12,145,995)	(11,549,943)	(20,530,162)
Cash from Financing and Miscellaneous Sources				
16.	Cash provided (applied):			
16.1	Surplus notes, capital notes			
16.2	Capital and paid in surplus, less treasury stock			
16.3	Borrowed funds			
16.4	Net deposits on deposit-type contracts and other insurance liabilities			
16.5	Dividends to stockholders			
16.6	Other cash provided (applied)	192,742	(31,039)	(1,352,623)
17.	Net cash from financing and miscellaneous sources (Line 16.1 through 16.4 minus Line 16.5 plus Line 16.6)	192,742	(31,039)	(1,352,623)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS				
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(7,153,540)	(2,773,523)	4,856,250
19.	Cash, cash equivalents and short-term investments:			
19.1	Beginning of year	10,008,993	5,152,743	5,152,743
19.2	End of period (Line 18 plus Line 19.1)	2,855,453	2,379,220	10,008,993

Note: Supplemental Disclosures of Cash Flow Information for Non-Cash Transactions:

20.0001				
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EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year	678,239					678,239				
2. First Quarter	714,144					714,144				
3. Second Quarter	708,478					708,478				
4. Third Quarter	711,285					711,285				
5. Current Year										
6. Current Year Member Months	6,408,200					6,408,200				
Total Member Ambulatory Encounters for Period:										
7. Physician										
8. Non-Physician										
9. Total										
10. Hospital Patient Days Incurred										
11. Number of Inpatient Admissions										
12. Health Premiums Written (a)	161,933,080					161,933,080				
13. Life Premiums Direct										
14. Property/Casualty Premiums Written										
15. Health Premiums Earned	161,933,080					161,933,080				
16. Property/Casualty Premiums Earned										
17. Amount Paid for Provision of Health Care Services	141,370,120					141,370,120				
18. Amount Incurred for Provision of Health Care Services	138,172,435					138,172,435				

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$.....0.

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claims						
1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 days	6 Over 120 Days	7 Total
0199999 Individually Listed Claims Unpaid						
0299999 Aggregate Accounts Not Individually Listed - Uncovered						
0399999 Aggregate Accounts Not Individually Listed - Covered	1,797,442	83,250	11,352			1,892,044
0499999 Subtotals	1,797,442	83,250	11,352			1,892,044
0599999 Unreported claims and other claim reserves						4,865,257
0699999 Total Amounts Withheld						
0799999 Total Claims Unpaid						6,757,301
0899999 Accrued Medical Incentive Pool And Bonus Amounts						

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE

Line of Business		Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1+3)	6 Estimated Claim Reserve and Claim Liability Dec 31 of Prior Year
		1	2	3	4		
		On Claims Incurred Prior to January 1 of Current Year	On Claims Incurred During the Year	On Claims Unpaid Dec 31 of Prior Year	On Claims Incurred During the Year		
1.	Comprehensive (hospital & medical)						
2.	Medicare Supplement						
3.	Dental only	9,320,272	132,049,848	116,601	6,640,700	9,436,873	9,954,986
4.	Vision only						
5.	Federal Employees Health Benefits Plan						
6.	Title XVIII - Medicare						
7.	Title XIX - Medicaid						
8.	Other health						
9.	Health subtotal (Lines 1 to 8)	9,320,272	132,049,848	116,601	6,640,700	9,436,873	9,954,986
10.	Healthcare receivables (a)						
11.	Other non-health						
12.	Medical incentive pools and bonus amounts						
13.	Totals (Lines 9 - 10 + 11 + 12)	9,320,272	132,049,848	116,601	6,640,700	9,436,873	9,954,986

(a) Excludes \$.00 loans or advances to providers not yet expensed.

Notes to Financial Statement

1. Summary of Significant Accounting Policies

A. Accounting Practices

The financial statements of Delta Dental Plan of Ohio (Company) are presented on the basis of accounting practices prescribed or permitted by the State of Ohio Insurance Department in accordance with the National Association of Insurance Commissioners (NAIC) *Accounting Practices and Procedures Manual*.

The State of Ohio Insurance Department recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance Law. The NAIC *Accounting Practices and Procedures* manual has been adopted as a component of prescribed or permitted practices by the State of Ohio. The state has not adopted any accounting practices that differ from those found in NAIC SAP with regards to completion the Company’s financial statement.

Description	September 30, 2016	December 31, 2015
Net Income, OH	\$ 13,132,117	\$ 18,593,671
Effect of OH prescribed practices		
Effect of OH permitted practices		
Net income, NAIC SAP	\$ 13,132,117	\$ 18,593,671

Description	September 30, 2016	December 31, 2015
Statutory Surplus, OH	\$ 151,183,064	\$ 134,920,829
Effect of OH prescribed practices		
Effect of OH permitted practices		
Policyholders Surplus, NAIC SAP	\$ 151,183,064	\$ 134,920,829

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

C. Accounting Policy

Health premiums are earned ratably over the terms of the related insurance contracts or policies. Expenses incurred in connection with acquiring new insurance business, including acquisition costs such as sales commissions, are charged to operations as incurred.

In addition, the company uses the following accounting policies:

- (1) Short-term investments are stated at amortized cost.
- (2) Bonds not backed by other loans are stated at amortized cost using the interest method.
- (3) Common stocks are recorded at market value except investments in stocks of uncombined subsidiaries and affiliates in which the Company has an interest of 20% or more are carried on the statutory equity basis.
- (4) Preferred stocks are stated in accordance with the guidance provided in SSAP No. 32.
- (5) No mortgage loans on real estate are held as of September 30, 2016 and 2015.
- (6) Loan backed securities are stated at amortized cost. The retrospective adjustment method is used to value MBS’s and the scientific interest method is used to value CMO’s.
- (7) The Company carries its investment in a non-insurance affiliate at the audited GAAP equity basis adjusted to a statutory equity basis.
- (8) The Company has no ownership interests in joint ventures, partnerships or limited liability companies as of September 30, 2016 and 2015.
- (9) No derivatives are held as of September 30, 2016 and 2015.
- (10) Not applicable.
- (11) Unpaid losses and loss adjustment expenses include an amount determined from individual case estimates and loss reports and an amount, based on past experience, for losses incurred but not reported. Such liabilities are necessarily based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liability are continually reviewed and any adjustments are reflected in the period determined.
- (12) The Company has not modified it capitalization policy from the prior period.
- (13) Not applicable

2. Accounting Changes and Corrections of Errors

- A. 1. None.
2. None.
3. None.
4. None.

Notes to Financial Statement

3. Business Combinations and Goodwill

No change.

4. Discontinued Operations

No change.

5. Investments

- A. The Company owned no mortgage loans.
- B. The Company had no investments in restructured debt.
- C. The Company had no investments in reverse mortgages.
- D. The Company had no investments in loan backed securities that are recorded at other-than-temporarily impaired values.
- E. The Company had no repurchase agreements.
- F. The Company does not have investments in real estate.
- G. The Company has no investments in low-income housing tax credits (LIHTC).
- H. Restricted Assets

(1) Restricted Assets (Including Pledged)

Restricted Asset Category	1	2	3	4	5	6
	Total Gross Restricted from Current Year	Total Gross Restricted from Prior Year	Increase/ (Decrease) (1 minus 2)	Total Current Year Admitted Restricted	Percentage Gross Restricted to Total Assets	Percentage Admitted Restricted to Total Admitted Assets
a. Subject to contractual obligation for which liability is not shown						
b. Collateral held under security lending agreements						
c. Subject to repurchase agreements						
d. Subject to reverse repurchase agreements						
e. Subject to dollar repurchase agreements						
f. Subject to dollar reverse repurchase agreements						
g. Placed under option contracts						
h. Letter stock or securities restricted as to sale						
i. FHLB capital stock						
j. On deposit with states	150,357	150,456	(99)	150,357	0.087%	0.087%
k. On deposit with other regulatory bodies						
l. Pledged as collateral to FHLB						
m. Pledged as collateral not captured in other categories						
n. Other restricted assets						
o. Total Restricted Assets						

(2) & (3) – Not applicable

6. Joint Ventures, Partnerships and Limited Liability Companies

No change.

Notes to Financial Statement

7. Investment Income

No change.

8. Derivative Instruments

No change.

9. Income Taxes

No change.

10. Information Concerning Parent, Subsidiaries and Affiliates

No change.

11. Debt

No change.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

No change.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations.

No change.

14. Contingencies

No change.

15. Leases

No change.

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No change.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

- A. The Company had no transfers of receivables reported as sales.
- B. The Company had no transfer or servicing of Financial Assets.
- C. The Company was not involved in any wash sales during the periods presented in these statements.

18. Gain or Loss to the Reporting Entity from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans

No material change.

19. Direct Premiums Written/Produced by Managing General Agents/Third Party Administrators

No change.

Notes to Financial Statement

20. Fair Value Measurements

A. Assets Measured at Fair Value on a Recurring Basis

Description	(Level 1)	(Level 2)	(Level 3)	Total
a. Assets at fair value				
Perpetual Preferred stock				
Industrial & Misc	\$134,917	\$123,284	\$0	\$258,201
Parent, Subs, and Affiliate	0	0	0	\$0
Total Perpetual Preferred Stocks	\$134,917	\$123,284	\$0	\$258,201
Bonds				
U.S. Governments	\$0	\$5,676,360	\$0	\$5,676,360
Industrial & Misc	0	50,110,645	0	50,110,645
Hybrid Securities	0	0	0	0
Parent, Subs, and Affiliate	0	0	0	0
Total Bonds	\$0	\$55,787,005	\$0	\$55,787,005
Common stock				
Industrial & Misc	\$79,006,561	\$0	\$0	\$79,006,561
Parent, Subs, and Affiliate		0	0	0
Total Common Stocks	\$79,006,561	\$0	\$0	\$79,006,561
Derivative assets				
Interest rate contracts	\$0	\$0	\$0	\$0
Foreign exchange contracts	0	0	0	0
Credit contracts	0	0	0	0
Commodity futures contracts	0	0	0	0
Commodity forward contracts	0	0	0	0
Total Derivatives	\$0	\$0	\$0	\$0
Separate account assets	\$0	\$0	\$0	\$0
Total assets at fair value	\$79,141,478	\$55,910,289	\$0	\$135,051,767
b. Liabilities at fair value				
Derivative liabilities	\$0	\$0	\$0	\$0
Total liabilities at fair value	\$0	\$0	\$0	\$0

- 2. No change.
- 3. No change.
- 4. No change.
- 5. No change.

B. None.

C. None

D. None

21. Other Items

No change.

22. Events Subsequent

The Company has no events subsequent to September 30, 2016, that may have a material effect on these financial statements.

23. Reinsurance

No change.

24. Retrospectively Rated Contracts & Contracts Subject to Re-determination

No material change.

Notes to Financial Statement

25. Change in Incurred Claims and Claim Adjustment Expenses

Reserves as of December 31, 2015 were \$10,138,041. As of September 30, 2016, \$9,503,327 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Remaining reserves for prior years are now \$116,601 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a \$518,113 favorable prior-year gains development since December 31, 2015 to September 30, 2016. This decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased as additional information becomes known regarding individual claims. The business to which this relates does not include retrospectively rated policies, therefore there was no return premium accrued as a result of the prior year effects.

26. Intercompany Pooling Arrangements

No change.

27. Structured Settlement

No change.

28. Health Care Receivables

No change.

29. Participating Policies

No change.

30. Premium Deficiency Reserves

- | | |
|---|-----------|
| 1. Liability carried for premium deficiency reserves | \$ 0 |
| 2. Date of the most recent evaluation of this liability | 9/30/2016 |
| 3. Was anticipated investment income utilized in the calculation? | Yes No X |

31. Anticipated Salvage and Subrogation

No change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES
GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes[] No[X]
- 1.2 If yes, has the report been filed with the domiciliary state?

Yes[] No[] N/A[X]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes[] No[X]
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

Yes[X] No[]
- If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes[] No[X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes:
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes[] No[X]
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?

If yes, attach an explanation.

Yes[] No[X] N/A[]
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2013
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2013
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

05/27/2015
- 6.4 By what department or departments?

State of Ohio Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes[] No[] N/A[X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with?

Yes[X] No[] N/A[]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes[] No[X]
- 7.2 If yes, give full information
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes[] No[X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms?

Yes[] No[X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
		Yes[] No[X]	Yes[] No[X]	Yes[] No[X]	Yes[] No[X]

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

(c) Compliance with applicable governmental laws, rules and regulations;

(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

(e) Accountability for adherence to the code.

Yes[X] No[]
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended?

Yes[] No[X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers?

Yes[] No[X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes[X] No[]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$ 0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes[] No[X]
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$ 0
13. Amount of real estate and mortgages held in short-term investments:

\$ 0

GENERAL INTERROGATORIES (Continued)

INVESTMENT

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?

14.2 If yes, please complete the following:

Yes[X] No[]

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds		
14.22 Preferred Stock		
14.23 Common Stock	19,295,452	19,965,347
14.24 Short-Term Investments		
14.25 Mortgages Loans on Real Estate		
14.26 All Other	1,750,000	1,750,000
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	21,045,452	21,715,347
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above		

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2
16.3 Total payable for securities lending reported on the liability page

Yes[] No[X]
Yes[] No[] N/A[X]

\$ 0
\$ 0
\$ 0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

Yes[X] No[]

1 Name of Custodian(s)	2 Custodian Address
Bank of America/Merrill Lynch	135. LaSalle St ., Chicago, IL 60603
Fifth Third Bank	P.O. Box 630900, Cincinnati, OH 45263-0900
First Premiere Bank	PO Box 1348, Sioux Falls, SD 57101

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....		

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?

17.4 If yes, give full and complete information relating thereto:

Yes[] No[X]

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....			

17.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
108633	AEW REIT	Two Seaport Lane, Boston, MA 02210-2021
104650	ClearArc Capital, Inc.	111 Lyon Street NW, MD RMNR2B Grand Rapids, MI 49503
105360	Invesco Advisers, Inc.	1555 Peachtree Street, NE, Atlanta, GA 30309
107038	JP Morgan	270 Park Avenue, New York, NY 10017
74069	McDonnell Investment Management, LLC	1515 W 22nd St., 11th Fl., Oak Brook, IL 60523

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed?

18.2 If no, list exceptions:

Yes[X] No[]

GENERAL INTERROGATORIES

PART 2 - HEALTH

1. Operating Percentages:	
1.1 A&H loss percent	86.000%
1.2 A&H cost containment percent	0.000%
1.3 A&H expense percent excluding cost containment expenses	8.000%
2.1 Do you act as a custodian for health savings accounts?	Yes[] No[X]
2.2 If yes, please provide the amount of custodial funds held as of the reporting date.	\$..... 0
2.3 Do you act as an administrator for health savings accounts?	Yes[] No[X]
2.4 If yes, please provide the balance of the funds administered as of the reporting date.	\$..... 0

SCHEDULE S - CEDED REINSURANCE
Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
			NONE					

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS
Current Year to Date - Allocated by States and Territories

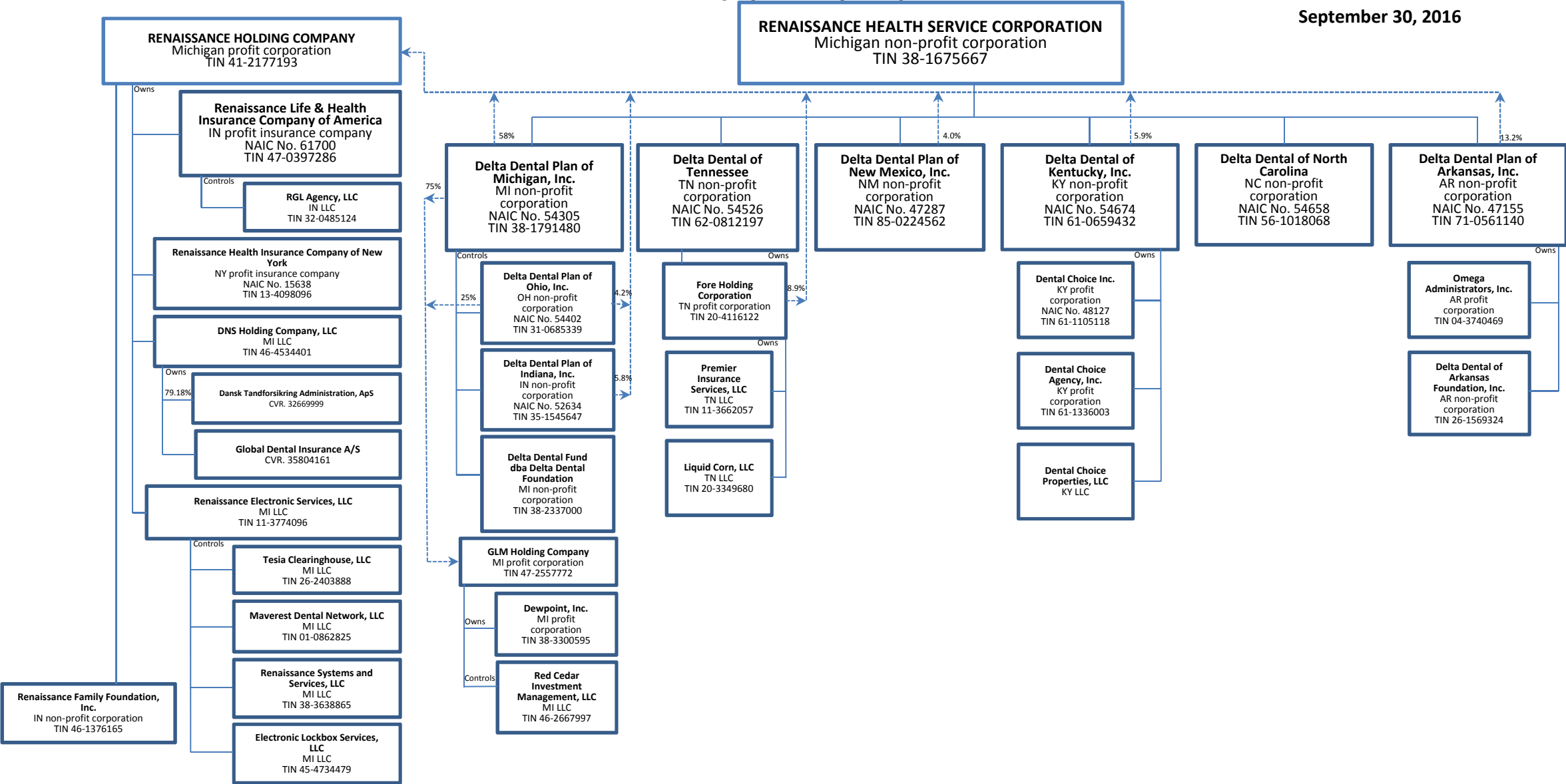
		1	Direct Business Only							
			2	3	4	5	6	7	8	9
State, Etc.		Active Status	Accident and Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Federal Employees Health Benefits Program Premiums	Life and Annuity Premiums and Other Considerations	Property/ Casualty Premiums	Total Columns 2 Through 7	Deposit-Type Contracts
1.	Alabama (AL)	N								
2.	Alaska (AK)	N								
3.	Arizona (AZ)	N								
4.	Arkansas (AR)	N								
5.	California (CA)	N								
6.	Colorado (CO)	N								
7.	Connecticut (CT)	N								
8.	Delaware (DE)	N								
9.	District of Columbia (DC)	N								
10.	Florida (FL)	N								
11.	Georgia (GA)	N								
12.	Hawaii (HI)	N								
13.	Idaho (ID)	N								
14.	Illinois (IL)	N								
15.	Indiana (IN)	N								
16.	Iowa (IA)	N								
17.	Kansas (KS)	N								
18.	Kentucky (KY)	N								
19.	Louisiana (LA)	N								
20.	Maine (ME)	N								
21.	Maryland (MD)	N								
22.	Massachusetts (MA)	N								
23.	Michigan (MI)	N								
24.	Minnesota (MN)	N								
25.	Mississippi (MS)	N								
26.	Missouri (MO)	N								
27.	Montana (MT)	N								
28.	Nebraska (NE)	N								
29.	Nevada (NV)	N								
30.	New Hampshire (NH)	N								
31.	New Jersey (NJ)	N								
32.	New Mexico (NM)	N								
33.	New York (NY)	N								
34.	North Carolina (NC)	N								
35.	North Dakota (ND)	N								
36.	Ohio (OH)	L	161,933,080						161,933,080	
37.	Oklahoma (OK)	N								
38.	Oregon (OR)	N								
39.	Pennsylvania (PA)	N								
40.	Rhode Island (RI)	N								
41.	South Carolina (SC)	N								
42.	South Dakota (SD)	N								
43.	Tennessee (TN)	N								
44.	Texas (TX)	N								
45.	Utah (UT)	N								
46.	Vermont (VT)	N								
47.	Virginia (VA)	N								
48.	Washington (WA)	N								
49.	West Virginia (WV)	N								
50.	Wisconsin (WI)	N								
51.	Wyoming (WY)	N								
52.	American Samoa (AS)	N								
53.	Guam (GU)	N								
54.	Puerto Rico (PR)	N								
55.	U.S. Virgin Islands (VI)	N								
56.	Northern Mariana Islands (MP)	N								
57.	Canada (CAN)	N								
58.	Aggregate other alien (OT)	X X X								
59.	Subtotal	X X X	161,933,080						161,933,080	
60.	Reporting entity contributions for Employee Benefit Plans	X X X								
61.	Total (Direct Business)	(a)..... 1	161,933,080						161,933,080	
DETAILS OF WRITE-INS										
58001.		X X X								
58002.		X X X								
58003.		X X X								
58998.	Summary of remaining write-ins for Line 58 from overflow page	X X X								
58999.	TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above)	X X X								

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER
MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

ORGANIZATIONAL CHART
September 30, 2016



SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Comp- any Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domic- iliary Loca- tion	Relation- ship to Report- ing Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	*
016	Renaissance Health Service Corporation	000	38-1675667				Renaissance Health Service Corporation	MI	UIP					
		000	46-1376165				Renaissance Family Foundation, Inc.	IN	NIA					
		000	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Michigan, Inc.	Ownership	58.0	Renaissance Health Service Corporation	
		000	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Ohio, Inc.	Ownership	4.2	Renaissance Health Service Corporation	
		000	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Indiana, Inc.	Ownership	5.8	Renaissance Health Service Corporation	
		000	41-2177193				Renaissance Holding Company	MI	NIA	Fore Holding Corporation	Ownership	8.9	Renaissance Health Service Corporation	
		000	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental of Kentucky, Inc.	Ownership	5.9	Renaissance Health Service Corporation	
		000	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of New Mexico, Inc.	Ownership	4.0	Renaissance Health Service Corporation	
		000	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Arkansas, Inc.	Ownership	13.2	Renaissance Health Service Corporation	
		61700	47-0397286				Renaissance Life & Health Insurance Company of America	IN	IA	Renaissance Holding Company	Ownership	100.0	Renaissance Health Service Corporation	
		000	32-0485124				RGL Agency, LLC	IN	NIA	Renaissance Life & Health Insurance Company of America	Ownership	100.0	Renaissance Health Service Corporation	
		15638	13-4098096				Renaissance Health Insurance Company of New York	NY	IA	Renaissance Holding Company	Ownership	100.0	Renaissance Health Service Corporation	
		000	46-4534401				DNS Holding Company, LLC	MI	NIA	Renaissance Holding Company	Ownership	100.0	Renaissance Health Service Corporation	
		000	32669999				Dansk Tandforsikring Administration ApS	DK	NIA	DNS Holding Company, LLC	Ownership	79.2	Renaissance Health Service Corporation	
		000	35804161				Global Dental Company A/S	DK	NIA	DNS Holding Company, LLC	Ownership	100.0	Renaissance Health Service Corporation	
		000	26-2403888				Tesia Clearinghouse, LLC	MI	NIA	Renaissance Electronic Services, LLC	Ownership	100.0	Renaissance Health Service Corporation	
		000	11-3774096				Renaissance Electronic Services, LLC	MI	NIA	Renaissance Holding Company	Ownership	100.0	Renaissance Health Service Corporation	
		000	01-0862825				Maverest Dental Network, LLC	MI	NIA	Renaissance Electronic Services, LLC	Ownership	100.0	Renaissance Health Service Corporation	
		54305	38-1791480				Delta Dental Plan of Michigan, Inc.	MI	UDP	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	
		54402	31-0685339				Delta Dental Plan of Ohio, Inc.	OH	RE	Delta Dental Plan of Michigan, Inc.	Board of Directors		Renaissance Health Service Corporation	
		52634	35-1545647				Delta Dental Plan of Indiana, Inc.	IN	IA	Delta Dental Plan of Michigan, Inc.	Board of Directors		Renaissance Health Service Corporation	
		000	38-2337000				Delta Dental Fund dba Delta Dental Foundation	MI	NIA	Delta Dental Plan of Michigan, Inc.	Board of Directors		Renaissance Health Service Corporation	
		000	46-2667997				Red Cedar Investment Management, LLC	MI	NIA	GLM Holding Company	Board of Directors		Renaissance Health Service Corporation	
		000	47-2557772				GLM Holding Company	MI	NIA	Delta Dental Plan of Michigan, Inc.	Ownership	75.0	Renaissance Health Service Corporation	
		000	47-2557772				GLM Holding Company	MI	NIA	Delta Dental Plan of Ohio, Inc.	Ownership	25.0	Renaissance Health Service Corporation	
		000	38-3300595				Dewpoint, Inc.	MI	NIA	GLM Holding Company	Ownership	100.0	Renaissance Health Service Corporation	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q16.1

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Comp- any Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domic- iliary Loca- tion	Rela- tion- ship to Report- ing Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	*
477	Renaissance Health Service Corporation	0	38-3638865				Renaissance Systems and Services, LLC	MI	NIA	Renaissance Electronic Services, LLC	Ownership	100.0	Renaissance Health Service Corporation	
		0	45-4734479				Electronic Lockbox Services, LLC	MI	NIA	Renaissance Electronic Services, LLC	Ownership	100.0	Renaissance Health Service Corporation	
		54526	62-0812197				Delta Dental of Tennessee Fore Holding Corporation	TN	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	
		0	20-4116122					TN	NIA	Delta Dental of Tennessee	Ownership	100.0	Renaissance Health Service Corporation	
		0	11-3662057				Premier Insurance Services, LLC	TN	NIA	Delta Dental of Tennessee	Ownership	100.0	Renaissance Health Service Corporation	
	Renaissance Health Service Corporation	0	20-3349680				Liquid Corn, LLC	TN	NIA	Delta Dental of Tennessee	Ownership	100.0	Renaissance Health Service Corporation	
		47287	85-0224562				Delta Dental Plan of New Mexico, Inc.	NM	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	
		54674	61-0659432				Delta Dental of Kentucky, Inc.	KY	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	
		0	61-1336003				Dental Choice Agency, Inc.	KY	NIA	Delta Dental of Kentucky, Inc.	Ownership	100.0	Renaissance Health Service Corporation	
		48127	61-1105118				Dental Choice Inc.	KY	NIA	Delta Dental of Kentucky, Inc.	Ownership	100.0	Renaissance Health Service Corporation	
	Renaissance Health Service Corporation	0					Dental Choice Properties, LLC	KY	NIA	Delta Dental of Kentucky, Inc.	Ownership	100.0	Renaissance Health Service Corporation	
		54658	56-1018068				Delta Dental of North Carolina	NC	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	
		47155	71-0561140				Delta Dental Plan of Arkansas, Inc.	AR	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	
		0	04-3740469				Omega Administrators, Inc.	AR	NIA	Delta Dental Plan of Arkansas, Inc.	Ownership	100.0	Renaissance Health Service Corporation	
		0	26-1569324				Delta Dental of Arkansas Foundation, Inc.	AR	NIA	Delta Dental Plan of Arkansas, Inc.	Board of Directors		Renaissance Health Service Corporation	

Asterisk	Explanation
0000001	

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

RESPONSE
No

Explanations:

Bar Codes:

Medicare Part D Coverage Supplement



OVERFLOW PAGE FOR WRITE-INS

STATEMENT AS OF **September 30, 2016** OF THE **DELTA DENTAL PLAN OF OHIO, INC.**
SCHEDULE A - VERIFICATION

Real Estate		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of acquired:		
2.1	Actual cost at time of acquisition		
2.2	Additional investment made after acquisition		
3.	Current year change in encumbrances		
4.	Total gain (loss) on disposals		
5.	Deduct amounts received on disposals		
6.	Total foreign exchange change in book/adjusted carrying value		
7.	Deduct current year's other-than-temporary impairment recognized		
8.	Deduct current year's depreciation		
9.	Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8)		
10.	Deduct total nonadmitted amounts		
11.	Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans		1	2
		Year To Date	Prior Year Ended December 31
1.	Book value/recorded investment excluding accrued interest, December 31 of prior year		
2.	Cost of acquired:		
2.1	Actual cost at time of acquisition		
2.2	Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		
8.	Deduct amortization of premium and mortgage interest paid		
9.	Total foreign exchange change in book value/recorded investment		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)		
12.	Total valuation allowance		
13.	Subtotal (Line 11 plus Line 12)		
14.	Deduct total nonadmitted amounts		
15.	Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	1,750,000	2,500,000
2.	Cost of acquired:		
2.1	Actual cost at time of acquisition		
2.2	Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		750,000
8.	Deduct amortization of premium and depreciation		
9.	Total foreign exchange change in book/adjusted carrying value		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)	1,750,000	1,750,000
12.	Deduct total nonadmitted amounts	1,389,807	972,323
13.	Statement value at end of current period (Line 11 minus Line 12)	360,193	777,677

SCHEDULE D - VERIFICATION

Bonds and Stocks		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value of bonds and stocks, December 31 of prior year	136,433,637	118,086,090
2.	Cost of bonds and stocks acquired	40,924,599	57,216,619
3.	Accrual of discount	21,769	21,431
4.	Unrealized valuation increase (decrease)	3,543,982	(2,681,065)
5.	Total gain (loss) on disposals	904,782	(119,705)
6.	Deduct consideration for bonds and stocks disposed of	28,851,970	35,863,091
7.	Deduct amortization of premium	485,440	226,642
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	152,491,359	136,433,637
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	152,491,359	136,433,637

SCHEDULE D - PART 1B
Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	52,471,619	4,919,811	3,023,752	(294,006)	48,750,724	52,471,619	54,073,672	46,125,675
2. NAIC 2 (a)	3,164,845	65,534	197,179	(16,060)	3,939,484	3,164,845	3,017,140	4,391,449
3. NAIC 3 (a)								
4. NAIC 4 (a)								
5. NAIC 5 (a)								
6. NAIC 6 (a)								
7. Total Bonds	55,636,464	4,985,345	3,220,931	(310,066)	52,690,208	55,636,464	57,090,812	50,517,124
PREFERRED STOCK								
8. NAIC 1	234,401		83,501	334	262,919	234,401	151,234	223,129
9. NAIC 2	235,163		132,101	2,825	282,567	235,163	105,887	339,190
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock	469,564		215,602	3,159	545,486	469,564	257,121	562,319
15. Total Bonds & Preferred Stock	56,106,028	4,985,345	3,436,533	(306,907)	53,235,694	56,106,028	57,347,933	51,079,443

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$.....3,828,484; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0

SCHEDULE DA - PART 1

Short - Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999. Totals	3,828,484	X X X	3,828,484	8,654	

SCHEDULE DA - Verification

Short-Term Investments

		1 Year To Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	5,667,359	3,512,192
2.	Cost of short-term investments acquired	1,506,946	4,235,180
3.	Accrual of discount		
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals		
6.	Deduct consideration received on disposals	3,345,821	2,080,013
7.	Deduct amortization of premium		
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	3,828,484	5,667,359
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	3,828,484	5,667,359

SI04 Schedule DB - Part A Verification NONE

SI04 Schedule DB - Part B Verification NONE

SI05 Schedule DB Part C Section 1 NONE

SI06 Schedule DB Part C Section 2 NONE

SI07 Schedule DB - Verification NONE

SI08 Schedule E - Verification (Cash Equivalents) NONE

E01 Schedule A Part 2 NONE

E01 Schedule A Part 3 NONE

E02 Schedule B Part 2 NONE

E02 Schedule B Part 3 NONE

E03 Schedule BA Part 2 NONE

E03 Schedule BA Part 3 NONE

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
Bonds - U.S. Governments									
912828N71 ..	TSY INFL IX N/B		07/12/2016 ..	Undefined	X X X	106,731	102,902	312	1
912810RT7 ..	UNITED STATES TREAS BDS		09/08/2016 ..	Bank of America	X X X	330,522	330,000	487	1
912810RJ9 ..	UNITED STATES TREAS BDS 3%11/1		08/10/2016 ..	Bank of America	X X X	105,083	90,000	551	1
9128282B5 ..	UNITED STATES TREAS NTS		09/07/2016 ..	Bank of America	X X X	826,323	830,000	309	1
9128282D1 ..	UNITED STATES TREAS NTS		09/07/2016 ..	Bank of America	X X X	324,721	325,000	99	1
912828Q78 ..	UNITED STATES TREAS NTS		09/01/2016 ..	Bank of America	X X X	166,967	165,000	687	1
912828R28 ..	UNITED STATES TREAS NTS		09/01/2016 ..	Bank of America	X X X	70,927	70,000	386	1
912828P95 ..	UNITED STATES TREAS NTS 1%03/1		08/01/2016 ..	Bank of America	X X X	201,802	200,000	638	1
912828P46 ..	UNITED STATES TREAS NTS 1.625%		08/09/2016 ..	Bank of America	X X X	40,284	40,000	320	1
0599999 Subtotal - Bonds - U.S. Governments					X X X	2,173,360	2,152,902	3,789	X X X
Bonds - U.S. Special Revenue, Special Assessment									
3138WGRK2 ..	FNMA PASS-THRU LNG 30 YEAR		08/31/2016 ..	McDonnell	X X X	163,982	154,688	451	1
38378BX95 ..	GNMA REMIC TRUST 2012-132		08/10/2016 ..	Undefined	X X X	48,904		352	1
3199999 Subtotal - Bonds - U.S. Special Revenue, Special Assessment					X X X	212,886	154,688	803	X X X
Bonds - Industrial and Miscellaneous (Unaffiliated)									
00440EAV9 ..	ACE INA HLDG INC		08/16/2016 ..	Bank of America	X X X	59,006	55,000	543	1FE
037833CD0 ..	APPLE INC		08/01/2016 ..	Bank of America	X X X	39,894	40,000		1FE
161571HF4 ..	CHASE ISSUANCE TRUST 2016-5A		08/04/2016 ..	McDonnell	X X X	149,959	150,000		1
3135G0J20 ..	FEDERAL NATL MTG ASSN		08/01/2016 ..	McDonnell	X X X	203,489	200,000		1
3135G0ZR7 ..	FNMA		07/06/2016 ..	McDonnell	X X X	163,874	150,000		1
419838AA5 ..	HAWAIIAN AIRL TR 2013-1 202707		08/17/2016 ..	Bank of America	X X X	65,534	65,046	261	2FE
44107TAT3 ..	HOST HOTELS & RESORTS LP		08/12/2016 ..	Bank of America	X X X	54,062	50,000	1,095	1FE
44891EAC3 ..	HYUNDAI AUTO RECV TR 2016-B		09/14/2016 ..	McDonnell	X X X	99,987	100,000		1
674599CH6 ..	OCCIDENTAL PETE CORP DEL		08/12/2016 ..	Bank of America	X X X	58,161	55,000	691	1FE
74005PBF0 ..	PRAXAIR INC		09/13/2016 ..	McDonnell	X X X	92,578	90,000	169	1FE
89356BAB4 ..	TRANSCANADA TRUST		08/08/2016 ..	Bank of America	X X X	55,550	55,000		1FE
92343VDC5 ..	VERIZON COMMUNICATIONS INC		08/01/2016 ..	Bank of America	X X X	50,059	50,000		1FE
3899999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					X X X	1,092,153	1,060,046	2,759	X X X
8399997 Subtotal - Bonds - Part 3					X X X	3,478,399	3,367,636	7,351	X X X
8399998 Summary Item from Part 5 for Bonds (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
8399999 Subtotal - Bonds					X X X	3,478,399	3,367,636	7,351	X X X
8999998 Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
Common Stocks - Industrial and Miscellaneous (Unaffiliated)									
004239109 ..	ACADIA REALTY TRUST REIT		09/26/2016 ..	MERRILL LYNCH	215.000	7,997	X X X		L
009126202 ..	AIR LIQUIDE		09/01/2016 ..	MERRILL LYNCH	805.000	17,441	X X X		L
009279100 ..	AIRBUS GROUP		09/08/2016 ..	MERRILL LYNCH	371.000	5,768	X X X		L
G0177J108 ..	ALLERGAN PLC		07/20/2016 ..	MERRILL LYNCH	41.000	10,122	X X X		L
018805101 ..	ALLINZ SE SPD ADR		07/01/2016 ..	MERRILL LYNCH	478.000	6,738	X X X		L
03524A108 ..	ANHEUSER-BUSCH INBEV		09/21/2016 ..	MERRILL LYNCH	42.000	5,308	X X X		L
037833100 ..	Apple Computer Co		08/19/2016 ..	MERRILL LYNCH	502.000	54,992	X X X		L
03938L104 ..	ARCELOMITTAL SA. LUXEMBOURG		08/11/2016 ..	MERRILL LYNCH	1,044.000	5,452	X X X		L
052528304 ..	AUST NW ZBG ADR		08/11/2016 ..	MERRILL LYNCH	12.000	246	X X X		L
054536107 ..	AXA-SPONS ADR		08/11/2016 ..	MERRILL LYNCH	5.000	103	X X X		L
05965X109 ..	BANCO SANTANDER		07/19/2016 ..	MERRILL LYNCH	422.000	8,650	X X X		L
072730302 ..	BAYER AG SP ADR		08/11/2016 ..	MERRILL LYNCH	11.000	1,205	X X X		L
05565A202 ..	BNP PARIBAS SPN ADR		07/01/2016 ..	MERRILL LYNCH	356.000	7,629	X X X		L
12541W209 ..	C.H. Robinson Worldwide		08/19/2016 ..	MERRILL LYNCH	256.000	17,795	X X X		L
149123101 ..	CATERPILLAR INC.		08/19/2016 ..	MERRILL LYNCH	397.000	33,388	X X X		L

QE04

QE04.1

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
15135U109	CENOVUS ENERGY INC		08/01/2016	MERRILL LYNCH	342.000	4,531	X X X		L
15639K300	CENTRICA PLC		08/11/2016	MERRILL LYNCH	14.000	172	X X X		L
12562Y100	CK HUTCHISON HOLDINGS		08/11/2016	MERRILL LYNCH	984.000	11,093	X X X		L
225401108	CREDIT SUISSE GP SP ADR		08/02/2016	MERRILL LYNCH	1,998.000	22,273	X X X		L
23317H102	DDR CORP COM		07/05/2016	MERRILL LYNCH	981.000	18,241	X X X		L
26613Q106	DUPONT FABROS TECHNOLOGY INC COM		07/25/2016	MERRILL LYNCH	218.000	10,332	X X X		L
268780103	E ONAG SPONS ADR		08/11/2016	MERRILL LYNCH	43.000	424	X X X		L
27616P103	EASTERLY GOVT PROPERTIES INC		08/22/2016	MERRILL LYNCH	476.000	9,229	X X X		L
292104106	EMPIRE ST REALTY		09/26/2016	MERRILL LYNCH	239.000	5,170	X X X		L
29265W207	ENEL SOCIETA PER AZIONI		08/11/2016	MERRILL LYNCH	12.000	55	X X X		L
29472R108	EQUITY LIFESTYLE PPTYS INC COM		08/18/2016	MERRILL LYNCH	100.000	7,804	X X X		L
29476L107	EQUITY RESIDENTIAL SH BEN INT		07/05/2016	MERRILL LYNCH	192.000	13,439	X X X		L
313747206	FEDERAL REALTY INVT TR SH BEN INT		07/11/2016	MERRILL LYNCH	64.000	10,776	X X X		L
344419106	FOMENTO ECNMCO MEX SPADR		09/15/2016	MERRILL LYNCH	131.000	11,921	X X X		L
370334104	GENERAL MILLS INC		08/19/2016	MERRILL LYNCH	168.000	11,977	X X X		L
37427X104	GETINGE AB SHS PER ADVISORY AGR		07/01/2016	MERRILL LYNCH	228.000	4,723	X X X		L
37733W105	Glaxosmithkline PLC		08/11/2016	MERRILL LYNCH	16.000	710	X X X		L
382550101	Goodyear Tire And Rubber		07/21/2016	MERRILL LYNCH	562.000	15,100	X X X		L
385002100	GRAMERCY PROPERTY TR		09/26/2016	MERRILL LYNCH	422.000	4,120	X X X		L
40049J206	GRUPO TELEvisa SA ADR		07/01/2016	MERRILL LYNCH	257.000	6,498	X X X		L
406216101	HALLIBURTON COMPANY		07/20/2016	MERRILL LYNCH	304.000	13,414	X X X		L
438128308	HONDA MOTOR ADR NEW		08/11/2016	MERRILL LYNCH	173.000	4,518	X X X		L
44107P104	HOST HOTELS & RESORTS INC COM		07/05/2016	MERRILL LYNCH	2,390.000	38,427	X X X		L
443510607	HUBBELL INC CL B PAR		07/01/2016	MERRILL LYNCH	113.000	11,902	X X X		L
444859102	HUMANA INC		07/20/2016	MERRILL LYNCH	142.000	22,443	X X X		1
45662N103	INFINEON TECHS		08/11/2016	MERRILL LYNCH	3.000	51	X X X		L
456837103	Ing Group NV Netherlands		08/11/2016	MERRILL LYNCH	34.000	401	X X X		L
460146103	INTERNATIONAL PAPER CO		07/20/2016	MERRILL LYNCH	270.000	12,170	X X X		L
46115H107	INTESA SANPAOLO SPON ADR		09/01/2016	MERRILL LYNCH	353.000	5,159	X X X		L
4812A4831	JPMORGAN TR I INTL VA SMA SH		08/11/2016	MERRILL LYNCH	1,120.000	12,237	X X X		L
48241F104	KBC GROUPE SA		08/11/2016	MERRILL LYNCH	17.000	480	X X X		L
487836108	KELLOGG CO		08/19/2016	MERRILL LYNCH	119.000	9,864	X X X		L
501044101	KROGER COMPANY		07/01/2016	MERRILL LYNCH	1,118.000	41,022	X X X		L
50586V108	LAFARGEHOLCIM LTD		09/16/2016	MERRILL LYNCH	223.000	2,252	X X X		L
65557A206	NORDEA BANK		07/07/2016	MERRILL LYNCH	471.000	3,761	X X X		L
743476202	PROSIEBENSAT.1 MEDIA		08/24/2016	MERRILL LYNCH	1,427.000	16,104	X X X		L
74435K204	PRUDENTIAL PLC ADR		08/11/2016	MERRILL LYNCH	3.000	111	X X X		L
74460D109	PUBLIC STORAGE COM		08/25/2016	MERRILL LYNCH	99.000	22,430	X X X		L
74463M106	PUBLICIS GROUPE SPON ADR		08/03/2016	MERRILL LYNCH	298.000	5,410	X X X		L
76131V202	RETAIL PPTYS AMER INC CL A		09/26/2016	MERRILL LYNCH	1,032.000	17,741	X X X		1
767204100	Rio Tinto PLC Spnsrd ADR		08/11/2016	MERRILL LYNCH	3.000	98	X X X		L
771195104	ROCHE HLDG LTD		09/21/2016	MERRILL LYNCH	290.000	9,033	X X X		L
773903109	Rockwell Automation Inc.		07/21/2016	MERRILL LYNCH	82.000	9,808	X X X		L
780259206	ROYAL DUTCH SHELL PLC		08/11/2016	MERRILL LYNCH	37.000	1,856	X X X		L
800212201	SANDVIK AB		08/08/2016	MERRILL LYNCH	1,019.000	10,967	X X X		L
80687P106	SCHNEIDER ELEC SA		08/11/2016	MERRILL LYNCH	435.000	5,637	X X X		L
808513105	SCHWAB CHARLES CORP		08/08/2016	MERRILL LYNCH	1,288.000	35,235	X X X		L
816851109	SEMPRA ENERGY		08/19/2016	MERRILL LYNCH	100.000	10,751	X X X		L
82481R106	SHIRE PLC-ADR		07/01/2016	MERRILL LYNCH	41.000	7,622	X X X		L
826197501	SIEMENS AG ADR		08/11/2016	MERRILL LYNCH	102.000	10,667	X X X		L
828806109	SIMON PROPERTY GROUP DEL		08/17/2016	MERRILL LYNCH	199.000	43,196	X X X		L
83084V106	SKY PLC		07/01/2016	MERRILL LYNCH	132.000	6,127	X X X		L
835699307	Sony Corp.		08/11/2016	MERRILL LYNCH	4.000	132	X X X		L
862121100	STORE CAP CORP		09/26/2016	MERRILL LYNCH	143.000	4,339	X X X		L

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
Identification									
86562X106 ..	SUMITOMO MITSUI TR HLDGS INC		09/02/2016 ..	MERRILL LYNCH	905.000	3,185	X X X		L
876664103 ..	TAUBMAN CENTERS INC COM REITS		08/17/2016 ..	MERRILL LYNCH	66.000	5,156	X X X		L
878546209 ..	TECHNIP NEW		09/01/2016 ..	MERRILL LYNCH	1,097.000	16,147	X X X		L
87927Y102 ..	TELECOM ITALIA SPA SPON ADR (NEW)		08/01/2016 ..	MERRILL LYNCH	442.000	3,803	X X X		L
881624209 ..	Teva Pharmaceutical Ind ADR		08/11/2016 ..	MERRILL LYNCH	72.000	3,894	X X X		L
89151E109 ..	TOTAL S.A. SP ADR		08/11/2016 ..	MERRILL LYNCH	48.000	2,332	X X X		L
89353D107 ..	TRANSCANADA CORP		08/11/2016 ..	MERRILL LYNCH	3.000	143	X X X		L
92826C839 ..	VISA INC CL A SHRS		07/20/2016 ..	MERRILL LYNCH	276.000	21,933	X X X		L
92857W308 ..	VODAFONE GROUP		07/01/2016 ..	MERRILL LYNCH	131.000	3,887	X X X		L
084423102 ..	W R BERKLEY CORP		07/01/2016 ..	MERRILL LYNCH	612.000	36,441	X X X		L
939653101 ..	WASH R E INV TR		07/26/2016 ..	MERRILL LYNCH	1,373.000	44,791	X X X		L
95040Q104 ..	WELLTOWER INC		07/05/2016 ..	MERRILL LYNCH	74.000	5,639	X X X		L
963320106 ..	WHIRLPOOL CORP		07/01/2016 ..	MERRILL LYNCH	66.000	10,967	X X X		L
977868306 ..	WOLSELEY		08/11/2016 ..	MERRILL LYNCH	9.000	50	X X X		L
98956P102 ..	ZIMMER HOLDINGS INC		08/08/2016 ..	MERRILL LYNCH	130.000	17,107	X X X		L
989825104 ..	ZURICH INSURANCE GROUP		07/01/2016 ..	MERRILL LYNCH	184.000	4,291	X X X		L
9099999 Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)					X X X	926,553	X X X		X X X
Common Stocks - Mutual Funds									
057071870 ..	BAIRD CORE PLUS BOND FUND		09/30/2016 ..	Bank of America	1,835.386	20,944	X X X		L
057071409 ..	BAIRD SHORT TERM BOND FUND		09/30/2016 ..	Bank of America	1,118.575	10,899	X X X		L
233203827 ..	DFA US LARGE CAP VALUE		07/01/2016 ..	Bank of America	501.920	15,680	X X X		L
31420B300 ..	FEDERATED INST HIGH YLD BOND		09/01/2016 ..	Bank of America	3,450.692	33,523	X X X		L
4812C1330 ..	JP MORGAN SHORT DURAT BOND-SL		09/28/2016 ..	Bank of America	473.778	5,167	X X X		L
808524409 ..	SCHWAB STRATEGIC TR		09/06/2016 ..	Bank of America	43,187.000	2,000,098	X X X		L
921937207 ..	VANGUARD BD INDEX FD INC		09/01/2016 ..	Bank of America	188,801.597	2,001,294	X X X		L
921921300 ..	VANGUARD FENWAY FDS		09/16/2016 ..	Bank of America	29,767.317	2,014,184	X X X		L
922908850 ..	VANGUARD INDEX FDS		09/12/2016 ..	Bank of America	58,311.163	2,012,688	X X X		1
921946406 ..	VANGUARD WHITEHALL FDS INC		09/06/2016 ..	Bank of America	27,218.000	2,001,340	X X X		L
97717W307 ..	WISDOMTREE TR		09/06/2016 ..	Bank of America	25,687.000	1,999,964	X X X		L
9299999 Subtotal - Common Stocks - Mutual Funds					X X X	12,115,781	X X X		X X X
9799997 Subtotal - Common Stocks - Part 3					X X X	13,042,334	X X X		X X X
9799998 Summary Item from Part 5 for Common Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
9799999 Subtotal - Common Stocks					X X X	13,042,334	X X X		X X X
9899999 Subtotal - Preferred and Common Stocks					X X X	13,042,334	X X X		X X X
9999999 Total - Bonds, Preferred and Common Stocks					X X X	16,520,733	X X X	7,351	X X X

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0.

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SCHEDULE D - PART 4

Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of
During the Current Quarter

1	2	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation or Market Indicator (a)	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11 + 12 - 13)	15 Total Foreign Exchange Change in B./A.C.V.								
CUSIP Identification	Description																					
Bonds - U.S. Governments																						
38376GC24	GNMA CMO 2011		09/16/2016	PRINCIPAL RECEIPT	X X X	12,875	12,875	12,792	12,807		69		69		12,875					177	04/16/2032	1
38378BQQ5	GNMA CMO 2012		09/16/2016	PRINCIPAL RECEIPT	X X X	784	784	791	790		(7)		(7)		784					10	03/16/2040	1
38378B7E3	GNMA CMO 2013		09/16/2016	PRINCIPAL RECEIPT	X X X	1,521	1,521	1,513	1,514		7		7		1,521					16	05/16/2046	1
38378KWU9	GNMA CMO 2013-96		09/16/2016	PRINCIPAL RECEIPT	X X X	1,228	1,228	1,214	1,216		12		12		1,228					11	11/16/2041	1
36179QRZ6	GNMA PASS-THRU M SINGLE FAMILY		09/20/2016	PRINCIPAL RECEIPT	X X X	8,655	8,655	9,227	9,440		(785)		(785)		8,655					230	10/20/2044	1
38376GYT1	GNMA REMIC TRUST 2010-156 2039		09/16/2016	PRINCIPAL RECEIPT	X X X	2,088	2,088	2,098	2,095		(7)		(7)		2,088					37	03/16/2039	1
38378BSP5	GNMA REMIC TRUST 2012-46 20381		09/16/2016	PRINCIPAL RECEIPT	X X X	8,271	8,271	8,354	8,341		(70)		(70)		8,271					108	11/16/2038	1
912810FT0	UNITED STATES TREAS BDS 04.500		09/29/2016	Bank of America	X X X	77,793	55,000	71,458	57,150		(387)		(387)		70,821		6,972	6,972		1,989	02/15/2036	1
912810RJ9	UNITED STATES TREAS BDS 3% 11/1		09/07/2016	Bank of America	X X X	425,028	365,000	396,025	55,004		(189)		(189)		395,836		29,192	29,192		6,454	11/15/2044	1
912828B5	UNITED STATES TREAS NTS		09/08/2016	Bank of America	X X X	34,838	35,000	34,843							34,843		(5)	(5)		14	08/15/2019	1
912828Q78	UNITED STATES TREAS NTS		08/17/2016	Bank of America	X X X	80,822	80,000	80,691			(39)		(39)		80,652		170	170		341	04/30/2021	1
912828R28	UNITED STATES TREAS NTS		09/07/2016	Bank of America	X X X	360,703	355,000	357,654			(81)		(81)		357,573		3,131	3,131		2,010	04/30/2023	1
912828P95	UNITED STATES TREAS NTS 1%03/1		09/01/2016	Bank of America	X X X	797,733	795,000	796,374			1,124		1,124		797,498		235	235		3,694	03/15/2019	1
912828P46	UNITED STATES TREAS NTS 1.625%		08/16/2016	Bank of America	X X X	272,907	270,000	267,306			61		61		267,367		5,540	5,540		1,972	02/15/2026	1
912810QY7	US TREASURY		07/13/2016	McDonnell	X X X	67,274	60,000	48,699	49,149		124		124		49,274		18,001	18,001		825	11/15/2042	1
0599999 Subtotal - Bonds - U.S. Governments					X X X	2,152,520	2,050,422	2,089,039	197,506		(168)		(168)		2,089,286		63,236	63,236		17,888	X X X	X X X
Bonds - U.S. Special Revenue, Special Assessment																						
3128MDG23	FHLMC		09/15/2016	PRINCIPAL RECEIPT	X X X	631	631	638	637		(6)		(6)		631					10	07/01/2027	1
312932ZG4	FHLMC A8 6143		09/15/2016	PRINCIPAL RECEIPT	X X X	1,912	1,912	1,962	1,955		(43)		(43)		1,912					63	05/01/2039	1
312934M58	FHLMC A8 7580		09/15/2016	PRINCIPAL RECEIPT	X X X	1,550	1,550	1,573	1,569		(19)		(19)		1,550					52	07/01/2039	1
312936KB2	FHLMC A8 9290		09/15/2016	PRINCIPAL RECEIPT	X X X	707	707	732	728		(21)		(21)		707					26	10/01/2039	1
3137A1TK9	FHLMC CMO 2010 - 3725		09/15/2016	PRINCIPAL RECEIPT	X X X	737	737	770	759		(22)		(22)		737					17	09/15/2024	1
3137AA5U3	FHLMC CMO 2011		09/15/2016	PRINCIPAL RECEIPT	X X X	1,278	1,278	1,321	1,308		(30)		(30)		1,278					30	07/15/2025	1
3128MJGB0	FHLMC GO 8193		09/15/2016	PRINCIPAL RECEIPT	X X X	840	840	879	873		(33)		(33)		840					35	04/01/2037	1
31292SBY5	FHLMC PC GOLD CASH 30		09/15/2016	PRINCIPAL RECEIPT	X X X	12,954	12,954	13,379	13,357		(402)		(402)		12,954					353	12/01/2043	1
3128MD3A9	FHLMC PC GOLD COMB 15		09/15/2016	PRINCIPAL RECEIPT	X X X	1,680	1,680	1,739	1,734		(55)		(55)		1,680					33	04/01/2029	1
3129413U9	FHLMC PC GOLD COMB 30		09/15/2016	PRINCIPAL RECEIPT	X X X	3,473	3,473	3,846	3,833		(359)		(359)		3,473					118	08/01/2040	1
31292SA83	FHLMC PC GOLD CASH 30		09/15/2016	PRINCIPAL RECEIPT	X X X	2,658	2,658	2,632	2,634		24		24		2,658					43	02/01/2043	1
31292SB25	FHLMC PC GOLD CASH 30		09/15/2016	PRINCIPAL RECEIPT	X X X	10,482	10,482	10,907	10,885		(403)		(403)		10,482					285	02/01/2044	1
3128MMRN5	FHLMC PC GOLD COMB 15		09/15/2016	PRINCIPAL RECEIPT	X X X	7,966	7,966	8,151	8,127		(161)		(161)		7,966					161	01/01/2029	1
3128M8NA8	FHLMC PC GOLD COMB 30		09/15/2016	PRINCIPAL RECEIPT	X X X	3,629	3,629	3,963	3,942		(313)		(313)		3,629					133	08/01/2040	1
3128MJQ78	FHLMC PC GOLD COMB 30		09/15/2016	PRINCIPAL RECEIPT	X X X	9,868	9,868	9,871	9,870		(2)		(2)		9,868					233	02/01/2042	1
3128MJS35	FHLMC PC GOLD COMB 30		09/15/2016	PRINCIPAL RECEIPT	X X X	7,211	7,211	6,878	6,895		316		316		7,211					146	07/01/2043	1
3128MJT26	FHLMC PC GOLD COMB 30		09/15/2016	PRINCIPAL RECEIPT	X X X	9,706	9,706	10,384	10,350		(643)		(643)		9,706					304	01/01/2044	1
3128MJT67	FHLMC PC GOLD COMB 30		09/15/2016	PRINCIPAL RECEIPT	X X X	10,973	10,973	11,074	11,068		(96)		(96)		10,973					261	02/01/2044	1
3128MJT75	FHLMC PC GOLD COMB 30		09/15/2016	PRINCIPAL RECEIPT	X X X	9,617	9,617	10,038	10,017		(400)		(400)		9,617					259	02/01/2044	1
3128MJTH3	FHLMC PC GOLD COMB 30		09/15/2016	PRINCIPAL RECEIPT	X X X	5,039	5,039	5,251	5,239		(199)		(199)		5,039					136	10/01/2043	1
3128MJTU4	FHLMC PC GOLD COMB 30		09/15/2016	PRINCIPAL RECEIPT	X X X	7,017	7,017	7,004	7,004		13		13		7,017					167	01/01/2044	1
3128MJXG0	FHLMC PC GOLD COMB 30 4.500 20		09/15/2016	PRINCIPAL RECEIPT	X X X	5,083	5,083	5,491	5,485		(408)		(408)		5,083					129	11/01/2045	1
312940EU9	FHLMC PC GOLD COMB 30 5.000 20		09/15/2016	PRINCIPAL RECEIPT	X X X	4,132	4,132	4,273	4,244		(111)		(111)		4,132					138	04/01/2040	1
3132JA6N0	FHLMC PC GOLD PC 30YR		09/15/2016	PRINCIPAL RECEIPT	X X X	9,935	9,935	9,995	9,991		(57)		(57)		9,935					232	06/01/2043	1
3132JNZ26	FHLMC PC GOLD PC 30YR		09/15/2016	PRINCIPAL RECEIPT	X X X	6,679	6,679	6,638	6,640		39		39		6,679					157	09/01/2043	1
3132VDS52	FHLMC PC GOLD PC 30YR		09/15/2016	PRINCIPAL RECEIPT	X X X	3,654	3,654	3,764			(110)		(110)		3,654					19	06/01/2046	1
3132MAVH1	FHLMC PC GOLD PC 30YR 4.000 20		09/15/2016	PRINCIPAL RECEIPT	X X X	6,745	6,745	7,225	7,226		(481)		(481)		6,745					176	11/01/2044	1
3137A5LS1	FHLMC REMIC SERIES 3795		09/15/2016	PRINCIPAL RECEIPT	X X X	1,868	1,868	1,919	1,956		(87)		(87)		1,868					42	03/24/2015	1
3137A8FP8	FLHMC CMO 2011		09/15/2016	PRINCIPAL RECEIPT	X X X	1,384	1,384	1,428	1,414		(30)		(30)		1,384					32	02/15/2025	1
31416TDX4	FN AA9117		09/26/2016	PRINCIPAL RECEIPT	X X X	2,472	2,472	2,456	2,457		15		15		2,472					70	07/01/2039	1
31418BL38	FNMA																					

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SCHEDULE D - PART 4
Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of
During the Current Quarter

1	2	3 F o r e i g n	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description		Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
31419FD60	FNMA PAE4624 4% 2040		09/26/2016	PRINCIPAL RECEIPT	X X X	1,795	1,795	1,752	1,756		39		39		1,795				50	10/01/2040	1
3138AV3Q6	FNMA PASS THRU		09/26/2016	PRINCIPAL RECEIPT	X X X	1,251	1,251	1,277	1,274		(22)		(22)		1,251				30	10/01/2041	1
31412PXC0	FNMA PASS THRU LNG 30 YEAR		09/26/2016	PRINCIPAL RECEIPT	X X X	2,240	2,240	2,259	2,254		(14)		(14)		2,240				71	06/01/2039	1
3138EMT84	FNMA PASS-THRU INT 15 YEAR		09/26/2016	PRINCIPAL RECEIPT	X X X	887	887	898	897		(9)		(9)		887				14	12/01/2028	1
3138WFM94	FNMA PASS-THRU INT 15 YEAR		09/26/2016	PRINCIPAL RECEIPT	X X X	4,389	4,389	4,479	4,478		(89)		(89)		4,389				75	09/01/2030	1
3138YR6T0	FNMA PASS-THRU INT 15 YEAR		09/26/2016	PRINCIPAL RECEIPT	X X X	7,735	7,735	7,885	7,884		(149)		(149)		7,735				131	07/01/2030	1
31410LRR5	FNMA PASS-THRU INT 15 YEAR		09/25/2016	PRINCIPAL RECEIPT	X X X	5,793	5,793	6,082		(289)			(289)		5,793				46	09/01/2030	1
3138AVP66	FNMA PASS-THRU LNG 30 YEAR		09/26/2016	PRINCIPAL RECEIPT	X X X	1,562	1,562	1,660	1,656		(93)		(93)		1,562				42	10/01/2014	1
3138EG2X1	FNMA PASS-THRU LNG 30 YEAR		09/26/2016	PRINCIPAL RECEIPT	X X X	906	906	958	956		(50)		(50)		906				24	09/01/2014	1
3138EMFV8	FNMA PASS-THRU LNG 30 YEAR		09/26/2016	PRINCIPAL RECEIPT	X X X	4,649	4,649	4,782	4,777		(128)		(128)		4,649				104	12/01/2043	1
3138MFSZ1	FNMA PASS-THRU LNG 30 YEAR		09/26/2016	PRINCIPAL RECEIPT	X X X	2,501	2,501	2,470	2,471		30		30		2,501				48	11/01/2042	1
3138WGRK2	FNMA PASS-THRU LNG 30 YEAR		09/26/2016	PRINCIPAL RECEIPT	X X X	2,349	2,349	2,490			(141)		(141)		2,349				7	03/01/2046	1
3138X3XL1	FNMA PASS-THRU LNG 30 YEAR		09/26/2016	PRINCIPAL RECEIPT	X X X	2,869	2,869	2,943	2,940		(71)		(71)		2,869				69	09/01/2043	1
3138XGNF6	FNMA PASS-THRU LNG 30 YEAR		09/26/2016	PRINCIPAL RECEIPT	X X X	4,002	4,002	4,233	4,225		(223)		(223)		4,002				111	12/01/2043	1
31410LFB3	FNMA PASS-THRU LNG 30 YEAR		09/26/2016	PRINCIPAL RECEIPT	X X X	1,728	1,728	1,878	1,872		(144)		(144)		1,728				52	08/01/2041	1
31416NCW0	FNMA PASS-THRU LNG 30 YEAR		09/26/2016	PRINCIPAL RECEIPT	X X X	700	700	762	760		(60)		(60)		700				21	04/01/2039	1
31416TLA9	FNMA PASS-THRU LNG 30 YEAR		09/26/2016	PRINCIPAL RECEIPT	X X X	1,450	1,450	1,583	1,577		(126)		(126)		1,450				44	08/01/2039	1
31417DUZ7	FNMA PASS-THRU LNG 30 YEAR		09/26/2016	PRINCIPAL RECEIPT	X X X	622	622	614	614		8		8		622				13	11/01/2042	1
31417QVZ4	FNMA PASS-THRU LNG 30 YEAR		09/26/2016	PRINCIPAL RECEIPT	X X X	1,349	1,349	1,496	1,491		(142)		(142)		1,349				43	11/01/2039	1
31418ABA5	FNMA PASS-THRU LNG 30 YEAR		09/26/2016	PRINCIPAL RECEIPT	X X X	2,124	2,124	2,176	2,174		(49)		(49)		2,124				50	12/01/2041	1
31417BST0	FNMA PASS-THRU INT 15 YEAR 2.5		09/26/2016	PRINCIPAL RECEIPT	X X X	3,114	3,114	3,209	3,186		(73)		(73)		3,114				52	06/01/2027	1
3138EL5L3	FNMA PASS-THRU LNG 30 YEAR		09/26/2016	PRINCIPAL RECEIPT	X X X	8,326	8,326	8,882	8,852		(526)		(526)		8,326				255	12/01/2043	1
3138Y6ND2	FNMA PASS-THRU LNG 30 YEAR 4.0		09/26/2016	PRINCIPAL RECEIPT	X X X	1,575	1,575	1,686	1,696		(121)		(121)		1,575				43	12/01/2044	1
31418BNG7	FNMA PASS-THRU LNG 30 YEAR 4.0		09/26/2016	PRINCIPAL RECEIPT	X X X	6,374	6,374	6,758	6,771		(397)		(397)		6,374				172	02/01/2045	1
31418BPB6	FNMA PASS-THRU LNG 30 YEAR 4.0		09/26/2016	PRINCIPAL RECEIPT	X X X	5,281	5,281	5,629	5,653		(372)		(372)		5,281				145	03/01/2045	1
31418UBC7	FNMA PASS-THRU LNG 30 YEAR 4.5		09/26/2016	PRINCIPAL RECEIPT	X X X	959	959	975	972		(12)		(12)		959				29	05/01/2040	1
31371M7H4	FNMA PASS-THRU LNG 30 YEAR 5.0		09/26/2016	PRINCIPAL RECEIPT	X X X	1,333	1,333	1,385	1,372		(39)		(39)		1,333				45	02/01/2037	1
31418AWT1	FNMA PASS-THRU SF15 105-125 3		09/26/2016	PRINCIPAL RECEIPT	X X X	8,914	8,914	9,330	9,332		(418)		(418)		8,914				176	08/01/2028	1
31418AES3	FNMA PMA 1044		09/26/2016	PRINCIPAL RECEIPT	X X X	3,131	3,131	3,208	3,200		(69)		(69)		3,131				63	04/01/2042	1
92937EAB0	WFRBS COML MTG TR 2013-C11		09/16/2016	PRINCIPAL RECEIPT	X X X	20,173	20,173	20,380	20,361		(187)		(187)		20,173				409	03/17/2045	1FE
3199999 Subtotal - Bonds - U.S. Special Revenue, Special Assessment					X X X	282,731	282,732	291,881	273,682		(8,767)		(8,767)		282,731				6,857	X X X	X X X
Bonds - Industrial and Miscellaneous (Unaffiliated)																					
02665UA3	AMER HOMES 4 RENT TR 2014-SFR2		09/19/2016	PRINCIPAL RECEIPT	X X X	525	525	525	525						525				12	10/17/2036	1FE
02660TCS0	AMERICAN HOME MTG 2004-4 20450		09/26/2016	PRINCIPAL RECEIPT	X X X	3,387	3,387	3,385	3,385		2		2		3,387				60	05/25/2045	1FE
03061UAF0	AMERICREDIT AUTOMOBILE REC TRUST		09/08/2016	PRINCIPAL RECEIPT	X X X	3,129	3,129	3,234	3,147		(17)		(17)		3,129				63	06/08/2016	1FE
037833CD0	APPLE INC		08/10/2016	Bank of America	X X X	41,088	40,000	39,894			13		13		39,907		1,181		47	08/04/2046	1FE
07387BAL7	BEAR STEARNS CMBS 2005-PWR9		09/12/2016	PRINCIPAL RECEIPT	X X X	1,822	1,822	1,887	1,882		(60)		(60)		1,822				54	09/11/2042	1FE
14040HBF1	CAPITAL ONE FINL CORP SR GLBL		08/09/2016	Bank of America	X X X	52,640	50,000	51,254	51,096		(72)		(72)		51,024		1,616		2,021	04/24/2024	2FE
149806AB7	CAZENOVIA CREEK FDG I 2015-1 2		09/12/2016	PRINCIPAL RECEIPT	X X X	15,698	15,698	15,698	15,698						15,698				285	12/10/2023	1FE
37363JAK7	GEORGIA TECH FNDTN INC		09/08/2016	Bank of America	X X X	59,210	55,000	55,000							55,000		4,210		640	11/01/2048	1
419838AA5	HAWAIIAN AIRL TR 2013-1 202707		07/15/2016	Sink PMT @ 100.00000000	X X X	1,202	1,202	1,199	1,199		3		3		1,202				47	07/15/2027	2FE
43814FAC6	HONDA AUTO RECV 2013-4		09/19/2016	PRINCIPAL RECEIPT	X X X	16,072	16,072	16,069	16,070		1		1		16,072				74	09/18/2017	1FE
44107TAS5	HOST HOTELS & RESORTS LP SR -B		08/12/2016	Bank of America	X X X	55,272	50,000	55,534	54,908		(484)		(484)		54,424		848		2,421	03/15/2022	2FE
44890WAC4	HYUNDAI AUTO RECV TR 2015-A 20		08/15/2016	VARIOUS	X X X	150,018	150,000	149,978	149,986		3		3		149,990		28		1,024	04/15/2019	1FE
466247GD9	JP MORGAN ACCEPT 2004-A5 20341		09/26/2016	PRINCIPAL RECEIPT	X X X	3,988	3,988	3,997	3,997		(9)		(9)		3,988				70	12/25/2034	2FE
46641YAA1	JP MORGAN MTG TR 2014-2		09/26/2016	PRINCIPAL RECEIPT	X X X	3,887	3,887	4,004	4,005		(119)		(119)		3,887				81	06/25/2029	1FE
595481AC6	MID-STATE CAPITAL 2005-1 20400		09/15/2016	PRINCIPAL RECEIPT	X X X	998	998	1,070	1,068		(70)		(70)		998				47	01/15/2040	2FE
74005PAZ7	PRAXAIR INC		09/13/2016	McDonnell	X X X	79,280	75,000	76,617	76,135		(132)		(132)		76,003		3,277		2,344	09/01/2021	1FE
760985H79	RESIDENTIAL ASSET SEC 2003-RZ5		09/26/2016	PRINCIPAL RECEIPT	X X X	1,922	1,922	1,941	1,956		(34)		(34)		1,922				70	09/25/2033	2FE
78008TLB8	ROYAL BANK OF CANADA		07/20/2016																		

SCHEDULE D - PART 4
Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of
During the Current Quarter

1	2	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation or Market Indicator (a)
										11	12	13	14	15							
CUSIP Identification	Description									Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.							
8399999 Subtotal - Bonds					X X X	3,220,931	3,096,135	3,156,604	1,147,168		(11,848)		(11,848)		3,139,980		80,953	80,953	41,051	X X X	X X X
Preferred Stocks - Industrial and Miscellaneous (Unaffiliated)																					
253868707	DIGITAL RLTY TR INC PFD-E		09/06/2016	VARIOUS	2,775.000	69,856	25.00	71,706	71,678	28			28		71,706		(1,850)	(1,850)	3,083	X X X	P2U
29364W405	ENTERGY LA LLC 1ST MTG BD SER		09/16/2016	VARIOUS	1,100.000	27,861	25.00	28,545	28,530			(12)	(12)		28,519		(658)	(658)	928	X X X	RP1LFE
29364N835	ENTERGY MISS INC 1ST MTG BD		09/01/2016	VARIOUS	1,575.000	40,133	25.00	40,919	40,908		(8)		(8)		40,900		(767)	(767)	1,650	X X X	RP1LFE
G7498P309	RENAISSANCERE HOLDINGS LTD PFD		07/01/2016	Undefined	2,410.000	62,128	50.00	60,395	61,942	(1,547)			(1,547)		60,395		1,734	1,734	1,832	X X X	P2U
78409W201	SCE TR V PFD SEC		09/01/2016	Bank of America	525.000	15,624	25.00	13,125							13,125		2,499	2,499	193	X X X	1
8499999 Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated)					X X X	215,602	X X X	214,690	203,058	(1,519)	(20)		(1,539)		214,645		958	958	7,686	X X X	X X X
8999997 Subtotal - Preferred Stocks - Part 4					X X X	215,602	X X X	214,690	203,058	(1,519)	(20)		(1,539)		214,645		958	958	7,686	X X X	X X X
8999998 Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X
8999999 Subtotal - Preferred Stocks					X X X	215,602	X X X	214,690	203,058	(1,519)	(20)		(1,539)		214,645		958	958	7,686	X X X	X X X
Common Stocks - Industrial and Miscellaneous (Unaffiliated)																					
00687A107	ADIDAS AG SPN ADR		09/01/2016	MERRILL LYNCH	189.000	15,566	X X X	9,421							9,421		6,145	6,145	172	X X X	L
001055102	AFLAC Corp.		08/25/2016	MERRILL LYNCH	686.000	49,715	X X X	43,213	41,091	2,122			2,122		43,213		6,502	6,502	1,673	X X X	L
017175100	ALLEGHANY CORP		09/01/2016	MERRILL LYNCH	90.000	47,920	X X X	43,302							43,302		4,618	4,618		X X X	L
007177J108	ALLERGAN PLC		09/01/2016	MERRILL LYNCH	342.000	80,898	X X X	91,807	52,500	(2,152)			(2,152)		91,807	(10,909)	(10,909)			X X X	L
025932104	AMERICAN FINANCIAL GROUP INC		09/01/2016	MERRILL LYNCH	482.000	36,001	X X X	34,728	24,796	410			410		34,728		1,273	1,273	328	X X X	L
037833100	Apple Computer Co		09/01/2016	MERRILL LYNCH	502.000	53,447	X X X	54,992							54,992		(1,545)	(1,545)		X X X	L
03938L104	ARCELORMITTAL SA, LUXEMBOURG		08/10/2016	MERRILL LYNCH	682.000	4,335	X X X	2,909							2,909		1,426	1,426		X X X	L
053484101	AVALONBAY COMMUNICATION INC		08/17/2016	MERRILL LYNCH	21.000	3,731	X X X	2,539	3,867	(1,328)			(1,328)		2,539		1,192	1,192	106	X X X	L
056752108	BAIDU INC		07/12/2016	MERRILL LYNCH	52.000	8,573	X X X	4,983	9,830	(4,847)			(4,847)		4,983		3,590	3,590		X X X	L
05964H105	Banco Santander Cent Hispano S ADR		07/19/2016	MERRILL LYNCH	2,559.000	10,567	X X X	11,040							11,040		(473)	(473)	108	X X X	L
06738E204	BARCLAYS PLC ADR		07/31/2016	MERRILL LYNCH			X X X													X X X	L
12541W209	C.H. Robinson Worldwide		09/01/2016	MERRILL LYNCH	848.000	60,495	X X X	57,538	16,001	136					57,538		2,957	2,957	866	X X X	L
149123101	CATERPILLAR INC		09/01/2016	MERRILL LYNCH	397.000	32,136	X X X	33,388							33,388	(1,251)	(1,251)			X X X	L
166764100	CHEVRONTXACO CORP		09/01/2016	MERRILL LYNCH	1,638.000	163,518	X X X	153,200	124,415	4,294			4,294		153,200	10,319	10,319	4,418		X X X	L
H1467J104	CHUBB LTD		09/01/2016	MERRILL LYNCH	710.000	89,688	X X X	79,263							79,263		10,425	10,425	1,456	X X X	L
20449X302	COMPAS GROUP PLC SHS		07/08/2016	MERRILL LYNCH	389.000	7,244	X X X	4,200	6,854	(2,655)			(2,655)		4,200		3,044	3,044	134	X X X	L
225401108	CREDIT SUISSE GP SP ADR		09/01/2016	MERRILL LYNCH	5,865.000	76,838	X X X	108,727	66,675	9,132			9,132		108,727	(31,889)	(31,889)	2,512		X X X	L
D18190898	DEUTSCHE BK AG REG SHS		09/26/2016	MERRILL LYNCH	582.000	6,974	X X X	9,842							9,842		(2,868)	(2,868)		X X X	L
251542106	DEUTSCHE BOERSE AG SHS		08/15/2016	MERRILL LYNCH	4,594.000	38,375	X X X	34,396	26,136	(4,966)			(4,966)		34,396		3,979	3,979	1,177	X X X	L
268780103	E ONAG SPONS ADR		08/18/2016	MERRILL LYNCH	1,103.000	10,193	X X X	11,369							11,369		(1,176)	(1,176)	600	X X X	L
278642103	EBAY INC		09/01/2016	MERRILL LYNCH	3,579.000	109,282	X X X	85,752							85,752		23,530	23,530		X X X	L
26875P101	EOG RESOURCES INC		09/01/2016	MERRILL LYNCH	1,155.000	101,407	X X X	93,712	47,359	9,721			9,721		93,712		7,695	7,695	360	X X X	L
29476L107	EQUITY RESIDENTIAL SH BEN INT		09/01/2016	MERRILL LYNCH	1,813.000	119,804	X X X	129,605	66,577	(6,526)			(6,526)		129,605		(9,801)	(9,801)	5,784	X X X	L
30224P200	EXTENDED STAY AMERICA INC		08/18/2016	MERRILL LYNCH	399.000	5,642	X X X	9,130	6,344	2,786			2,786		9,130	(3,489)	(3,489)	668		X X X	L
30231G102	EXXON MOBILE CORP		09/01/2016	MERRILL LYNCH	382.000	32,998	X X X	28,024							28,024		4,974	4,974	891	X X X	L
313747206	FEDERAL REALTY INVT TR SH BEN INT		09/23/2016	MERRILL LYNCH	501.000	79,283	X X X	72,794	51,719	(2,389)			(2,389)		72,794		6,489	6,489	622	X X X	L
369604103	GENERAL ELECTRIC CO		09/01/2016	MERRILL LYNCH	6,938.000	215,786	X X X	182,023	216,119	(34,095)			(34,095)		182,023		33,763	33,763	2,792	X X X	L
370334104	GENERAL MILLS INC		09/01/2016	MERRILL LYNCH	1,015.000	71,206	X X X	60,846	48,838	32					60,846		10,360	10,360	407	X X X	L
37733W105	Glaxosmithkline PLC		09/21/2016	MERRILL LYNCH	102.000	4,363	X X X	4,133	17						4,133		230	230	537	X X X	L
38141G104	Goldman Sachs Group Inc		09/01/2016	MERRILL LYNCH	677.000	112,449	X X X	118,942	69,389	5,092			5,092		118,942		(6,493)	(6,493)	786	X X X	L
382550101	Goodyear Tire And Rubber		09/01/2016	MERRILL LYNCH	1,986.000	57,973	X X X	58,274							58,274		(301)	(301)	222	X X X	L
39137B109	GREAT WALL MOTOR CO		07/31/2016	MERRILL LYNCH			X X X													X X X	L
406216101	HALLIBURTON COMPANY		09/01/2016	MERRILL LYNCH	1,167.000	50,157	X X X	53,326							53,326		(3,169)	(3,169)	210	X X X	L
43300A104	Hilton Worldwide Hldgs		07/05/2016	MERRILL LYNCH	1,856.000	41,432	X X X	42,197	25,616	4,911			4,911		42,197		(765)	(765)	181	X X X	L
437076102	HOME DEPOT INC		09/01/2016	MERRILL LYNCH	291.000	38,984	X X X	27,404	24,599	(9,357)			(9,357)		27,404		11,580	11,580	430	X X X	L
443510607	HUBBELL INC CL B PAR		09/01/2016	MERRILL LYNCH	653.000	70,536	X X X	70,782	44,458	4,274					70,782		(246)	(246)	1,029	X X X	L
444859102	HUMANA INC		09/01/2016	MERRILL LYNCH	142.000	25,224	X X X	22,443							22,443		2,781	2,781		X X X	1
455807107	INDUSTRIAL AND COMMRLC BNK		07/05/2016	MERRILL LYNCH	1,014.000	11,170	X X X	11,173	4,904	230			230		11,173		(3)	(3)		X X X	L
45662N103	INFINEON TECHS		09/01/2016	MERRILL LYNCH	285.000	4,742	X X X	3,391	4,175	(785)			(785)		3,391		1,351	1,351	180	X X X	L
458140100	INTEL CORP		09/01/2016	MERRILL LYNCH	2,567.000	92,025	X X X	78,632	43,166	(7,121)			(7,121)		78,632		13,393	13,393	1,035	X X X	L
45857P608	INTERCONTINENTAL HOTELS		09/01/2016	MERRILL LYNCH	78.000	3,368	X X X	2,875							2,875		493	493		X X X	L
460146103	INTERNATIONAL PAPER CO		09/01/2016	MERRILL LYNCH	802.000	38,955	X X X	34,560							34,560		4,396	4,396	353	X X X	L
46115H107	INTESA SANPAOLO SPON ADR		07/07/2016	MERRILL LYNCH	194.000	2,052	X X X	3,403	3,877	(474)			(474)		3,403		(1,351)	(1,351)	261	X X X	L
G491BT108	INVESCO LTD		09/01/2016	MERRILL LYNCH	2,653.000	72,929	X X X	95,031	88,822	6,209			6,209		95,031		(22,102)	(22,102)	1,518	X X X	L
478160104	JOHNSON & JOHNSON		09/01/2016	MERRILL LYNCH	1,634.000	195,586	X X X	157,027	141,651	(11,126)			(11,126)		157,027		38,559	38,559	2,529	X X X	L
46625H100	JP MORGAN CHASE & CO		09/01/2016	MERRILL LYNCH	2,258.000	150,840	X X X	118,805	128,098	(29,300)			(29,300)		118,805		32,034	32,034	1,084	X X X	L
48241F104	KBC GROUPE SA		09/16/2016	MERRILL LYNCH	199.000	5,815	X X X	6,058	6,199	(140)			(140)		6,058		(243)	(243)		X X X	L
487836108	KELLOGG CO		09/01/2016	MERRILL LYNCH	977.000	79,692	X X X	75,016							75,016		4,676	4,676	880	X X X	L

QE05.2

Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of During the Current Quarter

CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
										Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.							

495724403	KINGFISHER PLC SP ADR		07/12/2016	MERRILL LYNCH	981.000	8,311	X X X	8,588	9,530	(942)		(942)	8,588		(277)	(277)	681	X X X	L	
501044101	KROGER COMPANY		09/01/2016	MERRILL LYNCH	2,998.000	95,675	X X X	108,311	78,640	(11,352)		(11,352)	108,311		(12,635)	(12,635)	642	X X X	L	
532457108	LILLY ELI AND COMPANY		09/01/2016	MERRILL LYNCH	1,181.000	91,696	X X X	89,467	25,278	(1,235)		(1,235)	89,467		2,228	2,228	1,264	X X X	L	
539439109	LLOYDS TSB GRP SPD ADR		07/06/2016	MERRILL LYNCH	3,223.000	8,085	X X X	16,676	14,052	2,624		2,624	16,676		(8,591)	(8,591)		X X X	L	
574599106	MASCO CORP		09/01/2016	MERRILL LYNCH	1,197.000	42,888	X X X	33,177					33,177		9,711	9,711	227	X X X	L	
58155Q103	MCKESSON CORP		09/01/2016	MERRILL LYNCH	308.000	58,152	X X X	58,941	45,560	1,669		1,669	58,941		(789)	(789)	140	X X X	L	
59156R108	METLIFE INC		07/01/2016	MERRILL LYNCH	1,226.000	48,610	X X X	52,740					52,740		(4,130)	(4,130)	856	X X X	L	
636274300	NATIONAL GRID PLC SP ADR		07/15/2016	MERRILL LYNCH	69.000	5,028	X X X	4,484	4,798	(315)		(315)	4,484		544	544	151	X X X	L	
65339F101	NEXTERA ENERGY INC SHS		09/01/2016	MERRILL LYNCH	904.000	108,775	X X X	83,969	93,917	(9,948)		(9,948)	83,969		24,806	24,806	1,573	X X X	L	
670100205	NOVO NORDISK A S ADR		08/11/2016	MERRILL LYNCH	62.000	2,880	X X X	1,627	3,601	(1,974)		(1,974)	62.000		1,254	1,254	377	X X X	L	
N6596X109	NXP SEMICONDUCTORS N.V. PER ADVISO		09/01/2016	MERRILL LYNCH	543.000	47,695	X X X	45,704	29,993	(139)		(139)	45,704		1,991	1,991		X X X	L	
674599105	OCCIDENTAL PETE CORP CAL		09/01/2016	MERRILL LYNCH	1,318.000	100,403	X X X	106,976	89,110	17,866		17,866	106,976		(6,573)	(6,573)	1,990	X X X	L	
69924R108	PARAMOUNT GROUP INC		07/25/2016	MERRILL LYNCH	2,445.000	39,920	X X X	44,629	44,255	375		375	44,629		(4,709)	(4,709)	232	X X X	L	
717081103	PFIZER INC		09/01/2016	MERRILL LYNCH	3,679.000	127,682	X X X	126,940					126,940		742	742	1,104	X X X	L	
69333C108	PG&E Corp		09/01/2016	MERRILL LYNCH	1,169.000	72,324	X X X	62,249	62,179	69		69	62,249		10,075	10,075	2,209	X X X	L	
723787107	PIONEER NATURAL RES CO		09/01/2016	MERRILL LYNCH	206.000	36,759	X X X	32,936					32,936		3,823	3,823	8	X X X	L	
693475105	PNC BANK CORP		09/01/2016	MERRILL LYNCH	923.000	81,991	X X X	76,778	60,808	(8,939)		(8,939)	76,778		5,213	5,213	857	X X X	L	
693506107	PPG INDUSTRIES		09/01/2016	MERRILL LYNCH	662.000	69,853	X X X	67,389	65,419	1,971		1,971	67,389		2,463	2,463	560	X X X	L	
74460D109	PUBLIC STORAGE COM		09/01/2016	MERRILL LYNCH	99.000	22,481	X X X	22,430					22,430		51	51	178	X X X	L	
773903109	Rockwell Automation Inc		09/01/2016	MERRILL LYNCH	425.000	49,214	X X X	43,681	10,056	148		148	43,681		5,533	5,533	728	X X X	L	
780259107	ROYAL DUTCH SHELL PLC		09/01/2016	MERRILL LYNCH	555.000	28,566	X X X	27,405	11,740	2,373		2,373	27,405		1,161	1,161	3,195	X X X	L	
780259206	ROYAL DUTCH SHELL PLC		09/01/2016	MERRILL LYNCH	80.000	3,883	X X X	4,324	3,663	661		661	4,324		(441)	(441)	776	X X X	L	
79546E104	SALLY BEAUTY HLDGS INC		09/01/2016	MERRILL LYNCH	747.000	20,358	X X X	21,919					21,919		(1,562)	(1,562)		X X X	L	
806857108	SCHLUMBERGER LTD		09/01/2016	MERRILL LYNCH	957.000	75,382	X X X	73,933	57,404	6,179		6,179	73,933		1,449	1,449	1,677	X X X	L	
808513105	SCHWAB CHARLES CORP		09/01/2016	MERRILL LYNCH	3,312.000	101,471	X X X	87,959					87,959		13,512	13,512	403	X X X	L	
816851109	SEMPRA ENERGY		09/01/2016	MERRILL LYNCH	984.000	101,994	X X X	100,694	83,105	6,838		6,838	100,694		1,300	1,300	1,410	X X X	L	
828806109	SIMON PROPERTY GROUP DEL		09/01/2016	MERRILL LYNCH	381.000	82,428	X X X	76,824	14,000	(3,154)		(3,154)	76,824		5,604	5,604	787	X X X	L	
83175M205	SMITH-NPHW PLC SPADR NEW		08/02/2016	MERRILL LYNCH	254.000	8,460	X X X	5,286	9,042	(3,757)		(3,757)	5,286		3,175	3,175	464	X X X	L	
835699307	Sony Corp.		09/01/2016	MERRILL LYNCH	142.000	4,556	X X X	2,597	3,495	(897)		(897)	2,597		1,959	1,959	14	X X X	L	
87160A100	SYNGENTA AG ADR		08/22/2016	MERRILL LYNCH	135.000	11,334	X X X	10,413	2,519	(480)		(480)	10,413		921	921	679	X X X	L	
875465106	TANGER FACTORY OUTLET CENTER		09/23/2016	MERRILL LYNCH	290.000	11,535	X X X	9,993	9,483	510		510	9,993		1,541	1,541	441	X X X	L	
88146M101	TERRANO REALTY CORP		07/05/2016	MERRILL LYNCH	357.000	9,120	X X X	8,148					8,148		972	972	64	X X X	L	
881624209	Teva Pharmaceutical Ind ADR		09/01/2016	MERRILL LYNCH	1,527.000	79,302	X X X	88,719	71,154	(5,669)		(5,669)	88,719		(9,417)	(9,417)	227	X X X	L	
883556102	THERMO ELECTRON CORP		09/01/2016	MERRILL LYNCH	258.000	38,921	X X X	30,149	36,597	(6,448)		(6,448)	30,149		8,772	8,772	77	X X X	L	
913017109	UNITED TECHNOLOGIES CORPORATION		09/01/2016	MERRILL LYNCH	1,338.000	140,965	X X X	141,555	128,542	13,014		13,014	141,555		(591)	(591)	1,607	X X X	L	
911271302	UNTD OVERSEAS BK SPN ADR		08/15/2016	MERRILL LYNCH	271.000	7,107	X X X	9,796	7,466	2,330		2,330	9,796		(2,689)	(2,689)	681	X X X	L	
902973304	US BANCORP DEL NEW		09/01/2016	MERRILL LYNCH	1,679.000	73,589	X X X	66,250					66,250		7,340	7,340	1,053	X X X	L	
92276F100	VENTAS INC COM		07/05/2016	MERRILL LYNCH	65.000	4,756	X X X	3,679	3,668	11		11	3,679		1,077	1,077	386	X X X	L	
92343V104	VERIZON COMMUNICATIONS		09/01/2016	MERRILL LYNCH	1,895.000	99,361	X X X	90,894	87,587	3,307		3,307	90,894		8,467	8,467	2,822	X X X	L	
92826C839	VISA INC CL A SHRS		09/01/2016	MERRILL LYNCH	1,124.000	91,248	X X X	84,696					84,696		6,552	6,552	320	X X X	L	
92857W308	VODAFONE GROUP		09/01/2016	MERRILL LYNCH	203.000	6,150	X X X	10,200	6,549	3,651		3,651	10,200		(4,050)	(4,050)	443	X X X	L	
084423102	W R BERKLEY CORP		09/01/2016	MERRILL LYNCH	612.000	36,141	X X X	36,441					36,441		(300)	(300)	386	X X X	L	
949746101	WELLS FARGO & CO NEW		09/01/2016	MERRILL LYNCH	4,091.000	205,534	X X X	212,903	149,925	(2,664)		(2,664)	212,903		(7,369)	(7,369)	3,928	X X X	L	
963320106	WHIRLPOOL CORP		09/01/2016	MERRILL LYNCH	219.000	38,853	X X X	38,184					38,184		669	669	219	X X X	L	
977868306	WOLSELEY		08/01/2016	MERRILL LYNCH	219.000	1,187	X X X	1,263	1,201	62		62	1,263		(76)	(76)		X X X	L	
92937A102	WPP PLC NEW/ADR		08/01/2016	MERRILL LYNCH	47.000	5,267	X X X	2,777	5,393	(2,616)		(2,616)	2,777		2,489	2,489	664	X X X	L	
98956P102	ZIMMER HOLDINGS INC		09/01/2016	MERRILL LYNCH	568.000	72,913	X X X	62,757	22,159	(377)		(377)	62,757		10,155	10,155	374	X X X	L	
654624105	Nippon Telephone Adr	F	08/01/2016	MERRILL LYNCH	88.000	4,214	X X X	2,586	3,497	(911)		(911)	2,586		1,628	1,628	574	X X X	L	
9099999 Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)					X X X	5,072,931	X X X	4,836,251	2,753,576	(66,054)		(66,054)	4,836,251		236,678	236,678	73,757	X X X	X X X	
Common Stocks - Mutual Funds																				
233203827	DFA US LARGE CAP VALUE		09/01/2016	Bank of America	45,740.852	1,517,029	X X X	1,503,044	1,409,733	93,311		93,311	1,503,044		13,984	13,984		X X X	L	
464287598	Ishares Russell 1000 Value Indx Fd		09/01/2016	Bank of America	38,759.000	4,109,547	X X X	3,600,585	2,995,690	(145,362)		(145,362)	3,600,585		508,961	508,961	67,713	X X X	L	
9299999 Subtotal - Common Stocks - Mutual Funds					X X X	5,626,576	X X X	5,103,629	4,405,423	(52,051)		(52,051)	5,103,629		522,945	522,945	67,713	X X X	X X X	
Common Stocks - Money Market Mutual Funds																				
111111118	BBIF Money Funds		09/30/2016	Merrill Lynch		245,251	X X X	245,251	245,251									X X X	L	
9399999 Subtotal - Common Stocks - Money Market Mutual Funds					X X X	245,251	X X X	245,251	245,251									X X X	X X X	
9799997 Subtotal - Common Stocks - Part 4					X X X	10,944,758	X X X	10,185,131	7,404,250	(118,105)		(118,105)	9,939,880		759,623	759,623	141,470	X X X	X X X	
9799998 Summary Item from Part 5 for Common Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X	X X X		X X X	X X X		X X X	X X X	X X X	X X X	X X X	

SCHEDULE D - PART 4
Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of
During the Current Quarter

1	2	3 F o r e i g n	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description		Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
9799999	Subtotal - Common Stocks				X X X	10,944,758	X X X	10,185,131	7,404,250	(118,105)			(118,105)		9,939,880		759,623	759,623	141,470	X X X	X X X
9899999	Subtotal - Preferred and Common Stocks				X X X	11,160,360	X X X	10,399,821	7,607,308	(119,624)	(20)		(119,644)		10,154,525		760,581	760,581	149,156	X X X	X X X
9999999	Total - Bonds, Preferred and Common Stocks				X X X	14,381,291	X X X	13,556,425	8,754,476	(119,624)	(11,868)		(131,492)		13,294,505		841,534	841,534	190,207	X X X	X X X

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0.

E06 Schedule DB Part A Section 1 NONE

E07 Schedule DB Part B Section 1 NONE

E08 Schedule DB Part D Section 1 NONE

E09 Schedule DB Part D Section 2 - Collateral Pledged By Reporting Entity NONE

E09 Schedule DB Part D Section 2 - Collateral Pledged To Reporting Entity NONE

E10 Schedule DL - Part 1 - Securities Lending Collateral Assets NONE

E11 Schedule DL - Part 2 - Securities Lending Collateral Assets NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1			2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	6	7	8	
Depository			Code	Rate of Interest			First Month	Second Month	Third Month	*
open depositories										
Fifth Third Admin	Cincinnati, OH						(128,859)	(121,730)	(112,889)	X X X
Fifth Third Claims Checking	Cincinnati, OH						(13,445,972)	(12,798,266)	(11,145,425)	X X X
Fifth Third Individual Prod Claims	Cincinnati, OH									X X X
Fifth Third Control Account	Cincinnati, OH						9,860,676	5,790,348	8,399,217	X X X
Fifth Third Prefunds	Cincinnati, OH						834,400	834,400	834,400	X X X
Fifth Third Receipts Group	Cincinnati, OH						566,529	(191)	464,338	X X X
Bank of America Receipts Individual	Chicago, IL						408,201	645,592	85,902	X X X
Wells Fargo BOD Deferred Comp	Chicago, IL						444,073	451,970	452,047	X X X
First Premier Bank	Sioux Falls, SD						49,579	49,479	49,379	X X X
0199998 Deposits in0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - open depositories			X X X	X X X						X X X
0199999 Totals - Open Depositories			X X X	X X X			(1,411,373)	(5,148,398)	(973,031)	X X X
0299998 Deposits in0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - suspended depositories			X X X	X X X						X X X
0299999 Totals - Suspended Depositories			X X X	X X X						X X X
0399999 Total Cash On Deposit			X X X	X X X			(1,411,373)	(5,148,398)	(973,031)	X X X
0499999 Cash in Company's Office			X X X	X X X	X X X	X X X				X X X
0599999 Total Cash			X X X	X X X			(1,411,373)	(5,148,398)	(973,031)	X X X

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8
Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
NONE							
8699999 Total - Cash Equivalents							

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