



QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2016
OF THE CONDITION AND AFFAIRS OF THE

UDC OHIO, INC.

NAIC Group Code	00549 (Current Period)	00549 (Prior Period)	NAIC Company Code	52022	Employer's ID Number		74-2609036
Organized under the Laws of			Ohio	State of Domicile or Port of Entry			Ohio
Country of Domicile				United States			
Licensed as business type:	Life, Accident & Health []	Property/Casualty []	Hospital, Medical & Dental Service or Indemnity []				
	Dental Service Corporation []	Vision Service Corporation []	Health Maintenance Organization []				
	Other [X]		Is HMO Federally Qualified? Yes [] No []				
Incorporated/Organized	04/20/1990	Commenced Business			05/17/1990		
Statutory Home Office	312 Elm Street, Suite 1500 (Street and Number)			Cincinnati, OH, US 45202 (City or Town, State, County and Zip Code)			
Main Administrative Office	One Sun Life Executive Park (Street and Number)			Wellesley Hills, MA, US 02481 (City or Town, State, County and Zip Code)			781-237-6030 (Area Code) (Telephone Number)
Mail Address	One Sun Life Executive Park (Street and Number or P.O. Box)			Wellesley Hills, MA, US 02481 (City or Town, State, County and Zip Code)			781-237-6030 (Area Code) (Telephone Number)
Primary Location of Books and Records	One Sun Life Executive Park (Street and Number)			Wellesley Hills, MA, US 02481 (City or Town, State, County and Zip Code)			N/A
Internet Web Site Address							
Statutory Statement Contact	Elizabeth Burkhardt (Name)			781-416-3184 (Area Code) (Telephone Number) (Extension)			
	state.filings@sunlife.com (E-Mail Address)			781-446-1779 (FAX Number)			

OFFICERS

Name	Title	Name	Title
STACIA NALANI ALMQUIST	PRESIDENT	KERRI RILEY ANSELLO #	SECRETARY
AMY JO GOERKE	TREASURER	ERIC STEVEN VANDENBERG	ACTUARY

OTHER OFFICERS

JAMES VERNON BARRETT DMD	VICE PRESIDENT
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DIRECTORS OR TRUSTEES

STACIA NALANI ALMQUIST	
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State of Missouri.....
County of Jackson.....
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The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

STACIA NALANI ALMQUIST PRESIDENT	KERRI RILEY ANSELLO SECRETARY	AMY JO GOERKE TREASURER
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a. Is this an original filing? Yes [X] No []

b. If no:

1. State the amendment number _____
2. Date filed _____
3. Number of pages attached _____

Subscribed and sworn to before me this
day of October, 2016

Connie J. Turnipseed, Notary Public
03/07/2018

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	199,922		199,922	199,875
2. Stocks:				
2.1 Preferred stocks			0	0
2.2 Common stocks			0	0
3. Mortgage loans on real estate:				
3.1 First liens			0	0
3.2 Other than first liens			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)			0	0
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)			0	0
5. Cash (\$ 739,321), cash equivalents (\$0) and short-term investments (\$0)	739,321		739,321	774,231
6. Contract loans (including \$ premium notes)			0	0
7. Derivatives	0		0	0
8. Other invested assets	0		0	0
9. Receivables for securities			0	0
10. Securities lending reinvested collateral assets			0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	939,243	0	939,243	974,106
13. Title plants less \$ charged off (for Title insurers only)			0	0
14. Investment income due and accrued640		.640	.1,645
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection6,856	.515	.6,341	.7,710
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)			0	0
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers			0	0
16.2 Funds held by or deposited with reinsured companies			0	0
16.3 Other amounts receivable under reinsurance contracts			0	0
17. Amounts receivable relating to uninsured plans			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon4,000		.4,000	.6,826
18.2 Net deferred tax asset			0	.4,541
19. Guaranty funds receivable or on deposit			0	0
20. Electronic data processing equipment and software			0	0
21. Furniture and equipment, including health care delivery assets (\$)			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates			0	18,326
24. Health care (\$ 28,832) and other amounts receivable28,832	.28,832	0	0
25. Aggregate write-ins for other-than-invested assets	0	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	979,571	29,347	950,224	1,013,154
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28. Total (Lines 26 and 27)	979,571	29,347	950,224	1,013,154
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	0	0	0	0
2501.				
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	0	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ reinsurance ceded)	12,900		12,900	16,274
2. Accrued medical incentive pool and bonus amounts			0	0
3. Unpaid claims adjustment expenses	152		152	186
4. Aggregate health policy reserves including the liability of \$ for medical loss ratio rebate per the Public Health Service Act	16,948		16,948	20,397
5. Aggregate life policy reserves			0	0
6. Property/casualty unearned premium reserve			0	0
7. Aggregate health claim reserves			0	0
8. Premiums received in advance	13,472		13,472	15,158
9. General expenses due or accrued	13,926		13,926	16,758
10.1 Current federal and foreign income tax payable and interest thereon (including \$ on realized gains (losses))			0	0
10.2 Net deferred tax liability			0	0
11. Ceded reinsurance premiums payable			0	0
12. Amounts withheld or retained for the account of others			0	0
13. Remittances and items not allocated			0	0
14. Borrowed money (including \$ current) and interest thereon \$ (including \$ current)			0	0
15. Amounts due to parent, subsidiaries and affiliates	23,277		23,277	98
16. Derivatives		0	0	0
17. Payable for securities			0	0
18. Payable for securities lending			0	0
19. Funds held under reinsurance treaties (with \$ authorized reinsurers, \$ unauthorized reinsurers and \$ certified reinsurers)			0	0
20. Reinsurance in unauthorized and certified (\$) companies			0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates			0	0
22. Liability for amounts held under uninsured plans			0	0
23. Aggregate write-ins for other liabilities (including \$ current)	1,340	0	1,340	0
24. Total liabilities (Lines 1 to 23)	82,015	0	82,015	68,871
25. Aggregate write-ins for special surplus funds	XXX	XXX	0	14,565
26. Common capital stock	XXX	XXX	100	100
27. Preferred capital stock	XXX	XXX		0
28. Gross paid in and contributed surplus	XXX	XXX	865,537	865,537
29. Surplus notes	XXX	XXX		0
30. Aggregate write-ins for other-than-special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	2,572	64,081
32. Less treasury stock, at cost:				
32.1 shares common (value included in Line 26 \$)	XXX	XXX		0
32.2 shares preferred (value included in Line 27 \$)	XXX	XXX		0
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	868,209	944,283
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	950,224	1,013,154
DETAILS OF WRITE-INS				
2301. Stale checks	1,340		1,340	0
2302.				
2303.				
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398) (Line 23 above)	1,340	0	1,340	0
2501. Surplus appropriated for ACA Section 9010 Fee	XXX	XXX		14,565
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	XXX	XXX	0	14,565
3001.	XXX	XXX		
3002.	XXX	XXX		
3003.	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098) (Line 30 above)	XXX	XXX	0	0

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months.....	XXX	57,248	67,398	89,361
2. Net premium income (including \$ non-health premium income).....	XXX	531,366	642,182	843,482
3. Change in unearned premium reserves and reserve for rate credits	XXX	3,449	3,138	560
4. Fee-for-service (net of \$ medical expenses).....	XXX	0	0	0
5. Risk revenue.....	XXX	0	0	0
6. Aggregate write-ins for other health care related revenues.....	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues.....	XXX	0	0	0
8. Total revenues (Lines 2 to 7).....	XXX	534,815	645,320	844,042
Hospital and Medical:				
9. Hospital/medical benefits.....			0	0
10. Other professional services.....		422,607	491,551	636,096
11. Outside referrals.....			0	0
12. Emergency room and out-of-area.....			0	0
13. Prescription drugs.....			0	0
14. Aggregate write-ins for other hospital and medical.....	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts.....			0	0
16. Subtotal (Lines 9 to 15).....	0	422,607	491,551	636,096
Less:				
17. Net reinsurance recoveries.....			0	0
18. Total hospital and medical (Lines 16 minus 17).....	0	422,607	491,551	636,096
19. Non-health claims (net).....			0	0
20. Claims adjustment expenses, including \$ cost containment expenses.....		(34)	3,348	4,602
21. General administrative expenses.....		198,592	178,223	256,686
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only).....			0	0
23. Total underwriting deductions (Lines 18 through 22).....	0	621,165	673,122	897,384
24. Net underwriting gain or (loss) (Lines 8 minus 23).....	XXX	(86,350)	(27,802)	(53,342)
25. Net investment income earned.....		2,543	1,198	1,571
26. Net realized capital gains (losses) less capital gains tax of \$.....	8	16	(83)	(78)
27. Net investment gains (losses) (Lines 25 plus 26).....	0	2,559	1,115	1,493
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$) (amount charged off \$)]			0	0
29. Aggregate write-ins for other income or expenses.....	0	0	0	0
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29).....	XXX	(83,791)	(26,687)	(51,849)
31. Federal and foreign income taxes incurred.....	XXX	(4,478)	(4,411)	(12,709)
32. Net income (loss) (Lines 30 minus 31).....	XXX	(79,313)	(22,276)	(39,140)
DETAILS OF WRITE-INS				
0601.....	XXX			
0602.....	XXX			
0603.....	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page.....	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 6 above).....	XXX	0	0	0
0701.....	XXX			
0702.....	XXX			
0703.....	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page.....	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798) (Line 7 above).....	XXX	0	0	0
1401.....				
1402.....				
1403.....				
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498) (Line 14 above).....	0	0	0	0
2901.....				
2902.....				
2903.....				
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above).....	0	0	0	0

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
CAPITAL & SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year	944,283	986,831	986,831
34. Net income or (loss) from Line 32	(79,313)	(22,276)	(39,140)
35. Change in valuation basis of aggregate policy and claim reserves	0	0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$	0	0	0
37. Change in net unrealized foreign exchange capital gain or (loss)	0	0	0
38. Change in net deferred income tax	(4,541)	(281)	259
39. Change in nonadmitted assets	7,780	(3,323)	(3,667)
40. Change in unauthorized and certified reinsurance	0	0	0
41. Change in treasury stock	0	0	0
42. Change in surplus notes	0	0	0
43. Cumulative effect of changes in accounting principles	0	0	0
44. Capital Changes:			
44.1 Paid in	0	0	0
44.2 Transferred from surplus (Stock Dividend)	0	0	0
44.3 Transferred to surplus	0	0	0
45. Surplus adjustments:			
45.1 Paid in	0	0	0
45.2 Transferred to capital (Stock Dividend)	0	0	0
45.3 Transferred from capital	0	0	0
46. Dividends to stockholders	0	0	0
47. Aggregate write-ins for gains or (losses) in surplus	0	0	0
48. Net change in capital and surplus (Lines 34 to 47)	(76,074)	(25,880)	(42,548)
49. Capital and surplus end of reporting period (Line 33 plus 48)	868,209	960,951	944,283
DETAILS OF WRITE-INS			
4701.	0	0	0
4702.	0	0	0
4703.	0	0	0
4798. Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798) (Line 47 above)	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance.....	530,730	661,345	866,081
2. Net investment income.....	3,501	1,096	1,052
3. Miscellaneous income.....	0	0	0
4. Total (Lines 1 to 3).....	534,231	662,441	867,133
5. Benefit and loss related payments.....	425,981	492,447	636,372
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	201,424	268,341	346,170
7. Commissions, expenses paid and aggregate write-ins for deductions.....	0	0	0
8. Dividends paid to policyholders.....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$..... gains (losses).....	(7,296)	8,432	(7,008)
10. Total (Lines 5 through 9).....	620,109	769,220	975,534
11. Net cash from operations (Line 4 minus Line 10).....	(85,878)	(106,779)	(108,401)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	0	734,999	735,000
12.2 Stocks.....	645,905	0	0
12.3 Mortgage loans.....	0	0	0
12.4 Real estate.....	0	0	0
12.5 Other invested assets.....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....	24	0	7
12.7 Miscellaneous proceeds.....	0	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	645,929	734,999	735,007
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	0	59,991	59,992
13.2 Stocks.....	645,905	0	0
13.3 Mortgage loans.....	0	0	0
13.4 Real estate.....	0	0	0
13.5 Other invested assets.....	0	0	0
13.6 Miscellaneous applications.....	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6).....	645,905	59,991	59,992
14. Net increase (or decrease) in contract loans and premium notes.....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	24	675,008	675,015
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0	0
16.3 Borrowed funds.....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....	0	0	0
16.5 Dividends to stockholders.....	0	0	0
16.6 Other cash provided (applied).....	50,944	18,305	(12,445)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	50,944	18,305	(12,445)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17).....	(34,910)	586,534	554,169
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	774,231	220,062	220,062
19.2 End of period (Line 18 plus Line 19.1).....	739,321	806,596	774,231

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
		2	3							
	Total	Individual	Group							
Total Members at end of:										
1. Prior Year	7,275	0	.0	0	0	7,275	0	0	.0	.0
2. First Quarter	6,537	0	.0	0	0	6,537	0	0	.0	.0
3. Second Quarter	6,201	0	.0	0	0	6,201	0	0	.0	.0
4. Third Quarter	6,058					6,058				
5. Current Year	0									
6. Current Year Member Months	57,248					57,248				
Total Member Ambulatory Encounters for Period:										
7. Physician	0									
8. Non-Physician	714					714				
9. Total	714	0	0	0	0	714	0	0	0	0
10. Hospital Patient Days Incurred	0									
11. Number of Inpatient Admissions	0									
12. Health Premiums Written (a)	531,366					531,366				
13. Life Premiums Direct	0									
14. Property/Casualty Premiums Written	0									
15. Health Premiums Earned	534,815					534,815				
16. Property/Casualty Premiums Earned	0									
17. Amount Paid for Provision of Health Care Services	425,981					425,981				
18. Amount Incurred for Provision of Health Care Services	422,607					422,607				

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
Claims unpaid (Reported)						
0199999 Individually listed claims unpaid.....	0	.0	0	.0	0	.0
0299999 Aggregate accounts not individually listed-uncovered.....						.0
0399999 Aggregate accounts not individually listed-covered	4,535	4,490				9,025
0499999 Subtotals	4,535	4,490	0	0	0	9,025
0599999 Unreported claims and other claim reserves	XXX	XXX	XXX	XXX	XXX	3,875
0699999 Total amounts withheld	XXX	XXX	XXX	XXX	XXX	
0799999 Total claims unpaid	XXX	XXX	XXX	XXX	XXX	12,900
0899999 Accrued medical incentive pool and bonus amounts	XXX	XXX	XXX	XXX	XXX	

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

UNDERWRITING AND INVESTMENT EXHIBIT
ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5	6 Estimated Claim Reserve and Claim Liability Dec. 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)					0	.0
2. Medicare Supplement					0	.0
3. Dental only	4,092	421,889	.82	12,818	4,174	16,274
4. Vision only					0	.0
5. Federal Employees Health Benefits Plan					0	.0
6. Title XVIII - Medicare					0	.0
7. Title XIX - Medicaid					0	.0
8. Other health					0	.0
9. Health subtotal (Lines 1 to 8).....	4,092	421,889	.82	12,818	4,174	16,274
10. Health care receivables (a).....					0	.0
11. Other non-health					0	.0
12. Medical incentive pools and bonus amounts					0	.0
13. Totals (Lines 9-10+11+12)	4,092	421,889	.82	12,818	4,174	16,274

(a) Excludes \$ 28,832 loans or advances to providers not yet expensed.

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of UDC Ohio, Inc. (the "Company") are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance ("ODI").

The Ohio Department of Insurance recognizes only statutory practices prescribed or permitted by the state of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance Law. The National Association of Insurance Commissioners' ("NAIC") *Accounting Practices and Procedures Manual* ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the state of Ohio. The state has adopted certain prescribed accounting practices that differ from those found in NAIC SAP. The Commissioner of Insurance has the right to permit other specific practices that deviate from prescribed practices.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the state of Ohio is shown below:

	Domicile	9/30/2016	12/31/2015
<u>NET INCOME</u>			
(1) Company state basis (Page 4, Line 32, Columns 2 & 4)	Ohio	\$ (79,313)	\$ (39,140)
(2) State Prescribed Practices that		-	-
(3) State Permitted Practices that		-	-
(4) NAIC SAP (1-2-3=4)		<u>\$ (79,313)</u>	<u>\$ (39,140)</u>
<u>SURPLUS</u>			
(5) Company state basis (Page 3, Line 33, Columns 3 & 4)	Ohio	\$ 868,209	\$ 944,283
(6) State Prescribed Practices that		-	-
(7) State Permitted Practices that		-	-
(8) NAIC SAP (5-6-7=8)		<u>\$ 868,209</u>	<u>\$ 944,283</u>

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expense during the period. Actual results could differ from those estimates.

C. Accounting Policy

Premiums billed to individual and group subscribers are recognized as revenue in the month in which subscribers are entitled to receive dental care. Uncollected premiums over 90 days past due are nonadmitted and excluded from surplus. The Company contracts with dentists (providers) for dental services to be provided to its subscribers. Provider capitation consists of monthly fees paid to providers and is expensed in the month in which the provider is obligated to render dental services. Emergency services to members while temporarily out of their provider's area, as well as specialty services not covered by capitation fees, are recorded as incurred.

- (1) Short-term investments
 - No change.
- (2) Bonds
 - No change.
- (3) Common stocks
 - Common stocks are stated at fair value.
- (4) The Company does not have investments in preferred stocks.
- (5) The Company does not have investments in mortgage loans.
- (6) The Company does not have investments in loan-backed securities.
- (7) The Company does not own any shares of an upstream or intermediate parent or affiliate, either directly or indirectly.

NOTES TO FINANCIAL STATEMENTS

- (8) The Company does not hold any investments in joint ventures, partnerships or limited liability companies.
- (9) The Company does not hold any derivative instruments.
- (10) The Company does not have health premium deficiency liabilities at September 30, 2016. Anticipated investment income was used in the calculation.
- (11) There has been no change in Company management's policies and methodologies for estimating the liabilities for losses and loss/claim adjustment expenses for accident and health contracts.
- (12) The Company does not have fixed assets.
- (13) The Company does not have pharmaceutical rebate receivables.

D. Going concern

Management has evaluated the Company's financial position and determined that no conditions or events have occurred that would raise doubt about the Company's ability to continue as a going concern.

2. Accounting Changes and Corrections of Errors

No significant change.

3. Business Combinations and Goodwill

No significant change.

4. Discontinued Operations

No significant change.

5. Investments

A. Mortgage Loans, Including Mezzanine Real Estate Loans

Not applicable.

B. Debt Restructuring

Not applicable.

C. Reverse Mortgages

Not applicable.

D. Loan-Backed Securities

The Company does not have any loan-backed or structured securities.

E. Repurchase Agreements and/or Securities Lending Transactions

The Company has not accepted any collateral that is permitted by contract or custom to sell or repledge.

F. Real Estate

Not applicable.

G. Investments in Low Income Housing Tax Credits

Not applicable.

H. Restricted Assets

No significant change.

I. Working Capital Finance Investments

The Company has no working capital finance programs.

J. Offsetting and Netting of Assets and Liabilities

The Company has no offsetting or netting of assets and liabilities.

K. Structured Notes

Not applicable.

6. Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

NOTES TO FINANCIAL STATEMENTS

7. Investment Income

No significant change.

8. Derivative Instruments

No significant change.

9. Income Taxes

A. The application of SSAP No. 101 requires a company to evaluate the recoverability of deferred tax assets and to establish a valuation allowance, if necessary, to reduce the deferred tax asset to an amount which is more likely than not to be realized. Considerable judgment is required in determining whether a valuation allowance is necessary, and if so, the amount of such valuation allowance. As a result of management's evaluation at September 30, 2016, management believes it is more likely than not that the deferred tax assets will not be realized. Therefore, the Company has recorded a valuation allowance against the gross deferred tax assets ("DTAs"), excluding the effects associated with its deferred tax liabilities ("DTLs"), as of September 30, 2016.

1. The components of deferred tax assets ("DTAs") and deferred tax liabilities ("DTLs") as of September 30, 2016, and December 31, 2015, are as follows:

Description	9/30/2016			12/31/2015			Change		
	(1)	(2)	(3) (Col 1+2)	(4)	(5)	(6) (Col 4+5)	(7) (Col 1-4)	(8) (Col 2-5)	(9) (Col 7+8)
	Ordinary	Capital	Total	Ordinary	Capital	Total	Ordinary	Capital	Total
(a) Gross deferred tax assets	\$ 31,741	\$ -	\$ 31,741	\$ 4,569	\$ -	\$ 4,569	\$ 27,172	\$ -	\$ 27,172
(b) Statutory valuation allowance adjustments	21,470	-	21,470	-	-	-	21,470	-	21,470
(c) Adjusted gross deferred tax assets (1a-1b)	10,271	-	10,271	4,569	-	4,569	5,702	-	5,702
(d) Deferred tax assets nonadmitted	-	-	-	-	-	-	-	-	-
(e) Subtotal net admitted deferred tax assets (1c-1d)	10,271	-	10,271	4,569	-	4,569	5,702	-	5,702
(f) Deferred tax liabilities	10,271	-	10,271	-	28	28	10,271	(28)	10,243
(g) Net admitted deferred tax assets/(net deferred tax liability) (1e-1f)	\$ -	\$ -	\$ -	\$ 4,569	\$ (28)	\$ 4,541	\$ (4,569)	\$ 28	\$ (4,541)

2. The following table provides component amounts of the Company's net admitted DTA calculation by tax character of paragraphs 11.a, 11.b.i, 11.b.ii and 11.c of SSAP No. 101 and the risk-based capital level used to determine the recovery period and threshold limitation amount.

Description	9/30/2016			12/31/2015			Change		
	(1)	(2)	(3) (Col 1+2)	(4)	(5)	(6) (Col 4+5)	(7) (Col 1-4)	(8) (Col 2-5)	(9) (Col 7+8)
	Ordinary	Capital	Total	Ordinary	Capital	Total	Ordinary	Capital	Total
Admission Calculation Components									
SSAP No. 101									
(a) Federal income taxes paid in prior years recoverable through loss carrybacks	\$ -	\$ -	\$ -	\$ 4,569	\$ -	\$ 4,569	\$ (4,569)	\$ -	\$ (4,569)
(b) Adjusted gross deferred tax assets expected to be realized (excluding the amount of deferred tax assets from 2(a) above) after application of the threshold limitation. (The lesser of 2(b)1 and 2(b)2 below)	-	-	-	-	-	(28)	(28)	-	28
1. Adjusted gross deferred tax assets expected to be realized following the balance sheet date	-	-	-	-	-	-	-	-	-
2. Adjusted gross deferred tax assets allowed per limitation threshold	-	-	130,231	-	-	-	140,961	-	(10,730)
(c) Adjusted gross deferred tax assets (excluding the amount of deferred tax assets from 2(a) and 2(b) above) offset by gross deferred tax liabilities.	-	-	-	-	-	-	-	-	-
(d) Deferred tax assets admitted as the result of application of SSAP No. 101. Total (2(a) + 2(b) + 2(c))	\$ -	\$ -	\$ -	\$ 4,569	\$ (28)	\$ 4,541	\$ (4,569)	\$ 28	\$ (4,541)

3. Adjusted capital and surplus

	9/30/2016	12/31/2015
a.) Ratio percentage used to determine recovery period and threshold limitation amount	3003%	3250%
b.) Amount of adjusted capital and surplus used to determine recovery period and threshold limitation in 2(b)2 above	\$ 868,209	\$ 939,742

4. The following table provides the impact of tax-planning strategies, if used in the Company's SSAP No. 101 calculation, on adjusted gross and net admitted DTAs.

NOTES TO FINANCIAL STATEMENTS

Description	9/30/2016		12/31/2015		Change	
	(1) Ordinary	(2) Capital	(4) Ordinary	(5) Capital	(7) (Col 1-4) Ordinary	(8) (Col 2-5) Capital
Impact of Tax Planning Strategies						
(a) Determination of adjusted gross deferred tax assets and net admitted deferred assets, by tax character as a percentage						
1 Adjusted gross DTAs amount from Note 9A1(c)	\$ 10,271	\$ -	\$ 4,569	\$ -	\$ 5,702	\$ -
2 Percentage of adjusted gross DTAs by tax character attributable to the impact of tax planning strategies	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
3 Net admitted adjusted gross DTAs amount from Note 9A1(e)	\$ 10,271	\$ -	\$ 4,569	\$ -	\$ 5,702	\$ -
4 Percentage of net admitted adjusted gross DTAs by tax character admitted because of the impact of tax planning strategies	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
(b) Does the Company's tax-planning strategies include the use of reinsurance?	Yes _____	No _____ X _____				

B. Not applicable.

C. The following tables provide the Company's significant components of income taxes incurred and the changes in DTAs and DTLs.

	(1)		(2)		(3)	
	9/30/2016	12/31/2015			(Col 1-2)	Change
1. Current Income Tax						
(a) Federal	\$ (4,478)	\$ (12,709)	\$ 8,231			
(b) Foreign	-	-	-			
(c) Subtotal	(4,478)	(12,709)	8,231			
(d) Federal income tax on net capital gains	8	85	(77)			
(e) Utilization of loss carryforwards	-	-	-			
(f) Prior period adjustment	-	-	-			
(g) Federal and foreign income taxes incurred	\$ (4,470)	\$ (12,624)	\$ 8,154			
	(1)		(2)		(3)	
	9/30/2016	12/31/2015			(Col 1-2)	Change
2. Deferred Tax Assets:						
(a) Ordinary						
(1) Unassigned capitation	\$ -	\$ 4,097	\$ (4,097)			
(2) Nonadmitted assets	10,271	472	9,799			
(3) NOL	21,470	-	21,470			
(4) Deferred and uncollected premium	-	-	-			
(5) Other (including items <5% of total ordinary tax assets)	-	-	-			
(99) Subtotal	31,741	4,569	27,172			

NOTES TO FINANCIAL STATEMENTS

	(1)	(2)	(3)
	9/30/2016	12/31/2015	(Col 1-2)
			Change
Deferred Tax Assets (Continued):			
(b) Statutory valuation allowance adjustment	21,470	-	21,470
(c) Nonadmitted	-	-	-
(d) Admitted ordinary deferred tax assets (2a99 - 2b - 2c)	10,271	4,569	5,702
(e) Capital:			
(1) Investments	-	-	-
(2) Net capital loss carryforward	-	-	-
(3) Real estate	-	-	-
(4) Other (including items <5% of total capital tax assets)	-	-	-
(99) Subtotal	-	-	-
(f) Statutory valuation allowance adjustment	-	-	-
(g) Nonadmitted	-	-	-
(h) Admitted capital deferred tax assets (2e99 - 2f - 2g)	-	-	-
(i) Admitted deferred tax assets (2d + 2h)	\$ 10,271	\$ 4,569	\$ 5,702

	(1)	(2)	(3)
	9/30/2016	12/31/2015	(Col 1-2)
			Change
3. Deferred Tax Liabilities:			
(a) Ordinary			
(1) Investments	\$ -	\$ -	\$ -
(2) Fixed assets	-	-	-
(3) Deferred and uncollected premium	180	-	180
(4) Prepaid capitation	10,091	-	10,091
(5) Other (including items <5% of total ordinary tax liabilities)	-	-	-
(99) Subtotal	10,271	-	10,271
(b) Capital:			
(1) Investments	-	28	(28)
(2) Real estate	-	-	-
(3) Other (including items <5% of total capital tax liabilities)	-	-	-
(99) Subtotal	-	28	(28)
(c) Deferred tax liabilities (3a99+3b99)	\$ 10,271	\$ 28	\$ 10,243
4. Net deferred tax assets/liabilities (2i-3c)	\$ -	\$ 4,541	\$ (4,541)

5. The change in net deferred income taxes was comprised of the following:

Description	(1)	(2)	(3)
	9/30/2016	12/31/2015	(Col 1-2)
			Change
(a) Total deferred tax assets	\$ 31,741	\$ 4,569	\$ 27,172
(b) Total deferred tax liabilities	10,271	28	10,243
(c) Net deferred tax asset	\$ 21,470	\$ 4,541	\$ 16,929
(d) Statutory valuation allowance	21,470	-	21,470
(e) Net deferred tax assets/liabilities	\$ -	\$ 4,541	\$ (4,541)
(f) Tax effect of unrealized (gains)/losses			-
(g) Prior period adjustment			-
(h) Change in net deferred income tax			\$ (4,541)

NOTES TO FINANCIAL STATEMENTS

D. The provision for federal income taxes incurred for the current year is different from that which would be obtained by applying the statutory federal income tax rate of 35% to income before income taxes. The significant items causing this difference are as follows:

	Description	Amount	Tax Effect @		Effective Tax Rate
			35%	35%	
(a)	Income before taxes	\$ (83,807)	\$ (29,332)	\$ (29,332)	35.0%
(b)	Pre-tax capital gains - pre IMR	24	8	8	0.0%
(c)	Dividends received deduction	-	-	-	0.0%
(d)	Non-deductible expenses	14,867	5,203	5,203	-6.2%
(e)	Change in tax contingency reserves	-	-	-	0.0%
(f)	IMR	-	-	-	0.0%
(g)	Change in nonadmitted assets	7,780	2,723	2,723	-3.3%
(h)	Prior year over/under accrual	-	-	-	0.0%
(i)	Tax effect of prior period adjustment	-	-	-	0.0%
(j)	Change in statutory valuation allowance	-	21,470	21,470	-25.6%
(k)	Miscellaneous	-	(1)	(1)	0.0%
(l)	Total statutory income taxes	\$ 71	\$ 71	\$ 71	-0.1%
(m)	Federal and foreign income taxes incurred	\$ (4,470)	\$ (4,470)	\$ (4,470)	5.3%
(n)	Change in net deferred income taxes	4,541	4,541	4,541	-5.4%
(o)	Total statutory income taxes	\$ 71	\$ 71	\$ 71	-0.1%

E. (1) At September 30, 2016, the Company has \$61,342 of NOL carryforwards, which will begin to expire, if not utilized, in 2036.

(2) At September 30, 2016, the Company has no capital loss carryforward.

(3) The following are income tax (benefits) incurred in the current year from the period prior to the acquisition. The tax (benefits) incurred from the period after the acquisition are deferred to the future years.

2016 \$(4,470)

F. (1) On March 1, 2016, after receiving all required regulatory approvals, Sun Life Assurance Company of Canada ("SLOC") acquired substantially all of the U.S. employee benefits business of Assurant, Inc., including all of the issued and outstanding stock of the Company. For the two month period ended February 29, 2016, the Company will file as part of a consolidated tax return with Assurant, Inc. For the ten month period ending December 31, 2016, the Company will file a stand-alone federal income tax return.

(2) Not applicable.

G. As of September 30, 2016, there are no positions for which management believes it to be reasonably possible that total amounts of tax contingencies will significantly increase or decrease within 12 months of the reporting date.

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

On March 1, 2016, after receiving all required regulatory approvals, Sun Life Assurance Company of Canada (“SLOC”) acquired substantially all of the U.S. employee benefits business of Assurant, Inc., including all of the issued and outstanding stock of the Company. The Company is a wholly owned direct subsidiary of SLOC and a wholly owned indirect subsidiary of Sun Life Financial Inc.

The Company entered into the following agreements with affiliates after acquisition:

An administrative services agreement between the Company and SLOC, under which SLOC provides general administrative support services to the Company on a cost reimbursement basis. (Reference: GSA-103)

An investment advisory agreement between the Company and Sun Life Institutional Investments (U.S.) LLC (formerly, Sun Capital Advisers LLC), under which Sun Life Institutional Investments (U.S.) LLC acts as investment manager for certain of the Company's portfolios. (Reference: ISA-42)

11. Debt

- A. The Company had no change in its debt obligations during the statement period.
- B. The Company had no borrowing agreements through the Federal Home Loan Bank.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. Defined Benefit Plan

Not applicable.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change.

NOTES TO FINANCIAL STATEMENTS

14. Liabilities, Contingencies and Assessments

No significant change.

15. Leases

No significant change.

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No significant change.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfer of Receivables Reported as Sales

Not applicable.

B. Transfer and Servicing of Financial Assets

Not applicable.

C. Wash Sales

The Company had no wash sales for the nine month period ending on September 30, 2016.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

20. Fair Value Measurements

A. Assets Measured at Fair Value

Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. In determining fair value, the Company uses various methods including market, income and cost approaches. The Company utilizes valuation techniques that maximize the use of observable inputs and minimize the use of unobservable inputs.

(1) The Company transfers assets into or out of levels. Transfers made are the result of changes in the level of observability of inputs used to price assets as well as changes in NAIC ratings. No transfers between levels 1 and 2 have occurred for the period ended September 30, 2016 at the fair value as of the beginning of the reporting period.

The Company did not hold assets measured at fair value as of September 30, 2016.

(2) There were no assets measured at fair value which are categorized as level 3 for the period ended September 30, 2016. See Note 20(A)(1) for a description of the Company's policy related to transfers between levels.

(3) The Company has not changed its policy on when transfers between levels are recognized. See Note 20(A)(1) for a description of the Company's policy related to transfers between levels.

(4) The Company has categorized the fair value of its financial instruments into a three-level hierarchy based on the priority of the inputs to the valuation technique. The fair value hierarchy gives the highest priority to quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). If the inputs used to measure fair value fall within different levels of the hierarchy, the category level is based on the lowest priority level input that is significant to the fair value measurement of the instrument.

Financial assets and liabilities recorded at fair value in the Company's balance sheet are categorized as follows:

Level 1 - Unadjusted quoted prices for identical assets or liabilities in an active market. The types of assets and liabilities utilizing Level 1 valuations include U.S. Treasury and agency securities and investments in publicly-traded mutual funds with quoted market prices.

Level 2 - Quoted prices in markets that are not active or significant inputs that are observable either directly or indirectly. Level 2 inputs include the following:

- Quoted prices for similar assets or liabilities in active markets,
- Quoted prices for identical or similar assets or liabilities in non-active markets,
- Inputs other than quoted market prices that are observable, and
- Inputs that are derived principally from or corroborated by observable market data through correlation or other means.

The types of assets and liabilities utilizing Level 2 valuations generally include U.S. Government securities not backed by the full faith and credit of the U.S. Government, municipal bonds, structured notes and certain asset-backed securities ("ABS") including collateralized debt obligations, residential mortgage-backed securities

NOTES TO FINANCIAL STATEMENTS

("RMBS"), commercial mortgage-backed securities ("CMBS"), certain corporate debt and certain private equity investments.

Level 3 - Prices or valuation techniques that require inputs that are both unobservable and significant to the overall fair value measurement. They reflect management's opinions regarding the assumptions a market participant would use in pricing the asset or liability. Generally, the types of assets and liabilities utilizing Level 3 valuations are certain ABS, RMBS, and CMBS, certain corporate debt, certain private equity investments and certain mutual fund holdings and certain derivatives.

There have been no significant changes made in valuation techniques for the statement period.

(5) The Company does not hold derivative instruments.

B. Presentation of Fair Value Information

The Company has combined fair value disclosure requirements from other accounting pronouncements within Note 20.

C. Aggregate Fair Value of all Financial Instruments

The following table summarizes the aggregate fair value of financial instruments and the level within the fair value hierarchy as of September 30, 2016:

Type of financial instrument	Aggregate Fair Value	Carrying Value	Level 1
Financial assets:			
Debt securities	\$ 200,387	\$ 199,922	\$ 200,387
Equity securities	-	-	-
Cash, cash equivalents and short-term investments	739,321	739,321	739,321
Total financial assets	<u>\$ 939,708</u>	<u>\$ 939,243</u>	<u>\$ 939,708</u>

The Company did not have any Level 2 or Level 3 financial instruments.

The methods and assumptions that the Company uses in determining the estimated fair value of its financial instruments are summarized below:

Debt securities: The Company determines the fair value of its publicly-traded fixed maturity securities using three primary pricing methods: third-party pricing services, non-binding broker quotes and pricing models. Prices are first sought from third-party pricing services with the remaining unpriced securities priced using one of the other two methods. Third-party pricing services derive the security prices through recently reported trades for identical or similar securities with adjustments for trading volumes and market observable information through the reporting date. In the event that there are no recent market trades, pricing services and brokers may use pricing models to develop a security price based on future expected cash flows discounted at an estimated market rate using collateral performance and vintages. The Company generally does not adjust quotes or prices obtained from brokers or pricing services.

Equity securities: The fair value of the Company's equity securities is first based on quoted market prices. Similar to fixed maturity securities, the Company uses pricing services and broker quotes to price the equity securities for which the quoted market price is not available.

Cash, cash equivalents and short-term investments: The carrying value for cash, cash equivalents and short-term investments approximates fair value due to the short-term nature and liquidity of the balances.

D. Not Practicable to Estimate Fair Value

The Company held no financial instruments where it was not practicable to estimate fair value.

21. Other Items

A. Extraordinary Items

Not applicable.

B. Troubled Debt Restructuring

Not applicable.

C. Other Disclosures and Unusual Items

Not applicable.

D. Business Interruption Insurance Recoveries

Not applicable.

E. State Transferable and Non-Transferable Tax Credits

Not applicable.

F. Sub-Prime Mortgage Related Risk Exposure

NOTES TO FINANCIAL STATEMENTS

Not applicable.

G. Retained Assets

Not applicable.

22. Events Subsequent

Subsequent events were evaluated through November 10, 2016. No subsequent events were noted.

23. Reinsurance

No significant change.

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

A.-D. Retrospectively Rated Contracts Including Medical Loss Ratio Rebates

Not applicable.

E. Risk Sharing Provisions of the Affordable Care Act

The Company has no risk sharing provisions for the Affordable Care Act.

25. Change in Incurred Claims and Claims Adjustment Expenses

Reserves as of December 31, 2015 were \$16,460. As of September 30, 2016, \$4,139 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$83 as a result of re-estimation of unpaid claims and claim adjustment expenses principally on the dental care line of insurance. Therefore, there has been a \$12,238 favorable prior-year development since December 31, 2015 to September 30, 2016. The decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. The Company did not experience any prior year loss development on retrospectively rated policies.

26. Intercompany Pooling Arrangements

No significant change.

27. Structured Settlements

Not applicable.

28. Health Care Receivables

No significant change.

29. Participating Policies

No significant change.

30. Premium Deficiency Reserves

No significant change.

31. Anticipated Salvage and Subrogation

No significant change.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

GENERAL INTERROGATORIES

**PART 1 - COMMON INTERROGATORIES
GENERAL**

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]

2.2 If yes, date of change:

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []

If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

.....

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]

4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
.....

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] NA []

If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2013

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2013

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 06/30/2015

6.4 By what department or departments?

Ohio Department of Insurance.....

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] NA [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [] No [] NA [X]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]

7.2 If yes, give full information:

.....

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

.....

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No []

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Bentall Kennedy (U.S.) Limited Partnership.....	Seattle, WA.....	YES.....
Edgemoor Partners LLC.....	San Francisco, CA.....	YES.....
Massachusetts Financial Services Company.....	Boston, MA.....	YES.....
MFS Fund Distributors, Inc.....	Boston, MA.....	YES.....
MFS Institutional Advisors, Inc.....	Boston, MA.....	YES.....
MFS Service Center, Inc.....	Quincy, MA.....	YES.....
Prime Advisors, Inc.....	Redmond, WA.....	YES.....
Ryan Labs Asset Management Inc.....	New York, NY.....	YES.....
Sun Life Institutional Investments (U.S.), LLC.....	Wellesley Hills, MA.....	YES.....
Sun Life Financial Distributors, Inc.....	Wellesley Hills, MA.....	YES.....

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.**GENERAL INTERROGATORIES**

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []

- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

.....

9.2 Has the code of ethics for senior managers been amended? Yes [X] No []

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

Effective March 1, 2016, the Company adopted the Sun Life Code of Business Conduct

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

.....

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No [X]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No [X]

11.2 If yes, give full and complete information relating thereto:

.....

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0

13. Amount of real estate and mortgages held in short-term investments: \$ 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [] No [X]

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0	\$ 0
14.22 Preferred Stock	\$ 0	\$ 0
14.23 Common Stock	\$ 0	\$ 0
14.24 Short-Term Investments	\$ 0	\$ 0
14.25 Mortgage Loans on Real Estate	\$ 0	\$ 0
14.26 All Other	\$ 0	\$ 0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$ 0	\$ 0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ 0	\$ 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No []

If no, attach a description with this statement.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$.....0
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$.....0
16.3 Total payable for securities lending reported on the liability page	\$.....0

17. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes No

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
State Street Bank and Trust Company.....	801 Pennsylvania Avenue, Kansas City, MO 64105.....

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes No

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....

17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
109684.....	Sun Life Institutional Investments (U.S.) LLC.....	One Sun Life Executive Park, Wellesley Hills, MA 02481.....

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes No

18.2 If no, list exceptions:

.....

GENERAL INTERROGATORIES
PART 2 - HEALTH

1. Operating Percentages:

1.1 A&H loss percent.....	79.0 %
1.2 A&H cost containment percent.....	0.0 %
1.3 A&H expense percent excluding cost containment expenses.....	37.1 %
2.1 Do you act as a custodian for health savings accounts?.....	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
2.2 If yes, please provide the amount of custodial funds held as of the reporting date.....	\$ 0
2.3 Do you act as an administrator for health savings accounts?.....	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
2.4 If yes, please provide the balance of the funds administered as of the reporting date.....	\$ 0

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

NONE

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

States, Etc.	1 Active Status	Direct Business Only							
		2 Accident & Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life & Annuity Premiums & Other Considerations	7 Property/ Casualty Premiums	8 Total Columns 2 Through 7	9 Deposit-Type Contracts
1. Alabama	AL	N.						0	
2. Alaska	AK	N.						0	
3. Arizona	AZ	N.						0	
4. Arkansas	AR	N.						0	
5. California	CA	N.						0	
6. Colorado	CO	N.						0	
7. Connecticut	CT	N.						0	
8. Delaware	DE	N.						0	
9. Dist. Columbia	DC	N.						0	
10. Florida	FL	N.						0	
11. Georgia	GA	N.						0	
12. Hawaii	HI	N.						0	
13. Idaho	ID	N.						0	
14. Illinois	IL	N.						0	
15. Indiana	IN	N.						0	
16. Iowa	IA	N.						0	
17. Kansas	KS	N.						0	
18. Kentucky	KY	L						0	
19. Louisiana	LA	N.						0	
20. Maine	ME	N.						0	
21. Maryland	MD	N.						0	
22. Massachusetts	MA	N.						0	
23. Michigan	MI	N.						0	
24. Minnesota	MN	N.						0	
25. Mississippi	MS	N.						0	
26. Missouri	MO	N.						0	
27. Montana	MT	N.						0	
28. Nebraska	NE	N.						0	
29. Nevada	NV	N.						0	
30. New Hampshire	NH	N.						0	
31. New Jersey	NJ	N.						0	
32. New Mexico	NM	N.						0	
33. New York	NY	N.						0	
34. North Carolina	NC	N.						0	
35. North Dakota	ND	N.						0	
36. Ohio	OH	L	531,366					531,366	
37. Oklahoma	OK	N.						0	
38. Oregon	OR	N.						0	
39. Pennsylvania	PA	N.						0	
40. Rhode Island	RI	N.						0	
41. South Carolina	SC	N.						0	
42. South Dakota	SD	N.						0	
43. Tennessee	TN	N.						0	
44. Texas	TX	N.						0	
45. Utah	UT	N.						0	
46. Vermont	VT	N.						0	
47. Virginia	VA	N.						0	
48. Washington	WA	N.						0	
49. West Virginia	WV	N.						0	
50. Wisconsin	WI	N.						0	
51. Wyoming	WY	N.						0	
52. American Samoa	AS	N.						0	
53. Guam	GU	N.						0	
54. Puerto Rico	PR	N.						0	
55. U.S. Virgin Islands	VI	N.						0	
56. Northern Mariana Islands	MP	N.						0	
57. Canada	CAN	N.						0	
58. Aggregate other alien	OT	XXX	0	0	0	0	0	0	0
59. Subtotal		XXX	531,366	0	0	0	0	531,366	0
60. Reporting entity contributions for Employee Benefit Plans		XXX						0	
61. Total (Direct Business)	(a)	2	531,366	0	0	0	0	531,366	0
DETAILS OF WRITE-INS									
58001		XXX							
58002		XXX							
58003		XXX							
58998	Summary of remaining write-ins for Line 58 from overflow page.	XXX	0	0	0	0	0	0	0
58999	Totals (Lines 58001 through 58003 plus 58998) (Line 58 above)	XXX	0	0	0	0	0	0	0

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and other Alien.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Sun Life Financial Inc. – List of Subsidiaries and Affiliated Entities

Schedule Y as of September 30, 2016

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
98-0226074	Sun Life Financial Inc. – United States Operations		
20-8998687	SL Finance 2007-1, Inc.	100%	Non-Insurer
98-0226074	Sun Life Financial Inc. – Foreign Operations		
	6324983 Canada Inc.	100%	Non-Insurer
	6828141 Canada Inc.	100%	Non-Insurer
	9389547 Canada Inc.	100%	Non-Insurer
	Sun Life 2007-1 Financing Corp.	100%	Non-Insurer
	Sun Life (Luxembourg) Finance No. 1 Sàrl	100%	Non-Insurer
	Sun Life Assurance Company of Canada (Barbados) Limited	100%	Insurer
	Sun Life Canadian Commercial Mortgage Fund	54.99%	Non-Insurer
	Sun Life Financial (Bermuda) Reinsurance Ltd.	100%	Insurer
	Sun Life of Canada International Assurance Limited	100%	Insurer
	Sun Life Private Fixed Income Plus Fund	99.99%	Non-Insurer
	Sun Life Global Investments Inc. – United States Operations	100%	Non-Insurer
04-3401283	Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc.	100%	Non-Insurer
	SL Investment 2007-1 ULC	100%	Non-Insurer
04-3526207	Sun Life Financial (Japan), Inc.	100%	Non-Insurer
04-3579262	Sun Life Financial (U.S.) Holdings, Inc.	100%	Non-Insurer
04-3574531	Sun Life Financial (U.S.) Finance, Inc.	100%	Non-Insurer
	Sun Life Financial (U.S.) Investments LLC	100%	Non-Insurer
68-0635051	BK U.S. Holdings, Inc.	100%	Non-Insurer
20-5270592	Bentall Kennedy (U.S.) G.P. LLC	100%	Non-Insurer
20-5300937	Bentall Kennedy (U.S.) Limited Partnership	0.01%	Non-Insurer
20-5300937	Bentall Kennedy (U.S.) Limited Partnership	99.99%	Non-Insurer
32-0466479	Bentall Kennedy Holdings LLC	100%	Non-Insurer
20-1641876	Rushmore Partners LLC	100%	Non-Insurer
30-0872552	NewTower Trust Company	100%	Non-Insurer

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	NewTower Management GP LLC	100%	Non-Insurer
	NewTower Management LLC	100%	Non-Insurer
94-3402400	Bentall Kennedy Real Estate Services (U.S.) Inc.	100%	Non-Insurer
81-3502546	Bentall Kennedy Sustainable Value Fund GP, L.L.C.	100%	Non-Insurer
81-3468348	Bentall Kennedy Sustainable Value Fund, L.P.	0.01%	Non-Insurer
	SVF Latham Square, LLC	100%	Non-Insurer
81-3468348	Bentall Kennedy Sustainable Value Fund, L.P.	99.99%	Non-Insurer
90-0925083	BKUS Institutional Logistics Coinvestment LLC	100%	Non-Insurer
27-0410086	Kennedy Management Investors LLC - Series VI	29.171%	Non-Insurer
27-0410216	Kennedy Management Investors LLC - Series VII	52.192%	Non-Insurer
27-0411285	Kennedy Management Investors LLC - Series IX	67.75%	Non-Insurer
	Kennedy Management Investors LLC - Series XI	100%	Non-Insurer
61-1723752	Edgemoor Partners LLC	100%	Non-Insurer
91-1435190	Prime Advisors, Inc.	100%	Non-Insurer
47-3503928	Ryan Labs Asset Management Inc.	100%	Non-Insurer
	Ryan Labs Fund Management, LLC	100%	Non-Insurer
	Ryan Labs TIPS Partners, L.P.	100%	Non-Insurer
04-3401285	Sun Life of Canada (U.S.) Financial Services Holdings, Inc.	99.893%	Non-Insurer
04-2747644	Massachusetts Financial Services Company	93.706%	Non-Insurer
	MFS Bermuda Holdings Ltd.	100%	Non-Insurer
	MFS Development Funds, LLC	100%	Non-Insurer
04-2747644	MFS Exchange LLC	100%	Non-Insurer
04-3169826	MFS Fund Distributors, Inc.	100%	Non-Insurer
02-0507414	MFS Heritage Trust Company	100%	Non-Insurer
04-3247425	MFS Institutional Advisors, Inc.	100%	Non-Insurer
	3060097 Nova Scotia Company	100%	Non-Insurer
	MFS Investment Management Canada Limited	100%	Non-Insurer
	MFS International Ltd.	100%	Non-Insurer
	MFS do Brasil Desenvolvimento de Mercado Ltda.	100%	Non-Insurer
	MFS International (Chile) SpA	100%	Non-Insurer
	MFS International (Hong Kong) Limited	100%	Non-Insurer
	MFS International Holdings Pty Ltd	100%	Non-Insurer
	MFS International (U.K.) Limited	100%	Non-Insurer
	MFS International Switzerland GmbH	100%	Non-Insurer

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	MFS International Australia Pty Ltd	100%	Non-Insurer
	MFS International Singapore Pte. Ltd.	100%	Non-Insurer
	MFS Investment Management Company (LUX) S.à.r.l.	100%	Non-Insurer
	MFS Investment Management K.K.	100%	Non-Insurer
04-2864897	MFS Service Center, Inc.	100%	Non-Insurer
04-3365782	Sun Life of Canada (U.S.) Holdings, Inc.	100%	Non-Insurer
01-0483086	Disability Reinsurance Management Services, Inc.	100%	Non-Insurer
61-0403075	Independence Life and Annuity Company	100%	Insurer
64602 DE			
46-1499557	Sun Life Financial (U.S.) Reinsurance Company II	100%	Insurer
15325 DE			
59-0411385	Professional Insurance Company	100%	Insurer
68047 TX			
04-3292937	Sun Canada Financial Co.	100%	Non-Insurer
06-1435452	Sun Life Administrators (U.S.), Inc.	100%	Non-Insurer
	Sun Life Financial (U.S.) Delaware Finance, LLC	100%	Non-Insurer
26-1292779	Sun Life Financial (U.S.) Reinsurance Company	100%	Insurer
13051 VT			
26-3730703	Sun Life Financial (U.S.) Services Company, Inc.	100%	Non-Insurer
04-2470476	Sun Life Financial Distributors, Inc.	100%	Non-Insurer
04-3132283	Sun Life Institutional Investments (U.S.) LLC	100%	Non-Insurer
	Sun Life Global Investments Inc. – Foreign Operations	100%	Non-Insurer
	BK Canada Holdings Inc.	100%	Non-Insurer
	Bentall Kennedy (Canada) G.P. Ltd.	100%	Non-Insurer
	Bentall Kennedy (Canada) Limited Partnership	0.01%	Non-Insurer
	Bentall Kennedy (Canada) Limited Partnership	99.99%	Non-Insurer
	0936543 BC Ltd.	100%	Non-Insurer
	550 Burrard Street Ltd.	100%	Non-Insurer
	10 Dundas Street Ltd.	100%	Non-Insurer
	1075 West Georgia Limited	100%	Non-Insurer
	1090 Pender Properties Ltd.	100%	Non-Insurer
	121 King Street West Ltd.	100%	Non-Insurer
	1600 Rene-Levesque Ltd.	100%	Non-Insurer
	1687 Cliveden Avenue Holdings Ltd.	100%	Non-Insurer
	2113686 Manitoba Ltd.	100%	Non-Insurer

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	2659 Douglas Street Holdings Ltd.	100%	Non-Insurer
	715-725 Eaton Way Holdings Ltd.	100%	Non-Insurer
	787 Cliveden Place Holdings Ltd.	100%	Non-Insurer
	8400 River Road Holdings Ltd.	100%	Non-Insurer
	Bentall Kennedy Holdings (Canada) Ltd.	100%	Non-Insurer
	Bentall Kennedy Holdings (U.S.) Inc.	100%	Non-Insurer
	Bentall Kennedy Luxembourg Holdings Ltd.	100%	Non-Insurer
	Bentall Kennedy (Luxembourg) G.P. Sarl	100%	Non-Insurer
	Bentall Kennedy Prime Canadian Property Fund GP Inc.	100%	Non-Insurer
	Bentall Property Fund VI General Partner Inc.	100%	Non-Insurer
	Bentall Property Fund VI Holdings Inc.	100%	Non-Insurer
	Bentall Property Services (Ontario) Ltd.	100%	Non-Insurer
	BK Prime False Creek Residences Holdings Ltd.	100%	Non-Insurer
	BK Prime GP Holdco Inc.	100%	Non-Insurer
15.3	10 Ronrose Drive GP Inc.	100%	Non-Insurer
	121 King Street West I GP Inc.	100%	Non-Insurer
	121 King Street West II GP Inc.	100%	Non-Insurer
	121 King Street West III GP Inc.	100%	Non-Insurer
	12211 Horseshoe Way GP Inc.	100%	Non-Insurer
	13th Avenue SE GP Inc.	100%	Non-Insurer
	13th Avenue SW GP Inc.	100%	Non-Insurer
	1600 Rene-Levesque GP Inc.	100%	Non-Insurer
	1642 Merivale Road GP Inc.	100%	Non-Insurer
	18 Parkshore Drive GP Inc.	100%	Non-Insurer
	1855 and 1875 Buckhorn Gate GP Inc.	100%	Non-Insurer
	2-8 St. Thomas Street GP Inc.	100%	Non-Insurer
	290-300 King George Road GP Inc.	100%	Non-Insurer
	5100 Spectrum Way GP Inc.	100%	Non-Insurer
	52nd Street Business Centre GP Inc.	100%	Non-Insurer
	550 Burrard I GP Inc.	100%	Non-Insurer
	550 Burrard II GP Inc.	100%	Non-Insurer
	5825 Explorer Drive GP Inc.	100%	Non-Insurer
	5875 Explorer Drive GP Inc.	100%	Non-Insurer
	77 Metcalfe Street II GP Inc.	100%	Non-Insurer

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	Airport 407 Business Campus GP Inc.	100%	Non-Insurer
	BK Prime Alberta I GP Inc.	100%	Non-Insurer
	BK Prime British Columbia I GP Inc.	100%	Non-Insurer
	BK Prime False Creek Residences GP Inc.	100%	Non-Insurer
	BK Prime Foothills North GP Inc.	100%	Non-Insurer
	BK Prime Kelowna Property Holdings GP Inc.	100%	Non-Insurer
	BK Prime Ontario I GP Inc.	100%	Non-Insurer
	BK Prime Quebec I GP Inc.	100%	Non-Insurer
	Capilano Business Park II GP Inc.	100%	Non-Insurer
	CareLife Fleetwood GP Inc.	100%	Non-Insurer
	CareLife Maple Ridge GP Inc.	100%	Non-Insurer
	Champlain Square GP Inc.	100%	Non-Insurer
	Churchill 403 Business Campus GP Inc.	100%	Non-Insurer
	Dalhousie Station GP Inc.	100%	Non-Insurer
	Eastgate Square GP Inc.	100%	Non-Insurer
	Gateway Business Campus GP Inc.	100%	Non-Insurer
	H Business Park (A&B) GP Inc.	100%	Non-Insurer
	H Business Park (G&H) GP Inc.	100%	Non-Insurer
	Hazeldean Mall GP Inc.	100%	Non-Insurer
	Hillside Centre I GP Inc.	100%	Non-Insurer
	Hillside Centre II GP Inc.	100%	Non-Insurer
	Lakeshore Streetfront Retail Properties GP Inc.	100%	Non-Insurer
	Lakeshore Streetfront Retail Properties II GP Inc.	100%	Non-Insurer
	Milton Mall GP Inc.	100%	Non-Insurer
	Milton Mall II GP Inc.	100%	Non-Insurer
	Mission Hill GP Inc.	100%	Non-Insurer
	PCDL (St-Laurent Portfolio) GP Inc.	100%	Non-Insurer
	Pearson Corporate Centre GP Inc.	100%	Non-Insurer
	Peterborough Retail Portfolio GP Inc.	100%	Non-Insurer
	PG Village Shopping Centre GP Inc.	100%	Non-Insurer
	Precidio Court and Corporation Drive GP Inc.	100%	Non-Insurer
	St. Edwards Crossing GP Inc.	100%	Non-Insurer
	Viceroy Road Portfolio GP Inc.	100%	Non-Insurer
	West Pender I GP Inc.	100%	Non-Insurer

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	West Pender II GP Inc.	100%	Non-Insurer
	West Pender III GP Inc.	100%	Non-Insurer
	Westpen 10 Dundas GP Inc.	100%	Non-Insurer
	Westpen 10201 Jasper Avenue GP Inc.	100%	Non-Insurer
	Westpen 10303 Jasper Avenue GP Inc.	100%	Non-Insurer
	Westpen North Hill GP Inc.	100%	Non-Insurer
	White Oaks Mall I GP Inc.	100%	Non-Insurer
	White Oaks Mall II GP Inc.	100%	Non-Insurer
	WO Mall GP Inc.	100%	Non-Insurer
	Yellowhead Crossing I GP Inc.	100%	Non-Insurer
	Yellowhead Crossing II GP Inc.	100%	Non-Insurer
	Yellowhead Crossing III GP Inc.	100%	Non-Insurer
	BKC Capital Inc.	100%	Non-Insurer
	BKCB Services Ltd.	100%	Non-Insurer
	BKCG Services Ltd.	100%	Non-Insurer
	BKCP Residential G.P. LTD.	100%	Non-Insurer
	BKCP Residential Limited Partnership	0.01%	Non-Insurer
	BKCP Residential Limited Partnership	99.99%	Non-Insurer
	BKCP Retail G.P. LTD.	100%	Non-Insurer
	BKCP Retail Limited Partnership	0.01%	Non-Insurer
	BKCP Retail Limited Partnership	99.99%	Non-Insurer
	BKCP Services G.P. Ltd.	100%	Non-Insurer
	BKCP Services Limited Partnership	0.01%	Non-Insurer
	BKCP Services Limited Partnership	99.99%	Non-Insurer
	BKCP SS G.P. LTD.	100%	Non-Insurer
	BKCP SS Limited Partnership	0.01%	Non-Insurer
	BKCP SS Limited Partnership	99.99%	Non-Insurer
	BKHY Fund I GP Inc.	100%	Non-Insurer
	BKHY Fund I GP Limited Partnership	0.01%	Non-Insurer
	BKHY Fund I GP Limited Partnership	99.99%	Non-Insurer
	Bentall Kennedy High Yield Canadian Property Fund I Limited Partnership	100%	Non-Insurer
	Broadway-HEB Property Inc.	100%	Non-Insurer
	Capilano Business Park Holdings Ltd.	100%	Non-Insurer
	Cloverdale Mall Inc.	100%	Non-Insurer

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	Doyle Avenue Holdings Ltd.	100%	Non-Insurer
	Evergreen Building Ltd.	100%	Non-Insurer
	Hillside Centre Holdings Inc.	100%	Non-Insurer
	Metropolitan Towers Development Ltd.	100%	Non-Insurer
	P&T Shopping Centre Holdings Ltd.	100%	Non-Insurer
	Parkgate Village Holdings Ltd.	100%	Non-Insurer
	Penreal Property Fund V Holdings Inc.	100%	Non-Insurer
	Penreal Property Fund V General Partner Inc.	100%	Non-Insurer
	PenRetail Management Limited Partnership	99.99%	Non-Insurer
	PenRetail Management Ltd.	100%	Non-Insurer
	PenRetail Management Limited Partnership	0.01%	Non-Insurer
	SynchroSERV Inc.	100%	Non-Insurer
	SynchroSERV Limited Partnership	0.01%	Non-Insurer
	SynchroSERV Limited Partnership	99.99%	Non-Insurer
	Ten 201 Jasper Avenue Ltd.	100%	Non-Insurer
	Ten 303 Jasper Avenue Ltd.	100%	Non-Insurer
	White Oaks Mall Holdings Ltd.	100%	Non-Insurer
	Sun Life Global Investments (Canada) Inc.	100%	Non-Insurer
	Sun Life Institutional Investments (Canada) Inc.	100%	Non-Insurer
	Sun Life Canadian Commercial Mortgage GP Inc.	100%	Non-Insurer
	Sun Life Canadian Commercial Mortgage Fund	0.01%	Non-Insurer
	Sun Life Canadian RE GP Inc.	100%	Non-Insurer
	Sun Life Canadian Real Estate Fund	0.01%	Non-Insurer
	Sun Life Long Term PFIP GP Inc.	100%	Non-Insurer
	Sun Life Long Term Private Fixed Income Plus Fund	0.01%	Non-Insurer
	Sun Life Long Term Private Fixed Income Plus Fund	99.99%	Non-Insurer
	Sun Life PFIP GP Inc.	100%	Non-Insurer
	Sun Life Private Fixed Income Plus Fund	0.01%	Non-Insurer
	Sun Life Short Term PFIP GP Inc.	100%	Non-Insurer
	Sun Life Short Term Private Fixed Income Plus Fund	0.01%	Non-Insurer
	Sun Life Short Term Private Fixed Income Plus Fund	99.99%	Non-Insurer
38-1082080 80802 (CN)	Sun Life Assurance Company of Canada (including the United States Branch) – United States Operations	100%	Insurer
13-3830846	Dental Health Alliance, LLC	100%	Non-Insurer

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
59-3063687	Denticare of Alabama, Inc.	100%	Insurer
26-4183712	SL Investment US-RE Holdings 2009-1, Inc.	100%	Non-Insurer
	Sun 521 Morehead, LLC	100%	Non-Insurer
	Morehead Parking Facility, LLC	50%	Non-Insurer
06-0893662 80926 CT	Sun Life and Health Insurance Company (U.S.)	100%	Insurer
	Sun Life Financial International Holdings (MC), LLC	100%	Non-Insurer
	Sun MetroNorth, LLC	100%	Non-Insurer
45-2424030	Solidify Software, LLC	100%	Non-Insurer
33-0360239	UDC Dental California, Inc.	100%	Insurer
74-2609036 52022 OH	UDC Ohio, Inc.	100%	Insurer
58-1099945	Union Security DentalCare of Georgia, Inc.	100%	Insurer
52-1565653 11244 NJ	Union Security DentalCare of New Jersey, Inc.	100%	Insurer
86-0517444 47708 AZ	United Dental Care of Arizona, Inc.	100%	Insurer
86-0631335 52032 CO	United Dental Care of Colorado, Inc.	100%	Insurer
38-2833988 11111 MI	United Dental Care of Michigan, Inc.	100%	Insurer
75-2481527 47044 MO	United Dental Care of Missouri, Inc.	100%	Insurer
86-0384270 47042 NM	United Dental Care of New Mexico, Inc.	100%	Insurer
75-2076282 95142 TX	United Dental Care of Texas, Inc.	100%	Insurer
75-2635404 95450 UT	United Dental Care of Utah, Inc.	100%	Insurer
38-1082080 80802 (CN)	Sun Life Assurance Company of Canada – Foreign Operations	100%	Insurer
	6965083 Canada Inc.	100%	Non-Insurer
	7037457 Canada Inc.	100%	Non-Insurer
	7647913 Canada Inc.	100%	Non-Insurer
	7647930 Canada Inc.	100%	Non-Insurer
	Annemasse Boisbriand Holdings L.P.	1%	Non-Insurer
	8744831 Canada Inc.	100%	Non-Insurer
	SLCRE Top GP Inc.	100%	Non-Insurer

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	SLCRE Top LP	0.01%	Non-Insurer
	SLCRE Top LP	99.99%	Non-Insurer
	Sun Life Canadian Real Estate Fund	53.8%	Non-Insurer
	8750840 Canada Inc.	100%	Non-Insurer
	Sun Life Canadian Real Estate Fund	46.19%	Non-Insurer
	Annemasse Boisbriand Holdings L.P.	99%	Non-Insurer
	Faubourg Boisbriand Shopping Centre G.P. Inc.	50%	Non-Insurer
	Faubourg Boisbriand Shopping Centre L.P.	49.999%	Non-Insurer
	Faubourg Boisbriand Shopping Centre Holdings Inc.	100%	Non-Insurer
	BestServe Financial Limited	100%	Non-Insurer
	Canadian Environmental Energy Corporation	32.22%	Non-Insurer
	Chapais Electrique Limitée	100%	Non-Insurer
	Country Lane Enterprises Ltd.	100%	Non-Insurer
	Mississauga Executive Enterprises Limited	50%	Non-Insurer
	PT. CIMB Sun Life	47.24%	Insurer
	PT. Sun Life Financial Indonesia	97.87%	Insurer
	PT. Sun Life Indonesia Services	100%	Non-Insurer
	PT. CIMB Sun Life	52.76%	Insurer
	PT. Sun Life Financial Indonesia	2.13%	Insurer
	PVI Sun Life Insurance Company Limited	75%	Insurer
	PVS Preferred Vision Services Inc.	40%	Non-Insurer
	SECLON Inc.	30%	Non-Insurer
	seclonLogic Inc.	30%	Non-Insurer
	SLF of Canada UK Limited	100%	Non-Insurer
	Sun Life Assurance Company of Canada (U.K.) Limited	100%	Insurer
	Barnwood Properties Limited	100%	Non-Insurer
	Sun Life of Canada UK Holdings Limited	100%	Non-Insurer
	Laurtrust Limited	100%	Non-Insurer
	SLFC Assurance (UK) Limited	100%	Non-Insurer
	SLFC Services Company (UK) Limited	100%	Non-Insurer
	Sun Life (India) AMC Investments Inc.	100%	Non-Insurer
	Birla Sun Life Asset Management Company Limited	49%	Non-Insurer
	Aditya Birla Sun Life Asset Management Company Ltd.	100%	Non-Insurer
	Aditya Birla Sun Life Asset Management Company Pte. Ltd.	100%	Non-Insurer

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	Birla Sun Life AMC (Mauritius) Limited	100%	Non-Insurer
	India Advantage Fund Limited	100%	Non-Insurer
	Birla Sun Life Trustee Company Private Limited	49%	Non-Insurer
	Sun Life (India) Distribution Investments Inc.	100%	Non-Insurer
	Sun Life (Luxembourg) Finance No. 2 Sàrl	100%	Non-Insurer
	Sun Life Canadian Commercial Mortgage Fund	45%	Non-Insurer
	Sun Life Capital Trust	100%	Non-Insurer
	Sun Life Capital Trust II	100%	Non-Insurer
	Sun Life Everbright Life Insurance Company Limited	24.99%	Insurer
	Sun Life Everbright Asset Management Co., Ltd.	99%	Non-Insurer
	Sun Life Financial (India) Insurance Investments Inc.	100%	Non-Insurer
	Birla Sun Life Insurance Company Limited	49%	Insurer
	Birla Sun Life Pension Management Limited	100%	Non-Insurer
	Sun Life Financial Advisory Inc.	100%	Non-Insurer
	Sun Life Financial Asia Services Limited	100%	Non-Insurer
	Sun Life Financial Distributors (Bermuda) Ltd.	100%	Non-Insurer
	Sun Life Financial Distributors (Canada) Inc.	100%	Non-Insurer
	Sun Life Financial Distributors (Singapore) Pte. Ltd.	100%	Non-Insurer
	Sun Life Financial Investment Services (Canada) Inc.	100%	Non-Insurer
	Sun Life Financial Investments (Bermuda) Ltd.	100%	Non-Insurer
	Sun Life Financial of Canada (U.K.) Overseas Investments Limited	100%	Non-Insurer
	Sun Life of Canada (Netherlands) B.V.	50%	Non-Insurer
	Sun Life Financial Philippine Holding Company, Inc.	100%	Non-Insurer
	Sun Life Gropa Financial, Inc.	49%	Insurer
	Great Life Financial Assurance Corporation	100%	Insurer
	Gropa Realty Holdings Corporation	51%	Non-Insurer
	Grepalife Asset Management Corporation	100%	Non-Insurer
	Grepalife Balanced Fund Corporation	58.11%	Non-Insurer
	Sun Life of Canada (Philippines), Inc.	100%	Insurer
	Sun Life Asset Management Company, Inc.	100%	Non-Insurer
	Sun Life Prosperity Dollar Wellspring Fund, Inc.	37.56%	Non-Insurer
	Sun Life Prosperity Money Market Fund, Inc.	34.25%	Non-Insurer
	Sun Life Prosperity Philippine Stock Index Fund, Inc.	25.01%	Non-Insurer
	Sun Life Prosperity World Voyager Fund, Inc.	76.09%	Non-Insurer

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	Sun Life Financial Plans, Inc.	100%	Non-Insurer
	Sun Life Prosperity Dynamic Fund, Inc.	17.71%	Non-Insurer
	Sun Life Financial Trust Inc.	100%	Non-Insurer
	Sun Life Hong Kong Limited	100%	Insurer
	Sun Life Asset Management (HK) Limited	100%	Non-Insurer
	Sun Life Financial (Hong Kong) Limited	100%	Insurer
	Sun Life Hong Kong Services Limited	100%	Non-Insurer
	Sun Life Trustee Company Limited	100%	Non-Insurer
	Sun Life India Service Centre Private Limited	100%	Non-Insurer
	Sun Life Information Services Canada, Inc.	100%	Non-Insurer
	Sun Life Information Services Ireland Limited	100%	Non-Insurer
	Sun Life Insurance (Canada) Limited	100%	Insurer
	SLI General Partner Limited	100%	Non-Insurer
	SLI Investments LP	0.1%	Non-Insurer
	SLI Investments LP	99.9%	Non-Insurer
	6425411 Canada Inc.	100%	Non-Insurer
	Sun Life Investments LLC	100%	Non-Insurer
	Sun Life Malaysia Assurance Berhad	49%	Insurer
	Sun Life Malaysia Takaful Berhad	49%	Insurer
	Sun Life of Canada (Netherlands) B.V.	50%	Non-Insurer

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Name of Parent Subsidiaries or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/ Person(s)	15 *
00549.....	Sun Life Financial Group.....	00000.....					550 Burrard Street Ltd..... MFS Investment Management Canada Limited.....	CAN.....	NIA.....	0936543 BC Ltd.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....						CAN.....	NIA.....	3060097 Nova Scotia Company.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Investments LLC.....	DE.....	NIA.....	6425411 Canada Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					7037457 Canada Inc..... Annemasse Boisbriand Holdings L.P.....	CAN.....	NIA.....	6965083 Canada Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....						CAN.....	NIA.....	7647930 Canada Inc.....	Ownership.....	1.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					SLCRE Top GP Inc.....	CAN.....	NIA.....	8744831 Canada Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					SLCRE Top LP..... Sun Life Canadian Real Estate Fund.....	CAN.....	NIA.....	8744831 Canada Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Faubourg Boisbriand Shopping Centre G.P. Inc.....	CAN.....	NIA.....	8744831 Canada Inc.....	Ownership.....	46.2	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Faubourg Boisbriand Shopping Centre L.P.....	CAN.....	NIA.....	Annemasse Boisbriand Holdings L.P.....	Ownership.....	50.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Bentall Kennedy (Canada) Limited Partnership.....	CAN.....	NIA.....	Bentall Kennedy (Canada) G.P. Ltd.....	Ownership.....	0.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....						CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					10 Dundas Street Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					1075 West Georgia Limited.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					1090 Pender Properties Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					121 King Street West Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					1600 Rene-Levesque Ltd..... 1687 Cliveden Avenue Holdings Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					2113686 Manitoba Ltd..... 2659 Douglas Street Holdings Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					715-725 Eaton Way Holdings Ltd..... 787 Cliveden Place Holdings Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					8400 River Road Holdings Ltd..... Bentall Kennedy Holdings (Canada) Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....						CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Name of Parent Subsidiaries or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/ Person(s)	15 *
00549.....	Sun Life Financial Group.....	00000.....					Bentall Kennedy Luxembourg Holdings Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Bentall Kennedy Prime Canadian Property Fund GP Inc.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Bentall Property Fund VI General Partner Inc.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Bentall Property Fund VI Holdings Inc.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Bentall Property Services (Ontario) Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					BK Prime False Creek Residences Holdings Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					BK Prime GP Holdco Inc.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					BKC Capital Inc.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					BKCB Services Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					BKCG Services Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					BKCP Residential G.P. LTD.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					BKCP Residential Limited Partnership.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					BKCP Retail G.P. LTD.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					BKCP Retail Limited Partnership.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					BKCP Services G.P. Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					BKCP Services Limited Partnership.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					BKCP SS G.P. LTD.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					BKCP SS Limited Partnership.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					BKHY Fund I GP Inc.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					BKHY Fund I GP Limited Partnership.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Broadway-HEB Property Inc.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Capilano Business Park Holdings Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Cloverdale Mall Inc.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Doyle Avenue Holdings Ltd.....	CAN.....	NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Name of Parent Subsidiaries or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/ Person(s)	15 *
00549.....	Sun Life Financial Group.....	00000.....					Evergreen Building Ltd.....	.CAN.....	.NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Hillside Centre Holdings Inc.....	.CAN.....	.NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Metropolitan Towers Development Ltd.....	.CAN.....	.NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					P&T Shopping Centre Holdings Ltd.....	.CAN.....	.NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Parkgate Village Holdings Ltd.....	.CAN.....	.NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Penreal Property Fund V General Partner Inc.....	.CAN.....	.NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Penreal Property Fund V Holdings Inc.....	.CAN.....	.NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					PenRetail Management Limited Partnership.....	.CAN.....	.NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					PenRetail Management Ltd.....	.CAN.....	.NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					SynchroSERV Inc.....	.CAN.....	.NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					SynchroSERV Limited Partnership.....	.CAN.....	.NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Ten 201 Jasper Avenue Ltd.....	.CAN.....	.NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Ten 303 Jasper Avenue Ltd.....	.CAN.....	.NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					White Oaks Mall Holdings Ltd.....	.CAN.....	.NIA.....	Bentall Kennedy (Canada) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	20-5300937.....				Bentall Kennedy (U.S.) Limited Partnership.....	WA.....	.NIA.....	Bentall Kennedy (U.S.) G.P. LLC.....	Ownership.....	0.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	32-0466479.....				Bentall Kennedy Holdings LLC.....	DE.....	.NIA.....	Bentall Kennedy (U.S.) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	94-3402400.....				Bentall Kennedy Real Estate Services (U.S.) Inc.....	CA.....	.NIA.....	Bentall Kennedy (U.S.) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	81-3502546.....				Bentall Kennedy Sustainable Value Fund GP, L.L.C.....	DE.....	.NIA.....	Bentall Kennedy (U.S.) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	81-3468348.....				Bentall Kennedy Sustainable Value Fund, L.P.....	DE.....	.NIA.....	Bentall Kennedy (U.S.) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	90-0925083.....				BKUS Institutional Logistics Co-investment LLC.....	CA.....	.NIA.....	Bentall Kennedy (U.S.) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	27-0411285.....				Kennedy Management Investors LLC - Series IX.....	DE.....	.NIA.....	Bentall Kennedy (U.S.) Limited Partnership.....	Ownership.....	67.8	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	27-0410086.....				Kennedy Management Investors LLC - Series VI.....	DE.....	.NIA.....	Bentall Kennedy (U.S.) Limited Partnership.....	Ownership.....	29.2	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	27-0410216.....				Kennedy Management Investors LLC - Series VII.....	DE.....	.NIA.....	Bentall Kennedy (U.S.) Limited Partnership.....	Ownership.....	52.2	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Kennedy Management Investors LLC - Series XI.....	DE.....	.NIA.....	Bentall Kennedy (U.S.) Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Name of Parent Subsidiaries or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/ Person(s)	15 *
00549.....	Sun Life Financial Group.....	00000.....				Bentall Kennedy Holdings (U.S.) Inc.....		CA.....	NIA.....	Bentall Kennedy Holdings (Canada) Ltd.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	20-1641876.....			Rushmore Partners LLC.....		DE.....	NIA.....	Bentall Kennedy Holdings LLC.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Bentall Kennedy (Luxembourg) G.P. Sarl.....		LUX.....	NIA.....	Bentall Kennedy Luxembourg Holdings Ltd.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	81-3468348.....			Bentall Kennedy Sustainable Value Fund, L.P.....		DE.....	NIA.....	Bentall Kennedy Sustainable Value Fund GP, L.L.C.....	Ownership.....	0.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				SVF Latham Square, LLC.....		DE.....	NIA.....	Bentall Kennedy Sustainable Value Fund GP, L.L.C.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Aditya Birla Sun Life Asset Management Company Ltd.....		ARE.....	NIA.....	Birla Sun Life Asset Management Company Limited.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Aditya Birla Sun Life Asset Management Company Pte. Ltd.....		SGP.....	NIA.....	Birla Sun Life Asset Management Company Limited.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Birla Sun Life AMC (Mauritius) Limited.....		MUS.....	NIA.....	Birla Sun Life Asset Management Company Limited.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				India Advantage Fund Limited.....		MUS.....	NIA.....	Birla Sun Life Asset Management Company Limited.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Birla Sun Life Pension Management Limited.....		IND.....	NIA.....	Birla Sun Life Insurance Company Limited.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Bentall Kennedy (Canada) G.P. Ltd.....		CAN.....	NIA.....	BK Canada Holdings Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Bentall Kennedy (Canada) Limited Partnership.....		CAN.....	NIA.....	BK Canada Holdings Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				10 Ronrose Drive GP Inc.....		CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				121 King Street West I GP Inc.....		CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				121 King Street West II GP Inc.....		CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				121 King Street West III GP Inc.....		CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				12211 Horseshoe Way GP Inc.....		CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				13th Avenue SE GP Inc.....		CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				13th Avenue SW GP Inc.....		CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				1600 Rene-Levesque GP Inc.....		CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				1642 Merivale Road GP Inc.....		CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				18 Parkshore Drive GP Inc.....		CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				1855 and 1875 Buckhorn Gate GP Inc.....		CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				2-8 St. Thomas Street GP Inc.....		CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Name of Parent Subsidiaries or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/ Person(s)	15 *
00549.....	Sun Life Financial Group.....	00000.....				290-300 King George Road GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				5100 Spectrum Way GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				52nd Street Business Centre GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				550 Burrard I GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				550 Burrard II GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				5825 Explorer Drive GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				5875 Explorer Drive GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				77 Metcalfe Street II GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Airport 407 Business Campus GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				BK Prime Alberta I GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				BK Prime British Columbia I GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				BK Prime False Creek Residences GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				BK Prime Foothills North GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				BK Prime Kelowna Property Holdings GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				BK Prime Ontario I GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				BK Prime Quebec I GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Capilano Business Park II GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				CareLife Fleetwood GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				CareLife Maple Ridge GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Champlain Square GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Churchill 403 Business Campus GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Dalhousie Station GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Eastgate Square GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Gateway Business Campus GP Inc.....		.CAN.....	.NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Name of Parent/Subsidiaries or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/ Person(s)	15 *
00549.....	Sun Life Financial Group.....	00000.....					H Business Park (A&B) GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					H Business Park (G&H) GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Hazeldean Mall GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Hillside Centre I GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Hillside Centre II GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Lakeshore Streetfront Retail Properties GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Lakeshore Streetfront Retail Properties II GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Milton Mall GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Milton Mall II GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Mission Hill GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					PCDL (St-Laurent Portfolio) GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Pearson Corporate Centre GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Peterborough Retail Portfolio GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					PG Village Shopping Centre GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Precidio Court and Corporation Drive GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					St. Edwards Crossing GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Viceroy Road Portfolio GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					West Pender I GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					West Pender II GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					West Pender III GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Westpen 10 Dundas GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Westpen 10201 Jasper Avenue GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Westpen 10303 Jasper Avenue GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Westpen North Hill GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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00549.....	Sun Life Financial Group.....	00000.....					White Oaks Mall I GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					White Oaks Mall II GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					WO Mall GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Yellowhead Crossing I GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Yellowhead Crossing II GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Yellowhead Crossing III GP Inc.....	CAN.....	NIA.....	BK Prime GP Holdco Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	20-5270592.....				Bentall Kennedy (U.S.) G.P. LLC.....	WA.....	NIA.....	BK U.S. Holdings, Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	20-5300937.....				Bentall Kennedy (U.S.) Limited Partnership.....	WA.....	NIA.....	BK U.S. Holdings, Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					BKCP Residential Limited Partnership.....	CAN.....	NIA.....	BKCP Residential G.P. LTD.....	Ownership.....	0.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					BKCP Retail Limited Partnership.....	CAN.....	NIA.....	BKCP Retail G.P. LTD.....	Ownership.....	0.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					BKCP Services Limited Partnership.....	CAN.....	NIA.....	BKCP Services G.P. Ltd.....	Ownership.....	0.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					BKCP SS Limited Partnership.....	CAN.....	NIA.....	BKCP SS G.P. LTD.....	Ownership.....	0.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					BKHY Fund I GP Limited Partnership.....	CAN.....	NIA.....	BKHY Fund I GP Inc.....	Ownership.....	0.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Bentall Kennedy High Yield Canadian Property Fund I Limited Partnership.....	CAN.....	NIA.....	BKHY Fund I GP Limited Partnership.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Chapais Electrique Limitée.....	CAN.....	NIA.....	Canadian Environmental Energy Corporation.....	Ownership.....	100.0	Sun Life Financial Inc.....	Common
00549.....	Sun Life Financial Group.....	00000.....	98-0226074.....			TSX, NYSE, PSE.....	Sun Life Financial Inc.....	CAN.....	UIP.....	Common Shareholders.....	Ownership.....	100.0	Shareholders.....	
00549.....	Sun Life Financial Group.....	00000.....					Faubourg Boisbriand Shopping Centre Holdings Inc.....	CAN.....	NIA.....	Faubourg Boisbriand Shopping Centre L.P.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	15325.....	46-1499557.....				Sun Life Financial (U.S.) Reinsurance Company II.....	DE.....	IA.....	Independence Life and Annuity Company.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					MFS Bermuda Holdings Ltd.....	BMU.....	NIA.....	Massachusetts Financial Services Company.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					MFS Development Funds, LLC.....	DE.....	NIA.....	Massachusetts Financial Services Company.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	04-2747644.....				MFS Exchange LLC.....	DE.....	NIA.....	Massachusetts Financial Services Company.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	04-3169826.....				MFS Fund Distributors, Inc.....	DE.....	NIA.....	Massachusetts Financial Services Company.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	02-0507414.....				MFS Heritage Trust Company.....	NH.....	NIA.....	Massachusetts Financial Services Company.....	Ownership.....	100.0	Sun Life Financial Inc.....	

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SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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00549.....	Sun Life Financial Group.....	00000.....	04-3247425.....				MFS Institutional Advisors, Inc.....	DE.....	.NIA.....	Massachusetts Financial Services Company.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					MFS International Ltd.....	BMU.....	.NIA.....	Massachusetts Financial Services Company.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	04-2864897.....				MFS Service Center, Inc.....	DE.....	.NIA.....	Massachusetts Financial Services Company.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					3060097 Nova Scotia Company.....	CAN.....	.NIA.....	MFS Institutional Advisors, Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					MFS International Switzerland GmbH.....	CHE.....	.NIA.....	MFS International (U.K.) Limited.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					MFS International (U.K.) Limited.....	GBR.....	.NIA.....	MFS International Holdings Pty Ltd.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					MFS International Australia Pty Ltd.....	AUS.....	.NIA.....	MFS International Holdings Pty Ltd.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					MFS International Singapore Pte. Ltd.....	SGP.....	.NIA.....	MFS International Holdings Pty Ltd.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					MFS Investment Management Company (LUX) S.à.r.l.....	LUX.....	.NIA.....	MFS International Holdings Pty Ltd.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					MFS Investment Management K.K.....	JPN.....	.NIA.....	MFS International Holdings Pty Ltd.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					MFS do Brasil Desenvolvimento de Mercado Ltda.....	BRA.....	.NIA.....	MFS International Ltd.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					MFS International (Chile) SpA.....	CHL.....	.NIA.....	MFS International Ltd.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					MFS International (Hong Kong) Limited.....	HKG.....	.NIA.....	MFS International Ltd.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					MFS International Holdings Pty Ltd.....	AUS.....	.NIA.....	MFS International Ltd.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					NewTower Management GP LLC.....	DE.....	.NIA.....	NewTower Trust Company.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					NewTower Management LLC.....	DE.....	.NIA.....	NewTower Trust Company.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					PenRetail Management Limited Partnership.....	CAN.....	.NIA.....	PenRetail Management Ltd.....	Ownership.....	0.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					PT. CIMB Sun Life.....	IDN.....	.IA.....	PT. Sun Life Indonesia Services.....	Ownership.....	52.8	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					PT. Sun Life Financial Indonesia.....	IDN.....	.IA.....	PT. Sun Life Indonesia Services.....	Ownership.....	2.1	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	30-0872552.....				NewTower Trust Company.....	MD.....	.NIA.....	Rushmore Partners LLC.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Ryan Labs Fund Management, LLC.....	DE.....	.NIA.....	Ryan Labs Asset Management Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Ryan Labs TIPS Partners, L.P.....	DE.....	.NIA.....	Ryan Labs Fund Management, LLC.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					SLCRE Top LP.....	CAN.....	.NIA.....	SLCRE Top GP Inc.....	Ownership.....	0.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Canadian Real Estate Fund.....	CAN.....	.NIA.....	SLCRE Top LP.....	Ownership.....	53.8	Sun Life Financial Inc.....	

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SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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00549.....	Sun Life Financial Group.....	00000.....				Sun Life Assurance Company of Canada (U.K.) Limited..... Sun Life of Canada UK Holdings Limited.....		GBR.....	IA.....	SLF of Canada UK Limited.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					SLI Investments LP.....	CAN.....	NIA.....	SLI General Partner Limited.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				6425411 Canada Inc.....		CAN.....	NIA.....	SLI Investments LP.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Morehead Parking Facility, LLC..... Birla Sun Life Asset Management Company Limited.....		NC.....	NIA.....	Sun 521 Morehead, LLC..... Sun Life (India) AMC Investments Inc.....	Ownership.....	50.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Birla Sun Life Trustee Company Private Limited.....		IND.....	NIA.....	Sun Life (India) AMC Investments Inc.....	Ownership.....	49.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life (Luxembourg) Finance No. 1 Sàrl.....		IND.....	NIA.....	Sun Life 2007-1 Financing Corp.....	Ownership.....	49.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Prosperity Dollar Wellspring Fund, Inc.....		PHL.....	NIA.....	Sun Life Asset Management Company, Inc.....	Ownership.....	37.6	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Prosperity Money Market Fund, Inc.....		PHL.....	NIA.....	Sun Life Asset Management Company, Inc.....	Ownership.....	34.3	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Prosperity Philippine Stock Index Fund, Inc.....		PHL.....	NIA.....	Sun Life Asset Management Company, Inc.....	Ownership.....	25.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Prosperity World Voyager Fund, Inc.....		PHL.....	NIA.....	Sun Life Asset Management Company, Inc.....	Ownership.....	76.1	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				6965083 Canada Inc.....		CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				7647913 Canada Inc.....		CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				7647930 Canada Inc.....		CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				8744831 Canada Inc..... Annemasse Boisbriand Holdings L.P.....		CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				BestServe Financial Limited..... Canadian Environmental Energy Corporation.....		HKG.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	99.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Country Lane Enterprises Ltd.....		CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	13-3830846.....			Dental Health Alliance, LLC.....		DE.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	32.2	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	59-3063687.....			Denticare of Alabama, Inc..... Mississauga Executive Enterprises Limited.....		AL.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				PT. CIMB Sun Life.....		CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	50.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....						IDN.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	47.2	Sun Life Financial Inc.....	

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SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Name of Parent Subsidiaries or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/ Person(s)	15 *
00549.....	Sun Life Financial Group.....	00000.....				PT. Sun Life Financial Indonesia.....		IDN.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	97.9	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				PT. Sun Life Indonesia Services, PVI Sun Life Insurance Company Limited.....		IDN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				PVS Preferred Vision Services Inc.....		VNM.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	75.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				SECLON Inc.....		CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	40.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				seclonLogic Inc.....		CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	30.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				SL Investment US-RE Holdings 2009-1, Inc.....		DE.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	30.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	26-4183712.....			SLF of Canada UK Limited.....		GBR.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Solidify Software, LLC.....		KS.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun 521 Morehead, LLC.....		DE.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life (India) AMC Investments Inc.....		CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life (India) Distribution Investments Inc.....		CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life (Luxembourg) Finance No. 2 Sàrl.....		CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life and Health Insurance Company (U.S.).....		MI.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Canadian Commercial Mortgage Fund.....		CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	45.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Capital Trust.....		CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Capital Trust II.....		CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Everbright Life Insurance Company Limited.....		CHN.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	25.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Financial (India) Insurance Investments Inc.....		CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Financial Advisory Inc.....		CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Financial Asia Services Limited.....		HKG.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Financial Distributors (Bermuda) Ltd.....		BMU.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Financial Distributors (Canada) Inc.....		CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Name of Parent Subsidiaries or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/ Person(s)	15 *
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Financial Distributors (Singapore) Pte. Ltd.....	Sun Life Financial	SGP.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Financial International Holdings (MC) , LLC.....		DE.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Financial Investment Services (Canada) Inc.....	Sun Life Financial Investments	CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Financial Investments (Bermuda) Ltd.....	Sun Life Financial of Canada (U.K.) Overseas Investments Limited.....	BMU.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Financial of Canada (U.K.) Overseas Investments Limited.....		GBR.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Financial Trust Inc.....		CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Hong Kong Limited.....	Sun Life India Service Centre Private Limited.....	BMU.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Information Services Canada, Inc.....		CAN.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Information Services Ireland Limited.....	Sun Life Insurance (Canada) Limited.....	IRL.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Malaysia Assurance Berhad.....	Sun Life Malaysia Takaful Berhad.....	CAN.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life of Canada (Netherlands) B.V.....		NLD.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	50.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun MetroNorth, LLC.....		DE.....	NIA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	33-0360239.....			UDC Dental California, Inc.....		CA.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	52022.....	74-2609036.....			UDC Ohio, Inc.....		OH.....		Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	58-1099945.....			Union Security DentalCare of Georgia, Inc.....		GA.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	11244.....	52-1565653.....			Union Security DentalCare of New Jersey, Inc.....		NJ.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	47708.....	86-0517444.....			United Dental Care of Arizona, Inc.....		AZ.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	52032.....	86-0631335.....			United Dental Care of Colorado, Inc.....		CO.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	11111.....	38-2833988.....			United Dental Care of Michigan, Inc.....		MI.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	47044.....	75-2481527.....			United Dental Care of Missouri, Inc.....		MO.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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00549.....	Sun Life Financial Group.....	47042.....	86-0384270.....			United Dental Care of New Mexico, Inc.....		NM.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	95142.....	75-2076282.....			United Dental Care of Texas, Inc.....		TX.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	95450.....	75-2635404.....			United Dental Care of Utah, Inc.....		UT.....	IA.....	Sun Life Assurance Company of Canada.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				SL Investment 2007-1 ULC.....		CAN.....	NIA.....	Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	04-3526207.....			Sun Life Financial (Japan), Inc.....		DE.....	NIA.....	Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	04-3579262.....			Sun Life Financial (U.S.) Holdings, Inc.....		DE.....	NIA.....	Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	04-3132283.....			Sun Life Institutional Investments (U.S.) LLC.....		DE.....	NIA.....	Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Barnwood Properties Limited.....		GBR.....	NIA.....	Sun Life Assurance Company of Canada (U.K.) Limited.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Canadian Commercial Mortgage Fund.....		CAN.....	NIA.....	Sun Life Canadian Commercial Mortgage GP Inc.....	Ownership.....	0.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Canadian Real Estate Fund.....		CAN.....	NIA.....	Sun Life Canadian RE GP Inc.....	Ownership.....	0.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				8750840 Canada Inc.....		CAN.....	NIA.....	Sun Life Canadian Real Estate Fund.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Everbright Asset Management Co., Ltd.....		CHN.....	NIA.....	Sun Life Everbright Life Insurance Company Limited.....	Ownership.....	99.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Birla Sun Life Insurance Company Limited.....		IND.....	IA.....	Sun Life Financial (India) Insurance Investments Inc.....	Ownership.....	49.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	04-3574531.....			Sun Life Financial (U.S.) Finance, Inc.....		DE.....	NIA.....	Sun Life Financial (U.S.) Holdings, Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....				Sun Life Financial (U.S.) Investments LLC.....		DE.....	NIA.....	Sun Life Financial (U.S.) Holdings, Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	04-2470476.....			Sun Life Financial Distributors, Inc.....		DE.....	NIA.....	Sun Life Financial (U.S.) Investments LLC.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	68-0635051.....			BK U.S. Holdings, Inc.....		DE.....	NIA.....	Sun Life Financial (U.S.) Investments LLC.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	61-1723752.....			Edgemoor Partners LLC.....		DE.....	NIA.....	Sun Life Financial (U.S.) Investments LLC.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	91-1435190.....			Prime Advisors, Inc.....		WA.....	NIA.....	Sun Life Financial (U.S.) Investments LLC.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	47-3503928.....			Ryan Labs Asset Management Inc.....		DE.....	NIA.....	Sun Life Financial (U.S.) Investments LLC.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	04-3401285.....			Sun Life of Canada (U.S.) Financial Services Holdings, Inc.....		DE.....	NIA.....	Sun Life Financial (U.S.) Investments LLC.....	Ownership.....	99.9	Sun Life Financial Inc.....	

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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00549.....	Sun Life Financial Group.....	00000.....	04-3365782.....				Sun Life of Canada (U.S.) Holdings, Inc.....	DE.....	.NIA.....	Sun Life Financial (U.S.) Investments LLC.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					6324983 Canada Inc.....	CAN.....	.NIA.....	Sun Life Financial Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					6828141 Canada Inc.....	CAN.....	.NIA.....	Sun Life Financial Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					9389547 Canada Inc.....	CAN.....	.NIA.....	Sun Life Financial Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	20-8998687.....				SL Finance 2007-1, Inc.....	DE.....	.NIA.....	Sun Life Financial Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life 2007-1 Financing Corp.....	CAN.....	.NIA.....	Sun Life Financial Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	80802.....	38-1082080.....				Sun Life Assurance Company of Canada.....	CAN.....	.UDP.....	Sun Life Financial Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Assurance Company of Canada (Barbados) Limited.....	BRB.....	.IA.....	Sun Life Financial Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Canadian Commercial Mortgage Fund.....	CAN.....	.NIA.....	Sun Life Financial Inc.....	Ownership.....	55.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Financial (Bermuda) Reinsurance Ltd.....	BMU.....	.IA.....	Sun Life Financial Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Global Investments Inc.....	CAN.....	.NIA.....	Sun Life Financial Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life of Canada International Assurance Limited.....	BRB.....	.IA.....	Sun Life Financial Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Private Fixed Income Plus Fund.....	CAN.....	.NIA.....	Sun Life Financial Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life of Canada (Netherlands) B.V.....	NLD.....	.NIA.....	Sun Life Financial of Canada (U.K.) Overseas Investments Limited.....	Ownership.....	50.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Grepa Financial, Inc.....	PHL.....	.IA.....	Sun Life Financial Philippine Holding Company, Inc.....	Ownership.....	49.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					BK Canada Holdings Inc.....	CAN.....	.NIA.....	Sun Life Global Investments Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	04-3401283.....				Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc.....	DE.....	.NIA.....	Sun Life Global Investments Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Global Investments (Canada) Inc.....	CAN.....	.NIA.....	Sun Life Global Investments Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Institutional Investments (Canada) Inc.....	CAN.....	.NIA.....	Sun Life Global Investments Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Great Life Financial Assurance Corporation.....	PHL.....	.IA.....	Sun Life Grepa Financial, Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Grepa Realty Holdings Corporation.....	PHL.....	.NIA.....	Sun Life Grepa Financial, Inc.....	Ownership.....	51.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Grepalife Asset Management Corporation.....	PHL.....	.NIA.....	Sun Life Grepa Financial, Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Grepalife Balanced Fund Corporation.....	PHL.....	.NIA.....	Sun Life Grepa Financial, Inc.....	Ownership.....	58.1	Sun Life Financial Inc.....	

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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00549.....	Sun Life Financial Group.....	00000.....					Sun Life Asset Management (HK) Limited.....	HKG.....	NIA.....	Sun Life Hong Kong Limited.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Financial (Hong Kong) Limited.....	BMU.....	IA.....	Sun Life Hong Kong Limited.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Hong Kong Services Limited.....	HKG.....	NIA.....	Sun Life Hong Kong Limited.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Trustee Company Limited.....	HKG.....	NIA.....	Sun Life Hong Kong Limited.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Canadian Commercial Mortgage GP Inc.....	CAN.....	NIA.....	Sun Life Institutional Investments (Canada) Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Canadian RE GP Inc.....	CAN.....	NIA.....	Sun Life Institutional Investments (Canada) Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Long Term PFIP GP Inc.....	CAN.....	NIA.....	Sun Life Institutional Investments (Canada) Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Long Term Private Fixed Income Plus Fund.....	CAN.....	NIA.....	Sun Life Institutional Investments (Canada) Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life PFIP GP Inc.....	CAN.....	NIA.....	Sun Life Institutional Investments (Canada) Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Short Term PFIP GP Inc.....	CAN.....	NIA.....	Sun Life Institutional Investments (Canada) Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Short Term Private Fixed Income Plus Fund.....	CAN.....	NIA.....	Sun Life Institutional Investments (Canada) Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					SLI General Partner Limited.....	CAN.....	NIA.....	Sun Life Insurance (Canada) Limited.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					SLI Investments LP.....	CAN.....	NIA.....	Sun Life Insurance (Canada) Limited.....	Ownership.....	.99.9	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Long Term Private Fixed Income Plus Fund.....	CAN.....	NIA.....	Sun Life Long Term PFIP GP Inc.....	Ownership.....	0.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Financial Philippine Holding Company, Inc.....	PHL.....	NIA.....	Sun Life of Canada (Netherlands) B.V.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life of Canada (Philippines), Inc.....	PHL.....	IA.....	Sun Life of Canada (Netherlands) B.V.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Asset Management Company, Inc.....	PHL.....	NIA.....	Sun Life of Canada (Philippines), Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Financial Plans, Inc.....	PHL.....	NIA.....	Sun Life of Canada (Philippines), Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....					Sun Life Prosperity Dynamic Fund, Inc.....	PHL.....	NIA.....	Sun Life of Canada (Philippines), Inc.....	Ownership.....	17.7	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	04-2747644.....				Massachusetts Financial Services Company.....	DE.....	NIA.....	Sun Life of Canada (U.S.) Financial Services Holdings, Inc.....	Ownership.....	.93.7	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	00000.....	01-0483086.....				Disability Reinsurance Management Services, Inc.....	DE.....	NIA.....	Sun Life of Canada (U.S.) Holdings, Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	64602.....	61-0403075.....				Independence Life and Annuity Company.....	DE.....	IA.....	Sun Life of Canada (U.S.) Holdings, Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	
00549.....	Sun Life Financial Group.....	68047.....	59-0411385.....				Professional Insurance Company.....	TX.....	IA.....	Sun Life of Canada (U.S.) Holdings, Inc.....	Ownership.....	100.0	Sun Life Financial Inc.....	

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Asterisk	Explanation

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

RESPONSE

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

.....NO.....

Explanation:

1.

Bar Code:

1.



OVERFLOW PAGE FOR WRITE-INS

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE A – VERIFICATION

Real Estate		1	2
	Year To Date	Prior Year Ended	December 31
1. Book/adjusted carrying value, December 31 of prior year	0	0	0
2. Cost of acquired:			
2.1 Actual cost at time of acquisition	0	0	0
2.2 Additional investment made after acquisition	0	0	0
3. Current year change in encumbrances	0	0	0
4. Total gain (loss) on disposals	0	0	0
5. Deduct amounts received on disposals	0	0	0
6. Total foreign exchange change in book/adjusted carrying value	0	0	0
7. Deduct current year's other-than-temporary impairment recognized	0	0	0
8. Deduct current year's depreciation	0	0	0
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	0	0	0
10. Deduct total nonadmitted amounts	0	0	0
11. Statement value at end of current period (Line 9 minus Line 10)	0	0	0

SCHEDULE B – VERIFICATION

Mortgage Loans		1	2
	Year To Date	Prior Year Ended	December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	0	0	0
2. Cost of acquired:			
2.1 Actual cost at time of acquisition	0	0	0
2.2 Additional investment made after acquisition	0	0	0
3. Capitalized deferred interest and other	0	0	0
4. Accrual of discount	0	0	0
5. Unrealized valuation increase (decrease)	0	0	0
6. Total gain (loss) on disposals	0	0	0
7. Deduct amounts received on disposals	0	0	0
8. Deduct amortization of premium and mortgage interest points and commitment fees	0	0	0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest	0	0	0
10. Deduct current year's other-than-temporary impairment recognized	0	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	0	0
12. Total valuation allowance	0	0	0
13. Subtotal (Line 11 plus Line 12)	0	0	0
14. Deduct total nonadmitted amounts	0	0	0
15. Statement value at end of current period (Line 13 minus Line 14)	0	0	0

SCHEDULE BA – VERIFICATION

Other Long-Term Invested Assets		1	2
	Year To Date	Prior Year Ended	December 31
1. Book/adjusted carrying value, December 31 of prior year	0	0	0
2. Cost of acquired:			
2.1 Actual cost at time of acquisition	0	0	0
2.2 Additional investment made after acquisition	0	0	0
3. Capitalized deferred interest and other	0	0	0
4. Accrual of discount	0	0	0
5. Unrealized valuation increase (decrease)	0	0	0
6. Total gain (loss) on disposals	0	0	0
7. Deduct amounts received on disposals	0	0	0
8. Deduct amortization of premium and depreciation	0	0	0
9. Total foreign exchange change in book/adjusted carrying value	0	0	0
10. Deduct current year's other-than-temporary impairment recognized	0	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	0	0
12. Deduct total nonadmitted amounts	0	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	0	0	0

SCHEDULE D – VERIFICATION

Bonds and Stocks		1	2
	Year To Date	Prior Year Ended	December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	199,875	875,583	875,583
2. Cost of bonds and stocks acquired	645,905	59,992	59,992
3. Accrual of discount	55	89	89
4. Unrealized valuation increase (decrease)	0	0	0
5. Total gain (loss) on disposals	0	0	0
6. Deduct consideration for bonds and stocks disposed of	645,905	735,000	735,000
7. Deduct amortization of premium	8	789	789
8. Total foreign exchange change in book/adjusted carrying value	0	0	0
9. Deduct current year's other-than-temporary impairment recognized	0	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7+8-9)	199,922	199,875	199,875
11. Deduct total nonadmitted amounts	0	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	199,922	199,875	199,875

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SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a).....	199,906	3,281,612	3,281,563	(33)	809,676	199,906	199,922	916,016
2. NAIC 2 (a).....	0				0	0	0	0
3. NAIC 3 (a).....	0				0	0	0	0
4. NAIC 4 (a).....	0				0	0	0	0
5. NAIC 5 (a).....	0				0	0	0	0
6. NAIC 6 (a).....	0				0	0	0	0
7. Total Bonds.....	199,906	3,281,612	3,281,563	(33)	809,676	199,906	199,922	916,016
PREFERRED STOCK								
8. NAIC 1.....	0				0	0	0	0
9. NAIC 2.....	0				0	0	0	0
10. NAIC 3.....	0				0	0	0	0
11. NAIC 4.....	0				0	0	0	0
12. NAIC 5.....	0				0	0	0	0
13. NAIC 6.....	0				0	0	0	0
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds & Preferred Stock.....	199,906	3,281,612	3,281,563	(33)	809,676	199,906	199,922	916,016

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$; NAIC 2 \$;

NAIC 3 \$; NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

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SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/adjusted Carrying value	2 Year Value	3	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999		XXX			

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	716,141	154,039
2. Cost of short-term investments acquired	4,006,099	1,670,720
3. Accrual of discount	397	0
4. Unrealized valuation increase (decrease).....		0
5. Total gain (loss) on disposals	24	7
6. Deduct consideration received on disposals	4,722,661	1,107,497
7. Deduct amortization of premium.....		1,128
8. Total foreign exchange change in book/adjusted carrying value.....		0
9. Deduct current year's other-than-temporary impairment recognized.....		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	0	716,141
11. Deduct total nonadmitted amounts.....		0
12. Statement value at end of current period (Line 10 minus Line 11)	0	716,141

Schedule DB - Part A - Verification

NONE

Schedule DB - Part B - Verification

NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part C - Section 2

NONE

Schedule DB - Verification

NONE

Schedule E - Verification

NONE

Schedule A - Part 2

NONE

Schedule A - Part 3

NONE

Schedule B - Part 2

NONE

Schedule B - Part 3

NONE

Schedule BA - Part 2

NONE

Schedule BA - Part 3
NONE

Schedule D - Part 3
NONE

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SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identifi- cation	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Design- ation or Market Indicator (a)	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.								
Common Stocks - Money Market Mutual Funds																						
784924-79-7...	SSGA Prime Money Market Fund.		09/30/2016.	Various.	645,904.690	645,905	XXX	645,905	645,905				0		645,905				0		XXX	U.
9399999 - Common Stocks - Money Market Mutual Funds					645,905	645,905	XXX	645,905	645,905	0	0	0	0	0	645,905	0	0	0	0	0	XXX	XXX
9799997 - Subtotals - Common Stocks - Part 4					645,905	645,905	XXX	645,905	645,905	0	0	0	0	0	645,905	0	0	0	0	0	XXX	XXX
9799999 - Subtotals - Common Stocks					645,905	645,905	XXX	645,905	645,905	0	0	0	0	0	645,905	0	0	0	0	0	XXX	XXX
9899999 - Subtotals - Preferred and Common Stocks					645,905	645,905	XXX	645,905	645,905	0	0	0	0	0	645,905	0	0	0	0	0	XXX	XXX
9999999 Totals					645,905	XXX		645,905	645,905	0	0	0	0	0	645,905	0	0	0	0	0	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues1.

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Schedule DB - Part A - Section 1

NONE

Schedule DB - Part B - Section 1

NONE

Schedule DB - Part D - Section 1

NONE

Schedule DB - Part D - Section 2

NONE

Schedule DL - Part 1

NONE

Schedule DL - Part 2

NONE

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SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Open Depositories								
Wells Fargo Bank.....Minneapolis, Minnesota.....					.80,914	.73,103	.92,567	XXX
State Street Bank.....Kansas City, Missouri.....					0	0	646,754	XXX
0199998 Deposits in depositories that do not exceed the allowable limit in any one depository (See Instructions) - Open Depositories	XXX	XXX						XXX
0199999 Total Open Depositories	XXX	XXX	0	0	80,914	73,103	739,321	XXX
0399999 Total Cash on Deposit	XXX	XXX	0	0	80,914	73,103	739,321	XXX
0499999 Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
0599999 Total	XXX	XXX	0	0	80,914	73,103	739,321	XXX

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UDC OHIO, INC.

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter							
1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year

NONE

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