

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	205,414,941		205,414,941	177,039,872
2. Stocks:				0
2.1 Preferred stocks			0	0
2.2 Common stocks	1,855,361	1,855,361	0	0
3. Mortgage loans on real estate:				0
3.1 First liens			0	0
3.2 Other than first liens			0	0
4. Real estate:				0
4.1 Properties occupied by the company (less \$ encumbrances)			0	0
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)			0	0
5. Cash (\$ 200,000), cash equivalents (\$) and short-term investments (\$ 9,667,968)	9,867,968		9,867,968	11,003,189
6. Contract loans (including \$ premium notes)			0	0
7. Derivatives			0	0
8. Other invested assets			0	0
9. Receivables for securities	3,747,837		3,747,837	0
10. Securities lending reinvested collateral assets			0	0
11. Aggregate write-ins for invested assets	10,358	0	10,358	587
12. Subtotals, cash and invested assets (Lines 1 to 11)	220,896,465	1,855,361	219,041,104	188,043,648
13. Title plants less \$ charged off (for Title insurers only)			0	0
14. Investment income due and accrued	1,607,303		1,607,303	1,360,345
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	14,823,376	151,931	14,671,445	19,426,545
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)	114,011,752		114,011,752	88,783,835
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	1,242		1,242	3,119,082
16.2 Funds held by or deposited with reinsured companies			0	0
16.3 Other amounts receivable under reinsurance contracts			0	0
17. Amounts receivable relating to uninsured plans			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon	4,038,737		4,038,737	131,692
18.2 Net deferred tax asset	12,631,958	424,318	12,207,640	11,151,420
19. Guaranty funds receivable or on deposit			0	0
20. Electronic data processing equipment and software			0	0
21. Furniture and equipment, including health care delivery assets (\$)			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates	1,537,785		1,537,785	1,058,231
24. Health care (\$) and other amounts receivable			0	0
25. Aggregate write-ins for other than invested assets	0	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	369,548,618	2,431,610	367,117,008	313,074,798
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28. Total (Lines 26 and 27)	369,548,618	2,431,610	367,117,008	313,074,798
DETAILS OF WRITE-INS				
1101. Income due on security	10,358		10,358	587
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	10,358	0	10,358	587
2501.			0	0
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	0	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION
LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ 73,958,320)	99,011,957	67,768,965
2. Reinsurance payable on paid losses and loss adjustment expenses	2,324,112	0
3. Loss adjustment expenses	11,019,992	9,446,694
4. Commissions payable, contingent commissions and other similar charges	2,036,559	1,867,433
5. Other expenses (excluding taxes, licenses and fees)	67,095	
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	1,378,255	1,241,920
7.1 Current federal and foreign income taxes (including \$ on realized capital gains (losses))		
7.2 Net deferred tax liability		
8. Borrowed money \$ and interest thereon \$		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ 107,493,741 and including warranty reserves of \$ and accrued accident and health experience rating refunds including \$ for medical loss ratio rebate per the Public Health Service Act)	148,444,183	119,432,353
10. Advance premium	246,500	257,852
11. Dividends declared and unpaid:		
11.1 Stockholders		
11.2 Policyholders		
12. Ceded reinsurance premiums payable (net of ceding commissions)		0
13. Funds held by company under reinsurance treaties		0
14. Amounts withheld or retained by company for account of others		
15. Remittances and items not allocated		
16. Provision for reinsurance (including \$ certified)		
17. Net adjustments in assets and liabilities due to foreign exchange rates		
18. Drafts outstanding		
19. Payable to parent, subsidiaries and affiliates		
20. Derivatives	0	0
21. Payable for securities		368,620
22. Payable for securities lending		
23. Liability for amounts held under uninsured plans		
24. Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	0	0
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	264,528,653	200,383,837
27. Protected cell liabilities		
28. Total liabilities (Lines 26 and 27)	264,528,653	200,383,837
29. Aggregate write-ins for special surplus funds	0	0
30. Common capital stock	5,000,000	5,000,000
31. Preferred capital stock		
32. Aggregate write-ins for other than special surplus funds	0	0
33. Surplus notes		
34. Gross paid in and contributed surplus	52,165,951	52,165,951
35. Unassigned funds (surplus)	45,422,404	55,525,010
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 30 \$)		
36.2 shares preferred (value included in Line 31 \$)		
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	102,588,355	112,690,961
38. Totals (Page 2, Line 28, Col. 3)	367,117,008	313,074,798
DETAILS OF WRITE-INS		
2501.		
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	0	0
2901.		
2902.		
2903.		
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0
3201.		
3202.		
3203.		
3298. Summary of remaining write-ins for Line 32 from overflow page	0	0
3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)	0	0

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION
STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct (written \$ 232,489,705)	210,866,849	187,275,621	251,084,998
1.2 Assumed (written \$ 201,872,477)	173,474,939	103,577,603	146,670,167
1.3 Ceded (written \$ 182,432,115)	161,423,551	122,158,354	167,057,169
1.4 Net (written \$ 251,930,067)	222,918,237	168,694,870	230,697,996
DEDUCTIONS:			
2. Losses incurred (current accident year \$ 154,117,345):			
2.1 Direct	153,648,000	115,738,817	157,161,695
2.2 Assumed	129,536,438	61,706,859	86,931,712
2.3 Ceded	119,905,541	75,190,212	102,612,366
2.4 Net	163,278,897	102,255,464	141,481,041
3. Loss adjustment expenses incurred	18,782,951	14,285,232	19,980,886
4. Other underwriting expenses incurred	80,746,700	70,731,178	94,095,840
5. Aggregate write-ins for underwriting deductions	0	0	0
6. Total underwriting deductions (Lines 2 through 5)	262,808,548	187,271,874	255,557,767
7. Net income of protected cells	0	0	0
8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	(39,890,311)	(18,577,004)	(24,859,771)
INVESTMENT INCOME			
9. Net investment income earned	3,319,680	2,601,993	3,550,988
10. Net realized capital gains (losses) less capital gains tax of \$ 0	1,334,949	3,936,617	4,639,058
11. Net investment gain (loss) (Lines 9 + 10)	4,654,629	6,538,610	8,190,046
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$)	0	0	0
13. Finance and service charges not included in premiums	20,183,846	18,723,440	24,923,280
14. Aggregate write-ins for miscellaneous income	13,181	23,737	28,629
15. Total other income (Lines 12 through 14)	20,197,027	18,747,177	24,951,909
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	(15,038,655)	6,708,783	8,282,184
17. Dividends to policyholders	0	0	0
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	(15,038,655)	6,708,783	8,282,184
19. Federal and foreign income taxes incurred	(3,835,963)	3,805,310	4,930,651
20. Net income (Line 18 minus Line 19)(to Line 22)	(11,202,692)	2,903,473	3,351,533
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year	112,690,961	89,838,057	89,838,057
22. Net income (from Line 20)	(11,202,692)	2,903,473	3,351,533
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains (losses) less capital gains tax of \$ (1,100)	479,591	(52,244)	241,975
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	1,200,930	1,302,559	2,002,575
27. Change in nonadmitted assets	(580,435)	32,679	(143,179)
28. Change in provision for reinsurance			0
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in			
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in	0	0	17,400,000
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			
36. Change in treasury stock			0
37. Aggregate write-ins for gains and losses in surplus	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37)	(10,102,606)	4,186,467	22,852,904
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	102,588,355	94,024,524	112,690,961
DETAILS OF WRITE-INS			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)	0	0	0
1401. FINANCE ROYALTY INCOME			0
1402. OTHER INTEREST (EXPENSE)	(1)	1,204	1,198
1403. OTHER INCOME			0
1498. Summary of remaining write-ins for Line 14 from overflow page	13,182	22,533	27,431
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	13,181	23,737	28,629
3701. OTHER INCREASES / (DECREASES)			0
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION
CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	231,492,905	175,597,160	237,083,521
2. Net investment income	3,910,430	3,304,044	4,304,567
3. Miscellaneous income	20,197,027	18,747,177	24,951,909
4. Total (Lines 1 to 3)	255,600,362	197,648,381	266,339,997
5. Benefit and loss related payments	126,593,953	91,016,950	126,586,682
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	97,583,797	84,201,328	111,995,242
8. Dividends paid to policyholders	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)	71,081	2,683,764	3,290,764
10. Total (Lines 5 through 9)	224,248,831	177,902,042	241,872,688
11. Net cash from operations (Line 4 minus Line 10)	31,351,531	19,746,338	24,467,309
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	181,794,818	195,585,490	231,337,604
12.2 Stocks	0	0	0
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	(908)	(908)
12.7 Miscellaneous proceeds	0	3,349,189	368,620
12.8 Total investment proceeds (Lines 12.1 to 12.7)	181,794,818	198,933,770	231,705,316
13. Cost of investments acquired (long-term only):			
13.1 Bonds	209,675,788	202,832,961	257,386,954
13.2 Stocks	0	0	0
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	4,126,228	14,469	580
13.7 Total investments acquired (Lines 13.1 to 13.6)	213,802,016	202,847,430	257,387,534
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(32,007,198)	(3,913,660)	(25,682,218)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0	17,400,000
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied)	(479,554)	(9,988,294)	(7,671,102)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(479,554)	(9,988,294)	9,728,898
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(1,135,221)	5,844,385	8,513,989
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	11,003,189	2,489,200	2,489,200
19.2 End of period (Line 18 plus Line 19.1)	9,867,968	8,333,585	11,003,189

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Proceeds from bonds sold	5,654,982	0	4,179,511
20.0002. Proceeds from stocks sold	0	0	0
20.0003. Cost of bonds acquired	5,654,982	0	4,179,511
20.0004. Cost of stocks acquired	0	0	0

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A. The accompanying financial statements of Permanent General Assurance Corporation have been prepared in accordance with the NAIC Accounting Practices and Procedures Manual except to the extent that Ohio law differs. However, as of this Statement date, there have been no requests from the State of Ohio to depart from the prescribed NAIC guidelines.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the state of Ohio is shown below:

	State of Domicile	2016	2015
NET INCOME			
(1) State basis (Page 4, Line 20, Columns 1 & 3)OH(11,202,692)3,351,533
(2) State Prescribed Practices that increase/(decrease) NAIC SAPOH00
.....OH000
.....OH000
(3) State Permitted Practices that increase/(decrease) NAIC SAPOH00
.....OH000
.....OH000
(4) NAIC SAP (1-2-3=4)OH(11,202,692)3,351,533
SURPLUS			
(5) State basis (Page 3, Line 37, Columns 1 & 2)OH102,588,355112,690,961
(6) State Prescribed Practices that increase/(decrease) NAIC SAPOH00
.....OH000
.....OH000
(7) State Permitted Practices that increase/(decrease) NAIC SAPOH00
.....OH000
.....OH000
(8) NAIC SAP (5-6-7=8)OH102,588,355112,690,961

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities, revenues and expenses. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

C. Accounting Policy

Premiums are earned over the terms of the related insurance policies. Unearned premium reserves are established to cover the unexpired portion of premiums written. Such reserves are computed by pro rata methods for direct business.

Expenses incurred in connection with acquiring new insurance business, including such acquisition costs as sales commissions, are charged to operations as incurred. Expenses incurred are reduced for ceding allowances received or receivable.

- (1) Investments in short-term bonds rated "1" (highest quality), or "2" (high quality) by the Securities Valuation Office ("SVO") of the NAIC are reported in the financial statements at amortized cost. Bonds rated "3" (medium quality), "4" (low quality), "5" (lower quality), or "6" (lowest quality) by the SVO are reported at the lower of amortized cost or fair value. The interest method is used to amortize any purchase premium or discount, including estimates of future prepayments obtained from independent sources. Money market mutual funds are recorded at amortized cost, which approximates fair market value.
- (2) Investments in bonds rated "1" (highest quality), or "2" (high quality) by the Securities Valuation Office ("SVO") of the NAIC are reported in the financial statements at amortized cost. Bonds rated "3" (medium quality), "4" (low quality), "5" (lower quality), or "6" (lowest quality) by the SVO are reported at the lower of amortized cost or fair value. Investments in commercial mortgage backed securities (CMBS) and non-agency residential mortgage backed securities (RMBS) utilize a two step process to obtain a valuation and rating in accordance with SSAP 43R, Loan Backed and Structured Securities. The first step derives a rating for valuation by comparing the current amortized cost to the modeled range of values assigned to the six NAIC designations for each security. This determines whether the securities are stated at the lower of amortized cost or fair value per the above rules. The second step utilizes the same modeled range of values to derive a rating for reporting using the current carrying value as determined in the first step.

Ratings and valuations for investments in asset backed securities, loan backed securities, and structured securities (other than Equipment Trust Certificates and Credit Tenant Leases) that are otherwise rated by a credit rating provider (CRP) are calculated using a two step process. The first step derives a rating for valuation based on the CRP rating and the NAIC model valuation table. The second step utilizes the model valuation table to derive a rating for reporting using the current carrying value as determined in the first step. Securities whose initial rating is NAIC 1 or NAIC 6 in step one are not further modified by step two. The interest method is used to amortize any purchase premium or discount, including estimates of future prepayments obtained from independent sources.

- (3) Common stocks, if owned are stated at market with exception to the stock of PGAC's wholly owned subsidiary (which is valued as described in the NAIC Valuation of Securities Manual).
- (4) The Company holds no preferred stock as of the statement date.
- (5) The Company holds no mortgage loans.
- (6) Loan-backed securities are valued at amortized cost using the interest method, including anticipated prepayments at the date of purchase. These values are adjusted for updated prepayment information using the retrospective method.
- (7) The Company values PGA Service Corporation (a wholly owned subsidiary) in accordance with the NAIC policies and procedures manual.
- (8) The company has no investments in joint ventures, partnerships or limited liability companies.

NOTES TO FINANCIAL STATEMENTS

(9) The company has no investments in derivatives.
 (10) The Company does not anticipate investment income as a factor in the premium deficiency calculation.
 (11) Reserve for losses represents the estimated liability for claims reported to the Company and an amount, based on actuarially determined reserves for losses incurred but not reported. Such liabilities are necessarily based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liability is continually reviewed and any adjustments are reflected in the period determined.
 (12) The Company has not modified its capitalization policy from the prior year.
 (13) The Company has no pharmaceutical rebate receivables.

D. Going Concern

Not applicable.

2. Accounting Changes and Corrections of Errors

None.

3. Business Combinations and Goodwill

None.

4. Discontinued Operations

None.

5. Investments

A) The Company has no mortgage loans.
 B) The Company did not restructure any debt.
 C) The Company has no reverse mortgages.
 D) The Company has no Loan-Backed Securities.
 E) The Company has no repurchase agreements.
 F) The Company has no real estate investments
 G) The Company has no low-income housing tax credits (LIHTC)
 H) Restricted Assets.

(1) Restricted Assets (Including Pledged) as of September 30, 2016.

Restricted Asset Category	Gross Restricted					8	Percentage			
	Current Year						6	7		
	1	2	3	4	5					
Restricted Asset Category	Total General Account (G/A)	G/A Supporting Protected Cell Account Activity (a)	Total Protected Cell Account Restricted Assets	Protected Cell Account Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/(Decrease) (5 minus 6)	Total Current Year Admitted Restricted	Gross Restricted to Total Assets	Admitted Restricted to Total Admitted Assets
a. Subject to contractual obligation for which liability is not shown	0	0	0	0	0	0	0	0	0.000	0.000
b. Collateral held under security lending agreements	0	0	0	0	0	0	0	0	0.000	0.000
c. Subject to repurchase agreements	0	0	0	0	0	0	0	0	0.000	0.000
d. Subject to reverse repurchase agreements	0	0	0	0	0	0	0	0	0.000	0.000
e. Subject to dollar repurchase agreements	0	0	0	0	0	0	0	0	0.000	0.000
f. Subject to dollar reverse repurchase agreements	0	0	0	0	0	0	0	0	0.000	0.000
g. Placed under option contracts	0	0	0	0	0	0	0	0	0.000	0.000
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock.	0	0	0	0	0	0	0	0	0.000	0.000
i. FHLB capital stock	0	0	0	0	0	0	0	0	0.000	0.000
j. On deposit with states	3,498,169	0	0	0	3,498,169	3,315,582	.182,587	3,498,169	0.9	1.0
k. On deposit with other regulatory bodies	0	0	0	0	0	0	0	0	0.000	0.000
l. Pledged collateral to FHLB (including assets backing funding agreements)	0	0	0	0	0	0	0	0	0.000	0.000
m. Pledged as collateral not captured in other categories	0	0	0	0	0	0	0	0	0.000	0.000
n. Other restricted assets	0	0	0	0	0	0	0	0	0.000	0.000
o. Total Restricted Assets	3,498,169	0	0	0	3,498,169	3,315,582	.182,587	3,498,169	0.9	1.0

(a) Subset of column 1

(b) Subset of column 3

(2) Detail of Assets Pledged as Collateral Not Captured in Other Categories.

Not applicable.

(3) Detail of Other Restricted Assets.

Not applicable.

I) Working Capital Finance Investments.

None.

J) Offsetting and Netting of Assets and Liabilities.

None.

K) Structured Notes:

The Company invests in structured notes, which are characterized by non-fixed coupon payments, with the exception of securities tied to a non-leveraged typical interest rate index (such as LIBOR and T-Bill rates). Loan-backed securities are excluded from this category. The following table details the securities that the Company has determined meet this definition at September 30, 2016.

NOTES TO FINANCIAL STATEMENTS

CUSIP Identification	Actual Cost	Fair Value	Book/Adjusted Carrying Value	Mortgage-Referenced Security (Y/N)
574218-KL-6	375,000	375,334	375,000	NO.....
708692-AJ-7	46,045	47,872	45,915	NO.....
708692-BF-4	202,698	203,900	202,638	NO.....
Total	623,743	627,106	623,553	XXX

6. Joint Ventures, Partnerships and Limited Liability Companies

None.

7. Investment Income

All investment income due and accrued is admitted.

8. Derivative Instruments

None.

9. Income Taxes

No change.

10. Information Concerning Parent, Subsidiaries Affiliates and Other Related Parties

A,B,C. On August 15, 2016 PGC Holdings Corporation made a capital contribution of \$1,000,000 to its wholly owned subsidiary PGAC of Ohio, who in turn made a capital contribution of \$500,000 to its wholly owned subsidiary The General Automobile Insurance Co., Inc. On August 15, 2016 PGAC of Ohio paid a dividend of \$1,000,000 to its parent PGC Holdings Corporation and The General Automobile Insurance Co., Inc. paid a dividend of \$500,000 to its parent PGAC of Ohio.

D. At September 30, 2016, the Company reported \$1,537,785 net receivable from its parent and affiliates. The terms of the settlement require these amounts are settled within 90 days.

E. The Company has made no guarantees or undertakings for the benefit of the parent or affiliates.

F. PGAC has a service agreement in place with its parent Permanent General Companies, Inc (PGC), which is also a wholly owned subsidiary of PGC Holdings Corp. Under this agreement PGC performs administrative services on behalf of PGAC. These services include; claims administration, underwriting, policy issuance and record keeping, legal services, data processing and accounting.

G. All outstanding shares of the company are owned by the parent company, Permanent General Companies, Inc., a non-insurance holding company domiciled in the state of Tennessee.

H. The Company wholly owns PGA Service Corporation, an insurance premium finance company domiciled in the state of Tennessee.

I.J. The Company has no investments in an SCA entity that exceeds 10% of admitted assets.

K. None.

L. None.

11. Debt

The company has no capital note obligations, FHLB agreements or other long-term debt.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans.

None.

13. Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

(1) The company has 20,000 shares authorized; 10,000 shares issued of \$500 par common stock; & 10,000 shares outstanding. All shares are Class A.

(2) The company has no preferred stock issued.

(3) The maximum amount of dividends which can be paid by an Ohio domiciled company without approval of the insurance commissioner is subject to restrictions based upon statutory surplus.

(4) The company has not paid a dividend during the first three quarters of 2016.

(5) The portion of the Company's profits that may be paid as ordinary dividends to stockholders is limited by # (3) above. However, the maximum dividend payout which can be made in 2016 without prior approval is \$11,269,096.

(6) The company has no restrictions on the unassigned surplus.

(7) The company has not made advances of surplus.

(8) The stock of the company has not been allocated for any special purposes.

(9) The company has no special surplus funds.

(10) Refer to page 4 lines 21 through 37 and Exhibit of Capital Gain (Losses).

(11) The company has no surplus notes.

(12) The company has not been reorganized.

(13) N/A

14. Liabilities, Contingencies and Assessments.

(A) The company has no contingent commitments to a SCA entity, joint ventures, partnerships, or limited liability companies.

(B) The company has not been notified of any assessments that could have a material financial effect.

(C) The company has no gain contingencies.

(D) Claims related extra contractual obligations and bad faith losses stemming from lawsuits.

Direct

(1) The company paid the following amounts in the reporting period to settle claims related extra contractual obligations or bad faith claims stemming from lawsuits 791,000

(2) Number of claims where amounts were paid to settle claims related extra contractual obligations or bad faith claims resulting from lawsuits during the reporting period 0-25 Claims

(3) Indicate whether claim count information is disclosed per claim or per claimant Per Claim

(E) The company has no warranty liabilities.

(F) The company has no joint and several liabilities.

(G) All Other Contingencies.

NOTES TO FINANCIAL STATEMENTS

Various lawsuits against the Company have arisen in the course of the Company's business. Contingent liabilities arising from litigation, income taxes and other matters are not considered material in relation to the financial position of the Company.

The Company routinely assesses the collectability of premium and agent balances. The uncollectible amounts are not material to the Company's financial condition.

15. Leases.

None.

16. Information about Financial Instruments with Off-balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk.

None.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities.

None.

18. Gain or Loss to the Report Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans.

None.

19. Direct Premium Written/Produced by MGA/3rd Party Administration

None.

20. Fair Value Measurements.

A. (1) The following summarizes the Company's financial assets carried at fair value as of September 30, 2016.

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Total
Assets at fair value				
Bonds	0	196,798	0	196,798
Short-Term Investments	9,426,275	0	0	9,426,275
Total assets at fair value	9,426,275	196,798	0	9,623,073

There were no material transfers between Levels 1 and 2 during the first nine months of 2016.

(2) The Company held no Level 3 assets carried at fair value as of September 30, 2016.

(3) There were no material transfers into or out of Level 3 during the first nine months of 2016.

(4) The Financial assets and financial liabilities recorded on the Balance Sheet at fair value are categorized based on the reliability of inputs to the valuation techniques as follows:

Level 1 Financial assets and financial liabilities whose values are based on unadjusted quoted prices for identical assets or liabilities in an active market that the Company can access.

Level 2 Financial assets and financial liabilities whose values are based on the following:

Quoted prices for similar assets or liabilities in active markets;

Quoted Prices for identical or similar assets or liabilities in non-active markets; or

Valuation models whose inputs are observable, directly or indirectly, for substantially the full term of the asset or liability.

Level 3 Financial assets and financial liabilities whose values are based on prices or valuation techniques that require inputs that are both unobservable and significant to the overall fair value measurement. These inputs may reflect the Company's estimates of the assumptions that market participants would use in valuing the financial assets and financial liabilities.

The availability of observable inputs varies by instrument. In situations where fair value is based on internally developed pricing models or inputs that are unobservable in the market, the determination of fair value requires more judgment. In many instances, inputs used to measure fair value fall into different levels of the fair value hierarchy. In those instances, for disclosure purposes, the level in the fair value hierarchy within which the fair value measurement is categorized is determined based on the lowest level input that is significant to the fair value measurement in its entirety.

The fair value guidance establishes a hierarchy for inputs used in determining fair value that maximize the use of observable inputs and minimizes the use of unobservable inputs by requiring that observable inputs be used when available.

Fair value is a market-based measure considered from the perspective of a market participant who owns an asset or owes a liability. Accordingly, when market observable data is not readily available, the Company's own assumptions are set to reflect those that market participants would be presumed to use in pricing the asset at the measurement date. The Company uses prices and inputs that are current as of the measurement date, including during periods of market disruption. In periods of market disruption, the ability to observe prices and inputs may be reduced for many instruments. This condition could cause an instrument to be reclassified from Level 1 to Level 2 or from Level 2 to Level 3.

When available, the Company uses the market approach to estimate the fair value of its financial instruments, which is based on quoted prices in active markets that are readily and regularly available. Generally, these are the most liquid of the Company's holdings and valuation of these securities does not involve management judgment. Matrix pricing and other similar techniques are other examples of the market approach.

When quoted prices in active markets are not available, the Company uses the income approach, or a combination of the market and income approaches, to estimate the fair value of its financial instruments. The income approach involves using discounted cash flow and other standard valuation methodologies. The inputs in applying these market standard valuation methodologies include, but are

NOTES TO FINANCIAL STATEMENTS

not limited to interest rates, benchmark yields, bid/ask spreads, dealer quotes, liquidity, term to maturity, estimated future cash flows, credit risk and default projections, collateral performance, deal and tranche attributes, and general market data.

The following valuation techniques and inputs were used to estimate the fair value of each class of significant financial instruments:

Level 1 Measurements

Short-term Investments: Comprised of actively traded money market funds that have daily quoted net asset values for identical assets that the Company can access.

Level 2 Measurements

Bonds: The majority of the Company's fixed income securities are carried at amortized cost. Those carried at fair value are valued using the market and income approaches by leading, nationally recognized providers of market data and analytics. When available, recent trades of identical or similar assets are used to price these securities. However because many fixed income securities do not actively trade on a daily basis, pricing models are often used to determine security prices. The pricing models discount future cash flows at estimated market interest rates. These rates are derived by calculating the appropriate spreads over comparable U.S. Treasury securities based on credit quality, industry, and structure of the asset. Observable inputs used by the models include benchmark yields, bid/ask spreads, dealer quotes, liquidity, term to maturity, credit risk and default projections, collateral performance, deal and tranche attributes, and general market data. Inputs may vary depending on type of security. Pricing for specific security types is as follows:

Corporates: Valued using the market and income approaches by leading, nationally recognized providers of market data and analytics.

The Company held no Level 3 securities carried at fair value as of September 30, 2016.

B. Not applicable.

C. Valuation, Methods, and Assumptions.

(1) The following table summarizes the fair value of the Company's financial assets as of September 30, 2016.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)
Bonds208,172,583	..205,418,083 3,060,465	..205,112,11800
Short-Term Investments	9,667,801	9,667,968	9,426,275241,52600

(2) The following valuation methods and assumptions were used to measure the fair values of each type of financial asset and liability:

Bonds: The fair value of Level 1 bonds, consisting of U.S. Treasury Notes, is determined using unadjusted quoted prices in an active market. Valuation methods and assumptions for the majority of Level 2 bonds are discussed in Note 20.A.4. A small segment of Level 2 bonds and all Level 3 bonds are valued internally using matrix pricing, broker quotes, discounted cash flow models, and benchmark and spread analysis, or externally using a pricing vendor that specializes in pricing esoteric securities.

Short-term Investments: Valuation methods and assumptions for Level 1 short-term money market investments are discussed in Note 20.A.4. Valuation methods and assumptions for Level 2 short-term bonds are the same as the methods and assumptions used to value long-term bonds as discussed in Note 20.A.4.

D. Not applicable.

21. Other Items.

A. Extraordinary Items.

None.

B. Troubled Debt Restructuring Debtors.

None.

C. Other Disclosures.

Assets in the amount of \$3,498,169 and \$3,315,582 at September 30, 2016 and December 31, 2015, respectively, were on deposit with government authorities or trustees as required by law.

D. Business Interruption Insurance Recoveries.

None.

E. State Transferable and Non-transferable Tax Credits.

None.

F. Subprime Mortgage Related Risk Exposure

(1) The Company defines our exposure to subprime mortgage related risk as any mortgage backed security that contains underlying mortgages designated as subprime. We reviewed all our residential mortgage backed pools and collateralized mortgage obligations for any such risk. Since our direct exposure through investments in subprime mortgage related risk is nil and our direct exposure through "other" investments is immaterial, we have not had the need to mitigate that risk exposure.

(2) Direct Exposure through investment in subprime mortgage loans.

Not applicable.

(3) Direct Exposure through other investments.

Not applicable.

NOTES TO FINANCIAL STATEMENTS

(4) Underwriting exposure to subprime mortgage risk through Mortgage Guaranty or Financial Guaranty insurance coverage.
Not applicable.

G. Offsetting and Netting of Assets and Liabilities.
None.

22. Events Subsequent.

None.

23. Reinsurance

- A. Unsecured Reinsurance Recoverable
None.
- B. Reinsurance Recoverable in Dispute.
None.
- C. Reinsurance Assumed and Ceded

	Assumed Reinsurance		Ceded Reinsurance		Net	
	(1) Premium Reserve	(2) Commission Equity	(3) Premium Reserve	(4) Commission Equity	(5) Premium Reserve	(6) Commission Equity
a.Affiliates	116,741,961	34,112,001	107,493,741	32,549,105	9,248,220	1,562,896
b.All Other	0	0	0	0	0	0
c.Total	116,741,961	34,112,001	107,493,741	32,549,105	9,248,220	1,562,896
d.Direct Unearned Premium Reserve						139,195,963

- D. Uncollectible Reinsurance.
None.
- E. Commutation of Ceded Reinsurance
None.
- F. Retroactive Reinsurance
None.
- G. Reinsurance Accounted for as a Deposit.
None.
- H. Transfer of Property and Casualty Run-Off Agreements.
None.
- I. Certified Reinsurer Rating Downgraded or Status Subject to Revocation.
None.

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination.

None.

25. Change in Incurred Losses and Loss Adjustment Expenses

Loss & lae reserves as of December 31, 2015 were \$77,216,000. As of September 30, 2016, \$56,718,000 has been paid for incurred loss & lae expenses attributable to insured events or prior years. Reserves remaining for prior years are now \$29,661,000 as a result of re-estimation of unpaid claims and lae expenses. Therefore, there has been a \$9,163,000 unfavorable prior year development from 12/31/2015 to 09/30/2016 principally on liability lines of business.

The increase in IBNR reserves is primarily related to increased frequency of reported liability claims and changing to a more conservative reserving approach to reduce the likelihood of future unfavorable loss development. The change in reserving approach impacted reserves unfavorably by approximately \$10,539,000 for the 2015 accident year. Reserves developed favorably by approximately \$1,376,000 for all other accident years.

The development is generally the result of an ongoing analysis of recent loss development trends and are increased or decreased as additional information becomes known regarding individual claims. The Company has no retrospectively rated policies that are subject to premium adjustments.

26. Intercompany Pooling Arrangements

Effective January 1, 2010, the Company entered into a reinsurance pooling agreement with PGAC of Ohio (NAIC company code - 22906) and The General Automobile Insurance Company, Inc. (GAIC) (NAIC company code - 13703), both of which are affiliated property and casualty insurance companies domiciled in Ohio. The business includes private passenger auto liability & auto physical damage with PGAC receiving 58%, PGAC-Ohio receiving 25%, & GAIC receiving 17%. This Agreement applies only to that portion of any insurance or reinsurance which the parties hereto retain net for their own account and in calculating the amount of any loss hereunder, only loss or losses in respect of that portion of any insurance or reinsurance which the parties hereto retain net for their own account shall be included. The Company has a net receivable balance of \$688,036 at 09/30/2016.

27. Structured Settlements

None.

28. Health Care Receivables

None.

29. Participating Policies

None.

30. Premium Deficiency Reserves

1. Liability carried for premium deficiency reserves.	\$0
2. Date of the most recent evaluation of this liability.	09/30/2016
3. Was anticipated investment income utilized in the calculation?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

31. High Deductibles

None.

NOTES TO FINANCIAL STATEMENTS

- 32. **Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses**
None.
- 33. **Asbestos/Environmental Reserves**
None.
- 34. **Subscriber Savings Accounts**
None.
- 35. **Multi Peril Crop Insurance**
None.
- 36. **Financial Guaranty Insurance**
None.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION
GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]

2.2 If yes, date of change: _____

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
 If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A []
 If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2014

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2014

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 08/17/2015

6.4 By what department or departments?
 Ohio Department of Insurance

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No [X]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION
GENERAL INTERROGATORIES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [] No []
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 (c) Compliance with applicable governmental laws, rules and regulations;
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [] No []

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No []

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No []
 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No []
 11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0
 13. Amount of real estate and mortgages held in short-term investments: \$ 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [] No []
 14.2 If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0	\$ 0
14.22 Preferred Stock	\$ 0	\$ 0
14.23 Common Stock	\$ 1,373,728	\$ 1,855,361
14.24 Short-Term Investments	\$ 0	\$ 0
14.25 Mortgage Loans on Real Estate	\$ 0	\$ 0
14.26 All Other	\$ 0	\$ 0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 1,373,728	\$ 1,855,361
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ 0	\$ 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No []
 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No []
 If no, attach a description with this statement.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION
GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.	\$ 0
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.	\$ 0
16.3 Total payable for securities lending reported on the liability page.	\$ 0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [] No []

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
U.S. Bank	777 E. Wisconsin Ave., Milwaukee, Wi. 53202

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No []

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
38642	Blackrock Investments, Inc.	40 East 52nd Street, New York, N.Y.

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [] No []

18.2 If no, list exceptions:

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION
GENERAL INTERROGATORIES

PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [] No [X] N/A []
 If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [] No [X]
 If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [] No [X]

3.2 If yes, give full and complete information thereto.

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves") discounted at a rate of interest greater than zero? Yes [] No [X]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Discount Rate	TOTAL DISCOUNT			DISCOUNT TAKEN DURING PERIOD			10 IBNR	11 TOTAL
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE		
TOTAL			0	0	0	0	0	0	0	0

5. Operating Percentages:

5.1 A&H loss percent 0.000 %

5.2 A&H cost containment percent 0.000 %

5.3 A&H expense percent excluding cost containment expenses 0.000 %

6.1 Do you act as a custodian for health savings accounts? Yes [] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date \$.....

6.3 Do you act as an administrator for health savings accounts? Yes [] No [X]

6.4 If yes, please provide the balance of the funds administered as of the reporting date \$.....

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama	AL	8,783,610	5,402,755	3,277,613	2,286,956	2,260,743	1,806,352
2. Alaska	AK	274,057	46,774	160,708	0	148,440	2,112
3. Arizona	AZ	16,592,332	13,485,494	9,060,672	6,161,307	6,334,681	4,497,449
4. Arkansas	AR	2,983,540	2,284,514	1,182,057	656,745	692,399	320,120
5. California	CA	40,956,656	35,792,661	21,227,352	19,514,940	15,826,824	12,835,264
6. Colorado	CO	6,654,099	13,026,386	7,642,930	7,739,431	6,000,040	4,965,449
7. Connecticut	CT	3,904,022	4,025,222	1,692,369	1,540,495	2,346,728	1,369,786
8. Delaware	DE	669,972	5,261	137,556	0	178,222	0
9. District of Columbia	DC	809,898	16,110	154,780	0	176,470	0
10. Florida	FL	31,269,539	31,974,675	19,921,098	13,791,548	12,931,555	9,939,823
11. Georgia	GA	2,784,090	2,831,513	2,132,826	1,801,888	1,108,807	1,768,514
12. Hawaii	HI	L					
13. Idaho	ID	L	602,220	401,388	196,726	54,709	143,471
14. Illinois	IL	L	8,049,095	5,673,242	3,485,231	1,583,956	3,074,451
15. Indiana	IN	L	14,941,116	10,238,561	6,901,425	4,361,866	5,754,991
16. Iowa	IA	L	1,153,160	1,364,596	621,765	477,841	371,658
17. Kansas	KS	L	2,408,781	2,195,312	1,185,665	547,809	855,661
18. Kentucky	KY	L	0	0	0	0	0
19. Louisiana	LA	L	4,591,255	4,932,227	3,388,213	2,617,486	2,038,985
20. Maine	ME	L	814,237	315,506	162,694	8,398	179,184
21. Maryland	MD	L					
22. Massachusetts	MA	L					
23. Michigan	MI	L					
24. Minnesota	MN	L	3,201,451	472,217	964,954	110,180	744,338
25. Mississippi	MS	L	1,284,391	2,157,224	1,152,868	1,232,124	918,592
26. Missouri	MO	L	8,510,741	5,985,206	4,844,599	2,259,599	3,415,176
27. Montana	MT	L	495,019	315,052	277,318	26,862	151,064
28. Nebraska	NE	L	1,713,071	1,233,294	694,512	299,599	629,874
29. Nevada	NV	L	8,891,674	7,145,490	4,837,111	3,429,348	4,488,805
30. New Hampshire	NH	L	138,314		14,860		49,135
31. New Jersey	NJ	L					
32. New Mexico	NM	L	156,004		3,097		9,864
33. New York	NY	L	1,497,283	892,243	846,274	1,106,490	1,335,227
34. North Carolina	NC	L					1,591,708
35. North Dakota	ND	L	297,112	198,432	143,209	19,684	75,649
36. Ohio	OH	L	1,436,202	3,181,483	1,571,368	1,709,998	810,457
37. Oklahoma	OK	L	9,927,076	9,356,104	6,181,307	3,421,706	3,820,824
38. Oregon	OR	L					2,311,129
39. Pennsylvania	PA	L	4,852,894	6,724,886	3,673,109	6,865,308	3,971,910
40. Rhode Island	RI	L	3,639,587	1,541,638	2,193,207	296,583	1,439,563
41. South Carolina	SC	L	1,237,722	1,918,522	1,419,544	1,477,902	1,080,664
42. South Dakota	SD	L	744,892	253,779	267,766	18,941	202,505
43. Tennessee	TN	L	15,775,149	16,232,771	9,379,624	8,286,516	7,731,376
44. Texas	TX	L	988,603	1,472,480	1,086,838	2,250,929	992,205
45. Utah	UT	L	2,052,411	1,276,606	750,176	495,415	892,414
46. Vermont	VT	L	313,187	36,702	60,420	0	98,117
47. Virginia	VA	L	1,981,257	2,921,084	1,895,337	3,023,939	1,643,521
48. Washington	WA	L	7,959,710	3,622,041	1,801,453	858,916	2,197,293
49. West Virginia	WV	L	2,206,316	1,985,753	1,124,374	403,050	1,168,581
50. Wisconsin	WI	L	4,947,960	3,538,550	2,051,646	1,597,410	1,965,343
51. Wyoming	WY	L					1,160,282
52. American Samoa	AS	N					
53. Guam	GU	N					
54. Puerto Rico	PR	N					
55. U.S. Virgin Islands	VI	N					
56. Northern Mariana Islands	MP	N					
57. Canada	CAN	N					
58. Aggregate Other Alien OT	XXX	0	0	0	0	0	0
59. Totals	(a) 51	232,489,705	206,473,754	129,766,651	102,335,874	100,255,807	75,029,878
DETAILS OF WRITE-INS		XXX					
58001.		XXX					
58002.		XXX					
58003.		XXX					
58998. Summary of remaining write-ins for Line 58 from overflow page		XXX	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)		XXX	0	0	0	0	0

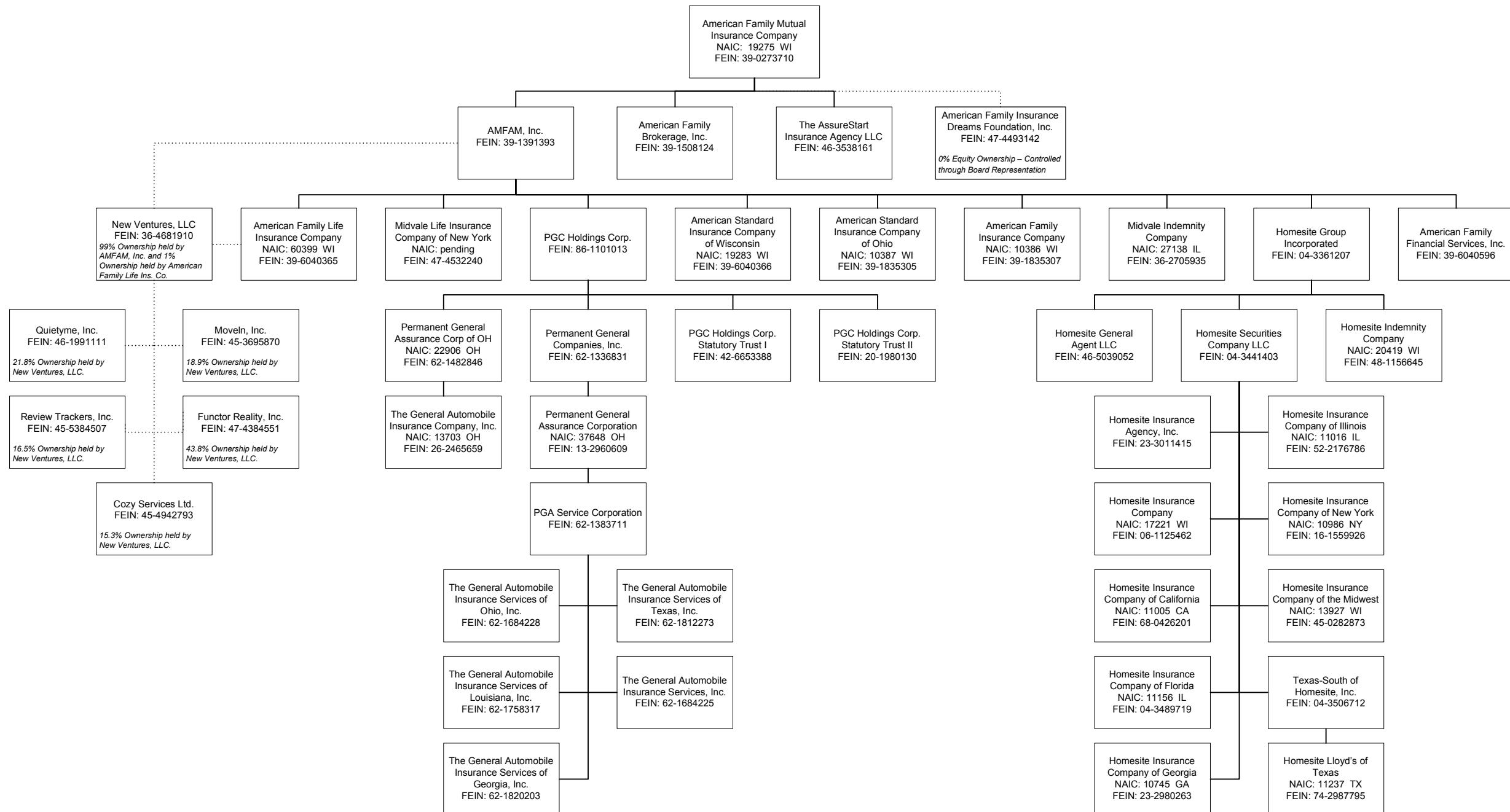
(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Owner-ship Provide Percent-age	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
0473	American Family Insurance Group	19275	39-0273710				American Family Mutual Insurance Company	WI	UIP	American Family Mutual Insurance Company - Board of Directors	Board of Directors	0.000	American Family Mutual Insurance Company - Board of Directors	
			39-1508124				American Family Brokerage, Inc.	WI	NIA	American Family Mutual Insurance Company	Ownership	100.000	American Family Mutual Insurance Company	
			39-1391393				AMFAM, Inc.	WI	UDP	American Family Mutual Insurance Company	Ownership	100.000	American Family Mutual Insurance Company	
			46-3538161				The AssureStart Insurance Agency, LLC	WI	NIA	American Family Mutual Insurance Company	Ownership	100.000	American Family Mutual Insurance Company	
0473	American Family Insurance Group	19283	39-6040366				American Standard Insurance Co. of WI	WI	IA	AMFAM, Inc.	Ownership	100.000	American Family Mutual Insurance Company	
0473	American Family Insurance Group	10386	39-1835307				American Family Insurance Company	WI	IA	AMFAM, Inc.	Ownership	100.000	American Family Mutual Insurance Company	
0473	American Family Insurance Group	10387	39-1835305				American Standard Insurance Co. of OH	WI	IA	AMFAM, Inc.	Ownership	100.000	American Family Mutual Insurance Company	
0473	American Family Insurance Group	60399	39-6040365				American Family Life Insurance Co.	WI	IA	AMFAM, Inc.	Ownership	100.000	American Family Mutual Insurance Company	
0473	American Family Insurance Group	27138	36-2705935				Midvale Indemnity Company	IL	IA	AMFAM, Inc.	Ownership	100.000	American Family Mutual Insurance Company	
			39-6040596				American Family Financial Services, Inc.	WI	NIA	AMFAM, Inc.	Ownership	100.000	American Family Mutual Insurance Company	
			36-4681910				New Ventures, LLC	WI	NIA	AMFAM, Inc.	Ownership	99.000	American Family Mutual Insurance Company	
			36-4681910				New Ventures, LLC	WI	NIA	American Family Life Insurance Co.	Ownership	1.000	American Family Mutual Insurance Company	
			86-1101013				PGC Holdings Corporation	DE	NIA	AMFAM, Inc.	Ownership	100.000	American Family Mutual Insurance Company	
			42-6653388				PGC Holdings Statutory Trust 1	DE	NIA	PGC Holdings Corporation	Ownership	100.000	American Family Mutual Insurance Company	
			20-1980130				PGC Holdings Statutory Trust 2	DE	NIA	PGC Holdings Corporation	Ownership	100.000	American Family Mutual Insurance Company	
0473	Permanent General Holdings	22906	62-1482846				PGAC of Ohio	OH	IA	PGC Holdings Corporation	Ownership	100.000	American Family Mutual Insurance Company	
0473	Permanent General Holdings	37648	13-2960609				Permanent General Assurance Corporation	OH	RE	Permanent General Companies, Inc.	Ownership	100.000	American Family Mutual Insurance Company	
			62-1336831				Permanent General Companies, Inc.	TN	NIA	PGC Holdings Corporation	Ownership	100.000	American Family Mutual Insurance Company	
			62-1383711				PGA Service Corporation	TN	NIA	Permanent General Assurance Corporation	Ownership	100.000	American Family Mutual Insurance Company	
			62-1684228				The General Auto Insurance Services of Ohio, Inc.	OH	NIA	PGA Service Corporation	Ownership	100.000	American Family Mutual Insurance Company	
			62-1684225				The General Auto Insurance Services of California, Inc.	CA	NIA	PGA Service Corporation	Ownership	100.000	American Family Mutual Insurance Company	
			62-1758317				The General Auto Insurance Services of Louisiana, Inc.	LA	NIA	PGA Service Corporation	Ownership	100.000	American Family Mutual Insurance Company	
0473	Permanent General Holdings	13703	26-2465659				The General Automobile Insurance Company, Inc.	OH	IA	PGAC of Ohio	Ownership	100.000	American Family Mutual Insurance Company	
			62-1820203				The General Auto Insurance Services of Georgia, Inc.	GA	NIA	PGA Service Corporation	Ownership	100.000	American Family Mutual Insurance Company	
			62-1812273				The General Auto Insurance Services of Texas, Inc.	TX	NIA	PGA Service Corporation	Ownership	100.000	American Family Mutual Insurance Company	

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
			04-3361207				Homesite Group Incorporated	DE	NIA	AMFAM, Inc.	Ownership	.100.000	American Family Mutual Insurance Company	
			04-3441403				Homesite Securities Company LLC	DE	NIA	Homesite Group Incorporated	Ownership	.100.000	American Family Mutual Insurance Company	
0473	American Family Insurance Group	13927	45-0282873				Homesite Insurance Company of the Midwest	WI	IA	Homesite Securities Company LLC	Ownership	.100.000	American Family Mutual Insurance Company	
0473	American Family Insurance Group	17221	06-1125462				Homesite Insurance Company	WI	IA	Homesite Securities Company LLC	Ownership	.100.000	American Family Mutual Insurance Company	
0473	American Family Insurance Group	20419	48-1156645				Homesite Indemnity Company	WI	IA	Homesite Group Incorporated	Ownership	.100.000	American Family Mutual Insurance Company	
0473	American Family Insurance Group	11005	68-0426201				Homesite Insurance Company of California	CA	IA	Homesite Securities Company LLC	Ownership	.100.000	American Family Mutual Insurance Company	
0473	American Family Insurance Group	10986	16-1559926				Homesite Insurance Company of New York	NY	IA	Homesite Securities Company LLC	Ownership	.100.000	American Family Mutual Insurance Company	
0473	American Family Insurance Group	10745	23-2980263				Homesite Insurance Company of Georgia	GA	IA	Homesite Securities Company LLC	Ownership	.100.000	American Family Mutual Insurance Company	
0473	American Family Insurance Group	11016	52-2176786				Homesite Insurance Company of Illinois	IL	IA	Homesite Securities Company LLC	Ownership	.100.000	American Family Mutual Insurance Company	
0473	American Family Insurance Group	11156	04-3489719				Homesite Insurance Company of Florida	IL	IA	Homesite Securities Company LLC	Ownership	.100.000	American Family Mutual Insurance Company	
0473	American Family Insurance Group	11237	74-2987795				Homesite Lloyd's of Texas	TX	IA	Texas-South of Homesite, Inc.	Attorney-In-Fact	.0.000	American Family Mutual Insurance Company	
			23-3011415				Homesite Insurance Agency, Inc.	MA	NIA	Homesite Securities Company LLC	Ownership	.100.000	American Family Mutual Insurance Company	
			04-3506712				Texas-South of Homesite, Inc.	TX	NIA	Homesite Securities Company LLC	Ownership	.100.000	American Family Mutual Insurance Company	
			46-5039052				Homesite General Agent LLC	DE	NIA	Homesite Group Incorporated	Ownership	.100.000	American Family Mutual Insurance Company	
			47-4532240				Midvale Life Insurance Company of New York	NY	IA	AMFAM, Inc.	Ownership	.100.000	American Family Mutual Insurance Company	
			45-3695870				MoveIn, Inc.	WI	OTH	New Ventures, LLC	Ownership	.18.900	MoveIn, Inc.	.0000001
			46-1991111				Quietyme, Inc.	WI	OTH	New Ventures, LLC	Ownership	.21.800	Quietyme, Inc.	.0000001
			47-4493142				American Family Insurance Dreams Foundation, Inc.	WI	OTH	American Family Mutual Insurance Company	Board of Directors	.0.000	American Family Insurance Dreams Foundation, Inc.	.0000002
			45-5384507				Review Trackers, Inc.	DE	OTH	New Ventures, LLC	Ownership	.16.500	Review Trackers, Inc.	.0000001
			47-4384551				Functor Reality, Inc.	DE	OTH	New Ventures, LLC	Ownership	.43.800	Functor Reality, Inc.	.0000001
			45-4942793				Cozy Services Ltd.	DE	OTH	New Ventures, LLC	Ownership	.15.300	Cozy Services Ltd.	.0000001

Asterisk	Explanation
0000001	Investments held by New Ventures, LLC where a controlling interest is presumed to exist due to a greater than 10% ownership interest
0000002	501(c)(3) organization with greater than 50% board of director control

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION
PART 1 - LOSS EXPERIENCE

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire			0.0	0.0
2. Allied Lines			0.0	0.0
3. Farmowners multiple peril			0.0	0.0
4. Homeowners multiple peril			0.0	0.0
5. Commercial multiple peril			0.0	0.0
6. Mortgage guaranty			0.0	0.0
8. Ocean marine			0.0	0.0
9. Inland marine			0.0	0.0
10. Financial guaranty			0.0	0.0
11.1 Medical professional liability - occurrence			0.0	0.0
11.2 Medical professional liability - claims-made			0.0	0.0
12. Earthquake			0.0	0.0
13. Group accident and health			0.0	0.0
14. Credit accident and health			0.0	0.0
15. Other accident and health			0.0	0.0
16. Workers' compensation			0.0	0.0
17.1 Other liability - occurrence			0.0	0.0
17.2 Other liability - claims-made			0.0	0.0
17.3 Excess workers' compensation			0.0	0.0
18.1 Products liability - occurrence			0.0	0.0
18.2 Products liability - claims-made			0.0	0.0
19.1,19.2 Private passenger auto liability	154,707,456	117,969,191	76.3	64.2
19.3,19.4 Commercial auto liability			0.0	0.0
21. Auto physical damage	56,159,393	35,678,809	63.5	54.8
22. Aircraft (all perils)			0.0	0.0
23. Fidelity			0.0	0.0
24. Surety			0.0	0.0
26. Burglary and theft			0.0	0.0
27. Boiler and machinery			0.0	0.0
28. Credit			0.0	0.0
29. International			0.0	0.0
30. Warranty			0.0	0.0
31. Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business	0	0	0.0	0.0
35. Totals	210,866,849	153,648,000	72.9	61.8
DETAILS OF WRITE-INS				
3401.				
3402.				
3403.				
3498. Summary of remaining write-ins for Line 34 from overflow page	0	0	0.0	0.0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	0	0	0.0	0.0

PART 2 - DIRECT PREMIUMS WRITTEN

Line of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1. Fire	0		
2. Allied Lines	0		
3. Farmowners multiple peril	0		
4. Homeowners multiple peril	0		
5. Commercial multiple peril	0		
6. Mortgage guaranty	0		
8. Ocean marine	0		
9. Inland marine	0		
10. Financial guaranty	0		
11.1 Medical professional liability - occurrence	0		
11.2 Medical professional liability - claims-made	0		
12. Earthquake	0		
13. Group accident and health	0		
14. Credit accident and health	0		
15. Other accident and health	0		
16. Workers' compensation	0		
17.1 Other liability - occurrence	0		
17.2 Other liability - claims-made	0		
17.3 Excess workers' compensation	0		
18.1 Products liability - occurrence	0		
18.2 Products liability - claims-made	0		
19.1,19.2 Private passenger auto liability	58,510,813	169,290,689	153,214,538
19.3,19.4 Commercial auto liability	0		
21. Auto physical damage	22,671,072	63,199,016	53,259,216
22. Aircraft (all perils)	0		
23. Fidelity	0		
24. Surety	0		
26. Burglary and theft	0		
27. Boiler and machinery	0		
28. Credit	0		
29. International	0		
30. Warranty	0		
31. Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business	0	0	0
35. Totals	81,181,885	232,489,705	206,473,754
DETAILS OF WRITE-INS			
3401.			
3402.			
3403.			
3498. Summary of remaining write-ins for Line 34 from overflow page	0	0	0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

Years in Which Losses Occurred	1 Prior Year-End Known Case Loss and LAE Reserves	2 Prior Year-End IBNR Loss and LAE Reserves	3 Total Prior Year-End Loss and LAE Reserves (Cols. 1+2)	4 2016 Loss and LAE Payments on Claims Reported as of Prior Year-End	5 2016 Loss and LAE Payments on Claims Unreported as of Prior Year-End	6 Total 2016 Loss and LAE Payments (Cols. 4+5)	7 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	8 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	9 Q.S. Date IBNR Loss and LAE Reserves	10 Total Q.S. Loss and LAE Reserves (Cols. 7+8+9)	11 Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4+7 minus Col. 1)	12 Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2)	13 Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12)	
1. 2013 + Prior	4,222	2,174	6,396	2,882	29	2,911	1,982	20	276	2,278	.642	(1,849)	(1,207)	
2. 2014	8,339	2,674	11,013	5,926	60	5,986	3,877	39	942	4,858	1,464	(1,633)	(169)	
3. Subtotals 2014 + Prior	12,561	4,848	17,409	8,808	89	8,897	5,859	59	1,218	7,136	2,106	(3,482)	(1,376)	
4. 2015	40,497	19,310	59,807	43,517	4,304	47,821	15,477	1,530	5,518	22,525	18,497	(7,958)	10,539	
5. Subtotals 2015 + Prior	53,058	24,158	77,216	52,325	4,393	56,718	21,336	1,589	6,736	29,661	20,603	(11,440)	9,163	
6. 2016	XXX	XXX	XXX	XXX	92,528	92,528	XXX	49,914	30,457	80,371	XXX	XXX	XXX	
7. Totals	53,058	24,158	77,216	52,325	96,921	149,246	21,336	51,503	37,193	110,032	20,603	(11,440)	9,163	
8. Prior Year-End Surplus As Regards Policyholders		112,691										Col. 11, Line 7 As % of Col. 1 Line 7	Col. 12, Line 7 As % of Col. 2 Line 7	Col. 13, Line 7 As % of Col. 3 Line 7
												1. 38.8	2. (47.4)	3. 11.9
														4. 8.1

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION
SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

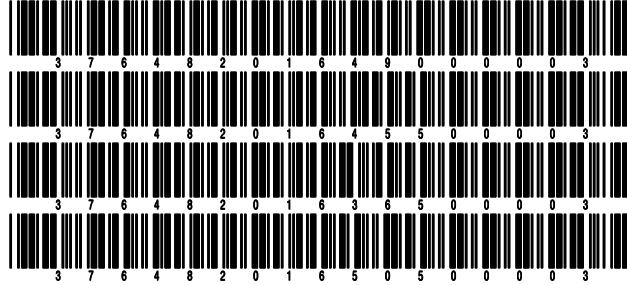
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanations:

- 1.
- 2.
- 3.
- 4.

Bar Codes:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Supplement A to Schedule T [Document Identifier 455]
3. Medicare Part D Coverage Supplement [Document Identifier 365]
4. Director and Officer Supplement [Document Identifier 505]



STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION
OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Statement of Income Line 14

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
1404. SUBROGATION FEES	13,182	22,533	27,431
1405. LEGAL DEFENSE FEES			0
1497. Summary of remaining write-ins for Line 14 from overflow page	13,182	22,533	27,431

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4+5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

NONE**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest point and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	178,413,600	148,375,423
2. Cost of bonds and stocks acquired	215,330,770	261,566,465
3. Accrual of discount	54,318	48,320
4. Unrealized valuation increase (decrease)	478,491	248,634
5. Total gain (loss) on disposals	1,334,949	4,639,966
6. Deduct consideration for bonds and stocks disposed of	187,449,800	235,517,115
7. Deduct amortization of premium	892,026	948,093
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7+8-9)	207,270,302	178,413,600
11. Deduct total nonadmitted amounts	1,855,361	1,373,728
12. Statement value at end of current period (Line 10 minus Line 11)	205,414,941	177,039,872

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	149,813,767	74,739,547	111,195,154	(1,451,655)	137,161,256	149,813,767	111,906,505	124,560,021
2. NAIC 2 (a)	63,005,518	52,418,453	14,542,735	2,098,370	57,304,986	63,005,518	102,979,606	63,273,665
3. NAIC 3 (a)	0	1,157,536	0	(960,738)	154,960	0	196,798	
4. NAIC 4 (a)	0				0	0	0	
5. NAIC 5 (a)	0				0	0	0	
6. NAIC 6 (a)	0				0	0	0	
7. Total Bonds	212,819,285	128,315,536	125,737,889	(314,023)	194,621,202	212,819,285	215,082,909	187,833,686
PREFERRED STOCK								
8. NAIC 1	0				0	0	0	0
9. NAIC 2	0				0	0	0	0
10. NAIC 3	0				0	0	0	0
11. NAIC 4	0				0	0	0	0
12. NAIC 5	0				0	0	0	0
13. NAIC 6	0				0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	212,819,285	128,315,536	125,737,889	(314,023)	194,621,202	212,819,285	215,082,909	187,833,686

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ 9,426,275 ; NAIC 2 \$ 0 ; NAIC 3 \$ 0 ;

NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals	9,667,968	XXX	9,675,966	7,321	0

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	10,793,814	2,279,575
2. Cost of short-term investments acquired	103,754,379	148,804,047
3. Accrual of discount	9,905	55
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		(908)
6. Deduct consideration received on disposals	104,882,051	140,285,244
7. Deduct amortization of premium	8,079	3,711
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	9,667,968	10,793,814
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	9,667,968	10,793,814

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards
N O N E

Schedule DB - Part B - Verification - Futures Contracts
N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open
N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open
N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives
N O N E

Schedule E - Verification - Cash Equivalents
N O N E

Schedule A - Part 2 - Real Estate Acquired and Additions Made
N O N E

Schedule A - Part 3 - Real Estate Disposed
N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made
N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid
N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made
N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid
N O N E

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Desig- nation or Market Indicator (a)
912828-2A-7	UNITED STATES TREASURY SENIOR GOVT BND 1.500% 08/15/26		.09/30/2016	Goldman Sachs	200,580	202,000		.403	1...
912828-05-6	UNITED STATES TREASURY 2.375% 08/15/24 2.375% 08/15/24		.08/19/2016	Bank of America	1,129,770	1,060,000		.479	1...
912828-R3-6	UNITED STATES TREASURY SENIOR GOVT BND 1.625% 05/15/26		.08/10/2016	Various	6,324,337	6,209,000		.14,404	1...
0599999. Subtotal - Bonds - U.S. Governments						7,654,687	7,471,000	15,286	XXX
145591-AS-2	CARROLLTON EXEMPTED VILLAGE SC MUNI BND GO 4.000% 12/01/32		.07/07/2016	Stifel Nicolaus		170,766	.150,000	.0	1FE
145591-AT-0	CARROLLTON EXEMPTED VILLAGE SC MUNI BND GO 4.000% 12/01/33		.07/07/2016	Stifel Nicolaus		221,198	.195,000	.0	1FE
213187-CC-9	COOK CTY ILL CMVY COLLEGE DI MUNI BND GO 5.250% 12/01/27		.07/08/2016	Hutchinson,Shockey Erley & Co		118,803	.100,000	.613	1FE
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						510,767	445,000	613	XXX
167593-RD-6	CHICAGO ILL O HARE INT'L ARPT MUNI BND REV 5.000% 01/01/27		.08/30/2016	The Williams Capital Group	289,250	.235,000		.1,991	1FE
270424-BY-9	EAST ALDINE MGMT DIST TEX SALE MUNI BND REV 4.000% 02/15/23		.07/01/2016	Stifel Nicolaus	240,364	.210,000		.0	1FE
270424-BZ-6	EAST ALDINE MGMT DIST TEX SALE MUNI BND REV 4.000% 02/15/24		.07/01/2016	Stifel Nicolaus	57,654	.50,000		.0	1FE
283822-MN-1	EL PASO TEX MUNI BND REV 4.000% 03/01/22		.07/20/2016	Hutchinson,Shockey Erley & Co	57,365	.50,000		.0	1FE
407854-S4-9	HAMILTON SOUTHEASTERN IND CONS MUNI BND REV 5.000% 07/15/32		.09/22/2016	Stifel Nicolaus	146,208	.120,000		.1,200	1FE
45204E-JY-5	ILLINOIS FIN MUNI BND REV 5.000% 01/01/22		.09/14/2016	Hutchinson,Shockey Erley & Co	29,638	.25,000		.24	1FE
65956N-EP-7	NORTH HARRIS CTY REG WTR AUT MUNI BND REV 5.000% 12/15/27		.07/06/2016	Hutchinson,Shockey Erley & Co	56,213	.45,000		.163	1FE
696560-KU-9	PALM BEACH CTY FLA SOLID WAST MUNI BND REV/Prfn 10.1,98100 5.250% 10/01/21		.09/14/2016	Tax Free Exchange	27,980	.25,000		.0	1FE
696560-KU-4	PALM BEACH CTY FLA SOLID WAST MUNI BND REV 5.250% 10/01/21		.09/14/2016	Tax Free Exchange	89,535	.80,000		.0	1FE
709221-UH-6	PENNSYLVANIA ST TURNPIKE COMM MUNI BND REV 5.000% 12/01/30		.09/29/2016	Raymond James & Assoc Inc	187,887	.150,000		.563	1FE
836193-CX-8	SOUTH ADAMS IND SCH BLDG CORP MUNI BND REV 5.000% 07/15/28		.08/02/2016	Stifel Nicolaus	156,841	.125,000		.0	1FE
836193-CZ-3	SOUTH ADAMS IND SCH BLDG CORP MUNI BND REV 5.000% 07/15/29		.08/02/2016	Stifel Nicolaus	99,813	.80,000		.0	1FE
3199999. Subtotal - Bonds - U.S. Special Revenues						1,438,748	1,195,000	3,941	XXX
00206R-CT-7	AT&T INC SENIOR CORP BND 4.125% 02/17/26		.07/19/2016	Various		1,564,086	1,425,000	.25,661	2FE
00287Y-AL-3	ABBYIE INC SR NT 2.9%22 2.900% 11/06/26		.07/05/2016	Citi International	205,396	.200,000		.999	2FE
00440E-AT-4	ACE INA HOLDINGS INC SENIOR CORP BND 2.300% 11/03/20		.08/31/2016	Wells Fargo Securities	1,030,580	1,000,000		.7,858	1FE
00912X-AM-6	AIR LEASE CORPORATION SR NT 3.875%21 3.875% 04/01/21		.08/25/2016	Citi International	529,370	.500,000		.8,019	2FE
00912X-AT-1	AIR LEASE CORPORATION SENIOR CORP BND 3.000% 09/15/23		.08/08/2016	Bank of America	320,639	.325,000		.0	2FE
02209S-AU-7	ALTRIA GROUP INC SENIOR CORP BND 2.625% 09/16/26		.09/13/2016	Goldman Sachs	134,447	.135,000		.0	1FE
02665W-BF-7	AMERICAN HONDA FINANCE CORPORA SENIOR CORP BND 1.650% 07/12/21		.07/07/2016	BNP Paribas SA	839,723	.840,000		.0	1FE
03027X-AK-6	AMERICAN TOWER CORP SENIOR CORP BND 3.375% 10/15/26		.07/19/2016	JP Morgan	382,373	.375,000		.2,426	2FE
031162-BG-4	AMGEN INC SENIOR CORP BND 4.100% 06/15/21		.07/20/2016	Bank of America	716,190	.650,000		.2,961	2FE
031162-CH-1	AMGEN INC SENIOR CORP BND 2.250% 08/19/23		.08/10/2016	Citi International	2,104,704	.2,110,000		.0	2FE
032511-BJ-5	ANADARKO PETROLEUM CORP SR GLBL NT 24 3.450% 07/15/24		.09/22/2016	Barclays Bank PLC	321,240	.325,000		.2,243	2FE
035242-AL-0	ANHEUSER-BUSCH INBEV FINANCE I SENIOR CORP BND 3.300% 02/01/23		.07/05/2016	Various	902,392	.850,000		.12,700	2FE
035242-AP-1	ANHEUSER-BUSCH INBEV FINANCE I SENIOR CORP BND 3.350% 02/01/26		.09/30/2016	Various	255,764	.238,000		.1,544	1FE
037411-BD-6	APACHE CORP SR NT 2.625%23 2.625% 01/15/23		.07/12/2016	JP Morgan	656,951	.660,000		.0	2FE
04010L-AR-4	ARES CAPITAL CORPORATION SENIOR CORP BND 3.625% 01/19/22		.09/14/2016	Bank of America	1,240,506	.1,245,000		.0	2FE
06051G-FU-8	BANK OF AMERICA CORP SUB CORP BND 4.450% 03/03/28		.08/18/2016	Bank of America	568,077	.523,000		.10,990	2FE
06051G-FX-2	BANK OF AMERICA CORP SENIOR CORP BND 3.500% 04/19/26		.07/22/2016	Various	708,506	.680,000		.6,188	2FE
084664-CX-5	BERK HATH FIN SENIOR CORP BND 1.300% 08/15/19		.08/08/2016	Bank of America	959,069	.960,000		.0	1FE
092113-AM-1	BLACK HILLS CORP SENIOR CORP BND 3.150% 01/15/27		.08/10/2016	Bank of Nova Scotia	434,778	.435,000		.0	2...
14040H-BG-9	CAPITAL ONE FIN CORP SR NT 3.2%25 3.200% 02/05/25		.07/19/2016	Citi International	509,330	.500,000		.7,422	2FE
161175-AT-1	CHARTER COMMUNICATIONS OPERATI SECURED CORP BND 144A 4.908% 07/23/25		.07/19/2016	Various		1,655,962	1,490,000	.35,444	2FE
172967-KB-6	CITIGROUP INC SENIOR CORP BND 2.650% 10/26/20		.07/22/2016	Citi International	819,320	.800,000		.5,359	2FE
172967-KN-0	CITIGROUP INC SENIOR CORP BND 3.400% 05/01/26		.07/11/2016	Nomura Securities Internationa	238,745	.230,000		.1,564	2FE
172967-KU-4	CITIGROUP INC SUB CORP BND 4.125% 07/25/28		.07/18/2016	Citi International	423,687	.425,000		.0	2FE
172967-KX-8	CITIGROUP INC SENIOR CORP BND 2.287% 09/01/23		.08/19/2016	Citi International	966,935	.970,000		.0	2FE
174610-AN-5	CITIZENS FINANCIAL GROUP INC SENIOR CORP BND 2.375% 07/28/21		.07/25/2016	CSFB SUISSE GROUP	199,896	.200,000		.0	2FE
20030N-BN-0	COMCAST CORP SR NT 3.375%25 3.375% 08/15/25		.07/01/2016	Citi International	814,395	.750,000		.9,984	1FE
20030N-BW-0	COMCAST CORP SENIOR CORP BND 2.350% 01/15/27		.07/12/2016	Citi International	329,604	.330,000		.0	1FE
22822V-AC-5	CROWN CASTLE INTERNATIONAL COR SENIOR CORP BND 3.700% 06/15/26		.07/19/2016	Jeffries	574,624	.550,000		.4,214	2FE
22822V-AD-3	CROWN CASTLE INTERNATIONAL COR SENIOR CORP BND 2.250% 09/01/21		.08/22/2016	Citi International	204,943	.205,000		.0	2FE
233331-AY-3	DTE ENERGY COMPANY SENIOR CORP BND 2.850% 10/01/26		.08/29/2016	Wells Fargo Securities	664,315	.665,000		.0	2FE
25179M-AV-5	DEVON ENERGY CORPORATION SENIOR CORP BND 5.850% 12/15/25		.08/09/2016	Various	674,997	.600,000		.4,745	2FE
25272K-AD-5	DIAMOND 1 FINANCE CORPORATION/ SECURED CORP BND 144A 4.420% 06/15/21		.07/08/2016	SunTrust DTC 2095	907,953	.872,000		.4,497	2FE
25272K-AK-9	DIAMOND 1 FINANCE CORPORATION/ SENIOR CORP BND 144A 6.020% 06/15/26		.08/18/2016	Various	564,384	.525,000		.6,818	2FE
25466A-AJ-0	DISCOVER BANK SENIOR CORP BND 3.450% 07/27/26		.07/22/2016	RBC Capital Markets	250,210	.250,000		.0	2FE
25468P-DM-5	WALT DISNEY CO/ THE SENIOR CORP BND 1.850% 07/30/26		.07/07/2016	Bank of America	298,586	.305,000		.0	1FE
26441C-AN-5	DUKE ENERGY CORP SR NT 3.75%24 3.750% 04/15/24		.07/19/2016	BNP Paribas SA	870,744	.800,000		.8,083	2FE
26441C-AS-4	DUKE ENERGY CORP SENIOR CORP BND 2.650% 09/01/26		.08/09/2016	Barclays Bank PLC	408,737	.410,000		.0	2...
29273R-BG-3	ENERGY TRANSFER PARTNERS LP SR GLBL NT 26 4.750% 01/15/26		.07/13/2016	Various	671,513	.640,000		.6,385	2...
29336U-AF-4	ENLINK MIDSTREAM PARTNERS LP SENIOR CORP BND 4.850% 07/15/26		.07/11/2016	Bank of America	114,838	.115,000		.0	2...
29364G-AJ-2	ENERGY CORP SENIOR CORP BND 2.950% 09/01/26		.08/16/2016	Morgan Stanley	329,258	.330,000		.0	2FE

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Design- nation or Market Indicator (a)
29379V-BH-5	ENTERPRISE PRODUCTS OPERATING SENIOR CORP BND 3.700% 02/15/26		.07/05/2016	Citi International	.42,319	.40,000		.588	2FE
31620M-AR-7	FIDELITY NATIONAL INFORMATION SENIOR CORP BND 5.000% 10/15/25		.07/19/2016	JP Morgan	.574,640	.500,000		.6,736	2FE
31620M-AS-5	FIDELITY NATIONAL INFORMATION SENIOR CORP BND 2.250% 08/15/21		.08/11/2016	Citi International	.909,873	.910,000		.0	2FE
341081-FM-4	FLORIDA PINE LT CO SECURED CORP BND 3.125% 12/01/25		.07/05/2016	First Tennessee Bank	.217,386	.200,000		.642	1FE
345397-XY-4	FORD MOTOR CREDIT COMPANY LLC SENIOR CORP BND 2.021% 05/03/19		.07/22/2016	Bank of New York	.2,015,140	.2,000,000		.9,319	2FE
345397-YD-9	FORD MOTOR CREDIT COMPANY LLC SENIOR CORP BND 1.897% 08/12/19		.08/08/2016	RBC Capital Markets	.485,000	.485,000		.0	2FE
361448-AY-9	GATX CORP SENIOR CORP BND 3.250% 09/15/26		.09/08/2016	Citi International	.342,637	.345,000		.0	2FE
36962G-5J-9	GENERAL ELECTRIC CO NT 4.65% 21 4.650% 10/17/21		.07/12/2016	Robert W. Baird	.686,472	.600,000		.6,820	1FE
375558-BF-9	GILEAD SCIENCES INC SR NT 3.65% 26 3.650% 03/01/26		.07/05/2016	Wells Fargo Securities	.247,820	.225,000		.2,897	1FE
38141G-VR-2	GOLDMAN SACHS GROUP INC/THE SUB CORP BND 4.250% 10/21/25		.08/24/2016	Goldman Sachs	.100,705	.94,000		.1,420	2FE
38141G-VU-5	GOLDMAN SACHS GROUP INC/THE SENIOR CORP BND 2.625% 04/25/21		.07/20/2016	Barclays Bank PLC	.1,011,370	.1,000,000		.6,563	1FE
38143U-BH-7	GOLDMAN SACHS GROUP INC/THE SENIOR CORP BND 3.750% 02/25/26		.07/11/2016	Pierpont Securities LLC	.239,823	.225,000		.3,258	1FE
38145G-AG-5	GOLDMAN SACHS GROUP INC/THE SENIOR CORP BND 2.350% 11/15/21		.09/22/2016	Goldman Sachs	.489,495	.490,000		.0	2FE
42824C-AL-3	HEWLETT PACKARD ENTERPRISE CO SENIOR CORP BND 144A 4.400% 10/15/22		.07/20/2016	Cantor Fitzgerald	.539,270	.500,000		.6,111	2
44107T-AW-6	HOT HOTELS & RESORTS LP SENIOR CORP BND 4.500% 02/01/26		.08/30/2016	Liquidnet, Inc.	.534,753	.500,000		.1,750	2FE
46623E-KG-3	JPMORGAN CHASE & COMPANY SENIOR CORP BND 2.295% 08/15/21		.08/03/2016	JP Morgan	.905,000	.905,000		.0	1FE
46625H-NJ-5	JPMORGAN CHASE & COMPANY SUB CORP BND 4.250% 10/01/27		.07/19/2016	Citi International	.293,873	.275,000		.3,604	2FE
46625H-RS-1	JPMORGAN CHASE & COMPANY SENIOR CORP BND 3.200% 06/15/26		.07/19/2016	Various	.738,619	.715,000		.2,529	1FE
46625H-RV-4	JPMORGAN CHASE & COMPANY SENIOR CORP BND 2.950% 10/01/26		.07/14/2016	JP Morgan	.269,417	.270,000		.0	1
49456B-FA-8	KINDER MORGAN INC SR NT 4.3125 4.300% 06/01/25		.08/09/2016	Various	.627,715	.600,000		.4,372	2FE
50077L-AD-8	HEINZ H CO KRAFT HEINZ FOODS CO 3.000% 06/01/26		.08/22/2016	Tax Free Exchange	.194,164	.195,000		.0	2FE
50077L-AG-1	HEINZ H J CO KRAFT HEINZ FOODS CO 2.000% 07/02/18		.08/22/2016	Tax Free Exchange	.774,027	.775,000		.0	2FE
512807-AQ-1	LAM RSRCH CORP SENIOR CORP BND 3.450% 06/15/23		.07/11/2016	Various	.1,247,334	.1,200,000		.4,255	2FE
58013M-EJ-9	MCDONALDS CORPORATION NT 3.5% 20 3.500% 07/15/20		.07/21/2016	Liquidnet, Inc.	.51,395	.48,000		.51	2FE
58013M-EX-8	MCDONALDS CORPORATION SENIOR CORP BND 2.750% 12/09/20		.07/12/2016	US Bancorp Investments Inc	.1,149,929	.1,100,000		.3,025	2FE
585055-BS-4	MEDTRONIC INC SENIOR CORP BND 3.500% 03/15/25		.07/19/2016	Santander Investment Securities	.520,196	.475,000		.5,865	1FE
594918-BG-6	MICROSOFT CORP SENIOR CORP BND 2.000% 08/08/23		.08/01/2016	Bank of America	.1,819,543	.1,825,000		.0	1FE
61166W-AU-5	MONSANTO COMPANY SENIOR CORP BND 3.375% 07/15/24		.07/29/2016	Morgan Stanley	.364,448	.350,000		.591	1FE
617446-TX-1	MORGAN STANLEY SUB CORP BND 5.000% 11/24/25		.08/09/2016	Goldman Sachs	.390,436	.350,000		.3,792	2FE
61761J-3R-8	MORGAN STANLEY SENIOR CORP BND 3.125% 07/27/26		.07/20/2016	Morgan Stanley	.466,804	.470,000		.0	1FE
65339K-AJ-9	NEXTERA ENERGY CAPITAL HOLDING SENIOR CORP BND 1.649% 09/01/18		.08/25/2016	Citi International	.426,063	.425,000		.0	2FE
655044-AH-8	NOBLE ENERGY INC SENIOR CORP BND 3.900% 11/15/24		.07/05/2016	Barclays Bank PLC	.102,907	.100,000		.574	2
67066G-AD-6	NVIDIA CORP SENIOR CORP BND 2.200% 09/16/21		.09/13/2016	Goldman Sachs	.489,030	.490,000		.0	2FE
67066G-AE-4	NVIDIA CORP SENIOR CORP BND 3.200% 09/16/26		.09/13/2016	Goldman Sachs	.314,357	.315,000		.0	2FE
68389X-BM-6	ORACLE CORPORATION SENIOR CORP BND 2.650% 07/15/26		.07/19/2016	Bank of America	.352,370	.350,000		.386	1FE
724479-AK-6	PITNEY BOWES INC SENIOR CORP BND 3.375% 10/01/21		.09/15/2016	Bank of America	.623,275	.625,000		.0	2FE
747525-AF-0	QUALCOMM INC SR NT 3.45125 3.450% 05/20/25		.07/19/2016	Various	.430,866	.400,000		.2,353	1FE
80282K-AD-8	SANTANDER HOLDINGS USA INC SENIOR CORP BND 2.650% 04/17/20		.07/19/2016	JP Morgan	.750,638	.750,000		.5,245	2FE
80282K-AE-6	SANTANDER HOLDINGS USA INC SENIOR CORP BND 4.500% 07/17/25		.07/22/2016	Santander Investment Securities	.265,634	.255,000		.319	2FE
84756N-AD-1	SPECTRA ENERGY PARTNERS LC SR NT 4.75% 24 4.750% 03/15/24		.07/14/2016	Various	.157,134	.140,000		.2,277	2FE
863667-AN-1	STRYKER CORP SENIOR CORP BND 3.500% 03/15/26		.07/05/2016	Wells Fargo Securities	.214,694	.200,000		.2,294	1FE
86765B-AT-6	SUNCO LOGISTICS PARTNERS OPER SENIOR CORP BND 3.900% 07/15/26		.07/07/2016	Mizuho	.294,103	.295,000		.0	2FE
883556-BR-2	TERMO FISHER SENIOR CORP BND 2.950% 09/19/26		.09/14/2016	JP Morgan	.291,422	.295,000		.0	2FE
90265E-AL-4	UDR INC SENIOR CORP BND 2.950% 09/01/28		.08/16/2016	CSFB SUISSE GROUP	.485,000	.485,000		.0	2FE
907818-EA-2	UNITED PACIFIC CORPORATION SR NT 1.8% 20 1.800% 02/01/20		.07/05/2016	Millennium/Nyfix	.203,962	.200,000		.1,570	1FE
91159H-HN-3	US BANCORP SENIOR CORP BND 2.375% 07/22/26		.07/19/2016	US Bancorp Investments Inc	.650,205	.655,000		.0	1FE
92277G-AJ-6	VENTAS REALTY LP SENIOR CORP BND 3.250% 10/15/26		.09/14/2016	Citi International	.149,717	.150,000		.0	2FE
92343V-BM-5	VERIZON COMMUNICATIONS INC SENIOR CORP BND 2.606% 09/14/18		.08/23/2016	Citi International	.2,061,074	.2,000,000		.9,756	2FE
92343V-CR-3	VERIZON COMMUNICATIONS INC SR NT 3.5% 24 3.500% 11/01/24		.07/01/2016	SumTrust DTC 2095	.373,867	.350,000		.2,246	2FE
92343V-DD-3	VERIZON COMMUNICATIONS INC SENIOR CORP BND 2.625% 08/15/26		.07/27/2016	Bank of America	.324,171	.325,000		.0	2
92553P-AT-9	VIACOM INC SENIOR CORP BND 4.250% 09/01/23		.07/19/2016	Jeffries	.58,146	.55,000		.916	2FE
92553P-AX-0	VIACOM INC SR NT 3.875% 24 3.875% 04/01/24		.07/06/2016	JP Morgan	.10,309	.10,000		.108	2FE
931427-AN-8	WALGREENS BOOTS ALLIANCE INC SENIOR CORP BND 2.600% 06/01/21		.07/11/2016	JP Morgan	.1,023,480	.1,000,000		.3,106	2FE
931427-AQ-1	WALGREENS BOOTS ALLIANCE INC SENIOR CORP BND 3.450% 06/01/26		.07/08/2016	RBC Capital Markets	.420,852	.400,000		.1,610	2FE
949746-RW-3	WELLS FARGO & COMPANY SENIOR CORP BND 3.000% 04/22/26		.07/11/2016	Various	.482,089	.465,000		.3,178	1FE
949746-SA-0	WELLS FARGO & COMPANY SENIOR CORP BND 2.100% 07/26/21		.07/18/2016	Wells Fargo Securities	.899,154	.900,000		.0	1FE
949746-GF-1	WELLS FARGO & COMPANY SR NT 2.15% 20 2.150% 01/30/20		.07/05/2016	Stifel Nicolaus	.2,298,308	.2,250,000		.21,231	1FE
949746-GL-8	WELLS FARGO & COMPANY SUB CORP BND 4.300% 07/22/27		.08/29/2016	Morgan Stanley	.305,692	.275,000		.1,249	1FE
96950F-AJ-3	WILLIAMS PRTRNS SENIOR CORP BND 3.350% 08/15/22		.07/06/2016	Morgan Stanley	.614,863	.660,000		.8,967	2FE
96950F-AQ-7	WILLIAMS PRTRNS SR NT 3.9% 25 3.900% 01/15/25		.08/05/2016	Wells Fargo Securities	.168,124	.175,000		.474	2FE
067901-AQ-1	BARRICK GOLD CORP NT 4.1% 23 4.100% 05/01/23	A.	.07/12/2016	CSFB SUISSE GROUP	.160,707	.150,000		.1,264	2FE
448055-AK-9	HUSKY ENERGY INC. SR NT 4% 24 4.000% 04/15/24	A.	.07/25/2016	NATIONAL BANK FINANCIAL	.82,183	.80,000		.916	2FE

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Desig- nation or Market Indicator (a)
867224-AA-5	SUNCOR ENERGY INC SENIOR CORP BND 3.600% 12/01/24	A.....	.07/19/2016	Goldman Sachs	541,766	.510,000		.2,529	1FE.....
00507U-AS-0	ACTAVIS FUNDING SCS SR NT 3.8125 3.800% 03/15/25	F.....	.09/22/2016	Citi International	1,388,213	.1,315,000		.1,306	2FE.....
00772B-AF-8	AERCAP IRELAND CAPITAL LTD / A SENIOR CORP BND 4.500% 05/15/21	F.....	.07/29/2016	Goldman Sachs	964,125	.900,000		.8,775	2FE.....
00772B-AQ-4	AERCAP IRELAND CAPITAL LTD / A SENIOR CORP BND 4.625% 10/30/20	F.....	.08/11/2016	Various	957,596	.900,000		.12,163	2FE.....
02364W-BD-6	AMERICA MOVIL SAB DE CV SR NT 3.125% 07/16/22	F.....	.07/18/2016	Citi International	389,756	.375,000		.163	1FE.....
055451-AU-2	BHP BILLITON FINANCE (USA) LTD SR NT 3.85% 03/23 3.850% 09/30/23	F.....	.07/15/2016	Various	714,879	.650,000		.7,512	1FE.....
055650-DB-1	BP CAPITAL MARKETS PLC SENIOR CORP BND 3.119% 05/04/26	F.....	.08/05/2016	Goldman Sachs	179,664	.175,000		.1,456	1FE.....
05579T-5G-7	BNP PARIBAS SA SUB CORP BND 4.250% 10/15/24	F.....	.08/18/2016	BNP Paribas SA	365,487	.348,000		.5,259	2FE.....
06738E-AN-5	BARCLAYS PLC SENIOR CORP BND 4.375% 01/12/26	F.....	.07/13/2016	Barclays Bank PLC	625,268	.605,000		.441	2FE.....
06738E-AQ-8	BARCLAYS PLC SENIOR CORP BND 3.200% 08/10/21	F.....	.08/18/2016	Nomura Securities Internationa	880,912	.872,000		.1,008	2FE.....
21684A-AF-3	COOPERATIVE CENTRALE RAIFFEIS SUB CORP BND 3.750% 07/21/26	F.....	.07/29/2016	Barclays Bank PLC	527,951	.525,000		.656	2FE.....
225433-AD-3	CREDIT SUISSE GROUP FUNDING GU SENIOR CORP BND 2.750% 03/26/20	F.....	.07/19/2016	CSFB SUISSE GROUP	1,194,228	1,200,000		.10,633	2FE.....
36164Q-MS-4	GE CAPITAL INTL FUNDING 2.342% 11/15/20	F.....	.07/08/2016	Tax Free Exchange	817,921	.812,000		.0	1FE.....
404280-BB-4	HSBC HOLDINGS PLC SENIOR CORP BND 3.900% 05/25/26	F.....	.07/12/2016	Hong Kong and Shanghai Banking	1,244,976	.1,200,000		.6,500	1FE.....
53944V-AK-5	LLOYDS BANK PLC SR GLBL NT2.7120 2.700% 08/17/20	F.....	.07/29/2016	Santander Investment Securitie	874,540	.850,000		.10,583	1FE.....
53944Y-AB-9	LLOYDS TSB GRP PLC SUB CORP BND 4.650% 03/24/26	F.....	.08/18/2016	Citi International	362,978	.348,000		.6,698	2FE.....
62854A-AC-8	MYLAN NV SENIOR CORP BND 144A 3.150% 06/15/21	F.....	.07/19/2016	JP Morgan	1,808,590	.1,750,000		.6,584	2FE.....
780097-BD-2	ROYAL BANK OF SCOTLAND GROUP P SENIOR CORP BND 3.875% 09/12/23	F.....	.09/07/2016	Bank of America	199,940	.200,000		.0	3FE.....
80281L-AE-5	SANTANDER UK GROUP HOLDINGS PL SENIOR CORP BND 2.875% 08/05/21	F.....	.08/01/2016	Barclays Bank PLC	1,238,400	.1,240,000		.0	2FE.....
822582-BT-8	SHELL INTERNATIONAL FINANCE BV SENIOR CORP BND 2.875% 05/10/26	F.....	.07/13/2016	Mitsubishi UFJ Securities Inc	523,205	.505,000		.2,742	1.....
822582-BX-9	SHELL INTERNATIONAL FINANCE BV SENIOR CORP BND 2.500% 09/12/26	F.....	.09/07/2016	JP Morgan	395,940	.400,000		.0	1.....
82481L-AC-3	SHIRE ACQUISITIONS INVESTMENTS SENIOR CORP BND 2.875% 09/23/23	F.....	.09/19/2016	Barclays Bank PLC	1,549,799	.1,550,000		.0	2FE.....
84265V-AH-8	SOUTHERN COPPER SR GLBL NT 25 3.875% 04/23/25	R.....	.07/18/2016	UBS Financial Services, Inc.	51,250	.50,000		.474	2FE.....
85771P-AN-2	STATOIL ASA SENIOR CORP BND 3.700% 03/01/24	F.....	.07/29/2016	Wells Fargo Securities	380,769	.350,000		.5,468	1FE.....
88167A-AD-3	TEVA PHARMACEUTICAL FINANCE NE SENIOR CORP BND 2.800% 07/21/23	F.....	.07/18/2016	Barclays Bank PLC	548,163	.550,000		.0	2FE.....
90261X-GD-8	UBS AG (STAMFORD BRANCH) SR NT 4.875% 20 4.875% 08/04/20	R.....	.08/19/2016	CSFB SUISSE GROUP	977,364	.872,000		.2,362	1FE.....
90261X-HE-5	UBS AG (STAMFORD BRANCH) SENIOR CORP BND 2.375% 08/14/19	F.....	.08/23/2016	Bank of America	2,047,643	.2,000,000		.1,583	1FE.....
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						81,853,093	79,382,000	415,226	XXX
8399997. Total - Bonds - Part 3						91,457,295	88,493,000	435,066	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						91,457,295	88,493,000	435,066	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						0	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	XXX
9999999 - Totals						91,457,295	XXX	435,066	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain Value at Disposal Date	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation or Market In- dicator (a)
										11 Unrealized Valuation Increase/ Decrease)	12 Current Year's (Amor- tization)/ Accretion)	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value							
.912828-F0-8	UNITED STATES TREASURY 04.875% 08/15/16 4.875% 08/15/16		08/15/2016	Maturity		200,000	200,000	201,770	200,405	0	(405)	0	(405)	0	200,000	0	0	0	9,750	08/15/2016	1
.912828-K7-4	UNITED STATES TREASURY 2%08/15/25 2.000%		08/15/25	Citi International		740,813	710,000	692,717	692,780	0	872	0	872	0	693,652	0	47,161	47,161	12,991	08/15/2025	1
.912828-L9-9	UNITED STATES TREASURY SENIOR GOVT BND 1.375% 10/31/20		08/02/2016	Barclays Bank PLC		674,273	665,000	653,753	653,815	0	1,316	0	1,316	0	655,131	0	19,142	19,142	6,932	10/31/2020	1
.912828-M9-8	UNITED STATES TREASURY SENIOR GOVT BND 1.625% 11/30/20		08/02/2016	Citi International		4,097,474	4,000,000	4,071,725	0	0	(6,768)	0	(6,768)	0	4,064,957	0	32,516	32,516	42,967	11/30/2020	1
.912828-N3-0	UNITED STATES TREASURY SENIOR GOVT BND 2.125% 12/31/22		07/12/2016	Goldman Sachs		3,308,607	3,150,000	3,220,471	0	0	(4,645)	0	(4,645)	0	3,215,826	0	92,781	92,781	36,197	12/31/2022	1
.912828-N8-9	UNITED STATES TREASURY SENIOR GOVT BND 1.375% 01/31/21		07/20/2016	Goldman Sachs		1,010,975	1,000,000	1,003,974	0	0	(357)	0	(357)	0	1,003,618	0	7,357	7,357	6,648	01/31/2021	1
.912828-P4-6	UNITED STATES TREASURY SENIOR GOVT BND 1.625% 02/15/26		07/07/2016	Goldman Sachs		1,020,469	1,000,000	990,080	0	0	152	0	152	0	990,232	0	30,237	30,237	6,429	02/15/2026	1
.912828-04-5	UNITED STATES TREASURY SENIOR GOVT BND 0.875% 03/31/18		08/02/2016	Citi International		1,755,534	1,750,000	1,751,985	0	0	(337)	0	(337)	0	1,751,648	0	3,886	3,886	5,230	03/31/2018	1
.912828-07-8	UNITED STATES TREASURY SENIOR GOVT BND 1.375% 04/30/21		07/19/2016	Citi International		4,048,743	4,000,000	4,037,897	0	0	(1,464)	0	(1,464)	0	4,036,433	0	12,310	12,310	12,405	04/30/2021	1
.912828-R2-8	UNITED STATES TREASURY SENIOR GOVT BND 1.625% 04/30/23		07/12/2016	TD Securities LLC		3,064,688	3,000,000	3,015,240	0	0	(360)	0	(360)	0	3,014,881	0	49,807	49,807	9,935	04/30/2023	1
.912828-R3-6	UNITED STATES TREASURY SENIOR GOVT BND 1.625% 05/15/26		07/19/2016	Various		7,686,836	7,650,000	7,781,705	0	0	(534)	0	(534)	0	7,781,171	0	(94,335)	(94,335)	22,485	05/15/2026	1
.912828-R7-7	UNITED STATES TREASURY SENIOR GOVT BND 1.375% 05/31/21		07/08/2016	Various		8,810,328	8,650,000	8,757,520	0	0	(1,306)	0	(1,306)	0	8,756,215	0	54,114	54,114	12,873	05/31/2021	1
.912828-S2-7	UNITED STATES TREASURY GOVT BND 1.125% 06/30/21		07/20/2016	Inc		2,997,768	3,000,000	3,019,341	0	0	(257)	0	(257)	0	3,019,084	0	(21,316)	(21,316)	2,293	06/30/2021	1
0599999. Subtotal - Bonds - U.S. Governments					39,416,508	38,775,000	39,198,178	1,547,000	0	(14,093)	0	(14,093)	0	39,182,848	0	233,660	233,660	187,135	XXX	XXX	
.242015-GC-3	DE WITT FORD ETC CNTYS ILL CMN MUNI BND GO 3.000% 12/01/24		07/28/2016	Hutchinson,Shockey,Erley & Co		308,977	285,000	310,516	0	0	(554)	0	(554)	0	309,962	0	(985)	(985)	1,900	12/01/2024	1FE
.416415-KD-8	HARTFORD CONN MUNI BND GO 5.000% 04/01/21 OYSTER BAY N Y MUNI BND GO 4.000% 11/01/20		08/24/2016	Hutchinson,Shockey,Erley & Co		191,032	165,000	192,261	0	0	(1,583)	0	(1,583)	0	190,678	0	354	354	3,392	04/01/2021	1FE
.692160-JQ-8			08/25/2016	Hutchinson,Shockey,Erley & Co		214,592	195,000	218,242	0	0	(2,772)	0	(2,772)	0	215,470	0	(878)	(878)	6,478	11/01/2020	1FE
.854582-BR-3	STANLEY WIS MUNI BND GO 2.500% 03/01/18		09/28/2016	Stifel Nicolaus		288,215	285,000	290,275	0	0	(2,058)	0	(2,058)	0	288,217	0	(2)	(2)	4,196	03/01/2018	1Z
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions					1,002,816	930,000	1,011,294	0	0	(6,967)	0	(6,967)	0	1,004,327	0	(1,511)	(1,511)	15,966	XXX	XXX	
.3137EA-CW-7	FREDDIE MAC FR 2%082516 2.000% 08/25/16		08/25/2016	Maturity		1,050,000	1,050,000	1,084,362	1,057,895	0	(7,895)	0	(7,895)	0	1,050,000	0	0	0	21,000	08/25/2016	1
.650014-IV-1	REV/PRFRD 5.000% 04/01/19		09/27/2016	Stifel Nicolaus		80,747	75,000	82,559	0	0	(1,542)	0	(1,542)	0	81,017	0	(270)	(270)	3,740	04/01/2019	1Z
.696560-HK-0	PALM BEACH CNTY FLA SOLID WAST MUNI BND REV 5.250% 10/01/21		09/14/2016	Tax Free Exchange		117,515	105,000	120,270	0	0	(2,755)	0	(2,755)	0	117,515	0	0	0	2,756	10/01/2021	1FE
3199999. Subtotal - Bonds - U.S. Special Revenues					1,248,262	1,230,000	1,287,191	1,057,895	0	(12,192)	0	(12,192)	0	1,248,532	0	(270)	(270)	27,496	XXX	XXX	
.001084-AQ-5	AGCO CORPORATION SR NT 5.875%21 5.875%		08/01/2016	BNP Paribas SA		1,074,822	985,000	1,045,911	1,030,973	0	(4,218)	0	(4,218)	0	1,026,755	0	48,067	48,067	39,061	12/01/2021	2FE
.00206R-C7-7	AT&T INC SENIOR CORP BND 4.125% 02/17/26		09/14/2016	Various		915,338	848,000	935,056	0	0	(872)	0	(872)	0	934,184	0	(18,846)	(18,846)	18,740	02/17/2026	2FE
.00287Y-AL-3	ABBVIE INC SR NT 2.9%22 2.900% 11/06/22		09/09/2016	Bank of America		1,047,685	1,023,000	1,041,612	80,091	0	(955)	0	(955)	0	1,040,647	0	7,038	7,038	22,482	11/06/2022	2FE
.00287Y-AY-5	ABBVIE INC SENIOR CORP BND 3.200% 05/14/26		09/14/2016	Bank of America		718,188	710,000	707,288	0	0	.81	0	.81	0	707,368	0	10,820	10,820	7,864	05/14/2026	2FE
.023135-AN-6	AMAZON.COM INC SR BD 3.8%24 3.800% 12/05/24		08/11/2016	First Tennessee Bank		219,210	196,000	212,078	0	0	(680)	0	(680)	0	211,398	0	7,813	7,813	5,193	12/05/2024	1FE
.026874-DG-9	AMERICAN INTERNATIONAL GROUP I SENIOR CORP BND 3.300% 03/01/21		07/06/2016	Barclays Bank PLC		689,522	660,000	659,393	0	0	.43	0	.43	0	659,436	0	30,086	30,086	8,168	03/01/2021	2FE
.032511-BM-8	ANADARKO PETROLEUM CORP SENIOR CORP BND 4.850% 03/15/21		09/14/2016	Goldman Sachs		165,816	155,000	154,960	0	0	.4	0	.4	0	154,963	0	10,853	10,853	3,801	03/15/2021	2FE
.032511-BN-6	ANADARKO PETROLEUM CORP SENIOR CORP BND 5.550% 03/15/26		09/14/2016	Goldman Sachs		83,108	75,000	82,682	0	0	(171)	0	(171)	0	82,510	0	.598	.598	2,104	03/15/2026	2FE
.037833-BY-5	APPLE INC SENIOR CORP BND 3.250% 02/23/26		08/16/2016	Wells Fargo Securities		534,640	500,000	514,915	0	0	(535)	0	(535)	0	514,380	0	20,260	20,260	7,944	02/23/2026	1FE

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain Value at Disposal Date	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation or Market In- dicator (a)
										11 Unrealized Valuation Increase/ Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value							
.04010L-AR-4	ARES CAPITAL CORPORATION SENIOR CORP BND 3.625% 01/19/22		09/22/2016	Various		437,285	435,000	433,430	0	0	4	0	4	0	433,433	0	3,852	3,852	.256	01/19/2022	2
.06406F-AB-9	BANK OF NEW YORK MELLON CORP/T SENIOR CORP BND 2.050% 05/03/21		08/18/2016	Goldman Sachs		638,669	630,000	629,704	0	0	.17	0	.17	0	629,721	0	8,948	8,948	.3,982	05/03/2021	1FE
.06406H-BM-0	BANK OF NEW YORK MELLON CORP/T FR 5.45%051519		08/18/2016	Barclays Bank PLC		831,128	750,000	864,075	840,544	0	-.16,860	0	-.16,860	0	823,685	0	7,443	7,443	.31,565	05/15/2019	1FE
.06406H-CX-5	BANK OF NEW YORK MELLON CORP/T FR 3.25%091124		08/18/2016	US Bancorp Investments Inc		267,878	250,000	260,323	259,450	0	-.642	0	-.642	0	258,807	0	9,070	9,070	.7,719	09/11/2024	1FE
.06406H-CZ-0	BANK OF NEW YORK MELLON CORP/T FR 2.15%022420		08/18/2016	TD Securities LLC		1,020,680	1,000,000	998,440	998,695	0	195	0	195	0	998,890	0	21,790	21,790	.21,440	02/24/2020	1FE
.07177M-AB-9	BAXALTA INC SR 144A NT 4%25 4.000% 06/23/25		08/29/2016	Various		1,020,244	955,000	945,471	0	0	236	0	236	0	945,707	0	74,538	74,538	.26,316	06/23/2025	2FE
.073902-RU-4	BEAR STEARNS COMPANIES LLC/THE GLBL NT 7.25%18 7.250% 02/01/18		07/19/2016	Robert W. Baird		788,227	725,000	873,381	798,178	0	-.19,208	0	-.19,208	0	778,970	0	9,258	9,258	.51,248	02/01/2018	1FE
.084670-BQ-0	BERKSHIRE HATHAWAY INC SENIOR CORP BND 2.200% 03/15/21		07/22/2016	Mizuho		407,644	395,000	394,163	0	0	.58	0	.58	0	394,221	0	13,423	13,423	.3,186	03/15/2021	1FE
.084670-BS-6	BERKSHIRE HATHAWAY INC SENIOR CORP BND 3.125% 03/15/26		08/09/2016	Wells Fargo Securities		625,760	590,000	589,445	0	0	.19	0	.19	0	589,465	0	36,295	36,295	.7,529	03/15/2026	1FE
.092113-AM-1	BLACK HILLS CORP SENIOR CORP BND 3.150% 01/15/27		09/29/2016	JP Morgan		440,868	435,000	434,778	0	0	0	0	0	0	434,778	0	6,090	6,090	.1,561	01/15/2027	2
.097023-BN-4	BOEING CO SENIOR CORP BND 2.200% 10/30/22		07/22/2016	INCAPITAL LLC		251,000	245,000	241,313	241,398	0	.278	0	.278	0	241,676	0	.9,324	.9,324	.4,013	10/30/2022	1FE
.126650-BZ-2	CVS HEALTH CORP SR NT 2.75%22 2.750% 12/01/22		09/29/2016	Bank of America C.L. KING & ASSOCIATES, INC		464,351	450,000	451,535	451,372	0	-.143	0	-.143	0	451,229	0	13,122	13,122	.10,416	12/01/2022	2FE
.126650-CB-4	CVS HEALTH CORP SR NT 2.25%18 2.250% 12/05/18		09/29/2016	INC		508,890	500,000	510,815	508,384	0	-.2,194	0	-.2,194	0	506,190	0	2,700	2,700	.9,344	12/05/2018	2FE
.126650-CF-5	CVS HEALTH CORP SR GLBL NT 24 3.375% 08/12/24		09/29/2016	Morgan Stanley		371,592	350,000	346,773	347,157	0	.218	0	.218	0	347,374	0	24,217	24,217	.13,519	08/12/2024	2FE
.126650-CJ-7	CVS HEALTH CORP SENIOR CORP BND 2.800% 07/20/20		09/29/2016	TD Securities LLC		1,038,330	1,000,000	1,007,170	1,007,170	0	-.1,090	0	-.1,090	0	1,006,080	0	32,250	32,250	.33,756	07/20/2020	2FE
.166764-AA-8	CHEVRON CORP NT 1.104%17 1.104% 12/05/17		09/22/2016	SunTrust DTC 2095		998,970	1,000,000	1,000,350	1,000,237	0	-.94	0	-.94	0	1,000,144	0	-.1,174	-.1,174	.8,955	12/05/2017	1FE
.17275R-BD-3	CISCO SYSTEMS INC SENIOR CORP BND 2.200% 02/28/21		08/19/2016	TD Securities LLC		755,896	735,000	733,589	0	0	129	0	129	0	733,718	0	22,178	22,178	.7,860	02/28/2021	1FE
.20030N-BS-9	COMCAST CORP SENIOR CORP BND 3.150% 03/01/26		08/11/2016	Wells Fargo Securities		851,167	805,000	828,031	0	0	-.768	0	-.768	0	827,263	0	23,904	23,904	.12,186	03/01/2026	1FE
.26441C-AS-4	DUKE ENERGY CORP SENIOR CORP BND 2.650% 09/01/26		08/15/2016	CSFB SUISSE GROUP		410,988	410,000	408,737	0	0	.1	0	.1	0	408,738	0	2,250	2,250	.181	09/01/2026	2
.29103D-AG-1	EMERUS US FINANCE LP SENIOR CORP BND 144A 3.550% 06/15/26		09/26/2016	Various		132,883	128,000	127,648	0	0	.8	0	.8	0	127,656	0	.5,227	.5,227	.1,233	06/15/2026	2FE
.29273R-BG-3	ENERGY TRANSFER PARTNERS LP SR GLBL NT 26 4.750% 01/15/26		09/14/2016	RBC Capital Markets		410,704	400,000	422,557	0	0	-.351	0	-.351	0	422,205	0	-.11,501	-.11,501	.4,209	01/15/2026	2
.29336U-AF-4	ENLINK MIDSTREAM PARTNERS LP SENIOR CORP BND 4.850% 07/15/26		08/24/2016	RBC Capital Markets		114,494	115,000	114,838	0	0	.2	0	.2	0	114,839	0	-.345	-.345	.697	07/15/2026	2
.30231G-AT-9	EXXON MOBIL CORP SENIOR CORP BND 3.043% 03/01/26		08/02/2016	Pierpont Securities LLC		547,830	520,000	535,130	0	0	-.137	0	-.137	0	534,993	0	12,838	12,838	.6,681	03/01/2026	1FE
.341081-FM-4	FLORIDA PIR & LT CO SECURED CORP BND 3.125% 12/01/25		07/21/2016	Morgan Stanley		568,684	535,000	551,840	334,459	0	-.57	0	-.57	0	551,787	0	16,896	16,896	.8,138	12/01/2025	1FE
.369550-AV-0	GENERAL DYNAMICS CORP GTD SR NT 1%17 1.000% 11/15/17		09/22/2016	Wells Fargo Securities		400,076	400,000	400,216	400,147	0	-.58	0	-.58	0	400,089	0	-.13	-.13	.3,467	11/15/2017	1FE
.38141E-C2-3	GOLDMAN SACHS GROUP INC/THE FR 3.85%070824		09/30/2016	Bank of New York		265,625	250,000	261,803	260,771	0	-.876	0	-.876	0	259,895	0	5,730	5,730	.11,951	07/08/2024	1FE
.38143U-8H-7	GOLDMAN SACHS GROUP INC/THE SENIOR CORP BND 3.750% 02/25/26		09/30/2016	Goldman Sachs		236,801	225,000	239,823	0	0	-.307	0	-.307	0	239,516	0	-.2,715	-.2,715	.5,156	02/25/2026	1FE
.38145G-AG-5	GOLDMAN SACHS GROUP INC/THE SENIOR CORP BND 2.350% 11/15/21		09/30/2016	SMBC NIKKO SECURITIES AMERICA		489,167	490,000	489,495	0	0	0	0	0	0	489,495	0	-.328	-.328	.256	11/15/2021	2FE
.423074-BH-5	HEINZ H J CO SR 144A NT 2%18 2.000% 07/02/18		08/22/2016	Tax Free Exchange		774,027	775,000	773,450	773,700	0	.327	0	.327	0	774,027	0	0	0	.15,500	07/02/2018	2FE
.42824C-AL-3	HEWLETT PACKARD ENTERPRISE CO SENIOR CORP BND 144A 4.400% 10/15/22		07/29/2016	JP Morgan		530,340	500,000	539,270	0	0	-.143	0	-.143	0	539,127	0	-.8,787	-.8,787	.6,600	10/15/2022	2

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain Value at Disposal Date	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation or Market In- dicator (a)	
										11 Unrealized Valuation Increase/ Decrease)	12 Current Year's (Amor- tization)/ Accretion)	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value								
.46625H-RV-4	JPMORGAN CHASE & COMPANY SENIOR CORP BND 2.95% 10/01/26		.09/14/2016	HSBC Bank USA, NA			267,268	270,000	269,417	0	0	2	0	2	0	269,419	0	(2,151)	(2,151)	1,283	10/01/2026	1
.478160-BY-9	JOHNSON & JOHNSON COMMON STOCK SENIOR CORP BND 2.450% 03/01/26		.07/15/2016	Jeffries			413,016	400,000	399,436	0	0	19	0	19	0	399,455	0	13,561	13,561	3,784	03/01/2026	1FE
.50077L-AC-0	HEINZ H J CO SENIOR CORP BND 144A 3.000% 06/01/26		.08/22/2016	Tax Free Exchange			194,164	195,000	194,146	0	0	18	0	18	0	194,164	0	0	0	0	06/01/2026	2FE
.512807-AP-3	LAM RSRCH CORP SENIOR CORP BND 3.900% 06/15/26		.09/30/2016	Various			106,344	102,000	103,143	0	0	(31)	0	(31)	0	103,111	0	3,233	3,233	1,285	06/15/2026	2FE
.594918-BQ-6	MICROSOFT CORP SENIOR CORP BND 2.000% 08/06/23		.08/11/2016	Various			1,225,518	1,225,000	1,221,337	0	0	7	0	7	0	1,221,344	0	4,174	4,174	350	08/08/2023	1
.595620-AM-7	MIDAMERICAN ENERGY COMPANY SECURED CORP BND 3.500% 10/15/24		.07/22/2016	Mabon Securities			507,743	465,000	480,264	479,983	0	(878)	0	(878)	0	479,104	0	28,639	28,639	12,749	10/15/2024	1FE
.617446-7X-1	MORGAN STANLEY SUB CORP BND 5.000% 11/24/25		.09/30/2016	Millenium/Nyfix			128,412	115,000	128,286	0	0	(176)	0	(176)	0	128,110	0	302	302	2,092	11/24/2025	2FE
.61747Y-DW-2	MORGAN STANLEY SR NT 2.65% 20 2.650% 01/27/20		.09/30/2016	RBC Capital Markets			1,129,133	1,105,000	1,106,896	1,106,564	0	(281)	0	(281)	0	1,106,283	0	22,850	22,850	34,814	01/27/2020	1FE
.651229-AU-0	NEWELL CO SENIOR CORP BND 3.150% 04/01/21		.08/19/2016	Jeffries			78,243	75,000	74,876	0	0	9	0	9	0	74,885	0	3,358	3,358	.945	04/01/2021	2FE
.651229-AW-6	NEWELL CO SENIOR CORP BND 4.200% 04/01/26		.08/19/2016	BNP Paribas SA			131,310	120,000	119,758	0	0	8	0	8	0	119,766	0	11,544	11,544	2,016	04/01/2026	2FE
.655044-AH-8	NOBLE ENERGY INC SENIOR CORP BND 3.900% 11/15/24		.08/12/2016	JP Morgan			102,221	100,000	102,907	0	0	(33)	0	(33)	0	102,874	0	(653)	(653)	.997	11/15/2024	2
.655844-BS-6	NORFOLK SOUTHL CORP SENIOR CORP BND 2.900% 06/15/26		.08/02/2016	Bank of America			128,999	125,000	124,578	0	0	6	0	6	0	124,583	0	4,415	4,415	.624	06/15/2026	2FE
.67021C-AL-1	NSTAR ELECTRIC CO SENIOR CORP BND 2.700% 06/01/26		.08/02/2016	JP Morgan	C.L. KING & ASSOCIATES,		218,935	215,000	214,252	0	0	12	0	12	0	214,264	0	4,670	4,670	1,113	06/01/2026	1FE
.68217F-AA-0	OMNICOM GRP INC. SENIOR CORP BND 3.600% 04/15/26		.07/29/2016	INC.			595,532	560,000	559,479	0	0	16	0	16	0	559,496	0	36,036	36,036	6,552	04/15/2026	2FE
.69353R-CH-9	PNC BANK NATIONAL ASSOCIATION SR NT 2.2% 19 2.200% 01/28/19		.08/18/2016	TD Securities LLC			305,976	300,000	303,093	302,415	0	(508)	0	(508)	0	301,907	0	4,069	4,069	7,058	01/28/2019	1FE
.69353R-EK-0	PNC BANK NATIONAL ASSOCIATION SR NT 2.95% 25 2.950% 02/23/25		.08/19/2016	Bank of America			576,939	555,000	553,712	553,808	0	.74	0	.74	0	553,882	0	23,057	23,057	16,418	02/23/2025	1FE
.69353R-EU-8	PNC BANK NATIONAL ASSOCIATION SENIOR CORP BND 2.450% 11/05/20		.08/18/2016	Wells Fargo Securities	UBS Financial Services,		293,593	285,000	284,812	284,818	0	.23	0	.23	0	284,841	0	8,752	8,752	.5,625	11/05/2020	1FE
.69353R-EW-4	PNC BANK NATIONAL ASSOCIATION SENIOR CORP BND 2.150% 04/29/21		.08/18/2016	Inc.	Pierpont Securities LLC		1,118,293	1,100,000	1,099,329	0	0	.41	0	.41	0	1,099,370	0	18,924	18,924	.7,489	04/29/2021	1FE
.695114-CS-5	PFIZER INC SENIOR CORP BND 2.750% 06/03/26		.08/02/2016				379,096	350,000	357,805	357,679	0	(431)	0	(431)	0	357,248	0	21,848	21,848	13,223	07/01/2025	1FE
.717081-DV-2	PITNEY BOWES INC SENIOR CORP BND 3.375% 10/01/21		.08/11/2016	JP Morgan			134,225	130,000	129,966	0	0	1	0	1	0	129,967	0	4,258	4,258	.725	06/03/2026	1FE
.724479-AK-6	PRECISION CASTPARTS CORP SR NT 2.5% 23		.09/21/2016	Various			474,959	475,000	473,689	0	0	0	0	0	0	473,689	0	1,269	1,269	.56	10/01/2021	2
.740189-AG-0	QUALCOMM INC SR NT 3.45% 25 3.450% 05/20/25		.08/16/2016	CSFB SUISSE GROUP	US Bancorp Investments		207,272	200,000	198,582	198,734	0	105	0	105	0	198,839	0	8,433	8,433	.5,472	01/15/2023	1FE
.747525-AF-0	STATE STREET CORP SR NT 4.375% 21 4.375% 05/19/21		.08/11/2016	Inc.	US Bancorp Investments		429,224	400,000	430,866	0	0	(224)	0	(224)	0	430,642	0	(1,418)	(1,418)	.3,297	05/20/2025	1FE
.857477-AG-8	STATE STREET CORP SR NT 4.375% 21 4.375% 03/07/21		.08/18/2016	Inc.			1,394,825	1,250,000	1,398,145	1,377,054	0	(14,902)	0	(14,902)	0	1,362,152	0	32,673	32,673	.52,561	03/07/2021	1FE
.857477-AN-3	STATE STREET CORP SR GBL NT 3.3% 24 3.300% 12/16/24		.08/25/2016	Bank of America			225,065	210,000	216,407	215,916	0	(386)	0	(386)	0	215,529	0	9,536	9,536	.4,890	12/16/2024	1FE
.857477-AV-5	STATE STREET CORP SENIOR CORP BND 1.950% 05/19/21		.08/18/2016	Wells Fargo Securities			456,557	450,000	449,766	0	0	12	0	12	0	449,778	0	6,779	6,779	.2,291	05/19/2021	1FE
.86765B-AT-6	SUNCOC LOGISTICS PARTNERS OPER SENIOR CORP BND 3.900% 07/15/26		.08/01/2016	Barclays Bank PLC	Pierpont Securities LLC		172,062	175,000	174,468	0	0	2	0	2	0	174,470	0	(2,409)	(2,409)	.417	07/15/2026	2
.92343V-DD-3	VERIZON COMMUNICATIONS INC SENIOR CORP BND 2.625% 08/15/26		.08/17/2016				323,664	325,000	324,171	0	0	2	0	2	0	324,174	0	(509)	(509)	.498	08/15/2026	2
.94106L-BA-6	VMX TECHNOLOGIES INC SR NT 3.125% 25 3.125% 03/01/25		.09/09/2016	Piper Jaffray			109,902	105,000	104,553	104,586	0	.28	0	.28	0	104,613	0	.5,289	.5,289	.3,400	03/01/2025	2FE
.949746-RW-3	WELLS FARGO & COMPANY SENIOR CORP BND 3.000% 04/22/26		.08/24/2016	Morgan Stanley			480,852	465,000	482,089	0	0	(188)	0	(188)	0	481,900	0	(1,048)	(1,048)	.4,921	04/22/2026	1FE

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain Value at Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation or Market In- dicator (a)	
										11 Unrealized Valuation Increase/ Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value								
.949748-FC-9 03/08/22	WELLS FARGO & COMPANY NT-I 3.5%22 3.500%		08/24/2016	Millenium/Nyfix		688,134	.640,000	.680,634	.675,684	0	-(3,555)	0	-(3,555)	0	.672,148	0	.15,986	.15,986	.21,840	03/08/2022	1FE	
.96950F-AJ-3 08/15/22	WILLIAMS PRTNRS SENIOR CORP BND 3.350%		07/28/2016	Various		335,270	.350,000	.326,064	0	0	190	0	190	0	.326,253	0	.9,017	.9,017	.5,390	08/15/2022	2	
.136385-AV-3 BND 3.900% 02/01/25	CANADIAN NATURAL RESOURCES LTD SENIOR CORP	A	08/01/2016	Morgan Stanley		72,957	.75,000	.74,550	0	0	.5	0	.5	0	.74,555	0	-(1,598)	-(1,598)	.1,487	02/01/2025	2FE	
.02364W-BD-6 3.125% 07/16/22	AMERICA MOVIL SAB DE CV SR NT 3.125%22	F	07/28/2016	Barclays Bank PLC		390,150	.375,000	.389,756	0	0	-(75)	0	-(75)	0	.389,681	0	.469	.469	.521	07/16/2022	1FE	
.36164N-FF-7 GE CAPITAL INTL FUNDING 2.342% 11/15/20	HSBC HOLDINGS PLC SENIOR CORP BND 3.900%	F	07/08/2016	Tax Free Exchange		815,121	.812,000	.815,589	0	0	-(468)	0	-(468)	0	.815,121	0	.0	.0	.0	13,312	11/15/2020	1FE
.404280-BB-4 05/25/26	SHELL INTERNATIONAL FINANCE BV SENIOR CORP	F	09/09/2016	HSBC Bank USA, NA		206,888	.200,000	.207,496	0	0	-(104)	0	-(104)	0	.207,392	0	-(504)	-(504)	.2,362	05/25/2026	1	
.822582-BP-6 BND 2.250% 11/10/20	SHELL INTERNATIONAL FINANCE BV SENIOR CORP	F	09/27/2016	Mabon Securities		173,390	.170,000	.169,067	.169,092	0	0	133	0	133	0	.169,225	0	4,165	4,165	.3,400	11/10/2020	1FE
.822582-BT-8 BND 2.875% 05/10/26	SHELL INTERNATIONAL FINANCE BV SENIOR CORP	F	07/25/2016	RBC Capital Markets		516,302	.505,000	.523,205	0	0	-(44)	0	-(44)	0	.523,162	0	(6,860)	(6,860)	.3,146	05/10/2026	1	
.822582-BX-9 BND 2.500% 09/12/26	SHELL INTERNATIONAL FINANCE BV SENIOR CORP	F	09/27/2016	Goldman Sachs		394,436	.400,000	.395,940	0	0	.18	0	.18	0	.395,958	0	(1,522)	(1,522)	.500	09/12/2026	1	
.84265V-AH-8 04/23/25	SOUTHERN COPPER SR GBL NT 25 3.875%	R	07/28/2016	Santander Investment Securitie		50,874	.50,000	.51,250	0	0	-(4)	0	-(4)	0	.51,250	0	(375)	(375)	.533	04/23/2025	2FE	
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					38,001,391	.36,574,000	.37,475,267	.15,159,059	0	(70,276)	0	(70,276)	0	.37,259,963	0	.741,428	.741,428	.683,713	XXX	XXX		
8399997. Total - Bonds - Part 4					79,668,977	.77,509,000	.78,971,930	.17,763,954	0	(103,528)	0	(103,528)	0	.78,695,670	0	.973,307	.973,307	.914,310	XXX	XXX		
8399998. Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		
8399999. Total - Bonds					79,668,977	.77,509,000	.78,971,930	.17,763,954	0	(103,528)	0	(103,528)	0	.78,695,670	0	.973,307	.973,307	.914,310	XXX	XXX		
8999997. Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX		
8999998. Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		
8999999. Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX		
9799997. Total - Common Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX		
9799998. Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		
9799999. Total - Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX		
9899999. Total - Preferred and Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX		
9999999 - Totals					79,668,977	XXX	.78,971,930	.17,763,954	0	(103,528)	0	(103,528)	0	.78,695,670	0	.973,307	.973,307	.914,310	XXX	XXX		

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open
N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open
N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made
N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To
N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned
N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned
N O N E

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Florida Treasury Trust Fund ... Tallahassee, Florida	SD...	1.000	852	0	200,000	200,000	200,000	XXX..
0199998. Deposits in ... depositaries that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						XXX
0199999. Totals - Open Depositories	XXX	XXX	852	0	200,000	200,000	200,000	XXX
0299998. Deposits in ... depositaries that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	852	0	200,000	200,000	200,000	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash	XXX	XXX	852	0	200,000	200,000	200,000	XXX

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PERMANENT GENERAL ASSURANCE CORPORATION

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

NON

8699999 - Total Cash Equivalents

三