



## QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2016

OF THE CONDITION AND AFFAIRS OF THE

### Old Guard Insurance Company

NAIC Group Code 0228 (Current Period) 0228 (Prior Period) NAIC Company Code 17558 Employer's ID Number 23-0929640

Organized under the Laws of Ohio, State of Domicile or Port of Entry Ohio

Country of Domicile US

Incorporated/Organized December 9, 1896 Commenced Business December 9, 1896

Statutory Home Office One Park Circle, Westfield Center, Ohio, US 44251  
(Street and Number, City or Town, State, Country and Zip Code)

Main Administrative Office One Park Circle, Westfield Center, Ohio, US 44251-5001  
(Street and Number, City or Town, State, Country and Zip Code) 330-887-0101  
(Area Code) (Telephone Number)

Mail Address P.O. Box 5001, Westfield Center, Ohio, US 44251-5001  
(Street and Number or P.O. Box, City or Town, State, Country and Zip Code)

Primary Location of Books and Records One Park Circle, Westfield Center, Ohio, US 44251-5001  
(Street and Number, City or Town, State, Country and Zip Code)  
330-887-0101  
(Area Code) (Telephone Number)

Internet Website Address www.westfieldgrp.com

Statutory Statement Contact Bambi Ann Beshire 330-887-0101  
(Area Code) (Telephone Number) (Extension)  
FinancialReporting@westfieldgrp.com 330-887-0840  
(Fax Number)  
(E-Mail Address)

### OFFICERS

Edward James Largent, III# (Westfield Group President, CEO & Board Chairman)  
Joseph Christian Kohmann (Group Finance Leader & Treasurer)  
Frank Anthony Carrino (Group Legal Leader & Secretary)

### OTHER OFFICERS

Dennis Paul Baus (National Surety Leader)  
Bambi Ann Beshire (Group Finance & Accounting Leader)  
Robert William Bowers (National Claims Leader)  
Robyn Renee Hahn (Group Marketing & Communications Leader)  
James Robert Merz (Group Actuarial & Analytics Leader)  
Kristine Lynn Neate (National Underwriting Office Leader)  
Martha Haskins Oakes (National Middle Market Leader)  
Christopher Michael Paterakis (Group HR Leader)  
Michael Joseph Prandi (Insurance Operations Leader)  
Elizabeth Margaret Riczko (Group Underwriting & Product Leader)  
Stuart Wayne Rosenberg (Group Administration Leader)  
Peter Robert Schwanke (Group Risk Management Leader)  
Stephen John Tien (Group IT Leader)  
Craig David Welsh (Group Distribution Leader)  
George Krieg Wiswesser (Group Investment Leader)

### DIRECTORS OR TRUSTEES

Cheryl Lila Carlisle  
Fariborz Ghadar  
Gary Dean Hallman  
Susan Jane Insley  
John Patrick Lanigan, Jr#  
Edward James Largent, III#  
Craig David Pfeiffer#  
Billie Kay Rawot#  
John Lewis Watson  
Thomas Eldon Workman

State of Ohio }  
County of Medina } SS

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions there from for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Edward James Largent, III#  
Westfield Group President, CEO & Board Chairman

Joseph Christian Kohmann  
Group Finance Leader & Treasurer

Frank Anthony Carrino  
Group Legal Leader & Secretary

Subscribed and sworn to before me this  
15th day of October, 2016

a. Is this an original filing? Yes (X) No ( )  
 b. If no: 1. State the amendment number 0  
 2. Date filed \_\_\_\_\_  
 3. Number of pages attached 0

## ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Col. 1 minus Col. 2)	
1. Bonds .....	249,168,757	0	249,168,757	245,843,484
2. Stocks:				
2.1 Preferred stocks .....	0	0	0	0
2.2 Common stocks .....	127,050,032	0	127,050,032	106,509,718
3. Mortgage loans on real estate:				
3.1 First liens .....	0	0	0	0
3.2 Other than first liens .....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ 0 encumbrances) .....	0	0	0	0
4.2 Properties held for the production of income (less \$ 0 encumbrances) .....	0	0	0	0
4.3 Properties held for sale (less \$ 0 encumbrances) .....	0	0	0	0
5. Cash (\$ 0 ), cash equivalents (\$ 0 ) and short-term investments (\$ 1,604,372 ) .....	1,604,372	0	1,604,372	655,008
6. Contract loans (including \$ 0 premium notes) .....	0	0	0	0
7. Derivatives .....	0	0	0	0
8. Other invested assets .....	2,406,888	0	2,406,888	2,634,558
9. Receivables for securities .....	0	0	0	0
10. Securities lending reinvested collateral assets .....	0	0	0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Line 1 through Line 11) .....	380,230,049	0	380,230,049	355,642,768
13. Title plants less \$ 0 charged off (for Title insurers only) .....	0	0	0	0
14. Investment income due and accrued .....	3,245,625	0	3,245,625	3,493,021
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	9,362,485	931,687	8,430,798	7,758,922
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ 176,405 earned but unbilled premiums) .....	52,687,466	17,641	52,669,825	48,994,994
15.3 Accrued retrospective premiums (\$ 0 ) and contracts subject to redetermination (\$ 0 ) .....	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	0	0	0	0
16.2 Funds held by or deposited with reinsured companies .....	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts .....	0	0	0	0
17. Amounts receivable relating to uninsured plans .....	0	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon .....	452,336	0	452,336	2,249,928
18.2 Net deferred tax asset .....	0	0	0	1,932,255
19. Guaranty funds receivable or on deposit .....	0	0	0	0
20. Electronic data processing equipment and software .....	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$ 0 ) .....	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates .....	0	0	0	1,305,440
24. Health care (\$ 0 ) and other amounts receivable .....	0	0	0	0
25. Aggregate write-ins for other-than-invested assets .....	0	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Line 12 to Line 25) .....	445,977,961	949,328	445,028,633	421,377,328
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0	0
28. Totals (Line 26 and Line 27) .....	445,977,961	949,328	445,028,633	421,377,328
<b>DETAILS OF WRITE-INS</b>				
1101 .....	0	0	0	0
1102 .....	0	0	0	0
1103 .....	0	0	0	0
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Line 1101 through Line 1103 plus Line 1198) (Line 11 above) .....	0	0	0	0
2501 .....	0	0	0	0
2502 .....	0	0	0	0
2503 .....	0	0	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Line 2501 through Line 2503 plus Line 2598) (Line 25 above) .....	0	0	0	0

**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ 36,256,001 )	108,569,749	103,903,705
2. Reinsurance payable on paid losses and loss adjustment expenses	0	0
3. Loss adjustment expenses	35,917,540	35,221,625
4. Commissions payable, contingent commissions and other similar charges	10,367,159	10,965,003
5. Other expenses (excluding taxes, licenses and fees)	3,509,063	4,989,473
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	2,144,263	2,412,448
7.1 Current federal and foreign income taxes (including \$ 0 on realized capital gains (losses))	0	0
7.2 Net deferred tax liability	1,498,440	0
8. Borrowed money \$ 0 and interest thereon \$ 0	0	0
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ 0 and including warranty reserves of \$ 0 and accrued accident and health experience rating refunds including \$ 0 for medical loss ratio rebate per the Public Health Service Act)	81,996,776	77,784,421
10. Advance premium	0	0
11. Dividends declared and unpaid:		
11.1 Stockholders	0	0
11.2 Policyholders	0	0
12. Ceded reinsurance premiums payable (net of ceding commissions)	24,263	531,997
13. Funds held by company under reinsurance treaties	0	0
14. Amounts withheld or retained by company for account of others	0	0
15. Remittances and items not allocated	0	0
16. Provision for reinsurance (including \$ 0 certified)	0	0
17. Net adjustments in assets and liabilities due to foreign exchange rates	0	0
18. Drafts outstanding	0	0
19. Payable to parent, subsidiaries and affiliates	683,490	0
20. Derivatives	0	0
21. Payable for securities	0	0
22. Payable for securities lending	0	0
23. Liability for amounts held under uninsured plans	0	0
24. Capital notes \$ 0 and interest thereon \$ 0	0	0
25. Aggregate write-ins for liabilities	0	0
26. Total liabilities excluding protected cell liabilities (Line 1 through Line 25)	244,710,743	235,808,672
27. Protected cell liabilities	0	0
28. Total liabilities (Line 26 and Line 27)	244,710,743	235,808,672
29. Aggregate write-ins for special surplus funds	37,350,658	25,811,646
30. Common capital stock	2,500,000	2,500,000
31. Preferred capital stock	0	0
32. Aggregate write-ins for other than special surplus funds	0	0
33. Surplus notes	0	0
34. Gross paid in and contributed surplus	31,870,941	31,870,941
35. Unassigned funds (surplus)	128,596,291	125,386,069
36. Less treasury stock, at cost:		
36.1 0 shares common (value included in Line 30 \$ 0 )	0	0
36.2 0 shares preferred (value included in Line 31 \$ 0 )	0	0
37. Surplus as regards policyholders (Line 29 through Line 35, less Line 36)	200,317,890	185,568,656
38. Totals (Page 2, Line 28, Column 3)	445,028,633	421,377,328
<b>DETAILS OF WRITE-INS</b>		
2501.	0	0
2502.	0	0
2503.	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Line 2501 through Line 2503 plus Line 2598) (Line 25 above)	0	0
2901. General voluntary reserve	37,350,658	25,811,646
2902.	0	0
2903.	0	0
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0
2999. Totals (Line 2901 through Line 2903 plus Line 2998) (Line 29 above)	37,350,658	25,811,646
3201.	0	0
3202.	0	0
3203.	0	0
3298. Summary of remaining write-ins for Line 32 from overflow page	0	0
3299. Totals (Line 3201 through Line 3203 plus Line 3298) (Line 32 above)	0	0

## STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct (written \$ 0 )	0	0	0
1.2 Assumed (written \$ 124,296,790 )	120,084,436	117,388,750	157,212,336
1.3 Ceded (written \$ 0 )	0	0	0
1.4 Net (written \$ 124,296,790 )	120,084,436	117,388,750	157,212,336
DEDUCTIONS:			
2. Losses incurred (current accident year \$ 65,246,941 ):			
2.1 Direct	(241,281)	(175,841)	(179,567)
2.2 Assumed	61,372,259	56,695,665	78,592,745
2.3 Ceded	(253,466)	(179,772)	(192,308)
2.4 Net	61,384,444	56,699,596	78,605,486
3. Loss adjustment expenses incurred	14,164,698	15,201,260	19,920,704
4. Other underwriting expenses incurred	43,370,280	40,513,527	53,958,489
5. Aggregate write-ins for underwriting deductions	0	0	0
6. Total underwriting deductions (Line 2 through Line 5)	118,919,422	112,414,383	152,484,679
7. Net income of protected cells	0	0	0
8. Net underwriting gain (loss) (Line 1 minus Line 6 plus Line 7)	1,165,014	4,974,367	4,727,657
<b>INVESTMENT INCOME</b>			
9. Net investment income earned	9,019,593	8,854,567	11,896,381
10. Net realized capital gains (losses) less capital gains tax of \$ (13,642)	(25,334)	746,455	615,661
11. Net investment gain (loss) (Line 9 plus Line 10)	8,994,259	9,601,022	12,512,042
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$ 554,324 )	(114,857)	(121,148)	(171,167)
13. Finance and service charges not included in premiums	0	0	0
14. Aggregate write-ins for miscellaneous income	5,460	1,857	3,547
15. Total other income (Line 12 through Line 14)	(109,397)	(119,291)	(167,620)
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 8 plus Line 11 plus Line 15)	10,049,876	14,456,098	17,072,079
17. Dividends to policyholders	0	0	0
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	10,049,876	14,456,098	17,072,079
19. Federal and foreign income taxes incurred	3,340,769	5,383,502	4,485,425
20. Net income (Line 18 minus Line 19) (to Line 22)	6,709,107	9,072,596	12,586,654
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year	185,568,656	179,835,169	179,835,169
22. Net income (from Line 20)	6,709,107	9,072,596	12,586,654
23. Net transfers (to) from Protected Cell accounts	0	0	0
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$ 4,038,654	7,500,358	(10,284,799)	(6,510,643)
25. Change in net unrealized foreign exchange capital gain (loss)	0	0	0
26. Change in net deferred income tax	607,960	1,366,778	(325,148)
27. Change in nonadmitted assets	(68,191)	(238,165)	(17,376)
28. Change in provision for reinsurance	0	0	0
29. Change in surplus notes	0	0	0
30. Surplus (contributed to) withdrawn from protected cells	0	0	0
31. Cumulative effect of changes in accounting principles	0	0	0
32. Capital changes:			
32.1 Paid in	0	0	0
32.2 Transferred from surplus (Stock Dividend)	0	0	0
32.3 Transferred to surplus	0	0	0
33. Surplus adjustments:			
33.1 Paid in	0	0	0
33.2 Transferred to capital (Stock Dividend)	0	0	0
33.3 Transferred from capital	0	0	0
34. Net remittances from or (to) Home Office	0	0	0
35. Dividends to stockholders	0	0	0
36. Change in treasury stock	0	0	0
37. Aggregate write-ins for gains and losses in surplus	0	0	0
38. Change in surplus as regards policyholders (Line 22 through Line 37)	14,749,234	(83,590)	5,733,487
39. Surplus as regards policyholders, as of statement date (Line 21 plus Line 38)	200,317,890	179,751,579	185,568,656
<b>DETAILS OF WRITE-INS</b>			
0501.	0	0	0
0502.	0	0	0
0503.	0	0	0
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599. TOTALS (Line 0501 through Line 0503 plus Line 0598) (Line 5 above)	0	0	0
1401. Net other interest income	5,460	1,857	3,547
1402.	0	0	0
1403.	0	0	0
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499. TOTALS (Line 1401 through Line 1403 plus Line 1498) (Line 14 above)	5,460	1,857	3,547
3701.	0	0	0
3702.	0	0	0
3703.	0	0	0
3798. Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799. TOTALS (Line 3701 through Line 3703 plus Line 3798) (Line 37 above)	0	0	0

## CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	119,374,158	117,873,979	158,794,576
2. Net investment income .....	12,397,470	11,833,697	15,550,069
3. Miscellaneous income .....	(109,397)	(119,291)	(167,620)
4. Total (Line 1 through Line 3) .....	131,662,231	129,588,385	174,177,025
5. Benefit and loss related payments .....	56,718,401	54,126,572	74,504,802
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	59,214,324	55,333,938	71,998,929
8. Dividends paid to policyholders .....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ .....	1,529,536	7,738,507	8,665,184
10. Total (Line 5 through Line 9) .....	117,462,261	117,199,017	155,168,915
11. Net cash from operations (Line 4 minus Line 10) .....	14,199,970	12,389,368	19,008,110
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	7,389,215	4,617,201	8,722,445
12.2 Stocks .....	3,871,674	20,404,241	25,892,971
12.3 Mortgage loans .....	0	0	0
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	0	0	0
12.7 Miscellaneous proceeds .....	0	0	0
12.8 Total investment proceeds (Line 12.1 through Line 12.7) .....	11,260,889	25,021,442	34,615,416
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	13,816,144	24,469,887	30,798,573
13.2 Stocks .....	12,684,281	10,890,821	22,200,539
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	0	0
13.5 Other invested assets .....	0	331,731	331,731
13.6 Miscellaneous applications .....	0	21,000	0
13.7 Total investments acquired (Line 13.1 through Line 13.6) .....	26,500,425	35,713,439	53,330,843
14. Net increase or (decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14) .....	(15,239,536)	(10,691,997)	(18,715,427)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied) .....	1,988,930	(653,959)	(678,361)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	1,988,930	(653,959)	(678,361)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17) .....	949,364	1,043,412	(385,678)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	655,008	1,040,686	1,040,686
19.2 End of period (Line 18 plus Line 19.1) .....	1,604,372	2,084,098	655,008

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001 .....	0	0	0
20.0002 .....	0	0	0
20.0003 .....	0	0	0
20.0004 .....	0	0	0
20.0005 .....	0	0	0
20.0006 .....	0	0	0
20.0007 .....	0	0	0
20.0008 .....	0	0	0
20.0009 .....	0	0	0
20.0010 .....	0	0	0

**NOTES TO FINANCIAL STATEMENTS****General Notes**

## 1. Summary of Significant Accounting Policies and Going Concern-

## A. Accounting Practices

The financial statements of Old Guard Insurance Company (the Company) are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance.

The Ohio Department of Insurance recognizes only statutory accounting practices (SAP) prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance law. The National Association of Insurance Commissioners' (NAIC) *Accounting Practices and Procedures Manual* (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Ohio.

The Company has not implemented any prescribed or permitted accounting practices by the State of Ohio that differ from those found in NAIC SAP.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below:

		State of Domicile	<u>9/30/2016</u>	<u>12/31/2015</u>
<b><u>NET INCOME</u></b>				
(1) Old Guard Insurance Company state basis (Page 4, Line 20, Columns 1 & 3)	Ohio	\$ 6,709,107	\$ 12,586,654	
(2) State Prescribed Practices that increase/ (decrease) NAIC SAP	Ohio	0	0	
(3) State Permitted Practices that increase/ (decrease) NAIC SAP	Ohio	0	0	
(4) NAIC SAP (1-2-3=4)	Ohio	<u>\$ 6,709,107</u>	<u>\$ 12,586,654</u>	
<b><u>SURPLUS</u></b>				
(5) Old Guard Insurance Company state basis (Page 3, Line 37, Columns 1 & 2)	Ohio	\$ 200,317,890	\$ 185,568,656	
(6) State Prescribed Practices that increase/ (decrease) NAIC SAP	Ohio	0	0	
(7) State Permitted Practices that increase/ (decrease) NAIC SAP	Ohio	0	0	
(8) NAIC SAP (5-6-7=8)	Ohio	<u>\$ 200,317,890</u>	<u>\$ 185,568,656</u>	

## B. Use of Estimates in the Preparation of the Financial Statements- No significant change

## C. Accounting Policy

Premiums are earned over the terms of the related insurance policies and reinsurance contracts. Unearned premium reserves are established to cover the unexpired portion of premiums written. Such reserves are computed by pro rata methods for direct business and are based on reports received from ceding companies for reinsurance.

Expenses incurred in connection with acquiring new insurance business, including such acquisition costs as sales commissions, are charged to operations as incurred. Expenses incurred are reduced for ceding allowances received or receivable.

In addition, the Company uses the following accounting policies:

- (1-5) No significant change
- (6) Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair market value. The retrospective adjustment method is used to value all securities. If a security has been written down due to an other-than-temporary impairment, the prospective adjustment method is used subsequent to the loss recognition in accordance with SSAP No. 43R.
- (7-13) No significant change

## D. Going Concern

Management has evaluated the Company's viability and has no doubt as to the Company's ability to continue as a going concern.

## 2. Accounting Changes and Corrections of Errors- No significant change

## 3. Business Combinations and Goodwill- Not applicable

## 4. Discontinued Operations- No significant change

## 5. Investments-

## A. through C.- No significant change

## D. Loan-Backed Securities

- (1) Prepayment assumptions for single class and multi class mortgage-backed/asset-backed securities were obtained from broker dealer survey values or internal estimates. The Company used Interactive Data Corp. in determining the market value of its loan-backed securities.
- (2-3) No other-than-temporary impairments have been recognized on loan-backed securities.
- (4) The Company held no loan-backed securities or structured securities in an unrealized loss position at September 30, 2016.
- (5) In concluding that the impairments are not other-than-temporary, the Company has considered the following general categories of information:
  - Length of time and extent to which the fair value has been less than cost
  - Issuer credit quality
  - Industry sector considerations
  - General interest rate environment
  - Probability of collecting future cash flows

## E. Repurchase Agreements and/or Securities Lending Transactions

The Company does not have any investments in repurchase agreements or securities lending.

## F. through H.- No significant change

## I. Working Capital Finance Investments

The Company does not hold any working capital finance investments.

## J. Offsetting and Netting of Assets and Liabilities

The Company does not hold any investments involving offsetting and netting of assets and liabilities.

## K. Structured Notes

The Company does not hold any structured notes.

## 6. Joint Ventures, Partnerships and Limited Liability Companies- No significant change

## 7. Investment Income- No significant change

## 8. Derivative Instruments- No significant change

## NOTES TO FINANCIAL STATEMENTS

## 9. Income Taxes-

A The components of the net deferred tax assets/ (liability) for the current reporting period are as follows:

1

- (a) Gross deferred tax assets
- (b) Statutory valuation allowance adjustment
- (c) Adjusted gross deferred tax assets (1a-1b)
- (d) Deferred tax assets nonadmitted
- (e) Subtotal net admitted deferred tax asset (1c-1d)
- (f) Deferred tax liabilities
- (g) Net admitted deferred tax asset/ (Net admitted defered tax liability) (1e-1f)

9/30/2016		
(1)	(2)	(3) (Col 1 + 2)
Ordinary	Capital	Total
\$ 9,414,962	\$ 3,687,898	\$ 13,102,860
0	0	0
9,414,962	3,687,898	13,102,860
0	0	0
9,414,962	3,687,898	13,102,860
251,429	14,349,871	14,601,300
<b>\$ 9,163,533</b>	<b>\$ (10,661,973)</b>	<b>\$ (1,498,440)</b>

- (a) Gross deferred tax assets
- (b) Statutory valuation allowance adjustment
- (c) Adjusted gross deferred tax assets (1a-1b)
- (d) Deferred tax assets nonadmitted
- (e) Subtotal net admitted deferred tax asset (1c-1d)
- (f) Deferred tax liabilities
- (g) Net admitted deferred tax asset/ (Net admitted defered tax liability) (1e-1f)

12/31/2015		
(4)	(5)	(6) (Col 4 + 5)
Ordinary	Capital	Total
\$ 9,329,903	\$ 4,287,466	\$ 13,617,369
0	0	0
9,329,903	4,287,466	13,617,369
0	0	0
9,329,903	4,287,466	13,617,369
264,992	11,420,122	11,685,114
<b>\$ 9,064,911</b>	<b>\$ (7,132,656)</b>	<b>\$ 1,932,255</b>

- (a) Gross deferred tax assets
- (b) Statutory valuation allowance adjustment
- (c) Adjusted gross deferred tax assets (1a-1b)
- (d) Deferred tax assets nonadmitted
- (e) Subtotal net admitted deferred tax asset (1c-1d)
- (f) Deferred tax liabilities
- (g) Net admitted deferred tax asset/ (Net admitted defered tax liability) (1e-1f)

Change		
(7) (Col 1 - 4)	(8) (Col 2 - 5)	(9) (Col 7 + 8)
Ordinary	Capital	Total
\$ 85,059	\$ (599,568)	\$ (514,509)
0	0	0
85,059	(599,568)	(514,509)
0	0	0
85,059	(599,568)	(514,509)
(13,563)	2,929,749	2,916,186
<b>\$ 98,622</b>	<b>\$ (3,529,317)</b>	<b>\$ (3,430,695)</b>

## 2 Admission calculation components SSAP No. 101:

- (a) Federal Income taxes paid in prior years recoverable through loss carrybacks
- (b) Adjusted gross DTA expected to be realized (excluding the amount of DTA from 2 (a) above) after application of the threshold limitation (lesser of 2 (b) 1 and 2 (b) 2 below)
  - 1 Adjusted gross DTA expected to be realized following BS date
  - 2 Adjusted gross DTA allowed per limitation threshold
- (c) Adjusted gross DTA (excluding the amount of DTA from 2 (a) and 2 (b) above) offset by gross DTL
- (d) Deferred tax assets admitted as the result of application of SSAP No. 101.

Total (2 (a) +2 (b) +2 (c) )

9/30/2016		
(1)	(2)	(3) (Col 1 + 2)
Ordinary	Capital	Total
\$ 6,625,217	\$ 1,618,929	\$ 8,244,146
1,095,636	0	1,095,636
1,095,636	0	1,095,636
XXX	XXX	30,047,684
1,694,108	2,068,970	3,763,078
<b>\$ 9,414,961</b>	<b>\$ 3,687,899</b>	<b>\$ 13,102,860</b>

- (a) Federal Income taxes paid in prior years recoverable through loss carrybacks
- (b) Adjusted gross DTA expected to be realized (excluding the amount of DTA from 2 (a) above) after application of the threshold limitation (lesser of 2 (b) 1 and 2 (b) 2 below)
  - 1 Adjusted gross DTA expected to be realized following BS date
  - 2 Adjusted gross DTA allowed per limitation threshold
- (c) Adjusted gross DTA (excluding the amount of DTA from 2 (a) and 2 (b) above) offset by gross DTL
- (d) Deferred tax assets admitted as the result of application of SSAP No. 101.

Total (2 (a) +2 (b) +2 (c) )

12/31/2015		
(4)	(5)	(6) (Col 4 + 5)
Ordinary	Capital	Total
\$ 6,533,276	\$ 1,860,020	\$ 8,393,296
1,095,152	0	1,095,152
1,095,152	0	1,095,152
XXX	XXX	27,835,298
1,701,475	2,427,445	4,128,920
<b>\$ 9,329,903</b>	<b>\$ 4,287,465</b>	<b>\$ 13,617,368</b>

- (a) Federal Income taxes paid in prior years recoverable through loss carrybacks
- (b) Adjusted gross DTA expected to be realized (excluding the amount of DTA from 2 (a) above) after application of the threshold limitation (lesser of 2 (b) 1 and 2 (b) 2 below)
  - 1 Adjusted gross DTA expected to be realized following BS date
  - 2 Adjusted gross DTA allowed per limitation threshold
- (c) Adjusted gross DTA (excluding the amount of DTA from 2 (a) and 2 (b) above) offset by gross DTL
- (d) Deferred tax assets admitted as the result of application of SSAP No. 101.

Total (2 (a) +2 (b) +2 (c) )

Change		
(7) (Col 1 - 4)	(8) (Col 2 - 5)	(9) (Col 7 + 8)
Ordinary	Capital	Total
\$ 91,941	\$ (241,091)	\$ (149,150)
484	0	484
484	0	484
XXX	XXX	2,212,386
(7,367)	(358,475)	(365,842)
<b>\$ 85,058</b>	<b>\$ (599,566)</b>	<b>\$ (514,508)</b>

## NOTES TO FINANCIAL STATEMENTS

		2016	2015
3	(a) Ratio percentage used to determine recovery period and threshold limitation amount (b) Amount of adjusted capital and surplus used to determine recovery period and threshold limitation in 2 (b) 2 above	1050.4%	973.0%
		\$ 200,317,890	\$ 185,568,656

## 4 Impact of tax planning strategies

(a) Determination of adjusted gross deferred tax assets and net admitted deferred tax assets, by tax character as a percentage

	9/30/2016		
	(1) Ordinary Percent	(2) Capital Percent	(3) (Col 1 + 2) Total Percent
1	\$ 9,414,962	\$ 3,687,090	\$ 13,102,860
	15.3%	0.0%	15.3%
2	\$ 9,414,962	\$ 3,687,898	\$ 13,102,860
	15.3%	0.0%	15.3%

	12/31/2015		
	(4) Ordinary Percent	(5) Capital Percent	(6) (Col 4 + 5) Total Percent
1	\$ 9,329,903	\$ 4,287,466	\$ 13,617,369
	15.4%	0.0%	15.4%
2	\$ 9,329,903	\$ 4,287,466	\$ 13,617,369
	15.4%	0.0%	15.4%

	Change		
	(7) (Col 1 - 4) Ordinary Percent	(8) (Col 2 - 5) Capital Percent	(9) (Col 7 + 8) Total Percent
1	\$ 85,059	\$ (599,568)	\$ (514,509)
	(0.1%)	0.0%	(0.1%)
2	\$ 85,059	\$ (599,568)	\$ (514,509)
	(0.1%)	0.0%	(0.1%)

(b) Does the Company's tax planning strategies include the use of reinsurance? Yes \_\_\_\_\_ No  X \_\_\_\_\_

## B Deferred tax liabilities that are not recognized:

There are no temporary differences for which deferred tax liabilities are not recognized.

## C

1 Current income taxes incurred consist of the following major components:

- (a) Federal
- (b) Foreign
- (c) Subtotal
- (d) Federal income tax on net capital gain
- (e) Utilization of capital loss carryforward
- (f) Other
- (g) Federal and foreign income taxes incurred

(1) 9/30/2016	(2) 12/31/2015	(Col 1 - 2) Change
\$ 3,396,762	\$ 4,913,426	\$ (1,516,664)
10,152	13,536	(3,384)
3,406,914	4,926,962	(1,520,048)
13,642	(334,297)	347,939
0	0	0
(79,787)	(107,239)	27,452
<u>\$ 3,340,769</u>	<u>\$ 4,485,426</u>	<u>\$ (1,144,657)</u>

2 The tax effects of temporary differences that give rise to significant portions of the deferred tax assets and deferred tax liabilities are as follows:

	(1) 9/30/2016	(2) 12/31/2015	(Col 1 - 2) Change
Deferred tax assets:			
(a) Ordinary deferred tax assets:			
1 Discounting of Unpaid Losses	\$ 1,079,170	\$ 1,085,672	\$ (6,502)
2 Uneamed premium reserve	5,231,343	5,152,192	79,151
3 Investments	5,391	5,391	0
4 Guarantee fund accrual	0	0	0
5 Salvage and subrogation	1,305,984	1,326,086	(20,102)
6 Fixed assets	144,996	144,996	0
7 Compensation and Benefits Accrual	6,130	0	6,130
8 Pension accrual	1,633,627	1,602,329	31,298
9 Other assets (including item <5% of total)	8,321	13,237	(4,916)
(99) Subtotal	9,414,962	9,329,903	85,059
(b) Statutory valuation allowance adjustment	0	0	0
(c) Nonadmitted ordinary deferred tax assets	0	0	0
(d) Admitted ordinary deferred tax assets (2a99-2b-2c)	9,414,962	9,329,903	85,059
(e) Capital deferred tax assets:			
1 Investments	3,466,626	4,122,892	(656,266)
2 Net capital loss carryforward	0	0	0
3 Fixed assets	0	0	0
4 Other assets (including item <5% of total)	221,272	164,574	56,698
(99) Subtotal	3,687,898	4,287,466	(599,568)
(f) Statutory valuation allowance adjustment	0	0	0
(g) Nonadmitted capital deferred tax assets	0	0	0
(h) Admitted capital deferred tax assets (2e99 - 2f - 2g)	3,687,898	4,287,466	(599,568)
(i) Admitted deferred tax assets (2d + 2h)	<u>\$ 13,102,860</u>	<u>\$ 13,617,369</u>	<u>\$ (514,509)</u>

## NOTES TO FINANCIAL STATEMENTS

3	Deferred tax liabilities:				
	(a) Ordinary deferred tax liabilities				
1	Investments	\$ 51,739	\$ 54,398	\$ (2,659)	
2	Fixed assets	3,020	3,020	0	
3	Deferred and uncollected premiums	0	0	0	
4	Deferred compensation	0	0	0	
5	Other liabilities (including item <5% of total)	196,670	207,574	(10,904)	
(99)	Subtotal	251,429	264,992	(13,563)	
(b) Capital deferred tax liabilities					
1	Unrealized gain / (losses)	13,095,863	9,057,209	4,038,654	
2	Investments	1,254,008	2,362,913	(1,108,905)	
3	Real estate	0	0	0	
4	Other liabilities (including item <5% of total)	0	0	0	
(99)	Subtotal	14,349,871	11,420,122	2,929,749	
(c) Deferred tax liabilities (3a99 + 3b99)		\$ 14,601,300	\$ 11,685,114	\$ 2,916,186	
4	Net admitted deferred tax asset/ (liability) (2i - 3c)	\$ (1,498,440)	\$ 1,932,255	\$ (3,430,695)	

5 The change in deferred income taxes reported in surplus before consideration of nonadmitted assets is comprised of the following components:

	9/30/2016	12/31/2015	Change
Net deferred tax asset (liability)	\$ (1,498,440)	\$ 1,932,255	\$ (3,430,695)
Tax-effect of unrealized gains and losses	(13,095,863)	(9,057,208)	(4,038,655)
Net tax effect without unrealized gains and losses	\$ 11,597,423	\$ 10,989,463	\$ 607,960

Change in deferred income tax \$ 607,960

D The Company's income tax incurred and change in deferred income tax differs from the amount obtained by applying the federal statutory rate of 35% to income before income taxes as follows:

	9/30/2016	12/31/2015
1 Income taxes incurred, gross of capital gains tax (benefit)	\$ 3,327,127	\$ 4,819,723
2 Change in deferred income tax (without tax on unrealized gains and losses)	(607,960)	325,148
3 Total income tax reported	<u>\$ 2,719,167</u>	<u>\$ 5,144,871</u>
4 Statutory income before taxes, gross of capital gains tax (benefit)	10,036,234	17,406,376
5 Expected income tax expense (benefit) at 35% statutory rate	35%	35%
6 Increase (decrease) in actual tax reported resulting from:		
a. Dividend received deduction	\$ (520,602)	\$ (660,427)
b. Nondeductible expenses for meals, penalties, and lobbying	44,232	52,121
c. Tax exempt income	(406,608)	(541,307)
d. Prior period adjustment	7,058	56,006
e. Deferred tax benefit (expense) on nonadmitted assets	(56,698)	54,027
f. Deferred tax benefit (expense) on retirement liability	0	0
g. Appreciation on donated property	0	0
h. IRC 832 (b) (5) adjustment	139,082	180,260
i. Other	21	(88,041)
7 Total federal income tax reported	<u>\$ 2,719,167</u>	<u>\$ 5,144,871</u>

E Operating loss carryforward

1 As of the end of the current period, there are no operating loss or tax credit carryforwards available for tax purposes.

2 The amount of federal income taxes incurred that are available for recoupment in the event of future net losses are:

	Ordinary	Capital	Total
2016	\$ 3,410,404	\$ 0	\$ 3,410,404
2015	\$ 4,577,612	\$ 256,028	\$ 4,833,640
2014	\$ 0	\$ 1,714,398	\$ 1,714,398

3 The Company did not have any protective tax deposits under Section 6603 of the Internal Revenue Code.

F Consolidated Federal Income Tax Return

1 The Company's federal income tax return is consolidated with its affiliates. Ohio Farmers Insurance Company (OFIC) is the parent company of the consolidated return. The following subsidiaries will be included in the consolidated federal income tax return:

Westfield Insurance Company	Westfield Services, Inc.
Westfield National Insurance Company	Westfield Bancorp, Inc.
American Select Insurance Company	Westfield Bank, FSB
Old Guard Insurance Company	Westfield Credit Corp.
Westfield Management Company	COIN Financial, Inc.

2 Each company in the consolidation has agreed to share any tax or recovery of tax based on their individual taxable income or loss. Each company's current taxable income or loss will be adjusted by any prior taxable income or loss which can be carried forward to the current year.

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties-

A. The Company is owned and operated by its parent company, Ohio Farmers Insurance Company (OFIC).

B. The Company has no exceptional transactions with affiliates to report.

C. The Company has made no changes in methods of establishing terms.

## NOTES TO FINANCIAL STATEMENTS

D. Affiliated Balances due to and from the Company at 9/30/2016 and 12/31/2015 respectively were:

	<u>9/30/2016</u>	<u>12/31/2015</u>
Ohio Farmers Insurance Company Affiliated Receivable	\$ 0 \$ 0	\$ 1,305,440 \$ 1,305,440
Ohio Farmers Insurance Company Affiliated Payable	\$ 683,490 \$ 683,490	\$ 0 \$ 0

Every ninety (90) days the affiliated balances are reviewed and settled in either cash or the transfer of securities.

E. through N.- No significant change

11. Debt-

- A. Holding Company Obligations- Not applicable
- B. Federal Home Loan Bank Agreements (FHLB) - Not applicable

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans-

- A. Defined Benefit Pension Plan and Postretirement (Other) Benefit Plans

The Company's parent, Ohio Farmers Insurance Company (OFIC), sponsors a non-contributory defined benefit pension plan covering U. S. employees. As of September 30, 2016, there was accrued, in accordance with actuarially determined amounts with an offset to the pension cost accrual for the incremental asset amortization, amounts representing the present value of future benefit obligations. The following data is an update of certain information related to the Net Periodic Benefit Cost. There was no significant change in other data associated with this footnote:

Components of net periodic benefit cost

	Pension Benefits		Postretirement Benefits	
	09/30/2016	09/30/2015	09/30/2016	09/30/2015
a. Service cost	\$ 9,548,779	\$ 10,031,741	\$ 609,500	\$ 693,608
b. Interest cost	14,103,399	13,203,960	1,335,841	1,301,586
c. Expected return on plan assets	(20,437,907)	(20,928,222)	(1,722,551)	(1,802,407)
d. Transition asset or obligation	0	0	0	0
e. Gains and losses	5,993,876	6,189,232	201,767	216,217
f. Prior service cost or credit	405,800	632,263	257,735	141,678
g. Gain or loss recognized due to a settlement or curtailment	0	0	0	0
h. Total net periodic benefit cost	\$ 9,613,947	\$ 9,128,974	\$ 682,292	\$ 550,682

On January 1, 2013, OFIC adopted SSAP No. 92, *Accounting for Postretirement Benefits Other Than Pensions* and SSAP No. 102, *Accounting for Pensions*. The standards require insurers to recognize the overfunded or underfunded status of a defined benefit postretirement plan as an asset or liability and include non-vested employees in determining the plan obligations. In addition, a sponsor's fiscal year end will be used as the measurement date for estimating the fair value of postretirement benefit assets and liabilities. The guidance contains a transition provision that gives insurers the option to recognize the initial impact to surplus over a period not to exceed 10 years. OFIC elected the transition option. The Company expects the remaining transition liability of \$0.7 million to be recognized in 2016.

OFIC contributed \$14.0 million to its pension plan in March 2016. OFIC does not expect to make any additional contributions during the remainder of fiscal year 2016.

The Other Postretirement Benefits expense reflects the receipt of the government subsidy during the period that OFIC's plan benefits are expected to be considered actuarially equivalent to those provided by Medicare Part D.

- B. Plan Asset Information- No significant change
- C. Fair Value Measurements of Plan Assets- No significant change
- D. Long-Term Rates of Return- No significant change
- E. Defined Contribution Plan- No significant change
- F. Multiemployer Plans- Not applicable
- G. Consolidated/Holding Company Plans- Not applicable
- H. Post-employment Benefits and Compensated Absences- Not applicable
- I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17) - No significant change
- 13. Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations- No significant change
- 14. Contingencies-
  - A. Contingent Commitments- Not applicable
  - B. Assessments- No significant change
  - C. Gain Contingencies- Not applicable
  - D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits- Not applicable
  - E. Product Warranties- Not applicable
  - F. Joint and Several Liabilities- Not applicable
  - G. All Other Contingencies
 

Various lawsuits against the Company have arisen in the course of the Company's business. Contingent liabilities arising from litigation, income taxes, and other matters are not considered material in relation to the financial position of the Company. There are no contingent liabilities arising from litigation.

There has been no significant change in the collectability of the Company's accounts receivable for Agents Balances or Uncollected Premiums.
- 15. Leases- No significant change
- 16. Information about Financial Instruments with Off-Balance-Sheet Risk and Financial Instruments with Concentrations of Credit Risk- No significant change
- 17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities-
  - A. Transfer of Receivables Reported as Sales
 

The Company has not sold or transferred any receivables to any other parties.
  - B. Transfer and Servicing of Financial Assets- Not applicable

## NOTES TO FINANCIAL STATEMENTS

C. Wash Sales  
The Company did not have any wash sales involving transactions for securities with a NAIC designation of 3 or below.

18. Gain or Loss to the Reporting Entity from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans- Not applicable

19. Direct Premium Written / Produced by Managing General Agents / Third Party Administrators- Not applicable

20. Fair Value Measurements-  
A. For assets that are measured and reported at fair value in the statement of financial position after initial recognition, the valuation techniques and the inputs used to develop those measurements are as follows:

Level 1 - Values are unadjusted quoted prices for identical assets and liabilities in active markets accessible at the measurement date.

Level 2 - Inputs include quoted prices for similar assets or liabilities in active markets, quoted prices from those willing to trade in markets that are not active, or other inputs that are observable or can be corroborated by market data for the term of the instrument. Such inputs include market interest rates and volatilities, spreads and yield curves.

Level 3 - Certain inputs are unobservable (supported by little or no market activity) and significant to the fair value measurement. Unobservable inputs reflect the Company's best estimate of what hypothetical market participants would use to determine a transaction price for the asset or liability at the reporting date.

The Company has no liabilities that are measured at fair value in the statement of financial position.

(1) Fair Value Measurements at September 30, 2016

Description	(Level 1)	(Level 2)	(Level 3)	Total
Assets at Fair Value:				
Common Stock				
Industrial and Miscellaneous	\$ 127,050,032	\$ 0	\$ 0	\$ 127,050,032
Total Common Stocks	\$ 127,050,032	\$ 0	\$ 0	\$ 127,050,032
Other Invested Assets				
Joint Venture, Pte or LLC, char. of Com Stks - Unaffiliated	\$ 2,406,888	\$ 0	\$ 0	\$ 2,406,888
Total Other Invested Assets	\$ 2,406,888	\$ 0	\$ 0	\$ 2,406,888
Total Assets at Fair Value	\$ 129,456,920	\$ 0	\$ 0	\$ 129,456,920

(2) At September 30, 2016, the Company held no investments in assets or liabilities measured and reported at fair value that were classified as Level 3.

(3) The Company's policy for determining when transfers between levels is required is based upon change in the inputs used to determine fair value measurement. If an input changes, the Company evaluates the new input(s) and makes the determination whether or not a transfer between levels is appropriate. If an asset or liability is transferred between levels, it is the Company's policy to record the transfer as of the beginning of the quarter in which the transfer occurs. The Company held no assets or liabilities categorized as Level 1, 2 or 3 during the reporting period that were transferred into or out of the level categorization held at January 1, 2016.

(4) As of September 30, 2016, the Company held no investments in assets or liabilities measured and reported at fair value that were classified as Level 2 or Level 3. Historically, fair values in the Level 2 category are provided by independent pricing services. Where independent pricing services provide fair values, the Company has obtained an understanding of the methods, models and inputs used in pricing and has controls in place to validate that amounts provided represent current fair values. Estimated fair values of investments categorized as Level 3 generally include inputs for which no readily observable inputs are available and require management judgment.

(5) As of September 30, 2016, the Company had no holdings classified as either a derivative asset or liability.

B. Combining Fair Value Information- Not required

C. The method(s) and significant assumptions used to estimate the fair value of financial instruments are as follows:

*Investment Securities* - Fair values for bonds are based on the values prescribed by an independent pricing service or from brokers. For bonds that are not actively traded, estimated fair values are based on values of bonds of comparable yield and credit quality. The fair values for common stocks are based on quoted market prices, where available, which are provided to the Company by an independent pricing service.

*Short-term investments, Uncollected premiums and agents' balances in the course of collection, and Deferred premiums, agents' balances and installments booked but deferred and not yet due* - The carrying amounts reported as admitted assets or liabilities for these financial instruments approximate their fair values due to the short-term nature of these financial instruments.

*Other Invested Assets* - The estimated fair value of publicly traded limited partnerships is based on the values prescribed by an independent pricing service.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets or Liabilities				Not Practicable (Carrying Value)
			(Level 1)	(Level 2)	(Level 3)	
a. Financial Assets:						
Bonds	\$ 275,655,129	\$ 249,168,757	\$ 33,396,898	\$ 242,228,177	\$ 30,054	\$ 0
Common stocks	127,050,032	127,050,032	127,050,032	0	0	0
Short-term investments	1,604,372	1,604,372	0	1,604,372	0	0
Other invested assets	2,406,888	2,406,888	2,406,888	0	0	0
Uncollected premiums and agents' balances in the course of collection	8,430,798	8,430,798	0	8,430,798	0	0
Deferred premiums, agents' balances and installments booked but deferred and not yet due	52,669,825	52,669,825	0	52,669,825	0	0

b. Financial Liabilities: Not applicable

D. Fair Value Estimating- Not applicable

21. Other Items-

A. Unusual or Infrequent Items- Not applicable

B. Troubled Debt Restructuring: Debtors- Not applicable

C. Other Disclosures- Not applicable

D. Business Interruption Insurance Recoveries- No significant change

E. State Transferable and Non-transferable Tax Credits- No significant change

F. Subprime-Mortgage-Related Risk Exposure- No significant change

G. Insurance-Linked Securities- Not applicable

**NOTES TO FINANCIAL STATEMENTS**

22. Events Subsequent-  
Subsequent events have been considered through October 15, 2016 for the statutory statements issued as of September 30, 2016. No events or transactions have occurred that would give rise to a Type I or Type II subsequent event.

**P & C Specific Notes**

23. Reinsurance- No significant change

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination- Not applicable

25. Changes in Incurred Losses and Loss Adjustment Expenses-  
Reserves as of December 31, 2015 were \$139.1 million. In calendar year 2016, \$35.3 million has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$99.4 million. Therefore, there has been a \$4.4 million favorable prior-year development from December 31, 2015 to September 30, 2016. The favorable development is principally from decreases in the estimates of loss and loss adjustment expenses for the following lines of business: Commercial Multiple Peril, Fidelity/Surety, Auto Physical Damage and Commercial Auto Liability. This change is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. The estimates are not affected by prior year loss development on retrospectively rated policies, as the Company does not write this type of policy.

26. Intercompany Pooling Arrangements-  
A. through F. - No significant change

G. Affiliated Balances due to and from the Company at 9/30/2016 and 12/31/2015 respectively were:

	<u>9/30/2016</u>	<u>12/31/2015</u>
Ohio Farmers Insurance Company* Affiliated Receivable	\$ 0 \$ 0	\$ 1,305,440 \$ 1,305,440
Ohio Farmers Insurance Company* Affiliated Payable	\$ 683,490 \$ 683,490	\$ 0 \$ 0

\*Ohio Farmers Insurance Company is included in the intercompany pooling arrangement.

27. Structured Settlements- No significant change

28. Health Care Receivables- Not applicable

29. Participating Policies- Not applicable

30. Premium Deficiency Reserves- No significant change

31. High Deductibles- Not applicable

32. Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses- No significant change

33. Asbestos/Environmental Reserves- No significant change

34. Subscriber Savings Accounts- Not applicable

35. Multiple Peril Crop Insurance- Not applicable

36. Financial Guaranty Insurance- Not applicable

## GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

## GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes ( ) No (X)

1.2 If yes, has the report been filed with the domiciliary state? Yes ( ) No ( )

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes ( ) No (X)

2.2 If yes, date of change: .....

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes (X) No ( )

If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes ( ) No (X)

3.3 If the response to 3.2 is yes, provide a brief description of those changes. ....

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes ( ) No (X)

4.2 If the response to 4.1 is yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
---------------------	------------------------	------------------------

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes ( ) No ( ) N/A (X)

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2012

6.2 State as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2012

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 01/02/2014

6.4 By what department or departments?  
Ohio

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes ( ) No ( ) N/A (X)

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes ( ) No ( ) N/A (X)

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes ( ) No (X)

7.2 If yes, give full information  
.....

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes (X) No ( )

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.  
Ohio Farmers Insurance Company

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes (X) No ( )

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
---------------------	-----------------------------	----------	----------	-----------	----------

Ohio Farmers Insurance Company ..... Westfield Center, Ohio ..... Y ..... N ..... N ..... N ..... N  
Westfield Bancorp, Inc. ..... Westfield Center, Ohio ..... Y ..... N ..... N ..... N ..... N  
Westfield Bank, FSB ..... Westfield Center, Ohio ..... N ..... Y ..... N ..... N

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes (X) No ( )

(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

(c) Compliance with applicable governmental laws, rules and regulations;

(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:  
.....

9.2 Has the code of ethics for senior managers been amended? Yes ( ) No (X)

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).  
.....

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes ( ) No (X)

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).  
.....

**GENERAL INTERROGATORIES****PART 1 - COMMON INTERROGATORIES****FINANCIAL**

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes ( ) No (X)  
 10.2 If yes, indicate the amounts receivable from parent included in the Page 2 amount: \$ ..... 0

**INVESTMENT**

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes ( ) No (X)  
 11.2 If yes, give full and complete information relating thereto:  
 .....  
 12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ ..... 0  
 13. Amount of real estate and mortgages held in short-term investments: \$ ..... 0  
 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes ( ) No (X)  
 14.2 If yes, please complete the following:

	1 Prior Year-End Book/ Adjusted Carrying Value	2 Current Quarter Book/ Adjusted Carrying Value
14.21 Bonds	\$ ..... 0	\$ ..... 0
14.22 Preferred Stock	\$ ..... 0	\$ ..... 0
14.23 Common Stock	\$ ..... 0	\$ ..... 0
14.24 Short-Term Investments	\$ ..... 0	\$ ..... 0
14.25 Mortgage Loans or Real Estate	\$ ..... 0	\$ ..... 0
14.26 All Other	\$ ..... 0	\$ ..... 0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Line 14.21 to Line 14.26)	\$ ..... 0	\$ ..... 0
14.28 Total Investment in Parent included in Line 14.21 to Line 14.26 above	\$ ..... 0	\$ ..... 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes ( ) No (X)  
 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes ( ) No ( )  
 If no, attach a description with this statement.  
 16. For the reporting entity's security lending program, state the amount of the following as of current statement date:  
 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ 0  
 16.2 Total book adusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ 0  
 16.3 Total payable for securities lending reported on the liability page \$ 0  
 17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes (X) No ( )  
 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
---------------------------	------------------------

BNY Mellon ..... One Wall Street, New York, NY 10286 .....

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
--------------	------------------	------------------------------

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes ( ) No (X)

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
--------------------	--------------------	---------------------	-------------

17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
---	--------------	--------------

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes (X) No ( )

18.2 If no, list exceptions:

 .....

**GENERAL INTERROGATORIES****PART 2 - PROPERTY AND CASUALTY INTERROGATORIES**

1. If the reporting entity is a member of a pooling arrangement, did the agreement of the reporting entity's participation change?  
If yes, attach an explanation. Yes ( ) No (X) N/A ( )

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured?  
If yes, attach an explanation. Yes ( ) No (X)

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes ( ) No (X)

3.2 If yes, give full and complete information thereto

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves") discounted at a rate of interest greater than zero? Yes ( ) No (X)

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Discount Rate	TOTAL DISCOUNT				DISCOUNT TAKEN DURING PERIOD			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 TOTAL

5. Operating Percentages:

5.1 A&H loss percent ..... 0.000 %

5.2 A&H cost containment percent ..... 0.000 %

5.3 A&H expense percent excluding cost containment expenses ..... 0.000 %

6.1 Do you act as a custodian for health savings accounts? Yes ( ) No (X)

6.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ ..... 0

6.3 Do you act as an administrator for health savings accounts? Yes ( ) No (X)

6.4 If yes, please provide the balance of the funds administered as of the reporting date. \$ ..... 0

**SCHEDULE F - CEDED REINSURANCE**

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurance Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
------------------------------	-------------------	------------------------	----------------------------------	---------------------------	--	---

0199999 - Affiliates

0299999 - U. S. Insurers

0399999 - Pools and Associations

All Other Insurers

00000	AA-1120337	Aspen Ins UK Ltd	GBR	Authorized	.....	.....
00000	AA-1120085	Lloyd's Syndicate Number 1274	GBR	Authorized	.....	.....
00000	AA-1127301	Lloyd's Syndicate Number 1301	GBR	Authorized	.....	.....
00000	AA-1120161	Lloyd's Syndicate Number 1980	GBR	Authorized	.....	.....
00000	AA-1120158	Lloyd's Syndicate Number 2014	GBR	Authorized	.....	.....
00000	AA-1120164	Lloyd's Syndicate Number 2088	GBR	Authorized	.....	.....
00000	AA-1126033	Lloyd's Syndicate Number 33	GBR	Authorized	.....	.....
00000	AA-1126780	Lloyd's Syndicate Number 780	GBR	Authorized	.....	.....

0499999 - All Other Insurers

**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

Current Year to Date - Allocated by States and Territories

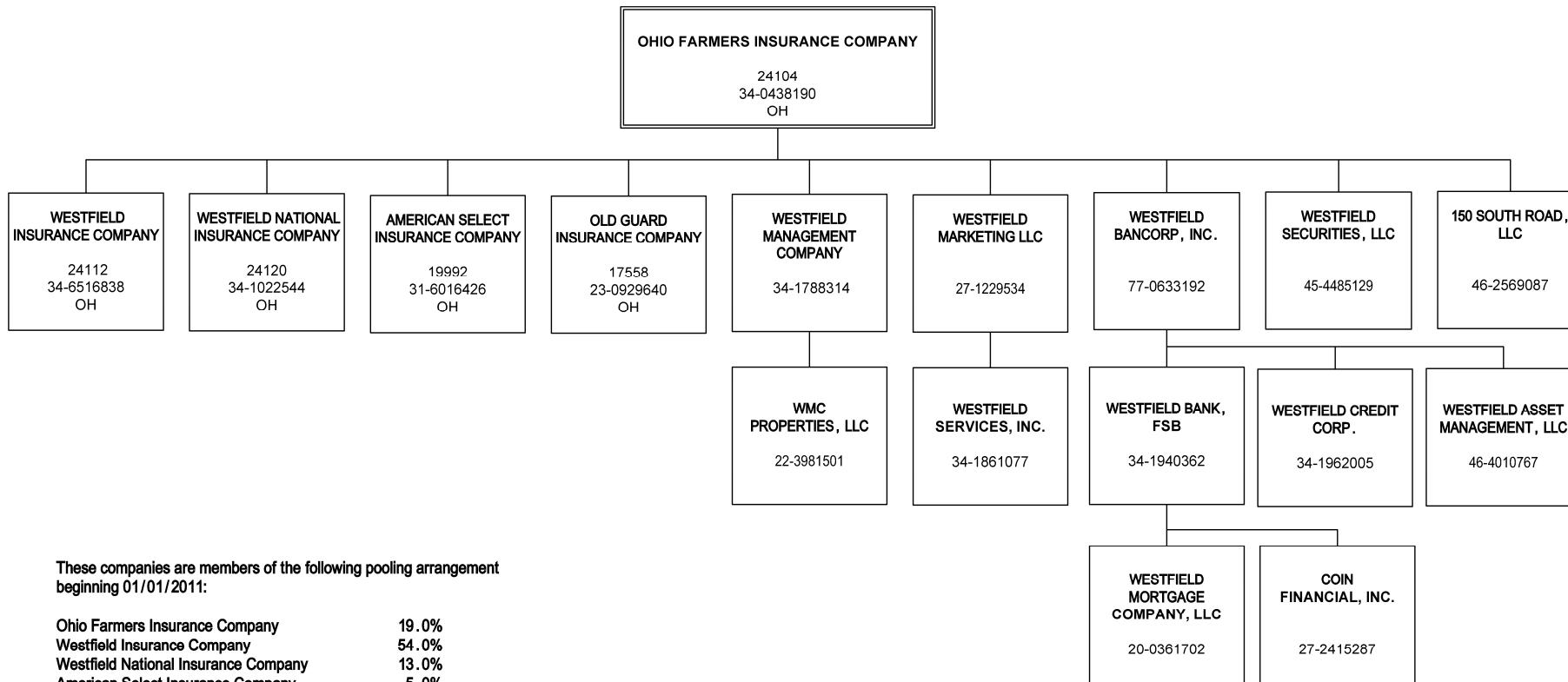
States, etc.	1 Active Status	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama	AL N	0	0	0	0	0	0
2. Alaska	AK N	0	0	0	0	0	0
3. Arizona	AZ N	0	0	0	0	0	0
4. Arkansas	AR N	0	0	0	0	0	0
5. California	CA N	0	0	0	0	0	0
6. Colorado	CO N	0	0	0	0	0	0
7. Connecticut	CT N	0	0	0	0	0	0
8. Delaware	DE L	0	0	180,591	109,196	2,358,126	2,577,577
9. District of Columbia	DC N	0	0	0	0	0	0
10. Florida	FL N	0	0	0	0	0	0
11. Georgia	GA N	0	0	0	0	0	0
12. Hawaii	HI N	0	0	0	0	0	0
13. Idaho	ID N	0	0	0	0	0	0
14. Illinois	IL N	0	0	0	0	0	0
15. Indiana	IN L	0	0	0	0	0	0
16. Iowa	IA L	0	0	0	0	0	0
17. Kansas	KS N	0	0	0	0	0	0
18. Kentucky	KY L	0	0	0	0	0	0
19. Louisiana	LA N	0	0	0	0	0	0
20. Maine	ME N	0	0	0	0	0	0
21. Maryland	MD L	0	0	0	0	0	6,991
22. Massachusetts	MA N	0	0	0	0	0	0
23. Michigan	MI N	0	0	0	0	0	0
24. Minnesota	MN N	0	0	0	0	0	0
25. Mississippi	MS N	0	0	0	0	0	0
26. Missouri	MO N	0	0	0	0	0	0
27. Montana	MT N	0	0	0	0	0	0
28. Nebraska	NE N	0	0	0	0	0	0
29. Nevada	NV N	0	0	0	0	0	0
30. New Hampshire	NH N	0	0	0	0	0	0
31. New Jersey	NJ N	0	0	0	0	0	0
32. New Mexico	NM N	0	0	0	0	0	0
33. New York	NY N	0	0	0	0	0	0
34. North Carolina	NC N	0	0	0	0	0	0
35. North Dakota	ND L	0	0	0	0	0	0
36. Ohio	OH L	0	0	0	0	0	0
37. Oklahoma	OK N	0	0	0	0	0	0
38. Oregon	OR E	0	0	0	0	0	0
39. Pennsylvania	PA L	0	0	77,421	303,144	703,605	1,023,492
40. Rhode Island	RI N	0	0	0	0	0	0
41. South Carolina	SC N	0	0	0	0	0	0
42. South Dakota	SD L	0	0	0	0	0	0
43. Tennessee	TN N	0	0	0	0	0	0
44. Texas	TX N	0	0	0	0	0	0
45. Utah	UT N	0	0	0	0	0	0
46. Vermont	VT N	0	0	0	0	0	0
47. Virginia	VA L	0	0	0	0	0	0
48. Washington	WA N	0	0	0	0	0	0
49. West Virginia	WV L	0	0	0	0	0	0
50. Wisconsin	WI N	0	0	0	0	0	0
51. Wyoming	WY N	0	0	0	0	0	0
52. American Samoa	AS N	0	0	0	0	0	0
53. Guam	GU N	0	0	0	0	0	0
54. Puerto Rico	PR N	0	0	0	0	0	0
55. U.S. Virgin Islands	VI N	0	0	0	0	0	0
56. Northern Mariana Islands	MP N	0	0	0	0	0	0
57. Canada	CAN N	0	0	0	0	0	0
58. Aggregate Other Alien	OT XXX	0	0	258,012	412,340	3,061,731	3,608,060
59. Totals	(a) 11	0	0				
<b>DETAILS OF WRITE-INS</b>							
58001	XXX	0	0	0	0	0	0
58002	XXX	0	0	0	0	0	0
58003	XXX	0	0	0	0	0	0
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0
58999. TOTALS (Line 58001 through Line 58003 plus Line 58998) (Line 58 above)	XXX	0	0	0	0	0	0

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer;  
(E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of "L" responses except for Canada and Other Alien.

## **SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**

## PART 1 - ORGANIZATIONAL CHART



## STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Old Guard Insurance Company

## SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies) /Person(s)	15 *
0228	OFIC & Affiliates	24104	34-0438190			Ohio Farmers Insurance Company	OH	UDP	NA	NA	0.000	NA		1
0228	OFIC & Affiliates	24112	34-6516838			Westfield Insurance Company	OH	IA	Ohio Farmers Insurance Company	Ownership	100.000	Ohio Farmers Insurance Company		
0228	OFIC & Affiliates	24120	34-1022544			Westfield National Insurance Company	OH	IA	Ohio Farmers Insurance Company	Ownership	100.000	Ohio Farmers Insurance Company		
0228	OFIC & Affiliates	19992	31-6016426			American Select Insurance Company	OH	IA	Ohio Farmers Insurance Company	Ownership	100.000	Ohio Farmers Insurance Company		
0228	OFIC & Affiliates	17558	23-0929640			Old Guard Insurance Company	OH	RE	Ohio Farmers Insurance Company	Ownership	100.000	Ohio Farmers Insurance Company		
		00000	34-1788314			Westfield Management Company	OH	NIA	Ohio Farmers Insurance Company	Ownership	85.000	Ohio Farmers Insurance Company		
		00000	22-3981501			WMC Properties, LLC	OH	NIA	Westfield Management Company	Ownership	100.000	Ohio Farmers Insurance Company		
		00000	27-1229534			Westfield Marketing LLC	OH	NIA	Ohio Farmers Insurance Company	Ownership	100.000	Ohio Farmers Insurance Company		
		00000	34-1861077			Westfield Services, Inc.	OH	NIA	Westfield Marketing LLC	Ownership	100.000	Ohio Farmers Insurance Company		
		00000	77-0633192			Westfield Bancorp, Inc.	OH	NIA	Ohio Farmers Insurance Company	Ownership	100.000	Ohio Farmers Insurance Company		
		00000	34-1962005			Westfield Credit Corp.	OH	NIA	Westfield Bancorp, Inc.	Ownership	100.000	Ohio Farmers Insurance Company		
		00000	46-4010767			Westfield Asset Management, LLC	OH	NIA	Westfield Bancorp, Inc.	Ownership	100.000	Ohio Farmers Insurance Company		
		00000	34-1940362			Westfield Bank, FSB	OH	NIA	Westfield Bancorp, Inc.	Ownership	100.000	Ohio Farmers Insurance Company		
		00000	20-0361702			Westfield Mortgage Company, LLC	OH	NIA	Westfield Bank, FSB	Ownership	100.000	Ohio Farmers Insurance Company		
		00000	27-2415287			COIN Financial, Inc.	OH	NIA	Westfield Bank, FSB	Ownership	100.000	Ohio Farmers Insurance Company		
		00000	45-4485129			Westfield Securities, LLC	OH	NIA	Ohio Farmers Insurance Company	Ownership	100.000	Ohio Farmers Insurance Company		
		00000	46-2569087			150 South Road, LLC	OH	NIA	Ohio Farmers Insurance Company	Ownership	100.000	Ohio Farmers Insurance Company		

12

Asterisk	Explanation
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1 No Entity(ies) or Person(s) has control of Ohio Farmers Insurance Company

**PART 1 - LOSS EXPERIENCE**

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire .....	0	(420)	0.0	0.0
2. Allied lines .....	0	(253)	0.0	0.0
3. Farmowners multiple peril .....	0	(3,773)	0.0	0.0
4. Homeowners multiple peril .....	0	(1,317)	0.0	0.0
5. Commercial multiple peril .....	0	0	0.0	0.0
6. Mortgage guaranty .....	0	0	0.0	0.0
8. Ocean marine .....	0	0	0.0	0.0
9. Inland marine .....	0	0	0.0	0.0
10. Financial guaranty .....	0	0	0.0	0.0
11.1 Medical professional liability-occurrence .....	0	0	0.0	0.0
11.2 Medical professional liability-claims made .....	0	0	0.0	0.0
12. Earthquake .....	0	0	0.0	0.0
13. Group accident and health .....	0	0	0.0	0.0
14. Credit accident and health .....	0	0	0.0	0.0
15. Other accident and health .....	0	0	0.0	0.0
16. Workers' compensation .....	0	(215,161)	0.0	0.0
17.1 Other liability-occurrence .....	0	13,387	0.0	0.0
17.2 Other liability-claims made .....	0	0	0.0	0.0
17.3 Excess Workers' Compensation .....	0	0	0.0	0.0
18.1 Products liability-occurrence .....	0	0	0.0	0.0
18.2 Products liability-claims made .....	0	0	0.0	0.0
19.1, 19.2 Private passenger auto liability .....	0	(143)	0.0	0.0
19.3, 19.4 Commercial auto liability .....	0	(37)	0.0	0.0
21. Auto physical damage .....	0	(33,564)	0.0	0.0
22. Aircraft (all perils) .....	0	0	0.0	0.0
23. Fidelity .....	0	0	0.0	0.0
24. Surety .....	0	0	0.0	0.0
26. Burglary and theft .....	0	0	0.0	0.0
27. Boiler and machinery .....	0	0	0.0	0.0
28. Credit .....	0	0	0.0	0.0
29. International .....	0	0	0.0	0.0
30. Warranty .....	0	0	0.0	0.0
31. Reinsurance-Nonproportional Assumed Property .....	XXX	XXX	XXX	XXX
32. Reinsurance-Nonproportional Assumed Liability .....	XXX	XXX	XXX	XXX
33. Reinsurance-Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business .....	0	0	0.0	0.0
35. TOTALS .....	0	(241,281)	0.0	0.0
<b>DETAILS OF WRITE-INS</b>				
3401 .....	0	0	0.0	0.0
3402 .....	0	0	0.0	0.0
3403 .....	0	0	0.0	0.0
3498. Summary of remaining write-ins for Line 34 from overflow page .....	0	0	0.0	0.0
3499. Totals (Line 3401 through Line 3403 plus Line 3498) (Line 34) .....	0	0	0.0	0.0

**PART 2 - DIRECT PREMIUMS WRITTEN**

Line of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year to Date
1. Fire .....	0	0	0
2. Allied lines .....	0	0	0
3. Farmowners multiple peril .....	0	0	0
4. Homeowners multiple peril .....	0	0	0
5. Commercial multiple peril .....	0	0	0
6. Mortgage guaranty .....	0	0	0
8. Ocean marine .....	0	0	0
9. Inland marine .....	0	0	0
10. Financial guaranty .....	0	0	0
11.1 Medical professional liability-occurrence .....	0	0	0
11.2 Medical professional liability-claims made .....	0	0	0
12. Earthquake .....	0	0	0
13. Group accident and health .....	0	0	0
14. Credit accident and health .....	0	0	0
15. Other accident and health .....	0	0	0
16. Workers' compensation .....	0	0	0
17.1 Other liability-occurrence .....	0	0	0
17.2 Other liability-claims made .....	0	0	0
17.3 Excess Workers' Compensation .....	0	0	0
18.1 Products liability-occurrence .....	0	0	0
18.2 Products liability-claims made .....	0	0	0
19.1, 19.2 Private passenger auto liability .....	0	0	0
19.3, 19.4 Commercial auto liability .....	0	0	0
21. Auto physical damage .....	0	0	0
22. Aircraft (all perils) .....	0	0	0
23. Fidelity .....	0	0	0
24. Surety .....	0	0	0
26. Burglary and theft .....	0	0	0
27. Boiler and machinery .....	0	0	0
28. Credit .....	0	0	0
29. International .....	0	0	0
30. Warranty .....	0	0	0
31. Reinsurance-Nonproportional Assumed Property .....	XXX	XXX	XXX
32. Reinsurance-Nonproportional Assumed Liability .....	XXX	XXX	XXX
33. Reinsurance-Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business .....	0	0	0
35. TOTALS .....	0	0	0
<b>DETAILS OF WRITE-INS</b>			
3401 .....	0	0	0
3402 .....	0	0	0
3403 .....	0	0	0
3498. Summary of remaining write-ins for Line 34 from overflow page .....	0	0	0
3499. Totals (Line 3401 through Line 3403 plus Line 3498) (Line 34) .....	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Old Guard Insurance Company

**PART 3 (000 Omitted)**

**LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE**

Years in Which Losses Occurred	1 Prior Year-End Known Case Loss and LAE Reserves	2 Prior Year-End IBNR Loss and LAE Reserves	3 Total Prior Year-End Loss and LAE Reserves (Column 1 plus Column 2)	4 2016 Loss and LAE Payments on Claims Reported as of Prior Year-End	5 2016 Loss and LAE Payments on Claims Unreported as of Prior Year-End	6 Total 2016 Loss and LAE Payments (Column 4 plus Column 5)	7 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	8 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	9 Q.S. Date IBNR Loss and LAE Reserves	10 Total Q.S. Loss and LAE Reserves (Column 7 plus Column 8 plus Column 9)	11 Prior Year-End Known Case Loss and LAE Reserves	12 Prior Year-End IBNR Loss and LAE Reserves Developed (Savings) / Deficiency (Column 5 plus Column 8 plus Column 7 minus Column 1)	13 Prior Year-End Total Loss and LAE Reserve Developed (Savings) / Deficiency (Column 11 plus Column 12)
1. 2013 + Prior	28,858	27,339	56,197	10,065	1,441	11,506	19,643	1,021	20,379	41,043	850	(4,498)	(3,648)
2. 2014	17,799	12,906	30,705	6,873	732	7,605	12,118	1,592	9,621	23,331	1,192	(961)	231
3. Subtotals 2014 + prior	46,657	40,245	86,902	16,938	2,173	19,111	31,761	2,613	30,000	64,374	2,042	(5,459)	(3,417)
4. 2015	25,768	26,456	52,224	11,808	4,413	16,221	15,886	2,980	16,144	35,010	1,926	(2,919)	(993)
5. Subtotals 2015 + prior	72,425	66,701	139,126	28,746	6,586	35,332	47,647	5,593	46,144	99,384	3,968	(8,378)	(4,410)
6. 2016	XXX	XXX	XXX	XXX	34,856	34,856	XXX	20,668	24,435	45,103	XXX	XXX	XXX
7. Totals	72,425	66,701	139,126	28,746	41,442	70,188	47,647	26,261	70,579	144,487	3,968	(8,378)	(4,410)
8. Prior Year-End Surplus As Regards Policy-holders											Column 11, Line 7 As % of Column 1, Line 7	Column 12, Line 7 As % of Column 2, Line 7	Column 13, Line 7 As % of Column 3, Line 7
											1. .... 5.5 %.	2. .... (12.6) %.	3. .... (3.2) %
											Column 13, Line 7 Line 8		
											4. .... (2.4) %		

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusted Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
EXPLANATION:	
BARCODE:	

Document Identifier 490:



2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
EXPLANATION:	
BARCODE:	
Document Identifier 455:	

Document Identifier 455:



3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
EXPLANATION:	
BARCODE:	
Document Identifier 365:	

Document Identifier 365:



4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
EXPLANATION:	
BARCODE:	
Document Identifier 505:	

Document Identifier 505:



**SCHEDULE A - VERIFICATION**

## Real Estate

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December	0	0
2. Cost of acquired:	0	0
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after ac	0	0
3. Current year change in encumbrances	0	0
4. Total gain (loss) on disposals	0	0
5. Deduct amounts received on disposals	0	0
6. Total foreign exchange change in book/ac	0	0
7. Deduct current year's other-than-tempor	0	0
8. Deduct current year's depreciation	0	0
9. Book/adjusted carrying value at end of cu	0	0
Line 5 plus Line 6 minus Line 7 minus Line 8	0	0
10. Deduct total nonadmitted amounts	0	0
11. Statement value at end of current period (Line 9 minus Line 10)	0	0

**NONE****SCHEDULE B - VERIFICATION**

## Mortgage Loans

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excludin	0	0
2. Cost of acquired:	0	0
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after a	0	0
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	0	0
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	0	0
8. Deduct amortization of premium and mort	0	0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest	0	0
10. Deduct current year's other-than-temporary impairment recognized	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Line 1 plus Line 2 plus	0	0
Line 3 plus Line 4 plus Line 5 plus Line 6 minus Line 7 minus Line 8 plus Line 9 minus Line 10)	0	0
12. Total Valuation Allowance	0	0
13. Subtotal (Line 11 plus Line 12)	0	0
14. Deduct total nonadmitted amounts	0	0
15. Statement value at end of current period (Line 13 minus Line 14)	0	0

**SCHEDULE BA - VERIFICATION**

## Other Long-Term Invested Assets

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	2,634,558	2,935,694
2. Cost of acquired:	0	0
2.1 Actual cost at time of acquisition	0	331,731
2.2 Additional investment made after acquisition	0	0
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	556,885	(632,867)
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	0	0
8. Deduct amortization of premium and depreciation	0	0
9. Total foreign exchange change in book/adjusted carrying value	0	0
10. Deduct current year's other-than-temporary impairment recognized	784,555	0
11. Book/adjusted carrying value at end of current period (Line 1 plus Line 2 plus Line 3 plus Line 4 plus	0	0
Line 5 plus Line 6 minus Line 7 minus Line 8 plus Line 9 minus Line 10)	2,406,888	2,634,558
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	2,406,888	2,634,558

**SCHEDULE D - VERIFICATION**

## Bonds and Stocks

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	352,353,202	346,239,361
2. Cost of bonds and stocks acquired	26,500,425	52,999,111
3. Accrual of discount	2,605	5,221
4. Unrealized valuation increase (decrease)	11,766,683	(9,386,288)
5. Total gain (loss) on disposals	1,448,896	4,461,316
6. Deduct consideration for bonds and stocks disposed of	11,260,889	34,615,420
7. Deduct amortization of premium	3,104,261	3,838,739
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other-than-temporary impairment recognized	1,487,872	3,511,360
10. Book/adjusted carrying value at end of current period (Line 1 plus Line 2 plus Line 3 plus Line 4 plus	0	0
Line 5 minus Line 6 minus Line 7 plus Line 8 minus Line 9)	376,218,789	352,353,202
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	376,218,789	352,353,202

## SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity

During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	241,455,515	6,998,546	6,483,815	(1,067,584)	236,010,417	241,455,515	240,902,662	236,564,525
2. NAIC 2 (a) .....	9,891,584	0	0	(21,118)	9,913,400	9,891,584	9,870,466	9,933,967
3. NAIC 3 (a) .....	0	0	0	0	0	0	0	0
4. NAIC 4 (a) .....	0	0	0	0	0	0	0	0
5. NAIC 5 (a) .....	0	0	0	0	0	0	0	0
6. NAIC 6 (a) .....	0	0	0	0	0	0	0	0
7. Total Bonds .....	251,347,099	6,998,546	6,483,815	(1,088,702)	245,923,817	251,347,099	250,773,128	246,498,492
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	0	0	0	0	0	0	0	0
9. NAIC 2 .....	0	0	0	0	0	0	0	0
10. NAIC 3 .....	0	0	0	0	0	0	0	0
11. NAIC 4 .....	0	0	0	0	0	0	0	0
12. NAIC 5 .....	0	0	0	0	0	0	0	0
13. NAIC 6 .....	0	0	0	0	0	0	0	0
14. Total Preferred Stock .....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock .....	251,347,099	6,998,546	6,483,815	(1,088,702)	245,923,817	251,347,099	250,773,128	246,498,492

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ ..... 1,604,372 ; NAIC 2 \$ ..... 0 ; NAIC 3 \$ ..... 0 ; NAIC 4 \$ ..... 0 ; NAIC 5 \$ ..... 0 ; NAIC 6 \$ ..... 0

**SCHEDULE DA - PART 1**

## Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999 .....	1,604,372	XXX	1,604,372	3,617	0

**SCHEDULE DA - VERIFICATION**

## Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book / adjusted carrying value, December 31 of prior year .....	655,008	1,040,686
2. Cost of short-term investments acquired .....	10,719,780	9,641,355
3. Accrual of discount .....	0	0
4. Unrealized valuation increase (decrease) .....	0	0
5. Total gain (loss) on disposals .....	0	0
6. Deduct consideration received on disposals .....	9,770,416	10,027,033
7. Deduct amortization of premium .....	0	0
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other-than-temporary impairment recognized .....	0	0
10. Book/adjusted carrying value at end of current period (Line 1 + Line 2 + Line 3 + Line 4 + Line 5 - Line 6 - Line 7 + Line 8 - Line 9) .....	1,604,372	655,008
11. Deduct total nonadmitted amounts .....	0	0
12. Statement value at end of current period (Line 10 minus Line 11) .....	1,604,372	655,008

**Page SI04**

Schedule DB, Part A, Verification  
**NONE**

Schedule DB, Part B, Verification  
**NONE**

**Page SI05**

Schedule DB, Pt. C, Section 1, Replicated (Synthetic Assets) Open  
**NONE**

**Page SI06**

Sch DB, Pt C, Sn 2, Replication (Syn Assets) Transactions Open  
**NONE**

**Page SI07**

Schedule DB, Verification  
**NONE**

**Page SI08**

Schedule E, Verification (Cash Equivalents)  
**NONE**

**Page E01**

Sch. A, Pt. 2, Real Estate Acquired  
**NONE**

Sch. A, Pt. 3, Real Estate Disposed  
**NONE**

**Page E02**

Schedule B, Part 2, Mortgage Loans Acquired  
**NONE**

Schedule B, Part 3, Mortgage Loans Disposed  
**NONE**

**Page E03**

Sch. BA, Pt. 2, Other Long-Term Invested Assets Acquired  
**NONE**

Sch. BA, Pt. 3, Other Long-Term Invested Assets Disposed  
**NONE**

## STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Old Guard Insurance Company

## SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired by the Company During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
Bonds - U.S. Governments									
38379X-XQ-8	CNMA 16 101 LN 3.000% 07/20/46		08/17/2016	KeyBanc Capital Mkts	2,982,103	2,883,000.00		5,045	1
0599999 - Subtotal - Bonds - U.S. Governments					2,982,103	2,883,000.00		5,045	
Bonds - U.S. States, Territories and Possessions									
20772J-AA-0	CT TAX QLFD SCH SER B GO 5.295% 10/1/29		07/19/2016	KeyBanc Capital Mkts	2,799,587	2,230,000.00		36,408	1FE
1799999 - Subtotal - Bonds - U.S. States, Territories and Possessions					2,799,587	2,230,000.00		36,408	
8399997 - Subtotal - Bonds - Part 3					5,781,690	5,113,000.00		41,453	
8399999 - Subtotal - Bonds					5,781,690	5,113,000.00		41,453	
Common Stocks - Industrial and Miscellaneous (Unaffiliated)									
166764-10-0	CHEVRON CORP		08/12/2016	ISI Equity Research Sales	16,000.000	1,635,485		0	L
17275R-10-2	CISCO SYSTEMS INC		07/29/2016	Morgan Stanley Dean Witter	35,000.000	1,071,651		0	L
31679F-10-1	FIFTH STREET FINANCE SENIOR FLOATING		07/12/2016	Reinvested Div	412.000	3,317		0	L
478160-10-4	JOHNSON & JOHNSON		07/29/2016	ISI Equity Research Sales	8,000.000	998,920		0	L
674599-10-5	OCCIDENTAL PETE CORP		08/19/2016	Various	34,000.000	2,551,160		0	L
74144T-10-8	PRICE T ROWE GROUP INC		07/29/2016	ISI Equity Research Sales	15,000.000	1,052,153		0	L
9099999 - Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)					7,312,686			0	
9799997 - Subtotal - Common Stocks - Part 3					7,312,686			0	
9799999 - Subtotal - Common Stocks					7,312,686			0	
9899999 - Subtotal - Preferred and Common Stocks					7,312,686			0	
9999999 - TOTALS					13,094,376			41,453	

EO4

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues ..... 0 .

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of  
by the Company During the Current Quarter

1 CUSIP Identifi- cation	2 Description	3 Foreign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Total Change in B./A.C.V. (11+12-13)	17 Total Foreign Exchange Change in B./A.C.V.	18 Book/Adjusted Carrying Value at Disposal Date	19 Foreign Exchange Gain (Loss) on Disposal	20 Realized Gain (Loss) on Disposal	21 Total Gain (Loss) on Disposal	22 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designa- tion or Market Indi- cator (a)		
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization) / Accretion	13 Current Year's Other- Than-Tem- porary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.											
<b>Bonds - U.S. Governments</b>																									
36202E-3J-6	GNMA GTD PASS THRU POOL 004401 6.500%	09/01/2016	Paydown		13,546	13,546.00	14,312	14,286	0	(739)	0	(739)	0	0	13,546	0	0	0	0	0	549	03/20/2039	1		
36202E-5K-1	GNMA GTD PASS THRU POOL 004450 6.500%	09/01/2016	Paydown		12,818	12,818.00	13,611	13,564	0	(747)	0	(747)	0	0	12,818	0	0	0	0	0	533	05/20/2039	1		
36202E-PC-7	GNMA GTD PASS THRU POOL 004019 7.000%	09/01/2016	Paydown		3,570	3,570.00	3,708	3,697	0	(127)	0	(127)	0	0	3,570	0	0	0	0	0	154	08/20/2037	1		
36202E-PP-8	GNMA GTD PASS THRU POOL 004030 7.000%	09/01/2016	Paydown		6,647	6,647.00	6,890	6,866	0	(219)	0	(219)	0	0	6,647	0	0	0	0	0	311	09/20/2037	1		
36202E-SD-2	GNMA GTD PASS THRU POOL 004116 6.500%	09/01/2016	Paydown		22,241	22,241.00	23,193	23,142	0	(901)	0	(901)	0	0	22,241	0	0	0	0	0	945	04/20/2038	1		
36294S-DJ-3	GNMA GTD PASS THRU POOL 658105 6.500%	09/01/2016	Paydown		9,459	9,459.00	9,690	9,672	0	(213)	0	(213)	0	0	9,459	0	0	0	0	0	360	09/15/2036	1		
36294S-EP-8	GNMA GTD PASS THRU POOL 658142 7.000%	09/01/2016	Paydown		1,626	1,626.00	1,683	1,674	0	(48)	0	(48)	0	0	1,626	0	0	0	0	0	76	10/15/2036	1		
36295H-R9-3	GNMA GTD PASS THRU POOL 671112 6.500%	09/01/2016	Paydown		4,525	4,525.00	4,675	4,670	0	(145)	0	(145)	0	0	4,525	0	0	0	0	0	200	08/15/2037	1		
36296U-NG-1	GNMA GTD PASS THRU POOL 701591 6.500%	09/01/2016	Paydown		2,177	2,177.00	2,293	2,283	0	(106)	0	(106)	0	0	2,177	0	0	0	0	0	105	01/15/2039	1		
83162C-HH-9	SMALL BUS ADM PASSTHRU 3/1/17 7.150%	09/01/2016	Paydown		3,307	3,307.00	3,307	3,307	0	0	0	0	0	3,307	0	0	0	0	0	236	03/01/2017	1FE			
0599999 - Subtotal - Bonds - U.S. Governments					79,916	79,916.00	83,362	83,161	0	(3,245)	0	(3,245)	0	0	79,916	0	0	0	0	0	3,469				
<b>Bonds - U.S. Special Revenue and Special Assessment and All Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions</b>																									
31287N-CF-3	FHLMC 30YR GOLD GRP POOL C62770 7.00%	09/01/2016	Paydown		28,720	28,720.00	30,308	30,160	0	(1,441)	0	(1,441)	0	0	28,720	0	0	0	0	0	1,460	01/01/2032	1		
3128M4-AX-1	FHLMC 30YR GOLD GRP POOL G02422 6.00%	09/01/2016	Paydown		8,258	8,258.00	8,797	8,775	0	(517)	0	(517)	0	0	8,258	0	0	0	0	0	326	12/01/2036	1		
3128MJ-U3-2	FHLMC 30YR GOLD GRP POOL G08601 4.00%	09/01/2016	Paydown		153,245	153,245.00	162,954	162,800	0	(9,555)	0	(9,555)	0	0	153,245	0	0	0	0	0	4,151	08/01/2044	1		
3128MJ-UV-0	FHLMC 30YR GOLD GRP POOL G08595 4.00%	09/01/2016	Paydown		465,318	465,318.00	492,350	492,025	0	(26,707)	0	(26,707)	0	0	465,318	0	0	0	0	0	12,534	07/01/2044	1		
3128MJ-V2-3	FHLMC 30YR GOLD GRP POOL G08632 3.50%	09/01/2016	Paydown		57,358	57,358.00	60,217	60,188	0	(2,830)	0	(2,830)	0	0	57,358	0	0	0	0	0	1,364	03/01/2045	1		
3128MJ-VJ-6	FHLMC 30YR GOLD GRP POOL G08616 4.00%	09/01/2016	Paydown		126,872	126,872.00	134,999	134,906	0	(8,034)	0	(8,034)	0	0	126,872	0	0	0	0	0	3,422	11/01/2044	1		
3128MJ-WC-0	FHLMC 30YR GOLD GRP POOL G08642 4.00%	09/01/2016	Paydown		168,673	168,673.00	178,873	178,789	0	(10,115)	0	(10,115)	0	0	168,673	0	0	0	0	0	4,545	05/01/2045	1		
3128MJ-WM-8	FHLMC 30YR GOLD GRP POOL G08651 4.00%	09/01/2016	Paydown		170,920	170,920.00	181,709	181,627	0	(10,708)	0	(10,708)	0	0	170,920	0	0	0	0	0	4,570	06/01/2045	1		
31292K-Q4-7	FHLMC 30YR GOLD GRP POOL C03531 4.00%	09/01/2016	Paydown		60,487	60,487.00	64,069	63,986	0	(3,499)	0	(3,499)	0	0	60,487	0	0	0	0	0	1,597	10/01/2040	1		
31292L-HY-4	FHLMC 30YR GOLD GRP POOL C03847 3.50%	09/01/2016	Paydown		70,065	70,065.00	71,838	71,782	0	(1,717)	0	(1,717)	0	0	70,065	0	0	0	0	0	1,619	04/01/2042	1		
3122HN-DM-8	FHLMC 30YR GOLD GRP POOL Q11908 3.50%	09/01/2016	Paydown		52,332	52,332.00	53,837	53,817	0	(1,485)	0	(1,485)	0	0	52,332	0	0	0	0	0	1,211	10/01/2042	1		
3132M6-NV-8	FHLMC 30YR GOLD GRP POOL Q26104 4.00%	09/01/2016	Paydown		174,858	174,858.00	184,202	183,995	0	(9,137)	0	(9,137)	0	0	174,858	0	0	0	0	0	4,886	05/01/2044	1		
3133TL-V5-4	FHLMC REMIC SER T 18 A3 SEQ . 6.609%	09/01/2016	Paydown		1,046	1,046.00	1,046	1,046	0	0	0	0	0	1,046	0	0	0	0	0	49	08/15/2029	1			
31371K-L7-4	FNMA PASS THRU POOL 254250 7.500%	09/01/2016	Paydown		4,747	4,747.00	5,033	4,980	0	(233)	0	(233)	0	0	4,747	0	0	0	0	0	240	03/01/2032	1		
31388T-Q6-3	FNMA PASS THRU POOL 614377 7.000%	09/01/2016	Paydown		2,332	2,332.00	2,457	2,438	0	(106)	0	(106)	0	0	2,332	0	0	0	0	0	109	02/01/2032	1		
31388E-RL-5	FNMA PASS THRU POOL AK9490 4.00%	09/01/2016	Paydown		28,073	28,073.00	29,617	29,593	0	(1,520)	0	(1,520)	0	0	28,073	0	0	0	0	0	760	04/01/2042	1		
31392M-HL-9	FHLMC REMIC SER 2465 PG PAC 6.500%	09/01/2016	Paydown		9,051	9,051.00	9,278	9,251	0	(200)	0	(200)	0	0	9,051	0	0	0	0	0	387	06/15/2032	1		
31406D-TA-8	FNMA PASS THRU POOL 807389 6.500%	09/01/2016	Paydown		1,722	1,722.00	1,777	1,764	0	(42)	0	(42)	0	0	1,722	0	0	0	0	0	75	08/01/2032	1		
31409A-X3-8	FNMA PASS THRU POOL 865698 7.000%	09/01/2016	Paydown		1,243	1,243.00	1,279	1,279	0	(36)	0	(36)	0	0	1,243	0	0	0	0	0	58	02/01/2036	1		
31410B-GT-5	FNMA PASS THRU POOL 884110 7.000%	09/01/2016	Paydown		542	542.00	566	465	0	77	0	77	0	0	542	0	0	0	0	0	25	05/01/2036	1		
31410G-KU-6	FNMA PASS THRU POOL 888707 7.500%	09/01/2016	Paydown		13,966	13,966.00	14,682	14,642	0	(676)	0	(676)	0	0	13,966	0	0	0	0	0	706	10/01/2037	1		
31410G-RK-1	FNMA PASS THRU POOL 888890 6.500%	09/01/2016	Paydown		22,262	22,262.00	23,037	22,991	0	(729)	0	(729)	0	0	22,262	0	0	0	0	0	976	10/01/2037	1		
31410K-CX-0	FNMA PASS THRU POOL 889386 6.00%	09/01/2016	Paydown		5,094	5,094.00	5,520	5,507	0	(413)	0	(413)	0	0	5,094	0	0	0	0	0	211	03/01/2038	1		
31410S-PW-1	FNMA PASS THRU PO																								

## STATEMENT AS OF SEPTEMBER 30, 2016 OF THE Old Guard Insurance Company

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of  
by the Company During the Current Quarter

1 CUSIP Identifi- cation	2 Description	3 Foreign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Total Change in B./A.C.V. (11+12-13)	17 Total Foreign Exchange Change in B./A.C.V.	18 Book/Adjusted Carrying Value at Disposal Date	19 Foreign Exchange Gain (Loss) on Disposal	20 Realized Gain (Loss) on Disposal	21 Total Gain (Loss) on Disposal	22 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designa- tion or Market Indi- cator (a)		
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amort- ization) / Accretion	13 Current Year's Other- Than-Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.											
Common Stocks - Industrial and Miscellaneous (Unaffiliated)																									
31679F-10-1	FIFTH STREET FINANCE SENIOR FLOATING	09/07/2016	Various		44,712.000	377,971	354,616	379,651	0	0	28,352	(28,352)	0	354,616	0	23,354	23,354	26,642	L						
9099999 - Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)						377,971	354,616	379,651	0	0	28,352	(28,352)	0	354,616	0	23,354	23,354	26,642							
9799997 - Subtotal - Common Stocks - Part 4						377,971	354,616	379,651	0	0	28,352	(28,352)	0	354,616	0	23,354	23,354	26,642							
9799999 - Subtotal - Common Stocks						377,971	354,616	379,651	0	0	28,352	(28,352)	0	354,616	0	23,354	23,354	26,642							
9899999 - Subtotal - Preferred and Common Stocks						377,971	354,616	379,651	0	0	28,352	(28,352)	0	354,616	0	23,354	23,354	26,642							
9999999 - TOTALS						2,144,823	2,217,919	2,241,020	0	(94,517)	28,352	(122,869)	0	2,121,468	0	23,354	23,354	78,352							

**Page E06**

Schedule DB, Part A, Section 1  
**NONE**

Description of Hedged Risk (s)  
**NONE**

Financial or Economic Impact of the Hedge  
**NONE**

**Page E07**

Schedule DB, Part B, Section 1  
**NONE**

Schedule DB, Part B, Section 1, Broker Name  
**NONE**

Schedule DB, Part B, Description of Hedged Risk (s)  
**NONE**

Schedule DB, Part B, Financial or Economic Impact of the Hedge  
**NONE**

**Page E08**

Schedule DB, Part D, Section 1  
**NONE**

**Page E09**

Schedule DB, Part D, Section 2, By Reporting Entity  
**NONE**

Schedule DB, Part D, Section 2, To Reporting Entity  
**NONE**

**Page E10**

Schedule DL, Part 1  
**NONE**

**Page E11**

Schedule DL, Part 2  
**NONE**

**Page E12**

Schedule E, Part 1, Cash  
**NONE**

**Page E13**

Schedule E, Part 2, Cash Equivalents  
**NONE**