



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2016
OF THE CONDITION AND AFFAIRS OF THE

The General Automobile Insurance Company, Inc

NAIC Group Code04730473NAIC Company Code13703Employer's ID Number26-2465659

(Current)(Prior)

Organized under the Laws ofOhio, State of Domicile or Port of EntryOhio

Country of DomicileUnited States of America

Incorporated/Organized01/22/2009Commenced Business01/28/2010

Statutory Home Office9700 Rockside Road, Suite 250Valley View, OH, US 44125

(Street and Number)(City or Town, State, Country and Zip Code)

Main Administrative Office2636 Elm Hill Pike, Suite 510

(Street and Number)

Nashville, TN, US 37214615-242-1961

(City or Town, State, Country and Zip Code)(Area Code) (Telephone Number)

Mail AddressP. O. Box 305054Nashville, TN, US 37230-5054

(Street and Number or P.O. Box)(City or Town, State, Country and Zip Code)

Primary Location of Books and Records2636 Elm Hill Pike, Suite 510

(Street and Number)

Nashville, TN, US 37214615-744-1221

(City or Town, State, Country and Zip Code)(Area Code) (Telephone Number)

Internet Website Addresswww.thegeneral.com

Statutory Statement ContactR Burton Barnes Jr.,615-744-1221

(Name)(Area Code) (Telephone Number)

bbarnes@pgac.com615-744-1608

(E-mail Address)(FAX Number)

OFFICERS

Sr. V.P., Chief Administrative OfficerDavid Lee HettingerPresident & Chief Operating OfficerJohn Allen Hollar

CFO, Treasurer & Assistant SecretaryBrian Michael Donovan

OTHER

Sherrill Cleek Kaiser, SecretaryAndrew Peter Martin, Sr. V.P., Corporate-wide Sales & DistributionRobert Eugene Nelson, Assistant Secretary

Elizabeth Ann Roberts, V.P., Human Resources

DIRECTORS OR TRUSTEES

John Allen HollarDavid Lee HettingerBrian Michael Donovan

Elizabeth Ann RobertsAndrew Peter Martin

State ofTennesseeSS:

County ofDavidson

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

David Lee HettingerBrian Michael DonovanSherrill Cleek Kaiser

Sr. V.P., Chief Administrative OfficerCFO, Treasurer & Assistant SecretarySecretary

Subscribed and sworn to before me thisa. Is this an original filing?Yes [X] No []

4th day of November 2016b. If no,1. State the amendment number.....

2. Date filed

3. Number of pages attached.....

Susan Hawk
Notary Public
May 6, 2019

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE GENERAL AUTOMOBILE INSURANCE COMPANY, INC.

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	54,571,788		54,571,788	45,626,864
2. Stocks:				
2.1 Preferred stocks			0	0
2.2 Common stocks			0	0
3. Mortgage loans on real estate:				
3.1 First liens			0	0
3.2 Other than first liens.....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)			0	0
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)			0	0
5. Cash (\$0), cash equivalents (\$) and short-term investments (\$6,740,530)	6,740,530		6,740,530	3,272,497
6. Contract loans (including \$ premium notes)			0	0
7. Derivatives			0	0
8. Other invested assets			0	0
9. Receivables for securities	92,387		92,387	0
10. Securities lending reinvested collateral assets			0	0
11. Aggregate write-ins for invested assets	439	0	439	168
12. Subtotals, cash and invested assets (Lines 1 to 11)	61,405,144	0	61,405,144	48,899,529
13. Title plants less \$ charged off (for Title insurers only)			0	0
14. Investment income due and accrued	406,902		406,902	312,230
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	4,621,020	45,268	4,575,752	4,297,896
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)	32,777,560		32,777,560	31,154,116
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers			0	(12,894)
16.2 Funds held by or deposited with reinsured companies			0	0
16.3 Other amounts receivable under reinsurance contracts			0	0
17. Amounts receivable relating to uninsured plans			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon	1,468,858		1,468,858	992,298
18.2 Net deferred tax asset	3,428,191	79,493	3,348,698	3,308,345
19. Guaranty funds receivable or on deposit			0	0
20. Electronic data processing equipment and software			0	0
21. Furniture and equipment, including health care delivery assets (\$)			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates	1,130,569		1,130,569	2,593,695
24. Health care (\$) and other amounts receivable			0	0
25. Aggregate write-ins for other than invested assets	0	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	105,238,244	124,761	105,113,483	91,545,215
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28. Total (Lines 26 and 27)	105,238,244	124,761	105,113,483	91,545,215
DETAILS OF WRITE-INS				
1101. Miscellaneous Income Due	439		439	168
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	439	0	439	168
2501.			0	0
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	0	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE GENERAL AUTOMOBILE INSURANCE COMPANY, INC.

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ 21,678,085)	29,020,745	19,863,317
2. Reinsurance payable on paid losses and loss adjustment expenses	332,405	460,075
3. Loss adjustment expenses	3,229,998	2,768,859
4. Commissions payable, contingent commissions and other similar charges	835,385	756,330
5. Other expenses (excluding taxes, licenses and fees)	0	
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	253,246	891,002
7.1 Current federal and foreign income taxes (including \$ on realized capital gains (losses))	0	
7.2 Net deferred tax liability		
8. Borrowed money \$ and interest thereon \$		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ 36,572,614 and including warranty reserves of \$ and accrued accident and health experience rating refunds including \$ 0 for medical loss ratio rebate per the Public Health Service Act)	43,509,501	35,006,034
10. Advance premium	62,945	55,724
11. Dividends declared and unpaid:		
11.1 Stockholders		
11.2 Policyholders		
12. Ceded reinsurance premiums payable (net of ceding commissions)	0	0
13. Funds held by company under reinsurance treaties	0	0
14. Amounts withheld or retained by company for account of others		
15. Remittances and items not allocated		
16. Provision for reinsurance (including \$ certified)		
17. Net adjustments in assets and liabilities due to foreign exchange rates		
18. Drafts outstanding		
19. Payable to parent, subsidiaries and affiliates		
20. Derivatives	0	0
21. Payable for securities		410,004
22. Payable for securities lending		
23. Liability for amounts held under uninsured plans		
24. Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	0	0
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	77,244,225	60,211,345
27. Protected cell liabilities		
28. Total liabilities (Lines 26 and 27)	77,244,225	60,211,345
29. Aggregate write-ins for special surplus funds	0	0
30. Common capital stock	3,000,000	2,500,000
31. Preferred capital stock		
32. Aggregate write-ins for other than special surplus funds	0	0
33. Surplus notes		
34. Gross paid in and contributed surplus	26,670,799	26,670,799
35. Unassigned funds (surplus)	(1,801,541)	2,163,071
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 30 \$)		
36.2 shares preferred (value included in Line 31 \$)		
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	27,869,258	31,333,870
38. Totals (Page 2, Line 28, Col. 3)	105,113,483	91,545,215
DETAILS OF WRITE-INS		
2501.		
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	0	0
2901.		
2902.		
2903.		
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0
3201.		
3202.		
3203.		
3298. Summary of remaining write-ins for Line 32 from overflow page	0	0
3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)	0	0

STATEMENT OF INCOME

	1	2	3
	Current	Prior Year	Prior Year Ended
	Year to Date	to Date	December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct (written \$65,355,554)	63,940,323	52,534,395	71,429,493
1.2 Assumed (written \$73,841,571)	65,338,104	49,445,048	67,618,377
1.3 Ceded (written \$65,355,554)	63,940,323	52,534,395	71,429,493
1.4 Net (written \$73,841,571)	65,338,104	49,445,048	67,618,377
DEDUCTIONS:			
2. Losses incurred (current accident year \$45,172,099):			
2.1 Direct	48,500,990	29,034,665	40,895,302
2.2 Assumed	47,857,607	29,971,430	41,468,580
2.3 Ceded	48,500,990	29,034,665	40,895,302
2.4 Net	47,857,607	29,971,430	41,468,580
3. Loss adjustment expenses incurred	5,535,064	4,214,318	5,856,467
4. Other underwriting expenses incurred	22,488,304	19,861,625	26,426,466
5. Aggregate write-ins for underwriting deductions	0	0	0
6. Total underwriting deductions (Lines 2 through 5)	75,880,975	54,047,373	73,751,513
7. Net income of protected cells			
8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	(10,542,871)	(4,602,325)	(6,133,136)
INVESTMENT INCOME			
9. Net investment income earned	589,755	386,946	529,552
10. Net realized capital gains (losses) less capital gains tax of \$	164,049	84,784	378,051
11. Net investment gain (loss) (Lines 9 + 10)	753,804	471,730	907,603
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$)	0		0
13. Finance and service charges not included in premiums	4,789,054	4,642,611	6,152,561
14. Aggregate write-ins for miscellaneous income	3,967	6,077	7,509
15. Total other income (Lines 12 through 14)	4,793,021	4,648,688	6,160,070
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	(4,996,046)	518,093	934,537
17. Dividends to policyholders			0
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	(4,996,046)	518,093	934,537
19. Federal and foreign income taxes incurred	(1,500,328)	1,560,904	1,079,019
20. Net income (Line 18 minus Line 19)(to Line 22)	(3,495,718)	(1,042,811)	(144,482)
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year	31,333,870	25,540,668	25,540,668
22. Net income (from Line 20)	(3,495,718)	(1,042,811)	(144,482)
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains (losses) less capital gains tax of \$(617)	(1,145)	1,785	1,144
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	78,574	1,297,980	819,632
27. Change in nonadmitted assets	(46,323)	(7,725)	16,908
28. Change in provision for reinsurance			0
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in	500,000		
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in	0	0	5,100,000
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders	(500,000)		
36. Change in treasury stock			0
37. Aggregate write-ins for gains and losses in surplus	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37)	(3,464,612)	249,229	5,793,202
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	27,869,258	25,789,897	31,333,870
DETAILS OF WRITE-INS			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)	0	0	0
1401. Other Interest Income / (Expense)	5	3	3
1402. Other Miscellaneous Income			0
1403. Subrogation Fees	3,962	6,074	7,506
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	3,967	6,077	7,509
3701. Other Increase / (Decreases)			0
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE GENERAL AUTOMOBILE INSURANCE COMPANY, INC.

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	71,940,007	66,374,876	88,365,583
2. Net investment income	914,026	918,483	1,022,888
3. Miscellaneous income	4,793,021	4,648,688	6,160,070
4. Total (Lines 1 to 3)	77,647,054	71,942,047	95,548,541
5. Benefit and loss related payments	38,840,743	31,750,883	42,362,660
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	28,120,929	23,582,209	31,093,691
8. Dividends paid to policyholders	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)	(1,023,768)	3,153,890	2,880,891
10. Total (Lines 5 through 9)	65,937,904	58,486,981	76,337,242
11. Net cash from operations (Line 4 minus Line 10)	11,709,150	13,455,065	19,211,299
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	25,255,040	33,440,253	52,407,801
12.2 Stocks	0	0	0
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	(109)
12.7 Miscellaneous proceeds	0	4,362,349	440,779
12.8 Total investment proceeds (Lines 12.1 to 12.7)	25,255,040	37,802,602	52,848,471
13. Cost of investments acquired (long-term only):			
13.1 Bonds	34,456,620	40,940,422	72,712,097
13.2 Stocks	0	0	0
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	502,662	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	34,959,282	40,940,422	72,712,097
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(9,704,242)	(3,137,820)	(19,863,626)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	500,000	0	5,100,000
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	500,000	0	0
16.6 Other cash provided (applied)	1,463,126	2,834,065	(1,805,122)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	1,463,126	2,834,065	3,294,878
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) ..	3,468,034	13,151,311	2,642,551
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	3,272,497	629,946	629,946
19.2 End of period (Line 18 plus Line 19.1)	6,740,531	13,781,257	3,272,497

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Proceeds from bonds sold	596,633	0	0
20.0002. Proceeds from stocks sold	0	0	0
20.0003. Cost of bonds acquired	596,633	0	0
20.0004. Cost of stocks acquired	0	0	0

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A. The accompanying financial statements of The General Automobile Insurance Company, Inc. (GAIC) have been prepared in accordance with the NAIC Accounting Practices and Procedures Manual except to the extent that Ohio law differs. However, as of this Statement date, there have been no requests from the State of Ohio to depart from the prescribed NAIC guidelines.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the state of Ohio is shown below:

	State of Domicile	2016	2015
NET INCOME			
(1) State basis (Page 4, Line 20, Columns 1 & 3)	OH	(3,495,718)	(144,482)
(2) State Prescribed Practices that increase/(decrease) NAIC SAP			
	OH	0	0
	OH	0	0
	OH	0	0
(3) State Permitted Practices that increase/(decrease) NAIC SAP			
	OH	0	0
	OH	0	0
	OH	0	0
(4) NAIC SAP (1-2-3=4)	OH	(3,495,718)	(144,482)
SURPLUS			
(5) State basis (Page 3, Line 37, Columns 1 & 2)	OH	27,869,258	31,333,870
(6) State Prescribed Practices that increase/(decrease) NAIC SAP			
	OH	0	0
	OH	0	0
	OH	0	0
(7) State Permitted Practices that increase/(decrease) NAIC SAP			
	OH	0	0
	OH	0	0
	OH	0	0
(8) NAIC SAP (5-6-7=8)	OH	27,869,258	31,333,870

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities, revenues and expenses. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

C. Accounting Policy

Premiums are earned over the terms of the related insurance policies. Unearned premium reserves are established to cover the unexpired portion of premiums written. Such reserves are computed by pro rata methods for direct business.

Expenses incurred in connection with acquiring new insurance business, including such acquisition costs as sales commissions, are charged to operations as incurred. Expenses incurred are reduced for ceding allowances received or receivable.

- (1)

Investments in short-term bonds rated “1” (highest quality), or “2” (high quality) by the Securities Valuation Office (“SVO”) of the NAIC are reported in the financial statements at amortized cost. Bonds rated “3” (medium quality), “4” (low quality), “5” (lower quality), or “6” (lowest quality) by the SVO are reported at the lower of amortized cost or fair value. The interest method is used to amortize any purchase premium or discount, including estimates of future prepayments obtained from independent sources. Money market mutual funds are recorded at amortized cost, which approximates fair market value.
- (2)

Investments in bonds rated "1" (highest quality), or "2" (high quality) by the Securities Valuation Office ("SVO") of the NAIC are reported in the financial statements at amortized cost. Bonds rated "3" (medium quality), "4" (low quality), "5" (lower quality), or "6" (lowest quality) by the SVO are reported at the lower of amortized cost or fair value. Investments in commercial mortgage backed securities (CMBS) and non-agency residential mortgage backed securities (RMBS) utilize a two step process to obtain a valuation and rating in accordance with SSAP 43R, Loan Backed and Structured Securities. The first step derives a rating for valuation by comparing the current amortized cost to the modeled range of values assigned to the six NAIC designations for each security. This determines whether the securities are stated at the lower of amortized cost or fair value per the above rules. The second step utilizes the same modeled range of values to derive a rating for reporting using the current carrying value as determined in the first step.

Ratings and valuations for investments in asset backed securities, loan backed securities, and structured securities (other than Equipment Trust Certificates and Credit Tenant Leases) that are otherwise rated by a credit rating provider (CRP) are calculated using a two step process. The first step derives a rating for valuation based on the CRP rating and the NAIC model valuation table. The second step utilizes the model valuation table to derive a rating for reporting using the current carrying value as determined in the first step. Securities whose initial rating is NAIC 1 or NAIC 6 in step one are not further modified by step two. The interest method is used to amortize any purchase premium or discount, including estimates of future prepayments obtained from independent sources.

- (3)

Common stocks, if owned are stated at market value.
- (4)

The Company holds no preferred stock as of the statement date.
- (5)

The Company holds no mortgage loans.
- (6)

Loan-backed securities are valued at amortized cost using the interest method, including anticipated prepayments at the date of purchase. These values are adjusted for updated prepayment information using the retrospective method.
- (7)

The Company has no investments in subsidiaries or affiliated companies.
- (8)

The company has no investments in joint ventures, partnerships or limited liability companies.
- (9)

The company has no investments in derivatives.

NOTES TO FINANCIAL STATEMENTS

- (10) The Company does not anticipate investment income as a factor in the premium deficiency calculation.
- (11) Reserve for losses represents the estimated liability for claims reported to the Company and an amount, based on actuarially determined reserves for losses incurred but not reported. Such liabilities are necessarily based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liability is continually reviewed and any adjustments are reflected in the period determined.
- (12) The Company has not modified its capitalization policy from the prior period.
- (13) The Company has no pharmaceutical rebate receivables.

D. **Going Concern**
Not applicable.

2. **Accounting Changes and Corrections of Errors**
None.

3. **Business Combinations and Goodwill**
None.

4. **Discontinued Operations**
None.

5. **Investments**
A) The Company has no mortgage loans.
B) The Company did not restructure any debt.
C) The Company has no reverse mortgages.
D) The Company has no Loan-Backed Securities.
E) The Company has no repurchase agreements.
F) The Company has no real estate investments
G) The Company has no low-income housing tax credits (LIHTC)
H) Restricted Assets (Including Pledged)

(1) The following summarizes the carrying value of the Company’s restricted assets, including pledged assets, as of September 30, 2016.

Restricted Asset Category	Gross Restricted							8	Percentage	
	Current Year					6	7		9	10
	1	2	3	4	5					
	Total General Account (G/A)	G/A Supporting Protected Cell Account Activity (a)	Total Protected Cell Account Restricted Assets	Protected Cell Account Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/ (Decrease) (5 minus 6)	Total Current Year Admitted Restricted	Gross Restricted to Total Assets	Admitted Restricted to Total Admitted Assets
a. Subject to contractual obligation for which liability is not shown	0	0	0	0	0	0	0	0	0.000	0.000
b. Collateral held under security lending agreements	0	0	0	0	0	0	0	0	0.000	0.000
c. Subject to repurchase agreements	0	0	0	0	0	0	0	0	0.000	0.000
d. Subject to reverse repurchase agreements	0	0	0	0	0	0	0	0	0.000	0.000
e. Subject to dollar repurchase agreements	0	0	0	0	0	0	0	0	0.000	0.000
f. Subject to dollar reverse repurchase agreements	0	0	0	0	0	0	0	0	0.000	0.000
g. Placed under option contracts	0	0	0	0	0	0	0	0	0.000	0.000
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock.	0	0	0	0	0	0	0	0	0.000	0.000
i. FHLB capital stock	0	0	0	0	0	0	0	0	0.000	0.000
j. On deposit with states	2,556,398	0	0	0	2,556,398	2,559,302	(2,904)	2,556,398	2.4%	2.4%
k. On deposit with other regulatory bodies	0	0	0	0	0	0	0	0	0.000	0.000
l. Pledged collateral to FHLB (including assets backing funding agreements)	0	0	0	0	0	0	0	0	0.000	0.000
m. Pledged as collateral not captured in other categories	0	0	0	0	0	0	0	0	0.000	0.000
n. Other restricted assets	0	0	0	0	0	0	0	0	0.000	0.000
o. Total Restricted Assets	2,556,398	0	0	0	2,556,398	2,559,302	(2,904)	2,556,398	2.4%	2.4%

(a) Subset of column 1
(b) Subset of column 3

- (2) Detail of Assets Pledged as Collateral Not Captured in Other Categories.
Not applicable.
- (3) Detail of Other Restricted Assets.
Not applicable.
- I) Working Capital Finance Investments.
None.
- J) Offsetting and Netting of Assets and Liabilities.
None.
- K) Structured Notes:
The Company invests in structured notes, which are characterized by non-fixed coupon payments, with the exception of securities tied to a non-leveraged typical interest rate index (such as LIBOR and T-Bill rates). Loan-backed securities are excluded from this category. The following table details the securities that the Company has determined meet this definition at September 30, 2016.

NOTES TO FINANCIAL STATEMENTS

CUSIP Identification	Actual Cost	Fair Value	Book/Adjusted Carrying Value	Mortgage- Referenced Security (Y/N)
708692AJ7.....	56,277	58,510	56,118	NO.....
708692BF4.....	233,103	234,485	233,034	NO.....
Total	289,380	292,995	289,152	XXX

6. **Joint Ventures, Partnerships and Limited Liability Companies**
None.
7. **Investment Income**
All investment income due and accrued is admitted.
8. **Derivative Instruments**
None.
9. **Income Taxes**
No Change.
10. **Information Concerning Parent, Subsidiaries Affiliates and Other Related Parties**
A,B,C. On August 15, 2016 PGC Holdings Corporation made a capital contribution of \$1,000,000 to its wholly owned subsidiary PGAC of Ohio, who in turn made a capital contribution of \$500,000 to its wholly owned subsidiary The General Automobile Insurance Co., Inc. On August 15, 2016 PGAC of Ohio paid a dividend of \$1,000,000 to its parent PGC Holdings Corporation and The General Automobile Insurance Co., Inc. paid a dividend of \$500,000 to its parent PGAC of Ohio.
D. At September 30, 2016, the Company reported \$1,130,569 net receivable from it's parent and affiliates. The terms of the settlement require these amounts are settled within 90 days.
E. The Company has made no guarantees or undertakings for the benefit of the parent or affiliates.
F. GAIC has a service agreement in place with its affiliate Permanent General Companies, Inc (PGC), which is also a wholly owned subsidiary of PGC Holdings Corp. Under this agreement PGC performs administrative services on behalf of GAIC. These services include; claims administration, underwriting, policy issuance and record keeping, legal services, data processing and accounting.
G. All outstanding shares of the company are owned by the parent company PGAC of Ohio.
H. No amounts have been deducted from the value of an upstream entity or ultimate parent owned either directly or indirectly.
I,J. The Company has no investments in an SCA entity that exceeds 10% of admitted assets.
K. None.
L. None.
11. **Debt**
The company has no capital note obligations, FHLB agreements or other long-term debt.
12. **Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans.**
None.
13. **Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations**
(1) The company has 20,000 shares of \$500 par value common stock authorized of which 6,000 shares are issued and outstanding.
(2) The company has no preferred stock issued.
(3) The maximum amount of dividends which can be paid by an Ohio domiciled company without approval of the insurance commissioner is subject to restrictions based upon statutory surplus.
(4) The company paid an ordinary dividend on August 15, 2016 of \$500,000 to its parent PGAC of Ohio.
(5) The portion of the Company's profits that may be paid as ordinary dividends to stockholders is limited by # (3) above. However, the maximum dividend payout which can be made in 2016 without prior approval is \$3,133,387.
(6) The company has no restrictions on the unassigned surplus.
(7) The company has not made advances of surplus.
(8) The stock of the company has not been allocated for any special purposes.
(9) The company has no special surplus funds.
(10) Refer to page 4 lines 21 through 37 and Exhibit of Capital Gain (Losses).
(11) The company has no surplus notes.
(12) The company has not been reorganized.
(13) N/A
14. **Liabilities, Contingencies and Assessments.**
(A) The company has no contingent commitments to a SCA entity, joint ventures, partnerships, or limited liability companies.
(B) The company has not been notified of any assessments that could have a material financial effect.
(C) The company has no gain contingencies.
(D) Claims related extra contractual obligations and bad faith losses stemming from lawsuits.

Direct

(1) The company paid the following amounts in the reporting period to settle claims related extra contractual obligations or bad faith claims stemming from lawsuits 0
(2) Number of claims where amounts were paid to settle claims related extra contractual obligations or bad faith claims resulting from lawsuits during the reporting period0-25 Claims
(3) Indicate whether claim count information is disclosed per claim or per claimantPer Claim

(E) The company does not make any product warranties.
(F) The company has no joint and several liabilities.
(G) All Other Contingencies.
Various lawsuits against the Company have arisen in the course of the Company's business. Contingent liabilities arising from litigation, income taxes and other matters are not considered material in relation to the financial position of the Company.
- 6.2

NOTES TO FINANCIAL STATEMENTS

The Company routinely assesses the collectability of premium and agent balances. The uncollectible amounts are not material to the Company's financial condition.

15. Leases.
None.
16. Information about Financial Instruments with Off-balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk.
None.
17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities.
None.
18. Gain or Loss to the Report Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans.
None.
19. Direct Premium Written/Produced by MGA/3rd Party Administration
None.
20. Fair Value Measurements.
A.

(1) The following summarizes the Company's financial assets carried at fair value as of September 30, 2016.

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Total
Assets at fair value				
Short-Term Investments	6,413,429	0	0	6,413,429
Total assets at fair value	6,413,429	0	0	6,413,429

There were no material transfers between Levels 1 and 2 during the first nine months 2016.

(2) The Company held no Level 3 assets carried at fair value as of September 30, 2016.

(3) There were no material transfers into or out of Level 3 during the first nine months of 2016.

(4) The Financial assets recorded on the Balance Sheet at fair value are categorized based on the reliability of inputs to the valuation techniques as follows:

Level 1 Financial assets and financial liabilities whose values are based on unadjusted quoted prices for identical assets or liabilities in an active market that the Company can access.

Level 2 Financial assets and financial liabilities whose values are based on the following:
Quoted prices for similar assets or liabilities in active markets;
Quoted Prices for identical or similar assets or liabilities in non-active markets; or
Valuation models whose inputs are observable, directly or indirectly, for substantially the full term of the asset or liability.

Level 3 Financial assets and financial liabilities whose values are based on prices or valuation techniques that require inputs that are both unobservable and significant to the overall fair value measurement. These inputs may reflect the Company's estimates of the assumptions that market participants would use in valuing the financial assets and financial liabilities.

The availability of observable inputs varies by instrument. In situations where fair value is based on internally developed pricing models or inputs that are unobservable in the market, the determination of fair value requires more judgment. In many instances, inputs used to measure fair value fall into different levels of the fair value hierarchy. In those instances, for disclosure purposes, the level in the fair value hierarchy within which the fair value measurement is categorized is determined based on the lowest level input that is significant to the fair value measurement in its entirety.

The fair value guidance establishes a hierarchy for inputs used in determining fair value that maximize the use of observable inputs and minimizes the use of unobservable inputs by requiring that observable inputs be used when available.

Fair value is a market-based measure considered from the perspective of a market participant who owns an asset or owes a liability. Accordingly, when market observable data is not readily available, the Company's own assumptions are set to reflect those that market participants would be presumed to use in pricing the asset at the measurement date. The Company uses prices and inputs that are current as of the measurement date, including during periods of market disruption. In periods of market disruption, the ability to observe prices and inputs may be reduced for many instruments. This condition could cause an instrument to be reclassified from Level 1 to Level 2 or from Level 2 to Level 3.

When available, the Company uses the market approach to estimate the fair value of its financial instruments, which is based on quoted prices in active markets that are readily and regularly available. Generally, these are the most liquid of the Company’s holdings and valuation of these securities does not involve management judgment. Matrix pricing and other similar techniques are other examples of the market approach.

When quoted prices in active markets are not available, the Company uses the income approach, or a combination of the market and income approaches, to estimate the fair value of its financial instruments. The income approach involves using discounted cash flow and other standard valuation methodologies. The inputs in applying these market standard valuation methodologies include, but are not limited to interest rates, benchmark yields, bid/ask spreads, dealer quotes, liquidity, term to maturity, estimated future cash flows, credit risk and default projections, collateral performance, deal and tranche attributes, and general market data.

The following valuation techniques and inputs were used to estimate the fair value of each class of significant financial instruments:

Level 1 Measurements

Short-term Investments: Comprised of actively traded money market funds that have daily quoted net asset values for identical assets that the Company can access.

NOTES TO FINANCIAL STATEMENTS

The Company held no level 2 or level 3 securities carried at fair value as of September 30, 2016.

- (5) Not Applicable.
- B. Not applicable.

- C. Valuation, Methods, and Assumptions.
 - (1) The following table summarizes the fair value of the Company's financial assets as of September 30, 2016.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)
Bonds	55,466,946	54,571,790	29,688,841	25,778,105	0	0
Short-Term Investments	6,740,371	6,740,530	6,413,429	271,717	55,225	0

- (2) The following valuation methods and assumptions were used to measure the fair values of each type of financial asset and liability:

Bonds: The fair value of Level 1 bonds, consisting of U.S. Treasury Notes, is determined using unadjusted quoted prices in an active market. The majority of the Company’s Level 2 bonds are valued using the market and income approaches by leading, nationally recognized providers of market data and analytics. When available, recent trades of identical or similar assets are used to price these securities. However because many fixed income securities do not actively trade on a daily basis, pricing models are often used to determine security prices. The pricing models discount future cash flows at estimated market interest rates. These rates are derived by calculating the appropriate spreads over comparable U.S. Treasury securities based on credit quality, industry, and structure of the asset. Observable inputs used by the models include benchmark yields, bid/ask spreads, dealer quotes, liquidity, term to maturity, credit risk and default projections, collateral performance, deal and tranche attributes, and general market data. Inputs may vary depending on type of security. Inputs may vary depending on type of security. A small segment of Level 2 bonds and all Level 3 bonds are valued internally using matrix pricing, broker quotes, discounted cash flow models, and benchmark and spread analysis, or externally using a pricing vendor that specializes in pricing esoteric securities.

Short-Term Investments: Valuation methods and assumptions for Level 1 money market funds are discussed in Note 20.A.4. Valuation methods and assumptions for Level 2 and Level 3 short-term bonds are the same as the methods and assumptions used to value long-term bonds as discussed in Note 20.C.2.

- D. Not applicable.

21. Other Items.

- A. Extraordinary Items.
 - None.
- B. Troubled Debt Restructuring Debtors.
 - None.
- C. Other Disclosures.
 - Assets in the amount of \$2,556,398 and \$2,559,302 at September 30, 2016 and December 31, 2015, respectively, were on deposit with government authorities or trustees as required by law.
- D. Business Interruption Insurance Recoveries.
 - None.
- E. State Transferable and Non-transferable Tax Credits.
 - None.
- F. Subprime Mortgage Related Risk Exposure
 - (1) The Company defines our exposure to subprime mortgage related risk as any mortgage backed security that contains underlying mortgages designated as subprime. We reviewed all our residential mortgage backed pools and collateralized mortgage obligations for any such risk. Since our direct exposure through investments in subprime mortgage related risk is nil and our direct exposure through "other" investments is immaterial, we have not had the need to mitigate that risk exposure.
 - (2) Direct Exposure through investment in subprime mortgage loans.
 - Not applicable.
 - (3) Direct Exposure through other investments.
 - Not applicable.
 - (4) Underwriting exposure to subprime mortgage risk through Mortgage Guaranty or Financial Guaranty insurance coverage.
 - Not applicable.
- G. Offsetting and Netting of Assets and Liabilities.
 - None.

22. Events Subsequent.

- None.

- 23. Reinsurance
 - A. Unsecured Reinsurance Recoverable
 - None.
 - B. Reinsurance Recoverable in Dispute.
 - None.
 - C. Reinsurance Assumed and Ceded

NOTES TO FINANCIAL STATEMENTS

	Assumed Reinsurance		Ceded Reinsurance		Net	
	(1) Premium Reserve	(2) Commission Equity	(3) Premium Reserve	(4) Commission Equity	(5) Premium Reserve	(6) Commission Equity
a.Affiliates	43,509,501	13,174,677	36,572,614	11,165,619	6,936,887	2,009,058
b.All Other	0	0	0	0	0	0
c.Total	43,509,501	13,174,677	36,572,614	11,165,619	6,936,887	2,009,058
d.Direct Unearned Premium Reserve						36,572,614

- D. Uncollectible Reinsurance.
None.
- E. Commutation of Ceded Reinsurance
None.
- F. Retroactive Reinsurance
None.
- G. Reinsurance Accounted for as a Deposit.
None.
- H. Transfer of Property and Casualty Run-Off Agreements.
None.
- I. Certified Reinsurer Rating Downgraded or Status Subject to Revocation.
None.

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination.
None.

25. Change in Incurred Losses and Loss Adjustment Expenses
Loss & lae reserves as of December 31, 2015 were \$22,632,000. As of September 30, 2016, \$16,624,000 has been paid for incurred loss & lae expenses attributable to insured events in prior years. Reserves remaining for prior years are now \$8,694,000 as a result of re-estimation of unpaid claims and lae expenses. Therefore, there has been a \$2,686,000 unfavorable prior year development from 12/31/2015 to 9/30/2016 principally on liability lines of business.

The increase in IBNR reserves is primarily related to increased frequency of reported liability claims and changing to a more conservative reserving approach to reduce the likelihood of future unfavorable loss development. The change in reserving approach impacted reserves unfavorably by approximately \$3,088,000 for the 2015 accident year. Reserves developed favorably by approximately \$402,000 for all other accident years.

The development is generally the result of an ongoing analysis of recent loss development trends and are increased or decreased as additional information becomes known regarding individual claims. The Company has no retrospectively rated policies that are subject to premium adjustments.

26. Intercompany Pooling Arrangements
Effective January 1, 2010, the Company entered into a reinsurance pooling agreement with PGAC (NAIC company code - 37648) (lead entity) an affiliated property and casualty insurance company domiciled in Ohio and PGAC of Ohio (NAIC company code - 22906) which is the parent of the Company. The business includes private passenger auto liability & auto physical damage with PGAC receiving 58%, PGAC-Ohio receiving 25%, & GAIC receiving 17%. This Agreement applies only to that portion of any insurance or reinsurance which the parties hereto retain net for their own account and in calculating the amount of any loss hereunder, only loss or losses in respect of that portion of any insurance or reinsurance which the parties hereto retain net for their own account shall be included. The Company has a net receivable balance of \$3,683,800 at 9/30/2016.

27. Structured Settlements
None.

28. Health Care Receivables
None.

29. Participating Policies
None.

30. Premium Deficiency Reserves

1. Liability carried for premium deficiency reserves.

2. Date of the most recent evaluation of this liability.

3. Was anticipated investment income utilized in the calculation?

\$0

9/30/2016

Yes _ No X

31. High Deductibles
None.

32. Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses
None.

33. Asbestos/Environmental Reserves
None.

34. Subscriber Savings Accounts
None.

35. Multi Peril Crop Insurance
None.

36. Financial Guaranty Insurance
None.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [] No [X]

1.2

If yes, has the report been filed with the domiciliary state?

Yes [] No []

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [] No [X]

2.2

If yes, date of change:

3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?
If yes, complete Schedule Y, Parts 1 and 1A.

Yes [X] No []

3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [] No [X]

3.3

If the response to 3.2 is yes, provide a brief description of those changes.

4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [] No [X]

4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation.

Yes [] No [X] N/A []

6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2014

6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2014

6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

08/17/2015

6.4

By what department or departments?
Ohio Department of Insurance

6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [] No [] N/A [X]

6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [X] No [] N/A []

7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [] No [X]

7.2

If yes, give full information:

8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [] No [X]

8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [] No [X]

8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships; (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity; (c) Compliance with applicable governmental laws, rules and regulations; (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and (e) Accountability for adherence to the code.

Yes [X] No []
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended?

Yes [] No [X]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [X] No []
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$0

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [] No [X]
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$
13.

Amount of real estate and mortgages held in short-term investments:

\$
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [] No [X]
- 14.2

If yes, please complete the following:
- | | 1 | 2 |
|---|---|--|
| | Prior Year-End Book/Adjusted Carrying Value | Current Quarter Book/Adjusted Carrying Value |
| 14.21 Bonds | \$0 | \$0 |
| 14.22 Preferred Stock | \$0 | \$0 |
| 14.23 Common Stock | \$0 | \$0 |
| 14.24 Short-Term Investments | \$0 | \$0 |
| 14.25 Mortgage Loans on Real Estate | \$0 | \$0 |
| 14.26 All Other | \$0 | \$0 |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$0 | \$0 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$ | \$ |
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? If no, attach a description with this statement.

Yes [] No []

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE GENERAL AUTOMOBILE INSURANCE COMPANY, INC.

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

16.3 Total payable for securities lending reported on the liability page.
- \$

\$

\$
- 0

0

0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?
- Yes [X] No []

- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1	2
Name of Custodian(s)	Custodian Address
U.S. Bank	777 E. Wisconsin Ave., Milwaukee, WI., 53202

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1	2	3
Name(s)	Location(s)	Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?
- Yes [] No [X]

- 17.4 If yes, give full information relating thereto:

1	2	3	4
Old Custodian	New Custodian	Date of Change	Reason

- 17.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1	2	3
Central Registration Depository	Name(s)	Address
38642	Blackrock Investments Inc.	40 East 52nd Street, New York, NY

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed?
- Yes [X] No []

- 18.2 If no, list exceptions:

GENERAL INTERROGATORIES

PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1.

If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change?
If yes, attach an explanation.

Yes [] No [X] N/A []
2.

Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured?
If yes, attach an explanation.

Yes [] No [X]
- 3.1

Have any of the reporting entity's primary reinsurance contracts been canceled?

Yes [] No [X]
- 3.2

If yes, give full and complete information thereto.
- 4.1

Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of " tabular reserves") discounted at a rate of interest greater than zero?

Yes [] No [X]

4.2 If yes, complete the following schedule:

			TOTAL DISCOUNT				DISCOUNT TAKEN DURING PERIOD			
1	2	3	4	5	6	7	8	9	10	11
Line of Business	Maximum Interest	Discount Rate	Unpaid Losses	Unpaid LAE	IBNR	TOTAL	Unpaid Losses	Unpaid LAE	IBNR	TOTAL
TOTAL			0	0	0	0	0	0	0	0

5.

Operating Percentages:

5.1 A&H loss percent0.000 %

5.2 A&H cost containment percent0.000 %

5.3 A&H expense percent excluding cost containment expenses0.000 %
- 6.1

Do you act as a custodian for health savings accounts?

Yes [] No [X]
- 6.2

If yes, please provide the amount of custodial funds held as of the reporting date\$.....
- 6.3

Do you act as an administrator for health savings accounts?

Yes [] No [X]
- 6.4

If yes, please provide the balance of the funds administered as of the reporting date\$.....

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE GENERAL AUTOMOBILE INSURANCE COMPANY, INC.

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

[illegible]

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories							
States, etc.	1 Active Status	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama.....AL	N						
2. Alaska.....AK	N						
3. Arizona.....AZ	L						
4. Arkansas.....AR	N						
5. California.....CA	N						
6. Colorado.....CO	N						
7. Connecticut.....CT	N						
8. Delaware.....DE	N						
9. District of Columbia.....DC	N						
10. Florida.....FL	N						
11. Georgia.....GA	L						
12. Hawaii.....HI	N						
13. Idaho.....ID	N						
14. Illinois.....IL	L						
15. Indiana.....IN	L						
16. Iowa.....IA	L						
17. Kansas.....KS	N						
18. Kentucky.....KY	L						
19. Louisiana.....LA	N						
20. Maine.....ME	N						
21. Maryland.....MD	N						
22. Massachusetts.....MA	N						
23. Michigan.....MI	N						
24. Minnesota.....MN	N						
25. Mississippi.....MS	L						
26. Missouri.....MO	L						
27. Montana.....MT	N						
28. Nebraska.....NE	N						
29. Nevada.....NV	L						
30. New Hampshire.....NH	N						
31. New Jersey.....NJ	N						
32. New Mexico.....NM	N						
33. New York.....NY	N						
34. North Carolina.....NC	N						
35. North Dakota.....ND	N						
36. Ohio.....OH	L	10,139,439	24,631,179	8,676,960	11,541,716	6,234,676	7,178,523
37. Oklahoma.....OK	L						
38. Oregon.....OR	L	11,379,775	4,042,198	4,358,172	1,588,169	4,074,955	1,197,457
39. Pennsylvania.....PA	N						
40. Rhode Island.....RI	N						
41. South Carolina.....SC	L	2,288,959		109,828		142,670	
42. South Dakota.....SD	N						
43. Tennessee.....TN	L	1,337,970	2,521,726	1,221,442	2,587,497	975,157	1,453,940
44. Texas.....TX	L	21,628,234	22,299,148	15,820,967	7,355,752	8,111,576	3,845,783
45. Utah.....UT	N						
46. Vermont.....VT	N						
47. Virginia.....VA	L	18,581,177	14,389,450	8,912,307	1,741,616	6,323,951	1,470,536
48. Washington.....WA	N						
49. West Virginia.....WV	N						
50. Wisconsin.....WI	N						
51. Wyoming.....WY	N						
52. American Samoa.....AS	N						
53. Guam.....GU	N						
54. Puerto Rico.....PR	N						
55. U.S. Virgin Islands.....VI	N						
56. Northern Mariana Islands.....MP	N						
57. Canada.....CAN	N						
58. Aggregate Other Alien OT	XXX	0	0	0	0	0	0
59. Totals	(a) 16	65,355,554	67,883,701	39,099,676	24,814,750	25,862,985	15,146,239
DETAILS OF WRITE-INS							
58001.....	XXX						
58002.....	XXX						
58003.....	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0

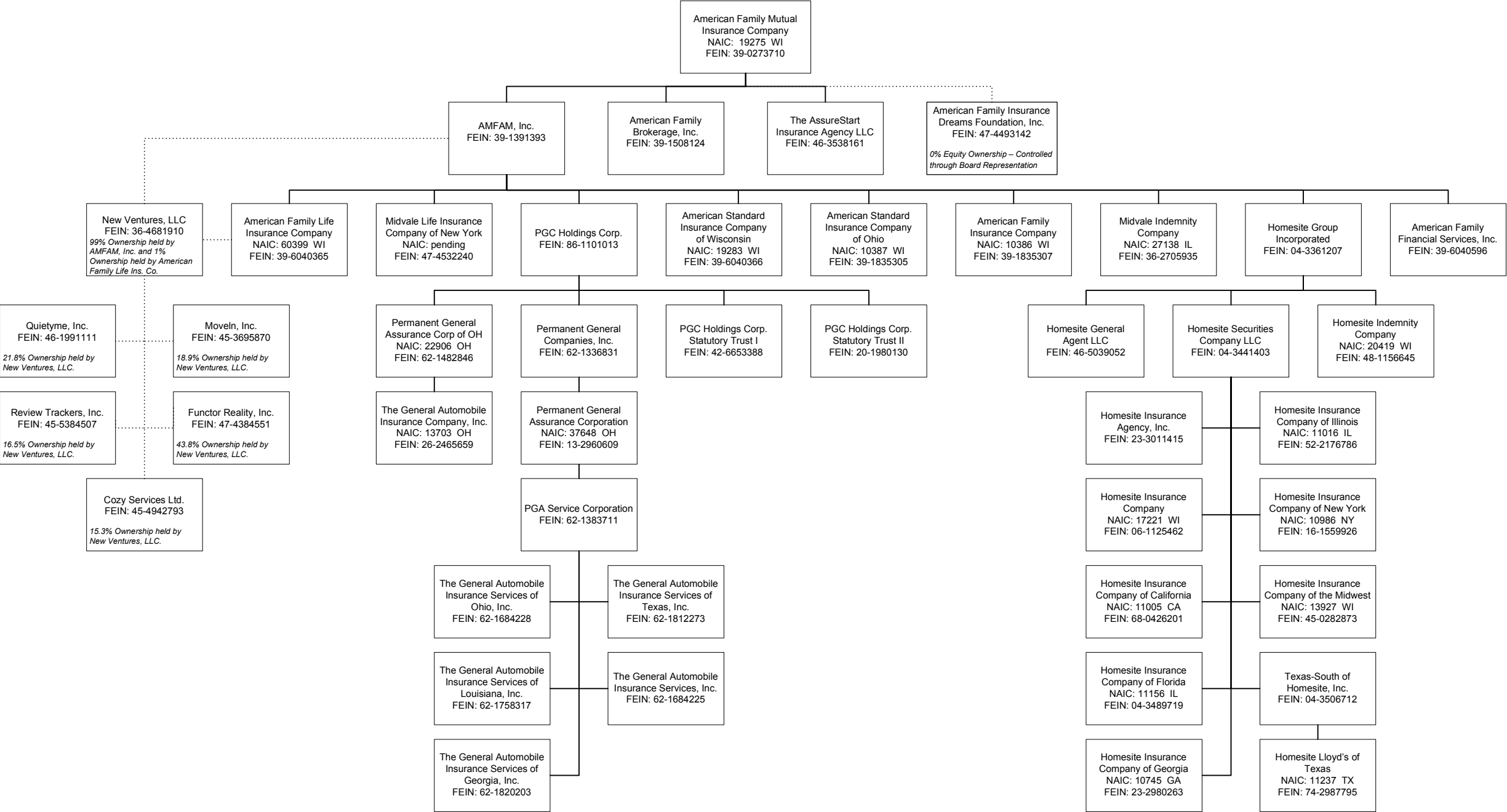
(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.
(a) Insert the number of L responses except for Canada and Other Alien.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE GENERAL AUTOMOBILE INSURANCE COMPANY, INC.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

11



SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
..0473	American Family Insurance Group	..19275	39-0273710				American Family Mutual Insurance Company	..WI.....	..UIP.....	American Family Mutual Insurance Company – Board of Directors	Board of Directors.....	..0.000	American Family Mutual Insurance Company – Board of Directors	
			39-1508124				American Family Brokerage, Inc.	..WI.....	..NIA.....	American Family Mutual Insurance Company	Ownership.....	..100.000	American Family Mutual Insurance Company	
			39-1391393				AMFAM, Inc.	..WI.....	..UDP.....	American Family Mutual Insurance Company	Ownership.....	..100.000	American Family Mutual Insurance Company	
			46-3538161				The AssureStart Insurance Agency, LLC	..WI.....	..NIA.....	American Family Mutual Insurance Company	Ownership.....	..100.000	American Family Mutual Insurance Company	
..0473	American Family Insurance Group	..19283	39-6040366				American Standard Insurance Co. of WI	..WI.....	..IA.....	AMFAM, Inc.	Ownership.....	..100.000	American Family Mutual Insurance Company	
..0473	American Family Insurance Group	..10386	39-1835307				American Family Insurance Company	..WI.....	..IA.....	AMFAM, Inc.	Ownership.....	..100.000	American Family Mutual Insurance Company	
..0473	American Family Insurance Group	..10387	39-1835305				American Standard Insurance Co. of OH	..WI.....	..IA.....	AMFAM, Inc.	Ownership.....	..100.000	American Family Mutual Insurance Company	
..0473	American Family Insurance Group	..60399	39-6040365				American Family Life Insurance Co.	..WI.....	..IA.....	AMFAM, Inc.	Ownership.....	..100.000	American Family Mutual Insurance Company	
..0473	American Family Insurance Group	..27138	36-2705935				Midvale Indemnity Company	..IL.....	..IA.....	AMFAM, Inc.	Ownership.....	..100.000	American Family Mutual Insurance Company	
			39-6040596				American Family Financial Services, Inc.	..WI.....	..NIA.....	AMFAM, Inc.	Ownership.....	..100.000	American Family Mutual Insurance Company	
			36-4681910				New Ventures, LLC	..WI.....	..NIA.....	AMFAM, Inc.	Ownership.....	..99.000	American Family Mutual Insurance Company	
			36-4681910				New Ventures, LLC	..WI.....	..NIA.....	American Family Life Insurance Co.	Ownership.....	..1.000	American Family Mutual Insurance Company	
			86-1101013				PGC Holdings Corporation	..DE.....	..NIA.....	AMFAM, Inc.	Ownership.....	..100.000	American Family Mutual Insurance Company	
			42-6653388				PGC Holdings Statutory Trust 1	..DE.....	..NIA.....	PGC Holdings Corporation	Ownership.....	..100.000	American Family Mutual Insurance Company	
			20-1980130				PGC Holdings Statutory Trust 2	..DE.....	..NIA.....	PGC Holdings Corporation	Ownership.....	..100.000	American Family Mutual Insurance Company	
..0473	Permanent General Holdings	..22906	62-1482846				PGAC of Ohio	..OH.....	..IA.....	PGC Holdings Corporation	Ownership.....	..100.000	American Family Mutual Insurance Company	
..0473	Permanent General Holdings	..37648	13-2960609				Permanent General Assurance Corporation	..OH.....	..RE.....	Permanent General Companies, Inc.	Ownership.....	..100.000	American Family Mutual Insurance Company	
			62-1336831				Permanent General Companies, Inc.	..TN.....	..NIA.....	PGC Holdings Corporation	Ownership.....	..100.000	American Family Mutual Insurance Company	
			62-1383711				PGA Service Corporation	..TN.....	..NIA.....	Permanent General Assurance Corporation	Ownership.....	..100.000	American Family Mutual Insurance Company	
			62-1684228				The General Auto Insurance Services of Ohio, Inc.	..OH.....	..NIA.....	PGA Service Corporation	Ownership.....	..100.000	American Family Mutual Insurance Company	
			62-1684225				The General Auto Insurance Services of California, Inc.	..CA.....	..NIA.....	PGA Service Corporation	Ownership.....	..100.000	American Family Mutual Insurance Company	
			62-1758317				The General Auto Insurance Services of Louisiana, Inc.	..LA.....	..NIA.....	PGA Service Corporation	Ownership.....	..100.000	American Family Mutual Insurance Company	
..0473	Permanent General Holdings	..13703	26-2465659				The General Automobile Insurance Company, Inc.	..OH.....	..IA.....	PGAC of Ohio	Ownership.....	..100.000	American Family Mutual Insurance Company	
			62-1820203				The General Auto Insurance Services of Georgia, Inc.	..GA.....	..NIA.....	PGA Service Corporation	Ownership.....	..100.000	American Family Mutual Insurance Company	
			62-1812273				The General Auto Insurance Services of Texas, Inc.	..TX.....	..NIA.....	PGA Service Corporation	Ownership.....	..100.000	American Family Mutual Insurance Company	

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE GENERAL AUTOMOBILE INSURANCE COMPANY, INC.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	*
			04-3361207				Homesite Group Incorporated	DE	NIA	AMFAM, Inc.	Ownership	100.000	American Family Mutual Insurance Company	
			04-3441403				Homesite Securities Company LLC	DE	NIA	Homesite Group Incorporated	Ownership	100.000	American Family Mutual Insurance Company	
0473	American Family Insurance Group	13927	45-0282873				Homesite Insurance Company of the Midwest	WI	IA	Homesite Securities Company LLC	Ownership	100.000	American Family Mutual Insurance Company	
0473	American Family Insurance Group	17221	06-1125462				Homesite Insurance Company	WI	IA	Homesite Securities Company LLC	Ownership	100.000	American Family Mutual Insurance Company	
0473	American Family Insurance Group	20419	48-1156645				Homesite Indemnity Company	WI	IA	Homesite Group Incorporated	Ownership	100.000	American Family Mutual Insurance Company	
0473	American Family Insurance Group	11005	68-0426201				Homesite Insurance Company of California	CA	IA	Homesite Securities Company LLC	Ownership	100.000	American Family Mutual Insurance Company	
0473	American Family Insurance Group	10986	16-1559926				Homesite Insurance Company of New York	NY	IA	Homesite Securities Company LLC	Ownership	100.000	American Family Mutual Insurance Company	
0473	American Family Insurance Group	10745	23-2980263				Homesite Insurance Company of Georgia	GA	IA	Homesite Securities Company LLC	Ownership	100.000	American Family Mutual Insurance Company	
0473	American Family Insurance Group	11016	52-2176786				Homesite Insurance Company of Illinois	IL	IA	Homesite Securities Company LLC	Ownership	100.000	American Family Mutual Insurance Company	
0473	American Family Insurance Group	11156	04-3489719				Homesite Insurance Company of Florida	IL	IA	Homesite Securities Company LLC	Ownership	100.000	American Family Mutual Insurance Company	
0473	American Family Insurance Group	11237	74-2987795				Homesite Lloyd's of Texas	TX	IA	Texas-South of Homesite, Inc.	Attorney-In-Fact	0.000	American Family Mutual Insurance Company	
			23-3011415				Homesite Insurance Agency, Inc.	MA	NIA	Homesite Securities Company LLC	Ownership	100.000	American Family Mutual Insurance Company	
			04-3506712				Texas-South of Homesite, Inc.	TX	NIA	Homesite Securities Company LLC	Ownership	100.000	American Family Mutual Insurance Company	
			46-5039052				Homesite General Agent LLC	DE	NIA	Homesite Group Incorporated	Ownership	100.000	American Family Mutual Insurance Company	
			47-4532240				Midvale Life Insurance Company of New York	NY	IA	AMFAM, Inc.	Ownership	100.000	American Family Mutual Insurance Company	
			45-3695870				MoveIn, Inc.	WI	OTH	New Ventures, LLC	Ownership	18.900	MoveIn, Inc.	0000001
			46-1991111				Quietyme, Inc.	WI	OTH	New Ventures, LLC	Ownership	21.800	Quietyme, Inc.	0000001
			47-4493142				American Family Insurance Dreams Foundation, Inc	WI	OTH	American Family Mutual Insurance Company	Board of Directors	0.000	American Family Insurance Dreams Foundation, Inc	0000002
			45-5384507				Review Trackers, Inc.	DE	OTH	New Ventures, LLC	Ownership	16.500	Review Trackers, Inc.	0000001
			47-4384551				Functor Reality, Inc.	DE	OTH	New Ventures, LLC	Ownership	43.800	Functor Reality, Inc.	0000001
			45-4942793				Cozy Services Ltd.	DE	OTH	New Ventures, LLC	Ownership	15.300	Cozy Services Ltd.	0000001

Asterisk	Explanation
0000001	Investments held by New Ventures, LLC where a controlling interest is presumed to exist due to a greater than 10% ownership interest
0000002	501(c)(3) organization with greater than 50% board of director control

PART 1 - LOSS EXPERIENCE

Line of Business		Current Year to Date			4 Prior Year to Date Direct Loss Percentage
		1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1.	Fire			0.0	
2.	Allied Lines			0.0	
3.	Farmowners multiple peril			0.0	
4.	Homeowners multiple peril			0.0	
5.	Commercial multiple peril			0.0	
6.	Mortgage guaranty			0.0	
8.	Ocean marine			0.0	
9.	Inland marine			0.0	
10.	Financial guaranty			0.0	
11.1	Medical professional liability - occurrence			0.0	
11.2	Medical professional liability - claims-made			0.0	
12.	Earthquake			0.0	
13.	Group accident and health			0.0	
14.	Credit accident and health			0.0	
15.	Other accident and health			0.0	
16.	Workers' compensation			0.0	
17.1	Other liability - occurrence			0.0	
17.2	Other liability - claims-made			0.0	
17.3	Excess workers' compensation			0.0	
18.1	Products liability - occurrence			0.0	
18.2	Products liability - claims-made			0.0	
19.1,19.2	Private passenger auto liability	44,928,783	34,781,311	77.4	53.6
19.3,19.4	Commercial auto liability			0.0	
21.	Auto physical damage	19,011,540	13,719,679	72.2	59.6
22.	Aircraft (all perils)			0.0	
23.	Fidelity			0.0	
24.	Surety			0.0	
26.	Burglary and theft			0.0	
27.	Boiler and machinery			0.0	
28.	Credit			0.0	
29.	International			0.0	
30.	Warranty			0.0	
31.	Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business	0	0	0.0	0.0
35.	Totals	63,940,323	48,500,990	75.9	55.3
DETAILS OF WRITE-INS					
3401.				0.0	0.0
3402.					
3403.					
3498.	Summary of remaining write-ins for Line 34 from overflow page	0	0	0.0	
3499.	Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	0	0	0.0	

PART 2 - DIRECT PREMIUMS WRITTEN

Line of Business		1	2	3
		Current Quarter	Current Year to Date	Prior Year Year to Date
1.	Fire	0		
2.	Allied Lines	0		
3.	Farmowners multiple peril	0		
4.	Homeowners multiple peril	0		
5.	Commercial multiple peril	0		
6.	Mortgage guaranty	0		
8.	Ocean marine	0		
9.	Inland marine	0		
10.	Financial guaranty	0		
11.1	Medical professional liability - occurrence	0		
11.2	Medical professional liability - claims-made	0		
12.	Earthquake	0		
13.	Group accident and health	0		
14.	Credit accident and health	0		
15.	Other accident and health	0		
16.	Workers' compensation	0		
17.1	Other liability - occurrence	0		
17.2	Other liability - claims-made	0		
17.3	Excess workers' compensation	0		
18.1	Products liability - occurrence	0		
18.2	Products liability - claims-made	0		
19.1,19.2	Private passenger auto liability	14,258,955	46,433,399	48,334,217
19.3,19.4	Commercial auto liability	0		
21.	Auto physical damage	5,366,885	18,922,155	19,549,484
22.	Aircraft (all perils)	0		
23.	Fidelity	0		
24.	Surety	0		
26.	Burglary and theft	0		
27.	Boiler and machinery	0		
28.	Credit	0		
29.	International	0		
30.	Warranty	0		
31.	Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business	0	0	0
35.	Totals	19,625,840	65,355,554	67,883,701
DETAILS OF WRITE-INS				
3401.				0
3402.				
3403.				
3498.	Summary of remaining write-ins for Line 34 from overflow page	0	0	0
3499.	Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE GENERAL AUTOMOBILE INSURANCE COMPANY, INC.

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1+2)	2016 Loss and LAE Payments on Claims Reported as of Prior Year-End	2016 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2016 Loss and LAE Payments (Cols. 4+5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols.7+8+9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols.4+7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12)
1. 2013 + Prior	1,237	639	1,876	844	9	853	581	6	81	668	188	(543)	(355)
2. 2014	2,444	782	3,226	1,737	17	1,754	1,136	12	276	1,424	429	(477)	(48)
3. Subtotals 2014 + Prior	3,681	1,421	5,102	2,581	26	2,607	1,717	18	357	2,092	617	(1,020)	(403)
4. 2015	11,870	5,660	17,530	12,755	1,262	14,017	4,536	449	1,617	6,602	5,421	(2,332)	3,089
5. Subtotals 2015 + Prior	15,551	7,081	22,632	15,336	1,288	16,624	6,253	467	1,974	8,694	6,038	(3,352)	2,686
6. 2016	XXX	XXX	XXX	XXX	27,150	27,150	XXX	14,630	8,927	23,557	XXX	XXX	XXX
7. Totals	15,551	7,081	22,632	15,336	28,438	43,774	6,253	15,097	10,901	32,251	6,038	(3,352)	2,686
8. Prior Year-End Surplus As Regards Policyholders	31,334										Col. 11, Line 7 As % of Col. 1 Line 7	Col. 12, Line 7 As % of Col. 2 Line 7	Col. 13, Line 7 As % of Col. 3 Line 7
											1. 38.8	2. (47.3)	3. 11.9
													Col. 13, Line 7 As a % of Col. 1 Line 8
													4. 8.6

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

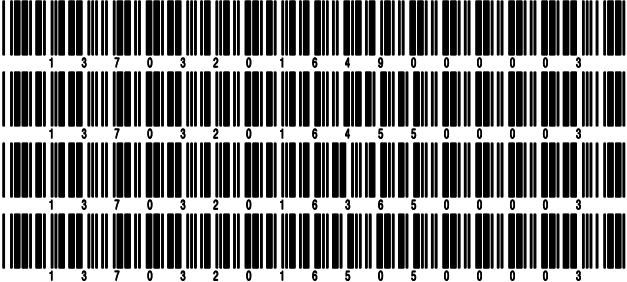
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanations:

- 1.
- 2.
- 3.
- 4.

Bar Codes:

- 1. Trusteed Surplus Statement [Document Identifier 490]
- 2. Supplement A to Schedule T [Document Identifier 455]
- 3. Medicare Part D Coverage Supplement [Document Identifier 365]
- 4. Director and Officer Supplement [Document Identifier 505]



OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Statement of Income Line 14

		1	2	3
		Current	Prior Year	Prior Year Ended
		Year to Date	to Date	December 31
1404.	Legal Defense Fees		0	0
1497.	Summary of remaining write-ins for Line 14 from overflow page	0	0	0

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest point and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	45,626,864	25,409,523
2. Cost of bonds and stocks acquired	35,053,253	72,712,097
3. Accrual of discount	28,825	5,023
4. Unrealized valuation increase (decrease)	(1,762)	1,762
5. Total gain (loss) on disposals	164,049	378,160
6. Deduct consideration for bonds and stocks disposed of	25,851,673	52,407,801
7. Deduct amortization of premium	447,768	471,900
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	54,571,788	45,626,864
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	54,571,788	45,626,864

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE GENERAL AUTOMOBILE INSURANCE COMPANY, INC.

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	55,697,006	13,471,300	8,504,067	79,454	53,265,622	55,697,006	60,743,693	48,293,052
2. NAIC 2 (a)	790,760	0	0	(222,134)	573,300	790,760	568,626	575,621
3. NAIC 3 (a)	0				0	0	0	
4. NAIC 4 (a)	0				0	0	0	
5. NAIC 5 (a)	0				0	0	0	
6. NAIC 6 (a)	0				0	0	0	
7. Total Bonds	56,487,766	13,471,300	8,504,067	(142,680)	53,838,922	56,487,766	61,312,319	48,868,673
PREFERRED STOCK								
8. NAIC 1	0				0	0	0	0
9. NAIC 2	0				0	0	0	0
10. NAIC 3	0				0	0	0	0
11. NAIC 4	0				0	0	0	0
12. NAIC 5	0				0	0	0	0
13. NAIC 6	0				0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	56,487,766	13,471,300	8,504,067	(142,680)	53,838,922	56,487,766	61,312,319	48,868,673

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ 6,413,429 ; NAIC 2 \$ 0 ; NAIC 3 \$ 0 ;
NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	6,740,530	xxx	6,749,556	7,449	29

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	3,241,809	629,946
2. Cost of short-term investments acquired	25,888,194	63,802,017
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		(109)
6. Deduct consideration received on disposals	22,380,912	61,189,577
7. Deduct amortization of premium	8,561	468
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	6,740,530	3,241,809
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	6,740,530	3,241,809

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards
N O N E

Schedule DB - Part B - Verification - Futures Contracts
N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open
N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open
N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives
N O N E

Schedule E - Verification - Cash Equivalents
N O N E

Schedule A - Part 2 - Real Estate Acquired and Additions Made
N O N E

Schedule A - Part 3 - Real Estate Disposed
N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made
N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid
N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made
N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid
N O N E

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE GENERAL AUTOMOBILE INSURANCE COMPANY, INC.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
912828-2D-1	UNITED STATES TREASURY SENIOR GOVT BND 1.375% 08/31/23		09/12/2016	BNP Paribas SA		297,129	300,000	171	1
912828-N4-8	UNITED STATES TREASURY SENIOR GOVT BND 1.750% 12/31/20		09/14/2016	HSBC Bank USA, NA		383,804	375,000	1,444	1
912828-S3-5	UNITED STATES TREASURY SENIOR GOVT BND 1.375% 06/30/23		09/08/2016	Citi International		324,632	325,000	911	1
912828-S7-6	UNITED STATES TREASURY GOVT BND 1.125% 07/31/21		08/17/2016	Bank of America		499,845	500,000	336	1
0599999. Subtotal - Bonds - U.S. Governments						1,505,410	1,500,000	2,862	XXX
145591-AS-2	CARROLLTON EXEMPTED VILLAGE SC MUNI BND GO 4.000% 12/01/32		07/07/2016	Stifel Nicolaus		221,996	195,000	0	1FE
145591-AT-0	CARROLLTON EXEMPTED VILLAGE SC MUNI BND GO 4.000% 12/01/33		07/07/2016	Stifel Nicolaus		215,527	190,000	0	1FE
400199-BB-0	GRUNDY & KENDALL CNTYS ILL MUNI BND GO 4.000% 02/01/20		09/12/2016	Hutchinson,Shockey,Erley & Co		27,137	25,000	122	1FE
654727-HT-6	NISKAYUNA N Y CENT SCH DIST MUNI BND GO 1.300% 03/15/18		08/11/2016	Hutchinson,Shockey,Erley & Co		45,211	45,000	245	1
654727-HU-3	NISKAYUNA N Y CENT SCH DIST MUNI BND GO 1.630% 03/15/19		08/11/2016	Hutchinson,Shockey,Erley & Co		50,672	50,000	342	1
823194-Q2-5	SHENENDEHOIA CENT SCH DIST N Y MUNI BND GO 1.550% 08/01/18		08/11/2016	Hutchinson,Shockey,Erley & Co		55,585	55,000	36	1
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						616,128	560,000	745	XXX
167593-RD-6	CHICAGO ILL O HARE INTL ARPT MUNI BND REV 5.000% 01/01/27		08/30/2016	The Williams Capital Group		326,175	265,000	2,245	1FE
270424-BX-1	EAST ALDINE MGMT DIST TEX SALE MUNI BND REV 4.000% 02/15/22		07/01/2016	Stifel Nicolaus		62,292	55,000	0	1FE
270424-BY-9	EAST ALDINE MGMT DIST TEX SALE MUNI BND REV 4.000% 02/15/23		07/01/2016	Stifel Nicolaus		251,810	220,000	0	1FE
283822-MN-1	EL PASO TEX MUNI BND REV 4.000% 03/01/22		07/20/2016	Hutchinson,Shockey,Erley & Co		344,187	300,000	0	1FE
313560-L7-6	FANNIE MAE AGENCY BND 1.075% 07/11/19		07/06/2016	Citi International		1,000,000	1,000,000	0	1
40785E-S4-9	HAMILTON SOUTHEASTERN IND CONS MUNI BND REV 5.000% 07/15/32		09/22/2016	Stifel Nicolaus		182,760	150,000	1,500	1FE
45204E-JV-5	ILLINOIS FIN MUNI BND REV 5.000% 01/01/22		09/14/2016	Hutchinson,Shockey,Erley & Co		35,565	30,000	29	1FE
645918-SY-5	NEW JERSEY ECONOMIC DEVELOPMEN MUNI BND REV 5.000% 06/15/26		08/24/2016	Hutchinson,Shockey,Erley & Co		86,139	75,000	771	1FE
65956N-EP-7	NORTH HARRIS CNTY REGL WTR AUT MUNI BND REV 5.000% 12/15/27		07/06/2016	Hutchinson,Shockey,Erley & Co		68,701	55,000	199	1FE
696560-KJ-9	PALM BEACH CNTY FLA SOLID WAST MUNI BND REV/Prfn 10.1.198100 5.250% 10/01/21		09/14/2016	Tax Free Exchange		27,980	25,000	0	1FE
696560-KU-4	PALM BEACH CNTY FLA SOLID WAST MUNI BND REV 5.250% 10/01/21		09/14/2016	Tax Free Exchange		106,323	95,000	0	1FE
709221-UH-6	PENNSYLVANIA ST TURNPIKE COMM MUNI BND REV 5.000% 12/01/30		09/29/2016	Raymond James & Assoc Inc		206,676	165,000	619	1FE
836193-CX-8	SOUTH ADAMS IND SCH BLDG CORP MUNI BND REV 5.000% 07/15/28		08/02/2016	Stifel Nicolaus		188,210	150,000	0	1FE
836193-CZ-3	SOUTH ADAMS IND SCH BLDG CORP MUNI BND REV 5.000% 07/15/29		08/02/2016	Stifel Nicolaus		112,289	90,000	0	1FE
844425-BB-3	SOUTHLAKE TEX MUNI BND REV 5.000% 02/15/24		09/29/2016	Hutchinson,Shockey,Erley & Co		177,985	145,000	806	1FE
3199999. Subtotal - Bonds - U.S. Special Revenues						3,177,092	2,820,000	6,169	XXX
8399997. Total - Bonds - Part 3						5,298,630	4,880,000	9,776	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						5,298,630	4,880,000	9,776	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						0	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	XXX
9999999 - Totals						5,298,630	XXX	9,776	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE GENERAL AUTOMOBILE INSURANCE COMPANY, INC.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
CUSIP Ident-ification	Description	For-foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	11	12	13	14	15	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Design-ation or Market In-dicator (a)	
912810-RS-9	UNITED STATES TREASURY SENIOR GOVT BND 2.500% 05/15/46		07/27/2016	Jeffries		78,949	75,000	76,412	.0	.0	(2)	.0	(2)	.0	76,410	.0	2,539	2,539	377	05/15/2046	1	
912828-F5-4	UNITED STATES TREASURY SENIOR GOVT BND 0.875% 10/15/17		09/14/2016	Jeffries		100,176	100,000	100,227	.0	.0	(77)	.0	(77)	.0	100,150	.0	26	26	803	10/15/2017	1	
912828-UR-9	UNITED STATES TREASURY 0.75%02/28/18 0.750% 02/28/18		07/06/2016	RBC Capital Markets		927,961	925,000	918,705	918,948	.0	1,424	.0	1,424	.0	920,372	.0	7,589	7,589	5,901	02/28/2018	1	
0599999. Subtotal - Bonds - U.S. Governments						1,107,086	1,100,000	1,095,344	918,948	0	1,345	0	1,345	0	1,096,932	0	10,154	10,154	7,081	XXX	XXX	
242015-GC-3	DE WITT FORD ETC CNTYS ILL CMN MUNI BND GO 3.000% 12/01/24		07/28/2016	Hutchinson,Shockey,Erley & Co		341,501	315,000	343,202	.0	.0	(613)	.0	(613)	.0	342,589	.0	(1,088)	(1,088)	2,100	12/01/2024	1FE	
416415-KD-8	HARTFORD CONN MUNI BND GO 5.000% 04/01/21		08/24/2016	Hutchinson,Shockey,Erley & Co		214,187	185,000	215,566	.0	.0	(1,775)	.0	(1,775)	.0	213,790	.0	397	397	3,803	04/01/2021	1FE	
654727-HT-6	NISKAYUNA N Y CENT SCH DIST MUNI BND GO 1.300% 03/15/18		09/28/2016	Stifel Nicolaus		44,871	45,000	45,211	.0	.0	(17)	.0	(17)	.0	45,194	.0	(323)	(323)	322	03/15/2018	1	
654727-HU-3	NISKAYUNA N Y CENT SCH DIST MUNI BND GO 1.630% 03/15/19		09/28/2016	Stifel Nicolaus		50,020	50,000	50,672	.0	.0	(33)	.0	(33)	.0	50,639	.0	(619)	(619)	448	03/15/2019	1	
66650P-AL-2	NORTHLAND MINN INDPNT SCH DIST MUNI BND GO 5.000% 02/01/17		07/07/2016	Stifel Nicolaus		127,920	125,000	130,135	.0	.0	(2,200)	.0	(2,200)	.0	127,935	.0	(15)	(15)	2,622	02/01/2017	1FE	
692160-JQ-8	OYSTER BAY N Y MUNI BND GO 4.000% 11/01/20		08/25/2016	Hutchinson,Shockey,Erley & Co		236,601	215,000	240,626	.0	.0	(3,057)	.0	(3,057)	.0	237,569	.0	(968)	(968)	7,143	11/01/2020	1FE	
823194-G2-5	SHENENDEHOWIA CENT SCH DIST N Y MUNI BND GO 1.550% 08/01/18		09/28/2016	Stifel Nicolaus		55,043	55,000	55,585	.0	.0	(39)	.0	(39)	.0	55,546	.0	(503)	(503)	147	08/01/2018	1	
854582-BR-3	STANLEY WIS MUNI BND GO 2.500% 03/01/18		09/28/2016	Stifel Nicolaus		323,610	320,000	325,923	.0	.0	(2,311)	.0	(2,311)	.0	323,612	.0	(2)	(2)	4,711	03/01/2018	1Z	
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						1,393,753	1,310,000	1,406,920	0	0	(10,045)	0	(10,045)	0	1,396,874	0	(3,121)	(3,121)	21,296	XXX	XXX	
313468-LP-2	FREDDIE MAC SENIOR AGENCY BND 1.350% 02/26/19		08/26/2016	Call	100.0000	650,000	650,000	650,000	.0	.0	.0	.0	.0	.0	650,000	.0	.0	.0	4,388	02/26/2019	1	
313468-UC-1	FREDDIE MAC SENIOR AGENCY BND 1.450% 09/30/19		09/30/2016	Call	100.0000	250,000	250,000	250,000	.0	.0	.0	.0	.0	.0	250,000	.0	.0	.0	1,813	09/30/2019	1	
387733-LV-8	GRANT CNTY KY SCH DIST FIN COR MUNI BND REV 1.400% 08/01/22		08/16/2016	Call	100.0000	20,000	20,000	19,261	19,263	.0	66	.0	66	.0	19,329	.0	671	671	280	08/01/2022	1FE	
650014-WV-1	NEW YORK ST TWY AUTH NY ST THRUWAY A REV/PREFRD 5.000% 04/01/19		09/27/2016	Stifel Nicolaus		107,663	100,000	110,079	.0	.0	(2,056)	.0	(2,056)	.0	108,023	.0	(360)	(360)	4,986	04/01/2019	1Z	
696560-HK-0	PALM BEACH CNTY FLA SOLID WAST MUNI BND REV 5.250% 10/01/21		09/14/2016	Tax Free Exchange		134,303	120,000	137,452	.0	.0	(3,149)	.0	(3,149)	.0	134,303	.0	.0	.0	3,150	10/01/2021	1FE	
88045R-WD-0	TENNESSEE HSG DEV AGY MUNI BND REV 4.125% 01/01/21		07/01/2016	Call	100.0000	5,000	5,000	5,410	.0	.0	(33)	.0	(33)	.0	5,376	.0	(376)	(376)	103	01/01/2021	1FE	
3199999. Subtotal - Bonds - U.S. Special Revenues						1,166,966	1,145,000	1,172,202	19,263	0	(5,172)	0	(5,172)	0	1,167,031	0	(65)	(65)	14,720	XXX	XXX	
8399997. Total - Bonds - Part 4						3,667,805	3,555,000	3,674,466	938,211	0	(13,872)	0	(13,872)	0	3,660,837	0	6,968	6,968	43,097	XXX	XXX	
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds						3,667,805	3,555,000	3,674,466	938,211	0	(13,872)	0	(13,872)	0	3,660,837	0	6,968	6,968	43,097	XXX	XXX	
8999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799997. Total - Common Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9999999 - Totals						3,667,805	XXX	3,674,466	938,211	0	(13,872)	0	(13,872)	0	3,660,837	0	6,968	6,968	43,097	XXX	XXX	

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open
N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open
N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made
N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To
N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned
N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned
N O N E

Schedule E - Part 1 - Month End Depository Balances
N O N E

Schedule E - Part 2 - Cash Equivalents - Investments Owned End of Current Quarter
N O N E