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QUARTERLY STATEMENT

As of September 30, 2016

of the Condition and Affairs of the

EVERGREEN NATIONAL INDEMNITY COMPANY

NAIC Group Code.....4869, 4869 (Current Period) (Prior Period) | NAIC Company Code..... 12750 | Employer's ID Number..... 36-2467238

Organized under the Laws of OH | State of Domicile or Port of Entry OH | Country of Domicile US

Incorporated/Organized..... December 30, 1939 | Commenced Business..... January 1, 1940

Statutory Home Office	6140 PARKLAND BLVD, STE 321….. MAYFIELD HEIGHTS ..... OH ..... US ..... 44124 *(Street and Number) (City or Town, State, Country and Zip Code)*	
Main Administrative Office	6140 PARKLAND BLVD, STE 321….. MAYFIELD HEIGHTS ..... OH ..... US ..... 44124 *(Street and Number) (City or Town, State, Country and Zip Code)*	440-229-3420 *(Area Code) (Telephone Number)*
Mail Address	6140 PARKLAND BLVD, STE 321….. MAYFIELD HEIGHTS ..... OH ..... US ..... 44124 *(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)*	
Primary Location of Books and Records	6140 PARKLAND BLVD, STE 321….. MAYFIELD HEIGHTS ..... OH ..... US ..... 44124 *(Street and Number) (City or Town, State, Country and Zip Code)*	440-229-3403 *(Area Code) (Telephone Number)*
Internet Web Site Address		
Statutory Statement Contact	DAVID ALAN CANZONE *(Name)*	440-229-3403 *(Area Code) (Telephone Number) (Extension)*
	dcanzone@evergreen-national.com *(E-Mail Address)*	440-229-3421 *(Fax Number)*

OFFICERS

Name	Title	Name	Title
1. MATTHEW TRACY TUCKER	PRESIDENT	2. DAVID ALAN CANZONE	CFO/TREASURER
3. WAN CHEN COLLIER	SECRETARY	4. ROBERT WILLARD SHEPARD	VICE PRESIDENT OF FINANCE

OTHER

DIRECTORS OR TRUSTEES

CHARLES KYLE SLATERY	MATTHEW TRACY TUCKER	ROBERT WILLARD SHEPARD	JAMES DONALD LACKIE
DAVID ALAN CANZONE	EMMEL BERNHARDT GOLDEN III		

State of........ Ohio
County of..... Cuyahoga

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions* and *Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)	(Signature)	(Signature)
MATTHEW TRACY TUCKER	DAVID ALAN CANZONE	WAN CHEN COLLIER
1. (Printed Name)	2. (Printed Name)	3. (Printed Name)
PRESIDENT	CFO/TREASURER	SECRETARY
(Title)	(Title)	(Title)

Subscribed and sworn to before me
This ________ day of ________________________

a. Is this an original filing? Yes [X] No [ ]
b. If no: 1. State the amendment number
2. Date filed
3. Number of pages attached

ASSETS

	Current Statement Date 1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 December 31 Prior Year Net Admitted Assets
1. Bonds	21,212,258		21,212,258	18,324,350
2. Stocks:				
2.1 Preferred stocks	4,431,780		4,431,780	4,966,395
2.2 Common stocks	8,214,281		8,214,281	8,079,036
3. Mortgage loans on real estate:				
3.1 First liens	830,870		830,870	830,870
3.2 Other than first liens			0	
4. Real estate:				
4.1 Properties occupied by the company (less $..........0 encumbrances)			0	
4.2 Properties held for the production of income (less $..........0 encumbrances)			0	
4.3 Properties held for sale (less $..........0 encumbrances)			0	
5. Cash ($.....4,726,117), cash equivalents ($..........0) and short-term investments ($.....806,077)	5,532,194		5,532,194	5,975,062
6. Contract loans (including $..........0 premium notes)			0	
7. Derivatives			0	
8. Other invested assets	3,014,382		3,014,382	2,857,205
9. Receivables for securities			0	19,770
10. Securities lending reinvested collateral assets			0	
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	43,235,765	0	43,235,765	41,052,688
13. Title plants less $..........0 charged off (for Title insurers only)			0	
14. Investment income due and accrued	387,061		387,061	320,891
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	2,229,169		2,229,169	1,966,454
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including $..........0 earned but unbilled premiums)			0	
15.3 Accrued retrospective premiums ($..........0) and contracts subject to redetermination ($..........0)			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	319,425		319,425	317,482
16.2 Funds held by or deposited with reinsured companies			0	
16.3 Other amounts receivable under reinsurance contracts			0	
17. Amounts receivable relating to uninsured plans			0	
18.1 Current federal and foreign income tax recoverable and interest thereon	103,500		103,500	
18.2 Net deferred tax asset	1,385,387	825,173	560,214	893,996
19. Guaranty funds receivable or on deposit			0	
20. Electronic data processing equipment and software	3,351	750	2,601	4,865
21. Furniture and equipment, including health care delivery assets ($..........0)	639	639	0	
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	
23. Receivables from parent, subsidiaries and affiliates			0	
24. Health care ($..........0) and other amounts receivable			0	
25. Aggregate write-ins for other than invested assets	16,594	16,594	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25)	47,680,891	843,156	46,837,735	44,556,376
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	
28. Total (Lines 26 and 27)	47,680,891	843,156	46,837,735	44,556,376

DETAILS OF WRITE-INS

1101.			0	
1102.			0	
1103.			0	
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above)	0	0	0	0
2501. Miscellaneous Receivable	5,248	5,248	0	
2502. Prepaid Insurance	11,346	11,346	0	
2503.			0	
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above)	16,594	16,594	0	0

LIABILITIES, SURPLUS AND OTHER FUNDS

		1 Current Statement Date	2 December 31 Prior Year
1.	Losses (current accident year $.....1,846,174)	2,994,214	2,736,418
2.	Reinsurance payable on paid losses and loss adjustment expenses		
3.	Loss adjustment expenses	1,175,720	912,611
4.	Commissions payable, contingent commissions and other similar charges		
5.	Other expenses (excluding taxes, licenses and fees)	266,577	323,026
6.	Taxes, licenses and fees (excluding federal and foreign income taxes)	48,551	281,123
7.1	Current federal and foreign income taxes (including $..........0 on realized capital gains (losses))		105,420
7.2	Net deferred tax liability		
8.	Borrowed money $..........0 and interest thereon $..........0		
9.	Unearned premiums (after deducting unearned premiums for ceded reinsurance of $.....12,041,232 and including warranty reserves of $.....104,204 and accrued accident and health experience rating refunds including $..........0 for medical loss ratio rebate per the Public Health Service Act)	5,836,721	4,991,067
10.	Advance premium		
11.	Dividends declared and unpaid:		
	11.1 Stockholders		
	11.2 Policyholders		
12.	Ceded reinsurance premiums payable (net of ceding commissions)	3,184,359	3,448,330
13.	Funds held by company under reinsurance treaties		
14.	Amounts withheld or retained by company for account of others	119,252	
15.	Remittances and items not allocated		
16.	Provision for reinsurance (including $..........0 certified)		
17.	Net adjustments in assets and liabilities due to foreign exchange rates		
18.	Drafts outstanding		
19.	Payable to parent, subsidiaries and affiliates		
20.	Derivatives		
21.	Payable for securities	80,565	
22.	Payable for securities lending		
23.	Liability for amounts held under uninsured plans		
24.	Capital notes $..........0 and interest thereon $..........0		
25.	Aggregate write-ins for liabilities	1,086,526	1,212,670
26.	Total liabilities excluding protected cell liabilities (Lines 1 through 25)	14,792,485	14,010,665
27.	Protected cell liabilities		
28.	Total liabilities (Lines 26 and 27)	14,792,485	14,010,665
29.	Aggregate write-ins for special surplus funds	0	0
30.	Common capital stock	3,018,004	3,018,004
31.	Preferred capital stock		
32.	Aggregate write-ins for other than special surplus funds	0	0
33.	Surplus notes		
34.	Gross paid in and contributed surplus	25,841,820	25,841,820
35.	Unassigned funds (surplus)	3,185,426	1,685,887
36.	Less treasury stock, at cost:		
	36.1 ....0.000 shares common (value included in Line 30 $..........0)		
	36.2 ....0.000 shares preferred (value included in Line 31 $..........0)		
37.	Surplus as regards policyholders (Lines 29 to 35, less 36)	32,045,250	30,545,711
38.	Totals (Page 2, Line 28, Col. 3)	46,837,735	44,556,376

DETAILS OF WRITE-INS

2501.	Unrestricted Collateral	933,309	925,499
2502.	Pledged as Collateral	153,217	287,171
2503.			
2598.	Summary of remaining write-ins for Line 25 from overflow page	0	0
2599.	Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above)	1,086,526	1,212,670
2901.			
2902.			
2903.			
2998.	Summary of remaining write-ins for Line 29 from overflow page	0	0
2999.	Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above)	0	0
3201.			
3202.			
3203.			
3298.	Summary of remaining write-ins for Line 32 from overflow page	0	0
3299.	Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above)	0	0

STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct (written $.....26,337,366)	25,334,850	25,250,820	33,792,686
1.2 Assumed (written $.....2,388,855)	2,406,017	2,967,522	3,575,164
1.3 Ceded (written $.....19,303,058)	19,163,358	19,442,898	26,021,351
1.4 Net (written $.....9,423,163)	8,577,509	8,775,444	11,346,499
DEDUCTIONS:			
2. Losses incurred (current accident year $.....1,846,174):			
2.1 Direct	251,989	(19,663)	(769,195)
2.2 Assumed	229,037	332,612	48,882
2.3 Ceded	214,230	(82,695)	(913,611)
2.4 Net	266,796	395,644	193,298
3. Loss adjustment expenses incurred	262,587	245,843	(44,205)
4. Other underwriting expenses incurred	6,705,492	6,787,689	8,813,430
5. Aggregate write-ins for underwriting deductions	0	0	0
6. Total underwriting deductions (Lines 2 through 5)	7,234,875	7,429,176	8,962,523
7. Net income of protected cells			
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7)	1,342,634	1,346,268	2,383,976
INVESTMENT INCOME			
9. Net investment income earned	1,190,094	1,170,859	1,455,780
10. Net realized capital gains (losses) less capital gains tax of $..........0	(1,756,868)	(241,804)	(385,999)
11. Net investment gain (loss) (Lines 9 + 10)	(566,774)	929,055	1,069,781
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered $..........0 amount charged off $..........0)	0		
13. Finance and service charges not included in premiums			
14. Aggregate write-ins for miscellaneous income	1,114	522	522
15. Total other income (Lines 12 through 14)	1,114	522	522
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	776,974	2,275,845	3,454,279
17. Dividends to policyholders			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	776,974	2,275,845	3,454,279
19. Federal and foreign income taxes incurred	871,080	743,613	1,135,519
20. Net income (Line 18 minus Line 19) (to Line 22)	(94,106)	1,532,232	2,318,760
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year	30,545,711	33,209,333	33,209,334
22. Net income (from Line 20)	(94,106)	1,532,232	2,318,760
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains or (losses) less capital gains tax of $.....801,614	3,120,848	(2,277,057)	(2,965,912)
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	(333,782)	37,847	882,992
27. Change in nonadmitted assets	56,579	13,859	(449,463)
28. Change in provision for reinsurance			
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in			
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in			
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders	(1,250,000)	(1,250,000)	(2,450,000)
36. Change in treasury stock			
37. Aggregate write-ins for gains and losses in surplus	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37)	1,499,539	(1,943,120)	(2,663,623)
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	32,045,250	31,266,214	30,545,711

DETAILS OF WRITE-INS

0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above)	0	0	0
1401. Miscellaneous Income	1,114	522	522
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above)	1,114	522	522
3701. Misc Surplus Change			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above)	0	0	0

CASH FLOW

		1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
	CASH FROM OPERATIONS			
1.	Premiums collected net of reinsurance	8,896,477	8,832,416	11,483,447
2.	Net investment income	1,125,368	1,141,310	1,443,529
3.	Miscellaneous income	1,114	522	522
4.	Total (Lines 1 through 3)	10,022,959	9,974,248	12,927,498
5.	Benefit and loss related payments	(51,422)	107,215	2,567
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7.	Commissions, expenses paid and aggregate write-ins for deductions	6,993,991	6,722,939	8,555,768
8.	Dividends paid to policyholders			
9.	Federal and foreign income taxes paid (recovered) net of $..........0 tax on capital gains (losses)	1,080,000	886,110	1,126,110
10.	Total (Lines 5 through 9)	8,022,569	7,716,264	9,684,445
11.	Net cash from operations (Line 4 minus Line 10)	2,000,390	2,257,984	3,243,053
	CASH FROM INVESTMENTS			
12.	Proceeds from investments sold, matured or repaid:			
	12.1 Bonds	1,637,828	4,949,053	5,734,736
	12.2 Stocks	3,188,133	3,056,736	3,334,227
	12.3 Mortgage loans		6,979	9,866
	12.4 Real estate			
	12.5 Other invested assets	569,683	7,624	(266,496)
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			
	12.7 Miscellaneous proceeds	100,335		32,515
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	5,495,979	8,020,392	8,844,848
13.	Cost of investments acquired (long-term only):			
	13.1 Bonds	4,200,677	3,948,050	4,724,044
	13.2 Stocks	1,920,471	4,912,886	5,163,848
	13.3 Mortgage loans			
	13.4 Real estate			
	13.5 Other invested assets	557,675	469,416	181,857
	13.6 Miscellaneous applications			
	13.7 Total investments acquired (Lines 13.1 to 13.6)	6,678,823	9,330,351	10,069,750
14.	Net increase or (decrease) in contract loans and premium notes			
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(1,182,844)	(1,309,960)	(1,224,902)
	CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16.	Cash provided (applied):			
	16.1 Surplus notes, capital notes			
	16.2 Capital and paid in surplus, less treasury stock			
	16.3 Borrowed funds			
	16.4 Net deposits on deposit-type contracts and other insurance liabilities			
	16.5 Dividends to stockholders	1,250,000	1,250,000	2,450,000
	16.6 Other cash provided (applied)	(10,414)	69,616	31,656
17.	Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6)	(1,260,414)	(1,180,384)	(2,418,344)
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18.	Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17)	(442,868)	(232,361)	(400,193)
19.	Cash, cash equivalents and short-term investments:			
	19.1 Beginning of year	5,975,062	6,375,255	6,375,255
	19.2 End of period (Line 18 plus Line 19.1)	5,532,194	6,142,895	5,975,062

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			

NOTES TO FINANCIAL STATEMENTS

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES AND GOING CONCERN

A. Accounting Practices

	State of Domicile	Current Period	Prior Year
NET INCOME			
(1) EVERGREEN NATIONAL INDEMNITY COMPANY state basis (Page 4, Line 20, Columns 1 & 3)	OH	$ (94,106)	$ 2,318,760
(2) State Prescribed Practices that increase/decrease NAIC SAP			
(3) State Permitted Practices that increase/decrease NAIC SAP			
(4) NAIC SAP (1 – 2 – 3 = 4)	OH	$ (94,106)	$ 2,318,760
SURPLUS			
(5) EVERGREEN NATIONAL INDEMNITY COMPANY state basis (Page 3, line 37, Columns 1 & 2)	OH	$ 32,045,250	$ 30,545,711
(6) State Prescribed Practices that increase/decrease NAIC SAP			
(7) State Permitted Practices that increase/decrease NAIC SAP			
(8) NAIC SAP (5 – 6 – 7 = 8)	OH	$ 32,045,250	$ 30,545,711

C. Accounting Policy -Not Applicable

D. Going Concern -Not Applicable

Note 2 – Accounting Changes and Corrections of Errors

No significant changes

Note 3 – Business Combinations and Goodwill

No significant changes

Note 4 – Discontinued Operations

No significant change

NOTE 5 – INVESTMENTS

D. Loan-Backed Securities

(1)

			1	2	3
(2)			Amortized Cost Basis Before Other-than-Temporary Impairment	Other-than-Temporary Impairment Recognized in Loss	Fair Value 1 – 2
	OTTI recognized 1st Quarter				
	a.	Intent to sell	$	$	$
	b.	Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			
	c.	Total 1st Quarter	$ None	$	$
	OTTI recognized 2nd Quarter				
	d.	Intent to sell	$	$	$
	e.	Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			
	f.	Total 2nd Quarter	$ None	$	$
	OTTI recognized 3rd Quarter				
	g.	Intent to sell	$	$	$
	h.	Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			
	i.	Total 3rd Quarter	$ None	$	$
	OTTI recognized 4th Quarter				
	j.	Intent to sell	$	$	$
	k.	Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			
	l.	Total 4th Quarter		$	
	m.	Annual aggregate total	XXX	$	XXX

(3) Recognized OTTI securities

CUSIP	Book/Adjusted Carrying Value Amortized Cost	Present Value of Projected Cash Flows	Recognized Other-Than-Temporary	Amortized Cost After Other-Than-Temporary	Fair Value at Time of OTTI	Date of Financial Statement

NOTES TO FINANCIAL STATEMENTS

	Before Current Period OTTI		Impairment	Impairment		Where Reported
	$	$	$	$	$	
Total			$			

(4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains):

a.	The aggregate amount of unrealized losses:	1.	Less than 12 Months	$
		2.	12 Months or Longer	$
b.	The aggregate related fair value of securities with unrealized losses:	1.	Less than 12 Months	$
		2.	12 Months or Longer	$

(5)

E. Repurchase Agreements and/or Securities Lending Transactions

(3) Collateral Received

b.	The fair value of that collateral and of the portion of that collateral that it has sold or repledged	$

I. Working Capital Finance Investments

(2) Aggregate Maturity Distribution on the Underlying Working Capital Finance Programs

		Book/Adjusted Carrying Value
a.	Up to 180 Days	$
b.	181 to 365 Days	
c.	Total	$

(3)

J. Offsetting and Netting of Assets and Liabilities

(1) Assets	Gross Amount Recognized	Amount Offset	Net Amount Presented on Financial Statements
	$	$	$
(2) Liabilities			
	$	$	$

Note 6 – Joint Ventures, Partnerships and Limited Liability Companies

No significant changes

Note 7 – Investment Income

No significant changes

Note 8 – Derivative Instruments

No significant changes

Note 9 – Income Taxes

No significant changes

Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No significant changes

NOTE 11 – DEBT

B. FHLB (Federal Home Loan Bank) Agreements -Not Applicable

(1)

(2) FHLB Capital Stock

a. Aggregate Totals

1. Current Period

	1 Total 2 + 3	2 General Account	3 Protected Cell Accounts
(a) Membership Stock – Class A	$	$	$
(b) Membership Stock – Class B			
(c) Activity Stock			
(d) Excess Stock			
(e) Aggregate Total (a+b+c+d)	$	$	$
(f) Actual or estimated borrowing capacity as determined by the insurer	$	XXX	XXX

NOTES TO FINANCIAL STATEMENTS

2. Prior Year

	1 Total 2 + 3	2 General Account	3 Protected Cell Accounts
(a) Membership Stock – Class A	$	$	$
(b) Membership Stock – Class B			
(c) Activity Stock			
(d) Excess Stock			
(e) Aggregate Total (a+b+c+d)	$	$	$
(f) Actual or estimated borrowing capacity as determined by the insurer	$	XXX	XXX

b. Membership Stock (Class A and B) Eligible and Not Eligible for Redemption

	1	2	Eligible for Redemption			
			3	4	5	6
Membership Stick	Current Period Total (2+3+4+5+6)	Not Eligible for Redemption	Less than 6 Months	6 Months to Less Than 1 Year	1 to Less Than 3 Years	3 to 5 Years
1. Class A	$	$	$	$	$	$
2. Class B	$	$	$	$	$	$

(3) Collateral Pledged to FHLB

a. Amount Pledged as of Reporting Date

	1 Fair Value	2 Carrying Value	3 Aggregate Total Borrowing
1. Current Period Total General and Protected Cell Total Collateral Pledged (Lines 2+3)	$	$	$
2. Current Period General Account Total Collateral Pledged	$	$	$
3. Current Period Protected Cell Total Collateral Pledged	$	$	$
4. Prior Year-End Total General and Protected Cell Total Collateral Pledged	$	$	$

b. Maximum Amount Pledged During Reporting Period

	1 Fair Value	2 Carrying Value	3 Amount Borrowed at Time of Maximum Collateral
1. Current Period Total General and Protected Cell Total Collateral Pledged (Lines 2+3)	$	$	$
2. Current Period General Account Total Collateral Pledged	$	$	$
3. Current Period Protected Cell Total Collateral Pledged	$	$	$
4. Prior Year-End Total General and Protected Cell Total Collateral Pledged	$	$	$

(4) Borrowing from FHLB

a. Amount as of the Reporting Date

1. Current Period

	1 Total 2 + 3	2 General Account	3 Protected Cell Account	4 Funding Agreements Reserves Established
(a) Debt	$	$	$	XXX
(b) Funding Agreements				$
(c) Other				XXX
(d) Aggregate Total (a+b+c)	$	$	$	$

2. Prior Year

	1 Total 2 + 3	2 General Account	3 Protected Cell Account	4 Funding Agreements Reserves Established
(a) Debt	$	$	$	XXX
(b) Funding Agreements				$
(c) Other				XXX
(d) Aggregate Total (a+b+c)	$	$	$	$

b. Maximum Amount During Reporting Period (Current Period)

	1 Total 2 + 3	2 General Account	3 Protected Cell Accounts
1. Debt	$	$	$
2. Funding Agreements			
3. Other			
4. Aggregate Total (Lines 1+2+3)	$	$	$

c. FHLB – Prepayment Obligations

	Does the Company have Prepayment Obligations under the Following Arrangements (YES/NO)
1. Debt	
2. Funding Agreements	
3. Other	

NOTE 12 – RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES AND OTHER POSTRETIREMENT BENEFIT PLANS

A. Defined Benefit Plan -Not Applicable

(4)	Components of net periodic benefit cost	Pension Benefits		Postretirement Benefits		Special or Contractual Benefits per SSAP No. 11	
		Current Period	Prior Year	Current Period	Prior Year	Current Period	Prior Year
a.	Service cost	$	$	$	$	$	$
b.	Interest cost						
c.	Expected return on plan assets						
d.	Transition asset or obligation						
e.	Gains and losses						
f.	Prior service cost or credit						
g.	Gain or loss recognized due to a settlement curtailment						
h.	Total net periodic benefit cost	$	$	$	$	$	$

Note 13 – Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

On May 12, 2016, the Company declared an ordinary dividend of $1,250,000. The cash dividend was paid May 23, 2016.

Note 14 – Liabilities, Contingencies and Assessments

No significant changes

Note 15 – Leases

No significant changes

Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No significant changes

NOTE 17 – SALE, TRANSFER AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES

Not Applicable

B. Transfer and Servicing of Financial Assets

(2)

(4)

(a)

(b)

C. Wash Sales

(1)

(2) The details by NAIC designation 3 or below, or unrated of securities sold during the year ended December 31, 2016 and reacquired within 30 days of the sale date are:

Description	NAIC Designation	Number of Transactions	Book Value of Securities Sold	Cost of Securities Repurchased	Gain/(Loss)
			$	$	$

Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Portion of Partially Insured Plans

No significant changes

Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

NOTES TO FINANCIAL STATEMENTS

No significant changes

Note 20 – Fair Value Measurements

A.

(1) Fair Value Measurements at Reporting Date

Assets at Fair Value	Level 1	Level 2	Level 3	Total
Bonds	$	$ 1,527,617	$	$ 1,527,617
Preferred Stocks		4,191,750		4,191,750
Common Stocks	5,132,008	2,892,779	189,494	8,214,281
Total	$ 5,132,008	$ 8,612,146	$ 189,494	$ 13,933,648

Liabilities at Fair Value	Level 1	Level 2	Level 3	Total
	$	$	$	$
Total	$	$	$	$

(2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

a. Assets	Beginning Balance at current period	Transfers Into Level 3	Transfers Out of Level 3	Total Gains and (Losses) Included in Net Income	Total Gains and (Losses) Included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance at current period
Common Stocks	$ 189,494	$	$	$	$	$	$	$	$	$ 189,494
Total	$ 189,494	$	$	$	$	$	$	$	$	$ 189,494

b. Liabilities	Beginning Balance at current period	Transfers Into Level 3	Transfers Out of Level 3	Total Gains and (Losses) Included in Net Income	Total Gains and (Losses) Included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance at current period
	$	$	$	$	$	$	$	$	$	$
Total	$	$	$	$	$	$	$	$	$	$

(3)

(4)

(5)

B.

C.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)
Bonds	$ 21,787,358	$ 21,212,258	$ 3,704,085	$ 18,083,273	$	$
Preferred Stocks	4,548,409	4,431,780		4,548,409		
Common Stocks	8,214,281	8,214,281	5,132,008	2,892,779	189,494	
Mortgage Loans		830,870				
Cash & Short Term Investments	5,532,194	5,532,194	5,532,194			
Other Invested Assets	2,927,260	3,014,382		2,927,260		

D. Not Practicable to Estimate Fair Value

Type of Class or Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
Mortgage Loan	$ 830,870	0.075	01/29/2016	Not available for public sale therefore price not available.

Note 21 – Other Items

No significant changes

Note 22 – Events Subsequent

No significant changes

Note 23 – Reinsurance

No significant changes

NOTE 24 – RETROSPECTIVELY RATED CONTRACTS AND CONTRACTS SUBJECT TO REDETERMINATION

F. Risk Sharing Provisions of the Affordable Care Act -Not Applicable

(1) Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions

(2) Impact of Risk-Sharing Provisions of the Affordable Care Act on admitted assets, liabilities and revenue for the current period:

		AMOUNT
a.	Permanent ACA Risk Adjustment Program	
	Assets	
	1. Premium adjustments receivable due to ACA Risk Adjustment	$
	Liabilities	

	2.	Risk adjustment user fees payable for ACA Risk Adjustment	$
	3.	Premium adjustments payable due to ACA Risk Adjustment	$
	Operations (Revenue & Expenses)		
	4.	Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment	$
	5.	Reported in expenses as ACA Risk Adjustment user fees (incurred/paid)	$
b.	Transitional ACA Reinsurance Program		
	Assets		
	1.	Amounts recoverable for claims paid due to ACA Reinsurance	$
	2.	Amounts recoverable for claims unpaid due to ACA Reinsurance (contra liability)	$
	3.	Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	$
	Liabilities		
	4.	Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium	$
	5.	Ceded reinsurance premiums payable due to ACA Reinsurance	$
	6.	Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance	$
	Operations (Revenue & Expenses)		
	7.	Ceded reinsurance premiums due to ACA Reinsurance	$
	8.	Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments	$
	9.	ACA Reinsurance contributions – not reported as ceded premium	$
c.	Temporary ACA Risk Corridors Program		
	Assets		
	1.	Accrued retrospective premium due to ACA Risk Corridors	$
	Liabilities		
	2.	Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	$
	Operations (Revenue & Expenses)		
	3.	Effect of ACA Risk Corridors on net premium income (paid/received)	$
	4.	Effect of ACA Risk Corridors on change in reserves for rate credits	$

(3) Roll forward of prior year ACA Risk-Sharing Provisions for the following asset (gross of any nonadmission) and liability balances, along with the reasons for adjustments to prior year balance:

	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Period on Business Written Before December 31 of the Prior Year		Differences		Adjustments			Unsettled Balances as of the Reporting Date	
					Prior Year Accrued Less Payments (Col. 1-3)	Prior Year Accrued Less Payments (Col. 2-4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col. 1-3+7)	Cumulative Balance from Prior Years (Col. 2-4+8)
	1	2	3	4	5	6	7	8	9	10	11
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Ref	Receivable	(Payable)
a. Permanent ACA Risk Adjustment Program											
1. Premium adjustments receivable	$	$	$	$	$	$	$	$	A	$	$
2. Premium adjustments (payable)									B		
3. Subtotal ACA Permanent Risk Adjustment Program	$	$	$	$	$	$	$	$		$	$
b. Transitional ACA Reinsurance Program											
1. Amounts recoverable for claims paid	$	$	$	$	$	$	$	$	C	$	$
2. Amounts recoverable for claims unpaid (contra liability)									D		
3. Amounts receivable relating to uninsured plans									E		
4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premiums									F		
5. Ceded reinsurance premiums payable									G		
6. Liability for amounts held under uninsured plans									H		
7. Subtotal ACA Transitional Reinsurance Program	$	$	$	$	$	$	$	$		$	$
c. Temporary ACA Risk Corridors Program											
1. Accrued retrospective premium	$	$	$	$	$	$	$	$	I	$	$
2. Reserve for rate credits or policy experience rating refunds									J		
3. Subtotal ACA Risk Corridors Program											
d. Total for ACA Risk-Sharing Provisions	$	$	$	$	$	$	$	$		$	$

Explanations of Adjustments

A.
B.
C.
D.
E.
F.
G.
H.
I.
J.

NOTES TO FINANCIAL STATEMENTS

NOTE 25 – CHANGE IN INCURRED LOSSES AND LOSS ADJUSTMENT EXPENSES

Reserves as of December 31, 2015 were $3.64 million. As of September 30, 2016**,** $(1) thousand has been paid for net incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now $2.06 million as a result of re-estimation of unpaid claims and claim adjustment expenses principally on the landfill and contract lines of business. Therefore, there has been a $1.58 million favorable prior year development since December 31, 2015 to September 30, 2016. The decrease is the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. None of the decrease the Company experienced was due to retrospectively rated policies.

Note 26 – Intercompany Pooling Arrangements

No significant changes

Note 27 – Structured Settlements

No significant changes

Note 28 – Health care receivables

No significant changes

Note 29 – Participating policies

No significant changes

Note 30 – Premium Deficiency Reserves

No significant changes

Note 31 – High Deductibles

No significant changes

Note 32 – Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses

No significant changes

Note 33 – Asbestos/Environmental Reserves

No significant changes

Note 34 – Subscriber Savings Accounts

No significant changes

Note 35 – Multiple Peril Crop Insurance

No significant changes

NOTE 36 – FINANCIAL GUARANTY INSURANCE

B. Schedule of Insured Financial Obligations at the End of the Period: -Not Applicable

			Surveillance Categories				
			A	B	C	D	Total
(1)	Number of policies						
(2)	Remaining weighted average contract period (in years)						XXX
(3)	Insured contractual payments outstanding:						
	a.	Principal	$	$	$	$	$
	b.	Interest					
	c.	Total	$	$	$	$	$
(4)	Gross claim liability		$	$	$	$	$
Less							
(5)	a.	Gross potential recoveries	$	$	$	$	$
	b.	Discount, net					
(6)	Net claim liability		$	$	$	$	$
(7)	Unearned premium revenue		$	$	$	$	$
(8)	Reinsurance recoverables		$	$	$	$	$

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]

2.2 If yes, date of change:

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No [ ]
If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [X]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]

4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? If yes, attach an explanation. Yes [ ] No [X] N/A [ ]

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2014

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2014

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 05/19/2016

6.4 By what department or departments?
OHIO DEPARTMENT OF INSURANCE

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [ ] N/A [ ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [ ] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [X]

8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]

(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

(c) Compliance with applicable governmental laws, rules and regulations;

(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ ] No [X]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: $ 0

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: $ 0

13. Amount of real estate and mortgages held in short-term investments: $ 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [X] No [ ]

14.2 If yes, please complete the following:

		1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21	Bonds	$ 0	$ 0
14.22	Preferred Stock	0	0
14.23	Common Stock	0	0
14.24	Short-Term Investments	0	0
14.25	Mortgage Loans on Real Estate	0	0
14.26	All Other	1,022,205	1,340,850
14.27	Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	$ 1,022,205	$ 1,340,850
14.28	Total Investment in Parent included in Lines 14.21 to 14.26 above	$ 0	$ 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ]

If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: $ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: $ 0

16.3 Total payable for securities lending reported on the liability page: $ 0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Huntington Bank	7 Easton Oval, Columbus, OH 43219

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
0	NFC INVESTMENTS, LLC	510 S. MENDENHALL RD., SUITE 200, MEMPHIS, TN 38117

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes [X] No [ ]

18.2 If no, list exceptions:

GENERAL INTERROGATORIES (continued)

PART 2 – PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [ ] No [ ] N/A [X]

   If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [ ] No [X]

   If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [ ] No [X]

3.2 If yes, give full and complete information thereto:

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes [ ] No [X]

4.2 If yes, complete the following schedule:

1	2	3	Total Discount				Discount Taken During Period			
			4	5	6	7	8	9	10	11
Line of Business	Maximum Interest	Disc. Rate	Unpaid Losses	Unpaid LAE	IBNR	Total	Unpaid Losses	Unpaid LAE	IBNR	Total
	0.000	0.000	0	0	0	0	0	0	0	0
Total	XXX	XXX	0	0	0	0	0	0	0	0

5.1 Operating Percentages:

5.1	A&H loss percent	0.000%
5.2	A&H cost containment percent	0.000%
5.3	A&H expense percent excluding cost containment expenses	0.000%

6.1 Do you act as a custodian for health savings accounts? Yes [ ] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date. $ 0

6.3 Do you act as an administrator for health savings accounts? Yes [ ] No [X]

6.4 If yes, please provide the amount of funds administered as of the reporting date. $ 0

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating

NONE

Statement for September 30, 2016 of the **EVERGREEN NATIONAL INDEMNITY COMPANY**

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

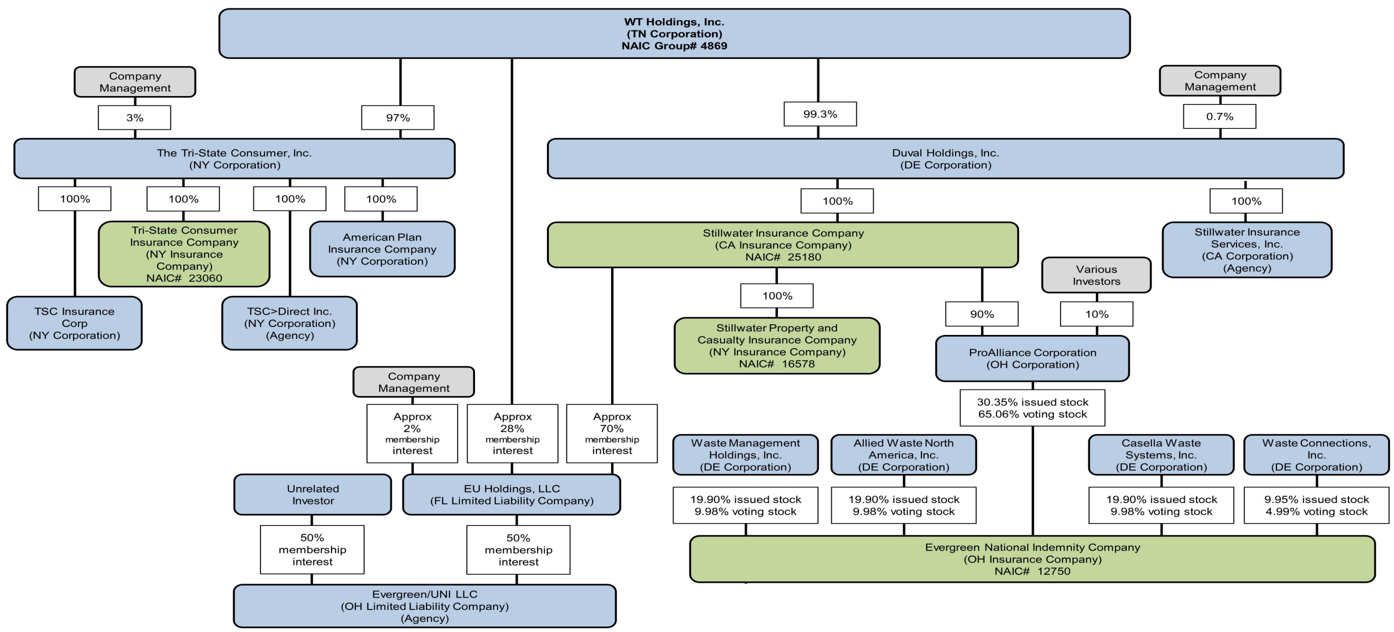
Current Year to Date - Allocated by States and Territories

	1	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2	3	4	5	6	7
States, Etc.	Active Status	Current Year to Date	Prior Year to Date	Current Year to Date	Prior Year to Date	Current Year to Date	Prior Year to Date
1. Alabama AL	L	202,930	347,309			68,715	95,387
2. Alaska AK	L	890	600			235	798
3. Arizona AZ	L	78,027	74,872			29,327	19,530
4. Arkansas AR	L	299,806	293,838	2,000		72,200	87,597
5. California CA	L	1,734,634	1,350,558		3,000	559,334	422,825
6. Colorado CO	L	138,630	178,531			73,712	116,071
7. Connecticut CT	L	229,167	204,727	177,515	29,426	127,320	289,868
8. Delaware DE	L	24,784	5,355	1,500		4,748	1,544
9. District of Columbia DC	L	57,132	58,989			17,768	19,108
10. Florida FL	L	352,769	592,466			97,449	164,408
11. Georgia GA	L	134,410	135,129			35,465	36,149
12. Hawaii HI	N						
13. Idaho ID	L	875	2,340			241	628
14. Illinois IL	L	661,064	572,916	1,000		191,169	248,651
15. Indiana IN	L	979,822	985,541			265,713	266,310
16. Iowa IA	L	153,927	171,088			42,483	49,952
17. Kansas KS	L	87,983	94,844			24,237	34,661
18. Kentucky KY	L	1,220,190	1,309,839	203,010	341,569	6,039,123	7,012,117
19. Louisiana LA	L	840,449	806,667			235,303	227,806
20. Maine ME	L	670,352	682,959			189,534	183,412
21. Maryland MD	L	156,058	248,773			86,174	191,540
22. Massachusetts MA	L	797,149	789,031			226,097	218,158
23. Michigan MI	L	1,285,344	1,515,808		(9,000)	354,287	414,197
24. Minnesota MN	L	109,059	127,695			30,008	40,655
25. Mississippi MS	L	214,948	240,866			58,383	66,273
26. Missouri MO	L	416,505	573,022	50,899	67,655	1,052,786	914,043
27. Montana MT	L	29,551	29,978			8,272	11,318
28. Nebraska NE	L	223,856	351,805			125,100	276,460
29. Nevada NV	L	6,063	(8,187)			2,036	(1,027)
30. New Hampshire NH	L	543,964	570,107			150,771	188,321
31. New Jersey NJ	L	326,704	65,900			261,431	39,454
32. New Mexico NM	L	45,022	57,511			14,442	18,471
33. New York NY	L	1,441,601	1,497,938			481,979	534,453
34. North Carolina NC	E	60,052				9,869	
35. North Dakota ND	L	30,081	4,781			9,759	4,407
36. Ohio OH	L	3,200,446	2,995,837			1,325,437	1,299,285
37. Oklahoma OK	L	407,164	366,853			111,398	98,623
38. Oregon OR	L	280,389	297,133			72,835	76,604
39. Pennsylvania PA	L	3,472,541	3,464,450	3,000		989,464	1,031,971
40. Rhode Island RI	L	11,060	79,738			11,405	105,027
41. South Carolina SC	L	263,204	246,640			72,732	67,258
42. South Dakota SD	L	6,516	3,631			1,600	975
43. Tennessee TN	L	554,960	607,710	6,973	7,765	173,334	199,130
44. Texas TX	L	1,229,403	923,910	1,500		504,644	273,450
45. Utah UT	L	37,770	39,974			10,789	10,588
46. Vermont VT	L	326,603	357,473			133,169	181,179
47. Virginia VA	L	1,265,532	1,098,016			786,612	739,201
48. Washington WA	L	212,252	119,118			129,186	31,376
49. West Virginia WV	E					3,119	8,377
50. Wisconsin WI	L	1,430,923	1,535,861			394,170	412,367
51. Wyoming WY	L	84,805	635			23,140	
52. American Samoa AS	N						
53. Guam GU	N						
54. Puerto Rico PR	N						
55. US Virgin Islands VI	N						
56. Northern Mariana Islands MP	N						
57. Canada CAN	N						
58. Aggregate Other Alien OT	XXX	0	0	0	0	0	0
59. Totals	(a) 48	26,337,366	26,070,575	447,397	440,415	15,688,504	16,728,956
DETAILS OF WRITE-INS							
58001.	XXX						
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003+ Line 58998) (Line 58 above)	XXX	0	0	0	0	0	0

(L) - Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) - Registered - Non-domiciled RRGs; (Q) - Qualified - Qualified or Accredited Reinsurer;
(E) - Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) - None of the above - Not allowed to write business in the state.
(a) Insert the number of L responses except for Canada and Other Alien.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
Members														
4869	WT Holdings Group	00000	26-2099042		0001546636	none	WT Holdings, Inc.	TN	UIP	Various Investors	Ownership, Board, Mgmt	100.000		*
4869	WT Holdings Group	00000	38-3865632				Duval Holdings, Inc.	DE	UIP	WT Holdings, Inc.	Ownership, Board, Mgmt	99.300	WT Holdings, Inc.	
4869	WT Holdings Group	25180	68-0266416				Stillwater Insurance Company	CA	UIP	Duval Holdings, Inc.	Ownership, Board, Mgmt	100.000	WT Holdings, Inc.	
4869	WT Holdings Group	00000	71-0900874				Stillwater Insurance Services, Inc.	CA	NIA	Duval Holdings, Inc.	Ownership, Board, Mgmt	100.000	WT Holdings, Inc.	
4869	WT Holdings Group	16578	16-0986300				Stillwater Property & Casualty Insurance Company	NY	IA	Stillwater Insurance Company	Ownership, Board, Mgmt	100.000	WT Holdings, Inc.	
4869	WT Holdings Group	00000	20-1048841				Pro-Alliance Corporation	OH	UDP	Stillwater Insurance Company	Ownership	90.000	WT Holdings, Inc.	
4869	WT Holdings Group	00000	73-1039529				Waste Management Holdings, Inc.	DE	OTH	Waste Management, Inc.	Ownership	100.000	N/A public entity	
4869	WT Holdings Group	00000	86-0843596				Allied Waste North America, Inc.	DE	OTH	Republic Services, Inc.	Ownership	100.000	N/A public entity	
4869	WT Holdings Group	00000	03-0338873		0000911177	NASDAC	Cassella Waste Systems, Inc.	DE	OTH	N/A public entity	N/A	N/A	N/A public entity	
4869	WT Holdings Group	00000	94-3283464		0001057058	NYSE	Waste Connections, Inc.	DE	OTH	N/A public entity	N/A	N/A	N/A public entity	
4869	WT Holdings Group	12750	36-2467238				Evergreen National Indemnity Company	OH	RE	Pro-Alliance Corporation	Ownership	30.400	WT Holdings, Inc.	
4869	WT Holdings Group	12750	36-2467238				Evergreen National Indemnity Company	OH	RE	Waste Management Holdings, Inc.	Ownership	19.900	Waste Management, Inc.	**
4869	WT Holdings Group	12750	36-2467238				Evergreen National Indemnity Company	OH	RE	Allied Waste North America, Inc.	Ownership	19.900	Republic Services, Inc.	**
4869	WT Holdings Group	12750	36-2467238				Evergreen National Indemnity Company	OH	RE	Cassella Waste Systems, Inc.	Ownership	19.900	N/A public entity	
4869	WT Holdings Group	12750	36-2467238				Evergreen National Indemnity Company	OH	RE	Waste Connections, Inc.	Ownership	10.000	N/A public entity	
4869	WT Holdings Group	00000	46-4581025				EU Holdings, LLC	FL	NIA	Stillwater Insurance Company	Ownership	70.000	WT Holdings, Inc.	
4869	WT Holdings Group	00000	46-4581025				EU Holdings, LLC	FL	NIA	WT Holdings, Inc.	Ownership	28.000	WT Holdings, Inc.	
4869	WT Holdings Group	00000	65-0516260				United Nations Insurance Agency, Inc.	FL	OTH					
4869	WT Holdings Group	00000	46-4545990				Evergreen/UNI, LLC	OH	NIA	EU Holdings, LLC	Ownership	50.000	WT Holdings, Inc.	
4869	WT Holdings Group	00000	46-4545990				Evergreen/UNI, LLC	OH	NIA	United Nations Insurance Agency, Inc.	Ownership	50.000		
4869	WT Holdings Group	00000	11-2339905				The Tri-State Consumer Inc.	NY	NIA	WT Holdings, Inc.	Ownership	97.000	WT Holdings, Inc.	
4869	WT Holdings Group	23060	11-2729262				Tri-State Consumer Insurance Company	NY	IA	The Tri-State Consumer Inc.	Ownership	100.000	WT Holdings, Inc.	
4869	WT Holdings Group	00000	26-4642498				TSC Insurance Corp.	NY	NIA	The Tri-State Consumer Inc.	Ownership	100.000	WT Holdings, Inc.	
4869	WT Holdings Group	00000	26-4642462				American Plan Insurance Company	NY	NIA	The Tri-State Consumer Inc.	Ownership	100.000	WT Holdings, Inc.	
4869	WT Holdings Group	00000	26-3464393				TSC>Direct, Inc.	NY	NIA	The Tri-State Consumer Inc.	Ownership	100.000	WT Holdings, Inc.	

Asterisk	Explanation
*	No Securities Exchange listing as CIK # is for Reg D filing only
**	Ultimate controlling entity is publicly held

PART 1 - LOSS EXPERIENCE

Lines of Business	Current Year to Date 1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	4 Prior Year to Date Direct Loss Percentage
1. Fire			0.000	
2. Allied lines			0.000	
3. Farmowners multiple peril			0.000	
4. Homeowners multiple peril			0.000	
5. Commercial multiple peril			0.000	
6. Mortgage guaranty			0.000	
8. Ocean marine			0.000	
9. Inland marine			0.000	
10. Financial guaranty			0.000	
11.1. Medical professional liability - occurrence			0.000	
11.2. Medical professional liability - claims-made			0.000	
12. Earthquake			0.000	
13. Group accident and health			0.000	
14. Credit accident and health			0.000	
15. Other accident and health			0.000	
16. Workers' compensation		(220,739)	0.000	
17.1 Other liability-occurrence		(1,767)	0.000	
17.2 Other liability-claims made			0.000	
17.3 Excess workers' compensation			0.000	
18.1 Products liability-occurrence			0.000	
18.2 Products liability-claims made			0.000	
19.1, 19.2 Private passenger auto liability			0.000	
19.3, 19.4 Commercial auto liability			0.000	
21. Auto physical damage			0.000	
22. Aircraft (all perils)			0.000	
23. Fidelity			0.000	
24. Surety	25,294,571	474,495	1.876	4.229
26. Burglary and theft			0.000	
27. Boiler and machinery			0.000	
28. Credit			0.000	
29. International			0.000	
30. Warranty	40,279		0.000	
31. Reinsurance-nonproportional assumed property	XXX	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability	XXX	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business	0	0	0.000	
35. Totals	25,334,850	251,989	0.995	(0.078)
DETAILS OF WRITE-INS				
3401.			0.0	
3402.			0.0	
3403.			0.0	
3498. Sum. of remaining write-ins for Line 34 from overflow page	0	0	0.0	XXX
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34)	0	0	0.0	

PART 2 - DIRECT PREMIUMS WRITTEN

Lines of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1. Fire			
2. Allied lines			
3. Farmowners multiple peril			
4. Homeowners multiple peril			
5. Commercial multiple peril			
6. Mortgage guaranty			
8. Ocean marine			
9. Inland marine			
10. Financial guaranty			
11.1 Medical professional liability - occurrence			
11.2 Medical professional liability - claims made			
12. Earthquake			
13. Group accident and health			
14. Credit accident and health			
15. Other accident and health			
16. Workers' compensation			
17.1 Other liability-occurrence			
17.2 Other liability-claims made			
17.3 Excess workers' compensation			
18.1 Products liability-occurrence			
18.2 Products liability-claims made			
19.1 19.2 Private passenger auto liability			
19.3 19.4 Commercial auto liability			
21. Auto physical damage			
22. Aircraft (all perils)			
23. Fidelity			
24. Surety	10,471,113	26,275,706	26,037,645
26. Burglary and theft			
27. Boiler and machinery			
28. Credit			
29. International			
30. Warranty	33,060	61,660	32,930
31. Reinsurance-nonproportional assumed property	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business	0	0	0
35. Totals	10,504,173	26,337,366	26,070,575
DETAILS OF WRITE-INS			
3401.			
3402.			
3403.			
3498. Sum. of remaining write-ins for Line 34 from overflow page	0	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34)	0	0	0

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2016 Loss and LAE Payments on Claims Reported as of Prior Year-End	2016 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2016 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/Deficiency (Cols. 11 + 12)
1. 2013 + Prior	92	502	594	(1)		(1)	93		501	594	0	(1)	(1)
2. 2014		475	475			0			475	475	0	0	0
3. Subtotals 2014 + Prior	92	977	1,069	(1)	0	(1)	93	0	976	1,069	0	(1)	(1)
4. 2015		2,580	2,580			0			992	992	0	(1,588)	(1,588)
5. Subtotals 2015 + Prior	92	3,557	3,649	(1)	0	(1)	93	0	1,968	2,061	0	(1,589)	(1,589)
6. 2016	XXX	XXX	XXX	XXX	9	9	XXX		2,109	2,109	XXX	XXX	XXX
7. Totals	92	3,557	3,649	(1)	9	8	93	0	4,077	4,170	0	(1,589)	(1,589)
8. Prior Year-End's Surplus As Regards Policyholders	30,546												

Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
1. 0.000 %	2. (44.672)%	3. (43.546)%

Col. 13, Line 7 Line 8
4. (5.202)%

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

		Response
1.	Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2.	Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4.	Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanation:

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.

Bar Code:

* 1 2 7 5 0 2 0 1 6 4 9 0 0 0 0 0 3 *

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* 1 2 7 5 0 2 0 1 6 3 6 5 0 0 0 0 3 *

* 1 2 7 5 0 2 0 1 6 5 0 5 0 0 0 0 3 *

Overflow Page for Write-Ins

NONE

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other-than-temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8)	0	0
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)	0	0

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	830,870	840,736
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		9,866
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	830,870	830,870
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)	830,870	830,870
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)	830,870	830,870

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	2,857,205	2,554,997
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	523,421	
2.2 Additional investment made after acquisition	34,254	181,857
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)	169,185	(146,144)
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals	569,683	(266,496)
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	3,014,382	2,857,205
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	3,014,382	2,857,205

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	31,369,781	33,774,702
2. Cost of bonds and stocks acquired	6,121,148	9,887,892
3. Accrual of discount	57,756	57,089
4. Unrealized valuation increase (decrease)	2,951,663	(2,819,768)
5. Total gain (loss) on disposals	(1,756,868)	(385,999)
6. Deduct consideration for bonds and stocks disposed of	4,825,962	9,068,963
7. Deduct amortization of premium	59,200	75,172
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other-than-temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	33,858,319	31,369,781
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	33,858,319	31,369,781

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity

During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	13,813,872	6,912,918	7,321,507	(1,474,858)	12,086,720	13,813,872	11,930,425	12,180,836
2. NAIC 2 (a)	3,974,120		46,230	(27,833)	3,971,634	3,974,120	3,900,057	3,110,923
3. NAIC 3 (a)	2,260,199			122,876	2,254,596	2,260,199	2,383,075	2,349,608
4. NAIC 4 (a)	921,000			529,000	765,000	921,000	1,450,000	822,500
5. NAIC 5 (a)	1,317,500			(446,250)	1,483,750	1,317,500	871,250	1,727,600
6. NAIC 6 (a)	70,337			1,413,192	64,834	70,337	1,483,529	67,585
7. Total Bonds	22,357,028	6,912,918	7,367,737	116,126	20,626,534	22,357,028	22,018,335	20,259,052
PREFERRED STOCK								
8. NAIC 1	565,449	381,500		(382,829)	558,562	565,449	564,120	251,928
9. NAIC 2					855,967		0	858,716
10. NAIC 3	3,470,931		381,500	469,729	2,992,368	3,470,931	3,559,160	3,032,451
11. NAIC 4					254,600		0	514,800
12. NAIC 5	293,400				293,400	293,400	293,400	293,400
13. NAIC 6	15,100				15,100	15,100	15,100	15,100
14. Total Preferred Stock	4,344,880	381,500	381,500	86,900	4,969,998	4,344,880	4,431,780	4,966,395
15. Total Bonds and Preferred Stock	26,701,908	7,294,418	7,749,237	203,027	25,596,531	26,701,908	26,450,115	25,225,447

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation:
NAIC 1 $..........0; NAIC 2 $..........0; NAIC 3 $..........0; NAIC 4 $..........0; NAIC 5 $..........0; NAIC 6 $..........0.

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999	806,077	XXX	806,077	167	

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	1,934,702	1,696,611
2. Cost of short-term investments acquired	7,217,817	7,248,724
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	8,346,442	7,010,633
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other-than-temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	806,077	1,934,702
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	806,077	1,934,702

Sch. DB - Pt. A - Verification
NONE

Sch. DB - Pt. B - Verification
NONE

Sch. DB - Pt. C - Sn. 1
NONE

Sch. DB - Pt. C - Sn. 2
NONE

Sch. DB - Verification
NONE

Sch. E - Verification
NONE

Sch. A - Pt. 2
NONE

Sch. A - Pt. 3
NONE

Sch. B - Pt. 2
NONE

Sch. B - Pt. 3
NONE

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	2	Location		5	6	7	8	9	10	11	12	13
		3	4									
CUSIP Identification	Name or Description	City	State	Name of Vendor or General Partner	NAIC Desig-nation	Date Originally Acquired	Type and Strategy	Actual Cost at Time of Acquisition	Additional Investment Made after Acquisition	Amount of Encumbrances	Commitment for Additional Investment	Percentage of Ownership
Joint Venture or Partnership Interests That Have Underlying Characteristics of Other - Unaffiliated												
000000 00 0	Val Fund Storage I, LLC			Val Fund Storage I, LLC		09/20/2016		269,308				
000000 00 0	ESO Venture Fund II, LP			ESO Venture Fund II, LP		08/16/2016		40,000				
2199999. Total - Joint Venture or Partnership Interests That Have Underlying Characteristics of Other - Unaffiliated								309,308	0	0	0	XXX
Joint Venture or Partnership Interests That Have Underlying Characteristics of Other - Affiliated												
000000 00 0	NFC Hotel Investors, LLC			NFC Hotel Investors, LLC		08/24/2016		171,182	(68,138)			
2299999. Total - Joint Venture or Partnership Interests That Have Underlying Characteristics of Other - Affiliated								171,182	(68,138)	0	0	XXX
4499999. Subtotal - Unaffiliated								309,308	0	0	0	XXX
4599999. Subtotal - Affiliated								171,182	(68,138)	0	0	XXX
4699999. Totals								480,490	(68,138)	0	0	XXX

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Changes in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Depreciation) or (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in B./A.C.V. (9+10-11+12)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value Less Encumbrances on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Investment Income
Joint Venture or Partnership Interests That Have Underlying Characteristics of Other - Unaffiliated																			
000000 00 0	ESO Venture Fund II, LP			ESO GP II, LLC	10/08/2014	07/01/2016						0						0	(3,980)
2199999. Total - Joint Venture or Partnership Interests That Have Underlying Characteristics of Other - Unaffiliated							0	0	0	0	0	0	0	0	0	0	0	0	(3,980)
Joint Venture or Partnership Interests That Have Underlying Characteristics of Other - Affiliated																			
000000 00 0	NFC Hotel Investors, LLC			NFC Hotel Investors, LLC	09/05/2014	07/01/2016						0		(14,649)	(14,649)			0	
2299999. Total - Joint Venture or Partnership Interests That Have Underlying Characteristics of Other - Affiliated							0	0	0	0	0	0	0	(14,649)	(14,649)	0	0	0	0
4499999. Subtotal - Unaffiliated							0	0	0	0	0	0	0	0	0	0	0	0	(3,980)
4599999. Subtotal - Affiliated							0	0	0	0	0	0	0	(14,649)	(14,649)	0	0	0	0
4699999. Totals							0	0	0	0	0	0	0	(14,649)	(14,649)	0	0	0	(3,980)

Statement for September 30, 2016 of the **EVERGREEN NATIONAL INDEMNITY COMPANY**

SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
Bonds - U.S. Special Revenue and Special Assessment									
74441X CX 5	PUBLIC FIN AUTH WIS MULTIFAMILY HSG REV		07/27/2016	Searle & CO.		252,500	250,000		1FE
3199999. Total Bonds - U.S. Special Revenue and Special Assessment						252,500	250,000	0	XXX
Bonds - Industrial and Miscellaneous									
000000 00 0	Bank United		08/10/2016	Unknown		50,000	50,000		
000000 00 0	BMO Harris		08/10/2016	Unknown		50,000	50,000		
000000 00 0	Enerbank		08/10/2016	Unknown		50,000	50,000		
000000 00 0	Wells Fargo Bank		08/10/2016	Unknown		50,000	50,000		
000000 00 0	Sallie Mae		09/01/2016	Unknown		70,000	70,000	2	
000000 00 0	Capital One Bank		08/10/2016	Unknown		50,000	50,000		
000000 00 0	Ally Bank		08/10/2016	Unknown		50,000	50,000		
107265 AE 0	CDO - BRENT 1 B		07/25/2016	RAYMOND JAMES & ASSOCIATES		284,446	300,000	1,056	1FE
393647 AC 6	CDO - GRNBR 1 C		07/27/2016	RAYMOND JAMES & ASSOCIATES		486,875	500,000		1FE
92328G AG 1	CDO - VENTR 14 C		07/20/2016	RAYMOND JAMES & ASSOCIATES		500,000	500,000	2,616	1FE
3899999. Total Bonds - Industrial and Miscellaneous						1,641,321	1,670,000	3,674	XXX
8399997. Total Bonds - Part 3						1,893,821	1,920,000	3,674	XXX
8399999. Total Bonds						1,893,821	1,920,000	3,674	XXX
Preferred Stocks - Industrial and Miscellaneous									
493267 50 4	KEY FXD FLTG RT PERP NNCUM SRS C PRF		08/03/2016	Unknown	14,000.000	381,500			
8499999. Total Preferred Stocks - Industrial and Miscellaneous						381,500	XXX	0	XXX
8999997. Total Preferred Stocks - Part 3						381,500	XXX	0	XXX
8999999. Total Preferred Stocks						381,500	XXX	0	XXX
Common Stocks - Industrial and Miscellaneous									
22025Y 40 7	CORRECTIONS CORPOR OF AMER REIT		08/18/2016	CANTOR FITZGERALD & CO. INC.	9,000.000	156,148	XXX		L
552848 10 3	MGIC INVESTMENT ORD		07/06/2016	Sterne Agee & Leach Inc.	12,000.000	68,333	XXX		L
693366 20 5	PICO HOLDINGS ORD		09/16/2016	CANTOR FITZGERALD & CO. INC.	13,000.000	135,000	XXX		L
9099999. Total Common Stocks - Industrial and Miscellaneous						359,481	XXX	0	XXX
Common Stocks - Money Market Mutual Funds									
000000 00 0	First Tennessee Bank Deposit		09/30/2016	Direct	80,154.850	80,155	XXX		V
990220 47 7	RBS CITIZENS NA CASH SWEEP		09/01/2016	Direct	13,149.390	13,149	XXX		V
9399999. Total Common Stocks - Money Market Mutual Funds						93,304	XXX	0	XXX
9799997. Total Common Stocks - Part 3						452,785	XXX	0	XXX
9799999. Total Common Stocks						452,785	XXX	0	XXX
9899999. Total Preferred and Common Stocks						834,285	XXX	0	XXX
9999999. Total Bonds, Preferred and Common Stocks						2,728,106	XXX	3,674	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:...............0.

Statement for September 30, 2016 of the **EVERGREEN NATIONAL INDEMNITY COMPANY**

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value 11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
Bonds - U.S. Government																					
38374B LQ 4	CMO/RMBS - GNR 0360D MA	.	09/16/2016.	Direct		965	965	933	948		1		1		949		17	17	20	07/16/2033	1
0599999. Total Bonds - U.S Government						965	965	933	948	0	1	0	1	0	949	0	17	17	20	XXX	XXX
Bonds - U.S. Special Revenue and Special Assessment																					
3137BP D2 2	CMO/RMBS - FHR 4583D IT	.	09/15/2016.					3,382					0		3,382		(3,382)	(3,382)	61	05/15/2031	1
3137BP D4 8	CMO/RMBS - FHR 4583C JI	.	09/15/2016.					2,687					0		2,687		(2,687)	(2,687)	49	05/15/2031	1
3199999. Total Bonds - U.S. Special Revenue and Special Assessment						0	0	6,069	0	0	0	0	0	0	6,069	0	(6,069)	(6,069)	110	XXX	XXX
Bonds - Industrial and Miscellaneous																					
05357W AJ 6	CDO - AVCLO 6 D	.	07/18/2016.	Direct		250,000	250,000	245,842			530		530		246,372		3,628	3,628	3,490	07/17/2019	1FE
369604 BN 2	GENERAL ELECTRIC CO	.	09/01/2016.	Adjustment		(288,233)	(296,075)	(286,750)					0		(284,223)		(4,010)	(4,010)	(1,439)	12/29/2049	1FE
74043A AC 5	PRETSL 23 AFP - CDO	.	09/29/2016.	Direct		63,329	63,329	45,597			634		634		46,230		17,098	17,098	387	12/22/2036	2FE
78444Y AD 7	ABS - SLMA 085 A4	.	07/25/2016.	Direct		11,085	11,085	11,158			(4)		(4)		11,154		(69)	(69)	130	07/25/2023	1FE
90390K AA 2	USCFDG 2 A1	.	08/01/2016.	Direct		146,243	146,243	119,993	120,080		563		563		120,643		25,601	25,601	1,206	08/01/2034	1FE
99B010 24 9	Oculina Bank	.	09/20/2016.	Maturity		264,507	264,507	264,507	264,507				0		264,507			0	16,189	09/20/2016	1FE
G9300F AA 5	CDO - USCAP 2 A1	.	07/01/2016.	Adjustment			(0)	(0)	(0)				0		(0)		0	0		08/01/2034	1FE
G9300F AA 5	USCAP 2 A1 - CDO	.	08/01/2016.	Direct		106,159	106,159	91,930	92,623		(6)		(6)		92,986		13,173	13,173	875	08/01/2034	1FE
3899999. Total Bonds - Industrial and Miscellaneous						553,090	545,248	492,276	477,209	0	1,717	0	1,717	0	497,668	0	55,422	55,422	20,838	XXX	XXX
Bonds - Hybrid Securities																					
369604 BN 2	GENERAL ELECTRIC CO	.	09/01/2016.	Adjustment		288,233	296,075	286,750					0		284,223		4,010	4,010	1,439	12/29/2049	1FE
4899999. Total Bonds - Hybrid Securities						288,233	296,075	286,750	0	0	0	0	0	0	284,223	0	4,010	4,010	1,439	XXX	XXX
8399997. Total Bonds - Part 4						842,289	842,289	786,028	478,158	0	1,718	0	1,718	0	788,909	0	53,379	53,379	22,407	XXX	XXX
8399999. Total Bonds						842,289	842,289	786,028	478,158	0	1,718	0	1,718	0	788,909	0	53,379	53,379	22,407	XXX	XXX
Preferred Stocks - Industrial and Miscellaneous																					
33582V 20 7	FIRST NIAGARA FINANCIAL GROUP INC	.	08/15/2016.	Unknown	14,000.000	381,500		381,500	381,500				0		381,500			0	22,641	XXX	P3LFE
8499999. Total Preferred Stocks - Industrial and Miscellaneous						381,500	XXX	381,500	381,500	0	0	0	0	0	381,500	0	0	0	22,641	XXX	XXX
8999997. Total Preferred Stocks - Part 4						381,500	XXX	381,500	381,500	0	0	0	0	0	381,500	0	0	0	22,641	XXX	XXX
8999999. Total Preferred Stocks						381,500	XXX	381,500	381,500	0	0	0	0	0	381,500	0	0	0	22,641	XXX	XXX
Common Stocks - Industrial and Miscellaneous																					
22025Y 40 7	CORRECTIONS CORPOR OF AMER REIT	.	08/25/2016.	Sterne Agee & Leach Inc	9,000.000	162,727	XXX	156,148					0		156,148		6,579	6,579		XXX	L
31620R 40 2	FIDELITY NATIONAL FINANCIAL ORD	.	08/18/2016.	VARIOUS	25,000.000	308,135	XXX	222,514	280,750	(58,236)			(58,236)		222,514		85,621	85,621		XXX	L
37045V 11 8	General Motors Company	.	07/10/2016.		401.000		XXX	3,717	9,776	(6,059)			(6,059)		3,717		(3,717)	(3,717)		XXX	L
440543 10 6	HORNBECK OFFSHORE SERVICES ORD	.	07/01/2016.	Sterne Agee & Leach Inc	5,000.000	44,542	XXX	100,673	49,700	50,973			50,973		100,673		(56,130)	(56,130)		XXX	L
67091N 10 8	OCI PARTNERS COM UNT	R	09/02/2016.	Sterne Agee & Leach Inc	1,300.000	7,454	XXX	25,481	9,269	16,212			16,212		25,481		(18,027)	(18,027)	494	XXX	L
9099999. Total Common Stocks - Industrial and Miscellaneous						522,858	XXX	508,533	349,495	2,889	0	0	2,889	0	508,533	0	14,325	14,325	494	XXX	XXX
Common Stocks - Money Market Mutual Funds																					
000000 00 0	First Tennessee Bank Deposit	.	09/01/2016.	Direct	86.180	86	XXX	86					0		86			0	1	XXX	V

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Statement for September 30, 2016 of the **EVERGREEN NATIONAL INDEMNITY COMPANY**

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
990220 47 7	RBS CITIZENS NA CASH SWEEP	.	09/08/2016.	Direct	13,149.390	13,149	XXX	13,149					0		13,149			0	2	XXX	V
9399999. Total Common Stocks - Money Market Mutual Funds						13,236	XXX	13,236	0	0	0	0	0	0	13,236	0	0	0	3	XXX	XXX
9799997 Total Common Stocks - Part 4						536,094	XXX	521,768	349,495	2,889	0	0	2,889	0	521,768	0	14,325	14,325	497	XXX	XXX
9799999. Total Common Stocks						536,094	XXX	521,768	349,495	2,889	0	0	2,889	0	521,768	0	14,325	14,325	497	XXX	XXX
9899999. Total Preferred and Common Stocks						917,594	XXX	903,268	730,995	2,889	0	0	2,889	0	903,268	0	14,325	14,325	23,138	XXX	XXX
9999999. Total Bonds, Preferred and Common Stocks						1,759,882	XXX	1,689,297	1,209,153	2,889	1,718	0	4,607	0	1,692,178	0	67,705	67,705	45,545	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:...............0.

Sch. DB - Pt. A - Sn. 1
NONE

Sch. DB - Pt. B - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 2
NONE

Sch. DL - Pt. 1
NONE

Sch. DL - Pt. 2
NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	First Month	Second Month	Third Month	*
Open Depositories								
HUNTINGTON OPERATING COLUMBUS, OH					2,526,759	2,687,785	4,199,813	XXX
FIRST TENNESSE SAVINGS KNOXVILLE, TN					400,033	57,536	42,538	XXX
0199998. Deposits in.....7 depositories that do not exceed the allowable limit in any one depository (see Instructions) - Open Depositories	XXX	XXX	28	96	477,387	480,905	483,516	XXX
0199999. Total Open Depositories	XXX	XXX	28	96	3,404,179	3,226,225	4,725,867	XXX
0399999. Total Cash on Deposit	XXX	XXX	28	96	3,404,179	3,226,225	4,725,867	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	250	250	250	XXX
0599999. Total Cash	XXX	XXX	28	96	3,404,429	3,226,475	4,726,117	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year

NONE