



## HEALTH QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2016  
OF THE CONDITION AND AFFAIRS OF THE

## UnitedHealthcare Community Plan of Ohio, Inc.

NAIC Group Code 0707 0707 NAIC Company Code 12323 Employer's ID Number 56-2451429Organized under the Laws of Ohio, State of Domicile or Port of Entry OhioCountry of Domicile United States of AmericaLicensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [ ] No [ X ]

Incorporated/Organized 03/29/2004 Commenced Business 10/01/2005Statutory Home Office 9200 Worthington Road OH020-1000, Westerville , OH, US 43082  
(Street and Number) (City or Town, State, Country and Zip Code)Main Administrative Office 9700 Health Care Lane MN017-E900  
(Street and Number) Minnetonka , MN, US 55343, 952-979-6171  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)Mail Address 9700 Health Care Lane MN017-E900, Minnetonka , MN, US 55343  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)Primary Location of Books and Records 9700 Health Care Lane MN017-E900  
(Street and Number) Minnetonka , MN, US 55343, 952-979-6171  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)Internet Website Address www.uhccommunityplan.comStatutory Statement Contact Rachel Ivelisse Ramos, 952-406-4923  
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## OFFICERS

President Tracy Lynn Davidson Treasurer Robert Worth Oberrender  
Secretary Christina Regina Palme-Krizak Chief Financial Officer Dale Ray Moore

## OTHER

Heather Anastasia Lang Jacobsen #, Assistant  
SecretaryNyle Brent Cottington, Vice President

## DIRECTORS OR TRUSTEES

Richard Gordon Dunlop Joseph Gilbert Gaudio Yasmine Herminia Winkler

State of \_\_\_\_\_ County of \_\_\_\_\_ State of \_\_\_\_\_ County of \_\_\_\_\_

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Tracy Lynn Davidson

President

Christina Regina Palme-Krizak

Secretary

Dale Ray Moore

Chief Financial Officer

Subscribed and sworn to before me this  
\_\_\_\_ day of \_\_\_\_\_Subscribed and sworn to before me this  
\_\_\_\_ day of \_\_\_\_\_Subscribed and sworn to before me this  
\_\_\_\_ day of \_\_\_\_\_a. Is this an original filing?..... Yes [ X ] No [ ]  
b. If no,

1. State the amendment number.....

2. Date filed.....

3. Number of pages attached.....

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	288,450,678	0	288,450,678	279,227,799
2. Stocks:				
2.1 Preferred stocks .....	0	0	0	0
2.2 Common stocks .....	0	0	0	0
3. Mortgage loans on real estate:				
3.1 First liens .....	0	0	0	0
3.2 Other than first liens .....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....	0	0	0	0
4.2 Properties held for the production of income (less \$ encumbrances) .....	0	0	0	0
4.3 Properties held for sale (less \$ encumbrances) .....	0	0	0	0
5. Cash (\$ 260,335 ), cash equivalents (\$ 34,628,461 ) and short-term investments (\$ 34,888,796 ) .....	34,888,796	0	34,888,796	43,414,453
6. Contract loans (including \$ 0 premium notes) .....	0	0	0	0
7. Derivatives .....	0	0	0	0
8. Other invested assets .....	0	0	0	0
9. Receivables for securities .....	0	0	0	0
10. Securities lending reinvested collateral assets .....	0	0	0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	323,339,474	0	323,339,474	322,642,252
13. Title plants less \$ 0 charged off (for Title insurers only) .....	0	0	0	0
14. Investment income due and accrued .....	2,447,728	0	2,447,728	2,238,999
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	45,919,073	0	45,919,073	11,210,184
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums) .....	0	0	0	0
15.3 Accrued retrospective premiums (\$ 92,218 ) and contracts subject to redetermination (\$ 4,082,658 ) .....	4,174,876	0	4,174,876	3,364,121
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	1,212,856	0	1,212,856	0
16.2 Funds held by or deposited with reinsured companies .....	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts .....	0	0	0	0
17. Amounts receivable relating to uninsured plans .....	20,418,074	0	20,418,074	16,952,140
18.1 Current federal and foreign income tax recoverable and interest thereon .....	0	0	0	0
18.2 Net deferred tax asset .....	2,783,189	0	2,783,189	2,814,514
19. Guaranty funds receivable or on deposit .....	0	0	0	0
20. Electronic data processing equipment and software .....	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$ 0 ) .....	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates .....	0	0	0	50,037,094
24. Health care (\$ 24,677,476 ) and other amounts receivable .....	29,118,964	4,441,488	24,677,476	21,085,385
25. Aggregate write-ins for other than invested assets .....	317,241	317,241	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	429,731,475	4,758,729	424,972,746	430,344,689
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0	0
28. Total (Lines 26 and 27) .....	429,731,475	4,758,729	424,972,746	430,344,689
<b>DETAILS OF WRITE-INS</b>				
1101. .....				
1102. .....				
1103. .....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above) .....	0	0	0	0
2501. Gift Card Incentive Recoverable .....	317,241	317,241	0	0
2502. .....				
2503. .....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	317,241	317,241	0	0

**LIABILITIES, CAPITAL AND SURPLUS**

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ 19,194,581 reinsurance ceded)	144,143,625	0	144,143,625	145,460,359
2. Accrued medical incentive pool and bonus amounts	548,332	0	548,332	707,065
3. Unpaid claims adjustment expenses	2,450,070	0	2,450,070	2,357,515
4. Aggregate health policy reserves, including the liability of \$ 0 for medical loss ratio rebate per the Public Health Service Act	5,388,645	0	5,388,645	10,889,670
5. Aggregate life policy reserves	0	0	0	0
6. Property/casualty unearned premium reserve	0	0	0	0
7. Aggregate health claim reserves	4,828,257	0	4,828,257	4,614,918
8. Premiums received in advance	20,448,445	0	20,448,445	0
9. General expenses due or accrued	29,229,366	0	29,229,366	30,906,276
10.1 Current federal and foreign income tax payable and interest thereon (including \$ 0 on realized gains (losses))	276,555	0	276,555	2,197,820
10.2 Net deferred tax liability	0	0	0	0
11. Ceded reinsurance premiums payable	160,507	0	160,507	106,610
12. Amounts withheld or retained for the account of others	0	0	0	0
13. Remittances and items not allocated	4,856,601	0	4,856,601	4,959,794
14. Borrowed money (including \$ 0 current) and interest thereon \$ 0 (including \$ 0 current)	0	0	0	0
15. Amounts due to parent, subsidiaries and affiliates	10,149,435	0	10,149,435	0
16. Derivatives	0	0	0	0
17. Payable for securities	0	0	0	0
18. Payable for securities lending	0	0	0	0
19. Funds held under reinsurance treaties (with \$ 0 authorized reinsurers, \$ 0 unauthorized reinsurers and \$ 0 certified reinsurers)	0	0	0	0
20. Reinsurance in unauthorized and certified (\$ 0 ) companies	0	0	0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates	0	0	0	0
22. Liability for amounts held under uninsured plans	0	0	0	1,544
23. Aggregate write-ins for other liabilities (including \$ 1,701,681 current)	1,790,012	0	1,790,012	1,197,423
24. Total liabilities (Lines 1 to 23)	224,269,850	0	224,269,850	203,398,994
25. Aggregate write-ins for special surplus funds	XXX	XXX	0	29,053,040
26. Common capital stock	XXX	XXX	1,000	1,000
27. Preferred capital stock	XXX	XXX	0	0
28. Gross paid in and contributed surplus	XXX	XXX	21,227,057	21,227,057
29. Surplus notes	XXX	XXX	0	0
30. Aggregate write-ins for other than special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	179,474,839	176,664,598
32. Less treasury stock, at cost:				
32.1 \$ 0 shares common (value included in Line 26)	XXX	XXX	0	0
32.2 \$ 0 shares preferred (value included in Line 27)	XXX	XXX	0	0
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	200,702,896	226,945,695
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	424,972,746	430,344,689
<b>DETAILS OF WRITE-INS</b>				
2301. Unearned Pay for Performance (current)	26,674	0	26,674	26,674
2302. Unclaimed Property (non-current)	88,331	0	88,331	54,078
2303. Accrued Fines & Penalties	1,675,007	0	1,675,007	1,116,671
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	1,790,012	0	1,790,012	1,197,423
2501. Section 9010 ACA Subsequent Fee Year Assessment	XXX	XXX	0	29,053,040
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	0	29,053,040
3001.	XXX	XXX		
3002.	XXX	XXX		
3003.	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	0	0

**STATEMENT OF REVENUE AND EXPENSES**

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months .....	XXX	2,665,695	2,589,534	3,476,329
2. Net premium income ( including \$ 0 non-health premium income).....	XXX	1,528,040,969	1,589,203,126	2,099,716,812
3. Change in unearned premium reserves and reserve for rate credits.....	XXX	5,593,243	19,084,310	39,122,880
4. Fee-for-service (net of \$ 0 medical expenses).....	XXX	0	0	0
5. Risk revenue .....	XXX	0	0	0
6. Aggregate write-ins for other health care related revenues .....	XXX	786,583	1,500,000	6,335,432
7. Aggregate write-ins for other non-health revenues .....	XXX	0	0	0
8. Total revenues (Lines 2 to 7) .....	XXX	1,534,420,795	1,609,787,436	2,145,175,124
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits .....	0	1,031,060,294	1,004,477,666	1,345,391,026
10. Other professional services .....	0	31,752,707	28,604,681	40,261,177
11. Outside referrals .....	0	0	0	0
12. Emergency room and out-of-area .....	0	0	0	0
13. Prescription drugs .....	0	223,093,362	194,251,755	260,507,090
14. Aggregate write-ins for other hospital and medical .....	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts .....	0	644,931	749,289	835,497
16. Subtotal (Lines 9 to 15) .....	0	1,286,551,294	1,228,083,391	1,646,994,790
<b>Less:</b>				
17. Net reinsurance recoveries .....	0	16,553,004	22,028,004	8,775,892
18. Total hospital and medical (Lines 16 minus 17) .....	0	1,269,998,290	1,206,055,387	1,638,218,898
19. Non-health claims (net) .....	0	0	0	0
20. Claims adjustment expenses, including \$ 32,370,131 cost containment expenses .....	0	60,485,697	69,115,543	80,668,030
21. General administrative expenses .....	0	188,593,632	193,706,017	235,022,846
22. Increase in reserves for life and accident and health contracts (including \$ 0 increase in reserves for life only) .....	0	0	0	0
23. Total underwriting deductions (Lines 18 through 22).....	0	1,519,077,619	1,468,876,947	1,953,909,774
24. Net underwriting gain or (loss) (Lines 8 minus 23).....	XXX	15,343,176	140,910,489	191,265,350
25. Net investment income earned .....	0	4,700,568	3,705,982	5,225,236
26. Net realized capital gains (losses) less capital gains tax of \$ 385,334 .....	0	776,949	203,456	275,419
27. Net investment gains (losses) (Lines 25 plus 26) .....	0	5,477,517	3,909,438	5,500,655
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ 0 ) (amount charged off \$ 0 )].....	0	0	0	0
29. Aggregate write-ins for other income or expenses .....	0	(572,335)	(109,527)	(1,151,279)
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29) .....	XXX	20,248,358	144,710,400	195,614,726
31. Federal and foreign income taxes incurred .....	XXX	16,549,331	58,242,808	75,065,239
32. Net income (loss) (Lines 30 minus 31) .....	XXX	3,699,027	86,467,592	120,549,487
<b>DETAILS OF WRITE-INS</b>				
0601. Performance Based Revenue .....	XXX	786,583	1,500,000	6,335,432
0602. ....	XXX			
0603. ....	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page .....	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above) .....	XXX	786,583	1,500,000	6,335,432
0701. ....	XXX			
0702. ....	XXX			
0703. ....	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page .....	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above) .....	XXX	0	0	0
1401. ....				
1402. ....				
1403. ....				
1498. Summary of remaining write-ins for Line 14 from overflow page .....	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above) .....	0	0	0	0
2901. Fines & Penalties .....	0	(572,335)	(109,527)	(1,151,279)
2902. ....				
2903. ....				
2998. Summary of remaining write-ins for Line 29 from overflow page .....	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above) .....	0	(572,335)	(109,527)	(1,151,279)

**STATEMENT OF REVENUE AND EXPENSES (Continued)**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
33. Capital and surplus prior reporting year.....	226,945,695	154,192,242	154,192,242
34. Net income or (loss) from Line 32 .....	3,699,027	86,467,592	120,549,487
35. Change in valuation basis of aggregate policy and claim reserves .....	0	0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....	0	0	0
37. Change in net unrealized foreign exchange capital gain or (loss).....	0	0	0
38. Change in net deferred income tax .....	(31,325)	636,070	(408,506)
39. Change in nonadmitted assets .....	89,499	(1,830,976)	(387,528)
40. Change in unauthorized and certified reinsurance .....	0	0	0
41. Change in treasury stock .....	0	0	0
42. Change in surplus notes .....	0	0	0
43. Cumulative effect of changes in accounting principles.....	0	0	0
44. Capital Changes:			
44.1 Paid in .....	0	0	0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....	0	0	0
45. Surplus adjustments:			
45.1 Paid in .....	0	0	0
45.2 Transferred to capital (Stock Dividend) .....	0	0	0
45.3 Transferred from capital .....	0	0	0
46. Dividends to stockholders .....	(30,000,000)	(23,000,000)	(47,000,000)
47. Aggregate write-ins for gains or (losses) in surplus .....	0	0	0
48. Net change in capital & surplus (Lines 34 to 47) .....	(26,242,799)	62,272,686	72,753,453
49. Capital and surplus end of reporting period (Line 33 plus 48)	200,702,896	216,464,928	226,945,695
<b>DETAILS OF WRITE-INS</b>			
4701. ....			
4702. ....			
4703. ....			
4798. Summary of remaining write-ins for Line 47 from overflow page .....	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	0

**STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.**  
**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	1,513,115,885	1,583,617,867	2,113,859,333
2. Net investment income .....	7,192,043	5,030,156	7,619,830
3. Miscellaneous income .....	786,583	1,499,592	6,335,024
4. Total (Lines 1 to 3) .....	1,521,094,511	1,590,147,616	2,127,814,188
5. Benefit and loss related payments .....	1,275,658,625	1,220,130,924	1,642,515,062
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	254,462,145	272,914,419	327,120,854
8. Dividends paid to policyholders .....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses) .....	18,855,930	63,851,087	80,023,086
10. Total (Lines 5 through 9) .....	1,548,976,700	1,556,896,430	2,049,659,002
11. Net cash from operations (Line 4 minus Line 10) .....	(27,882,189)	33,251,185	78,155,186
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	75,132,833	41,221,003	58,333,510
12.2 Stocks .....	0	0	0
12.3 Mortgage loans .....	0	0	0
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	35	192	236
12.7 Miscellaneous proceeds .....	0	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	75,132,868	41,221,195	58,333,746
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	85,893,924	138,541,636	164,046,522
13.2 Stocks .....	0	0	0
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	0	0
13.5 Other invested assets .....	0	0	0
13.6 Miscellaneous applications .....	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	85,893,924	138,541,636	164,046,522
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(10,761,056)	(97,320,441)	(105,712,776)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	30,000,000	23,000,000	47,000,000
16.6 Other cash provided (applied) .....	60,117,588	(37,834,829)	(68,940,917)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	30,117,588	(60,834,829)	(115,940,917)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(8,525,657)	(124,904,084)	(143,498,508)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	43,414,453	186,912,960	186,912,960
19.2 End of period (Line 18 plus Line 19.1) .....	34,888,796	62,008,876	43,414,453

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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## STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

**EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION**

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
<b>Total Members at end of:</b>										
1. Prior Year .....	290,732	0	0	0	0	0	0	0	290,732	0
2. First Quarter .....	295,393	0	0	0	0	0	0	0	295,393	0
3. Second Quarter .....	297,396	0	0	0	0	0	0	0	297,396	0
4. Third Quarter .....	295,391	0	0	0	0	0	0	0	295,391	0
5. Current Year .....	0	0	0	0	0	0	0	0	0	0
6. Current Year Member Months .....	2,665,695	0	0	0	0	0	0	0	2,665,695	0
<b>Total Member Ambulatory Encounters for Period:</b>										
7. Physician .....	2,354,288	0	0	0	0	0	0	0	2,354,288	0
8. Non-Physician .....	1,695,138	0	0	0	0	0	0	0	1,695,138	0
9. Total .....	4,049,426	0	0	0	0	0	0	0	4,049,426	0
10. Hospital Patient Days Incurred .....	1,292,519	0	0	0	0	0	0	0	1,292,519	0
11. Number of Inpatient Admissions .....	69,367	0	0	0	0	0	0	0	69,367	0
12. Health Premiums Written (a) .....	1,537,217,559	0	0	0	0	0	0	0	1,537,217,559	0
13. Life Premiums Direct .....	0	0	0	0	0	0	0	0	0	0
14. Property/Casualty Premiums Written .....	0	0	0	0	0	0	0	0	0	0
15. Health Premiums Earned .....	1,542,810,802	0	0	0	0	0	0	0	1,542,810,802	0
16. Property/Casualty Premiums Earned .....	0	0	0	0	0	0	0	0	0	0
17. Amount Paid for Provision of Health Care Services .....	1,282,893,565	0	0	0	0	0	0	0	1,282,893,565	0
18. Amount Incurred for Provision of Health Care Services .....	1,286,551,294	0	0	0	0	0	0	0	1,286,551,294	0

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ 180,449,423

**STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.**

## **CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)**

## Aging Analysis of Unpaid Claim

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

## UNDERWRITING AND INVESTMENT EXHIBIT

### ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical) .....	0	0	0	0	0	0
2. Medicare Supplement .....	0	0	0	0	0	0
3. Dental Only .....	0	0	0	0	0	0
4. Vision Only .....	0	0	0	0	0	0
5. Federal Employees Health Benefits Plan .....	0	0	0	0	0	0
6. Title XVIII - Medicare .....	0	0	0	0	0	0
7. Title XIX - Medicaid .....	121,815,406	1,151,826,699	(10,763,227)	159,735,109	111,052,179	150,075,277
8. Other health .....	0	0	0	0	0	0
9. Health subtotal (Lines 1 to 8) .....	121,815,406	1,151,826,699	(10,763,227)	159,735,109	111,052,179	150,075,277
10. Healthcare receivables (a) .....	10,688,183	16,720,690	0	1,710,091	10,688,183	25,933,613
11. Other non-health .....	0	0	0	0	0	0
12. Medical incentive pools and bonus amounts .....	671,936	131,728	26,067	522,265	698,003	707,065
13. Totals (Lines 9-10+11+12)	111,799,159	1,135,237,737	(10,737,160)	158,547,283	101,061,999	124,848,729

(a) Excludes \$ .....0 loans or advances to providers not yet expensed.

## NOTES TO FINANCIAL STATEMENTS

### 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

#### A. Accounting Practices

The statutory basis financial statements of UnitedHealthcare Community Plan of Ohio, Inc. (the "Company") are presented on the basis of accounting practices prescribed and permitted by the Ohio Department of Insurance (the "Department").

The Department recognizes only statutory accounting practices, prescribed or permitted by the State of Ohio, for determining and reporting the financial condition and results of operations of a health insuring corporation, for determining its solvency under Ohio Insurance Law. The state prescribes the use of the National Association of Insurance Commissioners' ("NAIC") Accounting Practices and Procedures manual ("NAIC SAP") in effect for the accounting periods covered in the statutory basis financial statements.

No significant differences exist between the practices prescribed and permitted by the State of Ohio and those prescribed and permitted by the NAIC SAP which materially affect the statutory basis net income and capital and surplus, as illustrated in the table below:

	State of Domicile	September 30, 2016	December 31, 2015
<b>Net Income</b>			
(1) Company state basis	Ohio	<u>\$ 3,699,027</u>	<u>\$ 120,549,487</u>
(2) State prescribed practices that increase/(decrease) NAIC SAP: Not applicable	Ohio	-	-
(3) State permitted practices that increase/(decrease) NAIC SAP: Not applicable	Ohio	-	-
(4) NAIC SAP (1-2-3=4)	Ohio	<u>\$ 3,699,027</u>	<u>\$ 120,549,487</u>
<b>Capital and Surplus</b>			
(5) Company state basis	Ohio	<u>\$ 200,702,896</u>	<u>\$ 226,945,695</u>
(6) State prescribed practices that increase/(decrease) NAIC SAP: Not applicable	Ohio	-	-
(7) State permitted practices that increase/(decrease) NAIC SAP: Not applicable	Ohio	-	-
(8) NAIC SAP (5-6-7=8)	Ohio	<u>\$ 200,702,896</u>	<u>\$ 226,945,695</u>

#### B. Use of Estimates in the Preparation of the Statutory Basis Financial Statements

No significant change.

#### C. Accounting Policy

(1-5) No significant change.

(6) U.S. government and agency securities and corporate debt securities include loan-backed securities (mortgage-backed securities and asset-backed securities), which are valued using the retrospective adjustment methodology. Prepayment assumptions for the determination of the amortized cost of loan-backed securities are based on a three-month constant prepayment rate history obtained from external data source vendors. The Company's investment policy limits investments in nonagency residential mortgage-backed securities, including home equity and sub-prime mortgages, to 10% of total cash and invested assets. Total combined investments in mortgage-backed securities and asset-backed securities cannot exceed more than 30% of total cash and invested assets.

(7-13) No significant change.

#### D. Going Concern

No significant change.

### 2. ACCOUNTING CHANGES AND CORRECTION OF ERRORS

No significant change.

**3. BUSINESS COMBINATIONS AND GOODWILL**

**A–D.** No significant change.

**4. DISCONTINUED OPERATIONS**

**A. Discontinued Operation Disposed of or Classified as Held for Sale**

(1–5) No significant change.

**B. Change in Plan of Sale of Discontinued Operations** — Not applicable.

**C. Nature of any Significant Continuing Involvement with Discontinued Operations after Disposal** — Not applicable.

**D. Equity Interest Retained in the Discontinued Operation after Disposal** — Not applicable.

**5. INVESTMENTS AND OTHER INVESTED ASSETS**

**A. Mortgage Loans, including Mezzanine Real Estate Loans**

No significant change.

**B. Debt Restructuring**

No significant change.

**C. Reverse Mortgages**

No significant change.

**D. Loan-Backed Securities**

(1) Loan-backed securities are valued using the retrospective adjustment methodology. Prepayment assumptions for the determination of the amortized cost of loan-backed securities are based on a three-month constant prepayment rate history obtained from external data source vendors.

(2) The Company did not recognize any other-than-temporary impairments (“OTTI”) on loan-backed securities as of September 30, 2016.

(3) As of September 30, 2016, the Company did not have any loan-backed securities with an OTTI to report by CUSIP.

(4) The following table illustrates the fair value, gross unrealized losses, and length of time that the loan-backed securities have been in a continuous unrealized loss position as of September 30, 2016 and December 31, 2015:

September 30, 2016		
The aggregate amount of unrealized losses:		
1. Less than 12 months	\$	11,964
2. 12 Months or longer		5,757

The aggregate related fair value of securities with unrealized losses:	3,970,574
1. Less than 12 months	3,080,034

December 31, 2015		
The aggregate amount of unrealized losses:		
1. Less than 12 months	\$	759,615
2. 12 Months or longer		48,497

The aggregate related fair value of securities with unrealized losses:	52,856,184
1. Less than 12 months	2,889,480

(5) The Company believes that it will collect all principal and interest due on all investments that have an amortized cost in excess of fair value. The unrealized losses as of September 30, 2016 were primarily caused by interest rate increases and not by unfavorable changes in the credit ratings associated with these securities.

**E. Repurchase Agreements and/or Securities Lending Transactions**

No significant change.

**F. Real Estate**

No significant change.

**G. Low-Income Housing Tax Credits**

No significant change.

**H. Restricted Assets**

No significant change.

**I. Working Capital Finance Investments**

Not applicable.

**J. Offsetting and Netting of Assets and Liabilities**

The Company does not have any offsetting or netting of assets and liabilities as it relates to derivatives, repurchase and reverse repurchase agreements, and securities borrowing and securities lending activities.

**K. Structured Notes**

The Company does not have any structured notes.

**6. JOINT VENTURES, PARTNERSHIPS, AND LIMITED LIABILITY COMPANIES**

**A–B.** No significant change.

**7. INVESTMENT INCOME**

**A–B.** No significant change.

**8. DERIVATIVE INSTRUMENTS**

**A–F.** No significant change.

**9. INCOME TAXES**

**A–G.** The provision for federal income taxes incurred is different from that which would be obtained by applying the statutory federal income tax rate of 35% to net income before federal income taxes, and net realized capital gains primarily as a result of the annual health insurer fee under Section 9010 of the Patient Protection and Affordable Care Act (“ACA”) which is non-deductible for tax purposes. The Company is required to expense 100% of the estimated annual fee on January 1, 2016. The Company’s health insurer fee impact is \$29,265,161 in 2016, which was paid in September 2016.

**10. INFORMATION CONCERNING PARENT, SUBSIDIARIES, AND AFFILIATES**

**A–N. Material Related Party Transactions**

Effective February 1, 2016, the Company has entered into a facility participation agreement for home infusion therapy services with an affiliated entity, AxelaCare Intermediate Holdings, LLC. This agreement has been approved by the Department.

Effective July 1, 2016, the Company has amended its behavioral health services agreement with affiliated entity, United Behavioral Health (“UBH”). This amendment has been approved by the department. A risk sharing clause has been added for mental health experience on the Medicare/Medicaid eligible population (see Note 28).

As of September 30, 2016, the Company paid dividends of \$30,000,000 to its parent (see Note 13).

**11. DEBT**

**A–B.** No significant change.

**12. RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES, AND OTHER POSTRETIREMENT BENEFIT PLANS**

**A–I.** The Company has no defined benefit plans, defined contribution plans, multiemployer plans, consolidated/holding company plans, postemployment benefits, and compensated absences plans and is not impacted by the Medicare Modernization Act on postretirement benefits, since all personnel are employees of United HealthCare Services, Inc. (“UHS”), which provides services to the Company under the terms of a management agreement.

**13. CAPITAL AND SURPLUS, SHAREHOLDERS' DIVIDEND RESTRICTIONS, AND QUASI-REORGANIZATIONS**

- (1–3) No significant change.
- (4) On June 2, 2016, the Company declared an ordinary cash dividend of \$30,000,000 to the sole shareholder, Three Rivers Holding, Inc. The dividend was paid on June 17, 2016. The ordinary dividend complied with the provisions set forth in the statutes of Ohio. The dividend was recorded as a reduction to unassigned surplus in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

(5–13) No significant change.

**14. LIABILITIES, CONTINGENCIES AND ASSESSMENTS**

A–F. No significant change.

**15. LEASES**

A–B. No significant change.

**16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE-SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK**

(1–4) No significant change.

**17. SALE, TRANSFER, AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES**

- A. The Company did not have any transfers of receivables reported as sales as of September 30, 2016 or December 31, 2015.
- B. The Company did not have any transfer and servicing of financial assets as of September 30, 2016 or December 31, 2015.
- C. No transactions involving wash sales of securities with an NAIC designation of 3 or below or unrated securities occurred as of September 30, 2016 or the year ended December 31, 2015.

**18. GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS**

A–B. No significant change.

**C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract**

The Medicare Part D program under MyCare Ohio is a partially insured plan. The Company recorded a receivable in amounts receivable relating to uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus of \$20,415,409 and \$16,952,140 as of September 30, 2016 and December 31, 2015, respectively, for cost reimbursement under the Medicare Part D program for the catastrophic reinsurance and low-income member cost-sharing subsidies. The Company also recorded a receivable of \$2,665 and \$0 and a payable of \$0 and \$1,544 as of September 30, 2016 and December 31, 2015, respectively, for the Medicare Part D Coverage Gap Discount Program in amounts receivable relating to uninsured plans and liability for amounts held under uninsured plans, respectively, in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

**19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD-PARTY ADMINISTRATORS**

No significant change.

## 20. FAIR VALUE MEASUREMENT

The NAIC SAP defines fair value, establishes a framework for measuring fair value, and outlines the disclosure requirements related to fair value measurements. The fair value hierarchy is as follows:

*Level 1* — Quoted (unadjusted) prices for identical assets in active markets.

*Level 2* — Other observable inputs, either directly or indirectly, including:

- Quoted prices for similar assets in active markets;
- Quoted prices for identical or similar assets in nonactive markets (few transactions, limited information, noncurrent prices, high variability over time, etc.);
- Inputs other than quoted prices that are observable for the asset (interest rates, yield curves, volatilities, default rates, etc.);
- Inputs that are derived principally from or corroborated by other observable market data.

*Level 3* — Unobservable inputs that cannot be corroborated by observable market data.

The estimated fair values of bonds and short-term investments are based on quoted market prices, where available. The Company obtains one price for each security primarily from a third-party pricing service (“pricing service”), which generally uses quoted prices or other observable inputs for the determination of fair value. The pricing service normally derives the security prices through recently reported trades for identical or similar securities, making adjustments through the reporting date based upon available observable market information. For securities not actively traded, the pricing service may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, non-binding broker quotes, benchmark yields, credit spreads, default rates and prepayment speeds. As the Company is responsible for the determination of fair value, it performs quarterly analyses on the prices received from the pricing service to determine whether the prices are reasonable estimates of fair value. Specifically, the Company compares the prices received from the pricing service to a secondary pricing source; prices reported by its custodian, its investment consultant and third-party investment advisors. Additionally, the Company compares changes in the reported market values and returns to relevant market indices to test the reasonableness of the reported prices. The Company’s internal price verification procedures and review of fair value methodology documentation provided by independent pricing services have not historically resulted in an adjustment in the prices obtained from the pricing service.

In instances in which the inputs used to measure fair value fall into different levels of the fair value hierarchy, the fair value measurement has been determined based on the lowest-level input that is significant to the fair value measurement in its entirety. The Company’s assessment of the significance of a particular item to the fair value measurement in its entirety requires judgment, including the consideration of inputs specific to the asset or liability.

**A. Fair Value****(1) Fair Value Measurements at Reporting Date**

The Company does not have financial assets measured and reported at fair value at September 30, 2016. The following table presents information about the Company's financial assets that are measured and reported at fair value at December 31, 2015, in the statutory basis statements of admitted assets, liabilities, and capital and surplus according to the valuation techniques the Company used to determine their fair values:

Description for Each Class of Asset or Liability	December 31, 2015			
	(Level 1)	(Level 2)	(Level 3)	Total
a. Assets at fair value:				
Perpetual preferred stock:				
Industrial and misc	\$ -	\$ -	\$ -	\$ -
Parent, subsidiaries, and affiliates	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Total perpetual preferred stocks	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Bonds:				
U.S. governments	-	-	-	-
Industrial and misc	-	113,400	-	113,400
Hybrid securities	-	-	-	-
Parent, subsidiaries, and affiliates	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Total bonds	<u>-</u>	113,400	<u>-</u>	113,400
Common stock:				
Industrial and misc	-	-	-	-
Parent, subsidiaries, and affiliates	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Total common stock	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Derivative assets:				
Interest rate contracts	-	-	-	-
Foreign exchange contracts	-	-	-	-
Credit contracts	-	-	-	-
Commodity futures contracts	-	-	-	-
Commodity forward contracts	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Total derivatives	-	-	-	-
Additional write-ins	-	-	-	-
Separate account assets	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Total assets at fair value	<u>\$ -</u>	<u>\$ 113,400</u>	<u>\$ -</u>	<u>\$ 113,400</u>
b. Liabilities at fair value:				
Derivative liabilities	\$ -	\$ -	\$ -	\$ -
Additional write-ins	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Total liabilities at fair value	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

There were no transfers between Levels 1 and 2 during the nine months ended September 30, 2016 and the year ended December 31, 2015.

- (2)** The Company does not have any financial assets with a fair value hierarchy of Level 3 that were measured and reported at fair value for the nine months ended September 30, 2016 and the year ended December 31, 2015.
- (3)** Transfers between fair value hierarchy levels, if any, are recorded as of the beginning of the reporting period in which the transfer occurs. There were no transfers between Levels 1, 2 or 3 of any financial assets or liabilities during the nine months ended September 30, 2016 and the year ended December 31, 2015.

**(4) Investments** — Fair values of debt securities are based on quoted market prices, where available. The Company obtains one price for each security primarily from a pricing service, which generally uses quoted prices or other observable inputs for the determination of fair value. The pricing service normally derives the security prices through recently reported trades for identical or similar securities, and, if necessary, makes adjustments through the reporting date based upon available observable market information. For securities not actively traded, the pricing service may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, benchmark yields, credit spreads, default rates, prepayment speeds and non-binding broker quotes. As the Company is responsible for the determination of fair value, it performs quarterly analyses on the prices received from the pricing service to determine whether the prices are reasonable estimates of fair value. Specifically, the Company compares the prices received from the pricing service to prices reported by a secondary pricing source, such as its custodian, its investment consultant and third-party investment advisors. Additionally, the Company compares changes in the reported market values and returns to relevant market indices to test the reasonableness of the reported prices. The Company's internal price verification procedures and reviews of fair value methodology documentation provided by independent pricing services have not historically resulted in adjustment in the prices obtained from the pricing service.

**(5)** The Company has no derivative assets and liabilities to disclose.

**B. Fair Value Combination** — Not applicable.

**C. Aggregate Fair Value Hierarchy**

The aggregate fair value by hierarchy of all financial instruments as of September 30, 2016 and December 31, 2015, is presented in the table below:

Types of Financial Investment	September 30, 2016						Not Practicable (Carrying Value)
	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)		
U.S. government and agency securities	\$ 62,569,167	\$ 61,700,767	\$ 18,996,999	\$ 43,572,168	\$ -	\$ -	
State and agency municipal securities	62,885,671	60,947,668	-	62,885,671	-	-	
City and county municipal securities	68,021,727	66,063,571	-	68,021,727	-	-	
Corporate debt securities	102,624,483	100,738,672	-	102,624,483	-	-	
Money-market funds	33,628,461	33,628,461	33,628,461	-	-	-	
Total bonds and short-term investments	<u>\$ 329,729,509</u>	<u>\$ 323,079,139</u>	<u>\$ 52,625,460</u>	<u>\$ 277,104,049</u>	<u>\$ -</u>	<u>\$ -</u>	

Types of Financial Investment	December 31, 2015						Not Practicable (Carrying Value)
	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)		
U.S. government and agency securities	\$ 59,410,437	\$ 59,751,189	\$ 12,694,695	\$ 46,715,742	\$ -	\$ -	
State and agency municipal securities	70,361,708	68,736,407	-	70,361,708	-	-	
City and county municipal securities	60,353,369	59,071,355	-	60,353,369	-	-	
Corporate debt securities	94,590,858	95,898,491	-	94,590,858	-	-	
Money-market funds	37,164,810	37,164,810	37,164,810	-	-	-	
Total bonds and short-term investments	<u>\$ 321,881,182</u>	<u>\$ 320,622,252</u>	<u>\$ 49,859,505</u>	<u>\$ 272,021,677</u>	<u>\$ -</u>	<u>\$ -</u>	

Included as Level 1 in U.S. government and agency securities in the fair value hierarchy tables above are U.S. Treasury securities of \$18,996,999 and \$12,694,695 as of September 30, 2016 and December 31, 2015, respectively.

There are no commercial paper investments included in corporate debt securities in the fair value hierarchy tables above as of September 30, 2016 and December 31, 2015.

**D. Not Practicable to Estimate Fair Value** — Not applicable.

**21. OTHER ITEMS**

**A–H.** No significant change.

**22. EVENTS SUBSEQUENT**

No significant change.

**23. REINSURANCE**

**A–D.** No significant change.

**24. RETROSPECTIVELY RATED CONTRACTS AND CONTRACTS SUBJECT TO REDETERMINATION**

**A-B.** No significant change.

**C.** The Company has Medicare Part D program business under MyCare Ohio which is subject to a retrospective rating feature related to Part D Premiums. The Company has estimated accrued retrospective premiums related to certain Part D premiums based on guidelines determined by the Centers for Medicare and Medicaid Services ("CMS"). The formula is tiered and based on the bid medical loss ratio. The amount of Part D direct premiums written subject to retrospective rating was \$13,176,235 and \$18,432,287 representing 0.9% and 0.9% of total direct premiums written as of September 30, 2016 and December 31, 2015, respectively.

The Company has risk-adjustment amounts from CMS which are subject to a redetermination feature related to Medicare premiums. The Company has estimated premium adjustments for changes to each member's health scores based on guidelines determined by CMS. The total amount of Medicare direct premiums written for which a portion is subject to redetermination features were \$180,449,423 and \$244,666,925 representing, 11.7% and 11.6% of total direct premiums written as of September 30, 2016 and December 31, 2015, respectively.

The Medicaid business contract with the State of Ohio includes Aged, Blind, and Disabled (ABD) program which is subject to retrospective rating features. The Company estimates accrued retrospective premium adjustments for its ABD program based on the contract with the State of Ohio Department of Medicaid ("ODM"). The amount of net premium income that is subject to the ABD program contract retrospective rating feature is \$148,648,297 and \$237,790,473, representing 9.7% and 11.3% of total direct premiums written as of September 30, 2016 and December 31, 2015, respectively.

The Medicaid business contract with ODM includes a provision for which a stated percentage of total direct premiums written can be eligible for a performance guarantee payment, based on various quality measures. The total Medicaid direct premiums written used as the basis for this redetermination feature was \$1,503,666,830 and \$2,109,743,403, which represents 97.8% and 99.9%, of the Company's total direct premiums written, as of September 30, 2016 and December 31, 2015, respectively.

During 2015, the Company became subject to a Hepatitis C Risk Pool arrangement with ODM where the amount of the pool is determined by the projected Hepatitis C costs incorporated into the current year 2015 rates, and the funds will be redistributed among the plans based on relative actual Hepatitis C costs. The total Medicaid direct premiums written subject to this retrospective rating feature was \$1,343,467,760 and \$1,900,062,615 representing 87.4% and 90.0% of total direct premiums written as of September 30, 2016 and December 31, 2015, respectively.

**D.** The Company does not have any business subject to federal specific minimum loss ratio requirements as of September 30, 2016 and December 31, 2015.

Pursuant to contractual requirements, the Company is required to maintain a specific minimum loss ratio on its MyCare Ohio and Expansion populations. The Company estimated \$3,836,532 and \$1,201,378 in estimated state minimum loss ratio rebates on its Expansion population as of September 30, 2016 and December 31, 2015, respectively. The Company's actual medical loss ratios for MyCare Ohio were in excess of the minimum requirements and, as a result, no minimum loss ratio liability was required as of September 30, 2016 and December 31, 2015, respectively.

**E. Risk-Sharing Provisions of the Affordable Care Act**

**(1- 5)** The Company did not write accident and health premiums in 2016 and 2015 subject to the risk-sharing provisions of the ACA.

## 25. CHANGE IN INCURRED CLAIMS AND CLAIMS ADJUSTMENT EXPENSES

Changes in estimates related to the prior year incurred claims are included in total hospital and medical expenses in the current year in the statutory basis statements of operations. The following tables disclose paid claims, incurred claims, and the balance in the claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves, health care receivable and reinsurance recoverables at September 30, 2016 and December 31, 2015:

	September 30, 2016		
	Current Year Incurred Claims	Prior Years Incurred Claims	Total
Beginning of year claim reserve	\$ -	\$ (150,782,342)	\$ (150,782,342)
Paid claims—net of health care receivable and reinsurance recoveries collected	1,152,816,492	122,842,133	1,275,658,625
End of year claim reserve	<u>160,257,374</u>	<u>(10,737,160)</u>	<u>149,520,214</u>
Incurred claims excluding the change in health care receivable and reinsurance recoverables as presented below	1,313,073,866	(38,677,369)	1,274,396,497
Beginning of year health care receivable	-	25,933,613	25,933,613
End of year health care receivable and reinsurance recoverables	<u>(19,288,846)</u>	<u>(11,042,974)</u>	<u>(30,331,820)</u>
Total incurred claims	<u>\$ 1,293,785,020</u>	<u>\$ (23,786,730)</u>	<u>\$ 1,269,998,290</u>
	December 31, 2015		
	Current Year Incurred Claims	Prior Years Incurred Claims	Total
Beginning of year claim reserve	\$ -	\$ (142,899,165)	\$ (142,899,165)
Paid claims—net of health care receivable	1,525,370,413	117,144,650	1,642,515,063
End of year claim reserve	<u>148,415,410</u>	<u>2,366,932</u>	<u>150,782,342</u>
Incurred claims excluding the change in health care receivable as presented below	1,673,785,823	(23,387,583)	1,650,398,240
Beginning of year health care receivable	-	13,754,271	13,754,271
End of year health care receivable	<u>(20,785,637)</u>	<u>(5,147,976)</u>	<u>(25,933,613)</u>
Total incurred claims	<u>\$ 1,653,000,186</u>	<u>\$ (14,781,288)</u>	<u>\$ 1,638,218,898</u>

The liabilities for claims unpaid, accrued medical incentive pool and bonus amounts and aggregate health claim reserves, net of health care receivable as of December 31, 2015 was \$124,848,729. As of September 30, 2016, \$122,842,133 has been paid for incurred claims attributable to insured events of prior years. Reserves remaining for prior years, net of health care receivable and reinsurance recoverables are now (\$21,780,134) as a result of re-estimation of unpaid claims. Therefore, there has been \$23,786,730 favorable prior year development since December 31, 2015 to September 30, 2016. The primary drivers consist of favorable development as a result of a change in the provision for adverse deviations in experience of \$8,592,809, \$8,638,979 from reinsurance, and \$5,732,218 in retroactivity for inpatient, outpatient, physician, and pharmacy claims. At December 31, 2015, the Company recorded \$14,781,288 of favorable development related to a change in the provision for adverse deviations in experience of \$7,102,241, \$5,721,369 in retroactivity for inpatient, outpatient, physician, and pharmacy claims, \$4,036,171 primarily due to claim audit recovery activity, partially offset by unfavorable development from reinsurance of (\$2,982,535). Original estimates are increased or decreased, as additional information becomes known regarding individual claims. Included in this favorable development is the impact related to retrospectively rated policies. As a result of the prior year effects, on a regular basis, the Company adjusts revenue and the corresponding liability and/or receivable related to retrospectively rated policies and the impact of the change is included as a component of change in unearned premium reserves and reserve for rate credits in the statutory basis statements of operations.

The Company incurred claims adjustment expenses of \$60,485,697 and \$80,668,030 for the nine months ended September 30, 2016 and the year ended December 31, 2015, respectively. These costs are included in the management service fees paid by the Company to UHS as a part of its management agreement. The following tables disclose paid claims adjustment expense ("CAE"), incurred CAE, and the balance in the unpaid claim adjustment expenses reserve for the nine months ended September 30, 2016 and the year ended December 31, 2015:

	September 30, 2016	December 31, 2015
Total claims adjustment expenses incurred	\$ 60,485,697	\$ 80,668,030
Less current year unpaid claims adjustment expenses	(2,450,070)	(2,357,515)
Add prior year unpaid claims adjustment expenses	<u>2,357,515</u>	<u>2,080,244</u>
Total claims adjustment expenses paid	<u>\$ 60,393,142</u>	<u>\$ 80,390,759</u>

**26. INTERCOMPANY POOLING ARRANGEMENTS**

**A–G.** No significant change.

**27. STRUCTURED SETTLEMENTS**

**A–B.** No significant change.

**28. HEALTH CARE AND OTHER AMOUNTS RECEIVABLE**

- A.** Pharmacy rebates receivable are recorded when reasonably estimated or billed by the affiliated pharmaceutical benefit manager in accordance with pharmaceutical rebate contract provisions. Information used to support rebates billed to the manufacturer is based on utilization information gathered by the pharmaceutical benefit manager and adjusted for significant changes in pharmaceutical contract provisions.

The Company evaluates admissibility of all pharmacy rebates receivable based on the administration of each underlying pharmaceutical benefit management agreement. The Company has non-admitted all pharmacy rebates receivable that do not meet the admissibility criteria of Statements of Statutory Accounting Principles ("SSAP") No. 84, *Certain Health Care Receivables and Receivables under Government Insured Plans* from the statutory basis statements of admitted assets, liabilities, and capital and surplus.

For each pharmaceutical management agreement for which a portion of the total pharmacy rebates receivable can be admitted based on the admissibility criteria of SSAP No. 84, the transaction history is summarized as follows:

Quarter	Estimated Pharmacy Rebates as Reported on Financial Statements		Pharmacy Rebates as Billed or Otherwise Confirmed	Actual Rebates Received within 90 Days of Billing	Actual Rebates Received within 91 to 180 Days of Billing	Actual Rebates Received More than 180 Days After Billing
	9/30/2016	\$ 9,585,454	\$ -	\$ -	\$ -	\$ -
6/30/2016	9,616,426		9,553,745	5,305,640	-	-
3/31/2016	8,376,446		8,528,515	4,088,991	4,096,865	-
12/31/2015	8,978,543		8,867,109	5,858,867	2,918,306	17,350
9/30/2015	8,765,945		8,844,290	6,565,126	1,320,329	862,311
6/30/2015	8,406,025		8,676,604	5,924,035	1,620,029	811,780
3/31/2015	7,059,627		7,197,030	4,456,166	1,601,329	829,758
12/31/2014	4,039,676		3,815,684	1,973,260	1,321,178	514,912
9/30/2014	3,558,353		3,587,612	2,013,910	1,093,102	481,637
6/30/2014	2,636,101		2,767,194	908,552	1,092,667	741,994
3/31/2014	2,024,929		2,059,909	280,183	696,265	1,075,006
12/31/2013	1,970,130		1,766,966	145,269	628,435	985,753

Of the amount reported as health care receivable, \$12,363,674 and \$11,864,380 relates to pharmacy rebates receivable as of September 30, 2016 and December 31, 2015, respectively. This increase is primarily due to increased membership along with the change in generic/name brand mix.

- B.** The Company estimates risk-sharing receivables based on the contractual terms.

The following table summarizes the collection history of risk-sharing receivables that meet the admissibility criteria of SSAP No. 84:

Calendar Year	Evaluation Period Year Ending	Risk Sharing Receivable			Risk Sharing Receivable Not Yet Billed	Actual Risk Sharing Amounts Received in Year Billed	Actual Risk Sharing Amounts Received First Year Subsequent	Actual Risk Sharing Amounts Received Second Year Subsequent	Actual Risk Sharing Amounts Received - All Other
		as Estimated in the Prior Year	as Estimated in the Current Year	Risk Sharing Receivable Billed					
2016	2016	\$ -	\$ 3,106,164	\$ 3,382,806	\$ -	\$ -	\$ -	\$ -	\$ -
	2017	XXX	\$ -	XXX	XXX	XXX	XXX	XXX	XXX
2015	2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	2016	XXX	\$ -	XXX	XXX	XXX	XXX	XXX	XXX
2014	2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	2015	XXX	\$ -	XXX	XXX	XXX	XXX	XXX	XXX

**STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.**

Effective July 1, 2016, the Company entered into a risk-sharing arrangement with UBH. The arrangement includes a provision to retroactively calculate risk-share for 2014 and 2015 actual incurred claims expense. Risk-sharing receivables relating to 2014 and 2015 claims expense are considered billed at the risk-sharing arrangement effective date of July 1, 2016 and are within the 90 day collection window required for admission per SSAP No. 84.

The Company also admitted \$8,154,039 and \$8,736,807 for receivables due from ODM for reimbursable claims and performance based payments and \$1,053,599 and \$484,198 for claim overpayment receivables as of September 30, 2016 and December 31 2015, respectively, which are included in health care receivable in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

**29. PARTICIPATING POLICIES**

No significant change.

**30. PREMIUM DEFICIENCY RESERVES**

The Company has not recorded any premium deficiency reserves as of September 30, 2016 or December 31, 2015. The analysis of premium deficiency reserves was completed as of September 30, 2016 and December 31, 2015. The Company did consider anticipated investment income when calculating the premium deficiency reserves.

The following table summarizes the Company's premium deficiency reserves as of September 30, 2016 and December 31, 2015:

	<b>September 30, 2016</b>
1. Liability carried for premium deficiency reserves	\$ -
2. Date of the most recent evaluation of this liability	9/30/2016
3. Was anticipated investment income utilized in this calculation?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

	<b>December 31, 2015</b>
1. Liability carried for premium deficiency reserves	\$ -
2. Date of the most recent evaluation of this liability	12/31/2015
3. Was anticipated investment income utilized in this calculation?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

**31. ANTICIPATED SALVAGE AND SUBROGATION**

No significant change.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.  
**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]
- 1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]
- 2.2 If yes, date of change: \_\_\_\_\_
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... If yes, complete Schedule Y, Parts 1 and 1A. Yes [ X ] No [ ]
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ X ] No [ ]

- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.  
 July 1, 2016 AmeriChoice of Connecticut, Inc., a Connecticut corporation, merged with and into Oxford Health Plans (CT), Inc., a Connecticut corporation.

July 1, 2016 Catamaran Insurance Company of Ohio, Inc. changed its name to Optum Insurance of Ohio, Inc.

August 3, 2016 Project Z Merger Sub, Inc., a Delaware corporation, was formed as a wholly owned subsidiary of WellMed Medical Management, Inc., a Texas corporation.

August 18, 2016 Optum Global Finance (Ireland) Unlimited Company, an Irish company, was formed as a 100% subsidiary of Optum Global Solutions (Ireland) Limited, an Irish company.

September 1, 2016 North American Medical Management California, Inc., a Tennessee corporation, acquired 100% of the equity interests of Empire Physician Management Co., LLC, a California limited liability company.

September 30, 2016 Project Z Merger Sub, Inc., a Delaware corporation, merged with and into USMD Holdings, Inc., a Delaware corporation, with USMD Holdings, Inc. being the surviving corporation in the merger. As a result, USMD Holdings, Inc. became a wholly owned subsidiary of WellMed Medical Management, Inc.

- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ X ] N/A [ ] If yes, attach an explanation.

- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ..... 12/31/2013

- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ..... 12/31/2013

- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ..... 02/13/2015

- 6.4 By what department or departments?  
 Ohio Department of Insurance

- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]

- 6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ ] No [ ] N/A [ X ]

- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]

- 7.2 If yes, give full information:

- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]

- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ X ] No [ ]

- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Optum Bank, Inc. ....	Salt Lake City, UT .....	NO.....	NO.....	YES.....	NO.....

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.  
**GENERAL INTERROGATORIES**

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [  ] No [  ]  
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? ..... Yes [  ] No [  ]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [  ] No [  ]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**FINANCIAL**

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [  ] No [  ]  
 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ ..... 0

**INVESTMENT**

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [  ] No [  ]  
 11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ ..... 0  
 13. Amount of real estate and mortgages held in short-term investments: ..... \$ ..... 0

- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [  ] No [  ]  
 14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds .....	\$ ..... 0	\$ ..... 0
14.22 Preferred Stock .....	\$ ..... 0	\$ ..... 0
14.23 Common Stock .....	\$ ..... 0	\$ ..... 0
14.24 Short-Term Investments .....	\$ ..... 0	\$ ..... 0
14.25 Mortgage Loans on Real Estate .....	\$ ..... 0	\$ ..... 0
14.26 All Other .....	\$ ..... 0	\$ ..... 0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) .....	\$ ..... 0	\$ ..... 0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....	\$ ..... 0	\$ ..... 0

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [  ] No [  ]  
 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [  ] No [  ]  
 If no, attach a description with this statement.

**STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.**  
**GENERAL INTERROGATORIES**

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. ....	\$ ..... 0
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 .....	\$ ..... 0
16.3 Total payable for securities lending reported on the liability page. ....	\$ ..... 0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ X ] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Bank of New York Mellon .....	Global Liquidity Services, 1 Wall St, 14th Floor, New York, NY 10286
Northern Trust .....	50 S. LaSalle, Chicago, IL 60675 .....

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [ X ]

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
107105 .....	BlackRock Financial Management, Inc. ....	55 East 52nd Street, NY, NY 10055 .....
113972 .....	Standish Mellon Asset Management Company .....	201 Washington Street, Suite 2900, Boston, MA 02108-4408

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]

18.2 If no, list exceptions:

**GENERAL INTERROGATORIES****PART 2 - HEALTH**

1. Operating Percentages:

1.1 A&H loss percent .....	84.9 %
1.2 A&H cost containment percent .....	2.1 %
1.3 A&H expense percent excluding cost containment expenses .....	14.1 %
2.1 Do you act as a custodian for health savings accounts? .....	Yes [ ] No [ X ]
2.2 If yes, please provide the amount of custodial funds held as of the reporting date .....	\$.....0
2.3 Do you act as an administrator for health savings accounts? .....	Yes [ ] No [ X ]
2.4 If yes, please provide the balance of the funds administered as of the reporting date .....	\$.....0

**STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.**

## **SCHEDULE S - CEDDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

# **NONE**

**SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS**

Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status	Direct Business Only							9 Deposit-Type Contracts
		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums & Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 Through 7	
1. Alabama .....	AL .N.	.0	0	0	0	.0	.0	0	0
2. Alaska .....	AK .N.	.0	0	0	0	.0	.0	0	0
3. Arizona .....	AZ .N.	.0	0	0	0	.0	.0	0	0
4. Arkansas .....	AR .N.	.0	0	0	0	.0	.0	0	0
5. California .....	CA .N.	.0	0	0	0	.0	.0	0	0
6. Colorado .....	CO .N.	.0	0	0	0	.0	.0	0	0
7. Connecticut .....	CT .N.	.0	0	0	0	.0	.0	0	0
8. Delaware .....	DE .N.	.0	0	0	0	.0	.0	0	0
9. District of Columbia .....	DC .N.	.0	0	0	0	.0	.0	0	0
10. Florida .....	FL .N.	.0	0	0	0	.0	.0	0	0
11. Georgia .....	GA .N.	.0	0	0	0	.0	.0	0	0
12. Hawaii .....	HI .N.	.0	0	0	0	.0	.0	0	0
13. Idaho .....	ID .N.	.0	0	0	0	.0	.0	0	0
14. Illinois .....	IL .N.	.0	0	0	0	.0	.0	0	0
15. Indiana .....	IN .N.	.0	0	0	0	.0	.0	0	0
16. Iowa .....	IA .N.	.0	0	0	0	.0	.0	0	0
17. Kansas .....	KS .N.	.0	0	0	0	.0	.0	0	0
18. Kentucky .....	KY .N.	.0	0	0	0	.0	.0	0	0
19. Louisiana .....	LA .N.	.0	0	0	0	.0	.0	0	0
20. Maine .....	ME .N.	.0	0	0	0	.0	.0	0	0
21. Maryland .....	MD .N.	.0	0	0	0	.0	.0	0	0
22. Massachusetts .....	MA .N.	.0	0	0	0	.0	.0	0	0
23. Michigan .....	MI .N.	.0	0	0	0	.0	.0	0	0
24. Minnesota .....	MN .N.	.0	0	0	0	.0	.0	0	0
25. Mississippi .....	MS .N.	.0	0	0	0	.0	.0	0	0
26. Missouri .....	MO .N.	.0	0	0	0	.0	.0	0	0
27. Montana .....	MT .N.	.0	0	0	0	.0	.0	0	0
28. Nebraska .....	NE .N.	.0	0	0	0	.0	.0	0	0
29. Nevada .....	NV .N.	.0	0	0	0	.0	.0	0	0
30. New Hampshire .....	NH .N.	.0	0	0	0	.0	.0	0	0
31. New Jersey .....	NJ .N.	.0	0	0	0	.0	.0	0	0
32. New Mexico .....	NM .N.	.0	0	0	0	.0	.0	0	0
33. New York .....	NY .N.	.0	0	0	0	.0	.0	0	0
34. North Carolina .....	NC .N.	.0	0	0	0	.0	.0	0	0
35. North Dakota .....	ND .N.	.0	0	0	0	.0	.0	0	0
36. Ohio .....	OH .L.	180,449,423	1,356,768,136	0	0	.0	1,537,217,559	0	0
37. Oklahoma .....	OK .N.	.0	0	0	0	.0	.0	0	0
38. Oregon .....	OR .N.	.0	0	0	0	.0	.0	0	0
39. Pennsylvania .....	PA .N.	.0	0	0	0	.0	.0	0	0
40. Rhode Island .....	RI .N.	.0	0	0	0	.0	.0	0	0
41. South Carolina .....	SC .N.	.0	0	0	0	.0	.0	0	0
42. South Dakota .....	SD .N.	.0	0	0	0	.0	.0	0	0
43. Tennessee .....	TN .N.	.0	0	0	0	.0	.0	0	0
44. Texas .....	TX .N.	.0	0	0	0	.0	.0	0	0
45. Utah .....	UT .N.	.0	0	0	0	.0	.0	0	0
46. Vermont .....	VT .N.	.0	0	0	0	.0	.0	0	0
47. Virginia .....	VA .N.	.0	0	0	0	.0	.0	0	0
48. Washington .....	WA .N.	.0	0	0	0	.0	.0	0	0
49. West Virginia .....	WV .N.	.0	0	0	0	.0	.0	0	0
50. Wisconsin .....	WI .N.	.0	0	0	0	.0	.0	0	0
51. Wyoming .....	WY .N.	.0	0	0	0	.0	.0	0	0
52. American Samoa .....	AS .N.	.0	0	0	0	.0	.0	0	0
53. Guam .....	GU .N.	.0	0	0	0	.0	.0	0	0
54. Puerto Rico .....	PR .N.	.0	0	0	0	.0	.0	0	0
55. U.S. Virgin Islands .....	VI .N.	.0	0	0	0	.0	.0	0	0
56. Northern Mariana Islands .....	MP .N.	.0	0	0	0	.0	.0	0	0
57. Canada .....	CAN .N.	.0	0	0	0	.0	.0	0	0
58. Aggregate Other Aliens .....	OT .XXX	.0	0	0	0	.0	.0	0	0
59. Subtotal .....	XXX	180,449,423	1,356,768,136	0	0	.0	1,537,217,559	0	0
60. Reporting Entity Contributions for Employee Benefit Plans .....	XXX	.0	0	0	0	.0	.0	0	0
61. Totals (Direct Business) .....	(a) 1	0	180,449,423	1,356,768,136	0	0	0	1,537,217,559	0
DETAILS OF WRITE-INS									
58001. ....	XXX								
58002. ....	XXX								
58003. ....	XXX								
58998. Summary of remaining write-ins for Line 58 from overflow page .....	XXX	.0	0	0	0	.0	.0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above) .....	XXX	0	0	0	0	0	0	0	0

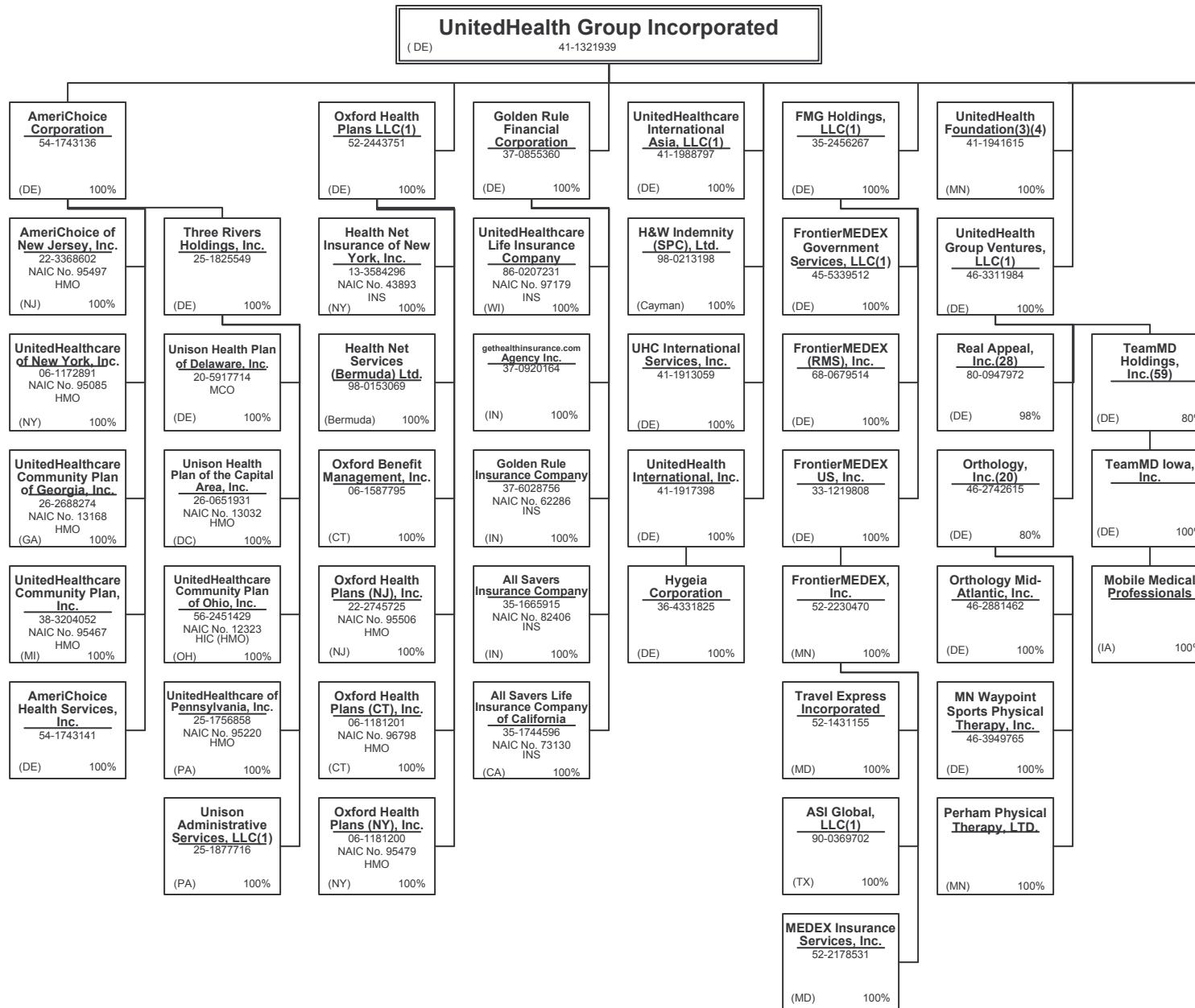
(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

Premiums are allocated based upon geographic region.

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**

**PART 1 – ORGANIZATIONAL CHART**

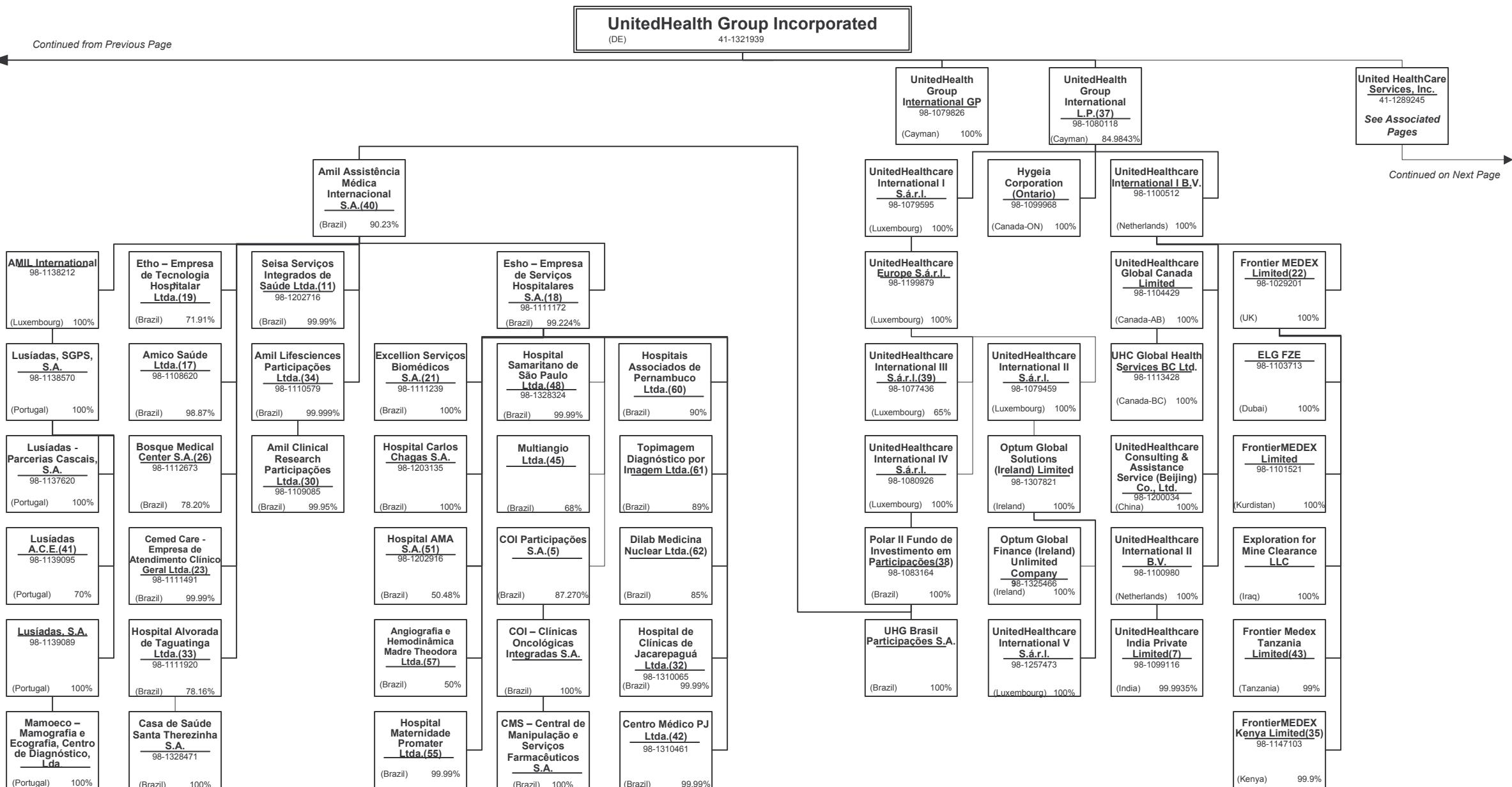


*Continued on Next Page*

## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 – ORGANIZATIONAL CHART

*Continued from Previous Page*



*Continued on Next Page*

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART

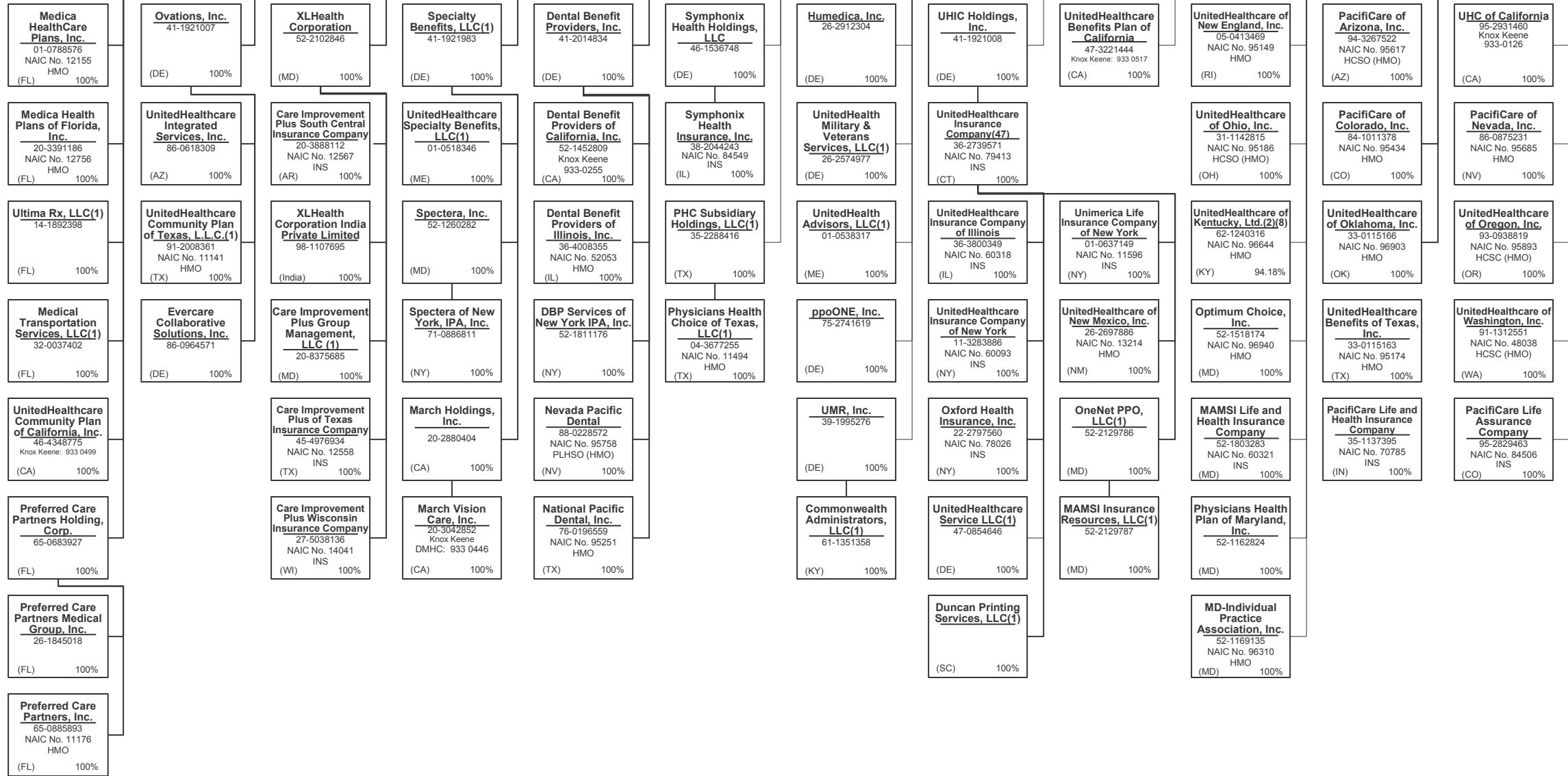
### United HealthCare Services, Inc.

(MN) 41-1289245

100%

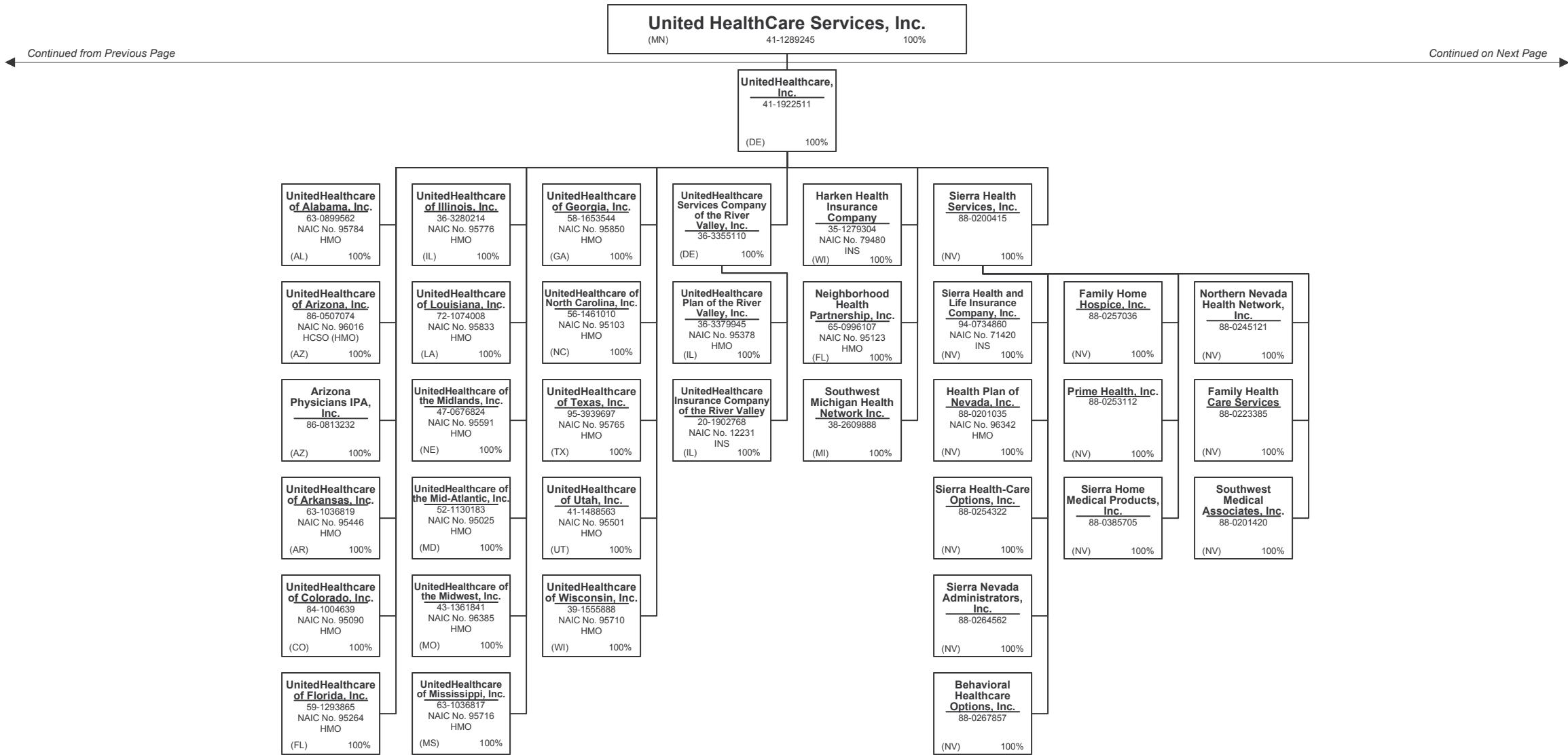
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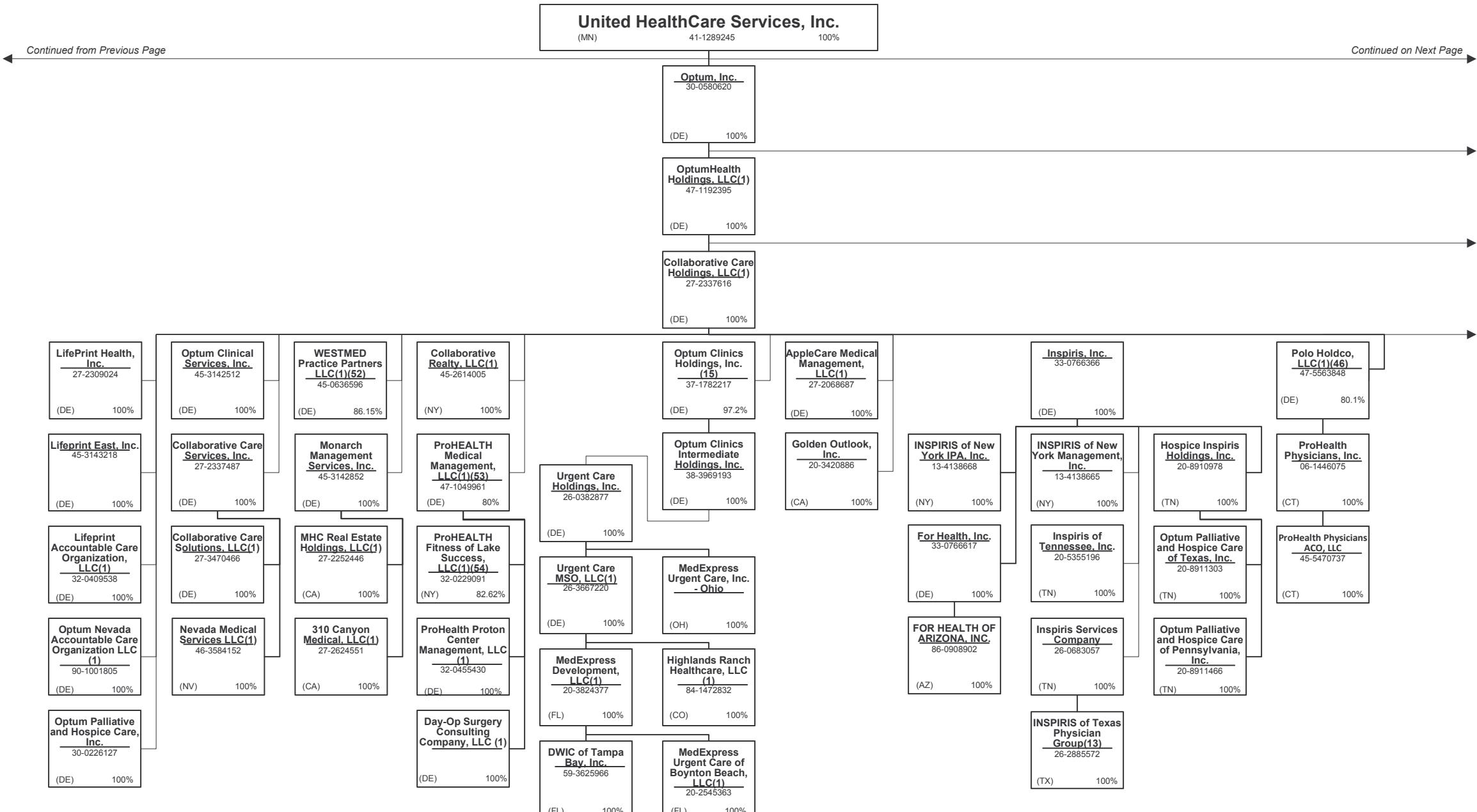
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**PART 1 – ORGANIZATIONAL CHART**



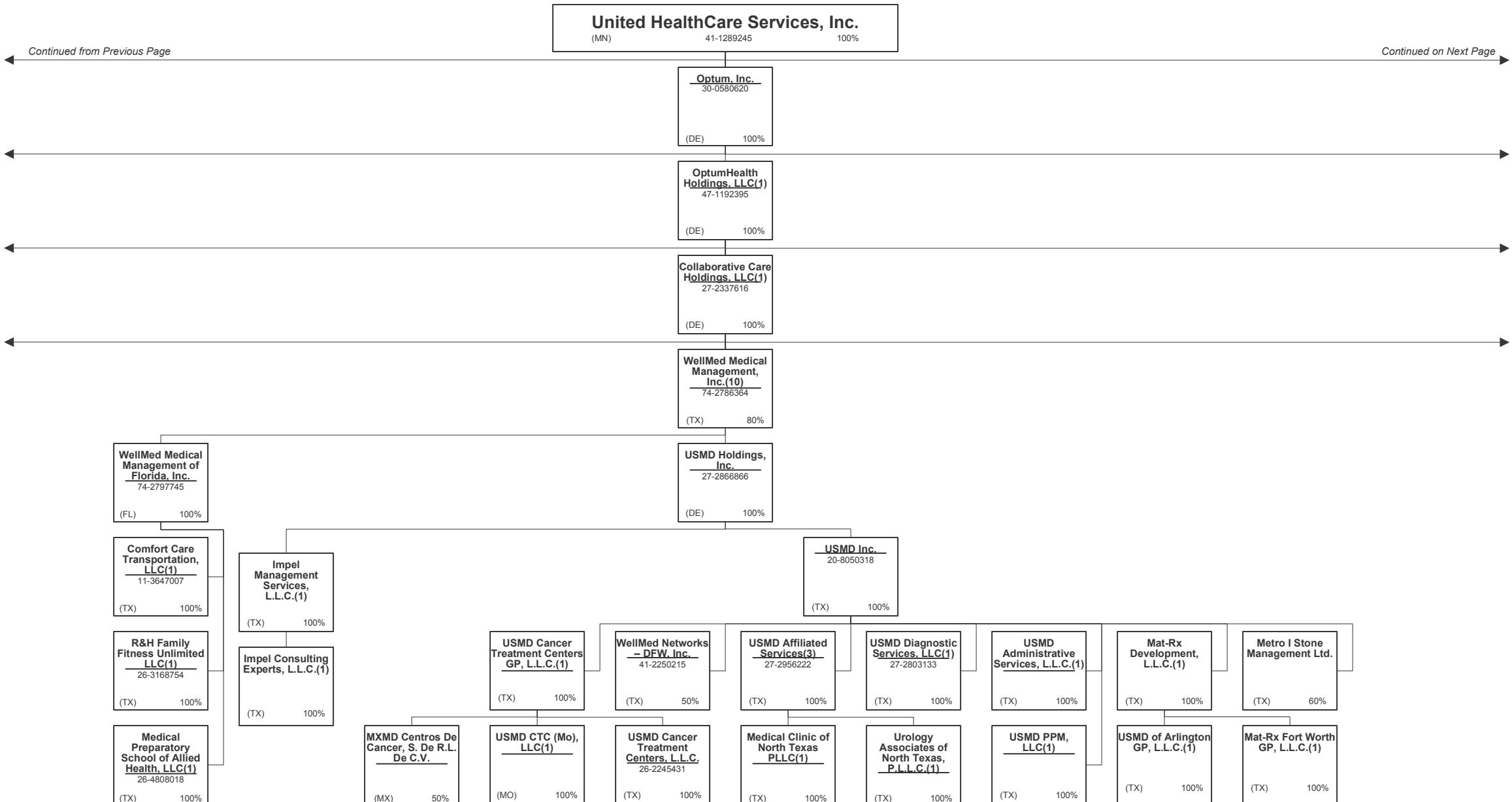
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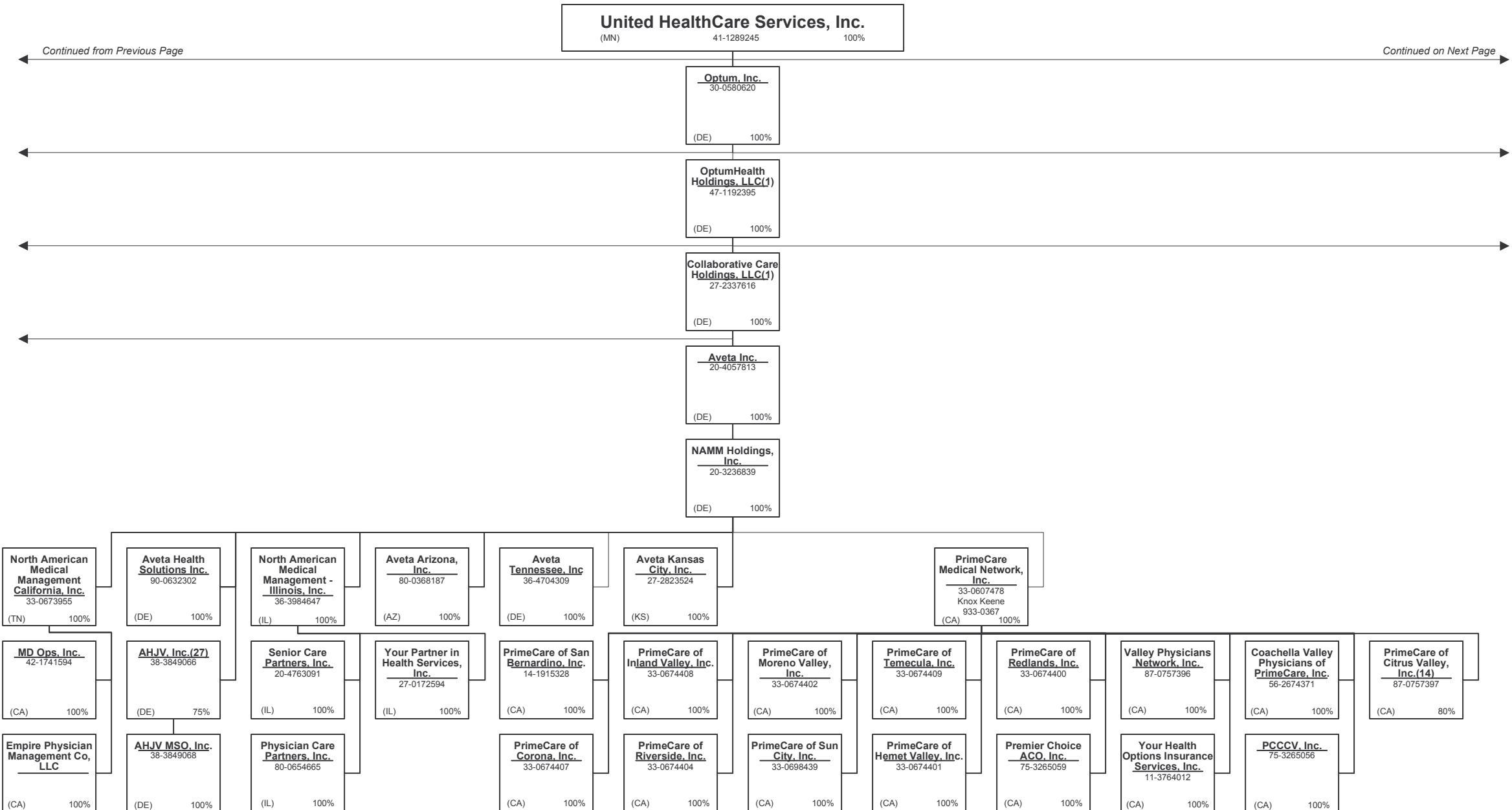
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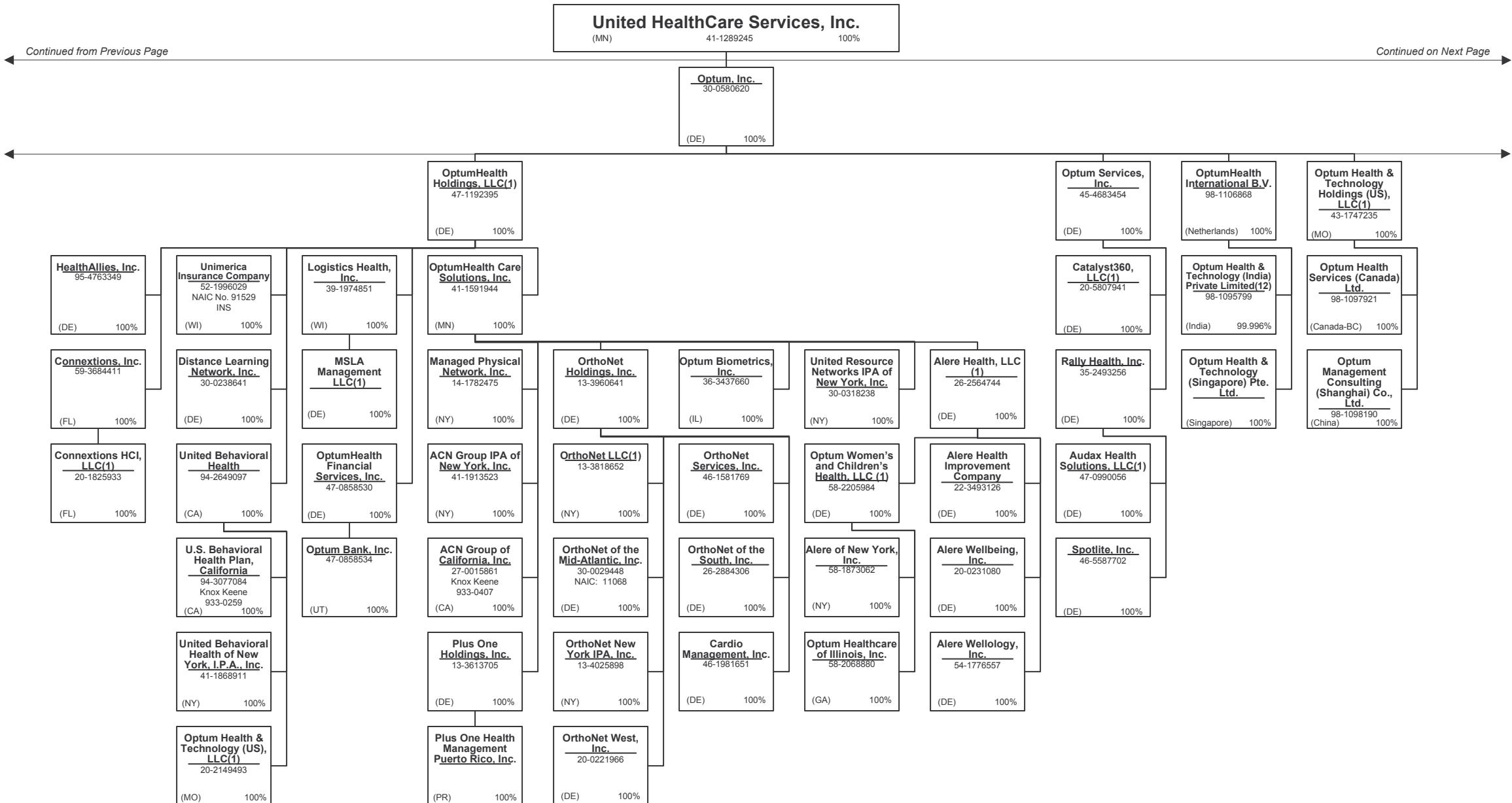
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### PART 1 – ORGANIZATIONAL CHART



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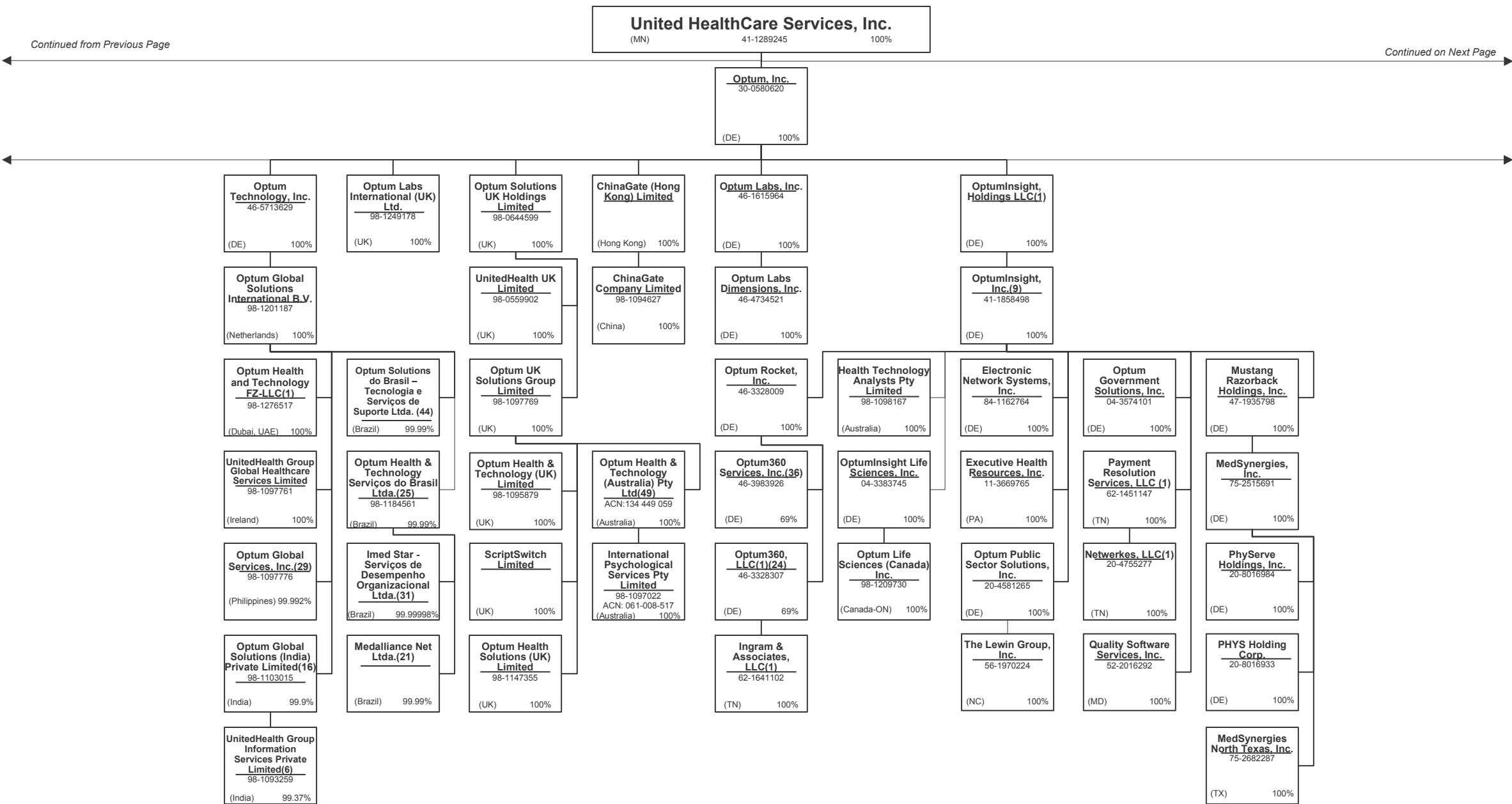


## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 – ORGANIZATIONAL CHART

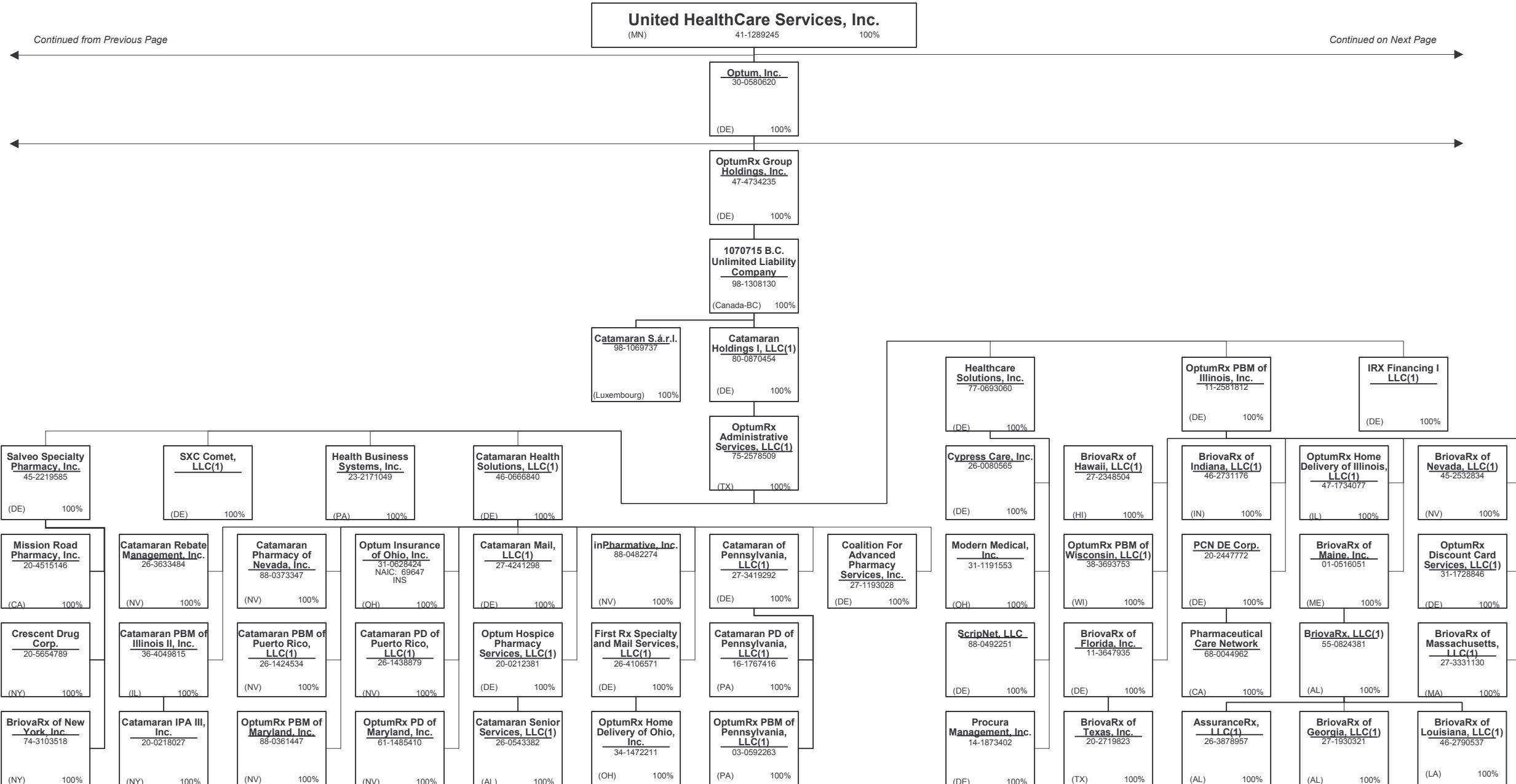
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## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

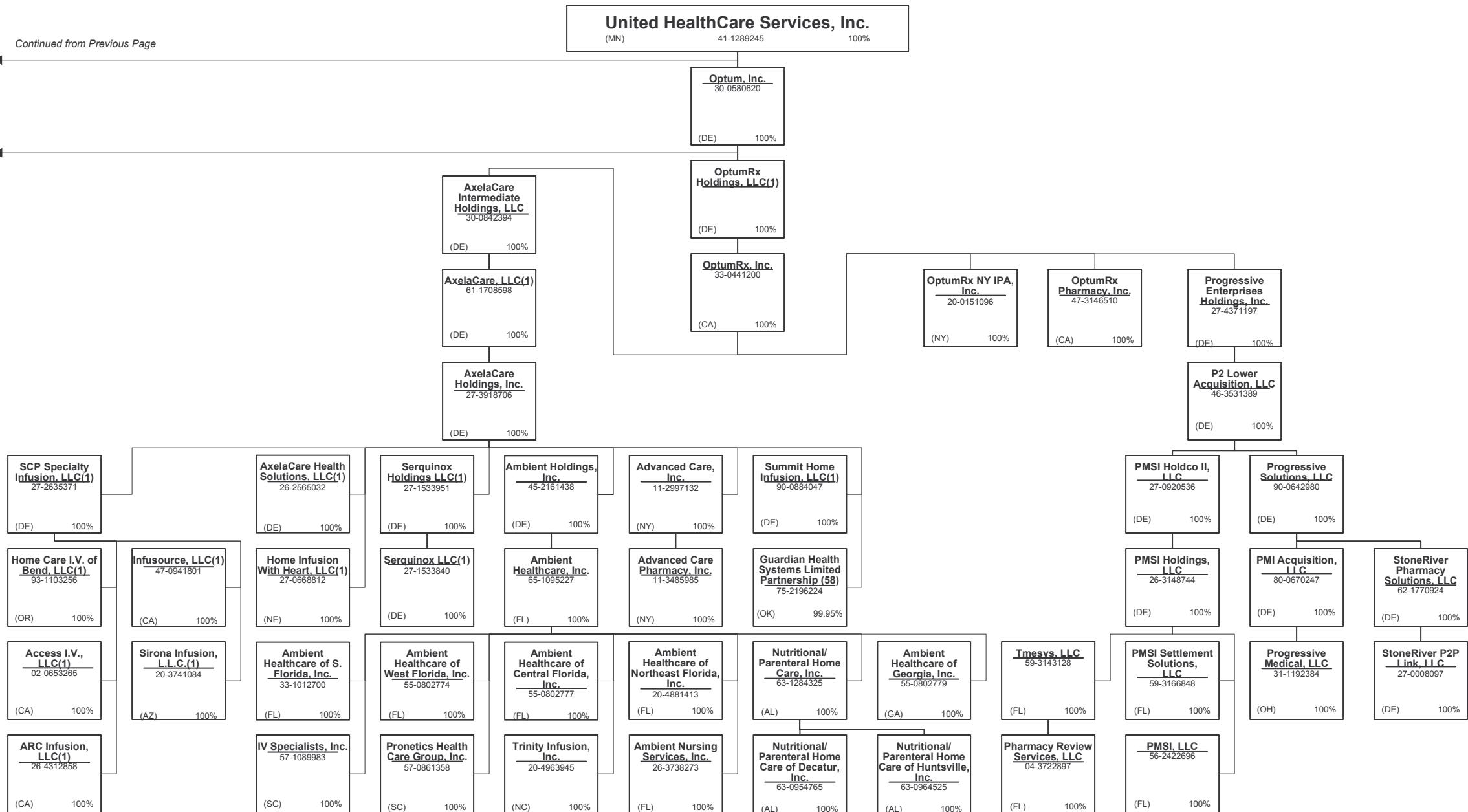
### PART 1 – ORGANIZATIONAL CHART



## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 – ORGANIZATIONAL CHART

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## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 – ORGANIZATIONAL CHART

All legal entities on the Organization Chart are Corporations unless otherwise indicated.

- (1) Entity is a Limited Liability Company
- (2) Entity is a Partnership
- (3) Entity is a Non-Profit Corporation
- (4) Control of the Foundation is based on sole membership, not the ownership of voting securities
- (5) COI Participações S.A. is 87.270% owned by Esho – Empresa de Serviços Hospitalares S.A. and 12.729% owned by COIPAR Participações S.A.
- (6) UnitedHealth Group Information Services Private Limited is 100% owned by Optum Global Solutions (India) Private Limited. UnitedHealth International, Inc. holds 10 shares as a nominee shareholder.
- (7) UnitedHealthcare India Private Limited is 99.9935% owned by UnitedHealthcare International II B.V. and 0.0065% owned by UnitedHealth International, Inc.
- (8) General partnership interests are held by United HealthCare Services, Inc. (89.77%) and by UnitedHealthcare, Inc. (10.23%). United HealthCare Services, Inc. also holds 100% of the limited partnership interests. When combining general and limited partner interests, United HealthCare Services, Inc. owns 94.18% and UnitedHealthcare, Inc. owns 5.83%.
- (9) Branch office located in Abu Dhabi, UAE.
- (10) WellMed Medical Management, Inc. is 80% owned by Collaborative Care Holdings, LLC and 20% owned by WMG Healthcare Partners, L.P.
- (11) Seisa Serviços Integrados de Saúde Ltda is 99.99% owned by Amil Assistência Médica Internacional S.A. and 0.000007% owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
- (12) Optum Health & Technology (India) Private Limited is 99.996% owned by OptumHealth International B.V. and 0.004 % owned by United Behavioral Health.
- (13) INSPIRIS of Texas Physician Group is a Texas non-profit (taxable) whose sole member is Inspiris Services Company.
- (14) PrimeCare of Citrus Valley, Inc. is 80% owned by PrimeCare Medical Network, Inc. and 20% owned by Citrus Valley Medical Associates, Inc.
- (15) Optum Clinics Holdings, Inc. is 97.2% owned by Collaborative Care Holdings, LLC and 2.8% is owned by external shareholders.
- (16) Optum Global Solutions (India) Private Limited is 99.9% owned by Optum Global Solutions International B.V. and 0.1% owned by UnitedHealth International, Inc.
- (17) Amico Saúde Ltda. is 98.87947% owned by Amil Assistência Médica Internacional S.A. and 1.12053% owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
- (18) Esho – Empresa de Serviços Hospitalares S.A. is 99.224% owned by Amil Assistência Médica Internacional S.A.and 0.0340693% owned by Treasury Shares and .7409758% owned by external shareholders.
- (19) Etho – Empresa de Tecnologia Hospitalar Ltda. 71.91% owned by Amil Assistência Médica Internacional S.A.and 28.08% owned by an external shareholder.
- (20) Orthology, Inc. is 80% owned by UnitedHealth Group Ventures, LLC and 20% owned by external shareholders.
- (21) Medalliance Net Ltda. is owned 99.999985% by Optum Health & Technology Serviços do Brasil Ltda. and 0.000015% by UHG Brasil Participações S.A.

### Notes

- (22) Branch offices in Iraq and Uganda.
- (23) Cemed Care Empresa de Atendimento Clínico Geral Ltda. Is 99.9999995% owned by Amil Assistência Médica Internacional S.A. and 0.000005% owned by Amico Saúde Ltda.
- (24) Optum 360, LLC is 69% owned by Optum Rocket, Inc; the remaining 31% is owned by external holders.
- (25) Optum Health & Technology Serviços do Brasil Ltda. is 99.9964% owned by Optum Global Solutions International B.V. and .0036% owned by OptumInsight, Inc.
- (26) Bosque Medical Center Ltda. is 77.55428% owned by Amil Assistência Médica Internacional S.A. and 22.44572% owned by Esho – Empresa de Servicos Hospitalares S.A.
- (27) AHJV, Inc. is 75% owned by NAMM Holdings, Inc. and 25% owned by Humana, Inc.
- (28) Real Appeal, Inc. is majority-owned by UHG or one of its affiliates and the remaining 2% is owned by Real Appeal Management.
- (29) Optum Global Solutions (Philippines), Inc. is 99.992% owned by Optum Global Solutions International B.V., and the remaining 0.008% is held by the company's directors.
- (30) Amil Clinical Research Participações Ltda. is 99.95% owned by Amil Lifesciences Participações Ltda. and 0.05% owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
- (31) Imed Star Serviços de Desempenho Organizacional Ltda. is 99.99998% owned by Optum Health & Technology Serviços do Brasil Ltda and 0.00002% owned by UHG Brasil Participações S.A.
- (32) Hospital de Clínicas de Jacarepaguá Ltda. is 99.99% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.01% is owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
- (33) Hospital Alvorada Taguatinga Ltda. is 79.62822% owned by Amil Assistência Médica Internacional S.A. 20.37178% by Bosque Medical Center Ltda.
- (34) Amil Lifesciences Participações Ltda. Is 99.999669% owned by Amil Assistência Médica Internacional S.A and 0.000331% owned by Cemed Care Empresa de Atendimento Clínico Geral Ltda.
- (35) FrontierMEDEX Kenya Limited is 99.9% owned by Frontier MEDEX Limited and 0.1% owned by UnitedHealthcare International I B.V.
- (36) Optum360 Services, Inc. is 69% owned by Optum Rocket, Inc. and 31% owned by two external interest holders.
- (37) The limited partners of UnitedHealth Group International, L.P. include FMG Holdings, LLC (14.8145%), Hygeia Corporation (DE) (0.2012%) and UnitedHealth Group Incorporated (84.9843%). UnitedHealth Group International GP is the general partner of UnitedHealth Group International, L.P.
- (38) Polar II Fundo de Investimento em Participações is a Brazilian private equity investment fund incorporated in the form of a closed-end condominium.
- (39) UnitedHealthcare International III S.á.r.l. is 69.99% owned by UnitedHealthcare Europe S.á.r.l. and 30.001% owned by UnitedHealthcare International II S.á.r.l.
- (40) Amil Assistência Médica Internacional S.A. is 90.23% owned by Polar II Fundo de Investimento em Participações and the remaining 9.77% is owned by the former controlling shareholders of Amil Assistência Médica Internacional S.A.
- (41) Lusíadas A.C.E. is 70% owned by Lusíadas, SGPS, S.A., 10% owned by Lusíadas – Parcerias Cascais, S.A., and 20% owned by Lusíadas, S.A.
- (42) Centro Médico PJ Ltda. is 99.99% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.01% is owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
- (43) Frontier Medex Tanzania Limited is 99% owned by Frontier MEDEX Limited. The remaining 1% is owned by a former officer of Frontier MEDEX Limited and is being transferred to UnitedHealthcare International I BV.
- (44) Optum Solutions do Brasil – Tecnologia e Serviços de Suporte Ltda., is 99.999998% owned by Optum Global Solutions International B.V. and 0.00002% owned by OptumHealth International B.V.
- (45) Multiangio Ltda. is 68% owned by Esho – Empresa de Serviços Hospitalares S.A. and the remaining 32% is owned by external shareholders.
- (46) Polo Holdco, LLC is 80.1% owned by Collaborative Care Holdings, LLC and the remaining 19.9% being owned by an outside third party.
- (47) Excellion Serviços Biomédicos Ltda is 99.99997% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.000003% is owned by Cemed Care - Empresa de Atendimento Clínico Geral Ltda.
- (48) Hospital Samaritano de São Paulo Ltda. is 99.999998% owned by Esho – Empresa de Serviços Hospitalares S.A. and the remaining 0.000002% is owned by Hospital Alvorada Taguatinga Ltda.
- (49) Branch office located in Hong Kong.
- (50) Dental Center Serviços Odontológicos Ltda. is 100% owned by Seisa Servicos Integrados de Saúde Ltda.
- (51) Hospital AMA S.A. is 50.48% owned by Esho – Empresa de Serviços Hospitalares S.A. and 49.52% owned by Seisa Serviços Integrados de Saúde Ltda.
- (52) WESTMED Practice Partners LLC is 86.15% owned by Collaborative Care Holdings, LLC and 13.85% owned by external shareholders.
- (53) ProHEALTH Medical Management, LLC is 80% owned by Collaborative Care Holdings, LLC and 20% owned by an external shareholder.
- (54) ProHEALTH Fitness of Lake Success, LLC is 82.62% owned by ProHEALTH Medical Management, LLC and 17.38% by an external shareholder.
- (55) Hospital Maternidade Promoter Ltda is 99.99% owned by Esho – Empresa de Servicos Hospitalares S.A. and 0.00006% owned by Seisa Serviços Integrados de Saúde Ltda.
- (56) Hospital Geral e Maternidade Madre Maria Theodora Ltda. is 99.9999% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.00001% owned by Cemed Care Empresa de Atendimento Clínico Geral Ltda.
- (57) Angiografia e Hemodinâmica Madre Theodora Ltda. is 50% owned by Hospital Geral e Maternidade Madre Maria Theodora Ltda. and 50% owned by 28 individual partners.
- (58) Guardian Health Systems Limited Partnership is 99.95% owned by AxelaCare Holdings, Inc. with the remaining 0.05% interest as a limited partner being held by AxelaCare Health Solutions, LLC.
- (59) TeamMD Holdings, Inc., a Delaware corporation, was formed as an 80% owned subsidiary of UnitedHealth Group Ventures, LLC, a Delaware limited liability company. The remaining 20% is owned by external shareholders.
- (60) Hospitais Associados de Pernambuco Ltda. is 90% owned by Esho – Empresa de Serviços Hospitalares S.A. and 10% is owned by an external shareholder
- (61) Topimagem Diagnóstico por Imagem Ltda.is 89% owned by Esho – Empresa de Serviços Hospitalares S.A., and the remaining 11% interest is owned by external shareholders.
- (62) Dilab – Medicina Nuclear Ltda is 85% owned by Esho – Empresa de Serviços Hospitalares S.A. and the remaining 15% is owned by external shareholders.

## STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.....0000 .....	98-1308130 .....		0001363851 .....		1070715 B.C. Unlimited Liability Company .....	.CAN.	.NIA.	OptumRx Group Holdings, Inc. ....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	27-2624551 .....				310 Canyon Medical, LLC .....	.CA.	.NIA.	Monarch Management Services, Inc. ....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	02-0653265 .....				Access I.V., LLC .....	.CA.	.NIA.	SCP Specialty Infusion, LLC .....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	41-1913523 .....				ACN Group IPA of New York, Inc. ....	.NY.	.NIA.	OptumHealth Care Solutions, Inc. ....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	27-0015861 .....				ACN Group of California, Inc. ....	.CA.	.IA.	OptumHealth Care Solutions, Inc. ....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	11-3485985 .....				Advanced Care Pharmacy, Inc. ....	.NY.	.NIA.	Advanced Care, Inc. ....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	11-2997132 .....				Advanced Care, Inc. ....	.NY.	.NIA.	AxelaCare Holdings, Inc. ....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	38-3849068 .....				AHJV MSO, Inc. ....	.DE.	.NIA.	AHJV, Inc. ....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	38-3849066 .....				AHJV, Inc. ....	.DE.	.NIA.	NAMM Holdings, Inc. ....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	22-3493126 .....		0001461390 .....		Alere Health Improvement Company .....	.DE.	.NIA.	Alere Health, LLC .....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	26-2564744 .....		0001460933 .....		Alere Health, LLC .....	.DE.	.NIA.	OptumHealth Care Solutions, Inc. ....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	58-1873062 .....		0001156286 .....		Alere of New York, Inc. ....	.NY.	.NIA.	Optum Women's and Children's Health, LLC .....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	20-0231080 .....		0001355945 .....		Alere Wellbeing, Inc. ....	.DE.	.NIA.	Alere Health, LLC .....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	54-1776557 .....		0001460931 .....		Alere Wellology, Inc. ....	.DE.	.NIA.	Alere Health, LLC .....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
0707	UnitedHealth Group Incorporated .....	82406 .....	35-1665915 .....				All Savers Insurance Company .....	.IN.	.IA.	Golden Rule Financial Corporation .....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
0707	UnitedHealth Group Incorporated .....	73130 .....	35-1744596 .....				All Savers Life Insurance Company of California .....	.CA.	.IA.	Golden Rule Financial Corporation .....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	55-0802777 .....				Ambient Healthcare of Central Florida, Inc. ....	.FL.	.NIA.	Ambient Healthcare, Inc. ....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	55-0802779 .....				Ambient Healthcare of Georgia, Inc. ....	.GA.	.NIA.	Ambient Healthcare, Inc. ....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	20-4881413 .....				Ambient Healthcare of Northeast Florida, Inc. ....	.FL.	.NIA.	Ambient Healthcare, Inc. ....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	33-1012700 .....				Ambient Healthcare of S. Florida, Inc. ....	.FL.	.NIA.	Ambient Healthcare, Inc. ....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	55-0802774 .....				Ambient Healthcare of West Florida, Inc. ....	.FL.	.NIA.	Ambient Healthcare, Inc. ....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	65-1095227 .....				Ambient Healthcare, Inc. ....	.FL.	.NIA.	Ambient Holdings, Inc. ....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	45-2161438 .....				Ambient Holdings, Inc. ....	.DE.	.NIA.	AxelaCare Holdings, Inc. ....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	26-3738273 .....				Ambient Nursing Services, Inc. ....	.FL.	.NIA.	Ambient Healthcare, Inc. ....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	54-1743136 .....		0001122263 .....		AmeriChoice Corporation .....	.DE.	.UIP.	UnitedHealth Group Incorporated .....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	54-1743141 .....				AmeriChoice Health Services, Inc. ....	.DE.	.NIA.	AmeriChoice Corporation .....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
0707	UnitedHealth Group Incorporated .....	95497 .....	22-3368602 .....				AmeriChoice of New Jersey, Inc. ....	.NJ.	.IA.	AmeriChoice Corporation .....	Ownership.....	.100.00 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	98-1108620 .....				Amico Saúde Ltda. ....	.BRA.	.NIA.	Amil Assistência Médica Internacional S.A. ....	Ownership.....	.98.879 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	98-1108620 .....				Amico Saúde Ltda. ....	.BRA.	.NIA.	Cemed Care – Empresa de Atendimento Clínico Geral Ltda. ....	Ownership.....	.1.121 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....			0001447698 .....		Amil Assistência Médica Internacional S.A. ....	.BRA.	.NIA.	Polar II Fundo de Investimento em Participações .....	Ownership.....	.90.230 .....	UnitedHealth Group Incorporated .....	1
		.....0000 .....	98-1109085 .....				Amil Clinical Research Participações Ltda. ....	.BRA.	.NIA.	Esho – Empresa de Serviços Hospitalares S.A. ....	Ownership.....	.99.950 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	98-1109085 .....				Amil Clinical Research Participações Ltda. ....	.BRA.	.NIA.	Cemed Care – Empresa de Atendimento Clínico Geral Ltda. ....	Ownership.....	.0.050 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	98-1138212 .....				AMIL International .....	.LUX.	.NIA.	Amil Assistência Médica Internacional S.A. ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	98-1110579 .....				Amil Lifesciences Participações Ltda. ....	.BRA.	.NIA.	Amil Assistência Médica Internacional S.A. ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	98-1110579 .....				Amil Lifesciences Participações Ltda. ....	.BRA.	.NIA.	Cemed Care – Empresa de Atendimento Clínico Geral Ltda. ....	Ownership.....	.0.000 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	98-1210271 .....				Angiografia e Hemodinâmica Madre Theodora Ltda. ....	.BRA.	.NIA.	Esho – Empresa de Serviços Hospitalares S.A. ....	Ownership.....	.50.000 .....	UnitedHealth Group Incorporated .....	1
		.....0000 .....	27-2068687 .....				AppleCare Medical Management, LLC .....	.DE.	.NIA.	Collaborative Care Holdings, LLC .....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....0000 .....	26-4312858 .....				ARC Infusion, LLC .....	.CA.	.NIA.	SCP Specialty Infusion, LLC .....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	

## STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership	Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000	86-0813232				Arizona Physicians IPA, Inc.	.AZ	.IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	90-0369702				ASI Global, LLC	.TX	.NIA	FrontierMEDEX, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	26-3878957				AssuranceRx, LLC	.AL	.NIA	BriovaRx, LLC	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	47-0990056	0001529111			Audax Health Solutions, LLC	.DE	.NIA	Rally Health, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	80-0368187				Aveta Arizona, Inc.	.AZ	.NIA	NAMM Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	90-0632302				Aveta Health Solutions Inc.	.DE	.NIA	NAMM Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	20-4057813	0001355487			Aveta Inc.	.DE	.NIA	Collaborative Care Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	27-2823524				Aveta Kansas City, Inc.	.KS	.NIA	NAMM Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	36-4704309				Aveta Tennessee, Inc.	.DE	.NIA	NAMM Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	26-2565032				AxelaCare Health Solutions, LLC	.DE	.NIA	AxelaCare Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	27-3918706	0001507722			AxelaCare Holdings, Inc.	.DE	.NIA	AxelaCare, LLC	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	30-0842394				AxelaCare Intermediate Holdings, LLC	.DE	.NIA	OptumRx, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	61-1708598				AxelaCare, LLC	.DE	.NIA	AxelaCare Intermediate Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	88-0267857				Behavioral Healthcare Options, Inc.	.NV	.NIA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	98-1112673				Bosque Medical Center Ltda.	.BRA	.NIA	Amil Assistência Médica Internacional S.A.	Ownership	.77.554	UnitedHealth Group Incorporated		
		.00000	98-1112673				Bosque Medical Center Ltda.	.BRA	.NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	.22.446	UnitedHealth Group Incorporated		
		.00000	11-3647935				BriovaRx of Florida, Inc.	.DE	.NIA	OptumRx PBM of Illinois, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	27-1930321				BriovaRx of Georgia, LLC	.GA	.NIA	BriovaRx, LLC	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	27-2348504				BriovaRx of Hawaii, LLC	.HI	.NIA	OptumRx PBM of Illinois, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	46-2731176				BriovaRx of Indiana, LLC	.IN	.NIA	OptumRx PBM of Illinois, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	46-2790537				BriovaRx of Louisiana, LLC	.LA	.NIA	BriovaRx, LLC	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	01-0516051	0001601630			BriovaRx of Maine, Inc.	.ME	.NIA	OptumRx PBM of Illinois, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	27-3331130				BriovaRx of Massachusetts, LLC	.MA	.NIA	OptumRx PBM of Illinois, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	45-2532834				BriovaRx of Nevada, LLC	.NV	.NIA	OptumRx PBM of Illinois, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	74-3103518				BriovaRx of New York, Inc.	.NY	.NIA	Salveo Specialty Pharmacy, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	20-2719823				BriovaRx of Texas, Inc.	.TX	.NIA	BriovaRx of Florida, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	55-0824381	0001601629			BriovaRx, LLC	.AL	.NIA	BriovaRx of Maine, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	46-1981651				Cardio Management, Inc.	.DE	.NIA	OrthoNet Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	20-8375685				Care Improvement Plus Group Management, LLC	.MD	.NIA	XLHealth Corporation	Ownership	.100.000	UnitedHealth Group Incorporated		
		.0707	UnitedHealth Group Incorporated	.12558	45-4976934		Care Improvement Plus of Texas Insurance Company	.TX	.IA	XLHealth Corporation	Ownership	.100.000	UnitedHealth Group Incorporated		
		.0707	UnitedHealth Group Incorporated	.12567	20-3888112		Care Improvement Plus South Central Insurance Company	.AR	.IA	XLHealth Corporation	Ownership	.100.000	UnitedHealth Group Incorporated		
		.0707	UnitedHealth Group Incorporated	.14041	27-5038136		Care Improvement Plus Wisconsin Insurance Company	.WI	.IA	XLHealth Corporation	Ownership	.100.000	UnitedHealth Group Incorporated		
				.00000	98-1328471		Casa de Saúde Santa Therezinha S.A.	.BRA	.NIA	Hospital Alvorada de Taguatinga Ltda.	Ownership	.100.000	UnitedHealth Group Incorporated		
				.00000	20-5807941		Catalyst360, LLC	.DE	.NIA	Optum Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
				.00000	46-0666840	0001600768	Catamaran Health Solutions, LLC	.DE	.NIA	OptumRx Administrative Services, LLC	Ownership	.100.000	UnitedHealth Group Incorporated		
				.00000	80-0870454	0001600637	Catamaran Holdings I, LLC	.DE	.NIA	OptumRx Group Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
				.00000	20-0218027		Catamaran IPA III, Inc.	.NY	.NIA	Catamaran PBM of Illinois II, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
				.00000	27-4241298		Catamaran Mail, LLC	.DE	.NIA	Catamaran Health Solutions, LLC	Ownership	.100.000	UnitedHealth Group Incorporated		
				.00000	27-3419292		Catamaran of Pennsylvania, LLC	.DE	.NIA	Catamaran Health Solutions, LLC	Ownership	.100.000	UnitedHealth Group Incorporated		
				.00000	36-4049815	0001600742	Catamaran PBM of Illinois II, Inc.	.IL	.NIA	OptumRx PBM of Maryland, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
				.00000	26-1424534		Catamaran PBM of Puerto Rico, LLC	.NV	.NIA	OptumRx PBM of Maryland, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
				.00000	16-1767416		Catamaran PD of Pennsylvania, LLC	.PA	.NIA	Catamaran of Pennsylvania, LLC	Ownership	.100.000	UnitedHealth Group Incorporated		
				.00000	26-1438879		Catamaran PD of Puerto Rico, LLC	.NV	.NIA	OptumRx PBM of Maryland, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
				.00000	88-0373347		Catamaran Pharmacy of Nevada, Inc.	.NV	.NIA	Catamaran Health Solutions, LLC	Ownership	.100.000	UnitedHealth Group Incorporated		
				.00000	26-3633484	0001601643	Catamaran Rebate Management, Inc.	.NV	.NIA	OptumRx PBM of Maryland, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		

## STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domesticiliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Owner-ship	14 Provide Percen-tage	15 Ultimate Controlling Entity(ies)/Person(s)	*
		.00000	98-1069737		0001600973		Catamaran S.á.r.l.	LUX	N/A	OptumRx Group Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		.00000	26-0543382			Catamaran Senior Services, LLC	AL	N/A	Optum Hospice Pharmacy Services, LLC	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	98-1111491			Cemed Care - Empresa de Atendimento	BRA	N/A	Amil Assistência Médica Internacional S.A.	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	98-1111491			Cemed Care - Empresa de Atendimento	BRA	N/A	Amico Saúde Ltda.	Ownership	.00.000	UnitedHealth Group Incorporated			
		.00000	98-1310461			Clínico Geral Ltda.	BRA	N/A	Esho - Empresa de Serviços Hospitalares S.A.	Ownership	.99.990	UnitedHealth Group Incorporated			
		.00000	98-1310461			Centro Médico PJ Ltda.	BRA	N/A	Cemed Care - Empresa de Atendimento	Ownership	.010	UnitedHealth Group Incorporated			
		.00000	98-1310461			Centro Médico PJ Ltda.	BRA	N/A	Clínico Geral Ltda.	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	98-1094627			ChinaGate (Hong Kong) Limited	HKG	N/A	Optum, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	98-1094627			ChinaGate Company Limited	CHN	N/A	ChinaGate (Hong Kong) Limited	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	98-1277015			CMS - Central de Manipulação e Serviços Farmacêuticos S.A.	BRA	N/A	COI - Clínicas Oncológicas Integradas S.A.	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	56-2674371			Coachella Valley Physicians of PrimeCare, Inc.	CA	N/A	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	27-1193028			Coalition For Advanced Pharmacy Services, Inc.	DE	N/A	Catamaran Health Solutions, LLC	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	98-1276040			COI - Clínicas Oncológicas Integradas S.A.	BRA	N/A	COI Participações S.A.	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	98-1275801			COI Participações S.A.	BRA	N/A	Esho - Empresa de Serviços Hospitalares S.A.	Ownership	.87.270	UnitedHealth Group Incorporated			
		.00000	98-1275801			COI Participações S.A.	BRA	N/A	COI Participações S.A.	Ownership	.12.730	UnitedHealth Group Incorporated			
		.00000	27-2337616			Collaborative Care Holdings, LLC	DE	N/A	OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	27-2337487			Collaborative Care Services, Inc.	DE	N/A	Collaborative Care Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	27-3470466			Collaborative Care Solutions, LLC	DE	N/A	Collaborative Care Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	45-2614005			Collaborative Realty, LLC	NY	N/A	Collaborative Care Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	11-3647007			Comfort Care Transportation, LLC	TX	N/A	WellMed Medical Management, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	61-1351358			Commonwealth Administrators, LLC	KY	N/A	UMR, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	20-1825933			Connexions HCI, LLC	FL	N/A	Connexions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	59-3684411			Connexions, Inc.	FL	N/A	OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	20-5654789			Crescent Drug Corp.	NY	N/A	Salveo Specialty Pharmacy, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	26-0080565			Cypress Care, Inc.	DE	N/A	Healthcare Solutions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	52-1811176			Day-Op Surgery Consulting Company, LLC	DE	N/A	ProHEALTH Medical Management, LLC	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	52-1452809			DBP Services of New York IPA, Inc.	NY	N/A	Dental Benefit Providers, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	52-1452809			Dental Benefit Providers of California, Inc.	CA	IA	Dental Benefit Providers, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	52-1452809			Dental Benefit Providers of Illinois, Inc.	IL	IA	Dental Benefit Providers, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	41-2014834			Dental Benefit Providers, Inc.	DE	N/A	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	98-1285762			Dilab Medicina Nuclear Ltda.	BRA	N/A	Esho - Empresa de Serviços Hospitalares S.A.	Ownership	.85.000	UnitedHealth Group Incorporated	1		
		.00000	30-0238641			Distance Learning Network, Inc.	DE	N/A	OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	59-3625966			Duncan Printing Services, LLC	SC	N/A	UnitedHealthcare Insurance Company	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	84-1162764			DWIC of Tampa Bay, Inc.	FL	N/A	MedExpress Development, LLC	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	98-1103713			Electronic Network Systems, Inc.	DE	N/A	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000				ELG FZE	ARE	N/A	Frontier MEDEX Limited	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000				Empire Physician Management Co, LLC	CA	N/A	North American Medical Management California, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated			
		.00000	98-1111172			Esho - Empresa de Serviços Hospitalares S.A.	BRA	N/A	Amil Assistência Médica Internacional S.A.	Ownership	.99.225	UnitedHealth Group Incorporated	4		

## STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domesticity Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Owner-ship Provide Percent-age	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
		.....00000	98-1122399			Etho – Empresa de Tecnologia Hospitalar Ltda.	BRA	NIA		Amil Assistência Médica Internacional S.A.	Ownership	.71.910	UnitedHealth Group Incorporated	1
		.....00000	86-0964571			Evercare Collaborative Solutions, Inc.	DE	NIA		Ovations, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	98-1111239			Excellion Serviços Biomédicos Ltda.	BRA	NIA		Esho – Empresa de Serviços Hospitalares S.A.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	98-1111239			Excellion Serviços Biomédicos Ltda.	BRA	NIA		Cemed Care – Empresa de Atendimento Clínico Geral Ltda.	Ownership	.0.000	UnitedHealth Group Incorporated	
		.....00000	11-3669765			Executive Health Resources, Inc.	PA	NIA		OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	98-1259260			Exploration for Mine Clearance LLC	JRQ	NIA		Frontier MEDEX Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	88-0223385			Family Health Care Services	NV	NIA		Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	88-0257036			Family Home Hospice, Inc.	NV	NIA		Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	26-4106571			First Rx Specialty and Mail Services, LLC	DE	NIA		Catamaran Health Solutions, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	35-2456267			FMG Holdings, LLC	DE	NIA		UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	86-0908902			FOR HEALTH OF ARIZONA, INC.	AZ	NIA		For Health, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	33-0766617			For Health, Inc.	DE	NIA		Inspiris, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	98-1029201			Frontier MEDEX Limited	GBR	NIA		UnitedHealthcare International I B.V.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	98-1172769			Frontier Medex Tanzania Limited	TZA	NIA		Frontier MEDEX Limited	Ownership	.99.000	UnitedHealth Group Incorporated	2
		.....00000	68-0679514			FrontierMEDEX (RMS), Inc.	DE	NIA		FMG Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	45-5339512			FrontierMEDEX Government Services, LLC	DE	NIA		FMG Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	98-1147103			FrontierMEDEX Kenya Limited	KEN	NIA		Frontier MEDEX Limited	Ownership	.99.900	UnitedHealth Group Incorporated	
		.....00000	98-1147103			FrontierMEDEX Kenya Limited	KEN	NIA		UnitedHealthcare International I B.V.	Ownership	.0.100	UnitedHealth Group Incorporated	
		.....00000	98-1101521			FrontierMEDEX Limited	JRQ	NIA		Frontier MEDEX Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	33-1219808			FrontierMEDEX US, Inc.	DE	NIA		FMG Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	52-2230470			FrontierMEDEX, Inc.	MN	NIA		FrontierMEDEX US, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	37-0920164			gethealthinsurance.com Agency Inc.	IN	NIA		Golden Rule Financial Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	20-3420886			Golden Outlook, Inc.	CA	NIA		Collaborative Care Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	37-0855360			Golden Rule Financial Corporation	DE	NIA		UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	.....62286	37-6028756	3057283		Golden Rule Insurance Company	IN	IA		Golden Rule Financial Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	75-2196224			Guardian Health Systems Limited				AxelaCare Holdings, Inc.	Ownership	.99.950	UnitedHealth Group Incorporated	
		.....00000	75-2196224			Partnership	OK	NIA						
		.....00000	75-2196224			Guardian Health Systems Limited				AxelaCare Holdings, Inc.	Ownership	.0.050	UnitedHealth Group Incorporated	
		.....00000	98-0213198			Partnership	OK	NIA		AxelaCare Health Solutions, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	.....79480	35-1279304			H&W Indemnity (SPC), Ltd.	CYM	NIA		UnitedHealth Group Incorporated	Ownership			
		.....00000	23-2171049			Harken Health Insurance Company	WI	IA		UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	13-3584296			Health Business Systems, Inc.	PA	NIA		OptumRx Administrative Services, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	.....43893	98-0153069			Health Net Insurance of New York, Inc.	NY	IA		Oxford Health Plans LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	98-0153069			Health Net Services (Bermuda) Ltd.	BMU	NIA		Oxford Health Plans LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	.....96342	88-0201035			Health Plan of Nevada, Inc.	NV	IA		Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	98-1098167			Health Technology Analysts Pty Limited	AUS	NIA		OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	95-4763349			HealthAllies, Inc.	DE	NIA		OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	77-0693060			Healthcare Solutions, Inc.	DE	NIA		OptumRx Administrative Services, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	84-1472832			Highlands Ranch Healthcare, LLC	CO	NIA		Urgent Care MSO, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	93-1103256			Home Care I.V. of Bend, LLC	OR	NIA		SCP Specialty Infusion, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	27-0668812			Home Infusion With Heart, LLC	NE	NIA		AxelaCare Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	20-8910978			Hospice Inspiris Holdings, Inc.	TN	NIA		Inspiris, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.....00000	98-1286038			Hospitais Associados de Pernambuco Ltda.	BRA	NIA		Esho – Empresa de Serviços Hospitalares S.A.	Ownership	.90.000	UnitedHealth Group Incorporated	1
		.....00000	98-1111920			Hospital Alvorada de Taguatinga Ltda.	BRA	NIA		Amil Assistência Médica Internacional S.A.	Ownership	.79.628	UnitedHealth Group Incorporated	
		.....00000	98-1111920			Hospital Alvorada de Taguatinga Ltda.	BRA	NIA		Bosque Medical Center Ltda.	Ownership	.20.372	UnitedHealth Group Incorporated	

## STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

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		.....00000	98-1202916			Hospital AMA S.A. ....		BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A. ....	Ownership.....	.50.480 .....	UnitedHealth Group Incorporated .....	
		.....00000	98-1202916			Hospital AMA S.A. ....		BRA	NIA	Seisa Serviços Integrados de Saúde Ltda. ....	Ownership.....	.49.520 .....	UnitedHealth Group Incorporated .....	
		.....00000	98-1203135			Hospital Carlos Chagas S.A. ....		BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A. ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	98-1310065			Hospital de Clínicas de Jacarepaguá Ltda. ....		BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A. ....	Ownership.....	.99.990 .....	UnitedHealth Group Incorporated .....	
		.....00000	98-1310065			Hospital de Clínicas de Jacarepaguá Ltda. ....		BRA	NIA	Cemed Care – Empresa de Atendimento Clínico Geral Ltda. ....	Ownership.....	.010 .....	UnitedHealth Group Incorporated .....	
		.....00000	98-1284950			Hospital Maternidade Promater Ltda. ....		BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A. ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	98-1284950			Hospital Maternidade Promater Ltda. ....		BRA	NIA	Seisa Serviços Integrados de Saúde Ltda. ....	Ownership.....	.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	98-1328324			Hospital Samaritano de São Paulo Ltda. ....		BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A. ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	98-1328324			Hospital Samaritano de São Paulo Ltda. ....		BRA	NIA	Hospital Alvorada de Taguatinga Ltda. ....	Ownership.....	.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	26-2912304		0001441730	Humedica, Inc. ....		DE	NIA	United HealthCare Services, Inc. ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	36-4331825			Hygeia Corporation ....		DE	NIA	UnitedHealth International, Inc. ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	98-1099968			Hygeia Corporation ....		CAN	NIA	UnitedHealth Group International L.P. ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000				Imed Star – Serviços de Desempenho Organizacional Ltda. ....		BRA	NIA	Optum Health & Technology Serviços do Brasil Ltda. ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000				Imed Star – Serviços de Desempenho Organizacional Ltda. ....		BRA	NIA	UHG Brasil Participações S.A. ....	Ownership.....	.000 .....	UnitedHealth Group Incorporated .....	
		.....00000				Impel Consulting Experts, L.L.C. ....		TX	NIA	Impel Management Services, L.L.C. ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000				Impel Management Services, L.L.C. ....		TX	NIA	USMD Holdings, Inc. ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	47-0941801			Infusource, LLC ....		CA	NIA	SCP Specialty Infusion, LLC ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	62-1641102			Ingram & Associates, LLC ....		TN	NIA	Optum360, LLC ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	88-0482274			inPharmative, Inc. ....		NV	NIA	Catamaran Health Solutions, LLC ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	13-4138668			INSPIRIS of New York IPA, Inc. ....		NY	NIA	Inspiris, Inc. ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	13-4138665			INSPIRIS of New York Management, Inc. ....		NY	NIA	Inspiris, Inc. ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	20-5355196			Inspiris of Tennessee, Inc. ....		TN	NIA	Inspiris, Inc. ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	26-2885572			INSPIRIS of Texas Physician Group ....		TX	NIA	Inspiris Services Company ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	26-0683057			Inspiris Services Company ....		TN	NIA	Inspiris, Inc. ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	33-0766366			International Psychological Services Pty Limited ....		DE	NIA	Collaborative Care Holdings, LLC ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	98-1097022			IRX Financing I LLC ....		AUS	NIA	Optum Health & Technology (Australia) Pty Ltd ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	57-1089983			IV Specialists, Inc. ....		DE	NIA	OptumRx Administrative Services, LLC ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	32-0409538			Lifeprint Accountable Care Organization, LLC ....		SC	NIA	Ambient Healthcare, Inc. ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	45-3143218			Lifeprint East, Inc. ....		DE	NIA	Collaborative Care Holdings, LLC ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	27-2309024			LifePrint Health, Inc. ....		DE	NIA	Collaborative Care Holdings, LLC ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	39-1974851			Logistics Health, Inc. ....		WI	NIA	OptumHealth Holdings, LLC ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	98-1137620			Lusíadas – Parcerias Cascais, S.A. ....		PRT	NIA	Lusíadas, SGPS, S.A. ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	98-1139095			Lusíadas A.C.E. ....		PRT	NIA	Lusíadas, SGPS, S.A. ....	Ownership.....	.70.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	98-1139095			Lusíadas A.C.E. ....		PRT	NIA	Lusíadas, S.A. ....	Ownership.....	.20.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	98-1139095			Lusíadas A.C.E. ....		PRT	NIA	Lusíadas – Parcerias Cascais, S.A. ....	Ownership.....	.10.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	98-1139089			Lusíadas, S.A. ....		PRT	NIA	Lusíadas, SGPS, S.A. ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	
		.....00000	98-1138570			Lusíadas, SGPS, S.A. ....		PRT	NIA	Amil International ....	Ownership.....	.100.000 .....	UnitedHealth Group Incorporated .....	

**STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.**

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0707	UnitedHealth Group Incorporated	00000	98-1315536			Mamoco – Mamografia e Ecografia, Centro de Diagnóstico, Lda.	PRT	NIA	Lusíadas, S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	52-2129787			MAMSI Insurance Resources, LLC	MD	NIA	OneNet PPO, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		60321	52-1803283			MAMSI Life and Health Insurance Company	MD	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	14-1782475			Managed Physical Network, Inc.	NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	20-2880404			March Holdings, Inc.	CA	NIA	Specialty Benefits, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	20-3042852			March Vision Care, Inc.	CA	IA	March Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000				Mat-Rx Development, L.L.C.	TX	NIA	USMD Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000				Mat-Rx Fort Worth GP, L.L.C.	TX	NIA	Mat-Rx Development, L.L.C.	Ownership	100.000	UnitedHealth Group Incorporated		
						North American Medical Management								
		00000	42-1741594			MD Ops, Inc.	CA	NIA	California, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
0707	UnitedHealth Group Incorporated	96310	52-1169135			MD-Individual Practice Association, Inc.	MD	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000				Medalliance Net Ltda.	BRA	NIA	Optum Health & Technology Serviços do Brasil Ltda.			100.000	UnitedHealth Group Incorporated	
		00000				Medalliance Net Ltda.	BRA	NIA	UHG Brasil Participações S.A.	Ownership	0.000	UnitedHealth Group Incorporated		
		00000	52-2178531			MEDEX Insurance Services, Inc.	MD	NIA	FrontierMEDEX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	20-3824377			MedExpress Development, LLC	FL	NIA	Urgent Care MSO, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		00000				MedExpress Urgent Care of Boynton Beach, LLC	FL	NIA	MedExpress Development, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	20-2545363			MedExpress Urgent Care, Inc. - Ohio	OH	NIA	Urgent Care Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		12756	20-3391186			Medica Health Plans of Florida, Inc.	FL	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		12155	01-0788576			Medica HealthCare Plans, Inc.	FL	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000				Medical Clinic of North Texas PLLC	TX	NIA	USMD Affiliated Services	Ownership	100.000	UnitedHealth Group Incorporated		
0707	UnitedHealth Group Incorporated	00000	26-4808018			Medical Preparatory School of Allied Health, LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	32-0037402			Medical Transportation Services, LLC	FL	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	75-2682287			MedSynergies North Texas, Inc.	TX	NIA	MedSynergies, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	75-2515691	0001012564		MedSynergies, Inc.	DE	NIA	Mustang Razorback Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	27-2252446			Metro 1 Stone Management Ltd.	TX	NIA	USMD Inc.	Ownership	60.000	UnitedHealth Group Incorporated	1	
		00000	20-4515146			MHC Real Estate Holdings, LLC	CA	NIA	Monarch Management Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	46-3949765			Mission Road Pharmacy, Inc.	CA	NIA	Salveo Specialty Pharmacy, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000				MN Waypoint Sports Physical Therapy, Inc.	DE	NIA	Orthology, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	31-1191553			Mobile Medical Professionals, Inc.	IA	NIA	TeamMD Iowa, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	45-3142852			Modern Medical, Inc.	OH	NIA	Healthcare Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
0707	UnitedHealth Group Incorporated	00000				Monarch Management Services, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		00000				MSLA Management LLC	DE	NIA	Logistics Health, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	98-1285432			Multiangio Ltda.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	68.000	UnitedHealth Group Incorporated	1	
		00000	47-1935798			Mustang Razorback Holdings, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000				MXMD Centros De Cancer, S. De R.L. De C.V.								
		00000	20-3236839			NAMM Holdings, Inc.	DE	NIA	USMD Cancer Treatment Centers, L.L.C.	Ownership	50.000	UnitedHealth Group Incorporated		
		95251	76-0196559			National Pacific Dental, Inc.	TX	IA	Aveta Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		95123	65-0996107			Neighborhood Health Partnership, Inc.	FL	IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	20-4755277			Netwerkes, LLC	TN	NIA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	46-3584152			Nevada Medical Services LLC	NV	NIA	Payment Resolution Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
0707	UnitedHealth Group Incorporated	95758	88-0228572			Nevada Pacific Dental	NV	IA	Collaborative Care Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	36-3984647			North American Medical Management – Illinois, Inc.	IL	NIA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	33-0673955			North American Medical Management California, Inc.	TN	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domesticiliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Owner-ship Provide Percent-age	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
		.00000	88-0245121			Northern Nevada Health Network, Inc.	..NV..	.NIA..	Sierra Health Services, Inc.	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000	63-0954765			Nutritional/Parenteral Home Care of Decatur, Inc.	..AL..	.NIA..	Nutritional/Parenteral Home Care, Inc.	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000	63-0964525			Nutritional/Parenteral Home Care of Huntsville, Inc.	..AL..	.NIA..	Nutritional/Parenteral Home Care, Inc.	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000	63-1284325			Nutritional/Parenteral Home Care, Inc.	..AL..	.NIA..	Ambient Healthcare, Inc.	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000	52-2129786			OneNet PPO, LLC	..MD..	.NIA..	UnitedHealthcare Insurance Company	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000	52-1518174			Optimum Choice, Inc.	..MD..	.IA..	UnitedHealthcare Services, Inc.	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000	47-0858534	3202702		Optum Bank, Inc.	..UT..	.NIA..	OptumHealth Financial Services, Inc.	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000	36-3437660			Optum Biometrics, Inc.	..IL..	.NIA..	OptumHealth Care Solutions, Inc.	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000	45-3142512			Optum Clinical Services, Inc.	..DE..	.NIA..	Collaborative Care Holdings, LLC	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000	37-1782217		0001641013 ..	Optum Clinics Holdings, Inc.	..DE..	.NIA..	Collaborative Care Holdings, LLC	Ownership.....	.97.200	UnitedHealth Group Incorporated		1
		.00000	38-3969193			Optum Clinics Intermediate Holdings, Inc.	..DE..	.NIA..	Optum Clinics Holdings, Inc.	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000	98-1325466			Optum Global Finance (Ireland) Unlimited Company	..JRL..	.NIA..	Optum Global Solutions (Ireland) Limited	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000	98-1103015			Optum Global Solutions (India) Private Limited	..JND..	.NIA..	Optum Global Solutions International B.V.	Ownership.....	.99.900	UnitedHealth Group Incorporated		
		.00000	98-1103015			Optum Global Solutions (India) Private Limited	..JND..	.NIA..	UnitedHealth International, Inc.	Ownership.....	.0.100	UnitedHealth Group Incorporated		
		.00000	98-1307821			Optum Global Solutions (Ireland) Limited	..JRL..	.NIA..	UnitedHealthcare International II S.á.r.l.	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000	98-1097776			Optum Global Solutions (Philippines), Inc.	..PHL..	.NIA..	Optum Global Solutions International B.V.	Ownership.....	.99.992	UnitedHealth Group Incorporated		2
		.00000	98-1201187			Optum Global Solutions International B.V.	..NLD..	.NIA..	Optum Technology, Inc.	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000	04-3574101			Optum Government Solutions, Inc.	..DE..	.NIA..	OptumInsight, Inc.	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000				Optum Health & Technology (Australia) Pty Ltd	..AUS..	.NIA..	Optum UK Solutions Group Limited	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000				Optum Health & Technology (India) Private Limited	..JND..	.NIA..	OptumHealth International B.V.	Ownership.....	.99.996	UnitedHealth Group Incorporated		
		.00000	98-1095799			Optum Health & Technology (India) Private Limited	..JND..	.NIA..	United Behavioral Health	Ownership.....	.0.004	UnitedHealth Group Incorporated		
		.00000	98-1095799			Optum Health & Technology (Singapore) Pte. Ltd.	..SGP..	.NIA..	OptumHealth International B.V.	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000	98-1095879			Optum Health & Technology (UK) Limited	..GBR..	.NIA..	Optum UK Solutions Group Limited	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000	20-2149493			Optum Health & Technology (US), LLC	..MO..	.NIA..	United Behavioral Health	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000	43-1747235			Optum Health & Technology Holdings (US), LLC	..MO..	.NIA..	Optum, Inc.	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000	98-1184561			Optum Health & Technology Serviços do Brasil Ltda.	..BRA..	.NIA..	Optum Global Solutions International B.V.	Ownership.....	.99.996	UnitedHealth Group Incorporated		
		.00000	98-1184561			Optum Health & Technology Serviços do Brasil Ltda.	..BRA..	.NIA..	OptumInsight, Inc.	Ownership.....	.0.004	UnitedHealth Group Incorporated		
		.00000	98-1276517			Optum Health and Technology FZ-LLC	..ARE..	.NIA..	Optum Global Solutions International B.V.	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000	98-1097921			Optum Health Services (Canada) Ltd.	..CAN..	.NIA..	Optum Health & Technology Holdings (US), LLC	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000	98-1147355			Optum Health Solutions (UK) Limited	..GBR..	.NIA..	Optum UK Solutions Group Limited	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000	58-2068880		0001460656 ..	Optum Healthcare of Illinois, Inc.	..GA..	.NIA..	Optum Women's and Children's Health, LLC	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000	20-0212381			Optum Hospice Pharmacy Services, LLC	..DE..	.NIA..	Catamaran Health Solutions, LLC	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000	69647	31-0628424		Optum Insurance of Ohio, Inc.	..OH..	.IA..	OptumRx PBM of Maryland, Inc.	Ownership.....	.100.000	UnitedHealth Group Incorporated		
		.00000	46-4734521			Optum Labs Dimensions, Inc.	..DE..	.NIA..	Optum Labs, Inc.	Ownership.....	.100.000	UnitedHealth Group Incorporated		

## STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domestic- ciliary Loca- tion	10 Relation- ship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Owner- ship Provide Per- cen- tage	14 Ultimate Controlling Entity(ies)/Person(s)	15
		.....00000	98-1249178	.....	.....	Optum Labs International (UK) Ltd.	GBR	NIA	Optum, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	46-1615964	.....	.....	Optum Labs, Inc.	DE	NIA	Optum, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	98-1209730	.....	.....	Optum Life Sciences (Canada) Inc.	CAN	NIA	OptumInsight Life Sciences, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	98-1098190	.....	.....	Optum Management Consulting (Shanghai) Co., Ltd.	CHN	NIA	Optum Health & Technology Holdings (US), LLC	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	90-1001805	.....	.....	Optum Nevada Accountable Care Organization LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	20-8911466	.....	.....	Optum Palliative and Hospice Care of Pennsylvania, Inc.	TN	NIA	Hospice Inspiris Holdings, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	20-8911303	.....	.....	Optum Palliative and Hospice Care of Texas, Inc.	TN	NIA	Hospice Inspiris Holdings, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	30-0226127	.....	.....	Optum Palliative and Hospice Care, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	20-4581265	.....	.....	Optum Public Sector Solutions, Inc.	DE	NIA	OptumInsight, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	46-3328009	.....	.....	Optum Rocket, Inc.	DE	NIA	OptumInsight, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	45-4683454	.....	.....	Optum Services, Inc.	DE	NIA	Optum, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	.....	.....	.....	Optum Solutions da Brasil – Tecnologia e Serviços de Suporte Ltda.	BRA	NIA	Optum Global Solutions International B.V.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	.....	.....	.....	Optum Solutions do Brasil – Tecnologia e Serviços de Suporte Ltda.	BRA	NIA	OptumHealth International B.V.	Ownership.....	0.000	UnitedHealth Group Incorporated		
		.....00000	98-0644599	.....	.....	Optum Solutions UK Holdings Limited	GBR	NIA	Optum, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	46-5713629	.....	.....	Optum Technology, Inc.	DE	NIA	Optum, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	98-1097769	.....	0001460641 ..	Optum UK Solutions Group Limited	GBR	NIA	Optum Solutions UK Holdings Limited	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	58-2205984	.....	.....	Optum Women's and Children's Health, LLC	DE	NIA	Alere Health, LLC	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	30-0580620	3119994 ..	.....	Optum, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	46-3983926	.....	.....	Optum360 Services, Inc.	DE	NIA	Optum Rocket, Inc.	Ownership.....	.89.000	UnitedHealth Group Incorporated	1	
		.....00000	46-3328307	.....	.....	Optum360, LLC	DE	NIA	Optum Rocket, Inc.	Ownership.....	.75.000	UnitedHealth Group Incorporated	1	
		.....00000	41-1591944	.....	.....	OptumHealth Care Solutions, Inc.	MN	NIA	OptumHealth Holdings, LLC	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	47-0858530	.....	.....	OptumHealth Financial Services, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	47-1192395	.....	.....	OptumHealth Holdings, LLC	DE	NIA	Optum, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	98-1106868	.....	.....	OptumHealth International B.V.	NLD	NIA	Optum, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	04-3383745	.....	.....	OptumInsight Holdings, LLC	DE	NIA	Optum, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	41-1858498	.....	.....	OptumInsight, Inc.	DE	NIA	OptumInsight Holdings, LLC	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	75-2578509	.....	0001600762 ..	OptumRx Administrative Services, LLC	TX	NIA	Catamaran Holdings I, LLC	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	31-1728846	.....	.....	OptumRx Discount Card Services, LLC	DE	NIA	OptumRx PBM of Illinois, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	47-4734235	.....	.....	OptumRx Group Holdings, Inc.	DE	NIA	Optum, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	47-1734077	.....	.....	OptumRx Holdings, LLC	DE	NIA	Optum, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	OptumRx Home Delivery of Illinois, LLC	.....	.....	OptumRx Home Delivery of Illinois, LLC	IL	NIA	OptumRx PBM of Illinois, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	34-1472211	.....	.....	OptumRx Home Delivery of Ohio, Inc.	OH	NIA	First Rx Specialty and Mail Services, LLC	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	20-0151096	.....	.....	OptumRx NY IPA, Inc.	NY	NIA	OptumRx, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	11-2581812	.....	0001600760 ..	OptumRx PBM of Illinois, Inc.	DE	NIA	OptumRx Administrative Services, LLC	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	88-0361447	.....	0001600759 ..	OptumRx PBM of Maryland, Inc.	NV	NIA	Catamaran Health Solutions, LLC	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	03-0592263	.....	0001601641 ..	OptumRx PBM of Pennsylvania, LLC	PA	NIA	Catamaran of Pennsylvania, LLC	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	38-3693753	.....	.....	OptumRx PBM of Wisconsin, LLC	WI	NIA	OptumRx PBM of Illinois, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	61-1485410	.....	.....	OptumRx PD of Maryland, Inc.	NV	NIA	OptumRx PBM of Maryland, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	47-3146510	.....	.....	OptumRx Pharmacy, Inc.	DE	NIA	OptumRx, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	33-0441200	.....	.....	OptumRx, Inc.	CA	NIA	OptumRx Holdings, LLC	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	46-2881462	.....	.....	Orthology Mid-Atlantic, Inc.	DE	NIA	Orthology, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		
		.....00000	46-2742615	.....	0001342696 ..	Orthology, Inc.	DE	NIA	UnitedHealth Group Ventures, LLC	Ownership.....	.80.000	UnitedHealth Group Incorporated	1	
		.....00000	13-3960641	.....	.....	OrthoNet Holdings, Inc.	DE	NIA	OptumHealth Care Solutions, Inc.	Ownership.....	100.000	UnitedHealth Group Incorporated		

## STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000	13-3818652				OrthoNet LLC	.NY.	N/A	OrthoNet Holdings, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	13-4025898				OrthoNet New York IPA, Inc.	.NY.	N/A	OrthoNet Holdings, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	30-0029448				OrthoNet of the Mid-Atlantic, Inc.	.DE	IA	OrthoNet Holdings, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	26-2884306				OrthoNet of the South, Inc.	.DE	N/A	OrthoNet Holdings, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	46-1581769				OrthoNet Services, Inc.	.DE	N/A	OrthoNet Holdings, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	20-0221966				OrthoNet West, Inc.	.DE	N/A	OrthoNet Holdings, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	41-1921007				Ovations, Inc.	.DE	N/A	United HealthCare Services, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	06-1587795				Oxford Benefit Management, Inc.	.CT	N/A	Oxford Health Plans LLC	Ownership	.100.00	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	78026	22-2797560				Oxford Health Insurance, Inc.	.NY	IA	UnitedHealthcare Insurance Company	Ownership	.100.00	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	96798	06-1181201				Oxford Health Plans (CT), Inc.	.CT	IA	Oxford Health Plans LLC	Ownership	.100.00	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95506	22-2745725				Oxford Health Plans (NJ), Inc.	.NJ	IA	Oxford Health Plans LLC	Ownership	.100.00	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95479	06-1181200				Oxford Health Plans (NY), Inc.	.NY	IA	Oxford Health Plans LLC	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	52-2443751				Oxford Health Plans LLC	.DE	N/A	UnitedHealth Group Incorporated	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	46-3531389				P2 Lower Acquisition, LLC	.DE	N/A	Progressive Enterprises Holdings, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	70785	35-1137395				PaciFiCare Life and Health Insurance Company	.IN	IA	United HealthCare Services, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	84506	95-2829463				PaciFiCare Life Assurance Company	.CO	IA	United HealthCare Services, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95617	94-3267522	0001225819			PaciFiCare of Arizona, Inc.	.AZ	IA	United HealthCare Services, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95434	84-1011378	0001225822			PaciFiCare of Colorado, Inc.	.CO	IA	United HealthCare Services, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95685	86-0875231	0001225835			PaciFiCare of Nevada, Inc.	.NV	IA	United HealthCare Services, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	62-1451147				Payment Resolution Services, LLC	.TN	N/A	OptumInsight, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	75-3265056				PCCV, Inc.	.CA	N/A	PrimeCare Medical Network, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	20-2447772				PCN DE Corp.	.DE	N/A	OptumRx PBM of Illinois, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	68-0044962				Perham Physical Therapy, LTD.	.MN	N/A	Orthology, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	04-3722897				Pharmaceutical Care Network	.CA	N/A	PCN DE Corp.	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	35-2288416				Pharmacy Review Services, LLC	.FL	N/A	Tmesys, LLC	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	20-8016933				PHC Subsidiary Holdings, LLC	.TX	N/A	United HealthCare Services, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	20-8016984				PHS Holding Corp.	.DE	N/A	MedSynergies, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	80-0654665				PhyServe Holdings, Inc.	.DE	N/A	MedSynergies, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	11494	04-3677255				Physician Care Partners, Inc.	.IL	N/A	North American Medical Management - Illinois, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	00000	52-1162824				Physicians Health Choice of Texas, LLC	.TX	IA	PHC Subsidiary Holdings, LLC	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	13-3613705				Physicians Health Plan of Maryland, Inc.	.MD	N/A	United HealthCare Services, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	80-0670247				Plus One Health Management Puerto Rico, Inc.	.PR	N/A	Plus One Holdings, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	27-0290536				Plus One Holdings, Inc.	.DE	N/A	OptumHealth Care Solutions, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	26-3148744				PMI Acquisition, LLC	.DE	N/A	PROGRESSIVE SOLUTIONS, LLC	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	59-3166848				PMSI Holdco II, LLC	.DE	N/A	P2 Lower Acquisition, LLC	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	56-2422696				PMSI Holdings, LLC	.DE	N/A	PMSI Holdco II, LLC	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	98-1083164				PMSI Settlement Solutions, LLC	.FL	N/A	PMSI Holdings, LLC	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	47-5563848				PMSI, LLC	.FL	N/A	PMSI Holdings, LLC	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	75-2741619				Polar II Fundo de Investimento em Participações	.BRA	N/A	UnitedHealthcare International IV S.r.l.	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	65-0683927				Polo Holdco, LLC	.DE	N/A	Collaborative Care Holdings, LLC	Ownership	.80.100	UnitedHealth Group Incorporated	1
		.00000	26-1845018				ppoONE, Inc.	.DE	N/A	United HealthCare Services, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	11176	65-0885893				Preferred Care Partners Holding, Corp.	.FL	N/A	United HealthCare Services, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	75-3265059				Preferred Care Partners Medical Group, Inc.	.FL	N/A	Preferred Care Partners Holding, Corp.	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	88-0253112				Preferred Care Partners, Inc.	.FL	IA	Preferred Care Partners Holding, Corp.	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	11176	65-0885893			Premier Choice ACO, Inc.	.CA	N/A	PrimeCare Medical Network, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	
		.00000	26-1845018				Prime Health, Inc.	.NV	N/A	Sierra Health Services, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated	

## STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership	Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000	33-0607478				PrimeCare Medical Network, Inc.	.CA	.IA	NAMM Holdings, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	87-0757397				PrimeCare of Citrus Valley, Inc.	.CA	.NIA	PrimeCare Medical Network, Inc.	Ownership	.80.00	UnitedHealth Group Incorporated	1	
		00000	33-0674407				PrimeCare of Corona, Inc.	.CA	.NIA	PrimeCare Medical Network, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	33-0674401				PrimeCare of Hemet Valley, Inc.	.CA	.NIA	PrimeCare Medical Network, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	33-0674408				PrimeCare of Inland Valley, Inc.	.CA	.NIA	PrimeCare Medical Network, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	33-0674402				PrimeCare of Moreno Valley, Inc.	.CA	.NIA	PrimeCare Medical Network, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	33-0674400				PrimeCare of Redlands, Inc.	.CA	.NIA	PrimeCare Medical Network, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	33-0674404				PrimeCare of Riverside, Inc.	.CA	.NIA	PrimeCare Medical Network, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	14-1915328				PrimeCare of San Bernardino, Inc.	.CA	.NIA	PrimeCare Medical Network, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	33-0698439				PrimeCare of Sun City, Inc.	.CA	.NIA	PrimeCare Medical Network, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	33-0674409				PrimeCare of Temecula, Inc.	.CA	.NIA	PrimeCare Medical Network, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	14-1873402				Procura Management, Inc.	.DE	.NIA	Healthcare Solutions, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	27-4371197				Progressive Enterprises Holdings, Inc.	.DE	.NIA	OptumRx, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	31-1192384				Progressive Medical, LLC	.OH	.NIA	PMI Acquisition, LLC	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	90-0642980				PROGRESSIVE SOLUTIONS, LLC	.DE	.NIA	P2 Lower Acquisition, LLC	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	32-0229091				ProHEALTH Fitness of Lake Success, LLC	.NY	.NIA	ProHEALTH Medical Management, LLC	Ownership	.82.620	UnitedHealth Group Incorporated	1	
		00000	47-1049961				ProHEALTH Medical Management, LLC	.DE	.NIA	Collaborative Care Holdings, LLC	Ownership	.80.00	UnitedHealth Group Incorporated	1	
		00000	45-5470737				ProHealth Physicians ACO, LLC	.CT	.NIA	ProHealth Physicians, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	06-1446075				ProHealth Physicians, Inc.	.CT	.NIA	Polo Holdco, LLC	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	32-0455430				ProHealth Proton Center Management, LLC	.DE	.NIA	ProHEALTH Medical Management, LLC	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	57-0861358				Pronetics Health Care Group, Inc.	.SC	.NIA	Ambient Healthcare, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	52-2016292				Quality Software Services, Inc.	.MD	.NIA	OptumInsight, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	26-3168754				R&H Family Fitness Unlimited LLC	.TX	.NIA	WellMed Medical Management, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	35-2493256				Rally Health, Inc.	.DE	.NIA	Optum Services, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	80-0947972				Real Appeal, Inc.	.DE	.NIA	UnitedHealth Group Ventures, LLC	Ownership	.98.00	UnitedHealth Group Incorporated	2	
		00000	45-2219585		0001522802		Salveo Specialty Pharmacy, Inc.	.DE	.NIA	OptumRx Administrative Services, LLC	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	27-2635371				SCP Specialty Infusion, LLC	.DE	.NIA	AxelaCare Holdings, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	88-0492251				ScripNet, LLC	.DE	.NIA	Healthcare Solutions, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000					ScriptSwitch Limited	.GBR	.NIA	Optum UK Solutions Group Limited	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	98-1202716				Seisa Serviços Integrados de Saúde Ltda.	.BRA	.NIA	Anil Assistência Médica Internacional S.A.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	98-1202716				Seisa Serviços Integrados de Saúde Ltda.	.BRA	.NIA	Cemed Care - Empresa de Atendimento Clínico Geral Ltda.	Ownership	.0.00	UnitedHealth Group Incorporated		
		00000	20-4763091				Senior Care Partners, Inc.	.IL	.NIA	North American Medical Management - Illinois, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	27-1533951		0001487203		Serquinox Holdings LLC	.DE	.NIA	AxelaCare Holdings, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	27-1533840				Serquinox LLC	.DE	.NIA	Serquinox Holdings LLC	Ownership	.100.00	UnitedHealth Group Incorporated		
0707	UnitedHealth Group Incorporated	71420	94-0734860				Sierra Health and Life Insurance Company, Inc.	.NV	.IA	Sierra Health Services, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	88-0200415		00000754009		Sierra Health Services, Inc.	.NV	.NIA	UnitedHealthcare, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	88-0254322				Sierra Health-Care Options, Inc.	.NV	.NIA	Sierra Health Services, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	88-0385705				Sierra Home Medical Products, Inc.	.NV	.NIA	Sierra Health Services, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	88-0264562				Sierra Nevada Administrators, Inc.	.NV	.NIA	Sierra Health Services, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	20-3741084				Sirona Infusion, L.L.C.	.AZ	.NIA	SCP Specialty Infusion, LLC	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	88-0201420				Southwest Medical Associates, Inc.	.NV	.NIA	Sierra Health Services, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	38-2609888				Southwest Michigan Health Network Inc.	.MI	.NIA	UnitedHealthcare, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	41-1921983				Specialty Benefits, LLC	.DE	.NIA	UnitedHealthCare Services, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	71-0886811				Spectra of New York, IPA, Inc.	.NY	.NIA	Spectra, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	52-1260282				Spectra, Inc.	.MD	.NIA	Specialty Benefits, LLC	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000					Sports and Spinal Physical Therapy, Inc.	.DC	.NIA	Orthology, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
		00000	46-5587702				Spotlite, Inc.	.DE	.NIA	Rally Health, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		

## STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000	27-0008097				StoneRiver P2P Link, LLC	.DE	.NIA	StoneRiver Pharmacy Solutions, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	62-1770924				StoneRiver Pharmacy Solutions, LLC	.DE	.NIA	PROGRESSIVE SOLUTIONS, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	90-0884047				Summit Home Infusion, LLC	.DE	.NIA	AxelaCare Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					SXC Comet, LLC	.DE	.NIA	OptumRx Administrative Services, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	46-1536748				Symponix Health Holdings, LLC	.DE	.NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	84549	38-2044243			Symponix Health Insurance, Inc.	.IL	.IA	Symponix Health Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					TeamMD Holdings, Inc.	.DE	.NIA	UnitedHealth Group Ventures, LLC	Ownership	.80.000	UnitedHealth Group Incorporated	1
		.00000					TeamMD Iowa, Inc.	.DE	.NIA	TeamMD Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	56-1970224		0001284028		The Lewin Group, Inc.	.NC	.NIA	Optum Public Sector Solutions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	25-1825549				Three Rivers Holdings, Inc.	.DE	.UDP	AmericaChoice Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	59-3143128				Tmesys, LLC	.FL	.NIA	PMSI Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
							Esho – Empresa de Serviços Hospitalares S.A.							
		.00000	98-1287904				Topimagem Diagnóstico por Imagem Ltda.	.BRA	.NIA		Ownership	.89.000	UnitedHealth Group Incorporated	1
		.00000	52-1431155				Travel Express Incorporated	.MD	.NIA	FrontierMEDEX, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	20-4963945				Trinity Infusion, Inc.	.NC	.NIA	Ambient Healthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	94-3077084				U.S. Behavioral Health Plan, California	.CA	.IA	United Behavioral Health	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1113428				UHC Global Health Services BC Ltd.	.CAN	.NIA	UnitedHealthcare Global Canada Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	41-1913059				UHC International Services, Inc.	.DE	.NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	95-2931460		0001225831		UHC of California	.CA	.IA	UnitedHealthcare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
							Polar II Fundo de Investimento em Participações S.A.	.BRA	.NIA		Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	41-1921008				UHC Holdings, Inc.	.DE	.NIA	UnitedHealthcare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	14-1892398				Ultima Rx, LLC	.FL	.NIA	UnitedHealthcare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	39-1995276				UMR, Inc.	.DE	.NIA	UnitedHealthcare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	91529	52-1996029			Unimérica Insurance Company	.WI	.IA	OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
							Unimérica Life Insurance Company of New York	.NY	.IA	UnitedHealthcare Insurance Company	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	11596	01-0637149			Unison Administrative Services, LLC	.PA	.NIA	Three Rivers Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	25-1877716				Unison Health Plan of Delaware, Inc.	.DE	.IA	Three Rivers Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	20-5917714				Unison Health Plan of the Capital Area, Inc.	.DC	.IA	Three Rivers Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
							United Behavioral Health	.CA	.NIA	OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
							United Behavioral Health of New York, I.P.A., Inc.	.NY	.NIA	United Behavioral Health	Ownership	.100.000	UnitedHealth Group Incorporated	
							United Health Foundation	.MN	.NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
							United HealthCare Services, Inc.	.MN	.NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
							United Resource Networks IPA of New York, Inc.	.NY	.NIA	OptumHealth Care Solutions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
							UnitedHealth Advisors, LLC	.ME	.NIA	UnitedHealthcare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
							UnitedHealth Group Global Healthcare Services Limited	.JRL	.NIA	Optum Global Solutions International B.V.	Ownership	.100.000	UnitedHealth Group Incorporated	
							New York Stock Exchange							
							UnitedHealth Group Incorporated	.DE	.UIP	Optum Global Solutions (India) Private Limited		.0.000		
							UnitedHealth Group Information Services Private Limited	.JND	.NIA	Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
							UnitedHealth Group International GP	.CYM	.NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
							UnitedHealth Group International L.P.	.CYM	.NIA	UnitedHealth Group International GP	Ownership	.84.984	UnitedHealth Group Incorporated	5
							UnitedHealth Group International L.P.	.CYM	.NIA	FMG Holdings, LLC	Ownership	.14.815	UnitedHealth Group Incorporated	5
							UnitedHealth Group International L.P.	.CYM	.NIA	Hygeia Corporation	Ownership	.0.201	UnitedHealth Group Incorporated	5
							UnitedHealth Group Ventures, LLC	.DE	.NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
							UnitedHealth International, Inc.	.DE	.NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	

**STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.**

## SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

16.1

## STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership	Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
...0707	UnitedHealth Group Incorporated	95850	58-1653544				UnitedHealthcare of Georgia, Inc.	GA	IA	UnitedHealthcare, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
...0707	UnitedHealth Group Incorporated	95776	36-3280214				UnitedHealthcare of Illinois, Inc.	IL	IA	UnitedHealthcare, Inc.	Ownership	.100.00	UnitedHealth Group Incorporated		
...0707	UnitedHealth Group Incorporated	96644	62-1240316				UnitedHealthcare of Kentucky, Ltd.	KY	IA	UnitedHealthCare Services, Inc.	Ownership	.94.180	UnitedHealth Group Incorporated	.3	
...0707	UnitedHealth Group Incorporated	96644	62-1240316				UnitedHealthcare of Kentucky, Ltd.	KY	IA	UnitedHealthcare, Inc.	Ownership	.5.820	UnitedHealth Group Incorporated	.3	
...0707	UnitedHealth Group Incorporated	95833	72-1074008				UnitedHealthcare of Louisiana, Inc.	LA	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
...0707	UnitedHealth Group Incorporated	95716	63-1036817				UnitedHealthcare of Mississippi, Inc.	MS	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
...0707	UnitedHealth Group Incorporated	95149	05-0413469				UnitedHealthcare of New England, Inc.	RI	IA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
...0707	UnitedHealth Group Incorporated	13214	26-2697886				UnitedHealthcare of New Mexico, Inc.	NM	IA	UnitedHealthcare Insurance Company	Ownership	.100.000	UnitedHealth Group Incorporated		
...0707	UnitedHealth Group Incorporated	95085	06-1172891				UnitedHealthcare of New York, Inc.	NY	IA	AmeriChoice Corporation	Ownership	.100.000	UnitedHealth Group Incorporated		
...0707	UnitedHealth Group Incorporated	95103	56-1461010				UnitedHealthcare of North Carolina, Inc.	NC	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
...0707	UnitedHealth Group Incorporated	95186	31-1142815				UnitedHealthcare of Ohio, Inc.	OH	IA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
...0707	UnitedHealth Group Incorporated	96903	33-0115166				UnitedHealthcare of Oklahoma, Inc.	OK	IA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
...0707	UnitedHealth Group Incorporated	95893	93-0938819	0001225824			UnitedHealthcare of Oregon, Inc.	OR	IA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
...0707	UnitedHealth Group Incorporated	95220	25-1756858				UnitedHealthcare of Pennsylvania, Inc.	PA	IA	Three Rivers Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
...0707	UnitedHealth Group Incorporated	95765	95-3939697				UnitedHealthcare of Texas, Inc.	TX	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
...0707	UnitedHealth Group Incorporated	95025	52-1130183				UnitedHealthcare of the Mid-Atlantic, Inc.	MD	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
...0707	UnitedHealth Group Incorporated	95591	47-0676824				UnitedHealthcare of the Midlands, Inc.	NE	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
...0707	UnitedHealth Group Incorporated	96385	43-1361841				UnitedHealthcare of the Midwest, Inc.	MO	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
...0707	UnitedHealth Group Incorporated	95501	41-1488563	0001225843			UnitedHealthcare of Utah, Inc.	UT	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
...0707	UnitedHealth Group Incorporated	48038	91-1312551				UnitedHealthcare of Washington, Inc.	WA	IA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
...0707	UnitedHealth Group Incorporated	95710	39-1555888				UnitedHealthcare of Wisconsin, Inc.	WI	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
...0707	UnitedHealth Group Incorporated	95378	36-3379945				UnitedHealthcare Plan of the River Valley, Inc.	IL	IA	UnitedHealthcare Services Company of the River Valley, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		00000	47-0854646				UnitedHealthcare Service LLC	DE	NIA	UnitedHealthcare Insurance Company	Ownership	.100.000	UnitedHealth Group Incorporated		
		00000	36-3355110				UnitedHealthcare Services Company of the River Valley, Inc.	DE	NIA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		00000	01-0518346				UnitedHealthcare Specialty Benefits, LLC	ME	NIA	Specialty Benefits, LLC	Ownership	.100.000	UnitedHealth Group Incorporated		
		00000	41-1922511				UnitedHealthcare, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		00000	26-0382877	0001453198			Urgent Care Holdings, Inc.	DE	NIA	Optum Clinics Intermediate Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		00000	26-3667220				Urgent Care Holdings, Inc.	DE	NIA	Urgent Care Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		00000					Urology Associates of North Texas, P.L.L.C.	TX	NIA	USMD Affiliated Services	Ownership	.100.000	UnitedHealth Group Incorporated		
		00000					USMD Administrative Services, L.L.C.	TX	NIA	USMD Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		00000	27-2956222				USMD Affiliated Services	TX	NIA	USMD Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		00000					USMD Cancer Treatment Centers GP, L.L.C.	TX	NIA	USMD Cancer Treatment Centers, L.L.C.	Ownership	.100.000	UnitedHealth Group Incorporated		
		00000	26-2245431				USMD Cancer Treatment Centers, L.L.C.	TX	NIA	USMD Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		00000					USMD CT (Mo), LLC	MO	NIA	USMD Cancer Treatment Centers, L.L.C.	Ownership	.100.000	UnitedHealth Group Incorporated		
		00000	27-2803133				USMD Diagnostic Services, LLC	TX	NIA	USMD Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		00000	27-2866866	0001507881			USMD Holdings, Inc.	DE	NIA	WellIMed Medical Management, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		00000	20-8050318	0001404693			USMD Inc.	TX	NIA	USMD Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		00000					USMD of Arlington GP, L.L.C.	TX	NIA	Mat-Rx Development, L.L.C.	Ownership	.100.000	UnitedHealth Group Incorporated		
		00000					USMD PPM, LLC	TX	NIA	USMD Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		00000	87-0757396				Valley Physicians Network, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		00000	74-2797745				WellIMed Medical Management of Florida, Inc.	FL	NIA	WellIMed Medical Management, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
		00000	74-2786364				WellIMed Medical Management, Inc.	TX	NIA	Collaborative Care Holdings, LLC	Ownership	.80.000	UnitedHealth Group Incorporated	.1	
		00000					WellIMed Networks - DFW, Inc.	TX	NIA	USMD Inc.	Ownership	.0.000	UnitedHealth Group Incorporated		
		00000	45-0636596				WESTMED Practice Partners LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	.86.150	UnitedHealth Group Incorporated	.1	
		00000	52-2102846	0001314524			XLHealth Corporation	MD	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domi- niliary Loca- tion	10 Relation- ship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Owner- ship Provide Percen- tage	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
						XLHealth Corporation India Private Limited		JND	NIA	XLHealth Corporation .....	Ownership.....	.100.000 ...	UnitedHealth Group Incorporated .....	
		.00000	98-1107695			Your Health Options Insurance Services, Inc. ....		CA	NIA	PrimeCare Medical Network, Inc. ....	Ownership.....	.100.000 ...	UnitedHealth Group Incorporated .....	
		.00000	11-3764012			Your Partner in Health Services, Inc. ....		IL	NIA	North American Medical Management - Illinois, Inc. ....	Ownership.....	.100.000 ...	UnitedHealth Group Incorporated .....	
		.00000	27-0172594											

Asterisk	Explanation
1 .....	The remaining percentage is owned by external shareholders, parties, investors, or non-affiliated companies. ....
2 .....	The remaining percentage is owned by company officers and/or directors. ....
3 .....	The general partnership interest of 89.77% is held by United HealthCare Services, Inc. (UHS) and 10.23% is held by UnitedHealthcare, Inc. (UHC). UHS also holds 100% of the limited partnership interests. When combining general and limited partner interests, UHS owns 94.18% and UHC owns 5.82%. ....
4 .....	The remaining 0.7409758% owned by external shareholders and 0.0340693% owned by Treasury Shares. ....
5 .....	The limited partners of UnitedHealth Group International, L.P. include FMG Holdings, LLC (14.8145%), Hygeia Corporation (DE) (0.2012%) and UnitedHealth Group Incorporated (84.9843%). UnitedHealth Group International GP is the general partner of UnitedHealth Group International, L.P. ....

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response \_\_\_\_\_

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....

NO

Explanation:

1. No Medicare Part D Supplement business to report.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.  
**OVERFLOW PAGE FOR WRITE-INS**

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other than temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10) .....		

**NONE****SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recruited investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage interest paid and commitment fees .....		
9. Total foreign exchange change in book value/recruited investment excluding accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recruited investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....		

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....		

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	279,227,800	176,122,585
2. Cost of bonds and stocks acquired .....	85,893,924	164,046,522
3. Accrual of discount .....	46,933	44,784
4. Unrealized valuation increase (decrease) .....	0	0
5. Total gain (loss) on disposals .....	1,162,249	539,986
6. Deduct consideration for bonds and stocks disposed of .....	75,132,833	58,333,510
7. Deduct amortization of premium .....	2,747,395	3,109,344
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	83,223
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7+8-9) .....	288,450,678	279,227,800
11. Deduct total nonadmitted amounts .....	0	0
12. Statement value at end of current period (Line 10 minus Line 11) .....	288,450,678	279,227,800

## STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	298,185,798	451,913,657	462,722,502	(909,361)	355,899,669	298,185,798	286,467,592	287,869,594
2. NAIC 2 (a) .....	34,650,889	3,578,605	1,589,530	(28,417)	33,257,293	34,650,889	36,611,547	33,852,582
3. NAIC 3 (a) .....	0	0	0	0	199,238	0	0	0
4. NAIC 4 (a) .....	0	0	0	0	0	0	0	0
5. NAIC 5 (a) .....	0	0	0	0	0	0	0	0
6. NAIC 6 (a) .....	0	0	0	0	0	0	0	0
7. Total Bonds .....	332,836,687	455,492,262	464,312,032	(937,778)	389,356,200	332,836,687	323,079,139	321,722,176
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	0	0	0	0	0	0	0	0
9. NAIC 2 .....	0	0	0	0	0	0	0	0
10. NAIC 3 .....	0	0	0	0	0	0	0	0
11. NAIC 4 .....	0	0	0	0	0	0	0	0
12. NAIC 5 .....	0	0	0	0	0	0	0	0
13. NAIC 6 .....	0	0	0	0	0	0	0	0
14. Total Preferred Stock .....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock .....	332,836,687	455,492,262	464,312,032	(937,778)	389,356,200	332,836,687	323,079,139	321,722,176

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ .....1,000,000 ; NAIC 2 \$ .....0 ; NAIC 3 \$ .....0 ;

NAIC 4 \$ .....0 ; NAIC 5 \$ .....0 ; NAIC 6 \$ .....0

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

## **SCHEDULE DA - PART 1**

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals	34,628,461	XXX	34,663,551	36,378	0

## **SCHEDULE DA - VERIFICATION**

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	41,394,453	186,129,443
2. Cost of short-term investments acquired .....	1,302,072,172	1,704,527,645
3. Accrual of discount .....	0	0
4. Unrealized valuation increase (decrease) .....	0	0
5. Total gain (loss) on disposals .....	0	0
6. Deduct consideration received on disposals .....	1,308,748,522	1,849,123,319
7. Deduct amortization of premium .....	89,642	139,316
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	34,628,461	41,394,453
11. Deduct total nonadmitted amounts .....	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	34,628,461	41,394,453

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards  
**N O N E**

Schedule DB - Part B - Verification - Futures Contracts  
**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open  
**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open  
**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives  
**N O N E**

**SCHEDULE E - VERIFICATION**

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	1,099,923	0
2. Cost of cash equivalents acquired .....	3,799,327	257,306,531
3. Accrual of discount .....	491	1,674
4. Unrealized valuation increase (decrease) .....	0	0
5. Total gain (loss) on disposals .....	35	236
6. Deduct consideration received on disposals .....	4,899,776	256,199,968
7. Deduct amortization of premium .....	0	8,550
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	0	1,099,923
11. Deduct total nonadmitted amounts .....	0	0
<b>12. Statement value at end of current period (Line 10 minus Line 11)</b>	<b>0</b>	<b>1,099,923</b>

Schedule A - Part 2 - Real Estate Acquired and Additions Made  
**N O N E**

Schedule A - Part 3 - Real Estate Disposed  
**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made  
**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid  
**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made  
**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid  
**N O N E**

## STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Desig- nation or Market Indicator (a)
912828-R3-6	US Treasury Note 1.625% 05/15/26		.08/04/2016	UBS Financial Services	,6,563,984	,6,500,000		23,536	1...
912828-S4-3	US Treasury Note 0.750% 07/15/19		.08/04/2016	CitiGroup	2,798,359	2,800,000		,1,198	1...
912828-S7-6	US Treasury Note 1.125% 07/31/21		.08/04/2016	Barclays Group Inc.	1,806,680	1,800,000		,275	1...
<b>0599999. Subtotal - Bonds - U.S. Governments</b>						11,169,023	11,100,000		25,009
70914P-D3-3	PENNSYLVANIA ST GO Non Call 5.000% 09/15/19		.08/09/2016	Merrill Lynch		,1,120,060	1,000,000		,0 IFE
<b>1799999. Subtotal - Bonds - U.S. States, Territories and Possessions</b>						1,120,060	1,000,000		0 XXX
718814-P9-1	PHOENIX AZ GO Non Call 5.000% 07/01/23		.08/24/2016	Piper Jaffray		,1,740,158	1,400,000		,0 IFE
<b>2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions</b>						1,740,158	1,400,000		0 XXX
3130A8-QS-5	FHLB Note Non Call 1.125% 07/14/21		.08/04/2016	CitiGroup	,1,796,731	,1,800,000		,1,181	1FE
3138LT-IL-8	FNMA Pool A03350 MBS 4.000% 05/01/42		.07/22/2016	Barclays Group Inc.	572,263	,525,313		,1,518	1...
3140FB-LY-1	FNMA Pool BD1242 MBS 3.500% 05/01/46		.08/11/2016	Nomura Securities	,389,240	,363,829		,389	1...
3140FB-KY-5	FNMA Pool BD3910 MBS 3.000% 08/01/46		.08/05/2016	Nomura Securities	,1,043,767	,998,671		,583	1...
34160W-ZG-2	FLORIDA ST DEPT Rev Bond Non Call 5.000% 07/01/23		.08/31/2016	Wachovia Bank	,1,850,145	,1,500,000		,0 IFE	
45470R-CS-3	INDIANA ST FIN A Rev Bond Non Call 5.000% 12/01/24		.08/19/2016	Jefferys and Company	,1,915,155	,1,500,000		,0 IFE	
523510-ER-2	LEE CNTY FL SOL Rev Bond Non Call 5.000% 10/01/23		.08/25/2016	Merrill Lynch	,1,797,675	,1,500,000		,0 2FE	
<b>3199999. Subtotal - Bonds - U.S. Special Revenues</b>						9,364,976	8,187,813		3,671
02665W-BF-7	AMERICAN HONDA F Corp Note Call MW 1.650% 07/12/21		.07/07/2016	BNP Paribar Securities Corp	,549,819	,550,000		,0	1FE
037833-BZ-2	APPLE CORP Note Call MW 2.450% 08/04/26		.07/28/2016	JP Morgan Chase	,593,376	,595,000		,0	1FE
12594J-AA-3	COMM MORTGAGE TR COMM 2016-GCT A CMBS Prv Plc 2.681% 08/10/29		.07/25/2016	Deutsche Bank	,401,688	,390,000		,465	1FE
174610-AN-5	CITIZENS FIN GRP Corp Note Call 2.375% 07/28/21		.07/25/2016	Credit Suisse	,374,805	,375,000		,0	2FE
25468P-DM-5	WALT DISNEY CO Corp Note MW 1.850% 07/30/26		.07/07/2016	Merrill Lynch	,303,481	,310,000		,0	1FE
25746U-CJ-6	DOMINION RES Corp Note Call MW 2.000% 08/15/21		.08/04/2016	Mizuho Investor Sec Co	,274,607	,275,000		,0	2FE
38143U-BH-7	GOLDMAN SACHS GP Corp Note Call 3.750% 02/25/26		.08/04/2016	Barclays Group Inc.	,369,702	,350,000		,5,979	1FE
437076-BN-1	HOME DEPOT INC Corp Note Call MW 2.125% 09/15/26		.09/06/2016	Merrill Lynch	,232,424	,235,000		,0	1FE
459745-GF-6	INTL LEASE FIN Corp Note Non Call 8.250% 12/15/20		.07/27/2016	Morgan Stanley	,200,269	,165,000		,1,664	2FE
459745-GH-2	INTL LEASE FIN Corp Note Non Call 6.250% 05/15/19		.09/06/2016	CitGroup	,104,010	,95,000		,1,880	2FE
459745-GL-3	INTL LEASE FIN Corp Note Non Call 5.875% 04/01/19		.09/06/2016	Goldman Sachs	,65,019	,60,000		,1,547	2FE
61761J-3R-8	MORGAN STANLEY Corp Note MW 3.125% 07/27/26		.07/20/2016	Morgan Stanley	,263,198	,265,000		,0	1FE
86765B-AT-6	SUNOCO LOGISTICS Corp Note Call MW 3.900% 07/15/26		.07/07/2016	Mizuho Investor Sec Co	,114,650	,115,000		,0	2FE
887317-BA-2	TIME WARNER INC Corp Note Call MW 2.950% 07/15/26		.08/05/2016	Wachovia Bank	,203,812	,200,000		,1,475	2FE
92343V-DD-3	VERIZON COMM INC Corp Note Call MW 2.625% 08/15/26		.07/27/2016	Merrill Lynch	,309,210	,310,000		,0	2FE
94974B-GL-8	WELLS FARGO CO Corp Note Non Call 4.300% 07/22/27		.08/04/2016	Wachovia Bank	,548,580	,500,000		,1,015	1FE
2027A0-HV-4	COM BK AUSTRALIA Corp Note Non Call Prv Plc 1.375% 09/06/18	F.	.08/29/2016	Goldman Sachs	,414,348	,415,000		,0	1FE
88167A-AD-3	TEVA PHARMACEUTICALS Corp Note MW 2.800% 07/21/23	F.	.07/18/2016	Barclays Group Inc.	,134,548	,135,000		,0	2FE
<b>3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>						5,457,546	5,340,000		14,025
8399997	Total - Bonds - Part 3					28,851,763	27,027,813		42,705
8399998	Total - Bonds - Part 5					XXX	XXX		XXX
8399999	Total - Bonds					28,851,763	27,027,813		42,705
8999997	Total - Preferred Stocks - Part 3					0	XXX		XXX
8999998	Total - Preferred Stocks - Part 5					XXX	XXX		XXX
8999999	Total - Preferred Stocks					0	XXX		XXX
9799997	Total - Common Stocks - Part 3					0	XXX		XXX
9799998	Total - Common Stocks - Part 5					XXX	XXX		XXX
9799999	Total - Common Stocks					0	XXX		XXX
9899999	Total - Preferred and Common Stocks					0	XXX		XXX
9999999	Totals					28,851,763	XXX		42,705

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....0

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain Value at Disposal Date	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation or Market In- dicator (a)	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value								
.36179R-BW-8	GNMA Pool M42753 MBS 3.000% 04/20/45		.08/03/2016	Goldman Sachs		.1,222,230	.1,166,024	.1,205,104	.1,204,418	0	.203	0	.203	0	.1,204,621	0	.17,608	.17,608	.24,001	04/20/2045	1	
.36179R-BW-9	GNMA Pool M42753 MBS 3.000% 04/20/45		.08/01/2016	Paydown			.44,698	.46,196	.46,170	0	.(1,472)	0	.(1,472)	0	.44,698	.845	.0	.0	.0	.04/20/2045	1	
.36204D-UG-2	GNMA Pool 366983 MBS 4.000% 06/15/41		.07/22/2016	Nomura Securities		.579,376	.539,818	.565,206	.564,518	0	.48	0	.48	0	.564,567	0	.14,810	.14,810	.14,155	06/15/2041	1	
.36204D-UG-2	GNMA Pool 366983 MBS 4.000% 06/15/41		.07/01/2016	Paydown			.9,519	.9,519	.9,954	0	.(436)	0	.(436)	0	.9,519	0	.0	.0	.0	.06/15/2041	1	
.3620A5-A8-2	GNMA Pool 719231 MBS 4.500% 07/15/40		.09/01/2016	Paydown			.13,414	.13,414	.14,228	0	.(814)	0	.(814)	0	.13,414	0	.0	.0	.0	.07/15/2040	1	
.3620A9-P9-8	GNMA Pool 723231 MBS 4.000% 10/15/39		.09/01/2016	Paydown			.14,611	.14,611	.15,607	0	.(996)	0	.(996)	0	.14,611	0	.0	.0	.0	.10/15/2039	1	
.3620AP-KC-8	GNMA Pool 735691 MBS 4.500% 04/15/40		.09/01/2016	Paydown			.14,036	.14,036	.14,942	0	.(907)	0	.(907)	0	.14,036	0	.0	.0	.0	.04/15/2040	1	
.3620AR-YR-6	GNMA Pool 737920 MBS 4.500% 01/15/41		.09/01/2016	Paydown			.27,173	.27,173	.28,925	0	.(1,752)	0	.(1,752)	0	.27,173	0	.0	.0	.0	.04/15/2041	1	
.912828-K4-1	US Treasury Floating Rate Note 0.324%																					
.912828-N6-3	US Treasury Note 1.125% 01/15/19		.07/07/2016	JP Morgan Chase			.500,040	.500,000	.499,667	.499,721	0	.111	0	.111	0	.499,832	0	.208	.208	.1,175	04/30/2017	1
.912828-P8-7	US Treasury Note 1.125% 02/28/21		.08/03/2016	Barclays Group Inc.			.2,826,141	.2,800,000	.2,803,391	0	.(586)	0	.(586)	0	.2,802,805	0	.23,336	.23,336	.17,462	01/15/2019	1	
.912828-XB-1	US Treasury Note 2.125% 05/15/25		.08/03/2016	Barclays Group Inc.			.1,892,584	.1,885,000	.1,879,478	0	.459	0	.459	0	.1,879,936	0	.12,648	.12,648	.9,047	02/28/2021	1	
05999999. Subtotal - Bonds - U.S. Governments							13,986,188	13,524,293	13,873,215	2,398,483	0	(19,498)	0	(19,498)	0	13,852,219	0	133,969	133,969	168,596	XXX	XXX
05999999. Subtotal - Bonds - U.S. Governments																						
NORTH CAROLINA S GO Non Call 5.000%			.06/01/2019	Wachovia Bank			.1,122,030	1,000,000	.1,156,220	.1,130,366	0	(23,320)	0	(23,320)	0	1,107,047	0	14,983	14,983	35,278	06/01/2019	1FE
17999999. Subtotal - Bonds - U.S. States, Territories and Possessions							1,122,030	1,000,000	.1,156,220	.1,130,366	0	(23,320)	0	(23,320)	0	1,107,047	0	14,983	14,983	35,278	XXX	XXX
.3128MJ-VZ-0	FHLMC Pool G08631 MBS 3.000% 03/01/45		.09/01/2016	Paydown			.35,670	.36,444	.36,430	0	(761)	0	(761)	0	.35,670	0	.0	.0	.740	03/01/2045	1	
.3128PR-LN-7	FHLMC Pool J12133 MBS 4.000% 05/01/25		.09/01/2016	Paydown			.50,933	.50,933	.53,328	.52,695	0	(1,762)	0	(1,762)	0	.50,933	0	.0	.0	.1,358	05/01/2025	1
.31282L-DB-5	FHLMC Pool C04305 MBS 3.000% 11/01/42		.09/01/2016	Paydown			.2,200	.2,200	.2,294	.2,288	0	(89)	0	(89)	0	.2,200	0	.0	.0	.45	11/01/2042	1
.3132HO-M4-1	FHLMC Pool Q13979 MBS 3.000% 12/01/42		.09/01/2016	Paydown			.1,171	.1,171	.1,226	.1,223	0	(52)	0	(52)	0	.1,171	0	.0	.0	.23	12/01/2042	1
.3132JA-PW-9	FHLMC Pool Q19036 MBS 3.500% 06/01/43		.09/01/2016	Paydown			.22,090	.22,090	.22,898	.22,892	0	(802)	0	(802)	0	.22,090	0	.0	.0	.95	12/01/2043	1
.3132OL-AJ-2	FHLMC Pool Q30008 MBS 3.500% 12/01/44		.09/01/2016	Paydown			.91,988	.91,988	.95,883	.95,806	0	(3,818)	0	(3,818)	0	.91,988	0	.0	.0	.2,137	12/01/2044	1
.3132QH-F9-8	FHLMC Pool Q32955 MBS 3.000% 04/01/45		.09/01/2016	Paydown			.266,207	.266,207	.279,059	.278,848	0	(12,641)	0	(12,641)	0	.266,207	0	.0	.0	.5,938	02/01/2045	1
.3132QR-TW-4	FHLMC Pool Q34163 MBS 3.500% 06/01/45		.09/01/2016	Paydown			.8,374	.8,374	.8,673	.8,671	0	(297)	0	(297)	0	.8,374	0	.0	.0	.196	06/01/2045	1
.3132QR-TW-0	FHLMC Pool F6 Q35064 MBS 3.500% 08/01/45		.09/01/2016	Paydown			.16,803	.16,803	.17,516	.17,514	0	(711)	0	(711)	0	.16,803	0	.0	.0	.436	08/01/2045	1
.3135GQ-K3-6	FNMA Note Non Call 2.125% 04/24/26		.08/03/2016	Citigroup			.968,597	.945,000	.950,765	0	(123)	0	(123)	0	.950,642	0	.17,955	.17,955	.5,467	04/24/2026	1	
.3135GQ-YE-7	FNMA Note Non Call 0.625% 08/26/16		.08/26/2016	Maturity			.2,870,000	.2,870,000	.2,866,757	0	3,243	0	3,243	0	.2,870,000	0	.0	.0	.17,938	08/26/2016	1	
.3135GQ-ZR-7	FNMA Note Non Call 2.625% 09/06/24		.08/03/2016	BMO HARRIS BANK N.A.			.1,929,222	.1,800,000	.1,890,869	0	(1,723)	0	(1,723)	0	.1,889,146	0	.40,076	.40,076	.19,425	09/06/2024	1	
.3136AN-GF-8	FNMA 2015-22 HT CMO 3.000% 04/25/45		.09/01/2016	Paydown			.30,582	.31,839	.31,715	0	(1,132)	0	(1,132)	0	.30,582	0	.0	.0	.611	04/25/2045	1	
.3137BG-QJ-1	FHLMC Series 4456 CMO 3.500% 05/15/44		.09/01/2016	Paydown			.19,255	.19,255	.20,440	0	(1,186)	0	(1,186)	0	.19,255	0	.0	.0	.449	05/15/2044	1	
.3137BH-YG-6	FHLMC Series 4477 CMO 3.500% 07/15/44		.09/01/2016	Paydown			.51,278	.51,278	.54,386	.54,360	0	(3,083)	0	(3,083)	0	.51,278	0	.0	.0	.1,195	07/15/2044	1
.3137BP-VB-8	FHLMC FHIN K152 A1 CMBS 2.830% 05/25/30		.09/01/2016	Paydown			.1,824	.1,824	.1,861	0	(36)	0	(36)	0	.1,824	0	.0	.0	.8	05/25/2030	1	
.3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		.09/01/2016	Paydown			.2,249	.2,249	.2,364	.2,359	0	(109)	0	(109)	0	.2,249	0	.0	.0	.60	12/01/2040	1
.3138A7-F6-0	FNMA Pool AH5582 MBS 5.000% 02/01/41		.09/01/2016	Paydown			.86,817	.86,817	.95,119	.94,870	0	(8,054)	0	(8,054)	0	.86,817	0	.0	.0	.3,233	02/01/2041	1
.3138AB-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		.09/01/2016	Paydown			4,005	4,005	4,216	4,207	0	(201)	0	(201)	0	4,005	0	.0	.0	.109	03/01/2041	1
.3138AM-3U-7	FNMA Pool A18010 MBS 3.500% 08/01/26		.09/01/2016	Paydown			4,453	4,453	4,694	4,638	0	(186)	0	(186)	0	4,453	0	.0	.0	.103	08/01/2026	1
.3138AS-3B-6	FNMA Pool AJ1693 MBS 4.500% 09/01/41		.09/01/2016	Paydown			.32,923	.32,923	.35,536	.35,463	0	(2,540)	0	(2,540)	0	.32,923	0	.0	.0	.991	09/01/2041	1
.3138AW-EN-9	FNMA Pool AJ4646 MBS 4.000% 10/01/41		.09/01/2016	Paydown			1,813	1,813	1,914	1,909	0	(96)	0	(96)	0	1,813	0	.0	.0	.45	10/01/2041	1
.3138EO-RK-7	FNMA Pool AJ7689 MBS 4.000% 12/01/41		.09/01/2016	Paydown			.5,726	.5,726	.6,031	.6,017	0	(291)	0	(29								

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain Value at Disposal Date	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation or Market In- dicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value							
.3138W3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		.08/01/2016	Paydown		.60,931	.60,931	.63,283	.63,172	0	(2,241)	0	(2,241)	0	.60,931	0	0	0	.1,246	02/01/2043	1
.3138W9-SE-1	FNMA Pool AS0516 MBS 3.000% 09/01/43		.09/01/2016	Paydown		.9,152	.9,152	.9,194	.9,194	0	(42)	0	(42)	0	.9,152	0	0	0	.187	09/01/2043	1
.3138W1-PE-1	FNMA Pool AS1320 MBS 4.000% 12/01/43		.09/01/2016	Paydown		.21,529	.21,529	.22,640	.22,604	0	(1,075)	0	(1,075)	0	.21,529	0	0	0	.593	12/01/2043	1
.3138W1-EL-5	FNMA Pool AS1938 MBS 5.000% 03/01/44		.09/01/2016	Paydown		.17,756	.17,756	.19,831	.19,805	0	(2,049)	0	(2,049)	0	.17,756	0	0	0	.592	03/01/2044	1
.3138W1-UC-7	FNMA Pool AS2378 MBS 3.500% 05/01/44		.09/01/2016	Paydown		.15,252	.15,252	.15,616	.15,605	0	(354)	0	(354)	0	.15,252	0	0	0	.395	05/01/2044	1
.3138W1-DL-0	FNMA Pool AS4474 MBS 3.000% 02/01/45		.09/01/2016	Paydown		.56,860	.56,860	.57,429	.57,423	0	(563)	0	(563)	0	.56,860	0	0	0	.1,154	02/01/2045	1
.3138W1-N6-4	FNMA Pool AS4012 MBS 3.500% 12/01/44		.09/01/2016	Paydown		.7,691	.7,691	.8,028	.8,022	0	(331)	0	(331)	0	.7,691	0	0	0	.190	12/01/2044	1
.3138W1-ER-9	FNMA Pool AS7343 MBS 3.000% 06/01/46		.09/01/2016	Paydown		.9,689	.9,689	.9,983	.9,983	0	(294)	0	(294)	0	.9,689	0	0	0	.52	06/01/2046	1
.3138W1-FU-0	FNMA Pool AT7378 MBS 4.500% 10/01/43		.09/01/2016	Paydown		.71,191	.71,191	.76,697	.76,572	0	(5,381)	0	(5,381)	0	.71,191	0	0	0	.1,878	10/01/2043	1
.3138XH-7G-0	FNMA Pool AV6294 MBS 4.000% 12/01/43		.09/01/2016	Paydown		.3,302	.3,302	.3,468	.3,464	0	(162)	0	(162)	0	.3,302	0	0	0	.88	12/01/2043	1
.3138YE-HD-2	FNMA Pool AY1127 MBS 3.500% 04/01/45		.09/01/2016	Paydown		.3,885	.3,885	.4,044	.4,042	0	(157)	0	(157)	0	.3,885	0	0	0	.91	04/01/2045	1
.3138YN-MS-3	FNMA Pool AY8468 MBS 4.000% 08/01/45		.09/01/2016	Paydown		.30,053	.30,053	.32,063	.32,052	0	(1,999)	0	(1,999)	0	.30,053	0	0	0	.803	08/01/2045	1
.3138YN-RB-5	FNMA Pool AY8581 MBS 3.500% 08/01/45		.09/01/2016	Paydown		.2,142	.2,142	.2,234	.2,233	0	(91)	0	(91)	0	.2,142	0	0	0	.08	08/01/2045	1
.3138YX-TH-8	FNMA Pool AZ5951 MBS 4.000% 10/01/45		.09/01/2016	Paydown		.75,685	.75,685	.80,723	.80,703	0	(5,018)	0	(5,018)	0	.75,685	0	0	0	.2,021	10/01/2045	1
.313980-TE-7	FHLMC FHR 3747 HG CMO 2.400% 07/15/37		.09/01/2016	Paydown		.63,867	.63,867	.64,315	.64,315	0	(448)	0	(448)	0	.63,867	0	0	0	.1,020	07/15/2037	1
.3140EO-GQ-3	FNMA Pool A28078 MBS 3.500% 08/01/45		.09/01/2016	Paydown		.502	.502	.522	.522	0	(20)	0	(20)	0	.502	0	0	0	.12	08/01/2045	1
.3140EB-G2-1	FNMA Pool BA6516 MBS 3.500% 12/01/45		.09/01/2016	Paydown		.43,812	.43,812	.45,886	.45,886	0	(2,074)	0	(2,074)	0	.43,812	0	0	0	.683	12/01/2045	1
.3140EW-NT-8	FNMA Pool BC2201 MBS 3.500% 04/01/46		.09/01/2016	Paydown		.5,067	.5,067	.5,413	.5,413	0	(346)	0	(346)	0	.5,067	0	0	0	.30	04/01/2046	1
.3140F2-SF-3	FNMA Pool BC7145 MBS 3.000% 07/01/46		.09/01/2016	Paydown		.19,686	.19,686	.20,285	.20,285	0	(598)	0	(598)	0	.19,686	0	0	0	.138	07/01/2046	1
.3140FB-LY-1	FNMA Pool BD1242 MBS 3.500% 06/01/46		.09/01/2016	Paydown		.536	.536	.573	.573	0	(37)	0	(37)	0	.536	0	0	0	.2	06/01/2046	1
.3140FB-KY-5	FNMA Pool BD3910 MBS 3.000% 08/01/46		.09/01/2016	Paydown		.1,585	.1,585	.1,657	.1,657	0	(72)	0	(72)	0	.1,585	0	0	0	.4	08/01/2046	1
.31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		.09/01/2016	Paydown		.3,300	.3,300	.3,608	.3,473	0	(173)	0	(173)	0	.3,300	0	0	0	.121	06/01/2020	1
.31417A-4Z-9	FNMA Pool AB4430 MBS 3.500% 02/01/42		.09/01/2016	Paydown		.954	.954	.1,009	.1,006	0	(52)	0	(52)	0	.954	0	0	0	.22	02/01/2042	1
.31417A-0E-2	FNMA Pool AB4052 MBS 4.000% 12/01/41		.09/01/2016	Paydown		.30,308	.30,308	.32,311	.32,295	0	(1,987)	0	(1,987)	0	.30,308	0	0	0	.814	12/01/2041	1
.31417G-AS-5	FNMA Pool AB9016 MBS 3.000% 04/01/43		.09/01/2016	Paydown		.23,014	.23,014	.23,756	.23,756	0	(743)	0	(743)	0	.23,014	0	0	0	.100	04/01/2043	1
.31418V-CB-6	FNMA Pool A07265 MBS 4.000% 07/01/25		.09/01/2016	Paydown		.6,474	.6,474	.6,779	.6,698	0	(224)	0	(224)	0	.6,474	0	0	0	.174	07/01/2025	1
.31419H-A2-8	FNMA Pool AE6324 MBS 4.000% 11/01/25		.09/01/2016	Paydown		.11,611	.11,611	.12,349	.12,158	0	(547)	0	(547)	0	.11,611	0	0	0	.318	11/01/2025	1
.92774G-FD-2	Virginia Beach VA Dev Auth Rev Bond Non Call 5.000% 08/01/16		.08/01/2016	Maturity		.500,000	.500,000	.584,575	.510,107	0	(10,107)	0	(10,107)	0	.500,000	0	0	0	.25,000	08/01/2016	1FE
31999999	<b>Subtotal - Bonds - U.S. Special Revenues</b>					8,360,097	8,188,881	8,451,499	5,420,308	0	(88,507)	0	(88,507)	0	8,296,265	0	63,832	63,832	116,339	XXX	XXX
.00214J-AC-4	ARI FLEET LEASE ARIFL 2014-A A2 ABS Prv Ptc 0.810% 11/15/22		.09/15/2016	Paydown		.50,686	.50,686	.50,685	.50,685	0	(1)	0	(1)	0	.50,686	0	0	0	.273	11/15/2022	1FE
.03063X-AF-2	Americredit Auto Rec Tr AMCAR 2012-4 C ABS 1.930% 08/08/18		.09/08/2016	Paydown		.61,837	.61,837	.61,828	.61,837	0	(1)	0	(1)	0	.61,837	0	0	0	.794	08/08/2018	1FE
.03064X-AE-4	Americredit Auto Rec Tr AMCAR 2012-5 C ABS 1.690% 11/08/18		.09/08/2016	Paydown		.17,839	.17,839	.17,834	.17,838	0	(0)	0	(0)	0	.17,839	0	0	0	.200	11/08/2018	1FE
.03065V-AC-1	Americredit Auto AMCAR 2016-1 A2B ABS 1.267% 06/10/19		.09/08/2016	Paydown		.79,962	.79,962	.79,962	.79,962	0	(0)	0	(0)	0	.79,962	0	0	0	.582	06/10/2019	1FE
.032511-BJ-5	ANADARKO PETRO Corp Note Call MW 3.450% 07/15/24		.07/26/2016	Wachovia Bank		.273,073	.280,000	.271,959	.272,276	0	(447)	0	(447)	0	.272,723	0	.350	.350	.10,036	07/15/2024	2FE
.073945-AE-7	Bear Stearns BSCMS 2007-T28 A4 CMBS 5.742% 09/11/42		.09/01/2016	Paydown		.156,482	.156,482	.165,708	.157,721	0	(1,238)	0	(1,238)	0	.156,482	0	0	0	.7,479	09/11/2042	1FM
.12591V-AA-9	COMM MORTGAGE TR COMM 2014 CR16 A1 CMBS 1.445% 02/10/19		.09/01/2016	Paydown		.42,574	.42,574	.42,573	.42,566	0	(8)	0	(8)	0	.42,574	0	0	0	.409	02/10/2019	1FI
.12594B-AA-0	CNH Equipment Tr CNH 2016-A A1 ABS 0.670% 03/10/17		.09/15/2016	Paydown		.404,945	.404,945	.404,945	.404,945	0	(0)	0	(0)	0	.404,945	0	0	0	.1,414	03/10/2017	1FE
.17322M-AS-5	Citigroup Commercial Mtge Tr CGMT 2014-GC21 A1 CMBS 1.242% 05/10/47		.09/01/2016	Paydown	Redemption 101,9560	.33,780	.33,780	.33,779	.33,774	0	(6)	0	(6)	0	.33,780	0	0	0	.279	05/10/2047	1FM
.25179M-AT-0	DEVON ENERGY Corp Note Call MW 2.250% 12/15/18		.08/19																		

## STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain/ Loss on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation or Market In- dicator (a)
										11 Unrealized Valuation Increase/ Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value							
.39153V-BK-9	GREAT AMERICA LE GALC 2013-1 A4 ABS Prv Plc		09/15/2016	Paydown .....	44,737	44,737	44,735	0	-1	0	-1	0	-44,737	0	0	0	0	.345	05/15/2018	1FE.....	
.44918L-AD-4	1.160% 05/15/18 HYUNDAI AUTO REC HART 2015-C A3 ABS 1.460%		02/18/20	BNP Paribar Securities Corp .....	553,137	550,000	549,900	549,910	0	.21	0	.21	0	549,930	0	3,206	3,206	.5,086	02/18/2020	1FE.....	
.46639N-AL-5	1.085% 07/15/45 JPMBB COMMERCIAL JPMBB 2013-C12 A1 CMBS		09/01/2016	Paydown .....	182,097	182,097	182,026	182,022	0	.76	0	.76	0	182,097	0	0	0	.0	.1,982	07/15/2045	1FM.....
.46640L-AA-0	1.260% 08/15/46 JPMBB COMMERCIAL JPMBB 2013-C14 A1 CMBS		09/01/2016	Paydown .....	54,181	54,181	54,181	54,181	0	0	0	0	0	54,181	0	0	0	.0	.488	08/15/2046	1FM.....
.46643G-AA-8	1.530% 11/15/47 Keycorp Student Loan Tr KSLT 2004-A 242 ABS		09/01/2016	Paydown .....	26,270	26,270	26,270	26,264	0	6	0	6	0	26,270	0	0	0	.0	.269	11/15/2047	1FM.....
.493268-BY-1	1.034% 10/28/41 KUBOTA CREDIT OF KOTC 2015-1A A2 ABS Prv Plc		07/27/2016	Paydown .....	12,638	12,638	12,533	12,601	0	.37	0	.37	0	12,638	0	0	0	.0	.79	10/28/2041	1FE.....
.50116R-AB-2	0.940% 12/15/17 MORGAN STANLEY B MSBAM 2014-C14 A1 CMBS		09/15/2016	Paydown .....	91,537	91,537	91,537	91,537	0	0	0	0	0	91,537	0	0	0	.0	.574	12/15/2017	1FE.....
.61690G-AA-9	1.250% 02/15/47 Morgan Stanley MSC 2007-R27 A4 CMBS 5.818%		09/01/2016	Paydown .....	25,205	25,205	25,205	25,198	0	.7	0	.7	0	25,205	0	0	0	.0	.209	02/15/2047	1FM.....
.61754J-AF-5	06/11/42 Morgan Stanley Banc Tr MSBAM 2013-C8 A2 CMBS		09/01/2016	Paydown .....	3,690	3,690	3,942	3,818	0	-(128)	0	-(128)	0	3,690	0	0	0	.0	.155	06/11/2042	1FM.....
.61761Q-AB-9	1.680% 12/15/48 MORGAN STANLEY BAML TRUST MSBAM 2014-C15 A1 CMBS 1.313% 04/15/47		09/01/2016	Paydown .....	4,068	4,068	4,108	4,084	0	-(16)	0	-(16)	0	4,068	0	0	0	.0	.46	12/15/2048	1FM.....
.61763K-AW-4	Navient Student NAVSL 2016-2 A1 ABS Prv Plc		09/01/2016	Paydown .....	28,982	28,982	28,982	28,974	0	.8	0	.8	0	28,982	0	0	0	.0	.253	04/15/2047	1FM.....
.63940F-AA-5	1.275% 06/25/65 PNC-CALL08/16 Corp Note Call 2.700%		09/26/2016	Paydown .....	99,810	99,810	99,810	99,810	0	0	0	0	0	99,810	0	0	0	.0	.479	06/25/2065	1FE.....
.693476-BM-4	09/19/16 PLAINS ALL AMER Corp Note Call 3.650%		08/22/2016	Call 100.0000 .....	170,000	170,000	177,648	171,802	0	-(1,802)	0	-(1,802)	0	170,000	0	0	0	.0	.4,246	09/19/2016	1FE.....
.72650R-AZ-5	06/01/22 JP Morgan Chase .....		07/26/2016	JP Morgan Chase .....	261,882	260,000	260,746	260,655	0	-(56)	0	-(56)	0	260,600	0	1,283	1,283	.6,274	06/01/2022	2FE.....	
.72650R-BG-6	12/15/19 Wachovia Bank .....		07/27/2016	Wachovia Bank .....	179,230	180,000	179,663	179,732	0	.38	0	.38	0	179,769	0	-(540)	-(540)	.2,938	12/15/2019	2FE.....	
.80281C-AF-2	06/17/19 SANTANDER DRIVE SDART 2012-2 D ABS 3.870%		09/15/2016	Paydown .....	2,928	2,928	2,939	2,930	0	-(3)	0	-(3)	0	2,928	0	0	0	.0	.49	06/17/2019	1FE.....
.80282V-AF-9	02/15/18 Santander Drive Auto SDART 2012-6 C ABS		09/15/2016	Paydown .....	360,553	360,553	378,665	361,582	0	-(1,030)	0	-(1,030)	0	360,553	0	0	0	.0	10,134	02/15/2018	1FE.....
.80283C-AE-3	1.940% 03/15/18 UBS-BARCLAYS COM UBSBB 2013-C5 A1 CMBS		09/15/2016	Paydown .....	12,077	12,077	12,075	12,077	0	0	0	0	0	12,077	0	0	0	.0	.156	03/15/2018	1FE.....
.90270Y-BC-2	0.770% 03/10/46 WFB-RBS COMMERCIAL WFB-RBS 2011-C5 A2 CMBS		09/01/2016	Paydown .....	17,377	17,377	17,375	17,375	0	.3	0	.3	0	17,377	0	0	0	.0	.90	03/10/2046	1FM.....
.92936J-AZ-7	2.684% 11/15/44 WFB-RBS COMMERCIAL WFB-RBS 2013-C12 A1 CMBS		09/01/2016	Paydown .....	43,540	43,540	43,975	43,565	0	-(25)	0	-(25)	0	43,540	0	0	0	.0	.769	11/15/2044	1FM.....
.92937F-AA-9	0.735% 03/15/15/48 WELLS FARGO CO Corp Note Non Call 3.450%		09/01/2016	Paydown .....	8,590	8,590	8,590	8,588	0	.2	0	.2	0	8,590	0	0	0	.0	.39	03/15/2048	1FM.....
.94974B-FJ-4	02/13/23 Wachovia Bank .....		08/03/2016	Wachovia Bank .....	573,151	550,000	565,994	0	0	-(336)	0	-(336)	0	565,658	0	7,492	7,492	.9,224	02/13/2023	1FE.....	
38999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					4,857,634	4,801,045	4,835,362	3,653,869	0	(3,415)	0	(3,415)	0	4,801,163	0	56,469	56,469	.89,471	XXX	XXX	
83999997. Total - Bonds - Part 4					28,325,949	27,514,219	28,316,296	12,603,026	0	(134,740)	0	(134,740)	0	28,056,694	0	269,253	269,253	409,684	XXX	XXX	
83999998. Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
83999999. Total - Bonds					28,325,949	27,514,219	28,316,296	12,603,026	0	(134,740)	0	(134,740)	0	28,056,694	0	269,253	269,253	409,684	XXX	XXX	
89999997. Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
89999998. Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
89999999. Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
97999997. Total - Common Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
97999998. Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
97999999. Total - Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	

## STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain Value at Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Desig- nation or Market In- dicator (a)	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recog- nized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value								
9899999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9999999 - Totals						28,325,949	XXX	28,316,296	12,603,026	0	(134,740)	0	(134,740)	0	28,056,694	0	269,253	269,253	409,684	XXX	XXX	

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....0

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open  
**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open  
**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  
**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To  
**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned  
**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned  
**N O N E**

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

## **SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
PNC Bank, National Association ..... Pittsburgh, PA .....		0.000	.0	.0	(441,719)	968,768	260,335	XXX
0199998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX	0	0	0	0	0	XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	(441,719)	968,768	260,335	XXX
0299998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	(441,719)	968,768	260,335	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	0	0	0	XXX
0599999. Total - Cash	XXX	XXX	0	0	(441,719)	968,768	260,335	XXX

Schedule E - Part 2 - Cash Equivalents - Investments Owned End of Current Quarter  
**N O N E**