



QUARTERLY STATEMENT
AS OF JUNE 30, 2016
OF THE CONDITION AND AFFAIRS OF THE
HEALTHCARE UNDERWRITERS GROUP, INC.

NAIC Group Code	0000	0000	NAIC Company Code	12233	Employer's ID Number	74-3129288
	(Current Period)	(Prior Period)				
Organized under the Laws of	Ohio		State of Domicile or Port of Entry	Ohio		
Country of Domicile	United States of America					
Incorporated/Organized	11/30/2004		Commenced Business	12/14/2004		
Statutory Home Office	1900 Polaris Parkway, Suite 450		Columbus, OH, US 43240-4064			
	(Street and Number)		(City or Town, State, Country and Zip Code)			
Main Administrative Office	1250 South Pine Island Road, Suite 300					
	(Street and Number)					
	Plantation, FL, US 33324-4402		(866)484-5715			
	(City or Town, State, Country and Zip Code)		(Area Code) (Telephone Number)			
Mail Address	1250 South Pine Island Road, Suite 300		Plantation, FL, US 33324-4402			
	(Street and Number or P.O. Box)		(City or Town, State, Country and Zip Code)			
Primary Location of Books and Records	1250 South Pine Island Road, Suite 300					
	(Street and Number)					
	Plantation, FL, US 33324-4402		(866)484-5715			
	(City or Town, State, Country and Zip Code)		(Area Code) (Telephone Number)			
Internet Web Site Address	www.hugroupinc.com					
Statutory Statement Contact	Thomas William Mueller, CPA, CGMA		(866)484-5716			
	(Name)		(Area Code)(Telephone Number)(Extension)			
	tmueller@hugroupinc.com		(877)895-0996			
	(E-Mail Address)		(Fax Number)			

OFFICERS

Name	Title
Joshua Marc Salman	CEO & President
David Wayne Lester CPA, CGMA	Treasurer, VP, CFO
Thomas William Mueller CPA, CGMA	Secretary, VP Finance & Controller
Morton Caldwell Bell	VP, Chief Underwriting Officer
William Carl Ludwig JD	VP, Chief Claims Officer

OTHERS

DIRECTORS OR TRUSTEES

Morton Caldwell Bell	David Wayne Lester CPA, CGMA
William Carl Ludwig JD	Thomas William Mueller CPA, CGMA
Joshua Marc Salman	

State of Ohio
County of Franklin ss

The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)	(Signature)	(Signature)
Joshua Marc Salman	David Wayne Lester, CPA, CGMA	Thomas William Mueller, CPA, CGMA
(Printed Name)	(Printed Name)	(Printed Name)
1.	2.	3.
CEO & President	Treasurer, VP, CFO	Secretary, VP Finance & Controller
(Title)	(Title)	(Title)

Subscribed and sworn to before me this	a. Is this an original filing?	Yes[X] No[]
day of , 2016	b. If no,	
	1. State the amendment number	
	2. Date filed	
	3. Number of pages attached	
(Notary Public Signature)		

ASSETS

		Current Statement Date			4
		1	2	3	
		Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
1.	Bonds	73,498,586		73,498,586	74,305,709
2.	Stocks:				
2.1	Preferred stocks				
2.2	Common stocks	8,358,119		8,358,119	8,138,003
3.	Mortgage loans on real estate:				
3.1	First liens				
3.2	Other than first liens				
4.	Real estate:				
4.1	Properties occupied by the company (less \$.....0 encumbrances)				
4.2	Properties held for the production of income (less \$.....0 encumbrances)				
4.3	Properties held for sale (less \$.....0 encumbrances)				
5.	Cash (\$.....(102,777)), cash equivalents (\$.....1,746,183) and short-term investments (\$.....2,093,901)	3,737,307		3,737,307	2,878,911
6.	Contract loans (including \$.....0 premium notes)				
7.	Derivatives				
8.	Other invested assets				
9.	Receivables for securities				
10.	Securities lending reinvested collateral assets				
11.	Aggregate write-ins for invested assets				
12.	Subtotals, cash and invested assets (Lines 1 to 11)	85,594,012		85,594,012	85,322,623
13.	Title plants less \$.....0 charged off (for Title insurers only)				
14.	Investment income due and accrued	412,907		412,907	428,768
15.	Premiums and considerations:				
15.1	Uncollected premiums and agents' balances in the course of collection	2,738,222		2,738,222	2,209,566
15.2	Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums)				
15.3	Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0)				
16.	Reinsurance:				
16.1	Amounts recoverable from reinsurers	174,506		174,506	
16.2	Funds held by or deposited with reinsured companies	100,000		100,000	100,000
16.3	Other amounts receivable under reinsurance contracts				
17.	Amounts receivable relating to uninsured plans				
18.1	Current federal and foreign income tax recoverable and interest thereon				
18.2	Net deferred tax asset	2,175,823		2,175,823	2,300,624
19.	Guaranty funds receivable or on deposit				
20.	Electronic data processing equipment and software				
21.	Furniture and equipment, including health care delivery assets (\$.....0)				
22.	Net adjustments in assets and liabilities due to foreign exchange rates				
23.	Receivables from parent, subsidiaries and affiliates				
24.	Health care (\$.....0) and other amounts receivable				
25.	Aggregate write-ins for other-than-invested assets	81,690	81,690		
26.	TOTAL assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	91,277,160	81,690	91,195,470	90,361,581
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28.	TOTAL (Lines 26 and 27)	91,277,160	81,690	91,195,470	90,361,581
DETAILS OF WRITE-INS					
1101.				
1102.				
1103.				
1198.	Summary of remaining write-ins for Line 11 from overflow page				
1199.	TOTALS (Lines 1101 through 1103 plus 1198) (Line 11 above)				
2501.	Other Prepaid Expense	81,690	81,690		
2502.				
2503.				
2598.	Summary of remaining write-ins for Line 25 from overflow page				
2599.	TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above)	81,690	81,690		

LIABILITIES, SURPLUS AND OTHER FUNDS

		1	2
		Current Statement Date	December 31, Prior Year
1.	Losses (current accident year \$.....2,325,973)	17,964,394	18,811,921
2.	Reinsurance payable on paid losses and loss adjustment expenses		
3.	Loss adjustment expenses	13,112,469	12,366,955
4.	Commissions payable, contingent commissions and other similar charges	731,501	570,249
5.	Other expenses (excluding taxes, licenses and fees)	248,727	263,455
6.	Taxes, licenses and fees (excluding federal and foreign income taxes)	37,706	140,306
7.1	Current federal and foreign income taxes (including \$.....0 on realized capital gains (losses))		
7.2	Net deferred tax liability		
8.	Borrowed money \$.....0 and interest thereon \$.....0		
9.	Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$.....597,759 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act)	8,305,307	8,429,135
10.	Advance premium	1,713,883	1,049,761
11.	Dividends declared and unpaid:		
11.1	Stockholders		
11.2	Policyholders		
12.	Ceded reinsurance premiums payable (net of ceding commissions)	1,428,554	1,394,248
13.	Funds held by company under reinsurance treaties		
14.	Amounts withheld or retained by company for account of others		
15.	Remittances and items not allocated		
16.	Provision for reinsurance (including \$.....0 certified)		
17.	Net adjustments in assets and liabilities due to foreign exchange rates		
18.	Drafts outstanding		
19.	Payable to parent, subsidiaries and affiliates	347,301	624,571
20.	Derivatives		
21.	Payable for securities		
22.	Payable for securities lending		
23.	Liability for amounts held under uninsured plans		
24.	Capital notes \$.....0 and interest thereon \$.....0		
25.	Aggregate write-ins for liabilities		
26.	TOTAL liabilities excluding protected cell liabilities (Lines 1 through 25)	43,889,842	43,650,601
27.	Protected cell liabilities		
28.	TOTAL liabilities (Lines 26 and 27)	43,889,842	43,650,601
29.	Aggregate write-ins for special surplus funds		
30.	Common capital stock	8,186,047	8,186,047
31.	Preferred capital stock		
32.	Aggregate write-ins for other than special surplus funds		
33.	Surplus notes		
34.	Gross paid in and contributed surplus	31,680,780	31,680,780
35.	Unassigned funds (surplus)	7,438,801	6,844,153
36.	Less treasury stock, at cost:		
36.10 shares common (value included in Line 30 \$.....0)		
36.20 shares preferred (value included in Line 31 \$.....0)		
37.	Surplus as regards policyholders (Lines 29 to 35, less 36)	47,305,628	46,710,980
38.	TOTALS (Page 2, Line 28, Col. 3)	91,195,470	90,361,581
DETAILS OF WRITE-INS			
2501.		
2502.		
2503.		
2598.	Summary of remaining write-ins for Line 25 from overflow page		
2599.	TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above)		
2901.		
2902.		
2903.		
2998.	Summary of remaining write-ins for Line 29 from overflow page		
2999.	TOTALS (Lines 2901 through 2903 plus 2998) (Line 29 above)		
3201.		
3202.		
3203.		
3298.	Summary of remaining write-ins for Line 32 from overflow page		
3299.	TOTALS (Lines 3201 through 3203 plus 3298) (Line 32 above)		

STATEMENT OF INCOME

		1	2	3
		Current Year to Date	Prior Year to Date	Prior Year Ended December 31
UNDERWRITING INCOME				
1.	Premiums earned			
1.1	Direct (written \$.....6,189,157)	6,849,342	6,695,897	13,970,170
1.2	Assumed (written \$.....2,598,615)	1,984,663	832,018	4,170,592
1.3	Ceded (written \$.....891,198)	813,603	914,876	2,722,420
1.4	Net (written \$.....7,896,574)	8,020,402	6,613,039	15,418,342
DEDUCTIONS:				
2.	Losses incurred (current accident year \$.....0)			
2.1	Direct	580,509	1,078,223	1,100,391
2.2	Assumed	654,939	343,207	1,395,382
2.3	Ceded	294,475	193,031	756,383
2.4	Net	940,973	1,228,399	1,739,390
3.	Loss adjustment expenses incurred	4,394,624	2,664,533	5,374,605
4.	Other underwriting expenses incurred	3,456,412	3,846,061	7,798,632
5.	Aggregate write-ins for underwriting deductions			
6.	TOTAL underwriting deductions (Lines 2 through 5)	8,792,009	7,738,993	14,912,627
7.	Net income of protected cells			
8.	Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	(771,607)	(1,125,954)	505,715
INVESTMENT INCOME				
9.	Net investment income earned	979,403	908,555	1,796,456
10.	Net realized capital gains (losses) less capital gains tax of \$.....0	(49,278)	275,780	158,094
11.	Net investment gain (loss) (Lines 9 + 10)	930,125	1,184,335	1,954,550
OTHER INCOME				
12.	Net gain or (loss) from agents' or premium balances charged off (amount recovered \$.....0 amount charged off \$.....0)			
13.	Finance and service charges not included in premiums			
14.	Aggregate write-ins for miscellaneous income	11,201	13,599	24,338
15.	TOTAL other income (Lines 12 through 14)	11,201	13,599	24,338
16.	Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	169,719	71,980	2,484,603
17.	Dividends to policyholders			
18.	Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	169,719	71,980	2,484,603
19.	Federal and foreign income taxes incurred		(15,778)	(169,925)
20.	Net income (Line 18 minus Line 19) (to Line 22)	169,719	87,758	2,654,528
CAPITAL AND SURPLUS ACCOUNT				
21.	Surplus as regards policyholders, December 31 prior year	46,710,980	45,598,089	45,598,089
22.	Net income (from Line 20)	169,719	87,758	2,654,528
23.	Net transfers (to) from Protected Cell accounts			
24.	Change in net unrealized capital gains or (losses) less capital gains tax of \$.....0	333,157	(71,298)	(135,870)
25.	Change in net unrealized foreign exchange capital gain (loss)			
26.	Change in net deferred income tax	(221,088)	(385,982)	(1,048,533)
27.	Change in nonadmitted assets	312,860	2,521,361	2,182,348
28.	Change in provision for reinsurance			
29.	Change in surplus notes			
30.	Surplus (contributed to) withdrawn from Protected cells			
31.	Cumulative effect of changes in accounting principles			
32.	Capital changes:			
32.1	Paid in			
32.2	Transferred from surplus (Stock Dividend)			
32.3	Transferred to surplus			
33.	Surplus adjustments:			
33.1	Paid in			
33.2	Transferred to capital (Stock Dividend)			
33.3	Transferred from capital			
34.	Net remittances from or (to) Home Office			
35.	Dividends to stockholders			
36.	Change in treasury stock			
37.	Aggregate write-ins for gains and losses in surplus		(2,539,581)	(2,539,581)
38.	Change in surplus as regards policyholders (Lines 22 through 37)	594,648	(387,742)	1,112,891
39.	Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	47,305,628	45,210,347	46,710,980
DETAILS OF WRITE-INS				
0501.				
0502.				
0503.				
0598.	Summary of remaining write-ins for Line 5 from overflow page			
0599.	TOTALS (Lines 0501 through 0503 plus 0598) (Line 5 above)			
1401.	Municipal Tax Collection Fee Income	11,201	13,599	24,338
1402.				
1403.				
1498.	Summary of remaining write-ins for Line 14 from overflow page			
1499.	TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above)	11,201	13,599	24,338
3701.	Merger adjustments		(2,539,581)	(2,539,581)
3702.				
3703.				
3798.	Summary of remaining write-ins for Line 37 from overflow page			
3799.	TOTALS (Lines 3701 through 3703 plus 3798) (Line 37 above)		(2,539,581)	(2,539,581)

CASH FLOW

		1	2	3
		Current	Prior	Prior
		Year	Year	Year Ended
		To Date	To Date	December 31
Cash from Operations				
1.	Premiums collected net of reinsurance	8,066,346	4,247,273	12,548,365
2.	Net investment income	1,157,326	1,211,190	2,296,752
3.	Miscellaneous income	11,201	13,599	24,338
4.	TOTAL (Lines 1 to 3)	9,234,873	5,472,062	14,869,455
5.	Benefit and loss related payments	1,963,006	1,495,852	2,517,074
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7.	Commissions, expenses paid and aggregate write-ins for deductions	6,846,026	7,125,231	14,501,641
8.	Dividends paid to policyholders			
9.	Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses)	54	91,560	(4,595)
10.	TOTAL (Lines 5 through 9)	8,809,086	8,712,643	17,014,120
11.	Net cash from operations (Line 4 minus Line 10)	425,787	(3,240,581)	(2,144,665)
Cash from Investments				
12.	Proceeds from investments sold, matured or repaid:			
12.1	Bonds	8,481,881	14,696,796	24,990,353
12.2	Stocks	2,242,289	1,544,894	3,280,948
12.3	Mortgage loans			
12.4	Real estate			
12.5	Other invested assets			
12.6	Net gains or (losses) on cash, cash equivalents and short-term investments			
12.7	Miscellaneous proceeds			
12.8	TOTAL investment proceeds (Lines 12.1 to 12.7)	10,724,170	16,241,690	28,271,301
13.	Cost of investments acquired (long-term only):			
13.1	Bonds	7,835,284	11,368,001	24,001,483
13.2	Stocks	2,180,062	1,535,993	3,550,146
13.3	Mortgage loans			
13.4	Real estate			
13.5	Other invested assets			
13.6	Miscellaneous applications			1
13.7	TOTAL investments acquired (Lines 13.1 to 13.6)	10,015,346	12,903,994	27,551,630
14.	Net increase (or decrease) in contract loans and premium notes			
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	708,824	3,337,696	719,671
Cash from Financing and Miscellaneous Sources				
16.	Cash provided (applied):			
16.1	Surplus notes, capital notes			
16.2	Capital and paid in surplus, less treasury stock			
16.3	Borrowed funds			
16.4	Net deposits on deposit-type contracts and other insurance liabilities			
16.5	Dividends to stockholders			
16.6	Other cash provided (applied)	(276,215)	(177,560)	51,910
17.	Net cash from financing and miscellaneous sources (Line 16.1 through 16.4 minus Line 16.5 plus Line 16.6)	(276,215)	(177,560)	51,910
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS				
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	858,396	(80,445)	(1,373,085)
19.	Cash, cash equivalents and short-term investments:			
19.1	Beginning of year	2,878,911	4,251,996	4,251,996
19.2	End of period (Line 18 plus Line 19.1)	3,737,307	4,171,551	2,878,911

Note: Supplemental Disclosures of Cash Flow Information for Non-Cash Transactions:

20.0001				
---------	--	--	--	--

Notes to Financial Statement

1. Summary of Significant Accounting Policies

A. Accounting Practices

The accompanying financial statements of Healthcare Underwriters Group, Inc. (HU or the Company) have been completed in accordance with the *Accounting Practices and Procedures Manual* (NAIC SAP). A reconciliation of the Company’s net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the state of Ohio is shown below:

	State of Domicile	2016	2015
NET INCOME			
(1) State basis (Page 4, Line 20, Columns 1 & 3)	OH	169,719	2,654,528
(2) State Prescribed Practices that increase/(decrease) NAIC SAP:		---	---
(3) State Permitted Practices that increase/(decrease) NAIC SAP:		---	---
(4) NAIC SAP (1-2-3=4)	OH	169,719	2,654,528
SURPLUS			
(5) State basis (Page 3, Line 37, Columns 1 & 2)	OH	47,305,628	46,710,980
(6) State Prescribed Practices that increase/(decrease) NAIC SAP:		---	---
(7) State Permitted Practices that increase/(decrease) NAIC SAP:		---	---
(8) NAIC SAP (5-6-7=8)	OH	47,305,628	46,710,980

B. Use of Estimates in the Preparation of the Financial Statements – No change.

C. Accounting Policies – Loan-backed securities are stated at amortized cost.

2. Accounting Changes and Corrections of Errors – None.

3. Business Combinations and Goodwill

On January 8, 2015, a merger was completed among Healthcare Underwriters Group of Florida, Inc., Healthcare Underwriters Group Insurance of Kentucky, Inc. and Healthcare Underwriters Group of Ohio, Inc. and their respective management companies to form a combined medical professional liability insurance company. The combined company, Healthcare Underwriters Group, Inc., is wholly owned by a holding company, Global Insurance Management Company, Inc. (GIMC), which is owned by the former owners of all of the companies. For all future financial reporting purposes, the combination was treated as though it occurred on January 1, 2015.

As a result of the merger and changes to the structure of the organization, an Administrative Service Agreement, including cost sharing and provision of management services, was executed. In addition, certain consolidating and adjusting entries were required to reflect the financial results of the combined entities.

These adjustments had no effect on post merger operating results and therefore were not reflected in the 2015 operating results of the company but as adjustments to HU’s surplus on the Balance Sheet. The adjustments total \$2,539,581, net of tax of \$1,308,269, and are shown on the Statement of Income on line 37 as a prior year reduction in surplus.

4. Discontinued Operations – There are no discontinued operations.

5. Investments

A. Mortgage Loans – The Company has no mortgage loans.

B. Debt Restructuring – The Company has no restructured debt.

C. Reverse Mortgages – The Company has no restructured debt.

D. Loan-Backed Securities

(1) HU’s loan-backed securities consist entirely of U.S. Government residential mortgage-backed securities. Any prepayment assumptions are provided by the Company’s investment advisors, PNC Capital Advisors, LLC and Regions Investment Management.

(2) None of the Company’s loan-backed securities have a recognized other-than-temporary impairment.

(3) None of the Company’s loan-backed securities have a recognized other-than-temporary impairment.

(4) All impaired securities (fair value is less than amortized cost) for which an other-than temporary impairment has not been recognized in earnings as a realized loss:

Description	Amount
a. The aggregate amount of unrealized losses:	
1. Less than 12 Months	150
2. 12 Months or Longer	216,150
b. The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 Months	32,201
2. 12 Months or Longer	4,498,544

(5) Advice from the Company’s investment managers was considered in reaching the conclusion that the impairments are not other-than-temporary.

Notes to Financial Statement

5. Investments (Continued)

- E. Repurchase Agreements and/or Securities Lending Transactions - The Company has no repurchase agreements or securities lending transactions.
- F. Real Estate - The Company has no investments in real estate.
- G. Low-income housing tax credits - HU has no investments in low-income housing tax credits.
- H. Restricted Assets

(1) Restricted Assets (Including Pledged)

Restricted Asset Category	Gross Restricted							8 Total Current Year Admitted Restricted	Percentage	
	Current Year					6 Total From Prior Year	7 Increase/ (Decrease) (5 minus 6)		9 Gross Restricted to Total Assets	10 Admitted Restricted to Total Admitted. Assets
	1 Total General Account (G/A)	2 G/A Supporting Protected Cell Account Activity (a)	3 Total Protected Cell Account Restricted Assets	4 Protected Cell Account Assets Supporting G/A Activity (b)	5 Total (1 plus 3)					
(a) Subject to contractual obligation for which liability is not shown	---	---	---	---	---	---	---	---	---%	---%
(b) Collateral held under security lending agreements	---	---	---	---	---	---	---	---	---%	---%
(c) Subject to repurchase agreements	---	---	---	---	---	---	---	---	---%	---%
(d) Subject to reverse repurchase agreements	---	---	---	---	---	---	---	---	---%	---%
(e) Subject to dollar repurchase agreements	---	---	---	---	---	---	---	---	---%	---%
(f) Subject to dollar reverse repurchase agreements	---	---	---	---	---	---	---	---	---%	---%
(g) Placed under option contracts	---	---	---	---	---	---	---	---	---%	---%
(h) Letter stock or securities restricted as to sale – ex-cluding FHLB capital stock	---	---	---	---	---	---	---	---	---%	---%
(i) FHLB capital stock	---	---	---	---	---	---	---	---	---%	---%
(j) On deposit with states	1,134,397	---	---	---	1,134,397	1,375,912	(241,515)	---	1.243%	---%
(k) On deposit with other regulatory bodies	---	---	---	---	---	---	---	---	---%	---%
(l) Pledged as collateral to FHLB (including assets backing funding agreements)	---	---	---	---	---	---	---	---	---%	---%
(m) Pledged as collateral not captured in other categories	---	---	---	---	---	---	---	---	---%	---%
(n) Other restricted assets	---	---	---	---	---	---	---	---	---%	---%
(o) Total Restricted Assets	1,1134,397	---	---	---	1,134,397	1,375,912	(241,515)	---	1.243%	---%
(a) Subset of column 1										
(b) Subset of column 3										

(2) Detail of Assets Pledged as Collateral Not Captured in Other Categories - None

(3) Detail of Other Restricted Assets - None

- I. Working Capital Finance Investments - None
- J. Offsetting and Netting of Assets and Liabilities – Not applicable.
- K. Structured Notes – The Company has no structured notes.

6. Joint Ventures, Partnerships and Limited Liability Companies – Not applicable.

7. Investment Income – No investment income due and accrued was excluded from surplus.

8. Derivative Instruments – Not applicable.

9. Income Taxes

- A. The components of the net deferred tax asset/(liability) at March 31 are as follows:

1.

Description	06/30/2016			Prior Year End			Change		
	(1) Ordinary	(2) Capital	(3) (Col. 1 + 2) Total	(4) Ordinary	(5) Capital	(6) (Col. 4 + 5) Total	(7) (Col. 1 – 4) Ordinary	(8) (Col. 2 – 5) Capital	(9) (Col. 7 + 8) Total
(a) Gross Deferred Tax Assets	2,523,230	---	2,523,230	2,560,067	---	2,560,067	(36,837)	---	(36,837)
(b) Statutory Valuation Allowance Adjustments	---	---	---	---	---	---	---	---	---
(c) Adjusted Gross Deferred Tax Assets (1a – 1b)	2,523,230	---	2,523,230	2,560,067	---	2,560,067	(36,837)	---	(36,837)
(d) Deferred Tax Assets Nonadmitted	---	---	---	96,233	---	96,233	(96,233)	---	(96,233)
(e) Subtotal Net Admitted Deferred Tax Asset (1c – 1d)	2,523,230	---	2,523,230	2,463,834	---	2,463,834	59,396	---	59,396
(f) Deferred Tax Liabilities	347,407	---	347,407	163,210	---	163,210	184,197	---	184,197
(g) Net Admitted Deferred Tax Asset/(Net Deferred Tax Liability) (1e – 1f)	2,175,823	---	2,175,823	2,300,624	---	2,300,624	(124,801)	---	(124,801)

2.

Admission Calculation Components SSAP No. 101	06/30/2016			Prior Year End			Change		
	(1) Ordinary	(2) Capital	(3) (Col. 1 + 2) Total	(4) Ordinary	(5) Capital	(6) (Col. 4 + 5) Total	(7) (Col. 1 – 4) Ordinary	(8) (Col. 2 – 5) Capital	(9) (Col. 7 + 8) Total
(a) Federal Income Taxes Paid In Prior Years Recoverable Through Loss Carrybacks	---	---	---	---	---	---	---	---	---
(b) Adjusted Gross Deferred Tax Assets Expected To Be Realized (Excluding The Amount Of Deferred Tax Assets From 2(a) Above) After Application of the Threshold Limitation (The Lesser of 2(b)1 and 2(b)2 below)	2,175,823	---	2,175,823	2,300,624	---	2,300,624	(124,801)	---	(124,801)
1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date	2,175,823	---	2,175,823	2,300,624	---	2,300,624	(124,801)	---	(124,801)
2. Adjusted Gross Deferred Tax Assets Allowed per Limitation Threshold	XXX	XXX	---	XXX	XXX	---	XXX	XXX	---
(c) Adjusted Gross Deferred Tax Assets (Excluding The Amount Of Deferred Tax Assets From 2(a) and 2(b) above) Offset by Gross Deferred Tax Liabilities	347,407	---	347,407	163,210	---	163,210	184,197	---	184,197
(d) Deferred Tax Assets Admitted as the result of application of SSAP No. 101 Total (2(a) + 2(b) + 2(c))	2,523,230	---	2,523,230	2,463,834	---	2,463,834	59,396	---	59,396

Notes to Financial Statement

9. Income Taxes (Continued)

3.

Description	2016	2015
(a) Ratio Percentage Used To Determine Recovery Period And Threshold Limitation Amount.	1,232,000	1,217,000
(b) Amount Of Adjusted Capital And Surplus Used To Determine Recovery Period And Threshold Limitation in 2(b) 2 Above.	45,129,805	44,410,356

4. Impact of Tax Planning Strategies

Impact of Tax-Planning Strategies	06/30/2016		Prior Year End		Change	
	(1) Ordinary	(2) Capital	(3) Ordinary	(4) Capital	(5) (Col. 1 – 3) Ordinary	(6) (Col. 2 - 4) Capital
(a) Determination of adjusted gross deferred tax assets and net admitted deferred tax assets, by tax character as a percentage						
1. Adjusted gross DTAs amount from Note 9A1(c)	2,523,230	---	2,560,067	---	(36,837)	---
2. Percentage of adjusted gross DTAs by tax character attributable to the impact of tax planning strategies		---		---		---
3. Net Admitted Adjusted Gross DTAs amount from Note 9A1(e)	2,523,230	---	2,463,834	---	59,396	---
4. Percentage of net admitted adjusted gross DTAs by tax character admitted because of the impact of tax planning strategies	---	---	---	---	---	---

(b) Does the Company’s tax-planning strategies include the use of reinsurance? Yes _____ No X

B. Regarding deferred tax liabilities that are not recognized – Not applicable.

C. Current income taxes incurred consist of the following major components:

Description	(1) 6/30/2016	(2) Prior Year End	(3) (Col. 1 – 2) Change
1. Current Income Tax			
(a) Federal	---	---	---
(b) Foreign	---	---	---
(c) Subtotal	---	---	---
(d) Federal income tax on net capital gains	---	(81,443)	81,443
(e) Utilization of capital loss carry-forwards	---	---	---
(f) Other	---	(88,452)	88,452
(g) Federal and foreign income taxes incurred	---	(169,895)	169,895
2. Deferred Tax Assets:			
(a) Ordinary			
(1) Discounting of unpaid losses	604,295	613,050	(8,755)
(2) Unearned premium reserve	564,761	573,181	(8,420)
(3) Advance premiums	116,544	71,384	45,160
(4) Fixed assets	---	176	(176)
(5) Net operating loss carry-forward	1,181,806	1,175,349	6,457
(6) Other (including items < 5% of total ordinary tax assets)	55,824	126,927	(71,103)
(99) Subtotal	2,523,230	2,560,067	(36,837)
(b) Statutory valuation allowance adjustment	---	---	---
(c) Nonadmitted	---	96,233	(96,233)
(d) Admitted ordinary deferred tax assets (2a99 – 2b – 2c)	2,523,230	2,463,834	59,396
(e) Capital:			
(1) Investments	---	---	---
(2) Net capital loss carry-forward	---	---	---
(3) Other (including items < 5% of total capital tax assets)	---	---	---
(99) Subtotal	---	---	---
(f) Statutory valuation allowance adjustment	---	---	---
(g) Nonadmitted	---	---	---
(h) Admitted capital deferred tax assets (2e99 – 2f – 2g)	---	---	---
(i) Admitted deferred tax assets (2d + 2h)	2,523,230	2,463,834	59,396
3. Deferred Tax Liabilities:			
(a) Ordinary			
(1) Deferred acquisition costs	99,004	28,061	70,943
(2) Other (including items < 5% of total ordinary tax liabilities)	---	---	---
(99) Subtotal	99,004	28,061	70,943
(b) Capital:			
(1) Investments	---	---	---
(2) Real estate	---	---	---
(3) Other (including items < 5% of total capital tax liabilities)	248,403	135,149	113,254
(99) Subtotal	248,403	135,149	113,254
(c) Deferred tax liabilities (3a99 + 3b99)	347,407	163,210	184,197
4. Net deferred tax assets/liabilities (2i – 3c)	2,175,823	2,300,624	(124,801)

Notes to Financial Statement

10. **Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties** – No change.
11. **Debt** – The Company has no debt.
12. **Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans** – Not applicable.
13. **Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations**

(1) & (2) The Company was organized as a non-assessable mutual company with no capital stock and converted to a stock insurance company effective August 25, 2014. In connection with the merger described earlier, each outstanding share of the Company’s stock was exchanged for 1 share of GIMC’s common stock. As a result, GIMC holds 8,186,047 shares of common stock in the Company.

(3), (4) & (5) Under Ohio insurance statutes, dividends can only be paid from net realized savings and net realized earnings which are in excess of the Company’s minimum required surplus as determined on a statutory basis. No dividend may be paid without providing notice to the Ohio Department of Insurance (ODI) and giving the ODI 30 days to disapprove such dividend. No dividends were paid during the first half of 2016 or all of 2015.

(6) There were no restrictions placed on the Company’s surplus, including for whom the surplus is being held.

(7) There were no advances to surplus not repaid.

(8) Amount of stock withheld by the Company for special purposes – None.

(9) The Company has no changes in the balances of any special surplus funds from the prior year.

(10)Unassigned funds (surplus) are not represented or reduced by cumulative unrealized gains and losses.

(11)Surplus Notes – None.

(12) & (13) Quasi-reorganizations – Not applicable.
14. **Liabilities, Contingencies and Assessments** – None.
15. **Leases** – The Company has no leases.
16. **Information About Financial Instruments With Off-Balance-Sheet Risk And Financial Instruments With Concentrations of Credit Risk** – Not applicable.
17. **Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities** – Not applicable.
18. **Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans** – Not applicable.
19. **Direct Premium Written/Produced by Managing General Agents/Third Party Administrators** – None.

20. Fair Value Measurements

A. The Company’s estimates of fair value for financial assets and financial liabilities are based on the framework established in the Fair Value Measurements and Disclosures accounting guidance. The framework is based on the inputs used in valuation and requires that observable inputs be used in the valuations when available. The disclosure of fair value estimates in the fair value accounting guidance includes a hierarchy based on whether significant valuation inputs are observable. In determining the level of the hierarchy in which the estimate is disclosed, the highest priority is given to unadjusted quoted prices in active markets and the lowest priority to unobservable inputs that reflect HU’s significant market assumptions. The only assets and liabilities measured and reported at fair value are common stocks. The three levels of the hierarchy are as follows:

- Level 1 – Quoted prices in active markets for identical securities.
- Level 2 – Quoted prices in active markets for similar securities.
- Level 3 – Inputs to the valuation methodology are unobservable for the asset or liability and are significant to the fair value measurement.

(1) Fair Value Measurements at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Total
a. Assets at fair value				
Common Stock				
Industrial and Misc.	8,358,119	---	---	8,358,119
Parent, Subsidiaries and Affiliates	---	---	---	---
Total Common Stocks	---	---	---	---
	8,358,119	---	---	8,358,119
Total assets at fair value	8,358,119	---	---	8,358,119
b. Liabilities at fair value				
Derivative liabilities	---	---	---	---
Total liabilities at fair value	---	---	---	---

(2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy – Not applicable.

Notes to Financial Statement

20. Fair Value Measurements (Continued)

- B. Combination of fair value information disclosed under SSAP No. 100, Fair Value Measurements, with the fair value information disclosed under other accounting pronouncements – Not applicable.
- C. Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)
Bonds	75,147,493	73,498,586	12,608,810	62,538,683	---	---
Common Stock	8,358,119	8,358,119	8,358,119	---	---	---

- D. Not Practicable to Estimate Fair Value – Not applicable.

21. Other Items

- A. Extraordinary Items – None.
- B. Troubled Debt Restructuring: Debtors – Not applicable.
- C. Other Disclosures and Unusual Items – None.
- D. Business Interruption Insurance Recoveries – None.
- E. State Transferable and Non-transferable Tax Credits – None.
- F. Subprime-Mortgage-Related Risk Exposure – None.
- G. Agents’ Balances Certification, Section 625.012(5), Florida Statutes:
- a. Agents’ Balances or Uncollected Premiums as reported on Page 2, 15.1 - \$2,738,222.
 - b. Amount of Agents’ Balances or Uncollected Premiums from Page 2, Line 15.1 that is due from “controlled” or “controlling” persons – None.
 - c. Amount reported in #2 above and secured by a: Trust Fund, Letter of Credit, and Financial Guaranty Bond as required by Section 625.012, Florida Statutes – None.

22. Events Subsequent – Subsequent events have been considered through August 11, 2016 for the quarterly statement issued on August 12, 2016. There have been no material recognized or nonrecognized subsequent events.

23. Reinsurance – Ceded reinsurance premiums payable related to insured events of prior years were increased by \$100,000 as a result of re-estimation of unpaid reinsured claims. Management determined to increase ceded reinsurance premiums payable based on management’s judgment and evaluation of the payable based in part on advice received from its independent consulting actuary.

- A. Unsecured Reinsurance Recoverables – None
- B. Reinsurance Recoverable in Dispute - None
- C. Reinsurance Assumed and Ceded – No return commission would be due reinsurers if the Company’s reinsurance was canceled.
- D. Uncollectible Reinsurance - None
- E. Commutation of Ceded Reinsurance - None
- F. Retroactive Reinsurance - None
- G. Reinsurance Accounted for as a Deposit – None
- H. Disclosures for the Transfer of Property and Casualty Run-Off Agreements - None
- I. Certified Reinsurer Rating Downgraded or Status Subject to Revocation - None

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination – Not applicable.

25. Changes in Incurred Losses and Loss Adjustment Expenses – Net reserves for losses and loss adjustment expenses as of June 30, 2016 related to insured events of prior years were decreased by \$350,000 during the quarter as a result of re-estimation of unpaid claims because the Company has reduced its estimates of claims severity (i.e. the average size of a claim) related to those years. Based on recent internal and industry claims data, management believes claims severity for those earlier years will be less than was actuarially projected and that claims severity is increasing at a rate slower than was estimated when reserves for those years were established. No return premiums have been accrued as a result of the prior-year effects.

26. Intercompany Pooling Arrangements – Not applicable.

27. Structured Settlements – Not applicable.

Notes to Financial Statement

- 28. Health Care Receivables** – Not applicable.
- 29. Participating Policies** – Not applicable.
- 30. Premium Deficiency Reserves** – No change.
- 31. High Deductibles** – Not applicable.
- 32. Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses** – Not applicable.

- 33. Asbestos/Environmental Reserves** – Not applicable.
- 34. Subscriber Savings Accounts** – Not applicable.
- 35. Multiple Peril Crop Insurance** – Not applicable.
- 36. Financial Guaranty Insurance** – Not applicable.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES
GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes[] No[X]
- 1.2 If yes, has the report been filed with the domiciliary state?

Yes[] No[] N/A[X]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes[] No[X]
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

Yes[X] No[]
- If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes[] No[X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes:
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes[] No[X]
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?

If yes, attach an explanation.

Yes[] No[X] N/A[]
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made.

11/30/2013
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

11/30/2013
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

01/30/2014
- 6.4 By what department or departments?

Ohio Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes[] No[] N/A[X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with?

Yes[] No[] N/A[X]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes[] No[X]
- 7.2 If yes, give full information
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes[] No[X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms?

Yes[] No[X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
		Yes[] No[X]	Yes[] No[X]	Yes[] No[X]	Yes[] No[X]

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

Yes[X] No[]
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

(c) Compliance with applicable governmental laws, rules and regulations;

(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

(e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended?

Yes[] No[X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers?

Yes[] No[X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes[] No[X]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$ 0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes[] No[X]
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$ 0
13. Amount of real estate and mortgages held in short-term investments:

\$ 0

GENERAL INTERROGATORIES (Continued)

INVESTMENT

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?

14.2 If yes, please complete the following:

Yes[] No[X]

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds		
14.22 Preferred Stock		
14.23 Common Stock		
14.24 Short-Term Investments		
14.25 Mortgages Loans on Real Estate		
14.26 All Other		
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)		
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above		

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
If no, attach a description with this statement.

Yes[] No[X]
Yes[] No[] N/A[X]

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

16.3 Total payable for securities lending reported on the liability page

\$ 0
\$ 0
\$ 0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

Yes[X] No[]

1 Name of Custodian(s)	2 Custodian Address
PNC Bank	1900 E. 9th Street, Cleveland, OH 44114
Regions Bank	1511 N. Westshore Blvd., Ste. 850, Tampa, FL 33607

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?

17.4 If yes, give full and complete information relating thereto:

Yes[] No[X]

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....

17.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
151829	PNC Capital Advisors, LLC	1900 E. 9th Street, Cleveland, OH 44114
111715	Regions Investment Management	1511 N. Westshore Blvd., Ste. 850, Tampa, FL 33607

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed?

18.2 If no, list exceptions:

Yes[X] No[]

GENERAL INTERROGATORIES

PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change?
If yes, attach an explanation.

Yes[] No[] N/A[X]

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured?
If yes, attach an explanation.

Yes[] No[X]

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled?
3.2 If yes, give full and complete information thereto

Yes[] No[X]

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see annual statement instructions pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero?
4.2 If yes, complete the following schedule:

Yes[] No[X]

1 Line of Business	2 Maximum Interest	3 Discount Rate	TOTAL DISCOUNT				DISCOUNT TAKEN DURING PERIOD			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 TOTAL
04.2999 Total

5. Operating Percentages:
5.1 A&H loss percent
5.2 A&H cost containment percent
5.3 A&H expense percent excluding cost containment expenses

.....0.000%
.....0.000%
.....0.000%

6.1 Do you act as a custodian for health savings accounts?
6.2 If yes, please provide the amount of custodial funds held as of the reporting date.
6.3 Do you act as an administrator for health savings accounts?
6.4 If yes, please provide the balance of the funds administered as of the reporting date.

Yes[] No[X]
\$.....0
Yes[] No[X]
\$.....0

SCHEDULE F - CEDED REINSURANCE
Showing all new reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
			N O N E			

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

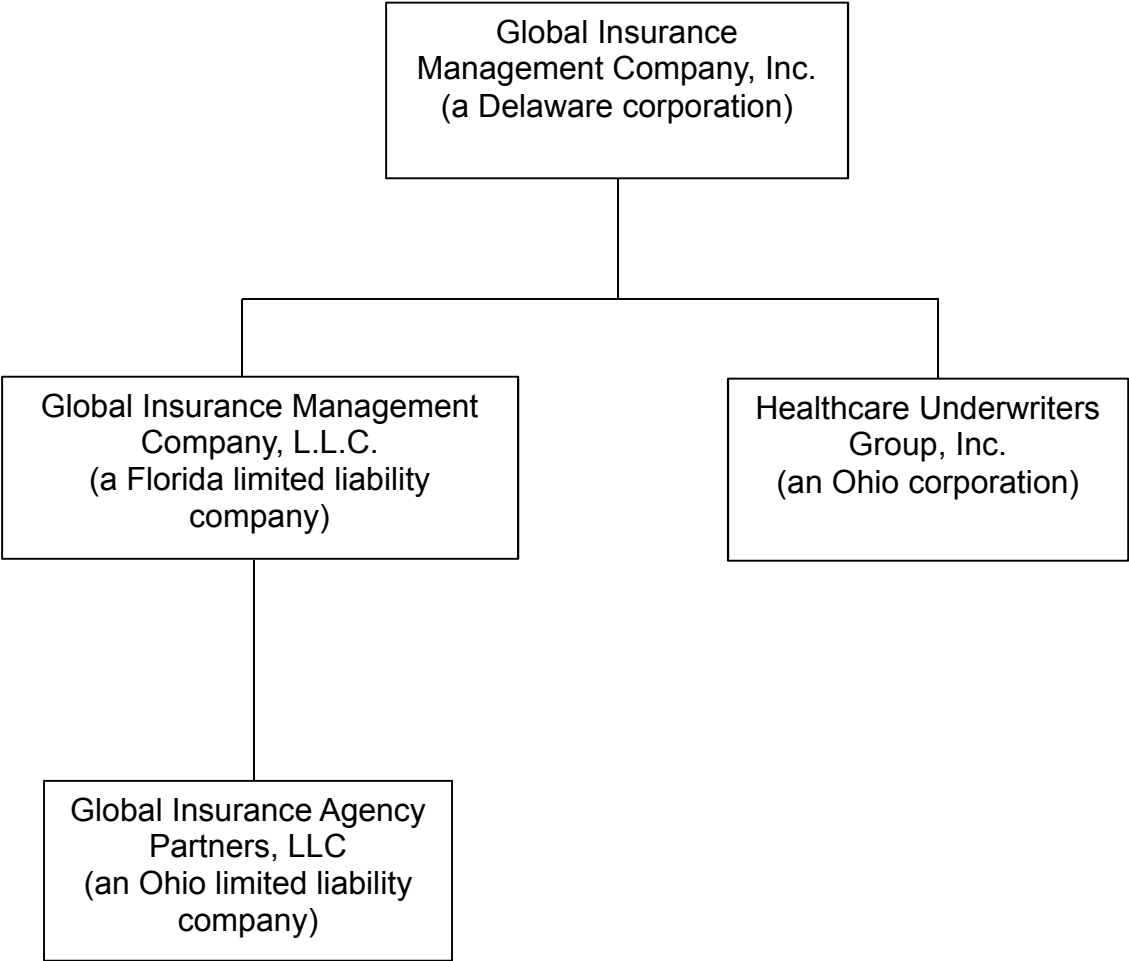
Current Year to Date - Allocated by States and Territories

		1	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
			2	3	4	5	6	7
States, etc.		Active Status	Current Year To Date	Prior Year To Date	Current Year To Date	Prior Year To Date	Current Year To Date	Prior Year To Date
1.	Alabama (AL)	N						
2.	Alaska (AK)	N						
3.	Arizona (AZ)	N						
4.	Arkansas (AR)	N						
5.	California (CA)	N						
6.	Colorado (CO)	N						
7.	Connecticut (CT)	N						
8.	Delaware (DE)	N						
9.	District of Columbia (DC)	N						
10.	Florida (FL)	L	2,598,704	2,456,863	1,067,500	995,000	7,030,673	7,423,351
11.	Georgia (GA)	N						
12.	Hawaii (HI)	N						
13.	Idaho (ID)	N						
14.	Illinois (IL)	N						
15.	Indiana (IN)	L	14,760				1,609	
16.	Iowa (IA)	N						
17.	Kansas (KS)	N						
18.	Kentucky (KY)	L	1,393,238	1,688,152	485,000	1,250,000	5,749,596	7,793,114
19.	Louisiana (LA)	N						
20.	Maine (ME)	N						
21.	Maryland (MD)	N						
22.	Massachusetts (MA)	N						
23.	Michigan (MI)	N						
24.	Minnesota (MN)	N						
25.	Mississippi (MS)	N						
26.	Missouri (MO)	N						
27.	Montana (MT)	N						
28.	Nebraska (NE)	N						
29.	Nevada (NV)	N						
30.	New Hampshire (NH)	N						
31.	New Jersey (NJ)	N						
32.	New Mexico (NM)	N						
33.	New York (NY)	N						
34.	North Carolina (NC)	N						
35.	North Dakota (ND)	N						
36.	Ohio (OH)	L	2,182,455	2,302,156	200,000	6,000	6,221,365	6,948,251
37.	Oklahoma (OK)	N						
38.	Oregon (OR)	N						
39.	Pennsylvania (PA)	N						
40.	Rhode Island (RI)	N						
41.	South Carolina (SC)	N						
42.	South Dakota (SD)	N						
43.	Tennessee (TN)	N						
44.	Texas (TX)	N						
45.	Utah (UT)	N						
46.	Vermont (VT)	N						
47.	Virginia (VA)	N						
48.	Washington (WA)	N						
49.	West Virginia (WV)	N						
50.	Wisconsin (WI)	N						
51.	Wyoming (WY)	N						
52.	American Samoa (AS)	N						
53.	Guam (GU)	N						
54.	Puerto Rico (PR)	N						
55.	U.S. Virgin Islands (VI)	N						
56.	Northern Mariana Islands (MP)	N						
57.	Canada (CAN)	N						
58.	Aggregate other alien (OT)	X X X						
59.	Totals	(a). 4	6,189,157	6,447,171	1,752,500	2,251,000	19,003,243	22,164,716
DETAILS OF WRITE-INS								
58001.....	X X X							
58002.....	X X X							
58003.....	X X X							
58998Summary of remaining write-ins for Line 58 from overflow page	X X X							
58999TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above)	X X X							

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER
MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART
Global Insurance Management Company, Inc.
Organizational Chart
June 30, 2016



SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	*
.....	00000	47-1971933	Global Insurance Management Company, Inc.	DE	UDP	Shareholders	Ownership	100.0
.....	12233	74-3129288	Healthcare Underwriters Group, Inc.	OH	DS	Global Insurance Management Company, Inc.	Ownership	100.0	Global Insurance Management Company, Inc.
.....	00000	16-1647591	Global Insurance Management Co., LLC ..	FL	DS	Global Insurance Management Company, Inc.	Ownership	100.0	Global Insurance Management Company, Inc.
.....	00000	46-1740386	Global Insurance Agency Partners, LLC ..	OH	DS	Global Insurance Management Co., LLC ...	Ownership	100.0	Global Insurance Management Company, Inc.

Asterisk	Explanation
0000001

PART 1 - LOSS EXPERIENCE

Line of Business		Current Year to Date			4 Prior Year to Date Direct Loss Percentage
		1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1.	Fire				
2.	Allied lines				
3.	Farmowners multiple peril				
4.	Homeowners multiple peril				
5.	Commercial multiple peril				
6.	Mortgage guaranty				
8.	Ocean marine				
9.	Inland marine				
10.	Financial guaranty				
11.1	Medical professional liability - occurrence	985,233	235,576	23.911	32.102
11.2	Medical professional liability - claims made	5,864,109	344,933	5.882	14.899
12.	Earthquake				
13.	Group accident and health				
14.	Credit accident and health				
15.	Other accident and health				
16.	Workers' compensation				
17.1	Other liability - occurrence				
17.2	Other liability - claims made				
17.3	Excess Workers' Compensation				
18.1	Products liability - occurrence				
18.2	Products liability - claims made				
19.1	19.2 Private passenger auto liability				
19.3	19.4 Commercial auto liability				
21.	Auto physical damage				
22.	Aircraft (all perils)				
23.	Fidelity				
24.	Surety				
26.	Burglary and theft				
27.	Boiler and machinery				
28.	Credit				
29.	International				
30.	Warranty				
31.	Reinsurance-Nonproportional Assumed Property	X X X	X X X	X X X	X X X
32.	Reinsurance-Nonproportional Assumed Liability	X X X	X X X	X X X	X X X
33.	Reinsurance-Nonproportional Assumed Financial Lines	X X X	X X X	X X X	X X X
34.	Aggregate write-ins for other lines of business				
35.	TOTALS	6,849,342	580,509	8.475	16.103
DETAILS OF WRITE-INS					
3401.				
3402.				
3403.				
3498.	Summary of remaining write-ins for Line 34 from overflow page				
3499.	TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)				

PART 2 - DIRECT PREMIUMS WRITTEN

Line of Business		1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1.	Fire			
2.	Allied lines			
3.	Farmowners multiple peril			
4.	Homeowners multiple peril			
5.	Commercial multiple peril			
6.	Mortgage guaranty			
8.	Ocean marine			
9.	Inland marine			
10.	Financial guaranty			
11.1	Medical professional liability - occurrence	51,991	985,233	468,593
11.2	Medical professional liability - claims made	2,504,014	5,203,924	5,978,578
12.	Earthquake			
13.	Group accident and health			
14.	Credit accident and health			
15.	Other accident and health			
16.	Workers' compensation			
17.1	Other liability - occurrence			
17.2	Other liability - claims made			
17.3	Excess Workers' Compensation			
18.1	Products liability - occurrence			
18.2	Products liability - claims made			
19.1	19.2 Private passenger auto liability			
19.3	19.4 Commercial auto liability			
21.	Auto physical damage			
22.	Aircraft (all perils)			
23.	Fidelity			
24.	Surety			
26.	Burglary and theft			
27.	Boiler and machinery			
28.	Credit			
29.	International			
30.	Warranty			
31.	Reinsurance-Nonproportional Assumed Property	X X X	X X X	X X X
32.	Reinsurance-Nonproportional Assumed Liability	X X X	X X X	X X X
33.	Reinsurance-Nonproportional Assumed Financial Lines	X X X	X X X	X X X
34.	Aggregate write-ins for other lines of business			
35.	TOTALS	2,556,005	6,189,157	6,447,171
DETAILS OF WRITE-INS				
3401.			
3402.			
3403.			
3498.	Summary of remaining write-ins for Line 34 from overflow page			
3499.	TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)			

PART 3 (000 omitted)
LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2016 Loss and LAE Payments on Claims Reported as of Prior Year-End	2016 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2016 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 11 + 12)
1. 2013 + Prior	9,822	5,415	15,237	3,418		3,418	9,144		2,950	12,094	2,740	(2,465)	275
2. 2014	2,087	3,048	5,135	685		685	2,252		2,048	4,300	850	(1,000)	(150)
3. Subtotals 2014 + Prior	11,909	8,463	20,372	4,103		4,103	11,396		4,998	16,394	3,590	(3,465)	125
4. 2015	4,894	5,913	10,807	1,181		1,181	4,233		4,918	9,151	520	(995)	(475)
5. Subtotals 2015 + Prior	16,803	14,376	31,179	5,284		5,284	15,629		9,916	25,545	4,110	(4,460)	(350)
6. 2016	X X X	X X X	X X X	X X X	154	154	X X X	3,655	1,876	5,531	X X X	X X X	X X X
7. Totals	16,803	14,376	31,179	5,284	154	5,438	15,629	3,655	11,792	31,076	4,110	(4,460)	(350)
8. Prior Year-End Surplus As Regards Policyholders	46,711										Col. 11, Line 7 As % of Col. 1 Line 7 1..... 24.460	Col. 12, Line 7 As % of Col. 2 Line 7 2..... (31.024)	Col. 13, Line 7 As % of Col. 3 Line 7 3..... (1.123)
													Col. 13, Line 7 Line 8
													4..... (0.749)

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	RESPONSES
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	No
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	Yes
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	No
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	No

Explanations:

Bar Codes:

Trusteed Surplus Statement



Medicare Part D Coverage Supplement



Director and Officer Supplement



OVERFLOW PAGE FOR WRITE-INS

STATEMENT AS OF **June 30, 2016** OF THE **HEALTHCARE UNDERWRITERS GROUP, INC.**
SCHEDULE A - VERIFICATION

Real Estate		
	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other-than-temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION
Mortgage Loans

	1	2
	Year To Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest paid		
9. Total foreign exchange change in book value/recorded investment		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION
Other Long-Term Invested Assets

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION
Bonds and Stocks

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	82,443,712	83,485,816
2. Cost of bonds and stocks acquired	10,015,346	27,551,629
3. Accrual of discount	38,067	34,746
4. Unrealized valuation increase (decrease)	333,157	(135,870)
5. Total gain (loss) on disposals	(49,278)	239,538
6. Deduct consideration for bonds and stocks disposed of	10,724,170	28,271,301
7. Deduct amortization of premium	200,129	460,846
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	81,856,705	82,443,712
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	81,856,705	82,443,712

QS102

SCHEDULE D - PART 1B
Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	63,154,888	3,754,539	2,955,291	(61,752)	63,154,888	63,892,384		65,211,509
2. NAIC 2 (a)	11,838,548	32,809	627,319	(11,337)	11,838,548	11,232,701		10,099,847
3. NAIC 3 (a)								
4. NAIC 4 (a)								
5. NAIC 5 (a)								
6. NAIC 6 (a)								
7. Total Bonds	74,993,435	3,787,348	3,582,610	(73,089)	74,993,435	75,125,084		75,311,356
PREFERRED STOCK								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock								
15. Total Bonds & Preferred Stock	74,993,435	3,787,348	3,582,610	(73,089)	74,993,435	75,125,084		75,311,356

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0

SCHEDULE DA - PART 1

Short - Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999. Totals	2,093,901	X X X	2,093,901	1,812	725

SCHEDULE DA - Verification

Short-Term Investments

		1 Year To Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	1,030,952	2,575,802
2.	Cost of short-term investments acquired	4,392,992	11,173,797
3.	Accrual of discount		
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals		
6.	Deduct consideration received on disposals	3,329,751	12,718,647
7.	Deduct amortization of premium	292	
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	2,093,901	1,030,952
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	2,093,901	1,030,952

SI04 Schedule DB - Part A Verification NONE

SI04 Schedule DB - Part B Verification NONE

SI05 Schedule DB Part C Section 1 NONE

SI06 Schedule DB Part C Section 2 NONE

SI07 Schedule DB - Verification NONE

SCHEDULE E - Verification
(Cash Equivalents)

		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	660,072	1,626,634
2.	Cost of cash equivalents acquired	9,969,471	17,252,026
3.	Accrual of discount		
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals		
6.	Deduct consideration received on disposals	8,883,165	18,218,588
7.	Deduct amortization of premium	195	
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	1,746,183	660,072
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	1,746,183	660,072

E01 Schedule A Part 2 NONE

E01 Schedule A Part 3 NONE

E02 Schedule B Part 2 NONE

E02 Schedule B Part 3 NONE

E03 Schedule BA Part 2 NONE

E03 Schedule BA Part 3 NONE

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
Bonds - U.S. Governments									
912828H52 ..	UNITED STATES TREASURY		04/06/2016 ..	JEFFERIES & CO. - BONDS DIRECT	X X X	50,395	50,000	122	1
912828J27 ..	UNITED STATES TREASURY		05/24/2016 ..	MERRILL LYNCH FENNER & SMITH	X X X	50,729	50,000	275	1
912828NR7 ..	UNITED STATES TREASURY		04/06/2016 ..	BMO CAPITAL MARKETS	X X X	25,551	25,000	116	1
912828PC8 ..	UNITED STATES TREASURY		05/24/2016 ..	MERRILL LYNCH FENNER & SMITH	X X X	52,736	50,000	36	1
912828VB3 ..	UNITED STATES TREASURY		05/24/2016 ..	MERRILL LYNCH FENNER & SMITH	X X X	50,287	50,000	24	1
912828XB1 ..	UNITED STATES TREASURY		05/23/2016 ..	MORGAN STANLEY CO	X X X	512,891	500,000	260	1
0599999 Subtotal - Bonds - U.S. Governments					X X X	742,588	725,000	832	X X X
Bonds - U.S. Special Revenue, Special Assessment									
3128MJUB4 ..	RMBS - FH G08577		04/07/2016 ..	FTN FINANCIAL SECURITIES	X X X	80,537	75,313	100	1
3128MMQ22 ..	RMBS - FH G18472		04/07/2016 ..	Cantor Fitzgerald	X X X	74,883	72,395	85	1
3128MMUG6 ..	RMBS - FH G18582		04/26/2016 ..	BARCLAYS CAPITAL INC FIXED INC	X X X	548,942	525,068	1,225	1
3138E0RA9 ..	RMBS - FN AJ7680		04/07/2016 ..	JP MORGAN SECURITIES INC.	X X X	74,746	70,912	83	1
3138W9BG4 ..	RMBS - FN AS0038		06/02/2016 ..	DEUTSCHE BANK SECURITIES, INC.	X X X	49,546	48,264	48	1
3138WGAM6 ..	RMBS - FN AS6311		05/06/2016 ..	CHASE SECURITIES INC	X X X	509,475	485,106	472	1
31416M3A0 ..	RMBS - FN AA4392		04/07/2016 ..	DEUTSCHE BANK SECURITIES, INC.	X X X	50,086	46,632	62	1
3199999 Subtotal - Bonds - U.S. Special Revenue, Special Assessment					X X X	1,388,216	1,323,690	2,076	X X X
Bonds - Industrial and Miscellaneous (Unaffiliated)									
00206RAM4 ..	AT&T INC		04/06/2016 ..	ICBCFS LLC	X X X	10,844	10,000	227	2FE
02079KAA5 ..	ALPHABET INC		04/27/2016 ..	Unknown	X X X	448,122	410,000	6,523	1FE
037833AJ9 ..	APPLE INC		04/06/2016 ..	CANTOR CLEARING SERV	X X X	10,025	10,000	44	1FE
166764AA8 ..	CHEVRON CORP		04/08/2016 ..	WELLS FARGO SECURITIES LLC	X X X	10,030	10,000	39	1FE
20030NAZ4 ..	COMCAST CORP		04/12/2016 ..	PERSHING LLC	X X X	22,751	20,000	329	1FE
24422ESS9 ..	JOHN DEERE CAPITAL CORP		04/08/2016 ..	PERSHING LLC	X X X	20,647	20,000	35	1FE
31677QBG3 ..	FIFTH THIRD BANK (OHIO)		06/10/2016 ..	VARIOUS	X X X	435,785	435,000	16	1FE
458140AJ9 ..	INTEL CORP		04/07/2016 ..	BARCLAYS CAPITAL INC FIXED INC	X X X	10,801	10,000	10	1FE
46625HHL7 ..	JPMORGAN CHASE & CO		04/06/2016 ..	CLEARVIEW CORRESPONDENT SRVS,LLC ..	X X X	11,315	10,000	294	1FE
59018YN64 ..	MERRILL LYNCH & CO INC		04/06/2016 ..	CLEARVIEW CORRESPONDENT SRVS,LLC ..	X X X	21,965	20,000	634	2FE
68389XAC9 ..	ORACLE CORP		04/07/2016 ..	PERSHING LLC	X X X	10,937	10,000	283	1FE
87612EAP1 ..	TARGET CORP		04/06/2016 ..	ICBCFS LLC	X X X	20,958	20,000	478	1FE
89233P5F9 ..	TOYOTA MOTOR CREDIT CORPORATION	R ..	04/06/2016 ..	ICBCFS LLC	X X X	21,603	20,000	49	1FE
3899999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					X X X	1,055,781	1,005,000	8,961	X X X
8399997 Subtotal - Bonds - Part 3					X X X	3,186,585	3,053,690	11,869	X X X
8399998 Summary Item from Part 5 for Bonds (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
8399999 Subtotal - Bonds					X X X	3,186,585	3,053,690	11,869	X X X
8999998 Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
Common Stocks - Industrial and Miscellaneous (Unaffiliated)									
002824100 ..	ABBOTT LABORATORIES ORD		06/07/2016 ..	CITIGROUP GLOBAL MARKETS INC.	1,120.000	43,937	X X X		L
00287Y109 ..	ABBVIE ORD		04/19/2016 ..	BNY CONVERGEX	50.000	3,032	X X X		L
02079K107 ..	ALPHABET CL C ORD		04/19/2016 ..	BNY CONVERGEX	10.000	7,589	X X X		L
02209S103 ..	ALTRIA GROUP ORD		04/19/2016 ..	BNY CONVERGEX	50.000	3,083	X X X		L
026874784 ..	AMERICAN INTERNATIONAL GROUP ORD		04/19/2016 ..	BNY CONVERGEX	80.000	4,482	X X X		L
037833100 ..	APPLE ORD		04/19/2016 ..	BNY CONVERGEX	40.000	4,284	X X X		L
055622104 ..	BP ADR EACH REPSTNG SIX ORD	R ..	06/07/2016 ..	CITATION GRP/BCC CLRG-EQTY&MUN	1,480.000	49,143	X X X		L
126650100 ..	CVS HEALTH ORD		06/03/2016 ..	CONVERGEX	30.000	2,885	X X X		L
143658300 ..	CARNIVAL ORD	R ..	05/10/2016 ..	BAYPOINT TRADING LLC	860.000	42,844	X X X		L
151020104 ..	CELGENE ORD		04/19/2016 ..	BNY CONVERGEX	20.000	2,166	X X X		L
166764100 ..	CHEVRON ORD		06/03/2016 ..	CONVERGEX	760.000	76,454	X X X		L
172967424 ..	CITIGROUP ORD		06/30/2016 ..	CONVERGEX	80.000	3,385	X X X		L

QE04

QE04.1

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
191216100	COCA-COLA ORD		06/03/2016	VARIOUS	910.000	40,920	X X X		L
192446102	COGNIZANT TECHNOLOGY SOLUTN CL A ORD		04/19/2016	BNY CONVERGEX	170.000	10,212	X X X		L
256677105	DOLLAR GENERAL ORD		06/30/2016	CONVERGEX	420.000	39,463	X X X		L
260543103	DOW CHEMICAL ORD		04/26/2016	BARCLAYS CAPITAL LE	420.000	22,574	X X X		L
30231G102	EXXON MOBIL ORD		06/03/2016	CONVERGEX	20.000	1,775	X X X		L
30303M102	FACEBOOK CL A ORD		06/30/2016	CONVERGEX	370.000	43,674	X X X		L
436106108	HOLLYFRONTIER ORD		04/19/2016	BNY CONVERGEX	160.000	5,598	X X X		L
437076102	HOME DEPOT ORD		06/30/2016	VARIOUS	350.000	47,149	X X X		L
458140100	INTEL ORD		04/19/2016	BNY CONVERGEX	90.000	2,849	X X X		L
46625H100	JPMORGAN CHASE ORD		04/19/2016	BNY CONVERGEX	10.000	633	X X X		L
478160104	JOHNSON & JOHNSON ORD		04/26/2016	Unknown	170.000	19,184	X X X		L
501797104	L BRANDS ORD		04/19/2016	BNY CONVERGEX	240.000	19,189	X X X		L
577081102	MATTEL ORD		04/19/2016	BNY CONVERGEX	1,290.000	43,529	X X X		L
580135101	MCDONALD'S ORD		06/03/2016	VARIOUS	60.000	7,482	X X X		L
595017104	MICROCHIP TECHNOLOGY ORD		06/03/2016	CONVERGEX	680.000	35,265	X X X		L
609207105	MONDELEZ INTERNATIONAL CL A ORD		04/19/2016	BNY CONVERGEX	100.000	4,347	X X X		L
654106103	NIKE CL B ORD		04/19/2016	BNY CONVERGEX	160.000	9,538	X X X		L
68389X105	ORACLE ORD		06/03/2016	CONVERGEX	90.000	3,524	X X X		L
713448108	PEPSICO ORD		04/19/2016	BNY CONVERGEX	20.000	2,087	X X X		L
74144T108	T ROWE PRICE GROUP ORD		05/18/2016	VARIOUS	580.000	43,138	X X X		L
744320102	PRUDENTIAL FINANCIAL ORD		06/30/2016	CONVERGEX	50.000	3,558	X X X		L
855244109	STARBUCKS ORD		06/03/2016	CONVERGEX	740.000	40,744	X X X		L
913017109	UNITED TECHNOLOGIES ORD		06/03/2016	CONVERGEX	20.000	2,004	X X X		L
92343V104	VERIZON COMMUNICATIONS ORD		06/03/2016	VARIOUS	180.000	9,278	X X X		L
92532F100	VERTEX PHARMACEUTICALS ORD		04/19/2016	JP MORGAN CLEARING CORP	100.000	8,368	X X X		L
931142103	WAL MART STORES ORD		04/19/2016	JP MORGAN CLEARING CORP	20.000	1,395	X X X		L
949746101	WELLS FARGO ORD		06/30/2016	VARIOUS	310.000	15,209	X X X		L
966837106	WHOLE FOODS MARKET ORD		06/03/2016	CONVERGEX	1,130.000	38,454	X X X		L
G0177J108	ALLERGAN ORD	R	06/03/2016	CONVERGEX	320.000	79,032	X X X		L
G29183103	EATON ORD	R	06/30/2016	VARIOUS	1,490.000	93,537	X X X		L
G491BT108	INVESCO ORD	R	06/30/2016	VARIOUS	120.000	3,187	X X X		L
G5960L103	MEDTRONIC ORD	R	04/19/2016	BNY CONVERGEX	70.000	5,461	X X X		L
N53745100	LYONDELLBASELL INDUSTRIES CL A ORD	R	06/03/2016	CONVERGEX	40.000	3,204	X X X		L
9099999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)				X X X	948,846	X X X		X X X
9799997	Subtotal - Common Stocks - Part 3				X X X	948,846	X X X		X X X
9799998	Summary Item from Part 5 for Common Stocks (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X
9799999	Subtotal - Common Stocks				X X X	948,846	X X X		X X X
9899999	Subtotal - Preferred and Common Stocks				X X X	948,846	X X X		X X X
9999999	Total - Bonds, Preferred and Common Stocks				X X X	4,135,431	X X X	11,869	X X X

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0.

QE05

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of
During the Current Quarter

CUSIP Identification	Description	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation or Market Indicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11 + 12 - 13)	15 Total Foreign Exchange Change in B./A.C.V.							
Bonds - U.S. Governments																					
912828WQ9	UNITED STATES TREASURY		06/30/2016	Maturity	X X X	500,000	500,000	501,406	500,437	(437)	(437)		(437)	500,000				1,250	06/30/2016	1	
0599999 Subtotal - Bonds - U.S. Governments					X X X	500,000	500,000	501,406	500,437	(437)	(437)		(437)	500,000				1,250	X X X	X X X	
Bonds - All Other Governments																					
3128MJSS0	RMBS - FH G08528		06/15/2016	Direct	X X X	13,588	13,588	12,883	12,932	8	8		8	12,940		648	648	173	04/01/2043	1	
1099999 Subtotal - Bonds - All Other Governments					X X X	13,588	13,588	12,883	12,932	8	8		8	12,940		648	648	173	X X X	X X X	
Bonds - U.S. Special Revenue, Special Assessment																					
3128M9TQ5	RMBS - FH G07459		06/15/2016	Direct	X X X	24,186	24,186	25,304	25,278	(16)			(16)	25,262		(1,077)	(1,077)	350	08/01/2043	1	
3128MAFA2	RMBS - FH G07961		06/15/2016	Direct	X X X	9,616	9,616	10,004	9,997	(12)			(12)	9,986		(370)	(370)	139	03/01/2045	1	
3128MBM79	RMBS - FH G12882		06/15/2016	Direct	X X X	9,430	9,430	15,344	11,786	(278)			(278)	11,508		(2,077)	(2,077)	193	11/01/2019	1	
3128MCMY1	RMBS - FH G14116		06/15/2016	Direct	X X X	26,065	26,065	29,237	28,483	(97)			(97)	28,385		(2,321)	(2,321)	384	04/01/2026	1	
3128MJAW0	RMBS - FH G08020		06/15/2016	Direct	X X X	646	646	722	720	1			1	721		(75)	(75)	13	11/01/2034	1	
3128MJT26	RMBS - FH G08568		06/15/2016	Direct	X X X	16,555	16,555	18,487	18,364	(5)			(5)	18,359		(1,805)	(1,805)	305	01/01/2044	1	
3128MJTH3	RMBS - FH G08551		06/15/2016	Direct	X X X	1,575	1,575	1,680	1,679	0			0	1,678		(103)	(103)	26	10/01/2043	1	
3128MJTL4	RMBS - FH G08554		06/15/2016	Direct	X X X	26,159	26,159	27,106	27,092	(4)			(4)	27,088		(929)	(929)	383	10/01/2043	1	
3128MJUB4	RMBS - FH G08577		06/15/2016	Direct	X X X	4,140	4,140	4,427		3			3	4,430		(290)	(290)	21	03/01/2044	1	
3128MJXK1	RMBS - FH G08681		06/15/2016	Direct	X X X	19,853	19,853	20,704		(7)			(7)	20,697		(844)	(844)	143	12/01/2045	1	
3128MMQ22	RMBS - FH G18472		06/15/2016	Direct	X X X	2,043	2,043	2,114		0			0	2,114		(71)	(71)	6	07/01/2028	1	
3128MMQT3	RMBS - FH G18465		06/15/2016	Direct	X X X	939	939	961	961	(1)			(1)	960		(21)	(21)	10	05/01/2028	1	
3128MMTS2	RMBS - FH G18560		06/15/2016	Direct	X X X	673	673	683	683	0			0	682		(10)	(10)	7	07/01/2030	1	
3128MMUG6	RMBS - FH G18582		06/15/2016	Direct	X X X	13,760	13,760	14,385		(1)			(1)	14,384		(625)	(625)	55	01/01/2031	1	
3128PT3P8	RMBS - FH J14406		06/15/2016	Direct	X X X	21,093	21,093	22,324	22,279	(46)			(46)	22,233		(1,140)	(1,140)	309	02/01/2026	1	
3128PTGQ2	RMBS - FH J13807		06/15/2016	Direct	X X X	1,472	1,472	1,561	1,559	(3)			(3)	1,556		(84)	(84)	21	12/01/2025	1	
3128PTJX4	RMBS - FH J13878		06/15/2016	Direct	X X X	40,190	40,190	45,266	44,036	(39)			(39)	43,997		(3,807)	(3,807)	563	01/01/2026	1	
3128PUJ29	RMBS - FH J14781		06/15/2016	Direct	X X X	2,921	2,921	3,072		(4)			(4)	3,069		(148)	(148)	34	03/01/2026	1	
3128PWBW7	RMBS - FH J16353		06/15/2016	Direct	X X X	1,194	1,194	1,263	1,260	(2)			(2)	1,259		(64)	(64)	17	08/01/2026	1	
31292KAQ7	RMBS - FH C03531		06/15/2016	Direct	X X X	436	436	466	466	0			0	465		(29)	(29)	8	10/01/2040	1	
31292SAV6	RMBS - FH C09023		06/15/2016	Direct	X X X	24,493	24,493	25,672		5			5	25,677		(1,184)	(1,184)	220	12/01/2042	1	
312933WQ3	RMBS - FH A86955		06/15/2016	Direct	X X X	4,899	4,899	5,312		15			15	5,328		(429)	(429)	73	06/01/2039	1	
312936NA1	RMBS - FH A89385		06/15/2016	Direct	X X X	1,324	1,324	1,445	1,443	0			0	1,443		(119)	(119)	24	10/01/2039	1	
3129387E7	RMBS - FH A90893		06/15/2016	Direct	X X X	14,487	14,487	15,829	15,572	(20)			(20)	15,552		(1,065)	(1,065)	307	01/01/2040	1	
312945DS4	RMBS - FH A96413		06/15/2016	Direct	X X X	2,351	2,351	2,506	2,507	(2)			(2)	2,504		(154)	(154)	40	01/01/2041	1	
31294KTD7	RMBS - FH E01448		06/15/2016	Direct	X X X	15,367	15,367	24,752	18,328	(402)			(402)	17,925		(2,558)	(2,558)	317	09/01/2018	1	
31335AFV5	RMBS - FH G60180		06/15/2016	Direct	X X X	932	932	1,021	1,020	0			0	1,019		(87)	(87)	18	05/01/2042	1	
31371LBA6	RMBS - FN 254833		06/27/2016	Direct	X X X	42,908	42,908	65,938	49,676	(957)			(957)	48,719		(5,812)	(5,812)	799	08/01/2018	1	
3138A2GR4	RMBS - FN AH1107		06/27/2016	Direct	X X X	24,883	24,883	26,765	26,667	(28)			(28)	26,639		(1,756)	(1,756)	411	12/01/2040	1	
3138A4Y58	RMBS - FN AH3431		06/27/2016	Direct	X X X	32,661	32,661	36,108	35,094	(91)			(91)	35,003		(2,343)	(2,343)	480	01/01/2026	1	
3138A5AP7	RMBS - FN AH3613		06/27/2016	Direct	X X X	2,491	2,491	2,628		(1)			(1)	2,627		(136)	(136)	28	01/01/2026	1	
3138A7GY8	RMBS - FN AH5614		06/27/2016	Direct	X X X	885	885	938	936	(2)			(2)	934		(50)	(50)	13	02/01/2026	1	
3138A8RD0	RMBS - FN AH6783		06/27/2016	Direct	X X X	31,374	31,374	33,601	33,568	(21)			(21)	33,547		(2,173)	(2,173)	514	03/01/2041	1	
3138ABBY4	RMBS - FN AH9054		06/27/2016	Direct	X X X	944	944	1,031	1,030	0			0	1,030		(86)	(86)	17	04/01/2041	1	
3138E0RA9	RMBS - FN AJ7680		06/27/2016	Direct	X X X	2,118	2,118	2,232		1			1	2,233		(115)	(115)	9	12/01/2041	1	
3138E1K48	RMBS - FN AJ8414		06/27/2016	Direct	X X X	33,858	33,858	38,454	37,924	(22)			(22)	37,902		(4,044)	(4,044)	553	02/01/2042	1	
3138E2GH2	RMBS - FN AJ9199		06/27/2016	Direct	X X X	7,144	7,144	8,301	8,167	(14)			(14)	8,153		(1,009)	(1,009)	116	01/01/2042	1	
3138E2JY2	RMBS - FN AJ9278		06/27/2016	Direct	X X X	16,320	16,320	16,736	16,705	(9)			(9)	16,695		(375)	(375)	247	12/01/2041	1	
3138EHBB7	RMBS - FN AL0933		06/27/2016	Direct	X X X	1,098	1,098	1,231	1,229	(1)			(1)	1,228		(130)	(130)	23	10/01/2041	1	
3138EHSV5	RMBS - FN AL1431		06/27/2016	Direct	X X X	7,100	7,100	7,712		3			3	7,716		(616)	(616)	46	11/01/2041	1	
3138EHTN2	RMBS - FN AL1456		06/27/2016	Direct	X X X	34,475	34,475	37,238	37,170	(69)			(69)	37,101		(2,626)	(2,626)	601	01/01/2042	1	
3138EP2C7	RMBS - FN AL7070		06/27/2016	Direct	X X X	9,622	9,622	9,754	9,755	(3)			(3)	9,753		(131)	(131)	121	07/01/2043	1	
3138LWCJ8	RMBS - FN A05472		06/27/2016	Direct	X X X	8,932	8,932	9,294	9,289	(6)			(6)	9,283		(351)	(351)	131	07/01/2042	1	
3138M5LN7	RMBS - FN AP2132		06/27/2016	Direct	X X X	14,489	14,489	15,023	15,022	(9)			(9)	15,013		(524)	(524)	221	08/01/2042	1	
3138MBMB9	RMBS - FN AP7553		06/27/2016	VARIOUS	X X X	878,457	853,143	822,493	824,651	308			308	824,959		53,497	53,497	13,556	09/01/2042	1	
3138W																					

QE05.1

SCHEDULE D - PART 4
Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of
During the Current Quarter

1	2	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation or Market Indicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11 + 12 - 13)	15 Total Foreign Exchange Change in B./A.C.V.							
CUSIP Identification	Description																				
3138YAGT6	RMBS - FN AX8309		06/27/2016	Direct	X X X	29,129	29,129	30,230	30,199		(46)		(46)		30,153		(1,024)	(1,024)	364	11/01/2029	1
31402Q3J8	RMBS - FN 735301		06/27/2016	Direct	X X X	14,215	14,215	10,632	12,912		43		43		12,955		1,260	1,260	231	03/01/2020	1
31402QYH8	RMBS - FN 735212		06/27/2016	Direct	X X X	2,851	2,851	3,156			3		3		3,158		(307)	(307)	48	12/01/2034	1
31402RDD8	RMBS - FN 735500		06/27/2016	Direct	X X X	450	450		511		(1)		(1)		510		(60)	(60)	10	05/01/2035	1
31402RP29	RMBS - FN 735841		06/27/2016	Direct	X X X	10,970	10,970	14,230	12,146		(127)		(127)		12,018		(1,048)	(1,048)	205	11/01/2019	1
31410LHC9	RMBS - FN 890427		06/27/2016	Direct	X X X	14,148	14,148	14,723	14,714		(2)		(2)		14,713		(565)	(565)	203	04/01/2042	1
31410LHW5	RMBS - FN 890445		06/27/2016	Direct	X X X	14,712	14,712	15,782	15,728		(14)		(14)		15,714		(1,002)	(1,002)	239	07/01/2042	1
31416BTH1	RMBS - FN 995252		06/27/2016	Direct	X X X	1,200	1,200	1,732	1,490		(16)		(16)		1,475		(274)	(274)	25	12/01/2023	1
31416BTW8	RMBS - FN 995265		06/27/2016	Direct	X X X	1,748	1,748	2,498	2,228		(25)		(25)		2,204		(456)	(456)	40	01/01/2024	1
31416M3A0	RMBS - FN AA4392		06/27/2016	Direct	X X X	2,260	2,260	2,427			2		2		2,429		(169)	(169)	11	04/01/2039	1
31416WL91	RMBS - FN AB1251		06/27/2016	Direct	X X X	13,611	13,611	17,093	16,599		(42)		(42)		16,557		(2,946)	(2,946)	285	07/01/2040	1
31416XBP4	RMBS - FN AB1845		06/27/2016	Direct	X X X	11,638	11,638	13,351	13,095		(23)		(23)		13,072		(1,434)	(1,434)	199	11/01/2040	1
31416YL61	RMBS - FN AB3026		06/27/2016	Direct	X X X	9,236	9,236	10,022	9,910		(19)		(19)		9,891		(656)	(656)	160	05/01/2041	1
31417ARG6	RMBS - FN AB4086		06/27/2016	Direct	X X X	523	523	546	545		(1)		(1)		544		(21)	(21)	7	12/01/2026	1
31417CVM4	RMBS - FN AB6019		06/27/2016	Direct	X X X	792	792	826	825		(1)		(1)		825		(32)	(32)	12	08/01/2042	1
31417TNK0	RMBS - FN AC6693		06/27/2016	Direct	X X X	13,448	13,448	16,279	15,888		(6)		(6)		15,882		(2,434)	(2,434)	251	01/01/2040	1
31417VN66	RMBS - FN AC8512		06/27/2016	Direct	X X X	3,286	3,286	3,569			4		4		3,573		(286)	(286)	49	12/01/2039	1
31417Y2Y2	RMBS - FN MA0790		06/27/2016	Direct	X X X	16,680	16,680	19,783	19,355		(21)		(21)		19,334		(2,654)	(2,654)	313	07/01/2041	1
31417Y5Z6	RMBS - FN MA0863		06/27/2016	Direct	X X X	11,916	11,916	13,061	13,044		(18)		(18)		13,026		(1,110)	(1,110)	205	10/01/2041	1
31417YQ33	RMBS - FN MA0462		06/27/2016	Direct	X X X	11,090	11,090	13,382	12,654		(51)		(51)		12,603		(1,513)	(1,513)	182	07/01/2025	1
31418QPK3	RMBS - FN AD3125		06/27/2016	Direct	X X X	6,755	6,755	8,009	7,838		(10)		(10)		7,828		(1,072)	(1,072)	121	05/01/2040	1
31418VUT7	RMBS - FN AD7793		06/27/2016	Direct	X X X	6,030	6,030	7,126	6,932		26		26		6,958		(928)	(928)	97	07/01/2040	1
31419AC27	RMBS - FN AE0216		06/27/2016	Direct	X X X	19,477	19,477	20,690	20,686		(14)		(14)		20,672		(1,194)	(1,194)	329	08/01/2040	1
31419FFW1	RMBS - FN AE4680		06/27/2016	Direct	X X X	3,178	3,178	3,536	3,484		(6)		(6)		3,477		(300)	(300)	53	11/01/2040	1
3199999 Subtotal - Bonds - U.S. Special Revenue, Special Assessment					X X X	1,782,688	1,757,375	1,831,570	1,665,301		(2,314)		(2,314)		1,797,497		(14,809)	(14,809)	27,058	X X X	X X X
Bonds - Industrial and Miscellaneous (Unaffiliated)																					
05578XAC2	ABS - BMWOT 13A A3		06/27/2016	Direct	X X X	37,124	37,124	37,123	37,175		(20)		(20)		37,155		(31)	(31)	102	11/27/2017	1FE
06739FFS5	BARCLAYS BANK PLC	R	05/01/2016	Adjustment	X X X													(1,950)	05/22/2019	1FE	
172967GL9	CITIGROUP INC		05/13/2016	CITIGROUP GLOBAL MARKETS INC.	X X X	83,226	80,000	76,949	77,654		108		108		77,762		5,464	5,464	1,890	03/01/2023	2FE
19458LAU4	ABS - COELT 04A1 A3		06/28/2016	Direct	X X X	26,825	26,825	25,610	25,917		263		263		26,180		646	646	113	09/28/2026	1FE
34530PAD4	ABS - FORDO 14C A3		06/15/2016	Direct	X X X	4,108	4,108	4,108	4,108		(2)		(2)		4,105		3	3	22	05/15/2019	1FE
38259PAB8	GOOGLE INC		04/27/2016	PNC CAPITAL MKTS	X X X	448,122	410,000	406,297	408,009		118		118		408,127		39,995	39,995	7,548	05/19/2021	1FE
43814JAC8	ABS - HAROT 144 A3		06/15/2016	Direct	X X X	22,840	22,840	22,837			(4)		(4)		22,833		7	7	105	09/17/2018	1FE
44890QAC7	ABS - HART 13C A3		06/15/2016	Direct	X X X	71,124	71,124	71,112	71,118		3		3		71,121		4	4	296	02/15/2018	1FE
477877AD6	ABS - JDOT 14B A3		06/15/2016	Direct	X X X	28,645	28,645	28,639	28,641		(9)		(9)		28,632		13	13	147	11/15/2018	1FE
64031QCR0	ABS - NSLT 061 A4		05/23/2016	Direct	X X X	4,545	4,545	4,296	4,379		9		9		4,389		157	157	13	11/23/2022	1FE
883556BA9	THERMO FISHER SCIENTIFIC INC		04/19/2016	Redemption	X X X	450,000	450,000	452,781	450,346		(163)		(163)		450,183		(183)	(183)	9,365	08/15/2016	2FE
92553PAX0	VIACOM INC		06/10/2016	CITIGROUP GLOBAL MARKETS INC.	X X X	101,116	100,000	99,192	99,338		36		36		99,374		1,742	1,742	2,734	04/01/2024	2FE
92867RAC3	ABS - VALET 141 A3		06/20/2016	Direct	X X X	42,313	42,313	42,305	42,308		1		1		42,309		5	5	159	10/22/2018	1FE
3899999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					X X X	1,319,990	1,277,526	1,271,247	1,271,831		339		339		1,272,169		47,820	47,820	20,544	X X X	X X X
8399997 Subtotal - Bonds - Part 4					X X X	3,616,266	3,548,489	3,617,107	3,450,501		(2,404)		(2,404)		3,582,607		33,659	33,659	49,025	X X X	X X X
8399998 Summary Item from Part 5 for Bonds (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X
8399999 Subtotal - Bonds					X X X	3,616,266	3,548,489	3,617,107	3,450,501		(2,404)		(2,404)		3,582,607		33,659	33,659	49,025	X X X	X X X
8999998 Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X
Common Stocks - Industrial and Miscellaneous (Unaffiliated)																					
00206R102	AT&T ORD		06/03/2016	SUNGARD BROKERAGE AND SECURITIES	540.000	20,869	X X X	18,752	18,581	171			171		18,752		2,117	2,117	518	X X X	L
00287Y109	ABBVIE ORD		06/03/2016	VARIOUS	1,460.000	93,979	X X X	88,461	83,528	1,901			1,901		88,461		5,518	5,518	1,607	X X X	L
02079K107	ALPHABET CL C ORD		05/05/2016	SUNGARD BROKERAGE AND SECURITIES	10.000	7,005	X X X	5,391	7,589	(2,198)			(2,198)		5,391		1,614	1,614		X X X	L
02209S103	ALTRIA GROUP ORD		06/03/2016	SUNGARD BROKERAGE AND SECURITIES	100.000	6,286	X X X	5,883							5,883		403	403	57	X X X	L
026874784	AMERICAN INTERNATIONAL GROUP ORD		06/03/2016	SUNGARD BROKERAGE AND SECURITIES	110.000	6,051	X X X	6,024	6,817	(793)			(793)		6,024		27	27	35	X X X	L
037833100	APPLE ORD		06/03/2016	SUNGARD BROKERAGE AND SECURITIES	10.000	978	X X X	945	1,053	(108)			(108)		945		33	33	11	X X X	L

SCHEDULE D - PART 4
Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of
During the Current Quarter

QE05.2

1	2	3 F o r e i g n	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description		Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
09247X101	BLACKROCK ORD		05/05/2016	SUNGARD BROKERAGE AND SECURITIES	20.000	7,048	X X X	6,203	6,810	(607)			(607)		6,203		845	845	46	X X X	L
110122108	BRISTOL MYERS SQUIBB ORD		06/30/2016	SUNGARD BROKERAGE AND SECURITIES	220.000	15,738	X X X	13,788	15,134	(1,345)			(1,345)		13,788		1,950	1,950	167	X X X	L
126650100	CVS HEALTH ORD		05/05/2016	SUNGARD BROKERAGE AND SECURITIES	110.000	11,543	X X X	8,287	10,755	(2,468)			(2,468)		8,287		3,257	3,257	94	X X X	L
13057Q107	CALIFORNIA RESOURCES ORD		04/01/2016	BAIRD, ROBERT W., & COMPANY IN	60.004	58	X X X	26							26		32	32		X X X	L
151020104	CELGENE ORD		05/05/2016	SUNGARD BROKERAGE AND SECURITIES	50.000	5,081	X X X	4,614	5,988	(1,375)			(1,375)		4,614		467	467		X X X	L
17275R102	CISCO SYSTEMS ORD		06/03/2016	SUNGARD BROKERAGE AND SECURITIES	310.000	8,360	X X X	7,424	8,418	(994)			(994)		7,424		936	936	146	X X X	L
172967424	CITIGROUP ORD		06/03/2016	VARIOUS	1,110.000	50,282	X X X	53,010	57,443	(4,433)			(4,433)		53,010		(2,728)	(2,728)	62	X X X	L
177376100	CITRIX SYSTEMS ORD		05/05/2016	SUNGARD BROKERAGE AND SECURITIES	70.000	5,629	X X X	4,320	5,296	(975)			(975)		4,320		1,309	1,309		X X X	L
191216100	COCA-COLA ORD		05/05/2016	SUNGARD BROKERAGE AND SECURITIES	120.000	5,384	X X X	5,039							5,039		346	346	42	X X X	L
192446102	COGNIZANT TECHNOLOGY SOLUTN CL A ORD		06/03/2016	SUNGARD BROKERAGE AND SECURITIES	140.000	8,070	X X X	6,466	8,403	(1,936)			(1,936)		6,466		1,603	1,603		X X X	L
254687106	WALT DISNEY ORD		05/01/2016	SUNGARD BROKERAGE AND SECURITIES	815.000	83,167	X X X	65,883	74,081	(18,558)			(18,558)		65,883		17,284	17,284	493	X X X	L
278865100	ECOLAB ORD		06/03/2016	SUNGARD BROKERAGE AND SECURITIES	100.000	11,526	X X X	10,575	11,438	(863)			(863)		10,575		951	951	70	X X X	L
30231G102	EXXON MOBIL ORD		05/05/2016	SUNGARD BROKERAGE AND SECURITIES	260.000	22,823	X X X	24,221	20,267	3,954			3,954		24,221		(1,397)	(1,397)	190	X X X	L
30303M102	FACEBOOK CL A ORD		05/05/2016	SUNGARD BROKERAGE AND SECURITIES	130.000	15,286	X X X	10,200	13,606	(3,405)			(3,405)		10,200		5,085	5,085		X X X	L
31428X106	FEDEX ORD		05/01/2016	SUNGARD BROKERAGE AND SECURITIES	305.000	50,844	X X X	46,442	33,523	2,722			2,722		46,442		4,402	4,402	123	X X X	L
369604103	GENERAL ELECTRIC ORD		06/03/2016	SUNGARD BROKERAGE AND SECURITIES	410.000	12,241	X X X	10,611	12,772	(2,161)			(2,161)		10,611		1,630	1,630	513	X X X	L
427866108	HERSHEY FOODS ORD		06/30/2016	VARIOUS	925.000	94,587	X X X	86,367	78,111	4,026			4,026		86,367		8,220	8,220	1,014	X X X	L
436106108	HOLLYFRONTIER ORD		06/24/2016	CONVERGEX	2,490.000	67,716	X X X	100,327	71,802	4,521			4,521		100,327		(32,611)	(32,611)	1,591	X X X	L
437076102	HOME DEPOT ORD		05/05/2016	SUNGARD BROKERAGE AND SECURITIES	40.000	5,376	X X X	5,415							5,415		(38)	(38)		X X X	L
438516106	HONEYWELL INTERNATIONAL ORD		06/10/2016	VARIOUS	180.000	20,580	X X X	17,313	18,643	(1,330)			(1,330)		17,313		3,267	3,267	113	X X X	L
458140100	INTEL ORD		06/03/2016	SUNGARD BROKERAGE AND SECURITIES	220.000	6,592	X X X	6,027	7,579	(1,552)			(1,552)		6,027		565	565	114	X X X	L
461202103	INTUIT ORD		06/03/2016	VARIOUS	490.000	51,868	X X X	41,091	47,285	(6,194)			(6,194)		41,091		10,777	10,777	294	X X X	L
46625H100	JPMORGAN CHASE ORD		06/03/2016	SUNGARD BROKERAGE AND SECURITIES	180.000	11,035	X X X	10,188	11,885	(1,697)			(1,697)		10,188		847	847	158	X X X	L
494368103	KIMBERLY CLARK ORD		05/01/2016	Adjustment			X X X								253				253	X X X	L
501797104	L BRANDS ORD		06/17/2016	VARIOUS	1,600.000	112,829	X X X	148,336	88,155	(339)			(339)		148,336		(35,507)	(35,507)	4,178	X X X	L
532457108	ELI LILLY ORD		04/26/2016	CITATION GRP/BCC CLRG-EQTY&MUN	575.000	43,857	X X X	35,404	43,394	(12,903)			(12,903)		35,404		8,453	8,453	293	X X X	L
577081102	MATTEL ORD		06/10/2016	SUNGARD BROKERAGE AND SECURITIES	60.000	1,830	X X X	2,025							2,025		(194)	(194)	4	X X X	L
580135101	MCDONALD'S ORD		05/05/2016	SUNGARD BROKERAGE AND SECURITIES	80.000	10,353	X X X	9,271	9,451	(180)			(180)		9,271		1,082	1,082	71	X X X	L
58933Y105	MERCK & CO ORD		06/07/2016	VARIOUS	910.000	51,587	X X X	49,959	48,066	1,893			1,893		49,959		1,628	1,628	837	X X X	L
595017104	MICROCHIP TECHNOLOGY ORD		05/05/2016	SUNGARD BROKERAGE AND SECURITIES	110.000	5,264	X X X	4,855	5,119	(264)			(264)		4,855		409	409	39	X X X	L
609207105	MONDELEZ INTERNATIONAL CL A ORD		06/03/2016	SUNGARD BROKERAGE AND SECURITIES	270.000	11,856	X X X	11,482	12,107	(625)			(625)		11,482		374	374	92	X X X	L
65339F101	NEXTERA ENERGY ORD		06/15/2016	SUNGARD BROKERAGE AND SECURITIES	170.000	20,119	X X X	15,875	17,661	(1,786)			(1,786)		15,875		4,243	4,243	157	X X X	L
654106103	NIKE CL B ORD		06/03/2016	VARIOUS	750.000	41,119	X X X	35,685	46,875	(11,190)			(11,190)		35,685		5,434	5,434	240	X X X	L
68389X105	ORACLE ORD		05/05/2016	VARIOUS	520.000	20,889	X X X	19,988	18,996	992			992		19,988		902	902	156	X X X	L
713448108	PEPSICO ORD		06/30/2016	SUNGARD BROKERAGE AND SECURITIES	110.000	11,417	X X X	9,311	10,991	(1,680)			(1,680)		9,311		2,105	2,105	162	X X X	L
717081103	PFIZER ORD		06/30/2016	VARIOUS	445.000	15,030	X X X	16,193	14,365	1,829			1,829		16,193		(1,164)	(1,164)	162	X X X	L
744320102	PRUDENTIAL FINANCIAL ORD		05/05/2016	SUNGARD BROKERAGE AND SECURITIES	70.000	5,301	X X X	5,865	5,699	166			166		5,865		(564)	(564)	49	X X X	L

SCHEDULE D - PART 4
Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of
During the Current Quarter

QE05.3

1	2	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation or Market Indicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11 + 12 - 13)	15 Total Foreign Exchange Change in B./A.C.V.							
747525103 . .	QUALCOMM ORD		06/22/2016	SUNGARD BROKERAGE AND SECURITIES	120.000	6,144	X X X	7,573	5,998	1,575			1,575		7,573		(1,429)	(1,429)	63	X X X	L
806857108 . .	SCHLUMBERGER ORD	R	06/03/2016	SUNGARD BROKERAGE AND SECURITIES	160.000	12,018	X X X	14,073	11,160	2,913			2,913		14,073		(2,055)	(2,055)	160	X X X	L
842587107 . .	SOUTHERN ORD		06/06/2016	SUNGARD BROKERAGE AND SECURITIES	220.000	11,126	X X X	9,792	10,294	(502)			(502)		9,792		1,334	1,334	125	X X X	L
855244109 . .	STARBUCKS ORD		05/20/2016	SUNGARD BROKERAGE AND SECURITIES	40.000	2,247	X X X	1,499	2,401	(902)			(902)		1,499		747	747	16	X X X	L
863667101 . .	STRYKER ORD		06/30/2016	VARIOUS	160.000	18,089	X X X	13,016	14,870	(1,855)			(1,855)		13,016		5,074	5,074	122	X X X	L
867914103 . .	SUNTRUST BANKS ORD		06/15/2016	SUNGARD BROKERAGE AND SECURITIES	310.000	12,526	X X X	12,847	13,280	(434)			(434)		12,847		(320)	(320)	79	X X X	L
881624209 . .	TEVA PHARMA IND ADR REP 1 ORD	R	06/07/2016	ISI GROUP INC.	745.000	40,249	X X X	36,916	48,902	(11,986)			(11,986)		36,916		3,333	3,333	431	X X X	L
883556102 . .	THERMO FISHER SCIENTIFIC ORD		05/05/2016	SUNGARD BROKERAGE AND SECURITIES	40.000	5,804	X X X	4,586	5,674	(1,089)			(1,089)		4,586		1,219	1,219	12	X X X	L
88579Y101 . .	3M ORD		05/05/2016	SUNGARD BROKERAGE AND SECURITIES	100.000	16,832	X X X	14,621	15,064	(443)			(443)		14,621		2,212	2,212	111	X X X	L
907818108 . .	UNION PACIFIC ORD		06/30/2016	SUNGARD BROKERAGE AND SECURITIES	120.000	10,321	X X X	11,785	9,384	2,401			2,401		11,785		(1,463)	(1,463)	94	X X X	L
913017109 . .	UNITED TECHNOLOGIES ORD		05/05/2016	VARIOUS	170.000	17,490	X X X	18,623	16,332	2,291			2,291		18,623		(1,133)	(1,133)	109	X X X	L
91913Y100 . .	VALERO ENERGY ORD		06/21/2016	UBS SECURITIES LLC	210.000	11,691	X X X	12,658	14,849	(2,191)			(2,191)		12,658		(967)	(967)	252	X X X	L
92343V104 . .	VERIZON COMMUNICATIONS ORD		06/30/2016	VARIOUS	490.000	25,760	X X X	24,069	22,648	1,421			1,421		24,069		1,692	1,692	554	X X X	L
92532F100 . .	VERTEX PHARMACEUTICALS ORD		05/05/2016	SUNGARD BROKERAGE AND SECURITIES	60.000	5,022	X X X	7,688	7,550	138			138		7,688		(2,666)	(2,666)		X X X	L
931142103 . .	WAL MART STORES ORD		06/06/2016	SUNGARD BROKERAGE AND SECURITIES	160.000	10,760	X X X	9,510	9,808	(298)			(298)		9,510		1,251	1,251	85	X X X	L
94106L109 . .	WASTE MANAGEMENT ORD		06/17/2016	SUNGARD BROKERAGE AND SECURITIES	220.000	13,270	X X X	10,722	11,741	(1,019)			(1,019)		10,722		2,548	2,548	94	X X X	L
949746101 . .	WELLS FARGO ORD		06/03/2016	SUNGARD BROKERAGE AND SECURITIES	350.000	17,132	X X X	17,314	19,026	(1,712)			(1,712)		17,314		(182)	(182)	264	X X X	L
G29183103 . .	EATON ORD	R	05/20/2016	SUNGARD BROKERAGE AND SECURITIES	170.000	10,326	X X X	10,706							10,706		(380)	(380)	97	X X X	L
G491BT108 . .	INVESCO ORD	R	06/03/2016	VARIOUS	1,555.000	44,787	X X X	54,794	52,061	2,732			2,732		54,794		(10,006)	(10,006)	830	X X X	L
G5960L103 . .	MEDTRONIC ORD	R	06/30/2016	VARIOUS	200.000	16,279	X X X	15,522	15,384	138			138		15,522		756	756	152	X X X	L
H1467J104 . .	CHUBB ORD	F	06/03/2016	SUNGARD BROKERAGE AND SECURITIES	150.000	18,599	X X X	16,037	17,528	(1,490)			(1,490)		16,037		2,562	2,562	101	X X X	L
N53745100 . .	LYONDELLBASELL INDUSTRIES CL A ORD	R	05/05/2016	SUNGARD BROKERAGE AND SECURITIES	60.000	4,890	X X X	4,911	5,214	(303)			(303)		4,911		(21)	(21)	47	X X X	L
9099999 Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)					X X X	1,388,818	X X X	1,362,800	1,286,852	(70,400)			(70,400)		1,362,800		26,019	26,019	17,948	X X X	X X X
9799997 Subtotal - Common Stocks - Part 4					X X X	1,388,818	X X X	1,362,800	1,286,852	(70,400)			(70,400)		1,362,800		26,019	26,019	17,948	X X X	X X X
9799998 Summary Item from Part 5 for Common Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X
9799999 Subtotal - Common Stocks					X X X	1,388,818	X X X	1,362,800	1,286,852	(70,400)			(70,400)		1,362,800		26,019	26,019	17,948	X X X	X X X
9899999 Subtotal - Preferred and Common Stocks					X X X	1,388,818	X X X	1,362,800	1,286,852	(70,400)			(70,400)		1,362,800		26,019	26,019	17,948	X X X	X X X
9999999 Total - Bonds, Preferred and Common Stocks					X X X	5,005,085	X X X	4,979,907	4,737,354	(70,400)	(2,404)		(72,804)		4,945,407		59,678	59,678	66,972	X X X	X X X

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0.

E06 Schedule DB Part A Section 1 NONE

E07 Schedule DB Part B Section 1 NONE

E08 Schedule DB Part D Section 1 NONE

E09 Schedule DB Part D Section 2 - Collateral Pledged By Reporting Entity NONE

E09 Schedule DB Part D Section 2 - Collateral Pledged To Reporting Entity NONE

E10 Schedule DL - Part 1 - Securities Lending Collateral Assets NONE

E11 Schedule DL - Part 2 - Securities Lending Collateral Assets NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1			2	3	4	5	Book Balance at End of Each Month			9
Depository			Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	During Current Quarter			*
							6	7	8	
							First Month	Second Month	Third Month	
open depositories										
PNC Bank					735,024	209,184	(128,003)	X X X
Regions Bank					25,226	25,226	25,226	X X X
Florida Treasury Dept. Trust Fund	SD		711					X X X
0199998 Deposits in0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - open depositories			X X X	X X X ..				0	0	X X X
0199999 Totals - Open Depositories			X X X	X X X ..	711		760,250	234,410	(102,777)	X X X
0299998 Deposits in0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - suspended depositories			X X X	X X X ..						X X X
0299999 Totals - Suspended Depositories			X X X	X X X ..						X X X
0399999 Total Cash On Deposit			X X X	X X X ..	711		760,250	234,410	(102,777)	X X X
0499999 Cash in Company's Office			X X X	X X X ..	X X X	X X X ..				X X X
0599999 Total Cash			X X X	X X X ..	711		760,250	234,410	(102,777)	X X X

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8
Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
7799999 Subtotals - Bonds - Total Bonds - Issuer Obligations
7899999 Subtotals - Bonds - Total Bonds - Residential Mortgage-Backed Securities
7999999 Subtotals - Bonds - Total Bonds - Commercial Mortgage-Backed Securities
8099999 Subtotals - Bonds - Total Bonds - Other Loan-Backed and Structured Securities
8399999 Subtotals - Bonds - Total Bonds
Sweep Accounts							
REGIONS TRUST CASH SWEEP		06/30/2016 ...	0.000	75
PNC PREMIUM BUSINESS MONEY MARKET		06/30/2016 ...	0.000	1,746,183	1,239
8499999 Sweep Accounts					1,746,183	1,314
8599999 Other Cash Equivalents
8699999 Total - Cash Equivalents					1,746,183	1,314



Designate the type of health care
providers reported on this page:

Physicians, including surgeons and osteopaths

SUPPLEMENT "A" TO SCHEDULE T
EXHIBIT OF MEDICAL PROFESSIONAL LIABILITY PREMIUMS WRITTEN
ALLOCATED BY STATES AND TERRITORIES

		1	2	Direct Losses Paid		5	Direct Losses Unpaid		8
				3	4		6	7	
States, Etc.		Direct Premiums Written	Direct Premiums Earned	Amount	Number of Claims	Direct Losses Incurred	Amount Reported	Number of Claims	Direct Losses Incurred but not Reported
1.	Alabama (AL)								
2.	Alaska (AK)								
3.	Arizona (AZ)								
4.	Arkansas (AR)								
5.	California (CA)								
6.	Colorado (CO)								
7.	Connecticut (CT)								
8.	Delaware (DE)								
9.	District of Columbia (DC)								
10.	Florida (FL) 2,598,704	2,598,704	2,696,954	1,067,500	10	492,401	4,277,000	72	2,753,673
11.	Georgia (GA)								
12.	Hawaii (HI)								
13.	Idaho (ID)								
14.	Illinois (IL)								
15.	Indiana (IN) 14,760	14,760	6,530			1,609			1,609
16.	Iowa (IA)								
17.	Kansas (KS)								
18.	Kentucky (KY) 1,393,238	1,393,238	1,413,568	485,000	1	137,281	4,115,000	63	1,634,596
19.	Louisiana (LA)								
20.	Maine (ME)								
21.	Maryland (MD)								
22.	Massachusetts (MA)								
23.	Michigan (MI)								
24.	Minnesota (MN)								
25.	Mississippi (MS)								
26.	Missouri (MO)								
27.	Montana (MT)								
28.	Nebraska (NE)								
29.	Nevada (NV)								
30.	New Hampshire (NH)								
31.	New Jersey (NJ)								
32.	New Mexico (NM)								
33.	New York (NY)								
34.	North Carolina (NC)								
35.	North Dakota (ND)								
36.	Ohio (OH) 2,182,455	2,182,455	2,732,290	200,000	1	(50,782)	3,916,000	54	2,305,365
37.	Oklahoma (OK)								
38.	Oregon (OR)								
39.	Pennsylvania (PA)								
40.	Rhode Island (RI)								
41.	South Carolina (SC)								
42.	South Dakota (SD)								
43.	Tennessee (TN)								
44.	Texas (TX)								
45.	Utah (UT)								
46.	Vermont (VT)								
47.	Virginia (VA)								
48.	Washington (WA)								
49.	West Virginia (WV)								
50.	Wisconsin (WI)								
51.	Wyoming (WY)								
52.	American Samoa (AS)								
53.	Guam (GU)								
54.	Puerto Rico (PR)								
55.	U.S. Virgin Islands (VI)								
56.	Northern Mariana Islands (MP)								
57.	Canada (CAN)								
58.	Aggregate other alien (OT)								
59.	Totals	6,189,157	6,849,342	1,752,500	12	580,509	12,308,000	189	6,695,243
DETAILS OF WRITE-INS									
58001.								
58002.								
58003.								
58998.	Summary of remaining write-ins for Line 58 from overflow page								
58999.	TOTALS (Lines 5801 through 5803 plus 5898) (Line 58 above)								

Supp5 Sup. A To T - Hospitals NONE

Supp5 Sup. A To T - Other Healthcare Professionals NONE

Supp5 Sup. A To T - Other Healthcare Facilities NONE

**INDEX TO PROPERTY & CASUALTY
QUARTERLY STATEMENT**

Accident and Health Insurance; Q3; Q13
Accounting Changes and Corrections of Errors; Q6, Note 2
Accounting Practices and Policies; Q6, Note 1
Admitted Assets; Q2; QSI01
Affiliated Transactions; Q2; Q3; Q7; Q7.1
Asbestos Losses and Loss Adjustment Expenses; Q6, Note 33
Bonds; Q2; Q5; Q7.1; Q7.2; QSI01; QSI02; QE04; QE05; QSupp2
Business Combinations and Goodwill; Q6, Note 3
Capital Gains (Losses); Q3; Q4; Q5
Capital Stock; Q3; Q4; Q6, Note 13
Capital Notes; Q3; Q5; Q6, Note 11
Caps; QE06; QSI04
Cash; Q2; Q5; QE12; QSupp2
Cash Equivalents; Q2; Q5; QE13
Collars; QE06; QSI04
Commissions; Q3; Q5
Common Stock; Q2; Q7.1; Q7.2; QSI01; QE04; QE05; QSupp2
Counterparty Exposure; Q6, Note 8; QE06; QE08
Contingencies; Q6, Note 14
Debt; Q6, Note 11
Deferred Compensation; Q6, Note 12
Derivative Instruments; Q6, Note 8; QSI04; QSI05; QSI06; QSI07; QE06; QE07; QE08
Director and Officer; QSupp7
Discontinued Operations; Q6, Note 4
Discounting of Liabilities; Q6, Note 32; Q8
Electronic Data Processing Equipment; Q2
Environmental Losses and Loss Adjustment Expenses; Q6, Note 33
Exchange or Counterparty; QE06; QE08
Expenses; Q3; Q4; Q5; Q8; QE01; QSupp3
Extinguishment of Liabilities; Q6, Note 17
Extraordinary Items; Q6, Note 21
Fair Value; Q7, Note 20
Federal ID Number; Q9
Federal Reserve Board; Q7
Finance and Service Charge; Q4
Floors; QE06; QSI04
Foreign Exchange; Q2; Q3; Q4; QSI01; QSI02; QSI03; QE04; QE05
Forwards; QE06; QSI04
Futures Contracts; QE07; QSI04
Guaranty Fund; Q2
Health Care Receivables; Q6, Note 28
Hedging Transactions; Q7.1; QE06; QE07
High Deductible Policies; Q6, Note 31
Holding Company; Q7; Q11; Q12
Income Generation Transactions; QE06;QE07
Income Taxes; Q2; Q3; Q4; Q5; Q6, Note 9
Intercompany Pooling; Q6, Note 26
Investment Income; Q2; Q4; Q5; Q6, Note 7; QSupp2
Investments; Q2; Q4; Q6, Note 5; Q7.1; Q7.2; QSI01; QSI03; QE03; QE04; QE05; QE08; QE13; QSupp2
Joint Venture; Q6, Note 6
Leases; Q6, Note 15
Licensing; Q3; Q7; Q10
Limited Liability Company (LLC); Q6, Note 6
Limited Partnership; Q6, Note 6
Lines of Business; Q8; Q13
Long-Term Invested Assets; QSI01; QE03
Loss Development; Q6
Losses; Q3; Q4; Q5; Q6, Note 25; Q8; Q10; Q13; Q14; QSupp1; QSupp3
Loss Adjustment Expenses; Q3; Q6, Note 26; Q8; Q14; QSupp3
Managing General Agents; Q6, Note 19; Q7
Medical Malpractice Insurance; Q13; Q15; QSupp5
Medicare Part D Coverage; QSupp6
Mortgage Loans; Q2; Q5; Q7.1; QSI01; QE02; QSupp2
Multiple Peril Crop Insurance; Q6, Note 35
Nonadmitted Assets; Q2; Q4; QSI01; QSI03
Non-Tabular Discount; Q6, Note 32
Off-Balance Sheet Risk; Q6, Note 16

**INDEX TO PROPERTY & CASUALTY
QUARTERLY STATEMENT**

Options; Q7.1; QE06; QSI04

Organizational Chart; Q7; Q11

Other Derivative Transactions; QE06; QE07

Parent, Subsidiaries and Affiliates; Q2; Q3; Q6, Note 10; Q7.1

Participating Policies; Q6, Note 29

Pharmaceutical Rebates; Q6, Note 28

Policyholder Dividends; Q3; Q4; Q5

Postemployment Benefits; Q6, Note 12

Postretirement Benefits; Q6, Note 12

Preferred Stock; Q2; Q7.1; Q7.2; QSI01; QSI02; QE04; QSupp2

Premium Deficiency Reserves; Q6, Note 30

Premium Notes; Q2; Q5

Premiums; Q3; Q5; Qsupp3

 Accrued Retrospective; Q2

 Advance; Q3

 Direct; Q10; Q13

 Earned; Q4; Q10; Q13; QSupp5

 Earned but Unbilled; Q2

 Unearned; Q3

 Written; Q4; Q10; Q13; QSupp5

Quasi Reorganizations; Q6, Note 13

Real Estate; Q2; Q5; Q7.1; QSI01; QE01; QSupp2

Redetermination, Contract Subject to; Q6, Note 24

Reinsurance; Q6, Note 23

 Assumed; Q13

 Ceded; Q3; Q9; QSupp3

 Commutation; Q6, Note 23

 Funds Held; Q2; Q3

 Losses; Q3; Q4; Q8; QSupp3

 Payable; Q3; QSupp3

 Premiums; Q3; QSupp3

 Receivable; Q2; QSupp3

 Unsecured; Q6, Note 23

 Uncollectible; Q6, Note 23

Reserves

 Incurred but Not Reported (IBNR); Q8; Q14

 Unpaid Loss Adjustment Expense (LAE); Q14

Retirement Plans; Q6, Note 12

Retrospectively Rated Contracts; Q6, Note 24

Salvage and Subrogation; Q10

Securities Lending; Q2; Q3; QE9; QE11

Servicing of Financial Assets; Q6, Note 17

Short-Term Investments; Q2; Q5; Q7.1; QSI03; QSupp2

Special Deposits; QSupp2

Stockholder Dividends; Q3; Q4; Q5

Structured Settlements; Q6, Note 27

Subscriber Savings Accounts; Q6, Note 34

Subsequent Events; Q6, Note 22

Surplus; Q3; Q4; Q5; Q6, Note 13; Q14; Q15; QSupp1; QSupp2; QSupp3

Surplus Notes; Q3; Q4; Q5

Swaps; QE07; QSI04

Synthetic Assets; QSI04; QSI05

Tabular Discount; Q6, Note 32

Third Party Administrator; Q6, Note 19; Q7

Treasury Stock; Q3; Q4; Q5

Underwriting Expenses; Q4

Uninsured Accident and Health; Q3; Q6, Note 18

Valuation Allowance; QSI01

Wash Sales; Q6, Note 17