* 5 6 4 1 3 2 0 1 6 2 0 1 0 0 1 0 1 *

QUARTERLY STATEMENT

As of March 31, 2016

of the Condition and Affairs of the

United Transportation Union Insurance Association

NAIC Group Code..... 0, 0 (Current Period) (Prior Period) NAIC Company Code..... 56413 Employer's ID Number..... 23-7131460

Organized under the Laws of Ohio State of Domicile or Port of Entry Ohio Country of Domicile US

Incorporated/Organized..... November 16, 1970 Commenced Business..... March 10, 1971

Statutory Home Office	24950 Country Club Blvd Ste 340….. North Olmsted ..... OH ..... US ..... 44070-5333 *(Street and Number) (City or Town, State, Country and Zip Code)*
Main Administrative Office	24950 Country Club Blvd Ste 340….. North Olmsted ..... OH ..... US ..... 44070-5333 216-228-9400 *(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)*
Mail Address	24950 Country Club Blvd Ste 340….. North Olmsted ..... OH ..... US ..... 44070-5333 *(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)*
Primary Location of Books and Records	24950 Country Club Blvd Ste 340….. North Olmsted ..... OH ..... US ..... 44070-5333 216-228-9400 *(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)*
Internet Web Site Address	utuia.org
Statutory Statement Contact	Richard A Kusnic Sr *(Name)* 216-228-9400 *(Area Code) (Telephone Number) (Extension)* Rkusnic@utuia.org *(E-Mail Address)* 216-228-0411 *(Fax Number)*

OFFICERS

Name	Title	Name	Title
1. Ken Laugel	President	2. Richard A Kusnic	Secretary
3. Richard Kusnic	Treasurer	4.	

OTHER

DIRECTORS OR TRUSTEES

John Lesniewski	John Previsich	John England	Frank James Riha
Nicholas J Dicicco Jr	John J Risch III	William Jennings Thompson	William B Ryan

State of........
County of.....

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions* and *Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)	(Signature)	(Signature)
Ken Laugel	Richard A Kusnic	Richard Kusnic
1. (Printed Name)	2. (Printed Name)	3. (Printed Name)
President	Secretary	Treasurer
(Title)	(Title)	(Title)

Subscribed and sworn to before me
This ________ day of ________________

a. Is this an original filing? Yes [X] No [ ]
b. If no: 1. State the amendment number ________
2. Date filed ________
3. Number of pages attached ________

ASSETS

	Current Statement Date			4
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
1. Bonds	196,820,819		196,820,819	197,850,788
2. Stocks:				
2.1 Preferred stocks			0	
2.2 Common stocks	17,176,182		17,176,182	17,069,699
3. Mortgage loans on real estate:				
3.1 First liens			0	
3.2 Other than first liens			0	
4. Real estate:				
4.1 Properties occupied by the company (less $..........0 encumbrances)			0	
4.2 Properties held for the production of income (less $..........0 encumbrances)	1,551,334		1,551,334	1,561,746
4.3 Properties held for sale (less $..........0 encumbrances)			0	
5. Cash ($.....(97,570)), cash equivalents ($.....2,886,470) and short-term investments ($..........0)	2,788,900		2,788,900	2,193,942
6. Contract loans (including $..........0 premium notes)	5,354,403		5,354,403	5,481,887
7. Derivatives			0	
8. Other invested assets			0	
9. Receivables for securities			0	
10. Securities lending reinvested collateral assets			0	
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	223,691,638	0	223,691,638	224,158,062
13. Title plants less $..........0 charged off (for Title insurers only)			0	
14. Investment income due and accrued	2,255,886		2,255,886	2,270,002
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	25,602		25,602	52,957
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including $..........0 earned but unbilled premiums)	61,387		61,387	171,629
15.3 Accrued retrospective premiums ($..........0) and contracts subject to redetermination ($..........0)			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers			0	
16.2 Funds held by or deposited with reinsured companies			0	
16.3 Other amounts receivable under reinsurance contracts			0	
17. Amounts receivable relating to uninsured plans			0	
18.1 Current federal and foreign income tax recoverable and interest thereon			0	
18.2 Net deferred tax asset			0	
19. Guaranty funds receivable or on deposit			0	
20. Electronic data processing equipment and software			0	
21. Furniture and equipment, including health care delivery assets ($..........0)			0	
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	
23. Receivables from parent, subsidiaries and affiliates			0	
24. Health care ($..........0) and other amounts receivable			0	
25. Aggregate write-ins for other than invested assets	0	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25)	226,034,513	0	226,034,513	226,652,650
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	
28. Total (Lines 26 and 27)	226,034,513	0	226,034,513	226,652,650

DETAILS OF WRITE-INS

1101.			0	
1102.			0	
1103.			0	
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above)	0	0	0	0
2501.			0	
2502.			0	
2503.			0	
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above)	0	0	0	0

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts (including $..........0 Modco Reserve)	160,025,074	160,793,370
2. Aggregate reserve for accident and health contracts (including $..........0 Modco Reserve)	4,858,405	4,891,522
3. Liability for deposit-type contracts (including $..........0 Modco Reserve)	5,089,549	5,349,761
4. Contract claims:		
4.1 Life	1,421,002	1,147,863
4.2 Accident and health	133,633	137,585
5. Refunds due and unpaid	8,434	8,522
6. Provisions for refunds payable in following calendar year - estimated amounts:		
6.1 Apportioned for payment		
6.2 Not yet apportioned		
7. Premiums and annuity considerations for life and accident and health contracts received in advance less $..........0 discount; including $..........0 accident and health premiums	460,761	305,630
8. Certificate and contract liabilities not included elsewhere:		
8.1 Surrender values on canceled contracts	138,863	84,100
8.2 Other amounts payable on reinsurance including $..........0 assumed and $..........0 ceded		
8.3 Interest maintenance reserve (IMR)	5,421,153	5,634,685
9. Commissions to fieldworkers due or accrued - life and annuity contracts $..........0, accident and health $..........0 and deposit-type contract funds $..........0	55,332	55,332
10. Commissions and expense allowances payable on reinsurance assumed		
11. General expenses due or accrued	309,984	254,606
12. Transfers to Separate Accounts due or accrued (net) (including $..........0 accrued for expense allowances recognized in reserves)		
13. Taxes, licenses and fees due or accrued	6,865	2,846
14. Unearned investment income		
15. Amounts withheld or retained by Society as agent or trustee		
16. Amounts held for fieldworkers' account, including $..........0 fieldworkers' credit balances		
17. Remittances and items not allocated		
18. Net adjustment in assets and liabilities due to foreign exchange rates		
19. Liability for benefits for employees and fieldworkers if not included above		
20. Borrowed money $..........0 and interest thereon $..........0		
21. Miscellaneous liabilities:		
21.1 Asset valuation reserve	2,889,807	2,659,462
21.2 Reinsurance in unauthorized and certified ($..........0) companies		
21.3 Funds held under reinsurance treaties with unauthorized and certified ($..........0) reinsurers		
21.4 Payable to subsidiaries and affiliates	27,994	224,846
21.5 Drafts outstanding		
21.6 Funds held under coinsurance		
21.7 Derivatives		
21.8 Payable for securities		
21.9 Payable for securities lending		
22. Aggregate write-ins for liabilities	153,664	471,108
23. Total liabilities excluding Separate Accounts business (Lines 1 to 22)	181,000,520	182,021,238
24. From Separate Accounts Statement		
25. Total liabilities (Lines 23 to 24)	181,000,520	182,021,238
26. Aggregate write-ins for other than liabilities and surplus funds	0	0
27. Surplus notes		
28. Aggregate write-ins for surplus funds	0	0
29. Unassigned funds	45,033,993	44,631,412
30. Total (Lines 26 through 29) (including $..........0 in Separate Accounts Statement)	45,033,993	44,631,412
31. Totals (Lines 25 + 30) (Page 2, Line 28, Col. 3)	226,034,513	226,652,650

DETAILS OF WRITE-INS

2201. Liability for uncashed checks	30,275	30,275
2202. Experience Refund Due		440,833
2203. Micellaneous Payable	123,389	
2298. Summary of remaining write-ins for Line 22 from overflow page	0	0
2299. Totals (Lines 2201 thru 2203 plus 2298) (Line 22 above)	153,664	471,108
2601.		
2602.		
2603.		
2698. Summary of remaining write-ins for Line 26 from overflow page	0	0
2699. Totals (Lines 2601 thru 2603 plus 2698) (Line 26 above)	0	0
2801.		
2802.		
2803.		
2898. Summary of remaining write-ins for Line 28 from overflow page	0	0
2899. Totals (Lines 2801 thru 2803 plus 2898) (Line 28 above)	0	0

SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	3,248,398	3,528,431	13,759,109
2. Considerations for supplementary contracts with life contingencies			
3. Net investment income	2,423,800	2,437,496	8,914,020
4. Amortization of Interest Maintenance Reserve (IMR)	241,782	268,743	1,106,912
5. Separate Accounts net gain from operations excluding unrealized gains and losses			
6. Commissions and expense allowances on reinsurance ceded			
7. Reserve adjustments on reinsurance ceded			
8. Miscellaneous income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts			
8.2 Charges and fees for deposit-type contracts			
8.3 Aggregate write-ins for miscellaneous income	210,231	5,152	64,678
9. Totals (Lines 1 to 8.3)	6,124,211	6,239,822	23,844,719
10. Death benefits	1,357,702	874,306	4,041,420
11. Matured endowments (excluding guaranteed annual pure endowments)	6,795	8,445	151,994
12. Annuity benefits	2,414,801	2,415,296	7,969,717
13. Disability benefits and benefits under accident and health contracts including premiums waived $..........0	372,438	394,209	1,330,914
14. Surrender benefits and withdrawals for life contracts	595,047	854,788	2,516,281
15. Interest and adjustments on contract or deposit-type contract funds	932	1,064	5,215
16. Payments on supplementary contracts with life contingencies			
17. Increase in aggregate reserve for life and accident and health contracts	(1,060,649)	(837,336)	(1,627,587)
18. Totals (Lines 10 to 17)	3,687,066	3,710,772	14,387,954
19. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	156,890	174,204	729,181
20. Commissions and expense allowances on reinsurance assumed			
21. General insurance expenses and fraternal expenses	1,662,777	1,758,236	5,666,479
22. Insurance taxes, licenses and fees	59,068	167,687	369,775
23. Increase in loading on deferred and uncollected premiums			
24. Net transfers to or (from) Separate Accounts net of reinsurance			
25. Aggregate write-ins for deductions	0	0	0
26. Totals (Lines 18 to 25)	5,565,801	5,810,899	21,153,389
27. Net gain from operations before refunds to members (Line 9 minus Line 26)	558,410	428,923	2,691,330
28. Refunds to members	160	(5,508)	(3,040)
29. Net gain from operations after refunds to members and before realized capital gains (losses) (Line 27 minus Line 28)	558,250	434,431	2,694,370
30. Net realized capital gains (losses) less capital gains tax of .....0 (excluding $..........0 transferred to the IMR)	(174,999)		66,446
31. Net income (Lines 29 + 30)	383,251	434,431	2,760,816
SURPLUS ACCOUNT			
32. Surplus, December 31, prior year	44,631,412	42,443,460	42,443,460
33. Net income from operations (Line 31)	383,251	434,431	2,760,816
34. Change in net unrealized capital gains (losses) less capital gains tax of $..........0	249,675	451,110	(788,075)
35. Change in net unrealized foreign exchange capital gain (loss)			
36. Change in nonadmitted assets			
37. Change in liability for reinsurance in unauthorized and certified companies			
38. Change in reserve on account of change in valuation basis (increase) or decrease			
39. Change in asset valuation reserve	(230,345)	(219,222)	215,211
40. Surplus (contributed to) withdrawn from Separate Accounts during period			
41. Other changes in surplus in Separate Accounts Statement			
42. Change in surplus notes			
43. Cumulative effect of changes in accounting principles			
44. Change in surplus as a result of reinsurance			
45. Aggregate write-ins for gains and losses in surplus	0	0	0
46. Net change in surplus for the year (Lines 33 through 45)	402,581	666,319	2,187,952
47. Surplus as of statement date (Lines 32 + 46)	45,033,993	43,109,779	44,631,412

DETAILS OF WRITE-INS

08.301. Miscellaneous Income	207,729	1,101	54,695
08.302. Penality on early withdrawl	2,502	4,051	9,983
08.303.			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	210,231	5,152	64,678
2501.			
2502.			
2503.			
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above)	0	0	0
4501.			
4502.			
4503.			
4598. Summary of remaining write-ins for Line 45 from overflow page	0	0	0
4599. Totals (Lines 4501 thru 4503 plus 4598) (Line 45 above)	0	0	0

CASH FLOW

		1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
	CASH FROM OPERATIONS			
1.	Premiums collected net of reinsurance	3,541,126	3,563,831	13,591,430
2.	Net investment income	2,544,325	2,491,837	9,377,035
3.	Miscellaneous income	210,231	5,152	64,678
4.	Total (Lines 1 through 3)	6,295,682	6,060,820	23,033,143
5.	Benefit and loss related payments	4,423,765	4,629,853	16,194,183
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7.	Commissions, expenses paid and aggregate write-ins for deductions	2,136,782	2,001,501	6,518,204
8.	Dividends paid to policyholders	248	(5,508)	(5,263)
9.	Federal and foreign income taxes paid (recovered) net of $..........0 tax on capital gains (losses)			
10.	Total (Lines 5 through 9)	6,560,795	6,625,846	22,707,124
11.	Net cash from operations (Line 4 minus Line 10)	(265,113)	(565,026)	326,019
	CASH FROM INVESTMENTS			
12.	Proceeds from investments sold, matured or repaid:			
	12.1 Bonds	3,471,419	11,689,154	27,780,540
	12.2 Stocks	882,091	2	98,966
	12.3 Mortgage loans			
	12.4 Real estate			
	12.5 Other invested assets			
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			
	12.7 Miscellaneous proceeds			
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	4,353,510	11,689,156	27,879,506
13.	Cost of investments acquired (long-term only):			
	13.1 Bonds	2,499,200	12,741,651	29,386,879
	13.2 Stocks	923,679	110,499	458,191
	13.3 Mortgage loans			
	13.4 Real estate			
	13.5 Other invested assets			
	13.6 Miscellaneous applications			
	13.7 Total investments acquired (Lines 13.1 to 13.6)	3,422,880	12,852,150	29,845,070
14.	Net increase or (decrease) in contract loans and premium notes	(127,484)	(238,913)	(585,090)
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	1,058,115	(924,080)	(1,380,474)
	CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16.	Cash provided (applied):			
	16.1 Surplus notes, capital notes			
	16.2 Capital and paid in surplus, less treasury stock			
	16.3 Borrowed funds			
	16.4 Net deposits on deposit-type contracts and other insurance liabilities			(563,178)
	16.5 Dividends to stockholders			
	16.6 Other cash provided (applied)	(198,044)	71,389	(176,246)
17.	Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6)	(198,044)	71,389	(739,424)
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18.	Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17)	594,958	(1,417,718)	(1,793,879)
19.	Cash, cash equivalents and short-term investments:			
	19.1 Beginning of year	2,193,944	3,987,822	3,987,822
	19.2 End of period (Line 18 plus Line 19.1)	2,788,902	2,570,105	2,193,944

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Life Insurance	1,228,150	1,399,004	5,760,066
2. Individual annuities	1,082,618	1,052,688	3,836,293
3. Accident and Health	1,008,834	910,273	3,517,673
4. Aggregate of all other lines of business	103,130	315,428	988,785
5. Subtotal (Lines 1 through 4)	3,422,732	3,677,393	14,102,817
6. Fraternal			
7. Expenses			
8. Subtotal (Lines 5 through 7)	3,422,732	3,677,393	14,102,817
9. Deposit-type contracts			988,785
10. Total	3,422,732	3,677,393	15,091,602

DETAILS OF WRITE-INS

0401. Supplemental Contracts	103,130	315,428	988,785
0402.			
0403.			
0498. Summary of remaining write-ins for Line 4 from overflow page	0	0	0
0499. Total (Lines 0401 thru 0403 plus 0498) (Line 4 above)	103,130	315,428	988,785

NOTES TO FINANCIAL STATEMENTS

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES AND GOING CONCERN

A. Accounting Practices

	State of Domicile	Current Period	Prior Year
NET INCOME			
(1) United Transportation Union Insurance Association state basis (Page 4, Line 31, Columns 1 & 3)	OH	$ 383,251	$ 2,760,816
(2) State Prescribed Practices that increase/decrease NAIC SAP			
(3) State Permitted Practices that increase/decrease NAIC SAP			
(4) NAIC SAP (1 – 2 – 3 = 4)	OH	$ 383,251	$ 2,760,816
SURPLUS			
(5) United Transportation Union Insurance Association state basis (Page 3, line 30, Columns 1 & 2)	OH	$ 45,033,993	$ 44,631,412
(6) State Prescribed Practices that increase/decrease NAIC SAP			
(7) State Permitted Practices that increase/decrease NAIC SAP			
(8) NAIC SAP (5 – 6 – 7 = 8)	OH	$ 45,033,993	$ 44,631,412

C. Accounting Policy

(6)

D. Going Concern

NOTE 2 – ACCOUNTING CHANGES AND CORRECTIONS OF ERRORS

No significant changes

NOTE 3 – BUSINESS COMBINATIONS AND GOODWILL

No significant change.

NOTE 4 – DISCONTINUED OPERATIONS

A. Discontinued Operation Disposed of or Classified as Held for Sale

(1)

(2)

(3)

(4)

(5) The amounts related to Discontinued Operations and the effect on the Company's Balance Sheet and Summary of Operations as follows:

Balance sheet end of current quarter, 2016

Assets

a.	Line 5 Cash	$
b.	Line 28 Totals	$

Liabilities, Surplus and Other Funds

c.	Line 28 Total Liabilities	$
d.	Line 37 Surplus	$
e.	Line 39 Total	$

Summary of Operations end of current quarter, 2016

f.	Line 1 Premiums	$
g.	Line 19 Increase in aggregate reserves for accident & health (current less prior year)	$
h.	Line 32 Federal and foreign income taxes incurred	$
i.	Line 34 Net realized capital gains (losses)	$
j.	Line 35 Net Income	$

B. Change in Plan of Sale of Discontinued Operation

C. Nature of any Significant Continuing Involvement with Discontinued Operations After Disposal

D. Equity Interest Retained in the Discontinued Operation After Disposal

NOTE 5 – INVESTMENTS

D. Loan-Backed Securities

NOTES TO FINANCIAL STATEMENTS

(1)

			1	2a	2b	c
(2)			Amortized Cost Basis Before Other-than-Temporary Impairment	Other-than-Temporary Impairment Recognized in Loss		Fair Value 1 – (2a + 2b)
	OTTI recognized 1st Quarter			Interest	Non-Interest	
	a.	Intent to sell	$	$	$	$
	b.	Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis				
	c.	Total 1st Quarter	$	$	$	$
	OTTI recognized 2nd Quarter					
	d.	Intent to sell	$	$	$	$
	e.	Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis				
	f.	Total 2nd Quarter	$	$	$	$
	OTTI recognized 3rd Quarter					
	g.	Intent to sell	$	$	$	$
	h.	Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis				
	i.	Total 4th Quarter	$	$	$	$
	OTTI recognized 4th Quarter					
	j.	Intent to sell	$	$	$	$
	k.	Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis				
	l.	Total 4th Quarter	$	$	$	$
	m.	Annual aggregate total	XXX	$	$	XXX

(3) Recognized OTTI securities

CUSIP	Book/Adjusted Carrying Value Amortized Cost Before Current Period OTTI	Present Value of Projected Cash Flows	Recognized Other-Than-Temporary Impairment	Amortized Cost After Other-Than-Temporary Impairment	Fair Value at Time of OTTI	Date of Financial Statement Where Reported
Total			$			

(4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains):

a.	The aggregate amount of unrealized losses:	1.	Less than 12 Months	$
		2.	12 Months or Longer	$
b.	The aggregate related fair value of securities with unrealized losses:	1.	Less than 12 Months	$
		2.	12 Months or Longer	$

(5)

E. Repurchase Agreements and/or Securities Lending Transactions

(3) Collateral Received

b.	The fair value of that collateral and of the portion of that collateral that it has sold or repledged	$

I. Working Capital Finance Investments

(2) Aggregate Maturity Distribution on the Underlying Working Capital Finance Programs

		Book/Adjusting Carrying Value
a.	Up to 180 Days	$
b.	181 to 365 Days	
c.	Total	$

(3)

J. Offsetting and Netting of Assets and Liabilities

(1) Assets	Gross Amount Recognized	Amount Offset	Net Amount Presented on Financial Statements
	$	$	$
(2) Liabilities			
	$	$	$

NOTE 6 – JOINT VENTURES, PARTNERSHIPS AND LIMITED LIABILITY COMPANIES

No significant changes

NOTES TO FINANCIAL STATEMENTS

NOTE 7 – INVESTMENT INCOME

No significant changes

NOTE 8 – DERIVATIVE INSTRUMENTS

No significant changes

NOTE 9 – INCOME TAXES

No significant changes

NOTE 10 – INFORMATION CONCERNING PARENT, SUBSIDIARIES, AFFILIATES AND OTHER RELATED PARTIES

No significant changes

NOTE 11 – DEBT

B. FHLB (Federal Home Loan Bank) Agreements

(1)

(2) FHLB Capital Stock

a. Aggregate Totals

1. Current Period

	1 Total 2 + 3	2 General Account	3 Separate Accounts
(a) Membership Stock – Class A	$	$	$
(b) Membership Stock – Class B			
(c) Activity Stock			
(d) Excess Stock			
(e) Aggregate Total (a+b+c+d)	$	$	$
(f) Actual or estimated borrowing capacity as determined by the insurer	$	XXX	XXX

2. Prior Year-End

	1 Total 2 + 3	2 General Account	3 Separate Accounts
(a) Membership Stock – Class A	$	$	$
(b) Membership Stock – Class B			
(c) Activity Stock			
(d) Excess Stock			
(e) Aggregate Total (a+b+c+d)	$	$	$
(f) Actual or estimated borrowing capacity as determined by the insurer	$	XXX	XXX

b. Membership Stock (Class A and B) Eligible for Redemption

	1	2	Eligible for Redemption			
			3	4	5	6
Membership Stock	Current Period Total (2+3+4+5+6)	Not Eligible for Redemption	Less than 6 Months	6 Months to Less Than 1 Year	1 to Less Than 3 Years	3 to 5 Years
1. Class A	$	$	$	$	$	$
2. Class B	$	$	$	$	$	$

(3) Collateral Pledged to FHLB

a. Amount Pledged as of Reporting Date

	1 Fair Value	2 Carrying Value	3 Aggregate Total Borrowing
1. Current Period Total General and Separate Accounts Total Collateral Pledged (Lines 2+3)	$	$	$
2. Current Period General Account Total Collateral Pledged	$	$	$
3. Current Period Separate Accounts Total Collateral Pledged	$	$	$
4. Prior Year Total General and Separate Accounts Total Collateral Pledged	$	$	$

b. Maximum Amount Pledged During Reporting Period

	1	2	3 Amount Borrowed at Time of

NOTES TO FINANCIAL STATEMENTS

	Fair Value	Carrying Value	Maximum Collateral
1. Current Period Total General and Separate Accounts Total Collateral Pledged (Lines 2+3)	$	$	$
2. Current Period General Account Total Collateral Pledged	$	$	$
3. Current Period Separate Accounts Total Collateral Pledged	$	$	$
4. Prior Year Total General and Separate Accounts Total Collateral Pledged	$	$	$

(4) Borrowing from FHLB

a. Amount as of the Reporting Date

1. Current Period

	1 Total 2 + 3	2 General Account	3 Separate Account	4 Funding Agreements Reserves Established
(a) Debt	$	$	$	XXX
(b) Funding Agreements				$
(c) Other				XXX
(d) Aggregate Total (a+b+c)	$	$	$	$

2. Prior Year

	1 Total 2 + 3	2 General Account	3 Separate Account	4 Funding Agreements Reserves Established
(a) Debt	$	$	$	XXX
(b) Funding Agreements				$
(c) Other				XXX
(d) Aggregate Total (a+b+c)	$	$	$	$

b. Maximum Amount During Reporting Period (Current Period)

	1 Total 2 + 3	2 General Account	3 Separate Accounts
1. Debt	$	$	$
2. Funding Agreements			
3. Other			
4. Aggregate Total (Lines 1+2+3)	$	$	$

c. FHLB – Prepayment Obligations

	Does the Company have Prepayment Obligations under the Following Arrangements (YES/NO)
1. Debt	
2. Funding Agreements	
3. Other	

NOTE 12 – RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES AND OTHER POSTRETIREMENT BENEFIT PLANS

A. Defined Benefit Plan

(4) Components of net periodic benefit cost	Pension Benefits		Postretirement Benefits		Special or Contractual Benefits per SSAP No. 11	
	Current Period	Prior Year	Current Period	Prior Year	Current Period	Prior Year
a. Service cost	$	$	$	$	$	$
b. Interest cost						
c. Expected return on plan assets						
d. Transition asset or obligation						
e. Gains and losses						
f. Prior service cost or credit						
g. Gain or loss recognized due to a settlement curtailment						
h. Total net periodic benefit cost	$	$	$	$	$	$

NOTE 13 – CAPITAL AND SURPLUS, DIVIDEND RESTRICTIONS AND QUASI-REORGANIZATIONS

No significant changes

NOTE 14 – LIABILITIES, CONTINGENCIES AND ASSESSMENTS

NOTES TO FINANCIAL STATEMENTS

A. Contingent Commitments

(1) Total SSAP No. 97, Investments in Subsidiary, Controlled, and Affiliated Entities, A Replacement of SSAP No. 88, and SSAP No. 48, Joint Ventures, Partnerships and Limited Liability Company contingent liabilities: $.

(2) Detail of other contingent commitments

Nature and Circumstances of Guarantee and Key Attributes, Including Date and Duration of Agreement	Liability Recognition of Guarantee, (Including Amount Recognized at Inception. If no Initial Recognition, Document Exception Allowed Under SSAP No. 5R)	Ultimate Financial Statement Impact if Action under the Guarantee is Required	Maximum Potential Amount of Future Payments (Undiscounted) the Guarantor could be Required to make under the Guarantee. If unable to Develop an Estimate, this Should be Specifically Noted	Current Status of Payment or Performance Risk of Guarantee. Also Provide Additional Discussion as Warranted
	$		$	
Total	$	XXX	$	XXX

(3)

a.	Aggregate maximum potential of future payments of all guarantees (undiscounted) the guarantor could be required to make under guarantees. (Should equal the total of column 4 for (2) above.)		$
b.	Current liability recognized in F/S		
	1.	Noncontingent liabilities	$
	2.	Contingent liabilities	$
c.	Ultimate financial statement impact if action under the guarantee is required		
	1.	Investments in SCA	$
	2.	Joint Venture	
	3.	Dividends to stockholders (capital contribution)	
	4.	Expense	
	5.	Other	
	6.	Total (should equal (3)a)	$

B. Assessments

(1) Where Amount is Unknown

Where Amount is Known

(2)

a.	Assets recognized from paid and accrued premium tax offsets and policy surcharges prior year –end		$
b.	Decreases current period:		$
c.	Increases current period:		$
d.	Assets recognized from paid and accrued premium tax offsets and policy surcharges current period		$

C. Gain Contingencies

D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits

United Transportation Union Insurance Association paid the following amounts in the reporting period to settle claims related extra contractual obligations or bad faith claims stemming from lawsuits:

	Direct
Claims related ECO and bad faith losses paid during the reporting period	$

Number of claims where amounts were paid to settle claims related extra contractual obligations or bad faith claims resulting from lawsuits during the reporting period:

(a) 0-25 Claims	(b) 26-50 Claims	(c) 51-100 Claims	(d) 101-500 Claims	(e) More than 500 Claims

Indicate whether claim count information is disclosed per claim or per claimant:
(f) Per Claim [ ] (g) Per Claimant [ ]

E. Joint and Several Liabilities

F. All Other Contingencies

NOTE 15 – LEASES

No significant changes

NOTE 16 – INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK

No significant changes

NOTES TO FINANCIAL STATEMENTS

NOTE 17 – SALE, TRANSFER AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES

B. Transfer and Servicing of Financial Assets

(2)

(4)

(a)

(b)

C. Wash Sales

(1)

(2) The details by NAIC designation 3 or below, or unrated of securities sold during the year ended December 31, 2016 and reacquired within 30 days of the sale date are:

Description	NAIC Designation	Number of Transactions	Book Value of Securities Sold	Cost of Securities Repurchased	Gain/(Loss)
			$	$	$

NOTE 18 – GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE PORTION OF PARTIALLY INSURED PLANS

Not applicable

NOTE 19 – DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD PARTY ADMINISTRATORS

Not applicable

NOTE 20 – FAIR VALUE MEASUREMENTS

A.

(1) Fair Value Measurements at Reporting Date

Assets at Fair Value	Level 1	Level 2	Level 3	Total
	$	$	$	$
Total	$	$	$	$

Liabilities at Fair Value	Level 1	Level 2	Level 3	Total
	$	$	$	$
Total	$	$	$	$

(2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

a. Assets	Beginning Balance at current period	Transfers Into Level 3	Transfers Out of Level 3	Total Gains and (Losses) Included in Net Income	Total Gains and (Losses) Included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance at current period
	$	$	$	$	$	$	$	$	$	$
Total	$	$	$	$	$	$	$	$	$	$

b. Liabilities	Beginning Balance at current period	Transfers Into Level 3	Transfers Out of Level 3	Total Gains and (Losses) Included in Net Income	Total Gains and (Losses) Included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance at current period
	$	$	$	$	$	$	$	$	$	$
Total	$	$	$	$	$	$	$	$	$	$

(3)

(4)

(5)

B.

C.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)
	$	$	$	$	$	$

D. Not Practicable to Estimate Fair Value

Type of Class or Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
	$	%		

NOTES TO FINANCIAL STATEMENTS

NOTE 21 –OTHER ITEMS

No significant changes

NOTE 22 – EVENTS SUBSEQUENT

No significant changes

NOTE 23 – REINSURANCE

No significant changes

NOTE 24 – RETROSPECTIVELY RATED CONTRACTS AND CONTRACTS SUBJECT TO REDETERMINATION

E. Risk Sharing Provisions of the Affordable Care Act

(1) Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions

(2) Impact of Risk Sharing Provisions of the Affordable Care Act on admitted assets, liabilities and revenue for the current period:

			AMOUNT
a.	Permanent ACA Risk Adjustment Program		
	Assets		
	1.	Premium adjustments receivable due to ACA Risk Adjustment	$
	Liabilities		
	2.	Risk adjustment user fees payable for ACA Risk Adjustment	
	3.	Premium adjustments payable due to ACA Risk Adjustment	
	Operations (Revenue & Expenses)		
	4.	Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment	
	5.	Reported in expenses as ACA Risk Adjustment user fees (incurred/paid)	$
b.	Transitional ACA Reinsurance Program		
	Assets		
	1.	Amounts recoverable for claims paid due to ACA Reinsurance	$
	2.	Amounts recoverable for claims unpaid due to ACA Reinsurance (contra liability)	
	3.	Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	
	Liabilities		
	4.	Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium	
	5.	Ceded reinsurance premiums payable due to ACA Reinsurance	
	6.	Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance	$
	Operations (Revenue & Expenses)		
	7.	Ceded reinsurance premiums due to ACA Reinsurance	$
	8.	Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments	
	9.	ACA Reinsurance contributions – not reported as ceded premium	$
c.	Temporary ACA Risk Corridors Program		
	Assets		
	1.	Accrued retrospective premium due to ACA Risk Corridors	$
	Liabilities		
	2.	Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	
	Operations (Revenue & Expenses)		
	3.	Effect of ACA Risk Corridors on net premium income (paid/received)	
	4.	Effect of ACA Risk Corridors on change in reserves for rate credits	$

(3) Roll forward of prior year ACA Risk Sharing Provisions for the following asset (gross of any nonadmission) and liability balances along with the reasons for adjustments to prior year balance:

	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Period on Business Written Before December 31 of the Prior Year		Differences		Adjustments			Unsettled Balances as of the Reporting Date	
					Prior Year Accrued Less Payments (Col. 1-3)	Prior Year Accrued Less Payments (Col. 2-4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col. 1-3+7)	Cumulative Balance from Prior Years (Col. 2-4+8)
	1	2	3	4	5	6	7	8	9	10	11
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Ref	Receivable	(Payable)
a. Permanent ACA Risk Adjustment Program											
1. Premium adjustments receivable	$	$	$	$	$	$	$	$	A	$	$
2. Premium adjustments (payable)									B		
3. Subtotal ACA Permanent Risk Adjustment Program	$	$	$	$	$	$	$	$		$	$
b. Transitional ACA Reinsurance Program											
1. Amounts recoverable for claims paid	$	$	$	$	$	$	$	$	C	$	$
2. Amounts recoverable for claims unpaid (contra liability)									D		
3. Amounts receivable relating to uninsured plans									E		
4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded									F		

NOTES TO FINANCIAL STATEMENTS

		premiums											
	5.	Ceded reinsurance premiums payable									G		
	6.	Liability for amounts held under uninsured plans									H		
	7.	Subtotal ACA Transitional Reinsurance Program	$	$	$	$	$	$	$	$		$	$
c.	Temporary ACA Risk Corridors Program												
	1.	Accrued retrospective premium	$	$	$	$	$	$	$	$	I	$	$
	2.	Reserve for rate credits or policy experience rating refunds									J		
	3.	Subtotal ACA Risk Corridors Program											
d.	Total for ACA Risk Sharing Provisions		$	$	$	$	$	$	$	$		$	$

Explanations of Adjustments
A.
B.
C.
D.
E.
F.
G.
H.
I.
J.

NOTE 25 – CHANGE IN INCURRED LOSSES AND LOSS ADJUSTMENT EXPENSES

NOTE 26 – INTERCOMPANY POOLING ARRANGEMENTS

No significant changes

NOTE 27 – STRUCTURED SETTLEMENTS

No significant changes

NOTE 28 – HEALTH CARE RECEIVABLES

No significant changes

NOTE 29 – PARTICIPATING POLICIES

No significant changes

NOTE 30 – PREMIUM DEFICIENCY RESERVES

No significant changes

NOTE 31 – RESERVES FOR LIFE CONTRACTS AND DEPOSIT-TYPE CONTRACTS

No significant changes

NOTE 32 – ANALYSIS OF ANNUITY ACTUARIAL RESERVES AND DEPOSIT LIABILITIES BY WITHDRAWAL CHARACTERISTICS

No significant changes

NOTE 33 – PREMIUM AND ANNUITY CONSIDERATIONS DEFERRED AND UNCOLLECTED

No significant changes

NOTE 34 – SEPARATE ACCOUNTS

No significant changes

NOTE 35 – LOSS/CLAIM ADJUSTMENT EXPENSES

No significant changes

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]

2.2 If yes, date of change:

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [ ] No [ ]
If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [X No [x]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]

4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? If yes, attach an explanation. Yes [ ] No [ ] N/A [X]

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made.

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

6.4 By what department or departments?

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [ ] N/A [ ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [ ] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [X]

8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]

(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

(c) Compliance with applicable governmental laws, rules and regulations;

(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ ] No [X]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: $ 0

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: $ 0

13. Amount of real estate and mortgages held in short-term investments: $ 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [ ] No [X]

14.2 If yes, please complete the following:

		1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21	Bonds	$ 0	$ 0
14.22	Preferred Stock	0	0
14.23	Common Stock	0	0
14.24	Short-Term Investments	0	0
14.25	Mortgage Loans on Real Estate	0	0
14.26	All Other	0	0
14.27	Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	$ 0	$ 0
14.28	Total Investment in Parent included in Lines 14.21 to 14.26 above	$ 0	$ 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ]

If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: $ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: $ 0

16.3 Total payable for securities lending reported on the liability page: $ 0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*?
Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes [X] No [ ]

18.2 If no, list exceptions:

GENERAL INTERROGATORIES (continued)

PART 2 - FRATERNAL

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories:

		Reponses
1.1	Long-term mortgages in good standing:	
1.11	Farm mortgages	
1.12	Residential mortgages	
1.13	Commercial mortgages	
1.14	Total mortgages in good standing	$0
1.2	Long-term mortgages in good standing with restructured terms:	
1.21	Total mortgages in good standing with restructured terms	
1.3	Long-term mortgage loans upon which interest is overdue more than three months:	
1.31	Farm mortgages	
1.32	Residential mortgages	
1.33	Commercial mortgages	
1.34	Total mortgages with interest overdue more than three months	$0
1.4	Long-term mortgage loans in process of foreclosure:	
1.41	Farm mortgages	
1.42	Residential mortgages	
1.43	Commercial mortgages	
1.44	Total mortgages in process of foreclosure	$0
1.5	Total mortgage loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)	$0
1.6	Long-term mortgages foreclosed, properties transferred to real estate in current quarter:	
1.61	Farm mortgages	
1.62	Residential mortgages	
1.63	Commercial mortgages	
1.64	Total mortgages foreclosed and transferred to real estate	$0

2.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurance for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? Yes [ ] No [X]

2.2 If no, explain

3. Operating Percentages:

3.1	A&H loss percent	
3.2	A&H cost containment percent	
3.3	A&H expense percent excluding cost containment expenses	

4.1 Do you act as a custodian for health savings accounts? Yes [ ] No [X]

4.2 If yes, please provide the amount of custodial funds held as of the reporting date $

4.3 Do you act as an administrator for health savings accounts? Yes [ ] No [X]

4.4 If yes, please provide the balance of the funds administered as of the reporting date $

5.1 Does the reporting entiy have outstanding assessments in the form of liens against policy benefits that have increased surplus? Yes [ ] No [X]

5.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amounts

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsuer Rating

NONE

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

	1	Direct Business Only					
		Life Contracts		4	5	6	7
		2	3	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees			
State, Etc.	Active Status	Life Insurance Premiums	Annuity Considerations		Other Considerations	Total Columns 2 through 5	Deposit-Type Contracts
1. Alabama AL	N	18,812	3,121	8,626		30,559	
2. Alaska AK	N	111	127	208		446	
3. Arizona AZ	N	16,220	8,641	20,032		44,893	
4. Arkansas AR	N	30,740	4,411	13,523		48,674	
5. California CA	L	137,633	116,342	84,651		338,626	8,190
6. Colorado CO	L	21,882	31,021	21,934		74,837	2,777
7. Connecticut CT	N	682		1,510		2,192	
8. Delaware DE	N	1,994		1,206		3,200	
9. District of Columbia DC	L	2,158		2,216		4,374	
10. Florida FL	N	18,306	47,658	8,989		74,953	
11. Georgia GA	N	45,897	1,846	36,171		83,914	4,657
12. Hawaii HI	N					0	
13. Idaho ID	N	7,070	141	15,061		22,272	
14. Illinois IL	N	112,718	54,381	123,473		290,572	
15. Indiana IN	N	45,445	6,305	40,087		91,837	
16. Iowa IA	N	19,307	25,333	11,271		55,911	
17. Kansas KS	N	37,836	40,029	25,032		102,897	
18. Kentucky KY	N	35,398	11,373	12,489		59,260	
19. Louisiana LA	N	26,219	6,552	37,434		70,205	
20. Maine ME	N	651	3,390	156		4,197	
21. Maryland MD	N	14,103	4,812	3,979		22,894	
22. Massachusetts MA	N	2,574	3,245	4,553		10,372	
23. Michigan MI	N	13,467	23,215	8,248		44,930	
24. Minnesota MN	N	18,324	2,258	8,816		29,398	1,926
25. Mississippi MS	N	6,197	5,055	6,942		18,194	
26. Missouri MO	N	50,168	110,315	39,483		199,966	
27. Montana MT	N	5,605	233	6,708		12,546	
28. Nebraska NE	N	36,432	5,110	42,287		83,829	5,194
29. Nevada NV	N	2,585		1,876		4,461	
30. New Hampshire NH	N			31		31	
31. New Jersey NJ	N	27,711	85,490	97,519		210,720	8,651
32. New Mexico NM	L	4,652	2,624	4,536		11,812	
33. New York NY	N	37,565	25,087	30,834		93,486	1,573
34. North Carolina NC	N	21,145	18,896	12,513		52,554	7,142
35. North Dakota ND	N	20,216	902	12,275		33,393	6,097
36. Ohio OH	L	59,230	144,755	38,200		242,185	41,124
37. Oklahoma OK	N	9,733		3,970		13,703	
38. Oregon OR	N	2,875		4,218		7,093	
39. Pennsylvania PA	L	31,645	169,188	48,424		249,257	
40. Rhode Island RI	N			17		17	
41. South Carolina SC	N	16,000	3,073	14,301		33,374	
42. South Dakota SD	N	1,483		1,682		3,165	
43. Tennessee TN	N	23,742	7,759	14,653		46,154	
44. Texas TX	N	56,851	21,279	63,738		141,868	
45. Utah UT	N	7,181		3,920		11,101	
46. Vermont VT	N	108		45		153	
47. Virginia VA	N	26,183	24,584	16,503		67,270	615
48. Washington WA	N	6,634	1,891	8,683		17,208	
49. West Virginia WV	L	14,687	51,602	12,043		78,332	15,184
50. Wisconsin WI	N	16,331	7,447	9,631		33,409	
51. Wyoming WY	N	28,480	3,127	24,137		55,744	
52. American Samoa AS	N					0	
53. Guam GU	N					0	
54. Puerto Rico PR	N					0	
55. US Virgin Islands VI	N					0	
56. Northern Mariana Islands MP	N					0	
57. Canada CAN	N					0	
58. Aggregate Other Alien OT	XXX	0	0	0	0	0	0
59. Subtotals	(a) 7	1,140,986	1,082,618	1,008,834	0	3,232,438	103,130
90. Reporting entity contributions for employee benefit plans	XXX					0	
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX					0	
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX					0	
93. Premium or annuity considerations waived under disability or other contract provisions	XXX					0	
94. Aggregate other amounts not allocable by state	XXX	0	0	0	0	0	0
95. Totals (Direct Business)	XXX	1,140,986	1,082,618	1,008,834	0	3,232,438	103,130
96. Plus reinsurance assumed	XXX					0	
97. Totals (All Business)	XXX	1,140,986	1,082,618	1,008,834	0	3,232,438	103,130
98. Less reinsurance ceded	XXX	(87,164)				(87,164)	
99. Totals (All Business) less reinsurance ceded	XXX	1,228,150	1,082,618	1,008,834	0	3,319,602	103,130

DETAILS OF WRITE-INS

58001.	XXX					0	
58002.	XXX					0	
58003.	XXX					0	
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003 plus 58998) (Line 58 above)	XXX	0	0	0	0	0	0
9401.	XXX					0	
9402.	XXX					0	
9403.	XXX					0	
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX	0	0	0	0	0	0
9499. Totals (Lines 9401 thru 9403 plus 9498) (Line 94 above)	XXX	0	0	0	0	0	0

(L) - Licensed or Chartered - Licensed Insurance Carrier or Domicilied RRG; (R) - Registered - Non-domiciled RRGs; (Q) - Qualified - Qualified or Accredited Reinsurer;
(E) - Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) - None of the above - Not allowed to write business in the state.
(a) Insert the number of L responses except for Canada and Other Alien.

Sch. Y - Pt. 1
NONE

Sch. Y - Pt. 1A
NONE

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

		Response
1.	Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3.	Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5.	Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO

Explanations:

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.
5. The data for this supplement is not required to be filed.
6. The data for this supplement is not required to be filed.
7. The data for this supplement is not required to be filed.

Bar Code:

* 5 6 4 1 3 2 0 1 6 4 9 0 0 0 0 0 1 *

* 5 6 4 1 3 2 0 1 6 3 6 5 0 0 0 0 1 *

* 5 6 4 1 3 2 0 1 6 4 4 5 0 0 0 0 1 *

* 5 6 4 1 3 2 0 1 6 4 4 6 0 0 0 0 1 *

* 5 6 4 1 3 2 0 1 6 4 4 7 0 0 0 0 1 *

* 5 6 4 1 3 2 0 1 6 4 4 8 0 0 0 0 1 *

* 5 6 4 1 3 2 0 1 6 4 4 9 0 0 0 0 1 *

Overflow Page for Write-Ins

NONE

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	1,561,746	1,603,392
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other-than-temporary impairment recognized		
8. Deduct current year's depreciation	10,412	41,646
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8)	1,551,335	1,561,746
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)	1,551,335	1,561,746

SCHEDULE B - VERIFICATION

Mortgage Loans

NONE

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	0
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)	0	0
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)	0	0

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

NONE

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	0
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	0	0

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	14,294,655	12,927,736
2. Cost of bonds and stocks acquired	3,422,880	29,845,070
3. Accrual of discount	16,232	68,589
4. Unrealized valuation increase (decrease)	249,674	(788,075)
5. Total gain (loss) on disposals	(156,530)	629,084
6. Deduct consideration for bonds and stocks disposed of	4,353,510	27,879,506
7. Deduct amortization of premium	112,229	508,243
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other-than-temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	13,361,170	14,294,655
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	13,361,170	14,294,655

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	141,439,784	2,499,200	3,443,170	(1,560,737)	138,935,077			141,439,784
2. NAIC 2 (a)	56,411,003			(1,518,316)	54,892,687			56,411,003
3. NAIC 3 (a)				2,411,752	2,411,752			
4. NAIC 4 (a)				581,303	581,303			
5. NAIC 5 (a)					0			
6. NAIC 6 (a)					0			
7. Total Bonds	197,850,787	2,499,200	3,443,170	(85,998)	196,820,819	0	0	197,850,787
PREFERRED STOCK								
8. NAIC 1					0			
9. NAIC 2					0			
10. NAIC 3					0			
11. NAIC 4					0			
12. NAIC 5					0			
13. NAIC 6					0			
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	197,850,787	2,499,200	3,443,170	(85,998)	196,820,819	0	0	197,850,787

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation:
NAIC 1 $..........0; NAIC 2 $..........0; NAIC 3 $..........0; NAIC 4 $..........0; NAIC 5 $..........0; NAIC 6 $..........0.

Sch. DA - Pt. 1
NONE

Sch. DA - Verification
NONE

Sch. DB - Pt. A - Verification
NONE

Sch. DB - Pt. B - Verification
NONE

Sch. DB - Pt. C - Sn. 1
NONE

Sch. DB - Pt. C - Sn. 2
NONE

Sch. DB - Verification
NONE

SCHEDULE E- VERIFICATION

Cash Equivalents

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	1,942,803	3,329,109
2. Cost of cash equivalents acquired	8,049,291	38,639,957
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	7,105,625	40,026,263
7. Deduct amortization of premium		
8. Total foreign exchange change in book/ adjusted carrying value		
9. Deduct current year's other-than-temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	2,886,469	1,942,803
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	2,886,469	1,942,803

Sch. A - Pt. 2
NONE

Sch. A - Pt. 3
NONE

Sch. B - Pt. 2
NONE

Sch. B - Pt. 3
NONE

Sch. BA - Pt. 2
NONE

Sch. BA - Pt. 3
NONE

SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
Bonds - Industrial and Miscellaneous									
035242 AN 6	ANHEUSER BUSCH INBEV FIN INC		01/25/2016	MLPFS Inc.		800,115	802,000		1
30231G AW 2	EXXON MOBIL CORP		03/04/2016	VARIOUS		822,687	820,000	47	1
478160 BV 5	JOHNSON & JOHNSON		03/02/2016	VARIOUS		876,398	880,000	56	1
3899999. Total Bonds - Industrial and Miscellaneous						2,499,200	2,502,000	103	XXX
8399997. Total Bonds - Part 3						2,499,200	2,502,000	103	XXX
8399999. Total Bonds						2,499,200	2,502,000	103	XXX
Common Stocks - Industrial and Miscellaneous									
47804M 87 8	John Hancock II Gl Abs		01/28/2016	Undefined	85,700.294	875,000	XXX		L
922040 10 0	VANGUARD INSTL INDEX FD		03/18/2016	Dividend Reinvestment	252.661	46,755	XXX		L
9099999. Total Common Stocks - Industrial and Miscellaneous						921,755	XXX	0	XXX
Common Stocks - Mutual Funds									
922908 64 5	Vanguard Index TR		03/15/2016	Dividend Reinvestment	13.125	1,924	XXX		L
9299999. Total Common Stocks - Mutual Funds						1,924	XXX	0	XXX
9799997. Total Common Stocks - Part 3						923,679	XXX	0	XXX
9799999. Total Common Stocks						923,679	XXX	0	XXX
9899999. Total Preferred and Common Stocks						923,679	XXX	0	XXX
9999999. Total Bonds, Preferred and Common Stocks						3,422,880	XXX	103	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:...............0.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value 11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
Bonds - U.S. Government																					
3128P7 X9 9	FHLMC PC GOLD CASH 20		03/15/2016.	PRINCIPAL RECEIPT		64,264	64,264	66,308	66,228		(1,964)		(1,964)		64,264			0	156	01/01/2033	1
31292S AQ 3	FHLMC PC GOLD CASH 30		03/15/2016.	PRINCIPAL RECEIPT		24,325	24,325	25,378	25,362		(1,037)		(1,037)		24,325			0	54	10/01/2042	1
31292S AU 4	FHLMC PC GOLD CASH 30		03/15/2016.	PRINCIPAL RECEIPT		31,319	31,319	32,850	32,833		(1,514)		(1,514)		31,319			0	72	12/01/2042	1
312946 N9 3	FHLMC PC GOLD COMB 30		03/15/2016.	PRINCIPAL RECEIPT		19,333	19,333	21,085	21,070		(1,736)		(1,736)		19,333			0	74	03/01/2041	1
3132HM K2 6	FHLMC PC GOLD PC 30YR		03/15/2016.	PRINCIPAL RECEIPT		33,035	33,035	34,552	34,538		(1,503)		(1,503)		33,035			0	71	09/01/2042	1
31417E SS 1	FNMA PASS-THRU LNG 30 YEAR		03/25/2016.	PRINCIPAL RECEIPT		14,286	14,286	14,157	14,158		129		129		14,286			0	42	01/01/2043	1
31417F ML 9	FNMA PASS-THRU LNG 30 YEAR		03/25/2016.	PRINCIPAL RECEIPT		11,523	11,523	10,752	10,760		763		763		11,523			0	29	01/01/2043	1
31417H CR 3	FNMA PASS-THRU LNG LP SF30YR		03/25/2016.	PRINCIPAL RECEIPT		46,714	46,714	48,911	48,852		(2,138)		(2,138)		46,714			0	110	07/01/2043	1
36202D YY 1	GNMA II Pool 3427		03/20/2016.	PRINCIPAL RECEIPT		9,231	9,231	9,047	9,059		172		172		9,231			0	29	08/20/2033	1
36290S 5M 9	GNMA II Pool 616552		03/20/2016.	PRINCIPAL RECEIPT		15,279	15,279	16,367	16,271		(992)		(992)		15,279			0	84	08/20/2034	1
36225A KR 0	GNMA PASS-THRU 780304		03/15/2016.	PRINCIPAL RECEIPT		9,856	9,856	11,334	10,611		(755)		(755)		9,856			0	88	07/15/2021	1
36225B 4C 9	GNMA PASS-THRU 781719		03/15/2016.	PRINCIPAL RECEIPT		8,608	8,608	9,052	9,040		(432)		(432)		8,608			0	32	02/15/2034	1
0599999. Total Bonds - U.S Government						287,772	287,772	299,793	298,781	0	(11,009)	0	(11,009)	0	287,772	0	0	0	839	XXX	XXX
Bonds - U.S. States, Territories and Possessions																					
199491 S3 6	COLUMBUS OHIO GO		03/07/2016.	Call		1,000,000	1,000,000	1,000,000	1,000,000				0		1,000,000			0	14,800	06/01/2031	1FE
1799999. Total Bonds - U.S. States, Territories and Possessions						1,000,000	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	0	0	14,800	XXX	XXX
Bonds - U.S. Special Revenue and Special Assessment																					
196711 LY 9	COLORADO ST CTFS PARTN		03/04/2016.	Pershing		618,890	500,000	648,475	627,568		(1,218)		(1,218)		626,350		(7,460)	(7,460)	14,670	09/15/2029	1FE
3128M4 JF 1	FG G02662		03/15/2016.	PRINCIPAL RECEIPT		7,405	7,405	7,887	7,794		(388)		(388)		7,405			0	57	07/01/2034	1
31292H NC 4	FGC 01287		03/15/2016.	PRINCIPAL RECEIPT		4,710	4,710	4,781	4,768		(58)		(58)		4,710			0	25	01/01/2032	1
3128M7 VT 0	FGG 05726		03/15/2016.	PRINCIPAL RECEIPT		7,858	7,858	8,339	8,322		(464)		(464)		7,858			0	37	08/01/2039	1
312935 H8 5	FHLMC PC A8-8355		03/15/2016.	PRINCIPAL RECEIPT		15,693	15,693	15,855	15,852		(159)		(159)		15,693			0	67	09/01/2039	1
3128M7 LX 2	FHLMC PC 5442		03/15/2016.	PRINCIPAL RECEIPT		38,961	38,961	43,879	42,398		(3,437)		(3,437)		38,961			0	479	07/01/2032	1
3128M8 3G 7	FHLMC PC G06799 GOLD COMB 30		03/15/2016.	PRINCIPAL RECEIPT		29,249	29,249	29,943	29,913		(664)		(664)		29,249			0	128	11/01/2041	1
3137B4 HD 1	FHLMC REMIC SERIES 4247		03/15/2016.	PRINCIPAL RECEIPT		60,099	60,099	66,034	64,588		(4,489)		(4,489)		60,099			0	302	12/15/2042	1FE
3138EB PJ 8	FN AK6724		03/25/2016.	PRINCIPAL RECEIPT		9,829	9,829	10,042	10,029		(200)		(200)		9,829			0	27	03/01/2042	1
31371K Y7 0	FN 254634		03/25/2016.	PRINCIPAL RECEIPT		4,098	4,098	4,117	4,104		(6)		(6)		4,098			0	24	02/01/2023	1
31402C U6 7	FN 725205		03/25/2016.	PRINCIPAL RECEIPT		7,722	7,722	7,727	7,723		(0)		(0)		7,722			0	41	03/01/2034	1
31404V TS 7	FN 780061		03/25/2016.	PRINCIPAL RECEIPT		17,773	17,773	17,765	17,758		16		16		17,773			0	144	05/01/2034	1
31407F GC 8	FN 829195		03/25/2016.	PRINCIPAL RECEIPT		50	50	48	49		2		2		50			0	0	07/01/2035	1
31408F GA 1	FN 849893		03/25/2016.	PRINCIPAL RECEIPT		2,014	2,014	1,878	1,927		87		87		2,014			0	9	11/01/2023	1
31408G Y2 7	FN 851329		03/25/2016.	PRINCIPAL RECEIPT		621	621	613	614		7		7		621			0	4	02/01/2036	1
31419A KZ 9	FN Pool AE0311		03/25/2016.	PRINCIPAL RECEIPT		13,384	13,384	13,397	13,394		(10)		(10)		13,384			0	33	08/01/2040	1
31371K 7E 5	FNMA PASS-THRU 254793		03/25/2016.	PRINCIPAL RECEIPT		8,006	8,006	8,026	8,024		(18)		(18)		8,006			0	36	07/01/2033	1
31381D 2J 3	FNMA PASS-THRU 458077		03/25/2016.	PRINCIPAL RECEIPT		7,544	7,544	8,186	8,051		(506)		(506)		7,544			0	74	08/15/2027	1

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value 11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
31385J DJ 4	FNMA PASS-THRU 545605		03/25/2016.	PRINCIPAL RECEIPT		6,099	6,099	6,694	6,650		(551)		(551)		6,099			0	50	05/01/2032	1
31403D T8 2	FNMA PASS-THRU 745875		03/25/2016.	PRINCIPAL RECEIPT		9,263	9,263	9,942	9,929		(666)		(666)		9,263			0	68	09/01/2036	1
31403U PF 2	FNMA PASS-THRU 758322		03/25/2016.	PRINCIPAL RECEIPT		10,977	10,977	11,466	11,455		(478)		(478)		10,977			0	68	12/01/2033	1
31416C FS 0	FNMA PASS-THRU 995777		03/25/2016.	PRINCIPAL RECEIPT		48,600	48,600	52,852	52,530		(3,930)		(3,930)		48,600			0	478	04/01/2033	1
31396Y S2 9	FNR 2008-26 ZK		03/25/2016.	PRINCIPAL RECEIPT		74,807	74,807	61,842	75,733		(926)		(926)		74,807			0		04/25/2038	1
347622 CK 6	FORT LAUDERDALE FLA SPL OBLIG		03/04/2016.	Bony/Vining-Sparks		796,718	750,000	765,000	761,251		(242)		(242)		761,008		35,709	35,709	19,359	01/01/2024	1FE
60415N E7 3	MNSHSG 6.51		01/07/2016.	CALLED @ 100.0000000		350,000	350,000	360,500	350,000				0		350,000			0	11,772	01/01/2032	1FE
3199999. Total Bonds - U.S. Special Revenue and Special Assessment						2,150,371	1,984,764	2,165,289	2,140,421	0	(18,300)	0	(18,300)	0	2,122,122	0	28,249	28,249	47,953	XXX	XXX
Bonds - Industrial and Miscellaneous																					
61754K AC 9	MORGAN STANLEY CAP 2007-IQ14		03/15/2016.	PRINCIPAL RECEIPT		79	79	82	79		(1)		(1)		79			0		04/15/2049	1FM
74332M AA 3	PROGRESS RESI TR 2015-SFR2		02/12/2016.	PRINCIPAL RECEIPT		378	378	378	378		0		0		378			0	2	06/14/2032	1FE
90783W AA 1	Union Pacific RR Co		01/02/2016.	Sink PMT @ 100.0000000		9,393	9,393	9,393	9,393				0		9,393			0		07/02/2030	1FE
929227 ZC 3	WAMU 2002 - AR18 A		03/25/2016.	PRINCIPAL RECEIPT		348	348	346	346		2		2		348			0	1	01/25/2033	1FM
92978Y AB 6	WBCMT 2007-C32 A2		03/15/2016.	PRINCIPAL RECEIPT		23,079	23,079	23,699	23,390		(312)		(312)		23,079			0	223	06/15/2049	1FM
3899999. Total Bonds - Industrial and Miscellaneous						33,276	33,276	33,898	33,586	0	(310)	0	(310)	0	33,276	0	0	0	227	XXX	XXX
8399997. Total Bonds - Part 4						3,471,419	3,305,812	3,498,979	3,472,789	0	(29,619)	0	(29,619)	0	3,443,170	0	28,249	28,249	63,819	XXX	XXX
8399999. Total Bonds						3,471,419	3,305,812	3,498,979	3,472,789	0	(29,619)	0	(29,619)	0	3,443,170	0	28,249	28,249	63,819	XXX	XXX
Common Stocks - Mutual Funds																					
722005 62 6	Pimco All Asset Fund - Instl		01/28/2016.	VENDOR CODE 000 NOT IN TABLE.	89,190.196	882,091	XXX	1,066,871	909,740	157,131			157,131		1,066,871		(184,780)	(184,780)		XXX	L
9299999. Total Common Stocks - Mutual Funds						882,091	XXX	1,066,871	909,740	157,131	0	0	157,131	0	1,066,871	0	(184,780)	(184,780)	0	XXX	XXX
9799997 Total Common Stocks - Part 4						882,091	XXX	1,066,871	909,740	157,131	0	0	157,131	0	1,066,871	0	(184,780)	(184,780)	0	XXX	XXX
9799999. Total Common Stocks						882,091	XXX	1,066,871	909,740	157,131	0	0	157,131	0	1,066,871	0	(184,780)	(184,780)	0	XXX	XXX
9899999. Total Preferred and Common Stocks						882,091	XXX	1,066,871	909,740	157,131	0	0	157,131	0	1,066,871	0	(184,780)	(184,780)	0	XXX	XXX
9999999. Total Bonds, Preferred and Common Stocks						4,353,510	XXX	4,565,850	4,382,529	157,131	(29,619)	0	127,512	0	4,510,041	0	(156,530)	(156,530)	63,819	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues: 0.

Sch. DB - Pt. A - Sn. 1
NONE

Sch. DB - Pt. B - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 2
NONE

Sch. DL - Pt. 1
NONE

Sch. DL - Pt. 2
NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	First Month	Second Month	Third Month	*
Open Depositories								
Fifth Third Bank Cleveland, Ohio					258,304	410,706	(108,772)	XXX
Fifth Third Bank Cleveland, OH					9,614	11,347	11,202	XXX
0199999. Total Open Depositories	XXX	XXX	0	0	267,917	422,053	(97,569)	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	267,917	422,053	(97,569)	XXX
0599999. Total Cash	XXX	XXX	0	0	267,917	422,053	(97,569)	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year
U.S. Government Bonds - Issuer Obligations							
First America Prime Oblig Fund Cl Y		Various			2,886,470		103
0199999. U.S. Government Bonds - Issuer Obligations					2,886,470	0	103
0599999. Total - U.S. Government Bonds					2,886,470	0	103
Total Bonds							
7799999. Subtotals - Issuer Obligations					2,886,470	0	103
8399999. Subtotals - Bonds					2,886,470	0	103
8699999. Total - Cash Equivalents					2,886,470	0	103