



QUARTERLY STATEMENT

As of March 31, 2016
of the Condition and Affairs of the

EVERGREEN NATIONAL INDEMNITY COMPANY

NAIC Group Code.....4765, 4765
(Current Period) (Prior Period)

NAIC Company Code..... 12750

Employer's ID Number..... 36-2467238

Organized under the Laws of OH

State of Domicile or Port of Entry OH

Country of Domicile US

Incorporated/Organized..... December 30, 1939

Commenced Business..... January 1, 1940

Statutory Home Office

6140 PARKLAND BLVD, STE 321..... MAYFIELD HEIGHTS OH US 44124
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office

6140 PARKLAND BLVD, STE 321..... MAYFIELD HEIGHTS OH US 44124440-229-3420
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address

6140 PARKLAND BLVD, STE 321..... MAYFIELD HEIGHTS OH US 44124
(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records

6140 PARKLAND BLVD, STE 321..... MAYFIELD HEIGHTS OH US 44124440-229-3403
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Web Site Address

Statutory Statement Contact

DAVID ALAN CANZONE
(Name)
dcanzone@evergreen-national.com
(E-Mail Address)

440-229-3403
(Area Code) (Telephone Number) (Extension)
440-229-3421
(Fax Number)

OFFICERS

Name	Title	Name	Title
1. MATTHEW TRACY TUCKER	PRESIDENT	2. DAVID ALAN CANZONE	CFO/TREASURER
3. WAN CHEN COLLIER	SECRETARY	4. ROBERT WILLARD SHEPARD	VICE PRESIDENT OF FINANCE

OTHER

DIRECTORS OR TRUSTEES

CHARLES KYLE SLATERY	MATTHEW TRACY TUCKER	ROBERT WILLARD SHEPARD	JAMES DONALD LACKIE
DAVID ALAN CANZONE	EMMEL BERNHARDT GOLDEN III		

State of..... Ohio
County of..... Cuyahoga

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)	(Signature)	(Signature)
MATTHEW TRACY TUCKER	DAVID ALAN CANZONE	WAN CHEN COLLIER
1. (Printed Name)	2. (Printed Name)	3. (Printed Name)
PRESIDENT	CFO/TREASURER	SECRETARY
(Title)	(Title)	(Title)

Subscribed and sworn to before me
This _____ day of _____

a. Is this an original filing?
b. If no: 1. State the amendment number
2. Date filed
3. Number of pages attached

Yes [X] No []

ASSETS

	Current Statement Date			4
	1	2	3	December 31 Prior Year Net Admitted Assets
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	19,004,898		19,004,898	18,324,350
2. Stocks:				
2.1 Preferred stocks.....	4,969,998		4,969,998	4,966,395
2.2 Common stocks.....	7,847,874		7,847,874	8,079,036
3. Mortgage loans on real estate:				
3.1 First liens.....	830,870		830,870	830,870
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....			0	
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			0	
4.3 Properties held for sale (less \$.....0 encumbrances).....			0	
5. Cash (\$.....3,755,383), cash equivalents (\$.....0) and short-term investments (\$.....1,621,635).....	5,377,022		5,377,022	5,975,062
6. Contract loans (including \$.....0 premium notes).....			0	
7. Derivatives.....			0	
8. Other invested assets.....	3,020,623		3,020,623	2,857,205
9. Receivables for securities.....			0	19,770
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	41,051,285	0	41,051,285	41,052,688
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	324,660		324,660	320,891
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	1,682,891		1,682,891	1,966,454
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....			0	
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	379,847	62,365	317,482	317,482
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....			0	
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....			0	
18.2 Net deferred tax asset.....	842,621		842,621	893,996
19. Guaranty funds receivable or on deposit.....			0	
20. Electronic data processing equipment and software.....	6,359	2,249	4,110	4,865
21. Furniture and equipment, including health care delivery assets (\$.....0).....	889	889	0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....			0	
24. Health care (\$.....0) and other amounts receivable.....			0	
25. Aggregate write-ins for other than invested assets.....	90,614	5,115	85,499	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	44,379,166	70,618	44,308,548	44,556,376
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
28. Total (Lines 26 and 27).....	44,379,166	70,618	44,308,548	44,556,376

DETAILS OF WRITE-INS

1101.			0	
1102.			0	
1103.			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Miscellaneous Receivable.....	85,499		85,499	
2502. Prepaid Insurance.....	5,115	5,115	0	
2503.			0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	90,614	5,115	85,499	0

EVERGREEN NATIONAL INDEMNITY COMPANY
LIABILITIES, SURPLUS AND OTHER FUNDS

		1 Current Statement Date	2 December 31 Prior Year
1.	Losses (current accident year \$.....591,743).....	2,816,066	2,736,418
2.	Reinsurance payable on paid losses and loss adjustment expenses.....		
3.	Loss adjustment expenses.....	1,013,270	912,611
4.	Commissions payable, contingent commissions and other similar charges.....		
5.	Other expenses (excluding taxes, licenses and fees).....	235,241	323,026
6.	Taxes, licenses and fees (excluding federal and foreign income taxes).....		281,123
7.1	Current federal and foreign income taxes (including \$.....0 on realized capital gains (losses)).....	268,665	105,420
7.2	Net deferred tax liability.....		
8.	Borrowed money \$.....0 and interest thereon \$.....0.....		
9.	Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$.....10,567,125 and including warranty reserves of \$.....81,218 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act).....	4,711,324	4,991,067
10.	Advance premium.....		
11.	Dividends declared and unpaid:		
11.1	Stockholders.....		
11.2	Policyholders.....		
12.	Ceded reinsurance premiums payable (net of ceding commissions).....	2,817,956	3,448,330
13.	Funds held by company under reinsurance treaties.....		
14.	Amounts withheld or retained by company for account of others.....		
15.	Remittances and items not allocated.....		
16.	Provision for reinsurance (including \$.....0 certified).....		
17.	Net adjustments in assets and liabilities due to foreign exchange rates.....		
18.	Drafts outstanding.....		
19.	Payable to parent, subsidiaries and affiliates.....		
20.	Derivatives.....		
21.	Payable for securities.....		
22.	Payable for securities lending.....		
23.	Liability for amounts held under uninsured plans.....		
24.	Capital notes \$.....0 and interest thereon \$.....0.....		
25.	Aggregate write-ins for liabilities.....	1,116,764	1,212,670
26.	Total liabilities excluding protected cell liabilities (Lines 1 through 25).....	12,979,286	14,010,665
27.	Protected cell liabilities.....		
28.	Total liabilities (Lines 26 and 27).....	12,979,286	14,010,665
29.	Aggregate write-ins for special surplus funds.....	0	0
30.	Common capital stock.....	3,018,004	3,018,004
31.	Preferred capital stock.....		
32.	Aggregate write-ins for other than special surplus funds.....	0	0
33.	Surplus notes.....		
34.	Gross paid in and contributed surplus.....	25,841,820	25,841,820
35.	Unassigned funds (surplus).....	2,469,438	1,685,887
36.	Less treasury stock, at cost:		
36.1	0.000 shares common (value included in Line 30 \$.....0).....		
36.2	0.000 shares preferred (value included in Line 31 \$.....0).....		
37.	Surplus as regards policyholders (Lines 29 to 35, less 36).....	31,329,262	30,545,711
38.	Totals (Page 2, Line 28, Col. 3).....	44,308,548	44,556,376

DETAILS OF WRITE-INS		
2501.	Unrestricted Collateral.....	925,499
2502.	Pledged as Collateral.....	191,265
2503.		
2598.	Summary of remaining write-ins for Line 25 from overflow page.....	0
2599.	Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	1,116,764
2901.		
2902.		
2903.		
2998.	Summary of remaining write-ins for Line 29 from overflow page.....	0
2999.	Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above).....	0
3201.		
3202.		
3203.		
3298.	Summary of remaining write-ins for Line 32 from overflow page.....	0
3299.	Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above).....	0

EVERGREEN NATIONAL INDEMNITY COMPANY
STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct..... (written \$7,081,676).....	8,321,250	8,119,741	33,792,686
1.2 Assumed..... (written \$593,484).....	968,057	728,564	3,575,164
1.3 Ceded..... (written \$5,084,579).....	6,418,983	6,148,956	26,021,351
1.4 Net..... (written \$2,590,581).....	2,870,324	2,699,349	11,346,499
DEDUCTIONS:			
2. Losses incurred (current accident year \$591,743):			
2.1 Direct.....	263,100	(133,278)	(769,195)
2.2 Assumed.....	170,276	(31,935)	48,882
2.3 Ceded.....	353,728	(184,705)	(913,611)
2.4 Net.....	79,648	19,492	193,298
3. Loss adjustment expenses incurred.....	100,268	37,659	(44,205)
4. Other underwriting expenses incurred.....	1,757,575	1,977,338	8,813,430
5. Aggregate write-ins for underwriting deductions.....	0	0	0
6. Total underwriting deductions (Lines 2 through 5).....	1,937,491	2,034,489	8,962,523
7. Net income of protected cells.....			
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7).....	932,833	664,860	2,383,976
INVESTMENT INCOME			
9. Net investment income earned.....	391,429	352,241	1,455,780
10. Net realized capital gains (losses) less capital gains tax of \$0.....	(611,848)	(90,782)	(385,999)
11. Net investment gain (loss) (Lines 9 + 10).....	(220,419)	261,459	1,069,781
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$0 amount charged off \$0).....	0		
13. Finance and service charges not included in premiums.....			
14. Aggregate write-ins for miscellaneous income.....	0	0	522
15. Total other income (Lines 12 through 14).....	0	0	522
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15).....	712,414	926,319	3,454,279
17. Dividends to policyholders.....			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17).....	712,414	926,319	3,454,279
19. Federal and foreign income taxes incurred.....	413,245	304,234	1,135,519
20. Net income (Line 18 minus Line 19) (to Line 22).....	299,169	622,085	2,318,760
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year.....	30,545,711	33,209,333	33,209,334
22. Net income (from Line 20).....	299,169	622,085	2,318,760
23. Net transfers (to) from Protected Cell accounts.....			
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$0.....	531,814	(173,951)	(2,965,912)
25. Change in net unrealized foreign exchange capital gain (loss).....			
26. Change in net deferred income tax.....	(51,375)	10,786	882,992
27. Change in nonadmitted assets.....	3,943	10,374	(449,463)
28. Change in provision for reinsurance.....			
29. Change in surplus notes.....			
30. Surplus (contributed to) withdrawn from protected cells.....			
31. Cumulative effect of changes in accounting principles.....			
32. Capital changes:			
32.1 Paid in.....			
32.2 Transferred from surplus (Stock Dividend).....			
32.3 Transferred to surplus.....			
33. Surplus adjustments:			
33.1 Paid in.....			
33.2 Transferred to capital (Stock Dividend).....			
33.3 Transferred from capital.....			
34. Net remittances from or (to) Home Office.....			
35. Dividends to stockholders.....			(2,450,000)
36. Change in treasury stock.....			
37. Aggregate write-ins for gains and losses in surplus.....	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37).....	783,551	469,294	(2,663,623)
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38).....	31,329,262	33,678,627	30,545,711

DETAILS OF WRITE-INS			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above).....	0	0	0
1401. Miscellaneous Income.....			522
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above).....	0	0	522
3701. Misc Surplus Change.....			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page.....	0	0	0
3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above).....	0	0	0

EVERGREEN NATIONAL INDEMNITY COMPANY
CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
CASH FROM OPERATIONS			
1. Premiums collected net of reinsurance.....	2,243,770	2,534,245	11,483,447
2. Net investment income.....	381,779	328,335	1,443,529
3. Miscellaneous income.....			522
4. Total (Lines 1 through 3).....	2,625,549	2,862,580	12,927,498
5. Benefit and loss related payments.....		2,025	2,567
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	2,126,092	2,156,809	8,555,768
8. Dividends paid to policyholders.....			
9. Federal and foreign income taxes paid (recovered) net of \$0 tax on capital gains (losses).....	250,000	96,011	1,126,110
10. Total (Lines 5 through 9).....	2,376,092	2,254,845	9,684,445
11. Net cash from operations (Line 4 minus Line 10).....	249,457	607,735	3,243,053
CASH FROM INVESTMENTS			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	542,079	3,403,643	5,734,736
12.2 Stocks.....	843,937	639,920	3,334,227
12.3 Mortgage loans.....		1,888	9,866
12.4 Real estate.....			
12.5 Other invested assets.....	98,787		(266,496)
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....			
12.7 Miscellaneous proceeds.....	19,770		32,515
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	1,504,574	4,045,450	8,844,848
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	1,366,439	1,202,830	4,724,044
13.2 Stocks.....	750,229	2,451,777	5,163,848
13.3 Mortgage loans.....			
13.4 Real estate.....			
13.5 Other invested assets.....	58,695		181,857
13.6 Miscellaneous applications.....		40,000	
13.7 Total investments acquired (Lines 13.1 to 13.6).....	2,175,363	3,694,607	10,069,750
14. Net increase or (decrease) in contract loans and premium notes.....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(670,789)	350,843	(1,224,902)
CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....			
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			
16.5 Dividends to stockholders.....			2,450,000
16.6 Other cash provided (applied).....	(176,708)	51,379	31,656
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	(176,708)	51,379	(2,418,344)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	(598,040)	1,009,957	(400,193)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	5,975,062	6,375,255	6,375,255
19.2 End of period (Line 18 plus Line 19.1).....	5,377,022	7,385,213	5,975,062

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			
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NOTES TO FINANCIAL STATEMENTS

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES AND GOING CONCERN

A. Accounting Practices

	State of Domicile	Current Period	Prior Year
NET INCOME			
(1) EVERGREEN NATIONAL INDEMNITY COMPANY state basis (Page 4, Line 20, Columns 1 & 3)	OH	\$ 299,169	\$ 2,318,760
(2) State Prescribed Practices that increase/decrease NAIC SAP			
(3) State Permitted Practices that increase/decrease NAIC SAP			
(4) NAIC SAP (1 – 2 – 3 = 4)	OH	\$ 299,169	\$ 2,318,760
SURPLUS			
(5) EVERGREEN NATIONAL INDEMNITY COMPANY state basis (Page 3, line 37, Columns 1 & 2)	OH	\$ 31,329,262	\$ 30,545,711
(6) State Prescribed Practices that increase/decrease NAIC SAP			
(7) State Permitted Practices that increase/decrease NAIC SAP			
(8) NAIC SAP (5 – 6 – 7 = 8)	OH	\$ 31,329,262	\$ 30,545,711

C. Accounting Policy -Not Applicable

D. Going Concern -Not Applicable

NOTE 2 – ACCOUNTING CHANGES AND CORRECTIONS OF ERRORS

No significant changes

NOTE 3 –BUSINESS COMBINATIONS AND GOODWILL

No significant change.

NOTE 4 – DISCONTINUED OPERATIONS

No significant change

NOTE 5 – INVESTMENTS

D. Loan-Backed Securities

(1)

		1	2	3
(2)		Amortized Cost Basis Before Other-than-Temporary Impairment	Other-than-Temporary Impairment Recognized in Loss	Fair Value 1 – 2
OTTI recognized 1 st Quarter				
a.	Intent to sell	\$	\$	\$
b.	Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			
c.	Total 1 st Quarter	\$ None	\$	\$
OTTI recognized 2 nd Quarter				
d.	Intent to sell	\$	\$	\$
e.	Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			
f.	Total 2 nd Quarter	\$	\$	\$
OTTI recognized 3 rd Quarter				
g.	Intent to sell	\$	\$	\$
h.	Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			
i.	Total 4 th Quarter	\$	\$	\$
OTTI recognized 4 th Quarter				
j.	Intent to sell	\$	\$	\$
k.	Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			
l.	Total 4 th Quarter		\$	
m.	Annual aggregate total	XXX	\$	XXX

(3) Recognized OTTI securities

NOTES TO FINANCIAL STATEMENTS

CUSIP	Book/Adjusted Carrying Value Amortized Cost Before Current Period OTTI	Present Value of Projected Cash Flows	Recognized Other-Than-Temporary Impairment	Amortized Cost After Other-Than-Temporary Impairment	Fair Value at Time of OTTI	Date of Financial Statement Where Reported
	\$	\$	\$	\$	\$	
Total			\$			

(4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains):

a.	The aggregate amount of unrealized losses:	1.	Less than 12 Months	\$
		2.	12 Months or Longer	\$
b.	The aggregate related fair value of securities with unrealized losses:	1.	Less than 12 Months	\$
		2.	12 Months or Longer	\$

(5)

E. Repurchase Agreements and/or Securities Lending Transactions

(3) Collateral Received

b.	The fair value of that collateral and of the portion of that collateral that it has sold or repledged	\$
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I. Working Capital Finance Investments

(2) Aggregate Maturity Distribution on the Underlying Working Capital Finance Programs

		Book/Adjusted Carrying Value
a.	Up to 180 Days	\$
b.	181 to 365 Days	
c.	Total	\$

(3)

J. Offsetting and Netting of Assets and Liabilities

(1) Assets	Gross Amount Recognized	Amount Offset	Net Amount Presented on Financial Statements
	\$	\$	\$
(2) Liabilities			
	\$	\$	\$

NOTE 6 – JOINT VENTURES, PARTNERSHIPS AND LIMITED LIABILITY COMPANIES

No significant changes

NOTE 7 – INVESTMENT INCOME

No significant changes

NOTE 8 – DERIVATIVE INSTRUMENTS

No significant changes

NOTE 9 – INCOME TAXES

No significant changes

NOTE 10 – INFORMATION CONCERNING PARENT, SUBSIDIARIES, AFFILIATES AND OTHER RELATED PARTIES

No significant changes

NOTE 11 – DEBT

B. FHLB (Federal Home Loan Bank) Agreements -Not Applicable

(1)

(2) FHLB Capital Stock

a. Aggregate Totals

1. Current Period

	1 Total 2 + 3	2 General Account	3 Protected Cell Accounts
(a) Membership Stock – Class A	\$	\$	\$
(b) Membership Stock – Class B			
(c) Activity Stock			

NOTES TO FINANCIAL STATEMENTS

(d) Excess Stock			
(e) Aggregate Total (a+b+c+d)	\$	\$	\$
(f) Actual or estimated borrowing capacity as determined by the insurer	\$	XXX	XXX

2. Prior Year

	1 Total 2 + 3	2 General Account	3 Protected Cell Accounts
(a) Membership Stock – Class A	\$	\$	\$
(b) Membership Stock – Class B			
(c) Activity Stock			
(d) Excess Stock			
(e) Aggregate Total (a+b+c+d)	\$	\$	\$
(f) Actual or estimated borrowing capacity as determined by the insurer	\$	XXX	XXX

b. Membership Stock (Class A and B) Eligible and Not Eligible for Redemption

		1	2	Eligible for Redemption			
				3	4	5	6
Membership Stick		Current Period Total (2+3+4+5+6)	Not Eligible for Redemption	Less than 6 Months	6 Months to Less Than 1 Year	1 to Less Than 3 Years	3 to 5 Years
1.	Class A	\$	\$	\$	\$	\$	\$
2.	Class B	\$	\$	\$	\$	\$	\$

(3) Collateral Pledged to FHLB

a. Amount Pledged as of Reporting Date

	1 Fair Value	2 Carrying Value	3 Aggregate Total Borrowing
1. Current Period Total General and Protected Cell Total Collateral Pledged (Lines 2+3)	\$	\$	\$
2. Current Period General Account Total Collateral Pledged	\$	\$	\$
3. Current Period Protected Cell Total Collateral Pledged	\$	\$	\$
4. Prior Year-End Total General and Protected Cell Total Collateral Pledged	\$	\$	\$

b. Maximum Amount Pledged During Reporting Period

	1 Fair Value	2 Carrying Value	3 Amount Borrowed at Time of Maximum Collateral
1. Current Period Total General and Protected Cell Total Collateral Pledged (Lines 2+3)	\$	\$	\$
2. Current Period General Account Total Collateral Pledged	\$	\$	\$
3. Current Period Protected Cell Total Collateral Pledged	\$	\$	\$
4. Prior Year-End Total General and Protected Cell Total Collateral Pledged	\$	\$	\$

(4) Borrowing from FHLB

a. Amount as of the Reporting Date

1. Current Period

	1 Total 2 + 3	2 General Account	3 Protected Cell Account	4 Funding Agreements Reserves Established
(a) Debt	\$	\$	\$	XXX
(b) Funding Agreements				\$
(c) Other				XXX
(d) Aggregate Total (a+b+c)	\$	\$	\$	\$

2. Prior Year

	1 Total 2 + 3	2 General Account	3 Protected Cell Account	4 Funding Agreements Reserves Established
(a) Debt	\$	\$	\$	XXX
(b) Funding Agreements				\$
(c) Other				XXX
(d) Aggregate Total (a+b+c)	\$	\$	\$	\$

b. Maximum Amount During Reporting Period (Current Period)

	1 Total 2 + 3	2 General Account	3 Protected Cell Accounts
1. Debt	\$	\$	\$

NOTES TO FINANCIAL STATEMENTS

2. Funding Agreements			
3. Other			
4. Aggregate Total (Lines 1+2+3)	\$	\$	\$

c. FHLB – Prepayment Obligations

	Does the Company have Prepayment Obligations under the Following Arrangements (YES/NO)
1. Debt	
2. Funding Agreements	
3. Other	

NOTE 12 – RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES AND OTHER POSTRETIREMENT BENEFIT PLANS

A. Defined Benefit Plan -Not Applicable

(4)	Components of net periodic benefit cost		Pension Benefits		Postretirement Benefits		Special or Contractual Benefits per SSAP No. 11	
			Current Period	Prior Year	Current Period	Prior Year	Current Period	Prior Year
	a.	Service cost	\$	\$	\$	\$	\$	\$
	b.	Interest cost						
	c.	Expected return on plan assets						
	d.	Transition asset or obligation						
	e.	Gains and losses						
	f.	Prior service cost or credit						
	g.	Gain or loss recognized due to a settlement curtailment						
	h.	Total net periodic benefit cost	\$	\$	\$	\$	\$	\$

NOTE 13 – CAPITAL AND SURPLUS, DIVIDEND RESTRICTIONS AND QUASI-REORGANIZATIONS

No significant changes

NOTE 14 – LIABILITIES, CONTINGENCIES AND ASSESSMENTS

No significant changes

NOTE 15 – LEASES

No significant changes

NOTE 16 – INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK

No significant changes

NOTE 17 – SALE, TRANSFER AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES

Not Applicable

B. Transfer and Servicing of Financial Assets

(2)

(4)

(a)

(b)

C. Wash Sales

(1)

(2) The details by NAIC designation 3 or below, or unrated of securities sold during the year ended December 31, 2016 and reacquired within 30 days of the sale date are:

Description	NAIC Designation	Number of Transactions	Book Value of Securities Sold	Cost of Securities Repurchased	Gain/(Loss)
			\$	\$	\$

NOTES TO FINANCIAL STATEMENTS

NOTE 18 – GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE PORTION OF PARTIALLY INSURED PLANS

Not applicable

NOTE 19 – DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD PARTY ADMINISTRATORS

Not applicable

NOTE 20 – FAIR VALUE MEASUREMENTS

A.

(1) Fair Value Measurements at Reporting Date

Assets at Fair Value	Level 1	Level 2	Level 3	Total
Bonds	\$	\$ 3,096,065	\$	\$ 3,096,065
Preferred Stocks		4,494,989		4,494,989
Common Stocks	5,521,092	2,137,287	189,494	7,847,873
Total	\$ 5,521,092	\$ 9,728,341	\$ 189,494	\$ 15,438,927

Liabilities at Fair Value	Level 1	Level 2	Level 3	Total
	\$	\$	\$	\$
Total	\$	\$	\$	\$

(2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

	Beginning Balance at current period	Transfers Into Level 3	Transfers Out of Level 3	Total Gains and (Losses) Included in Net Income	Total Gains and (Losses) Included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance at current period
a. Assets										
Common Stocks	\$ 189,494	\$	\$	\$	\$	\$	\$	\$	\$	\$ 189,494
Total	\$ 189,494	\$	\$	\$	\$	\$	\$	\$	\$	\$ 189,494

	Beginning Balance at current period	Transfers Into Level 3	Transfers Out of Level 3	Total Gains and (Losses) Included in Net Income	Total Gains and (Losses) Included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance at current period
b. Liabilities										
	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
Total	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$

(3)

(4)

(5)

B.

C.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)
Bonds	\$ 19,225,476	\$ 19,004,898	\$ 3,692,962	\$ 15,532,514	\$	\$
Preferred Stocks	4,997,800	4,969,998		4,997,800		
Common Stocks	7,847,874	7,847,874	5,521,093	2,137,287	189,494	
Mortgage Loan		830,870				830,870
Cash & Short Term Investments	5,377,422	5,377,422	5,377,422			
Other Invested Assets	2,828,892	3,020,623		2,828,892		

D. Not Practicable to Estimate Fair Value

Type of Class or Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
Mortgage Loan	\$ 830,870	0.075%	01/29/2016	Not available for public sale therefore price not available.

NOTE 21 – OTHER ITEMS

No significant changes

NOTE 22 – EVENTS SUBSEQUENT

No significant changes

NOTE 23 – REINSURANCE

No significant changes

NOTE 24 – RETROSPECTIVELY RATED CONTRACTS AND CONTRACTS SUBJECT TO REDETERMINATION

NOTES TO FINANCIAL STATEMENTS

F. Risk Sharing Provisions of the Affordable Care Act -Not Applicable

(1) Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions

(2) Impact of Risk-Sharing Provisions of the Affordable Care Act on admitted assets, liabilities and revenue for the current period:

a.	Permanent ACA Risk Adjustment Program		AMOUNT
	Assets		
	1.	Premium adjustments receivable due to ACA Risk Adjustment	\$
	Liabilities		
	2.	Risk adjustment user fees payable for ACA Risk Adjustment	\$
	3.	Premium adjustments payable due to ACA Risk Adjustment	\$
	Operations (Revenue & Expenses)		
	4.	Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment	\$
	5.	Reported in expenses as ACA Risk Adjustment user fees (incurred/paid)	\$
b.	Transitional ACA Reinsurance Program		
	Assets		
	1.	Amounts recoverable for claims paid due to ACA Reinsurance	\$
	2.	Amounts recoverable for claims unpaid due to ACA Reinsurance (contra liability)	\$
	3.	Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	\$
	Liabilities		
	4.	Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium	\$
	5.	Ceded reinsurance premiums payable due to ACA Reinsurance	\$
	6.	Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance	\$
	Operations (Revenue & Expenses)		
	7.	Ceded reinsurance premiums due to ACA Reinsurance	\$
	8.	Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments	\$
	9.	ACA Reinsurance contributions – not reported as ceded premium	\$
c.	Temporary ACA Risk Corridors Program		
	Assets		
	1.	Accrued retrospective premium due to ACA Risk Corridors	\$
	Liabilities		
	2.	Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	\$
	Operations (Revenue & Expenses)		
	3.	Effect of ACA Risk Corridors on net premium income (paid/received)	\$
	4.	Effect of ACA Risk Corridors on change in reserves for rate credits	\$

(3) Roll forward of prior year ACA Risk-Sharing Provisions for the following asset (gross of any nonadmission) and liability balances, along with the reasons for adjustments to prior year balance:

		Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Period on Business Written Before December 31 of the Prior Year		Differences		Adjustments		Unsettled Balances as of the Reporting Date		
						Prior Year Accrued Less Payments (Col. 1-3)	Prior Year Accrued Less Payments (Col. 2-4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col. 1-3+7)	Cumulative Balance from Prior Years (Col. 2-4+8)
						5	6	7	8	9	10	11
		1	2	3	4	Receivable	(Payable)	Receivable	(Payable)	Ref	Receivable	(Payable)
a.	Permanent ACA Risk Adjustment Program											
	1.	Premium adjustments receivable								A		
	2.	Premium adjustments (payable)								B		
	3.	Subtotal ACA Permanent Risk Adjustment Program										
b.	Transitional ACA Reinsurance Program											
	1.	Amounts recoverable for claims paid								C		
	2.	Amounts recoverable for claims unpaid (contra liability)								D		
	3.	Amounts receivable relating to uninsured plans								E		
	4.	Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premiums								F		
	5.	Ceded reinsurance premiums payable								G		
	6.	Liability for amounts held under uninsured plans								H		
	7.	Subtotal ACA Transitional Reinsurance Program										
c.	Temporary ACA Risk Corridors Program											
	1.	Accrued retrospective premium								I		
	2.	Reserve for rate credits or policy experience rating refunds								J		
	3.	Subtotal ACA Risk Corridors Program										
d.	Total for ACA Risk-Sharing Provisions											

NOTES TO FINANCIAL STATEMENTS

Explanations of Adjustments

- A.
- B.
- C.
- D.
- E.
- F.
- G.
- H.
- I.
- J.

NOTE 25 – CHANGE IN INCURRED LOSSES AND LOSS ADJUSTMENT EXPENSES

Reserves as of December 31, 2015 were \$3.64 million. As of March 31, 2016, \$0 has been paid for net incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$3.16 million as a result of re-estimation of unpaid claims and claim adjustment expenses principally on the landfill and contract lines of business. Therefore, there has been a \$513 thousand favorable prior year development since December 31, 2015 to March 31, 2016. The decrease is the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. None of the decrease the Company experienced was due to retrospectively rated policies.

NOTE 26 – INTERCOMPANY POOLING ARRANGEMENTS

No significant changes

NOTE 27 – STRUCTURED SETTLEMENTS

No significant changes

NOTE 28 – HEALTH CARE RECEIVABLES

No significant changes

NOTE 29 – PARTICIPATING POLICIES

No significant changes

NOTE 30 – PREMIUM DEFICIENCY RESERVES

No significant changes

NOTE 31 – HIGH DEDUCTIBLES

No significant changes

NOTE 32 – DISCOUNTING OF LIABILITIES FOR UNPAID LOSSES OR UNPAID LOSS ADJUSTMENT EXPENSES

No significant changes

NOTE 33 – ASBESTOS/ENVIRONMENTAL RESERVES

No significant changes

NOTE 34 – SUBSCRIBER SAVINGS ACCOUNTS

No significant changes

NOTE 35 – MULTIPLE PERIL CROP INSURANCE

No significant changes

NOTE 36 – FINANCIAL GUARANTY INSURANCE

B. Schedule of Insured Financial Obligations at the End of the Period: -Not Applicable

		Surveillance Categories				Total
		A	B	C	D	
(1)	Number of policies					
(2)	Remaining weighted average contract period (in years)					XXX
(3)	Insured contractual payments outstanding:					
	a. Principal	\$	\$	\$	\$	\$
	b. Interest					
	c. Total	\$	\$	\$	\$	\$
(4)	Gross claim liability	\$	\$	\$	\$	\$

NOTES TO FINANCIAL STATEMENTS

Less							
(5)	a.	Gross potential recoveries	\$	\$	\$	\$	\$
	b.	Discount, net					
(6)	Net claim liability		\$	\$	\$	\$	\$
(7)	Unearned premium revenue		\$	\$	\$	\$	\$
(8)	Reinsurance recoverables		\$	\$	\$	\$	\$

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [☐] No [☒]
- 1.2

If yes, has the report been filed with the domiciliary state?

Yes [☐] No [☐]
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [☐] No [☒]
- 2.2

If yes, date of change:
- 3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?
If yes, complete Schedule Y, Parts 1 and 1A.

Yes [☒] No [☐]
- 3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [☐] No [☒]
- 3.3

If the response to 3.2 is yes, provide a brief description of those changes.

- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [☐] No [☒]
- 4.2

If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation.

Yes [☐] No [☒] N/A [☐]

- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2014
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2009
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

02/11/2011

- 6.4

By what department or departments?
OHIO DEPARTMENT OF INSURANCE

- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [☐] No [☐] N/A [☒]
- 6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [☒] No [☐] N/A [☐]
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [☐] No [☒]
- 7.2

If yes, give full information:

- 8.1

Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board?

Yes [☐] No [☒]
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [☐] No [☒]
- 8.4

If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

Yes [☒] No [☐]

(a)

Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

(b)

Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

(c)

Compliance with applicable governmental laws, rules and regulations;

(d)

The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

(e)

Accountability for adherence to the code.
- 9.11

If the response to 9.1 is No, please explain:

- 9.2

Has the code of ethics for senior managers been amended?

Yes [☐] No [☒]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).

- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [☐] No [☒]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

EVERGREEN NATIONAL INDEMNITY COMPANY
GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:
- Yes [] No [X]
- \$ 0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)
- 11.2 If yes, give full and complete information relating thereto:
- Yes [] No [X]

12. Amount of real estate and mortgages held in other invested assets in Schedule BA:
13. Amount of real estate and mortgages held in short-term investments:
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?
- 14.2 If yes, please complete the following:
- \$ 0
- \$ 0
- Yes [] No [X]

- 14.21 Bonds
- 14.22 Preferred Stock
- 14.23 Common Stock
- 14.24 Short-Term Investments
- 14.25 Mortgage Loans on Real Estate
- 14.26 All Other
- 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)
- 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above

1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
\$ 0	\$ 0
0	0
0	0
0	0
0	0
1,022,205	1,163,650
\$ 1,022,205	\$ 1,163,650
0	0

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
- If no, attach a description with this statement.
- Yes [] No [X]
- Yes [] No []

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2:
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2:
- 16.3 Total payable for securities lending reported on the liability page:
- \$ 0
- \$ 0
- \$ 0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*?
- Yes [X] No []

- 17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Huntington Bank	7 Easton Oval, Columbus, OH 43219

- 17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?
- 17.4 If yes, give full and complete information relating thereto:
- Yes [] No [X]

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
0	NFC INVESTMENTS, LLC	510 S. MENDENHALL RD., SUITE 200, MEMPHIS, TN 38117

- 18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed?
- 18.2 If no, list exceptions:
- Yes [X] No []

EVERGREEN NATIONAL INDEMNITY COMPANY

GENERAL INTERROGATORIES (continued)

PART 2 – PROPERTY & CASUALTY INTERROGATORIES

- | | | | | |
|-----|--|----------------------------------|--|---|
| 1. | <p>If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change?</p> <p>If yes, attach an explanation.</p> | Yes [☐] | No [☐] | N/A [☒ X] |
| 2. | <p>Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured?</p> <p>If yes, attach an explanation.</p> | Yes [☐] | No [☒ X] | |
| 3.1 | <p>Have any of the reporting entity's primary reinsurance contracts been canceled?</p> | Yes [☐] | No [☒ X] | |
| 3.2 | <p>If yes, give full and complete information thereto:</p> | | | |

- 4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes [] No [X]
- 4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Disc. Rate	Total Discount				Discount Taken During Period			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 Total	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 Total
	0.000	0.000	0	0	0	0	0	0	0	0
Total	XXX	XXX	0	0	0	0	0	0	0	0

- | | | |
|----------------------------|---|----------------|
| 5.1 Operating Percentages: | | |
| 5.1 | A&H loss percent | 0.000% |
| 5.2 | A&H cost containment percent | 0.000% |
| 5.3 | A&H expense percent excluding cost containment expenses | 0.000% |
| 6.1 | Do you act as a custodian for health savings accounts? | Yes [] No [X] |
| 6.2 | If yes, please provide the amount of custodial funds held as of the reporting date. | \$ 0 |
| 6.3 | Do you act as an administrator for health savings accounts? | Yes [] No [X] |
| 6.4 | If yes, please provide the amount of funds administered as of the reporting date. | \$ 0 |

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

1	2	3	4	5	6	7
NAIC Company Code	ID Number	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurer	Certified Reinsurer Rating (1 through 6)	Effective Date of Certified Reinsurer Rating

NONE

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

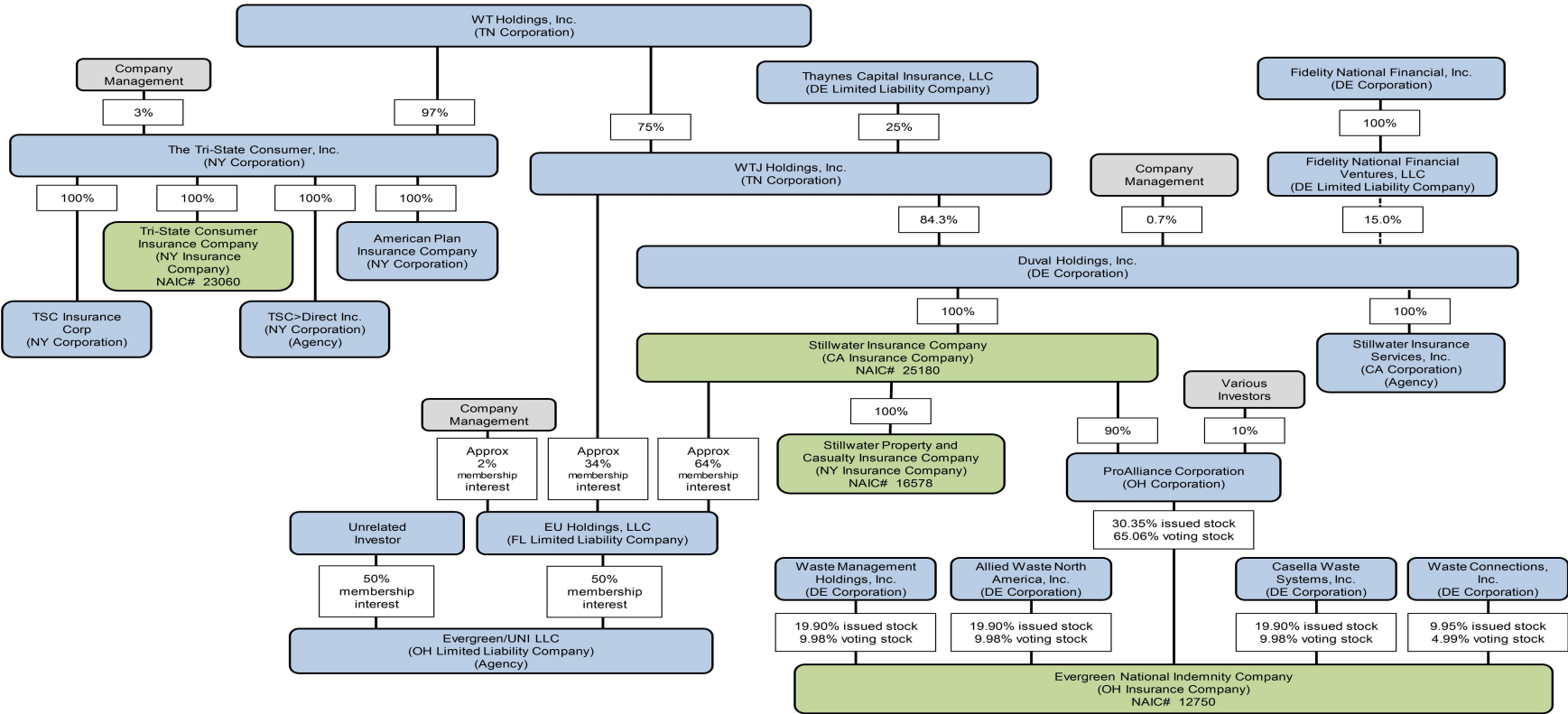
		1	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
			2	3	4	5	6	7
States, Etc.		Active Status	Current Year to Date	Prior Year to Date	Current Year to Date	Prior Year to Date	Current Year to Date	Prior Year to Date
1.	Alabama.....	AL.....L.....	173,512	147,240			184,497	135,963
2.	Alaska.....	AK.....L.....		500				3,148
3.	Arizona.....	AZ.....L.....	10,755	2,640			22,549	16,279
4.	Arkansas.....	AR.....L.....	191,546	185,163			199,300	164,180
5.	California.....	CA.....L.....	297,782	365,639			311,069	330,793
6.	Colorado.....	CO.....L.....	77,414	43,203			130,497	94,728
7.	Connecticut.....	CT.....L.....	121,766	118,629	171,918	10,189	144,884	370,960
8.	Delaware.....	DE.....L.....	413	230			430	204
9.	District of Columbia.....	DC.....L.....	9,054	8,973			11,908	11,742
10.	Florida.....	FL.....L.....	123,211	238,347			125,332	220,156
11.	Georgia.....	GA.....L.....	3,646	15,464			3,523	13,698
12.	Hawaii.....	HI.....N.....						
13.	Idaho.....	ID.....L.....		906				803
14.	Illinois.....	IL.....L.....	311,102	181,016			328,601	302,971
15.	Indiana.....	IN.....L.....	49,882	43,463			51,924	46,485
16.	Iowa.....	IA.....L.....	158	2,214			164	14,025
17.	Kansas.....	KS.....L.....	29,838	34,409			31,046	60,265
18.	Kentucky.....	KY.....L.....	441,523	488,967	67,072	91,081	6,778,704	8,156,886
19.	Louisiana.....	LA.....L.....	301,355	284,706			322,974	252,983
20.	Maine.....	ME.....L.....	42,761	44,013			51,648	39,025
21.	Maryland.....	MD.....L.....	31,232	86,168			32,496	103,665
22.	Massachusetts.....	MA.....L.....	67,125	96,377			69,842	85,455
23.	Michigan.....	MI.....L.....	628,018	793,345			653,562	704,621
24.	Minnesota.....	MN.....L.....	24,506	38,351			25,498	66,502
25.	Mississippi.....	MS.....L.....	(760)	(236)			(791)	(209)
26.	Missouri.....	MO.....L.....	87,560	215,450	19,659	23,175	796,902	1,030,081
27.	Montana.....	MT.....L.....	1,305	370			2,171	
28.	Nebraska.....	NE.....L.....	385	33,255			401	209,363
29.	Nevada.....	NV.....L.....	3,850	(9,865)			2,705	(8,938)
30.	New Hampshire.....	NH.....L.....	282,447	315,512			295,238	279,757
31.	New Jersey.....	NJ.....L.....	235,031	40,692			505,164	147,981
32.	New Mexico.....	NM.....L.....	37,412	49,964			38,926	44,302
33.	New York.....	NY.....L.....	349,950	343,353			416,896	308,625
34.	North Carolina.....	NC.....E.....						
35.	North Dakota.....	ND.....L.....	2,353	1,940			3,028	2,261
36.	Ohio.....	OH.....L.....	850,663	579,162			1,249,392	808,175
37.	Oklahoma.....	OK.....L.....	115,899	112,140			120,591	99,432
38.	Oregon.....	OR.....L.....	16,499	12,880			12,452	9,585
39.	Pennsylvania.....	PA.....L.....	1,342,685	1,267,671			1,397,658	1,203,966
40.	Rhode Island.....	RI.....L.....	100	78,100			104	491,151
41.	South Carolina.....	SC.....L.....	89,036	61,922			92,640	54,905
42.	South Dakota.....	SD.....L.....	3,631	3,631			3,778	3,220
43.	Tennessee.....	TN.....L.....	36,295	31,204	2,081	2,334	69,442	46,418
44.	Texas.....	TX.....L.....	202,568	168,180			283,427	179,196
45.	Utah.....	UT.....L.....	27,941	30,347			29,994	26,686
46.	Vermont.....	VT.....L.....	13,821	1,277			28,987	1,132
47.	Virginia.....	VA.....L.....	246,100	249,395			713,619	660,434
48.	Washington.....	WA.....L.....	12,373	5,163			9,846	5,233
49.	West Virginia.....	WV.....E.....					4,095	17,882
50.	Wisconsin.....	WI.....L.....	126,729	127,350			131,844	112,805
51.	Wyoming.....	WY.....L.....	61,204	175			63,470	
52.	American Samoa.....	AS.....N.....						
53.	Guam.....	GU.....N.....						
54.	Puerto Rico.....	PR.....N.....						
55.	US Virgin Islands.....	VI.....N.....						
56.	Northern Mariana Islands.....	MP.....N.....						
57.	Canada.....	CAN.....N.....						
58.	Aggregate Other Alien.....	OT.....XXX..	0	0	0	0	0	0
59.	Totals.....	(a).....48	7,081,676	6,938,995	260,730	126,779	15,752,427	16,928,980

DETAILS OF WRITE-INS							
58001.	XXX..						
58002.	XXX..						
58003.	XXX..						
58998. Summary of remaining write-ins for Line 58 from overflow page.....	XXX..	0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003+ Line 58998) (Line 58 above).....	XXX..	0	0	0	0	0	0

(L) - Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) - Registered - Non-domiciled RRGs; (Q) - Qualified - Qualified or Accredited Reinsurer;
(E) - Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) - None of the above - Not allowed to write business in the state.
(a) Insert the number of L responses except for Canada and Other Alien.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Insurance Holding Company Systems Included by the NAIC in Group Code #4765



Q11

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Insurance Holding Company Systems Included by the NAIC in Group Code #4765



Q11.1

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Public Traded (U.S. or International)	Name of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
Members														
4765..	WBL Group.....	00000.....	26-2099042..		0001546636	none.....	WT Holdings, Inc.....	TN.....	UIP.....	Various Investors.....	Ownership, Board, Mgmt	1.000		*
4765..	WBL Group.....	00000.....	45-4445850..				WTJ Holdings, Inc.....	TN.....	UIP.....	WT Holdings, Inc.....	Ownership, Board, Mgmt	0.750	WT Holdings, Inc.....	
4765..	WBL Group.....	00000.....	45-4445850..				WTJ Holdings, Inc.....	TN.....	UIP.....	Thaynes Capital Insurance, LLC.....	Ownership, Board	0.250	TCI Management LLC.....	
4765..	WBL Group.....	00000.....	45-4700689..		0001544080	none.....	Thaynes Capital Insurance, LLC.....	DE.....	UIP.....	TCI Management LLC.....	Board, Mgmt.....	N/A.....	Damon Navarro.....	*
4765..	Fidelity National Financial, Inc.....	00000.....	16-1725106..		00013318...	NYSE.....	Fidelity National Financial, Inc.....	DE.....	UIP.....	N/A public entity.....	N/A.....	N/A.....	N/A public entity.....	
4765..	Fidelity National Financial, Inc.....	00000.....	84-1716261..				Fidelity National Financial Ventures, LLC.....	DE.....	UIP.....	Fidelity National Financial, Inc.....	Ownership, Board, Mgmt	1.000	N/A public entity.....	
4765..	WBL Group.....	00000.....	38-3865632..				Duval Holdings, Inc.....	DE.....	UIP.....	WTJ Holdings, Inc.....	Ownership, Board, Mgmt	0.843	WT Holdings, Inc.....	
4765..	WBL Group.....	00000.....	38-3865632..				Duval Holdings, Inc.....	DE.....	UIP.....	Fidelity National Financial Ventures, LLC.....	Ownership.....	0.150	Fidelity National Financial, Inc.....	**
4765..	WBL Group.....	25180.....	68-0266416..				Stillwater Insurance Company.....	CA.....	UIP.....	Duval Holdings, Inc.....	Ownership, Board, Mgmt	1.000	WT Holdings, Inc.....	
4765..	WBL Group.....	00000.....	71-0900874..				Stillwater Insurance Services, Inc.....	CA.....	NIA.....	Duval Holdings, Inc.....	Ownership, Board, Mgmt	1.000	WT Holdings, Inc.....	
4765..	WBL Group.....	16578.....	16-0986300..				Stillwater Property & Casualty Insurance Company	NY.....	IA.....	Stillwater Insurance Company.....	Ownership, Board, Mgmt	1.000	WT Holdings, Inc.....	
4765..	WBL Group.....	00000.....	20-1048841..				Pro-Alliance Corporation.....	OH.....	UDP.....	Stillwater Insurance Company.....	Ownership.....	0.900	WT Holdings, Inc.....	
4765..	WBL Group.....	00000.....	73-1039529..				Waste Management Holdings, Inc.....	DE.....	OTH.....	Waste Management, Inc.....	Ownership.....	1.000	N/A public entity.....	
4765..	WBL Group.....	00000.....	86-0843596..				Allied Waste North America, Inc.....	DE.....	OTH.....	Republic Services, Inc.....	Ownership.....	1.000	N/A public entity.....	
4765..	WBL Group.....	00000.....	03-0338873..		0000911177	NASDAC.....	Cassella Waste Systems, Inc.....	DE.....	OTH.....	N/A public entity.....	N/A.....	N/A.....	N/A public entity.....	
4765..	WBL Group.....	00000.....	94-3283464..		0001057058	NYSE.....	Waste Connections, Inc.....	DE.....	OTH.....	N/A public entity.....	N/A.....	N/A.....	N/A public entity.....	
4765..	WBL Group.....	12750.....	36-2467238..				Evergreen National Indemnity Company.....	OH.....	RE.....	Pro-Alliance Corporation.....	Ownership.....	0.304	WT Holdings, Inc.....	
4765..	WBL Group.....	12750.....	36-2467238..				Evergreen National Indemnity Company.....	OH.....	RE.....	Waste Management Holdings, Inc.....	Ownership.....	0.199	Waste Management, Inc.....	**
4765..	WBL Group.....	12750.....	36-2467238..				Evergreen National Indemnity Company.....	OH.....	RE.....	Allied Waste North America, Inc.....	Ownership.....	0.199	Republic Services, Inc.....	**
4765..	WBL Group.....	12750.....	36-2467238..				Evergreen National Indemnity Company.....	OH.....	RE.....	Cassella Waste Systems, Inc.....	Ownership.....	0.199	N/A public entity.....	
4765..	WBL Group.....	12750.....	36-2467238..				Evergreen National Indemnity Company.....	OH.....	RE.....	Waste Connections, Inc.....	Ownership.....	0.100	N/A public entity.....	
4765..	WBL Group.....	00000.....	46-4581025..				EU Holdings, LLC.....	FL.....	NIA.....	Stillwater Insurance Company.....	Ownership.....	0.640	WT Holdings, Inc.....	
4765..	WBL Group.....	00000.....	46-4581025..				EU Holdings, LLC.....	FL.....	NIA.....	WTJ Holdings, Inc.....	Ownership.....	0.340	WT Holdings, Inc.....	
4765..	WBL Group.....	00000.....	65-0516260..				United Nations Insurance Agency, Inc.....	FL.....	OTH.....					
4765..	WBL Group.....	00000.....	46-4545990..				Evergreen/UNI, LLC.....	OH.....	NIA.....	EU Holdings, LLC.....	Ownership.....	0.500	WT Holdings, Inc.....	
4765..	WBL Group.....	00000.....	46-4545990..				Evergreen/UNI, LLC.....	OH.....	NIA.....	United Nations Insurance Agency, Inc.....	Ownership.....	0.500		
4765..	WBL Group.....	00000.....	11-2339905..				The Tri-State Consumer Inc.....	NY.....	NIA.....	WT Holdings, Inc.....	Ownership.....	0.970	WT Holdings, Inc.....	
4765..	WBL Group.....	23060.....	11-2729262..				Tri-State Consumer Insurance Company.....	NY.....	IA.....	The Tri-State Consumer Inc.....	Ownership.....	1.000	WT Holdings, Inc.....	
4765..	WBL Group.....	00000.....	26-4642498..				TSC Insurance Corp.....	NY.....	NIA.....	The Tri-State Consumer Inc.....	Ownership.....	1.000	WT Holdings, Inc.....	

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Public Traded (U.S. or International)	Name of Parent, Subsidiaries or Affiliates	Domi-ciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
4765...	WBL Group.....	00000.....	26-4642462..				American Plan Insurance Company.....	NY.....	NIA.....	The Tri-State Consumer Inc.....	Ownership.....	1.000	WT Holdings, Inc.....	
4765...	WBL Group.....	00000.....	26-3464393..				TSC>Direct, Inc.....	NY.....	NIA.....	The Tri-State Consumer Inc.....	Ownership.....	1.000	WT Holdings, Inc.....	
4765...	WBL Group.....	00000.....	62-1484788..				WBL Corp.....	TN.....	NIA.....	Various Investors.....	Ownership, Board, Mgmt	1.000	Charles Slattery.....	
4765...	WBL Group.....	00000.....	37-1096177..				NF&C Risk Services Corporation.....	IL.....	NIA.....	John J McKnight & James I Bliss.....	Ownership, Board, Mgmt	1.000		
4765...	WBL Group.....	41068.....	62-1101490..				National Fire & Casualty Company.....	IL.....	IA.....	WBL Corp.....	Ownership, Board, Mgmt	0.801	Charles Slattery.....	
4765...	WBL Group.....	41068.....	62-1101490..				National Fire & Casualty Company.....	IL.....	IA.....	NF&C Risk Services Corporation.....	Ownership, Mgmt	0.199		
4765...	WBL Group.....	00000.....	65-1259616..				Knox Holdings, Inc.....	TN.....	NIA.....					
4765...	WBL Group.....	18468.....	64-0838376..				Indemnity National Insurance Company.....	MS.....	IA.....	Knox Holdings, Inc.....	Ownership.....	0.929		

Asteris	Explanation
*	No Securities Exchange listing as CIK # is for Reg D filing only
**	Ultimate controlling entity is publicly held

PART 1 - LOSS EXPERIENCE

Lines of Business	Current Year to Date			Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire.....			0.0	
2. Allied lines.....			0.0	
3. Farmowners multiple peril.....			0.0	
4. Homeowners multiple peril.....			0.0	
5. Commercial multiple peril.....			0.0	
6. Mortgage guaranty.....			0.0	
8. Ocean marine.....			0.0	
9. Inland marine.....			0.0	
10. Financial guaranty.....			0.0	
11.1. Medical professional liability - occurrence.....			0.0	
11.2. Medical professional liability - claims-made.....			0.0	
12. Earthquake.....			0.0	
13. Group accident and health.....			0.0	
14. Credit accident and health.....			0.0	
15. Other accident and health.....			0.0	
16. Workers' compensation.....		133,853	0.0	
17.1. Other liability-occurrence.....		(792)	0.0	
17.2. Other liability-claims made.....			0.0	
17.3. Excess workers' compensation.....			0.0	
18.1. Products liability-occurrence.....			0.0	
18.2. Products liability-claims made.....			0.0	
19.1. 19.2 Private passenger auto liability.....			0.0	
19.3. 19.4 Commercial auto liability.....			0.0	
21. Auto physical damage.....			0.0	
22. Aircraft (all perils).....			0.0	
23. Fidelity.....			0.0	
24. Surety.....	8,306,097	130,038	1.6	1.3
26. Burglary and theft.....			0.0	
27. Boiler and machinery.....			0.0	
28. Credit.....			0.0	
29. International.....			0.0	
30. Warranty.....	15,154		0.0	
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0.0	
35. Totals.....	8,321,251	263,099	3.2	(1.6)
DETAILS OF WRITE-INS				
3401.			0.0	
3402.			0.0	
3403.			0.0	
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0.0	XXX
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0.0	

PART 2 - DIRECT PREMIUMS WRITTEN

Lines of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1. Fire.....			
2. Allied lines.....			
3. Farmowners multiple peril.....			
4. Homeowners multiple peril.....			
5. Commercial multiple peril.....			
6. Mortgage guaranty.....			
8. Ocean marine.....			
9. Inland marine.....			
10. Financial guaranty.....			
11.1. Medical professional liability - occurrence.....			
11.2. Medical professional liability - claims made.....			
12. Earthquake.....			
13. Group accident and health.....			
14. Credit accident and health.....			
15. Other accident and health.....			
16. Workers' compensation.....			
17.1. Other liability-occurrence.....			
17.2. Other liability-claims made.....			
17.3. Excess workers' compensation.....			
18.1. Products liability-occurrence.....			
18.2. Products liability-claims made.....			
19.1. 19.2 Private passenger auto liability.....			
19.3. 19.4 Commercial auto liability.....			
21. Auto physical damage.....			
22. Aircraft (all perils).....			
23. Fidelity.....			
24. Surety.....	7,068,126	7,068,126	6,931,225
26. Burglary and theft.....			
27. Boiler and machinery.....			
28. Credit.....			
29. International.....			
30. Warranty.....	13,550	13,550	7,770
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0
35. Totals.....	7,081,676	7,081,676	6,938,995
DETAILS OF WRITE-INS			
3401.			
3402.			
3403.			
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2016 Loss and LAE Payments on Claims Reported as of Prior Year-End	2016 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2016 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/Deficiency (Cols. 11 + 12)
1. 2013 + Prior.....	92	502	594			0	93		500	593	1	(2)	(1)
2. 2014.....		475	475			0			475	475	0	0	0
3. Subtotals 2014 + Prior.....	92	977	1,069	0	0	0	93	0	975	1,068	1	(2)	(1)
4. 2015.....		2,580	2,580			0			2,068	2,068	0	(512)	(512)
5. Subtotals 2015 + Prior.....	92	3,557	3,649	0	0	0	93	0	3,043	3,136	1	(514)	(513)
6. 2016.....	XXX	XXX	XXX	XXX		0	XXX		693	693	XXX	XXX	XXX
7. Totals.....	92	3,557	3,649	0	0	0	93	0	3,736	3,829	1	(514)	(513)
8. Prior Year-End's Surplus As Regards Policyholders	30,546										Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1.1.1 %	2.(14.5)%	3.(14.1)%
											Col. 13, Line 7 Line 8		
											4.(1.7)%		

Q14

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanation:

1.

The data for this supplement is not required to be filed.
2.

The data for this supplement is not required to be filed.
3.

The data for this supplement is not required to be filed.
4.

The data for this supplement is not required to be filed.

Bar Code:



Overflow Page for Write-Ins

NONE

EVERGREEN NATIONAL INDEMNITY COMPANY
SCHEDULE A - VERIFICATION
Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Current year change in encumbrances.....		
4. Total gain (loss) on disposals.....		
5. Deduct amounts received on disposals.....		
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other-than-temporary impairment recognized.....		
8. Deduct current year's depreciation.....		
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	0	0
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....	0	0

SCHEDULE B - VERIFICATION
Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	830,870	840,736
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		9,866
8. Deduct amortization of premium and mortgage interest points and commitment fees.....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	830,870	830,870
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	830,870	830,870
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	830,870	830,870

SCHEDULE BA - VERIFICATION
Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	2,857,205	2,554,997
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	35,000	
2.2 Additional investment made after acquisition.....	23,695	181,857
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....	203,510	(146,144)
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....	98,787	(266,496)
8. Deduct amortization of premium and depreciation.....		
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	3,020,623	2,857,205
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	3,020,623	2,857,205

SCHEDULE D - VERIFICATION
Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	31,369,781	33,774,702
2. Cost of bonds and stocks acquired.....	2,116,668	9,887,892
3. Accrual of discount.....	25,271	57,089
4. Unrealized valuation increase (decrease).....	328,304	(2,819,768)
5. Total gain (loss) on disposals.....	(611,848)	(385,999)
6. Deduct consideration for bonds and stocks disposed of.....	1,386,017	9,068,963
7. Deduct amortization of premium.....	19,389	75,172
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	31,822,770	31,369,781
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	31,822,770	31,369,781

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

QSI02	NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
	BONDS								
	1. NAIC 1 (a).....	12,180,836	1,903,650	2,014,989	17,223	12,086,720			12,180,836
	2. NAIC 2 (a).....	3,110,923	874,990	1,828	(12,451)	3,971,634			3,110,923
	3. NAIC 3 (a).....	2,349,608			(95,012)	2,254,596			2,349,608
	4. NAIC 4 (a).....	822,500			(57,500)	765,000			822,500
	5. NAIC 5 (a).....	1,727,600		483,260	239,410	1,483,750			1,727,600
	6. NAIC 6 (a).....	67,585			(2,751)	64,834			67,585
	7. Total Bonds.....	20,259,052	2,778,640	2,500,077	88,919	20,626,534	0	0	20,259,052
	PREFERRED STOCK								
	8. NAIC 1.....	251,928	286,677		19,957	558,562			251,928
	9. NAIC 2.....	858,716			(2,748)	855,967			858,716
	10. NAIC 3.....	3,032,451			(40,083)	2,992,368			3,032,451
	11. NAIC 4.....	514,800		271,500	11,300	254,600			514,800
	12. NAIC 5.....	293,400				293,400			293,400
	13. NAIC 6.....	15,100				15,100			15,100
	14. Total Preferred Stock.....	4,966,395	286,677	271,500	(11,575)	4,969,998	0	0	4,966,395
	15. Total Bonds and Preferred Stock.....	25,225,447	3,065,317	2,771,577	77,345	25,596,531	0	0	25,225,447

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation:
NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

EVERGREEN NATIONAL INDEMNITY COMPANY
SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999.....	1,621,635	XXX.....	1,621,635	818	

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	1,934,702	1,696,611
2. Cost of short-term investments acquired.....	1,412,201	7,248,724
3. Accrual of discount.....		
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....	1,725,267	7,010,633
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	1,621,635	1,934,702
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	1,621,635	1,934,702

Sch. DB - Pt. A - Verification
NONE

Sch. DB - Pt. B - Verification
NONE

Sch. DB - Pt. C - Sn. 1
NONE

Sch. DB - Pt. C - Sn. 2
NONE

Sch. DB - Verification
NONE

Sch. E - Verification
NONE

Sch. A - Pt. 2
NONE

Sch. A - Pt. 3
NONE

Sch. B - Pt. 2
NONE

Sch. B - Pt. 3
NONE

EVERGREEN NATIONAL INDEMNITY COMPANY

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	2		Location		5	6	7	8	9	10	11	12	13
			3	4									
CUSIP Identification	Name or Description		City	State	Name of Vendor or General Partner	NAIC Designation	Date Originally Acquired	Type and Strategy	Actual Cost at Time of Acquisition	Additional Investment Made after Acquisition	Amount of Encumbrances	Commitment for Additional Investment	Percentage of Ownership
Joint Venture or Partnership Interests That Have Underlying Characteristics of Other - Unaffiliated													
000000 00 0	Val Fund Storage I, LLC.....				Val Fund Storage I, LLC.....		02/01/2016...		35,000				
999999. Total - Joint Venture or Partnership Interests That Have Underlying Characteristics of Other - Unaffiliated.....									35,000	0	0	0	XXX.....
Joint Venture or Partnership Interests That Have Underlying Characteristics of Other - Affiliated													
000000 00 0	NFC Hotel Investors, LLC.....				NFC Hotel Investors, LLC.....		01/27/2016...			23,695			
999999. Total - Joint Venture or Partnership Interests That Have Underlying Characteristics of Other - Affiliated.....									0	23,695	0	0	XXX.....
4499999. Subtotal - Unaffiliated.....									35,000	0	0	0	XXX.....
4599999. Subtotal - Affiliated.....									0	23,695	0	0	XXX.....
4699999. Totals.....									35,000	23,695	0	0	XXX.....

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Changes in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Depreciation) or (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in B./A.C.V. (9+10-11+12)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value Less Encumbrances on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Investment Income
Fixed or Variable Interest Rate Investments That Have Underlying Characteristics of Bonds - Unaffiliated																			
50182B AP 5	CDO - LCMPT-5-SN.....			LCM V Ltd.....	11/14/2014	02/01/2016						0		92,445	92,445			0	
0799999. Total - Fixed or Variable Interest Rate Investments That Have Underlying Characteristics of Bonds - Unaffiliated.....							0	0	0	0	0	0	0	92,445	92,445	0	0	0	0
Joint Venture or Partnership Interests That Have Underlying Characteristics of Other - Unaffiliated																			
000000 00 0	ESO Venture Fund II, LP.....			ESO GP II, LLC.....	10/08/2014	03/28/2016						0		6,342	6,342			0	
2199999. Total - Joint Venture or Partnership Interests That Have Underlying Characteristics of Other - Unaffiliated.....							0	0	0	0	0	0	0	6,342	6,342	0	0	0	0
4499999. Subtotal - Unaffiliated.....							0	0	0	0	0	0	0	98,787	98,787	0	0	0	0
4699999. Totals.....							0	0	0	0	0	0	0	98,787	98,787	0	0	0	0

SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired During the Current Quarter

1	2			3	4	5			6	7	8	9	10
CUSIP Identification	Description			Foreign	Date Acquired	Name of Vendor			Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
Bonds - U.S. Special Revenue and Special Assessment													
91754T PR 8	UTAH ST CHARTER SCH FIN AUTH CHARTER SCH.....				02/18/2016....	Searle & CO.....				96,173	100,000		1FE.....
91754T PS 6	UTAH ST CHARTER SCH FIN AUTH CHARTER SCH.....				02/18/2016....	Searle & CO.....				143,636	150,000		1FE.....
3199999. Total Bonds - U.S. Special Revenue and Special Assessment.....										239,809	250,000	0	XXX
Bonds - Industrial and Miscellaneous													
74043A AC 5	CDO - PRFTSC-23-AFP.....			R.....	02/26/2016....	GUGGENHEIM CAPITAL MKT LLC.....				399,790	555,264	791	2FE.....
78444Y AD 7	ABS - SLMSLT-085-A4.....				03/07/2016....	Stifel Nicolaus & Co.....				251,641	250,000	725	1FE.....
857477 AF 0	STATE STREET CORP.....				01/07/2016....	Stifel Nicolaus & Co.....				475,200	450,000	7,248	2FE.....
3899999. Total Bonds - Industrial and Miscellaneous.....										1,126,631	1,255,264	8,763	XXX
8399997. Total Bonds - Part 3.....										1,366,439	1,505,264	8,763	XXX
8399999. Total Bonds.....										1,366,439	1,505,264	8,763	XXX
Preferred Stocks - Industrial and Miscellaneous													
369604 BQ 5	GENERAL ELECTRIC CO.....				02/01/2016....	Not Available.....			296,000.000	286,677			RP1VFE.....
8499999. Total Preferred Stocks - Industrial and Miscellaneous.....										286,677	XXX	0	XXX
8999997. Total Preferred Stocks - Part 3.....										286,677	XXX	0	XXX
8999999. Total Preferred Stocks.....										286,677	XXX	0	XXX
Common Stocks - Industrial and Miscellaneous													
000000 00 0	Val Fund Storage I, LLC.....				02/01/2016....	Unknown.....					XXX		
02503Y 10 3	AMERICAN CAPITAL ORD.....				01/08/2016....	CANTOR FITZGERALD & CO. INC.....			15,000.000	220,197	XXX		L.....
237266 10 1	DARLING INGREDIENTS ORD.....				02/11/2016....	Sterne Agee & Leach Inc.....			15,000.000	123,709	XXX		L.....
Y62132 10 8	NAVIGATOR HOLDINGS ORD.....			R.....	01/20/2016....	Sterne Agee & Leach Inc.....			10,400.000	119,645	XXX		L.....
9099999. Total Common Stocks - Industrial and Miscellaneous.....										463,551	XXX	0	XXX
9799997. Total Common Stocks - Part 3.....										463,551	XXX	0	XXX
9799999. Total Common Stocks.....										463,551	XXX	0	XXX
9899999. Total Preferred and Common Stocks.....										750,229	XXX	0	XXX
9999999. Total Bonds, Preferred and Common Stocks.....										2,116,668	XXX	8,763	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

QE04

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2			3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
												11	12	13	14	15							
CUSIP Identification	Description			F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
Bonds - U.S. Government																							
38374B LQ 4	CMO/RMBS - GN-0360D-MA.....				03/16/2016.	Direct.....		1,233	1,233	1,192	1,211		0		0		1,211		22	22	4	07/16/2033....	1.....
0599999. Total Bonds - U.S. Government.....								1,233	1,233	1,192	1,211	0	0	0	0	0	1,211	0	22	22	4	XXX	XXX
Bonds - Industrial and Miscellaneous																							
262049 AA 7	DRILL RIGS HOLDINGS INC.....			R	02/10/2016.	Stifel Nicolaus & Co.....		245,000	500,000	471,250	292,850	189,214	1,197		190,410		483,260		(238,260)	(238,260)	12,188	10/01/2017....	5FE.....
369604 BN 2	GENERAL ELECTRIC CO.....			R	01/20/2016.	VARIOUS.....		288,233	296,075	286,750	284,215		8		8		284,223		4,010	4,010	1,439	12/29/2049....	1FE.....
74043A AC 5	CDO - PRFTSC-23-AFP.....			R	03/22/2016.	Direct.....		2,536	2,536	1,826			2		2		1,828		708	708	5	12/22/2036....	2FE.....
90390K AA 2	CDO - USCFDG-2-A1.....			R	02/01/2016.	Direct.....		2,942	2,942	2,414	2,416		2		2		2,418		524	524	7	08/01/2034....	1FE.....
G9300F AA 5	CDO - USCFDG-2-A1.....			R	02/01/2016.	Direct.....		2,135	2,135	1,849	1,863		1		1		1,864		271	271	5	08/01/2034....	1FE.....
G9300F AA 5	CDO - USCFDG-2-A1.....			R	03/01/2016.	Adjustment.....							385		385		5		(5)	(5)		08/01/2034....	1FE.....
3899999. Total Bonds - Industrial and Miscellaneous.....								540,847	803,689	764,089	581,344	189,214	1,596	0	190,810	0	773,599	0	(232,753)	(232,753)	13,642	XXX	XXX
8399997. Total Bonds - Part 4.....								542,079	804,921	765,281	582,555	189,214	1,596	0	190,810	0	774,810	0	(232,731)	(232,731)	13,647	XXX	XXX
8399999. Total Bonds.....								542,079	804,921	765,281	582,555	189,214	1,596	0	190,810	0	774,810	0	(232,731)	(232,731)	13,647	XXX	XXX
Preferred Stocks - Industrial and Miscellaneous																							
02005N 30 8	ALLY FINL FXD FLTG RT PERP SRS A PRF.....				02/26/2016.	Stifel Nicolaus & Co.....	10,000.000	249,995		271,500	257,400	14,100			14,100		271,500		(21,505)	(21,505)	5,313	XXX	P4LFE....
8499999. Total Preferred Stocks - Industrial and Miscellaneous.....								249,995	XXX	271,500	257,400	14,100	0	0	14,100	0	271,500	0	(21,505)	(21,505)	5,313	XXX	XXX
8999997. Total Preferred Stocks - Part 4.....								249,995	XXX	271,500	257,400	14,100	0	0	14,100	0	271,500	0	(21,505)	(21,505)	5,313	XXX	XXX
8999999. Total Preferred Stocks.....								249,995	XXX	271,500	257,400	14,100	0	0	14,100	0	271,500	0	(21,505)	(21,505)	5,313	XXX	XXX
Common Stocks - Industrial and Miscellaneous																							
16934Q 20 8	CHIMERA INVESTMENT REIT.....				01/29/2016.	Sterne Agee & Leach Inc.....	15,000.000	203,432	XXX	242,228	204,600	37,628			37,628		242,228		(38,795)	(38,795)		XXX	L.....
63861C 10 9	NATIONSTAR MORTGAGE HOLDINGS ORD.....				01/08/2016.	Sterne Agee & Leach Inc.....	6,300.000	75,325	XXX	168,001	84,231	83,770			83,770		168,001		(92,676)	(92,676)		XXX	L.....
85571B 10 5	STARWOOD PROPERTY REIT.....				01/15/2016.	Sterne Agee & Leach Inc.....	7,000.000	140,133	XXX	165,409	143,920	21,489			21,489		165,409		(25,276)	(25,276)	3,360	XXX	L.....
Y2745C 10 2	GOLAR LNG PARTNERS UNT.....			R	03/04/2016.	CANTOR FITZGERALD & CO. INC....	10,900.000	175,053	XXX	375,916	145,842	230,074			230,074		375,916		(200,864)	(200,864)	6,295	XXX	L.....
9099999. Total Common Stocks - Industrial and Miscellaneous.....								593,943	XXX	951,554	578,593	372,961	0	0	372,961	0	951,554	0	(357,611)	(357,611)	9,655	XXX	XXX
9799997 Total Common Stocks - Part 4.....								593,943	XXX	951,554	578,593	372,961	0	0	372,961	0	951,554	0	(357,611)	(357,611)	9,655	XXX	XXX
9799999. Total Common Stocks.....								593,943	XXX	951,554	578,593	372,961	0	0	372,961	0	951,554	0	(357,611)	(357,611)	9,655	XXX	XXX
9899999. Total Preferred and Common Stocks.....								843,937	XXX	1,223,054	835,993	387,061	0	0	387,061	0	1,223,054	0	(379,117)	(379,117)	14,967	XXX	XXX
9999999. Total Bonds, Preferred and Common Stocks.....								1,386,017	XXX	1,988,335	1,418,548	576,275	1,596	0	577,871	0	1,997,864	0	(611,848)	(611,848)	28,614	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

Sch. DB - Pt. A - Sn. 1

NONE

Sch. DB - Pt. B - Sn. 1

NONE

Sch. DB - Pt. D - Sn. 1

NONE

Sch. DB - Pt. D - Sn. 2

NONE

Sch. DL - Pt. 1

NONE

Sch. DL - Pt. 2

NONE

EVERGREEN NATIONAL INDEMNITY COMPANY
SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	First Month	Second Month	Third Month	*
Open Depositories								
HUNTINGTON TRUST..... COLUMBUS, OH.....					112,687	123,540	123,767	XXX
HUNTINGTON OPERATING..... COLUMBUS, OH.....					4,849,847	2,522,126	3,251,891	XXX
0199998. Deposits in.....6 depositories that do not exceed the allowable limit in any one depository (see Instructions) - Open Depositories.....	XXX	XXX			382,252	384,470	379,475	XXX
0199999. Total Open Depositories.....	XXX	XXX	0	0	5,344,787	3,030,136	3,755,133	XXX
0399999. Total Cash on Deposit.....	XXX	XXX	0	0	5,344,787	3,030,136	3,755,133	XXX
0499999. Cash in Company's Office.....	XXX	XXX	XXX	XXX	250	250	250	XXX
0599999. Total Cash.....	XXX	XXX	0	0	5,345,037	3,030,386	3,755,383	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8
Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year

NONE