



ANNUAL STATEMENT
For the Year Ending DECEMBER 31, 2015
OF THE CONDITION AND AFFAIRS OF THE
SummaCare, Inc.

| | | | | | | |
|---------------------------------------|---|------------------------|--|------------|--|------------|
| NAIC Group Code | 3259 (Current Period) | 3259 (Prior Period) | NAIC Company Code | 95202 | Employer's ID Number | 34-1726655 |
| Organized under the Laws of | Ohio | | State of Domicile or Port of Entry | Ohio | | |
| Country of Domicile | United States of America | | | | | |
| Licensed as business type: | Life, Accident & Health[] Dental Service Corporation[] Other[] | | Property/Casualty[] Vision Service Corporation[] Is HMO Federally Qualified? Yes[] No[] N/A[X] | | Hospital, Medical & Dental Service or Indemnity[] Health Maintenance Organization[X] | |
| Incorporated/Organized | 10/23/1992 | | Commenced Business | 03/01/1993 | | |
| Statutory Home Office | 10 North Main Street (Street and Number) | | Akron, OH, 44308 (City or Town, State, Country and Zip Code) | | | |
| Main Administrative Office | | | 10 North Main Street (Street and Number) | | | |
| | Akron, OH, 44308 (City or Town, State, Country and Zip Code) | | (330)996-8410 (Area Code) (Telephone Number) | | | |
| Mail Address | P.O. Box 3620 (Street and Number or P.O. Box) | | Akron, OH, 44309-3620 (City or Town, State, Country and Zip Code) | | | |
| Primary Location of Books and Records | | | 10 North Main Street (Street and Number) | | | |
| | Akron, OH, 44308 (City or Town, State, Country and Zip Code) | | (330)996-8410 (Area Code) (Telephone Number) | | | |
| Internet Website Address | SummmaCare.com | | | | | |
| Statutory Statement Contact | Roy Douglas Hall (Name) | | (330)996-8410-62057 (Area Code)(Telephone Number)(Extension) | | | |
| | hallroy@summacare.com (E-Mail Address) | | (330)996-8553 (Fax Number) | | | |

OFFICERS

| Name | Title |
|--------------------------|-----------|
| Kathleen Tirbovich Geier | Chair |
| Robert Andrew Gerberry | Secretary |
| Brian Keith Derrick | Treasurer |

OTHERS

Anne Armao, VP - Marketing and Product Development
James Loveless, VP - Individual Product Line
Mumtaz Ibrahim M.D., Chief Medical Officer

Kevin Cavalier, VP - Sales
Donald Novosel, VP - Contracting & Network Development
Claude Vincenti, President

Keith Johnson, VP - Third Party Administrator
Annette Ruby, VP - Health Services Management
Dennis Pijor, VP - Finance, CFO #

DIRECTORS OR TRUSTEES

Claude Maurius Vincenti #
Vincent Hadar Johnson Jr. M.D.
Richard Allen Merolla
Kathleen Tirbovich Geier
James Ross McIlvaine
Benjamin Paul Sutton #

Thomas Anthony Malone M.D. #
Erik Newman Steele D.O.
Bradley Hall Crombie M.D.
Rajiv Vishnu Taliwal M.D.
Brian Keith Derrick #
Lydia Alexander Cook M.D. #

State of Ohio
County of Summit ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of the said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

| | | |
|------------------------------------|---------------------------------------|----------------------------------|
| (Signature) Brian Keith Derrick | (Signature) Robert Andrew Gerberry | (Signature) Dennis Dale Pijor |
| (Printed Name) 1. | (Printed Name) 2. | (Printed Name) 3. |
| Treasurer | Secretary | Vice President - Finance, CFO |
| (Title) | (Title) | (Title) |

Subscribed and sworn to before me this
22nd day of February, 2016

a. Is this an original filing?
b. If no,
1. State the amendment number
2. Date filed
3. Number of pages attached

Yes[X] No[]

(Notary Public Signature)

EXHIBIT 2 - ACCIDENT AND HEALTH PREMIUMS DUE AND UNPAID

| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|--|-------------|--------------|--------------|--------------|-------------|-----------|
| Name of Debtor | 1 - 30 Days | 31 - 60 Days | 61 - 90 Days | Over 90 Days | Nonadmitted | Admitted |
| 0199999 TOTAL Individuals | | | | | | |
| 0299998 Premiums due and unpaid not individually listed | 3,684,189 | 14,191 | 4,626 | 19,486 | 118,140 | 3,604,352 |
| 0299999 TOTAL Group | 3,684,189 | 14,191 | 4,626 | 19,486 | 118,140 | 3,604,352 |
| 0399999 Premiums due and unpaid from Medicare entities | | | | | | |
| 0499999 Premiums due and unpaid from Medicaid entities | | | | | | |
| 0599999 Accident and health premiums due and unpaid (Page 2, Line 15) .. | 3,684,189 | 14,191 | 4,626 | 19,486 | 118,140 | 3,604,352 |

EXHIBIT 3 - HEALTH CARE RECEIVABLES

| 1 Name of Debtor | 2 1 - 30 Days | 3 31 - 60 Days | 4 61 - 90 Days | 5 Over 90 Days | 6 Nonadmitted | 7 Admitted |
|--|------------------|-------------------|-------------------|-------------------|------------------|---------------|
| Pharmaceutical Rebate Receivables | | | | | | |
| MedImpact | 1,148,976 | | | 1,589,305 | 1,589,305 | 1,148,976 |
| 0199998 Pharmaceutical Rebate Receivables - Not Individually Listed | | | | | | |
| 0199999 Subtotal - Pharmaceutical Rebate Receivables | 1,148,976 | | | 1,589,305 | 1,589,305 | 1,148,976 |
| Claim Overpayment Receivables | | | | | | |
| Discovery Health Recoveries | 96,574 | | | | | 96,574 |
| 0299998 Claim Overpayment Receivables - Not Individually Listed | 54,749 | | | | | 54,749 |
| 0299999 Subtotal - Claim Overpayment Receivables | 151,323 | | | | | 151,323 |
| 0399998 Loans and Advances to Providers - Not Individually Listed | | | | | | |
| 0399999 Subtotal - Loans and Advances to Providers | | | | | | |
| Capitation Arrangements Receivables | | | | | | |
| Medicare Part D revenue due from CMS | 2,741,882 | | | | | 2,741,882 |
| 0499998 Capitation Arrangement Receivables - Not Individually Listed | | | | | | |
| 0499999 Subtotal - Capitation Arrangement Receivables | 2,741,882 | | | | | 2,741,882 |
| Risk Sharing Receivables | | | | | | |
| Medicare gap receivables due from pharmaceutical companies | 1,082,987 | 44,665 | | | | 1,127,652 |
| Catholic Health Partners | 471,000 | | | | | 471,000 |
| 0599998 Risk Sharing Receivables - Not Individually Listed | | | | | | |
| 0599999 Subtotal - Risk Sharing Receivables | 1,553,987 | 44,665 | | | | 1,598,652 |
| 0699998 Other Receivables - Not Individually Listed | | | | | | |
| 0699999 Subtotal - Other Receivables | | | | | | |
| 0799999 Gross health care receivables | 5,596,168 | 44,665 | | 1,589,305 | 1,589,305 | 5,640,833 |

EXHIBIT 3A - ANALYSIS OF HEALTH CARE RECEIVABLES COLLECTED AND ACCRUED

| | Health Care Receivables Collected During the Year | | Health Care Receivables Accrued as of December 31 of Current Year | | 5 | 6 |
|---|---|---|--|---|---|--|
| | 1 On Amounts Accrued Prior to January 1 of Current Year | 2 On Amounts Accrued During the Year | 3 On Amounts Accrued December 31 of Prior Year | 4 On Amounts Accrued During the Year | Health Care Receivables in Prior Years (Columns 1 + 3) | Estimated Health Care Receivables Accrued as of December 31 of Prior Year |
| Type of Health Care Receivable | | | | | | |
| 1. Pharmaceutical rebate receivables | 1,697,245 | 1,887,653 | | 2,738,281 | 1,697,245 | 1,601,646 |
| 2. Claim overpayment receivables | 15,643 | | | 151,323 | 15,643 | 15,643 |
| 3. Loans and advances to providers | | | | | | |
| 4. Capitation arrangement receivables | 4,257,432 | | | 2,741,882 | 4,257,432 | 3,580,600 |
| 5. Risk sharing receivables | 2,808,861 | | | 1,598,652 | 2,808,861 | 2,808,861 |
| 6. Other health care receivables | | | | | | |
| 7. TOTALS (Lines 1 through 6) | 8,779,181 | 1,887,653 | | 7,230,138 | 8,779,181 | 8,006,750 |

Note that the accrued amounts in Columns 3, 4, and 6 are the total health care receivables, not just the admitted portion.

EXHIBIT 4 - CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)
Aging Analysis of Unpaid Claims

| 1 Account | 2 1 - 30 Days | 3 31 - 60 Days | 4 61 - 90 Days | 5 91 - 120 Days | 6 Over 120 Days | 7 Total |
|--|------------------|-------------------|-------------------|--------------------|--------------------|------------|
| 0299999 Aggregate Accounts Not Individually Listed - Uncovered | | | | | | |
| 0399999 Aggregate Accounts Not Individually Listed - Covered | 17,799,154 | 6,241,000 | 1,556,000 | 739,000 | 1,411,000 | 27,746,154 |
| 0499999 Subtotals | 17,799,154 | 6,241,000 | 1,556,000 | 739,000 | 1,411,000 | 27,746,154 |
| 0599999 Unreported claims and other claim reserves | | | | | | |
| 0699999 TOTAL Amounts Withheld | | | | | | |
| 0799999 TOTAL Claims Unpaid | | | | | | 27,746,154 |
| 0899999 Accrued Medical Incentive Pool and Bonus Amounts | | | | | | 58,703 |

EXHIBIT 5 - AMOUNTS DUE FROM PARENT, SUBSIDIARIES AND AFFILIATES

| 1 Name of Affiliate | 2 1 - 30 Days | 3 31 - 60 Days | 4 61 - 90 Days | 5 Over 90 Days | 6 Nonadmitted | Admitted | |
|---|------------------|-------------------|-------------------|-------------------|------------------|--------------|------------------|
| | | | | | | 7 Current | 8 Non-Current |
| Individually listed receivables | | | | | | | |
| Apex Benefits Services, LLC | 803,750 | | | | | 803,750 | |
| Ohio Health Choice | 20 | | | | | 20 | |
| Summa Health System Corporation | 10,000,000 | | | | | 10,000,000 | |
| 0199999 Total - Individually listed receivables | 10,803,770 | | | | | 10,803,770 | |
| 0299999 Receivables not inidividually listed | | | | | | | |
| 0399999 TOTAL Gross Amounts Receivable | 10,803,770 | | | | | 10,803,770 | |

EXHIBIT 6 - AMOUNTS DUE TO PARENT, SUBSIDIARIES AND AFFILIATES

| 1 | 2 | 3 | 4 | 5 |
|--|-----------------------------|-----------|-----------|-------------|
| Affiliate | Description | Amount | Current | Non-Current |
| Individually Listed Payables | | | | |
| Summa Health Network | Administrative Fees | 2,792 | 2,792 | |
| Summa Insurance Company | Administrative Fees | 1,403,870 | 1,403,870 | |
| Summa Accountable Care Organization | Medicare Incentive | 194,401 | 194,401 | |
| Summa Health System | Administrative Fees | 446,204 | 446,204 | |
| Summa Management Services Organization | Salaries and Benefits | 1,537,860 | 1,537,860 | |
| 0199999 Total - Individually Listed Payables | X X X | 3,585,127 | 3,585,127 | |
| 0299999 Payables not Individually Listed | X X X | | | |
| 0399999 TOTAL Gross Payables | X X X | 3,585,127 | 3,585,127 | |

EXHIBIT 7 - PART 1 - SUMMARY OF TRANSACTIONS WITH PROVIDERS

| | 1 | 2 | 3 | 4 | 5 | 6 |
|---|--------------------------------|-----------------------------------|-----------------------|----------------------------------|--|--|
| Payment Method | Direct Medical Expense Payment | Column 1 as a % of Total Payments | Total Members Covered | Column 3 as a % of Total Members | Column 1 Expenses Paid to Affiliated Providers | Column 1 Expenses Paid to Non-Affiliated Providers |
| Capitation Payments: | | | | | | |
| 1. Medical groups | | | | | | |
| 2. Intermediaries | 1,976,119 | 0.793 | | | | 1,976,119 |
| 3. All other providers | | | | | | |
| 4. TOTAL Capitation Payments | 1,976,119 | 0.793 | | | | 1,976,119 |
| Other Payments: | | | | | | |
| 5. Fee-for-service | | | X X X | X X X | | |
| 6. Contractual fee payments | 247,290,381 | 99.191 | X X X | X X X | | 247,290,381 |
| 7. Bonus/withhold arrangements - fee-for-service | | | X X X | X X X | | |
| 8. Bonus/withhold arrangements - contractual fee payments | 39,626 | 0.016 | X X X | X X X | | 39,626 |
| 9. Non-contingent salaries | | | X X X | X X X | | |
| 10. Aggregate cost arrangements | | | X X X | X X X | | |
| 11. All other payments | | | X X X | X X X | | |
| 12. TOTAL Other Payments | 247,330,007 | 99.207 | X X X | X X X | | 247,330,007 |
| 13. TOTAL (Line 4 plus Line 12) | 249,306,126 | 100.000 | X X X | X X X | | 249,306,126 |

EXHIBIT 7 - PART 2 - SUMMARY OF TRANSACTIONS WITH INTERMEDIARIES

| 1 | 2 | 3 | 4 | 5 | 6 |
|----------------------|--|-----------------|----------------------------|---------------------------------------|---|
| NAIC Code | Name of Intermediary | Capitation Paid | Average Monthly Capitation | Intermediary's Total Adjusted Capital | Intermediary's Authorized Control Level RBC |
| 00000 | SUMMA HEALTH SYSTEM GERIATRICS | 1,257,246 | | | |
| 00000 | OB/GYN ASSOCIATES | (6,671) | | | |
| 00000 | SUMMA ACCOUNTABLE CARE ORGAINIZATION | 725,544 | | | |
| 9999999 TOTALS | | 1,976,119 | X X X | X X X | X X X |

EXHIBIT 8 - FURNITURE, EQUIPMENT AND SUPPLIES OWNED

| | | 1 | 2 | 3 | 4 | 5 | 6 |
|-------------|---|-----------|--------------|-----------------------------|------------------------------------|---------------------------|---------------------------|
| Description | | Cost | Improvements | Accumulated Depreciation | Book Value Less Encumbrances | Assets Not Admitted | Net Admitted Assets |
| 1. | Administrative furniture and equipment | 1,963,366 | | 1,757,569 | | 205,797 | |
| 2. | Medical furniture, equipment and fixtures | | | | | | |
| 3. | Pharmaceuticals and surgical supplies | | | | | | |
| 4. | Durable medical equipment | | | | | | |
| 5. | Other property and equipment | | | | | | |
| 6. | TOTAL | 1,963,366 | | 1,757,569 | | 205,797 | |



EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION: SummaCare, Inc. 2. LOCATION: BUSINESS IN THE STATE OF OHIO DURING THE YEAR NAIC Group Code 3259 NAIC Company Code 95202

| | 1 Total | Comprehensive (Hospital & Medical) | | 4 Medicare Supplement | 5 Vision Only | 6 Dental Only | 7 Federal Employees Health Benefits Plan | 8 Title XVIII Medicare | 9 Title XIX Medicaid | 10 Other |
|---|----------------|------------------------------------|----------------|---------------------------------|-------------------------|-------------------------|--|----------------------------------|--------------------------------|-----------------|
| | | 2 Individual | 3 Group | | | | | | | |
| TOTAL Members at end of: | | | | | | | | | | |
| 1. Prior Year | 32,654 | | | | | | | 32,654 | | |
| 2. First Quarter | 27,156 | | | | | | | 27,156 | | |
| 3. Second Quarter | 26,654 | | | | | | | 26,654 | | |
| 4. Third Quarter | 26,548 | | | | | | | 26,548 | | |
| 5. Current Year | 26,419 | | | | | | | 26,419 | | |
| 6. Current Year Member Months | 321,810 | | | | | | | 321,810 | | |
| TOTAL Member Ambulatory Encounters for Year: | | | | | | | | | | |
| 7. Physician | 83,493 | | | | | | | 83,493 | | |
| 8. Non-Physician | 120,558 | | | | | | | 120,558 | | |
| 9. TOTAL | 204,051 | | | | | | | 204,051 | | |
| 10. Hospital Patient Days Incurred | 189,122 | | | | | | | 189,122 | | |
| 11. Number of Inpatient Admissions | 6,560 | | | | | | | 6,560 | | |
| 12. Health Premiums Written (b) | 271,554,622 | | | | | | | 271,554,622 | | |
| 13. Life Premiums Direct | | | | | | | | | | |
| 14. Property/Casualty Premiums Written | | | | | | | | | | |
| 15. Health Premiums Earned | 271,554,622 | | | | | | | 271,554,622 | | |
| 16. Property/Casualty Premiums Earned | | | | | | | | | | |
| 17. Amount Paid for Provision of Health Care Services | 249,306,126 | | | | | | | 249,306,126 | | |
| 18. Amount Incurred for Provision of Health Care Services | 246,260,986 | | | | | | | 246,260,986 | | |

(a) For health business: number of persons insured under PPO managed care products0 and number of persons insured under indemnity only products0.
(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$.....271,554,622



EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION: SummaCare, Inc. 2. LOCATION:
BUSINESS IN THE STATE OF GRAND TOTAL DURING THE YEAR
NAIC Group Code 3259 NAIC Company Code 95202

| | 1 | Comprehensive (Hospital & Medical) | | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
|---|-------------|------------------------------------|-------|---------------------|-------------|-------------|--|----------------------|--------------------|-------|
| | | 2 | 3 | | | | | | | |
| | Total | Individual | Group | Medicare Supplement | Vision Only | Dental Only | Federal Employees Health Benefits Plan | Title XVIII Medicare | Title XIX Medicaid | Other |
| TOTAL Members at end of: | | | | | | | | | | |
| 1. Prior Year | 32,654 | | | | | | | 32,654 | | |
| 2. First Quarter | 27,156 | | | | | | | 27,156 | | |
| 3. Second Quarter | 26,654 | | | | | | | 26,654 | | |
| 4. Third Quarter | 26,548 | | | | | | | 26,548 | | |
| 5. Current Year | 26,419 | | | | | | | 26,419 | | |
| 6. Current Year Member Months | 321,810 | | | | | | | 321,810 | | |
| TOTAL Member Ambulatory Encounters for Year: | | | | | | | | | | |
| 7. Physician | 83,493 | | | | | | | 83,493 | | |
| 8. Non-Physician | 120,558 | | | | | | | 120,558 | | |
| 9. TOTAL | 204,051 | | | | | | | 204,051 | | |
| 10. Hospital Patient Days Incurred | 189,122 | | | | | | | 189,122 | | |
| 11. Number of Inpatient Admissions | 6,560 | | | | | | | 6,560 | | |
| 12. Health Premiums Written (b) | 271,554,622 | | | | | | | 271,554,622 | | |
| 13. Life Premiums Direct | | | | | | | | | | |
| 14. Property/Casualty Premiums Written | | | | | | | | | | |
| 15. Health Premiums Earned | 271,554,622 | | | | | | | 271,554,622 | | |
| 16. Property/Casualty Premiums Earned | | | | | | | | | | |
| 17. Amount Paid for Provision of Health Care Services | 249,306,126 | | | | | | | 249,306,126 | | |
| 18. Amount Incurred for Provision of Health Care Services | 246,260,986 | | | | | | | 246,260,986 | | |

(a) For health business: number of persons insured under PPO managed care products0 and number of persons insured under indemnity only products0.
(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$.....271,554,622

30 Grand Total

SCHEDULE S - PART 1 - SECTION 2

Reinsurance Assumed Accident and Health Insurance Listed by Reinsured Company as of December 31, Current Year

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
|--|--------------|-------------------|-------------------|-----------------------------|-----------------------------------|----------|----------------------|--|--|------------------------------------|---|
| NAIC Company Code | ID Number | Effective Date | Name of Reinsured | Domiciliary Jurisdiction | Type of Reinsurance Assumed | Premiums | Unearned Premiums | Reserve Liability Other Than for Unearned Premiums | Reinsurance Payable on Paid and Unpaid Losses | Modified Coinsurance Reserve | Funds Withheld Under Coinsurance |
| NONE | | | | | | | | | | | |
| 9999999 Total (Sum of 0799999 and 1099999) | | | | | | | | | | | |

SCHEDULE S - PART 2
Reinsurance Recoverable on Paid and Unpaid Losses Listed by
Reinsuring Company as of December 31, Current Year

| 1 NAIC Company Code | 2 ID Number | 3 Effective Date | 4 Name of Company | 5 Domiciliary Jurisdiction | 6 Paid Losses | 7 Unpaid Losses |
|---|-------------------|------------------------|---------------------------------|----------------------------------|------------------|--------------------|
| 1199999 Total - Life and Annuity | | | | | | |
| Accident and Health - Non-Affiliates - U.S. Non-Affiliates | | | | | | |
| 21113 | 13-5459190 ... | 01/01/2015 | UNITED STATES FIRE INS CO | DE | 182,734 | |
| 1999999 Subtotal - Accident and Health - Non-Affiliates - U.S. Non-Affiliates | | | | | 182,734 | |
| 2199999 Total - Accident and Health - Non-Affiliates | | | | | 182,734 | |
| 2299999 Total - Accident and Health | | | | | 182,734 | |
| 2399999 Total U.S. (Sum of 0399999, 0899999, 1499999 and 1999999) | | | | | 182,734 | |
| 2499999 Total Non-U.S. (Sum of 0699999, 0999999, 1799999 and 2099999) | | | | | | |
| 9999999 Total (Sum of 1199999 and 2299999) | | | | | 182,734 | |

SCHEDULE S - PART 3 - SECTION 2

Reinsurance Ceded Accident and Health Insurance Listed by Reinsuring Company as of December 31, Current Year

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | Outstanding Surplus Relief | | 13 | 14 |
|---|--------------|-------------------|---------------------------|-----------------------------|---------------------------------|------------------------------|----------|-------------------------------------|---|----------------------------|---------------|------------------------------------|---|
| | | | | | | | | | | 11 | 12 | | |
| NAIC Company Code | ID Number | Effective Date | Name of Company | Domiciliary Jurisdiction | Type of Reinsurance Ceded | Type of Business Ceded | Premiums | Unearned Premiums (Estimated) | Reserve Credit Taken Other than for Unearned Premiums | Current Year | Prior Year | Modified Coinsurance Reserve | Funds Withheld Under Coinsurance |
| General Account - Authorized - Non-Affiliates - U.S. Non-Affiliates | | | | | | | | | | | | | |
| 21113 | 13-5459190 | 01/01/2014 | UNITED STATES FIRE INS CO | DE | SSL/A/I | SLEL | 184,414 | | | | | | |
| 0899999 Subtotal - General Account - Authorized - Non-Affiliates - U.S. Non-Affiliates | | | | | | | 184,414 | | | | | | |
| 1099999 Total - General Account - Authorized - Non-Affiliates | | | | | | | 184,414 | | | | | | |
| 1199999 Total - General Account Authorized | | | | | | | 184,414 | | | | | | |
| 1499999 Subtotal - General Account - Unauthorized - Affiliates - U.S. - Total | | | | | | | | | | | | | |
| 2299999 Total - General Account - Unauthorized | | | | | | | | | | | | | |
| 2599999 Subtotal - General Account - Certified - Affiliates - U.S. - Total | | | | | | | | | | | | | |
| 3399999 Total - General Account - Certified | | | | | | | | | | | | | |
| 3499999 Total - General Account - Authorized, Unauthorized and Certified | | | | | | | 184,414 | | | | | | |
| 3799999 Subtotal - Separate Accounts - Authorized - Affiliates - U.S. - Total | | | | | | | | | | | | | |
| 4599999 Total - Separate Accounts - Authorized | | | | | | | | | | | | | |
| 4899999 Subtotal - Separate Accounts - Unauthorized - Affiliates - U.S. - Total | | | | | | | | | | | | | |
| 5699999 Total - Separate Accounts - Unauthorized | | | | | | | | | | | | | |
| 5999999 Subtotal - Separate Accounts - Certified - Affiliates - U.S. - Total | | | | | | | | | | | | | |
| 6699999 Total - Separate Accounts - Certified - Non-Affiliates | | | | | | | | | | | | | |
| 6799999 Total - Separate Accounts - Certified | | | | | | | | | | | | | |
| 6899999 Total - Separate Accounts - Authorized, Unauthorized and Certified | | | | | | | | | | | | | |
| 6999999 Total U.S. (Sum of 0399999, 0899999, 1499999, 1999999, 2599999, 3099999, 3799999, 4299999, 4899999, 5399999, 5999999 and 6499999) | | | | | | | 184,414 | | | | | | |
| 7099999 Total Non-U.S. (Sum of 0699999, 0999999, 1799999, 2099999, 2899999, 3199999, 4099999, 4399999, 5199999, 5499999, 6299999 and 6599999) | | | | | | | | | | | | | |
| 9999999 Total (Sum of 3499999 and 6899999) | | | | | | | 184,414 | | | | | | |

34 Schedule S - Part 4 NONE

35 Schedule S - Part 5 NONE

SCHEDULE S - PART 6
Five-Year Exhibit of Reinsurance Ceded Business
(000 Omitted)

| | 1 2015 | 2 2014 | 3 2013 | 4 2012 | 5 2011 |
|---|-----------|-----------|-----------|-----------|-----------|
| A. OPERATIONS ITEMS | | | | | |
| 1. Premiums | | | | | 22 |
| 2. Title XVIII-Medicare | 184 | 374 | 296 | 244 | 161 |
| 3. Title XIX - Medicaid | | | | | |
| 4. Commissions and reinsurance expense allowance | | | | | |
| 5. TOTAL Hospital and Medical Expenses | 368 | 109 | 34 | 132 | 578 |
| B. BALANCE SHEET ITEMS | | | | | |
| 6. Premiums receivable | | | | | |
| 7. Claims payable | | | | | |
| 8. Reinsurance recoverable on paid losses | 183 | | 17 | 2 | |
| 9. Experience rating refunds due or unpaid | | | | | |
| 10. Commissions and reinsurance expense allowances due | | | | | |
| 11. Unauthorized reinsurance offset | | | 7 | 7 | 7 |
| 12. Offset for reinsurance with Certified Reinsurers | | | | | X X X |
| C. UNAUTHORIZED REINSURANCE (DEPOSITS BY AND FUNDS WITHHELD FROM) | | | | | |
| 13. Funds deposited by and withheld from (F) | | | 7 | 7 | 7 |
| 14. Letters of credit (L) | | | | | |
| 15. Trust agreements (T) | | | | | |
| 16. Other (O) | | | | | |
| D. REINSURANCE WITH CERTIFIED REINSURERS (DEPOSITS BY AND FUNDS WITHHELD FROM) | | | | | |
| 17. Multiple Beneficiary Trust | | | | | X X X |
| 18. Funds deposited by and withheld from (F) | | | | | X X X |
| 19. Letters of credit (L) | | | | | X X X |
| 20. Trust agreements (T) | | | | | X X X |
| 21. Other (O) | | | | | X X X |

SCHEDULE S - PART 7
Restatement of Balance Sheet to Identify Net Credit For Ceded Reinsurance

| | 1 As Reported (net of ceded) | 2 Restatement Adjustments | 3 Restated (gross of ceded) |
|---|------------------------------------|---------------------------------|-----------------------------------|
| ASSETS (Page 2, Col. 3) | | | |
| 1. Cash and invested assets (Line 12) | 70,221,593 | | 70,221,593 |
| 2. Accident and health premiums due and unpaid (Line 15) | 3,604,352 | | 3,604,352 |
| 3. Amounts recoverable from reinsurers (Line 16.1) | 182,734 | (182,734) | |
| 4. Net credit for ceded reinsurance | X X X | 182,734 | 182,734 |
| 5. All other admitted assets (Balance) | 16,575,898 | | 16,575,898 |
| 6. TOTAL Assets (Line 28) | 90,584,577 | | 90,584,577 |
| LIABILITIES, CAPITAL AND SURPLUS (Page 3) | | | |
| 7. Claims unpaid (Line 1) | 27,746,154 | | 27,746,154 |
| 8. Accrued medical incentive pool and bonus payments (Line 2) | 58,703 | | 58,703 |
| 9. Premiums received in advance (Line 8) | 653,480 | | 653,480 |
| 10. Funds held under reinsurance treaties with authorized and unauthorized reinsurers (Line 19, first inset amount plus second inset amount) | | | |
| 11. Reinsurance in unauthorized companies (Line 20 minus inset amount) | | | |
| 12. Reinsurance with Certified Reinsurers (Line 20 inset amount) | | | |
| 13. Funds held under reinsurance treaties with Certified Reinsurers (Line 19 third inset amount) | | | |
| 14. All other liabilities (Balance) | 10,552,812 | | 10,552,812 |
| 15. TOTAL Liabilities (Line 24) | 39,011,149 | | 39,011,149 |
| 16. TOTAL Capital and Surplus (Line 33) | 51,573,428 | X X X | 51,573,428 |
| 17. TOTAL Liabilities, Capital and Surplus (Line 34) | 90,584,577 | | 90,584,577 |
| NET CREDIT FOR CEDED REINSURANCE | | | |
| 18. Claims unpaid | | | |
| 19. Accrued medical incentive pool | | | |
| 20. Premiums received in advance | | | |
| 21. Reinsurance recoverable on paid losses | 182,734 | | |
| 22. Other ceded reinsurance recoverables | | | |
| 23. TOTAL Ceded Reinsurance Recoverables | 182,734 | | |
| 24. Premiums receivable | | | |
| 25. Funds held under reinsurance treaties with authorized and unauthorized reinsurers | | | |
| 26. Unauthorized reinsurance | | | |
| 27. Reinsurance with Certified Reinsurers | | | |
| 28. Funds held under reinsurance treaties with Certified Reinsurers | | | |
| 29. Other ceded reinsurance payables/offsets | | | |
| 30. TOTAL Ceded Reinsurance Payables/Offsets | | | |
| 31. TOTAL Net Credit for Ceded Reinsurance | 182,734 | | |

SCHEDULE T - PART 2
INTERSTATE COMPACT - EXHIBIT OF PREMIUMS WRITTEN
ALLOCATED BY STATES AND TERRITORIES

| Direct Business only | | | | | | |
|---|-----------------------------------|--|---|--|---------------------------|--------|
| | 1 | 2 | 3 | 4 | 5 | 6 |
| States, Etc. | Life (Group and Individual) | Annuities (Group and Individual) | Disability Income (Group and Individual) | Long-Term Care (Group and Individual) | Deposit-Type Contracts | Totals |
| 1. Alabama (AL) | | | | | | |
| 2. Alaska (AK) | | | | | | |
| 3. Arizona (AZ) | | | | | | |
| 4. Arkansas (AR) | | | | | | |
| 5. California (CA) | | | | | | |
| 6. Colorado (CO) | | | | | | |
| 7. Connecticut (CT) | | | | | | |
| 8. Delaware (DE) | | | | | | |
| 9. District of Columbia (DC) | | | | | | |
| 10. Florida (FL) | | | | | | |
| 11. Georgia (GA) | | | | | | |
| 12. Hawaii (HI) | | | | | | |
| 13. Idaho (ID) | | | | | | |
| 14. Illinois (IL) | | | | | | |
| 15. Indiana (IN) | | | | | | |
| 16. Iowa (IA) | | | | | | |
| 17. Kansas (KS) | | | | | | |
| 18. Kentucky (KY) | | | | | | |
| 19. Louisiana (LA) | | | | | | |
| 20. Maine (ME) | | | | | | |
| 21. Maryland (MD) | | | | | | |
| 22. Massachusetts (MA) | | | | | | |
| 23. Michigan (MI) | | | | | | |
| 24. Minnesota (MN) | | | | | | |
| 25. Mississippi (MS) | | | | | | |
| 26. Missouri (MO) | | | | | | |
| 27. Montana (MT) | | | | | | |
| 28. Nebraska (NE) | | | | | | |
| 29. Nevada (NV) | | | | | | |
| 30. New Hampshire (NH) | | | | | | |
| 31. New Jersey (NJ) | | | | | | |
| 32. New Mexico (NM) | | | | | | |
| 33. New York (NY) | | | | | | |
| 34. North Carolina (NC) | | | | | | |
| 35. North Dakota (ND) | | | | | | |
| 36. Ohio (OH) | | | | | | |
| 37. Oklahoma (OK) | | | | | | |
| 38. Oregon (OR) | | | | | | |
| 39. Pennsylvania (PA) | | | | | | |
| 40. Rhode Island (RI) | | | | | | |
| 41. South Carolina (SC) | | | | | | |
| 42. South Dakota (SD) | | | | | | |
| 43. Tennessee (TN) | | | | | | |
| 44. Texas (TX) | | | | | | |
| 45. Utah (UT) | | | | | | |
| 46. Vermont (VT) | | | | | | |
| 47. Virginia (VA) | | | | | | |
| 48. Washington (WA) | | | | | | |
| 49. West Virginia (WV) | | | | | | |
| 50. Wisconsin (WI) | | | | | | |
| 51. Wyoming (WY) | | | | | | |
| 52. American Samoa (AS) | | | | | | |
| 53. Guam (GU) | | | | | | |
| 54. Puerto Rico (PR) | | | | | | |
| 55. U.S. Virgin Islands (VI) | | | | | | |
| 56. Northern Mariana Islands (MP) | | | | | | |
| 57. Canada (CAN) | | | | | | |
| 58. Aggregate other alien (OT) | | | | | | |
| 59. TOTALS | | | | | | |

NONE

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |
|------------|-------------------------|---------------------|------------|--------------|-----|--|---|--------------------------|--------------------------------------|---|--|--|---|----|
| Group Code | Group Name | NAIC Comp- any Code | ID Number | FEDERAL RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Name of Parent, Subsidiaries or Affiliates | Domic- iliary Loca- tion | Relation- ship to Report- ing Entity | Directly Controlled by (Name of Entity / Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies) / Person(s) | * |
| 41 | SUMMA INSURANCE COMPANY | 00000 | 34-1887844 | | | | SUMMA HEALH SYSTEM | OH | UIP | SUMMA HEALTH SYSTEM COMMUNITY / HEALTHSPAN PARTNERS | Ownership | | SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 34-1515252 | | | | SUMMA HEALTH SYSTEM CORPORATION | OH | UDP | SUMMA HEALTH SYSTEM | Ownership | 100.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 10649 | 34-1809108 | | | | SUMMA INSURANCE COMPANY | OH | DS | SUMMA HEALTH SYSTEM CORPORATION | Ownership | 100.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 95202 | 34-1726655 | | | | SUMMACARE INC. | OH | RE | SUMMA INSURANCE COMPANY | Ownership | 100.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 16-1628227 | | | | SUMMA INSURANCE AGENCY LLC | OH | NIA | SUMMA INTEGRATED SERVICES ORGANIZATION | Ownership | 100.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 34-1961463 | | | | APEX BENEFITS SERVICES LLC | OH | NIA | SUMMA INTEGRATED SERVICES ORGANIZATION | Ownership | 100.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 01-0842997 | | | | WADSWORTH-RITTMAN PROFESSIONAL SERVICES CORPORATION | OH | NIA | SUMMA HEALTH SYSTEM CORPORATION | Ownership | 100.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 34-1895396 | | | | OHIO HEALTH CHOICE INC | OH | NIA | SUMMA HEALTH SYSTEM CORPORATION | Ownership | 80.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 34-2020978 | | | | CONERSTONE MEDICAL SERVICES | OH | NIA | SUMMA HEALTH SYSTEM CORPORATION | Ownership | 50.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 34-1692767 | | | | HEALTH CARE CENTER PHYSICIANS INC | OH | NIA | SUMMA HEALTH SYSTEM CORPORATION | Ownership | 100.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 34-1790929 | | | | SUMMA PHYSICIANS INC | OH | NIA | SUMMA HEALTH SYSTEM | Ownership | 100.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 34-0714755 | | | | SUMMA AKRON CITY ANS ST THOMAS HOSPITALS | OH | NIA | SUMMA HEALTH SYSTEM | Ownership | 100.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 34-1219001 | | | | SUMMA FOUNDATION | OH | NIA | SUMMA HEALTH SYSTEM | Ownership | 100.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 26-3536780 | | | | SUMMA WESTERN RESERVE HOSPITAL | OH | NIA | SUMMA AKRON CITY AND ST THOMAS HOSPITALS | Ownership | 40.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 45-3697866 | | | | ARIS TELERADIOLOGY LLC | OH | NIA | SUMMA AKRON CITY AND ST THOMAS HOSPITALS | Ownership | 58.8 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 62-1865245 | | | | AKRON ENDOSCOPY LLC | OH | NIA | SUMMA AKRON CITY AND ST THOMAS HOSPITALS | Ownership | 100.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 03-0507853 | | | | SUMMA ENTERPRISE GROUP LLC | OH | NIA | SUMMA AKRON CITY AND ST THOMAS HOSPITALS | Ownership | 100.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

41.1

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |
|------------|------------|---------------------|------------|--------------|-----|--|---|--------------------------|--|--|--|--|---|---------|
| Group Code | Group Name | NAIC Comp- any Code | ID Number | FEDERAL RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Name of Parent, Subsidiaries or Affiliates | Domic- iliary Loca- tion | Rela- tion- ship to Report- ing Entity | Directly Controlled by (Name of Entity / Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies) / Person(s) | * |
| | | 00000 | 55-0837372 | | | | SEG PROPERTIES LLC | OH | NIA | SUMMA ENTERPRISE GROUP LLC | Ownership | 100.0 | SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 27-1952573 | | | | SUMMA REHAB HOSPITAL | OH | NIA | SUMMA AKRON CITY AND ST THOMAS HOSPITALS | Ownership | 52.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 34-1872278 | | | | OHIO SLEEP DISORDERS LLC | OH | NIA | SUMMA AKRON CITY AND ST THOMAS HOSPITALS | Ownership | 66.7 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 26-1421110 | | | | MEDINA-SUMMIT ASC LLC | OH | NIA | SUMMA AKRON CITY AND ST THOMAS HOSPITALS | Ownership | 20.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 26-1375072 | | | | SUMMA BARBERTON HOSPITAL | OH | NIA | SUMMA HEALTH SYSTEM | Ownership | 100.0 | SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 34-1887844 | | | | SUMMA HEALTH NETWORK LLC | OH | NIA | SUMMA HEALTH SYSTEM | Ownership | 100.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 27-3857055 | | | | SUMMA ACCOUNTABLE CARE ORGANIZATION | OH | NIA | SUMMA HEALTH SYSTEM | Ownership | 100.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | | | | | MIDDLEBURY ASSURANCE COMPANY | CYM | IA | SUMMA HEALTH SYSTEM | Ownership | 100.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 46-1145832 | | | | SUMMA MANAGEMENT SERVICES ORGANIZATION, LLC | OH | NIA | SUMMA HEALTH SYSTEM CORPORATION | Ownership | 100.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | 0000001 |
| | | 00000 | 46-1159251 | | | | SUMMA INTEGRATED SERVICES ORGANIZATION | OH | NIA | SUMMA HEALTH SYSTEM CORPORATION | Ownership | 100.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 46-0902510 | | | | HEALTH INNOVATIONS OHIO, LLC | OH | NIA | SUMMA HEALTH SYSTEM | Ownership | 25.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 46-1363039 | | | | PATIENT CENTERED COLLABORATIVE LLC | OH | NIA | SUMMA HEALTH SYSTEM CORPORATION | Ownership | 49.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 20-8650711 | | | | SUMMA ROBINSON HEALTH VENTURES | OH | NIA | SUMMA HEALTH SYSTEM | Ownership | 100.0 | HEALTHSPAN PARTNERS SUMMA HEALTH SYSTEM COMMUNITY / | |
| | | 00000 | 46-3018310 | | | | SUMMA HEALTH SYSTEM COMMUNITY | OH | UIP | | | | HEALTHSPAN PARTNERS | 0000002 |
| | | 00000 | 46-3055925 | | | | HEALTHSPAN PARTNERS | OH | UIP | | | | | 0000003 |

| Asterisk | Explanation |
|----------|---|
| 0000001 | Middlebury Assurance Company is located in the Cayman Islands. |
| 0000002 | Summa Health System Community is the ultimate controlling entity with 70% ownership in Summa Health System. |
| 0000003 | HealthSpan Partners is the ultimate controlling entity with 30% ownership in Summa Health System. |

SCHEDULE Y
PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
|-------------------------|--------------|---|--------------------------|--------------------------|--|---|---|---|-------|--|--------------|---|
| NAIC Company Code | ID Number | Names of Insurers and Parent, Subsidiaries or Affiliates | Shareholder Dividends | Capital Contributions | Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments | Income/(Disburse- ments) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s) | Management Agreements and Service Contracts | Income/ (Disbursements) Incurred Under Reinsurance Agreements | * | Any Other Material Activity not in the Ordinary Course of the Insurer's Business | Totals | Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/ (Liability) |
| 10649 | 34-1809108 | SUMMA INS CO INC | | | | (31,386,891) | (11,606,095) | | | | (42,992,986) | |
| | 34-1887844 | SUMMA HEALTH NETWORK, LLC | | | | | (77,178) | | | | (77,178) | |
| | 34-1961463 | APEX BENEFITS SERVICES, LLC | | | | | 1,919,718 | | | | 1,919,718 | |
| | 34-0714755 | AKRON CITY & ST. THOMAS HOSPITALS | | | | 83,433,941 | 2,773,809 | | | | 86,207,750 | |
| | 34-1895396 | OHIO HEALTH CHOICE INC | | | | | (1,163) | | | | (1,163) | |
| 95202 | 34-1726655 | SUMMACARE INC | 20,000,000 | | | (71,608,595) | (14,524,950) | | | | (66,133,545) | |
| | | MIDDLEBURY ASSURANCE COMPANY | | | | | 19,101 | | | | 19,101 | |
| | 34-1790929 | SUMMA PHYSICIANS INC. | | | | 11,704,629 | | | | | 11,704,629 | |
| | 26-1375072 | SUMMA BARBERTON HOSPITAL | | | | 6,337,128 | | | | | 6,337,128 | |
| | 34-6549371 | SUMMA WADSWORTH-RITTMAN HOSPITAL | | | | 1,519,788 | | | | | 1,519,788 | |
| | 27-3857055 | SUMMA ACCOUNTABLE CARE ORGANIZATION | | | | | | | | | | |
| | 46-1145832 | SUMMA MANAGEMENT SERVICES ORGANIZATION | | | | | 21,496,758 | | | | 21,496,758 | |
| | 34-1515252 | SUMMA HEALTH SYSTEM CORPORATION | (20,000,000) | | | | | | | | (20,000,000) | |
| 9999999 Control Totals | | | | | | | | | X X X | | | |

Schedule Y Part 2 Explanation:

SUPPLEMENTAL EXHIBITS AND SCHEDULES
INTERROGATORIES

Response

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of WAIVED to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

- MARCH FILING
- 1. Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1? Yes
 - 2. Will an actuarial opinion be filed by March 1? Yes
 - 3. Will the confidential Risk-based Capital Report be filed with the NAIC by March 1? Yes
 - 4. Will the confidential Risk-based Capital Report be filed with the state of domicile, if required by March 1? Yes

- APRIL FILING
- 5. Will Management's Discussion and Analysis be filed by April 1? Yes
 - 6. Will the Supplemental Investment Risks Interrogatories be filed by April 1? Yes
 - 7. Will the Accident and Health Policy Experience Exhibit be filed by April 1? Yes

- JUNE FILING
- 8. Will an audited financial report be filed by June 1? Yes
 - 9. Will Accountants Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1? Yes

- AUGUST FILING
- 10. Will Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile by August 1? Yes

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but it is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

- MARCH FILING
- 11. Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1? No
 - 12. Will the Supplemental Life data due March 1 be filed with the state of domicile and the NAIC? No
 - 13. Will the Supplemental Property/Casualty data due March 1 be filed with the state of domicile and the NAIC? No
 - 14. Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1? No
 - 15. Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 on Exhibit 5 to Life Supplement be filed with the state of domicile and electronically with the NAIC by March 1? No
 - 16. Will the actuarial opinion on non-guaranteed elements as required in Interrogatory 3 to Exhibit 5 to Life Supplement be filed with the state of domicile and electronically with the NAIC by March 1? No
 - 17. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1? No
 - 18. Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be file electronically with the NAIC by March 1? No
 - 19. Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1? No
 - 20. Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1? No

- APRIL FILING
- 21. Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1? No
 - 22. Will the Supplemental Life data due April 1 be filed with the state of domicile and the NAIC? No
 - 23. Will the Supplemental Property/Casualty Insurance Expense Exhibit due April 1 be filed with any state that requires it, and, if so, the NAIC? No
 - 24. Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1? Yes
 - 25. Will the regulator only (non-public) Supplemental Health Care Exhibit's Allocation Report be filed with the state of domicile and the NAIC by April 1? Yes

- AUGUST FILING
- 26. Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1? Yes

Explanations:

- 12.
- 13.
- 14. SummaCare has less than 100 stockholders
- 17.
- 21.
- 22.
- 23.

Bar Codes:

Medicare Supplement Insurance Experience Exhibit

95202201536000000 2015 Document Code: 360

Health Life Supplement

95202201520500000 2015 Document Code: 205

Health Property / Casualty Supplement

95202201520700000 2015 Document Code: 207

Schedule SIS

95202201542000000 2015 Document Code: 420

Actuarial Opinion on Participating and Non-Participating Policies

95202201537100000 2015 Document Code: 371

Statement of Non-Guaranteed Elements for Exhibit 5

95202201537000000 2015 Document Code: 370

SUPPLEMENTAL EXHIBITS AND SCHEDULES
INTERROGATORIES (continued)

Medicare Part D Coverage Supplement



95202201536500000

2015

Document Code: 365

Approval for Relief related to five-year rotation for lead Audit Partner



95202201522400000

2015

Document Code: 224

Approval for Relief related to one-year cooling off period for inde. CPA



95202201522500000

2015

Document Code: 225

Approval for Relief related to Require. for Audit Committees



95202201522600000

2015

Document Code: 226

LTC Supplemental Interrogatorries



95202201530600000

2015

Document Code: 306

Health Life Supplement - LHA Guaranty Association Reconciliation



95202201521100000

2015

Document Code: 211

Health Property/Casualty Supplement - Insurance Expense Exhibit



95202201521300000

2015

Document Code: 213

OVERFLOW PAGE FOR WRITE-INS

ASSETS

| | Current Year | | | Prior Year |
|--|--------------|--------------------|--------------------------------|---------------------|
| | 1 | 2 | 3 | 4 |
| | Assets | Nonadmitted Assets | Net Admitted Assets (Cols.1-2) | Net Admitted Assets |
| 1197. Summary of remaining write-ins for Line 11 (Lines 1104 through 1196) | | | | |
| 2504. Premium Tax Recoverable | | | | |
| 2597. Summary of remaining write-ins for Line 25 (Lines 2504 through 2596) | | | | |

STATEMENT OF REVENUE AND EXPENSES

| | Current Year | | Prior Year |
|--|----------------|------------|------------|
| | 1 Uncovered | 2 Total | 3 Total |
| 0697. Summary of remaining write-ins for Line 6 (Lines 0604 through 0696) | X X X | | |
| 0704. Proceeds from the Sale of the Medicaid Product Line | X X X | | |
| 0797. Summary of remaining write-ins for Line 7 (Lines 0704 through 0796) | X X X | | |
| 1497. Summary of remaining write-ins for Line 14 (Lines 1404 through 1496) | | | |
| 2904. Minority Interest Income (Expense) | | | |
| 2905. City Taxes | | | |
| 2906. Network Access Fees - Providers | | | |
| 2907. Minority Interest Expense | | | |
| 2908. Rental Revenue | | | |
| 2909. City Income Taxes | | | |
| 2910. Write off of tax receivable | | | |
| 2997. Summary of remaining write-ins for Line 29 (Lines 2904 through 2996) | | | |

STATEMENT OF REVENUE AND EXPENSES (Continued)

| | 1 Current Year | 2 Prior Year |
|--|-------------------|-----------------|
| 4704. 2014 CMS Revenue Reconciliation | 1,812,307 | |
| 4705. Correction of an error - 2006 Premium Taxes | | |
| 4706. Misc. Adjustment | | (1) |
| 4707. Increase par value of common stock | | |
| 4708. Correction of an error - 2006 Premium Taxes | | |
| 4709. Change in Minimum Pension Liability - Unrestricted Funds | | |
| 4710. Adjustments to 2008 financial statements | | |
| 4711. True up adjustment related to Deferred Tax | | |
| 4712. Miscellaneous | | |
| 4713. Capital contribution made to Summa Insurance Company | | |
| 4797. Summary of remaining write-ins for Line 47 (Lines 4704 through 4796) | 1,812,307 | (1) |

**INDEX TO HEALTH
ANNUAL STATEMENT**

| | |
|--|------|
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| Cash Flow | 6 |
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