



ANNUAL STATEMENT
FOR THE YEAR ENDING DECEMBER 31, 2015
OF THE CONDITION AND AFFAIRS OF THE

CareSource

NAIC Group Code

3683

(Current Period)

,

3683

(Prior Period)

NAIC Company Code

95201

Employer's ID Number

31-1143265

Organized under the Laws of

Ohio

State of Domicile or Port of Entry

Ohio

Country of Domicile

United States

Licensed as business type:

Life, Accident & Health []

Property/Casualty []

Hospital, Medical & Dental Service or Indemnity []

Dental Service Corporation []

Vision Service Corporation []

Health Maintenance Organization [X]

Other []

Is HMO, Federally Qualified? Yes [] No [X]

Incorporated/Organized

06/12/1985

Commenced Business

10/01/1988

Statutory Home Office

230 North Main Street

(Street and Number)

,

Dayton, OH, US 45402

(City or Town, State, Country and Zip Code)

Main Administrative Office

230 North Main Street

(Street and Number)

Dayton, OH, US 45402

(City or Town, State, Country and Zip Code)

937-531-3300

(Area Code) (Telephone Number)

Mail Address

PO Box 8738

(Street and Number or P.O. Box)

,

Dayton, OH, US 45401-8738

(City or Town, State, Country and Zip Code)

Primary Location of Books and Records

230 North Main Street

(Street and Number)

Dayton, OH, US 45402

(City or Town, State, Country and Zip Code)

937-531-2159

(Area Code) (Telephone Number) (Extension)

Internet Web Site Address

www.caresource.com

Statutory Statement Contact

Tarlton Thomas

(Name)

,

937-531-2159

(Area Code) (Telephone Number) (Extension)

Tarlton.Thomas@caresource.com

(E-Mail Address)

937-396-3438

(Fax Number)

OFFICERS

Name	Title	Name	Title
Pamela B. Morris	President & Chief Executive Officer	L. Tarlton Thomas III	Chief Financial Officer
Bobby L. Jones	Chief Operating Officer	Craig Thiele M.D.	Chief Medical Officer

OTHER OFFICERS

Stephen L. Ringel	President, Ohio Market		
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DIRECTORS OR TRUSTEES

Pamela B. Morris	Michael E. Ervin M.D.	William F. Marsteller D.C.	Gary L. LeRoy M.D.
Craig Brown	Ellen S. Leffak	Douglas A. Fecher	David T. Miller
David Kaelber M.D.			

State of Ohio

County of Montgomery

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The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions* and *Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Pamela B. Morris

President & Chief Executive Officer

L. Tarlton Thomas III

Chief Financial Officer

Bobby L. Jones

Chief Operating Officer

Subscribed and sworn to before me this

day of

a. Is this an original filing?

Yes [X] No []

b. If no:

1. State the amendment number

2. Date filed

3. Number of pages attached

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EXHIBIT 3 - HEALTH CARE RECEIVABLES

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EXHIBIT 3A – ANALYSIS OF HEALTH CARE RECEIVABLES COLLECTED AND ACCRUED

Type of Health Care Receivables	Health Care Receivables Collected During the Year		Health Care Receivables Accrued as of December 31 of Current Year		5	6
	1 On Amounts Accrued Prior to January 1 of Current Year	2 On Claims Accrued During the Year	3 On Amounts Accrued December 31 of Prior Year	4 On Amounts Accrued During the Year	Health Care Receivables in Prior Years (Columns 1 + 3)	Estimated Health Care Receivables Accrued as of December 31 of Prior Year
1. Pharmaceutical rebate receivables	36,206,624	37,603,817	1,639,604	63,394,209	37,846,228	39,036,331
2. Claim overpayment receivables	3,175,263	(3,252,759)	724,887	13,702,608	3,900,150	4,752,631
3. Loans and advances to providers0	
4. Capitation arrangement receivables	34,386,725	180,609,893	1,019,050	30,087,838	35,405,775	34,386,725
5. Risk sharing receivables0	
6. Other health care receivables0	
7. Totals (Lines 1 through 6)	73,768,612	214,960,951	3,383,541	107,184,655	77,152,153	78,175,687

Note that the accrued amounts in columns 3, 4 and 6 are the total health care receivables, not just the admitted portion.

EXHIBIT 4 – CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

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ANNUAL STATEMENT FOR THE YEAR 2015 OF THE CareSource

EXHIBIT 5 - AMOUNTS DUE FROM PARENT, SUBSIDIARIES AND AFFILIATES

[illegible]

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE CareSource

EXHIBIT 6 - AMOUNTS DUE TO PARENT, SUBSIDIARIES AND AFFILIATES

[illegible]

EXHIBIT 7 - PART 1- SUMMARY OF TRANSACTIONS WITH PROVIDERS

EXHIBIT 7 - PART 2 - SUMMARY OF TRANSACTIONS WITH INTERMEDIARIES

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EXHIBIT 8 – FURNITURE, EQUIPMENT AND SUPPLIES OWNED

	1	2	3	4	5	6
Description	Cost	Improvements	Accumulated Depreciation	Book Value Less Encumbrances	Assets Not Admitted	Net Admitted Assets
1. Administrative furniture and equipment	118,845		118,845			
2. Medical furniture, equipment and fixtures						
3. Pharmaceuticals and surgical supplies						
4. Durable medical equipment						
5. Other property and equipment						
6. Total	118,845	0	118,845	0	0	0



ANNUAL STATEMENT FOR THE YEAR 2015 OF THE CareSource

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION		CareSource		2. _____		(LOCATION)				
NAIC Group Code	3683	BUSINESS IN THE STATE OF Ohio			DURING THE YEAR 2015			NAIC Company Code 95201		
	1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
		2	3							
	Total	Individual	Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other
Total Members at end of:										
1. Prior Year	1,275,520	24,549						974	1,226,038	23,959
2. First Quarter	1,322,324	48,599							1,249,844	23,881
3. Second Quarter	1,283,566	49,291							1,211,445	22,830
4. Third Quarter	1,306,155	47,859							1,235,083	23,213
5. Current Year	1,306,521	40,776							1,243,447	22,298
6. Current Year Member Months	15,557,976	494,849							14,790,660	272,467
Total Member Ambulatory Encounters for Year:										
7. Physician	8,765,437	210,127							8,133,347	421,963
8. Non-Physician	7,965,982	137,275							5,081,000	2,747,707
9. Total	16,731,419	347,402	0	0	0	0	0	0	13,214,347	3,169,670
10. Hospital Patient Days Incurred	673,954	11,580							604,124	58,250
11. Number of Inpatient Admissions	153,148	2,528							139,390	11,230
12. Health Premiums Written (b).....	6,761,998,377	158,142,143						(208,404)	5,806,885,301	797,179,337
13. Life Premiums Direct.....	0									
14. Property/Casualty Premiums Written.....	0									
15. Health Premiums Earned.....	6,770,137,753	158,142,143						(208,404)	5,815,024,677	797,179,337
16. Property/Casualty Premiums Earned.....	0									
17. Amount Paid for Provision of Health Care Services	6,027,661,544	116,578,832						2,493,069	5,204,408,170	704,181,473
18. Amount Incurred for Provision of Health Care Services	5,724,373,069	115,390,450						760,136	4,901,777,400	706,445,083

(a) For health business: number of persons insured under PPO managed care products _____ and number of persons insured under indemnity only products _____

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$(208,404)

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ANNUAL STATEMENT FOR THE YEAR 2015 OF THE CareSource

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION

CareSource

2. _____

NAIC Group Code		3683		BUSINESS IN THE STATE OF Consolidated		DURING THE YEAR 2015					(LOCATION)		NAIC Company Code		95201				
		1		Comprehensive (Hospital & Medical)		4		5		6		7		8		9		10	
				2	3														
		Total	Individual	Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other								
Total Members at end of:																			
1. Prior Year		1,275,520	24,549	0	0	0	0	0	0	974	1,226,038	23,959							
2. First Quarter		1,322,324	48,599	0	0	0	0	0	0	1,249,844	23,881								
3. Second Quarter		1,283,566	49,291	0	0	0	0	0	0	1,211,445	22,830								
4. Third Quarter		1,306,155	47,859	0	0	0	0	0	0	1,235,083	23,213								
5. Current Year		1,306,521	40,776	0	0	0	0	0	0	1,243,447	22,298								
6. Current Year Member Months		15,557,976	494,849	0	0	0	0	0	0	14,790,660	272,467								
Total Member Ambulatory Encounters for Year:																			
7. Physician		8,765,437	210,127	0	0	0	0	0	0	8,133,347	421,963								
8. Non-Physician		7,965,982	137,275	0	0	0	0	0	0	5,081,000	2,747,707								
9. Total		16,731,419	347,402	0	0	0	0	0	0	13,214,347	3,169,670								
10. Hospital Patient Days Incurred		673,954	11,580	0	0	0	0	0	0	604,124	58,250								
11. Number of Inpatient Admissions		153,148	2,528	0	0	0	0	0	0	139,390	11,230								
12. Health Premiums Written (b).....		6,761,998,377	158,142,143	0	0	0	0	0	(208,404)	5,806,885,301	797,179,337								
13. Life Premiums Direct.....		0	0	0	0	0	0	0	0	0	0								
14. Property/Casualty Premiums Written.....		0	0	0	0	0	0	0	0	0	0								
15. Health Premiums Earned.....		6,770,137,753	158,142,143	0	0	0	0	0	(208,404)	5,815,024,677	797,179,337								
16. Property/Casualty Premiums Earned.....		0	0	0	0	0	0	0	0	0	0								
17. Amount Paid for Provision of Health Care Services		6,027,661,544	116,578,832	0	0	0	0	0	2,493,069	5,204,408,170	704,181,473								
18. Amount Incurred for Provision of Health Care Services		5,724,373,069	115,390,450	0	0	0	0	0	760,136	4,901,777,400	706,445,083								

(a) For health business: number of persons insured under PPO managed care products 0 and number of persons insured under indemnity only products 0

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$(208,404)

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ANNUAL STATEMENT FOR THE YEAR 2015 OF THE CareSource

SCHEDULE S - PART 1 - SECTION 2

Reinsurance Assumed Accident and Health Insurance Listed by Reinsured Company as of December 31, Current Year

[illegible]

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE CareSource

SCHEDULE S - PART 2

Reinsurance Recoverable on Paid and Unpaid Losses Listed by Reinsuring Company as of December 31, Current Year

[illegible]

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Schedule S - Part 4

NONE

Schedule S - Part 5

NONE

SCHEDULE S – PART 6

Five-Year Exhibit of Reinsurance Ceded Business
(000 Omitted)

	1 2015	2 2014	3 2013	4 2012	5 2011
A. OPERATIONS ITEMS					
1. Premiums.....	18,823	12,038	0	0	0
2. Title XVIII-Medicare.....	(4)	144	163	172	93
3. Title XIX-Medicaid.....	19,245	20,059	17,369	16,914	14,253
4. Commissions and reinsurance expense allowance.....		0	0	0	0
5. Total hospital and medical expenses.....		0	0	0	0
B. BALANCE SHEET ITEMS					
6. Premiums receivable		0	0	0	0
7. Claims payable.....	27,606	10,748	10,157	7,212	6,442
8. Reinsurance recoverable on paid losses.....	14,224	6,672	10	1,381	3,663
9. Experience rating refunds due or unpaid.....		0	0	0	0
10. Commissions and reinsurance expense allowances due.....		0	0	0	0
11. Unauthorized reinsurance offset.....	0	0	0	0	0
12. Offset for reinsurance with Certified Reinsurers.....	0	0	0	0	XXX
C. UNAUTHORIZED REINSURANCE (DEPOSITS BY AND FUNDS WITHHELD FROM)					
13. Funds deposited by and withheld from (F).....	0	0	0	0	0
14. Letters of credit (L).....	0	0	0	0	0
15. Trust agreements (T).....	0	0	0	0	0
16. Other (O).....	0	0	0	0	0
D. REINSURANCE WITH CERTIFIED REINSURERS (DEPOSITS BY AND FUNDS WITHHELD FROM)					
17. Multiple Beneficiary Trust.....	0	0	0	0	XXX
18. Funds deposited by and withheld from (F).....	0	0	0	0	XXX
19. Letters of credit (L).....	0	0	0	0	XXX
20. Trust agreements (T).....	0	0	0	0	XXX
21. Other (O).....	0	0	0	0	XXX

SCHEDULE S - PART 7

Restatement of Balance Sheet to Identify Net Credit For Ceded Reinsurance

	1	2	3
	As Reported (net of ceded)	Restatement Adjustments	Restated (gross of ceded)
ASSETS (Page 2, Col. 3)			
1. Cash and invested assets (Line 12)	1,469,104,274		1,469,104,274
2. Accident and health premiums due and unpaid (Line 15).....	168,783,666		168,783,666
3. Amounts recoverable from reinsurers (Line 16.1).....	14,223,636	(14,223,636)	0
4. Net credit for ceded reinsurance.....	XXX	37,105,640	37,105,640
5. All other admitted assets (Balance).....	129,176,546		129,176,546
6. Total assets (Line 28)	1,781,288,122	22,882,004	1,804,170,126
LIABILITIES, CAPITAL AND SURPLUS (Page 3)			
7. Claims unpaid (Line 1).....	588,988,692	22,882,004	611,870,696
8. Accrued medical incentive pool and bonus payments (Line 2).....	638,500		638,500
9. Premiums received in advance (Line 8).....	57,083,315		57,083,315
10. Funds held under reinsurance treaties with authorized and unauthorized reinsurers (Line 19, first inset amount plus second inset amount)	0		0
11. Reinsurance in unauthorized companies (Line 20 minus inset amount).....	0		0
12. Reinsurance with Certified Reinsurers (Line 20 inset amount).....	0		0
13. Funds held under reinsurance treaties with Certified Reinsurers (Line 19 third inset amount).....	0		0
14. All other liabilities (Balance).....	205,986,064		205,986,064
15. Total liabilities (Line 24).....	852,696,571	22,882,004	875,578,575
16. Total capital and surplus (Line 33).....	928,591,552	XXX	928,591,552
17. Total liabilities, capital and surplus (Line 34)	1,781,288,123	22,882,004	1,804,170,127
NET CREDIT FOR CEDED REINSURANCE			
18. Claims unpaid.....	22,882,004		
19. Accrued medical incentive pool.....	0		
20. Premiums received in advance	0		
21. Reinsurance recoverable on paid losses	14,223,636		
22. Other ceded reinsurance recoverables	0		
23. Total ceded reinsurance recoverables	37,105,640		
24. Premiums receivable	0		
25. Funds held under reinsurance treaties with authorized and unauthorized reinsurers	0		
26. Unauthorized reinsurance	0		
27. Reinsurance with Certified Reinsurers.....	0		
28. Funds held under reinsurance treaties with Certified Reinsurers.....	0		
29. Other ceded reinsurance payables/offsets	0		
30. Total ceded reinsurance payables/offsets	0		
31. Total net credit for ceded reinsurance	37,105,640		

SCHEDULE T – PART 2
INTERSTATE COMPACT – EXHIBIT OF PREMIUMS WRITTEN

Allocated By States and Territories

		Direct Business Only					
		1	2	3	4	5	6
States, Etc.		Life (Group and Individual)	Annuities (Group and Individual)	Disability Income (Group and Individual)	Long-Term Care (Group and Individual)	Deposit-Type Contracts	Totals
1. Alabama	AL						0
2. Alaska	AK						0
3. Arizona	AZ						0
4. Arkansas	AR						0
5. California	CA						0
6. Colorado	CO						0
7. Connecticut	CT						0
8. Delaware	DE						0
9. District of Columbia	DC						0
10. Florida	FL						0
11. Georgia	GA						0
12. Hawaii	HI						0
13. Idaho	ID						0
14. Illinois	IL						0
15. Indiana	IN						0
16. Iowa	IA						0
17. Kansas	KS						0
18. Kentucky	KY						0
19. Louisiana	LA						0
20. Maine	ME						0
21. Maryland	MD						0
22. Massachusetts	MA						0
23. Michigan	MI						0
24. Minnesota	MN						0
25. Mississippi	MS						0
26. Missouri	MO						0
27. Montana	MT						0
28. Nebraska	NE						0
29. Nevada	NV						0
30. New Hampshire	NH						0
31. New Jersey	NJ						0
32. New Mexico	NM						0
33. New York	NY						0
34. North Carolina	NC						0
35. North Dakota	ND						0
36. Ohio	OH						0
37. Oklahoma	OK						0
38. Oregon	OR						0
39. Pennsylvania	PA						0
40. Rhode Island	RI						0
41. South Carolina	SC						0
42. South Dakota	SD						0
43. Tennessee	TN						0
44. Texas	TX						0
45. Utah	UT						0
46. Vermont	VT						0
47. Virginia	VA						0
48. Washington	WA						0
49. West Virginia	WV						0
50. Wisconsin	WI						0
51. Wyoming	WY						0
52. American Samoa	AS						0
53. Guam	GU						0
54. Puerto Rico	PR						0
55. US Virgin Islands	VI						0
56. Northern Mariana Islands	MP						0
57. Canada	CAN						0
58. Aggregate Other Alien	OT						0
59. Totals		0	0	0	0	0	0

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE CareSource

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/ Person(s)	*
03683	CareSource Management Group Co.	00000	45-4937120				CareSource Reinsurance, LLC	MT	IA	CareSource Management Group Co.	Board of Trustees	0.0	CareSource Management Group Co.	0
03683	CareSource Management Group Co.	95201	31-1143265				CareSource	OH	RE	CareSource Board of Trustees	Other (See explanation below)	0.0	Board of Trustees	0
00000	CareSource Management Group Co.	00000	31-1703368				CareSource Management Group Co.	OH	UDP	CareSource Management Group Co.	Board of Trustees	0.0	Board of Trustees	0
00000	CareSource Management Group Co.	00000	31-1703371				CareSource Management Services Co.	OH	NIA	CareSource Management Group Co.	Ownership	100.0	CareSource Management Group Co.	0
03683	CareSource Management Group Co.	13717	27-1368371				CareSource Insurance LLC	VT	IA	CareSource Management Group Co.	Managing Directors	0.0	CareSource Management Group Co.	0
00000	CareSource Management Group Co.	00000	56-2582561				The CareSource Foundation	OH	DS	CareSource	Board of Trustees	0.0	CareSource Board of Trustees	0
03683	CareSource Management Group Co.	10142	32-0121856				CareSource Indiana, Inc.	IN	IA	CareSource Management Group Co.	Board of Directors	0.0	CareSource Management Group Co.	0
03683	CareSource Management Group Co.	15479	46-4991603				CareSource Kentucky Co.	KY	IA	CareSource Management Group Co.	Board of Trustees	0.0	CareSource Management Group Co.	0
03683	CareSource Management Group Co.	15710	47-2408339				CareSource Georgia Co.	GA	IA	CareSource Management Group Co.	Board of Trustees	0.0	CareSource Management Group Co.	0
03683	CareSource Management Group Co.	15728	47-3028244				CareSource West Virginia Co.	WV	IA	CareSource Management Group Co.	Board of Trustees	0.0	CareSource Management Group Co.	0
00000	CareSource Management Group Co.	00000	81-1017455				CareSource Network Partners LLC	OH	NIA	CareSource Management Group Co.	Board of Trustees	0.0	CareSource Management Group Co.	0
00000	CareSource Management Group Co.	00000	81-1025103				CareSource@Home LLC	OH	NIA	CareSource Management Group Co.	Board of Trustees	0.0	CareSource Management Group Co.	0
00000	CareSource Management Group Co.	00000	81-1593512				CareSource North Carolina Co.	NC	IA	CareSource Management Group Co.	Board of Trustees	0.0	CareSource Management Group Co.	0
00000	CareSource Management Group Co.	00000	81-1602217				CareSource Life Services Co.	OH	NIA	CareSource Management Group Co.	Board of Trustees	0.0	CareSource Management Group Co.	0

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Asterisk	Explanation
	The CareSource Board of Trustees controls both CareSource, the reporting entity, and CareSource Foundation. The CareSource Management Group Co Board of Trustees controls CareSource Management Group Co. CareSource Management Group Co. controls CSI, CS Insurance LLC, CareSource Kentucky Co, CareSource Reinsurance, LLC., CareSource Georgia Co., CareSource West Virginia Co., CareSource Network Partners LLC, CareSource@Home LLC, CareSource North Carolina Co., and CareSource Life Services Co.....

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SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of **WAIVED** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

MARCH FILING		Responses
1.	Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1?YES.....
2.	Will an actuarial opinion be filed by March 1?YES.....
3.	Will the confidential Risk-based Capital Report be filed with the NAIC by March 1?YES.....
4.	Will the confidential Risk-based Capital Report be filed with the state of domicile, if required by March 1?YES.....
APRIL FILING		
5.	Will Management's Discussion and Analysis be filed by April 1?YES.....
6.	Will the Supplemental Investment Risks Interrogatories be filed by April 1?YES.....
7.	Will the Accident and Health Policy Experience Exhibit be filed by April 1?YES.....
JUNE FILING		
8.	Will an audited financial report be filed by June 1?YES.....
9.	Will Accountants Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1?YES.....
AUGUST FILING		
10.	Will Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile by August 1?YES.....

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

MARCH FILING		
11.	Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1?NO.....
12.	Will the Supplemental Life data due March 1 be filed with the state of domicile and the NAIC?NO.....
13.	Will the Supplemental Property/Casualty data due March 1 be filed with the state of domicile and the NAIC?NO.....
14.	Will the Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1?NO.....
15.	Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 on Exhibit 5 to Life Supplement be filed with the state of domicile and electronically with the NAIC by March 1?NO.....
16.	Will the actuarial opinion on non-guaranteed elements as required in Interrogatory 3 to Exhibit 5 to Life Supplement be filed with the state of domicile and electronically with the NAIC by March 1?NO.....
17.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1?NO.....
18.	Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1?SEE EXPLANATION.....
19.	Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1?SEE EXPLANATION.....
20.	Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed with electronically with the NAIC by March 1?SEE EXPLANATION.....
APRIL FILING		
21.	Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1?NO.....
22.	Will the Supplemental Life data due April 1 be filed with the state of domicile and the NAIC?NO.....
23.	Will the Supplemental Property/Casualty Insurance Expense Exhibit due April 1 be filed with any state that requires it, and, if so, the NAIC?NO.....
24.	Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1?YES.....
25.	Will the regulator only (non-public) Supplemental Health Care Exhibit's Allocation Report be filed with the state of domicile and the NAIC by April 1?YES.....
AUGUST FILING		
26.	Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1?YES.....

Explanation:

11.
12.
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15.
16.
17.
18. No exemption needed.
19. No exemption needed.
20. No exemption needed.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

21.

22.

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OVERFLOW PAGE FOR WRITE-INS

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