

Amended Explanation Page

The annual statement was amended to reflect the correct admin fees as of 12/31/16.



For the Year Ended DECEMBER 31, 2016
OF THE CONDITION AND AFFAIRS OF THE
Optum Insurance of Ohio, Inc

NAIC Group Code	0707 (Current Period)	0707 (Prior Period)	NAIC Company Code	69647	Employer's ID Number	31-0628424
Organized under the Laws of	Ohio		State of Domicile or Port of Entry	OH		
Country of Domicile	United States of America					
Incorporated/Organized	10/19/1948		Commenced Business	12/05/1978		
Statutory Home Office	50 W. Broad Street, Suite 1800 (Street and Number)		Columbus , OH, US 43215 (City or Town, State, Country and Zip Code)			
Main Administrative Office			1600 McConnor Parkway (Street and Number)			
	Schaumburg, IL, US 60173-6801 (City or Town, State, Country and Zip Code)		(800)282-3232 (Area Code) (Telephone Number)			
Mail Address	1600 McConnor Parkway (Street and Number or P.O. Box)		Schaumburg, IL, US 60173-6801 (City or Town, State, Country and Zip Code)			
Primary Location of Books and Records			1600 McConnor Parkway (Street and Number)			
	Schaumburg, IL, US 60173-6801 (City or Town, State, Country and Zip Code)		(800)282-3232 (Area Code) (Telephone Number)			
Internet Website Address	www.catamaranrx.com					
Statutory Statement Contact	Lidia Gantoi (Name)		(224)231-1758 (Area Code)(Telephone Number)(Extension)			
	Lidia.Gantoi@optum.com (E-Mail Address)					
			(Fax Number)			

OFFICERS

Name	Title
Mark Alan Thierer	Chariman & Chief Executive Officer
Timothy Alan Wicks	President
Jeffrey David Grosklags	Chief Financial Officer
Robert Worth Obberrender	Treasurer

OTHERS

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DIRECTORS OR TRUSTEES

Mark Alan Thierer	Timothy Alan Wicks
Jeffrey David Grosklags	Vacant
Vacant	

State of	Illinois
County of	Cook
	ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of the said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)	(Signature)	(Signature)
Mark Alan Thierer	Jeffrey David Grosklags	Vacant
(Printed Name)	(Printed Name)	(Printed Name)
1.	2.	3.
Chairman & Chief Executive Officer	Chief Financial Officer	Vacant
(Title)	(Title)	(Title)

Subscribed and sworn to before me this	a. Is this an original filing?	Yes[] No[X]
day of , 2017	b. If no,	2
	1. State the amendment number	03/15/2017
	2. Date filed	10
	3. Number of pages attached	
(Notary Public Signature)		

ASSETS

		Current Year			Prior Year
		1	2	3	4
		Assets	Nonadmitted Assets	Net Admitted Assets (Cols.1-2)	Net Admitted Assets
1.	Bonds (Schedule D)	2,237,995		2,237,995	3,253,589
2.	Stocks (Schedule D):				
2.1	Preferred stocks				
2.2	Common Stocks				50,788
3.	Mortgage loans on real estate (Schedule B):				
3.1	First liens				
3.2	Other than first liens				
4.	Real estate (Schedule A):				
4.1	Properties occupied by the company (less \$.....0 encumbrances)				
4.2	Properties held for the production of income (less \$.....0 encumbrances)				
4.3	Properties held for sale (less \$.....0 encumbrances)				
5.	Cash (\$.....38,452,947, Schedule E Part 1), cash equivalents (\$.....0, Schedule E Part 2) and short-term investments (\$.....1,291,001, Schedule DA)	39,743,948		39,743,948	26,674,066
6.	Contract loans (including \$.....0 premium notes)				
7.	Derivatives (Schedule DB)				
8.	Other invested assets (Schedule BA)				
9.	Receivables for securities				
10.	Securities Lending Reinvested Collateral Assets (Schedule DL)				
11.	Aggregate write-ins for invested assets				
12.	Subtotals, cash and invested assets (Lines 1 to 11)	41,981,943		41,981,943	29,978,443
13.	Title plants less \$.....0 charged off (for Title insurers only)				
14.	Investment income due and accrued	8,541		8,541	11,463
15.	Premiums and considerations:				
15.1	Uncollected premiums and agents' balances in the course of collection				
15.2	Deferred premiums, agents' balances and installments booked but deferred and not yet due (Including \$.....0 earned but unbilled premiums)				
15.3	Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0)				
16.	Reinsurance:				
16.1	Amounts recoverable from reinsurers				
16.2	Funds held by or deposited with reinsured companies				
16.3	Other amounts receivable under reinsurance contracts				
17.	Amounts receivable relating to uninsured plans				
18.1	Current federal and foreign income tax recoverable and interest thereon				
18.2	Net deferred tax asset				
19.	Guaranty funds receivable or on deposit				
20.	Electronic data processing equipment and software	5,461	5,461		
21.	Furniture and equipment, including health care delivery assets (\$.....0)				
22.	Net adjustment in assets and liabilities due to foreign exchange rates				
23.	Receivables from parent, subsidiaries and affiliates	45,082,816		45,082,816	121,940,733
24.	Health care (\$.....0) and other amounts receivable				
25.	Aggregate write-ins for other than invested assets				
26.	TOTAL Assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	87,078,761	5,461	87,073,300	151,930,638
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28.	TOTAL (Lines 26 and 27)	87,078,761	5,461	87,073,300	151,930,638
DETAILS OF WRITE-INS					
1101.				
1102.				
1103.				
1198.	Summary of remaining write-ins for Line 11 from overflow page				
1199.	TOTALS (Lines 1101 through 1103 plus 1198) (Line 11 above)				
2501.				
2502.				
2503.				
2598.	Summary of remaining write-ins for Line 25 from overflow page				
2599.	TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above)				

LIABILITIES, SURPLUS AND OTHER FUNDS

		1	2
		Current Year	Prior Year
1.	Aggregate reserve for life contracts \$.....0 (Exhibit 5, Line 9999999) less \$.....0 included in Line 6.3 (including \$.....0 Modco Reserve)	0	0
2.	Aggregate reserve for accident and health contracts (including \$.....0 Modco Reserve)		
3.	Liability for deposit-type contracts (Exhibit 7, Line 14, Col. 1) (including \$.....0 Modco Reserve)		
4.	Contract claims:		
4.1	Life (Exhibit 8, Part 1, Line 4.4, Column 1 less sum of Columns 9, 10, and 11)		
4.2	Accident and health (Exhibit 8, Part 1, Line 4.4, sum of Columns 9, 10, and 11)		
5.	Policyholders' dividends \$.....0 and coupons \$.....0 due and unpaid (Exhibit 4, Line 10)		
6.	Provision for policyholders' dividends and coupons payable in following calendar year - estimated amounts:		
6.1	Dividends apportioned for payment (including \$.....0 Modco)		
6.2	Dividends not yet apportioned (including \$.....0 Modco)		
6.3	Coupons and similar benefits (including \$.....0 Modco)		
7.	Amount provisionally held for deferred dividend policies not included in Line 6		
8.	Premiums and annuity considerations for life and accident and health contracts received in advance less \$.....0 discount; including \$.....0 accident and health premiums (Exhibit 1, Part 1, Column 1, sum of Lines 4 and 14)		
9.	Contract liabilities not included elsewhere:		
9.1	Surrender values on canceled contracts		
9.2	Provision for experience rating refunds, including the liability of \$.....0 accident and health experience rating refunds of which \$.....0 is for medical loss ratio rebate per the Public Health Service Act		
9.3	Other amounts payable on reinsurance, including \$.....0 assumed and \$.....0 ceded		
9.4	Interest Maintenance Reserve (IMR, Line 6)	102,095	122,351
10.	Commissions to agents due or accrued-life and annuity contracts \$.....0 accident and health \$.....0 and deposit-type contract funds \$.....0		
11.	Commissions and expense allowances payable on reinsurance assumed		
12.	General expenses due or accrued (Exhibit 2, Line 12, Column 6)	42,183,008	111,484,792
13.	Transfers to Separate Accounts due or accrued (net) (including \$.....0 accrued for expense allowances recognized in reserves, net of reinsured allowances)		
14.	Taxes, licenses and fees due or accrued, excluding federal income taxes (Exhibit 3, Line 9, Col. 5)		25
15.1	Current federal and foreign income taxes, including \$.....0 on realized capital gains (losses)	4,304,551	7,177,226
15.2	Net deferred tax liability		
16.	Unearned investment income		
17.	Amounts withheld or retained by company as agent or trustee		
18.	Amounts held for agents' account, including \$.....0 agents' credit balances		
19.	Remittances and items not allocated		
20.	Net adjustment in assets and liabilities due to foreign exchange rates		
21.	Liability for benefits for employees and agents if not included above		
22.	Borrowed money \$.....0 and interest thereon \$.....0		
23.	Dividends to stockholders declared and unpaid		
24.	Miscellaneous liabilities:		
24.01	Asset Valuation Reserve (AVR, Line 16, Column 7)	1,803	12,102
24.02	Reinsurance in unauthorized and certified (\$.....0) companies		
24.03	Funds held under reinsurance treaties with unauthorized and certified (\$.....0) reinsurers		
24.04	Payable to parent, subsidiaries and affiliates		
24.05	Drafts outstanding		
24.06	Liability for amounts held under uninsured plans		
24.07	Funds held under coinsurance		
24.08	Derivatives		
24.09	Payable for securities		
24.10	Payable for securities lending		
24.11	Capital notes \$.....0 and interest thereon \$.....0		
25.	Aggregate write-ins for liabilities	57,320	43,826
26.	TOTAL Liabilities excluding Separate Accounts Business (Lines 1 to 25)	46,648,777	118,840,323
27.	From Separate Accounts Statement		
28.	TOTAL LIABILITIES (Lines 26 and 27)	46,648,777	118,840,323
29.	Common capital stock	2,727,274	2,727,274
30.	Preferred capital stock		
31.	Aggregate write-ins for other than special surplus funds		
32.	Surplus Notes		
33.	Gross paid in and contributed surplus (Page 3, Line 33, Column 2 plus Page 4, Line 51.1, Column 1)	4,990,293	4,990,293
34.	Aggregate write-ins for special surplus funds		
35.	Unassigned funds (surplus)	32,706,956	25,372,748
36.	Less treasury stock, at cost:		
36.10 shares common (value included in Line 29 \$.....0)		
36.20 shares preferred (value included in Line 30 \$.....0)		
37.	Surplus (Total Lines 31 + 32 + 33 + 34 + 35 - 36) (Including \$.....0 in Separate Accounts Statement)	37,697,249	30,363,041
38.	TOTALS of Lines 29, 30 and 37 (Page 4, Line 55)	40,424,523	33,090,315
39.	TOTALS of Lines 28 and 38 (Page 2, Line 28, Column 3)	87,073,300	151,930,638
DETAILS OF WRITE-INS			
2501.	Escheat	57,320	43,826
2502.		
2503.		
2598.	Summary of remaining write-ins for Line 25 from overflow page		
2599.	TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above)	57,320	43,826
3101.		
3102.		
3103.		
3198.	Summary of remaining write-ins for Line 31 from overflow page		
3199.	TOTALS (Lines 3101 through 3103 plus 3198) (Line 31 above)		
3401.		
3402.		
3403.		
3498.	Summary of remaining write-ins for Line 34 from overflow page		
3499.	TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)		

SUMMARY OF OPERATIONS

		1	2
		Current Year	Prior Year
1.	Premiums and annuity considerations for life and accident and health contracts (Exhibit 1, Part 1, Line 20.4, Col. 1, less Col.11)		
2.	Considerations for supplementary contracts with life contingencies		
3.	Net investment income (Exhibit of Net Investment Income, Line 17)	21,797	24,772
4.	Amortization of Interest Maintenance Reserve (IMR, Line 5)	20,256	21,382
5.	Separate Accounts net gain from operations excluding unrealized gains or losses		
6.	Commissions and expense allowances on reinsurance ceded (Exhibit 1, Part 2, Line 26.1, Column 1)		
7.	Reserve adjustments on reinsurance ceded		
8.	Miscellaneous Income:		
8.1	Income from fees associated with investment management, administration and contract guarantees from Separate Accounts ...		
8.2	Charges and fees for deposit-type contracts		
8.3	Aggregate write-ins for miscellaneous income	19,137,805	27,543,138
9.	TOTALS (Lines 1 to 8.3)	19,179,858	27,589,292
10.	Death benefits		
11.	Matured endowments (excluding guaranteed annual pure endowments)		
12.	Annuity benefits (Exhibit 8, Part 2, Line 6.4, Columns 4 + 8)		
13.	Disability benefits and benefits under accident and health contracts		
14.	Coupons, guaranteed annual pure endowments and similar benefits		
15.	Surrender benefits and withdrawals for life contracts		
16.	Group conversions		
17.	Interest and adjustments on contract or deposit-type contract funds		
18.	Payments on supplementary contracts with life contingencies		
19.	Increase in aggregate reserves for life and accident and health contracts		
20.	TOTALS (Lines 10 to 19)		
21.	Commissions on premiums, annuity considerations, and deposit type contract funds (direct business only) (Exhibit 1, Part 2, Line 31, Column 1)		
22.	Commissions and expense allowances on reinsurance assumed (Exhibit 1, Part 2, Line 26.2, Column 1)		
23.	General insurance expenses (Exhibit 2, Line 10, Columns 1, 2, 3 and 4)	7,479,792	7,974,888
24.	Insurance taxes, licenses and fees, excluding federal income taxes (Exhibit 3, Line 7, Columns 1 + 2 + 3)	66,145	216,496
25.	Increase in loading on deferred and uncollected premiums		
26.	Net transfers to or (from) Separate Accounts net of reinsurance		
27.	Aggregate write-ins for deductions		
28.	TOTALS (Lines 20 to 27)	7,545,937	8,191,383
29.	Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	11,633,921	19,397,909
30.	Dividends to policyholders		
31.	Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30)	11,633,921	19,397,909
32.	Federal and foreign income taxes incurred (excluding tax on capital gains)	4,304,551	7,177,226
33.	Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	7,329,370	12,220,683
34.	Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$......0 (excluding taxes of \$......0 transferred to the IMR)		
35.	Net Income (Line 33 plus Line 34)	7,329,370	12,220,683
CAPITAL AND SURPLUS ACCOUNT			
36.	Capital and surplus, December 31, prior year (Page 3, Line 38, Column 2)	33,090,315	20,857,857
37.	Net Income (Line 35)	7,329,370	12,220,683
38.	Change in net unrealized capital gains (losses) less capital gains tax of \$......0		
39.	Change in net unrealized foreign exchange capital gain (loss)		
40.	Change in net deferred income tax		
41.	Change in nonadmitted assets	(5,461)	
42.	Change in liability for reinsurance in unauthorized companies and certified companies		
43.	Change in reserve on account of change in valuation basis, (increase) or decrease		
44.	Change in asset valuation reserve	10,299	11,775
45.	Change in treasury stock (Page 3, Lines 36.1 and 36.2 Column 2 minus Column 1)		
46.	Surplus (contributed to) withdrawn from Separate Accounts during period		
47.	Other changes in surplus in Separate Accounts Statement		
48.	Change in surplus notes		
49.	Cumulative effect of changes in accounting principles		
50.	Capital changes:		
50.1	Paid in		
50.2	Transferred from surplus (Stock Dividend)		
50.3	Transferred to surplus		
51.	Surplus adjustment:		
51.1	Paid in		
51.2	Transferred to capital (Stock Dividend)		
51.3	Transferred from capital		
51.4	Change in surplus as a result of reinsurance		
52.	Dividends to stockholders		
53.	Aggregate write-ins for gains and losses in surplus		
54.	Net change in capital and surplus for the year (Lines 37 through 53)	7,334,208	12,232,458
55.	Capital and surplus, December 31, current year (Lines 36 + 54) (Page 3, Line 38)	40,424,523	33,090,315
DETAILS OF WRITE-INS			
08.301.	Fee for Service	19,137,805	27,543,138
08.302.		
08.303.		
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page		
08.399.	TOTALS (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	19,137,805	27,543,138
2701.		
2702.		
2703.		
2798.	Summary of remaining write-ins for Line 27 from overflow page		
2799.	TOTALS (Lines 2701 through 2703 plus 2798) (Line 27 above)		
5301.		
5302.		
5303.		
5398.	Summary of remaining write-ins for Line 53 from overflow page		
5399.	TOTALS (Lines 5301 through 5303 plus 5398) (Line 53 above)		

CASH FLOW

		1	2
		Current Year	Prior Year
Cash from Operations			
1.	Premiums collected net of reinsurance		
2.	Net investment income	44,102	29,877
3.	Miscellaneous income	19,137,805	27,543,138
4.	TOTAL (Lines 1 through 3)	19,181,907	27,573,015
5.	Benefit and loss related payments	0	0
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts		
7.	Commissions, expenses paid and aggregate write-ins for deductions	7,545,962	8,191,385
8.	Dividends paid to policyholders		
9.	Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses)	7,177,226	7,033,169
10.	TOTAL (Lines 5 through 9)	14,723,188	15,224,554
11.	Net cash from operations (Line 4 minus Line 10)	4,458,719	12,348,461
Cash from Investments			
12.	Proceeds from investments sold, matured or repaid:		
12.1	Bonds	3,250,000	
12.2	Stocks	50,788	251,012
12.3	Mortgage loans		
12.4	Real estate		
12.5	Other invested assets		
12.6	Net gains or (losses) on cash, cash equivalents and short-term investments		
12.7	Miscellaneous proceeds		
12.8	TOTAL Investment proceeds (Lines 12.1 to 12.7)	3,300,788	251,012
13.	Cost of investments acquired (long-term only):		
13.1	Bonds	2,253,789	
13.2	Stocks		100
13.3	Mortgage loans		
13.4	Real estate		
13.5	Other invested assets		
13.6	Miscellaneous applications		
13.7	TOTAL Investments acquired (Lines 13.1 to 13.6)	2,253,789	100
14.	Net increase (decrease) in contract loans and premium notes		
15.	Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14)	1,046,999	250,912
Cash from Financing and Miscellaneous Sources			
16.	Cash provided (applied):		
16.1	Surplus notes, capital notes		
16.2	Capital and paid in surplus, less treasury stock		
16.3	Borrowed funds		
16.4	Net deposits on deposit-type contracts and other insurance liabilities		
16.5	Dividends to stockholders		
16.6	Other cash provided (applied)	7,564,164	(889,268)
17.	Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6)	7,564,164	(889,268)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	13,069,882	11,710,105
19.	Cash, cash equivalents and short-term investments:		
19.1	Beginning of year	26,674,066	14,963,961
19.2	End of year (Line 18 plus Line 19.1)	39,743,948	26,674,066

Note: Supplemental Disclosures of Cash Flow Information for Non-Cash Transactions:

20.0001		
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ANALYSIS OF OPERATIONS BY LINES OF BUSINESS

		1	2	Ordinary			6	Group		Accident and Health			12
				3	4	5		7	8	9	10	11	
				Life Insurance	Individual Annuities	Supplementary Contracts		Life Insurance (a)	Annuities	Group	Credit (Group and Individual)	Other	
		Total	Industrial Life				Credit Life (Group and Individual)						Aggregate of All Other Lines of Business
1.	Premiums and annuity considerations for life and accident and health contracts												
2.	Considerations for supplementary contracts with life contingencies												
3.	Net investment income	21,797		21,797									
4.	Amortization of Interest Maintenance Reserve (IMR)	20,256		20,256									
5.	Separate Accounts net gain from operations excluding unrealized gains or losses												
6.	Commissions and expense allowances on reinsurance ceded												
7.	Reserve adjustments on reinsurance ceded												
8.	Miscellaneous Income												
	8.1 Fees associated with income from investment management, administration and contract guarantees from Separate Accounts												
	8.2 Charges and fees for deposit-type contracts												
	8.3 Aggregate write-ins for miscellaneous income	19,137,805		19,137,805									
9.	TOTALS (Lines 1 to 8.3)	19,179,858		19,179,858									
10.	Death benefits												
11.	Matured endowments (excluding guaranteed annual pure endowments)												
12.	Annuity benefits												
13.	Disability benefits and benefits under accident and health contracts												
14.	Coupons, guaranteed annual pure endowments and similar benefits												
15.	Surrender benefits and withdrawals for life contracts												
16.	Group conversions												
17.	Interest and adjustments on contract or deposit-type contract funds												
18.	Payments on supplementary contracts with life contingencies												
19.	Increase in aggregate reserves for life and accident and health contracts												
20.	TOTALS (Lines 10 to 19)												
21.	Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)												
22.	Commissions and expense allowances on reinsurance assumed												
23.	General insurance expenses	7,479,792		7,479,792									
24.	Insurance taxes, licenses and fees, excluding federal income taxes	66,145		66,145									
25.	Increase in loading on deferred and uncollected premiums												
26.	Net transfers to or (from) Separate Accounts net of reinsurance												
27.	Aggregate write-ins for deductions												
28.	TOTALS (Lines 20 to 27)	7,545,937		7,545,937									
29.	Net gain from operations before dividends to policyholders and federal income taxes (Line 9 - Line 28)	11,633,921		11,633,921									
30.	Dividends to policyholders												
31.	Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 - Line 30)	11,633,921		11,633,921									
32.	Federal income taxes incurred (excluding tax on capital gains)	4,304,551		4,304,551									
33.	Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	7,329,370		7,329,370									
DETAILS OF WRITE-INS													
08.301.	Fees for Services	19,137,805		19,137,805									
08.302.												
08.303.												
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page												
08.399.	TOTALS (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	19,137,805		19,137,805									
2701.												
2702.												
2703.												
2798.	Summary of remaining write-ins for Line 27 from overflow page												
2799.	TOTALS (Lines 2701 through 2703 plus 2798) (Line 27 above)												

(a) Includes the following amounts for FEGLI/SGLI: Line 1 \$.....0,Line 10 \$.....0, Line 16 \$.....0, Line 23 \$.....0,Line 24 \$.....0.

Notes to Financial Statements

1. Summary of Significant Accounting Policies

A. Accounting Practices

The financial statements of Optum Insurance of Ohio, Inc. (the Company) are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance (the Department). The Department recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under Ohio Insurance Law. The National Association of Insurance Commissioners' (NAIC) *Accounting Practices and Procedures* manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Ohio.

A reconciliation of the Association's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of the Association is shown below:

	SSAP #	F/S Page	F/S Line #	2016	2015
<u>NET INCOME</u>					
The Association state basis (Page 4, Line 35, Columns 1 & 2)	XXX	XXX	XXX	\$ 7,329,370	\$ 12,220,683
State prescribed practices that increase/(decrease) NAIC SAP				-	-
State permitted practices that increase/(decrease) NAIC SAP				-	-
NAIC SAP	XXX	XXX	XXX	<u>\$ 7,329,370</u>	<u>\$ 12,220,683</u>
<u>SURPLUS</u>					
The Association state basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$ 40,424,523	\$ 33,090,315
State prescribed practices that increase/(decrease) NAIC SAP				-	-
State permitted practices that increase/(decrease) NAIC SAP				-	-
NAIC SAP	XXX	XXX	XXX	<u>\$ 40,424,523</u>	<u>\$ 33,090,315</u>

B. Use of Estimates

The preparation of financial statements in conformity with statutory accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

C. Accounting Policy

The Company uses the following accounting policies:

- 1) Short-term investments are stated at cost which approximates fair value.
- 2) Bonds are valued as prescribed by the NAIC. Bonds not backed by other loans are generally carried at cost, adjusted for the amortization of premiums, accretion of discounts, and any impairment. Premiums and discounts are amortized and accreted over the estimated lives of the related bonds based on the interest-yield method. The Company's bond portfolio is reviewed quarterly and as a result the carrying value of a bond may be reduced to reflect changes in valuation resulting from asset impairment. Bonds that have been assigned the NAIC category 6 are written down to the appropriate NAIC carrying value.
- 3) The Company has no common stocks.
- 4) The Company has no preferred stocks.
- 5) The Company has no mortgage loans.
- 6) The Company has no loan-backed securities.
- 7) The Company has no investments in subsidiaries, controlled and affiliated entities.
- 8) The Company has no investments in joint ventures, partnerships, or limited entities.
- 9) The Company has no derivatives.
- 10) The Company does not have anticipated investment income as a factor in the premium deficiency calculation.
- 11) Unpaid losses and loss adjustment expenses include an amount determined from individual case estimates and loss reports and an amount, based on past experience, for losses incurred but not reported. Such liabilities are necessarily based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liability are continually reviewed and any adjustments are reflected in the period determined.
- 12) The Company has not modified its capitalization policy from the prior period.
- 13) The Company does not have pharmaceutical rebate receivables.

D. Going Concern

Not Applicable

Notes to Financial Statements

2. Accounting Changes and Corrections of Errors
- A. Accounting Changes as a Result of a Change in Accounting Principles and/or Corrections of Errors
- Not Applicable.
3. Business Combinations and Goodwill
- A. Statutory Purchase Method
- Not Applicable.
- B. Statutory Merger
- Not Applicable.
- C. Assumption Reinsurance
- Not Applicable.
- D. Impairment Loss
- Not Applicable.
4. Discontinued Operations
- Not Applicable.
5. Investments
- A. Mortgage Loans, Including Mezzanine Real Estate Loans
- The Company has no investment in mortgage loans.
- B. Debt Restructuring
- The Company has no invested assets that are restructured debt.
- C. Reverse Mortgages
- The Company has no investment in reverse mortgages.
- D. Loan-Backed Securities
- The Company has no investments in loan-backed securities.
- E. Repurchase Agreements and/or Securities Lending Transactions
- The Company has no investment in repurchase agreements or securities lending transactions.
- F. Real Estate
- The Company has no investment in real estate.
- G. Investments in Low-Income Housing Tax Credits (LIHTC)
- The Company has no investment in low-income housing tax credits.

Notes to Financial Statements

H. Restricted Assets

1) Restricted Assets (Including Pledged)

	Gross Admitted & Nonadmitted Restricted										
	Current Year					6	7	Current Year			
	1	2	3	4	5			8	9	Percentage	
										10	11
Total General Account (G/A)	G/A Supporting S/A Activity	Total Separate Account (S/A Restricted Assets	S/A Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/(Decrease) (5 minus 6)	Total Nonadmitted Restricted	Total Admitted Restricted (5 minus 8)	Gross (Admitted & Nonadmitted) Restricted to Total Assets (c)	Admitted Restricted to Total Admitted Assets (d)	
Restricted Asset Category											
a. Subject to contractual obligation for which liability is not shown					-	-		-	0.000%	0.000%	
b. Collateral held under security lending agreements					-	-		-	0.000%	0.000%	
c. Subject to repurchase agreements					-	-		-	0.000%	0.000%	
d. Subject to reverse repurchase agreements					-	-		-	0.000%	0.000%	
e. Subject to dollar repurchase agreements					-	-		-	0.000%	0.000%	
f. Subject to dollar reverse repurchase agreements					-	-		-	0.000%	0.000%	
g. Placed under option contracts					-	-		-	0.000%	0.000%	
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock					-	-		-	0.000%	0.000%	
i. FHLB capital stock					-	-		-	0.000%	0.000%	
j. On deposit with states	3,528,907	-	-	-	3,528,907	4,771,702	(1,242,795)	-	3,528,907	4.053%	4.053%
k. On deposit with other regulatory bodies					-	-		-	0.000%	0.000%	
k. Pledged as collateral to FHLB (including assets backing funding agreements)					-	-		-	0.000%	0.000%	
m. Pledged as collateral not captured in other categories					-	-		-	0.000%	0.000%	
n. Other restricted assets					-	-		-	0.000%	0.000%	
o. Total Restricted Assets	3,528,907	-	-	-	3,528,907	4,771,702	(1,242,795)	-	3,528,907	4.053%	4.053%

- (a)Subset of column 1
- (b)Subset of column 3
- (c)Column 5 divided by Asset Page, Column 1, Line 28
- (d)Column 9 divided by Asset Page, Column 3, Line 28

2) Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Not Applicable.

3) Detail of Other Restricted Assets (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Not Applicable.

4) Collateral Received and Reflected as Assets Within the Reporting Entity's Financial Statements

Not Applicable

I. Working Capital Finance Investments

Not Applicable.

J. Offsetting and Netting of Assets and Liabilities

Not Applicable.

K. Structured Notes

Not Applicable.

L. 5* Securities

Not Applicable.

6. Joint Ventures, Partnerships and Limited Liability Companies

A. Detail for Those Greater than 10% of Admitted Assets

Not Applicable.

B. Write-down for Impairments

Not Applicable.

7. Investment Income

A. Accrued Investment Income

The Company excludes from surplus all investment income due and accrued on bonds in or near default or that is over 90 days past due.

B. Amounts Excluded

Not Applicable.

Notes to Financial Statements

8. Derivative Instruments

Not Applicable.

9. Income Taxes

The U.S. federal income tax rate applicable to ordinary income is 35% for 2015 and 2016. The Company's federal income tax return will be consolidated with its parent company. Taxes are allocated in accordance with the Company's tax sharing agreement and the Internal Revenue Code Section 1552(a)(1), which apportions the tax liability among the members of the group in accordance with the ratio of the consolidated taxable income attributable to each member of the group having taxable income bears to the consolidated taxable income. Accordingly, the Company's 2015 and 2016 taxes have been calculated using the groups blended statutory rate of 37%. Allocation of income taxes between members of the consolidated group was accounted for in a manner consistent with the principles of ASC 740, "Income Taxes", as modified by SSAP No. 101.

10. Information Concerning Parent, Subsidiaries and Affiliates

A. B. C. Nature of Relationships

The Company is 100% owned by Optum PBM of Maryland ("Parent"). Optum PBM of Maryland's ultimate parent is UnitedHealth Group, a publicly held corporation trading on the New York stock exchange. The Company currently has a shared services agreement in place with affiliated entities. This agreement sets forth the process by which entities commonly owned by UnitedHealth Group cooperate in the performance of certain administrative services.

D. Amounts Due to or from Related Parties

From time to time, the Company's parent or affiliates perform certain functions or incurs certain expenses on behalf of the Company. The Company reimburses or is reimbursed in those instances. At December 31, 2016, \$0 was due to the Company's parent and \$45,082,817 was due from the Company's Affiliates. At December 31, 2015, \$0 was due to the Company's parent and \$121,940,733 was due from the Company's parent.

E. Guarantees or Contingencies

Not Applicable.

F. Management, Service Contracts, Cost Sharing Arrangements

The Company currently does not have any management service agreements with any affiliated parties.

G. Nature of Relationships that Could Affect Operations

Not Applicable.

H. Amount Deducted for Investment in Upstream Parent

Not Applicable.

I. Detail of Investments in Affiliates Greater than 10% of Admitted Assets

Not Applicable.

J. Write-down for Impairments

Not Applicable.

K. Investment in Foreign Subsidiary

Not Applicable.

L. Downstream Noninsurance Holding Company

Not Applicable.

M. SCA Investments

Not Applicable

N. Investments in an Insurance SCA

Not Applicable

11. Debt

A. The Company has no debt.

B. The Company has no FHLB agreements.

12. Retirement Plans, Deferred Compensation, Post-Employment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. A-D. Defined Benefit Plan

Not Applicable.

Notes to Financial Statements

- E. Defined Contribution Plans
Not Applicable.
- F. Multiemployer Plans
Not Applicable.
- G. Consolidated/Holding Company Plans
Not Applicable.
- H. Postemployment Benefits and Compensated Absences
Not Applicable.
- I. Impact of Medicare Modernization Act on Postretirement Benefits
Not Applicable.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

- A. Outstanding Shares
The Company has 4,000,000 shares authorized, 1,363,637 issued (\$2 per share par value). All shares are common stock.
- B. Dividend Rate of Preferred Stock
The Company has no preferred stock.
- C. D. E. Dividends
Without prior approval by the Insurance Commissioner of the State of Ohio, the aggregate amounts of dividends to shareholders during any 12-month period shall not exceed the greater of the prior year's net income or 10% of surplus.
- F. Restrictions on Unassigned Surplus
Not Applicable
- G. Mutual Surplus Advances
There have been no advances to surplus.
- H. Company Stock Held for Special Purposes
Not Applicable.
- I. Changes in Special Surplus Funds
Not Applicable.
- J. Changes in Unassigned Funds
The portion of unassigned funds (surplus) represented or reduced by unrealized gains (losses) is \$0 at December 31, 2016.
- K. Surplus Note
The Company has not issued any surplus notes or debentures or similar obligations.
- L. M. There has been no restatement of surplus due to quasi-reorganizations.

14. Liabilities, Contingencies and Assessments

- A. Contingent Commitments
Not Applicable.
- B. Assessments
Not Applicable.
- C. Gain Contingencies
Not Applicable.
- D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming From Lawsuits
Not Applicable.
- E. Joint and Several Liabilities
Not Applicable.

Notes to Financial Statements

F. All Other Contingencies

Not Applicable.

15. Leases

A. Lessee Leasing Arrangements

Not Applicable.

B. Lessor Leasing Arrangements

Not Applicable.

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

Not Applicable.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales

Not Applicable.

B. Transfer and Servicing of Financial Assets

Not Applicable.

C. Wash Sales

Not Applicable.

18. Gain or Loss to the Reporting Entity from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans

A. Administrative Services Only (ASO) Plans

Not Applicable.

B. Administrative Services Contract (ASC) Plans

Not Applicable.

C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract

Not Applicable.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not Applicable.

20. Fair Value Measurements

SSAP 100, Fair Value Measurements, establishes a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The three levels of the fair value hierarchy are described as follows:

- Level 1 – Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Company has the ability to access.
- Level 2 – Inputs to the valuation methodology include quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar assets or liabilities in inactive markets; inputs other than quoted prices that are observable; or inputs that are derived principally from or corroborated by observable market data by correlation or other means.
- Level 3 – Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. The Company believes its valuation methods are appropriate and consistent with other market participants. The use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

A. Inputs Used for Assets Measured at Fair Value

1. Fair Value Measurements at Reporting Date – N/A
2. Fair Value Measurements in Level 3 of the Fair Value Hierarchy – N/A
3. Reasons for any transfers between levels – N/A
4. Valuation Techniques for Fair Value Measurement Categorized Within Level 2 and Level 3 – N/A
5. Derivative assets and liabilities – N/A

B. Other Fair Value Disclosures – N/A

Notes to Financial Statements

C. Fair Value for all Financial Instruments

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)
Bonds	\$ 2,214,030	\$ 2,237,995	\$ 2,214,030	\$ -	\$ -	\$ -
Short-term investments	1,291,001	1,291,001	1,291,001			
Grand Total	<u>\$ 3,505,031</u>	<u>\$ 3,528,996</u>	<u>\$ 3,505,031</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

D. Reasons Not Practical to Estimate Fair Value –N/A

21. Other Items

A. Unusual or Infrequent Items

Not Applicable.

B. Troubled Debt Restructuring

Not Applicable.

C. Other Disclosures

Effective July 1, 2016, the Company, previously known as Catamaran Insurance Company of Ohio, Inc. has been renamed Optum Insurance Company of Ohio, Inc. This change has been completed to better align the Company's name with its parent company and other affiliates in its internal segment.

On March 29, 2015, the Company's ultimate parent, Catamaran Corporation, entered into an Arrangement Agreement with UnitedHealth Group Incorporated. The Arrangement Agreement provides, among other things, that, in accordance with a Plan of Arrangement and the transactions contemplated thereby, UnitedHealth Group Incorporated will acquire, directly or indirectly, all of the issued and outstanding common shares of Catamaran Corporation, resulting in Catamaran Corporation becoming an indirect, wholly owned subsidiary of UnitedHealth Group Incorporated. The completion of the transaction occurred on July 23, 2015.

D. Business Interruption Insurance Recoveries

Not Applicable.

E. State Transferable and Non-transferable Tax Credits

Not Applicable.

F. Subprime-Mortgage-Related Risk Exposure

The Company does not have any exposure to subprime-mortgages.

G. Retained Assets

Not Applicable.

H. Proceeds as the Issuer, Ceding Insurer, or Counterparty of Insurance-Linked Securities

Not Applicable.

22. Events Subsequent

Subsequent events have been considered through February 25, 2017, for the statutory statement issued on February 28, 2017.

23. Reinsurance

A. Ceded Reinsurance Report

Section1 – General Interrogatories

1. Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10% or controlled, either directly or indirectly, by the Company or by any representative, officer, trustee, or director of the Company? Yes () No (X)
2. Have any policies issued by the Company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) which is owned in excess of 10% or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business? Yes () No (X)

Section 2 – Ceded Reinsurance Report – Part A

- 1) Does the Company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits? Yes () No (X)
- 2) Does the Company have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts which, in aggregate and allowing for offset of mutual credits from other

Notes to Financial Statements

reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies? Yes ()
No (X)

Section 3 – Ceded Reinsurance Report – Part B

- 1) What is the estimated amount of the aggregate reduction in surplus, for agreements, not reflected in Section 2 above, of termination of all reinsurance agreements, by either party, as of the date of this statement? Where necessary, the Company may consider the current or anticipated experience of the business reinsured in making this estimate. \$0
- 2. Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts which were in-force or which had existing reserves established by the Company as of the effective date of the agreement? Yes () No (X)

B. Uncollectible Reinsurance

None

C. Commutation of Ceded Reinsurance

None

D. Certified Rated Contracts and Contracts Subject to Revocation

None

E. Reinsurance of Variable Annuity Contracts with Affiliated Captive Reinsurer

None

F. Reinsurance Agreement with Affiliated Captive Reinsurer

None

G. Affiliated Captive Reinsurer Risk-Based Capital Shortfalls

None

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

Not Applicable.

25. Changes in Incurred Losses and Loss Adjustment Expenses

Not Applicable.

26. Intercompany Pooling Arrangements

Not Applicable.

27. Structured Settlements

Not Applicable.

28. Health Care Receivables

A. Pharmaceutical Rebate Receivables

Not Applicable.

B. Risk Sharing Receivables

Not Applicable.

29. Participating Policies

Not Applicable.

30. Premium Deficiency Reserves

Not Applicable.

31. Reserves for Life Contracts and Deposit-Type Contracts

- A. The Company waives deduction of deferred fractional premiums upon death of insured and returns any portion of the final premium beyond the date of death. The Company has certain surrender values in excess of the legally computed reserves. There has been no significant change in the reserve since December 31, 2015.
- B. The method used in the valuation of substandard policies is based on the normal tabular reserves plus one half of the annual substandard extra premium.

Notes to Financial Statements

- C. There are no amounts of insurance for which the gross premiums are less than the net premiums according to the standard of valuation set by the Ohio Department of Insurance.
- D. Tabular cost has been determined from the basic data for the calculation of policy reserves. Tabular less actual reserves released has been determined from the basic data for the calculation of reserves and reserves released. Tabular interest has been determined from the basic data for the calculation of policy reserves.
- E. Tabular interest on funds not involving life contingencies is calculated as the product of the valuation rate of interest times the mean of the amount of funds subject to such rate held at the beginning and end of the year of valuation.
- F. There were no other reserve changes.

32. Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics

Not Applicable.

33. Premium and Annuity Considerations Deferred and Uncollected

Not Applicable.

34. Separate Accounts

Not Applicable.

35. Loss/Claim Adjustment Expenses

Not Applicable.

FIVE - YEAR HISTORICAL DATA

Show Amounts in Whole Dollars Only, No Cents; Show Percentages to One Decimal Place, i.e., 17.6.

Show Amounts of Life Insurance in this Exhibit in Thousands (Omit \$000)

	1 2016	2 2015	3 2014	4 2013	5 2012
Life Insurance in Force (Exhibit of Life Insurance)					
1. Ordinary - whole life and endowment (Line 34, Column 4)	72,929	78,602	83,775	88,778	111,371
2. Ordinary - term (Line 21, Column 4, less Line 34, Column 4) ..	8,725	11,126	11,972	12,738	
3. Credit life (Line 21, Column 6)					
4. Group, excluding FEGLI/SGLI (Line 21, Column 9 less Lines 43 & 44, Column 4)					
5. Industrial (Line 21, Column 2)					
6. FEGLI/SGLI (Lines 43 & 44, Column 4)					
7. TOTAL (Line 21, Column 10)	81,654	89,728	95,747	101,516	111,371
New Business Issued (Exhibit of Life Insurance)					
8. Ordinary - whole life and endowment (Line 34, Column 2)					
9. Ordinary - term (Line 2, Column 4, less Line 34, Column 2) ...					
10. Credit life (Line 2, Column 6)					
11. Group (Line 2, Column 9)					
12. Industrial (Line 2, Column 2)					
13. TOTAL (Line 2, Column 10)					
Premium Income-Lines of Business (Exhibit 1 - Part 1)					
14. Industrial life (Exhibit 1 - Part 1, Line 20.4, Column 2)					
15.1 Ordinary life insurance (Exhibit 1 - Part 1, Line 20.4, Column 3)					
15.2 Ordinary individual annuities (Exhibit 1 - Part 1, Line 20.4, Column 4)					
16. Credit life, (group and individual) (Exhibit 1 - Part 1, Line 20.4, Column 5)					
17.1 Group life insurance (Exhibit 1 - Part 1, Line 20.4, Column 6) .					
17.2 Group annuities (Exhibit 1 - Part 1, Line 20.4, Column 7)					
18.1 A & H group (Exhibit 1 - Part 1, Line 20.4, Column 8)					
18.2 A & H credit (group and individual) (Exhibit 1 - Part 1, Line 20.4, Column 9)					
18.3 A & H other (Exhibit 1 - Part 1, Line 20.4 Column 10)					
19. Aggregate of all other lines of business (Exhibit 1 - Part 1, Line 20.4, Column 11)					
20. TOTAL					
Balance Sheet Items (Pages 2 and 3)					
21. TOTAL Admitted Assets excluding Separate Accounts business (Page 2, Line 26, Column 3)	87,073,300	151,930,638	52,331,674	9,209,190	8,881,028
22. TOTAL Liabilities excluding Separate Accounts business (Page 3, Line 26)	46,648,777	118,840,323	31,473,817	317,302	273,392
23. Aggregate life reserves (Page 3, Line 1)	0	0			
24. Aggregate A & H reserves (Page 3, Line 2)					
25. Deposit-type contract funds (Page 3, Line 3)					
26. Asset valuation reserve (Page 3, Line 24.1)	1,803	12,102	23,877	14,449	20,240
27. Capital (Page 3, Lines 29 & 30)	2,727,274	2,727,274	2,727,274	2,727,274	2,727,274
28. Surplus (Page 3, Line 37)	37,697,249	30,363,041	18,130,583	6,164,614	5,880,362
Cash Flow (Page 5)					
29. Net Cash from Operations (Line 11)	4,458,719	12,348,461	19,453,482	448,177	47,862
Risk-Based Capital Analysis					
30. TOTAL Adjusted Capital	40,426,326	33,102,417	20,881,734	8,906,337	8,627,876
31. Authorized control level risk-based capital	134,538	126,661	109,847	99,436	78,716
Percentage Distribution of Cash, Cash Equivalents and Invested Assets					
(Page 2, Column 3) (Line No./Page 2, Line 12, Column 3) x 100.0					
32. Bonds (Line 1)	5.3	10.9	17.6	39.2	55.8
33. Stocks (Lines 2.1 and 2.2)		0.2	1.6	3.4	0.2
34. Mortgage loans on real estate (Line 3.1 and 3.2)					
35. Real estate (Lines 4.1, 4.2 and 4.3)					
36. Cash, cash equivalents and short-term investments (Line 5) ..	94.7	89.0	80.8	57.4	44.0
37. Contract loans (Line 6)					
38. Derivatives (Page 2, Line 7)					
39. Other invested assets (Line 8)					
40. Receivables for securities (Line 9)					
41. Securities lending reinvested collateral assets (Line 10)					
42. Aggregate write-ins for invested assets (Line 11)					
43. Cash, cash equivalents and invested assets (Line 12)	100.0	100.0	100.0	100.0	100.0

FIVE - YEAR HISTORICAL DATA (Continued)

	1 2016	2 2015	3 2014	4 2013	5 2012
Investments in Parent, Subsidiaries and Affiliates					
44. Affiliated bonds (Schedule D Summary, Line 12 Column 1)					
45. Affiliated preferred stocks (Schedule D Summary, Line 18, Column 1)					
46. Affiliated common stocks (Schedule D Summary, Line 24, Column 1)					
47. Affiliated short-term investments (subtotal included in Schedule DA Verification, Column 5, Line 10)					
48. Affiliated mortgage loans on real estate					
49. All other affiliated					
50. TOTAL of above Lines 44 to 49					
51. TOTAL Investment in Parent included in Lines 44 to 49 above					
TOTAL Nonadmitted and Admitted Assets					
52. TOTAL Nonadmitted Assets (Page 2, Line 28, Column 2)	5,461				
53. TOTAL Admitted Assets (Page 2, Line 28, Column 3)	87,073,300	151,930,638	52,331,674	9,209,190	8,881,028
Investment Data					
54. Net investment income (Exhibit of Net Investment Income)	21,797	24,772	28,571	36,514	35,419
55. Realized capital gains (losses) (Page 4, Line 34, Column 1)			0		
56. Unrealized capital gains (losses) (Page 4, Line 38, Col. 1)				0	
57. TOTAL of Above Lines 54, 55, and 56	21,797	24,772	28,570	36,513	35,419
Benefits and Reserve Increase (Page 6)					
58. TOTAL Contract Benefits-Life (Lines 10, 11, 12, 13, 14 and 15, Col. 1 minus Lines 10, 11, 12, 13, 14 and 15, Cols. 9, 10 & 11)					
59. TOTAL Contract Benefits - A & H (Lines 13 & 14, Columns 9, 10 & 11)					
60. Increase in life reserves-other than group and annuities (Line 19, Columns 2 & 3)					
61. Increase in A & H reserves (Line 19, Columns 9, 10 & 11)					
62. Dividends to policyholders (Line 30, Column 1)					
Operating Percentages					
63. Insurance expense percent (Page 6, Column 1, Lines 21, 22 & 23 less Line 6) / (Page 6 Column 1, Line 1 plus Exhibit 7, Column 2, Line 2) x 100.00					
64. Lapse percent (ordinary only) [(Exhibit of Life Insurance, Column 4, Lines 14 & 15) / 1/2 (Exhibit of Life Insurance, Column 4, Lines 1 & 21)] x 100.00	6.1	5.3	4.8	8.1	6.5
65. A & H loss percent (Schedule H, Part 1, Line 5 + Line 6, Column 2)					
66. A & H cost containment percent (Schedule H, Part 1, Line 4, Column 2)					
67. A & H expense percent excluding cost containment expenses (Schedule H, Part 1, Line 10, Column 2)					
A & H Claim Reserve Adequacy					
68. Incurred losses on prior years' claims - group health (Schedule H, Part 3, Line 3.1, Column 2)					
69. Prior years' claim liability and reserve - group health (Schedule H, Part 3, Line 3.2, Column 2)					
70. Incurred losses on prior years' claims - health other than group (Schedule H, Part 3, Line 3.1, Column 1 less Col. 2)					
71. Prior years' claim liability and reserve - health other than group (Schedule H, Part 3, Line 3.2, Column 1 less Col. 2)					
Net Gains From Operations After Federal Income Taxes by Lines of Business (Page 6, Line 33)					
72. Industrial life (Column 2)					
73. Ordinary - life (Column 3)	7,329,370	12,220,683	11,975,397	278,461	795
74. Ordinary - individual annuities (Column 4)					
75. Ordinary - supplementary contracts (Column 5)					
76. Credit life (Column 6)					
77. Group life (Column 7)					
78. Group annuities (Column 8)					
79. A & H - group (Column 9)					
80. A & H - credit (Column 10)					
81. A & H - other (Column 11)					
82. Aggregate of all other lines of business (Column 12)					
83. TOTAL (Column 1)	7,329,370	12,220,683	11,975,397	278,461	795

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3, Accounting Changes and Correction of Errors? Yes[] No[] N/A[X]

If no, please explain: